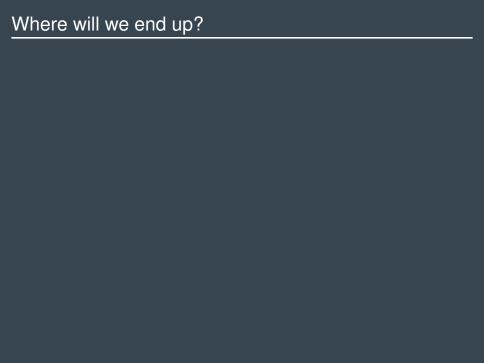
### Index Formulae for Line Bundle Cohomology on Complex Surfaces

### Callum Brodie University of Oxford

Based on 1906.08363, 1906.08730, and 1906.08769 with Andrei Constantin, Rehan Deen, and Andre Lukas

27th of June 2019



#### Where will we end up?

Understand how for many surfaces, e.g. all compact toric,

$$h^0(S, \mathcal{L}) = \chi(\underline{\tilde{\mathcal{L}}}) \ \forall \mathcal{L}$$

#### Where will we end up?

Understand how for many surfaces, e.g. all compact toric,

$$\boxed{h^0(S, \mathcal{L}) = \chi(\underline{\tilde{\mathcal{L}}}) \ \forall \mathcal{L}}$$

And on  $dP_n$  and  $\mathbb{F}_n$  go further: closed-form expression for  $h^0$ .

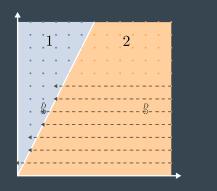
#### Where will we end up?

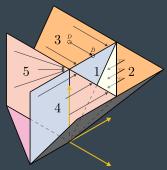
Understand how for many surfaces, e.g. all compact toric,

$$h^0(S, \mathcal{L}) = \chi(\underline{\tilde{\mathcal{L}}}) \ \forall \mathcal{L}$$

And on  $dP_n$  and  $\mathbb{F}_n$  go further: closed-form expression for  $h^0$ .

Understand cohomology structure illustrated in these pictures:





#### Motivation

Andrei's preceding talk motivated understanding formulae for line bundle cohomology, but ...

Why (complex) surfaces?

#### Motivation

Andrei's preceding talk motivated understanding formulae for line bundle cohomology, but . . .

#### Why (complex) surfaces?

- Surfaces are building blocks for CYs (toric, del Pezzos, Hirzebruchs...)
  - ⇒ cohomology directly useful for e.g. model-building

#### Motivation

Andrei's preceding talk motivated understanding formulae for line bundle cohomology, but . . .

#### Why (complex) surfaces?

- Surfaces are building blocks for CYs (toric, del Pezzos, Hirzebruchs...)
  - ⇒ cohomology directly useful for e.g. model-building
- Simpler arena to understand cohomology
  - ⇒ learn about CY<sub>3</sub> cohomology structure too?

Line bundles in one-to-one correspondence with divisors,

$$\mathcal{L} \equiv \mathcal{O}(D)$$
,

up to linear equivalence.

Line bundles in one-to-one correspondence with divisors,

$$\mathcal{L} \equiv \mathcal{O}(D)$$

up to linear equivalence.

Particularly simple connection for zeroth cohomology,

$$h^0(\mathcal{L}) = \dim(|D|_{\mathrm{def}}) + 1.$$

where  $|D|_{\rm def}$  is the space of deformations of D, or more correctly the complete linear system.

Line bundles in one-to-one correspondence with divisors,

$$\mathcal{L} \equiv \mathcal{O}(D)$$

up to linear equivalence.

Particularly simple connection for zeroth cohomology,

$$h^0(\mathcal{L}) = \dim(|D|_{\mathrm{def}}) + 1.$$

where  $|D|_{\text{def}}$  is the space of deformations of D, or more correctly the complete linear system.

Key idea for us: some divisor parts can be rigid - always in complete linear system, don't contribute to deformations.

Dropping rigid pieces doesn't affect deformations, so zeroth cohomology of associated bundle is unaffected,

$$h^0(S, \mathcal{O}_S(D)) = h^0(S, \mathcal{O}_S(D - D_{\text{rigid}})),$$
  
when  $D_{\text{rigid}}$  is a fixed piece in deformations  $|D|_{\text{def}}$ .

Dropping rigid pieces doesn't affect deformations, so zeroth cohomology of associated bundle is unaffected,

$$h^0(S, \mathcal{O}_S(D)) = h^0(S, \mathcal{O}_S(D - D_{\text{rigid}})),$$
  
when  $D_{\text{rigid}}$  is a fixed piece in deformations  $|D|_{\text{def}}$ .

Why is this useful? If we throw away enough rigid pieces, often resulting bundle has simpler cohomology, specifically

$$h^0(S, \mathcal{O}_S(D - D_{\text{rigid}})) \stackrel{\text{often}}{=} \chi(S, \mathcal{O}_S(D - D_{\text{rigid}})).$$

Dropping rigid pieces doesn't affect deformations, so zeroth cohomology of associated bundle is unaffected,

$$h^0(S, \mathcal{O}_S(D)) = h^0(S, \mathcal{O}_S(D - D_{\text{rigid}})),$$
  
when  $D_{\text{rigid}}$  is a fixed piece in deformations  $|D|_{\text{def}}$ .

Why is this useful? If we throw away enough rigid pieces, often resulting bundle has simpler cohomology, specifically

$$h^0(S, \mathcal{O}_S(D - D_{\text{rigid}})) \stackrel{\text{often}}{=} \chi(S, \mathcal{O}_S(D - D_{\text{rigid}})).$$

But this is only useful in practice if we can detect rigid pieces. Happily, rigid pieces can be detected by intersections,

$$D \cdot D_{\text{rigid}} < 0 \quad \Rightarrow \quad D_{\text{rigid}} \subset |D|_{\text{def}}.$$

Intersection theory has negative self-intersections,  $(D^-)^2=0$ . For example exceptional blow-up divisors.

Intersection theory has negative self-intersections,  $(D^-)^2 = 0$ . For example exceptional blow-up divisors.

If a divisor D contains  $D^-$  as a part, then there are negative contributions to intersection  $(D^-) \cdot D$ .

Intersection theory has negative self-intersections,  $(D^-)^2 = 0$ . For example exceptional blow-up divisors.

If a divisor D contains  $D^-$  as a part, then there are negative contributions to intersection  $(D^-) \cdot D$ .

But intersection theory is defined up to equivalence: so doesn't care about individual deformations.

Intersection theory has negative self-intersections,  $(D^-)^2 = 0$ . For example exceptional blow-up divisors.

If a divisor D contains  $D^-$  as a part, then there are negative contributions to intersection  $(D^-) \cdot D$ .

But intersection theory is defined up to equivalence: so doesn't care about individual deformations.

So negative intersection  $(D^-)\cdot D<0$  means every deformation contains the piece  $D^-.$ 

#### General theorems

#### Question:

How many rigid pieces can be detected with intersections?

#### General theorems

#### Theorem

Let D be an effective divisor on a smooth compact complex projective surface S, with associated line bundle  $\mathcal{O}_S(D)$ . Let  $\mathcal{I}$  be the set of irreducible negative self-intersection divisors. Then the following map,

$$D \to \tilde{D} = D - \sum_{C \in \mathcal{I}} \theta(-D \cdot C) \operatorname{ceil}\left(\frac{D \cdot C}{C^2}\right) C$$

where  $\theta$  is the step function, preserves the zeroth cohomology,

$$h^0(S, \mathcal{O}_S(\tilde{D})) = h^0(S, \mathcal{O}_S(D)).$$

#### General theorems

#### **Theorem**

Let D be an effective divisor on a smooth compact complex projective surface S, with associated line bundle  $\mathcal{O}_S(D)$ . Let  $\mathcal{I}$  be the set of irreducible negative self-intersection divisors. Then the following map,

$$D \to \tilde{D} = D - \sum_{C \in \mathcal{I}} \theta(-D \cdot C) \operatorname{ceil}\left(\frac{D \cdot C}{C^2}\right) C$$

where  $\theta$  is the step function, preserves the zeroth cohomology,

$$h^0(S, \mathcal{O}_S(\tilde{D})) = h^0(S, \mathcal{O}_S(D)).$$

#### Corollary

Write  $\underline{\tilde{D}}$  for the divisor that is the result of iterating the map  $D \to \tilde{D}$ , until stabilisation after a finite number of steps. Then  $\underline{\tilde{D}}$  is a nef divisor such that  $h^0(S, \mathcal{O}(D)) = h^0(S, \mathcal{O}(\underline{\tilde{D}}))$ .

Divisor D mapped to new divisor  $\underline{\tilde{D}}$ :  $D \to \tilde{D} \to \ldots \to \underline{\tilde{D}}$ .

Divisor D mapped to new divisor  $\underline{\tilde{D}}$ :  $D \to \tilde{D} \to \ldots \to \underline{\tilde{D}}$ .

If higher cohomologies vanish for  $\underline{\tilde{D}}$ , then  $h^0$  reduces to index computation (simpler, topological),

if 
$$h^1(S, \mathcal{O}_S(\underline{\tilde{D}})) = h^2(S, \mathcal{O}_S(\underline{\tilde{D}})) = 0$$
,  
then  $h^0(S, \mathcal{O}_S(D)) = h^0(S, \mathcal{O}_S(\underline{\tilde{D}})) = \chi(S, \mathcal{O}_S(\underline{\tilde{D}}))$ .

Divisor D mapped to new divisor  $\underline{\tilde{D}}$ :  $D \to \tilde{D} \to \ldots \to \underline{\tilde{D}}$ .

If higher cohomologies vanish for  $\underline{\tilde{D}}$ , then  $h^0$  reduces to index computation (simpler, topological),

if 
$$h^1(S, \mathcal{O}_S(\underline{\tilde{D}})) = h^2(S, \mathcal{O}_S(\underline{\tilde{D}})) = 0$$
,  
then  $h^0(S, \mathcal{O}_S(D)) = h^0(S, \mathcal{O}_S(\underline{\tilde{D}})) = \chi(S, \mathcal{O}_S(\underline{\tilde{D}}))$ .

Can we make general statements on vanishing for  $\underline{\tilde{D}}$ ? Yes when there are vanishing theorems.

#### Corollary

If a vanishing theorem ensures that  $h^q(S,\mathcal{L})=0$  for q>0 for all nef bundles  $\mathcal{L}$ , then all zeroth cohomology is given by an index,

$$h^0(S, \mathcal{O}_S(D)) = \chi(S, \mathcal{O}_S(\underline{\tilde{D}}))$$

#### Example: Compact toric surfaces

#### Example: Compact toric surfaces

For the important class of compact toric surfaces there is a powerful vanishing theorem (Demazure) for nef bundles.

#### Corollary

Let S be a compact toric surface, and D an effective divisor. Then

$$h^0(S, \mathcal{O}(D)) = \chi(\underline{\tilde{D}}),$$

where the divisor  $\underline{\tilde{D}}$  is obtained from D by iterating the shifts  $D \to \tilde{D}$ .

#### Single-shift cases

#### Single-shift cases

If there is a vanishing theorem for the nef cone, and  $D \to \tilde{D} \to \ldots \to \underline{\tilde{D}}$  stabilises after one step, then we have an immediate closed-form expression for  $h^0$ .

#### Single-shift cases

If there is a vanishing theorem for the nef cone, and  $D \to \tilde{D} \to \ldots \to \underline{\tilde{D}}$  stabilises after one step, then we have an immediate closed-form expression for  $h^0$ .

#### Corollary

If  $\tilde{D}$  is always nef and  $h^q(S_S, \mathcal{O}_S(\underline{\tilde{D}})) = 0$  for q > 0 for all  $\tilde{D}$ , then for effective D we have the closed-form expression,

$$h^0(S, \mathcal{O}_S(D)) = \chi \left( D - \sum_{C \in \mathcal{I}} \theta(-D \cdot C) \operatorname{ceil} \left( \frac{D \cdot C}{C^2} \right) C \right).$$

Surprisingly, there are many interesting examples of such surfaces, including Hirzebruch and del Pezzo surfaces.

#### Example: Hirzebruch surfaces $\mathbb{F}_n$

Vanishing theorem for nef bundles  $\checkmark$  (Demazure) Shift  $D \to \tilde{D}$  terminates in one step  $\checkmark$ 

#### Example: Hirzebruch surfaces $\mathbb{F}_n$

Vanishing theorem for nef bundles  $\checkmark$  (Demazure) Shift  $D \to \tilde{D}$  terminates in one step  $\checkmark$ 

$$h^{0}\left(\mathbb{F}_{n}, \mathcal{O}_{\mathbb{F}_{n}}(D)\right) = \chi\left(D - \theta(-D \cdot C)\operatorname{ceil}\left(\frac{D \cdot C}{C^{2}}\right)C\right).$$

where  ${\it C}$  is the unique negative self-intersection curve.

#### Example: Hirzebruch surfaces $\mathbb{F}_n$

Vanishing theorem for nef bundles  $\checkmark$  (Demazure) Shift  $D \to \tilde{D}$  terminates in one step  $\checkmark$ 

$$h^{0}\left(\mathbb{F}_{n}, \mathcal{O}_{\mathbb{F}_{n}}(D)\right) = \chi\left(D - \theta(-D \cdot C)\operatorname{ceil}\left(\frac{D \cdot C}{C^{2}}\right)C\right).$$

where C is the unique negative self-intersection curve.

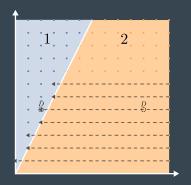


Figure shows situation for  $\mathbb{F}_2$  (2d Picard lattice)

Region 1 is the nef cone

#### Example: del Pezzo surfaces $dP_n$

Vanishing theorem for nef bundles  $\checkmark$  (Kawamata-Viehweg) Shift  $D \to \tilde{D}$  terminates in one step  $\checkmark$ 

#### Example: del Pezzo surfaces $dP_n$

Vanishing theorem for nef bundles  $\checkmark$  (Kawamata-Viehweg) Shift  $D \to \tilde{D}$  terminates in one step  $\checkmark$ 

$$h^{0}\left(\mathrm{dP}_{n},\mathcal{O}_{\mathrm{dP}_{n}}(D)\right) = \chi\left(D + \sum_{C_{i}} \theta(-D \cdot C_{i})\left(D \cdot C_{i}\right)C_{i}\right),$$

where  $C_i$  are the exceptional curves.

#### Example: del Pezzo surfaces $dP_n$

Vanishing theorem for nef bundles  $\checkmark$  (Kawamata-Viehweg) Shift  $D \to \tilde{D}$  terminates in one step  $\checkmark$ 

$$h^{0}\left(\mathrm{dP}_{n},\mathcal{O}_{\mathrm{dP}_{n}}(D)\right) = \chi\left(D + \sum_{C_{i}} \theta(-D \cdot C_{i})\left(D \cdot C_{i}\right)C_{i}\right),$$

where  $C_i$  are the exceptional curves.

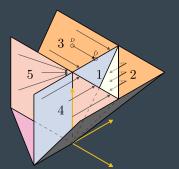


Figure shows situation for  $dP_2$  (3d Picard lattice)

Region 1 is the nef cone

#### Results

- Understanding of structure for  $h^0$  for surfaces.
- For compact toric surfaces: algorithm to get  $h^0$  as index.
- For  $dP_n$  and  $\mathbb{F}_n$ : closed-form index formulae for  $h^0$ .

#### Results

- Understanding of structure for  $h^0$  for surfaces.
- For compact toric surfaces: algorithm to get  $h^0$  as index.
- For  $dP_n$  and  $\mathbb{F}_n$ : closed-form index formulae for  $h^0$ .

#### **Applications**

- Use surfaces as building blocks for CY<sub>3</sub> and lift h<sup>0</sup> to CY<sub>3</sub>.
   ⇒ e.g. proof of formulae for all h<sup>0</sup> for many elliptic CY<sub>3</sub>.
- Can reverse-engineer rigid divisors from cohomology. (See Andre's plenary talk.)

#### Results

- Understanding of structure for  $h^0$  for surfaces.
- For compact toric surfaces: algorithm to get  $h^0$  as index.
- For  $dP_n$  and  $\mathbb{F}_n$ : closed-form index formulae for  $h^0$ .

#### **Applications**

- Use surfaces as building blocks for CY<sub>3</sub> and lift h<sup>0</sup> to CY<sub>3</sub>.
   ⇒ e.g. proof of formulae for all h<sup>0</sup> for many elliptic CY<sub>3</sub>.
- Can reverse-engineer rigid divisors from cohomology. (See Andre's plenary talk.)

#### Extensions

- Extend to other surfaces? K3, general type, ...
- Extend proofs to higher dimensions? 3-folds, 4-folds, ...
- Extend results to higher cohomologies?  $h^1, h^2, \dots$

### Thank you for your attention