

The KM3NeT Neutrino Experiment

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PHYSICS



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Overview

- Introductory remarks
- Neutrino astronomy: Where are we?
- KM3NeT: Design and prospects
- KM3NeT: Status
- Neutrino telescopes and neutrino physics

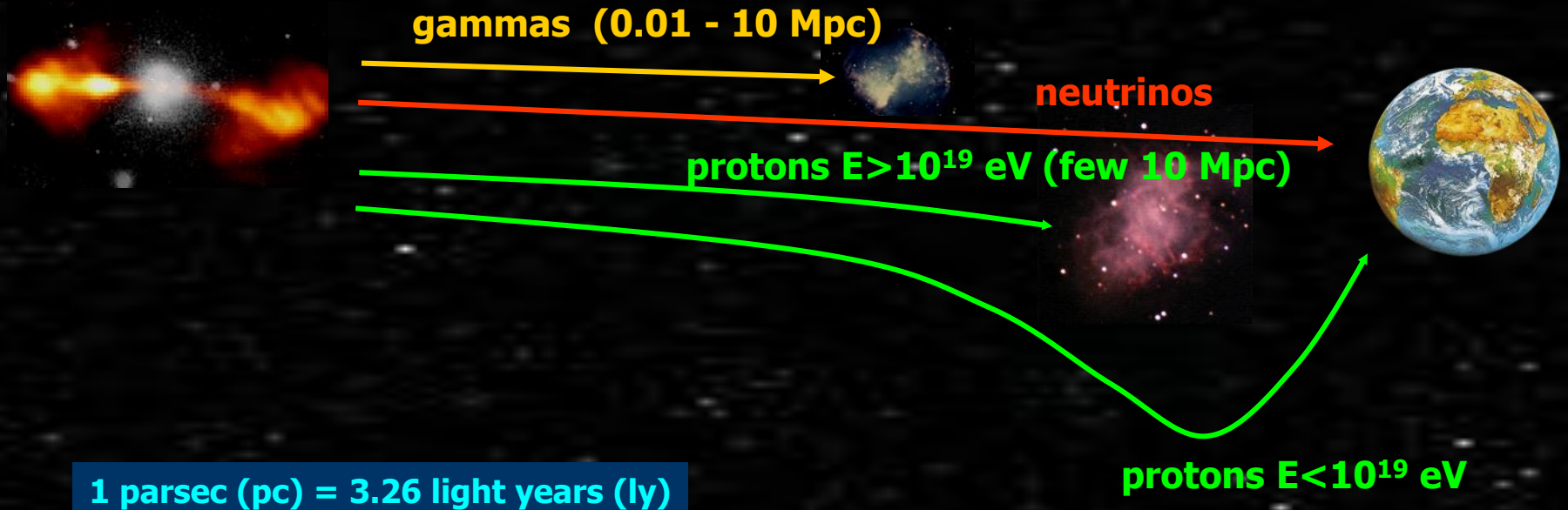


Neutrino Astronomy

High-energy particle propagation in Universe



Cosmic accelerator

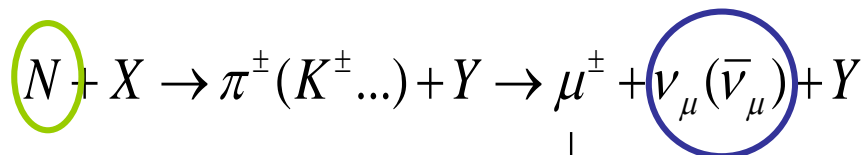


Photons: absorbed on dust and radiation;

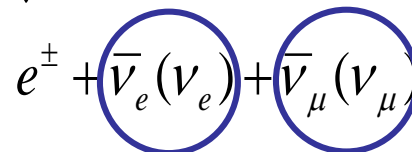
Protons/nuclei: deviated by magnetic fields, reactions with radiation (CMB)

Neutrino production mechanism

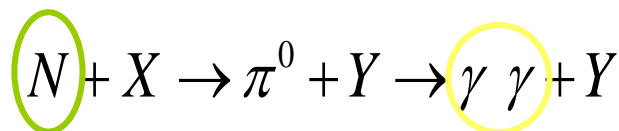
- Neutrinos are produced in the interaction of high energy nucleons with matter or radiation:



Cosmic rays

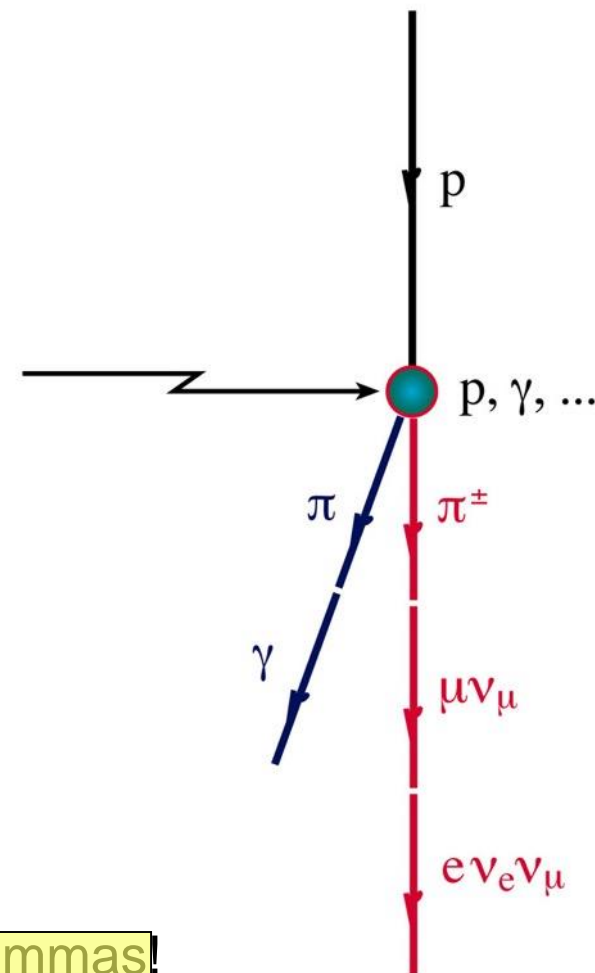


- Simultaneously, gamma production takes place:



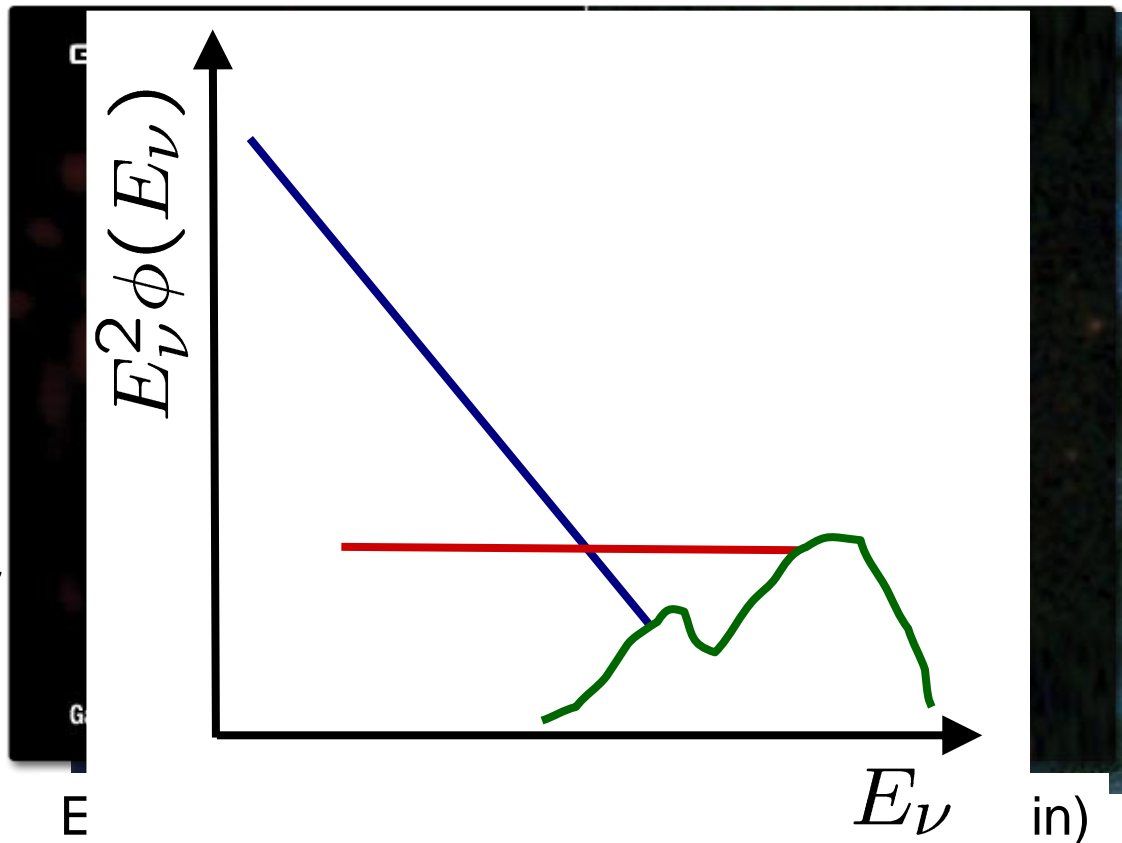
Cosmic rays

- Cosmic ray acceleration yields neutrinos and gammas!
- ... but gammas also from purely leptonic processes



Example targets of ν astronomy

- Galactic neutrino sources
- Extragalactic sources
- Transient sources
- Diffuse neutrino flux
- Neutrinos from Dark Matter annihilations
- Particle physics with atmospheric neutrinos
- Search for exotics (monopoles, nuclearites,...)



Isotropic high-energy neutrino flux above atmospheric neutrino background from unresolved astrophysical sources or of cosmogenic origin (GZK)

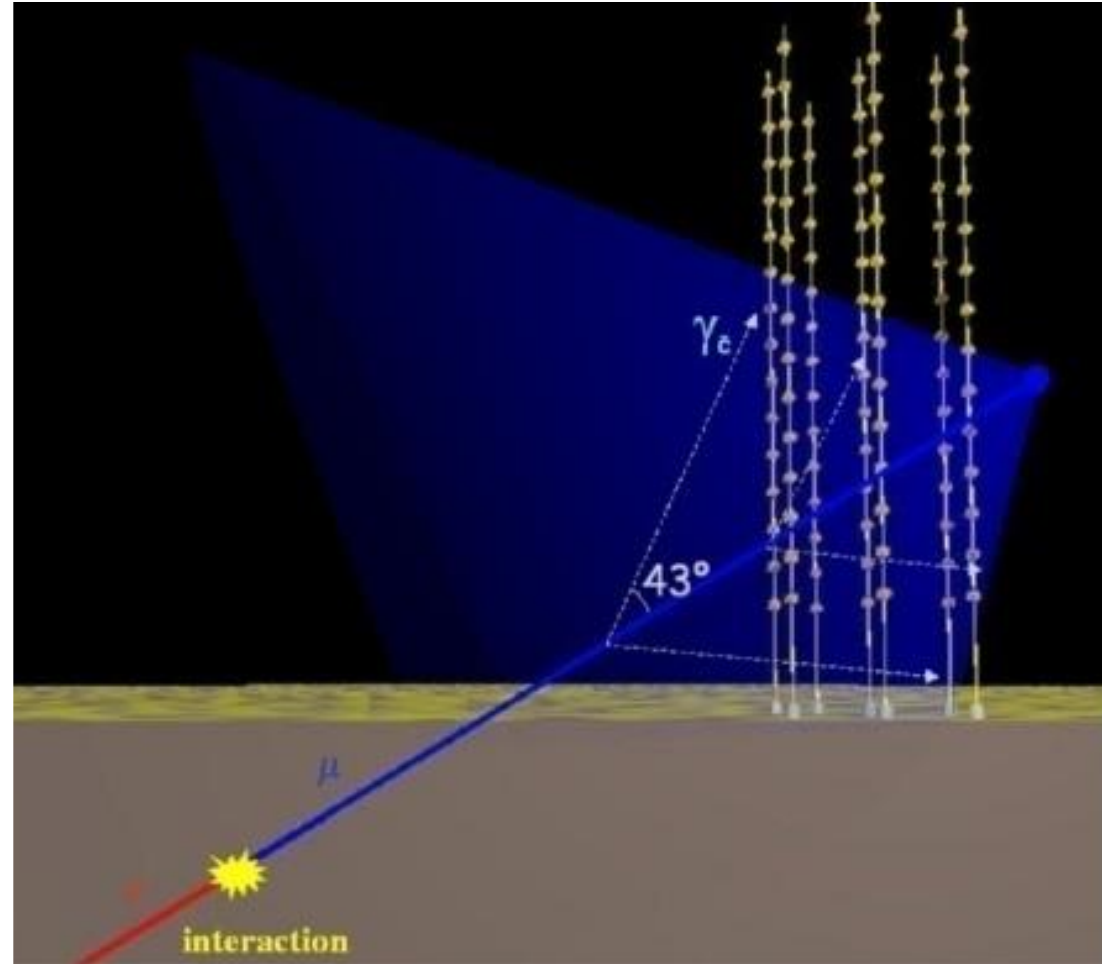


Neutrino Telescopes

How does a neutrino telescope work?

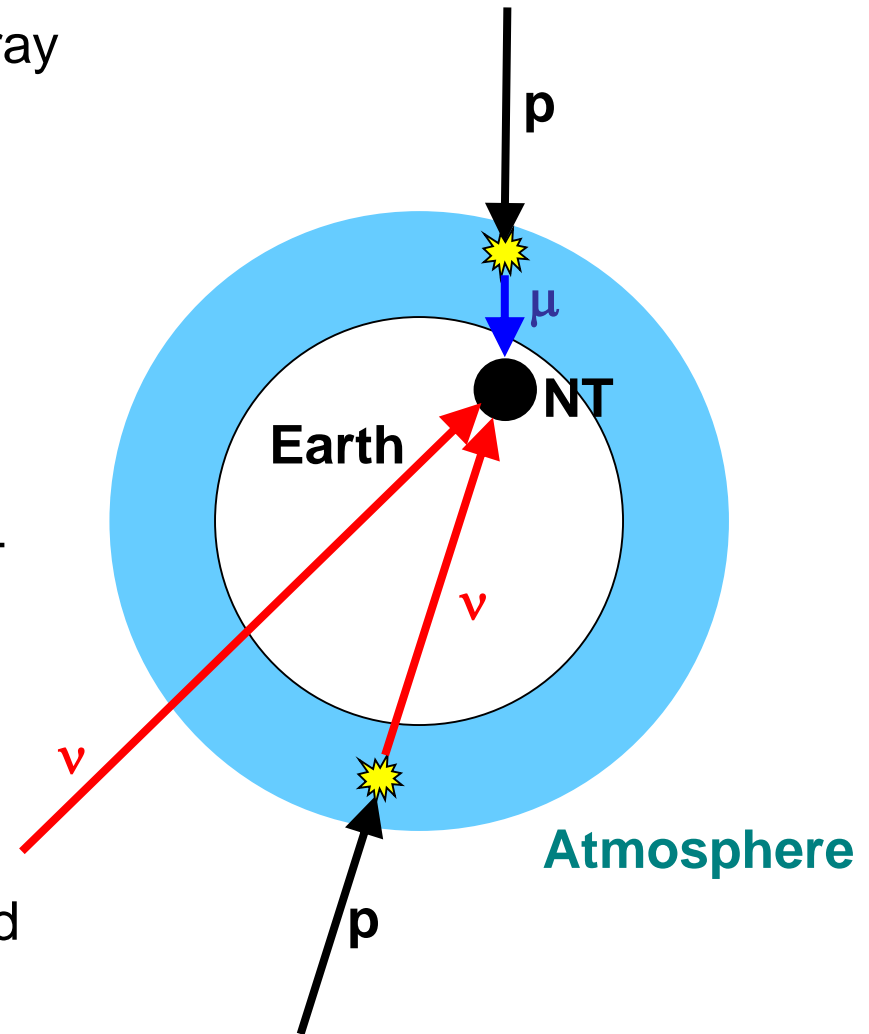
- Neutrino interacts in the (vicinity of the) telescope
- Charged secondaries cross the detector volume (water or ice) and stimulate Cherenkov emission
- Recorded by a 3D-array of photo-sensors
- “Traditional” channel:

$$\nu_{\mu} + N \rightarrow \mu + X$$
- Energy range :
 10(0) GeV – some PeV

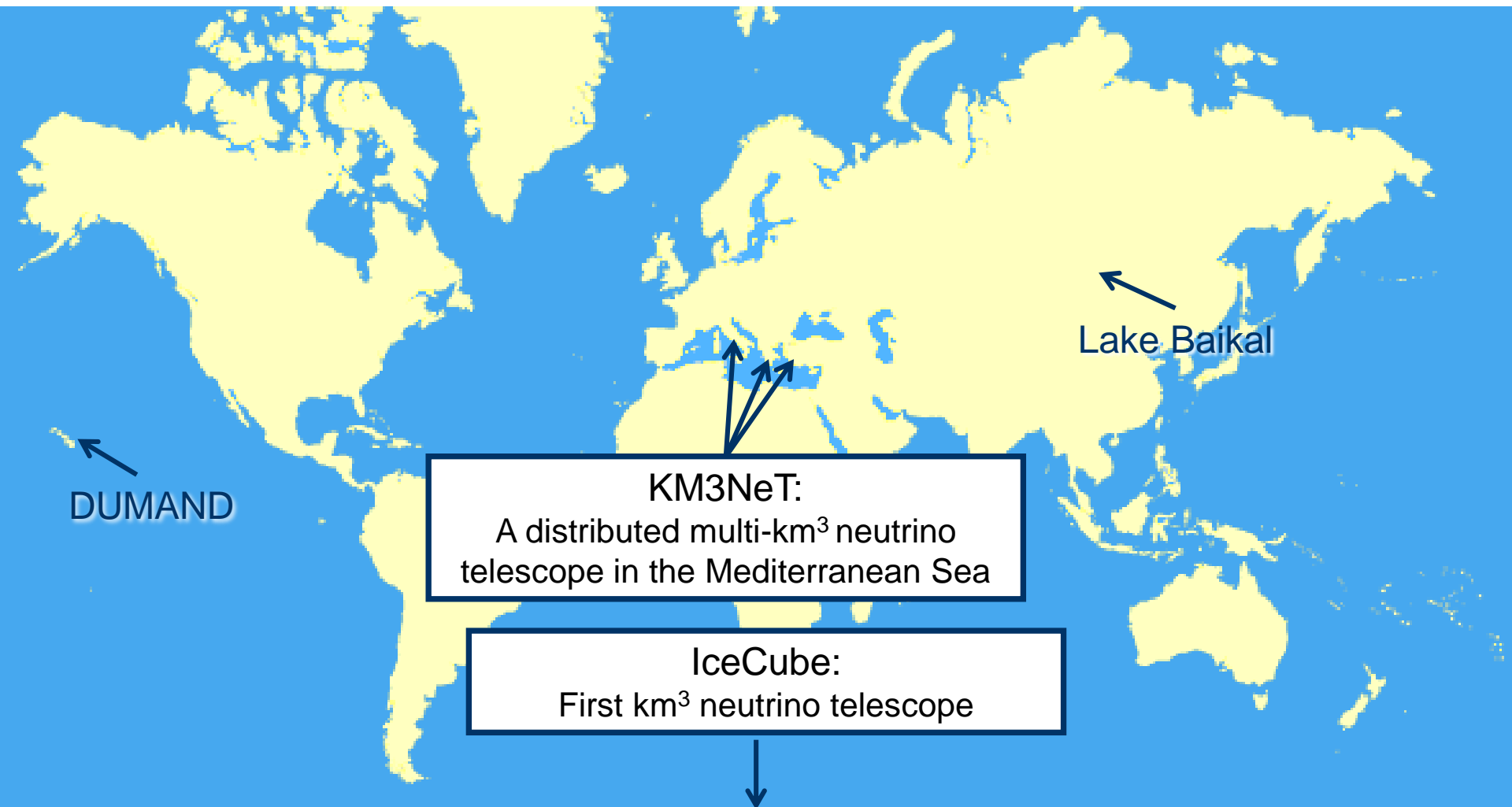


Backgrounds, or maybe not

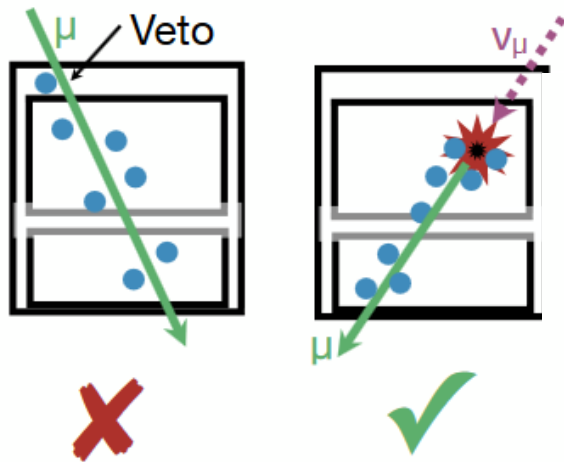
- Atmospheric neutrinos from cosmic-ray interactions in atmosphere
 - irreducible
 - important calibration source
 - **allow us to study oscillations**
- Atmospheric muons from cosmic-ray interactions in atmosphere above NT
 - penetrate to NT
 - exceed neutrino event rate by several orders of magnitude
- Sea water: light from K40 decays and bioluminescence



The ν telescope world map

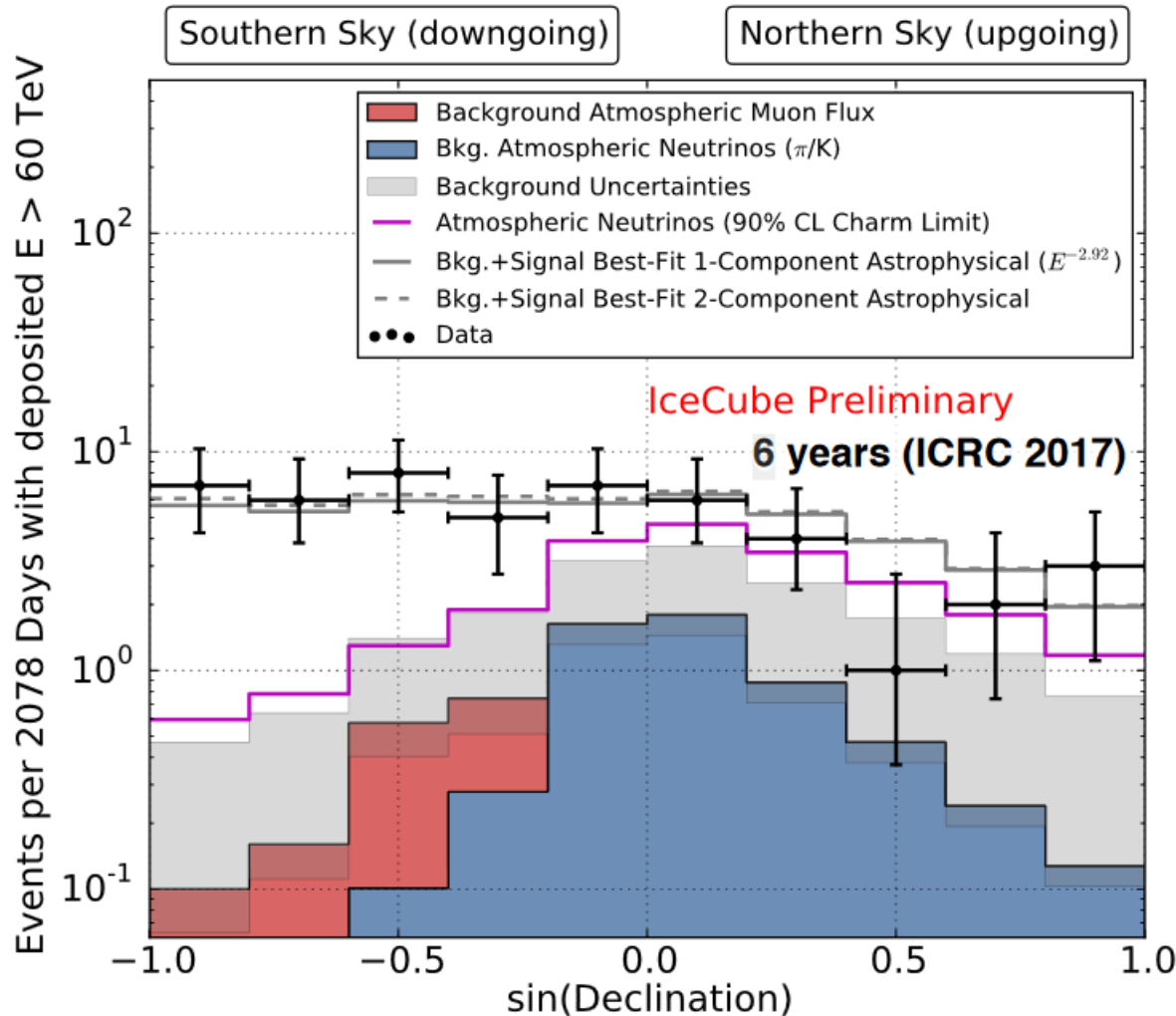


IceCube: Cosmic neutrinos



- Selected events that start in IceCube volume
- **82 events in 6 years** (54 in 4 years)

Jakob van Santen, ICRC2017



And now?



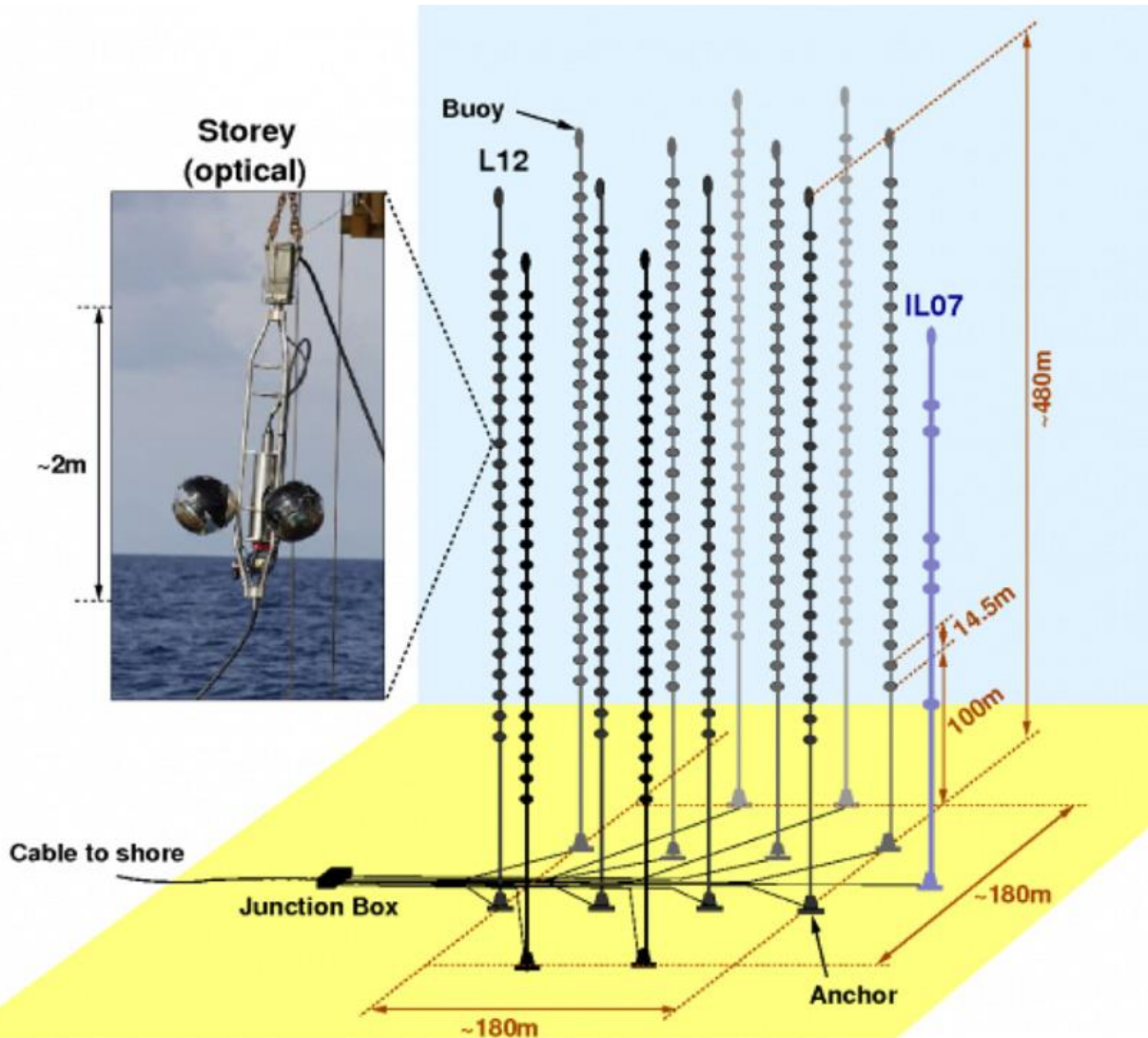
- More and full-sky data needed to draw firm conclusions!
- Future projects: KM3NeT and IceCube-Gen2

- KM3NeT-ORCA:
Dense array, ν physics
Construction 2018-
- KM3NeT-ARCA:
High-energy ν telescope
Construction 2018-

- Phase 1 proposal:
Dense array with 7 strings,
R&D and ν /DM physics
Construction 2021-
- Gen2:
High-energy ν telescope
Surface array
Radio array ?
PINGU?
Construction ?

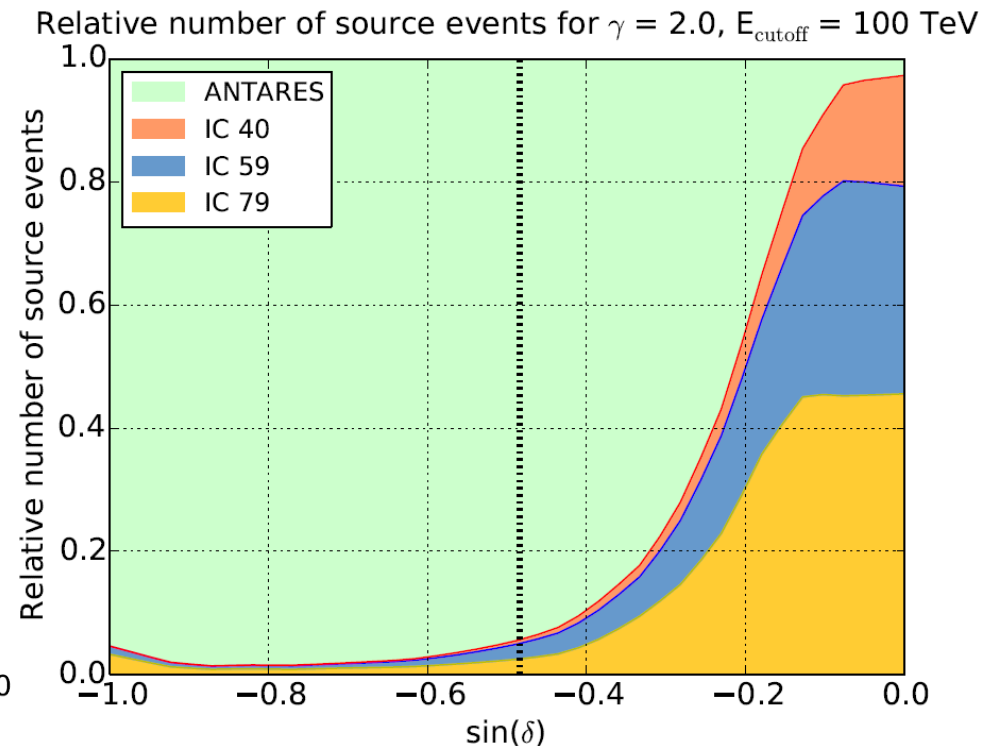
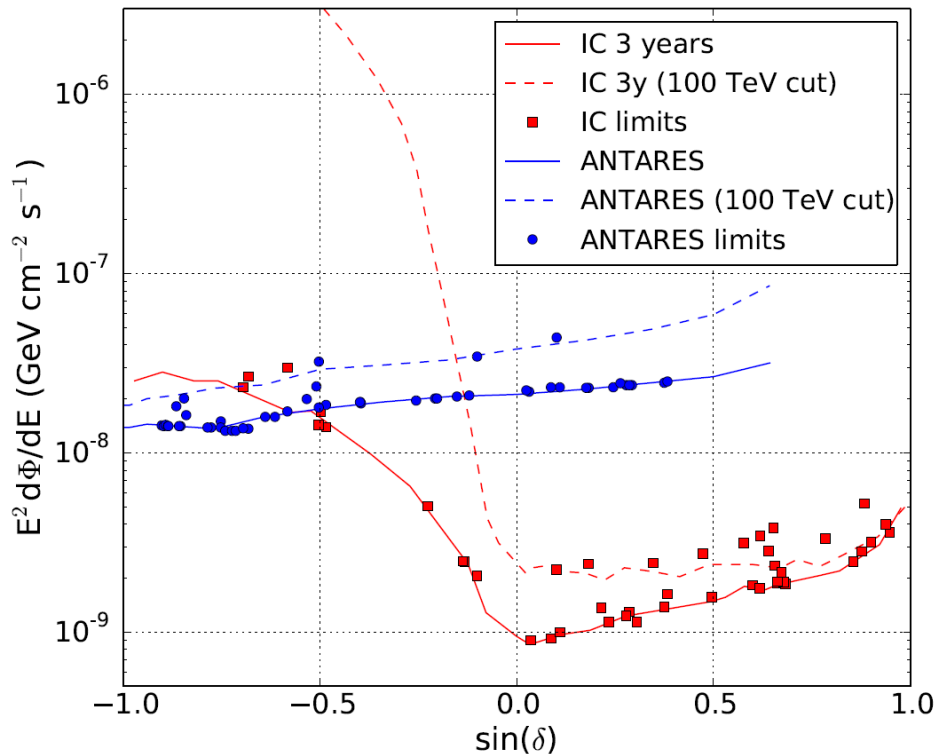
- And also: GVD in Lake Baikal

ANTARES: The first deep-sea ν telescope



- Installed near Toulon at a depth of 2475m
- 12 strings with 25 storeys each, instrumented volume $\sim 0.01\text{km}^3$
- Data taking in full configuration since 2008
- Proof of principle of deep-sea ν telescope
- Lots of results – but (too) small for cosmic neutrinos

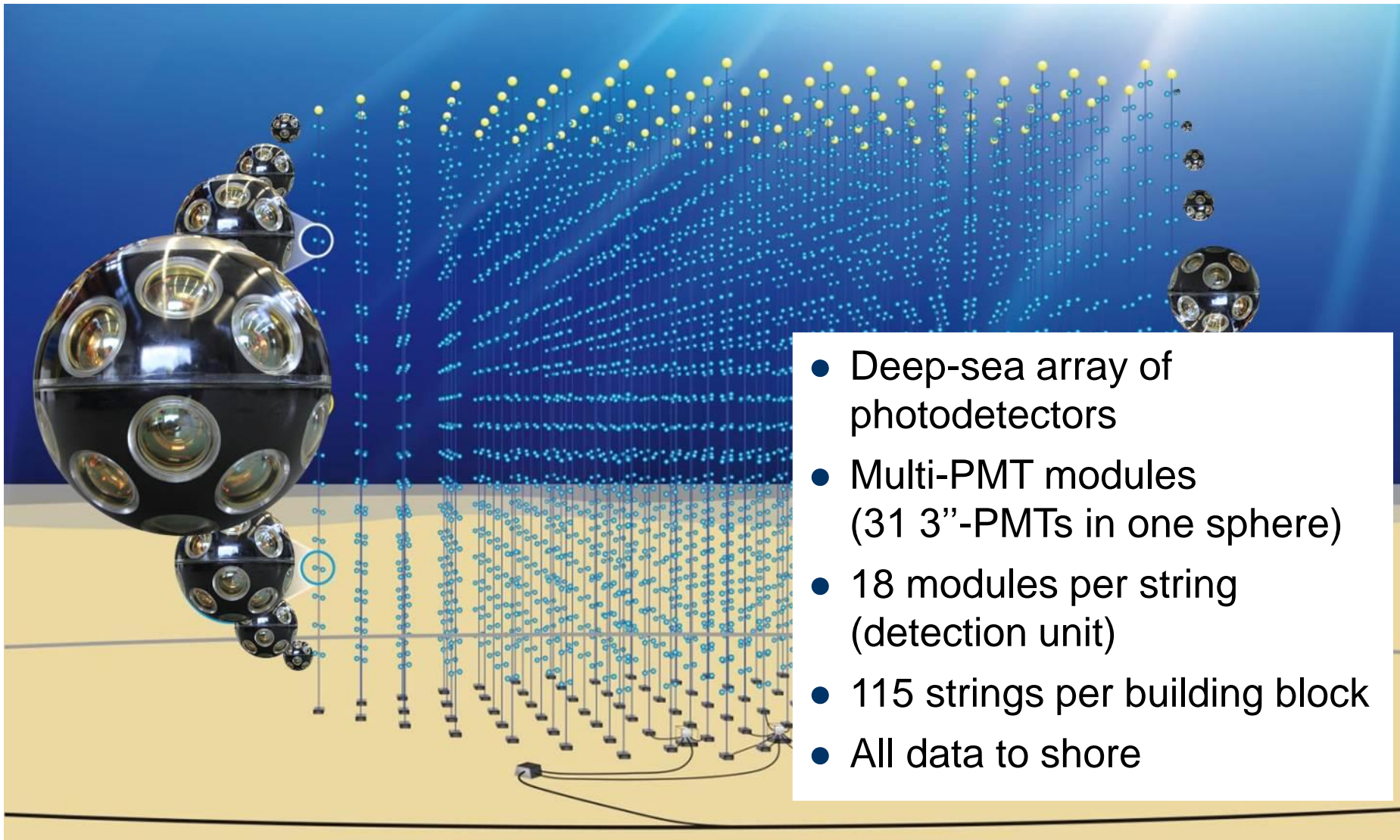
ANTARES and IceCube: Combined analysis



- Common analysis of ANTARES and IceCube data
- Search for point-like neutrino sources, spectrum $\sim E^{-\gamma} \times \text{cutoff}(E_{\text{cutoff}})$
- Substantial contribution from ANTARES for spectra with $E_{\text{cutoff}} \sim 100$ TeV

KM3NeT

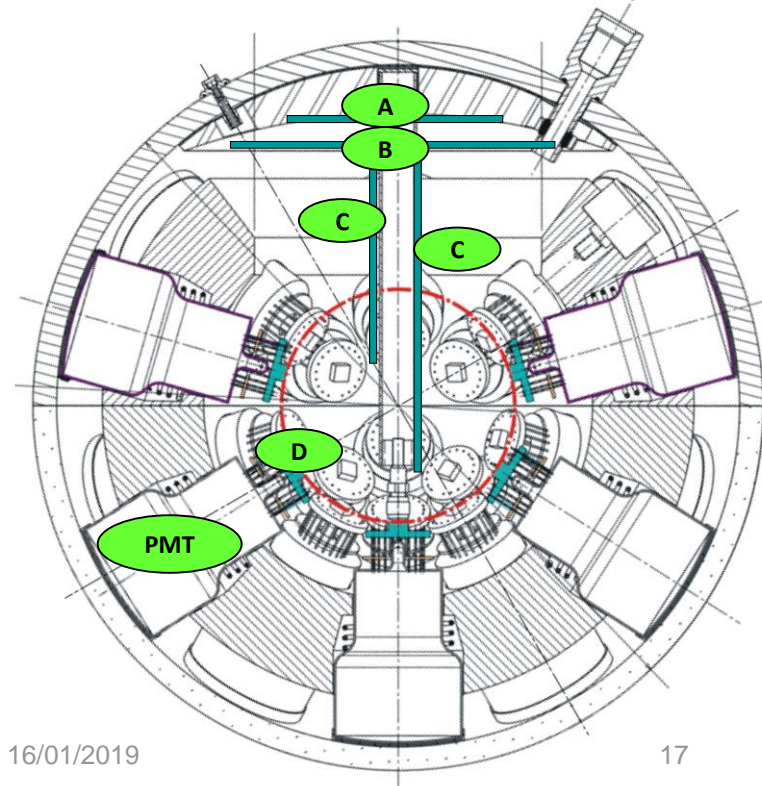
The KM3NeT concept



- Deep-sea array of photodetectors
- Multi-PMT modules (31 3"-PMTs in one sphere)
- 18 modules per string (detection unit)
- 115 strings per building block
- All data to shore

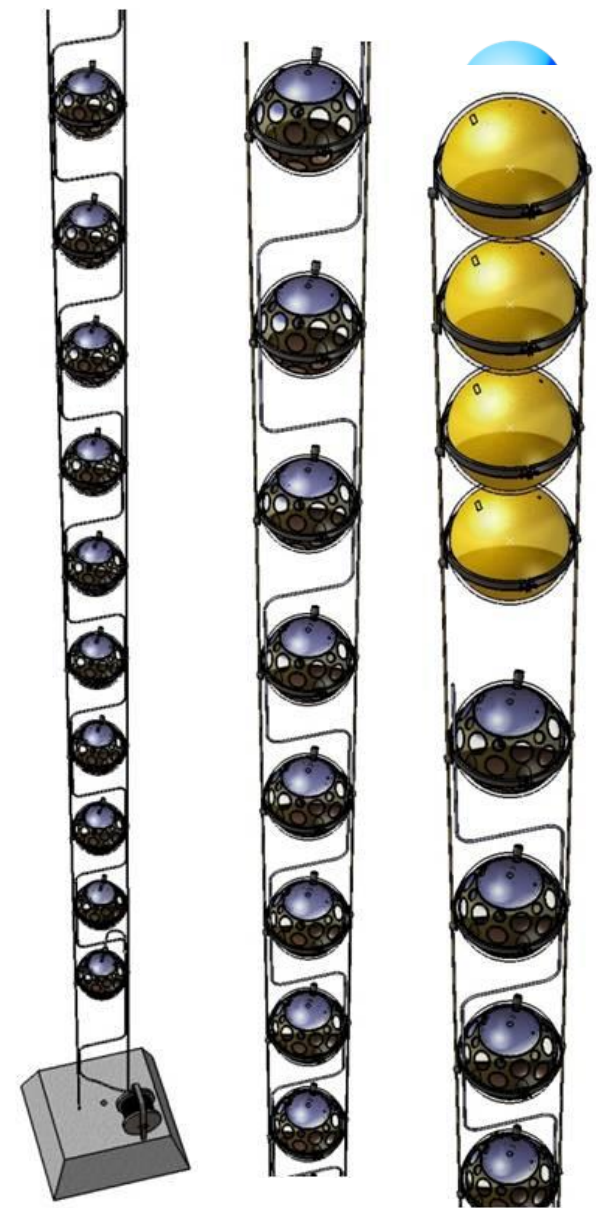
The KM3NeT Digital Optical Module

- 31 3-inch PMTs in 17-inch glass sphere (cathode area~ 3x10-inch PMTs)
 - 19 in lower, 12 in upper hemisphere
 - Suspended by plastic structure
- 31 PMT bases (total ~140 mW) (D)
- Front-end electronics (B,C)
- Al cooling shield and stem (A)
- Single penetrator
- Advantages:
 - Increased photocathode area
 - 1-vs-2 photo-electron separation
→ better sensitivity to coincidences
 - Directionality
 - Cost / photocathode area
 - Minimal number of penetrations
→ reduced risk

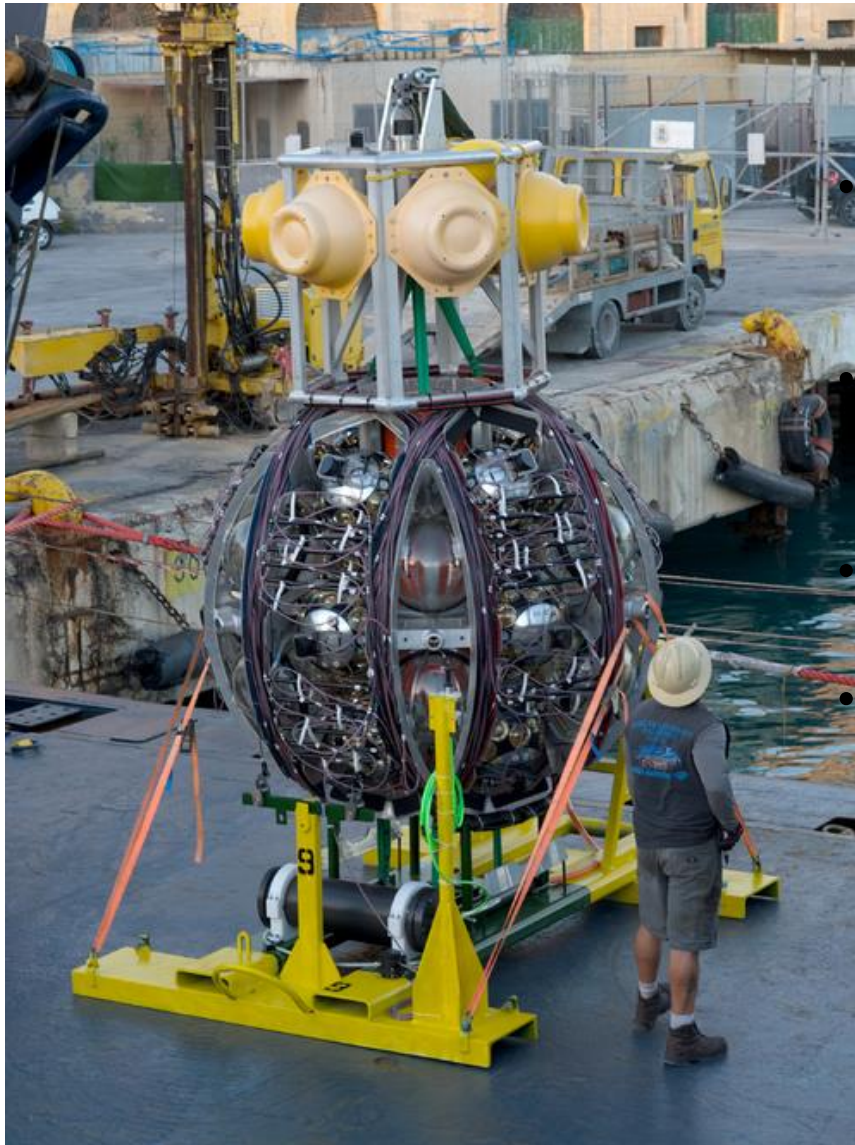


The KM3NeT detection unit (DU)

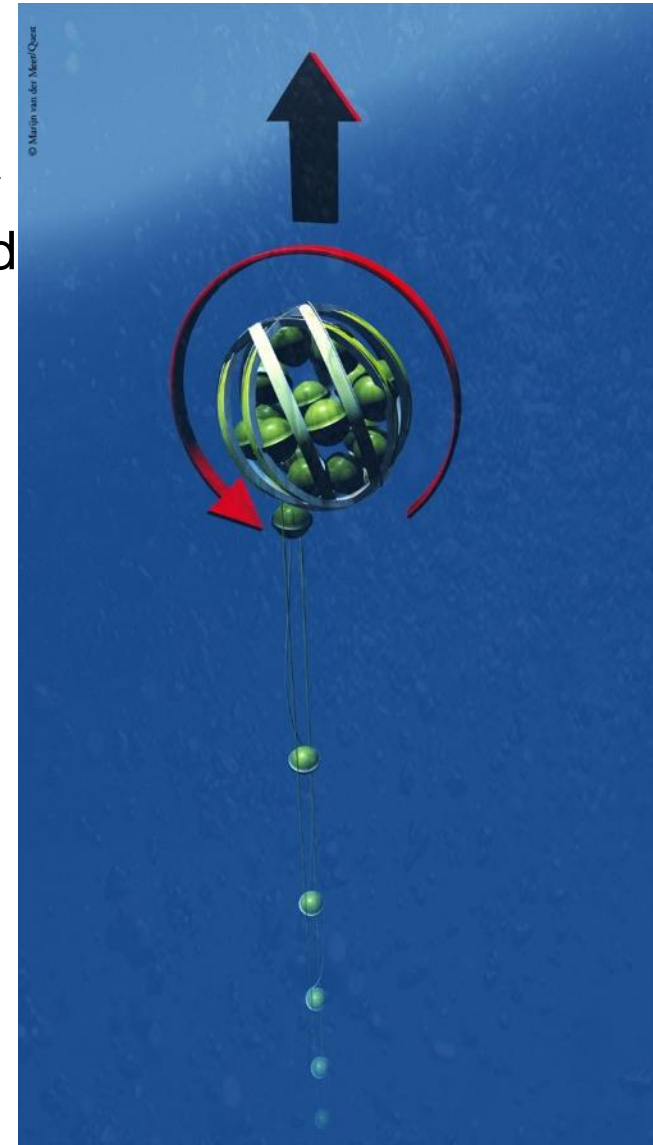
- Mooring line:
 - Buoy (empty spheres)
 - 2 pre-stretched Dyneema[®] ropes (4 mm diameter)
 - 18 storeys (one DOM each)
- Electro-optical backbone (VEOC):
 - Flexible hose ~ 7mm diameter
 - Oil-filled
 - Optical fibres and copper wires
 - At each storey: Break-out box for connection to 1 fibre + 2 wires (one single pressure transition)



Deployment



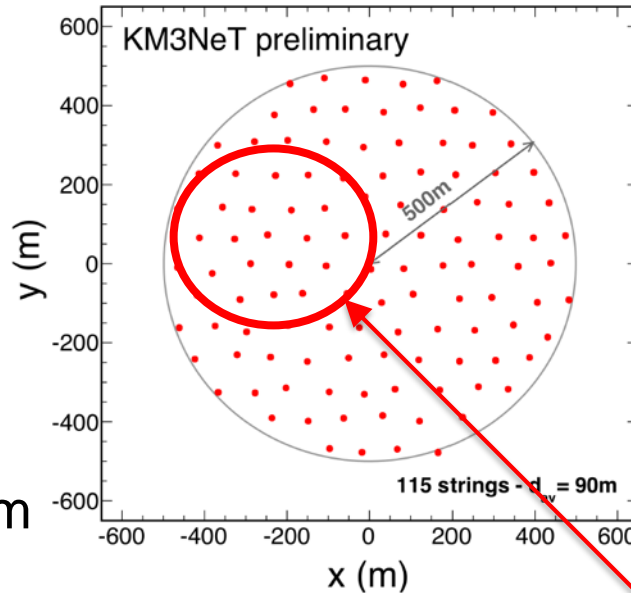
- ← Deploy to sea bed
- Release by ROV
- Unfurl →
- Collect frame



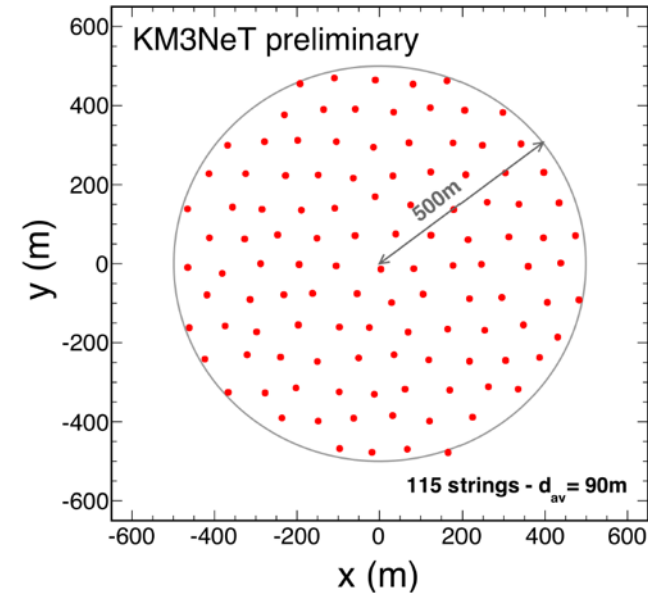
KM3NeT 2.0 = ARCA and ORCA



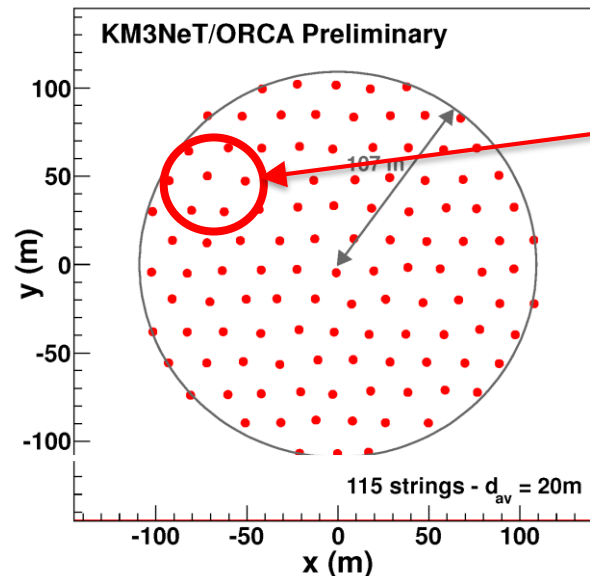
ARCA =
Astroparticle
Research with
Cosmics in the
Abyss
Vertical DOM
distance = 36 m



+



ORCA =
Oscillation
Research with
Cosmics in the
Abyss
Vertical DOM
distance = 9 m



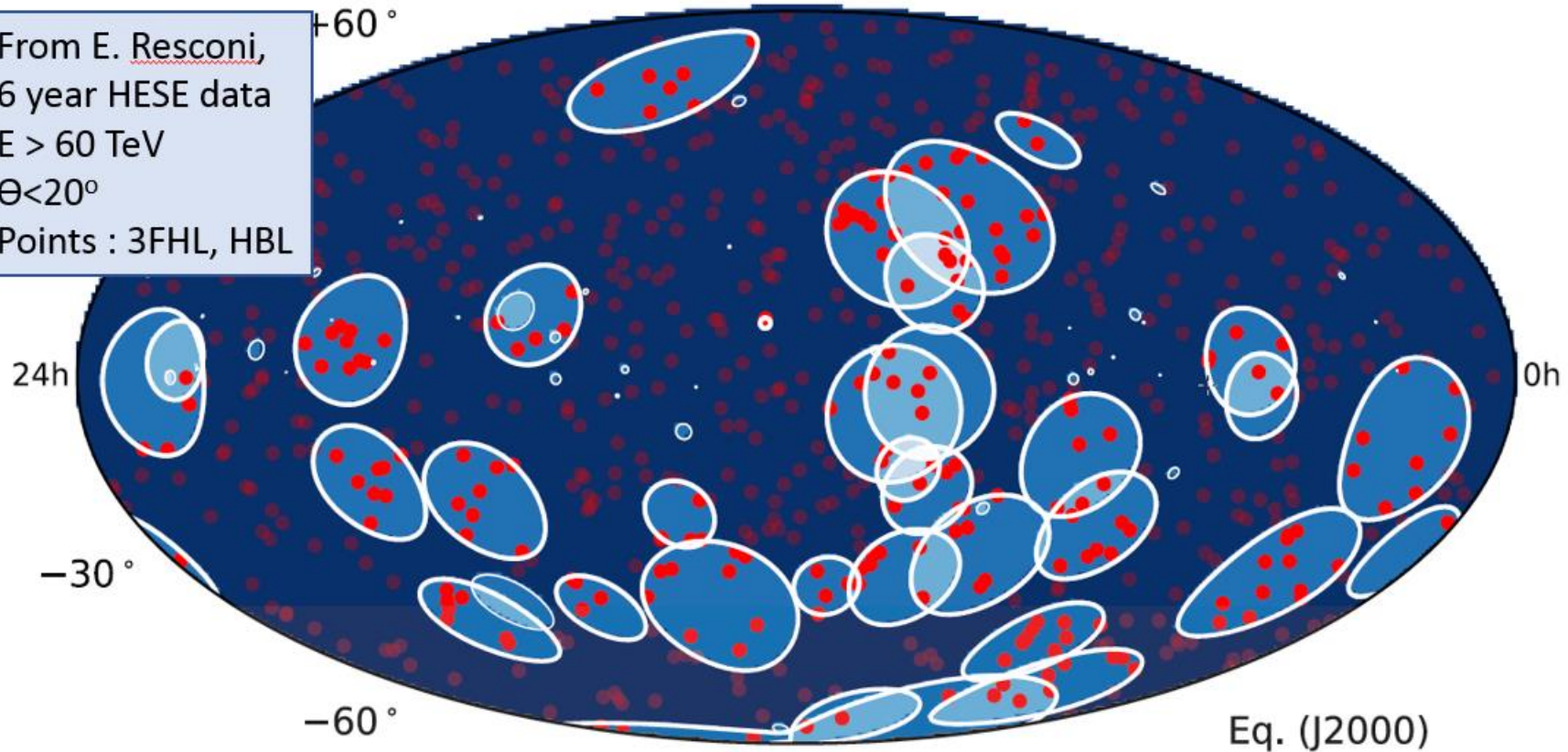
Phase1 (fully funded)

KM3NeT 2.0 Letter of Intent:
arXiv:1601.07459 and
J.Phys. G43 (2016) 084001

Angular resolutions



From E. Resconi,
6 year HESE data
 $E > 60$ TeV
 $\Theta < 20^\circ$
Points : 3FHL, HBL



Aart Heijboer,
ICRC2017

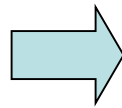
Resolution for ν_e		Resolution for ν_μ	
ANTARES		ANTARES	
KM3NeT		KM3NeT	

Resolution of key importance
for catalogue searchers

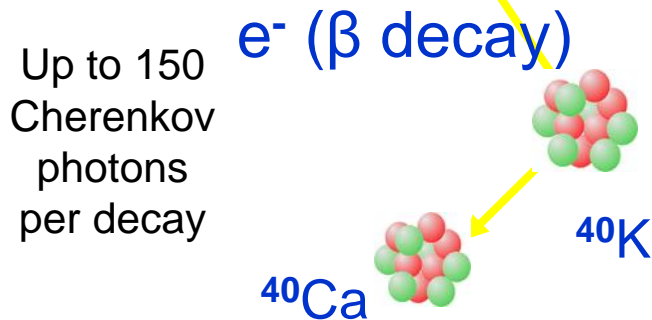
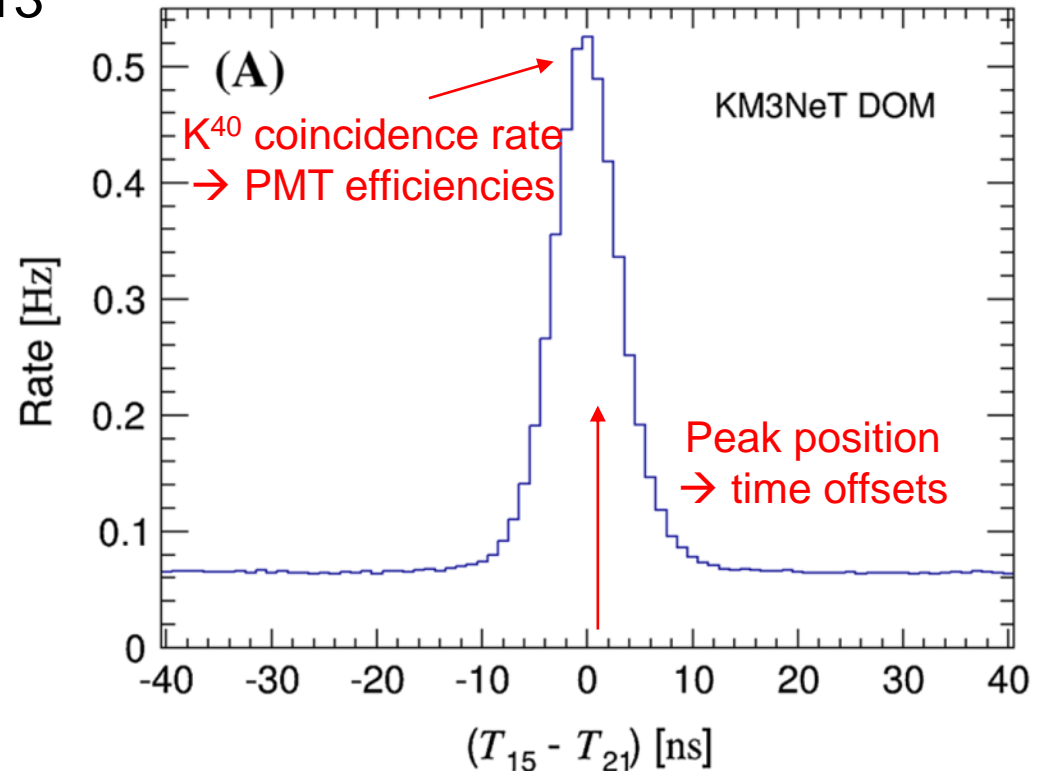
DOM prototype (PPM-DOM)



Deployed at
ANTARES in
April 2013



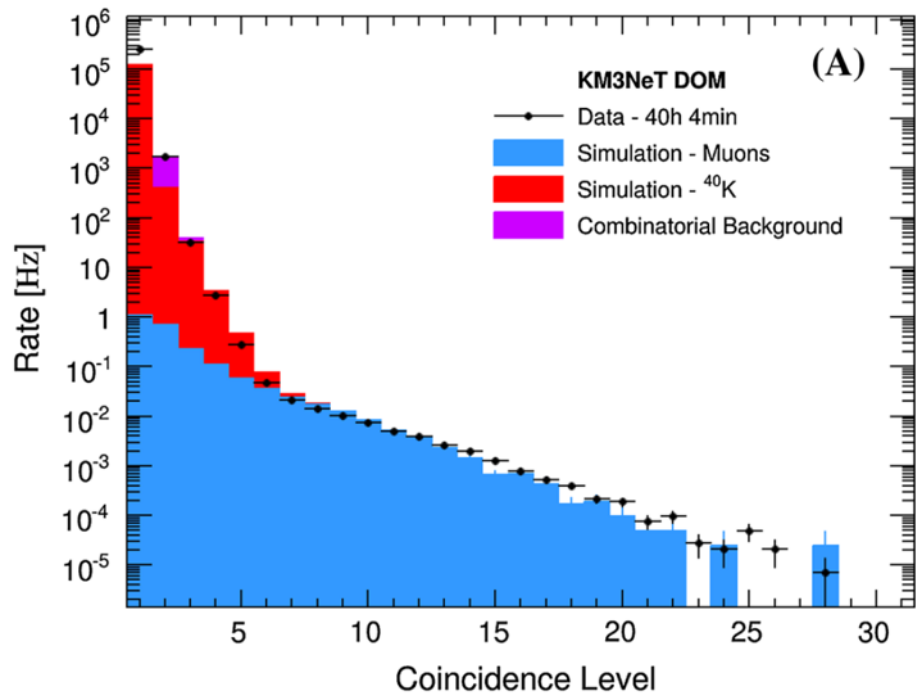
Coincidence rate on 2 adjacent PMTs
(33° angular separation)



Concentration of ^{40}K is stable
(coincidence rate ~ 5 Hz on adjacent PMTs)

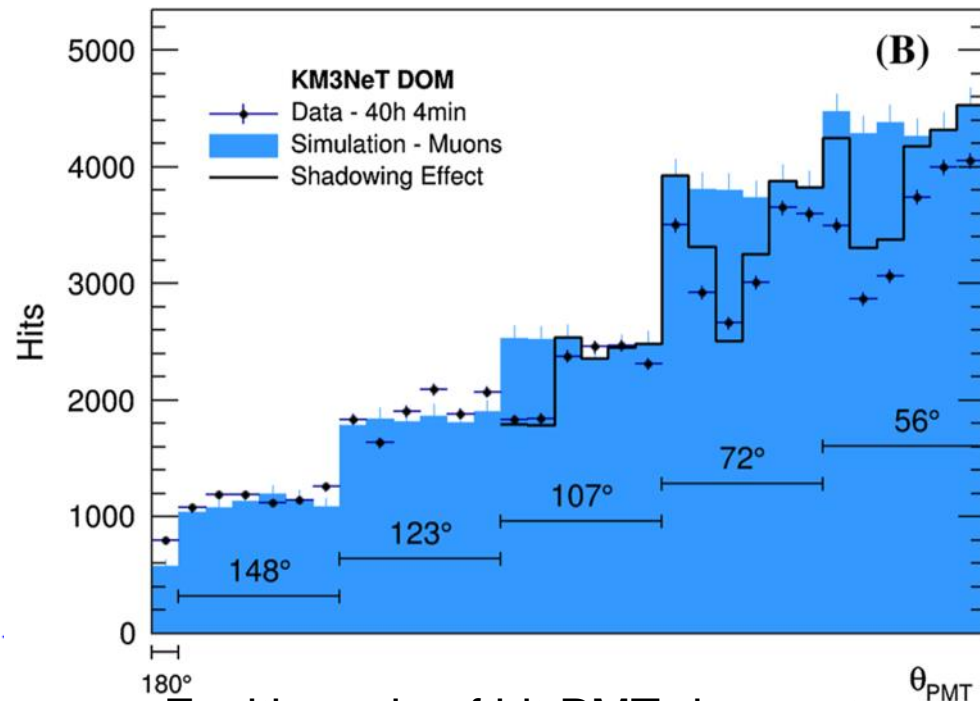
Eur.Phys.J. C74 (2014) 3056

PPM-DOM: Atmospheric muons



Number of coincident hits in a DOM

>5 coincidences within 20ns \Rightarrow
 reduced K40 contribution,
 dominated by atmospheric muons



More upper PMTs in multi-hit events \Rightarrow
 directional information
 from single storey

First KM3NeT-ARCA strings deployed



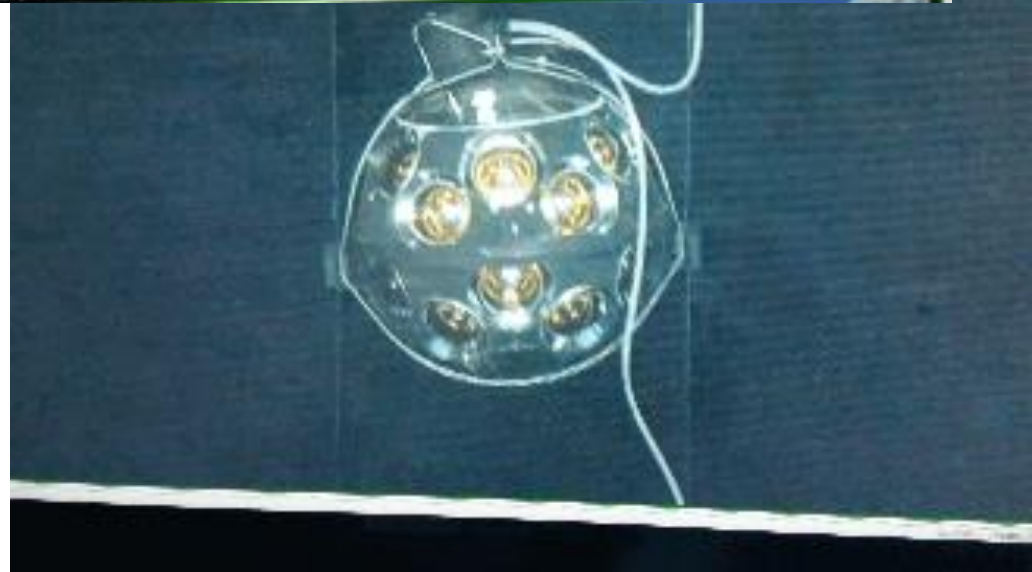
First string (Dec 2015)

- Smooth operation
- All 18 DOMs alive and functional
- First muons reconstructed within hours after switch-on
- Data taking in progress



Strings #2 and #3 (May 2016)

- String #2: 16/18 DOMs work, data taking in progress
- String #3: Short in power system, not operational
- String recovered in July 2016





Phase	Blocks/ strings	Primary deliverables / site(s)	Funding Construction
1	0.2/31	Proof of feasibility and first science results; KM3NeT-Fr + KM3NeT-It sites	Fully funded 2015-18
2.0	2/230	Measurement of neutrino signal reported by IceCube; All-flavor neutrino astronomy; KM3NeT-It site	Applications granted/pending 2018-2021
	1/115	Neutrino mass hierarchy; KM3NeT-Fr site	
3	6+1/805	Neutrino astronomy including Galactic sources; Multiple sites	t.b.d. ?



Data Analysis

- analyses proceed through 4 consecutive steps:
 1. Preselection (e.g. quality cuts or cuts on reconstructed zenith or N_{hit})
 2. Further background rejection by machine learning, e.g. Boosted Decision Tree
 3. ‘Cut-and-count’ significance analysis
 4. Maximum-likelihood analysis using likelihood ratio

$$\text{LR} = \sum_{k=1}^n \log \frac{\frac{n_{\text{sig}}}{n} \cdot P_{\text{sig}}(X_k) + \left(1 - \frac{n_{\text{sig}}}{n}\right) \cdot P_{\text{back}}(X_k)}{P_{\text{back}}(X_k)}$$

Significance determined by generating pseudo-experiments

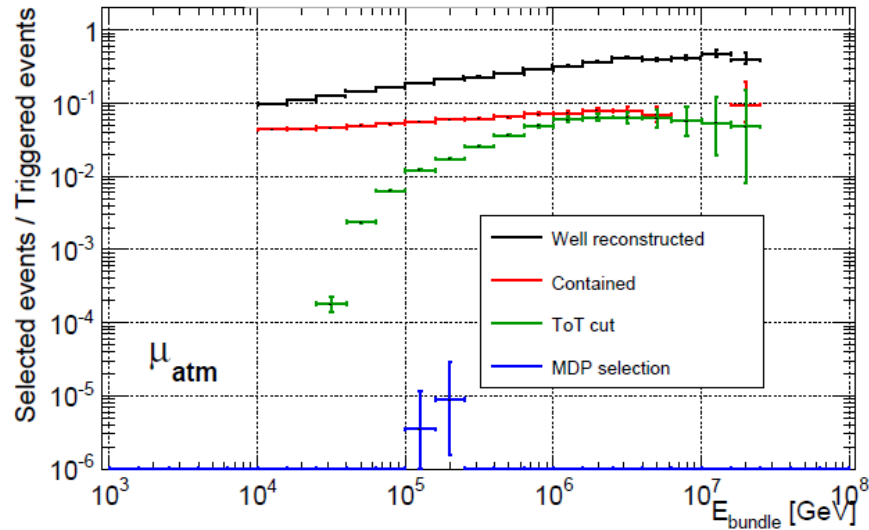
Note: All detector information is in the probability density functions $P(X)$, where the event variables X depend on the type of analysis.

- **Main results:**
 - Event samples with high signal content from step (3)
 - Optimised sensitivities from step (4)

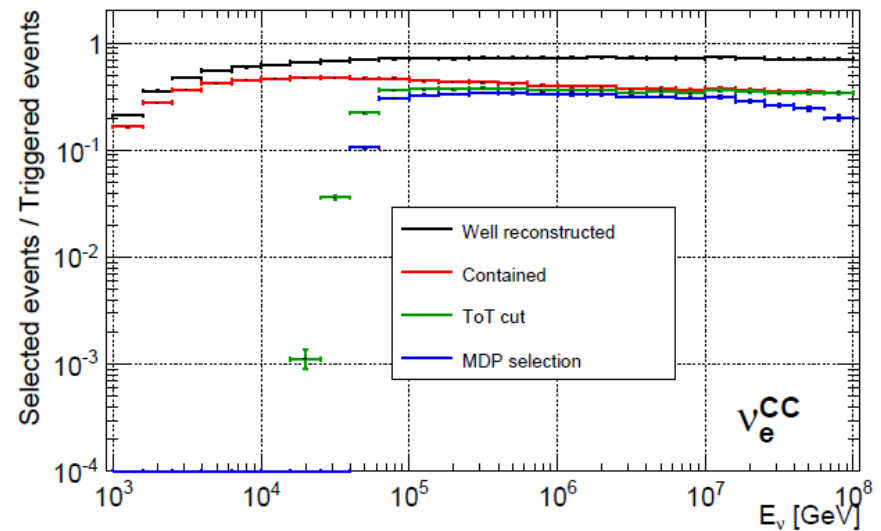
Signal/background separation



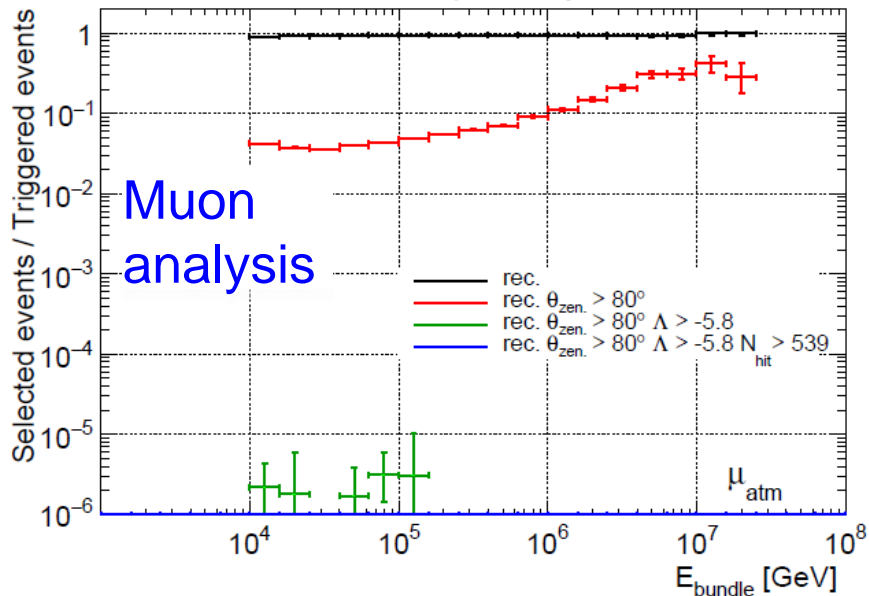
KM3NeT preliminary



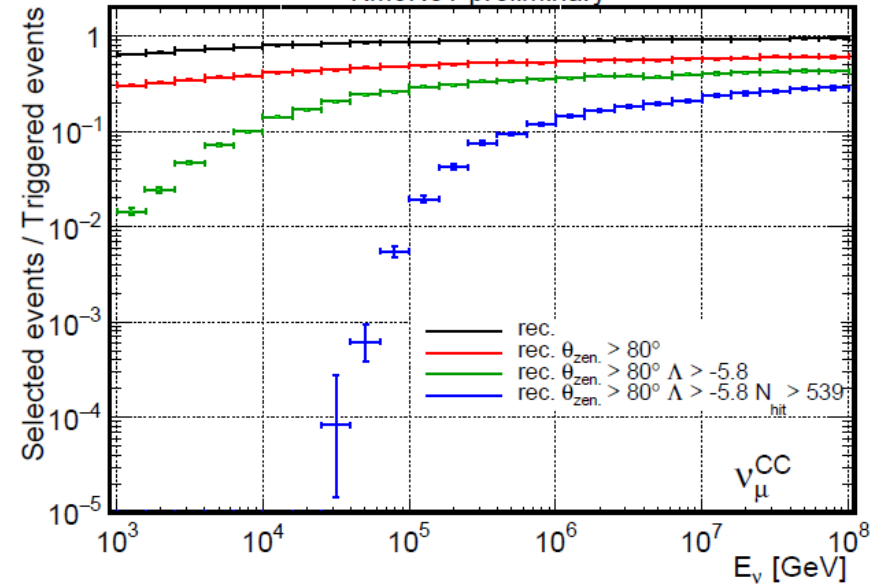
KM3NeT preliminary



KM3NeT preliminary



KM3NeT preliminary

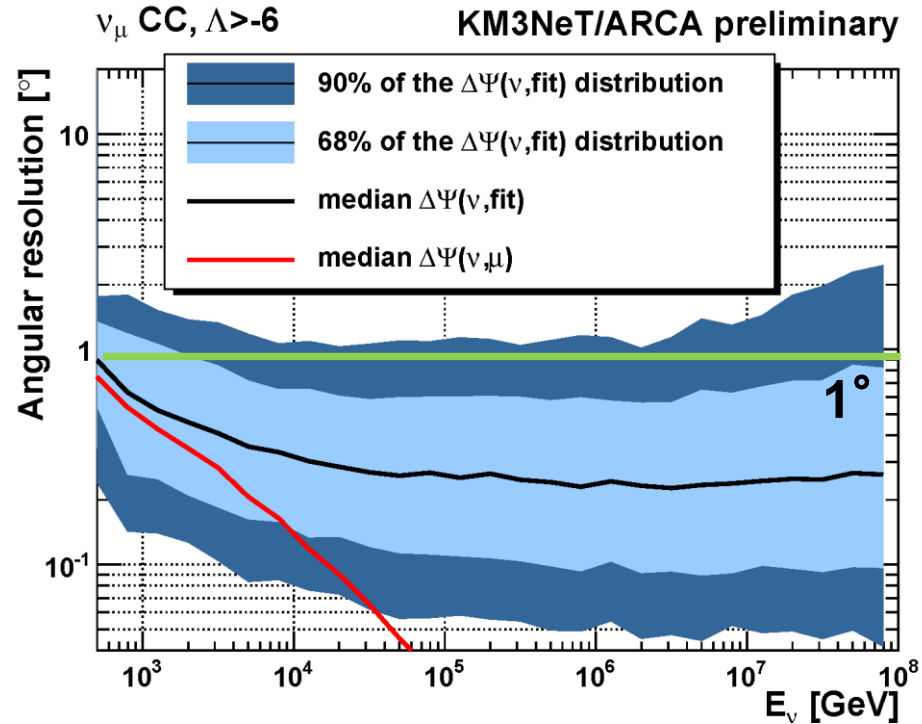




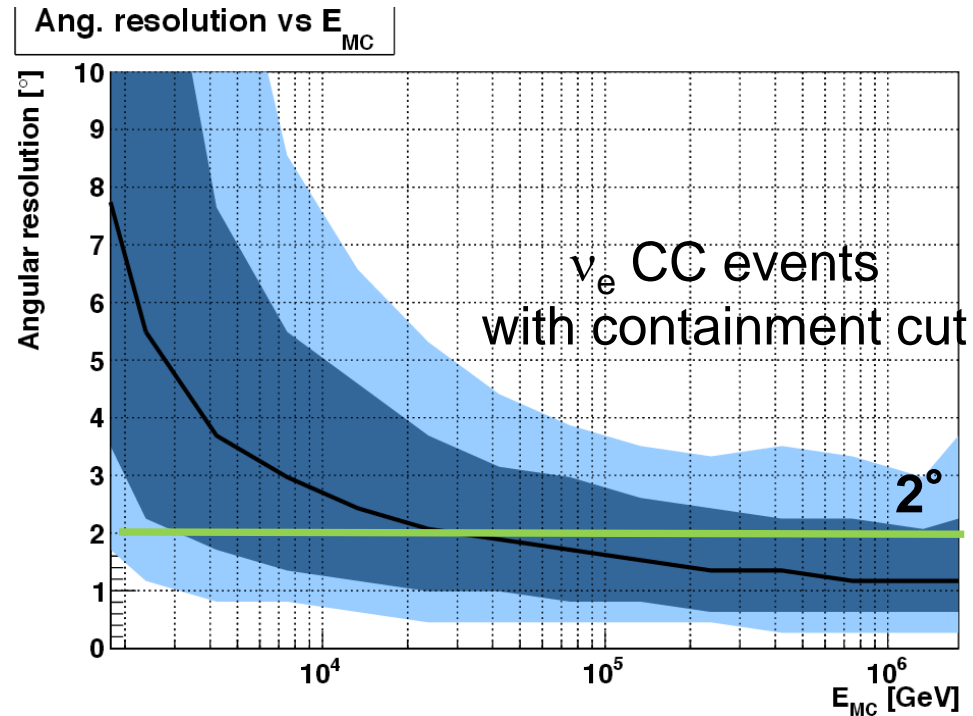
KM3NeT-ARCA Sensitivities

KM3NeT resolutions

Track-like events:

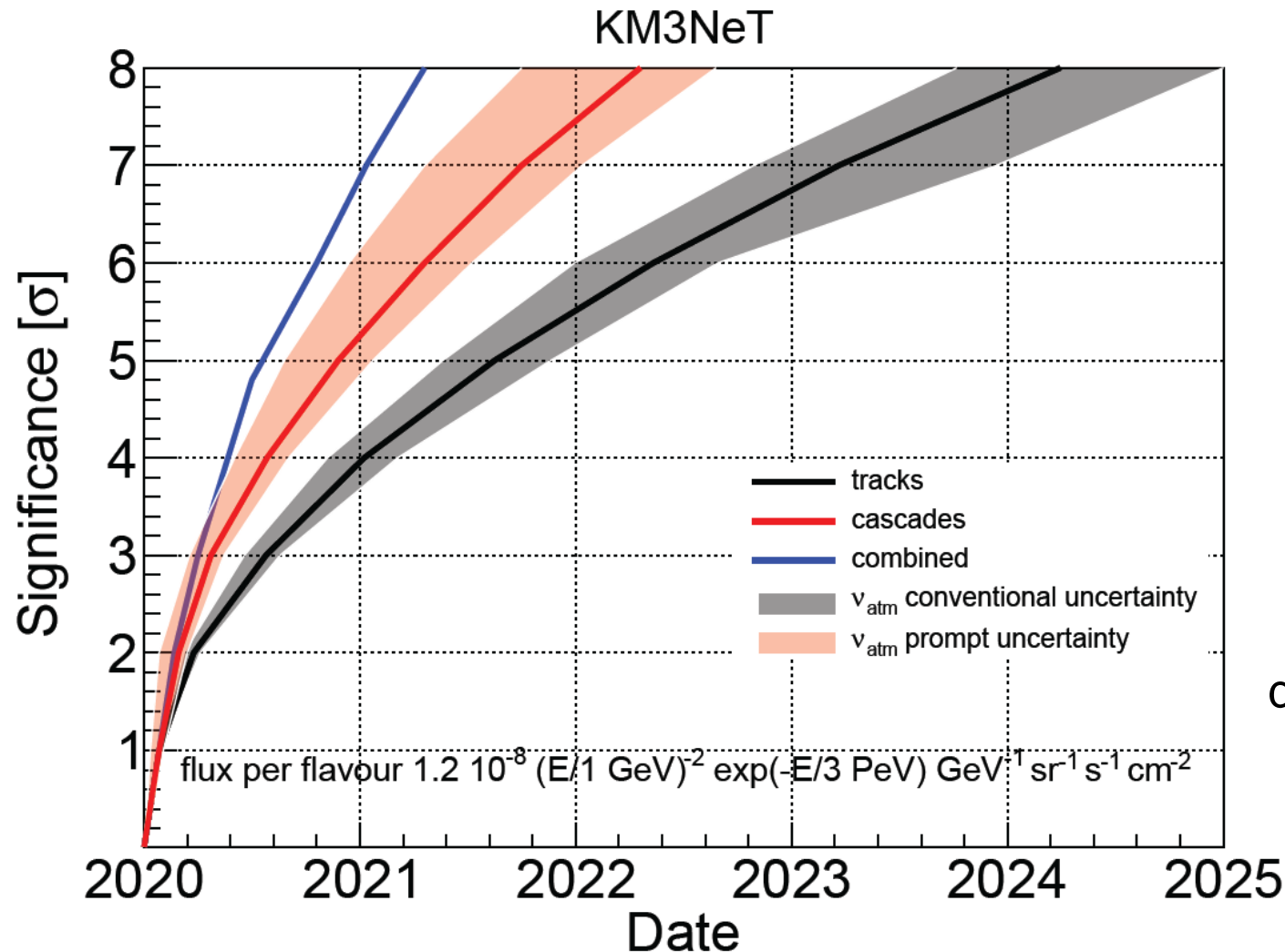


Cascades:



- Muon energy: $d(\log_{10} E) = 0.25-0.3$ at $E > 10$ TeV
- Cascade energy: 5-10% at $E > \text{some } 10$ TeV
- All-flavour neutrino astronomy in reach

Diffuse flux results (max. likelihood)



Event numbers
(cut&count):
16/9 cascades
7.5/5 track-like
(signal/background
per ARCA year)

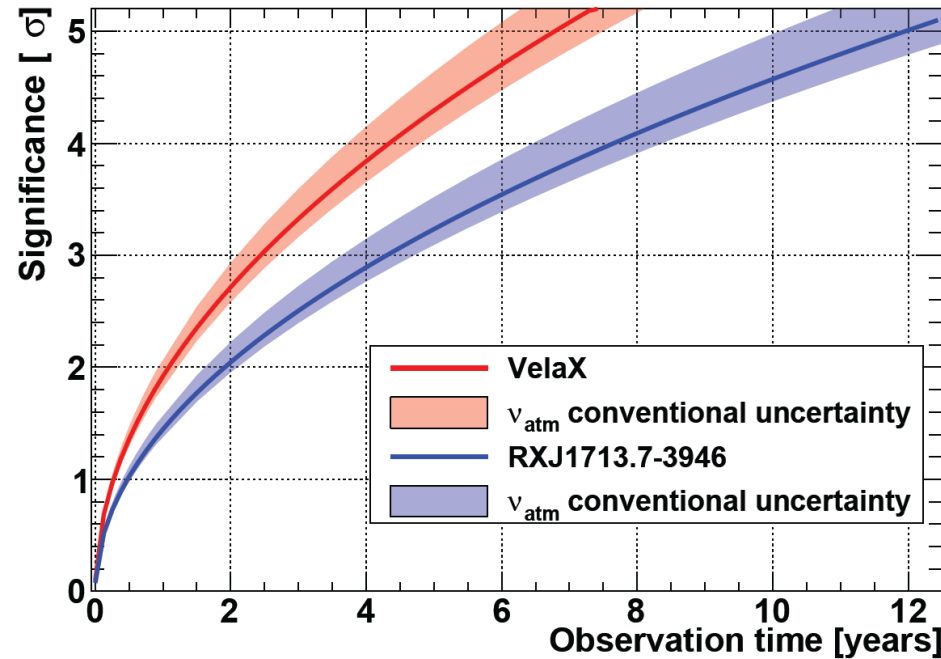
Note:
For each energy,
direction and flavour,
KM3NeT is
complementary to
IceCube

Other flux assumptions yield 10-30% improvement in discovery time.

Point-source results

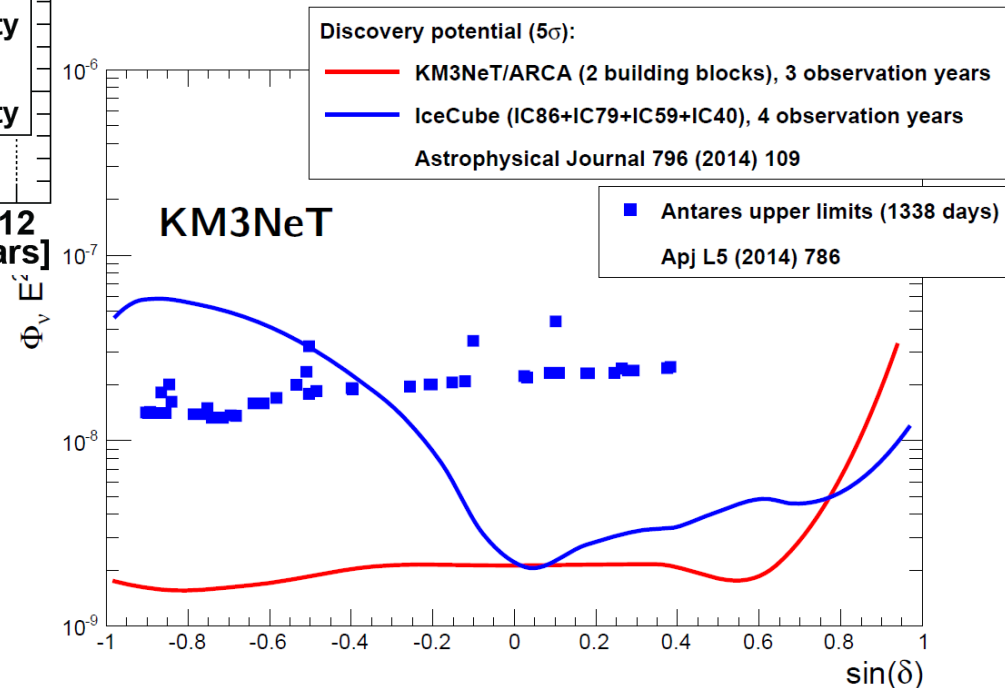


KM3NeT



- Galactic sources in reach

- Significant discovery potential for extragalactic sources



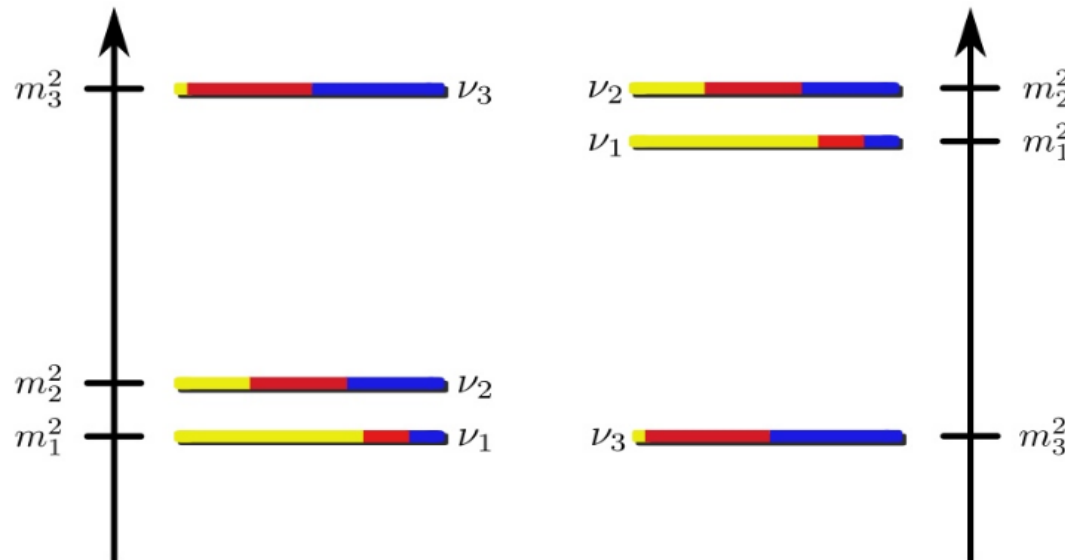


KM3NeT-ORCA Sensitivities

Neutrino physics with atmospheric neutrinos



- Use atmospheric neutrinos for oscillation studies
- Oscillations in Earth: Matter effects induce sensitivity to neutrino mass hierarchy



- Hierarchy and other oscillation parameters in reach of neutrino telescopes – in particular ORCA and PINGU
- Timeline similar to JUNO – completely different systematics
- Future options for GP: Extended detectors or ν beams

Measuring the mass hierarchy



- Sensitivity depends on true value of θ_{23} (“the octant”)
- CP phase $\delta=0$ assumed (no strong CP effect)
- 3σ in 3 years for most unfavourable situation
- Similar result for PINGU, but later starting date

