

TMD evolution from SIDIS data

J Osvaldo Gonzalez Hernandez
University of Turin

Outlook

I will discuss some of the (TMD) physics in COMPASS measurements

Collins, Sivers, Unpolarized functions (**crucial**)

Challenges remain. I review some.

TMD evolution hard to see in data
(Sivers and Collins effects).

Accurate determination of unpolarized functions

Issues with normalization

Matching between small and large q_T

Kinematics of applicability of factorization theorems

How to extract TMDs?

Ingredients:

Data

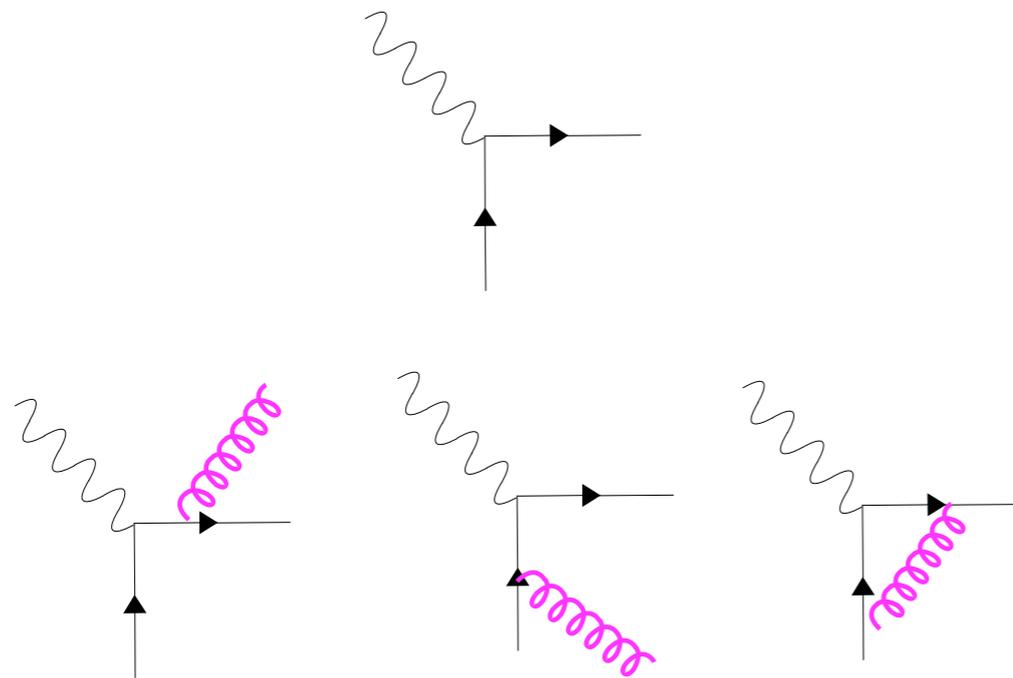


Theoretical framework

Recipe is tricky though, several challenges.

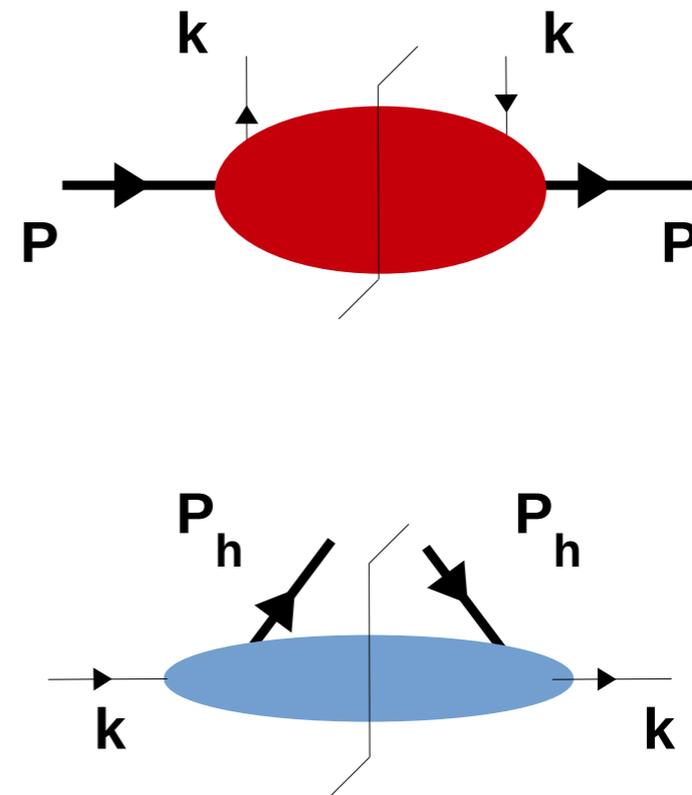
Theoretical Framework: Factorization theorems

Short distance effects.



pQCD

Long distance physics



Non-perturbative content

Theoretical Framework: Factorization theorems

W (TMD region)

$$\sum_q \mathcal{H}_q \text{ F.T. } \left\{ \tilde{D}_{h/q}(z, z \mathbf{b}_\perp; Q) \tilde{f}_{q/P}(x, \mathbf{b}_\perp; Q) \right\}$$

Fourier Transform of:

$$\begin{aligned} \tilde{F}_j(x, b_T, Q, \zeta_F) = & \left(\frac{\sqrt{\zeta_F}}{\mu_b} \right)^{\tilde{K}(b_*, \mu_b)} \sum_j \int_x^1 \frac{d\hat{x}}{\hat{x}} \underbrace{\tilde{C}_{ji}^{in}(x/\hat{x}, b_*, \mu_b, \mu_b^2)}_{\text{Input (extraction from collinear cross section)}} \underbrace{f_i(\hat{x}, \mu_b)}_{\text{Input (extraction from collinear cross section)}} \\ & \times \exp \left\{ \int_{\mu_b}^Q \frac{d\mu}{\mu} \left(\underbrace{\gamma_F(\mu; 1)}_{\text{pQCD}} - \ln \left(\frac{\sqrt{\zeta_F}}{\mu} \right) \underbrace{\gamma_K(\mu)}_{\text{pQCD}} \right) \right\} \\ & \times \exp \left\{ \underbrace{-g_P(x, b_T)}_{\text{Non-perturbative functions to extract from data.}} - \underbrace{g_K(b_T)}_{\text{Non-perturbative functions to extract from data.}} \ln \left(\frac{\sqrt{\zeta_F}}{\sqrt{\zeta_{F0}}} \right) \right\}, \end{aligned}$$



pQCD



Input (extraction from collinear cross section)



Non-perturbative functions to extract from data.

General Strategy: (Possible recipe)

- (1) Map kinematical dependencies of different experiments. Simple models, “snap shots” of TMDs.
- (2) Study how much information can be inferred on certain effects, e.g. TMD evolution, strictly from data.
- (3) Use information from (1), (2) to build full TMD picture (CSS, SCET, other TMD factorization schemes)
 - i) Test importance on input information (collinear PDFs & FFs, TMD models).
 - ii) Errors of factorization (optimal kinematical regime?).
 - iii) Balance between constraints from theory and information obtained from statistical analyses, model comparison.

General Strategy: (Possible recipe)

- (1) Map kinematical dependencies of different experiments. Simple models, “snap shots” of TMDs.
- (2) Study how much information can be inferred on certain effects, e.g. TMD evolution, strictly from data.

Gaussian model for TMDs in momentum space

(1) & (2)

Transversity and Collins function.

Transversity

$$\Delta_T q(x, k_\perp) = \Delta_T q(x) \frac{e^{-k_\perp^2 / \langle k_\perp^2 \rangle_T}}{\pi \langle k_\perp^2 \rangle_T}$$

$$\Delta_T q(x, Q_0^2) = \mathcal{N}_q^T(x, Q_0^2) \frac{1}{2} [f_{q/p}(x, Q_0^2) + \Delta q(x, Q_0^2)]$$

$$\mathcal{N}_q^T(x) = N_q^T x^\alpha (1-x)^\beta \frac{(\alpha + \beta)^{\alpha + \beta}}{\alpha^\alpha \beta^\beta}$$

$(q = u_v, d_v)$

Collins function

$$\Delta^N D_{h/q^\uparrow}(z, p_\perp) = \tilde{\Delta}^N D_{h/q^\uparrow}(z) h(p_\perp) \frac{e^{-p_\perp^2 / \langle p_\perp^2 \rangle}}{\pi \langle p_\perp^2 \rangle}$$

$$\tilde{\Delta}^N D_{h/q^\uparrow}(z, Q_0^2) = 2 \mathcal{N}_q^C(z, Q_0^2) D_{h/q}(z, Q_0^2)$$

$$h(p_\perp) = \sqrt{2} e \frac{p_\perp}{M_C} e^{-p_\perp^2 / M_C^2}$$

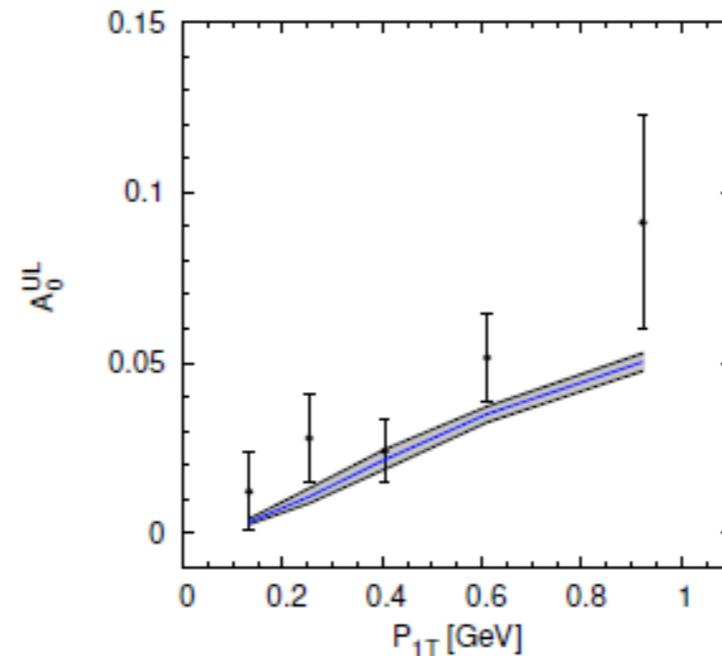
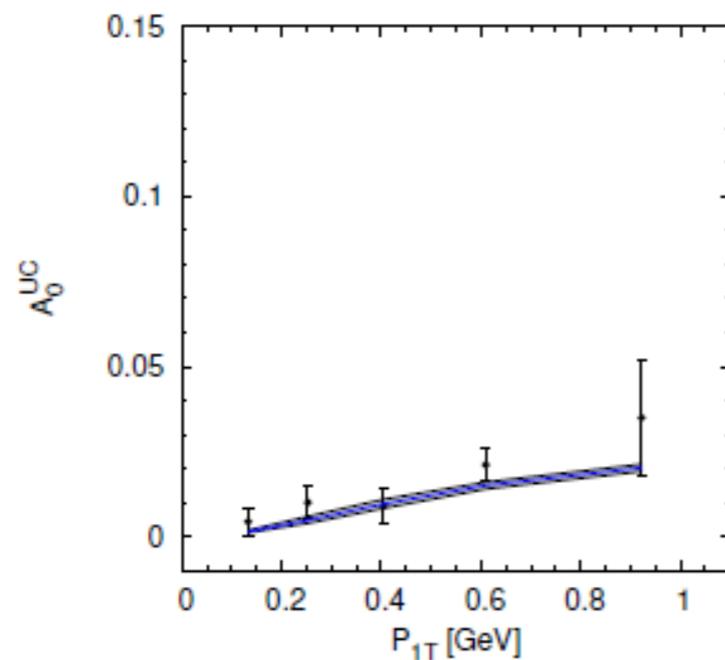
$$\mathcal{N}_{\text{fav}}^C(z) = N_{\text{fav}}^C z^\gamma (1-z)^\delta \frac{(\gamma + \delta)^{\gamma + \delta}}{\gamma^\gamma \delta^\delta}$$

$$\mathcal{N}_{\text{dis}}^C(z) = N_{\text{dis}}^C$$

(1) & (2)

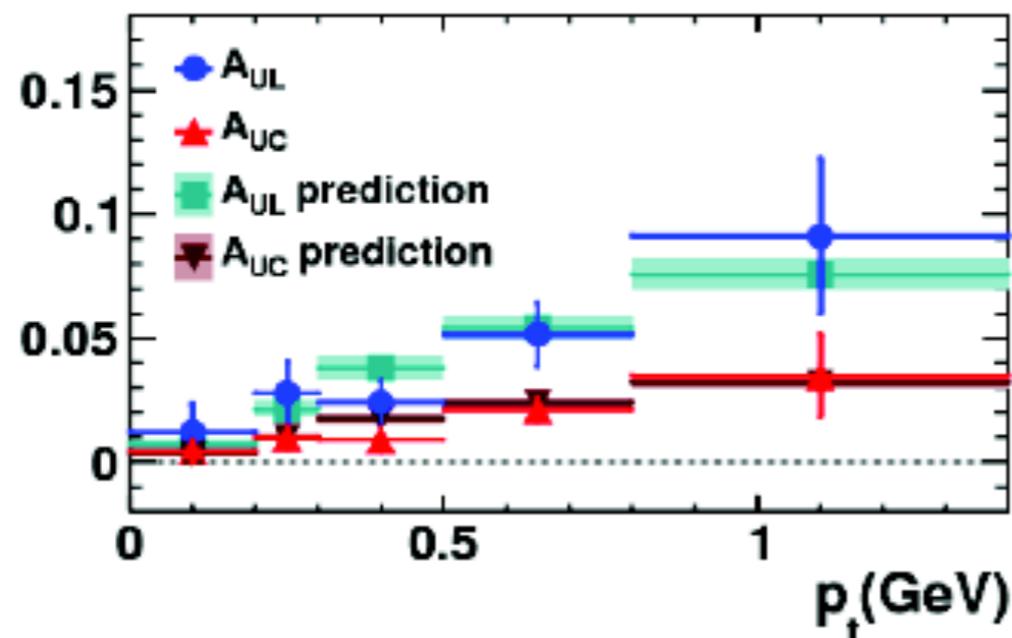
Scale dependence?

$Q^2 = 13 \text{ GeV}^2$



Predictions for BES III

Simple gaussian picture



Picture within QCD-factorization

(3)

Kang, Prokudin, Sun, Yuan

Phys.Rev. D93 (2016) no.1, 014009

arXiv:1505.05589 [hep-ph] JLAB-THY-15-2044

(1) & (2)

Sivers asymmetry in SIDIS

$$A_{UT}^{\sin(\phi_h - \phi_S)} = 2 \frac{\int d\phi_S d\phi_h [d\sigma^\uparrow - d\sigma^\downarrow] \sin(\phi_h - \phi_S)}{\int d\phi_S d\phi_h [d\sigma^\uparrow + d\sigma^\downarrow]} = \frac{F_{UT}^{\sin(\phi_h - \phi_S)}}{F_{UU}}$$

Generalized Parton Picture (no evolution)

$$\Delta^N f_{q/p^\uparrow}(x, k_\perp)$$

$$= 4N_q x^{\alpha_q} (1-x)^{\beta_q}$$

**No constraint
from collinear
PDF**

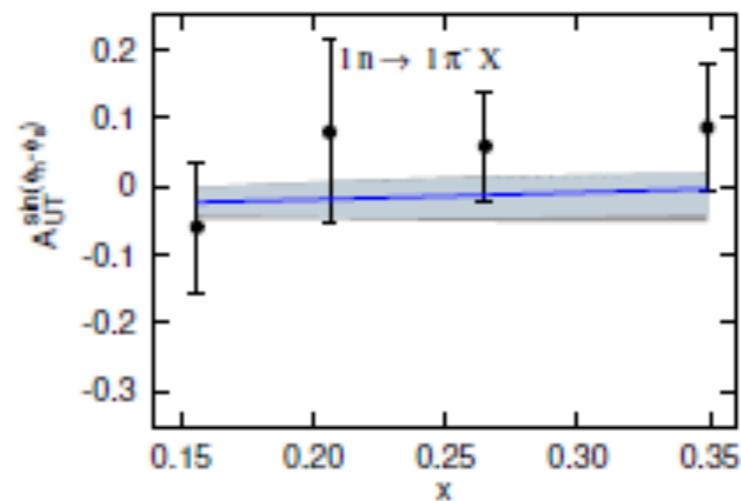
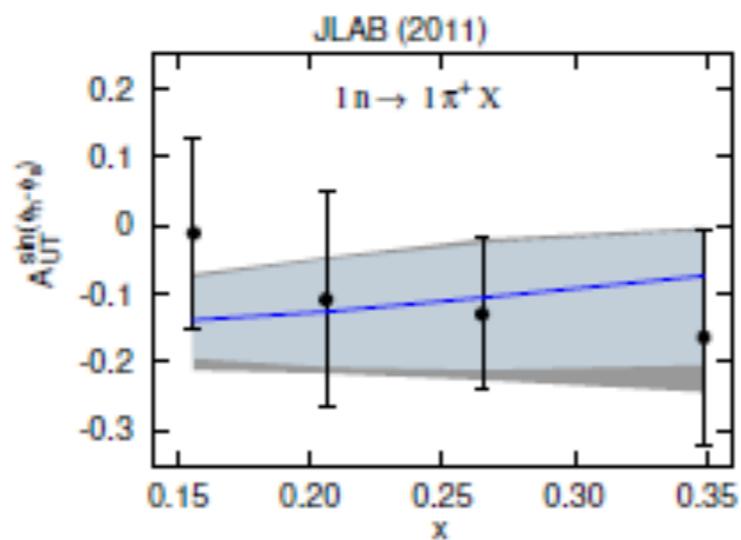
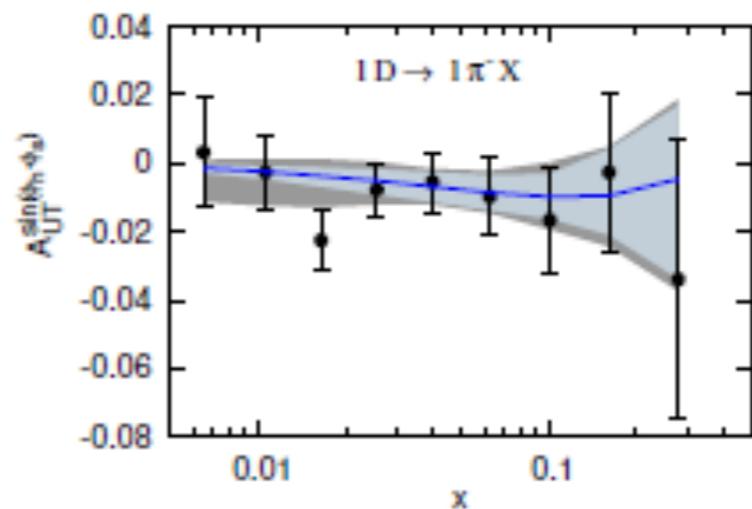
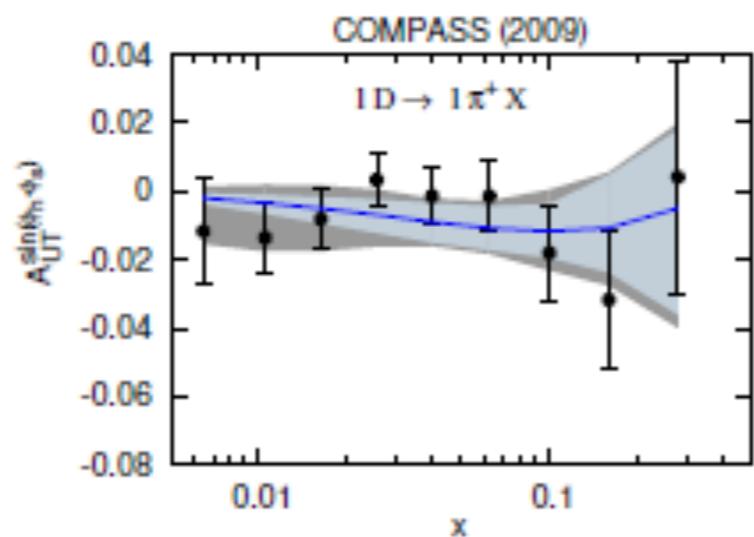
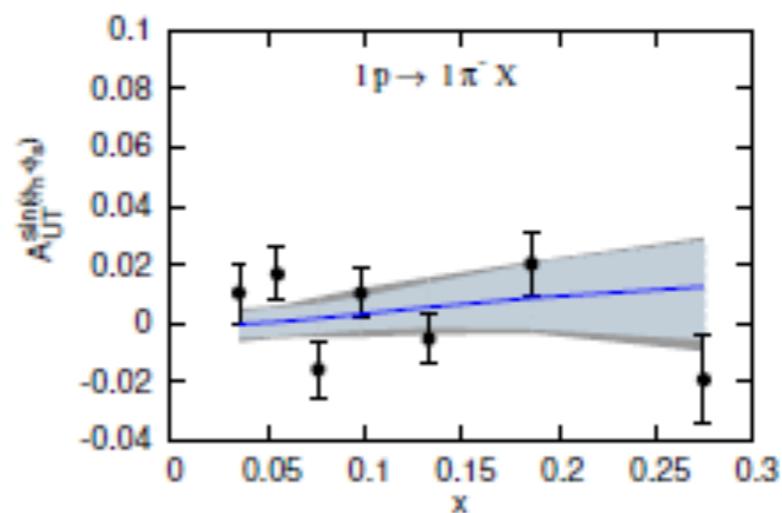
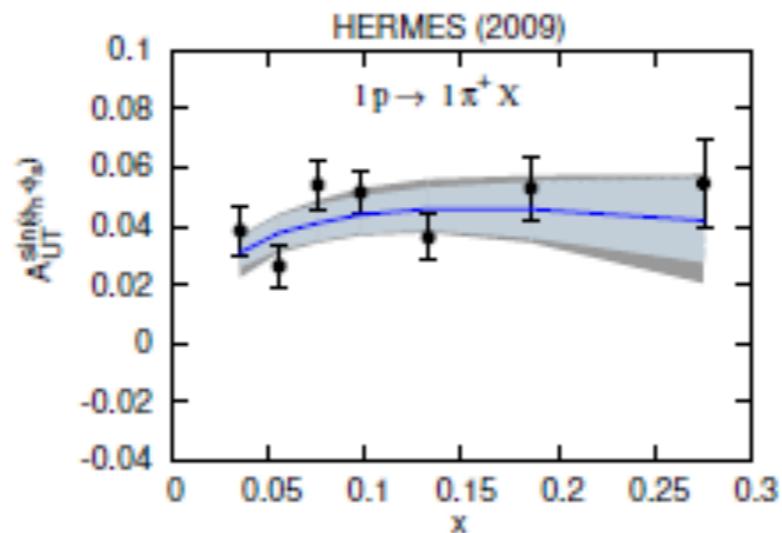
$$\frac{M_p}{\langle k_\perp^2 \rangle_S} k_\perp \frac{e^{-k_\perp^2 / \langle k_\perp^2 \rangle_S}}{\pi \langle k_\perp^2 \rangle_S}$$

Gaussian ansatz

- M. Boglione, U. D'Alesio, C. Flore, JOGH , JHEP 1807 (2018) 148

(1) & (2)

Sivers asymmetry in SIDIS



(1) & (2)

Sivers asymmetry in SIDIS

n. of data points = 220		
One flavour fits (3 parameters)		
	χ_{tot}^2	χ_{dof}^2
u	408	1.88
d	914	4.21
Two flavour fits (5 parameters)		
	χ_{tot}^2	χ_{dof}^2
u, \bar{u}	266	1.24
u, \bar{d}	228	1.06
u, d	213	0.99

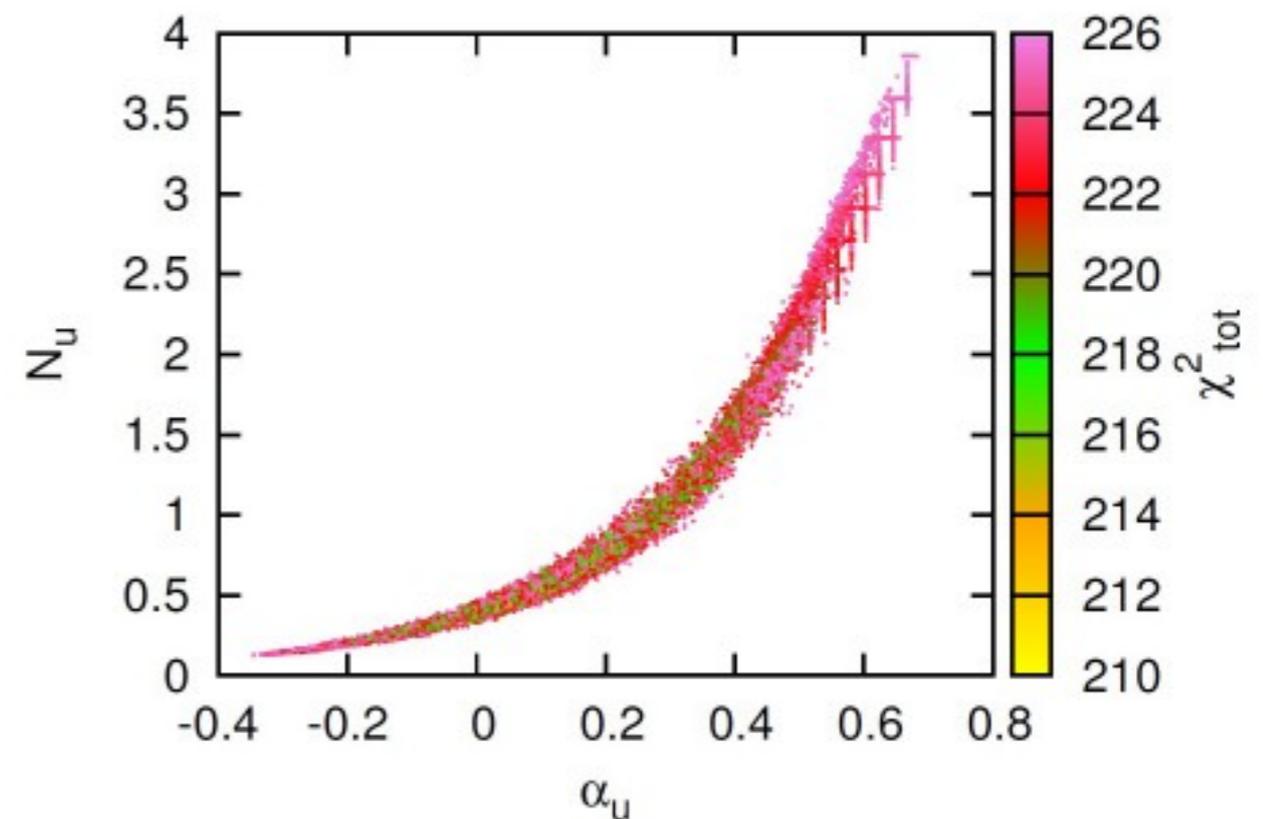
Is it Reasonable to increase number of parameters?

(1) & (2)

Sivers asymmetry in SIDIS

One more parameter (per flavor)

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One flavour fits (3 parameters)		
	χ_{tot}^2	χ_{dof}^2
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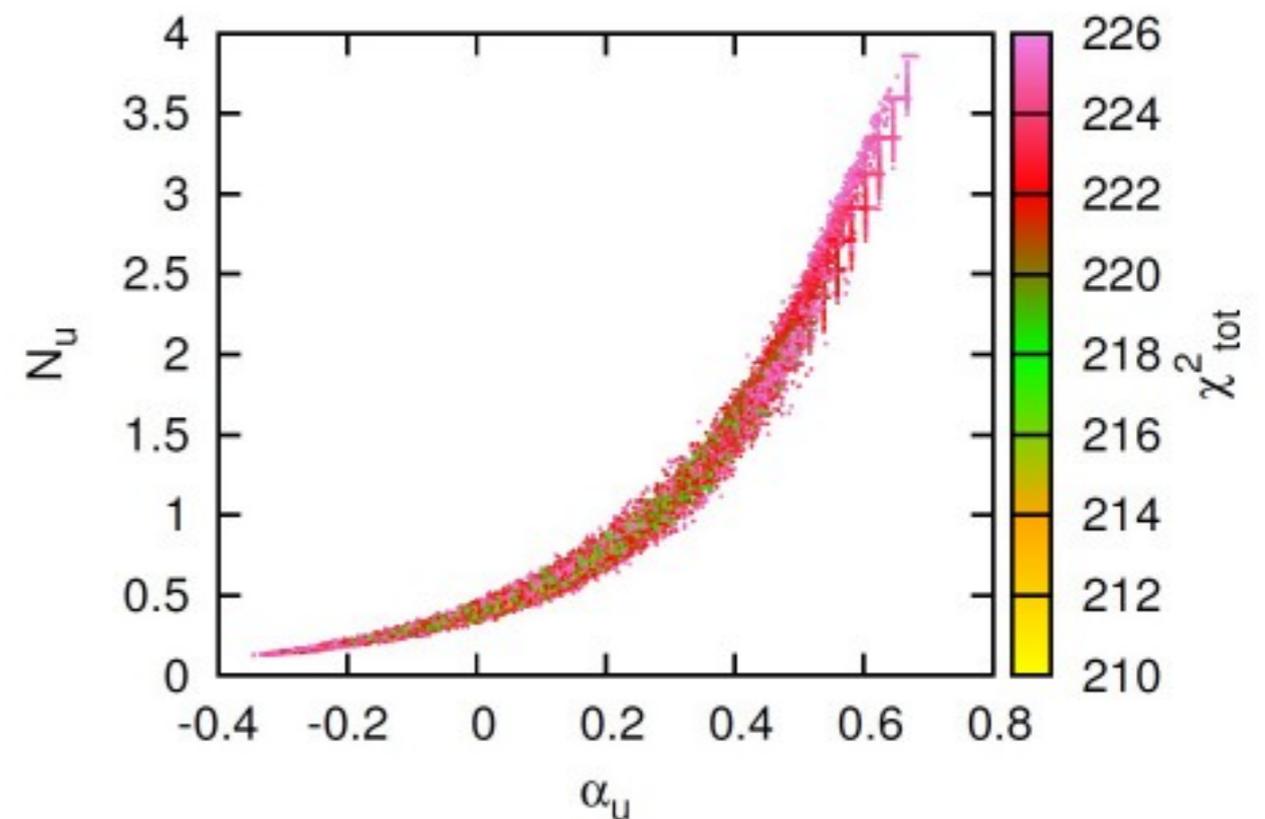
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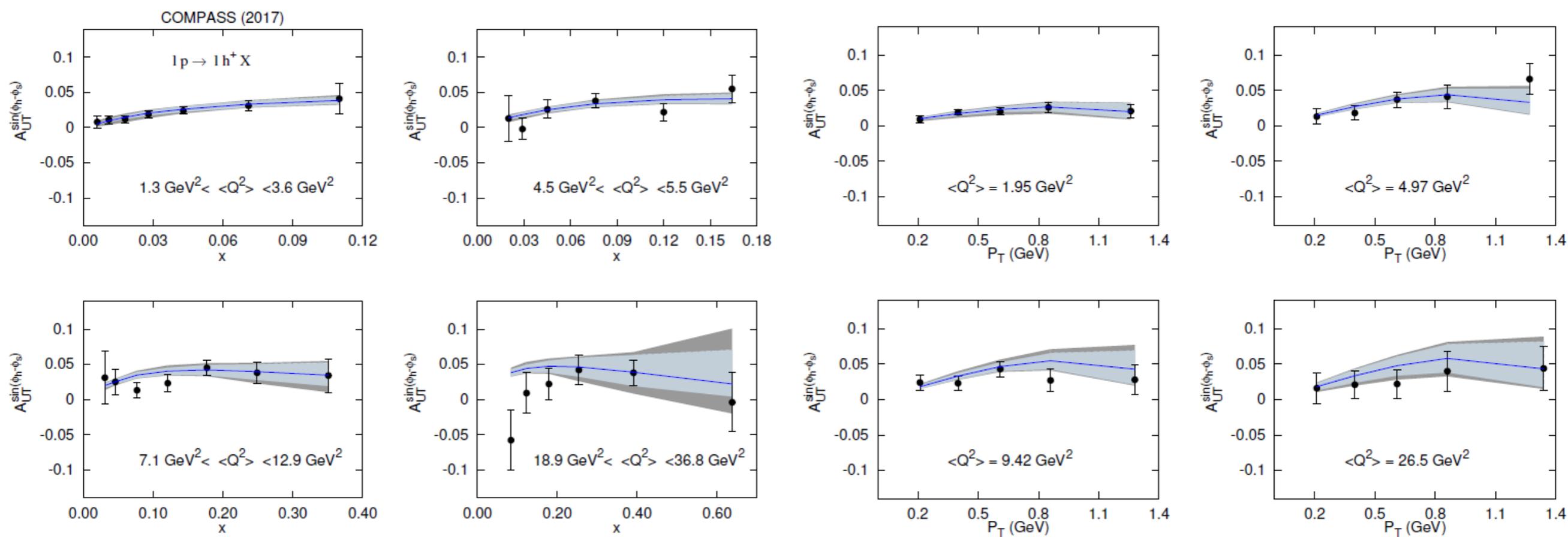
One more parameter (per flavor)



Rough limit on number of parameters (benchmark)

(1) & (2)

Sivers asymmetry in SIDIS



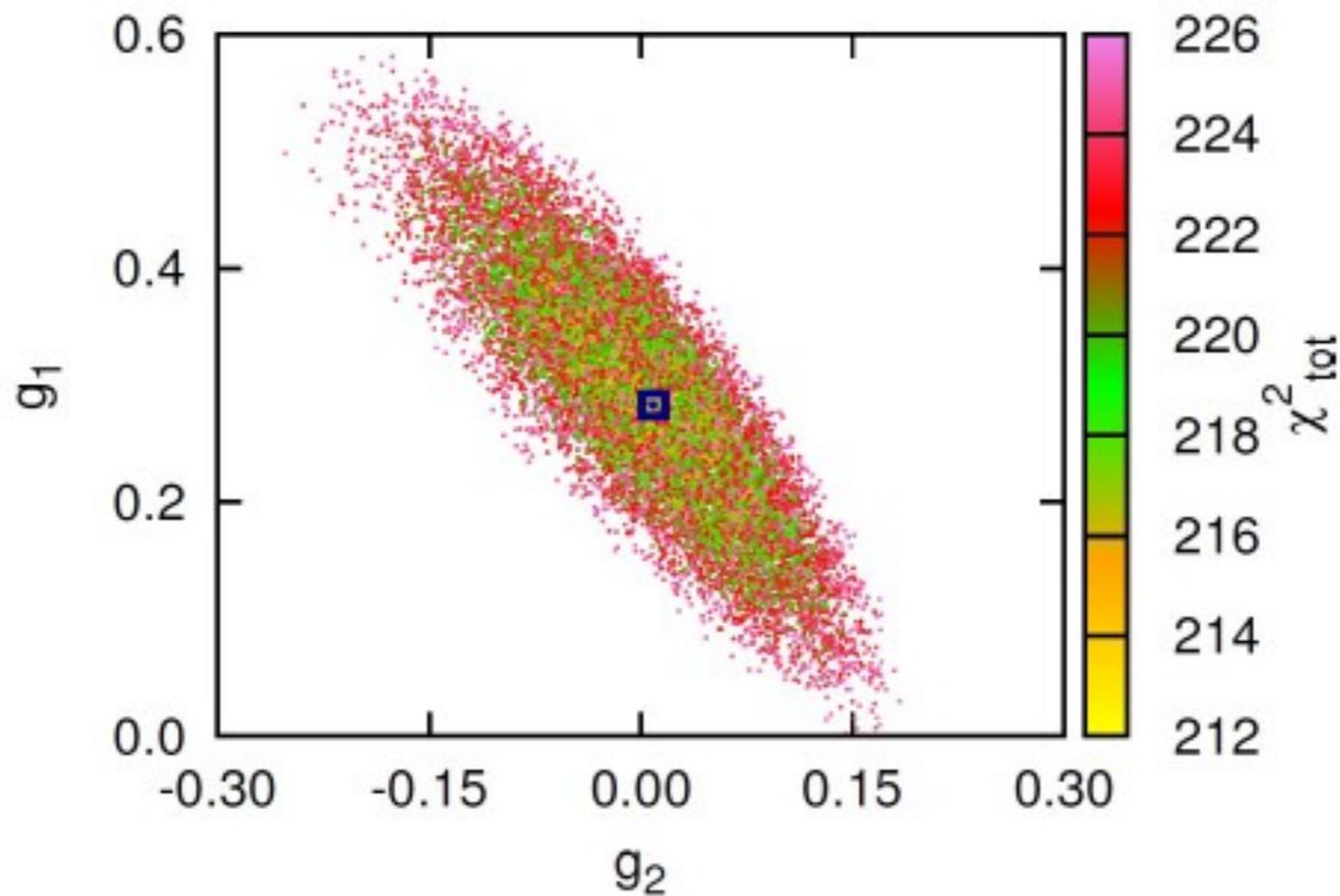
(1) & (2)

Sivers asymmetry in SIDIS

Signals of scale dependence

$$\langle k_{\perp}^2 \rangle_S = g_1 + g_2 \ln \frac{Q^2}{Q_0^2}$$

**g2 here to “mimic”
TMD evolution**



**No “visible” sign TMD evolution, expected:
It washes out in the ratio of the asymmetry**

Recapitulating so far:

Signals of TMD evolution are not so “visible” in asymmetries (ratios)

Important to look at correlations of parameters.

One may get a rough idea of a reasonable number of parameters appropriate for an analysis by comparing to some ‘benchmark’ (simple model)

Note that parameter number may increase if adding more constraints (whether correct or incorrect).

TMD, QCD definition (CSS2 scheme)

To many moving parts ...

W (TMD region)

$$\sum_q \mathcal{H}_q \text{ F.T. } \left\{ \tilde{D}_{h/q}(z, z \mathbf{b}_\perp; Q) \tilde{f}_{q/P}(x, \mathbf{b}_\perp; Q) \right\}$$

Fourier Transform of:

$$\begin{aligned} \tilde{F}_j(x, b_T, Q, \zeta_F) = & \left(\frac{\sqrt{\zeta_F}}{\mu_b} \right)^{\tilde{K}(b_*, \mu_b)} \sum_j \int_x^1 \frac{d\hat{x}}{\hat{x}} \underbrace{\tilde{C}_{ji}^{in}(x/\hat{x}, b_*, \mu_b, \mu_b^2)}_{\text{Input (extraction from collinear cross section)}} \underbrace{f_i(\hat{x}, \mu_b)}_{\text{Input (extraction from collinear cross section)}} \\ & \times \exp \left\{ \int_{\mu_b}^Q \frac{d\mu}{\mu} \left(\underbrace{\gamma_F(\mu; 1)}_{\text{pQCD}} - \ln \left(\frac{\sqrt{\zeta_F}}{\mu} \right) \underbrace{\gamma_K(\mu)}_{\text{pQCD}} \right) \right\} \\ & \times \exp \left\{ \underbrace{-g_P(x, b_T)}_{\text{Non-perturbative functions to extract from data.}} - \underbrace{g_K(b_T)}_{\text{Non-perturbative functions to extract from data.}} \ln \left(\frac{\sqrt{\zeta_F}}{\sqrt{\zeta_{F0}}} \right) \right\}, \end{aligned}$$



pQCD

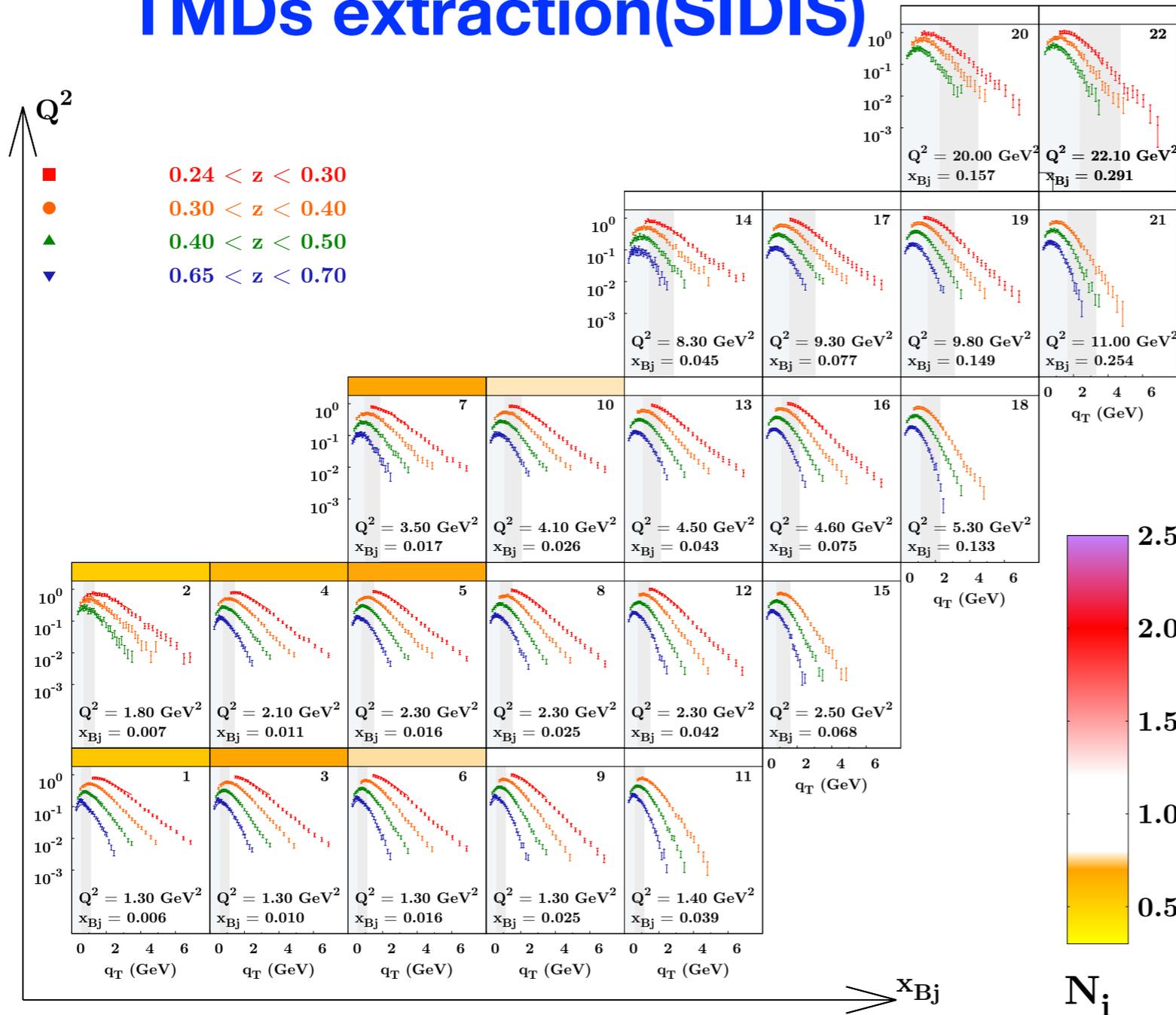


Input (extraction from collinear cross section)

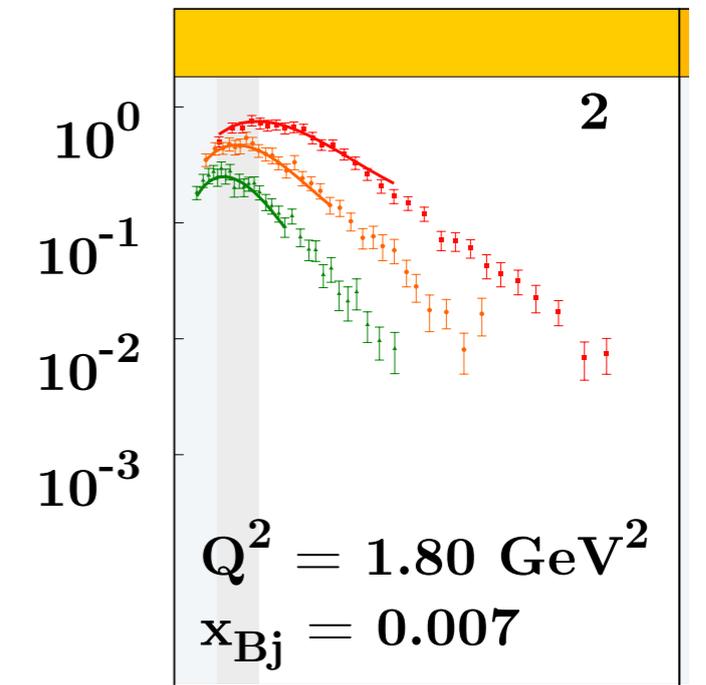
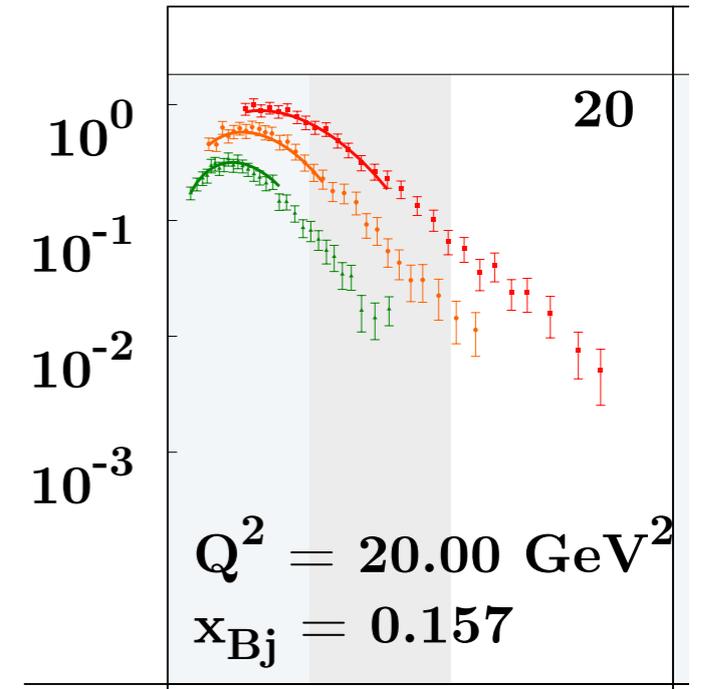


Non-perturbative functions to extract from data.

Some issues with unpolarized TMDs extraction (SIDIS)

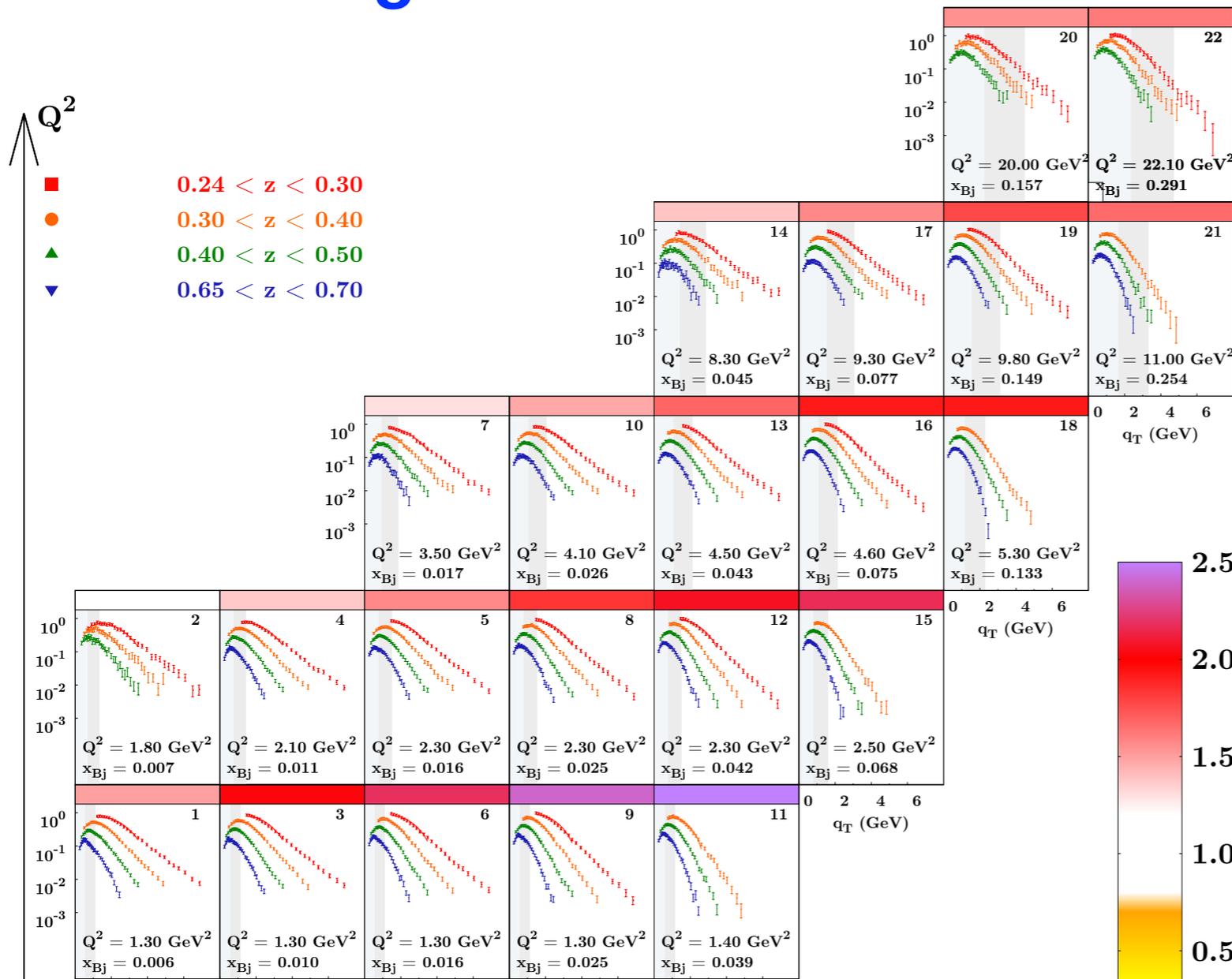


$$\mathcal{O}(\alpha_s^0)$$

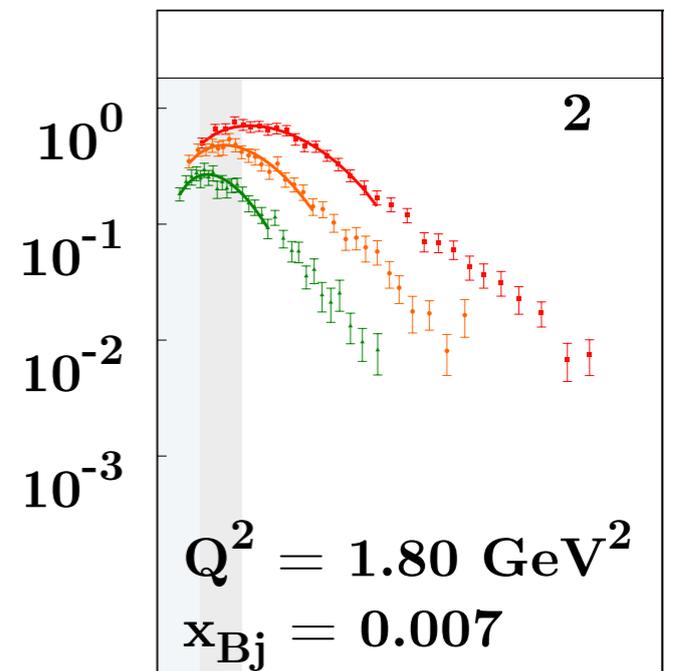
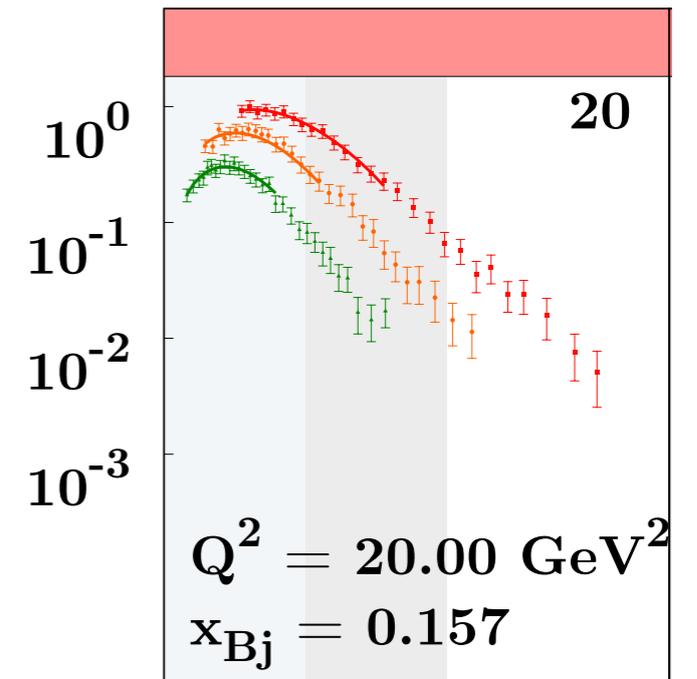


$$\tilde{F}_j = f(x, \mu_b) \exp \left\{ g_P(x, b_T) - g_K(b_T) \ln \left(\frac{Q}{Q_0} \right) \right\}$$

Need large normalizations



$\mathcal{O}(\alpha_s)$



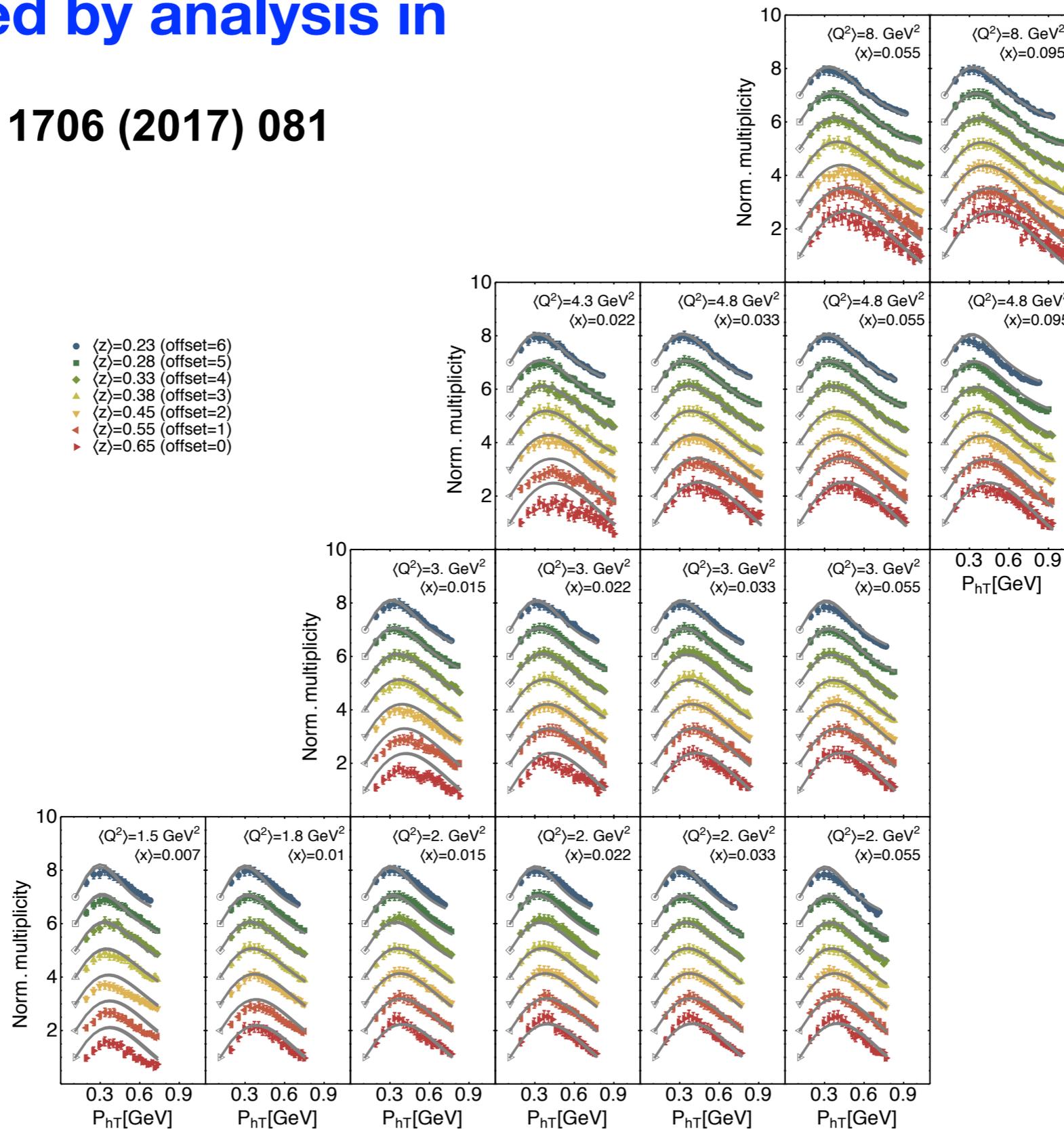
$$\tilde{F}_j(x, b_T, Q, \zeta_F) = \left(\frac{\sqrt{\zeta_F}}{\mu_b} \right)^{\tilde{K}(b_*, \mu_b)} \sum_j \int_x^1 \frac{d\hat{x}}{\hat{x}} \tilde{C}_{ji}^{in}(x/\hat{x}, b_*, \mu_b, \mu_b^2) f_i(\hat{x}, \mu_b)$$

$$\times \exp \left\{ \int_{\mu_b}^Q \frac{d\mu}{\mu} \left(\gamma_F(\mu; 1) - \ln \left(\frac{\sqrt{\zeta_F}}{\mu} \right) \gamma_K(\mu) \right) \right\}$$

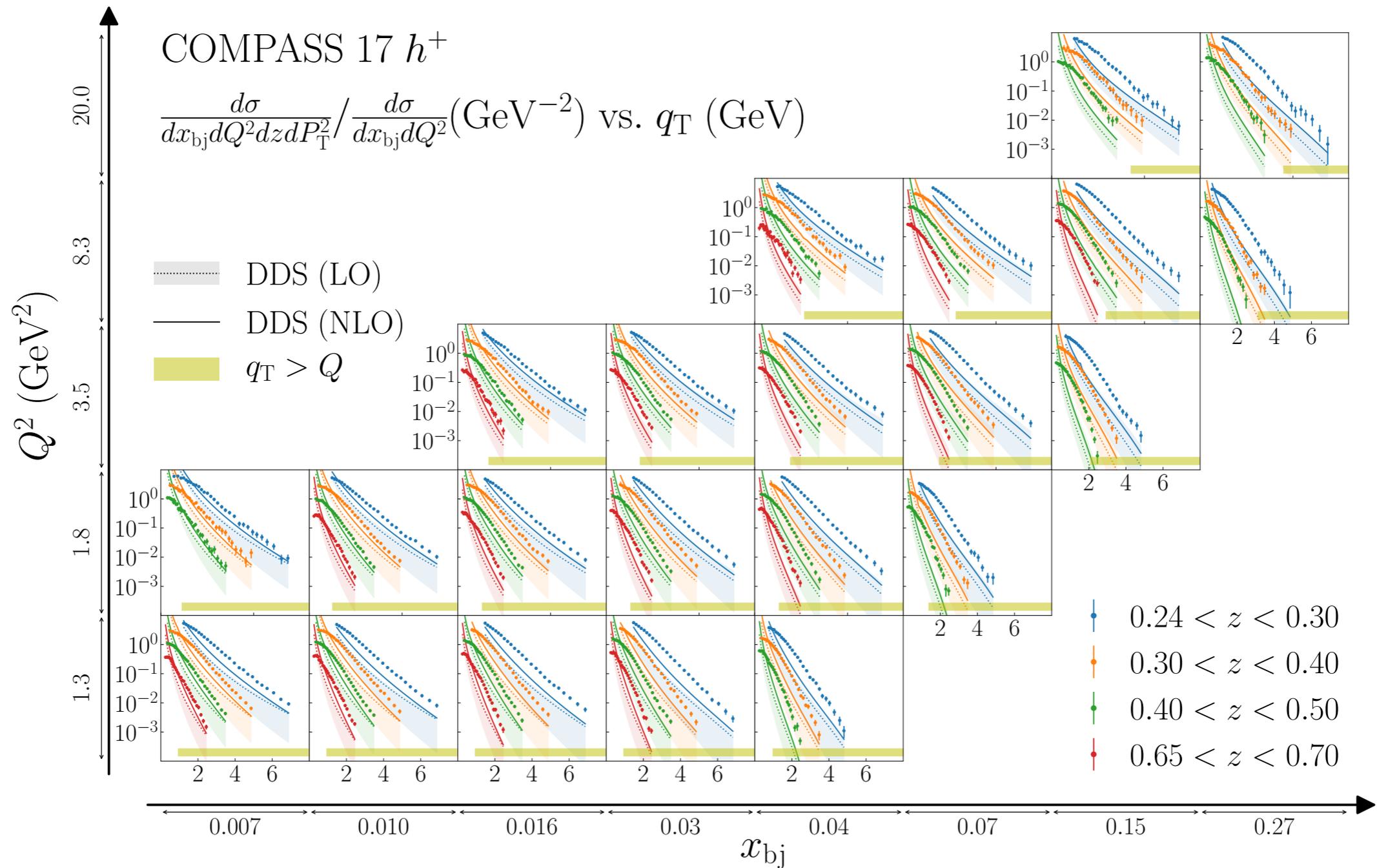
$$\times \exp \left\{ -g_P(x, b_T) - g_K(b_T) \ln \left(\frac{\sqrt{\zeta_F}}{\sqrt{\zeta_{F0}}} \right) \right\},$$

Similar normalization adjustment needed by analysis in

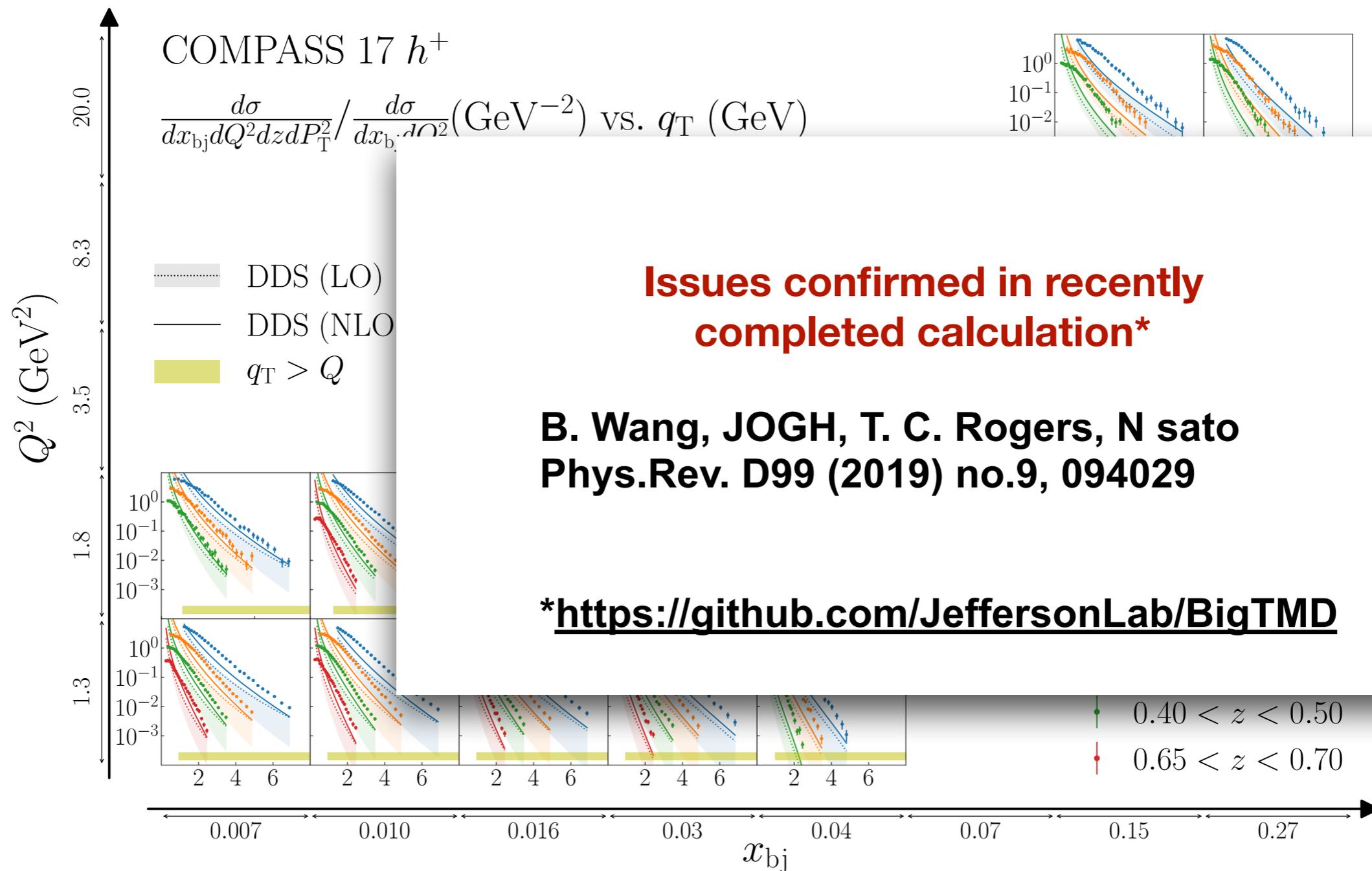
JHEP 1706 (2017) 081



Also issues in non-TMD region (large q_T cross section) using DDS code



Also issues in non-TMD region (large q_T cross section) using DDS code



**JOGH, Rogers, Sato, Wang
Phys.Rev. D98 (2018) no.11, 114005**

Some challenges:

One can still infer information about the evolution

W (TMD region)

$$\sum_q \mathcal{H}_q \text{ F.T. } \left\{ \tilde{D}_{h/q}(z, z \mathbf{b}_\perp; Q) \tilde{f}_{q/P}(x, \mathbf{b}_\perp; Q) \right\}$$

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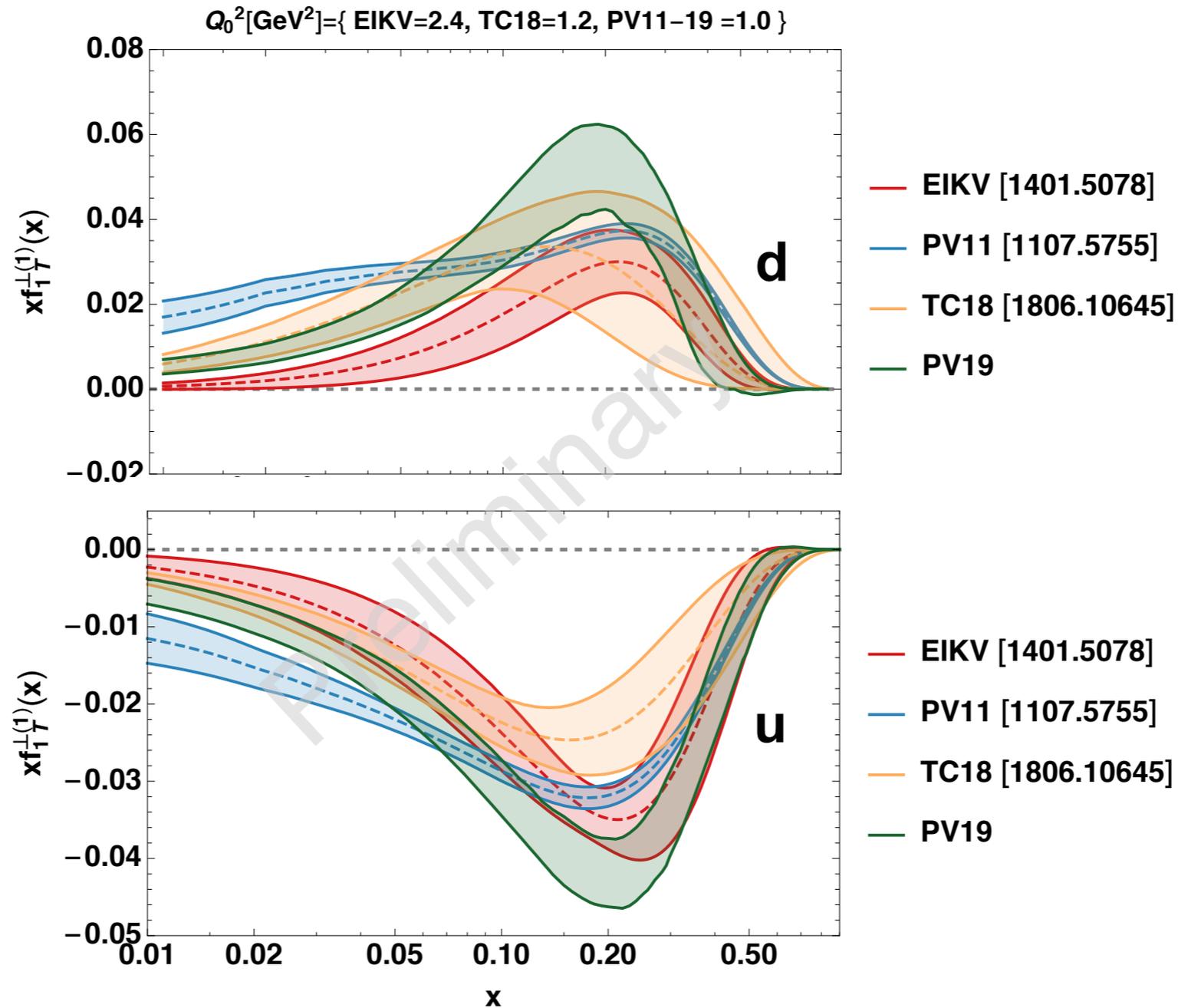
— pQCD

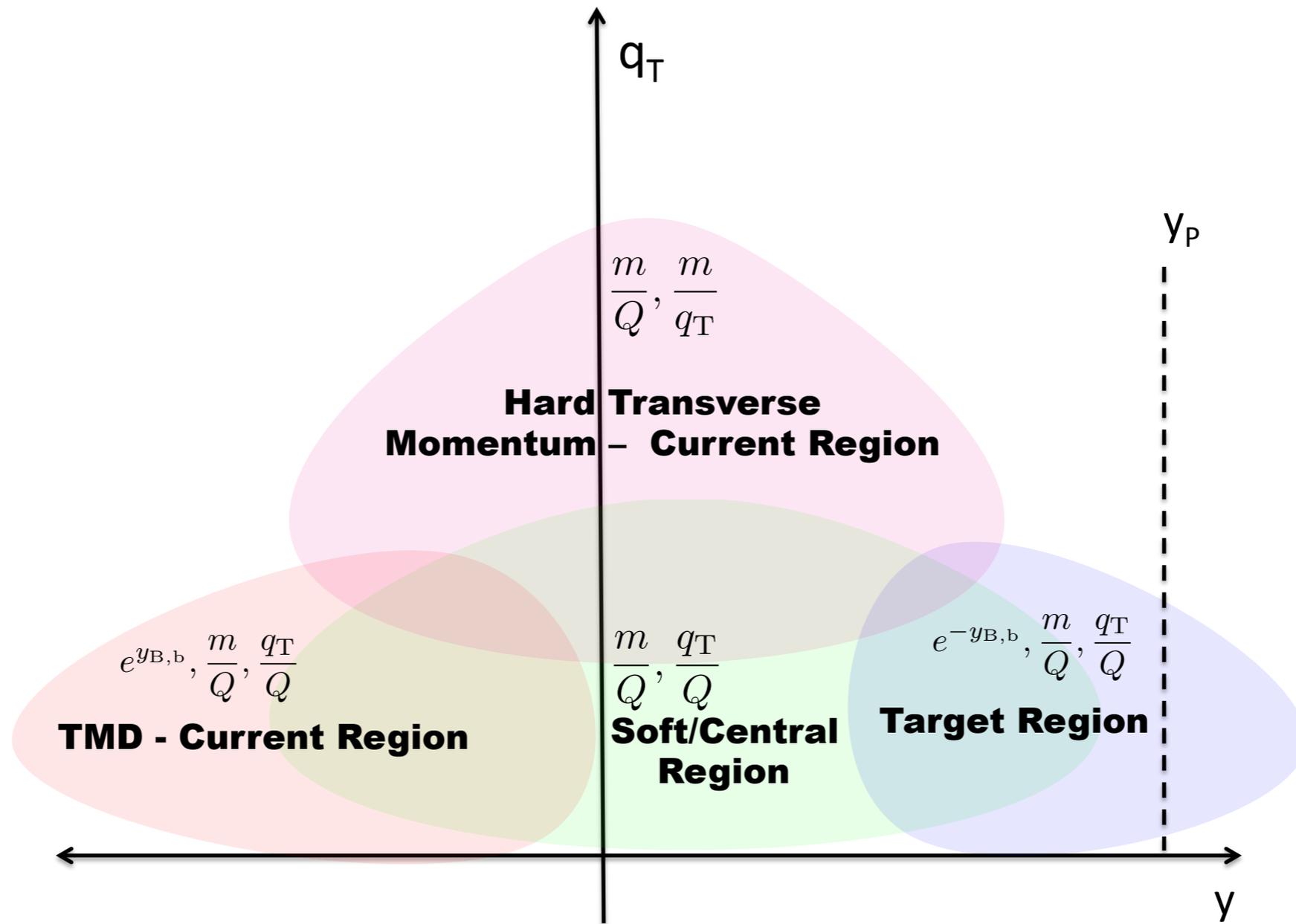
— Input (extraction from collinear cross section)

— Non-perturbative functions to extract from data.

Issues on normalization likely propagate here

Results comparison





Based on:

M. Boglione, A. Dotson, L. Gamberg, S. Gordon, JOGH, A. Prokudin, T. C. Rogers, N. Sato

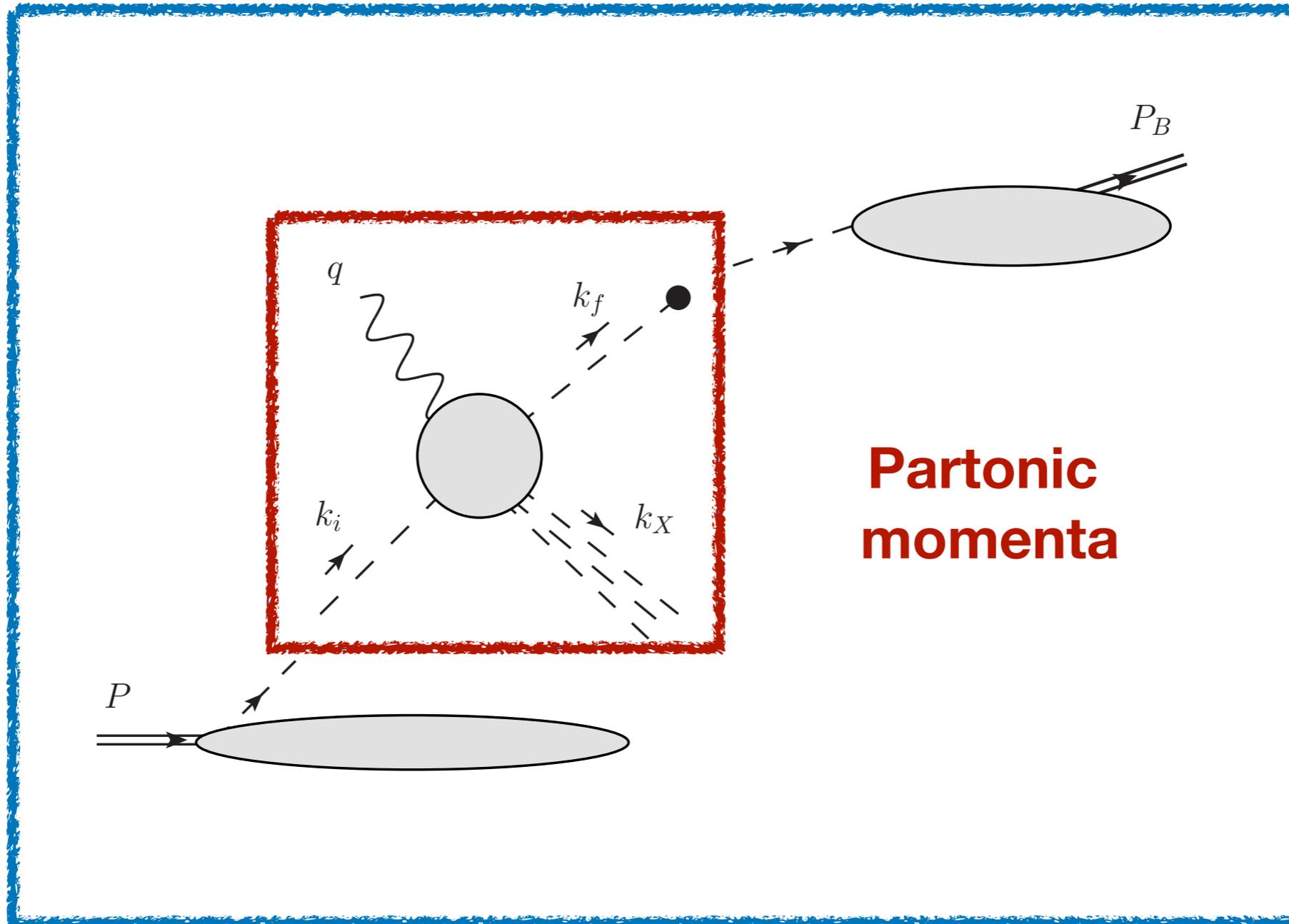
Submitted to J.High Energy Phys.

JLAB-THY-19-2920

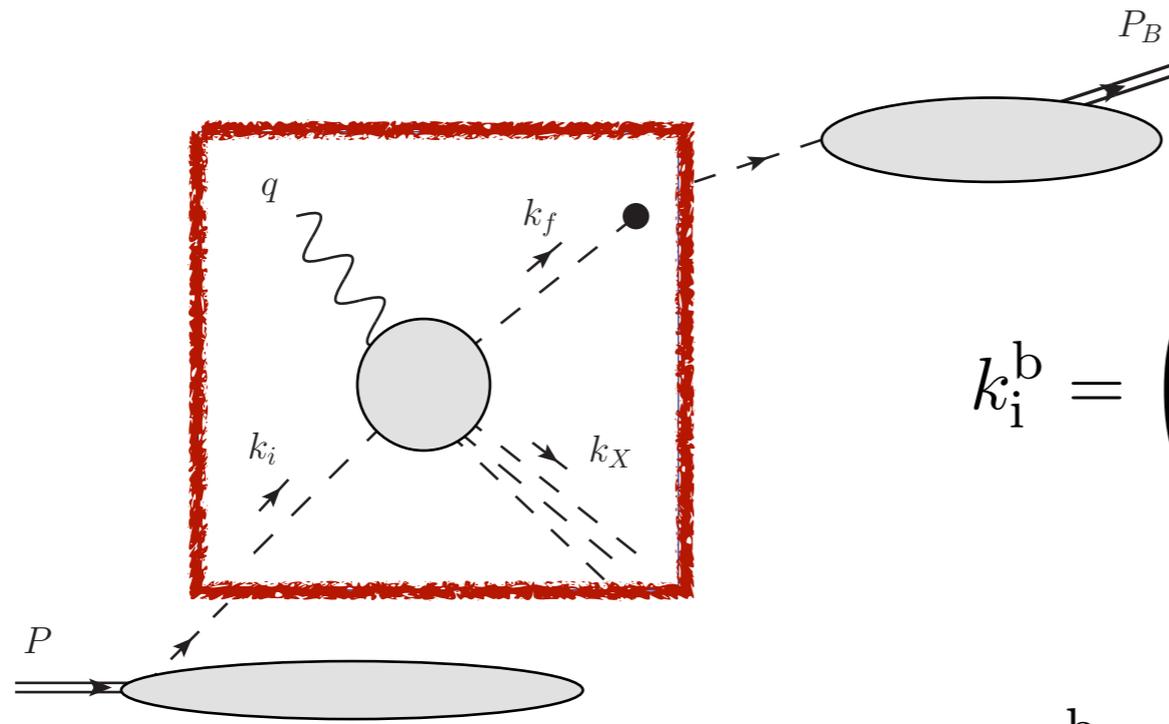
e-Print: [arXiv:1904.12882](https://arxiv.org/abs/1904.12882)

Different types of approximations

External momenta kinematics



Partonic kinematics



$$k_i^b = \left(\frac{Q}{\hat{x}_N \sqrt{2}}, \frac{\hat{x}_N (k_i^2 + \mathbf{k}_{i,b,T}^2)}{\sqrt{2} Q}, \mathbf{k}_{i,b,T} \right)$$

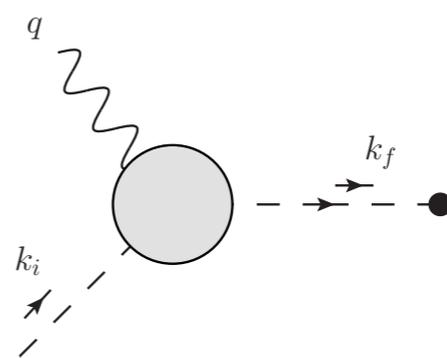
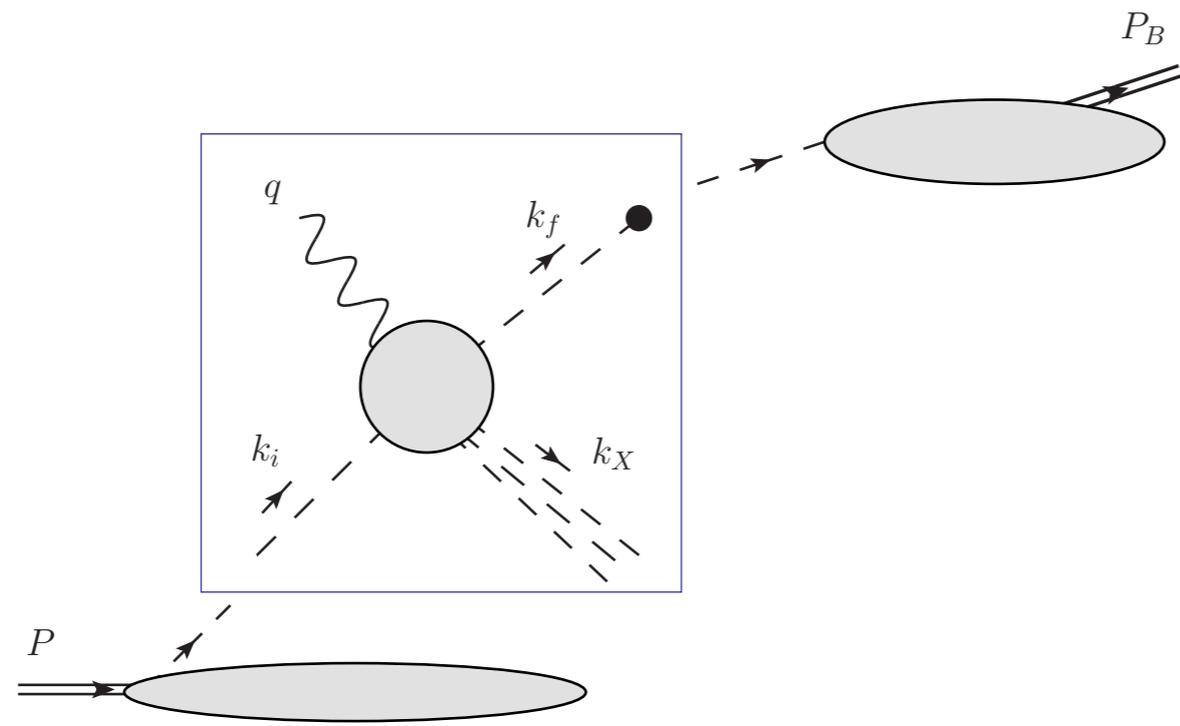
$$k_f^b = \left(\frac{\mathbf{k}_{f,b,T}^2 + k_f^2}{\sqrt{2} \hat{z}_N Q}, \frac{\hat{z}_N Q}{\sqrt{2}}, \mathbf{k}_{f,b,T} \right)$$

$$k_i^+ \equiv \xi P_b^+$$

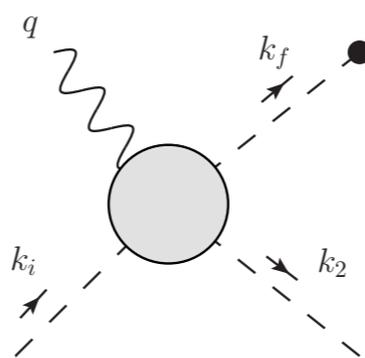
$$\hat{x}_N \equiv -\frac{q_b^+}{k_{i,b}^+} = \frac{x_N}{\xi}$$

$$P_{B,b}^- \equiv \zeta k_f^-$$

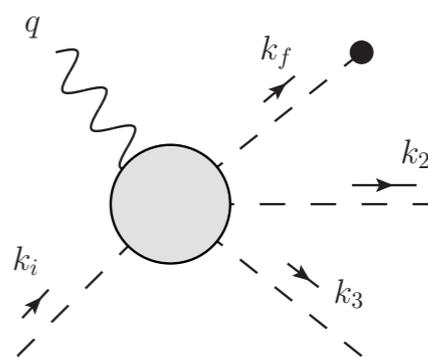
$$\hat{z}_N \equiv \frac{k_{f,b}^-}{q_b^-} = \frac{z_N}{\zeta}$$



(a)

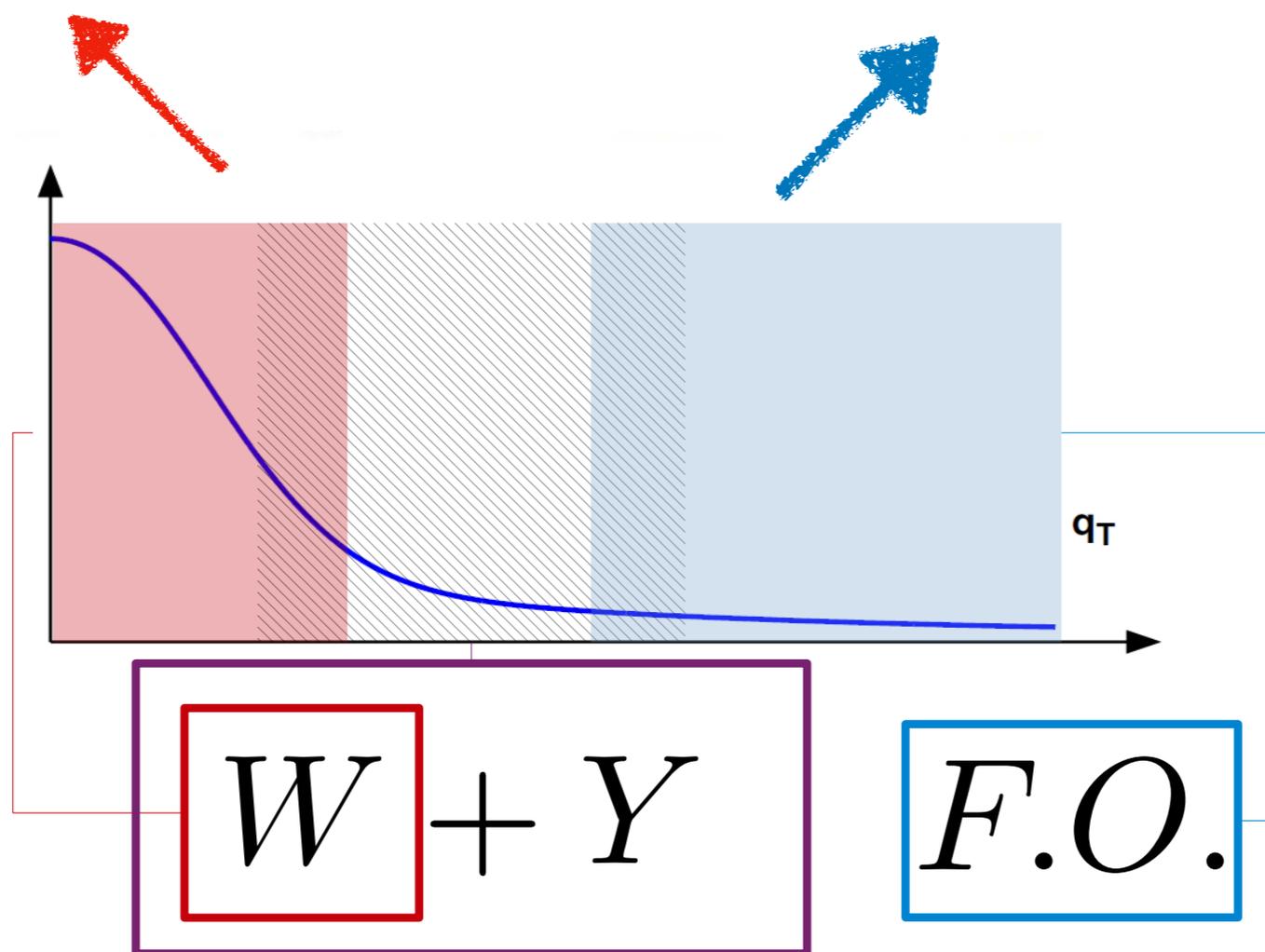
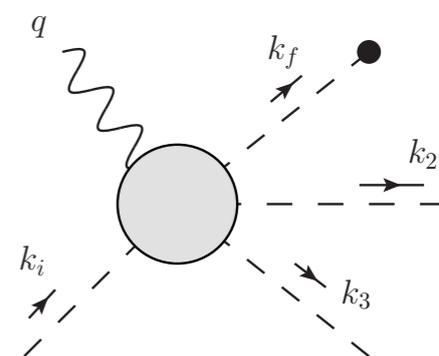
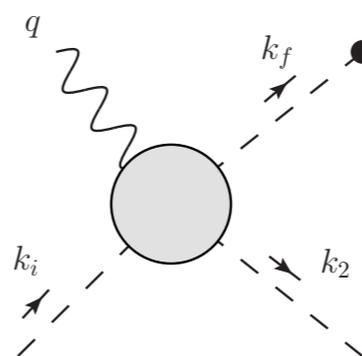
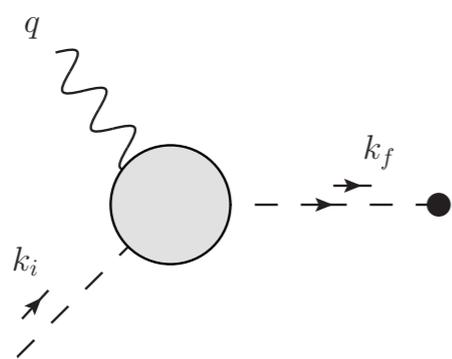


(b)

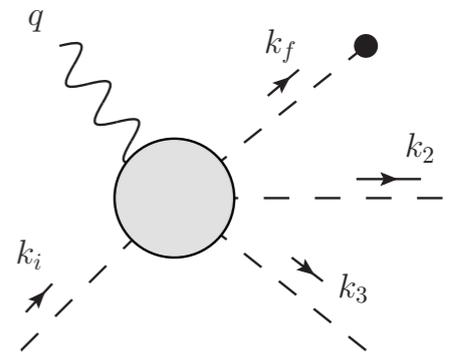
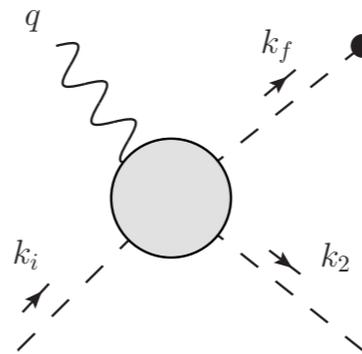
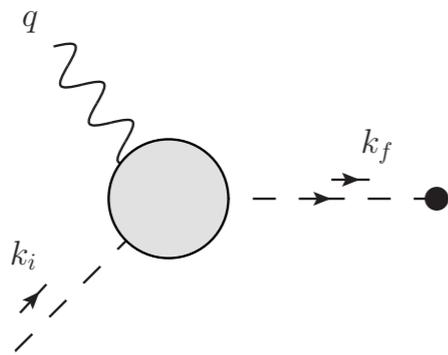


(c)

$$k \equiv k_f - q$$



$$k^2 = (k_f - q)^2$$



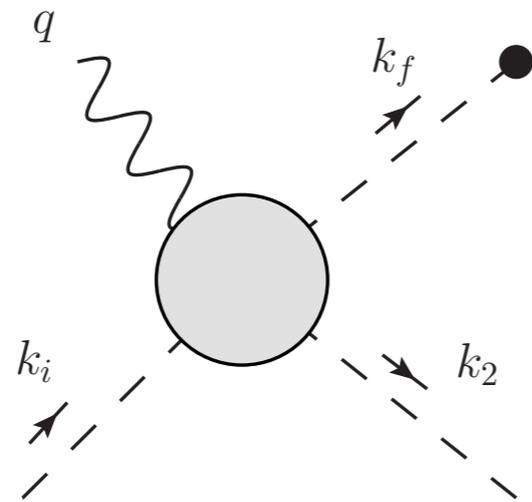
$$k^2 = 0$$



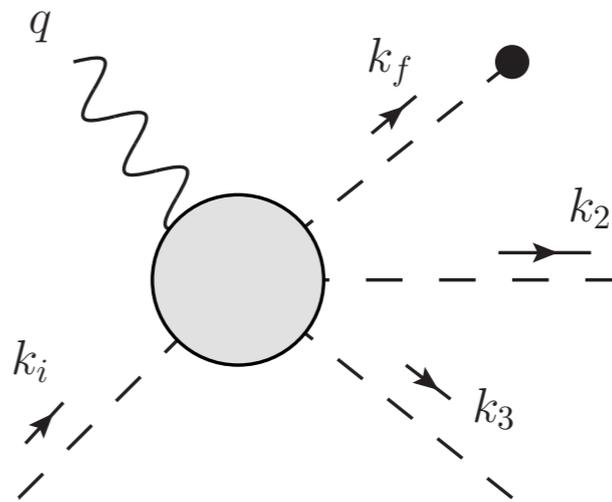
$$k^2 \neq 0$$

**Allows to distinguish
handbag from real emission
kinematics**

$$k_X^2 = (k_i + q - k_f)^2$$

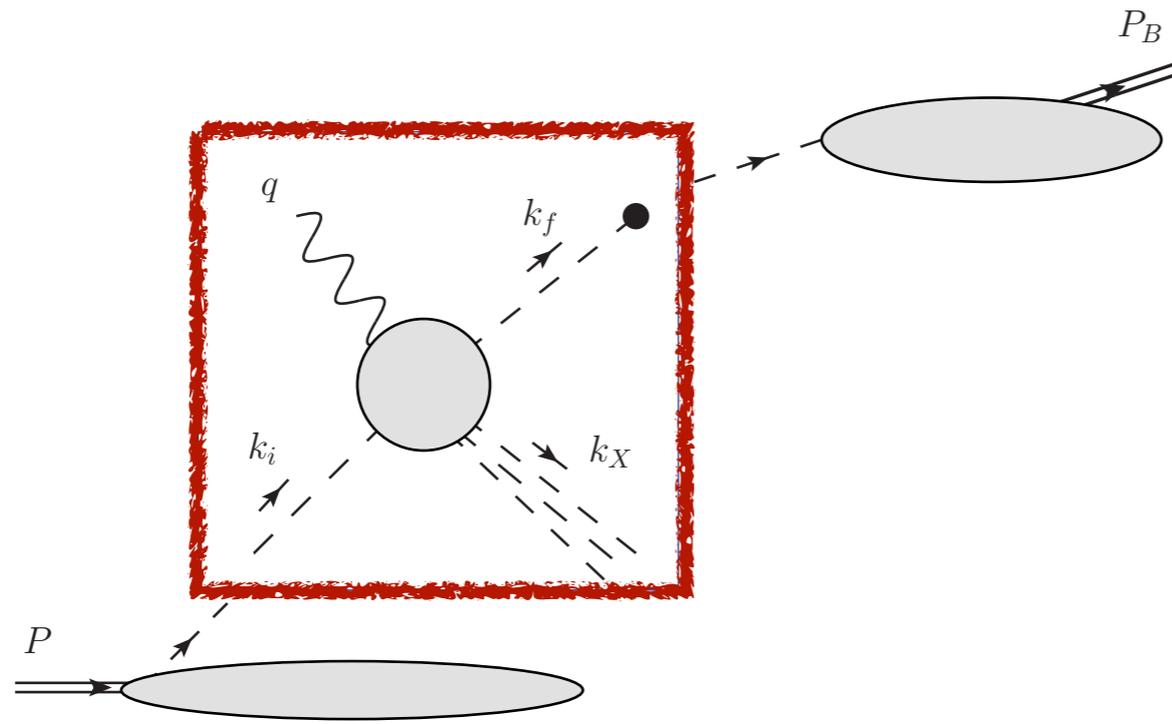


$$k_X^2 = 0$$



$$k_X^2 \neq 0$$

Higher order pQCD corrections in large q_T cross section associated to larger values of virtuality spectator



$$k_X^2 = (k_i + q - k_f)^2$$

$$k \equiv k_f - q$$

$$\text{Collinearity} = R_1 \equiv \frac{P_B \cdot k_f}{P_B \cdot k_i}$$

$$\text{Transverse Hardness Ratio} = R_2 \equiv \frac{|k^2|}{Q^2}$$

$$\text{Spectator Virtuality Ratio} = R_3 \equiv \frac{|k_X^2|}{Q^2}$$

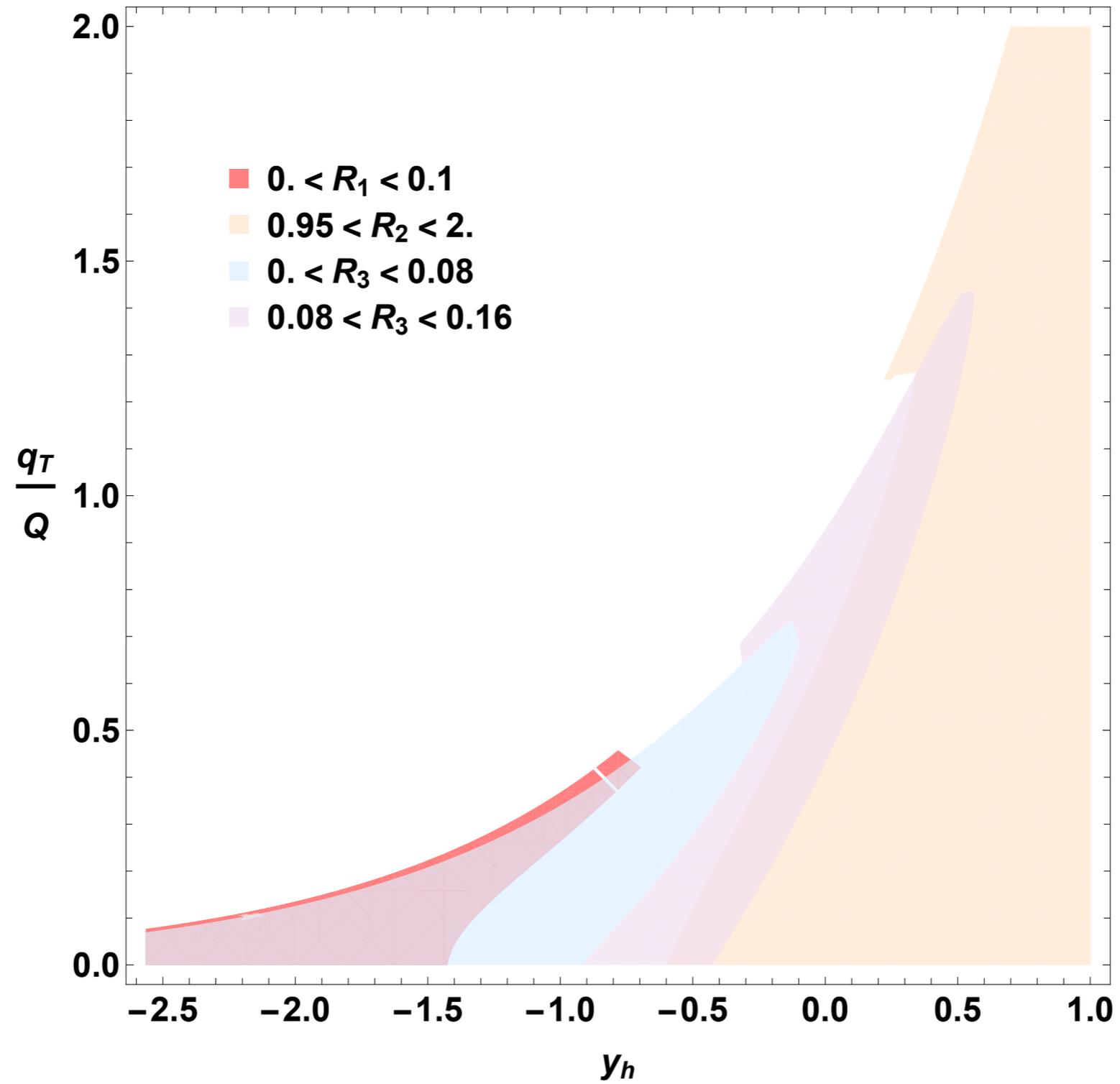
The size of these ratios determine partonic configurations (factorization theorem) and map to kinematical regions of the observables

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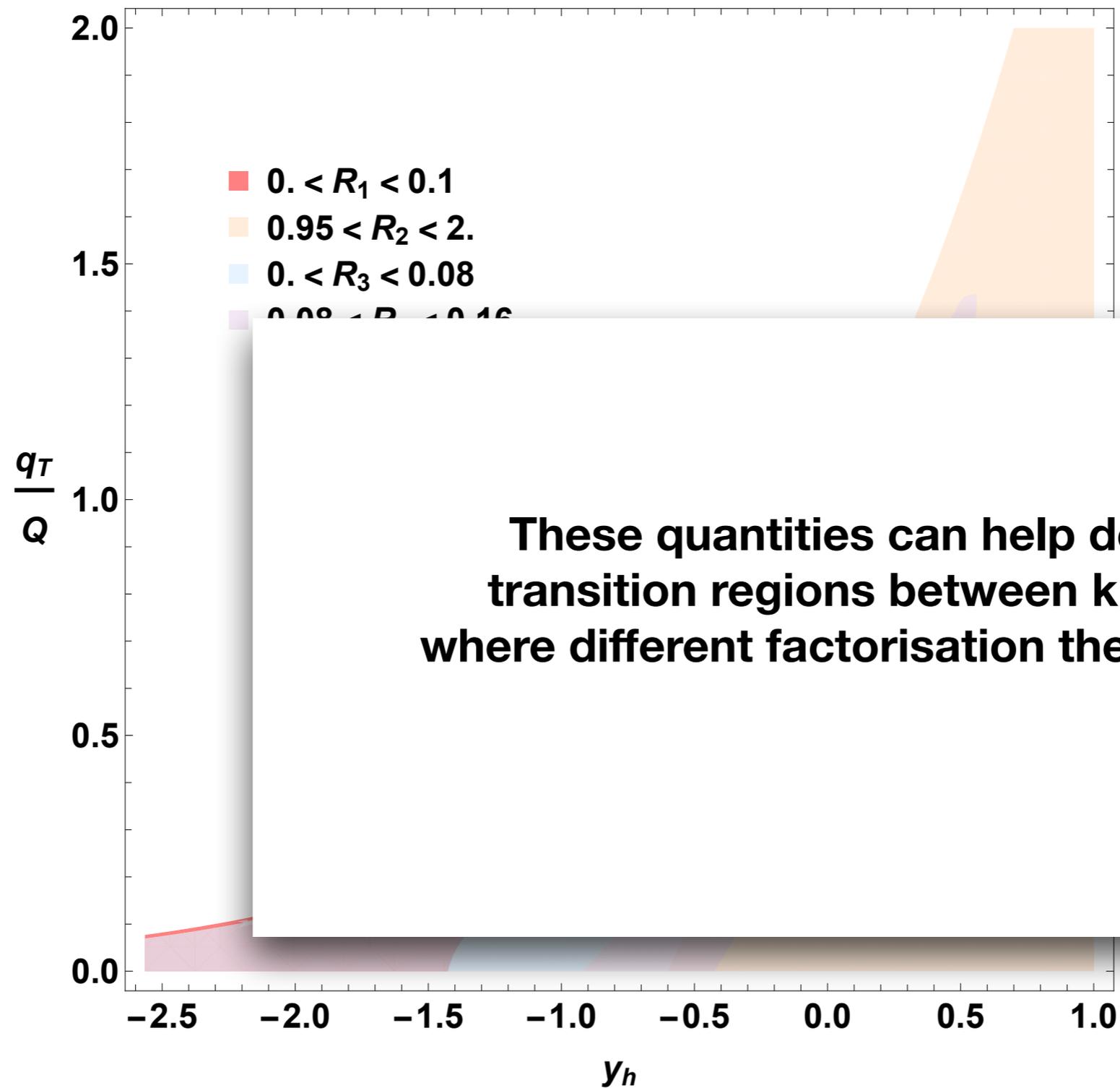
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**(caveat: Parton momenta have to be estimated,
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Final remarks

Simple model like gaussian in momentum space are useful “snapshots” of TMDs at a given scale. They may also help assessing signals of evolution when used as a benchmark.

This is important since several issues remain in the extraction of TMDs.

Fits in CSS formalism (TMD evolution) undershoot the data.

One can probably study “shape” and evolution of TMDs separately, although ultimately, the normalisation issues should be resolved.

The issues propagate to other extractions.

Among other things, assessing the kinematics validity of different factorisation theorems seems important.

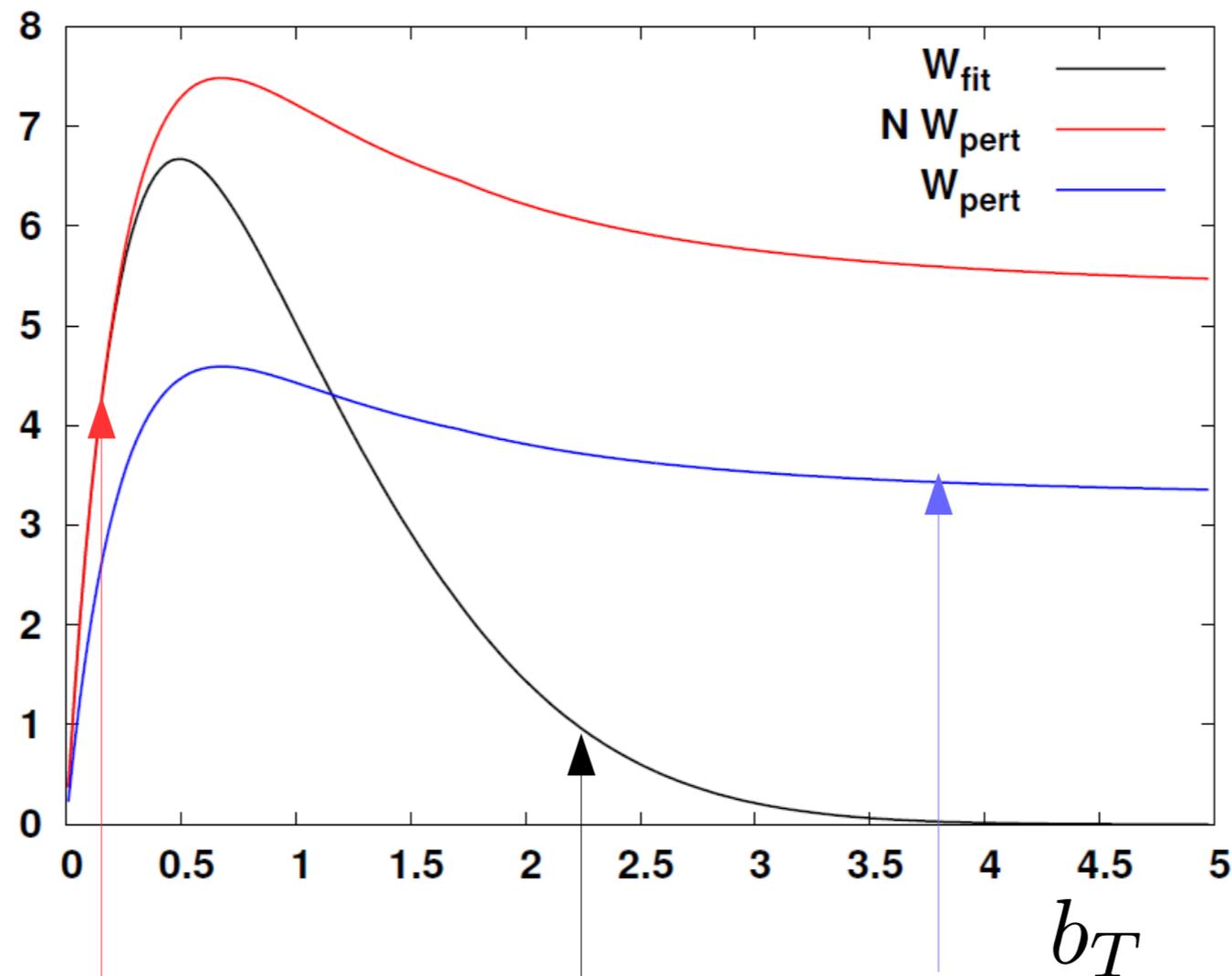
Quantities R_1 , R_2 , R_3 presented here can serve as tool to do this.

Thanks.

backup.

Some challenges:

These normalizations are hard to justify, but they do have an impact in the “shape” of the TMDs.

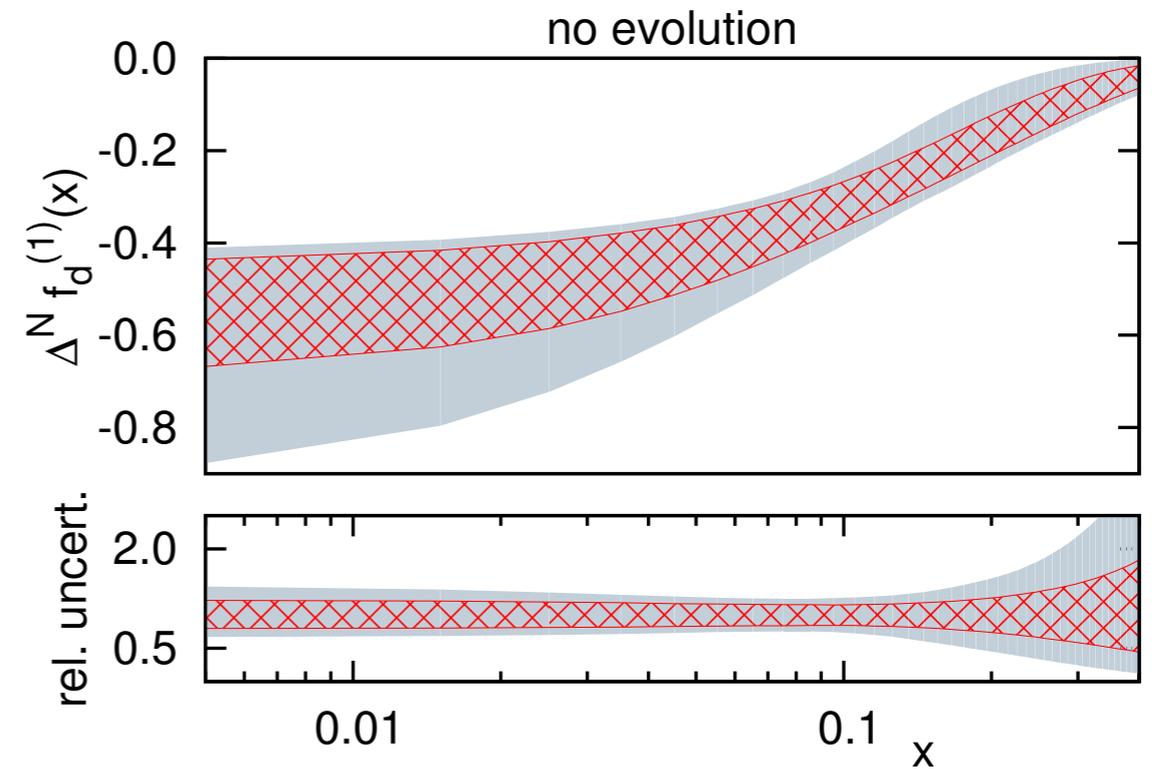
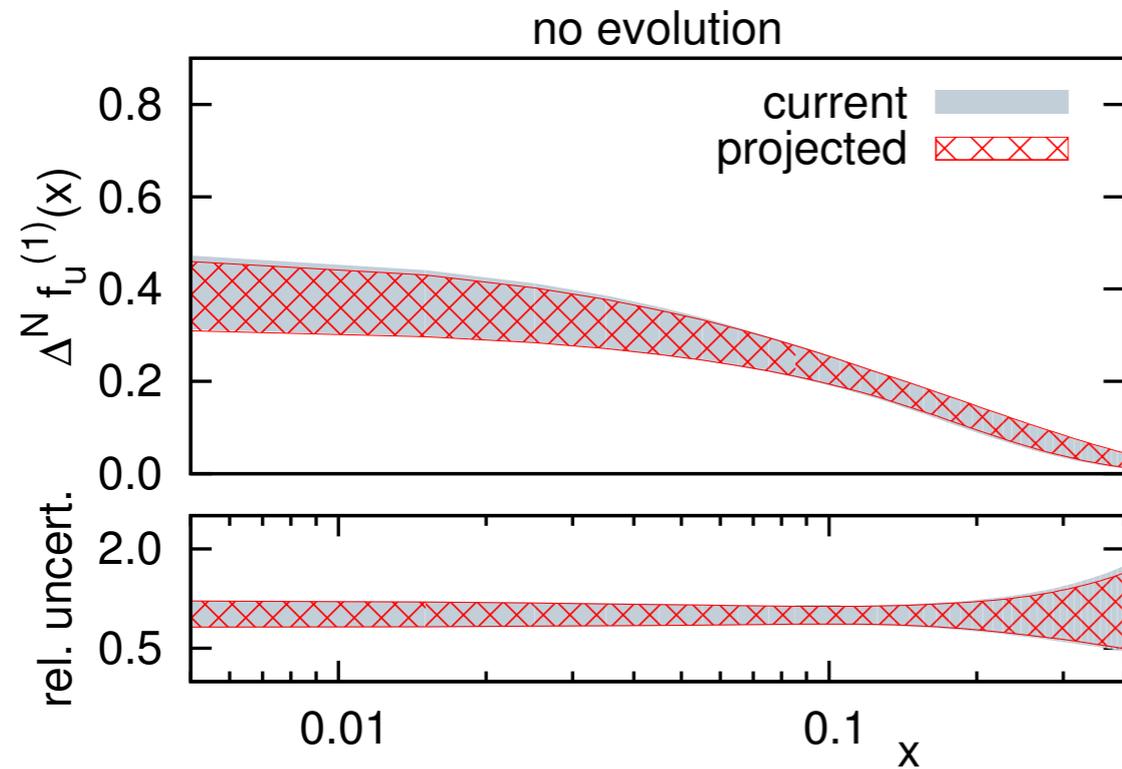


$W \sim W_{\text{pert}}$

fits data

Model independent`

Sivers asymmetry in SIDIS



**Uncertainty bands corresponding to
projected errors for future COMPASS run on Deuteron target**