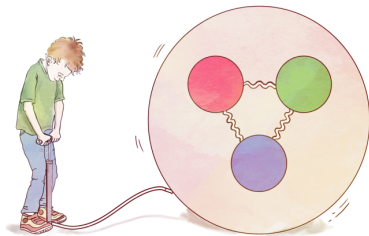


# Measurability of pressure in the proton

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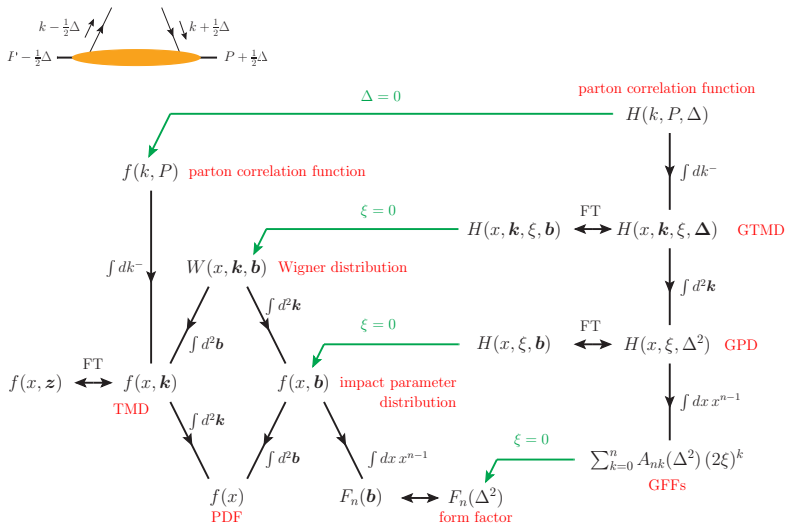
IWHSS19 — XVI International Workshop on  
Hadron Structure and Spectroscopy  
24–26 June 2019, Aveiro, Portugal



# Outline

- ➊ Introduction to measurement of pressure distribution
- ➋ Neural nets CLAS results
- ➌ Neural nets global results
- ➍ Summary

# Family tree of hadron structure functions



[Fig. by Markus Diehl]

( $\xi \rightarrow \eta$  from now on)

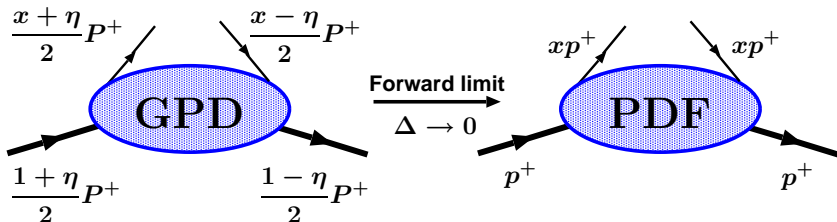
# Definition of GPDs

- In QCD **GPDs** are defined as [Müller '92, et al. '94, Ji, Radyushkin '96]

$$F^q(x, \eta, t) = \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \langle P_2 | \bar{q}(-z) \gamma^+ q(z) | P_1 \rangle \Big|_{z^+=0, z_\perp=0}$$

$$\tilde{F}^q(x, \eta, t) = \int \frac{dz^-}{2\pi} e^{ixP^+z^-} \langle P_2 | \bar{q}(-z) \gamma^+ \gamma_5 q(z) | P_1 \rangle \Big|_{z^+=0, z_\perp=0}$$

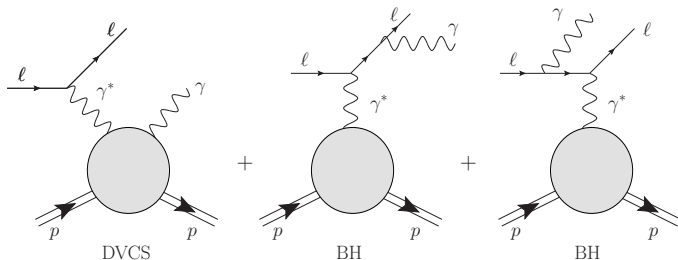
(and similarly for gluons  $F^g$  and  $\tilde{F}^g$ ).



$$P = P_1 + P_2; \quad t = \Delta^2 = (P_2 - P_1)^2; \quad \eta = -\frac{\Delta^+}{P^+} \text{ (skewedness)}$$

# Access to GPDs via DVCS

- **Deeply virtual Compton scattering (DVCS)** — “gold plated” process of exclusive physics
- DVCS is measured via lepton production of a photon



- **Interference** with Bethe-Heitler process gives unique access to both real and imaginary part of DVCS amplitude.

# DVCS cross section

$$d\sigma \propto |\mathcal{T}|^2 = |\mathcal{T}_{\text{BH}}|^2 + |\mathcal{T}_{\text{DVCS}}|^2 + \mathcal{I}.$$

- where e. g. interference term is

$$\mathcal{I} \propto \frac{-e_\ell}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \left\{ c_0^{\mathcal{I}} + \sum_{n=1}^3 [c_n^{\mathcal{I}} \cos(n\phi) + s_n^{\mathcal{I}} \sin(n\phi)] \right\},$$

- where e. g.  $c_1^{\mathcal{I}}$  harmonic for unpolarized target is

$$c_{1,\text{unpol.}}^{\mathcal{I}} \propto \left[ F_1 \Re \mathcal{H} - \frac{t}{4M_p^2} F_2 \Re \mathcal{E} + \frac{x_B}{2 - x_B} (F_1 + F_2) \Re \tilde{\mathcal{H}} \right]$$

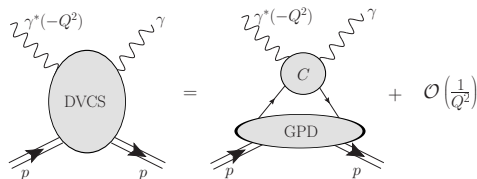
- and at leading order everything depends on four complex

## Compton form factors (CFFs)

$$\mathcal{H}(\xi, t, Q^2), \quad \mathcal{E}(\xi, t, Q^2), \quad \tilde{\mathcal{H}}(\xi, t, Q^2), \quad \tilde{\mathcal{E}}(\xi, t, Q^2)$$

# Factorization of DVCS $\longrightarrow$ GPDs

- [Collins et al. '98]



- CFFs are convolution:

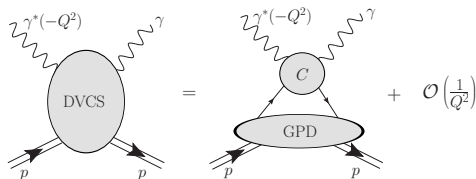
$${}^a\mathcal{H}(\xi, t, Q^2) = \int dx C^a(x, \xi, \frac{Q^2}{Q_0^2}) H^a(x, \xi, t, Q_0^2)$$

$a=q, G$

- $H^a(x, \eta, t, Q_0^2)$  — Generalized parton distribution (GPD)

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- $H^a(x, \eta, t, Q_0^2)$  — Generalized parton distribution (GPD)
- GPDs nontrivially depend on **three** variables:  $H^a(x, \eta, t, Q^2)$
- CFFs nontrivially depend on **two** variables:  $\mathcal{H}^a(\xi, t, Q^2)$



# Three “classical” objectives of GPD studies

- Both meanings are valid:
  - “classical” = well known, venerable
  - “classical” = understandable from non-quantum viewpoint

## ① Ji’s “sum rule”

$$J^a = \frac{1}{2} \int_{-1}^1 dx x \left[ H^a(x, \eta, t) + E^a(x, \eta, t) \right]_{t \rightarrow 0} \quad [\text{Ji '96}]$$

- Mellin moments of GPD are generally difficult to access  
[Polyakov '07]
- $E$  is particularly poorly constrained by present data

## ② 3D tomography

$$\rho(x, \vec{b}_\perp) = \int \frac{d^2 \vec{\Delta}_\perp}{(2\pi)^2} e^{-i\vec{b}_\perp \cdot \vec{\Delta}_\perp} H(x, 0, -\vec{\Delta}_\perp^2) \quad [\text{Burkardt '00}]$$

- experiments are mostly sensitive to  $H(x, x, t)$
- “deskewing” to  $H(x, 0, t)$  — model dependent

# Pressure distribution in the nucleon

## ③ Pressure distribution in the nucleon

Nucleon form-factors of energy-momentum tensor

$$\begin{aligned} \langle p' | T_{\mu\nu}^a(0) | p \rangle = & \vec{u}' \left( A^a(t) \frac{P_\mu P_\nu}{4M} + J^a(t) \frac{iP_{\{\mu} \sigma_{\nu\}} \Delta^\rho}{4M} \right. \\ & \left. + d_1^a(t) \frac{\Delta_\mu \Delta_\nu - g_{\mu\nu} \Delta^2}{5M} + \tilde{c}^a(t) M g_{\mu\nu} \right) u \end{aligned}$$

- Since pressure  $p$  is part of  $T^{ii}$ , one derives [Polyakov, Schweitzer '18]

$$p(r) = \frac{1}{30\pi^2 M} \int_{-\infty}^0 dt \frac{\sin(r\sqrt{-t})}{r} t d_1(t)$$

- This is in principle valid only for total  $p = p^q + p^g$ . Some studies show that quark ↔ gluon flow may be small [Polyakov, Son '18]. Then one uses this formula for quark subsystem as well.

# Pressure related to GPDs and DVCS

- Form-factor  $d_1(t)$  can be measured in DVCS [Polyakov '03], since it is related to GPD D-term  $D(t) = \frac{4}{5}d_1(t)$  [Polyakov, Weiss '99]

$$\int_{-1}^1 dx x H^a(x, \eta, t) = A^a(t) + \eta^2 \frac{4}{5} d_1^a(t)$$

- It is also directly related to **subtraction constant** of CFF dispersion relation [Teryaev '05]

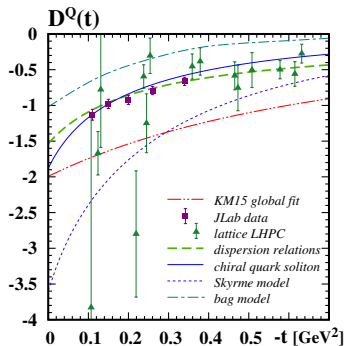
$$\Delta(t) = \Re \mathcal{H}(\xi, t) - \frac{1}{\pi} \text{P.V.} \int_0^1 dx \frac{2x}{\xi^2 - x^2} \Im \mathcal{H}(x, t)$$

via expansion

$$\Delta(t) = 4 \sum_q Q_q^2 (d_1^q(t) + d_3^q(t) + \dots)$$

- $D$ -term should be easier to extract than moments of GPDs.

# Extractions of D-term

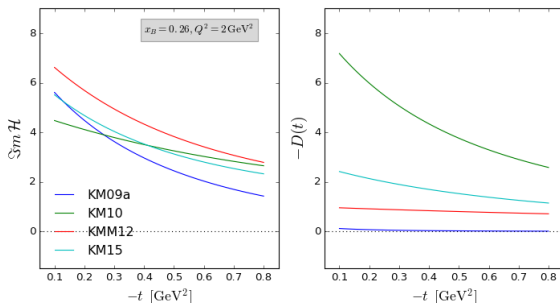


[Polyakov, Schweitzer '18]

- Many model calculations agree that  $D^Q(t) < 0$  as is required by the stability of nucleon
- QCD on lattice shows a lot of promise [Shanahan, Detmold '18]
- But can we **measure** it?
- [Burkert, Elouadrhiri, Girod '18 (Nature)] use CLAS DVCS data to extract D-term with great precision!

# Extractions of D-term in KM global fits

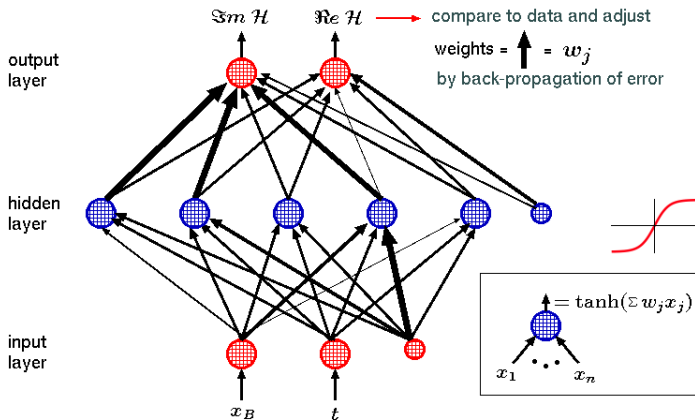
- In KM fits [K.K., D. Müller],  $D(t) = D/(1 - t/M_D^2)^2$ , where  $D$  and  $M_D$  are fit parameters



- Fit parameter uncertainties of  $D(t)$  are  $\sim 20\%$ , but **systematic uncertainty due to model selection** is unknown and presumably much larger!
- To study the model uncertainty, we turn to **neural nets** method.

# Neural nets CLAS fits

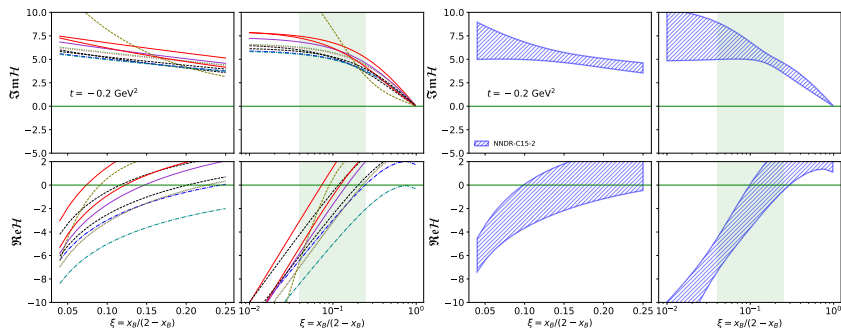
# Fitting with neural networks



- Essentially a least-square fit of a complicated many-parameter function.  $f(x) = \tanh(\sum w_i \tanh(\sum w_j \dots)) \Rightarrow$  no theory bias

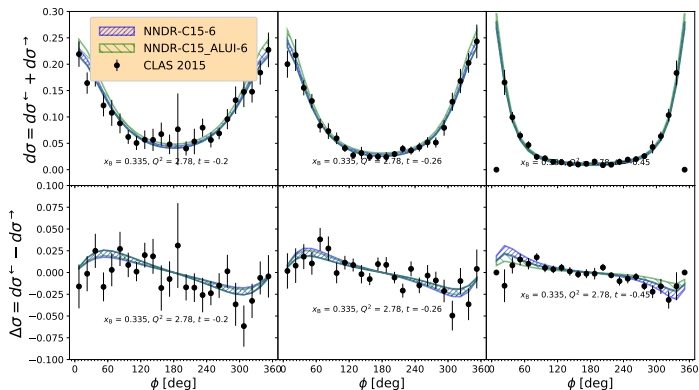
# Study A: NN fit to CLAS 2015 data

- We start by fitting just to the CLAS 2015  $d\sigma$  and  $\Delta\sigma$  measurements [Jo et al. '15], and just  $\mathcal{H}$
- We utilize dispersion relations (one NNet represents  $\Im m \mathcal{H}$ , another represents  $D(t)$ )
- **Uncertainty** is estimated by averaging over ensemble of neural nets:





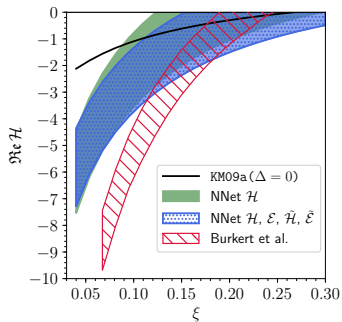
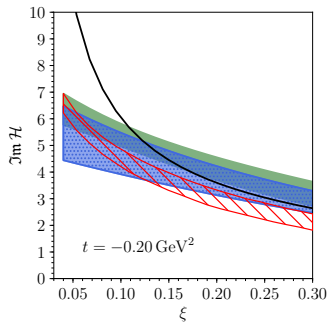
# Propagating uncertainties back to $d\sigma$ and $\Delta\sigma$



- Small propagated error is due to small sensitivity of these observables to CFFs (and D-term).

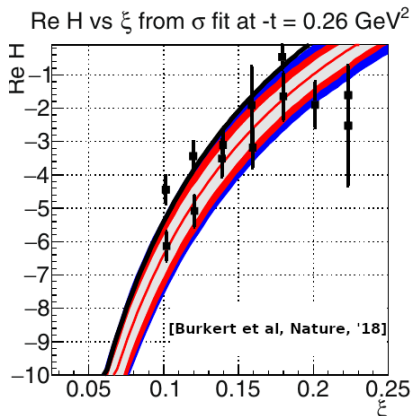
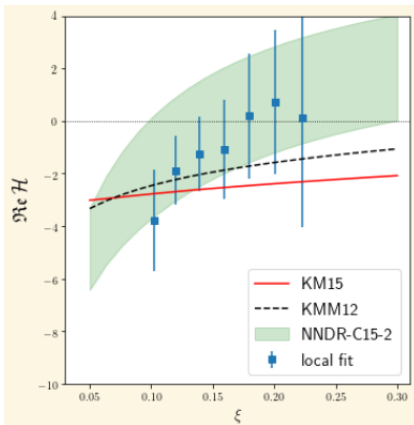
## Comparison to [Burkert et al., Nature '18]

- $\Im \mathcal{H}$  — good agreement
- $\Re \mathcal{H}$  — only qualitative agreement

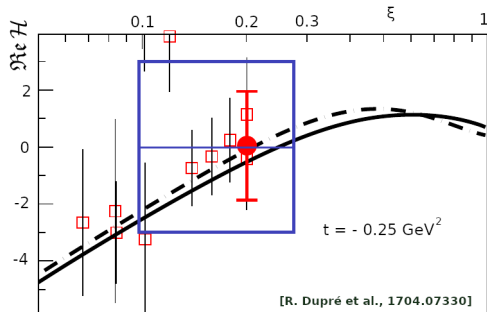
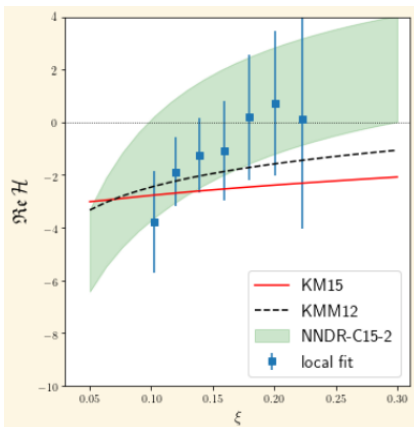


- Resulting  $\Delta(t) = 0.78 \pm 1.5$ , with almost no dependence on  $t$ ! So D-term (and pressure) are consistent with **zero** in this model-independent approach! [K.K., Nature '19]

# More detailed comparison of $\Re \mathcal{H}$

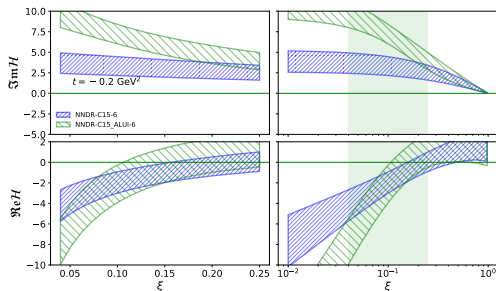


# More detailed comparison of $\Re \mathcal{H}$



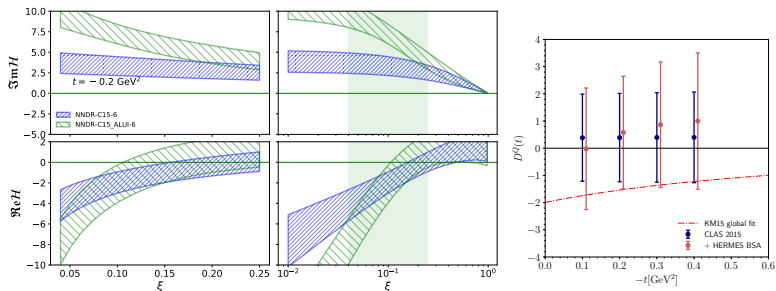
# Adding more data points

- Adding HERMES  $A_{LU,I}$  data. (Model includes  $\mathcal{H}$  and  $\mathcal{E}$ )



# Adding more data points

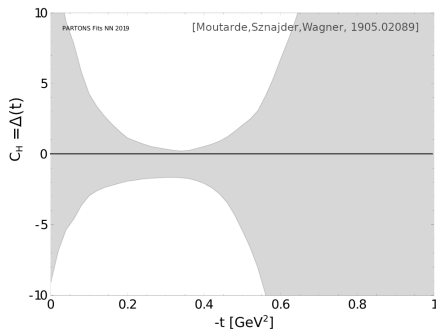
- Adding HERMES  $A_{LU,I}$  data. (Model includes  $\mathcal{H}$  and  $\mathcal{E}$ )



- Even this dataset is still consistent with zero D-term.
- We need measurements more sensitive to  $\Re \mathcal{H}$  (BCA, DDVCS, ...)

# Subtraction constant by PARTONS

- Global neural net fit (including BCA) still results in a D-term consistent with zero 😞



- [Moutarde, Sznajder, Wagner '19], see talk by Pawel Sznajder

# Neural nets global fits



# Study B: NN fit to world fixed target data

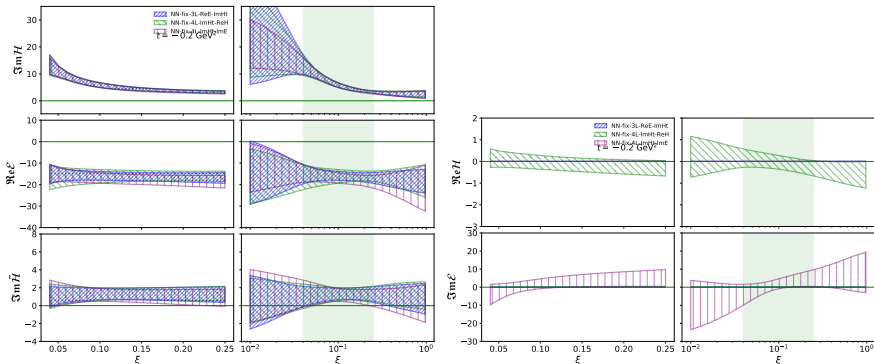
- Representative subset of world DVCS fixed target data:

npt	x	obs	collab	harm.	ref.
-----					
6	x	ALUI	HERMES	-1.0	arXiv:1203.6287
12	x	AUTDVCS	HERMES	0	arXiv:0802.2499
12	x	AUTI	HERMES	1.0	arXiv:0802.2499
6	x	BCA	HERMES	0.0	arXiv:1203.6287
6	x	BCA	HERMES	1.0	arXiv:1203.6287
12	x	BSDw	CLAS	-1	arXiv:1504.02009
15	x	BSDw	HALLA	-1	arXiv:1504.05453
12	x	BSSw	CLAS	0.0	arXiv:1504.02009
12	x	BSSw	CLAS	1.0	arXiv:1504.02009
10	x	BSSw	HALLA	0.0	arXiv:1504.05453
10	x	BSSw	HALLA	1.0	arXiv:1504.05453
6	x	BTSA	HERMES	0.0	arXiv:1004.0177v1
3	x	TSA	CLAS	-1	arXiv:hep-ex/0605012
6	x	TSA	HERMES	-1.0	arXiv:1004.0177v1
-----					
TOTAL = 128					

- We now use completely **unconstrained** neural nets representing  $\text{Im } \mathcal{H}$ ,  $\text{Re } \mathcal{H}$ ,  $\text{Im } \mathcal{E}$ ,  $\text{Re } \mathcal{E}$ , ... (do **not** assume dispersion relations)

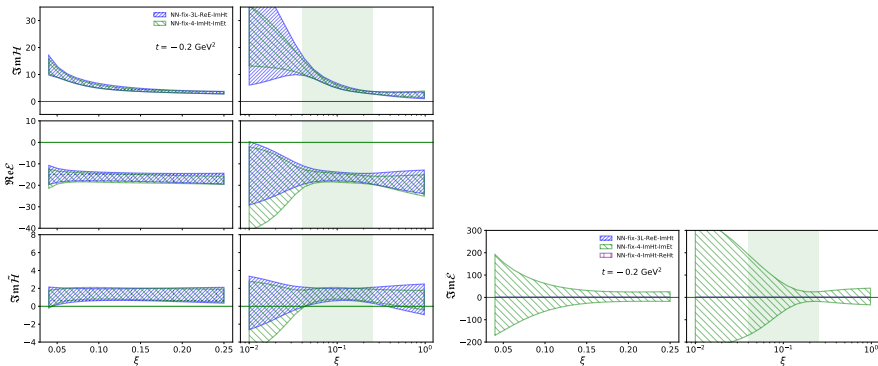
# Results (1/2)

- Only  $\Im m \mathcal{H}$ ,  $\Im m \tilde{\mathcal{H}}$  and  $\Re e \mathcal{E}$  consistently extracted as different from zero, and, with somewhat smaller significance,  $\Re e \mathcal{H}$  and  $\Im m \mathcal{E}$ :



## Results (2/2)

- Other CFFs come out consistent with zero. Only bounds on their size are obtained. *E. g.* for  $\Im m \tilde{\mathcal{E}}$ :



- See next talk by Pawel Sznajder for a more extensive global neural net analysis

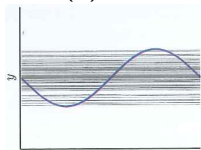
# Bias-variance tradeoff: toy example

- “Unknown”  $f(x) = \sin(\pi x)$  “measured” at two points.

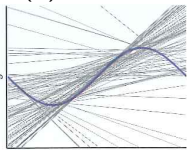
$\mathcal{H}_0$  - rigid (biased)  
 $h(x) = a$

$\mathcal{H}_1$  - flexible  
 $h(x) = ax + b$

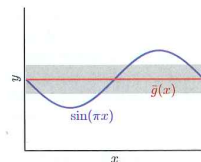
[Abu-Mostafa et al. '12]



$\mathcal{H}_0$

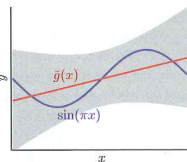


$\mathcal{H}_1$



$\mathcal{H}_0$

bias = 0.50;  
var = 0.25.



$\mathcal{H}_1$

bias = 0.21;  
var = 1.69.

error = bias + variance

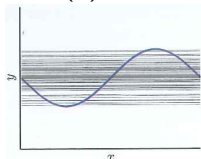
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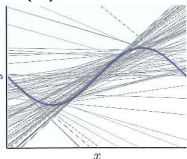
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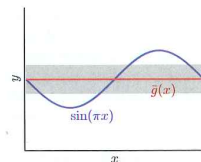
[Abu-Mostafa et al. '12]



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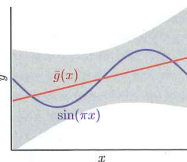


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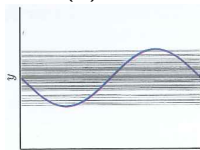
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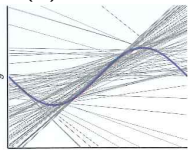
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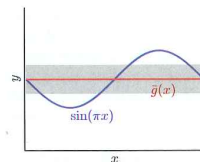
[Abu-Mostafa et al. '12]



$\mathcal{H}_0$

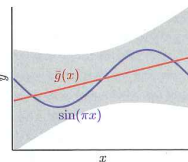


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- In DVCS situation is the opposite! We need to **decrease bias**.
- **Neural networks** are proven to be unbiased

# Summary

- Neural network method has a unique capability of extraction of Compton form factors (and, later, GPDs) with **reliable uncertainties**
- More experimental and phenomenological work is needed to determine pressure distribution in a nucleon in a **reliable and model-independent way**.

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The End