

# On gaugino condensates in ten dimensions

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# The Swampland Lineup



# KKLT from 10d perspective

- Consider Einstein equation in 10d and its trace over 4d indices:

$$\mathcal{R}_{MN} = T_{MN} - \frac{1}{8} g_{MN} T_L^L \implies R_\mu^\mu = \frac{1}{2} (T_\mu^\mu - T_m^m) \equiv -2\Delta$$

- For metric ansatz:  $ds_{10}^2 = \Omega^2(y) (\eta_{\mu\nu} dx^\mu dx^\nu + g_{mn} dy^m dy^n)$

$$\mathcal{V}_6 \mathcal{R}(\eta) = -2 \int d^6 y \sqrt{g} \Omega^{10}(y) \Delta$$

Useful for no-go theorems: if  $\Delta > 0$  one can rule out  $\mathcal{R}(\eta) \geq 0$ .

Maldacena, Nuñez '00

- Key question: how does gaugino condensation contribute to  $\Delta$ ?

Proposal: use 10d action with a source  $\langle \lambda\lambda \rangle \sim e^{-aT}$

Moritz, Retolaza, Westphal '17, '18

Moritz, Van Riet, '18

Gautason, Van Hemelryck, Van Riet '18

# KKLT from 10d perspective

- The relevant terms in the 10d action come from *bulk* 3-form fluxes and D7-*localized* gauginos

Up to  $\lambda\lambda$ -terms:  $\mathcal{L}_{10} \supset |G_3|^2 + G_3 \cdot \Omega_3 \lambda\lambda \delta_{D7}$

Camara, Ibañez, Uranga '04;  
Baumann et al. '06 ; Koerber, Martucci '07

- Problem:  $\langle \lambda\lambda \rangle \neq 0$  sources a flux that diverges on the D7-locus

$$G_3 = -\frac{1}{2} \Omega_3 \lambda\lambda \delta_{D7} \quad \Longrightarrow \quad S_{10} \rightarrow |\lambda\lambda|^2 \delta(0)$$

The resulting action is divergent, but also quartic in gauginos

- Quartic gaugino terms are crucial in the 4d KKLT potential (  $\sim e^{-2T}$  )

Need to regularize the action to make sense of them

# Gaugino actions in 10d

- Real co-dimension one sources:

Non-compact

Horava, Witten '96; Horava '96; Mirabelli, Peskin '97

Compact

- Real co-dimension two sources:

Non-compact

Hamada, Hebecker, Shiu, PS '18

Compact

# Gaugino actions in 10d

(co-dimension one)

- Divergences from localized co-dimension one sources and their resolutions have been long known Horava, Witten '96; Horava '96
- 5d toy model with bulk flux  $G_1=d\phi$  and sources localized at  $y=0$  Mirabelli, Peskin '97

$$S = - \int_{M_5} G_1 \wedge * G_1 - 2 G_1 \wedge * \lambda \lambda \delta(y) dy$$

Divergences arise upon solving for  $\phi$ :

$$G_1 = \lambda \lambda \delta(y) dy + G_1^{(0)} \quad \implies \quad S \rightarrow (\lambda \lambda)^2 \delta(0) + \dots$$

Need to regularise the action by including  $(\lambda \lambda)^2$  counterterms, in turn required by SUSY

Derendinger, Ibañez, Nilles '85; Dine, Rohm, Seiberg, Witten '85; ...

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$$S = - \int_{M_5} (G_1 - \lambda \delta(y) dy) \wedge * (G_1 - \lambda \delta(y) dy)$$

**Perfect square:** eom for  $\phi$  unmodified, but finite action.

$$G_1 = \lambda \delta(y) dy + G_1^{(0)} \quad \Longrightarrow \quad S \rightarrow \int_{M_5} G_1^{(0)} \wedge * G_1^{(0)} < \infty$$



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- $G_1^{(0)}$  is a constant (harmonic) piece
- **Bianchi identity**  $dG_1=0$  satisfied since  $d(\delta(y) dy) = 0$
- For a **compact**  $y$ -direction,  $G_1$  is subject to flux quantization:

$$\int_y G_1 = \lambda\lambda + G_y^{(0)} = N \in \mathbb{Z} \quad \Longrightarrow \quad S \rightarrow \int_{M_4} |N - \lambda\lambda|^2$$

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(co-dimension two)

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- Consider the brane transverse space to be parametrized by 'z':

$$S \stackrel{?}{=} - \int_{M_6} \left| G_1 - \lambda \delta(z) d\bar{z} \right|^2$$

This naive attempt fails:  $G_1 \stackrel{?}{=} \lambda \delta(z) d\bar{z} + G_1^{(0)}$

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- Consider the brane transverse space to be parametrized by 'z':

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This naive attempt fails:  $G_1 \stackrel{?}{=} \lambda \delta(z) d\bar{z} + G_1^{(0)} \implies dG_1 \neq 0$

The reason is that the source is not closed:  $d(\delta(z) d\bar{z}) \neq 0$

Non-closed source + Bianchi id.  $\implies$  Infinite action

# Gaugino actions in 10d

(co-dimension two)

- We would like to get a finite action without modifying its perfect square structure (SUSY) nor the  $G_1$  eom.
- Notice: any form can be written as

$$\omega = \alpha + d\beta + d^\dagger \gamma = (\text{harm.}) + (\text{exact}) + (\text{co-exact})$$

Define the projector onto closed forms:  $\mathcal{P}[\omega] = \alpha + d\beta$

- Proposal: the correct action reads

$$S = - \int_{M_6} \left| G_1 - \mathcal{P} [\lambda \lambda \delta(z) d\bar{z}] \right|^2$$

Notice: eom unchanged since:  $\int G_1 \wedge * d^\dagger \gamma = \int dG_1 \wedge * \gamma = 0$

# Gaugino actions in 10d

(co-dimension two)

$$S = - \int_{M_6} \left| G_1 - \mathcal{P} [\lambda \lambda \delta(z) d\bar{z}] \right|^2$$

For **compact** transverse space (say  $T^2$ ):

$$\delta(z) = \partial_z \bar{\partial}_z G_{T^2} + \frac{1}{V_{T^2}} \quad \Longrightarrow \quad \mathcal{P} [\delta(z) d\bar{z}] = d(\partial_z G_{T^2}) + \frac{1}{V_{T^2}}$$

- Solution:

$$G_1 = \lambda \lambda d(\partial_z G_{T^2}) + G_1^{(0)} \quad \Longrightarrow \quad S \rightarrow \int \left| G_1^{(0)} + \frac{\lambda \lambda}{V_{T^2}} \right|^2$$

As usual,  $G_1^{(0)}$  is quantized:  $\int_{S^1} G_1^{(0)} \in \mathbb{Z}$

# Gaugino actions in 10d

(co-dimension two)

- The D7-brane case of interest poses no further obstacle:

Camara, Ibañez, Uranga '04

$$\begin{aligned} S &= - \int_{10} g_s |G_3|^2 - \sqrt{g_s} \bar{\lambda} \lambda \delta_{D7} G_3 \wedge \Omega_3 \\ &\longrightarrow -g_s \int \left| G_3 - \frac{\lambda \lambda}{\sqrt{g_s}} \mathcal{P} [\delta(z) \bar{\Omega}_3] \right|^2 \end{aligned}$$

Upon solving for  $G_3 = d(\dots) + G_3^{(0)}$  :

$$S \longrightarrow -g_s \left| G_3^{(0)} - \frac{\lambda \lambda}{\sqrt{g_s} V_{\perp}} \bar{\Omega}_3 \right|^2$$

This correctly reproduces the appropriate  $g_s$  and Kahler mod. dependence of gaugino terms in the 4d SUGRA action

Hamada, Hebecker, Shiu, PS '18; c.f. Kallosh '19



# Conclusions

- Motivated by previous results (e.g. heterotic / Horava-Witten), we have proposed a 10d action for D7 gauginos that:

Reproduces previously known  $G_3.\lambda$  interactions

Has a perfect square structure (SUSY)

Is finite on-shell

Reproduces standard 4d SUGRA terms upon compactification

Hamada, Hebecker, Shiu, PS '18, c.f. Kallosh '19

**c.f. Hebecker & McAllister talks**

- This proposal can be used to re-examine and back up the KKLT scenario from a 10d perspective.

Hamada, Hebecker, Shiu, PS '19; Carta, Moritz, Westphal '19  
(see however Gautason, Van Hemelryck, Van Riet, Venken '19)

**c.f. Hebecker & Van Riet talks**