# Identifying QCD transition in a hybrid model with deep learning

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# Outline

- Motivation: employ the deep learning technique
- Review: pure hydrodynamics study
- Hybrid model: hydro + cascade (arXiv:1910.11530)
- Summary & Outlook

# Evolution of the fireball



C. Shen et al., Comput. Phys. Commun. 199, 61 (2016)

- Initial condition: Glauber, CGC...
- Equilibration time  $\tau_0$
- Strongly coupled fluid described by viscous hydrodynamics Input: EoS of QCD, viscousity  $\eta/s...$
- $T_{\rm freeze-out}$  / switching
- Hadronic cascade (UrQMD, SMASH)

#### Conventional observables



#### Strongly depends on initial stage fluctuations and other parameters

#### Analogy with pattern recognition

QCD transition and quark-gluon plasma



#### CAUTION: model (e.g. event generators) dependence in training

# Hydrodynamics: Training dataset

Final Spectra for charged pions at mid-rapidity :  $\rho(p_T, \Phi) \equiv \frac{dN_i}{dY p_T dp_T d\Phi} = g_i \int_{\sigma} p^{\mu} d\sigma_{\mu} f_i$ 

	TRAINING	$\eta/s = 0$		$\eta/s=0.08$	
	DATASET	EOSL	EOSQ	EOSL	EOSQ
RHIC	Au-Au $\sqrt{s_{NN}}=200{\rm GeV}$	7435	5328	500	500
LHC	Pb-Pb $\sqrt{s_{NN}} = 2.76 \mathrm{TeV}$	4967	2828	500	500

#### CLVisc 3+1 D viscous hydrodynamics with AMPT initial conditions

 $\succ au_0$  is 0.4 fm for Au-Au STAR and 0.2 fm for Pb-Pb

T\_freeze-out is 137 MeV

~22000 events, doubled by left-right flipping along \phi, 10% for validation during the training

CLVisc (3+1) D viscous hydro package: L.-G. Pang, Q. Wang, and X.-N. Wang, Phys. Rev. C 86, 024911 (2012)

#### Testing dataset

TESTING DATASET GROUP 1 : iEBE-VISHNU + MC-Glauber									
Centrality:	$\eta/s \in [0, 0.05] \ \eta/s \in (0, 0.05]$		(0.05, 0.10]	$\eta/s =$	(0.10, 0.16]				
10-60%	EOSL	EOSQ	EOSL	EOSQ	EOSL	EOSQ			
Au-Au $\sqrt{s_{\rm NN}}=200~{\rm GeV}$	650	850	900	750	200	950			
Pb-Pb $\sqrt{s_{\rm NN}} = 2.76 {\rm ~TeV}$	500	650	600	644	499	150			
TESTING DATASET GROUP 2 : CLVisc + IP-Glasma									
Au-Au $\sqrt{s_{\rm NN}}=200~{\rm GeV},b\lesssim\!\!8~{\rm fm}$		EOSL		EOSQ					
$\eta/s = 0$	4164			4752					
$\eta/s = 0.08$		1173		864					

- iEBE-VISHNU (C. Shen et al., Comput. Phys. Commun. 199, 61 (2016)):
   (2+1) D viscous hydro package with different initial condition (MC-Glauber)
- $\tau_0 = 0.6 \text{ fm}; \ \eta/s \in [0, 0.16]$
- $T\_$ freeze-out:  $\in$  [115, 142] MeV for iEBE-VISHNU; 137 MeV for CLVisc+IP-Glasma

#### DCNN architecture



# Validation & Testing results

TESTING DATA	GROUP 0	GROUP 1	GROUP 2
Number of events	4000	7343	10953
Accuracy	$99.88 \pm 0.04\%$	$93.46 \pm 1.35\%$	$93.91 \pm 3.92\%$

- on average ~ 95% prediction accuracy → the trained CNN model identifies the type of QCD transition solely(!) from the raw spectra
- The performance is ROBUST against: initial conditions,  $\eta/s$ ,  $\tau_0$ , T\_freeze-out  $\rightarrow$  model independent!

Nature Commun 9, 210 (2018)

# Hybrid model: more realistic circumstances

Couple (2+1) D viscous hydro model (VISHNew) with hadronic cascade model (UrQMD), where probabilistic scatterings and resonance decays are involved.

 $^*$  Smooth sampled distributions from hydrodynamics ightarrow

- Event-by-event spectra, with  $T_{sw} = 137 \text{ MeV}$
- Cascade-coarse-grained spectra, with  $T_{sw} = 137 \text{ MeV}$
- Events-fine-averaged spectra, with  $T_{sw} = 137 \text{ MeV}$
- Event-by-event spectra, with  $T_{sw} > 150~{
  m MeV}$
- Cascade-coarse-grained spectra, with  $T_{sw} > 150 \text{ MeV}$
- Events-fine-averaged spectra, with  $T_{sw} > 150 \text{ MeV}$

# Deeper CNN architecture



There are overall 203194 trainable and 120 non-trainable parameters in our neural network.

#### Training data, with $T_{sw} = 137 \text{ MeV}$

TRAINING DATASET1							
Centrality bin	EOSL	EOSQ					
4%-5%	2539	2540					
14% - 15%	1022	1024					
20%-21%	2814	2816					
30%-31%	2560	2560					
40%-41%	1024	1024					
50%-51%	896	1024					

TABLE I: Training dataset I: numbers of  $\rho(p_T, \Phi)$  generated by the iEBE-VISHNU package with the Glauber initial conditions in the centrality range 0-60%. Ratio of shear viscosity to entropy enosity  $\eta'_{SNN} = 0.08$ , equilibration time  $\tau_0 = 0.5$  fm/c. The freeze-out temperature is set to be 137 MeV. Pb-Pb  $\sqrt{S_{NN}} = 2.76$  TeV.

TRAINING DATASET2							
Centrality bin	EOSL	EOSQ					
0%-1%	979	1024					
10%-11%	2560	2560					
20%-21%	1024	1024					
30%-31%	1024	1024					
40%-41%	2560	2560					
50%-51%	2816	2816					

TABLE II: Training dataset 2: numbers of  $\rho(p_T, \Phi)$  generated by the iEBE-VISINU package with the Glauber initial conditions in the centrality range 0-60%. Ratio of shear viscosity to entropy density  $\eta/s = 0.00$ , equilibration time  $\tau_0 = 0.4$  fm/c. The freeze-out temperature is set to be 137 MeV. Au-Au  $\sqrt{S_{NN}} = 200$  GeV.

#### Event-by-event $p_T$ spectra and elliptic flow $v_2$



These events are generated in different centrality bins with  $T_{sw} = 137$  MeV in two collision systems.

#### 30-events-fine-averaged $p_T$ spectra and elliptic flow $v_2$



These events are generated in different centrality bins with  $T_{sw} = 137$  MeV in two collision systems.

#### Prediction accuracy and loss



A clear hierarchy is observed in the prediction accuracy when using three different spectra as input for the network, which are around 80%, 90% and 99%, respectively.

#### Testing results, with event-fine-averaged spectra

PREDICTIVE ACCURACY FOR TESTING DATASETS 1									
Centrality bin	$\sqrt{s_{NN}}$ [TeV]	Ini. Cond.	$\tau_0  (\text{fm/c})$	$\eta/s$	$T_{sw}$	L-EOS	Q-EOS	Accuracy	
15% - 16%	Au+Au 0.2	MC-G	0.4	0.00	141 MeV	512	512	89.1%	
15%-16%	Au+Au 0.2	MC-G	0.4	0.00	140  MeV	2560	2560	95.6%	
45%-46%	Au+Au 0.2	MC-G	0.6	0.12	130  MeV	1024	1024	100%	
7%-8%	Pb+Pb 2.76	MC-G	0.6	0.12	130  MeV	1280	1279	99.8%	
17%-18%	Pb+Pb 2.76	MC-G	0.6	0.12	130  MeV	2560	2560	98.1%	
25%-26%	Pb+Pb 2.76	MC-G	0.6	0.12	130  MeV	2560	2560	97.4%	
25%-26%	Pb+Pb 2.76	MC-G	0.6	0.16	130  MeV	1024	1024	97.8%	

Table B.4: Predictive accuracy on the testing datasets 1: 30-events-fine-averaged spectra  $\rho_a(p_T, \Phi)$  generated with MC-Glauber initial conditions and different  $\sqrt{s_{NN}}$ ,  $\eta/s$ ,  $\tau_0$ , and  $T_{sw}$  in the centrality range 0-50%.

PREDICTIVE ACCURACY FOR TESTING DATASETS 2									
Centrality bin	$\sqrt{s_{NN}}$ [TeV]	Ini. Cond.	$\tau_0  (\text{fm/c})$	$\eta/s$	$T_{sw}$	L-EOS	Q-EOS	Accuracy	
15%-16%	Au+Au 0.2	MCKLN	0.6	0.12	137  MeV	512	256	98.6%	
35%-36%	Au+Au 0.2	MCKLN	0.6	0.12	142  MeV	896	896	99.4%	
10%-11%	Pb+Pb 2.76	MCKLN	0.6	0.12	142  MeV	150	150	100%	
25%-26%	Pb+Pb 2.76	MCKLN	0.6	0.12	137  MeV	256	256	84.4%	

Table B.5: Predictive accuracy on the testing datasets 2: 30-events-fine-averaged spectra  $\rho_a(p_T, \Phi)$  generated with MCKLN initial conditions and the different  $\sqrt{s_{NN}}$ ,  $\eta/s$ ,  $\tau_0$ , and  $T_{sw}$  in the centrality range 0-40%.

The validation and testing accuracy can be achieved up to 99% and 96%, respectively, on average, which are robust against different initial condition,  $\eta/s$ ,  $\tau_0$ ,  $T_{fo}$ ,  $\sqrt{S_{NN}}$ .

#### Overall results



#### Summary

- The predictive power of CNN by training event-by-event spectra decreases down to 80% (compared with pure hydro case 99%), due to stochastic particlization, hadronic cascade and resonance decays.
- The predictive power of CNN by training cascade-coarse-grained or events-fine-averaged spectra improves profoundly with good generalizability.
- With freeze-out T increased, more hadronic cascade are involved, the predictive power of CNN by training event-by-event and cascade-coarse-grained decrease slightly.
- Existence of an "EoS-meter" on identifying the QCD phase transition from the final spectrum  $\rho(p_T, \phi)$  even though probabilistic hadronic rescatterings and resonance decays are included.

# Outlook

- Verify model dependence!
- Extraction of transport coefficient  $\eta/s$
- Classification of initial conditions

Thank you for your attention!

#### All-events-fine-averaged $p_T$ spectra and elliptic flow $v_2$



These events are generated in centrality bin 14-15% with  $T_{sw} = 137$  MeV in one collision system.

#### All-events-fine-averaged $p_T$ spectra and elliptic flow $v_2$



These events are generated in different centrality bins with  $T_{sw} = 137$  MeV in two collision systems.

#### Derivatives of all-events-fine-averaged $p_T$ spectra



These events are generated in different centrality bins with  $T_{sw}=137\ {\rm MeV}$  in two collision systems.

# What's deep learning?

#### **Artificial Intelligence (AI)**

#### Machine Learning (ML)

- PCA, kNN, k-means
- SVM

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- Bayesian analysis
- Decision Tree
- Random Forest
- Neural Network
- Ensemble method

#### Deep Learning (DL)

Learning multiple levels of representations using hierarchical or recurrent structures

Big Data
 GPU parallel
 New architecture

#### 2006 Geoffrey Hinton

#### "hello world" example of deep neural network





$$z_j = \sum_{i=1}^N x_i w_{ij} + b_j$$

Linear operations:rotating, boosting,... increasing or decreasing dimensions

$$l(\theta) = \sum_{i} (\hat{y}_i - y_i)^2$$

Mean square error (simplest loss function) with  $\hat{y}_i$  the predicted value and  $y_i$  the true value



$$h_j = \sigma(z_j)$$

Nonlinear activation function: correlation/links

$$heta'= heta-\epsilonrac{\partial l( heta)}{\partial heta}$$

SGD for parameter update to minimize loss function \$24/27\$

# Overfitting problem



# of parameters or training time

Too many parameters may easily overfit training dataset

#### Convolution neural network



Advantage: scaling, rotating, translation invariant features can be learned since only subregion is connected to the filter/kernel which scan the whole input to feel the 2D/3D structure and local statistics.

## CNN vs FCN in image recognition

- Local features are broken in flattened representation
- 2D/3D filters with certain weights scan the whole picture to capture different features in neighborhood: edges, specific color, blurring noise...
- Convolutional and Pooling layer: reduce No. of dimension and parameters
- The deeper layer goes, the more abstract features can be learned
- FCN: converted to class of input data and improve the generalizablity "firewall"