



# Standard Model Physics at the HL-LHC and HE-LHC

Report from Working Group 1 on the Physics of the HL-LHC, and Perspectives at the HE-LHC

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## 100 **Abstract**

101 The successful operation of the Large Hadron Collider (LHC) and the excel-  
102 lent performance ATLAS, CMS, LHCb and ALICE detectors in Run 1 and  
103 Run 2 with  $pp$  collisions at center-of-mass energies of 7, 8 and 13 TeV as well  
104 as the giant leap in precision calculations and modeling of fundamental inter-  
105 actions at hadron colliders have allowed an extraordinary breadth of physics  
106 studies including precision measurements of a variety physics processes. The  
107 LHC results have so far confirmed the validity of the Standard Model of parti-  
108 cle physics up to unprecedented energy scales and with great precision in the  
109 sectors of strong and electroweak interactions as well as flavour physics, for  
110 instance in top quark physics. The upgrade of the LHC to a High Luminosity  
111 phase (HL-LHC) at 14 TeV center-of-mass energy with  $3 \text{ ab}^{-1}$  of integrated  
112 luminosity will probe the Standard Model with even greater precision and will  
113 extend the sensitivity to possible anomalies in the Standard Model, thanks to  
114 a ten-fold larger data set, upgraded detectors and expected improvements in  
115 the theoretical understanding. This document summarises the physics reach of  
116 the HL-LHC in the realm of strong and electroweak interactions and top quark  
117 physics, and provides a glimpse of the potential of a possible further upgrade  
118 of the LHC to a 27 TeV  $pp$  collider, the High-Energy LHC (HE-LHC), as-  
119 sumed to accumulate an integrated luminosity of  $15 \text{ ab}^{-1}$ .

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213 **1 Introduction**

214 TO BE ADDED.

## 2 Theoretical tools

### 2.1 High Order QCD calculations

In order to exploit the full potential of the High-Luminosity LHC physics program, the high precision of experimental data must be compared to theoretical predictions that have the same accuracy. Precision calculations in QCD are typically classified into fixed-order expansions in the coupling constant  $\alpha_s$ , and into predictions that resum large logarithms to all orders in  $\alpha_s$ . The latter predictions are usually also subdivided into numerical parton-shower approaches and analytic resummed calculations. In recent years, a lot of work has been devoted also to matching and merging fixed-order and resummed calculations, so as to have an improved accuracy in all regions of phase space.

The technical ingredients required for a fixed-order calculations to higher order are the computation of real, virtual or, from two loop on, mixed real-virtual amplitudes, the calculations of the required master integrals and a procedure to regularize intermediate soft and collinear divergences. The first non-trivial contribution is of next-to-leading order (NLO). Here, the basis of master integrals required to compute any process at one-loop in QCD had been known for a long time, and is now available in public codes [1, 2]. In addition, two general subtraction methods (FKS [3] and CS [4]), well suited for automation, were developed. The tensor reduction of virtual amplitudes (i.e. the reduction of virtual amplitude into a combination of master integrals) proved to be the most difficult problem, since the most straightforward approaches yielded too complex results for generic processes. Around ten to fifteen years ago, a number of breakthrough ideas [5–10] led to algorithms for tensor reduction that can be automatized efficiently. With all ingredients in place, a number of tools to compute NLO cross sections for generic LHC processes in an automated way were developed. These tools are today heavily used at the LHC and will be indispensable for future phenomenology. The most widely used tools include Gosam [11], MadLoop [12], or OpenLoops [10]. It is interesting to note that, in the early days of NLO calculations, also slicing approaches were suggested to handle intermediate divergences (see e.g. [13]). They were however soon abandoned in favour of subtraction approaches.

While NLO tools are certainly more appropriate than LO generators to accurately predict LHC distributions, already with Run-II data it is clear that an even better perturbative accuracy is required to match the precision of data. One of the first explicit demonstration that NLO predictions are insufficient to properly describe LHC data is given by the  $WW$  cross section [14–16]. These data raised interest because of discrepancies in the extrapolated total cross section between theory and data both at 7 TeV and 8 TeV, and both at ATLAS and CMS. The comparison between data and theory was scrutinized and the discrepancy could be resolved thanks to the inclusion of NNLO corrections and thanks to the observation that the extrapolation from the fiducial to the inclusive cross section had a larger uncertainty than the estimated one. This example highlights the importance of quoting also fiducial cross sections, prior to any Monte Carlo based extrapolation, and of including NNLO corrections when comparing to high-precision data.

Current years are seeing an incredibly fast progress in the calculation of NNLO cross sections (for recent short reviews see e.g. [17, 18]). The current status is that all non-loop induced  $2 \rightarrow 2$  SM processes are known at NNLO, including dijet production [19] that has the most complicated subprocess and singularity structure. This breakthrough was possible thanks to the development of new methods to compute two-loop integrals. One idea that was exploited to a great extent is the fact that polylogarithmic integrals can be calculated by means of differential equations [20–23]. Currently, the processes that are more difficult to compute are those that involve internal masses, since they lead not only to polylogarithms but also to elliptic integrals. Examples include loop-induced processes like gluon-fusion Higgs or di-Higgs production with full top-mass dependence, or gluon induced di-boson production.

With the high-luminosity run of the LHC, it will be possible to explore the Higgs transverse momentum spectrum in the region of large transverse momentum up to almost 1 TeV, where the large- $m_t$  approximation is well-known to fail. Recently, two-loop NLO results for the Higgs transverse momen-

263 tum spectrum became available [24,25], but genuine NNLO predictions for these loop-induced processes  
264 are still out of reach.

265 The calculations of multi-scale two-loop amplitudes with massive internal particles relevant for  
266 Higgs-, top- and vector-boson production, and in particular the mathematical structures beyond multiple  
267 polylogarithms that appear in these amplitudes, is a very active area of research today [26–39]. The  
268 developments of yet new ideas and computational methods are eagerly needed. Approaches for the full  
269 numerical calculation of master integrals also exist (see e.g. [40–43] and references therein), requiring  
270 however considerable computing power as the complexity increases.

271 As far as the problem of canceling divergences, quite a number of different approaches are being  
272 pursued now. They can be broadly divided into subtractions methods (antenna subtraction [44], sector-  
273 improved residue subtraction [45–48], nested subtraction [49], colourful subtraction [50], projection to  
274 Born [51]) or slicing methods ( $q_T$ -subtraction [52],  $N$ -jettiness [53,54]). These methods are being scru-  
275 tinized, compared, and refined, and while it is not clear yet which method will prevail, it seems realistic  
276 to assume that, by the beginning of the High-Luminosity phase, the issue of handling intermediate di-  
277 vergences in NNLO calculations will be considered solved. An ambitious goal is in fact to have  $2 \rightarrow 3$   
278 NNLO results by the beginning of the High-Luminosity phase. A milestone would be certainly to have  
279 NNLO prediction for  $ttH$  production. Motivated by the success at one-loop, a lot of effort is devoted  
280 to extending generalized unitarity and the OPP methods beyond one loop (see e.g. [55]). Currently,  
281  $2 \rightarrow 3$  processes is a very active subject of study, with initial results of 3-jet amplitudes starting to  
282 appear [56–62].

283 Beyond NNLO, two calculations of LHC processes exist today at  $N^3$ LO for inclusive Higgs pro-  
284 duction in the large  $m_t$  approximation [63,64] and for VBF Higgs production in the structure function  
285 approximation [65]. The complexity of these calculations suggest that it will be very hard to extend this  
286 level of accuracy to more complicated processes, since the technology they use explicitly exploits the  
287 simplicity of these two processes, and cannot be easily extended to more complex ones.

288 Besides fixed-order, also resummed calculations have seen a leap in recent years. The accuracy  
289 with which particular observables can be resummed analytically reaches  $N^3$ LL (see e.g. [66–68]), which  
290 means three towers of logarithmic terms down compared to the leading logarithms that arise when only  
291 soft and collinear gluons are correctly accounted for. These results are properly matched to fixed order  
292 NNLO calculations.

293 Resummed calculations rely either on methods based upon coherent branching [69,70] or upon  
294 Soft Collinear Effective Theory (SCET) [71]. So far, the two approaches have also been considered as  
295 complementary, in fact both methods proceed by performing a systematic expansion of the contributions  
296 to the cross section. Recent work highlights the connection between the two methods [72].

297 While the logarithmic accuracy of resummed calculations is impressive, the formal accuracy of  
298 parton showers is much less advanced. Unlike resummed calculations, that are targeted to a well defined  
299 cross section or distribution, Monte Carlo generators make predictions for several kind of observables  
300 at the same time, and, at present, a rigorous way to qualify their accuracy is missing. First studies  
301 in this direction can be found in [73]. Nevertheless, attempts to improve some aspects of the shower  
302 algorithms are the focus of recent work. Different approaches are taken: one can incorporate the spin-  
303 color interference into showers [74], include higher-order splitting functions and  $1 \rightarrow 3$  splitting kernels  
304 into showers [75,76] or consider different shower evolution variables [77,78]. It seems likely that by  
305 the start of the high-Luminosity program we will have a much better theoretical control on the parton  
306 shower evolution and the uncertainty associated to it.

307 In the same way as the progress in NLO went hand in hand with the development of matching  
308 procedure of NLO and parton shower, a number of approaches have been suggested recently to match  
309 NNLO calculations and parton showers [79–81]. The bottleneck in these approaches is currently the fact

310 that they rely on a reweighing procedure that is differential in the Born phase space. Such a reweighing  
 311 is possible for relatively simple processes but becomes numerically unfeasible for more complicated  
 312 ones. It seems reasonable to expect that in the next years better NNLOPS approaches will be developed  
 313 that do not rely on any reweighing to the NNLO. This would make it possible to have NNLOPS matched  
 314 predictions to more generic processes for which an NNLO calculation is available, as is currently the  
 315 case at NLO.

## 316 **2.2 Electroweak corrections**

### 317 *Existing tools*

318 In the last few years, the automation of EW NLO corrections has witnessed an impressive progress, for  
 319 what concerns both one-loop and real-emission contributions (and their combination), by collaborations  
 320 such as RECOLA [82, 83] with SHERPA [84, 85], OPENLOOPS [10] with SHERPA, GOSAM [11, 86]  
 321 with either MADDIPOLE [87, 88] or SHERPA, and MadGraph5\_aMC@NLO [12, 89]. For most of these  
 322 codes tuned comparisons have also been published [90, 91], displaying excellent agreement among them.  
 323 Although the capabilities and reach in process complexity can differ from one computer program to an-  
 324 other, recent results obtained with these tools [92–117] clearly demonstrate how automation has made  
 325 it possible to tackle problems whose complexity is too great to justify their solutions through traditional  
 326 approaches.

327  
 328 Stemming from these advances, newer applications have become possible, one of these is the  
 329 computation of the so-called “complete-NLO” corrections. In general, a given scattering processes can  
 330 proceed through  $n$  different coupling combinations at LO (for example,  $t\bar{t}$  or dijet production receives  
 331 contributions at order  $\alpha_s^2$ ,  $\alpha_s\alpha$  and  $\alpha^2$ ); typically only the term with the largest power of  $\alpha_s$  is retained,  
 332 owing to the fact that  $\alpha_s \gg \alpha$ . This structure generates a similar one at  $N^p$ LO, with  $n + p$  contributions,  
 333 and the term “complete-NLO” means the (simultaneous) computation of all the terms entering at LO and  
 334 NLO. Among the computer programs cited above, some have been employed for the computation of the  
 335 complete-NLO corrections. In most of the cases the impact of the various contributions closely follows  
 336 the pattern one would expect from the coupling powers, as it is the case for dijet production [106],  
 337 top-pair [113] possibly with one extra jet [116]. However, there exist processes for which the coupling  
 338 hierarchy is violated, or even flipped. Examples are same-sign  $W$  production with two jets [111], top-  
 339 pair production in association with a  $W$  boson and four-top production [115].

### 340 *Corrections beyond NLO*

341 Similarly to the NLO case, also NNLO corrections can be organised in powers of  $\alpha$  and  $\alpha_s$ . At the  
 342 moment,  $\mathcal{O}(\alpha_s^2)$  NNLO QCD corrections have been performed for many production processes at the  
 343 LHC. Conversely, complete NNLO mixed QCD-EW corrections of  $\mathcal{O}(\alpha_s\alpha)$  have not been performed  
 344 for any process yet. These calculations are essential in order to pin down the theoretical uncertainties  
 345 for processes that at the HL- and HE-LHC will be measured with very high precision. For this reason  
 346 a great effort has been already invested for achieving this result and a great progress can be expected  
 347 in the next years. We recall the calculations that have been performed for the Drell-Yan production  
 348 refs. [118, 119] in the resonance region via the pole approximation. For this kind of calculations two-  
 349 loop amplitudes [120–124] as well regularized double-real emissions [125] are necessary ingredients.  
 350 Similarly, NNLO mixed QCD-EW corrections to  $ggF$  Higgs production, which are induced by three-  
 351 loop diagrams, have been estimated in ref. [126]. Further recent calculations [127] support those results  
 352 and, in particular, support the fact that they can be correctly approximated via the so-called multiplicative  
 353 approach. In short: NNLO mixed QCD-EW  $\sim$  NLO QCD  $\times$  NLO EW.

354 The aforementioned multiplicative approach is in general a very good approximation when the

355 bulk of QCD and EW corrections at NLO is dominated by soft effects and Sudakov logarithms, respec-  
 356 tively. Given the current lack of exact NNLO mixed QCD-EW  $\sim$  calculations, this approximation is  
 357 already being used for estimating these corrections and/or missing higher orders uncertainties of differ-  
 358 ent processes. First (N)NNLO QCD calculations including NLO EW corrections via the multiplicative  
 359 approach have already appeared [63, 113, 128] and are already necessary for a correct interpretation of  
 360 current data; these level of accuracy will be mandatory for more processes at HL and HE-LHC.

361 Besides NNLO mixed QCD-EW corrections of  $\mathcal{O}(\alpha_s\alpha)$ , non-negligible contributions can emerge  
 362 also from large  $\mathcal{O}(\alpha^n)$  corrections with  $n > 1$ . These typically involve final-state radiation (FSR) from  
 363 massless/light particles and Sudakov logarithms. Both effects can be resummed, (at LL) via shower  
 364 simulations (see the following sections on matching with QED showers and with EW showers), or  
 365 analytically. In the case of Sudakov logarithms, general methods for their calculation [129, 130] and  
 366 techniques for resumming them [131, 132] are already known since quite some time. Based on the study  
 367 already performed for 100 TeV proton–proton collisions [133], at the HE-LHC, the resummation of  
 368 Sudakov effects may be relevant in the tail of distributions.

### 369 *Matching with QED shower*

370 Fixed order computations need to be matched to parton showers, which compute a fully differential  
 371 numerical resummation and implement the evolution of both QCD and EW particles from the hard scale  
 372 to low scales, connecting it to the non-perturbative hadronisation stage to arrive at fully differential  
 373 particle level that can be subjected to detector level data. This matching has been fully automated for  
 374 NLO QCD calculations. At NLO EW accuracy only selected process specific solutions exist [134–138].  
 375 As all parton showers incorporate a joint QCD+QED parton evolution, general matching procedures,  
 376 which are still lacking at the moment, will become available in the near future. This will enable precise  
 377 particle level predictions that can be subjected to detector simulations for highly realistic and detailed  
 378 studies.

379 Additionally, first solutions exist to incorporate approximate electroweak corrections in multijet  
 380 merged calculations [98, 116, 139]. In these approximations, the universal nature of EW corrections  
 381 in the high energy limit, where they are dominated by Sudakov-type logarithms of virtual origin, is  
 382 exploited. Thus, these methods will form the cornerstone of precise particle-level predictions at large  
 383 transverse momenta, which are at the basis of the increased reach of both the HL– and HE–LHC new  
 384 physics search program.

### 385 *Weak showers*

386 All parton showers publicly available in the major Monte-Carlo event generators HERWIG, PYTHIA and  
 387 SHERPA contain both QCD and QED splitting functions to numerically resum the respective logarithms  
 388 at (N)LL accuracy. First steps towards parton showers incorporating also weak effects in their splitting  
 389 functions have been taken recently [140, 141]. The now complete electroweak splitting functions suffer  
 390 from their strong dependence on the helicity of the propagating parton. These parton showers, how-  
 391 ever, operate in the spin-averaged approximation, neglecting all spin-correlations. The current effort to  
 392 understand the full spin dependence of the electroweak part of the evolution of partons [142, 143] in  
 393 analytic resummations is complemented by efforts to keep the full colour and spin structure, including  
 394 non-diagonal parts of the (now matrix-valued) evolution equations, in the parton shower community.  
 395 In time for the High Luminosity Upgrade fully spin-dependent parton evolution will then be incorpo-  
 396 rated in fully differential parton shower resummations that can then produce accurate predictions for the  
 397 emission probabilities of secondary weakly interacting particles and gauge bosons.



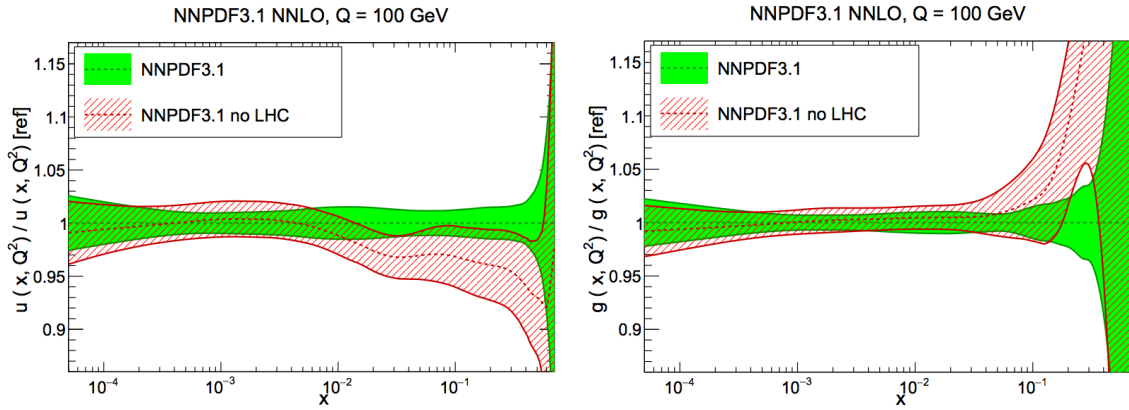


Fig. 1: Comparison of the NNPDF3.1 NNLO fits with and without LHC data, normalized to the central value of the former at  $Q = 100$  GeV. We show the up quark (left) and the gluon (right) plot. The bands indicate the 68% confidence level PDF uncertainty.

### 398 2.3 PDF calculations and tools

399 At the HL-LHC, a precise knowledge of the quark and gluon structure of the proton will be essential  
 400 for many analyses. These include the profiling of the Higgs boson sector [144], direct searches for  
 401 new heavy BSM states [145], indirect BSM searches by *e.g.* means of the SMEFT [146], and the  
 402 measurement of fundamental SM parameters such as the  $W$  boson mass [147], the Weinberg mixing  
 403 angle [148] or the strong coupling constant [149] and its running.

404 In this section we briefly review the PDF tools that will be used in this Report for the studies of the  
 405 SM chapter. We will also highlight those aspects of modern PDF fits that are more relevant for studies at  
 406 the HL-LHC. At the end of this section we will provide some perspectives about the role of PDFs at the  
 407 HE-LHC. We stress that this document is not intended to be a review of recent developments on PDFs,  
 408 and we refer the reader to [150–152] and reference therein, for further details in this sense.

409 The studies presented in this Report will be based mostly on the PDF4LHC15 set [153], con-  
 410 structed from the statistical combination and subsequent reduction [154–156] of the CT14 [157], MMHT14 [158],  
 411 and NNPDF3.0 [159] global analyses. The PDF4LHC15 set is interfaced to matrix-elements calculators  
 412 and Monte Carlo shower programs by means of the LHAPDF6 package [160].

#### 413 *Quantifying the impact of LHC measurements.*

414 In recent years, one of the main developments in global PDF fits has been the increasingly significant  
 415 role played by LHC processes in providing stringent PDF constraints. The combination of high precision  
 416 LHC data with state-of-the-art NNLO theory calculations for such hadronic processes as top-quark pair  
 417 production [161], the transverse momentum spectrum of  $Z$  bosons [162], direct photon production [163],  
 418 and inclusive jet production [164] is having an important impact on precision PDF fits. To illustrate this,  
 419 in Fig. 1 we compare the recent NNPDF3.1 fit [165] with and without the LHC data at  $Q = 100$  GeV  
 420 for the up quark and gluon PDFs. The marked impact of the LHC data for  $x \gtrsim 0.005$  can be observed  
 421 both for central values and for the PDF uncertainties. It is of particular note that only Run I data has  
 422 been included in these fits. Thus, it is clear that the addition of data from Run II and III first and then  
 423 from the HL-LHC, for which the precision and reach will be greatly increased, should lead to further  
 424 improvements in the determination of the proton structure. In a subsequent section of this report, we  
 425 will quantify the impact of HL-LHC measurements, demonstrating that a significant reduction can be  
 426 expected and providing a public PDF set including the expected constraints from the final HL-LHC



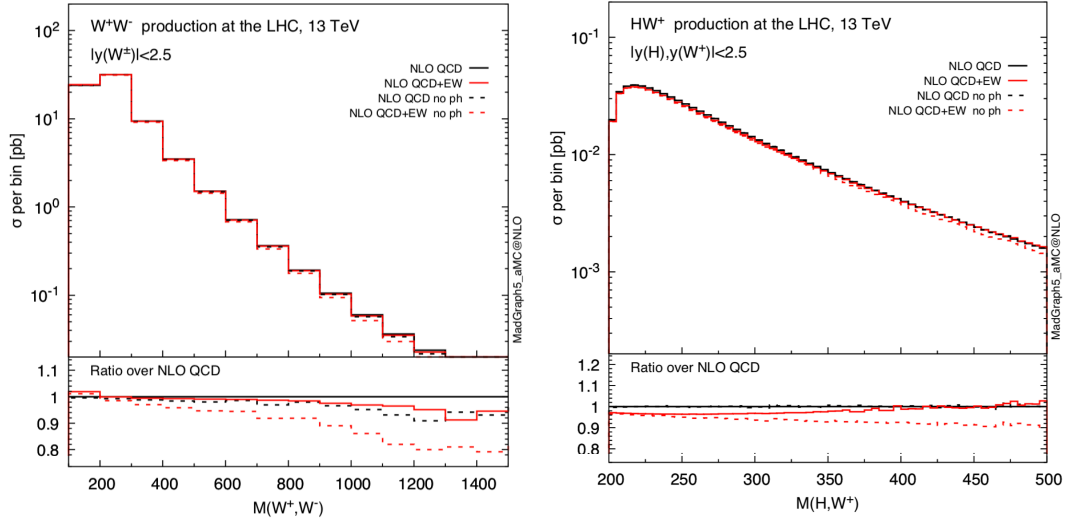


Fig. 2: Photon-initiated contributions partially cancel the NLO EW corrections in the TeV region, as shown for the case of  $W^+W^-$  production (left) and  $hW^+$  production (right plot) at 13 TeV.

427 dataset.

#### 428 *Fast interfaces to (N)NLO calculations*

429 To avoid the direct evaluation of the lengthy (N)NLO hadronic cross sections during the fit itself, a  
 430 method of fast interfaces is generally applied, whereby the CPU time intensive part of the higher-order  
 431 calculation is pre-computed once using a complete interpolation basis for the input PDFs. For a number  
 432 of years, the APPLgrid [166] and Fastnlo [167] tools have been available for a range of NLO processes.  
 433 The former is interfaced to the MCFM [168] and NLOjet++ [169] programs. More recently, the aMCfast  
 434 interface [170] to MadGraph5\_aMC@NLO [12] has also been developed. Results within the Fastnlo  
 435 framework for differential top quark production at NNLO are already available [171, 172], while work  
 436 is ongoing within the APPLfast project to extend the FastNLO and APPLgrid technology to NNLO.  
 437 This will be interfaced by default to the NNLOJET program [164], but will be reusable for other theory  
 438 codes. Thus, for future PDF fits, relevant to HL and HE-LHC running, fast interface implementations of  
 439 NNLO theory calculations are expected to be the standard.

#### 440 *Theoretical uncertainties*

441 Given the high precision expected for HL-LHC data, it will be crucial to include all sources of exper-  
 442 imental, methodological, and theoretical uncertainties associated with PDFs in order to ensure robust  
 443 predictions. An important issue in this context is to estimate the theoretical uncertainties in PDFs due to  
 444 missing higher orders (MHO) in the perturbative expansion for the theory prediction [173], which are  
 445 so far ignored in all global fits. There is by now some evidence that MHOs can be comparable, if not  
 446 larger, than the nominal PDF uncertainties based on the propagation of experimental and methodological  
 447 uncertainties. In this context, HL-LHC projections should ideally be based on PDFs that consistently  
 448 account for MHOs in addition to other sources of uncertainties.

449 To keep such uncertainties to a minimum, global PDF fits will need to include higher-order pertur-  
 450 bative corrections either at fixed-order or at all-orders using some form of resummation. In the former  
 451 case, encouraging recent progress with  $N^3$ LO splitting functions [174] suggest that an (approximate)  
 452  $N^3$ LO fit might be within the reach of the HL-LHC era, to match the precision of partonic cross-sections

453 for processes such as Higgs production in gluon fusion [63,175]. In the latter case, one can use threshold  
454 (BFKL) resummation [176,177] to reduce theoretical uncertainties at the large- $x$  (small- $x$ ) kinematic  
455 regions. Indeed, several state-of-the-art predictions for LHC processes include threshold resummation,  
456 such as for example top quark pair production [178].

#### 457 *Electroweak effects and photon-initiated contributions*

458 The enhanced coverage of the TeV region at the HL-LHC requires not only higher-order QCD cor-  
459 rections to be account for, but also electroweak ones, which can be enhanced due to Sudakov loga-  
460 rithms [179]. In the context of PDF studies, there are two main considerations to take into account. First  
461 of all, exploiting the constraints from the HL-LHC measurements for PDF fits will require systematically  
462 accounting for NLO EW corrections. Secondly, PDFs with QED effects and thus with photon-initiated  
463 contributions should become the baseline. It has now been demonstrated [180,181] (see [182] for a  
464 recent implementation within a global fit) that the photon PDF can be determined with percent-level  
465 uncertainties and carry up to  $\simeq 0.5\%$  of the proton's momentum. For certain processes, in the TeV re-  
466 gion the photon-initiated contributions can have a comparable size but opposite sign to the NLO virtual  
467 EW corrections, and therefore it is crucial to include both consistently. This is illustrated in Fig. 2 in the  
468 specific cases of  $W^+W^-$  and  $hW^+$  production at 13 TeV. A more detailed discussion of EW corrections  
469 for HL-LHC studies is presented later in the report.

#### 470 *Perspectives at the High Energy LHC*

471 At a centre-of-mass energy of  $\sqrt{s} = 27$  GeV, a number of novel phenomena are expected to arise, due to  
472 the increased phase space available. Much of this has already been discussed in the context of the Future  
473 Circular Collider (FCC) studies at  $\sqrt{s} = 100$  TeV [133,183]. To begin with, as illustrated in Fig. 3, when  
474 going to higher energies one becomes more sensitive to the small- $x$  region, even for electroweak-scale  
475 observables, implying that BFKL resummation effects could become relevant.

476 Indeed, for  $M_X \simeq 100$  GeV the NNPDF3.1sx results [177] at NNLO and at NNLO+NLL $x$  for  
477 the  $gg$  luminosities are found to differ at the  $\simeq 5\%$  level at the HE-LHC. In [184] a detailed study  
478 of SM Higgs boson production via gluon fusion has been performed, consistently including BFKL  
479 resummation in the PDFs (via [177]) and coefficient functions. The role of the former is found to be  
480 dominant, and while the impact is mild at the LHC, for the HE-LHC a larger increase is seen relative to  
481 the N<sup>3</sup>LO result with fixed-order NNLO PDFs, that lies outside the fixed-order PDF uncertainty bands,  
482 see Fig. 3 (right). This highlights the important role such effects will play at high energies and precision.

483 Another effect that might become relevant at the HE-LHC are the electroweak PDFs [142,185]  
484 from the resummation of large collinear logarithms of the masses of the  $W$  and  $Z$  bosons, which  
485 become effectively massless at high energies. Related to this is the top quark PDF, which can be  
486 (and is) straightforwardly generated within the standard PDF framework. When included with a suit-  
487 ably matched flavour scheme this may provide a more accurate description of processes involving top  
488 quarks [186,187]. In addition, at  $\sqrt{s} = 27$  TeV, knowledge of the small- $x$  PDFs will be also required for  
489 the modeling of soft and semi-hard QCD dynamics in Monte Carlo event generators [133,188]. In turn,  
490 an improved understanding of the PDFs in the ultra-low- $x$  regime will have implications in high-energy  
491 astrophysics, for processes such as cosmic ray detection and for signal and background event rates in  
492 neutrino telescopes [189].

## 493 **2.4 Effective Field Theory calculations and tools**

### 494 *State of the art*

495 The success of the Standard Model Effective Theory (SMEFT) programme at the LHC relies on the  
496 availability of public tools for calculations in this framework. Among the most important of these are

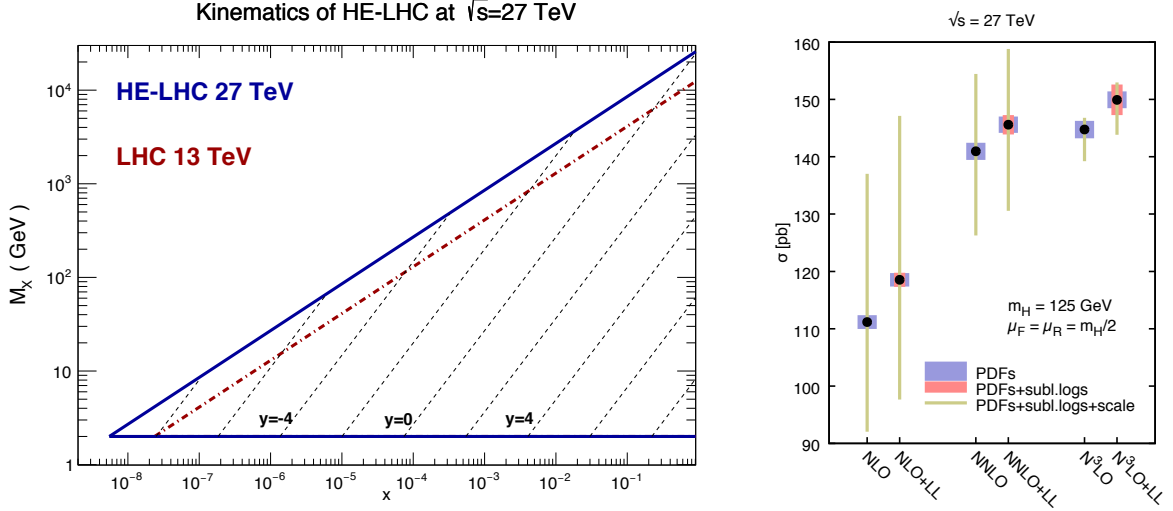


Fig. 3: Left: kinematic coverage of the HE-LHC at  $\sqrt{s} = 27$  TeV compared to 13 TeV. Right: the Higgs cross section at the HE-LHC, for different orders and with/without (LL) low- $x$  resummation, and with uncertainty bands from PDF, subleading logarithms, and scale variations [184].

497 Monte Carlo (MC) tools for providing realistic predictions for collider processes both for phenomeno-  
498 logical studies and experimental analyses. In this respect, significant efforts are being made to implement  
499 the effects of dimension-6 operators in MC event generators. Concerning Leading Order (LO) predic-  
500 tions, recent progress includes SMEFTSIM, a complete implementation of the dimension-6 operators  
501 in the Warsaw basis [190], an alternative implementation of the Warsaw basis in the  $R_\xi$  gauge [191],  
502 DIM6TOP, an implementation of top quark operators under various flavour assumptions [192] and the  
503 Higgs Effective Lagrangian (HEL) [193] implementation of SILH basis operators. Complementary to  
504 SMEFT implementations, there also exist several models of anomalous couplings such as the Higgs  
505 Characterisation [194–196] and BSM Characterisation models [197]. These models are all made avail-  
506 able in the Universal FeynRules Output (UFO) format that can be imported into general purpose Monte  
507 Carlo tools, such as MG5\_AMC@NLO or SHERPA, to generate events and interface them to parton  
508 shower generators (PS). A powerful aspect of this workflow is that, once implemented, the model is  
509 generic enough to enable event generation for any desired process.

510 Implementations of particular processes in the presence of dimension-6 operators exist also in  
511 other frameworks. An example is the weak production of Higgs in association with a vector boson in  
512 POWHEG based on the NLO computation of [198], the implementation of Higgs pair production in the  
513 EFT in HPAIR (including approximate NLO corrections) [199] and in HERWIG [200, 201]. Two well-  
514 known tools for calculating cross sections for Higgs production via gluon fusion including higher order  
515 QCD corrections, HIGLU [202, 203] and SUSHI [204], can also include the effects of modified top and  
516 bottom quark Yukawas and the dimension-5 Higgs-gluon-gluon operator. The latter code also permits  
517 event generation at NLOQCD+PS accuracy via AMCSUSHI [205] including modified top and bottom  
518 quark Yukawa couplings. For a variety of processes with electroweak and Higgs bosons in the final  
519 state (VBF H, W and Z production, weak boson pair production, vector-boson-scattering processes,  
520 triboson production) the VBFNLO program [206, 207] provides NLO QCD corrections together with  
521 implementations of dimension-6 operators and, in the case of VBS and triboson production, dimension-  
522 8 operators.

523 There are also EFT-specific tools providing a number of useful interfaces and calculations. EHDE-  
524 CAY [208, 209] is a package for the calculation of Higgs boson branching fractions including SMEFT

525 effects parametrised by SILH basis operators. The freedom of basis choice in the SMEFT implies  
526 that arbitrarily many equivalent descriptions of the model can be formulated. This has important con-  
527 sequences for the development of EFT tools given that any numerical implementation of EFT effects  
528 requires choosing a specific basis. A SMEFT basis translation tool, ROSETTA [197], can be used to  
529 numerically transform points in parameter space from one basis to another. It adopts the SLHA con-  
530 vention for model parameter specification and provides an interface to Monte Carlo event generation  
531 tools through the aforementioned BSMC model. Furthermore, additional interfaces exist to other pro-  
532 grams such as EHDECAY, internal routines testing compatibility of Higgs signal-strength and EW  
533 precision measurements as well as providing predictions for di-Higgs production cross sections in the  
534 SMEFT. ROSETTA provides SMEFT basis-independent access to these functionalities. A related tool is  
535 DEFT [210], a python code that can check if a set of operators forms a basis, generate a basis and change  
536 between bases. A similar implementation based on FeynRules is ALLYOURBASES, that performs the  
537 reduction of an arbitrary dimension-6 operator into the Warsaw basis operator set. Efforts are also un-  
538 derway to establish a common format for the Wilson coefficients [211], which will allow interfacing  
539 various programs computing the matching and running of the operators such as DIM6TOOLS [212] and  
540 WILSON [213]. A public fitting framework that can be used to obtain constraints on the EFT is HEP-  
541 FIT, which is based on the Bayesian Analysis Toolkit, and includes Higgs and electroweak precision  
542 observables.

### 543 *Future Developments*

544 There is significant progress in computing NLO QCD corrections for the EFT, in both the top and Higgs  
545 sector [198, 214–221]. This progress, now on a process-by-process basis, will eventually lead to a full  
546 automation of QCD corrections for the SMEFT. As experimental measurements become increasingly  
547 systematics dominated, the importance of higher order calculations grows. The complete implementa-  
548 tion of dimension-6 operators at NLO, including some flavour symmetry assumptions, is in preparation.  
549 This implementation will enable the computation of NLO-QCD corrections to any tree-level process,  
550 bringing the Monte Carlo automation to the same level as the Standard Model.

551 Another direction in which progress is expected over the coming years is the computation of  
552 weak corrections in the SMEFT. A small sample of computations has been done, e.g. weak corrections  
553 to Higgs production and decay due to top quark loops [222] and due to modified trilinear Higgs cou-  
554 pling [223–225] as well as Higgs and Z-boson decays [226–231]. Due to the behaviour of the Sudakov  
555 logarithms, weak corrections are typically important for high transverse momentum regions. Therefore  
556 at HE/HL-LHC their impact is expected to be enhanced. It can be expected that the recent progress on a  
557 process-by-process basis will eventually lead to the automation of the computation of weak loops in the  
558 EFT, as in the Standard Model.

559 Finally progress is expected in linking tools which compute the running and mixing of the opera-  
560 tors with Monte Carlo tools. This will allow the automatic computation of cross-sections and differential  
561 distributions taking into account the mixing and running of the operator coefficients.

## 3 Experimental environment at HL-LHC

### 3.1 Analysis methods, particle reconstruction and identification

Different approaches have been used by the experiments and in theoretical prospect studies, hereafter named projections, to assess the sensitivity in searching for new physics at the HL-LHC and HE-LHC. For some of the projections, a mix of the approaches described below is used, in order to deliver the most realistic result. The total integrated luminosity for the HL-LHC dataset is assumed to be  $3000 \text{ fb}^{-1}$  at a center-of-mass energy of 14 TeV. For HE-LHC studies the dataset is assumed to be  $15 \text{ ab}^{-1}$  at a center-of-mass of 27 TeV. The effect of systematic uncertainties is taken into account based on the studies performed for the existing analyses and using common guidelines for projecting the expected improvements that are foreseen thanks to the large dataset and upgraded detectors, as described in Section 3.2.

**Detailed-simulations** are used to assess the performance of reconstructed objects in the upgraded detectors and HL-LHC conditions, as described in Sections 3.1.1, 3.1.2. For some of the projections, such simulations are directly interfaced to different event generators, parton showering (PS) and hadronisation generators. Monte Carlo (MC) generated events are used for standard model (SM) and beyond-the-standard-model (BSM) processes, and are employed in the various projections to estimate the expected contributions of each process.

**Extrapolations** of existing results rely on the existent statistical frameworks to estimate the expected sensitivity for the HL-LHC dataset. The increased center-of-mass energy and the performance of the upgraded detectors are taken into account for most of the extrapolations using scale factors on the individual processes contributing to the signal regions. Such scale factors are derived from the expected cross sections and from detailed simulation studies.

**Fast-simulations** are employed for some of the projections in order to produce a large number of Monte Carlo events and estimate their reconstruction efficiency for the upgraded detectors. The upgraded CMS detector performance is taken into account encoding the expected performance of the upgraded detector in DELPHES [232], including the effects of pile-up interactions. Theoretical contributions use DELPHES [232] with the commonly accepted HL-LHC card corresponding to the upgraded ATLAS and CMS detectors.

**Parametric-simulations** are used for some of the projections to allow a full re-optimization of the analysis selections that profit from the larger available datasets. Particle-level definitions are used for electrons, photons, muons, taus, jets and missing transverse momentum. These are constructed from stable particles of the MC event record with a lifetime larger than  $0.3 \times 10^{-10} \text{ s}$  within the observable pseudorapidity range. Jets are reconstructed using the anti- $k_t$  algorithm [233] implemented in the Fastjet [234] library, with a radius parameter of 0.4. All stable final-state particles are used to reconstruct the jets, except the neutrinos, leptons and photons associated to  $W$  or  $Z$  boson or  $\tau$  lepton decays. The effects of an upgraded ATLAS detector are taken into account by applying energy smearing, efficiencies and fake rates to generator level quantities, following parameterisations based on detector performance studies with the detailed simulations. The effect of the high pileup at the HL-LHC is incorporated by overlaying pileup jets onto the hard-scatter events. Jets from pileup are randomly selected as jets to be considered for analysis with  $\sim 2\%$  efficiency, based on studies of pile-up jet rejection and current experience.

#### 3.1.1 ATLAS and CMS performance

The expected performance of the upgraded ATLAS and CMS detectors has been studied in detail in the context of the Technical Design Reports and subsequent studies; the assumptions used for this report and a more detailed description are available in Ref. [235, 236]. For CMS, the object performance in the central region assumes a barrel calorimeter aging corresponding to an integrated luminosity of

608  $1000 \text{ fb}^{-1}$ .

609 The triggering system for both experiments will be replaced and its impact on the triggering  
610 abilities of each experiment assessed; new capabilities will be added, and, despite the more challenging  
611 conditions, most of the trigger thresholds for common objects are expected to either remain similar to  
612 the current ones or to even decrease [237, 238].

613 The inner detector is expected to be completely replaced by both experiments, notably extending  
614 its coverage to  $|\eta| < 4.0$ . The performance for reconstructing charged particles has been studied in  
615 detail in Ref. [239–241].

616 Electrons and photons are reconstructed from energy deposits in the electromagnetic calorimeter  
617 and information from the inner tracker [242–245]. Several identification working points have been  
618 studied and are employed by the projection studies as most appropriate.

619 Muons are reconstructed combining muon spectrometer and inner tracker information [246, 247].

620 Jets are reconstructed by clustering energy deposits in the electromagnetic and hadronic calorime-  
621 ters [242, 243, 248] using the anti- $k_T$  algorithm [233]. B-jets are identified via  $b$ -tagging algorithms.  
622 B-tagging is performed if the jet is within the tracker acceptance ( $|\eta| < 4.0$ ). Multivariate techniques  
623 are employed in order to identify  $b$ -jets and  $c$ -jets, and were fully re-optimized for the upgraded de-  
624 tectors [239, 241]. An 70%  $b$ -jet efficiency working point is used, unless otherwise noted.

625 High  $p_T$  boosted jets are reconstructed using large-radius anti- $k_T$  jets with a distance parameter  
626 of 0.8. Various jet substructure variables are employed to identify boosted W/Z/Higgs boson and top  
627 quark jets with good discrimination against generic QCD jets.

628 Missing transverse energy is reconstructed following similar algorithms as employed in the cur-  
629 rent data taking. Its performance has been evaluated for standard processes, such as top pair produc-  
630 tion [239, 249].

631 The addition of new precise-timing detectors and its effect on object reconstruction has also been  
632 studied in Ref. [245, 250], although its results are only taken into account in a small subset of the  
633 projections in this report.

### 634 3.1.2 LHCb

635 The LHCb upgrades are shifted with respect to those of ATLAS and CMS. A first upgrade will happen  
636 at the end of Run 2 of the LHC, to run at a luminosity five times larger ( $2 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ ) in LHC Run  
637 3 compared to those in Runs 1 and 2, while maintaining or improving the current detector performance.  
638 This first upgrade (named Upgrade I) will be followed by the so-called Upgrade II (planned at the  
639 end of Run 4) to run at a luminosity of  $\sim 2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ .

640 The LHCb MC simulation used in this document mainly relies on the PYTHIA 8 generator [251]  
641 with a specific LHCb configuration [252], using the CTEQ6 leading-order set of parton density func-  
642 tions [253]. The interaction of the generated particles with the detector, and its response, are imple-  
643 mented using the GEANT toolkit [254, 255], as described in Ref. [256].

644 The reconstruction of jets is done using a particle flow algorithm, with the output of this clustered  
645 using the anti- $k_T$  algorithm as implemented in Fastjet, with a distance parameter of 0.5. Requirements  
646 are placed on the candidate jet in order to reduce the background formed by particles which are either  
647 incorrectly reconstructed or produced in additional pp interactions in the same event.

648 Concerning the increased pile-up, different assumptions are made, but in general the effect is  
649 assumed to be similar to the one in Run 2.



## 650 3.2 Treatment of systematic uncertainties

651 It is a significant challenge to predict the expected systematic uncertainties of physics results at the end  
652 of HL-LHC running. It is reasonable to anticipate improvements to techniques of determining systematic  
653 uncertainties over an additional decade of data-taking. To estimate the expected performance, experts in  
654 the various physics objects and detector systems from ATLAS and CMS have looked at current limita-  
655 tions to systematic uncertainties in detail to determine which contributions are limited by statistics and  
656 where there are more fundamental limitations. Predictions were made taking into account the increased  
657 integrated luminosity and expected potential gains in technique. These recommendations were then har-  
658 monized between the experiments to take advantage of a wider array of expert opinions and to allow the  
659 experiments to make sensitivity predictions on equal footing [235, 236]. For theorists' contributions, a  
660 simplified approach is often adopted, loosely inspired by the improvements predicted by experiments.

661 General guide-lining principles were defined in assessing the expected systematic uncertainties.  
662 Theoretical uncertainties are assumed to be reduced by a factor of two with respect to the current knowl-  
663 edge, thanks to both higher-order calculation as well as reduced PDF uncertainties [257]. All the un-  
664 certainties related to the limited number of simulated events are neglected, under the assumption that  
665 sufficiently large simulation samples will be available by the time the HL-LHC becomes operational. For  
666 all scenarios, the intrinsic statistical uncertainty in the measurement is reduced by a factor  $1/\sqrt{L}$ , where  
667  $L$  is the projection integrated luminosity divided by that of the reference Run 2 analysis. Systematics  
668 driven by intrinsic detector limitations are left unchanged, or revised according to detailed simulation  
669 studies of the upgraded detector. Uncertainties on methods are kept at the same value as in the latest  
670 public results available, assuming that the harsher HL-LHC conditions will be compensated by method  
671 improvements.

672 The uncertainty in the integrated luminosity of the data sample is expected to be reduced down to  
673 1% by a better understanding of the calibration methods and their stability employed in its determination,  
674 and making use of the new capabilities of the upgraded detectors.

675 In addition to the above scenario (often referred to as “YR18 systematics uncertainties” scenario),  
676 results are often compared to the case where the current level of understanding of systematic uncertain-  
677 ties is assumed (“Run 2 systematic uncertainties”) or to the case of statistical-only uncertainties.

## 678 3.3 Precision Luminosity

### 679 3.3.1 Motivation

680 Measurements of production cross sections provide fundamental tests of theoretical predictions. Ul-  
681 timate precision both of the experimental measurements and the theoretical predictions is required in  
682 order to determine fundamental parameters of the standard model and to constrain or discover beyond-  
683 the-standard-model phenomena. At the LHC, the precision of cross section measurements is limited by  
684 the uncertainty of the integrated luminosity, currently about 2%. The impact of all other experimental  
685 uncertainties combined is smaller than  $\sim 1\%$  (2–3%) for Drell-Yan ( $t\bar{t}$ ) cross section measurements,  
686 respectively [258, 259]. For the High-Luminosity LHC (HL-LHC) [260], significant improvements of  
687 the luminosity measurement are being planned. A target uncertainty of 1% has been set, and this is also  
688 assumed for many of the results presented in this report. Such improvement is expected to be achieved  
689 by combination of improved luminosity detector instrumentation, currently in the design phase, and re-  
690 fined analysis techniques, rapidly developing during the analysis of Run-II data. In the following, we  
691 provide a short description of the general plan towards the 1% target for the integrated luminosity at the  
692 HL-LHC.

### 693 3.3.2 *Van der Meer Scans*

694 At hadron colliders, the precision of theoretical predictions for inclusive cross sections, e.g. for  $Z/\gamma^*$   
695 production, is limited by the knowledge of the parton density functions (PDFs) in the proton, and the  
696 uncertainty is of the order of 3–5% [261]. A more precise, and purely experimental method to determine  
697 the luminosity is based on the Van der Meer (VdM) scan technique [262]. In VdM scans, beam axes are  
698 moved in the transverse planes,  $x$  and  $y$ , across each other such that the beam overlap integral can be  
699 determined. From the measured overlap integral, and the beam currents, the instantaneous luminosity  
700 during the VdM scan is determined [263].

701 In practice, VdM scan data are typically recorded with a small number of low pile-up bunches well  
702 separated in time, with special interaction-region optics optimised for the measurement of the luminous-  
703 region parameters [263–265], and with the bunch intensity lowered to about 3/4 of that during physics  
704 runs so as to reduce beam-beam biases while retaining adequate statistics in the luminometers. To trans-  
705 fer the luminosity information from VdM scans to high-PU operation, rate measurements are performed  
706 during the VdM scan, in several detectors. The absolute scale, i.e. the relation between the measured rate  
707 in a given detector and the luminosity measurement is a detector-specific calibration constant, usually  
708 referred to as visible cross section  $\sigma_{\text{vis}}$ , relating the measured event rate  $dN/dt$  to the instantaneous  
709 luminosity through the relation  $dN/dt = L \cdot \sigma_{\text{vis}}$ . The integrated luminosity for a complete data taking  
710 period, e.g. a full year of data taking is then obtained by continuous rate measurements throughout the  
711 year. The integrated normalized rate measurement then corresponds to the integrated luminosity.

### 712 3.3.3 *Systematic Uncertainties*

713 The uncertainty in the integrated luminosity consists of three components [265,266]: the absolute-scale  
714 uncertainty, i.e. that on the measured visible cross-sections extracted from the VdM-scan analysis;  
715 the calibration-transfer uncertainty, which affects the extrapolation of the visible cross-section from  
716 the low pile-up, low luminosity VdM regime to the high pile-up, high luminosity physics regime; and  
717 the stability uncertainty, that arises from possible time-dependencies and degradations of the detector  
718 response affecting the rate measurement over time. Improved analysis techniques, better detectors and  
719 extended data takings dedicated to precision luminosity measurements are required to reduce the current  
720 uncertainty towards the 1% goal.

#### 721 *Absolute Scale Uncertainty*

722 Dominant uncertainties in the luminosity scale arise from the modeling of, and the potential non-linear  
723 correlations between, the horizontal and vertical beam profiles; from inconsistencies between equivalent  
724 visible cross-section measurements carried out during the same calibration session or using different  
725 luminometers; from the absolute displacement scale of the beams during the scans; and from beam-orbit  
726 stability. In Run 2, these and other uncertainties have been reduced using refined methods and dedicated  
727 additional data have been recorded for such specific purposes. Improvements of the uncertainty can  
728 be achieved by combination of different complementary approaches, of results obtained using different  
729 detectors, and of datasets obtained from different VdM scans.

730 An alternative technique, complementary to VdM scans, was established by the LHCb experi-  
731 ment [267]. The shape of a single beam is measured as the distribution of beam-gas interactions. For  
732 this purpose a gas is injected into the interaction region during the VdM fill. The combination of VdM-  
733 scan and beam-gas imaging measurements leads to further reduction of the uncertainty, at least for  
734 LHCb, thanks to the exquisite performance of the VELO vertex detector.



735 *Calibration-transfer Uncertainty*

736 In the HL-LHC area, the VdM calibration will typically be carried out under similar conditions as in  
737 Run 2, i.e. at a pile-up level of about 0.5 interactions per bunch crossing, and with a luminosity of a few  
738 Hz/microbarn. In contrast, the physics running during the HL-LHC, will be characterized by pile-up  
739 parameters of up to 200 interactions per bunch crossing, and by average instantaneous luminosities of 50  
740 Hz/nb, two to three times the peak instantaneous luminosity achieved so far. This will lead to an increase  
741 of the uncertainties associated with non-linearities in luminometer response. Most luminosity detectors  
742 for HL-LHC are still being designed. Drawing on Run 1 and Run 2 experience with precision luminosity  
743 measurements, the design of the future detectors aims to reduce the associated systematic uncertainties.  
744 HL-LHC detectors are required to behave linearly over several orders of magnitude in their track, energy  
745 or hit rate measurements, with residual non-linearities that are reproducible and monitorable. Special  
746 runs with scans at intermediate instantaneous luminosity can be used to pin down nonlinear behaviour  
747 further.

748 *Long-term stability and consistency of luminosity measurements*

749 In the past, one obvious way to determine stability and linearity effects has been to devise and compare  
750 the luminosity measurements by several detectors, using different technologies, with uncorrelated sys-  
751 tematics. Since 2016, experiments started to exploit so-called emittance scans. These are short VdM  
752 scans (duration of minutes) performed at standard physics optics and currents, regularly at the beginning  
753 and at the end of fills [268–271]. While the emittance scans are not primarily designed for the preci-  
754 sion determination of  $\sigma_{\text{vis}}$ , trends over time, or as a function of instantaneous luminosity, can be used  
755 to determine stability effects, such as aging, independently for each given detector. The combination  
756 of emittance scans and of rate comparisons between redundant and independent detector systems has  
757 been successfully used to discover and control drifts and trends throughout Run-2, the longest LHC  
758 data-taking period so far, during which  $150 \text{ fb}^{-1}$  worth of data were recorded. As a result, the uncer-  
759 tainty in the integrated luminosity in recent years remained at around 2-2.5% even though the pile-up  
760 extrapolation range and the duration of the integration periods increased significantly.

761 *Recent Ideas*

762 Additional methods are being discussed among luminosity experts of the LHC experiments and machine.  
763 One method recently developed is to use the rate measurement of  $Z \rightarrow \mu\mu$  production [272]. This  
764 is a high-rate physics process with in-situ calibration capabilities. Luminosity and Z boson rate are  
765 experimentally related through the following formula:  $\sigma_Z = N_Z / (L \times \epsilon_{Z \rightarrow \mu\mu})$  where  $N_Z$  is the number  
766 of reconstructed Z bosons,  $L$  the integrated luminosity, and  $\epsilon_{Z \rightarrow \mu\mu}$  the  $Z \rightarrow \mu\mu$  event reconstruction  
767 efficiency. If  $\epsilon_{Z \rightarrow \mu\mu}$  and  $L$  are known, then the fiducial Z boson production cross section  $\sigma_Z$  can directly  
768 be determined from the measured event rate. To minimize the uncertainties associated with luminometer  
769 non-linearities and long-term stability, the fiducial Z boson cross section is measured from data recorded  
770 during an extended proton–proton production run at low pileup. This run should be close in time to  
771 one or two extended VdM scans. The efficiency  $\epsilon_{Z \rightarrow \mu\mu}$  can be determined in situ, using the tag-and-  
772 probe method on the same event sample [272]. Once the cross section is measured at sub-percent level  
773 precision, the continuous rate measurement can be used to transfer the calibration to the high pileup  
774 dataset. The integrated luminosity will be given by the total number of produced Z bosons, corrected  
775 by the time-integrated muon identification efficiency with an uncertainty consisting of the absolute scale  
776 uncertainty from the VdM scan (or, in LHCb, beam-gas imaging scan), and a remaining uncertainty in  
777 the pileup dependency of the muon identification efficiency.

778 **3.3.4 Conclusions Towards HL-LHC**

779 The aim for HL-LHC is to measure luminosity with substantially improved precision. This aim can be  
780 achieved by combination of three ingredients:

- 781 1. High precision luminosity detectors are needed to provide high-granularity bunch-by-bunch lumi-  
782 nosity measurements, with very good linearity and stability.
- 783 2. Advanced, multiple and redundant VdM scans and refined VdM analysis techniques can lead to  
784 substantial improvements.
- 785 3. Novel techniques, such as the measurement of fiducial Z boson production rates exploiting in-situ  
786 efficiency determination, provide handles for advancement of the integrated luminosity uncer-  
787 tainty towards the 1% target.

788 In order to achieve these goals during HL-LHC, a suite of tests and proof-of-concept measure-  
789 ments is being developed which should be carried out already during Run-3.

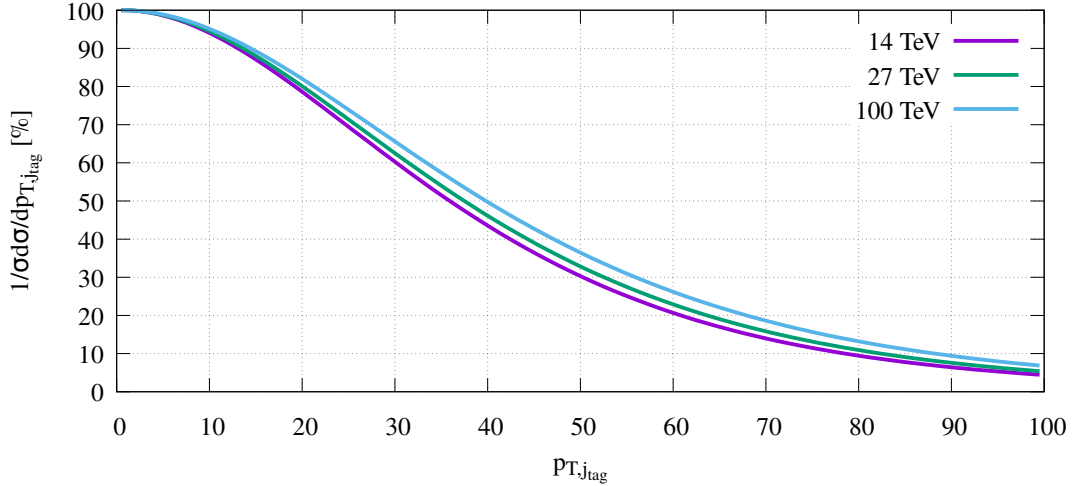


Fig. 4: Total VBF cross section after imposing a  $p_T$  cut on the two hardest jets of  $p_{T,j_{tag}}$  for three different collider energies. The results shown here are computed at LO.

## 790 4 Electroweak processes

### 791 4.1 Vector boson fusion processes

The common setup for this chapter is taken from the YR4 [144]. For completeness we repeat the relevant parameters here. The gauge boson masses and widths are set to

$$m_W = 80.385 \text{ GeV}, \quad \Gamma_W = 2.085 \text{ GeV}. \quad (1)$$

$$m_Z = 91.1876 \text{ GeV}, \quad \Gamma_Z = 2.4952 \text{ GeV}. \quad (2)$$

and the Fermi constant is

$$G_F = 1.16637 \cdot 10^{-5} \text{ GeV}^{-2}. \quad (3)$$

We always assume the Higgs to be in the narrow width approximation and use the Higgs mass

$$m_H = 125 \text{ GeV}. \quad (4)$$

792 We use the parton distribution function PDF4LHC15\_nnlo\_100\_pdfas and set the central renormalisa-  
793 tion and factorisation scale to  $\mu_0 = m_W$  unless otherwise specified.

794 In this chapter we discuss the prospects of studying vector boson fusion Higgs production at the  
795 HL-LHC and the HE-LHC respectively. In particular we investigate how hard and how forward the two  
796 tag jets are expected to be at 27 TeV. We also discuss the efficiency of VBF cuts, and report fiducial  
797 cross sections and differential distributions for a set of typical analysis cuts.

798 We also report on the quality of the VBF approximation, in particular when extra jet activity in  
799 addition to the two tag jets are required.

#### 800 4.1.1 Detector requirements

801 In this section we discuss the impact of  $p_T$  and rapidity cuts on the two hardest tag jets. VBF production  
802 is characterized by two hard and forward jets. The requirement of two such jets can significantly reduce

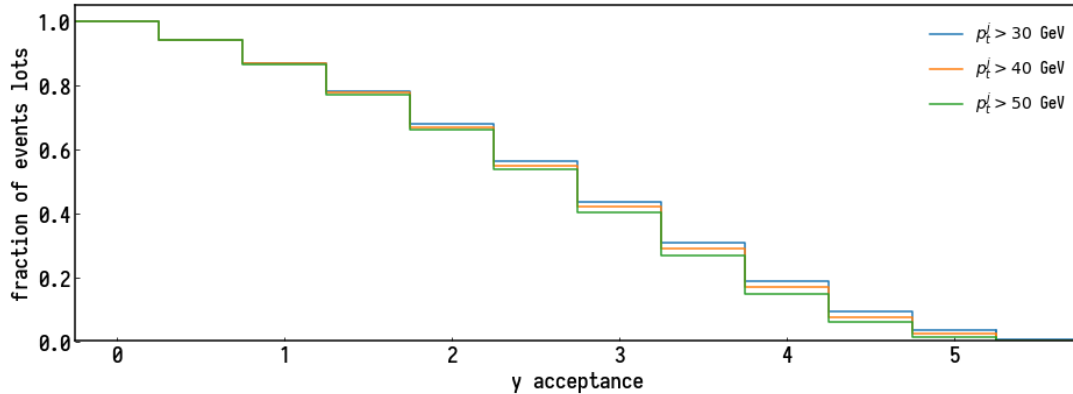


Fig. 5: Fraction of events lost as a function of the rapidity acceptance of the detector at a collider energy of  $\sqrt{s} = 27$  TeV. Results shown for three different tagjet transverse momentum cuts. The results shown here are computed at LO.

803 the QCD induced background along with the electroweak production stemming from s-channel produc-  
 804 tion. The transverse hardness of the VBF jets is fundamentally set by the mass scale of the virtual vector  
 805 bosons. It is therefore expected that the jet spectrum is not very sensitive to the collider centre-of-mass  
 806 energy, and in particular that the jets do not get appreciably harder when increasing the energy.

807 In figure 4 we show the total VBF cross section that survives the cut on the transverse momentum  
 808 of the two tag jets for the three collider energies 14, 27, and 100 TeV. As can be seen, the cross section  
 809 drops rapidly as the cut is increased. In particular, at 27 TeV, there is a drop from roughly 60% for  
 810  $p_{T,tag} > 30$  GeV to roughly 30% for  $p_{T,tag} > 50$  GeV. It will therefore be of great importance to the  
 811 VBF program to be able to keep the jet definition not too hard.

812 Given that the two tag jets tend to be forward in the detector volume, it is of interest to study how  
 813 many jets are lost above a certain rapidity threshold. In figure 5 we show the fraction of events with  
 814  $\max |y_{j_1}|, |y_{j_2}|$  above some threshold at  $\sqrt{s} = 27$  TeV for various jet  $p_T$  definitions. As can be seen  
 815 from the plot, about 20% of the cross section has  $\max |y_{j_1}|, |y_{j_2}| > 4$ . For comparison, this number  
 816 is  $\sim 5\%$  at 14 TeV. Additionally we find that the number increases to  $\sim 30\%$  when imposing the cuts  
 817 of section 4.1.3. Hence, in order to maximize the potential of VBF analyses at the HE-LHC it will be  
 818 crucial that the detectors have a rapidity reach beyond 4.0.

#### 819 4.1.2 HL-LHC

In this section we present fiducial cross sections and differential distributions for VBF production with  
 a centre of mass energy of  $\sqrt{s} = 14$  TeV. The VBF cuts are the same as used in the YR4. We require  
 two anti- $k_T$  jets with  $R = 0.4$  and

$$p_T^j > 20 \text{ GeV}, \quad |y_j| < 5.0, \quad |y_{j_1} - y_{j_2}| > 3.0, \quad M_{jj} > 130 \text{ GeV}. \quad (5)$$

820 The requirement on the rapidity separation and invariant mass significantly reduces background contri-  
 821 butions to the process  $pp \rightarrow Hjj$ .

#### 822 Fiducial cross sections and differential distributions

823 In Table 1 we report the fiducial VBF cross section under the above cuts. The cross section includes  
 824 NNLO-QCD corrections in the DIS approximation and NLO-EW corrections including photon induced  
 825 contributions. Shown separately is the s-channel contribution which is not included in the total num-  
 826 ber. The NNLO-QCD corrections have been computed with proVBFH-1.1.0 [51, 65, 273–275] and the  
 827 electroweak contributions with HAWK-2.0 [276–279].

Table 1: Fiducial VBF cross sections including QCD and EW corrections and their uncertainties for collider energy  $\sqrt{s} = 14$  TeV and for a Higgs-boson mass  $m_H = 125$  GeV. The QCD corrections have been updated compared to those reported in the YR4.

$\sigma^{\text{VBF}}$ [fb]	$\Delta_{\text{scale}}$ [%]	$\Delta_{\text{PDF} \oplus \alpha_s}$ [%]	$\sigma_{\text{NNLOQCD}}^{\text{DIS}}$ [fb]	$\delta_{\text{EW}}$ [%]	$\sigma_\gamma$ [fb]	$\sigma_{s\text{-channel}}$ [fb]
2259	$^{+1.5}_{-1.3}$	$\pm 2.1 / \pm 0.4 / \pm 2.1$	2401	-6.9	23.6	32.9

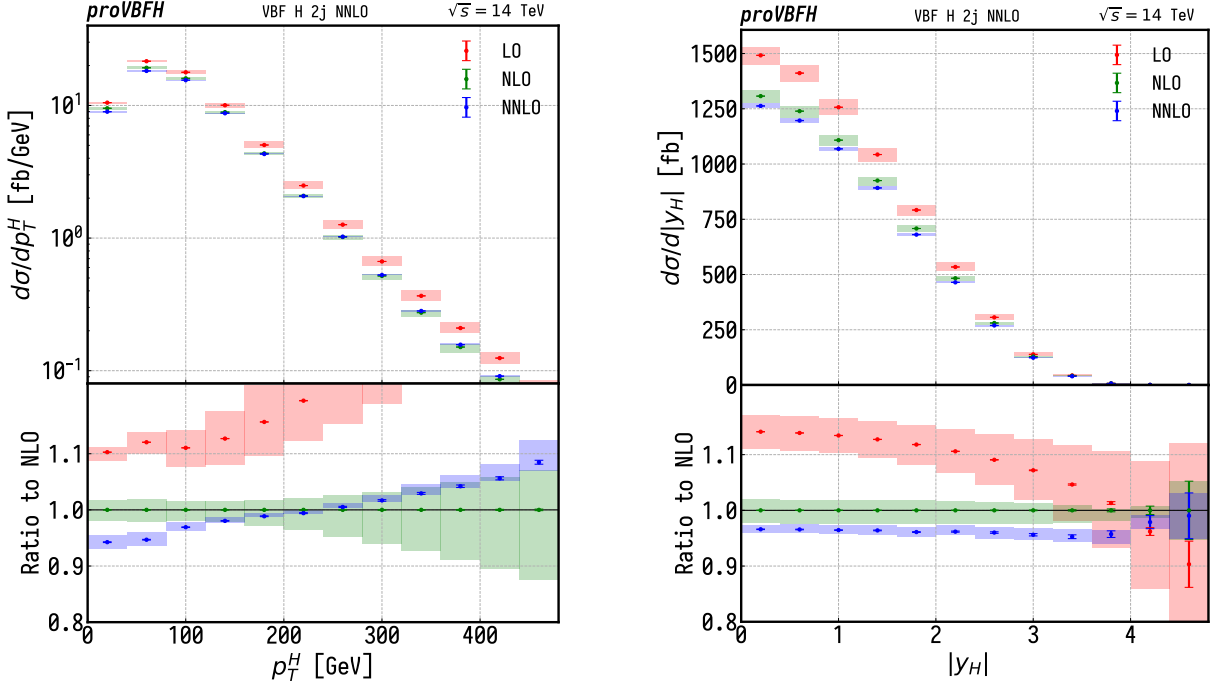


Fig. 6: Transverse momentum and rapidity of the Higgs boson after the cuts of (5) and at collider energy  $\sqrt{s} = 14$  TeV.

### 828 4.1.3 HE-LHC

829 In this section we present fiducial cross sections for a center of mass energy of  $\sqrt{s} = 27$  TeV. All  
830 physical parameters are kept unchanged with respect to the previous sections. In section 4.1.3 we com-  
831 pare the contributions of the gluon fusion (ggF) and VBF channels to Hjj production. In section 4.1.3  
832 we study the effect of the NLO and NNLO QCD corrections to VBF Hjj production as computed in  
833 NNLOJET [280] with a redefined set of VBF cuts for the new energy choice.

#### 834 Comparison of VBF to ggF background

For this comparison we do not apply any kind of VBF cuts, requiring only two jets with

$$p_T^j > 30 \text{ GeV}, \quad |y_j| < 5.0, \quad (6)$$

835 defined using the anti- $k_t$  algorithm [281] with  $R = 0.4$ . The total cross section for ggF and VBF is  
836 shown in Table 2. Both the ggF and VBF contributions are computed with the parton-level Monte Carlo  
837 NNLOJET which includes ggF Higgs production in the heavy top limit (HTL) [67, 68, 282–284] among  
838 other processes [19, 164, 285–291]. The comparison of Table 2 is done at NLO QCD since Higgs plus  
839 two jets in gluon fusion is only available at this accuracy level.

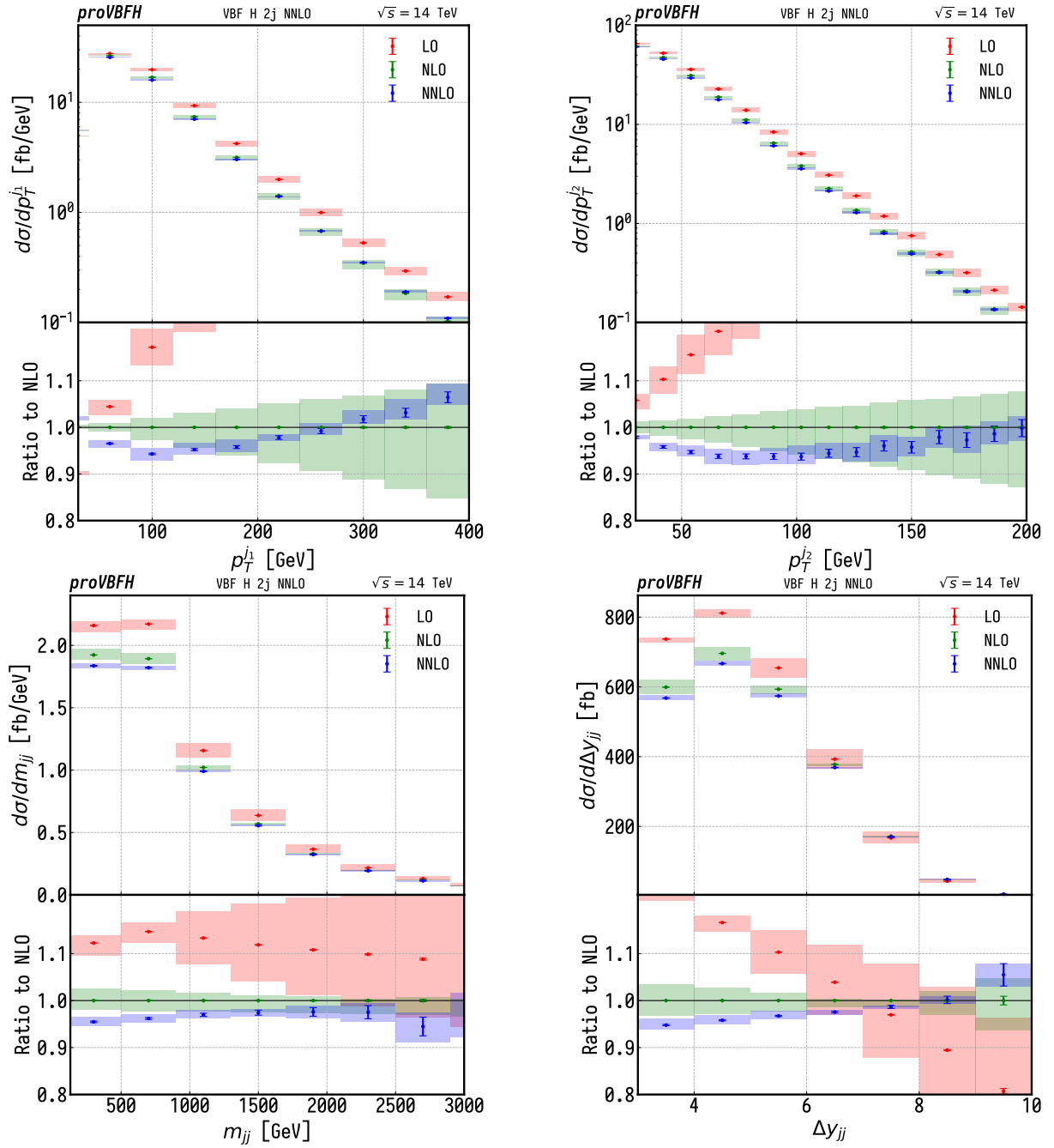


Fig. 7: In the top row the transverse momentum the two hardest jet after the cuts of (5) and at collider energy  $\sqrt{s} = 14$  TeV. In the bottom row the invariant mass and absolute rapidity gap between the two hardest jets.

Table 2: Comparison between gluon fusion and vector boson fusion for a center of mass energy  $\sqrt{s} = 27$  TeV.

Production mode	Total cross section (fb)	% of Total
ggF (HTL)	$21984 \pm 10$	$75.32 \pm 0.04$
VBF	$7203 \pm 2$	$24.68 \pm 0.01$

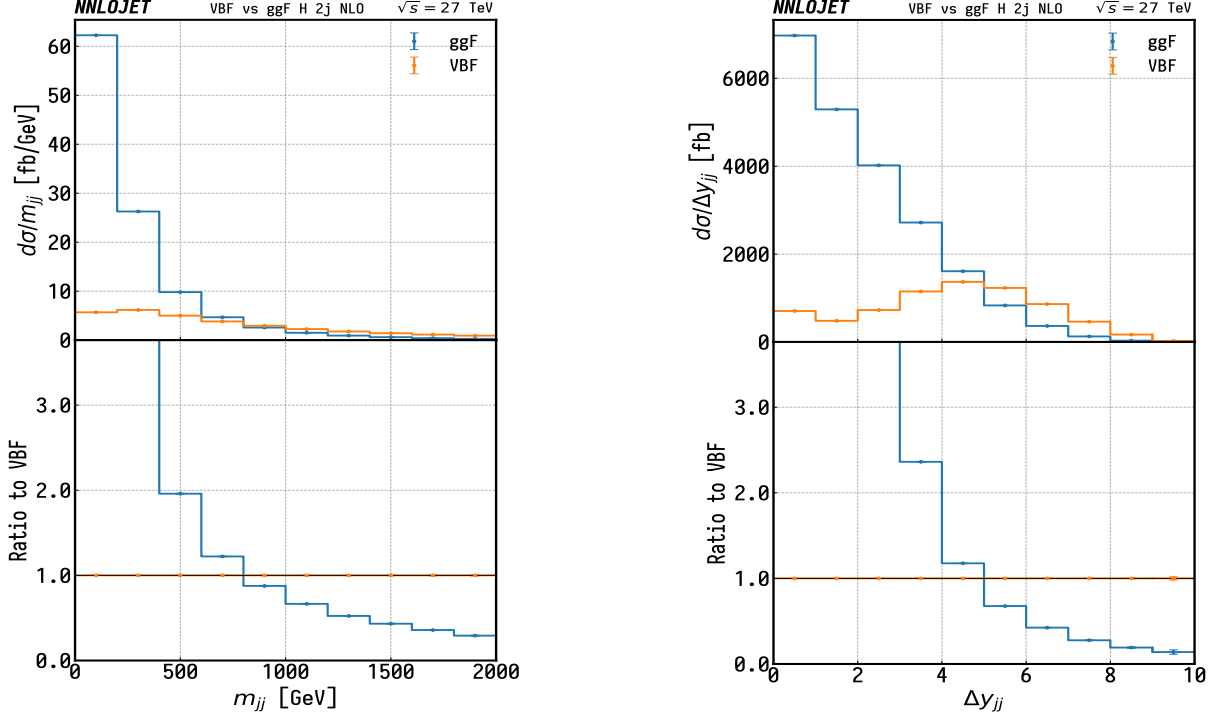


Fig. 8: Differential distributions for the invariant mass (left) and spatial distribution (right) of the dijet system. At lower values of  $m_{jj}$  and  $\Delta y_{jj}$  we observe a strong dominance of the ggF channel. For larger values of both observables, however, the VBF channel gains importance.

840 In order to define a set of cuts which enhance the VBF contribution we study the invariant mass  
841 ( $m_{jj}$ ) and the spacial distribution (through the rapidity gap between both jets,  $\Delta y_{jj}$ ) of the dijet system  
842 formed by the two leading jets. The VBF production mode dominates over ggF in the large rapidity  
843 separation region ( $\Delta y_{jj} > 4.5$ ) as well as for moderate and high values of the dijet invariant mass  
844 ( $m_{jj} > 700$  GeV).

#### 845 *Fiducial cross sections and differential distributions*

We define the fiducial cross section for VBF at  $\sqrt{s} = 27$  TeV with a set of tight VBF cuts,

$$\Delta y_{jj} > 4.5, \quad m_{jj} > 600 \text{ GeV}, \quad (7)$$

846 requiring the two leading jets to be found in opposite rapidity hemispheres with a maximum rapidity  
847 of  $|y_j| < 5.0$ . In Table 3 the fiducial cross section is computed for three choices of the cut on the  
848 transverse momentum of the two leading jets:  $p_T^j > \{30, 40, 50\}$  GeV while differential distributions  
849 for  $p_T^j > 30$  GeV are shown in Figs. 9 to 10. The Hjj contribution in the VBF approximation as well  
850 as plots in this section are calculated at NNLO QCD accuracy with NNLOJET, electroweak corrections  
851 and the  $s$ -channel contribution shown in Table 3 are again computed with HAWK-2.0. Shaded boxes in

Table 3: Fiducial VBF cross sections including QCD and EW corrections and their uncertainties for collider energy  $\sqrt{s} = 27$  TeV ( $m_H = 125$  GeV). For completeness the  $s$ -channel contribution (corresponding to  $pp \rightarrow HV \rightarrow q\bar{q}$ ) is also included.

$\sigma^{\text{VBF}}$ [fb]	$\Delta_{\text{scale}}$ [%]	$\sigma_{\text{NNLOQCD}}^{\text{DIS}}$ [fb]	$\delta_{\text{EW}}$ [%]	$\sigma_{\gamma}$ [fb]	$\sigma_{s\text{-channel}}$ [fb]	$p_T^j$ cut [GeV]
2805	+1.05 -0.02	3059	-9.6	39.8	5.9	30
2087	+1.13 -1.05	2283	-10.0	32.3	4.4	40
1442	+1.43 -1.61	1586	-10.5	22.3	3.0	50

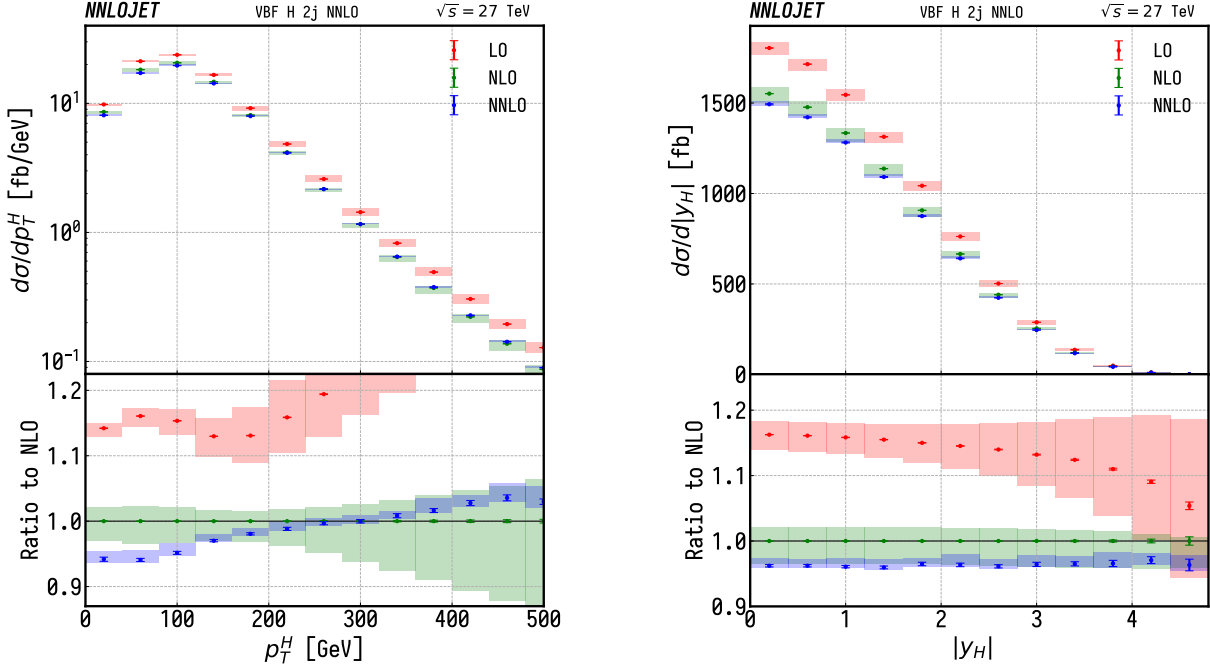


Fig. 9: Kinematical variables for the Higgs boson at  $\sqrt{s} = 27$  TeV for tight VBF cuts. The NLO corrections are of more than -10 % across the whole considered range. The NNLO corrections, much smaller than NLO, show good convergence of the perturbative series. The NNLO corrections changes sign for high transverse momentum (left). For the rapidity distribution (right) they remain stable across the entire range of the observable.

852 all plots represent scale variations with  $\mu_R = \mu_F = \{0.5, 2\}\mu_0$  with the central scale  $\mu_0 = m_W$  and  
853 error bars represent statistical uncertainties from the Monte Carlo integration. In Fig. 9 the transverse  
854 momentum and rapidity distribution of the Higgs boson is shown. The kinematical variables for the  
855 system formed by the two leading jets is shown in Fig. 10.

### 856 Comparison of HJets++ and VBFNLO matrix elements in Higgs boson production

857 The HJets++ 1.1 module implements [292–295] electroweak Higgs boson plus two and three jet pro-  
858 duction. The one-loop integrals are computed using the techniques discussed in Ref. [296] and the  
859 colour algebra is performed using ColorFull [297]. For the VBF approximation we rely on the ma-  
860 trix elements encoded in VBFNLO version 3.0 beta 5 [206, 207, 298, 299]. We use Herwig 7 for the  
861 event generator [201, 300–302]. Jet reconstruction is performed on final state partons using the anti- $k_t$   
862 algorithm [281] in the fastjet library [303]. Simulated events are analyzed via Rivet [304]. This



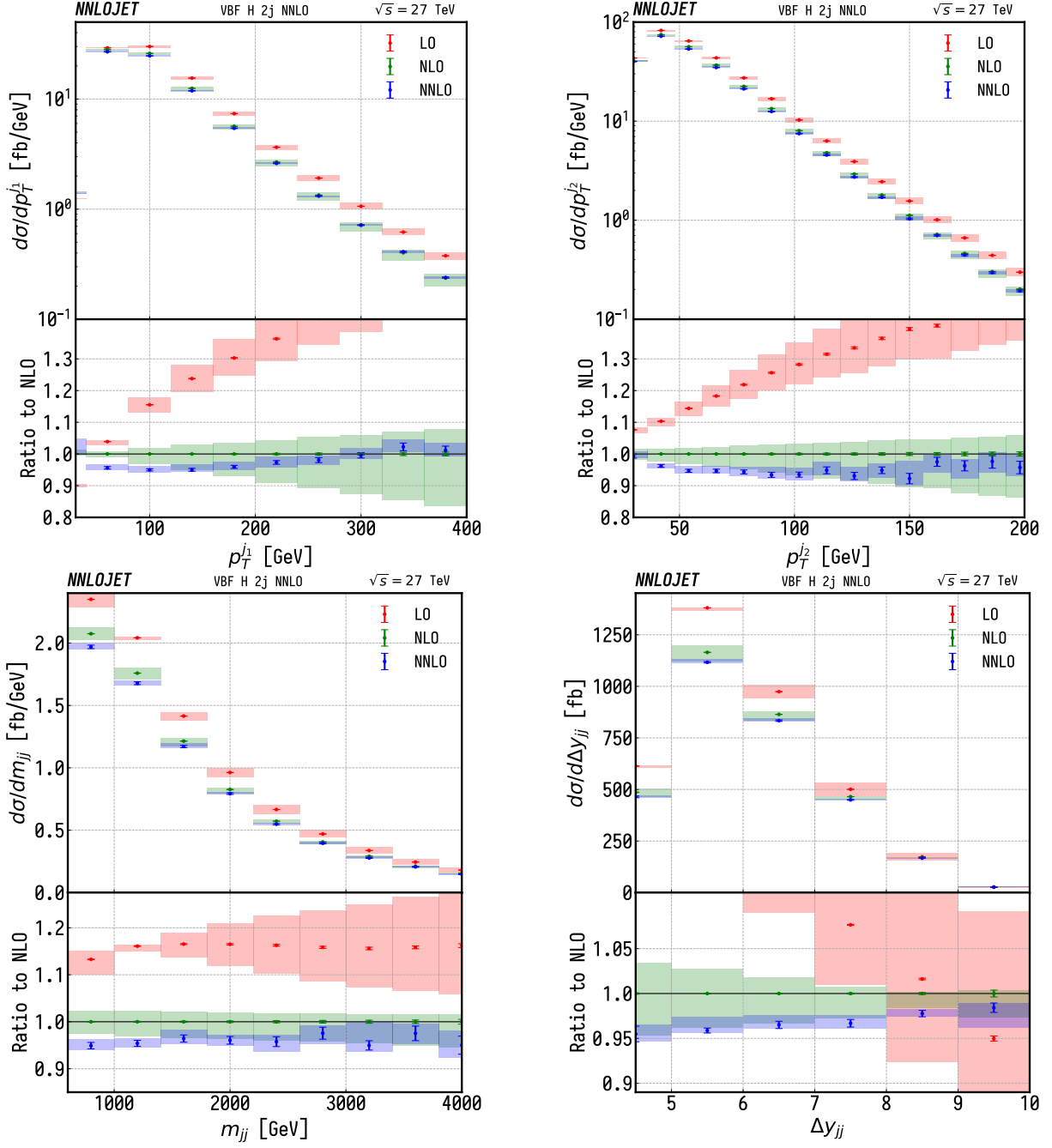


Fig. 10: In the top row we show the transverse momentum of the two leading jets ordered in rapidity at  $\sqrt{s} = 27$  TeV for tight VBF cuts. In the bottom row we show the kinematical variables for the dijet system they form. Note that NNLO corrections noticeably reduce the scale uncertainties for both observables over the entire range we consider. NLO corrections are big for moderate and high transverse momentum with a scale uncertainty that grows with the transverse momentum. This behaviour is softened by the NNLO corrections.

863 work used the Extreme Science and Engineering Discovery Environment (XSEDE) [305] Open Science  
 864 Grid [306,307] through allocation of Wichita State University.

### 865 *Higgs plus two jets*

This section shows comparison plots of Higgs plus two jet calculations. The collider energy is set as  $\sqrt{s} = 14$  TeV and  $\sqrt{s} = 27$  TeV. Two kinematic variables: invariant mass  $m_{jj}$  and spatial distribution  $\Delta y_{jj}$  are chosen to present their differential distributions in this section. We use the parton distribution functions PDF4\_LHC15\_nlo\_100 and all other input parameters are the same as shown in section 4.1. Differential distributions for leading order, leading order plus parton shower, next-to-leading order, and next-to-leading order plus parton shower are shown in the Fig. 11, with the inclusive cuts defined in Eq. (6). Comparison plots between two different matrix elements, HJets++ and VBFNLO are shown in Fig. 12. The tight VBF cuts applied for  $\sqrt{s} = 14$  TeV are defined as

$$p_T^j > 30 \text{ GeV}, \quad |y_j| < 5.0, \quad |y_{j_1} - y_{j_2}| > 3.0, \quad M_{jj} > 130 \text{ GeV}. \quad (8)$$

866 For  $\sqrt{s} = 27$  TeV comparison plots, we used the tight VBF cuts defined in Eq. 7. The VBFNLO  
 867 calculation is consistent with the HJets++ calculation after applied the tight VBF cut.

### 868 *Higgs plus three jets*

869 In this section we present NLO results for Higgs boson plus three jet production for the following collider  
 870 energies:  $\sqrt{s} = 27$  TeV and  $\sqrt{s} = 14$  TeV. Fig. 13 depicts the rapidity separation of the leading two  
 871 jets  $\Delta y_{jj}$ , the invariant mass of the leading two jets  $m_{jj}$ , and the transverse momentum of the third  
 872 hardest jet  $p_T^{j_3}$ , and for the full calculation using HJets++ matrix elements for a collider energies of  
 873  $\sqrt{s} = 14$  TeV and  $\sqrt{s} = 27$  TeV for inclusive selection cuts. For inclusive cuts for both choices of  
 874 collider energy we observe a large  $K$ -factor in the transverse momentum of the third jet  $p_T^{j_3}$ .

875 Fig. 14 shows differential distributions of kinematics variables for the NLO full and approximate  
 876 results at  $\sqrt{s} = 14$  TeV and  $\sqrt{s} = 27$  TeV. The comparison of the full and approximate calculations  
 877 are shown in the second and third rows of Fig. 14 for tight VBF cuts for the transverse momentum of the  
 878 third jet  $p_T^{j_3}$  and the centrality of the third jet  $y_{j_3}^* = (y_{j_3} - \frac{1}{2}(y_{j_1} + y_{j_2}))/|y_{j_1} - y_{j_2}|$ . For  $\sqrt{s} = 27$  TeV  
 879 the tight VBF cuts are:  $\Delta y_{jj} > 4.5$ ,  $m_{jj} > 600$  GeV, and  $y_{j_1} \cdot y_{j_2} < 0$ . For these selection cuts we see  
 880 excellent agreement between the full and approximate calculation. For  $\sqrt{s} = 14$  TeV the tight VBF cuts  
 881 are:  $\Delta y_{jj} > 3.0$ ,  $m_{jj} > 130$  GeV, and  $y_{j_1} \cdot y_{j_2} < 0$ . For these selections cuts the full and approximate  
 882 calculations still do not converge. However, if we had chosen  $\Delta y_{jj} > 4.0$  the full and approximate  
 883 calculations would compare quite well.

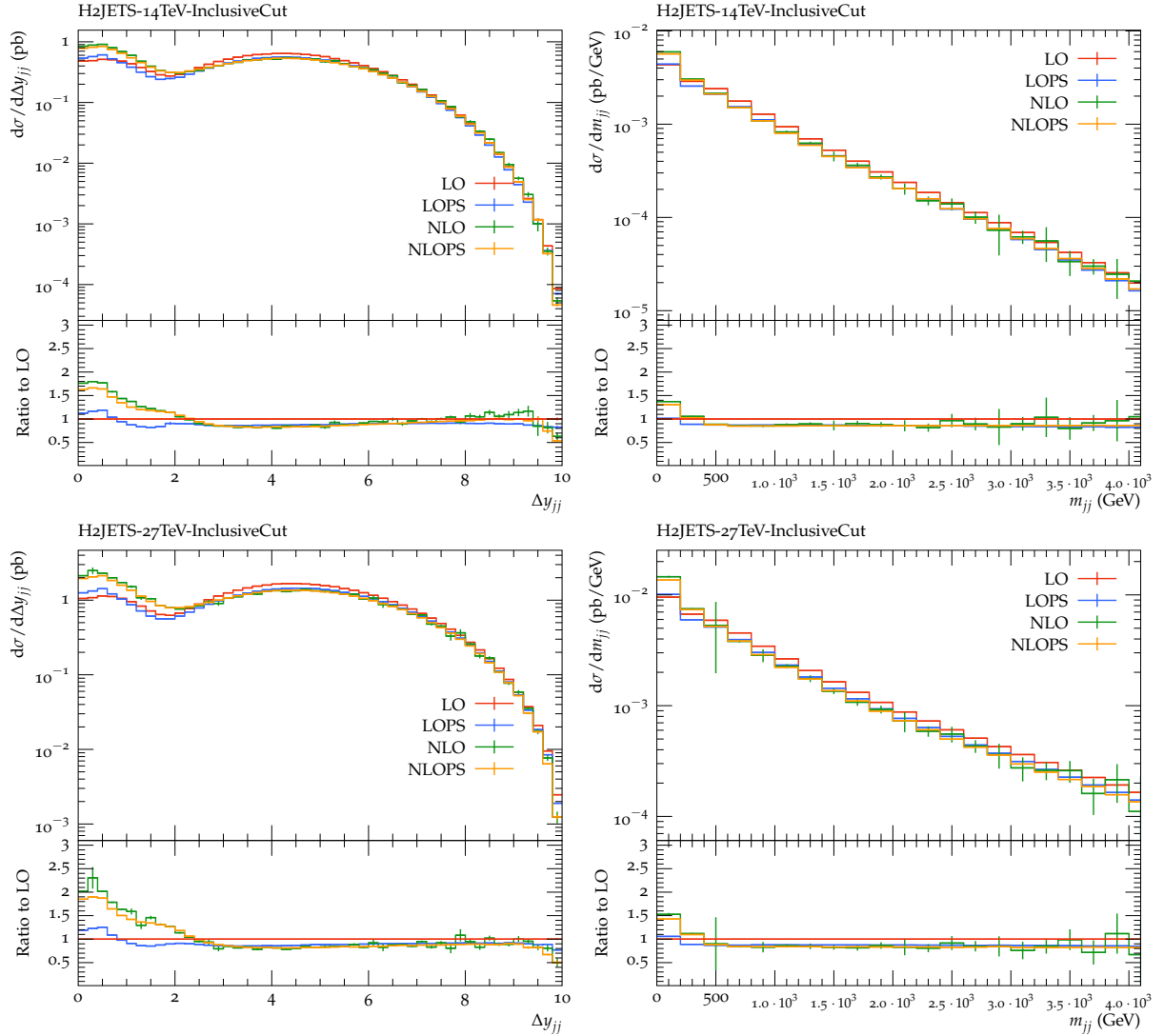


Fig. 11: Differential distributions of  $\Delta y_{jj}$  and  $m_{jj}$  at  $\sqrt{s} = 14$  TeV (top row) and  $\sqrt{s} = 27$  TeV (bottom row). HJets++ matrix elements and inclusive cuts are used in the  $H + 2$  jets calculations.

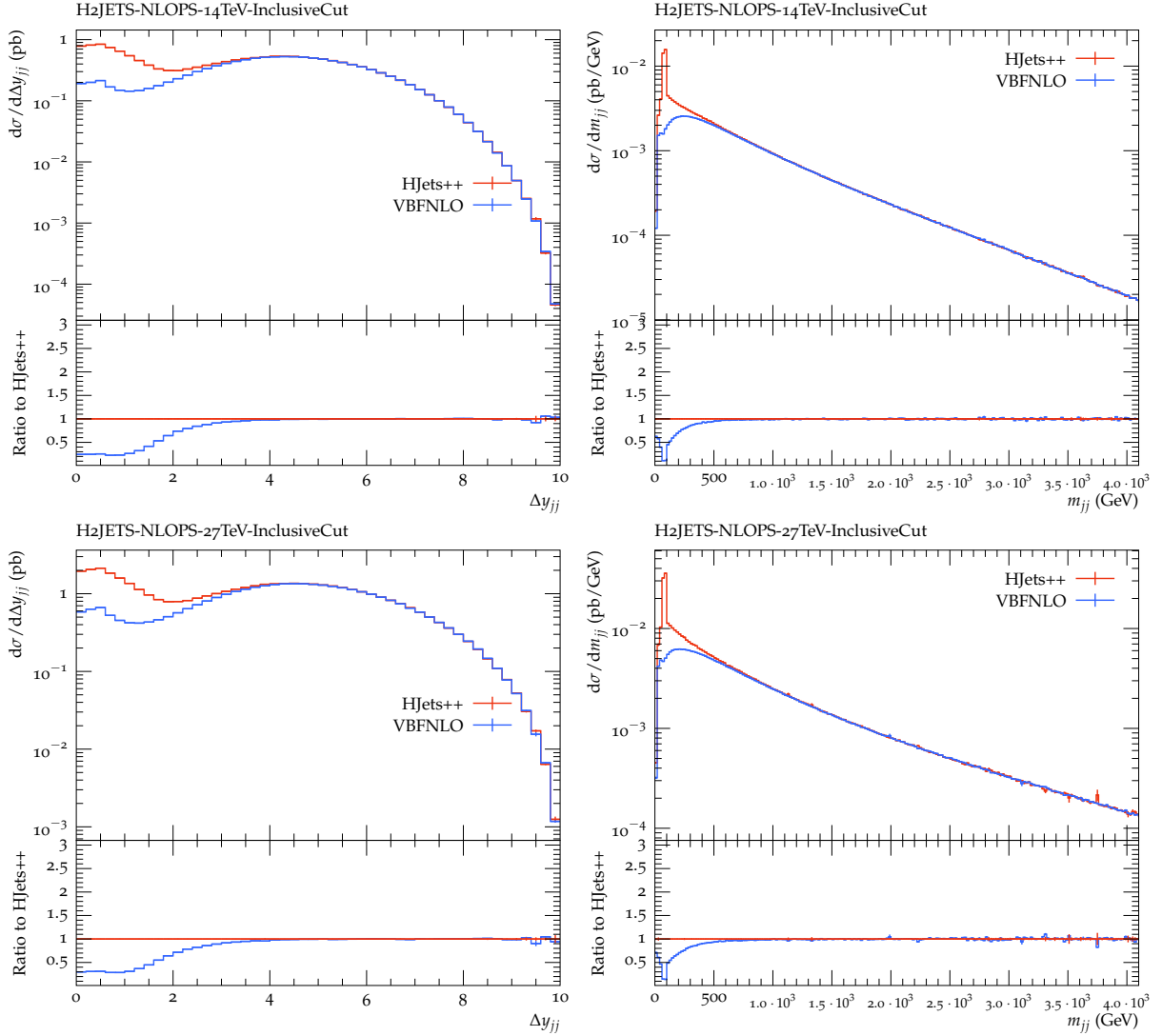


Fig. 12: The distributions of kinematic variables in  $H + 2$  jets at  $\sqrt{s} = 14$  TeV (top row) and  $\sqrt{s} = 27$  TeV (bottom row). Comparisons are between the HJets++ matrix elements and the VBFNLO matrix elements at NLO plus parton shower. Plots indicate that both HJets++ and VBFNLO calculations have agreement after applied the tight VBF cuts.

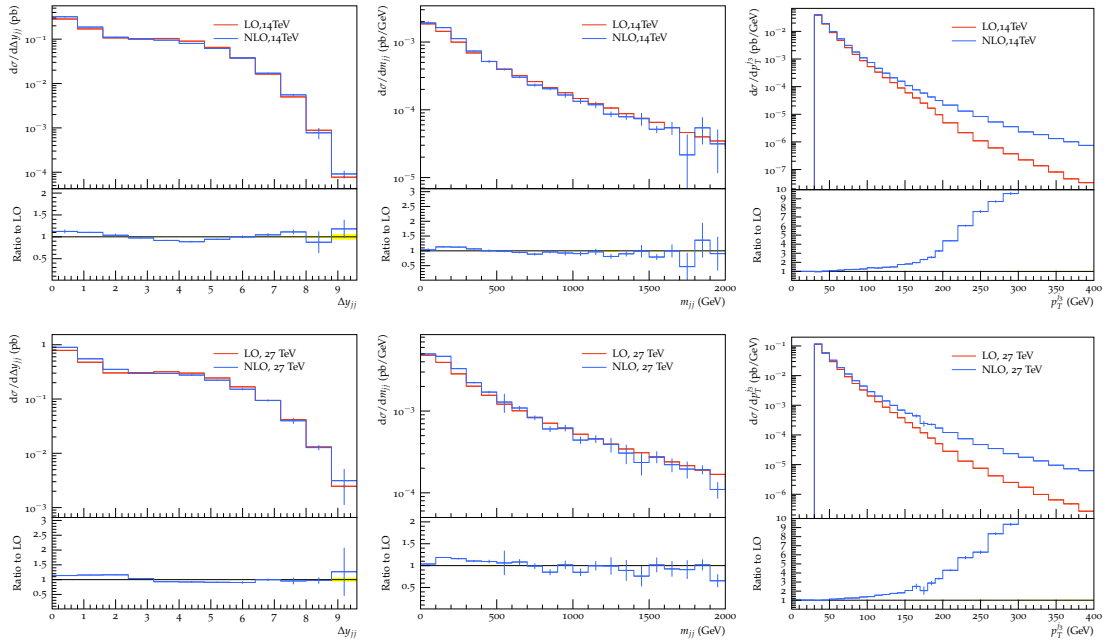


Fig. 13: Kinematic distributions for  $H + 3$  jet production at LO and NLO using HJets++ for  $\sqrt{s} = 14$  TeV (top row) and  $\sqrt{s} = 27$  TeV (bottom row). The selection cuts are inclusive.

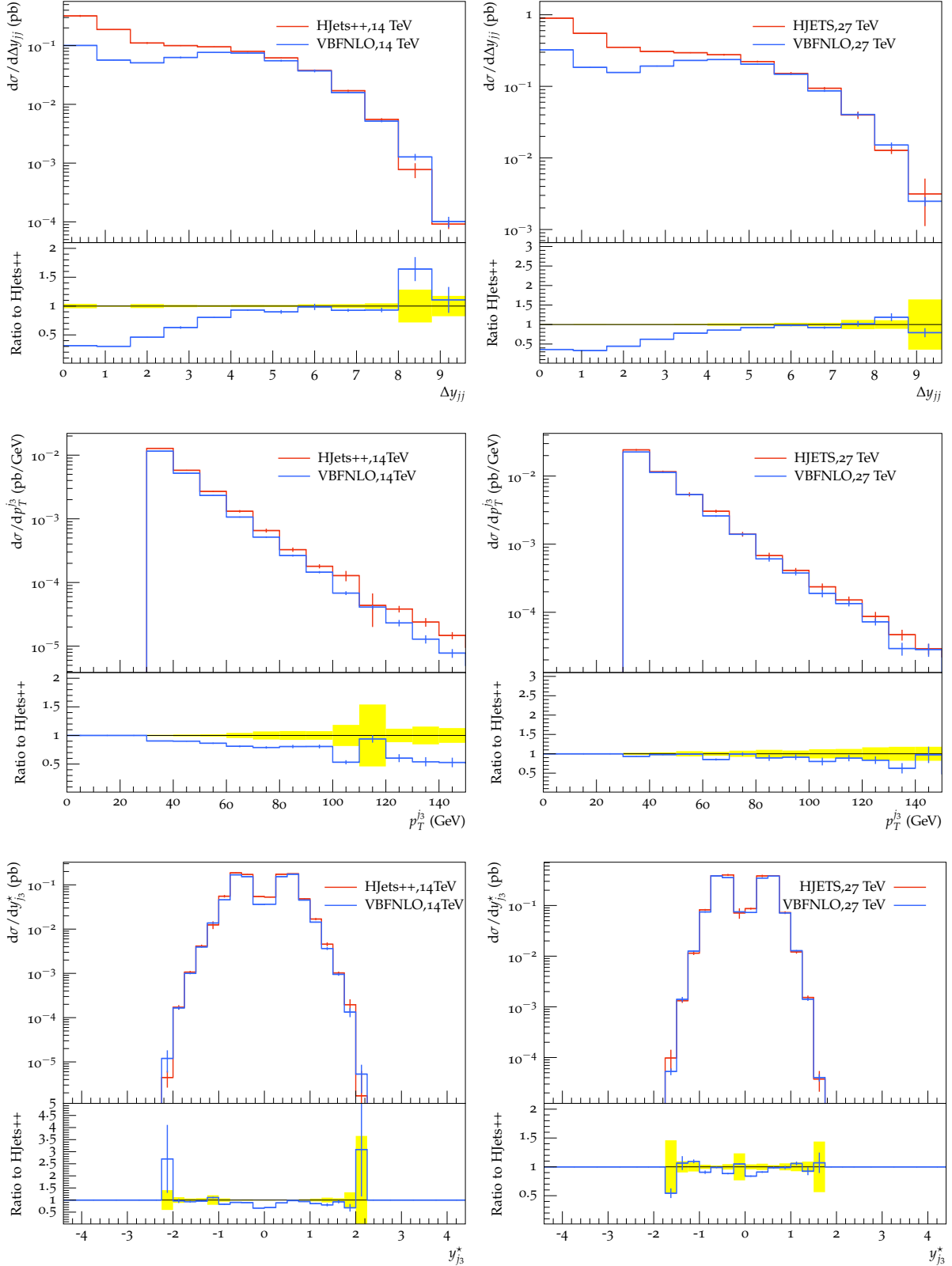


Fig. 14: Kinematics distributions at for  $H + 3$  jet production at NLO for the full result (HJets++) and the approximate result (VBFNLO) for  $\sqrt{s} = 14$  TeV (first column ) and  $\sqrt{s} = 27$  TeV (second column). The kinematic distribution  $\Delta y_{jj}$  (top row) is shown for inclusive selection cuts. The kinematic distributions for  $p_T^{j_3}$  and  $y_{j_3}^*$  are shown for VBF tight selection cuts.

## 884 4.2 Vector boson scattering processes

885 The study of the scattering of two massive vector bosons  $V = W, Z$  (vector boson scattering, VBS)  
886 provides a key opportunity to probe the nature of the electroweak symmetry breaking (EWSB) mech-  
887 anism as well as physics beyond the Standard Model (SM) [308, 309]. It is still unknown whether the  
888 discovered Higgs boson [310] preserves unitarity of the longitudinal  $VV$  scattering amplitude at all en-  
889 ergies, or if other new physics processes are involved [311–315]. In the VBS topology, two incoming  
890 quarks radiate bosons which interact, yielding a final state of two jets from the outgoing quarks, and two  
891 massive bosons which decay into fermions. This final state can be the result of  $VVjj$  electroweak (EW)  
892 production with and without a scattering topology, or of processes involving the strong interaction.

### 893 4.2.1 Measurements of the $W^\pm W^\pm$ scattering cross section and extraction of the longitudinal scat- 894 tering component

With the largest cross-section ratio of electroweak to strong production [316, 317], events with  $W^\pm W^\pm$   
plus two jets ( $W^\pm W^\pm jj$ ) provide one of the best opportunities to study the scattering of two vector  
bosons. ATLAS and CMS have both observed the EW process at 13 TeV with significances of  $6.9 \sigma$  and  
 $5.5 \sigma$ , respectively [318, 319].

This section describes the prospects for the study of  $W^\pm W^\pm jj$  at  $\sqrt{s} = 14$  TeV at the HL-LHC,  
with the Phase-2 upgraded ATLAS and CMS detectors [320, 321]. Results are presented for a range  
of integrated luminosities  $\mathcal{L}$ , from  $300 \text{ fb}^{-1}$  through  $8000 \text{ fb}^{-1}$ , where the first value corresponds to one  
year of data taking, and the latter to 10 years of combined data sets collected by the ATLAS and CMS  
experiments in the most optimistic scenario.

In both ATLAS and CMS analyses, the signal (VBS and non-VBS EW) and background (QCD)  $W^\pm W^\pm jj$   
events are simulated at leading order using Madgraph5\_aMC@NLO [12, 322] with the NNPDF3.0 set [159,  
323], interfaced with PYTHIA v8 [324] for parton showering, hadronisation and underlying event mod-  
elling. The information about the polarization of the individual W bosons in the signal process is ex-  
tracted by generating a separate set of events using the DECAY package of MADGRAPH(v1.5.14). The  
other backgrounds – top ( $t\bar{t}$  + jets, single-top), Drell-Yan, diboson ( $W\gamma$ ,  $W^\pm W^\pm$  and  $WZ$ ) and tri-  
boson ( $WW\gamma$ ,  $WZ\gamma$ ,  $WWW$ ,  $WWZ$ ,  $WZZ$ ,  $ZZZ$ ) – are generated with either Madgraph5\_aMC@NLO,  
POWHEG [325], or PYTHIA v8. The analyses use generated events obtained either using a fully simu-  
lated description of the Phase-2 CMS detector, implemented using the GEANT4 package [255] (CMS)  
or using a parameterised description of the detector response [326] (ATLAS). Additional details for  
each analysis are provided in the relevant reports from CMS [321] and ATLAS [320].

The experimental signature of the  $W^\pm W^\pm jj$  scattering process consists of exactly two isolated leptons  
(electrons or muons) with the same electric charge, two jets well-separated in rapidity, and moder-  
ate  $E_T^{miss}$ . The event selection requirements for the two experiments are listed in Table 4. A mini-  
mum requirement on the dilepton mass reduces the contamination from low-mass Drell-Yan processes,  
with an additional restriction excluding the  $Z$  mass in the dielectron channel where the likelihood of  
charge misidentification is higher. A requirement on  $E_T^{miss}$  further reduces the background from charge  
misidentified events, and events containing any b-tagged jets<sup>4</sup> are vetoed to suppress background con-  
tribution from  $t\bar{t}$  production. A veto on additional preselected leptons significantly reduces background  
from  $WZ$  events. The two leading jets are required to have a large invariant mass, and large angular  
separation, to satisfy the expected VBS topology. Since leptons in the EWK  $W^\pm W^\pm jj$  process are  
expected to be located in the central region defined by the forward-backward jets, non-VBS background

---

<sup>4</sup>The b tagging of jets in CMS is performed with the Deep Combined Secondary Vertex discriminator based on a deep  
neural network [329].

Table 4: ATLAS and CMS event selection criteria for  $W^\pm W^\pm jj$  candidate events, with  $\ell = e, \mu$  and  $j$  as the leading or sub-leading jet.

Selection requirement	ATLAS Selection	CMS Selection
Signal lepton $p_T$	$p_T > 28(25)^1$ GeV	$p_T > 20$ GeV
Signal lepton $\eta$	$ \eta  \leq 4.0$	$ \eta  \leq 3.0$
Tag jet $p_T$	$p_T > 90(45)^2$ GeV	$p_T > 50$ GeV
Tag jet $\eta$	$ \eta  \leq 4.5$	$ \eta  \leq 4.7$
Dilepton mass	$m_{\ell\ell} > 28$ GeV	$m_{\ell\ell} > 20$ GeV
$Z_{ee}$ veto	$ m_{ee} - m_Z  > 10$ GeV	$ m_{ee} - m_Z  > 15$ GeV
$E_T^{miss}$	$E_T^{miss} > 40$ GeV	$E_T^{miss} > 40$ GeV
Number of b-tagged jets	0	0
Jet selection	Anti- $k_t$ [327] jets with $\Delta R_{\ell,j} > 0.3$	Anti- $k_T$ PUPPI [328] jets with $\Delta R_{\ell,j} > 0.4$
Preselected lepton veto	$p_T > 7(6)^3$ GeV	$p_T > 10$ GeV
Dijet rapidity separation	$\Delta\eta_{j,j} > 2.5$	$\Delta\eta_{j,j} > 2.5$
Dijet mass	$m_{jj} > 520$ GeV	$m_{jj} > 500$ GeV
Lepton centrality	$\zeta > -0.5$	$Z_{MAX} < 0.75$

can be suppressed with a requirement on the centrality of the two leptons. CMS uses the Zeppenfeld variable [330], defined for a given lepton with pseudorapidity  $\eta_\ell$  as

$$Z_\ell = \frac{[\eta_\ell - 0.5(\eta_1 + \eta_2)]}{|(\eta_1 - \eta_2)|},$$

895 where  $\eta_1, \eta_2$  refer to the pseudorapidities of the leading and subleading jets. The maximum value of this  
896 variable,  $Z_{MAX}$ , for any of the leptons is required to be less than 0.75. ATLAS uses a requirement on  
897 the function  $\zeta$ , where  $\zeta = \min[\min(\eta_{\ell 1}, \eta_{\ell 2}), \max(\eta_{j 1}, \eta_{j 2}) - \max(\eta_{\ell 1}, \eta_{\ell 2})]$

898  
899 The event selections are optimized to maximise signal acceptance (CMS) or minimise fake background  
900 (ATLAS). ATLAS uses tight electron requirements, which have a lower efficiency (around 50%, see [326]).

901  
902 The expected event yields are summarized in Table 5 for CMS, and Table 6 for ATLAS. The  $m_{jj}$   
903 distributions after the full event selection for  $\mathcal{L} = 3000 \text{ fb}^{-1}$  are presented in Fig. 15. The main back-  
904 ground contributions in the final signal region are due to inclusive  $t\bar{t}$  and WZ productions, where the  
905 third lepton in the event was not reconstructed within the detector acceptance. ATLAS explicitly models  
906 the background contributions from jets faking electrons and lepton charge misidentification, which also  
907 contribute significantly in the signal region, while CMS includes the fake contribution under  $t\bar{t}$  and does  
908 not consider the charge-misidentified or triboson backgrounds in this study, since their contributions  
909 were found to be negligible. The integrated number of signal and background events as a function of the  
910 dilepton invariant mass is shown in Figure 17 for the ATLAS selection.

911

912 The uncertainty of the expected cross section measurement as a function of integrated luminosity  
913 is measured by fitting the  $m_{jj}$  distribution, using a binned maximum likelihood approach with all sys-  
914 tematic uncertainties in the form of nuisance parameters with log-normal distributions. The correlations  
915 among different sources of uncertainties are taken into account while different final states are considered



Table 5: CMS expected yields for signal and background contributions for  $\mathcal{L} = 3000 \text{ fb}^{-1}$ .

Process	Expected yield, $\mathcal{L} = 3000 \text{ fb}^{-1}$
$W^\pm W^\pm$ (QCD)	196
$t\bar{t}$	5515
WZ	1421
$W\gamma$	406
Total Background	7538
Signal $W^\pm W^\pm$ (EWK)	5368

Table 6: The ATLAS expected signal and background event yields after the optimised full event selection for a corresponding integrated luminosity of  $\mathcal{L}=3000 \text{ fb}^{-1}$ . Events tagged as either "charge misidentification" or "jets faking leptons" are summed for all background samples and combined into a single entry each in the table. Remaining events are listed separately per process. Both QCD and EW production of  $WZ$  processes are included in the diboson background.

	All channels	$\mu^\pm \mu^\pm$	$e^\pm e^\pm$	$\mu^\pm e^\pm$	$e^\pm \mu^\pm$
$W^\pm W^\pm jj$ (QCD)	132	58	16	24	35
Charge Misidentification	62	0.0	3.0	10	49
Jets faking electrons	210	0.0	8.7	201	0.1
Dibosons	901	207	216	182	297
Tribosons	58	22	7.1	11	17
Other non-prompt	99	23	14	48	13
Total Background	1460	310	264	476	411
Signal $W^\pm W^\pm jj$ (EW)	2431	983	325	483	641

916 as independent channels in the fit. CMS considers three channels categorised by lepton flavour (ee,  $e\mu$   
917 and  $\mu\mu$ ), while ATLAS uses eight channels by lepton flavour and charge ( $e^+e^+$ ,  $e^-e^-$ ,  $e^+\mu^+$ ,  $e^-\mu^-$ ,  
918  $\mu^+e^+$ ,  $\mu^-e^-$ ,  $\mu^+\mu^+$ ,  $\mu^-\mu^-$ ).

919

920 The experimental uncertainties, statistical and systematic, in the CMS analysis contribute to a total  
921 uncertainty on the signal strength of 3.2% for  $3000 \text{ fb}^{-1}$ . Including a theoretical uncertainty of 3% and  
922 an uncertainty on the luminosity of 1%, the total uncertainty reaches a value of 4.5% for  $3000 \text{ fb}^{-1}$ . For  
923 the ATLAS analysis experimental systematics on the trigger, leptons, jets, and flavour tagging are taken  
924 from the 13 TeV analysis unchanged, while for the baseline estimation, rate uncertainties on the back-  
925 grounds are halved. An "optimistic" set of uncertainties is also presented, where the uncertainties on the  
926 non-data-driven backgrounds are aggressively reduced. The total uncertainty is presented in Fig. 16 as a  
927 function of the integrated luminosity. The values of  $\mathcal{L}$  exceeding  $3000 \text{ fb}^{-1}$  are an estimation of a combi-  
928 nation of the measurements from CMS and ATLAS, effectively doubling the total integrated luminosity.

929

930 The total  $W^\pm W^\pm$  VBS cross section can be decomposed into the polarized components based  
931 on the decays of the individual W bosons. Either or both can be longitudinally (L) or transversely (T)  
932 polarized, giving rise to final states of LL, LT as well as the mixed state LT (with TL combination

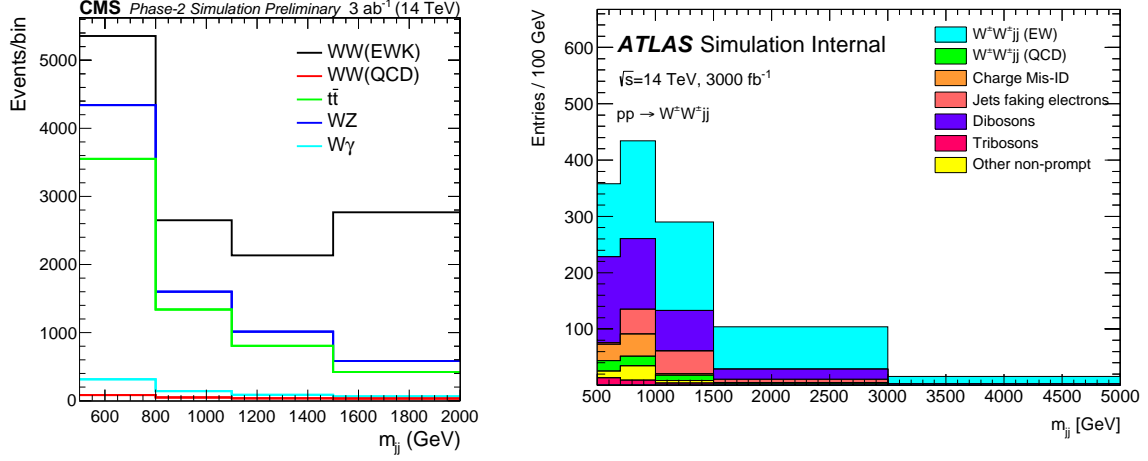


Fig. 15: The distribution of the invariant mass of the two leading jets after the selection requirements for an integrated luminosity of  $3000 \text{ fb}^{-1}$ , for CMS (left) and ATLAS (right).

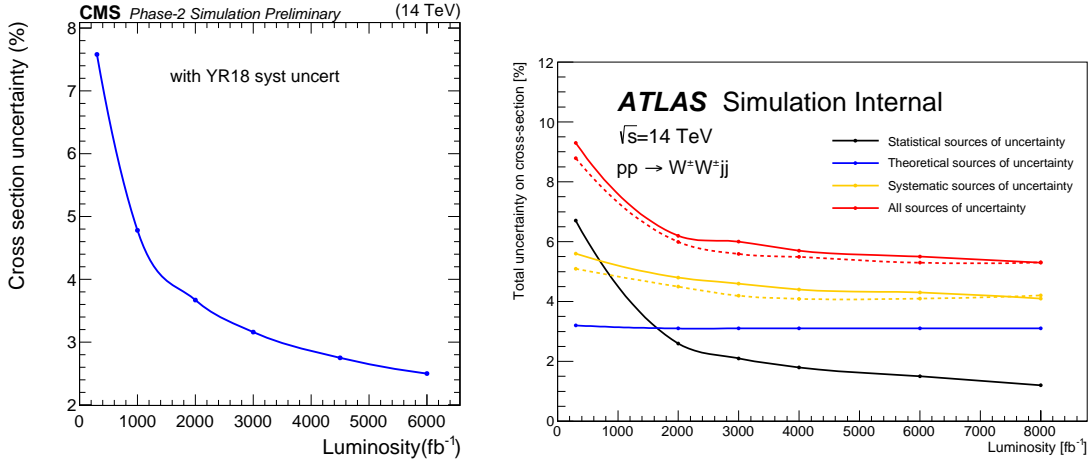


Fig. 16: The estimated uncertainty of the EWK  $W^\pm W^\pm$  cross section measurement as a function of the integrated luminosity, for CMS (left), only statistical and experimental systematic uncertainties are considered, and ATLAS (right).

933 implied). The LL component,  $W_L^\pm W_L^\pm jj$ , is expected to be only about 6-7% of the total VBS cross  
 934 section for jet  $p_T > 50 \text{ GeV}$ . The difference in azimuthal angle between the two leading jets,  $\Delta\phi_{jj}$ , has  
 935 the potential for discriminating the LL component of the VBS scattering from TT and LT contributions.  
 936 Since the signal-to-background separation for the EWK  $W^\pm W^\pm jj$  process improves with increasing  
 937  $m_{jj}$  as shown in Fig. 15 (left), the  $\Delta\phi_{jj}$  distributions are studied in two ranges of  $m_{jj}$ : for 500-1100 GeV  
 938 and above 1100 GeV. Figure 18 shows the combination of signal and background yields as a function  
 939 of  $\Delta\phi_{jj}$  for high  $m_{jj}$  regions. Using a simultaneous fit to two mass regions<sup>5</sup>, the significance for the  
 940 observation of the LL process is estimated as a function of integrated luminosity. The significance  
 941 is found to be up to 2.7 standard deviations for  $\mathcal{L} = 3000 \text{ fb}^{-1}$ . The gradual improvement of signal  
 942 significance as a function of integrated luminosity is shown in Fig. 19 right. A combination of ATLAS  
 943 and CMS results, using fully simulated ATLAS events and improved electron efficiency, is expected to

<sup>5</sup>The low  $m_{jj}$  region serves to constrain the  $t\bar{t}$ /fake background

944 reach an expected significance of 3 standard deviations with  $2000 \text{ fb}^{-1}$  per experiment. In addition,  
 945 recent studies [331] have shown that advances in machine learning can also improve the prospects for  
 946 the measurement of the  $W_L^\pm W_L^\pm jj$  process.

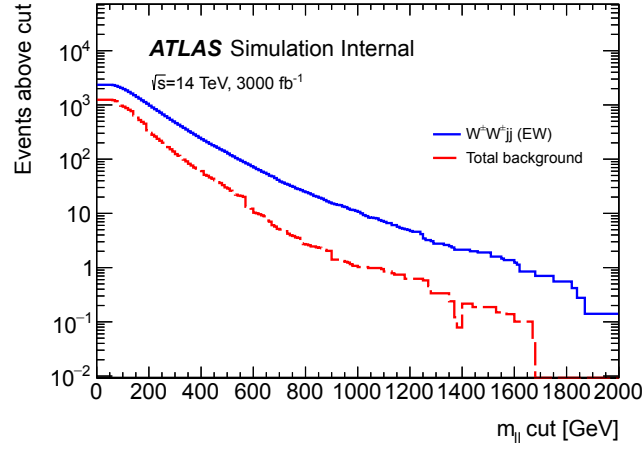


Fig. 17: Integrated number of events as a function of dilepton invariant mass for events passing all selection criteria of the ATLAS signal region.

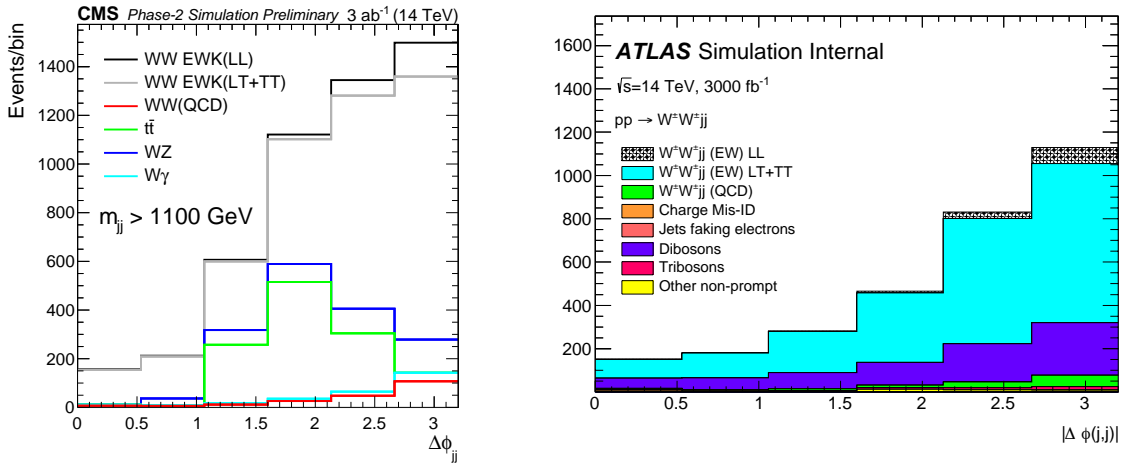


Fig. 18: Distribution of the azimuthal angle difference between two leading jets for dijet invariant mass above 1100 GeV.

#### 947 4.2.2 High Order corrections in VBS $W^\pm W^\pm$ production at HL and HE LHC

948 The expected experimental precision in the measurement of VBS processes offers great opportunities to  
 949 probe the electroweak (EW) sector and its associated symmetry breaking mechanism (see Refs. [133,  
 950 332,333] for 100 TeV-collider studies). Therefore, it is of prime importance to make precise theoretical  
 951 predictions available for the future operation of the LHC. In this sub-section, predictions for NLO QCD  
 952 and EW corrections are provided for the LHC running in its high-luminosity (HL) and high-energy (HE)  
 953 configurations. The HL set-up corresponds to a centre-of-mass energy of 14 TeV while the HE one refers  
 954 to 27 TeV. For both centre-of-mass energies the same type of event selections has been used. These

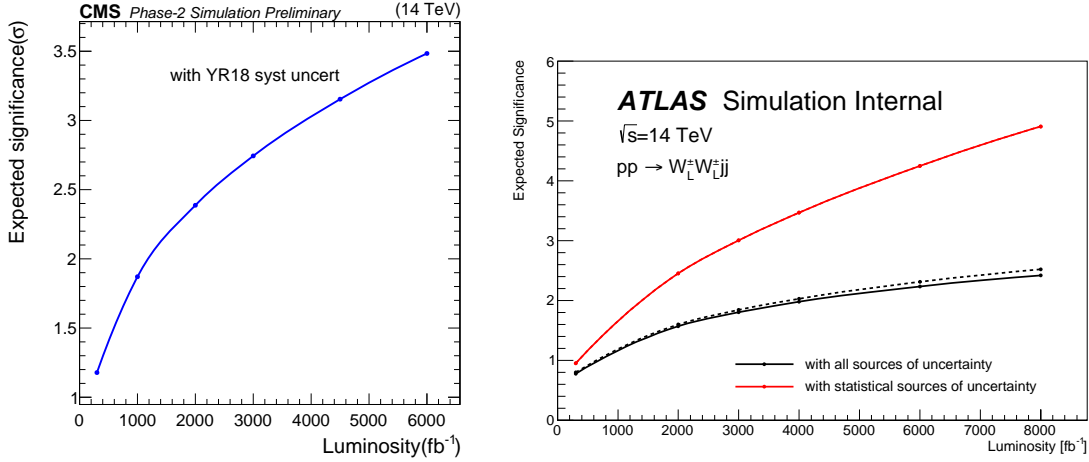


Fig. 19: Significance of the observation of the scattering of a pair of longitudinally polarized  $W$  bosons as a function of the integrated luminosity at CMS (left) and ATLAS (right).

955 predictions represent important benchmarks as they indicate the expected rates and the corresponding  
 956 theoretical uncertainties. The QCD corrections are particularly important as they can significantly distort  
 957 the shape of jet-related observables [111, 334–341]. In addition, the inclusion of NLO QCD corrections  
 958 reduces the theoretical uncertainties. The NLO EW corrections have been shown to be very large for  
 959 VBS processes [103] and even are the dominating NLO contribution for same-sign WW scattering [111].

960 In this study, the NLO QCD corrections have been obtained from VBFNLO based on Refs. [206,  
 961 207, 298] in the so-called VBS approximation [339, 341, 342]. The NLO EW corrections have been  
 962 obtained from MOCANLO+RECOLA [82, 82, 91] based on a full NLO computation [111]. The differ-  
 963 ences between the full computation and the one based on the VBS approximation have been found to  
 964 be below the per-cent level at NLO QCD [341]. This justifies the combination of the NLO corrections  
 965 from these two types of calculations. The QCD corrections have been computed for all possible VBS  
 966 signatures, while the EW ones are only known for same-sign WW scattering. While the exact value of  
 967 the corrections is expected to be different for other signatures, their magnitudes and nature should be  
 968 similar.

### 969 *Set-up*

970 The hadronic scattering processes are simulated at the LHC with a centre-of-mass energies  $\sqrt{s} = 14$  TeV  
 971 and  $\sqrt{s} = 27$  TeV. The NNPDF 3.1 LUXQED parton distribution functions (PDFs) [182] with five  
 972 massless flavours,<sup>6</sup> NLO-QCD evolution, and a strong coupling constant  $\alpha_s(M_Z) = 0.118$  are em-  
 973 ployed.<sup>7</sup> Initial-state collinear singularities are factorised according to the  $\overline{\text{MS}}$  scheme, consistently  
 974 with the conventions in the NNPDF set.

The other input parameters have been chosen as in Ref. [341]. For the massive particles, the following masses and decay widths are used:

$$\begin{aligned}
 m_t &= 173.21 \text{ GeV}, & \Gamma_t &= 0 \text{ GeV}, \\
 M_Z^{\text{OS}} &= 91.1876 \text{ GeV}, & \Gamma_Z^{\text{OS}} &= 2.4952 \text{ GeV}, \\
 M_W^{\text{OS}} &= 80.385 \text{ GeV}, & \Gamma_W^{\text{OS}} &= 2.085 \text{ GeV},
 \end{aligned}$$

<sup>6</sup>For the process considered, no bottom (anti-)quarks appear in the initial or final state at LO and NLO, as they would lead to top quarks rather than light jets in the final state.

<sup>7</sup>The corresponding identifier `1ha1d` in the program LHAPDF6 [160] is 324900.

$$M_H = 125.0 \text{ GeV}, \quad \Gamma_H = 4.07 \times 10^{-3} \text{ GeV}. \quad (9)$$

975 The measured on-shell (OS) values for the masses and widths of the W and Z bosons are converted into  
976 pole values for the gauge bosons ( $V = W, Z$ ) according to Ref. [343],

$$\begin{aligned} M_V &= M_V^{\text{OS}} / \sqrt{1 + (\Gamma_V^{\text{OS}} / M_V^{\text{OS}})^2}, \\ \Gamma_V &= \Gamma_V^{\text{OS}} / \sqrt{1 + (\Gamma_V^{\text{OS}} / M_V^{\text{OS}})^2}. \end{aligned} \quad (10)$$

977 The EW coupling is fixed in the  $G_\mu$  scheme [344] according to

$$\alpha = \frac{\sqrt{2}}{\pi} G_\mu M_W^2 \left( 1 - \frac{M_W^2}{M_Z^2} \right), \quad (11)$$

978 with

$$G_\mu = 1.16637 \times 10^{-5} \text{ GeV}^{-2}, \quad (12)$$

979 and  $M_V^2$  corresponds to the real part of the squared pole mass. The complex-mass scheme [345–347] is  
980 used throughout to treat unstable intermediate particles in a gauge-invariant manner.

981 The central value of the renormalisation and factorisation scales is set to

$$\mu_{\text{ren}} = \mu_{\text{fac}} = \sqrt{p_{T,j_1} p_{T,j_2}}. \quad (13)$$

982 The transverse momenta are those of the two hardest jets. This choice of scale has been shown to provide  
983 stable NLO-QCD predictions [339].

984 Following experimental measurements [348–351] and prospect studies [352], the event selection  
985 used in the present study is:

- The two same-sign charged leptons are required to fulfil cuts on transverse momentum, rapidity, separation in the rapidity–azimuthal-angle, and the lepton-pair invariant mass,

$$p_{T,\ell} > 20 \text{ GeV}, \quad |y_\ell| < 4.0, \quad \Delta R_{\ell\ell} > 0.3, \quad m_{\ell\ell} > 20 \text{ GeV}. \quad (14)$$

- The total missing transverse momentum, computed from the vectorial sum of the transverse momenta of the two neutrinos, is required to be

$$p_{T,\text{miss}} > 40 \text{ GeV}. \quad (15)$$

- QCD partons (light quarks and gluons) are clustered using the anti- $k_T$  algorithm [233] with jet-resolution parameter  $R = 0.4$ . Cuts on the jets' transverse momenta and rapidities are imposed,

$$p_{T,j} > 30 \text{ GeV}, \quad |y_j| < 4.0. \quad (16)$$

VBS cuts are applied on the two jets with largest transverse momentum. In particular, we impose a cut on the invariant mass of the di-jet system, as well as on the rapidity separation of the two jets and their separation from leptons,

$$m_{jj} > 500 \text{ GeV}, \quad |\Delta y_{jj}| > 2.5, \quad \Delta R_{j\ell} > 0.3. \quad (17)$$

- Finally, the centrality of the leptons is enforced according to Ref. [352]:

$$\zeta = \min \left[ \min (y_{\ell_1}, y_{\ell_2}) - \min (y_{j_1}, y_{j_2}), \max (y_{j_1}, y_{j_2}) - \max (y_{\ell_1}, y_{\ell_2}) \right] > 0. \quad (18)$$

- 986 – For EW corrections, real photons and charged fermions are clustered using the anti- $k_T$  algo-  
987 rithm with radius parameter  $R = 0.1$ . In this case, leptons and quarks are understood as *dressed*  
988 *fermions*.

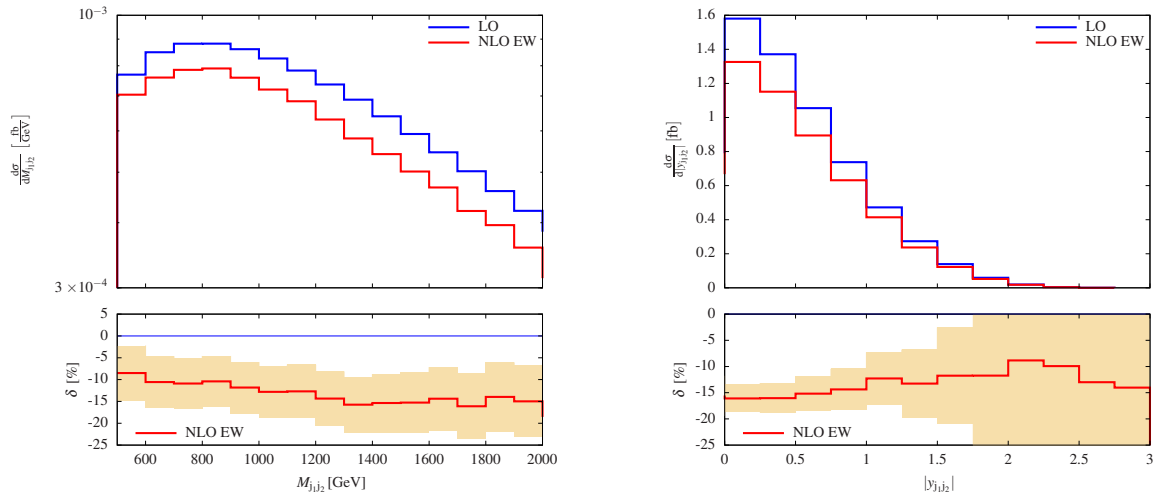


Fig. 20: Differential distributions in the invariant mass of the two jets (left) and their rapidity (right) in  $pp \rightarrow \mu^+ \nu_\mu e^+ \nu_e jj$  at 14 TeV including NLO EW corrections (upper panel) and relative NLO EW corrections (lower panel). The yellow band describes the expected statistical uncertainty for a high-luminosity LHC collecting  $3000 \text{ fb}^{-1}$  and represents a relative variation of  $\pm 1/\sqrt{N_{\text{obs}}}$  where  $N_{\text{obs}}$  is the number of observed events in each bin.

## 989 Discussion

990 In this section we focus on the discussion of Standard Model predictions for the HL and HE LHC. This  
 991 entails both QCD and EW corrections that have been combined together.

992 For VBS processes EW corrections are particularly large and therefore of prime importance. The  
 993 leading contributions originate from the exchange of massive gauge bosons in the virtual corrections.  
 994 They tend to grow large and negative in the high-energy limit owing to so-called Sudakov double log-  
 995 arithms. As shown in Ref. [103], large EW corrections are an intrinsic feature of VBS at the LHC.  
 996 While this study is based on the same-sign W channel, it has been further confirmed recently by the  
 997 computation of large EW corrections to the WZ channel [353, 354].

998 Given their size and the foreseen experimental precision, these corrections are actually measur-  
 999 able. Because they involve interactions of the EW sector, their measurement would constitute a further  
 1000 test of the SM. On the left hand-side of Fig. 20, the distribution in the invariant mass of the two leading  
 1001 jets is shown at LO and NLO EW for the process  $pp \rightarrow \mu^+ \nu_\mu e^+ \nu_e jj$  at 14 TeV. The yellow band de-  
 1002 scribes the expected statistical uncertainty for a HL LHC collecting  $3000 \text{ fb}^{-1}$ . On the right hand-side  
 1003 for Fig. 20, a similar plot for the absolute rapidity of the jet pair is shown. It is thus clear that with the  
 1004 expected luminosity, one is not only sensitive to the VBS process but also to its EW corrections.

1005 In Fig. 21, the distributions in the invariant mass of the visible system ( $e^+ \mu^+ jj$ ) at both 14 TeV  
 1006 (left) and 27 TeV (right) are shown. As expected, the corrections are larger for higher centre-of-mass  
 1007 energy due to the higher representative scale of the process. In the tail of the distribution where new  
 1008 physics could play an important role, the corrections are particularly large and reach about 25% for the  
 1009 27 TeV set-up. Note that in the present predictions, the real radiation of massive gauge bosons is not  
 1010 taken into account. This effect has been estimated to be of the order of few per cent for the HL set-up  
 1011 at the level of the total cross section. While this effect is for now negligible, for the HL and HE mode  
 1012 of the LHC, it will become relevant in the same way as the use of VBS approximations in theoretical  
 1013 predictions [355]. These observations are further confirmed via the cross sections for the two centre-  
 1014 of-mass energies at LO (using full matrix element) and NLO EW given in Table 7. At 27 TeV the EW

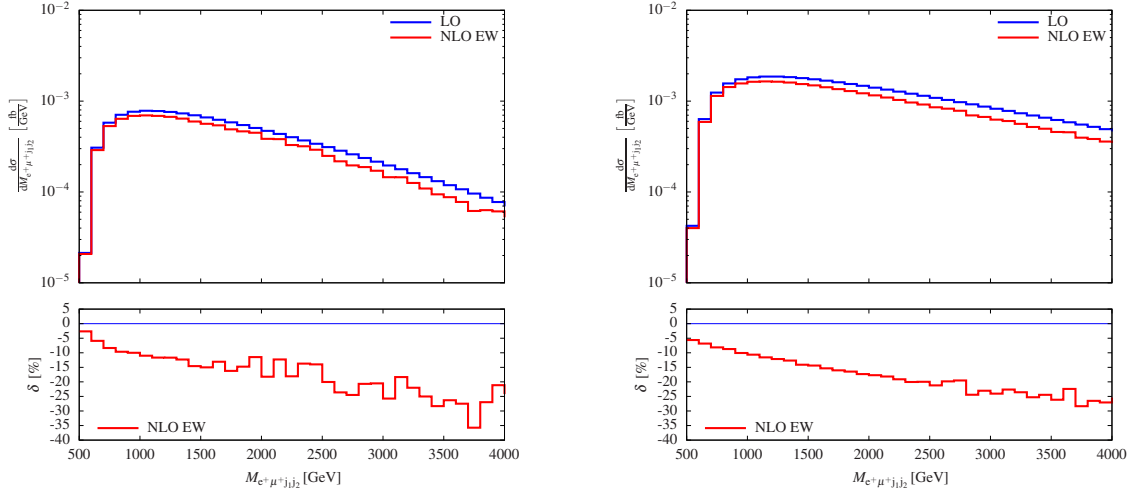


Fig. 21: Differential distribution in the invariant mass of the visible system ( $e^+\mu^+jj$ ) in  $pp \rightarrow \mu^+\nu_\mu e^+\nu_e jj$  at 14 TeV (left) and 27 TeV (right) including NLO EW corrections (upper panel) and relative NLO EW corrections (lower panel).

Table 7: Cross sections at LO ( $\mathcal{O}(\alpha^6)$ ) and NLO EW ( $\mathcal{O}(\alpha^7)$ ) for  $pp \rightarrow \mu^+\nu_\mu e^+\nu_e jj$  at both 14 TeV and 27 TeV at the LHC. The relative EW corrections are given in per cent, and the digits in parenthesis indicate the integration error.

	$\sigma^{\text{LO}}$ [fb]	$\sigma_{\text{EW}}^{\text{NLO}}$ [fb]	$\delta_{\text{EW}}$ [%]
14 TeV	1.4282(2)	1.213(5)	-15.1
27 TeV	4.7848(5)	3.881(7)	-18.9

1015 corrections are few per cent larger than at 14 TeV ( $-18.9\%$  against  $-15.1\%$ , respectively). Note that  
 1016 the jump in energy from 14 TeV to 27 TeV is accompanied by an increase by more than a factor 3 in the  
 1017 cross section at LO.

### 1018 4.2.3 WZ@HL-LHC

1019 Prospects are presented for measuring the WZ electroweak production in fully leptonic final state at  
 1020 the HL-LHC. This work includes studies of the polarised WZ production: measurements of the vector  
 1021 bosons in longitudinally polarized states are of particular importance, since they give a direct access to  
 1022 the nature of the electroweak symmetry breaking via the exchange of a Higgs bosons in the t-channel  
 1023 as shown in Fig. 22. Another relevant aspect of the WZ production lies in the probe of the non-abelian  
 1024 structure of the standard model via the sensitivity tests to triple and quartic gauge couplings, but this  
 1025 topic is not developed in this section. Measurements of the electroweak production using  $36 \text{ fb}^{-1}$  of  
 1026 the proton-proton collisions at 13 TeV were reported by both the ATLAS [356] and CMS [357] collab-  
 1027 orations. The existing results are strongly limited by the statistical uncertainties of the data samples,  
 1028 therefore the integrated luminosity expected at the end of the HL-LHC operation is mandatory to fully  
 1029 exploit the physics behind VBS in WZ production via measurement of differential distributions and the  
 1030 polarization of the final state bosons.

1031 In proton-proton collisions, the VBS process results from the interaction of two bosons radiated  
 1032 by the initial quarks leading to a final state with two centrally produced bosons and two forward jets.



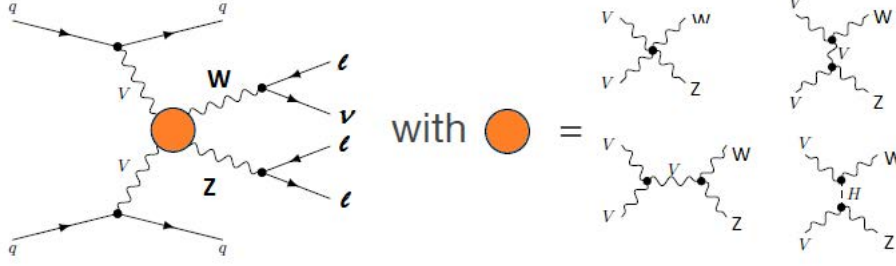


Fig. 22: Feynman diagrams describing the VBS WZ production

1033 The main irreducible background is represented by events in which the same final state is mediated by  
 1034 strong interactions ( $WZ - QCD$ ) and where the two bosons are not the direct result of a scattering  
 1035 process. Other backgrounds consist of different di-boson final states ( $ZZ, Z\gamma$ ), tri-bosons and  $tV$  or  
 1036  $t\bar{t}V$  production, where  $V$  is a  $Z$  or a  $W$  boson. The amount of the non-prompt backgrounds, where  
 1037 one or more lepton candidates are coming from jets misidentified as leptons, ultimately depends on the  
 1038 detector geometry, reconstruction technique and event selection requirements.

1039 The signal selection requires events with three isolated leptons with  $p_T > 15$  GeV with  $|\eta| < 4$   
 1040 for ATLAS and  $|\eta| < 2.8$  (3.0) for muons (electrons) for CMS. In addition, at least one lepton should  
 1041 pass the single lepton trigger (ATLAS). In order to suppress the background from  $ZZ$  processes, events  
 1042 containing four or more lepton candidates are discarded. At least one of the three lepton candidate is  
 1043 required to have  $p_T > 25$  GeV. The event must have at least one pair of leptons of the same flavor and op-  
 1044 posite charge, with an invariant mass that is consistent with the nominal  $Z$  boson mass at  $M_Z = 91.188$   
 1045 GeV within 10 GeV for ATLAS and 15 GeV for CMS. This pair is considered as a  $Z$  boson candidate.  
 1046 The third lepton is assigned to the  $W$  boson and its  $p_T$  is required to be greater than 20 GeV. Finally,  
 1047  $E_T^{miss}$  (CMS) or the transverse mass of the  $W$  candidate computed using  $E_T^{miss}$  and the  $p_T$  of the third  
 1048 lepton (ATLAS) is required to be above 30 GeV. The VBS signature is characterized by presence of two  
 1049 forward jets. Jets are reconstructed with anti- $k_T$  algorithm with distance parameter 0.4. For ATLAS, the  
 1050 event is selected if it contains two jets laying in opposite hemisphere with  $p_T^{jet} > 30$  GeV and  
 1051  $|\eta^{jet}| < 3.8$ . For CMS, the event is selected if it contains two jets with  $p_T^{jet} > 50$  GeV and  $|\eta^{jet}| < 4.7$  for  
 1052 CMS. In addition, the pseudorapidity separation between jets  $\Delta\eta_{jj}$  is requested to be greater than 2.5.  
 1053 Finally, the dijet mass  $m_{jj}$  is required to be greater than 500 GeV. The full list of selection requirements  
 1054 is summarized in Tab. 8.

1055 Distinct approaches are used by ATLAS and CMS, respectively based on simulation at 14 TeV  
 1056 and on extrapolation from Run2 results. ATLAS uses Monte Carlo samples generated with a fast sim-  
 1057 ulation based on the parametrisation of the performance of the Phase 2 detector and where jets from  
 1058 PU interactions corresponding to  $\langle\mu\rangle = 200$  are added to the event record; a loose event selection  
 1059 and a conservative background hypothesis is used. The signal events are generated at LO with Sherpa  
 1060 2.2.2 [358] and the  $WZ - QCD$  background is simulated at NLO with Sherpa 2.2.0: in [356], it was  
 1061 shown that the  $WZ - QCD$  background predictions might be overestimated by 40% in certain regions  
 1062 of the phase-space. And with a  $p_T^{jet}$  cut as low as 30 GeV, an  $|\eta^{jet}|$  cut less than 3.8, corresponding to  
 1063 the Phase 2 tracker acceptance, was found necessary to maintain the contamination of PU jets in signal  
 1064 (resp.  $WZ - QCD$ ) events from 18% (resp. 69%) to 2% (resp. 11%).

1065 The CMS projection is based on MC samples with full simulation of the CMS detector at 13 TeV  
 1066 and data driven background estimates, see Ref. [357]. The cross sections of samples are scaled for this  
 1067 projection from 13 to 14 TeV using standard model predictions, for the data-driven backgrounds the  
 1068 scaling is done using appropriate mixture of simulated events. The performance of the CMS detector  
 1069 at the HL-LHC at pileup 200 is simulated using Delphes. It is proven that lepton and PUPPI jet recon-



Table 8: Summary of event selection requirements.

Variables	ATLAS	CMS
$p_T(\ell)$ [GeV]	$> 15$	$> 15$
$p_T(\ell_{lead})$	$> 25$	–
$p_T(\ell_{Z,1}), p_T(\ell_{Z,2})$ [GeV]		$> 25, > 15$
$p_T(\ell_W)$ [GeV]	$> 20$	$> 20$
$ \eta(\mu) $	$< 4.0$	$< 2.8$
$ \eta(e) $	$< 4.0$	$< 3.0$
$ m_Z - m_Z^{PDG} $ [GeV]	$< 10$	$< 15$
$m_{3\ell}$ [GeV]	–	$> 100$
$m_{\ell\ell}$ [GeV]	–	$> 4$
$E_T^{miss}$ [GeV]	–	$> 30$
$M_T^W$ [GeV]	$> 30$	–
$n_j$	$\geq 2$	$\geq 2$
$ \eta(j) $	$< 3.8$	$< 4.7$
$p_T^{jet}$ [GeV]	$> 30$	$> 50$
$\Delta R(j, \ell)$	–	$> 0.4$
$p_T(b)$ [GeV]	–	$> 30$
$n_{b-jet}$	–	$= 0$
$m_{jj}$	$> 500$	$> 500$
$\Delta\eta_{jj}$	Opp. hemis.	$> 2.5$
$ \eta_{3\ell} - \frac{1}{2}(\eta_{j_1} + \eta_{j_2}) $	–	$< 2.5$

Table 9: Expected signal and background yields corresponding to the event selection listed in Table 8 for  $3000 \text{ fb}^{-1}$ . Background contributions are grouped differently for ATLAS and CMS.

Process	ATLAS	CMS
$WZjj - EW$	3889	2757
$WZ - QCD$	29754	3486
$t\bar{t}V$	3145	–
$tZ$	2221	–
$tV/VVV$	–	1374
Non prompt	–	1192
$ZZ$	1970	–
$VV$	–	398
$Z\gamma$	–	296

1070 struction allow to keep the same or better level of reconstruction efficiency and background rejection as  
1071 in existing data; no additional corrections are applied in the projection. An additional scaling factor is  
1072 applied to account for the increased pseudorapidity coverage of the Phase 2 CMS detector. The ATLAS  
1073 and CMS signal and background yields are summarized in Table 9 for the total integrated luminosity of  
1074  $3000 \text{ fb}^{-1}$ .

1075 To extract the electroweak signal ATLAS uses nominally a final  $m_{jj}$  cut optimised at 600 GeV  
1076 or a multivariate analysis (BDT) based on 25 variables that are shown to best separate the signal and  
1077 background events. The shape of the BDT output is shown in Fig. 23 left. In CMS case a 2D distribu-  
1078 tion of dijet invariant mass in bins of dijet angular separation is used, as shown in Fig. 23 right. The

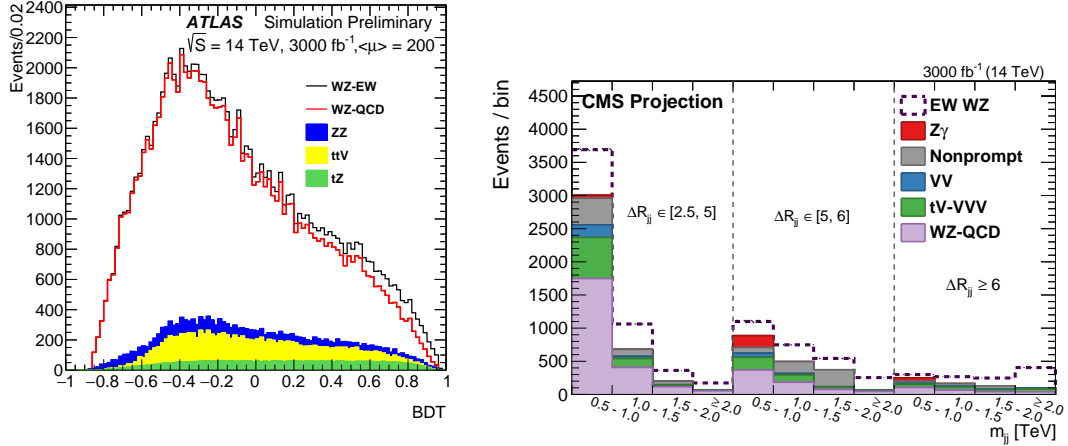


Fig. 23: Example of BDT distribution for 3000 fb<sup>-1</sup> (left). The  $m_{jj}$  distributions in bins of  $\Delta R_{jj}$  for 3000 fb<sup>-1</sup>. (right)

1079 measurement of the  $WZjj - EW$  production cross section results from a maximum likelihood fit of  
 1080 this distribution performed simultaneously for four different lepton combinations in the final states, each  
 1081 combination being considered as independent decay channel. The systematic uncertainties are repre-  
 1082 sented by nuisance parameters in the fit and are allowed to vary according to their probability density  
 1083 functions. The correlations across bins, between different sources of uncertainty and decay channels is  
 1084 taken into account. The background contributions are allowed to vary within the estimated uncertainties.

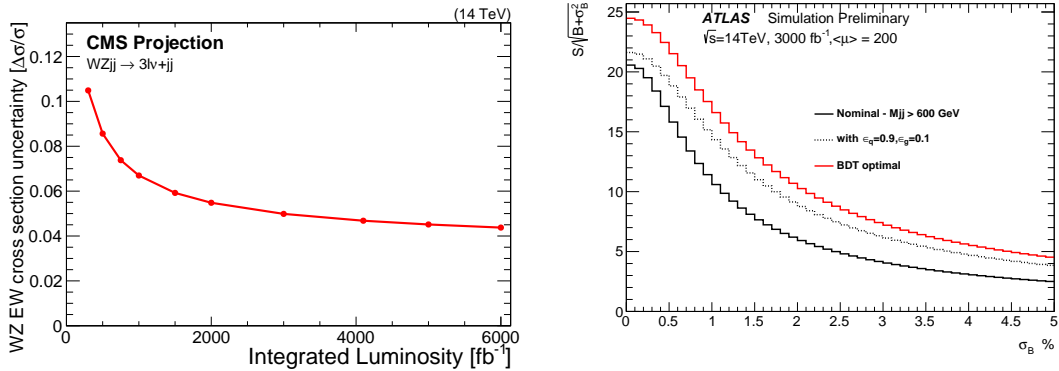


Fig. 24: Expected uncertainty on the cross-section measurement as a function of the integrated lumi-  
 nosity for the CMS projection (left). Signal significance versus the total background uncertainty for  
 the ATLAS simulation (right), presented for the nominal selection, along with two alternative selections  
 meant to mitigate the  $WZ - QCD$  background.

1085 The experimental systematic error will be dominated by the jet energy related uncertainties, and  
 1086 amounts to a maximum of 5%. The non-prompt background uncertainty may also be significant de-  
 1087 pending on the final state. Depending on the level of  $WZ - QCD$  background, the theoretical error  
 1088 affecting its modeling will eventually dominant. However it is expected that the impact of these uncer-  
 1089 tainties can be controlled to less than 5% using refined and diverse control regions allowed by the large  
 1090 statistics at HL-LHC. The total uncertainty of the electroweak cross section measurement as a function  
 1091 of luminosity is shown in Fig. 24 left for the CMS projection, while the signal significance as a function

1092 of the projected total uncertainty on background is presented in Fig. 24 right for the ATLAS simulation  
 1093 as it is arguable whether the theoretical uncertainty can be precisely predicted at this stage.

1094 The polarisation of the final state bosons can be measured inclusively for each boson in two  
 1095 different final state configurations,  $ZW^+$  and  $ZW^-$  or combined in a doubly longitudinally polarised  
 1096 final state. The  $\cos\theta_Z^*$  ( $\cos\theta_W^*$ ), where  $\theta_Z^*$  represents the angle of the lepton with the Z (W) direction in  
 1097 the WZ rest frame, is the most sensitive differential distribution to the polarisation of the Z (W) boson.  
 1098 An example of the  $\cos\theta_Z^*$  distribution is shown in Fig.25 left for the  $WZjj - EW$  signal and the sum  
 1099 of backgrounds for  $Z(W^+)$  final state; the distribution is fitted with three parameters: the longitudinal  
 1100 polarised fraction F0, the left-handed minus right-handed contributions and the number of  $WZjj - EW$   
 1101 events using three polarisation templates plus the two background contributions. The result of the fit is  
 1102 shown in Fig. 25 left, where the fraction of  $WZjj - EW$  events where the Z-boson is longitudinally,  
 1103 left or right polarised are represented, while the log-likelihood profile corresponding to F0 is presented  
 1104 in Fig. 25 right. The significance to measure F0, computed as  $\sqrt{-2\log(\lambda(F0 = 0))}$ , is estimated to  
 1105 be between 1.5 and 2.5  $\sigma$  for  $Z(W^+)$  and 0.7 and 1.5  $\sigma$  for  $W^-$  depending on the final selection that  
 1106 affects the signal purity, and systematic assumptions on the total background normalisation.

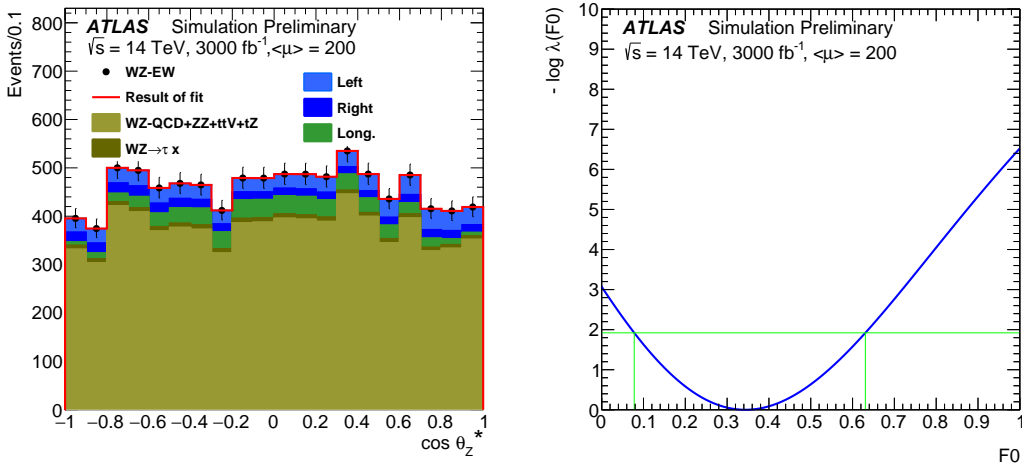


Fig. 25: Distribution of  $\cos\theta_Z^*$  for 3000  $\text{fb}^{-1}$  and result of the fit of the long. polarised contribution (F0), left and right handed contributions on top of the sum of backgrounds and of the  $WZ \rightarrow \tau\tau$  background, both contributions taken into account with a normalisation error of 2.5% (left). Shape of the log-likelihood profile for the F0 parameter around its minimum. (right)

1107 To measure the doubly longitudinal (LL) process, an approach based on the jets kinematics similar  
 1108 to this for the total  $WZjj - EW$  cross-section is used by CMS. The LL fraction is expected to be of  
 1109 the order of 5% of the total  $WZjj - EW$  production ([359]) and its unrolled 2D distribution is shown  
 1110 in Fig 26 left for 3000  $\text{fb}^{-1}$ . It can be observed that the LL contribution is increasing from 2-3% to 7-8%  
 1111 for high angular separation between jets and for high invariant mass of the dijet system. In the fit, the  
 1112 LL fraction is considered as signal, while the rest of the  $WZjj - EW$  process is considered as an  
 1113 additional background. The systematic uncertainties of the LL and non-LL fractions are considered as  
 1114 fully correlated within the total electroweak cross section. The significance of the LL observation as a  
 1115 function of integrated luminosity is shown in Fig. 26 right: the red curve presents the significance if only  
 1116 statistical uncertainties of the measurement are taken into account and the black line presents the results  
 1117 including the systematics as discussed above.

1118 The results presented in this section confirm that the  $WZjj - EW$  cross section can be measured  
 1119 with accuracy at the HL-LHC if the jets from pileup collisions in the events are well separated from

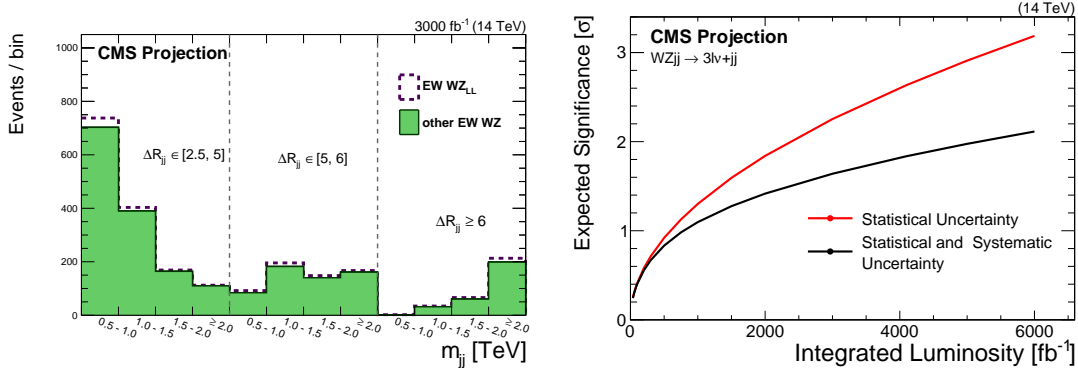


Fig. 26: Unrolled 2D ( $\Delta R_{jj}; m_{jj}$ ) LL and non-LL distribution for 3000 fb<sup>-1</sup> (left). Significance of the LL observation with and without systematic error. (right)

1120 the jets produced in the hard interactions. Increased pseudorapidity coverage of the detectors should  
 1121 improve precision of such measurement. The single polarized cross sections can also be measured  
 1122 and the double polarized measurement requires more sophisticated methods, including development of  
 1123 multivariate discriminants for better separation of the signal from background. Systematic uncertainties  
 1124 also start to play a significant role at the HL-LHC, in particular those affecting the theoretical prediction.

#### 1125 4.2.4 ZZ scattering measurement at high luminosity and high energy LHC

1126 This section presents the studies performed for VBS in the ZZ fully leptonic decay channel for HL-LHC  
 1127 and HE-LHC. Despite the very low cross section times branching fraction, the reconstruction of all final  
 1128 state leptons allows to precisely measure the angular distributions of the Z decays to optimally separate  
 1129 the longitudinal from the dominating transverse polarizations. In addition, a precise measurement of  
 1130 the hard scattering center-of-mass energy is possible from the reconstructed four-leptons invariant mass.  
 1131 Last but not least, the reducible background in this channel is very small, making it an ideal case for high  
 1132 statistics measurement since the impact of associated experimental systematics uncertainties is expected  
 1133 to be very small.

1134 The ATLAS analysis is performed with simulated events at generator level at 14 TeV, where the  
 1135 detector effects of lepton and jet reconstruction and identification were estimated by corrections, as-  
 1136 suming a mean number of interactions per bunch crossing of 200. The CMS analysis is based on the  
 1137 experimental investigation of VBS in the ZZ channel using 36fb<sup>-1</sup> of data collected in 2016 [360], which  
 1138 is projected to HL-LHC conditions by scaling the expected yields for the signal and background pro-  
 1139 cesses, taking into account the increase in luminosity and scattering energy as well as the changes in  
 1140 acceptance and selection efficiencies between the Phase-1 (13 TeV) and the Phase-2 (14 TeV) confi-  
 1141 gurations. The Delphes simulation [232] is then used to assess the sensitivity to VBS Z<sub>L</sub>Z<sub>L</sub>. The HL-LHC  
 1142 result is further projected to the HE-LHC configuration.

1143 Several Monte Carlo event generators were used to simulate the signal and background contri-  
 1144 butions. In the ATLAS analysis, both the EW-ZZjj and QCD-ZZjj processes with the ZZ → 4ℓ  
 1145 decays are modeled using SHERPA v2.2.2 [84] with the NNPDF3.0NNLO [361] parton distribution  
 1146 functions (PDFs) set. The signal sample is generated with two jets at Matrix Element (ME) level. The  
 1147 background process is modeled with next-to-leading order (NLO) QCD accuracy for events with up to  
 1148 one outgoing parton and with leading order (LO) accuracy for the case with two and three partons, in  
 1149 a phase space of  $m_{\ell\ell} > 4$  GeV and at least two leptons with  $p_T > 5$  GeV. Other backgrounds have  
 1150 minor contributions to the 4ℓ channel and therefore are not included. The CMS analysis uses MAD-  
 1151 GRAPH5\_aMC@NLO v2.3.3 [362] to simulate the EW-ZZjj signal and QCD-ZZjj background samples

1152 with zero, one, and two outgoing partons at Born level at NLO. The different jet multiplicities are  
1153 merged using the FxFx scheme [363] with a merging scale of 30 GeV, and leptonic Z boson decays  
1154 were simulated using MADSPIN [364]. The gluon loop-induced production of two Z bosons (ggZZ)  
1155 is simulated at LO with MCFM v.7.0.1 [365], and checked with a dedicated simulation of the loop-  
1156 induced  $gg \rightarrow ZZjj$  process using MADGRAPH5\_aMC@NLO. The NNPDF3.0 PDF set is also used.  
1157 The interference between EW-ZZjj and QCD-ZZjj processes is found to be small and is neglected in  
1158 both analyses. Simulated samples with polarization information on the outgoing Z bosons are generated  
1159 using MADGRAPH v5.4.2 [359] and the DECAY package from MADGRAPH5\_aMC@NLO v1.5.14.

1160 The selections are based on Run 2 analyses and have been modified according to the expected  
1161 changes for the detectors at HL-LHC. The foreseen forward lepton coverage is up to  $|\eta| = 4.0$  for both  
1162 electrons and muons in ATLAS, while it is up to  $|\eta| = 3.0(2.8)$  for electrons (muons) in the CMS  
1163 upgrade, with an option for an extension of up to  $|\eta| = 4.0$  for electrons. Candidate events should  
1164 contain two pairs of oppositely charged isolated leptons (electrons or muons), consistent with the decays  
1165 of two on-shell Z bosons. The VBS topology is ensured by requiring at least two jets with large invariant  
1166 mass and  $\eta$  separation in the cut based analysis, whereas an inclusive selection is used when the signal  
1167 extraction is performed with a multivariate discriminant (BDT). Table 10 summarizes the details of the  
selection criteria used by the ATLAS and CMS collaborations.

Table 10: Event selections used in ATLAS and CMS analyses. For the leptons  $\eta$  and  $p_T$  in CMS the first number refers to electrons and the second to muons.

	ATLAS	CMS
lepton $\eta$	$ \eta  < 4.0$	$ \eta  < 3.0(2.8)$ ( $ \eta  < 4.0(2.8)$ , extended option)
lepton $p_T$	$p_T > 20, 20, 10, 7$ GeV	$p_T > 20, 12(10), 10, 7(5)$ GeV
N leptons	exactly 4	$\geq 4$
Z mass	$60 < m_{ll} < 120$ GeV	$60 < m_{ll} < 120$ GeV
Z <sub>1</sub> definition	$m_{ll}$ closest to PDG [366] value	$p_T$ -leading Z
jet $\eta$	$ \eta  < 4.5$	$ \eta  < 4.7$
jet $p_T$	$p_T > 30(70)$ GeV for $ \eta  < 3.8(> 3.8)$	$p_T > 30$ GeV
N jets	$\geq 2$ , with $\eta^{j_1} \times \eta^{j_2} < 0$	$\geq 2$
VBS cuts	$m_{jj} > 600$ GeV and $ \Delta\eta_{jj}  > 2$	$m_{jj} > 100$ GeV, signal extraction from BDT

1168 The distributions of the dijet invariant mass ( $m_{jj}$ ) and ZZ invariant mass ( $m_{ZZ}$ ) are shown in  
1169 Fig. 27, after the ATLAS event selection. The numbers of selected signal and background events are  
1170 quoted in Table 11, normalized to  $3000 \text{ fb}^{-1}$  of integrated luminosity. In addition to the baseline selec-  
1171 tion, two alternative selections are also studied to compare different detector scenarios at the HL-LHC.  
1172 Uncertainties in the table refer to expected data statistical uncertainty at 14 TeV with  $3000 \text{ fb}^{-1}$ . The

Table 11: Comparison of event yields for the signal ( $N_{\text{EW-ZZjj}}$ ) and background ( $N_{\text{QCD-ZZjj}}$ ) processes, and expected significance of EW-ZZjj processes, normalized to  $3000 \text{ fb}^{-1}$  data at 14 TeV, for baseline and alternative selections.

Selection	$N_{\text{EW-ZZjj}}$	$N_{\text{QCD-ZZjj}}$	$N_{\text{EW-ZZjj}} / \sqrt{N_{\text{QCD-ZZjj}}}$
Baseline	$432 \pm 21$	$1402 \pm 37$	$11.5 \pm 0.6$
Leptons with $ \eta  < 2.7$	$373 \pm 19$	$1058 \pm 33$	$11.5 \pm 0.6$
PU jet suppression only in $ \eta  < 2.4$	$536 \pm 23$	$15470 \pm 124$	$4.3 \pm 0.2$

1173

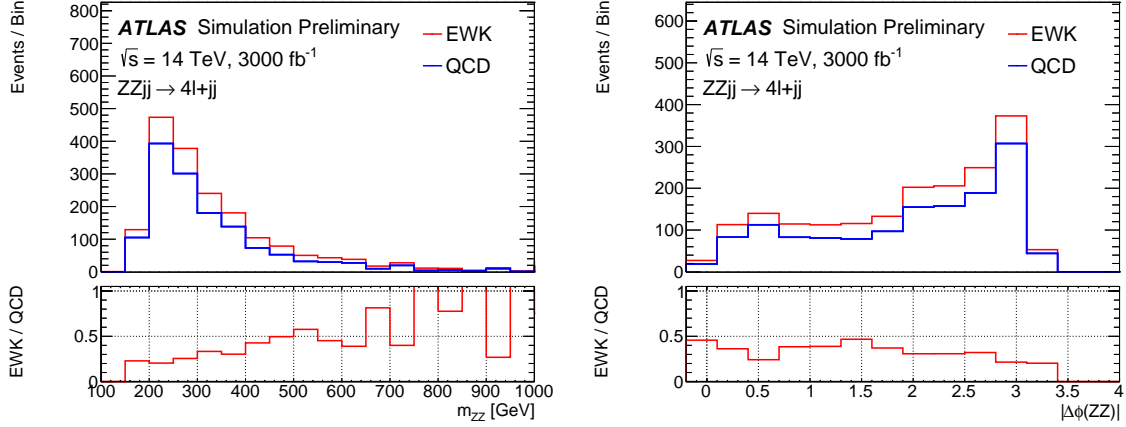


Fig. 27: Detector-level distributions of  $m_{jj}$  and  $m_{ZZ}$  for the EW and QCD processes after the cut-based event selection. Distributions are normalized to  $3000 \text{ fb}^{-1}$  (SF Is this caption correct?).

1174 benefit of the extension for the rejection of PU jets is clear. The extended tracking coverage improves  
 1175 the lepton detection efficiency and increases the number of signal events, providing larger event yield  
 1176 for differential cross-section measurements and for the longitudinal scattering. However, the overall  
 1177 significance of observing the EW-ZZjj process does not improve as much, due to larger increase of the  
 1178 QCD-ZZjj background contribution. This is due to the ZZ system being more centrally produced in EW  
 1179 processes than in QCD processes. These results, however, do not include the gluon-induced contribu-  
 1180 tion, for which the ZZ system is found to be more centrally produced than for the leading quark-induced  
 1181 contribution. Moreover, in the case of the longitudinal scattering, the  $\eta$  distribution of longitudinally  
 1182 polarized Z bosons is peaked in the forward region, therefore extended coverage is beneficial in this case  
 1183 as will be shown in the following.

1184 The dominant systematics for  $4\ell$  channel are from theoretical modeling of the QCD-ZZjj back-  
 1185 ground processes. The ATLAS analysis considers different sizes of systematic uncertainty in the back-  
 1186 ground modeling of 5, 10 and 30%. The 30% uncertainty is a conservative estimation from direct cal-  
 1187 culation by comparing different choices of PDF sets and QCD renormalization and factorization scales,  
 1188 following recommendation from PDF4LHC [153]. The 5% one is an optimistic estimation where enough  
 1189 data events from QCD enriched control region at the HL-LHC could be used to provide constrain on the  
 1190 theoretical modeling of QCD-ZZjj processes. For the experimental sources, the jet uncertainties have  
 1191 been checked following the studies in Ref. [367] and the effect is within fluctuation of the simulated  
 1192 events, which is at the 5% level. Thus a 5% uncertainty is used as a conservative estimate of the ex-  
 1193 perimental uncertainties. In this analysis these uncertainties are treated as uncorrelated and summed  
 1194 up quadratically. The CMS analysis considers two scenarios for the systematic uncertainties. The first  
 1195 scenario ('Run 2 scenario') consists in using the same systematic uncertainties as that used for the Run  
 1196 2 analysis, apart from the uncertainty in the gluon-induced background contribution for which a 10%  
 1197 uncertainty is considered. In the second scenario ('YR18 scenario'), improved systematic uncertainties  
 1198 are assumed to be obtained from the more data and better understanding of the detector. In this scenario,  
 1199 the theory systematic uncertainties (PDF and QCD scales) are furthermore halved with respect to the  
 1200 Run 2 scenario. In this analysis the systematic uncertainties are considered as nuisances in the fit and  
 1201 profiled.

1202 Figure 28 (left) shows the result of a scan over different  $m_{jj}$  cuts in addition to the ATLAS  
 1203 baseline selection, for an integrated luminosity of  $3000 \text{ fb}^{-1}$ . The expected significance of EW-ZZjj



1204 production processes is calculated as  $\text{Significance} = S/\sqrt{\sigma(B)_{stat.}^2 + \sigma(B)_{syst.}^2}$ , where S denotes the  
 1205 number of signal events after the selection, and  $\sigma(B)_{stat.}$  and  $\sigma(B)_{syst.}$  refer to the statistical and sys-  
 1206 tematic uncertainties in background yield. The statistical uncertainty is estimated from expected data  
 1207 yield at 14 TeV with  $3000 \text{ fb}^{-1}$ .

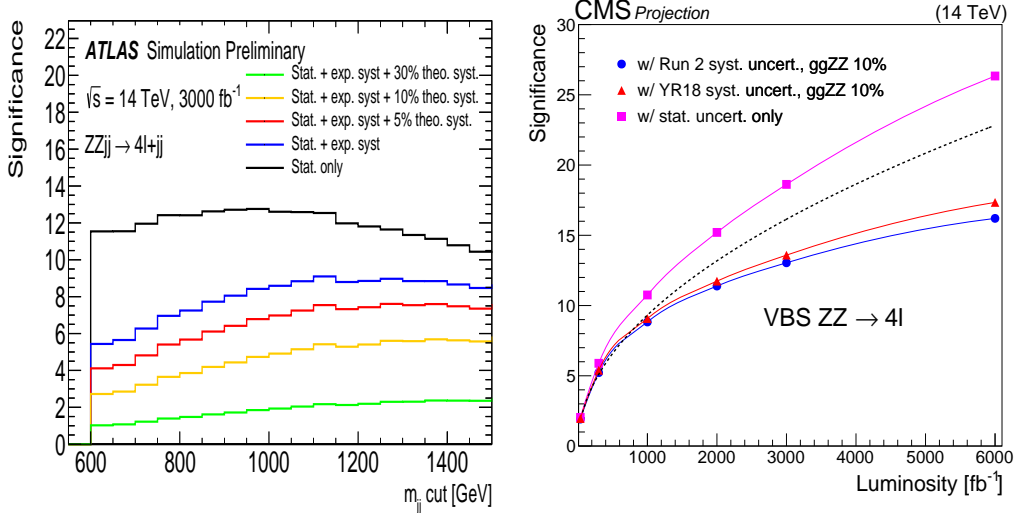


Fig. 28: Expected significance of EW-ZZjj processes as a function of different  $m_{jj}$  cuts for  $3000 \text{ fb}^{-1}$ , for different sizes of theoretical uncertainties in the QCD-ZZjj background modeling (left). Projected significance in the multivariate analysis as a function of the integrated luminosity for the two considered scenario and a 10% uncertainty in the loop-induced ggZZ background yield, as well as with only the statistical uncertainties included (right).

1208 The CMS analysis employs a multivariate discriminant based on a boosted decision tree (BDT)  
 1209 to extract the EW-ZZjj signal from the QCD-ZZjj background processes. Seven observables are used in  
 1210 the BDT, including  $m_{jj}$ ,  $|\Delta\eta_{jj}|$ ,  $m_{ZZ}$ , as well as the Zeppenfeld variables [368]  $\eta_{Z_{1,2}}^* = \eta_{Z_{1,2}} - (\eta_{\text{jet}_1} +$   
 1211  $\eta_{\text{jet}_2})/2$  of the two Z bosons, and the ratio between the  $p_T$  of the tagging jet system and the scalar sum  
 1212 of  $p_T$  of the tagging jets ( $R(p_T)^{\text{jets}}$ ). The BDT also exploits the event balance  $R(p_T)^{\text{hard}}$ , defined as  
 1213 the transverse component of the vector sum of the Z bosons and tagging jets momenta, normalized to  
 1214 the scalar  $p_T$  sum of the same objects [369]. The modeling of all these observables was checked with  
 1215 Run 2 data in a background-enriched region [360]. A maximum likelihood fit of the BDT distributions  
 1216 for signal and backgrounds is used to extract the signal strength. The shape and normalization of each  
 1217 distribution are allowed to vary within their respective uncertainties. Figure 28 (right) shows the pro-  
 1218 jected significance for a 10% uncertainty in the loop-induced ggZZ background yield, as a function of  
 1219 the integrated luminosity and for the two scenarios described above, as well as for a scenario with only  
 1220 the statistical uncertainty included. The dashed line shows the projected significance as obtained scaling  
 1221 the 2016 result with statistical uncertainty only by the luminosity ratio. The impact of a multivariate  
 1222 analysis is clear for such small signal. The expected significance is  $13.0\sigma$  ( $13.6\sigma$ ) for the Run 2 (YR18)  
 1223 scenario, for a 10% uncertainty in the loop-induced ggZZ background yield and an integrated luminosity  
 1224 of  $3000 \text{ fb}^{-1}$ .

1225 A fiducial phase space is defined at generator level with the same kinematic selections as listed  
 1226 in Table 10, and is used to study the expected precision of the cross-section measurements. Table 12  
 1227 shows the expected cross-section measurement in this phase space for  $3000 \text{ fb}^{-1}$ , with the statistical  
 1228 only case, and the cases with different sizes of theoretical uncertainties. The statistical uncertainty is  
 1229 at 10% level and the integrated cross-section measurement becomes dominated by experimental and

1230 modeling uncertainty in the QCD-ZZjj background. For the possible extension of the HL-LHC run to  
 1231  $4000 \text{ fb}^{-1}$ , the statistical uncertainty will be further reduced to 8% level.

Table 12: Summary of expected cross-section measurements for different theoretical uncertainties. The statistical uncertainty is estimated from expected data yield at 14 TeV with  $3000 \text{ fb}^{-1}$ . Different uncertainties are summed up quadratically.

	Cross section [fb]	Stat. only	Plus exp.	Plus 5% theo.	Plus 10% theo.	Plus 30% theo.
EW-ZZjj	0.21	$\pm 0.02$	$\pm 0.04$	$\pm 0.05$	$\pm 0.08$	$\pm 0.21$

1232 The projected measurement uncertainty from the CMS analysis is 9.8% (8.8%) for the Run 2  
 1233 (YR18) scenario and for a 10% uncertainty in the loop-induced ggZZ background yield, for an integrated  
 1234 luminosity of  $3000 \text{ fb}^{-1}$  and a coverage of up to  $|\eta| = 3$  for electrons. Extending the coverage up to  
 1235  $|\eta| = 4$  for electrons, the expected measurement uncertainty becomes 9.5% and 8.5%, respectively. In  
 1236 these estimates it is assumed that a fiducial cross section close to the detector volume is used, such that  
 1237 the measurement is to first order insensitive to theoretical uncertainties in the signal cross section.

1238 In addition, the expected differential cross-section measurements of the EW-ZZjj processes at  
 1239 14 TeV have been studied in the defined phase space, as a function of  $m_{jj}$ , and  $m_{ZZ}$ , as shown in  
 1240 Fig. 29. The expected differential cross-section measurements are calculated bin by bin as

$$\sigma = \frac{N_{pseudo-data} - N_{QCD-ZZjj}}{L * C_{EW-ZZjj}}, \quad C_{EW-ZZjj} = \frac{N_{EW-ZZjj}^{det.}}{N_{EW-ZZjj}^{part.}}, \quad (19)$$

1241 where  $N_{pseudo-data}$  is the expected number of data events with  $3000 \text{ fb}^{-1}$  luminosity, and  $N_{QCD-ZZjj}$   
 1242 and  $N_{EW-ZZjj}$  are the number of predicted events from QCD-ZZjj and EW-ZZjj processes, respec-  
 1243 tively. The  $C_{EW-ZZjj}$  factor refers to the detector efficiency for EW-ZZjj processes, calculated as  
 1244 number of selected signal events at detector level ( $N_{EW-ZZjj}^{det.}$ ), divided by number of selected events at  
 1245 particle level in the fiducial phase space ( $N_{EW-ZZjj}^{part.}$ ). Both the statistical only case (statistical uncer-  
 1246 tainty is estimated from expected data yield at 14 TeV with  $3000 \text{ fb}^{-1}$ ) and the ones with different sizes  
 1247 of theoretical uncertainties on the background modeling are shown in Fig. 29.

1248 The decay angle  $\cos \theta^*$  of the lepton direction in the Z decay rest frame with respect to the Z  
 1249 momentum direction in the laboratory frame is the most distinctive feature of longitudinal Z bosons ( $Z_L$ ).  
 1250 The Z boson  $p_T$  and  $\eta$  distributions also carry information on  $Z_L Z_L$  production, in particular longitudinal  
 1251 Z bosons are produced with a lower  $p_T$  and more forward, compared to transverse polarizations ( $Z_T$ ).  
 1252 The distributions of  $\cos \theta^*$ ,  $p_T$  and  $\eta$  of both Z bosons, together with the distributions of all observables  
 1253 used to separate VBS processes from QCD backgrounds and described above are employed as input  
 1254 to a BDT to separate the VBS  $Z_L Z_L$  signal from all backgrounds. The BDT is trained separately to  
 1255 discriminate the VBS  $Z_L Z_L$  signal from the QCD backgrounds (QCD BDT) and to discriminate the  
 1256 VBS  $Z_L Z_L$  signal from the VBS background (VBS BDT). Cut values are defined on the QCD BDT  
 1257 and on the VBS BDT output values, which maximizes the overall significance estimator  $S/\sqrt{B}$  for the  
 1258 selected events. The corresponding signal efficiency is 14.1% and the VBS, leading QCD-ZZjj and  
 1259 loop-induced ggZZ background efficiencies are 1.6%, 0.03% and 0.05%, respectively. It is assumed that  
 1260 the VBS  $Z_L Z_L$  fraction, defined as  $\text{VBS } Z_L Z_L / \text{VBS } (Z_L Z_L + Z_L Z_T + Z_T Z_T)$  will be measured, rather  
 1261 than the absolute VBS  $Z_L Z_L$  cross section. In such ratio measurement, the systematic uncertainties from  
 1262 luminosity, and selection efficiency, as well as theoretical uncertainties on the VBS and VBS background  
 1263 cross section cancel out, such that only the uncertainties in the QCD backgrounds yields are considered.

1264 Figure 30 shows the expected significance for the VBS  $Z_L Z_L$  fraction as a function of the inte-  
 1265 grated luminosity and for the two scenarios described above and a 10% uncertainty in the loop-induced



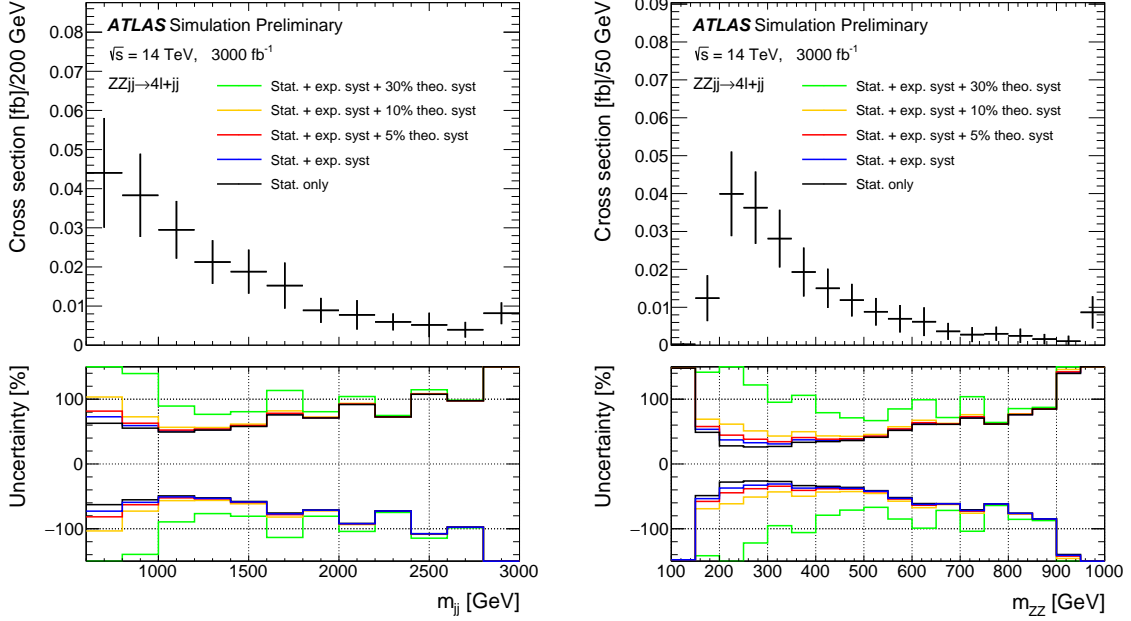


Fig. 29: Expected differential cross-sections at 14 TeV for the EW-ZZjj processes as a function of  $m_{jj}$ (left) and  $m_{ZZ}$ (right). Results are shown with different sizes of systematic uncertainties.

1266 ggZZ background yield, as well as for a scenario with only the statistical uncertainty included. A significance of  $1.4\sigma$  is reached for  $3000 \text{ fb}^{-1}$ . As expected from the ratio measurement, the effect of  
 1267 systematic uncertainties is very small. Results are also shown for an integrated luminosity of  $6000 \text{ fb}^{-1}$ ,  
 1268 which would approximately correspond to combining ATLAS and CMS after  $3000 \text{ fb}^{-1}$ . Table 13

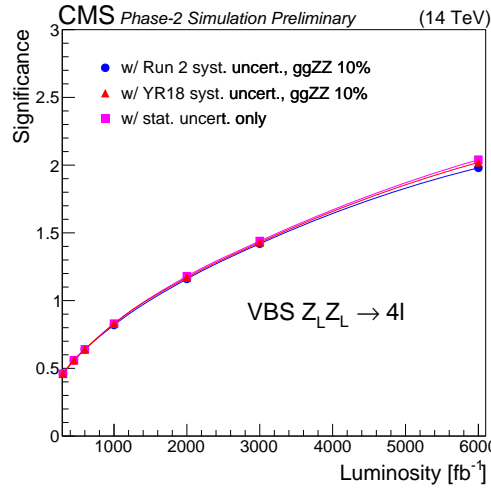


Fig. 30: Expected significance for the VBS  $Z_L Z_L$  fraction as a function of the integrated luminosity and for systematic uncertainties according to the Run 2 and YR18 scenario, as well as with only the statistical uncertainties included.

1269 presents the expected significance and relative uncertainty in the VBS  $Z_L Z_L$  fraction for various  $\eta$  cov-  
 1270 erage configurations. The foreseen coverage extension of up to  $|\eta| = 3$  (2.8) for electrons (muons) leads  
 1271 to a  $\sim 13\%$  improvement for the significance and precision on the VBS  $Z_L Z_L$  fraction. An extension of  
 1272

1273 up to  $|\eta| = 4$  for electrons would allow to further improve by  $\sim 4\%$  both significance and cross section  
 1274 measurement uncertainty.

Table 13: Significance and measurement uncertainty for the VBS  $Z_L Z_L$  fraction for different acceptance configurations. In the quoted  $\eta$  coverages, the first number corresponds to electrons while the number in parentheses corresponds to muons.

$\eta$ coverage	significance	VBS $Z_L Z_L$ fraction uncertainty (%)
$ \eta  < 2.5$ (2.4)	$1.22\sigma$	88
$ \eta  < 3.0$ (2.8)	$1.38\sigma$	78
$ \eta  < 4.0$ (2.8)	$1.43\sigma$	75

1275 Finally, a simple scaling of the signal and background cross sections is performed to assess the  
 1276 sensitivity to the VBS  $Z_L Z_L$  fraction at HE-LHC. An integrated luminosity of  $15 \text{ ab}^{-1}$  is considered,  
 1277 together with a c.o.m energy of 27 TeV. The cross section ratios  $\sigma_{27 \text{ TeV}} / \sigma_{14 \text{ TeV}}$  are evaluated at LO  
 1278 with MADGRAPH(v5.4.2) [359] for the EW signal and the leading QCD-ZZjj background, and with  
 1279 MCFM(v.7.0.1) [365] for the ggZZ loop-induced background. Table 14 shows the expected significance  
 1280 and relative uncertainty for the VBS  $Z_L Z_L$  fraction at HE-LHC, compared to HL-LHC. The HE-LHC  
 1281 machine would allow to bring the sensitivity (uncertainty) for the measurement of the VBS  $Z_L Z_L$  frac-  
 1282 tion at the level of  $\sim 5\sigma$  ( $\sim 20\%$ ).

Table 14: Expected significance and measurement uncertainty for the VBS  $Z_L Z_L$  fraction at HL-LHC and HE-LHC with and without systematic uncertainties included.

	significance		precision (%)	
	w/ syst. uncert.	w/o syst. uncert.	w/ syst. uncert.	w/o syst. uncert.)
HL-LHC	$1.4\sigma$	$1.4\sigma$	75%	75%
HE-LHC	$5.2\sigma$	$5.7\sigma$	20%	19%

#### 1283 4.2.5 Prospects for electroweak $WW/WZ$ production via vector boson scattering in the semi- 1284 leptonic final states at HL-LHC and HE-LHC

1285 The study of the high-energy scattering between the longitudinal components of the vector bosons (vec-  
 1286 tor boson scattering or VBS) is a perfect case to search systematically for the presence of new particles  
 1287 or interactions behind the breaking of the EW symmetry. In fact, the scattering amplitude of the VBS  
 1288 processes, in absence of the Higgs boson, would grow indefinitely with the center-of-mass energy. This  
 1289 important high-energy behavior still needs to be tested experimentally and it will be one of the main  
 1290 drivers of the physics program for the HL-LHC. The existing Run-2 VBS measurements have focused  
 1291 on channels involving the fully leptonic boson decays, or decay modes involving photons. The semilep-  
 1292 tonic channels can however offer some interesting advantages: the  $V \rightarrow qq$  branching fractions are  
 1293 much larger than the leptonic ones and the use of jet substructure techniques with large-radius jet re-  
 1294 construction allows to reconstruct and identify the  $V$ -boson produced in the high- $p_T$  region, which is  
 1295 the most sensitive to new physics effects. This section presents the sensitivity of the ATLAS experiment  
 1296 to VBS in the  $V(qq)W(\ell\nu)$  final state, assuming an integrated luminosity of 300 or  $3000 \text{ fb}^{-1}$  of  $pp$   
 1297 collisions at  $\sqrt{s} = 14 \text{ TeV}$ .

1298 This analyses uses generator-level samples of the main signal and background processes, com-  
 1299 bined with the parameterizations of the detector performance (muon and jet reconstruction and selection

1300 efficiencies and momentum resolutions) expected at the HL-LHC from fully simulated samples. The  
 1301 parametrized detector resolutions are used to smear the generator-level particle transverse momenta,  
 1302 while the parametrized efficiencies are used to reweigh the selected events. All generated samples were  
 1303 produced at  $\sqrt{s}=14$  TeV and normalized to luminosities of 300 or 3000 fb<sup>-1</sup> when the results are  
 1304 presented.

1305 The electro-weak (EWK)  $VVjj$  production is modeled using MADGRAPH5\_AMC@NLO v2.3.3 [12],  
 1306 plus PYTHIA8 [251] for fragmentation. The main background sources are  $W$  bosons produced in as-  
 1307 sociation with jets ( $W$ +jets), with significant contributions from top-quark production (both  $t\bar{t}$  pair and  
 1308 single-top), non-resonant vector-boson pair production ( $ZZ$ ,  $WZ$  and  $WW$ ) and  $Z$  bosons produced  
 1309 in association with jets ( $Z$ +jets). Background originating from multi-jet processes are expected to be  
 1310 negligible due to the event selection requirements. Details about the samples generation can be found in

1311 To increase the purity of considered events, several requirements are placed on the constituents  
 1312 of an event. Events are required to have exactly one lepton. Generator-level electrons or muons are  
 1313 required to be isolated and pass the tight identification criteria [370] and to have  $p_T > 27$  GeV. Events  
 1314 are required to contain a hadronically-decaying  $W/Z$  candidate, reconstructed either from two small- $R$   
 1315 jets, defined as the resolved channel, or from one large- $R$  jet, designated the boosted channel. Small- $R$   
 1316 jets are defined using the anti- $k_t$  algorithm [371] with a radius parameter of  $R = 0.4$ . The identification  
 1317 of jets originating from  $b$ -quarks is done by finding jets with generator-level  $b$ -hadron within a cone of  
 1318  $\Delta R < 0.4$  around the jet direction. Similarly, the anti- $k_t$  algorithm with a radius parameter of  $R = 1.0$   
 1319 is used to reconstruct large- $R$  jets. The large- $R$  jets are trimmed using the standard ATLAS trimming  
 1320 parameters [372]. It is assumed that the performance of a future  $W/Z$ -boson tagger at the HL-LHC  
 1321 conditions will have similar, if not better, performance as existing boson taggers. To simulate the effect  
 1322 of Run-2  $W/Z$ -boson tagging performance [373, 374] events which contain a large- $R$  jet are scaled by  
 1323 the expected boson tagging efficiency for the  $V \rightarrow qq$  with kinematics corresponding to the large- $R$   
 1324 jet, calculated from fully-simulated 13 TeV Monte-Carlo (MC) samples. The missing transverse energy  
 1325  $E_T^{miss}$  is required to be greater than 60 GeV, which suppresses the expected multijet background to a  
 1326 negligible level. By constraining the  $E_T^{miss} + \text{lepton}$  system to be consistent with the  $W$  mass, the  $z$   
 1327 component of the neutrino ( $\nu$ ) momentum can be reconstructed by solving a quadratic equation.

1328 Experimentally, VBS is characterized by the presence of a pair of vector bosons and two forward  
 1329 jets with a large separation in pseudorapidity and a large dijet invariant mass. Therefore the VBS search  
 1330 is required to have 2 additional forward VBS-topology tagging jets in the event in addition to jets as-  
 1331 sociated with the boson decay, similar to the resonant VBF search. The VBS tagging jets are required  
 1332 to be non- $b$ -tagged, be in the opposite hemispheres,  $\eta(j_1^{\text{tag}}) \cdot \eta(j_2^{\text{tag}}) < 0$ , and to have the highest dijet  
 1333 invariant mass among all pairs of jets remaining in the event after the  $V \rightarrow jj$  jet selection. After the  
 1334 tagging jet pair are selected, it is required that both tagging jets should have  $p_T > 30$  GeV, and that the in-  
 1335 variant mass of the two tagging jets system is greater than 400 GeV. In the merged selection, events are  
 1336 required to have at least one large- $R$  jet with  $p_T(J) > 200$  GeV and  $|\eta(J)| < 2$ . From those candidate  
 1337 large- $R$  jets, the one with the smallest  $|m(J) - m(W/Z)|$  is selected as the signal large- $R$  jet. Mass  
 1338 window cuts and boson tagging efficiencies are applied as described above. To suppress backgrounds  
 1339 with top quarks, an event is rejected if any of the reconstructed jets outside the large  $R$  jet, is identified  
 1340 as containing a  $b$ -quark. If events fail the merged VBS selection, the resolved selection is then applied.  
 1341 Signal jets are chosen as the pair with  $m(jj)$  closest to the  $W/Z$  mass. The signal jet pairs are then  
 1342 required to have  $|m(jj) - m(W/Z)| < 15$  GeV. To suppress backgrounds with top quarks, an event is  
 1343 rejected if any of the reconstructed jets is identified as containing a  $b$ -quark.

1344 To optimize the signal sensitivity, Boosted Decision Trees (BDT) for the resolved and merged  
 1345 searches were trained on the background and signal MC samples in the respective regions. Four variables  
 1346 are included in the merged BDT: the invariant mass of the  $l\nu J$  system, the lepton  $\eta$ , the second tag jet  $p_T$   
 1347 and the boson centrality  $\zeta_V$ . The boson centrality is defined as  $\zeta_V = \min(\Delta\eta_+, \Delta\eta_-)$  where  $\Delta\eta_+ =$

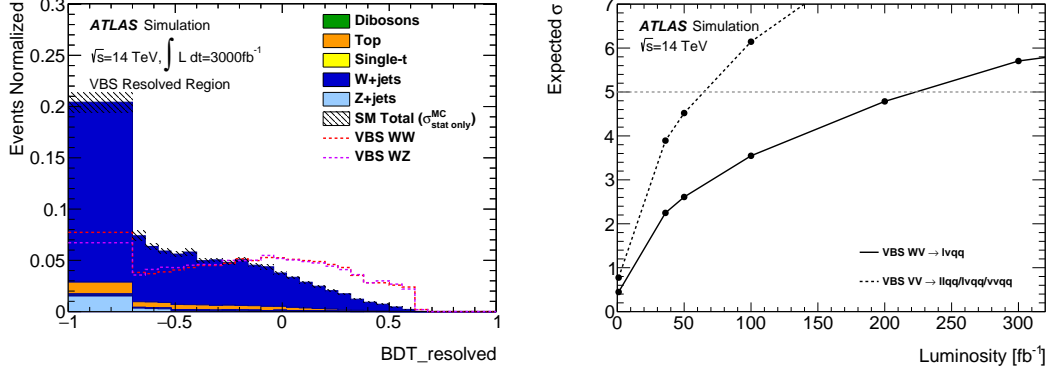


Fig. 31: Final signal and background distributions for the VBS search in the respective resolved signal region for the normalized BDT response. Background distributions are separated into production type. VBS signals in WW and WZ mode are overlaid as dashed curves where appropriate. Both background and signal BDT distributions are normalized to unity (left). Expected signal significance as a function of integrated luminosity up to  $300 \text{ fb}^{-1}$ . The solid black curve is the significance from the  $lvqq$  channel, while the black dashed curve shows the expected significance from all semi-leptonic channels assuming equal sensitivity (right).

1348  $\max(\eta(j_1^{\text{tag}}), \eta(j_2^{\text{tag}})) - \max(\eta(l\nu), \eta(J))$  and  $\Delta\eta_- = \min(\eta(l\nu), \eta(J)) - \min(\eta(j_1^{\text{tag}}), \eta(j_2^{\text{tag}}))$ .  
 1349 In the resolved BDT, eight variables were used: the invariant mass of the  $WVjj$  system, the lepton  
 1350  $\eta$ , the  $p_T$  of both VBS-tagging jets and sub-leading signal jet, the boson centrality defined similarly  
 1351 to above, the  $\Delta\eta$  between signal jets, and the  $\Delta R$  between the lepton and neutrino candidate. These  
 1352 variables were chosen as they are the minimal subset of variables with the greatest separation between  
 1353 the signal and background, that provide significant improvement when added during the training. The  
 1354 BDT were trained using a gradient descent BDT algorithm, maximizing the the Gini index, in the TMVA  
 1355 package [375]. The BDT are chosen as the discriminants and their distributions are used in the final fit  
 1356 for the VBS search shown in Figure 31.

1357 If an event fails either a mass-window cut or a  $b$ -veto but passes all other events then the event  
 1358 is categorized as a  $W$  or top control region. These regions are used to constrain the normalization and  
 1359 shape systematics of the background.

1360 The results are extracted by performing a simultaneous binned maximum-likelihood fit to the  
 1361 BDT distributions in the signal regions and the  $W$ +jets and  $t\bar{t}$  control regions. A test statistic based  
 1362 on the profile likelihood ratio [376] is used to test hypothesized values of the signal cross-section. The  
 1363 likelihood is defined as the product of the Poisson likelihoods for all signal and control regions for a  
 1364 given production mechanism category and channel. Systematic uncertainties are taken into account as  
 1365 constrained nuisance parameters with Gaussian or log-normal distributions. The main background mod-  
 1366 elling systematics, namely the  $W$ +jets and  $t\bar{t}$  shape uncertainties, are constrained by the corresponding  
 1367 control regions and are treated as uncorrelated among the resolved and merged signal regions.

1368 The expected significance for the SM VBS process is  $5.7\sigma$  at  $300 \text{ fb}^{-1}$  as shown in Fig. 31.  
 1369 The expected cross-section uncertainties are 18% at  $300 \text{ fb}^{-1}$  and 6.5% at  $3000 \text{ fb}^{-1}$ . The effects of  
 1370 unfolding were not considered for the cross-section estimates. If control regions are not used to constrain  
 1371 the systematics the expected significance is reduced to  $3.6\sigma$  at  $300 \text{ fb}^{-1}$ . Likewise the cross-section  
 1372 uncertainty are increased to 28% at  $300 \text{ fb}^{-1}$  and 10% at  $3000 \text{ fb}^{-1}$  when control regions are ignored.

1373 4.2.5.1 Electroweak  $WW/WZ$  production analysis at HE-LHC

1374 The prospect analysis at HE-LHC [Cavaliere:2018zcf] mimics the analysis at HL-LHC but the Delphes  
1375 simulation is used [377]. VBS signal samples are produced in the same manner as the HL-LHC analysis.  
1376 The major backgrounds  $W$ +jets and  $t\bar{t}$  production are simulated with MADGRAPH and AMC@NLO  
1377 respectively, interfaced with Pythia.  $Z$ +jets, single top and diboson contribution are not simulated and  
1378 are expected to contribute at most 10% to the total background.

1379 The unprecedented energy of  $pp$  collisions at the HE-LHC will significantly improve sensitivity to  
1380 new multi-TeV particles over LHC and HL-LHC. However, the experimental environment is expected to  
1381 be challenging at the HE-LHC, primarily due to a significant increase of the number of  $pp$  collisions in a  
1382 same and nearby bunch crossings (pile-up). The HE-LHC is planned to be operated at a centre-of-mass  
1383 energy of 27 TeV with 800 pile-up collisions at the peak luminosity. Such extreme pile-up conditions are  
1384 expected to be particularly challenging for identifying hadronically decaying  $W/Z$  boson as the extra  
1385 contribution of particles produced from pile-up collisions into jets could degrade the performance of  
1386  $W/Z$  boson tagger significantly. It is therefore important to assess the performance of pile-up mitigation  
1387 technique at the HE-LHC in order to have a reliable estimate of the search sensitivity.

1388 The study presented here focuses on the performance of pile-up mitigation techniques and  $W/Z$   
1389 boson tagging. The VBS signal events are produced with the overlay of minimum-bias  $pp$  interactions  
1390 generated using Pythia 8. The minimum-bias interactions are overlaid onto hard scattering event using  
1391 Poisson probability distribution with the mean number of interactions ( $\mu_{\text{pileup}}$ ) varied from 0 to 100,  
1392 200, 400 and 800. Furthermore, the minimum-bias interactions are distributed randomly in  $z$  and timing  
1393 using Gaussian profiles of  $\sigma_z = 5.3$  cm and  $\sigma_t = 160$  ps, respectively ( $z=0$  at the detector center and  
1394  $t=0$  for hard scattering event). The overlaid VBS signal events are processed through Delphes with  
1395 two pile-up mitigation techniques: the Pile-up Per Particle Identification (PUPPI) algorithm [328] used  
1396 in CMS and the trimming procedure used in ATLAS. The trimming parameters of the  $p_T$  fraction cut  
1397 and the sub-jet reclustering radius are chosen to be the same as those used in ATLAS. For the PUPPI  
1398 algorithm the standard Delphes implementation is used.

1399 Figure 32 shows the leading large- $R$  jet mass ( $m_J$ ) for the PUPPI-only jets and the PUPPI+trimmed  
1400 jets, both required to have  $p_T > 200$  GeV. The  $m_J$  distribution gets shifted towards lower values with  
1401 the trimming applied, enhancing the peak around  $m_W$ . The residual pile-up effect is still visible as a  
1402 shift towards larger values with increasing  $\mu_{\text{pileup}}$ , but the overall signal yield after the mass-window and  
1403  $D_2$  requirements (e.g,  $D_2 < 1.5$ ) is largely stable. This indicates that an impact to the  $W/Z$ -boson tag-  
1404 ging performance from expected pile-up collisions at the HE-LHC can be mitigated to the level where  
1405 the tagging performance is similar to what we expect at Run 2 or the HL-LHC. Therefore, the study  
1406 presented in the rest of this note is based on the  $W/Z$ -boson tagging performance at Run 2.

1407 The sensitivity to the VBS signal at 27 TeV is extracted in the same manner as the HL-LHC  
1408 analysis. The event selection is similar and a BDT is built using the same variables both in the resolved  
1409 and boosted channel. For more details about the BDT and the setup used please refer to citation. Fig. 33  
1410 shows the expected cross-section uncertainty as function of integrated luminosity at 27 TeV compared  
1411 to the one obtained at 14 TeV. The results are very consistent and show that given the same luminosity  
1412 the same uncertainty can be reached at 27 TeV. Prospects are also presented for the extraction of the  
1413 longitudinal component of the  $WW$  scattering. For the extraction of the longitudinal component in  
1414 VBS processes, the electro-weak  $WWjj$  samples are generated with the DECAY program to identify  
1415 the polarization state of the produced  $V$  bosons. The generated events are then classified according to the  
1416 polarization state: both  $V$  bosons are longitudinally (LL) or transversely (TT) polarized, or in the mixed  
1417 state (LT). Each event is showered using Pythia and then processed through the Delphes simulation.

1418 In this case a BDT is built training the signal samples (WW LL) against the sum of the back-  
1419 grounds which include the TT and LT component of the electroweak  $WWjj$  samples. The observed  
1420 significance expected with this simple setup is shown in the right figure of Fig. 33. One line shows

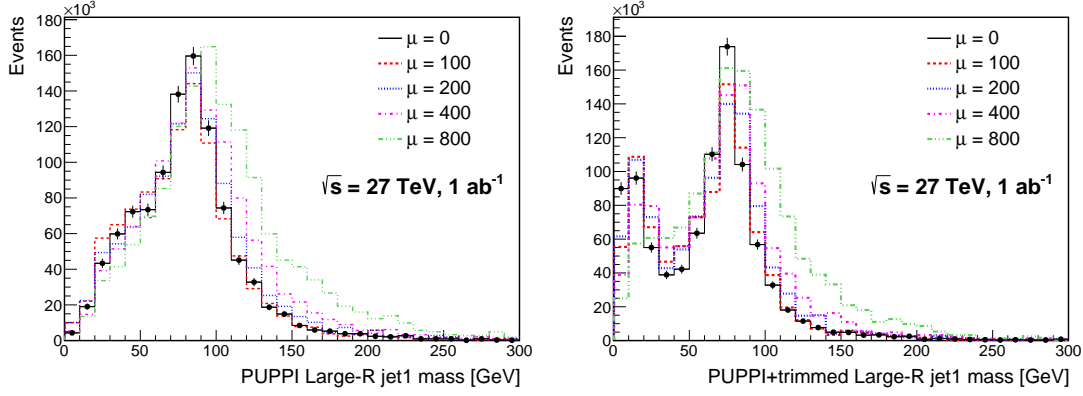


Fig. 32: Leading large- $R$  jet mass (left) after applying the PUPPI algorithm at an integrated luminosity of  $1 \text{ ab}^{-1}$  at  $\sqrt{s} = 27 \text{ TeV}$  with five different pile-up overlay conditions of  $\mu_{\text{pileup}} = 0, 100, 200, 400$  and  $800$ . The right plots shows the same distribution but after additionally requiring that the jets are trimmed with the conditions described in the text.

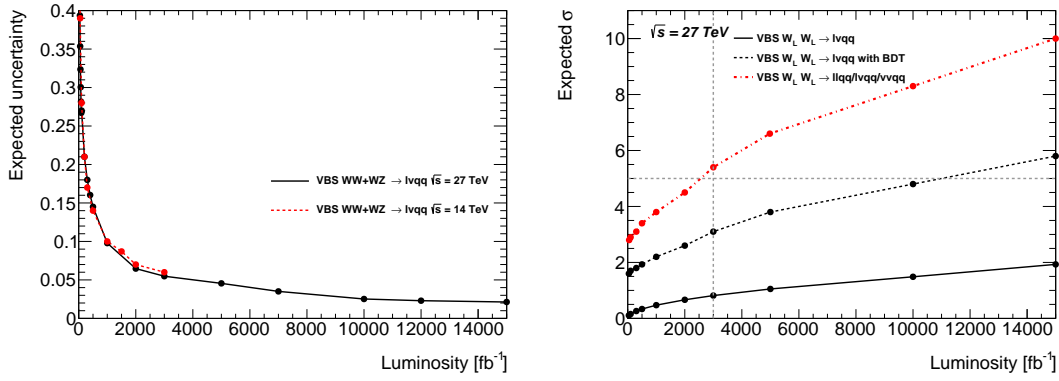


Fig. 33: The expected cross-section uncertainty as function of integrated luminosity at  $27 \text{ TeV}$  compared to the one obtained at  $14 \text{ TeV}$  (left). Right: Observed significance as a function of the luminosity and expected uncertainty for the EWK  $W_L W_L$  signal assuming a 10% fraction predicted by Madgraph (right). One line shows the results obtained by fitting a single variable, the total invariant mass of the system and the other one shows the expected significance using the BDT. The third line shows the expected significance assuming the combination of all three semi-leptonic channels with the same sensitivity.

1421 the results obtained by fitting a single variable, the total invariant mass of the system and the other one  
 1422 shows the expected significance using the BDT. The third line shows the expected significance assuming  
 1423 the combination of all three semi-leptonic channels with the same sensitivity. It's expected to reach  $5\sigma$   
 1424 sensitivities with  $3000 \text{ fb}^{-1}$  combining all the semileptonic channels.

### 1425 4.3 Tri-boson production

1426 The production of multiple heavy gauge bosons  $V (= W^\pm, Z)$  opens up a multitude of potential  
 1427 decay channels categorised according to the number of charged leptons in the final state. The sen-  
 1428 sitivity prospect studies have been performed related to the production of  $W^\pm W^\pm W^\mp$ ,  $W^\pm W^\mp Z$



1429 or  $W^\pm ZZ$  followed by the fully leptonic or semi-hadronic<sup>8</sup> decays:  $W^\pm W^\pm W^\mp \rightarrow \ell^\pm \nu \ell^\pm \nu \ell^\mp \nu$ ,  
1430  $W^\pm W^\pm W^\mp \rightarrow \ell^\pm \nu \ell^\pm \nu jj$ ,  $W^\pm W^\mp Z \rightarrow \ell^\pm \nu \ell^\pm \nu \ell^+ \ell^-$ ,  $W^\pm W^\mp Z \rightarrow \ell^\pm \nu jj \ell^+ \ell^-$ ,  $W^\pm ZZ \rightarrow$   
1431  $\ell^\pm \nu \ell^+ \ell^- \ell^+ \ell^-$ ,  $W^\pm ZZ \rightarrow \ell^\pm \nu \ell^+ \ell^- \nu \nu$ ,  $W^\pm ZZ \rightarrow jj \ell^+ \ell^- \ell^+ \ell^-$  and  $W^\pm ZZ \rightarrow \ell^\pm \nu \ell^+ \ell^- jj$ , with  
1432  $\ell = e$  or  $\mu$ . Prospect studies have been performed, using a cut-based analysis, corresponding to an inte-  
1433 grated luminosity of  $3000 \text{ fb}^{-1}$  and  $4000 \text{ fb}^{-1}$  of proton–proton collisions at a centre-of-mass energy of  
1434  $\sqrt{s} = 14 \text{ TeV}$ , expected to be collected by the ATLAS detector at the the High-Luminosity Large Hadron  
1435 Collider (HL-LHC) [378, 379]. In this document we summarise only results that are expected to provide  
1436 the best sensitivity according to the full prospect studies documented in [380].

1437 Monte Carlo (MC) simulated event samples are used to predict the background from SM pro-  
1438 cesses and to model the multi-boson signal production. The effects of an upgraded ATLAS detector are  
1439 taken into account by applying energy smearing, efficiencies and fake rates to generator level quantities,  
1440 following parameterisations based on detector performance studies with full simulation and HL-LHC  
1441 conditions. The most relevant MC samples have equivalent luminosities (at 14 TeV) of at least 3000  
1442  $\text{fb}^{-1}$ . Several MC generators are used to model the production of signal and dominant SM background  
1443 processes relevant for the analysis.

1444 For the generation of triboson signal events, matrix elements for all combinations of  $pp \rightarrow VV$   
1445 ( $V = W^\pm, Z$ ) have been generated using SHERPA v2.2.2 [84] with up to two additional partons in the  
1446 final state, including full next-to-leading-order calculations (NLO) [381–383] accuracy for the inclusive  
1447 process. All diagrams with three electroweak couplings are taken into account, including diagrams  
1448 involving Higgs propagators. However, since these samples use factorised decays with on-shell vector  
1449 bosons, the resonant contribution from those diagrams can not be reached from the 125 GeV Higgs. In  
1450 order to account for the contribution coming from these diagrams the corresponding production of  $VH$   
1451 ( $V = W, Z$ ) bosons is added to the signal. Electroweak NLO corrections to the signal production cross  
1452 sections are not considered in this analysis. The diboson processes are generated with SHERPA event  
1453 generator following the approach described in [384]. For the simulation of the top quark pair and the  
1454 production of  $VH$  ( $V = W, Z$ ) bosons POWHEG [273, 385, 386]+PYTHIA [387] was used as described  
1455 in [388], while for the  $t\bar{t} + V$  ( $V = W, Z, H$ ) MADGRAPH5\_aMC@NLO [12] interfaced to PYTHIA  
1456 was used as in [389].

1457 The expected multi-boson yields are normalised to the SHERPA predictions, while the  $t\bar{t} + V$   
1458 ( $V = W, Z, H$ ) yields are normalized to NLO. The top quark pair-production contribution is normalised  
1459 to approximate NNLO+NNLL accuracy [41, 390].

### 1460 4.3.1 Experimental signatures

1461 The experimental signature of the triboson processes considered in these studies consists of at least three  
1462 charged leptons moderate  $E_T^{\text{miss}}$  originating from the leptonic decay of  $W$  bosons, and jets in case one of  
1463 the vector bosons decays hadronically. The event selection criteria starts from the one used in published  
1464 analysis [?], but considers tighter selection criteria in terms of transverse momentum of the selected  
1465 objects and missing transverse momentum of the event, in order to suppress higher pile-up contributions  
1466 expected at the HL-LHC. The selection requirements used to define the signal regions are obtained from  
1467 an optimization to maximize the sensitivity to  $W^\pm W^\pm W^\mp$ ,  $W^\pm W^\mp Z$  and  $W^\pm ZZ$  processes and to  
1468 reduce the contributions from SM background processes. In the case of  $W^\pm W^\pm W^\mp \rightarrow \ell^\pm \nu \ell^\pm \nu \ell^\mp \nu$   
1469 channel, three separate signal regions are defined based on the number of same-flavour opposite-sign  
1470 (SFOS) lepton pairs in the event: 0SFOS ( $e^\pm e^\pm \mu^\mp$ ,  $\mu^\pm \mu^\pm e^\mp$ ), 1SFOS ( $e^\pm e^\mp \mu^\pm$ ,  $e^\pm e^\mp \mu^\mp$ ,  $\mu^\pm \mu^\mp e^\pm$ ,  
1471  $\mu^\pm \mu^\mp e^\mp$ ) and 2SFOS ( $e^\pm e^\pm e^\mp$ ,  $\mu^\pm \mu^\pm \mu^\mp$ ). Similarly, in  $W^\pm W^\mp Z \rightarrow \ell^\pm \nu \ell^\pm \nu \ell^+ \ell^-$  channel, two sig-  
1472 nal regions are defined based on the selection of SFOS or different-flavour opposite-sign (DFOS) lepton-  
1473 pair events: SFOS ( $e^\pm e^\mp \mu^\mp \mu^\pm$ ,  $e^\pm e^\mp e^\pm e^\mp$ ,  $\mu^\mp \mu^\pm \mu^\mp \mu^\pm$ ) and DFOS ( $e^\pm e^\mp \mu^\mp e^\pm$ ,  $\mu^\mp \mu^\pm \mu^\mp e^\pm$ ). To

<sup>8</sup>In case of semi-hadronic channels we assume that one of the vector bosons decays hadronically while the other two decay leptonically.

1474 select  $W^\pm W^\pm W^\mp \rightarrow \ell^\pm \nu \ell^\pm \nu jj$  candidates, events are required to have exactly two leptons with the  
1475 same electric charge, and at least two jets. Three different final states are considered based on the lep-  
1476 ton flavour, namely  $e^\pm e^\pm$ ,  $e^\pm \mu^\pm$  and  $\mu^\pm \mu^\pm$ . In the case of  $W^\pm ZZ$  process, separate set of selection  
1477 criteria are defined in order to select events in which vector bosons undergo either fully leptonic or  
1478 semi-hadronic decay. In all channels events are rejected if they have identified  $b$ -jets. This selection  
1479 requirement suppresses background involving top quarks, with marginal impact on the signal efficiency.  
1480 Full description to the optimized selection criteria, estimated systematic uncertainties and expected sig-  
1481 nal and background event yields for all channels considered in the study are available in [380]. Three  
1482 channels, OSFOS  $W^\pm W^\pm W^\mp \rightarrow 3\ell 3\nu$ , DFOS  $W^\pm W^\mp Z \rightarrow 4\ell 2\nu$  and  $W^\pm ZZ \rightarrow 5\ell 1\nu$ , for which  
1483 we give details in the following, are estimated to provide best sensitivities. Tables 15 to 17 show the  
1484 kinematic selection criteria used to select signal events in these channels.

Table 15: Event selection criteria for  $W^\pm W^\pm W^\mp \rightarrow 3\ell 3\nu$  candidate events.

$W^\pm W^\pm W^\mp \rightarrow \ell^\pm \nu \ell^\pm \nu \ell^\mp \nu$	OSFOS events: $e^\pm e^\pm \mu^\mp, \mu^\pm \mu^\pm e^\mp$
Preselection	Exactly 3 charged <i>tight</i> leptons with $p_T > 30$ GeV and $ \eta  < 4$
SFOS dilepton mass	$m_{\ell\ell}^{\text{SFOS}} > 20$ GeV
Angle between the tripleton system and $\vec{E}_T^{\text{miss}}$	$ \varphi^{3\ell} - \varphi_{\vec{E}_T^{\text{miss}}}  > 2.5$
Z boson veto	$ m_{ee} - m_Z  > 15$ GeV
Jet veto	At most one jet with $p_T > 30$ GeV and $ \eta  < 2.5$
$b$ -jet veto	No identified $b$ -jets with $p_T > 30$ GeV

Table 16: Event selection criteria for  $W^\pm W^\mp Z \rightarrow 4\ell 2\nu$  candidate events. The four-lepton mass  $m_{4\ell}$  is calculated as invariant mass of the four-lepton system.

$W^\pm W^\mp Z \rightarrow \ell^\pm \nu \ell^\pm \nu \ell^+ \ell^-$	DFOS events: $e^\pm e^\mp \mu^\mp e^\pm, \mu^\mp \mu^\pm \mu^\mp e^\pm$
Preselection	Exactly 4 charged <i>loose</i> ( $3^{\text{rd}}$ and $4^{\text{th}}$ <i>tight</i> ) leptons with $p_T(1, 2) > 30$ GeV, $p_T(3, 4) > 25$ GeV and $ \eta  < 4$
SFOS dilepton mass	$ m_{\ell\ell}^{\text{SFOS}} - 91$ GeV  $< 15$ GeV
DFOS dilepton mass	$m_{\ell\ell}^{\text{DFOS}} > 40$ GeV
Four-lepton mass	$m_{4\ell} > 250$ GeV
$b$ -jet veto	No identified $b$ -jets with $p_T > 30$ GeV

Table 17: Event selection criteria for  $W^\pm ZZ \rightarrow 5\ell 1\nu$  candidate events. Two-lepton pairs of the same flavour and opposite charge have to satisfy same-flavour dilepton mass selection requirement. The transverse mass is calculated from the  $E_T^{\text{miss}}$  and the lepton that does not pass dilepton mass requirement.

$W^\pm ZZ \rightarrow \ell^\pm \nu \ell^+ \ell^- \ell^+ \ell^-$	$5\ell 1\nu$
Preselection	Exactly 5 charged <i>loose</i> ( $4^{\text{rd}}$ and $5^{\text{th}}$ <i>tight</i> ) leptons with $p_T(1, 2, 3) > 30$ GeV, $p_T(4, 5) > 25$ GeV and $ \eta  < 4$
SFOS dilepton mass	$ m_{\ell\ell}^{\text{SFOS}} - 91$ GeV  $< 15$ GeV
Transverse mass	$m_T > 40$ GeV
$b$ -jet veto	No identified $b$ -jets with $p_T > 30$ GeV

### 1485 4.3.2 Results

1486 The SM processes that mimic the multi-boson signal signatures by producing at least three prompt lep-  
1487 tons or two prompt leptons with the same electric charge, can be grouped into the following categories:  
1488

- 1489 – The  $WZ$  and  $ZZ$  processes, referred to as “diboson background”;



- 1490 – The  $WWW, WWZ, WZZ, ZZZ$  processes, excluding the signal process under study, referred  
1491 to as “triboson background”;
- 1492 – The  $VH$  and  $t\bar{t}H$  processes, excluding the processes which are added to the signal, referred to as  
1493 “Higgs+X background”;
- 1494 – The production of four top quarks, top quark associated with  $WZ$  bosons or  $t\bar{t}$  associated with  
1495  $W, Z, WZ$  or  $W^\pm W^\mp$  bosons, referred to as “top background”;
- 1496 – Processes that have non-prompt leptons (electrons) originating from misidentified jets (referred to  
1497 as “fake-lepton background”);
- 1498 – Processes that produce prompt charged leptons, but the charge of one lepton is misidentified (re-  
1499 ferred to as “charge-flip background”).

1500 The contributions from the  $WW$  and  $t\bar{t}$  processes are accounted for in the fake-lepton and charge-  
1501 flip backgrounds. The diboson, triboson, Higgs+X and top background sources are estimated using  
1502 simulated events, with the dominant irreducible background in most of the channels originating from the  
1503 diboson processes. In some channels the contribution of the fake-lepton background, which is derived by  
1504 applying the pre-defined  $(p_T, \eta)$ -dependent likelihood as described in Section 7.3, becomes significant.  
1505 The charge-flip background has been investigated and found to be negligible in all considered processes.

1506 In  $W^\pm W^\pm W^\mp \rightarrow 3\ell 3\nu$  channel, the background is dominated by the irreducible diboson back-  
1507 ground and fake-lepton contribution. The contribution of signal events containing Higgs decays are  
1508 the level of 40%. In  $W^\pm W^\mp Z \rightarrow 4\ell 2\nu$  channel with two leptons being of different flavour, this re-  
1509 quirement suppresses a large fraction of the diboson background. Contribution of Higgs decays is quite  
1510 smaller with respect to the one in  $W^\pm W^\pm W^\mp \rightarrow 3\ell 3\nu$  due to smaller lepton  $p_T$  and invariant mass  
1511 requirement  $m_{\ell\ell}^{\text{DFOS}} > 40$  GeV. In  $W^\pm ZZ$  channel the most promising signal region is the one with  
1512 five charged leptons. In this case, the fake-lepton contribution becomes significant. The background is  
1513 dominated by rare top production of  $t\bar{t}ZW$ .

1514 Figure 34 shows relevant distributions in the three channels: the  $m_T^{3\ell}$  distribution for the  $W^\pm W^\pm W^\mp \rightarrow$   
1515  $3\ell 3\nu$  channel, the distribution of transverse momenta of the two-lepton system  $p_T^{\ell\ell}$  in  $W^\pm W^\mp Z \rightarrow 4\ell$   
1516  $2\nu$  channel and the distribution of two lepton invariant mass  $p_T^{\ell\ell}$  selected to give the mass closest to the  
1517 mass of the  $Z$  boson in  $W^\pm ZZ \rightarrow 5\ell 1\nu$  channel.

1518 Systematic uncertainties in the signal and background predictions arise from the uncertainties in  
1519 the measurement of the integrated luminosity, from the experimental modelling of the signal acceptance  
1520 and detection efficiency, and from the background normalisation. With the much larger integrated lumi-  
1521 nosity and a sophisticated understanding of the detector performance and backgrounds at the HL-LHC,  
1522 we expect experimental uncertainties related to the lepton reconstruction and identification efficiencies  
1523 as well as lepton energy/momentum resolution and scale modelling of 1%, to the  $E_T^{\text{miss}}$  modelling of  
1524 1%, to the jet energy scale and resolution of 1.5% and 5% in the fully leptonic and leptons+jets channels,  
1525 respectively, to the luminosity measurement of 1% and to the expected pileup of 1% [391]. Based on  
1526 the extrapolations of current ATLAS measurements and assuming a reduction of the uncertainty at the  
1527 level of 15–80%, depending on the process and the origin of the systematics, the following systematic  
1528 uncertainties on the cross-section normalisation for each of the background processes are assumed: 4%  
1529 on  $\sigma_{\text{diboson}}$ , 30% on  $\sigma_{\text{triboson}}$ , 3% on  $\sigma_{t\bar{t}}$ , 20% on  $\sigma_{t\bar{t}H}$ , 6% on  $\sigma_{t\bar{t}Z}$ , and 11% on  $\sigma_{t\bar{t}W}$ . The uncertainty  
1530 on the level of the fake-lepton background is estimated to be 10%. Taking these assumptions into ac-  
1531 count, we estimate the total systematic uncertainty on the background of 9% for  $W^\pm W^\pm W^\mp \rightarrow 3\ell 3\nu$   
1532 and  $W^\pm ZZ \rightarrow 5\ell 1\nu$  channels and 6% in  $W^\pm W^\mp Z \rightarrow 4\ell 2\nu$  channel. Assuming that the number of  
1533 signal events follows a Poissonian distribution and taking into account an estimated systematic uncer-  
1534 tainty on the background, the signal significance  $Z_\sigma$  and the estimated precision on the signal strength  
1535 measurement,  $\frac{\Delta\mu}{\mu}$  are calculated using the asymptotic formula from [376]. Only experimental uncer-  
1536 tainties are taken into account for the signal. Uncertainties related to the limited number of MC events

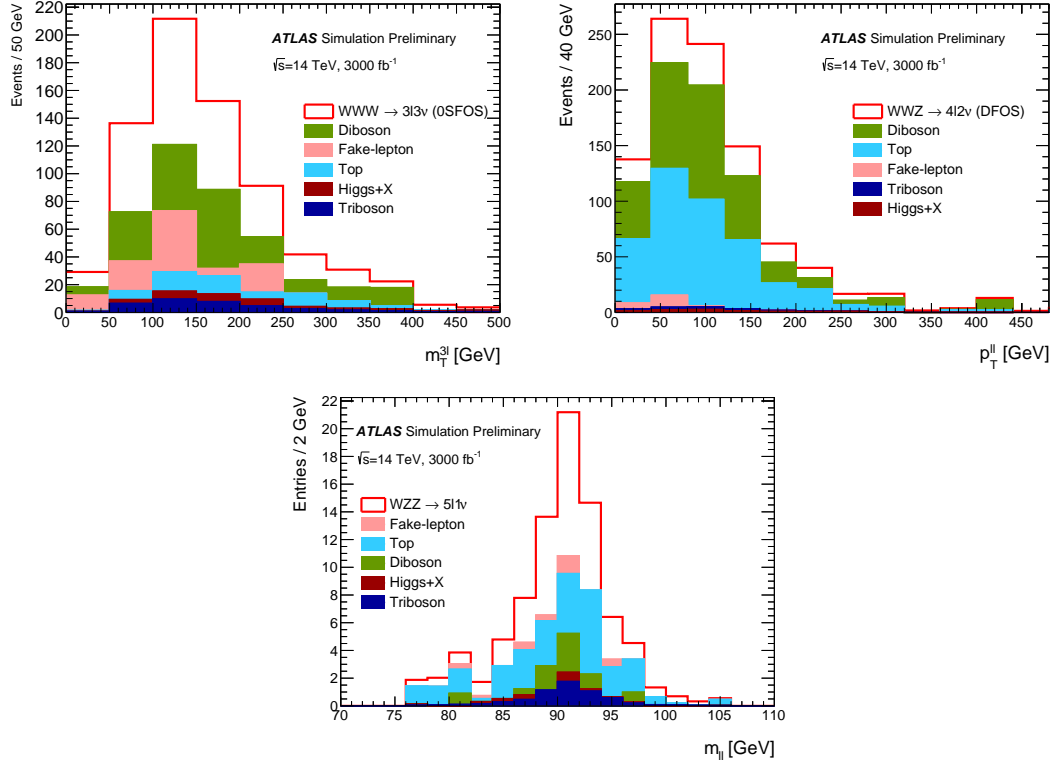


Fig. 34: The distribution of  $m_T^{3\ell}$  for the  $W^\pm W^\pm W^\mp \rightarrow 3\ell 3\nu$  channel (top left), the distribution of transverse momenta of the two-lepton system  $p_T^{ll}$  in  $W^\pm W^\mp Z \rightarrow 4\ell 2\nu$  channel (top right) and the distribution of two lepton invariant mass  $m_{ll}$  selected to give the mass closest to the mass of the  $Z$  boson in  $W^\pm ZZ \rightarrow 5\ell 1\nu$  channel (bottom) as expected from the signal and background processes at  $3000 \text{ fb}^{-1}$  after applying the selection criteria from Tables 15 to 17.

1537 are neglected. The total number of signal and background events expected after applying the full set of  
 1538 selection requirements from Tables 15 to 17 in three selected channels, the corresponding signal signif-  
 1539 icance and the expected precision on the signal strength measurement, for an integrated luminosity of  
 1540  $3000 \text{ fb}^{-1}$  are shown in Table 18.

1541 The HL-LHC offers a large improvement to multi-boson production, where this simple cut-and-  
 1542 count approach provides the sensitivities larger than  $3\sigma$  in three channels considered in this analysis. It  
 1543 should be noted that more mature analysis techniques such as MVA, would likely improve these results  
 1544 further. However high level of background control, mainly diboson background as well as instrumental  
 1545 background arising from fake-leptons, will be needed in order to maintain desired level of precision.

Table 18: Expected number of signal and background events, the expected signal significance  $Z_\sigma$  and the estimated precision on the signal strength measurement,  $\frac{\Delta\mu}{\mu}$  in  $W^\pm W^\pm W^\mp \rightarrow 3\ell 3\nu$ ,  $W^\pm W^\mp Z \rightarrow 4\ell 2\nu$  and  $W^\pm ZZ \rightarrow 5\ell 1\nu$  channels after applying the selection criteria from Tables 15 to 17.

	$W^\pm W^\pm W^\mp \rightarrow 3\ell 3\nu$	$W^\pm W^\mp Z \rightarrow 4\ell 2\nu$	$W^\pm ZZ \rightarrow 5\ell 1\nu$
Signal	312	168	19
Diboson	208	357	4.0
Triboson	37	11	3.0
Higgs+X	25	10	0.3
Top	60	390	15
fake-lepton	97	16	3.0
Total:	427	784	25
Significance $Z_\sigma$	6.7	3.0	3.0
Significance $Z_\sigma$ (4000 fb <sup>-1</sup> )	7.0	3.1	3.4
Precision $\frac{\Delta\mu}{\mu}$	11%	27%	36%
Precision $\frac{\Delta\mu}{\mu}$ (4000 fb <sup>-1</sup> )	10%	25%	31%

### 1546 4.3.3 Cross-section for off-shell WWW production including NLO electroweak corrections

1547 TO BE UPDATED

#### 1548 Introduction

1549 Triboson production processes allow for a measurement of triple and quartic couplings of the Standard  
1550 Model gauge bosons, which can be used to constrain anomalous gauge couplings that frequently appear  
1551 in BSM theories [392]. In this section we calculate the production yields to fully off-shell  $W^- W^+ W^+$   
1552 production, ie. a  $\ell_1^- \ell_2^+ \ell_3^+ \bar{\nu}_{\ell_4} \nu_{\ell_5} \nu_{\ell_6}$  final state with  $\ell_i = e, \mu$ , including all triple, double and single  
1553 resonant topologies and interferences of diagrams with different vector boson ( $W, Z, \gamma$ ) intermediate  
1554 states.

1555 This calculation makes use of the automated tools SHERPA [84, 85, 393] and RECOLA [82, 83].  
1556 While SHERPA provides the tree-level matrix elements, infrared subtraction, process management and  
1557 phase-space integration of all contributions to all processes, RECOLA provides the renormalised virtual  
1558 corrections. It follows the parameters of the original publication [?]

1559 In the following, the production yields for the High Luminosity and the proposed High Energy  
1560 upgrade of the LHC are presented in Sections 4.3.3 and 4.3.3, respectively. For both collider setups we  
1561 use the NNPDF31\_nlo\_as\_0118\_luxqed PDF [182] containing QED effects calculated in the LUXqed  
1562 scheme [180, 181]. The calculation is performed in the Standard Model using the complex mass scheme,  
1563 defining the electroweak parameters in the  $G_\mu$ -scheme with the following input parameters

$$\begin{aligned}
 G_\mu &= 1.16637 \times 10^{-5} \text{ GeV}^2 \\
 m_W &= 80.385 \text{ GeV} & \Gamma_W &= 2.0897 \text{ GeV} \\
 m_Z &= 91.1876 \text{ GeV} & \Gamma_Z &= 2.4955 \text{ GeV} \\
 m_h &= 125.0 \text{ GeV} & \Gamma_h &= 0.00407 \text{ GeV} \\
 m_t &= 173.2 \text{ GeV} & \Gamma_t &= 1.3394 \text{ GeV} .
 \end{aligned}$$

1565 We choose  $\mu_R = \mu_F = 3 m_W$  and a diagonal CKM matrix. The lepton acceptance cuts specifying the  
1566 fiducial region are listed in Table 19. They are applied on dressed leptons, defined through recombining  
1567 the bare lepton four-momenta with all photons in a cone of  $\Delta R_{\text{dress}} = 0.1$  around it. At most one jet is  
1568 allowed to be present, which, however, does not affect the present next-to-leading order calculation.

Table 19: Definition of the fiducial region. All selection cuts are applied to dressed leptons, defined with  $\Delta R_{\text{dress}} = 0.1$ .

Selection	Cut	Value
General	$p_T(\ell)$	$[30 \text{ GeV}, \infty)$
	$y(\ell)$	$[-4.0, 4.0]$
	$\Delta R(\ell, \ell)$	$[0.2, \infty)$
1, 2 SFOS	$\cancel{p}_T$	$[50 \text{ GeV}, \infty)$
	$m_{\ell\ell}^{\text{SFOS}}$	$[0, 70 \text{ GeV}] \wedge [100 \text{ GeV}, \infty)$

Fig. 35: Event yields at the High Luminosity LHC at 14 TeV with  $300 \text{ fb}^{-1}$  depending on a minimum transverse mass (left) or a minimum missing transverse momentum (right).

Fig. 36: Event yields at the High Energy LHC at 27 TeV with  $15 \text{ ab}^{-1}$  depending on a minimum transverse mass (left) or a minimum missing transverse momentum (right).

1569 *Cross sections for 14 TeV*

1570 In this section the integrated production yields for  $W^-W^+W^+$  production are presented for the High  
 1571 Luminosity upgrade of the LHC at a centre-of-mass energy of 14 TeV and an integrated luminosity of  
 1572  $300 \text{ fb}^{-1}$ .

1573 *About 2/3 pages figures and short description.*

1574 *Cross sections for 27 TeV*

1575 In this section the integrated production yields for  $W^-W^+W^+$  production are presented for the High  
 1576 Luminosity upgrade of the LHC at a centre-of-mass energy of 27 TeV and an integrated luminosity of  
 1577  $15 \text{ ab}^{-1}$ .

1578 *About 2/3 pages figures and short description.*

1579 *Conclusions*

1580 *About 1/3 pages short summary.*

1581 **4.4 Precision electroweak measurements**

1582 **4.4.1 NNLO predictions for Z-boson pair production at the LHC and HE-LHC**

1583 The results presented in this section are produced using the program described in Ref. [394] with the  
 1584 NNPDF3.0 [159] set of parton distribution functions. The parton densities and  $\alpha_s$  are evaluated at each  
 1585 corresponding order (i.e. we use  $(n+1)$ -loop  $\alpha_s$  at  $N^n\text{LO}$ , with  $n = 0, 1, 2$ ) and we consider  $N_f = 5$   
 1586 massless quark flavours. For the renormalisation ( $\mu_R$ ) and factorisation ( $\mu_F$ ) scales we investigate two  
 1587 choices:  $\mu_R = \mu_F = m_Z$  and the dynamic scale  $\mu_R = \mu_F = m_{ZZ}/2$ . We use the  $G_\mu$  EW scheme  
 1588 where the EW input parameters have been set to  $G_F = 1.16639 \times 10^{-5}$ ,  $m_W = 80.399 \text{ GeV}$  and  
 1589  $m_Z = 91.1876 \text{ GeV}$ . The top quark and Higgs boson masses that are included in the real-virtual one-loop  
 1590 contributions and in the loop-induced  $gg$  channel have been set to  $m_t = 173.2 \text{ GeV}$  and  $m_H = 125 \text{ GeV}$ ,  
 1591 respectively. The one-loop contributions are calculated with the program GOSAM [11, 86]. For the  
 1592 NNLO real radiation we employ the  $N$ -jettiness subtraction scheme [53, 54, 395, 396]. The process  
 1593 dependent hard function has been extracted from the two-loop amplitude computed in Ref. [397] and

1594 cross-checked with an in-house calculation. The top quark contributions in the double virtual two-loop  
1595 diagrams are not included in the results below. Table 20 shows cross section results for the central  
1596 scale  $\mu_R = \mu_F = m_Z$ , including 7-point scale variations. In Table 21 results for the dynamic scale  
1597  $\mu_R = \mu_F = m_{ZZ}/2$  are given.

	$\sigma_{LO}$ [pb]	$\sigma_{NLO}$ [pb]	$\sigma_{NNLO}$ [pb]	$gg \rightarrow ZZ$ [pb]
14 TeV	$10.80^{+5.7\%}_{-6.7\%}$	$15.55^{+3.0\%}_{-2.4\%}$	$18.50^{+3.0\%}_{-3.2\%}$	$1.56^{+25\%}_{-18\%}$
27 TeV	$23.59^{+10.0\%}_{-10.9\%}$	$35.59^{+3.2\%}_{-4.2\%}$	$44.52^{+3.7\%}_{-4.1\%}$	$4.81^{+25\%}_{-18\%}$

Table 20: Inclusive cross section for  $ZZ$  production at the LHC for  $\sqrt{s} = 14$  TeV and  $\sqrt{s} = 27$  TeV at LO, NLO and NNLO with  $\mu_R = \mu_F = m_Z$ . The uncertainties are obtained by varying the renormalisation and factorisation scales in the range  $m_Z/2 < \mu_R, \mu_F < 2m_Z$  with the constraint  $0.5 < \mu_F/\mu_R < 2$ .

	$\sigma_{LO}$ [pb]	$\sigma_{NLO}$ [pb]	$\sigma_{NNLO}$ [pb]	$gg \rightarrow ZZ$ [pb]
14 TeV	$11.03^{+5.2\%}_{-6.1\%}$	$15.38^{+2.5\%}_{-2.0\%}$	$18.20^{+3.3\%}_{-2.3\%}$	$1.41^{+23\%}_{-18\%}$
27 TeV	$24.68^{+9.0\%}_{-9.8\%}$	$35.43^{+2.6\%}_{-3.7\%}$	$43.71^{+3.3\%}_{-3.2\%}$	$4.41^{+23\%}_{-17\%}$

Table 21: Inclusive cross section for  $ZZ$  production at the LHC for  $\sqrt{s} = 14$  TeV and  $\sqrt{s} = 27$  TeV at LO, NLO and NNLO with the dynamic scale choice  $\mu_R = \mu_F = m_{ZZ}/2$ . The uncertainties are obtained by varying the renormalisation and factorisation scales in the range  $m_{ZZ}/4 < \mu_R, \mu_F < m_{ZZ}$  with the constraint  $0.5 < \mu_F/\mu_R < 2$ .

1598 Figs. 37 and 38 show largely non-overlapping scale uncertainty bands between NLO and NNLO,  
1599 both for a fixed central scale choice  $\mu = m_Z$  as well as for a dynamic central scale choice  $\mu = m_{ZZ}/2$ .  
1600 This demonstrate that for this process, the scale variations are insufficient to estimate missing higher  
1601 order terms in the perturbative expansion. This is mostly due to the fact that at NNLO, the loop-induced  
1602 gluon fusion channel  $gg \rightarrow ZZ$  opens up, and due to the large gluon flux it represents a numerically  
1603 significant contribution, about 8% at  $\sqrt{s} = 14$  TeV and 11% at  $\sqrt{s} = 27$  TeV of the total NNLO cross  
1604 section, for both central scale choices. For further studies of the gluon channel we refer to Refs. [398,  
1605 399]. Since this new channel contributes for the first time at NNLO its contribution cannot be captured  
1606 by the scale variations of the NLO cross section. Therefore, with increasing perturbative order, we can  
1607 observe a systematic reduction of the factorisation scale dependence of the cross section (indicated by  
1608 the thickness of the scale uncertainty band), while there is no significant reduction of the renormalisation  
1609 scale dependence. To show that this effect can be attributed to the gluon fusion channel opening up at  
1610 NNLO, we also show the NNLO result excluding this channel in Figs. 37 and 38.

#### 1611 4.4.2 Diboson production

We present NNLO QCD predictions for  $W^+W^-$ ,  $W^\pm Z$  and  $ZZ$  production in proton–proton collisions. We consider two LHC upgrade scenarios, namely a high-luminosity (HL) LHC running at  $\sqrt{s} = 14$  TeV with an assumed integrated luminosity of  $3 \text{ ab}^{-1}$ , and a high-energy (HE) LHC at  $\sqrt{s} = 27$  TeV with

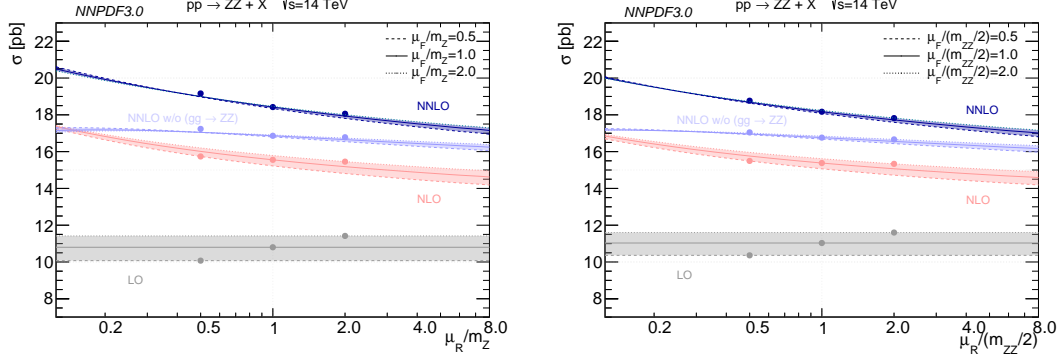


Fig. 37: Renormalisation and factorisation scale dependence of the  $ZZ$  cross section for  $\sqrt{s} = 14$  TeV at LO, NLO and NNLO for the fixed central scale choice  $\mu_R = \mu_F = m_Z$  (left) and for the dynamic central scale choice  $\mu_R = \mu_F = m_{ZZ}/2$  (right). We also show the NNLO result without the gluon fusion contributions in light blue. The thickness of the bands shows the variation with the factorisation scale, while the slope shows the renormalisation scale dependence. The scale uncertainties are the envelope of scale variations by a factor of two up and down with the constraint  $0.5 < \mu_F/\mu_R < 2$ , i.e. 7-point scale variations.

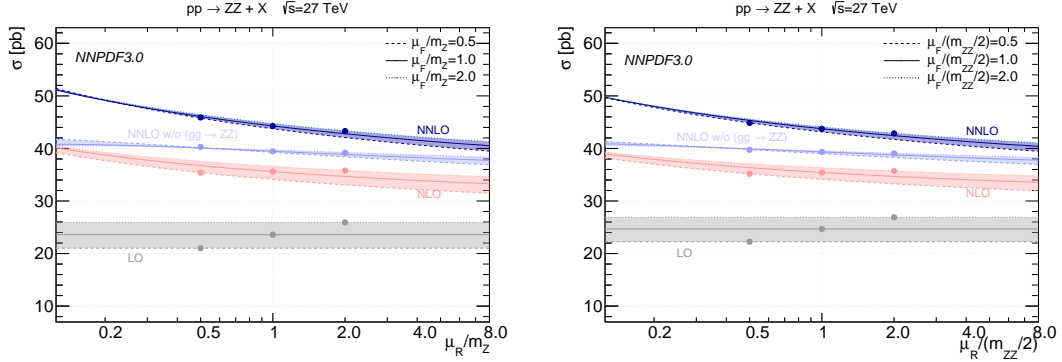


Fig. 38: Renormalisation and factorisation scale dependence of the  $ZZ$  cross section for  $\sqrt{s} = 27$  TeV at LO, NLO and NNLO for the fixed central scale choice  $\mu_R = \mu_F = m_Z$  (left) and for the dynamic central scale choice  $\mu_R = \mu_F = m_{ZZ}/2$  (right). The NNLO result without the gluon fusion contributions is shown in light blue, and the bands are produced in the same way as in Fig.37.

$15 \text{ ab}^{-1}$ . More precisely, we investigate the inclusive hard-scattering processes

$$\begin{aligned}
 pp &\rightarrow \ell^+ \nu_\ell \ell'^- \bar{\nu}_{\ell'} + X, \\
 pp &\rightarrow \ell \nu_\ell \ell'^+ \ell'^- + X, \\
 pp &\rightarrow \ell^+ \ell^- \ell'^+ \ell'^- + X,
 \end{aligned}$$

1612 where all off-shell effects and interference contributions are fully accounted for.

1613 All results are obtained with the public parton-level NNLO framework MATRIX. This program,  
 1614 and earlier versions of it, have been used to compute state-of-the-art QCD predictions for gauge-boson  
 1615 pair production processes [400–408].<sup>9</sup> All tree-level and one-loop amplitudes are evaluated with OPEN-  
 1616 LOOPS<sup>10</sup> [10, 414]. At two-loop level we use the  $q\bar{q} \rightarrow VV'$  amplitudes of Ref. [397].

<sup>9</sup>It was also used in the NNLL+NNLO computation for  $W^+W^-$  and  $ZZ$  production of Ref. [409], and in the NNLOPS computation for  $W^+W^-$  production of Ref. [410].

<sup>10</sup>OPENLOOPS which relies on the fast and stable tensor reduction of COLLIER [411, 412], supported by a rescue system



1617 We apply the complex mass scheme [346] throughout, i.e. we use complex  $W$ - and  $Z$ -boson  
1618 masses and define the EW mixing angle as  $\cos\theta_W^2 = (m_W^2 - i\Gamma_W m_W)/(m_Z^2 - i\Gamma_Z m_Z)$ . For the input  
1619 of the weak parameters we employ the  $G_\mu$  scheme with  $\alpha = \sqrt{2}G_\mu(m_W^2 - i\Gamma_W m_W)\sin^2\theta_W/\pi$ .  
1620 We set  $G_F = 1.16639 \times 10^{-5} \text{ GeV}^{-2}$ ,  $m_W = 80.399 \text{ GeV}$ ,  $\Gamma_W = 2.1054 \text{ GeV}$ ,  $m_Z = 91.1876 \text{ GeV}$ ,  
1621  $\Gamma_Z = 2.4952 \text{ GeV}$ ,  $m_H = 125 \text{ GeV}$  and  $\Gamma_H = 0.00407 \text{ GeV}$ . Furthermore, we use a diagonal CKM  
1622 matrix.

1623 The number of light quarks is chosen differently for the processes under consideration: All  
1624  $W^+W^-$  results are obtained by applying the four-flavour scheme (4FS) with massive top and bottom  
1625 quarks in order to consistently remove top-quark contamination by omitting the (separately IR finite)  
1626 partonic processes with real bottom-quark emissions. In the 4FS, we use the on-shell bottom mass  
1627  $m_b = 4.92 \text{ GeV}$ . For all other processes we apply the five-flavour scheme (5FS) with a vanishing bottom  
1628 mass  $m_b = 0$ . The top quark is treated as massive and unstable throughout, and we set  $m_t = 173.2 \text{ GeV}$   
1629 as well as  $\Gamma_t = 1.44262 \text{ GeV}$ .<sup>11</sup>

1630 We use the MMHT2014 [158] sets of parton distribution functions (PDFs) with  $n_f = 4$  or  $n_f = 5$   
1631 active quark flavours, consistently with the flavour scheme under consideration.  $N^n\text{LO}$  ( $n = 0, 1, 2$ )  
1632 predictions are obtained by using PDFs at the same perturbative order and the evolution of  $\alpha_S$  at  
1633  $(n + 1)$ -loop order, as provided by the corresponding PDF set. To be precise, in the 5FS we use  
1634 MMHT2014l068c1, MMHT2014nl068c1, and MMHTnnl068c1 at LO, NLO, and NNLO. In the 4FS we  
1635 use MSTW2008l068c1\_nf4, MMHT2014nl068c1\_nf4, and MMHT2014nnl068c1\_nf4 at LO, NLO, and  
1636 NNLO.

1637 Our central predictions are obtained by setting the factorization and renormalization scales to  
1638  $\mu_F = \mu_R = \mu_0 \equiv E_{T,V_1} + E_{T,V_2}$ , with  $E_{T,V_i} = \sqrt{M_{V_i}^2 + p_{T,V_i}^2}$ , where  $M_{V_i}$  is the invariant mass  
1639 and  $p_{T,V_i}$  the transverse momentum of the respective vector boson. Uncertainties from missing higher-  
1640 order contributions are estimated in the usual way by independently varying  $\mu_F$  and  $\mu_R$  in the range  
1641  $0.5\mu_0 < \mu_F, \mu_R < 2\mu_0$  with the constraint  $0.5 < \mu_F/\mu_R < 2$ .

1642 In Table 22 we present cross sections for  $W^+W^-$ ,  $W^\pm Z$  and  $ZZ$  production, inclusive over the  
1643 phase space of the final-state leptons, for  $pp$  collisions at  $\sqrt{s} = 14 \text{ TeV}$  and  $\sqrt{s} = 27 \text{ TeV}$ . Throughout,  
1644 we apply only a basic selection cut on  $Z$  bosons, by requiring the invariant masses of all opposite-sign  
1645 same-flavour lepton pairs to be within a  $Z$ -mass window of  $66 \text{ GeV} < m_{\ell^-\ell^+} < 116 \text{ GeV}$ , which is  
1646 necessary to avoid divergencies induced by soft intermediate photons. The gain in the inclusive cross  
1647 section at  $\sqrt{s} = 27 \text{ TeV}$  is roughly a factor of 2.5 for all processes under consideration, see last column  
1648 of Table 22. We further see the importance of QCD corrections: Higher-order contributions are huge,  
1649 especially for  $W^\pm Z$  production. The NLO corrections range from about +36% to +82% depending  
1650 on process and collider energy, while NNLO QCD corrections are still sizeable and induce a further  
1651 increase of the cross sections of 13% to 20%. The cross-section ratio for  $W^+Z/W^-Z$  production is  
1652 about 1.55 at NNLO for  $\sqrt{s} = 14 \text{ TeV}$ , changes to 1.42 for  $\sqrt{s} = 27 \text{ TeV}$ , and is essentially independent  
1653 on the perturbative order.

1654 We stress that QCD radiative corrections may change quite significantly as soon as fiducial cuts  
1655 on the leptonic final state are applied, or when kinematical distributions are considered. The corrections  
1656 for the inclusive cross sections in Table 22 should therefore be understood as illustrative, and the use  
1657 of inclusive  $K$ -factors to obtain NNLO predictions from lower order results with different sets of cuts  
1658 should be avoided in general.

1659 It is interesting to quantify the size of the loop-induced gluon fusion contribution of the charge-  
1660 neutral processes, which is part of the NNLO QCD corrections. By  $\text{NLO}^l + gg$  we denote its sum with

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based on quad-precision CUTTOOLS [413] with ONELOOP [2] to deal with exceptional phase-space points.

<sup>11</sup>Massive top-quark contributions are neglected in the virtual two-loop corrections, but are kept everywhere else in the computations.

Table 22: Inclusive cross sections for  $W^+W^-$ ,  $W^\pm Z$  and  $ZZ$  production.

$\sigma$ [fb] (correction)	LO	NLO (NLO/LO-1)	NLO'+ $gg$ (NLO'+ $gg$ /NLO-1)	NNLO (NNLO/NLO-1)	$\frac{\sigma_{\text{NNLO}}(27 \text{ TeV})}{\sigma_{\text{NNLO}}(14 \text{ TeV})}$	
$W^+W^-$	$\sqrt{s} = 14 \text{ TeV}$	897.27(9) <sup>+4.3%</sup> <sub>-5.3%</sub>	1303.3(1) <sup>+2.7%</sup> <sub>-2.2%</sub> (+45.3%)	1386.1(2) <sup>+3.7%</sup> <sub>-2.9%</sub> (+6.4%)	1485.(1) <sup>+2.4%</sup> <sub>-2.2%</sub> (+13.9%)	2.33
	$\sqrt{s} = 27 \text{ TeV}$	2091.5(2) <sup>+7.6%</sup> <sub>-8.6%</sub>	2988.4(3) <sup>+2.8%</sup> <sub>-2.9%</sub> (+42.9%)	3213.0(4) <sup>+4.1%</sup> <sub>-3.2%</sub> (+7.0%)	3457.(4) <sup>+2.8%</sup> <sub>-2.4%</sub> (+15.6%)	
$W^+Z$	$\sqrt{s} = 14 \text{ TeV}$	60.322(6) <sup>+3.4%</sup> <sub>-4.3%</sub>	106.15(1) <sup>+3.6%</sup> <sub>-3.0%</sub> (+76.0%)	—	120.5(1) <sup>+2.0%</sup> <sub>-1.9%</sub> (+13.5%)	2.35
	$\sqrt{s} = 27 \text{ TeV}$	136.66(1) <sup>+6.8%</sup> <sub>-7.8%</sub>	248.51(2) <sup>+4.0%</sup> <sub>-3.3%</sub> (+81.8%)	—	283.4(3) <sup>+2.1%</sup> <sub>-2.1%</sub> (+14.0%)	
$W^-Z$	$\sqrt{s} = 14 \text{ TeV}$	39.182(4) <sup>+3.7%</sup> <sub>-4.7%</sub>	68.430(7) <sup>+3.7%</sup> <sub>-3.0%</sub> (+74.6%)	—	77.63(7) <sup>+1.9%</sup> <sub>-1.9%</sub> (+13.4%)	2.57
	$\sqrt{s} = 27 \text{ TeV}$	96.70(1) <sup>+7.2%</sup> <sub>-8.2%</sub>	175.44(2) <sup>+4.0%</sup> <sub>-3.3%</sub> (+81.4%)	—	199.7(2) <sup>+2.0%</sup> <sub>-2.0%</sub> (+13.8%)	
$ZZ$	$\sqrt{s} = 14 \text{ TeV}$	24.500(2) <sup>+4.3%</sup> <sub>-5.3%</sub>	34.201(3) <sup>+2.0%</sup> <sub>-1.8%</sub> (+39.6%)	37.531(4) <sup>+3.3%</sup> <sub>-2.6%</sub> (+9.7%)	39.64(4) <sup>+2.4%</sup> <sub>-2.1%</sub> (+15.9%)	2.40
	$\sqrt{s} = 27 \text{ TeV}$	58.622(6) <sup>+7.9%</sup> <sub>-8.9%</sub>	79.757(8) <sup>+2.2%</sup> <sub>-3.0%</sub> (+36.1%)	89.89(1) <sup>+3.7%</sup> <sub>-3.0%</sub> (+12.7%)	95.20(9) <sup>+2.9%</sup> <sub>-2.4%</sub> (+19.4%)	

1661 the NLO cross section computed with NNLO PDFs. The NLO'+ $gg$  result for  $W^+W^-$  production is  
 1662 6.4% (7.0%) larger than the NLO result at  $\sqrt{s} = 14$  (27) TeV, while their difference is even 9.7%  
 1663 (12.7%) for  $ZZ$  production. These numbers amount to roughly half of the full NNLO correction of the  
 1664  $W^+W^-$  process, and even about two-thirds for  $ZZ$  production. However, one has to bear in mind that  
 1665 under typical fiducial selection requirements on the leptons and missing transverse energy, the impact of  
 1666 the loop-induced contribution decreases significantly, especially for  $W^+W^-$  production. Furthermore,  
 1667 its relative contribution is strongly suppressed as far as the tails of the kinematical distributions are  
 1668 concerned, due to the large- $x$  suppression of the gluon density.

1669 To illustrate how strongly the radiative corrections may depend on the fiducial cuts, in Table 23  
 1670 we show cross sections with a minimum  $p_{T,\text{min}} = 100 \text{ GeV}$  cut on the transverse momentum of the  
 1671 charged leptons and the missing energy. More precisely, depending on the process we have applied the  
 1672 following cuts, as shown in Tab.24:

1673 As we can read from the table, radiative corrections at NLO can be enormous for some processes  
 1674 with  $p_{T,\text{min}} = 100 \text{ GeV}$ , ranging from +51% to even +281%. Also the NNLO corrections are signif-  
 1675 icantly increased with respect to the inclusive case, and can be as large as +27%. It is also apparent  
 1676 that the importance of the loop-induced gluon fusion contribution is significantly reduced. For  $W^+W^-$   
 1677 production, due to the applied  $p_{T,\text{miss}}$  cut the NLO'+ $gg$  contribution is even smaller than the NLO cross  
 1678 section by  $-1.2\%$  ( $-1.6\%$ ) at  $\sqrt{s} = 14$  (27) TeV (i.e. the positive impact of the  $gg$  channel is smaller  
 1679 than the negative effect from using NNLO PDFs instead of NLO PDFs in the NLO'+ $gg$  prediction).  
 1680 For  $ZZ$  production, it is still sizeable with 7.7% (12.3%), but its relative contribution at  $\mathcal{O}(\alpha_S^2)$  has  
 1681 decreased from roughly two-thirds in the inclusive case to less than half of the NNLO corrections for  
 1682  $p_{T,\text{min}} = 100 \text{ GeV}$ . Furthermore, compared to the inclusive results we observe an even more substantial  
 1683 increase of the cross sections from  $\sqrt{s} = 14 \text{ TeV}$  to  $\sqrt{s} = 27 \text{ TeV}$  of roughly a factor of four. This can  
 1684 be understood by the fact, that the additional energy enlarges the available phase-space, especially at  
 1685 high momentum transfer.



Table 23: Cross sections with a  $p_{T,\min} = 100$  GeV cut on the transverse momentum of the charged leptons and the missing energy for  $W^+W^-$ ,  $W^\pm Z$  and  $ZZ$  production.

$\sigma$ [fb] (correction)	LO	NLO (NLO/LO-1)	NLO'+ $gg$ (NLO'+ $gg$ /NLO-1)	NNLO (NNLO/NLO-1)	$\frac{\sigma_{\text{NNLO}}(27 \text{ TeV})}{\sigma_{\text{NNLO}}(14 \text{ TeV})}$	
$W^+W^-$	$\sqrt{s} = 14 \text{ TeV}$	0.920(1) $^{+2.7\%}_{-2.7\%}$	2.827(5) $^{+9.7\%}_{-8.0\%}$ (+207.1%)	2.793(7) $^{+9.9\%}_{-8.1\%}$ (-1.2%)	3.51(1) $^{+5.2\%}_{-5.0\%}$ (+24.3%)	3.93
	$\sqrt{s} = 27 \text{ TeV}$	2.847(3) $^{+0.08\%}_{-0.5\%}$	10.83(2) $^{+8.2\%}_{-6.9\%}$ (+280.5%)	10.66(2) $^{+8.4\%}_{-7.1\%}$ (-1.6%)	13.80(4) $^{+5.3\%}_{-4.8\%}$ (+27.3%)	
$W^+Z$	$\sqrt{s} = 14 \text{ TeV}$	0.06524(8) $^{+3.3\%}_{-3.2\%}$	0.1273(3) $^{+7.1\%}_{-5.8\%}$ (+95.2%)	—	0.1485(9) $^{+3.4\%}_{-3.3\%}$ (+16.6%)	3.82
	$\sqrt{s} = 27 \text{ TeV}$	0.1919(2) $^{+0.1\%}_{-0.5\%}$	0.4642(8) $^{+7.0\%}_{-5.8\%}$ (+141.9%)	—	0.568(3) $^{+3.8\%}_{-3.6\%}$ (+22.5%)	
$W^-Z$	$\sqrt{s} = 14 \text{ TeV}$	0.03289(4) $^{+3.1\%}_{-3.1\%}$	0.0641(2) $^{+7.5\%}_{-6.0\%}$ (+94.9%)	—	0.0767(5) $^{+3.4\%}_{-3.5\%}$ (+19.7%)	4.34
	$\sqrt{s} = 27 \text{ TeV}$	0.1121(1) $^{+0.0\%}_{-0.3\%}$	0.2719(5) $^{+7.2\%}_{-5.9\%}$ (+142.7%)	—	0.333(2) $^{+3.7\%}_{-3.5\%}$ (+22.5%)	
$ZZ$	$\sqrt{s} = 14 \text{ TeV}$	0.02108(3) $^{+3.1\%}_{-3.1\%}$	0.0318(1) $^{+3.8\%}_{-3.2\%}$ (+50.6%)	0.0342(1) $^{+5.4\%}_{-4.3\%}$ (+7.7%)	0.0371(3) $^{+3.6\%}_{-3.0\%}$ (+16.9%)	3.70
	$\sqrt{s} = 27 \text{ TeV}$	0.0675(1) $^{+0.0\%}_{-0.2\%}$	0.1100(3) $^{+3.5\%}_{-2.8\%}$ (+62.9%)	0.1235(3) $^{+5.4\%}_{-4.3\%}$ (+12.3%)	0.1371(7) $^{+4.3\%}_{-3.5\%}$ (+24.7%)	

Table 24: Selection cuts applied in the analysis for the different processes.

	$W^+W^-$	$W^\pm Z$	$ZZ$
lepton cuts	$p_{T,\ell_{1/2}} > p_{T,\min}$	$p_{T,\ell_{1/2/3}} > p_{T,\min}$	$p_{T,\ell_{1/2/3/4}} > p_{T,\min}$
neutrino cuts	$p_{T,\text{miss}} > p_{T,\min}$	$p_{T,\text{miss}} > p_{T,\min}$	—

1686 From the results in Table 22 and 23 it is clear that the perturbative uncertainties at NLO cannot  
1687 account for the additional loop-induced gluon fusion contribution that appears at NNLO. Besides that,  
1688 also the genuine NNLO corrections to the quark–antiquark production mechanism cannot be anticipated  
1689 from NLO scale variations, which in turn means that the NLO uncertainties are underestimated. The  
1690 inclusion of NNLO corrections is therefore crucial. At this order all partonic channels are included  
1691 for the first time, and scale variations can be used to obtain an estimate of the actual size of missing  
1692 higher-order terms. However, the NLO corrections to the loop-induced gluon fusion contribution are  
1693 relevant and should be included when possible, especially at  $\sqrt{s} = 27$  TeV where gluons with smaller  
1694  $x$  are probed. In particular in tails of high-energy observables, the inclusion of NLO EW corrections  
1695 and their interplay with QCD corrections will also need to be investigated. Nevertheless we restrict  
1696 ourselves to presenting NNLO QCD results in the following, but the above-mentioned extensions will  
1697 become available well before the start of the HL-LHC.

1698 We now turn to discussing differential results in diboson processes in the light of the HL and HE  
1699 upgrades of the LHC. Since the importance of highest-order predictions is evident from the previous  
1700 discussion, we concentrate on NNLO QCD accurate results here. We first consider the cumulative cross  
1701 section with a minimum  $p_{T,\min}$  cut, as introduced above. In order to analyse the number of expected

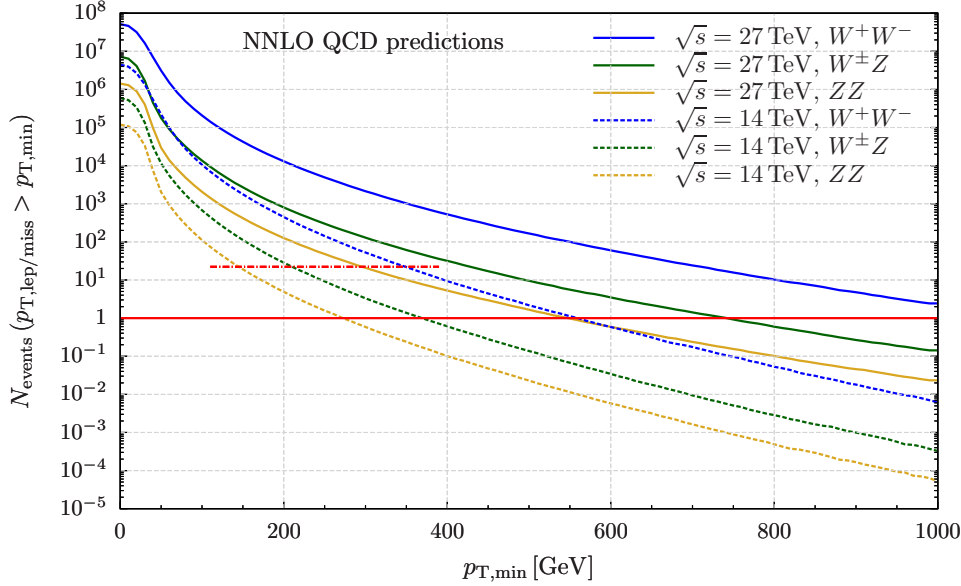


Fig. 39: Cumulative number of events as a function of  $p_{T,\min}$ .

1702 events as a function of  $p_{T,\min}$ , we have translated the cross sections to event numbers by assuming an  
 1703 integrated luminosity of  $3 \text{ ab}^{-1}$  at 14 TeV and of  $15 \text{ ab}^{-1}$  at 27 TeV.

1704 Figure 39 shows the expected number of events as a function of  $p_{T,\min}$ . Since we are restricting  
 1705 the transverse momentum of all leptonic final states simultaneously, the reach in the tails may appear  
 1706 smaller than expected, and would be significantly larger if we were to consider a cut on the transverse  
 1707 momentum of the leading lepton or the missing energy only. However, the toy scenario we are consid-  
 1708 ering is well suited to compare the three diboson production processes, and to quantify the relative gain  
 1709 of the additional energy and luminosity.

1710 The curves in Figure 39 show all production processes under consideration:  $W^+W^-$  (blue),  
 1711  $W^\pm Z$  (green), and  $ZZ$  (orange); at 14 TeV (dashed) and 27 TeV (solid). The horizontal red line shows  
 1712 the one-event threshold, below which no events are expected anymore. We read the following features  
 1713 from the plot: At  $\sqrt{s} = 14 \text{ TeV}$  we expect events up to  $p_{T,\min}$  values of roughly 550 GeV, 370 GeV,  
 1714 and 270 GeV for  $W^+W^-$ ,  $W^\pm Z$ , and  $ZZ$  production, respectively. At  $\sqrt{s} = 27 \text{ TeV}$  these values  
 1715 read  $>1000 \text{ GeV}$ , 740 GeV, and 550 GeV. To put these numbers into perspective, we have added a dash-  
 1716 dotted red line for the present status at the end of Run II, which represents the one-event threshold for  
 1717  $150 \text{ fb}^{-1}$  at 13 TeV (14 TeV  $\rightarrow$  13 TeV conversion approximated by a constant cross-section correction  
 1718 factor of 0.9). Its intersection points with the  $\sqrt{s} = 14 \text{ TeV}$  curves indicates the current reach of the  
 1719 LHC, which is roughly up to 350 GeV, 210 GeV, and 140 GeV for  $W^+W^-$ ,  $W^\pm Z$ , and  $ZZ$  production,  
 1720 respectively. The improved reach in the tails at 27 TeV is not only related to the larger inclusive cross  
 1721 section and higher luminosity, but also the enlarged phase-space available with higher energies plays  
 1722 an important role: Whereas the solid curves fall only by 7 – 8 orders of magnitude in the range of  
 1723  $0 \text{ GeV} \leq p_{T,\min} \leq 1000 \text{ GeV}$ , the dashed 14 TeV curves fall by more than 9 orders of magnitude in the  
 1724 same region. This also explains why the 14 TeV  $W^+W^-$  result, which has a much larger inclusive cross  
 1725 section, crosses the red one-event line at almost the same point as the 27 TeV  $ZZ$  result.

1726 In Figure 40 we study the reach of the three vector-boson pair production processes for future LHC  
 1727 upgrades in the invariant-mass distributions of all produced charged leptons. We choose a scenario where  
 1728  $p_{T,\min}$ , defined as before in the three processes, is 20 GeV in order to have at least a rough definition  
 1729 of the fiducial phase-space. We show the expected number of events, assuming the same integrated

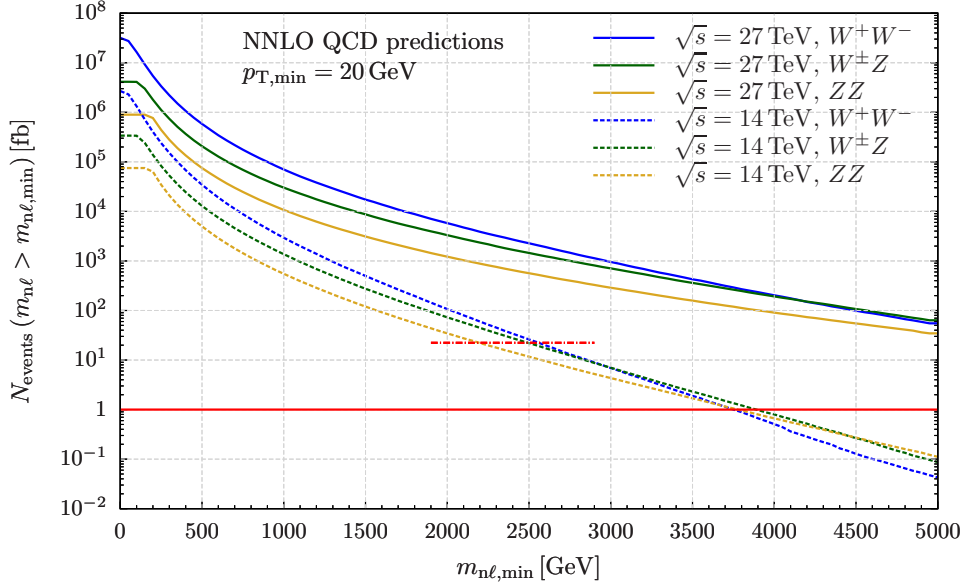


Fig. 40: Cumulative number of events as a function of  $m_{n\ell,\min}$ .

1730 luminosities as stated above, for  $\sqrt{s} = 14$  TeV (dashed) and  $\sqrt{s} = 27$  TeV (solid) with a lower cut  
 1731  $m_{n\ell} > m_{n\ell,\min}$ , where  $n$  is the number of leptons in the respective process, i.e., for  $W^+W^-$  production  
 1732 it is the distribution in  $m_{2\ell}$  (blue), for  $W^\pm Z$  it is the one in  $m_{3\ell}$  (green), and for  $ZZ$  in  $m_{4\ell}$  (orange). The  
 1733 significant reach in energy for both the HL run of the LHC and a potential HE upgrade is evident. With  
 1734 “reach” we refer to the point where the curves cross the red horizontal one-event threshold. A resonance  
 1735 in the tails of the invariant masses of two leptons (plus missing transverse momentum) or of four leptons  
 1736 is indeed a realistic signature predicted by many BSM theories. While with the current Run II data (red,  
 1737 dash-dotted line crossing the 14 TeV results) searches can hardly pass the two TeV frontier, future LHC  
 1738 upgrades will allow us to probe mass scales of a few TeV at 14 TeV with  $3\text{ ab}^{-1}$ , or potentially even  
 1739 up to ten TeV at 27 TeV with  $15\text{ ab}^{-1}$ . We also notice that despite  $\sigma_{W^+W^-} \gg \sigma_{W^\pm Z} \gg \sigma_{ZZ}$  holds  
 1740 inclusively, the point where the three lines fall below one event is much closer. This is simply caused  
 1741 by the fact that the phase space of the four-lepton system in  $ZZ$  production is larger than the one of  
 1742 the three-lepton system in  $W^\pm Z$  production, where some energy is taken by the additional neutrino.  
 1743 An analogous interpretation applies to  $W^+W^-$  production. Furthermore, also here the significantly  
 1744 enlarged phase space induced by the increase in energy at 27 TeV is evident: The 27 TeV results drop  
 1745 by roughly 4 – 5 orders of magnitude in the displayed range, while the 14 TeV ones drop by more than  
 1746 6 orders.

1747 We continue our study by analysing the importance of the additional fiducial phase space that  
 1748 becomes available with detector upgrades to enlarge the accessible rapidity range of charged leptons.  
 1749 Since we found very similar results for  $W^+W^-$ ,  $W^\pm Z$  and  $ZZ$  production in that respect, in Figure 41  
 1750 we show the rapidity efficiency of the four-lepton signature for  $ZZ$  production only. The rapidity effi-  
 1751 ciency is defined as the ratio of the cross section with an absolute-rapidity cut  $\eta_{\text{cut}}$  on all four charged  
 1752 leptons, divided by the inclusive cross section. As for  $\eta_{\text{cut}} \rightarrow \infty$  no cut is applied, the ratio tends to unity  
 1753 for large  $\eta_{\text{cut}}$  values. The efficiency as a function of  $\eta_{\text{cut}}$  is studied for three  $p_{T,\min}$  scenarios: inclusive  
 1754 (light blue),  $p_{T,\min} = 20$  GeV (blue), and  $p_{T,\min} = 100$  GeV (dark blue); at 14 TeV (dashed) and 27 TeV  
 1755 (solid). We directly observe that the efficiency decreases with the machine energy. In other words, a  
 1756 small rapidity threshold at 27 TeV results in a much larger (relative) reduction of the cross section than at  
 1757 14 TeV. This is because the additional energy induces more forward (and boosted) leptons, and it shows

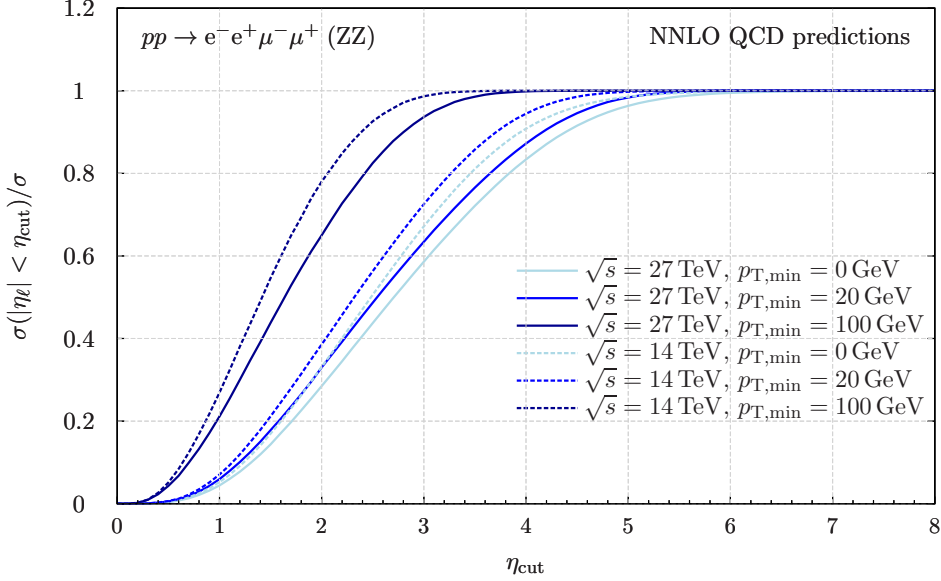


Fig. 41: Rapidity efficiency of the charged leptons.

1758 that detector upgrades that enlarge the measurable rapidity range become even more important at the HE  
 1759 LHC. Requiring minimum transverse-momentum cuts, on the other hand, has the effect of increasing the  
 1760 rapidity efficiency, which is particularly striking for  $p_{T,\text{min}} = 100$  GeV. The reason for this is simple:  
 1761 Leptons with high transverse momentum are predominantly produced at central rapidities.

1762 Let us focus on the scenario with  $p_{T,\text{min}} = 20$  GeV as it provides the most realistic fiducial setup,  
 1763 which is actually not much different from the fully inclusive case. Typical rapidity cuts on charged  
 1764 leptons with the current LHC detectors are of the order of  $\eta_\ell = 2.5$ . Future detector upgrades for the HL  
 1765 phase of the LHC can be expected to reach rapidities at the level of  $\eta_\ell = 4$ . At 14 (27) TeV this would  
 1766 allow us to improve measurements of fiducial cross from a  $<60\%$  ( $\sim 50\%$ ) efficiency for  $\eta_{\text{cut}} = 2.5$  to  
 1767 a  $>90\%$  ( $\lesssim 90\%$ ) efficiency for  $\eta_{\text{cut}} = 4$ . This implies that the available inclusive cross section will be  
 1768 hardly reduced by fiducial rapidity requirements anymore once the detectors have been upgraded. This  
 1769 statement holds even more when considering scenarios with boosted leptons: For  $p_{T,\text{min}} = 100$  GeV the  
 1770 efficiency is practically 100% for  $\eta_{\text{cut}} = 4$ .

### 1771 Projections for measurements of anomalous 3-gauge boson couplings

1772 The  $SU(2) \times U(1)$  structure of the electroweak sector of the Standard Model determines the  $W^+W^-V$   
 1773 interactions ( $V = \gamma, Z$ ). The amplitudes for the production of  $W^+W^-$  pairs involve subtle cancella-  
 1774 tions between contributions that grow with energy, so the pair production of gauge bosons is extremely  
 1775 sensitive to new physics interactions. Assuming CP conservation, the most general Lorentz invariant  
 1776 3-gauge boson couplings can be written as [415, 416]

$$\begin{aligned}
 \mathcal{L}_V = & -ig_{WWV} \left\{ (1 + \delta g_1^V) (W_{\mu\nu}^+ W^{-\mu} V^\nu - W_{\mu\nu}^- W^{+\mu} V^\nu) + (1 + \delta\kappa^V) W_\mu^+ W_\nu^- V^{\mu\nu} \right. \\
 & \left. + \frac{\lambda^V}{M_W^2} W_{\rho\mu}^+ W^{-\mu}{}_\nu V^{\nu\rho} \right\}, \tag{20}
 \end{aligned}$$

1777 where  $V = \gamma, Z$ ,  $g_{WW\gamma} = e$ ,  $g_{WWZ} = g \cos \theta_W$ ,  $s_W \equiv \sin \theta_W$ ,  $c_W \equiv \cos \theta_W$ , and in the SM,  
 1778  $\delta g_1^V = \delta\kappa^V = \lambda^V = 0$ . Because of gauge invariance, This form can be translated into the language of  
 1779 effective field theory, where  $\delta g_1^V$ ,  $\delta\kappa^V$ ,  $\lambda^V \sim \frac{v^2}{\Lambda^2}$ , with  $\Lambda$  the scale of BSM physics,  $\Lambda \gg v$ .

1780

The effective couplings of fermions to gauge fields are parameterised as,

$$\begin{aligned} \mathcal{L} = & \frac{g}{c_W} Z_\mu \left[ g_L^{Zq} + \delta g_L^{Zq} \right] \bar{q}_L \gamma_\mu q_L + g_Z Z_\mu \left[ g_R^{Zq} + \delta g_R^{Zq} \right] \bar{q}_R \gamma_\mu q_R \\ & + \frac{g}{\sqrt{2}} \left\{ W_\mu \left[ (1 + \delta g_L^W) \bar{q}_L \gamma_\mu q'_L + \delta g_R^W \bar{q}_R \gamma_\mu q'_R \right] + h.c. \right\}, \end{aligned} \quad (21)$$

1781

where  $Q_q$  is the electric charge of the quarks, and  $q$  denotes up-type or down-type quarks. The anomalous fermion couplings also scale as  $\delta g_{L,R}^{Zq}, \delta g_{L,R}^W \sim \frac{v^2}{\Lambda^2}$ . The SM quark couplings are  $g_R^{Zq} = -s_W^2 Q_q$

1782

and  $g_L^{Zq} = T_3^q - s_W^2 Q_q$  with  $T_3^q = \pm \frac{1}{2}$ .  $SU(2)$  invariance relates the coefficients,  $\delta g_L^W = \delta g_L^{Zf} -$

1783

$\delta g_L^{Zf'}$ ,  $\delta g_1^Z = \delta \kappa^Z + \frac{s_W^2}{c_W} \delta \kappa^\gamma$  and  $\lambda^\gamma = \lambda^Z$ , where  $f$  denotes up-type quarks and  $f'$  down-type quarks.

1784

We have implemented both the anomalous 3-gauge boson and fermion couplings into the POWHEG

1785

BOX framework [417,418] for  $W^+W^-$  production and generated samples of events with  $pp \rightarrow W^+W^- \rightarrow$

1786

$\mu^\pm e^\mp \nu \nu$ . Fits to 8 TeV data [419,420] illustrate the importance of including both anomalous fermion

1787

and 3-gauge boson couplings. The sensitivity to anomalous couplings results almost entirely from con-

1788

tributions quadratic in the anomalous couplings and the effects of anomalous 3-gauge boson and fermion

1789

couplings are numerically similar.

1790

To probe the sensitivity to anomalous couplings, we generate events using the cuts

1791

$$p_T^l > 30 \text{ GeV}, |\eta^l| < 2.5, m_{ll} > 10 \text{ GeV}, \cancel{E}_T > 20 \text{ GeV}. \quad (22)$$

1792

These cuts are similar to those applied in the ATLAS [421] and CMS [422] extractions of anomalous

1793

coupling limits using the 8 TeV data. We postulate a hypothetical future systematic uncertainty of

1794

$\delta_{sys} = 16\%$  and set a cut on the  $p_T$  of the leading lepton such that the systematic error is smaller than

1795

the statistical error,  $\delta_{stat} = \frac{1}{\sqrt{L\sigma(p_{l,T}^{lead} > p_T^{cut})}} > \delta_{sys}$ , where  $L$  is the integrated luminosity. We look at the

1796

integrated cross section above a  $p_T^{cut}$ , assume a 50 % efficiency and set the cuts as

$$27 \text{ TeV with } 15 \text{ ab}^{-1} : p_T^{cut} = 750 \text{ GeV}, \quad 14 \text{ TeV with } 3 \text{ ab}^{-1} : p_T^{cut} = 1350 \text{ GeV}. \quad (23)$$

1797

We work at NLO QCD, use CT14qed-inc-proton PDFs, and take the renormalisation/factorisation scales

1798

to be  $M_{WW}/2$ . We assume the  $Wl\nu$  couplings in the decays are SM-like.

1799

The results of the scans are shown in Figs. 42 and 43; the allowed regions are within the ellipses.

1800

We see a significant improvement going from 14 TeV to 27 TeV, while the improvement from reducing

1801

the systematic error,  $\delta_{sys} = .16 \rightarrow .04$ , is marginal. We allow the fermion couplings to vary around

1802

0, assuming the  $2\sigma$  errors from fits to LEP data. As can be seen, by including the anomalous fermion

1803

couplings, the sensitivity of the scan is significantly reduced [419,423]. This effect is quite pronounced

1804

at 27 TeV and implies that global fits to both anomalous fermion and 3 gauge boson couplings are

1805

necessary.

1806

#### 4.4.3 Prospects for the measurement of the $W$ -boson mass

1807

Special low pile-up proton-proton collision data at the HL-LHC (and HE-LHC) will be of large interest

1808

for  $W$  boson physics. At  $\sqrt{s} = 14$  TeV and for an instantaneous luminosity of  $\mathcal{L} \sim 5 \times 10^{32} \text{ cm}^{-2} \text{ s}^{-1}$ ,

1809

corresponding to two collisions per bunch crossing on average, about  $2 \times 10^6$   $W$  boson events can be

1810

collected in one week. Such a sample provides a statistical sensitivity at the permille level for cross

1811

section measurements, at the percent level for measurements of the  $W$  boson transverse momentum dis-

1812

tribution, and of about 10 MeV for a measurement of  $m_W$ . The increased acceptance provided by the

1813

new inner detector in ATLAS, the ITk [424], extends the coverage in pseudorapidity from  $|\eta| < 2.5$  to

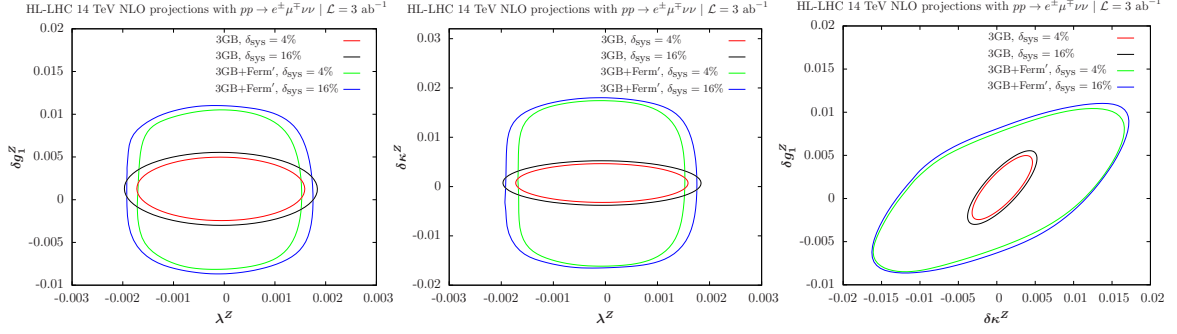


Fig. 42: Projections for 14 TeV with  $3 \text{ ab}^{-1}$ . We take  $p_{T,cut} = 750 \text{ GeV}$ , corresponding to  $\delta_{stat} = 16\%$  and consider  $\delta_{sys} = 4\%$  and  $\delta_{sys} = 16\%$ . The curves labelled 3GB have SM  $Z$ -fermion couplings, while the curves labelled 3GB +Ferm' allow the  $Z$ -fermion couplings to vary around a central value of 0.

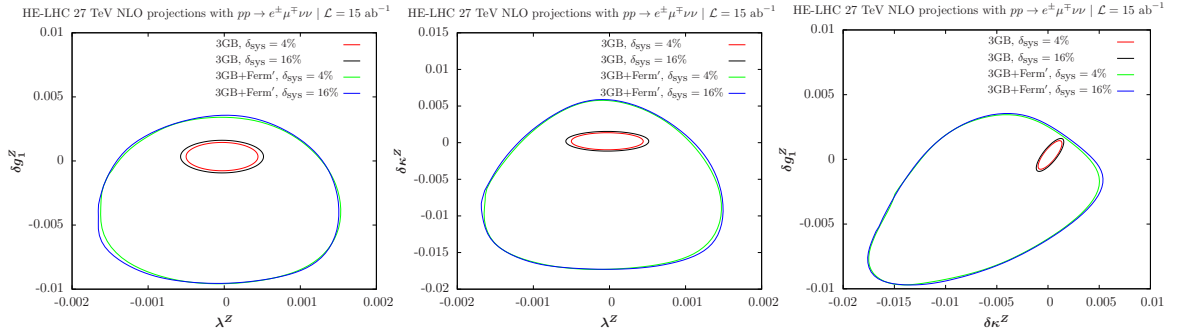


Fig. 43: Projections for 27 TeV with  $15 \text{ ab}^{-1}$ . We take  $p_{T,cut} = 1350 \text{ GeV}$ , corresponding to  $\delta_{stat} = 16\%$  and consider  $\delta_{sys} = 4\%$  and  $\delta_{sys} = 16\%$ . The curves labelled 3GB have SM  $Z$ -fermion couplings, while the curves labelled 3GB +Ferm' allow the  $Z$ -fermion couplings to vary around a central value of 0.

1814  $|\eta| < 4$  and allows further constraints on the parton density functions (PDFs) from cross section mea-  
1815 surements, reducing the corresponding uncertainties in the measurement of  $m_W$ . An energy increase at  
1816 the HE-LHC to  $\sqrt{s} = 27 \text{ TeV}$  [425] could play a similar role. A first quantitative study of the potential  
1817 improvement in the  $W$ -boson mass using low pile-up data at the HL-LHC and HE-LHC is discussed  
1818 in [426] considering only statistical and PDF uncertainties. Experimental systematic uncertainties can  
1819 be maintained at a level similar to the statistical uncertainty, since they are largely dominated by the  
1820 statistics of the low pile-up samples. Other theoretical uncertainties in the modelling of the  $W$ -boson  
1821 production, like the description of the boson transverse momentum distribution, will also be constrained  
1822 by measurements using these data.

1823

1824 Leptonic  $W$  boson decays are characterised by an energetic, isolated electron or muon, and signifi-  
1825 cant missing transverse momentum reflecting the decay neutrino. The hadronic recoil,  $u_T$ , is defined  
1826 from the vector sum of the transverse momenta of all reconstructed particles in the event excluding the  
1827 charged lepton, and provides a measure of the  $W$  boson transverse momentum. The lepton transverse  
1828 momentum,  $p_T^\ell$ , the missing transverse momentum,  $E_T^{\text{miss}}$ , and the hadronic recoil are related through  
1829  $\vec{E}_T^{\text{miss}} = -(\vec{p}_T^\ell + \vec{u}_T)$ . The  $p_T^\ell$  and  $E_T^{\text{miss}}$  distributions have sharp peaks at  $p_T^\ell \sim E_T^{\text{miss}} \sim m_W/2$ . The  
1830 transverse mass  $m_T$ , defined as  $m_T = \sqrt{2p_T^\ell E_T^{\text{miss}} \cos(\phi_\ell - \phi_{\text{miss}})}$ , peaks at  $m_T \sim m_W$ .



1831 Events are generated at  $\sqrt{s} = 14$  and 27 TeV using the W\_EW\_BMNNP process [135] of the POWHEG  
 1832 v1 event generator [273], with electroweak corrections switched off. The CT10 PDF set [427] is used,  
 1833 and parton shower effects are included using the PYTHIA8 event generator [324] with parameters set  
 1834 according to the AZNLO tune [428]. Final-state QED corrections are applied using PHOTOS [429]. The  
 1835 energy resolutions of the lepton and hadronic recoil are parameterised as a function of the truth-related  
 1836 observables in order to emulate detector effects. These parameterised resolutions are checked against  
 1837 simulated distributions at the reconstructed level, and they agree at a few percent level.

1838 Events are selected applying the following cuts to the object kinematics, after resolution corrections:  
 1839  $p_T^\ell > 25$  GeV,  $E_T^{\text{miss}} > 25$  GeV,  $m_T > 50$  GeV and  $u_T < 15$  GeV;  $|\eta_\ell| < 2.4$  or  $2.4 < |\eta_\ell| < 4$ . The  
 1840 first set of cuts selects the range of the kinematic peaks of the  $W$  boson decay products, restricting to the  
 1841 region of small  $p_T^W$  to maximise the sensitivity of the distributions to  $m_W$ . Two pseudorapidity ranges  
 1842 are considered, corresponding to the central region accessible with the current ATLAS detector, and to  
 1843 the forward region accessible in the electron channel with the ITk.

1844 The Monte Carlo samples are produced using the CT10 PDF set,  $m_W^{\text{ref}} = 80.399$  GeV, and the corre-  
 1845 sponding Standard Model prediction for  $\Gamma_W$ . Kinematic distributions for the different values of  $m_W$  are  
 1846 obtained by applying an event weight to the reference samples based on the ratio of the Breit–Wigner  
 1847 densities corresponding to  $m_W$  and  $m_W^{\text{ref}}$ , for a given value of the final state invariant mass. A similar  
 1848 event weight, calculated internally by POWHEG and corresponding to the ratio of the event cross sections  
 1849 predicted by CT10 and several alternate PDFs, is used to obtain final state distributions corresponding to  
 1850 the CT14 [157], MMHT2014 [158], HL-LHC [257] and LHeC [430] PDF sets and their associated un-  
 1851 certainties. Compared to current sets such as CT14 and MMHT2014, the HL-LHC set incorporates the  
 1852 expected constraints from present and future LHC data; it starts from the PDF4LHC convention [153]  
 1853 and comes in three scenarios corresponding to more or less optimistic projections of the experimental un-  
 1854 certainties. The LHeC PDF set represents the impact of a proposed future high-energy, high-luminosity  
 1855  $ep$  scattering experiment [431] on the uncertainties in the proton structure, using the theoretically best  
 1856 understood process for this purpose.

1857 The shift in the measured value of  $m_W$  resulting from a change in the assumed PDF set is estimated  
 1858 as follows. Considering a set of template distributions obtained for different values of  $m_W$  and a given  
 1859 reference PDF set, and “pseudo-data” distributions obtained for  $m_W = m_W^{\text{ref}}$  and an alternate set  $i$   
 1860 (representing, for example, uncertainty variations with respect to the reference set), the preferred value  
 1861 of  $m_W$  for this set is determined by minimising the  $\chi^2$  between the pseudo-data and the templates. The  
 1862 preferred value is denoted  $m_W^i$ , and the corresponding variation is defined as  $\delta m_W^i = m_W^i - m_W^{\text{ref}}$ . The  
 1863 statistical uncertainty on the measurement is estimated from the half width of the  $\chi^2$  function one unit  
 1864 above the minimum.

1865 The present study considers measurements of  $m_W$  in separate categories, corresponding to  $W^+$  and  $W^-$   
 1866 events; five pseudorapidity bins,  $|\eta_\ell| < 0.6$ ,  $0.6 < |\eta_\ell| < 1.2$ ,  $1.2 < |\eta_\ell| < 1.8$ ,  $1.8 < |\eta_\ell| < 2.4$ , and  
 1867  $2.4 < |\eta_\ell| < 4$ ;  $p_T^\ell$  and  $m_T$  distribution fits; and two centre-of-mass energies ( $\sqrt{s} = 14$  and 27 TeV). For  
 1868 each category  $\alpha$  and for the PDF sets considered here, the Hessian uncertainty corresponding to a given

1869 set is estimated as  $\delta m_{W\alpha}^+ = \left[ \sum_i \left( \delta m_{W\alpha}^i \right)^2 \right]^{1/2}$ , if  $\delta m_{W\alpha}^i > 0$ , and as  $\delta m_{W\alpha}^- = \left[ \sum_i \left( \delta m_{W\alpha}^i \right)^2 \right]^{1/2}$ ,

1870 if  $\delta m_{W\alpha}^i < 0$ , where  $i$  runs over the uncertainty sets, and  $\delta m_{W\alpha}^i$  is calculated with respect to the  
 1871 reference PDF set. For CT10 and CT14, the uncertainties are divided by a factor 1.645 to match the  
 1872 68% CL. Only symmetrised uncertainties,  $\delta m_{W\alpha} = (\delta m_{W\alpha}^+ + \delta m_{W\alpha}^-)/2$ , are considered for simplicity.  
 1873 The correlation of PDF uncertainties between different measurement categories is calculated as  $\rho_{\alpha\beta} =$   
 1874  $\frac{\sum_i \delta m_{W\alpha}^i \delta m_{W\beta}^i}{\delta m_{W\alpha} \delta m_{W\beta}}$ .

1875 PDF variations generate correlated variations in the  $p_T^W$  and  $p_T^Z$  distributions, while the latter are strongly  
 1876 constrained by experimental data [428, 432]. These constraints were used in the ATLAS measurement



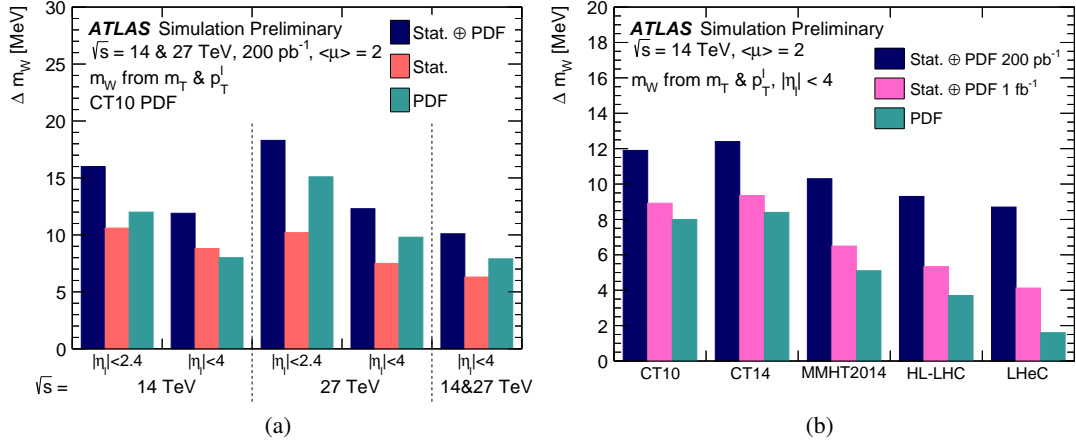


Fig. 44: Measurement uncertainty for combined fits to the  $p_T^\ell$  and  $m_T$  distributions (a) in different lepton acceptance regions and for different centre-of-mass energies, using the CT10 PDF set and for 200  $\text{pb}^{-1}$  collected at each energy and (b) for different PDF sets in  $|\eta_\ell| < 4$ , for 200  $\text{pb}^{-1}$  and 1  $\text{fb}^{-1}$  collected at  $\sqrt{s} = 14$  TeV. The numbers quoted for  $0 < |\eta_\ell| < 2.4$  correspond to the combination of the four pseudorapidity bins in this range.

1877 of  $m_W$  [433], bringing significant reduction in the PDF uncertainties. The uncertainties estimated here  
1878 are thus conservative from this perspective, and partly account for uncertainties in the  $p_T^W$  distribution.  
1879 The overall measurement precision is evaluated by combining the results obtained in the different cate-  
1880 gories using the BLUE prescription [434]. Only statistical and PDF uncertainties are considered. The  
1881 former are assigned assuming an integrated luminosity of 200  $\text{pb}^{-1}$ , and normalising the samples to  
1882 the expected cross-sections. The expected measurement uncertainties, together with their statistical and  
1883 PDF components, are summarised in Figure 44 (a) for CT10. The numbers quoted for  $0 < |\eta_\ell| < 2.4$   
1884 correspond to the combination of the four pseudorapidity bins in this range. Moderate or negative PDF  
1885 uncertainty correlations, leading to reduced combined uncertainties, are observed between categories  
1886 of different  $W$ -boson charges, and between central and forward pseudorapidities, at given  $\sqrt{s}$ . On the  
1887 other hand, PDF uncertainty correlations tend to be large and positive between  $\sqrt{s} = 14$  and 27 TeV, for  
1888 a given boson charge and lepton pseudorapidity range. With 200  $\text{pb}^{-1}$  of data collected at each energy, a  
1889 total uncertainty of about 10 MeV is obtained.  
1890 Table 25 and Figure 44 (b) compare the uncertainties obtained for different PDF sets. The CT10 and  
1891 CT14 sets display similar uncertainty correlations, leading to similar improvements under combination  
1892 of categories, and yielding comparable final PDF uncertainties. The MMHT2014 uncertainties are about  
1893 30% lower. The three projected HL-LHC PDF sets give very similar uncertainties; the most conservative  
1894 one is shown here. Compared to CT10 and CT14, a reduction in PDF uncertainty of about a factor of  
1895 two is obtained. The LHeC projection results from a QCD fit to 1  $\text{ab}^{-1}$  of  $ep$  scattering pseudodata, with  
1896  $E_e = 60$  GeV and  $E_p = 7$  TeV. Such a sample could be collected in about five years, synchronously  
1897 with the HL-LHC operation. In this configuration, the neutral- and charged-current DIS samples are  
1898 sufficient to disentangle the first and second generation parton densities without ambiguity, and reduce  
1899 the PDF uncertainty below 2 MeV, a factor 5–6 compared to present knowledge. Also in this case the  
1900  $m_W$  measurement will benefit from the large  $W$  boson samples collected at the LHC, and from the  
1901 anti-correlation between central and forward categories. In this context, PDF uncertainties would still  
1902 be sub-leading with 1  $\text{fb}^{-1}$  of low pile-up data.  
1903

Table 25: Measurement uncertainty for different lepton acceptance regions, centre-of-mass energies and PDF sets, combined fits to the  $p_T^\ell$  and  $m_T$  distributions, and for  $200 \text{ pb}^{-1}$  collected at each energy. The numbers quoted for  $0 < |\eta_\ell| < 2.4$  correspond to the combination of the four pseudorapidity bins in this range. In each case, the first number corresponds to the sum of statistical and PDF uncertainties, and the numbers between parentheses are the statistical and PDF components, respectively.

$\sqrt{s}$ [TeV]	Lepton acceptance	Uncertainty in $m_W$ [MeV]		
		CT10	CT14	MMHT2014
14	$ \eta_\ell  < 2.4$	16.0 (10.6 $\oplus$ 12.0)	17.3 (11.4 $\oplus$ 13.0)	15.4 (10.7 $\oplus$ 11.1)
14	$ \eta_\ell  < 4$	11.9 (8.8 $\oplus$ 8.0)	12.4 (9.2 $\oplus$ 8.4)	10.3 (9.0 $\oplus$ 5.1)
27	$ \eta_\ell  < 2.4$	18.3 (10.2 $\oplus$ 15.1)	18.8 (10.5 $\oplus$ 15.5)	16.5 (9.4 $\oplus$ 13.5)
27	$ \eta_\ell  < 4$	12.3 (7.5 $\oplus$ 9.8)	12.7 (8.2 $\oplus$ 9.7)	11.4 (7.9 $\oplus$ 8.3)
14+27	$ \eta_\ell  < 4$	10.1 (6.3 $\oplus$ 7.9)	10.1 (6.9 $\oplus$ 7.4)	8.6 (6.5 $\oplus$ 5.5)

$\sqrt{s}$ [TeV]	Lepton acceptance	Uncertainty in $m_W$ [MeV]	
		HL-LHC	LHeC
14	$ \eta_\ell  < 2.4$	11.5 (10.0 $\oplus$ 5.8)	10.2 (9.9 $\oplus$ 2.2)
14	$ \eta_\ell  < 4$	9.3 (8.6 $\oplus$ 3.7)	8.7 (8.5 $\oplus$ 1.6)

#### 1904 4.4.4 Prospects for measurement of the effective weak mixing angle

1905 At leading order dilepton pairs are produced through the annihilation of a quark and antiquark via the  
 1906 exchange of a Z boson or a virtual photon:  $q\bar{q} \rightarrow Z/\gamma^* \rightarrow \ell^+\ell^-$ . The definition of the forward-  
 1907 backward asymmetry,  $A_{\text{FB}}$ , is based on the angle  $\theta^*$  of the lepton ( $\ell^-$ ) in the Collins-Soper [435] frame  
 1908 of the dilepton system:

$$A_{\text{FB}} = \frac{\sigma_{\text{F}} - \sigma_{\text{B}}}{\sigma_{\text{F}} + \sigma_{\text{B}}}, \quad (24)$$

1909 where  $\sigma_{\text{F}}$  and  $\sigma_{\text{B}}$  are the cross sections in the forward ( $\cos\theta^* > 0$ ) and backward ( $\cos\theta^* < 0$ ) hemi-  
 1910 spheres, respectively. In this frame the  $\theta^*$  is the angle of the  $\ell^-$  direction with respect to the axis that  
 1911 bisects the angle between the direction of the quark and opposite direction of the anti-quark. In pp colli-  
 1912 sions the direction of the quark is assumed to be in the boost direction of the dilepton pair. Here,  $\cos\theta^*$   
 1913 is calculated using laboratory-frame quantities as follows:

$$\cos\theta^* = \frac{2(p_1^+ p_2^- - p_1^- p_2^+)}{\sqrt{M^2(M^2 + P_{\text{T}}^2)}} \times \frac{P_z}{|P_z|}, \quad (25)$$

1914 where  $M$ ,  $P_{\text{T}}$ , and  $P_z$  are the mass, transverse momentum, and longitudinal momentum, respectively,  
 1915 of the dilepton system, and  $p_1(p_2)$  are defined in terms of energy,  $e_1(e_2)$ , and longitudinal momentum,  
 1916  $p_{z,1}(p_{z,2})$ , of the negatively (positively) charged lepton as  $p_i^\pm = (e_i \pm p_{z,i})/\sqrt{2}$  [435].

A non-zero  $A_{\text{FB}}$  in dilepton events arises from the vector and axial-vector couplings of electroweak bosons to fermions. At tree level, the vector  $v_f$  and axial-vector  $a_f$  couplings of Z bosons to fermions ( $f$ ) are:

$$v_f = T_3^f - 2Q_f \sin^2\theta_{\text{W}}, \quad (26)$$

$$a_f = T_3^f, \quad (27)$$

1917 where  $T_3^f$  and  $Q_f$  are the third component of the weak isospin and the charge of the fermion, respectively,  
 1918 and  $\sin^2\theta_{\text{W}}$  is the weak mixing angle, which is related to the masses of the W and Z bosons by the  
 1919 relation  $\sin^2\theta_{\text{W}} = 1 - M_{\text{W}}^2/M_{\text{Z}}^2$ . Electroweak radiative corrections affect these leading-order relations.

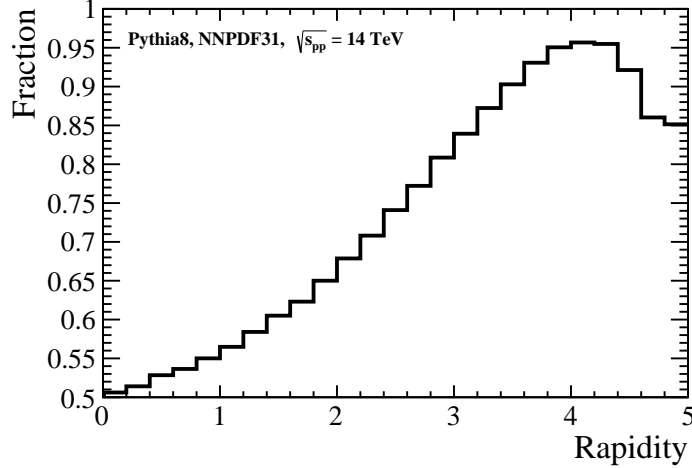


Fig. 45: The fraction of events where the Z boson travels in the same direction along the  $z$ -axis as the colliding quark, in proton-proton collisions with  $\sqrt{s} = 14$  TeV. This increases as the event becomes more forward, reaching a maximum in the region probed by LHCb. The decrease once the rapidity is greater than 4 is because the fraction of collisions involving valence quarks decreases (the Bjorken- $x$  value of the high momentum quark in these collisions is typically greater than 0.3). No detector effects are simulated for this figure.

1920 An effective weak mixing angle,  $\sin^2 \theta_{\text{eff}}^f$ , is defined based on the relation between these couplings:  
 1921  $v_f/a_f = 1 - 4|Q_f| \sin^2 \theta_{\text{eff}}^f$ , with  $\sin^2 \theta_{\text{eff}}^f = \kappa_f \sin^2 \theta_W$ , where flavour-dependent  $\kappa_f$  is determined by  
 1922 electroweak corrections. Consequently, precise measurements of  $A_{\text{FB}}$  can be used to extract the effective  
 1923 leptonic weak mixing angle ( $\sin^2 \theta_{\text{eff}}^{\text{lept}}$ ).

1924 The most precise previous measurements of  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  were performed by the LEP and SLD experi-  
 1925 ments [436]. There is, however, a known tension of about 3 standard deviations between the two most  
 1926 precise measurements. Measurements of  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  have also been performed by the LHC and Tevatron  
 1927 experiments [437–442].

1928 In measurements of  $A_{\text{FB}}$  (or associated angular variables) in leptonic decays of Z bosons at a  $pp$  collider,  
 1929 the assignment of the  $z$ -axis is crucial. At low rapidities, there is a two-fold ambiguity in the direction  
 1930 of the initial state quark and anti-quark; the colliding quark is equally likely to be in either proton and  
 1931 the parton level asymmetry is diluted. However, at higher rapidities, the Z boson tends to be produced  
 1932 in the direction of travel of the quark, since the (valence) quark tends to be at higher Bjorken- $x$  than the  
 1933 anti-quark. This means that the dilution between parton level and proton level quantities is significantly  
 1934 smaller at larger rapidities, illustrated in Fig.45, and consequently a larger forward-backward asymme-  
 1935 try is induced. Consequently, the forward acceptance of LHCb, in addition to the increased forward  
 1936 coverage of the ATLAS and CMS detectors in the HL-LHC will be crucial to achieving the most precise  
 1937 measurement of  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  possible.

1938 The uncertainties on the parton distribution functions translate into sizeable variations in the observed  
 1939  $A_{\text{FB}}$  values, which have limited the precision of current measurements of  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  at the LHC. However,  
 1940 the changes in PDFs affect the  $A_{\text{FB}}(M_{\ell\ell}, Y_{\ell\ell})$  distribution in a different way from changes in  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$ .  
 1941 Because of this behaviour, the distribution of  $A_{\text{FB}}$  can itself be used to constrain the PDF uncertainties  
 1942 on the extraction of  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  using either a Bayesian  $\chi^2$  reweighting method [443–445] (in the case  
 1943 of PDFs with Monte Carlo replicas) or through a profiling procedure [446] (in the case of PDFs with  
 1944 Hessian error sets).

1945 Prospects for the measurement of the effective weak mixing angle using the forward-backward asym-

1946 metry,  $A_{\text{FB}}$ , in Drell-Yan di-lepton events at the HL-LHC at ATLAS [447], CMS [448] and LHCb [449]  
 1947 have been performed and are reported here. The leptonic effective weak mixing angle is extracted from  
 1948 measurements of  $A_{\text{FB}}$  in dilepton events by minimising the  $\chi^2$  value between the simulated data and  
 1949 template  $A_{\text{FB}}$  distributions representing different  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  values and PDF variations. The LHCb and  
 1950 CMS analyses consider the dimuon final state, while the ATLAS analysis considers the dielectron final  
 1951 state. For CMS and LHCb the samples and different  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  templates are generated at next-to-leading  
 1952 order using the POWHEG event generator [450–453], where the NNPDF3.0 [454] PDF set is used in  
 1953 the case of the CMS analysis, and the NNPDF31 PDF set [165] for LHCb. For CMS, the analysis is  
 1954 performed at generator level without the effect of smearing due to detector effects<sup>12</sup> while for LHCb, a  
 1955 smearing is performed where the momentum resolution and reconstruction efficiency is assumed to be  
 1956 similar to the performance of the current detector [455]. In the case of ATLAS, events are generated  
 1957 with POWHEG and overlaid with additional inelastic  $pp$  collisions per bunch-crossing simulated with  
 1958 Pythia. Parameterisations of the expected ATLAS detector performances during the HL-LHC runs [456]  
 1959 are then applied on particle-level objects to emulate the detector response. Lepton trigger and identifi-  
 1960 cation efficiencies are derived as a function of  $\eta$  and  $p_{\text{T}}$  and used to estimate the likelihood of a given  
 1961 lepton to fulfil either the trigger or identification requirements, which have been optimised for the level  
 1962 of pile-up expected at the HL-LHC [367]. The  $A_{\text{FB}}$  distributions are generated, at leading order (LO) in  
 1963 QCD, with DYTURBO, an optimised version of DYRES/DYNNLO [457] with NNLO CT14 PDF and  
 1964 the world average value for  $\sin^2 \theta_{\text{eff}}^{\text{lept}} = 0.23153$ .

1965 The HL-LHC CMS detector will extend the pseudorapidity,  $\eta$ , coverage of the muon reconstruction  
 1966 from the current configuration of 2.4 to 2.8. In the CMS analysis an event is selected if there are at least  
 1967 two muons with  $|\eta| < 2.8$  and with the leading (i.e. having the largest transverse momentum  $p_{\text{T}}$ ) muon  
 1968  $p_{\text{T}} > 25$  GeV and the second leading muon  $p_{\text{T}} > 15$  GeV. Figure 46 shows the  $A_{\text{FB}}$  distributions in  
 1969 bins of dimuon mass and rapidity for different energies and pseudorapidity acceptances. As expected, at  
 1970 higher center-of-mass energies the observed  $A_{\text{FB}}$  is smaller because the interacting partons have smaller  
 1971  $x$ -values which results in a smaller fraction of dimuon events produced by the valence quarks, which  
 1972 also means more dilution. The samples are normalised to the integrated luminosities of  $19 \text{ fb}^{-1}$  for  
 1973  $\sqrt{s} = 8$  TeV and to  $10 - 3000 \text{ fb}^{-1}$  for  $\sqrt{s} = 14$  TeV samples and the simulated data are shown for  
 1974  $\sqrt{s} = 8$  TeV and  $\sqrt{s} = 14$  TeV for two different selection requirements,  $|\eta| < 2.4$  and 2.8. Extending  
 1975 the pseudorapidity acceptance significantly increases the coverage for larger  $x$ -values in the production  
 1976 and reduces both the statistical and PDF uncertainties, as shown below.

1977 In the case of the 14 TeV analysis with a large number of events ( $> 200 \text{ fb}^{-1}$ ), the pseudo-data are too  
 1978 precise to estimate the PDF uncertainties with the Bayesian reweighting approach because the replica  
 1979 distributions are too sparse compared to the statistical uncertainties. Therefore, the PDF uncertainties  
 1980 after the Bayesian reweighting are estimated by extrapolating from the lower values of integrated lumi-  
 1981 nosities.

1982 The corresponding values for various luminosities at CMS are summarized in Table 26. One can see  
 1983 from the Table that with the extended pseudorapidity coverage of  $|\eta| < 2.8$ , the statistical uncertainties  
 1984 are reduced by about 30% and the PDF uncertainties are reduced by about 20%, compared to  $|\eta| < 2.4$   
 1985 regardless of the target integrated luminosity and for both nominal and constrained PDF uncertainties.

1986 The LHCb detector has coverage in the pseudorapidity range  $2 < \eta < 5$  and expects to install its  
 1987 ‘Upgrade II’ in Long Shutdown 4. Following this upgrade, LHCb will collect at least  $300 \text{ fb}^{-1}$  of data,  
 1988 allowing high precision measurements. The forward acceptance of LHCb brings a number of benefits  
 1989 in measurements of  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  at the LHC. The lower level of dilution in the forward region results in a  
 1990 larger sensitivity to  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  and the PDF effects are (in relative terms) smaller, providing both statistical  
 1991 precision in measurements of the weak mixing angle and a reduction in PDF uncertainties. In addition,  
 1992 LHCb does not simply probe forward rapidities of the  $Z$  boson: the leptons themselves are located over

<sup>12</sup>A comparison of 8 TeV predictions and measured values suggests the effect is not significant

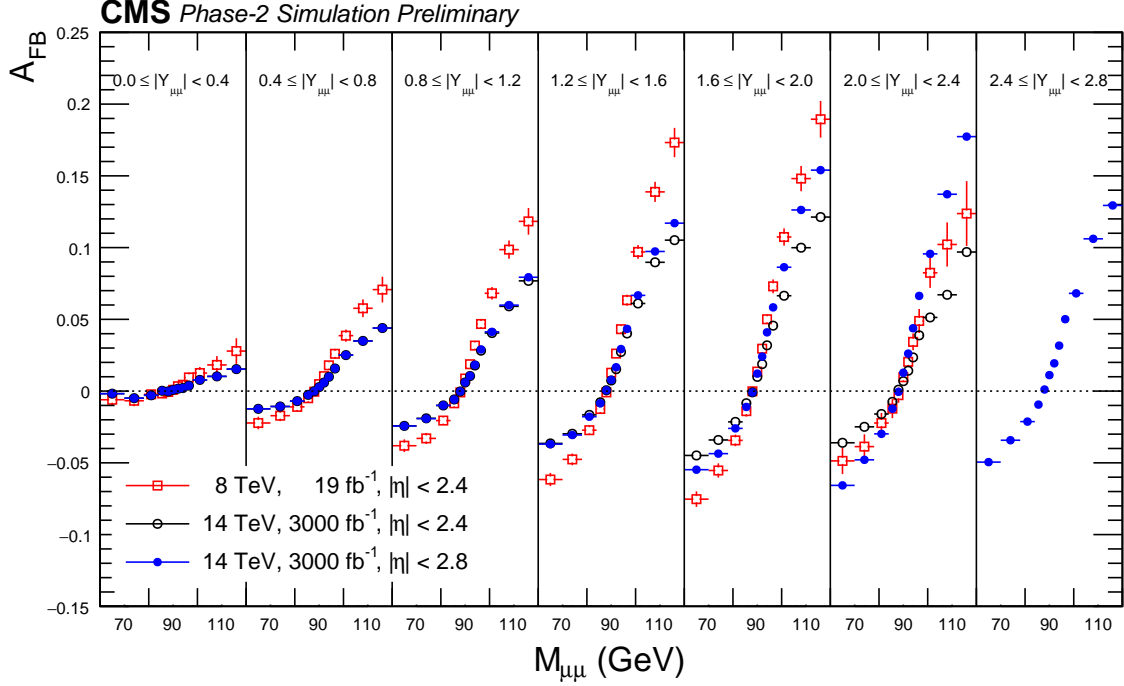


Fig. 46: Forward-backward asymmetry distribution,  $A_{\text{FB}}(M_{\mu\mu}, Y_{\mu\mu})$ , in dimuon events at  $\sqrt{s} = 8$  TeV and 14 TeV. The distributions are made with POWHEG event generator using NNPDF3.0 PDFs and interfaced with PYTHIA8 for parton-showering, QED final-state radiation (FSR) and hadronization. Following acceptance selections are applied to the generated muons after FSR:  $|\eta| < 2.4$  (or  $|\eta| < 2.8$ ),  $p_{\text{T}}^{\text{lead}} > 25$  GeV,  $p_{\text{T}}^{\text{trail}} > 15$  GeV. The error bars represent the statistical uncertainties for the integrated luminosities corresponding to  $19 \text{ fb}^{-1}$  at  $\sqrt{s} = 8$  TeV and  $3000 \text{ fb}^{-1}$  at  $\sqrt{s} = 14$  TeV.

1993 a significant range of rapidities, allowing extremal values of  $\cos \theta^*$  to be probed, increasing sensitivity to  
 1994 the weak mixing angle. Finally, LHCb has the ability to select events at low momentum using a flexible  
 1995 full software trigger and real time analysis scheme (from Run-3 onwards). It is therefore foreseen that  
 1996 the LHCb Upgrade II will be able to select  $Z$  boson decays where one lepton has transverse momentum  
 1997 above 20 GeV, while the other lepton has a transverse momentum above 5 GeV. Such low thresholds  
 1998 again increase the sensitivity to asymmetric events at high  $|\cos \theta^*|$ . In addition to the advantages of the  
 1999 extended forward acceptance for such measurements, as part of Upgrade II LHCb is expected to undergo  
 2000 a significant calorimeter upgrade<sup>13</sup> allowing similar precision to be achieved in both the dielectron and  
 2001 dimuon final states.

2002 LHCb has performed a study of projected sensitivities [?], considering the dimuon final state. The  
 2003 experiment is assumed to have coverage in the region  $2.0 < \eta < 5$ . Toy measurements of the forward-  
 2004 backward asymmetry are used to determine the sensitivity of measurements at LHCb Upgrade II to the  
 2005 weak mixing angle. Only statistical uncertainties are considered alongside the effects of knowledge  
 2006 of PDFs. The statistical uncertainty on  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  is expected to be below  $5 \times 10^{-5}$  with  $300 \text{ fb}^{-1}$  of  
 2007 data. The expected PDF uncertainty from current PDF knowledge is  $\sim 20 \times 10^{-5}$ , but with Bayesian  
 2008 reweighting this can be reduced to the level of about  $10 \times 10^{-5}$  (with analysis of a dataset corresponding  
 2009 to an integrated luminosity of  $300 \text{ fb}^{-1}$ ). This reduction assumes systematic effects are negligible in  
 2010 comparison to statistical uncertainties, though the current knowledge of PDFs means that any measure-  
 2011 ment in the forward region is expected to offer a smaller PDF uncertainty than the total uncertainties in

<sup>13</sup>This upgrade will offer an extended dynamic range within the ECAL, offering improved electron momentum resolution.

Table 26: Statistical, nominal NNPDF3.0, and constrained NNPDF3.0 uncertainties of extracted  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  at 14 TeV for muon acceptance of  $|\eta| < 2.4$  and  $|\eta| < 2.8$  and for the different values of integrated luminosity. For comparison, results of the 8 TeV estimate of this analysis are compared to the results obtained from 8 TeV measurement [448].

$L_{\text{int}}$ ( $\text{fb}^{-1}$ )	$\delta_{\text{stat}} [10^{-5}]$		$\delta_{\text{nnpdf3.0}}^{\text{nominal}} [10^{-5}]$		$\delta_{\text{nnpdf3.0}}^{\text{constrained}} [10^{-5}]$	
	$ \eta  < 2.4$	$ \eta  < 2.8$	$ \eta  < 2.4$	$ \eta  < 2.8$	$ \eta  < 2.4$	$ \eta  < 2.8$
10	76	51	75	57	39	29
100	24	16	75	57	27	20
500	11	7	75	57	20	16
1000	8	5	75	57	18	14
3000	4	3	75	57	15	12
19	43		49		27	
19 (from [448])	44		54		32	

the previous best measurements of the weak mixing angle. The main challenge of such measurements at LHCb Upgrade II will therefore be to control systematic uncertainties in order to ensure the overall measurement also achieves high precision; however, the large dataset of  $J/\psi$  and  $\Upsilon$  mesons to be recorded is expected to aid the understanding of effects such as the momentum scale (which introduced the largest systematic uncertainty in the Run-1 analysis at LHCb). This should enable a measurement at LHCb Upgrade II with a precision similar to or better than that achieved in the combination of measurements at LEP and SLD.

A new inner tracking system (ITk) will extend the tracking coverage of the ATLAS detector from  $|\eta| \leq 2.5$  up to  $|\eta| \leq 4.0$  at the HL-LHC, providing the ability to reconstruct forward charged particle tracks, which can be matched to calorimeter clusters for forward electron reconstruction.

In the ATLAS analysis di-electron candidates are selected where each electron has  $p_T$  in excess of 25 GeV and the combined invariant mass is in the region of the  $Z$  pole. The data sample is then split into three channels, where both electrons are in the central region, satisfying  $|\eta| < 2.47$  (the CC channel), where one electron is central and the other is forward, satisfying  $2.5 < \eta < 4.2$  (the CF channel), and finally where both electrons are forward (the FF channel). Events are selected by requiring at least one electron firing the single electron trigger, except in the FF channel, where a dielectron trigger is required. As Fig. 47 shows, the CF channel selects events at high  $\cos \theta^*$  values where the forward-backward asymmetry is more pronounced, and consequently the sensitivity to  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  is higher in this channel. While the LHCb and CMS analyses consider only uncertainties due to statistics and PDFs, the ATLAS analysis considers also various sources of experimental uncertainty which affect the precision of the extraction of  $A_{\text{FB}}$ . The main contributions arise from the limited knowledge of the momentum scale and resolution of the electrons, and the background contributions, which are mostly relevant in the CF and FF channels.

The extraction of  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  is performed by minimising the  $\chi^2$  value comparing particle-level  $A_{\text{FB}}$  distributions with different weak mixing angle hypotheses in invariant mass and rapidity bins combining CC, CF and FF channels. A global fit is performed where  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  is extracted while constraining the PDF uncertainties using a profiling procedure following that used in a previous ATLAS publication [458] and implemented in the xFitter package [459].

With this analysis, a significant reduction of the light quarks uncertainties at low  $x$  is seen and combining the three channels together, the measurement reaches a precision of  $18 \cdot 10^{-5}$  ( $\pm 16 \cdot 10^{-5}$  (PDF)  $\pm 9 \cdot 10^{-5}$  (exp.)). The uncertainty of the results remains dominated by the limited knowledge of the PDFs.

In the context of the Yellow Report for the HL-LHC, prospect PDF fits including HL-LHC pseudo-data



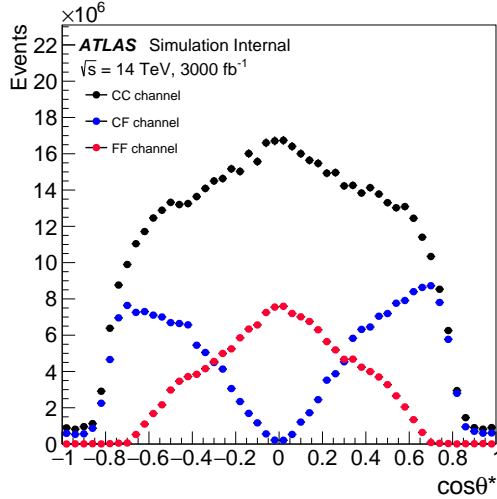


Fig. 47: The  $\cos \theta^*$  distribution for CC, CF and FF channels for selected Drell-Yan di-electron events expected for  $3000 \text{ fb}^{-1}$  of data at  $\sqrt{s} = 14 \text{ TeV}$ . SF: Still internal?

2044 of future PDF-sensitive measurements from ATLAS, CMS and LHCb were performed (see Sec. 5.2).  
 2045 Three prospect PDF scenarios were considered and compared with the reference PDF set PDF4LHC15 [153].  
 2046 The expected sensitivity of the  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  measurements with  $3000 \text{ fb}^{-1}$  at  $\sqrt{s} = 14 \text{ TeV}$  is improved by  
 2047 10-25% depending on the prospect PDFs scenario considered. In Table 27 the precision on  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  ob-  
 2048 tained with the "ultimate" HL-LHC PDF set is compared with the one obtained with CT14NNLO  
 2049 PDF set.  
 2050 The sensitivity of the analysis to the  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  extraction is also estimated with a prospect PDF set  
 2051 including expected data from the LHeC collider [430]. In this case the PDF uncertainty is reduced by  
 2052 an additional factor of 5 with respect to the one obtained with the HL-LHC prospect PDFs.

Table 27: The value of  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  with the breakdown of uncertainties from the ATLAS preliminary results at  $\sqrt{s} = 8 \text{ TeV}$  with  $20 \text{ fb}^{-1}$  [460] is compared to the projected  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  measurements with  $3000 \text{ fb}^{-1}$  of data at  $\sqrt{s} = 14 \text{ TeV}$  for two PDF sets considered in this note. All the numbers values are given in units of  $10^{-5}$ . Note that other sources of systematic uncertainties, such as the impact of the MC statistical uncertainty, evaluated in Ref. [460] are not considered in this prospect analysis. For the HL-LHC prospect PDFs the "ultimate" scenario is chosen.

	ATLAS $\sqrt{s} = 8 \text{ TeV}$	ATLAS $\sqrt{s} = 14 \text{ TeV}$	ATLAS $\sqrt{s} = 14 \text{ TeV}$
$\mathcal{L} [\text{fb}^{-1}]$	20	3000	3000
PDF set	MMHT14	CT14	PDF4LHC15 <sub>HL-LHC</sub>
$\sin^2 \theta_{\text{eff}}^{\text{lept}} [\times 10^{-5}]$	23140	23153	23153
Stat.	$\pm 21$	$\pm 4$	$\pm 4$
PDFs	$\pm 24$	$\pm 16$	$\pm 13$
Experimental Syst.	$\pm 9$	$\pm 8$	$\pm 6$
Other Syst.	$\pm 13$	-	-
Total	$\pm 36$	$\pm 18$	$\pm 15$



2053 To conclude, the accuracy of measurements of the weak mixing angle obtained with an analysis of  
 2054 the  $A_{\text{FB}}$  in Z-events at  $\sqrt{s} = 14$  TeV with  $3000 \text{ fb}^{-1}$  at ATLAS and CMS and  $300 \text{ fb}^{-1}$  at LHCb at  
 2055 the HL-LHC exceed the precision achieved in all previous single-experiment results to date and the  
 2056 measurements are dominated by PDF uncertainties. To explore the full potential of the HL-LHC data it  
 2057 will be therefore essential to reduce PDF uncertainties. A significant improvement of the sensitivity of  
 2058 the measurement is observed in the ATLAS analysis when using prospect PDF sets including ancillary  
 2059 Drell-Yann measurements performed with the data collected during the high luminosity phase of the  
 2060 LHC and at the LHeC collider.

#### 2061 **4.4.5 The global EW fit**

2062 The measurement of the Higgs Boson mass ( $M_H$ ) at the Large Hadron Collider (LHC) has provided the  
 2063 last input to the global fit of electroweak (EW) precision observables (EWPO), which can now be used  
 2064 to effectively constrain new physics. Moreover, the measurement of Higgs-boson production and decay  
 2065 rates that is at the core of the physics program of the LHC Run 2 will further constrain those interactions  
 2066 that directly affect Higgs-boson physics.

2067 The high-luminosity LHC (HL-LHC) will have the potential to provide more constraining bounds on  
 2068 new physics via the global fit to EWPO and Higgs data, thanks to the higher precision it will reach both  
 2069 in the measurement of some of the crucial input parameters of global EW fits (e.g.  $M_W$ ,  $m_t$ ,  $M_H$ , and  
 2070  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$ ), and in the measurement of Higgs-boson total and differential rates. In this study we would  
 2071 like to explore the reach of the HL-LHC in constraining new physics via a global fit to EWPO.

2072 In the following, we first provide details on the parameters and procedure of the global EW fit. Next we  
 2073 present the results interpreted within the Standard Model (SM). Finally, we use the EW fit to constrain  
 2074 new physics beyond the SM. We present the results for both current data and the projections in the  
 2075 HL-LHC scenario.

#### 2076 *General framework and HEPfit*

2077 The global fit of EWPO is performed using the `HEPfit` package [461], a general tool to combine direct  
 2078 and indirect constraints on the SM and its extensions in any statistical framework. The default fit pro-  
 2079 cedure, which we use here, follows a Bayesian statistical approach and uses BAT (Bayesian Analysis  
 2080 Toolkit) [462]. We use flat priors for all input parameters, and build the likelihood assuming Gaussian  
 2081 distributions for all experimental measurements. The output of the fit is therefore given as the posterior  
 2082 distributions for each input parameters and observables, calculated using a Markov Chain Monte Carlo  
 2083 method.

2084 All EWPO are calculated as a SM core plus corrections. The SM core includes all available higher-order  
 2085 corrections, including the latest theoretical developments in the calculation of radiative corrections to the  
 2086 EWPO of [463, 464].<sup>14</sup> New-physics corrections are computed at the leading order. The `HEPfit` code  
 2087 allows for the implementation of different models of new physics. In particular, as explained below, we  
 2088 will specialize our study to the general framework of the so called SM effective field theory (SMEFT),  
 2089 where the SM Lagrangian is extended by the addition of operators of canonical mass dimension higher  
 2090 than four (limited to the basis of operators of canonical dimension six in this study).

2091 As far as EWPO are concerned, this study updates the EWPO fit of Refs. [465–467], including recent  
 2092 updates on the theory calculations [464] and experimental measurements [148, 468–475]. We further  
 2093 reduce the uncertainties on some input parameters that have been obtained by including hadron collider  
 2094 data, in order to account for the level of accuracy expected for the HL-LHC. In all these projections we  
 2095 assume the central values for the HL-LHC measurements will not change with respect to current data.

---

<sup>14</sup>The uncertainties associated to missing higher-order corrections to the SM predictions for the EWPO are also taken into account in the fits, via nuisance parameters with Gaussian priors.

2096 In particular we have assumed that:

- 2097 1. The  $W$  mass, whose uncertainty obtained by combining ATLAS and Tevatron+LEP2 measure-  
2098 ments is currently around 12 MeV [467], could be measured at the HL-LHC with a precision of  
2099 7 MeV. This number is derived from the current estimate of the statistical plus PDF uncertainty us-  
2100 ing  $1 \text{ fb}^{-1}$  of data reported in Sec. 4.4.3, and assuming systematic errors to be of similar size to the  
2101 statistical ones. In this fit we therefore add to the current combination an HL-LHC measurement  
2102 of  $M_W = 80.379 \pm 0.007 \text{ GeV}$ .
- 2103 2. An aggressive estimate of the current uncertainty on the top-quark mass, obtained by combining  
2104 current Tevatron and LHC measurements, puts the error of  $m_t$  at the level of 0.4 GeV. It will be  
2105 difficult to further reduce this number at the HL-LHC, since the remaining uncertainty is mainly  
2106 of systematic and theoretical origin. In the current fit we use  $m_t = 172.8 \pm 0.4 \text{ GeV}$ .
- 2107 3. The measurements of the effective angle  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  can also be improved at the HL-LHC. Cur-  
2108 rently, a combination of the latest LHC and Tevatron results returns a precision for this observable  
2109 of  $\sim 0.00022 - 0.00027$ , depending on the assumptions made in combining common uncertain-  
2110 ties. For the HL-LHC fit, we repeat the combination assuming that statistical uncertainties become  
2111 subdominant. This results in a total uncertainty of  $\pm 0.00018$ . This number is consistent with pre-  
2112 liminary ATLAS results using CT14 PDFs. Using the HL-LHC PDF set this number could be  
2113 further reduced to  $\pm 0.00015$ . We therefore use  $\sin^2 \theta_{\text{eff}}^{\text{lept}} = 0.23143 \pm 0.00015$  as the input for  
2114 our fits.
- 2115 4. The error on the Higgs-boson mass, currently around 0.20 GeV, can be reduced to 0.05 GeV [476].
- 2116 5. The HL-LHC should also be able to improve the current knowledge on the  $W$  width, whose  
2117 precision of 42 MeV is currently given by the combination of LEP2 and Tevatron measurements.  
2118 This uncertainty is dominated by the hadron collider measurement. While there is no available  
2119 information about a possible determination of this quantity at the (HL-)LHC, we will use the  
2120 conservative assumption that the HL-LHC can achieve a precision on  $\Gamma_W$  at least as good as the  
2121 one on the current average. We therefore add an independent HL-LHC measurement of  $\Gamma_W =$   
2122  $2.085 \pm 0.042 \text{ GeV}$ . This gives a 30 MeV uncertainty when combined with the current average.

2123 Finally, apart from the improved precision of the HL-LHC measurements, we will assume that, by  
2124 the end of the HL-LHC run, better measurements of some of the SM input parameters are possible  
2125 from other experiments. In particular, following Ref. [465, 466], we assume that: 1) the uncertainty  
2126 on  $\Delta\alpha_{\text{had}}^{(5)}(M_Z)$  can be reduced to  $\pm 5 \times 10^{-5}$  by using data from currently ongoing and future exper-  
2127 iments that measure the cross section for  $e^+e^- \rightarrow \text{hadrons}$ , and 2) future lattice QCD measurements  
2128 will provide a determination of the strong coupling constant with accuracy  $\delta\alpha_S(M_Z) = \pm 0.0002$ . The  
2129 measurements of all other EWPO and input parameters have been kept to their currently available val-  
2130 ues. The current values of all EWPO measurements, as well as the corresponding HL-LHC projected  
2131 uncertainties, are listed in the second and third columns of Table 28, respectively.

### 2132 *Global Fit: Standard Model fit results*

2133 The results of the SM global fit to EWPO for both the present (LHC) and future (HL-LHC) scenarios are  
2134 collected in Table 28. These are given in the form of the mean and standard deviation for each of the ob-  
2135 servables, as derived from the posterior of the fits. For each EWPO we also compute the “pull”, defined  
2136 as the difference between the experimental value and the SM prediction computed by removing each  
2137 observable from the fit (not shown in the table), normalized to the total uncertainty. As it is apparent, the  
2138 differences in the posteriors between both fits are quite small. However, looking at the pulls one can see

Table 28: Current experimental measurement, HL-LHC projected uncertainty, posterior, and pull for the five input parameters ( $\alpha_s(M_Z)$ ,  $\Delta\alpha_{\text{had}}^{(5)}(M_Z)$ ,  $M_Z$ ,  $m_t$ ,  $M_H$ ), and for the main EWPO considered in the SM fit. The pulls in the last column are obtained comparing the experimental measurements with the predictions from a fit *removing* the corresponding observable(s) (See, e.g., [465] for details.).

	Measurement	HL-LHC uncertainty	Posterior		Pull Current/HL-LHC
			Current	HL-LHC	
$\alpha_s(M_Z)$	$0.1180 \pm 0.0010$	$\pm 0.0002$	$0.1180 \pm 0.0009$	$0.1180 \pm 0.0002$	0/0.5
$\Delta\alpha_{\text{had}}^{(5)}(M_Z)$	$0.027611 \pm 0.000111$	$\pm 0.00005$	$0.02758 \pm 0.00011$	$0.02759 \pm 0.00005$	1.1/2.1
$M_Z$ [GeV]	$91.1875 \pm 0.0021$		$91.1880 \pm 0.0020$	$91.1890 \pm 0.0020$	-1.3/-2.6
$m_t$ [GeV]	$172.8 \pm 0.7$	$\pm 0.4$	$173.2 \pm 0.66$	$173.1 \pm 0.38$	-1.7/-2.9
$M_H$ [GeV]	$125.13 \pm 0.17$	$\pm 0.05$	$125.13 \pm 0.17$	$125.13 \pm 0.05$	1.4/3
$M_W$ [GeV]	$80.379 \pm 0.012$	$\pm 0.007$	$80.362 \pm 0.006$	$80.367 \pm 0.004$	1.6/2.7
$\Gamma_W$ [GeV]	$2.085 \pm 0.042$	$\pm 0.042$	$2.0885 \pm 0.0006$	$2.0889 \pm 0.0003$	-0.1
$\text{BR}_{W \rightarrow \ell\nu}$	$0.1086 \pm 0.0009$		$0.10838 \pm 0.00002$	$0.10838 \pm 0.000005$	0.2
$\text{BR}_{W \rightarrow \text{had}}$	$0.6741 \pm 0.0027$		$0.67486 \pm 0.00007$	$0.67486 \pm 0.00001$	-0.3
$\sin^2 \theta_{\text{eff}}^{\text{lept}}(Q_{\text{FB}}^{\text{had}})$	$0.2324 \pm 0.0012$		$0.23151 \pm 0.00006$	$0.23150 \pm 0.00005$	0.7
$P_{\tau}^{\text{pol}} = A_{\ell}$	$0.1465 \pm 0.0033$		$0.14711 \pm 0.0005$	$0.14713 \pm 0.0004$	-0.2
$\Gamma_Z$ [GeV]	$2.4952 \pm 0.0023$		$2.4946 \pm 0.0007$	$2.4947 \pm 0.0005$	0.3
$\sigma_h^0$ [nb]	$41.540 \pm 0.037$		$41.492 \pm 0.008$	$41.491 \pm 0.006$	1.3
$R_{\ell}^0$	$20.767 \pm 0.025$		$20.749 \pm 0.008$	$20.749 \pm 0.006$	0.7
$A_{\text{FB}}^{0,\ell}$	$0.0171 \pm 0.0010$		$0.01623 \pm 0.0001$	$0.016247 \pm 0.00008$	0.9
$A_{\ell}$ (SLD)	$0.1513 \pm 0.0021$		$0.14711 \pm 0.0005$	$0.14718 \pm 0.0004$	1.9
$R_b^0$	$0.21629 \pm 0.00066$		$0.21586 \pm 0.0001$	$0.21586 \pm 0.0001$	0.7/0.6
$R_c^0$	$0.1721 \pm 0.0030$		$0.17221 \pm 0.00005$	$0.17221 \pm 0.00005$	0
$A_{\text{FB}}^{0,b}$	$0.0992 \pm 0.0016$		$0.10313 \pm 0.00032$	$0.10319 \pm 0.00026$	-2.4/-2.5
$A_{\text{FB}}^{0,c}$	$0.0707 \pm 0.0035$		$0.07369 \pm 0.00024$	$0.07373 \pm 0.0002$	-0.9
$A_b$	$0.923 \pm 0.020$		$0.93475 \pm 0.00004$	$0.93476 \pm 0.00004$	-0.6
$A_c$	$0.670 \pm 0.027$		$0.66792 \pm 0.0002$	$0.66794 \pm 0.0002$	0.1
$\sin^2 \theta_{\text{eff}}^{\text{lept}}(\text{Had.coll.})$	$0.23143 \pm 0.00027$	$\pm 0.00015$	$0.23151 \pm 0.00006$	$0.23150 \pm 0.00005$	-0.5/-0.9

2139 that, should the central values of the SM input parameters remain the same, the expected improvements  
2140 in their experimental uncertainties, combined with the more precise measurements of some EWPO at  
2141 the HL-LHC, would significantly increase the tension between the indirect determinations of  $M_Z$ ,  $m_t$ ,  
2142 and  $M_H$  from the EW fit and the corresponding experimental measurements, pushing them to the  $3\sigma$   
2143 level. The improvement in the precision on  $m_t$  would also reduce the parametric uncertainty on some  
2144 observables, e.g. the  $W$  mass, bringing the total residual error very close to the intrinsic uncertainty  
2145 associated to missing higher-order corrections in the calculation of  $M_W$ . As in the case of some of  
2146 the SM inputs, the expected improvement on the experimental precision of  $M_W$ , without a significant  
2147 deviation on the central value, would add some tension between theory and experiment, pushing the pull  
2148 for this observable well beyond the  $2\sigma$  level. The impact of the HL-LHC measurements on the EW fit  
2149 is well illustrated in Figure 48 where one can see the comparison between direct (i.e. experimental) and  
2150 indirect constraints on the fit input parameters given for both the current and HL-LHC scenarios in the  
2151  $M_W$  vs.  $m_t$  and the  $M_W$  vs.  $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  planes respectively.

### 2152 *Global Fit: constraints on new physics*

2153 The EWPO, being measured in processes mediated by the exchange of a  $Z$  or  $W$  boson, are extremely  
2154 sensitive to any new physics that modifies the propagation of such particles. This results in a universal  
2155 modification of the interactions between the EW gauge bosons and the SM fermions, which, from the  
2156 point of view of EWPO, can be described in terms of only three parameters: the well-known  $S$ ,  $T$ , and

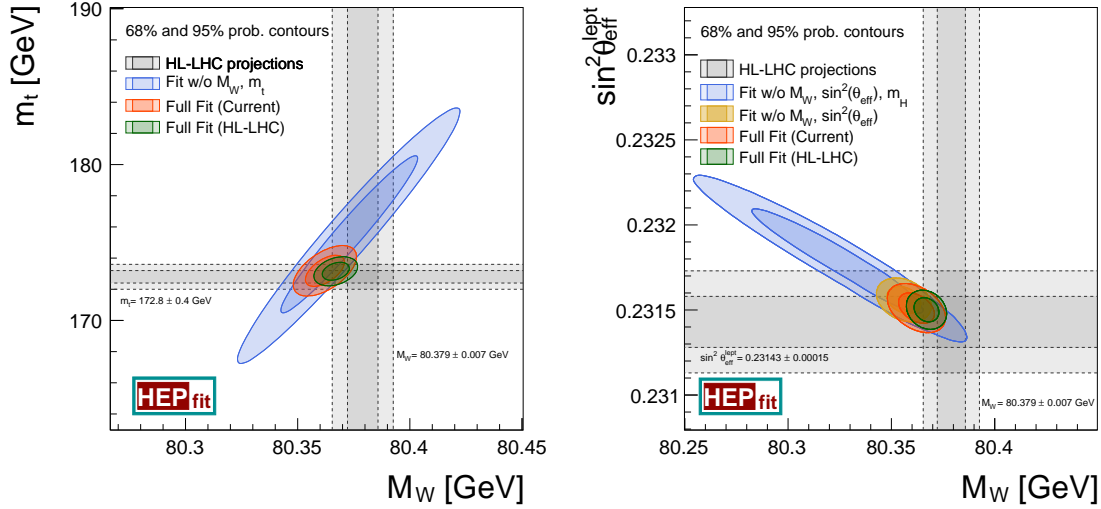


Fig. 48: Comparison of the indirect constraints on  $M_W$  and  $m_t$  with the current experimental measurements and the expected improvements at the HL-LHC (left). The same in the  $M_W$ - $\sin^2 \theta_{\text{eff}}^{\text{lept}}$  plane (right).

2157  $U$  oblique parameters [477]. The study of the constraints on the  $S$ ,  $T$ , and  $U$  parameters is one of the  
 2158 classical benchmarks in the study of EW precision constraints on new physics, and it is well motivated  
 2159 from a theory point of view, within the context of universal theories. The results of the fit to the  $S$ ,  $T$ ,  
 2160 and  $U$  parameters are given in Table 29. We show the results in terms of the full  $(S, T, U)$  fit and also  
 2161 assuming  $U = 0$ , which is motivated in theories where EW symmetry breaking is realised linearly, since  
 2162 in that case  $U \ll S, T$ . In both cases the current constraints are compared with the expected precision  
 2163 at the HL-LHC, which, in some cases, could improve the sensitivity to such new physics effects by up  
 2164 to  $\sim 30\%$ . The results for the  $ST$  fit ( $U = 0$ ) are shown in Figure 49, illustrating also the constraints  
 2165 imposed by the different EWPO.

Table 29: Results of the fit for the oblique parameters  $S$ ,  $T$ ,  $U$ ; and  $S$ ,  $T$  ( $U = 0$ ). Projections for the uncertainties at the HL-LHC are given in the last column.

	Result	Correlation Matrix			Precision at HL-LHC
$S$	$0.04 \pm 0.10$	1.00			0.09
$T$	$0.08 \pm 0.12$	0.90	1.00		0.12
$U$	$0.00 \pm 0.09$	-0.62	-0.84	1.00	0.08
$S$	$0.04 \pm 0.08$	1.00			0.06
$T$	$0.08 \pm 0.06$	0.90	1.00		0.05
( $U = 0$ )					

2166 As stressed above, the  $STU$  parameterisation only describes universal deformations with respect to the  
 2167 SM predictions. In order to systematically explore the impact of global EW precision fits on new physics,  
 2168 we will adopt in what follows the framework of the SMEFT. In this formalism, the SM Lagrangian is  
 2169 extended via operators of dimension five and higher, i.e.

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum_{d>4} \frac{1}{\Lambda^{d-4}} \mathcal{L}_d, \quad \text{with } \mathcal{L}_d = \sum_i C_i \mathcal{O}_i^{(d)}, \quad [\mathcal{O}_i^{(d)}] = d, \quad (28)$$

where  $\Lambda$  denotes the cut-off scale of the SMEFT. This new physics scale introduces a first hierar-

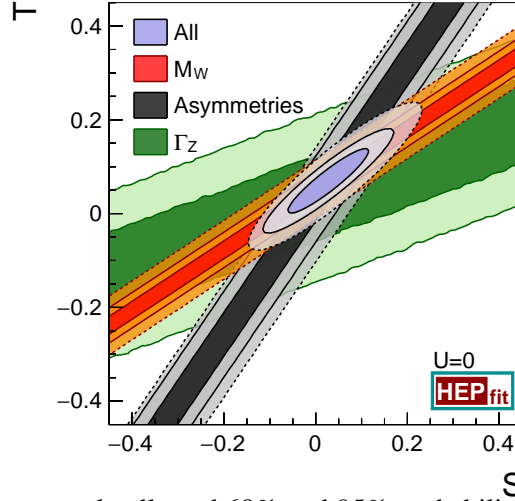


Fig. 49: Comparison of the currently allowed 68% and 95% probability regions in the  $S, T$  fit ( $U = 0$ ) (dashed contours) with the HL-LHC projections (solid contours). The different bands illustrate the bounds from the different EWPO included in the fit and the projected improvements at the HL-LHC.

chical ordering between contributions of operators of lower versus higher dimension, where higher-dimension operators are suppressed by inverse powers of  $\Lambda$ . Each term in  $\mathcal{L}_d$  is a linear combination of  $d$ -dimensional operators  $O_i^{(d)}$  built in terms of SM fields, with Wilson coefficients  $C_i$  that can depend on both SM masses and couplings, as well as new physics parameters. For the analysis of EWPO the leading new physics corrections come from dimension-six operators ( $\mathcal{L}_6$ ). We will limit our study to this order in the effective theory expansion. Using the complete basis of dimension-six interactions presented in Ref. [478], the  $Z$ -pole and  $W$  observables in Table 28 are corrected at the leading order by 10 different operators. The bosonic operators

$$\mathcal{O}_{\phi D} = |\phi^\dagger D^\mu \phi|^2 \quad \text{and} \quad \mathcal{O}_{\phi WB} = (\phi^\dagger \sigma_a \phi) W_{\mu\nu}^a B^{\mu\nu},$$

modify the gauge-boson propagators in a way similar to the  $T$  and  $S$  parameters, respectively. Among the remaining operators,

$$\mathcal{O}_{\phi\psi}^{(1)} = (\phi^\dagger \overleftrightarrow{D}^\mu \phi) (\bar{\psi} \gamma_\mu \psi) \quad \text{and} \quad \mathcal{O}_{\phi F}^{(3)} = (\phi^\dagger \sigma_a \overleftrightarrow{D}^\mu \phi) (\bar{F} \gamma_\mu \sigma_a F),$$

2170 with  $\psi = l, e, q, u, d$  and  $F = l, q$  (where  $l$  and  $q$  denote the SM left-handed fermion doublets,  $e, u, d$   
2171 the SM right-handed fermion singlets, and flavor universality is assumed), correct, upon EW symmetry  
2172 breaking, the EW couplings of the  $Z$  and  $W$  bosons to quarks and leptons. Finally, the four-lepton  
2173 operator  $\mathcal{O}_l = (\bar{l} \gamma_\mu l) (\bar{l} \gamma^\mu l)$  modifies the muon decay amplitude and, by affecting the extraction of the  
2174 Fermi constant, propagates its effect to all the different observables considered in the EW global fit.

2175 The aim of a global fit to EWPO data is to constrain the corresponding Wilson coefficients. Of the  
2176 ten operators considered, only eight combinations can be constrained using EW precision data in the  
2177 case of flavour universal couplings. This means that in the basis of [478] there are two flat directions  
2178 which, for simplicity, we lift by performing a field redefinition to exchange  $C_{\phi D}$  and  $C_{\phi WB}$  with two  
2179 interactions that do not enter in EWPO. The results of the fit to EWPO using the projected HL-LHC data  
2180 are shown in Figure 50, both for the case in which the eight remaining coefficients are active and fitted  
2181 simultaneously and for the case in which only one coefficient at a time is active and independently fitted.  
2182 The results of both fits are also summarised in Table 30 where the HL-LHC bounds are additionally  
2183 compared to current bounds. We can see that the HL-LHC could improve the current bounds on some of

2184 the considered Wilson coefficients by up to a 10-30%, although for most coefficients the effect is much  
 2185 milder both when different effective interactions are fitted simultaneously and individually.

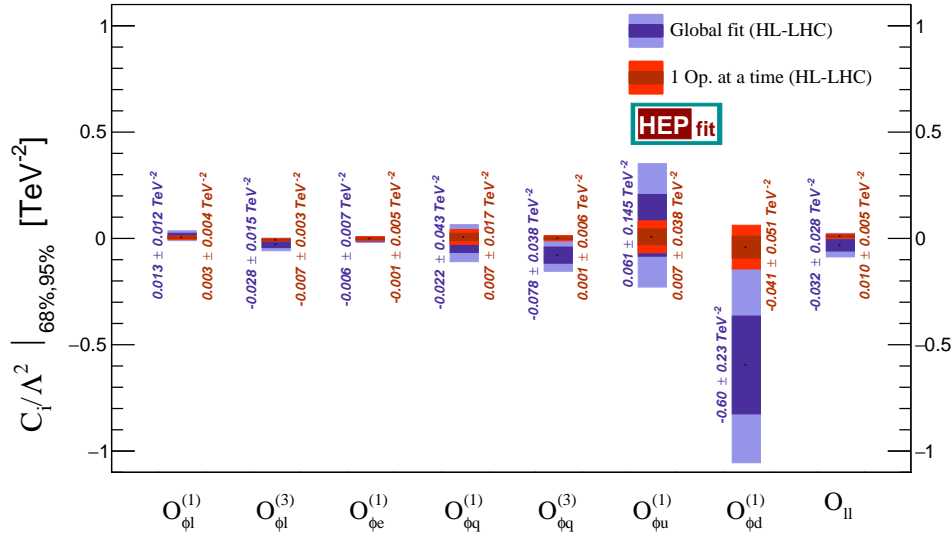


Fig. 50: 68% and 95% probability limits on the dimension-six operator coefficients  $C_i/\Lambda^2$  [TeV<sup>-2</sup>] from the global fit to EWPO at HL-LHC including all operators (in blue), compared with the limits obtained assuming only one operator at a time (in red). See Table 30 for the comparison with current uncertainties.

Table 30: Results of the fit to the coefficients of the SMEFT dimension-six Lagrangian. The uncertainties shown refer to the fit performed assuming the presence of only one effective operator at a time and to the case when all (eight) operators are active at the same time (global fit). Projections for the uncertainties at the HL-LHC are given in the last two columns. Result shown for the ratios  $\bar{C}_i \equiv C_i/\Lambda^2$ . See text for details.

Operator Coefficient	Current uncertainty [TeV <sup>-2</sup> ]		Precision at HL-LHC [TeV <sup>-2</sup> ]	
	1 op. at a time	Global fit	1 op. at a time	Global fit
$\bar{C}_{\phi l}^{(1)}$	0.004	0.012	0.004	0.012
$\bar{C}_{\phi q}^{(1)}$	0.018	0.044	0.017	0.043
$\bar{C}_{\phi e}$	0.005	0.009	0.005	0.007
$\bar{C}_{\phi u}$	0.040	0.146	0.038	0.145
$\bar{C}_{\phi d}$	0.054	0.237	0.051	0.230
$\bar{C}_{\phi l}^{(3)}$	0.004	0.017	0.003	0.015
$\bar{C}_{\phi q}^{(3)}$	0.007	0.040	0.006	0.038
$\bar{C}_u$	0.007	0.028	0.005	0.028
$\bar{C}_{\phi WB}$	0.003	–	0.002	–
$\bar{C}_{\phi D}$	0.007	–	0.005	–



## 2186 5 Strong interactions

### 2187 5.1 Jet and photon production

2188 We perform a phenomenological study of inclusive jet and photon production at hadron colliders. We  
2189 investigate in particular the reach in cross section for these processes at future upgrades at the High-  
2190 Luminosity and High-Energy stages of the Large Hadron Collider (LHC). We assess the experimental  
2191 conditions that can be achieved for three HL-LHC scenarios discussed below. Theoretical predictions  
2192 are obtained using perturbative calculations in QCD. In order to assess the perturbative uncertainty of  
2193 the calculations, we perform independent variations of the renormalization and factorization scales by  
2194 factors of 1/2 and 2 with the constraint  $1/2 \leq \mu_R/\mu_F \leq 2$ . The resulting scale uncertainty band for  
2195 the perturbative QCD prediction is obtained from the envelope of these 7 points. A comparison between  
2196 the results obtained at the future design center-of-mass energies of  $\sqrt{s} = 14$  TeV and  $\sqrt{s} = 27$  TeV is  
2197 presented.

#### 2198 5.1.1 Inclusive jet production

2199 Jets are reconstructed using the anti- $k_t$  algorithm [233] with distance parameter  $R=0.4$  as implemented  
2200 in the FastJet software package [303], and calibrated following the procedure described in [479]. The  
2201 total jet energy scale (JES) uncertainty in Run-2 measurements is compiled from 88 sources, and all  
2202 need to be propagated through the analysis in order to correctly account for uncertainty correlations in  
2203 the jet calibration in the final result.

2204 A reduced set of uncertainty components (nuisance parameters) is derived from eigenvectors and  
2205 eigenvalues of the diagonalised total JES covariance matrix on the jet-level. The globally reduced con-  
2206 figuration with 19 nuisance parameters (NPs) is used in this study. Eight NPs coming from the in situ  
2207 techniques are related to the detector description, physics modelling and measurements of the  $Z/\gamma$  en-  
2208 ergies in the ATLAS calorimeters. Three describe the physics modelling, the statistics of the dijet MC  
2209 sample and the non-closure of the method used to derive the  $\eta$ -intercalibration [479]. Single-hadron  
2210 response studies [480] are used to describe the JES uncertainty in the high- $p_T$  jet regions, where the  
2211 in situ studies have limited statistics. Four NPs are due to the pile-up corrections of the jet kinematics  
2212 that take into account mis-modelling of  $N_{PV}$  and  $\langle\mu\rangle$  distributions, the average energy density  $\rho$ , and  
2213 the residual  $p_T$  dependence. Finally, two uncertainty components take into account the difference in the  
2214 calorimeter response to the quark- and gluon-initiated jets (flavour response) and the jet flavour compo-  
2215 sition, and one uncertainty estimates the correction for the energy leakage beyond the calorimeter, the  
2216 ”punch-through“ effect.

2217 In order to estimate the precision in the jet cross section measurements at the HL-LHC, three  
2218 scenarios of possible uncertainties in the jet energy scale calibration are defined.

2219 In all three scenarios, the high- $p_T$  uncertainty, the punch-through uncertainty and the flavour  
2220 composition uncertainty are considered to be negligible. The JES uncertainty in the high- $p_T$  range will  
2221 be accessed using the multi-jet balance (MJB) method, rather than single hadron response measurements,  
2222 since the high statistics at the HL-LHC will allow precision JES measurements in the high- $p_T$  region.  
2223 Flavour composition and flavour response uncertainties are derived from the MC generators. With the  
2224 advances in the MC modelling and tunes development, these uncertainties could be significantly reduced.  
2225 The flavour composition uncertainties in the jet energies measurements are set to zero to highlight the  
2226 maximal possible effect of progress in parton shower and hadronisation modeling on the precision of the  
2227 jet energy measurements. The flavour response uncertainties are kept the same as in Run-2 or reduced  
2228 by a factor of two in conservative and optimistic scenarios, respectively.

2229 The pile-up uncertainties, except the  $\rho$  topology uncertainty, are considered to be negligible. Cur-  
2230 rent small uncertainties in the JES due to mis-modelling of  $N_{PV}$  and  $\langle\mu\rangle$  distributions and the residual  
2231  $p_T$  dependence lead to a very small uncertainties at the HL-LHC conditions. With the advances of new

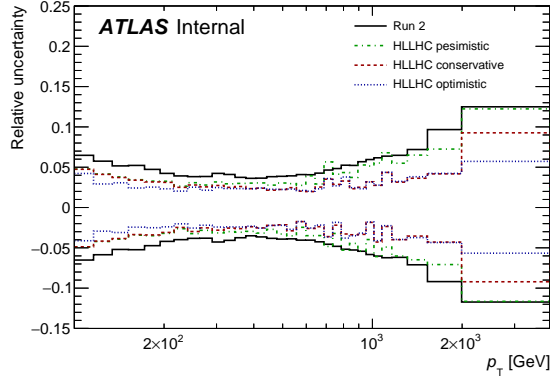


Fig. 51: Relative uncertainties in the inclusive jet cross section measurements at the HL-LHC due to the JES uncertainties. Three HL-LHC scenarios are compared to the Run-2 performance. Black line corresponds to the Run-2 performance. Green, red and blue lines represent pessimistic, conservative and optimistic scenarios, respectively.

2232 pile-up rejection techniques, the  $\rho$  topology uncertainty could be maintained at a level comparable to the  
 2233 one in Run-2 or reduced by a factor of two. This is addressed in conservative and optimistic scenarios.

2234 Since the Run-2 jet energy resolution (JER) uncertainty estimation is conservative, the final Run-2  
 2235 JER uncertainty is expected (based on Run-1 experience) to be about twice as small as the current one.  
 2236 Therefore, the JER uncertainty is estimated to be half of that in Run-2.

2237 The remaining uncertainty sources are fixed in different scenarios as follows:

2238 – Conservative scenario:

2239 – All in situ components are kept the same as in Run-2, except the uncertainties related to  
 2240 the photon energy measurement in the high- $E_T$  range and the MJB method uncertainties.  
 2241 Relevant uncertainties are reduced by a factor of two, since those are expected to be improved  
 2242 at the HL-LHC;

2243 – MC modelling uncertainty in the  $\eta$ -intercalibration is reduced by a factor of two while the  
 2244 other two are neglected. Currently, MC modelling uncertainty is derived by comparison of  
 2245 leading-order (LO) pQCD generators. With future advances in next-to-leading-order MC  
 2246 generators this uncertainty will be improved;

2247 – Flavour response uncertainty is set to the Run-2 value;

2248 –  $\rho$ -topology uncertainty is unchanged compared to Run-2 results;

2249 – Optimistic scenario:

2250 – All in situ components are treated identically to the conservative scenario;

2251 – All three uncertainty sources in the  $\eta$ -intercalibration method are set to zero;

2252 – Flavour response uncertainty is reduced by a factor of two compared to Run-2 results;

2253 –  $\rho$ -topology uncertainty is two times smaller as in Run-2;

2254 – Pessimistic scenario:

2255 – same as optimistic scenario, but all uncertainty sources of in situ methods are retained from  
 2256 Run-2.

2257 All components of the JES uncertainty are propagated from the jet-level to the cross section level  
 2258 as follows. The jet  $p_T$  is scaled up and down by one standard deviation of each source of uncertainty.

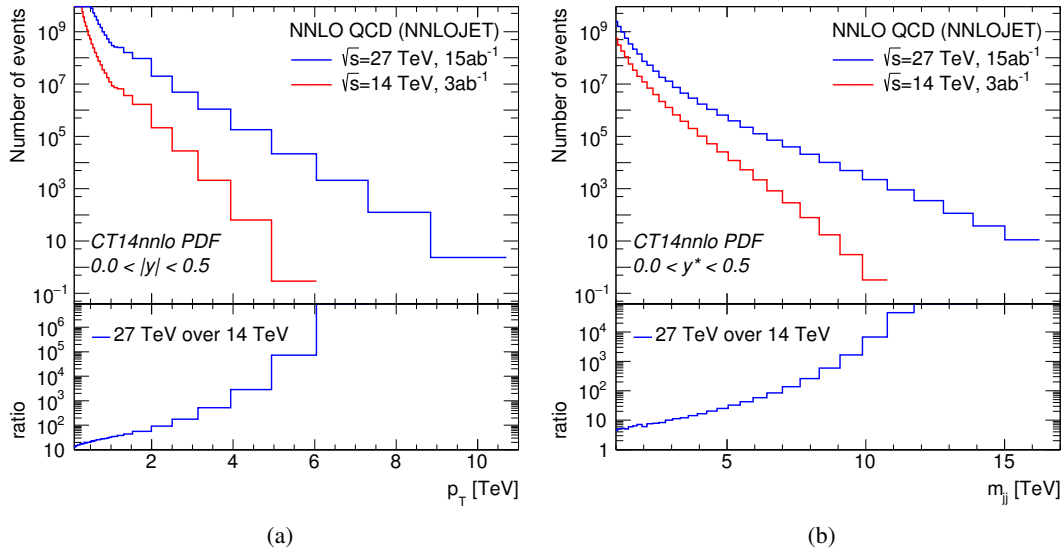


Fig. 52: Predicted number of inclusive jet events as a function of the jet  $p_T$  (left) and dijet events as a function of dijet mass  $m_{jj}$  (right) at NNLO, assuming an integrated luminosity of  $3 ab^{-1}$  ( $15 ab^{-1}$ ) of  $pp$  collision data at  $\sqrt{s}=14$  TeV ( $\sqrt{s}=27$  TeV).

2259 The difference between the nominal reco-level spectrum and the systematically shifted one is taken as a  
 2260 systematic uncertainty. All JES uncertainties are treated as bin-to-bin correlated and independent from  
 2261 each other in this procedure. The unfolding of the reconstruction-level distributions to the particle-level  
 2262 spectrum is not performed in this study. A possible modification of the shapes of uncertainty components  
 2263 during the unfolding procedure is expected to be small and neglected in this study.

2264 The inclusive jet cross-sections are studied as a function of the jet transverse momentum for jets  
 2265 with  $p_T > 100$  GeV and within  $|y| < 3$ . The total JES uncertainty in the inclusive jet cross section  
 2266 measurement for the three HL-LHC scenarios is depicted in Figure 51 and is compared to the total JES  
 2267 uncertainty estimate for the Run-2 jet cross section measurements. Total JES uncertainty in the low  $p_T$   
 2268 range is same as in Run-2 and is about 2% better in the high- $p_T$  region. In conservative and pessimistic  
 2269 scenarios JES uncertainties in the cross section are very similar in the intermediate and high- $p_T$  range,  
 2270 while JES uncertainty is about 1% better in the low- $p_T$  range for the optimistic scenario.

2271 The predicted number of events estimated using the program NNLOJET [285], which includes  
 2272 next-to-next-to-leading order QCD calculations for both single jet inclusive [164] and dijet inclusive [19]  
 2273 production is shown in Figure 52 (left) and (right) respectively. In the dijet analysis, a second jet with  
 2274  $p_T > 75$  GeV is required in the event. The lower panels show the ratio 27 TeV/14 TeV. In the ratio plot  
 2275 we observe an enhancement of the cross section growing with the jet  $p_T$  (left) and dijet mass (right). In  
 2276 summary, assuming  $\mathcal{L}_{int} = 3 ab^{-1}$  of  $pp$  collision data at  $\sqrt{s}=14$  TeV the  $p_T$  reach of the measurement  
 2277 is 5 TeV with the observation of dijet events of mass up to 9 TeV. At the HE-LHC upgrade, an increase  
 2278 in cross section by a factor between  $10^3$  and  $10^6$  in the tails of the distributions extends the  $p_T$  range of  
 2279 the measurement by a factor of 2 up to 9 TeV with the observation of dijet events of mass up to 16 TeV  
 2280 reachable.

2281 The increase in cross section in these scenarios will allow for a very precise multi-differential  
 2282 measurement of inclusive jet production. Working at a fixed center-of-mass energy, we probe at high-  
 2283  $p_T$  the high- $x$  and the large  $Q^2$  region which increases our sensitivity to higher order QCD/EW effects  
 2284 and BSM signals. On the other hand, at fixed- $p_T$ , an increase in the collider energy and a focus on the

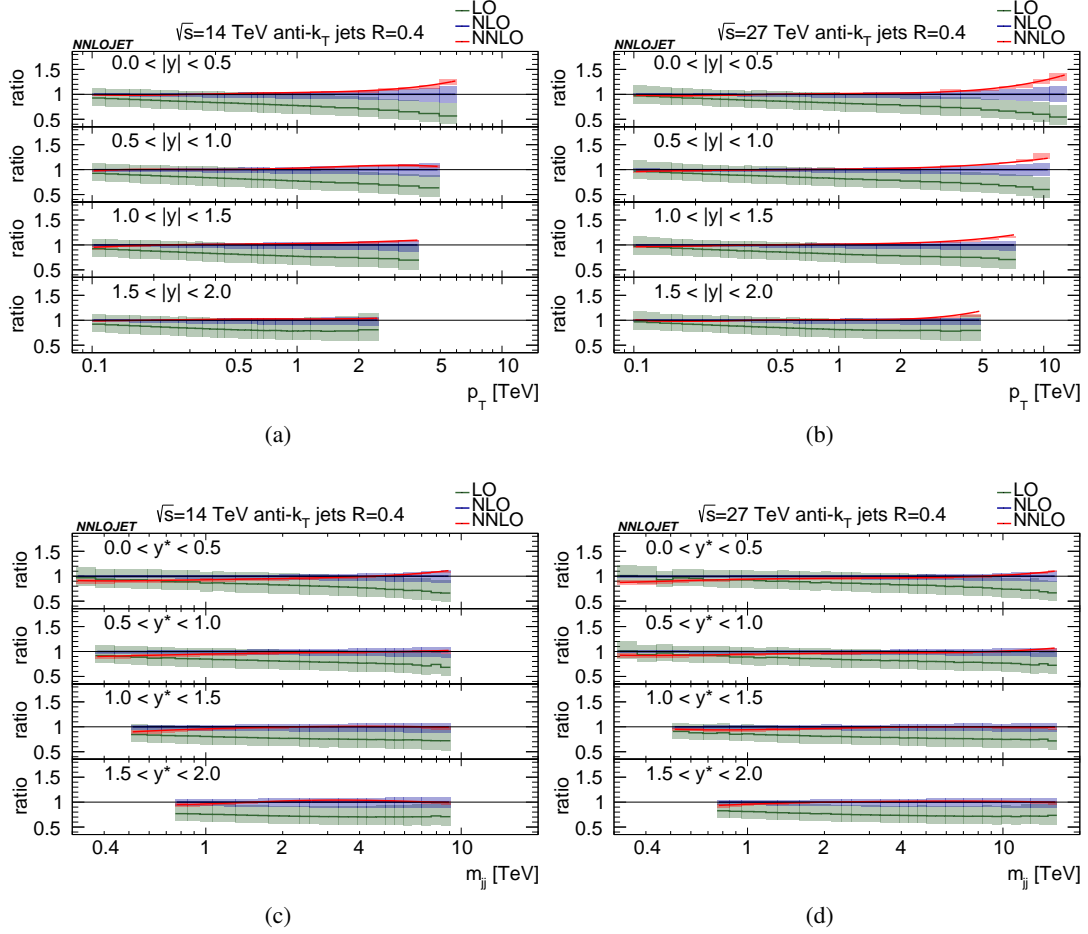


Fig. 53: Predictions for the inclusive jet  $p_T$  top and dijet mass  $m_{jj}$  (bottom) at LO (green), NLO (blue) and NNLO (red) at (a)  $\sqrt{s} = 14$  TeV and (b)  $\sqrt{s} = 27$  TeV normalised to the NLO result.

2285 forward region increases the coverage in the low- $x$  region, where we are sensitive to the role of small- $x$   
 2286 resummation effects. For these reasons, it will be necessary to have accurate jet predictions covering  
 2287 both regions.

2288 To this end we present in Fig. 53 the double-differential  $k$ -factors at  $\sqrt{s} = 14$  TeV (left) and  
 2289  $\sqrt{s} = 27$  TeV (right) for the inclusive jet  $p_T$  (top), differentially in  $p_T$  and rapidity  $|y|$  and dijet mass  
 2290 (bottom) differentially in dijet mass  $m_{jj}$  and rapidity difference  $y^* = 1/2 |y_{j1} - y_{j2}|$ . The shaded bands  
 2291 assess the scale uncertainty at each perturbative order, LO (green), NLO (blue) and NNLO (red). As for  
 2292 the value of the renormalization ( $\mu_R$ ) and factorization ( $\mu_F$ ) scales we use  $\mu = \hat{H}_T$ , the scalar sum of  
 2293 the  $p_T$  of all partons in the event as recommended in [291] for the inclusive jet  $p_T$ , and the dijet mass  
 2294  $\mu = m_{jj}$  for the dijet mass distribution as recommended in [19].

2295 For the inclusive jet  $p_T$  we observe large NLO effects at high- $p_T$  and central rapidity of approxi-  
 2296 mately 90% (14 TeV) and 50% (27 TeV), with large NLO scale uncertainties of  $\mathcal{O}(20-30\%)$ . At NNLO  
 2297 we observe moderate corrections across the entire  $p_T$  and rapidity range, except at high- $p_T$  in the central  
 2298 rapidity slices where the NNLO effects can reach between 10 to 30%. We observe an excellent conver-  
 2299 gence of the perturbative result and a significant reduction in the scale uncertainty of the cross section  
 2300 when going from NLO to NNLO. We estimate NNLO scale uncertainties at the  $< 5\%$  level. Similarly  
 2301 to the inclusive jet  $p_T$  case we observe an excellent convergence of the perturbative result for the dijet

2302 mass. The NNLO/NLO  $k$ -factors are typically  $< 10\%$  and alter the shape of the prediction at low  $m_{jj}$   
 2303 and low  $y^*$ . We generally observe a large reduction in the scale variation and estimate NNLO scale  
 2304 uncertainties at the  $< 5\%$  level even at large  $m_{jj}$ . We note that scale uncertainties at this level are well  
 2305 below the PDF uncertainty, highlighting the huge potential to constrain PDFs with inclusive jet data.

2306 Measurements of weak bosons [481], top quarks [482], photon and jet production [483] (and  
 2307 many others) performed by the ATLAS collaborations have been already used by the global PDF groups  
 2308 [157, 158, 165, 484] in the determination of the proton structure. Comparisons of inclusive jet and dijet  
 2309 production cross sections using different PDF sets at  $\sqrt{s} = 14$  and 27 TeV, show 5–10% differences  
 2310 respectively between central values in the low and intermediate  $p_T$  and  $m_{jj}$  regions, consistent with  
 2311 current PDF uncertainties. Larger differences between the predictions of the various PDF sets in the  
 2312 high- $p_T$  and  $m_{jj}$  range highlight the expected constraining power of future measurements at the HL-  
 2313 LHC and HE-LHC.

2314 A study to estimate the impact of future PDF-sensitive measurements at the HL-LHC on PDFs  
 2315 determination was performed in [257]. Three possible scenarios for the experimental systematic uncer-  
 2316 tainties were considered. This study concluded that HL-LHC measurements will further reduce the PDF  
 2317 uncertainties, and published dedicated PDF sets, PDF4LHC HL-LHC, with the inclusion of HL-LHC  
 2318 pseudo-data in the fits. Figure 54 depicts the comparison of PDF uncertainties in the inclusive jet and di-  
 2319 jet production cross sections for CT14 and PDF4LHC HL-LHC (conservative scenario) in  $pp$  collisions  
 2320 at  $\sqrt{s} = 14$  (left) and 27 TeV (right). A significant reduction in the PDF uncertainty is expected with  
 2321 the inclusion of PDF-sensitive measurements in HL-LHC PDF fits.

### 2322 5.1.2 Inclusive $b$ -jets at the HL-LHC

2323 Measurements of high- $p_T$  jets originated from  $b$ -quarks are sensitive to the higher-order corrections, par-  
 2324 ton shower modeling and the parton densities of the proton. In Fig. 55 (left), the inclusive  $b$ -jet cross sec-  
 2325 tion differential in  $p_T$  is shown for centre-of-mass energy of 13 and 14 TeV and rapidity  $|y| < 0.5$ . The  
 2326 depicted statistical uncertainties correspond to the luminosity  $300 \text{ fb}^{-1}$  (13 TeV) and  $3 \text{ ab}^{-1}$  (14 TeV).  
 2327 The systematic uncertainty of the measurement is dominated by the jet energy scale uncertainty which is  
 2328 of similar size as for inclusive jets and the  $b$ -tagging uncertainty which is expected to play a role mainly  
 2329 at higher  $p_T$  where it is about 10%. It can be seen that the  $p_T$  reach at HL-LHC for the inclusive  $b$ -jets  
 2330 is about 3 TeV, where about 30 events with  $p_T > 3$  TeV are expected.

2331 It is worth noticing that at high- $p_T$  the mass of the  $b$ -quark is nearly negligible with respect to  
 2332 the jet momentum. This leads to the high probability that the  $b$ -quark is not produced in the hard sub-  
 2333 process, but in the parton shower. As the mass of the  $b$  becomes negligible, the probability of gluon  
 2334 splitting into  $b\bar{b}$ -pairs is similar to any other flavour (excluding top). In this case, we expect to see the  
 2335 pair of the  $B$ -hadrons inside the  $b$ -jet, where one consists of a  $b$ -quark, the second a  $\bar{b}$ . The fraction of  
 2336 such jets as a function of  $p_T$  as predicted by PYTHIA 8 MC is shown on Fig. 55 (right). In the future, it  
 2337 will be crucial to disentangle between  $b$ -jets with  $b$ -produced in the shower, and  $b$ -jets with  $b$ -produced  
 2338 in the hard sub-process.

### 2339 5.1.3 Inclusive photon production

2340 The study of photon production is done via the analysis of inclusive isolated photons and that of photon  
 2341 production in association with at least one jet. In both analyses the photon is required to have a transverse  
 2342 energy in excess of 400 GeV and the pseudorapidity to lie in the range  $|\eta^\gamma| < 2.37$  excluding the region  
 2343  $1.37 < |\eta^\gamma| < 1.56$ . The photon is required to be isolated by imposing an upper limit on the amount  
 2344 of transverse energy inside a cone of size  $\Delta R = 0.4$  in the  $\eta$ - $\phi$  plane around the photon, excluding the  
 2345 photon itself:  $E_T^{\text{iso}} < E_{T,\text{max}}^{\text{iso}}$ .

2346 In the inclusive photon analysis, the goal is the measurement of the differential cross section

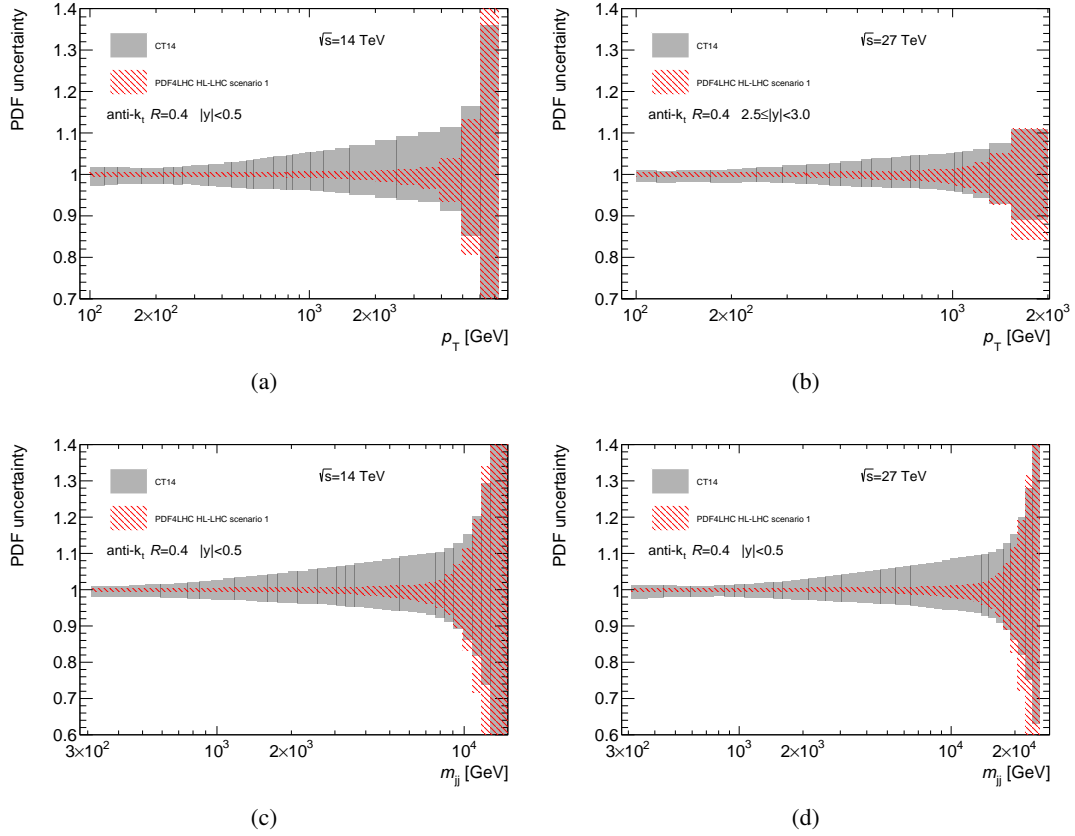


Fig. 54: Comparison of PDF uncertainty in the inclusive jet (a,b) and dijet (c,d) cross sections calculated using the CT14 PDF and PDF4LHC HL-LHC [257] sets at  $\sqrt{s} = 14$  TeV (left) and  $\sqrt{s} = 27$  TeV (right).

2347 as a function of  $E_T^\gamma$  in four regions of the photon pseudorapidity:  $|\eta^\gamma| < 0.6$ ,  $0.6 < |\eta^\gamma| < 1.37$ ,  
 2348  $1.56 < |\eta^\gamma| < 1.81$  and  $1.81 < |\eta^\gamma| < 2.37$ . Photon isolation is enforced by requiring  $E_T^{\text{iso}} <$   
 2349  $4.2 \cdot 10^{-3} \cdot E_T^\gamma + 4.8$  GeV.

2350 In the photon+jet analysis, jets are reconstructed using the anti- $k_t$  algorithm [233] with a radius  
 2351 parameter  $R = 0.4$ . Jets overlapping with the photon are not considered if the jet axis lies within a cone  
 2352 of size  $\Delta R = 0.8$ . The leading jet is required to have transverse momentum above 300 GeV and rapidity  
 2353 in the range  $|y^{\text{jet}}| < 2.37$ . No additional condition is used for the differential cross section as a function  
 2354 of  $p_T^{\text{jet}}$ . For the differential cross section as a function of the invariant mass of the photon+jet system  
 2355 additional constraints are imposed:  $m^{\gamma\text{-jet}} > 1.45$  TeV,  $|\cos \theta^*| < 0.83$  and  $|\eta^\gamma \pm y^{\text{jet}}| < 2.37$ . These  
 2356 additional constraints are imposed to remove the bias due to the rapidity and transverse-momentum  
 2357 requirements on the photon and the leading jet [485, 486]. Photon isolation is enforced by requiring  
 2358  $E_T^{\text{iso}} < 4.2 \cdot 10^{-3} \cdot E_T^\gamma + 10$  GeV.

2359 The yields of inclusive isolated photons and of photon+jet events are estimated using the pro-  
 2360 gram JETPHOX 1.3.1\_2 [487, 488]. This program includes a full next-to-leading-order QCD calculation  
 2361 of both the direct-photon and fragmentation contributions to the cross sections for the  $pp \rightarrow \gamma + X$  and  
 2362  $pp \rightarrow \gamma + \text{jet} + X$  reactions. The number of massless quark flavours is set to five. The renormalisation  
 2363 ( $\mu_R$ ), factorisation ( $\mu_F$ ) and fragmentation ( $\mu_f$ ) scales are chosen to be  $\mu_R = \mu_F = \mu_f = E_T^\gamma$ . The cal-  
 2364 culations are performed using the MMHT2014 [489] parameterisations of the proton parton distribution  
 2365 functions (PDFs) and the BGF set II of parton-to-photon fragmentation functions at NLO [490]. The



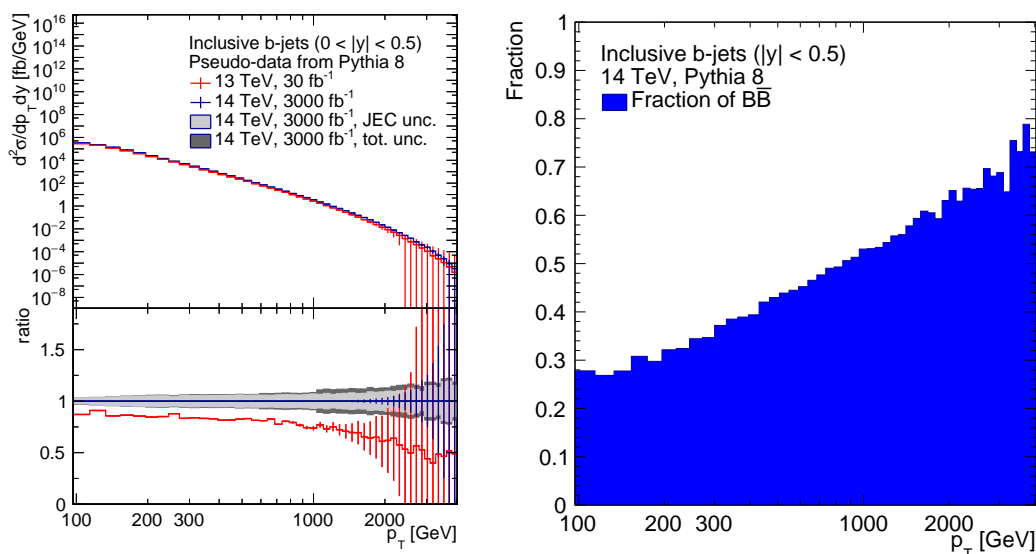


Fig. 55: The inclusive  $b$ -jet cross section differential in the  $p_T$  (left). The error bars show the statistical uncertainty corresponding to the given luminosity, while the gray band represent the systematic uncertainty from the jet-energy-scale and the total systematic uncertainty. The fraction of  $b$ -jets containing both  $B$  and  $\bar{B}$  hadrons as a function of  $p_T$  (right).

2366 strong coupling constant  $\alpha_s(m_Z)$  is set to the value assumed in the fit to determine the PDFs. The reli-  
 2367 ability of the estimated yields using the program JETPHOX is supported by the high purity of the signal  
 2368 photons, the mild unfolding corrections and the fact that the NLO QCD predictions describe adequately  
 2369 the measurements of these processes using  $pp$  collisions at  $\sqrt{s} = 13$  TeV [491,492].

2370 The predicted number of inclusive isolated photon events as a function of  $E_T^\gamma$  in the different  
 2371 ranges of  $|\eta^\gamma|$  assuming an integrated luminosity of  $3 \text{ ab}^{-1}$  ( $15 \text{ ab}^{-1}$ ) of  $pp$  collision data at  $\sqrt{s} =$   
 2372 14 TeV (27 TeV) is shown in Fig. 56a (56b). For HL-LHC (HE-LHC), the reach in  $E_T^\gamma$  is (a) 3–  
 2373 3.5 (5) TeV for  $|\eta^\gamma| < 0.6$ , (b) 2.5–3 (5) TeV for  $0.6 < |\eta^\gamma| < 1.37$ , (c) 1.5–2 (3–3.5) TeV for  
 2374  $1.56 < |\eta^\gamma| < 1.81$  and (d) 1–1.5 (2.5–3) TeV for  $1.81 < |\eta^\gamma| < 2.37$ . This represents a significant  
 2375 extension of the region measured so far with  $pp$  collisions at  $\sqrt{s} = 13$  TeV [491]; as an example, at the  
 2376 HL-LHC (HE-LHC) the  $E_T^\gamma$  reach is extended from 1.5 TeV to 3–3.5 (5) TeV for  $|\eta^\gamma| < 0.6$ .

2377 The predicted number of photon+jet events as a function of  $p_T^{\text{jet}}$  and  $m^{\gamma\text{-jet}}$  assuming an in-  
 2378 tegrated luminosity of  $3 \text{ ab}^{-1}$  ( $15 \text{ ab}^{-1}$ ) of  $pp$  collision data at  $\sqrt{s} = 14$  TeV (27 TeV) is shown in  
 2379 Figs. 56c and 56d. In comparison with the latest measurements [492], the expectations obtained at the  
 2380 HL-LHC (HE-LHC) extend significantly the reach in  $p_T^{\text{jet}}$  from 1.5 TeV to 3.5 (5) TeV and  $m^{\gamma\text{-jet}}$  from  
 2381 3.3 TeV to 7 (12) TeV.

### 2382 5.1.4 Diphoton production

2383 The production of photon pairs (diphotons) with high invariant mass is a very relevant process for physics  
 2384 studies at high-energy hadron colliders. Photons are very clean final states and photon energies and mo-  
 2385 menta can be measured with high precision in modern electromagnetic calorimeters. Therefore *prompt*  
 2386 photons represent ideal probes to test the properties of the Standard Model (SM) [493]– [494] and they  
 2387 are also important in searches for new-physics signals (see, e.g., Refs. [495]– [496]). Owing to the above  
 2388 reasons, it is important to provide accurate theoretical predictions for diphoton production at LHC en-  
 2389 ergies. This task requires in particular, the calculation of QCD and EW radiative corrections at high



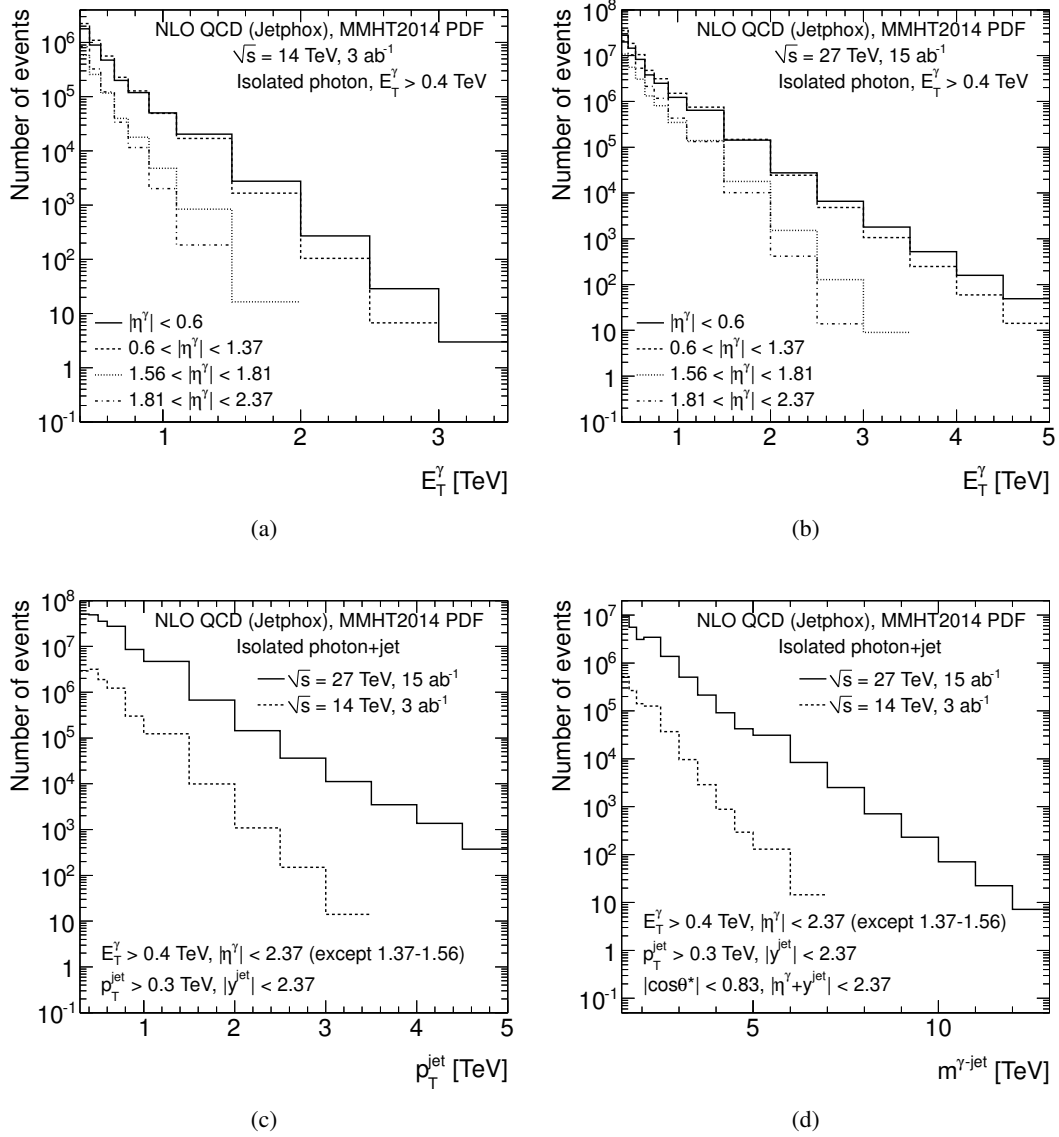


Fig. 56: (a,b) Predicted number of inclusive isolated photon events as a function of  $E_T^\gamma$  assuming an integrated luminosity of  $3 \text{ ab}^{-1}$  ( $15 \text{ ab}^{-1}$ ) of  $pp$  collision data at  $\sqrt{s} = 14 \text{ TeV}$  ( $27 \text{ TeV}$ ) in different ranges of photon pseudorapidity:  $|\eta^\gamma| < 0.6$  (solid histogram),  $0.6 < |\eta^\gamma| < 1.37$  (dashed histogram),  $1.56 < |\eta^\gamma| < 1.81$  (dotted histogram) and  $1.81 < |\eta^\gamma| < 2.37$  (dot-dashed histogram). (c,d) Predicted number of photon+jet events assuming an integrated luminosity of  $3 \text{ ab}^{-1}$  ( $15 \text{ ab}^{-1}$ ) of  $pp$  collision data at  $\sqrt{s} = 14 \text{ TeV}$  ( $27 \text{ TeV}$ ) as a function of (c)  $p_T^{\text{jet}}$  and (d)  $m^{\gamma\text{-jet}}$ .

2390 perturbative orders.

2391 In this contribution we consider diphoton production in  $pp$  collisions at the  $\sqrt{s} = 14 \text{ GeV}$  and  
 2392  $\sqrt{s} = 27 \text{ GeV}$  energies, and we present perturbative QCD results up to the NNLO by using the smooth  
 2393 cone isolation criterion<sup>15</sup>. Detailed comparison between standard and smooth cone isolation criteria

<sup>15</sup>The NNLO QCD calculation within the standard cone isolation criterion has not yet been performed

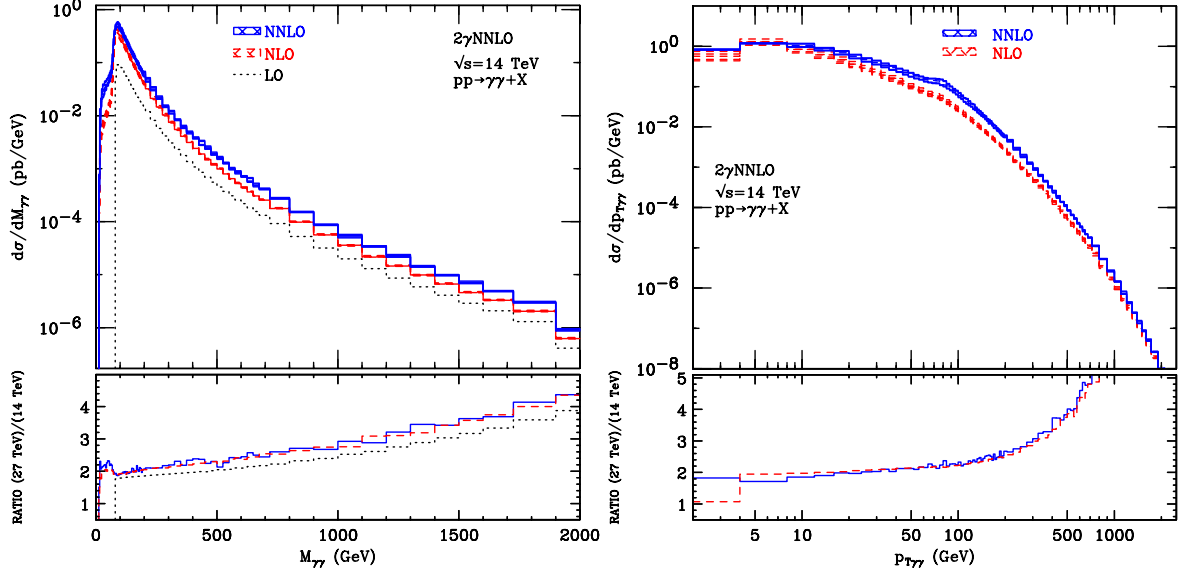


Fig. 57: The differential cross sections  $d\sigma/dM_{\gamma\gamma}$  (left) and  $d\sigma/dp_{T\gamma\gamma}$  (right) at  $\sqrt{s} = 14$  TeV is shown in the upper panel at LO (black dotted), NLO (red dashed) and NNLO (blue solid). The NLO and NNLO scale variation bands are obtained as detailed in the text. In the lower subpanel the ratio between cross sections at two different centre-of-mass energies ( $\sqrt{s} = 27$  TeV and  $\sqrt{s} = 14$  TeV) is also shown. The selection cuts are described in the text.

2394 have been presented in Refs. [90, 497–499]. We apply the following kinematical cuts:

$$2395 \quad p_T^{\gamma; \text{hard}} > 40 \text{ GeV}, \quad p_T^{\gamma; \text{soft}} > 30 \text{ GeV}, \quad |y_\gamma| < 2.8, \quad (29)$$

2395 where  $p_T^{\gamma; \text{hard}}$  and  $p_T^{\gamma; \text{soft}}$  are respectively the transverse momenta of the harder and softer photon and  
 2396  $|y_\gamma|$  is the photon rapidity. The minimum angular distance between the two photons is  $R_{\gamma\gamma}^{\text{min}} = 0.4$ .  
 2397 Within the smooth cone isolation criterion [500] (see also Refs. [501, 502]) photons are selected by  
 2398 fixing the size  $R$  of the isolation cone and imposing a maximal amount of hadronic energy ( $E_T^{\text{had}}(r)$ )  
 2399 allowed inside the cone

$$2400 \quad E_T^{\text{had}}(r) \leq E_{T \text{max}} \chi(r; R), \quad \text{in all cones with } r \leq R, \quad (30)$$

2400 with a suitable choice of the  $r$  dependence of the isolation function  $\chi(r; R)$ . The smooth isolation  
 2401 function  $\chi(r; R)$  we use is<sup>16</sup>

$$2402 \quad \chi(r; R) = \left( \frac{1 - \cos(r)}{1 - \cos(R)} \right)^n, \quad (31)$$

2402 and the value of the power  $n$  is set to the  $n = 1$ . This value of  $n$  avoids the sensitivity of the cross  
 2403 section to soft (collinear) photons for large (small) value of  $n$  [497]. The radius of the photon isolation  
 2404 cone is set at the value  $R = 0.4$  and  $E_{T \text{max}} = 10$  GeV. We implement a lower limit  $r_{\text{cut}}$  on the  
 2405 ratio  $p_{T\gamma\gamma}/M_{\gamma\gamma}$  ( $p_{T\gamma\gamma} > r_{\text{cut}} M_{\gamma\gamma}$ ) [505], and we use values in the range  $r_{\text{cut}} = 0.08\% - 0.15\%$ . We  
 2406 compute the perturbative uncertainty as the envelope of three-point scale variation by considering the  
 2407 two asymmetric scale configurations with  $\{\mu_R = \mu_0/2, \mu_F = 2\mu_0\}$  and  $\{\mu_R = 2\mu_0, \mu_F = \mu_0/2\}$  and  
 2408 the central scale  $\{\mu_R = \mu_F = \mu_0\}$ .

2409 We begin the presentation of our quantitative results by considering the invariant mass ( $M_{\gamma\gamma}$ )  
 2410 distribution up to value of 2 TeV. The LO (black dotted), NLO (red dashed) and NNLO (blue solid)

<sup>16</sup>The same form of the isolation function is used in the NNLO predictions reported in Refs. [494, 503, 504]

2411 QCD results for a centre-of-mass energy of  $\sqrt{s} = 14$  TeV are presented in Fig. 57 (left). We first  
 2412 observe the presence of a LO threshold at an invariant mass  $M^{LO} = 2p_T^{\gamma; \text{hard}}$ . The bulk of the  
 2413 cross section is concentrated in the region around  $M^{LO}$  while for large values of  $M_{\gamma\gamma}$  the distribu-  
 2414 tion rapidly decreases. At high invariant mass,  $M_{\gamma\gamma} > 1$  TeV, the cross section is dominated by the  
 2415 quark annihilation ( $q\bar{q}$ ) partonic subprocess (the other partonic subprocesses are suppressed by one or-  
 2416 der of magnitude or more). The NNLO  $K$  factor,  $K^{NNLO} = \sigma^{NNLO}/\sigma^{NLO}$ , is flat at large values  
 2417 of  $M_{\gamma\gamma}$  and it is roughly equal to the NNLO  $K$  factor of the  $q\bar{q}$  channel. In the lower subpanel of  
 2418 Fig. 57 we present results for the ratio ( $R$ ) between the invariant mass distribution at  $\sqrt{s} = 27$  TeV  
 2419 and  $\sqrt{s} = 14$  TeV. At LO (black dotted curve) the dynamic enhancement of the ratio can be described  
 2420 roughly as  $\mathcal{G}(M_{\gamma\gamma}^2/27^2 \text{ TeV}^2)/\mathcal{G}(M_{\gamma\gamma}^2/(14^2 \text{ TeV}^2))$ , where  $\mathcal{G}(\tau) = \log(\tau) \times \mathcal{L}_{q\bar{q}}(\tau, \mu_f)$  and  $\mathcal{L}$  are the  
 2421 integrated parton luminosities. We observe that the ratio at NLO and NNLO is numerically similar to  
 2422 the corresponding LO one. The enhancement of the ratio  $R$  at large values of invariant mass is directly  
 2423 related to the increasing the centre-of-mass energy and it reaches the value  $R \sim 4$  at  $M_{\gamma\gamma} \simeq 1$  TeV.

2424 Finally we present theoretical results for the transverse momentum ( $p_{T\gamma\gamma}$ ) distribution. The NLO  
 2425 (red dashed) and NNLO (blue solid) predictions with a centre-of-mass energy of  $\sqrt{s} = 14$  TeV are  
 2426 shown in the upper panel of Fig. 57 (right). Given the LO kinematical constraint  $p_{T\gamma\gamma} = 0$ , the (N)NLO  
 2427 correction represent *effectively* an (N)LO prediction. Moreover, in the small  $p_{T\gamma\gamma}$  region, the conver-  
 2428 gence of the fixed order expansion is spoiled by the presence of large logarithmic corrections. Reliable  
 2429 perturbative results require an all order resummation of these enhanced logarithmic contributions.

2430 In the lower subpanel of Fig. 57 (right) we present results for the ratio ( $R$ ) between the transverse  
 2431 momentum distribution at  $\sqrt{s} = 27$  TeV and  $\sqrt{s} = 14$  TeV. The ratio increases at large value of  $p_{T\gamma\gamma}$ ,  
 2432 reaching  $R \sim 4$  for  $p_{T\gamma\gamma} \simeq 1$  TeV.

2433 We add a comment on the perturbative uncertainty of our calculation. We observe that the un-  
 2434 certainty bands for the NLO and NNLO results fail to overlap in most of the kinematical regions. This  
 2435 suggests that the computed scale dependence at NNLO cannot be considered a reliable estimate of the  
 2436 true perturbative uncertainty. As an alternative approach the perturbative uncertainty of the NNLO result  
 2437 can be estimated by considering half of the difference between the NNLO and NLO results at central  
 2438 values of the scales [497].

2439 We finally observe that the photon fragmentation component (which is absent in the case of  
 2440 smooth cone isolation) mainly affects the the low invariant mass region, where the cross section is  
 2441 strongly suppressed. Conversely, the intermediate and high invariant mass region, the transverse mo-  
 2442 mentum distribution and the value of total cross section, are less sensitive to photon fragmentation  
 2443 effects. In particular, for isolation parameters commonly used in the experimental analysis at the LHC,  
 2444 the quantitative differences between smooth and standard isolation predictions are much smaller than the  
 2445 corresponding perturbative uncertainties. This observation justifies the use of the smooth cone criterion  
 2446 in the theoretical calculations.

## 2447 5.2 Ultimate Parton Densities

2448 The goal of this study is to quantify the precision that can be expected in the determination of the parton  
 2449 distribution functions (PDFs) of the proton in the HL-LHC era. Such “ultimate PDFs” will provide an  
 2450 important ingredient for the physics projections at the HL-LHC with a robust estimate of theoretical  
 2451 uncertainties, including some of those presented in other chapters of this Yellow Report. With this  
 2452 motivation, we have generated HL-LHC pseudo-data for a number of PDF-sensitive measurements such  
 2453 as top-quark, Drell-Yan, isolated photon, and  $W$ +charm production, and then studied the constraints that  
 2454 these pseudo-data impose on the global PDF analysis by means of the Hessian profiling method. While  
 2455 such studies have been performed in the context of future lepton-hadron colliders, see *e.g.* [431, 506]  
 2456 for the LHeC, to the best of our knowledge this is the first time that such a systematic effort has been  
 2457 directed to the projections for a future hadron collider. The study below is described in further detail

2458 in [507].

### 2459 5.2.1 HL-LHC measurements for PDF studies.

2460 Let us start by listing the PDF-sensitive processes that will be considered in this study. In all cases,  
2461 pseudo-data is generated for a center-of-mass energy of  $\sqrt{s} = 14$  TeV assuming a total integrated  
2462 luminosity of  $\mathcal{L} = 3 \text{ ab}^{-1}$  for the CMS and ATLAS experiments, and of  $\mathcal{L} = 0.3 \text{ ab}^{-1}$  for the LHCb  
2463 experiment. With these settings, HL-LHC pseudo-data has been generated for the following processes:

- 2464 – High-mass Drell-Yan, specifically the dilepton invariant mass differential distributions  $d\sigma(pp \rightarrow$   
2465  $ll)/dm_{ll}$  for  $m_{ll} \gtrsim 110$  GeV for a central rapidity acceptance,  $|\eta^l| \leq 2.4$ . This process is particu-  
2466 larly useful for quark flavour separation, in particular of the poorly known large- $x$  sea quarks.
- 2467 – Differential distributions in top-quark pair production, providing direct information on the large  $x$   
2468 gluon [161]. Specifically, we have generated pseudo-data for the top-quark transverse momentum  
2469  $p_T^t$  and rapidity  $y_t$  as well as for the top-quark pair rapidity  $y_{t\bar{t}}$  and invariant mass  $m_{t\bar{t}}$ .
- 2470 – The transverse momentum distribution of the  $Z$  bosons in the large  $p_T^Z$  region for central rapidity  
2471  $|y_Z| \leq 2.4$  and different bins of the dilepton invariant mass  $m_{ll}$ . This process is relevant to  
2472 constrain the gluon and the antiquarks at intermediate values of  $x$  [162].
- 2473 – The production of  $W$  bosons in association with charm quarks (both in the central and forward  
2474 region). This process provides a sensitive handle to the strangeness content of the proton [508,  
2475 509]. The pseudo-data for this process has been generated as function of the pseudorapidity  $\eta_l$  of  
2476 the charged lepton from the  $W$  boson decay.
- 2477 – Prompt isolated photon production, which represents a complementary probe of the gluon PDF at  
2478 intermediate values of  $x$  [163, 510]. Here the pseudo-data have been generated as differential dis-  
2479 tributions in the photon transverse momentum  $p_T^\gamma$  for different bins in the photon pseudorapidity  
2480  $\eta^\gamma$ .
- 2481 – Differential distributions for on-peak  $W$  and  $Z$  boson production in the forward region,  $2.0 \leq$   
2482  $\eta_l \leq 4.5$ , covered by the LHCb experiment. These measurements constrain quark flavour separa-  
2483 tion, including the strange and charm content of the proton, in the large and small  $x$  region [511].
- 2484 – The inclusive production of jets in different bins of rapidity (both in the central and forward region)  
2485 as a function of  $p_T^{\text{jet}}$ . Jets have been reconstructed using the anti- $k_t$  algorithm [233] with  $R = 0.4$ ,  
2486 and provide information on the large- $x$  gluon and valence quarks [512].

2487 In all cases, we have taken as a baseline the binning and kinematic cuts from the most recent  
2488  $\sqrt{s} = 13$  TeV analyses, or the corresponding 8 TeV analyses if the former are not available. We  
2489 have then suitably extended this binning to account for the extended kinematical coverage achieved  
2490 with  $\mathcal{L} = 3$  ( $0.3$ )  $\text{ab}^{-1}$ . Statistical uncertainties are computed from the number of events per bin,  
2491 while systematic errors are rescaled as compared to the 13 (or 8) TeV baseline analysis, see below. We  
2492 consider various scenarios for the reduction of systematic errors, from a more conservative one to a more  
2493 optimistic one. The overall acceptance of the selection cuts (which affects the final event yield per bin)  
2494 is estimated globally again based on the reference experimental analysis.

2495 As mentioned above, this list of processes is not exhaustive: several other important processes  
2496 will provide useful information on the parton distributions in the HL-LHC era, from inclusive dijet  
2497 production [19] to single top quark [513] and  $D$  meson production [189], see also [151]. In addition,  
2498 we may expect that progress from both the experimental and theory sides leads to novel processes, not  
2499 considered so far, being added to the PDF fitting toolbox. Even with these caveats, the list above is  
2500 extensive enough to provide a reasonable snapshot of the PDF-constraining potential of the HL-LHC.

2501 We also emphasise that our projections are based on pseudo-data which have been generated  
2502 specifically for this study. They are thus not endorsed by the LHC experiments, although we have

2503 accounted for the feedback received from the ATLAS, CMS, and LHCb contact persons.

### 2504 5.2.2 Generation of HL-LHC pseudo-data.

2505 For each of the HL-LHC processes listed above, we have generated theoretical predictions at next-to-  
 2506 leading order (NLO) using MCFM [168] interfaced to APPLgrid [166] to produce the corresponding fast  
 2507 grids. The central value of the pseudo-data is first produced according the central prediction of the  
 2508 PDF4LHC15 NNLO set [153], and then fluctuations as expected by the corresponding experimental  
 2509 uncertainties are included. Since the present study is based on pseudo-data, it is not to account for  
 2510 higher-order QCD effects or electroweak corrections. As in the case of PDF closure tests [159], here  
 2511 we are only interested in the relative reduction of PDF uncertainties once the HL-LHC data are added,  
 2512 while by construction the central value will be mostly unaffected.

2513 To be more specific, if  $\sigma_i^{\text{th}}$  is the theoretical cross-section for bin  $i$  of a given process, computed  
 2514 with PDF4LHC15 NNLO, then the central value of the HL-LHC pseudo-data  $\sigma_i^{\text{exp}}$  is constructed by  
 2515 means of

$$\sigma_i^{\text{exp}} = \sigma_i^{\text{th}} \times \left( 1 + r_i \cdot \delta_{\text{tot},i}^{\text{exp}} + \lambda \cdot \delta_{\mathcal{L}}^{\text{exp}} \right), \quad (32)$$

2516 where  $r_i, \lambda$  are univariate Gaussian random numbers,  $\delta_{\text{tot},i}^{\text{exp}}$  is the total (relative) experimental uncertainty  
 2517 corresponding to this specific bin, and  $\delta_{\mathcal{L}}^{\text{exp}}$  is the luminosity uncertainty related to the experiment. The  
 2518 later are taken to be 1.5% for each of the CMS, ATLAS, and LHCb experiments. The motivation for  
 2519 adding the fluctuations on top of the central theoretical predictions is to simulate the statistical and  
 2520 systematic uncertainties of an actual experimental measurement. In Eq. (32) the total experimental error  
 2521 is defined as

$$\delta_{\text{tot},i}^{\text{exp}} \equiv \left( \left( \delta_{\text{stat},i}^{\text{exp}} \right)^2 + \left( f_{\text{corr}} \times f_{\text{red}} \times \delta_{\text{sys},i}^{\text{exp}} \right)^2 \right)^{1/2}. \quad (33)$$

2522 In this expression, the relative statistical error  $\delta_{\text{stat},i}^{\text{exp}}$  is computed as

$$\delta_{\text{stat},i}^{\text{exp}} = \left( f_{\text{acc}} \times N_{\text{ev},i} \right)^{-1/2}, \quad (34)$$

2523 where  $N_{\text{ev},i} = \sigma_i^{\text{th}} \times \mathcal{L}$  is the expected number of events in bin  $i$  at the HL-LHC with  $\mathcal{L} = 3 (0.3) \text{ ab}^{-1}$ ,  
 2524 and  $f_{\text{acc}} \leq 1$  is an acceptance correction which accounts for the fact that, for some of the processes  
 2525 considered, such as top quark pair production, there is a finite experimental acceptance and/or one needs  
 2526 to include the effects of branching fractions. The value of  $f_{\text{acc}}$  is then determined by extrapolation  
 2527 using the reference dataset. The one exception to this is the case of forward  $W$ +charm production,  
 2528 for which no baseline measurement has so far been performed by LHCb; here we set the acceptance to  
 2529  $f_{\text{acc}} = 0.3$  to account for the anticipated  $c$ -jet tagging efficiency at LHCb. In Eq. (33),  $\delta_{\text{sys},i}^{\text{exp}}$  indicates the  
 2530 total systematic error of bin  $i$  taken from the reference LHC measurement at either 8 TeV or 13 TeV. The  
 2531 correction factor  $f_{\text{red}} \leq 1$  accounts for the expected improvement in the average systematic uncertainties  
 2532 at the HL-LHC in comparison to Run II, due to both detector improvements and the enlarged dataset for  
 2533 calibration.

2534 We note that with the exception of the luminosity in Eq. (33) the systematic uncertainties have  
 2535 simply been added in quadrature with the statistical ones. That is, we do not account for the correlations  
 2536 between systematic errors. The full inclusion of such correlations goes beyond the scope of the closure  
 2537 tests being pursued in this exercise, which aim simply to provide a reasonable extrapolation of the  
 2538 expected PDF reach at the HL-LHC. In particular, the expected improvements in the overall size of  
 2539 the systematic uncertainties can only be based on the estimates and expectations provided by the LHC  
 2540 collaborations, and cannot be predicted with absolute certainty. The situation is certainly even more  
 2541 challenging in the case of the specific mutual correlations of the systematic uncertainties, which will  
 2542 be sensitive to the precise experimental setup in the future. However, simply excluding the effects of  
 2543 correlations would artificially reduce the impact of the pseudo-data into the fit.

2544 For this reason, we introduce an effective correction factor  $f_{\text{corr}}$  that accounts for the fact that  
 2545 data with correlated systematics is more constraining than the same data where all errors are added in  
 2546 quadrature. The value of  $f_{\text{corr}}$  has been checked against the available  $\sqrt{s} = 8$  TeV top quark [514,515]  
 2547 and the 13 TeV W+charm [516] differential distributions, that is we vary  $f_{\text{corr}}$  until the PDF impact is  
 2548 in line with the result including full experimental correlations. This turns out to have a value of between  
 2549  $f_{\text{corr}} \simeq 1.0$  and 0.3 depending on the data set and observable. We take a factor of  $f_{\text{corr}} = 0.5$  in what  
 2550 follows.

2551 In Table 31 we collect a summary of the features of the HL-LHC pseudo-data generated for the  
 2552 present study. For each process, we indicate the kinematic coverage, the number of pseudo-data points  
 2553 used  $N_{\text{dat}}$ , the values of the correction factors  $f_{\text{acc}}$ ,  $f_{\text{corr}}$ , and  $f_{\text{red}}$ ; and finally the reference from the 8  
 2554 TeV or 13 TeV measurement used as baseline to define the binning and the systematic uncertainties of  
 2555 the HL-LHC pseudo-data. A total of  $N_{\text{dat}} = 768$  pseudo-data points are then used in the PDF profiling.  
 2556 The values of the reduction factor for the systematic errors  $f_{\text{red}}$  is varied between 1 (0.5) and 0.4 (0.2)  
 2557 in the conservative and optimistic scenarios for a 8 TeV (13 TeV) baseline measurement. This choice  
 2558 is motivated because available 13 TeV measurements are based on a relatively small  $\mathcal{L}$  and therefore  
 2559 cannot be taken as representative of the systematic errors expected at the HL-LHC, even in the most  
 2560 conservative scenario.

### 2561 5.2.3 Hessian profiling.

2562 There exist a number of techniques that can be used to quantify the impact on PDFs of the pseudo-  
 2563 data listed in Table 31. In the case of Monte Carlo sets such as NNPfD, the Bayesian reweighting  
 2564 method [522,523] reproduces the result of a direct fit, but it is restricted by the fact that information loss  
 2565 limits its reliability when the measurements provide significant new information. For Hessian sets such  
 2566 as PDF4LHC15\_100 instead, the profiling technique [446] is more suitable to achieve the same purpose.  
 2567 This Hessian profiling is based on the minimization of

$$\chi^2(\beta_{\text{exp}}, \beta_{\text{th}}) = \sum_{i=1}^{N_{\text{dat}}} \frac{1}{\left(\delta_{\text{tot},i}^{\text{exp}} \sigma_i^{\text{th}}\right)^2} \left( \sigma_i^{\text{exp}} + \sum_j \Gamma_{ij}^{\text{exp}} \beta_{j,\text{exp}} - \sigma_i^{\text{th}} + \sum_k \Gamma_{ik}^{\text{th}} \beta_{k,\text{th}} \right)^2 + \sum_j \beta_{j,\text{exp}}^2 + T^2 \sum_k \beta_{k,\text{th}}^2, \quad (35)$$

2568 with  $\sigma_i^{\text{exp}}$  ( $\sigma_i^{\text{th}}$ ) are the central values of a given experimental measurement (theory prediction),  $\beta_{j,\text{exp}}$   
 2569 are the nuisance parameters corresponding to the set of fully correlated experimental systematic uncer-  
 2570 tainties,  $\beta_{k,\text{th}}$  are the nuisance parameters corresponding to the PDF Hessian eigenvectors,  $N_{\text{dat}}$  is the  
 2571 number of data points and  $T$  is the tolerance factor. The matrices  $\Gamma_{ij}^{\text{exp}}$  and  $\Gamma_{ik}^{\text{th}}$  encode the effects of the  
 2572 corresponding nuisance parameters on the experimental data and on the theory predictions, respectively.

2573 As mentioned above, in this study we add in quadrature statistical and experimental uncertainties  
 2574 excluding the luminosity, and then account for the effects of the missing correlations by means of the  
 2575 factor  $f_{\text{corr}}$ . For this reason there are only nuisance parameters for the luminosity errors, and for an  
 2576 overall normalization uncertainty of 5% in forward  $W$ +charm production due to charm-jet tagging. If  
 2577 we minimise Eq. (35) with respect to these nuisance parameters, we get:

$$\chi^2(\beta_{\text{th}}) = \sum_{i,j=1}^{N_{\text{dat}}} \left( \sigma_i^{\text{exp}} - \sigma_i^{\text{th}} + \sum_k \Gamma_{ik}^{\text{th}} \beta_{k,\text{th}} \right) (\text{cov})_{ij}^{-1} \left( \sigma_j^{\text{exp}} - \sigma_j^{\text{th}} + \sum_m \Gamma_{jm}^{\text{th}} \beta_{m,\text{th}} \right) + T^2 \sum_k \beta_{k,\text{th}}^2, \quad (36)$$

2578 where we have defined:

$$(\text{cov})_{ij} = \delta_{ij} \left( \delta_{\text{tot},i}^{\text{exp}} \sigma_i^{\text{th}} \right)^2 + \sum \Gamma_{i,\text{lumi/norm}}^{\text{exp}} \Gamma_{j,\text{lumi/norm}}^{\text{exp}}. \quad (37)$$



Table 31: Summary of the features of the HL-LHC pseudo-data generated for the present study. For each process we indicate the kinematic coverage, the number of pseudo-data points used  $N_{\text{dat}}$  across all detectors, the values of the correction factors  $f_{\text{corr}}$  and  $f_{\text{red}}$ ; and finally the reference from the 8 TeV or 13 TeV measurement used as baseline to define the binning and the systematic uncertainties of the HL-LHC pseudo-data, as discussed in the text.

Process	Kinematics	$N_{\text{dat}}$	$f_{\text{corr}}$	$f_{\text{red}}$	Baseline
$Z p_T$	$20 \text{ GeV} \leq p_T^l \leq 3.5 \text{ TeV}$ $12 \text{ GeV} \leq m_{ll} \leq 150 \text{ GeV}$ $ y_{ll}  \leq 2.4$	338	0.5	(0.4, 1)	[517] (8 TeV)
high-mass Drell-Yan	$p_T^{l(2)} \geq 40(30) \text{ GeV}$ $ \eta^l  \leq 2.5, m_{ll} \geq 116 \text{ GeV}$	32	0.5	(0.4, 1)	[518] (8 TeV)
top quark pair	$ y_t  \leq 2.4$	110	0.5	(0.4, 1)	[515] (8 TeV)
$W$ +charm (central)	$p_T^\mu \geq 26 \text{ GeV}, p_T^c \geq 5 \text{ GeV}$ $ \eta^\mu  \leq 2.4$	12	0.5	(0.2, 0.5)	[516] (13 TeV)
$W$ +charm (forward)	$p_T^\mu \geq 20 \text{ GeV}, p_T^c \geq 20 \text{ GeV}$ $p_T^{\mu+c} \geq 20 \text{ GeV}$ $2 \leq \eta^\mu \leq 5, 2.2 \leq \eta^c \leq 4.2$	10	0.5	(0.4, 1)	LHCb projection
Direct photon	$E_T^\gamma \lesssim 3 \text{ TeV},  \eta_\gamma  \leq 2.5$	118	0.5	(0.2, 0.5)	[519] (13 TeV)
Forward $W, Z$	$p_T^l \geq 20 \text{ GeV}, 2.0 \leq \eta^l \leq 4.5$ $2.0 \leq y_{ll} \leq 4.5$ $60 \leq m_{ll} \leq 120 \text{ GeV}$	90	0.5	(0.4, 1)	[520] (8 TeV)
Inclusive jets	$ y  \leq 3, R = 0.4$	58	0.5	(0.2, 0.5)	[521] (13 TeV)
Total		712			

2579 We then minimise Eq. (36) with respect to the Hessian PDF nuisance parameters  $\beta_{k,\text{th}}$ , which can  
2580 be interpreted as leading to PDFs that have been optimized to describe this new dataset. The resulting  
2581 Hessian matrix on  $\beta_{k,\text{th}}$  at the minimum can be diagonalized to construct the new eigenvector directions.  
2582 Finally, the PDF uncertainties are determined from the  $\Delta\chi^2 = T^2$  criteria. In the studies presented  
2583 here, we use a global  $T = 3$  which approximately corresponds to the average tolerance determined  
2584 dynamically in the CT14 and MMHT14 analyses.

#### 2585 5.2.4 Results for individual processes.

2586 We now turn to present the results of the Hessian profiling of PDF4LHC15 from individual processes,  
2587 and subsequently we will study the corresponding results from the combination of all the HL-LHC  
2588 processes considered in different scenarios. Let us begin with the top-quark pair production case listed  
2589 in Table 31. In Fig. 58 we show the comparison of the predictions for the  $m_{t\bar{t}}$  distribution in top-quark  
2590 pair production at the HL-LHC using PDF4LHC15 with the associated pseudo-data and with the profiled  
2591 results with  $F \equiv f_{\text{corr}} \cdot f_{\text{red}} = 0.2$ . We also present the corresponding impact at the level of the gluon  
2592 PDF at  $Q = 100 \text{ GeV}$  before and after profiling with all  $t\bar{t}$  data in Table 31. It is clear that the HL-LHC



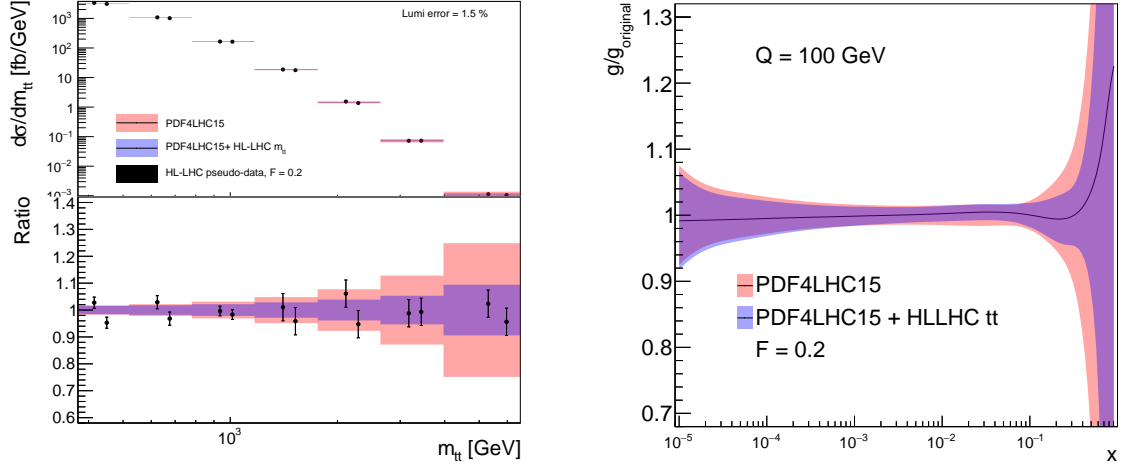


Fig. 58: Comparison of the predictions for the  $m_{t\bar{t}}$  distribution in top-quark pair production at the HL-LHC using PDF4LHC15 with the associated pseudo-data and with the profiled results with  $F \equiv f_{\text{corr}} \cdot f_{\text{red}} = 0.2$  (left). The corresponding differences at the level of the gluon PDF at  $Q = 100$  GeV before and after profiling all top-quark pair production observables (right).

2593 pseudo-data in this scenario will have much smaller uncertainties than the PDF uncertainties, so there is  
 2594 a marked reduction on the PDF errors on the gluon at large- $x$ . Note that the two points in each of the  
 2595 bins in Fig. 58 (left) correspond to the ATLAS and CMS pseudo-data.

2596 We next consider two other representative processes:  $W$ +charm quark production in central rapid-  
 2597 ity region and the high-mass Drell-Yan process. In Fig. 59 we show the same comparison as in Fig. 58  
 2598 for these two processes. In the case of the  $W$ +charm quark production, we observe a clear reduction  
 2599 of PDF errors in the strangeness,  $s + \bar{s}$ , at intermediate values of  $x$ , highlighting the sensitivity of this  
 2600 measurement to the strange content of the proton. For the case of high-mass Drell-Yan, we show how  
 2601 the uncertainties on the  $\bar{u}$  quark PDF are reduced at large  $x$  region. Here the impact is rather moderate,  
 2602 as experimental and PDF errors are comparable even in the high  $m_{ll}$  region.

### 2603 5.2.5 Ultimate PDFs from HL-LHC data.

2604 The final profiled PDF sets are based combined dataset listed in Table 31; these provide an estimate  
 2605 of the impact of future HL-LHC measurements into our knowledge of the quark and gluon structure  
 2606 of the proton. In Table 32 we list the three scenarios for the systematic uncertainties of the HL-LHC  
 2607 pseudo-data that we assume in the present exercise. These scenarios, ranging from more conservative to  
 2608 more optimistic, differ among them in the reduction factor  $f_{\text{red}}$ , Eq. (33), applied to the systematic errors  
 2609 of the reference 8 TeV or 13 TeV measurements. In particular, in the optimistic scenario we assume a  
 2610 reduction of the systematic errors by a factor 2.5 compared to the reference 8 TeV measurements. We  
 2611 assume a large factor of 5 for the 13 TeV measurements, correcting for the fact that these are based in  
 2612 the initial datasets which generally have larger systematic errors in comparison to the 8 TeV case. We  
 2613 also indicate in each case the name of the corresponding LHAPDF grid.

2614 Then in Fig. 60 we present a comparison of the baseline PDF4LHC15 set with the profiled sets  
 2615 based on HL-LHC pseudo-data from scenarios A and C in Table 32. Specifically, we show the gluon,  
 2616 down quark, up anti-quark, and total strangeness at  $Q = 10$  GeV, normalized to the central value of the  
 2617 baseline. We observe that the predictions of scenarios A and C (optimistic and conservative respectively)  
 2618 are reasonably similar. This demonstrates that our results are relatively robust against the projections  
 2619 of how experimental errors will be reduced in HL-LHC measurements. A marked reduction of PDF

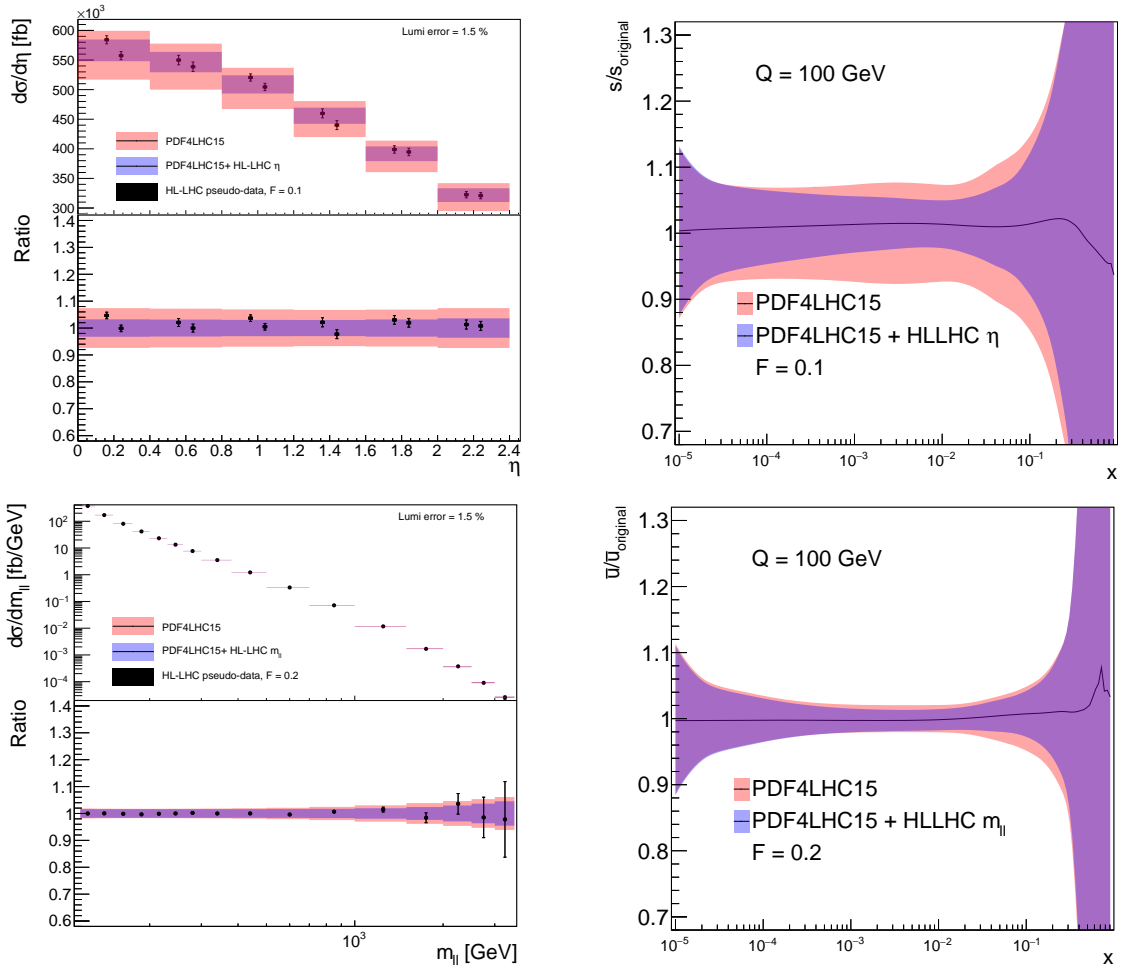


Fig. 59: Same as Fig. 58 for  $W$ +charm quark production with impact on strange quark PDF (upper) and the high-mass Drell-Yan process with impact on  $\bar{u}$  PDF (lower).

Table 32: The three scenarios for the systematic uncertainties of the HL-LHC pseudo-data that we assume in the present exercise. These scenarios, ranging from conservative to optimistic, differ among them in the reduction factor  $f_{\text{red}}$ , Eq. (33), applied to the systematic errors of the reference 8 TeV or 13 TeV measurements. We also indicate in each case the name of the corresponding LHAPDF grid.

Scenario	$f_{\text{red}}$ (8 TeV)	$f_{\text{red}}$ (13 TeV)	LHAPDF set	Comments
A	0.4	0.2	PDF4LHC_nnlo_hllhc_scen3	Optimistic
B	0.7	0.36	PDF4LHC_nnlo_hllhc_scen2	Intermediate
C	1	0.5	PDF4LHC_nnlo_hllhc_scen1	Conservative

2620 uncertainties is visible in all cases, and is particularly significant for the gluon and the sea quarks, which  
 2621 are worse known than the valence quarks.

2622 Next, we take a look at the partonic luminosities, in particular quantifying the improvement in  
 2623 the PDF uncertainties in different initial-state partonic combinations from the HL-LHC pseudo-data. In  
 2624 Fig. 61 we show the reduction of PDF uncertainties in the  $gg$ ,  $qg$ ,  $q\bar{q}$ , and  $qq$  luminosities at  $\sqrt{s} = 14$

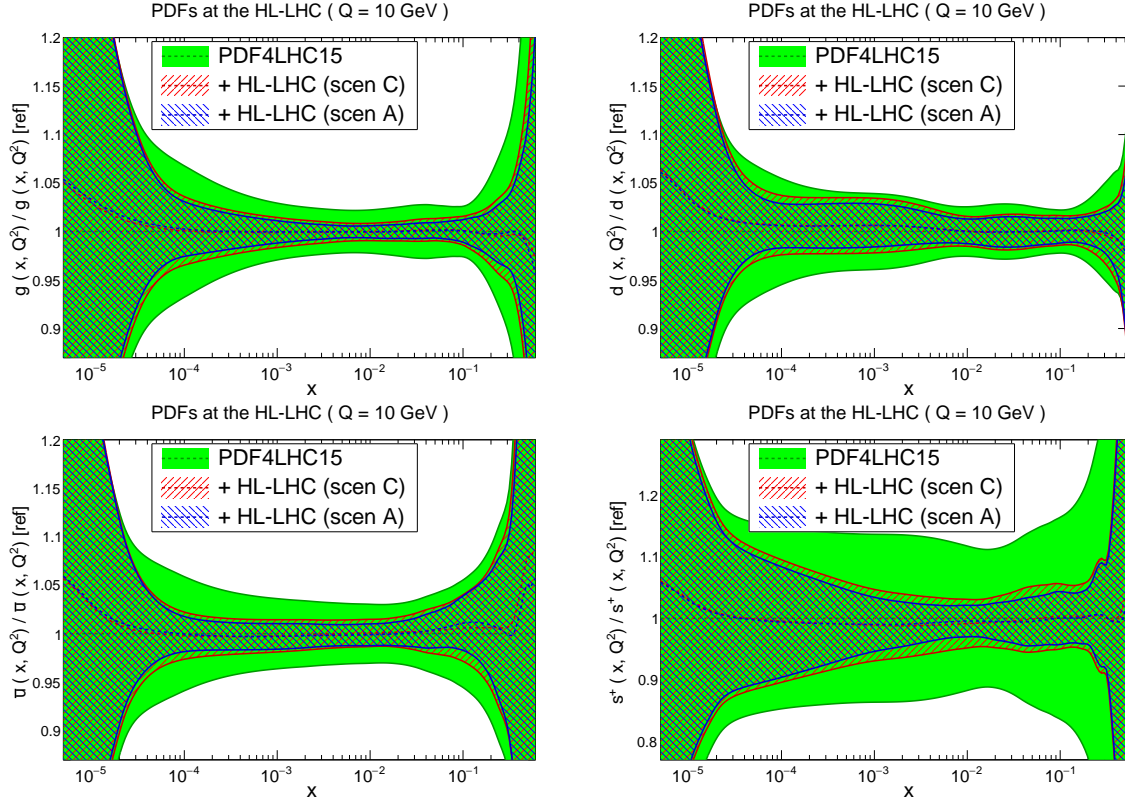


Fig. 60: Comparison of PDF4LHC15 with the profiled sets with HL-LHC data in scenarios A and B (see text). We show the gluon, down quark, up anti-quark, and total strangeness at  $Q = 10$  GeV, normalized to the central value of the baseline.

2625 TeV due to the HL-LHC pseudo-data (in scenarios A and C) with respect to the PDF4HC15 baseline.  
 2626 The average values of this PDF error reduction for three different invariant mass bins (low, medium, and  
 2627 high values of  $M_X$ ) is shown in Table 62.<sup>17</sup> The value outside (inside) brackets correspond to scenario  
 2628 C (A). Note that in this table we have also listed the  $us$  luminosity, which contributes to processes such  
 2629 as inclusive  $W^+$  production.

2630 From the comparisons in Fig. 61 and in Table 62 we confirm that the overall error reduction is  
 2631 not too sensitive to the specific projections assumed for the experimental systematic uncertainties. We  
 2632 find that in the intermediate mass bin,  $40 \text{ GeV} \leq M_X \leq 1 \text{ TeV}$ , the reduction of PDF uncertainties  
 2633 ranges roughly between a factor of 2-4, depending on the partonic channel and the scenario for the  
 2634 systematic errors. For example, for the  $gg$  luminosity in the range relevant for Higgs production, one  
 2635 finds a reduction by a factor  $\simeq 3$  in scenario A. A similar improvement is found in the high mass region,  
 2636  $M_X \geq 1 \text{ TeV}$ , directly relevant for bSM searches. In the optimistic scenario, the PDF error reduction at  
 2637 high masses ranges between a factor 4 for the  $gg$  luminosity to around a factor 2 for the  $qq$  and  $q\bar{q}$  ones.  
 2638 On the other hand, the PDF error reduction is more moderate in the low mass region,  $M_X \lesssim 20 \text{ GeV}$ ,  
 2639 since none of the processes in Table 31 is directly sensitive to it.

### 2640 5.2.6 Implications for LHC phenomenology

2641 Now we turn to present some selected phenomenological implications of these “ultimate” PDFs at the  
 2642 HL-LHC for a variety of processes, both within the SM and beyond. We start by considering high-

<sup>17</sup>The average is computed from 10 points per mass bin, log-spaced in  $M_X$ .

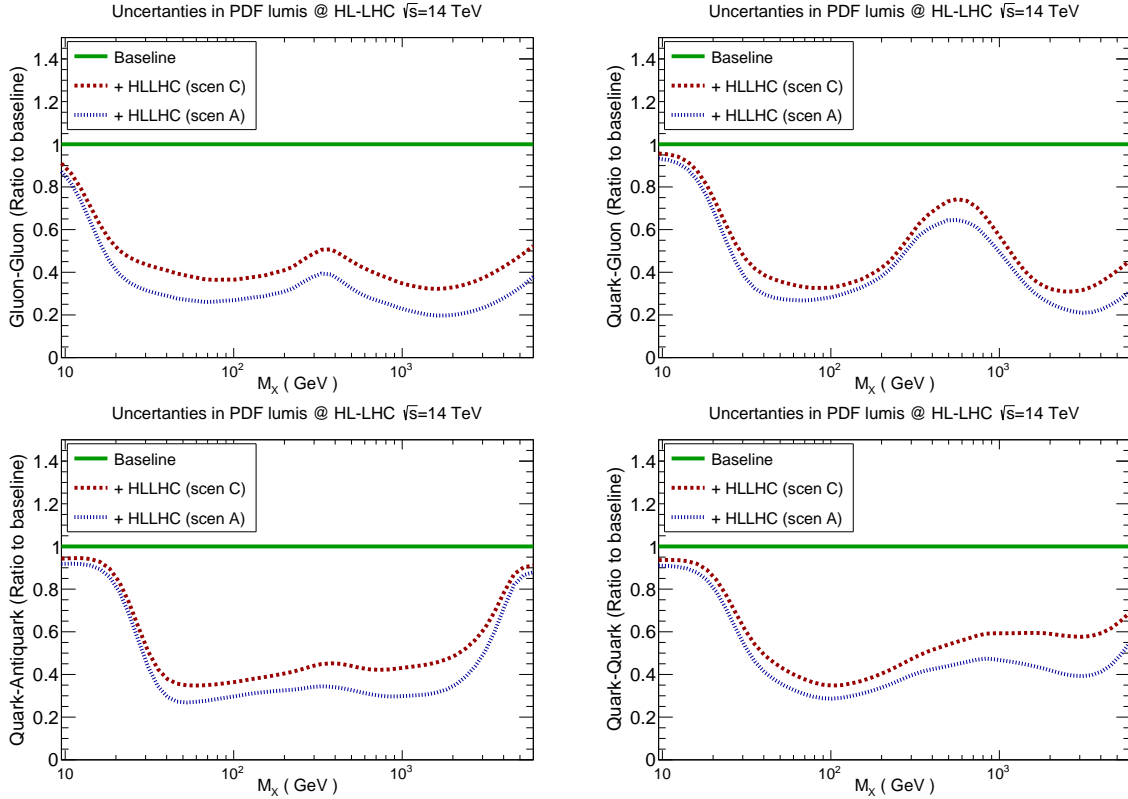


Fig. 61: The reduction of PDF uncertainties in the  $gg$ ,  $qg$ ,  $q\bar{q}$ , and  $qq$  luminosities at  $\sqrt{s} = 14$  TeV due to the HL-LHC pseudo-data (in scenarios A and C) with respect to the PDF4HC15 baseline.

2643 mass supersymmetric (SUSY) particle production at the HL-LHC, where sparticles masses up to  $\simeq 3$   
 2644 TeV can be searched for. While we consider this SUSY scenario for concreteness, similar results will  
 2645 hold for the production of new BSM states within other models. In Fig. 63 we show the comparison  
 2646 between the baseline PDF4LHC15 predictions with the corresponding HL-LHC results corresponding  
 2647 to scenarios C and A (conservative and optimistic respectively), normalised to the central value of the  
 2648 former. Specifically, we show the cross-sections for gluino-gluino and squark-gluino at  $\sqrt{s} = 14$  TeV.  
 2649 Theoretical predictions have been computed at leading order (LO) using Pythia8.235 [251] with the  
 2650 SLHA2 benchmark point [524] for a range of sparticle masses. For simplicity, underlying event and  
 2651 multiple interactions have been switched off.

2652 From the comparisons in Fig. 63, we find that the constraints on the PDFs from the HL-LHC  
 2653 pseudo-data lead to a marked reduction to the uncertainties in the high-mass SUSY cross-sections,  
 2654 consistent with the corresponding reduction at the level of luminosities reported in Fig. 61. For in-  
 2655 stance, for gluino pair-production with  $M_{\tilde{g}} = 3$  TeV, the PDF uncertainties are reduced from  $\simeq 60\%$   
 2656 to  $\simeq 25\%$  in the optimistic scenario. An even more marked reduction is found for the squark-gluino  
 2657 cross-section, specially at large sparticle masses. More moderate improvements are found in the case of  
 2658 squark-antisquark production, due to the limited constraints that the HL-LHC provides on the large- $x$   
 2659 antiquarks, at least for the processes considered here. In this case, we find an error reduction by a factor  
 2660 of  $\simeq 25\%$  for  $M_{\tilde{q}} = 3$  TeV.

2661 Next, in Fig. 64 we present a similar comparison as that of Fig. 63 now for various SM processes.  
 2662 The upper plots display diphoton (dijet) production as a function of the minimum invariant mass  $M_{\gamma\gamma}^{\min}$   
 2663 ( $M_{jj}^{\min}$ ). The bottom plots show Higgs boson production in gluon fusion, first inclusive and decaying

PDF uncertainties HLLHC / Current	10 GeV < $M_X$ < 40 GeV	40 GeV < $M_X$ < 1 TeV	1 TeV < $M_X$ < 6 TeV
g-g luminosity	0.58 (0.49)	0.41 (0.29)	0.38 (0.24)
q-g luminosity	0.71 (0.65)	0.49 (0.42)	0.39 (0.29)
quark-quark luminosity	0.78 (0.73)	0.46 (0.37)	0.60 (0.45)
quark-antiquark luminosity	0.73 (0.70)	0.40 (0.30)	0.61 (0.50)
up-strange luminosity	0.73 (0.67)	0.38 (0.27)	0.42 (0.38)

Fig. 62: The uncertainties associated to different PDF luminosities, normalised to the uncertainties of the current baseline (PDF4LHC15). We have computed the average for three different invariant mass  $M_X$  bins. The numbers outside (inside) brackets correspond the conservative (optimistic) scenario.

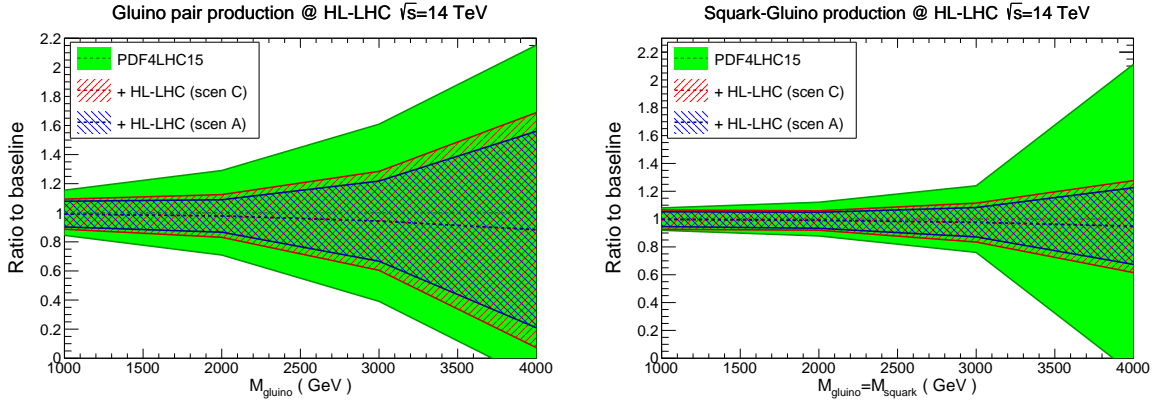


Fig. 63: Comparison between the baseline PDF4LHC15 predictions for high-mass supersymmetric particle production at the HL-LHC with the corresponding HL-LHC projections corresponding to scenarios C and A, normalised to the central value of the baseline. We show the results for gluino-gluino and squark-gluino, production cross-sections at  $\sqrt{s} = 14$  TeV.

2664 into  $b\bar{b}$  as a function of  $p_b^{T,\min}$ , and then in association with a hard jet as a function of  $p_{\text{jet}}^{T,\min}$ . These  
2665 cross-sections have been computed at LO with MCFMv8.2 [168] with the basic ATLAS/CMS acceptance  
2666 cuts. The use of leading-order theory is justified since we only aim to illustrate the relative impact of the  
2667 PDF error reduction, rather than providing state-of-the-art predictions for the rates.

2668 From the comparisons in Fig. 64, we find again that the two scenarios, A and C, give similar  
2669 results. In the case of dijet production, which at large masses is dominated by the  $qq$  and  $qg$  luminosities,  
2670 we see that one expects to reduce PDF errors down to  $\simeq 2\%$  even for invariant masses as large as  $M_{jj} = 6$   
2671 TeV. A similar conclusion can be drawn for diphoton production, also sensitive to the  $qq$  partonic initial  
2672 state. Concerning Higgs boson production in gluon fusion, we see that in the inclusive case the HL-  
2673 LHC constraints should lead to PDF errors below the percent level. For Higgs boson production in  
2674 association with a hard jet, we also find a marked error reduction, suggesting that PDF uncertainties  
2675 in the  $p_T^h$  distribution should be down to at most the  $\simeq 2\%$  level at the HL-LHC in the entire relevant  
2676 kinematical range.

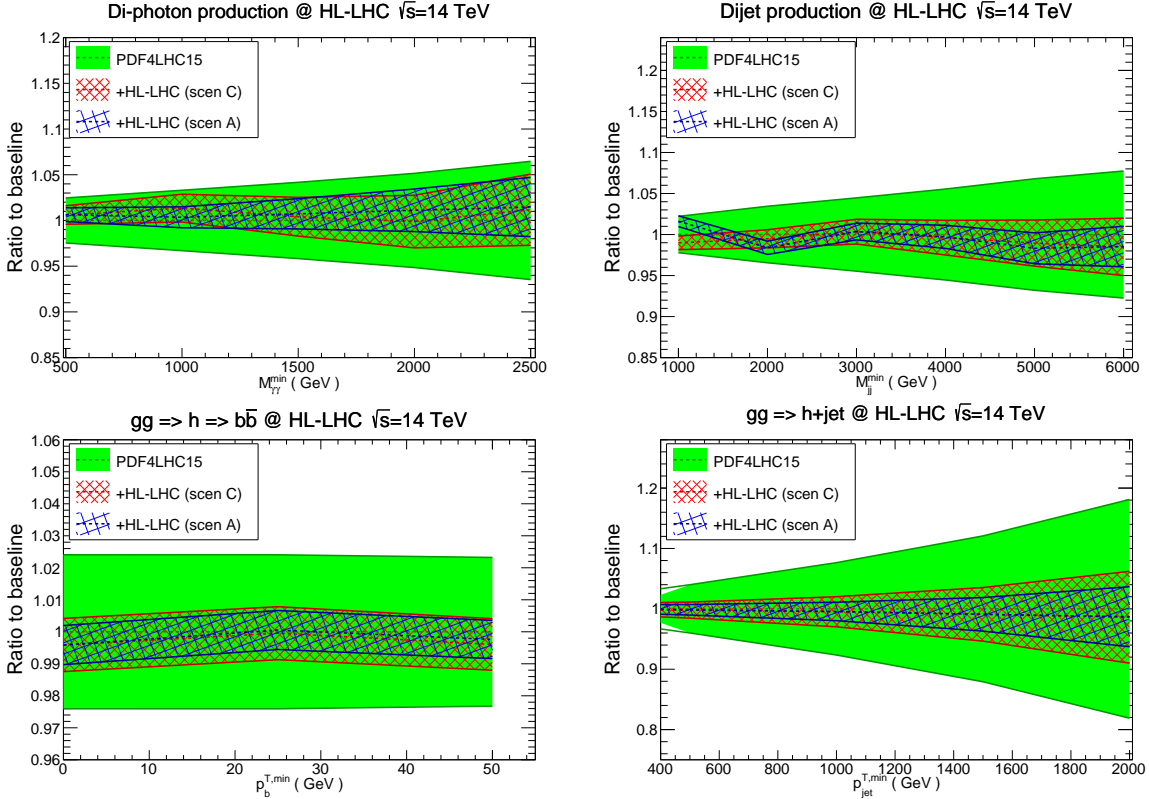


Fig. 64: Same as Fig. 63 for Standard Model processes. The upper plots show diphoton (dijet) production as a function of the minimum invariant mass  $M_{\gamma\gamma}^{\min}$  ( $M_{jj}^{\min}$ ). The bottom plots show Higgs boson production in gluon fusion, first inclusive and decaying into  $b\bar{b}$  as a function of  $p_b^{T,\min}$ , and then in association with a hard jet as a function of  $p_{\text{jet}}^{T,\min}$ .

## 2677 5.2.7 Summary and outlook.

2678 In this study, we have quantified the constraints that HL-LHC measurements are expected to impose  
 2679 on the quark and gluon structure of the proton. We have assessed the impact of a range of physical  
 2680 processes, from weak gauge boson and jet production to top quark and photon production, and studied  
 2681 the robustness of our results with respect to different projections for the experimental systematic uncertainties.  
 2682 We find that, in the invariant mass region  $M_X \gtrsim 100$  GeV, the HL-LHC measurements can be  
 2683 expected to reduce the PDF uncertainties in processes such as Higgs boson or SUSY particle production  
 2684 by a factor between 2 and 4, depending on the dominant partonic luminosity and on the scenario for the  
 2685 systematic errors. Therefore, we have demonstrated how the exploitation of the HL-LHC constraints  
 2686 on PDFs will feed into improved theoretical predictions for a range of phenomenologically relevant  
 2687 processes both within and beyond the SM.

2688 Two caveats are relevant at this point. First, we have only considered a non-exhaustive subset  
 2689 of all possible measurements of relevance for PDF fits. Other processes not considered here, due to  
 2690 currently anticipated measurements and those not foreseen but which may well added to the PDF toolbox  
 2691 in the future, will certainly increase the PDF impact in some regions. Second, we have ignored any  
 2692 possible issues such as data incompatibility, theoretical limitations, or issues with the data correlation  
 2693 models, which may limit the PDF impact in some cases. All these issues can only be tackled once the  
 2694 actual measurements are presented.

2695 The results of this study are made publicly available in the LHAPDF6 format [160], with the grid



names listed in Table 32. This way, the “ultimate” PDFs produced here can be straightforwardly applied to related physics projections of HL-LHC processes taking into account our improved knowledge of the partonic structure of the proton which is expected by then.

## 5.3 Underlying Event and Multiple Parton Interactions

### 5.3.1 Double Parton Scattering

Double parton scattering (DPS) is said to occur when one has two distinct hard parton-parton collisions in a single proton-proton interaction. In terms of the total cross section to produce a final state  $AB$  that may be divided into two subsets  $A$  and  $B$ , DPS is formally power suppressed by  $\sim \Lambda_{QCD}^2 / \min(Q_A^2, Q_B^2)$  compared to the more-familiar single parton scattering (SPS) mechanism. However, in practice there are various processes and kinematic regions where DPS contributes at a similar (or greater) level than SPS. Processes include those in which the SPS is suppressed by small/multiple coupling constants, such as same-sign  $WW$  production, and processes where at least one part of the final state can be produced via a comparatively low scale scattering – e.g. those involving a charm/bottom quark pair.

The full theoretical description of DPS in QCD is rather complex, and many of the steps towards its formulation were achieved only recently [525–530]. As a result, many past studies of DPS have taken a strongly simplified approach in which it is assumed that the two colliding partons from each proton are entirely uncorrelated with one another, and that the (single) parton density in momentum fraction  $x$  and impact parameter  $\mathbf{b}$  may be factorised into the PDF and a transverse profile depending only on  $\mathbf{b}$ . In this case the DPS cross section simplifies into the so-called ‘pocket formula’:

$$\sigma_{\text{DPS}}^{AB} \simeq \frac{\sigma_{\text{SPS}}^A \sigma_{\text{SPS}}^B}{\sigma_{\text{eff}}} \quad (38)$$

The quantity  $\sigma_{\text{eff}}$  is a geometrical factor of order of the proton radius squared. The modelling of more general multiple parton interactions (MPI) in Monte Carlo event generators such as Herwig and Pythia is based on similar approximations.

Eq. (38) does not take into account the possibility that the two partons from either or both protons may have arisen as the result of a perturbative  $1 \rightarrow 2$  splitting of a single parton into two. It also does not take into account a multitude of possible correlations between two partons in a proton, in spin, colour, and momentum fraction  $x_i$ , correlations between  $x_i$  and the transverse separation between partons  $\mathbf{y}$ , as well as potential interference contributions in parton type. These correlations and QCD effects can result in a DPS cross section differing from the prediction of eq. (38), both in terms of overall rate and also, crucially, in distributions.

Studies of DPS at the LHC and earlier colliders have essentially been restricted to extractions of a single number, the DPS rate, for several processes. From these early studies, in which the error bars are large and multiple factors change between measurements ( $x$  values, parton channels, scales...), nothing conclusive can be determined thus far concerning correlations. However, the increased luminosity of the HL-LHC will provide us with the statistics needed to study differential distributions with sufficiently small uncertainties that we will be able to probe quantum correlations between partons in the proton and the dynamics of the  $1 \rightarrow 2$  splitting for the first time. The results of these studies can be fed back and used to improve the theoretical modelling of DPS (and more general MPI), yielding improved DPS signal or background predictions.

As can be inferred from eq. (38), DPS very roughly scales as the fourth power of a parton distribution, whilst SPS only scales as the second power. This means that for given hard scales  $Q_A, Q_B$ , the DPS cross section grows faster than the SPS one as we increase collider energy (and decrease  $x$ ), meaning that at a HE-LHC DPS will be more prominent and easily measurable than at the LHC. At the same time, at the lower  $x$  values involved the effects of the correlations and  $1 \rightarrow 2$  splittings will



2739 be different - a combination of measurements of different processes at both the HL-LHC and HE-LHC  
 2740 should help us to separate out the effects of the different correlations.

2741 Let us illustrate the general points above using a concrete process – namely same-sign  $WW$   
 2742 production, where both  $W$ s decay leptonically into  $e$  or  $\mu$ . A simple correlation-sensitive observable for  
 2743 this process is the asymmetry  $a_{\eta_i}$ :

$$a_{\eta_i} = \frac{\sigma(\eta_1 \cdot \eta_2 < 0) - \sigma(\eta_1 \cdot \eta_2 > 0)}{\sigma(\eta_1 \cdot \eta_2 < 0) + \sigma(\eta_1 \cdot \eta_2 > 0)}, \quad (39)$$

2744 where  $\eta_{1,2}$  are the rapidities of the two leptons. This quantity measures the discrepancy between the  
 2745 number of times the produced leptons emerge into opposite hemispheres of the detector and the number  
 2746 of times they emerge into the same hemisphere, normalised by the total number of lepton pairs produced.  
 2747 In the absence of parton correlations, we have  $a_{\eta_i} = 0$ ; any departure from this value indicates the  
 2748 presence of correlations. A more differential version of this asymmetry is the cross section differential  
 2749 in the product  $\eta_1 \cdot \eta_2$ . Here an absence of correlations yields a symmetric distribution under  $\eta_1 \cdot \eta_2 \leftrightarrow$   
 2750  $-\eta_1 \cdot \eta_2$ , and an asymmetric distribution indicates correlations. In the below studies a cut of  $|\eta_i| < 2.4$   
 2751 is always applied.

2752 One type of correlation that will clearly affect these observables are correlations in momentum  
 2753 fraction  $x$  between the partons. This type of effect was investigated in [531]. Here, the double parton  
 2754 distributions (DPDs) were calculated at an input scale of  $Q_0^2 = 0.26 \text{ GeV}^2$  from a constituent quark  
 2755 model calculation where the proton is taken as being composed only from the three quarks  $uud$ . At this  
 2756 scale there are necessarily strong correlations in  $x$  space from the fact that we have only three quarks and  
 2757 the constraint  $\sum_i x_i = 1$ . These inputs were then evolved up to the  $W$  mass scale via the double DGLAP  
 2758 equations, with effects of  $1 \rightarrow 2$  splittings being ignored. In figure 65, the green band represents their  
 2759 result at  $\sqrt{s} = 14 \text{ TeV}$  for a quantity equal to  $\sigma(\eta_1 \cdot \eta_2 < 0)/\sigma(\eta_1 \cdot \eta_2 > 0)$  – their result corresponds to  
 2760  $a_{\eta_i} \sim 0.05$ . On the same plot is given the anticipated sensitivity of the CMS experiment at the HL-LHC  
 2761 ( $3\text{ab}^{-1}$ ) [532] (the black and yellow error bars) and the lowest values of this ratio that would allow one  
 2762 to reject the hypothesis of eq. (38) at the 95% confidence level (start points of black/yellow arrows).  
 2763 These results indicate good prospects of the HL-LHC measuring  $a_{\eta_i}$  values on the few per cent level for  
 2764 this process.

2765 One simple feature that must necessarily be present in the true DPDs, and is taken into account  
 2766 by the DPDs of [531] but not by eq. (38), is the fact that removing one valence  $u$  quark from the  
 2767 proton halves the probability to find another, and there is no chance to find two valence  $d$  quarks (this  
 2768 requirement is formally expressed in the number sum rules of [533]). This effect is highly relevant  
 2769 to  $a_{\eta_i}$  as it results in a reduction of cross section for large  $\eta_1 \cdot \eta_2$  (which probes the ‘double valence’  
 2770 region in one DPD) whilst leaving the cross section elsewhere unchanged. To investigate the size of  
 2771  $a_{\eta_i}$  resulting from this effect only, we constructed DPD inputs at  $Q_0 = 1 \text{ GeV}$  based on a factorised  
 2772 ansatz of a product of MSTW2008LO PDFs times a transverse factor, except that in the  $uu$  and  $dd$  cases  
 2773 the PDF part is given by  $D^u(x_1)D^u(x_2) - \frac{1}{2}D^{u_v}(x_1)D^{u_v}(x_2)$  and  $D^d(x_1)D^d(x_2) - D^{d_v}(x_1)D^{d_v}(x_2)$   
 2774 respectively. Evolving these inputs and using them to calculate the  $W^+W^+$  cross section at  $\sqrt{s} =$   
 2775  $13 \text{ TeV}$ , we observe an asymmetry of  $\sim 0.017$ , indicating that these simple ‘valence number effects’ are  
 2776 at least one important driving force in the asymmetry of [531].

2777 Correlations in (longitudinal) spin can affect the rapidity distributions of the produced leptons  
 2778 [534] and result in a nonzero  $a_{\eta_i}$ . The potential size of effects from spin correlations was investigated  
 2779 recently in [535]. In this study the unpolarised double parton distributions were constructed according to  
 2780 an uncorrelated ansatz at an initial scale of  $1 \text{ GeV}$ . The polarised double parton distributions, encoding  
 2781 parton spin correlations, were chosen at the initial scale to correspond to the maximal possible spin  
 2782 correlations (technically, saturate the positivity bounds [536]), in such a way that the effects on the  
 2783 cross section would be maximal. These distributions were evolved to the  $W$  mass and used to compute

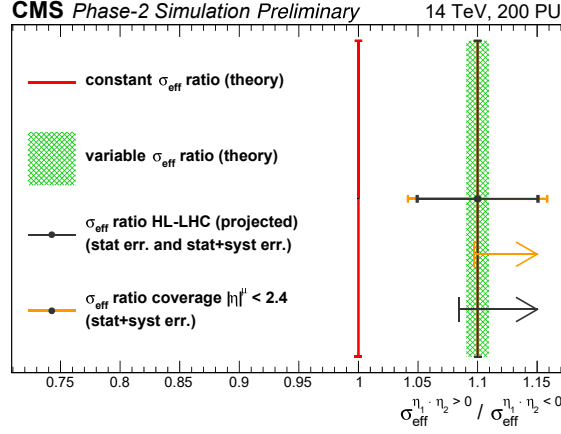


Fig. 65: Ratio of  $\sigma_{eff}$  for  $\eta_1 \cdot \eta_2 > 0$  and  $\eta_1 \cdot \eta_2 < 0$ , which is equal to the inverse ratio for  $\sigma_{DP5}$ . The value of this in the absence of parton correlations is 1 (red line), whilst the prediction of [531] is given by the green band. The black error bars indicate systematic uncertainty attainable by the CMS experiment at  $3ab^{-1}$ , the orange bars include systematic uncertainties assuming a conservative correlation of 0.8 between them for  $\eta_1 \cdot \eta_2 > 0$  and  $\eta_1 \cdot \eta_2 < 0$ . The vertical line on the arrows indicates the lowest measured value of the ratio that would allow the exclusion of the uncorrelated parton hypothesis (i.e. eq. (38) with constant  $\sigma_{eff}$ ) at 95% CL. The black arrow corresponds to muon rapidity coverage  $|\eta| < 2.8$ , and the orange arrow  $|\eta| < 2.4$ .

2784 polarised and unpolarised  $W^+W^+$  cross sections at  $\sqrt{s} = 13$  TeV. The resulting  $\eta_1 \cdot \eta_2$  distribution is  
 2785 shown in figure 66(a) – the corresponding value of  $a_{\eta_i}$  is 0.07, which is even larger than that resulting  
 2786 from  $x$  correlations. One should, however, bear in mind that this is a maximal value, and that there are  
 2787 possibilities for the polarised distributions at the input scale, compatible with the positivity bounds, that  
 2788 also ultimately yield negative values for  $a_{\eta_i}$  [537]. Figure 66(b) shows the expected significance of a  
 2789 measured non-zero asymmetry as a function of luminosity  $L$ , using a rapidity cut  $|\eta_i| > 0.6$  imposed  
 2790 such that the asymmetry  $a_{\eta_i}$  rises to 0.11 (but overall  $W^+W^+$  cross section reduces from 0.51 fb to 0.29  
 2791 fb). The blue band shows the sensitivity achievable using the  $\mu^+\mu^+$  channel only, whilst the red band  
 2792 shows the sensitivity attainable using  $\mu^+\mu^+$ ,  $\mu^+e^+$ , and  $e^+e^+$  assuming a similar sensitivity can be  
 2793 achieved for electrons as for muons. This plot reinforces the notion that a few per cent level asymmetry  
 2794 can be measured at the HL-LHC.

2795 To investigate how  $1 \rightarrow 2$  splittings may affect the asymmetry  $a_{\eta_i}$ , we upgraded the code dis-  
 2796 cussed in section 9 of [527] to include charm and bottom quarks above the appropriate mass thresholds  
 2797 (chosen here to be equal to the MSTW 2008 values of 1.40 GeV and 4.75 GeV respectively). The ‘in-  
 2798 trinsic’ and ‘splitting’ part of the DPDs were initialised as in [527] – in particular, the intrinsic part was  
 2799 initialised according to an uncorrelated ansatz, up to a suppression factor near the phase space boundary  
 2800  $x_1 + x_2 = 1$ , that does not have a strong impact on  $a_{\eta_i}$ . Then, any nonzero value of  $a_{\eta_i}$  will be almost  
 2801 entirely due to  $1 \rightarrow 2$  splitting effects. Computing  $W^+W^+$  cross sections at  $\sqrt{s} = 13$  TeV we obtained  
 2802  $a_{\eta_i} \sim 0.028$ , which is of similar size to the asymmetry arising from other sources.

2803 Note that the asymmetries from  $x$  correlations, valence number effects and  $1 \rightarrow 2$  splitting are in  
 2804 the same direction (favouring  $\eta_1 \cdot \eta_2 < 0$  over  $\eta_1 \cdot \eta_2 > 0$ ), whilst polarisation effects can potentially  
 2805 either favour a positive or negative asymmetry.

2806 At the HE-LHC, the asymmetry should be smaller for the same cuts on  $|\eta_i|$  – as  $x$  is lowered, we  
 2807 move away from the ‘double valence’ region where valence number effects are important, and the ratio

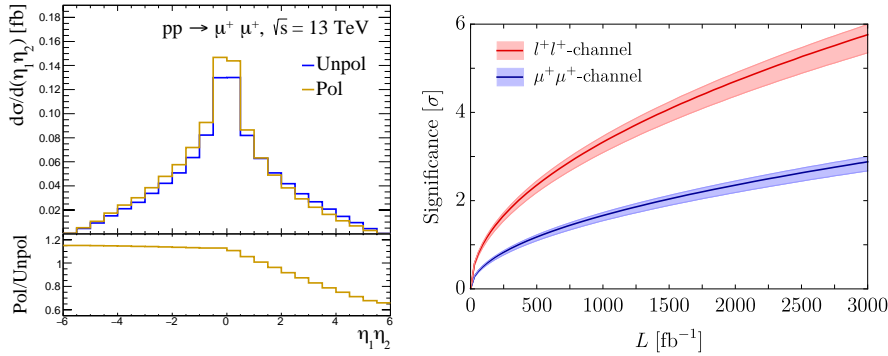


Fig. 66: Distribution in product of rapidites for two positively charged muons arising from  $W^+W^+$  DPS. The blue plot includes only the unpolarised contribution, whilst the yellow also includes longitudinally polarised contributions (left). Estimated significance of a nonzero asymmetry as the distance in standard deviations of a measured asymmetry from zero, when the  $W^+W^+$  cross section is 0.29 fb and asymmetry is 0.11 (right). This corresponds to the calculation of [535] with polarised contributions, and a cut on muon  $|\eta| > 0.6$ . The uncertainty bands indicate dependence of the sensitivity on assumptions regarding the subtraction of SPS backgrounds. More details regarding the set-up for both panels may be found in [535].

2808 of polarised to unpolarised quark distributions reduces (see figure 6 of [538]). Repeating the study above  
 2809 where a minimal modification of the uncorrelated ansatz at the input scale is made to take account of  
 2810 number effects, but at  $\sqrt{s} = 27$  TeV, we obtain  $a_{\eta_l} \sim 0.008$ . Including instead the effects of the  $1 \rightarrow 2$   
 2811 splittings yields  $a_{\eta_l} \sim 0.013$  at  $\sqrt{s} = 27$  TeV. At the HE-LHC (and the HL-LHC) it could be interesting  
 2812 to compare same-sign  $WW$ , which is comparatively weakly affected by  $1 \rightarrow 2$  parton splitting (due  
 2813 to the fact there is no direct LO splitting yielding, for example  $uu$ ), with processes that should receive  
 2814 stronger contributions from parton splitting, such as low mass Drell-Yan or  $b\bar{b}b\bar{b}$  production, to probe in  
 2815 detail the effects of the  $1 \rightarrow 2$  parton splitting and compare to theoretical predictions. More detailed  
 2816 studies in this direction are needed.

2817 In conclusion, the HL-LHC offers the opportunity to measure the effects of correlations between  
 2818 partons, via measurements of DPS processes, for the first time. In same-sign  $WW$  production a good  
 2819 observable to probe correlations is the lepton pseudorapidity asymmetry  $a_{\eta_l}$ , which can only be nonzero  
 2820 in the presence of correlations – theoretical calculations indicate values of  $a_{\eta_l}$  at LHC energies on the  
 2821 order of a few per cent, which should be measurable at the HL-LHC. By combining measurements of  
 2822 various processes sensitive to DPS at the HL-LHC, and later and the HE-LHC, we should ultimately be  
 2823 able to build up a picture of the various correlatons existing between partons in the proton.

### 2824 5.3.2 Underlying Event at 27 TeV

2825 Underlying event (UE), defined as a accompanying activity to hard proton-proton scattering process,  
 2826 is an unavoidable background to collider observables for most measurements and searches. The UE  
 2827 activity is not constant on an event-by-event basis, so the contribution from UE cannot be subtracted.  
 2828 However by using measurements sensitive to UE activity, the modelling of it in Monte Carlo (MC) event  
 2829 generators is *tuned*.

2830 Multiple parton interactions (MPI) are one of the most important contributors to UE. The dependen-  
 2831 dence of MPI on the center-of-mass energy ( $\sqrt{s}$ ) cannot be derived from first principles, rather modelled  
 2832 by looking at data at different center-of-mass energies, from Tevatron to LHC. At the start of LHC, it  
 2833 was found that the this energy extrapolation of MPI based in Tevatron Run I and II data (at  $\sqrt{s} = 1.8$  TeV

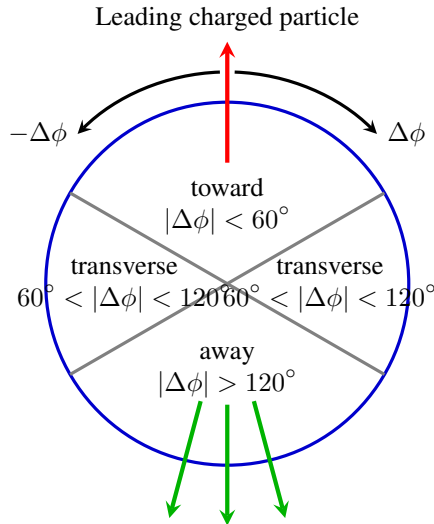


Fig. 67: Definition of UE regions in the azimuthal angle with respect to the leading charged particle

2834 and  $\sqrt{s} = 1.96$  TeV) did not describe the LHC data at  $\sqrt{s} = 900$  GeV and at  $\sqrt{s} = 7$  TeV [539], and  
 2835 predictions of different MC generators varied significantly. These generators were then tuned using LHC  
 2836 Run 1 and Run 2 ( $\sqrt{s} = 13$  TeV) data.

2837 To get a sense of the UE activity at HE-LHC, two state-of-the-art MC generators, PYTHIA8 [251]  
 2838 (v235) with Monash tune [188] and HERWIG7 [201, 540] (v713) with default tune were used. As the  
 2839 first measurements at a new center-of-mass energy data is easiest to perform in inclusive (i.e minimum-  
 2840 bias) events, 5 million such events were generated in each case. The UE activity is measured using the  
 2841 leading charged particle as the reference object, and defining the usual UE regions with respect to it, as  
 2842 shown in Fig. 67.

2843 In Fig. 68, the scalar sum (density in per unit  $\eta$ - $\phi$  area) of charged particles and charged particle  
 2844 multiplicity (density) as a function of leading charged particle  $p_T$  are shown. The data is from ATLAS  
 2845 measurement at  $\sqrt{s} = 13$  TeV [541], while MC predictions both at  $\sqrt{s} = 13$  TeV and  $\sqrt{s} = 27$  TeV  
 2846 are shown. A few conclusions can be drawn. The activity increases by about 25 - 30% by roughly  
 2847 doubling the center-of-mass energy, and the predictions by both generators are extremely consistent.  
 2848 The typical plateau-like behaviour of the activity with increasing leading charged particle  $p_T$  can be  
 2849 seen at  $\sqrt{s} = 27$  TeV as well.

2850 The similarity in predictions by two different generators is a welcoming sign, and perhaps indi-  
 2851 cates that the modelling of MPI evolution with center-of-mass energy is mature enough. Of course at  
 2852  $\sqrt{s} = 27$  TeV, the events will be very active, and disentangling the effect of MPI in even typical UE  
 2853 observables will be a challenge, and innovative topologies and observables will have to be devised in  
 2854 order perform UE measurements.

2855 The analysis and plots are done using the Rivet [304] analysis framework.

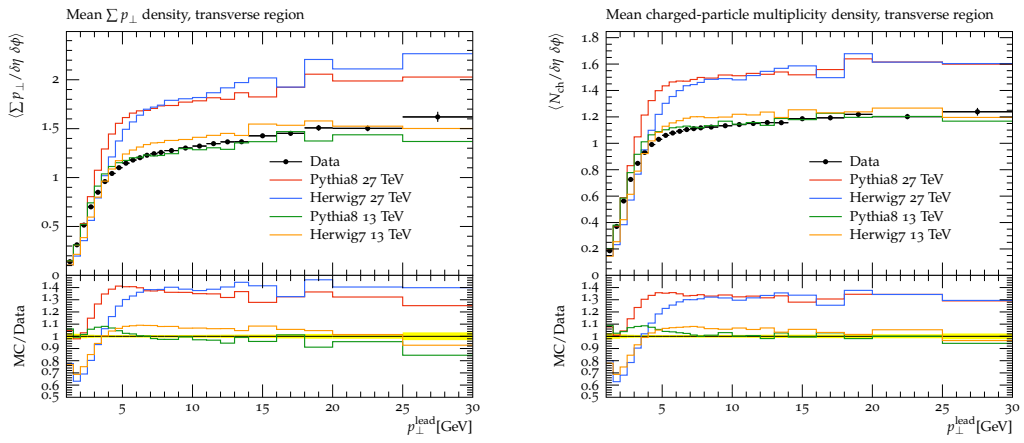


Fig. 68: Comparison of the UE activities in different center-of-mass energies.

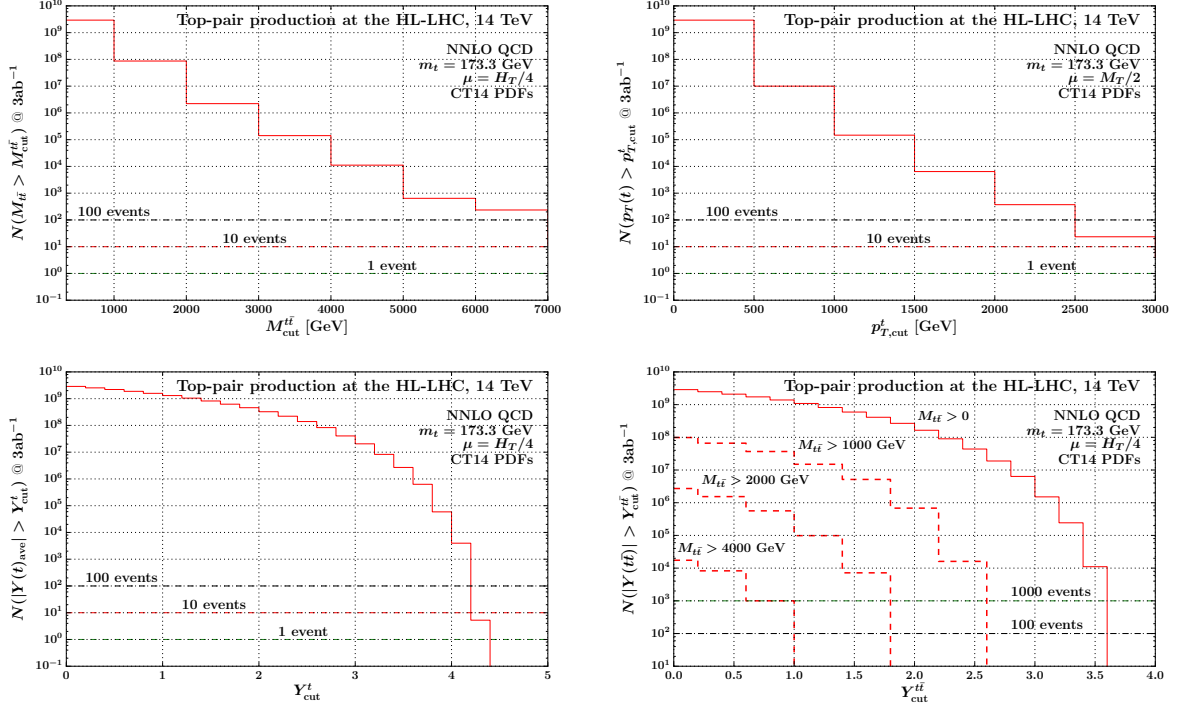


Fig. 69: Cumulative differential distributions for HL LHC at 14 TeV.

## 6 Top quark physics

### 6.1 Top quark cross section

#### 6.1.1 The $t\bar{t}$ production cross section: theoretical results

Precision measurements of top quark properties present an important test of the SM. As the heaviest particle in the SM, the top quark plays an important role for the electroweak symmetry breaking and becomes a sensitive probe for physics beyond the SM.

This section provides a quick reference for the kinematic reach of the main  $t\bar{t}$  differential distributions for both HL and HE LHC. The figures are given in terms of expected events for the proposed ultimate luminosities for both colliders:  $3ab^{-1}$  for the HL LHC running at 14 TeV and  $15ab^{-1}$  for the 27 TeV HE LHC. The results are presented as plots of cumulative differential distributions and should be interpreted as follows: the histograms show the numbers of expected events (for the luminosities given above) above a given cut in any one of the four kinematic variables:  $m_{t\bar{t}}$ ,  $p_{T,avt}$ ,  $y_{avt}$  and  $y_{t\bar{t}}$ . The predictions are based on the CT14 parton distributions [157] with value of the top quark mass  $m_t = 173.3$  GeV which is close to the current world average. The calculation is based on ref. [542] and uses the dynamical scales of ref. [171].

#### $t\bar{t}$ production at the HL LHC (14 TeV)

In fig. 69 we present predictions for the four cumulative distributions specified above, computed in NNLO QCD. We conclude that the HL LHC allows detailed studies of top quark pair production with  $m_{t\bar{t}}$  of up to about 7 TeV. Events with even larger values of  $m_{t\bar{t}}$  are kinematically accessible and one expects about 100 events with  $m_{t\bar{t}} > 7$  TeV. Therefore, the region  $m_{t\bar{t}} > 7$  TeV provides a low SM background for, for example, searches for decays of BSM heavy particles to  $t\bar{t}$  pairs. A detailed understanding of the SM background - at the level of one expected event - will require a dedicated future effort due to the significant MC error in that region.

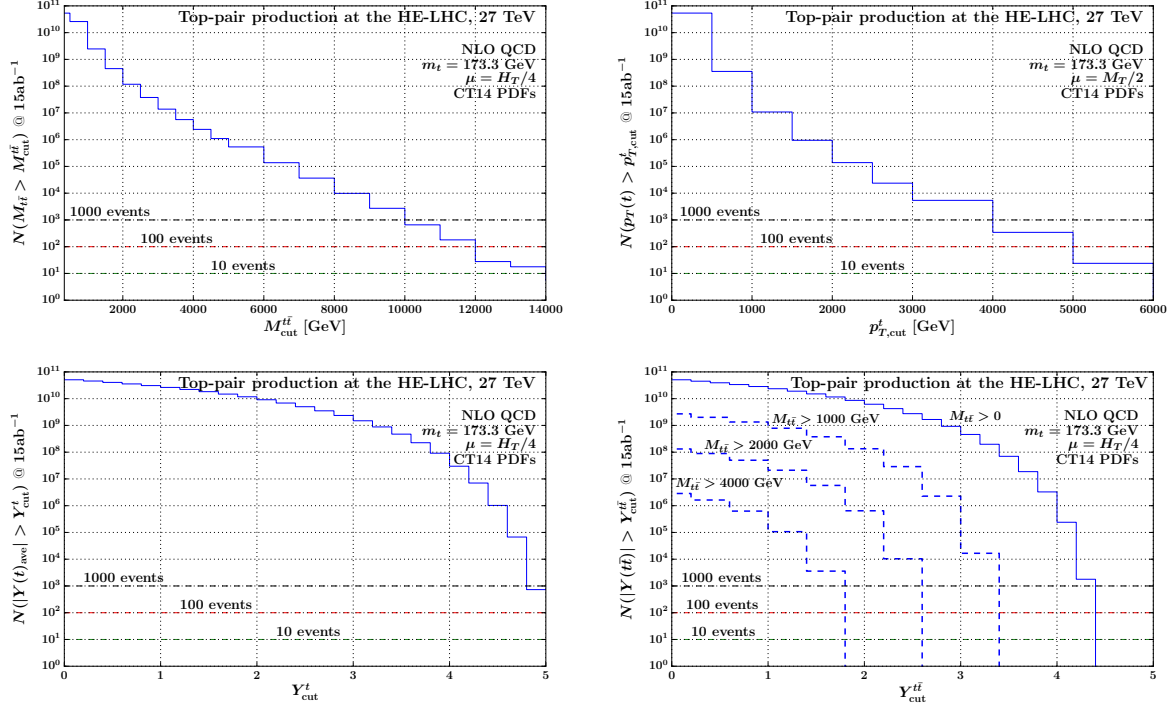


Fig. 70: Cumulative differential distributions for HE LHC at 27 TeV.

2879 The top quark  $p_T$  distribution can probe  $p_T$  values as high as 2.5 TeV, with a total of about 100  
 2880 events expected beyond that value.

2881 The HL LHC offers the possibility to access top production at high rapidity which might provide  
 2882 a link between top measurements at LHCb on one hand and ATLAS and CMS on the other. Indeed,  
 2883 in fig. 69 we observe that top quarks with rapidity  $y_{avt}$  as large as 4 will be copiously produced. The  
 2884 cross-section is a steeply falling function at large rapidity with a maximum attainable value of around  
 2885 4.2 or so. Similarly, the rapidity of top quark pairs can be measured in detail up to values exceeding 3.5  
 2886 with the maximum reach at about  $y_{t\bar{t}} \sim 3.8$ . In fig. 69 we have shown the  $y_{t\bar{t}}$  distribution for a set of cuts  
 2887 on the top pair invariant mass. One should bear in mind that the NNLO  $y_{t\bar{t}}$  calculation has significant  
 2888 MC error in the bins with 10 events or less.

### 2889 $t\bar{t}$ production at the HE LHC (27 TeV)

2890 In fig. 70 we present the predictions for the same four cumulative distributions but in NLO QCD. From  
 2891 this figure one can easily conclude that the increase in the kinematic reach over the HL LHC is very  
 2892 substantial. There will be few hundred events with  $m_{t\bar{t}}$  above 12 TeV and a similar number of events can  
 2893 be measured with  $p_T$  above 5 TeV. For the reliable description of such kinematics the inclusion of EW  
 2894 corrections as well as yet higher order soft and or collinear radiation will be essential; see refs. [113,178].

2895 Very large rapidities can be attained at the HE LHC. In particular, the top quark rapidity  $y_{avt}$   
 2896 distribution can be measured to values as high as 5.0 with excellent statistics. Indeed, about 1000 events  
 2897 are expected above  $y_{avt} = 5.0$ . The top pair rapidity can reach values as high as 4.4 and, if no additional  
 2898 cuts are applied, few thousand events will be produced with  $y_{t\bar{t}} > 4.0$ . As for the case of 14 TeV we  
 2899 also show in fig. 70 the expected number of events as a function of  $y_{t\bar{t}}$  for several cuts in  $m_{t\bar{t}}$ .



## 2900 6.1.2 Measurement of differential $t\bar{t}$ cross section

2901 We present a study of the resolved reconstruction of top quark pairs in the  $e/\mu$ +jets channels and a  
 2902 projection of differential  $t\bar{t}$  cross sections measurements with an integrated luminosity of  $3 \text{ ab}^{-1}$  at  
 2903 14 TeV. The analysis techniques are based on previous measurements of differential  $t\bar{t}$  cross sections at  
 2904 13 TeV [543, 544]. It is shown that such a measurement is feasible at the HL-LHC despite the expected  
 2905 large number of pileup interactions. The precision of the differential cross section can profit from the  
 2906 enormous amount of data and the extended  $\eta$ -range of the Phase-2 CMS detector. The results are used  
 2907 to estimate the improvement of measurements of parton distribution functions.

2908 This study is based on a DELPHES simulation of the Phase-2 CMS detector [545–548] using the  
 2909 Monte Carlo program POWHEG [273, 325, 385, 386] (v2,hvq) in combination with PYTHIA [251, 387]  
 2910 (v8.219) for the generation of  $t\bar{t}$  events at NLO accuracy. Events with a single isolated electron or muon  
 2911 with  $p_T > 30 \text{ GeV}$  and  $|\eta| < 2.8$  are selected. Events with additional isolated electrons or muons with  
 2912  $p_T > 15 \text{ GeV}$  and  $|\eta| < 2.8$  are rejected. At least 4 jets with  $p_T > 30 \text{ GeV}$  and  $|\eta| < 4.0$  are required,  
 2913 where at least 2 of the jets have to be identified as b jets. It is essential that the PUPPI algorithm [549]  
 2914 is used for the mitigation of pileup contribution when the jets are clustered and the  $\bar{p}_T^{\text{miss}}$  is calculated.

2915 A detailed description of the  $t\bar{t}$  reconstruction is presented in [543, 544]. For the reconstruction all  
 2916 possible permutations of assigning detector-level jets to the corresponding  $t\bar{t}$  decay products are tested  
 2917 and a likelihood that a certain permutation is correct is evaluated. In each event, the permutation with the  
 2918 highest likelihood is selected. The likelihood is constructed from the 2 dimensional  $m_t - m_{WV}$  distribution  
 2919 of correctly assigned jets for the hadronically decaying top quark and the distribution of  $D_{\nu, \text{min}}$  obtained  
 2920 when calculating the neutrino momentum [550] for the leptonically decaying top quark. A comparison  
 2921 of the expected event yields and the migration matrices together with their properties are shown in  
 2922 Fig. 71 for the HL-LHC expectation. Despite the high pileup a performance of the  $t\bar{t}$  reconstruction  
 2923 similar to the one in 2016 [544] can be reached, while the portion of the direct measurable phase-space  
 2924 is increased due to the extended  $\eta$ -range.

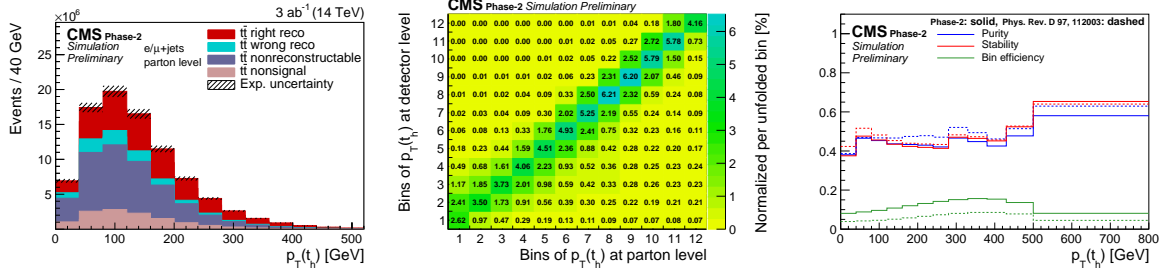


Fig. 71: Expected signal yields (left), migration matrices (middle), and its properties (right) for measurements of  $p_T(t_h)$  for the Phase-2 simulation. The purity is defined as the fraction of parton-level top quarks in the same bin at the detector level, the stability as the fraction of detector-level top quarks in the same bin at the parton level, and the bin efficiency as the ratio of the number of events found in a certain bin at detector level and the number of events found at parton-level in the same bin.

2925 The following experimental uncertainties are estimated based on the expected performance of the  
 2926 Phase-2 CMS detector [TODO cite performances]: electron and muon identification, b-tagging efficien-  
 2927 cies, jet energy and  $\bar{p}_T^{\text{miss}}$  calibration, and luminosity.

2928 Theoretical uncertainties have been studied in the 2016 analysis [544] and are taken from there.  
 2929 For the extended rapidity range, the uncertainties in the highest available rapidity bins of the 2016 anal-  
 2930 ysis are used. We consider this as a conservative estimate, since several improvements of the theoretical  
 2931 predictions are expected and further measurements can reduce modeling uncertainties. Therefore, we  
 2932 also provide a more optimistic version, where a reduction of all theoretical and modeling uncertainties

2933 uncertainties by a factor of two is assumed.

2934 The unfolded results of the differential  $t\bar{t}$  cross section measurements as a function of  $p_T$  and  
 2935 rapidity  $y$  of the hadronically decaying top quark ( $t_h$ ) are shown in Fig. 72. In Fig. 73 the normalized  
 2936 double-differential cross section as a function of  $M(t\bar{t})$  vs  $|y(t\bar{t})|$  is shown. The strong impact of these  
 2937 measurement on PDF constraints is studied in Section 6.1.3. The high amount of data and the extended  
 2938  $\eta$ -range of the Phase-2 detector allow for fine-binned measurements in phase-space regions — especially  
 2939 at high rapidity — that are not accessible in current measurements. The most significant reduction of  
 2940 uncertainty is expected due to an improved jet energy calibration.

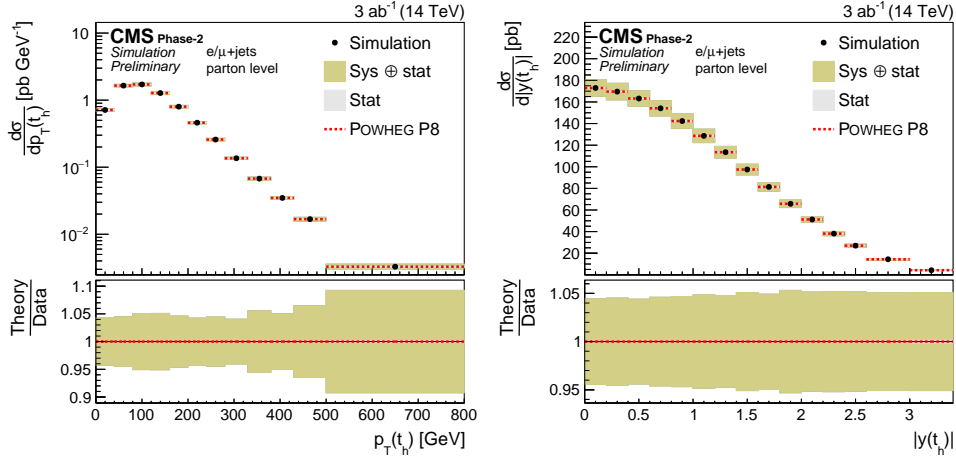


Fig. 72: Projections of the differential cross sections as a function of  $p_T(t_h)$  (right) and  $|y(t_h)|$  (left). The projections are shown with conservative (Sys con.) and optimistic (Sys opt.) assumptions on theoretical uncertainties.

### 2941 6.1.3 PDF constraints from double-differential $t\bar{t}$ cross sections

2942 The impact of differential  $t\bar{t}$  cross section measurements at the HL-LHC on the proton PDFs is quanti-  
 2943 tatively estimated using a profiling technique [446], which is based on minimizing  $\chi^2$  between data and  
 2944 theoretical predictions taking into account both experimental and theoretical uncertainties arising from  
 2945 PDF variations. The analysis is performed using the xFITTER program [459], with the theoretical pre-  
 2946 dictions for the  $t\bar{t}$  cross sections calculated at NLO QCD using the MG5\_AMC@NLO [12] framework,  
 2947 interfaced with the AMCFast [551] and APPLGRID [166] programs. Three NLO PDF sets were chosen  
 2948 for this study: ABMP16 [552], CT14 [157], and NNPDF3.1 [165]. The normalized double-differential  
 2949  $t\bar{t}$  production cross sections as a function of  $M(t\bar{t})$  vs  $|y(t\bar{t})|$  are used which are expected to impose  
 2950 stringent constraints on the gluon distribution [553]. The  $\chi^2$  value is calculated using the full covariance  
 2951 matrix representing the statistical and systematic uncertainties of the data, while the PDF uncertainties  
 2952 are treated through nuisance parameters. The values of these nuisance parameters at the minimum are  
 2953 interpreted as optimized or profiled PDFs, while their uncertainties determined using the tolerance cri-  
 2954 terion of  $\Delta\chi^2 = 1$  correspond to the new PDF uncertainties. The profiling approach assumes that the  
 2955 new data are compatible with theoretical predictions using the existing PDFs, such that no modification  
 2956 of the PDF fitting procedure is needed. Under this assumption, the central values of the measured cross  
 2957 sections are set to the central values of the theoretical predictions. The original and profiled ABMP16,  
 2958 CT14, and NNPDF3.1 uncertainties of the gluon distribution at the scale  $\mu_f^2 = 30\,000\text{ GeV}^2 \simeq m_t^2$  are  
 2959 shown in Fig. 74. A consistent impact of the  $t\bar{t}$  data on the PDFs is observed for the three PDF sets. The  
 2960 uncertainties of the gluon distribution are drastically reduced once the  $t\bar{t}$  data are included in the fit.

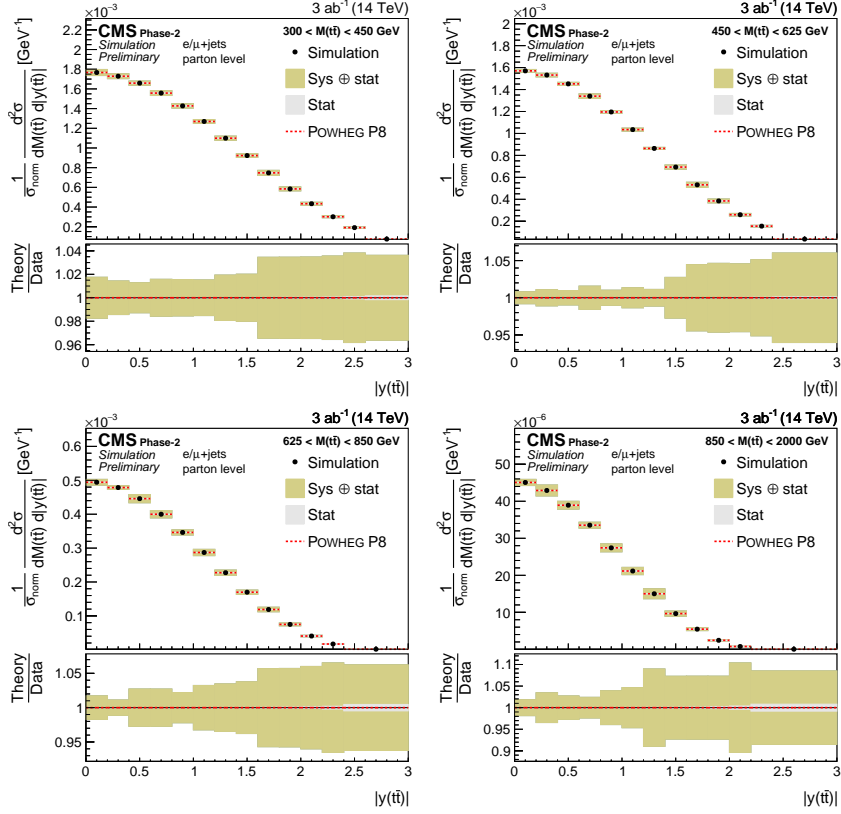


Fig. 73: Projections of the double-differential cross section as a function of  $|y(\bar{t}t)|$ . The projection is shown with conservative (Sys con.) and optimistic (Sys opt.) assumptions on theoretical uncertainties.

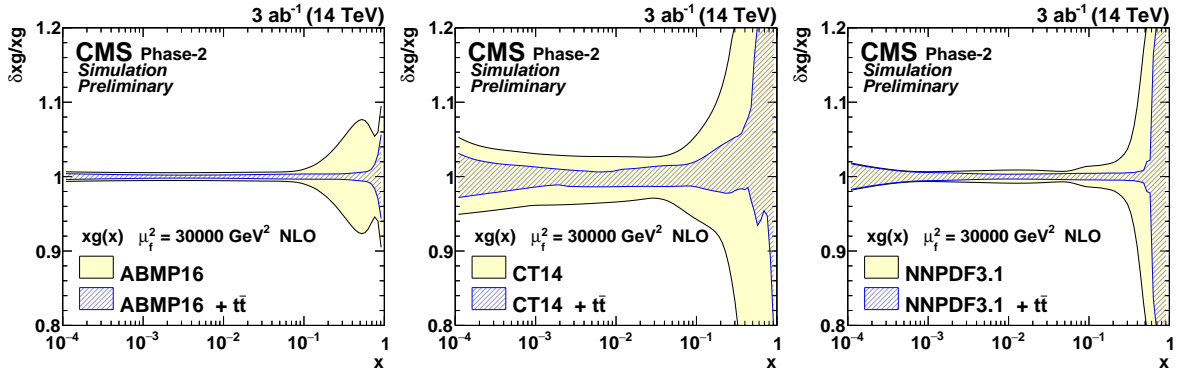


Fig. 74: The relative gluon PDF uncertainties of the original and profiled ABMP16 (left), CT14 (middle) and NNPDF3.1 (right) sets.

### 2961 6.1.4 Forward top quark physics

2962 Three measurements of top production have been performed by LHCb during Runs 1 and 2 of the LHC  
 2963 with a precision of (20-40)%, limited by the available data samples. As LHCb collects data at a lower  
 2964 rate than ATLAS and CMS, and has a limited acceptance, the measurements have focused on a partial  
 2965 reconstruction of the  $\bar{t}t$  final state in order to make optimal use of statistics. Additionally, as no estimate  
 2966 of missing energy is available, the measurements are performed at the level of the lepton and jets only,  
 2967 with no full top quark reconstruction performed. The first observation in the forward region was made  
 2968 in the  $\mu b$  final state, where the top quark is identified by the presence of a muon and a  $b$ -jet [554]. This

Table 33: The number of  $t\bar{t}$  events expected to be reconstructed at LHCb per final state using a dataset corresponding to an integrated luminosity of  $300 \text{ fb}^{-1}$ . The mean value of Bjorken- $x$  of the most energetic initiating parton is also shown for each final state.

Final state	$300 \text{ fb}^{-1}$	$\langle x \rangle$
$\ell b$	830k	0.295
$\ell b\bar{b}$	130k	0.368
$\mu e b$	12k	0.348
$\mu e b\bar{b}$	1.5k	0.415

2969 final state has the highest signal yield, but suffers from the largest backgrounds, in particular from  $W$   
 2970 boson production in association with a  $b$ -jet. It also cannot separate single top and top pair production,  
 2971 which both contribute to the final state. Measurements were also performed in the  $\ell b\bar{b}$  final state [555]  
 2972 and  $\mu e b$  final state [556], which suffer from lower statistics but select the signal with a higher purity.

2973 While current measurements in the top sector at LHCb have been statistically limited, the avail-  
 2974 able dataset at the HL-LHC, where LHCb is expected to collect  $300 \text{ fb}^{-1}$ , will permit precision mea-  
 2975 surements of the top quark pair production cross-section in the forward region, providing complemen-  
 2976 tary information to ATLAS and CMS. The expected number of top pair events to be reconstructed at  
 2977 LHCb are given in Table 33, where the yields are obtained using next-to-leading predictions from the  
 2978 aMC@NLO generator interfaced with Pythia 8, with electroweak corrections are approximated as de-  
 2979 scribed in Ref. [557]. Leptons are required to satisfy  $2.0 < \eta < 4.5$  and  $p_T > 20 \text{ GeV}$ , while jets  
 2980 are required to satisfy  $2.2 < \eta < 4.2$  and  $p_T > 20 \text{ GeV}$  in all final states except the  $\ell b$  final state,  
 2981 where the  $p_T$  threshold is raised to  $60 \text{ GeV}$  to combat the increased background. The detector effi-  
 2982 ciency is extrapolated from current measurements, where increases of between 10 and 50% are expected  
 2983 due to improvements in the  $b$ -tagging algorithm and analysis techniques. Both muons and electrons  
 2984 are assumed to be employed for all analyses with similar efficiencies due to anticipated improvements  
 2985 in electron performance at LHCb during the HL-LHC. Measurements are expected to be made at sub-  
 2986 percent statistical precision in the  $\ell b$  final state, and at the percent level in the  $\mu e b$  and  $\mu e b\bar{b}$  final states.  
 2987 The dominant systematic uncertainties are expected to arise from the purity determination, particularly  
 2988 for the single lepton final states, and the knowledge of the  $b$  tagging efficiency, which are both expected  
 2989 to be at the level of a few percent.

2990 As  $t\bar{t}$  production in the LHCb acceptance probes very large values of Bjorken- $x$ , it has the poten-  
 2991 tial to provide significant constraints on the gluon PDF in this region. The potential of the  $\mu e b$  final state  
 2992 was evaluated in Ref. [558], where reductions of 20% were found for a cross-section measurement with  
 2993 a precision of 4%. Even more stringent constraints can be obtained through precise differential cross-  
 2994 section measurements, and measurements in the  $\mu e b\bar{b}$  final state, both of which will only be possible  
 2995 with the data available at the HL-LHC.

### 2996 6.1.5 Single top cross section: theoretical results

2997 Although top quarks are predominantly produced in  $t\bar{t}$  pairs through strong interactions, a substantial  
 2998 fraction of them is also produced through the exchange of electroweak bosons. In the latter case, only a  
 2999 single (anti-)top is produced per collision, hence one refers to these processes as “single-top” production.  
 3000 Despite their smaller rates w.r.t. pair production, single-top processes offer unique opportunities to study  
 3001 the electroweak structure of top interactions.

3002 The purpose of this section is to summarize the state-of-the-art for the computation of single-top

3003 production cross sections, and highlight what type of studies could be performed with an HL/HE LHC  
 3004 upgrade.

3005 It is customary to categorize single-top production in the SM according to the virtuality of the  
 3006  $W$ -boson involved in the leading-order  $2 \rightarrow 2$  partonic process: the  $s$ -channel processes ( $q\bar{q}' \rightarrow t\bar{b}$ )  
 3007 involve the exchange of a time-like  $W$  boson, the  $t$ -channel processes  $bq \rightarrow tq'$  involve the exchange of  
 3008 a space-like  $W$ , while associated  $Wt$ -production ( $bq \rightarrow tW^-$ ) involves the production of a top quark in  
 3009 association with a  $W$  boson.

3010 Although convenient, the above characterization suffers two theoretical issues:

- 3011 – a classification in terms of underlying  $2 \rightarrow 2$  processes implicitly assumes that the  $b$ -quark is  
 3012 treated as massless, i.e. the computations are performed in the so-called five-flavour number  
 3013 scheme (5FNS). This framework effectively resums large logarithms of the form  $\ln m_b/Q$ , where  
 3014  $Q$  is a typical transverse scale of the process and as such it is particularly appropriate for ob-  
 3015 servables that are only sensitive to large  $p_T \gg m_b$  scales, like for example total cross sections.  
 3016 However, especially in the  $t$ -channel case, there are important observables which are sensitive to  
 3017 small transverse scales  $p_T \sim m_b$  (e.g. the kinematics of the “spectator”  $b$ -jet which originates  
 3018 from initial state  $g \rightarrow b\bar{b}$  splitting, particularly at small  $p_T$ ). In this case, the 5FNS is not appro-  
 3019 priate and it is important to treat the  $b$ -quark as massive, i.e. to work in four-flavour mass scheme  
 3020 (4FNS). In this scheme, the  $t$ -channel LO process becomes  $2 \rightarrow 3$ :  $gq \rightarrow t\bar{b}q'$ . The 4FNS and  
 3021 5FNS are formally equivalent, but differences can arise when the perturbative expansion is trun-  
 3022 cated, and in practice these effects might be relevant for some observables [559–561]. Within this  
 3023 context, the advantages of a HL/HE upgrade is twofold. On the one hand, the larger dataset and  
 3024 increased energy would allow for more harsh selection cuts that would effectively remove regions  
 3025 of the phase space sensitive to small transverse scales. This would allow for a clean theoretical  
 3026 description using the 5FNS, which does not suffer from large logarithmic contaminations. On the  
 3027 other hand, it would allow one to explore with high accuracy the transition region between the  
 3028 range of validity of the 4FNS and 5FNS, thus providing important information on their interplay.
- 3029 – once higher-order corrections are included, the distinction between  $s$  and  $t$  channels does not hold,  
 3030 due to interference effects. These interference effects first appear at order  $\mathcal{O}(\alpha_s^2\alpha^2)$ , i.e. at NNLO  
 3031 in the 5FNS, or at NLO in the 4FNS, and are color and (typically) kinematic suppressed. Given  
 3032 the large hierarchy and small kinematic overlap between  $t$ - and  $s$ - channels, interference effects  
 3033 are typically very small in  $pp$  collisions, but may in principle play a role if very high accuracy  
 3034 is required for specific observables. Moreover, once the  $W$  and top decay products are included,  
 3035 interferences arise also between  $t\bar{t}$ , single-top (with  $Wt$ -production, as well as  $t$ -channel in the  
 3036 4FNS) and  $WWb\bar{b}$  production, unless the narrow-width limit  $\Gamma_t \rightarrow 0$  is taken. These effects can  
 3037 play a role for high precision studies, see e.g. [562, 563].

3038 In spite of the above issues, as long as only NLO QCD corrections are considered, it is possible  
 3039 to compute well-defined cross-sections for  $s$  and  $t$ -channel in the 5FNS, and, by imposing a jet-veto on  
 3040  $b$ -jets, to suppress the contamination of  $t\bar{t}$  to the  $Wt$  process, thereby allowing for a sensible definition  
 3041 of the cross section for the latter channel as well. In table 34 we report the NLO cross sections for  
 3042 the 3 channels at the LHC, for centre-of-mass energies of 14 and 27 TeV. Scale and PDF uncertainties  
 3043 are also reported. At both energies, the  $t$ -channel is the dominant production mechanism. The relative  
 3044 importance of the  $s$ -channel decreases with the collider energy, while it increases for  $Wt$  associated  
 3045 production.

3046 In figure 75 we also show, for the  $t$ -channel case, the cumulative cross section with a minimum  
 3047  $p_{T,\min}$  cut on the top, or antitop, transverse momentum, obtained at NLO in the 5FNS. The two hori-  
 3048 zontal bars in the plot correspond to the cross sections for which one has 100 events, by assuming an  
 3049 integrated luminosity of  $3 \text{ ab}^{-1}$  at 14 TeV (red) and of  $15 \text{ ab}^{-1}$  at 27 TeV (blue).

	14 TeV			27 TeV		
	$\sigma$ [pb]	$\Delta_{\mu_r, \mu_f}$	$\Delta_{\text{PDF}}$	$\sigma$ [pb]	$\Delta_{\mu_r, \mu_f}$	$\Delta_{\text{PDF}}$
$t$ -channel ( $t$ )	156	+3% -2.2%	$\pm 2.3\%$	447	+3% -2.6%	$\pm 2\%$
$t$ -channel ( $\bar{t}$ )	94	+3.1% -2.1%	$\pm 3.1\%$	299	+3.1% -2.5%	$\pm 2.6\%$
$s$ -channel ( $t$ )	6.8	+2.7% -2.2%	$\pm 1.7\%$	14.8	+2.7% -3.2%	$\pm 1.8\%$
$s$ -channel ( $\bar{t}$ )	4.3	+2.7% -2.2%	$\pm 1.8\%$	10.4	+2.7% -3.3%	$\pm 1.8\%$
$Wt$ -channel* ( $t$ or $\bar{t}$ )	36	+2.9% -4.4%	$\pm 5\%$	137	+3.8% -6.1%	$\pm 4\%$

Table 34: Single-top inclusive cross sections at NLO for the LHC at 14 and 27 TeV, in the 5FNS. All results were obtained using PDF4LHC15\_nlo\_mc, the central value for the renormalization and factorizations scales ( $\mu_r, \mu_f$ ) have been set equal to  $m_t = 173.2$  GeV and varied by a factor of two, with the constraint  $1/2 \leq \mu_r/\mu_f \leq 2$ . \* For  $Wt$ -channel, a jet-veto on  $b$ -jets has been used ( $p_{t,b_j} < 50$  GeV), and the central value for  $\mu_r$  and  $\mu_f$  has been set to 50 GeV too. For these predictions,  $\hat{V}_{tb}$  has been set to one.

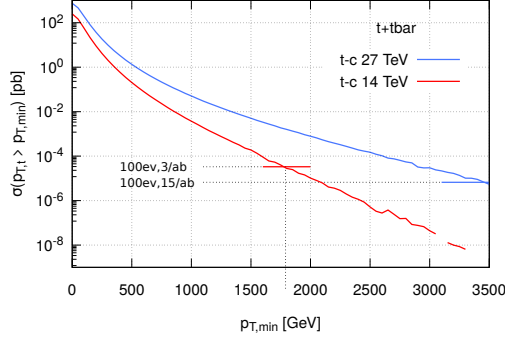


Fig. 75: Cumulative cross section for  $t$ -channel single-(anti)top production in the 5FNS at 14 and 27 TeV as a function of  $p_{T,\min}$ . The same settings used to obtain results in table 34 were used here.

3050 For  $t$ -channel production, NNLO QCD corrections have also been computed in refs. [513, 564,  
3051 565].<sup>18</sup> These corrections have been obtained in the structure function approximations, where higher-  
3052 order corrections to the light and heavy-quark lines ( $q \rightarrow q'W$  and  $b \rightarrow tW$ , respectively) are computed  
3053 separately. Within this approximation, the terms which are not included at NNLO are color suppressed  
3054 ( $1/N_c^2$ ), and hence estimated to be negligible for phenomenology, given the moderate size of NNLO  
3055 effects. Moreover, when working in these approximations, interference effects between  $s$  and  $t$ -channel  
3056 are also absent. The results obtained in refs [564, 565] indicate that NNLO QCD corrections are small:  
3057 the total cross sections at NNLO increase by at most 2% with respect to the NLO result (when the latter  
3058 is obtained with NLO PDFs), whereas the relative scale uncertainty is reduced by at least  $\sim 50\%$ .  
3059 Moreover, the NNLO result is contained within the NLO uncertainty band, showing extremely good  
3060 convergence for the perturbative expansion.<sup>19</sup> Despite the fact that the total cross section shows excel-  
3061 lent perturbative stability, more sizeable effects can be noticed in some differential distributions, where

<sup>18</sup>NNLO QCD results were also obtained for  $s$ -channel, see ref. [566].

<sup>19</sup>When NLO corrections are computed with NNLO PDFs, the NNLO/NLO ratio is instead slightly smaller than one, but the conclusions remain the same.



3062 NNLO/NLO corrections can reach  $\mathcal{O}(10\%)$  in certain regions of the transverse momentum distributions  
 3063 of the top (anti-)quark and the pseudo-rapidity distributions of the leading jet. In these cases, scale  
 3064 variation may underestimate the actual theoretical uncertainty.

3065 NNLO corrections to the top quark decay are also known [567, 568], and they can be combined  
 3066 with the NNLO correction to production using the “on-shell top-quark approximation” where the top  
 3067 width  $\Gamma_t$  is kept finite, but tree-level interference effects between the single top production and decay  
 3068 stage are neglected, as well as loop diagrams with a virtual gluon connecting the production and decay  
 3069 stages. This is an excellent approximation for inclusive-enough quantities, since omitted corrections are  
 3070 suppressed by a factor  $\Gamma_t/m_t < 1\%$ .<sup>20</sup> More details can be found in [513].

3071 In presence of fiducial cuts, it is important to stress that QCD corrections are more pronounced,  
 3072 with NNLO effects amounting about 5% on total rates as well as differential distributions. In this case,  
 3073 corrections from pure decay are typically half of those from pure production. Finally, we note that NLO  
 3074 EW corrections to on-shell single top production are small,  $\sim$  few permill, see e.g. [89]. EW effect can  
 3075 become more relevant in tails of distributions, or for observables highly sensitive to off-shell effects.

3076 Single-top can also be produced in association with a  $Z$  boson ( $tZq$ ). Although the cross section  
 3077 is smaller than in the aforementioned channels, a HL/HE upgrade at the LHC will allow one to measure  
 3078 well this production process too. QCD NLO corrections to  $tZq$ -production are known [570]. In table 35  
 we report the total cross sections at NLO in the SM, for centre-of-mass energies of 14 and 27 TeV.

	14 TeV	27 TeV
	$\sigma$ [fb]	$\sigma$ [fb]
$tZq$ -channel ( $t$ )	639	2536
$tZq$ -channel ( $\bar{t}$ )	350	1543

Table 35: Single-top production cross section in association with a  $Z$  boson, at NLO for the LHC at 14 and 27 TeV, in the 5FNS. All results were obtained using PDF4LHC15\_nlo\_mc, the renormalization and factorizations scales have been set equal to  $m_t = 173.2$  GeV.

3079  
 3080 As far as phenomenology is concerned, single-top offers the possibility to perform several studies  
 3081 within and beyond the SM. Within the “SM only” hypothesis, one can use it to extract information  
 3082 about the SM  $V_{tb}$  matrix element, as discussed for instance in ref. [571]. Setting constraints on the  $b$ -  
 3083 quark PDF might also be possible, by looking at charge ratios, i.e. ratios of  $t/\bar{t}$  cross sections. These  
 3084 ratios depend in general upon the PDFs used, and notably, in the  $t$ -channel case, on the  $b$ -quark PDF.  
 3085 Moreover, they can be predicted quite accurately, as most of the theoretical uncertainties cancel out in the  
 3086 ratio, leaving a residual theoretical uncertainty from scale variation (at NNLO) of few percent for each  
 3087 PDF set, as shown for instance in fig. 29 of ref. [513]. Although the charge ratio for total cross sections  
 3088  $\sigma_t/\sigma_{\bar{t}}$  exhibits a dependence upon the PDF set [572, 573], slightly more pronounced sensitivity might be  
 3089 obtained by looking at differential distributions, such as  $(d\sigma/dy_t)/(d\sigma/dy_{\bar{t}})$  and  $(d\sigma/dy_{\ell^+})/(d\sigma/dy_{\ell^-})$ ,  
 3090 which also allow one to constrain the  $u/d$  ratio in the proton. In figure 76 such a comparison among  
 3091 different PDF sets is shown, for LHC collisions at 14 and 27 TeV: differences among different PDF sets  
 3092 can be observed, especially at large rapidities. It is clear that a HL upgrade will allow one to reduce the  
 3093 statistical uncertainty at large rapidities, giving the chance to discriminate among different PDF sets. As  
 3094 the available phase space opens up, further sensitivity might be expected at 27 TeV.

<sup>20</sup> This is not the case for exclusive observables, which are sensitive to off-shell effects in the reconstructed top mass  $M_{Wb}$ , and beyond kinematic edges, see Ref. [569] for a thorough analysis.



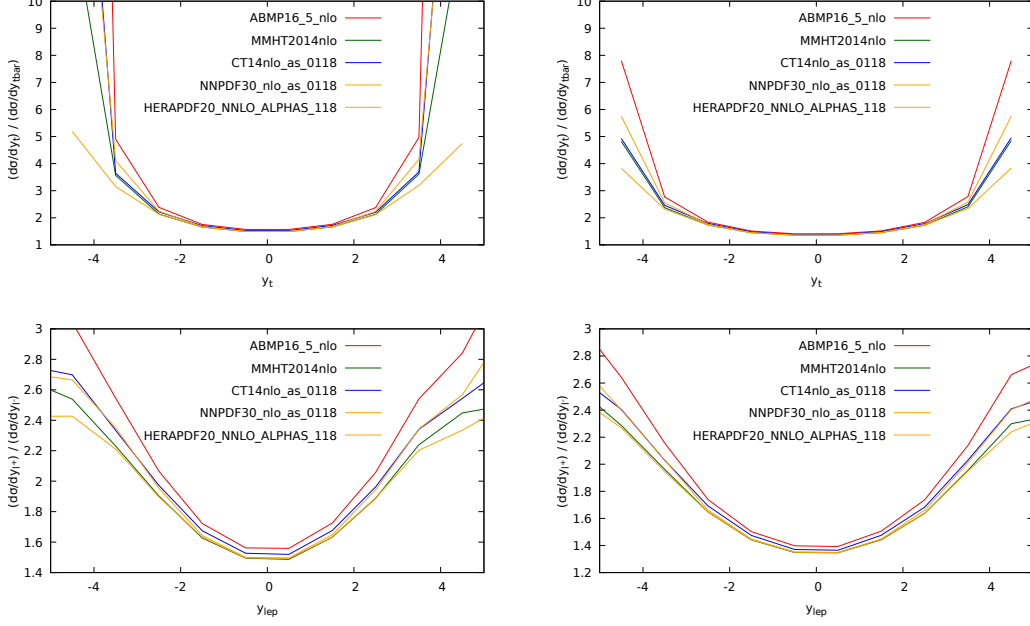


Fig. 76: Differential charge ratios  $\mathcal{O}_t/\mathcal{O}_{\bar{t}}$  at 14 (left panels) and 27 (right panels) TeV for the top quark and charged lepton rapidities, in  $t$ -channel single-top production.

3095 Single-top processes offer also several opportunities to probe some new-physics scenarios.<sup>21</sup> In  
 3096 order to systematically interpret potential deviations from the SM, it is particularly convenient to work  
 3097 in the SM Effective Field Theory (SMEFT) [574, 575], where the SM is augmented by a set of higher-  
 3098 dimension operators. If we limit the discussion to dimension-6 operators, the SMEFT Lagrangian has  
 3099 the form

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i}{\Lambda^2} \mathcal{O}_i + \mathcal{O}(\Lambda^{-4}). \quad (40)$$

3100 where the sum runs over all the dimension-6 operators that maintain the SM symmetries. The remarkable  
 3101 virtue of  $t$ -channel single-top production is that its cross section only depends upon a limited number of  
 3102 dimension-6 operators, thereby allowing to set bounds on them relatively easily. At LO and in the 5FNS  
 3103 only three operators contribute:

$$\mathcal{O}_{tW} = i(\bar{Q}\sigma^{\mu\nu}\tau_I t)\tilde{\phi}W_{\mu\nu}^I + \text{h.c.}, \quad (41)$$

$$\mathcal{O}_{\phi q}^{(3)} = i(\phi^\dagger \overleftrightarrow{D}_\mu \tau_I \phi)(\bar{q}_i \gamma^\mu \tau^I q_i) + \text{h.c.}, \quad (42)$$

$$\mathcal{O}_{Qq}^{(3,1)} = (\bar{q}_i \gamma_\mu \tau_I q_i)(\bar{Q} \gamma^\mu \tau^I Q), \quad (43)$$

3104 in agreement with the notation of [192]. The operators of eq. (41)-(42) modify the  $Wtb$  interaction in  
 3105 the following way

$$\begin{aligned} \mathcal{L}_{Wtb}^{\text{dim-6}} &= -\frac{g}{\sqrt{2}}\bar{b}(x)\gamma^\mu P_L t(x)W_\mu(x) \left(1 + \frac{C_{\phi Q}^{(3)}v^2}{\Lambda^2}\right) \\ &+ \frac{2v}{\Lambda^2}C_{tW}\bar{b}(x)\sigma^{\mu\nu}P_R t(x)\partial_\nu W_\mu(x) + \text{h. c.}, \end{aligned} \quad (44)$$

<sup>21</sup>In the following we limit our discussion to the  $t$ -channel case, and the production in association with a  $Z$  boson.

3106 where  $v = 246$  GeV is the Higgs doublet vacuum expectation value, and  $y_t$  the top quark Yukawa  
3107 coupling. Here and below we assume  $V_{tb} = 1$ . Note that the four-fermion operator of eq. (43) introduces  
3108 a contact  $udtb$  interaction. From eq. (44) it is clear that setting bounds on the SMEFT using single-top  
3109 measurements allows to probe in detail the structure of the  $Wtb$  coupling. A comprehensive discussion  
3110 can be found in [221], where a NLO study of the effect of these operators on total and differential  
3111 distributions in single top production and decay is performed.

In the SMEFT, the single top cross section can be parametrised as

$$\sigma = \sigma_{SM} + \sum_i \frac{1\text{TeV}^2}{\Lambda^2} C_i \sigma_i + \sum_{i \leq j} \frac{1\text{TeV}^4}{\Lambda^4} C_i C_j \sigma_{ij}. \quad (45)$$

3112 To establish the impact of the operators on single top production at HL/HE LHC, we show in table 36 the  
3113 ratio  $r_i = \sigma_i/\sigma_{SM}$  for 14 TeV and 27 TeV both for the inclusive cross section and the high transverse  
3114 momentum region. Results are obtained in the 5FNS with NNPDF3.0 LO PDFs [159]. Central scales  
3115 for  $\mu_R, \mu_F$  are chosen as  $m_t$ . We find that the impact of the operator in eq. (42) remains unchanged  
3116 when going from 14 to 27 TeV, as its effect is to only rescale the SM coupling. The impact of the dipole  
3117 operator (41) is only mildly affected by going to the HE-LHC, whereas the sensitivity to the four-fermion  
operator is the one which benefits most by probing the high  $p_T$  tail and by the HE-LHC.

	$t$ -channel 14 TeV ( $p_T^t > 350$ GeV)		$t$ -channel 27 TeV ( $p_T^t > 350$ GeV)	
$\sigma_{SM}$	225 pb	0.746 pb	640 pb	3.40 pb
$r_{tW}$	0.025	0.052	0.022	0.040
$r_{tW,tW}$	0.014	0.31	0.016	0.34
$r_{\phi Q^{(3)}}$	0.12	0.12	0.12	0.12
$r_{\phi Q^{(3)}, \phi Q^{(3)}}$	0.0037	0.0037	0.0037	0.0037
$r_{Qq^{(3,1)}}$	-0.36	-6.45	-0.39	-6.79
$r_{Qq^{(3,1)}, Qq^{(3,1)}}$	0.135	18.8	0.222	26.8

Table 36: Comparison among the LO sensitivities of  $t$ -channel single-top to the three operators described in eq. (41)-(43), for the inclusive cross-section and with a cut  $p_T^t > 350$  GeV, at 14 and 27 TeV. Results are obtained in the 5FNS with NNPDF3.0 LO PDFs [159], the renormalization and factorizations scales have been set equal to  $m_t = 173.2$  GeV. The interference term  $r_i = \sigma_i/\sigma_{SM}$  (when non-zero) and the square  $r_{i,i} = \sigma_{i,i}/\sigma_{SM}$  are given for each operator.  $\sigma_i$  and  $\sigma_{i,i}$  are defined in eq. (45).

3118

3119 Production in association with a  $Z$  boson is also important in the BSM context. A complete  
3120 study of its sensitivity to BSM effects was performed in ref. [220], where the interplay with  $t$ -channel  
3121 single-top, as well as single-top production in association with a Higgs boson, is discussed thoroughly,  
3122 and at NLO. Table 6 of [220] reports a comparison among the sensitivity of these processes to various  
3123 operators. Current limits from other processes, as well as current and future projections for bounds that  
3124 can be achieved looking into  $tZj$  production are also discussed (e.g. in fig. 6 of [220]). For some  
3125 operators, notably  $\mathcal{O}_{tW}$  and  $\mathcal{O}_{\phi q}^{(3)}$ , the improvement due to considering  $tZj$  measurements at HL are  
3126 remarkable, especially when tails of distributions are considered. It is likely that even more promising  
3127 results could be obtained at HE.

3128

3129 Another goal of a HL/HE upgrade is to extract bounds on (or find evidence of)  $WWZ$  anomalous  
3130 gauge couplings, or FCNC. In this context,  $tZq$  is quite important both because it is sensitive to these  
3131 effects, as well as because it's an irreducible background, as its production rate is competitive with  $t\bar{t}Z$   
production, where these effects are typically looked for.

## 3132 6.2 Four top production at the HL/HE LHC

3133 The production of four top quarks is one of the rare processes in top quark physics that has large  
 3134 sensitivity to variety of new physics effects (including effective field theory sensitivity and sensitiv-  
 3135 ity to anomalous top-Higgs couplings), while at the same time it is interesting in the Standard Model  
 3136 context as a complex QCD process. The cross section is about one order of magnitude smaller than  
 3137  $t\bar{t}\gamma$  production, with multiple precision calculations predicting values of  $\sigma_{t\bar{t}\bar{t}} = 9.2_{-2.4}^{+2.9}$ fb (NLO) and  
 3138  $\sigma_{t\bar{t}\bar{t}} = 11.97_{-2.51}^{+2.15}$ fb (NLO+EWK) [12, 115, 576].

3139 ATLAS and CMS have published multiple papers where limits on  $t\bar{t}\bar{t}$  production were presented  
 3140 as SM-oriented searches [577–579] and/or derived as a side product of searches for new physics, typi-  
 3141 cally coming from searches for vector-like quarks or MSSM SUSY signatures [580–584].

3142 The production of  $t\bar{t}\bar{t}$  is a rare standard Model (SM) process that is expected to be discovered  
 3143 by future LHC runs, including HL-LHC and HE-LHC. The increase in collision energy is important  
 3144 for  $t\bar{t}\bar{t}$  production because the cross section is largely induced by gluons in the initial state, leading to  
 3145 a substantial improvement in the signal-to-background ratio when the collision energy of the LHC is  
 3146 increased. Analyses looking for the production of  $t\bar{t}\bar{t}$  also are well-suited for interpretation in SMEFT  
 3147 [192].

3148 The  $t\bar{t}\bar{t}$  process has not yet been observed at the LHC. Once closer to observation, and consid-  
 3149 ering the sensitivity of  $t\bar{t}\bar{t}$  production to new physics scenarios in the top quark and scalar section, it  
 3150 is prudent to instead consider how accurately the cross section can be measured with the analyses. Of  
 3151 course in the future analysis techniques are also expected to improve, and dedicated analyses will surely  
 3152 improve this sensitivity, but this is beyond the scope of this study. It is however important to keep in  
 3153 mind that such a sensitivity study is less sensitive to systematic uncertainties on the background determi-  
 3154 nation, while being more sensitive to the signal modelling uncertainties and overall branching fraction  
 3155 and acceptance of the selection.

### 3156 6.2.1 State-of-the art predictions in the SM: the complete NLO corrections

3157 In this section we compute the so-called “complete”-NLO corrections to four-top production at the HE  
 3158 and HL LHC. Four-top production can proceed through different terms of order  $\alpha_s^p\alpha^q$  with  $p+q=4, 5$   
 3159 at LO and at NLO respectively. The term complete-NLO refers to computation of all terms with  
 3160  $p+q \leq 5$ , which has been performed for the first time in Ref. [115] by employing the newly-  
 3161 released version of MadGraph5\_aMC@NLO [12] capable of computing mixed QCD and Electroweak cor-  
 3162 rections [89]. Among the various contributions, the NLO QCD corrections ( $p=5, q=0$ ) are also  
 3163 included, which have been known for some years [576, 585]. Despite that power-counting arguments  
 3164 suggest that the larger  $q$  the more suppressed a contribution is, it has been shown in Ref. [115] that this  
 3165 is not the case for  $t\bar{t}\bar{t}$  production. In fact, terms with up to two powers of  $\alpha$  still contribute to several  
 3166 10%’s with respect to the  $\mathcal{O}(\alpha_s^4)$  LO contribution. One of the reasons why this happens is because of  
 3167 the large Higgs-top Yukawa coupling; furthermore, important cancelations appear among these terms,  
 3168 which may be spoiled by non-SM effects.

3169 In this short paragraph we report inclusive predictions for the HL and HE LHC, with a centre-of-mass  
 3170 energy of respectively 14 TeV and 27 TeV. For differential distributions, the qualitative and quantitative  
 3171 behaviour is very similar to the predictions at 13 TeV reported in Ref. [115] **We may add some if there**  
 3172 **is space**. We use the same setup and notation of Ref. [115], where the interested reader can find more  
 3173 details as well as predictions for 13 and 100 TeV.

3174 We report in Tab. 37 the total-cross section for  $t\bar{t}\bar{t}$  production in different approximations, and  
 3175 in Tab. 38 the breakdown of the different orders contributing at LO and NLO, as fraction of the  $\mathcal{O}(\alpha_s^4)$   
 3176 LO contribution, LO<sub>1</sub>. We observe that the pattern of relative corrections is rather similar between 14  
 3177 and 27 TeV. In particular, besides NLO<sub>1</sub> which is entirely of QCD origin, and thus displays a strong

Table 37: Cross section for four-top production at the HL and HE LHC, in various approximations. See Ref. [115] for details.

$\sigma$ [fb]		LO <sub>QCD</sub>	LO <sub>QCD</sub> + NLO <sub>QCD</sub>	LO	LO + NLO	$\frac{\text{LO}(\text{+NLO})}{\text{LO}_{\text{QCD}}(\text{+NLO}_{\text{QCD}})}$
$H_T/4$	14 TeV	$9.04^{+69\%}_{-38\%}$	$14.72^{+19\%}_{-23\%}$	$10.04^{+63\%}_{-35\%}$	$15.83^{+18\%}_{-21\%}$	1.11 (1.08)
	27 TeV	$81.87^{+62\%}_{-36\%}$	$135.19^{+19\%}_{-21\%}$	$91.10^{+56\%}_{-33\%}$	$143.93^{+17\%}_{-20\%}$	1.11 (1.06)

Table 38:  $t\bar{t}t\bar{t}$ :  $\sigma_{(\text{N})\text{LO}_i}/\sigma_{\text{LO}_{\text{QCD}}}$  ratios at 14 and 27 TeV, for different values of  $\mu = \mu_r = \mu_f$ . See Ref. [115] for details.

$\delta$ [%]	14 TeV			27 TeV		
	$\mu = H_T/8$	$\mu = H_T/4$	$\mu = H_T/2$	$\mu = H_T/8$	$\mu = H_T/4$	$\mu = H_T/2$
LO <sub>2</sub>	-25.8	-28.1	-30.4	-23.6	-25.9	-28.2
LO <sub>3</sub>	32.5	38.9	45.8	30.7	37.0	43.8
LO <sub>4</sub>	0.2	0.3	0.4	0.1	0.2	0.2
LO <sub>5</sub>	0.0	0.0	0.1	0.0	0.0	0.1
NLO <sub>1</sub>	14.7	62.9	103.3	21.7	65.1	101.9
NLO <sub>2</sub>	8.1	-3.5	-15.1	5.0	-4.4	-13.9
NLO <sub>3</sub>	-10.0	1.8	15.8	-7.8	1.6	13.2
NLO <sub>4</sub>	2.2	2.7	3.4	1.6	2.0	2.4
NLO <sub>5</sub>	0.1	0.2	0.2	0.1	0.2	0.2
NLO <sub>6</sub>	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1	< 0.1
NLO <sub>2</sub> + NLO <sub>3</sub>	-1.9	-1.7	0.7	-2.8	-2.8	-0.7

3178 dependence on the renormalisation and factorisation scales, such a feature is present also for NLO<sub>2</sub> and  
3179 NLO<sub>3</sub>, which witnesses the fact that they receive an important contribution through QCD corrections  
3180 from LO<sub>2</sub> and LO<sub>3</sub> respectively, on top of the electroweak corrections from LO<sub>1</sub> and LO<sub>2</sub>. Furthermore,  
3181 NLO<sub>2</sub> and NLO<sub>3</sub> tend to cancel each other almost exactly, leading to a complete-NLO prediction well  
3182 within the uncertainty band of the one at NLO QCD accuracy. Such a feature may be spoiled by effects  
3183 beyond the Standard Model, such as anomalous Higgs-top couplings. Thus, NLO corrections cannot be  
3184 neglected when similar studies are performed, such as those presented in Sect. 6.3.2.

## 3185 6.2.2 Plans for experimental measurements

### 3186 6.2.2.1 ATLAS To be updated

3187 ATLAS has studied the potential to measure the Standard Model  $t\bar{t}t\bar{t}$  cross section using  $3000 \text{ fb}^{-1}$  of  
3188 HL-LHC data in the channel with several leptons [?]. Events are selected if they contain at least two  
3189 isolated leptons with the same charge or at least three isolated leptons. At least five jets among which at  
3190 least two are  $b$ -tagged are required. In addition the scalar sum of the  $p_T$  of all selected jets and leptons  
3191 ( $H_T$ ) is requested to be  $H_T > 500 \text{ GeV}$  and the missing transverse momentum  $MET > 40 \text{ GeV}$ . In  
3192 order to extract the measured  $t\bar{t}t\bar{t}$  cross section a fit is performed to the  $H_T$  distributions in several signal  
3193 regions according to the jets and  $b$ -jets multiplicities: at least 6 jets and exactly 2  $b$ -jets, or at least 5 jets  
3194 and exactly 3  $b$ -jets, or at least 6 jets and at least 4  $b$  jets. These regions are further split in events with  
3195 two same sign leptons or with at least three leptons leading to 6 signal regions.

3196 The background arises from  $t\bar{t}V$ , multiboson and  $t\bar{t}H$  events as well as events with fake or non

3197 prompt leptons. The rate of this difficult instrumental background is computed from the ATLAS  $36 \text{ fb}^{-1}$   
 3198 analysis [584] in the relevant regions with different lepton and  $b$ -tagged jet multiplicities. The number  
 3199 of events selected in the different signal regions are shown in Figure 77.

3200 The main sources of systematic uncertainties taken into account come from uncertainties on the  
 3201 fake lepton background and on the SM background and signal normalisations. A maximum-likelihood  
 3202 fit is performed simultaneously in the six signal regions to extract the  $t\bar{t}t\bar{t}$  signal cross section normalised  
 3203 to the prediction from the SM. The impact of systematic uncertainties on the background expectations  
 3204 is described by nuisance parameters. As a result of the fit, the expected uncertainty on the measured  $t\bar{t}t\bar{t}$   
 3205 cross section is found to be XX%. The systematic uncertainty that impacts the precision the most is ....  
 3206 Overall the impact of the systematic uncertainties remain limited as a fit without systematic uncertainties  
 3207 leads to a precision of XX% on the extracted  $t\bar{t}t\bar{t}$  cross section.

Fig. 77: Number of selected events in the different signal regions considered in the ATLAS analysis [?].

### 3208 6.2.2.2 CMS

3209 The most sensitive result of the CMS collaboration on the Standard Model  $t\bar{t}t\bar{t}$  process [577] is based  
 3210 on an integrated luminosity of  $35.9 \text{ fb}^{-1}$  and a center of mass energy of 13 TeV, and relies on events with  
 3211 2 same-sign leptons or 3 or more leptons. This Run 2 analysis sets an expected 95% CL upper limit on  
 3212 the  $t\bar{t}t\bar{t}$  production cross section of  $20.8_{-6.9}^{+11.2} \text{ fb}$ , and an expected significance (based on a cross section  
 3213 of 9.2 fb) of 1.0 standard deviations above the background-only hypothesis.

3214 The result of Ref. [577] is used to derive extrapolations for HL and HE-LHC, which are described  
 3215 in Ref. [586] and summarized below. The extrapolations rely on a simple rescaling of the signal and  
 3216 background cross sections, and make different assumptions on the systematic uncertainties. First the  
 3217 statistical uncertainties (*Stat. only*) are considered, then the same systematic uncertainties as the pub-  
 3218 lished result are used (*Run 2*), and finally these systematics are progressively reduced as a function of  
 3219 the integrated luminosity (*YR18, YR18+*).

3220 The expected sensitivity on the  $t\bar{t}t\bar{t}$  cross section for different HL and HE-LHC scenarios is listed  
 3221 in Tab. 39. Based on these results, evidence for  $t\bar{t}t\bar{t}$  production will become possible with around 300  
 3222  $\text{fb}^{-1}$  of HL-LHC data at  $\sqrt{s} = 14 \text{ TeV}$ , at which point the statistical uncertainty on the measured cross  
 3223 section will be of the order of 30% and the measurement will have a total uncertainty of around 33-  
 3224 43%, depending on the systematic uncertainty scenario considered. For larger datasets at HL-LHC, all  
 3225 scenarios considered become dominated by systematic uncertainties. With  $3 \text{ ab}^{-1}$  the cross section can  
 3226 be constrained to 9% statistical uncertainty, and the total uncertainty of a measurement ranges between  
 3227 18% and 28% depending on the considered systematic uncertainties. At HE-LHC the  $t\bar{t}t\bar{t}$  cross section  
 3228 is expected to be constrained to within a 1-2% statistical uncertainty, and the systematic uncertainties  
 3229 also decrease due to the improved signal to background ratio at  $\sqrt{s} = 27 \text{ TeV}$ . Future changes to the  
 3230 analysis strategy might allow improvements based on optimizing the interplay between statistical and  
 3231 systematic uncertainties.

3232 The  $t\bar{t}t\bar{t}$  cross section measurements can also be used to constrain the Wilson coefficients of the  
 3233  $\mathcal{O}_R, \mathcal{O}_L^{(1)}, \mathcal{O}_B^{(1)}$  and  $\mathcal{O}_B^{(8)}$  dimension-6 operators of the Effective-Field-Theory (EFT) Lagrangian. These  
 3234 constraints are included in Ref. [587] for both HL-LHC and HE-LHC scenarios.

## 3235 6.3 Four top quarks as a probe of new physics

### 3236 6.3.1 Limits on pseudoscalar colour-octets

Heavy coloured resonances decaying into a pair of top quarks are present in many new physics theo-  
 ries [588–592]. Such particles are typically pair-produced at large rate and their decay then leads to a

Table 39: Expected sensitivity for the production cross section of  $t\bar{t}t\bar{t}$  production, in percent, at 68% confidence level. The fractional uncertainty on the cross section signal strength is given for various LHC upgrade scenarios. Cross sections are corrected for the changes expected by  $\sqrt{s}$ . For the 15  $\text{ab}^{-1}$  27 TeV scenario, the systematic uncertainty extrapolation is no longer valid, so only the statistical uncertainty is provided.

Int. Luminosity	$\sqrt{s}$	Stat. only (%)	Run 2 (%)	YR18 (%)	YR18+ (%)
300 $\text{fb}^{-1}$	14 TeV	+30, -28	+43, -39	+36, -34	+36, -33
3 $\text{ab}^{-1}$	14 TeV	$\pm 9$	+28, -24	+20, -19	$\pm 18$
3 $\text{ab}^{-1}$	27 TeV	$\pm 2$	+15, -12	+9, -8	+8, -7
15 $\text{ab}^{-1}$	27 TeV	$\pm 1$			

substantial enhancement of four-top production. Current bounds on such a setup are driven by a recent CMS analysis of four-top events [577], using 35.9  $\text{fb}^{-1}$  of LHC collisions at a centre-of-mass energy of 13 TeV. Those bounds should however strongly improve in the upcoming years, as illustrated in this contribution with the example of a scalar colour-octet field  $O$ , traditionally dubbed a sgluon. The effective Lagrangian describing the couplings of such a sgluon to the Standard model is given by [593]

$$\mathcal{L} \supset g_8 d_{abc} O^a G_{\mu\nu}^b G^{\mu\nu c} + \tilde{g}_8 d_{abc} O^a G_{\mu\nu}^b \tilde{G}^{\mu\nu c} + \left\{ \tilde{q} \left[ \mathbf{y}_8^L P_L + \mathbf{y}_8^R P_R \right] O^a T_a q + \text{h.c.} \right\}, \quad (46)$$

where  $T^a$  and  $d_{abc}$  are respectively the fundamental representation matrices and symmetric structure constants of  $SU(3)$ . Moreover, flavour and fundamental colour indices are understood for simplicity and we denote the gluon field strength (dual field strength) tensor by  $G_{\mu\nu}^a$  ( $\tilde{G}_{\mu\nu}^a$ ). We focus on the case of a pseudoscalar sgluon with  $g_8 = 0$  and purely imaginary  $\mathbf{y}_8$  matrices, and additionally enforce  $\tilde{g}_8 = 0$  as in Dirac gaugino supersymmetric scenarios. A non-vanishing  $\tilde{g}_8$  coupling would however weaken the bounds by reducing the sgluon branching ratio into top quarks. In order to assess the impact of future search on the potential discovery of a sgluon, we follow the recasting strategy detailed in Ref. [594]. We generate an NLO UFO module [595] through FEYNRULES [596], NLOCT [597] and FEYNARTS [598] and use it to generate events within the MADGRAPH5\_aMC@NLO framework [12], the hard-scattering matrix elements being convolved with the NNPDF3.0 NLO set of parton densities [159] and the sgluon decays being achieved with MADSPIN [364] and MADWIDTH [599]. Parton showering and hadronisation are performed by PYTHIA 8 [324] and we simulate the response of the CMS detector with DELPHES 3 [232] and FASTJET [303]. Finally, we mimic the four-top selection strategy of CMS [577] by using the MADANALYSIS 5 [600–602] framework.

The best signal region (SR6) from Ref. [577], in terms of constraints, focuses on a topology featuring one pair of same-sign leptons, at least 4  $b$ -jets and at least 5 hard jets. We show the observed and expected limits on the pseudoscalar octet cross section times the corresponding branching ratio into four top quarks in Fig. 78 (left). While the analysis of Ref. [577] targeted a Standard Model four-top signal, future studies adopting a new physics signal selection strategy relying on the large differences in the final-state kinematics could be more adapted and lead to sizeable improvement in the reach [594].

To calculate the projected sensitivity of the HL/HE LHC, we assume that the current selection efficiencies at 13 TeV are similar to the future ones, and moreover rescale the four-top and other SM backgrounds by the appropriate partonic luminosities relative to those at 13 TeV. The rescaling factor for the non-four-top SM background is taken to be the largest ratio of the  $t\bar{t}Z$  and  $t\bar{t}W$  background component, using the projected cross-sections from elsewhere in this report **ADD reference to  $t\bar{t}W/Z$  sections**. We obtain factors of 1.3 and 12 for the 14 and 27 TeV cases, respectively. According to Sect. 6.2.1, the four-top cross section is then set to 15.83 fb and 144 fb at 14 and 27 TeV, respectively, recalling that the 13 TeV cross section is of 11.97 fb. The results for the projected mass limits are then given in the following Table 40, together with the 13 TeV value for reference.



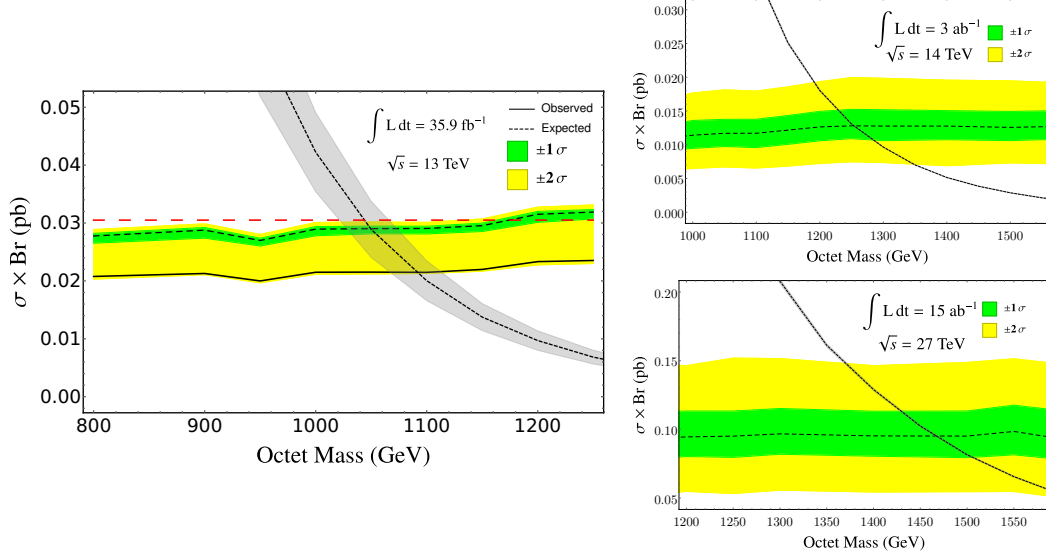


Fig. 78: Left: Expected (dashed) and observed (solid) pseudoscalar sgluon pair-production cross section excluded at the 95% confidence level when making use of the results associated with the SR6 region of the four-top CMS analysis of Ref. [577]. Theoretical predictions for the signal rate are indicated by the grey band. Right: expected limits for proton-proton collisions at centre-of-mass energies of 14 (top) and 27 (bottom) TeV, with the sgluon cross-section as the fine dotted line.

Table 40: Results for the projected mass limits on pseudo-scalar color octets.

	35.9 fb <sup>-1</sup> @ 13 TeV	3 ab <sup>-1</sup> @ 14 TeV	15 ab <sup>-1</sup> @ 27 TeV
Octet mass (GeV)	1060	1260	1470

### 3266 6.3.2 Limits on top-Higgs interaction from multi-top final state

3267 Four top-quark ( $t\bar{t}t\bar{t}$ ) production provides a powerful tool to probe the Top-quark Yukawa coupling  
3268 ( $y_t$ ) [603]. In the SM the  $t\bar{t}t\bar{t}$  production can be induced either by the pure gauge interaction (involving  
3269 the gluon, Z-boson or photon in the intermediate state) [604] or by the Higgs boson mediation [603].  
3270 Defining the general top-Higgs coupling as  $y_t \equiv \kappa_t y_t^{\text{SM}}$  with  $y_t^{\text{SM}}$  the top-Yukawa coupling in the SM,  
3271 the leading-order cross section of  $t\bar{t}t\bar{t}$  production can be parameterized as

$$\sigma(t\bar{t}t\bar{t}) = \sigma(t\bar{t}t\bar{t})_{g/Z/\gamma}^{\text{SM}} + \kappa_t^2 \sigma(t\bar{t}t\bar{t})_{\text{int}}^{\text{SM}} + \kappa_t^4 \sigma(t\bar{t}t\bar{t})_H^{\text{SM}}, \quad (47)$$

3272 where  $\sigma(t\bar{t}t\bar{t})_{g/Z/\gamma, H, \text{int}}^{\text{SM}}$  denotes the cross section induced by the pure gauge interaction, Higgs-boson  
3273 mediation and the interfere effect, respectively. Note that  $\sigma_{H, \text{int}}^{\text{SM}}$  is comparable to  $\sigma(t\bar{t}t\bar{t})_{g/Z/\gamma}^{\text{SM}}$  as  $y_t^{\text{SM}} \sim$   
3274 1 in the SM. For example, the leading order calculation with the renormalization/factorization scale ( $\mu$ )  
3275 fixed to the dynamics scale [12] yields

$$\begin{aligned} \text{HL} - \text{LHC} (\sqrt{s} = 14 \text{ TeV}) & : \quad \sigma(t\bar{t}t\bar{t}) = 13.14 - 2.01\kappa_t^2 + 1.52\kappa_t^4 \text{ [fb]} \\ \text{HE} - \text{LHC} (\sqrt{s} = 27 \text{ TeV}) & : \quad \sigma(t\bar{t}t\bar{t}) = 115.10 - 15.57\kappa_t^2 + 11.73\kappa_t^4 \text{ [fb]} \end{aligned} \quad (48)$$

3276 Clearly,  $\sigma(t\bar{t}t\bar{t})$  depends only on  $\kappa_t$  such that it directly probes  $y_t$  without any assumption on Higgs  
3277 boson. The above values suffer from a large  $\mu$  dependence; when varying the scale by a factor 2, the  
3278 cross section varies by about 50%. It is crucial to take the full next-to-leading order corrections [115,576]  
3279 into account to get a realistic simulation. Here, we generate the tree level events and rescale the cross  
3280 section to the NLO.



3281 A special signature of the  $t\bar{t}\bar{t}\bar{t}$  events is the same-sign charged leptons (SSL) from the two same-  
3282 sign top quarks. The other two top quarks are demanded to decay hadronically to maximize the event  
3283 rate. Therefore, the topology of the signal event consists of two same-sign charged leptons, four  $b$ -  
3284 quarks, four light-flavor quarks, and two invisible neutrinos. In practice it is challenging to identify four  
3285  $b$ -jets. Instead, we demand at least 5 (6) jets are tagged and three of them are identified as  $b$ -jets at  
3286 the HL(HE)-LHC, respectively. The two invisible neutrinos appear as a missing transverse momentum  
3287 ( $\cancel{E}_T$ ) in the detector. The SM backgrounds contain  $t\bar{t} + X$ ,  $W^\pm W^\pm jj$  and  $W^\pm W^\pm jj$  processes. See  
3288 Ref. [603] for the details of those kinematic cuts used to disentangle the  $t\bar{t}\bar{t}\bar{t}$  signal from the huge  
3289 backgrounds. We demand  $\cancel{E}_T > 100$  GeV at the HL-LHC and  $\cancel{E}_T > 150$  GeV at the HE-LHC.  
3290 Table 41 displays the numbers of signal and background events after applying the kinematics cuts listed  
3291 in each row sequentially. In Table 41, at the HL-LHC the  $t\bar{t}\bar{t}\bar{t}$  production cross section is multiplied by  
3292 a constant  $K$ -factor of 1.27 with uncertainty 27% (see [576]), while at the HE-LHC the cross section is  
3293 rescaled to NLO order of  $143.93^{+17\%}_{-20\%}$  fb (see Tab. 37 in Sect. 6.2.1).

3294 The MC simulation shows that the  $t\bar{t}\bar{t}\bar{t}$  production ( $\kappa_t = 1$ ) can be discovery at a  $5\sigma$  confidence  
3295 level with an integrated luminosity of  $2075 \text{ fb}^{-1}$  at the HL-LHC and  $146 (72, 216) \text{ fb}^{-1}$  at the HE-LHC,  
3296 respectively. The event rate is not enough for measuring  $y_t$  precisely at the HL/HE-LHC but it is good  
3297 for bounding  $y_t$ ; for example, we obtain a direct bound  $\kappa_t \leq 1.41 [1.37, 1.47]$  at the HL-LHC and  
3298  $\kappa_t \leq 1.15 [1.12, 1.17]$  ( $1.12 [1.10, 1.13]$ ,  $1.10 [1.08, 1.12]$ ) with an luminosity of 10 (20, 30)  $\text{ab}^{-1}$  at  
3299 the HE-LHC, respectively.

3300 We conclude with a word of care on the interpretation of results from our study: as it has been  
3301 discussed in Sect. 6.2.1, the complete-NLO corrections to  $t\bar{t}\bar{t}\bar{t}$  are large and can involve terms propor-  
3302 tional to  $y_t^3$ ,  $y_t^5$  and  $y_t^6$  (on top of  $y_t^2$  and  $y_t^4$  already present at LO). However, since in such corrections  
3303  $y_t$  is renormalised, an extension of our study will not be immediately possible at NLO.

Table 41: The numbers of signal and background events at the HL-LHC with an integrated luminosity of  $300 \text{ fb}^{-1}$  (left) and at the HE-LHC with an integrated luminosity of  $1 \text{ ab}^{-1}$ . The cuts listed in the row are applied sequentially [603].

HL-LHC	Basic	SSL	Jets	$\cancel{E}_T$	$m_T$	$H_T$
$\bar{t}\bar{t}\bar{t}\bar{t}_H$	577.22	9.82	4.68	2.43	1.33	1.21
$\bar{t}\bar{t}\bar{t}\bar{t}_{g/Z/\gamma}$	5006.34	78.15	37.02	19.25	11.09	10.16
$\bar{t}\bar{t}\bar{t}\bar{t}_{\text{int}}$	-764.67	-12.79	-6.19	-3.23	-1.93	-1.77
$\bar{t}\bar{t}$	$2.5 \times 10^8$	28802.4	44.1	18.9	0	0
$\bar{t}\bar{t}W^+$	32670	2359.5	36.9	17.7	12.3	8.7
$\bar{t}\bar{t}W^-$	16758	1397.1	49.5	9.9	4.5	4.5
$\bar{t}\bar{t}Z$	24516	2309.4	20.1	10.8	10.8	9.3
$W^\pm W^\pm jj$	4187.7	1147.5	0.11	0	0	0

HE-LHC	Basic	SSL	Jets	$\cancel{E}_T$	$m_T$	$H_T$
$\bar{t}\bar{t}\bar{t}\bar{t}_H$	15174.4	260.09	84.61	27.92	15.42	15.17
$\bar{t}\bar{t}\bar{t}\bar{t}_{g/Z/\gamma}$	148898.	2421.08	814.77	268.02	168.55	166.77
$\bar{t}\bar{t}\bar{t}\bar{t}_{\text{int}}$	-20141.9	-347.81	-117.95	-36.17	-20.14	-19.66
$\bar{t}\bar{t}$	$3.3 \times 10^7$	130207	291.9	0	0	0
$\bar{t}\bar{t}W^+$	$1.3 \times 10^6$	11488.5	171.0	39.6	27.1	27.1
$\bar{t}\bar{t}W^-$	$7.6 \times 10^5$	7387.1	99.5	19.9	9.9	9.9
$\bar{t}\bar{t}Z$	$3.9 \times 10^6$	20748.7	507.2	129.7	70.8	70.8
$W^\pm W^\pm jj$	888700	7947.0	4.7	3.5	0	0

### 3304 6.3.3 Constraining four-fermion operators in the EFT

3305 The four-top total cross section measurement can be interpreted within the SMEFT framework [605].  
 3306 Following the notation in Refs. [605] and [192], the relevant operators consist of four independent  
 3307 four-top-quark operator coefficients,  $\tilde{C}_{tt}$ ,  $\tilde{C}_{QQ}^{(+)}$ ,  $\tilde{C}_{Qt}^{(1)}$ ,  $\tilde{C}_{Qt}^{(8)}$ , and fourteen independent two-light-two-  
 3308 top-quark ( $qqtt$ ) operator coefficients,  $\tilde{C}_{td}^{(8)}$ ,  $\tilde{C}_{td}^{(1)}$ ,  $\tilde{C}_{Qd}^{(8)}$ ,  $\tilde{C}_{Qd}^{(1)}$ ,  $\tilde{C}_{tu}^{(8)}$ ,  $\tilde{C}_{tu}^{(1)}$ ,  $\tilde{C}_{Qu}^{(8)}$ ,  $\tilde{C}_{Qu}^{(1)}$ ,  $\tilde{C}_{Qq}^{(8,1)}$ ,  $\tilde{C}_{Qq}^{(1,1)}$ ,  
 3309  $\tilde{C}_{Qq}^{(8,3)}$ ,  $\tilde{C}_{Qq}^{(1,3)}$ ,  $\tilde{C}_{tq}^{(8)}$ ,  $\tilde{C}_{tq}^{(1)}$ . Here  $\tilde{C}_i \equiv C_i/\Lambda^2$ .  $O_{tG}$  is relevant but better constrained by other processes.

3310 To estimate the projected limits on these coefficients, we make a few simple assumptions: 1) the  
 3311 effective operators do not significantly change the distribution of events, so the sensitivity mainly comes  
 3312 from inclusive measurements; 2) a kinematic cut  $M_{cut}$  of a few TeV can be applied to the total mass of  
 3313 the four tops to make sure the SMEFT can be matched to BSM models with scales larger than this energy  
 3314 (i.e. following Ref. [606]); and 3)  $M_{cut}$  does not significantly change the projected sensitivity on cross  
 3315 section measurements. By combining the expected experimental sensitivity discussed in Sect. 6.2.2  
 3316 and the theoretical predictions presented in Sect. 6.2.1 we estimate that the total cross section can be  
 3317 determined with an uncertainty of 102%, 58%, and 40%, at 95% CL level, for the 13, 14 and 27 TeV  
 3318 runs respectively. The corresponding integrated luminosities are  $300 \text{ fb}^{-1}$ ,  $3 \text{ ab}^{-1}$  and  $15 \text{ ab}^{-1}$ .

3319 For illustration, in Figure 79 we show the signal strength dependence on two operator coefficients:  
 3320 one four-top coefficient (left) and one  $qqtt$  coefficient (right), assuming a 3 TeV  $M_{cut}$ . The cross section  
 3321 becomes more sensitive to the four-top operator coefficient at larger energies. Together with smaller  
 3322 uncertainties, the limit on this coefficient is significantly improved with the 27 TeV run. On the other  
 3323 hand, the cross section becomes less sensitive to the  $qqtt$  operator coefficient as the energy increases.  
 3324 The limits are thus not very much affected by energy. In Table 42 we present individual limits on all 18  
 3325 operator coefficients, assuming  $M_{cut} = 3 \text{ TeV}$ .

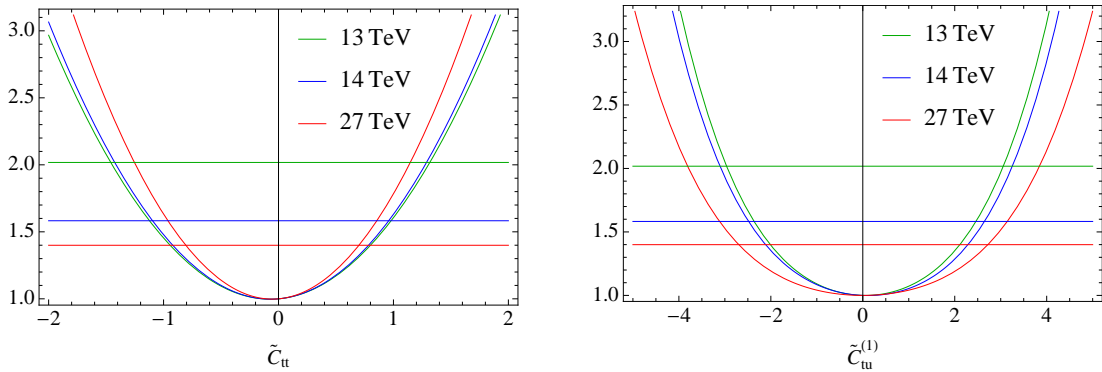


Fig. 79: Four-top signal strength as a function of operator coefficients,  $\tilde{C}_{tt}$  (left) and  $\tilde{C}_{tu}^{(1)}$  (right). Horizontal lines represent the expected measurements at each energy.  $M_{cut} = 3 \text{ TeV}$  is applied.

### 3326 6.3.4 Accessing top quark flavour violating effects and dipole moment through multi-top production

3327 In this paragraph, we study the sensitivity of the four top quark production on the strong dipole moments  
 3328 of the top quark [607]. Within the SM framework, the top quark dipole moments are zero at tree level,  
 3329 however, higher-order corrections could generate non-zero strong dipole moments for the top quark. The  
 3330 top quark strong dipole moments have very small values in the SM, so that they would not be observable  
 3331 at the LHC experiments. However, there are extensions of the SM in which sizable contributions to these  
 3332 dipole moments arise, making them accessible by the experiments at the LHC [608, 609]. As a result,  
 3333 observation of any significant deviation of dipole moments from zero would point to beyond the SM  
 3334 physics. The most general effective Lagrangian describing the  $gt\bar{t}$  coupling considering dimension-6

	13 TeV	14 TeV	27 TeV
$\tilde{C}_{td}^{(8)}$	[-9.8, 6.4]	[-8.8, 5.4]	[-6.6, 5.4]
$\tilde{C}_{td}^{(1)}$	[-3.9, 4.1]	[-3.3, 3.4]	[-3.3, 3.3]
$\tilde{C}_{Qd}^{(8)}$	[-9.6, 6.2]	[-8.8, 5.2]	[-7.6, 5.2]
$\tilde{C}_{Qd}^{(1)}$	[-4., 4.]	[-3.3, 3.3]	[-3.4, 3.3]
$\tilde{C}_{tu}^{(8)}$	[-8.2, 4.8]	[-6.4, 4.3]	[-9.6, 4.5]
$\tilde{C}_{tu}^{(1)}$	[-3., 3.1]	[-2.5, 2.6]	[-2.7, 2.7]
$\tilde{C}_{Qu}^{(8)}$	[-7.8, 4.6]	[-7.8, 4.]	[-5.8, 4.2]
$\tilde{C}_{Qu}^{(1)}$	[-3., 3.]	[-2.6, 2.6]	[-2.7, 2.7]
$\tilde{C}_{Qq}^{(8,1)}$	[-7.5, 4.2]	[-6., 3.6]	[-6.5, 3.7]
$\tilde{C}_{Qq}^{(1,1)}$	[-2.5, 2.7]	[-2.1, 2.3]	[-2.2, 2.3]
$\tilde{C}_{Qq}^{(8,3)}$	[-5.8, 4.8]	[-4.7, 4.2]	[-5.4, 4.]
$\tilde{C}_{Qq}^{(1,3)}$	[-2.6, 2.6]	[-2.1, 2.2]	[-2.2, 2.2]
$\tilde{C}_{tq}^{(8)}$	[-7.1, 3.9]	[-6.9, 3.3]	[-5.1, 3.4]
$\tilde{C}_{tq}^{(1)}$	[-2.6, 2.6]	[-2.2, 2.2]	[-2.3, 2.2]
$C_{tt}$	[-1.5, 1.3]	[-1.1, 0.96]	[-0.81, 0.7]
$\tilde{C}_{QQ}^{(+)}$	[-1.5, 1.3]	[-1.1, 0.96]	[-0.81, 0.7]
$\tilde{C}_{Qt}^{(1)}$	[-2.4, 2.4]	[-1.8, 1.8]	[-1.3, 1.3]
$\tilde{C}_{Qt}^{(8)}$	[-5.3, 4.4]	[-4.1, 3.1]	[-3., 2.3]

Table 42: Limits on 14  $qqtt$  operator coefficients and 4 four-top operator coefficients, expected at the 13, 14 and 27 TeV scenarios, at the 95% CL level.

3335 operators can be parametrized as [610]:

$$\mathcal{L}_{gt\bar{t}} = -g_s \bar{t} \frac{\lambda^a}{2} \gamma^\mu t G_\mu^a - g_s \bar{t} \lambda^a \frac{i\sigma^{\mu\nu}}{m_t} q_\nu (d_V^g + i d_A^g \gamma_5) t G_\mu^a,$$

3336 where the chromomagnetic and chromoelectric dipole moments of the top quark are denoted by  $d_V^g$   
3337 and  $d_A^g$  (both are zero in the SM at leading order). Direct bounds on both  $d_V^g$  and  $d_A^g$  were obtained  
3338 from the top quark pair cross section measurements at the LHC and the Tevatron. The bounds on the  
3339 dipole moments using the  $t\bar{t}$  cross section at the LHC and Tevatron were found to be:  $-0.012 \leq d_V^g \leq$   
3340  $0.023$ ,  $|d_A^g| \leq 0.087$  [611]. Four-top quark production is also affected by the  $gt\bar{t}$  effective coupling  
3341 and provides a powerful way to probe the chromomagnetic and chromoelectric dipole moments of the  
3342 top quark. The representative Feynman diagrams with the effective  $gt\bar{t}$  coupling denoted by filled red  
3343 circles are shown in Fig. 80. The contribution of the top quark dipole moments to the  $t\bar{t}t\bar{t}$  production  
3344 cross section is determined with the MadGraph5\_aMC@NLO package [12]. By taking into account at most  
3345 an effective vertex in each diagram, the total four top cross section at  $\sqrt{s} = 14$  TeV has the following  
3346 form:

$$\begin{aligned} \sigma(pp \rightarrow t\bar{t}t\bar{t})(\text{fb}) &= \sigma_{\text{SM}} + 154.8 \times d_V^g + 3404.4 \times (d_V^g)^2, \\ \sigma(pp \rightarrow t\bar{t}t\bar{t})(\text{fb}) &= \sigma_{\text{SM}} + 2731.3 \times (d_A^g)^2, \end{aligned} \quad (49)$$

3347 where the SM four top quark cross section is denoted by  $\sigma_{\text{SM}}$ . The linear terms are due to the interfer-  
3348 ence between the new physics and SM with the contribution of the order of  $\Lambda^{-2}$ . The quadratic terms  
3349 suppressed by  $\Lambda^{-4}$  power are the pure contributions of the strong dipole moments. To estimate the

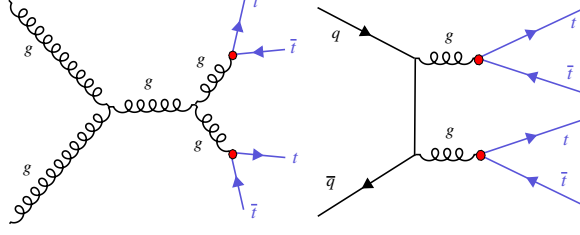


Fig. 80: Representative Feynman diagrams for the  $t\bar{t}t\bar{t}$  production where the effects of the strong dipole moments are shown as filled red circles.

Coupling	HL-LHC, 14 TeV, 3 ab <sup>-1</sup>	HE-LHC, 27 TeV, 15 ab <sup>-1</sup>
$d_V^g$	[-0.084, 0.009]	[-0.063, 0.001]
$d_A^g$	[-0.030, 0.030]	[-0.011, 0.011]

Table 43: Limits at 95% CL on the chromoelectric and chromomagnetic dipole moments  $d_V^{g,Z}$  at 95% CL for the HL-LHC and HE-LHC.

3350 sensitivity of the four top process to dipole moments, we concentrate on the same-sign dilepton channel  
3351 due to its clean signature and very low background contribution. The main background contributions  
3352 come from the  $t\bar{t}W$  and  $t\bar{t}Z$  processes. Signal and the background processes are generated with the  
3353 MadGraph5\_aMC@NLO package at leading order. Pythia 6 [612] is used for hadronization, showering  
3354 and decay of unstable particles. Jets are reconstructed using the anti- $k_t$  algorithm [233]. Signal events  
3355 are selected by requiring exactly two same-sign charged leptons with  $p_T^\ell > 25$  GeV and  $|\eta^\ell| < 2.5$ . The  
3356 missing transverse energy has to be larger than 30 GeV. Each event is required to have at least eight jets  
3357 with  $p_T > 30$  GeV and  $|\eta| < 2.5$  from which at least three should be  $b$ -tagged jets. All objects in the  
3358 final state are required to be well isolated objects by requiring  $\Delta R(i, j) > 0.4$ . Table 43 presents limits  
3359 at 95% CL on the chromoelectric ( $d_A^g$ ) and chromomagnetic ( $d_V^g$ ) dipole moments for the HL-LHC and  
3360 HE-LHC. The HE-LHC improves the HL-LHC bound on  $d_A^g$  by about a factor of three and the upper  
3361 bound on  $d_V^g$  by one order of magnitude. The four top-quark production at the HE-LHC would be able  
3362 to tighten the upper limit on  $d_A^g$  ( $d_V^g$ ) by a factor of two (eight) w.r.t. the top pair production at the  
3363 HL-LHC [611].

## 3364 6.4 The $t\bar{t}V$ production at the HL/HE LHC

### 3365 6.4.1 Theoretical framework

#### 3366 $t\bar{t}Z$ cross sections at NLO QCD and EW

3367 In this section we provide the cross section for  $t\bar{t}Z$  production at the HL and HE LHC. Our result are  
3368 accurate up to NLO QCD and NLO EW accuracy [99]. NLO QCD and EW corrections are computed  
3369 simultaneously with MadGraph5\_aMC@NLO [12], more specifically by using the recently-released version  
3370 capable of mixed-coupling expansions [89]. We use the same setup as in Ref. [144] (see in particular  
3371 Sect. 1.6.7.a), except for the PDF set, for which we will always employ the PDF4LHC15\_nlo\_30\_pdf as  
3372 set [153]. In fact, at variance with the predictions in Ref. [144], we do not include photon-initiated con-  
3373 tributions, as recent studies on the photon distribution became available [180, 181], and the corresponding  
3374 photon density gives negligible contributions for  $t\bar{t}Z$ . The quoted EW corrections include the LO term  
3375 at  $\mathcal{O}(\alpha^2\alpha_s)$  and the NLO one at  $\mathcal{O}(\alpha^2\alpha_s^2)$ . At variance with  $t\bar{t}W$  production, for which other contri-  
3376 butions, subleading in the couplings, turn instead to be relevant (see Sect. 6.4.1), it has been shown in  
3377 Ref. [89] that such contributions can be safely neglected for  $t\bar{t}Z$ .

3378

3379 Cross-sections for  $t\bar{t}Z$  are quoted in Tab. 44, together with the NLO/LO QCD  $K$ -factor, the  
 3380 relative impact of EW corrections, and the theory uncertainties. For the latter, we quote separately the  
 3381 uncertainty coming from scale variations, the PDF uncertainty and the  $\alpha_s$  one.

Table 44: Cross section, in pb, for  $t\bar{t}Z$  production at the HL and HE LHC. Uncertainties on the cross sections are at the per-mil level.

$\sqrt{s}$	$\sigma_{\text{QCD}}^{\text{NLO}}$	$\sigma_{\text{QCD+EW}}^{\text{NLO}}$	$K_{\text{QCD}}$	$\delta_{\text{EW}} [\%]$	scale [%]		PDF [%]	$\alpha_s [\%]$
14 TeV	1.018	1.015	1.40	-0.3	+9.6	-11.2	$\pm 2.7$	$\pm 2.8$
27 TeV	4.90	4.81	1.45	-2.0	+9.9	-10.4	$\pm 2.0$	$\pm 2.0$

3382 *The complete-NLO corrections to  $t\bar{t}W$*

3383 In this section we compute the so-called “complete”-NLO corrections to  $t\bar{t}W^\pm$  production. This process  
 3384 can proceed through different terms of order  $\alpha_s^p \alpha^{q+1}$  with  $p + q = 2, 3$  at LO and at NLO respectively.  
 3385 The term complete-NLO refers to computation of all terms with  $p + q \leq 3$ , which has been performed  
 3386 for the first time in Ref. [115] by employing the newly-released version of MadGraph5\_aMC@NLO [12]  
 3387 capable of computing mixed QCD and Electroweak corrections [89]. Among the various contributions,  
 3388 the complete-NLO corrections include the NLO QCD ones ( $p = 3, q = 0$ ) [382, 383, 613, 614], and the  
 3389 NLO EW corrections ( $p = 2, q = 2$ ) [99]. In this short paragraph we report inclusive predictions for  
 3390 the HL and HE LHC, with a centre-of-mass energy of respectively 14 TeV and 27 TeV. We use the same  
 3391 setup and notation of Ref. [115], where the interested reader can find more details as well as predictions  
 for 13 and 100 TeV.

Table 45: Cross section for  $t\bar{t}W^\pm$  production at the HL and HE LHC, in various approximations. Number in parentheses are computed with a jet veto. See Ref. [115] for details.

$\sigma [\text{fb}]$		LO <sub>QCD</sub>	LO <sub>QCD</sub> + NLO <sub>QCD</sub>	LO	LO + NLO	$\frac{\text{LO}(\text{+NLO})}{\text{LO}_{\text{QCD}}(\text{+NLO}_{\text{QCD}})}$
$H_T/2$	14 TeV	$414_{-18\%}^{+23\%}$	$628_{-11\%}^{+11\%}$ ( $521_{-7\%}^{+5\%}$ )	$418_{-17\%}^{+23\%}$	$670_{-11\%}^{+12\%}$ ( $548_{-7\%}^{+6\%}$ )	1.07 (1.05)
	27 TeV	$1182_{-16\%}^{+21\%}$	$2066_{-11\%}^{+14\%}$ ( $1561_{-7\%}^{+7\%}$ )	$1194_{-16\%}^{+21\%}$	$2329_{-11\%}^{+14\%}$ ( $1750_{-7\%}^{+7\%}$ )	1.13 (1.12)

3392  
 3393 We report in Tab. 45 the total-cross section for  $t\bar{t}W^\pm$  production in different approximations,  
 3394 and in Tab. 46 the breakdown of the different orders contributing at LO and NLO, as fraction of the  
 3395  $\mathcal{O}(\alpha_s^2 \alpha)$  LO contribution, LO<sub>1</sub>. Number in parentheses are computed by vetoing hard central jets, with  
 3396  $p_T > 100 \text{ GeV}$  and  $\eta < 2.5$ . As it can be gathered from the tables, the jet veto is beneficial in order to  
 3397 reduce the NLO QCD corrections, in particular the large contribution coming from hard real emissions  
 3398 with a soft or collinear  $W$  boson. We can appreciate how the NLO<sub>3</sub> contribution is actually larger than  
 3399 the NLO<sub>2</sub> (the EW corrections) despite the extra power of  $\alpha$ , and how such a contribution grows with  
 3400 the collider energy. As explained in Ref. [115], this is due to the  $t - W$  scattering process [615]. Since  
 3401 the size of NLO<sub>3</sub> is not much affected by the jet veto, a measurement of the  $t - W$  scattering from the  
 3402  $t\bar{t}W$  cross section should be possible.

Table 46:  $t\bar{t}W$ :  $\sigma_{(N)\text{LO}_i}/\sigma_{\text{LO}_{\text{QCD}}}$  ratios at 14 and 27 TeV, for different values of  $\mu = \mu_r = \mu_f$ .  $\text{LO}_2$  is identically zero and is not quoted in the table. Number in parentheses are computed with a jet veto. See Ref. [115] for details.

$\delta[\%]$	14 TeV			27 TeV		
	$\mu = H_T/4$	$\mu = H_T/2$	$\mu = H_T$	$\mu = H_T/4$	$\mu = H_T/2$	$\mu = H_T$
$\text{LO}_3$	0.8	1.0	1.1	0.9	1.0	1.2
$\text{NLO}_1$	37.4 (7.7)	51.8 (25.9)	64.7 (41.9)	67.4 (18.4)	74.8 (32.0)	82.0 (44.3)
$\text{NLO}_2$	-4.5 (-4.7)	-4.3 (-4.5)	-4.1 (-4.3)	-5.1 (-5.4)	-5.0 (-5.2)	-4.8 (-5.1)
$\text{NLO}_3$	13.0 (9.7)	13.3 (9.9)	13.6 (10.1)	25.5 (19.8)	26.1 (20.2)	26.6 (20.6)
$\text{NLO}_4$	0.02 (-0.00)	0.03 (0.00)	0.05 (0.01)	0.06 (0.01)	0.08 (0.02)	0.10 (0.03)

## 3403 6.5 Top mass

### 3404 6.5.1 Theoretical issues

3405 The typical errors currently quoted for the top mass measurements in the so called “direct measurements”  
3406 at the LHC are of the order of 500–600 MeV, and with the prospect of the high luminosity operations,  
3407 as can be seen from fig. 81 of the following section, the projected future experimental uncertainty is  
3408 around 200 MeV. Such a high precision entails also a high level of scrutiny concerning the extracted  
3409 top mass value. In direct measurements, the measured top mass is the value of the top mass parameter  
3410 in the Monte Carlo generator that is used to fit top-mass sensitive distributions. In this respect, the  
3411 scrutiny must also regard theoretical aspects dealing with how the Monte Carlo models the relevant  
3412 mass sensitive distributions, keeping in mind that all effects that can lead to variations of the result in  
3413 the 100 MeV range should be considered.

3414 The top mass parameter, as all coupling constants characterizing the underlying field theory, re-  
3415 quires renormalization, and its precise value depends upon the adopted renormalization scheme. The  
3416 differences in the top mass in different renormalization prescriptions are of the order of  $\alpha_s(m_t)m_t$ , i.e.  
3417 a value that can amount to several GeV’s. It is thus clear that an experimental result, in order to be of  
3418 any use, must specify to which scheme the measured value corresponds to.

3419 At present, the experimental collaborations seem to have renounced to qualify direct mass mea-  
3420 surements by also specifying a renormalization scheme. This is a consequence of the fact that no full  
3421 agreement has been reached among theorists on this issue. Some authors have argued that, in view of the  
3422 inherent leading-order nature of the Monte Carlo generators, no scheme can be specified for the mass  
3423 measured in direct measurements, since at leading order all schemes are equivalent. This argument was  
3424 also used to advocate alternative measurements where the mass-sensitive observable is directly com-  
3425 puted in perturbation theory at NLO or NNLO accuracy, and is compared to experimental distributions  
3426 already corrected for detector effects [616, 617]. For example, the total cross section for  $t\bar{t}$  production is  
3427 sensitive to the top mass, it has been computed up to the NNLO order in QCD [41], and can be used to  
3428 extract a top mass value [618–620]. Similarly, in Ref. [616, 617], shape observables constructed out of  
3429 the  $t\bar{t} + \text{jet}$  kinematics are used.

3430 Several theoretical works have appeared proposing alternative techniques to measure the top mass,  
3431 partly in order to avoid the “Monte Carlo Mass” issue mentioned above, and partly to circumvent other  
3432 aspects of direct measurements that may be considered problematic. The authors of Ref. [621] presented  
3433 a method, based upon the charged-lepton energy spectrum, that is not sensitive to top production kine-  
3434 matics, but only to top decay, and does not make use of jets. Since top decays have been computed at  
3435 NNLO accuracy [567, 568], they argue that a very accurate measurement may be achieved in this way.  
3436 Other authors have advocated the use of boosted top jets (see Ref. [622] and references therein). In



3437 Ref. [623], the  $b$ -jet energy peak position is proposed as mass-sensitive observable, that is claimed to  
 3438 have a reduced sensitivity to production dynamics. In Ref. [624], the use of lowest Mellin moments of  
 3439 lepton kinematic distributions is discussed. In the leptonic channel, it is also possible to use distribu-  
 3440 tions based on the “stransverse” mass variable [625], which generalizes the concept of transverse mass  
 3441 for a system with two identical decay branches [626, 627]. Some of these methods have been effectively  
 3442 exploited by the experimental collaborations [625, 628–631] to yield alternative determinations of  $m_t$ .  
 3443 They are consistent within errors with direct measurements, and thus provide valuable checks. It turns  
 3444 out, however, that at the moment their errors are not competitive with direct measurements. Further-  
 3445 more, in view of the larger errors, assessing their eventual theoretical issue is a less demanding task in  
 3446 comparison to the case of direct measurements.

3447 The notion that the Monte Carlo mass parameter cannot be qualified as a field theoretical mass  
 3448 has extensively permeated the discussions regarding the interpretation of top mass measurements. It is  
 3449 not difficult to show, however, that it is a misleading statement. In reality, the accuracy of Shower Monte  
 3450 Carlo’s depends upon the observables one considers. As a trivial example, the total or differential cross  
 3451 section for the production of top quarks is predicted at leading order by standard Shower Monte Carlo’s,  
 3452 so that the value of the top mass extracted by fitting it to a measured production cross section would  
 3453 certainly carry inaccuracies of order  $\alpha_s m_t$ . Such measurement cannot be qualified by specifying any  
 3454 particular scheme.<sup>22</sup> This is not the case if one consider as an observable the mass of the top decay  
 3455 products. In ref. [632], for example, it is pointed out that, in the narrow width limit, a perturbative  
 3456 calculation of the mass of the top decay products performed in the pole mass scheme yields a result  
 3457 that is independent upon the perturbative order. Since Monte Carlo generators, when performing heavy  
 3458 particle decay, strictly conserve the mass of the decaying particle, we can infer that the Monte Carlo  
 3459 mass parameter should be identified with the pole mass up to non-perturbative effects<sup>23</sup> as far as the  
 3460 mass of the decay products is concerned. From an unrelated point of view, in ref. [633] it is argued  
 3461 that since the top-quark decay is treated with a Breit-Wigner form in the Monte Carlo generators, it  
 3462 should be close to top mass schemes that are compatible with the Breit-Wigner form. In turn, these  
 3463 schemes yield mass values that differ from the pole mass by terms of order  $\alpha_s \Gamma_t$ . In subsequent works,  
 3464 it is argued that, in the narrow width limit, one can relate the Monte Carlo mass parameter to a running  
 3465 mass (typically the  $\overline{\text{MS}}$  mass) evaluated at the scale of the Monte Carlo shower cutoff, that is typically  
 3466 below a GeV. This again yields to a Monte Carlo mass parameter differing from the top pole mass  
 3467 by a fraction of a GeV. We also stress that theoretical papers that make use of the measured top mass  
 3468 values (noticeably those on electroweak precision fits [366, 634], and calculations inherent to the issue  
 3469 of vacuum stability [635–637]) interpret the direct measurement results as being close to the pole mass  
 3470 measurements, up to a theoretical error of few hundred MeV’s.

3471 A problem that has received much attention is the presence of an infrared renormalon in the Pole  
 3472 Mass definition. It turns out that the expression of the pole mass in terms of the  $\overline{\text{MS}}$  one, in terms of a  
 3473 perturbative expansion in the strong coupling constant, has factorially divergent coefficients [638, 639].  
 3474 This leads to an ambiguity of the order of a typical hadronic scale in the relation between the Pole and  
 3475 the  $\overline{\text{MS}}$  mass, Estimates of this inherent ambiguity vary from 110 to 250 MeV [640–643]. It should  
 3476 be stressed, however, that the finite width of the top screens the effects of soft radiation, so that this  
 3477 ambiguity *does not affect the physics* of top production and decay. In view of the considerable time  
 3478 ahead of us before the High Luminosity program is started, we can assume, for the purpose of this  
 3479 workshop, that this ambiguity, if it ever becomes important, can in principle be circumvented, and will  
 3480 not discuss it further here.

---

<sup>22</sup>In fact, at the moment, Monte Carlo generators that achieve NLO accuracy for sufficiently inclusive cross section are routinely used in top mass studies.

<sup>23</sup>In the narrow width limit the top can propagate a long time before decay, and long-distance non-perturbative effects can manifest themselves there, and affect the mass by few hundred MeV’s.



3481 Even accepting the fact that the difference between the top mass in direct measurements and the  
3482 top pole mass is of the order of few hundred MeV's, in view of the current and projected accuracy  
3483 of the measurement, the problem of reaching a theoretical uncertainty that matches the experimental  
3484 one remains unanswered. Attempts to better understand the “few hundred MeV” difference mentioned  
3485 above have appeared in the literature. They basically exploit the fact that soft emissions effects both in  
3486 Shower Monte Carlo and in full QCD can be computed, and can have impact on the mass measurement  
3487 problem. The authors of refs. [633,644–646], attempted to find a relation between the Monte Carlo mass  
3488 parameter and a well-defined short distance mass, typically the MSr mass [647] evaluated at a scale of  
3489 the order of the shower cutoff. In essence, the mass of a highly boosted top jet<sup>24</sup> is computed by using  
3490 a resummed analytic calculations performed in a SCET framework, and by using shower algorithms.  
3491 The comparison of the two results yields a relation between the Shower mass parameter and the field  
3492 theoretical one used in the SCET calculation.<sup>25</sup> In a late work [646], they also examined in detail the  
3493 analytic structure of the shower algorithm as compared to the one used in the resummed calculation.  
3494 The differences between the Monte Carlo mass parameter and a short distance mass (of the kind that  
3495 are compatible with the resonance structure, like the MSr mass evaluated at low scales) are found to be  
3496 typically of the order of  $q_0\alpha_s(q_0)$ , where  $q_0$  is the shower cut-off.

3497 A limitation of these studies is that they applies to an observable that is not quite what is used in  
3498 direct measurements, where one considers jets of the top decay products rather than top jets. Furthermore  
3499 whether corrections of this order should be considered independently of all remaining non-perturbative  
3500 uncertainties is a matter of debate. The fact remains, however, that studies of this sort in the framework  
3501 of direct measurements are needed, since they may expose corrections that should be included in order  
3502 to match the experimental accuracy.

3503 Direct measurements are not the only context where theoretical errors that are linear in the strong  
3504 interaction scale, i.e. of the order of few hundred MeV, do arise. In ref. [648], the production and decay  
3505 of a top quark is considered in a very simplified context, and in a particular approximation, such that  
3506 non-perturbative corrections can be examined in relation to the factorial growth of the coefficients of the  
3507 perturbative expansions. Linear power corrections are found to affect all observables that make use of  
3508 jets. But it was also found that typical leptonic observables are also affected by linear power corrections.  
3509 Notice that this implies that the total cross section is also affected by linear power corrections, as soon  
3510 as selection cuts are imposed. These kind of studies can also be extended to more complex measure-  
3511 ment procedure, eventually making use of jet calibration, in order to understand to what extent these  
3512 theoretical limitations to the precision can be removed.

3513 The discussion carried out so far has highlighted theoretical problems that should be studied in  
3514 more depth in order to advance our understanding of the theoretical precision of the measurement. In  
3515 essence these problems are related to the physics of soft emission, and thus allow to draw conclusions  
3516 that are motivated by perturbation theory, extrapolated to some extent to low scales. On the other two  
3517 extremes, there are aspect of the event simulations that only have to do with relatively hard scales, and  
3518 others that are more related to the modeling of physical effects that we are not able to compute, i.e. to  
3519 hadronization phenomena. There is a current research effort, aimed at improving the simulation of top  
3520 production and decay, in both these directions. It includes both the improvement of perturbative accu-  
3521 racy [563], and the improvement in the overall shower-hadronization aspects, including those related to  
3522 colour reconnection [649,650]. Furthermore, studies of the sensitivity of top-mass sensitive observables  
3523 to the perturbative accuracy, to the shower implementation and to the hadronization model, are being  
3524 carried out. In one such study [651], significant differences were found when comparing Herwig7 and  
3525 Pythia8, where the former adopts an angular ordered shower, and the latter has a dipole shower, in the

<sup>24</sup>In fact the emisphere mass in very high energy  $t\bar{t}$  production events in  $e^+e^-$  annihilation

<sup>25</sup>This procedure is often quoted as a form of calibration of the Monte Carlo mass parameter. Notice, however that the same terminology is used in completely different contexts, see for example [?].

3526 description of top-mass sensitive observables. In general, there is a range of equally plausible simulation  
3527 model than we can use to describe heavy quark production and decay, that will include different Monte  
3528 Carlo generators, different Monte Carlo tunes in a given generator, and different implementation of some  
3529 component of a generator, like for example the colour reconnection model. As more work is done by  
3530 exploring different plausible simulation model, the range of model will enlarge, and potentially also the  
3531 error in mass measurement will increase. This increase in the error should be contrasted by limiting  
3532 the range of models, typically by requiring that some key observables are in reasonable agreement with  
3533 data. An example of a study in this direction is given in ref. [652], where the sensitivity of the top-mass  
3534 error upon the uncertainties in key Monte Carlo tuning parameters is studied, and a set of calibration  
3535 observables strongly sensitive to the Monte Carlo parameters, but with very mild sensitivity to the top  
3536 mass, is considered in order to reduce the parameters uncertainties.

3537 A complementary way of reducing the error is to find variants of measurement methods that are  
3538 likely to reduced the dependence of the extracted mass from the range of models. In situ jet calibration  
3539 is routinely used by the experimental collaborations in top mass measurement. This procedure not only  
3540 reduces the experimental error associated with the jet energy scale, but it may also reduces the theoretical  
3541 error, by reducing the sensitivity of the measurements from features of jet simulations in the generators.  
3542 More specific proposals in this direction have appeared in ref. [653] the impact of adopting jet grooming  
3543 techniques to the jets in direct top mass measurements is examined.

3544 As mentioned earlier, alternative techniques for mass measurements are currently explored, and  
3545 will become more precise at the High Luminosity LHC. As shown in fig. 81 in the following subsection,  
3546 the mass measurement from single top production will acquire a precision similar to the one available  
3547 today from direct measurements. The end-point measurement using the  $J/\Psi$  will also reach a precision  
3548 near 600 MeV. Thus, at High Luminosity we may end up having one highly precise measurement tech-  
3549 nique, plus a number of independent methods supporting its results. It should not be forgotten however,  
3550 that high luminosity and/or high energy may also offer opportunities for new techniques. In ref. [622],  
3551 the use of grooming techniques applied to boosted top jets is studied, with the goal of directly extracting  
3552 a short distance mass. To what extent the high luminosit phase can make this technique feasible is a mat-  
3553 ter for future studies. Another example is given in the work of ref. [654], where it is argue that a glitch in  
3554 the dilepton spectrum should be visible for a dilepton invariant mass near twice the top mass. This effect  
3555 is due to the diphoton production subprocess  $gg \rightarrow \gamma\gamma$  mediated by a top loop. The projected statistical  
3556 error for the mass determination using this method is of 2-3 GeV for the High Luminosity LHC, and  
3557 0.3-0.6 GeV for the High Energy option. A 1 GeV error systematic from the EM calorimeter calibration  
3558 should also accounted for. Furthermore, a complete study of the projected theoretical error is not yet  
3559 available. It is nevertheless interesting to remember that transversal thinking may lead to progress in this  
3560 area.

3561 In summary, we have seen that, from a theoretical point of view, much work is needed to put  
3562 the top mass measurements at the LHC on a solid ground. Such work should comprise more thorough  
3563 experimental work aimed at understanding and reduce the sources of errors; theoretical work in the  
3564 frameworks of Monte Carlo studies and simulation; and formal theoretical work aimed at understanding  
3565 crucial aspects of the uncertainties. Such work has already started, and we can expect that much more  
3566 will be understood by the time the High Luminosity program starts. Thus, in spite of the many difficul-  
3567 ties, we see no reasons why a theoretical precision matching the foreseeable experimental error should  
3568 not be possible at the high luminosity LHC.

### 3569 **6.5.2 Top mass experimental projections**

3570 The measurement of the top quark mass  $m_{\text{top}}$  with high precision is a crucial task for the expected  
3571  $3000 \text{ fb}^{-1}$  of  $pp$  collision data expected in HL-LHC. The top quark mass is one of the free parameters  
3572 within the Standard Model and its Yukawa coupling is predicted to be close to unity. Therefore it may

3573 play a special role in the electroweak symmetry breaking. The top quark mass dominantly contributes  
3574 to the quantum corrections of the Higgs field, which become important for any extrapolation of the  
3575 Standard Model to extremely high energies, from a few hundred GeV and above. At these high energies  
3576 some of the fundamental deficiencies of the Standard Model can be further investigated, such as the  
3577 stability of the electroweak vacuum state in the Higgs potential. Thus, precise measurements of the  
3578 top quark mass allow for consistency tests of the Standard Model and to look for signs of new physics  
3579 beyond.

3580 The top quark mass is measured using various techniques and in different decays channels by the  
3581 ATLAS and CMS experiments following two different approaches. Firstly, direct  $m_{\text{top}}$  measurements  
3582 are obtained exploiting information from the kinematic reconstruction of the measured top quark decay  
3583 products, and their corresponding combinations. These informations are obtained from Monte Carlo  
3584 (MC) simulated events using different assumed values for the top quark mass parameter in the program.  
3585 Therefore, such results relate to measurements of the input parameter of MC event generators, and  
3586 differences between different MC are covered by a specific systematic uncertainty. The relation between  
3587 the measured quantity, the top quark MC mass  $m_{\text{top}}^{\text{MC}}$ , and the physical parameter of interest, the top quark  
3588 pole mass, is affected by non-perturbative contributions which could be  $\sim 1$  GeV. Secondly, indirect  
3589 determinations of  $m_{\text{top}}$  are obtained based on the comparison of inclusive or differential  $t\bar{t}$  production  
3590 cross-section to the corresponding theory calculations, thus sensitive to  $m_{\text{top}}^{\text{pole}}$ .

3591 The input material for the top quark mass summary is collected in Ref [655, 656].

3592 The methods exploited for the measurement of  $m_{\text{top}}$  directly using the kinematic properties of the  
3593  $t\bar{t}$  (or single-top quark) decay products are the template, the matrix element and the ideogram methods.  
3594 In the template method, based on a full ( $t\bar{t} \rightarrow \text{lepton} + \text{jets}$ ,  $t\bar{t} \rightarrow \text{all-jets}$ ) or partial ( $t\bar{t} \rightarrow \text{dilepton}$  and  
3595 single-top quark) reconstruction of the kinematics underlying the top-quark(s) decay, probability density  
3596 functions (templates) for observables sensitive to the underlying  $m_{\text{top}}$ , and to additional parameters, are  
3597 constructed based on MC simulation. These templates are fitted to functions interpolating between  
3598 the different input values of  $m_{\text{top}}$ , fixing all other parameters of the functions. Finally, an unbinned  
3599 likelihood fit to the observed data distribution of the observable is used to obtain the value of  $m_{\text{top}}$   
3600 describing the data best. Typically, for single top and dilepton events the  $m(lb)$  variable is used, whereas  
3601 for the lepton+jets events the  $m_{\text{top}}^{\text{reco}}$  obtained from a kinematic fit is more appropriate. The ideogram  
3602 method can be considered as a computational effective approximation of a matrix element method. After  
3603 a kinematic fit of the decay products to a  $t\bar{t}$  hypothesis, MC-based likelihood functions are exploited  
3604 for each event (ideograms) that depend only on the parameters to be determined from the data. The  
3605 ideograms reflect the compatibility of the kinematics of the event with a given decay hypothesis. As in  
3606 the case of the template method, ideograms can be generalised in multiple dimensions depending on the  
3607 number of input observables used.

3608 The latest ATLAS combination of direct  $m_{\text{top}}$  measurements leads to of top quark mass value of  
3609  $m_{\text{top}} = 172.69 \pm 0.48$  TeV with a total precision of  $\sim 0.28\%$  [657]. The latest CMS combination of  
3610 direct  $m_{\text{top}}$  measurements leads to of top quark mass value of  $m_{\text{top}} = 172.44 \pm 0.48$  TeV with a total  
3611 precision of  $\sim 0.28\%$  [arXiv:1509.04044]. The precision in each of these analyses is primarily limited  
3612 by systematic effects, in particular by the modelling of top quark production and decay and by the jet  
3613 energy scale. Analysis techniques have been developed to use in-situ constraints from the data on a  
3614 global jet energy scale factor or light jet and  $b$ -jet energy scale (3D fits) [657], which still suffer from  
3615 statistical uncertainties, which will be reduced strongly at the HL-LHC. The total amount of  $3000 \text{ fb}^{-1}$  of  
3616 14 TeV data would clearly decrease the statistical uncertainty in these analyses. Therefore, the statistical  
3617 precision in each analysis should be traded in various ways for a reduced total systematic uncertainty by  
3618 cutting into phase space regions where the systematic uncertainties are high.

3619 A variety of alternative methods are exploited to supplement the top quark mass measurements  
3620 from direct mass reconstruction based on jet observables. One source of alternative observables is the

usage of the  $b$ -jet information in the  $t\bar{t}$  decay, e.g. via final states featuring  $J/\psi$  produced in the  $b$ -hadron decays or secondary vertices in  $b$ -jets. With the alternative approaches, a large variety of other  $m_{\text{top}}^{\text{MC}}$  measurements can be done, which have different sensitivities to the top quark production and decay mechanisms and making therefore different contributions to the systematic uncertainties. Compared to the template method with the standard final states, the sensitivity to the light-jet and  $b$ -jet energy scale (respectively JES and  $b$ -JES) is expected to be reduced. One of the limiting factors of this approach is the small branching fraction,  $\mathcal{B}(t\bar{t} \rightarrow (W^+b)(W^-b) \rightarrow (\ell\nu_\ell J/\psi(\rightarrow \mu^+\mu^-)X)(qq'b) \sim 3.2 \times 10^{-4}$ , where  $\ell = e, \mu$ . On the other hand the modelling of  $b$ -fragmentation and  $b$ -decay are expected to be among the dominating sources of systematic uncertainties of these two analyses and need to be studied extensively in a dedicated study to reduce the signal modelling uncertainties. Both measurements can contribute in different ways to the final combination to improve the precision measurement of  $m_{\text{top}}$ . Individual  $m_{\text{top}}$  results resting on various techniques and  $t\bar{t}$  (or single-top quark) decay channels, have different sensitivities to statistical and systematic effects, and to the details of the MC simulation. To exploit the full physics potential of the available measurements, and to profit from their diversity and complementarity, they are combined, thereby further increasing our knowledge on  $m_{\text{top}}$ .

In some alternative techniques the top quark mass is extracted by comparing cross sections or distributions that can be calculated directly in QCD at either NLO or NNLO, to corresponding distributions extracted from data. The mass parameter used in the NLO or NNLO calculation (either the  $\overline{\text{MS}}$  or the Pole top mass) is obtained by fitting the theoretical cross section or distribution to the measured one. In this framework, mass measurements have been performed using as observables the inclusive  $t\bar{t}$  cross-section [Ref], the differential decay rate in  $t\bar{t}+1$  jet events [Ref], lepton and dilepton differential cross-sections [Ref].

Due to the changes of the detector performance for the HL-LHC, it is difficult to estimate precisely the effects of systematic uncertainties. The sources of uncertainty are assumed to be the same as the current ones. The estimated Run-2 uncertainties are scaled to align with HL-LHC extrapolations developed by the ATLAS and CMS Collaborations and documented in Ref. [658]. The impact of the experimental systematic uncertainties will likely be reduced relative to their effect on the Run-2 analysis given the large datasets available, allowing precise performance studies to be conducted. The jet reconstruction uncertainties on  $m_{\text{top}}$  are expected to be divided by a factor up to two, while uncertainties related to the reconstruction of electrons and muons remain the same as in Run-2. The theory modelling uncertainties are expected to be divided by a factor two compared to existing values. The larger HL-LHC dataset will allow for dedicated tuning and good understanding of NLO MC generators matched to parton showers, as already started with Run-2 data [388]. Another large contribution to the uncertainties stems from the modelling of QCD interactions, which can be investigated and constrained using differential measurements of the mass parameter itself or other ancillary measurements in parts of the phase space not yet accessible. These measurements are partially already being performed [659–661], but will benefit from more statistics, therefore strong constraints from the high statistics at the HL-LHC are expected.

### 6.5.3 Top mass in the $J/\psi$ channel ATLAS

#### Preliminary

For this report, ATLAS Collaboration presents projections for the top quark mass measurement accuracy using  $t\bar{t} \rightarrow$  lepton+jets events with  $J/\psi \rightarrow \mu^+\mu^-$  in the final state. Samples of simulated events for signal and background processes are produced at 14 TeV centre-of-mass energy. They include the production of  $t\bar{t}$  pairs, single-top quarks and  $W$  boson/ $Z$  bosons in association with jets. After the event generation step, a fast simulation of the trigger and detector effects is added with the dedicated ATLAS software framework. The event selection follows the analysis done at 8 TeV [662]. Events are required to have at least one charged isolated lepton with  $p_{\text{T}} > 25 \text{ GeV}$  and  $|\eta| < 4$  and at least 4 jets with  $p_{\text{T}} > 25 \text{ GeV}$  and  $|\eta| < 4.5$ . No requirement is applied on the number of  $b$ -tagged

Method	Statistical	Systematic	Total(GeV)
$t\bar{t}$ lepton+jets	0.17	0.02	0.17
single- $t$ t-channel	0.45	0.06	0.45
$m_{sv\ell}$	0.62	0.02	0.62
$J/\psi$	0.24	0.53	0.58
$\sigma_{t\bar{t}}$	0.4%(exp)	0.4%(theory)	1.2

Table 47: Projected total uncertainties on the top quark mass for  $3 \text{ ab}^{-1}$  and  $\sqrt{s}=14\text{TeV}$  obtained with different methods.

3668 jets.  $J/\Psi$  candidates are reconstructed using all pairs of opposite charge sign soft muons with  $p_T >$   
3669  $4 \text{ GeV}$  and  $|\eta| < 4.5$ . The top quark mass is obtained from a template method with unbinned likelihood  
3670 maximisation approach. A statistical uncertainty of  $0.xx \text{ GeV}$  is expected, with a systematic uncertainty  
3671 of  $0.xx \text{ GeV}$ . The total amount of  $3000 \text{ fb}^{-1}$  of  $14 \text{ TeV}$  data would clearly decrease the statistical  
3672 uncertainty in this analysis. However, the precision would be limited by systematic effects. Therefore,  
3673 the statistical precision should be traded in various ways for a reduced total systematic uncertainty by  
3674 cutting into phase space regions where the systematic uncertainties are high.

3675 This paragraph discusses the potential of selected top quark mass measurements at the HL-LHC  
3676 done by the CMS Collaboration, as described in detail in Ref [655]. The extrapolations are based on  
3677 measurements performed at  $7$  and  $8 \text{ TeV}$  centre-of-mass energy using  $5 \text{ fb}^{-1}$  and  $19.7 \text{ fb}^{-1}$ , respectively.  
3678 The numbers presented here do not include the possible ambiguity in the interpretation of the measured  
3679 value with respect to a well defined renormalisation scheme. However, also the measurement of the pole  
3680 mass from the inclusive  $t\bar{t}$  cross-section cross section is extrapolated to HL-LHC conditions.

3681 Typically, the jet energy scale uncertainties play a dominant role for top quark mass measure-  
3682 ments. The contribution from background processes, important only for the measurement using single  
3683 top events, is expected to be well under control. For the extrapolation of the extraction of  $m_{\text{top}}$  from the  
3684 total cross-section, the cross-section measurement is assumed to be ultimately limited by the luminosity  
3685 uncertainty, here assumed to be  $1.5\%$ . For the prediction, no predictions beyond NNLO are assumed,  
3686 such that the uncertainty due to scale variations is constant.

3687 The resulting extrapolated uncertainties on the top quark mass measurements are summarised in  
3688 Figure 81. The measurement using  $J/\psi$  mesons and using in general secondary vertices benefit the most  
3689 from higher statistics. But also the other measurements improve significantly, mostly from more precise  
3690 understanding of systematic uncertainties, as discussed above, such that ultimately, the precision will  
3691 range between  $0.1\%$  (which is of the order of  $\Lambda_{\text{QCD}}$ ) and  $0.7\%$ .

## 3692 6.6 Top quark properties and couplings

### 3693 6.6.1 Top quark charge asymmetries at LHCb

3694 The top quark charge asymmetry present in quark-initiated production is diluted by the presence of  
3695 gluon-gluon fusion and the increased quark content in the proton at forward rapidities gives LHCb  
3696 additional sensitivity to this observable. As LHCb takes data at a lower rate than ATLAS and CMS,  
3697 and has a limited acceptance, a partial reconstruction of the  $t\bar{t}$  final state is anticipated in order to make  
3698 optimal use of statistics, as described in Sec. 6.1.4. The expected differential single lepton asymmetry  
3699 at LHCb, inferred from the rate of  $\ell^+b$  and  $\ell^-b$  production as a function of lepton pseudorapidity,  
3700 is shown in Fig. 82 [663]. The expected statistical precision of a dataset corresponding to  $300 \text{ fb}^{-1}$   
3701 of integrated luminosity, the total expected at LHCb during the HL-LHC, is shown, along with the  
3702 theoretical uncertainties due to scale,  $\alpha_s$  and PDF uncertainties. The projection indicates that LHCb



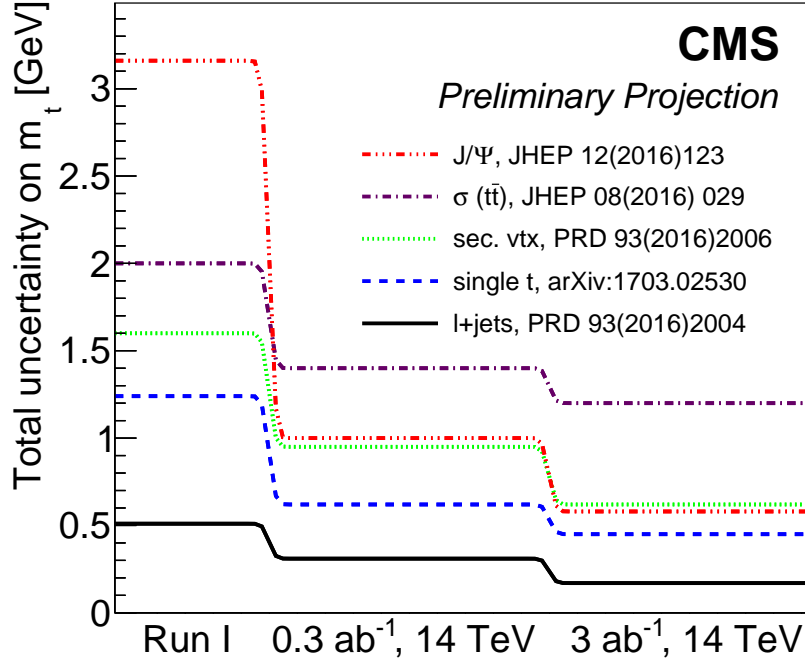


Fig. 81: The top mass measurement uncertainty for different methods as a function of integrated luminosity as obtained by CMS.

3703 will have sufficient statistics to make a non-zero observation of the  $t\bar{t}$  charge asymmetry at the HL-LHC.  
 3704 The dominant systematic uncertainty on the measurement is expected to come from the knowledge of the  
 3705 background contributions, particularly from  $W$  production in association with  $b$ -jets. Other final states,  
 3706 where an additional  $b$ -jet or lepton are required to be present will provide additional information as,  
 3707 despite the lower statistical precision, they probe larger values of Bjorken- $x$  and select the data sample  
 3708 with a higher purity.

### 3709 6.6.2 A method to determine $|V_{cb}|$ at the weak scale in top quark decays at the LHC

3710 In a recent paper [664], a new method was proposed to measure the  $|V_{cb}|$  element of the Cabibbo  
 3711 Kobayashi Maskawa (CKM) quark mixing matrix at the scale  $q \simeq m_W$ , using top decays at the LHC.  
 3712 To date,  $|V_{cb}|$  has always been measured in  $B$  decays, i.e. at an energy scale  $q \simeq \frac{m_b}{2}$ , far below the weak  
 3713 scale, and it is currently known to an uncertainty of about 2% [665]:

$$|V_{cb}| = (42.2 \pm 0.8) \times 10^{-3}. \quad (50)$$

3714 In the proposed measurement at the LHC,  $|V_{cb}|$  will be measured at the scale  $q \simeq m_W$ , more repre-  
 3715 sentative of the weak scale. The motivation for such a measurement is that the traditional extraction of  
 3716  $|V_{cb}|$  in  $B$  decays relies heavily on the operator product expansion, and its sensitivity is significantly af-  
 3717 fected by theoretical uncertainties [665]. In contrast, in dealing with decays of on-shell  $W$ s, as here, the  
 3718 theoretical situation is likely to be much cleaner and the systematic uncertainties will be very different.  
 3719 Moreover, there could be significant evolution of  $|V_{cb}|$  between  $q \simeq \frac{m_b}{2}$  and  $q \simeq m_W$  due to radiative  
 3720 corrections: e.g. the application (somewhat inappropriately) of the Standard Model (SM) six-quark evo-  
 3721 lution equations [666] at two-loop order [667] to the CKM matrix between  $q \simeq \frac{m_b}{2}$  and  $q \simeq m_W$  yields

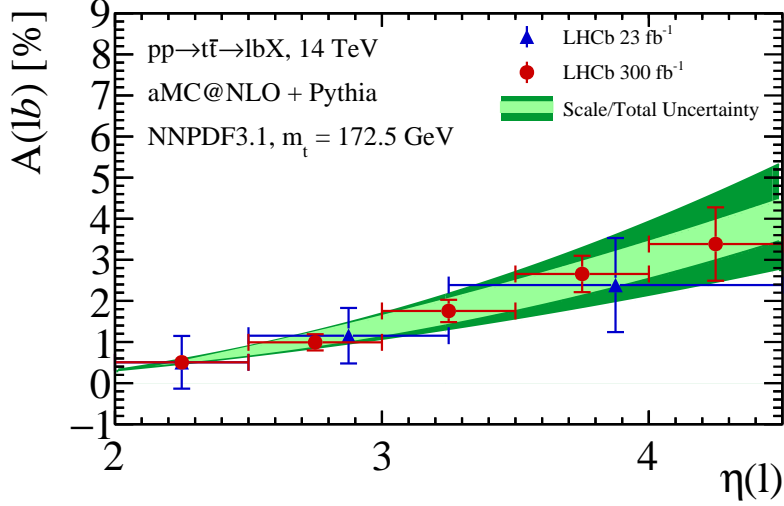


Fig. 82: The predicted SM asymmetry at LHCb as a function of lepton pseudorapidity in the  $\ell b$  final state at 14 TeV. The bands show the uncertainty on the theoretical predictions due to scale variations (light green) and due to combined scale, PDF and  $\alpha_s$  variations (dark green). The expected statistical precision on measurements performed by LHCb using 23 and 300  $\text{fb}^{-1}$  of data is indicated by the error bars on the points.

3722 a fractional increase in  $|V_{cb}|$  of  $\simeq 5\%$ , see fig. 83. While the correct treatment for SM evolution at such  
 3723 low energies is rather to use an effective field theory, integrating out the top quark below  $q \sim m_t$  [668],  
 3724 such a calculation of the  $|V_{cb}|$  running has not yet appeared in the literature. Thus the low-energy evolu-  
 3725 tion of  $|V_{cb}|$  is currently completely uncertain, while the naive calculation outlined above at least opens  
 3726 the possibility that its running might be observable, if  $|V_{cb}|$  can be measured at or above the weak scale.

3727 The proposed method uses the decays of tagged  $t\bar{t}$  pairs with one semileptonic top decay, (the  
 3728 tag),  $\bar{t} \rightarrow \bar{b}W^- \rightarrow \bar{b}\ell^-\bar{\nu}_\ell$ , and the other a hadronic decay,  $t \rightarrow bW \rightarrow b\bar{q}c$ , where  $\bar{q}$  is a charge  $\frac{1}{3}$  anti-  
 3729 quark (charge-conjugate decays will be assumed everywhere unless otherwise stated). The fraction of  
 3730 these in which  $\bar{q} = \bar{b}$  is (up to negligible phase-space factors), exactly  $|V_{cb}|^2$ . Using this ratio, otherwise  
 3731 leading experimental uncertainties in most of the tagging efficiencies are cancelled. Thus the required  
 3732 signal will contain three tagged  $b$ -jets and a tagged  $c$ -jet, in addition to a charged lepton and missing  
 3733 transverse momentum.

3734 Taking as a starting point, efficiencies from existing ATLAS and CMS  $t\bar{t}$  cross-section analy-  
 3735 ses, already-achieved experimental tagging performances [669–674], and reasonable assumptions about  
 3736 backgrounds, it is estimated [664], that the fractional uncertainty on  $|V_{cb}|$  which can be obtained at a  
 3737 single experiment using the Run 2 dataset is:

$$\frac{\Delta|V_{cb}|}{|V_{cb}|} \sim 0.07, \quad (51)$$

3738 which is statistics-limited. Averaging the two experiments would give a fractional error of  $\sim 5\%$ .

3739 Since the values of the systematic uncertainties on the tagging performances used to calculate  
 3740 eq. (51) were based roughly on their present determinations, the result is generalised in fig. 84, to show  
 3741 the dependence of the obtained fractional error on the systematic uncertainties as they vary. Also shown  
 3742 in fig. 84 are the results using larger datasets, corresponding to various future LHC luminosity scenarios.  
 3743 The systematics-limited regime is represented by the linear-sloping region towards the bottom-right



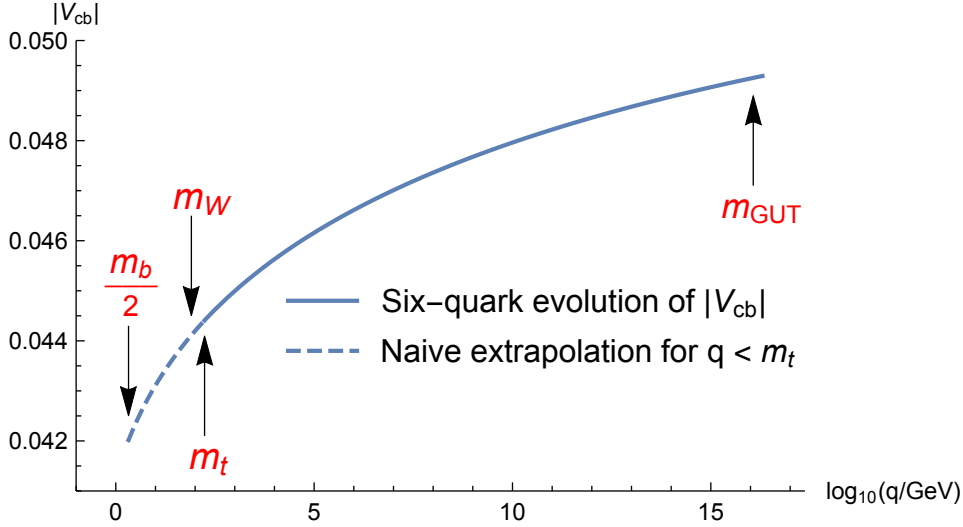


Fig. 83: Renormalisation Group evolution of  $|V_{cb}|$  using the six-quark running scheme [666–668] between  $m_{GUT}$  and  $\frac{m_b}{2}$ . Previous publications stop at  $m_t$ , while a correct procedure would use a five-quark scheme for  $q \lesssim m_t$ . This naive procedure at least suggests the possibility of significant low-energy evolution of  $|V_{cb}|$ .

3744 part of the figure, while the statistics-limited regime lies close to the  $y$ -axis, where the benefit of more  
 3745 statistics is most marked. The figure shows that making the measurement with future LHC data promises  
 3746 further improvements from both increased statistics and if tagging performance uncertainties can be  
 3747 reduced. E.g. if  $\delta_{B_1} = 3\delta_{\epsilon_b}$  can be reduced to  $\simeq 0.05$ , then at the end of Run 3, the uncertainty on  
 3748  $|V_{cb}|$  per experiment using this method could be as low as 4.5%, giving a fractional uncertainty on the  
 3749 average of the two  $|V_{cb}|$  measurements of  $\sim 3\%$ . HL-LHC would then deliver a further reduction in  
 3750 the measurement uncertainty of better than a factor of 2. Either of these higher statistics measurements  
 3751 could give sensitivity for the first time to the renormalisation group running of  $|V_{cb}|$ .

## 3752 6.7 Flavour changing neutral current

3753 Processes with flavour-changing neutral currents (FCNC) are forbidden at tree level and are strongly sup-  
 3754 pressed in higher orders by the Glashow-Iliopoulos-Maiani (GIM) mechanism [675]. The SM predicts  
 3755 the branching fractions for top quark FCNC decays of  $\mathcal{O}(10^{-12}-10^{-16})$  [676–678]. However, various  
 3756 extensions of the SM allow a significant enhancement of the FCNC top quark decay rates arising from  
 3757 possible contributions of new particles [678–680]. Any deviations from heavily suppressed top FCNC  
 3758 rates would be a clear sign of new physics. The FCNC interactions of the top quark with the SM gauge  
 3759 and Higgs bosons can be described through the following anomalous coupling Lagrangian:

$$\begin{aligned}
 \mathcal{L} = & \sum_{q=u,c} \left[ \sqrt{2}g_s \frac{\kappa_{gqt}}{\Lambda} \bar{t} \sigma^{\mu\nu} T_a (f_{Gq}^L P_L + f_{Gq}^R P_R) q G_{\mu\nu}^a + \right. \\
 & + \frac{g}{\sqrt{2}c_W} \frac{\kappa_{zqt}}{\Lambda} \bar{t} \sigma^{\mu\nu} (f_{Zq}^L P_L + f_{Zq}^R P_R) q Z_{\mu\nu} + \frac{g}{4c_W} \zeta_{zqt} \bar{t} \gamma^\mu (f_{Zq}^L P_L + f_{Zq}^R P_R) q Z_\mu - \\
 & \quad \left. - e \frac{\kappa_{\gamma qt}}{\Lambda} \bar{t} \sigma^{\mu\nu} (f_{\gamma q}^L P_L + f_{\gamma q}^R P_R) q A_{\mu\nu} + \right. \\
 & \quad \left. + \frac{g}{\sqrt{2}} \bar{t} \kappa_{Hqt} (f_{Hq}^L P_L + f_{Hq}^R P_R) q H \right] + h.c., \quad (52)
 \end{aligned}$$

3760 where  $P_L$  and  $P_R$  are chiral projection operators in spin space,  $\kappa_{Xqt}$  is the anomalous coupling for  $tXq$

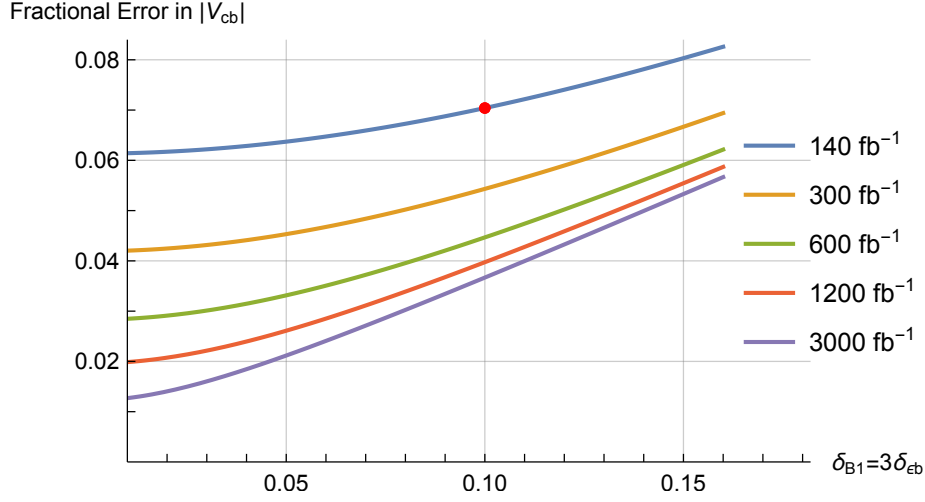


Fig. 84: Estimated fractional error in  $|V_{cb}|^2$  as a function of the systematic uncertainties  $\delta_{\epsilon_b}$  in the  $b$ -jet tagging efficiency and  $\delta_{B_1}$  in the light-to- $b$  jet flavour mis-tag probability, and integrated luminosity. For ease of presentation, we assume  $\delta_{B_1} \simeq 3\delta_{\epsilon_b}$  as it is at the time of writing. The top curve represents the Run 2 statistics and the red point on it indicates the illustrative values used to obtain eq. (51). The second curve corresponds to luminosity projections for Run 3, while the bottom curve is for the projected integrated luminosity for HL-LHC. We have allowed for a 15% increase in the  $t\bar{t}$  cross-section in the lower three curves, corresponding to an increase in beam collision energy to 14 TeV.

3761 vertex ( $X = g, Z, \gamma, H$ ),  $\zeta_{Zqt}$  is the additional anomalous coupling for  $tZq$  vertex,  $f_{Xq}^L$  and  $f_{Xq}^R$  are the  
 3762 left and right-handed complex chiral parameters with an unitarity constraint of  $|f_{Xq}^L|^2 + |f_{Xq}^R|^2 = 1$ .  
 3763 Each of the anomalous couplings can be probed in events with the top quark pair production where one  
 3764 of the top quark decays via FCNC interaction, as well as in events with the associated production of the  
 3765 single top quark with a gluon, Z boson,  $\gamma$ , or Higgs boson.

### 3766 **Signal modeling**

3767 The generation of signal events at ATLAS is done at NLO with MadGraph5\_aMC@NLO [12, 681] and  
 3768 the effective field theory framework developed in the TopFCNC model is used [682, 683]. In the case of  
 3769  $gqt$  coupling, the MTop generator is used instead [684]. At CMS signal events are simulated at LO with  
 3770 MadGraph5\_aMC@NLO with the effective lagrangian implemented by means of the FEYNRULES  
 3771 package, except in the simulation of signal events for  $gqt$  and  $\gamma qt$  couplings where CompHEP [685] and  
 3772 PROTOS 2.0 [686] are used, respectively. In both experiments Pythia 8 is used to simulate the parton  
 3773 showering and hadronization. The generation of signal events is done under the assumption of only one  
 3774 non-vanishing FCNC coupling at a time.

### 3775 **Top-gluon**

3776 The  $gqt$  FCNC process was studied by ATLAS [687] and CMS [688] in single top quark events. The  
 3777 event signature includes the requirement of one isolated lepton and the presence of a significant amount  
 3778 of transverse missing energy ( $E_T^{miss}$ ). The analysis at CMS requires exactly one  $b$  and one non- $b$  jet  
 3779 to  $b$  present in the final state with the dominant background arising from the  $t\bar{t}$ +jets production, while  
 3780 the analysis at ATLAS vetoes any additional jets resulting in the dominant source of background as-  
 3781 sociated with the  $W$ +jets production. A neural network-based technique is used to separate signal

3782 from background events. The observed (expected) 95% CL upper limits in the CMS analysis are  
 3783  $\mathcal{B}(t \rightarrow gu) < 2.0 (2.8) \times 10^{-5}$  and  $\mathcal{B}(t \rightarrow gc) < 4.1 (2.8) \times 10^{-4}$ , while the resultant limits in  
 3784 case of ATLAS are  $\mathcal{B}(t \rightarrow gu) < 4.0 (3.5) \times 10^{-5}$  and  $\mathcal{B}(t \rightarrow gc) < 2.0 (1.8) \times 10^{-4}$ . The projected  
 3785 limits for  $3ab^{-1}$  are  $\mathcal{B}(t \rightarrow gu) < 3.8 \times 10^{-6}$  and  $\mathcal{B}(t \rightarrow gc) < 32.1 \times 10^{-6}$  [689].

### 3786 **Top-Z**

3787 ATLAS studied the sensitivity to the  $tqZ$  interaction, by performing an analysis [?] based on simulated  
 3788 samples and following both the strategy of its 13 TeV data analysis on the same subject [?] and of the  
 3789 general recommendations for this HL-LHC study. The study is performed in the three charged lepton  
 3790 final state of  $t\bar{t}$  events, in which one of the top quarks decays to  $qZ$ , ( $q = u, c$ ) and the other one decays to  
 3791  $bW$  ( $t\bar{t} \rightarrow bWqZ \rightarrow bl\nu q\ell\ell$ ). The kinematics of the events are reconstructed through a  $\chi^2$  minimisation  
 3792 and dedicated control regions are used to normalize the main backgrounds and constrain systematic  
 3793 uncertainties. The main uncertainties, in both the background and signal estimations, are expected  
 3794 to come from theoretical normalization uncertainties and uncertainties in the modeling of background  
 3795 processes in the simulation. Different scenarios for the systematic uncertainties are considered, ranging  
 3796 from the full estimations obtained with the 13 TeV data analysis, to the ones expected with improvements  
 3797 in theoretical predictions, which should be half of the former ones. A binned likelihood function  $L(\mu, \theta)$   
 3798 is used to do the statistical analysis and extract the signal normalisation. An improvement by a factor of  
 3799 five is expected in relation to the current 13 TeV data analysis results. Obtained branching ratio limits  
 3800 are at the level of 4 to  $5 \times 10^{-5}$  depending on the considered scenarios for the systematic uncertainties.

### 3801 **Top- $\gamma$**

3802 The  $t\gamma q$  anomalous interactions are probed by CMS at 8 TeV in events with single top quarks produced  
 3803 in association with a photon [690]. Event selection criteria includes the presence of one isolated lepton,  
 3804 one isolated photon,  $E_T^{miss}$ , and up to one b jet. The dominant  $W\gamma$  and  $W$ +jets backgrounds are  
 3805 suppressed with a BDT. The resultant exclusion limits are  $\mathcal{B}(t \rightarrow \gamma u) < 1.3 (1.9) \times 10^{-4}$  and  $\mathcal{B}(t \rightarrow$   
 3806  $\gamma c) < 2.0 (1.7) \times 10^{-3}$ . Preliminary projection studies yield  $\mathcal{B}(t \rightarrow \gamma u) < 8.6 \times 10^{-6}$ ,  $\mathcal{B}(t \rightarrow \gamma c) <$   
 3807  $7.4 \times 10^{-5}$  [?].

### 3808 **Top-Higgs**

3809 The  $tHq$  interactions are studied by ATLAS in top quark pair events with  $t \rightarrow qH, H \rightarrow \gamma\gamma$  [691]  
 3810 and  $H \rightarrow WW$  [692] at 13 TeV. The former analysis explores the final state with two isolated photons.  
 3811 For leptonic top quark decays the selection criteria includes the requirement of one isolated lepton,  
 3812 exactly one b jet, and at least one non-b jet. In case of hadronic top quark decays the analysis selects  
 3813 events with no isolated leptons, at least one b jet, and at least three additional non-b jets. The dominant  
 3814 background processes are associated with the production of non-resonant  $\gamma\gamma$ +jets,  $t\bar{t}$ +jets and  $W+\gamma\gamma$   
 3815 events. The resultant limits are  $\mathcal{B}(t \rightarrow Hu) < 2.4 (1.7) \times 10^{-3}$  and  $\mathcal{B}(t \rightarrow Hc) < 2.2 (1.6) \times 10^{-3}$ .  
 3816 The search for FCNC in  $H \rightarrow WW$  includes the analysis of multilepton final states with either two  
 3817 same-sign or three leptons. The dominant backgrounds arising from the  $t\bar{t}W, t\bar{t}Z$  and non-prompt lepton  
 3818 production are suppressed with a BDT. The obtained limits are  $\mathcal{B}(t \rightarrow Hu) < 1.9 (1.5) \times 10^{-3}$  and  
 3819  $\mathcal{B}(t \rightarrow Hc) < 1.6 (1.5) \times 10^{-3}$ . The  $tHq$  anomalous couplings are probed by CMS in  $H \rightarrow b\bar{b}$   
 3820 channel in top quark pair events, as well as in single top associated production with a Higgs boson, at 13  
 3821 TeV [693]. The event selection includes the requirement of one isolated lepton, at least two b jets, and  
 3822 at least one additional non-b jet. The dominant  $t\bar{t}$  background is suppressed with a BDT discriminant  
 3823 to set the exclusion limits of  $\mathcal{B}(t \rightarrow Hu) < 4.7 (3.4) \times 10^{-3}$  and  $\mathcal{B}(t \rightarrow Hc) < 4.7 (4.4) \times 10^{-3}$ .  
 3824 Preliminary projections suggest  $\mathcal{B}(t \rightarrow Hq) < \mathcal{O}(10^{-4})$  [694, 695].

$\mathcal{B}$ limit at 95%C.L.	3 ab <sup>-1</sup> , 14 TeV	15ab <sup>-1</sup> , 27 TeV	Ref.
$t \rightarrow gu$	$3.8 \times 10^{-6}$	$5.6 \times 10^{-7}$	[689]
$t \rightarrow gc$	$32.1 \times 10^{-6}$	$19.1 \times 10^{-7}$	[689]
$t \rightarrow Zq$	$4 \times 10^{-5}$		[?]
$t \rightarrow \gamma u$	$8.6 \times 10^{-6}$		[?]
$t \rightarrow \gamma c$	$7.4 \times 10^{-5}$		[?]
$t \rightarrow Hq$	$10^{-4}$		[694]

Table 48: Summary of the projected reach for the 95% C.L. limits on the branching ratio for anomalous flavor changing top couplings.

## 7 Effective coupling interpretations for top quark cross sections and properties

Effective Field Theory (SMEFT) [574, 575], where the SM is augmented by a set of higher-dimension operators

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i}{\Lambda^2} \mathcal{O}_i + \mathcal{O}(\Lambda^{-4}). \quad (53)$$

As an example the relevant operators for the  $tWb$  vertex are:

$$\mathcal{O}_{tW} = i(\bar{Q}\sigma^{\mu\nu}\tau_I t)\tilde{\phi}W_{\mu\nu}^I + \text{h.c.} \quad (54)$$

$$\mathcal{O}_{\phi q}^{(3)} = i(\phi^\dagger \overleftrightarrow{D}_\mu \tau_I \phi)(\bar{q}_i \gamma^\mu \tau^I q_i) + \text{h.c.} \quad (55)$$

in agreement with the notation of [192].

The operators of eq. (54)-(55) modify the  $Wtb$  interaction in the following way

$$\begin{aligned} \mathcal{L}_{Wtb}^{\text{dim-6}} &= -\frac{g}{\sqrt{2}}\bar{b}(x)\gamma^\mu P_L t(x)W_\mu(x) \left(1 + \frac{C_{\varphi Q}^{(3)}v^2}{\Lambda^2}\right) \\ &+ \frac{2vC_{tW}}{\Lambda^2}\bar{b}(x)\sigma^{\mu\nu}P_R t(x)\partial_\nu W_\mu(x) + \text{h. c.}, \end{aligned} \quad (56)$$

where  $v = 246$  GeV is the Higgs doublet vacuum expectation value, and  $y_t$  the top quark Yukawa coupling. Here and below we assume  $V_{tb} = 1$ . We remark that a slightly different approach [696–700], not using operators but anomalous couplings, has also been used in the literature. It is straightforward to connect the operator coefficients with the anomalous couplings description. The connection between the operator coefficients to the anomalous couplings is discussed in [610].  $Wtb$  vertex can be probed in single top production ( $t$ -,  $Wt$ ,  $s$ -channel top production),  $W$  helicity fractions and forward-backward asymmetries.

Similarly the coupling of the top to the Z and photon can be parametrised by the dimension-6 operators as discussed in [192], where the relevant degrees of freedom are discussed. The relevant degrees of freedom for the top-Z interaction  $c_{\phi Q}^-, c_{\phi Q}^3, c_{\phi t}, c_{tZ}^{[I]}$  whilst the photon-top interaction depends on  $c_{tA}^{[I]}$  as defined in [192]. Phenomenological studies of top production in association with a vector boson or a photon exist in the literature [217, 218, 701, 702] including NLO QCD corrections.

In this section we examine the prospects of probing top charged and neutral couplings at the HL-LHC.

### 7.1 The top quark couplings to the W boson

The latest and most precise measurements on single top quark production cross sections ( $t$ -,  $Wt$ - and  $s$ -channels) [?, ?, 573, 703–709],  $W$  boson helicity fractions ( $F_0, F_L$  and  $F_R$ ) [710, 711] and

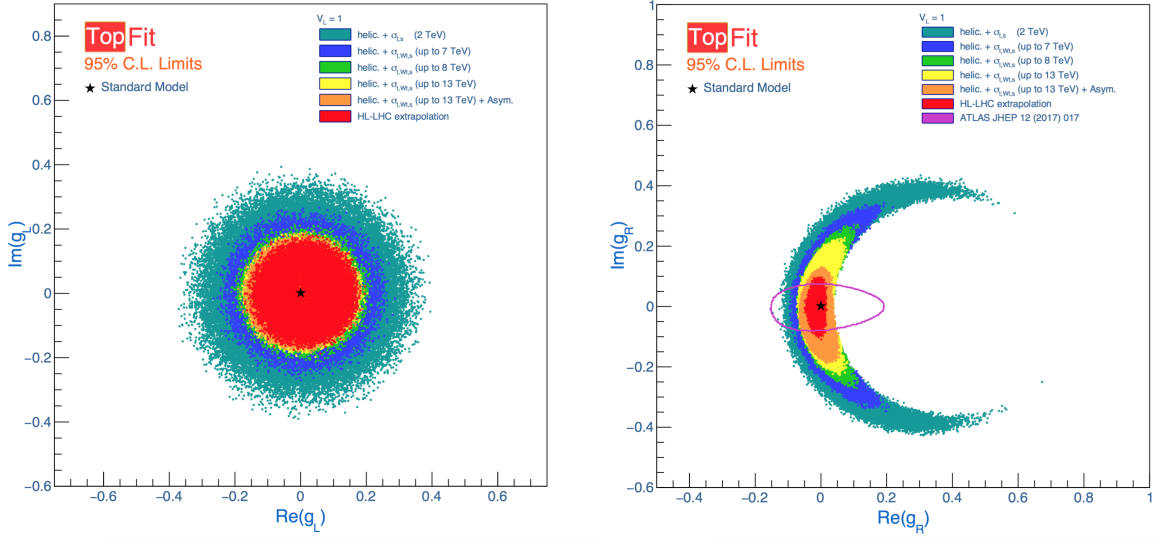


Fig. 85: Limits at 95% CL on the allowed regions for anomalous couplings. The *two-* dimensional distributions of the *Re* versus the *Im* components of  $g_L$  (left) and  $g_R$  (right), are shown.

3848 forward-backward asymmetries ( $A_{FB}^\ell, A_{FB}^N, A_{FB}^T$ ) [712], measured at different centre-of-mass energies  
3849 i.e., 2 TeV at Tevatron and 7, 8 and 13 TeV at the LHC, were used to set stringent 95% Confidence  
3850 Level (CL) limits on possible new physics that affect the  $Wtb$  vertex structure. The results were extrap-  
3851 olated to the High-Luminosity (HL-LHC) phase of the LHC, by assuming the full expected luminosity  
3852 ( $3000 \text{ fb}^{-1}$ ) and scaling the uncertainties obtained at the LHC for  $\sqrt{s} = 13 \text{ TeV}$  (the central value of  
3853 the observables were assumed to be the Standard Model prediction at 14 TeV). The statistical and simu-  
3854 lation related uncertainties were scaled according to the total integrated luminosity at the HL-LHC. All  
3855 generator and signal modelling related systematic uncertainties of these observables were extrapolated  
3856 to be half of their current value, in accordance with the recent ATLAS and CMS official recommenda-  
3857 tions for the High-Luminosity studies. All experimental performance related uncertainties (leptons and  
3858 jets, efficiencies, energy resolutions, etc.) were considered to maintain the current value at 13 TeV, at  
3859 the exception of the efficiency of tagging jets from the hadronization of  $b$ -quarks ( $b$ -tagging), which  
3860 is expected to be reduced by half. These extrapolated measurements were included in the global fit, in  
3861 combination with the current measurements, in order to estimate expected limits on the real and imag-  
3862 inary components of the top quark couplings. The allowed regions of the new couplings are presented  
3863 in Figure 85 and Table 49. Figure 85 allows also for a comparison between current LHC results and the  
3864 HL-LHC projections.

HL-LHC	$g_R$	$g_L$	$V_R$
Allowed Region ( <i>Re</i> )	[-0.05 , 0.02]	[-0.17 , 0.19]	[-0.28 , 0.32]
Allowed Region ( <i>Im</i> )	[-0.11 , 0.10]	[-0.19 , 0.18]	[-0.30 , 0.30]

Table 49: 95% CL limits on the allowed regions of the real and imaginary components of the anomalous couplings. The combination of the measurements extrapolated to the HL-LHC ( $3000 \text{ fb}^{-1}$ ) is shown.

## 3865 7.2 $t\bar{t}\gamma$

3866 TO BE ADDED

## 3867 7.3 $t\bar{t}Z$

3868 Many beyond the Standard Model (BSM) predictions include anomalous couplings of the top quark to  
3869 the electroweak gauge bosons [608, 713–718]. While we restrict this study to the  $t\bar{t}Z$  channel and the  
3870 CMS Phase-2 detector with a luminosity scenario of  $3 \text{ ab}^{-1}$ , we go beyond earlier work [702] and study  
3871 the sensitivity of the  $t\bar{t}Z$  process using differential cross section data. We interpret the result in terms  
3872 of the SM effective field theory [192] and set limits on the relevant Wilson coefficients of the Warsaw  
3873 basis [478]  $C_{tZ}$ ,  $C_{tZ}^{[1m]}$ ,  $C_{\phi t}$  and  $C_{\phi Q}$  [719, 720].

### 3874 *Event simulation*

3875 We generate events at the parton level at LO using MADGRAPH5aMC@NLO v2.3.3 [12], and decay them  
3876 using MadSpin [364, 721]. Parton showering and hadronization are done using PYTHIA 8.2 [251, 324].  
3877 Fast detector simulation was performed using Delphes [232], with the CMS reconstruction efficiency  
3878 parametrization for the Phase-2 upgrade with 200PU. Jets are reconstructed with the FastJet pack-  
3879 age [303] and using the anti- $k_T$  algorithm [233] with a cone size  $R = 0.4$ . Besides the signals, we  
3880 also generate the main backgrounds in the leptonic final states in order to achieve a realistic background  
3881 prediction. The WZ,  $tZq$ ,  $tWZ$ ,  $t\bar{t}\gamma$  and  $t\bar{t}Z$  processes are normalized to cross sections calculated up to  
3882 next-to-leading order (NLO) in perturbative QCD.

### 3883 *Event selection*

3884 From results on the inclusive  $t\bar{t}Z$  cross section from ATLAS [722, 723] and CMS [724–727] it follows  
3885 that the three lepton channel, where the Z and one of the W bosons originating from a top quark decay  
3886 leptonically is the most sensitive search channel. We thus require three reconstructed leptons (e or  $\mu$ )  
3887 with  $p_T(l)$  thresholds of 10, 20, and 40 GeV, respectively, and  $|\eta(l)| < 3.0$ . We furthermore require  
3888 that there is among them a pair of opposite-sign same-flavor leptons consistent with the Z boson by  
3889 requiring  $|m(l\bar{l}) - m_Z| < 10 \text{ GeV}$ . We remove reconstructed leptons within a cone of  $\Delta R < 0.3$  to  
3890 any reconstructed jet satisfying  $p_T(j) > 30 \text{ GeV}$ . Furthermore, at least 3 jets are required with  $p_T(j)$   
3891  $> 30 \text{ GeV}$  and  $|\eta(j)| < 4.0$ , where one of the jets has been identified as a b-tag jet according to the  
3892 Delphes specification.

3893 We consider the distributions of the observables above in equally sized bins of the transverse Z boson  
3894 momenta  $p_T(Z)$  [701] and  $\cos\theta_Z^*$ , the relative angle of the negatively charged lepton to the Z boson  
3895 direction of flight in the rest frame of the boson. The differential cross sections for the SM (black) and  
3896 BSM (colored lines) interpretations in  $t\bar{t}Z$  with respect to  $p_T(Z)$  and  $\cos\theta_Z^*$  are shown in Fig. 86 for  $C_{tZ}$   
3897  $= 2.4 (\Lambda/\text{TeV})^2$  and  $C_{tZ}^{[1m]} = 2.4 (\Lambda/\text{TeV})^2$ . We normalize the BSM distributions to the SM yield in the  
3898 plots to visualize the discriminating features of the parameters. The part of the signal which does not  
3899 contain information on the Wilson coefficients is shown hatched, backgrounds are shown in solid colors.

### 3900 *Results*

3901 The predicted yields are estimated for the  $3 \text{ ab}^{-1}$  HL-LHC scenario at  $\sqrt{s} = 13 \text{ TeV}$  and scaled to  
3902  $14 \text{ TeV}$ . We perform a binned profiled maximum log-likelihood fit of the ratio  $q(r) = -2L(r, \hat{\theta}_r)/L(\hat{r}, \hat{\theta})$ ,  
3903 where  $\theta$  are nuisance parameters and  $\hat{\theta}_r$  maximize the likelihood function for a signal strength modifier  $r$ .  
3904  $\hat{r}$  and  $\hat{\theta}$  maximize  $L$ . Experimental uncertainties are estimated based on the expected performance of the  
3905 Phase-2 CMS detector. In Fig. 87, the log-likelihood scan for the  $t\bar{t}Z$  process is shown in the  $C_{\phi Q}/C_{\phi t}$   
3906 parameter plane (left) and the dipole moment parameter plane  $C_{tZ}/C_{tZ}^{[1m]}$  (right). The green (red) lines





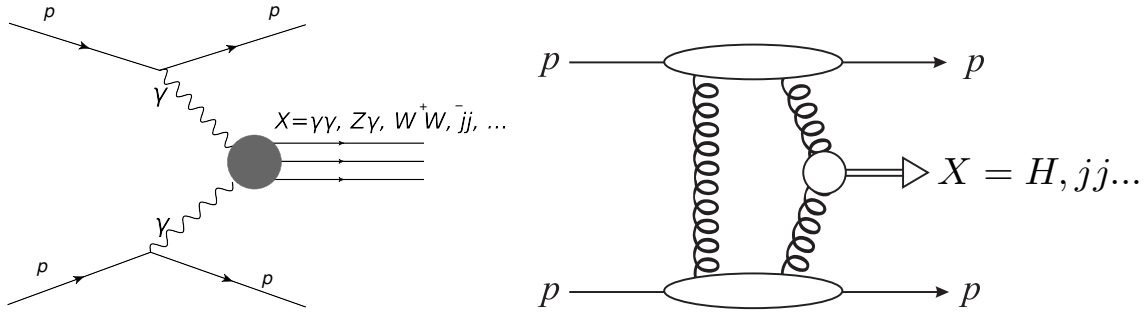


Fig. 88: Schematic diagram of the production of a system  $X$  in (left) two-photon (right) QCD-initiated central exclusive production.

## 8 Forward physics

### 8.1 Photon-induced collisions at the HL-LHC

Central exclusive production (CEP) corresponds to the production of central system  $X$ , and nothing else, with two outgoing intact protons:

$$pp \rightarrow p + X + p. \quad (57)$$

Such a process may be mediated by photon exchange, with the elastic photon emission vertex leaving the protons intact, see Fig. 88 (left). A range of SM (e.g.  $X = \gamma\gamma, Z\gamma, ZZ, \ell\bar{\ell}$ ) and BSM states (e.g.  $X =$  axion-like particles, monopoles, SUSY particles) may be produced in this way. These have the benefit of:

- The theoretical framework to model the underlying production mechanism, based on the equivalent photon approximation [728], is very well understood. Moreover, due to the peripheral nature of the interaction the possibility for additional inelastic proton–proton interactions (in other words of multiple–particle interactions) is very low.
- As the mass of the central system increases, the relative size of any contribution from QCD-initiated production, see Section 8.2, becomes increasingly small [729], due to the strong Sudakov suppression in vetoing on additional QCD radiation.

CEP therefore offers a unique opportunity at the LHC to observe the purely photon-initiated production of electromagnetically charged objects at the LHC in a clean and well understood environment; we in effect use the LHC as a photon–photon collider. The cross sections for such processes can be relatively small, in particular at higher mass, and therefore to select such events it is essential to run during nominal LHC running with tagged protons. The increased statistics available during the HL-LHC stage will allow these studies to push to higher masses and lower cross sections, increasing the discovery potential. A detailed study for the example case of anomalous quartic gauge couplings is discussed below.

#### 8.1.1 Anomalous quartic gauge couplings with proton tagging at the HL-LHC

We discuss the discovery potential of anomalous quartic gauge interactions at the LHC via the measurement of central exclusive production. The central system  $X$  is reconstructed in the central detector (CMS, ATLAS) while the outgoing protons, which remain intact due to the coherent photon exchange, can be reconstructed with dedicated tracking detectors located in the very forward region at about  $\pm 210$  m (220 m) with respect to the interaction point of the CMS (ATLAS) experiment. The fractional momentum loss of the outgoing protons  $\xi = \Delta p/p$  is reconstructed offline. Central exclusive production processes satisfy  $m_X = m_X^{\text{fwd}} = \sqrt{\xi_1 \xi_2 s}$  and  $y_X = y_X^{\text{fwd}} = \frac{1}{2} \log \left( \frac{\xi_1}{\xi_2} \right)$ , where  $m_X$  and  $y_X$  are the mass

3940 and rapidity of the system  $X$  reconstructed with the central detector,  $m_X^{\text{fwd}}$  and  $y_X^{\text{fwd}}$  are the mass and  
 3941 rapidity of the system  $X$  reconstructed with the forward detectors and  $\sqrt{s}$  is the proton-proton center-of-  
 3942 mass energy. This relationship sets a powerful offline selection tool for background suppression, since  
 3943 non-exclusive events are not correlated to the forward protons.

3944

3945 For our projections, we assume that a similar set-up as with the CT-PPS and AFP detectors is  
 3946 possible at the HL-LHC. We will give an overview of the physics case for light-by-light scattering as the  
 3947 prototype example and the quartic  $\gamma\gamma\gamma Z$  coupling as an instance of other gauge couplings that could  
 3948 be studied at the HL-LHC. Our projections consider also the impact of the difference of the measured  
 3949 time-of-flight for the intact protons with various timing precisions (on the order of 10 ps), which can  
 3950 be used to determine the longitudinal coordinate of the event vertex down to  $\sim 2$  mm. Time-of-flight  
 3951 measurements can help further reduce the background, especially at the HL-LHC where the number of  
 3952 interactions per bunch crossing will range from 140-200.

3953

### 3954 *Scattering of light-by-light in $p$ - $p$ collisions*

3955 Under the assumption that there exists a New Physics energy scale  $\Lambda$  much heavier than the exper-  
 3956 imentally accessible energy  $E$ , new physics manifestations can be described using an effective La-  
 3957 grangian valid for  $\Lambda \gg E$ . Among these operators, the pure photon dimension-eight operators  $\mathcal{L}_{4\gamma} =$   
 3958  $\zeta_1^{4\gamma} F_{\mu\nu} F^{\mu\nu} F_{\rho\sigma} F^{\rho\sigma} + \zeta_2^{4\gamma} F_{\mu\nu} F^{\nu\rho} F_{\rho\lambda} F^{\lambda\mu}$  induce the  $\gamma\gamma\gamma\gamma$  interaction. This coupling can be probed in  
 3959  $pp \rightarrow p(\gamma\gamma \rightarrow \gamma\gamma)p$  reactions. This sub-process and the SM light-by-light scattering one are imple-  
 3960 mented in the Forward Physics Monte Carlo (FPMC) event generator. The Equivalent Photon Approx-  
 3961 imation is used to calculate the emitted coherent photon flux off the protons.

3962

3963 With proton tagging, one can probe  $\gamma\gamma \rightarrow \gamma\gamma$  collisions from about 300 GeV to 2 TeV. The  
 3964 mass acceptance on the photon pair is limited mainly by the acceptance of  $\xi$  of the proton taggers  
 3965 ( $0.015 \leq \xi \leq 0.15$ ). The background is dominated by non-exclusive diphoton production events over-  
 3966 lapped with uncorrelated events with intact protons coming from the secondary collisions occurring in  
 3967 the same bunch crossing. This background can be suppressed by looking at the central and forward  
 3968 systems kinematic correlations (the aforementioned mass and rapidity matching). The irreducible back-  
 3969 ground coming from the SM exclusive diphoton production is negligible at large invariant masses. The  
 3970 background can be further suppressed if the time-of-flight difference of each of the scattered protons  
 3971 is measured. The precision of the event vertex longitudinal position determined with the time-of-flight  
 3972 measurement is given by  $\delta z = c \delta t / \sqrt{2}$ , where  $c$  is the speed of light and  $\delta t$  is the timing precision. For  
 3973 our projections, we have considered that the average pileup was of 200 collisions per bunch crossing.

3974

3975 The expected bounds on the anomalous couplings  $\zeta_{1,2}^{4\gamma}$  at 95% CL are calculated based on the  
 3976 total expected background rate and can be seen in Fig. 89. The reach on the quartic couplings  $\zeta_{1,2}^{4\gamma}$  down  
 3977 to  $5 \cdot 10^{-14} \text{ GeV}^{-4}$  with  $300 \text{ fb}^{-1}$  at 14 TeV, and down to  $1 \cdot 10^{-14} \text{ GeV}^{-4}$  at the HL-LHC with a  
 3978 luminosity of  $3000 \text{ fb}^{-1}$  without using time-of-flight information. The last bound can be improved by a  
 3979 factor of  $\sim 1.2$  if the timing precision is of 10 ps.

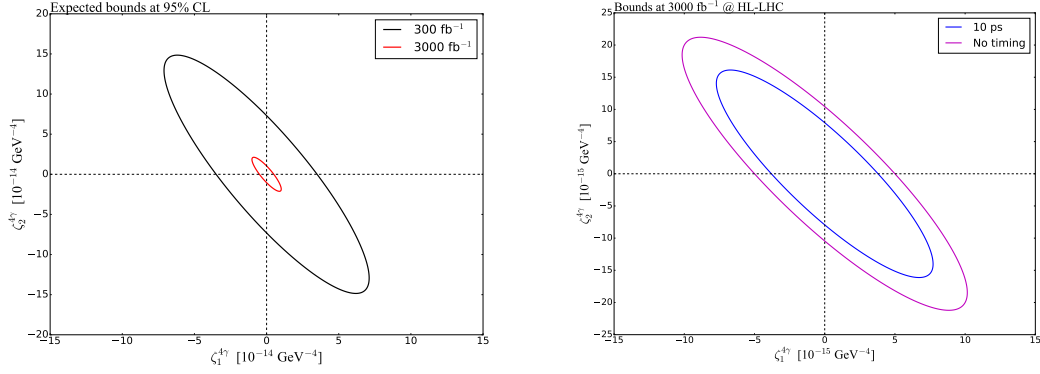


Fig. 89: Expected bounds at 95% CL on the anomalous quartic coupling for  $300 \text{ fb}^{-1}$  and at the HL-LHC with  $3000 \text{ fb}^{-1}$  (no time-of-flight measurement) (left). Expected bounds at 95%CL on the anomalous couplings at the HL-LHC with time-of-flight measurement with precision of 10 ps and without time-of-flight measurement (right).

### 3980 *Constraining $\gamma\gamma\gamma Z$ coupling via $pp \rightarrow p(\gamma\gamma \rightarrow \gamma Z)p$*

3981 The  $\gamma\gamma\gamma Z$  interaction is induced at one-loop level in the SM via loops of fermions and  $W^\pm$  bosons.  
 3982 Loops of heavy particles charged under  $SU(2)_L \times U(1)_Y$  contribute to the  $\gamma\gamma\gamma Z$  couplings. The dimension-  
 3983 eight effective operators are  $\mathcal{L}_{\gamma\gamma\gamma Z} = \zeta_1^{3\gamma Z} F^{\mu\nu} F_{\mu\nu} F^{\rho\sigma} Z_{\rho\sigma} + \zeta_2^{3\gamma Z} F^{\mu\nu} \tilde{F}_{\mu\nu} F^{\rho\sigma} \tilde{Z}_{\rho\sigma}$ , which induce the  
 3984  $\gamma\gamma\gamma Z$  interaction. This induces the anomalous  $\gamma\gamma \rightarrow \gamma Z$  scattering and generates the rare SM decay  
 3985  $Z \rightarrow \gamma\gamma\gamma$ . This coupling can be probed in  $pp \rightarrow p(\gamma\gamma \rightarrow \gamma Z)p$  reactions. The sub-process was imple-  
 3986 mented in the FPMC event generator as well.

3987

3988 Since the exclusive channel is very clean, it allows the possibility of studying exclusive  $Z\gamma$  pro-  
 3989 duction with the  $Z$  boson decaying into a charged lepton pair or to hadrons (dijet or large radius jet signa-  
 3990 ture). The signature  $(Z \rightarrow \ell\ell) + \gamma$  is much cleaner, but has vastly fewer events than  $(Z \rightarrow \text{hadrons}) + \gamma$   
 3991 final states. We apply a similar event selection on the exclusive  $Z\gamma$  production as in the exclusive  $\gamma\gamma$   
 3992 case. The sensitivity on the anomalous coupling at 95% CL combining both channels at 14 TeV with  
 3993  $300 \text{ fb}^{-1}$  of data is on the order of  $1 \cdot 10^{-13} \text{ GeV}^{-4}$  (see Fig. 90). For the HL-LHC with  $3000 \text{ fb}^{-1}$  it  
 3994 scales down to  $1 \cdot 10^{-14} \text{ GeV}^{-4}$  when combining both channels. The time-of-flight measurement can  
 3995 improve the expected bounds by a factor of  $\sim 2$ .

3996

## 3997 **8.2 Central exclusive production: QCD prospects**

3998 The CEP process may be mediated purely by the strong interaction, and in such a case if the mass of the  
 3999 central system is large enough a perturbative approach may be applied, via the diagram shown in Fig. 88  
 4000 (right), see [730, 731] for reviews. As well as probing QCD in a novel regime, the exclusive nature of  
 4001 this process has the benefit that the produced object obeys a quantum number selection rule. Namely  
 4002 the object must be  $C$  even, while the production of  $P$  even states with  $J_z = 0$  angular momentum  
 4003 projection on the beam axis is strongly dominant. From the point of view of the production of new  
 4004 BSM states or the understanding of existing QCD bound states (e.g. exotic quarkonia) this therefore

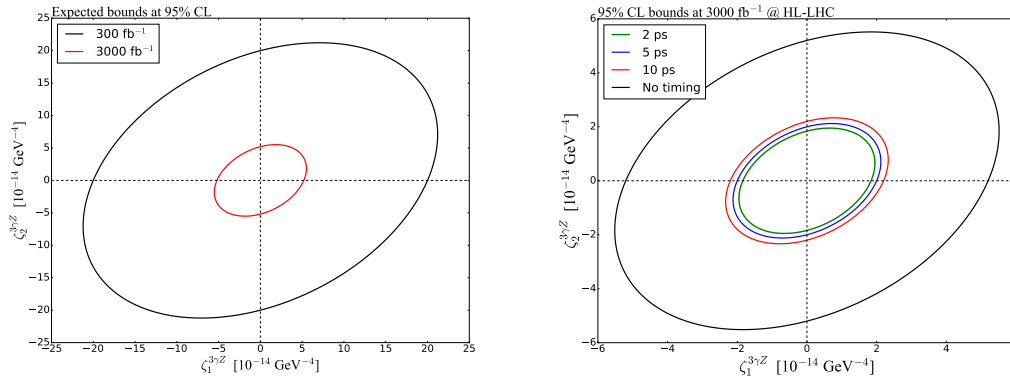


Fig. 90: Expected bounds on the anomalous couplings at 95%CL between  $300 \text{ fb}^{-1}$  and  $3000 \text{ fb}^{-1}$  at the HL-LHC (no time-of-flight measurement) (left). Expected bounds at 95%CL for timing precisions of  $\delta t = 2, 5, 10 \text{ ps}$  at the HL-LHC (right).

4005 has the benefit of identifying the produced object quantum numbers. The  $J_z = 0$  selection implies that  
 4006 only certain helicity configurations in the underlying  $gg \rightarrow X$  production process contribute, which  
 4007 also leads to unique phenomenological consequences. A detailed discussion of this selection rule can  
 4008 be found in [731] and the references therein. Two example processes, namely exclusive jet and Higgs  
 4009 boson production, are discussed briefly below. These represent higher mass test cases relevant to HL-  
 4010 LHC running with tagged protons at ATLAS/CMS. The possibilities for the observation of lower mass  
 4011 objects with the ALICE detector will be addressed in Section 8.4.

4012 The exclusive production of jets provides a new and unexplored area of QCD phenomenology.  
 4013 While this process has been observed at the Tevatron [732, 733], so far no data has been taken at the  
 4014 LHC. The quantum number selection rule discussed above has a number of consequences that are quite  
 4015 distinct from the standard inclusive channels. In particular, the production of purely gluonic dijets is  
 4016 predicted to be strongly dominant, allowing a study of purely  $gg$  jets from a colour-singlet initial state.  
 4017 In the three-jet case the presence of ‘radiation zeros’ [734], that is a complete vanishing in the leading  
 4018 order amplitudes for certain kinematic configurations, is expected. This phenomena is well known in  
 4019 electroweak processes, but this is the only known example of a purely QCD process where this occurs.  
 4020 Some representative predictions for the HL-LHC are shown in Table 50. These are calculated using the  
 4021 SuperChic 2.5 MC generator [735], which provides the most up to date predictions for CEP processes.  
 4022 The cross sections are suppressed relative to the inclusive case, but are nonetheless relatively large. On  
 4023 the other hand, in the three jet case, in particular in the invariant mass region that may be relevant for the  
 4024 acceptance of proton tagging detectors, the cross sections are lower and would clearly benefit from as  
 4025 large a data sample as possible for studies of novel features, such as radiation zeros and other jet shape  
 4026 variables.

4027 The production of the Higgs boson through exclusive  $gg$  fusion would represent a completely new  
 4028 observation channel. As discussed in more detail in [731], this has the potential to shed light on the  $CP$   
 4029 properties of the state, as well as its coupling to  $b$  quarks in a distinct way to inclusive channels. The cross  
 4030 section for a SM Higgs, as predicted by SuperChic 2.5 [735], is  $\sigma(|y_H| < 2.5) = (1 \frac{x}{\div} 2) \text{ fb}$ , where the  
 4031 dominant uncertainties are due to PDFs and modelling of the soft gap survival probability. The predicted

Table 50: Parton-level predictions for exclusive two and three jet production cross sections (in pb) at the LHC for different cuts on the minimum central system invariant mass  $M_X$  at  $\sqrt{s} = 14$  TeV. The jets are required to have transverse momentum  $p_\perp > 20$  GeV for  $M_X(\text{min}) = 75, 150$  GeV and  $p_\perp > 40$  GeV for  $M_X(\text{min}) = 250$  GeV and pseudorapidity  $|\eta| < 2.5$ . The anti- $k_t$  algorithm with jet radius  $R = 0.6$  is used in the three jet case and the  $q\bar{q}$  cross sections correspond to one massless quark flavour.

$M_X(\text{min})$	$gg$	$q\bar{q}$	$b\bar{b}$	$ggg$	$gq\bar{q}$
75	130	0.032	0.082	5.0	0.11
150	4.5	$6.1 \times 10^{-4}$	$1.1 \times 10^{-3}$	0.70	0.019
250	0.15	$2.2 \times 10^{-5}$	$2.7 \times 10^{-5}$	0.016	$4.3 \times 10^{-4}$

4032 rate is therefore relatively small, and would again benefit both from the increased statistics available in  
4033 HL running, and even more crucially from the potential installation of new tagging detectors at a larger  
4034 distance from the ATLAS/CMS IPs (see Section 8.3), which would extend the existing mass acceptance  
4035 into the Higgs region.

### 4036 8.3 Tagged proton at the HL-LHC: experimental prospects

4037 This section discusses possible locations for movable near-beam detectors along the outgoing beam  
4038 lines near IP5, designed for detecting the leading protons from central production processes (Fig. 88,  
4039 Eqn. (57)). While the results which follow consider the possibilities for detectors in association with  
4040 the CMS experiment, we can expect qualitatively similar prospects in the case of the ATLAS detector,  
4041 although this is not discussed explicitly here. After identifying the best-suited positions, the proton  
4042 detection acceptance and hence the central-mass tagging reach is calculated for each of these positions as  
4043 a function of beam parameters and based on present-day assumptions on optics (Version 1.3), collimation  
4044 scheme and near-beam-detector insertion rules from machine protection arguments. Given that at the  
4045 time of this article the crossing-angle plane in IP5 (horizontal as until LS3, or vertical) has not yet been  
4046 decided, both options have been investigated. It has to be pointed out that the crossing planes of IP1 and  
4047 IP5 have to be different: one will be horizontal, the other vertical.

4048 While in the CT-PPS (later PPS) project [736] in Run 2 the near-beam detectors were Roman Pots  
4049 inherited from the TOTEM experiment [737, 738] and upgraded for high-luminosity operation [739], no  
4050 technological assumptions are made at this early stage of preparation for HL-LHC. The highly demand-  
4051 ing engineering and detector physics challenges are not addressed here.

#### 4052 *Possible Locations for Near-Beam Detectors*

4053 The search for suitable detector locations around IP5 is driven by the goal to cover the widest possible  
4054 range of central masses  $M$  to be measured via the fractional momentum losses

$$\xi_{1/2} = \frac{\Delta p_{1/2}}{p} \quad (58)$$

4055 of the two surviving protons using the relation

$$M^2 = \xi_1 \xi_2 s, \quad (59)$$



4056 where  $\sqrt{s} = 14$  TeV is the centre-of-mass energy.

4057 The minimum accessible  $\xi$  of leading protons at a location  $z$ <sup>26</sup> along the beam line is given by

$$\xi_{\min}(\alpha, \beta^*, z) = \frac{[n_{\text{TCT}}(\beta^*) + \Delta n]\sigma_{\text{XRP}}(\beta^*, z) + \Delta d + \delta}{D_{x,\text{XRP}}(\alpha, \xi_{\min}, z)}, \quad (60)$$

4058 where  $\sigma_x$  is the horizontal beam width depending on the optics (characterised by  $\beta^*$ ),  $D_x$  is the horizon-  
 4059 tal dispersion depending on the crossing-angle  $\alpha$ ,  $n_{\text{TCT}}$  is the half-gap of the tertiary collimators (TCT)  
 4060 as defined by the collimation scheme,  $\Delta n = 3$  is the retraction of the near-beam detector housings (e.g.  
 4061 Roman Pots) relative to the TCT position in terms of  $\sigma_x$ ,  $\Delta d = 0.3$  mm is an additional safety retraction  
 4062 to allow for beam orbit fluctuations, and the constant  $\delta$ , typically 0.5 mm, accounts for any distance  
 4063 between the outer housing surface closest to the beam and the sensitive detector. The dependence of the  
 4064 dispersion on  $\xi$  implies that Eqn. (60) has to be resolved for  $\xi_{\min}$  after parameterising  $D_x(\xi)$ .

4065 The first step of the study is to plot the  $z$ -dependent quantities,  $\sigma_x$  and  $D_x$ , along the outgoing  
 4066 beam line for one typical HL-LHC optics configuration (Figure 91, left). The resulting  $\xi_{\min}$  is shown in  
 4067 Figure 91 (right). Note that for vertical crossing smaller values are reached. The locations most suitable  
 4068 for the measurement of small  $|\xi|$  values are marked in red. Closer layout inspection of the region around  
 4069 the minimum at 232 m (inside the quadrupole Q6) indicated two promising locations: at 220 m (just  
 4070 before the collimator TCL6) and at 234 m (after the exit of Q6). Even smaller momentum losses can be  
 4071 reached at 420 m (the ‘‘missing magnet’’ region already studied previously by the FP420 project [740]).

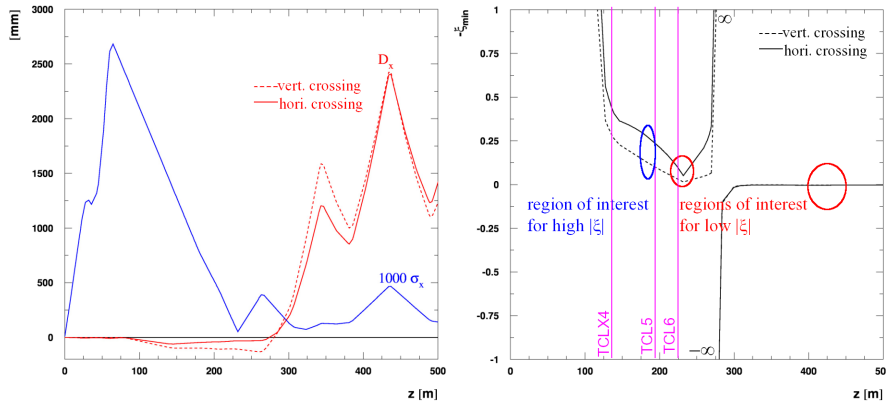


Fig. 91: horizontal dispersion and beam width (scaled by 1000) as a function of the distance  $s$  from IP5 for Beam 1, i.e. in LHC Sector 5-6 (left). Minimum accepted  $\xi$  as a function of  $z$  according to Eqn. (60) for  $(\alpha/2, \beta^*) = (250 \mu\text{rad}, 15 \text{ cm})$  and  $n_{\text{TCT}} = 12.9$  (right). The TCT collimator positions are indicated. In both pictures the continuous and dashed lines represent horizontal and vertical crossing in IP5, respectively.

4072 The apparent sign change of  $\xi_{\min}$  at  $z \approx 270$  m reflects the sign change of the dispersion at that  
 4073 location (as seen in the left panel of the figure). It means that the diffractive proton trajectories transition  
 4074 from  $x > 0$  to  $x < 0$ . The implication for the potential detector location at 420 m is that detectors  
 4075 need to be placed in the confined space between the incoming and the outgoing beam pipes, excluding

<sup>26</sup>In this article the variable  $z$  is used for the longitudinal coordinate instead of  $s$  to avoid confusion with the Mandelstam  $s$ .

4076 conventional Roman Pot technology. A further complication is that in this location the beam pipes are  
 4077 in a cryostat, necessitating more involved engineering changes.

4078 A region of interest for the detection of higher masses lies at 196 m just upstream of the collima-  
 4079 tor TCL5 that intercepts protons with large  $|\xi|$  (Section 8.3). Locations even further upstream, before  
 4080 TCLX4, would give an even higher upper mass cut but are excluded due to the prohibitively high low-  
 4081 mass limit leaving no acceptance interval.

4082 In summary, for the more detailed discussions in the following sections, four detector locations  
 4083 have been retained: 196 m, 220 m, 234 m, 420 m.

#### 4084 *Crossing-Angle and Optics Dependence of the Mass Acceptance Limits*

4085 In the previous section, only one specific combination of crossing-angle  $\alpha$  and beam optics ( $\beta^*$ ) was  
 4086 considered. However, at HL-LHC luminosity levelling will be performed in all fills by changing  $\alpha$  and  
 4087  $\beta^*$  in a pre-defined sequence. For the present study the  $(\alpha/2, \beta^*)$  trajectories envisaged in [741] were  
 4088 used.

#### 4089 *Minimum Mass*

4090

4091 The minimum mass accepted at a location  $z$  for given  $\alpha$  and  $\beta^*$  can be calculated using Eqns. (59)  
 4092 and (60). For simplicity, symmetric optics in the two beams, i.e. equal  $\xi_{\min}$ , are assumed:

$$M_{\min} = |\xi_{\min}| \sqrt{s}. \quad (61)$$

4093 The  $\alpha$  and  $\xi$  dependences of  $D_x$  can be parameterised based on simulations with MAD-X [742]. The  $\alpha$   
 4094 dependence is linear, and the  $\xi$ -dependence can be linearly approximated within the  $\xi$ -ranges relevant in  
 4095 practice.

4096 The  $\beta^*$  dependence of  $\sigma_{\text{XRP}}$  was calculated analytically, profiting from invariance properties of  
 4097 the presently planned family of ATS optics. This is likely to change in the future and will need to be  
 4098 adapted.

4099 The  $\beta^*$  dependence of  $n_{\text{TCT}}$  follows the presently foreseen collimation strategy [743] of keeping  
 4100 the TCT gap constant at  $d_{\text{TCT}} = 12.9 \sigma_{\text{TCT}}(\beta^* = 15 \text{ cm})$  (for nominal emittance  $\varepsilon_n = 2.5 \mu\text{m rad}$ ),  
 4101 implying  $n_{\text{TCT}}(\beta^*) = \frac{d_{\text{TCT}}}{\sigma_{\text{TCT}}(\beta^*)}$ , where an analytical expression for  $\sigma_{\text{TCT}}(\beta^*)$  can be derived.

4102 The result of this calculation, contour lines of  $M_{\min}$  in the beam parameter space  $(\alpha/2, \beta^*)$ ,  
 4103 is shown in Fig. 92 for the four detector locations chosen in the previous section. Some possible  
 4104 luminosity-levelling trajectories are drawn, too. The start point at the beginning of the fill is always  
 4105 at the maximum  $\beta^*$  value.

4106 From these graphs we draw the following conclusions:

- 4107 – The main driving factor for the minimum mass is the dispersion which in turn is fully determined  
 4108 by the crossing-angle. The optics (via  $\beta^*$ ) plays a minor role.
- 4109 – If the 420 m location can be instrumented, the minimum mass is about 50 GeV with only a very  
 4110 weak dependence on the optics, the crossing-angle and its plane (horizontal or vertical).
- 4111 – Without the 420 m location, vertical crossing gives a much better low-mass acceptance (210 GeV)  
 4112 than horizontal crossing (660 GeV).

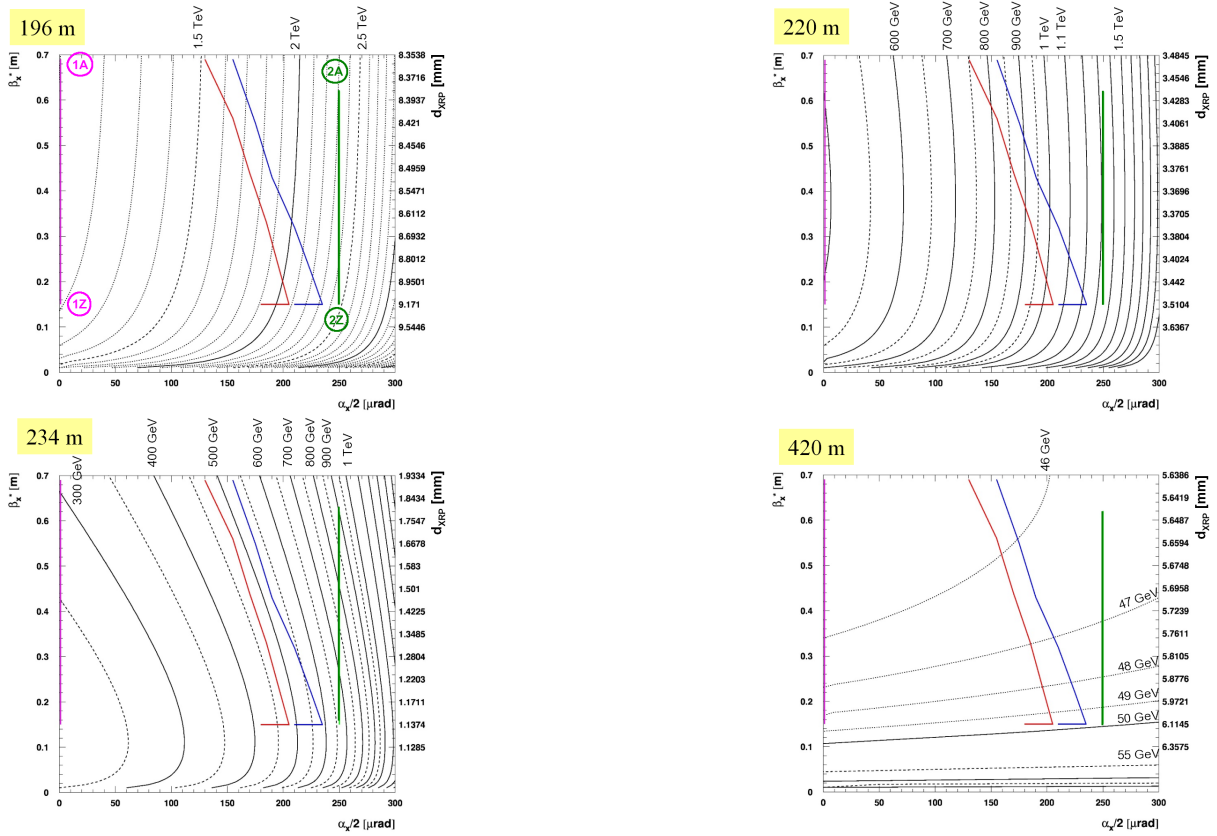


Fig. 92: Contour lines for the minimum accepted mass  $M_{\min} = |\xi|_{\min} \sqrt{s}$  in the crossing-angle/optics parameter space  $(\alpha/2, \beta^*)$ . On the right-hand ordinate the XRP approach distance is calculated from  $\beta^*$ . The coloured lines represent possible luminosity-leveiling trajectories [741]. For horizontal crossing: green = “baseline”, blue = “relaxed adaptive”, red = “aggressive adaptive”; for vertical crossing: violet = any trajectory. The labels (1A) – (2Z) in the first panel define the trajectory start and end points used in Figs. 94 and 95.

#### 4113 *Maximum Mass*

4114

4115 The maximum mass accepted at a location  $z$  is determined by the tightest aperture restriction  $d_A$  up-  
 4116 stream of  $z$  and the dispersion there:

$$M_{\max} = |\xi_{\max}| \sqrt{s} = \frac{d_A}{D_A(\alpha, \xi_{\max})}. \quad (62)$$

4117 In the case of vertical beam crossing in IP5, both horizontal and vertical apertures may impose  
 4118 limitations, whereas in the case of horizontal crossing there is no substantial vertical dispersion and  
 4119 hence no acceptance loss from the vertical aperture. Fig. 93 shows the results of a complete aperture  
 4120 study. It was concluded that even for vertical crossing most limitations come from the horizontal aperture  
 4121 and that for all locations, except 420 m, this horizontal aperture is limited by the TCL collimators. At  
 4122 420 m, on the other hand, the beam-pipe absorbs diffractive protons with  $|\xi| > 0.012$ . The highest

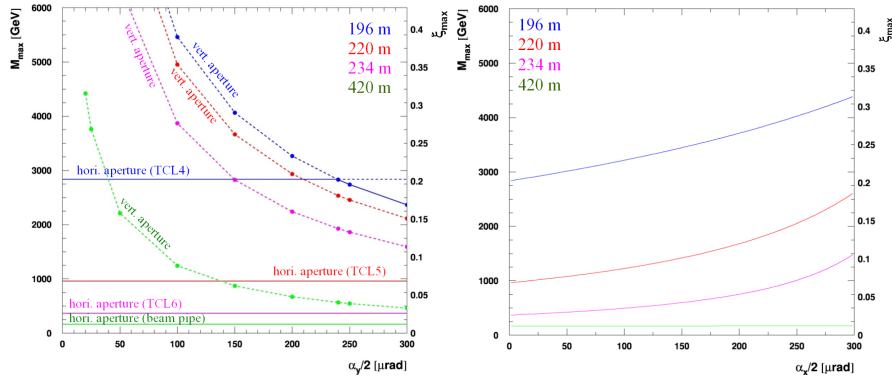


Fig. 93: Maximum accepted diffractive mass for each detector location as a function of the crossing-angle. Vertical crossing (left): both horizontal and vertical apertures contribute to the mass limits. The continuous lines denote the most restrictive, i.e. dominant, limitations. Horizontal crossing (right): only the horizontal apertures contribute.

4123 masses are accepted by the unit at 196 m: up to 2.7 TeV for vertical crossing and up to 4 TeV for  
 4124 horizontal crossing.

#### 4125 *Mass-Rapidity Acceptance*

4126 The CEP acceptance for a given point in the beam parameter space  $(\alpha, \beta^*)$  can be visualised by drawing  
 4127 for every instrumented detector location the  $|\xi|$ -acceptance bands – whose limits are calculated according  
 4128 to the previous section – in the mass-rapidity plane

$$\left( \ln \frac{M}{\sqrt{s}}, y \right) = \left( \frac{1}{2}(\ln \xi_1 + \ln \xi_2), \frac{1}{2}(\ln \xi_1 - \ln \xi_2) \right). \quad (63)$$

4129 Fig. 94 shows these  $(M, y)$  contour plots for the start and end points of the two extreme levelling cases  
 4130 defined in Fig. 92: points (1A) and (1Z) for any trajectory with vertical crossing in IP5, points (2A) and  
 4131 (2Z) for the “Baseline” trajectory with horizontal crossing. The projections on the mass axis, under the  
 4132 approximation of flat rapidity distributions, are given in Fig. 95.

4133 The following observations are made:

- 4134 – The acceptance zones of the four detector locations are non-overlapping and separated by gaps.  
 4135 For horizontal crossing the gaps are wider than for vertical crossing.
- 4136 – Although the double-arm acceptance has mass gaps at central rapidities, the mixed acceptance  
 4137 zones combining different detector units in the two arms of the experiment (e.g. 420 m left +  
 4138 234 m right) fill some of these mass gaps by providing acceptance at forward rapidities.
- 4139 – The gaps between the acceptances of 196 m, 220 m and 234 m can potentially be closed by opening  
 4140 TCL5 and TCL6 a little further if allowable from machine protection arguments. On the other  
 4141 hand, the gap between 234 m and 420 m is caused by the beam pipe at  $z > 300$  m limiting the  
 4142 aperture. It could only be closed by adding a detector unit near 300 m.

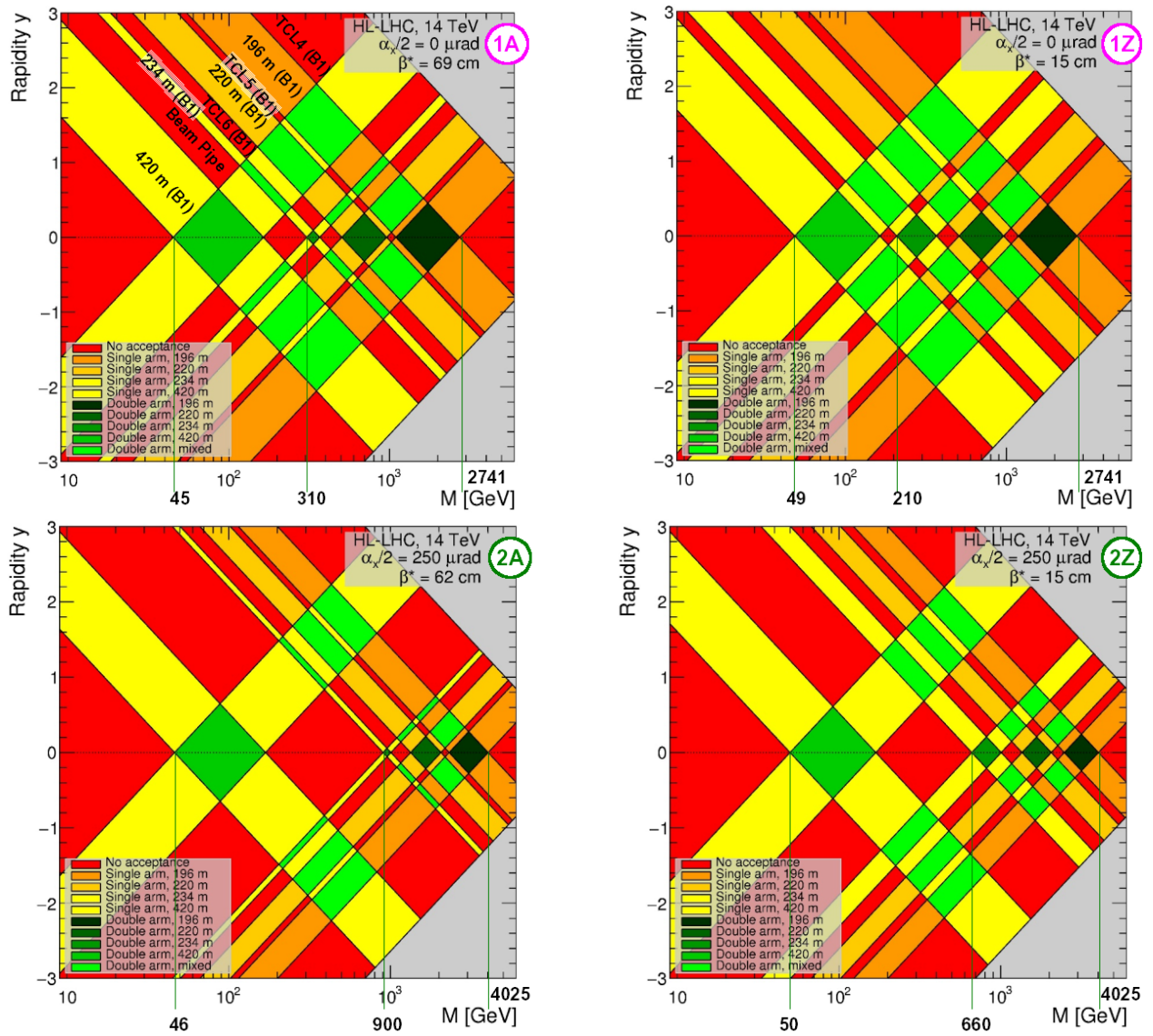


Fig. 94: Acceptance for the protons from central diffraction in the mass-rapidity plane. The yellow/orange colour tones mark single-arm proton acceptance, the green tones mark double-arm acceptance. Top: start and end point of any levelling trajectory for vertical crossing, bottom: start and end point of the baseline levelling trajectory for horizontal crossing.

#### 4143 8.4 Low-mass central exclusive production

4144 Central exclusive production of low-mass diffractive states in  $pp$  collisions at the LHC may serve as a  
 4145 valuable source of information on the nonperturbative aspects of strong interaction. At low masses, CEP  
 4146 is usually described in terms of double pomeron exchange (DPE) mechanism. DPE is expected to be an  
 4147 ideal process for the investigation of meson resonances with  $I^G(J^{PC}) = 0^+(0^{++}, 2^{++}, \dots)$  quantum

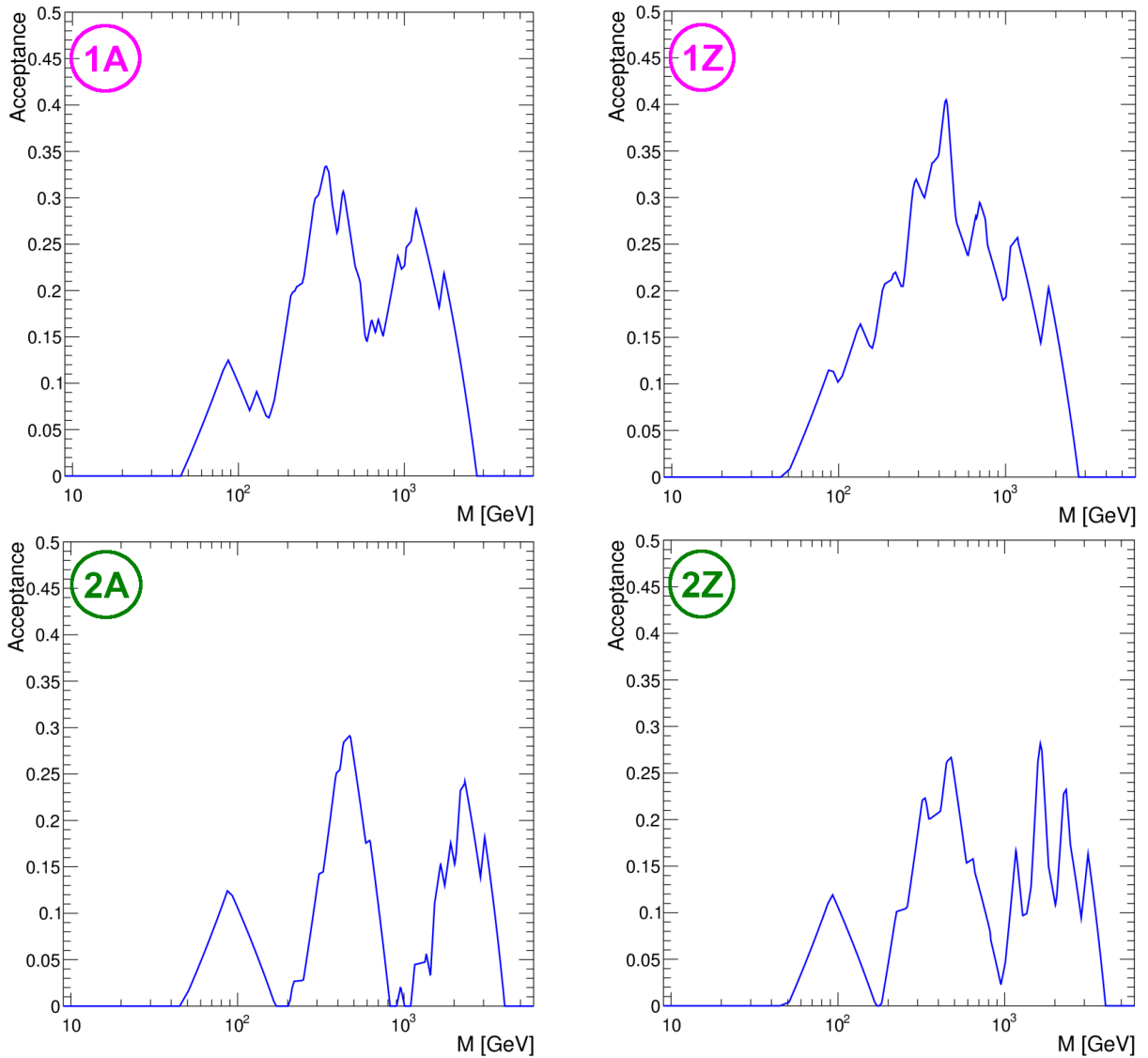


Fig. 95: Projection of the  $(M, y)$  acceptance on the mass axis, adding up all the double-arm areas of Fig. 94 for the same points in the  $(\alpha, \beta^*)$  beam parameter space.

4148 numbers and gluonic bound states. Glueball searches in CEP are of particular interest because lattice  
 4149 QCD calculations predict lightest glueballs to have masses  $M_G(0^{++}) = 1710$  MeV and  $M_G(2^{++}) =$   
 4150  $2390$  MeV [744]. Pure glueballs are predicted to decay equally well into pair of pions, kaons or  $\eta$   
 4151 mesons with suppressed two photon decays. However this simple signature is spoiled by the fact that  
 4152 glueballs are expected to mix with nearby  $q\bar{q}$  states.

4153 Central-exclusive production of low-mass resonances in  $\pi\pi$  and  $KK$  channels has been exten-  
 4154 sively studied in fixed target experiments at CERN and Fermilab (see review in [730]) and recent col-  
 4155 linder experiments at RHIC [745], Tevatron [746] and the LHC [747]. The partial-wave analysis (PWA)



4156 has been performed in several experiments to investigate the spin-parity nature of the centrally produced  
 4157 system [748–750]. There is a clear evidence of supernumerous light scalar meson states, not fitting  
 4158 well into the conventional groundstate  $q\bar{q}$  nonet and suggesting that some of these states have signifi-  
 4159 cant gluonic component.  $f_0(1370)$ ,  $f_0(1500)$  and  $f_0(1710)$  mesons are considered as most promising  
 4160 glueball-meson mixing state candidates but the nature of all these states is still open for discussion [751].  
 4161 In the tensor sector, the lightest isoscalar  $q\bar{q}$  states  $f_2(1270)$  and  $f_2'(1525)$  are well established however  
 4162 there are at least four additional reported tensor resonances requiring confirmation.

4163 CEP can be also used to investigate the spin structure of the Pomeron and its coupling to hadrons.  
 4164 Historically, the Pomeron was considered as effective spin 1 quasiparticle supported by successful fits  
 4165 of total and differential  $pp$  cross sections [752]. Recently, an alternative approach based on the tenso-  
 4166 rial Pomeron has been developed [753] providing definitive predictions and restrictions of spin-parity,  
 4167 polarization and rapidity of the produced diffractive system in CEP at the LHC [754–756].

4168 Multidifferential measurements and PWA of  $\pi\pi$ ,  $KK$  and  $p\bar{p}$  final states in a wide range of in-  
 4169 variant masses in CEP at the LHC would also allow one to constrain poorly known Pomeron-meson  
 4170 couplings and form-factors in various phenomenological models [754, 757] and also build a transition  
 4171 to perturbative QCD models of hadron pair production in CEP [758] valid at high invariant masses and  
 4172 transverse momenta of the produced pair. Another important outcome of CEP measurements would  
 4173 be a determination of the absorptive corrections, the probability that the rapidity gaps would be filled  
 4174 with particles from accompanying initial- or final-state interactions. The central exclusive production of  
 4175 meson pairs therefore represents a process of much phenomenological interest, which can shed light on  
 4176 both perturbative and non-perturbative aspects of QCD.

4177 Perturbative aspects of QCD can be also investigated in CEP of heavy quarkonium states [731].  
 4178 Detailed studies of  $\chi_c$  resonances in CEP at the LHC would provide a valuable input to test the ideas  
 4179 and methods of the QCD physics of bound states. Measurements of the outgoing proton momentum  
 4180 distributions, cross sections and relative abundances of  $\chi_{c0}$ ,  $\chi_{c1}$  and  $\chi_{c2}$  states would be important for  
 4181 the test of the overall theoretical formalism.

4182 Measurements of CEP processes rely on the selection of events with only few tracks in an other-  
 4183 wise empty detector, therefore large pseudorapidity coverage and low pileup conditions are essential to  
 4184 guarantee the event emptiness. The ALICE detector nicely matches these requirements. Low material  
 4185 budget, access to low transverse momenta and excellent PID capabilities in ALICE serve as additional  
 4186 advantages. First CEP measurements have been already performed by ALICE in the LHC Run 1 and  
 4187 2. Fig. 96 illustrates raw invariant mass spectra of  $\pi^+\pi^-$  and  $K^+K^-$  pairs in CEP events collected by  
 4188 ALICE in proton-proton collisions at  $\sqrt{s} = 13$  TeV, where one can easily identify several resonance  
 4189 structures. ALICE is going to collect a much larger sample of central exclusive events and significantly  
 4190 extend the scope of the CEP program in proton-proton collisions in LHC Run 3 with expected integrated  
 4191 luminosity of about  $200 \text{ pb}^{-1}$  and much better efficiency in the continuous readout mode. The CEP  
 4192 program includes glueball searches and precision hadron spectroscopy in  $\pi^+\pi^-$ ,  $K^+K^-$ ,  $p\bar{p}$ ,  $2\pi 2K$ ,  
 4193  $4\pi$  and other channels. The expected high integrated luminosity will also allow ALICE to measure the  
 4194 spectrum of heavy quarkonium states in various decay channels, e.g. a yield of at least  $50k \chi_{c0} \rightarrow \pi^+\pi^-$   
 4195 decays is expected in CEP events by the end of Run 3 based on cross section estimates from SuperChic  
 4196 generator [759].

4197 The LHCb experiment can extend the CEP program to forward rapidities. High luminosity at  
 4198 moderate pileup and good hadron PID capabilities would be particularly useful for the studies of heavy

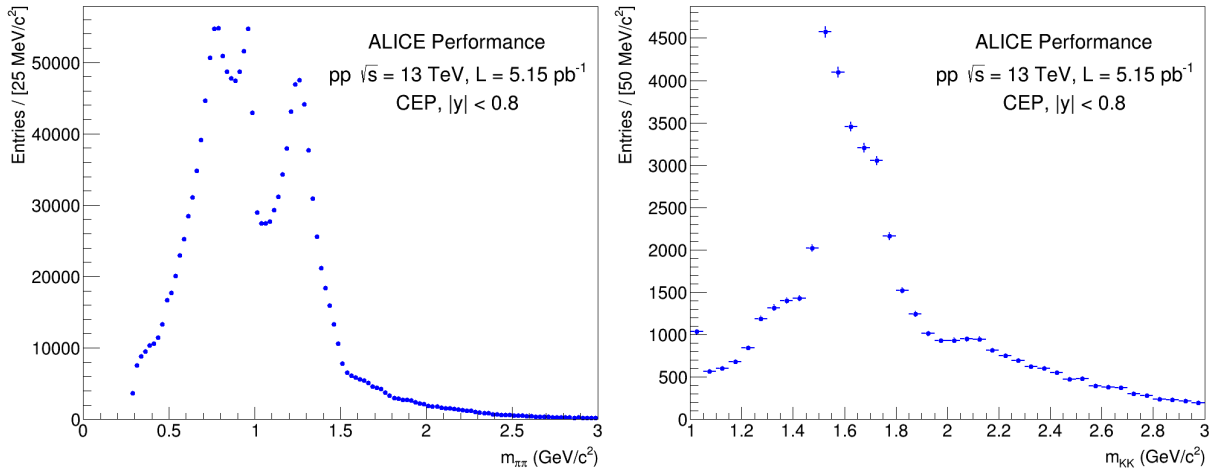


Fig. 96: Raw invariant mass spectra of  $\pi^+\pi^-$  (left) and  $K^+K^-$  (right) pairs in CEP events collected by ALICE in proton-proton collisions at  $\sqrt{s} = 13$  TeV.

4199 quarkonium states in central exclusive events. Measurements of low-mass central exclusive production  
 4200 processes with proton tagging might be also possible with ATLAS and CMS detectors during low pile-up  
 4201 runs at high  $\beta^*$ .

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