

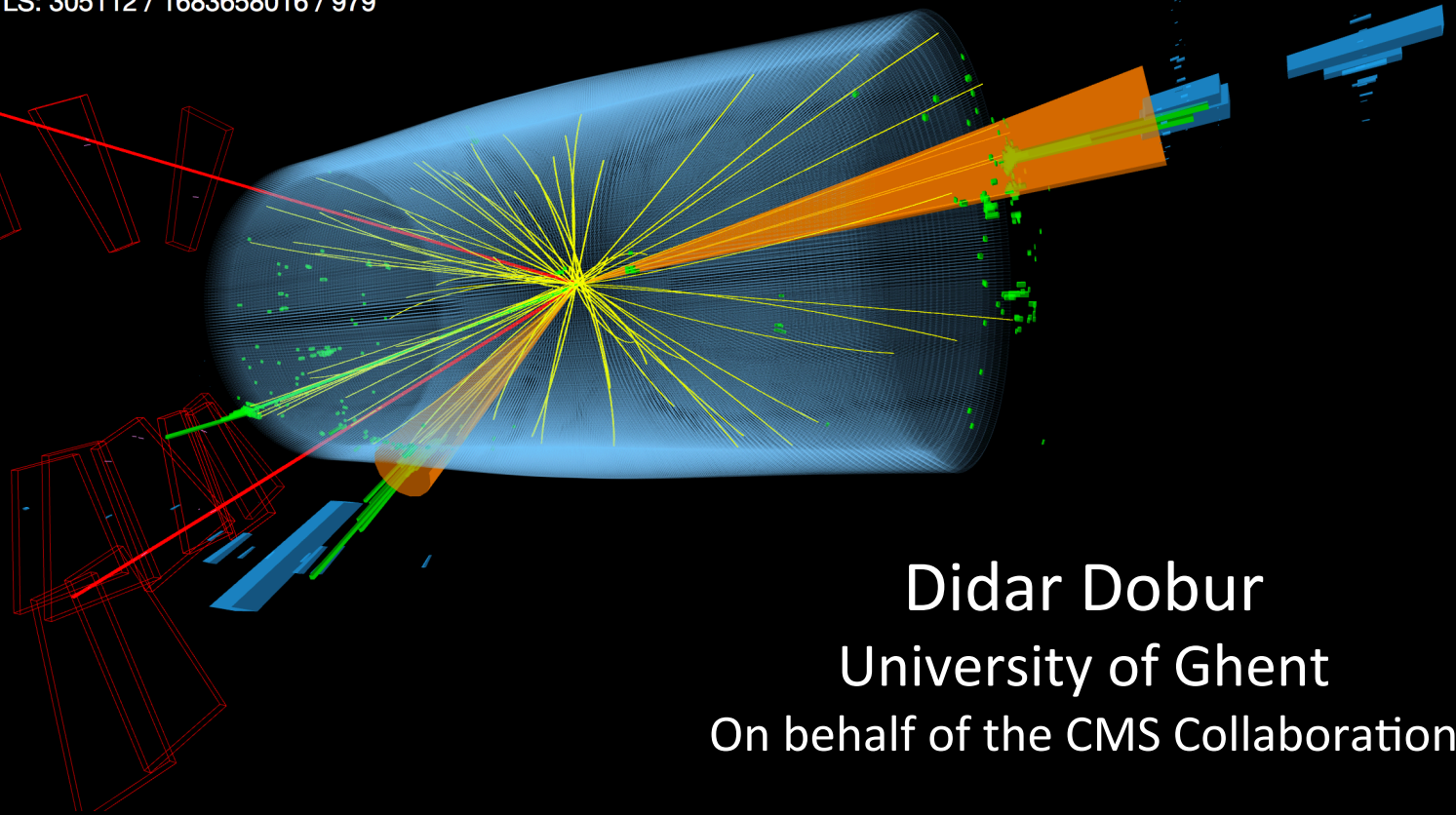
Observation of single top quark production in association with a Z boson at CMS



CMS Experiment at the LHC, CERN

Data recorded: 2017-Oct-16 05:01:09.248576 GMT

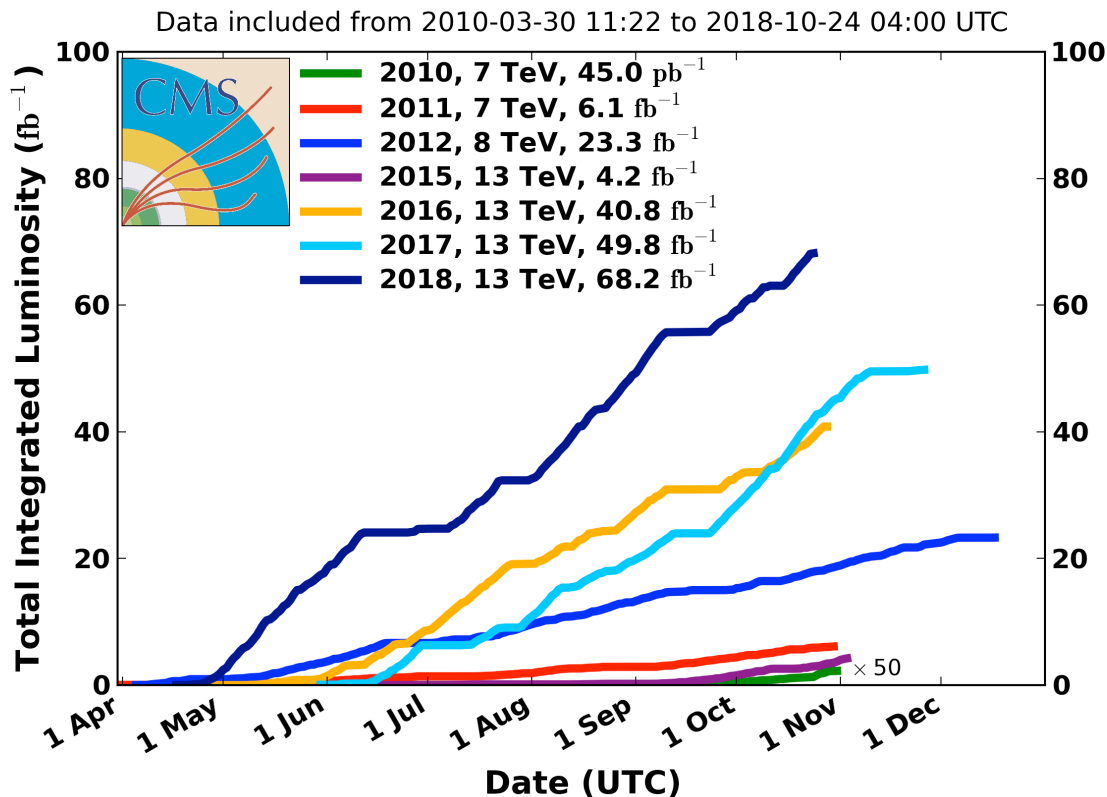
Run / Event / LS: 305112 / 1683658016 / 979



Didar Dobur
University of Ghent
On behalf of the CMS Collaboration

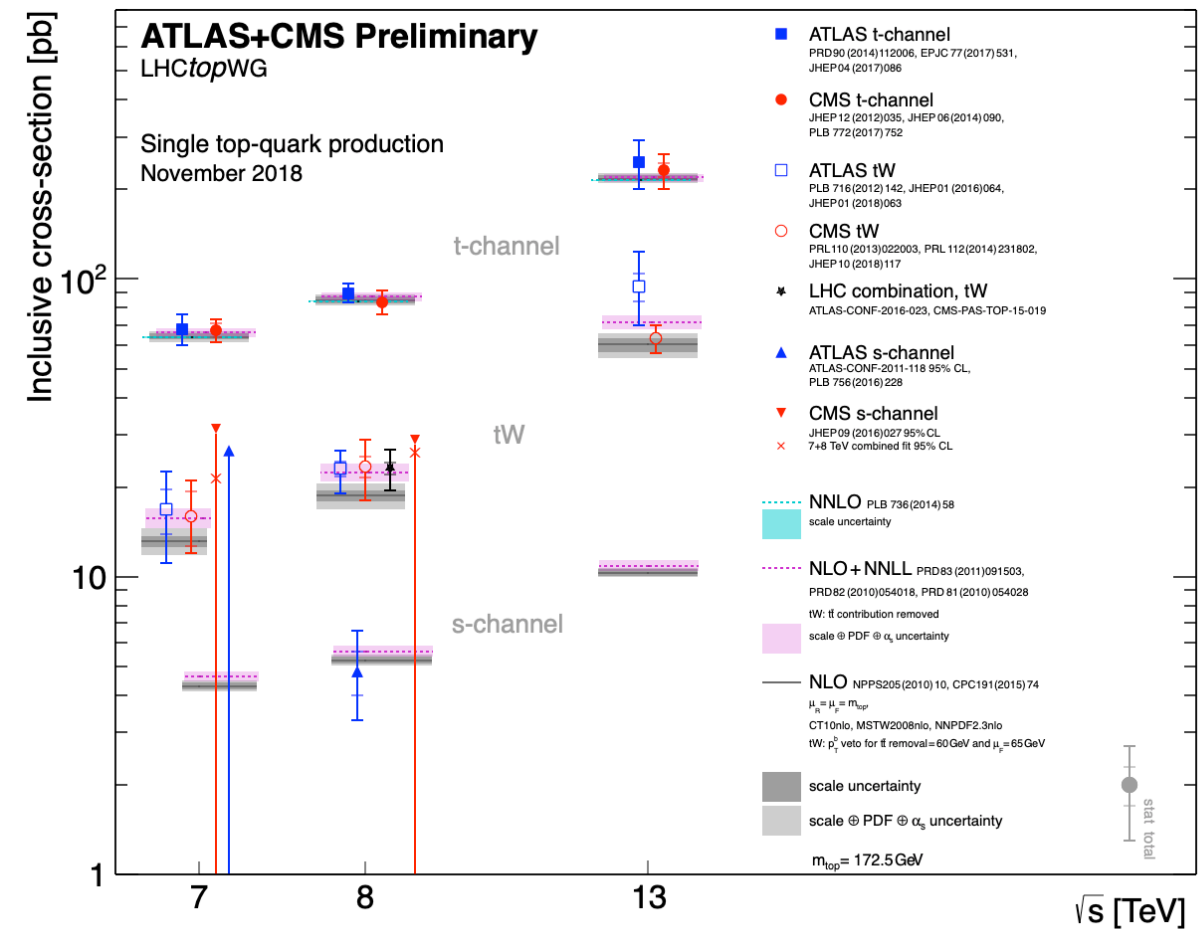
Turkish-Iranian conference on
LHC physics, Istanbul,
2019

CMS Integrated Luminosity, pp



163 fb⁻¹ delivered integrated luminosity @ 13 TeV !
 ~140 fb⁻¹ data physics

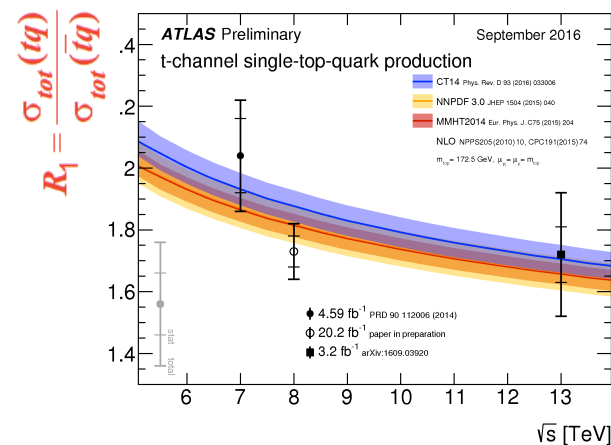
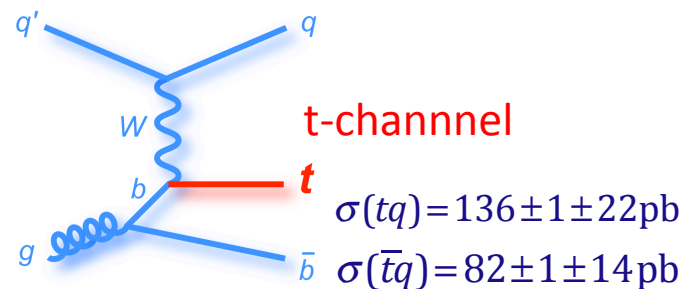
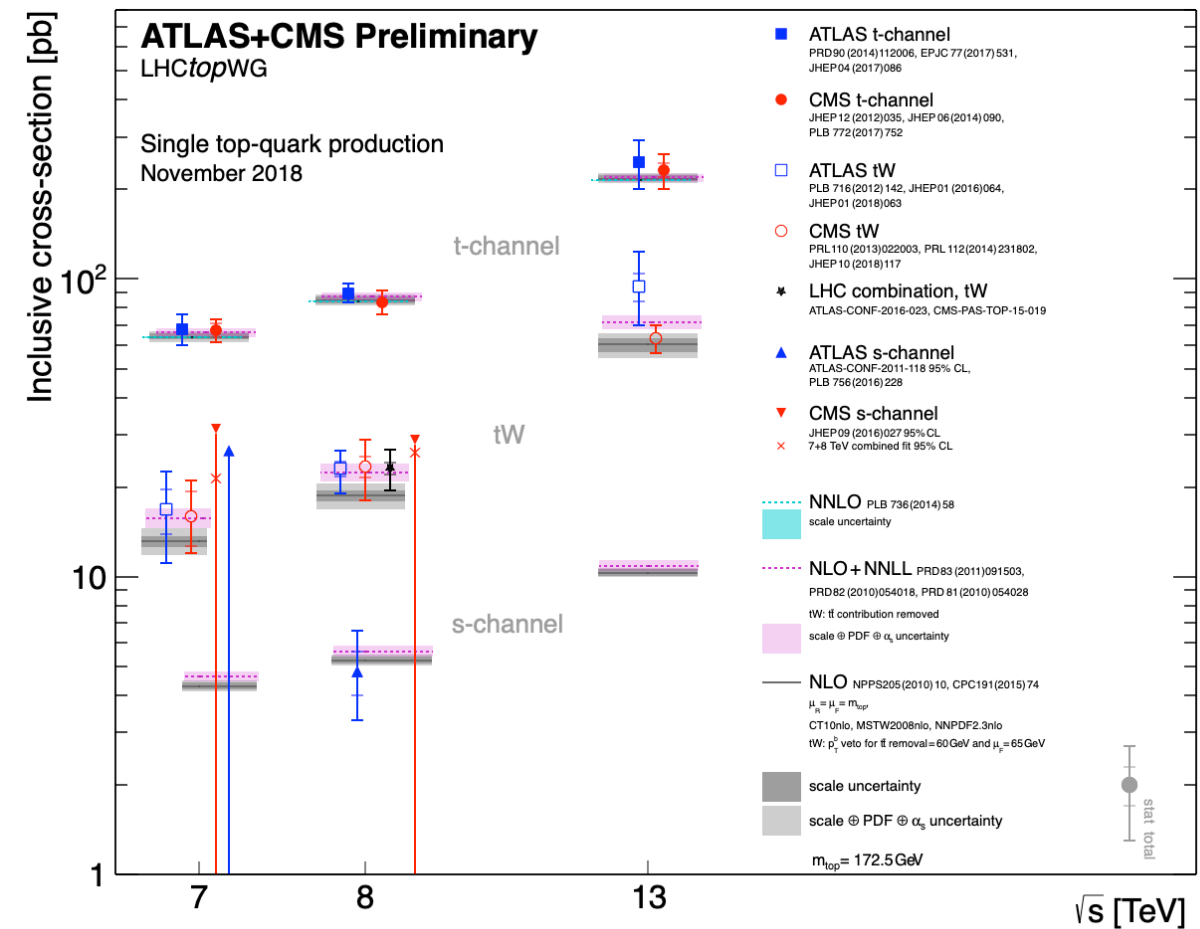
tZq measurement with 77 fb⁻¹ & published in Phys. Rev. Lett. 122, 132003



- Production via EWK interaction

→ smaller cross sections, large backgrounds

- Precise determination of $|V_{tb}|$, constrain PDFs, FCNC

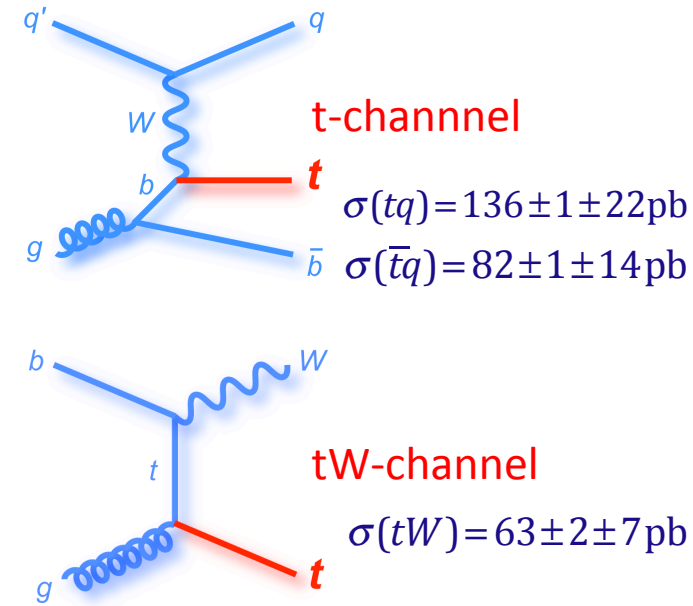
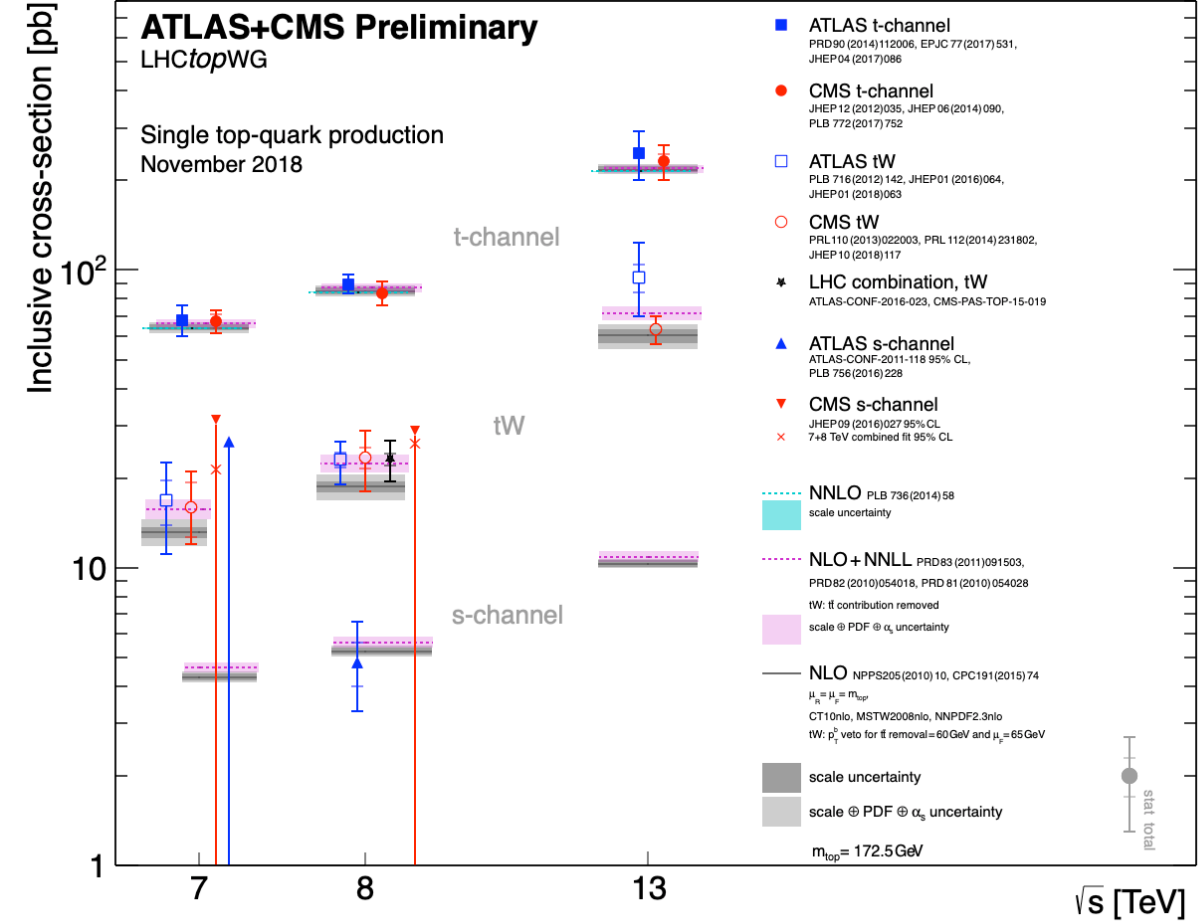


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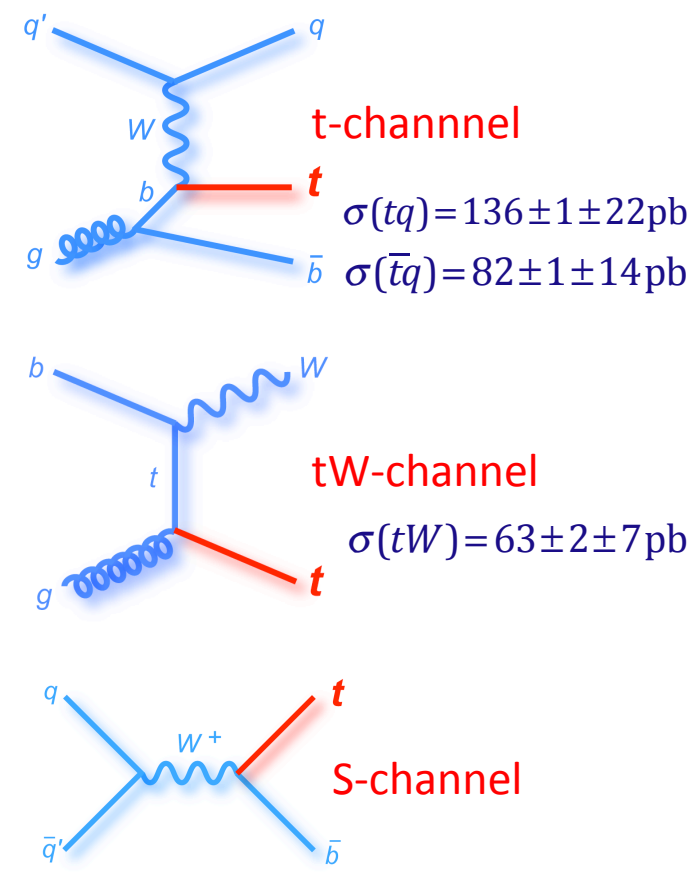
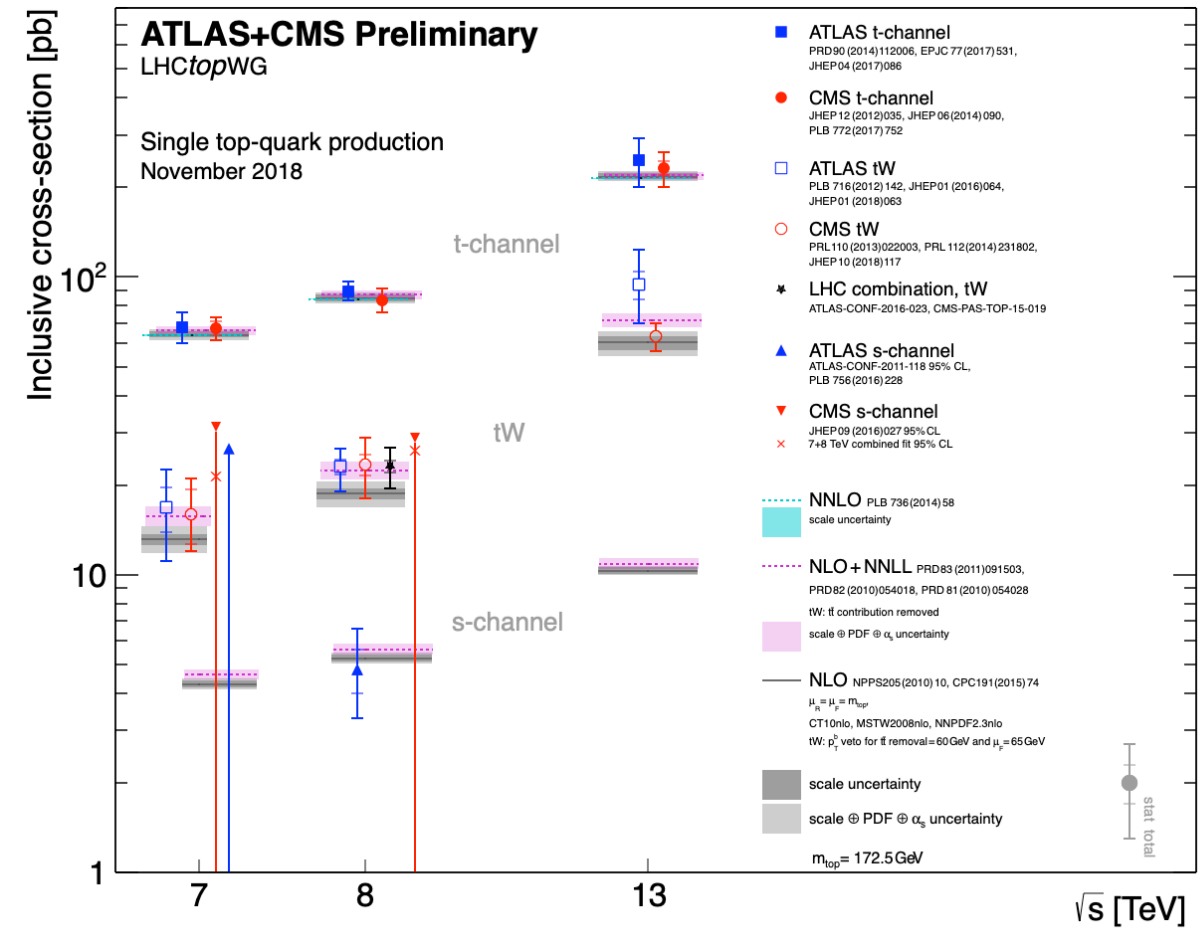
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Single top-quark production



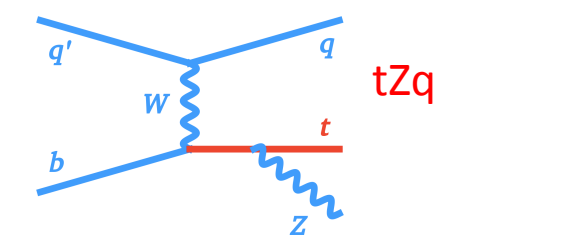
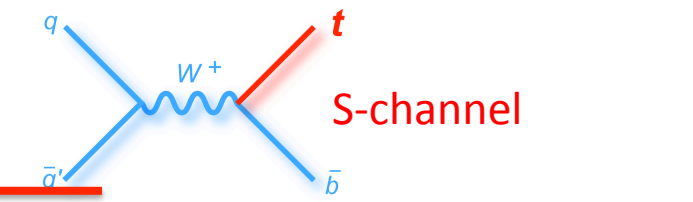
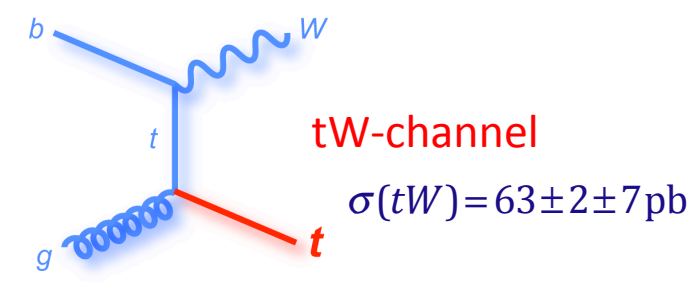
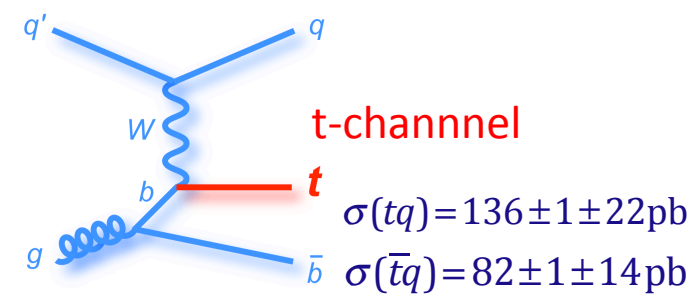
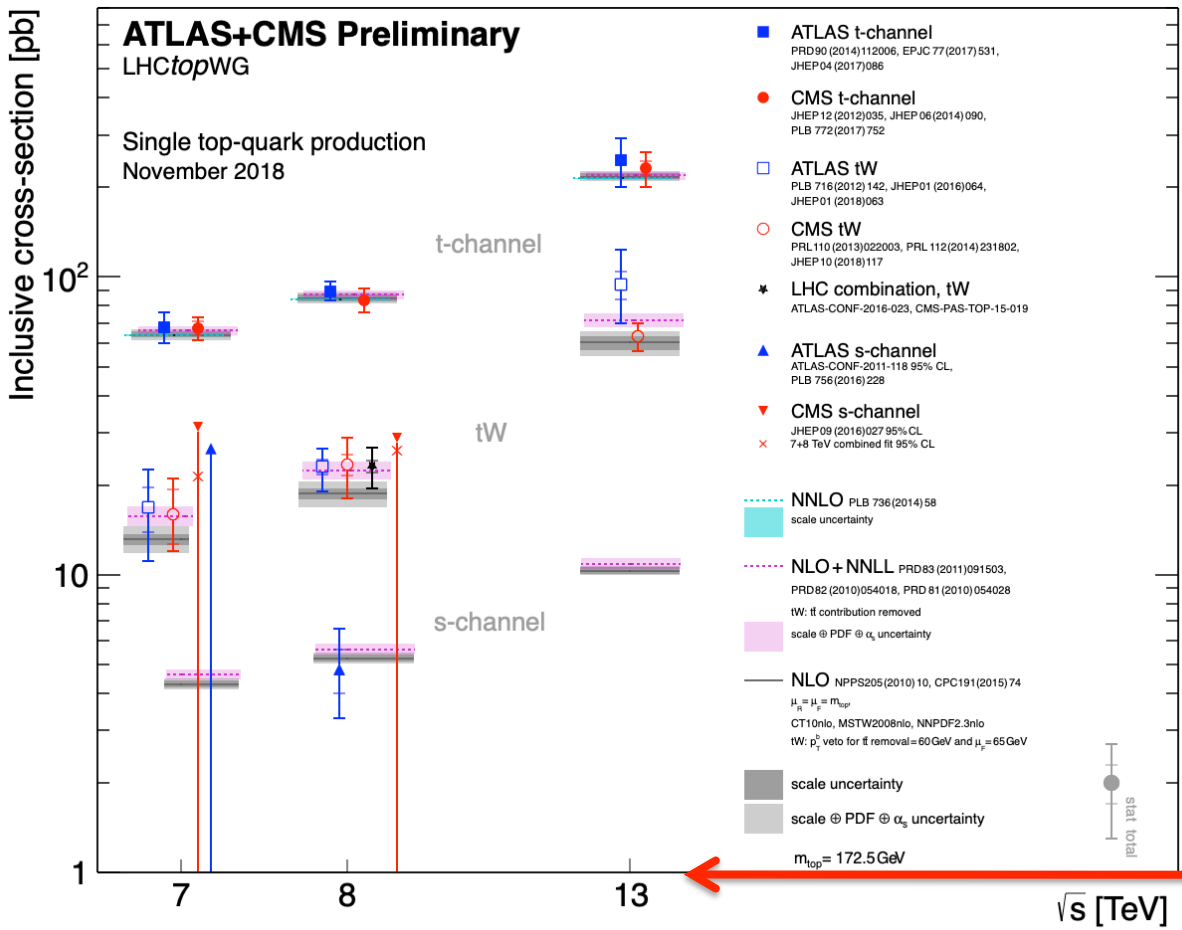
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Single top-quark production

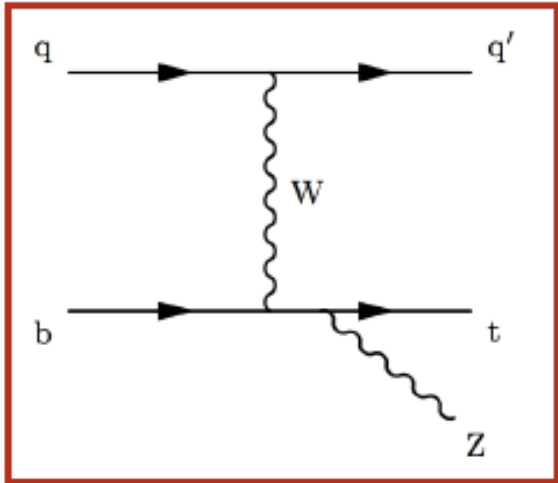


- Production via EWK interaction
→ smaller cross sections, large backgrounds
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Single top-quark production

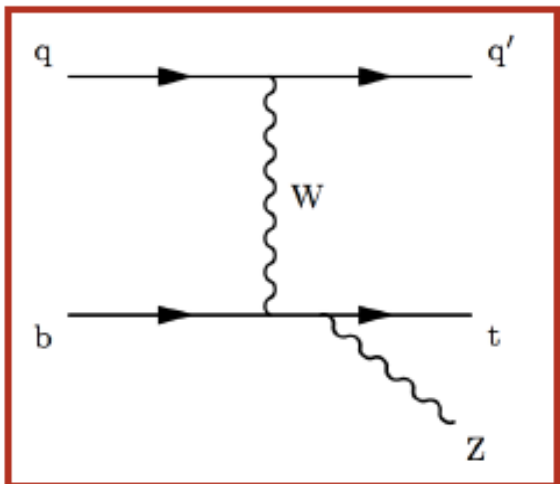


$\sigma(tZq) \sim 1 \text{ pb}$ **Rare!!!**

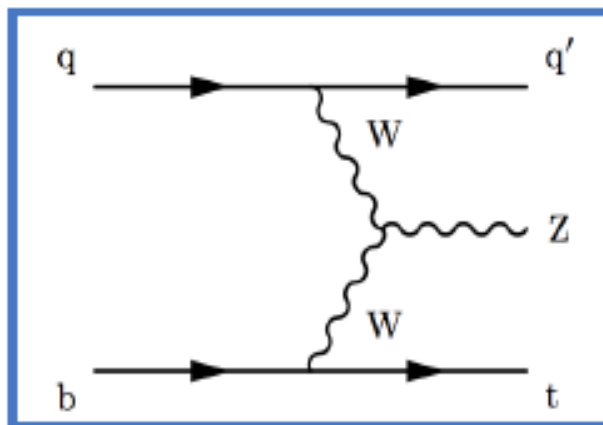


Top-Z coupling,
complementary to ttZ

A rare process with interesting properties!

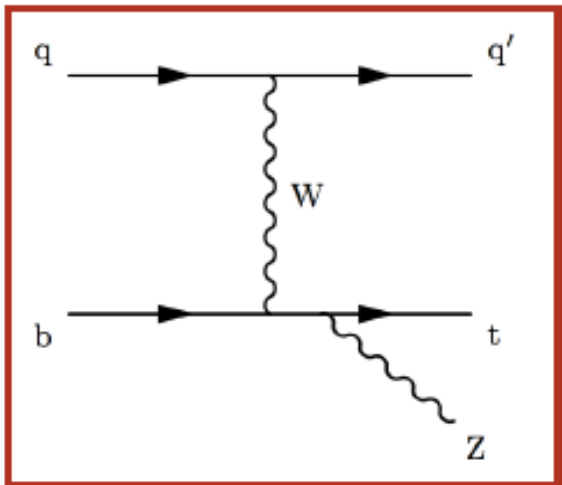


Top-Z coupling,
complementary to ttZ

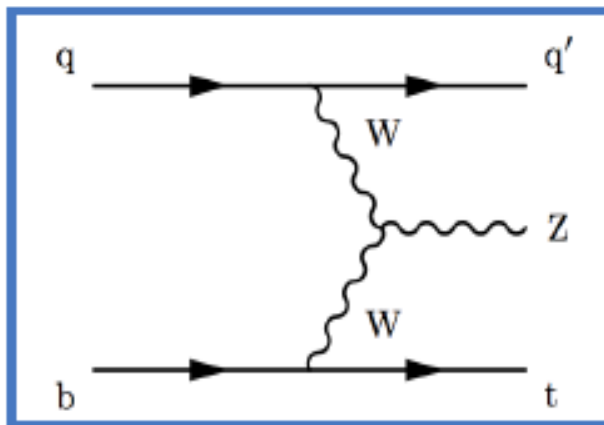


Sensitive to WWZ vertex

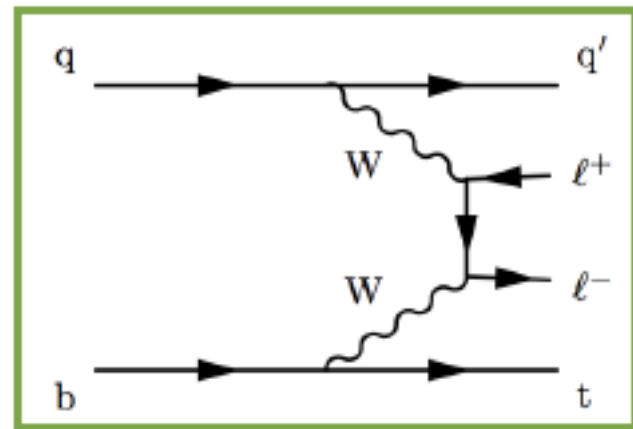
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Top-Z coupling,
complementary to ttZ

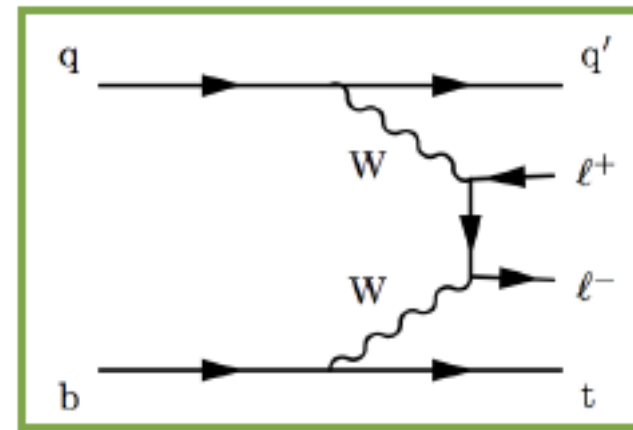
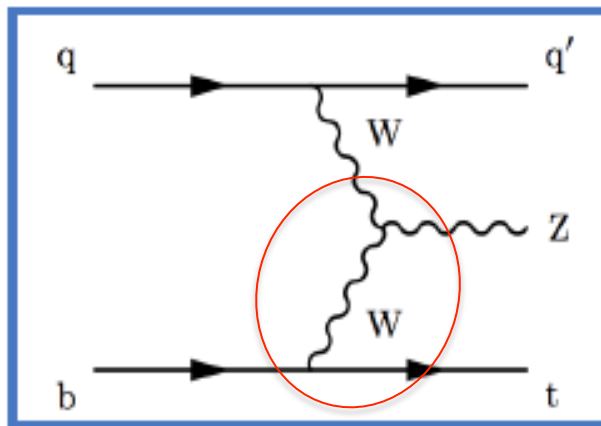
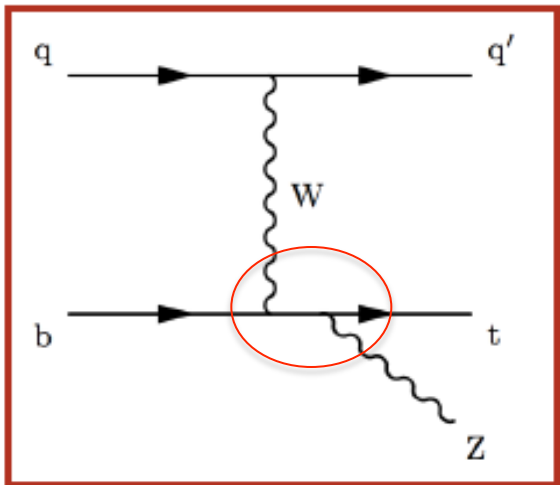


Sensitive to WWZ vertex



No on-shell Z

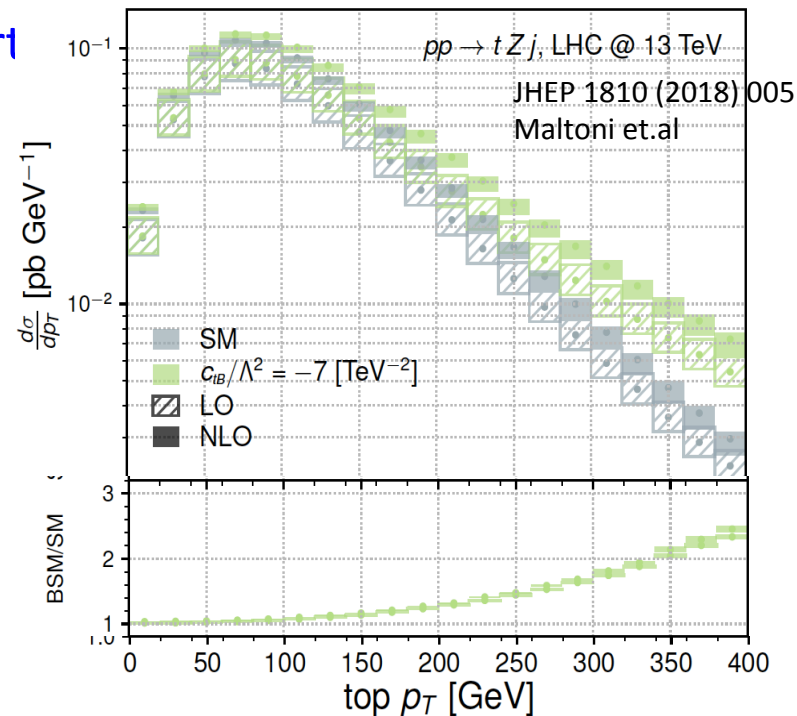
A rare process with interesting properties!



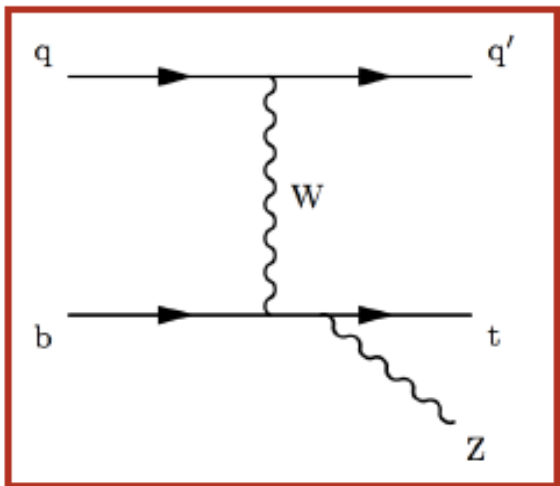
Top-Z coupling,
complementary to ttZ

Sensitive to WWZ vert

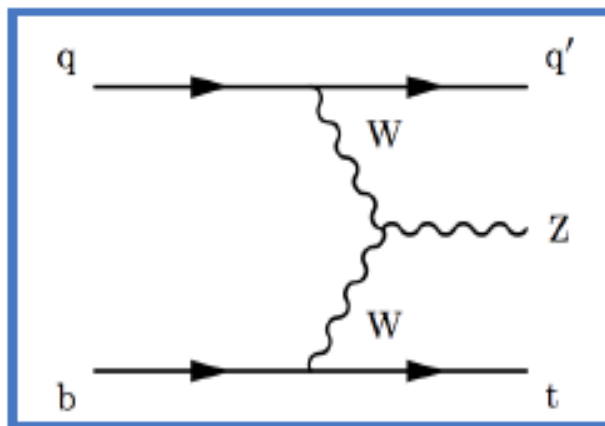
- Unique sensitivity to some EFT operators due to $Wb \rightarrow tZ$ vertex
- FCNC



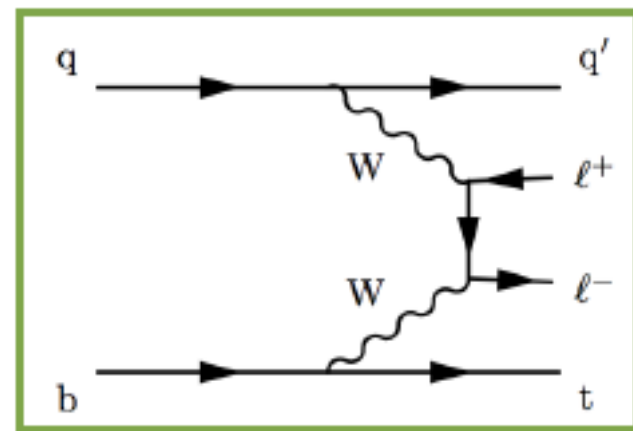
A rare process with interesting properties!



Top-Z coupling,
complementary to ttZ

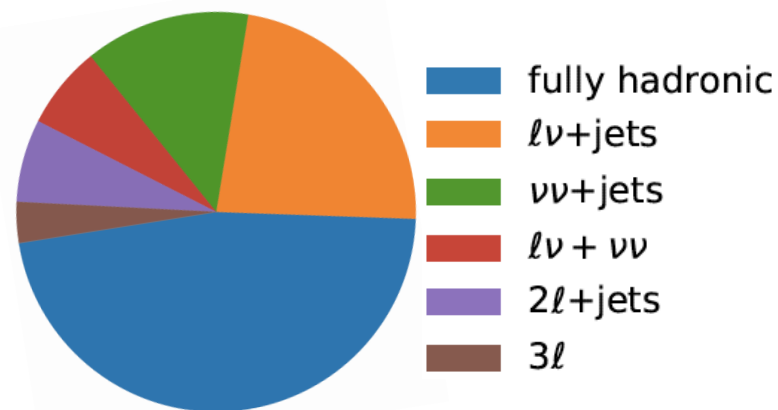


Sensitive to WWZ vertex



No on-shell Z

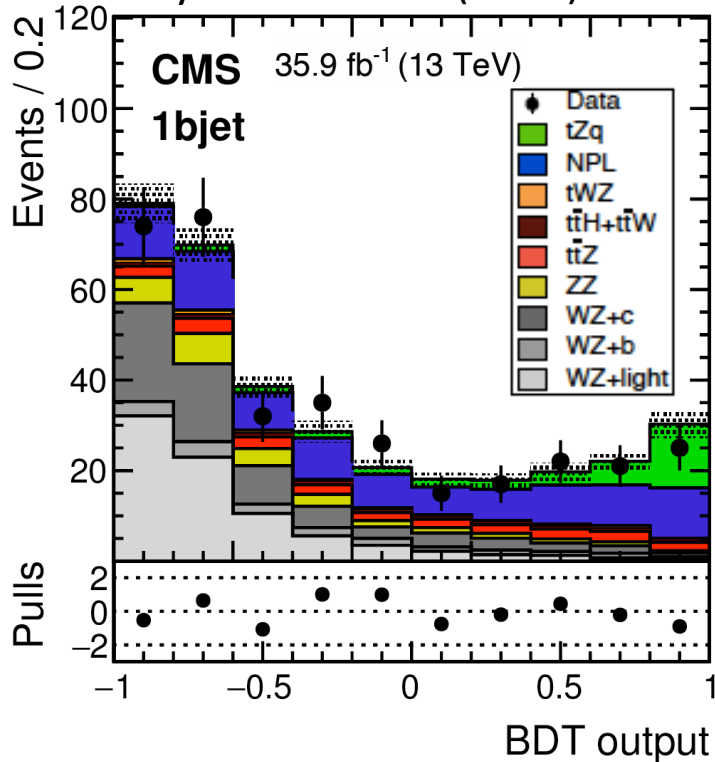
- $BR(tZq \rightarrow bl\nu\ell^\pm\ell^\mp q) \sim 3\%$, but experimentally easier
- Nonetheless challenging large SM bkg.



Previous results on tZq

CMS result with 2016 data

Phys. Lett. B 779 (2018) 358

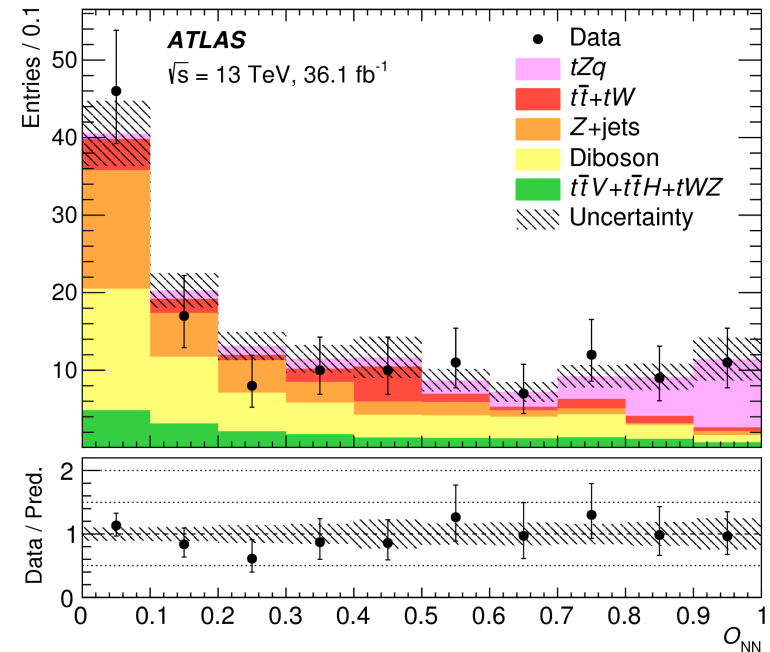


$$\mu = 1.31^{+0.35}_{-0.33}(\text{stat})^{+0.31}_{-0.25}(\text{sys})$$

3.7 (3.1) σ Obs.(Exp.)

ATLAS result with 2016 data

Phys. Lett. B 780 (2018) 557

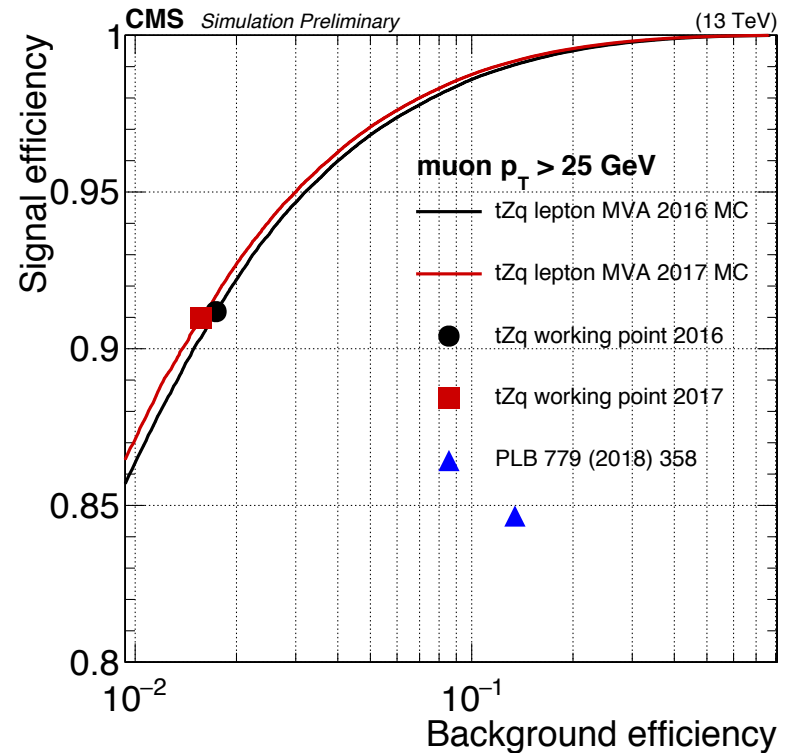
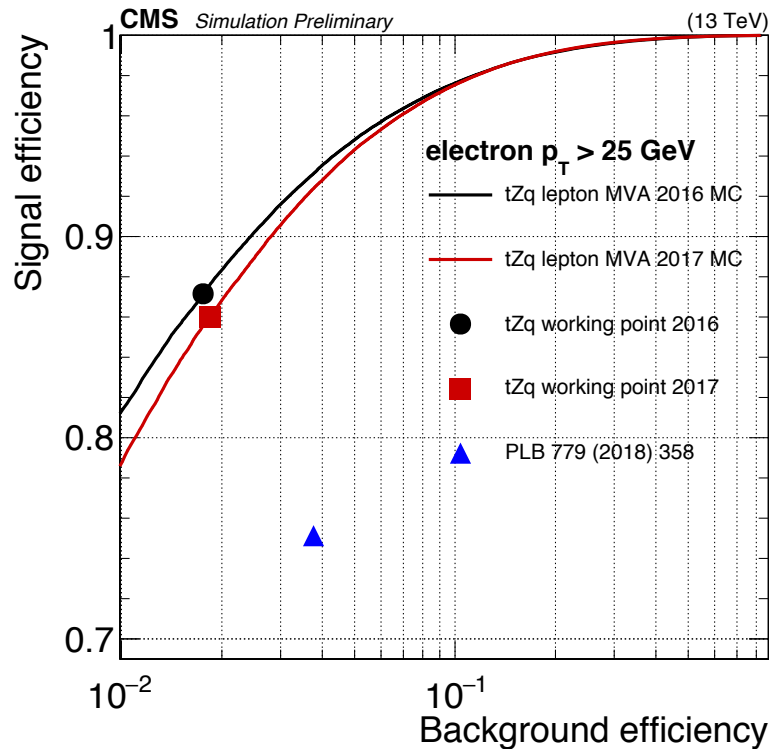


$$\mu = 0.75 \pm 0.21(\text{stat}) \pm 0.17(\text{sys})$$

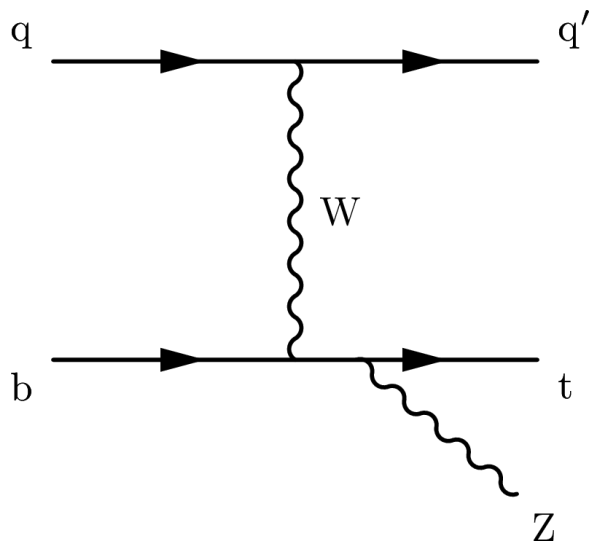
4.2 (5.4) σ Obs.(Exp.)

Both measurements with about 35% uncertainty

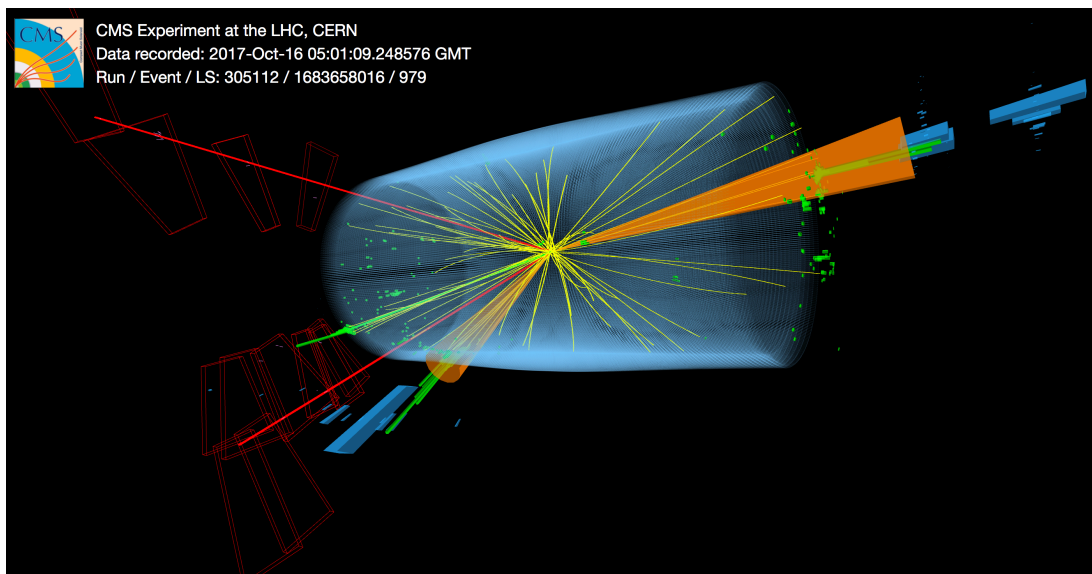
- Dedicated lepton selection using MVA for this analysis
- Crucial for reducing backgrounds from nonprompt leptons
- **Input variables:** jets closest to lepton, impact parameters, isolation, lepton p_T , η , +usual identification variables

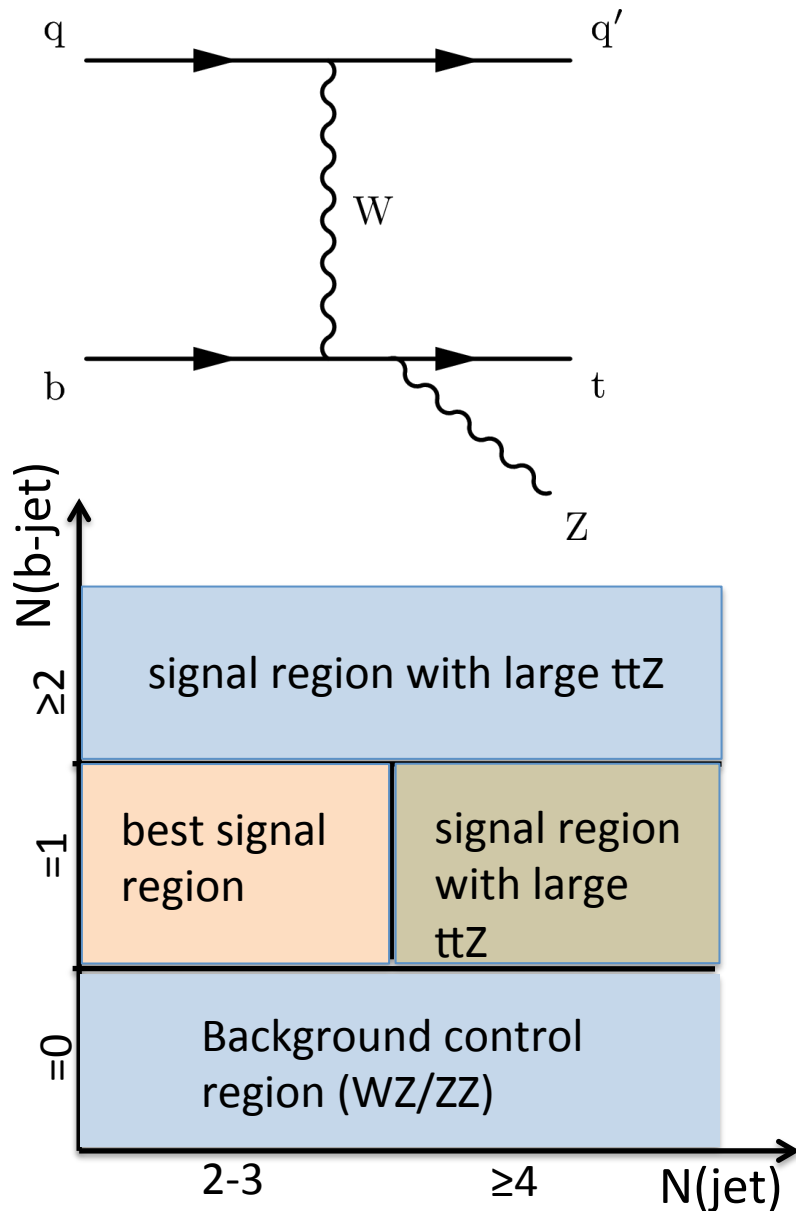


- ➔ 8-12% gain per signal lepton efficiency
- ➔ factor 2(8) reduction in nonprompt electron (muon) background



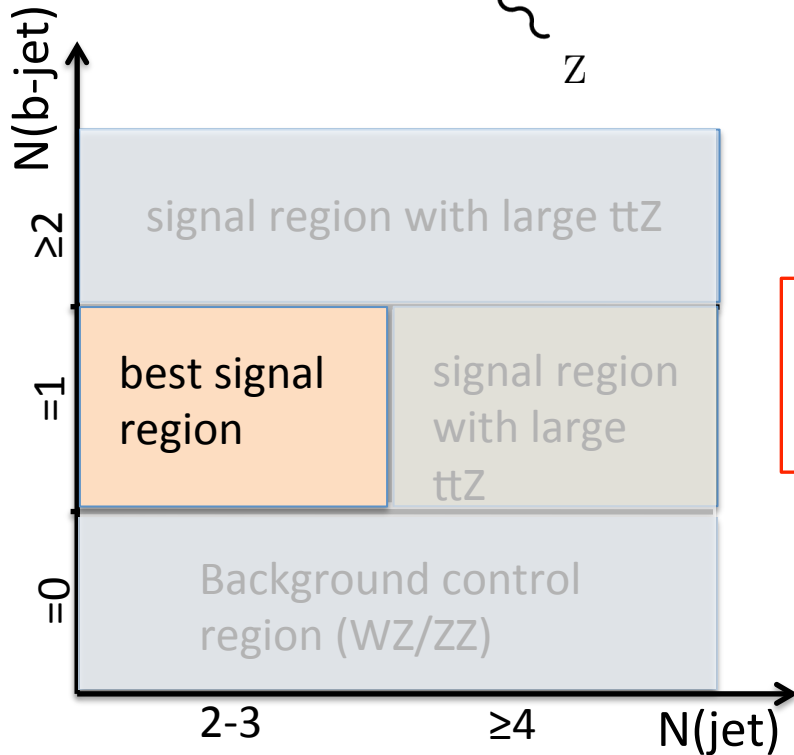
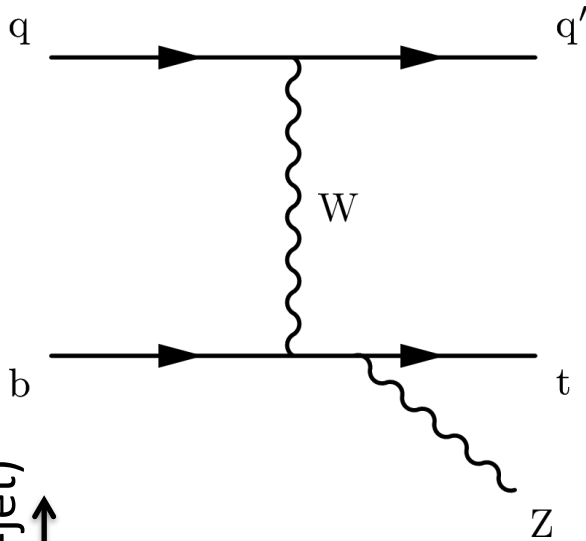
- 3 leptons $eee, ee\mu, e\mu\mu, \mu\mu\mu$
- $p_T(\ell) > 10, 15, 25$ GeV
- Z candidate $|m_{\ell\ell} - m_Z| < 15$ GeV
- At least two jets with $p_T > 25(60)$ GeV





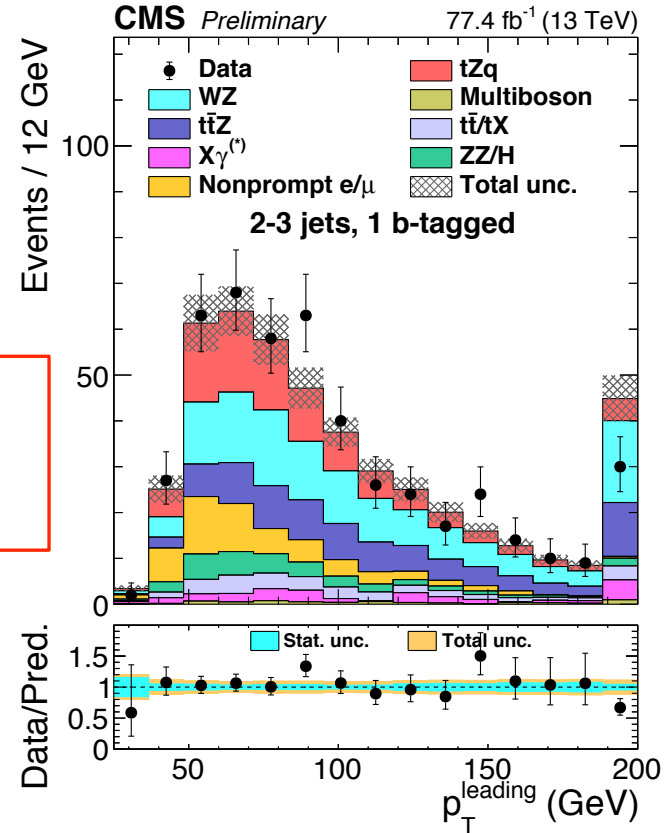
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Event selection & strategy



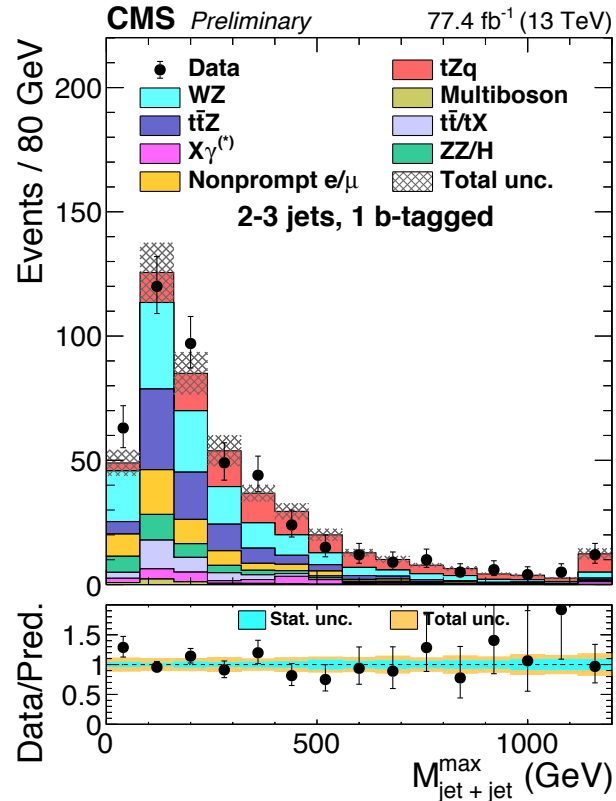
Train BDT in each signal category

- 3 leptons $eee, ee\mu, e\mu\mu, \mu\mu\mu$
- $p_T(\ell) > 10, 15, 25$ GeV
- Z candidate $|m_{\ell\ell} - m_Z| < 15$ GeV
- At least two jets with $p_T > 25(60)$ GeV



Most sensitive kinematic variables:

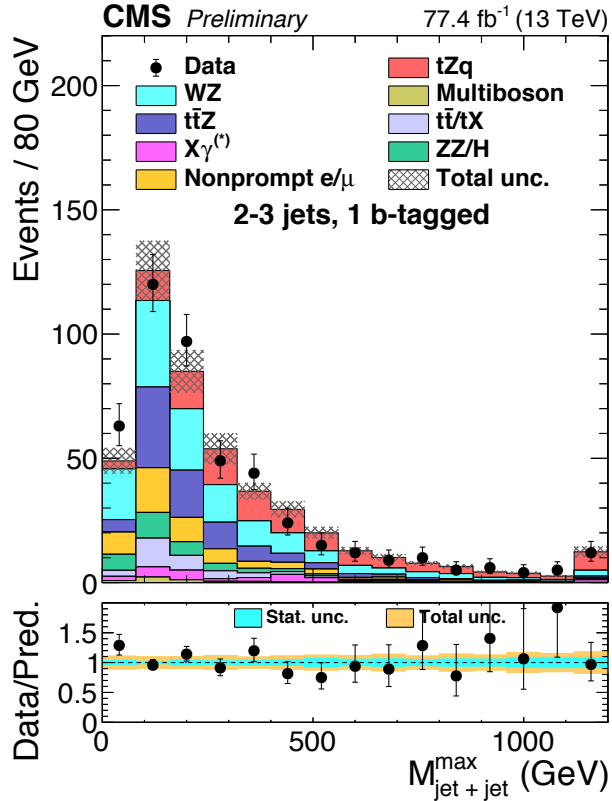
$$N_{jets} = 2,3 \quad N_{bjets} = 1$$



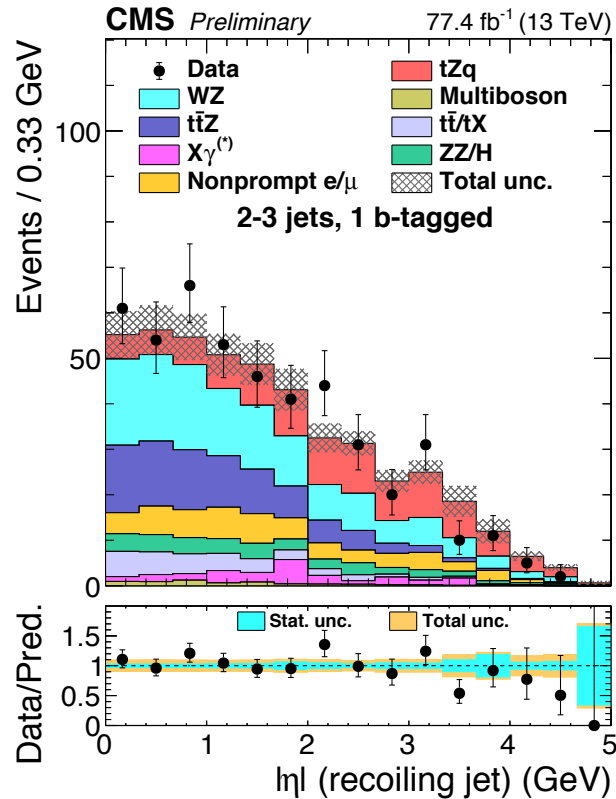
Maximum di-jet invariant mass

$$N_{jets} = 2,3 \quad N_{bjets} = 1$$

Most sensitive kinematic variables:



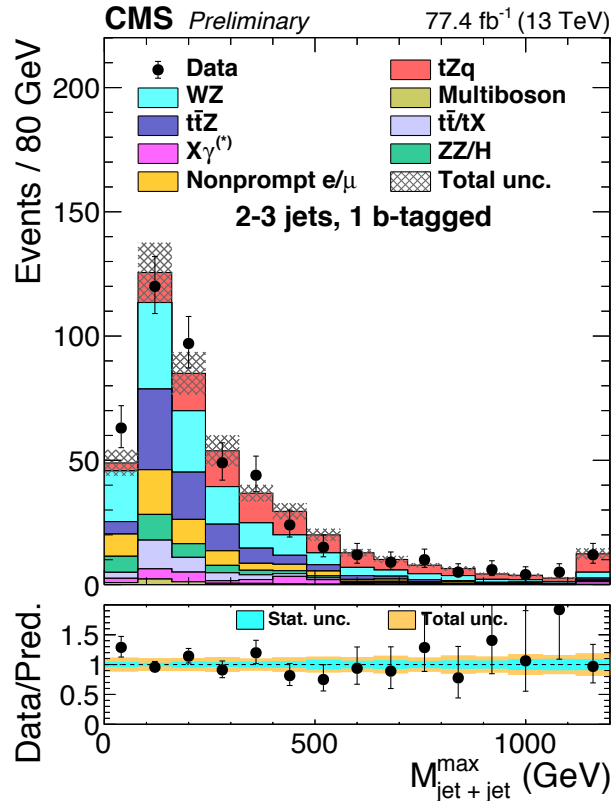
Maximum di-jet invariant mass



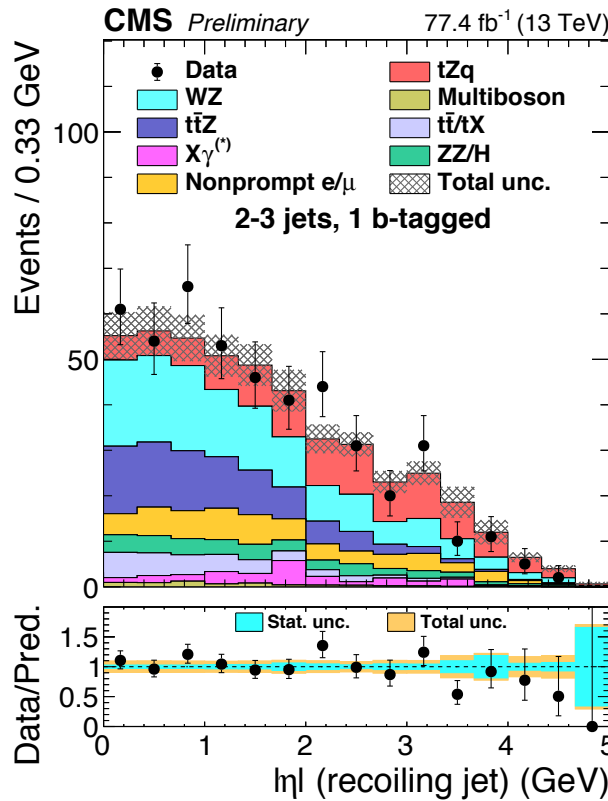
η of the jet recoiling top

Most sensitive kinematic variables:

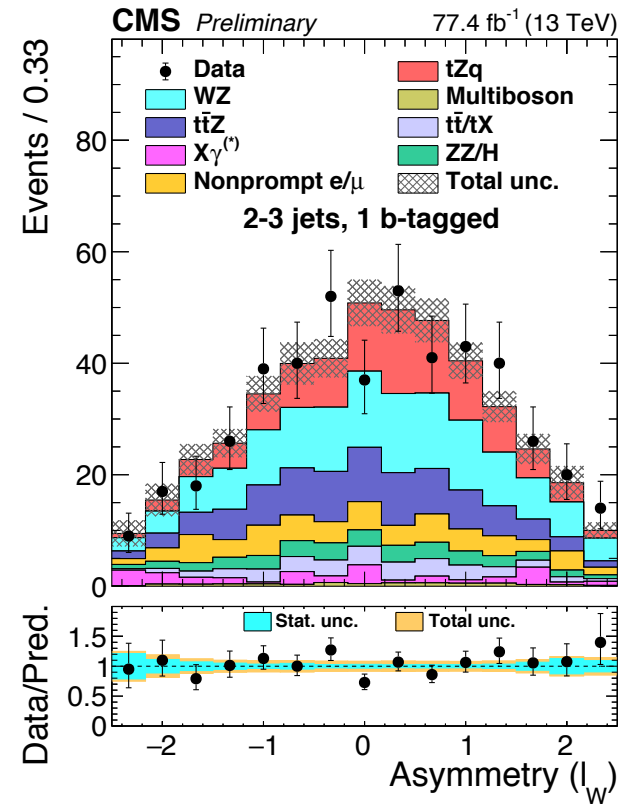
$$N_{jets} = 2,3 \quad N_{bjets} = 1$$



Maximum di-jet invariant mass



$|\eta|$ of the jet recoiling top

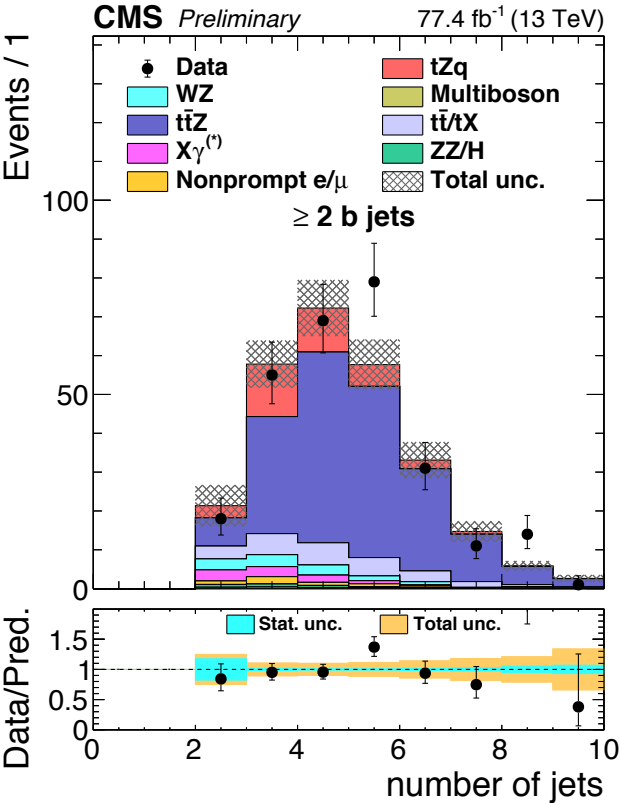
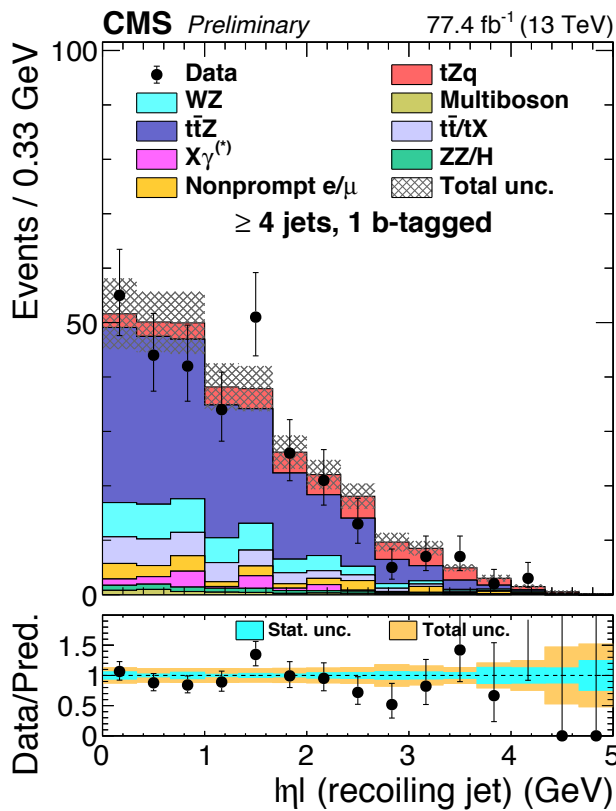
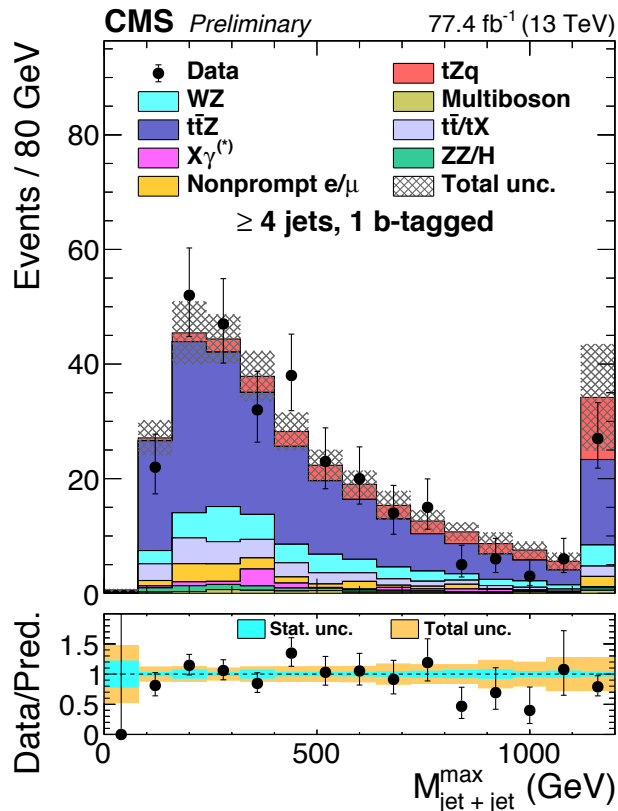


$|\eta| \times \text{charge}(l_W)$

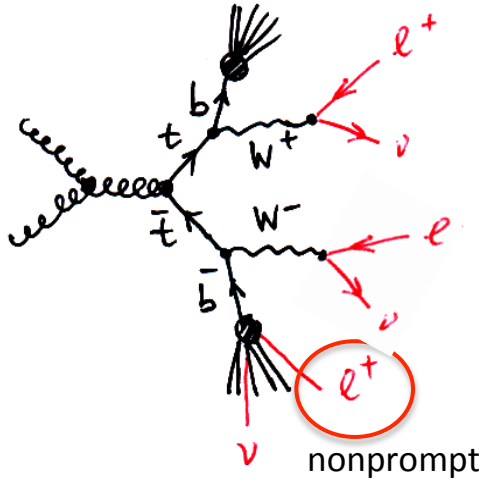
Main backgrounds: WZ, ttZ and nonprompt lepton

$$N_{jets} \geq 4 \quad N_{bjets} = 1$$

$$N_{jets} \geq 4$$

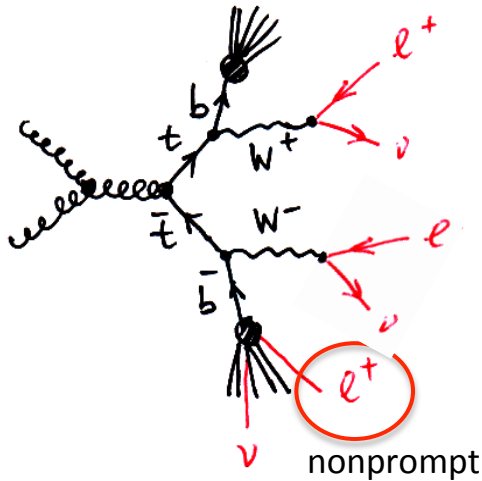


Main background: ttZ with some contribution from ttX and WZ



- Mainly from $t\bar{t}$ and DY:

- Typically two prompt lepton + nonprompt
- Use events with at least 1 l fails "tight" selection but passes the "loose" selection → "Tight-to-Loose" (TL)
- Need to evaluate TL probability (f)

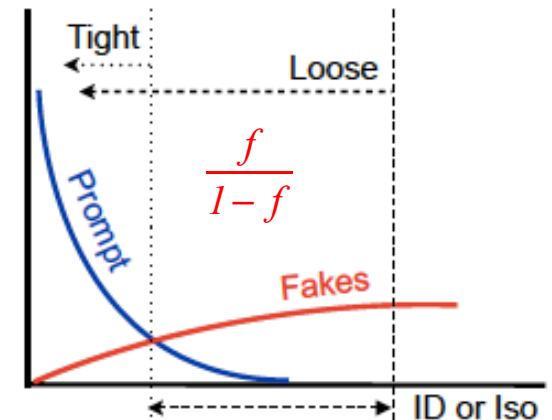
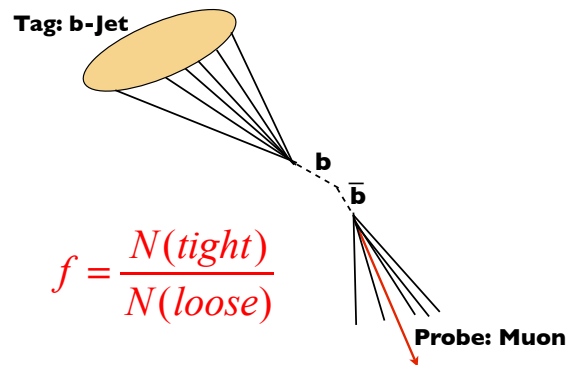


- Mainly from $t\bar{t}$ and DY:

- ➔ Typically two prompt lepton + nonprompt
- ➔ Use events with at least 1 l fails “tight” selection but passes the “loose” selection → “**Tight-to-Loose**” (TL)
- ➔ Need to evaluate TL probability (f)

Measure in QCD di-jet events

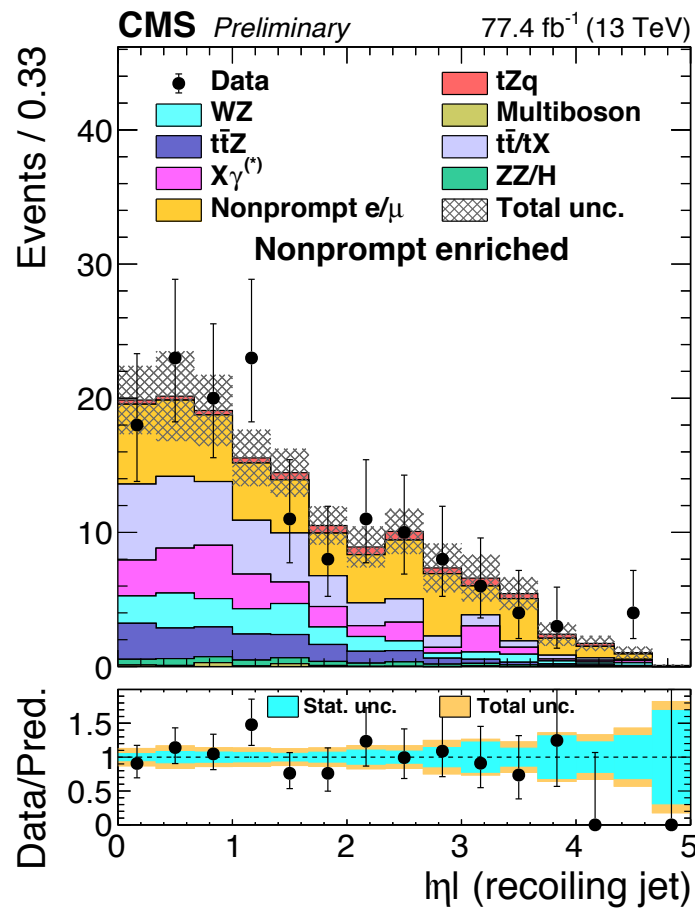
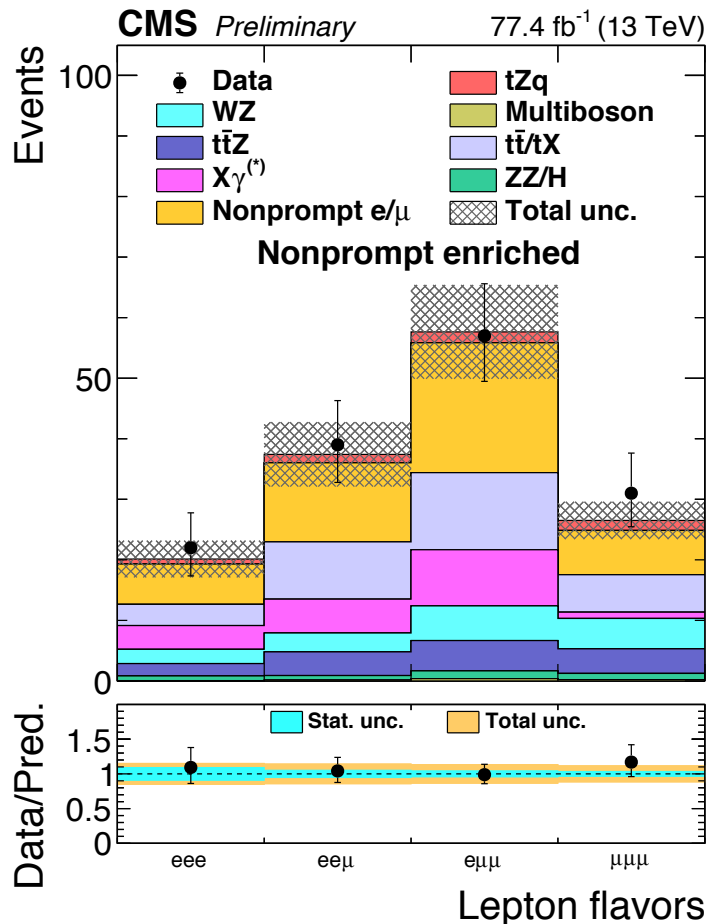
Apply in the signal region



- ➔ **Problem:** f is dependent on mother parton p_T

- ➔ p_T of the closest jet as a proxy $f(p_T, \eta)$

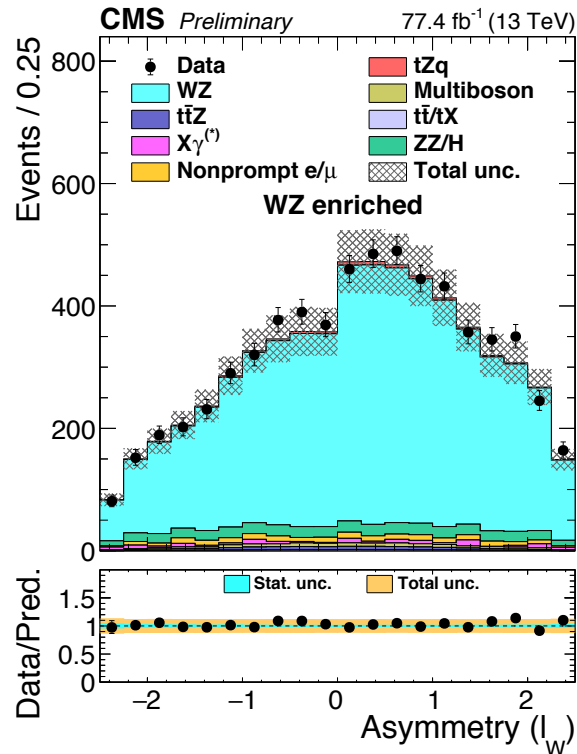
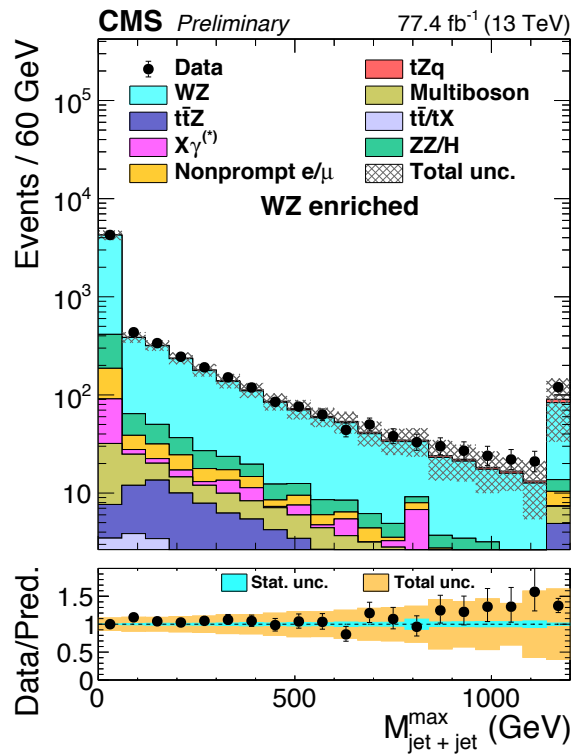
No OSSF pair or $m(\ell\ell)$ outside Z mass window



- Low statistics -> powerful lepton selection
- 30% systematic uncertainty + stat uncertainty in the control region

WZ/ZZ control regions

3ℓ , with a Z candidate, $N_{bjet} = 0, E_T^{miss} > 50$ GeV

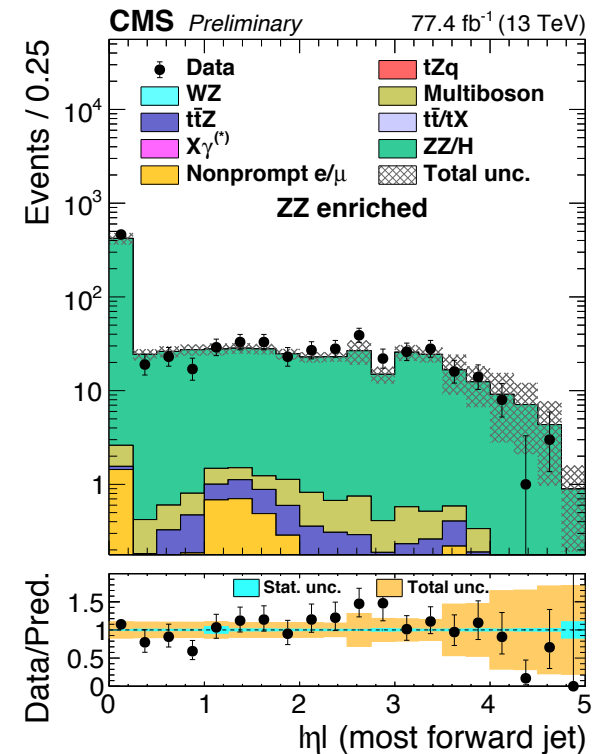
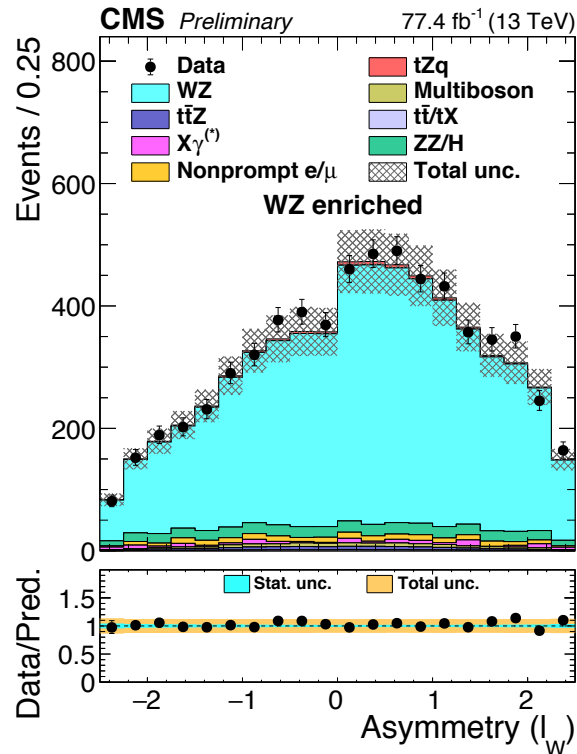
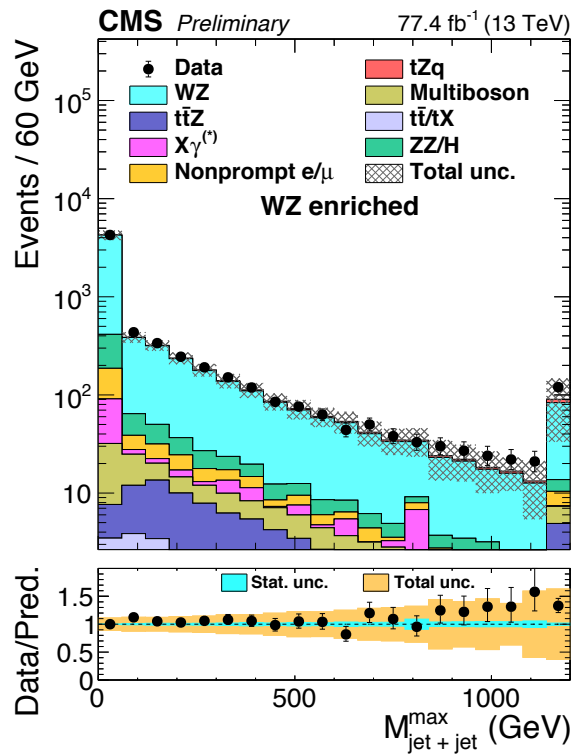


- Good agreement for both normalization & shape of BDT input variables
- 10% normalization uncertainty & 8% for extrapolating to $N_{bjet} > 0$

WZ/ZZ control regions

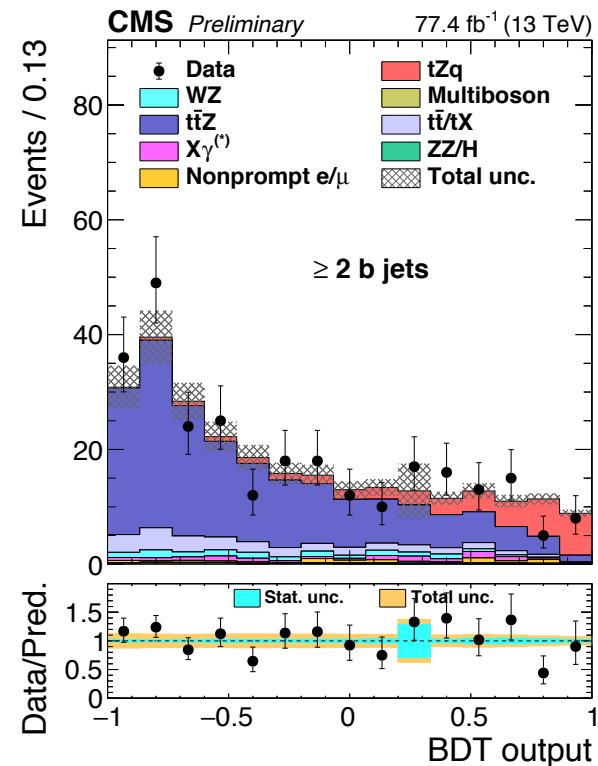
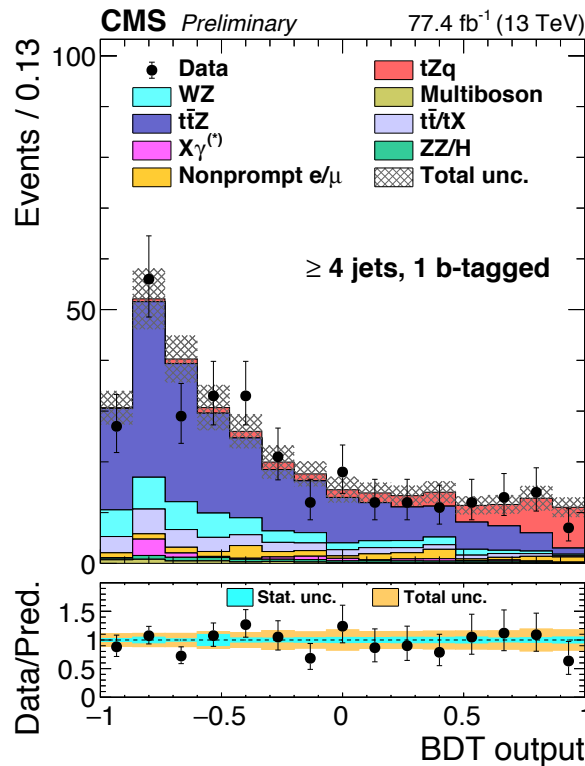
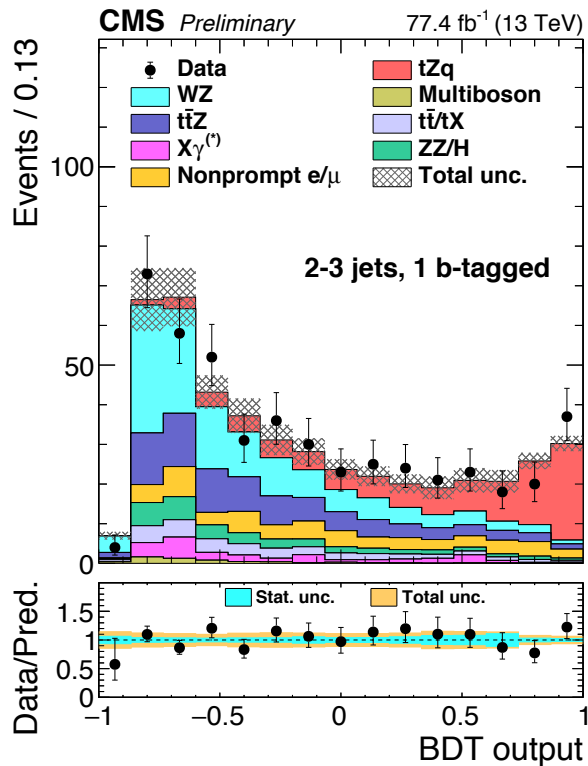
3ℓ , with a Z candidate, $N_{bjet} = 0, E_T^{miss} > 50$ GeV

4ℓ , two Z candidates



- Good agreement for both normalization & shape of BDT input variables
- 10% normalization uncertainty & 8% for extrapolating to $N_{bjet} > 0$
- Other small backgrounds (ttW, ttH, tWZ, multiboson taken from simulation with theoretical and experimental uncertainties

tZq results (1)



- Binned maximum-likelihood fit to all three distributions & WZ and ZZ control regions
- Nuisance parameters for normalization and shape uncertainties
- Good agreement between prediction and observed data

$$\mu = 1.36^{+0.22}_{-0.20}(\text{stat})^{+0.14}_{-0.12}(\text{sys}) \quad \text{2016 data}$$

$$\mu = 1.03^{+0.18}_{-0.17}(\text{stat})^{+0.14}_{-0.12}(\text{sys}) \quad \text{2017 data}$$



$$\mu = 1.18^{+0.14}_{-0.13}(\text{stat})^{+0.11}_{-0.10}(\text{sys})$$

observed(expected) significance 8.2(7.7) σ

Asymptotic CLs approach

First observation of tZq process !

$$\mu = 1.36^{+0.22}_{-0.20}(\text{stat})^{+0.14}_{-0.12}(\text{sys}) \quad \text{2016 data}$$

$$\mu = 1.03^{+0.18}_{-0.17}(\text{stat})^{+0.14}_{-0.12}(\text{sys}) \quad \text{2017 data}$$



$$\mu = 1.18^{+0.14}_{-0.13}(\text{stat})^{+0.11}_{-0.10}(\text{sys})$$

observed(expected) significance 8.2(7.7) σ

Asymptotic CLs approach

First observation of tZq process !

Measured cross section

$$\sigma(tZq \rightarrow t\ell^+\ell^-q) = 111 \pm 13(\text{stat})^{+11}_{-9}(\text{syst}) \text{ fb}$$

15% precision

NLO SM prediction

Phys. Lett. B 779 (2018) 358

$$94.2 \pm 3.1 \text{ fb}$$

Measured cross section

$$\sigma(tZq \rightarrow t\ell^+\ell^-q) = 111 \pm 13(stat)_{-9}^{+11}(syst) \text{ fb}$$

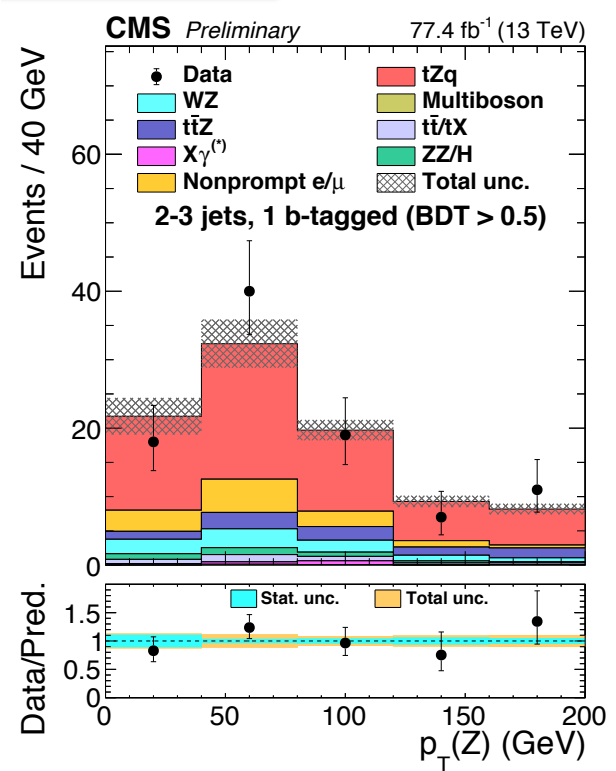
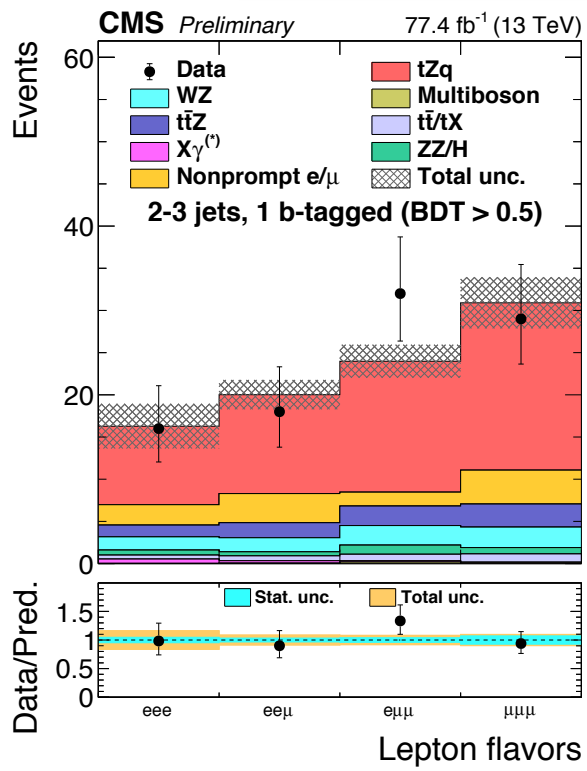
15% precision

Source	impact ($\pm\%$)
Nonprompt bkg.	4.1
Lepton selection	3.2
Jet Energy Scale 2016(2017)	0.9(3.1)
Parton Shower Mod.	2
QCD Scale choice (tZq)	2
Pile Up	1.9
QCD Scale choice (ttZ)	1.4

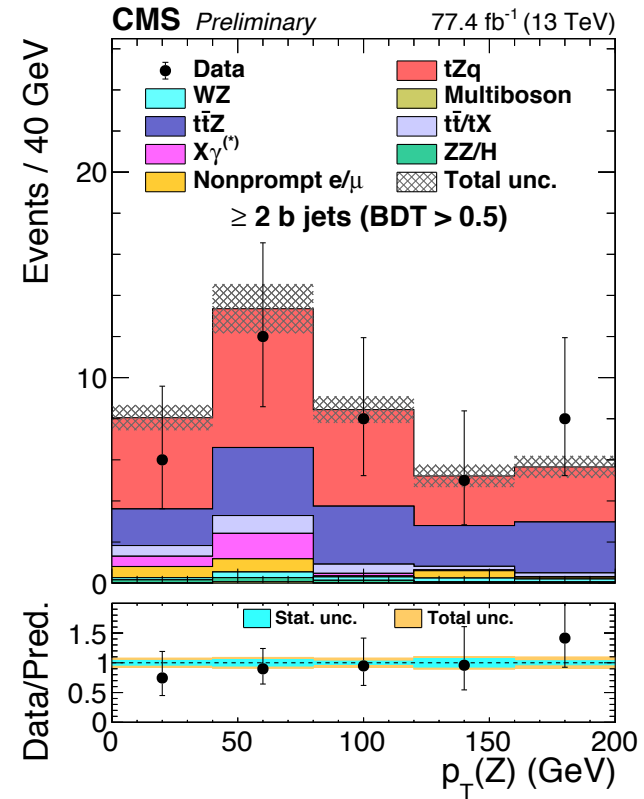
- Several improvements in the analysis paid off
- In particular nonprompt lepton background reduction
- Still statistical uncertainties dominate

tZq results (4)

$N_{jets} = 2,3 \quad N_{bjets} = 1, \quad BDT > 0.5$



$N_{bjets} \geq 2 \quad BDT > 0.5$



• Next step is to measure differential cross sections and explore constraining new physics via EFT

- First observation of the tZq production with significance **well above 5σ**

$$\sigma(tZq \rightarrow t\ell^+\ell^-q) = 111 \pm 13(\text{stat})_{-9}^{+11}(\text{syst}) \text{ fb}$$

15% precision

- In agreement with the SM prediction

- First observation of the tZq production with significance **well above 5σ**

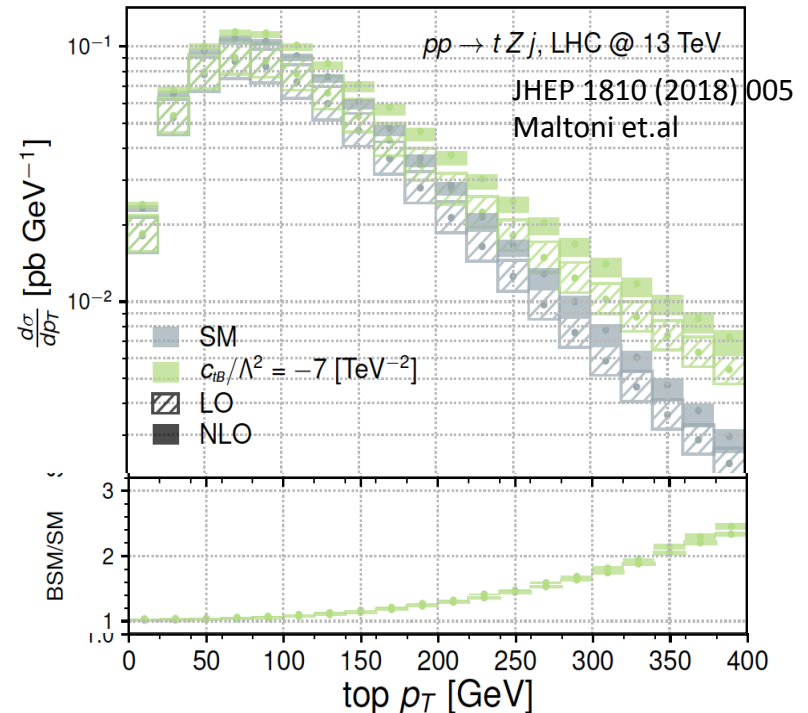
$$\sigma(tZq \rightarrow t\ell^+\ell^-q) = 111 \pm 13(\text{stat})_{-9}^{+11}(\text{syst}) \text{ fb}$$

15% precision

- In agreement with the SM prediction

Next:

- Full Run II data will reduce the stat uncertainty by $\sim 40\%$
- Important to reduce dominant systematic uncertainties
- Differential measurements are important to probe new physics eg. via EFT



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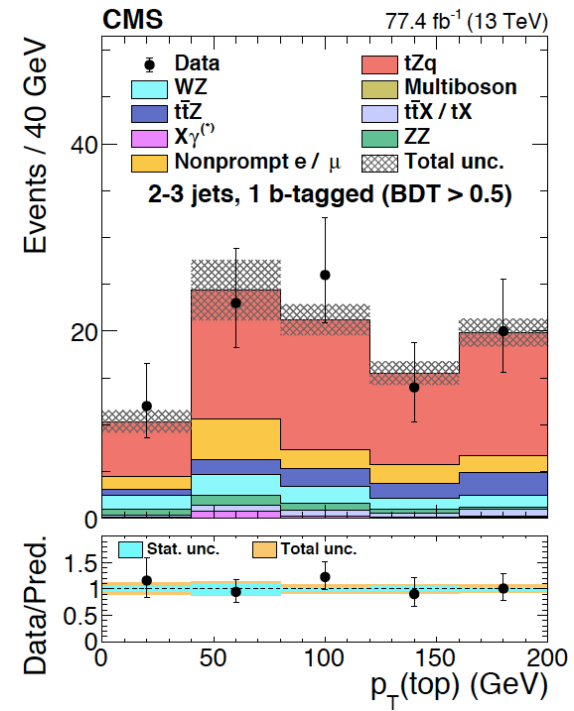
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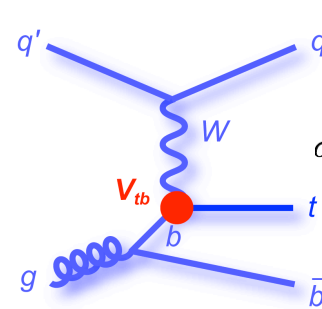
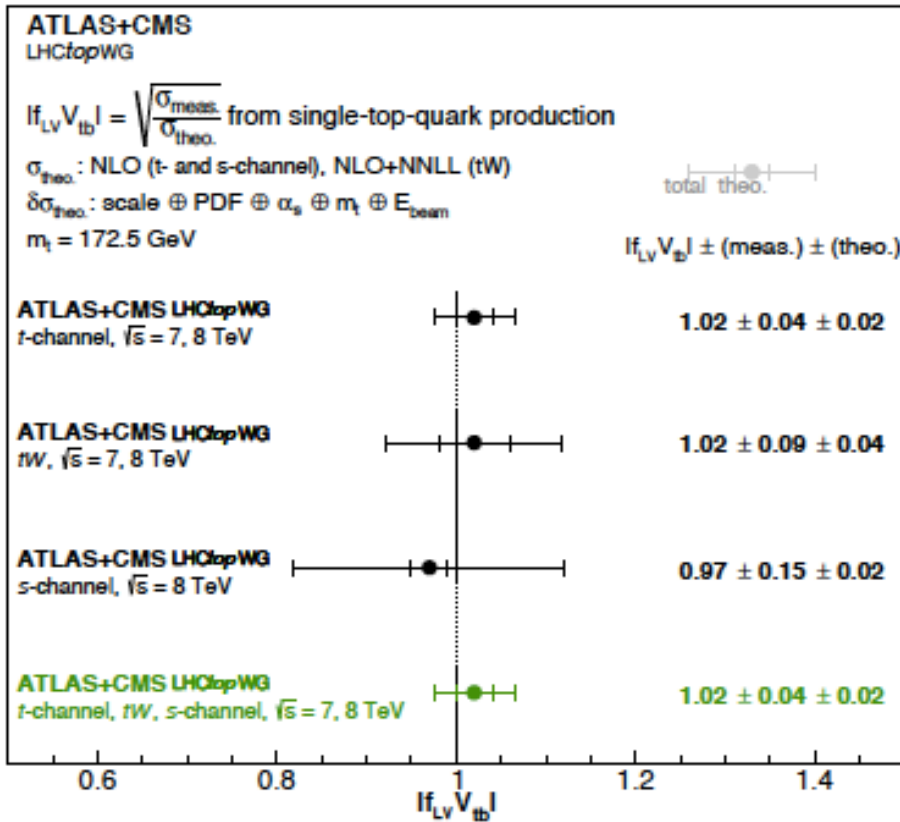
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V_{tq} enter in production and decay vertices

$$\sigma(tj + \bar{t}j) = \sum_{q=b,d,s} \alpha_{tq} \cdot |V_{tq}|^2 \cdot \mathcal{B}(t \rightarrow Wq)$$

Deviations from the SM are parameterized with f_{LV}

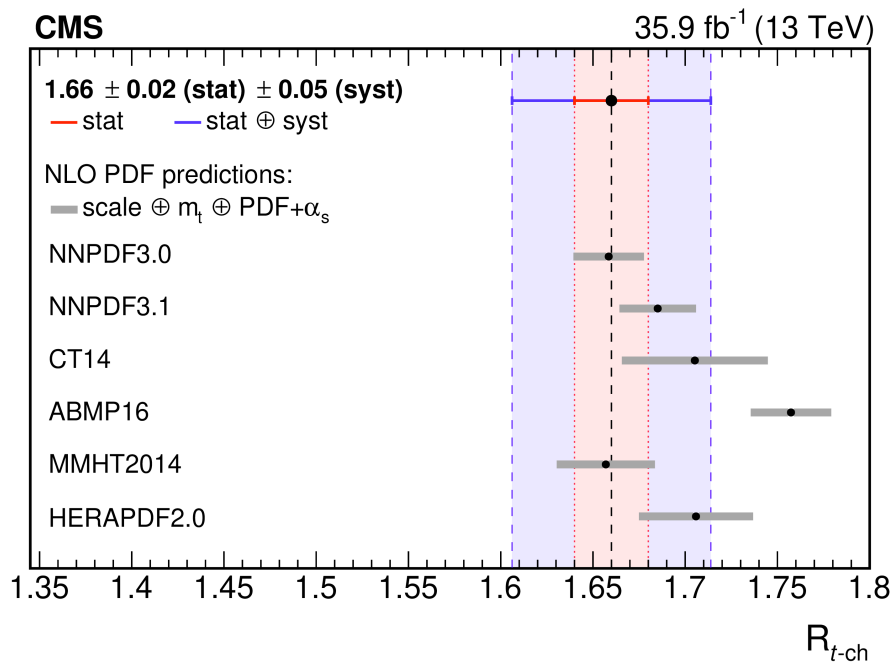
$$|f_{LV} \cdot V_{tb}| = \sqrt{\frac{\sigma_{measured}}{\sigma_{predicted}}}$$

Best measurement is obtained from 7 & 8 TeV combination with $\sim 4\%$ uncertainty

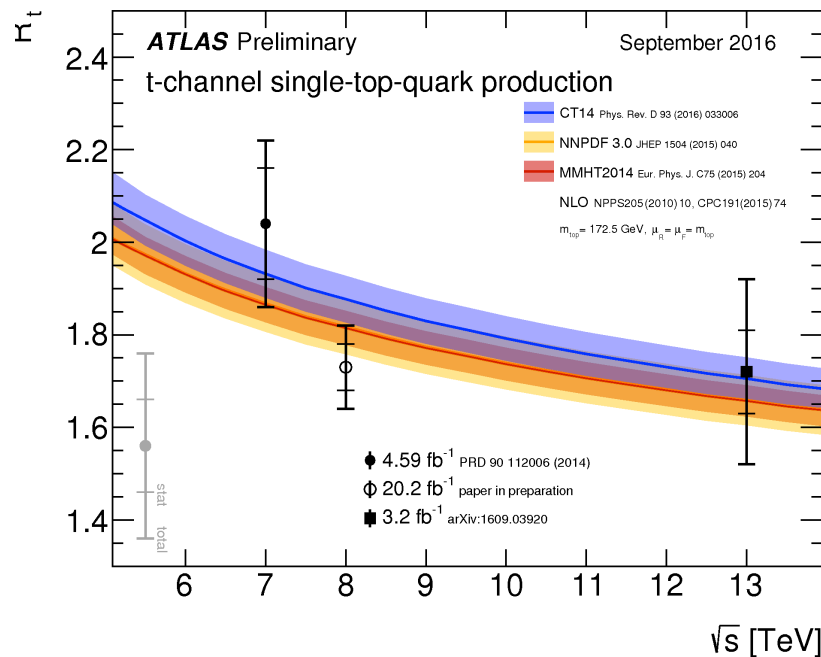
- Expect to improve with luminosity
- limited by theory ($\sim 3\%$ at NNLO)

$$R_1 = \frac{\sigma_{tot}(tq)}{\sigma_{tot}(\overline{tq})} = 1.66 \pm 0.02 \text{ (stat)} \pm 0.05 \text{ (syst)}$$

arXiv:1812.10514



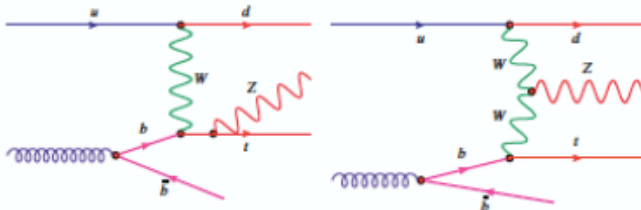
- At higher \sqrt{s} , R_1 approaches 1
- As “sea quarks” become important



Signal samples & theory cross section

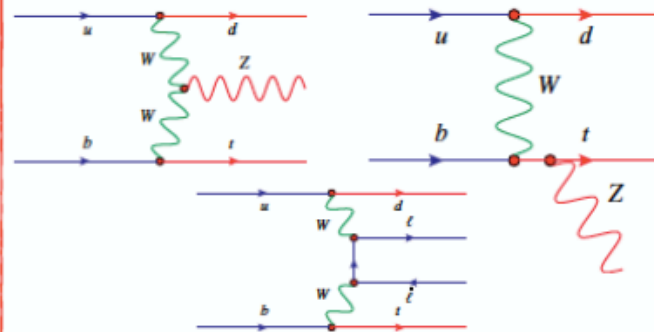
ATLAS

- Signal MC: LO rescaled to NLO.
- Theory cross section:
 - Z boson is forced to be on shell,
 - no cuts are applied,
 - 4-flavour scheme.
- $\sigma_{\text{NLO}}(\tau Z q) = 800 \text{ fb}$
- $\pm 6/7\%$ scale



- ▶ Tau leptonic decays included.
- ▶ Different scale choice between ATLAS and CMS.
- ▶ Theory paper <https://arxiv.org/abs/1302.3856>
- ▶ $\sigma_{\text{NLO}}(\tau Z q) \sim 820 \text{ fb}$.

- Signal MC: NLO.
- Theory cross section:
 - Z boson can be off shell/ γ^* is also included,
 - $m_{\parallel} > 30 \text{ GeV}$,
 - 5-flavour scheme (4FS for MC generation).
- $\sigma_{\text{NLO}}(t\bar{t}lq) = 94 \text{ fb}$
- $\pm 2\%$ scale
- $\pm 2.5\%$ PDF



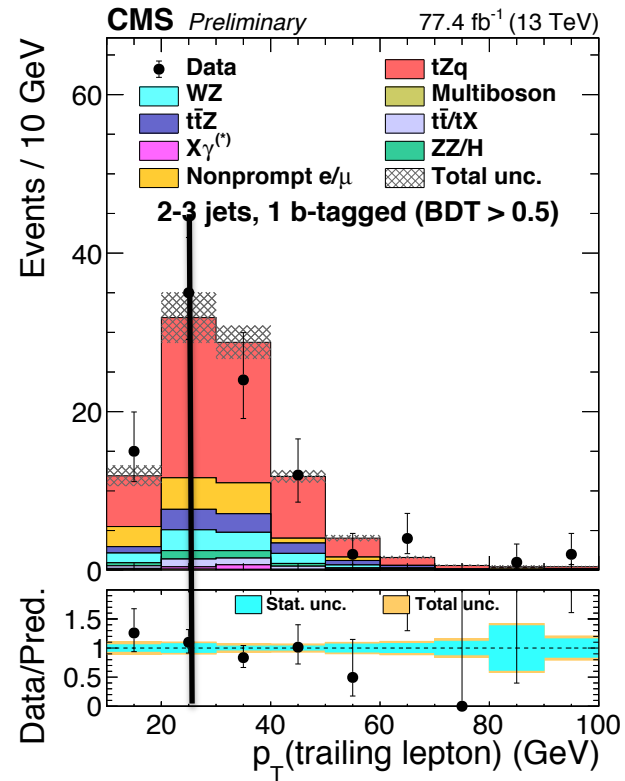
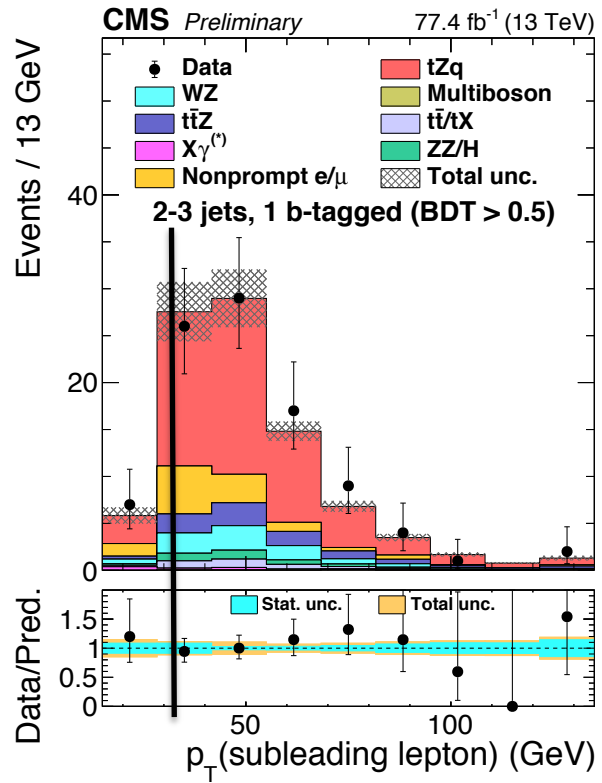
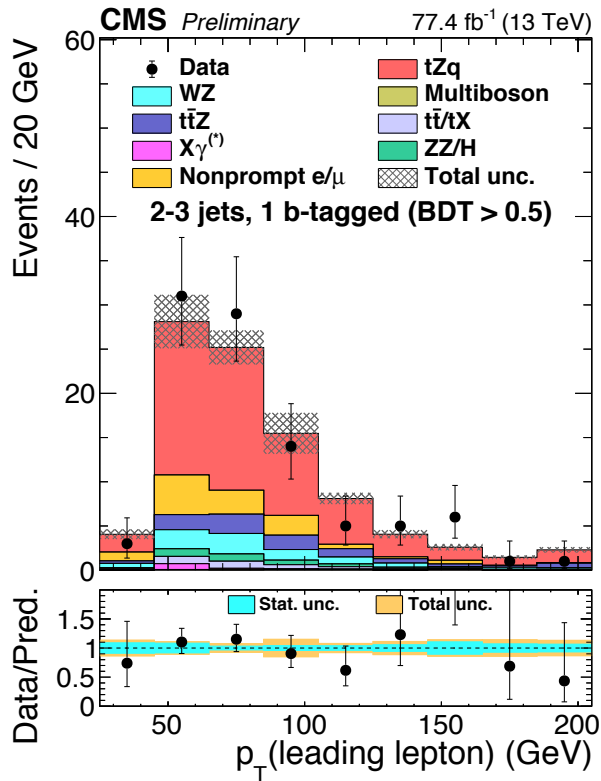
CMS

uncertainty source	impact (%)
Experimental	
lepton selection	3.2
trigger efficiency 2016 (2017)	1.0 (1.1)
jet energy scale 2016 (2017)	0.9 (3.1)
b-tagging efficiency 2016 (2017)	0.7 (1.2)
nonprompt normalization	4.1
$t\bar{t}Z$ normalization	1.0
luminosity 2016 (2017)	1.2 (1.3)
pileup	1.9
other experimental	1.3
Theoretical	
final-state radiation	2.0
tZq QCD scale	2.0
$t\bar{t}Z$ QCD scale	1.4

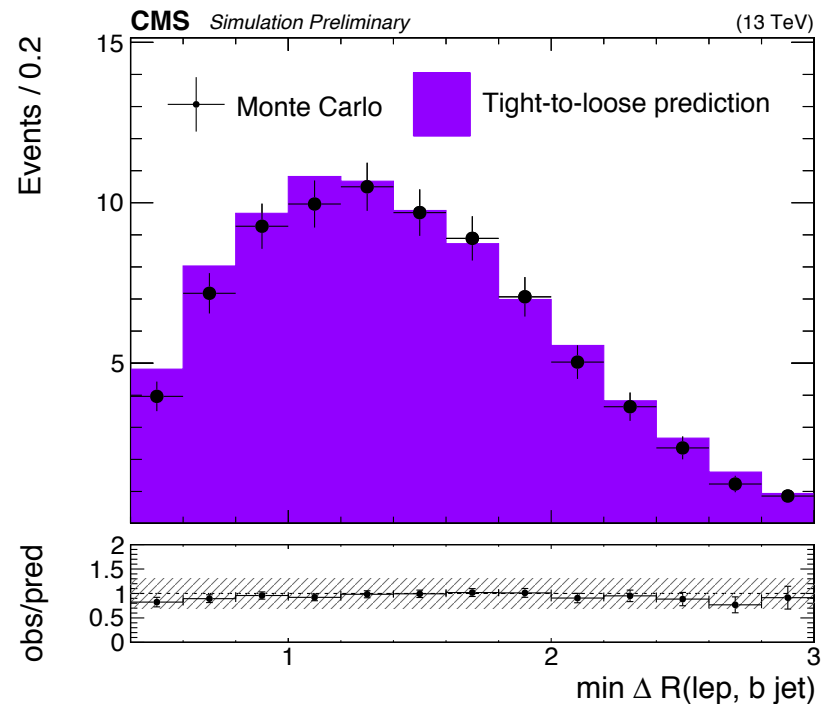
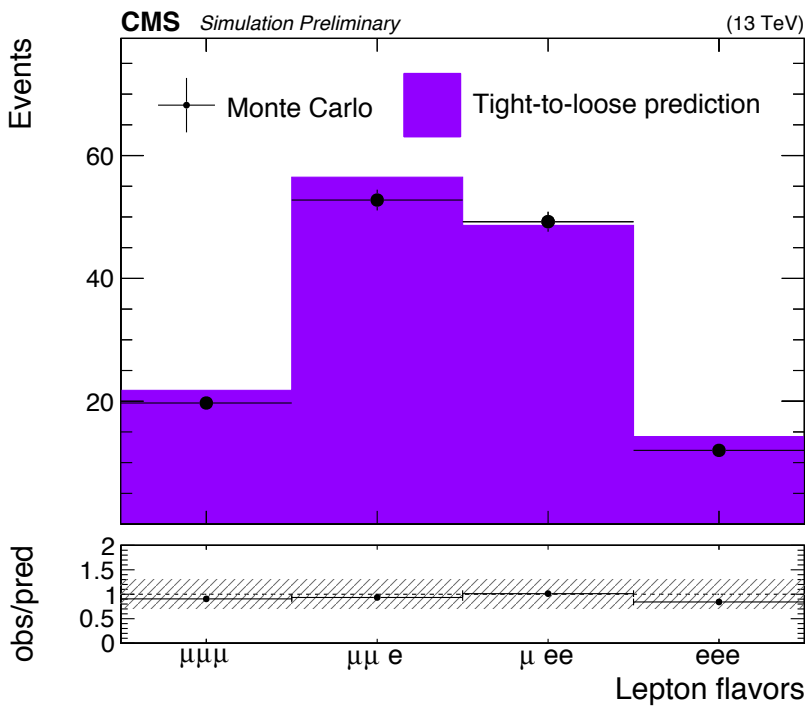
Signal & Background discriminating variables

- Maximum di-jet invariant mass
 - η of the jet recoiling top
 - $|\eta| \times \text{charge}(\ell_W)$
 - highest btag discriminator
 - $\max \Delta\phi(\ell\ell)$
 - $\min \Delta R(\text{jet}, \ell_W)$
 - $\max p_T(\text{jet}, \text{jet})$
 - $m(\ell\ell\ell), m_T, H_T, N_{jets}, \dots$
-
- 6 BDTs in total: 3 for each category and separate for 2016/2017 data
 - Training against the sum of all backgrounds
 - Good discrimination power in all categories

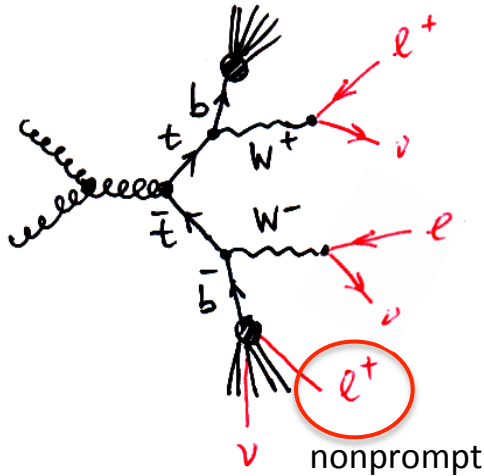
p_T spectrum of leptons in tZq



MC closure test:



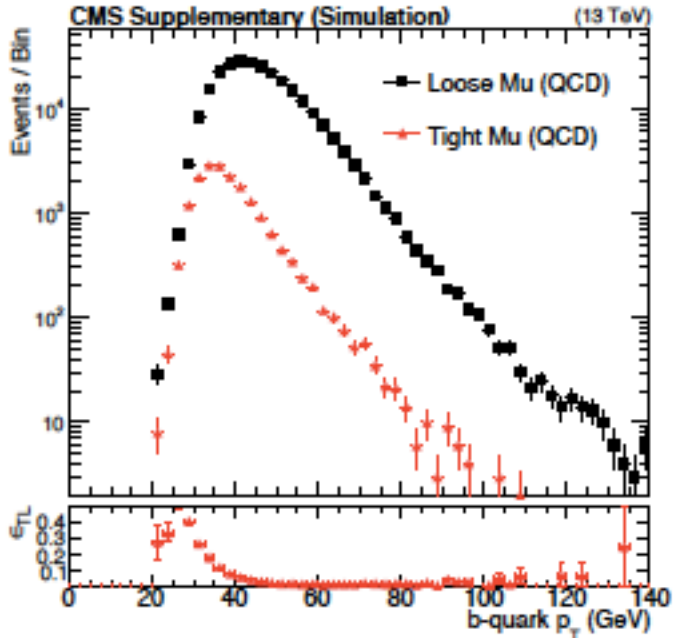
- Measure TL-ratio in QCD apply in $t\bar{t}$ /DY
- Check all BDT input variable
- Good closure



- Mainly from $t\bar{t}$ and DY:

- ➔ Typically two prompt lepton + nonprompt
- ➔ Use events with at least 1 ℓ fails “tight” selection but passes the “loose” selection → “Tight-to-Loose” (TL)
- ➔ Need to evaluate TL probability (f)

- ➔ Problem: f is dependent on the mother parton p_T
- ➔ Parametrise f versus modified lepton p_T



$$f(p_T^{\text{mod}}, \eta)$$