


Branes and the Swampland



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String Pheno Turns 18!



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String Phenomenology 2019

24-28 June 2019
CERN
Europe/Zurich timezone



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This conference is part of the [String Geometry and String Phenomenology Institute](#) (17-28 June).

The annual String Phenomenology conference discusses recent progress in compactifications of string theory and their relation to particle physics and cosmology.

Topics include:

- Swampland and Quantum Gravity Conjectures
- Formal and Mathematical Aspects of string compactifications (such as F-theory, G2 compactifications, heterotic compactifications, and other corners of the string landscape, e.g. non-geometric compactifications)
- String Model Building in particle physics and cosmology
- Machine Learning Techniques to explore the String Landscape

In this talk, I'll discuss our recent work in using strings/branes to probe the consistency of EFTs coupled to gravity:

- **Branes and the Swampland** [Kim, GS, Vafa, 1905.08261]

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In the interest of time, I won't be able to cover other interesting works on:

- **Weak Gravity Conjecture (WGC):**
 - WGC, Black Hole Entropy, & Modular Invariance [Aalsma, Cole, GS, '19]
 - WGC from Unitarity and Causality [Hamada, Noumi, GS, 18]
 - Tower WGC [Andriolo, Junghans, Noumi, GS, '18] (see Andriolo's talk)
 - Strong WGC & Modular Bootstrap [Montero, GS, '19] (see Montero's talk)
- **de Sitter in String theory**
 - Distance and de Sitter Conjectures [Ooguri, Palti, GS, Vafa, '18]
 - Understanding KKLT from a 10d perspective [Hamada, Hebecker, GS, Soler, '18, '19] (see Hebecker & Soler's talks)
- **Data Science and String Theory:**
 - Topological Data Analysis [Cole, GS, '18] and Genetic Algorithm [Cole, Schachner, GS, '19] (see Schachner's talk) for the string landscape.

Landscape vs Swampland



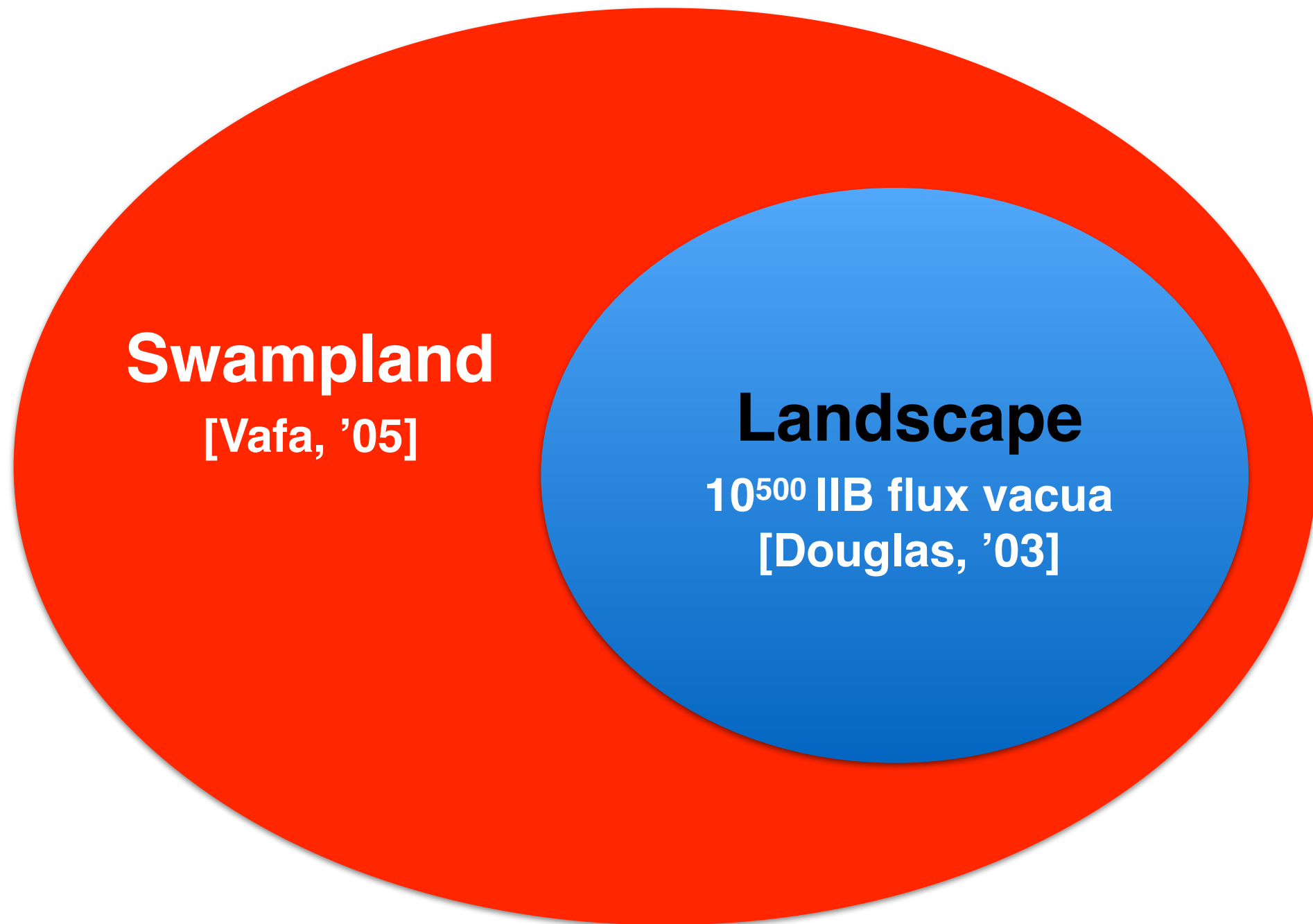
Landscape

Landscape vs Swampland

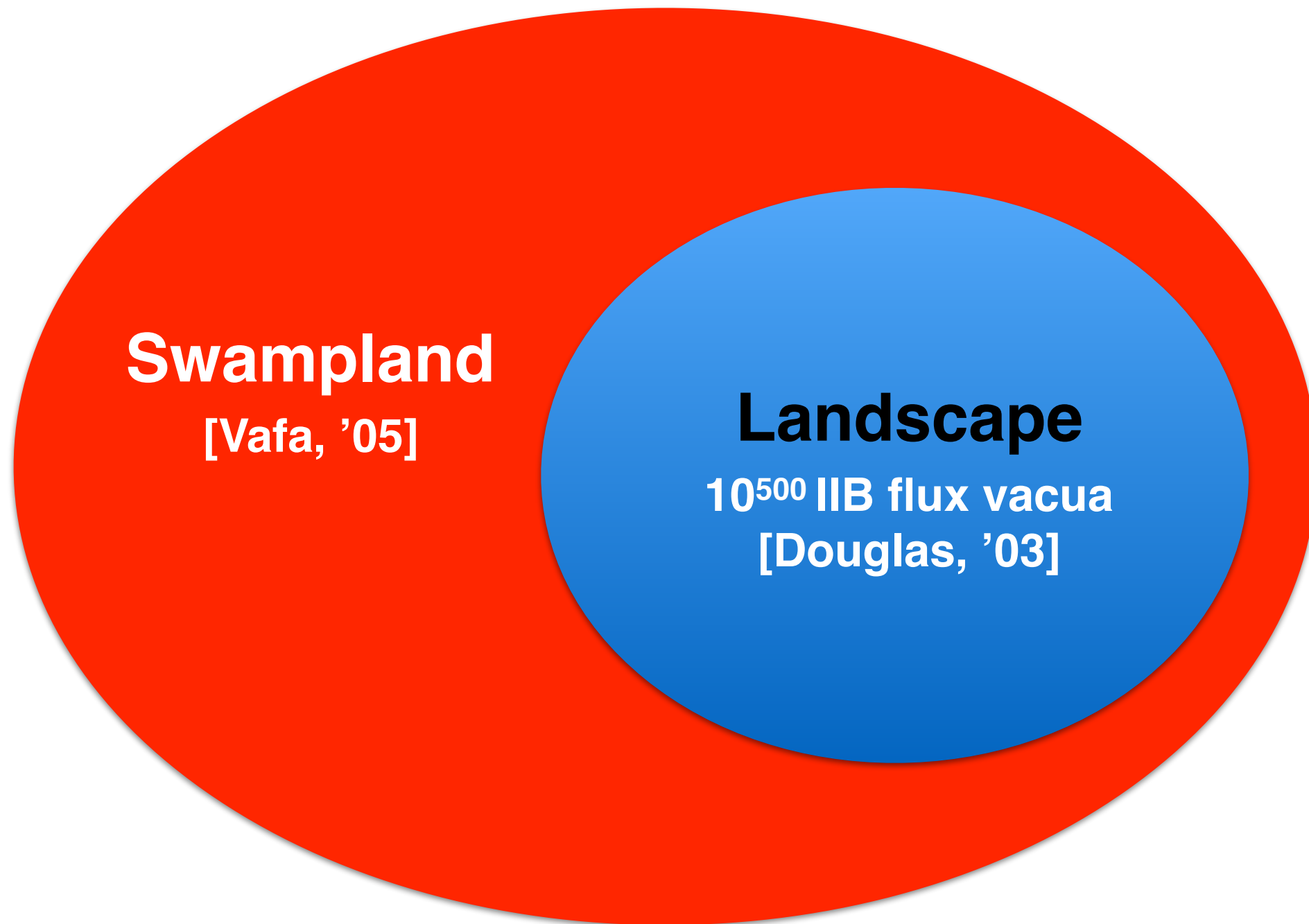
Landscape

10^{500} IIB flux vacua
[Douglas, '03]

Landscape vs Swampland



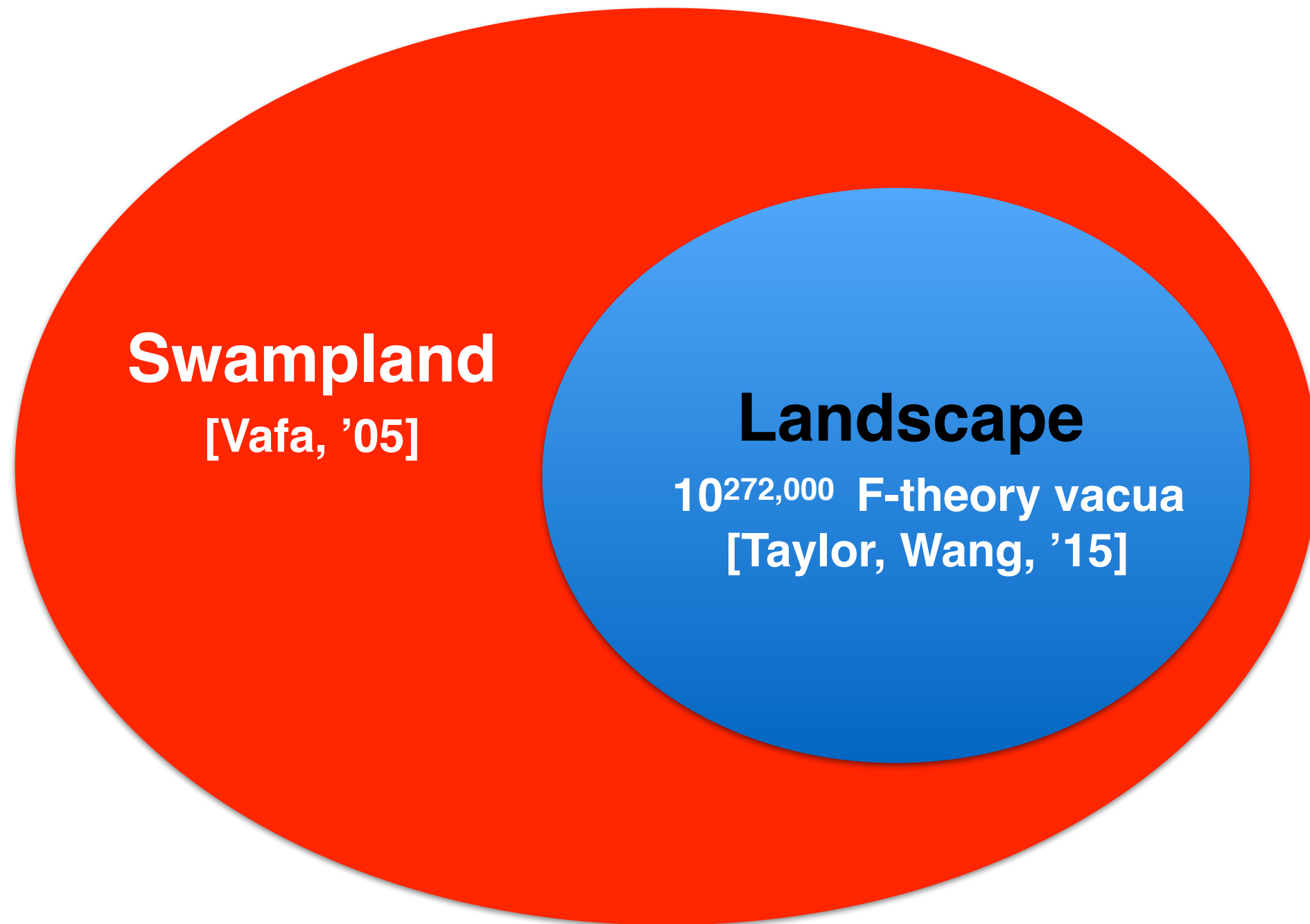
Landscape vs Swampland



What properties delineate the landscape from the swampland?

What are the phenomenological implications? *Is there a swampland?*

Landscape vs Swampland

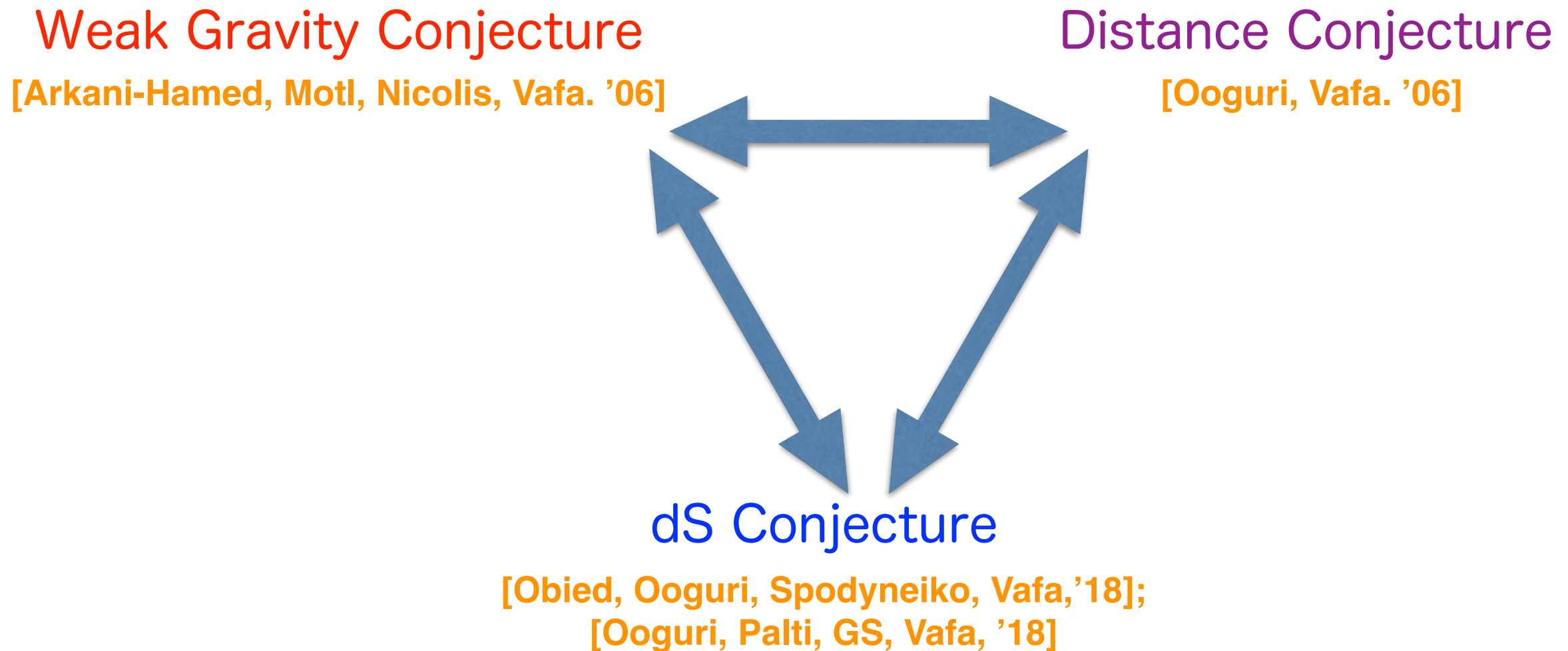


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Swampland Criteria

There are varying degrees of understanding for different swampland criteria and their interconnections:



These criteria do not follow from purely low-energy EFT considerations. Why are they necessary for consistency of quantum gravitational theories?

Branes and the Swampland

[Kim, GS, Vafa, '19]

“Putting strings back into string pheno”

Branes and the Swampland

- Completeness of spectrum of charged branes [Polchinski '03], [Banks, Seiberg, '10]: use them to probe consistency of EFTs coupled to gravity.
- First consider $N=(1,0)$ SUGRA theories in 10d & 6d as gauge and gravitational anomaly cancellations severely limit the possibilities.
- We illustrate the power of this approach with just a few examples and with only **string probes** but we expect this program of using **brane probes** to understand swampland criteria has wider applicabilities.
- We showed the 10d anomaly-free theories with $E_8 \times U(1)^{248}$ and $U(1)^{496}$ gauge groups that have no string realizations are in the swampland.
- Infinite families of anomaly-free 6d theories [Kumar, Morrison, Taylor, '10] with unbounded gauge group rank, or unbounded number of tensors or matter in exotic representations. We showed that **unitarity of current algebra on string probes** can rule out some of these infinite families.

Strings in 10d $N=(1,0)$ SUGRA

Anomaly Cancellation in 10d

- The gauge and gravitational anomalies of 10d N=(1,0) SUGRA theories can be cancelled by the Green-Schwarz mechanism [Green, Schwarz, '84], allowing only 4 choices for gauge groups:

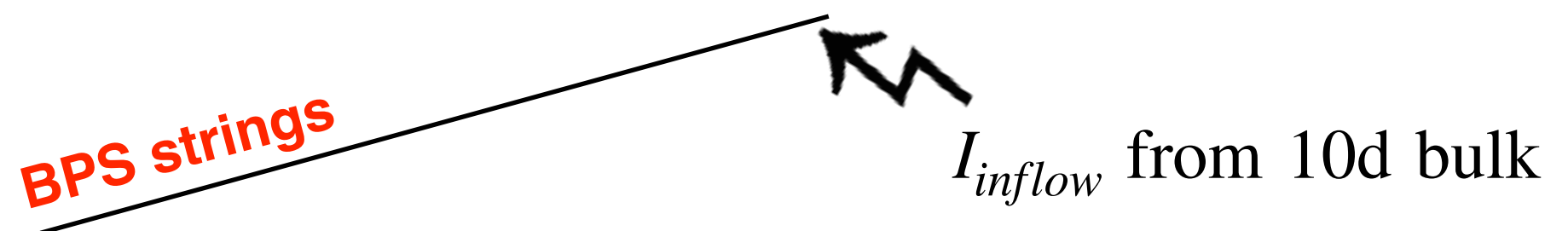
$$SO(32), \quad E_8 \times E_8, \quad E_8 \times U(1)^{248}, \quad U(1)^{496}$$

low energy limits of Type I and heterotic string theories

- The latter two were conjectured to be in the swampland [Vafa '05].
- It was argued that anomaly cancellation cannot be made compatible with SUSY & Abelian gauge invariance [Adams, DeWolfe, Taylor, '10]
- In [Kim, GS, Vafa, '19], we presented an independent argument ruling out the latter 2 theories by showing that the central charges on BPS strings in these theories are too small to realize the current algebra.

Strings in 10d

- Strings are sources for the 2-form tensor field B_2 , which by the **completeness assumption** should exist; they are also stable (\because BPS).



- A string with tensor charge Q adds to the 10d action:

$$S^{\text{str}} = Q \int_{\mathcal{M}_{10}} B_2 \wedge \prod_{a=1}^8 \delta(x^a) dx^a = Q \int_{\mathcal{M}_2} B .$$

- The 2-form B transforms under **local gauge & Lorentz symmetries**:

$$B_2 \rightarrow B_2 - \frac{1}{4} \sum_i \text{Tr}(\Lambda_i F_i) + \text{tr}(\Theta R) ,$$

- The string action is **not invariant**:

$$\delta_{\Lambda, \Theta} S^{\text{str}} = Q \int_{\mathcal{M}_2} \left[-\frac{1}{4} \sum_i \text{Tr}(\Lambda_i F_i) + \text{tr}(\Theta R) \right] .$$

Anomaly Inflow

- Anomaly inflow along the worldsheet:

$$I_4^{\text{inflow}} = Q \left(-\frac{1}{4} \sum_i \text{Tr} F_i^2 + \text{tr} R^2 \right)$$

- A **half-BPS string** in 10d gives rise to N=(0,8) SCFT at low energy: supercurrent on the worldsheet has a definite chirality (**right-moving**) and is opposite to that of the current for the group (**left-moving**).
- To cancel the anomaly inflow, the anomalies of the worldsheet SCFT:

$$I_4 = -I_4^{\text{inflow}} = Q \left[\frac{1}{2} p_1(T_2) - c_2(SO(8)) + \frac{1}{4} \sum_i \text{Tr} F_i^2 \right]$$

where we used the decomposition:

$$\text{tr} R^2 = -\frac{1}{2} p_1(T_2) + c_2(SO(8)) ,$$

- The above result includes contributions from **center of mass dofs**.

Anomaly Inflow

- The com modes form a free (0,8) multiplet (X_μ, λ_+^I) with $\mu, I = 1, \dots, 8$

X_μ : motion in 8 transverse directions

λ_+^I : right – moving $SO(8)$ spinor

- The anomaly contribution from the center of mass modes:

$$I_4^{\text{com}} = -\frac{1}{6}p_1(T_2) - c_2(SO(8)) .$$

- The anomaly of the interacting sector in the worldsheet SCFT (Q=1):

$$I'_4 = I_4 - I_4^{\text{com}} = \frac{2}{3}p_1(T_2) + \frac{1}{4} \sum_i \text{Tr} F_i^2 .$$

- The central charges & level of gauge algebras can be read off from:

$$I'_4 = -\frac{c_R - c_L}{24}p_1(T_2) - \frac{c_R}{6}c_2(SO(8)) + \sum_i \frac{k_i}{4}F_i^2$$

Anomaly Cancellation on String Probes

- This gives: $c_L = 16$, $c_R = 0$, $k_i = 1$
- The central charges are constrained by **unitarity conditions** on the 2d CFTs which describe the IR dofs on the string.
- The central charge realizing level-k Kac-Moody algebra:

$$c_G = \frac{k \cdot \dim G}{k + h^\vee}$$

- For unitary CFT on a string:

$$\sum_i c_i = \sum_i \frac{k_i \cdot \dim G_i}{k_i + h_i^\vee} \leq c_L$$

- The unitary bound is violated for $E_8 \times U(1)^{248}$ and $U(1)^{496}$ **(they are in the swampland)** while it is saturated for $SO(32)$ and $E_8 \times E_8$.

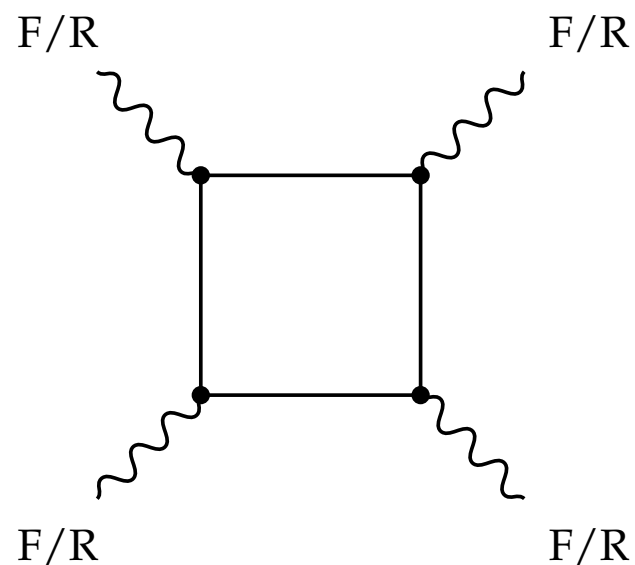
Strings in 6d $N=(1,0)$ SUGRA

Strings in 6d

- $N=(1,0)$ SUGRA in 6d has 4 kinds of massless supermultiplets:

Multiplet	Matter Content
SUGRA	$(g_{\mu\nu}, B_{\mu\nu}^+, \psi_\mu^-)$
Tensor (T)	$(B_{\mu\nu}^-, \phi, \chi^+)$
Vector (V)	(A_μ, λ^-)
Hyper (H)	$(4\varphi, \psi^+)$

- The **chiral fields** (two-forms, gravitino, and other chiral fermions) contribute to the gauge and gravitational anomalies:

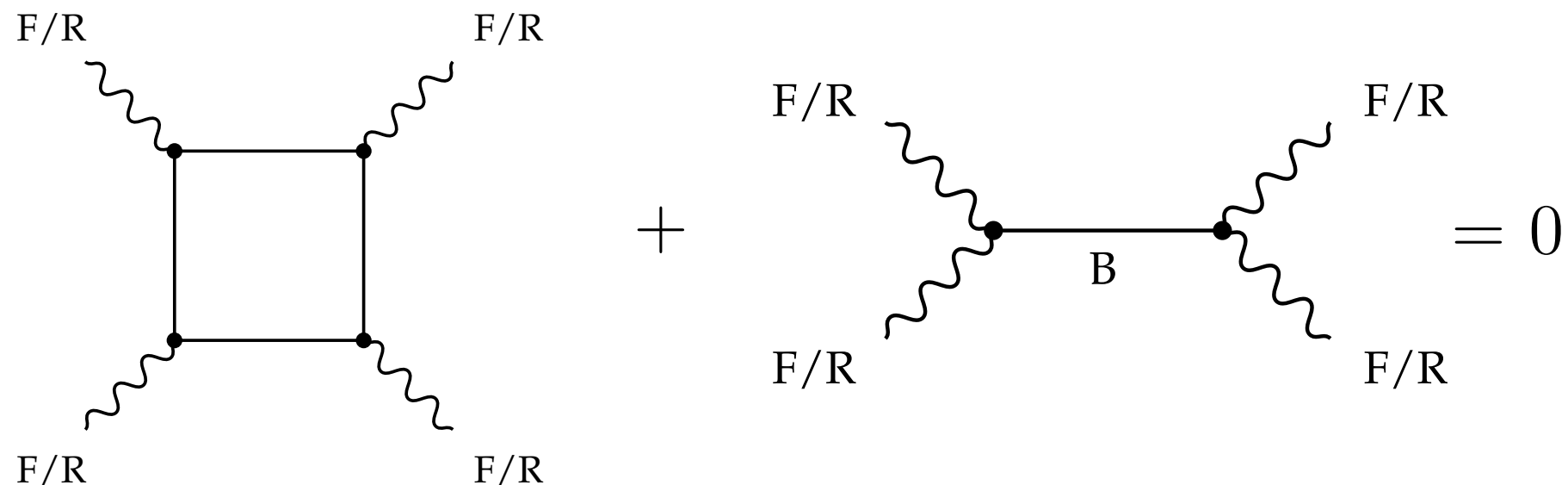


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Green-Schwarz-Sagnotti Mechanism

- The anomaly polynomial factorizes:

[See Taylor's talk]

$$I_8^{1-loop} = \frac{1}{2} \Omega_{\alpha\beta} X_4^\alpha X_4^\beta ,$$

$\Omega_{\alpha\beta}$: symmetric with $(1,T)$ signature

$$X_4^\alpha = \frac{1}{2} a^\alpha \text{tr} R^2 + \frac{1}{4} \sum_i b_i^\alpha \frac{2}{\lambda_i} \text{tr} F_i^2 ,$$

a^α, b_i^α : vectors $\in \mathbb{R}^{1,T}$

- Factorization of the anomaly polynomial requires:

$$H - V = 273 - 29T , \quad a \cdot a = 9 - T ,$$

$$0 = B_{\text{adj}}^i - \sum_{\mathbf{R}} n_{\mathbf{R}}^i B_{\mathbf{R}}^i ,$$

$n_{\mathbf{R}}^i$ = # hypermultiplets in rep. \mathbf{R} of G_i

$$a \cdot b_i = \frac{\lambda_i}{6} \left(A_{\text{adj}}^i - \sum_{\mathbf{R}} n_{\mathbf{R}}^i A_{\mathbf{R}}^i \right) ,$$

$A_{\mathbf{R}}, B_{\mathbf{R}}, C_{\mathbf{R}}$ are group theory factors :

$$\text{tr}_{\mathbf{R}} F^2 = A_{\mathbf{R}} \text{tr} F^2 ,$$

$$b_i \cdot b_i = \frac{\lambda_i^2}{3} \left(\sum_{\mathbf{R}} n_{\mathbf{R}}^i C_{\mathbf{R}}^i - C_{\text{adj}}^i \right) ,$$

$$\text{tr}_{\mathbf{R}} F^4 = B_{\mathbf{R}} \text{tr} F^4 + C_{\mathbf{R}} (\text{tr} F^2)^2 .$$

$$b_i \cdot b_j = 2\lambda_i \lambda_j \sum_{\mathbf{R}, \mathbf{S}} n_{\mathbf{R}, \mathbf{S}}^{ij} A_{\mathbf{R}}^i A_{\mathbf{S}}^j \quad (i \neq j) ,$$

- Anomaly cancelled by the Green-Schwarz term: $S_{GS} = \int \Omega_{\alpha\beta} B_2^\alpha \wedge X_4^\beta$

String Probes of 6d SUGRA

- There are **infinitely many** anomaly-free N=(1,0) SUGRA in 6d. Are they all UV-completable in quantum gravity?
- Strings are sources for the (1+T) B₂ which should exist by the completeness assumption. BPS strings preserve 1/2 SUSY.
- The worldsheet theory is a (0,4) SCFT at low energy, with anomaly:

$$\begin{aligned}
 I_4 &= \Omega_{\alpha\beta} Q^\alpha \left(\frac{1}{2} a^\alpha \text{tr} R^2 + \frac{1}{4} \sum_i b_i^\alpha \text{Tr} F_i^2 + \frac{1}{2} Q^\beta \chi_4(N_4) \right) \\
 &= -\frac{Q \cdot a}{4} p_1(T_2) + \frac{1}{4} \sum_i Q \cdot b_i \text{Tr} F_i^2 - \frac{Q \cdot Q - Q \cdot a}{2} c_2(R) + \frac{Q \cdot Q + Q \cdot a}{2} c_2(l)
 \end{aligned}$$

- This anomaly includes the com contributions: 4 bosons common to left- and right-movers, and 4 right-moving fermions:

$$I_4^{com} = -\frac{1}{12} p_1(T_2) - c_2(l) .$$

Central Charges

- The anomaly polynomial after removing contributions from the com dofs that decouple in the IR SCFT:

$$I'_4 = I_4 - I_4^{com} = -\frac{3Q \cdot a - 1}{12} p_1(T_2) + \frac{1}{4} \sum_i Q \cdot b_i \text{Tr} F_i^2 - \frac{Q \cdot Q - Q \cdot a}{2} c_2(R) + \frac{Q \cdot Q + Q \cdot a + 2}{2} c_2(l) .$$

- The central charges can be read off from the coefficients of the gravitational anomaly and the R-symmetry anomaly.
- It is possible that an accidental symmetry emerges in the IR and becomes the R-symmetry. It is also possible that the worldsheet theory degenerates to a product of SCFTs with different IR R-symmetries.
- This happens for strings in local SCFTs or little string theories embedded in SUGRA.
- Completeness allows us to focus on strings that give rise to **a single interacting SCFT at low energy without the accidental symmetry.**

Central Charges

- The anomaly polynomial after removing contributions from the com dofs that decouple in the IR SCFT:

$$SO(4) = SU(2)_l \times SU(2)_R : \text{transverse } \mathbb{R}^4$$

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Central Charges and F-theory

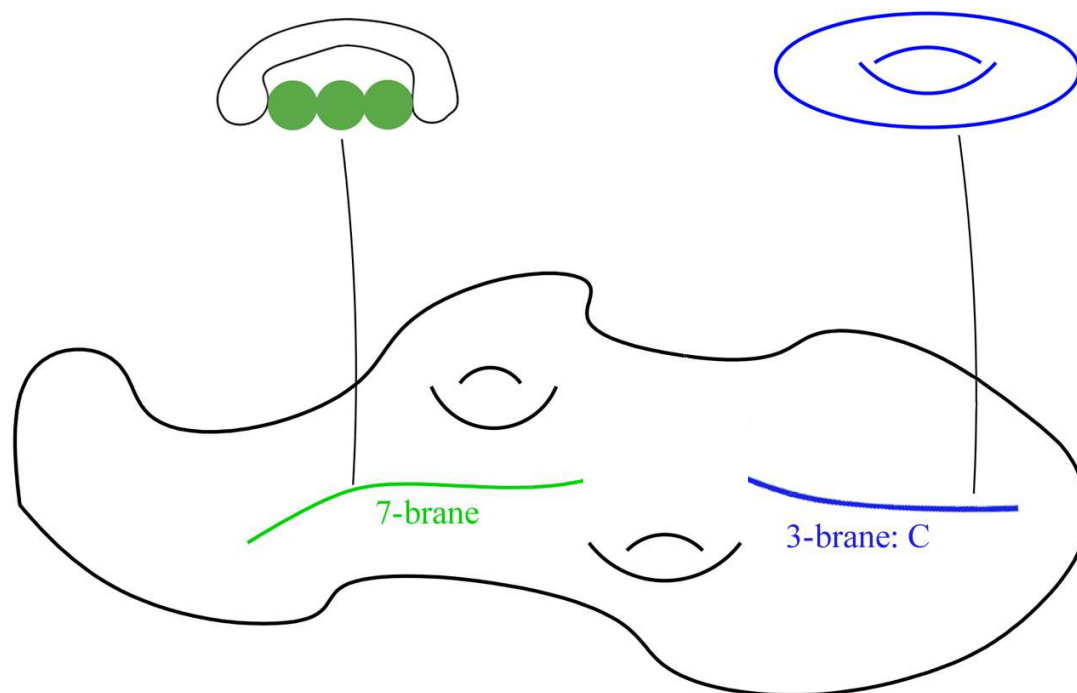
- For strings with a non-degenerate IR SCFT, R-symmetry = $SU(2)_R$:

$$c_L = 3Q \cdot Q - 9Q \cdot a + 2, \quad c_R = 3Q \cdot Q - 3Q \cdot a$$

- The 't Hooft anomalies for the bulk gauge symmetries G_i and $SU(2)_I$:

$$k_i = Q \cdot b_i, \quad k_I = \frac{1}{2}(Q \cdot Q + Q \cdot a + 2)$$

- F-theory on elliptic Calabi-Yau 3-folds \rightarrow 6d $N=(1,0)$ SUGRA.



Strings with charge Q :
D3-branes wrapping
genus g curve $C=Q$
in the base

Comparison to F-theory

- The 2d SCFT for a D3-brane wrapping a genus g curve C inside B :

$$c'_L = 3C \cdot C - 9K \cdot C + 6, \quad c'_R = 3C \cdot C - 3K \cdot C + 6,$$

$$k'_l = g - 1.$$

[Haghighat, Murthy, Vafa, Vandoren, '16]

where K =canonical class of B , and the genus g of the curve can be computed by the Riemann-Roch theorem:

$$C \cdot C + K \cdot C = 2g - 2$$

- Again, this includes the com contributions:

$$c_L^{com} = 4, \quad c_R^{com} = 6, \quad k_l^{com} = -1$$

- Removing the com contributions gives perfect agreement with the anomaly inflow results by identifying:

$$\Omega \leftrightarrow \text{intersection form in } H_2(B, \mathbb{Z}), \quad a \leftrightarrow K$$

Consistency Conditions

- Consider the moduli space of a 6d SUGRA theory parametrized by scalars in the tensor multiplets + a scalar controls the overall volume.
- For this moduli space to be well-defined, \exists a linear combination of these scalars J such that:

$$J \cdot J > 0, \quad J \cdot b_i > 0, \quad -J \cdot a > 0.$$

- In F-theory, J is the Kahler form $J \in H^{1,1}(B)$. The above conditions define a positive-definite Kahler cone on B .
- The tension of a BPS string with charge Q is non-negative if

$$Q \cdot J \geq 0$$

- Unitarity on the IR SCFT of such a string give constraints on:

$$c_L, \quad c_R, \quad k_l, \quad k_i$$

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see [Hamada, Noumi, GS]

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Unitary CFT

- For a unitary CFT, the central charges must be non-negative

$$c_L, c_R \geq 0$$

- The $SU(2)_l$ current algebra is realized on the left-movers and has:

$$k_l \geq 0$$

In F-theory, this amounts to requiring $g \geq 0$.

- The level of the G_i current algebra: $k_i = Q \cdot b_i \geq 0$

In F-theory, this is the condition that the curve class Q is effective and irreducible within the Mori cone of the Kahler base B .

- Unitarity of the SCFT requires:

$$\sum_i \frac{k_i \cdot \dim G_i}{k_i + h_i^\vee} \leq c_L$$

Example 1

- 6d SUGRA coupled to T=9 tensors with SU(N) x SU(N) gauge group and 2 bifunds. [Kumar, Morrison, Taylor '10] ([Schwarz '96] for T=1 models).
- Anomaly polynomial factorizes for any N but these models do not admit F-theory realizations at large enough N.
- The bilinear form Ω and the vectors a , b_1 , b_2 are given by:

$$\Omega = \text{diag}(+1, (-1)^9) , \quad a = (-3, (+1)^9) ,$$

$$b_1 = (1, -1, -1, -1, 0^6) , \quad b_2 = (2, 0, 0, 0, (-1)^6) .$$
- In this basis, $J=(1,0^9)$ satisfies $J^2 > 0$, $J \cdot b > 0$, $J \cdot a < 0$.
- Consider a string with charge $Q=(q_0, q_1, \dots, q_9)$ with $q_0 > 0$ for positive tension w.r.t. J . The unitarity conditions $c_R \geq 0$, $k_I \geq 0$:

$$q_0^2 - \sum_{i=1}^9 q_i^2 \geq -1 , \quad q_0^2 - \sum_{i=1}^9 q_i^2 - 3q_0 - q_{1:3} - q_{4:9} \geq -2 ,$$

Example 1

- The positivity of the level of the current algebra G_i :

$$k_1 = q_0 + q_{1:3} \geq 0, \quad k_2 = 2q_0 + q_{4:9} \geq 0,$$

where

$$q_{1:3} \equiv \sum_{i=1}^3 q_i \text{ and } q_{4:9} \equiv \sum_{i=4}^9 q_i.$$

- The **unitarity bound** on the level:

$$\frac{k_1(N^2 - 1)}{k_1 + N} + \frac{k_2(N^2 - 1)}{k_2 + N} \leq c_L = 3(q_0^2 - \sum_{i=1}^9 q_i^2) + 9(3q_0 + q_{1:3} + q_{4:9}) + 2$$

- The strongest bound on N is given by a string with

$$q_0^2 - \sum_{i=1}^9 q_i^2 = -1 \text{ and } k_1 = 0, k_2 = 1$$

which occurs for $Q=(1,-1,0,0,-1,0^5)$.

Example 1

- The central charge bound for the string worldsheet to be unitary:

$$\frac{k_2(N^2 - 1)}{k_2 + N} \leq c_L \rightarrow \frac{N^2 - 1}{1 + N} \leq 8 \rightarrow N \leq 9.$$

- **6d anomaly-free theories with $N > 9$ belong to the swampland.**
- **Stronger than the Kodaira condition in F-theory: $N \leq 12$:** anomaly argument can teach us something about elliptic Calabi-Yau 3-folds!
- Reassuring that the swampland bound does not rule out the string realization for $N=8$ in terms of a K3 orientifold **[Dabholkar, Park, '96]**.
- Remarkably, we obtain a bound on the rank of the gauge groups that is consistent with F-theory argument and known string realization.
- Interesting to see if the $N=9$ case can be constructed.

Example 2

- 6d SUGRA with $T=1$ & $SU(N)$ gauge group coupled to one symmetric + $(N-8)$ fundamental hypermultiplets is anomaly free for $N \leq 30$.

$$\Omega = \text{diag}(1, -1), \quad a = (-3, 1), \quad b = (0, -1) \quad [\text{Kumar, Morrison, Taylor '10}]$$

- The Kahler form can be chosen as $J = (n, 1)$ with $n^2 > 1$ and $n > 0$.
- **No F-theory realization:** when the base B is identified with Hirzebruch surface F^1 , b cannot be mapped to an effective curve class.
- Consider a string with charge $Q=(q_1, q_2)$ satisfying $c_R \geq 0$, $k_I \geq 0$:

$$\begin{aligned} q_1^2 - q_2^2 &\geq -1, & q_1^2 - q_2^2 - 3q_1 - q_2 &\geq -2, \\ k &= Q \cdot b = q_2 \geq 0. \end{aligned}$$

- This string has positive tension if:

$$nq_1 > q_2 \text{ from } J \cdot Q > 0.$$

Example 2

- These constraints can be simplified as:

$$q_1 \geq 3 \quad q_1 - 2 \geq q_2 > 0$$

- The unitarity bound on the central charge:

$$\frac{q_2(N^2 - 1)}{q_2 + N} \leq 3(q_1^2 - q_2^2) + 9(3q_1 + q_2) + 2$$

- The most stringent bound is $N \leq 117$ which occurs when $Q=(3,1)$.
- This bound is weaker than the bound from anomaly cancellation.
- Interesting to see if there are inconsistencies revealed by other means or else construct $N \leq 30$ models from other string theories.

Example 3

- We found a bound on a family of 6d models with $T=8k+9$ and gauge group $G=(E_8)^k$ for arbitrary k introduced in [\[Kumar, Morrison, Taylor '10\]](#)
- The anomaly coefficients of 6d SUGRA:

$$a \cdot b_i = 10, \quad b_i \cdot b_j = -2\delta_{ij} \text{ with } i, j = 1, \dots, k.$$

- For $k \geq 3$, one can choose a basis of tensors:

$$\begin{aligned} \Omega &= \text{diag}(1, (-1)^{8k+9}), \quad a = (-3, 1^{8k+9}), \\ b_i &= (-1, -1, 0^{4(i-1)}, (-1)^3, -3, 0^{8k+8-4i}), \end{aligned}$$

- The Kahler form can be chosen:

$$J = (-j_0, 0^{4k+1}, 1^{4k+8}), \quad (4k+8)/3 > j_0 > \sqrt{4k+8}.$$

- Consider a string with charge $Q=(-q, 0^{8k+9})$ whose tension is positive if $q > 0$. Furthermore, $c_R \geq 0, k_I \geq 0$ can be satisfied for $q > 2$.

Example 3

- However, the bound on the levels of the current algebras $k_i = Q \cdot b_i = q$:

$$\sum_{i=1}^k \frac{248k_i}{k_i + 30} \leq c_L \quad \rightarrow \quad k \frac{248q}{q + 30} \leq 3q(q - 9) + 2$$

is not satisfied by strings with $3 \leq q \leq 14$ for any $k \geq 3$ (\rightarrow **swampland**)

- This anomaly inflow argument does not rule out models for $k \leq 2$.
- For $k=1,2$, there exists another solutions of Ω and a, b_i :

k=1

$$\Omega = \text{diag}(1, (-1)^{17}), \quad a = (-3, 1^{17}), \\ b_1 = (0, 1, (-1)^{11}, 0^5),$$

k=2

$$\Omega = \text{diag}(1, (-1)^{25}), \quad a = (-3, 1^{25}), \\ b_1 = (0, 1, (-1)^{11}, 0^{13}), \quad b_2 = (0, 0^{13}, 1, (-1)^{11}),$$

- The above analysis do not apply. Indeed, the $k=2$ model can be realized by compactification of M theory on $K3 \times (S^1/\mathbb{Z}_2)$ with 24 M5-branes on the interval **[Seiberg, Witten '96]**.

Example 4

- 6d SUGRA with $T=0$ and gauge group $SU(8)$ coupled to an exotic hypermultiplet in the “box” representation [Kumar, Park, Taylor, '10].
- Admits no F-theory realization. 6d anomaly cancellation sets

$$a = -3, \quad b = 8$$

- Strings with $Q > 0$ satisfy $c_R \geq 0$, $k_i \geq 0$, $k_i \geq 0$.
- Most stringent constraint on c_L is given by strings with minimal $Q=1$:

$$\frac{k \times 63}{k + 8} \leq c_L \rightarrow 31.5 \leq 32 \quad \text{for } k = Q \cdot b = 8.$$

which is marginally satisfied!

Summary

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- String pheno continues to be a vital field that aims at extracting fundamental predictions on low energy physics from string theory/quantum gravity.
- The Swampland program aims to identify the boundary of possibilities for QFTs that can be consistently coupled to gravity. Results of such investigations have broad phenomenological implications.
- We initiated a program of using brane probes for a deeper understanding of the swampland conditions.
- The method presented in [Kim, GS, Vafa] was recently used to bound the number of abelian gauge group factors in 6d gravitational theories with minimal SUSY and in their F-theoretic realizations [Lee, Weigand].
- Consistency between various types of branes and their interactions with one another may provide further constraints on the swampland.
- This program may allow us to substantially cut down the # of string vacua, and more broadly deepens our understanding of the swampland criteria.