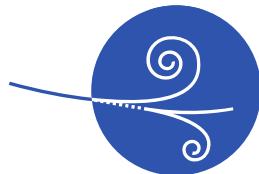
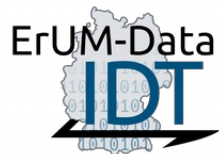




# Deep Learning for Cosmic-Ray Observatories

*Jonas Glombitza, Martin Erdmann, Alexander Temme*

**III. Physikalisches Institut A, RWTH Aachen**

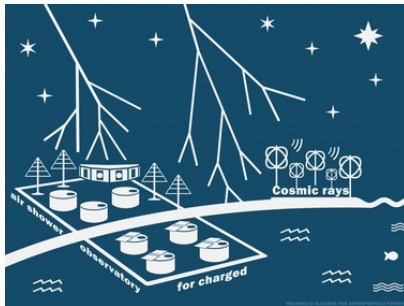
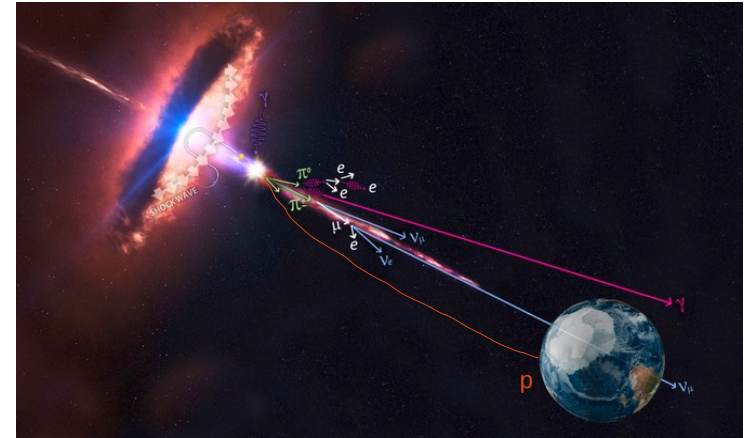


III. Physikalisches  
Institut A

**RWTHAACHEN**  
UNIVERSITY

# Astroparticle Physics

- Observation of particles with astronomical origin
- Search for their sources
  - ◆ Understand physics of astronomical objects
  - Measure all cosmic messenger
    - Photons, neutrinos, nuclei
  - Distant sources, high particle energies
    - Experiment feature **very** large detector volumes

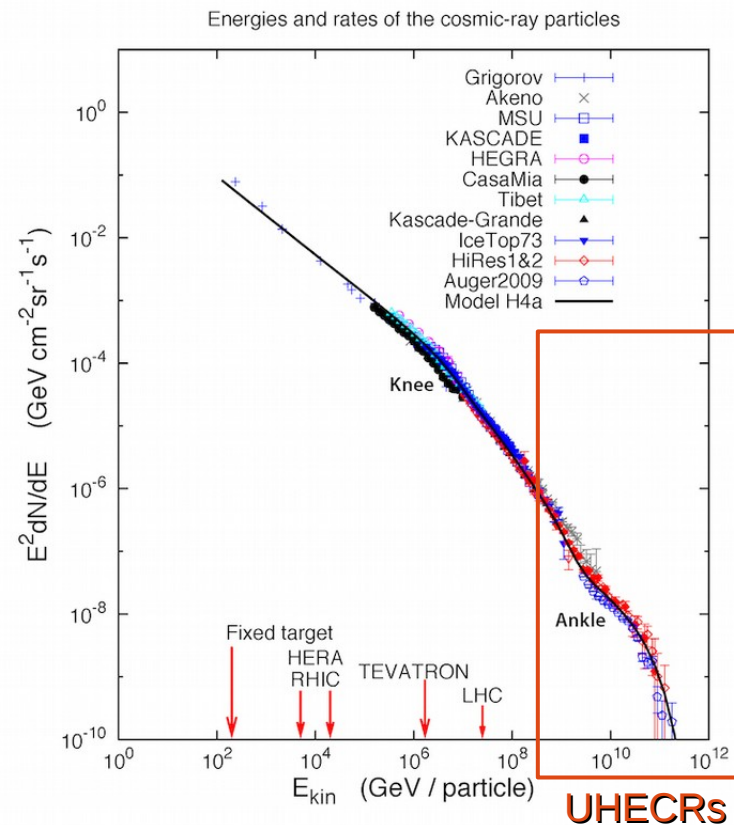
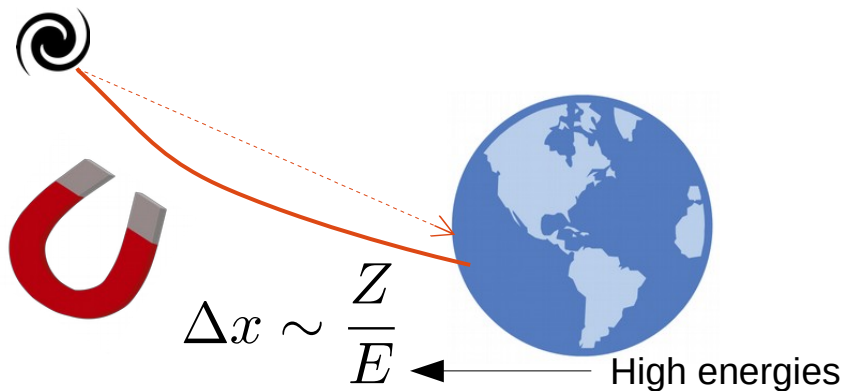


# Cosmic Rays

- Charged nuclei from astronomical origins
  - ♦ **10 orders** of magnitude energy range

## Ultra-high energy cosmic rays (UHECRs)

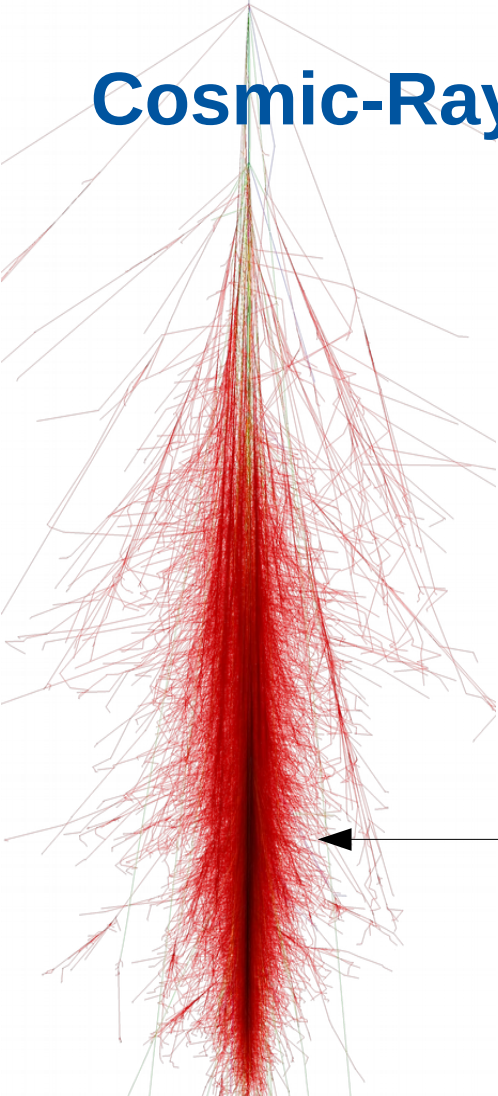
- Energies  $> 10^{18}$  eV
- manageable deflection by magnetic fields
  - ♦ Search for extra-galactic origins



10.1103/PhysRevD.88.042004

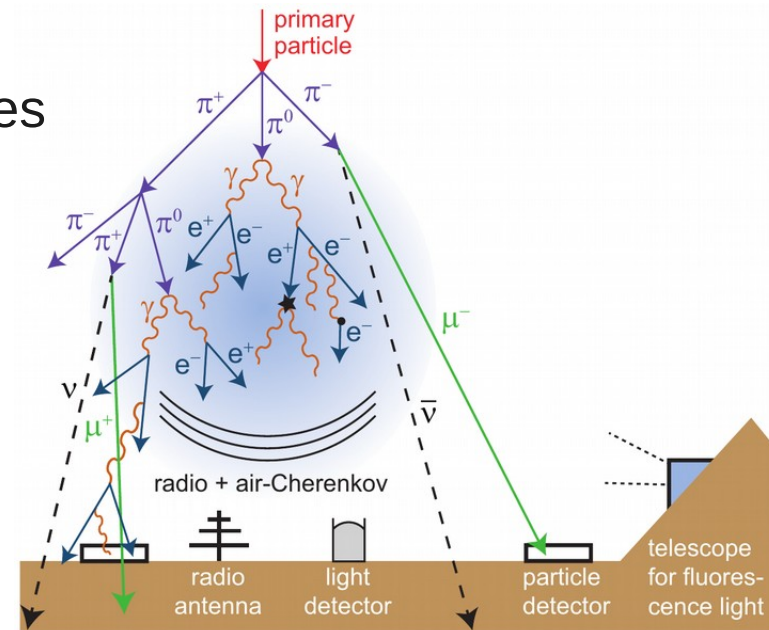
# Cosmic-Ray induced Air Showers

- Cosmic rays interact with Earth's atmosphere
  - Induce extensive particle cascade
- Particle shower reach size of several km<sup>2</sup> at Earth's surface
- Particle mass determines shower structure
  - ◆ Low mass, deep penetration → late maximum
  - ◆ Heavy mass, early maximum
- Many different detection techniques



**X<sub>max</sub>**

Shower maximum  
Correlates with  
primary mass

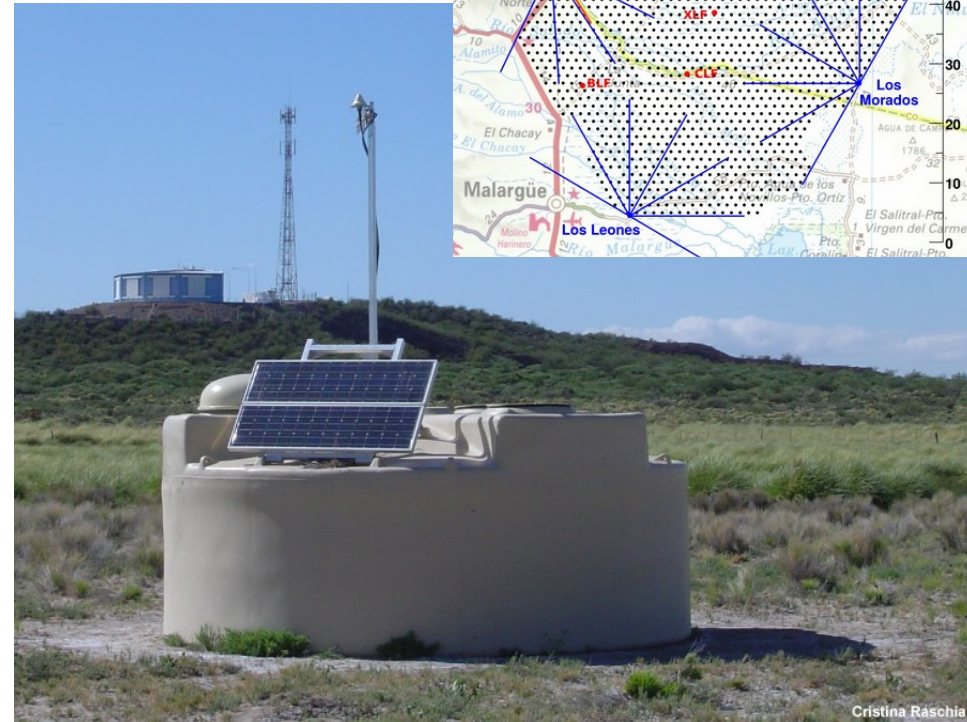


# The Pierre Auger Observatory

- World largest cosmic-ray observatory
- Placed in Argentina
- Measure high-energetic particles
  - ♦ Energy  $> 10^{17}$  eV
- Study composition of cosmic rays
- Search for cosmic-ray origins

## Hybrid measurements of UHECRs

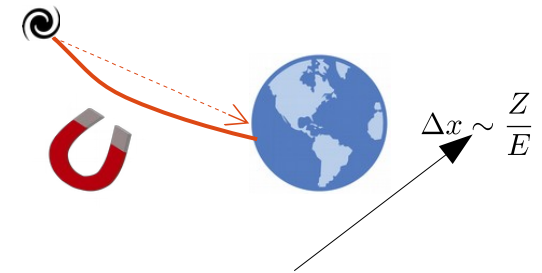
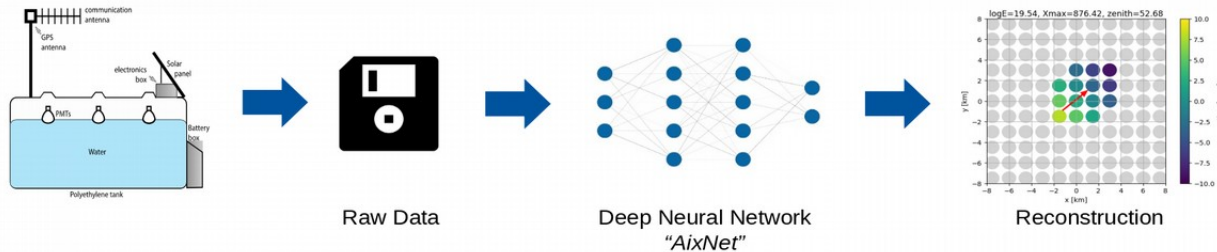
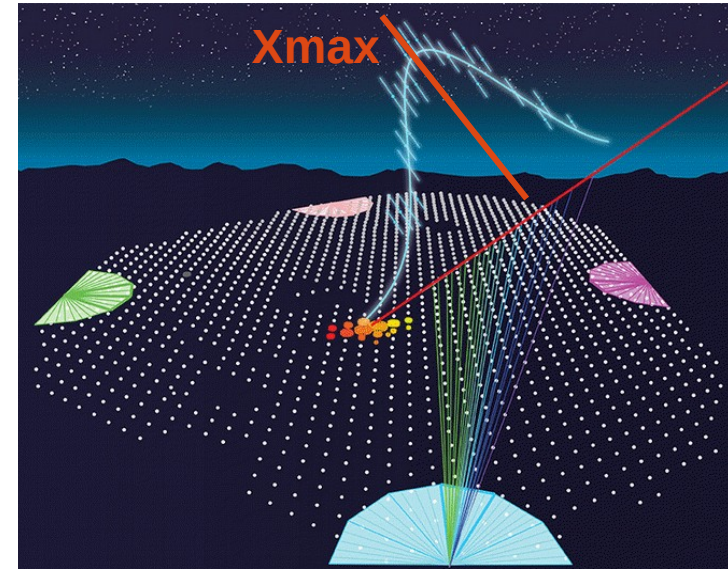
- 27 fluorescence telescopes at 4 sites
  - ♦ 15% duty cycle
- 1660 water-Cherenkov stations
  - ♦ 3000 km<sup>2</sup> array, ~100% duty cycle



Cristina Ráschia

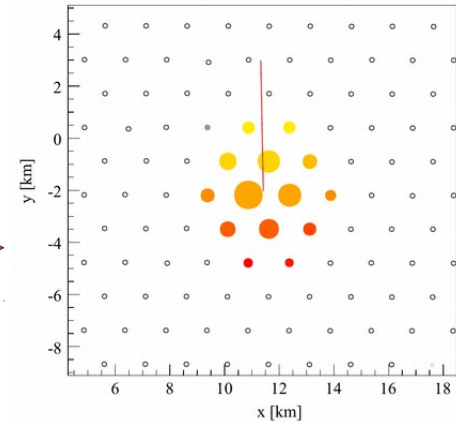
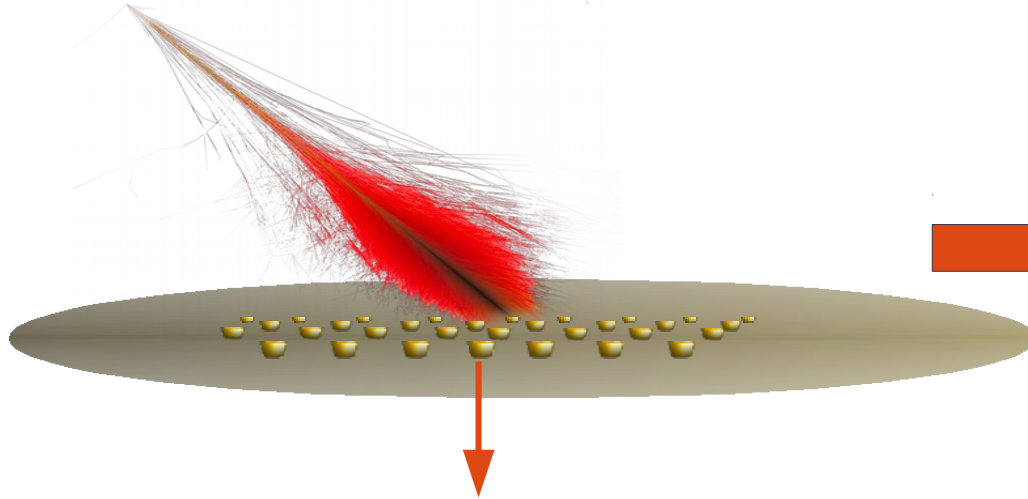
# Air-Shower reconstruction using AixNet

- Shower maximum contains charge information
  - ◆ Directly observed by fluorescence telescopes
  - ◆ Challenging to measure with surface detector
- Use Deep Learning to reconstruct  $X_{max}$ 
  - ◆ Use data of surface detector only
  - Improve statistics (much higher duty cycle)

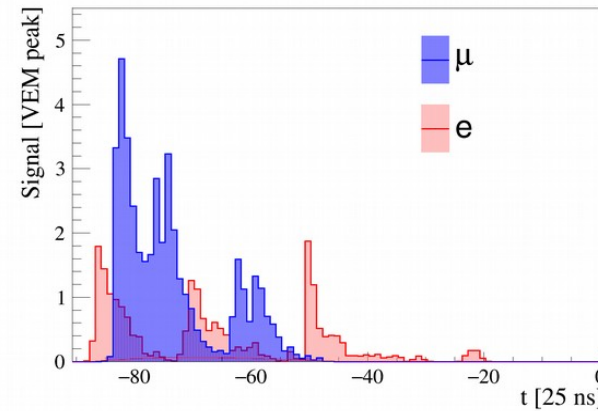
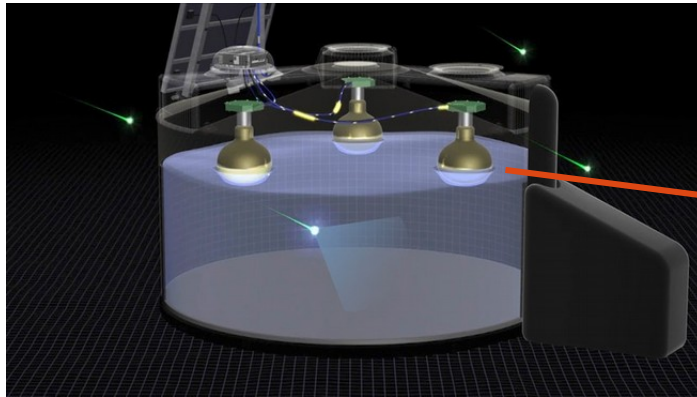


**Need precise reconstruction!**

# Air-Shower Detection



Air Shower footprint → images

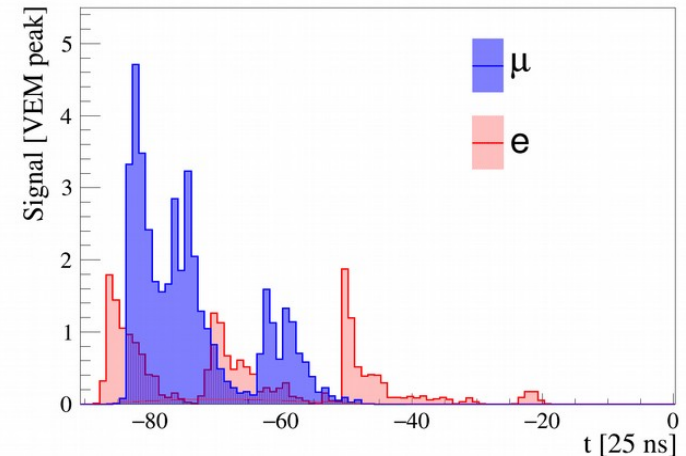
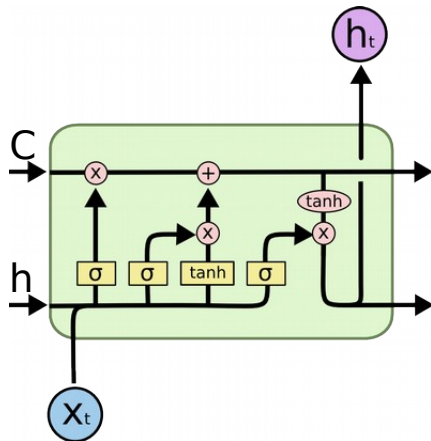


Signal Traces → Time Series (Audio)



# Signal-Trace Processing

- Signal trace contains information of secondary particles
  - Different particles induce characteristic signal shapes
  - Arrival-time of particles contains information about shower development
- Use recurrent network (LSTM cells) to extract trace features
  - Use same network for all stations

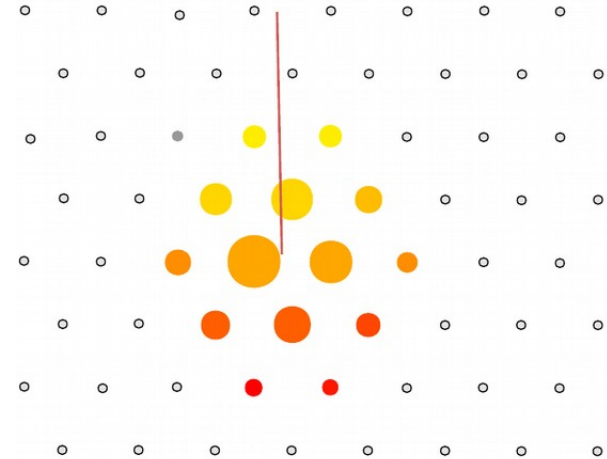
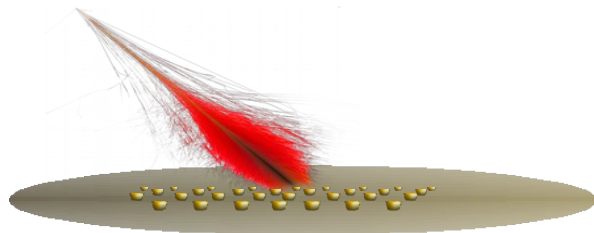




# Air-Shower Footprint

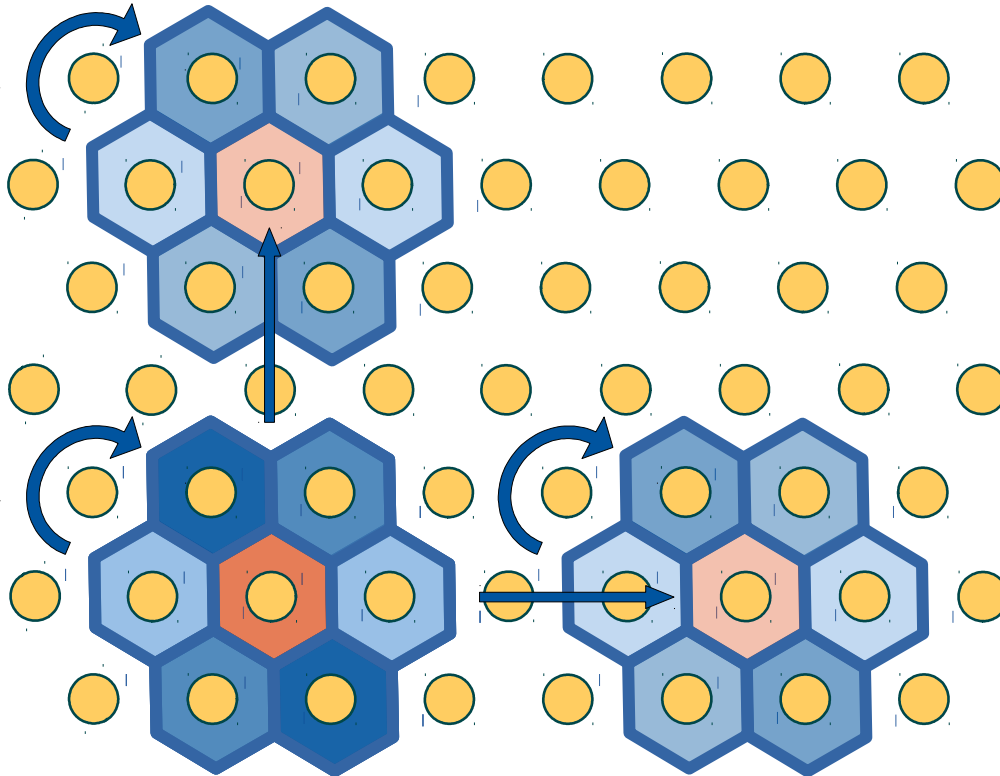
- Particle footprint induces pattern of triggered stations at Earth's surface
- Clustering in time
  - Reconstruction of arrival direction
- Clustering in space
  - ◆ Shower core of footprint
  - ◆ Energy of primary particle

**X** Image → Cartesian | SD → Hexagonal



# Hexagonal Convolutions

Measured footprint differs from image  
→ Cartesian vs. Hexagonal grid

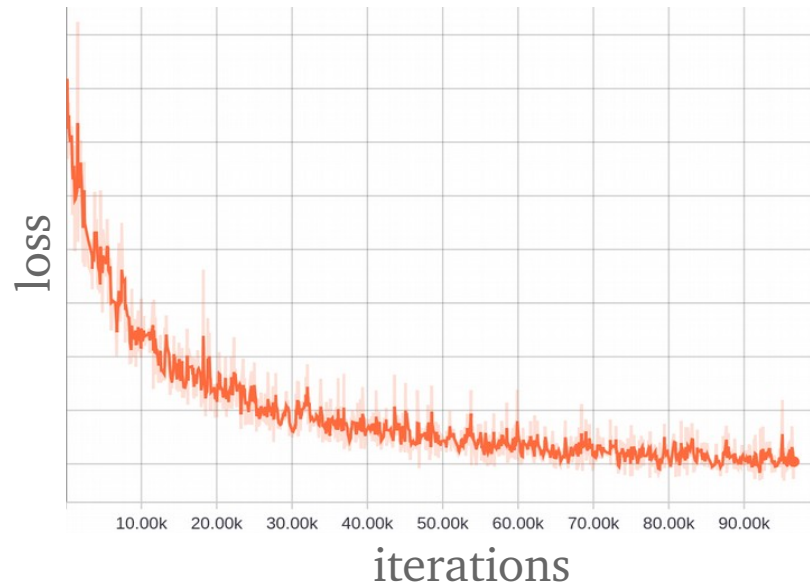


## Use symmetry of hexagonal grid

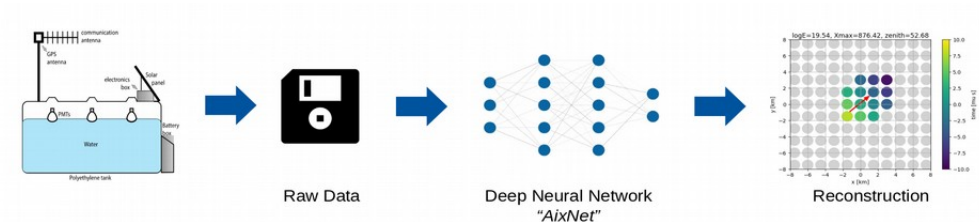
- Find hexagonal clusters
- Use of translational invariance
  - ◊ similar patterns at different grid positions
- Use of rotational invariance
- similar patterns for showers from different arrival directions

Hoogeboom, Peters, Cohen, Welling  
ArXiv/1803.02108

- ~ 1.5 million parameters
- Implemented in Keras / TensorFlow
- Training on Nvidia 1080 GTX ~ 1-2 days



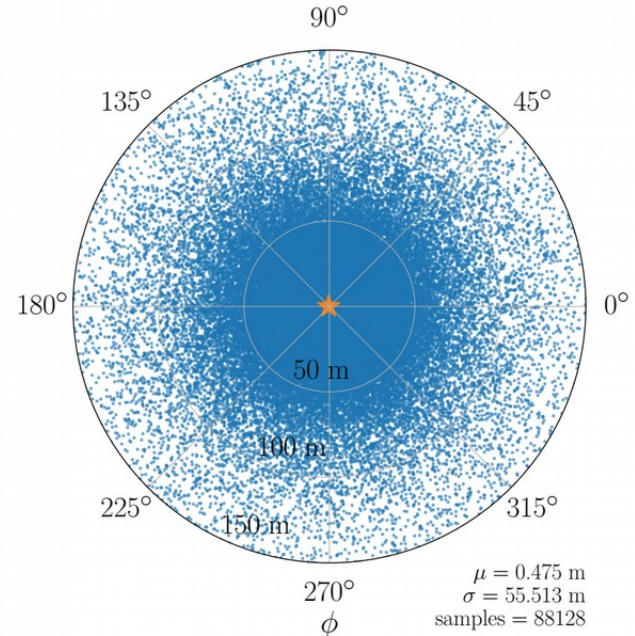
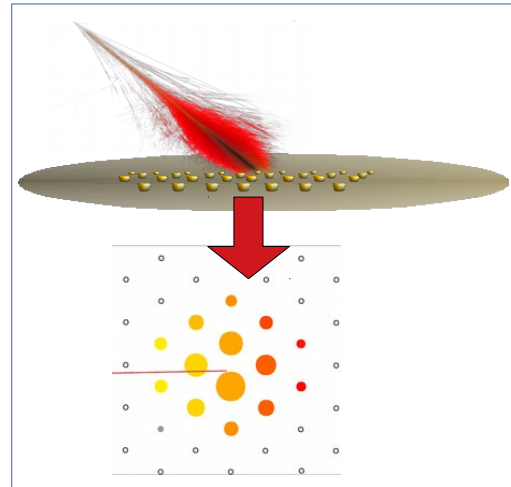
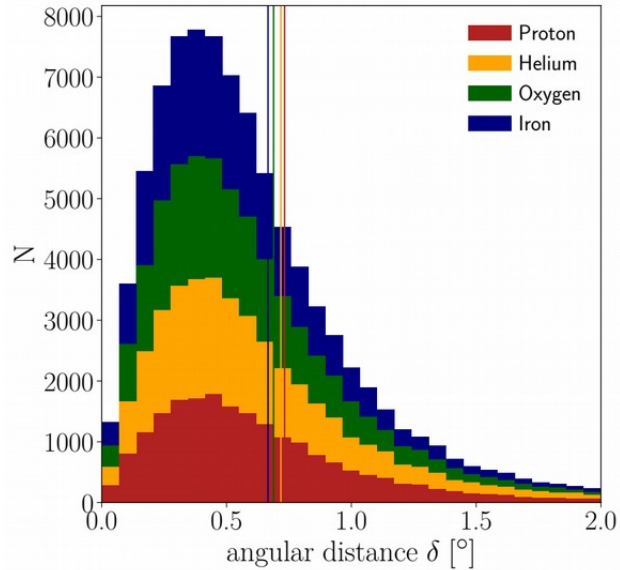
Simulated shower data	Epos LHC
# Showers	800,000
Training	700,000
Validation	10,000
Test	90,000
Energy	18.0 – 20.2
Spectrum	$E^{-1}$
Composition	25% proton 25% helium 25% oxygen 25% iron
Zenith	0 – 65°



Erdmann, Glombitza, Walz

<https://doi.org/10.1016/j.astropartphys.2017.10.006>

# Reconstruction of the Shower Geometry



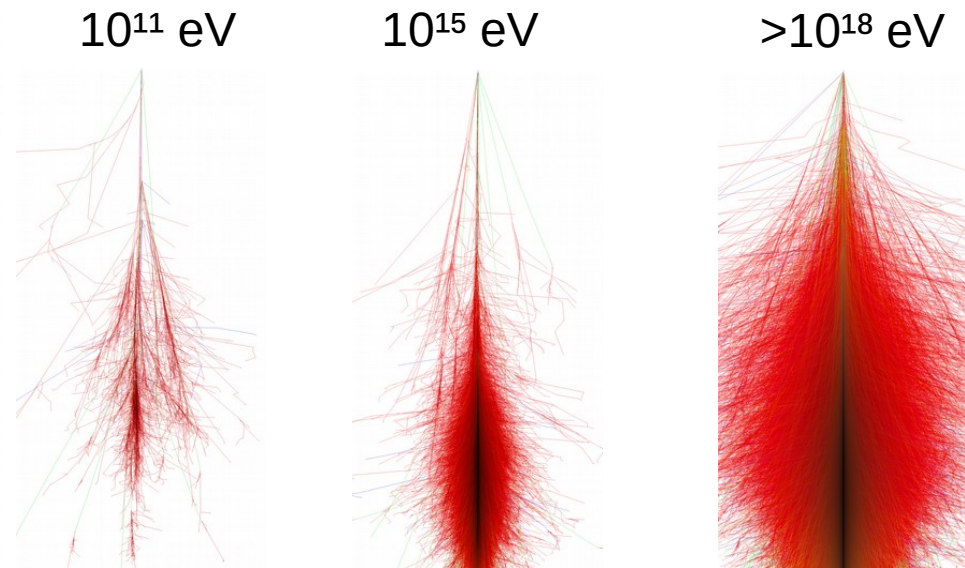
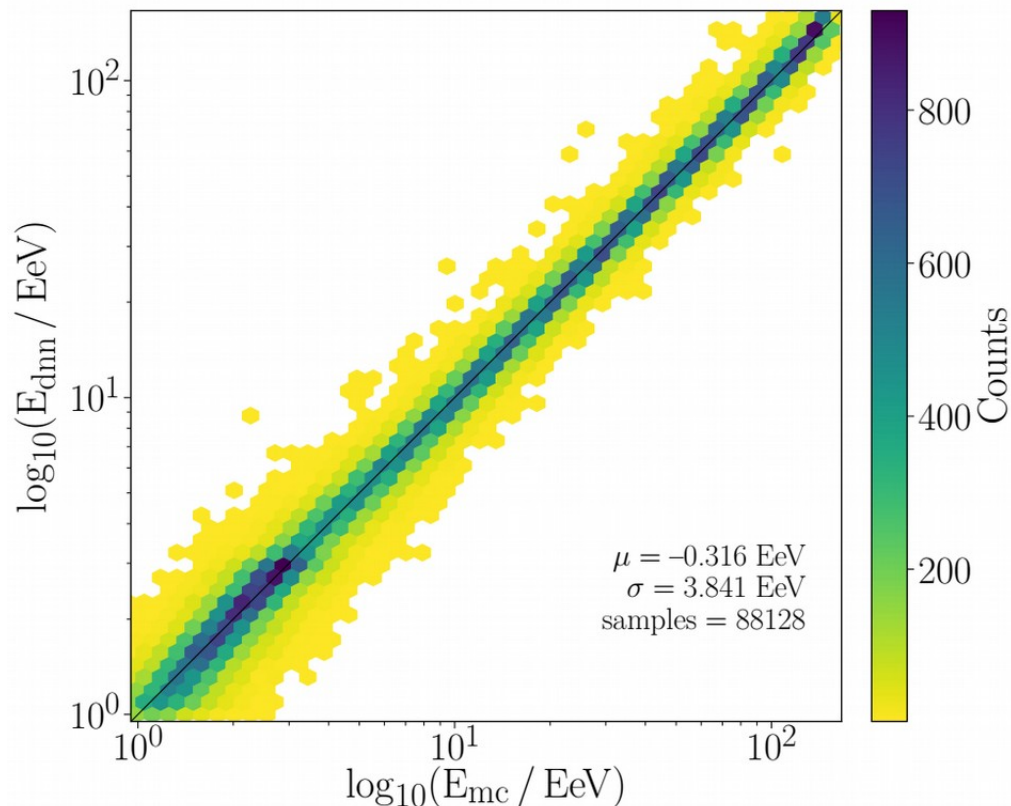
## ✓ Axis reconstruction

- Resolution (68% quantile)  $\sim 0.7^\circ$

## ✓ Unbiased core reconstruction

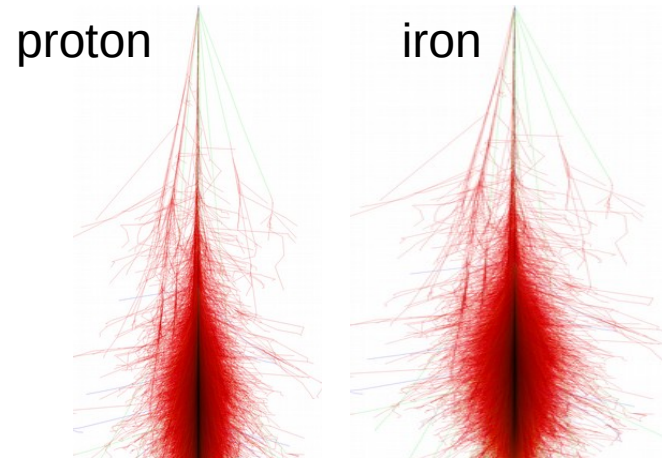
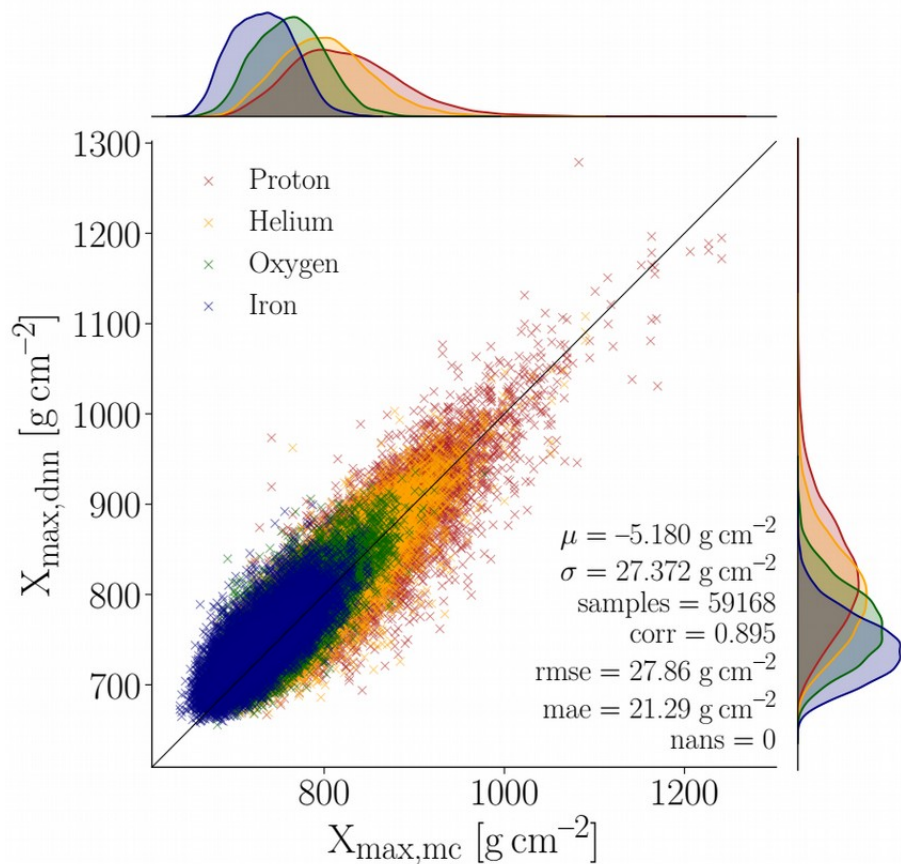
- No composition & azimuth bias
- Resolution  $\sim 50$ m

# Reconstruction of Cosmic-Ray Energy



- ✓ DNN able to reconstruct shower energy
  - Overall resolution  $\sim 3.5$  EeV
  - Show negligible reconstruction bias

# Reconstruction of Shower Maximum



- ✓ Successful shower maximum reconstruction
  - Shows expected separation of elements
  - Resolution  $< 30 \text{ g/cm}^2$
  - Absolute bias of  $\sim 5 \text{ g/cm}^2$
  - Significant improvement to previous methods

# Generalization Capacities on Data

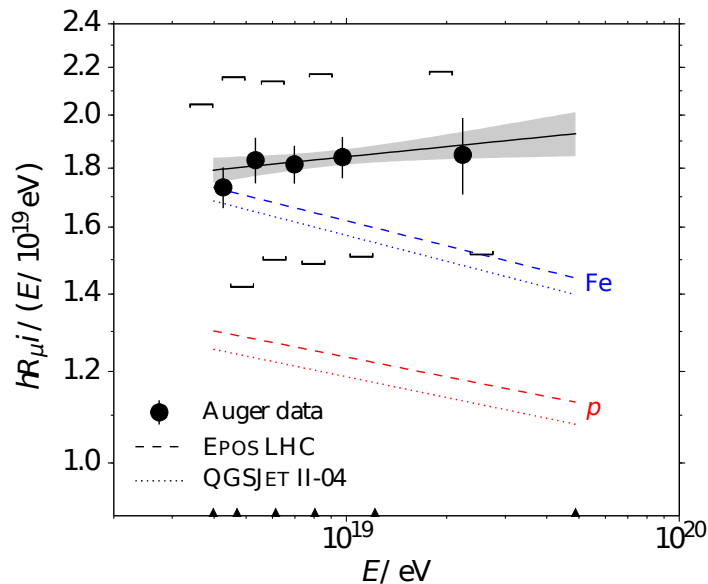
## Inductive bias

- Models are trained using physics simulations
- Trained models are applied to data
  - Reconstruction bias



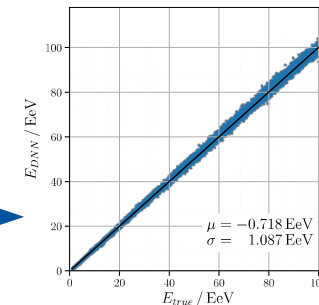
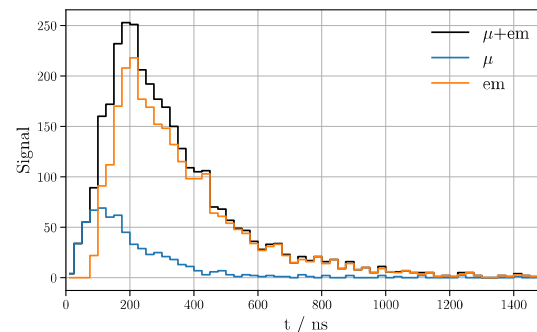
# Inductive Bias

- Model trained on simulation but applied on data
- Observation of muon excess in measured air-shower data
- Can lead to reconstruction bias



## Simulation

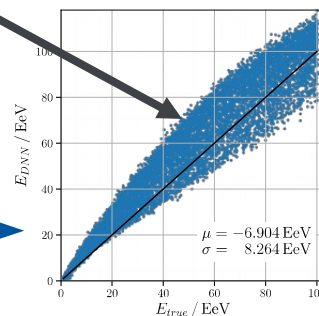
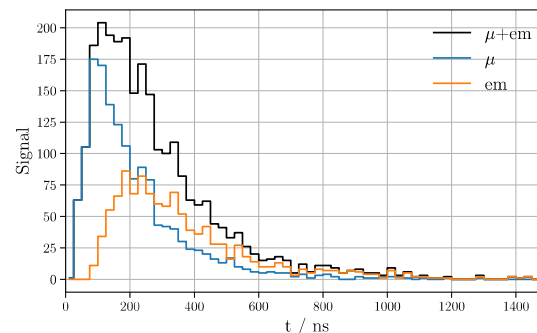
70% electromagnetic  
30% muonic



## 'Data'

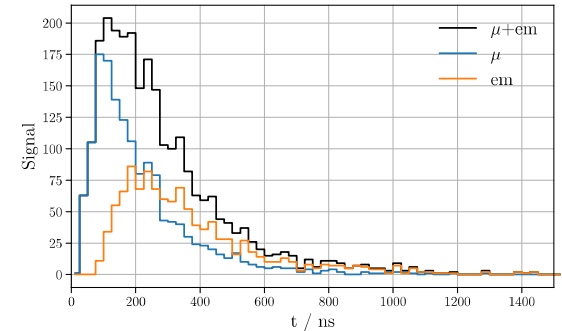
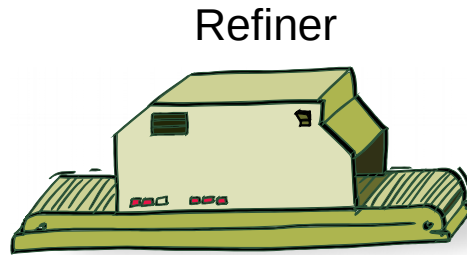
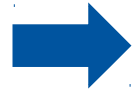
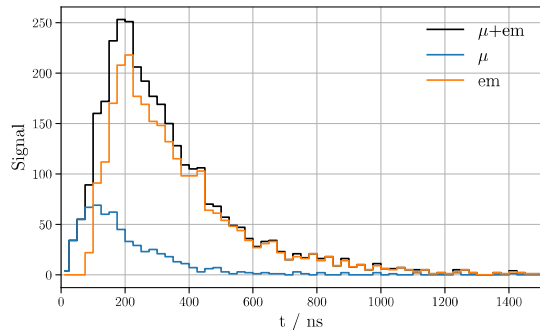
30% electromagnetic  
70% muonic

Network can not handle modified traces





# Adversarial Framework for Simulation Refinement

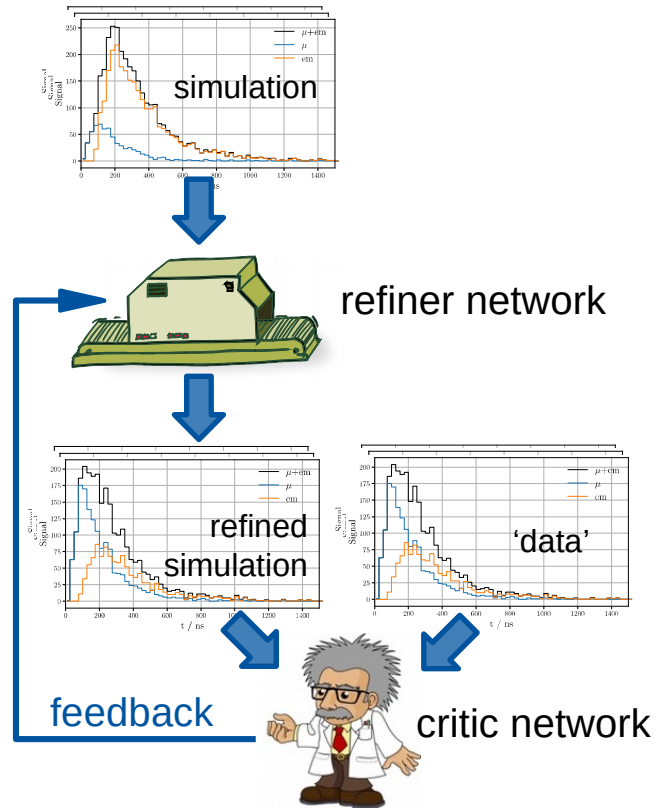


Erdmann, Glombitza, Geiger, Schmidt:  
<https://doi.org/10.1007/s41781-018-0008-x>

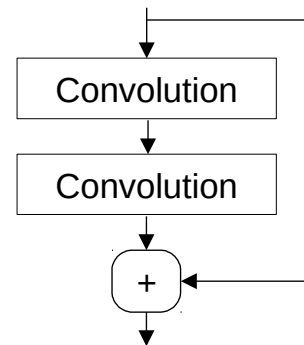
# Simulation Refinement

- Mitigate data / simulation mismatches → reduce systematic reconstruction bias

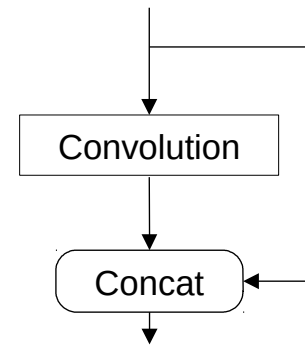
- Train *refiner* network to refine simulated data
- Feedback given by adversarial *critic* network, rating the refined simulation quality
- Refiner uses feedback to improve performance
- Constrain refinement process using residual units



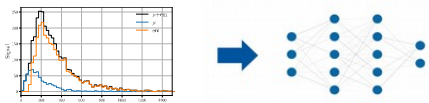
Refiner: ResNet



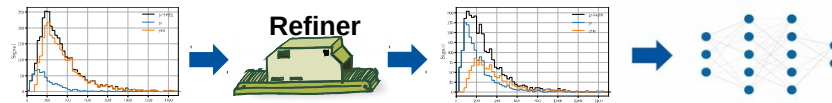
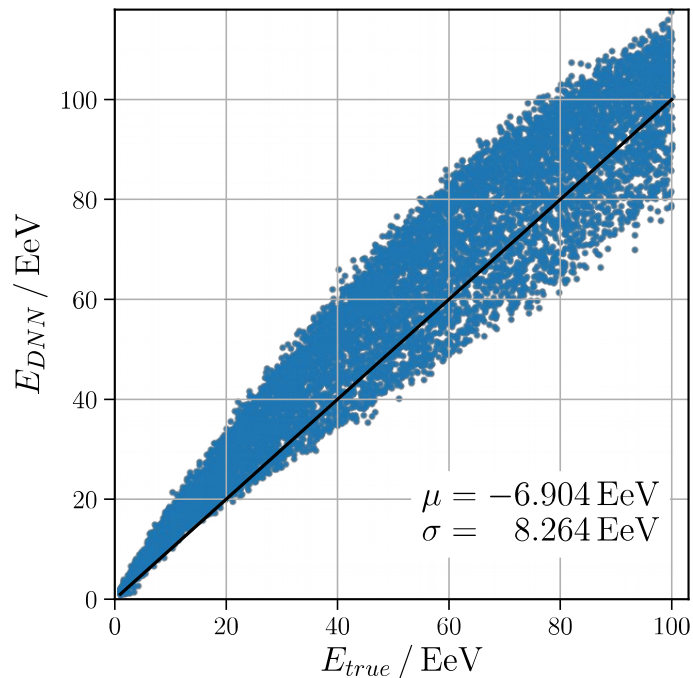
Critic: DenseNet



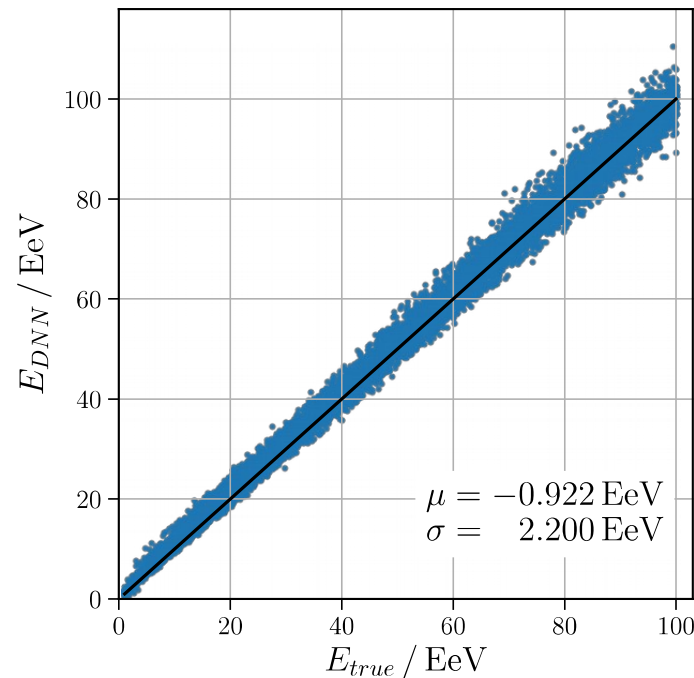
# Improved Performance on Data



Trained on **original simulation** evaluate on data



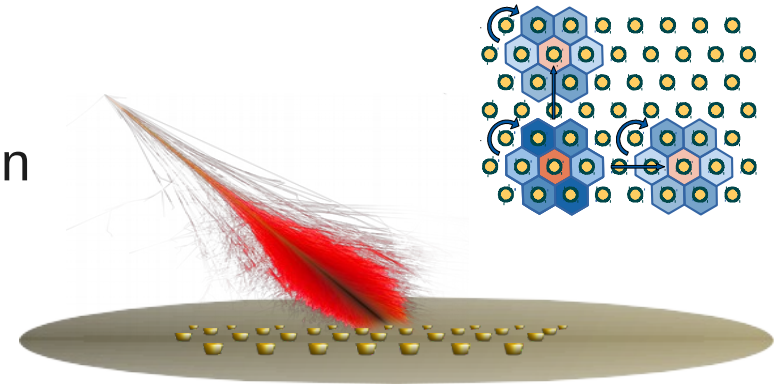
Trained on **refined simulation** evaluated on **data**



➤ Network shows improved performance when trained on refined simulations

# Summary

- Pierre Auger Observatory measures ultra-high energy cosmic rays
- Application of Deep Learning for air-shower reconstruction
- Reconstruct cosmic-ray properties
  - ♦ Model exploits symmetry of measured data
  - ♦ Precise extraction of mass-sensitive information
- Upcoming: Auger Prime
  - ♦ Detector upgrade will improve performance
- Inductive bias: models are trained on simulations but applied on data
- Promising results on refinement of simulations



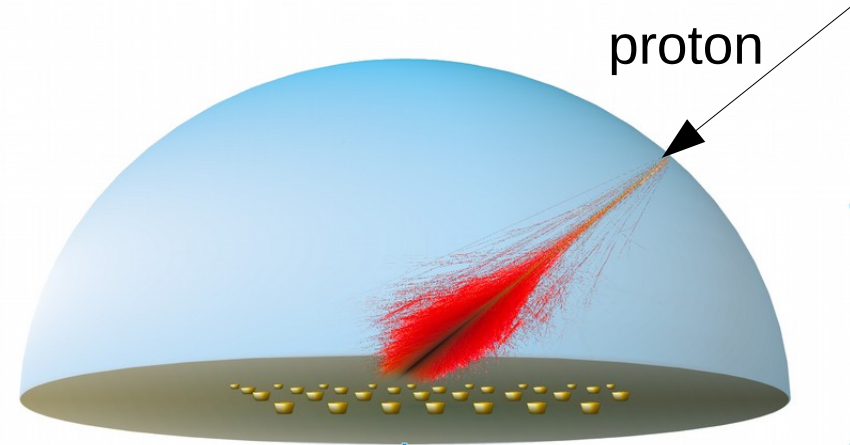
# Deep Learning for Cosmic-Ray Observatories

*Martin Erdmann, Jonas Glombitza, Alexander Temme*

**III. Physikalisches Institut A, RWTH Aachen**

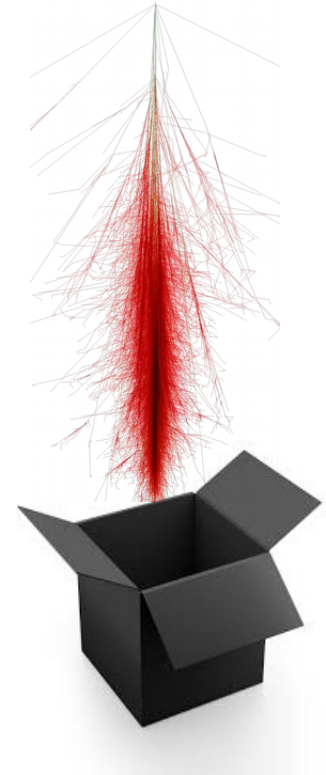
ISIS 2019, Mexico-City

[glombitza@phyik.rwth-aachen.de](mailto:glombitza@phyik.rwth-aachen.de)



# Visualization of Deep Networks

- Open black box
- Understand reasoning of network
  - ◆ Get insights of the reconstruction



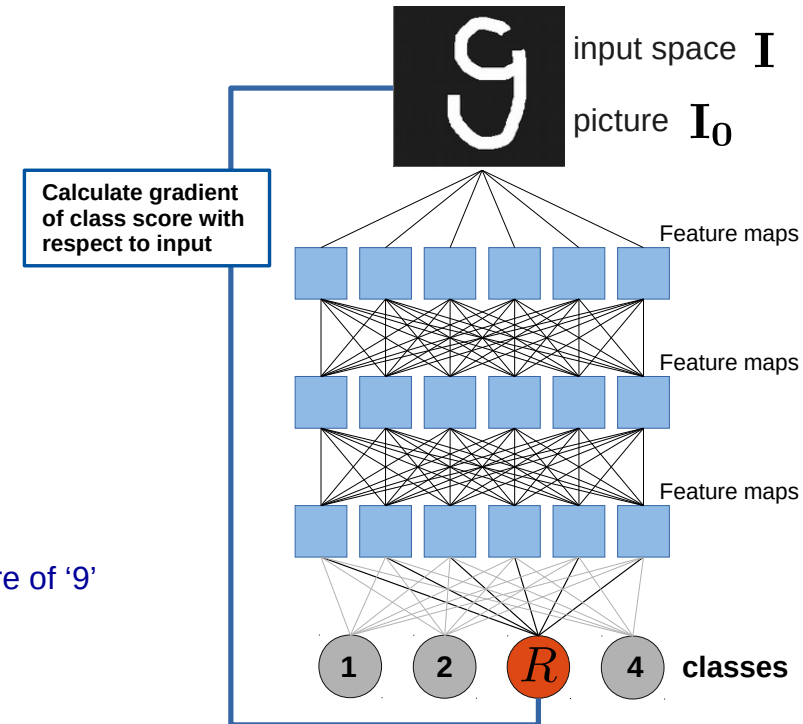
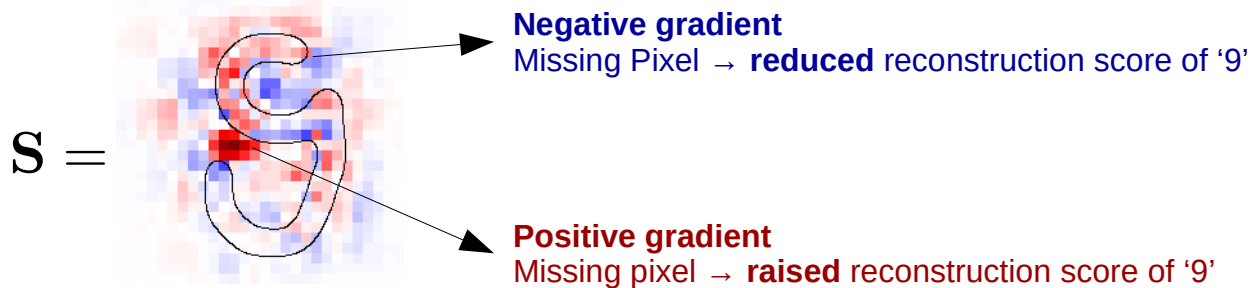
# Saliency Maps

## Idea:

- What influences reconstruction at most?
  - Important pixels have large gradients
- Calculate gradient of reconstruction  $R$  with respect to input pixels

$$S = \left. \frac{\partial R}{\partial \mathbf{I}} \right|_{\mathbf{I}_0}$$

Map has dimension of input image

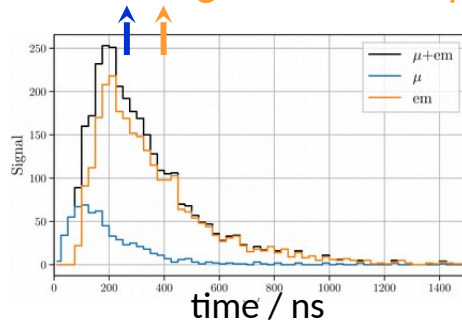


# Visualization of Deep Networks

- First attempt: simplified toy simulation
- Find patterns important for energy reconstruction in signal trace

1. Muons arrive first, then

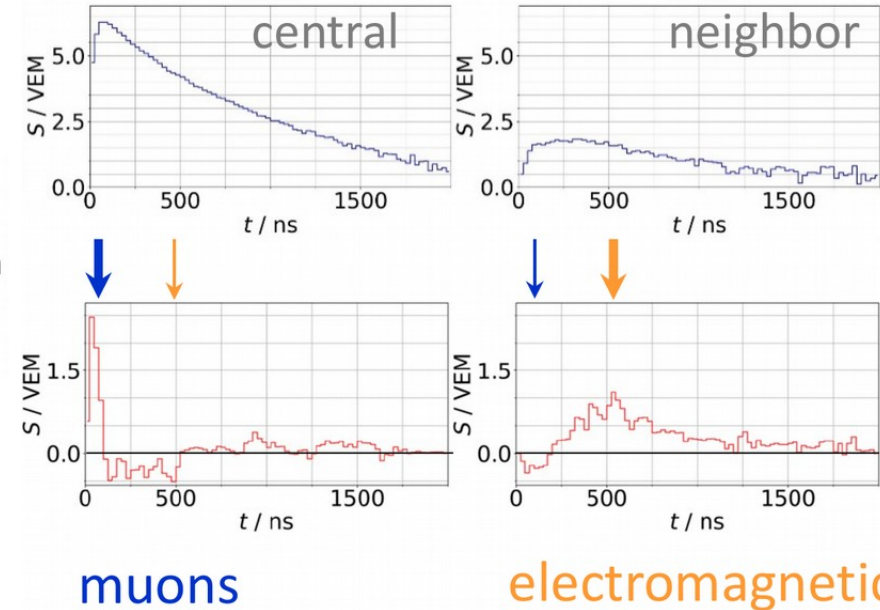
2. Electromagnetic shower particles



- Central stations focus on muons
- Neighbor stations focus on electromagnetic component

Sensitivity to energy reconstruction

$$\left. \frac{\partial f(\mathbf{x})}{\partial x_i} \right|_{\mathbf{x}_0}$$

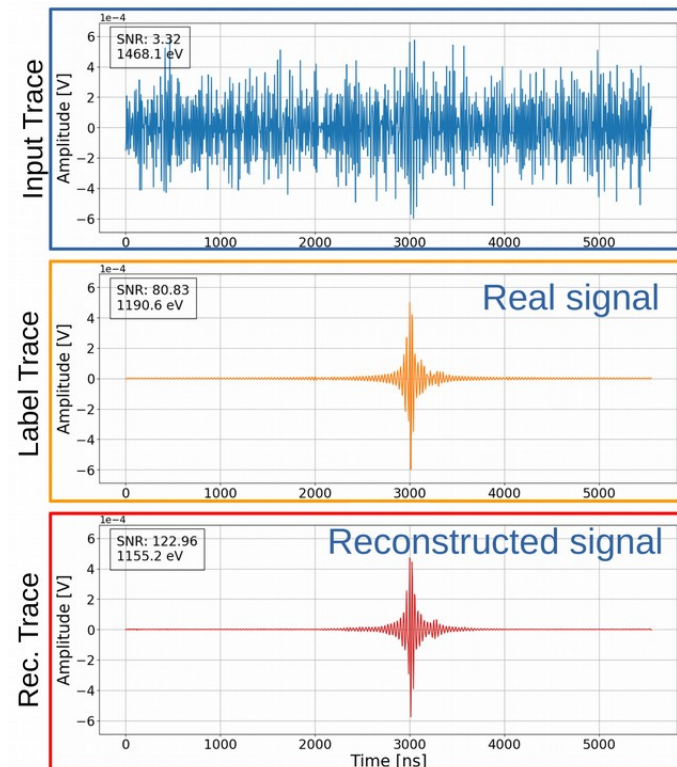
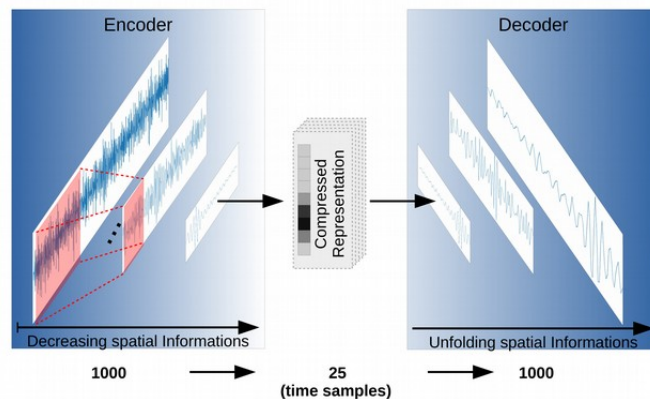


Erdmann, Glombitza, Walz, 10.1016/j.astropartphys.2017.10.006  
Niklas Eich, Erdmann, Glombitza, RWTH Aachen 2018



# Denoising of Air Shower Radio Signals

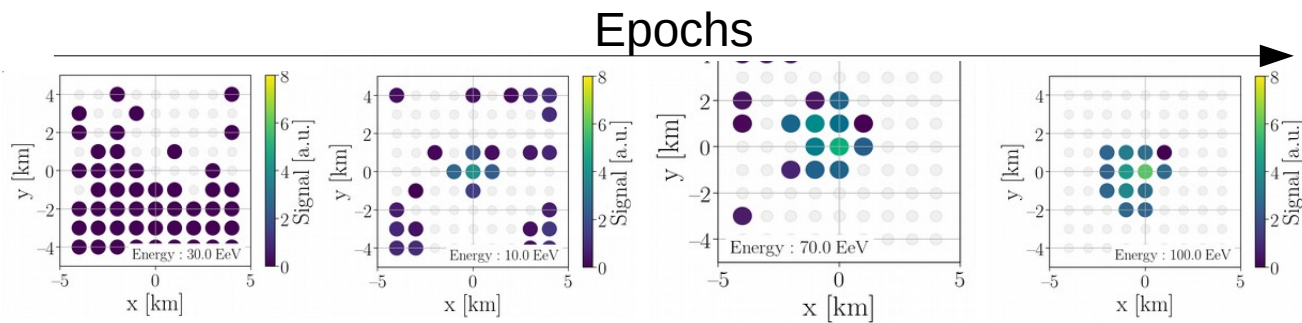
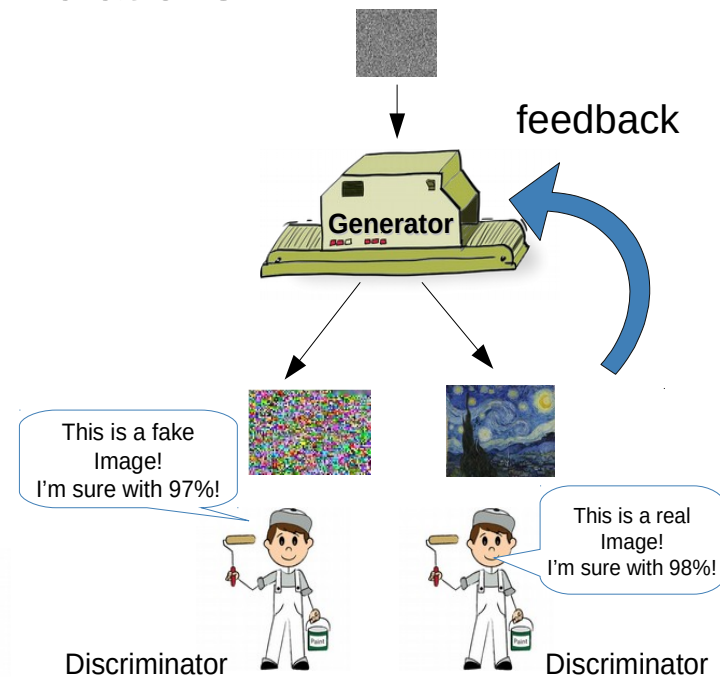
- Supervised trained Autoencoder
  - Network encodes only relevant information
- Remove noise of radio signals from cosmic ray induced air showers
- Signal energy and frequency spectrum approx. conserved



Erdmann, Schlüter, Smida - <https://arxiv.org/pdf/1901.04079.pdf>

# Generative Adversarial Networks

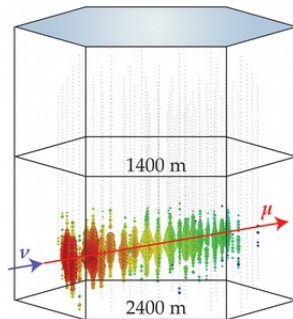
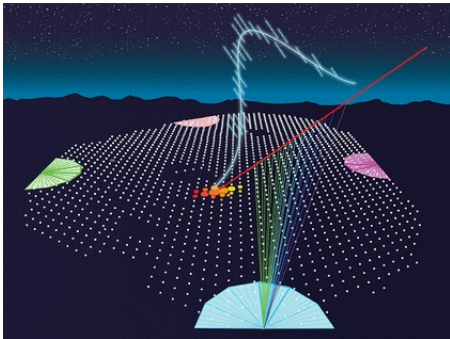
- Use Generative Adversarial Networks (GANs) for simulations
- Generator network generates new events
  - ◆ Discriminator rates quality of generated events
  - ◆ Discriminator feedback is used to train generator
- Conditioning of generator to physics parameters
- Speed up physics simulations  $\sim 10^3 - 10^5$
- First application shows promising results



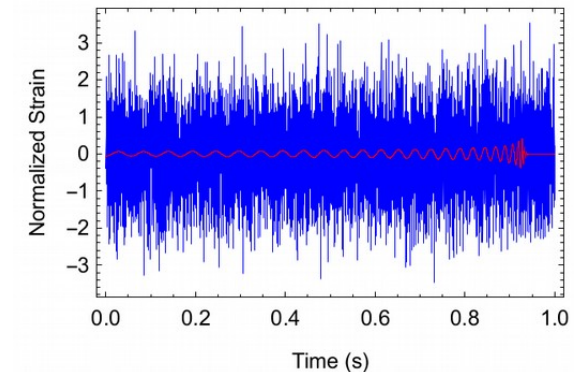
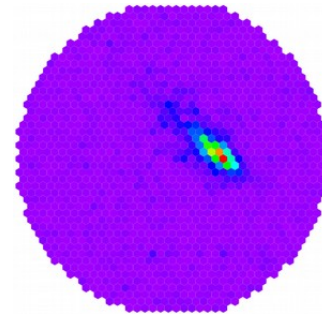
Erdmann, Geiger, Glombitza, Schmidt - 10.1007/s41781-018-0008-x

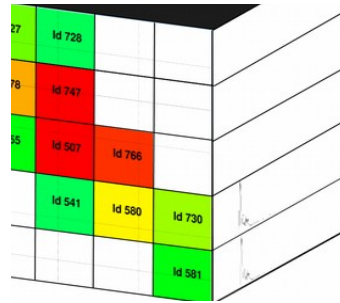
# Measured Data

- Widely distributed sensors / telescopes
- Most experiments feature Hexagonal or Cartesian sensor grids
  - ♦ 2 and 3 dimensional **structured** footprints / signal patterns
- Many sensors provide time trace of signals
- Structured multi-dimensional data
- Motivates convolutional and recurrent architectures

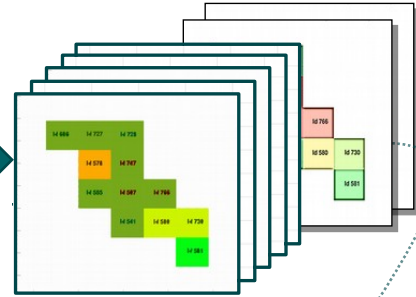


APS/Joan Tycko





Mini network

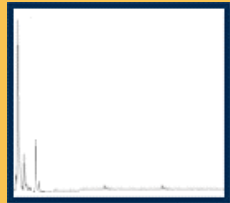


Created feature maps

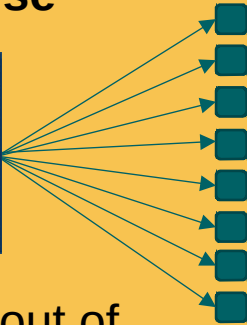


Convolutional Block

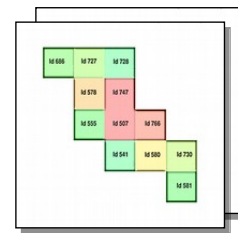
Mini network works on each signal pulse



Extract features out of every signal pulse

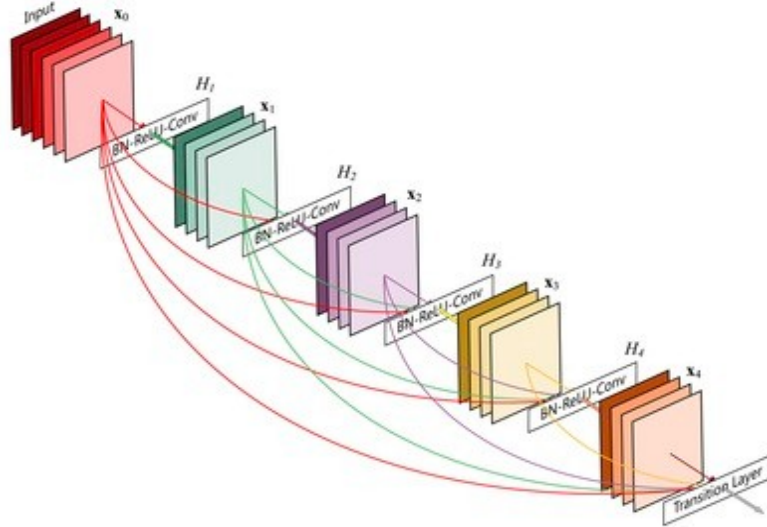


+ Add maps to stack

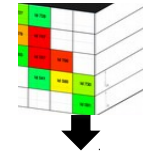


Maps of:  
arrival times  
total signals

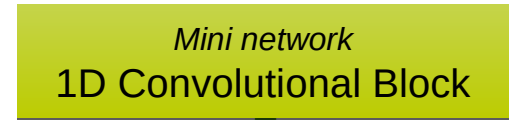
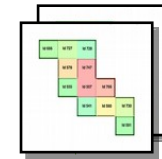
# Main Part: DenseNet Architecture



AixNet



Maps of:  
arrival times  
total signals



## Densely Connected Convolutions (2016)

Facebook AI Research, Cornell University, Tsinghua University

- Connections with all upper „feature layers“
  - Combination of high level and low level features
  - Enforces feature reuse