

# On non-perturbative effects and moduli stabilization from 10D

Ander Retolaza

IPhT Saclay - Paris

String Phenomenology  
CERN, 27<sup>th</sup> June 2019

*Work in progress* with Iosif Bena, Mariana Graña & Nicolás Kovensky

## Introduction

Existence of de Sitter vacua controversial in String compactifications

- Recently because swampland conjecture
- Before, lots of issues related to  $\overline{D3}$ -brane **uplifts**: tachyons, flatening effects...
- Lead to a better understanding of KKLT proposal
- Realized of relevance of understanding non-perturbative effects better  
[Hamada, Hebecker, Shiu, Soler; Kallosh; Carta, Moritz, Westphal; Gautason, Hemelryck, Van Riet, Venken] & talks by Arthur, Jakob, Liam, Pablo, Thomas...

**This talk: 10D physics of non-perturbatively stabilized 4D SUSY vacua**

## 4D SUSY flux vacua in a nutshell

[Graña, Minasian, Petrini, Tomasiello '05]

Type IIB 4D SUSY compactification:  $\exists \eta^{(i)} \neq 0$  &  $\nabla'_m \eta^{(i)} = 0$

$$\epsilon^1 = \zeta_+ \otimes \eta_+^{(1)} + \text{c.c.} \quad , \quad \epsilon^2 = \zeta_+ \otimes \eta_+^{(2)} + \text{c.c.}$$

$\exists \eta^{(i)} \neq 0 \Leftrightarrow$  reduced structure group  $\Leftrightarrow$  also  $\exists$  geometric forms

## 4D SUSY flux vacua in a nutshell

[Graña, Minasian, Petrini, Tomasiello '05]

Type IIB 4D SUSY compactification:  $\exists \eta^{(i)} \neq 0$  &  $\nabla'_m \eta^{(i)} = 0$

$$\epsilon^1 = \zeta_+ \otimes \eta_+^{(1)} + \text{c.c.}, \quad \epsilon^2 = \zeta_+ \otimes \eta_+^{(2)} + \text{c.c.}$$

$\exists \eta^{(i)} \neq 0 \Leftrightarrow$  reduced structure group  $\Leftrightarrow$  also  $\exists$  geometric forms

Useful: spinors  $\rightarrow$  geometric **polyforms**

$$\Psi_{\pm} = \frac{-i}{|\eta^{(1)}|^2} \sum_p \frac{1}{p!} \eta_{\pm}^{(2)\dagger} \gamma_{m_1} \dots \gamma_{m_p} \eta_+^{(1)} dy^{m_p} \wedge \dots \wedge dy^{m_1}$$

SU(3) structure compactification ( $\eta_+^{2\dagger} \eta_+^1 = ie^{i\theta} e^A$ ):  $\Psi_+ \sim e^{iJ}$  &  $\Psi_- \sim \Omega_3$

## 4D SUSY flux vacua in a nutshell

[Graña, Minasian, Petrini, Tomasiello '05]

Type IIB 4D SUSY compactification:  $\exists \eta^{(i)} \neq 0$  &  $\nabla'_m \eta^{(i)} = 0$

$$\epsilon^1 = \zeta_+ \otimes \eta_+^{(1)} + \text{c.c.}, \quad \epsilon^2 = \zeta_+ \otimes \eta_+^{(2)} + \text{c.c.}$$

$\exists \eta^{(i)} \neq 0 \Leftrightarrow$  reduced structure group  $\Leftrightarrow$  also  $\exists$  geometric forms

Useful: spinors  $\rightarrow$  geometric **polyforms**

$$\Psi_{\pm} = \frac{-i}{|\eta^{(1)}|^2} \sum_p \frac{1}{p!} \eta_{\pm}^{(2)\dagger} \gamma_{m_1} \dots \gamma_{m_p} \eta_+^{(1)} dy^{m_p} \wedge \dots \wedge dy^{m_1}$$

SU(3) structure compactification ( $\eta_+^{2\dagger} \eta_+^1 = ie^{i\theta} e^A$ ):  $\Psi_+ \sim e^{iJ}$  &  $\Psi_- \sim \Omega_3$

- Polyforms allow to write 10D SUSY conditions in compact way
- SUSY conditions + flux EQs = all EOMs [Gaiotto, Gran, Gutowski, Luest, Mac Conamhna, Martelli, Pakis, Papadopoulos, Roest, Tsimpis, Waldram...]
- Allow to write superpotential using 10D data

## 4D flux vacua in a nutshell II

[Graña, Minasian, Petrini, Tomasiello '05]

Schematically, *classical* 10D SUSY conditions are (in Type IIB)

$$\begin{aligned}d_H(\text{Re}(\Psi_+)) &= -3\text{Re}(\bar{\mu}\Psi_-) + \star_6 \hat{F} \\d_H(\Psi_-) &= -2i\mu \text{Im}(\Psi_+)\end{aligned}$$

where  $\Lambda_4 = -3|\mu|^2$ ,  $d_H \equiv d - H_3 \wedge$  and

$$F = d_H C = \text{Vol}_4 \wedge \tilde{F} + \hat{F}$$

It obeys  $F = \star_{10} F$ ,  $d_H \hat{F} = j_Q$  &  $0 = d_H \star_6 \hat{F}$

## 4D flux vacua in a nutshell II

[Graña, Minasian, Petrini, Tomasiello '05]

Schematically, *classical* 10D SUSY conditions are (in Type IIB)

$$\begin{aligned}d_H(\text{Re}(\Psi_+)) &= -3\text{Re}(\bar{\mu}\Psi_-) + \star_6 \hat{F} + \langle\lambda\lambda\rangle PD[\Sigma] \\d_H(\Psi_-) &= -2i\mu \text{Im}(\Psi_+) + \langle\lambda\lambda\rangle PD[\Sigma]\end{aligned}$$

where  $\Lambda_4 = -3|\mu|^2$ ,  $d_H \equiv d - H_3 \wedge$  and

$$F = d_H C = \text{Vol}_4 \wedge \tilde{F} + \hat{F} + \star_6 \langle\lambda\lambda\rangle PD[\Sigma]$$

It obeys  $F = \star_{10} F$ ,  $d_H \hat{F} = j_Q$  &  $0 = d_H(\star_6 \hat{F} + \langle\lambda\lambda\rangle PD[\Sigma])$

They get quantum corrected

- Flux correction motivated by [Baumann et al '10]

$$\langle\lambda\lambda\rangle_{D7} \neq 0 \Rightarrow \text{IASD } G_3 \Rightarrow \text{source: } \langle\lambda\lambda\rangle_{D7} G_3 \cdot \Omega_3$$

and incorporated in this language by [Dymarsky, Martucci '10]

- This term got renewed attention

## Superpotentials

The 10D superpotential in terms of holomorphic polyforms

$$W = \int_{X_6} \langle \Psi_-, d_H[\text{Re}(\Psi_+) - iC] \rangle \supset \int_{X_6} \Omega_3 \wedge (F_3 + ie^{-\phi} dJ)$$

If we consider a variation  $\delta(e^{-\phi} J - iC_2)$

$$\mathcal{F} = \delta W \sim \int_{X_6} d\Omega_3 \wedge \delta(e^{-\phi} J - iC_2) \quad \Rightarrow \quad \mathcal{F} = 0 \Leftrightarrow d\Omega_3 = 0$$



## Superpotentials & Quantum corrections

[Lust,Reffert,Schulgin,Tripathy '05; Koerber,Martucci '07; Dymarsky,Martucci '10]

The 10D superpotential in terms of holomorphic polyforms

$$W = \int_{X_6} \langle \Psi_-, d_H[\text{Re}(\Psi_+) - iC] \rangle \supset \int_{X_6} \Omega_3 \wedge (F_3 + ie^{-\phi} dJ)$$

If we consider a variation  $\delta(e^{-\phi} J - iC_2)$

$$\mathcal{F} = \delta W \sim \int_{X_6} d\Omega_3 \wedge \delta(e^{-\phi} J - iC_2) \Rightarrow \mathcal{F} = 0 \Leftrightarrow d\Omega_3 = 0$$

Consider 4d gauge theory with rigid SUSY

$$\int d^2\theta W(\psi) + \int d^2\theta_\alpha(\psi) \text{Tr}(W^\alpha W_\alpha) + \text{c.c.} \quad , \quad W_\alpha = -i\lambda_\alpha + \dots$$

Quantum corrected F-term:

$$0 = \mathcal{F}_i = \partial_i W - (\partial_i \alpha)(\text{Tr}(\lambda^\alpha \lambda_\alpha))$$

## Superpotentials & Quantum corrections

[Lust,Reffert,Schulgin,Tripathy '05; Koerber,Martucci '07; Dymarsky,Martucci '10]

The 10D superpotential in terms of holomorphic polyforms

$$W = \int_{X_6} \langle \Psi_-, d_H[\text{Re}(\Psi_+) - iC] \rangle \supset \int_{X_6} \Omega_3 \wedge (F_3 + ie^{-\phi} dJ)$$

If we consider a variation  $\delta(e^{-\phi} J - iC_2)$   $\left( \alpha_{D5} = \int_{\Sigma_2} e^{-\phi} J - iC_2 \right)$

$$\mathcal{F} = \delta W \sim \int_{X_6} d\Omega_3 \wedge \delta(e^{-\phi} J - iC_2) \Rightarrow \mathcal{F} = 0 \Leftrightarrow d\Omega_3 = 0$$

Consider 4d gauge theory with rigid SUSY

$$\int d^2\theta W(\psi) + \int d^2\theta_\alpha(\psi) \text{Tr}(W^\alpha W_\alpha) + \text{c.c.} \quad , \quad W_\alpha = -i\lambda_\alpha + \dots$$

Quantum corrected F-term:

$$0 = \mathcal{F}_i = \partial_i W - (\partial_i \alpha)(\text{Tr}(\lambda^\alpha \lambda_\alpha)) = d\Omega_3 - (PD[\Sigma_2])(\text{Tr}(\lambda^\alpha \lambda_\alpha))$$

## A nice matching / new supporting evidence

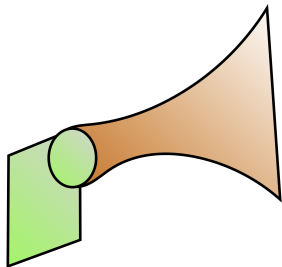
[Bena, Kovensky, Graña, AR]

[Heidenreich, McAllister, Torroba '10] 10D SUGRA solution mimicking SUSY KKLT

- $(\mathbb{C}^3/\mathbb{Z}_3)/\Omega_{O7}$  conical  $CY_3$ : 1 compact  $\Sigma_4$
- IASD  $G_3$ :  $SU(2)$  structure (dynamical)

$$\Psi_- = \Psi_1 + \Psi_3 + \Psi_5$$

- KKLT &  $G_3^-$ :  $AdS_4$
- Source term omitted



## A nice matching / new supporting evidence

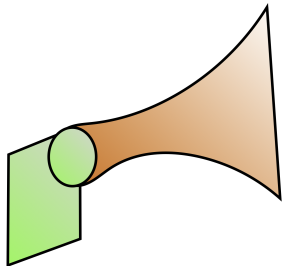
[Bena, Kovensky, Graña, AR]

[Heidenreich, McAllister, Torroba '10] 10D SUGRA solution mimicking SUSY KKLT

- $(\mathbb{C}^3/\mathbb{Z}_3)/\Omega_{O7}$  conical  $CY_3$ : 1 compact  $\Sigma_4$
- IASD  $G_3$ :  $SU(2)$  structure (dynamical)

$$\Psi_- = \Psi_1 + \Psi_3 + \Psi_5$$

- KKLT &  $G_3^-$ :  $AdS_4$
- Source term omitted



[Dymarsky, Martucci '10] study some aspects of including  $\langle \lambda\lambda \rangle_{D7}$

$$d\Psi_1 = \langle \lambda\lambda \rangle_{D7} PD[\Sigma_4] \Rightarrow \Psi_1 \neq 0$$

## A nice matching / new supporting evidence

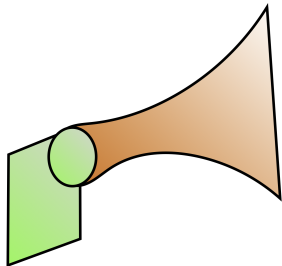
[Bena, Kovensky, Graña, AR]

[Heidenreich, McAllister, Torroba '10] 10D SUGRA solution mimicking SUSY KKLT

- $(\mathbb{C}^3/\mathbb{Z}_3)/\Omega_{O7}$  conical  $CY_3$ : 1 compact  $\Sigma_4$
- IASD  $G_3$ :  $SU(2)$  structure (dynamical)

$$\Psi_- = \Psi_1 + \Psi_3 + \Psi_5$$

- KKLT &  $G_3^-$ :  $AdS_4$
- Source term omitted



[Dymarsky, Martucci '10] study some aspects of including  $\langle \lambda\lambda \rangle_{D7}$

$$d\Psi_1 = \langle \lambda\lambda \rangle_{D7} PD[\Sigma_4] \Rightarrow \Psi_1 \neq 0$$

- We found that when gluing both results  $W_0 = -Ae^{-a\sigma}(1 + \sharp\sigma)$
- For  $\sharp = \frac{2a}{3}$  this is the KKLT F-term for  $\sigma$

## Non perturbative effects & Moduli stabilization

[Bena, Kovensky, Graña, AR]

Type IIB compactification with a condensate on D7-branes wrapping  $\Sigma_4$

$$d(\Psi_1) = -2i\mu \operatorname{Im}(\Psi_2) + \langle \lambda\lambda \rangle_{D7} PD[\Sigma_4]$$

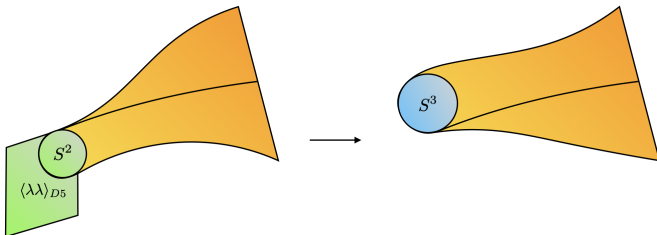
## Non perturbative effects & Moduli stabilization

[Bena, Kovensky, Graña, AR]

Type IIB compactification with a condensate on D7-branes wrapping  $\Sigma_4$

$$d(\Psi_1) = -2i\mu \text{Im}(\Psi_2) + \langle \lambda\lambda \rangle_{D7} PD[\Sigma_4]$$

- For  $\mu = 0$  (Minkowski) [Klebanov, Strassler]-like:  $d\Omega_3 = \langle \lambda\lambda \rangle_{D5} PD[\Sigma_2]$
- $PD[\Sigma_q]$  exact  $\Rightarrow \Sigma_q$  trivial in homology [Uranga, Garcia-Valdecasas'16, '17]



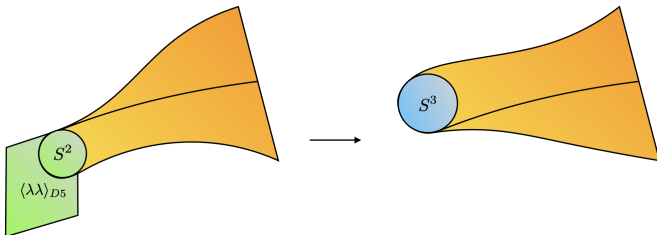
## Non perturbative effects & Moduli stabilization

[Bena, Kovensky, Graña, AR]

Type IIB compactification with a condensate on D7-branes wrapping  $\Sigma_4$

$$d(\Psi_1) = -2i\mu \operatorname{Im}(\Psi_2) + \langle \lambda\lambda \rangle_{D7} PD[\Sigma_4]$$

- For  $\mu = 0$  (Minkowski) [Klebanov, Strassler]-like:  $d\Omega_3 = \langle \lambda\lambda \rangle_{D5} PD[\Sigma_2]$
- $PD[\Sigma_q]$  exact  $\Rightarrow \Sigma_q$  trivial in homology [Uranga, Garcia-Valdecasas'16, '17]



**Geometric transition, but... what about moduli stabilization?**



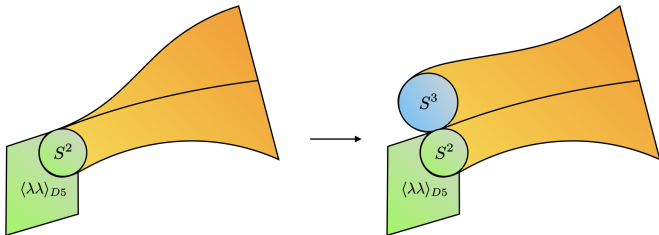
## Non perturbative effects & Moduli stabilization

[Bena, Kovensky, Graña, AR]

Type IIB compactification with a condensate on D7-branes wrapping  $\Sigma_4$

$$d(\Psi_1) = -2i\mu \operatorname{Im}(\Psi_2) + \langle \lambda\lambda \rangle_{D7} PD[\Sigma_4]$$

- For  $\mu = 0$  (Minkowski) [Klebanov, Strassler]-like:  $d\Omega = \langle \lambda\lambda \rangle_{D5} PD[\Sigma_2]$
- $PD[\Sigma_q]$  exact  $\Rightarrow \Sigma_q$  trivial in homology [Uranga, Garcia-Valdecasas'16, '17]



**Geometric transition, but... what about moduli stabilization?**

- If  $\mu \neq 0$  ( $\text{AdS}_4$ ) cycle is non-trivial - no geometric transition
  - Modulus gets stabilized in agreement with 4d EFT
- Moduli stabilization by NP effects *requires*  $\text{AdS}_4$

## Summing up

- We have the tools to describe SUSY compactifications from 10D
- We know how to quantum correct the SUSY conditions
- Gluing together two works related to SUSY KKLT vacuum: supporting evidence
- Moduli stabilization by NP effects requires  $AdS_4$ .
- New interesting geometries arise from these compactifications, but they are more involved than (conformal) CY-s.

Thank  
you