

# Phenomenology of TeV-scale scalar Leptoquarks in the EFT

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**Based on:** [arxiv: 1812.03178](#), **JC, Shaouly Bar-Shalom (Technion),  
Amarjit Soni (BNL) & Jose Wudka (UC Riverside)**

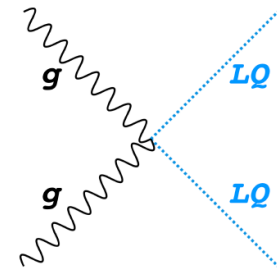
# Outline

- Brief introduction & motivation
- A LQ-SMEFT framework: Effective Field Theory for TeV-scale LQ's
  - Low-energy lepton number violating (LNV) effect in the LQ-SMEFT
    - Neutrino masses
  - New LQ's Collider phenomenology (LNV) in the LQ-SMEFT
    - expectations & "SMOKING GUN" signals @ the LHC13 & beyond
- Summary and final notes

# The LQ paradigm – some basic facts for LHC pheno

- LQ's are colored fields  
⇒ should be copiously (QCD) pair produced @LHC if  $M_{LQ} \sim O(1 \text{ TeV})$

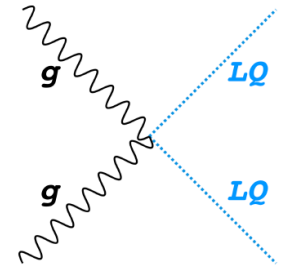
- Typical CSX is:  $\sigma(pp \rightarrow \phi\phi^*) \sim \underline{5(0.01) \text{ [fb]}}$  for  $\underline{M_\phi \sim 1(2) \text{ TeV}}$



# The LQ paradigm – some basic facts for LHC pheno

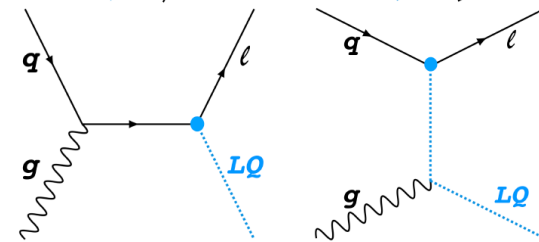
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- Single LQ production via quark-gluon fusion  $qg \rightarrow \phi l$  may also be important if Yukawa-like LQ-quark-lepton couplings are sizable

-e.g., if the LQ is a "1<sup>st</sup> generation scalar LQ";



these channels are however model dependent !

# The LQ paradigm – some basic facts for LHC pheno

- LQ's decay via:  $\phi \rightarrow q_i e_j, q_i \nu_j$   $[\Gamma \sim (y_{\phi q l})^2 M_\phi / 16\pi]$
- LQ categorized according to their couplings to a lepton-quark pair:  
1<sup>st</sup>, 2<sup>nd</sup> or 3<sup>rd</sup> gen. LQs ...
- $\Rightarrow$  rich and possibly surprising LQ's collider phenomenology:

Model dependent ...

- lepton + light jet + missing ET  $pp \rightarrow l^\pm + j + \cancel{E}_T$
- lepton + 2 light jets + missing ET  $pp \rightarrow l^\pm + 2j + \cancel{E}_T$
- opposite-charged lepton pair + single light jet  $pp \rightarrow l^+ l^- + j$
- opposite-charged lepton pair + 2 light jets  $pp \rightarrow l^+ l^- + 2j$
- b-jet(s) + lepton(s) with or without missing ET (3<sup>rd</sup> gen. LQ)  $pp \rightarrow l/l^\pm + n \cdot j_b + \cancel{E}_T$
- top-quark(s) + lepton(s) with or without missing ET (3<sup>rd</sup> gen. LQ)  $pp \rightarrow l/l^\pm + t/t^\pm + \cancel{E}_T$
- ...

No LQ signal yet; **typical bounds are  $M_\phi > 1$  TeV**, depending on the underlying  $\phi \rightarrow$  quark+lepton decay pattern and/or on the LQ generation (typically lower bounds for 3<sup>rd</sup> gen LQ ...)

# Scalar LQ's $\phi(3,1,-1/3)$ or $\phi(3,1,2/3)$

## Some good reasons for a TeV-scale scalar LQ

### light scalar LQ's + SM Higgs scenarios:

- $\phi(3,1,-1/3)$  + Higgs residing in the same representation; 10 dim multiplet in an SO(10) GUT framework  
Aydemir, Mandel, Mitra, arxiv:1902.08108
- scalar LQ's + Higgs are PNGB's of a composite GUT model

e.g.,

Gripaios, Nardecchia, Renner, JHEP 2015, arxiv:1412.1791

Marzocca, JHEP 2018, arxiv:1803.10972

Da Rold, Lamagna, JHEP 2019, arxiv:1812.08678

## TeV-scale $\phi(3,1,-1/3)$ to address/explain B-anomalies ( $R_{D^{(*)}}$ , $R_{K^{(*)}}$ )

e.g.,

Sakaki, Tanaka, Tayduganov, Watanabe, PRD 2013, arxiv:1309.0301

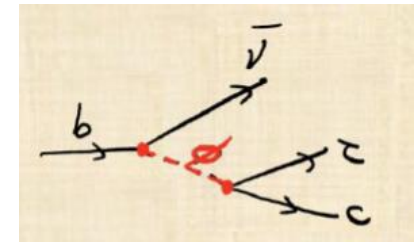
Hiller, Schmaltz, PRD 2014, arxiv:1408.1627

Freytsis, Ligeti, Ruderman, PRD 2015, arxiv:1506.08896

Alonso, Grinstein, Martin, Camalich, JHEP 2015, arxiv:1505.05164

Bauer, Neubert, PRL 2016, arxiv:1511.01900

Mandal, Mitra, Raz, PRD 2019, arxiv:1811.03561



# The renormalizable $\phi$ SM framework:

consider the  $SU(2)$  scalar singlets LQ: "down type":  $\phi(3, 1, -1/3)$   
"up-type":  $\phi(3, 1, 2/3)$

- Yukawa-like interactions (with Baryon  $\neq$  conservation):

$$\mathcal{L}_{Y,\phi} = y_{q\ell}^L \bar{q}^c i\tau_2 \ell \phi^* + y_{ue}^R \bar{u}^c e \phi^* \quad \begin{array}{l} \phi(3, 1, -1/3) \qquad \qquad \qquad \phi(3, 1, 2/3) \\ \text{none!} \end{array}$$

- Scalar interactions:

$$\mathcal{L}_{H,\phi} = |D_\mu \phi|^2 - M_\phi^2 |\phi|^2 + \lambda_\phi |\phi|^4 + \lambda_{\phi H} |\phi|^2 |H|^2$$

$\Rightarrow$  the  $\phi$ SM model:

$$\mathcal{L}_{\phi SM} = \mathcal{L}_{SM} + \mathcal{L}_{Y,\phi} + \mathcal{L}_{H,\phi}$$

# Hybrid EFT's are (quite) common

- Axion models

Jaeckel, Jankowiak, Spannowsky Phys Dark Univ. 2013 arxiv:1212.3620

Bauer, Heiles, Neubert, Thamm Eur. Phys. J. 2019 arxiv:1808.10323

- Fermionic DM

Busoni, De Simone, Gramling, Morgante, Riotto JCAP 2014, arxiv:1402.1275

Fedderke, Chen, Kolb, Wang JHEP 2014, arxiv:1404.2283

- RH neutrinos

del Aguila, Bar-Shalom, Soni, Wudka Phys. Lett. 2009, arxiv:0806.0876



**It is possible that new  
un-explored LQ phenomenology @ TeV-scale energies  
may be “hiding” in the tails of the UV physics**



**Effective Field Theory for “light” LQ’s  
may be important**



**Assume a light LQ to be one of the  
low-energy dof and construct the  
“LQ-SMEFT”**

# The LQ-SMEFT framework for the SU(2)-singlet scalar LQ's $\phi(3,1,-1/3)$ or $\phi(3,1,2/3)$

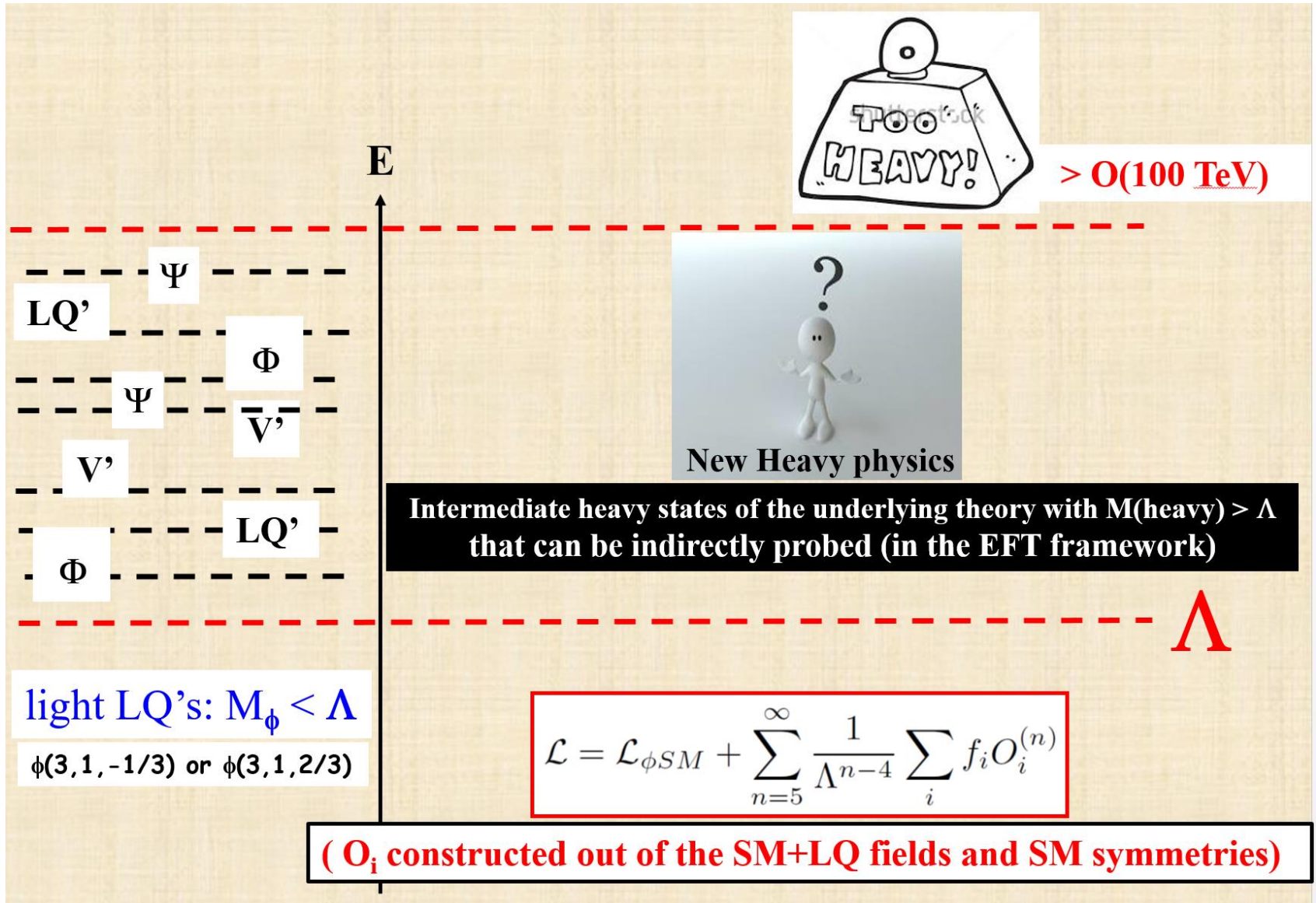
$$\mathcal{L} = \mathcal{L}_{\phi SM} + \sum_{n=5}^{\infty} \frac{1}{\Lambda^{n-4}} \sum_i f_i O_i^{(n)}$$

The higher dim. ( $n > 4$ ) effective ops  $O_i^{(n)}$  are constructed out of the  
**SM + "light" LQ field**

- **Assume:**
  - low-energy d.o.f.  $\subset$  a single TeV-scale "light" LQ

$\phi(3,1,-1/3)$  or  $\phi(3,1,2/3)$

# The LQ-SMEFT - physical picture



## The LQ-SMEFT – the case of $\phi(3,1,-1/3)$

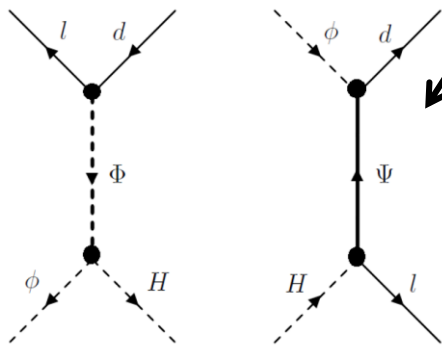
- **dim. 5:** only 2 tree-level generated dim. 5 opts involving  $\phi(3,1,-1/3)$ :  
both violate lepton number by 2 units ...

$$\Delta\mathcal{L}_{\phi SM}^{(5)} = \frac{f_{\ell d\phi H}}{\Lambda_{\ell d\phi H}} \bar{\ell} d \tilde{H} \phi^* + \frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d} d^c \phi^2 + \text{H.c.}$$

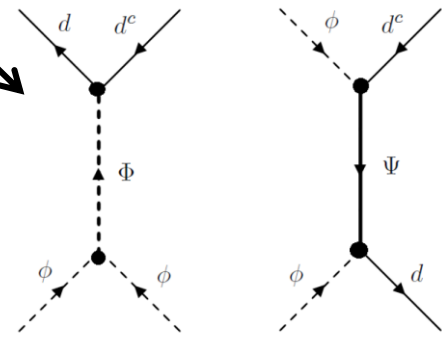
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**Intermediate heavy states**  
 $\Phi = \Phi(3,2,1/6)$   
 $\Psi = \Psi(1,1,0), \Psi(1,3,0), \Psi(3,2,-5/6)$



**Intermediate heavy states**  
 $\Phi = \Phi(6,1,-2/3)$   
 $\Psi = \Psi(1,1,0), \Psi(8,1,0)$

+ the Weinberg operator;

also generated by  $\Psi = \Psi(1,1,0)$  (type I seesaw) and/or  $\Psi(1,3,0)$  (type III seesaw):  $\frac{f_W}{\Lambda_W} \bar{\ell}^c \tilde{H}^* \tilde{H}^\dagger \ell$

# The LQ-SMEFT – the case of $\phi(3, 1, -1/3)$

$$O_i^{(n)} \in \phi^a H^b \psi^c D^d$$

$\phi$  multiplicity

The LQ-SMEFT @ dim. 6

$\phi^6 \Rightarrow$

$$(\phi^* \phi)^3$$

$\phi^4 \Rightarrow$

$\phi^4 H^2$

$\phi^4 D^2$

$$(H^\dagger H) (\phi^* \phi)^2$$

$$|\phi|^2 |D\phi|^2$$

$\phi^3 \Rightarrow$

$\phi^3 \psi^2$

$$|\phi|^2 (\bar{q} l^c \phi), |\phi|^2 (\bar{u} e^c \phi), |\phi|^2 (\bar{q}^c q \phi), |\phi|^2 (\bar{u}^c d \phi)$$

$\phi^2 \Rightarrow$

$\phi^2 \times SM$

$\phi^2 \psi^2 D$

octet  $\phi^* \phi$

$$|\phi|^2 \mathcal{O}_{SM}^4$$

$$\epsilon^{abc} \phi_a (D_\mu \phi)_b \bar{l} \gamma^\mu q_c$$

$$(\phi^\dagger \lambda^a D_\mu \phi) (\bar{q} \lambda^a \gamma^\mu q)$$

$$\epsilon^{abc} \phi_a (D_\mu \phi)_b \bar{e} \gamma^\mu d_c$$

$$(\phi^\dagger \lambda^a \phi) B^{\mu\nu} G_{\mu\nu}^a$$

$\phi \Rightarrow$

$\phi \psi^2 D^2$

$\phi \psi^2 HD$

$\phi \psi^2 H^2$

$$\begin{aligned} D^2 \times \bar{q} q^c \phi^* \\ D^2 \times \bar{q} l^c \phi \\ D^2 \times \bar{d}^c u \phi \\ D^2 \times \bar{u} e^c \phi \end{aligned}$$

$$\begin{aligned} (\bar{q} H) \gamma^\mu u^c D_\mu \phi^\dagger \\ (\bar{q} \tilde{H}) \gamma^\mu d^c D_\mu \phi^\dagger \\ (\bar{q} \tilde{H}) \gamma^\mu e^c D_\mu \phi \\ (\bar{l} H) \gamma^\mu u^c D_\mu \phi \\ (\bar{l} \tilde{H}) \gamma^\mu d^c D_\mu \phi \end{aligned}$$

$$\begin{aligned} |H|^2 \phi^\dagger \bar{q} q^c \\ |H|^2 \phi \bar{q} l^c \\ |H|^2 \phi \bar{d}^c u \\ |H|^2 \phi \bar{u} e^c \\ \phi^\dagger (\bar{q} H) (H^\dagger q^c) \\ \phi (\bar{q} H) (H^\dagger l^c) \end{aligned}$$

e.g.,

$$D^2 \times \bar{q} l^c \phi \rightarrow (\bar{q} D_\mu l^c) D^\mu \phi, (\bar{q} \sigma_{\mu\nu} l^c) B^{\mu\nu} \phi, (\bar{q} \sigma_{\mu\nu} \sigma^I l^c) W_I^{\mu\nu} \phi, (\bar{q} \sigma_{\mu\nu} \lambda^A l^c) G_A^{\mu\nu} \phi$$

This is the complete list of dim.6 opts for  $\phi(3, 1, -1/3)$  ...

# Low-energy $\Delta L=2$ effect from the dim.5 opts

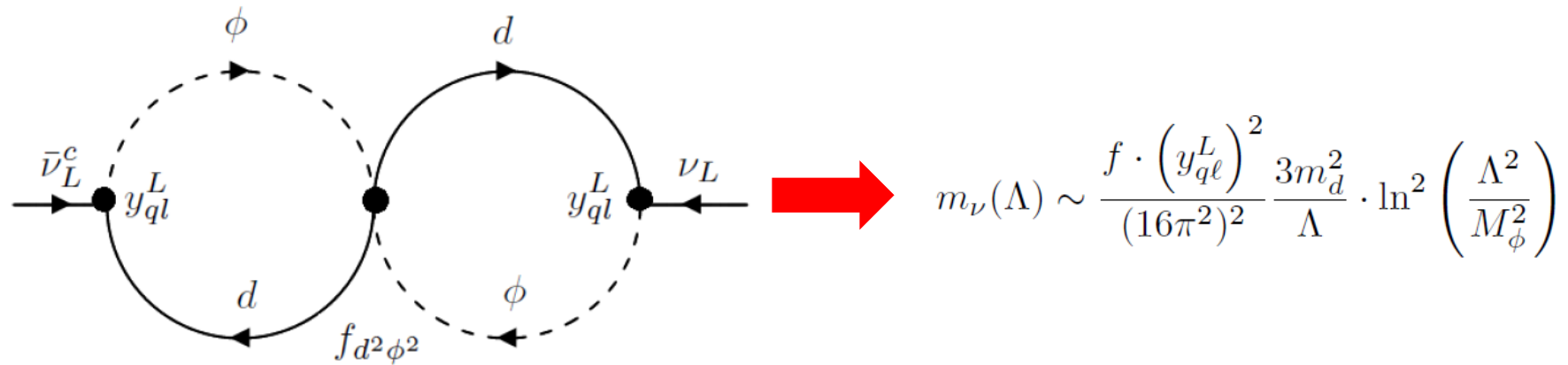
## expectations & constraints

$$\Delta\mathcal{L}_{\phi SM}^{(5)} = \frac{f_{\ell d\phi H}}{\Lambda_{\ell d\phi H}} \bar{\ell} d \tilde{H} \phi^* + \frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d} d^c \phi^2 + \text{H.c.}$$



# Neutrino masses

The operator  $\frac{f d^2 \phi^2}{\Lambda_{d^2 \phi^2}} \bar{d} d^c \phi^2$  contributes only at 2-loop:



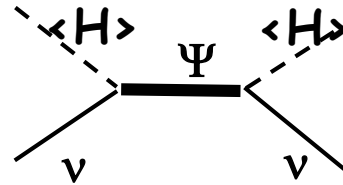
Therefore, no useful bound can be set on  $\Lambda_{d^2 \phi^2}$   
 (d-quark operator still relevant for colliders whereas  
 s and b-quark operators can generate sub-eV neutrino  
 mass)



# Neutrino masses

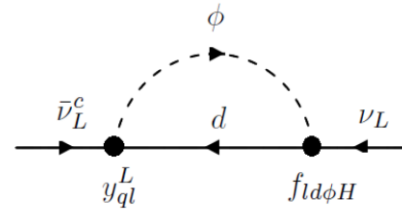
$$\frac{f_W}{\Lambda_W} \bar{\ell}^c \tilde{H}^* \tilde{H}^\dagger \ell$$

Tree-level



$$\frac{f_{\ell d \phi H}}{\Lambda_{\ell d \phi H}} \bar{\ell} d \tilde{H} \phi^*$$

1-loop



$$m_\nu < eV$$



both opts severely suppressed

→ We are left with a single class of viable dim.5 opts for TeV-scale physics

$$\phi(3, 1, -1/3)$$

$$\frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d} d^c \phi^2$$

O(1) Wilson coefficients & a TeV-scale cutoff:

$$M_\phi < \Lambda_{d^2\phi^2} \sim O(\text{few TeV}) \text{ \& } f_{d^2\phi^2} \sim O(1)$$

Consider also the up-type  $\phi(3,1,2/3)$ : **4 possible dim.5 opts**

$$\Delta\mathcal{L}_{\phi SM}^{(5)} = \frac{f_{\ell u\phi H}}{\Lambda_{\ell u\phi H}} \bar{\ell} u \tilde{H} \phi^* + \frac{f_{\ell d\phi H}}{\Lambda_{\ell d\phi H}} \bar{\ell} d H \phi^* + \frac{f_{qe\phi H}}{\Lambda_{qe\phi H}} \bar{q} e H \phi + \frac{f_{u^2\phi^2}}{\Lambda_{u^2\phi^2}} \bar{u} u^c \phi^2 + \text{H.c.}$$


Similar importance for  $\phi(3,1,2/3)$  production @LHC:


$$\frac{f_{u^2\phi^2}}{\Lambda_{u^2\phi^2}} \bar{u} u^c \phi^2$$

also not constrained:  $M_\phi < \Lambda_{u^2\phi^2} \sim \text{O(few TeV)} \ \& \ f_{u^2\phi^2} \sim \text{O}(1)$

# signals of the LQ-SMEFT paradigm

New & surprising LNV ( $\Delta L=2$ ) LQ collider signatures @LHC13 & beyond


$$\frac{f_{u^2} \phi^2}{\Lambda_{u^2} \phi^2} \bar{u} u^c \phi^2$$


$$\frac{f_{d^2} \phi^2}{\Lambda_{d^2} \phi^2} \bar{d} d^c \phi^2$$

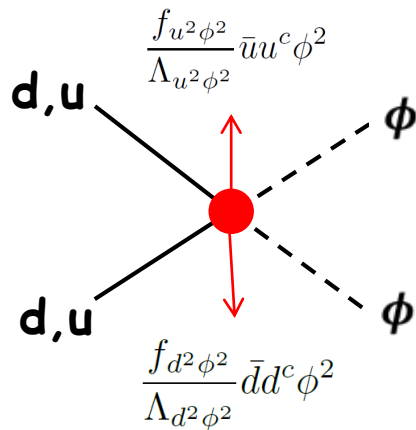
CSX's calculated with MadGraph5;

producing a dedicated UFO model for the LQ-SMEFT framework (using FeynRules ...)

**Assume 3<sup>rd</sup> generation LQ's**

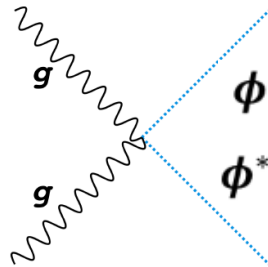
(couple dominantly to 3<sup>rd</sup> gen. lepton-quark pairs)

**$d^2\phi^2$  &  $u^2\phi^2$  ops effects  $\phi\phi$  pair production:**



$$\hat{\sigma}(pp_{(qq)} \rightarrow \phi\phi) = \frac{f_{q^2\phi^2}^2}{\Lambda_{q^2\phi^2}^2} \cdot \frac{\left(1 - \frac{4M_\phi^2}{\hat{s}}\right)^{1/2}}{12\pi}$$

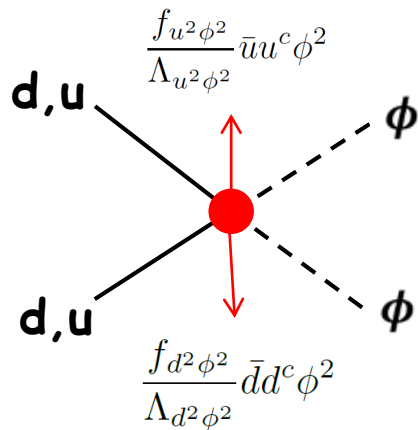
**same-charge  $\phi\phi$  production**



QCD production:  $\hat{\sigma}(pp_{(gg,qq)} \rightarrow \phi\phi^*) \propto \frac{1}{\hat{s}}$

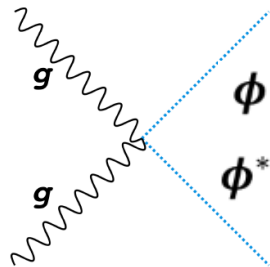
**opposite-charged  $\phi\phi^*$  production**

# $d^2\phi^2$ & $u^2\phi^2$ opts effects $\phi\phi$ pair production:



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same-charge  $\phi\phi$  production

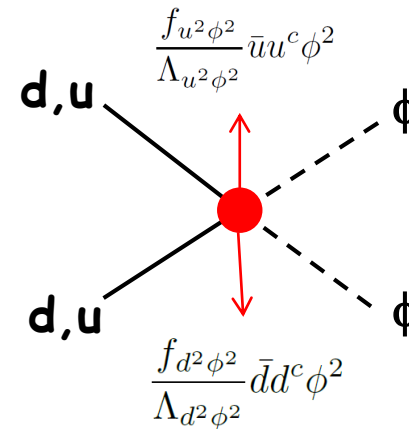
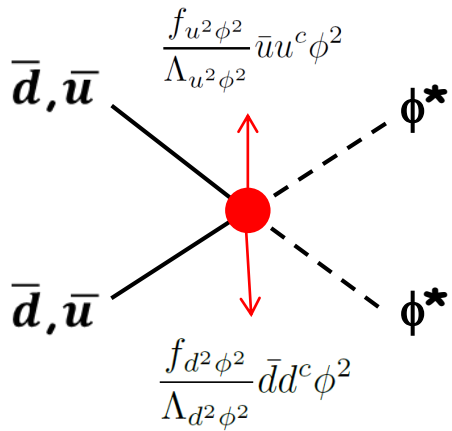


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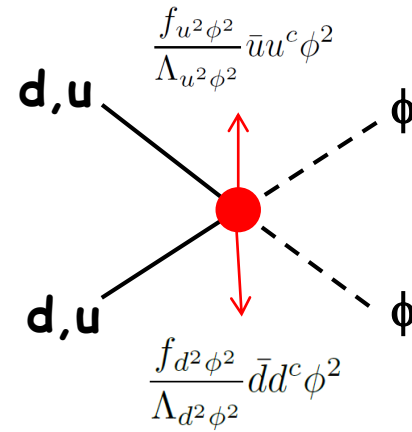
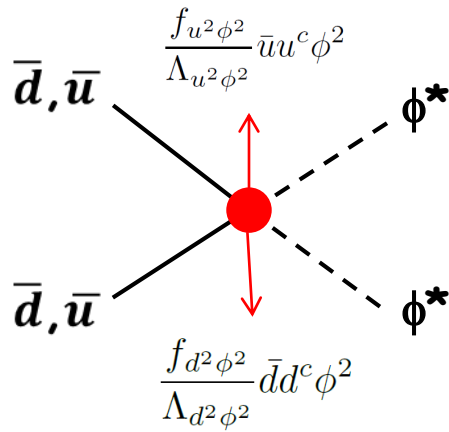
expectations with NP scale  $\Lambda=5$  TeV @LHC13

	LQ-SMEFT (dim.5: $\Lambda=5$ TeV)		$L_{\phi\text{SM}}$ (dim. 4)
	$d^2\phi^2: pp_{(dd)} \rightarrow \phi\phi$	$u^2\phi^2: pp_{(uu)} \rightarrow \phi\phi$	QCD: $pp_{(gg,qq)} \rightarrow \phi\phi^*$
$\sigma(M_\phi=1 \text{ TeV})$	14 fb	77 fb	3 fb
$\sigma(M_\phi=2 \text{ TeV})$	0.3 fb	3 fb	0.005 fb



$$\hat{\sigma}(pp_{(qq)} \rightarrow \phi\phi) \gg \hat{\sigma}(pp_{(\bar{q}q)} \rightarrow \phi^*\phi^*)$$

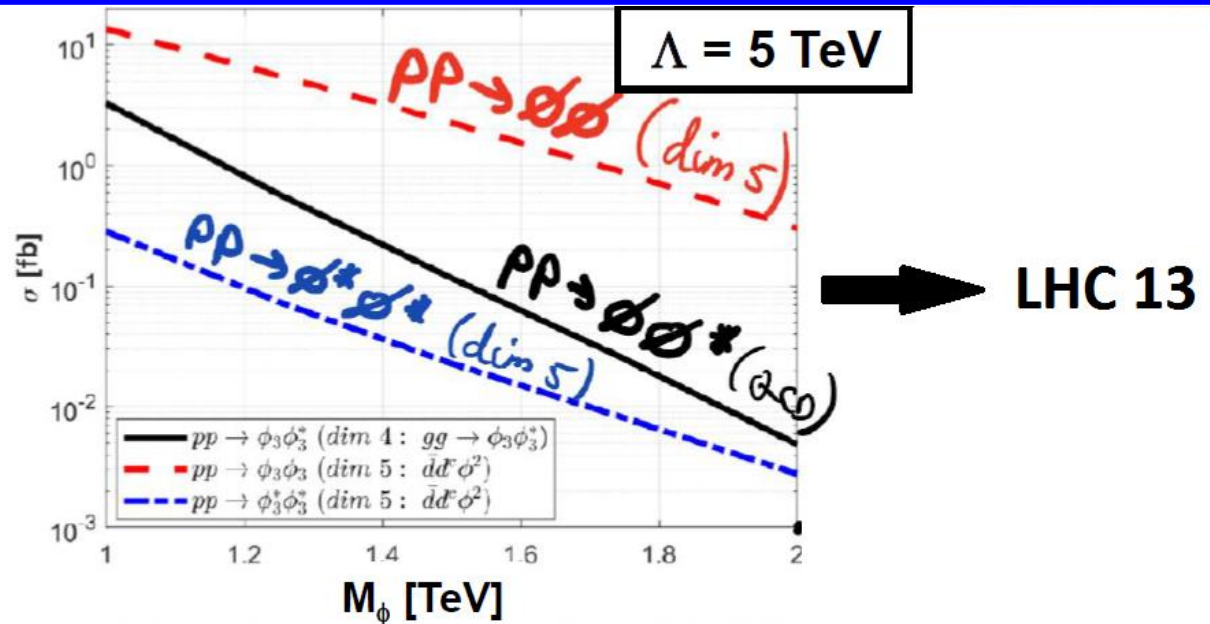
**Asymmetric  $\phi\phi$  vs  $\phi^*\phi^*$  prod (enhanced  $dd/uu$  pdf's ...)**



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**Asymmetric  $\phi\phi$  vs  $\phi^*\phi^*$  prod (enhanced  $dd/uu$  pdf's ...)**

For "down type" LQ:



# LNV signatures from same-charge $\phi\phi$ pair production:

When the LQ decays to 3<sup>rd</sup> gen fermions:

(note: decay of  $\phi(3,1,2/3) \rightarrow$  quark+lepton are pure EFT ...)

LQ-SMEFT (dim.5)	
3 <sup>rd</sup> gen $\phi(3,1,-1/3)$	3 <sup>rd</sup> gen $\phi(3,1,2/3)$
$d^2\phi^2: pp_{(dd)} \rightarrow \phi\phi$	$u^2\phi^2: pp_{(uu)} \rightarrow \phi\phi$
$\phi\phi \rightarrow tt\tau^-\tau^-$	$\phi\phi \rightarrow tt + \cancel{E}_\tau$
	$\phi\phi \rightarrow \tau^+\tau^+ + 2 \cdot j_b$



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	$\phi\phi \rightarrow \tau^+\tau^+ + 2\cdot j_b$

Same-charge  $\phi\phi$  production yields same-sign lepton pairs!  
In contrast to the conventional QCD LQ pair production signals:

$L_{\phi SM}$ (dim. 4)
3 <sup>rd</sup> gen $\phi(3,1,-1/3)$ or $\phi(3,1,2/3)$
<b>QCD:</b> $pp_{(gg,qq)} \rightarrow \phi\phi^*$
$\phi\phi^* \rightarrow \bar{t}t\tau^+\tau^-, \bar{t}t+\cancel{E}_T$
$\phi\phi^* \rightarrow \tau^+\tau^- + 2\cdot j_b, 2\cdot j_b + \cancel{E}_T$
$\phi\phi^* \rightarrow t\tau^+/t\tau^- + j_b + \cancel{E}_T$

## LNV signatures from same-charge $\phi\phi$ pair production:

LQ-SMEFT (dim.5)	
3 <sup>rd</sup> gen $\phi(3,1,-1/3)$	3 <sup>rd</sup> gen $\phi(3,1,2/3)$
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$\phi\phi \rightarrow tt\tau^-\tau^-$	$\phi\phi \rightarrow tt+\cancel{e}_\tau$
	$\phi\phi \rightarrow \tau^+\tau^++2\cdot j_b$

- Typical rates at 13 TeV LHC with 300 fb<sup>-1</sup> (after top-decays ...):

$M_\phi=1$  TeV &  $\Lambda=5$  TeV

- 5000 positively charged  $\tau^+\tau^+$  events via  $pp \rightarrow \phi\phi \rightarrow \tau^+\tau^+ + 2\cdot j_b$
- 500 negatively charged  $\tau^-\tau^-$  events via  $pp \rightarrow \phi\phi \rightarrow \tau^-\tau^- + 2\cdot j_b + 4\cdot j$
- 50 positively charged  $l^+l^+$  events via  $pp \rightarrow \phi\phi \rightarrow l^+l^+ + 2\cdot j_b + \cancel{e}_\tau$

Much smaller rate for corresponding opposite-sign lepton pair events !

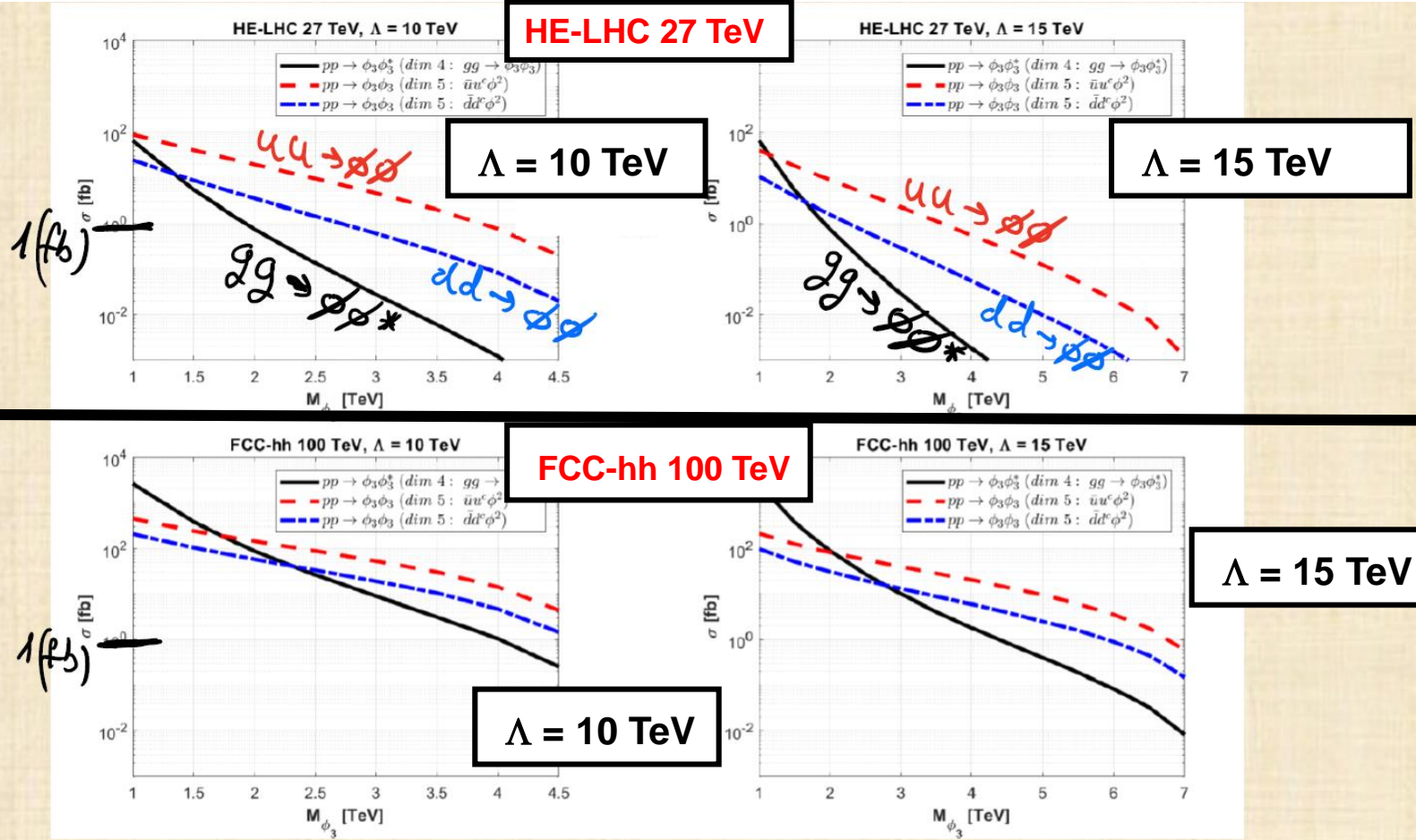
Unfortunately, LHC13 can be sensitive to these same-charge  $\phi\phi$  signals only up to  $M_\phi \sim 1\text{-}2\text{ TeV}$

*CSX( $\phi\phi$ ) drops sharply with  $M_\phi$  @LHC13 due to the limited phase-space*



***$\phi\phi$  pair production @ higher energy colliders***

# $\phi\phi$ pair production @ higher energy colliders



Future higher-energy hadron colliders will be sensitive to the LQ-SMEFT dynamics up to LQ masses of  $M_{\phi} \sim 5$  TeV & NP scale of  $\Lambda \sim 15$  TeV i.e., with CSX's for same-sign charged leptons larger than  $\sigma \sim O(1$  fb)

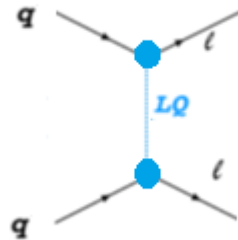
# Conclusion

- Introducing the LQ-SMEFT framework:
  - LQ assumed to be one of the “light” fields
  - leading effects from several new  $\Delta L=2$  dim.5 effective opts (beyond the well known higher scale Weinberg opt):
    - new LNV same-sign lepton pair signals @multi-TeV colliders
    - 2-loop Majorana Neutrino masses from NP scales  $\Lambda \sim O(5 \text{ TeV})$
- “smoking gun” same-sign lepton pair signals at the LHC13 with asymmetric double-charge rates and CSX's much larger than the “standard” opposite-sign lepton pair signals
- Sensitivities up to  $M(\text{LQ}) \sim 2 \text{ TeV}$  @LHC13 [ $\Lambda \sim 5 \text{ TeV}$ ]  
&  $M(\text{LQ}) \sim 5 \text{ TeV}$  @FCC-hh100 [ $\Lambda \sim 15 \text{ TeV}$ ]

# Backups & more slides

## The large yukawa coupling case $y \gtrsim O(1)$

- In addition to single LQ prod. can also have t-channel LQ exchanges:



which are important for large yukawa coupling  $y \gtrsim O(1)$   
and can give better access to the large LQ mass regime (where LQ pair production is phase-space suppressed).

up-type LQ  $\phi(3,1,2/3)$   
@ renormalizable level

- Yukawa-like interactions of this type only contain the term:

$$y_{d^i d^j}^R \bar{d}_R^{ci} d_R^j \phi$$

Which violates baryon #, and in the presence of higher dim operators may mediate proton decay.



Assume this coupling is vanishingly small



**LQ-SMEFT with  $\phi(3,1,-1/3)$  &  $\phi(3,1,2/3)$   
@dim.5**

$$\frac{f_W}{\Lambda_W} \bar{\ell}^c \tilde{H}^* \tilde{H}^\dagger \ell$$

**dim.5 SM fields: Weinberg opt**

$$\Delta\mathcal{L}_{\phi SM}^{(5)} = \frac{f_{\ell d\phi H}}{\Lambda_{\ell d\phi H}} \bar{\ell} d \tilde{H} \phi^* + \frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d} d^c \phi^2 + \text{H.c.}$$

**dim.5  $\phi(3,1,2/3)$  opts**

$$\Delta\mathcal{L}_{\phi SM}^{(5)} = \frac{f_{\ell u\phi H}}{\Lambda_{\ell u\phi H}} \bar{\ell} u \tilde{H} \phi^* + \frac{f_{\ell d\phi H}}{\Lambda_{\ell d\phi H}} \bar{\ell} d H \phi^* + \frac{f_{qe\phi H}}{\Lambda_{qe\phi H}} \bar{q} e H \phi + \frac{f_{u^2\phi^2}}{\Lambda_{u^2\phi^2}} \bar{u} u^c \phi^2 + \text{H.c.}$$

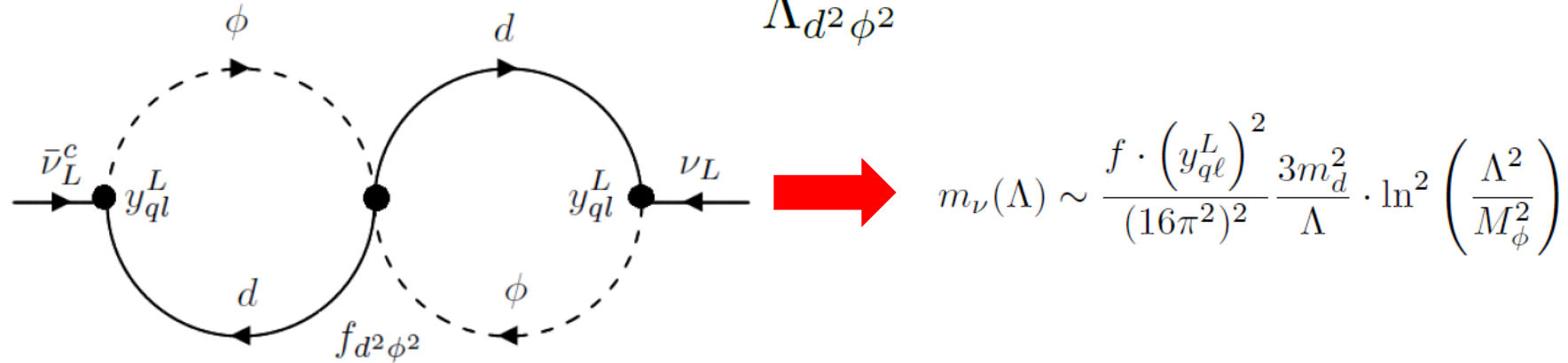
**dim.5  $\phi(3,1,-1/3)$  opts**

● If both up & down-type  $SU(2)$  singlet scalar LQ's are included as light DOF's then we obtain four more dim.5 opts:

$$\bar{q} \ell^c \phi_d^* \phi_u^*, \bar{u} e^c \phi_d^* \phi_u^*, \bar{q} q^c \phi_d \phi_u \text{ and } \bar{d} u^c \phi_d \phi_u$$

# Neutrino masses

The 2-loop  $\frac{f d^2 \phi^2}{\Lambda d^2 \phi^2} \bar{d} d^c \phi^2$  contribution:

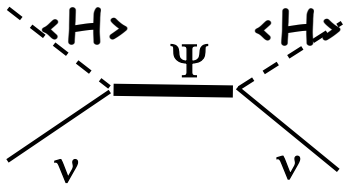


- d-quark: 2-loop neutrino mass is too small  $m_\nu \sim 10^{-4}$  eV for  $f d^2 \phi^2 \cdot (y_{d\nu}^L)^2 \sim \mathcal{O}(1)$ , therefore no useful bound can be set on  $\bar{d} d^c \phi^2$ .
- s-quark: resulting mass consistent with oscillation data  $m_\nu \sim$  eV for a NP scale of several TeV and  $f s^2 \phi^2 \cdot (y_{s\nu}^L)^2 \sim \mathcal{O}(1)$  therefore no useful bound can be put on  $\bar{s} s^c \phi^2$
- b-quark: We obtain  $m_\nu \sim$  KeV for  $f b^2 \phi^2 \cdot (y_{b\nu}^L)^2 \sim \mathcal{O}(1)$  and a NP scale of several TeV. Therefore either  $\Lambda_{b^2 \phi^2} \sim \mathcal{O}(1000)$  TeV or  $f b^2 \phi^2 \cdot (y_{b\nu}^L)^2 \sim \mathcal{O}(10^{-3})$

# Neutrino masses

$$\frac{f_W}{\Lambda_W} \bar{\ell}^c \tilde{H}^* \tilde{H}^\dagger \ell$$

Tree-level



$$m_\nu(\Lambda) \sim f_W \cdot \frac{v^2}{\Lambda_W}$$

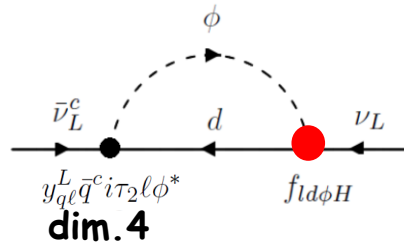
$$m_\nu < eV$$

both opts severely suppressed

e.g., if  $\phi$  is a 3<sup>rd</sup> gen LQ with  $y_{q\ell}^L \sim O(1)$  then  $f_{ld\phi H} < O(10^{-6})$  for  $\Lambda \sim O(1 \text{ TeV})$

$$\frac{f_{ld\phi H}}{\Lambda_{ld\phi H}} \bar{\ell} d \tilde{H} \phi^*$$

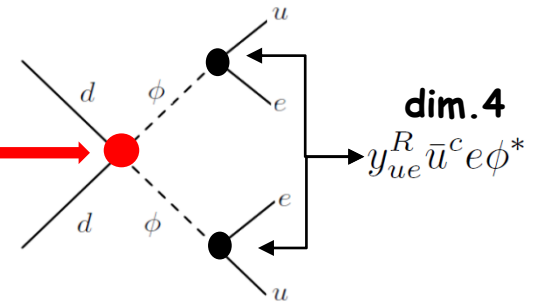
1-loop



$$m_\nu(\Lambda) \sim \frac{3m_d}{16\pi^2} \frac{f \cdot y_{q\ell}^L}{\sqrt{2}} \frac{v}{\Lambda} \ln \left( \frac{\Lambda^2}{M_\phi^2} \right)$$

# $\nu$ -less $2\beta$ ( $0\nu\beta\beta$ ) decay

$$\frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d} d^c \phi^2$$



$$\frac{\Lambda_{d^2\phi^2}}{\text{TeV}} \gtrsim 150 \cdot \frac{f_{d^2\phi^2} \cdot |y_{ue}^R|^2}{(M_\phi/\text{TeV})^4}$$

no useful bound  
if  $\phi$  is a 3<sup>rd</sup> gen LQ with  
 $y_{ue}^R \ll 1$

i.e., if  $y_{ue}^R \sim 0.1$  or smaller,  
then  $M_\phi < \Lambda \sim O(\text{few TeV})$  &  $f_{d^2\phi^2} \sim O(1)$   
are allowed ...

# Neutrino masses

The heavy fermionic state  $\Psi(1,1,0)$  can generate all dim. 5 opt's:

$$\frac{f_W}{\Lambda_W} \bar{\ell}^c \tilde{H}^* \tilde{H}^\dagger \ell \quad \frac{f_{\ell d \phi H}}{\Lambda_{\ell d \phi H}} \bar{\ell} d \tilde{H} \phi^* \quad \frac{f_{d^2 \phi^2}}{\Lambda_{d^2 \phi^2}} \bar{d} d^c \phi^2$$

Therefore, there are several scenarios that do not require small couplings:

1.  $\Psi(1,1,0)$  generates all dim. 5 opt's: Weinberg,  $\ell d \phi H$  and  $d^2 \phi^2$  with a typical scale of  $M_\Psi \sim \mathcal{O}(10^{14} \text{ GeV})$ . In this case,  $m_\nu < eV$  is generated @ tree-level via type I seesaw by the Weinberg opt whereas the 1-loop and 2-loop contributions from  $\ell d \phi H$  and  $d^2 \phi^2$  respectively are negligible.
2.  $\Psi(1,3,0)$  generates both Weinberg and  $\ell d \phi H$  while  $d^2 \phi^2$  is generated by a different mediator. Then, with  $M_\Psi \sim \mathcal{O}(10^{14} \text{ GeV})$ ,  $m_\nu < eV$  is generated at tree-level through the type I or III seesaw by the Weinberg opt, and the 1-loop contribution from the dim.5 opt  $\ell d \phi H$  is subdominant.
3. The Weinberg opt is not relevant to neutrino masses (there are no heavy states that generate it ...). In this case  $m_\nu < eV$  may still be generated @ 1-loop or 2-loop through the dim.5 opt  $\ell d \phi H$  or  $d^2 \phi^2$  respectively (rather than through a seesaw ...), if these opt's are generated @ tree-level by other heavy mediators.

# Assume 3<sup>rd</sup> generation LQ's

(couple dominantly to 3<sup>rd</sup> gen. lepton-quark pairs)

- easy to construct: with a  $Z_3$  generation symmetry,  
under which the physical SM fermions transform as:

$$\psi^k \rightarrow e^{i\alpha(\psi^k)\tau_3} \psi^k, \quad \tau_3 \equiv 2\pi/3$$

$$\alpha(\psi^k) = Z_3 \text{ charges}$$

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- A simple example:
  - fermion charges:  $\alpha(\psi^k)=k$ ,  $k$ =generation index
  - LQ charge:  $\alpha(\phi)=3$

For 3<sup>rd</sup> gen  $\phi(3,1,-1/3)$ :

$$\mathcal{L}_{Y,\phi_3} \approx y_{q_3\ell_3}^L (\bar{t}_L^c \tau_L + \bar{b}_L^c \nu_{\tau L}) \phi^* + y_{u_3e_3}^R \bar{t}_R^c \tau_R \phi^* + \text{H.c.}$$

$Z_3$  gen. symmetry is exact in the limit of a diagonal CKM;  $Z_3$ -breaking  $\sim$  off-diagonal CKM ...

it is broken in the underlying heavy theory  $\Rightarrow$  proportional to  $v^2/\Lambda^2 \ll O(1)$  ...

# Breaking the $Z_3$ generation symmetry @ $E > \Lambda$

## In the SM sector

Generation breaking in the SM can be traced to the higher dim opts involving the Higgs and fermion fields: e.g., off-diagonal Yukawa couplings from the dim.6 opts:

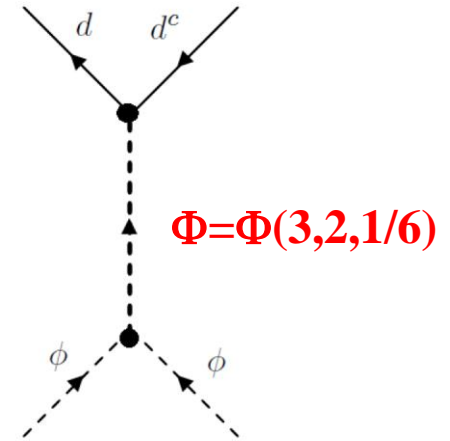
$$\Delta\mathcal{L}_{qH} = \frac{H^\dagger H}{\Lambda^2} \cdot \left( f_{uH} \bar{q}_L \tilde{H} u_R + f_{dH} \bar{q}_L H d_R \right) + h.c.$$



If e.g.,  $\Lambda \sim 1.5, 3$  or  $5$  TeV and  $f_{uH, dH} \sim \mathcal{O}(1)$ , then the Resulting effective Yukawa couplings:

$Y_{\text{eff}} = f_{qH} \cdot v^2/\Lambda^2 \sim \mathcal{O}(y_b), \mathcal{O}(y_c)$  or  $\mathcal{O}(y_s)$ , respectively ...

## In the LQ sector



If  $\Phi(3,2,1/6)$  couples to 1<sup>st</sup> and/or 2<sup>nd</sup> generation down-quarks &  $\phi$  is a 3<sup>rd</sup> gen LQ, then the  $Z_3$  gen symmetry is broken and the scale of gen breaking is  $M_{\Phi(3,2,1/6)}$ ;  
 $\Phi(3,2,1/6)$  is the mediator of generation breaking ...

The gen breaking effect is  $\sim g_{\Phi dd} \cdot g_{\Phi \phi\phi} / M_\Phi$

Matching to the EFT framework:  $g_{\Phi dd} \cdot g_{\Phi \phi\phi} \rightarrow f_{d2\phi^2}, M_\Phi \rightarrow \Lambda_{d2\phi^2}$

$$\frac{f_{d^2\phi^2}}{\Lambda_{d^2\phi^2}} \bar{d} d^c \phi^2$$



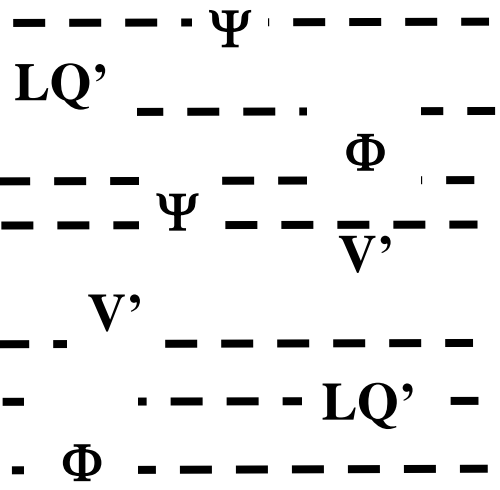
> O(100 TeV)

E



New Heavy physics

Intermediate heavy states of the underlying theory with  $M(\text{heavy}) > \Lambda$  that can be indirectly probed (in the EFT framework)



light LQ's:  $M_\phi < \Lambda$

$\phi(3, 1, -1/3)$  or  $\phi(3, 1, 2/3)$

$\Lambda$

$$\mathcal{L} = \mathcal{L}_{\phi SM} + \sum_{n=5}^{\infty} \frac{1}{\Lambda^{n-4}} \sum_i f_i O_i^{(n)}$$

(  $O_i$  constructed out of the SM+LQ fields and SM symmetries)



## Constructing the EFT

- Quantum number specification:

$$(SU(3), SU(2), U(1))$$

- Scalars:

$$H : \text{SM scalar isodoublet} \sim (1, 2, 1/2)$$

$$\tilde{H} : \text{SM scalar isodoublet} \sim (1, 2, -1/2)$$

$$\phi : \text{leptoquark} \sim (3, 1, -1/3)$$

- Fermions

left-handed

right-handed

$$l \sim (1, 2, -1/2)$$

$$l^c \sim (1, 2, 1/2)$$

$$q \sim (3, 2, 1/6)$$

$$q^c \sim (\bar{3}, 2, -1/6)$$

$$u^c \sim (\bar{3}, 1, -2/3)$$

$$u \sim (3, 1, 2/3)$$

$$d^c \sim (\bar{3}, 1, 1/3)$$

$$d \sim (3, 1, -1/3)$$

$$e^c \sim (1, 1, 1)$$

$$e \sim (1, 1, -1)$$

**Dim 5 and dim 6 operator expansions validated with Mathematica package:**

**B. Henning, X. Lu, T. Melia, H. Murayama, JHEP 1708**

**(2017) 016, arXiv:1512.03433**

# LNV signatures from same-charge $\phi\phi$ pair production:

When the LQ decays to 3<sup>rd</sup> gen fermions:

(note: decay of  $\phi(3,1,2/3) \rightarrow$  quark+lepton are pure EFT ...)

LQ-SMEFT (dim.5)		$L_{\phi SM}$ (dim. 4)
3 <sup>rd</sup> gen $\phi(3,1,-1/3)$	3 <sup>rd</sup> gen $\phi(3,1,2/3)$	3 <sup>rd</sup> gen $\phi(3,1,-1/3)$ or $\phi(3,1,2/3)$
$d^2\phi^2: pp_{(dd)} \rightarrow \phi\phi$	$u^2\phi^2: pp_{(uu)} \rightarrow \phi\phi$	<b>QCD:</b> $pp_{(gg,qq)} \rightarrow \phi\phi^*$
$\phi\phi \rightarrow tt\tau^-\tau^-$	$\phi\phi \rightarrow tt+\cancel{E}_T$	$\phi\phi^* \rightarrow \bar{t}t\tau^+\tau^-, \bar{t}t+\cancel{E}_T$
$\phi\phi \rightarrow 2\cdot j_b+\cancel{E}_T$	$\phi\phi \rightarrow \tau^+\tau^++2\cdot j_b$	$\phi\phi^* \rightarrow \tau^+\tau^-+2\cdot j_b, 2\cdot j_b+\cancel{E}_T$
$\phi\phi \rightarrow t\tau^-+j_b+\cancel{E}_T$	$\phi\phi \rightarrow t\tau^++j_b+\cancel{E}_T$	$\phi\phi^* \rightarrow t\tau^+/t\tau^-+j_b+\cancel{E}_T$

## Extra handle for these LQ-SMEFT signals

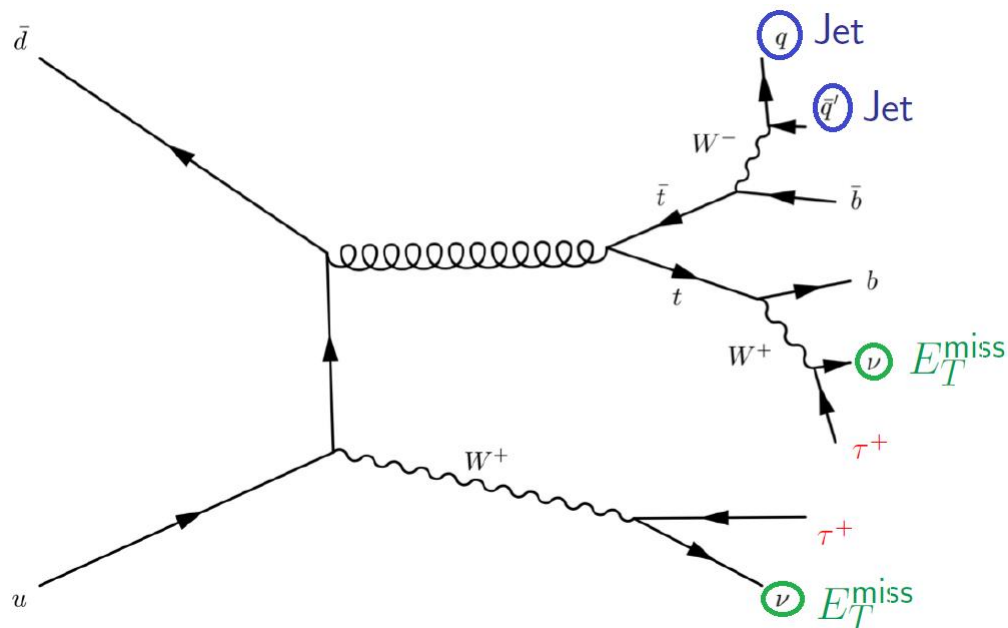
- Asymmetric same-sign lepton production, e.g. :  

$$N(\underbrace{tt\tau^-\tau^-}_{\phi(3,1,-1/3)}) \gg N(\underbrace{\bar{t}\bar{t}\tau^+\tau^+}_{\phi(3,1,2/3)}), N(\tau^+\tau^+ + 2\cdot j_b) \gg N(\tau^-\tau^- + 2\cdot j_b)$$
- $\Rightarrow$  Useful double-charge asymmetries with no irreducible backg, e.g.,

$$A_{\tau\tau} \equiv \frac{|\sigma(pp \rightarrow \tau^-\tau^- + X_j) - \sigma(pp \rightarrow \tau^+\tau^+ + X_j)|}{\sigma(pp \rightarrow \tau^-\tau^- + X_j) + \sigma(pp \rightarrow \tau^+\tau^+ + X_j)} \sim 1$$

$X_j$  = any accompanying jet

# Same-sign dilepton SM BG



- Main SM BG necessarily has  $\cancel{E}_T$
- Additional BG from fake/misidentified leptons is subdominant
- Can also have higher jet-multiplicities mimicking signals with  $\cancel{E}_T$
- Focus on same-sign signals without  $\cancel{E}_T$
- SM BG is controlled via “no MET” veto
- Signal yields prompt and well isolated same-sign leptons (emanate from TeV-scale particles)