

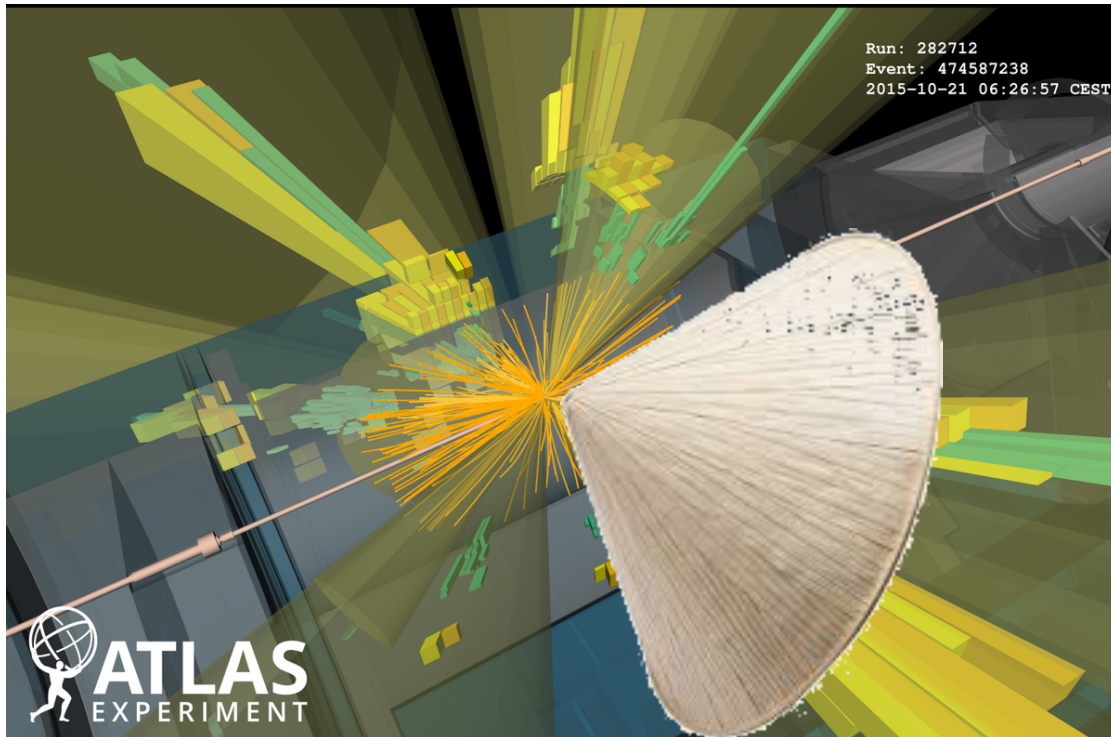
# Jet substructure performance and measurements in ATLAS

Mario Campanelli

University College London

On behalf of the ATLAS collaboration

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- Performance and phenomenology
  - Large-R jet calibration
  - Bottom-up uncertainties
  - Jet shapes
  - Top/W tagging
  - Soft-drop
- Measurements
  - SoftDrop jet mass
  - SoftDrop and Trimmed Jet shapes

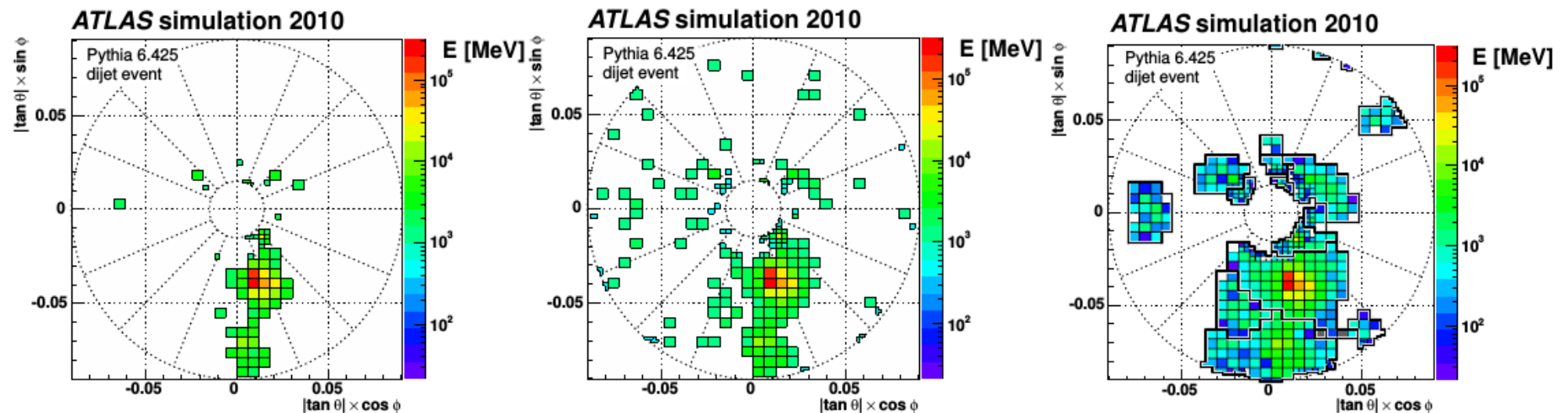
# Jets in ATLAS

- Internal structure of jets interesting to study QCD and to distinguish jets coming from light quarks, gluons or hadronic decays of heavy particles (W, Z, top, H...)
- Many different types of jets are used in ATLAS:
  - $R = 0.2, 0.4, 0.6, 0.8, 1.0$ , variable-R
  - Calorimeter-based, p-flow (PF0 and TCC), track-assisted, ReClustered
- For substructure studies, so far most of results for calorimeter-based large-R jets

Initial constituents for calorimeter jets are topological clusters, supposed to represent a particle deposition

Starting from a cell  $4\sigma$  above noise, neighbouring cells with  $2\sigma$  and a surrounding layer are added.

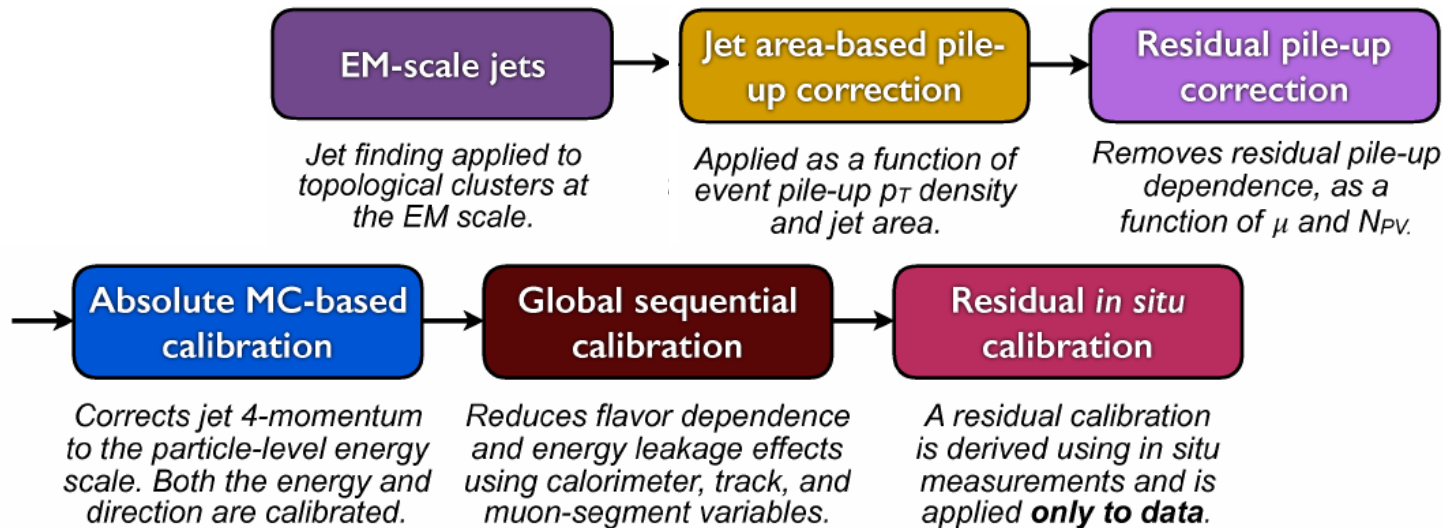
Splitting algorithm separates nearby cluster, and a calibration is applied to account for non-compensation, dead material and out-of-cluster effects



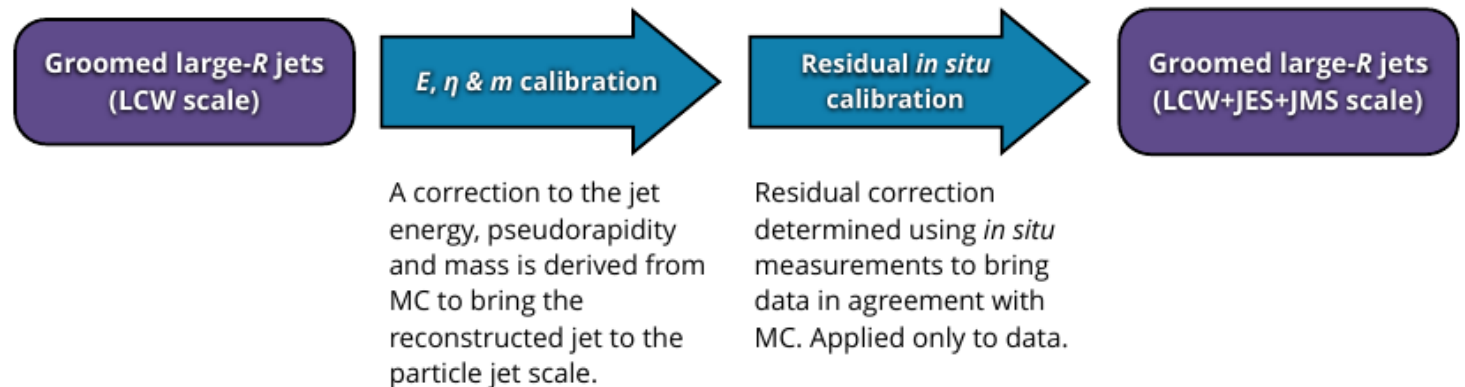
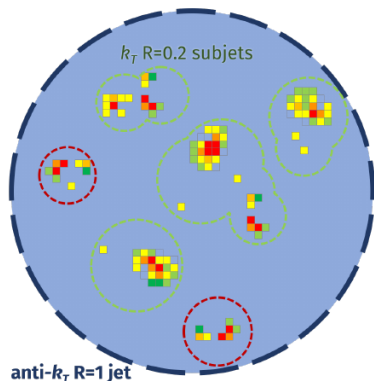
TopoClusters are then merged into jets using the anti-kt algorithm  
→ cluster and jet calibrations and uncertainties are very important

# Jet calibration

Jets are calibrated using a combination of MC- and data-based methods. Steps for small-R:



For large-R jets an additional grooming procedure (by default **trimming**, that removes  $k_T$   $R = 0.2$  subjets with  $<5\%$  of jet  $p_T$ ) can be applied before a dedicated MC and in-situ calibration

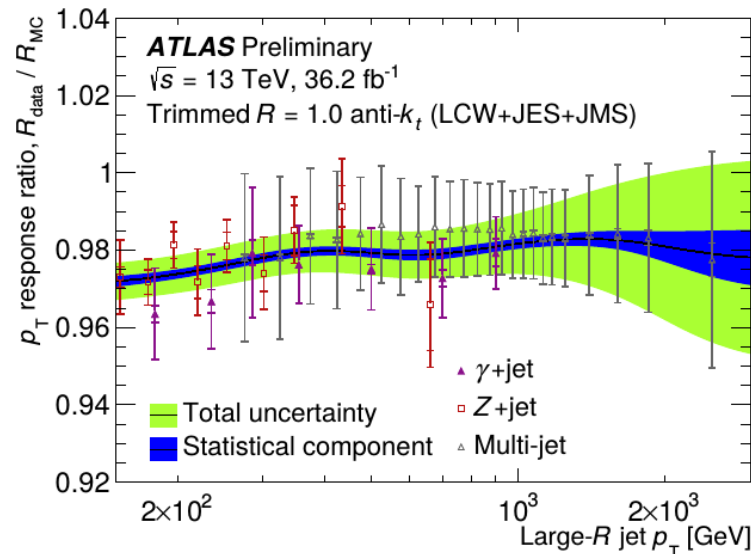
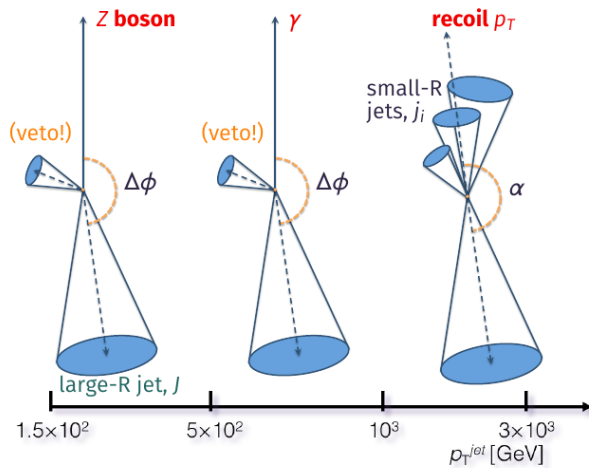
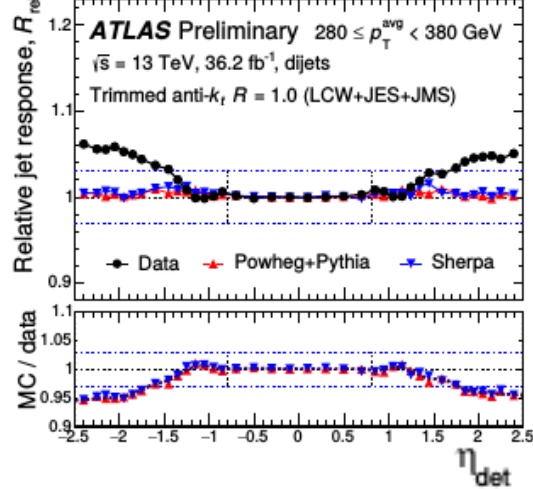


# In-situ energy calibration

Even after jets are corrected to particle level, residual central-forward asymmetries in data are corrected to achieve a uniform response

Jet energy further calibrated **in-situ** by balancing the response with well-measured objects (photons,  $Z \rightarrow \ell\ell$ , small-R jets).

This “**top-down**” approach calibrates and provides uncertainties for average values, not differential quantities.



The results for the balancing methods are combined into a pt-dependent scale factor, used to rescale the whole jet 4-momentum.

# Bottom-up uncertainties from clusters

Calibrations and uncertainties on jets as 4-vectors are only the first step for a substructure measurement. Jet constituents are combined to produce more variables, like the jet mass, jet shapes etc.

Uncertainties on these quantities computed directly from the topoclusters, using a bottom-up approach

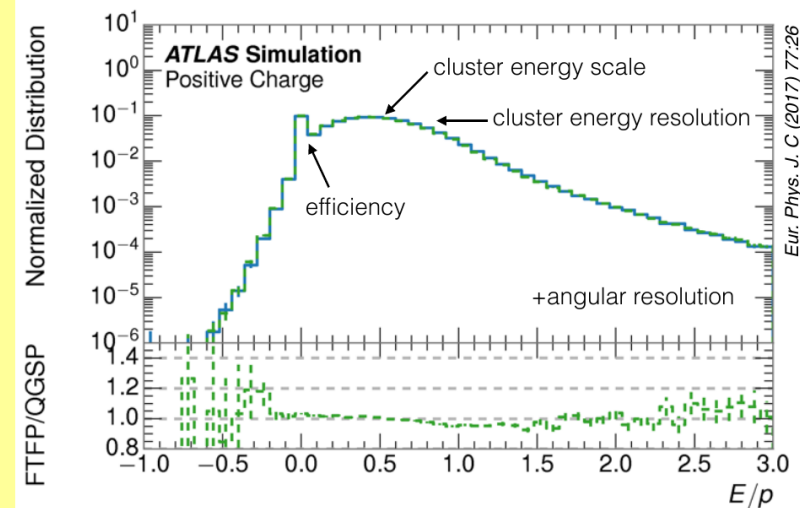
Cluster reconstruction efficiency, energy scale and resolution obtained from  $E/p$  on simulated isolated pion interactions

Uncertainties are applied to jet mass and shapes by smearing TopoCluster efficiency, energy and positions around these mean values

Additional uncertainties come from different assumptions on:

- energy correlations between clusters
- fractions of non-pion hadrons
- cluster splitting and merging

Bottom-up uncertainties have comparable size to top-down uncertainties computed from track/calorimeter ratios, (only possible for average quantities not distributions)



# Jet shapes

Apart from mass, **other jet variables** are used for QCD studies (e.g. tuning) and to identify jet type. ATLAS measured:

- **Number of R=0.2 anti-kt subjets** with  $p_T > 10$  GeV
- **Les Houches angularity:**

$$\lambda_{\beta^{\text{LHA}}}^{\kappa} = \sum_{i \in J} z_i^{\kappa} \theta_i^{\beta^{\text{LHA}}}$$

where  $z$  is the momentum fraction and  $\theta$  the angle wrt jet axis of the  $i^{\text{th}}$  component, with ( $\kappa = 1$ ,  $\beta = 0.5$ )

- **Energy Correlation ratios**, C2 and D2

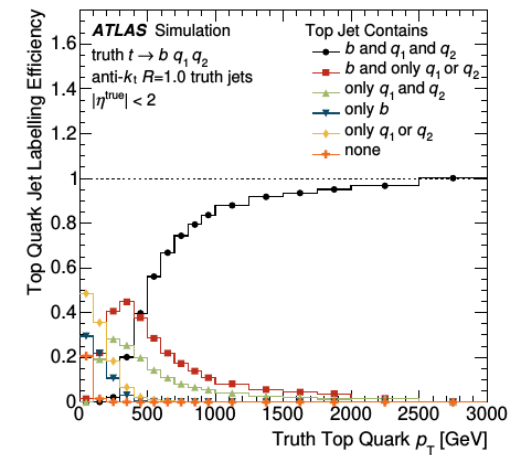
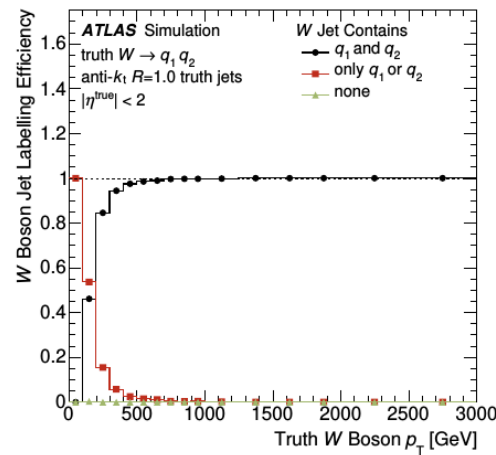
$$\begin{aligned} \text{ECF1} &= \sum_{i \in J} p_{T_i}, & e_2 &= \frac{\text{ECF2}}{(\text{ECF1})^2}, & C_2 &= \frac{e_3}{(e_2)^2}, \\ \text{ECF2}(\beta^{\text{ECF}}) &= \sum_{i < j \in J} p_{T_i} p_{T_j} (\Delta R_{ij})^{\beta^{\text{ECF}}}, & e_3 &= \frac{\text{ECF3}}{(\text{ECF1})^3}, & D_2 &= \frac{e_3}{(e_2)^3}. \\ \text{ECF3}(\beta^{\text{ECF}}) &= \sum_{i < j < k \in J} p_{T_i} p_{T_j} p_{T_k} (\Delta R_{ij} \Delta R_{ik} \Delta R_{jk})^{\beta^{\text{ECF}}}, \end{aligned}$$

- **N-subjettiness ratios**  $\tau_{21} = \frac{\tau_2}{\tau_1}$  and  $\tau_{32} = \frac{\tau_3}{\tau_2}$  (used to distinguish W and top jets)

$$\begin{aligned} \tau_0(\beta^{\text{NS}}) &= \sum_{i \in J} p_{T_i} R_0^{\beta^{\text{NS}}}, & \tau_2(\beta^{\text{NS}}) &= \frac{1}{\tau_0(\beta^{\text{NS}})} \sum_{i \in J} p_{T_i} \min(\Delta R_{a_1, i}^{\beta^{\text{NS}}}, \Delta R_{a_2, i}^{\beta^{\text{NS}}}), \\ \tau_1(\beta^{\text{NS}}) &= \frac{1}{\tau_0(\beta^{\text{NS}})} \sum_{i \in J} p_{T_i} \Delta R_{a_1, i}^{\beta^{\text{NS}}}, & \tau_3(\beta^{\text{NS}}) &= \frac{1}{\tau_0(\beta^{\text{NS}})} \sum_{i \in J} p_{T_i} \min(\Delta R_{a_1, i}^{\beta^{\text{NS}}}, \Delta R_{a_2, i}^{\beta^{\text{NS}}}, \Delta R_{a_3, i}^{\beta^{\text{NS}}}), \end{aligned}$$

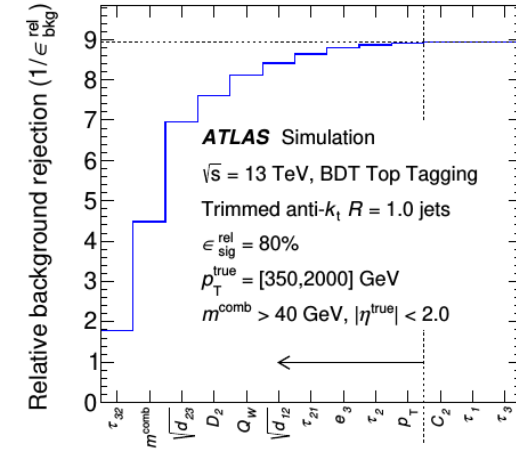
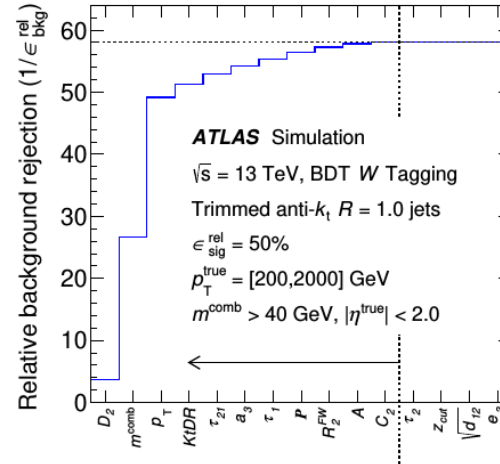
# Tagging W and top

Containment of the decay products of W and top depends on particle pT; in a radius R = 1.0, Ws fully contained above 500 GeV, top above 1000 GeV

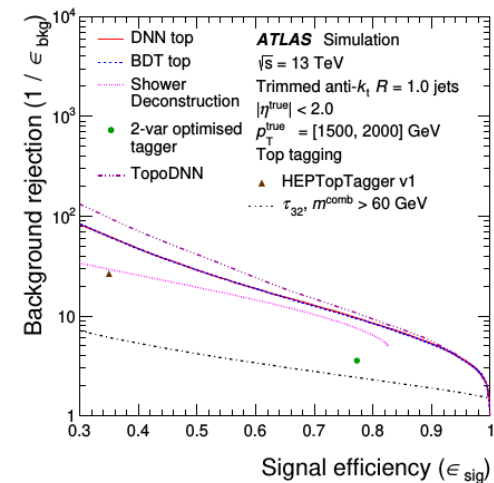
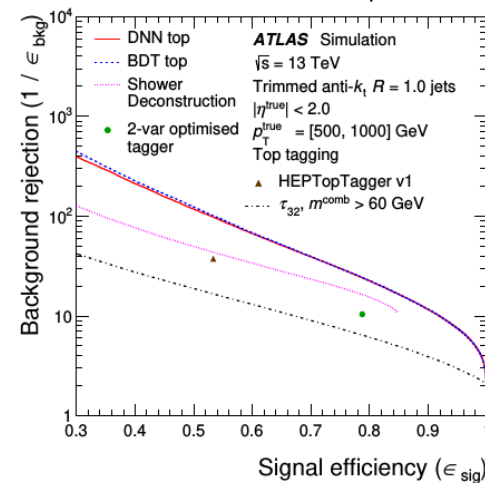


3 (5) approaches to tagging Ws (top):

- Mass + jet shape cut
- Boosted Decision Tree
- Deep Neural Network
  - Shower Deconstruction
  - HepTopTagger

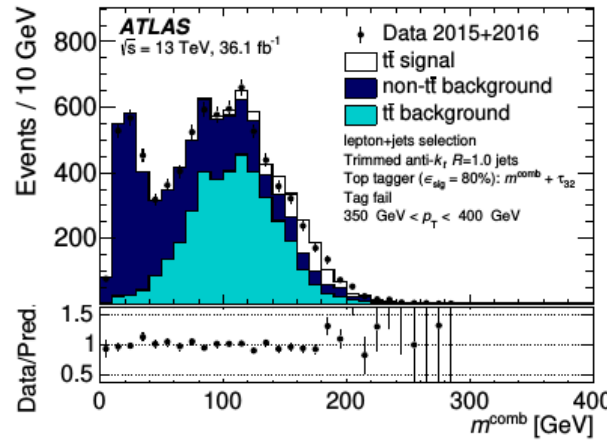
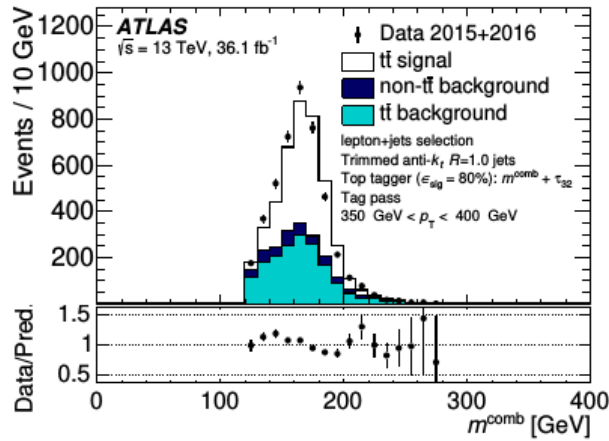
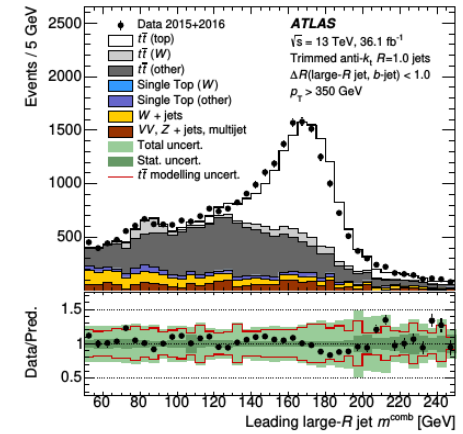
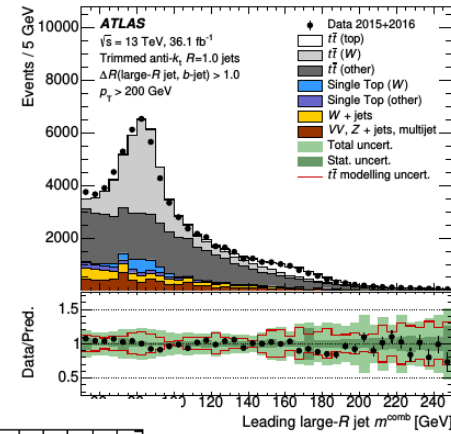


MC-based performance almost identical between BDT and DNN, much better than 2D cut (plot for top, similar for W)



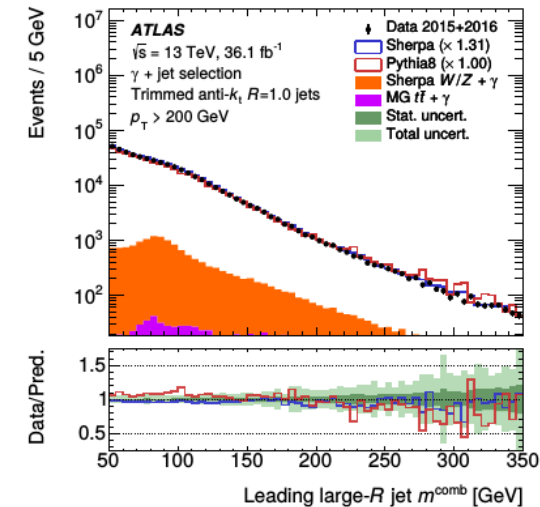
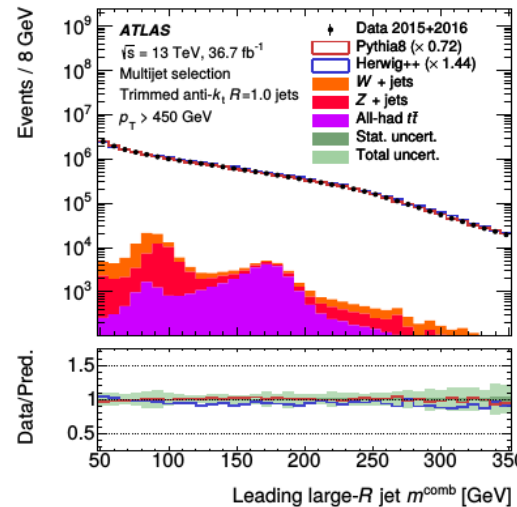
# Measuring tagging performance on data

Semileptonic  $t\bar{t}$  events ideal lab to have pure samples of jets from hadronic top and  $W$  decays



Efficiency derived from data from tagged and anti-tagged events

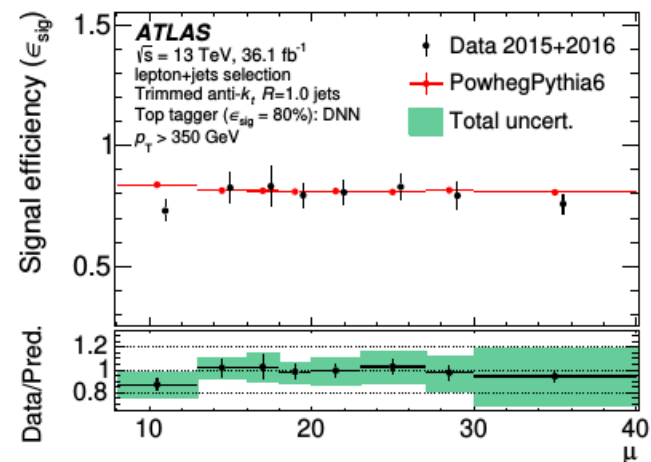
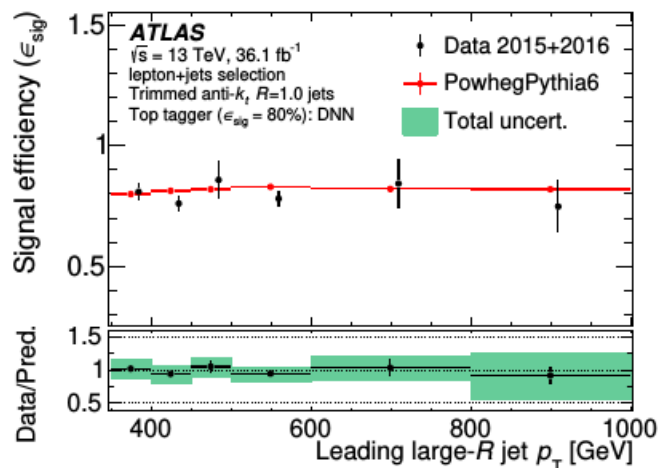
Background rejection extracted from multijet and gamma + jet events



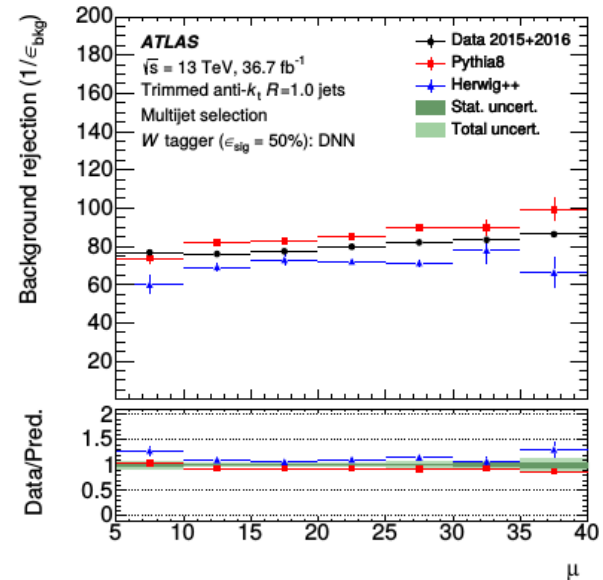
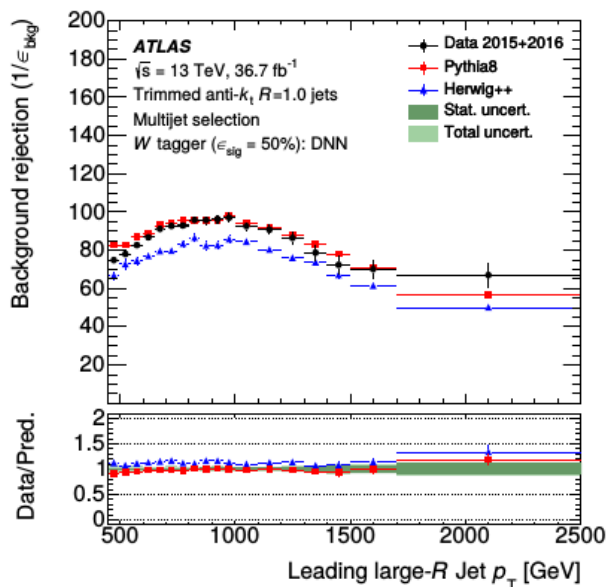


# Tagging summary: data vs MC (W tag DNN example)

Efficiency



BG rejection



# An improved groomer: SoftDrop

Trimmed jets are very stable wrt pileup, but the procedure is not analytically calculable- only possible to compare trimmed jets to MC (NNL precision)

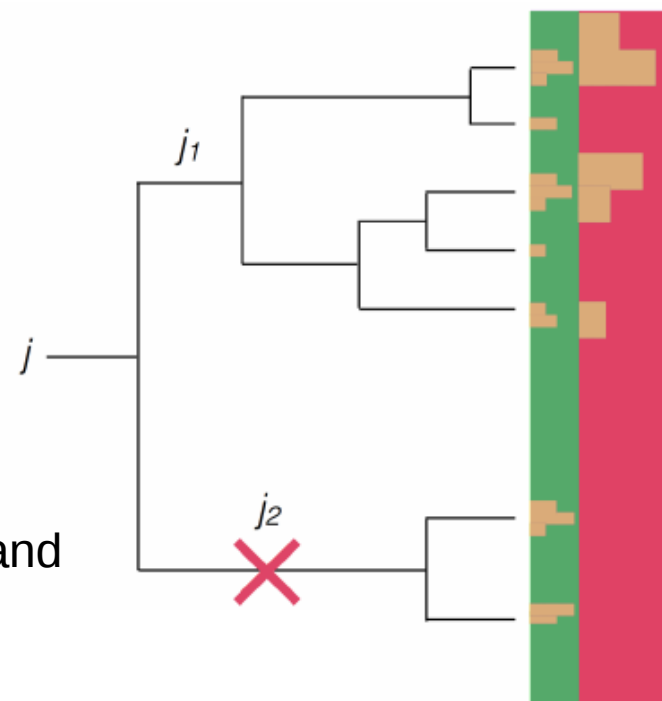
The **Soft-Drop** algorithm clusters jet constituents with Cambridge-Aachen, and retraces the clustering history from the last branching. For each branch, it checks that

$$\frac{\min(p_{T,j1}, p_{T,j2})}{(p_{T,j1} + p_{T,j2})} > z_{cut} \left( \frac{\Delta R_{j1,j2}}{R} \right)^\beta$$

If it is satisfied, the algorithm stops.

If not, the soft branch  $j_2$  is removed, and the algorithm is applied recursively on  $j_1$ .

This procedure removes soft radiation, according to the scale  $z_{cut}$ , and large-angle emission, according to the parameter  $\beta$  (chosen)



In most ATLAS analyses, event selection is based on the **calibrated trimmed jets**; **soft-drop** can be applied instead of trimming to the jet ungroomed constituents to produce observables calculable at NLO + NLL

# Jet mass in dijet events [PhysRevLett.121.092001](#)

- Event selection on **ungroomed  $R = 0.8$**  jets:
  - $p_{T1} > 600$  GeV (to be on trigger plateau),  $p_{T1} < 1.5 * p_{T2}$  (to select dijet events)
- Groom with SoftDrop ( $z = 0.1, \beta = 0, 1, 2$ )
- Groomed mass normalised to ungroomed  $p_T$  (collinear safe for  $\beta = 0$ ) for more stability:

$$\rho = \log\left[\left(\frac{m^{\text{Soft Drop}}}{p_T^{\text{Ungroomed}}}\right)^2\right]$$

- Normalised to data in the resummation region

$$-3.7 < \rho < -1.7$$

# Uncertainties

- Detector-level and particle-level quite different

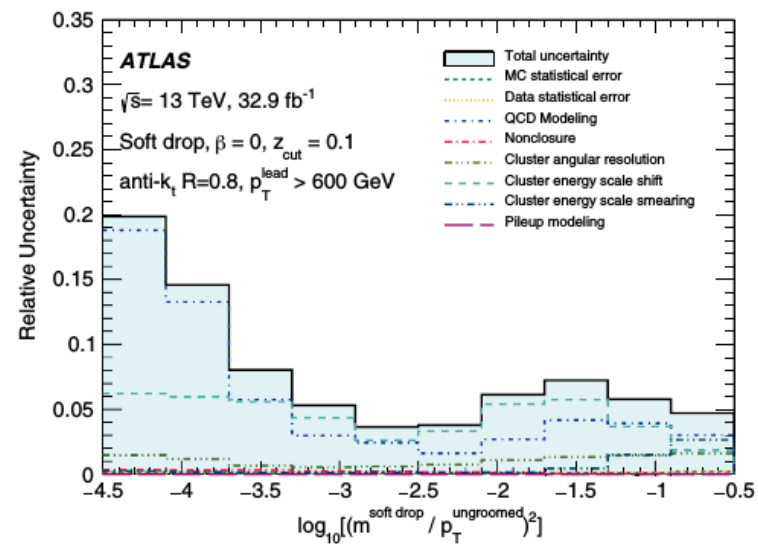
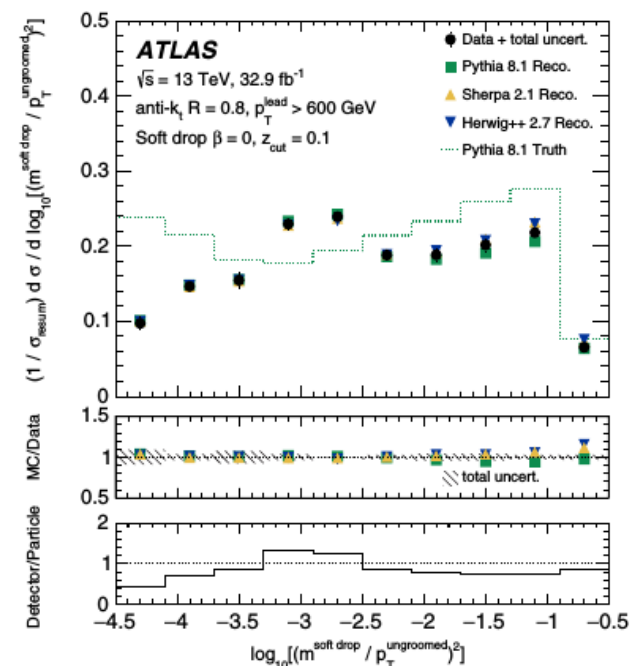
- Large off-diagonal terms in unfolding

- Differences between MC models

- MC modeling dominant uncertainty

- Cluster uncertainties:

- Energy scale large at small masses (low mult.)
  - Angular and energy smearing large at large masses



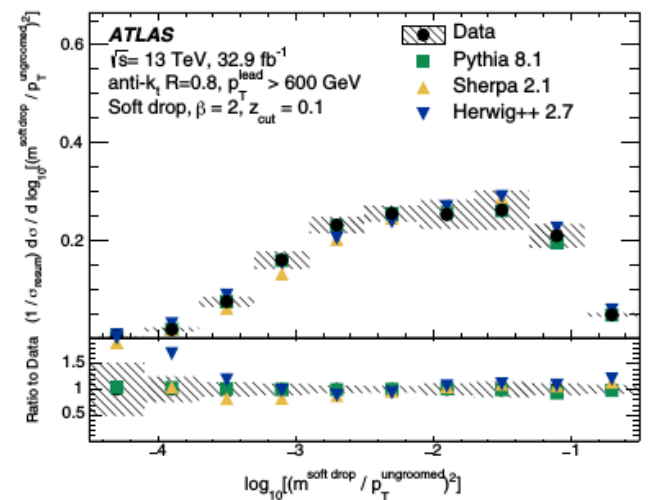
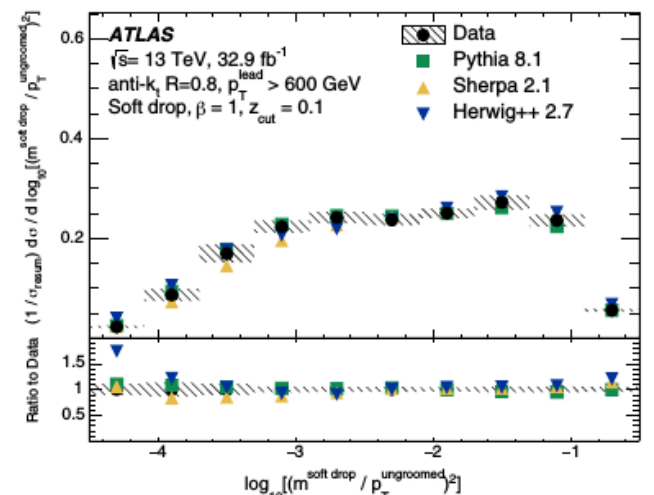
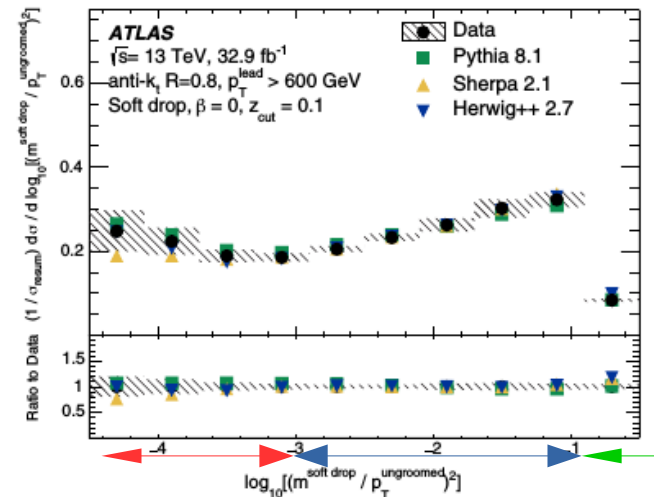
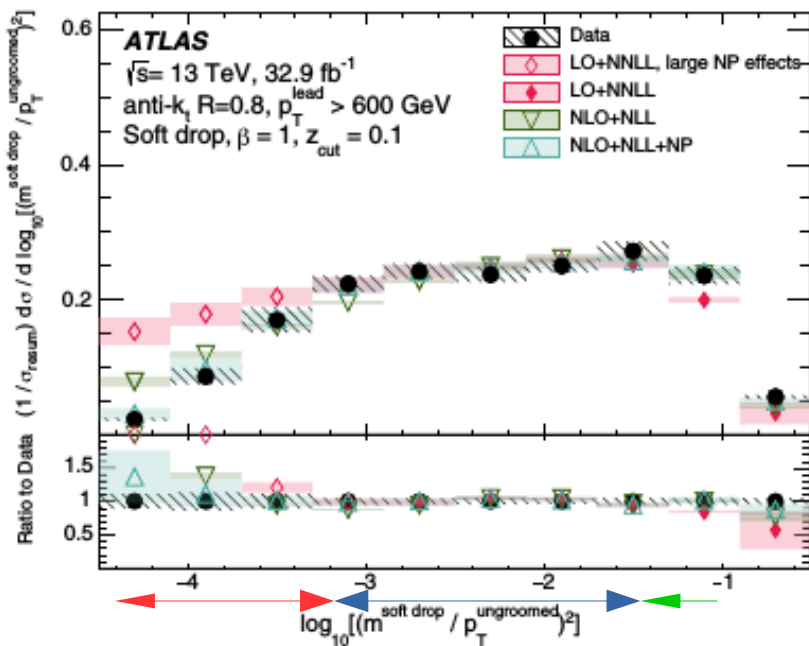
# Results

Regions probed:





- Non-perturbative
- Resummation
- Fixed-order

Good agreement with MC (some discrepancies at low-mass)

Comparison with calculations requires NLO + NLL + NP to agree beyond resummation region



# Jet-shape measurements for top, W and dijets: event selections

	Detector level	Particle level
Dijet selection: 		
Two trimmed anti- $k_t$ $R = 1.0$ jets	$p_T > 200$ GeV $ \eta  < 2.0$	$p_T > 200$ GeV $ \eta  < 2.0$
Leading- $p_T$ trimmed anti- $k_t$ $R = 1.0$ jet	$p_T > 450$ GeV	
Top and W selections: 		
Exactly one muon	$p_T > 30$ GeV $ \eta  < 2.5$ $ z_0 \sin(\theta)  < 0.5$ mm and $ d_0/\sigma(d_0)  < 3$	$p_T > 30$ GeV $ \eta  < 2.5$
Anti- $k_t$ $R = 0.4$ jets	$p_T > 25$ GeV $ \eta  < 4.4$ JVOutput $> 0.5$ (if $p_T < 60$ GeV)	$p_T > 25$ GeV $ \eta  < 4.4$
Overlap removal using small-radius jets	if $\Delta R(\mu, \text{jet}) < 0.04 + 10 \text{ GeV}/p_{T,\mu}$ : Muon is removed, so the event is discarded	None
$E_T^{\text{miss}}, m_T^W$	$E_T^{\text{miss}} > 20$ GeV, $E_T^{\text{miss}} + m_T^W > 60$ GeV	
Leptonic top	At least one small-radius jet with $0.4 < \Delta R(\mu, \text{jet}) < 1.5$	
Top selection: 		
Leading- $p_T$ trimmed anti- $k_t$ $R = 1.0$ jet	$p_T > 300$ GeV, mass $> 140$ GeV $\Delta R(\text{large-radius jet, b-tagged jet}) < 1$ $\Delta\phi(\mu, \text{large-radius jet}) > 2.3$	
W selection: 		
Leading- $p_T$ trimmed anti- $k_t$ $R = 1.0$ jet	$p_T > 300$ GeV, mass $> 60$ GeV and mass $< 100$ GeV $1 < \Delta R(\text{large-radius jet, b-tagged jet}) < 1.8$ $\Delta\phi(\mu, \text{large-radius jet}) > 2.3$	

Two separate event selections:

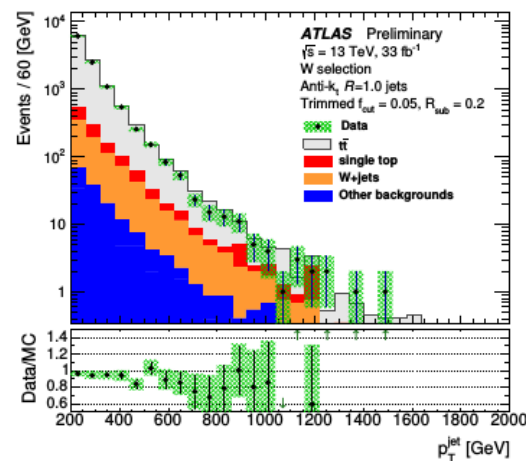
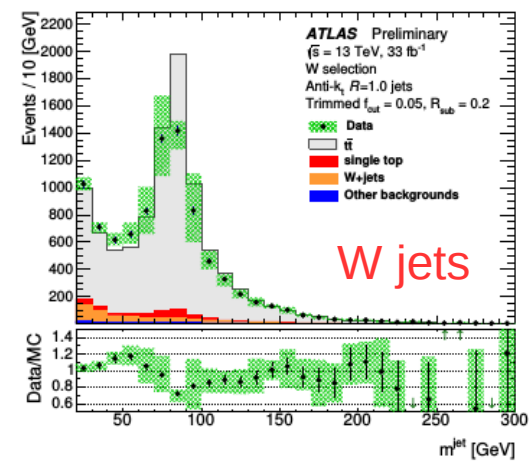
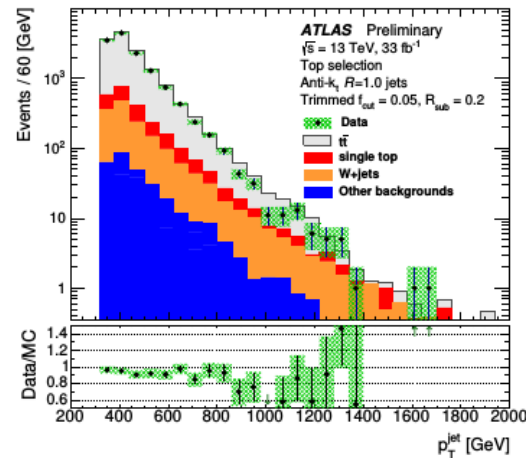
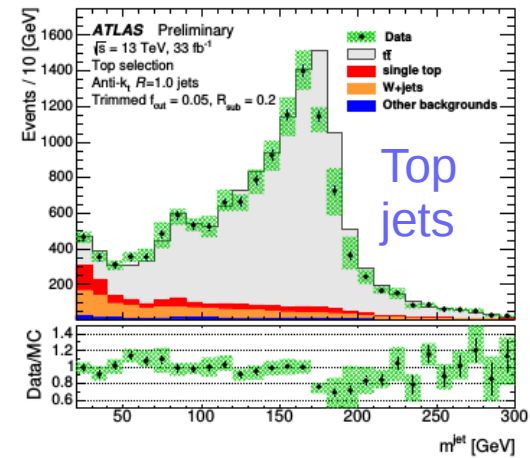
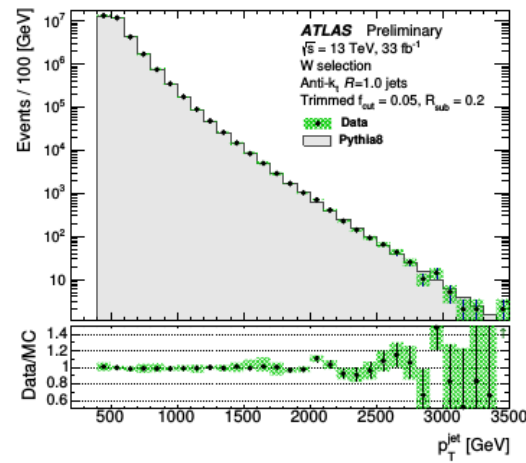
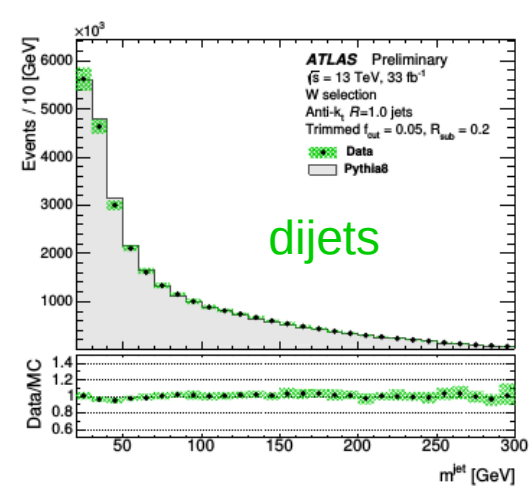
- Dijets
- Semi-leptonic  $t\bar{t}$ 
  - Top jets
  - W jets

Selection based on trimmed jets, jet shape measurement for both trimmed and soft-drop

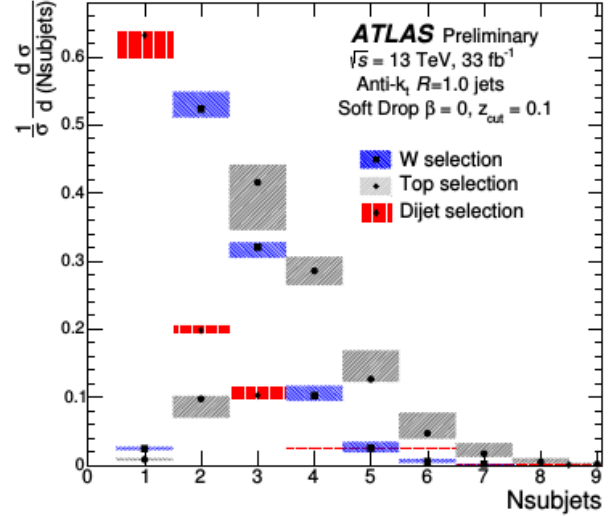
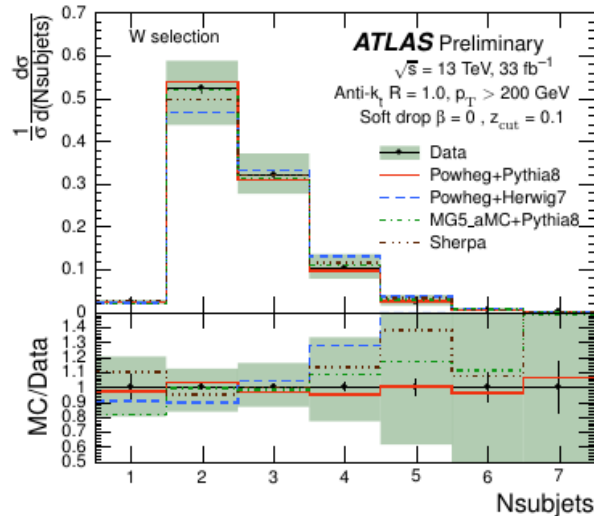
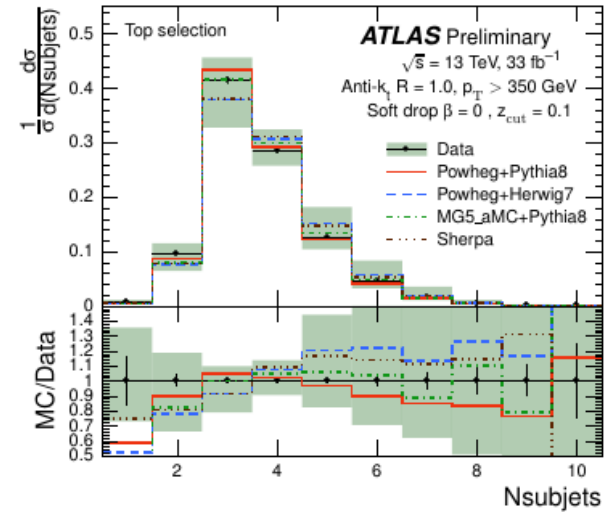
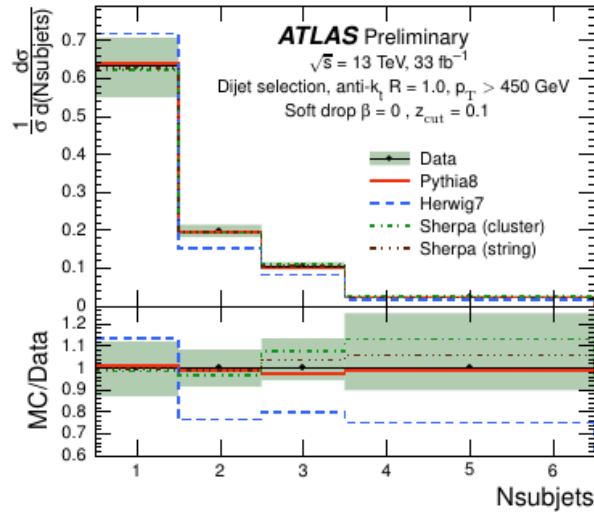
# Detector-level distributions

A Data-MC shift is observed in the detector-level mass, because no in-situ calibration is applied in this analysis.

The mass window for jet selection has been chosen to account for this effect.



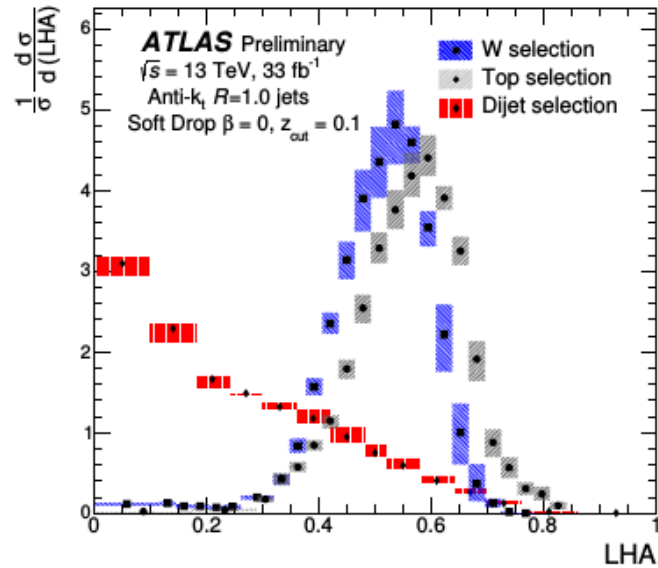
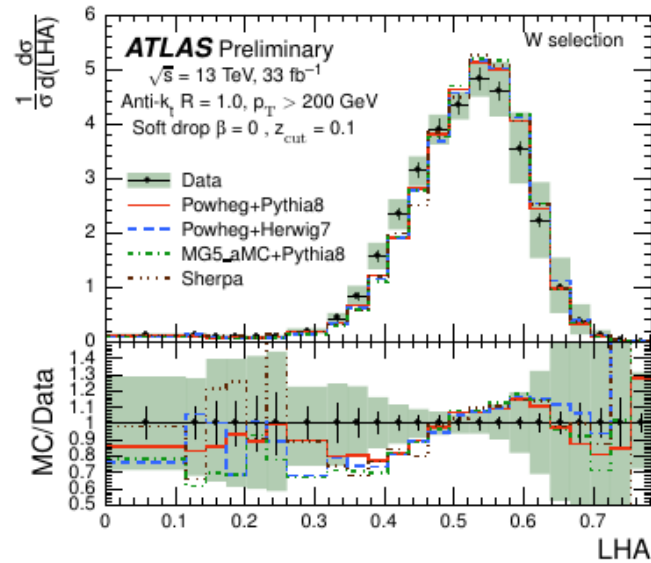
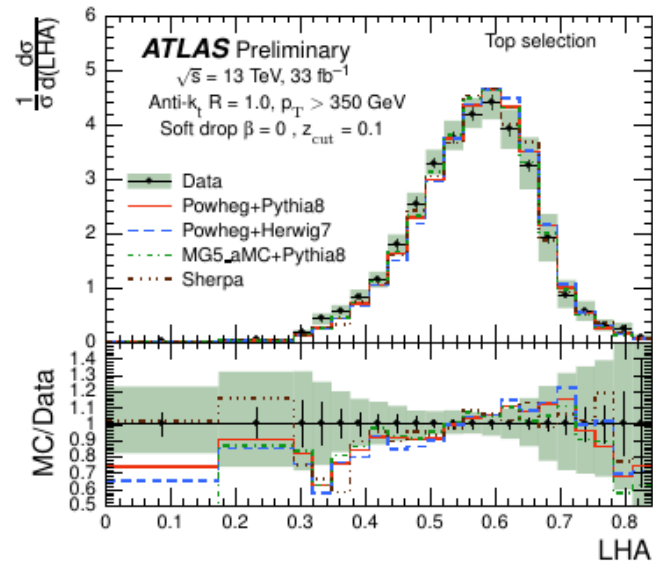
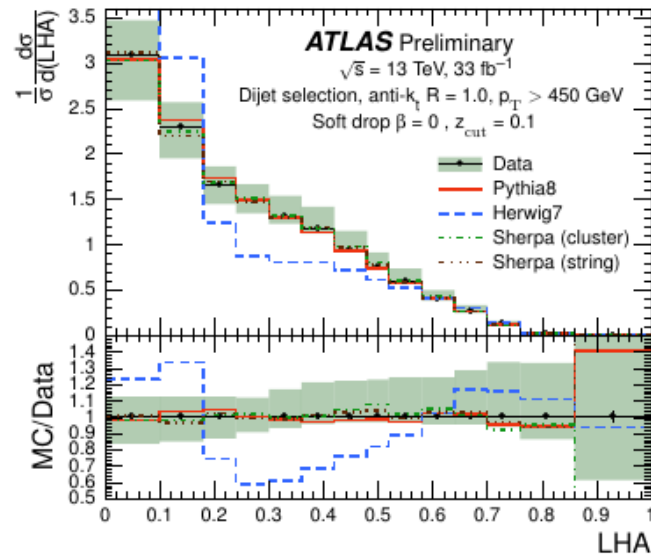
# Multiplicity



Largest uncertainties from calibrations: jet  $p_T$  and mass calibration for selection, and clusters. Herwig 7 shows large disagreement for dijets

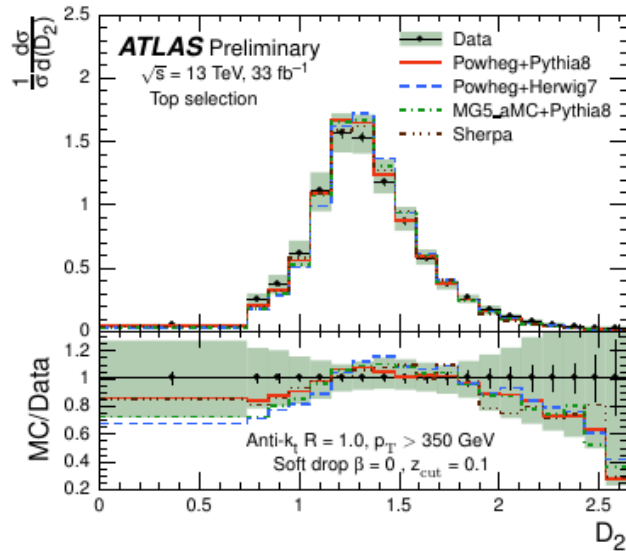
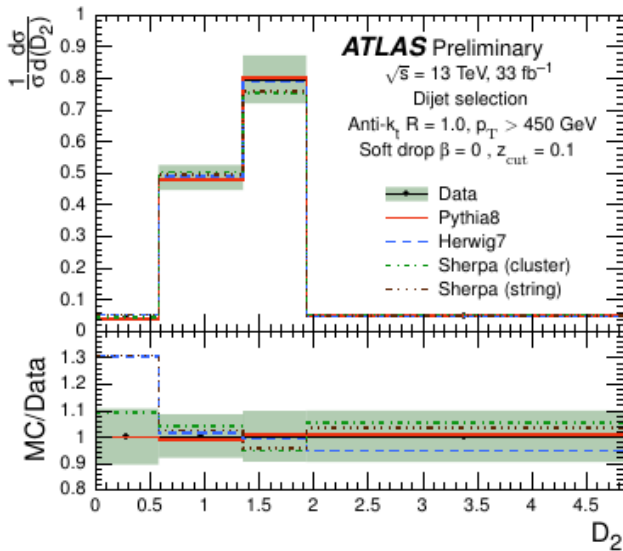


# Angularities



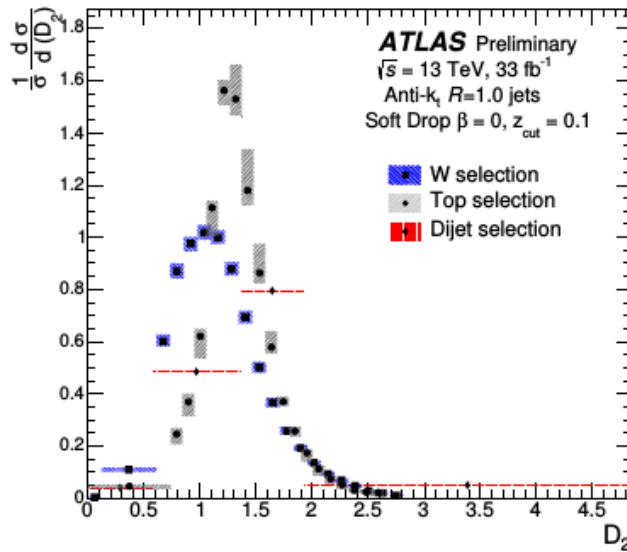
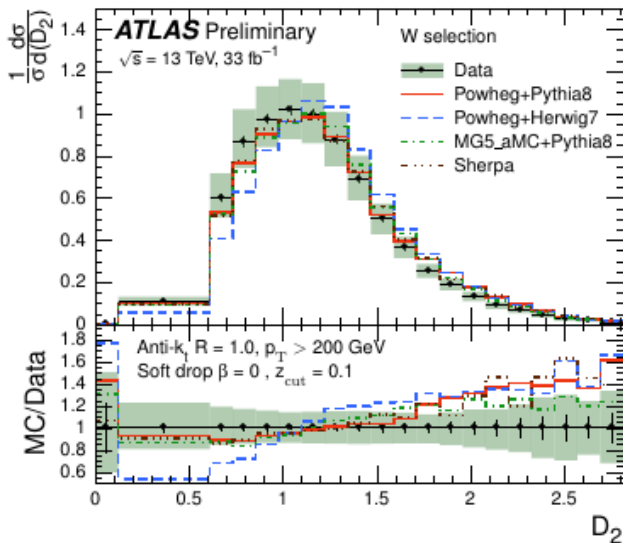
All models show tensions in W/top

# D2



Widely used variable for W tagging

Significant shifts observed for all MC models in W events.

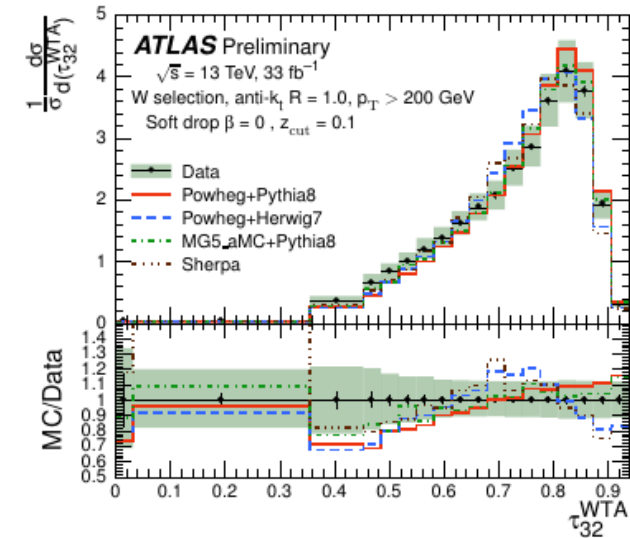
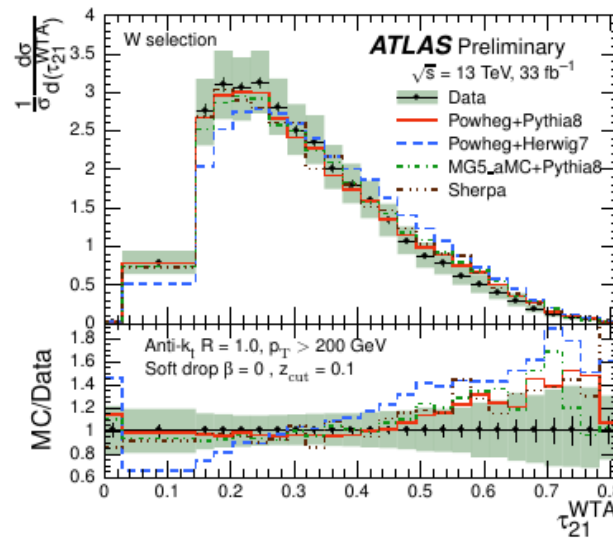
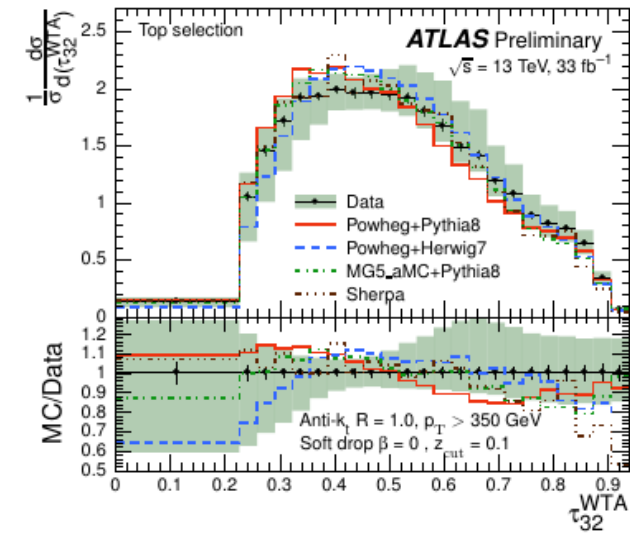
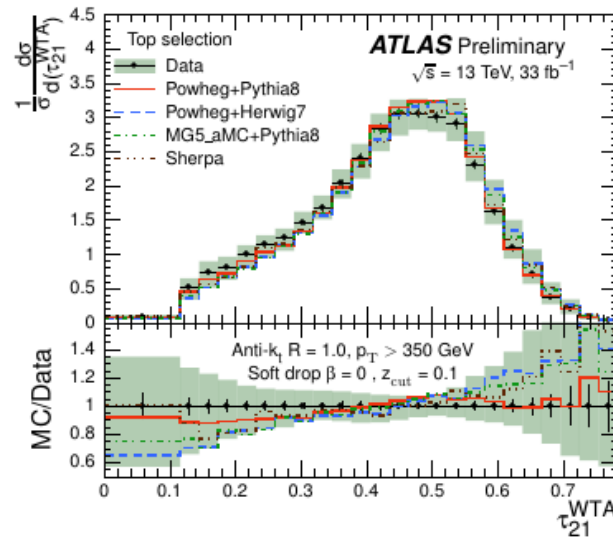


# N-subjettiness $\tau_{21}, \tau_{32}$

Used for W/top separation

Dijet events have less hard splitting, so are more sensitive to cluster split-merge uncertainties, that lead to very large errors

→ no dijet measurements for n-subjettiness



# Conclusions

- Jet substructure is widely used in searches for heavy states decaying into boosted objects like top and W
- Several tagging methods used, mainly combining jet shape variables using multivariate techniques
- Its MC modelling is difficult, and measurements are needed to help improve it
- With careful control of uncertainties at jet and cluster level, precisions of  $O(10\%)$  in the bulk of distributions and  $O(20\%)$  in the tails are now possible, helping to discriminate models
- Additional performance work, and the possibility of higher-order calculations provided by [SoftDrop](#) will provide more stringent tests in the near future.