

Discrete Gauge Symmetries from Orbifold GUTs

Andreas Mütter

Technical University of Munich

String Phenomenology 2019
CERN, June 25th 2019

in collaboration with

Steffen Biermann, Erik Parr

Michael Ratz and Patrick Vaudrevange

[arxiv:1906.xxxxx](#)

SFB 1258

Neutrinos
Dark Matter
Messengers



Motivation and Outline

Motivation

Discrete symmetries play an important role in successful (string) model building as they address

μ -problem, \mathcal{CP} -violation, proton decay ...

One can get these symmetries for free as “stringy surprises”,

Motivation and Outline

Motivation

Discrete symmetries play an important role in successful (string) model building as they address

μ -problem, \mathcal{CP} -violation, proton decay ...

*One can get these symmetries for free as “stringy surprises”,
but are they gauged?*

Motivation and Outline

Motivation

Discrete symmetries play an important role in successful (string) model building as they address

μ -problem, \mathcal{CP} -violation, proton decay ...

*One can get these symmetries for free as “stringy surprises”,
but are they gauged?*

Outline

- ▶ Toy model: six-dimensional gauge theories on T^2/\mathbb{Z}_N orbifolds
- ▶ Compatibility of remnant symmetries and boundary conditions
- ▶ Examples:
 - ▶ D -parity in Pati–Salam models from $SO(10)$
 - ▶ (early versions of) non-Abelian flavor symmetries
- ▶ Comment on systematic origin from the Weyl group

Six-dimensional gauge theory on T^2/\mathbb{Z}_N orbifold

Decomposition of the gauge field into Cartans and ladder operators

$$\begin{aligned} V^M(x, y) &= \sum_I V_I^M(x, y) H_I + \sum_{w \in W} V_w^M(x, y) E_w \\ &= V^\mu(x, y) \oplus \chi^{1,2}(x, y) \end{aligned}$$

Six-dimensional gauge theory on T^2/\mathbb{Z}_N orbifold

Decomposition of the gauge field into Cartans and ladder operators

$$\begin{aligned} V^M(x, y) &= \sum_I V_I^M(x, y) H_I + \sum_{w \in W} V_w^M(x, y) E_w \\ &= V^\mu(x, y) \oplus \chi^{1,2}(x, y) \end{aligned}$$

Choose geometric twist and an action in the gauge space

$$y = \vartheta y, \quad P = \exp(2\pi i V \cdot H)$$

Boundary conditions

$$V^\mu(x, \vartheta y) = P V^\mu(x, y) P^{-1}$$

In terms of the Lie algebra

$$\begin{aligned} V_I^\mu(x, \vartheta y) &= V_I^\mu(x, y), \\ V_w^\mu(x, \vartheta y) &= \exp(2\pi i V \cdot w) V_w^\mu(x, y) \end{aligned}$$

Residual symmetries and boundary conditions

Interchanging the order of a symmetry transformation and the orbifold action must not make a difference

$$\begin{array}{ccc} V_a^\mu(x, y) \mathsf{T}_a & \xrightarrow{O} & V_a^\mu(x, \vartheta^{-1} y) P \mathsf{T}_a P^{-1} \\ \downarrow \mathcal{G} & & \downarrow \mathcal{G} \\ & & V_a^\mu(x, \vartheta^{-1} y) U P \mathsf{T}_a P^{-1} U^{-1} \\ & & \parallel - \\ V_a^\mu(x, y) U \mathsf{T}_a U^{-1} & \xrightarrow{O} & V_a^\mu(x, \vartheta^{-1} y) P U \mathsf{T}_a U^{-1} P^{-1} \end{array}$$

Residual symmetries and boundary conditions

Interchanging the order of a symmetry transformation and the orbifold action must not make a difference

$$\begin{array}{ccc} V_a^\mu(x, y) \mathsf{T}_a & \xrightarrow{O} & V_a^\mu(x, \vartheta^{-1} y) P \mathsf{T}_a P^{-1} \\ \downarrow \mathcal{G} & & \downarrow \mathcal{G} \\ & & V_a^\mu(x, \vartheta^{-1} y) U P \mathsf{T}_a P^{-1} U^{-1} \\ & & \parallel - \\ V_a^\mu(x, y) U \mathsf{T}_a U^{-1} & \xrightarrow{O} & V_a^\mu(x, \vartheta^{-1} y) P U \mathsf{T}_a U^{-1} P^{-1} \end{array}$$

Hence, we arrive at the condition for U

$$P^{-1} U^{-1} P U \mathsf{T}_a \stackrel{!}{=} \mathsf{T}_a P^{-1} U^{-1} P U$$

Consequences of Schur's Lemma

Schur's Lemma tells us that if a matrix commutes with all generators of an irreducible representation, it must be proportional to the identity

$$P^{-1} U^{-1} P U =: [P, U] = \omega^k \mathbb{1}$$

As $P^N = \mathbb{1}$, ω must be (a power of) an N -th root of unity.

Consequences of Schur's Lemma

Schur's Lemma tells us that if a matrix commutes with all generators of an irreducible representation, it must be proportional to the identity

$$P^{-1} U^{-1} P U =: [P, U] = \omega^k \mathbb{1}$$

As $P^N = \mathbb{1}$, ω must be (a power of) an N -th root of unity.

However, $P^{-1} U^{-1} P U$ must also be an element of the group \mathcal{G} (and, as it's diagonal)

$$P^{-1} U^{-1} P U \in Z(\mathcal{G})$$

Residual symmetries

The equation $[P, U] = \omega^k \mathbb{1}$ may or may not have solutions for various values of k

Residual symmetries

The equation $[P, U] = \omega^k \mathbb{1}$ may or may not have solutions for various values of k

- ▶ $k = 0$ contains the residual gauge symmetry, *but also discrete symmetries*

Unbroken gauge symmetry generated by the H_I and the E_w that fulfill $V \cdot w = 0 \pmod{1}$

Residual symmetries

The equation $[P, U] = \omega^k \mathbb{1}$ may or may not have solutions for various values of k

- ▶ $k = 0$ contains the residual gauge symmetry, *but also discrete symmetries*

Unbroken gauge symmetry generated by the H_I and the E_w that fulfill $V \cdot w = 0 \pmod{1}$

- ▶ $k = 1, \dots, N - 1$ can contain additional discrete symmetries, if

$$\omega^k \mathbb{1} \in Z(\mathcal{G})$$

Residual symmetries

The equation $[P, U] = \omega^k \mathbb{1}$ may or may not have solutions for various values of k

- ▶ $k = 0$ contains the residual gauge symmetry, *but also discrete symmetries*

Unbroken gauge symmetry generated by the H_I and the E_w that fulfill $V \cdot w = 0 \pmod{1}$

- ▶ $k = 1, \dots, N - 1$ can contain additional discrete symmetries, if

$$\omega^k \mathbb{1} \in Z(\mathcal{G})$$

Example: $SU(M)$ gauge theory on a \mathbb{Z}_N orbifold:
 $Z(SU(M)) = \mathbb{Z}_M$, hence M and N must not be coprime

D -parity in Pati–Salam GUTs from $SO(10)$

Consider an $SO(10)$ GUT on a T^2/\mathbb{Z}_2 orbifold with $P = \text{diag}(-\mathbb{1}_6; \mathbb{1}_4)$

The action of P breaks $SO(10)$ to the Pati–Salam group

$$SO(10) \rightarrow SU(4) \times SU(2)_L \times SU(2)_R$$

Observe

- ▶ no solutions for $k = 1$
- ▶ for $k = 0$, there is the element

$$D = \text{diag}(-1, 1, 1, 1, 1, 1; 1, -1, -1, -1)$$

that fulfills $[P, D] = \mathbb{1}$ and acts as

$$D : (\mathbf{4}, \mathbf{2}, \mathbf{1}) \leftrightarrow (\bar{\mathbf{4}}, \mathbf{1}, \mathbf{2})$$

Weyl group

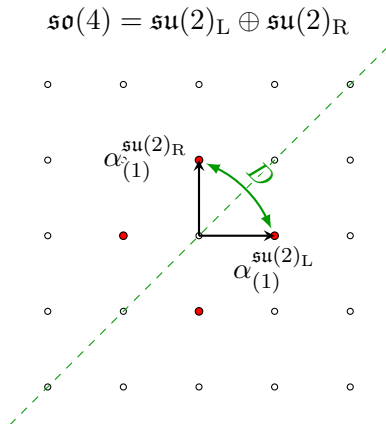
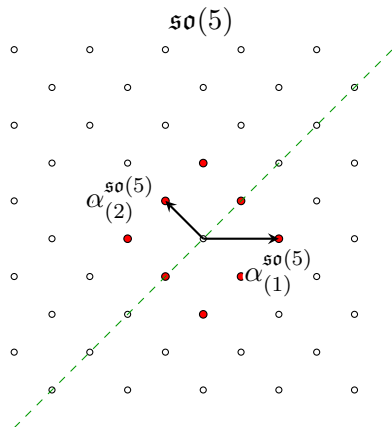
We'd like to understand where the residual discrete symmetries originate from. Possible candidates are the elements of the Weyl group of the upstairs gauge symmetry

$$w_\alpha(V) = V - 2 \frac{V \cdot \alpha}{\alpha \cdot \alpha} \alpha ,$$

but are *not* elements of the Weyl group of the residual gauge symmetry, hence

Weyl reflections at roots that are broken by the orbifolding.

D -parity: relation to Weyl group



Root lattices of $\mathfrak{so}(5)$ and its $\mathfrak{so}(4) = \mathfrak{su}(2)_L \oplus \mathfrak{su}(2)_R$ subalgebra.

Non-Abelian flavor symmetries – an $SU(3)$ derived example

In a T^2/\mathbb{Z}_3 orbifold, choose $\mathcal{G} = SU(3)$ and $P = \text{diag}(\omega^2, \omega, 1)$.

$k = 0$

One finds that $\begin{pmatrix} e^{i(\alpha+\beta)} & & \\ & e^{i(\alpha-\beta)} & \\ & & e^{-2i\alpha} \end{pmatrix}$ commutes with P ,

$\Rightarrow U(1) \times U(1)$ gauge symmetry.

Non-Abelian flavor symmetries – an $SU(3)$ derived example

In a T^2/\mathbb{Z}_3 orbifold, choose $\mathcal{G} = SU(3)$ and $P = \text{diag}(\omega^2, \omega, 1)$.

$k = 0$

One finds that $\begin{pmatrix} e^{i(\alpha+\beta)} & & \\ & e^{i(\alpha-\beta)} & \\ & & e^{-2i\alpha} \end{pmatrix}$ commutes with P ,

$\Rightarrow U(1) \times U(1)$ gauge symmetry.

$k = 1, 2$

There are solutions for non-trivial k . In particular we find

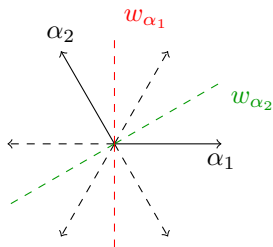
$$[P, U] = \omega \mathbb{1} \quad \text{for} \quad U = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix}$$

hence, $SU(3)$ is broken to $[U(1) \times U(1)] \rtimes \mathbb{Z}_3$

$$SU(3) \rightarrow [U(1) \times U(1)] \rtimes \mathbb{Z}_3$$

Again, the residual symmetry can be understood in terms of the $SU(3)$ Weyl group

$$U = w_{\alpha_2} \circ w_{\alpha_1}$$



In a full theory containing twisted matter, the \mathbb{Z}_3 can be chosen to permute the fixed points \rightarrow flavor symmetry

Conclusions

- ▶ we have studied discrete symmetries that arise as discrete remnants of a gauge symmetry that is broken by orbifolding
- ▶ for a boundary condition P , we have shown that a residual symmetry U must fulfill

$$P^{-1} U^{-1} P U = \omega^k \mathbb{1}$$

- ▶ we have discussed when and how discrete symmetries can survive the orbifold
- ▶ connection to the Weyl group of the unbroken gauge symmetry