

# Point Cloud Strategies for Boosted Objects

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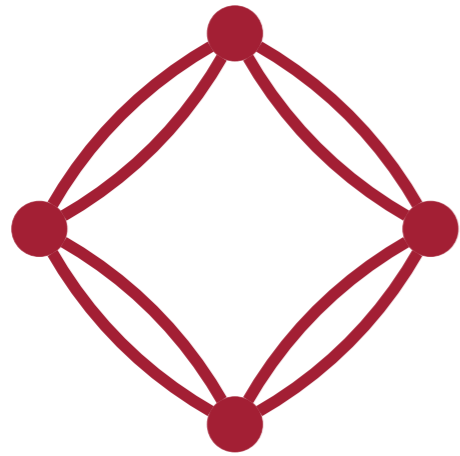
**CERN BSM Forum**

February 21, 2019

Collaborators: Eric Metodiev and Jesse Thaler

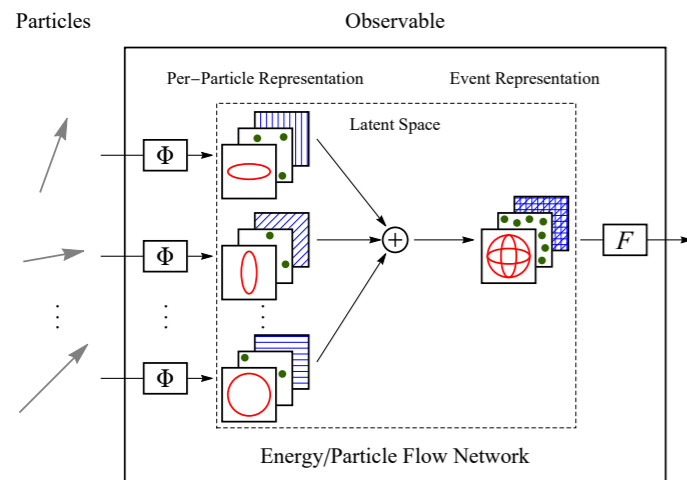
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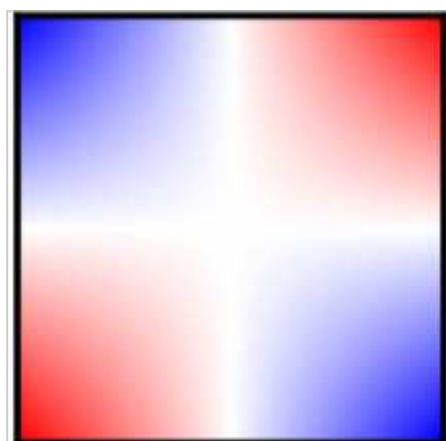
# Energy Flow Polynomials

*"Understanding the space of IRC-safe observables"*



# Energy Flow Networks

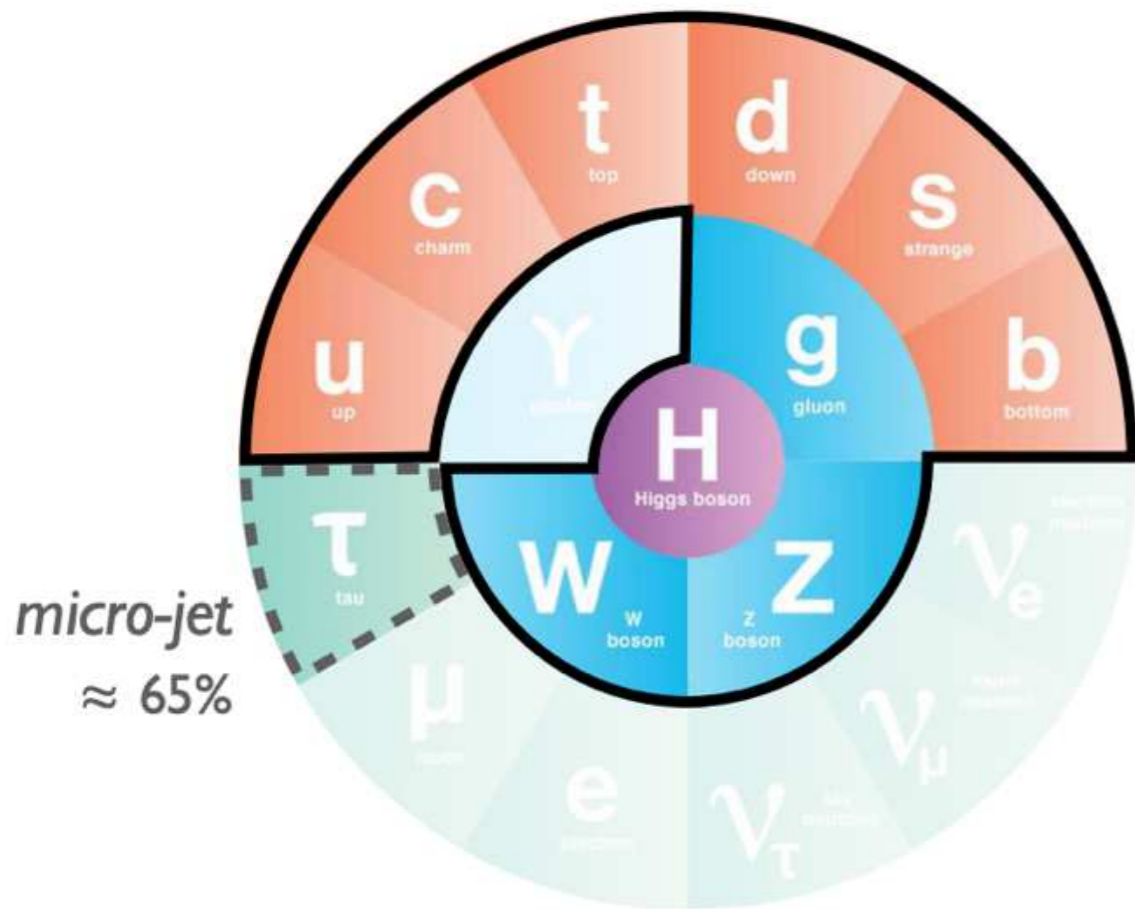
*"Power of ML meets IRC-safe physics"*



# Energy Flow Moments

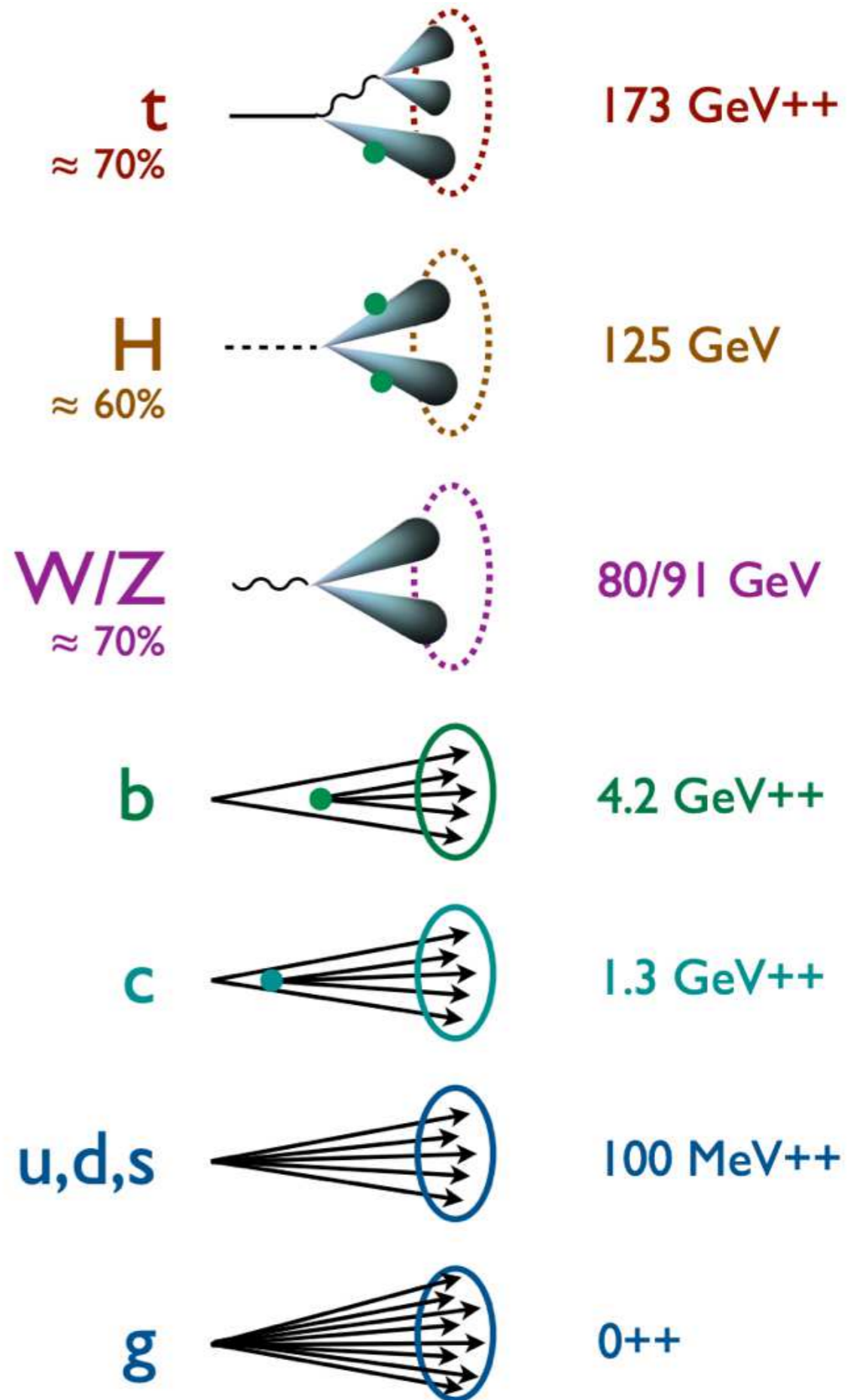
*"A deep connection between EFPs and EFNs"*

# Jets in Theory

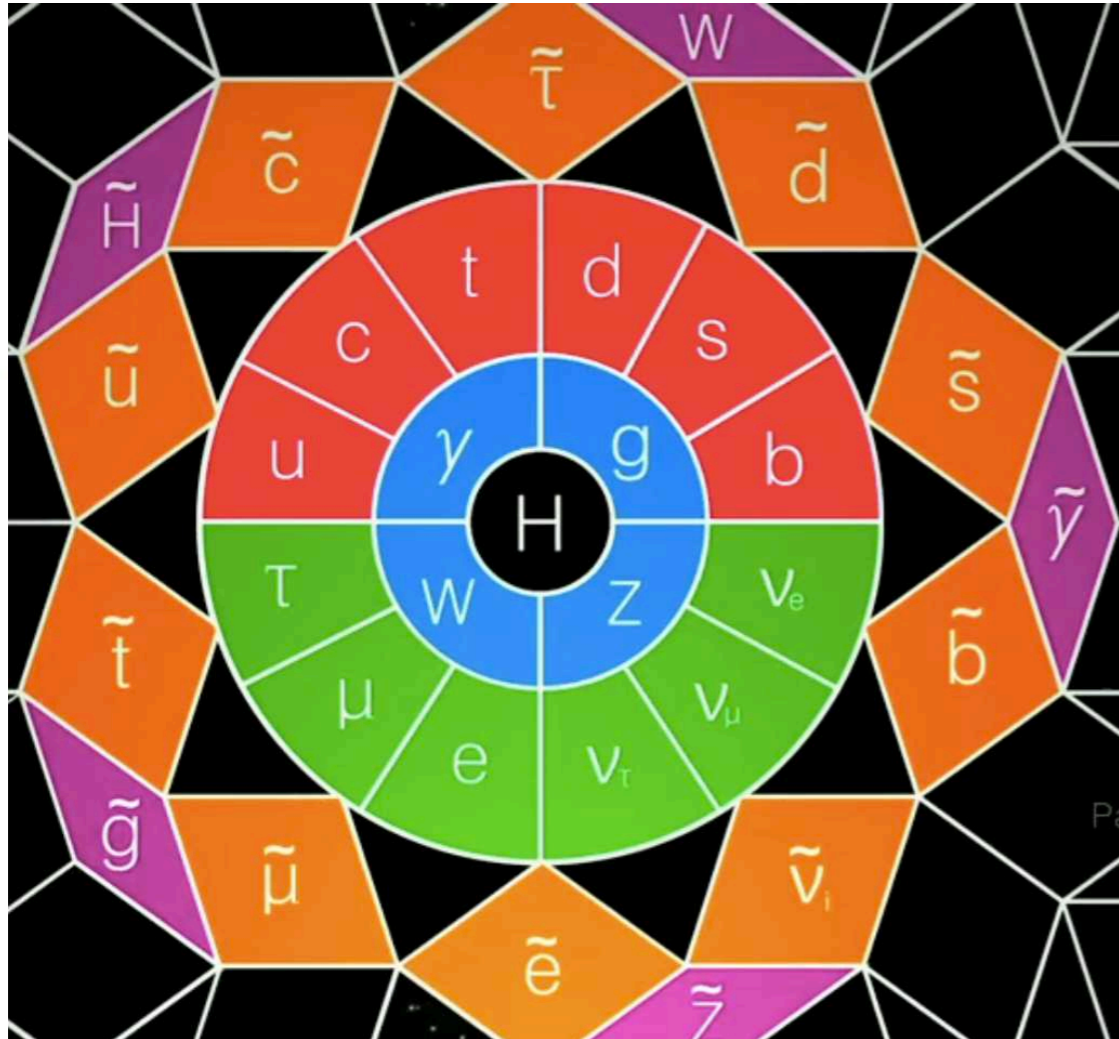


## Jets from the Standard Model

++ = Mass from QCD Radiation



# Jets in Theory



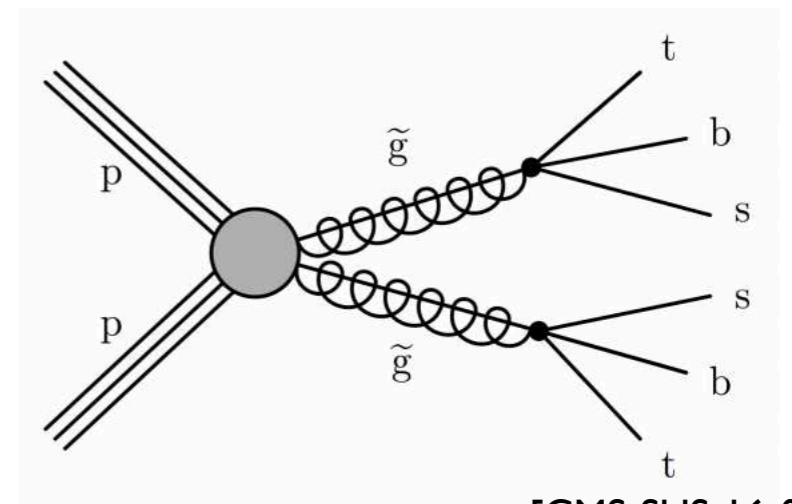
## Jets from *Beyond* the Standard Model

++ = Mass from QCD Radiation

$\approx ? \tilde{t}$   
 $\approx ? \tilde{H}$   
 $\approx ? \tilde{W}/\tilde{Z}$   
 $\approx ? \tilde{b}$   
 $\approx ? \tilde{c}$   
 $\approx ? \tilde{u}, \tilde{d}, \tilde{s}$   
 $\approx ? \tilde{g}$

Many models of new physics generate boosted Standard Model hadronic final states

e.g.  $Z' \rightarrow t\bar{t}$ , cascade decays, various SUSY scenarios



[CMS-SUS-16-040]



# Cartoon of Jet Formation

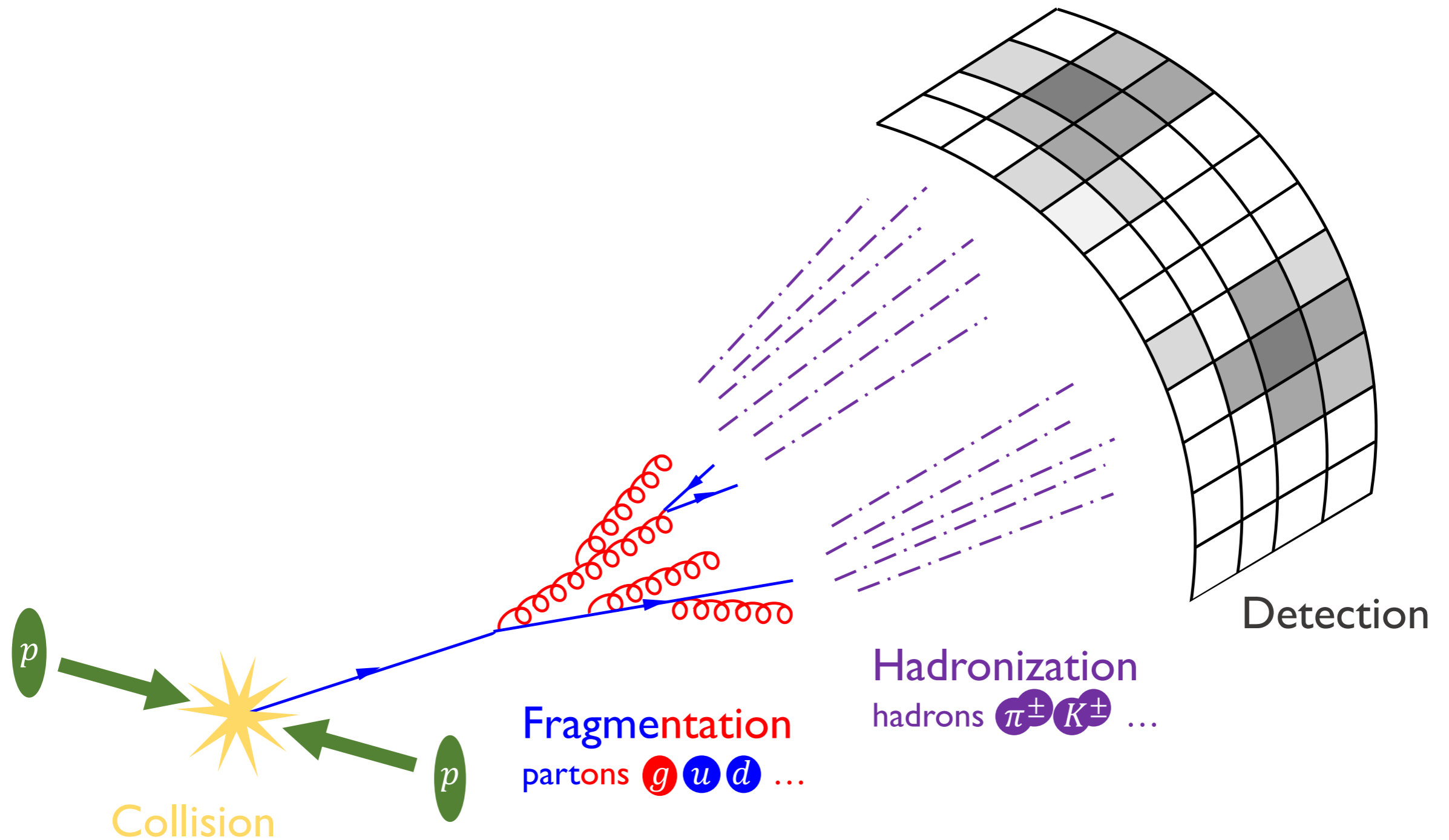
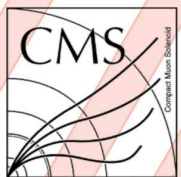
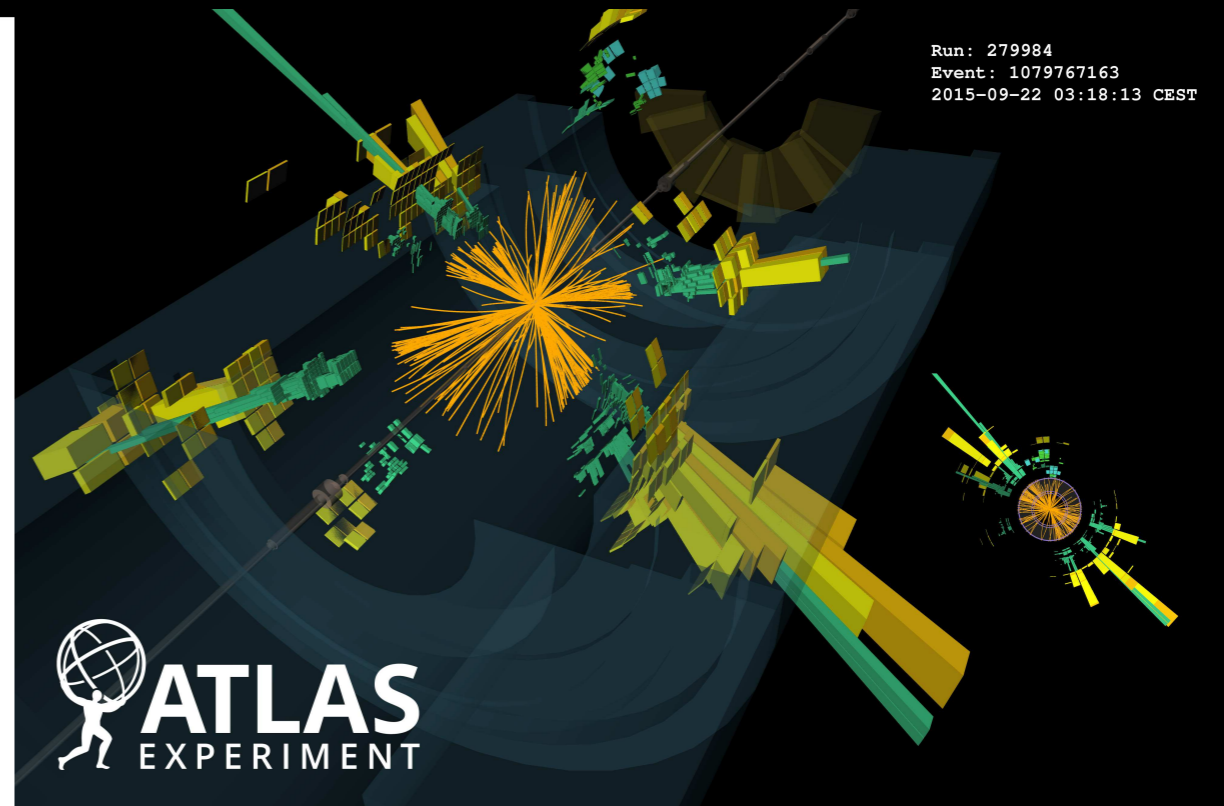
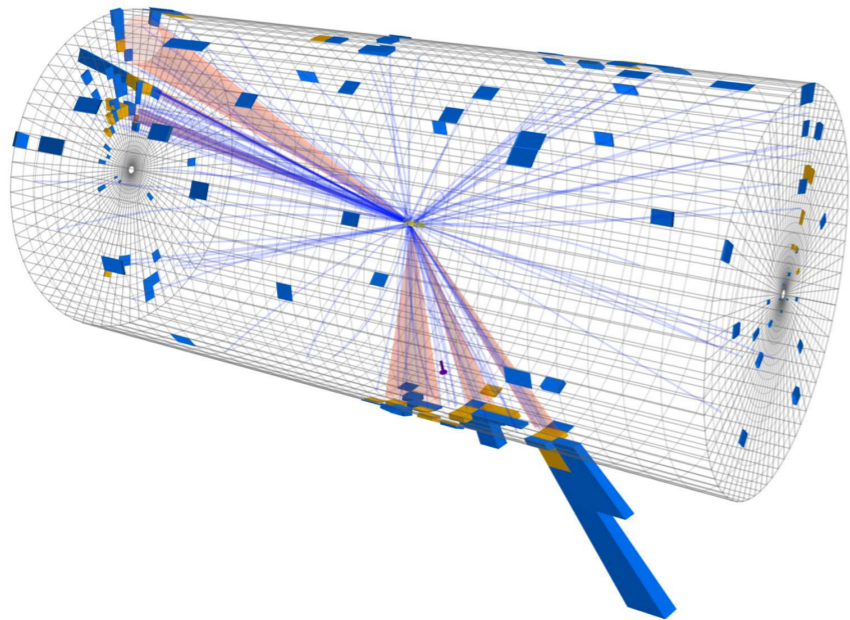


Diagram by Eric Metodiev

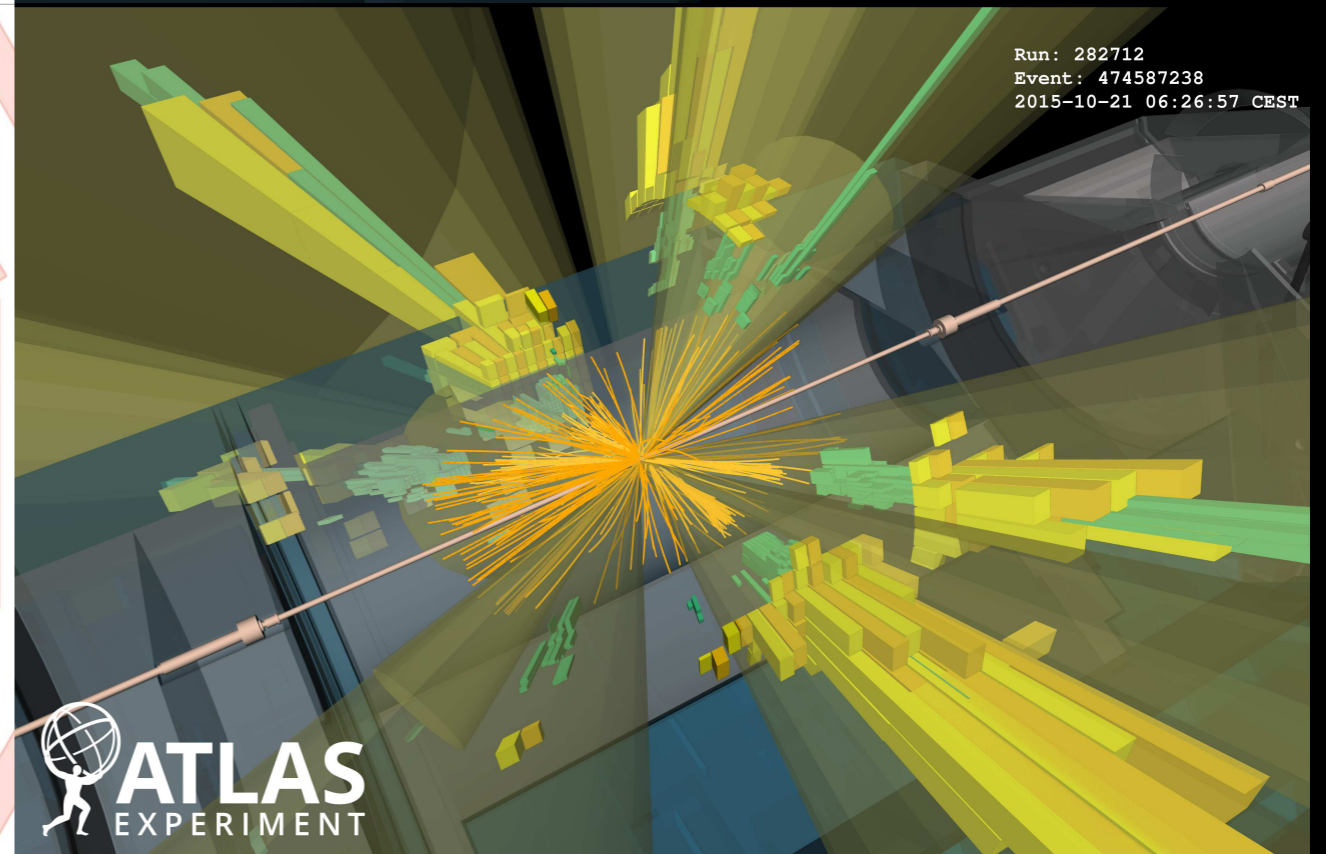
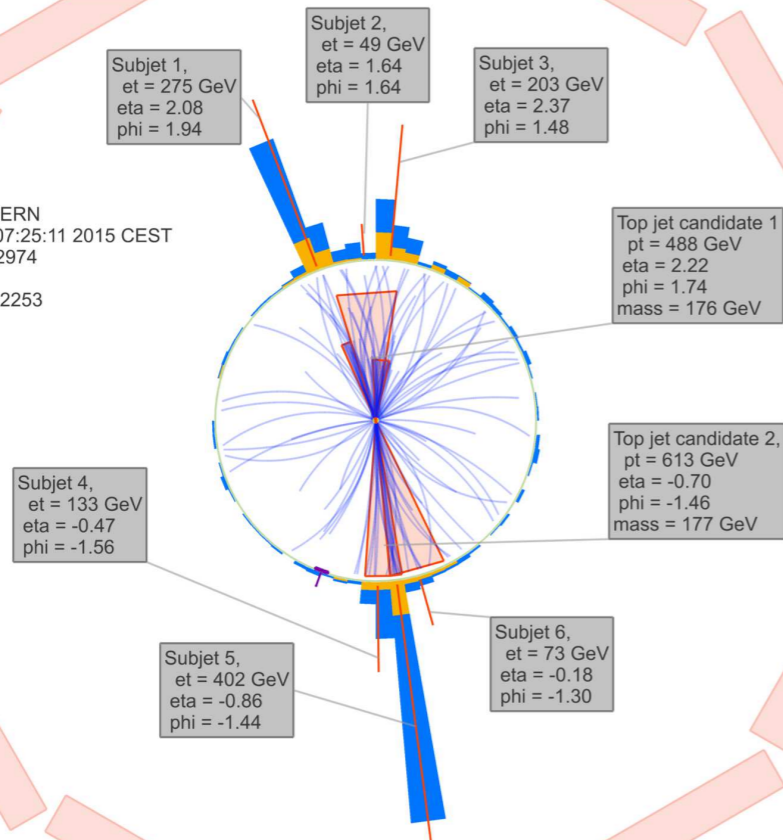
# Boosted Event Topologies at the LHC



CMS Experiment at LHC, CERN  
 Data recorded: Sun Jul 12 07:25:11 2015 CEST  
 Run/Event: 251562 / 111132974  
 Lumi section: 122  
 Orbit/Crossing: 31722792 / 2253



CMS Experiment at LHC, CERN  
 Data recorded: Sun Jul 12 07:25:11 2015 CEST  
 Run/Event: 251562 / 111132974  
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# What is a Jet?

An *unordered, variable length* collection of particles

Due to quantum-mechanical indistinguishability

Due to probabilistic nature of jet formation

$$J(\{p_1^\mu, \dots, p_M^\mu\}) = J(\{p_{\pi(1)}^\mu, \dots, p_{\pi(M)}^\mu\}), \quad \underbrace{M \geq 1}_{\text{Multiplicity}}, \quad \underbrace{\forall \pi \in S_M}_{\text{Permutations}}$$

$p_i^\mu$  represents *all* the particle properties:

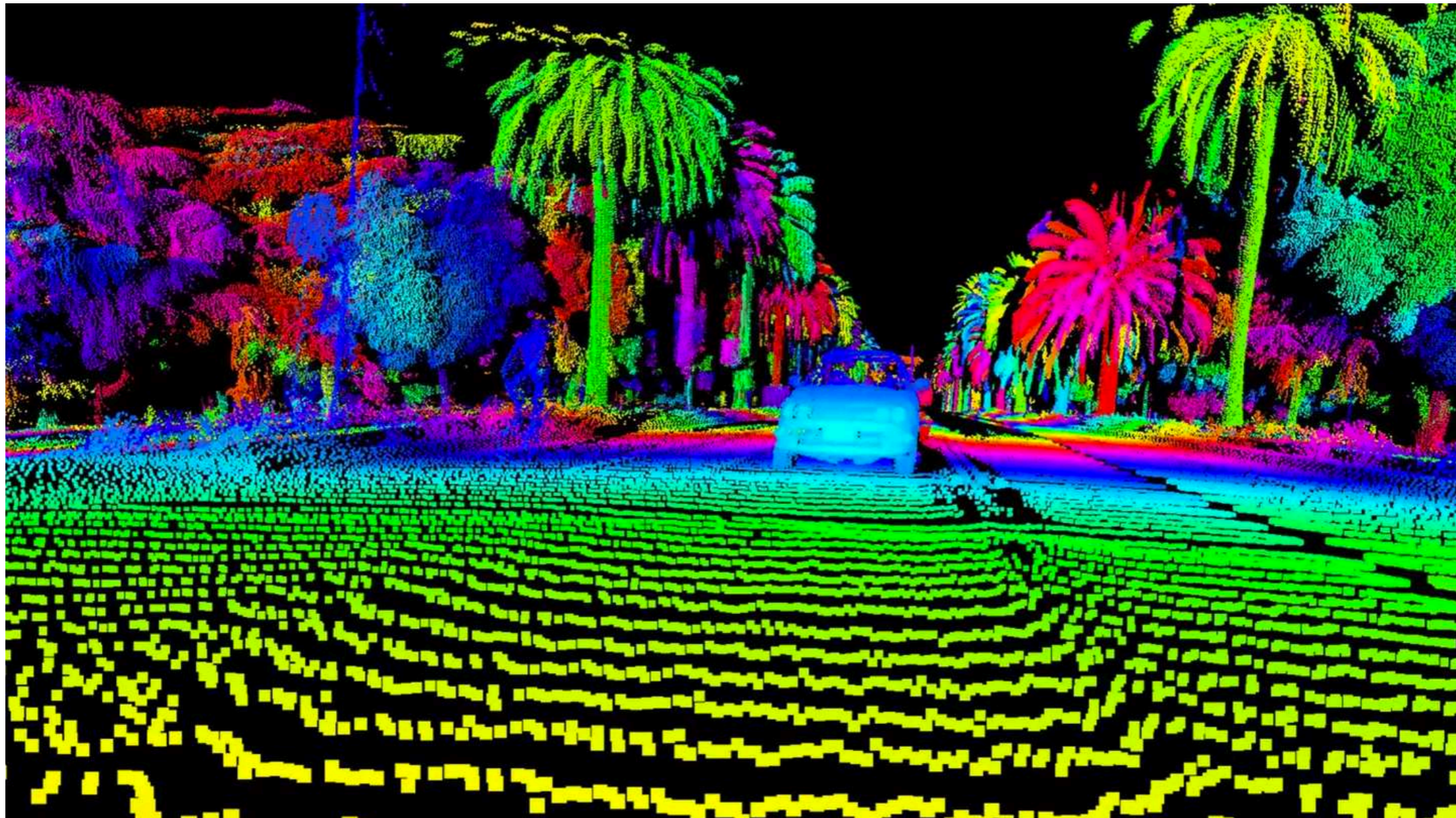
- Four-momentum –  $(E, p_x, p_y, p_z)_i^\mu$
- Other quantum numbers (e.g. particle id, charge)
- Experimental information (e.g. vertex info, quality criteria, PUPPI weights)



# Point Clouds

Point cloud: "A set of data points in space" –Wikipedia

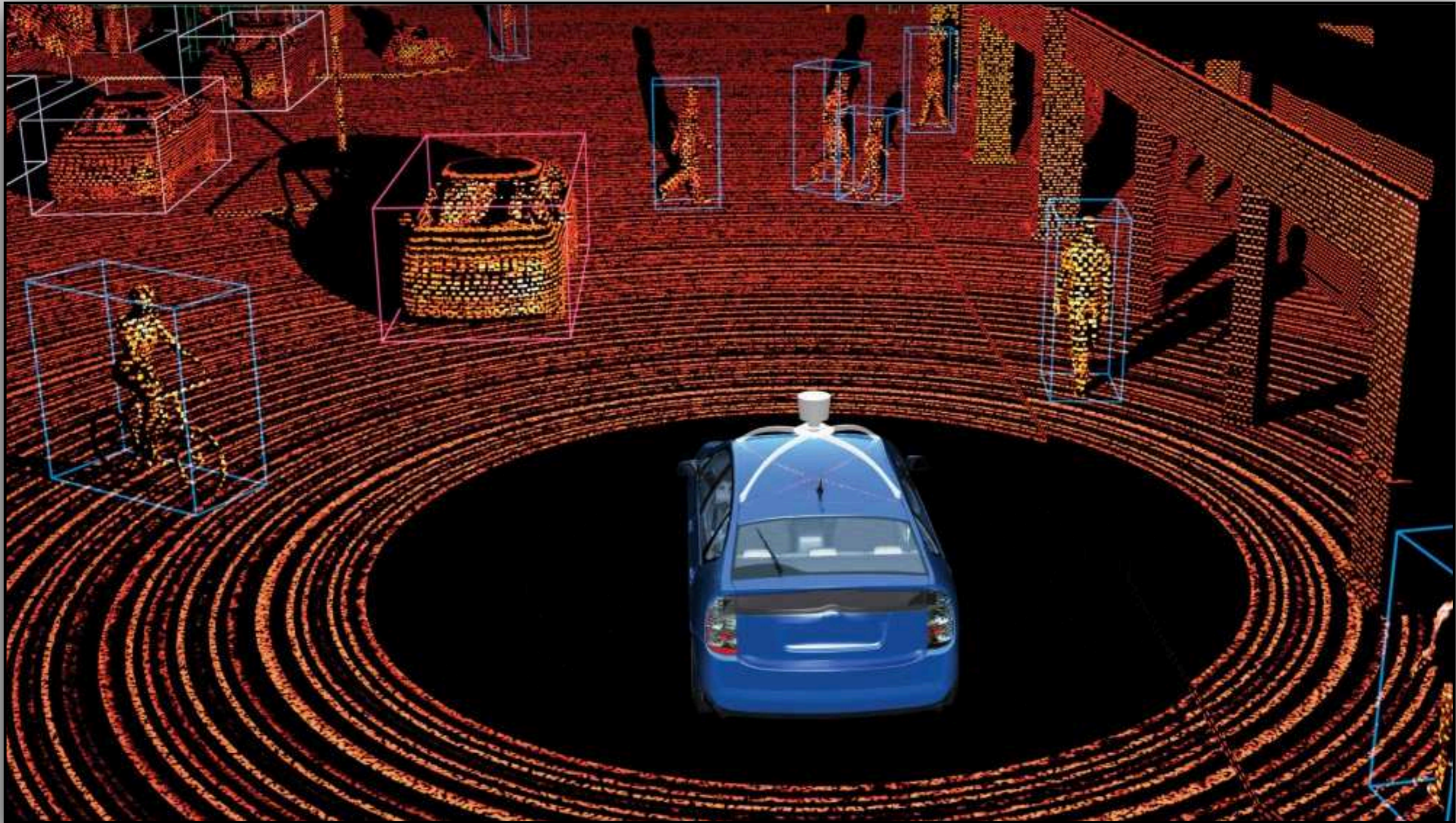
LIDAR data from self-driving car sensor





# Point Clouds

[Popular Science, 2013]





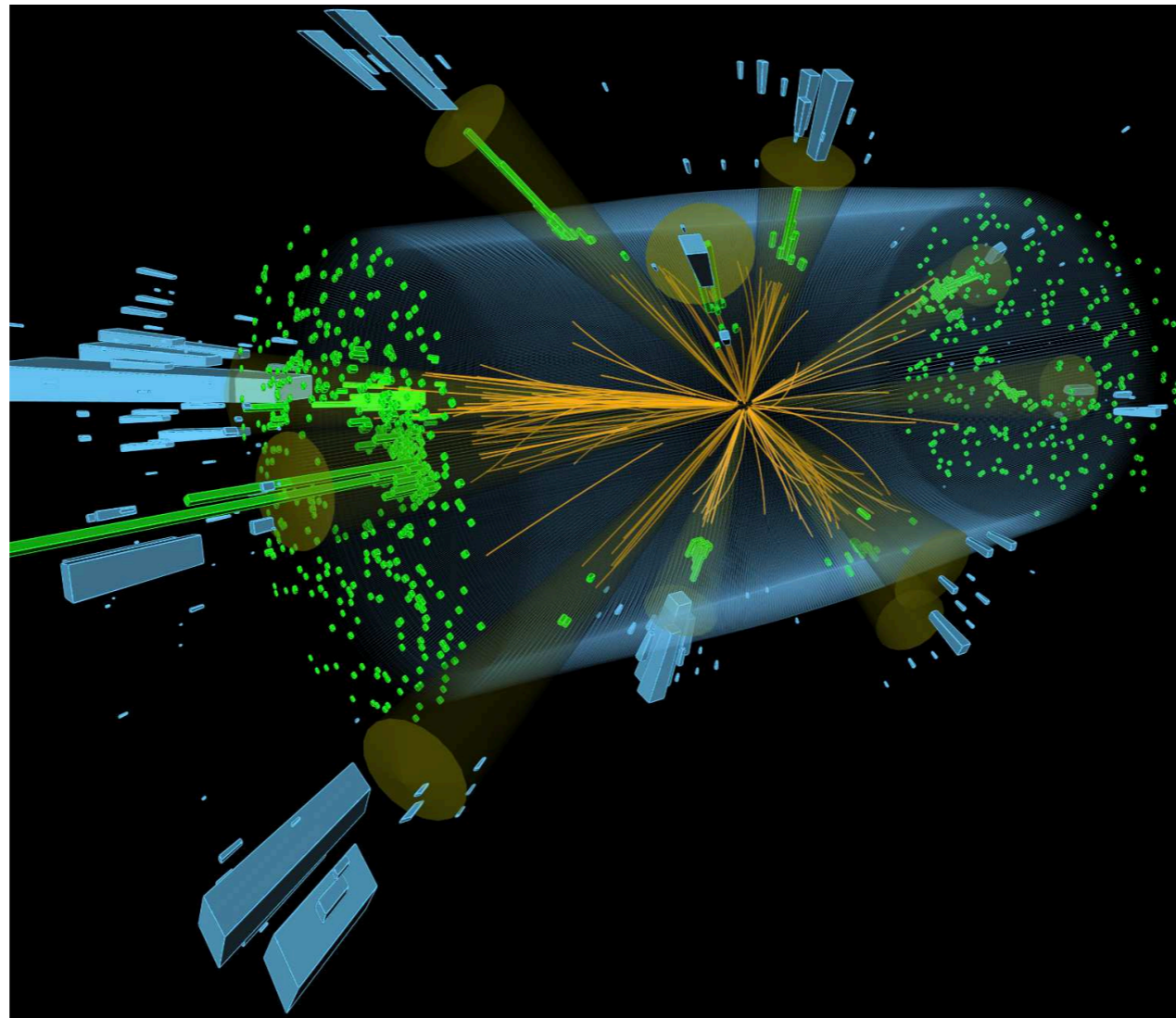
# Particle Collision Events as Point Clouds

Point cloud: "A set of data points in space" –Wikipedia

Jet/event

Particles

Feature space

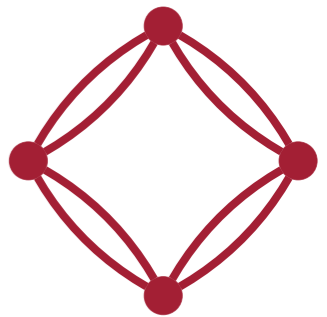


Multi-jet event at CMS

# Processing Point Clouds

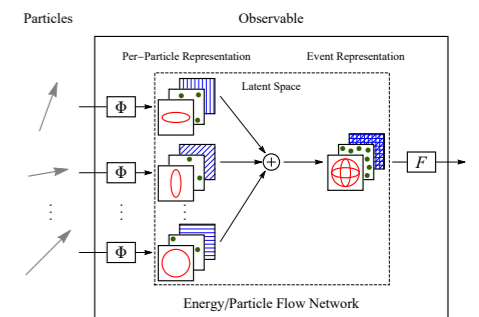
*Methods for processing point clouds/jets should respect the appropriate symmetries*

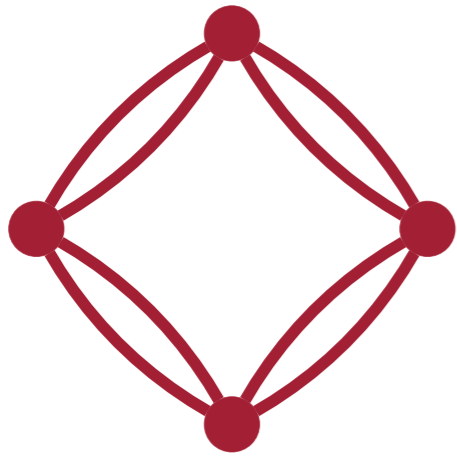
**Variable constituent multiplicity** requires at least one of:  
Preprocessing to another representation (jet images,  $N$ -subjettiness, etc.)  
Truncation to an (arbitrary) fixed size  
Recurrent NN structure



**Particle permutation symmetry** requires:

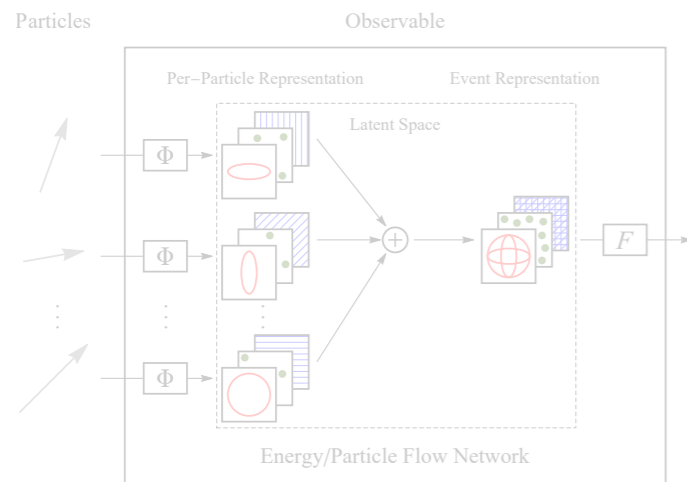
- Permutation symmetric observables
- Permutation symmetric architectures





# Energy Flow Polynomials

*Fixed preprocessing of a point cloud*



# Energy Flow Networks



# Energy Flow Moments



# Infrared and Collinear (IRC) Safety

QCD has soft and collinear divergences associated with gluon radiation



$$dP_{i \rightarrow ig} \simeq \frac{2\alpha_s}{\pi} C_a \frac{d\theta}{\theta} \frac{dz}{z}$$

$$C_q = C_F = 4/3$$

$$C_g = C_A = 3$$

KLN Theorem: IRC safety of an observable is sufficient to guarantee that soft/collinear divergences cancel at each order in perturbation theory

**Infrared (IR) safety** – observable is unchanged under addition of a soft particle

$$S(\{p_1^\mu, \dots, p_M^\mu\}) = \lim_{\epsilon \rightarrow 0} S(\{p_1^\mu, \dots, p_M^\mu, p_{M+1}^\mu\}), \quad \forall p_{M+1}^\mu$$

**Collinear (C) safety** – observable is unchanged under a collinear splitting of a particle

$$S(\{p_1^\mu, \dots, p_M^\mu\}) = S(\{p_1^\mu, \dots, (1 - \lambda)p_M^\mu, \lambda p_{M+1}^\mu\}), \quad \forall \lambda \in [0, 1]$$

IRC safety is a key theoretical *and experimental* property of observables

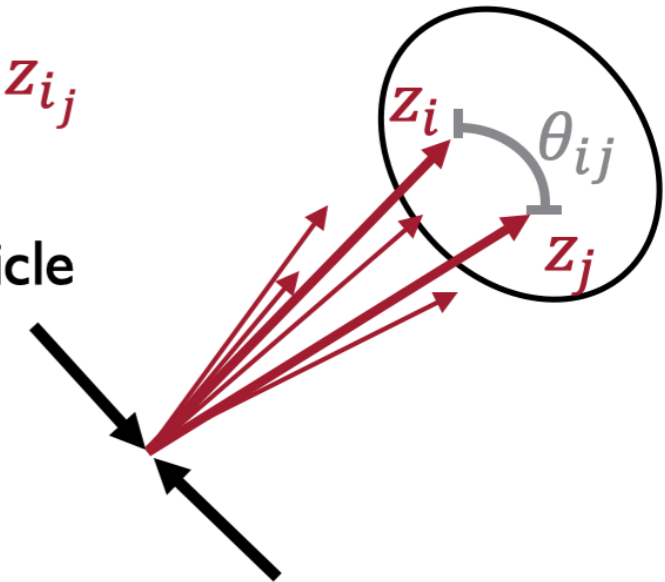
# Derivation of EFP Linear Spanning Basis

Arbitrary **IRC**-safe observable:  $S(p_1^\mu, \dots, p_M^\mu)$

- **Energy expansion\***: Approximate  $S$  with polynomials of  $z_{ij}$ 
  - **IR safety**:  $S$  is unchanged under addition of soft particle
  - **C safety**:  $S$  is unchanged under collinear splitting of a particle
  - **Relabeling symmetry**: Particle index is arbitrary

Energy correlator parametrized  
by angular function  $f$

$$\sum_{i_1=1}^M \dots \sum_{i_N=1}^M z_{i_1} \dots z_{i_N} f(\hat{p}_{i_1}, \dots, \hat{p}_{i_N})$$



[F.Tkachov, [hep-ph/9601308](https://arxiv.org/abs/hep-ph/9601308)]

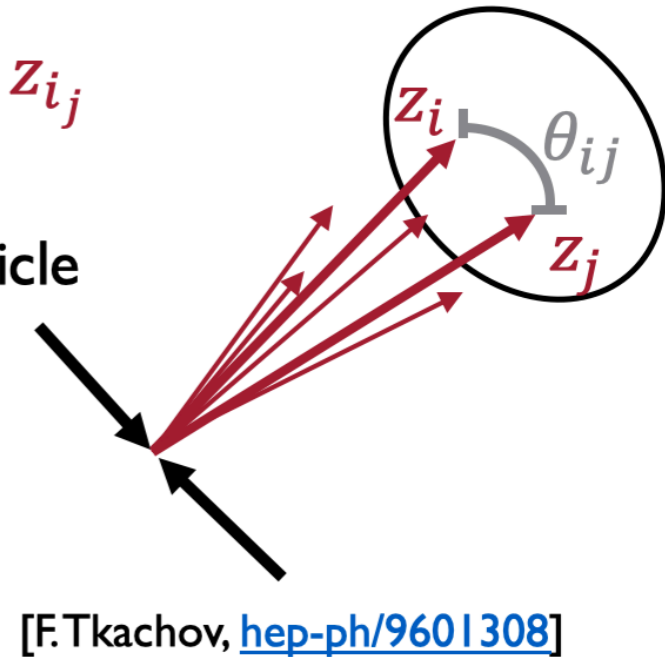
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➔ Energy correlators linearly span **IRC**-safe observables

- **Angular expansion\***: Approximate  $f$  with polynomials in  $\theta_{ij}$
- **Simplify**: Identify unique analytic structure that emerge

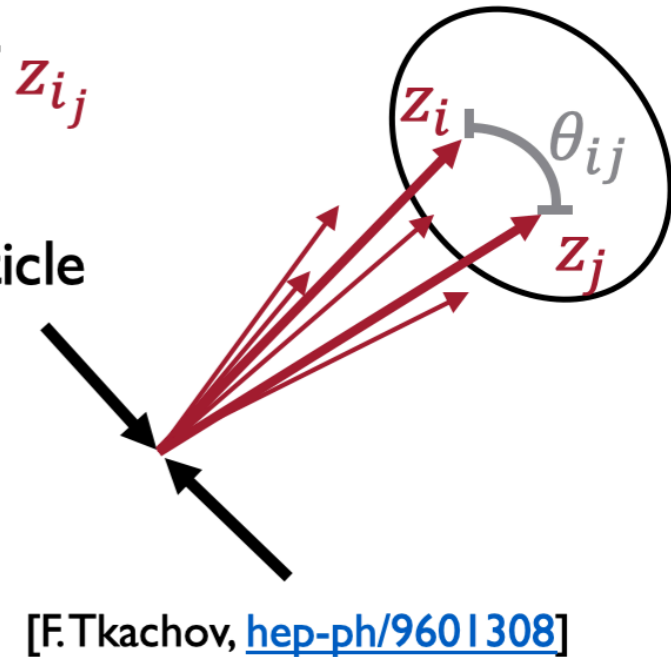
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➔ Energy correlators linearly span **IRC**-safe observables

- **Angular expansion\***: Approximate  $f$  with polynomials in  $\theta_{ij}$
- **Simplify**: Identify unique analytic structure that emerge

➔ Linear spanning basis in terms of “EFPs” has been found!

$$S \simeq \sum_{g \in G} s_G \text{EFP}_G, \quad \text{EFP}_G \equiv \sum_{i_1=1}^M \dots \sum_{i_N=1}^M z_{i_1} \dots z_{i_N} \prod_{(k,\ell) \in G} \theta_{i_k i_\ell}$$

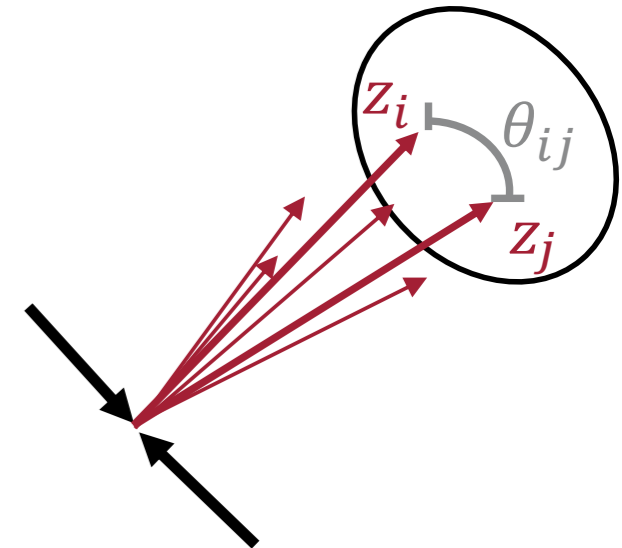
\*\*Generically, approximations exist by the Stone-Weierstrass theorem



# Energy Flow Polynomials (EFPs)

[PTK, Metodiev, Thaler, [1712.07124](#)]

$$\text{EFP}_G = \underbrace{\sum_{i_1=1}^M \cdots \sum_{i_N=1}^M}_{\text{Correlator of}} \underbrace{z_{i_1} \cdots z_{i_N}}_{\text{Energies}} \underbrace{\prod_{(k,l) \in G} \theta_{i_k i_l}}_{\text{and Angles}}$$



Generalizes many well-known and studied classes of energy correlators observables

A family of energy correlators with angular structures determined by multigraphs

$$= \sum_{i_1=1}^M \sum_{i_2=1}^M \sum_{i_3=1}^M \sum_{i_4=1}^M \sum_{i_5=1}^M z_{i_1} z_{i_2} z_{i_3} z_{i_4} z_{i_5} \theta_{i_1 i_2} \theta_{i_2 i_3} \theta_{i_1 i_3} \theta_{i_1 i_4} \theta_{i_1 i_5} \theta_{i_4 i_5}^2$$

Multigraph correspondence



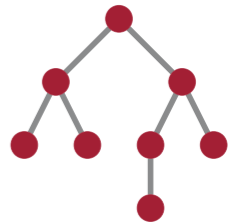
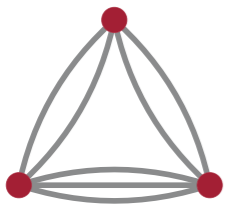
Energy and Angle Measure

Hadronic :  $z_i = \frac{p_{Ti}}{\sum_j p_{Tj}}$ ,  $\theta_{ij} = (\Delta y_{ij}^2 + \Delta \phi_{ij}^2)^{\beta/2}$

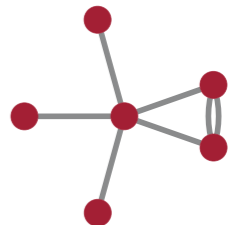
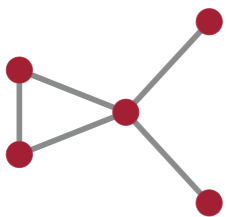
# Linear Basis of IRC-Safe Observables

One can show via the Stone-Weierstrass approximation theorem that any IRC-safe observable is a linear combination of EFPs

$$\mathcal{S} \simeq \sum_{G \in \mathcal{G}} s_G \text{EFP}_G, \quad \mathcal{G} \text{ a set of multigraphs}$$



*Multivariate combinations of EFPs only require linear methods to achieve full generality*



Strategy: Learn coefficients  $s_G$  via linear regression or classification

# Familiar Observables as EFPs

$$m_j^2 = \text{[Diagram: Two red nodes connected by two curved edges (double edge)]}$$

$$D_2 = \frac{\text{[Diagram: Three red nodes in a triangle, all connected by straight edges (complete graph K3)]}}{\left(\text{[Diagram: Two red nodes connected by a straight edge (edge)]}\right)^3}$$

[Larkoski, Mout, Neill, 2014]

$$C_2 = \frac{\text{[Diagram: Three red nodes in a triangle, all connected by straight edges (complete graph K3)]}}{\left(\text{[Diagram: Two red nodes connected by a straight edge (edge)]}\right)^2}$$

[Larkoski, Salam, Thaler, 2013]

Energy correlation functions are complete graphs

Even angularities are exact linear combinations of EFPs

## EFPs organized by degree $d$ – number of edges

Degree	Connected Multigraphs
$d = 1$	
$d = 2$	
$d = 3$	
$d = 4$	
$d = 5$	

# Quantifying a Classifier

Receiver Operating Characteristic (**ROC**) curve:  
True negative rate of the classifier at different true positive rates

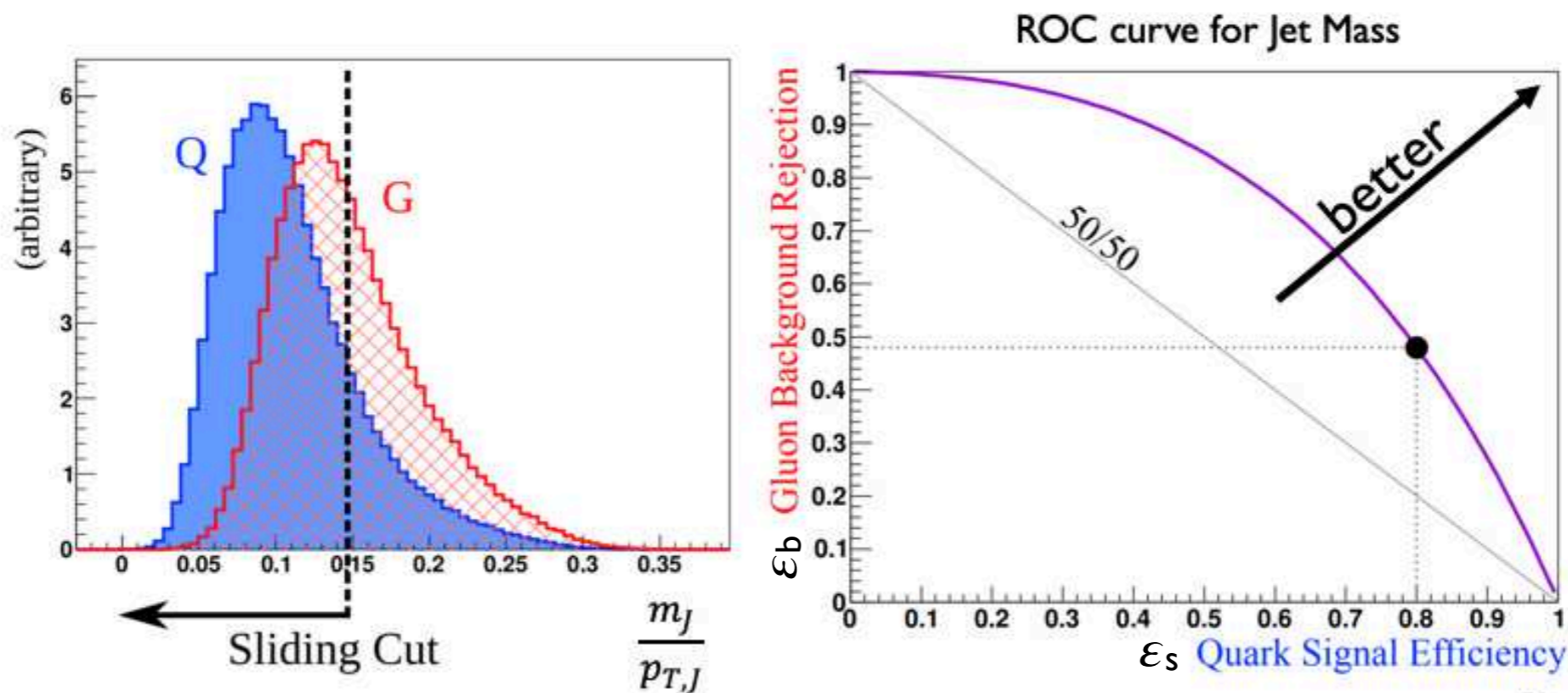


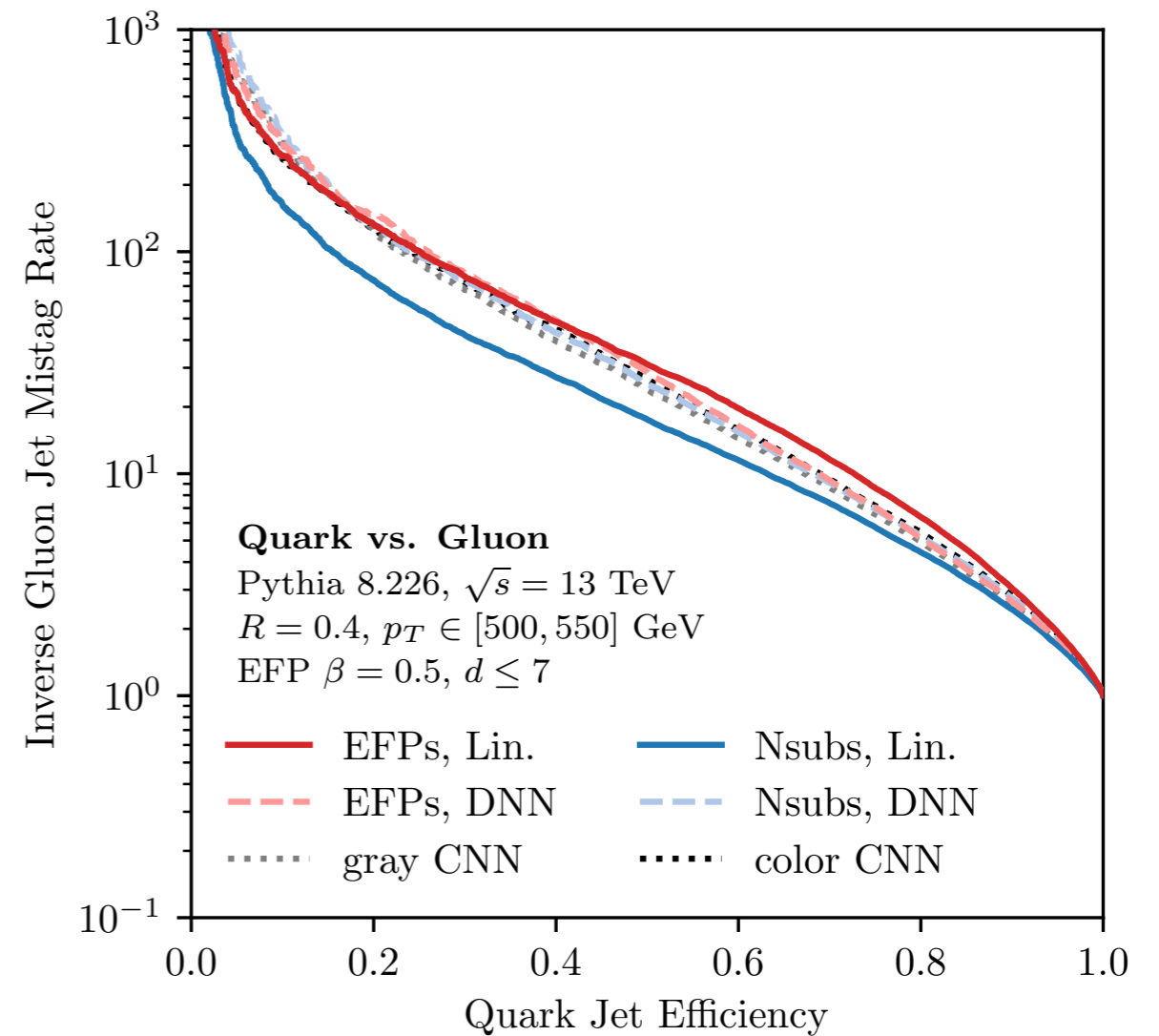
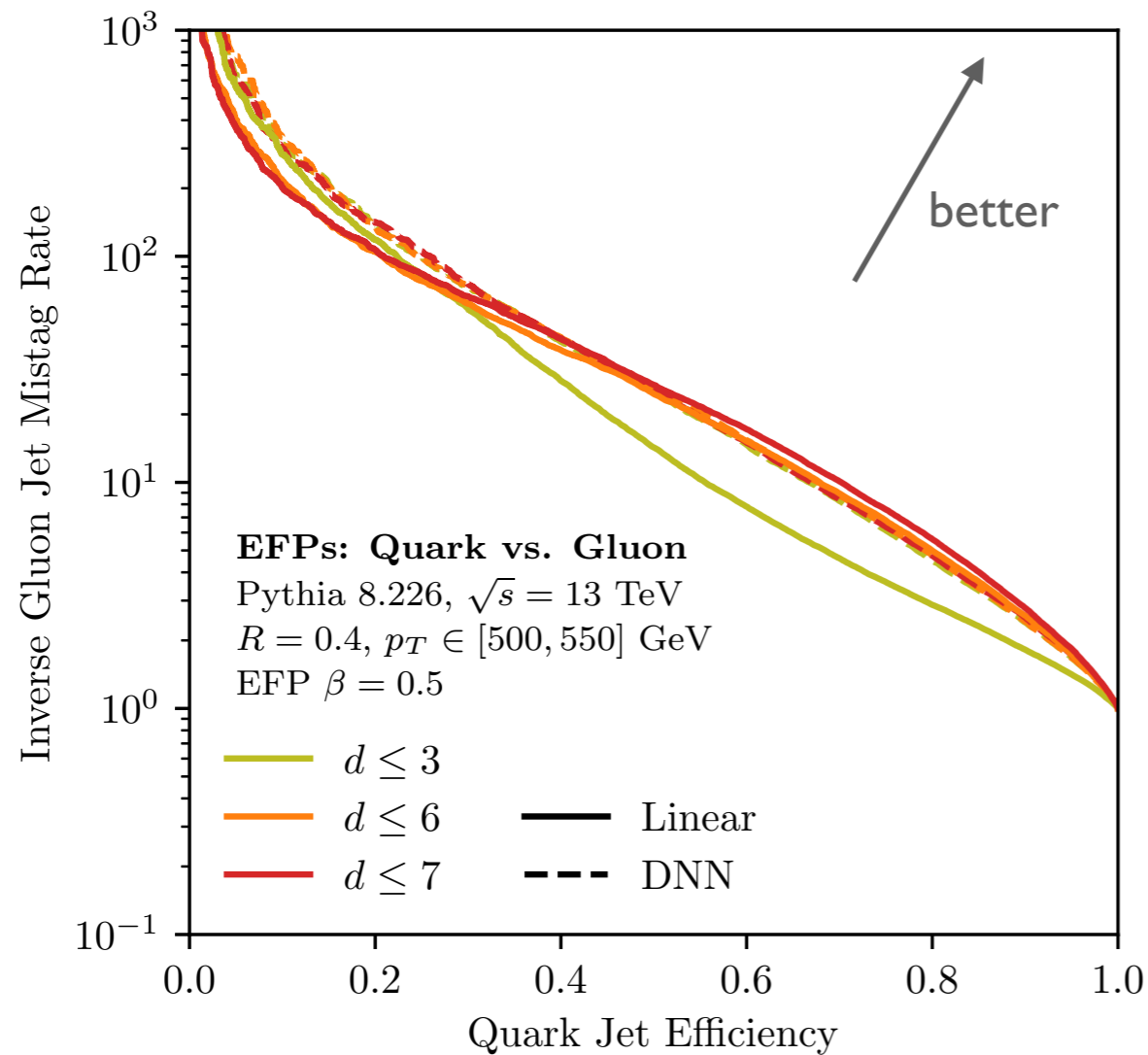
Figure from [1211.7038](#)

Area Under the ROC Curve (**AUC**) captures the classifier performance in a number.

Other formats possible, e.g.  $(\epsilon_s, 1/(1 - \epsilon_b))$ ,  $(\epsilon_s, \epsilon_s/\sqrt{1 - \epsilon_b})$



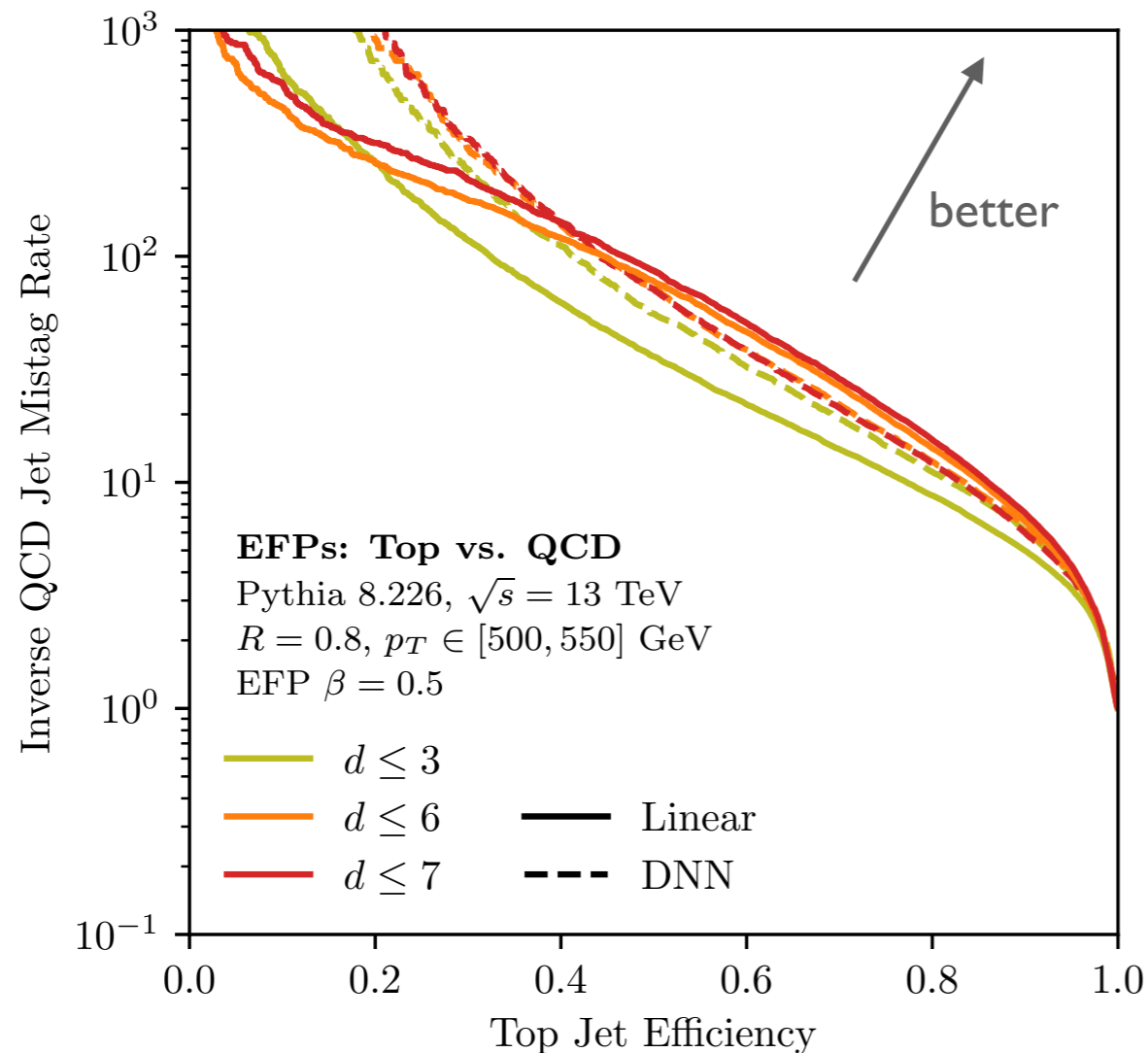
# Quark vs. Gluon: EFP Classification Performance Comparison



Saturation observed with more EFPs

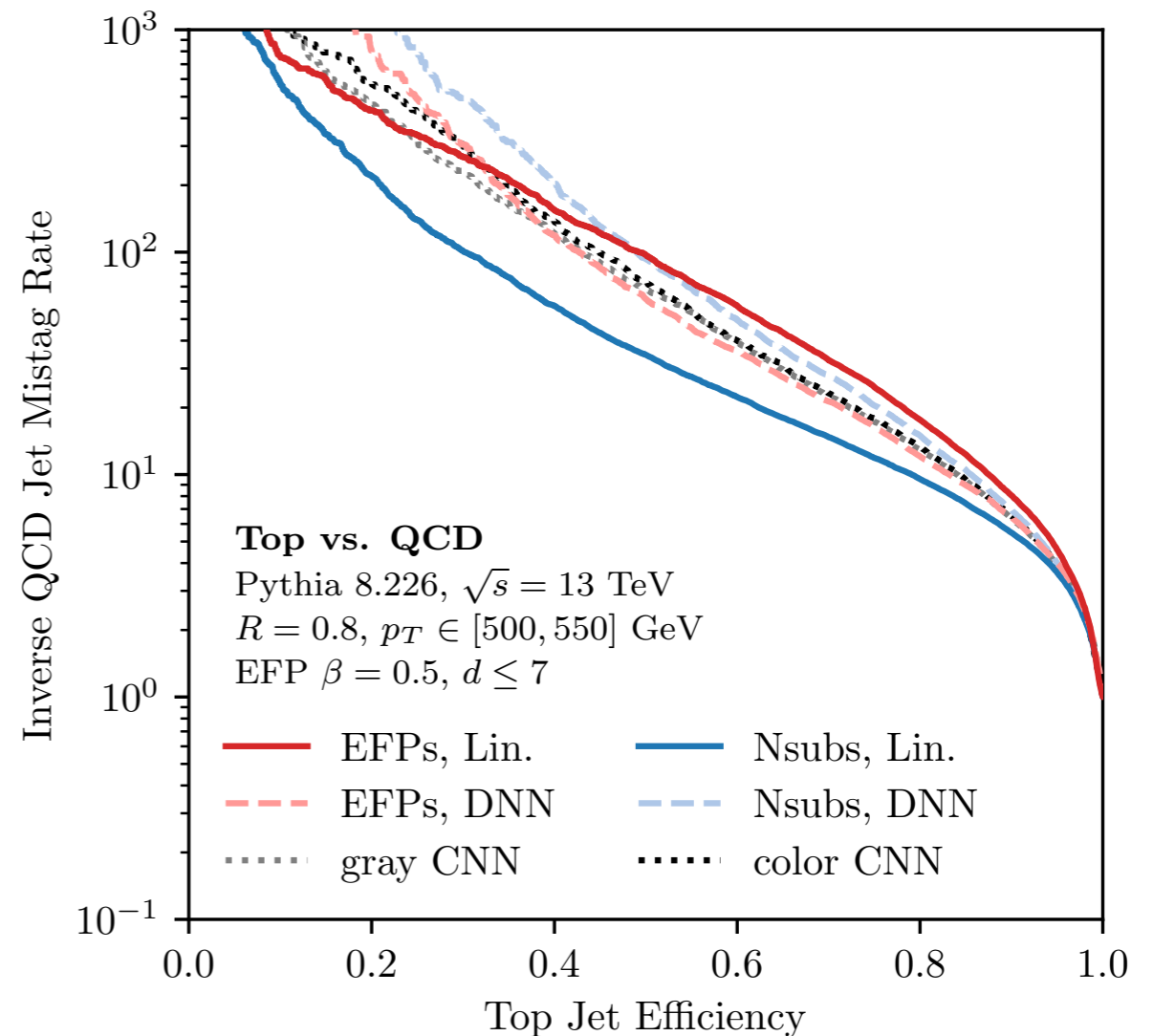
[de Oliviera, Kagan, Mackey, Nachman, Schwartzman, 2015]  
 [PTK, Metodiev, Schwartz, 2016]  
 [Datta, Larkoski, 2017]

# Boosted Top: EFP Classification Performance Comparison



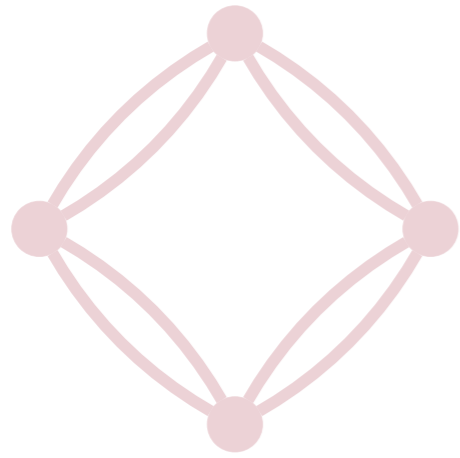
Saturation observed with more EFPs

DNN gets there faster but linear suffices

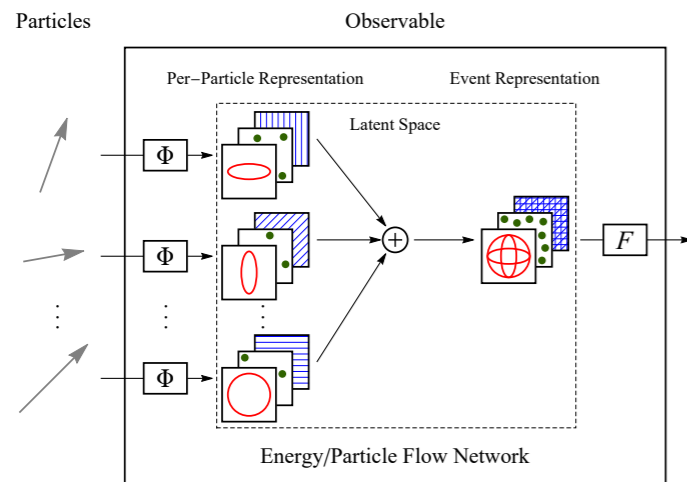


Linear EFPs excel at high efficiency

[de Oliviera, Kagan, Mackey, Nachman, Schwartzman, 2015]  
 [PTK, Metodiev, Schwartz, 2016]  
 [Datta, Larkoski, 2017]



# Energy Flow Polynomials



# Energy Flow Networks

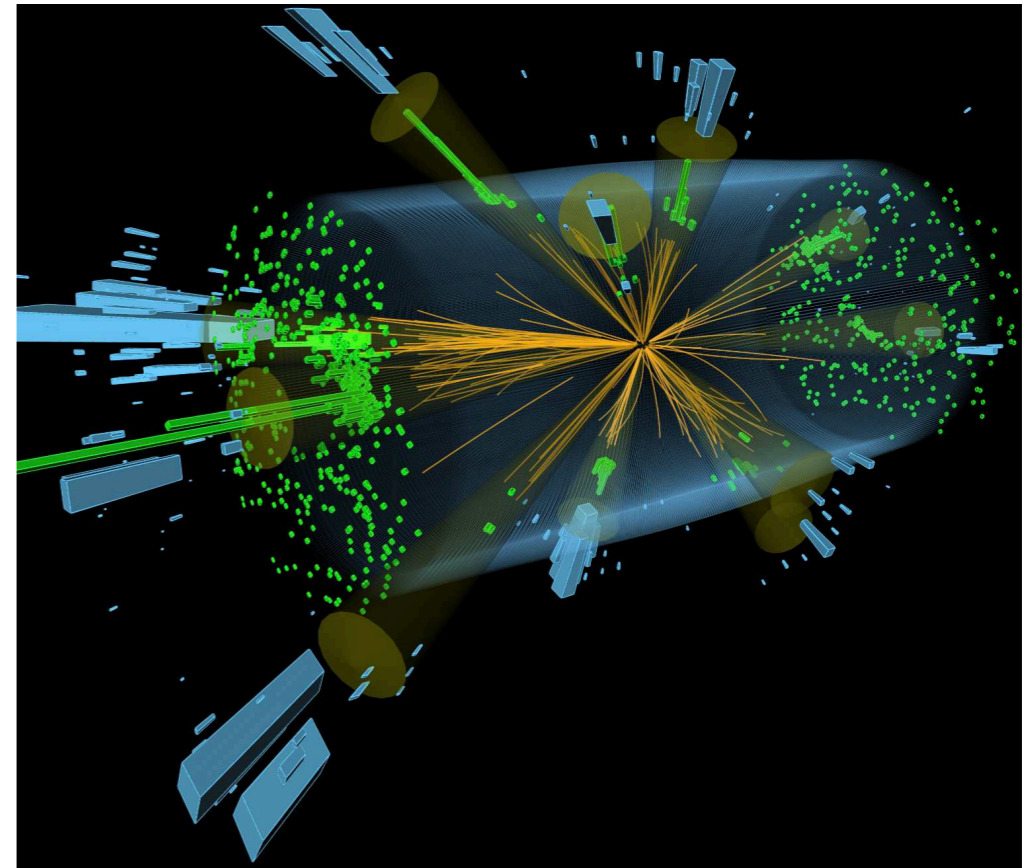
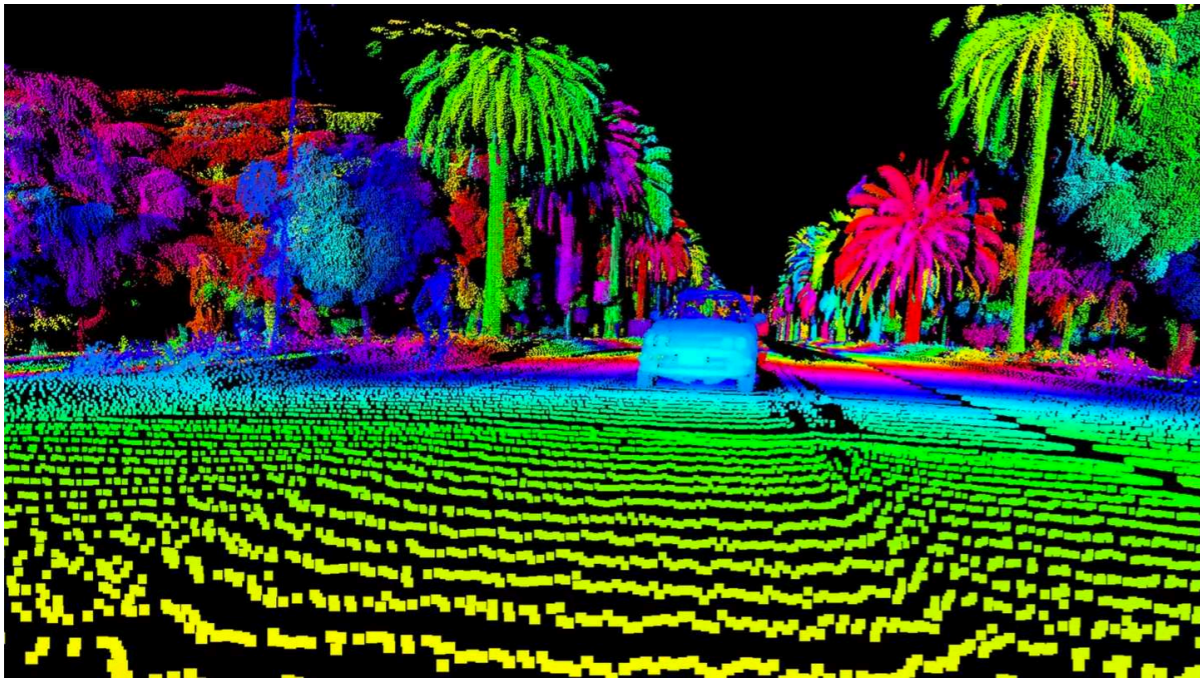
*Learning to process point clouds into observables*



# Energy Flow Moments



# Point Clouds



How do we make a machine learning architecture to process point clouds?

# Deep Sets

Namespace for symmetric function parametrization

A general permutation-symmetric function is *additive* in a latent space (piece of math)

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## Deep Sets

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[\[1703.06114\]](#)

Manzil Zaheer<sup>1,2</sup>, Satwik Kottur<sup>1</sup>, Siamak Ravanbakhsh<sup>1</sup>,  
Barnabás Póczos<sup>1</sup>, Ruslan Salakhutdinov<sup>1</sup>, Alexander J Smola<sup>1,2</sup>  
<sup>1</sup> Carnegie Mellon University    <sup>2</sup> Amazon Web Services

**Deep Sets Theorem [63]**. *Let  $\mathfrak{X} \subset \mathbb{R}^d$  be compact,  $X \subset 2^{\mathfrak{X}}$  be the space of sets with bounded cardinality of elements in  $\mathfrak{X}$ , and  $Y \subset \mathbb{R}$  be a bounded interval. Consider a continuous function  $f : X \rightarrow Y$  that is invariant under permutations of its inputs, i.e.  $f(x_1, \dots, x_M) = f(x_{\pi(1)}, \dots, x_{\pi(M)})$  for all  $x_i \in \mathfrak{X}$  and  $\pi \in S_M$ . Then there exists a sufficiently large integer  $\ell$  and continuous functions  $\Phi : \mathfrak{X} \rightarrow \mathbb{R}^\ell$ ,  $F : \mathbb{R}^\ell \rightarrow Y$  such that the following holds to an arbitrarily good approximation:<sup>1</sup>*

$$f(\{x_1, \dots, x_M\}) = F \left( \sum_{i=1}^M \Phi(x_i) \right)$$

# Deep Sets

Namespace for symmetric function parametrization

A general permutation-symmetric function is *additive* in a latent space (piece of math)

## Deep Sets

[1703.06114]

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Feature space

Variable length

Permutation  
invariance

**Deep Sets Theorem [63].** Let  $\mathfrak{X} \subset \mathbb{R}^d$  be compact,  $X \subset 2^{\mathfrak{X}}$  be the space of sets with bounded cardinality of elements in  $\mathfrak{X}$ , and  $Y \subset \mathbb{R}$  be a bounded interval. Consider a continuous function  $f : X \rightarrow Y$  that is invariant under permutations of its inputs, i.e.  $f(x_1, \dots, x_M) = f(x_{\pi(1)}, \dots, x_{\pi(M)})$  for all  $x_i \in \mathfrak{X}$  and  $\pi \in S_M$ . Then there exists a sufficiently large integer  $\ell$  and continuous functions  $\Phi : \mathfrak{X} \rightarrow \mathbb{R}^\ell$ ,  $F : \mathbb{R}^\ell \rightarrow Y$  such that the following holds to an arbitrarily good approximation:<sup>1</sup>

Latent space

$$f(\{x_1, \dots, x_M\}) = F \left( \sum_{i=1}^M \Phi(x_i) \right)$$

General parametrization for a function of sets



# Infrared and Collinear (IRC) Safety

QCD

IRC safety is a statement of *linearity* in energy and *continuity* in geometry

KLNT

diverge

Theorem: Any IRC-safe observable can be written in the following form:

Infrared

$$f(\{p_1^\mu, \dots, p_M^\mu\}) = F \left( \sum_{i=1}^M z_i \vec{\Phi}(\hat{p}_i) \right), \quad \hat{p}_i = (y_i, \phi_i).$$

Collinear

Proof: In [1810.05165](#).

□

IRC safety is a key theoretical *and experimental* property of observables

# Deep Sets for Particle Jets

[PTK, Metodiev, Thaler, [1810.05165](#)]

*Particle Flow Network (PFN)*

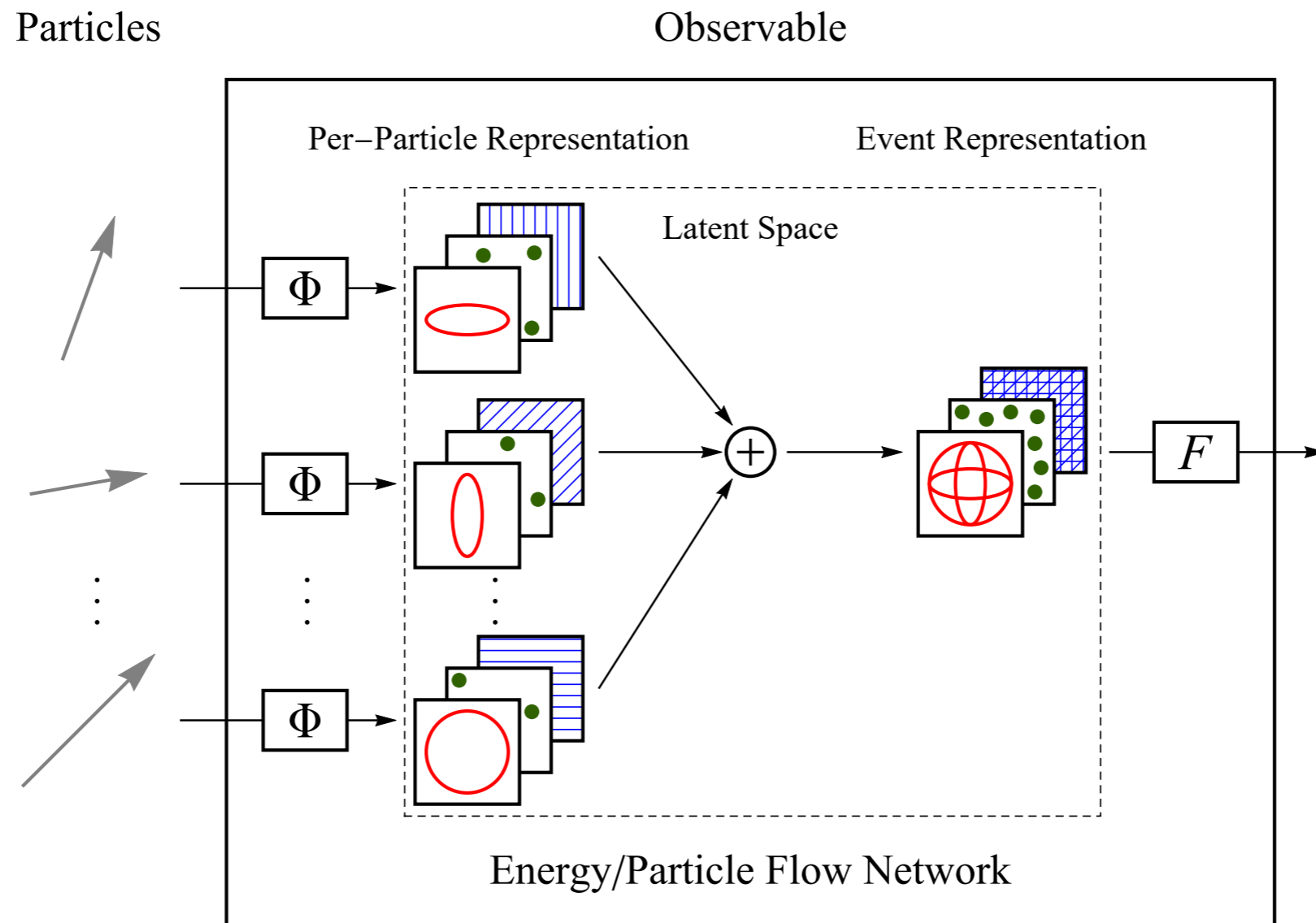
$$\text{PFN}(\{p_1^\mu, \dots, p_M^\mu\}) = F \left( \sum_{i=1}^M \Phi(p_i^\mu) \right)$$

Fully general latent space

*Energy Flow Network (EFN)*

$$\text{EFN}(\{p_1^\mu, \dots, p_M^\mu\}) = F \left( \sum_{i=1}^M z_i \Phi(\hat{p}_i) \right)$$

IRC-safe latent space



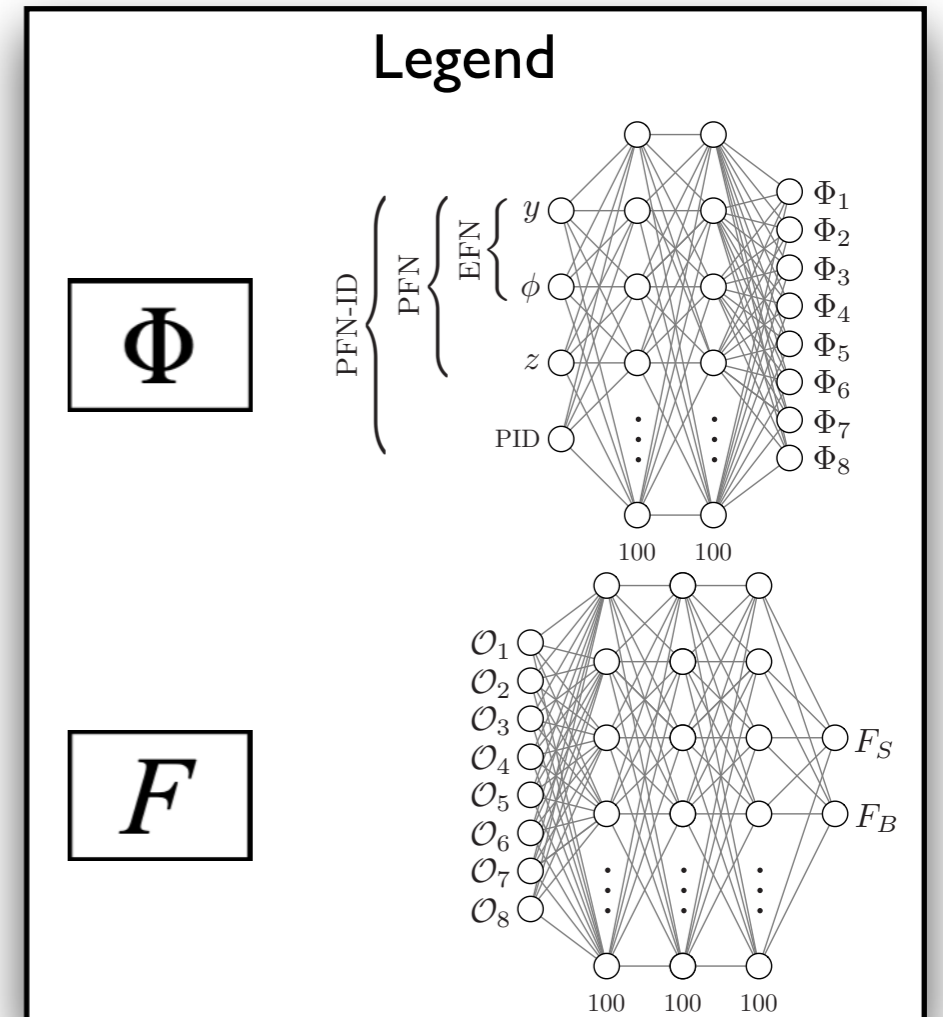
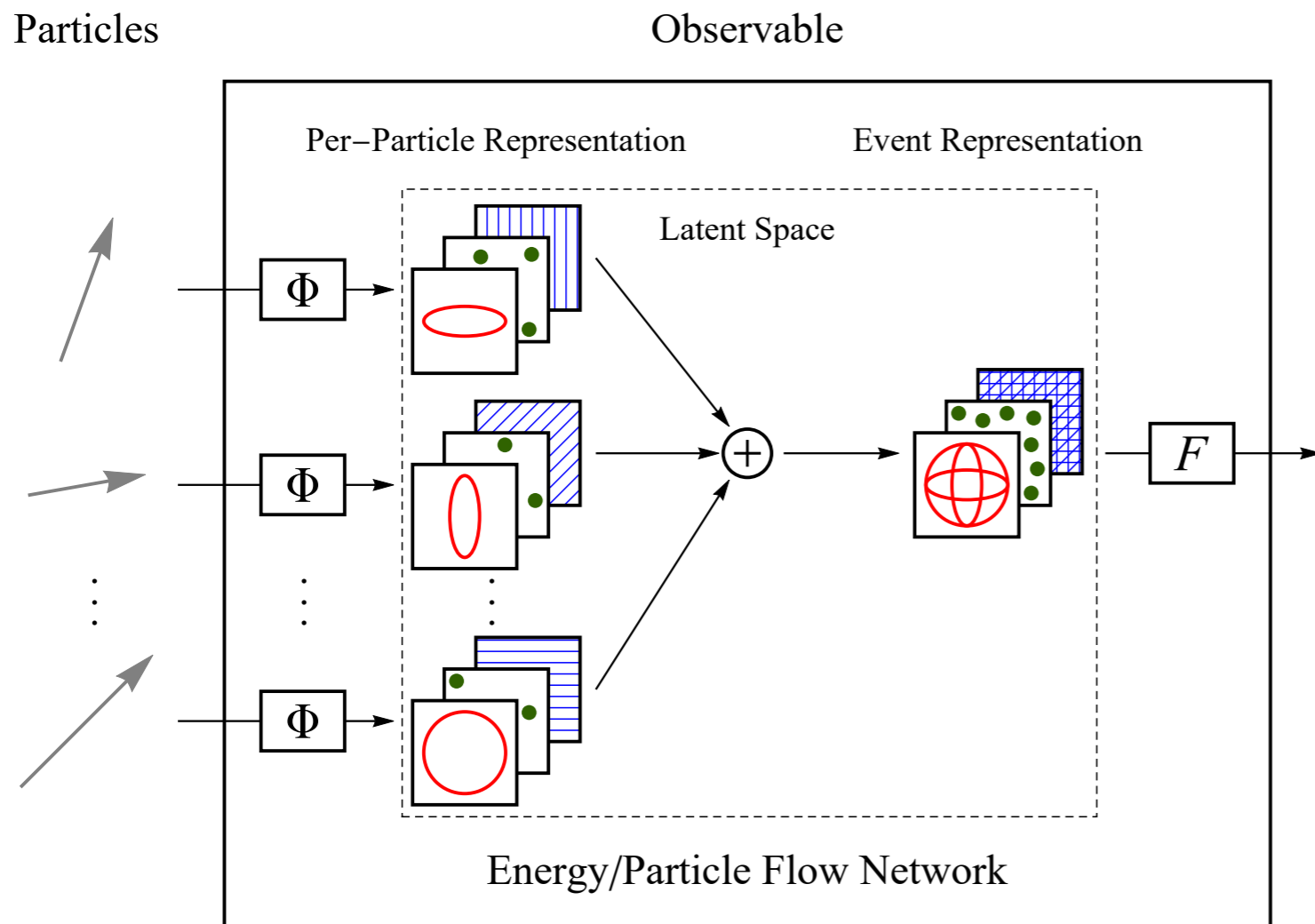
# Approximating $\Phi$ and $F$ with Neural Networks

Employ neural networks as arbitrary function approximators

Use fully-connected networks for simplicity

Graph CNNs also interesting (see H. Qu's [talk](#) at ML4Jets)

Default sizes –  $\Phi$ : (100, 100,  $\ell$ ),  $F$ : (100, 100, 100)



$$\text{EFN} : \mathcal{O}_a = \sum_{i=1}^M z_i \Phi_a(y_i, \phi_i)$$

$$\text{PFN} : \mathcal{O}_a = \sum_{i=1}^M \Phi_a(z_i, y_i, \phi_i, [\text{PID}_i])$$



# Quark vs. Gluon: Classification Performance

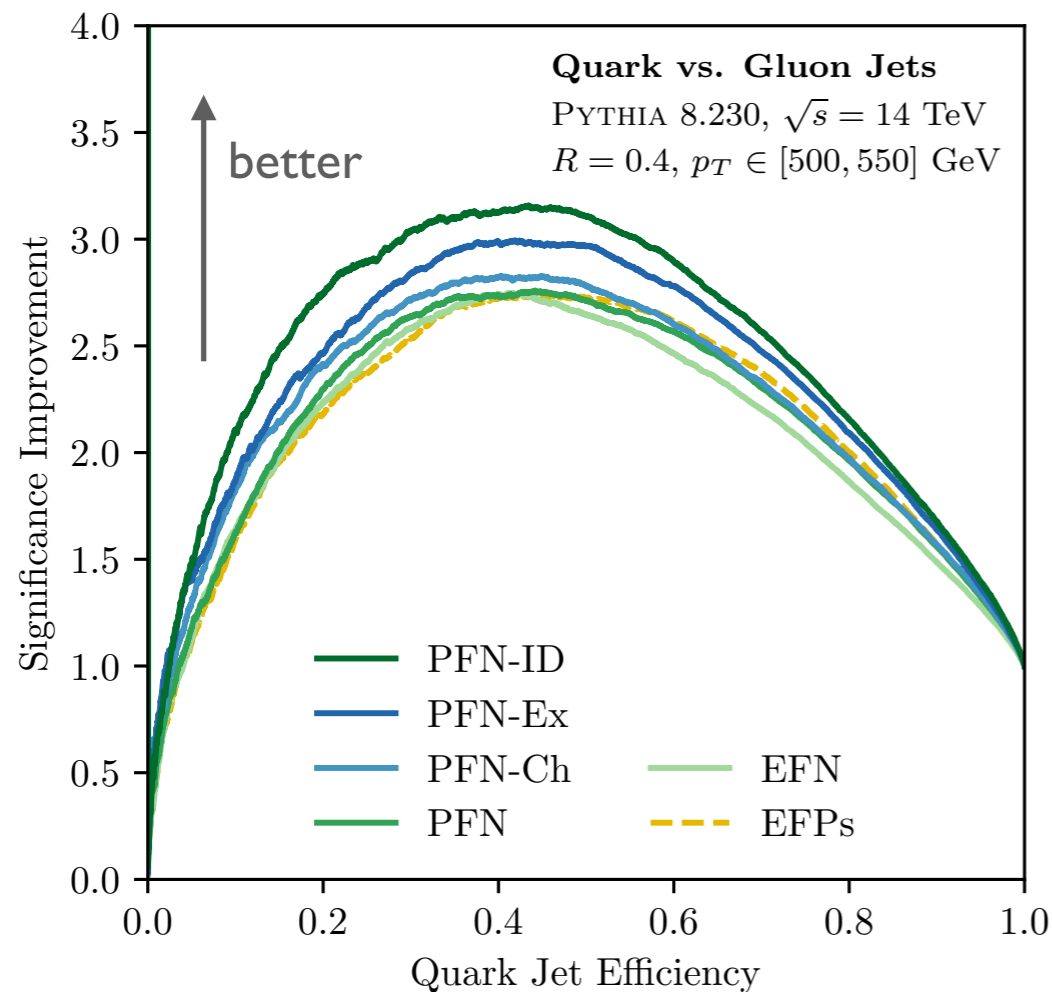
PFN-ID: Full particle flavor info  
 $(\gamma, \pi^\pm, K^\pm, K_L, p, \bar{p}, n, \bar{n}, e^\pm, \mu^\pm)$

PFN-Ex: Experimentally accessible info  
 $(\gamma, h^{\pm,0}, e^\pm, \mu^\pm)$

PFN-Ch: Particle charge info  
 $(+, 0, -)$

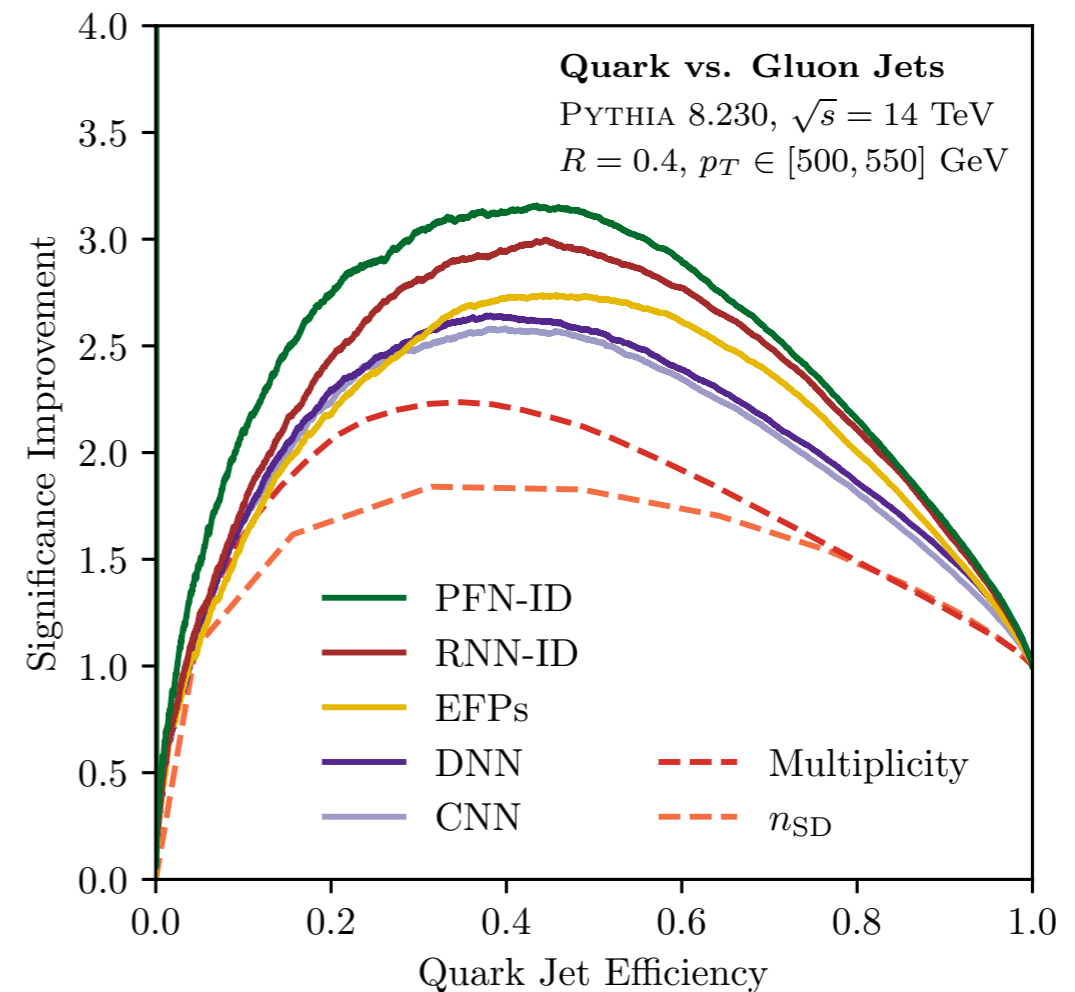
PFN: No particle type info, arbitrary energy dependence

EFN: IRC-safe latent space



Latent space dimension  $\ell = 256$

EFPs are comparable to EFN



PFN-ID slightly better than RNN-ID

# Quark vs. Gluon: EFN Latent Dimension Sweep

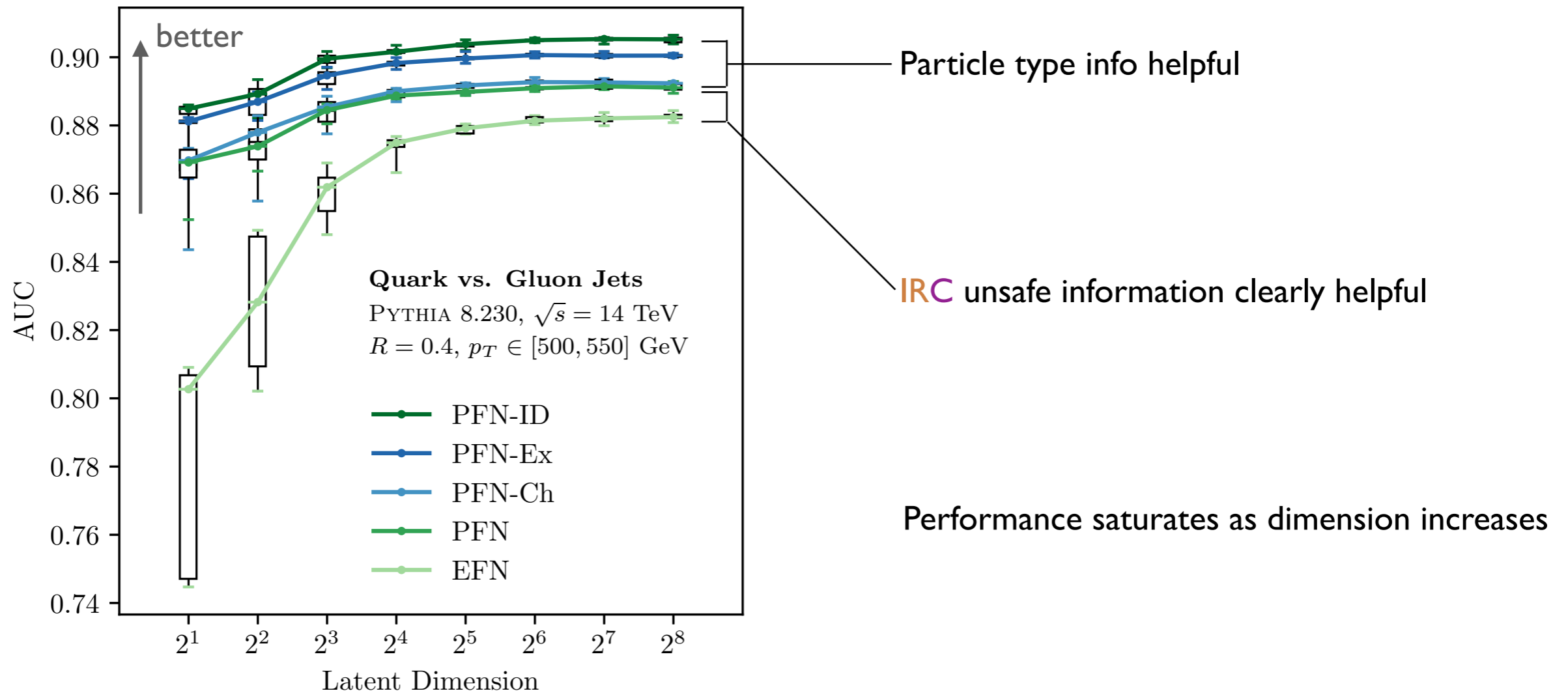
PFN-ID: Full particle flavor info  
 $(\gamma, \pi^\pm, K^\pm, K_L, p, \bar{p}, n, \bar{n}, e^\pm, \mu^\pm)$

PFN-Ex: Experimentally accessible info  
 $(\gamma, h^{\pm,0}, e^\pm, \mu^\pm)$

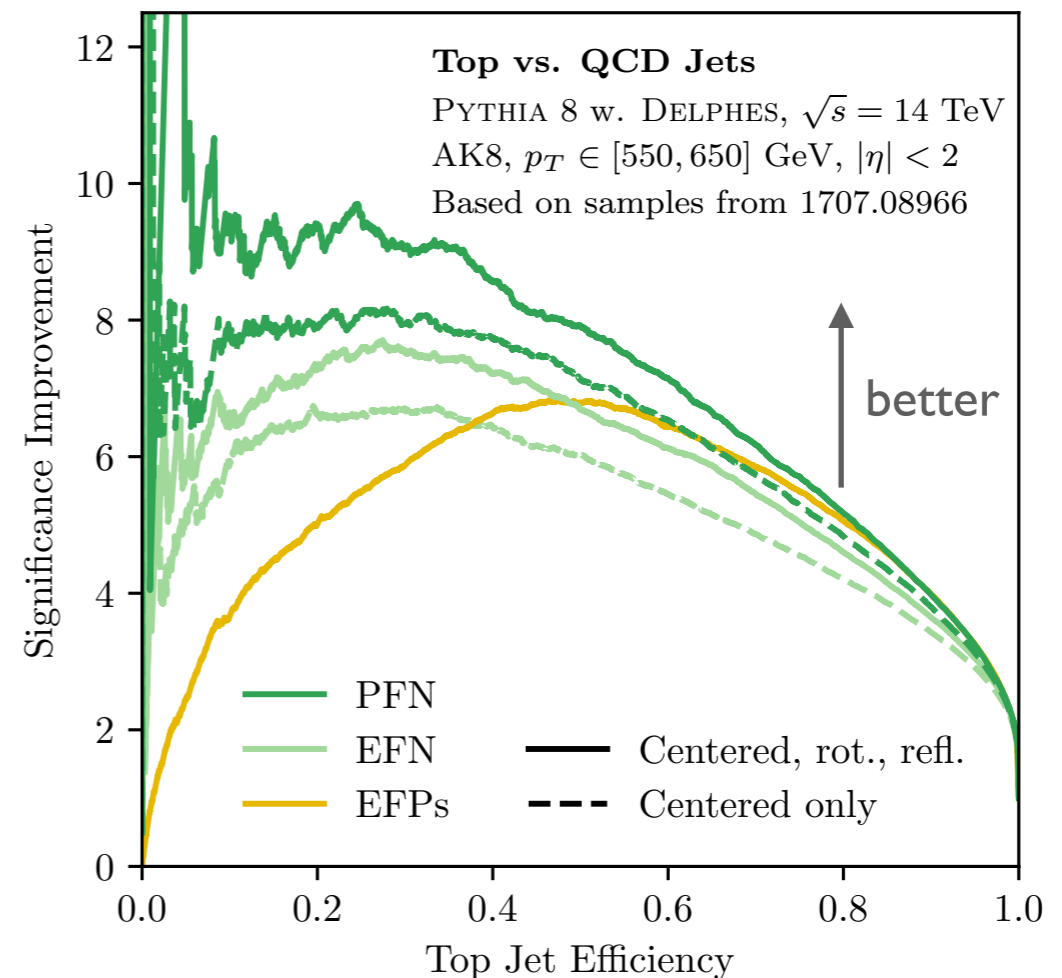
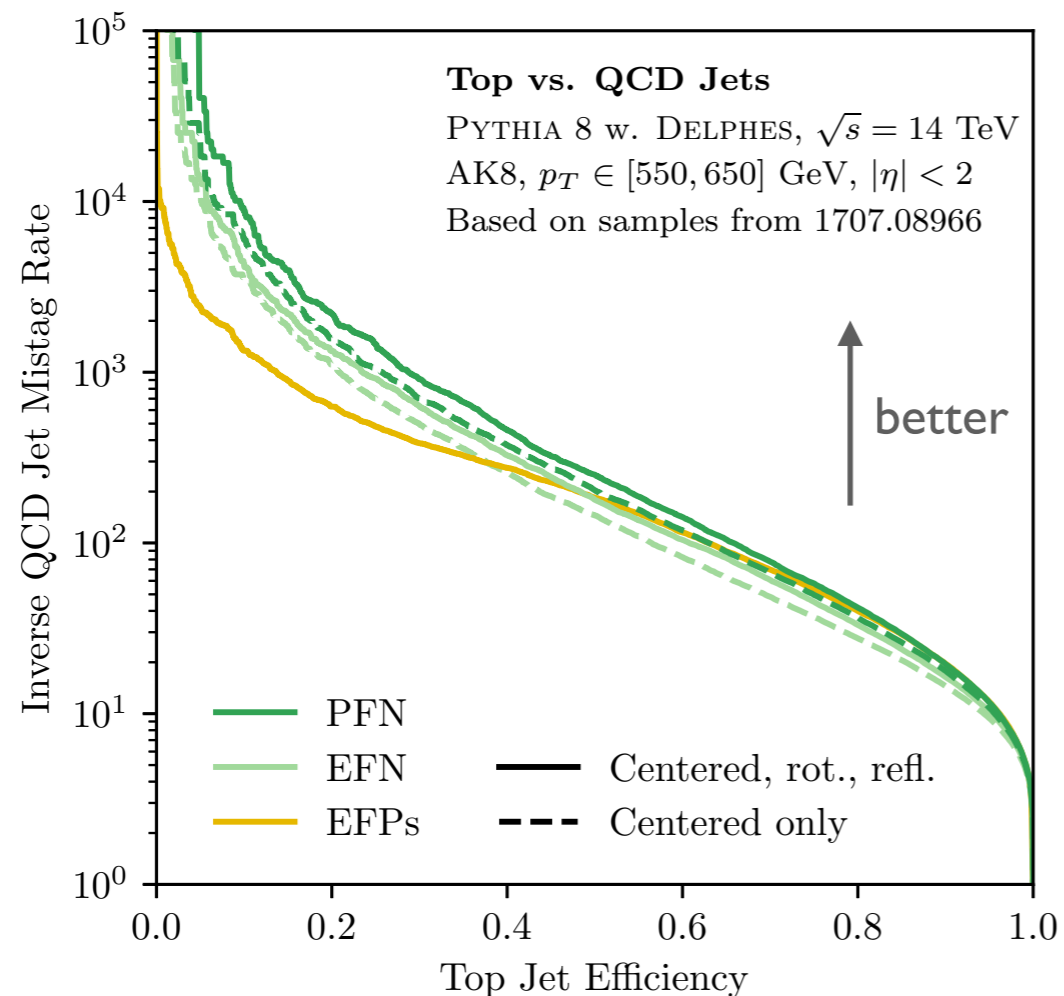
PFN-Ch: Particle charge info  
 $(+, 0, -)$

PFN: No particle type info, arbitrary energy dependence

EFN: IRC-safe latent space



# Boosted Top: Classification Performance

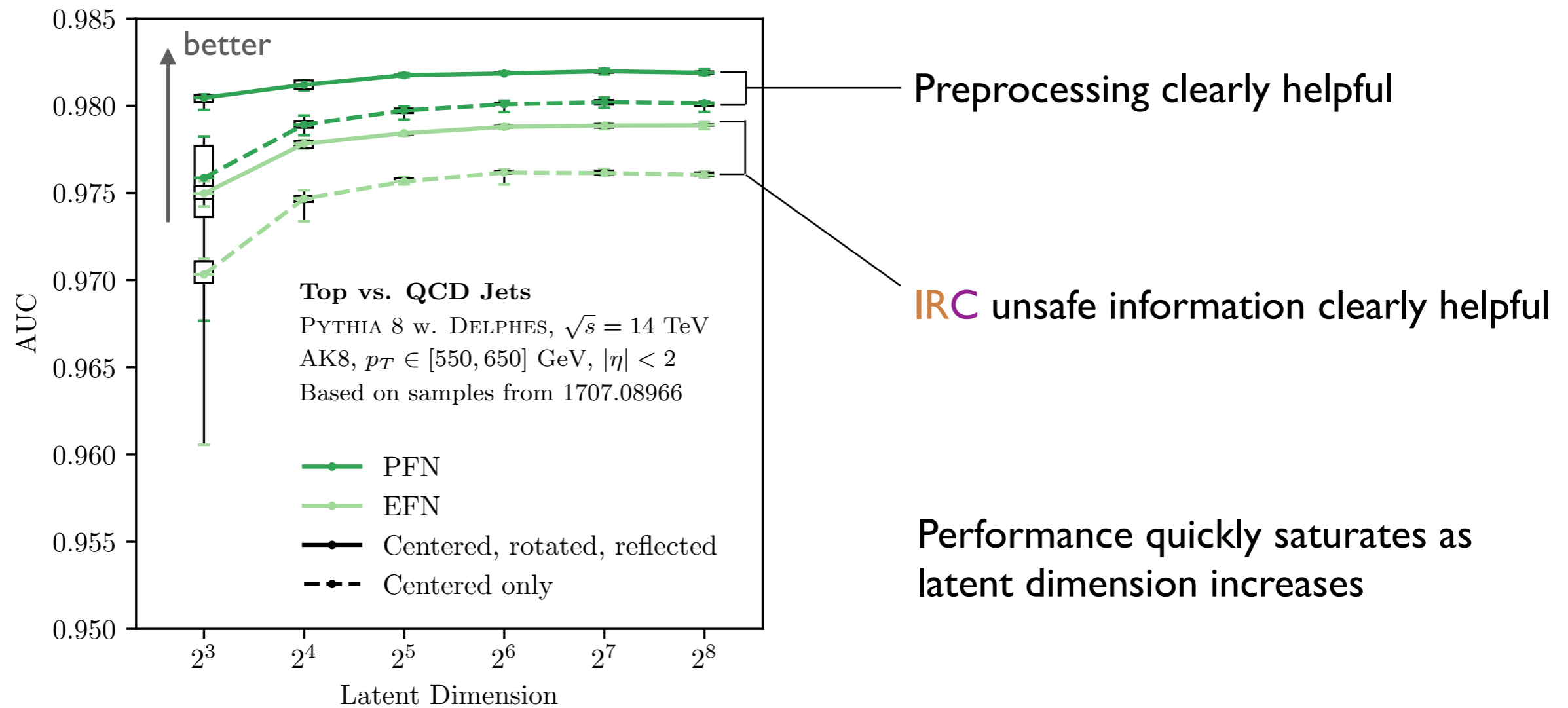


Latent space dimension  $\ell = 256$

EFN/PFN rotation and reflection preprocessing helpful

EFPs are comparable to EFN and even better at high signal efficiency

# Boosted Top: EFN Latent Dimension Sweep



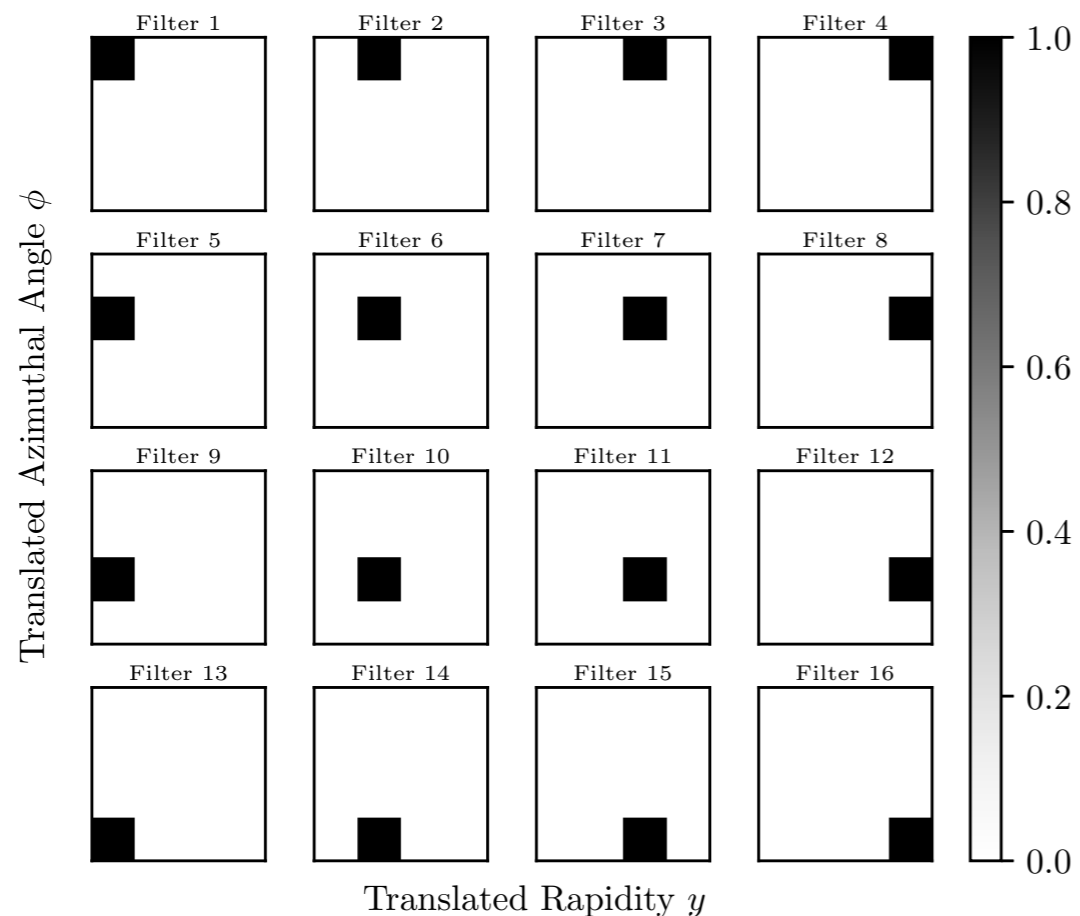


# Energy Flow Network Visualization

EFN observables are two-dimensional geometric functions

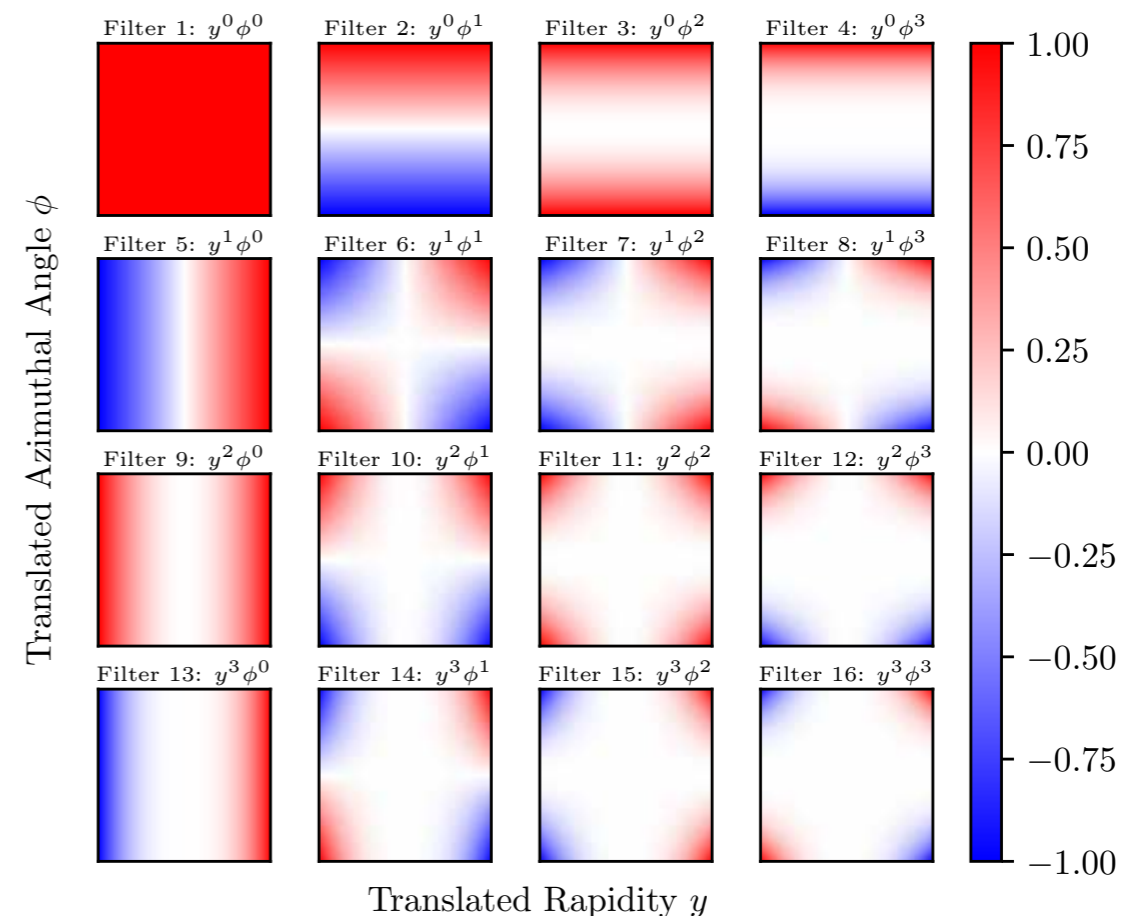
Visualize EFN observables as *filters* in the translated rapidity-azimuth plane

Jet images as EFN filters



[Cogan, Kagan, Strauss, Schwartzman, 2014]  
 [de Oliveira, Kagan, Mackey, Nachman, Schwartzman, 2015]

Moments as EFN filters



[Donoghue, Low, Pi, 1979]  
 [Gur-Ari, Papucci, Perez, 2011]

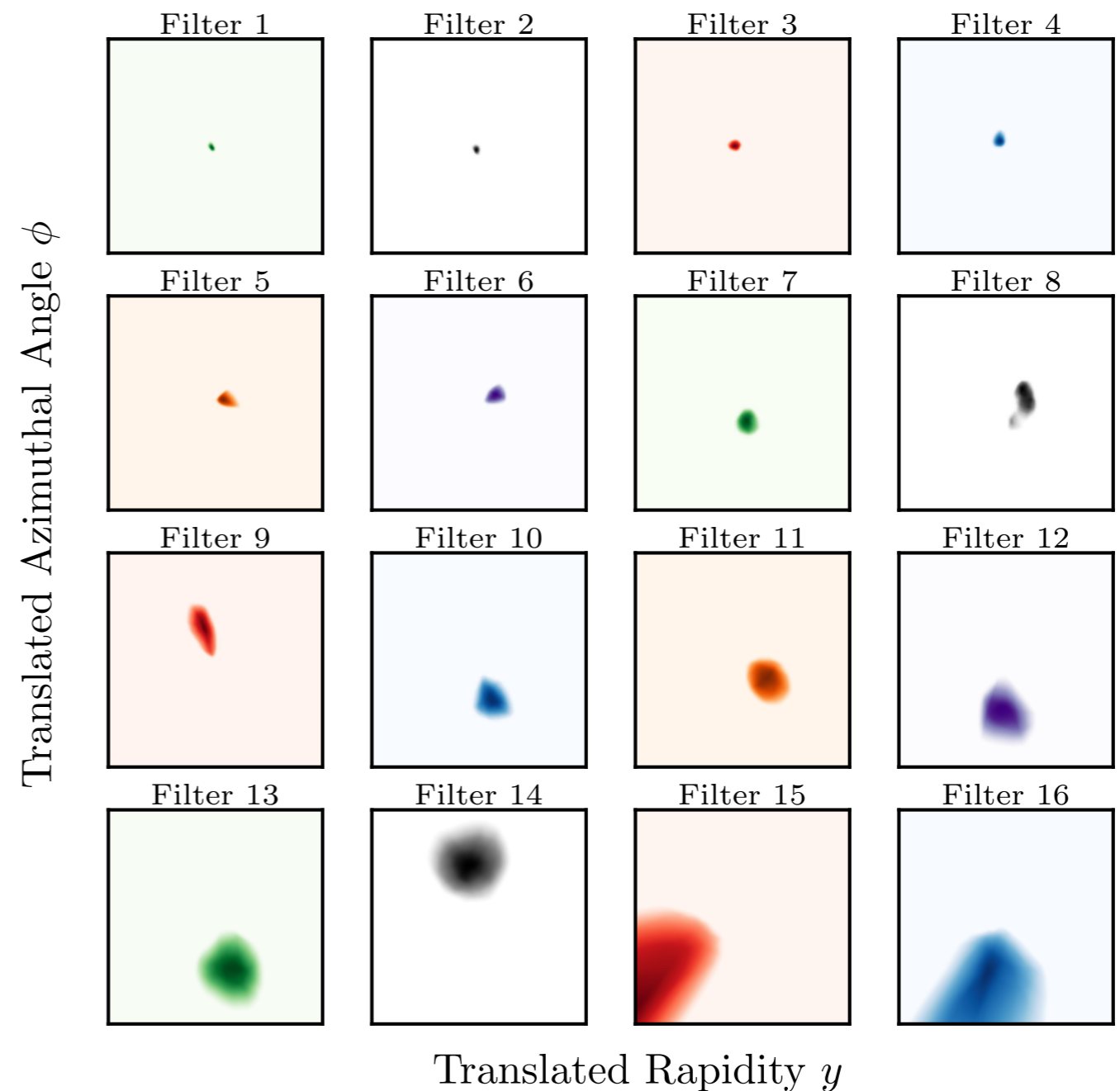
# Quark vs. Gluon: Visualizing EFN Filters

EFN ( $\ell = 256$ ) randomly selected filters, sorted by size

Generally see blobs of all scales

Local nature of activated region lends interpretation as "pixels"

EFN seems to have learned a dynamically sized jet image

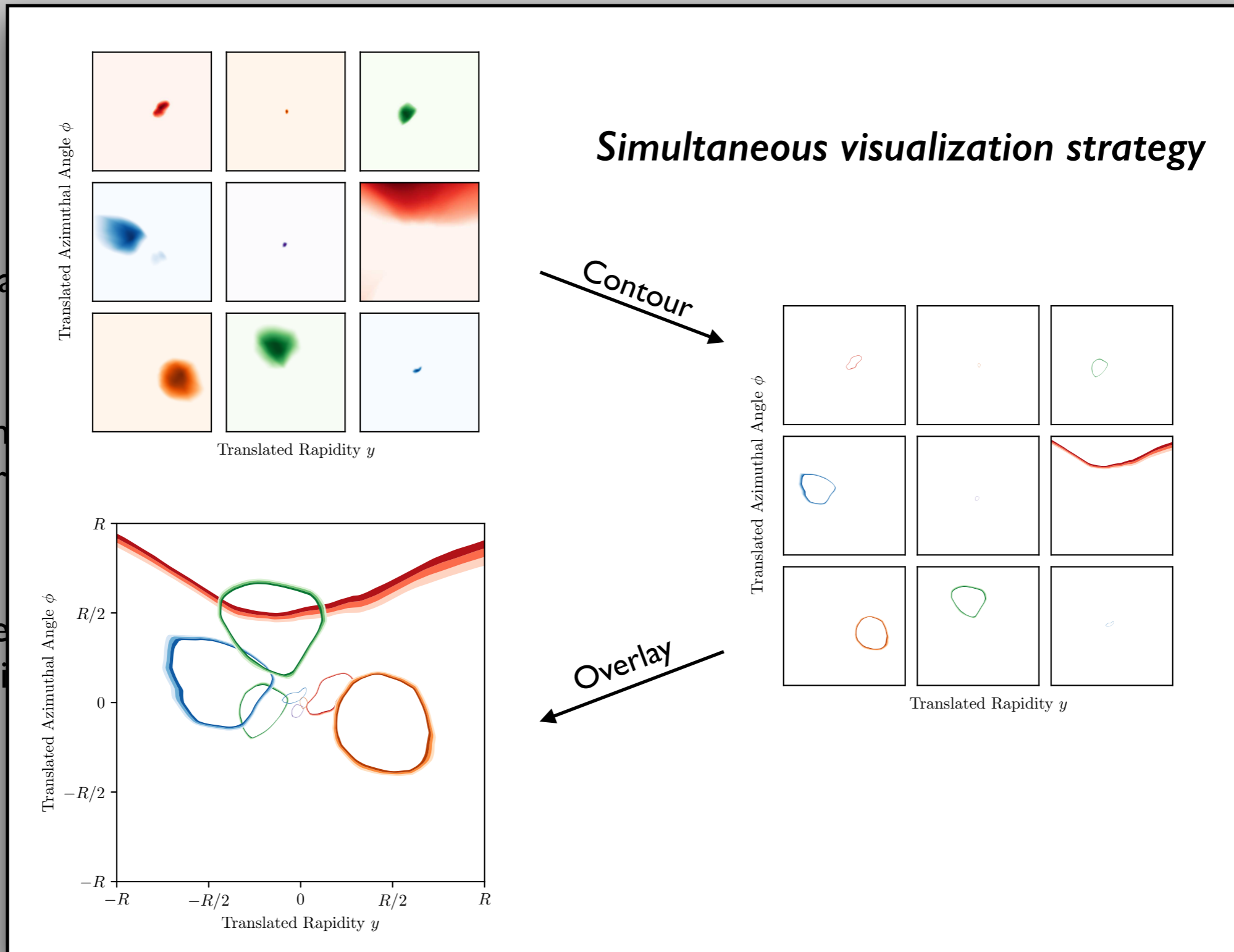


# Quark vs. Gluon: Visualizing EFN Filters

General

Local n  
interpret

EFN se  
dynam



by size

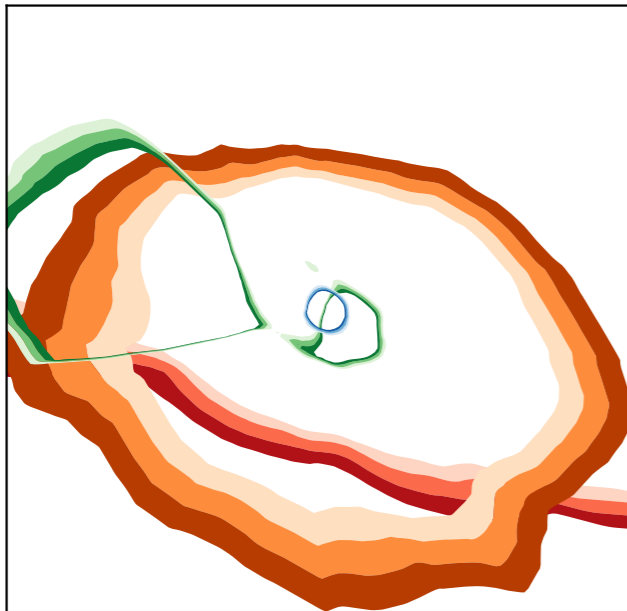
Filter 4

Filter 8

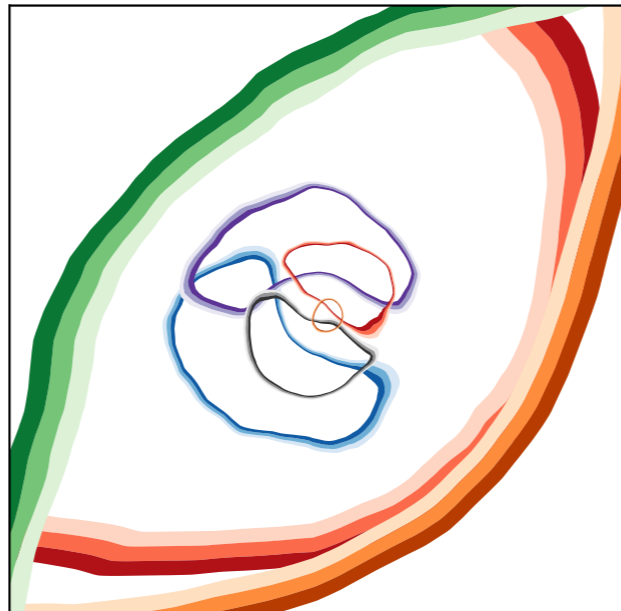
Filter 12

Filter 16

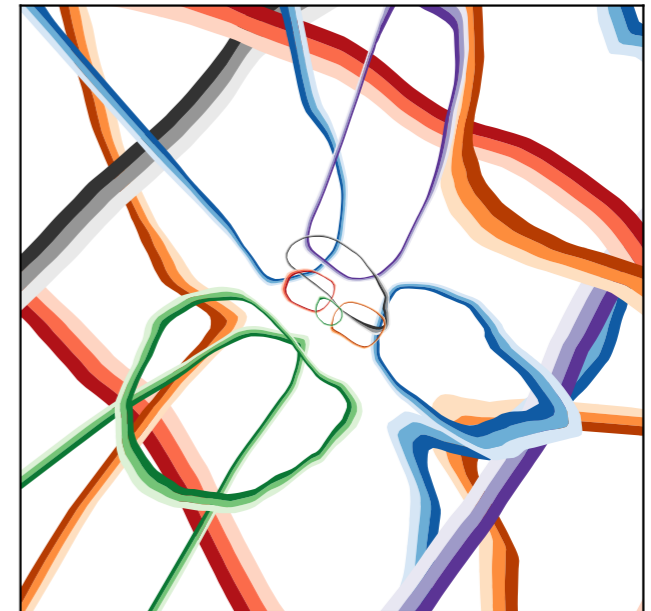
# Quark vs. Gluon: Visualizing EFN Filters



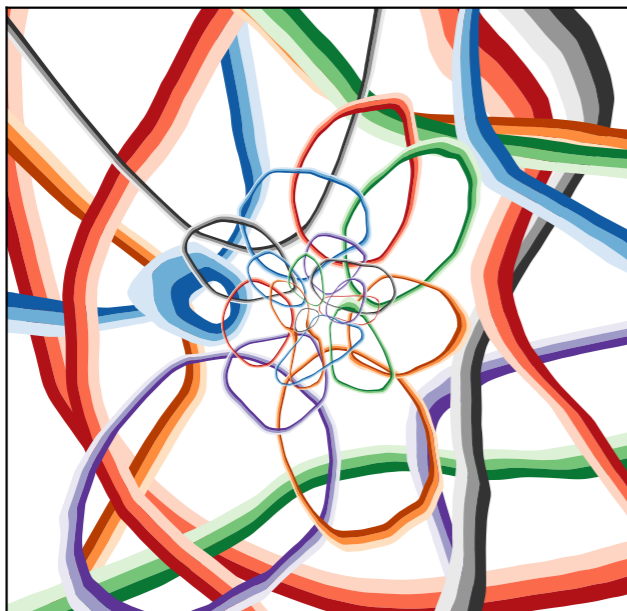
$\ell = 4$



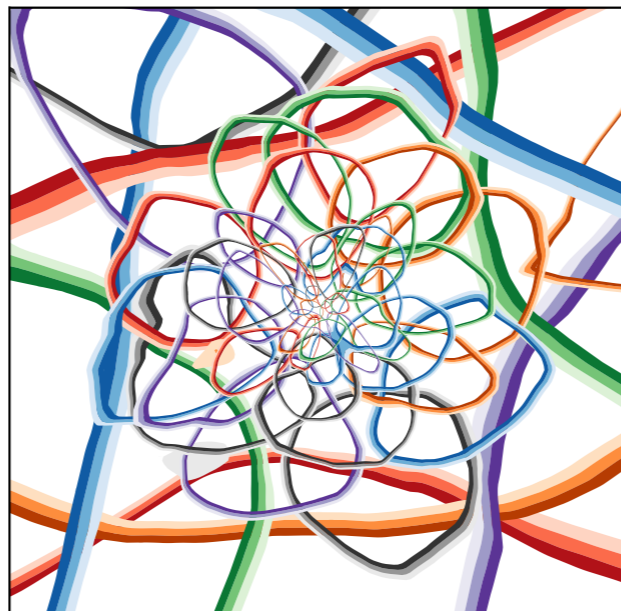
$\ell = 8$



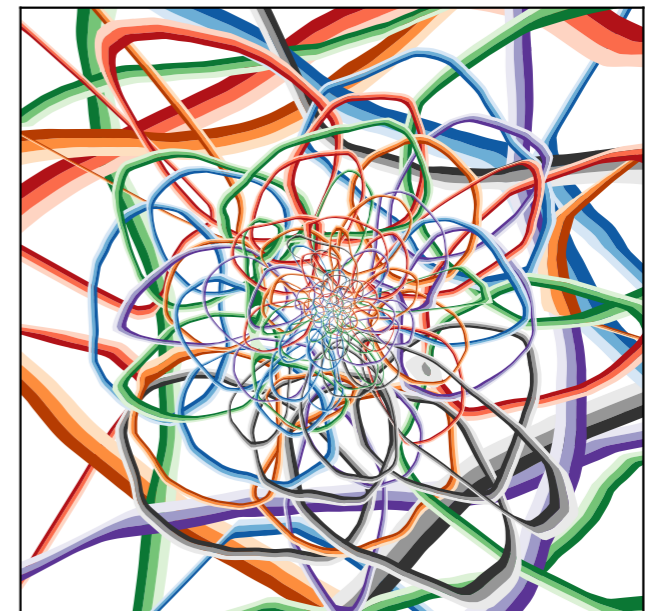
$\ell = 16$



$\ell = 32$



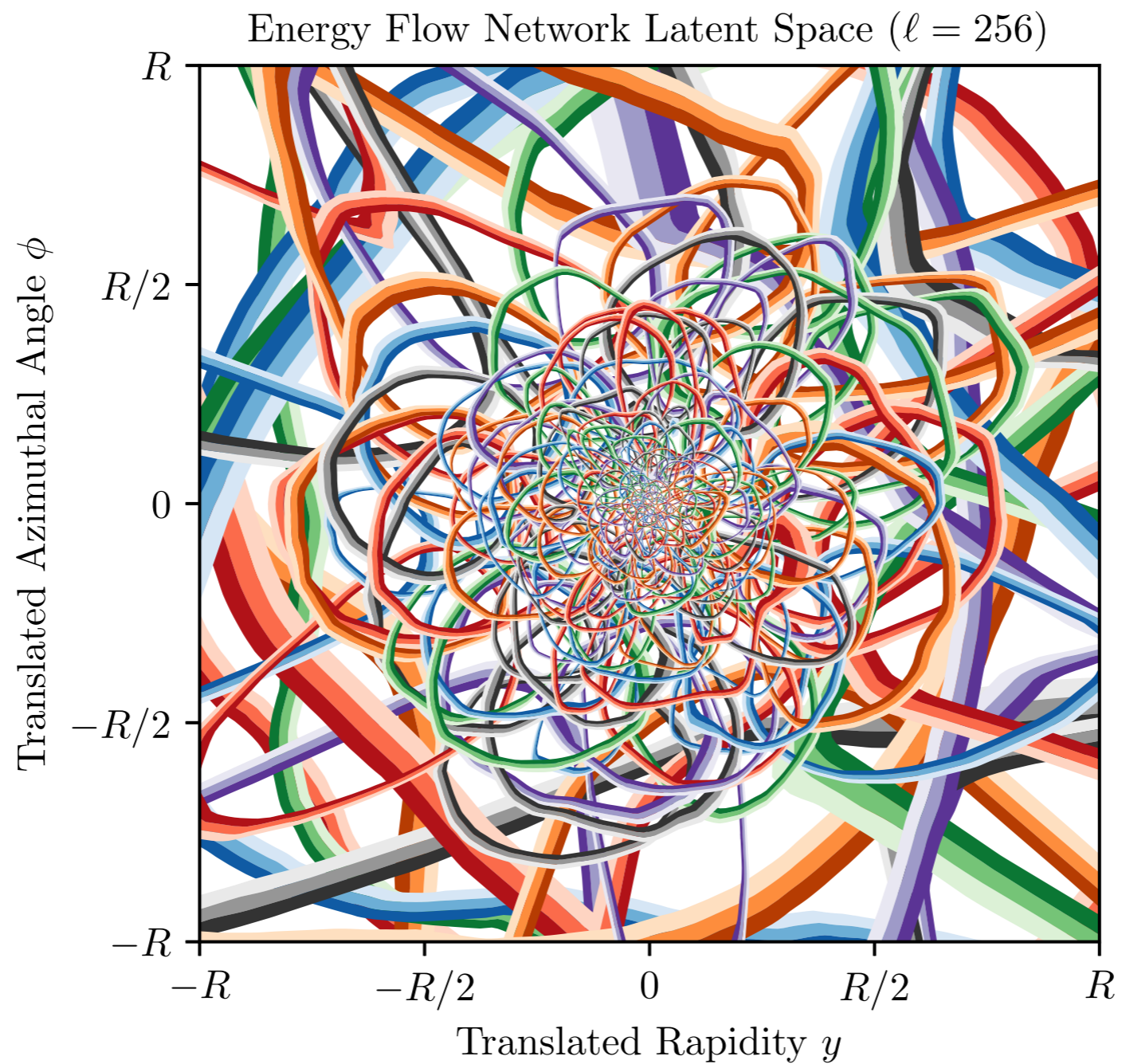
$\ell = 64$



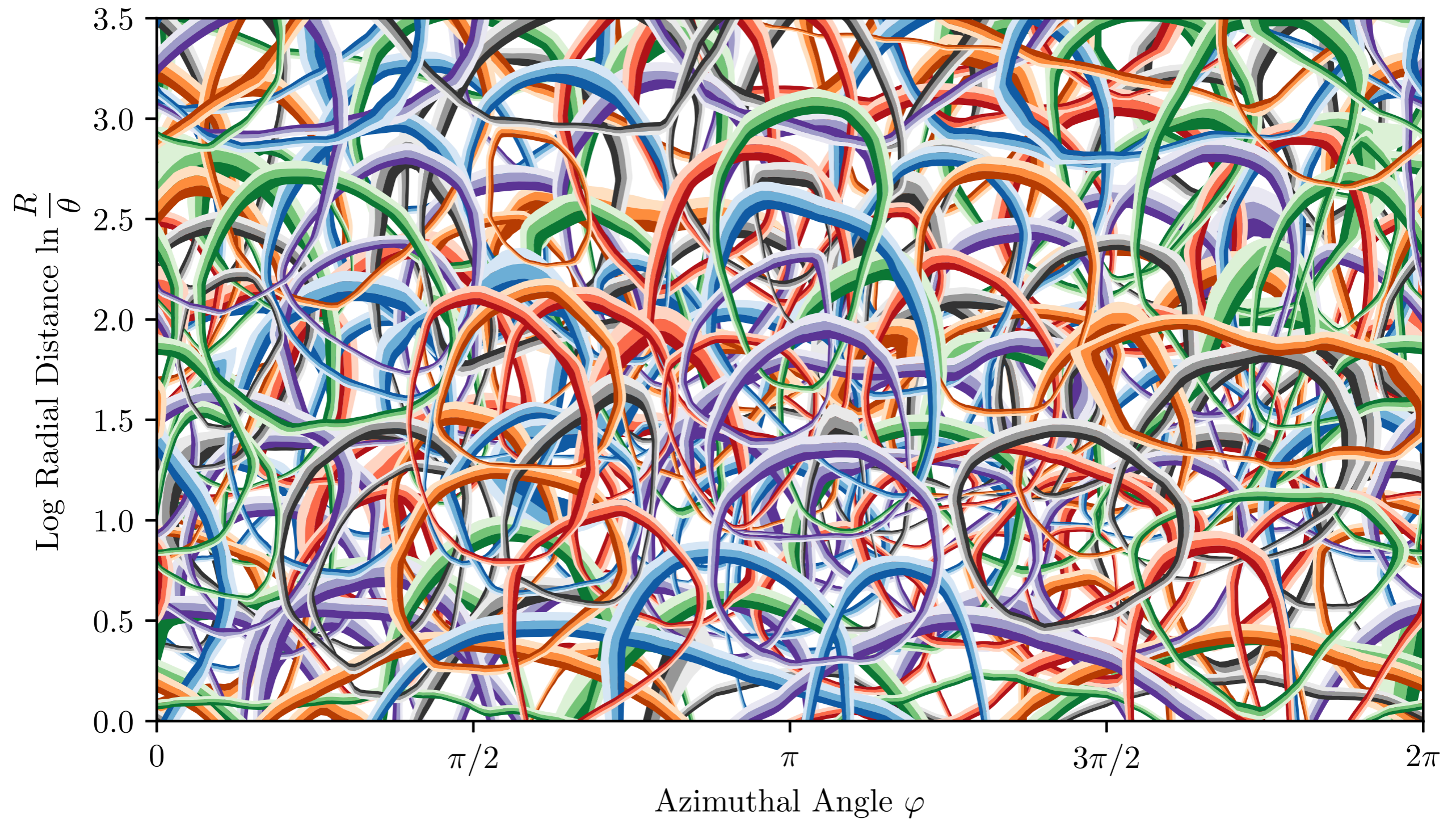
$\ell = 128$



# Quark vs. Gluon: Visualizing EFN Filters



# Quark vs. Gluon: Visualizing EFN Filters in the Emission Plane





# Quark vs. Gluon: Measuring EFN Filters

Power-law dependence between filter size and distance from center is observed

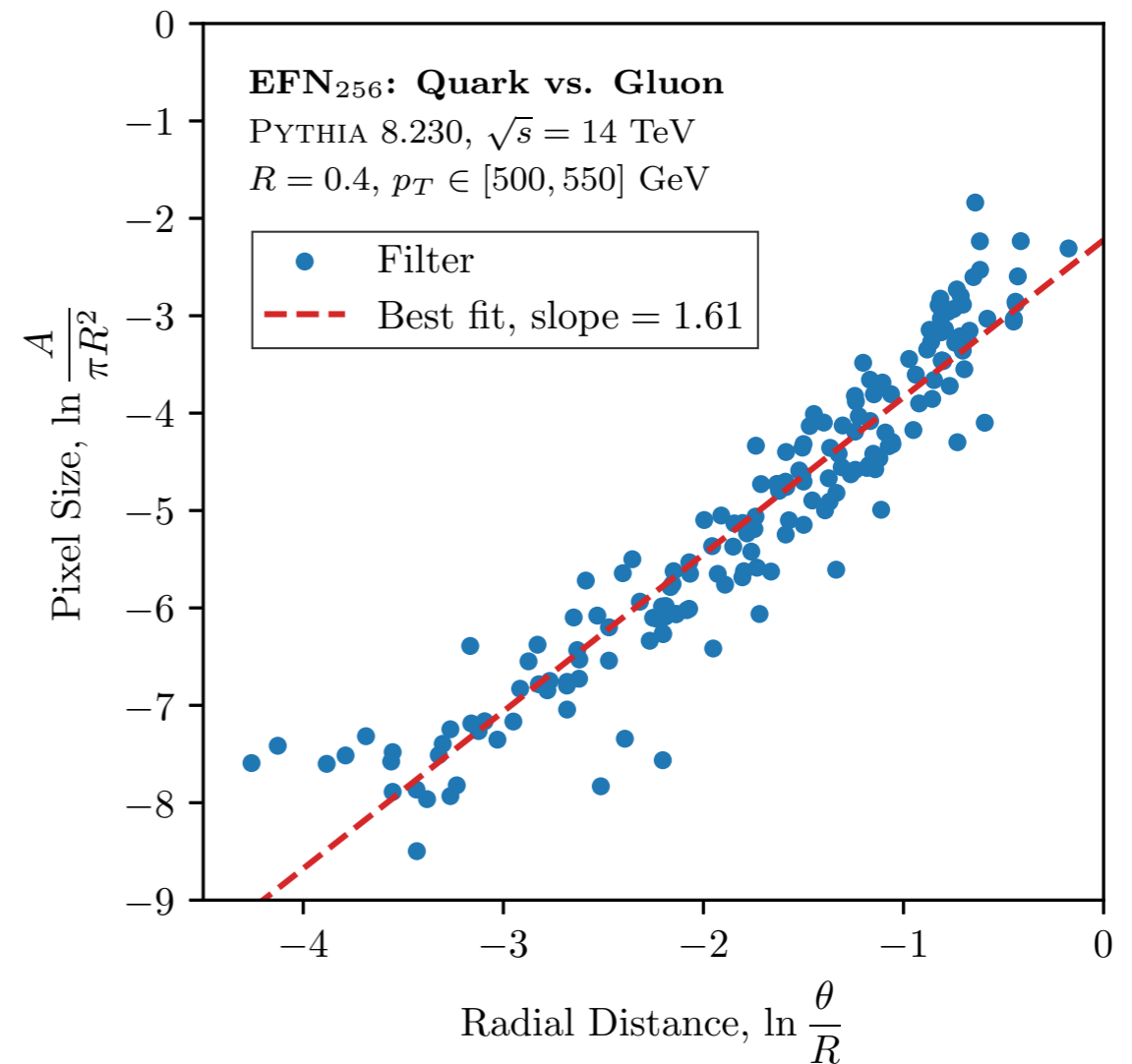
Slope of 2 is predicted at leading log

$$\left[ d \ln \frac{\theta}{R} d\varphi \right] = \theta^2 \left[ dy d\phi \right]$$

Emission plane area element

Area element in rap-phi plane

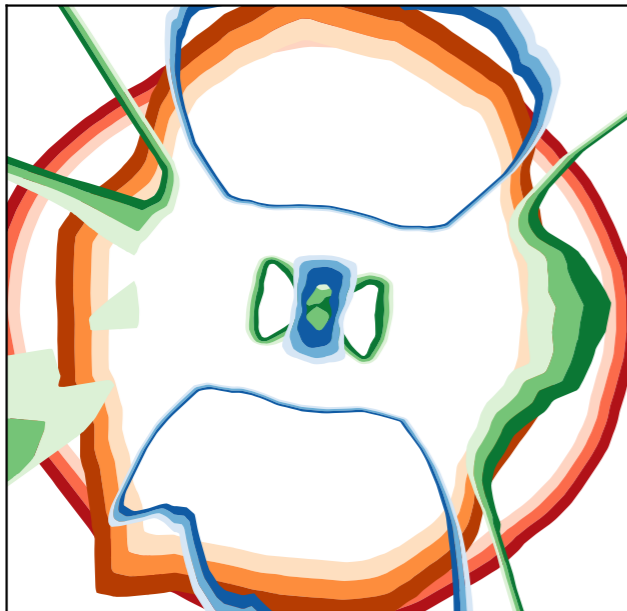
Non-perturbative physics, axis recoil, higher order effects cause deviations from slope of 2



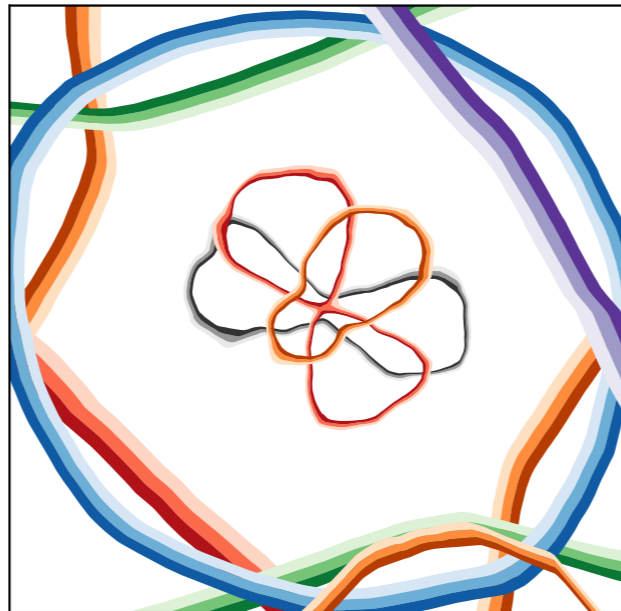
$$dP_{i \rightarrow ig} \simeq \frac{2\alpha_s}{\pi} C_a \frac{d\theta}{\theta} \frac{dz}{z}$$

# Boosted Top: Visualizing EFN Filters

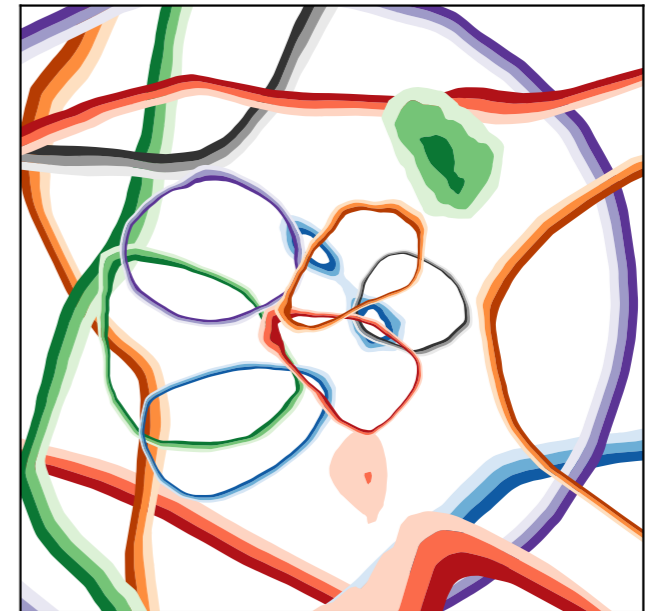
Without rotation/reflection preprocessing



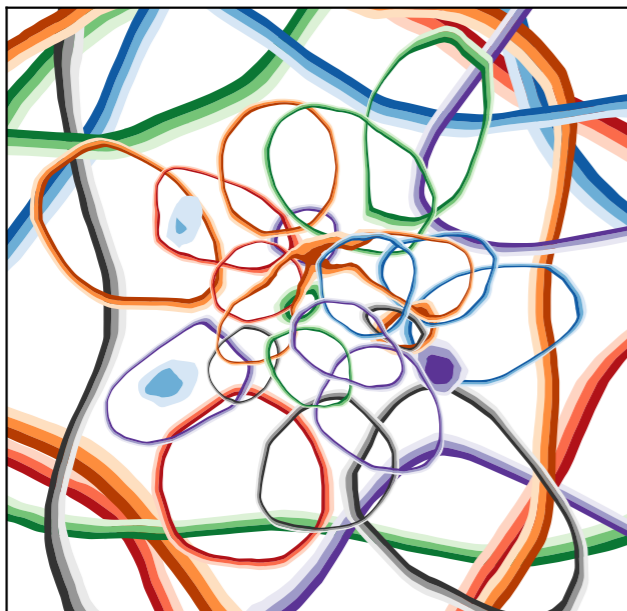
$\ell = 4$



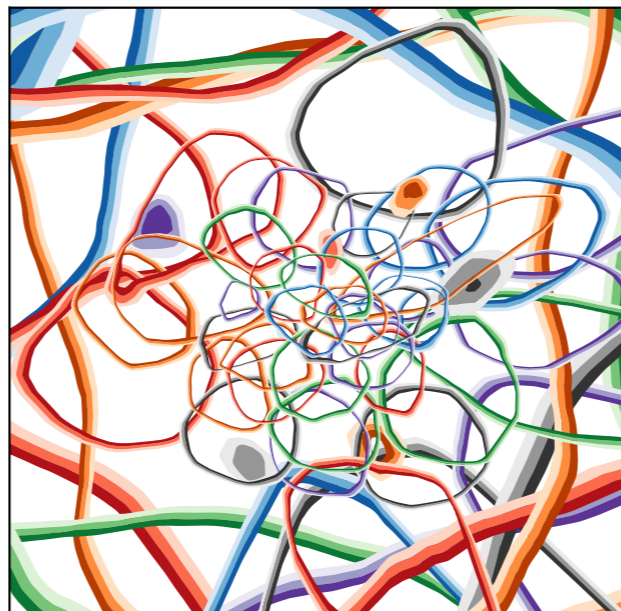
$\ell = 8$



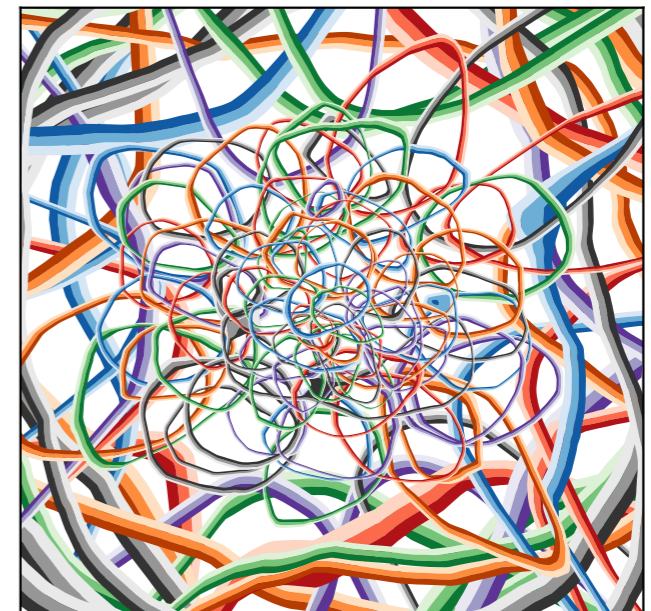
$\ell = 16$



$\ell = 32$



$\ell = 64$

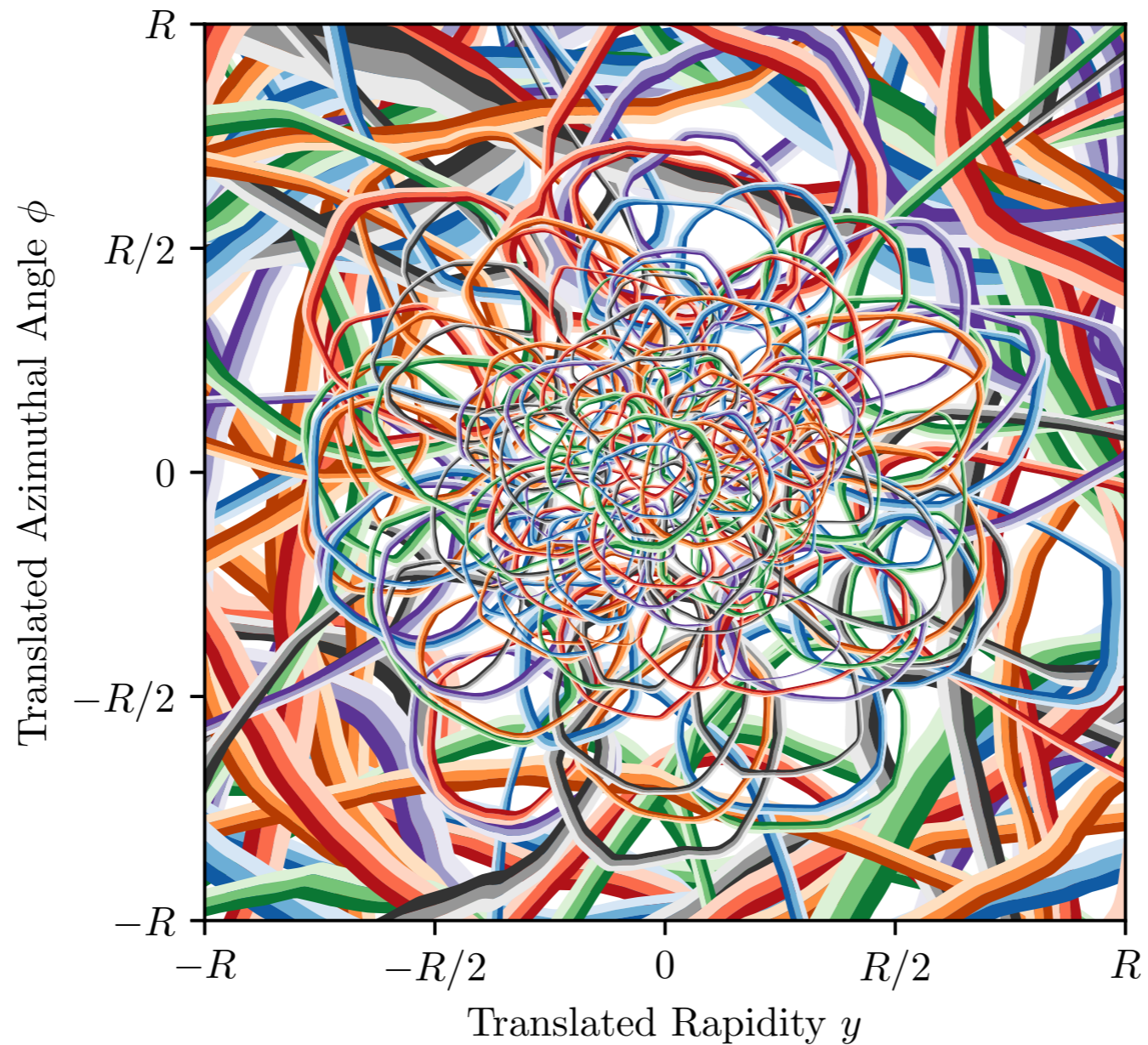


$\ell = 128$



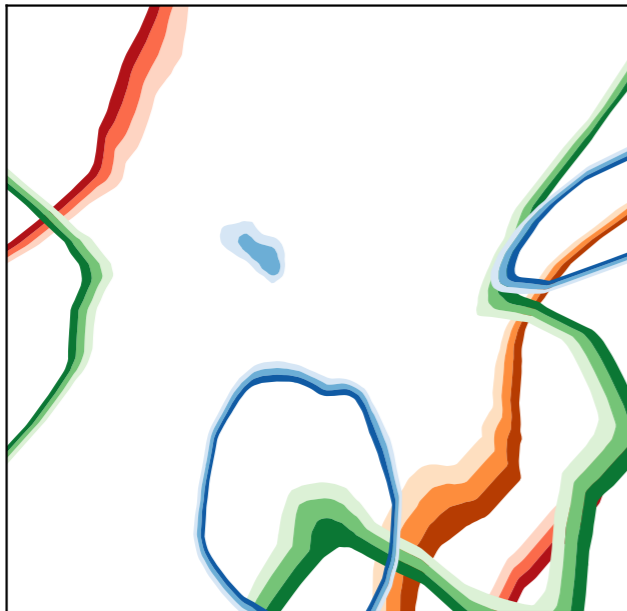
# Boosted Top: Visualizing EFN Filters

Without rotation/reflection preprocessing

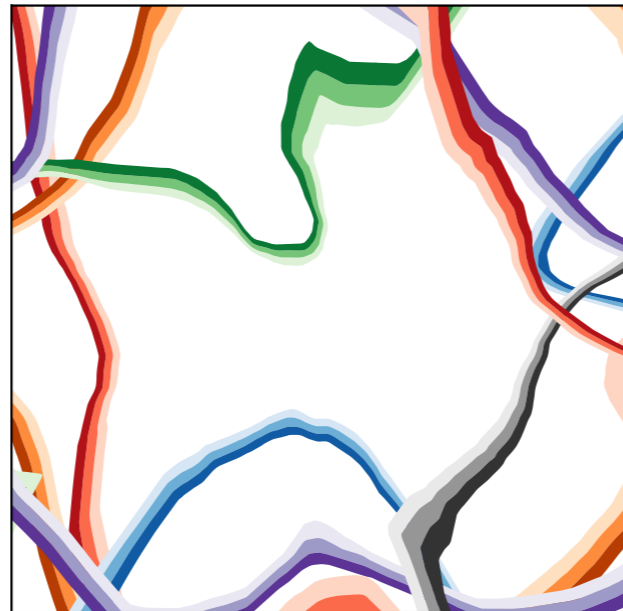


# Boosted Top: Visualizing EFN Filters

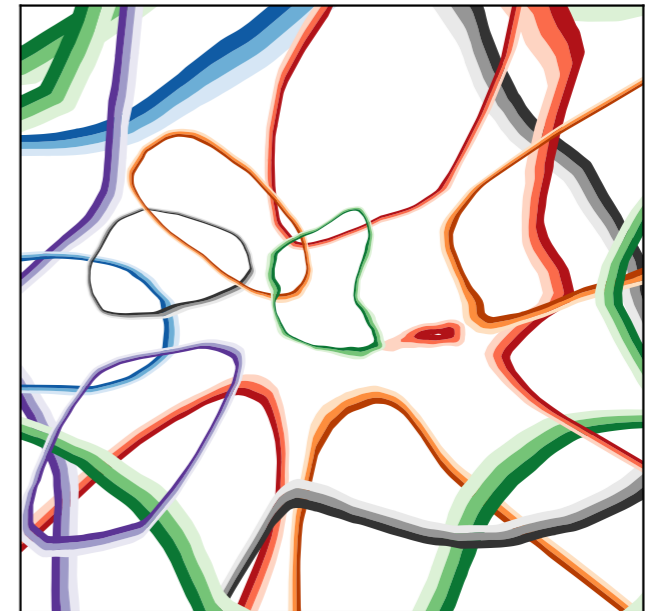
With rotation/reflection preprocessing



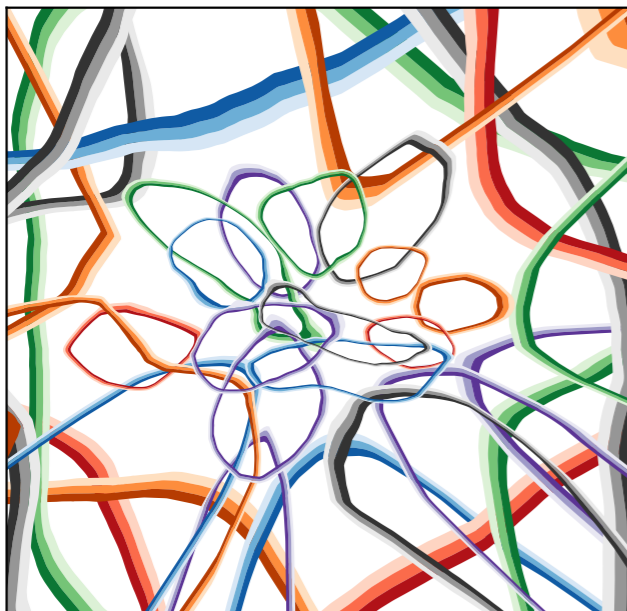
$\ell = 4$



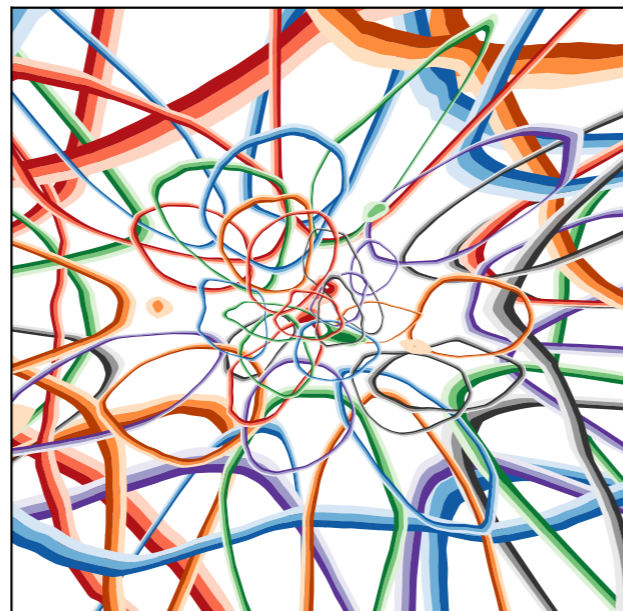
$\ell = 8$



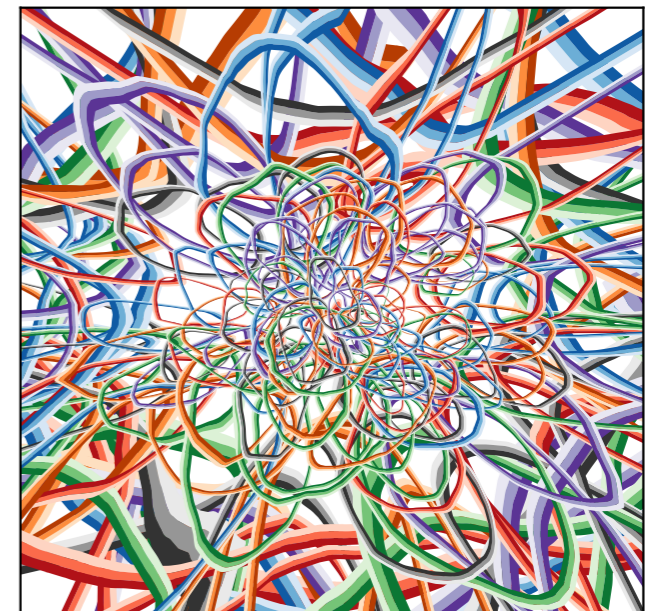
$\ell = 16$



$\ell = 32$



$\ell = 64$

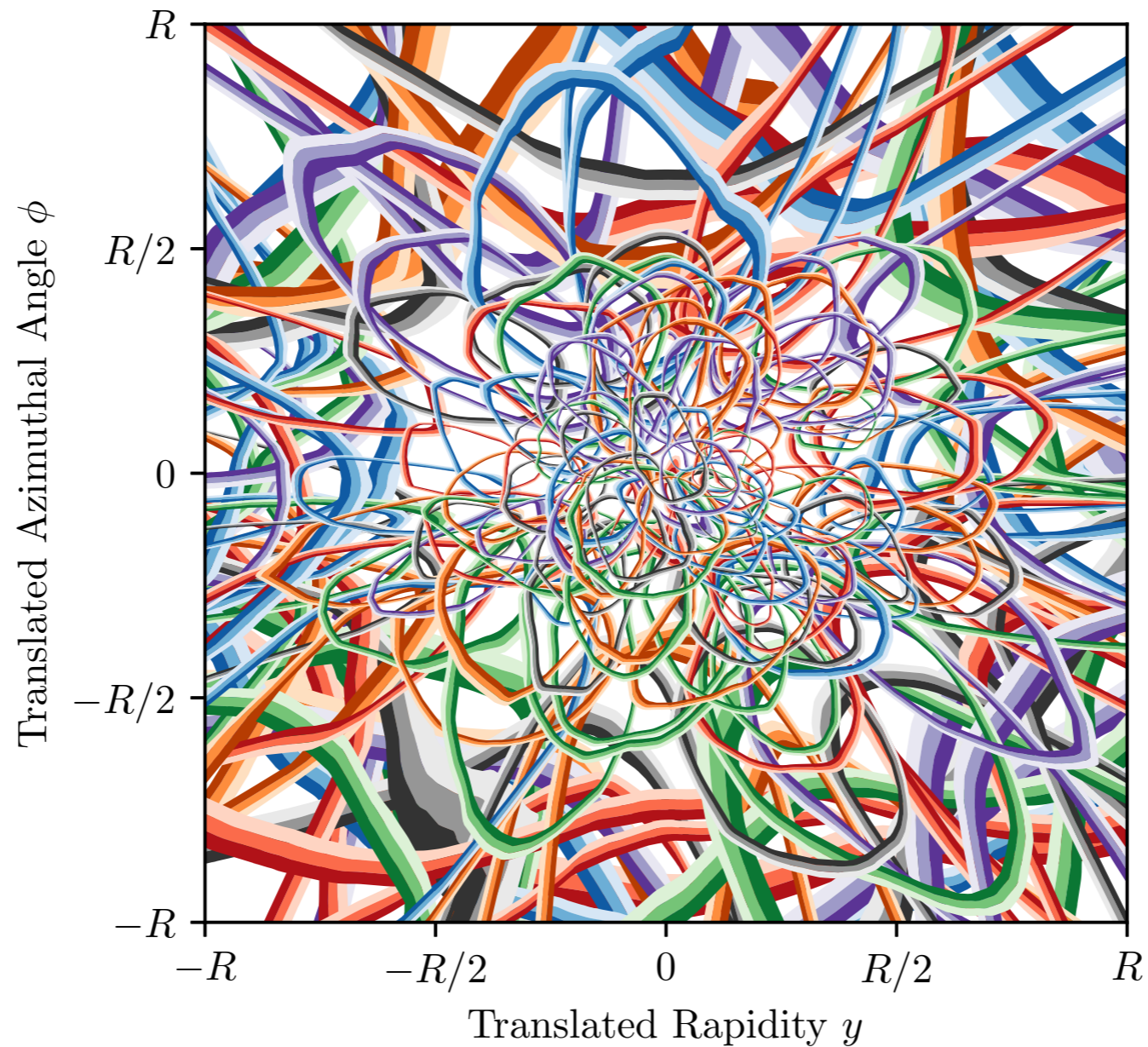


$\ell = 128$

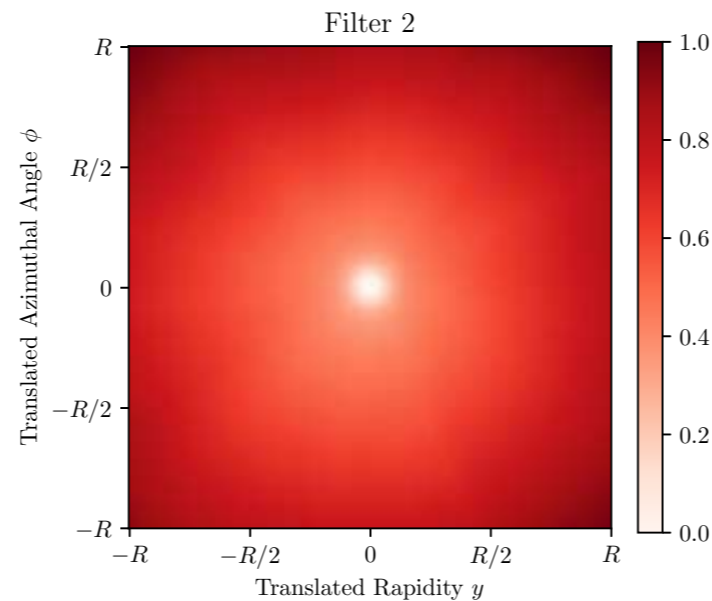
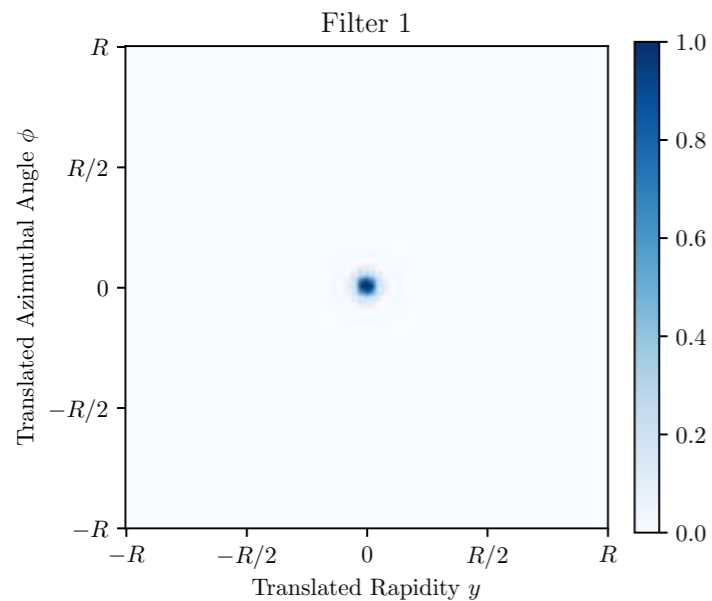


# Boosted Top: Visualizing EFN Filters

Without rotation/reflection preprocessing

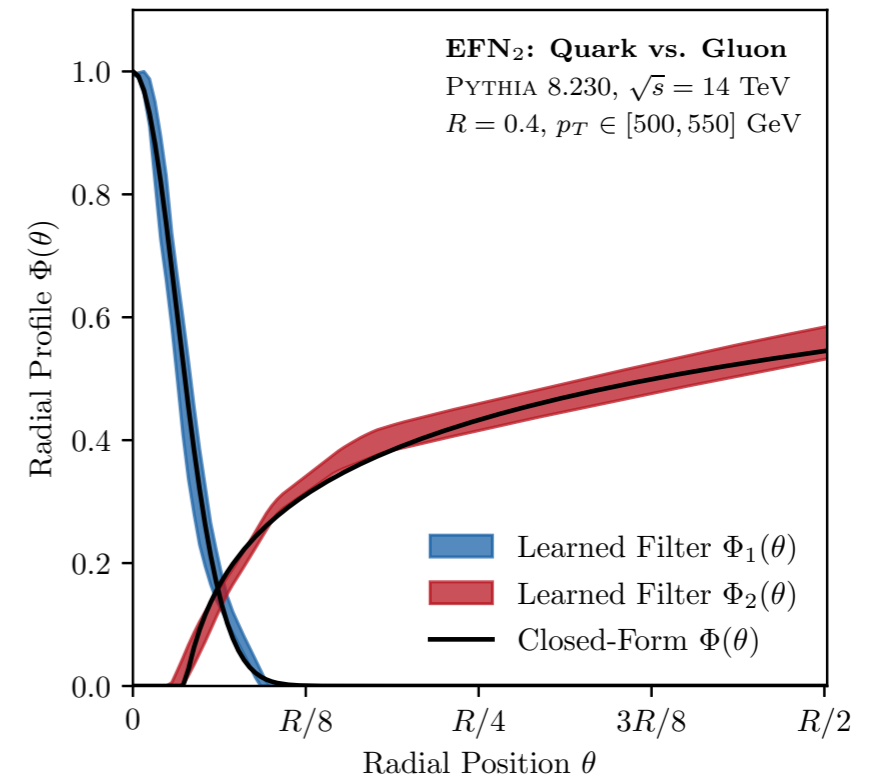


# Quark vs. Gluon: Extracting New Analytic Observables



$$\mathcal{O}_1 = \sum_{i=1}^M z_i \Phi_1(\theta_i)$$

$$\mathcal{O}_2 = \sum_{i=1}^M z_i \Phi_2(\theta_i)$$



Take radial slices to obtain envelope

EFN ( $\ell = 2$ ) has approximately radially symmetric filters

Fit functions of the forms:

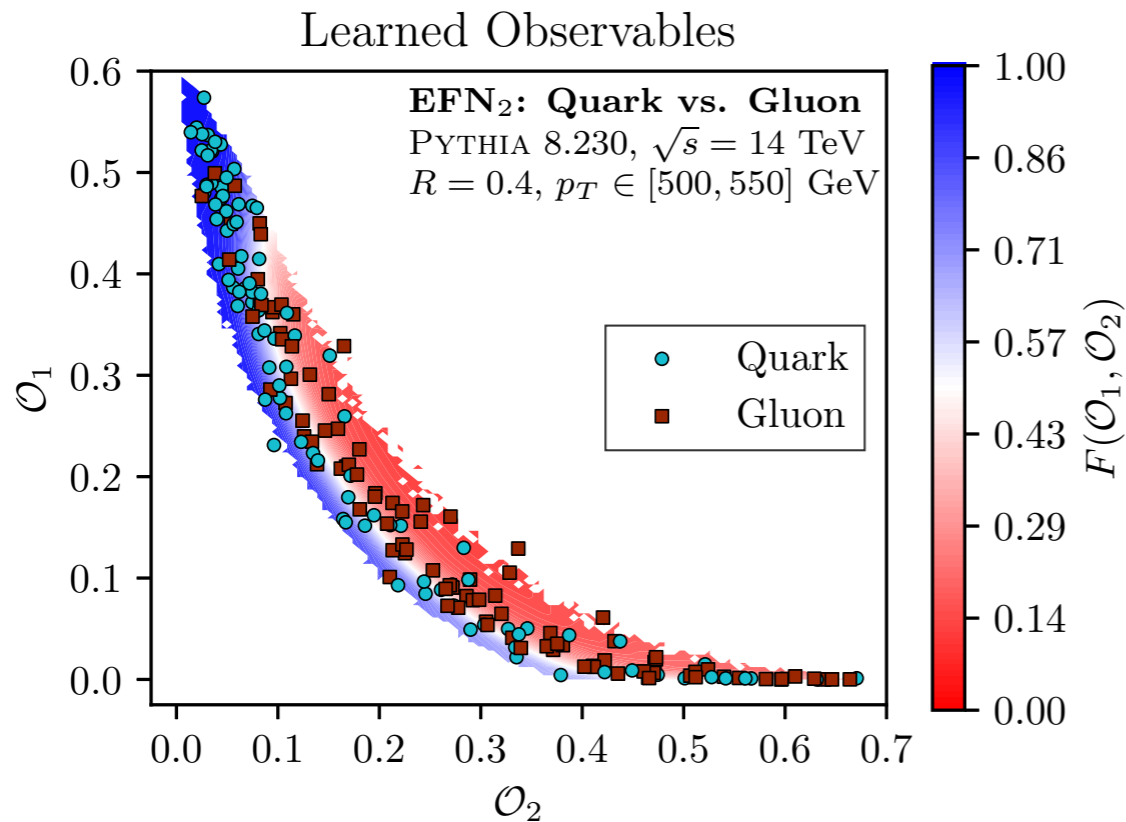
$$A_{r_0} = \sum_{i=1}^M z_i e^{-\theta_i^2/r_0^2}, \quad B_{r_1,\beta} = \sum_{i=1}^M z_i \ln(1 + \beta(\theta_i - r_1))\Theta(\theta_i - r_1)$$

Separate soft and collinear phase space regions

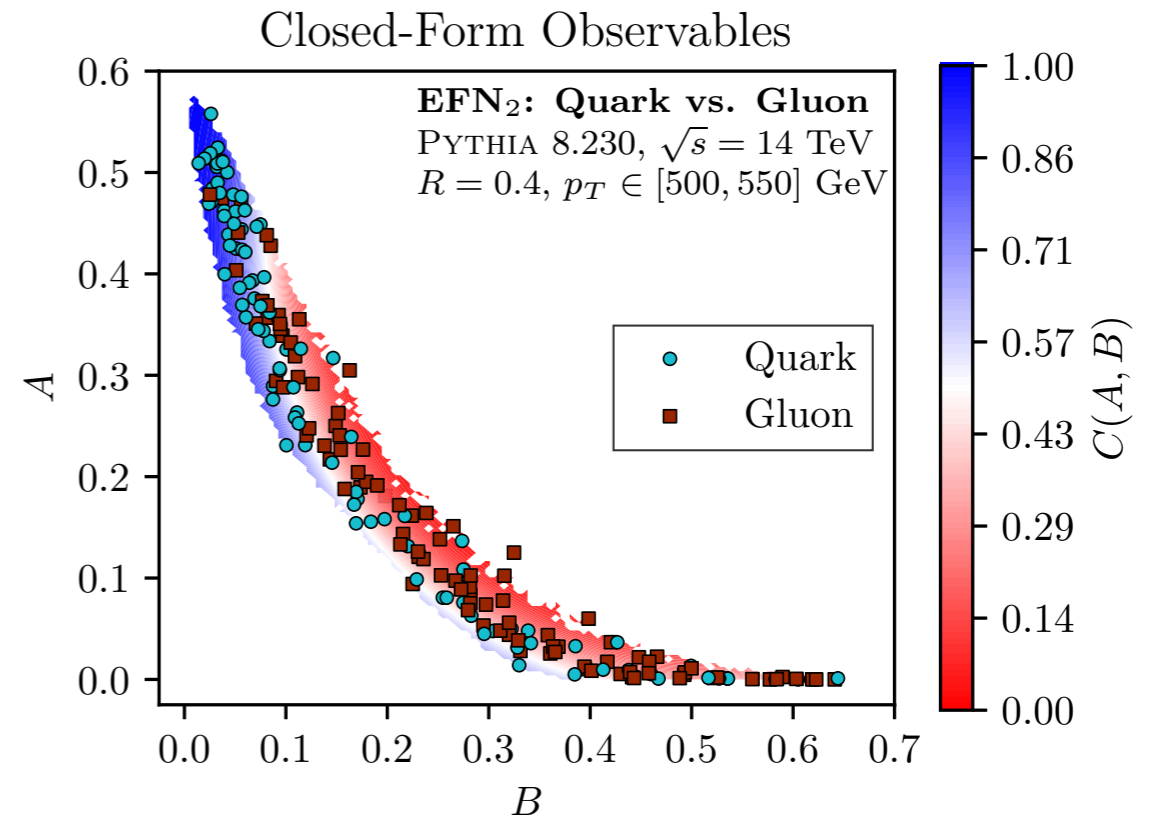


# Quark vs. Gluon: Extracting New Analytic Observables

Can visualize  $F$  in the two dimensional  $(\mathcal{O}_1, \mathcal{O}_2)$  phase space



Learned



Extracted

Extract analytic form for  $F$  as (squared) distance from a point:

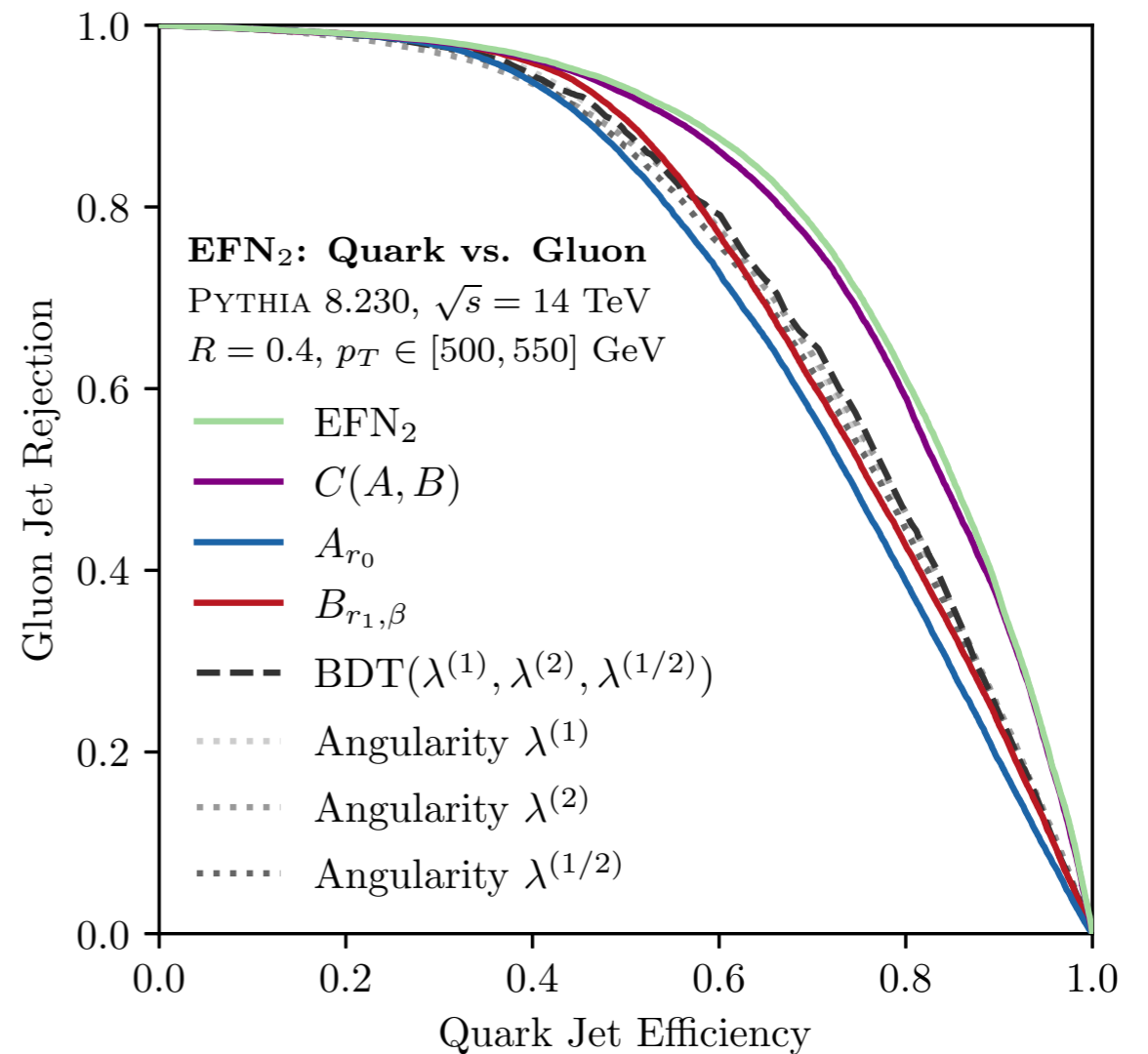
$$C(A, B) = (A - a_0)^2 + (B - b_0)^2$$

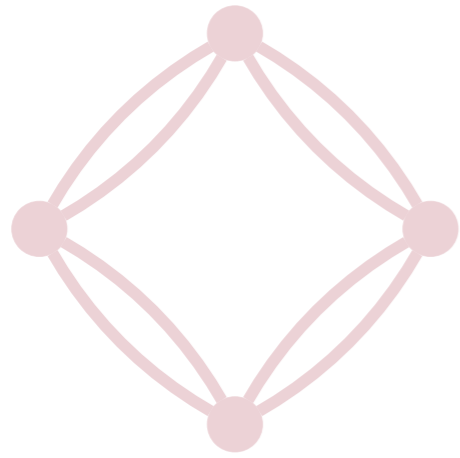
# Quark vs. Gluon: Benchmarking New Analytic Observables

Individually, extracted observables are comparable to other angularities

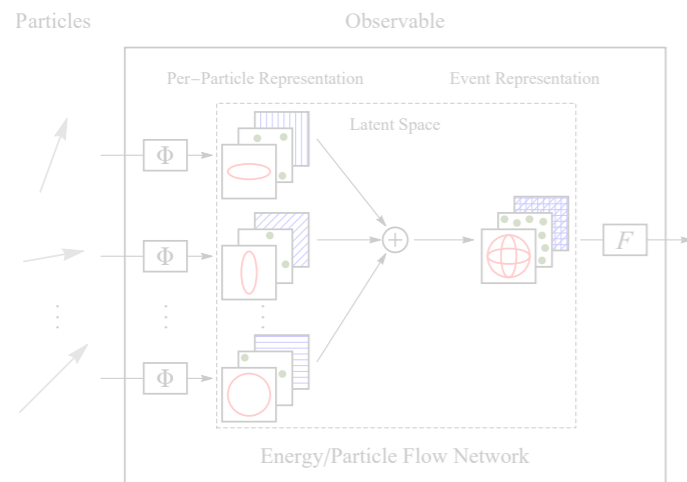
Extracted  $C(A, B)$  performs nearly as well as EFN ( $\ell = 2$ )

Meanwhile, multivariate combination (BDT) of three other angularities does not show improvement

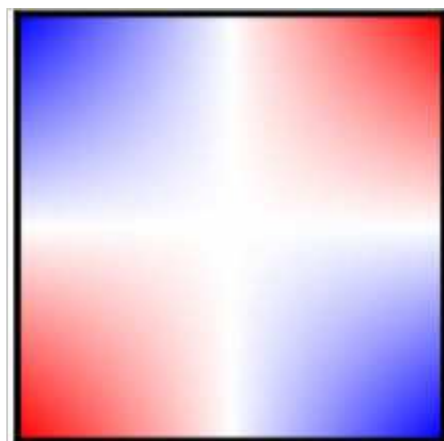




# Energy Flow Polynomials



# Energy Flow Networks



# Energy Flow Moments

*Relating EFPs and EFNs via additivity*

# Energy Flow Moments


Consider a slightly different hadronic angular measure,  $\theta_{ij} = (2\hat{p}_i^\mu \hat{p}_{j\mu})^{\frac{\beta}{2}}$ ,  $\hat{p}_i^\mu = \frac{p_i^\mu}{p_{Ti}}$

Agrees with previous hadronic measure in the limit of narrow, central jets

When  $\beta = 2$ , angular measure can be factored, which motivates defining:

Energy Flow Moment (EFM) of valency  $v$ : 
$$\mathcal{I}^{\mu_1 \dots \mu_v} = \sum_{i=1}^M z_i \hat{p}_i^{\mu_1} \dots \hat{p}_i^{\mu_v}$$

$\beta = 2$  EFPs can be rewritten in terms of EFMs, which are **linear in M to compute!**



$$= \sum_{i_1=1}^M \sum_{i_2=1}^M \sum_{i_3=1}^M z_{i_1} z_{i_2} z_{i_3} \theta_{i_1 i_2}^2 \theta_{i_1 i_3}^2 \theta_{i_2 i_3}^2$$

$$= 2^5 \underbrace{\left( \sum_{i_1=1}^M z_{i_1} \hat{p}_{i_1}^\alpha \hat{p}_{i_1}^\beta \hat{p}_{i_1}^\gamma \hat{p}_{i_1}^\delta \right)}_{\mathcal{I}^{\alpha\beta\gamma\delta}} \underbrace{\left( \sum_{i_2=1}^M z_{i_2} \hat{p}_{i_2}^\alpha \hat{p}_{i_2}^\beta \hat{p}_{i_2}^\epsilon \right)}_{\mathcal{I}_{\alpha\beta}^\epsilon} \underbrace{\left( \sum_{i_3=1}^M z_{i_3} \hat{p}_{i_3}^\gamma \hat{p}_{i_3}^\delta \hat{p}_{i_3}^\epsilon \right)}_{\mathcal{I}_{\gamma\delta\epsilon}}$$

A multigraph correspondence also exists for EFMs:

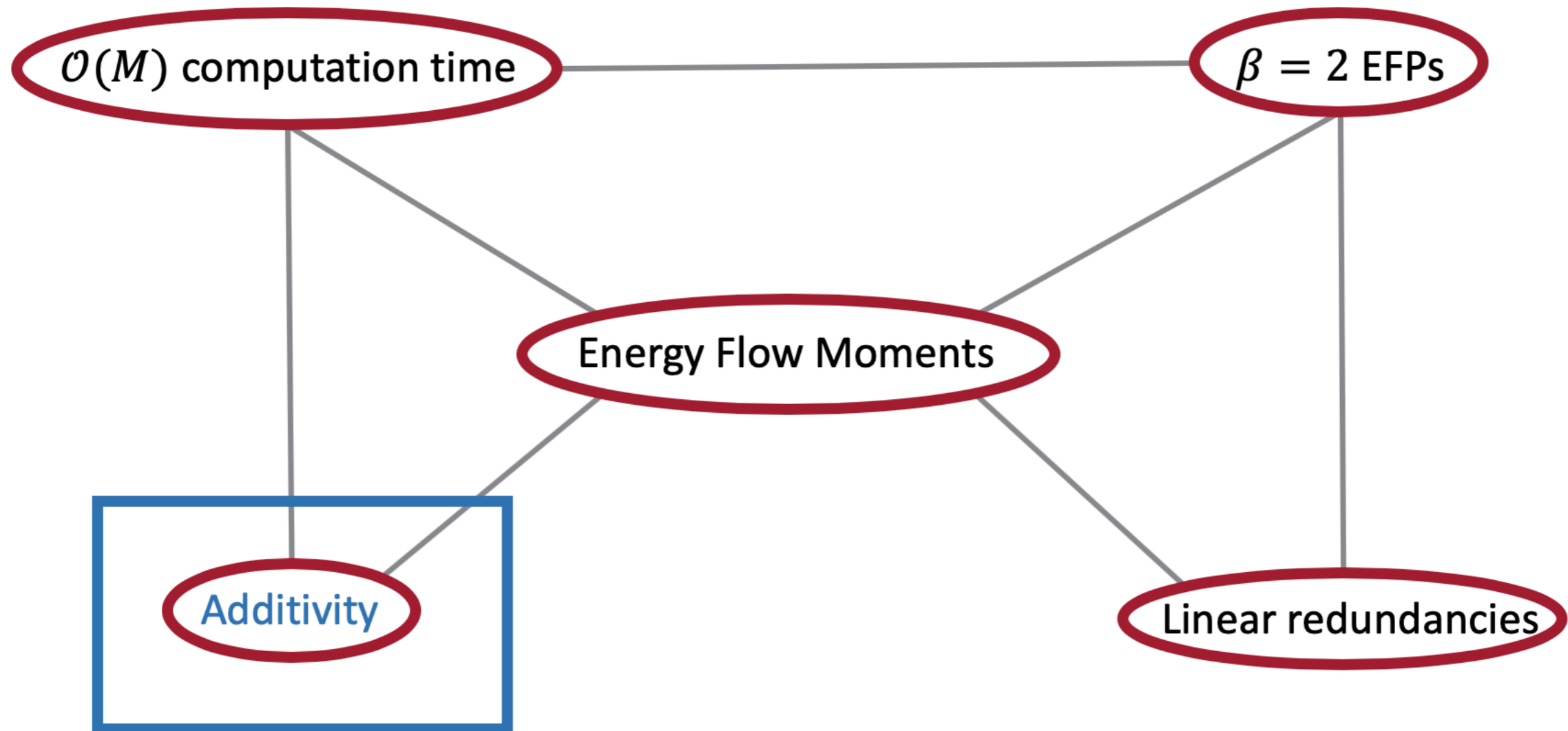


$$k \text{ --- } \dots \text{ --- } l \iff \mathcal{I}^{\mu_k \dots \mu_\ell}$$

$$i \text{ --- } j \iff \eta_{\mu_i \mu_j}$$



# Energy Flow "Network"

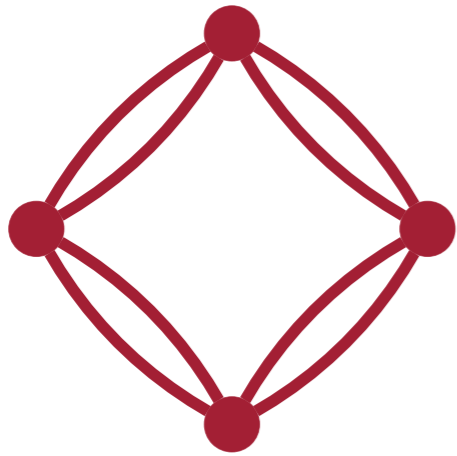


Additivity is the link to the Deep Sets decomposition and EFNs

$$5! \times \mathcal{I}_{[\mu_1}^{\mu_2} \mathcal{I}_{\mu_2}^{\mu_3} \mathcal{I}_{\mu_3}^{\mu_4} \mathcal{I}_{\mu_4}^{\mu_5} \mathcal{I}_{\mu_5}^{\mu_1}] = 6 \times \text{pentagon} - 5 \times \text{triangle} - \text{double edge} = 0$$

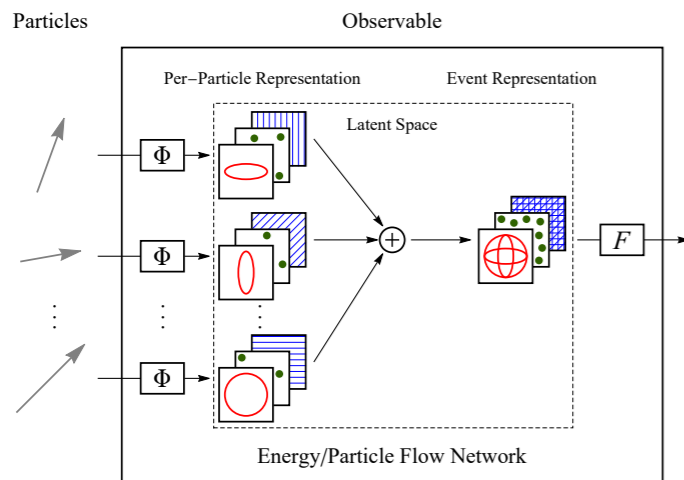
The diagrammatic representation shows:
 

- A pentagon with 5 vertices and 5 edges.
- A triangle with 3 vertices and 3 edges.
- A double edge between two vertices.



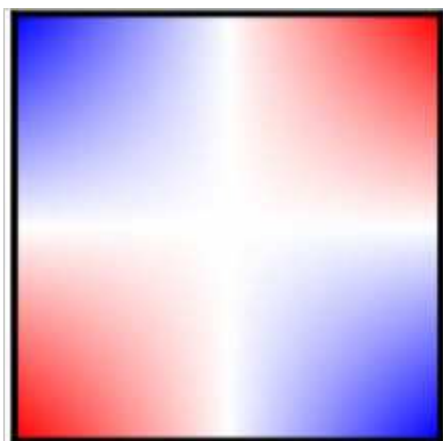
## Energy Flow Polynomials

*Linear basis of IRC-safe observables, fixed processing of point cloud, identify many common observables as combinations*



## Energy Flow Networks

*Jet symmetries, point clouds, Deep Sets, performance, versatility, simplicity, visualization, new analytic observables*



## Energy Flow Moments

*Connects multiparticle correlators to additive structures, linear in  $M$  computation of EFPs, algebraic identities*

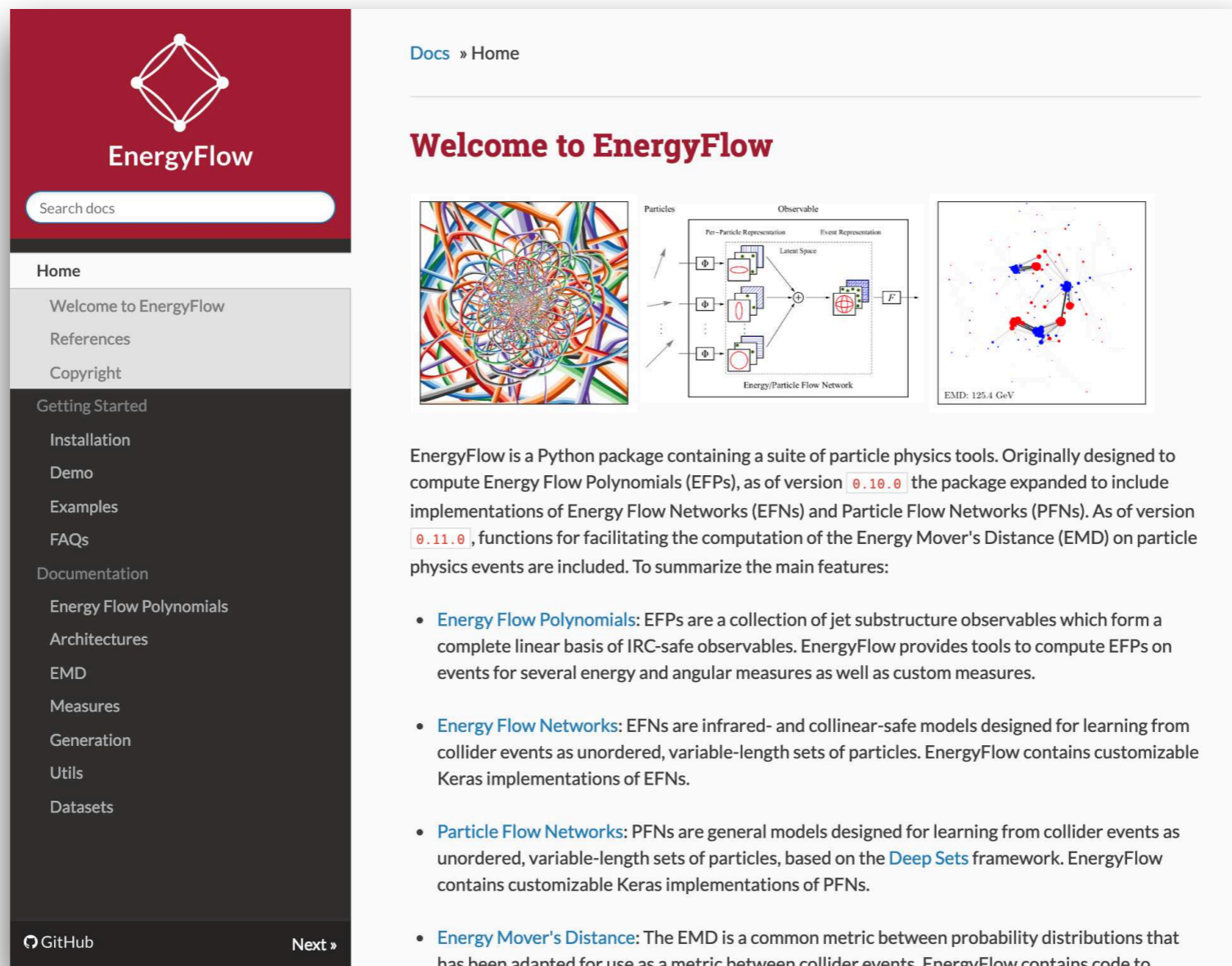
# EnergyFlow Python Package

Implements variable elimination for efficient EFP computation

Contains EFN and PFN implementations in Keras

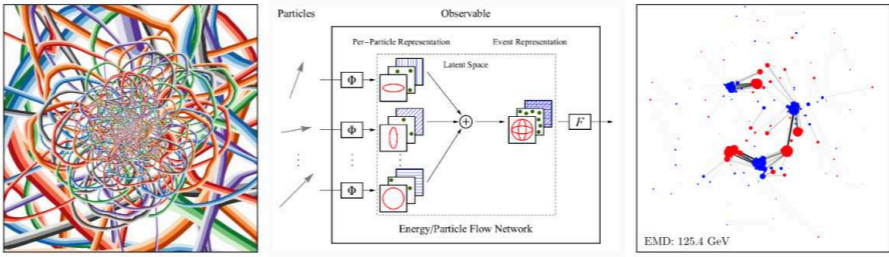
CNN, DNN architectures included for easy model comparison

Several detailed examples demonstrating how to train models and make visualizations



Docs » Home

## Welcome to EnergyFlow



EnergyFlow is a Python package containing a suite of particle physics tools. Originally designed to compute Energy Flow Polynomials (EFPs), as of version `0.10.0` the package expanded to include implementations of Energy Flow Networks (EFNs) and Particle Flow Networks (PFNs). As of version `0.11.0`, functions for facilitating the computation of the Energy Mover's Distance (EMD) on particle physics events are included. To summarize the main features:

- **Energy Flow Polynomials:** EFPs are a collection of jet substructure observables which form a complete linear basis of IRC-safe observables. EnergyFlow provides tools to compute EFPs on events for several energy and angular measures as well as custom measures.
- **Energy Flow Networks:** EFNs are infrared- and collinear-safe models designed for learning from collider events as unordered, variable-length sets of particles. EnergyFlow contains customizable Keras implementations of EFNs.
- **Particle Flow Networks:** PFNs are general models designed for learning from collider events as unordered, variable-length sets of particles, based on the [Deep Sets](#) framework. EnergyFlow contains customizable Keras implementations of PFNs.
- **Energy Mover's Distance:** The EMD is a common metric between probability distributions that has been adapted for use as a metric between collider events. EnergyFlow contains code to

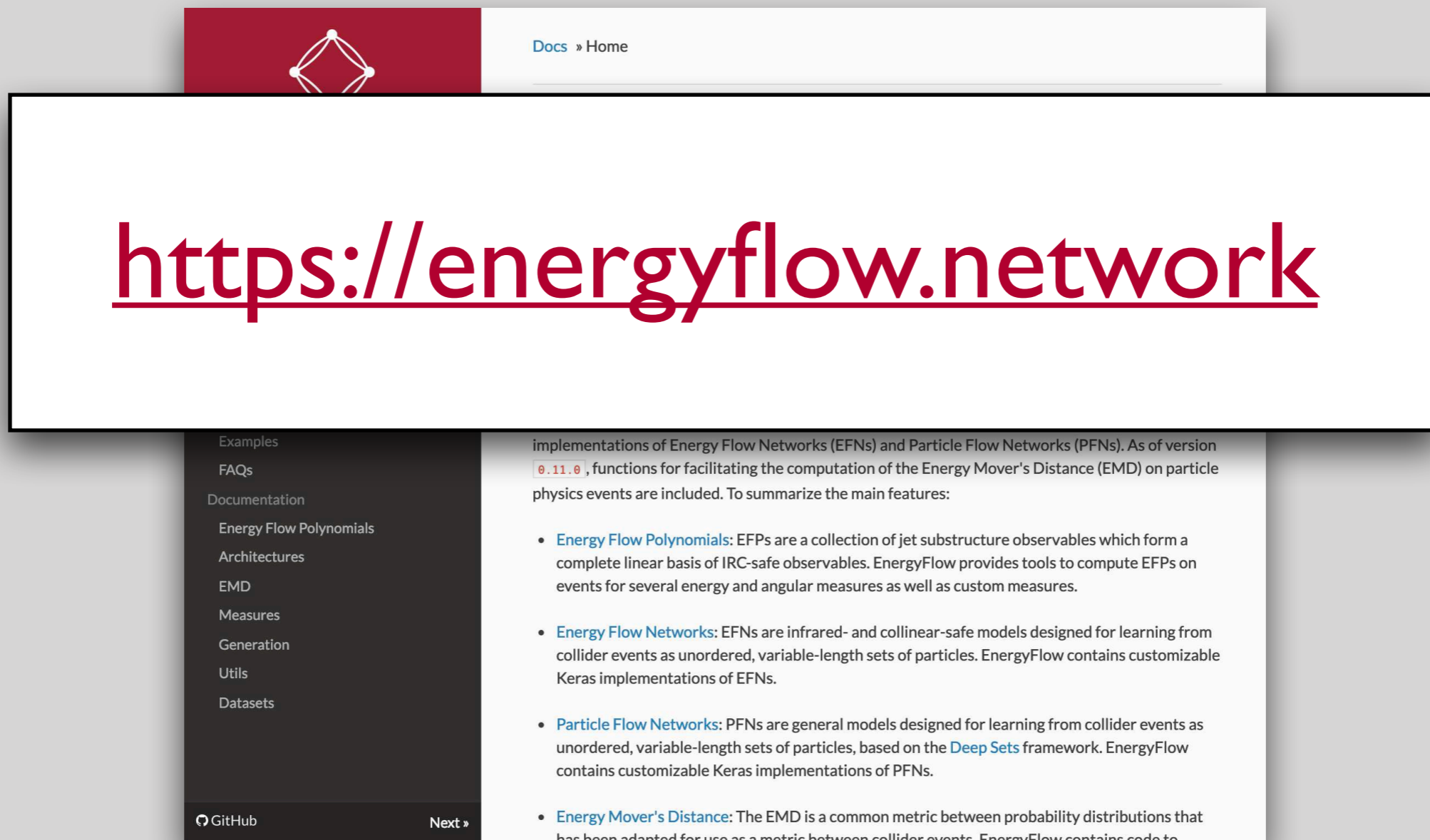
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## <https://energyflow.network>

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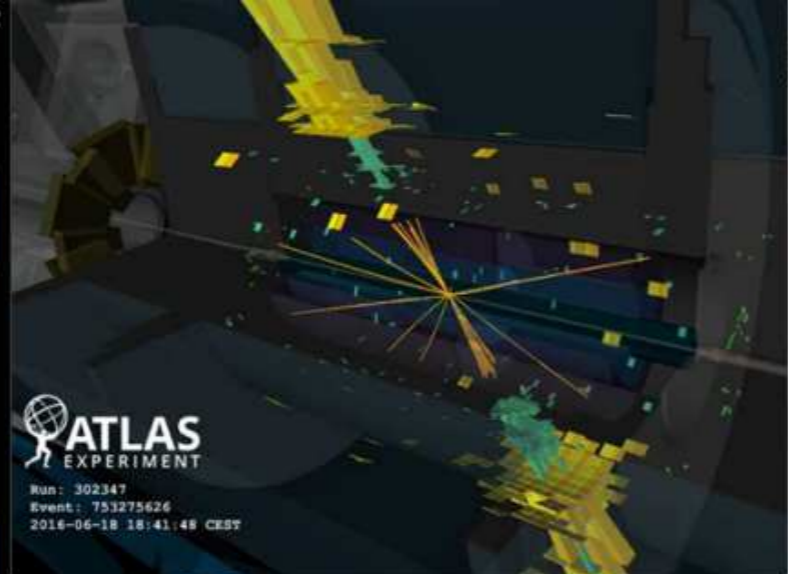
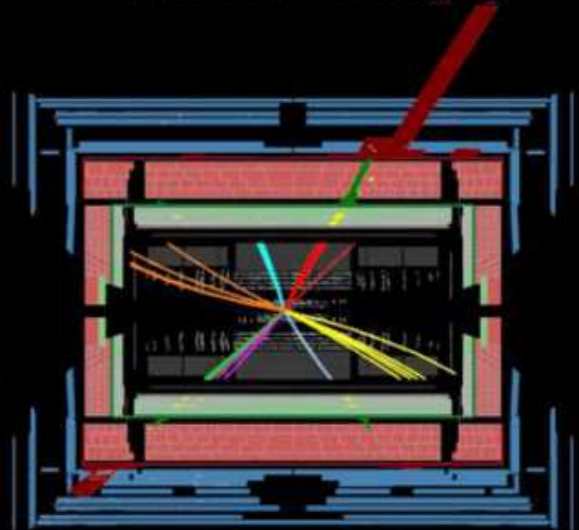
Examples  
FAQs  
Documentation  
Energy Flow Polynomials  
Architectures  
EMD  
Measures  
Generation  
Utils  
Datasets

GitHub Next »

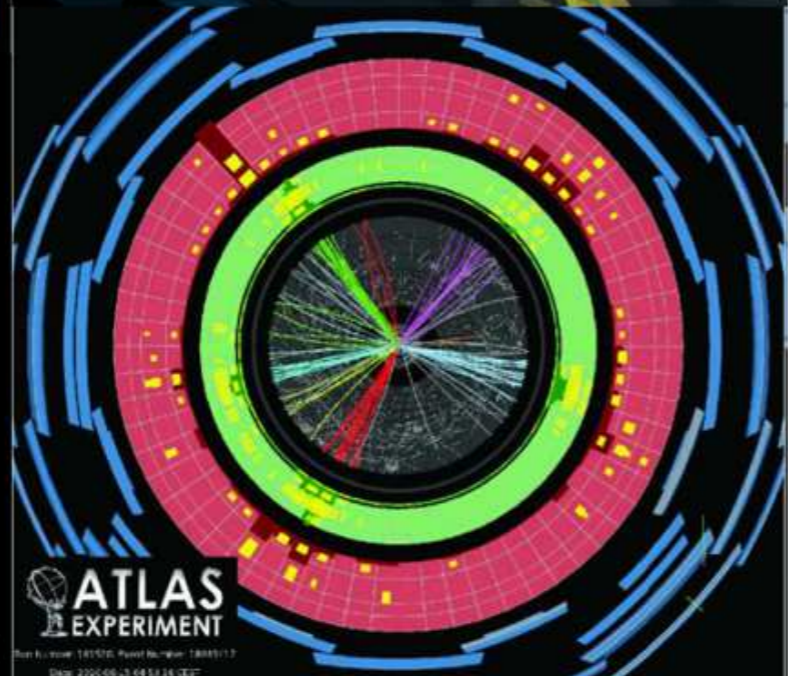
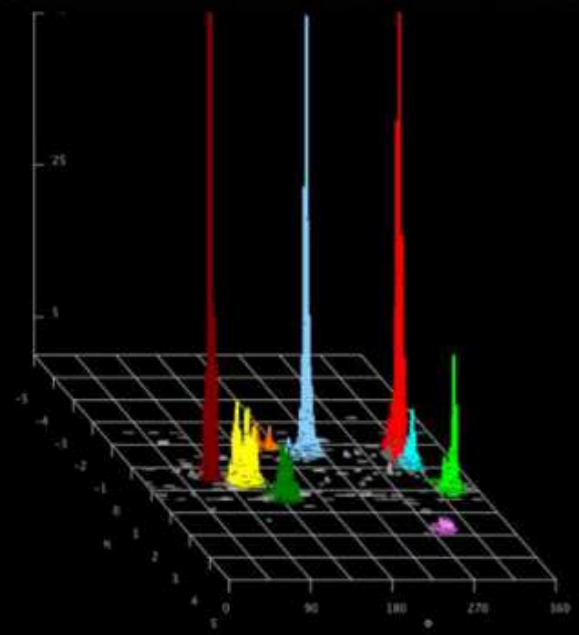


**Thank You!**



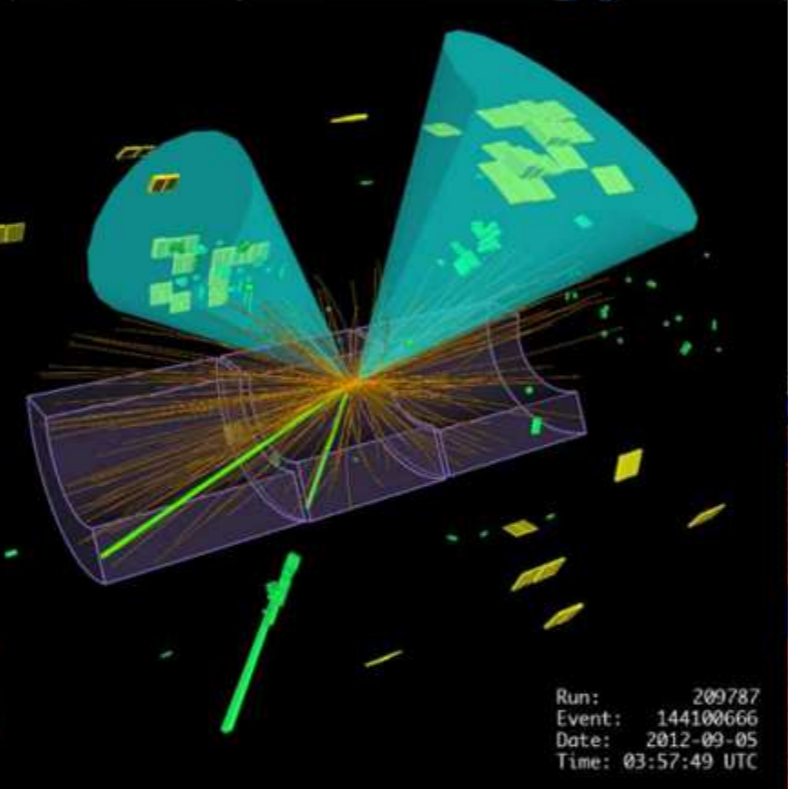
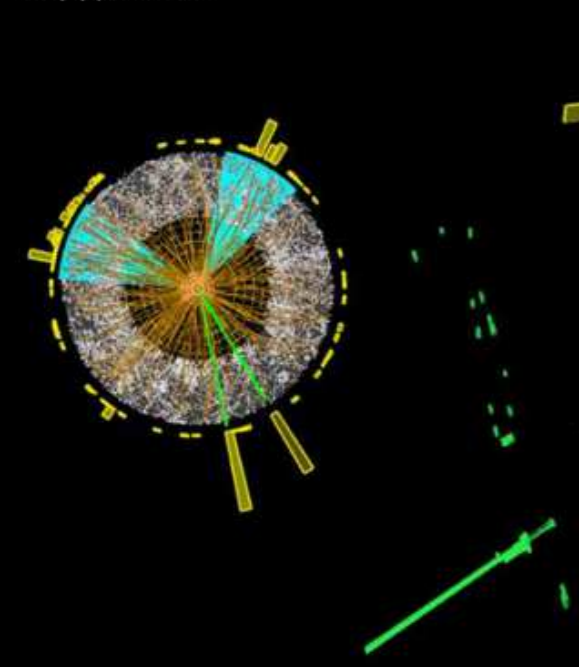


**ATLAS EXPERIMENT**  
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 Event: 753275626  
 2016-06-18 18:41:48 CEST

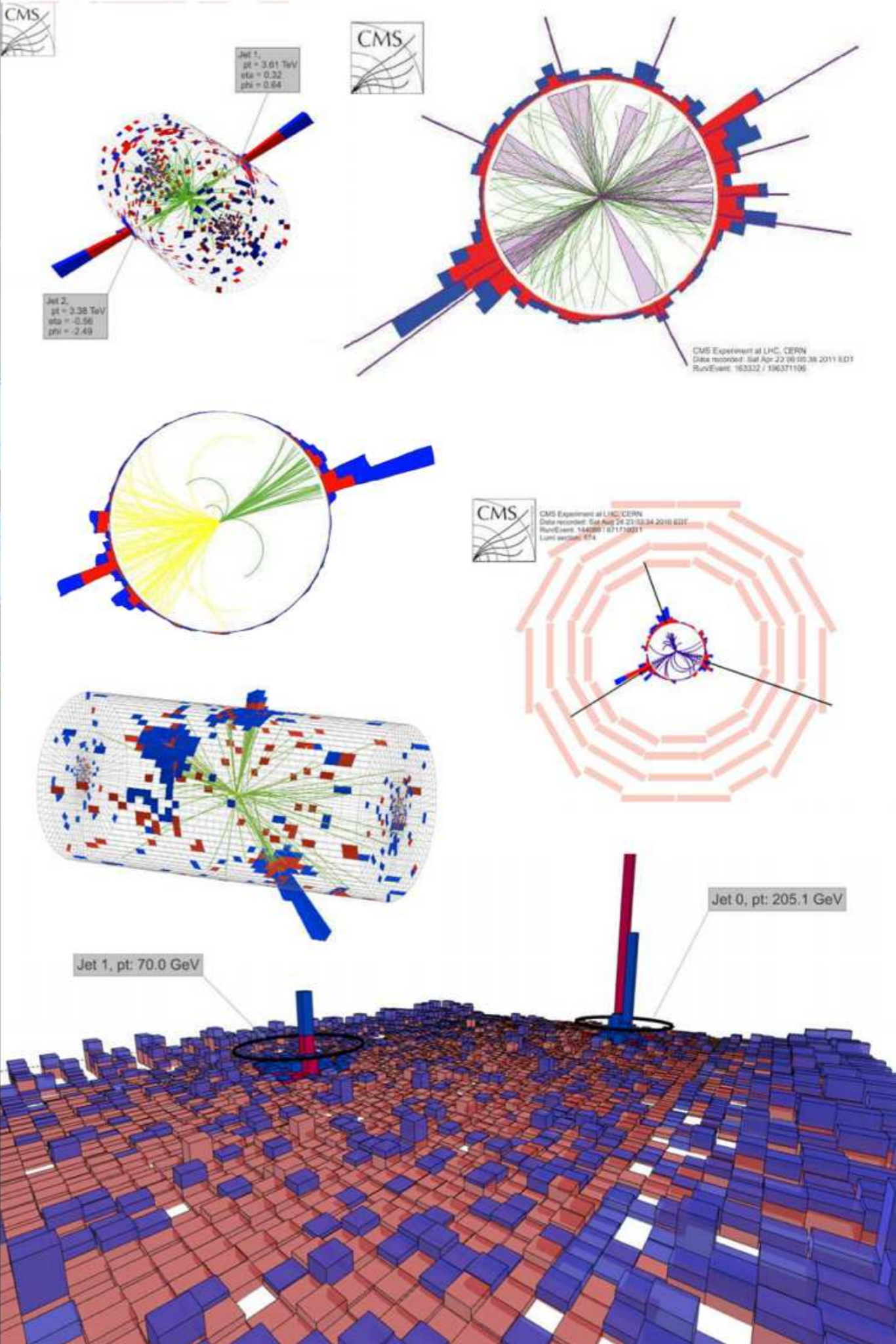


**ATLAS EXPERIMENT**  
 Run Number: 149570, Event Number: 14410666  
 Date: 2012-09-05 03:57:49 UTC

**ATLAS EXPERIMENT**  
<http://atlas.ch>



Run: 209787  
 Event: 14410666  
 Date: 2012-09-05  
 Time: 03:57:49 UTC



**CMS**

Jet 1,  $p_t = 3.61 \text{ TeV}$   
 $\eta = 0.32$   
 $\phi = 0.64$

Jet 2,  $p_t = 0.38 \text{ TeV}$   
 $\eta = -0.56$   
 $\phi = -2.49$

**CMS**

CMS Experiment at LHC, CERN  
 Data recorded: Sat Apr 22 09:00:38 2011 8:01  
 Run/Event: 163332 / 19637106

**CMS**

CMS Experiment at LHC, CERN  
 Data recorded: Sat Aug 24 23:03:34 2010 8:01  
 Run/Event: 144001 / 67170031  
 Lumi section: 674

Jet 1,  $p_t = 70.0 \text{ GeV}$

Jet 0,  $p_t = 205.1 \text{ GeV}$



# Jet Representations ↔ Analysis Tools

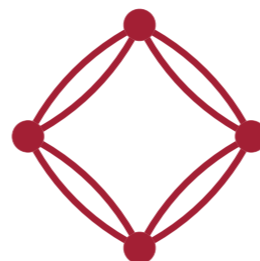
## Two key choices when analyzing jets

### How to represent the jet

- Single expert observable
- A few expert observables
- Many expert observables
- Jet images
- List of particles
- Clustering tree
- $N$ -subjettiness basis
- Energy flow polynomials
- Set of particles

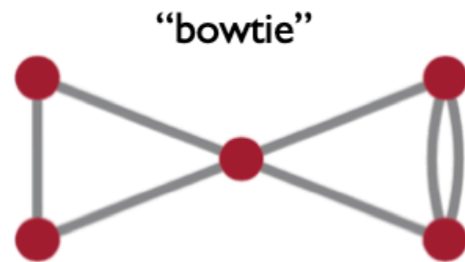
### How to analyze that representation

- Threshold cut
- Multidimensional likelihood
- Boosted decision tree (BDT), shallow neural network (NN)
- Convolutional NN (CNN)
- Recurrent/Recursive NN (RNN)
- Fancy RNN
- Dense neural network (DNN)
- Linear classification
- Energy flow network



# Multigraph/EFP Correspondence

Multigraph  $\longleftrightarrow$  EFP



$$= \sum_{i_1=1}^M \sum_{i_2=1}^M \sum_{i_3=1}^M \sum_{i_4=1}^M \sum_{i_5=1}^M z_{i_1} z_{i_2} z_{i_3} z_{i_4} z_{i_5} \theta_{i_1 i_2} \theta_{i_2 i_3} \theta_{i_1 i_3} \theta_{i_1 i_4} \theta_{i_1 i_5} \theta_{i_4 i_5}^2$$



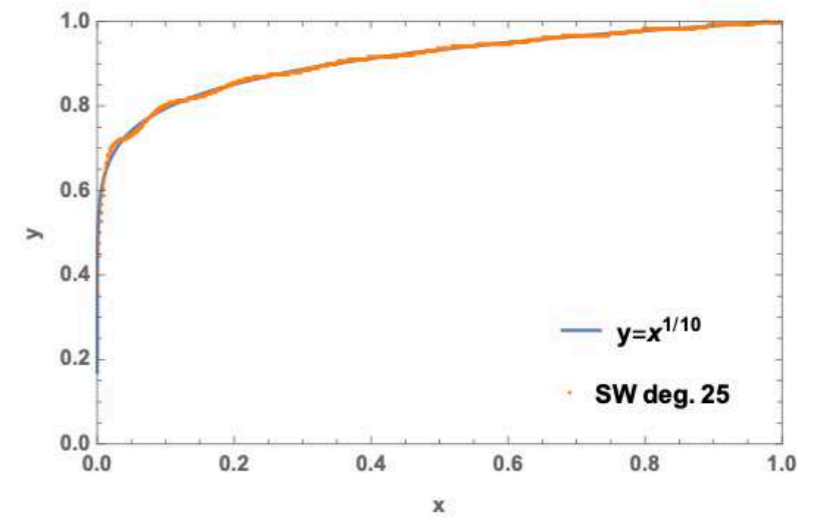
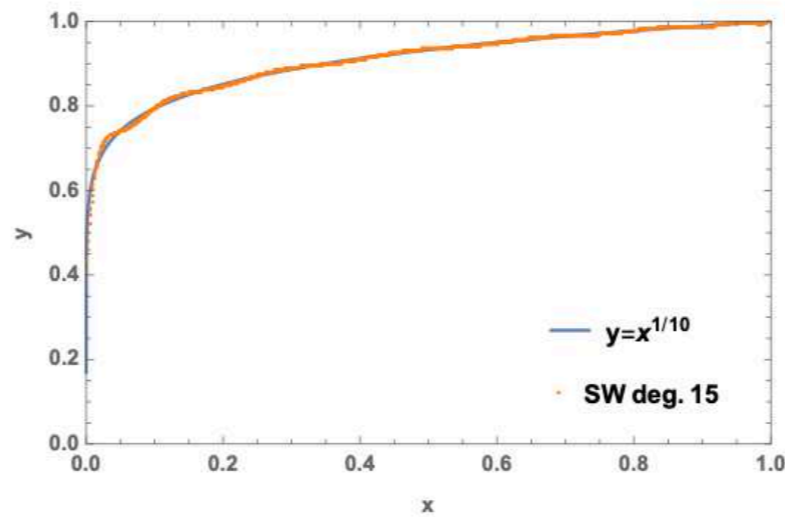
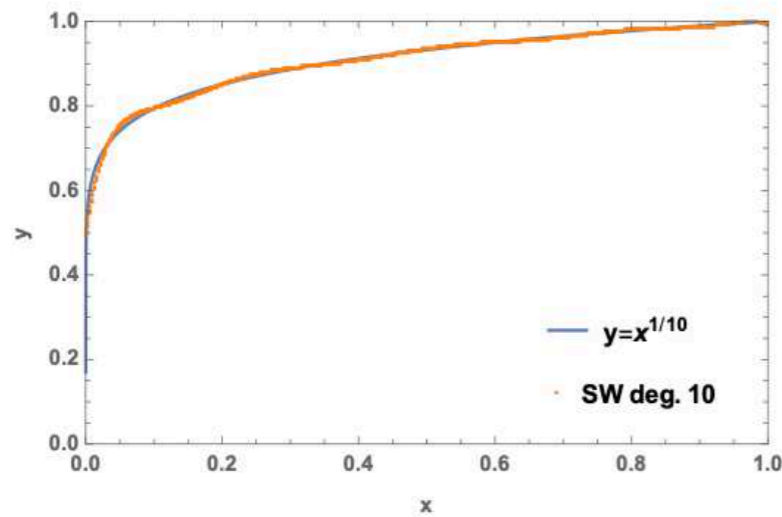
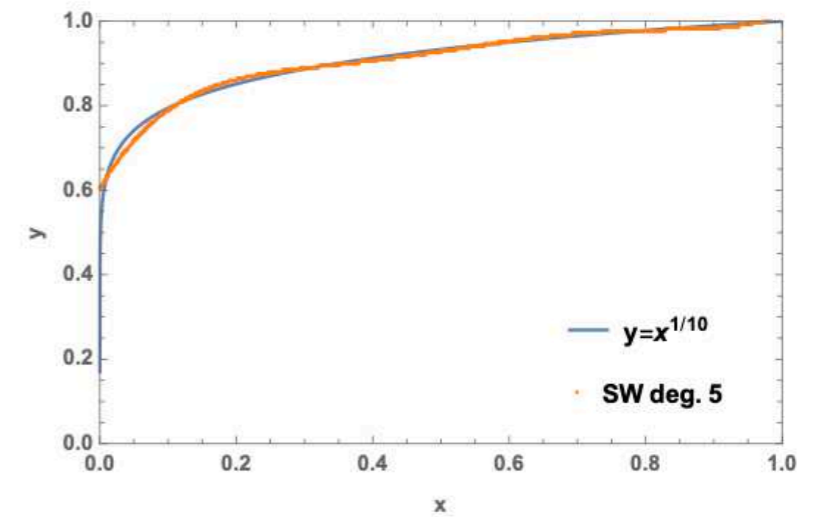
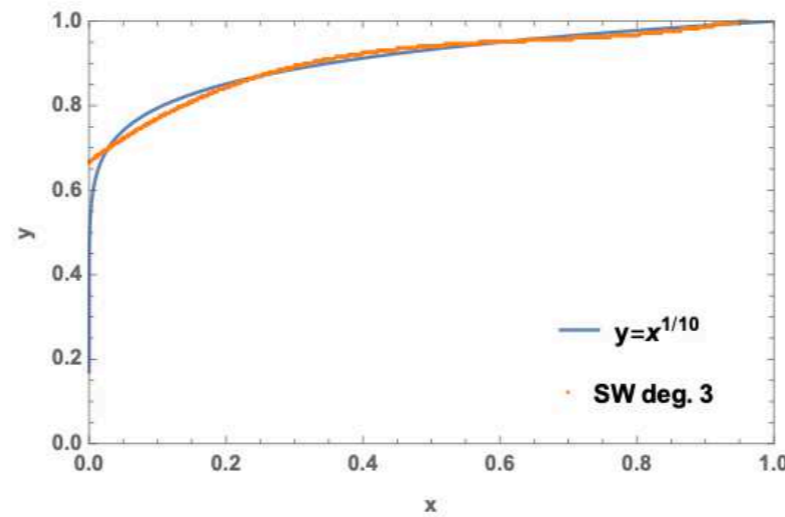
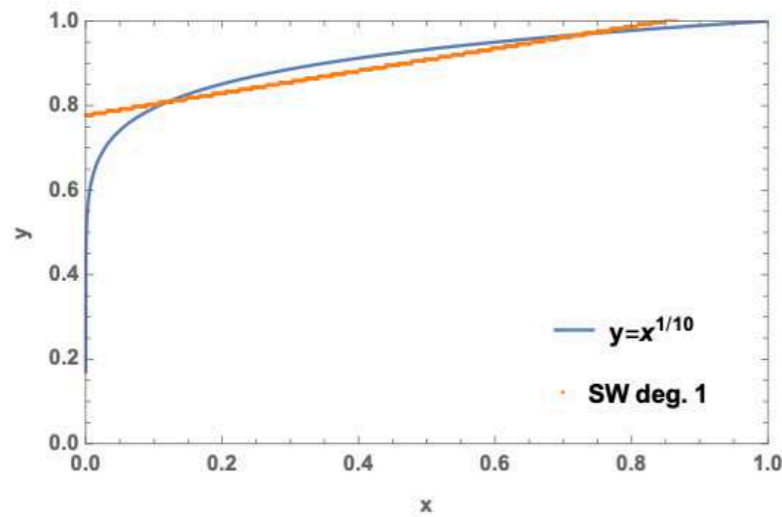
$N$	Number of vertices	$\longleftrightarrow$	$N$ -particle correlator
$d$	Number of edges	$\longleftrightarrow$	Degree of angular monomial
$\chi$	Treewidth + 1	$\longleftrightarrow$	Optimal VE Complexity

Connected	$\longleftrightarrow$	Prime
Disconnected	$\longleftrightarrow$	Composite
	$\vdots$	



# Fun with the Stone-Weierstrass Theorem

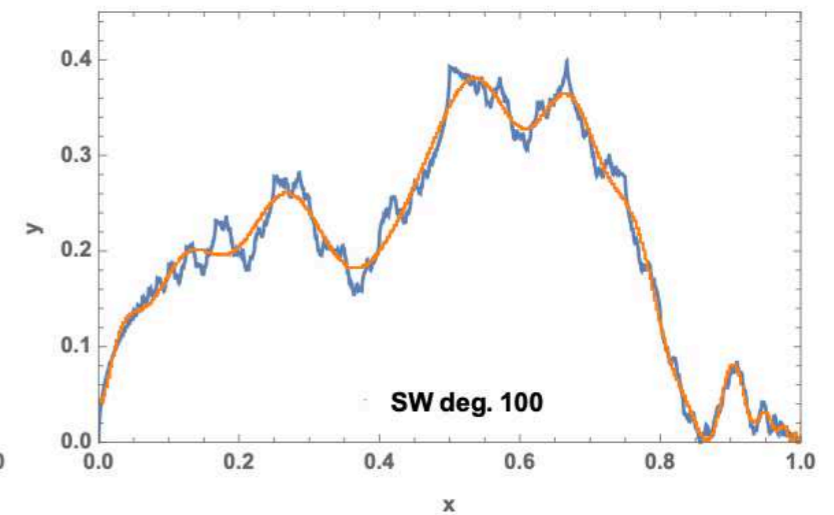
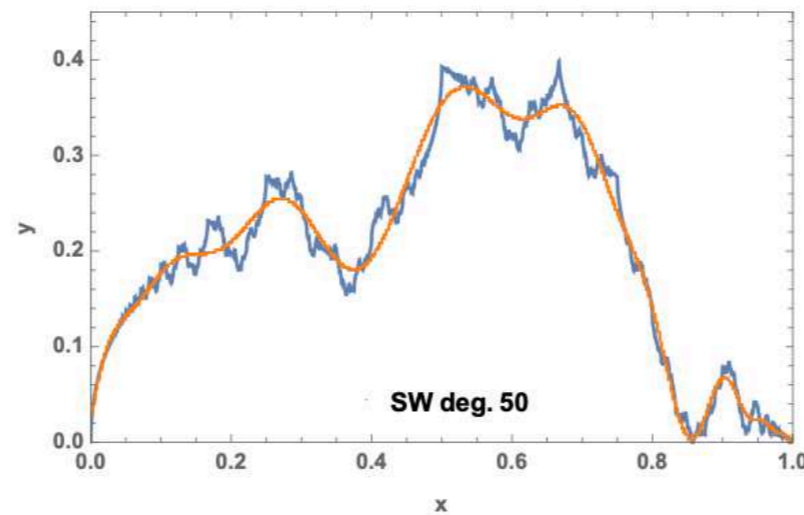
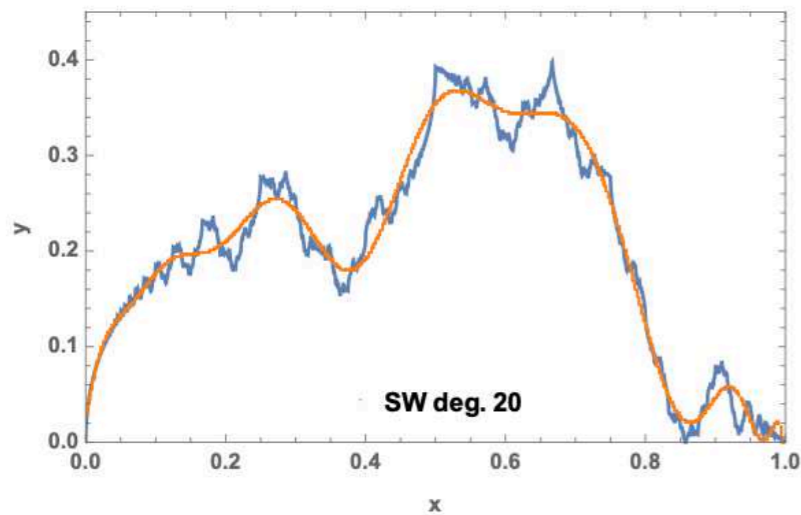
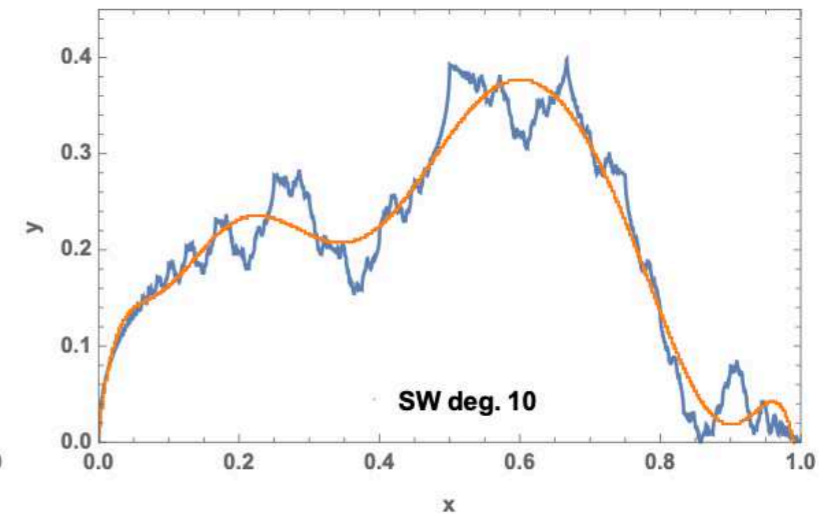
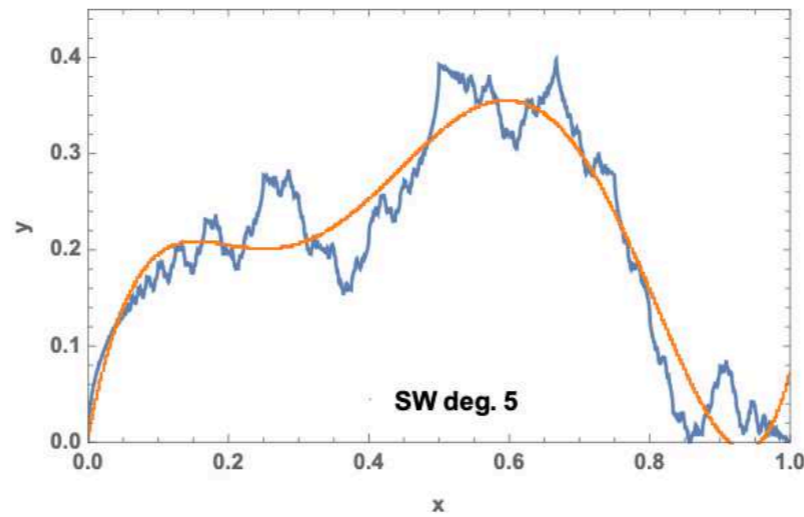
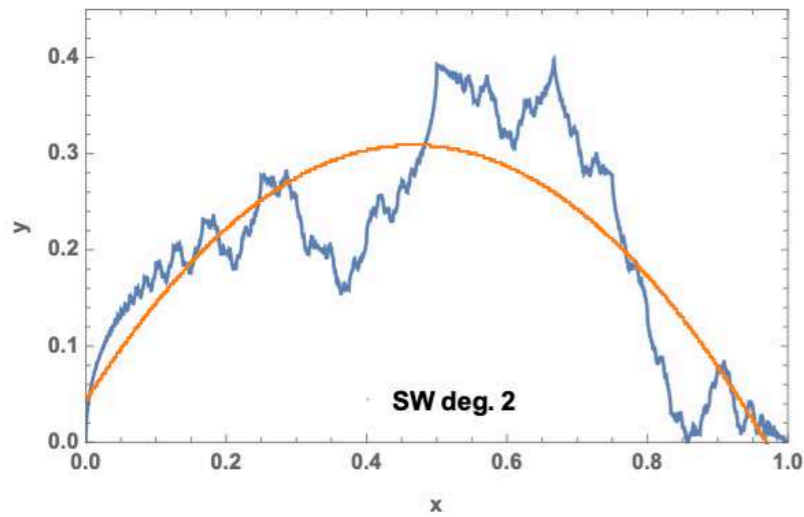
Try to approximate function that has no Taylor expansion around zero



# Fun with the Stone-Weierstrass Theorem

$$y = \sum_{k=1}^{\infty} \frac{\sin(\pi k^2 x)}{\pi k^2}$$

That was too easy, try the Weierstrass function (continuous everywhere, differentiable on a measure zero set of points)



# Computation Complexity of EFPs – Variable Elimination

Naive computation complexity of an energy correlator is  $\mathcal{O}(M^N)$

For  $\sim 100$  particles this becomes intractable for  $N > 4$

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⇒ EnergyCorrelator fjcontrib package gives up in this case

```
// if N > 5, then throw error
if (_N > 5) {
    throw Error("EnergyCorrelator is only hard coded for N = 0,1,2,3,4,5");
}
```



# Computation Complexity of EFPs – Variable Elimination

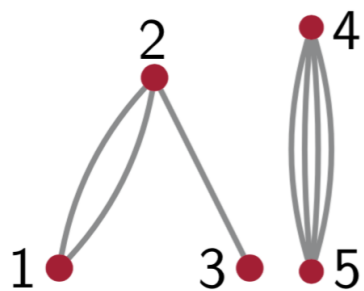
Naive computation complexity of an energy correlator is  $\mathcal{O}(M^N)$

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Variable elimination (VE) algorithm can speedup EFPs by finding efficient elimination ordering



$$= \left( \sum_{i_1=1}^M \sum_{i_2=1}^M \sum_{i_3=1}^M z_{i_1} z_{i_2} z_{i_3} \theta_{i_1 i_2}^2 \theta_{i_2 i_3} \right) \left( \sum_{i_4=1}^M \sum_{i_5=1}^M z_{i_4} z_{i_5} \theta_{i_4 i_5}^4 \right)$$

Disconnected is product of connected



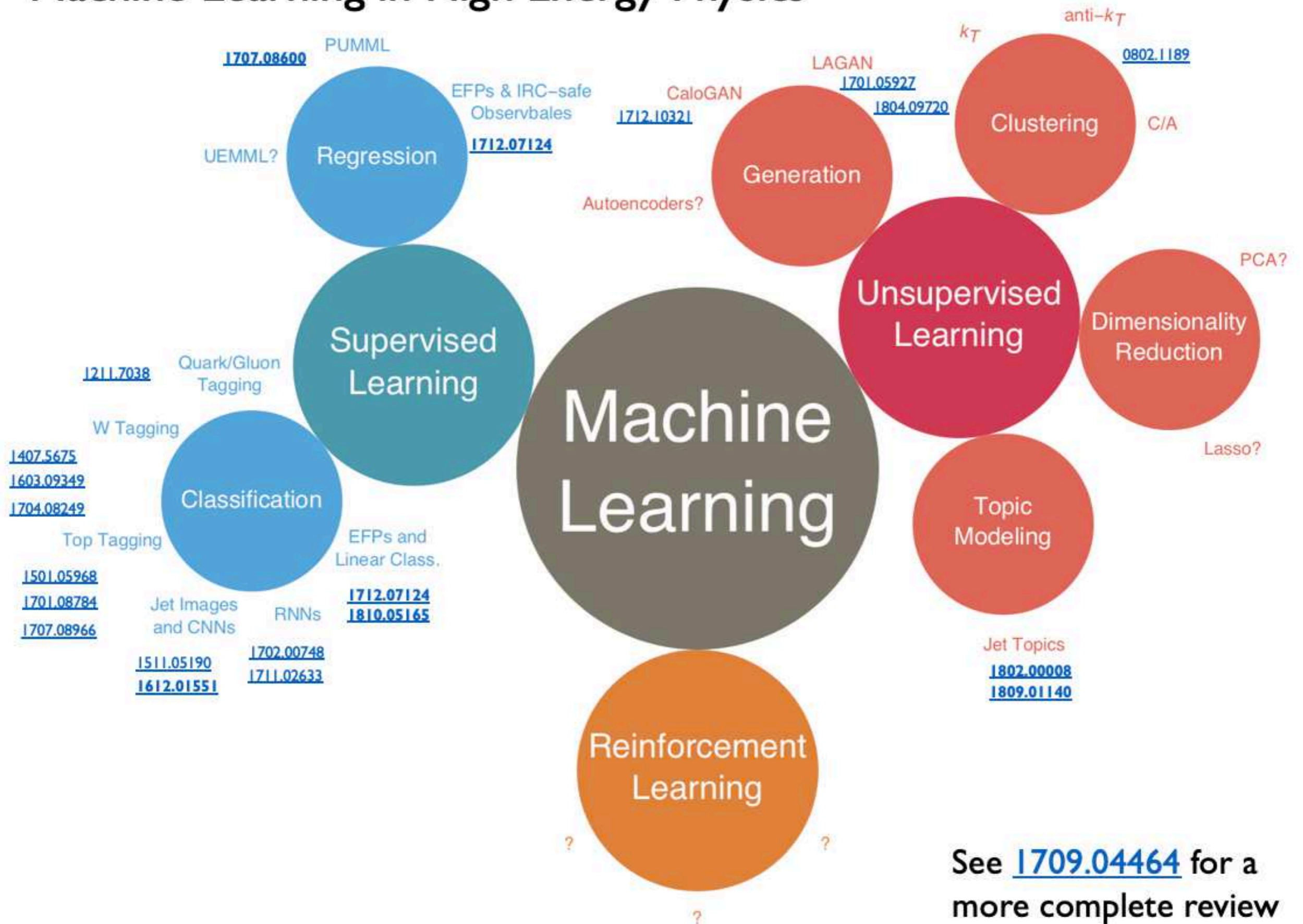
$$= \underbrace{\sum_{i_1=1}^M \sum_{i_2=1}^M \sum_{i_3=1}^M \sum_{i_4=1}^M \sum_{i_5=1}^M \sum_{i_6=1}^M \sum_{i_7=1}^M \sum_{i_8=1}^M z_{i_1} z_{i_2} z_{i_3} z_{i_4} z_{i_5} z_{i_6} z_{i_7} z_{i_8} \prod_{j=2}^7 \theta_{i_1 i_j}}_{\mathcal{O}(M^8)}$$

$$= \underbrace{\sum_{i_1=1}^M z_{i_1}}_{\mathcal{O}(M^2)} \left( \sum_{i_2=1}^M z_{i_2} \theta_{i_1 i_2} \right)^7$$

Clever parentheses placement corresponds to good elimination ordering

All tree graphs become  $\mathcal{O}(M^2)$

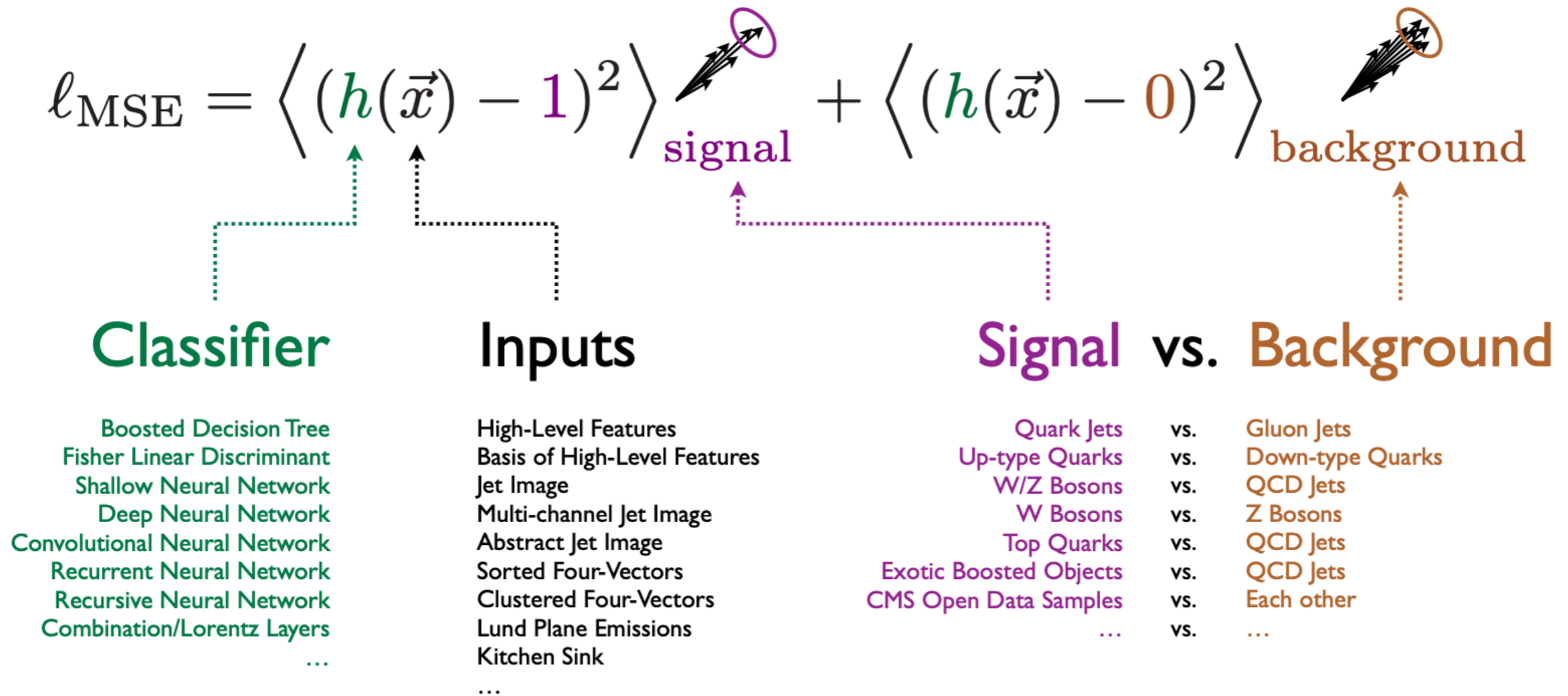
# Machine Learning in High Energy Physics



See [1709.04464](#) for a more complete review

# Jet Classification Studies

*Mix and match*



[Lönblad, Peterson, Rognvaldsson, 1990, ..., Cogan, Kagan, Strauss, Schwartzman, 1407.5675; Almeida, Backović, Cliche, Lee, Perelstein, 1501.05968; de Oliveira, Kagan, Mackey, Nachman, Schwartzman, 1511.05190; Baldi, Bauer, Eng, Sadowski, Whiteson, 1603.09349; Conway, Bhaskar, Erbacher, Pilot, 1606.06859; Guest, Collado, Baldi, Hsu, Urban, Whiteson, 1607.08633; Barnard, Dawe, Dolan, Rajcic, 1609.00607; Komiske, Metodiev, Schwartz, 1612.01551; Kasieczka, Plehn, Russell, Schell, 1701.08784; Louppe, Cho, Becot, Cranmer, 1702.00748; Pearkes, Fedorko, Lister, Gay, 1704.02124; Datta, Larkoski, 1704.08249, 1710.01305; Butter, Kasieczka, Plehn, Russell, 1707.08966; Fernández Madrazo, Heredia Cacha, Lloret Iglesias, Marco de Lucas, 1708.07034; Aguilar Saavedra, Collin, Mishra, 1709.01087; Cheng, 1711.02633; Luo, Luo, Wang, Xu, Zhu, 1712.03634; Komiske, Metodiev, JDT, 1712.07124; Macaluso, Shih, 1803.00107; Fraser, Schwartz, 1803.08066; Choi, Lee, Perelstein, 1806.01263; Lim, Nojiri, 1807.03312; Dreyer, Salam, Soyez, 1807.04758; Moore, Nordström, Varma, Fairbairn, 1807.04769; plus my friends who will scold me for forgetting their paper (and not updating this after July 23, 2018); plus many ATLAS/CMS performance studies]



# Top Jet Samples and Other Methods

[Butter, Kasieczka, Plehn, Russell, 2017]

Common top and QCD dijet samples for standardized benchmarking

$p_T \in [550, 650]$  GeV, AK8 jets, fully-merged, Delphes simulation, 2m jets total

Approach	AUC	Acc.	1/eB @ (eS=0.3)	Contact	Comments
LoLa	0.979	0.928		G. Kasieczka S. Leiss	Preliminary number, based on LoLa
LBN	0.981	0.931	863	M. Rieger	Preliminary number
CNN	0.981	0.93	780	D. Shih	Model from (1803.00107)
P-CNN (1D CNN)	0.980	0.930	782	H. Qu, L. Gouskos	Preliminary, use kinematic info only
6-body N-subs. (+mass and pT) NN	0.979	0.922	856	K. Nordstrom	Based on 1807.04769
8-body N-subs. (+mass and pT) NN	0.980	0.928	795	K. Nordstrom	Based on 1807.04769
Linear EFPs	0.980	0.932	380	PTK, E. Metodiev	$d \leq 7$ , $\chi \leq 3$ EFPs with FLD. Based on 1712.07124
Particle Flow Network (PFN)	0.982	0.932	888	PTK, E. Metodiev	Median over ten trainings. Based on Table 5 in 1810.05165
Energy Flow Network (EFN)	0.979	0.927	619	PTK, E. Metodiev	Median over ten trainings. Based on Table 5 in 1810.05165

Performance saturation?



# Top Jet Samples and Other Methods

ehn, Russell, 2017]

Comm

$p_T \in [5$

*Not yet!*

Particle Flow Network (PFN)	0.982	0.932	888	PTK, E. Metodiev	Median over ten trainings. Based on Table 5 in 1810.05165
2D CNN [ResNeXt50]	0.984	0.936	1086	Huilin Qu, Loukas Gouskos	Preliminary from <a href="https://indico.cern.ch/event/745718/contributions/3202526">indico.cern.ch/event/745718/contributions/3202526</a>
DGCNN	0.984	0.937	1160	Huilin Qu, Loukas Gouskos	Preliminary from <a href="https://indico.cern.ch/event/745718/contributions/3202526">indico.cern.ch/event/745718/contributions/3202526</a>

However, ResNeXt50 has 25m parameters and DGCNN takes 2 days to train

PFN has 40k parameters and takes 1 hour to train