



Dijet mass: 2701 GeV

# Searching for VV resonances in the boosted dijet final state at 13 TeV with CMS

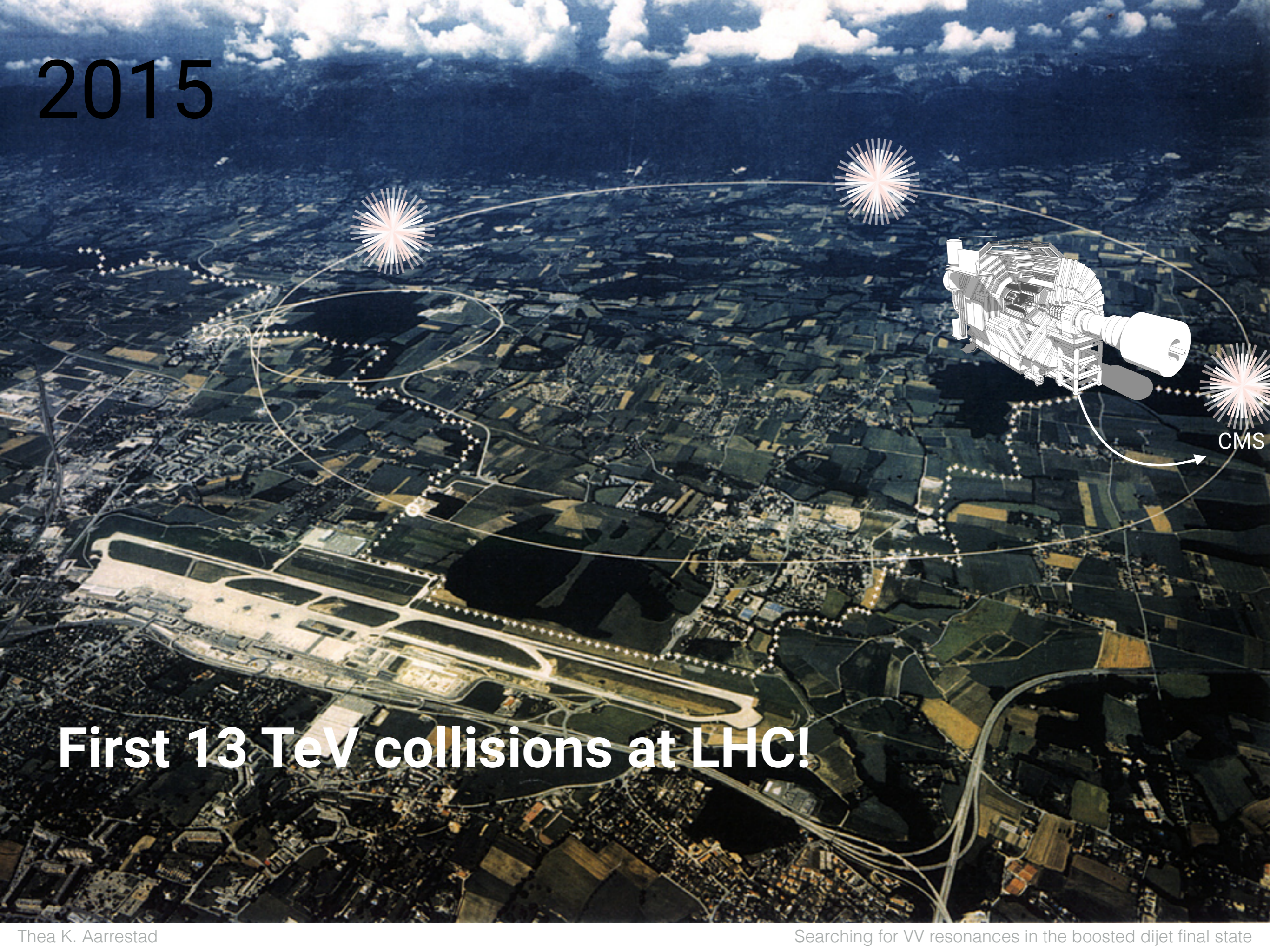


PhD committee:  
Ben Kilminster (UZH, advisor)  
Florenca Canelli (UZH)  
Jesse Thaler (MIT)  
Andreas Hinzmann (HUU)

Thea Klæboe Årrestad

SLAC Experimental Seminar  
January 17th 2019

2015



**First 13 TeV collisions at LHC!**

# 2015

## Large Hadron Collider Is Colliding Again for First Time in Two Years

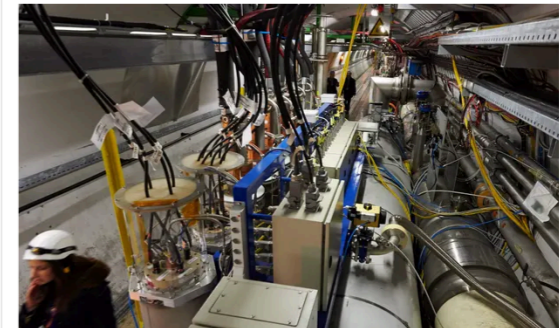
Support The Guardian  
Contribute → Subscribe →

News Opinion Sport Culture Lifestyle More

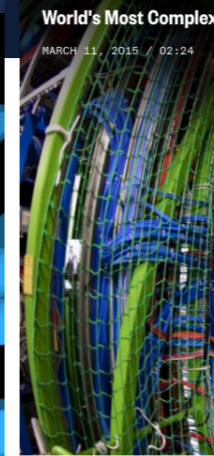
World UK Science Cities Global development Football Tech Business Environment Obituaries

### Large Hadron Collider Cern restarts Large Hadron Collider with mission to make scientific history

Physicists hope particle accelerator will explain dark matter, gravity and antimatter as it completes its test run following an upgrade



The Large Hadron Collider at Cern in 2013. Engineers have spent the past two years reinforcing its connections and building in safety devices to prevent a short circuit. Photograph: Adam Warzawa/EPA



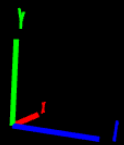
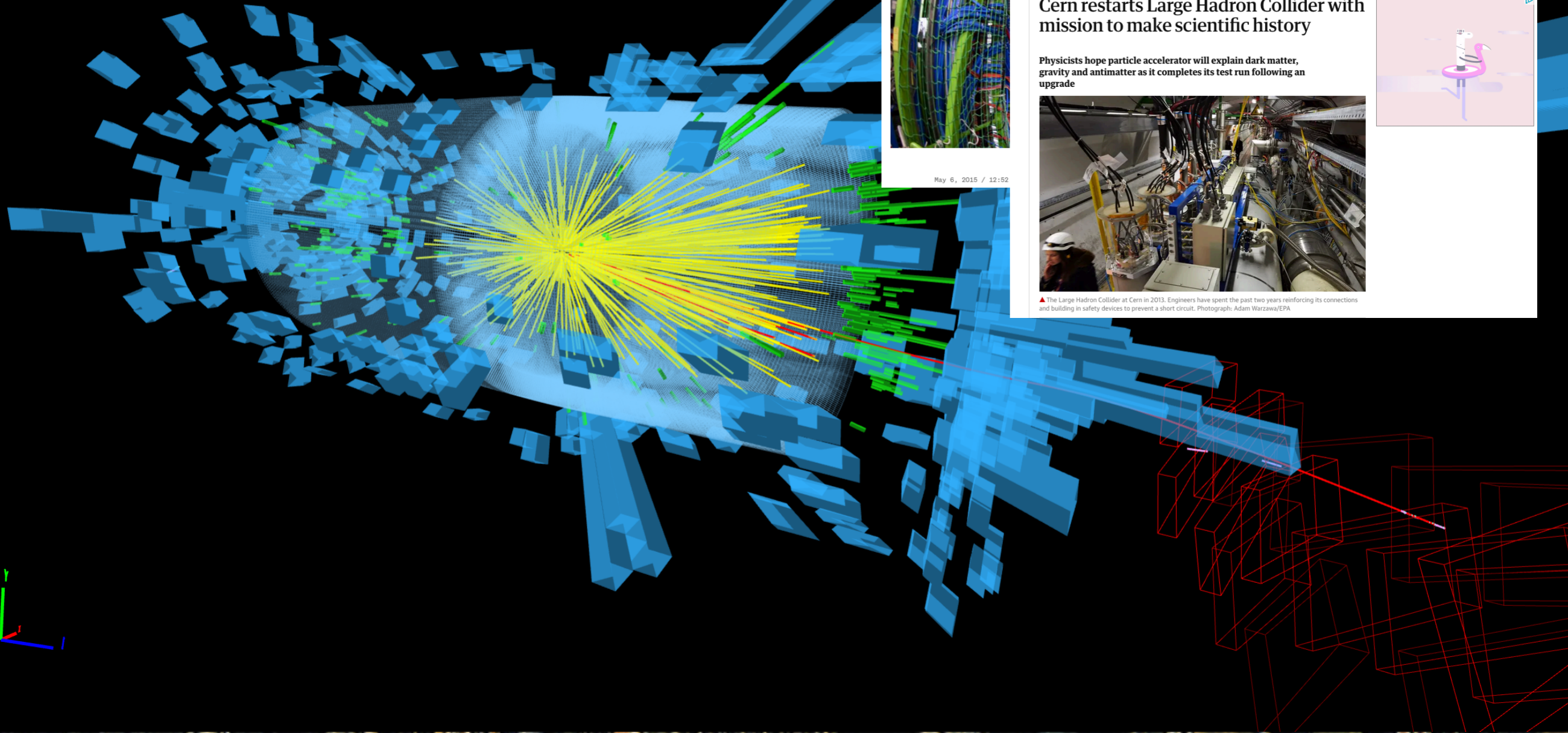
May 6, 2015 / 12:52



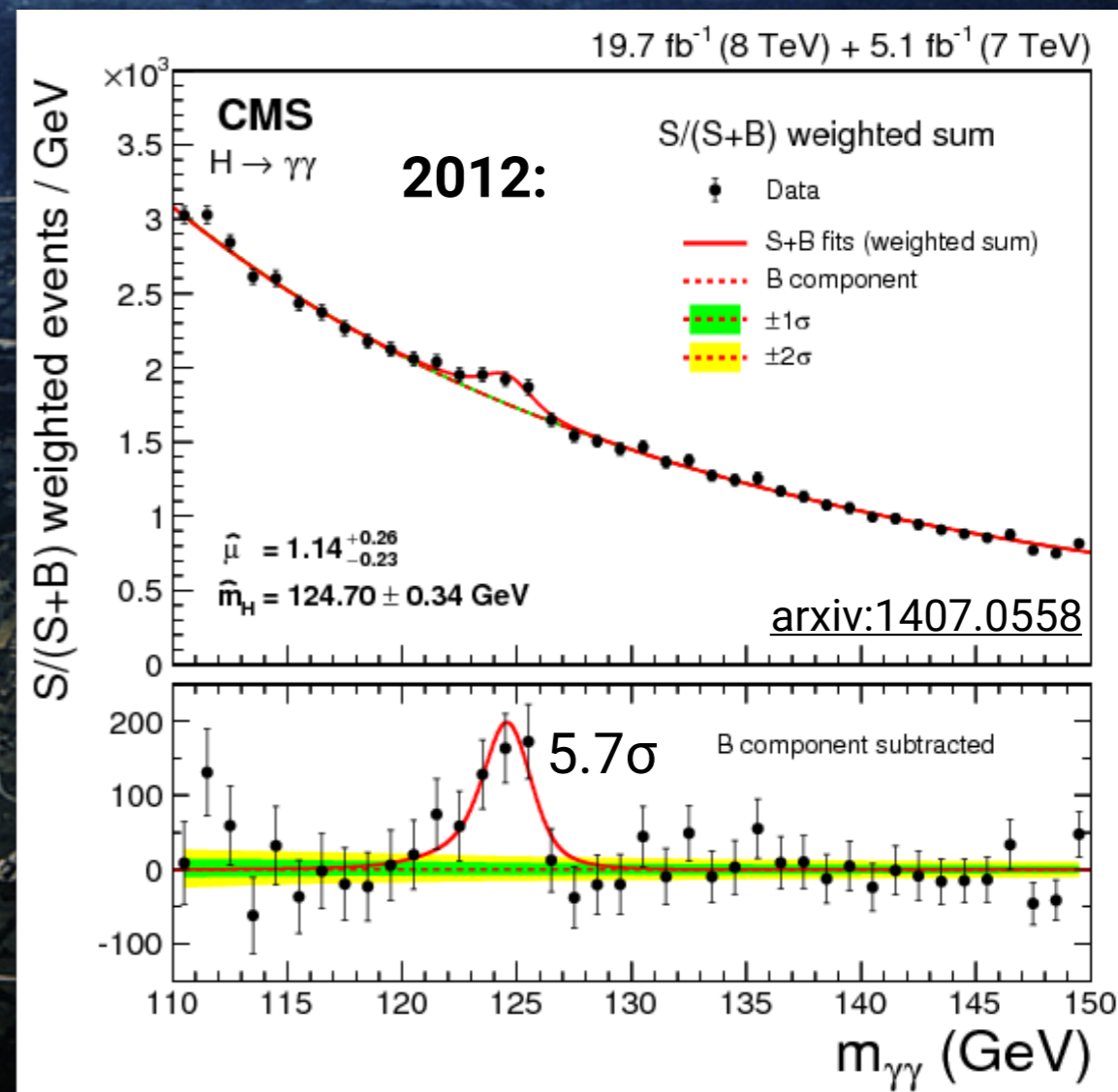
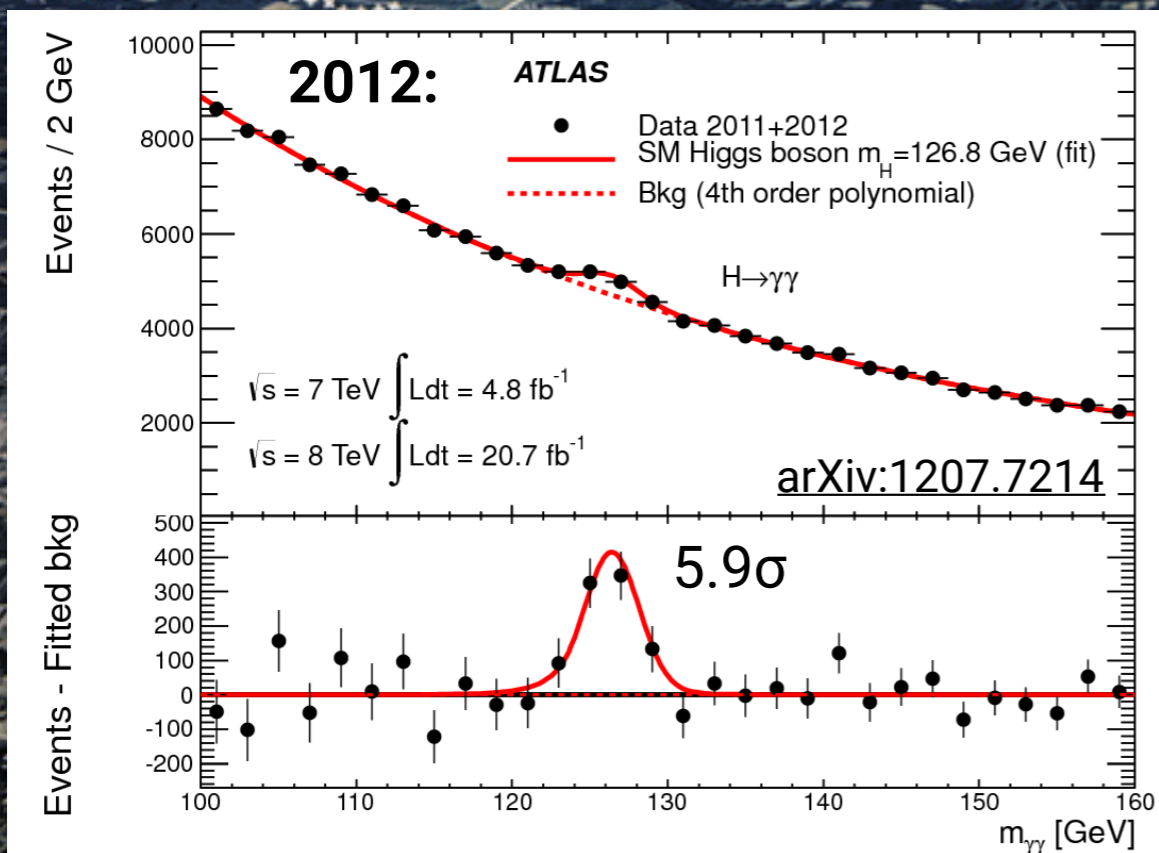
CMS Experiment at the LHC, CERN

Data recorded **2015-Jun-03 08:48:32.279552 GMT**

Run / Event / LS: 246908 / 77874559 / 86



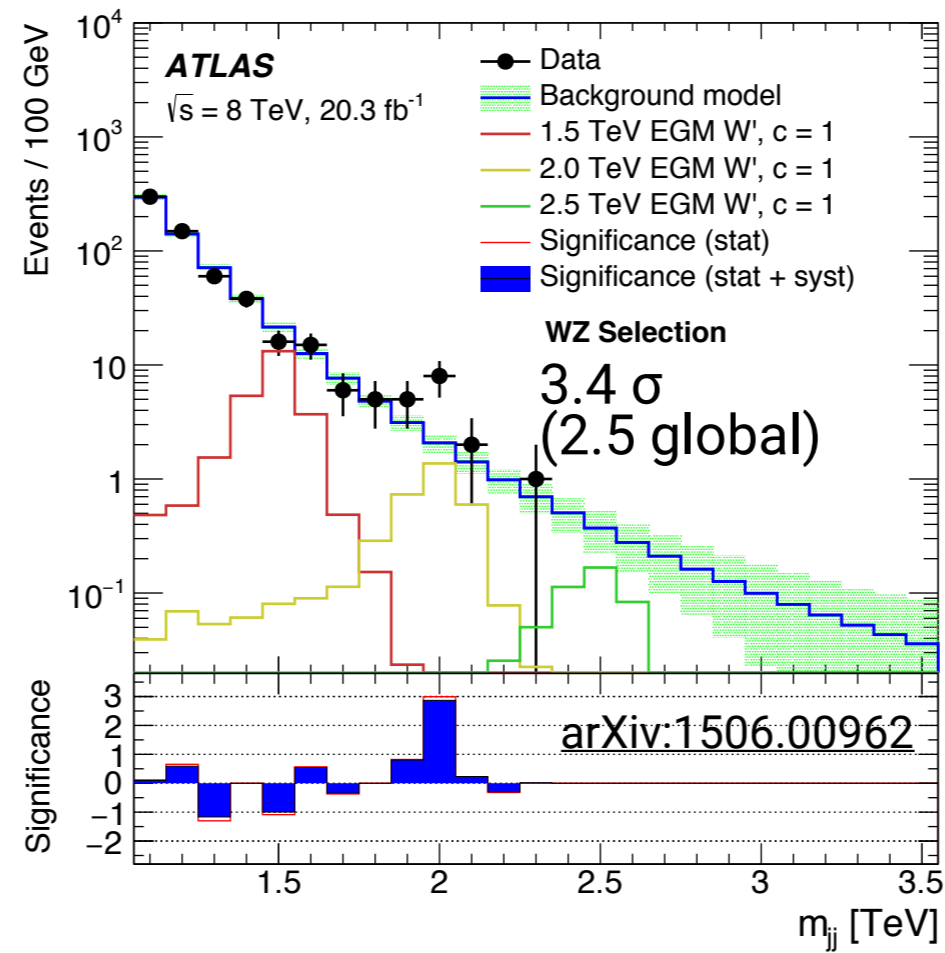
# 2015



# Search for high-mass diboson resonances with boson-tagged jets in proton-proton collisions at $\sqrt{s} = 8$ TeV with the ATLAS detector

ATLAS Collaboration

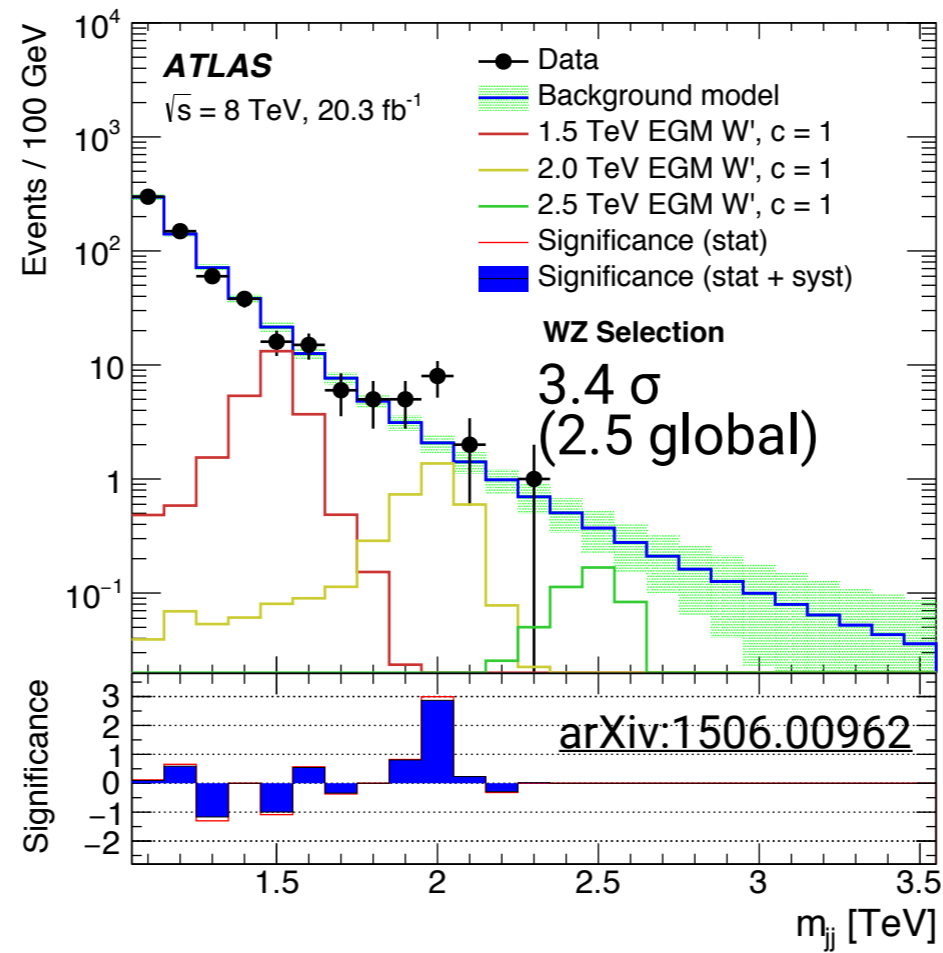
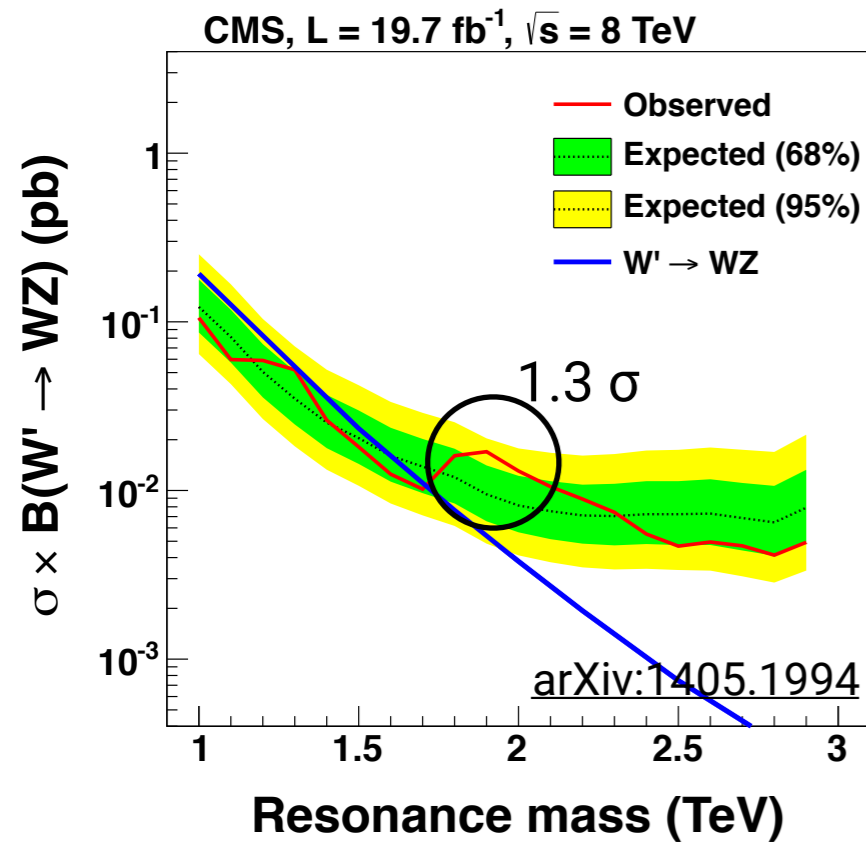
(Submitted on 2 Jun 2015 (v1), last revised 22 Jan 2016 (this version, v3))



# Search for high-mass diboson resonances with boson-tagged jets in proton-proton collisions at $\sqrt{s} = 8$ TeV with the ATLAS detector

ATLAS Collaboration

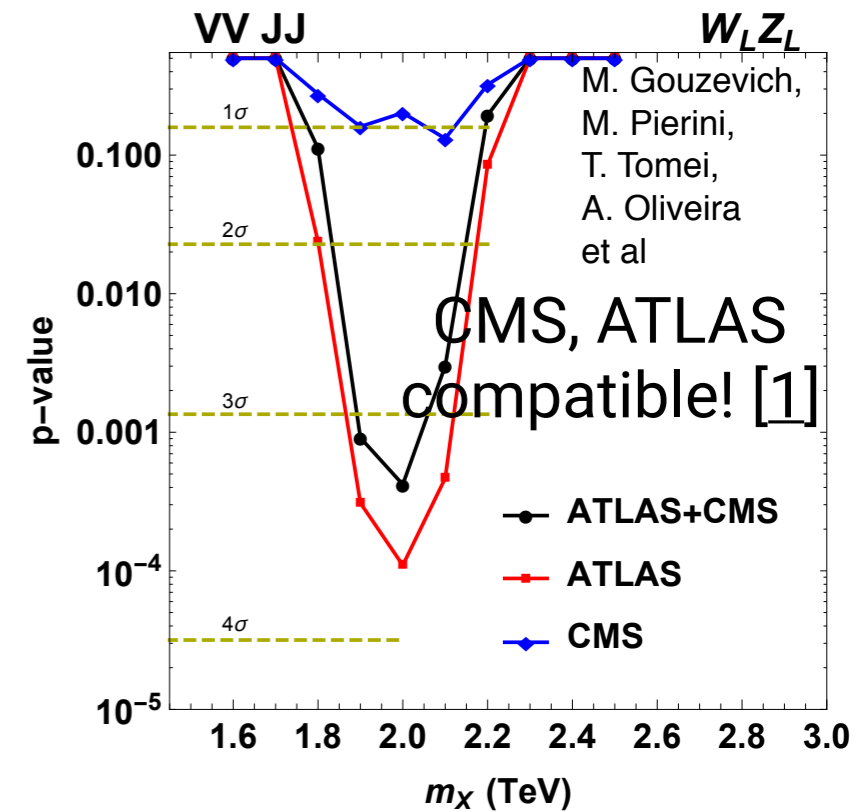
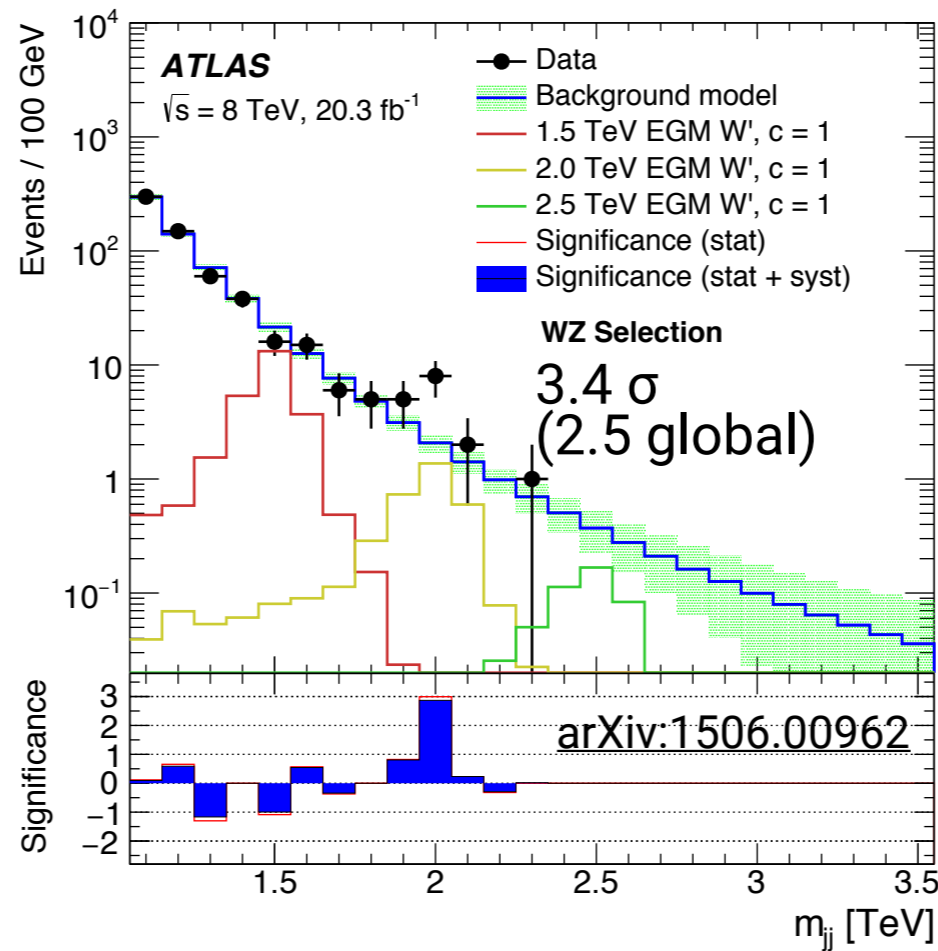
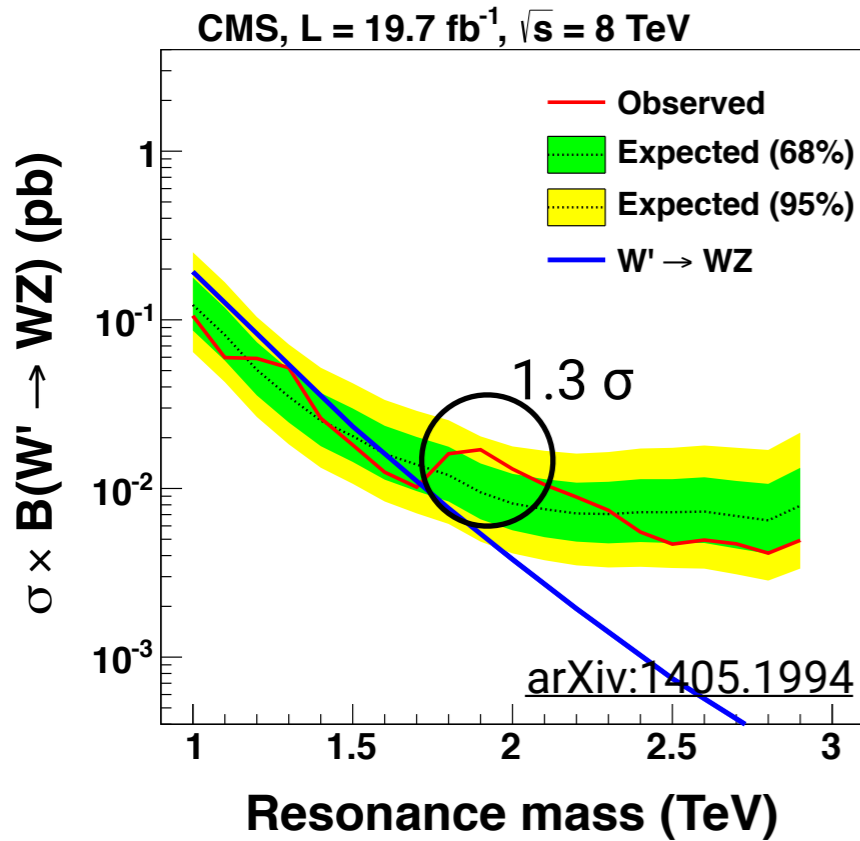
(Submitted on 2 Jun 2015 (v1), last revised 22 Jan 2016 (this version, v3))



# Search for high-mass diboson resonances with boson-tagged jets in proton-proton collisions at $\sqrt{s} = 8$ TeV with the ATLAS detector

ATLAS Collaboration

(Submitted on 2 Jun 2015 (v1), last revised 22 Jan 2016 (this version, v3))

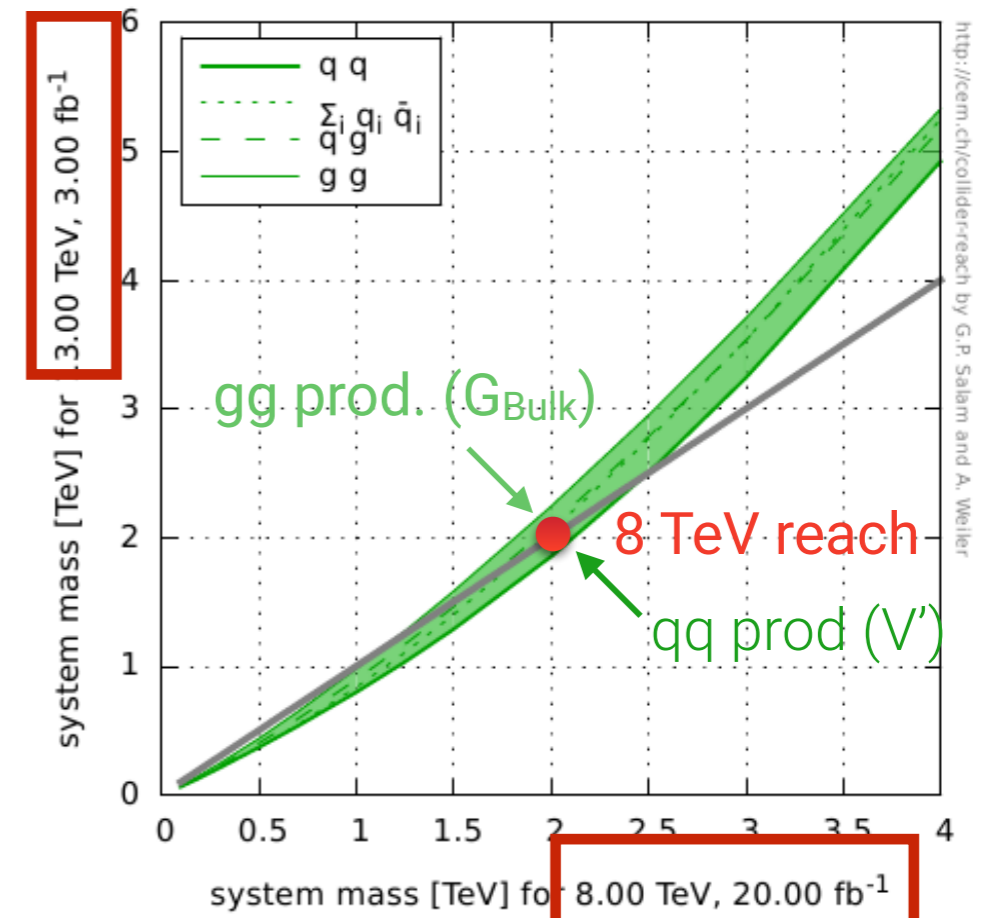


# What could it be?

## Most popular candidates

- Bulk Graviton models: Spin 2  
Main production: gluon-fusion  
 **$G_{\text{Bulk}} \rightarrow WW$  and  $G_{\text{Bulk}} \rightarrow ZZ$**
- Composite Higgs models: Spin-1  
Main production: qq-annihilation  
 **$Z' \rightarrow WW$  and  $W' \rightarrow WZ$**

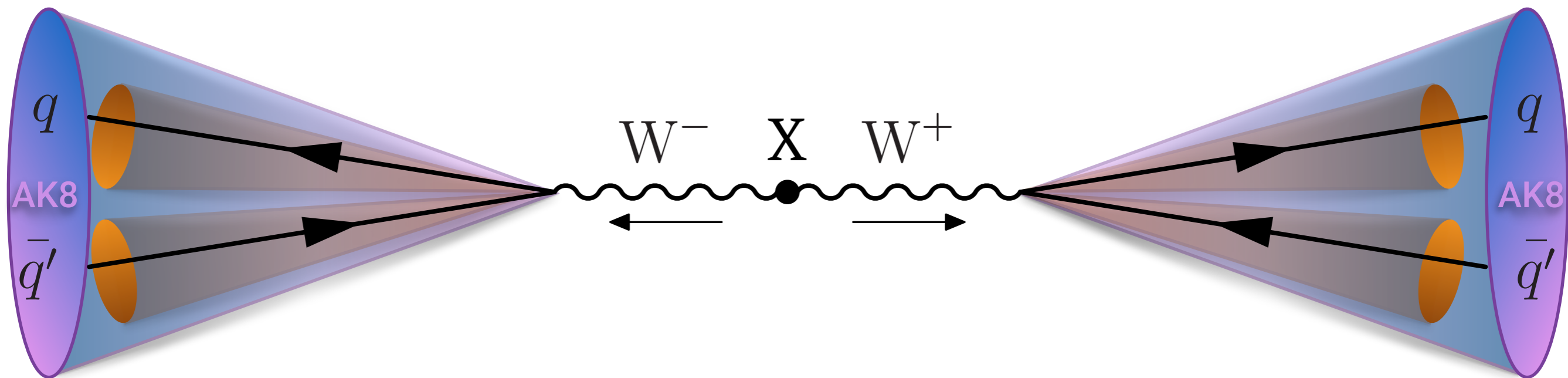
With only  $3 \text{ fb}^{-1}$  of 13 TeV data, same discovery potential as 8 TeV dataset of  $20 \text{ fb}^{-1}$





# Search I: First search for $X \rightarrow VV \rightarrow q\bar{q}q\bar{q}$ at 13 TeV with CMS

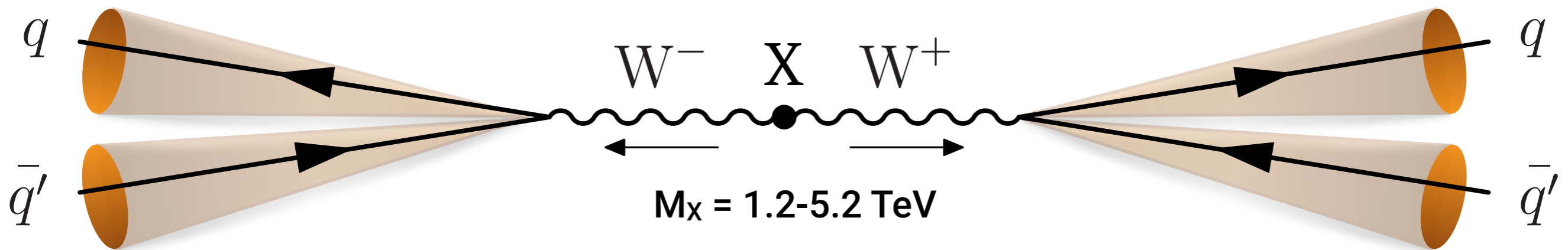
*Published in Journal of High Energy Physics (2017), DOI: 10.1007/JHEP03(2017)162*



*~ The first 'boosted' search with 13 TeV data and the first to use dedicated jet substructure triggers. Published with the full 2015 dataset,  $2.7 \text{ fb}^{-1}$*

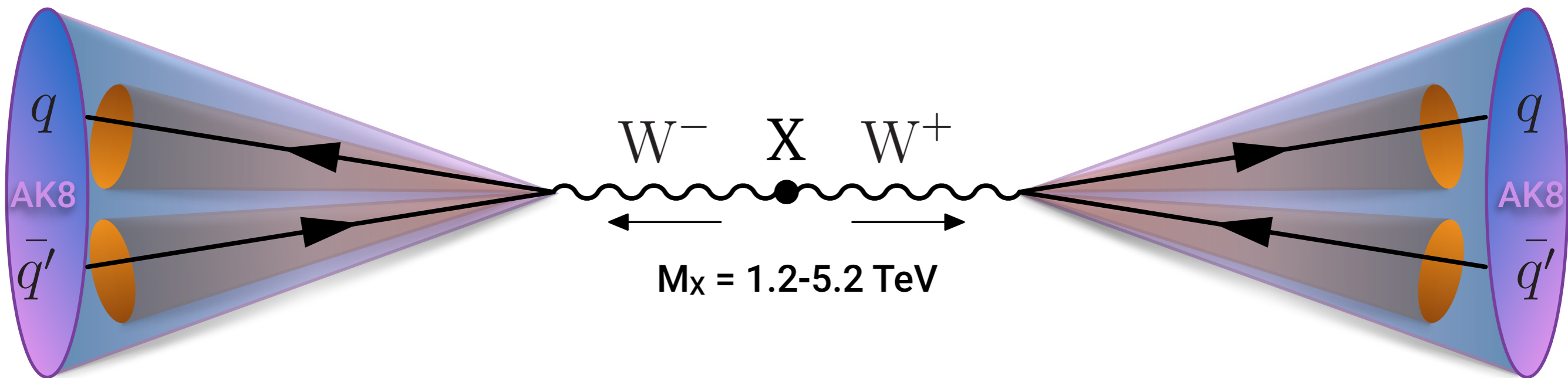
$$X \rightarrow VV \rightarrow q\bar{q}q\bar{q}$$

---



$$X \rightarrow VV \rightarrow q\bar{q}q\bar{q}$$


---



$$R \sim \frac{2m_V}{p_{T,V}} \rightarrow \text{Fully contained in AK } R=0.8 \text{ jet for } V \text{ } p_{T,V} > 200 \text{ GeV}$$

# $X \rightarrow VV \rightarrow q\bar{q}q\bar{q}$

## Reconstruct two hadronic W/Z bosons

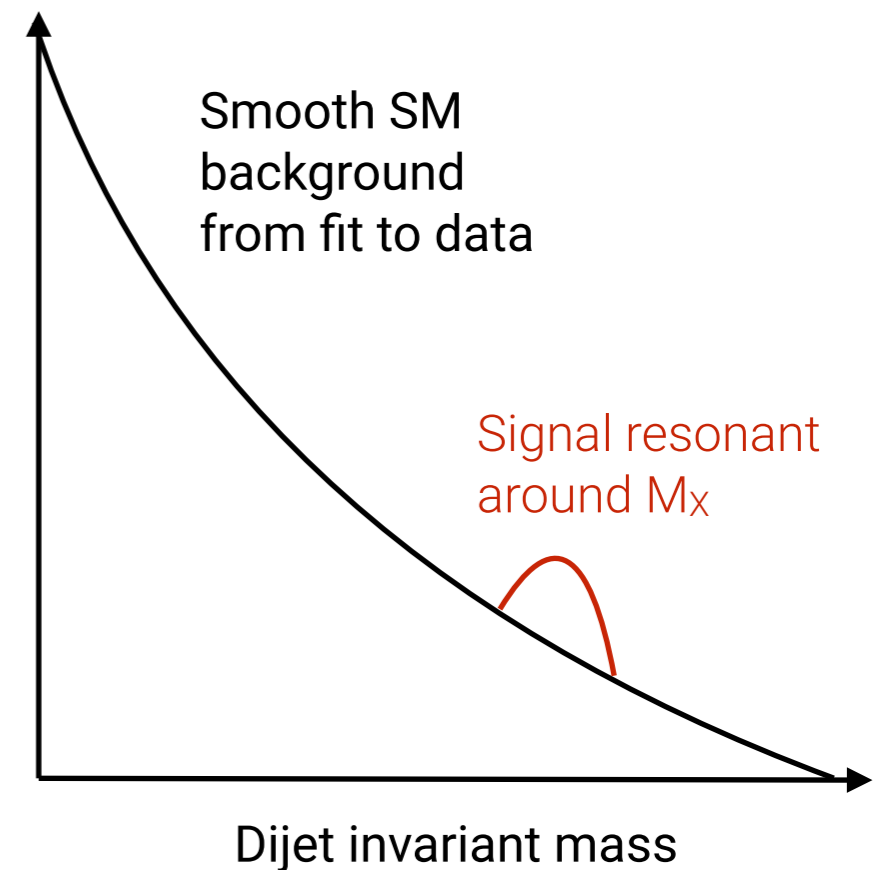
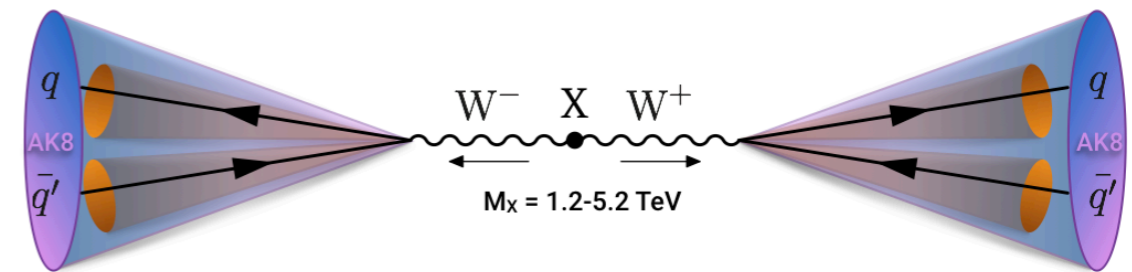
- require two high- $p_T$  jets ( $>200$  GeV), tag using dedicated jet substructure methods

## Bump hunt in dijet invariant mass spectrum

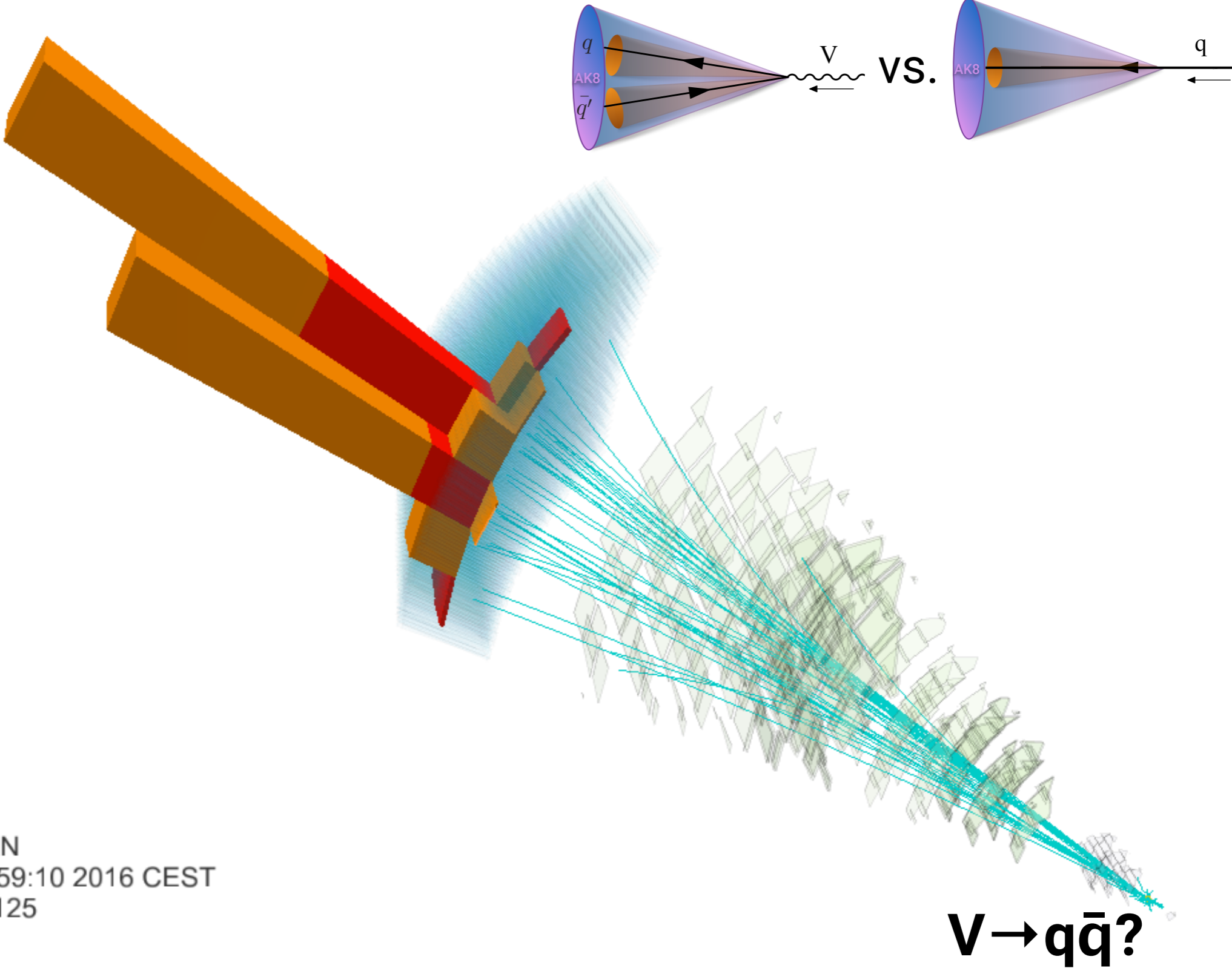
- QCD (dominant) background estimated from fit to data in signal region
- smoothness test of observed data (no MC)

## Simple and robust one-background analysis, some caveats:

1. Overwhelming QCD multijet background
2. Can only model smoothly falling  $m_{jj}$  (trigger limited)

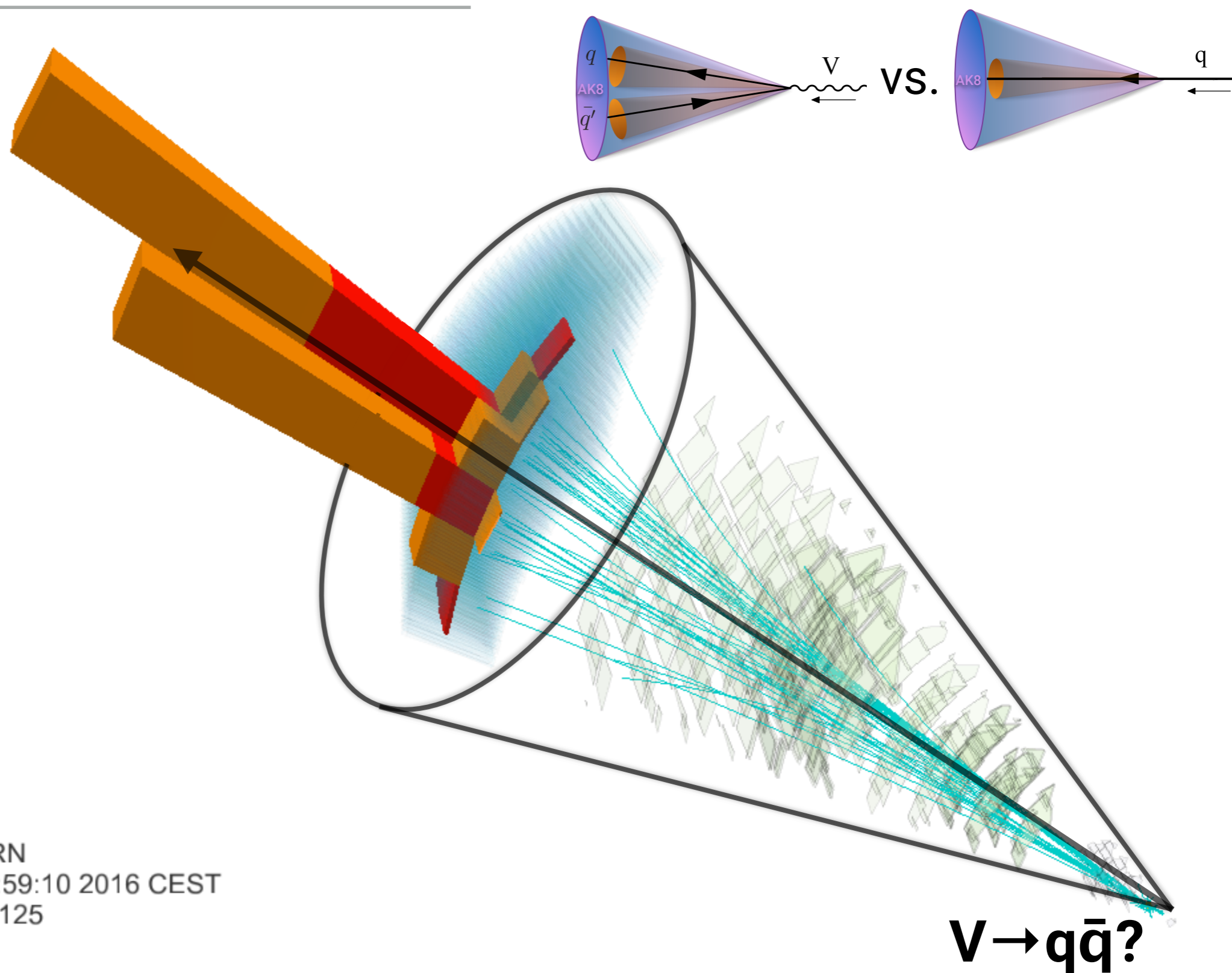


# Getting rid of QCD



CMS-PAS-B2G-17-001  
CMS Experiment at LHC, CERN  
Data recorded: Mon Jul 18 19:59:10 2016 CEST  
Run/Event: 276950 / 1080730125  
Lumi section: 573

# Getting rid of QCD



CMS-PAS-B2G-17-001

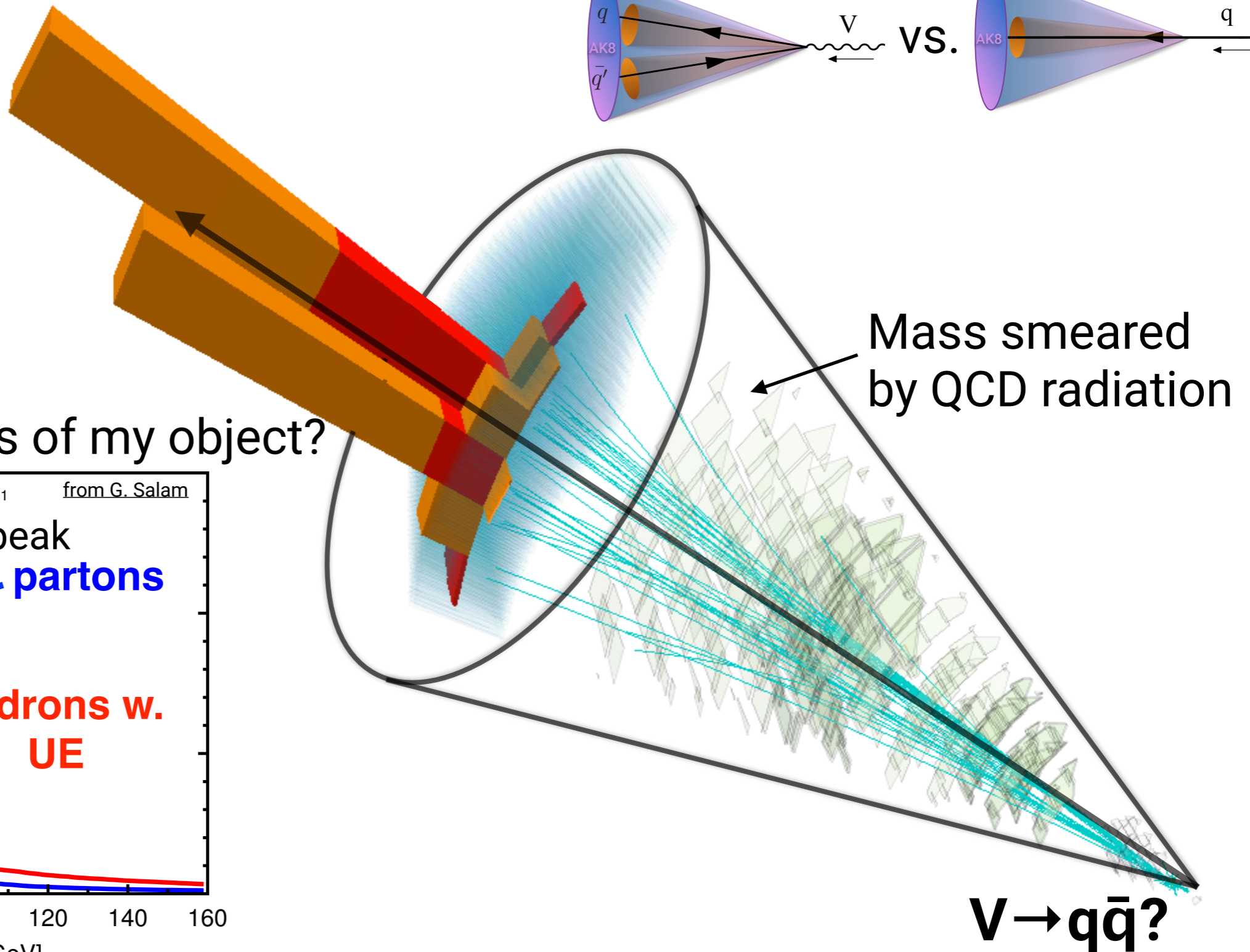
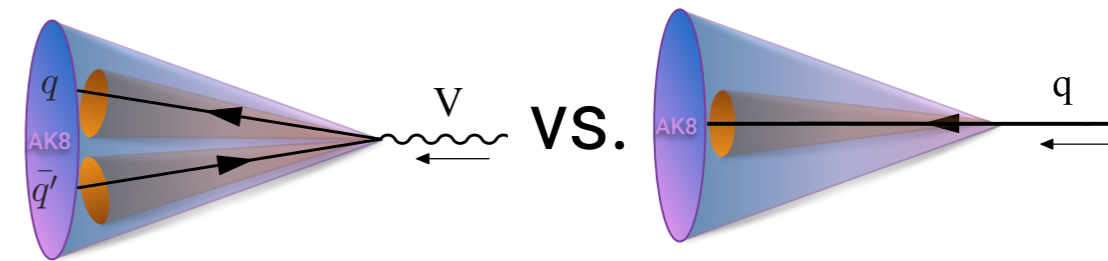
CMS Experiment at LHC, CERN

Data recorded: Mon Jul 18 19:59:10 2016 CEST

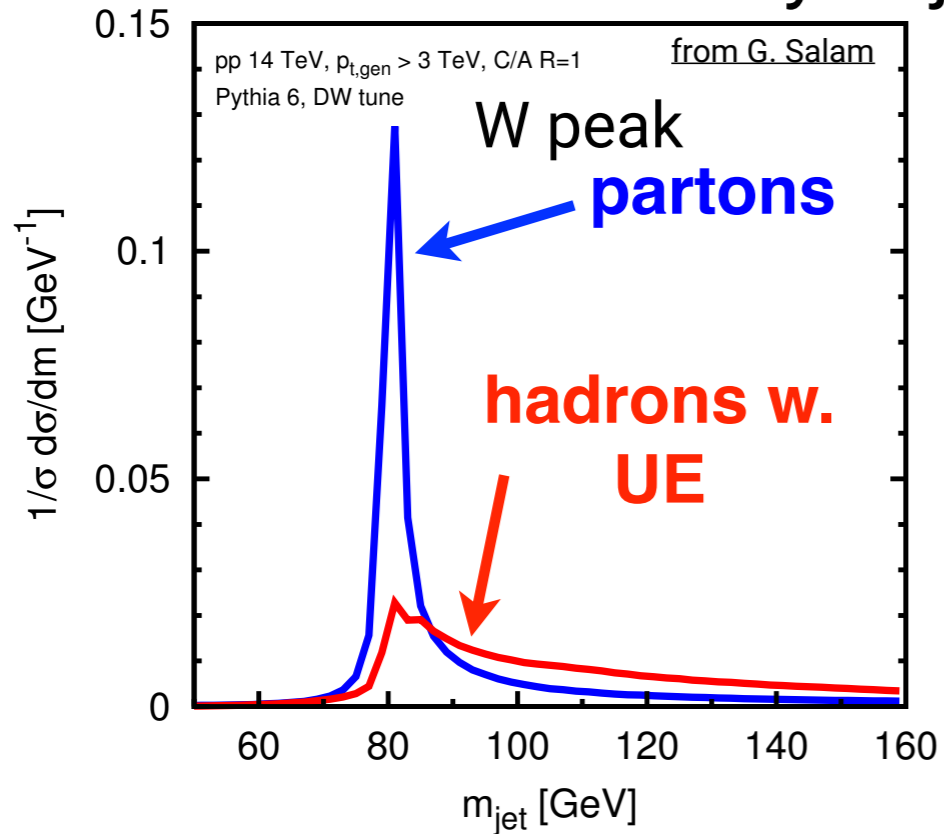
Run/Event: 276950 / 1080730125

Lumi section: 573

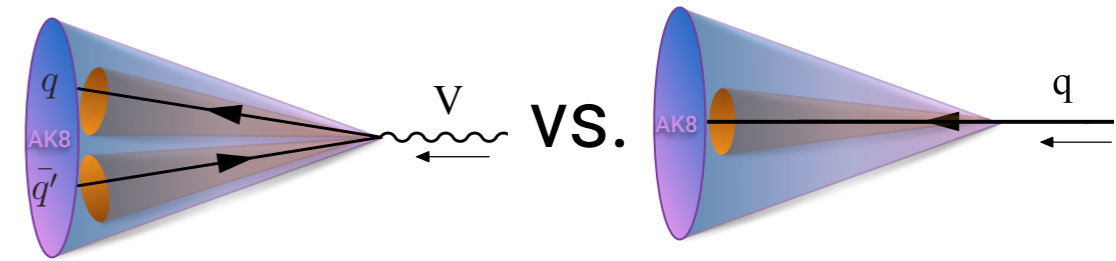
# Getting rid of QCD



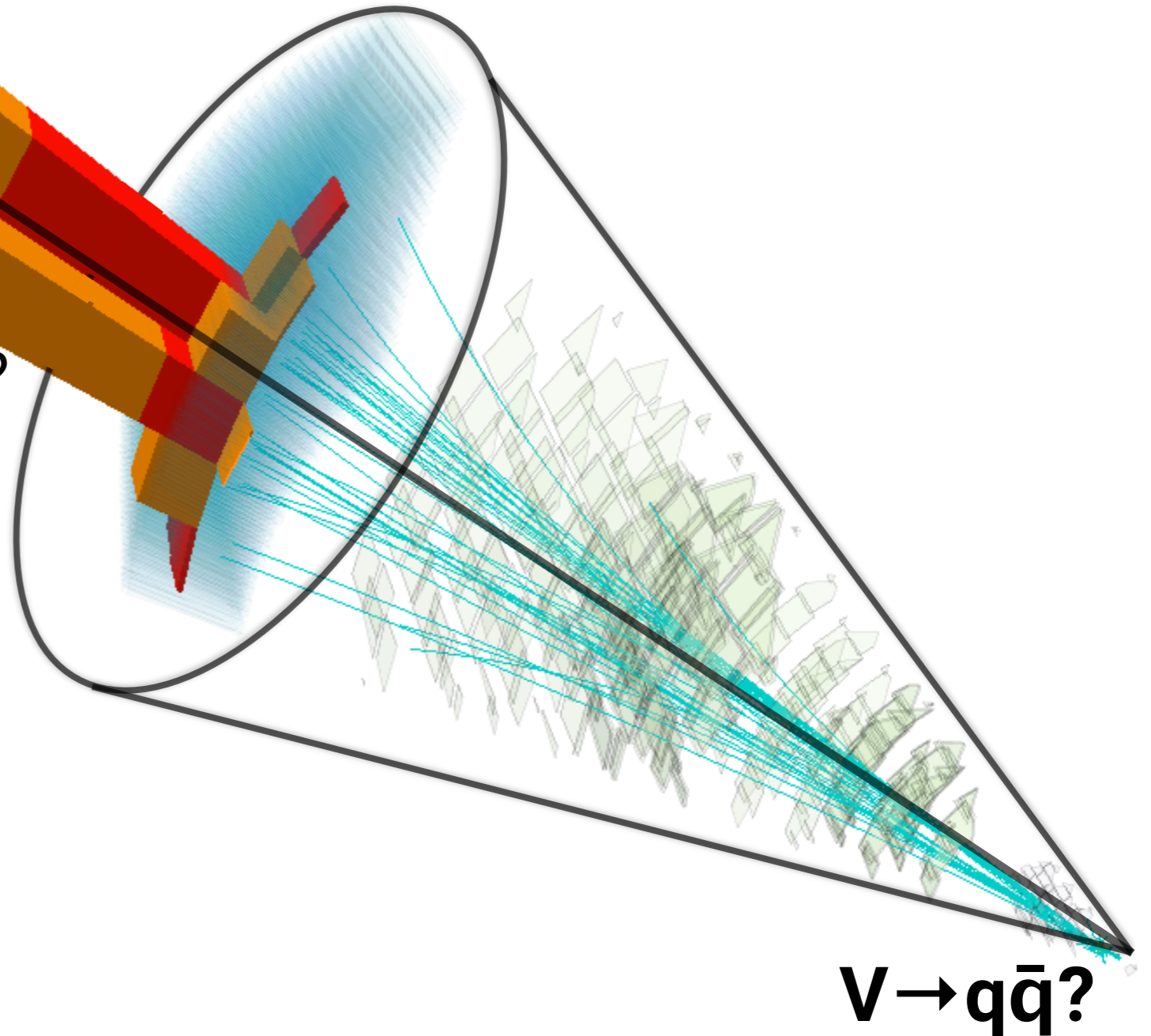
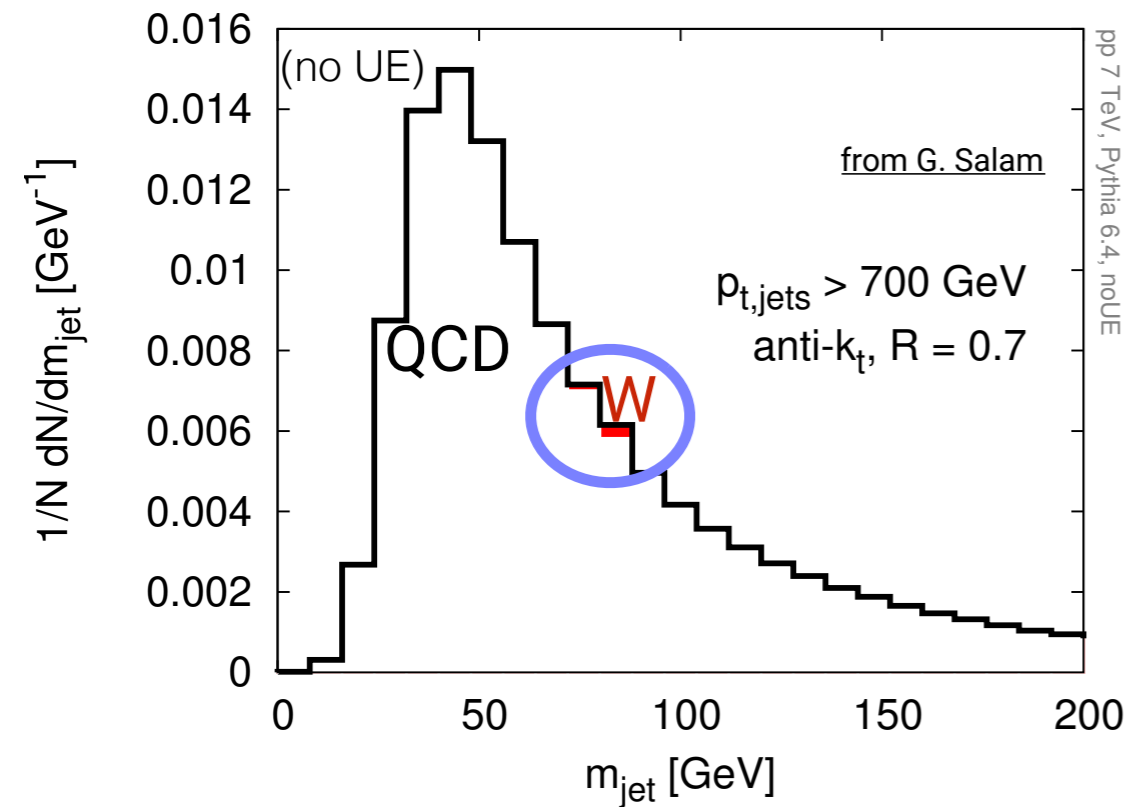
1) What's the mass of my object?



# Getting rid of QCD

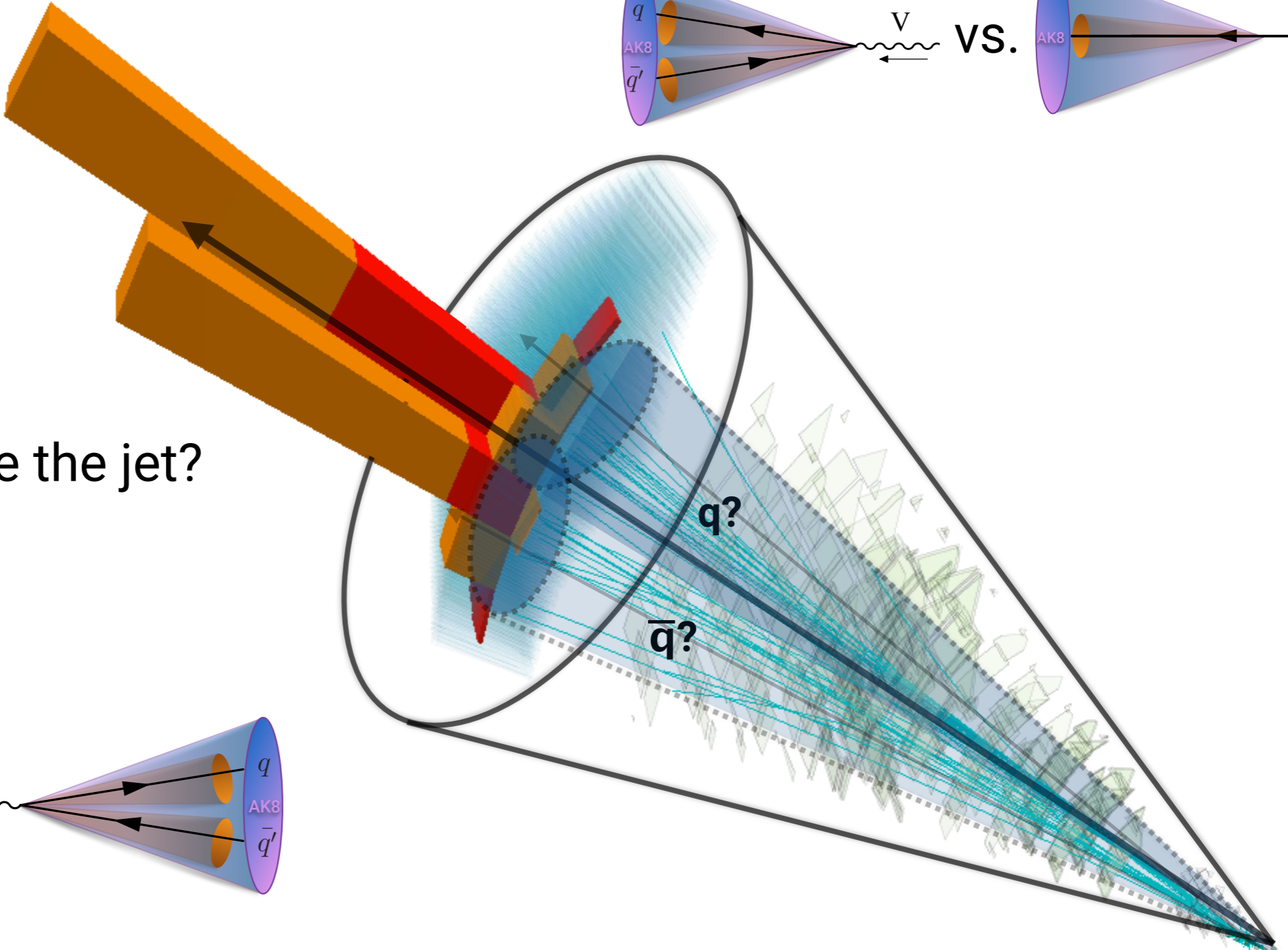
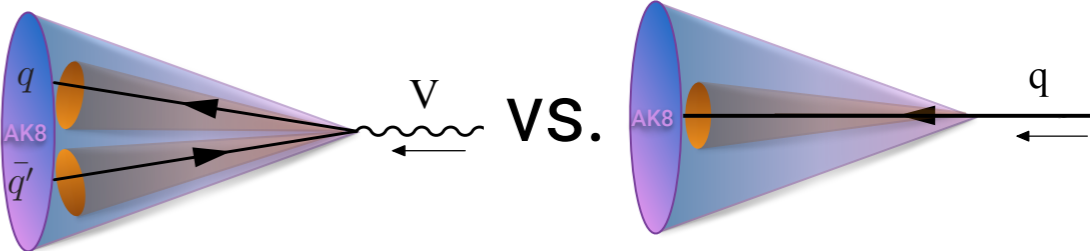


1) What's the mass of my object?



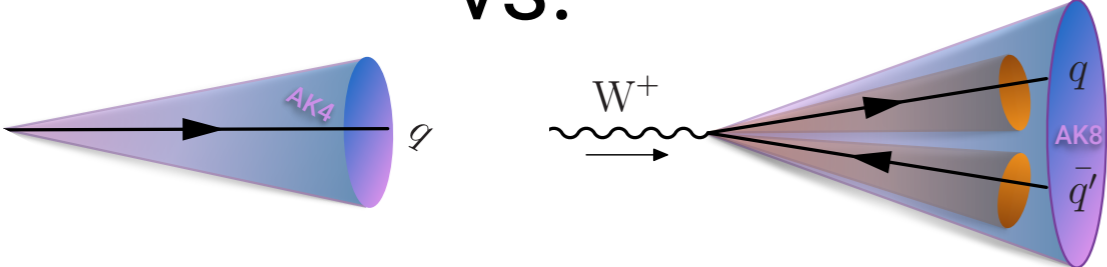


# Getting rid of QCD



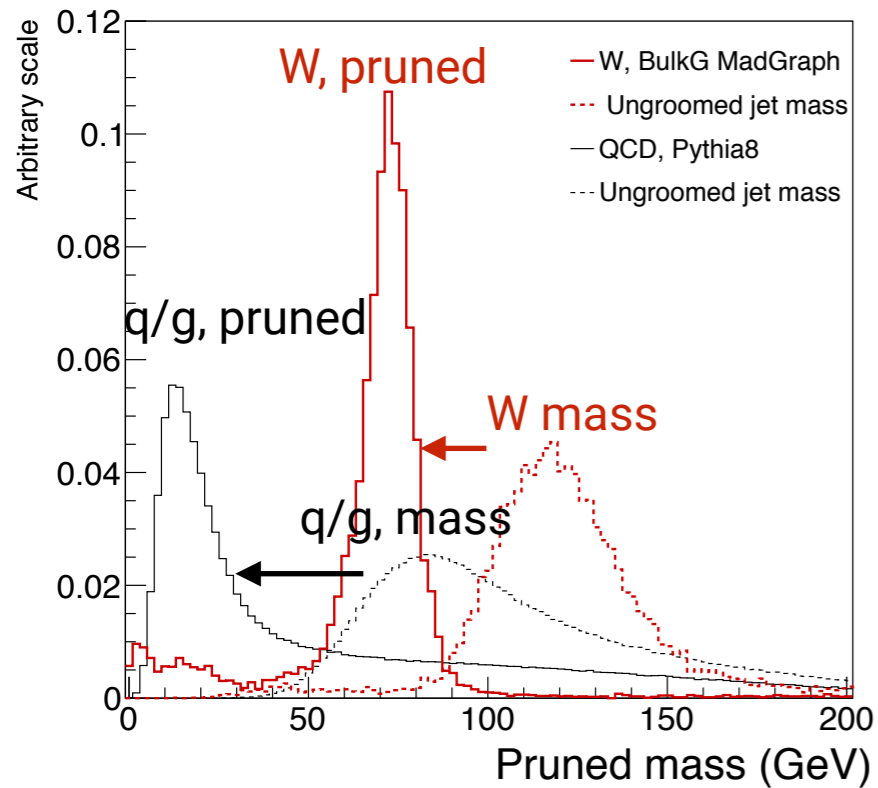
2) Can I peak inside the jet?

VS.



**V → q $\bar{q}$ ?**

# Jet substructure techniques



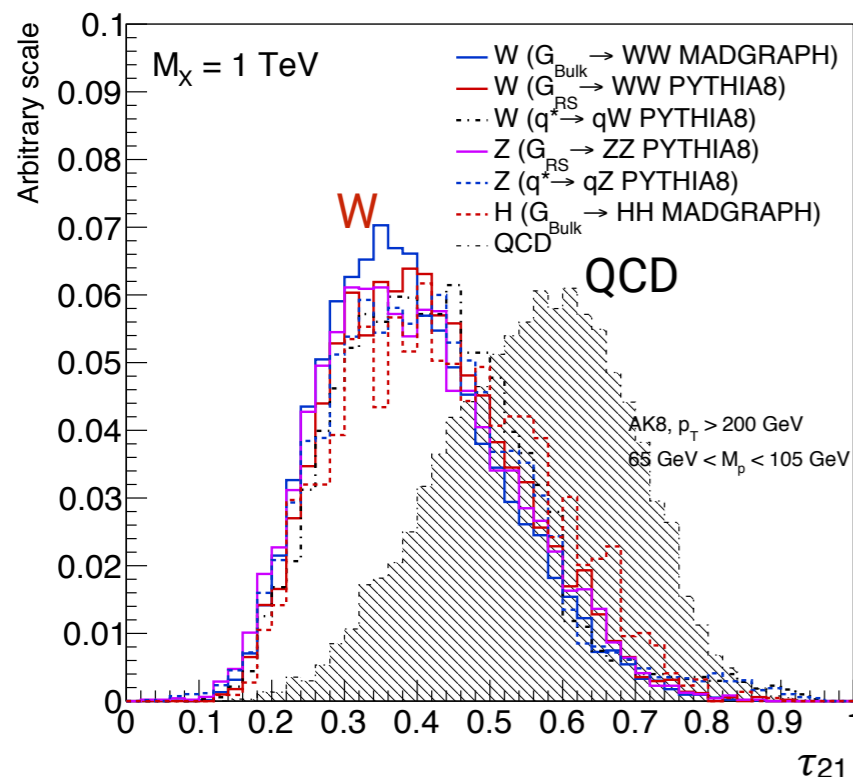
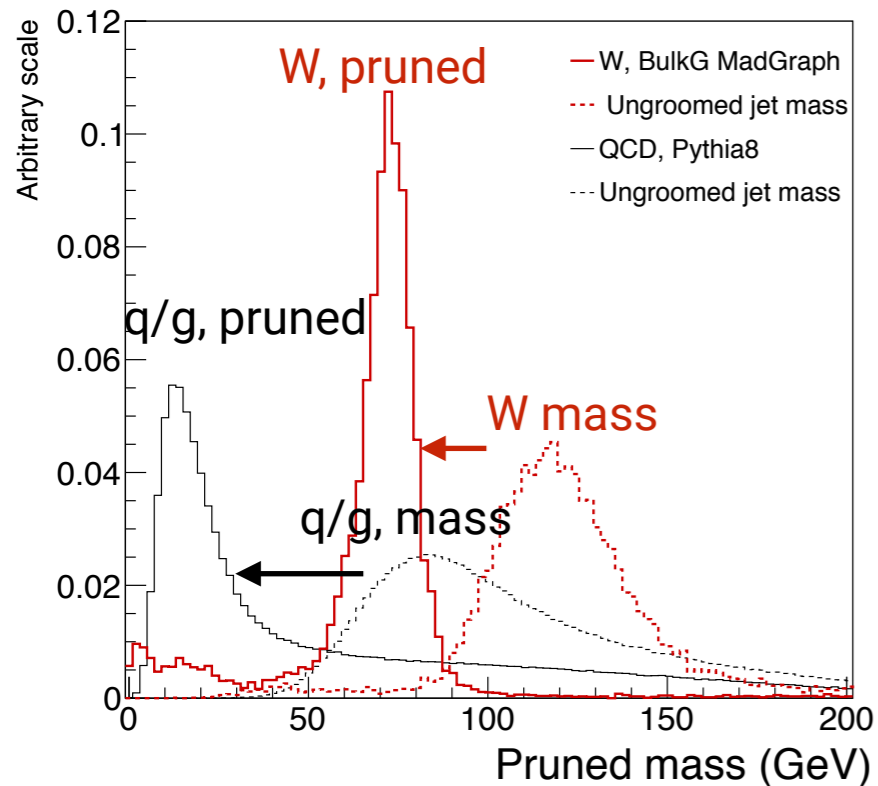
What is the mass of my jet → Pruning

[arxiv:0912.0033](https://arxiv.org/abs/0912.0033)

Improve mass resolution by removing radiation

- recluster jet, veto soft+wide angle constituents

# Jet substructure techniques



## What is the mass of my jet → Pruning

[arxiv:0912.0033](https://arxiv.org/abs/0912.0033)

### Improve mass resolution by removing radiation

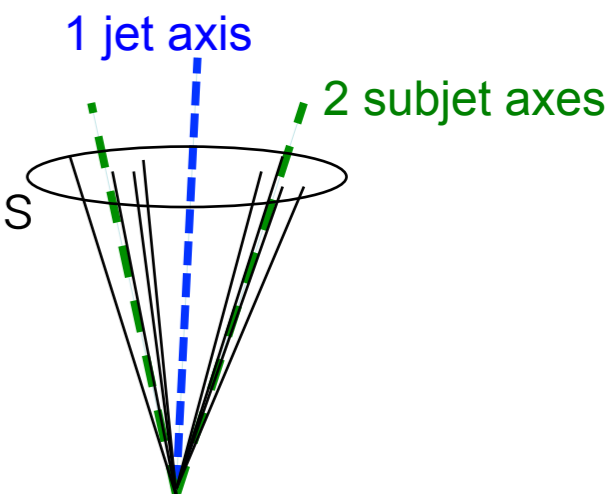
- recluster jet, veto soft+wide angle constituents

## Can I peak inside the jet? → n-subjettiness

[arxiv:1011.2268](https://arxiv.org/abs/1011.2268)

### • How compatible jet is with having N subjets

- undo clustering N times, calculate sum of distance between all constituents and axes (small  $\tau_{N+1} \rightarrow N+1$  prong)
- Rather  $\tau_2/\tau_1$ : q/g with large  $\tau_1$ , usually large  $\tau_2$



# Tagging vector bosons

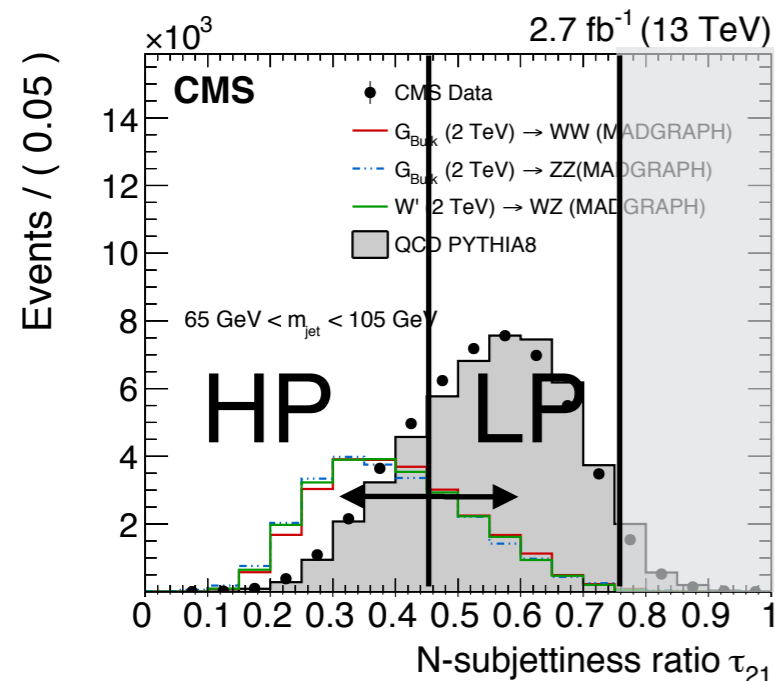
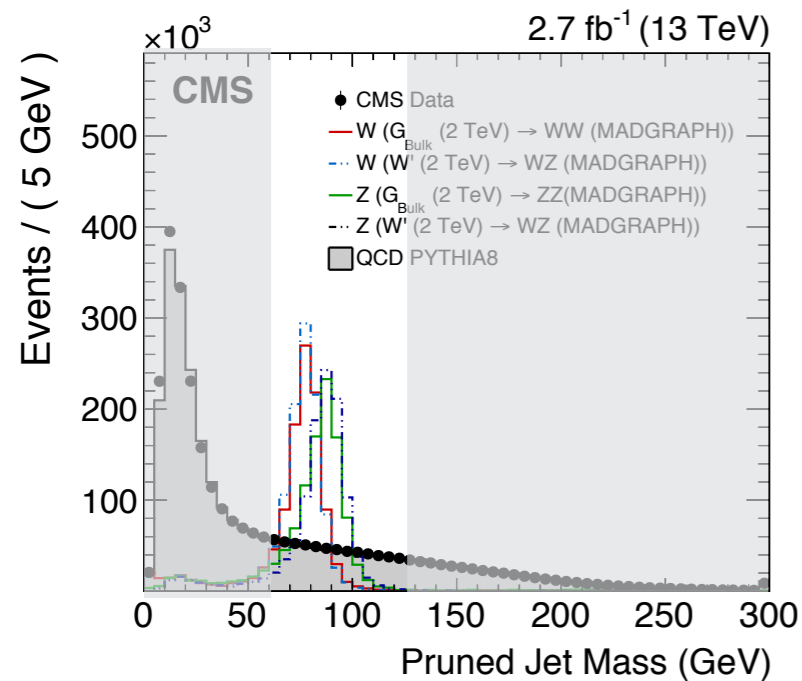
## W/Z-tagging: Pruning + $\tau_{21}$

arxiv:0912.0033

Pruned mass window optimised for best S/B and non-overlap with the Higgs boson

- $65 \text{ GeV} < M_{\text{Pruned}} < 105 \text{ GeV}$

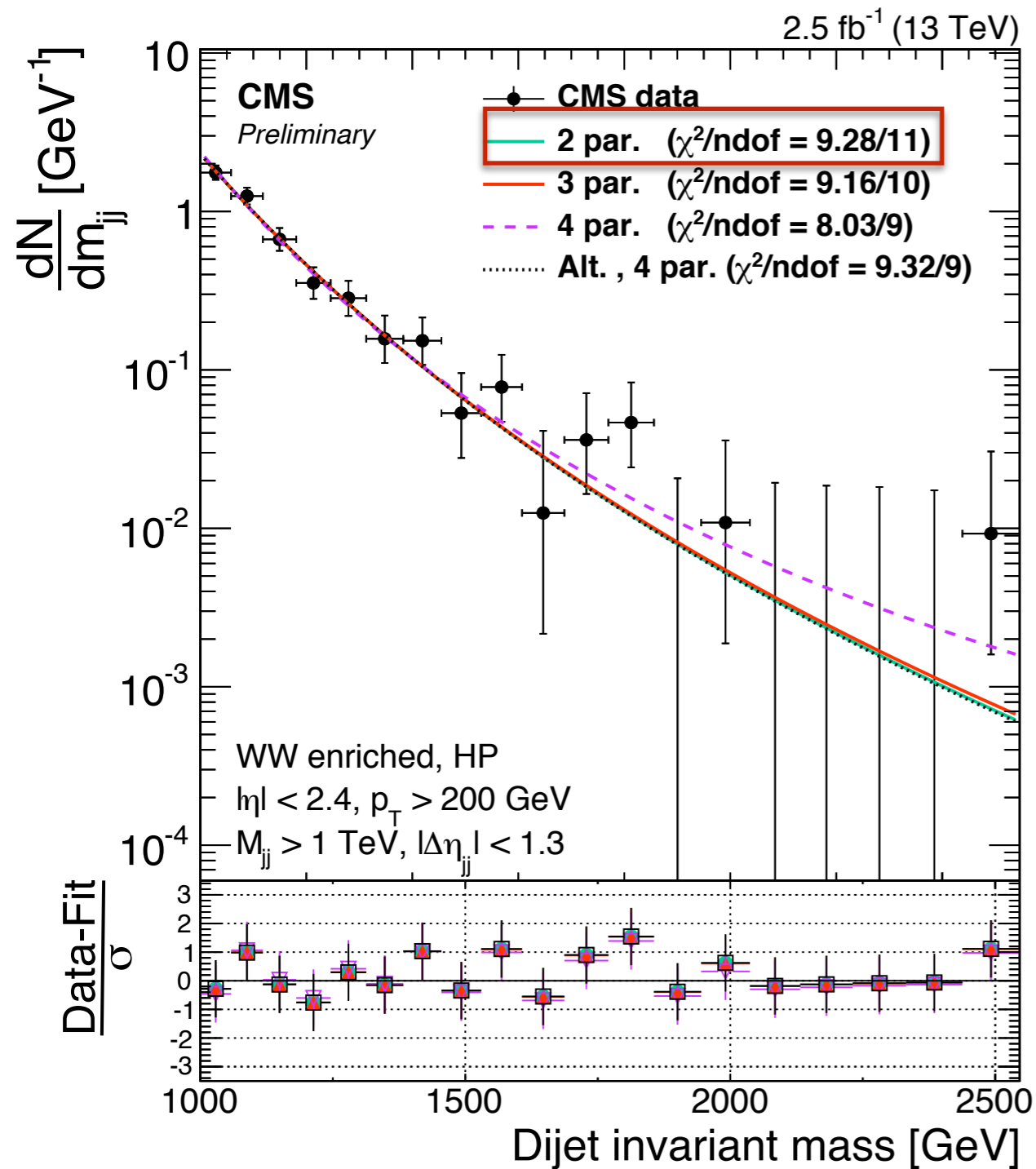
W/Z-tagger (pruning+  $\tau_{21}$ ):  
 ~55% efficiency  
 ~1-2% mistag rate



Two  $\tau_{21}$  analysis categories:

- High-purity:  $\tau_{21} \leq 0.45$   
(best possible S/B)
- Low-purity:  $0.45 < \tau_{21} \leq 0.75$   
(enhance sensitivity at high  $M_X$  where bkg is low)

# Background modelling



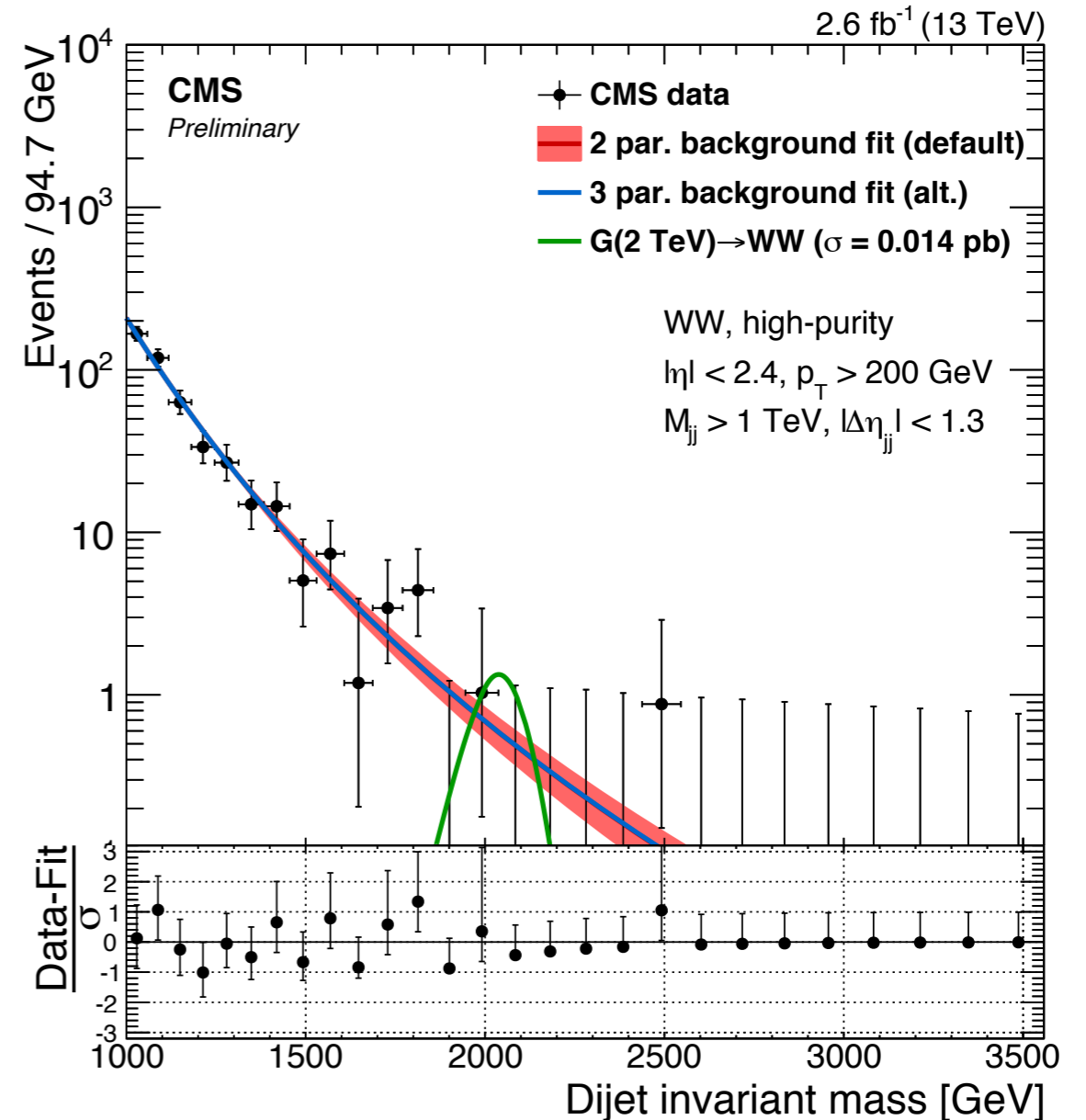
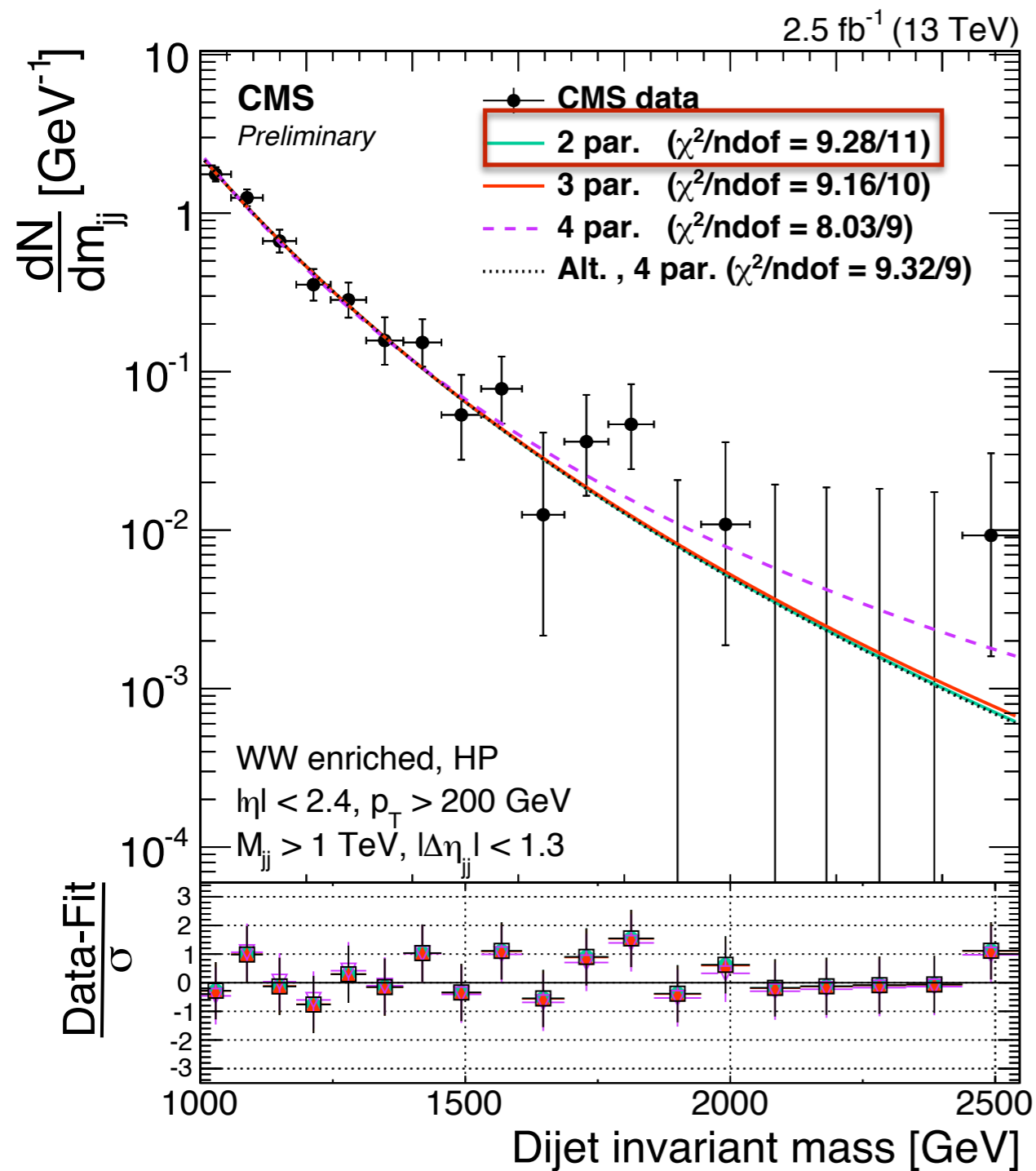
Background assumed to be described by smoothly falling function

$$\frac{d\sigma}{dm} = \frac{P_0(1 - m/\sqrt{s})^{P_1}}{(m/\sqrt{s})^{P_2}}$$

Function sufficient to describe background?

- F-test: Increase N parameters until no significant improvement (in data!)

# Background modelling

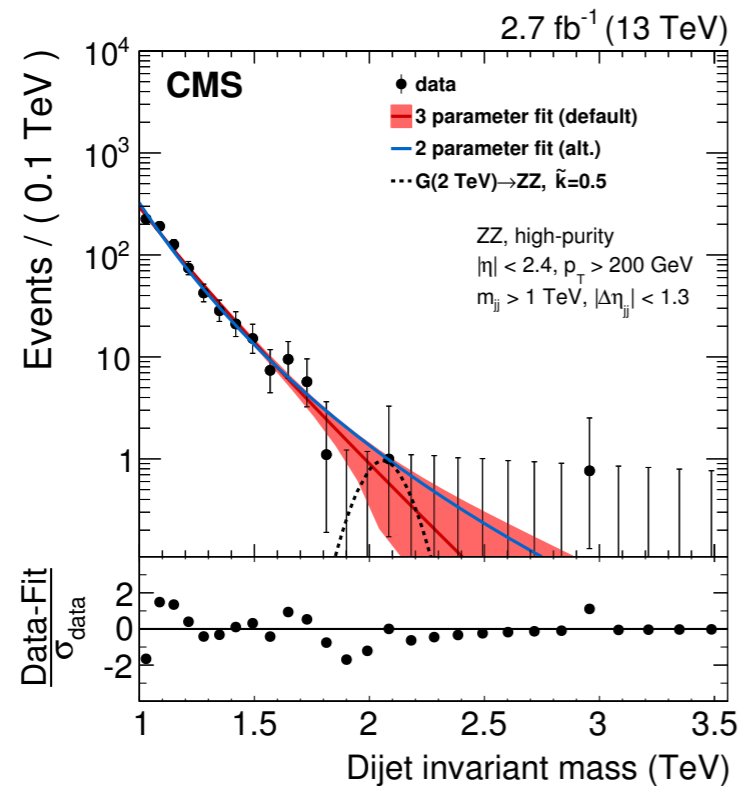


Check that alternate fit functions are within fit uncertainty of nominal fit

- taken as background PDF uncertainty

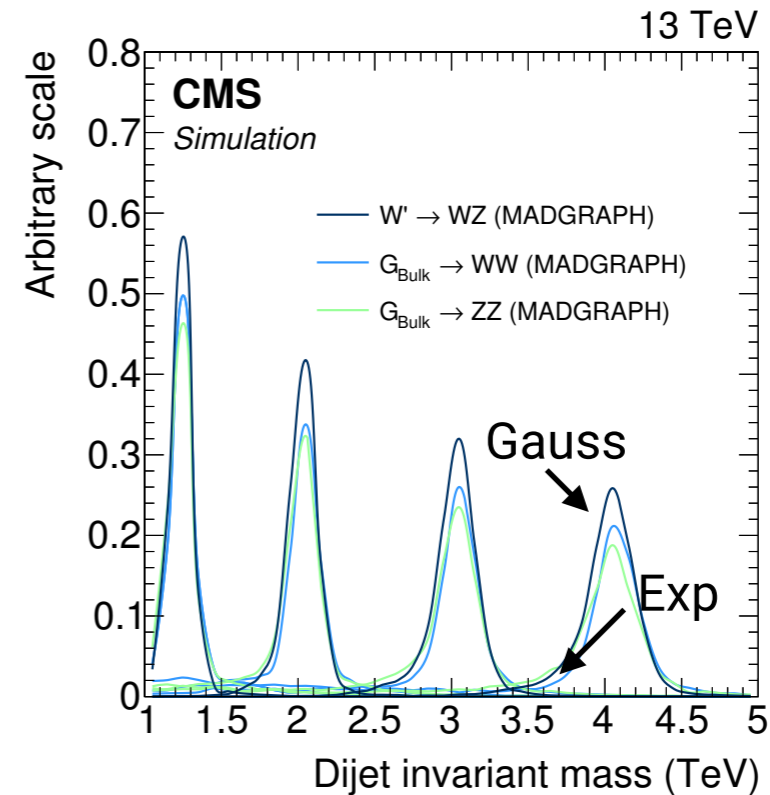
# Statistical interpretation

- Background assumed smoothly falling



Smoothness test of observed data

- Signal PDF extracted from MC

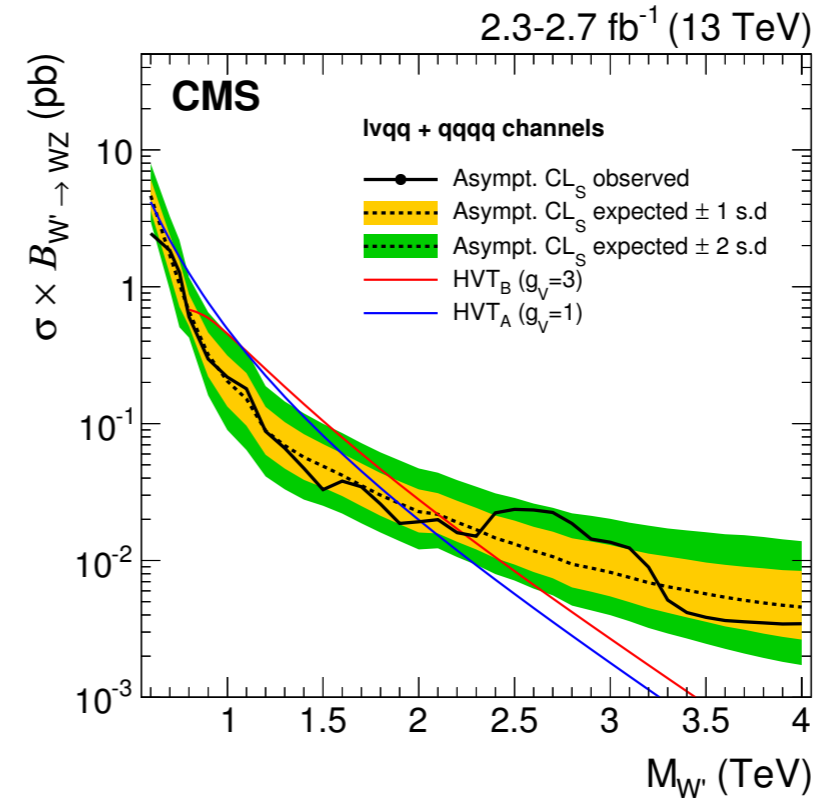
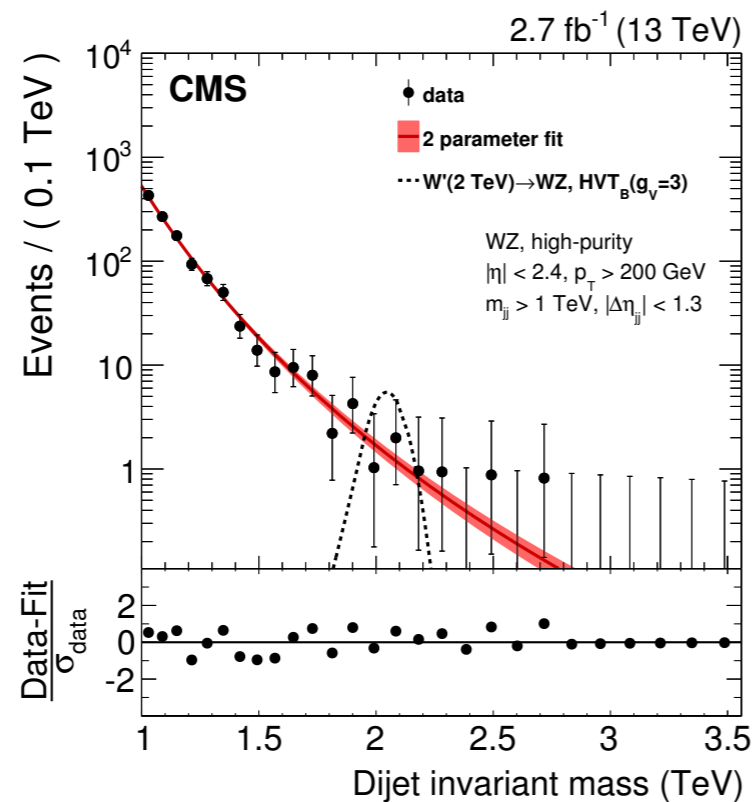


Composite models with Gaussian core and an exponential tail.

Hypothesis test by comparing fits of observed data with “background-only” and “background + signal” function.

- signal strength and background function parameters left floating

# Results



For maximum sensitivity, combined results with semi-leptonic search ( $VV \rightarrow \ell\nu qq$ )

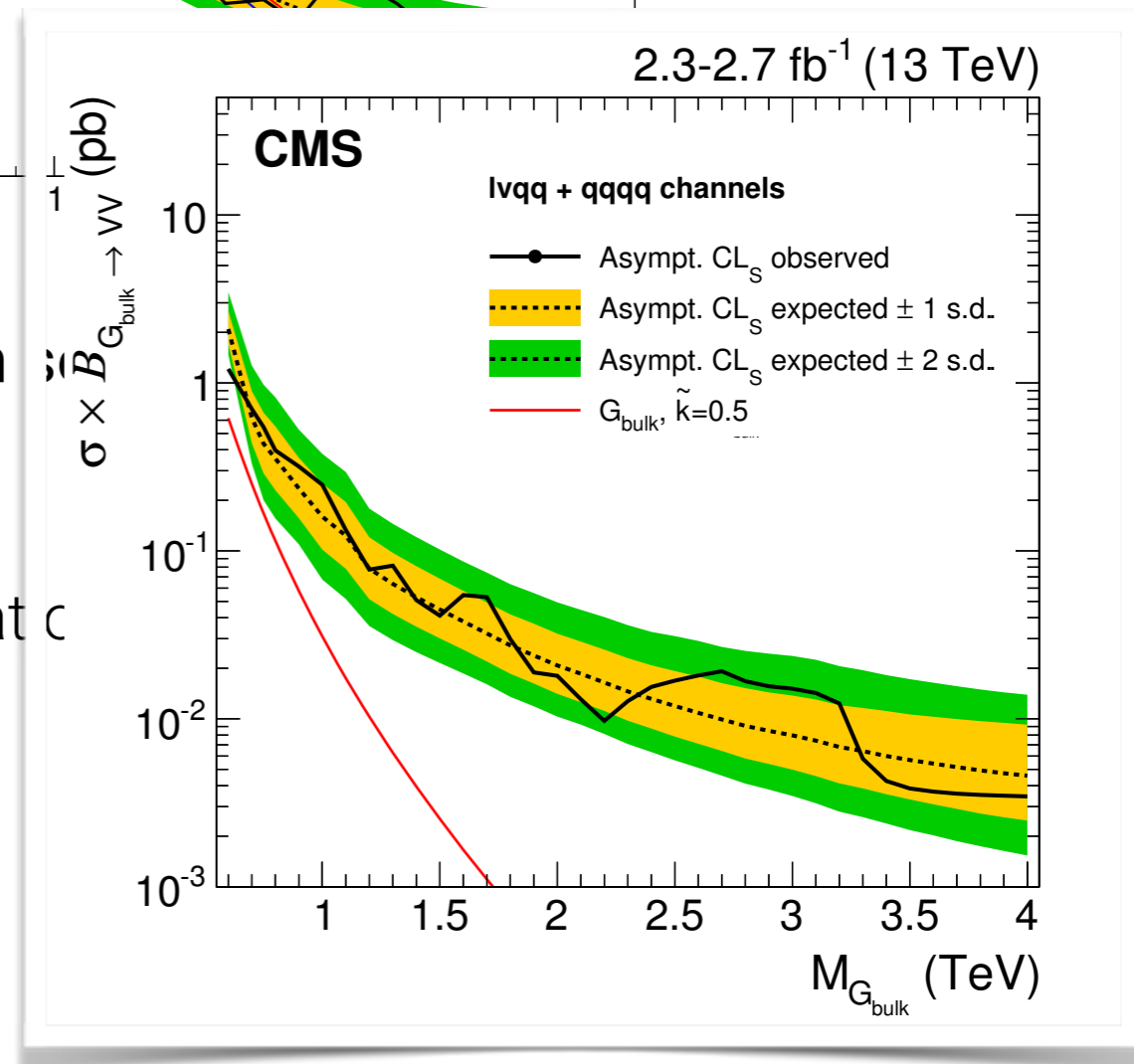
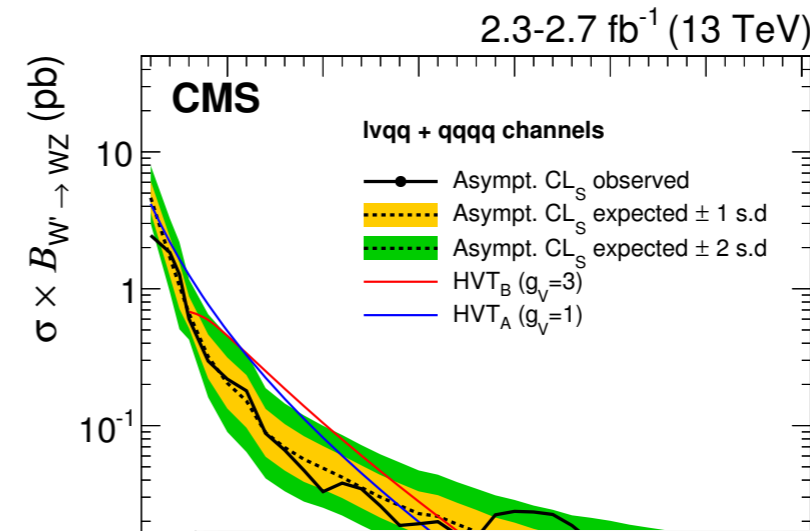
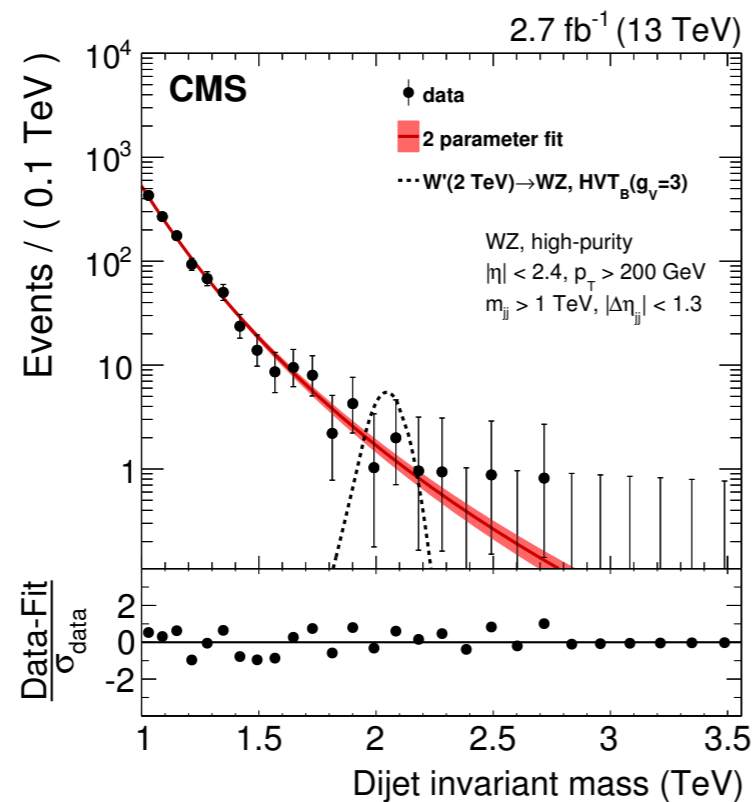
- <1.5 TeV:  $\ell\nu qq$  dominant, less background
- > 1.5 TeV: dijet dominant, higher branching ratio

Just exclude 2 TeV excess for  $W' \rightarrow WZ$ !

However, other signals far from excluded!



# Results



For maximum sensitivity, combined results with ATLAS:

- < 1.5 TeV:  $\ell\nu qq$  dominant, less background
- > 1.5 TeV: dijet dominant, higher branching ratio

Just exclude 2 TeV excess for  $W' \rightarrow WZ$ !

However, other signals far from excluded!

# Interlude: Softdrop

Developments on the theory front:

## Softdrop ( $\beta=0$ )

- Remove all soft emission

- decluster with C-A, remove subjet if

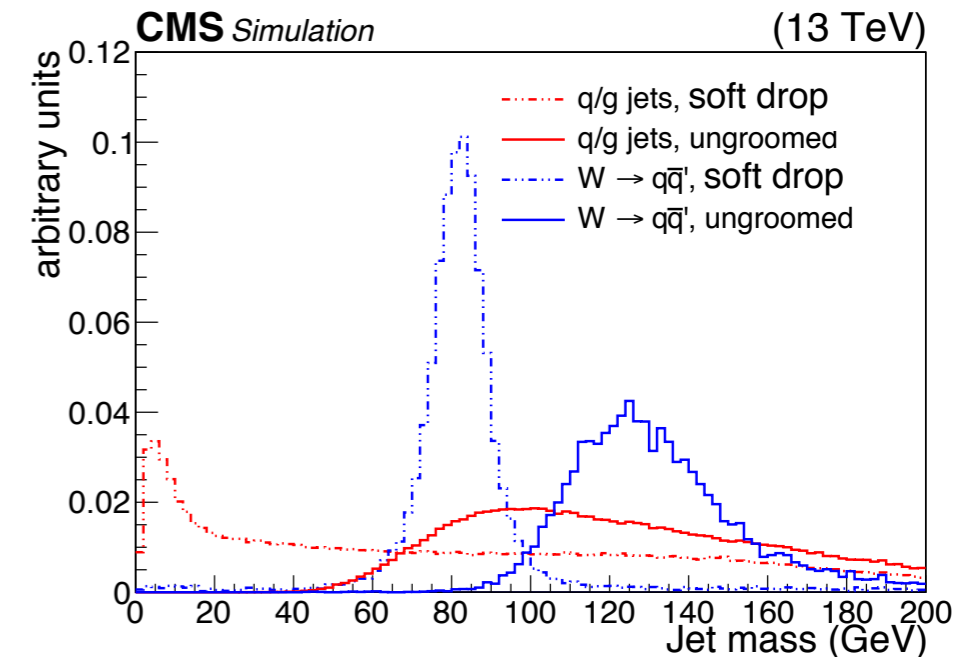
$$\frac{\min(p_{T1}, p_{T2})}{p_{T1} + p_{T2}} < \boxed{0.1} \quad \leftarrow Z_{\text{cut}}$$

[arxiv:1402.2657](https://arxiv.org/abs/1402.2657)

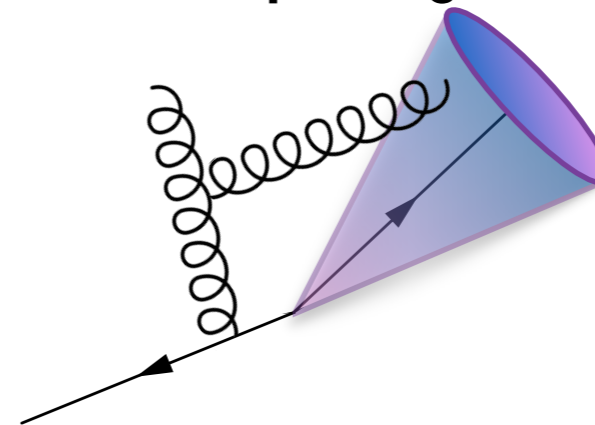
[arXiv:1307.0007](https://arxiv.org/abs/1307.0007)

Pruning NOT completely soft radiation free.  
Non global logarithmic terms (NGLs) in mass  
 → not “perturbatively robust”

- Softdrop removes all sensitivity to soft divergences, only groomer which is theoretically calculable!

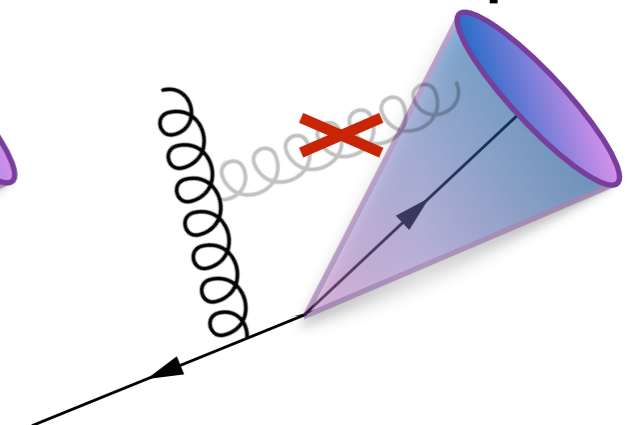


**After pruning:**



Soft gluon radiating into jet not removed

**After softdrop:**

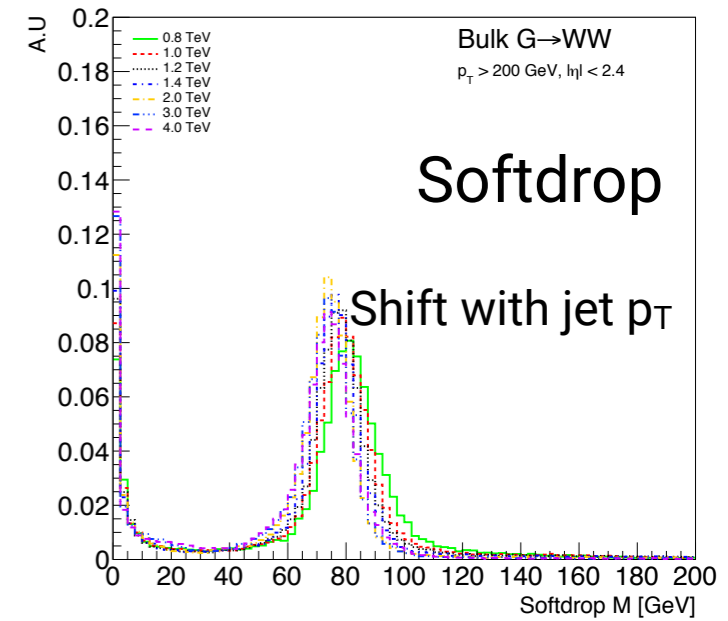
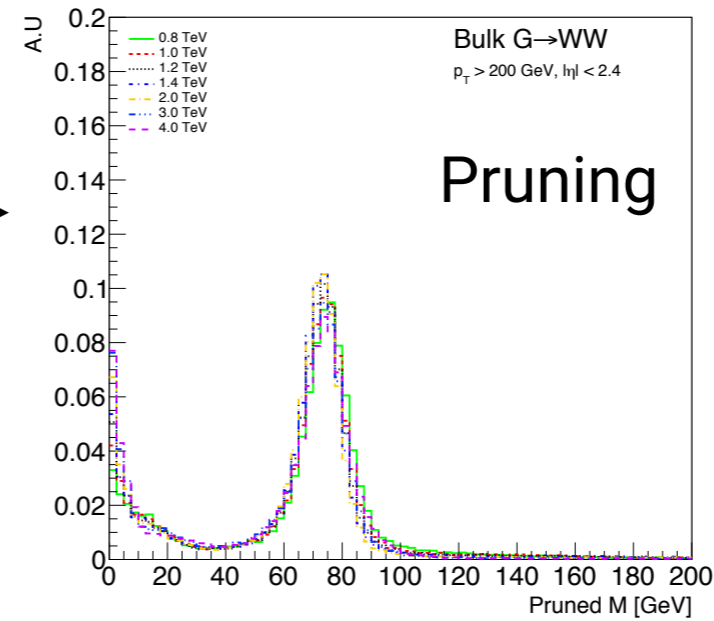


All soft radiation removed → no non-global logs!

# Interlude: Softdrop

However,

- found softdrop mass for signal jets highly  $p_T$  dependent!



# Interlude: Softdrop

However,

- found softdrop mass for signal jets highly  $p_T$  dependent!

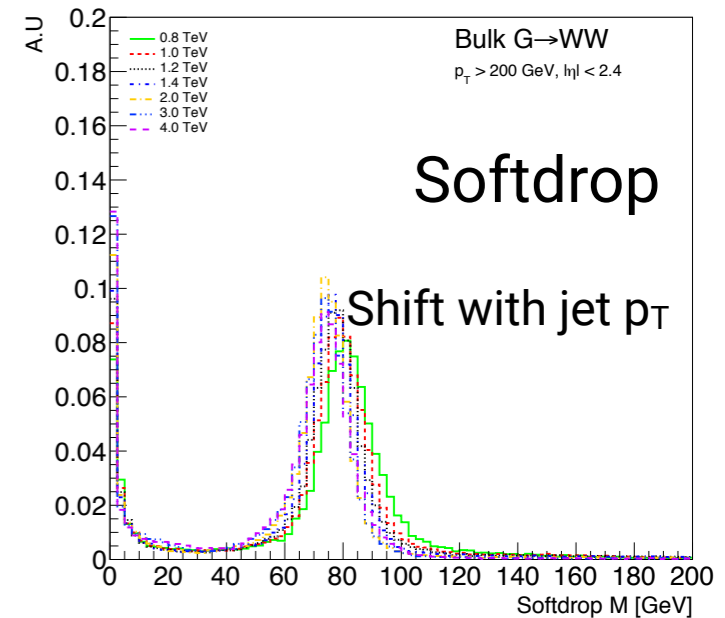
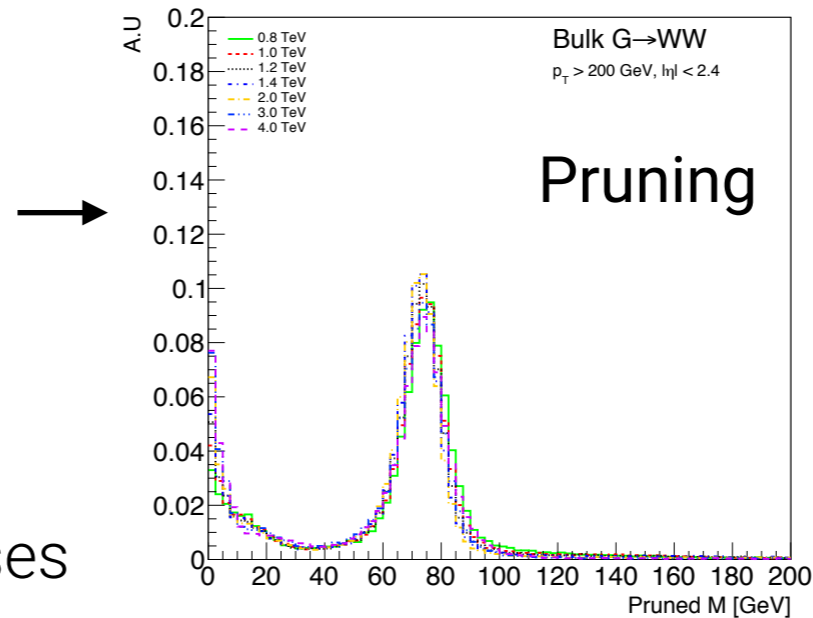
Due to increased sensitive to UE

- softdrop effective radius increases as jet  $p_T$  decreases

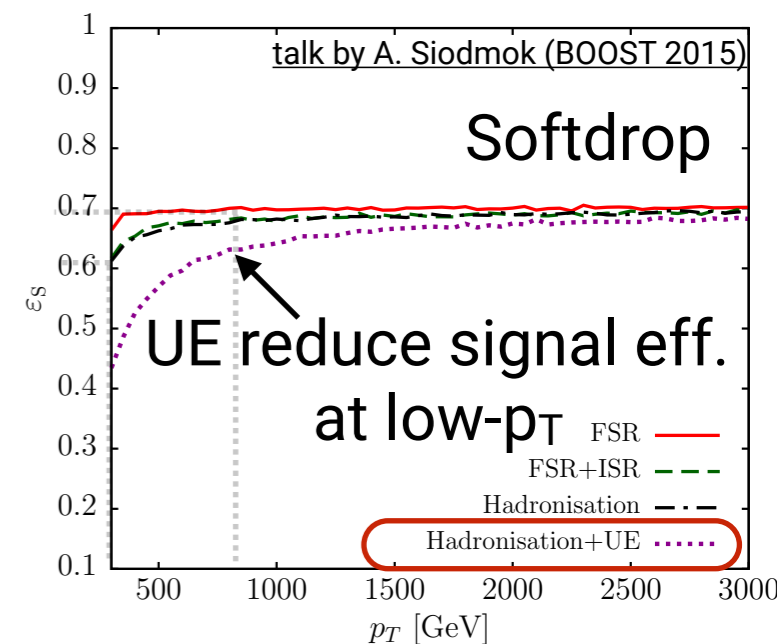
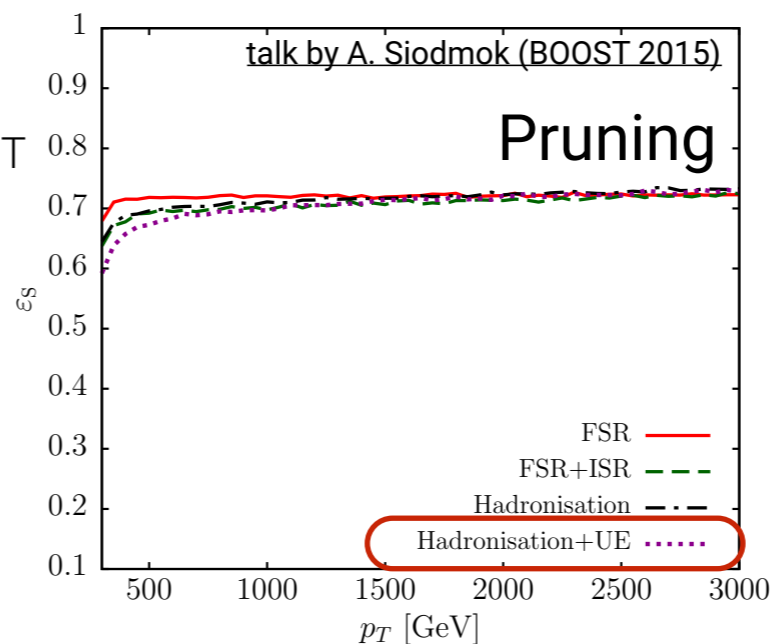
$$\propto m_V / p_T \sqrt{z_{cut}(1 - z_{cut})}$$

(for pruning,  $\propto m_V / p_T$ )

- Absorb more radiation at low- $p_T$



## Vector boson tagging efficiency vs. $p_T$ (Herwig++)



# Interlude: Softdrop

However,

- found softdrop mass for signal jets highly  $p_T$  dependent!

Due to increased sensitive to UE

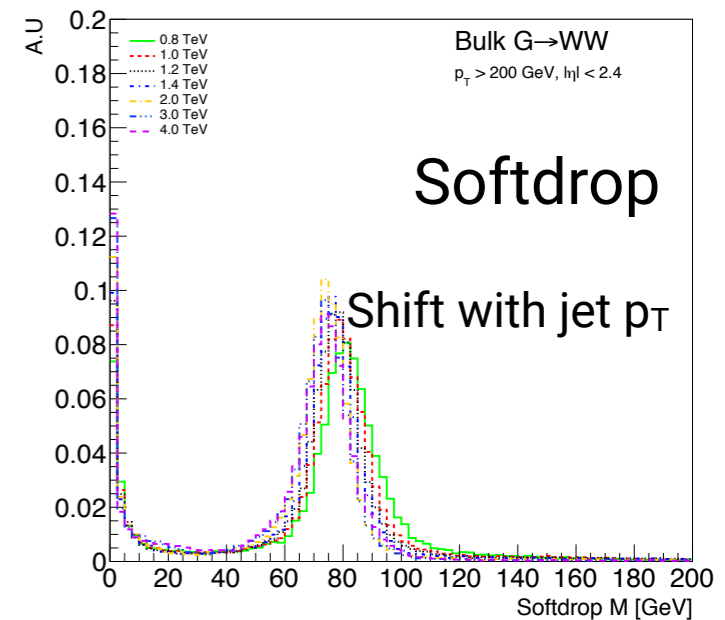
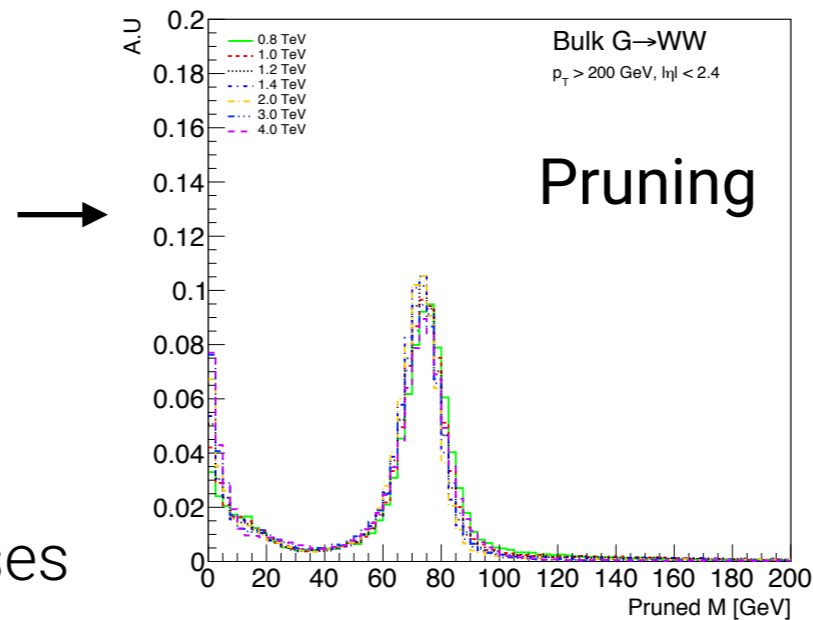
- softdrop effective radius increases as jet  $p_T$  decreases

$$\propto m_V / p_T \sqrt{z_{cut}(1 - z_{cut})}$$

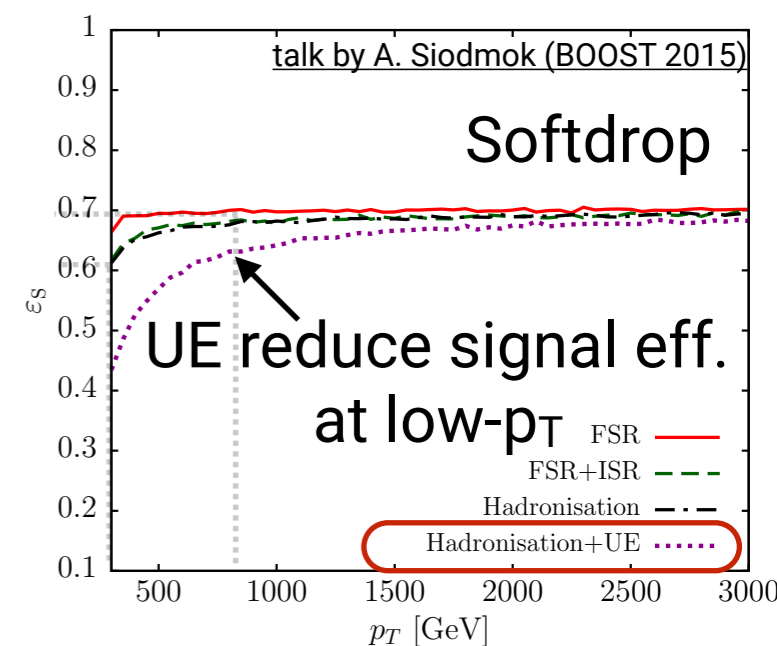
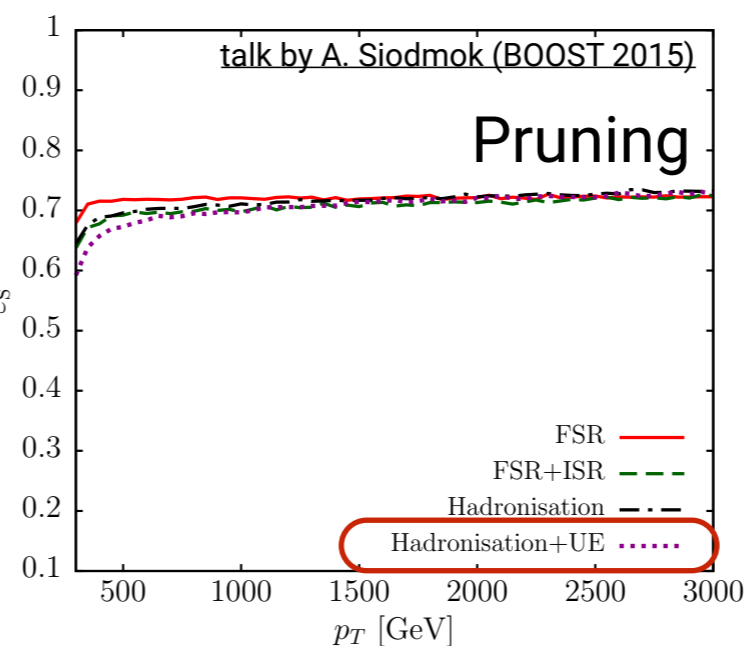
(for pruning,  $\propto m_V / p_T$ )

- Absorb more radiation at low- $p_T$

Need better pileup/UE subtraction!



## Vector boson tagging efficiency vs. $p_T$ (Herwig++)



# Interlude: PUPPI

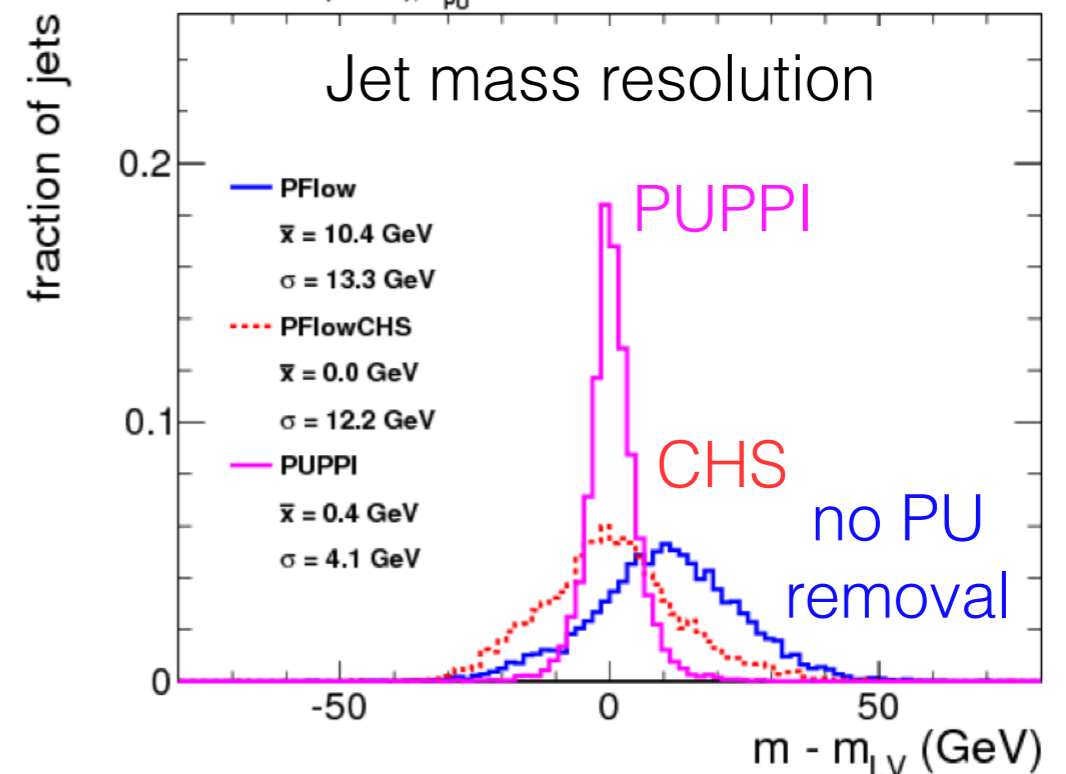
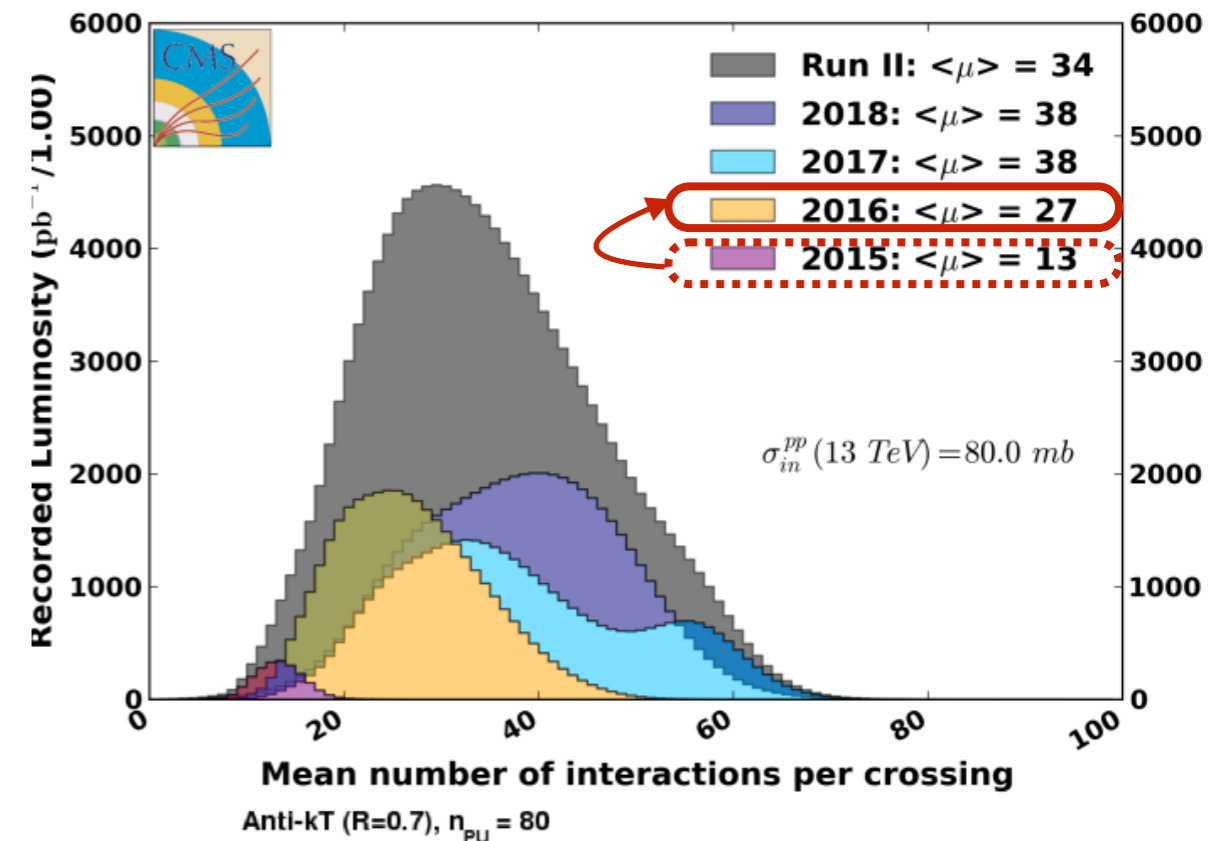
Unfortunately, pileup in 2016 expected to be double that of 2015!

Fortunately,  
PileUp Per Particle Identification (PUPPI)

- **CHS (old)**: remove charged hadrons not associated with primary vertex
- **PUPPI (new)**: probability for ANY particle (neutral+charged) to be from pileup, reweights each accordingly

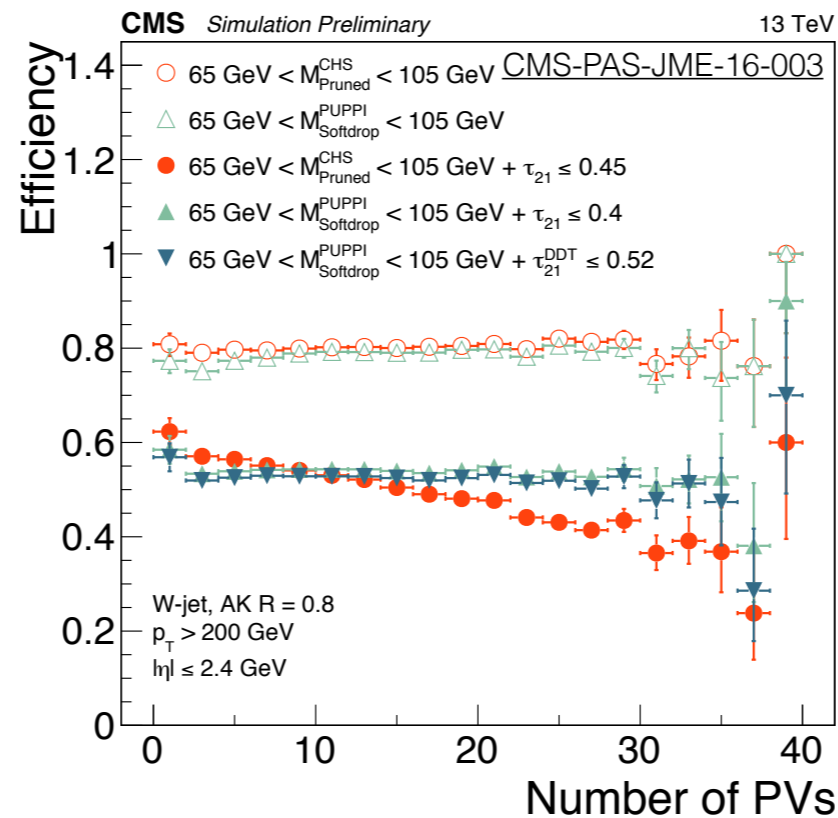
Huge resolution improvement for jet observables in large-cone jets

CMS Average Pileup (pp,  $\sqrt{s}=13$  TeV)



# Search II: A novel pileup resistant, perturbative safe tagger

Published in PRD, DOI: 10.1103/PhysRevD.97.072006; CMS-PAS-B2G-16-021; CMS-PAS-JME-16-003



~ First analysis to use the PUPPI+softdrop algorithm; optimizing and commissioning new tagger in the process (now default for W-tagging in CMS). Adding new analysis never before explored at 13 TeV:  $q^* \rightarrow qV$ . Published with the full 2016 dataset,  $35.9 \text{ fb}^{-1}$

# Developing a new V-tagger: Softdrop mass corrections

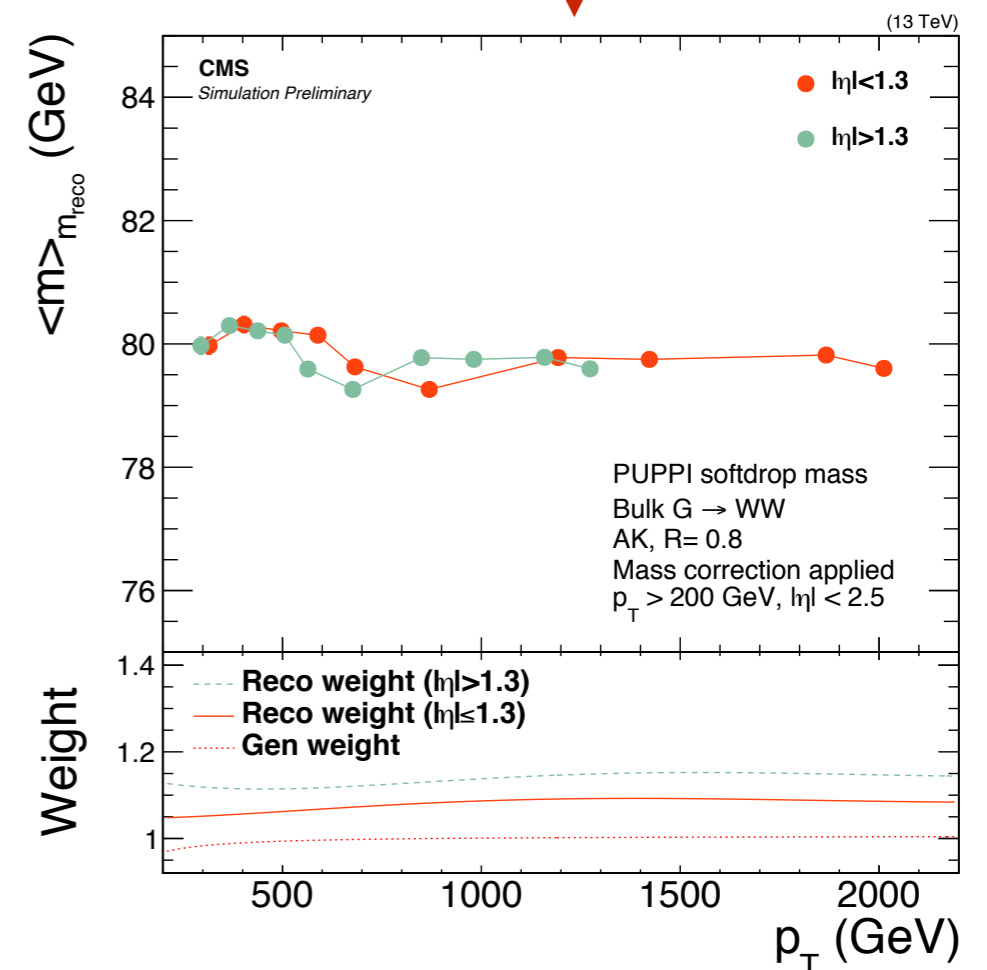
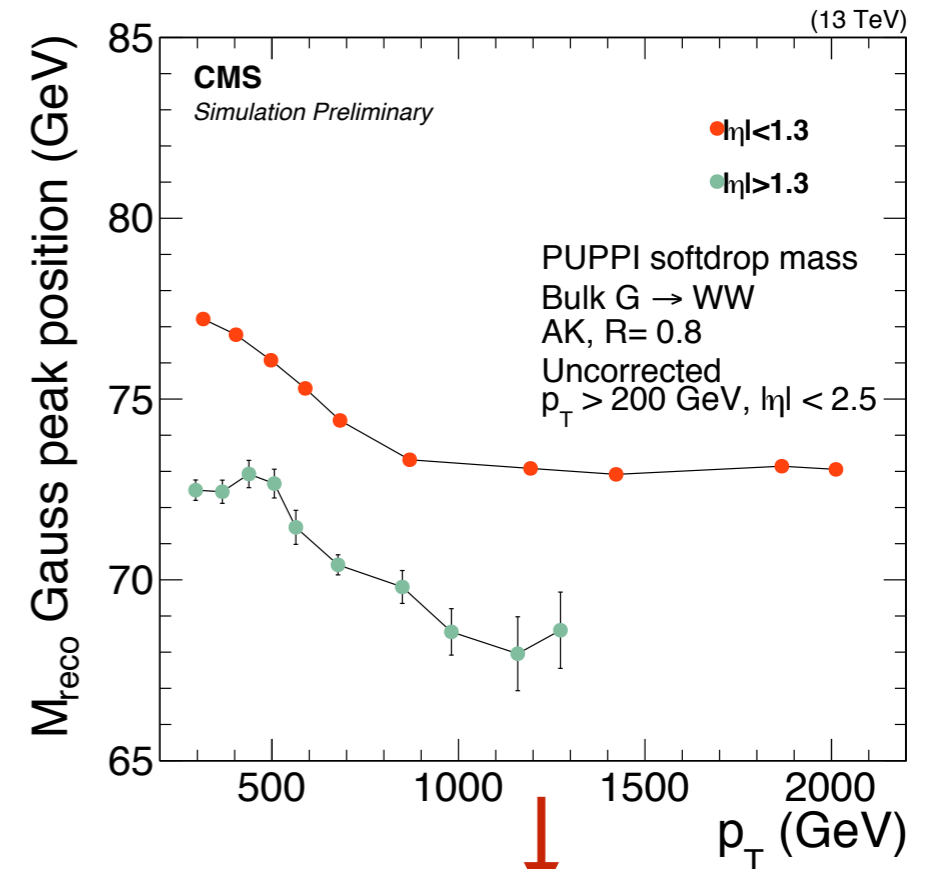
With PUPPI, was softdrop saved?

- Better, but still residual  $p_T$  dependence
- Enhanced when applying standard CMS jet energy corrections

Solution: Compute dedicated PUPPI softdrop jet mass scale corrections

- remove  $p_T/\eta$ -dependence, shift mass to 80 GeV

Finally stabile softdrop mass peak

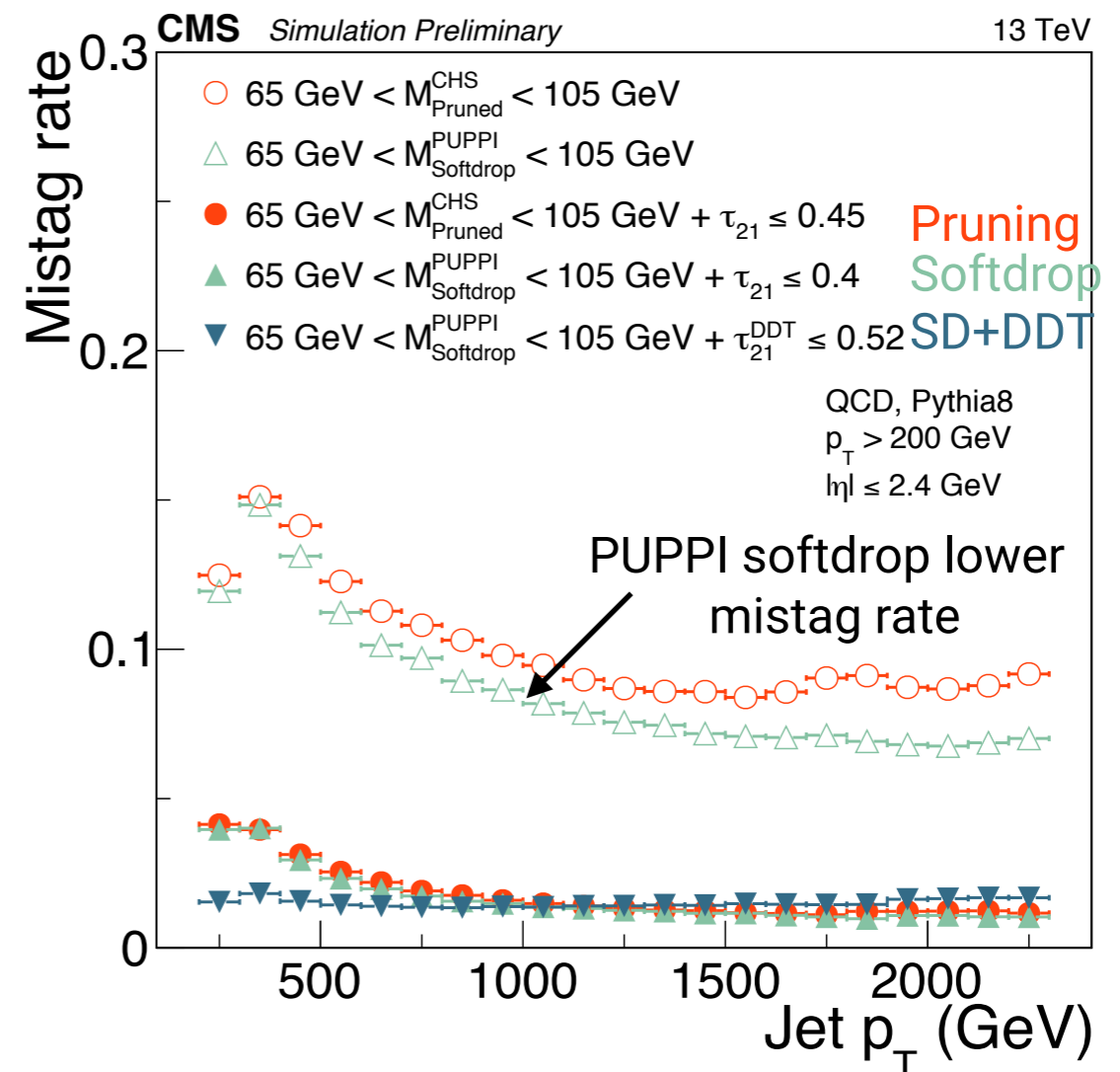
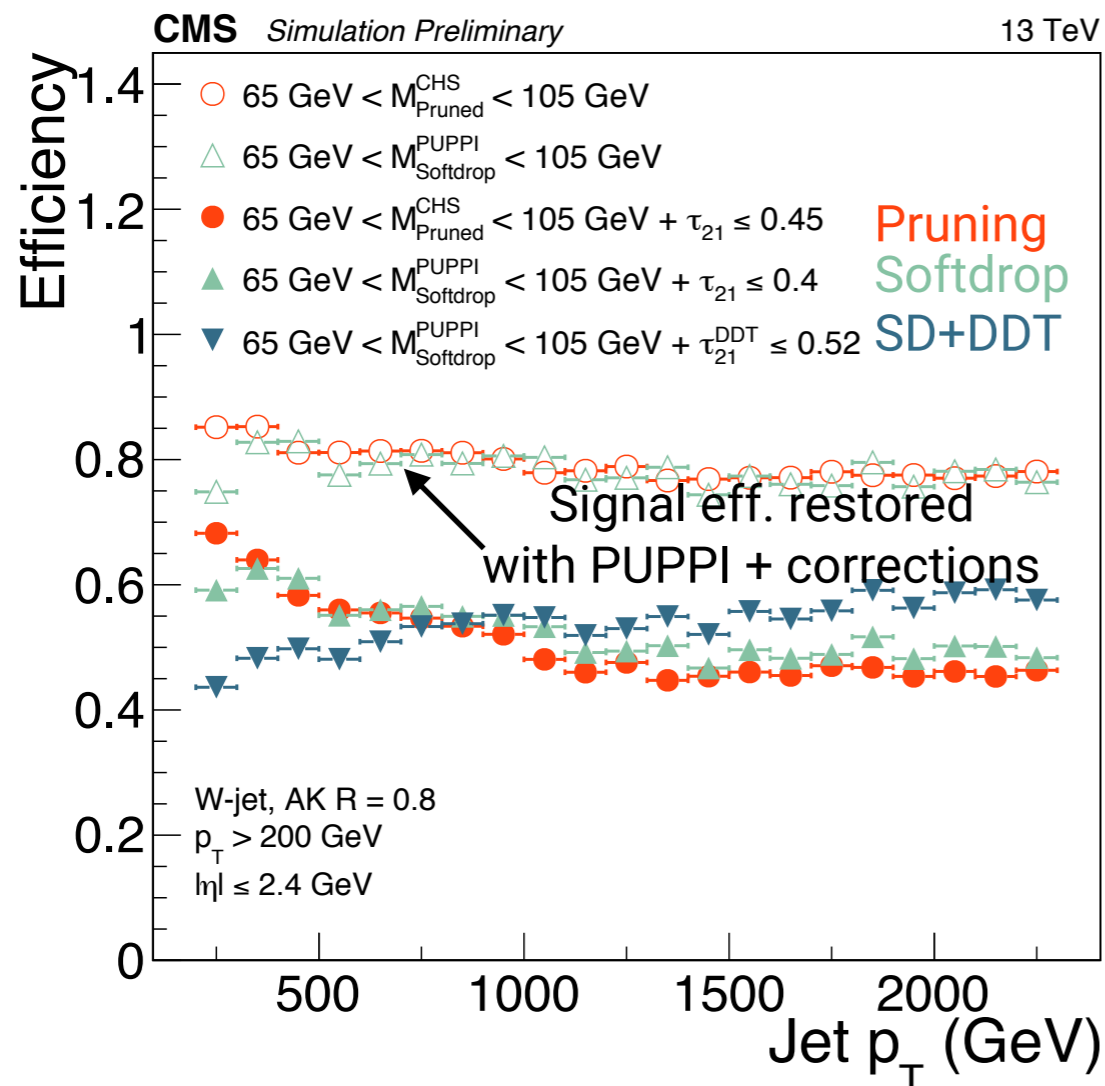




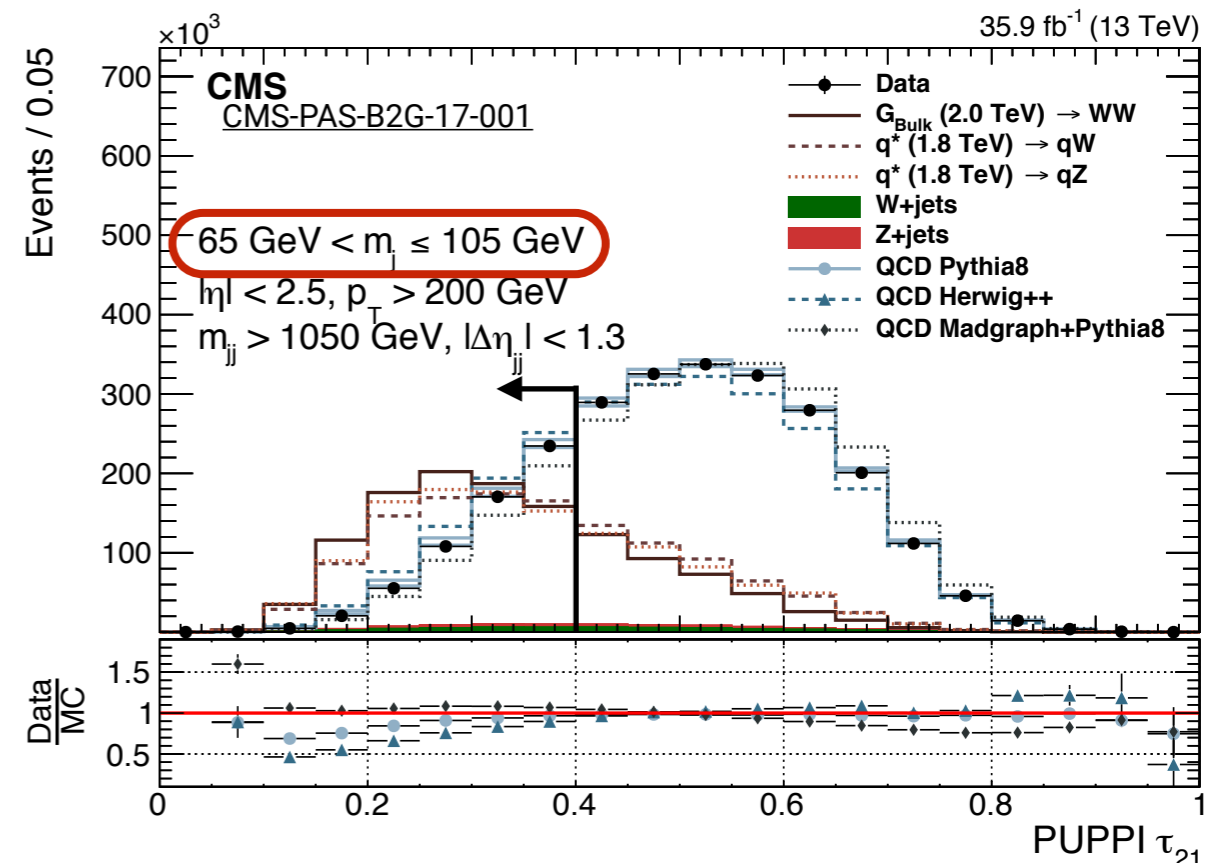
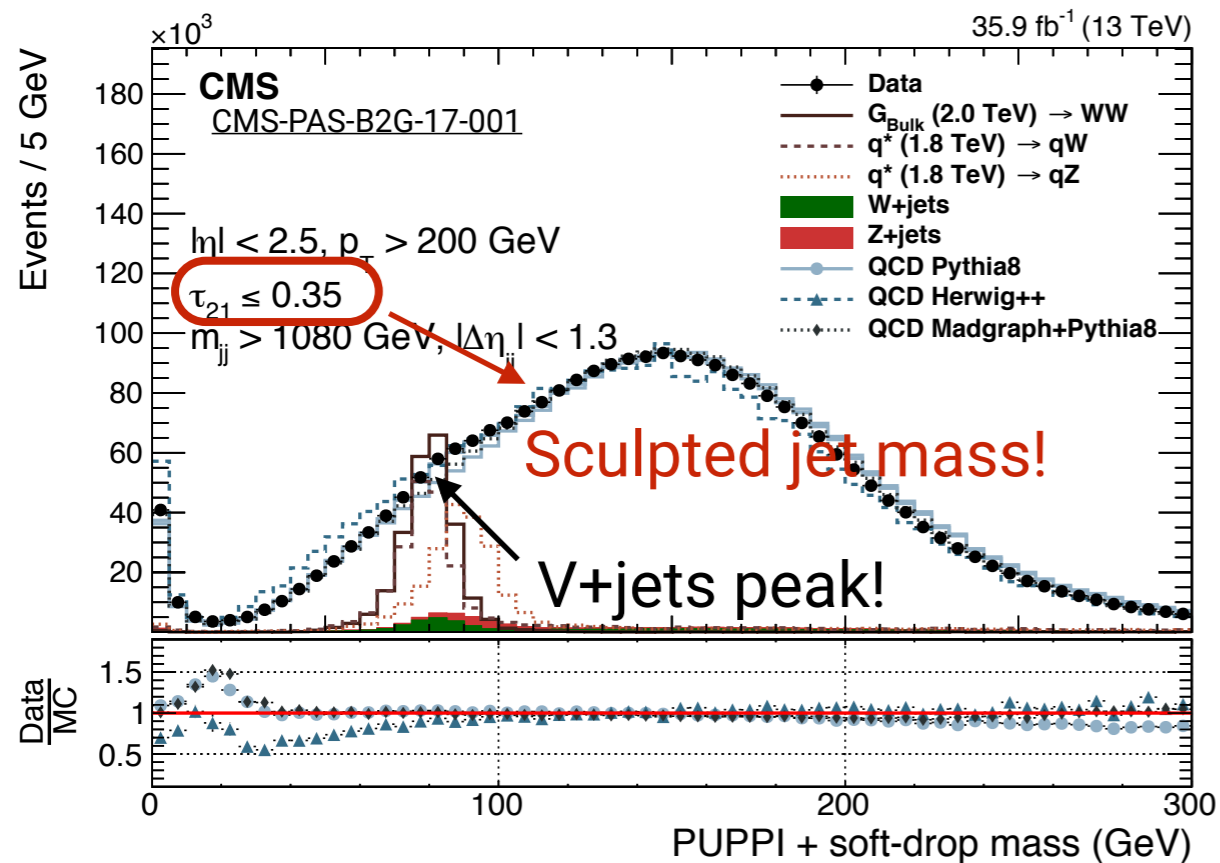
# Developing a new V-tagger: Performance

## Compare 3 taggers

- Pruning +  $\tau_{21}$
- PUPPI Softdrop +  $\tau_{21}$
- PUPPI Softdrop +  $\tau_{21}^{\text{DDT}}$   $\rightarrow$  linear transformation of  $\tau_{21}$  decorrelated from  $m/p_T$



# Developing a new V-tagger: Performance in data

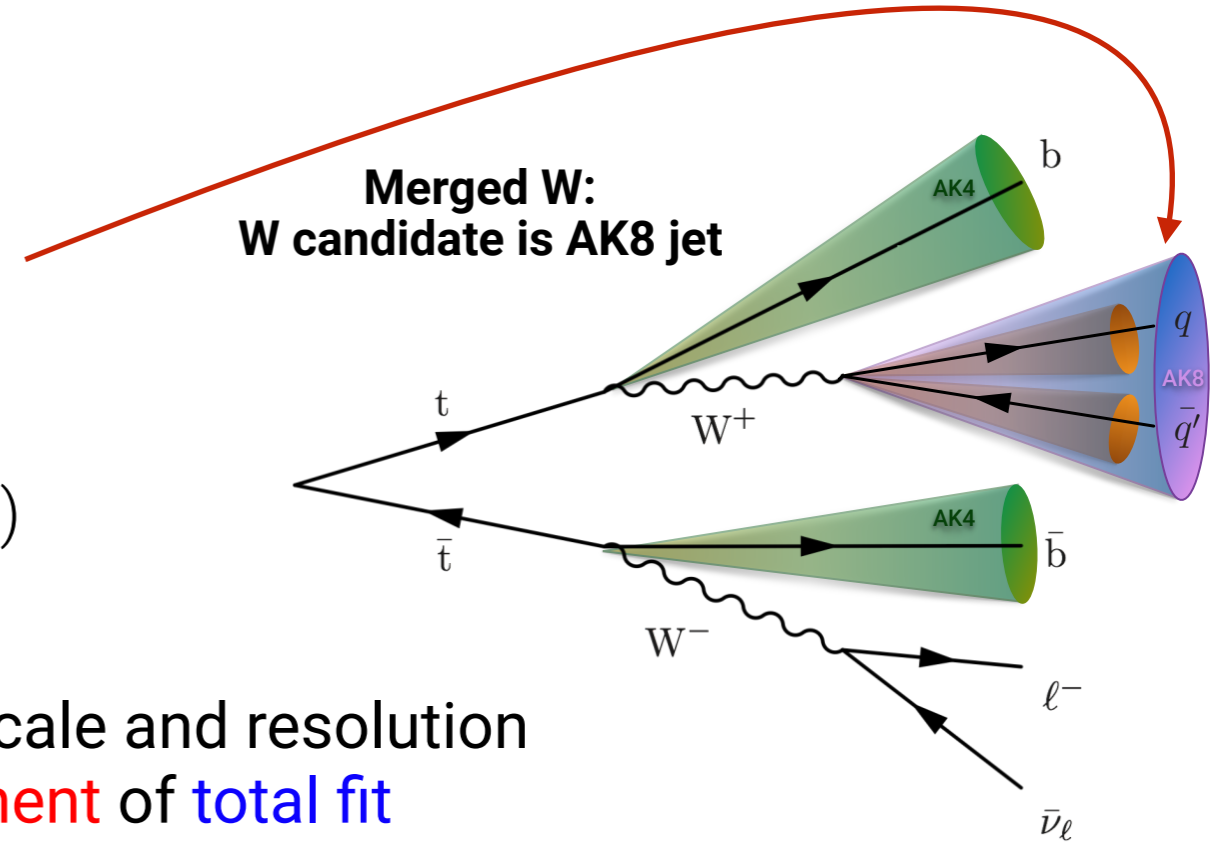


- Substructure variables strong dependence on shower generator
- Need to ensure we know real signal eff. in data from region well described by MC!

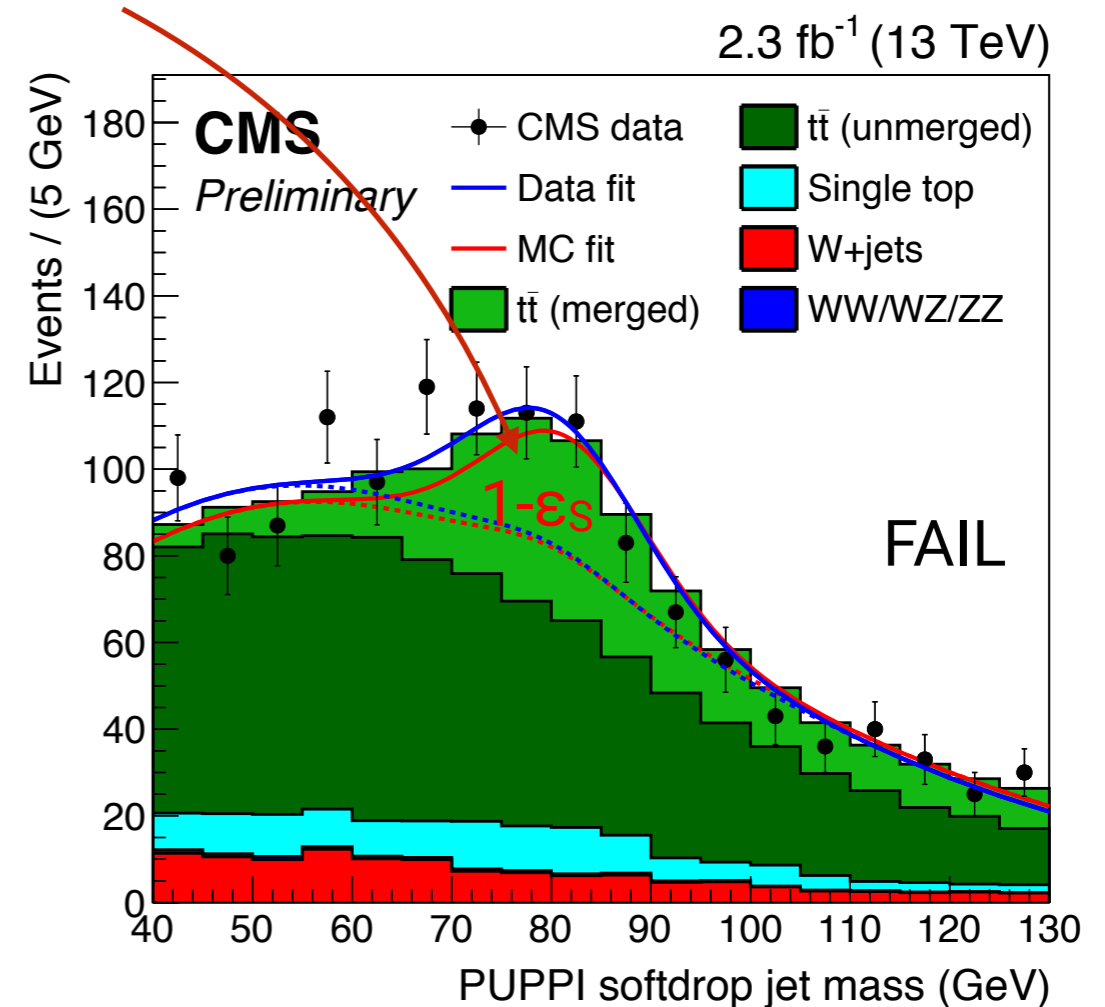
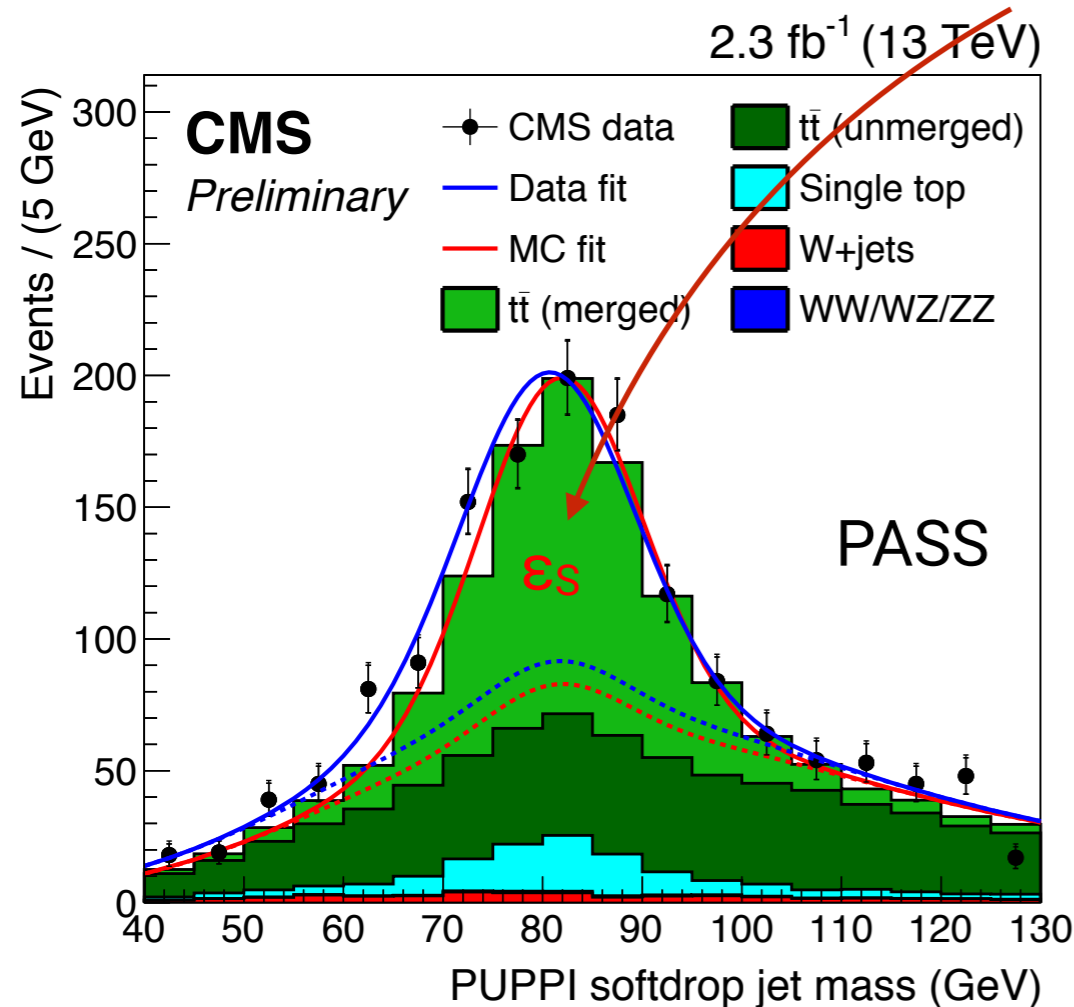
# Developing a new V-tagger: Efficiency scalefactors

Efficiency ( $\epsilon_s$ ), jet mass scale and resolution estimated in semileptonic  $t\bar{t}$

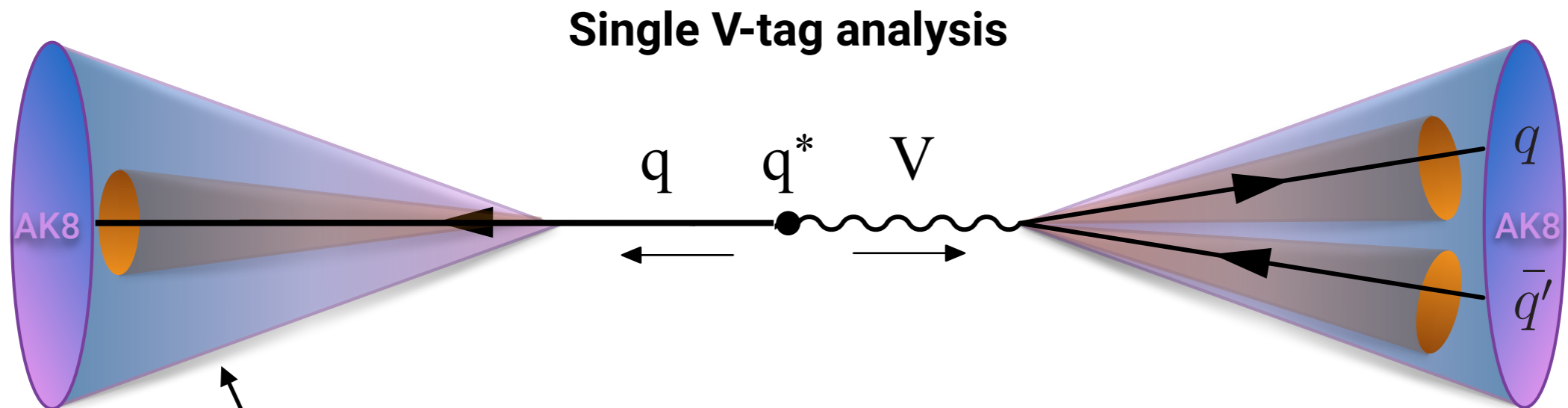
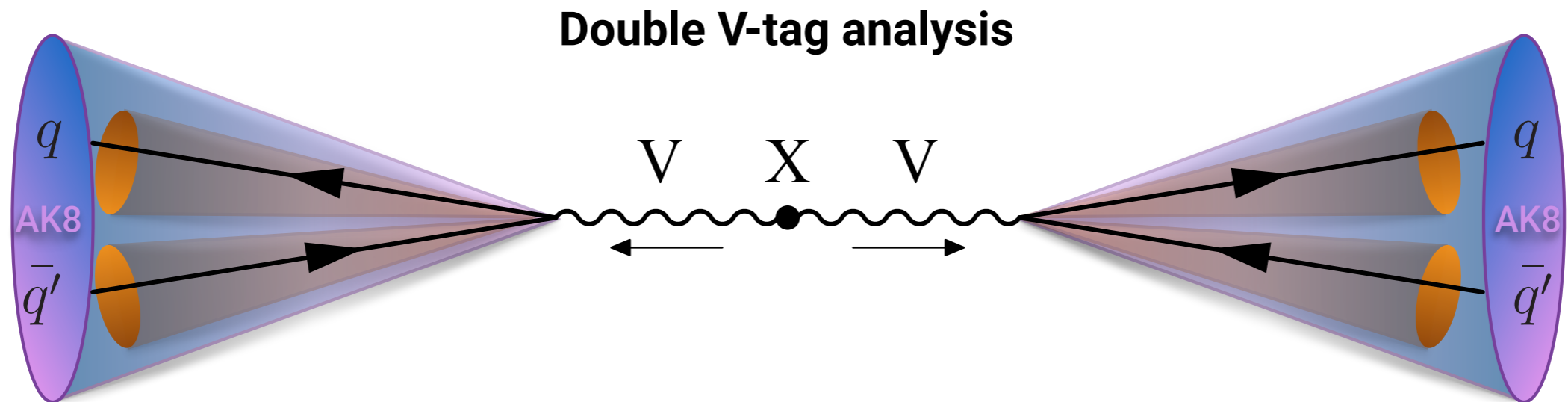
- Simultaneous fit of  $\tau_{21}$  pass( $<X$ ) and fail ( $>X$ )



Signal efficiency, jet mass scale and resolution from **Gaussian component** of **total fit**

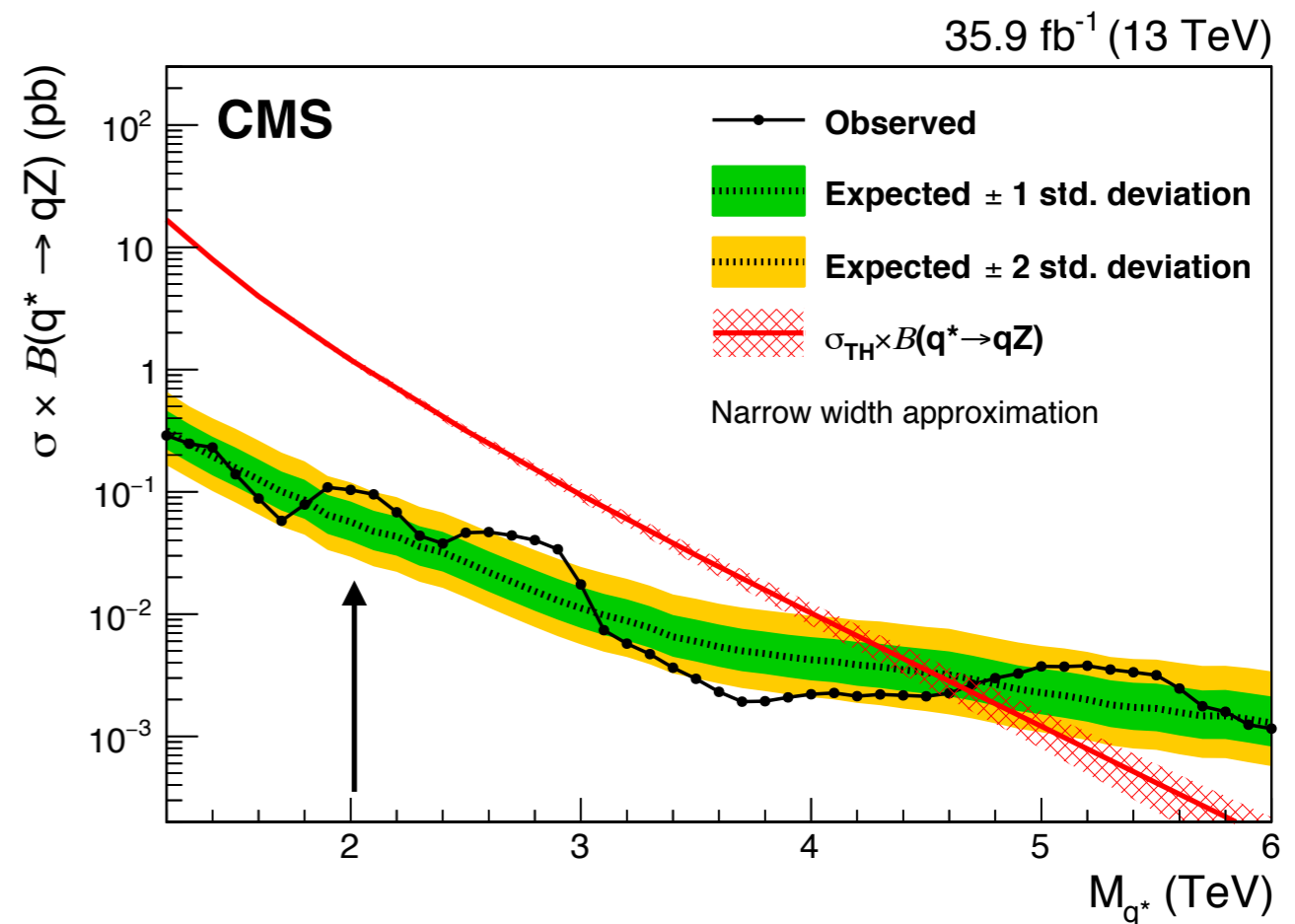
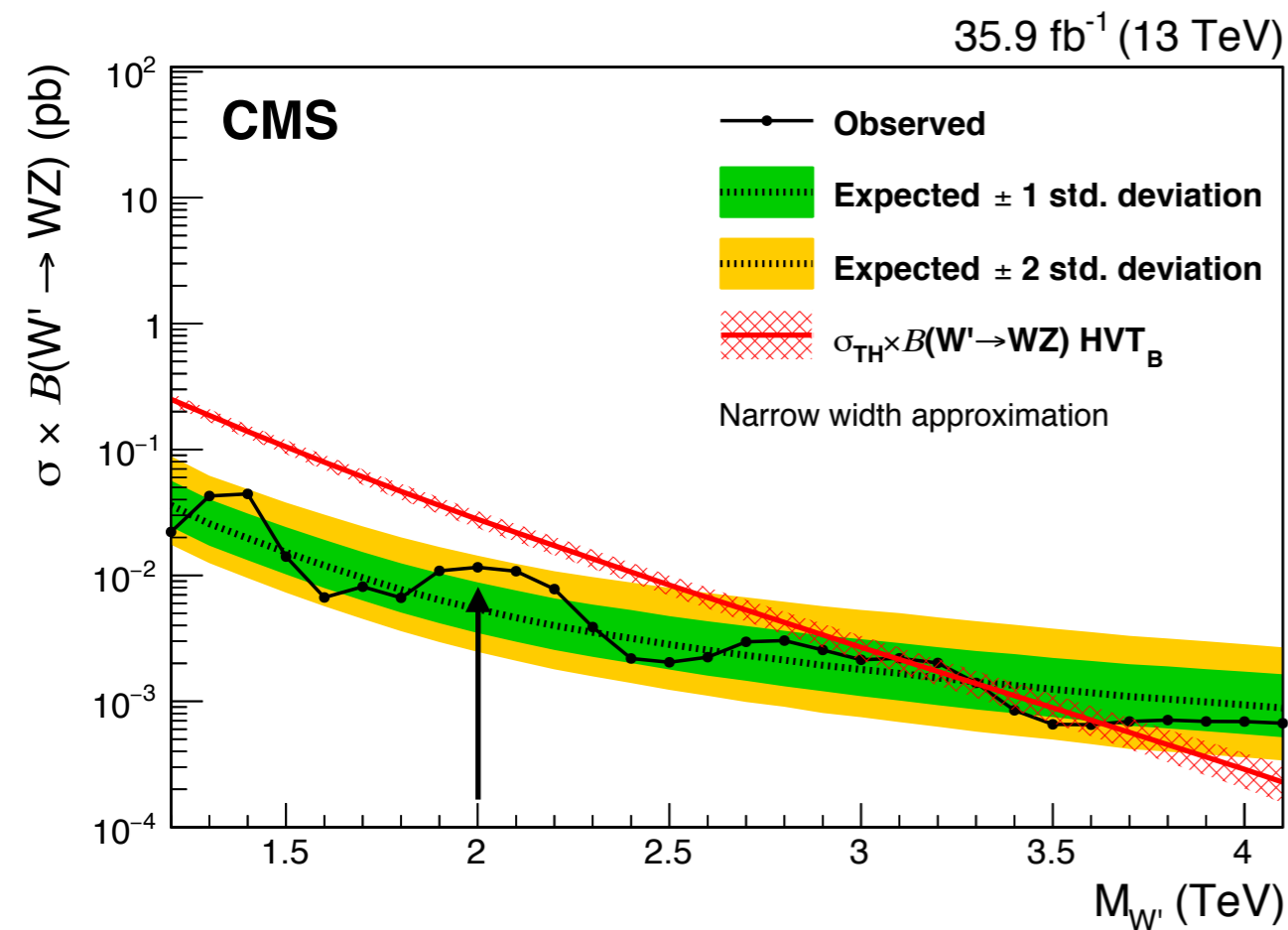


# Analysis strategy



Adding search for excited quarks decaying to  $qV$  by removing W-tag.  
Never before analysed channel at 13 TeV!

# Results



Excluding vanilla signal models (BulkG, V', q\*), but still see (statistically insignificant) enhancements around 2-3 TeV in qV and VV.

What now?

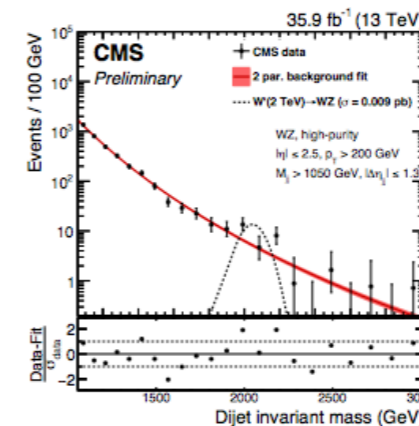
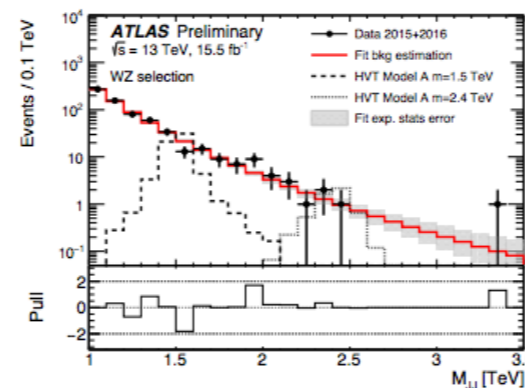
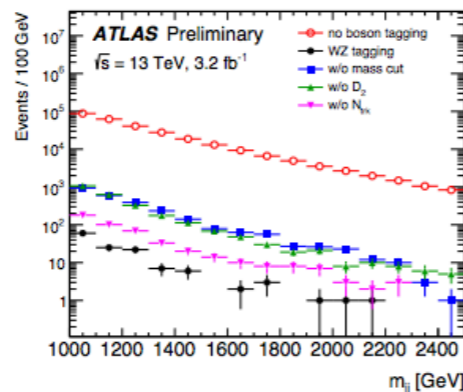
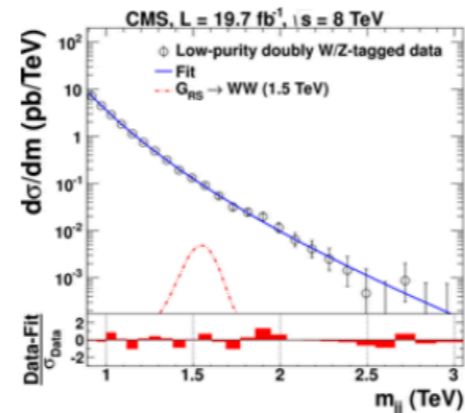
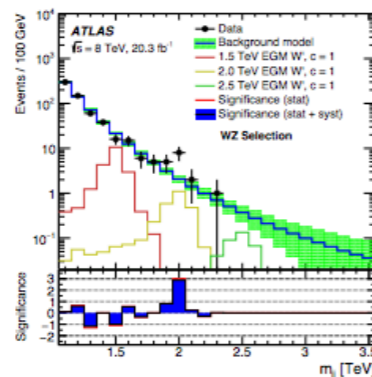
# Interlude: Stealth bosons

Slide from J.A Aguilar-Saavedra:  
“Stealth bosons and where to find them”  
 (BOOST 2018)

## Motivation for all this stuff

Several little bumps near 2 TeV in hadronic diboson resonance searches

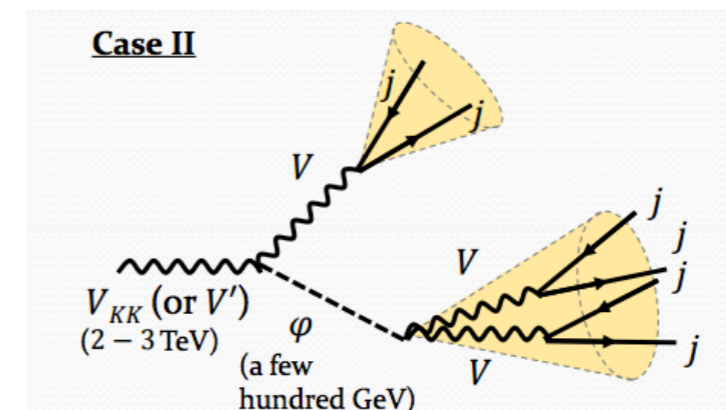
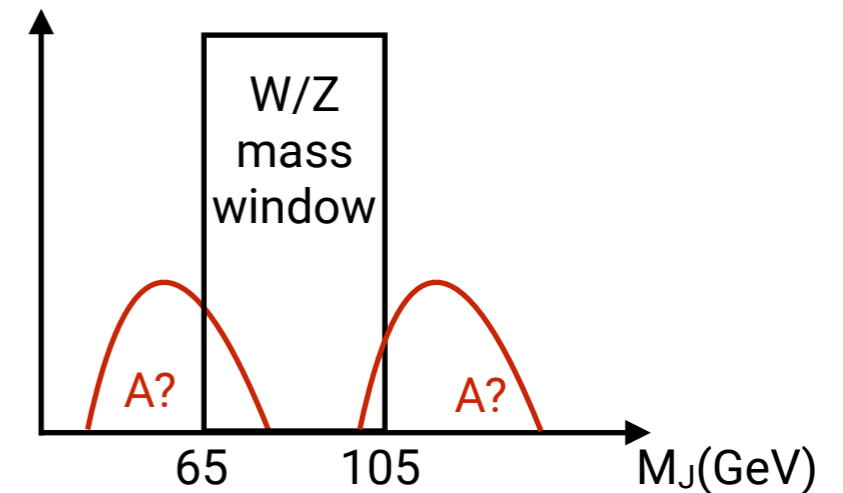
Obviously not diboson → think of something else, more elusive



# Interlude: Stealth bosons

What if tiny excesses were not due to diboson resonances, but something else

- catching tail of other non-SM boson?
- not necessarily 2-, but N-pronged?

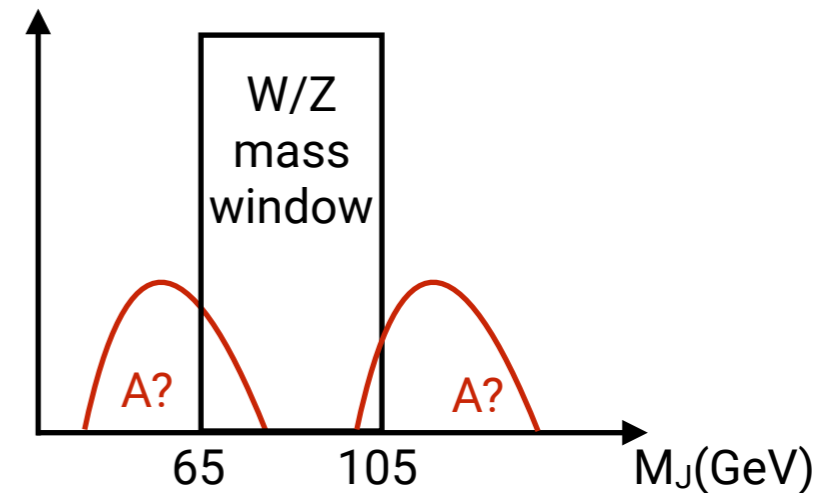




# Interlude: Stealth bosons

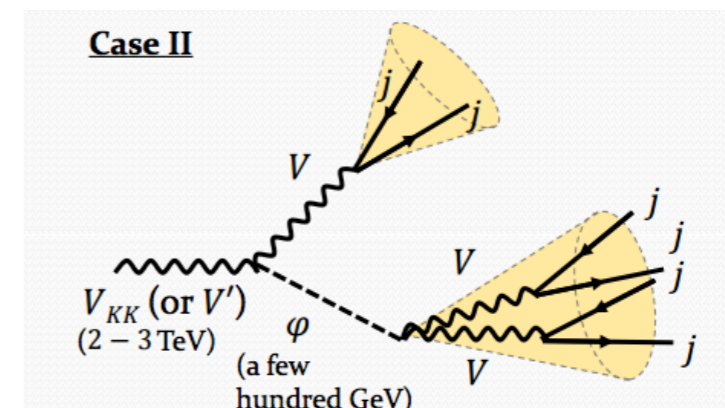
What if tiny excesses were not due to diboson resonances, but something else

- catching tail of other non-SM boson?
- not necessarily 2-, but N-pronged?



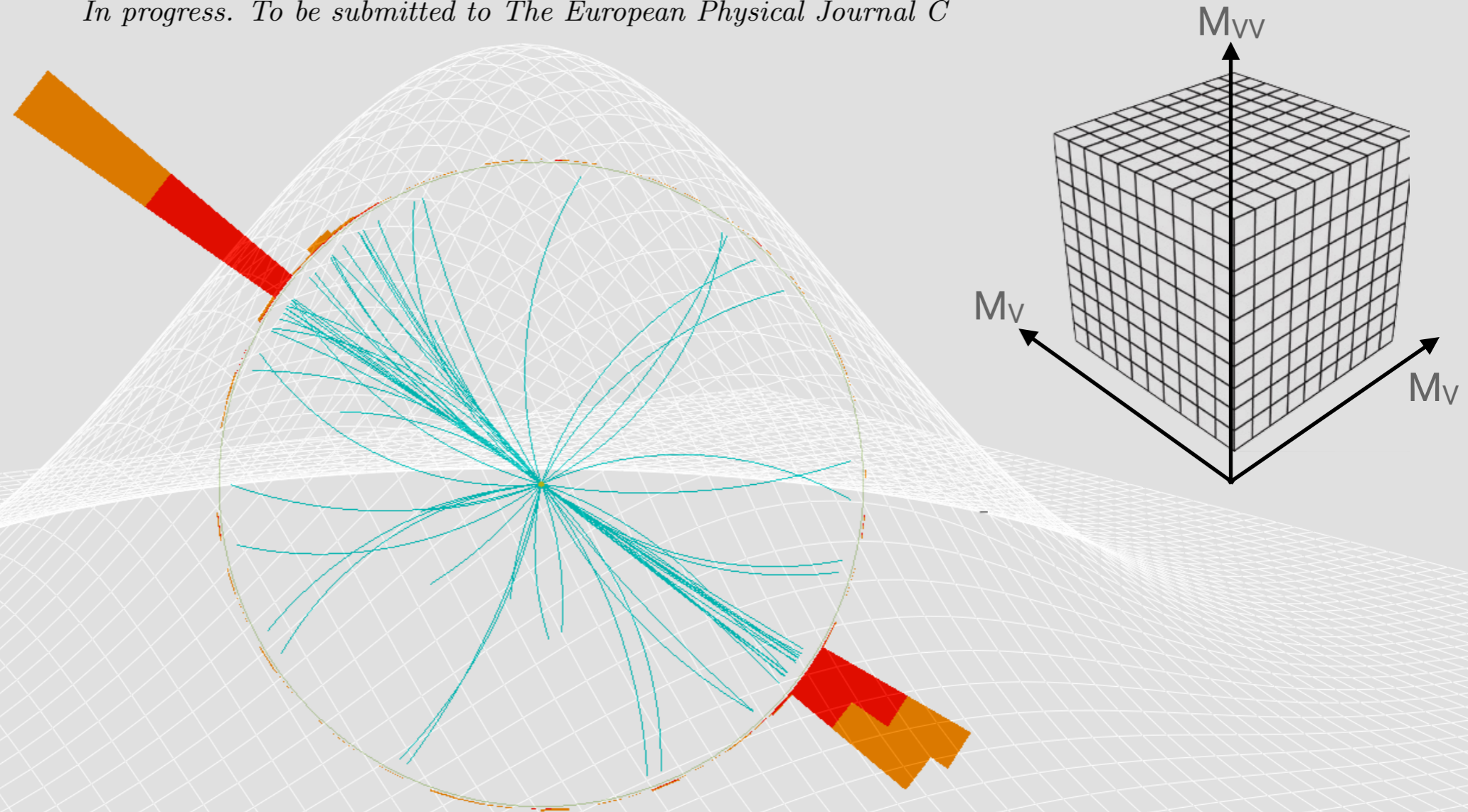
→ Make framework for easily scanning full jet groomed mass spectrum which would

- yields gain in sensitivity for VV analysis
- allow to search for VV/VH/HH and non-SM bosons anywhere in softdrop mass spectrum



# Search III: A novel framework for multi-dimensional searches

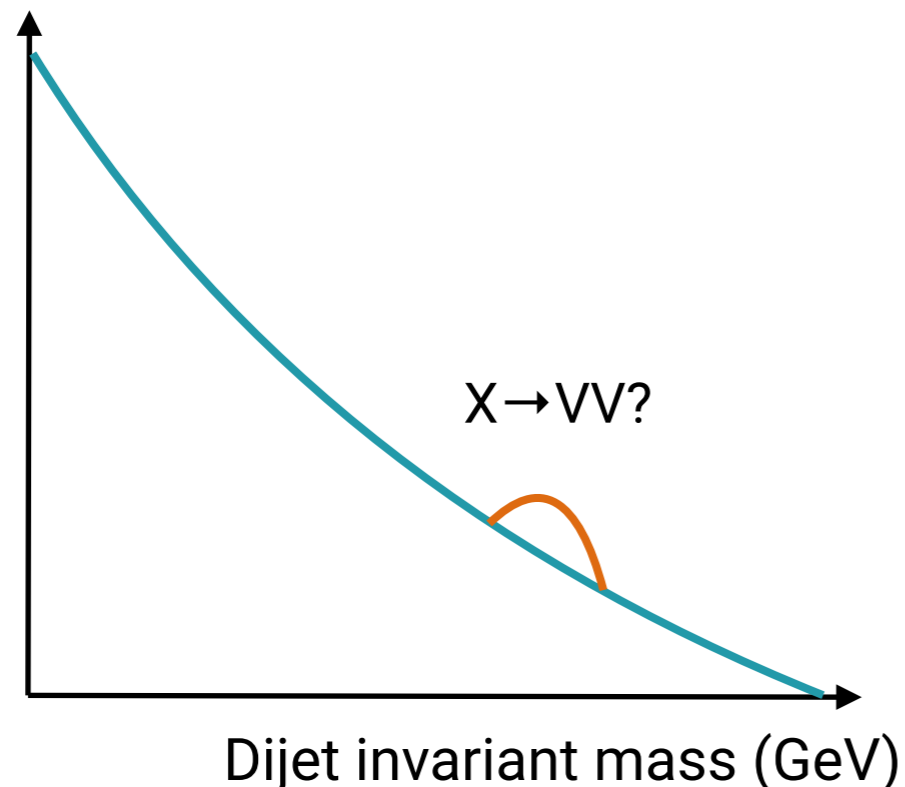
*In progress. To be submitted to The European Physical Journal C*



*~ Paper introducing a novel three-dimensional search method allowing for simultaneously searching for W/Z/H peaks, and eventually non-SM bosons, in the softdrop jet mass spectrum.  
To be published with full 2016+2017 dataset,  $\sim 80 \text{ fb}^{-1}$*

# Three-dimensional VV

---

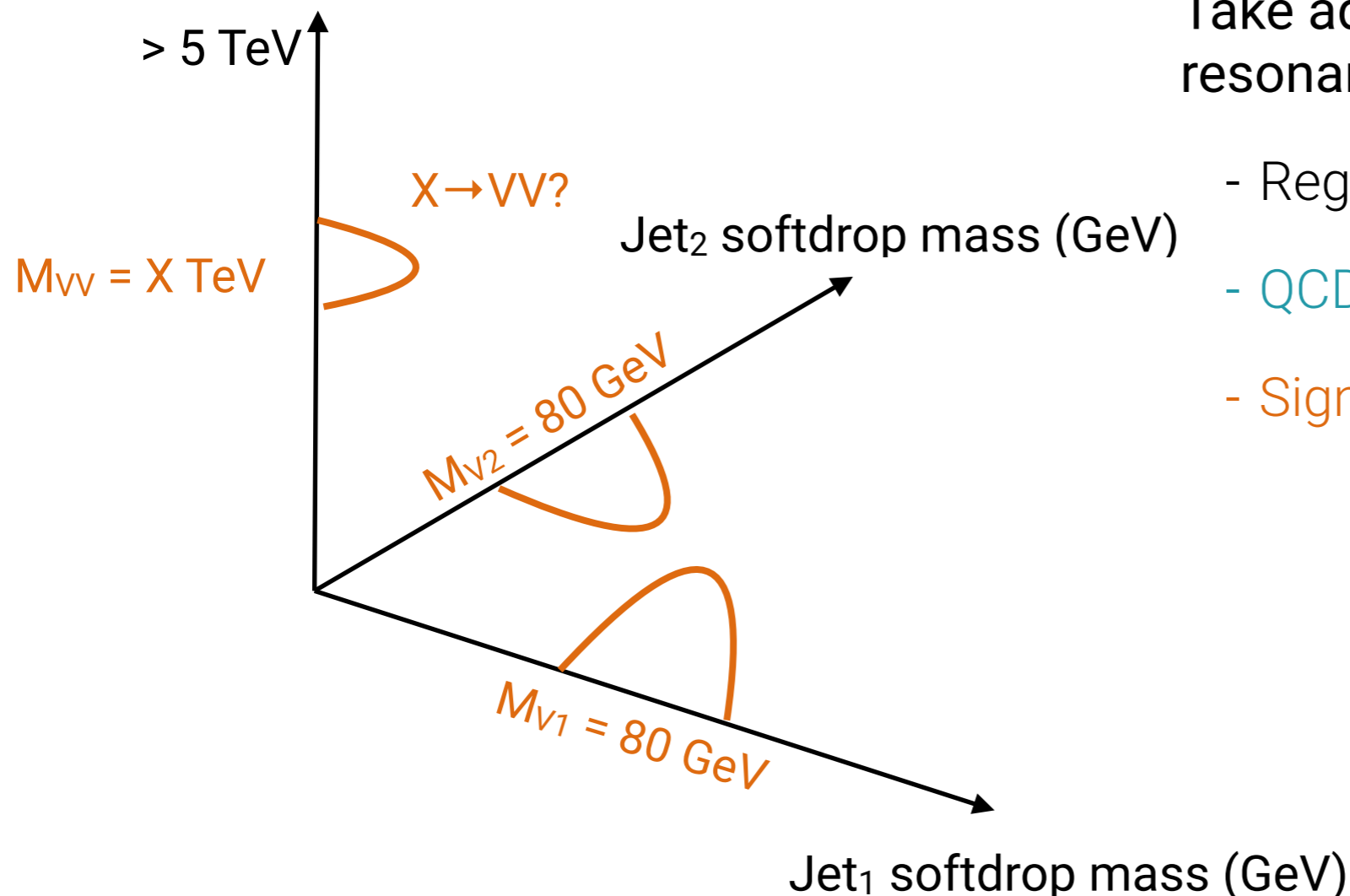


Until now: two AK8 jets with groomed mass between 65-105 GeV and  $\tau_{21} < X$

- Region of interest is dijet invariant mass
- QCD background estimated from smooth fit to data signal region using "dijet fit"
- Signal parametrised with double CB

# Three-dimensional VV

Dijet invariant mass (GeV)

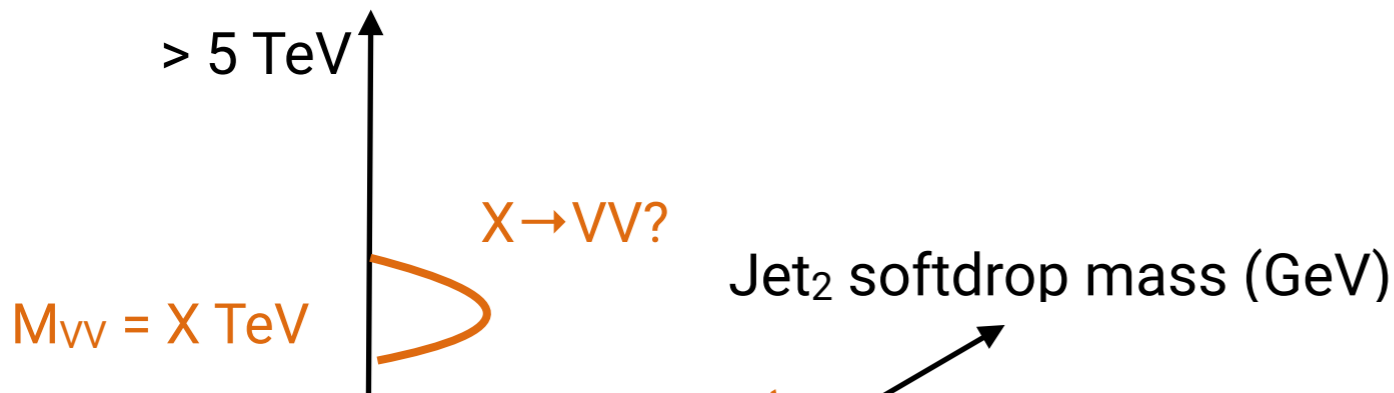


Take advantage of the fact that signal is resonant in 3D:  $M_V$ ,  $M_V$  and  $M_{VV}$

- Region of interest is  $M_{V1}$ - $M_{V2}$  -  $M_{VV}$  plane
- QCD background starting from simulation
- Signal parametrised with 3D PDF

# Three-dimensional VV

Dijet invariant mass (GeV)



Take advantage of the fact that signal is resonant in 3D:  $M_V$ ,  $M_V$  and  $M_{VV}$

- Region of interest is  $M_{V1}$ - $M_{V2}$  -  $M_{VV}$  plane
- QCD background starting from simulation

The pros of this procedure:

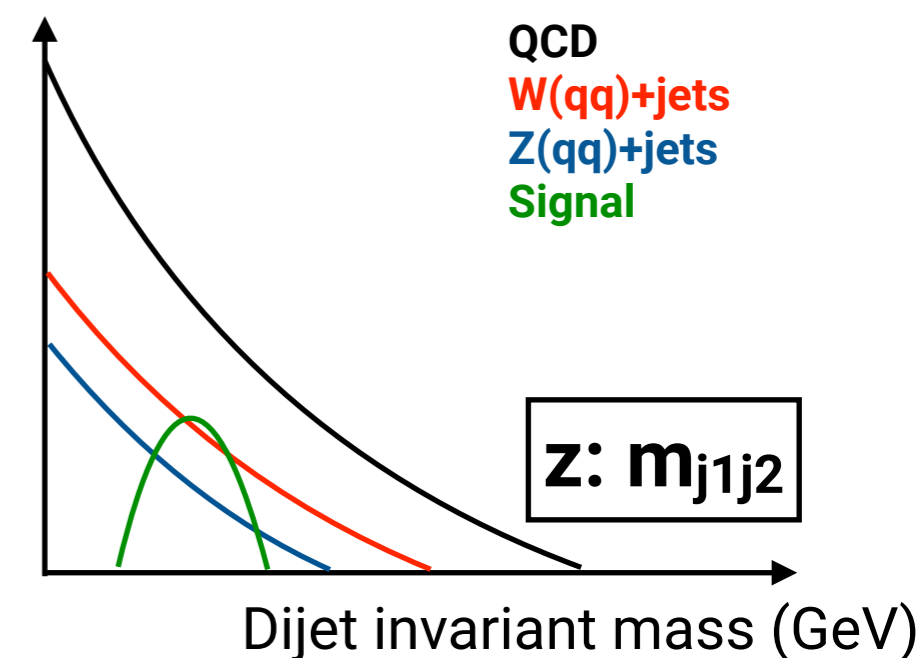
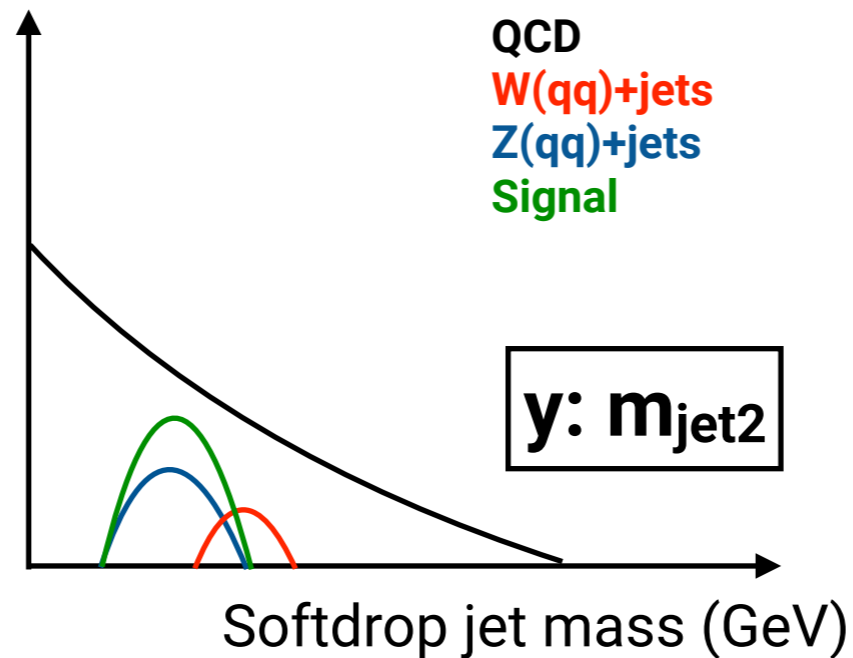
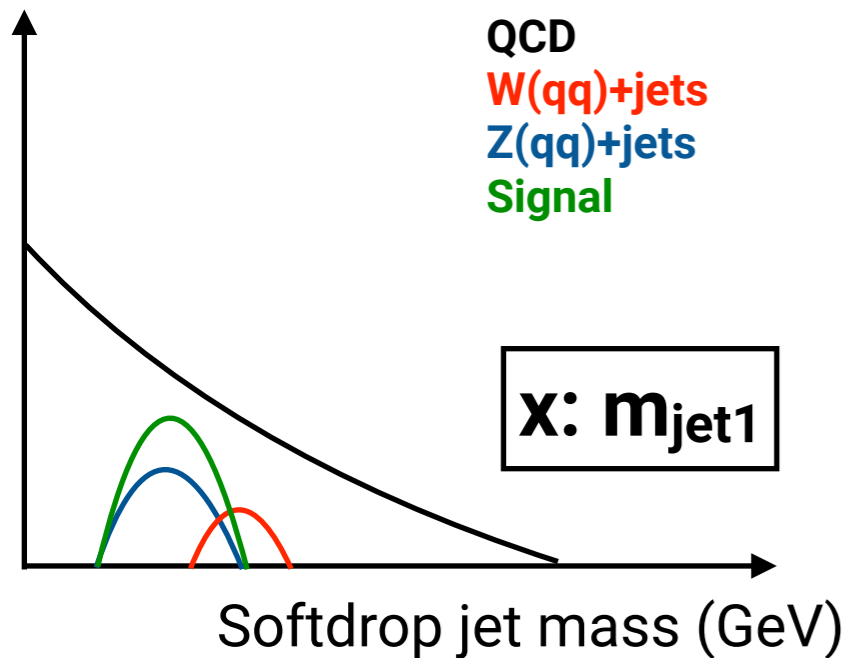
1. Can model turn-ons in  $m_{jj}/m_{jet}$
2. Can take jet mass and dijet mass correlations fully into account
3. Larger signal acceptance without mass cuts
4. Opens door to scan full groomed mass spectrum in one analysis

ed with 3D PDF

Jet<sub>1</sub> softdrop mass (GeV)

# Building PDFs

4 steps to full model:

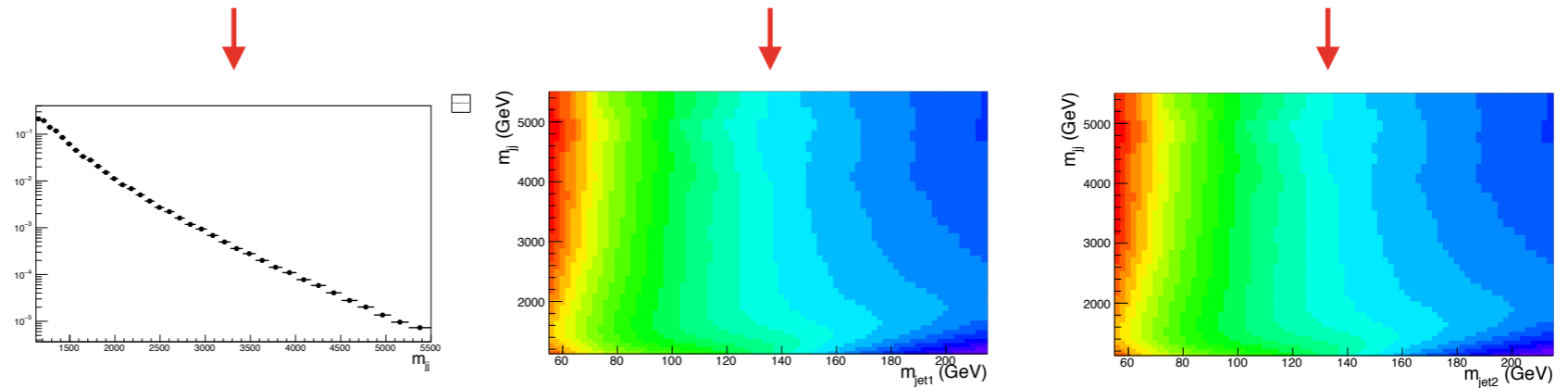


1. Signal 3D PDF
  - Resonant in x, y and z
2. Background, non-resonant
  - Non-resonant in x, y and z
  - Dominant background
3. Background, resonant
  - W/Z+jets, resonant in x+y
4. Alternate PDFs
  - 5 additional shape uncertainties

# Replacing the dijet fit

To account for correlations  $m_{\text{jet}}/m_{\text{jj}}$ , non-resonant background modelled conditionally

$$- P_{\text{non-res}}(m_{\text{jj}}, m_{\text{jet1}}, m_{\text{jet2}}) = P_{\text{jj}}(m_{\text{jj}} | \theta_1) \times P_j(m_{\text{jet1}} | m_{\text{jj}}, \theta_2) \times P_j(m_{\text{jet2}} | m_{\text{jj}}, \theta_2)$$



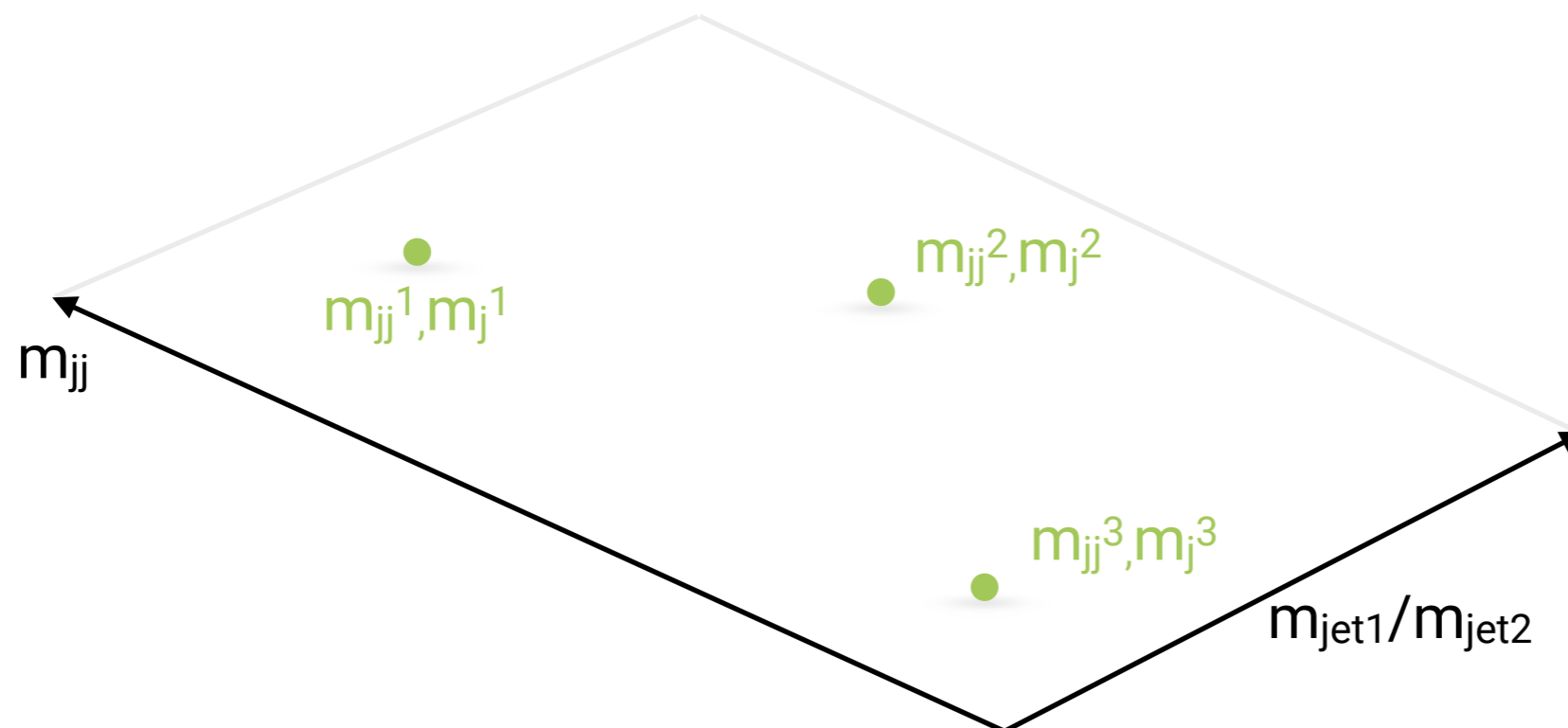
# Replacing the dijet fit

To account for correlations  $m_{\text{jet}}/m_{\text{jj}}$ , non-resonant background modelled conditionally

$$- P_{\text{non-res}}(m_{\text{jj}}, m_{\text{jet1}}, m_{\text{jet2}}) = P_{\text{jj}}(m_{\text{jj}} | \theta_1) \times P_j(m_{\text{jet1}} | m_{\text{jj}}, \theta_2) \times P_j(m_{\text{jet2}} | m_{\text{jj}}, \theta_2)$$

250k bins, need to ensure smooth and full shape  $\rightarrow$  kernel approach

- rather than filling 1D/2D histogram with  $m_{\text{jet}}$ ,  $m_{\text{jet}}/m_{\text{jj}}$  (sparse), let **each event** contribute 1D/2D gaussian kernel defined through generator level quantities





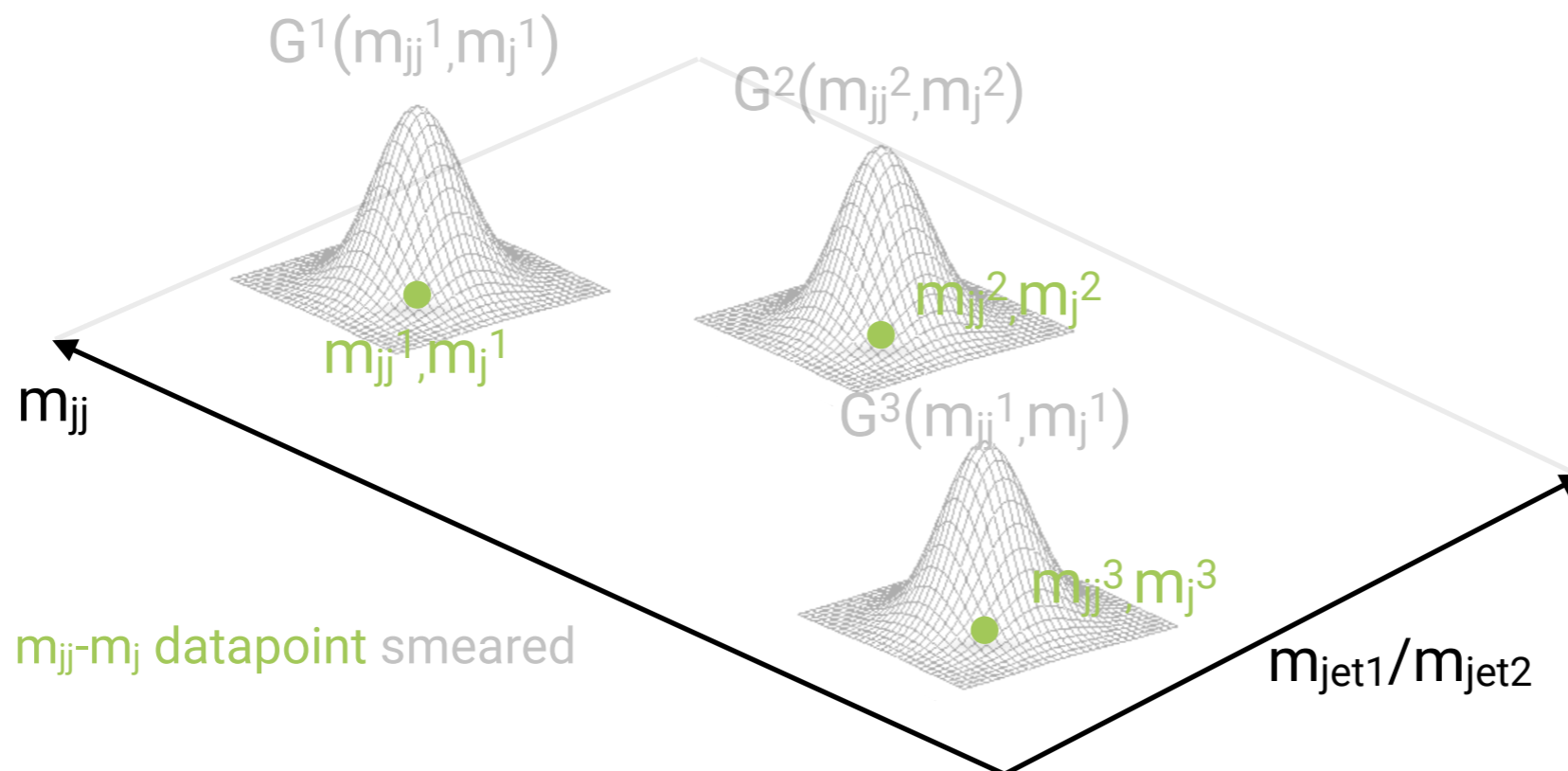
# Replacing the dijet fit

To account for correlations  $m_{\text{jet}}/m_{jj}$ , non-resonant background modelled conditionally

$$- P_{\text{non-res}}(m_{jj}, m_{\text{jet}1}, m_{\text{jet}2}) = P_{jj}(m_{jj} | \theta_1) \times P_j(m_{\text{jet}1} | m_{jj}, \theta_2) \times P_j(m_{\text{jet}2} | m_{jj}, \theta_2)$$

250k bins, need to ensure smooth and full shape  $\rightarrow$  kernel approach

- rather than filling 1D/2D histogram with  $m_{\text{jet}}$ ,  $m_{\text{jet}}/m_{jj}$  (sparse), let **each event** contribute 1D/2D gaussian kernel defined through generator level quantities



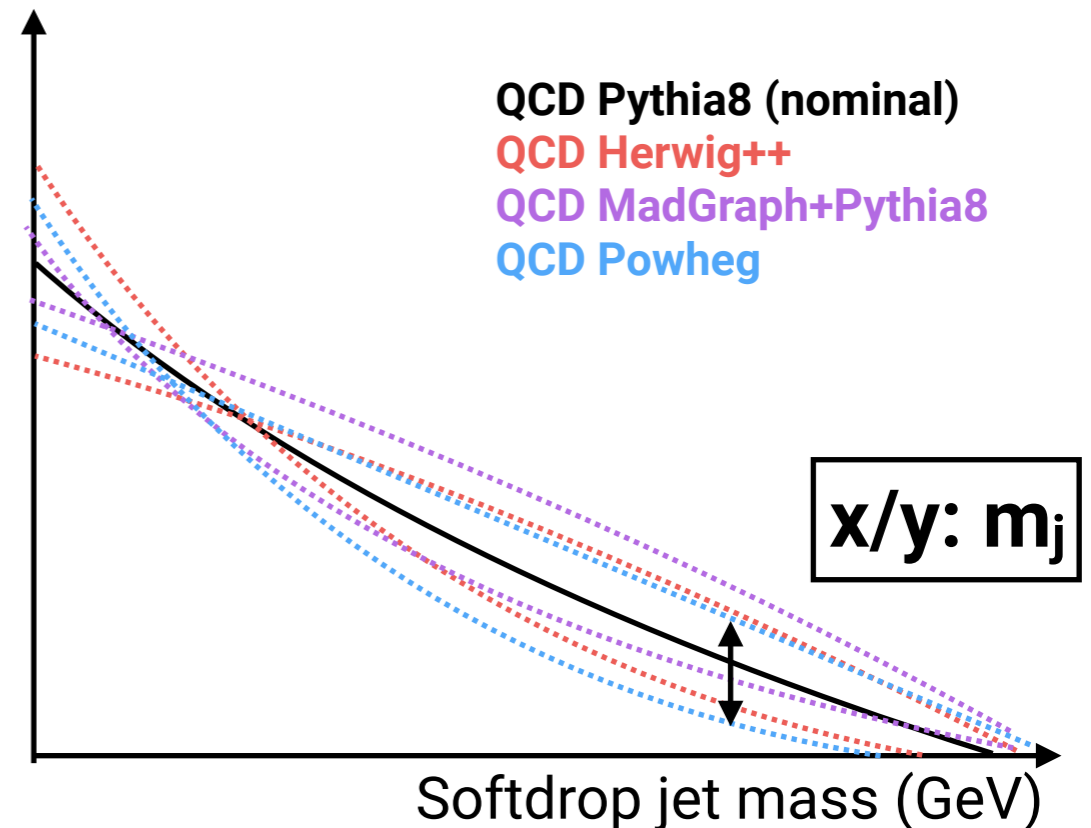
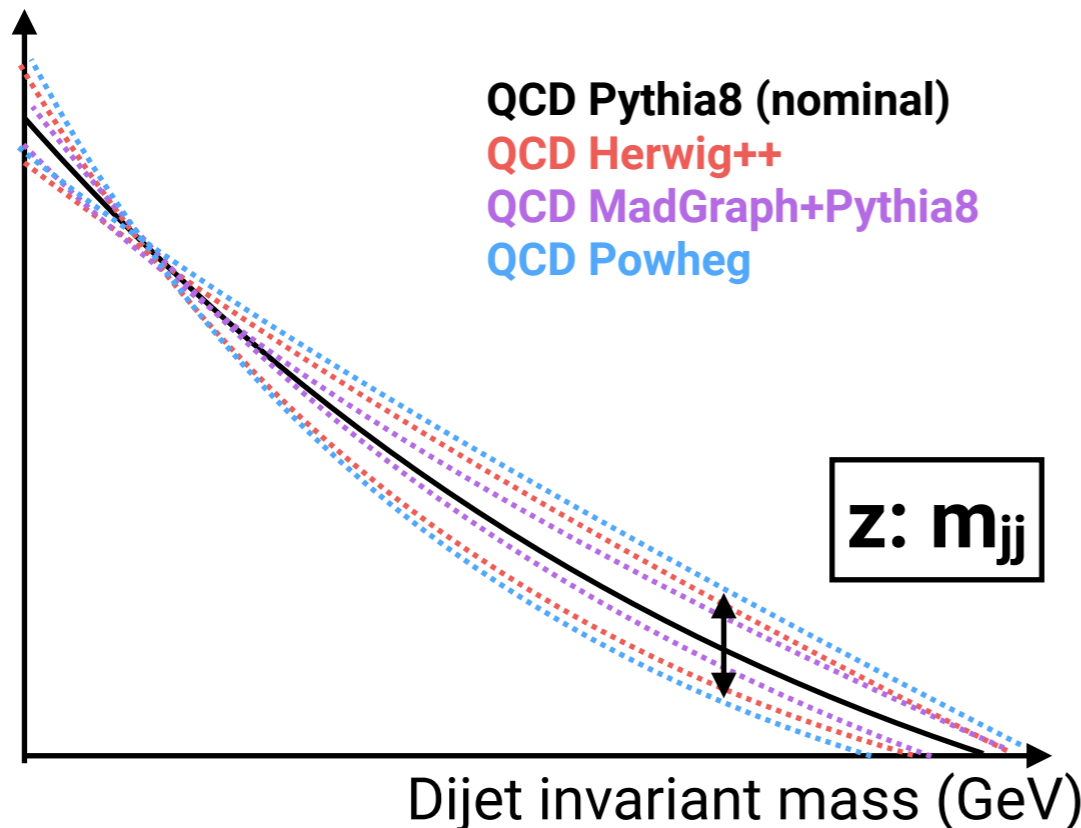
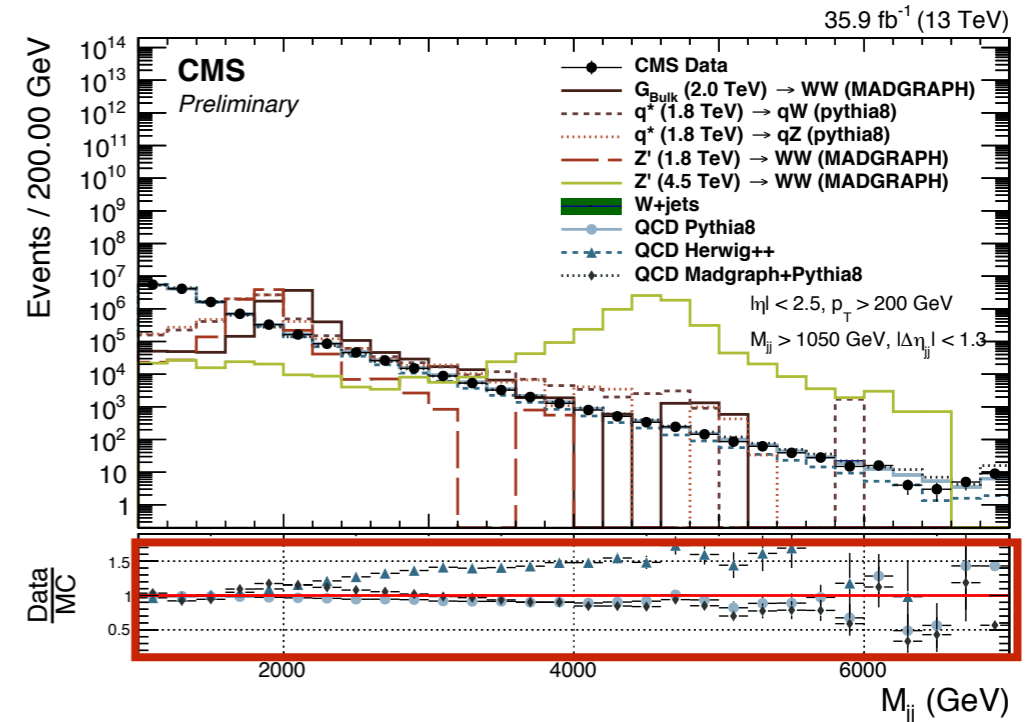
# Replacing the dijet fit

Is Nature Herwig++, MadGraph or Pythia?  
LO(Pythia) or NLO (Powheg)?

- predictions disagree, let's allow it to be all!

Add alternate shapes based on different QCD MC, simultaneously affecting  $m_{j1}$ ,  $m_{j2}$ ,  $m_{jj}$

- PDF can take any shape to match data!

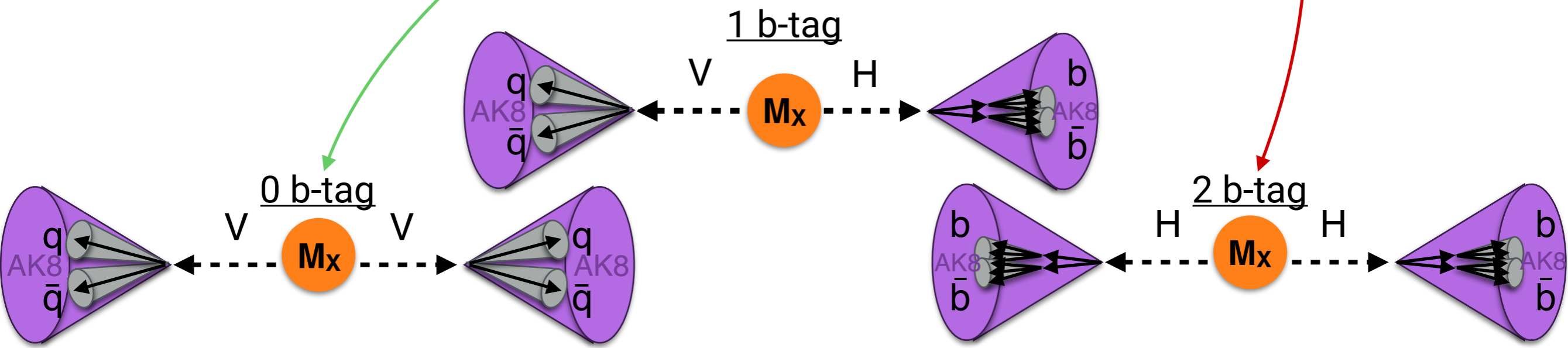
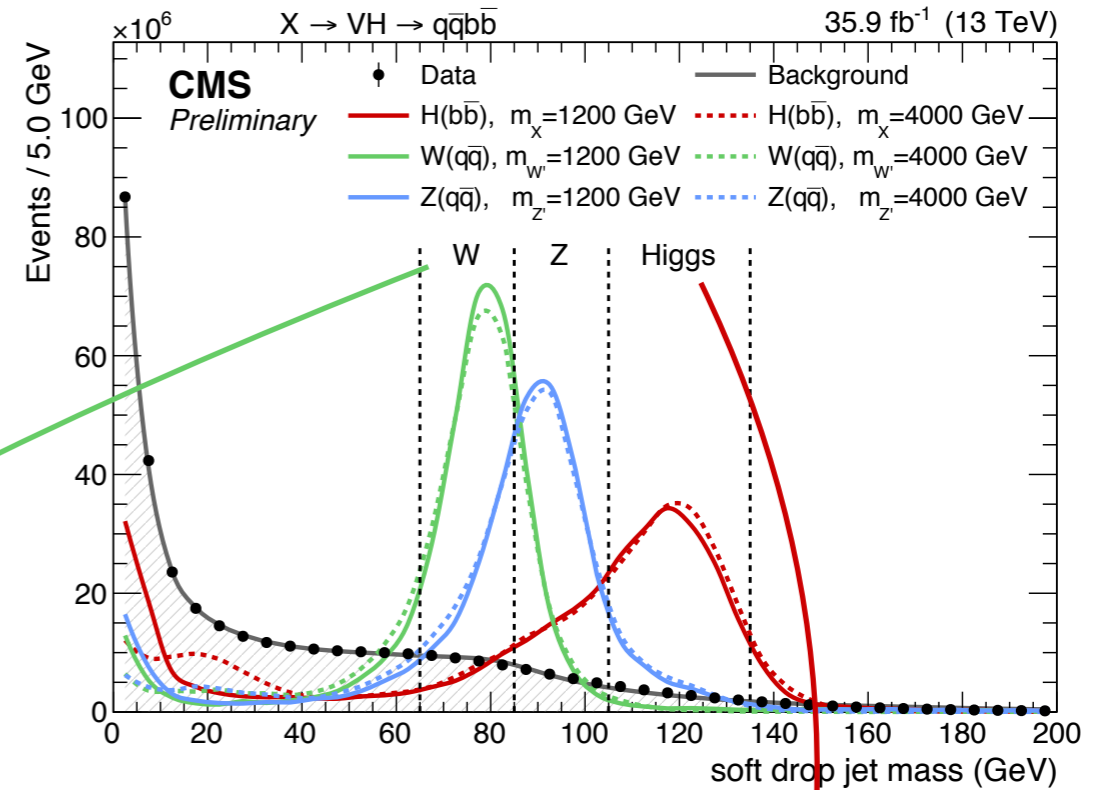


# Plan forward

3D method improve 1D sensitivity by 20-30%

For full 13 TeV dataset (16+17+18)

- VV, VH(bb) + HH
- in one analysis!



# And tribosons?

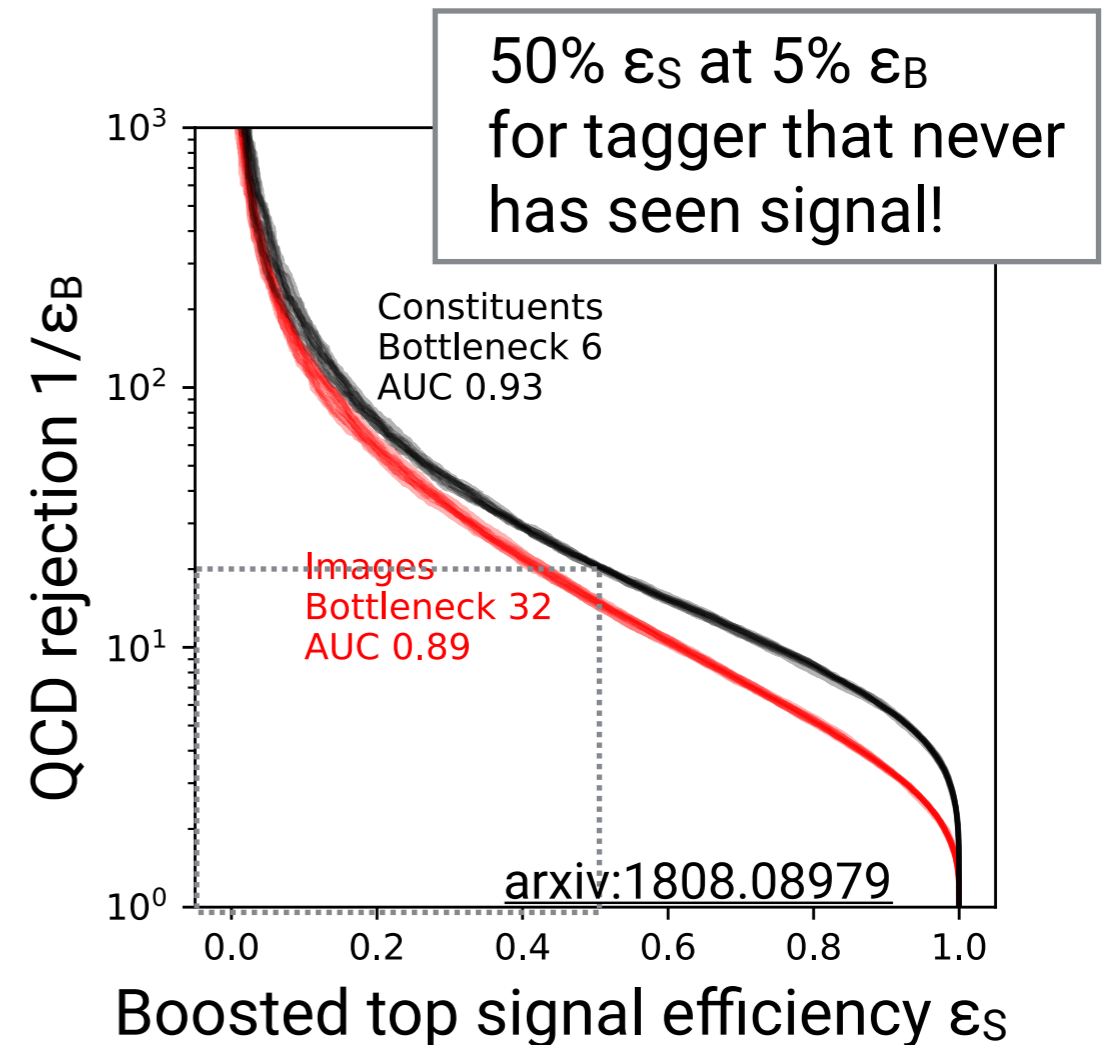
Do we still need to “scan”  $\tau_N$  for N-prong signals?

No! Switch from  $\tau_{21}$  to generic anti-QCD tagger

- Deep Neural Networks trained to learn how “QCD-like” event is [1] [2]
- identify signal without having seen it, ideal for model independent searches

Combined with 3D fit, one background model for any signal peaking in softdrop+dijet mass

- truly scanning the full  $m_{j1}-m_{j2}-m_{j1,j2}$  plane!



# LoLa: DNN for W-tagging

Physics based deep neural network  
(first introduced for top tagging)

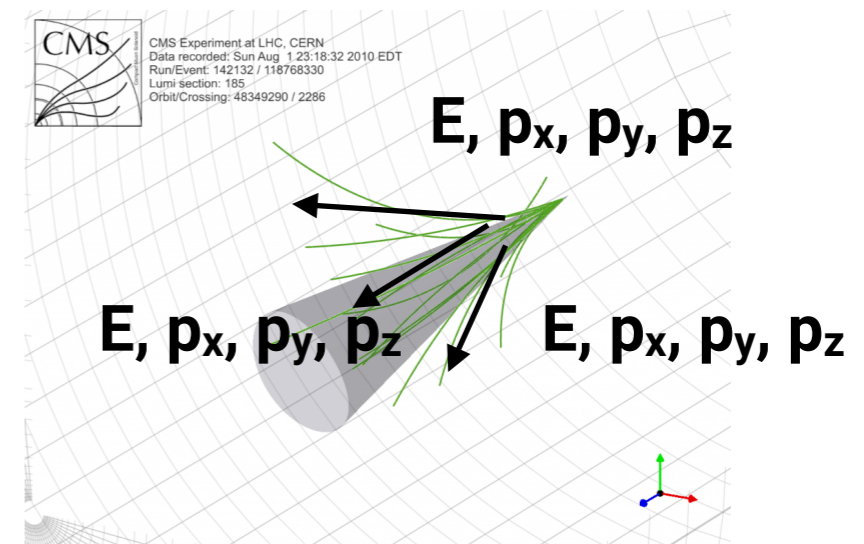
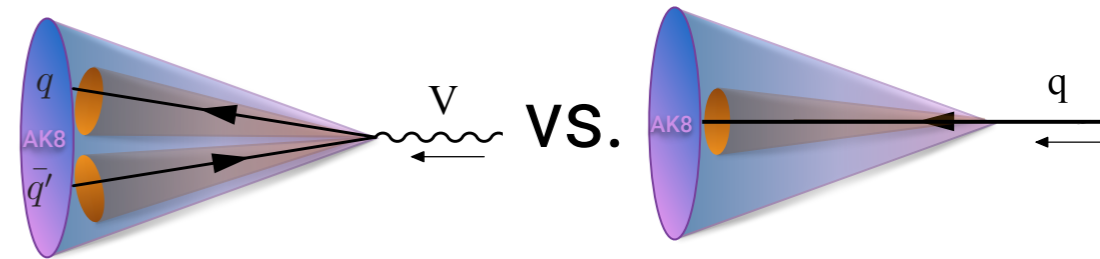
- look at jet constituent 4-vectors only and teach network Minkowski space and jet clustering

Input:

4-vectors of  $N = 20$   
highest  $p_T$  jet  
constituents  
of AK8 jets

$$\begin{matrix} E_i \dots E_N \\ p_x \\ p_y \\ p_z \end{matrix} (k_{\mu,i}) = \begin{pmatrix} k_{0,1} & k_{0,2} & \dots & k_{0,N} \\ k_{1,1} & k_{1,2} & \dots & k_{1,N} \\ k_{2,1} & k_{2,2} & \dots & k_{2,N} \\ k_{3,1} & k_{3,2} & \dots & k_{3,N} \end{pmatrix}$$

See more [here](#)

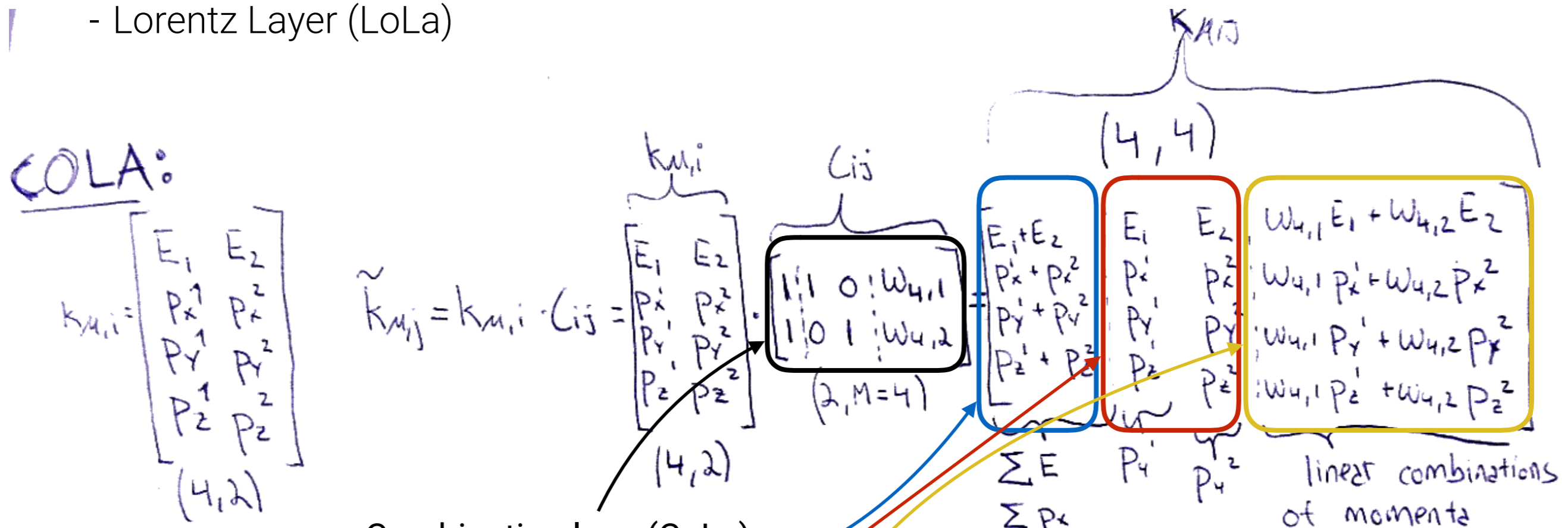


# LoLa: DNN for W-tagging

See more [here](#)

4 layer deep neural network, 2 custom layers:

- Combination Layer (CoLa)
- Lorentz Layer (LoLa)



Combination layer(CoLa):

- Sum of all momenta
- Each original momentum
- Linear combination of particles with trainable weights

# LoLa: DNN for W-tagging

See more [here](#)

4 layer deep neural network, 2 custom layers:

- Combination Layer (CoLa)
- Lorentz Layer (LoLa)

LoLa:

$$\tilde{k}_{ij} = \begin{bmatrix} \sum E & E_1 & E_2 & W_{4,1} E_1 + W_{4,2} E_2 \\ \sum p_x & p_x^1 & p_x^2 & W_{4,1} p_x^1 + W_{4,2} p_x^2 \\ \sum p_y & p_y^1 & p_y^2 & W_{4,1} p_y^1 + W_{4,2} p_y^2 \\ \sum p_z & p_z^1 & p_z^2 & W_{4,1} p_z^1 + W_{4,2} p_z^2 \end{bmatrix} \quad (4, 4)$$

$\rightarrow \hat{k}_j =$

$$\begin{bmatrix} m^2(k_j) \\ p_\mu(k_j) \\ w_{jm}^E E(k_m) \\ w_{jm}^{1d} \sum d_{jm}^2 \\ w_{jm}^{2d} \sum d_{jm}^2 \\ w_{jm}^{3d} \min d_{jm}^2 \\ w_{jm}^{4d} \min d_{jm}^2 \end{bmatrix} \quad (7, 4)$$

Distance of all particles to jet axis  $\rightarrow$  "n-subjettiness"

$$\begin{bmatrix} g_{\mu\nu} p_\mu^4 p_\nu^4 \\ \sqrt{\sum p_x^2 + \sum p_y^2} \\ w_{jm} \sum E \\ w_1^{mix} \\ w_2^{min} \\ w_3^{sum} \\ w_4^{sum} \end{bmatrix}$$

$$(\tilde{k}_E - \tilde{k}_m)_\mu g^{\mu\nu} (\tilde{k}_E - \tilde{k}_m)_\nu$$

Minkowski metric!

# Encoding jet substructure

LoLa output is Prob(QCD) and Prob(W), trained with QCD and W signal

Instead, train DNN to reconstruct QCD jet constituent 4-vectors by itself

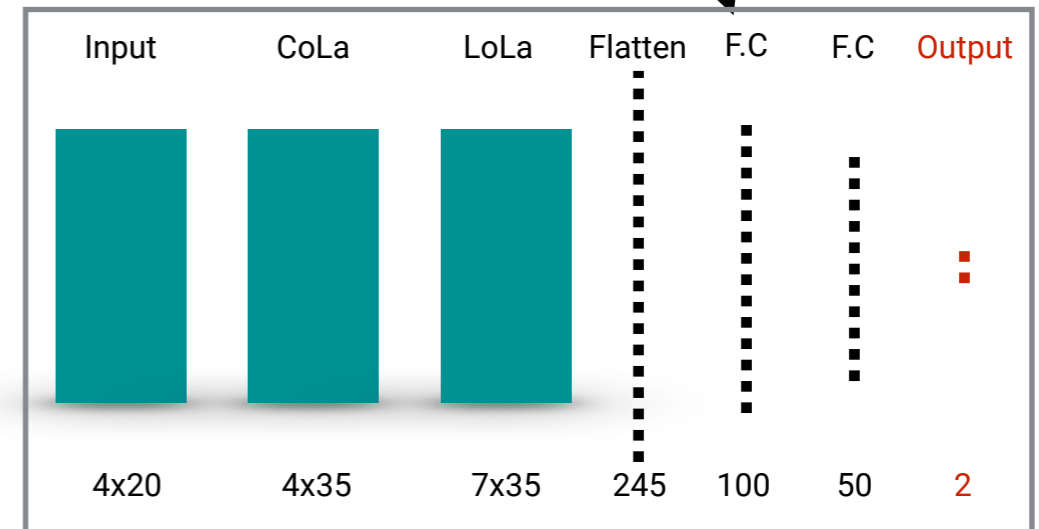
- compress LoLa output to smaller dimensional space, "encode QCD", then make DNN blow up to 4-vectors again
- Novel anti-QCD tagger based on LoLa demonstrated [here](#): Auto-encoding jet substructure!

Hope to see auto-encoder LoLa for boosted generic searches in near future!

$$\sigma \left( \begin{matrix} W_{1,1} & W_{2,1} \\ W_{1,2} & W_{2,2} \end{matrix} \begin{bmatrix} X_1 \\ X_2 \end{bmatrix} + \begin{bmatrix} b_1 \\ b_2 \end{bmatrix} \right)$$

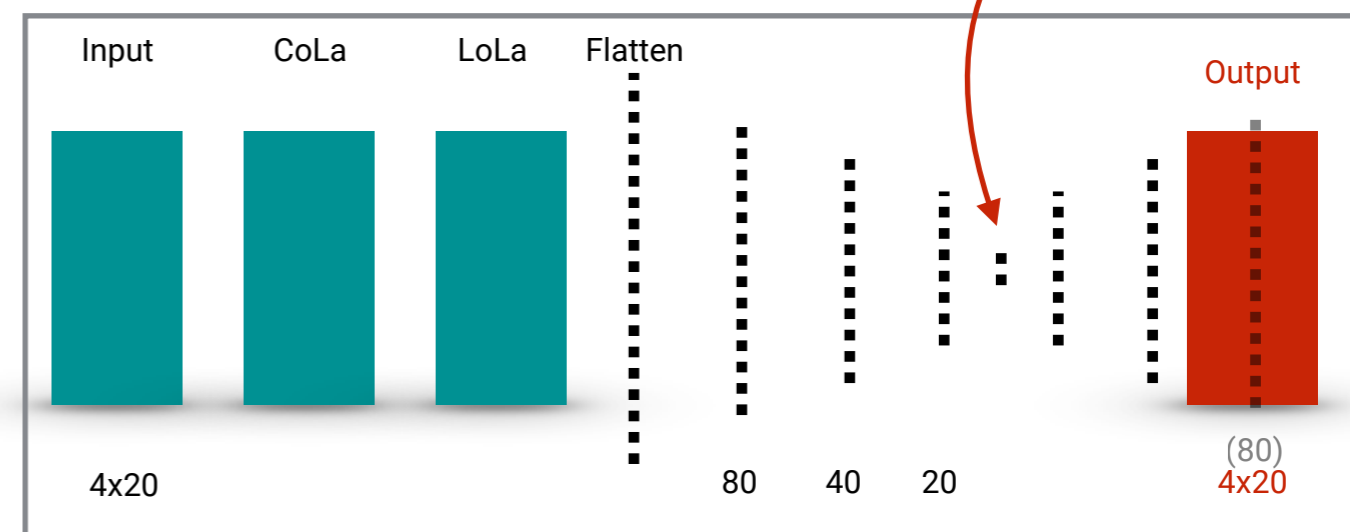
trainable weights      trainable biases

## Vanilla LoLa:



**Bottleneck:**  
Encode QCD jets in smaller dim. space!

## Auto-encoder LoLa:

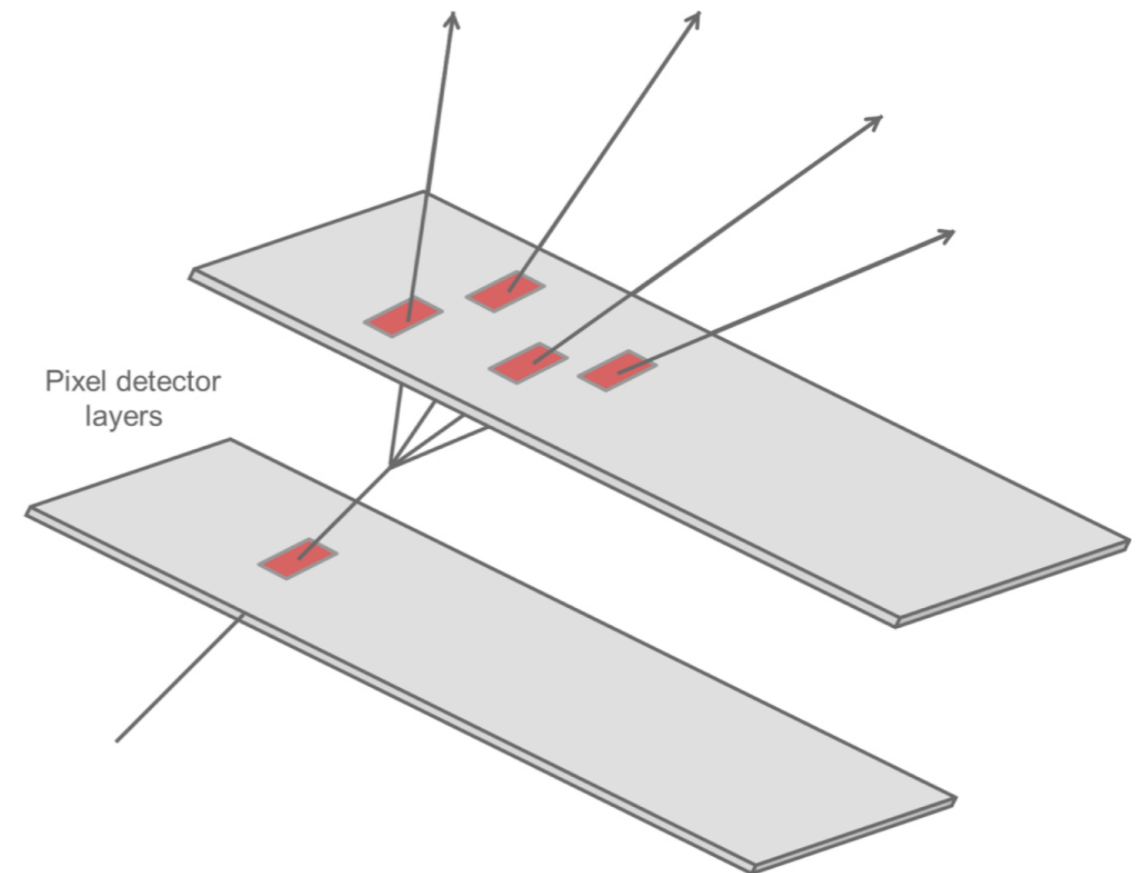
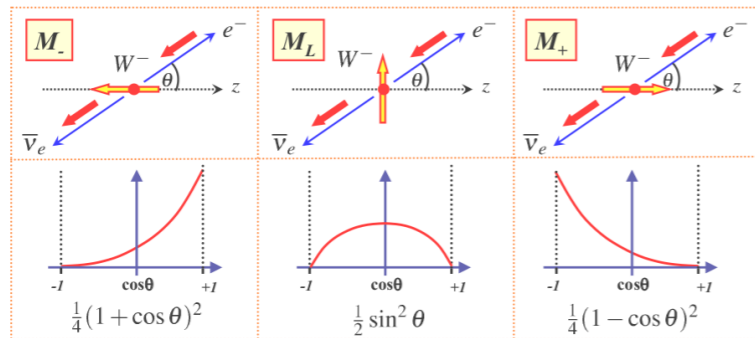




# Outlook and ideas: Ultra-high boosts and precision measurements

Energy enhanced new-physics effects in **longitudinal channel**

$$\frac{\mathcal{A}_{LL}^{\text{SM} + \text{BSM}}(q\bar{q} \rightarrow WZ)}{\mathcal{A}_{LL}^{\text{SM}}(q\bar{q} \rightarrow WZ)} \sim 1 + a_q^{(3)} E^2$$



*~As we push limits on BSM to higher and higher resonance masses, need to think of new methods and analyses: How do we deal with  $b$ -tagging at extreme  $p_T$ , and how can we access BSM signals with increasingly small cross sections and/or high masses?*

# b-tagging with hits

High- $p_T$  b-quarks can traverse pixel L1 before decaying (in CMS,  $p_{T,B} > 330$  GeV)

- tracking fails, drop in b-tagging efficiency

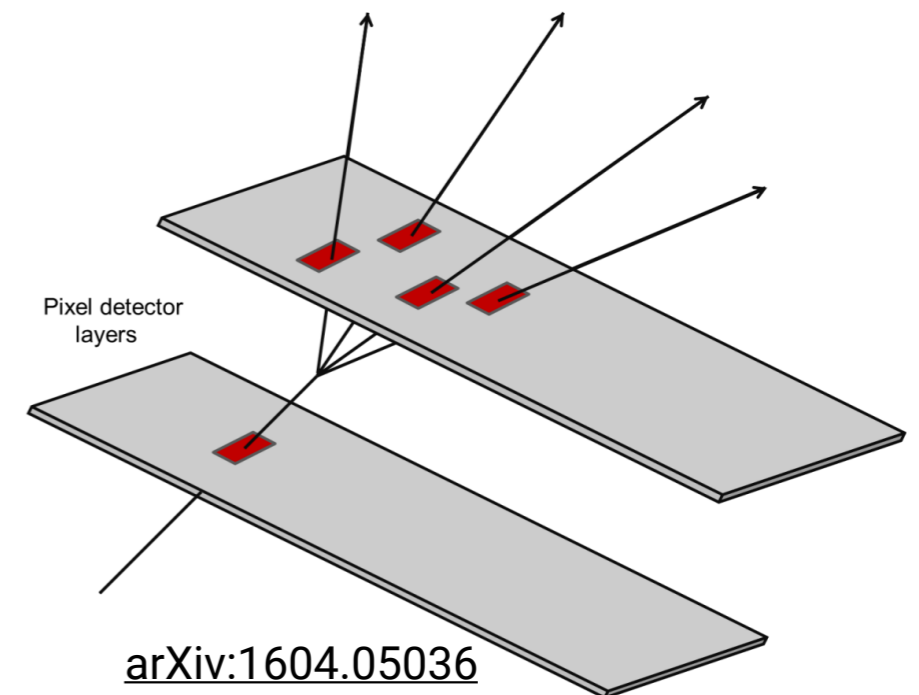
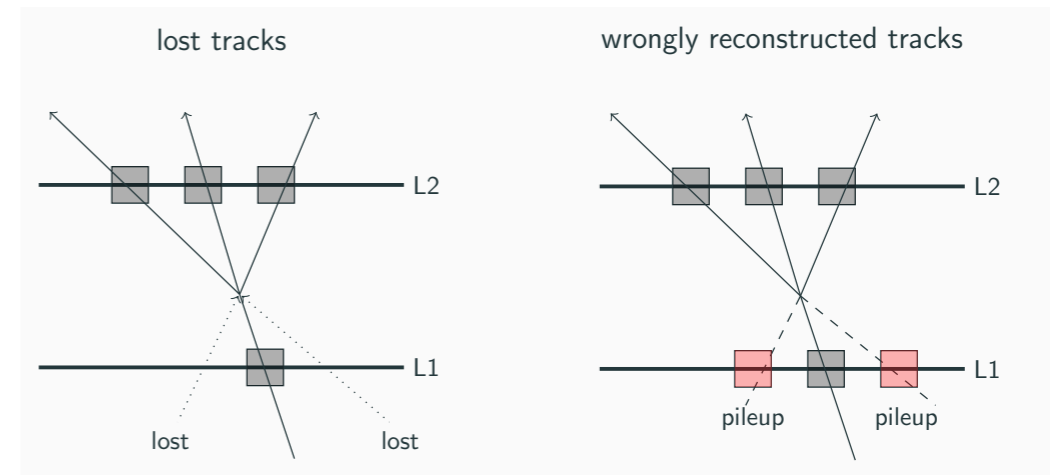
To ensure high b-tag efficiency at HL-LHC

- tag high- $p_T$  B hadrons based on increase in hit multiplicity in pixel layers using DNN

~60% gain in efficiency (112% > 1.2 TeV)  
(with M. Sommerhalder, Bachelor Student)

Simple! Could be used on hardware at trigger level (eg DNN of FPGAs)?

Efficiency loss for track reco due to missing inner hits!



# WW scattering: $W_T$ vs. $W_L$

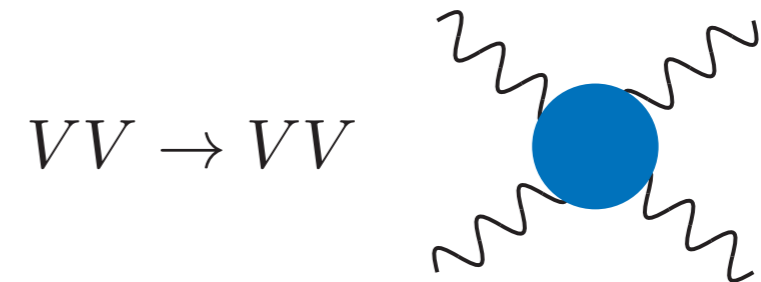
What if we can't directly produce resonances and/or  $\sigma_{\text{BSM}}$  small, cannot directly detect?

- Precision measurements!
- BSM interference in  $2 \rightarrow 2$   $VV$  scattering!

At  $E \gg m_V$ , New Physics mainly couples to longitudinally polarised  $W_L$

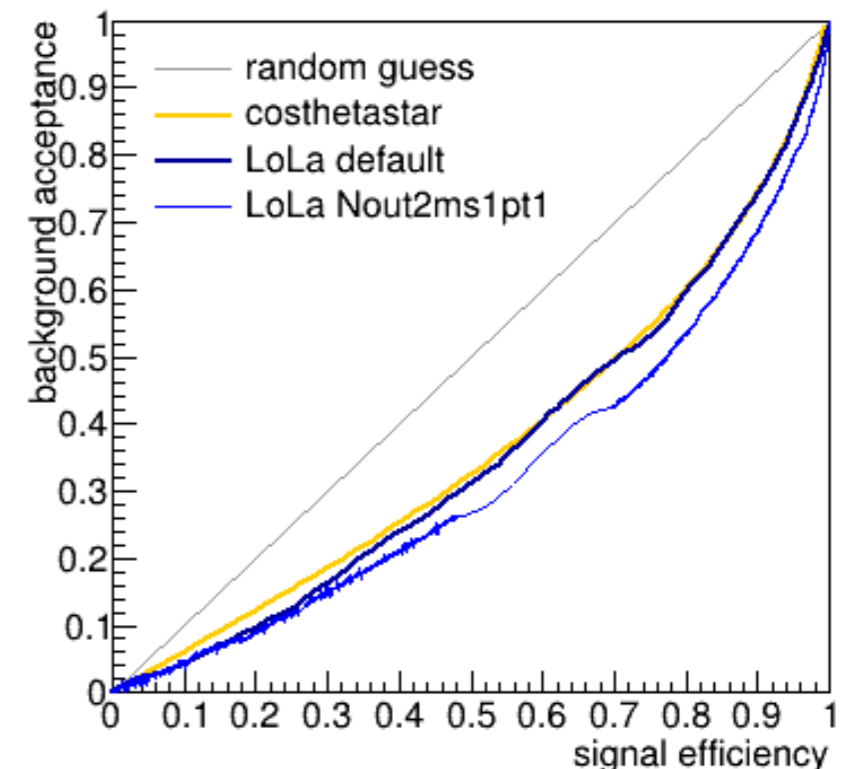
- 90% of SM is  $W_T$ , irreducible background!
- important to discriminate  $W_T$  and  $W_L$  at HL LHC (see [G.Panicos talk](#), [Riva et. Al](#))

Train LoLa to discriminate between  $W_T$  and  $W_L$  jets (w. [J. Boer, CERN Summer Student](#))



Energy enhanced new-physics effects in **longitudinal channel**

$$\frac{\mathcal{A}_{LL}^{\text{SM} + \text{BSM}}(q\bar{q} \rightarrow WZ)}{\mathcal{A}_{LL}^{\text{SM}}(q\bar{q} \rightarrow WZ)} \sim 1 + a_q^{(3)} E^2$$



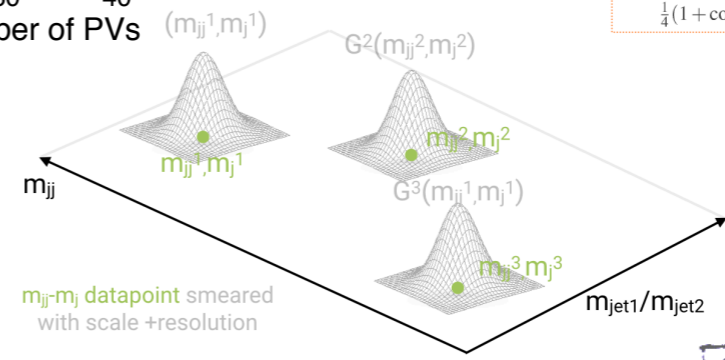
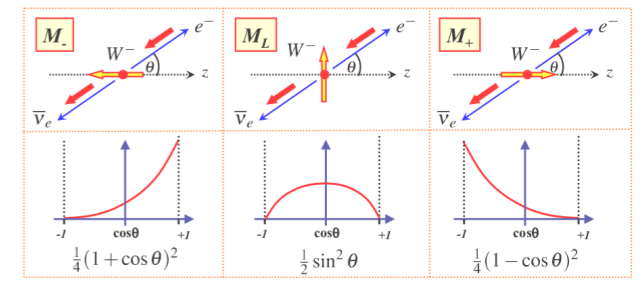
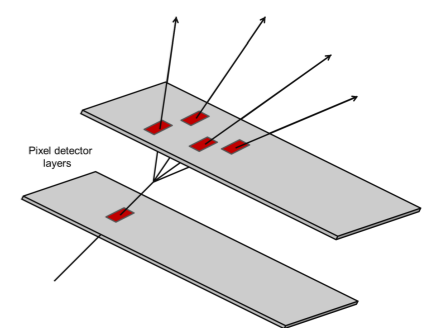
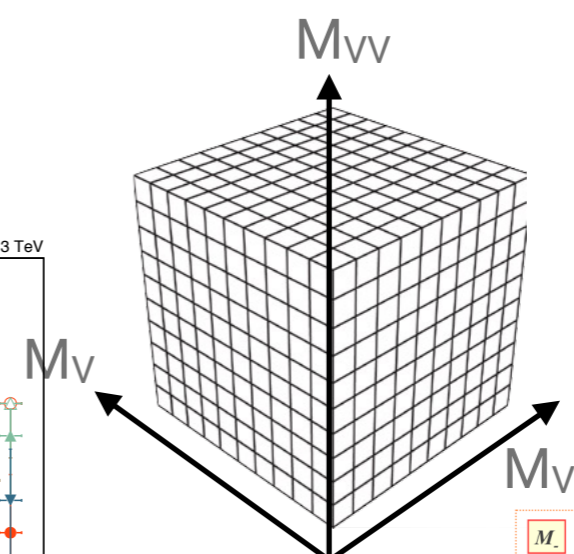
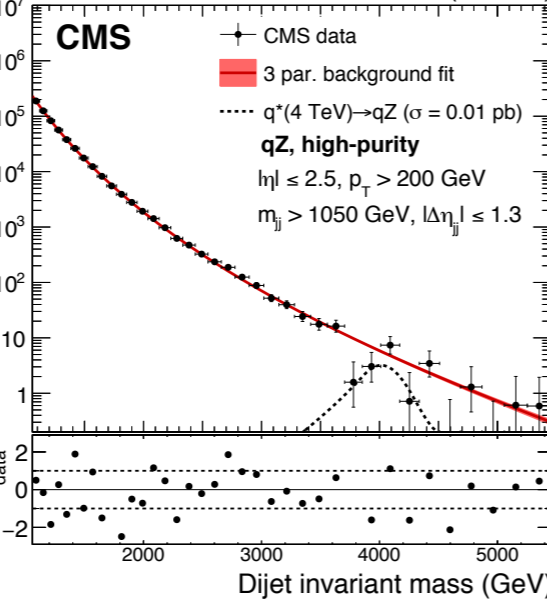
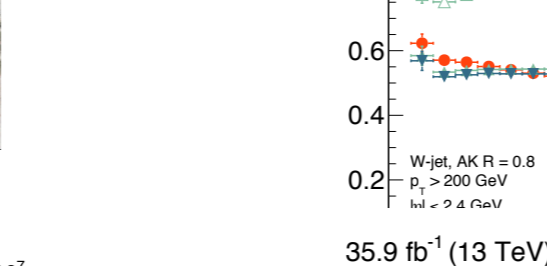
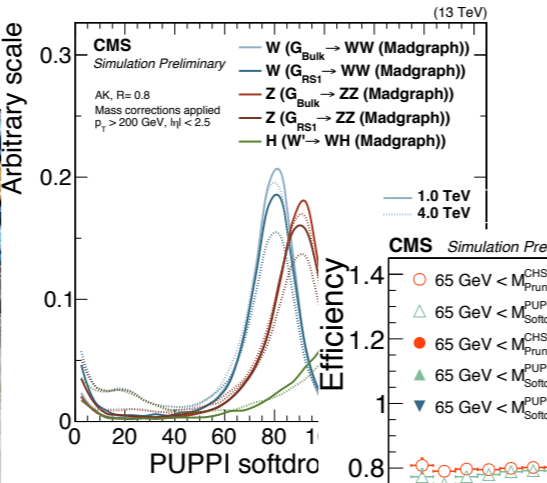
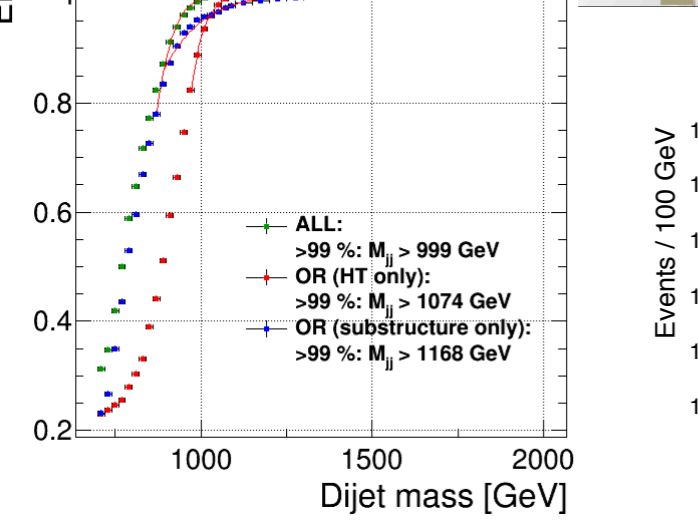
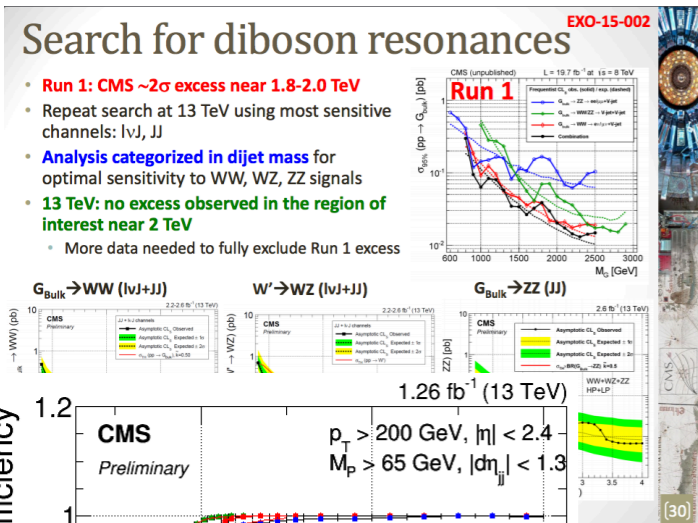
# Summary and outlook

2015

2016

2017+2018

And beyond...



$$K_{ij} \sim \begin{bmatrix} \sum E & \sum E_1 & \sum E_2 & \sum W_{y,1} E_1 + W_{y,2} E_2 \\ \sum p_x & \sum p_x^1 & \sum p_x^2 & \sum W_{y,1} p_x^1 + W_{y,2} p_x^2 \\ \sum p_y & \sum p_y^1 & \sum p_y^2 & \sum W_{y,1} p_y^1 + W_{y,2} p_y^2 \\ \sum p_z & \sum p_z^1 & \sum p_z^2 & \sum W_{y,1} p_z^1 + W_{y,2} p_z^2 \end{bmatrix} (4,4)$$



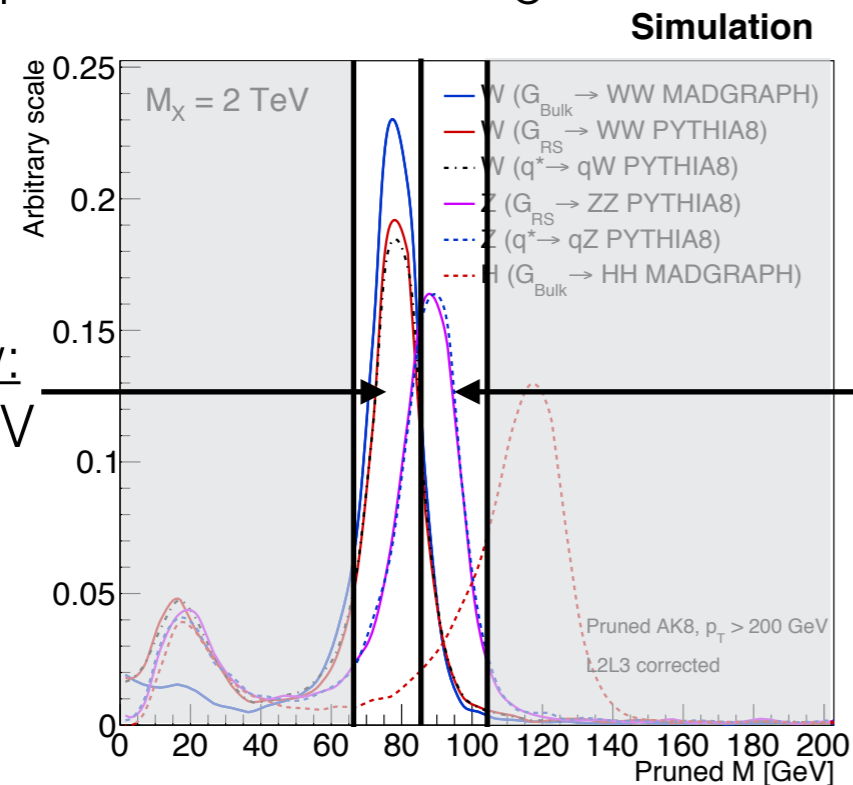
# Backup

---

# Enhancing sensitivity

Take signal serious:  $X \rightarrow WW, WZ, ZZ?$

- split into mass categories

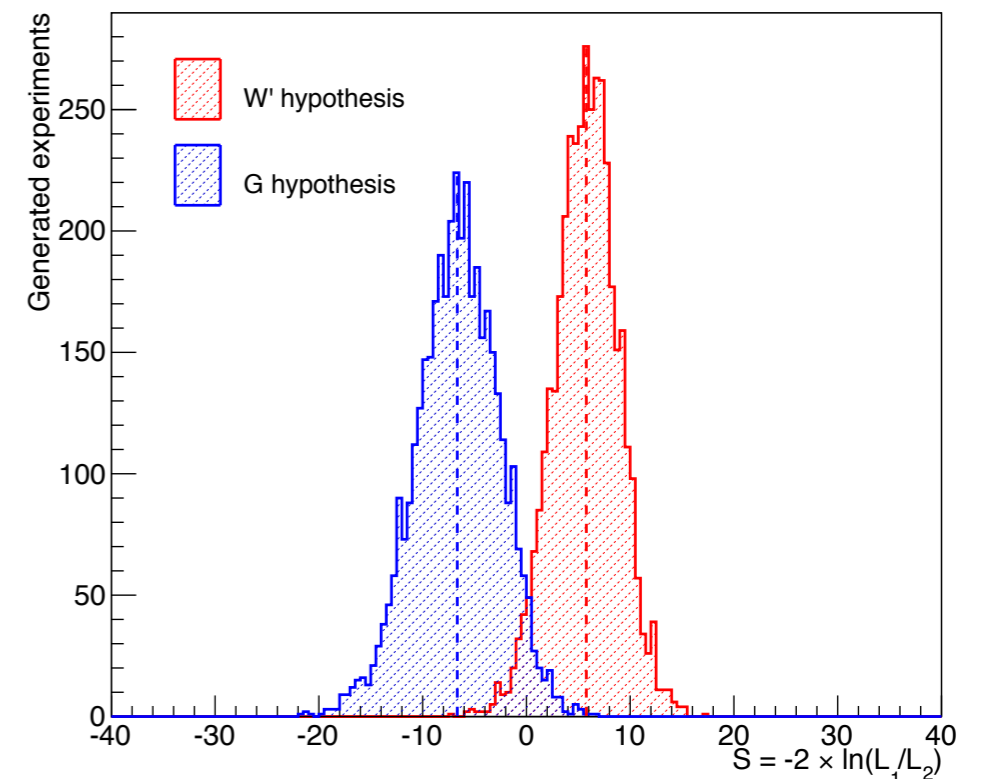
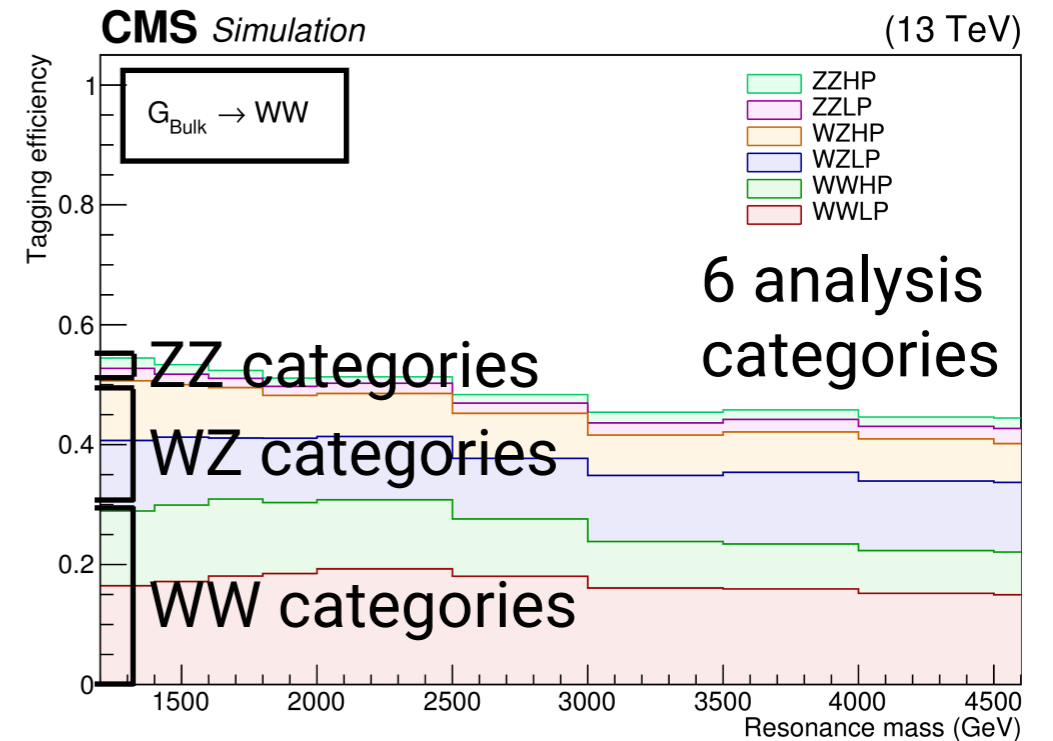


W window:  
65 - 85 GeV

Z window:  
85 - 105 GeV

3 mass categories, one VV limit  
~same or gain in sensitivity

Likelihood from event counting (more events in WZ for  $W'$ (WZ) than  $G_{\text{Bulk}}$ (WW))

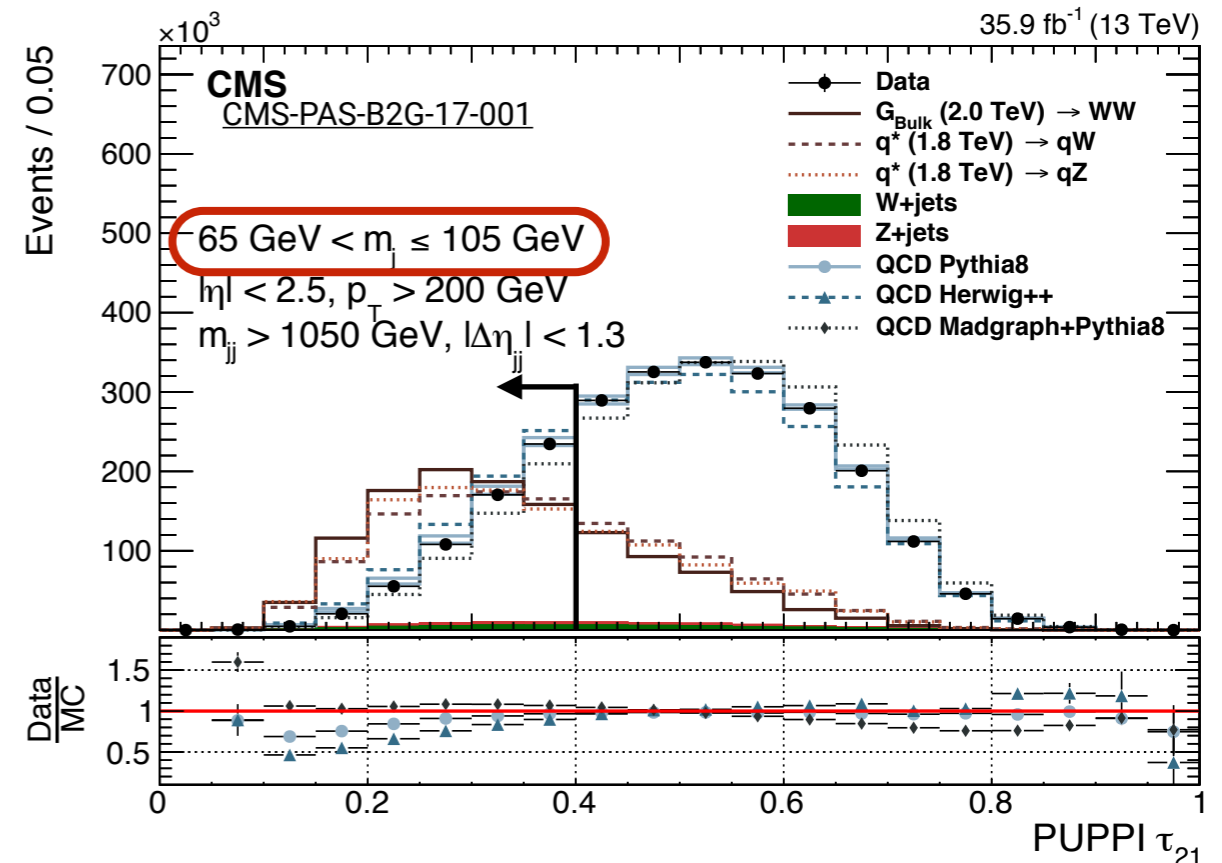
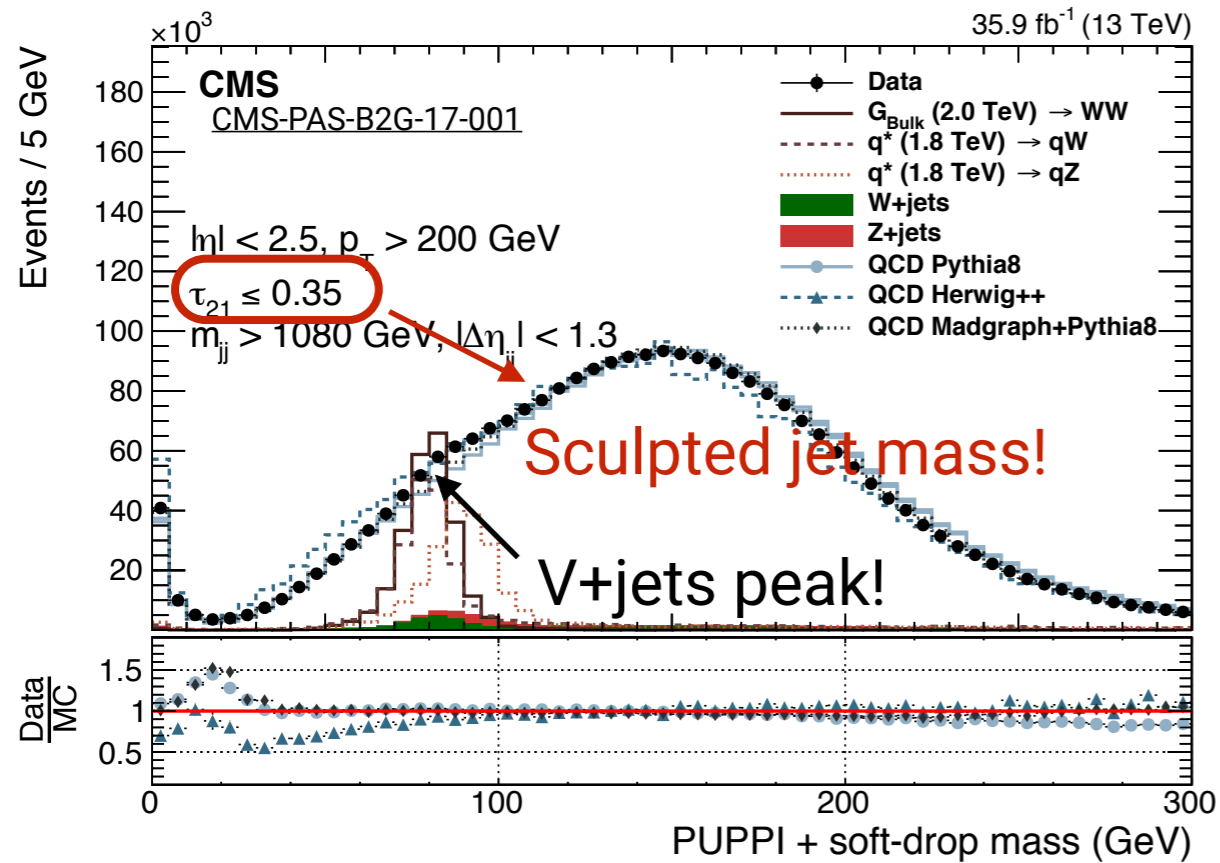


# Systematics 2015

---

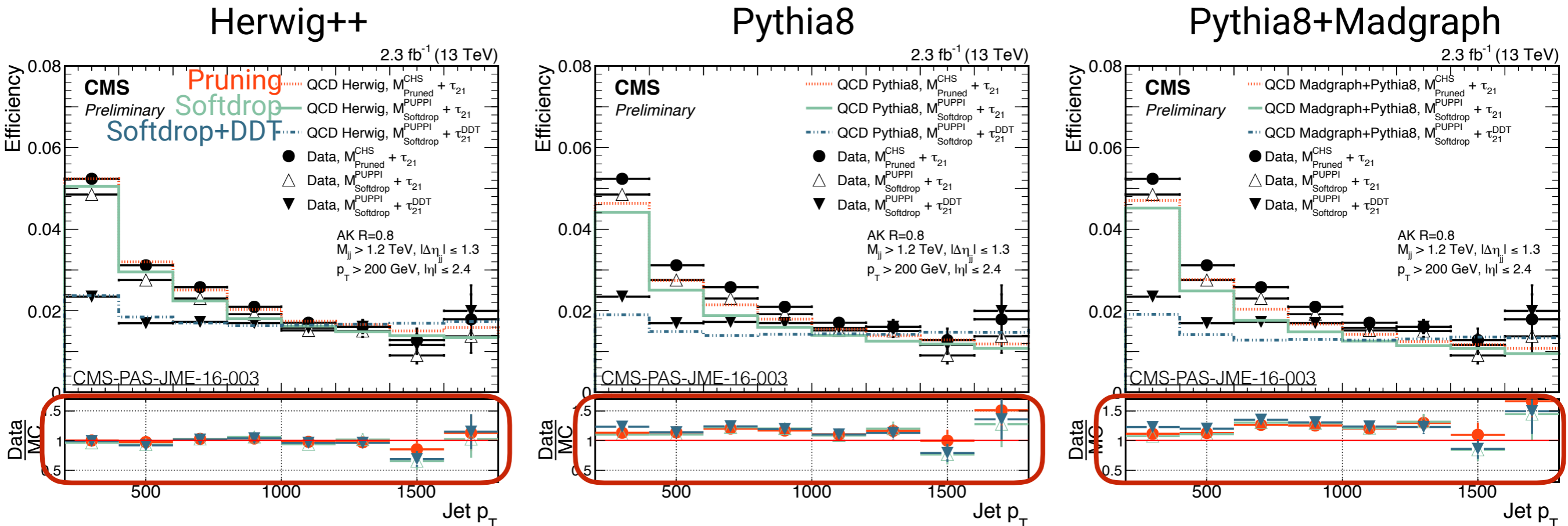
Source	Relevant quantity	HP uncertainty (%)	LP uncertainty (%)
Jet energy scale	Resonance shape	2	2
Jet energy resolution	Resonance shape	10	10
Jet energy and $m_{\text{jet}}$ scale	Signal yield	0.1–4	
Jet energy and $m_{\text{jet}}$ resolution	Signal yield	0.1–1.4	
Pileup	Signal yield	2	
Integrated luminosity	Signal yield	2	
PDFs ( $W'$ )	Signal yield	4–19	
PDFs ( $Z'$ )	Signal yield	4–13	
PDFs ( $G_{\text{bulk}}$ )	Signal yield	9–77	
Scales ( $W'$ )	Signal yield	1–14	
Scales ( $Z'$ )	Signal yield	1–13	
Scales ( $G_{\text{bulk}}$ )	Signal yield	8–22	
Jet energy and $m_{\text{jet}}$ scale	Migration	1–50	
V tagging $\tau_{21}$	Migration	14	21
V tagging $p_{\text{T}}$ -dependence	Migration	7–14	5–11

# Developing a new V-tagger: Performance in data



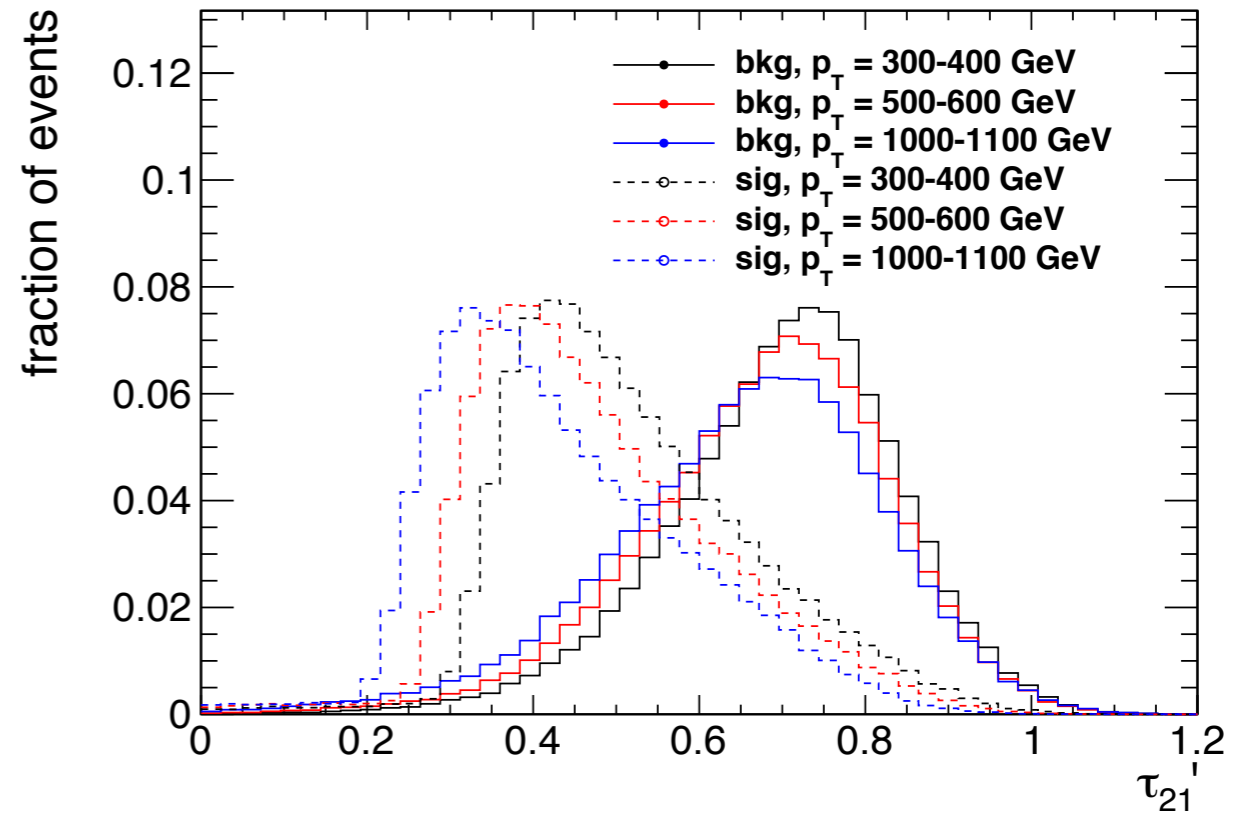
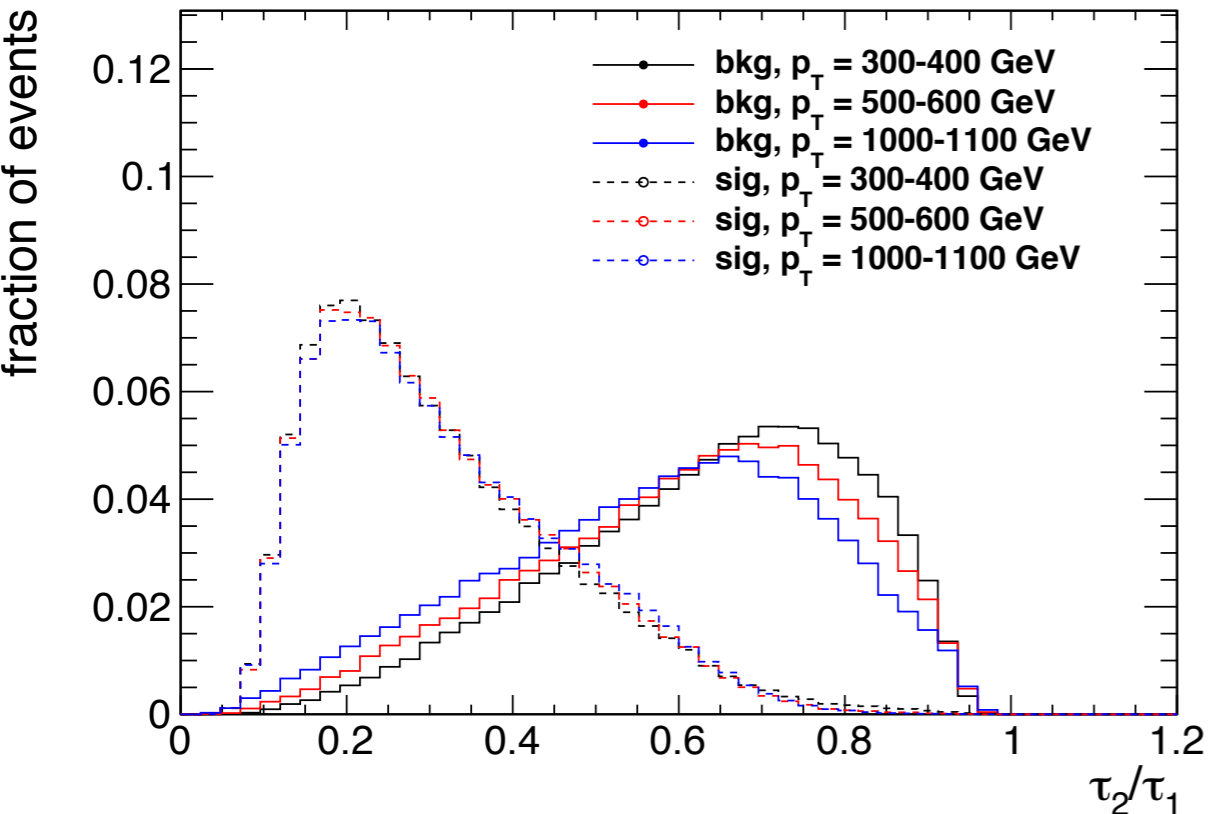
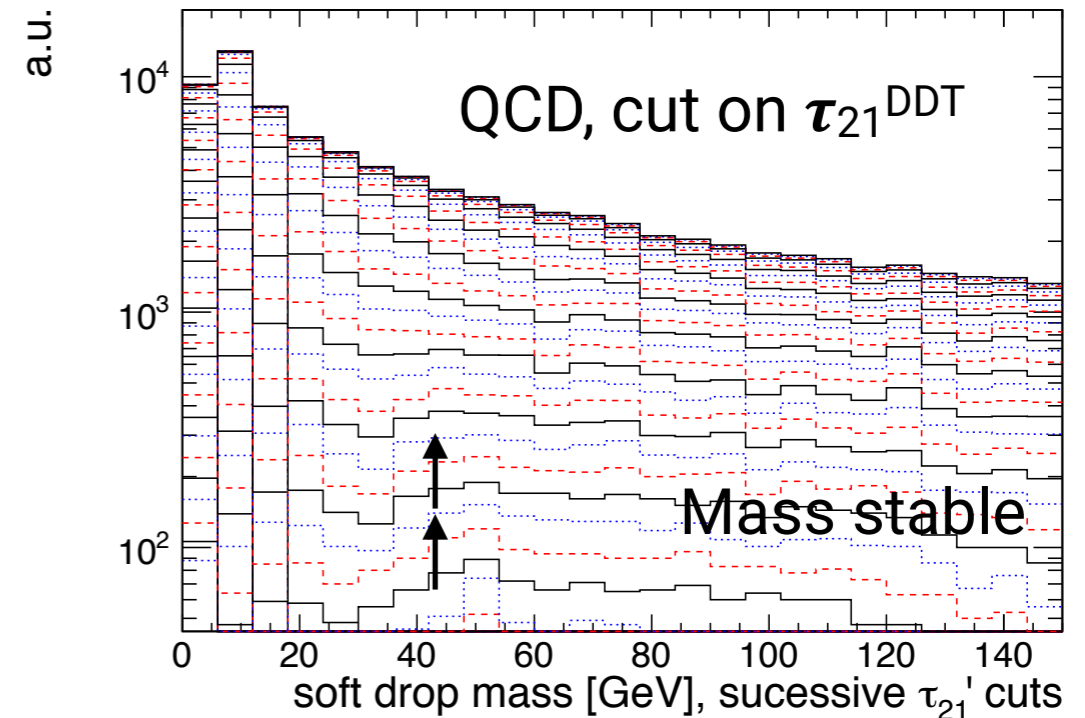
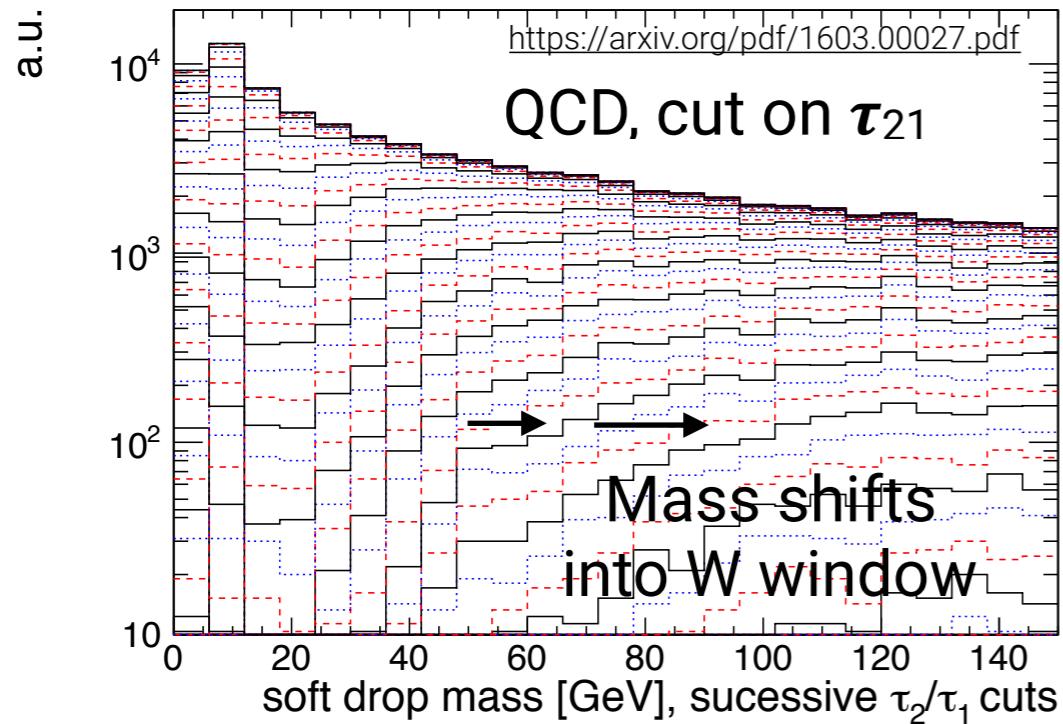


# Developing a new V-tagger: Performance in data



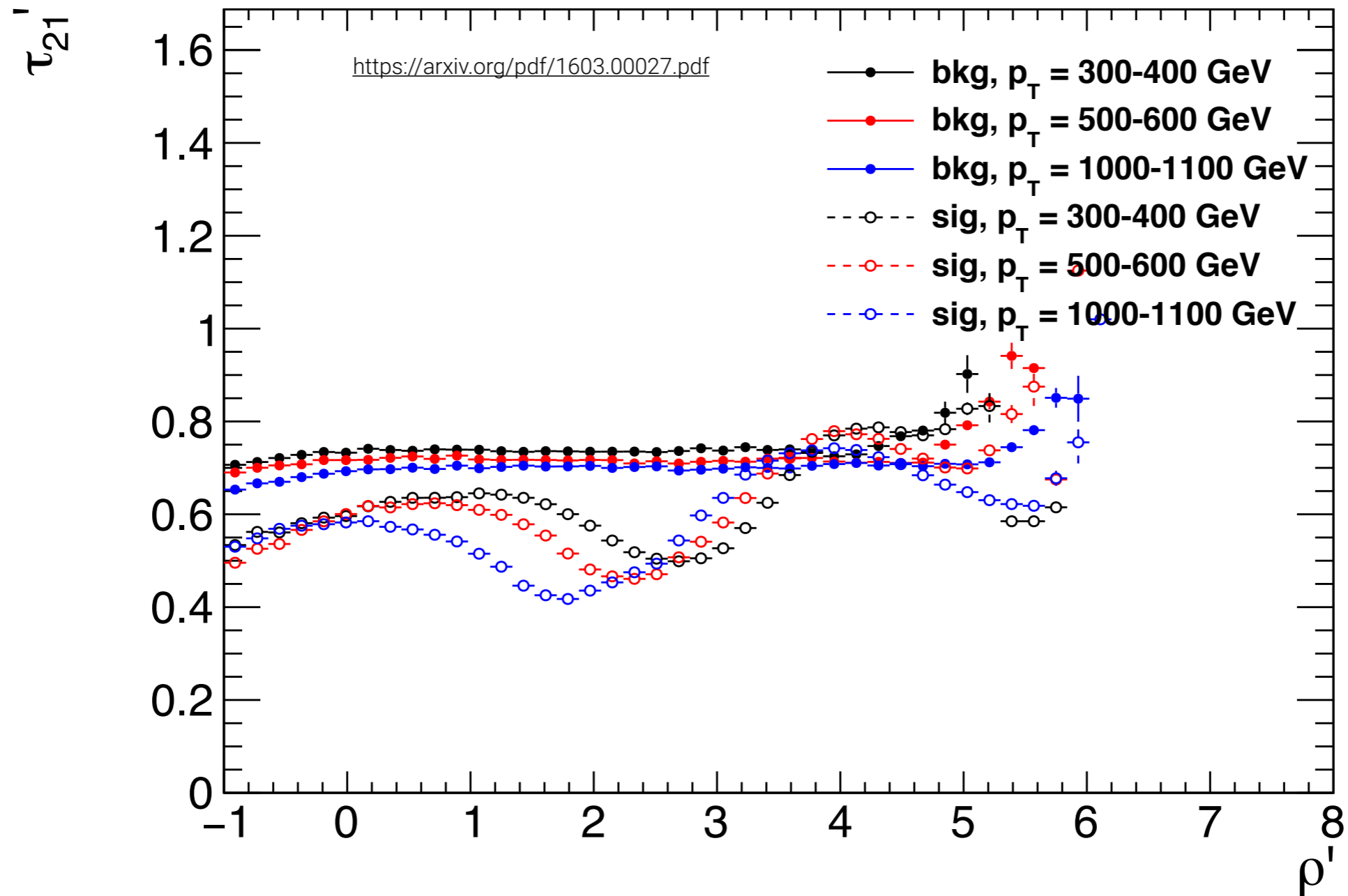
- Substructure variables strong dependence on shower generator
  - different description of gluon radiation
- Best description with Herwig++ ,  $p_T$  dependence well described by all generators
- Need to ensure we know real signal eff. in data from region well described by MC!

# Designing Decorrelated Taggers (DDT)



# N-subjettiness DDT

$$\tau_{21}^{DDT} = \tau_{21} - 0.63 \times \log\left(\frac{m^2}{p_T \cdot 1 \text{ GeV}}\right)$$



# W-tag SF method

---

Scale factors for W tagging scale factors (SF) are measured for five different working points 3 for Puppi+Soft Drop algorithm ( $\tau_{21} \geq 0.35$ ;  $\tau_{21} \geq 0.4$ ;  $\tau_{21} \geq 0.55$ ) and 2 for the CHS+Pruning algorithm ( $\tau_{21} \geq 0.45$ ;  $\tau_{21} \geq 0.6$ ).

To extract the Scale Factors for the Scale ( $\mu$  in the following), Resolution ( $\sigma$  in the following) and the  $\tau_{21}$  Efficiency ( $\varepsilon$  in the following) a two step fit to a pure tt sample is used:

1. fit to W-enriched category (High Purity:  $\tau_{21} < X$ ) to extract  $\mu$  and  $\sigma$  of the distribution
2. simultaneous fit to both High Purity and Low Purity ( $\tau_{21} > X$ ) categories, using the information for  $\mu$  and  $\sigma$  from step 1 and extracting  $\varepsilon$

The functions used to describe the two categories as a function of the ak08 groomed mass are:

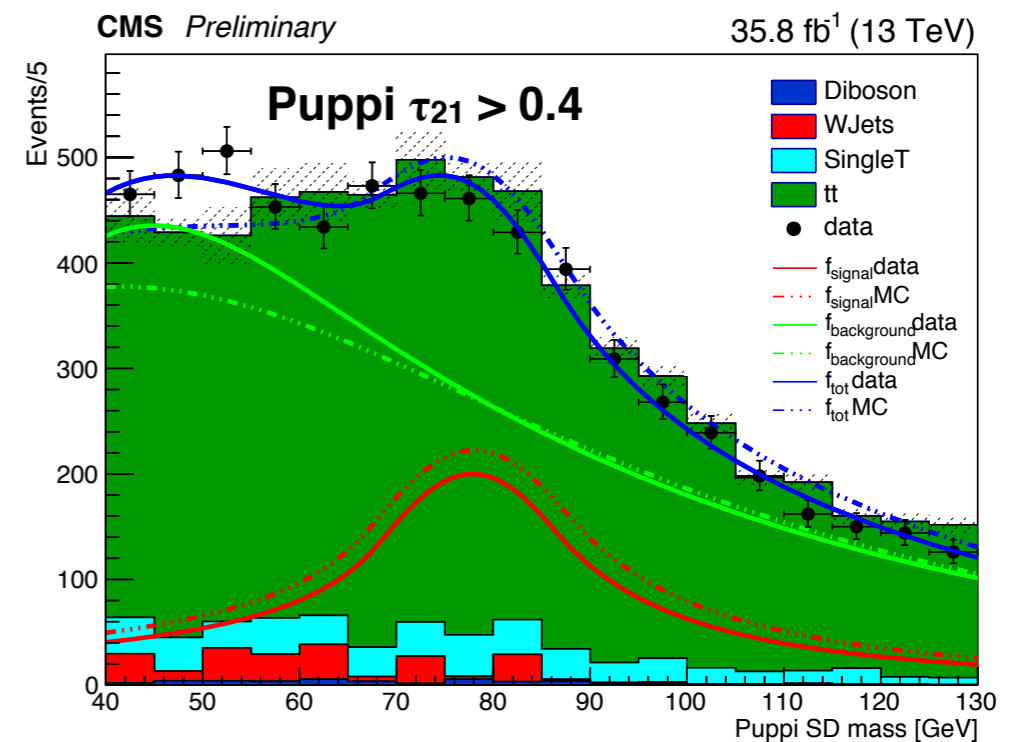
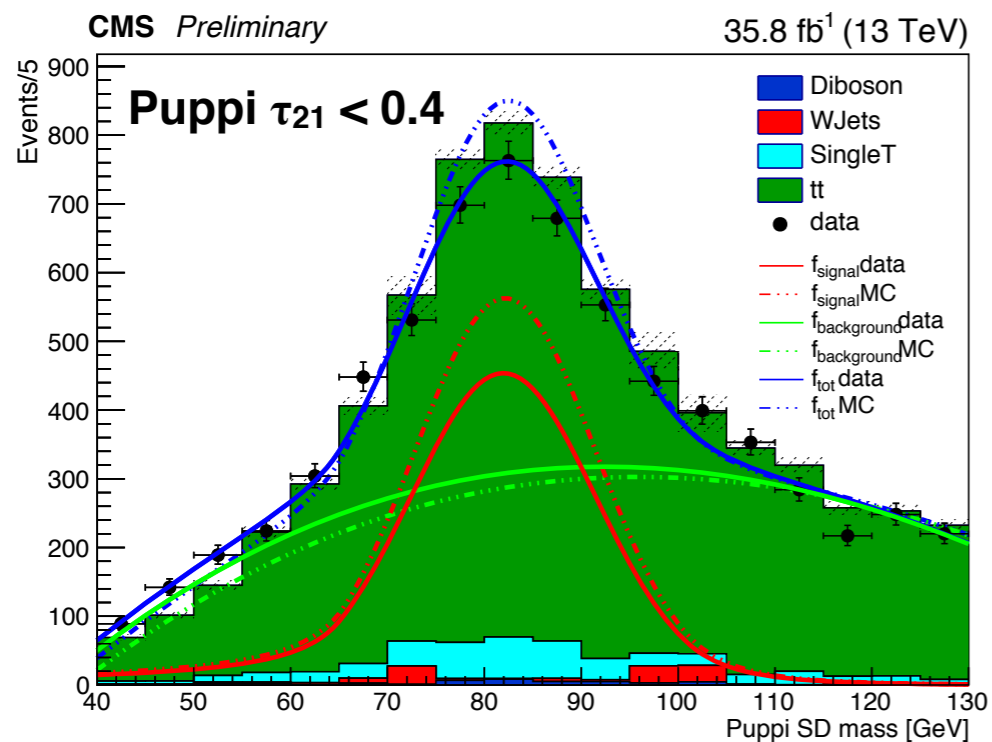
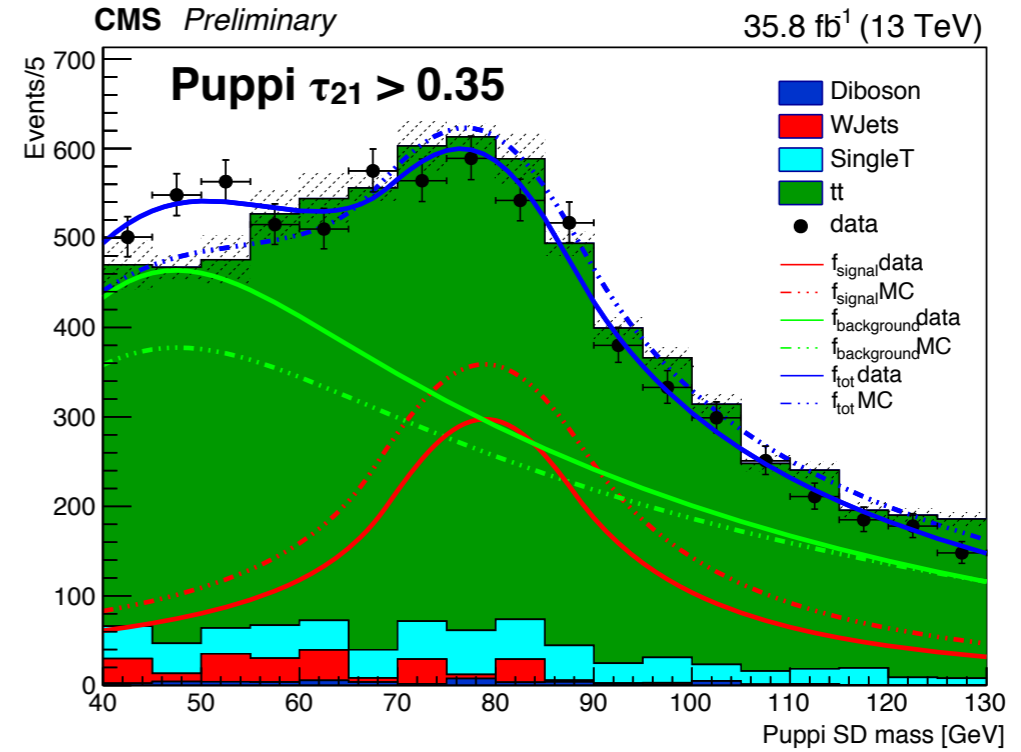
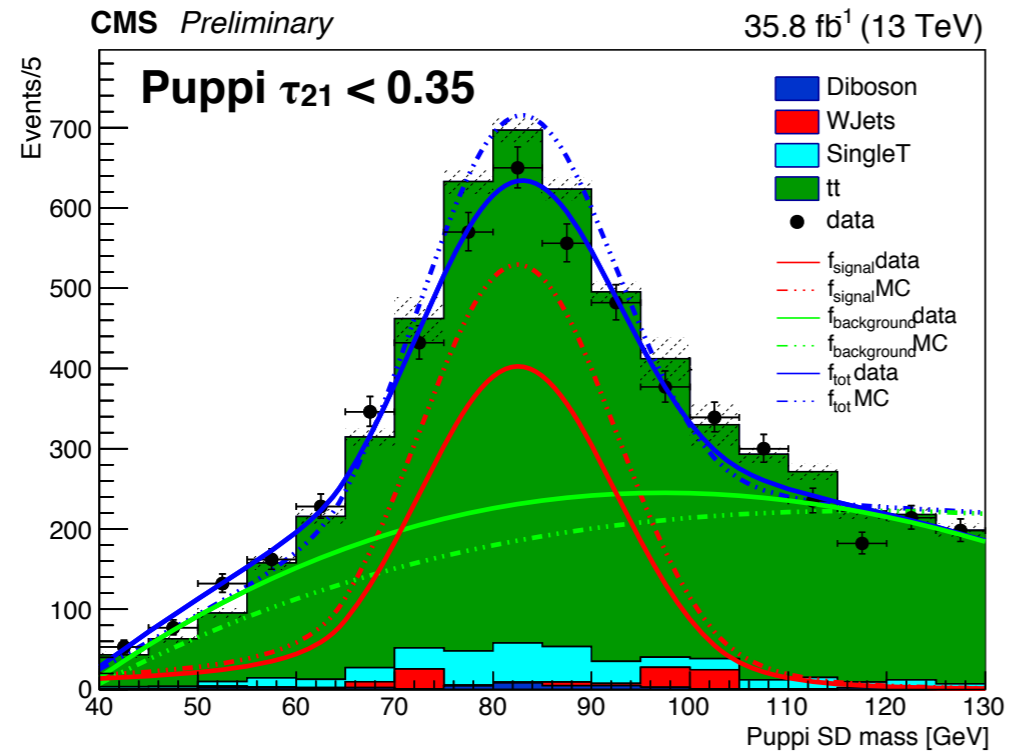
$$\text{High Purity} \quad L = \prod_i^{N_{pass}} [N_W \cdot \varepsilon_{HP} \cdot f_{passed}(m_j) + N_2 \cdot f_{comb}(m_j)]$$

$$\text{Low Purity} \quad L = \prod_i^{N_{fail}} [N_W \cdot (1 - \varepsilon_{HP}) \cdot f_{fail}(m_j) + N_3 \cdot f'_{comb}(m_j)]$$

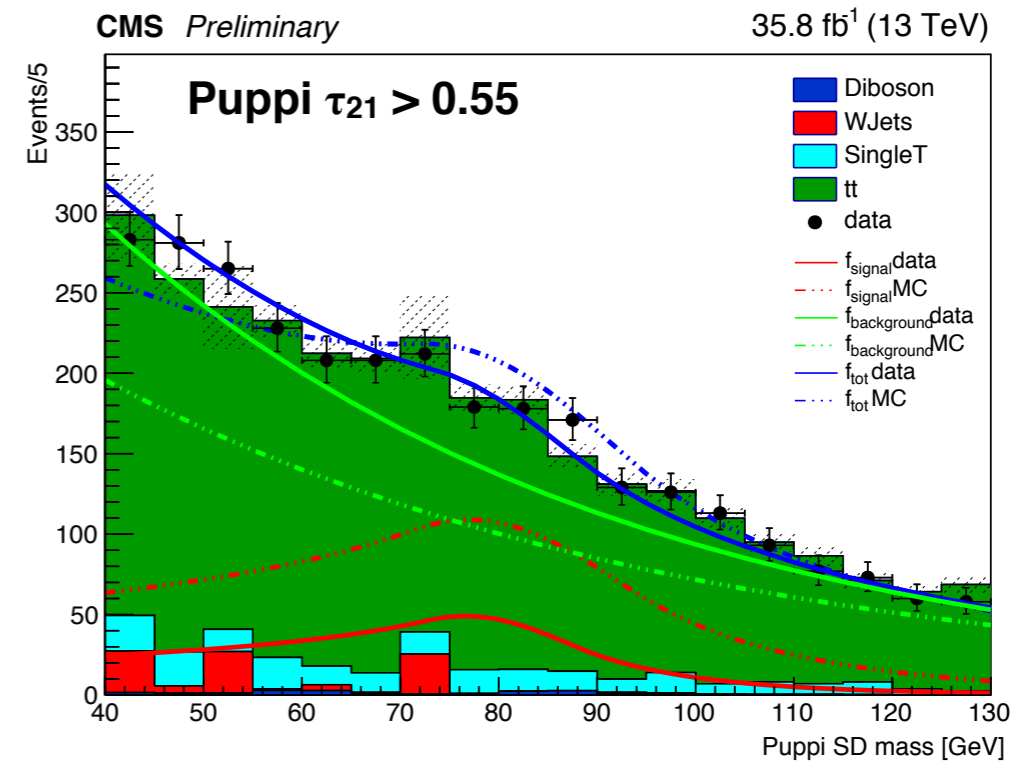
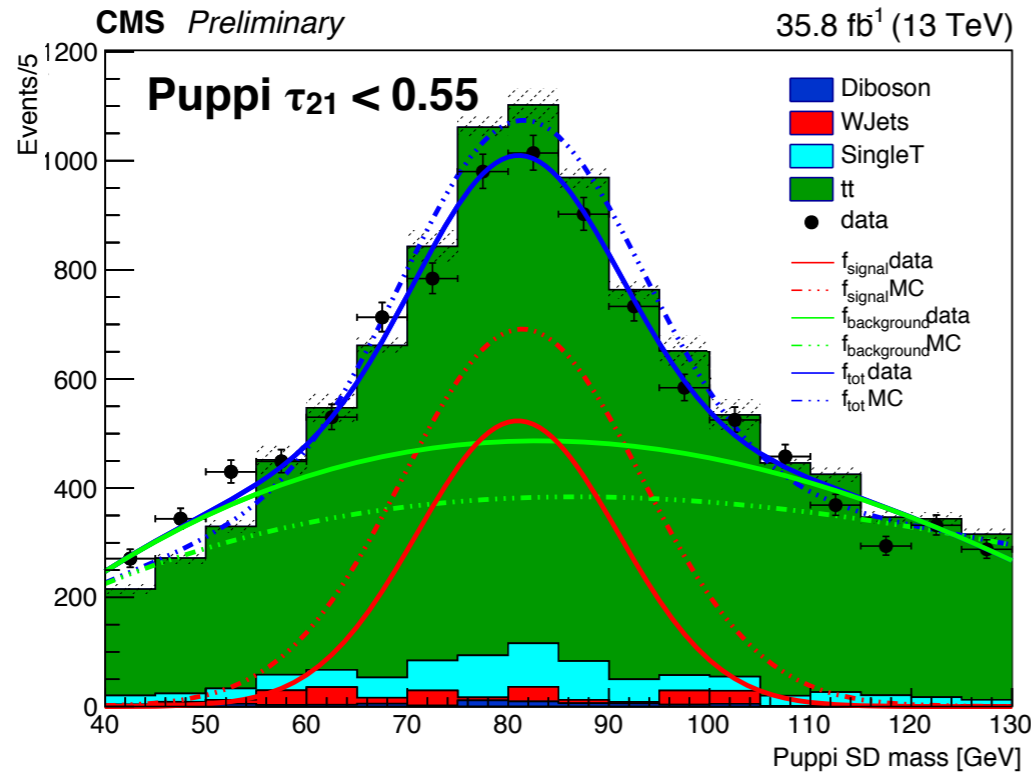
Where  $f_{passed}$  and  $f_{fail}$  (red curves in the following plots) describe the W peak in the two categories, while  $f_{comb}$  (green curves in the following plots) describe the combinatorial (e.g. from events with a b-jet merged in the AK08 jet) and general background of the tt events.

The events used for the SF extraction require in the final state 1 ak08 ( $p_t > 200$ ) + 1 b-tagged ak04 + 1 lepton + 1 semileptonic W ( $p_t > 200$  GeV) following the selection reported in JME-16-003.

# W-tag SF - Softdrop



# W-tag SF summary

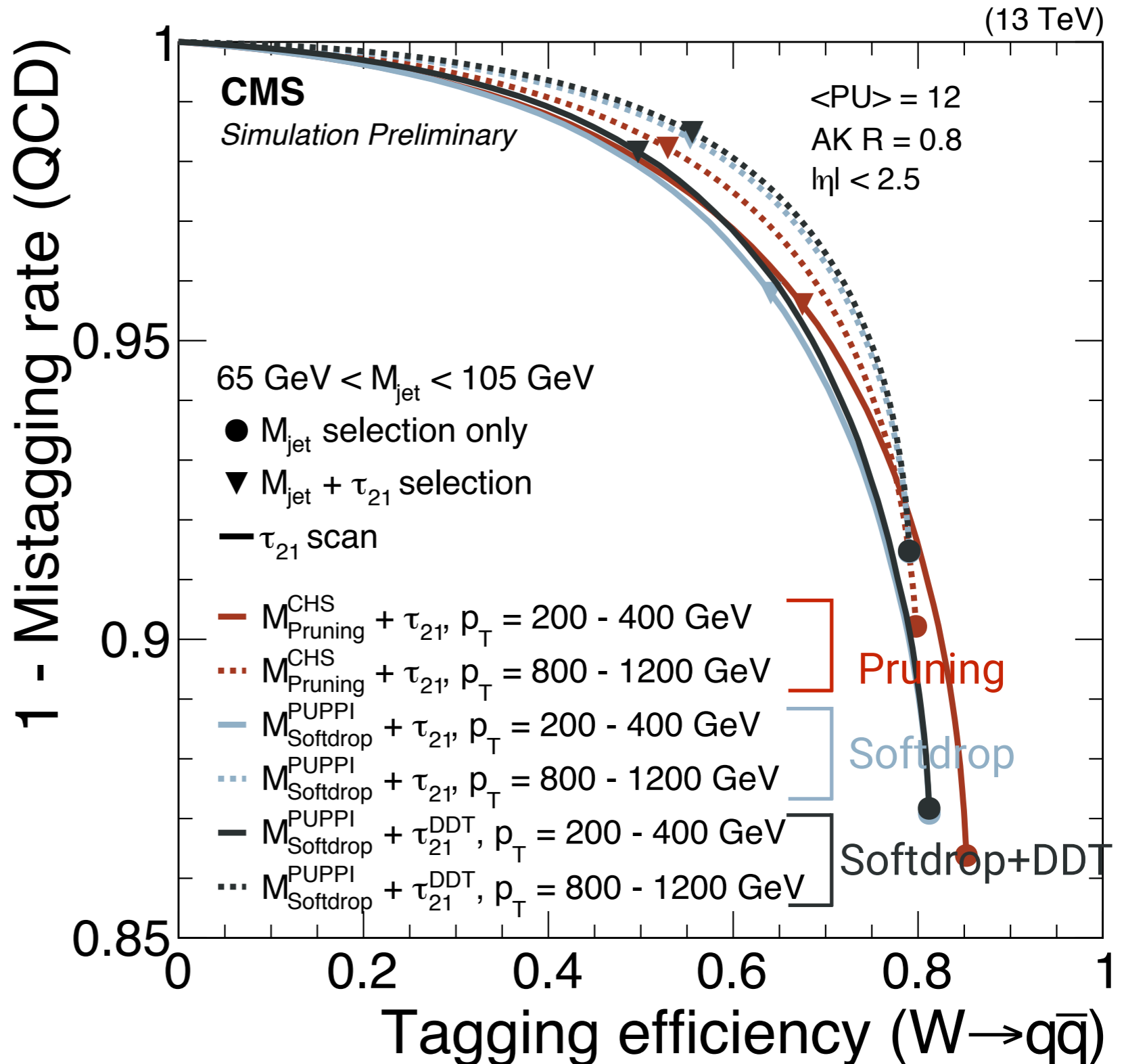


Variable	Scale Factor	Variable	Scale Factor
$\tau_{21}$ WP = 0.45		Puppi $\tau_{21}$ WP = 0.35	
$\varepsilon$	$1.00 \pm 0.06$ (stat) $\pm 0.06$ (syst) $\pm 0.03$ (syst)	$\varepsilon$	$0.99 \pm 0.05$ (stat) $\pm 0.03$ (syst) $\pm 0.04$ (syst)
$\mu$	$1.007 \pm 0.009$ (stat) $\pm 0.005$ (syst) $\pm 0.002$ (syst)	$\mu$	$0.999 \pm 0.009$ (stat) $\pm 0.03$ (syst) $\pm 0.02$ (syst)
$\sigma$	$1.15 \pm 0.04$ (stat) $\pm 0.03$ (syst) $\pm 0.02$ (syst)	$\sigma$	$1.06 \pm 0.03$ (stat) $\pm 0.09$ (syst) $\pm 0.08$ (syst)
$\tau_{21}$ WP = 0.6		Puppi $\tau_{21}$ WP = 0.4	
$\varepsilon$	$1.08 \pm 0.06$ (stat) $\pm 0.05$ (syst) $\pm 0.05$ (syst)	$\varepsilon$	$1.01 \pm 0.06$ (stat) $\pm 0.02$ (syst) $\pm 0.04$ (syst)
$\mu$	$1.005 \pm 0.005$ (stat) $\pm 0.006$ (syst) $\pm 0.005$ (syst)	$\mu$	$0.998 \pm 0.007$ (stat) $\pm 0.006$ (syst) $\pm 0.001$ (syst)
$\sigma$	$1.12 \pm 0.03$ (stat) $\pm 0.02$ (syst) $\pm 0.04$ (syst)	$\sigma$	$1.08 \pm 0.02$ (stat) $\pm 0.03$ (syst) $\pm 0.08$ (syst)
$\tau_{21}$ WP = 0.55		Puppi $\tau_{21}$ WP = 0.55	
		$\varepsilon$	$1.04 \pm 0.02$ (stat) $\pm 0.03$ (syst) $\pm 0.02$ (syst)
		$\mu$	$0.996 \pm 0.004$ (stat) $\pm 0.009$ (syst) $\pm 0.002$ (syst)
		$\sigma$	$1.08 \pm 0.02$ (stat) $\pm 0.03$ (syst) $\pm 0.08$ (syst)

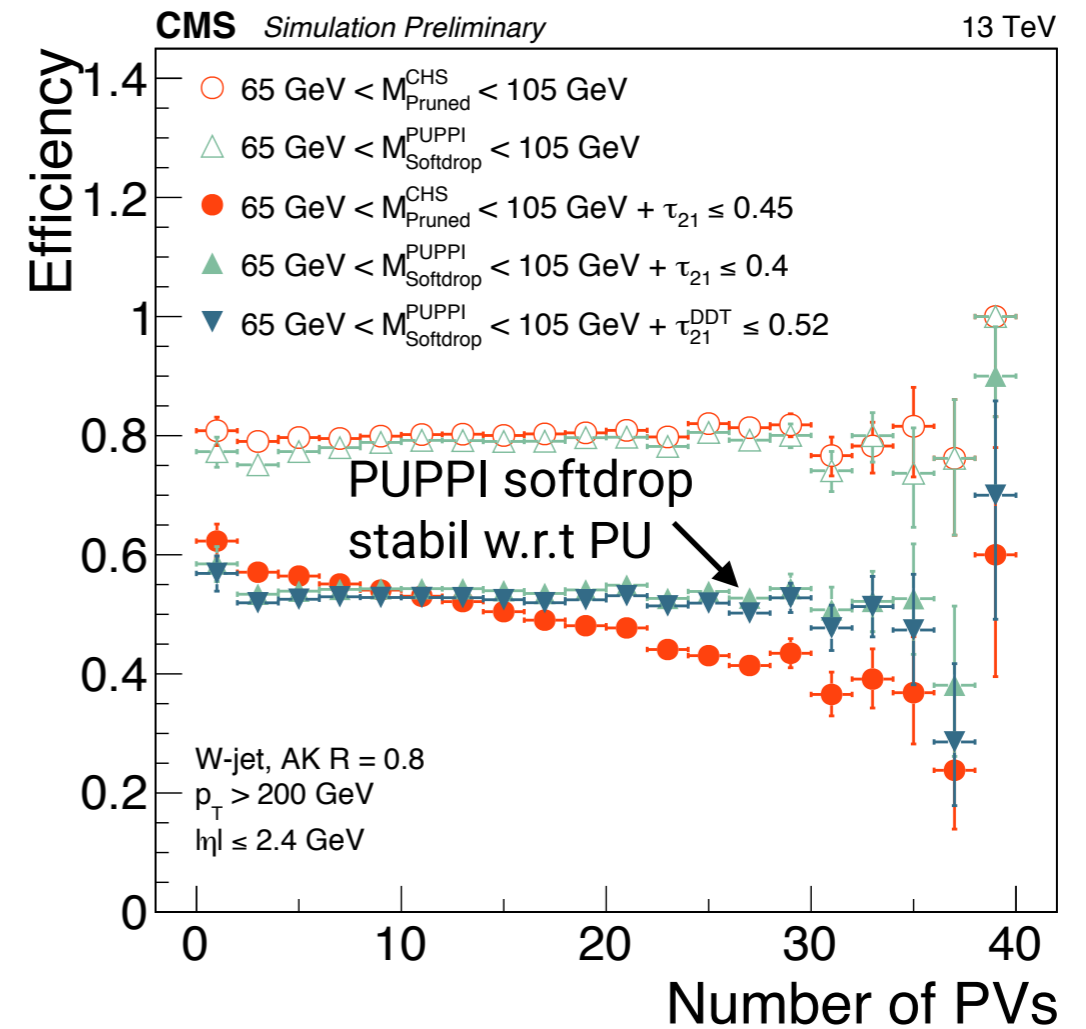
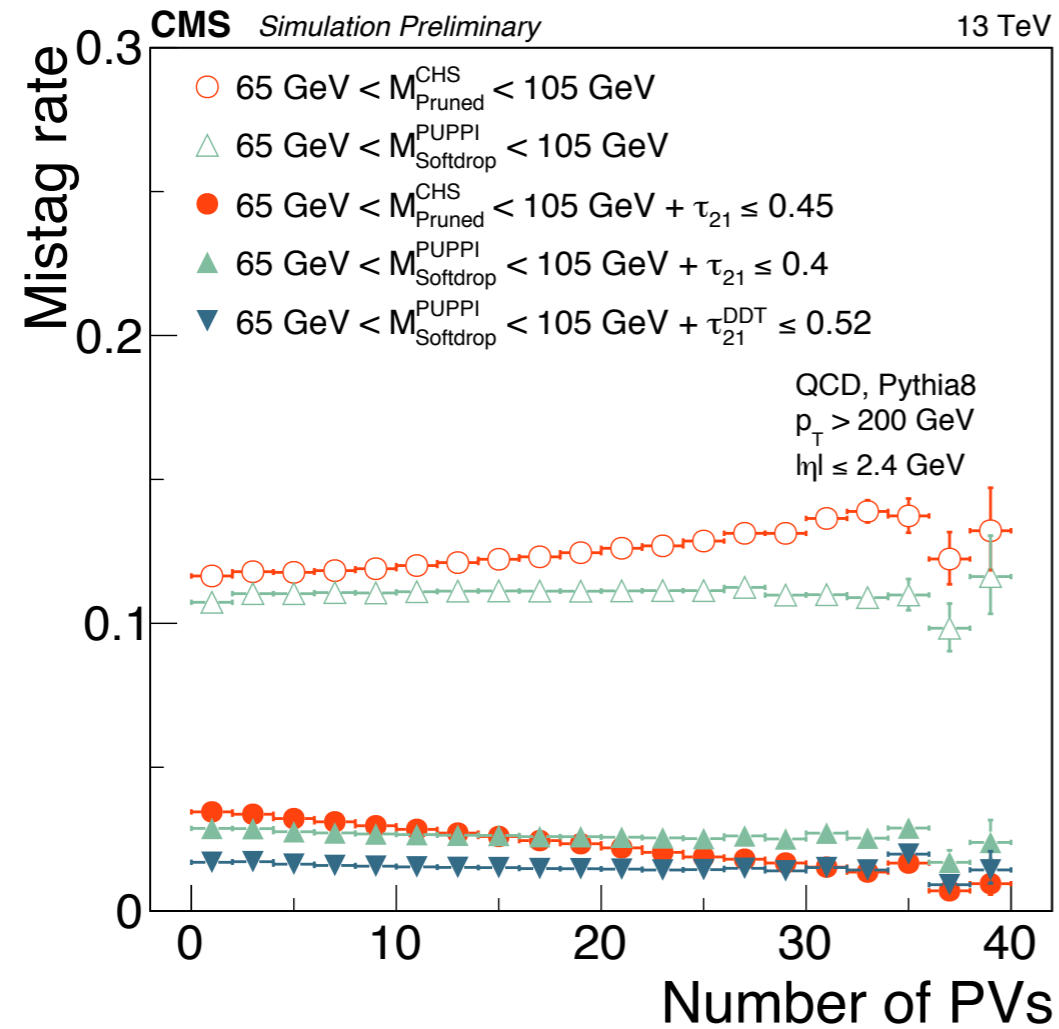
# V-tagging performance

- Similar performance for

- Low- $p_T$  (200-400 GeV):  
~65% signal efficiency  
at ~4% mistag rate
- High- $p_T$  (800-1200 GeV):  
50-55% signal efficiency  
at 1-2% mistag rate

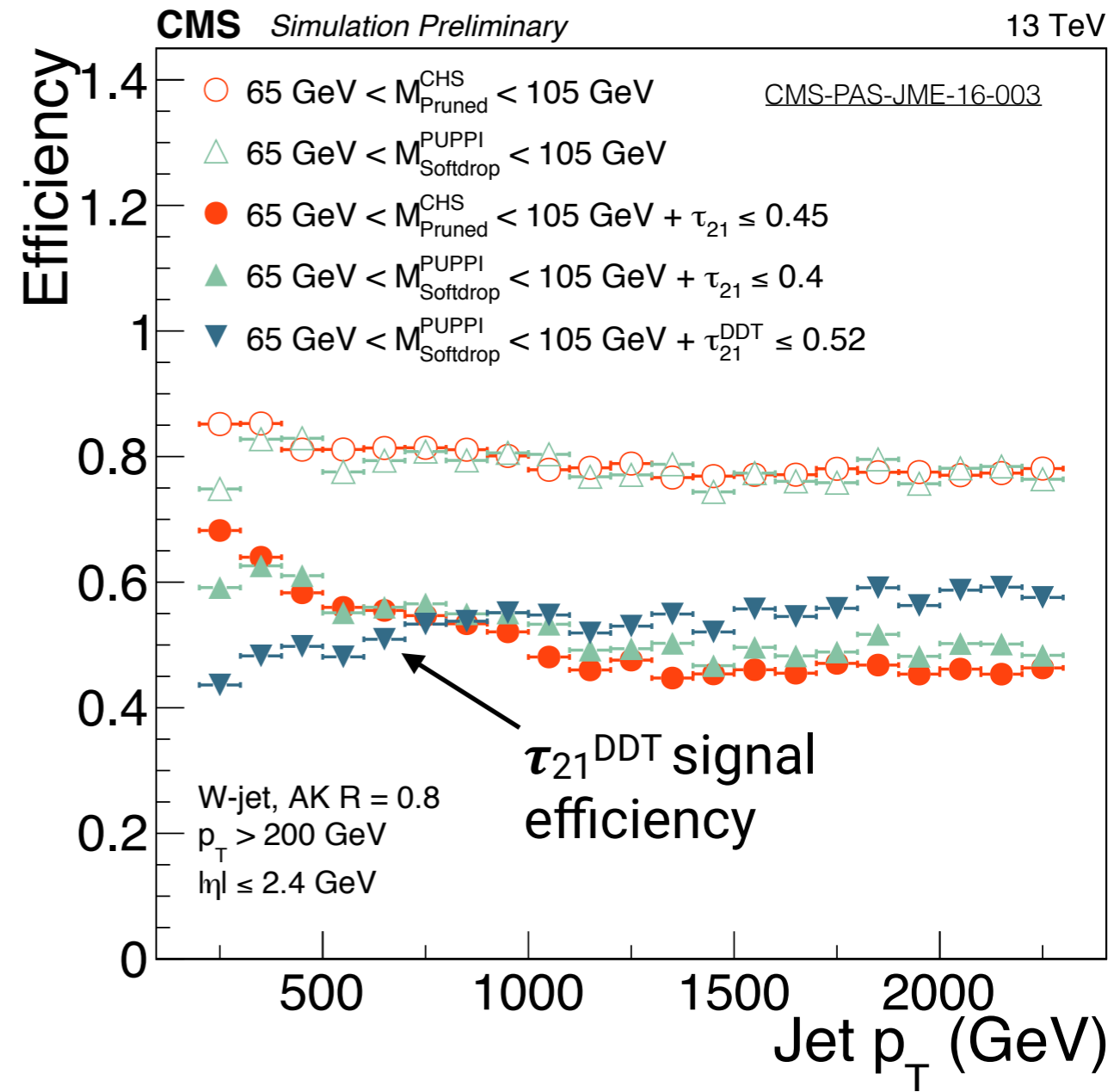
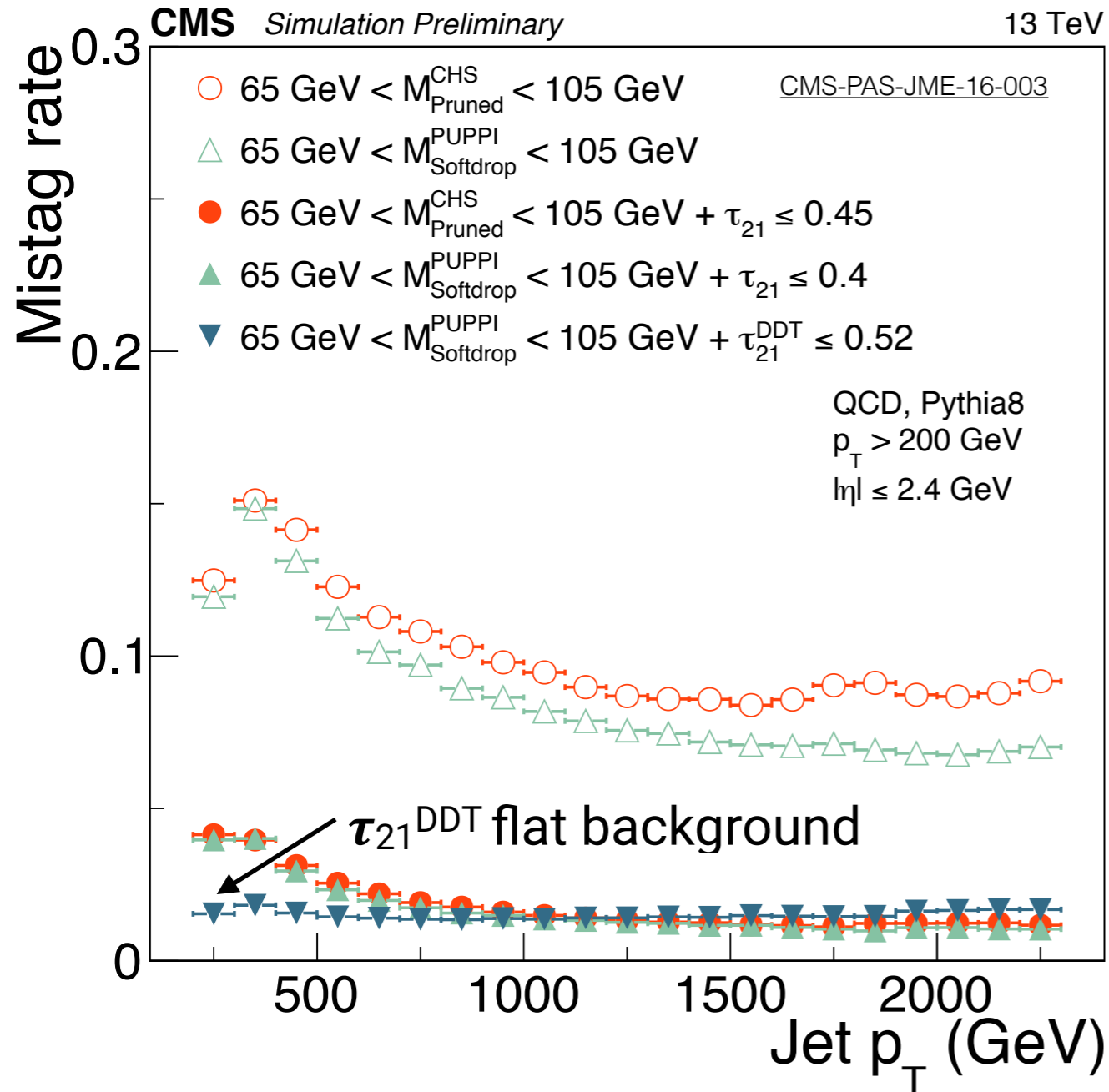


# V-tagging performance





# V-tagging performance



# Mass: Pruning

Removes soft, large angle constituents from the jet

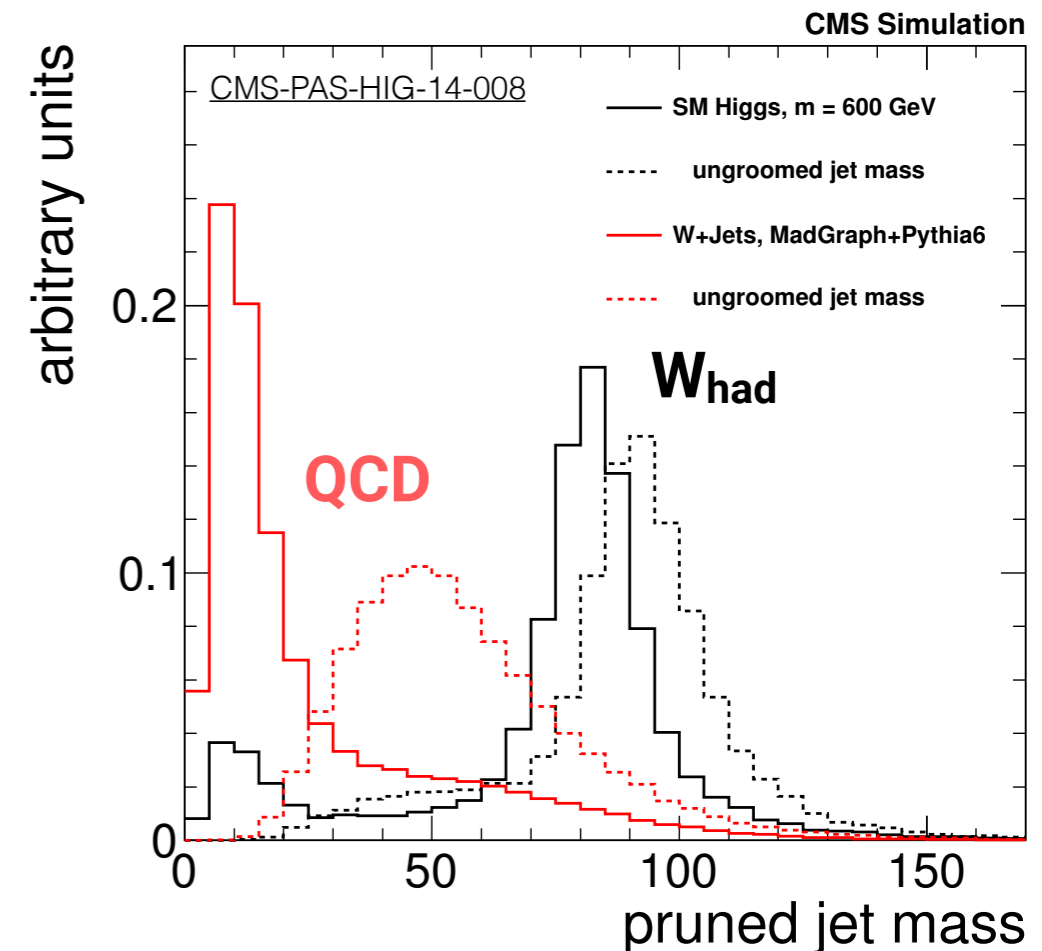
- Recluster jet using Cambridge-Aachen algorithm, removing each recombination that has

$$\Delta_{ab} > R_{\text{prune}} = R_{\text{fact}} \cdot \frac{2m}{p_T} \quad \min(p_{T_a}, p_{T_b}) < z_{\text{cut}} p_{T,(a+b)}$$

$$R_{\text{fact}} = 0.5, z_{\text{cut}} = 0.1$$

Push q/g mass to zero, increase V mass resolution

- but, do not fully remove soft emissions and cannot be analytically calculated due to non-global logs (e.g soft emissions entering jet cone from outside)
- want infrared and collinear safe jet observable!



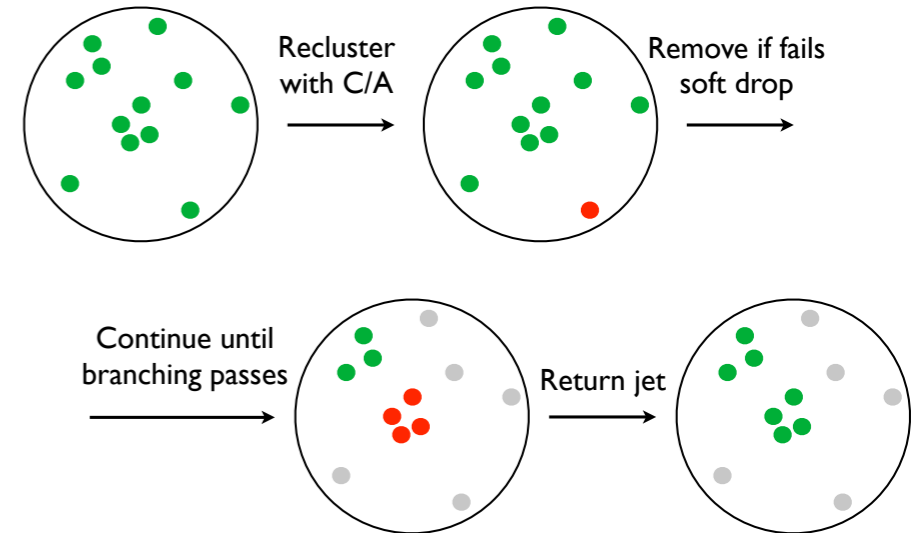
# Mass: Softdrop

Recluster jet with C-A algorithm. Then decluster and check if subjects pass

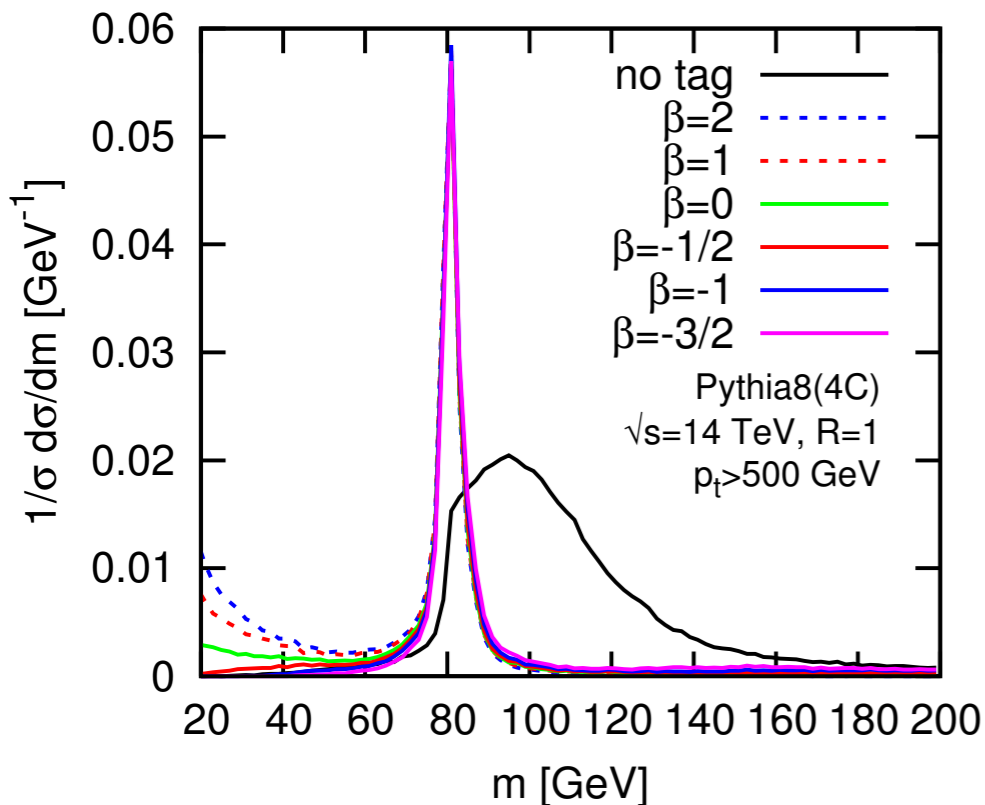
$$\frac{\min(p_{T1}, p_{T2})}{p_{T1} + p_{T2}} > z_{\text{cut}} \left( \frac{\Delta R_{12}}{R_0} \right)^\beta$$

Soft threshold
Angular exponent

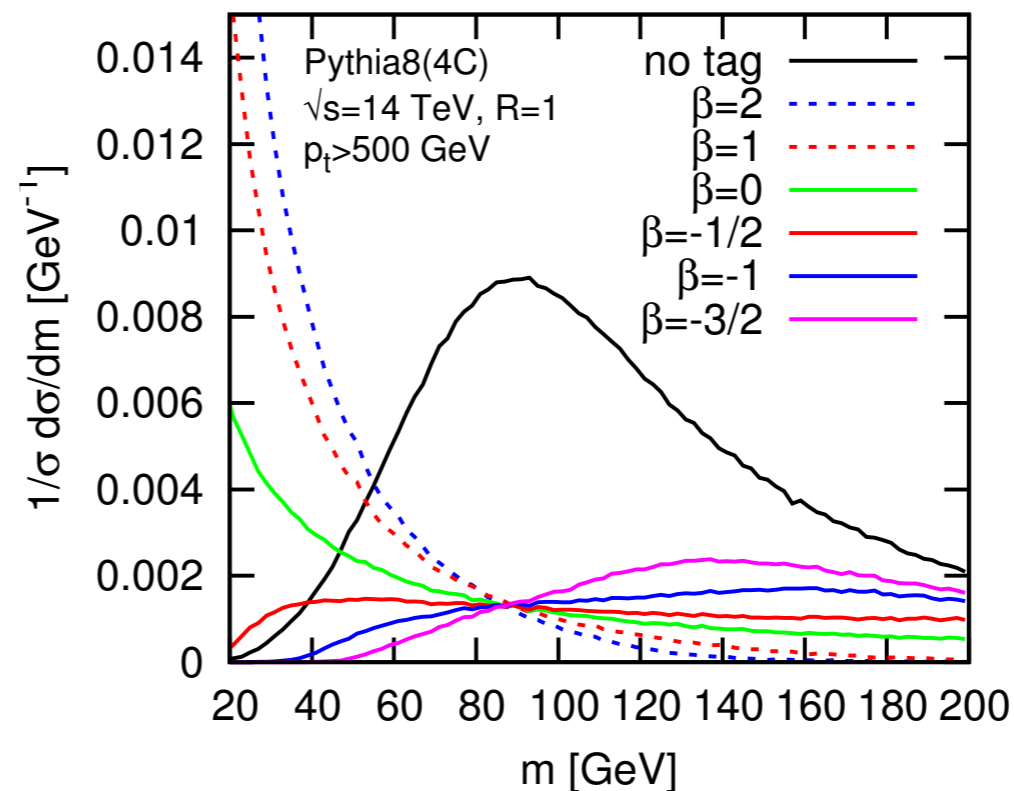
- in CMS  $\beta=0$ ,  $z_{\text{cut}} = 0.1$  (modified Mass Drop)



W jets



QCD jets



Tuned parameters:  
 $z_{\text{cut}}$  and  $\beta$

$\beta = \infty$   
no grooming

$\beta > 0$   
soft, wide angle removed  
some soft-collinear removed

$\beta = 0$   
all soft emissions removed  
modified Mass Drop limit

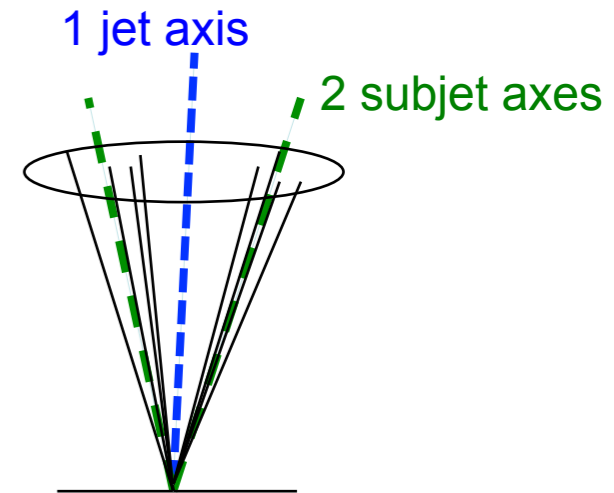
$\beta < 0$  CMS default  
all soft and collinear  
emissions removed

# Substructure: N-subjettiness

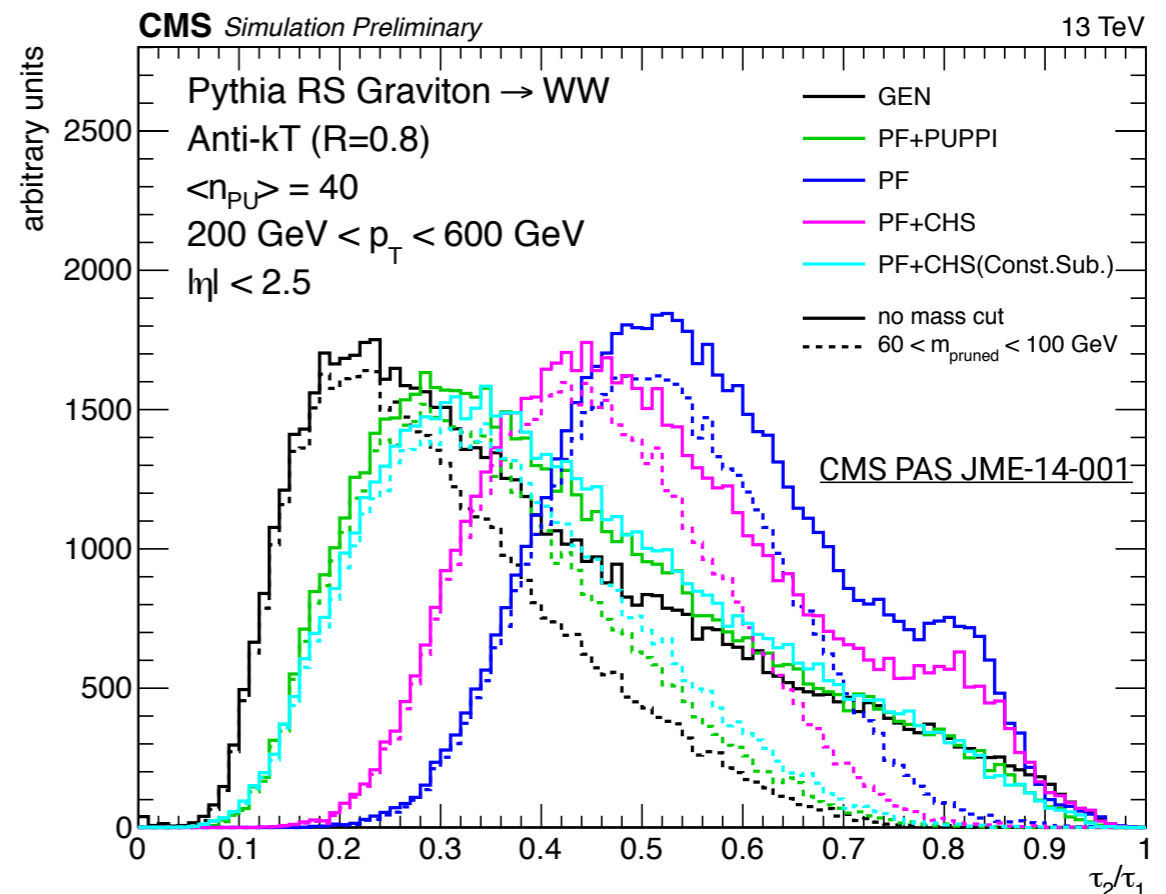
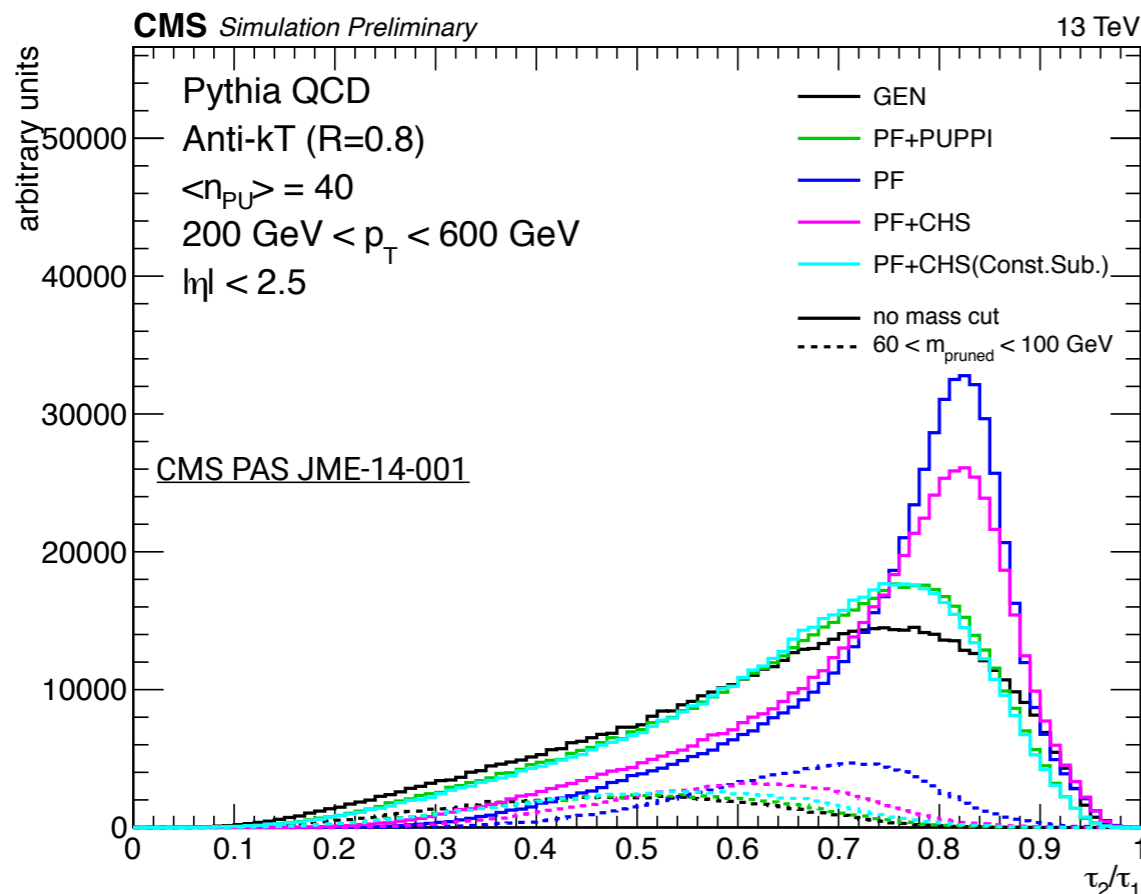
$p_T$ -weighted sum over all constituents of the distance w.r.t the closest of  $N$  axes in a jet

$$\tau_N = \frac{1}{d_0} \sum_k p_{T,k} \min((\Delta R_{1,k}), (\Delta R_{2,k}) \dots (\Delta R_{N,k}))$$

Distance between momentum of constituent  $k$  w.r.t momentum of rest-frame subject  $N$   
Each constituent assigned to nearest subject!



- axis obtained by undoing last  $(N-1)$  steps of clustering algorithm
- small  $\tau_N$  indicates compatibility with  $N$



# Energy correlation functions (EFCs)

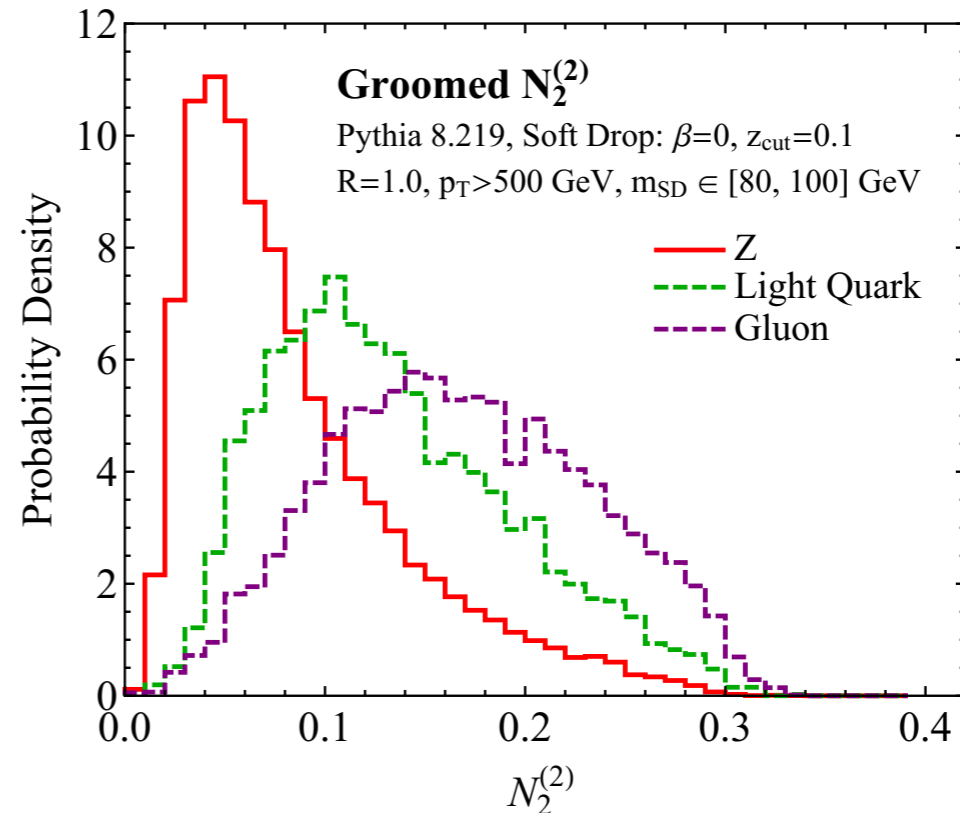
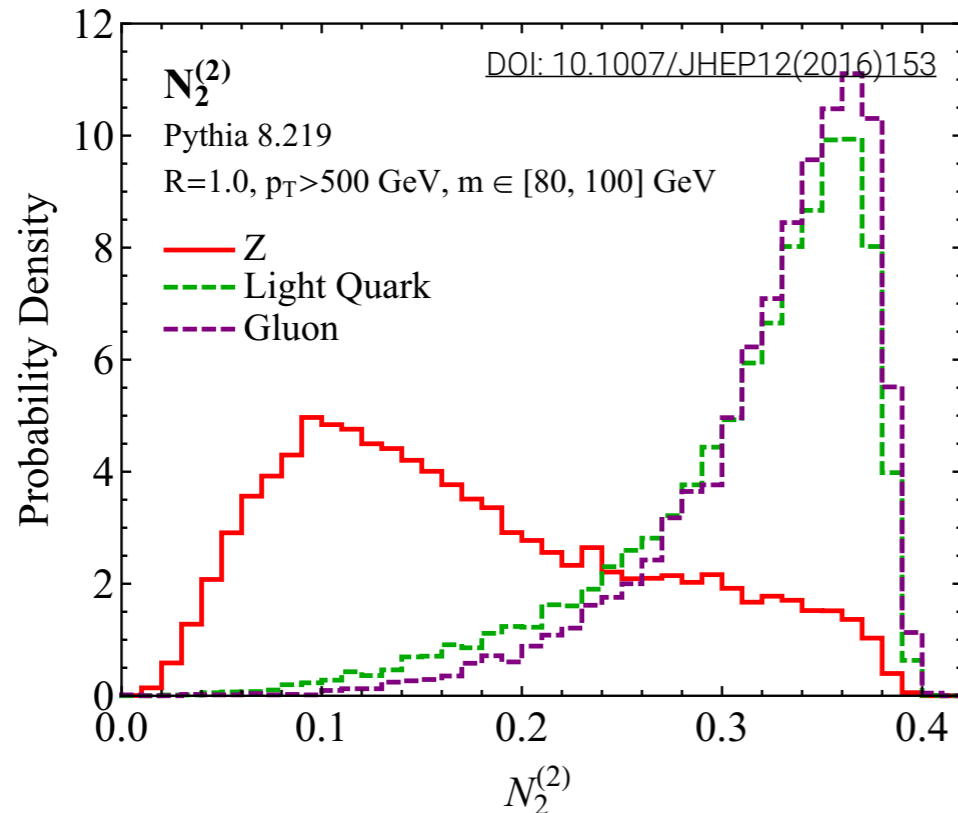
Signal jets satisfy the inequality  $2e_3 \ll (e_2)^2$ , explaining the definition of the  $N_2$  observable

$$N_2^\beta = \frac{2e_3^\beta}{(1e_2^\beta)^2} \leftarrow \begin{array}{l} \# \text{ particles} \\ \# \text{ angles} \end{array}$$

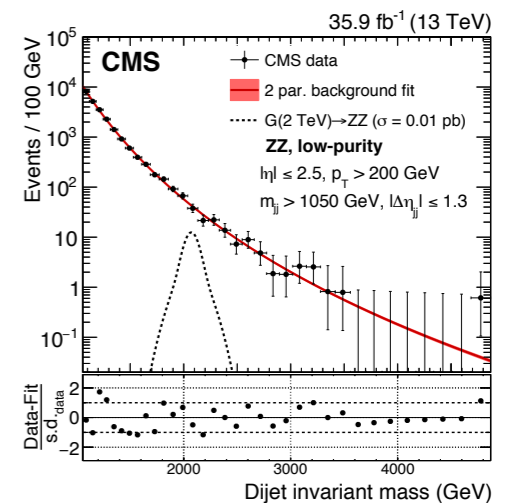
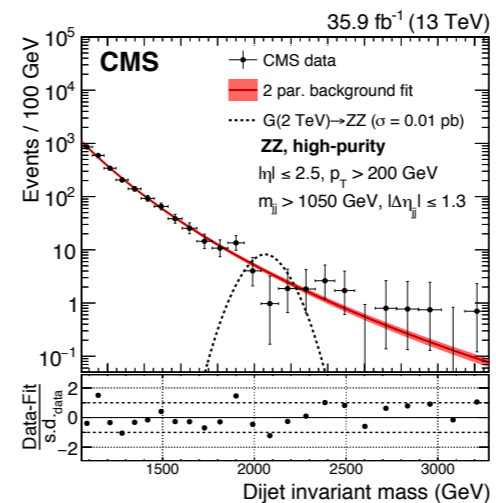
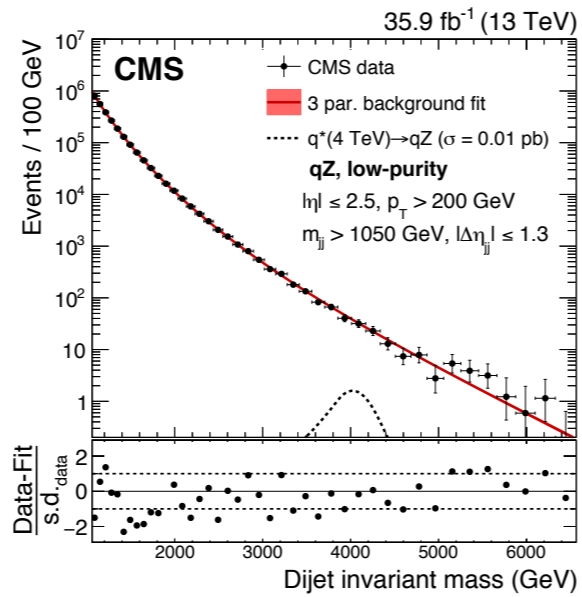
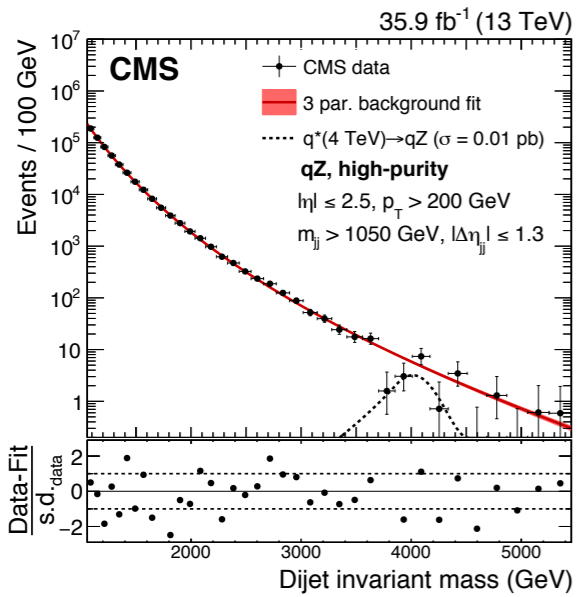
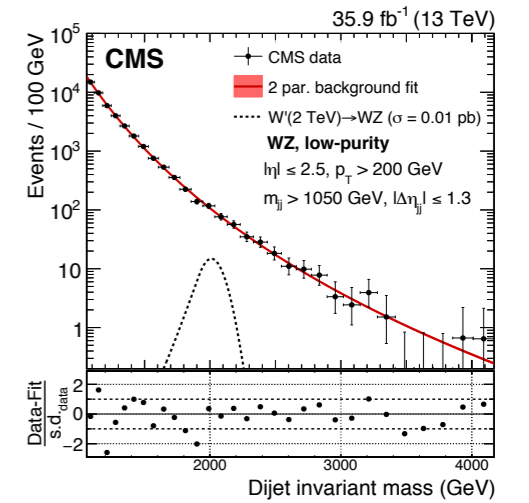
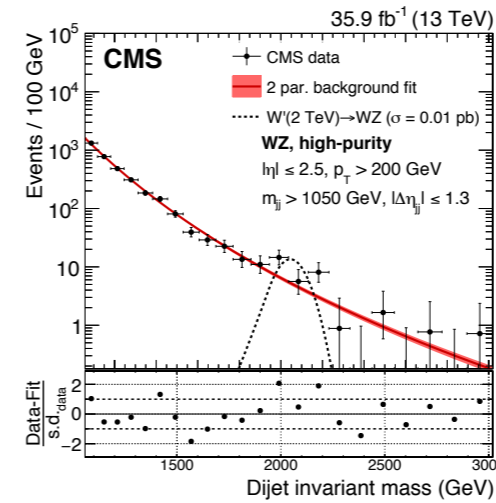
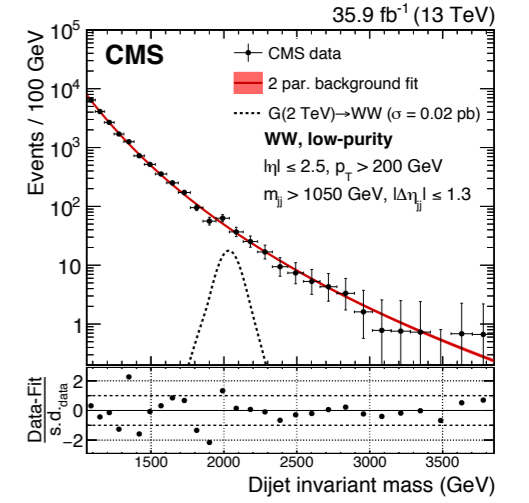
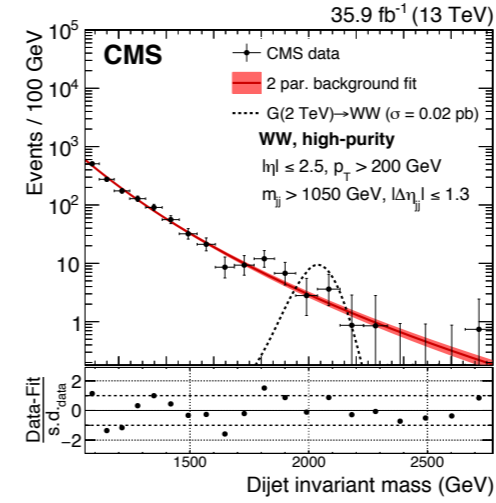
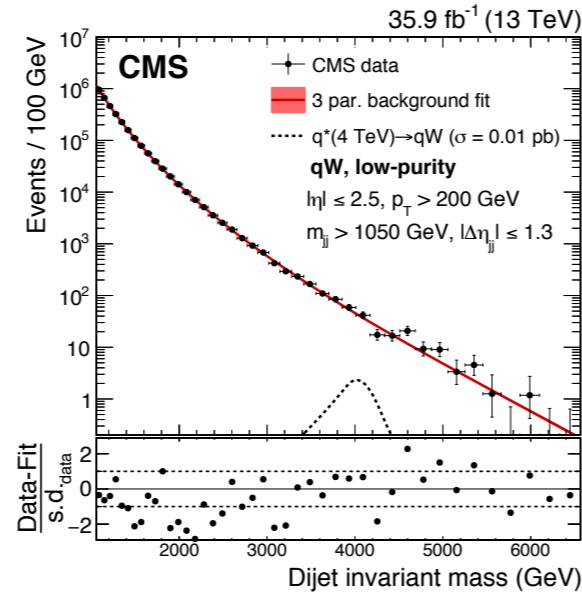
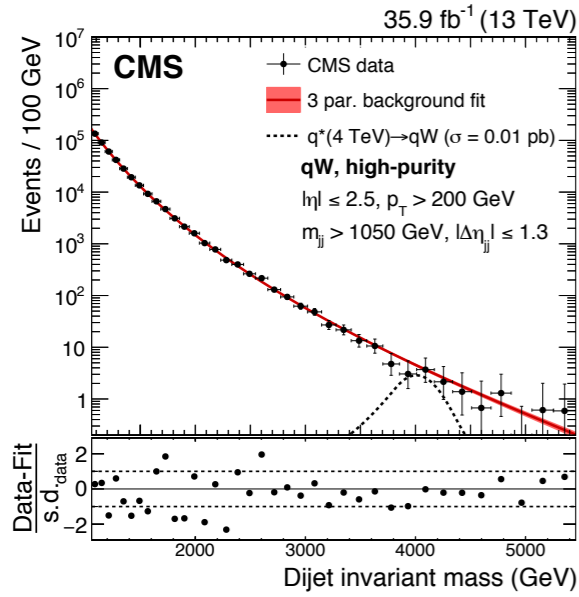
Less discriminating power after grooming applied

$$1e_2^1 = \sum_{1 \leq i < j \leq n_J} z_i z_j \Delta R_{ij}$$

$$2e_3^1 = \sum_{1 \leq i < j < k \leq n_J} z_i z_j z_k \min\{\Delta R_{ij} \Delta R_{ik}, \Delta R_{ij} \Delta R_{jk}, \Delta R_{ik} \Delta R_{jk}\}$$

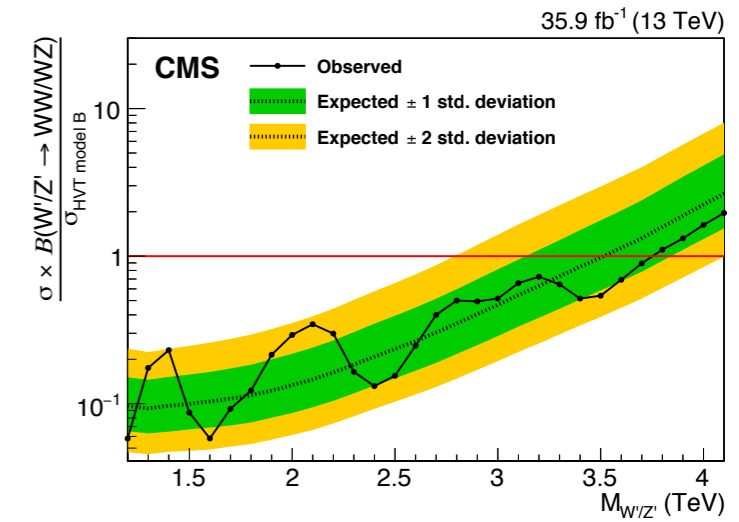
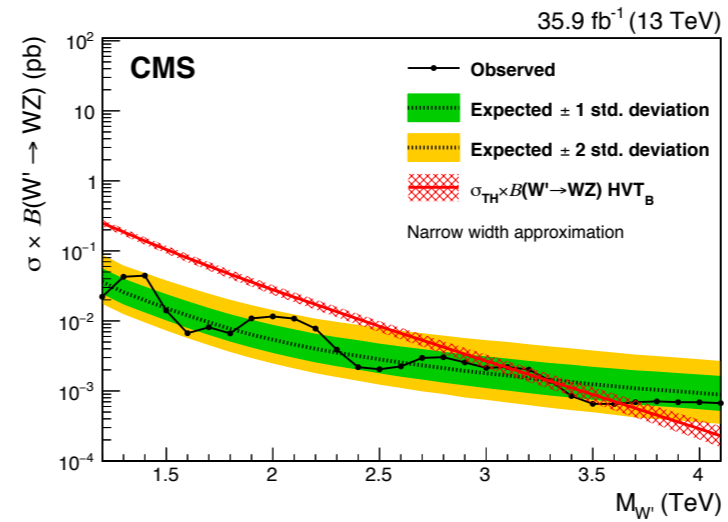
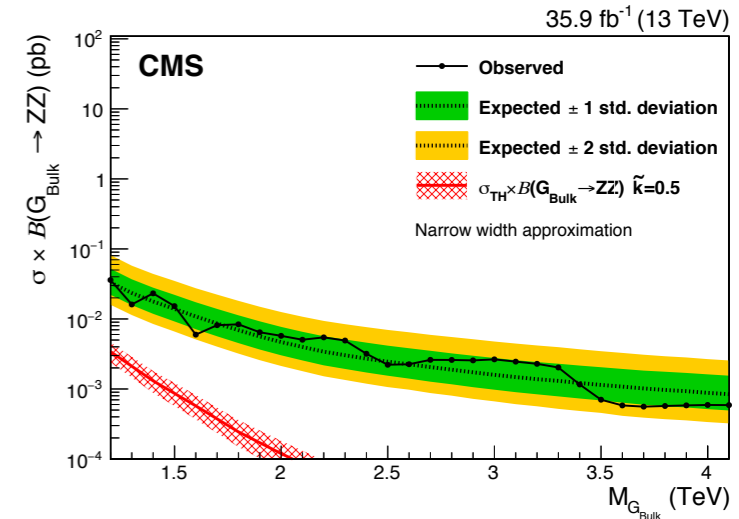
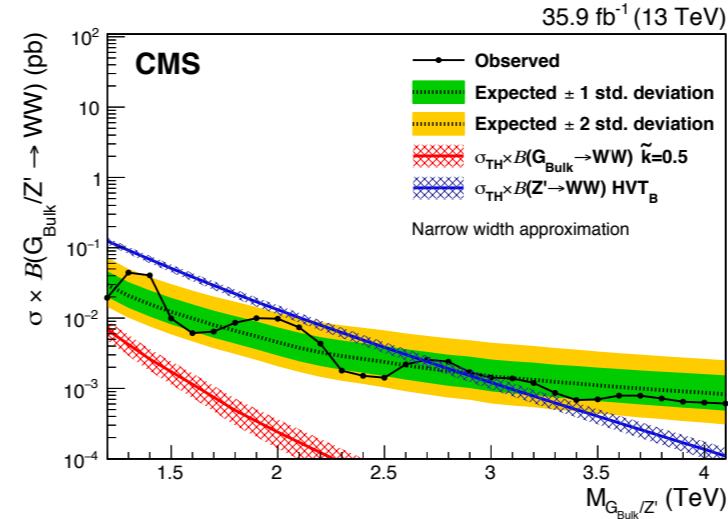


# B2G-17-001

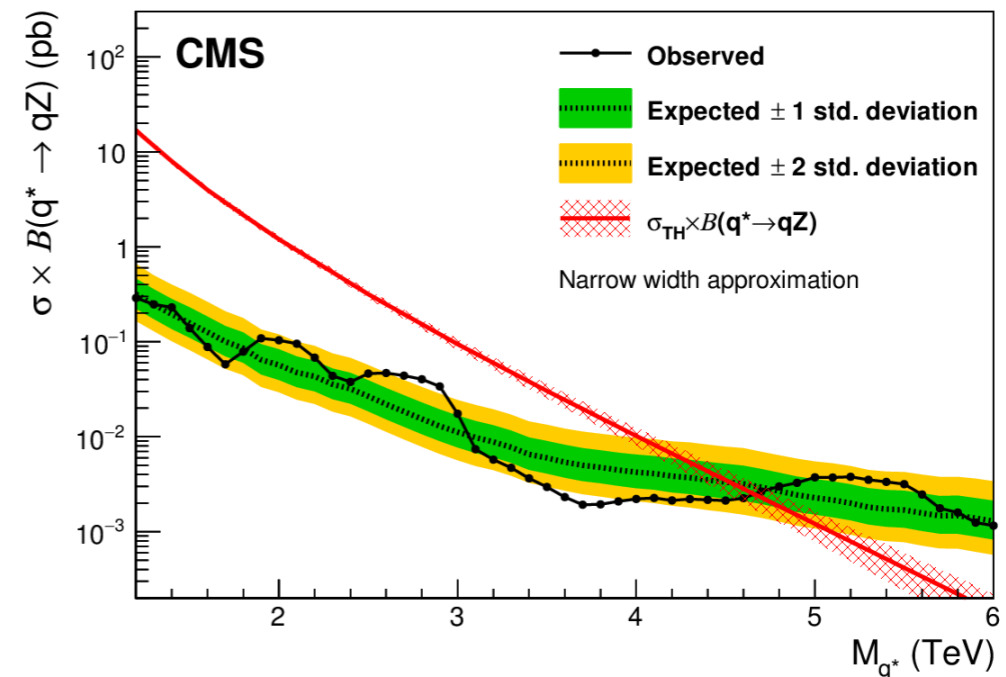
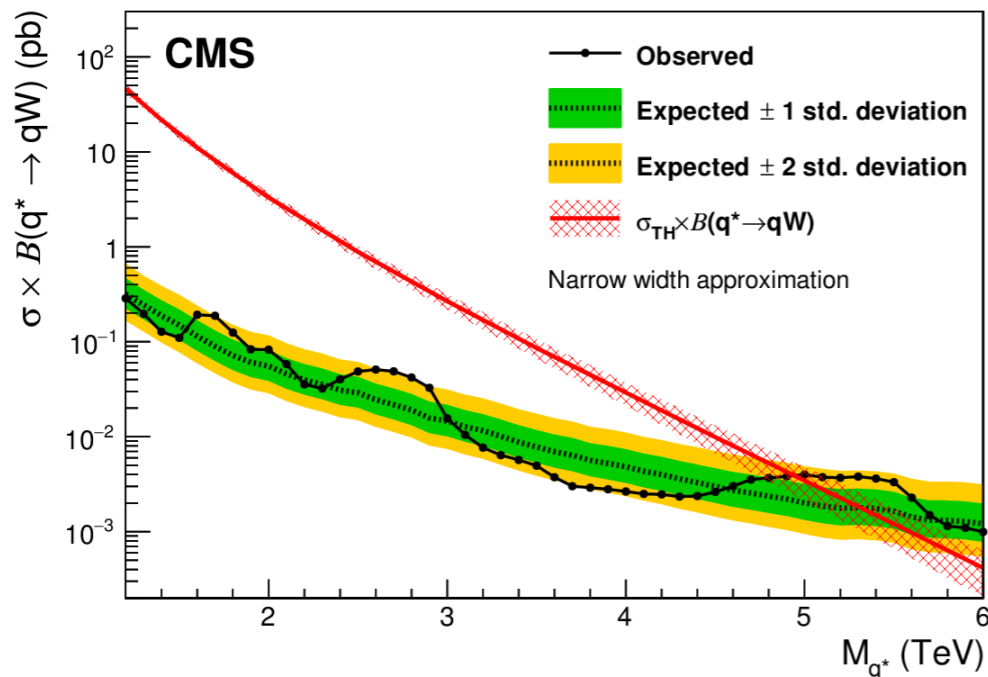
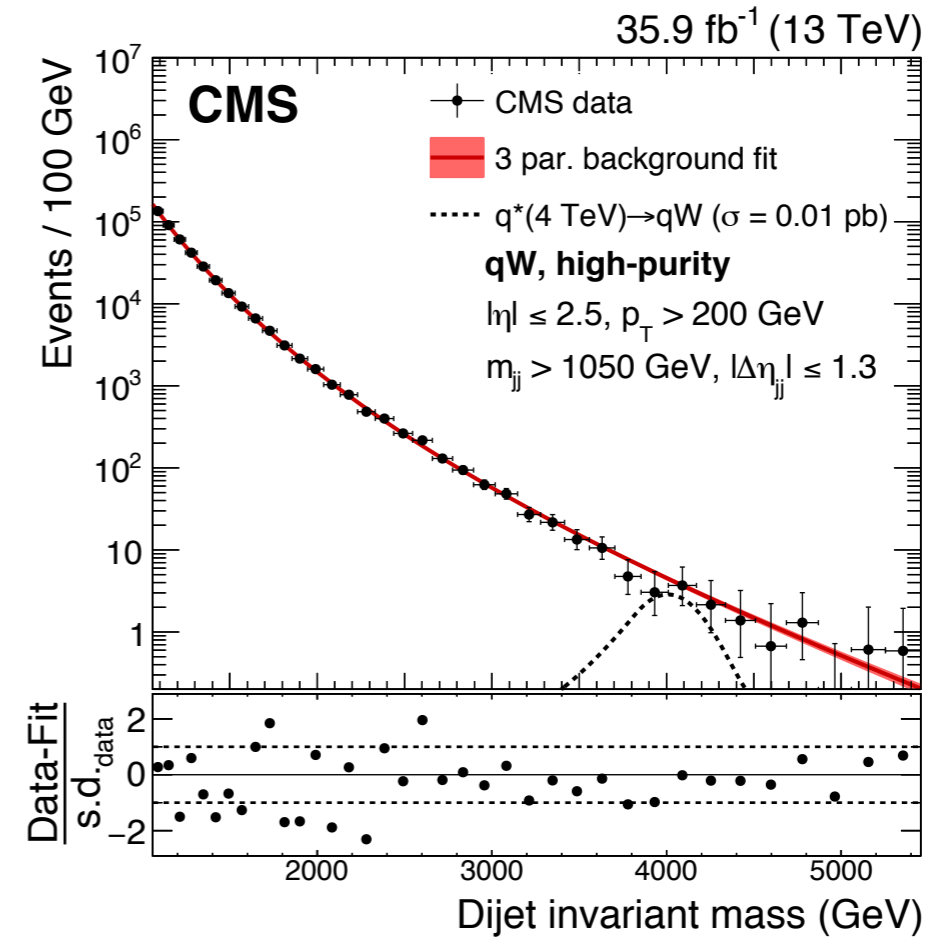
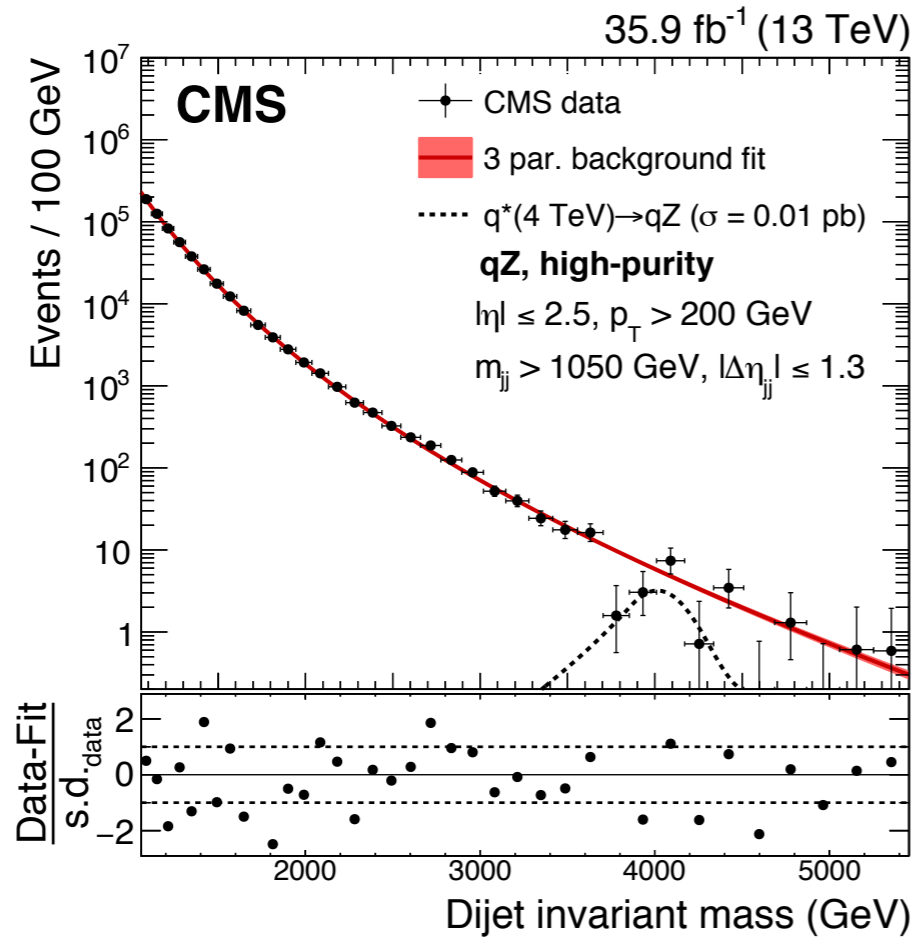


# B2G-17-001

Source	Relevant quantity	Uncertainty (%)			
		Double-tag		Single-tag	
		HP+HP	HP+LP	HP+j	LP+j
Jet energy scale	Resonance shape	2	2	2	2
Jet energy resolution	Resonance shape	6	7	4	3
PDF	Resonance shape	5	7	13	8
Jet energy scale	Signal yield	<1		<1	
Jet energy resolution	Signal yield	<1		<1	
Jet mass scale	Signal yield	<2		<1	
Jet mass resolution	Signal yield	<6		<8	
Pileup	Signal yield		2		
PDF (acceptance)	Signal yield		2		
Integrated luminosity	Signal yield		2.5		
Jet mass scale	Migration	<36		<10	
Jet mass resolution	Migration	<25		<7	
V tagging $\tau_{21}$	Migration	22	33	11	22
V tagging $p_T$ -dependence	Migration	19–40	14–29	9–23	4–11
PDF and scales ( $W'$ and $Z'$ )	Theory	2–18			
PDF and scales ( $G_{\text{bulk}}$ )	Theory	8–78			
PDF and scales ( $q^*$ )	Theory			1–61	

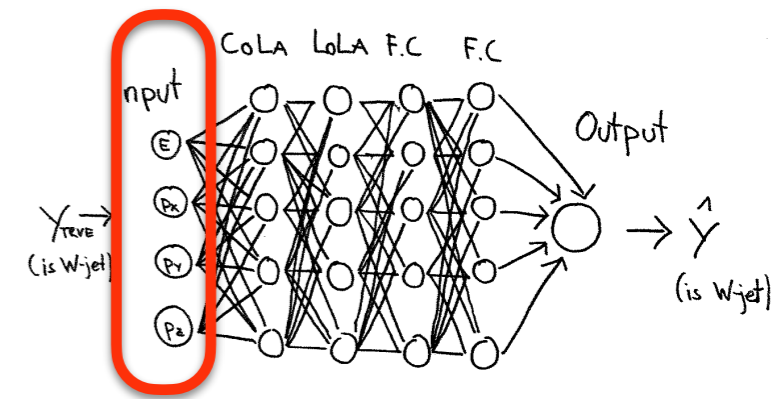


# Results: Excited quarks





# LoLa: Input



## Signal

- Fully merged hadronic W-jets (AK8) from  $G \rightarrow WW \rightarrow 4q$  ( $M_W = 0.6-4.5$  TeV)
- Do not mix signal samples until one is understood (can change with W polarisation)

## Background

- QCD Pythia 8 non-W jets
- Danger: Jet substructure strongly depends on shower generator (different

## Four features per jet constituent

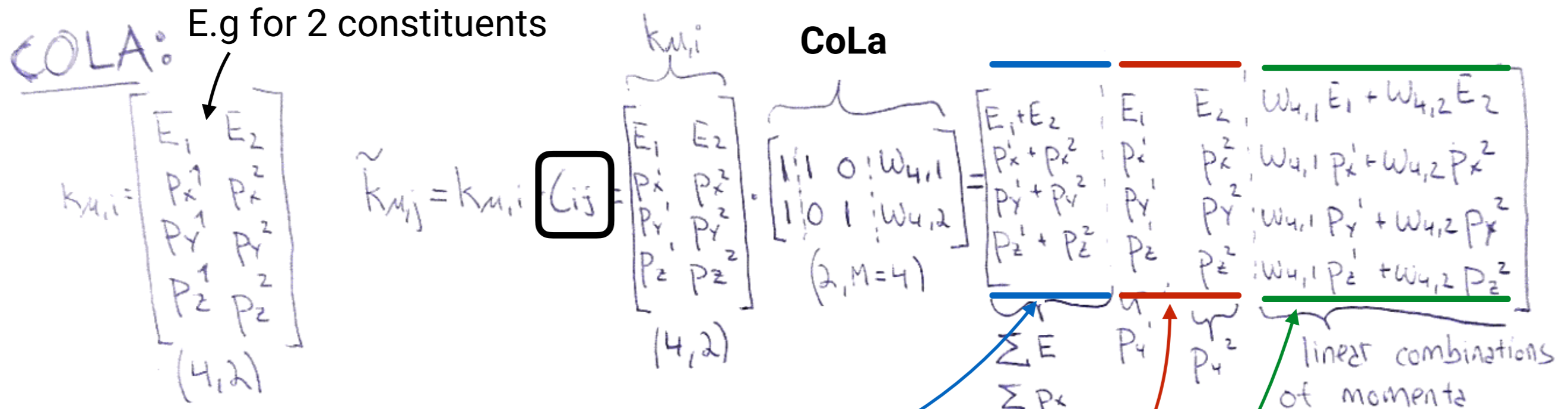
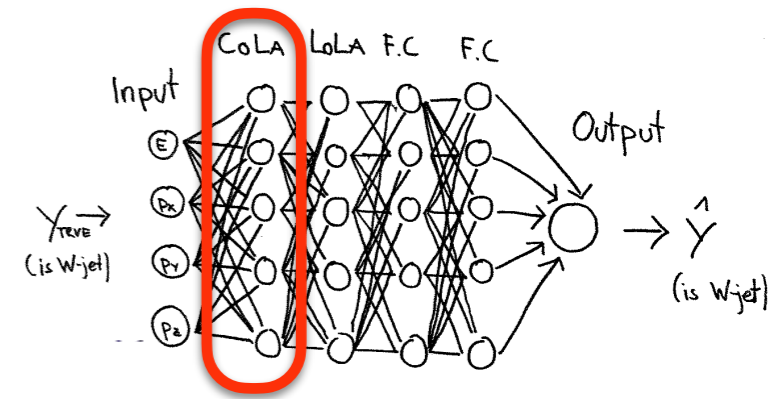
- 4-vectors of the  $N=20$  highest- $p_T$  jet constituents of AK8 jets

## Input is $4 \times 20$ matrix $k_{\mu,i}$ per jet

$$(k_{\mu,i}) = \begin{pmatrix} k_{0,1} & k_{0,2} & \cdots & k_{0,N} \\ k_{1,1} & k_{1,2} & \cdots & k_{1,N} \\ k_{2,1} & k_{2,2} & \cdots & k_{2,N} \\ k_{3,1} & k_{3,2} & \cdots & k_{3,N} \end{pmatrix}$$

(4 Features, 20 constituents)

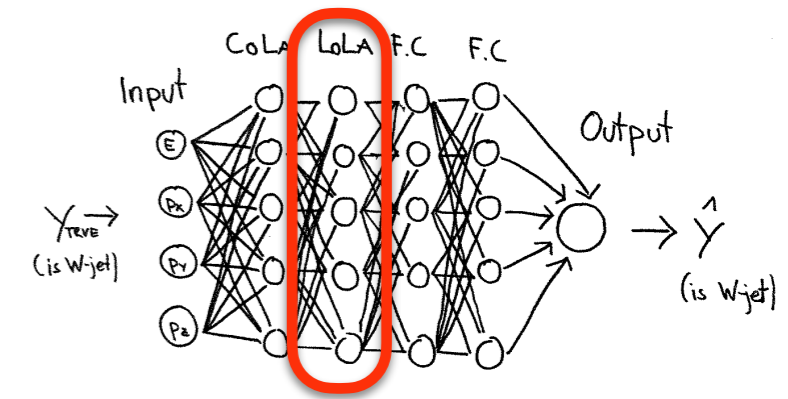
# Combination Layer (CoLa)



Linear combinations similar to jet-clustering

- Sum of all momenta
- Each original constituent momenta
- Linear combinations + **trainable weights**.  
Can "weight" constituents away,  
reconstruct substructure axes → groomer

# Lorentz Layer (LoLa)



LoLa:

$$\tilde{k}_{ij} = \begin{bmatrix} \sum E & E_1 & E_2 & W_{4,1} E_1 + W_{4,2} E_2 \\ \sum p_x & p_x^1 & p_x^2 & W_{4,1} p_x^1 + W_{4,2} p_x^2 \\ \sum p_y & p_y^1 & p_y^2 & W_{4,1} p_y^1 + W_{4,2} p_y^2 \\ \sum p_z & p_z^1 & p_z^2 & W_{4,1} p_z^1 + W_{4,2} p_z^2 \end{bmatrix} \quad (4,4)$$

**LoLa**

$$\hat{k}_j = \begin{bmatrix} m^2(k_j) \\ p_T(k_j) \\ \omega_{jm}^E E(k_m) \\ \omega_{jm}^{1d} \sum d_{jm}^2 \\ \omega_{jm}^{2d} \sum d_{jm}^2 \\ \omega_{jm}^{3d} \min d_{jm}^2 \\ \omega_{jm}^{4d} \min d_{jm}^2 \end{bmatrix} \quad (7,4)$$

$$= \begin{bmatrix} \frac{1}{\sqrt{\sum p_x^2 + \sum p_y^2}} \\ \omega_{jm}^E \sum E \\ \omega_{1d}^{\min} \\ \omega_{2d}^{\min} \\ \omega_{1d}^{\text{sum}} \\ \omega_{2d}^{\text{sum}} \end{bmatrix} \left\{ \begin{array}{l} \dots \\ \dots \\ \dots \\ \dots \\ \dots \\ \dots \end{array} \right.$$

Minkowski metric explicitly used for  $m^2$  and  $d$

Maps CoLa output onto

- $m^2 + p_T$  of each 4-vector ("jet", constituents, subjets)
- Energy of all 4-vectors (with trainable weight)
- Distance between all 4-vectors in Minkowski space ( $2 \cdot \text{min} + 2 \cdot \text{sum}$ )  
 → n-subjetiness

# Model summarised

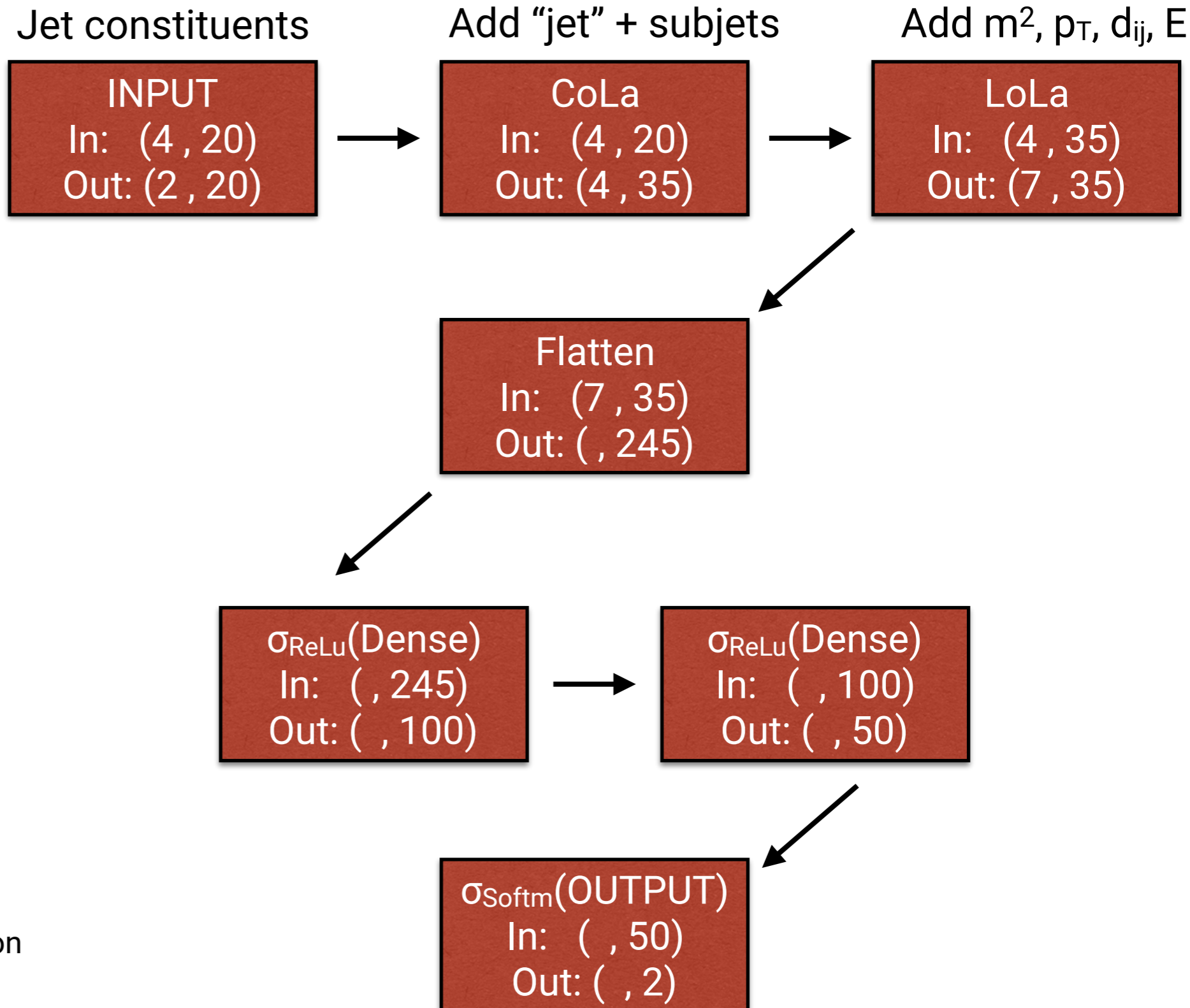
- 4 layer DNN doing supervised learning with fixed-size input vectors

- feed forward sequential network
- Two novel layers (CoLa and LoLa) implementing Minkowski metric and “substructure” calculations (see later) and two fully connected layers

- Technicalities

- Keras with Theano backend
- Loss function: categorical crossentropy
- ADAM optimiser (adapt learning rate of model parameters during training)

- Train 200k + Test 60k + Val 60k on AWS



# LoLa: Beyond the ROC

---

# LoLa: Beyond the ROC

---

Three things to consider when making a DNN tagger:

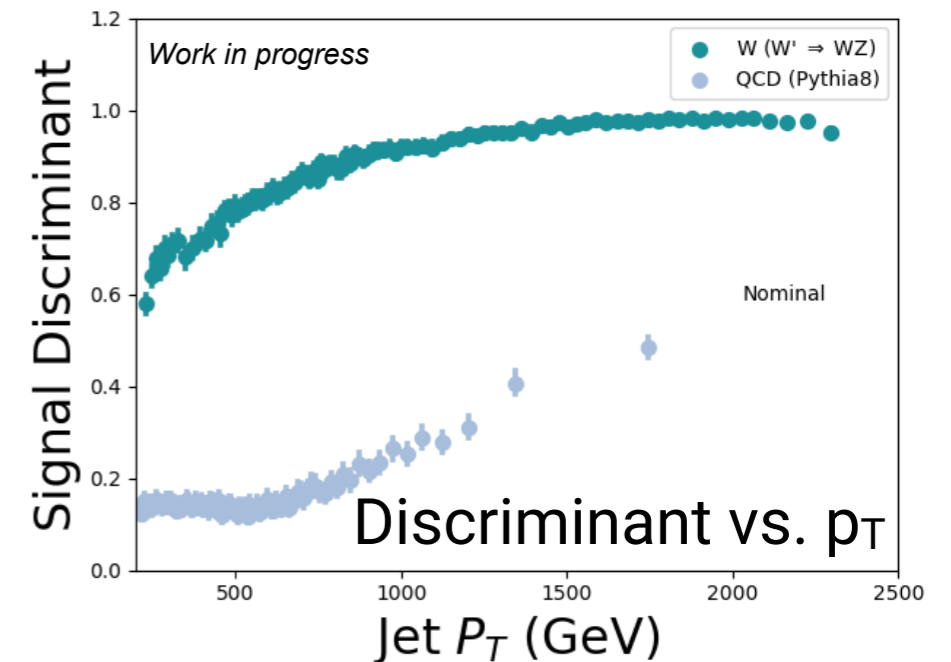
- is the absolute performance better (compared to common methods, a standard BDT)?

# LoLa: Beyond the ROC

Three things to consider when making a DNN tagger:

- is the absolute performance better (compared to common methods, a standard BDT)?
- is the tagger  $p_T$ -dependent?

Output strongly correlated with  $p_T$ /mass

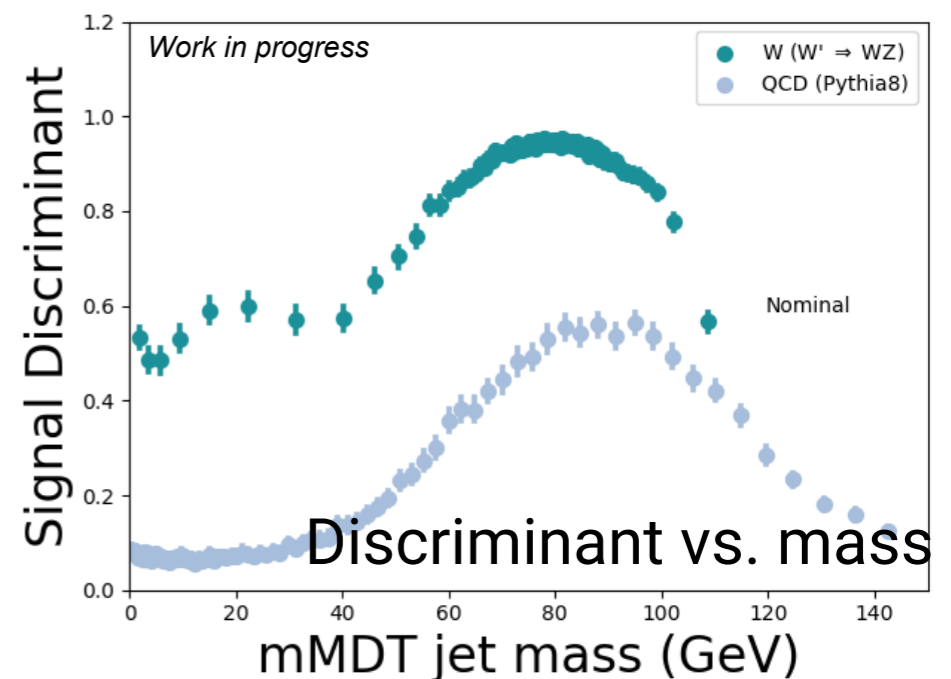
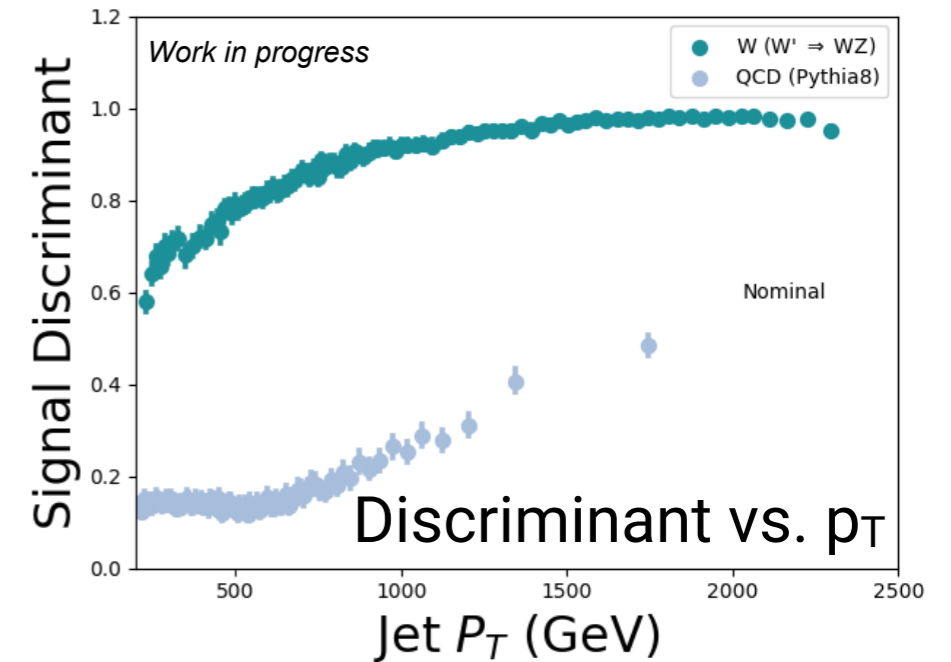


# LoLa: Beyond the ROC

Three things to consider when making a DNN tagger:

- is the absolute performance better (compared to common methods, a standard BDT)?
- is the tagger  $p_T$ -dependent?
- does the tagger sculpt the mass spectrum?

Output strongly correlated with  $p_T$ /mass





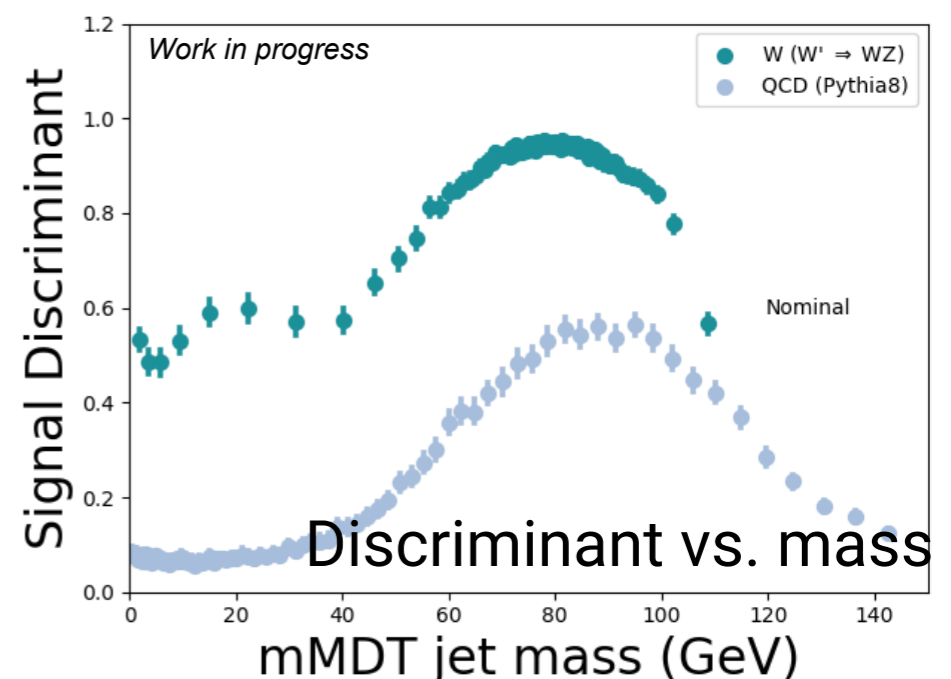
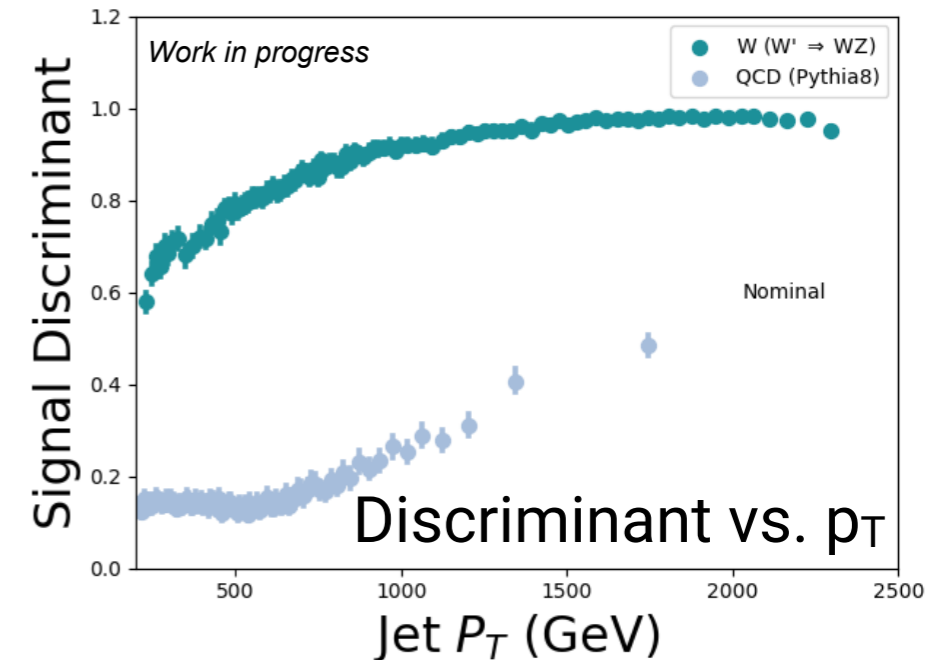
# LoLa: Beyond the ROC

Three things to consider when making a DNN tagger:

- is the absolute performance better (compared to common methods, a standard BDT)?
- is the tagger  $p_T$ -dependent?
- does the tagger sculpt the mass spectrum?

These three measures are equally important in quantifying performance

Output strongly correlated with  $p_T$ /mass



# LoLa: Beyond the ROC

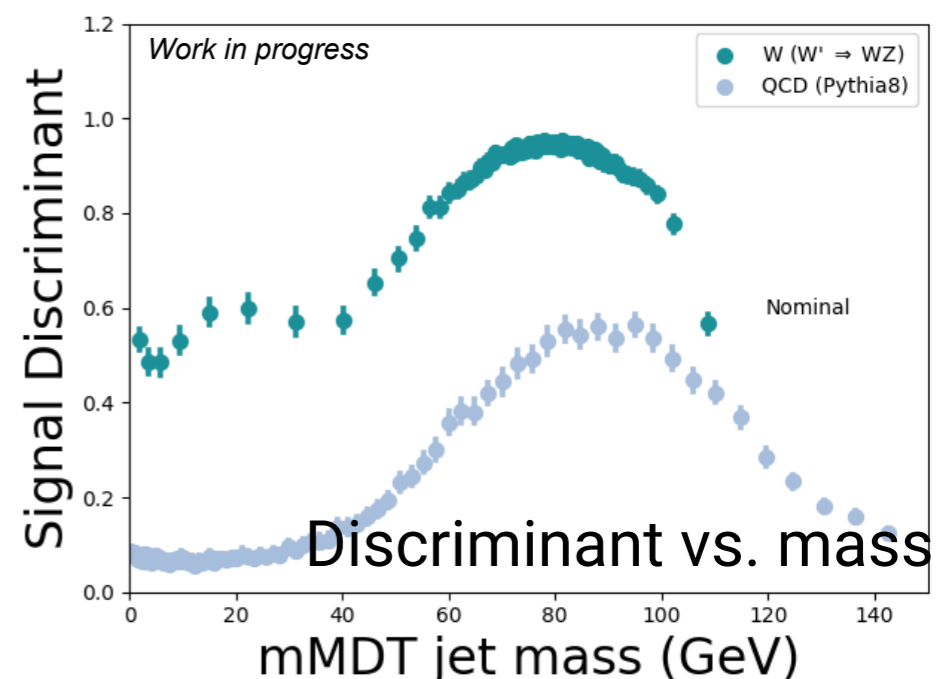
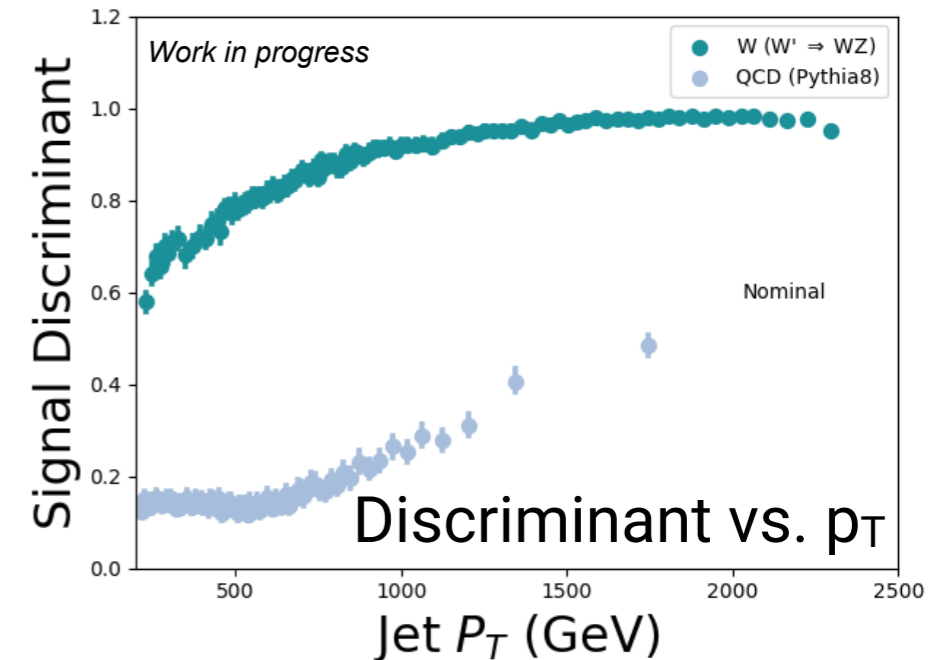
Three things to consider when making a DNN tagger:

- is the absolute performance better (compared to common methods, a standard BDT)?
- is the tagger  $p_T$ -dependent?
- does the tagger sculpt the mass spectrum?

These three measures are equally important in quantifying performance

A DNN will naturally learn that  $p_T$  and mass are discriminating variables unless its penalised for it

Output strongly correlated with  $p_T$ /mass



# LoLa: Beyond the ROC

Three things to consider when making a DNN tagger:

- is the absolute performance better (compared to common methods, a standard BDT)?
- is the tagger  $p_T$ -dependent?
- does the tagger sculpt the mass spectrum?

These three measures are equally important in quantifying performance

A DNN will naturally learn that  $p_T$  and mass are discriminating variables unless its penalised for it

Output strongly correlated with  $p_T$ /mass

