



# Developing a roadmap for OA monographs in Europe: key outcomes from a Knowledge Exchange survey and workshop

Graham Stone, Jisc, on behalf of Knowledge Exchange

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# Knowledge Exchange



**DFG** German Research Foundation

**Jisc** (United Kingdom)



**Danish Agency for Science and Higher Education**



**SURF** (Netherlands)



**CSC** IT Centre for Science (Finland)



**CNRS** Centre national de la recherche scientifique (France)

Six national organisations within Europe, responsible for the national and international development of infrastructure & services to support the use of ICT within HE & Research

# Knowledge Exchange mission & objectives

*“To enable open scholarship by supporting an information infrastructure on an international level”*

- **Compare and inspire** strategies, policies and operational practice
- **Improve partners’ performance** sharing practice and lessons learnt and exploring beneficial cooperation
- **Explore new developments** in the area of Higher Education and Research infrastructures and services
- **Facilitate networks** of experts to exchange views and provide recommendations on desired developments
- **Commission studies** in areas of mutual interest
- **Advise and influence** peer organisations, national and international policy bodies and the EC

# Current activities

## Open Scholarship (OS)

- a) Economy of Open Scholarship models and concepts
- b) Economy of Open Scholarship Use cases and storytelling
- c) Open Scholarship and Research(er) Evaluation

## Open Access (OA)

- a) **Monographs**
- b) Monitoring
- c) Preprints

# The Evolving Landscape of OA Monographs

- October 2017. Knowledge Exchange published the biggest landscape study yet on the conditions and potentials for Open Access books
- The brief for this project contained two main objectives:
  - Analyse existing information about OA monographs in relation to OA policies, funding streams and business models
  - Establish information gaps or areas where Knowledge Exchange could contribute to the development of OA monographs
- Addressed developments among publishers, funders and libraries in the KE countries
  - Denmark, Germany, Netherlands, UK, Finland and France, also Norway and Austria
- Provides an overview of current OA monograph policies, funding streams and publishing models

## A landscape study on open access and monographs

“

*Main OA policies do not include monographs but initiatives in favour of OA monographs are expected to accelerate in the next few years*

”

## A landscape study on open access and monographs

“

*A significant driver towards mandating OA books will be where it may in the future be linked to research assessment*

”

## A landscape study on open access and monographs

“

*The chief obstacle in moving forward towards OA for monographs is re-routing of funding.*

*The key will be support from university administrators, including top-level librarians*

”

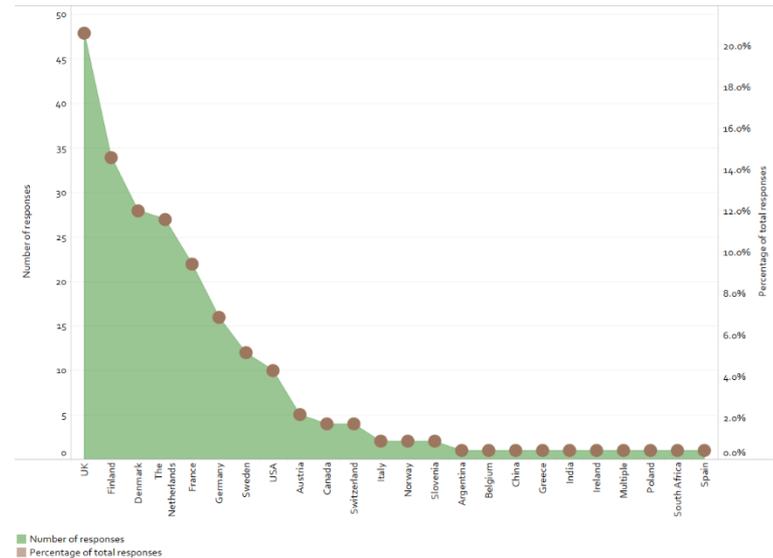
# Community survey on OA monographs

- To help identify the next steps to support the transition to OA Monographs
  - Conducted between April and May 2018
  - The survey was analysed by Jisc Collections on behalf of KE
  - The results were used to help suggest themes for a follow-up workshop

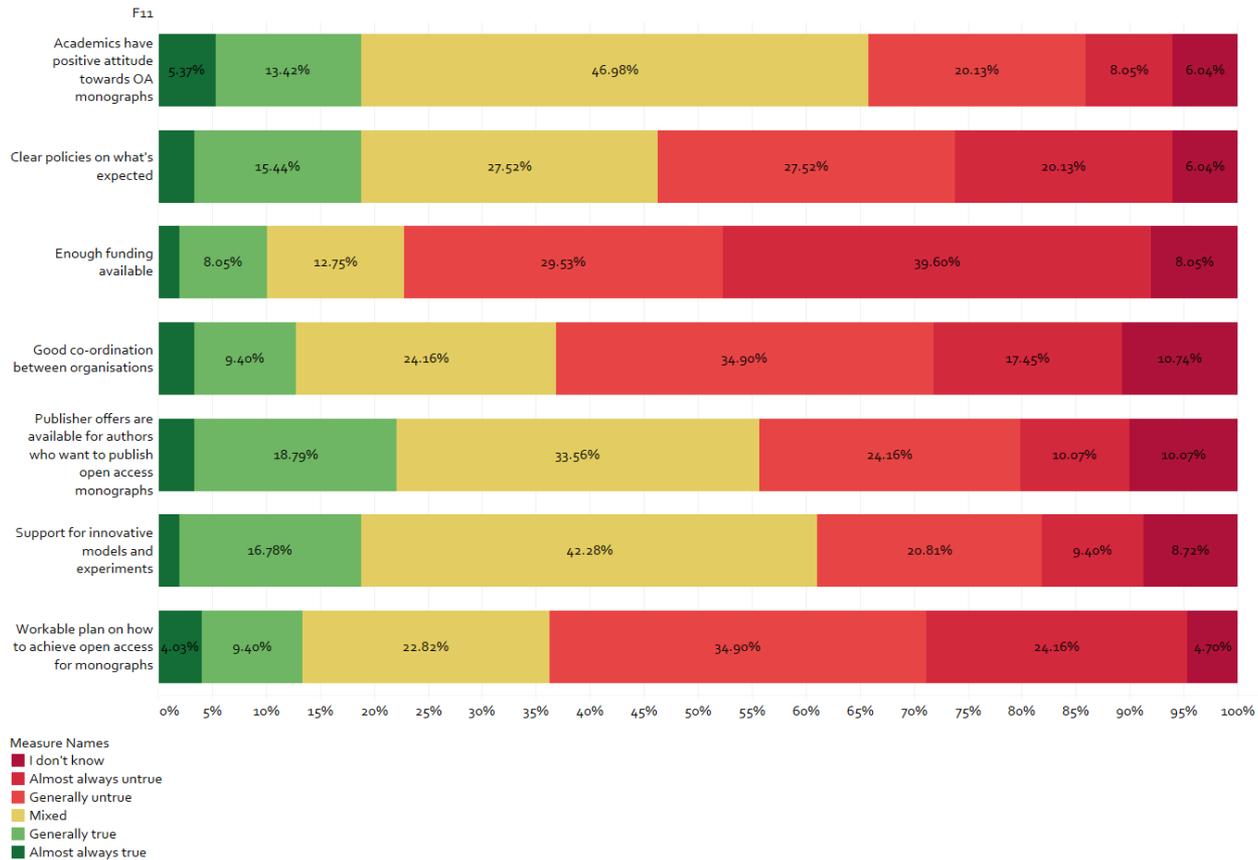


# Overview of responses

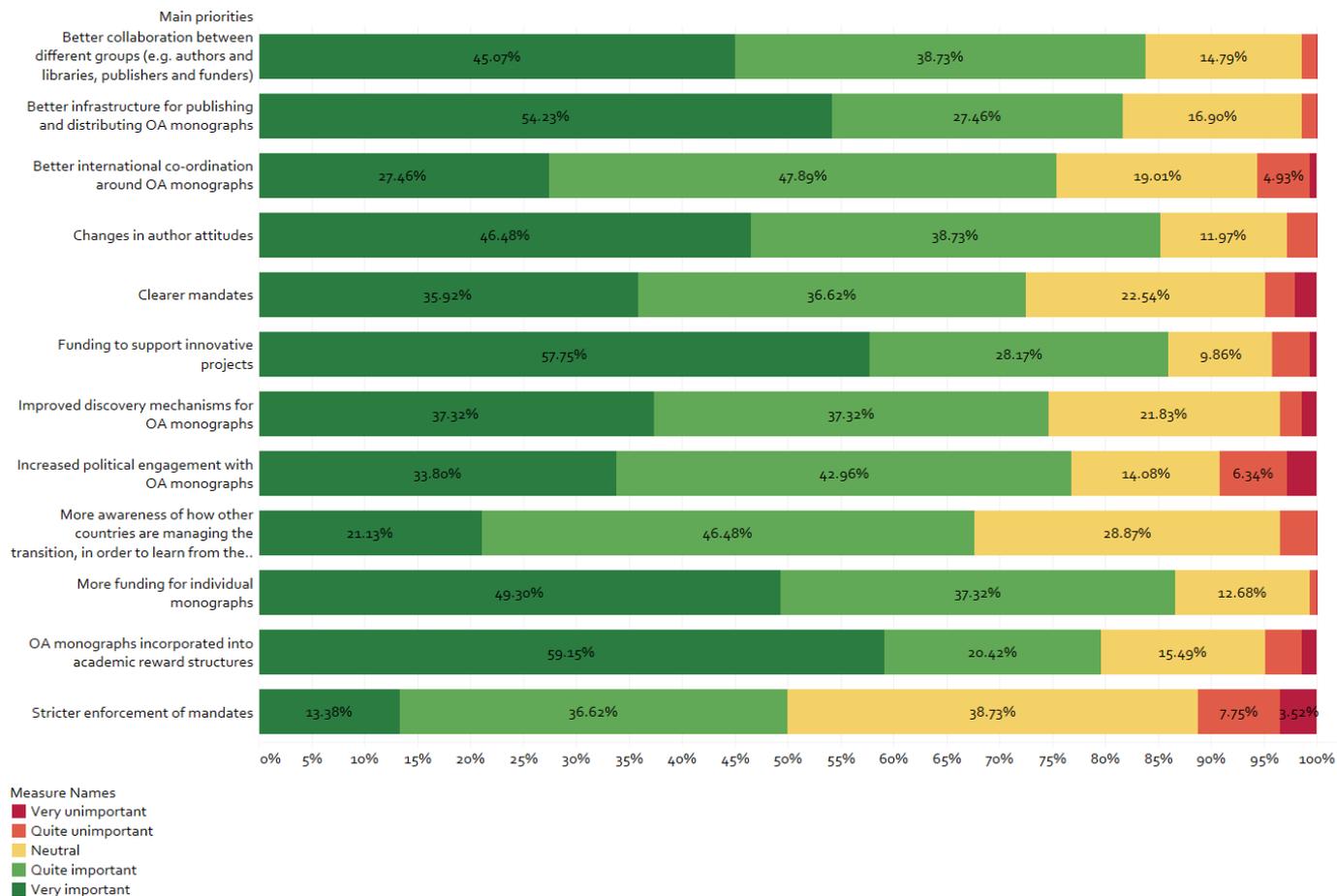
- 233 valid responses
- 25 countries
- Majority from Europe
  - Further regional analysis was not possible
  - Strong bias to the UK, but this has not skewed the European results
- Responses from:
  - Academic libraries
  - Universities
  - Authors
  - Publishers



# Status of OA monographs



# Priorities for future development



# OA monographs stakeholder workshop

- 8-9 November 2018, Brussels
- We invited various stakeholders, including EC representatives to discuss the results of KE's previous work and brainstormed on how monographs could be solidly established as a open format for scholarly knowledge dissemination
- Workshop included:
  - Introductory talks
  - Funders panel
  - Workshops on author engagement, policy, technical infrastructure and monitoring

# Towards a roadmap for OA monographs

- In May 2019, we published the report of the Brussels workshop, written by Janneke Adema
- Report provides an overview of the event
- Each workshop formulated recommendations and action plans



## Towards a Roadmap for Open Access Monographs

A Knowledge Exchange Report

May 2019

DFG



Jisc

CNRS



Deff

## Stakeholder recommendations (1)

- For funders
  - Importance of policy intervention to encourage change
  - Sustainable policies allowing diverse publishing options
  - Funding of infrastructure and platforms
  - Networked action at a European level
- For authors and universities
  - Highlighting the importance of the monograph
  - Acknowledge the fears around OA
  - Recognise the need to balance mandating and incentivising

## Stakeholder recommendations (2)

- For publishers
  - Need for transparency
  - Alternative (non-BPC) models
- For libraries
  - Potential for more active role in financing publication of OA monographs
  - Also in their long-term sustainability
- For technology providers and platforms
  - Common technical requirements
  - Dialogue between stakeholders to define standards
  - Robust governance of infrastructures
- For citizens
  - Emphasize societal impact and the value outside of academia

# Impact and future plans

- The workshop and reports have helped to fulfil Knowledge Exchange’s mission and objectives, particularly to:
  - Facilitate networks of experts to exchange views and provide recommendations on desired developments
  - Commission studies in areas of mutual interest
  - Advise and influence peer organisations, national and international policy bodies and the EC

 **Hans de Jonge**  
@HLdeJonge Follow

Important new report on [#openaccess](#) to monographs. Will certainly be of use to the future policy of [#plan\\_s](#)

 **Knowledge Exchange** @knowexchange  
Our latest report "Towards a Roadmap for #OpenAccess Monographs" published today! Including key findings and recommendations from various stakeholders: [bit.ly/2wDzR0V](http://bit.ly/2wDzR0V) #OARoadmap

3:08 PM - 31 May 2019

7 Retweets 14 Likes  

 **Research England**  
@ResEngland Follow

We're pleased to announce the next project to receive Research England Development (RED) funding. The Community-led Open Publication Infrastructures for Monographs (COPIM) project will receive £2.2 million to improve and increase [#openaccess](#) publishing.

[bit.ly/2IFpBKT](http://bit.ly/2IFpBKT)

 I am delighted that we are able to support this ambitious project in developing new and innovative open access publishing ecosystems. It will help us ensure that all publicly funded research is widely and freely accessible to everyone as soon as possible. 

David Sweeney, Executive Chair, Research England



9:27 AM - 14 Jun 2019

# Acknowledgements

- Thanks are due to the Knowledge Exchange OA Monographs Task and Finish group who contributed their time and expertise to the project:
  - Angela Holzer, DFG; Sarah James, Knowledge Exchange; Pierre Mounier, OpenEdition and OPERAS; Graham Stone, Jisc; Jeroen Sondervan, Utrecht University; Jean-François Nominé, CNRS; Birgit Schmidt, Göttingen State and University Library; Sami Syrjämäki, Federation of Finnish Learned Societies; Verena Weigert, Jisc
- Thanks also to those who presented, spoke, chaired, facilitated and took notes at the workshop sessions
- Janneke Adema for writing the final report
- <http://www.knowledge-exchange.info/event/open-access-monographs>



Questions are very welcome!

More information:

[www.knowledge-exchange.info](http://www.knowledge-exchange.info)

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