



# Stochastic inflation beyond slow roll

Chris Pattison (Portsmouth, UK)

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In collaboration with David Wands, Vincent Vennin and Hooshyar Assadullahi  
COSMO-19, Aachen, 2nd September 2019

1707.00537  
1806.09553  
1905.06300

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# Inflation and Slow-roll

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# Slow-roll inflation

Classical equation of motion for inflaton is

$$\ddot{\phi} + 3\dot{\phi} + V'(\phi) = 0:$$

Assuming field is potential dominated (plus de Sitter), so

$$\ddot{\phi} \approx -V'(\phi);$$

is **slow roll**.

Then eom simplifies to

$$-3\dot{\phi} \approx \frac{V'(\phi)}{V(\phi)}:$$

# Stochastic Approach

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Stochastic inflation ([Starobinsky, 1986](#)) treats quantum fluctuations as white noise, .

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Split the inflaton field into two parts,

$$\phi = \bar{\phi} + \delta\phi:$$

$\bar{\phi}$  is the coarse-grained field (locally FLRW), and  $\delta\phi$  contains all short-wavelength modes.

Plug split field into eom, and to linear order in the short-wavelength parts of the fields, we have the Langevin equation

$$\frac{\partial \phi}{\partial t} = \frac{\partial^2 \phi}{\partial x^2} + \frac{\partial^2 \phi}{\partial y^2} \quad (0) :$$



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$$\frac{\partial \langle \phi \rangle}{\partial t} = \frac{\delta \mathcal{H}}{\delta \phi} + \frac{\delta \mathcal{H}}{\delta \phi^2} \langle \phi^2 \rangle : \quad (1)$$

is a source function related to the short-wavelength parts of the fields, where  $\langle \phi \rangle = 0$ ,  $\langle \phi^2 \rangle = \langle \phi \phi \rangle$ ,  $\langle \phi^3 \rangle = 0$ , and  $\langle \phi^4 \rangle = \frac{3}{2} \langle \phi^2 \rangle^2$  ( )

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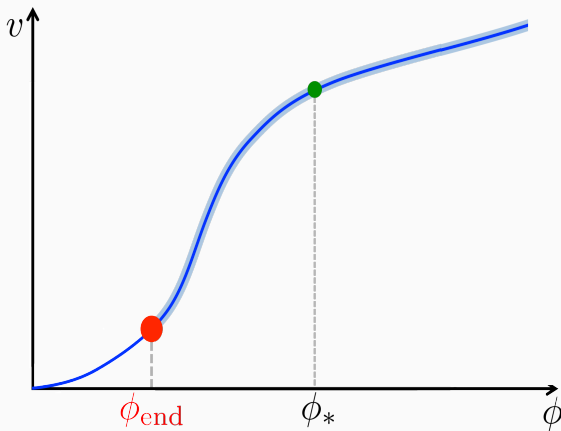
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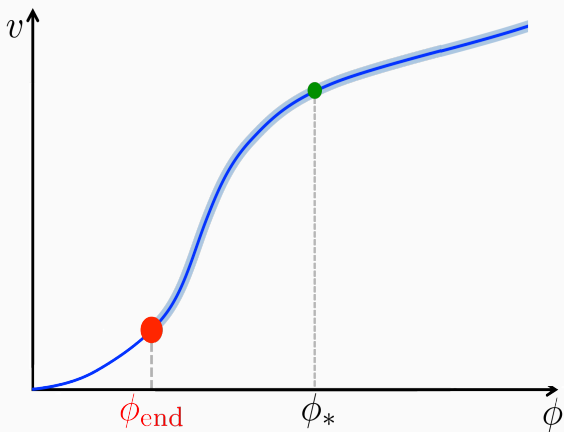
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We interpret these equations as **classical and stochastic**, rather than quantum, including viewing  $\tilde{\phantom{a}}$  as a noise term.

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**Important note for later:** The amplitude of the noise is determined by  $h^{-2}i$ , which is usually calculated in the **spatially-flat gauge**.

What can we use stochastic inflation for?

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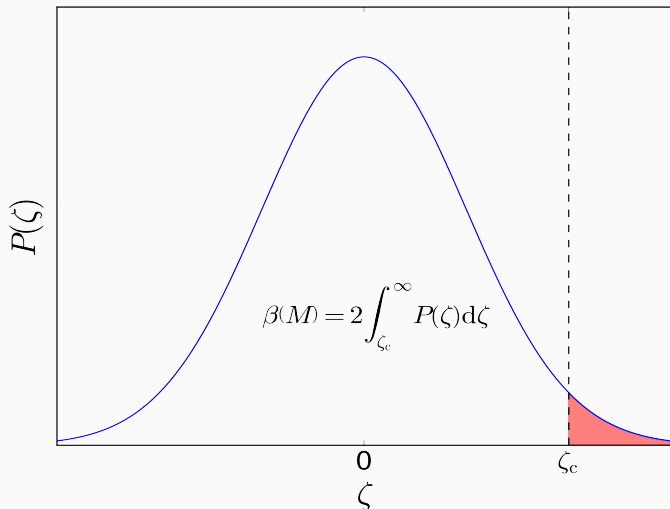


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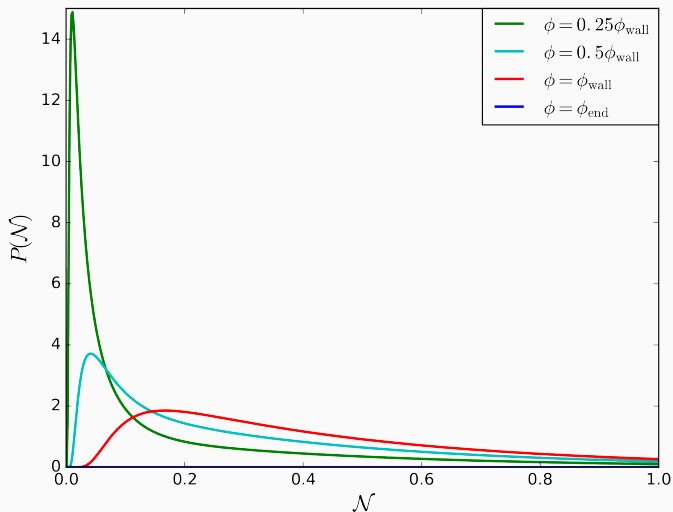
- Large density fluctuations during inflation can collapse to form PBHs.
- Such large fluctuations need a non-perturbative approach - the  $\epsilon$  formalism.
- We use stochastic-  $\epsilon$  to study how likely PBHs are to form ([Pattison et al, 2017](#)).

## Gaussian Example

Typically assumed curvature perturbations have Gaussian distribution.

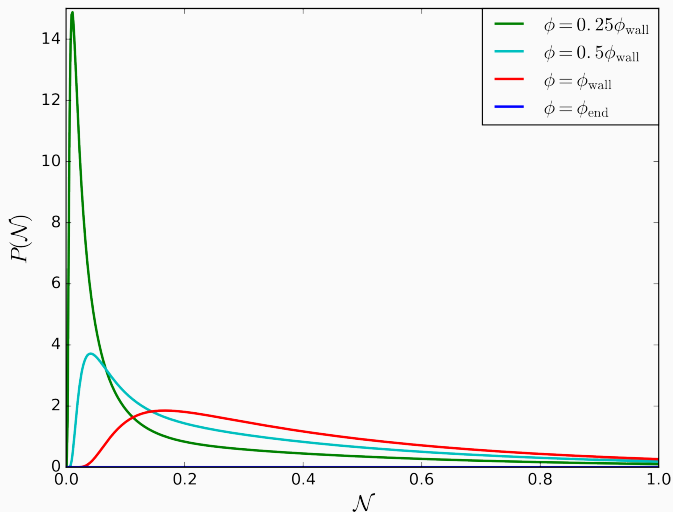


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## Beyond slow roll

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# Slow-roll violation

- Many models that produce PBHs also violate slow-roll!
- This means stochastic formalism needs to be extended to include these situations.
- Need to check that stochastic is a valid formalism beyond slow roll ([Pattison et al, 2019](#)).

~~Slow roll~~

# Requirements of stochastic inflation

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- In other cases such as ultra slow-roll - which can be stable and long-lived ([Pattison et al, 2018](#)) - this gauge correction could be large
- We calculate the gauge correction explicitly in common examples and show it is always small

## Summary

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# Summary

- Stochastic inflation models quantum fluctuations during inflation, using classical equations
- It is sensitive to large-scale quantum kicks, coming from new modes exiting the horizon
- The quantum effects can be important for astrophysical objects such as PBHs
- Although slow-roll is often useful, formalism needs to be extended beyond this, for example in models that produce PBHs

Questions?

# Uniform expansion?

Separate universe if safe, but we used spatially flat gauge to show this.

Stochastic inflation implicitly uses the **uniform expansion gauge** in it's Langevin equation

$$\frac{\partial \phi}{\partial t} = \frac{\partial \phi}{\partial t} + \frac{\delta \phi}{2} \quad (0) ;$$



Amplitude of the noise is  $\propto \omega^{-2}$ , so if there is a gauge transformation from SF to UE, then this needs to be corrected.

The perturbation  $\delta H$  transforms as

$$! \quad | \{Z\} \rangle_{\text{UE}} = | \{Z\} \rangle_{\text{SF}} + \frac{\partial}{\partial \theta} | \{Z\} \rangle_{\text{transf.}}$$

If we solve for general  $\theta$ , we find

$$= \frac{1}{3H} \int_0^Z ( \theta ) \exp \left[ -\frac{2}{3} \int_0^Z \theta \right], \frac{\partial \theta}{H(\theta)} \theta^0$$

## Consistency check: slow roll

It is well known that in slow roll the spatially flat and uniform expansion gauges coincide.

Let us check we reproduce this result!

We find  $\delta\lambda_{\text{eff}} \neq 0$ , so  $\delta\lambda_{\text{eff}}$  should vanish as slow roll is an attractor.

Solving for  $\delta\lambda_{\text{eff}}$ , we see

$$\delta\lambda_{\text{eff}} = \left(\frac{1}{6}\right)^3;$$

or the corrected field fluctuation is

$$\delta\lambda_{\text{eff}} = \frac{1}{6} \left(\frac{1}{6}\right)^2$$

so in the large scale limit  $k \rightarrow 0$ .

# Ultra-slow roll

Take the case of  $\theta = 0$  in

$$\ddot{\phi} + 3\dot{\phi} = 0:$$

This is “ultra-slow-roll” inflation.

USR isn't necessarily an attractor (but can be, [Pattison et al, 2018](#)), so there is no reason,  $\epsilon \ll 1$ , that  $\eta$  or  $\delta$  should be small.

Turning the handle, we find

$$\epsilon_{\text{rp}} \approx \left(\frac{m_{\text{pl}}}{m_{\text{eff}}}\right)^4 \neq 0;$$
$$\epsilon_{\text{B}} = \epsilon_{\text{rG}} \left(1 + \frac{1}{3}\epsilon_{\text{rp}}\right)^6$$

In fact,  $\epsilon_{\text{B}} \neq 0$  even faster in USR than SR.

# Starobinsky model (Starobinsky, 1992)

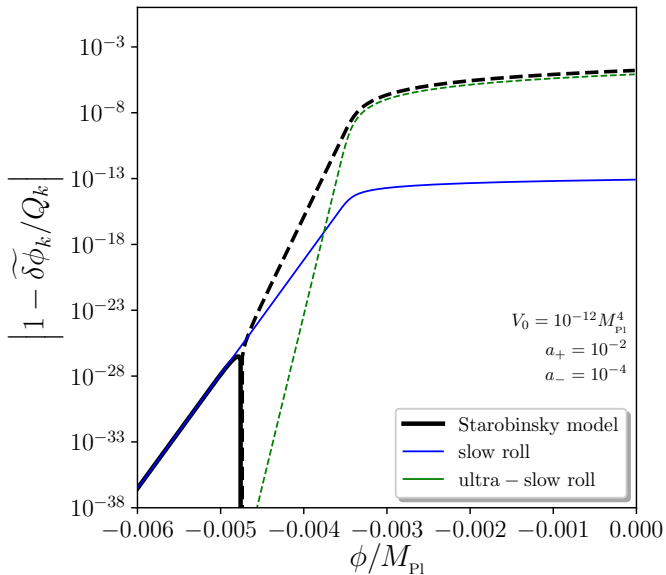
This is a model made of two linear parts

$$\begin{aligned}
 \epsilon &< 0 & 1 + \frac{2}{3} \frac{H^2}{M_{\text{Pl}}^2} &> 0 \\
 \epsilon &> 0 & 1 + \frac{2}{3} \frac{H^2}{M_{\text{Pl}}^2} &< 0
 \end{aligned}$$

and we assume  $\epsilon = +1$ .

Then this model interpolates between slow roll and USR, with a transition phase in between.

We can again calculate the gauge corrections here, and it is always small!





Field:  $= \dots + s$ .

Coarse-grained field contains all wavelengths that are much larger than the Hubble radius ( $\dots \sim \dots$ , where  $\dots > 1$ ).

Acts as a shifting background, continually absorbing new long-wavelength modes as they exit the horizon.

Field:  $\phi = \phi_s + \phi_p$ .

Coarse-grained field  $\phi_s$  contains all wavelengths that are much larger than the Hubble radius ( $\lambda \gg r_H$ , where  $r_H = c/H$ ).

Acts as a shifting background, continually absorbing new long-wavelength modes as they exit the horizon.

Short-wavelength parts of the fields,  $\phi_p$  are

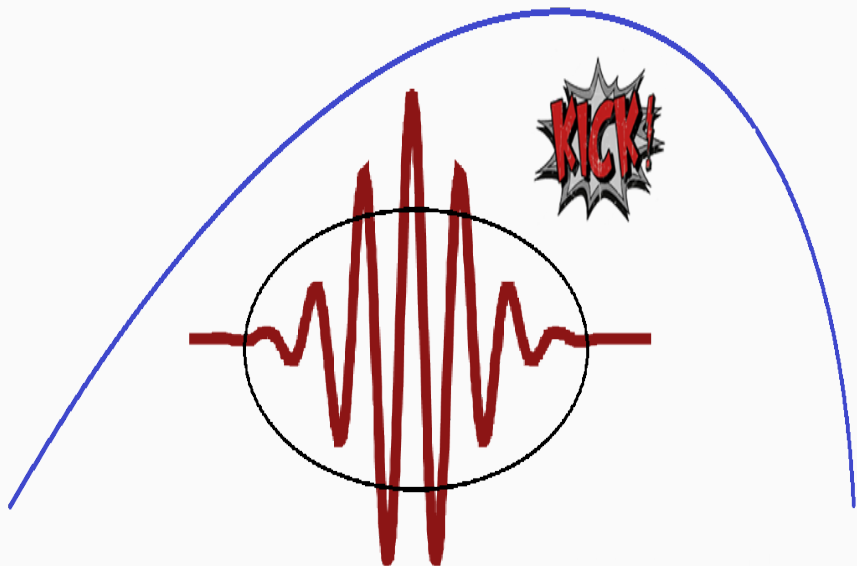
$$\phi_p = \int_{R^3} \frac{d^3k}{(2\pi)^3} \frac{1}{\sqrt{2k}} \left[ a_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{x}} + a_{\mathbf{k}}^\dagger e^{-i\mathbf{k}\cdot\mathbf{x}} \right]$$

$\chi(k)$  is a window function with  $\chi(k) = 0$  for  $k < k_{\min}$  and  $\chi(k) = 1$  for  $k > k_{\max}$ .

Can we picture where the quantum noise comes from?

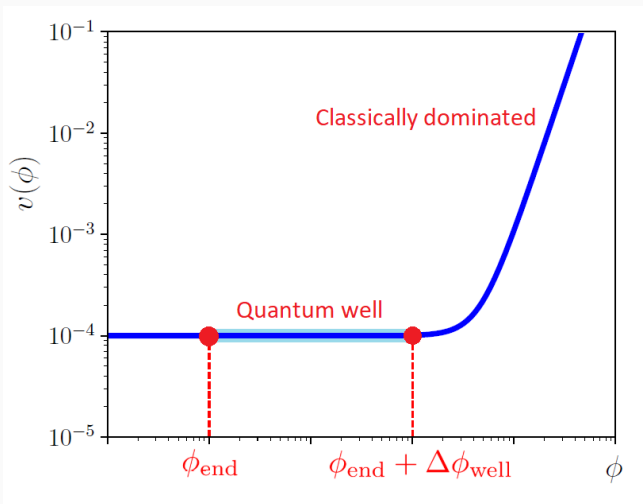
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# Stochastic Limit

Inflationary models that can produce  $\delta > \delta_c$  are well approximated by a flat potential at the end of inflation, so  $v'(\phi) \approx 0$ .

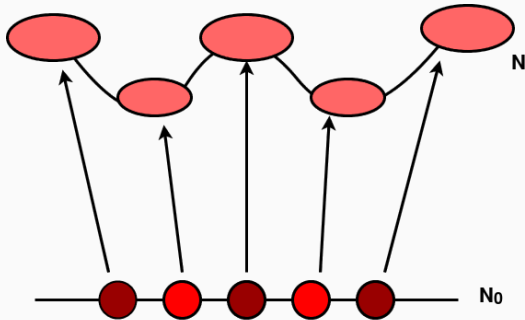


# Separate Universe ([Wands et al, 2000](#)) and $\delta^\circ$

The primordial curvature perturbation is

$$\delta^\circ(\mathbf{x}) = \delta^\circ(\mathbf{x}) - \delta^\circ_0(\mathbf{x}) - \delta^\circ_0;$$

where  $\delta^\circ$  is the local number of  $e$ -folds of inflation, and  $\delta^\circ_0$  is the amount of expansion in an unperturbed universe.



**Figure 1:**  $\delta^\circ_0$  is at a zero curvature surface, final slice is constant density.