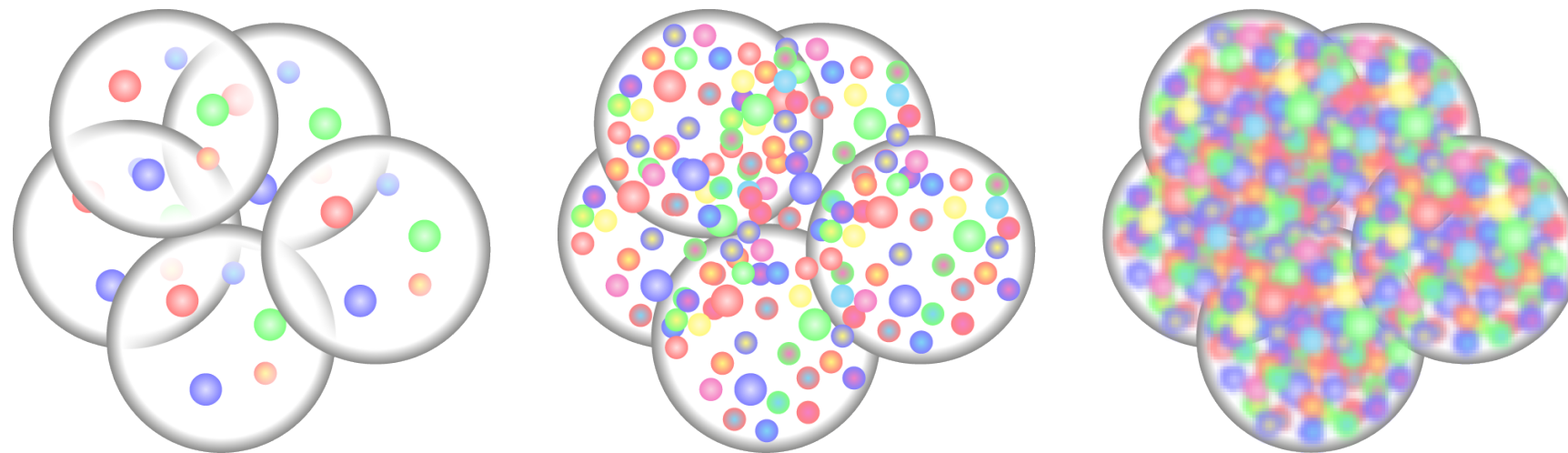


FoCal Physics Case I: Studies of the Initial State in pp and p–A



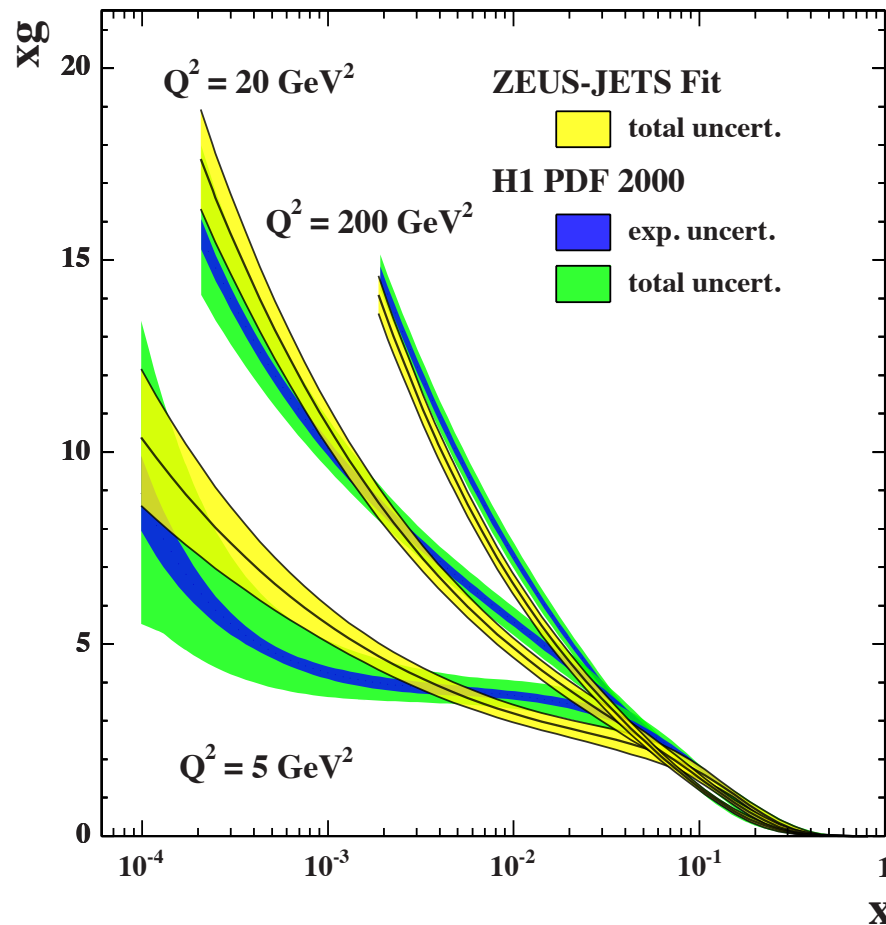
T. Peitzmann (Utrecht University/Nikhef)

International Workshop on Forward Physics and Forward Calorimeter Upgrade in ALICE,
Tsukuba, 07.03.2019

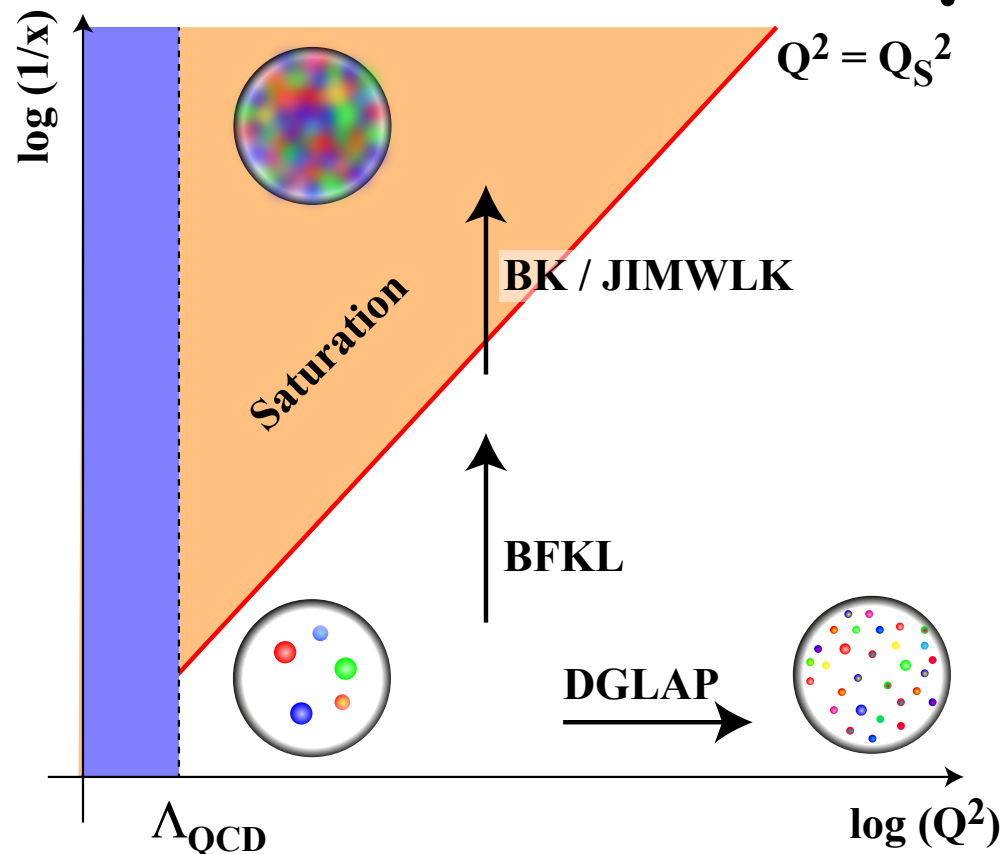
Outline

- Introduction: low- x PDFs and gluon saturation
- PDF studies in pA with photons
 - x -sensitivity: photons and competitors
 - FoCal performance
- Other physics studies
 - correlations
 - PDFs in pp, large x

H1+ZEUS PDFs and Gluon Saturation

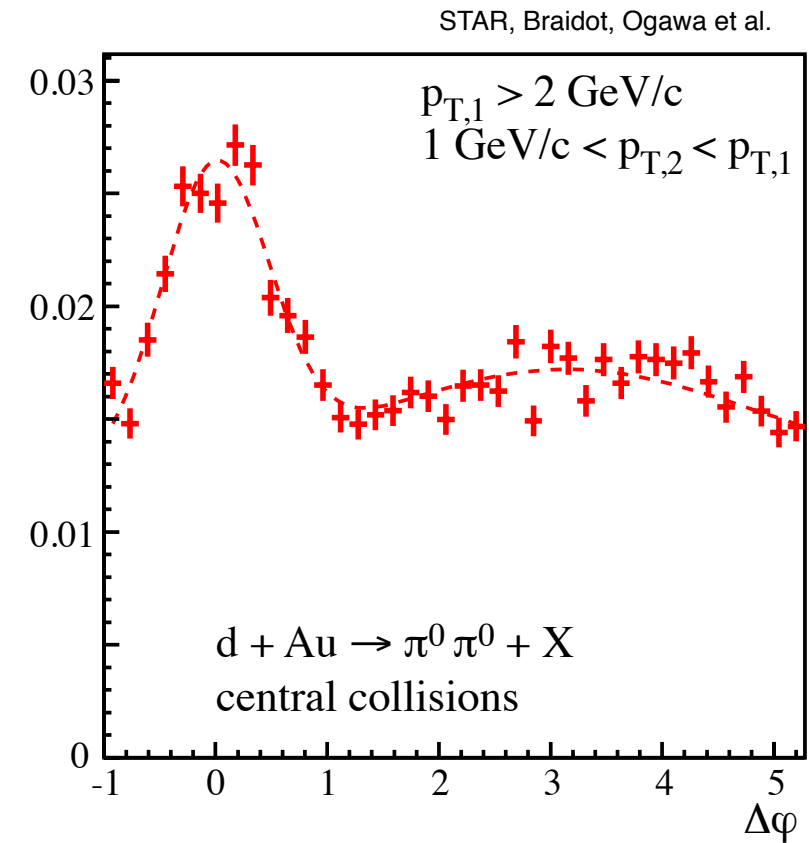
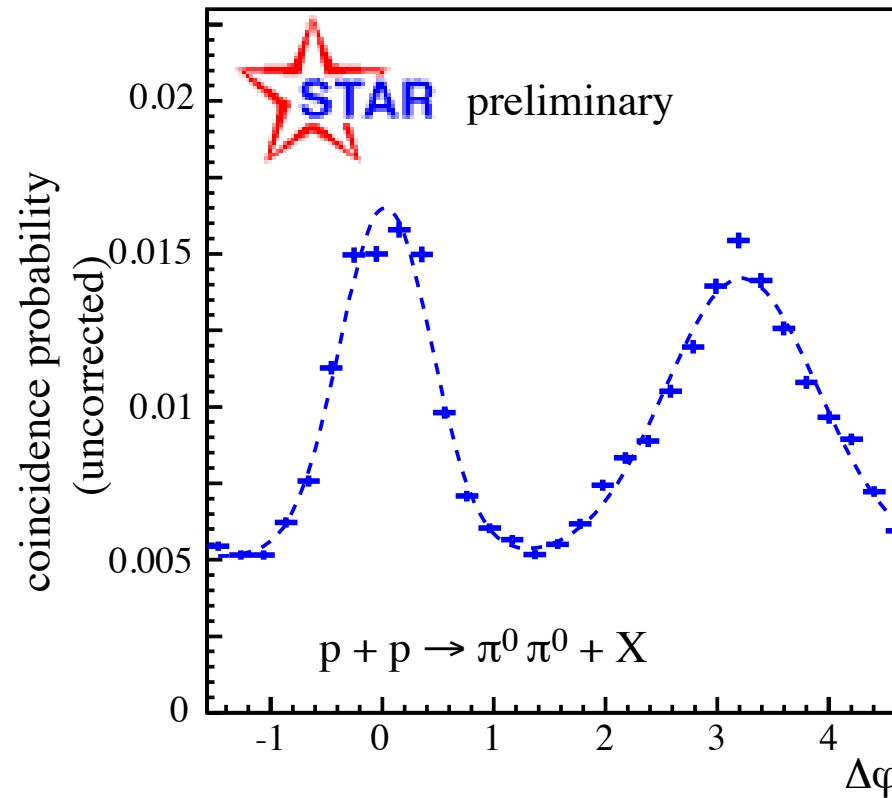
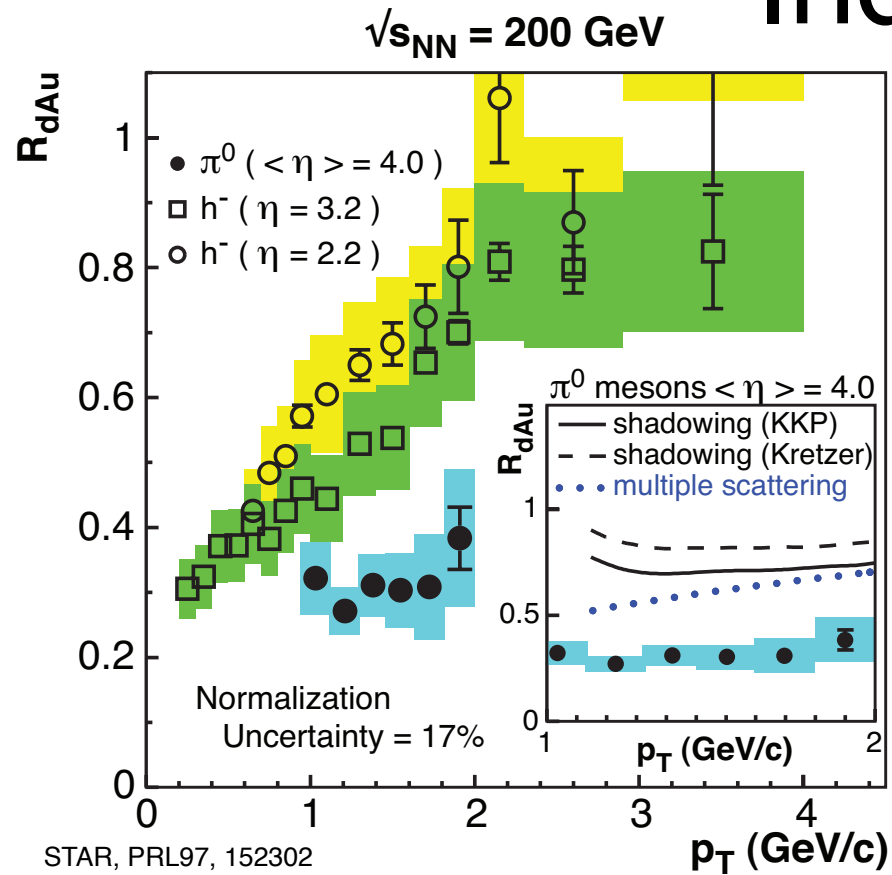


- from evolution equations (DGLAP, BFKL):
 - gluon density increases with Q^2 and $1/x$
 - leads to very high gluon density
 - problems with unitarity
- for high density non-linear processes become important
- gluon saturation below saturation scale
 - enhanced in nuclei



$$Q_s^2(x) \approx \frac{\alpha_s}{\pi R^2} x G(x, Q^2) \propto A^{1/3} \cdot x^{-\lambda}$$

Indications from RHIC



R_{dA} : strong suppression of hadron yield at forward rapidity

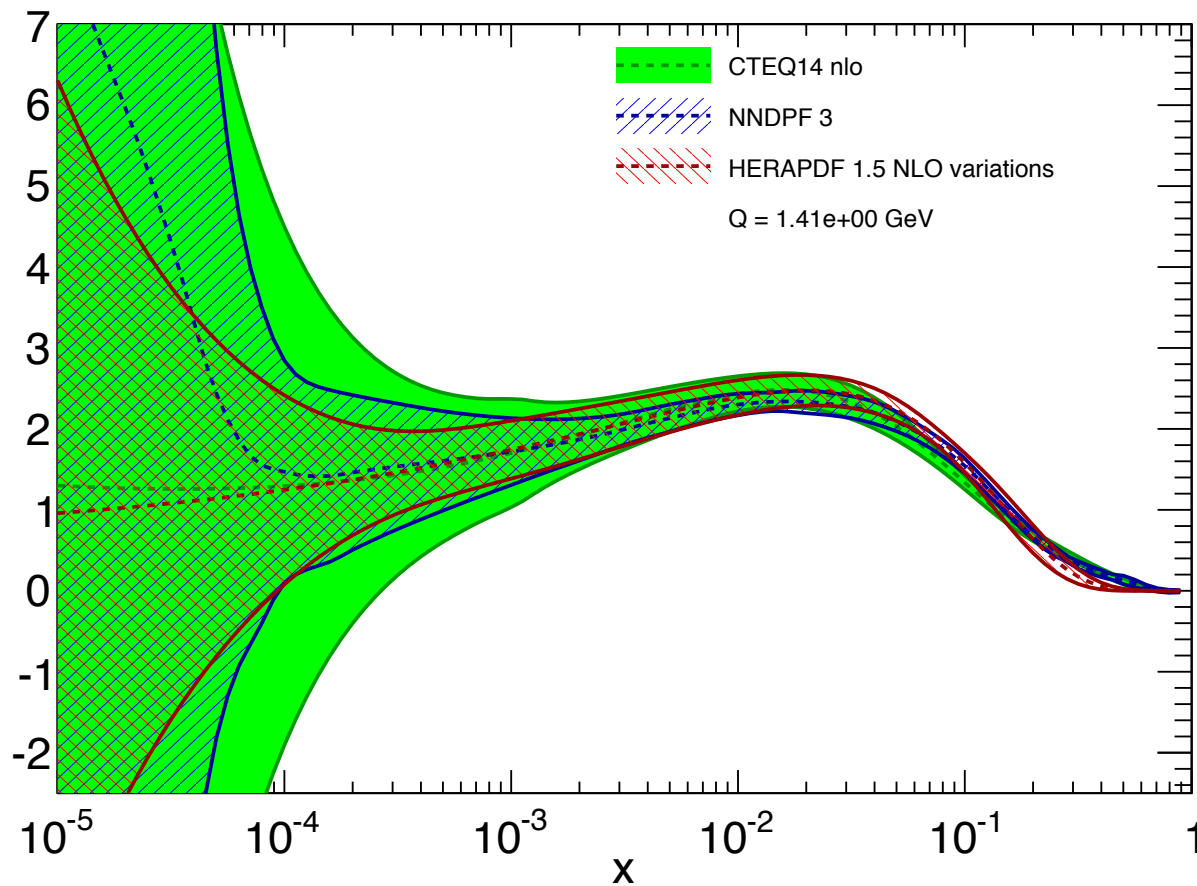
di-hadron correlations: broadening/suppression of away-side peak in dAu

$$R_{dA} = \frac{dN/dp_T(dA)}{\langle N_{\text{coll}}(dA) \rangle dN/dp_T(pp)}$$

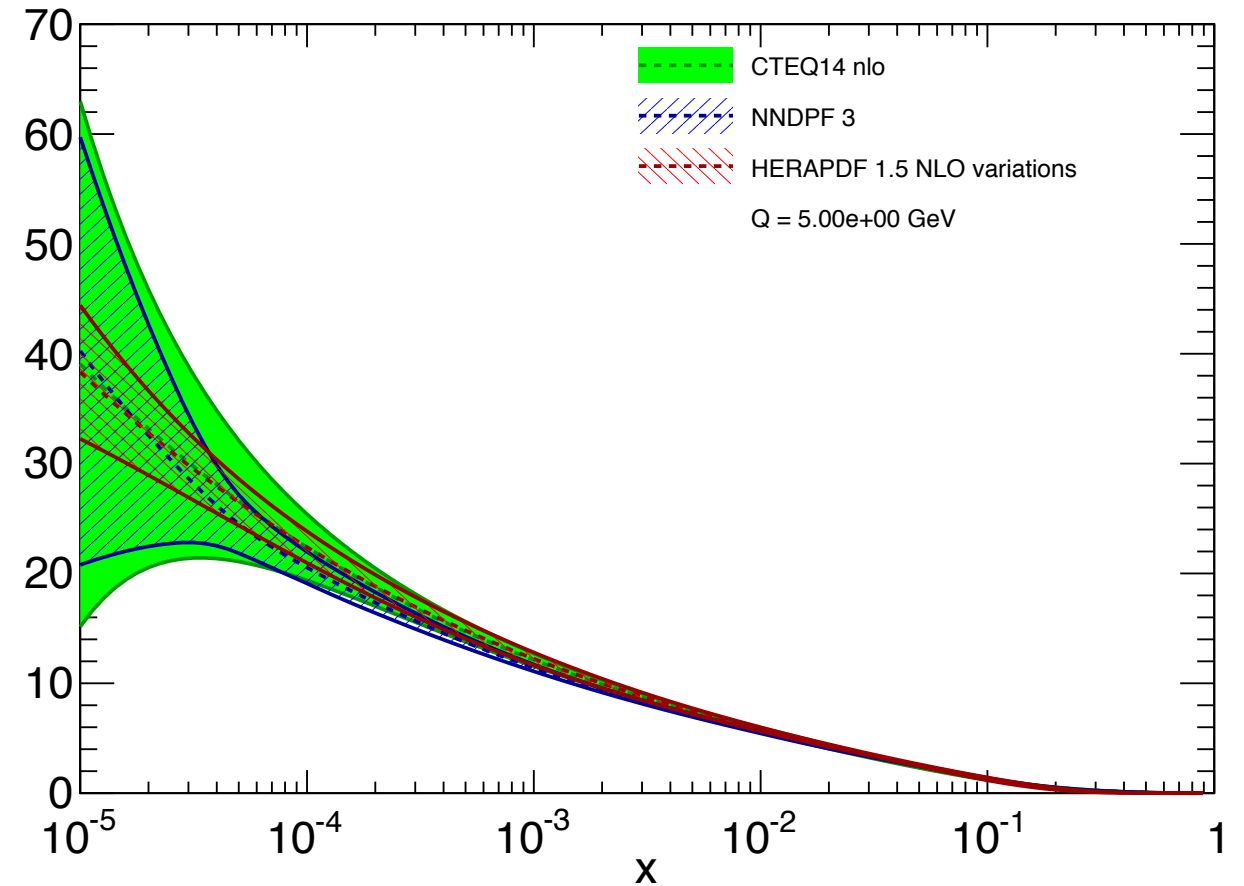
- qualitatively consistent with CGC, but ...
 - very low p_T , close to kinematic limit, hadron observable (final state interactions)!
- extend p_T and y range (not possible at RHIC)

Uncertainties in Proton PDFs

xg(x,Q), comparison



xg(x,Q), comparison

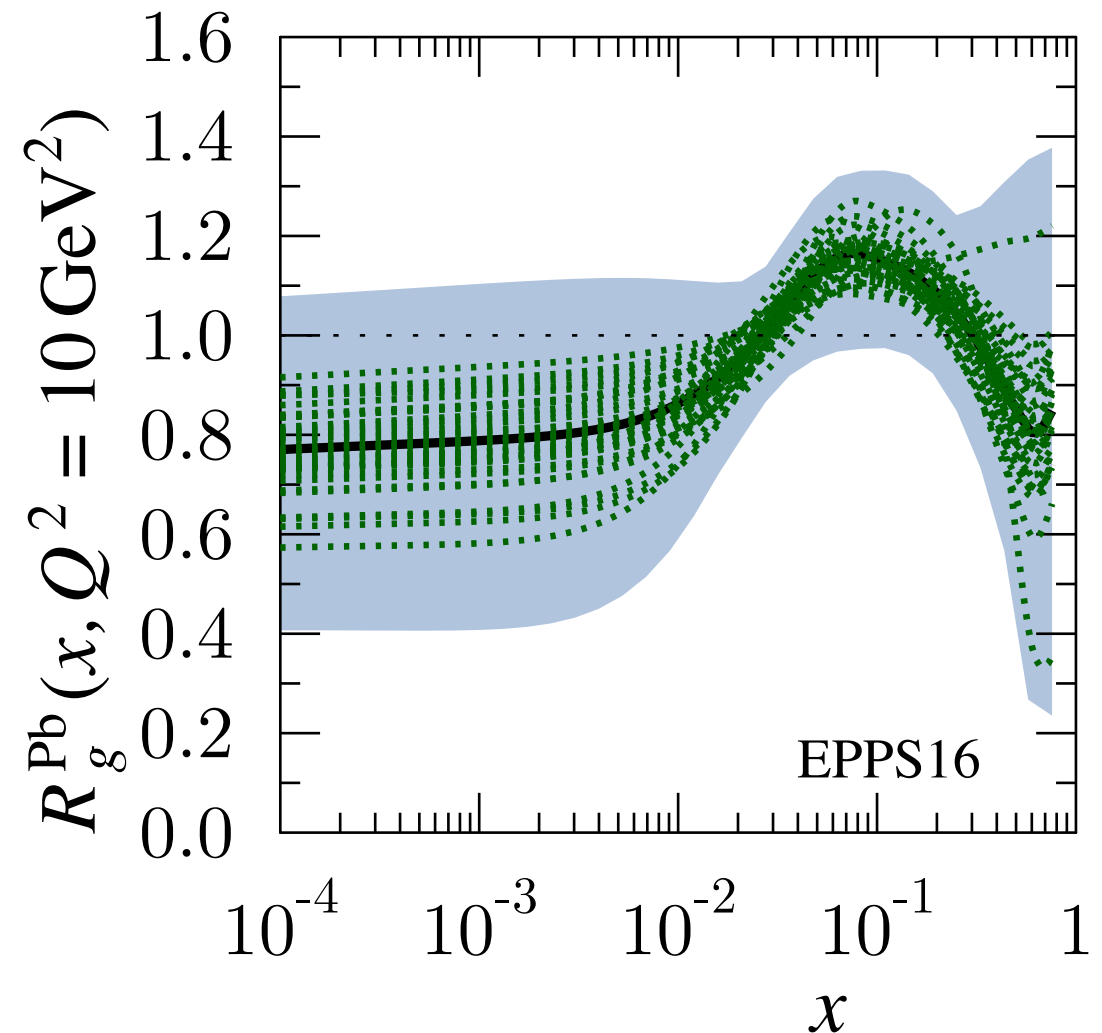
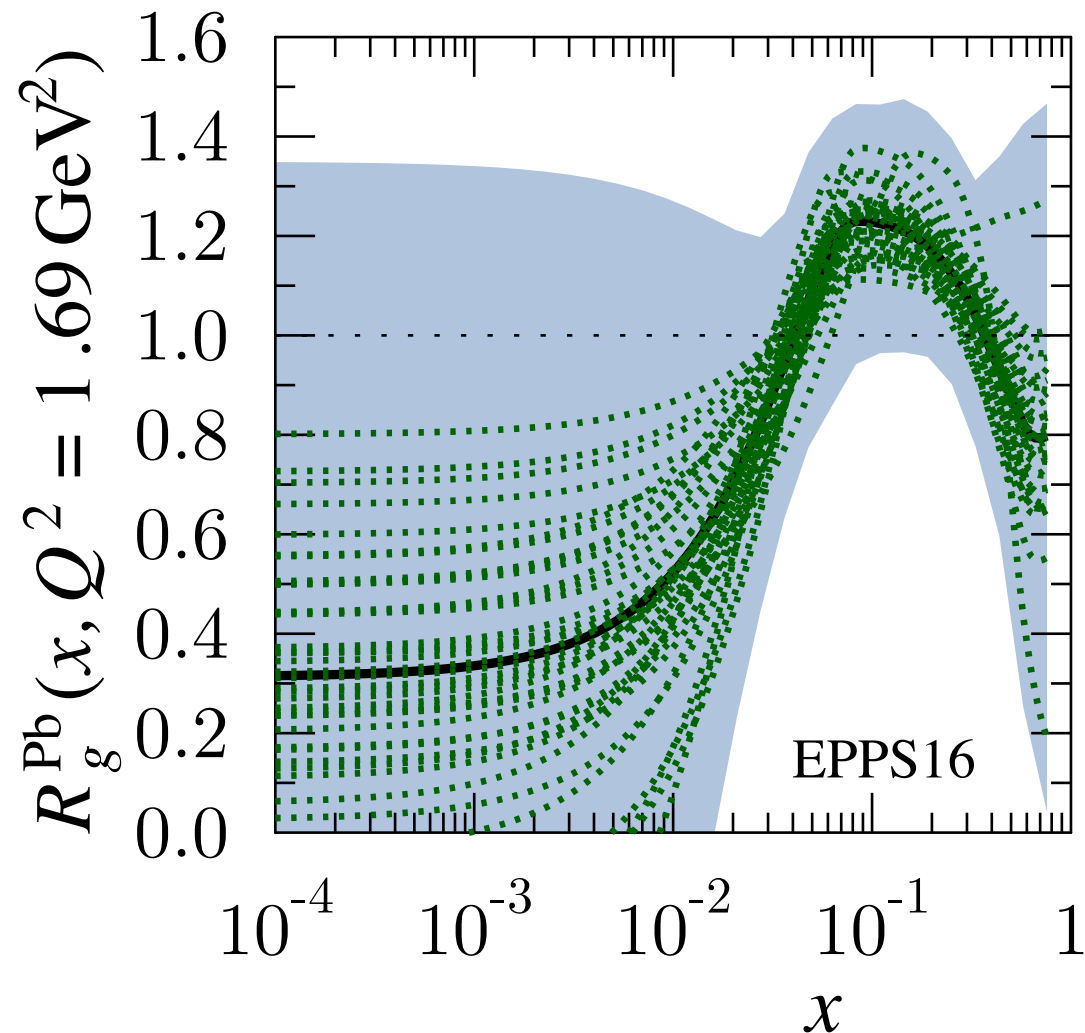


Generated with APFEL 2.4.0 Web

- large uncertainties of gluon PDFs for $x < 10^{-4}$
- relative uncertainty reduces for larger Q^2
 - contribution of “gluon radiation” off better constrained medium-x partons
 - relies on linear evolution (DGLAP)

Uncertainties in Nuclear PDFs

EPPS16, EPJC 77, 163

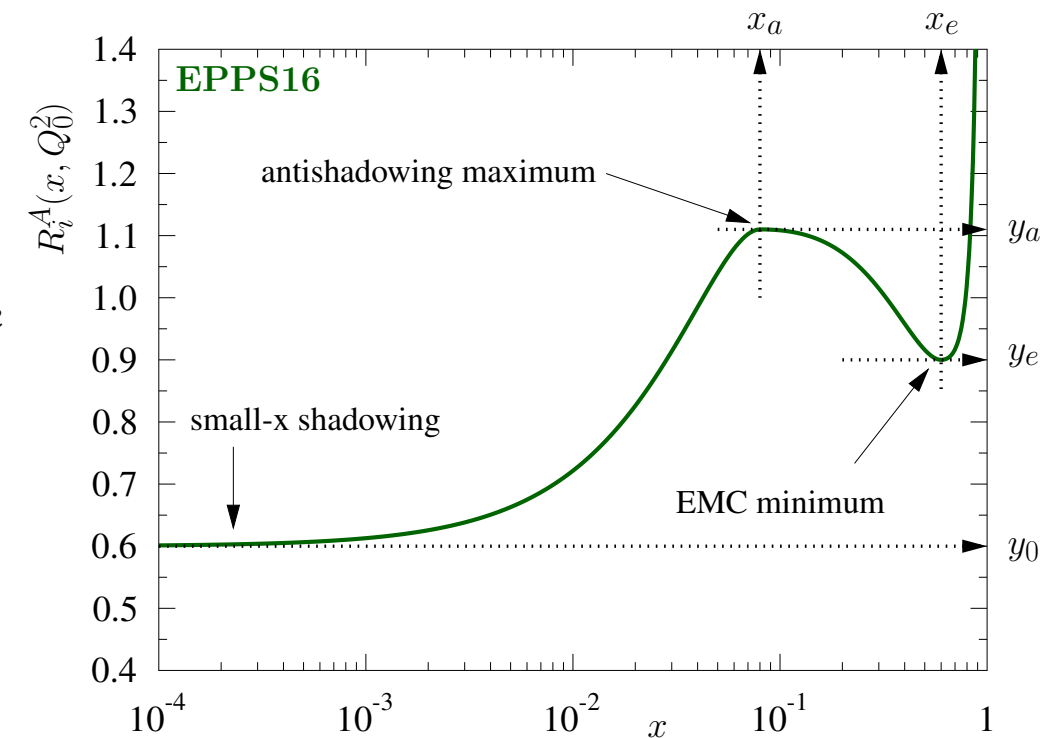


- large uncertainties of nPDFs
 - parameterised nuclear modification
 - recently updated to allow more freedom (e.g. flavour dependence)
- x-dependence?
 - very little dependence for $x < 10^{-2}$

x-Dependence of PDF modification

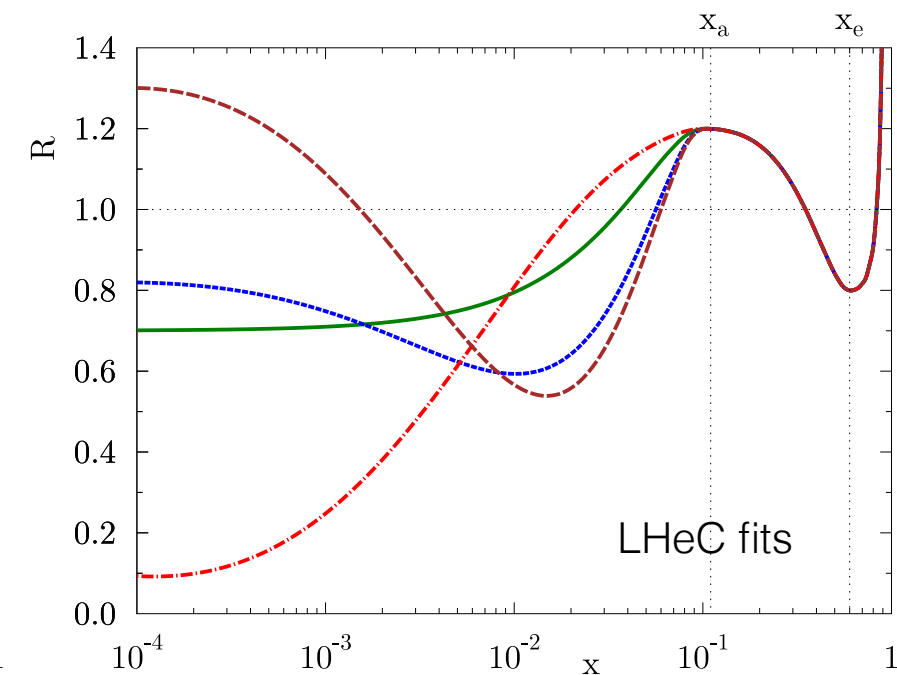
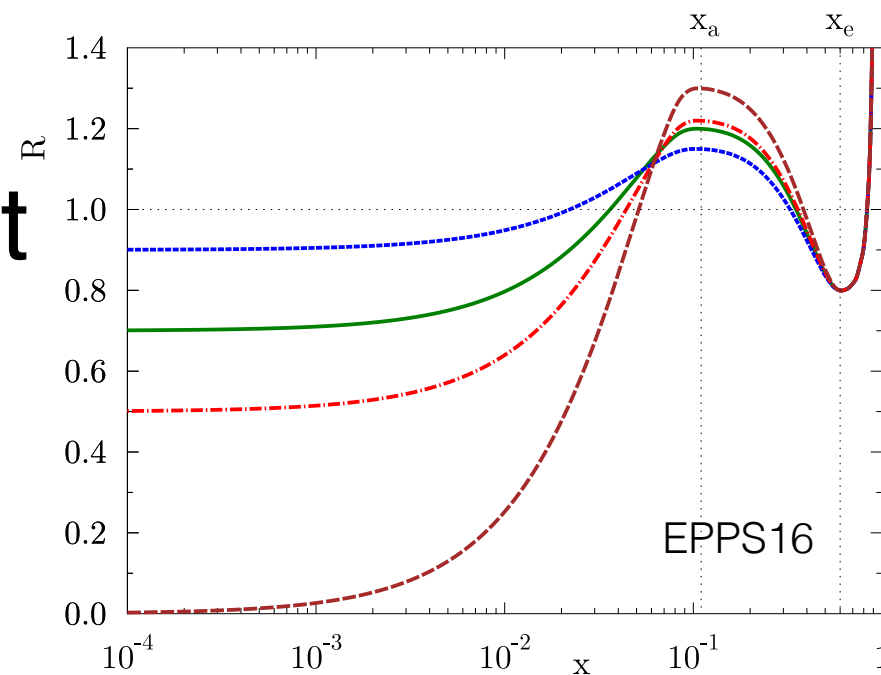
EPPS16, EPJC 77, 163

$$R_i^A(x, Q^2) = \begin{cases} a_0 + a_1(x - x_a)^2 & x \leq x_a \\ b_0 + b_1x^\alpha + b_2x^{2\alpha} + b_3x^{3\alpha} & x_a \leq x \leq x_e \\ c_0 + (c_1 - c_2x)(1 - x)^{-\beta} & x_e \leq x \leq 1 \end{cases}$$

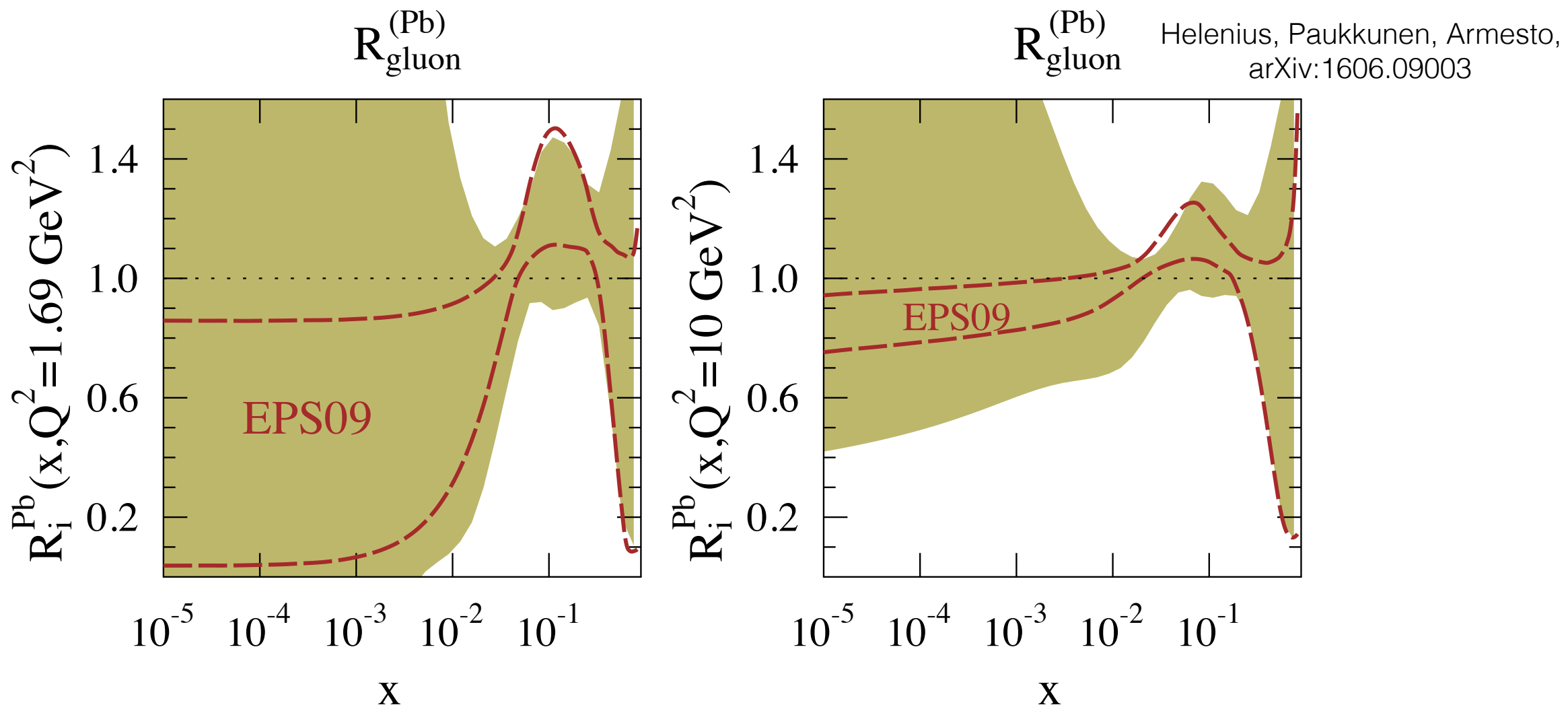


- parameterisation of R_A
 - shape similar to EPS09
 - at low x leads to “plateau” in $\log(x)$

- likely not sufficient
 - more flexible PDF used for LHeC estimates



Uncertainties in Nuclear PDFs



- still larger uncertainties (also compared to EPPS16)
 - due to larger shape flexibility of parameterisation
- likely a more reasonable assumption
 - last words?

Accessing small x – Kinematics

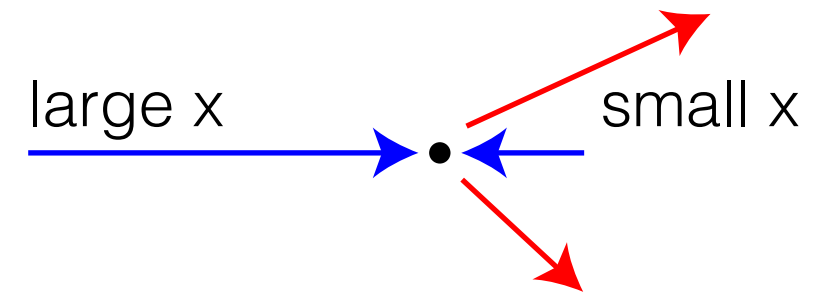
- for $2 \rightarrow 2$ process (LO on parton level):

$$x_{1,2} = \frac{M}{\sqrt{s}} \exp\left(\pm \frac{y_3 + y_4}{2}\right)$$

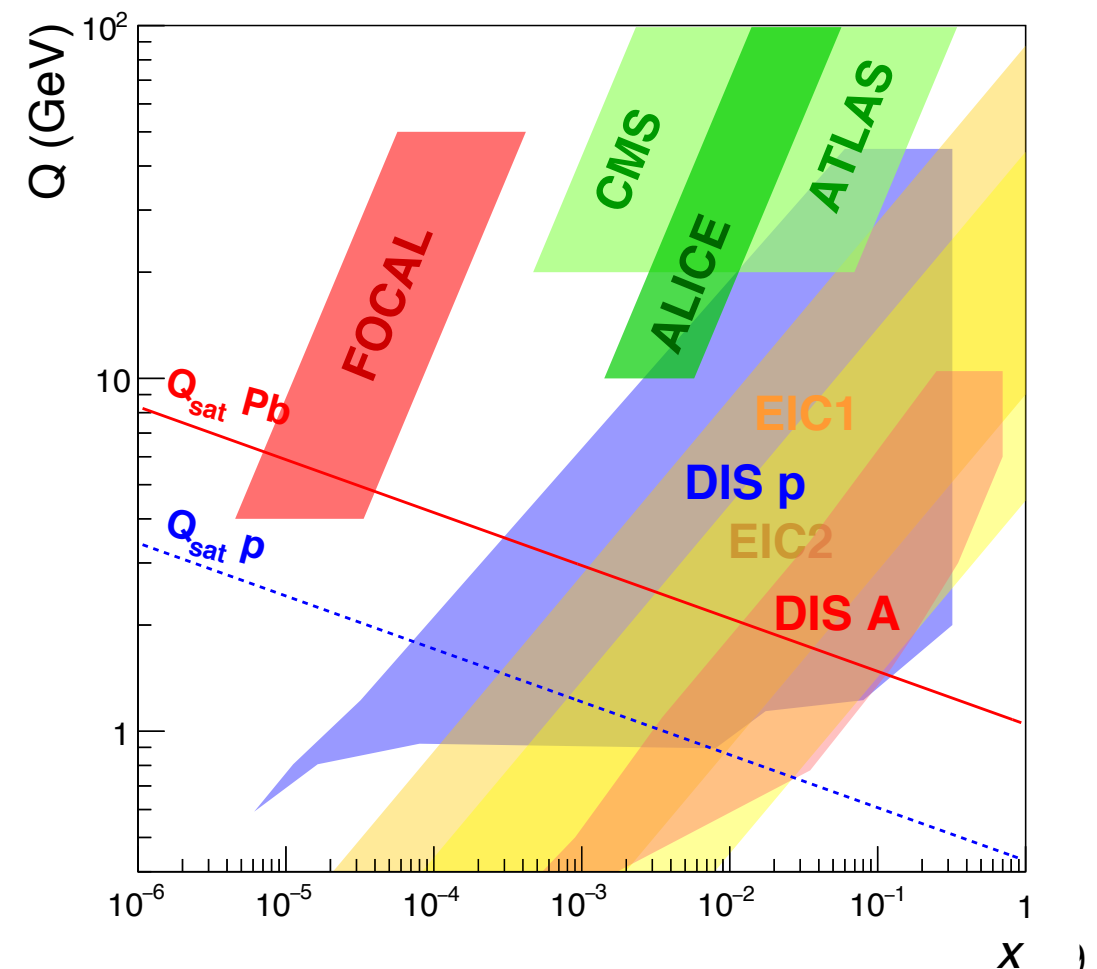
- forward rapidity selects small x
 - advantage for exclusive measurement
- for singles assume:

$$x_{1,2} \approx \frac{2m_T}{\sqrt{s}} \exp(\pm y)$$

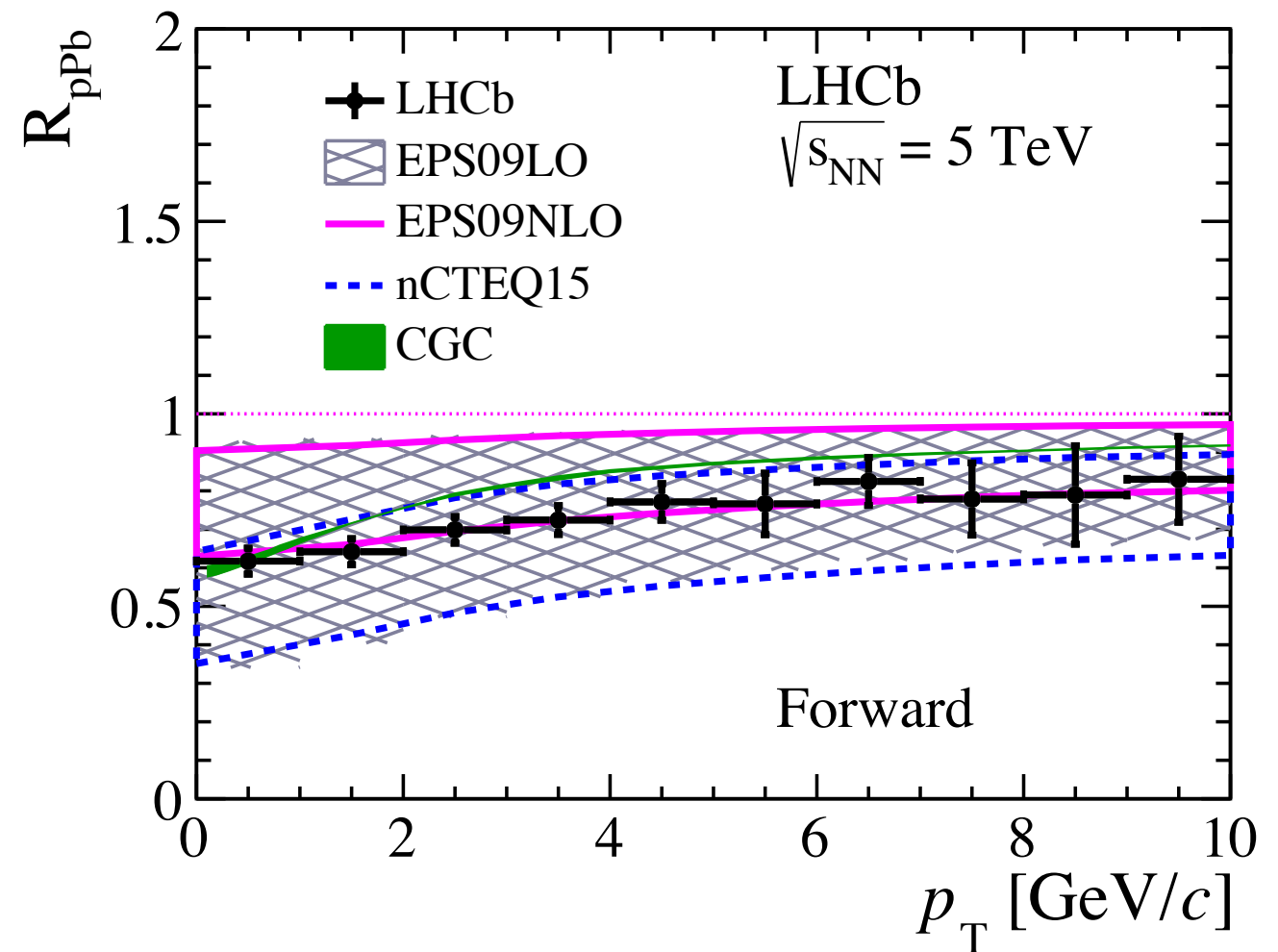
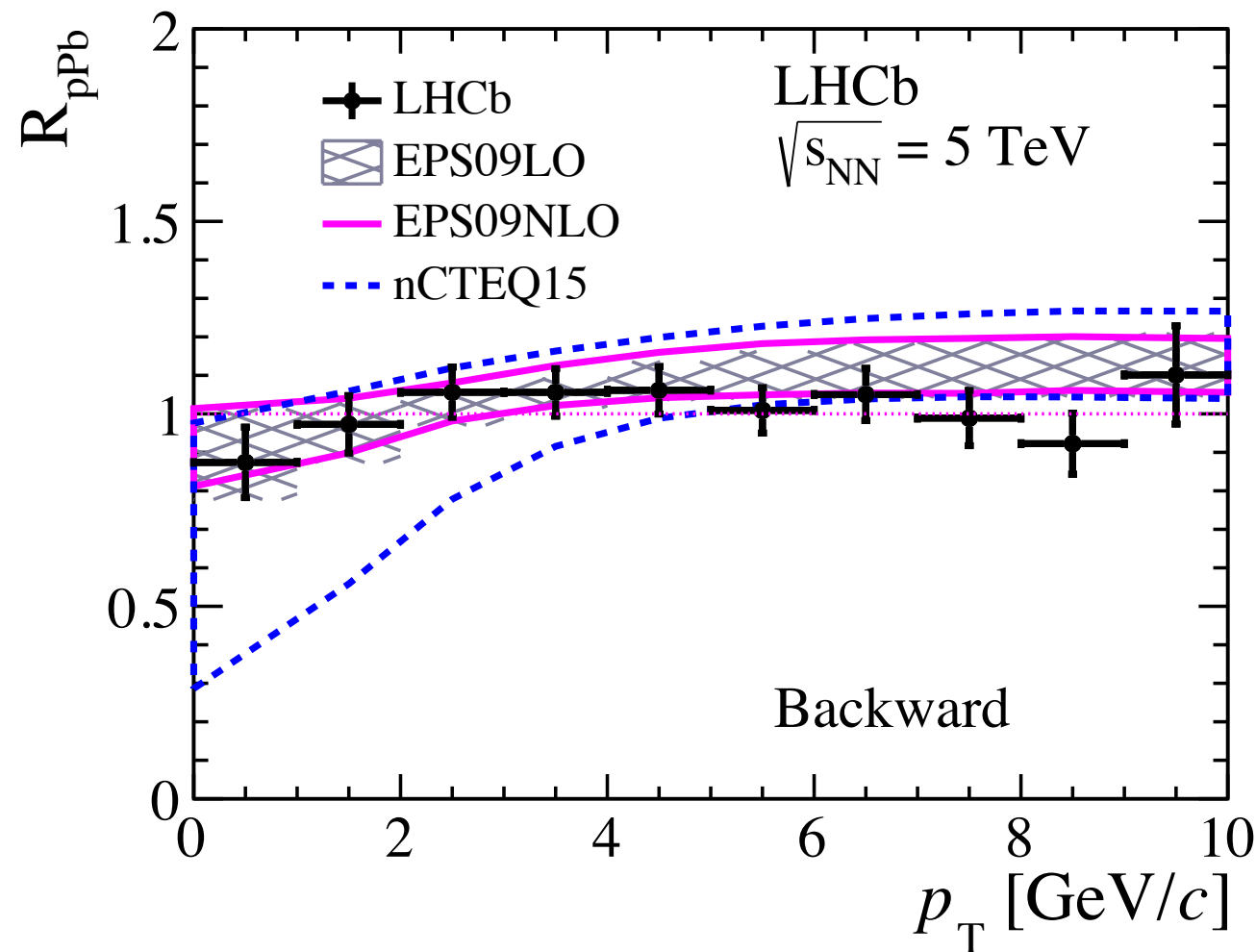
- valid for jets (large m_T) and photons
 - for hadrons take fragmentation into account!
- further modification via higher order contributions
 - significant at LHC
- limited data so far!



EM probes - kinematic coverage



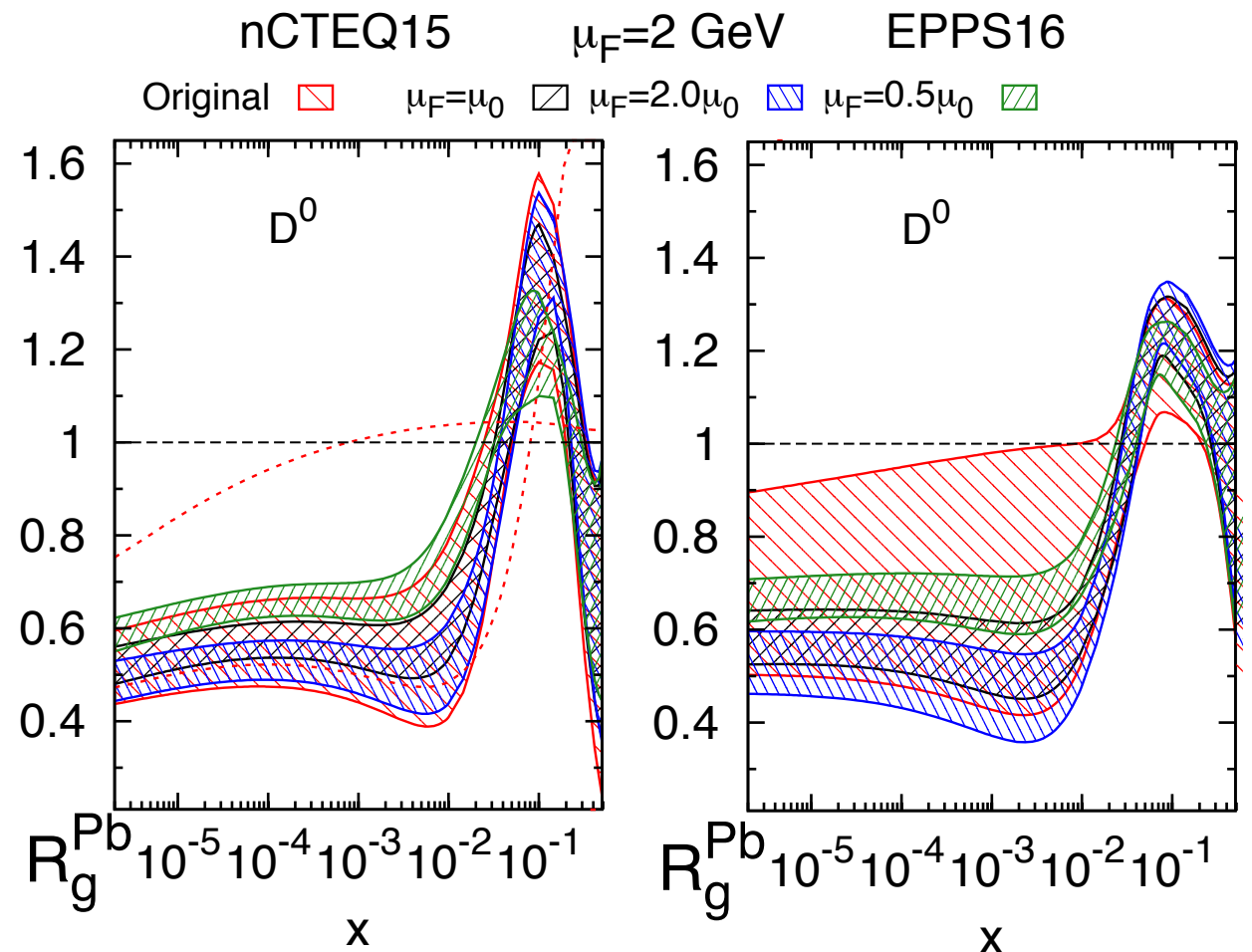
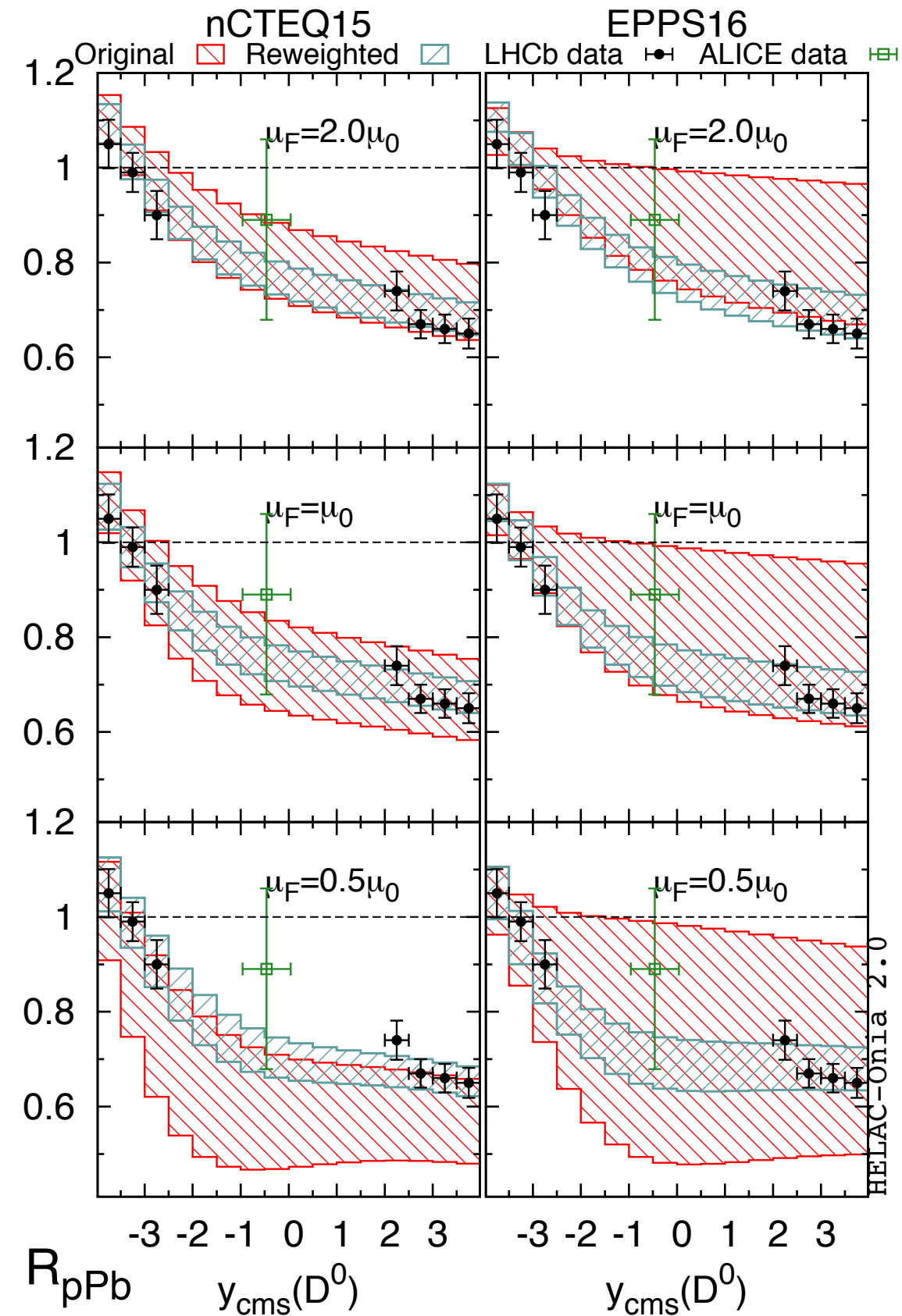
Results from p-Pb at LHC (2)



- prompt D^0 suppressed at forward rapidity
 - consistent with pQCD + shadowing (EPS09)
 - also consistent with CGC calculation

Recent: PDF Fits Using Charm

- open charm used in re-weighting
- significant reduction of uncertainties
- significant suppression – on the low side of current PDFs
- significant pQCD uncertainties (scale, fragmentation)
- **relies on shape of parameterisation:
very little x -dependence at low x !**



True x-Sensitivity?

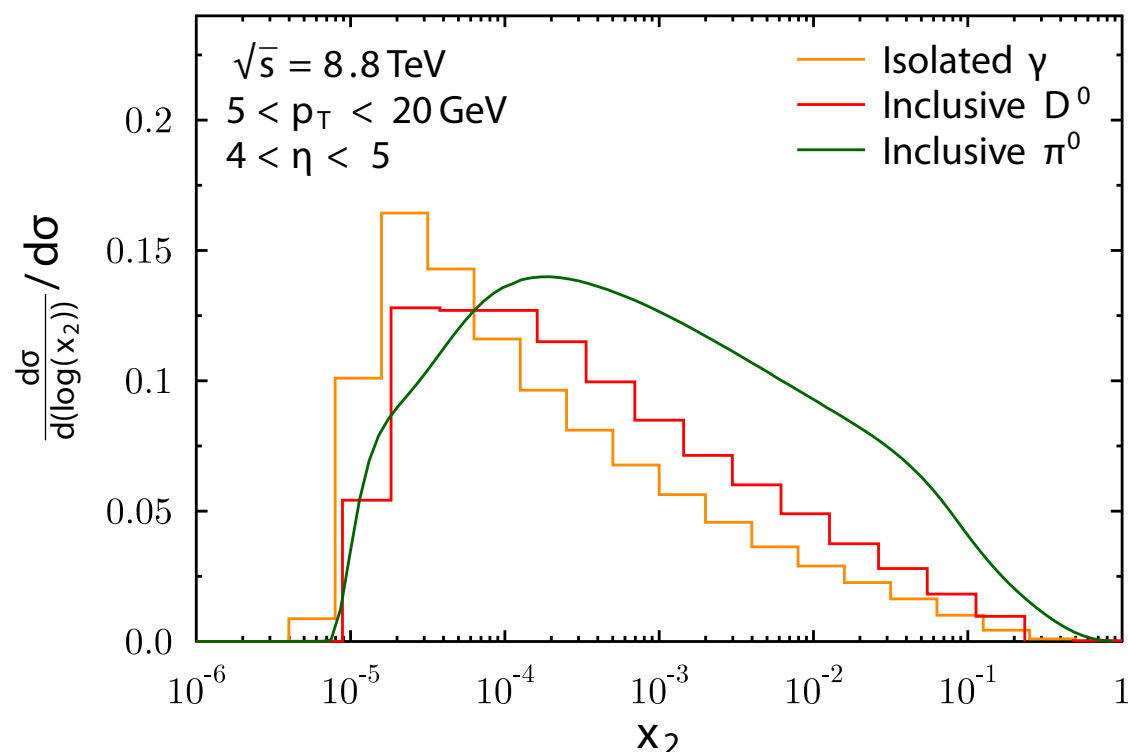
	\sqrt{s} (TeV)	y	p_T (GeV/c)	z	x_2
π	0.2	4	2	0.3	$1.2 \cdot 10^{-3}$
π	8.8	0	2	0.3	$1.5 \cdot 10^{-3}$
jet	8.8	4	20	1	$8.3 \cdot 10^{-5}$
π	8.8	4	2	0.3	$2.8 \cdot 10^{-5}$
D	8.8	4	0	0.5	$1.5 \cdot 10^{-5}$
γ	8.8	4	4	1	$1.7 \cdot 10^{-5}$
γ	8.8	4.5	4	1	$1.0 \cdot 10^{-5}$

$$x_{1,2} \approx \frac{2m_T}{\sqrt{s}} \exp(\pm y)$$

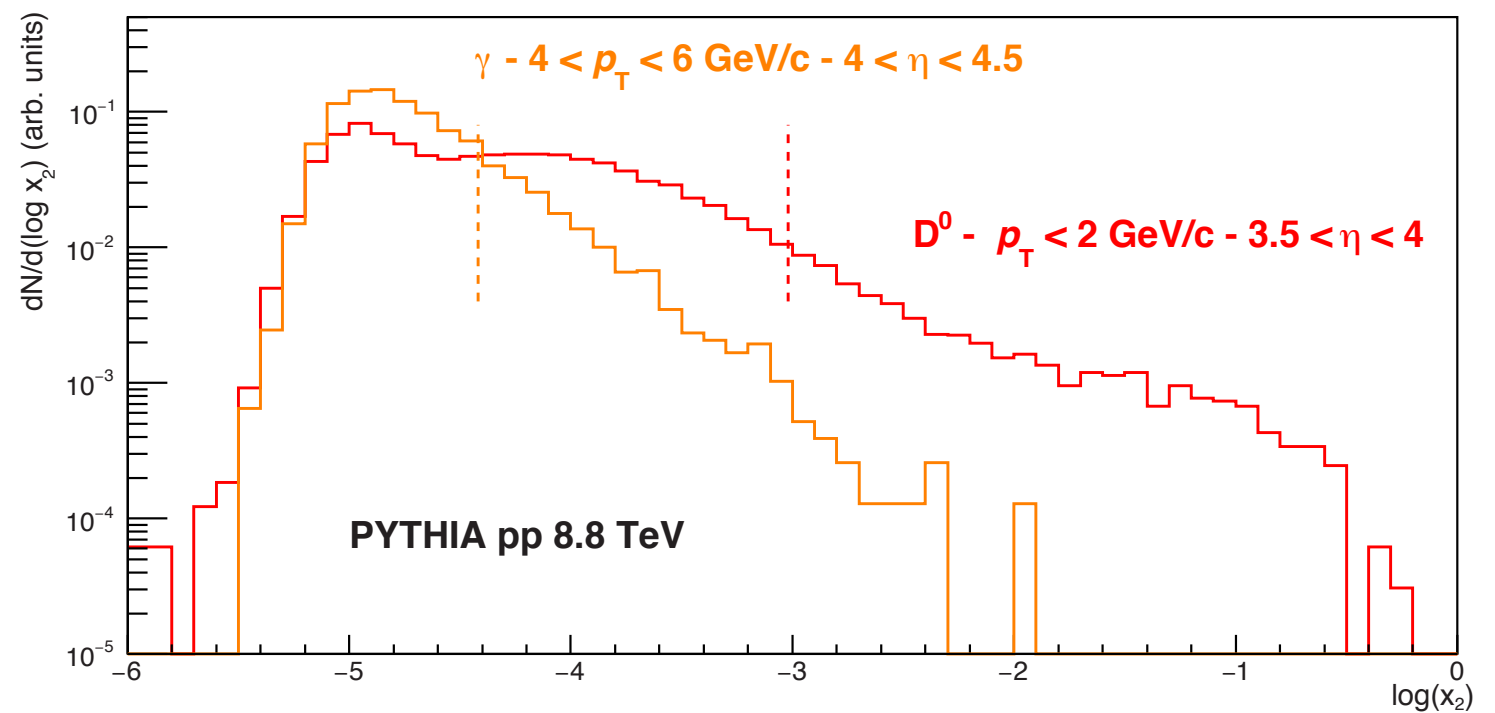
- LO kinematics estimates provide rather lower limit for x_2
- but: higher orders contribute significant tail towards large x_2

- compare D^0 (LHCb) and prompt γ (FoCal)
- expect better sensitivity for photons

- x-distributions from NLO pQCD



- x-distributions from PYTHIA



no analytical approximation, taking into account η of recoil parton

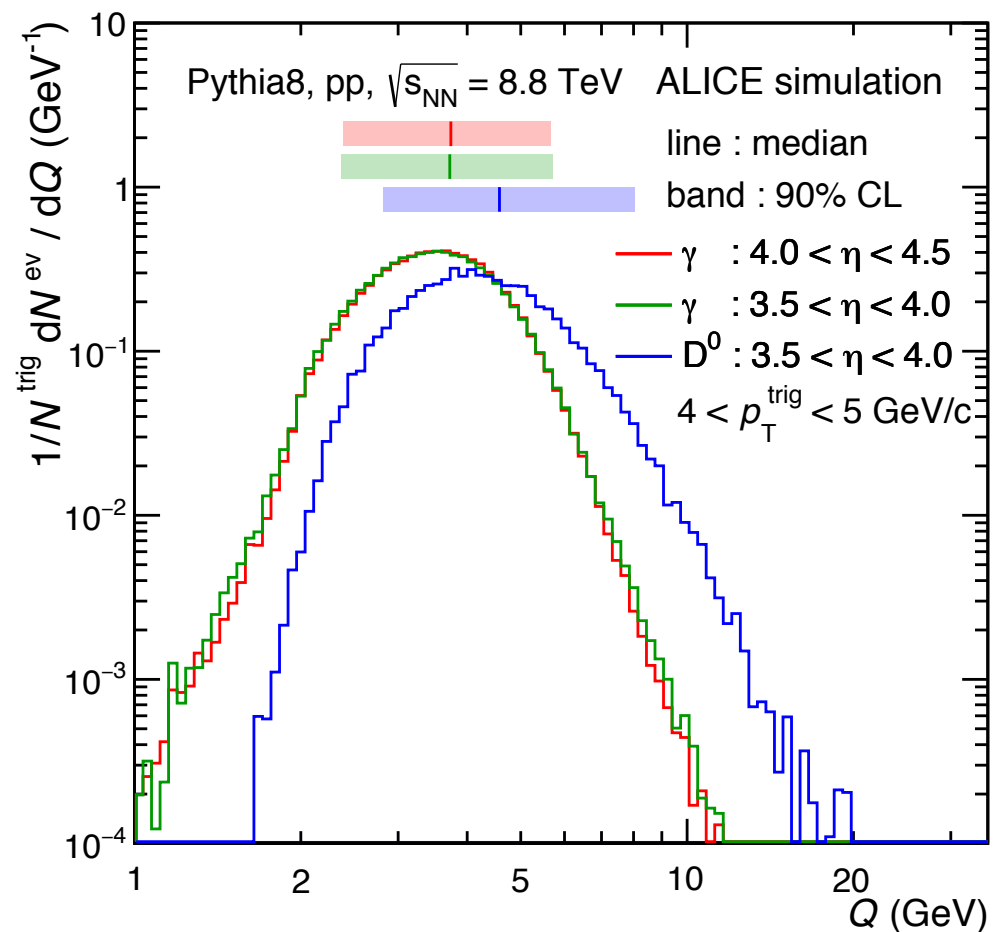
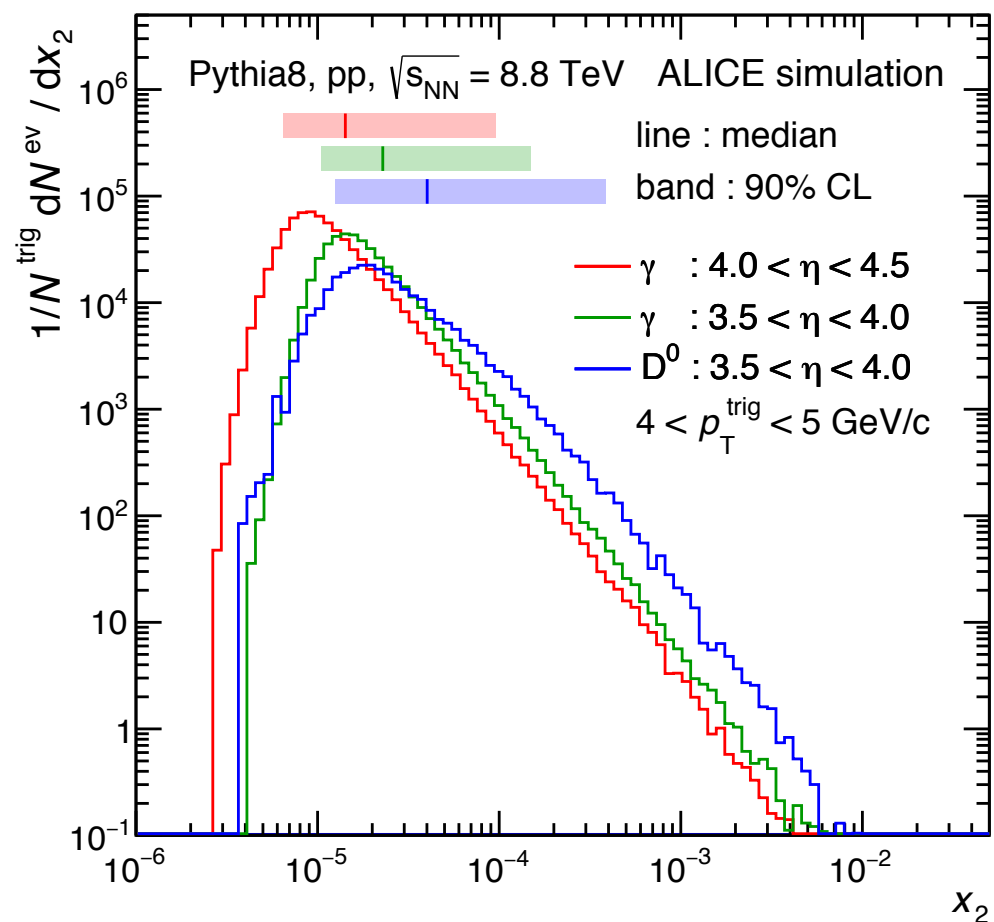
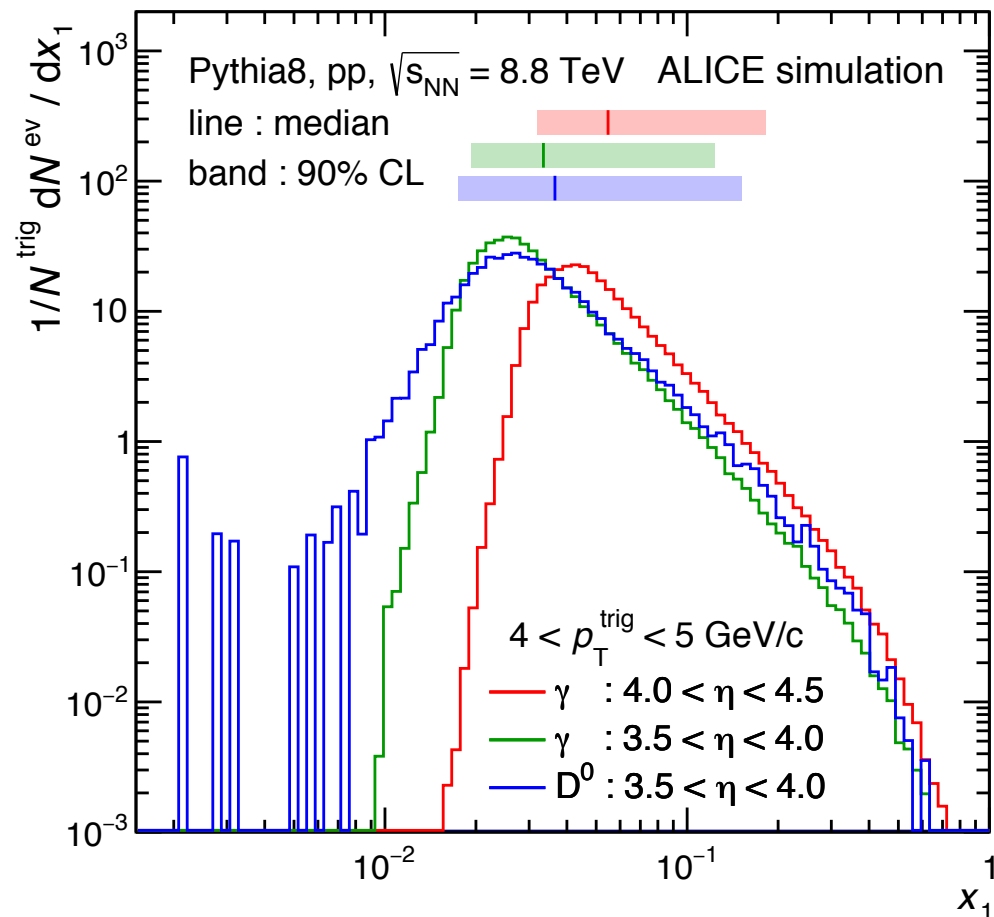
x - Q^2 -Sensitivity

PYTHIA pp 8.8TeV

forward measurements

LHCb D0 vs FoCal photons

study median of distribution and
90% confidence level limits

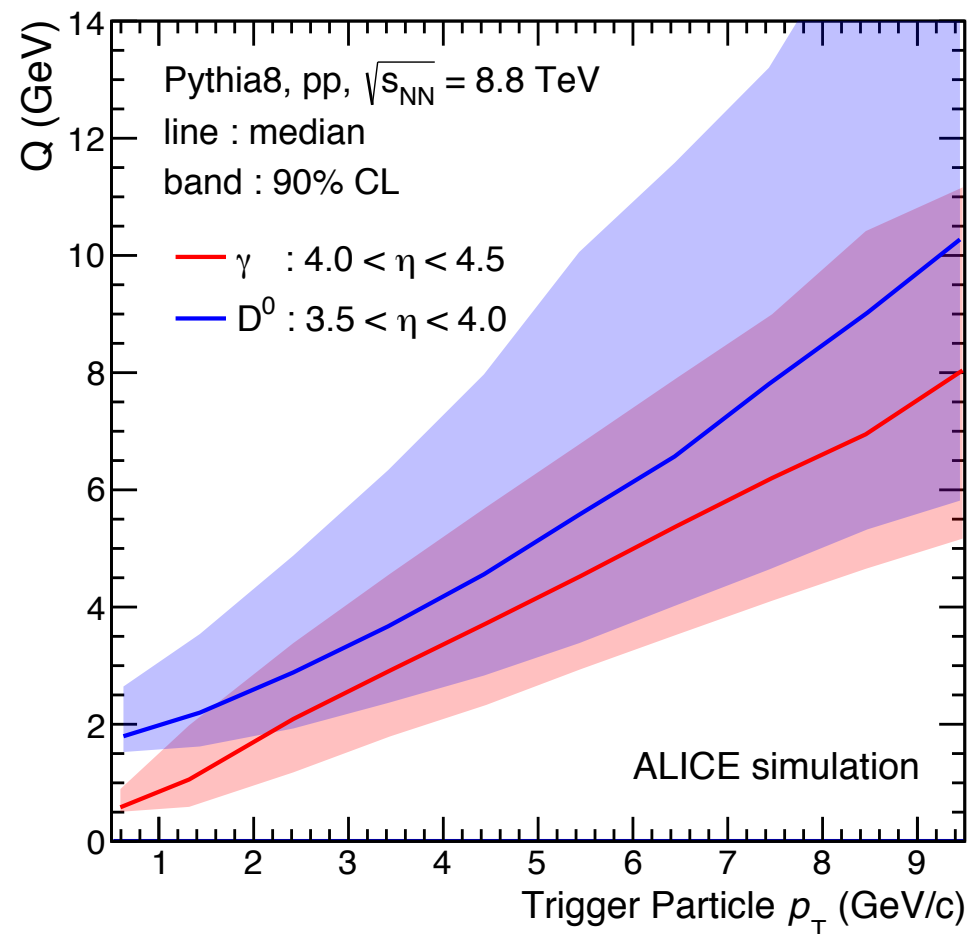
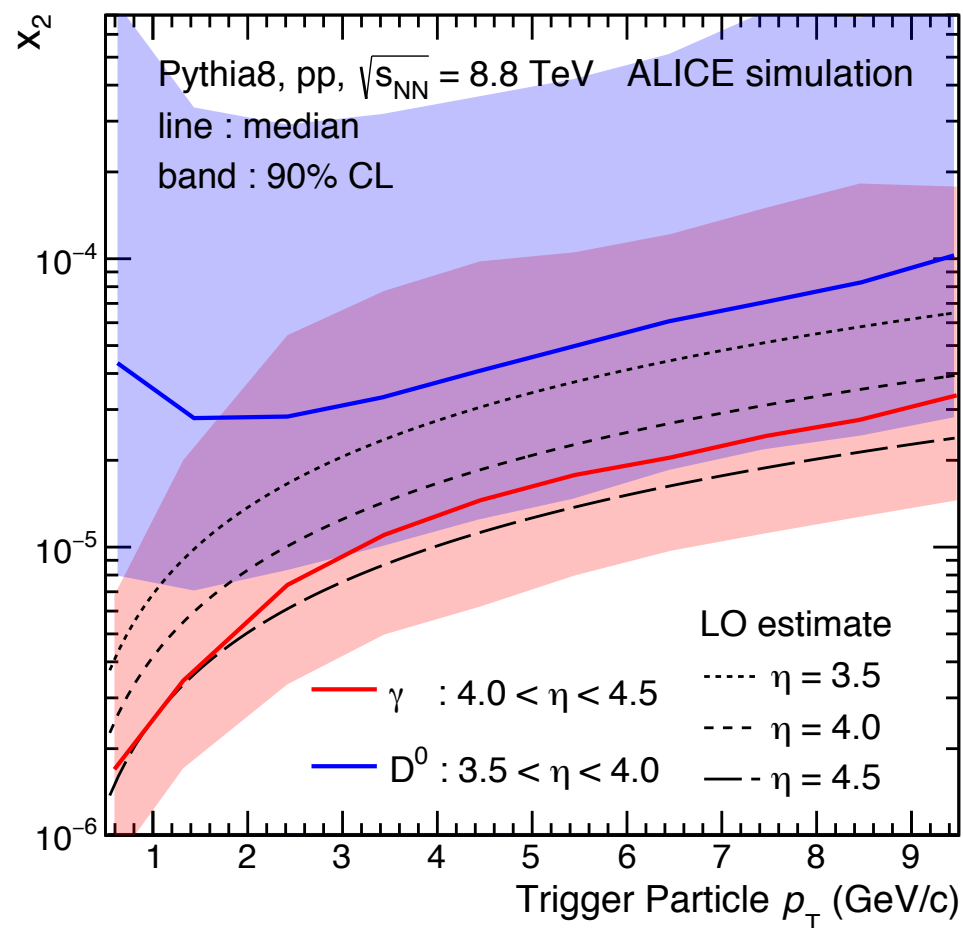
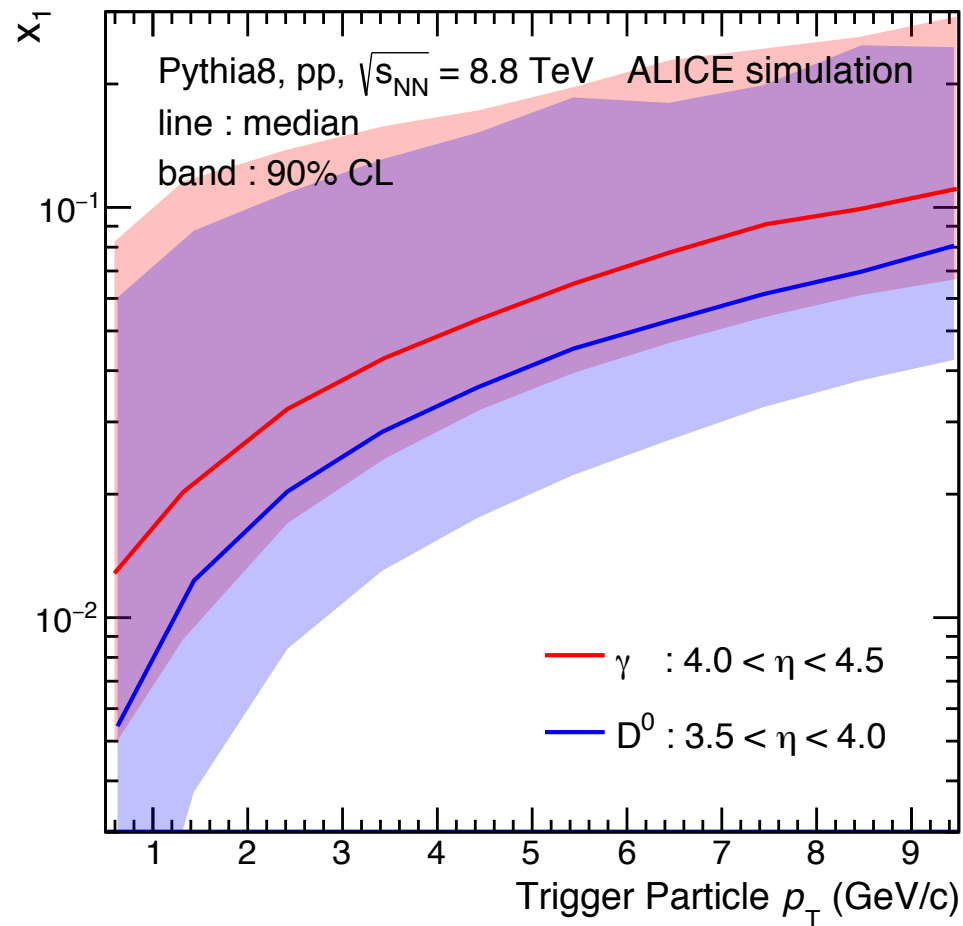


$x-Q^2$ -Sensitivity

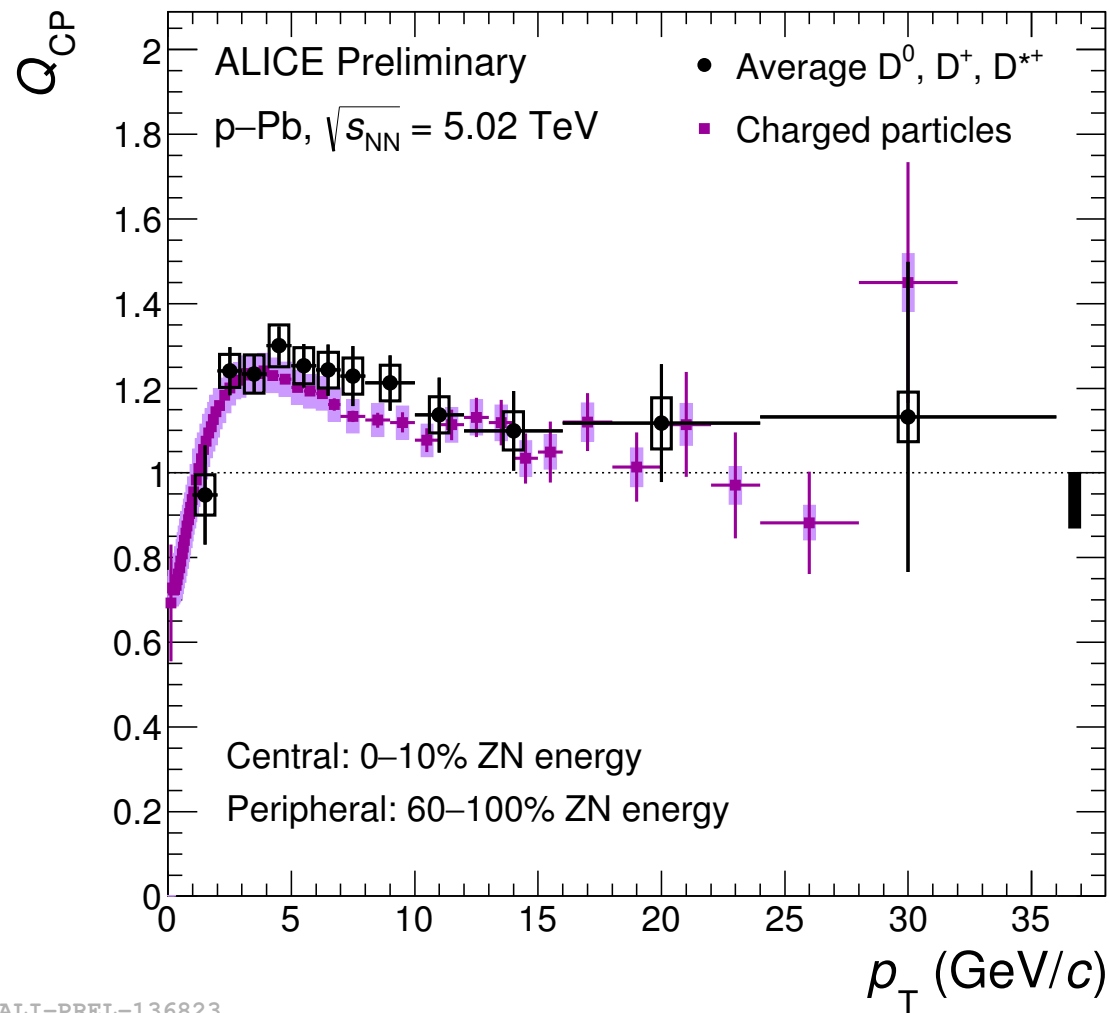
PYTHIA pp 8.8TeV
forward measurements

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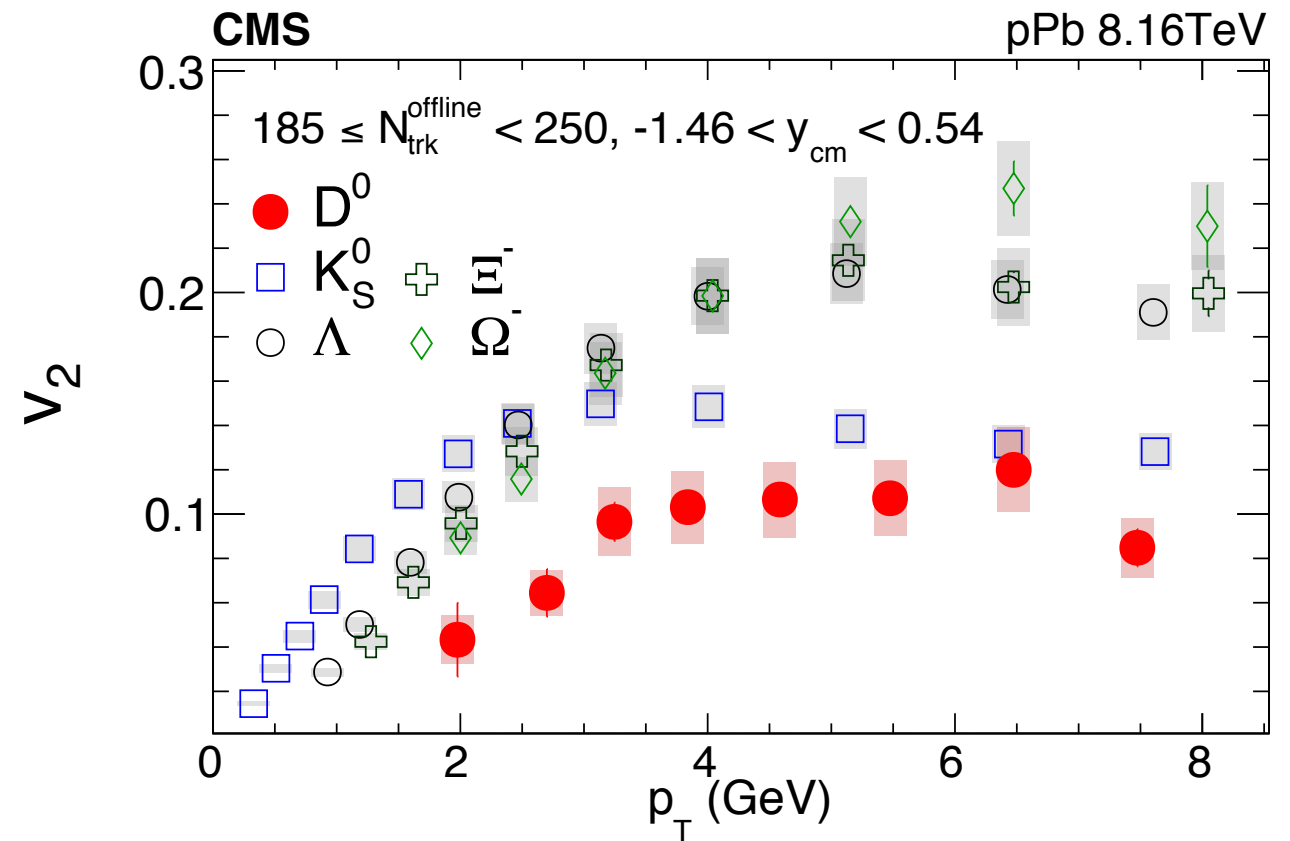


Final-State Modification of Open Charm in p–A?



nuclear modification for D mesons
 similar to charged hadrons,
 deviation from N_{coll} scaling at low p_T

CMS Collaboration, CERN-EP-2018-076



significant v_2 for D mesons,
 similar results for HF-decay leptons

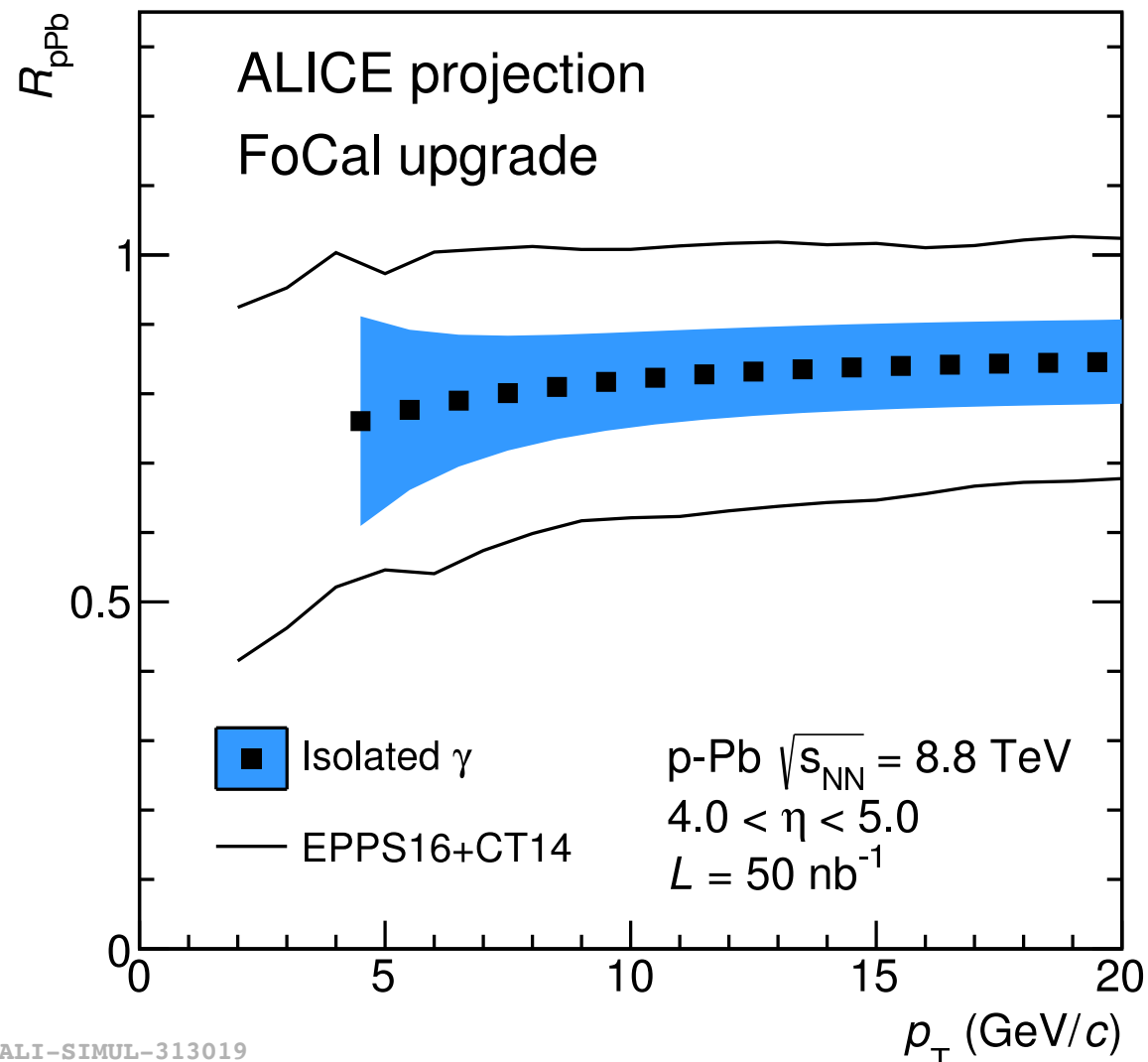
- mechanism for modifications still unclear, possibly final-state interaction!
- relation between initial- and final-state kinematics may be obscured
- **introduces additional systematic uncertainty**

Low-x Probes

- Open charm and photons apparently most sensitive probes
- Some advantages for photons
- Charm measurements possible with existing LHCb apparatus
- Photons provide complementary measurement
- Not possible with existing experiments
 - need new detector

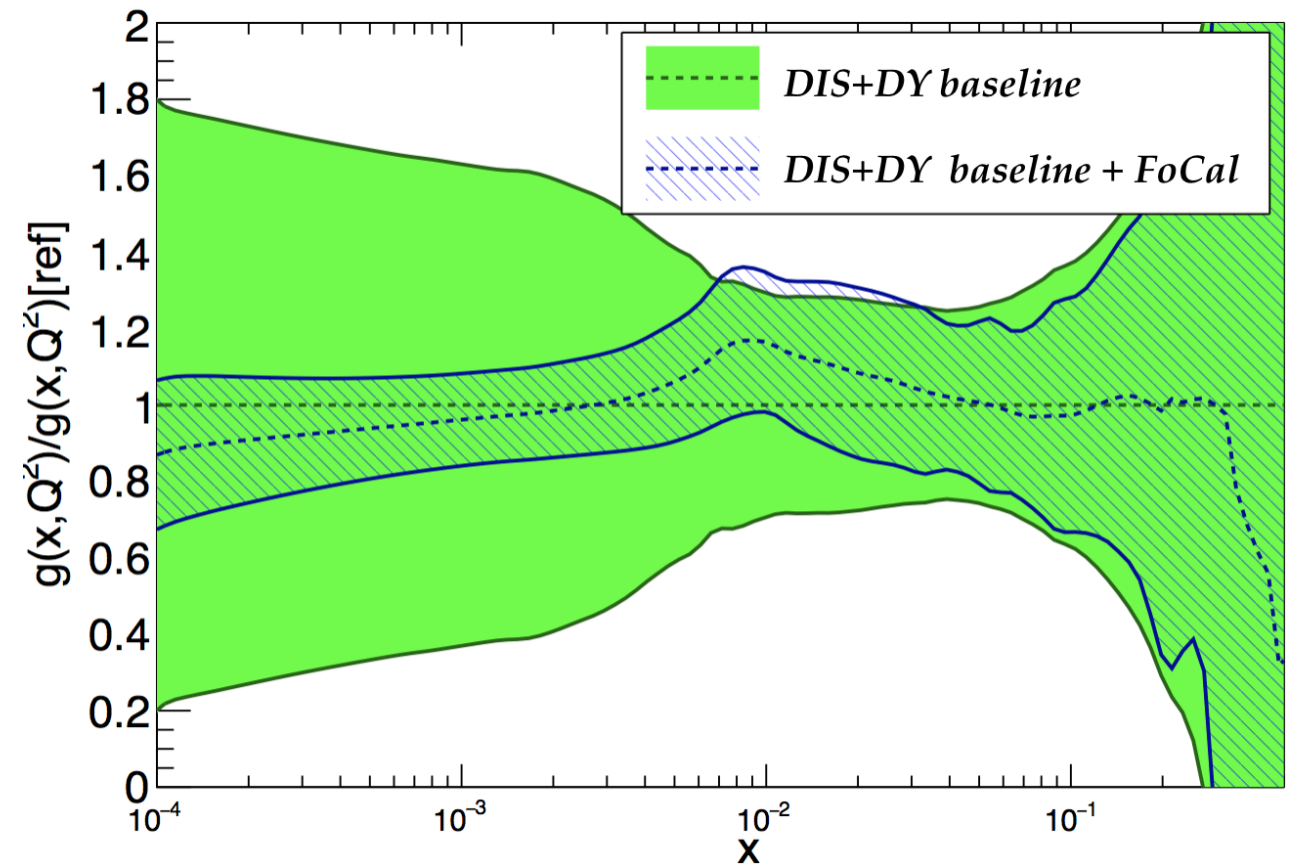
Impact of Forward Photons on nPDFs

Performance estimate of FoCal measurement



ALI-SIMUL-313019

uncertainty of nPDFs without/with FoCal
J. Rojo et al, priv. comm.,
arXiv 1610.09373,1706.00428,1802.03021
NNPDF3.1 NNLO, $Q^2 = 5$ GeV 2



Uncertainties can be improved significantly

Still some discussion ongoing:

choice of $\Delta\chi^2$, effect of DGLAP evolution, shape of parameterisation

Work in progress!

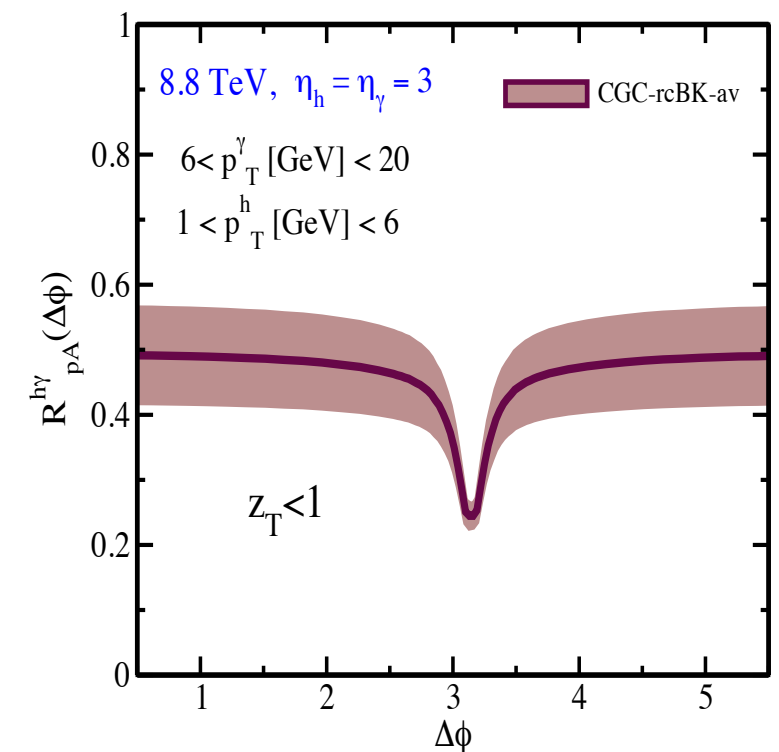
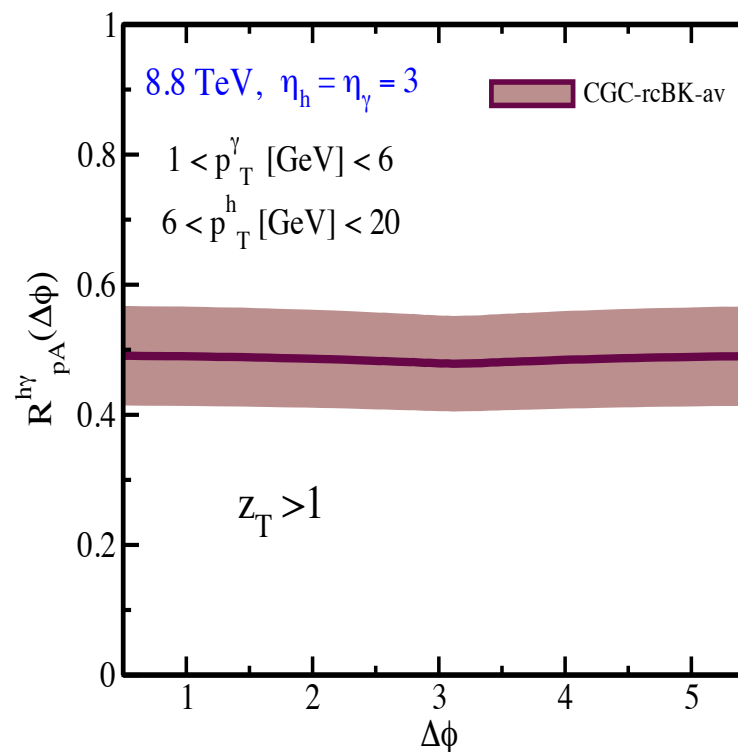
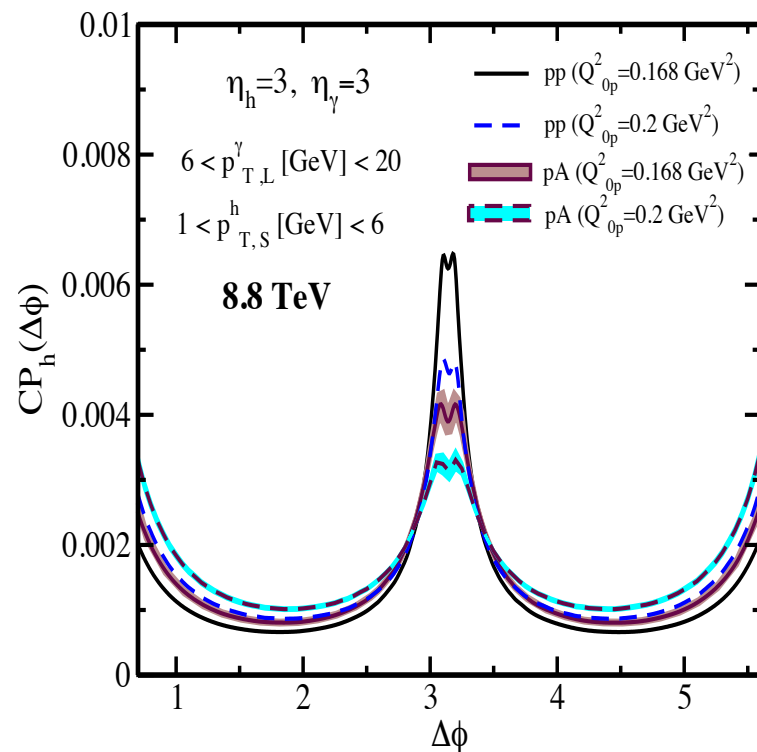
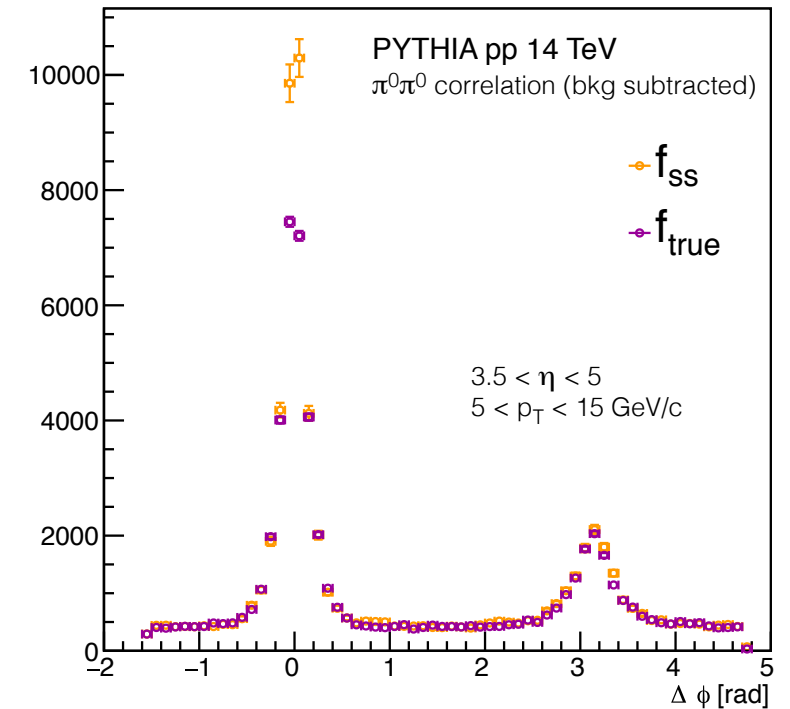
Other Probes of Saturation

- Suppression of single particles observed in R_{pA} tests gluon density
- Other probes: two-particle correlations
- **Signal: Monojet production in place of dijets**
 - sensitive to scattering off coherent gluon state
 - disappearance of away-side jet observed in pion azimuthal correlations d–Au at RHIC
 - also study $\pi^0\pi^0$ correlations in FoCal
 - more: photon-hadron correlations, jet-jet correlations

Azimuthal Correlations

- first performance studies
 - π^0 - π^0 correlations observable
- more unique probe: photon-hadron correlations

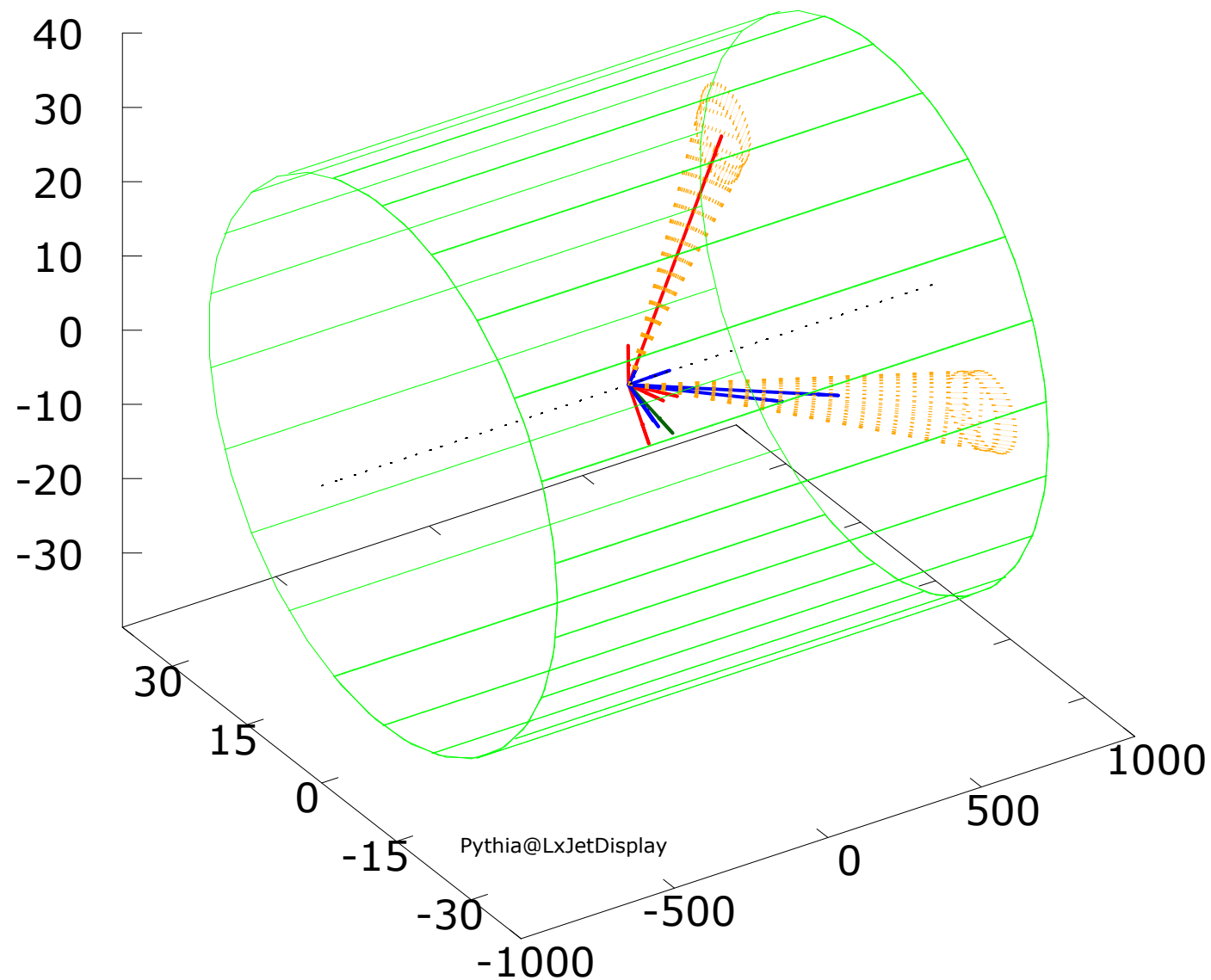
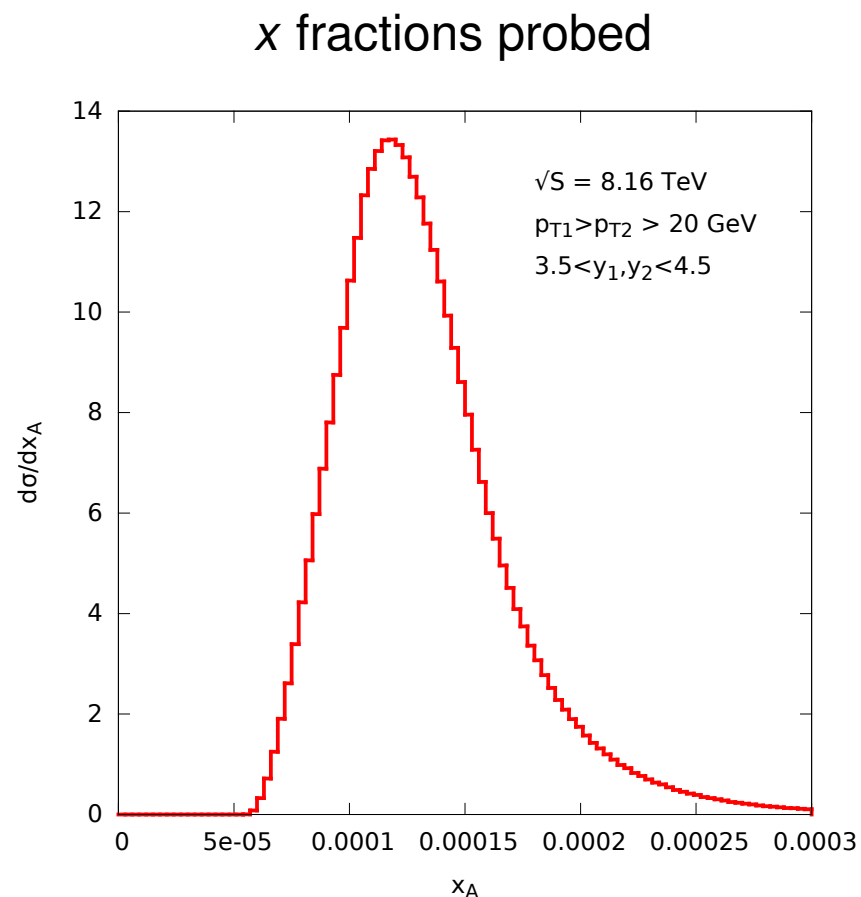
Tomoko Sakamoto



Results for dijet production in $p\text{Pb}$ at LHC

Kinematic cuts

- CM energy: $\sqrt{S} = 8.16 \text{ TeV}$
- require two jets with $(\Delta\phi)^2 + (\Delta\eta)^2 > R^2, R = 0.5$
- transverse momenta cuts: $p_{T1} > p_{T2} > 20 \text{ GeV}$
- rapidity cuts: $3.5 < y_1, y_2 < 4.5$



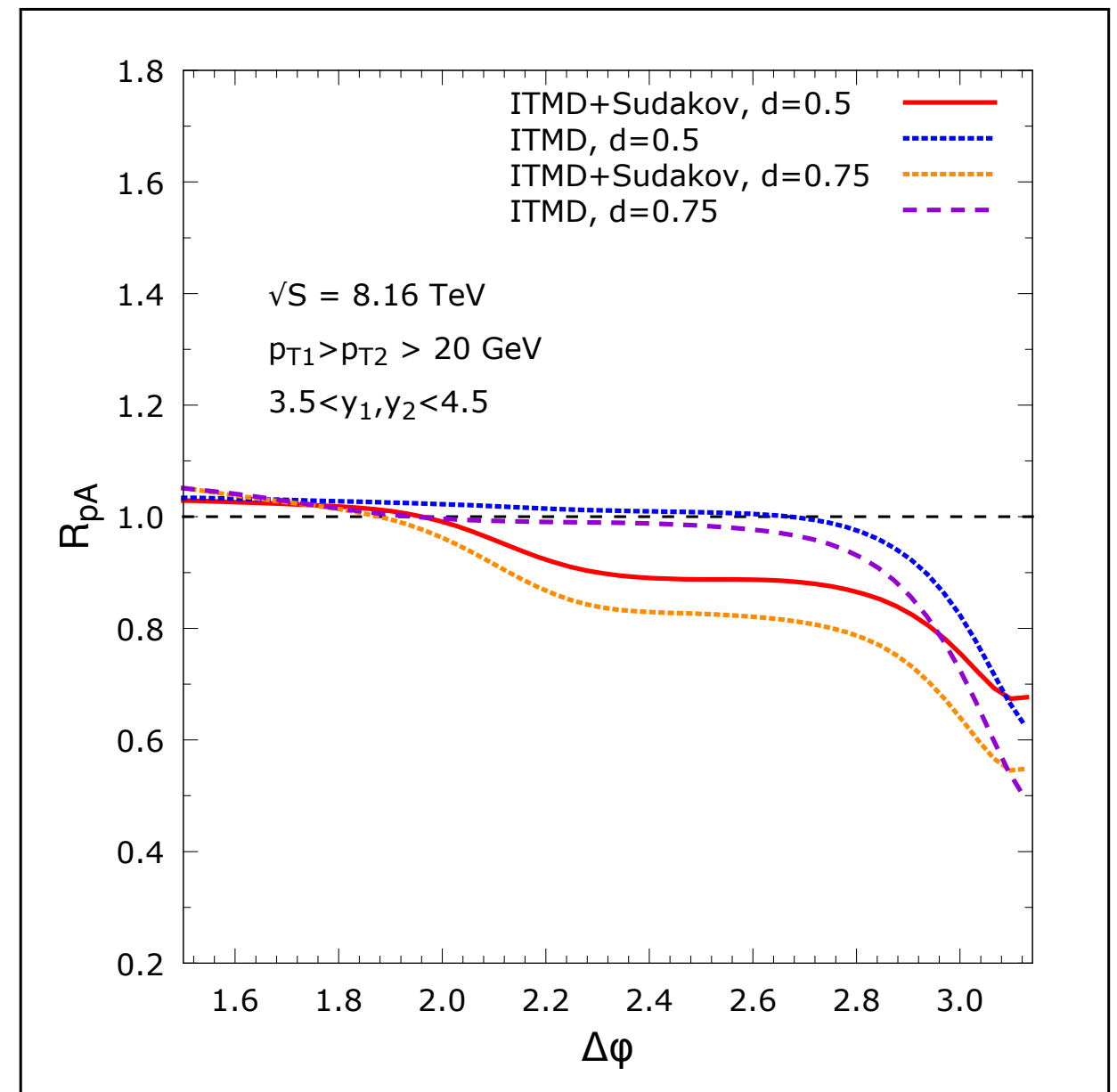
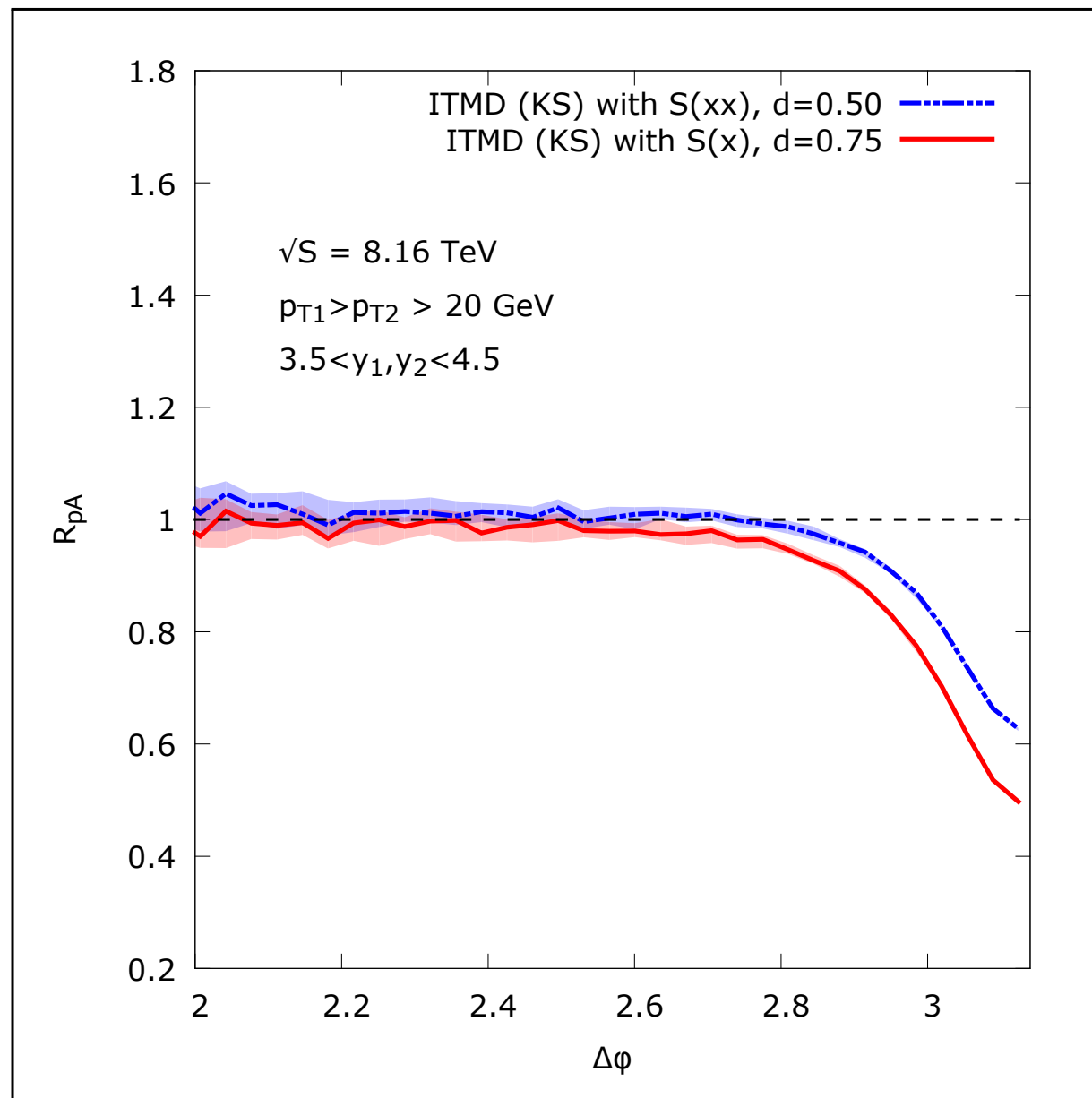
This particular PYTHIA event:

- jets with $p_{T1} \sim 27 \text{ GeV}, p_{T2} \sim 30 \text{ GeV}$
- $y > 3.5$
- 9 MPI events (not all visible; each in different color)
- jet imbalance $q_T \sim 10 \text{ GeV}$

Results for dijet production in $p\text{Pb}$ at LHC

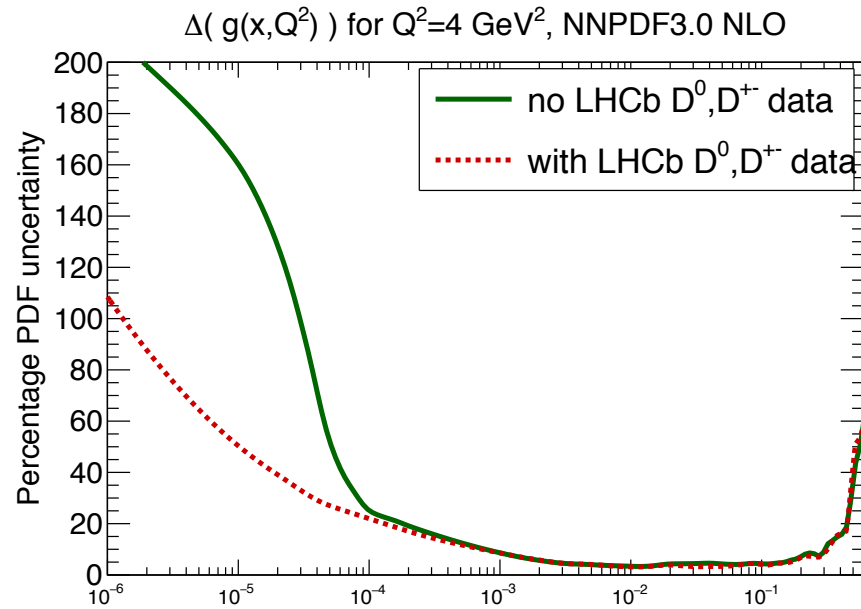
Nuclear modification ratio for azimuthal decorrelations

[A. van Hameren, P.K., K. Kutak, C. Marquet, E. Petreska, S. Sapeta, JHEP 1612 (2016) 034]



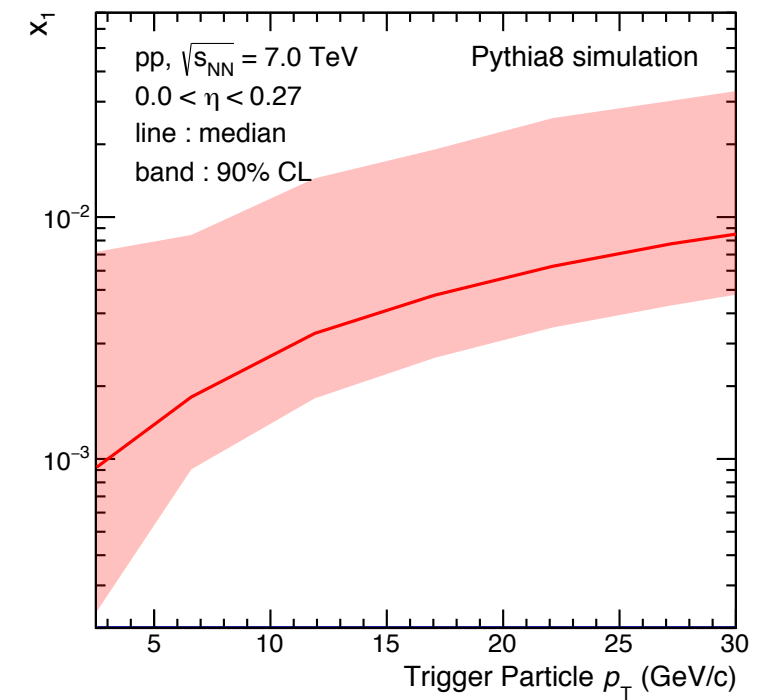
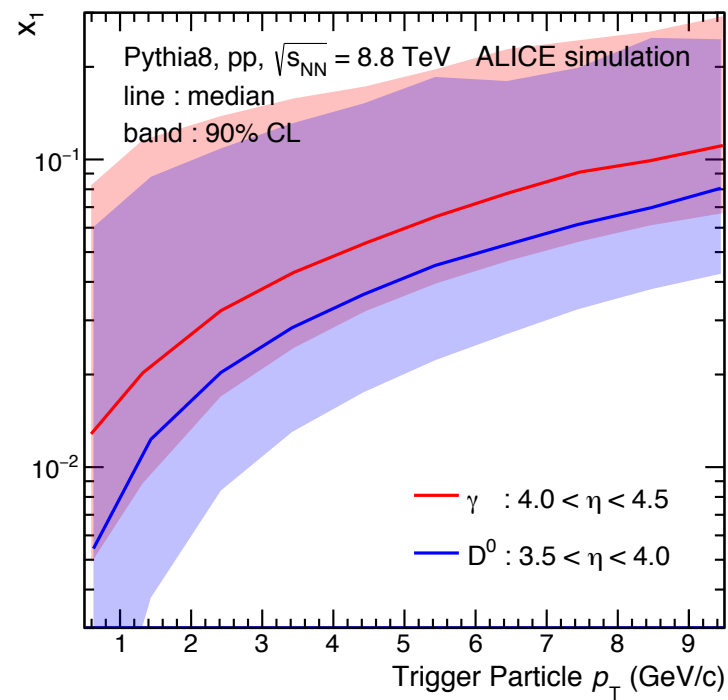
Other Physics Topics

- Initial State
 - constrain proton PDF



Gauld, Rojo et al,
arXiv:1506.08025

- constrain large x partons



- more topics in Pb–Pb ...

Summary

- Large uncertainties in low-x parton density
 - also needs improvement in theory
 - hints for gluon saturation, no proof!
- Opportunity for forward photon measurement
 - complementary information to open charm
 - possibly cleaner signal
 - main observable: direct photon R_{pA}
 - study also correlations (needs more theory work)