

# Four-body Faddeev-type calculation of the $\bar{K} NNN$ system

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## $K^-pp$ quasi-bound state – interest to antikaonic nuclei

### Theory

Prediction of the existence of deep and narrow  $K^- pp$  bound state

*T. Yamazaki and Y. Akaishi, Phys. Lett. B535 (2002) 70*

$$E_B = -48 \text{ MeV}, \Gamma = 61 \text{ MeV}$$

Many theoretical calculations, different models and inputs  
(Faddeev, variational calculations, FCA):

$$E_B \sim -14 - 80 \text{ MeV}, \Gamma \sim 40 - 110 \text{ MeV}$$

- agree only on the fact that the quasi-bound state in  $K^- pp$  exists

### Experiment

FINUDA collaboration:  $E_B = -115 \text{ MeV}, \Gamma = 67 \text{ MeV}$

*M. Agnello et. al., Phys. Rev. Lett. 94 (2005) 212303*

DISTO collaboration:  $E_B = -103 \text{ MeV}, \Gamma = 118 \text{ MeV}$

*T. Yamazaki et al. Phys. Rev. Lett. 104 (2010) 132502*

J-PARC E15 experiment: a broad peak in  ${}^3He(K^-, \Lambda p)n$  spectrum

## A series of 3-body Faddeev calculations with coupled $\bar{K}NN$ - $\pi\Sigma N$ channels

- Three-body pole positions and widths of the quasi-bound states in the  $K^- pp$  and  $K^- K^- p$  systems were evaluated

*N.V.S, A. Gal, J. Mareš, J. Rèvai, Phys. Rev. C 76, 044004 (2007)*

*J. Rèvai, N.V.S., Phys. Rev. C 90, 034004 (2014)*

*N.V.S., J. Haidenbauer, Phys. Rev. C 92, 044001 (2015)*

- No quasi-bound state found in the  $K^- d$  system (caused by strong interaction)

- Near-threshold elastic  $K^- d$  amplitudes were calculated

(including the  $K^- d$  scattering length)

- and then used for an approximate calculation of the  $1s$  level shift and width of (anti)kaonic deuterium

*N.V.S., Nucl. Phys. A 890-891, 50-61 (2012)*

*N.V.S., J. Rèvai, Phys. Rev. C 90, 034003 (2014)*

- Faddeev-type equations for strong plus Coulomb potentials were solved for the  $1s$  level shift and width of kaonic deuterium using

- simple complex                   *P. Doleschall, J. Rèvai, N.V.S., Phys. Lett. B 744, 105-108 (2015)*

- energy dependent antikaon-nucleon potentials   *J. Rèvai, Few Body Syst. 59, 49 (2018)*

→ 4-body  $\bar{K}NNN$  system (Faddeev-type equations)

## Three-body Faddeev equations in Alt-Grassberger-Sandhas form

E.O. Alt, P. Grassberger, W. Sandhas, Nucl. Phys. B2 (1967) 167

$$U_{\alpha\beta}(z) = (1 - \delta_{\alpha\beta}) (G_0(z))^{-1} + \sum_{\gamma=1}^3 (1 - \delta_{\alpha\gamma}) T_\gamma(z) G_0(z) U_{\gamma\beta}(z), \quad \alpha, \beta = 1, 2, 3$$

$U_{\alpha\beta}(z)$  - 3-body transition operators,  $\beta + (\alpha\gamma) \rightarrow \alpha + (\beta\gamma)$

$G_0(z)$  - free Green function

$T_\alpha(z)$  - 2-body  $T$ -matrix

A separable potential leading to a separable  $T$ -matrix

$$V_\alpha = \lambda_\alpha |g_\alpha\rangle\langle g_\alpha| \Rightarrow T_\alpha(z) = |g_\alpha\rangle\tau_\alpha(z)\langle g_\alpha|$$

allows to write the three-body equations in the form

$$X_{\alpha\beta}(z) = Z_{\alpha\beta}(z) + \sum_{\gamma=1}^3 Z_{\alpha\gamma}(z) \tau_\gamma(z) X_{\gamma\beta}(z)$$

with  $X_{\alpha\beta}(z) = \langle g_\alpha | G_0(z) U_{\alpha\beta}(z) G_0(z) | g_\beta \rangle$ ,  $Z_{\alpha\beta}(z) = (1 - \delta_{\alpha\beta}) \langle g_\alpha | G_0(z) | g_\beta \rangle$

## Four-body Alt-Grassberger-Sandhas equations

P. Grassberger, W. Sandhas, Nucl. Phys. B2 (1967) 181

$$U_{\alpha\beta}^{\sigma\rho}(z) = (1 - \delta_{\sigma\rho}) \delta_{\alpha\beta} G_0^{-1}(z) T_\alpha^{-1}(z) G_0^{-1}(z) + \\ + \sum_{\tau,\gamma} (1 - \delta_{\sigma\tau}) U_{\alpha\gamma}^\tau(z) G_0(z) T_\gamma(z) G_0(z) U_{\gamma\beta}^{\tau\rho}(z)$$

$U_{\alpha\beta}^{\sigma\rho}(z)$  - 4-body transition operators,  $\beta \subset \rho, \alpha \subset \sigma$

$U_{\alpha\beta}^\tau(z)$  - 3-body transition operators,  $\alpha, \beta \subset \tau$

$G_0(z)$  - free Green function,

$T_\alpha(z)$  - 2-body  $T$ -matrix

A separable potential  $\rightarrow$  separable  $T$ -matrix  $\rightarrow$  four-body equations:

$$\overline{U}_{\alpha\beta}^{\sigma\rho}(z) = (1 - \delta_{\sigma\rho}) (\overline{G}_0(z))_{\alpha\beta}^{-1} + \sum_{\tau,\gamma,\delta} (1 - \delta_{\sigma\tau}) \overline{T}_{\alpha\gamma}^\tau(z) (\overline{G}_0(z))_{\gamma\delta} \overline{U}_{\delta\beta}^{\tau\rho}(z),$$

with  $\overline{U}_{\alpha\beta}^{\sigma\rho}(z) = \langle g_\alpha | G_0(z) U_{\alpha\beta}^{\sigma\rho}(z) G_0(z) | g_\beta \rangle$

$$\overline{T}_{\alpha\beta}^\tau(z) = \langle g_\alpha | G_0(z) U_{\alpha\beta}^\tau(z) G_0(z) | g_\beta \rangle$$

and  $(\overline{G}_0)_{\alpha\beta}(z) = \delta_{\alpha\beta} \tau_\alpha(z)$

## Four-body AGS equations for separable “potentials”

*E.O. Alt, P. Grassberger, W. Sandhas, Phys.Rev. C1 (1970) 85  
A.Casel, H.Haberzettl, W. Sandhas, Phys. Rev. C25 (1982) 1738*

$$\overline{U}_{\alpha\beta}^{\sigma\rho}(z) = (1 - \delta_{\sigma\rho}) \delta_{\alpha\beta} (\overline{G}_0(z))_\alpha^{-1} + \sum_{\tau,\gamma} (1 - \delta_{\sigma\tau}) \overline{T}_{\alpha\gamma}^\tau(z) (\overline{G}_0(z))_\gamma \overline{U}_{\gamma\beta}^{\tau\rho}(z),$$

look similar to the three-body AGS equations in the general form.

Separable form of the “effective potentials” → separable “T”-matrix:

$$\overline{T}_{\alpha\beta}^\tau(z) = \left| \overline{g}_\alpha \right\rangle \overline{\tau}_{\alpha\beta}^\tau(z) \left\langle \overline{g}_\beta \right|$$

allows to write the four-body equations in the form

$$\overline{X}_{\alpha\beta}^{\sigma\rho}(z) = \overline{Z}_{\alpha\beta}^{\sigma\rho}(z) + \sum_{\tau,\gamma,\delta} \overline{Z}_{\alpha\gamma}^{\sigma\tau}(z) \overline{\tau}_{\gamma\delta}^\tau(z) \overline{X}_{\delta\beta}^{\tau\rho}(z)$$

with  $\overline{X}_{\alpha\beta}^{\sigma\rho}(z) = \left\langle \overline{g}_\alpha \right| (\overline{G}_0(z))_\alpha \overline{U}_{\alpha\beta}^{\sigma\rho}(z) (\overline{G}_0(z))_\beta \left| \overline{g}_\beta \right\rangle$

$$\overline{Z}_{\alpha\beta}^{\sigma\rho}(z) = (1 - \delta_{\sigma\rho}) \left\langle \overline{g}_\alpha \right| (\overline{G}_0(z))_{\alpha\beta} \left| \overline{g}_\beta \right\rangle$$

## Separabelization of potentials - Hilbert-Schmidt expansion

Lippmann-Schwinger equation:

$$T(p, p'; z) = V(p, p') + 4\pi \int_0^\infty \frac{V(p, p'') T(p'', p'; z)}{z - p''^2/(2\mu)} p''^2 dp''$$

Separable potential leads to the separable  $T$ -matrix

$$V(p, p') = - \sum_{n=1}^{\infty} \lambda_n g_n(p) g_n(p') \Rightarrow T(p, p'; z) = - \sum_{n=1}^{\infty} \frac{\lambda_n}{1 - \lambda_n} g_n(p) g_n(p')$$

were the eigenvalues  $\lambda_n$  and eigenfunctions  $g_n(p)$  are found from

$$g_n(p) = \frac{1}{\lambda_n} 4\pi \int_0^\infty \frac{V(p, p'') g_n(p'')}{z - p''^2/(2\mu)} p''^2 dp''$$

with normalization condition

$$4\pi \int_0^\infty \frac{g_n(p'') g_{n'}(p'')}{z - p''^2/(2\mu)} p''^2 dp'' = -\delta_{nn'}$$

Separabelization of “potentials” -  
Energy Dependent Pole Expansion/Approximation (EDPE/EDPA)

*S. Sofianos, N.J.McGurk, H. Fiedeldey, Nucl. Phys. A318 (1979) 295*

Three-body AGS equations:

$$X_{\alpha\beta}(p, p'; z) = Z_{\alpha\beta}(p, p'; z) + \sum_{\gamma=1}^3 4\pi \int_0^\infty Z_{\alpha\gamma}(p, p''; z) \tau_\gamma(p''; z) X_{\gamma\beta}(p'', p'; z) p''^2 dp''$$

1. Evaluate eigenvalues  $\lambda_n$  and eigenfunctions  $g_{n\alpha}(p; z)$  of the AGS system from

$$g_{n\alpha}(p; z) = \frac{1}{\lambda_n} \sum_{\gamma=1}^3 4\pi \int_0^\infty Z_{\alpha\gamma}(p, p'; z) \tau_\gamma(p'; z) g_{n\gamma}(p'; z) p'^2 dp'$$

with normalization condition

$$\sum_{\gamma=1}^3 4\pi \int_0^\infty g_{n\gamma}(p'; z) \tau_\gamma(p'; z) g_{n'\gamma}(p'; z) p'^2 dp' = -\delta_{nn'}$$

at fixed (binding) energy  $z = E_B$

2. Calculate energy dependent form-factors

$$g_{n\alpha}(p; z) = \sum_{\gamma=1}^3 4\pi \int_0^\infty Z_{\alpha\gamma}(p, p'; z) \tau_\gamma(p'; E_B) g_{n\gamma}(p'; E_B) p'^2 dp'$$

and propagators

$$\begin{aligned} (\Theta(z))^{-1}_{mn} = & \sum_{\gamma=1}^3 4\pi \int_0^\infty g_{m\gamma}(p'; z) \tau_\gamma(p'; E_B) g_{n\gamma}(p'; E_B) p'^2 dp' - \\ & \sum_{\gamma=1}^3 4\pi \int_0^\infty g_{m\gamma}(p'; z) \tau_\gamma(p'; z) g_{n\gamma}(p'; z) p'^2 dp' \end{aligned}$$

so the separable form of the three-body amplitudes is

$$X_{\alpha\beta}(p, p'; z) = \sum_{m,n=1}^{\infty} g_{m\alpha}(p; z) \Theta_{mn}(z) g_{n\beta}(p'; z)$$

### EDPE/EDPA:

- Needs only one solution of the eigenvalue equation
- Accurate already with one term (EDPA)
- EDPE converges faster than Hilbert-Schmidt expansion

## Four-body equations for the $\bar{K}NNN$ system

Two types of partitions: 3+1 and 2+2:

$$\begin{aligned} & |\bar{K} + (NNN)\rangle \\ & |N + (\bar{K} NN)\rangle \\ & |(\bar{K} N) + (NN)\rangle \end{aligned}$$

The channels  $\sigma_a$  :

$$1_{NN} : |\bar{K} + (N_1 + N_2 N_3)\rangle, |\bar{K} + (N_2 + N_3 N_1)\rangle, |\bar{K} + (N_3 + N_1 N_2)\rangle$$

$$2_{NN} : |N_1 + (\bar{K} + N_2 N_3)\rangle, |N_2 + (\bar{K} + N_3 N_1)\rangle, |N_3 + (\bar{K} + N_1 N_2)\rangle$$

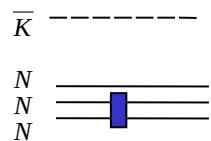
$$2_{\bar{K}N} : |N_1 + (N_2 + \bar{K} N_3)\rangle, |N_2 + (N_3 + \bar{K} N_1)\rangle, |N_3 + (N_1 + \bar{K} N_2)\rangle,$$

$$|N_1 + (N_3 + \bar{K} N_2)\rangle, |N_2 + (N_1 + \bar{K} N_3)\rangle, |N_3 + (N_2 + \bar{K} N_1)\rangle$$

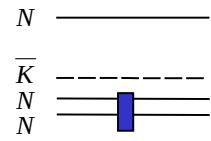
$$3_{NN} : |(N_2 N_3) + (\bar{K} + N_1)\rangle, |(N_3 N_1) + (\bar{K} + N_2)\rangle, |(N_1 N_2) + (\bar{K} + N_3)\rangle$$

$$3_{\bar{K}N} : |(\bar{K} N_1) + (N_2 + N_3)\rangle, |(\bar{K} N_2) + (N_3 + N_1)\rangle, |(\bar{K} N_3) + (N_1 + N_2)\rangle$$

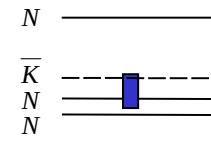
## Propagators



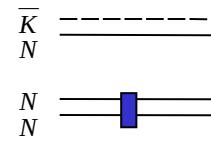
$$(\overline{G}_0)^1_{NN}$$



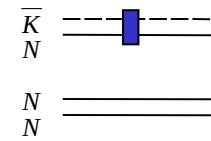
$$(\overline{G}_0)^2_{NN}$$



$$(\overline{G}_0)^2_{\bar{K}N}$$

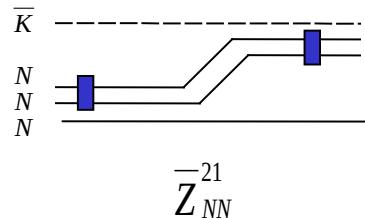


$$(\overline{G}_0)^3_{NN}$$

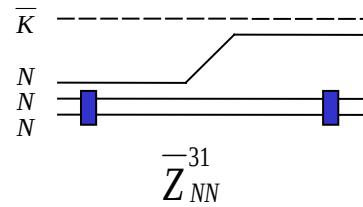


$$(\overline{G}_0)^3_{\bar{K}N}$$

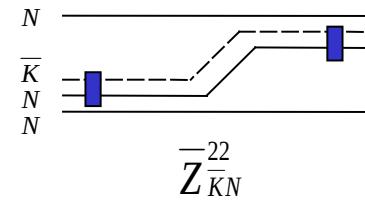
## Z operators



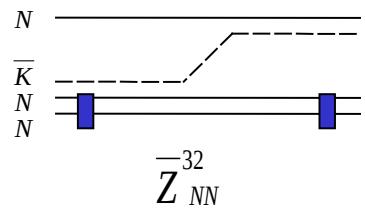
$$\overline{Z}_{NN}^{21}$$



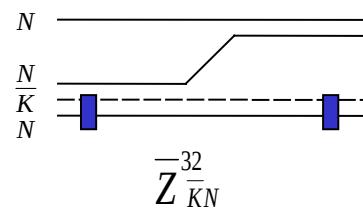
$$\overline{Z}_{NN}^{31}$$



$$\overline{Z}_{\bar{K}N}^{22}$$



$$\overline{Z}_{NN}^{32}$$



$$\overline{Z}_{\bar{K}N}^{32}$$

(and inversed)

## Four-body equations in operator form:

$$\hat{\mathbf{X}} = \hat{\mathbf{Z}} \hat{\boldsymbol{\tau}} \hat{\mathbf{X}}$$

Three nucleons – antisymmetrization,  
after antisymmetrization  
(without spin-isospin variables)

$$\bar{Z}_\alpha^{\rho\rho} = \begin{pmatrix} 0 & \bar{Z}_{NN}^{12} & 0 & \bar{Z}_{NN}^{13} & 0 \\ \bar{Z}_{NN}^{21} & 0 & 0 & \bar{Z}_{NN}^{23} & 0 \\ 0 & 0 & \bar{Z}_{\bar{K}N}^{22} & 0 & \bar{Z}_{\bar{K}N}^{23} \\ \bar{Z}_{NN}^{31} & \bar{Z}_{NN}^{32} & 0 & 0 & 0 \\ 0 & 0 & \bar{Z}_{\bar{K}N}^{32} & 0 & 0 \end{pmatrix}$$

$$\bar{X}_\alpha^\sigma = \begin{pmatrix} \bar{X}_{NN}^1 \\ \bar{X}_{NN}^2 \\ \bar{X}_{\bar{K}N}^2 \\ \bar{X}_{NN}^3 \\ \bar{X}_{\bar{K}N}^3 \end{pmatrix}$$

$$\bar{\tau}_{\alpha\beta}^\rho = \begin{pmatrix} \bar{\tau}_{NN, NN}^1 & 0 & 0 & 0 & 0 \\ 0 & \bar{\tau}_{NN, NN}^2 & \bar{\tau}_{NN, \bar{K}N}^2 & 0 & 0 \\ 0 & \bar{\tau}_{\bar{K}N, NN}^2 & \bar{\tau}_{\bar{K}N, \bar{K}N}^2 & 0 & 0 \\ 0 & 0 & 0 & \bar{\tau}_{NN, NN}^3 & \bar{\tau}_{NN, \bar{K}N}^3 \\ 0 & 0 & 0 & \bar{\tau}_{\bar{K}N, NN}^3 & \bar{\tau}_{\bar{K}N, \bar{K}N}^3 \end{pmatrix}$$

Full system of equations with:

- 1-term separable  $\bar{K}N$  potential  $\Rightarrow$
- 2-term separable  $NN$  potential
- 1-term separabelized 3-body  $NNN, \bar{K}NN$  “T-matrices”  
and “3-body”  $\bar{K}N + NN$  “T-matrices”

$$\begin{pmatrix} 1(x) \\ \text{UN}(1,\Phi) \end{pmatrix}, \begin{pmatrix} 1(y) \\ \text{UN}(1,\Phi) \end{pmatrix}, \begin{pmatrix} 1(z) \\ \text{UN}(1,\Phi) \end{pmatrix}, \begin{pmatrix} 1(t) \\ \text{UN}(2,-\Phi) \end{pmatrix}, \begin{pmatrix} 2(x) \\ \text{UN}(1,\Phi) \end{pmatrix}, \begin{pmatrix} 2(y) \\ \text{UN}(1,\Phi) \end{pmatrix}, \begin{pmatrix} 2(z) \\ \text{UN}(1,\Phi) \end{pmatrix}, \begin{pmatrix} 2(t) \\ \text{UN}(2,-\Phi) \end{pmatrix}, \begin{pmatrix} 3(x) \\ \text{UN}(1,\Phi) \end{pmatrix}, \begin{pmatrix} 3(y) \\ \text{UN}(1,\Phi) \end{pmatrix}, \begin{pmatrix} 3(z) \\ \text{UN}(1,\Phi) \end{pmatrix}, \begin{pmatrix} 3(t) \\ \text{UN}(2,-\Phi) \end{pmatrix}$$



## Two-body input

Antikaon-nucleon potentials with coupled  $\bar{K}N - \pi\Sigma$  channels, fitted

to:

- 1s level shift and width of kaonic hydrogen (by SIDDHARTA)

$$\Delta_{1s}^{SIDD} = -283 \pm 36 \pm 6 \text{ eV}, \quad \Gamma_{1s}^{SIDD} = 541 \pm 89 \pm 22 \text{ eV}$$

- Cross-sections of  $K^- p \rightarrow K^- p$  and  $K^- p \rightarrow MB$  reactions
- Threshold branching ratios  $\gamma, R_c$  and  $R_n$ 
  - $\Lambda(1405)$  with one- or two-pole structure

$$M_{\Lambda(1405)}^{PDG} = 1405.1^{+1.3}_{-1.0} \text{ MeV}, \quad \Gamma_{\Lambda(1405)}^{PDG} = 50.5 \pm 2.0 \text{ MeV}$$

Four-body calculations: the “exact optical” versions of the

- phenomenological  $\bar{K}N - \pi\Sigma$  with one-pole  $\Lambda(1405)$  resonance
- phenomenological  $\bar{K}N - \pi\Sigma$  with two-pole  $\Lambda(1405)$  resonance
- chirally motivated  $\bar{K}N - \pi\Sigma - \pi\Lambda$  potentials

constructed for the three-body calculations

## Two-term $NN$ potential

Reproduce: Argonne V18  $NN$  phase shifts (with sign change),  
deuteron binding energy, and scattering lengths

Quantum numbers of the 4-body system  $K^- ppn$ :  $I^{(4)} = 0, S^{(4)} = 1/2$ ,  
orbital momentum  $L^{(4)} = 0$

### Three-body subsystems

$\bar{K}NN$  ( $I^{(3)} = 1/2, S^{(3)} = 0$  or  $1$ )

$K^- pp$  pole positions (MeV):

$-53.3 - i 32.4$  (1-pole phen),  $-47.4 - i 24.9$  (2-pole phen),  $-32.2 - i 24.3$  (chiral)

$NNN$  ( $I^{(3)} = 1/2, S^{(3)} = 1/2$ )

$NNN$  ( $^3H$  or  $^3He$ ) binding energy: 9.95 MeV

### 2+2 (“Three-body”) system

$\bar{K}N + NN$  ( $I^{(4)} = 0, S^{(4)} = 1/2, I_{\bar{K}N} = I_{NN} = 0$  or  $1$ )

A special system with two non-interacting pairs of particles;  
3-body system of equations has to be solved

## Solution of the four-body equations

- Write down the equations for distinguishable nucleons ✓
- Antisymmetrize the equations ✓
- Evaluate momentum and spin-isospin parts of the kernel functions Z ✓
- Calculate separable 3-body  $\bar{K}NN$ ,  $NNN$  and “3-body”  $\bar{K}N + NN$  “T-matrices”:
  - perform additional 3-body and “3-body” calculations,
  - calculate eigenvalues and eigenfunctions at fixed energy
  - evaluate form-factors and propagators for arbitrary energy✓
- Write the program code for four-body calculations in complex z-plane ✓
- Perform tests of the solutions

## Preliminary results

### Four-body quasi-bound state

$K^-ppn$  pole positions:

- $-87.5 - i 38.2$  MeV (*1-pole phenomenological  $\bar{K}N$  potential*)
- $-80.9 - i 34.3$  MeV (*2-pole phenomenological  $\bar{K}N$  potential*)

A system of equations with:

- 1-term separable  $\bar{K}N$  potential
- 2-term separable  $NN$  potential
- 1-term separabelized 3-body  $NNN$ ,  $\bar{K}NN$  “T-matrices”  
and “3-body”  $\bar{K}N + NN$  “T-matrices” (EDPA)

was solved

### Three-body subsystem (calculated earlier)

$K^-pp$  pole positions (MeV):

- $-53.3 - i 32.4$  (*1-pole phen*) , –  $-47.4 - i 24.9$  (*2-pole phen*)