



Machine learning in accelerator physics: Applications at the CERN Large Hadron Collider

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F.F. Van der Veken^{1,2},

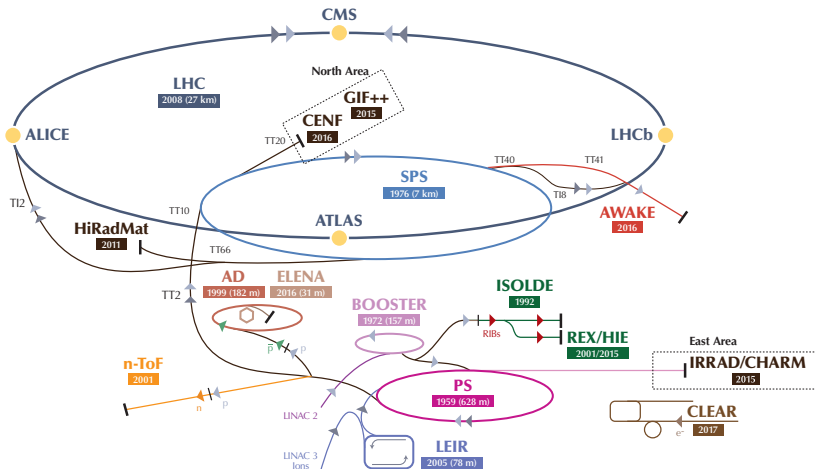
G. Azzopardi^{1,2}, F.H. Blanc⁴, L.T.D. Coyle^{1,4}, E. Fol^{1,3}, M. Giovannozzi¹, T. Pieloni^{1,4}, S. Redaelli¹,
B.M. Salvachua Ferrando¹, M. Schenk^{1,4}, R. Tomas Garcia¹, G. Valentino^{1,2},

¹CERN, ²University of Malta, ³Johann-Wolfgang-Goethe University, ⁴Ecole Polytechnique Federale Lausanne

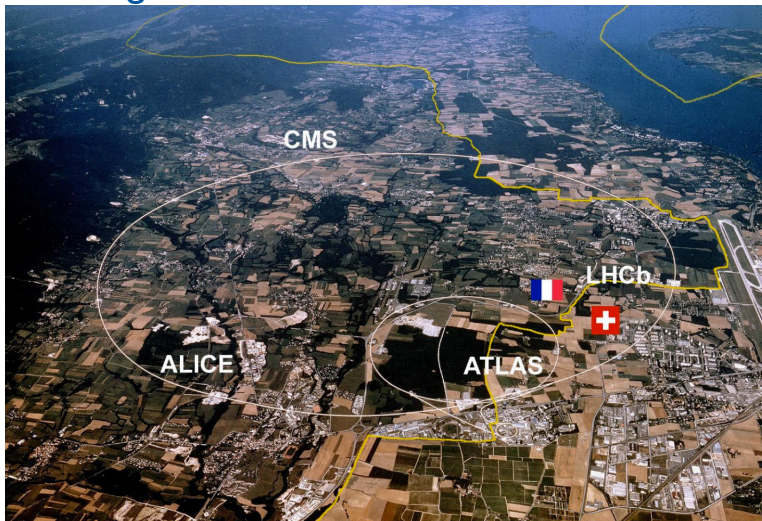
Outline

- 1 Introduction
- 2 Collimator alignment
- 3 Optics measurements and corrections
- 4 Dynamic aperture studies
- 5 Beam lifetime optimisation
- 6 Conclusions and Outlook

CERN Accelerator Complex



The Large Hadron Collider



(figure shamelessly stolen from Maciej's talk on Monday)

The Large Hadron Collider

The LHC is a huge and extremely complex machine:

- 26.659km tunnel, 50m-150m underground
- Accelerates particles up to 7TeV
- 9593 magnets, most cooled to 1.9K
- ~ 1.5 billion collisions per second
- Total cost about 6.5 billion CHF
- Total energy usage about 230MW
- Extremely sensitive: moon tides, day/night tariff, tgv, . . .

⇒ ; Operating it is far from trivial !

Operating the LHC



Operating the LHC



Machine Learning at the LHC

Different Collaborations

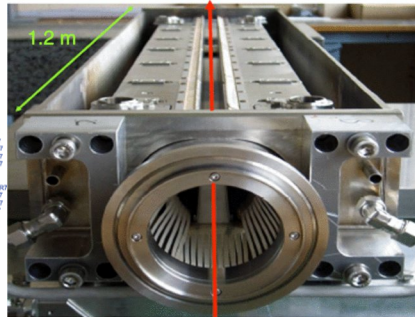
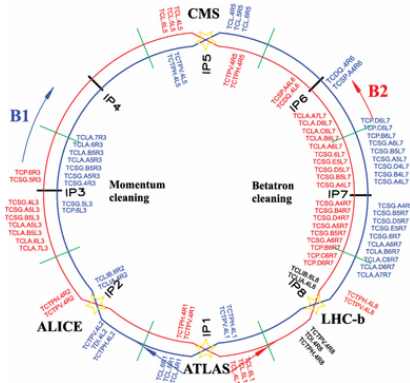
- Concerning **machine operation**:
 - Collimator alignment
 - Recognition of faulty monitors
 - Correction of beam optics variables

- Concerning **analysis** of measurements and simulations:
 - Anomaly detection in tracking simulations
 - Extrapolation of tracking simulations
 - Modelling beam lifetime by operational settings

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Context



362 MJ proton beam

Context

LHC Protection System

- The LHC uses a system of **100 collimators** for protection
- These must be **aligned** around the two beams with a precision better than $50\mu\text{m}$
- Alignments are performed yearly before start of operation

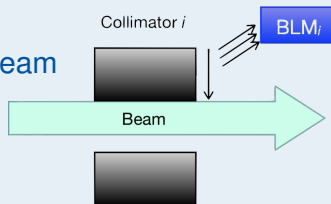
Applications with Machine Learning

- Alignment is tedious, time-consuming, and repetitive
- Ideal situation for machine learning
 - ⇒ *supervised learning*

Setup

Beam Loss Monitors (BLMs)

- record **losses** as they touch the beam
- experts monitor these losses to deduce collimator **alignment**

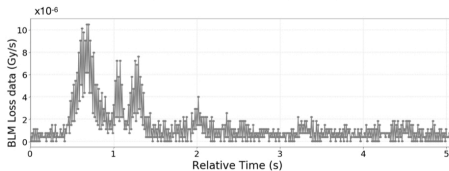


Setup

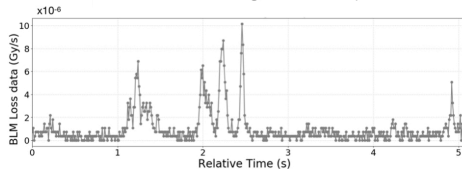
Spikes

- data sample taken when collimator stops moving
- spike when threshold in BLM is passed
- goal is to distinguish **real** spikes (beam is hit) from noise

Alignment Spike



Non-Alignment Spike

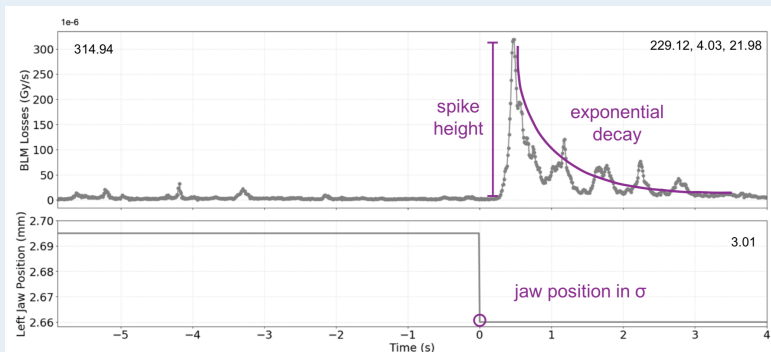


Spike Classification

Spike Parameterisation

5 parameters:

jaw position (1), spike height (1), and decay fit (3)



Spike Classification

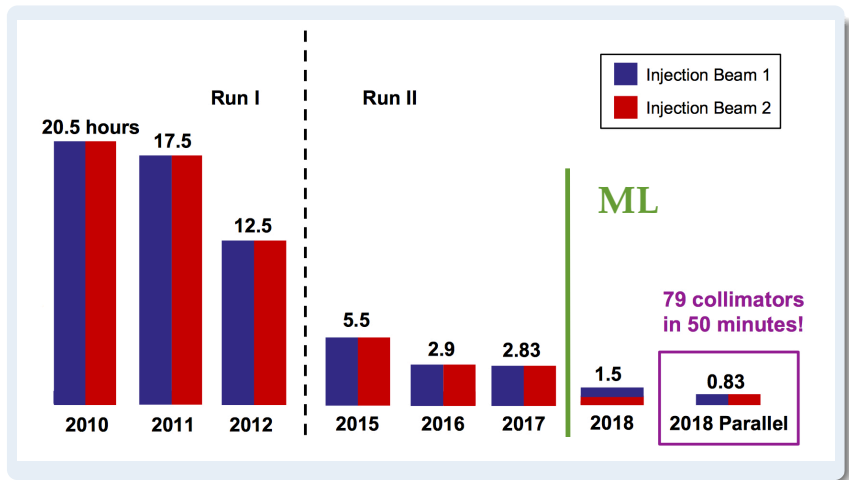
Approach

- Six ML models for spike classification were compared

Logistic Regression, Neural Network, SVM, Decision Tree, Random Forest, Gradient Boost

- data split into: 6446 samples for training, 1778 for testing
- enforce: **no false positives**
- false negatives are OK (because alignment will continue)
- **no retraining needed** unless hardware changes
- Analysis of **beam crosstalk** allows parallel alignments

Results



Results

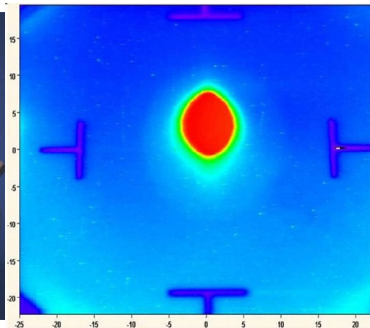
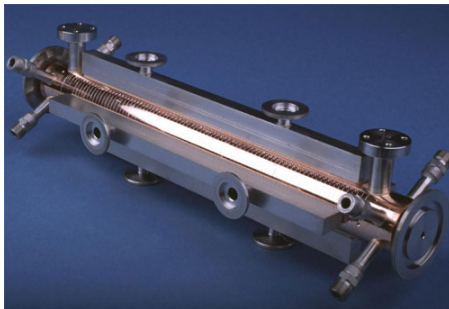
Results

- ML can replace human operators for alignments
- More than three times faster!
- ML-based alignment will be **default** from now on

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Context

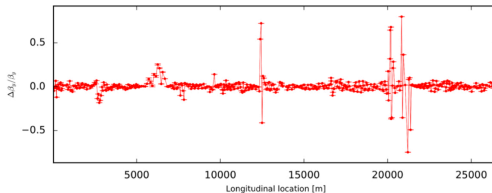
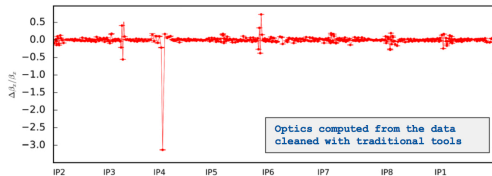
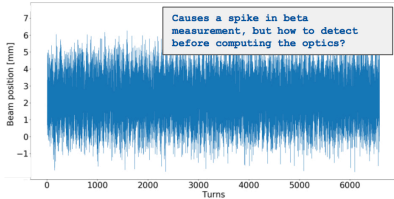
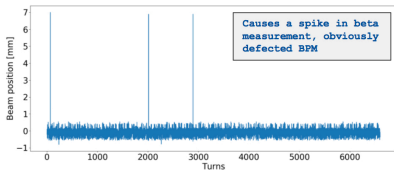
Analysis of Beam Optics

- Beam Position Monitors (**BPMs**) measure excited beam
 - Harmonic analysis of BPM signal gives **optics functions**
 - These typically differ from design optics
- Unphysical values in optics stem from **faulty BPMs**

Applications with Machine Learning

- **Identify** and remove faulty BPMs from data
 - ⇒ anomaly detection by *unsupervised learning*
- Calculate **optimal machine settings** that minimise difference between measured and design optics
 - ⇒ *supervised learning*

Anomaly Detection



Anomaly Detection

Approach

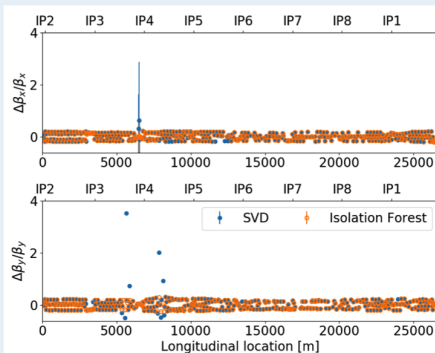
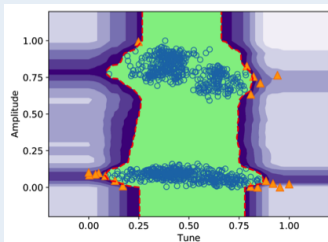
- Past measurements show that $\sim 10\%$ of BPMs are faulty
- Non-physical spikes in optics are artefact of bad BPMs
 - ⇒ Use ML to identify faulty BPMs from harmonic analysis
 - ⇒ To avoid spikes in optics functions
- enforce: **no false negatives** (don't keep a bad BPM)
- false positives are OK (we have >1000 BPMs...)

- Four ML algorithms are compared:

*K-means, DBSCAN, Local Outlier Factor, **Isolation Forest***

Anomaly Detection

Results

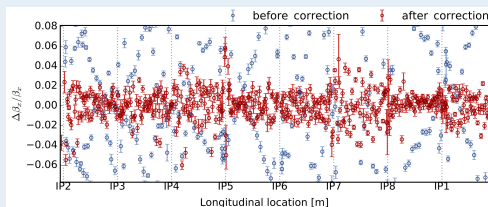


Results

- Very good recognition of faulty BPMs
- Now integrated by **default** into optics measurements at LHC
- Successfully used during commissioning and machine developments

Correction of Beta-Beating

- β -function calculated from harmonic analysis of BPMs
- β -beating is ratio of measured over designed β -function
- Corrections in the LHC are based on **response matrix**



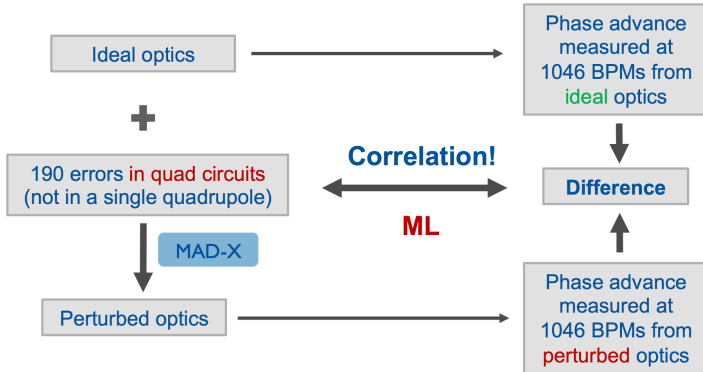
Machine Learning (*work in progress*)

ML to reconstruct magnet errors everywhere at once

⇒ *supervised learning*

Correction of Beta-Beating

Approach



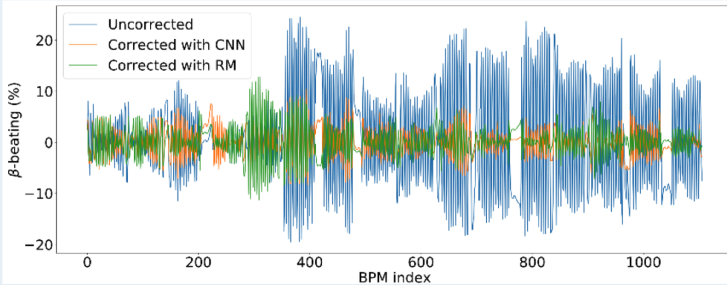
Correction of Beta-Beating

Approach

- Three ML algorithms are compared:
Convolutional Neural Network, Linear Regression, Ridge
- CNN (Keras with TensorFlow backend):
 - Used for image processing
 - Spatially dependent features: phase advance between neighbouring BPMs
 - Different deep layers look for different features
- Very simple model is applied: no parameter tuning, no optimisation
 - ⇒ Lots of improvements are possible

Correction of Beta-Beating

Results



Correction of Beta-Beating

Results

β -beating %	peak	rms
Uncorrected	32±10	11±3
Response Matrix	11±5	3±2
CNN	11±2	3.2±0.5
Ridge regression	10±2	2.9±0.8
Linear regression	9±2	2.6±1.7

- All methods demonstrate **similar performance**
- Linear Regression ML achieves best correction

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Dynamic Aperture

- A tool to estimate **beam quality**
- It is the volume of the smallest connected region in phase space that remains **stable** for a certain amount of time
- Its **evolution** over time can be estimated with scaling laws
- DA can describe **beam losses** and **luminosity evolution**

Applications with Machine Learning

- Anomaly detection \Rightarrow *unsupervised learning*
- DA extrapolation \Rightarrow *supervised learning*

Anomaly Detection

Setup

- 60 random realisations ('seeds') in LHC simulations
- Sometimes one seed gives **very bad DA** for one angle (because close to resonance, internal cancellations, ...)

Machine Learning

- Use ML to flag these outliers
 - let human decide whether or not to remove
- Investigate anomaly dependence on angles or seeds

Anomaly Detection

Approach

Points are sometimes clustered in several groups

⇒ **DBSCAN** to recognise clusters

(scaled over population, min 3 points in a cluster)

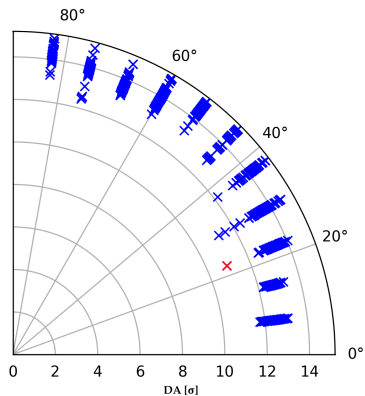
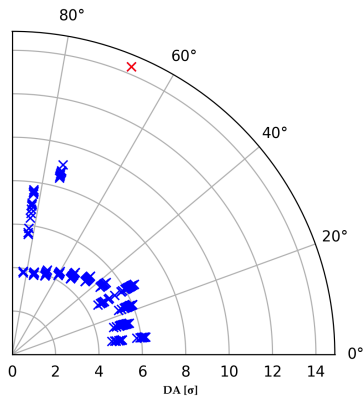
points not in cluster are possible outliers

⇒ **LOF** to quantify outlier strength

⇒ Cut off at **minimum threshold**, and outliers can only exist as minima or maxima (not in between)

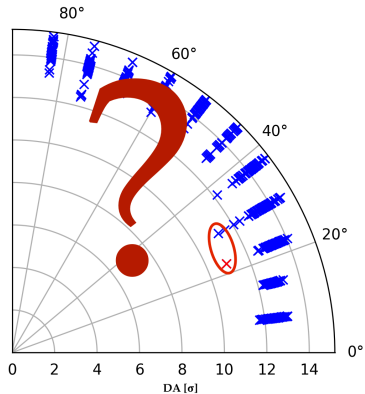
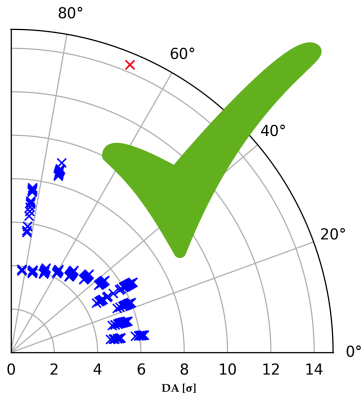
Anomaly Detection

Results



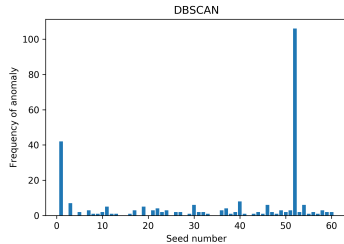
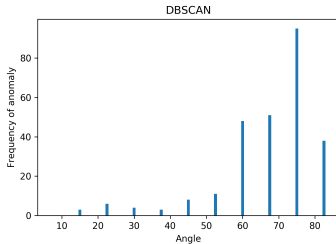
Anomaly Detection

Results



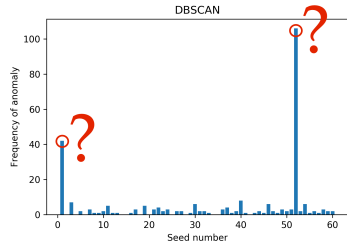
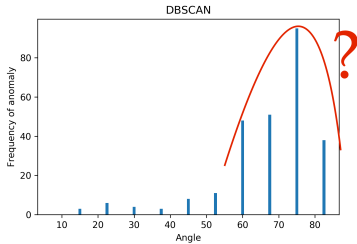
Anomaly Detection

Results



Anomaly Detection

Results



Anomaly Detection

Results

- Outlier detection per angle works as expected
But human verification is indeed needed!
 - to decide whether or not to remove a particular seed
(depending on behaviour of nearby angles)
- $\approx 10\times$ more outliers at large angles and seeds 1 and 52
 - ⇒ further investigation needed

Curve Fitting and Extrapolation

Setup

- DA simulations are very CPU-intensive
 - ⇒ only $10^5 - 10^6$ turns (~ 1 minute) are achievable
- Realistic timescales are much larger (~ 10 hours)
 - ⇒ simulations need to be **extrapolated**
- Scaling laws exist to describe **evolution** over time

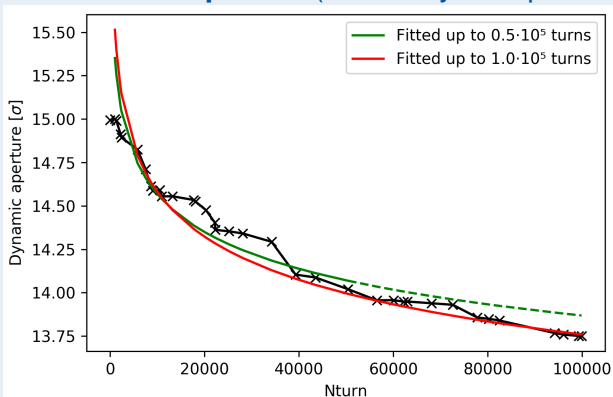
Machine Learning (*work in progress*)

- Use ML to improve fitting to scaling laws
- Recurrent Neural Network to make prediction estimates

Curve Fitting and Extrapolation

Approach

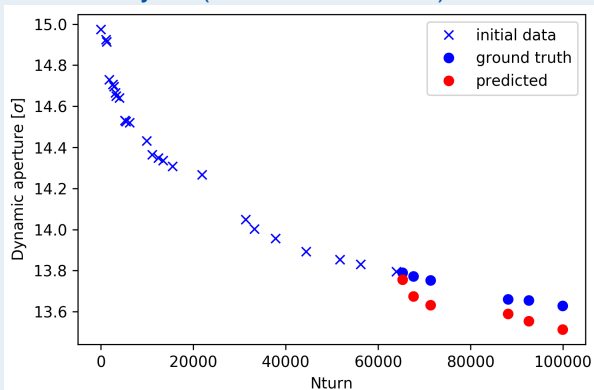
- Existing scaling laws work well to **describe** the data
- But not that much to **predict** (sensitivity of fit parameters)



Curve Fitting and Extrapolation

Trying with a Neural Network

- Brute-force approach: not including any info from scaling
- Time series analysis (LSTM with Keras)



Curve Fitting and Extrapolation

Trying with a Neural Network

- Results aren't very impressive; deeper investigation is needed
- Alternative: use a Neural Network to find optimal **weights** to fit to existing scaling laws

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Beam Lifetime

- Is the time τ such that intensity $I(\tau) = \frac{1}{e} I_0$
- **Real-life** counterpart of DA, describing **beam quality**
- Strongly influenced by **operational settings**
- Extraction from simulation is difficult (coherent instabilities)

Applications with Machine Learning

- Avoid time- and CPU-consuming tracking simulations
 - Model that directly relates **lifetime** to **machine settings**
 - Ample data available, focus on **2017** and **2018**
- ⇒ *supervised learning*

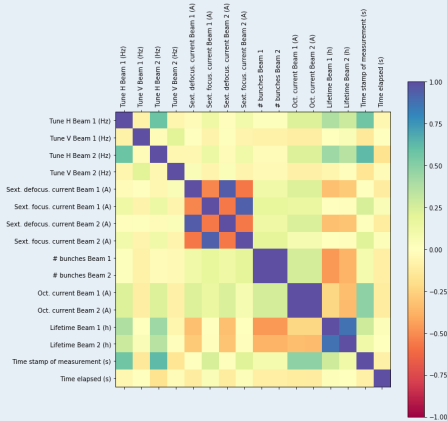
Beam Lifetime Model

Approach

- Input:
 - tunes (H/V, B1/B2)
 - sextupole strengths (B1/B2)
 - elapsed time
 - number of bunches (B1/B2)
 - emittances (H/V, B1/B2)
 - octupole strength (B1/B2)
 - timestamps
 - ...
- Output:
 - beam lifetimes (B1/B2, from slope of BCTs)
- Data from Run 2

Internal Correlations

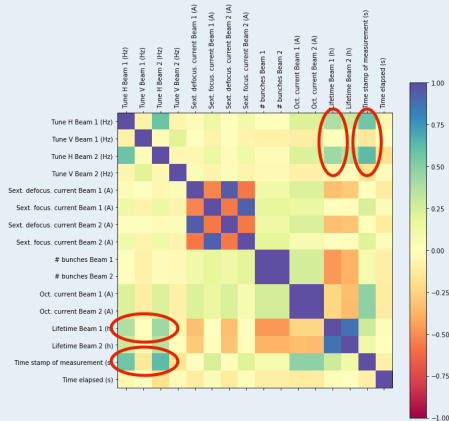
Correlations



Spearman correlation coefficient

Internal Correlations

Correlations



Lifetimes depend on tunes from **both** beams!

⇒ Need to de-correlate before continuing

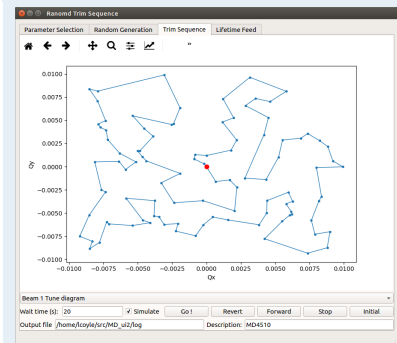
Robustness of Model

Machine Development

- Use dedicated MD run:
 - to decorrelate tunes between two beams
 - to extend tune range further than only current operational settings
- This allows us to test robustness of model:
 - does the tunes correlation matter?
 - behaviour of other beam parameters when lifetime is large?

Robustness of Model

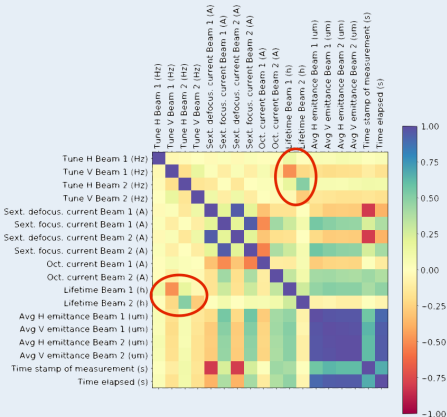
Machine Development



- random walk over tunes
- different random walk for beam 2 at the same moment
- do this for different operational settings

Robustness of Model

Machine Development

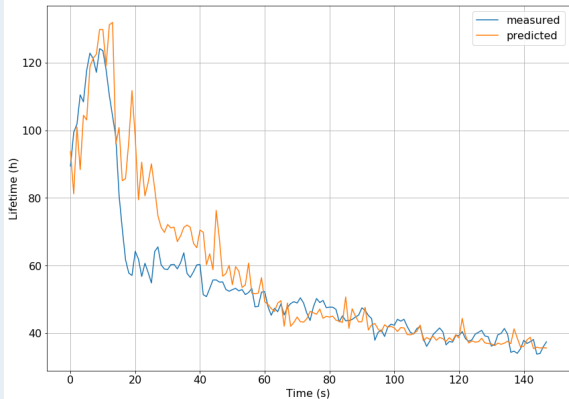


No more correlations
between beams!

However, emittance
becomes important

Beam Lifetime Model

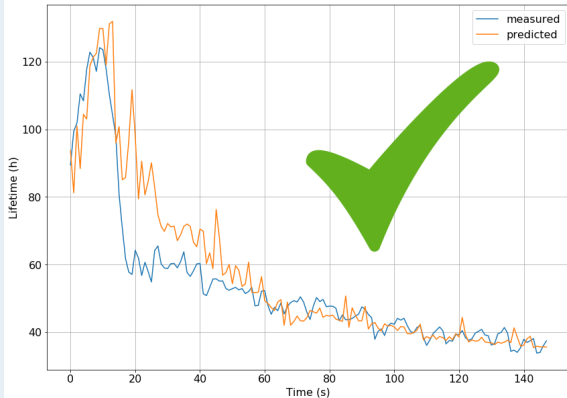
Result: Prediction of Lifetime (with LightGBM algorithm)



Fill 7056
Beam 2

Beam Lifetime Model

Result: Prediction of Lifetime (with LightGBM algorithm)



Fill 7056
Beam 2

Good agreement!

Multi-Parameter Optimisation

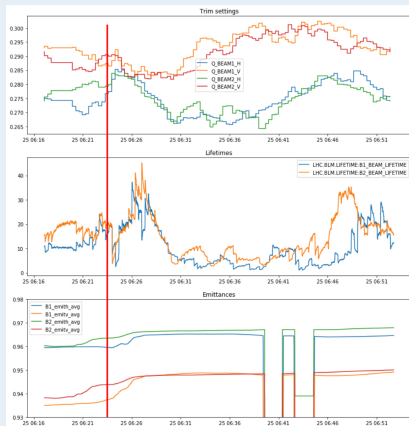
Optimal Settings

- Close to resonances: highest lifetime
- However this also gives emittance blow-up
- Latter is unwanted as it decreases luminosity

⇒ Multi-objective optimisation problem

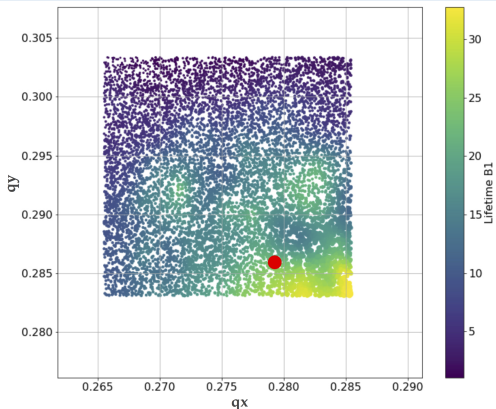
Multi-Parameter Optimisation

Optimal Settings



Multi-Parameter Optimisation

Optimal Settings



Beam 1
recommended
settings:

$$q_x = 0.279$$

$$q_y = 0.286$$

Beam 2 similar

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Conclusions

- **Collimator Alignment:**
 - ML is now the standard tool for collimator alignments
- **Optics Measurements and Correction:**
 - ML is now the standard tool to find faulty BPMs
 - Reconstruct true errors of single quadrupoles instead of corrector circuits: better results than using Response Matrix
 - Linear Regression is sufficient to correct linear optics errors
- **Dynamic Aperture:**
 - Anomaly detection is very efficient
- **Beam Lifetime:**
 - First steps are made towards a model that predicts lifetime in function of the operational parameters

- **Collimator Alignment:**

- Advanced crosstalk analysis → more alignments in parallel

- **Optics Correction:**

- Larger dataset → more general models
- Increase complexity of optics → more complex models
 - ▶ Add more sources of errors and non-linearities
- Reinforcement Learning

- **Dynamic Aperture:**

- Anomaly detection by centralised supervised learning
- Improve prediction algorithms using high-precision data
- Use supervised learning on fitting weights

- **Beam Lifetime:**

- Larger dataset and more operational parameters
→ more general model



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