

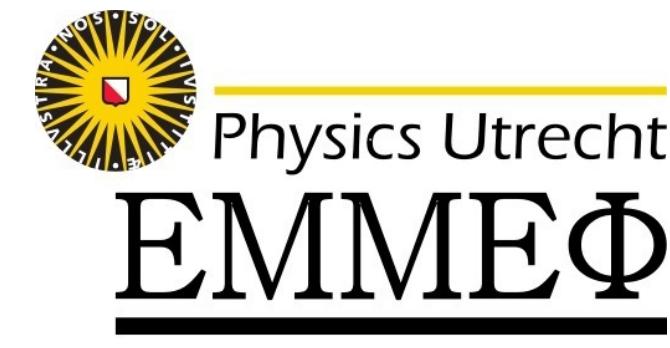
# High-density QCD: Exploring high-density effects in pp and p-Pb collisions

*Marco van Leeuwen  
Nikhef, Utrecht University*

Disclaimers/apologies:

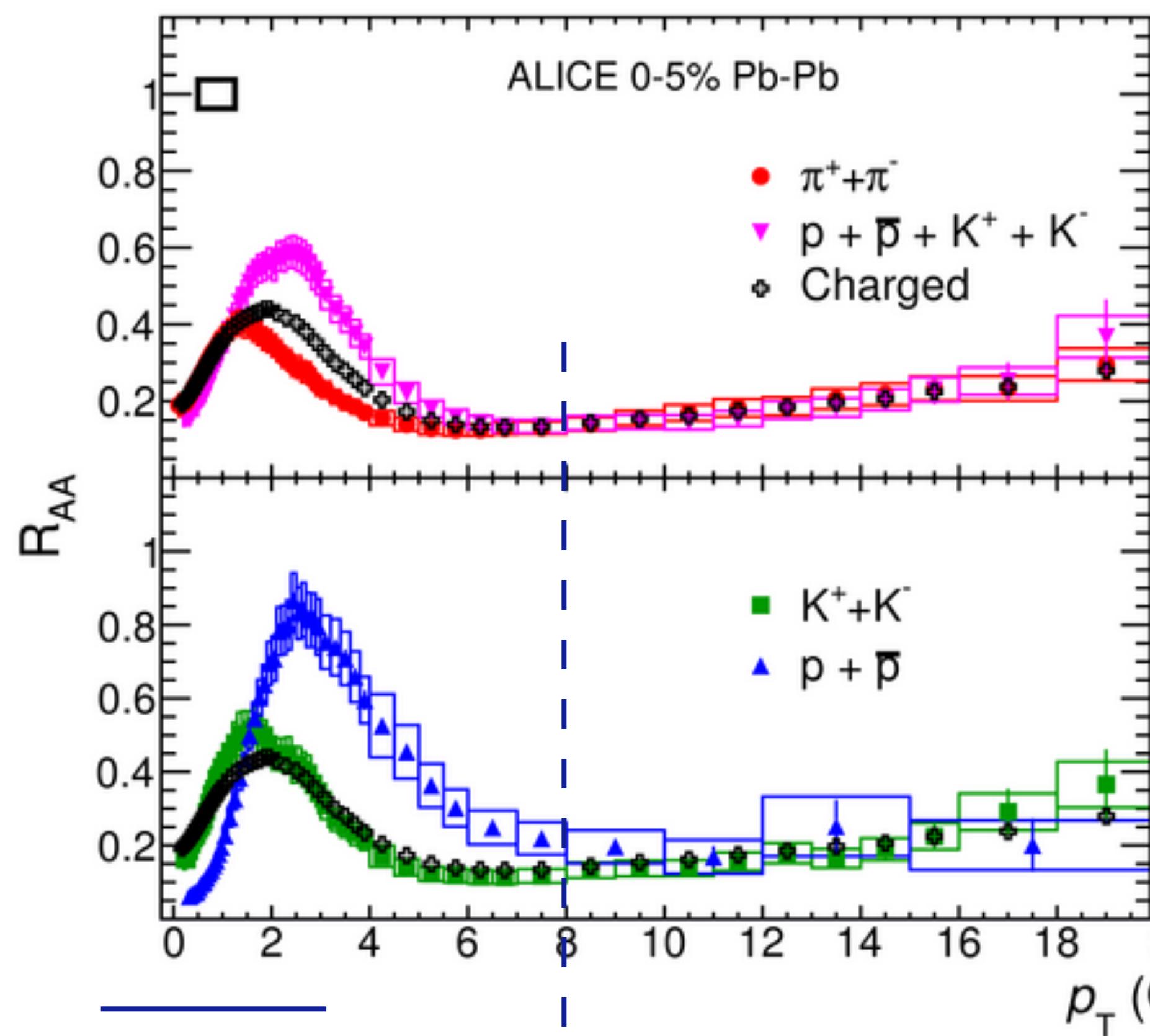
- Focus on highlighting important concepts; not on showing the latest results
- Results shown are biased towards ALICE for practical reasons

*CERN-Fermilab Hadron Collider Physics Summer School  
28 Aug - 6 Sep 2019*



# Single particle $R_{AA}$ revisited: particle type dependence

ALICE, arXiv:1506.07287



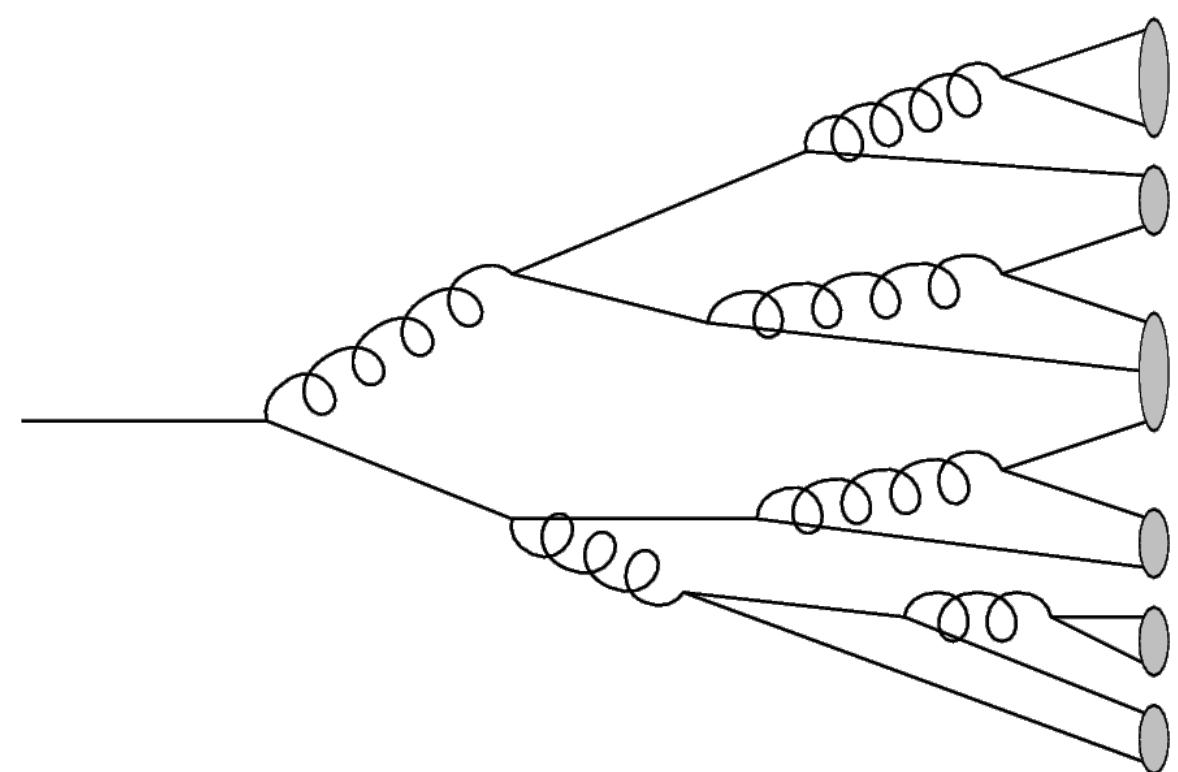
Low  $p_T$ : increase of baryon production  
Mass dependence of radial flow

$p_T > 8$  GeV: baryon, meson  $R_{AA}$  similar  
as expected from parton energy loss

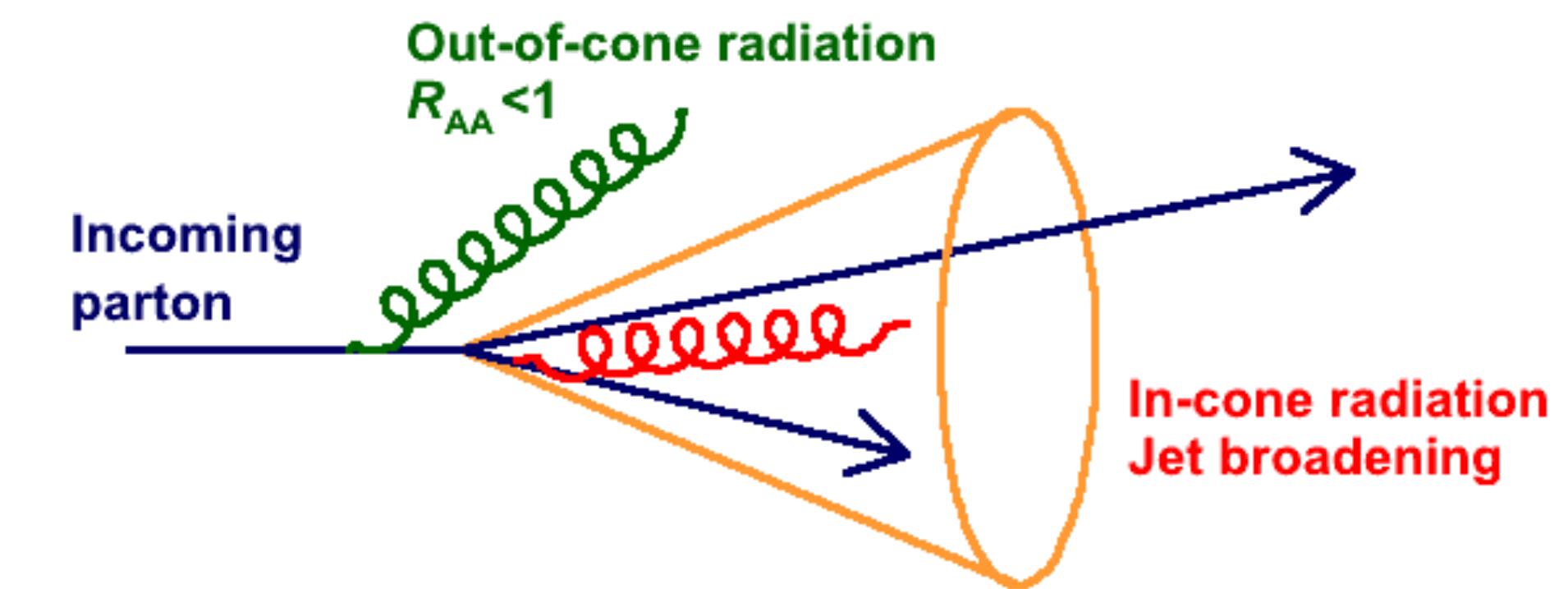
# Jets and parton energy loss

Two new aspects to pursue

Jets: parton showers  
+ hadronisation



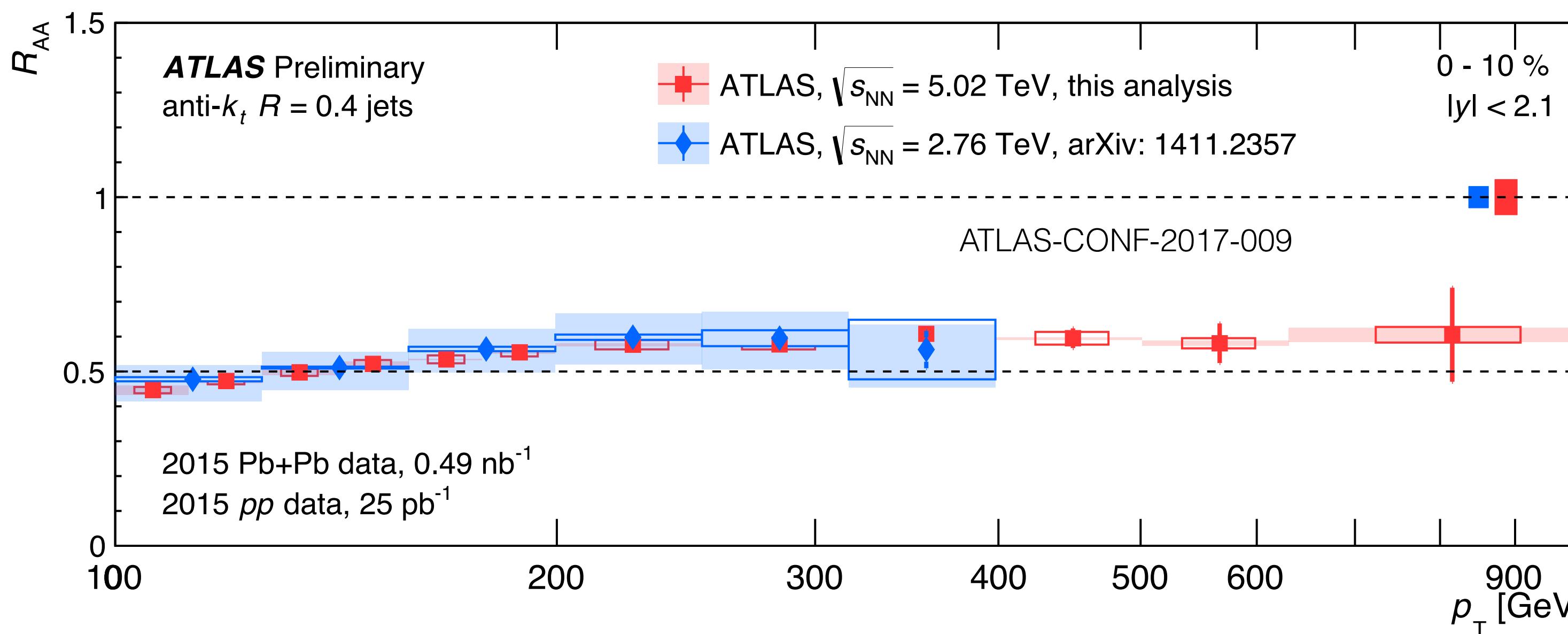
Explore energy loss of multi-parton states:  
Interference effects, distance dependence?



Angular distribution of photon radiation:

- 1) In-cone radiation:  $R_{AA} = 1$ , change of fragmentation
- 2) Out-of-cone radiation:  $R_{AA} < 1$

# Nuclear modification factor for jets



$R_{AA} < 1$  out to  
high  $p_T \approx 800$  GeV

No strong  $p_T$ -dependence: suggests increase of  $\Delta E$  vs  $E$

Note: 10% energy loss for a 800 GeV jet is 80 GeV !

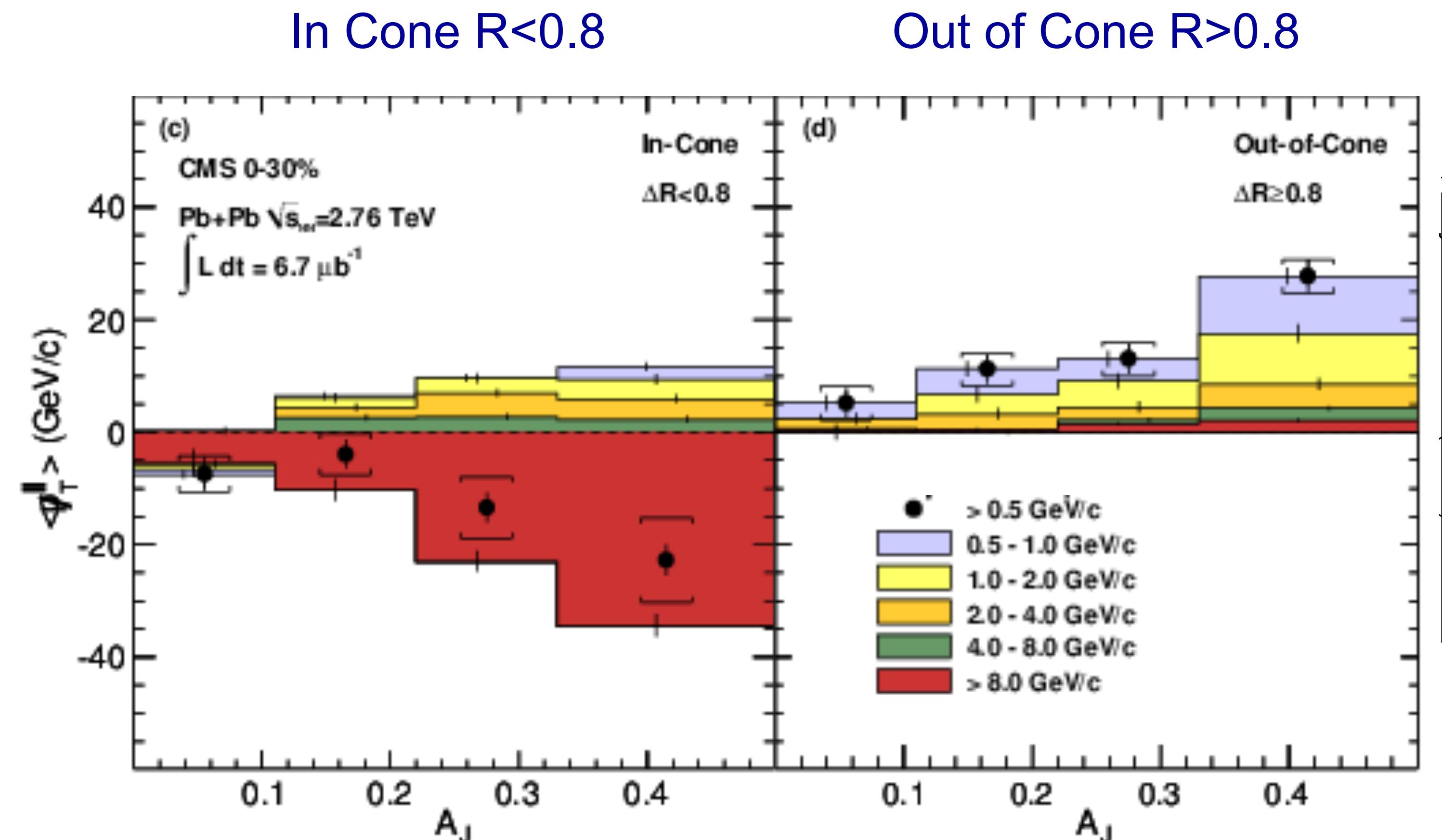
# Where is the ‘lost energy’: Looking outside the jet cone

Momentum balance variable:

$$p_{T,miss}^{\parallel} = \sum_{tracks} p_T \cos(\varphi - \varphi_{jet})$$

Momentum imbalance restored by hadrons at:

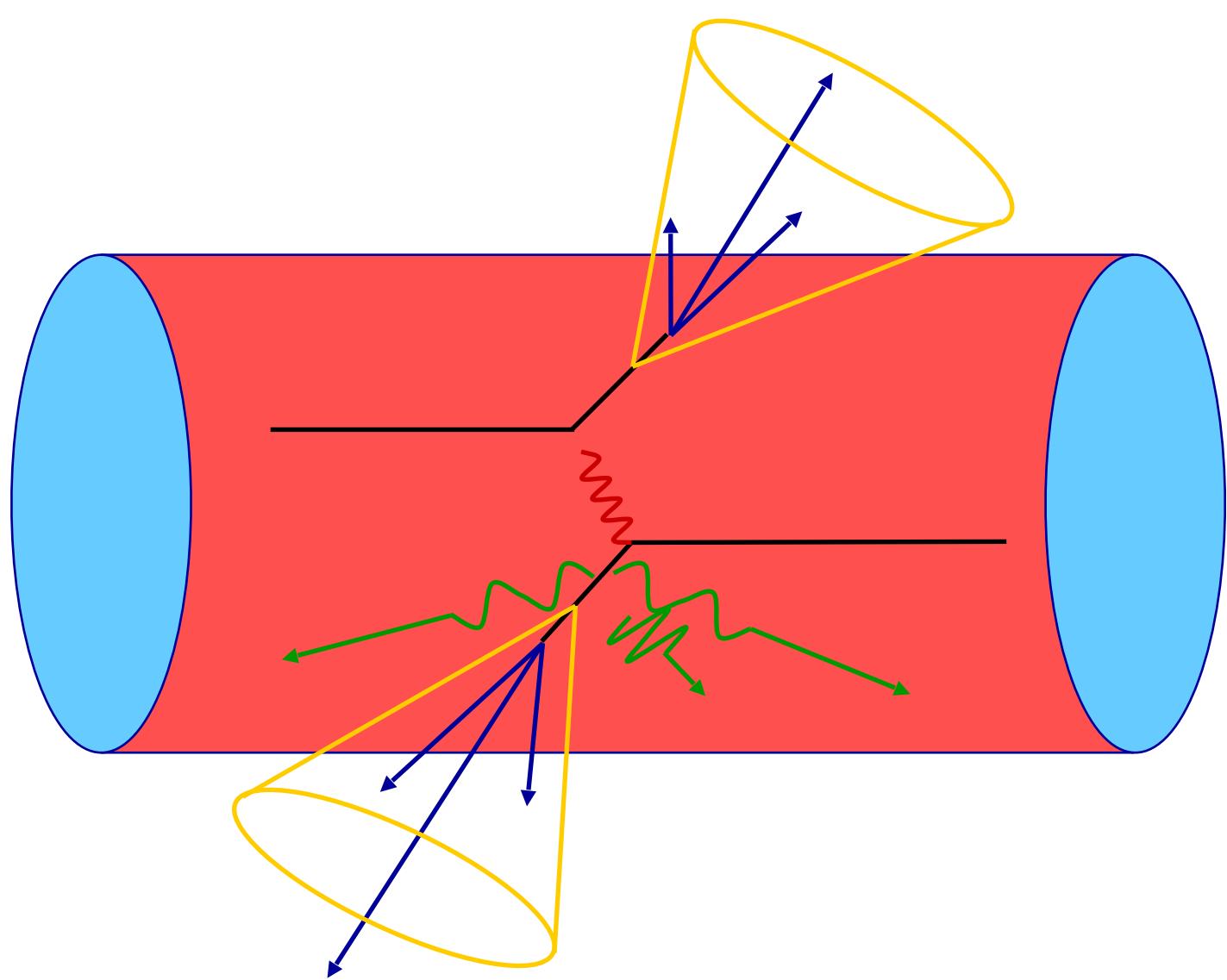
- large angle  $R > 0.8$
- small  $p_T < 2 \text{ GeV}/c$



Jet energy loss is a dramatic effect, not a minor reshuffling of particles

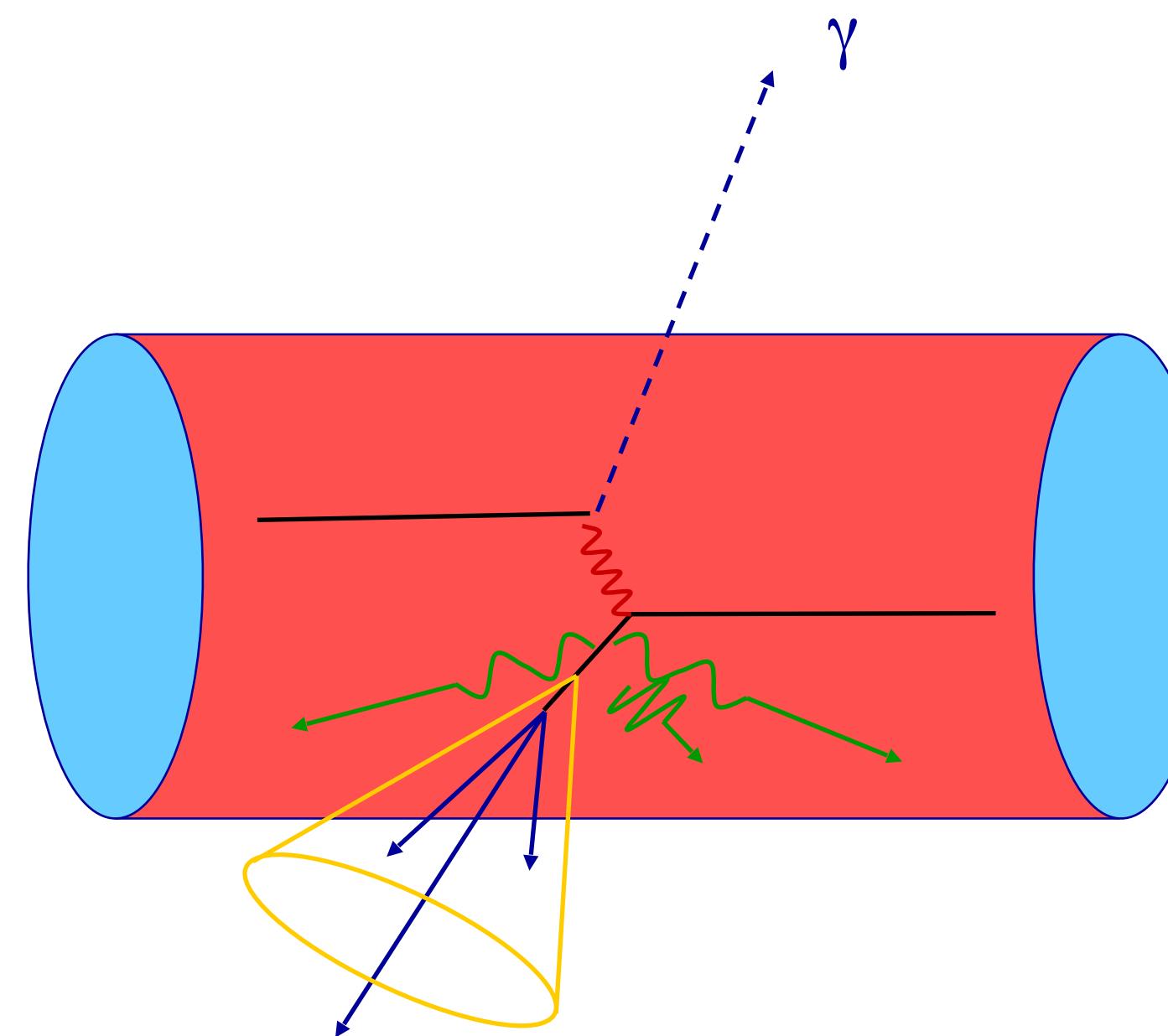
# Gamma-jet vs jet-jet

Di-jet



Both jets can lose energy  
Initial kinematics not well controlled  
Asymmetry due to energy loss differences

$\gamma$ -jet

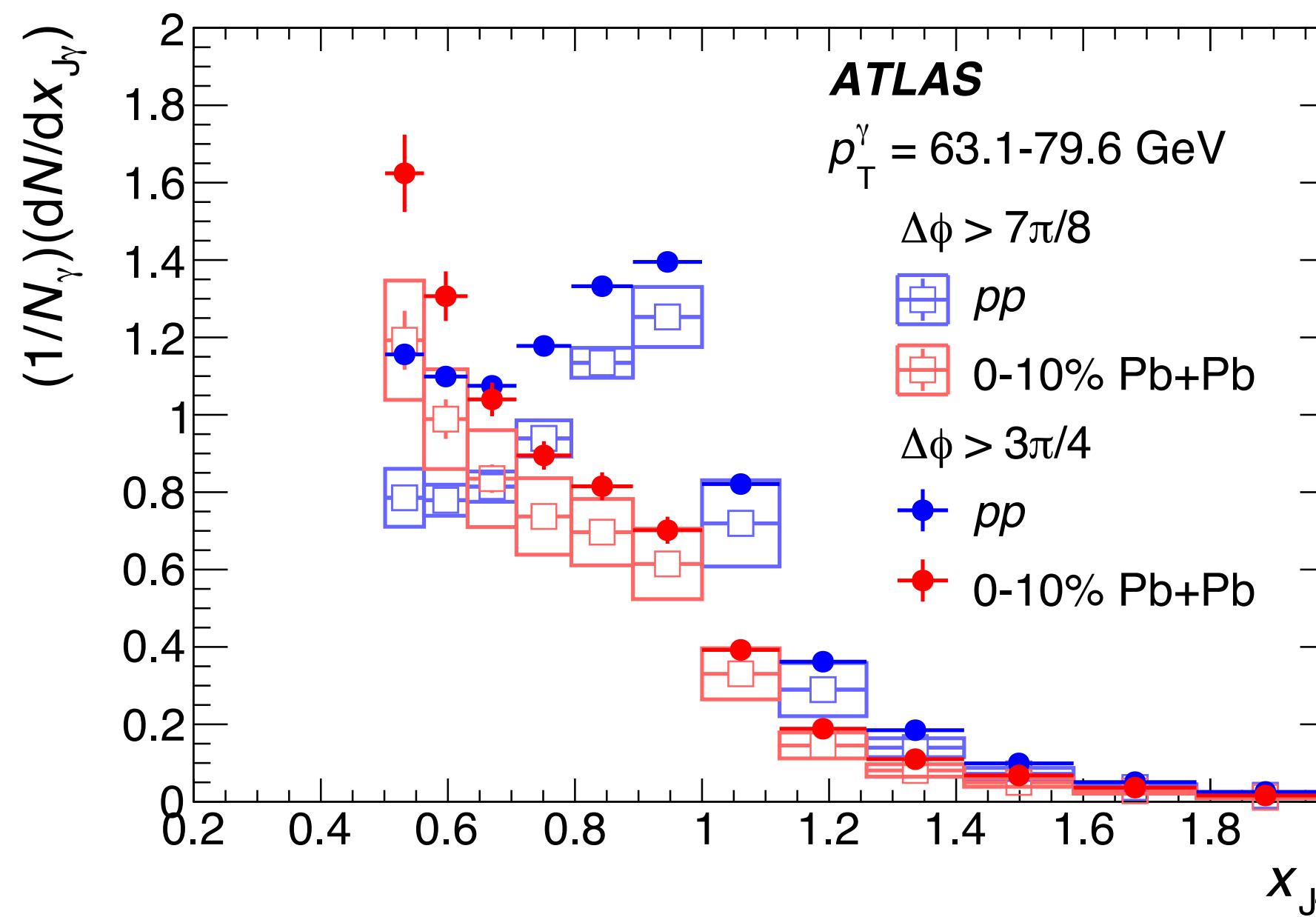


Photon does not lose energy  
Clean selection of initial  $p_T$   
(same can be done with Z-jet)

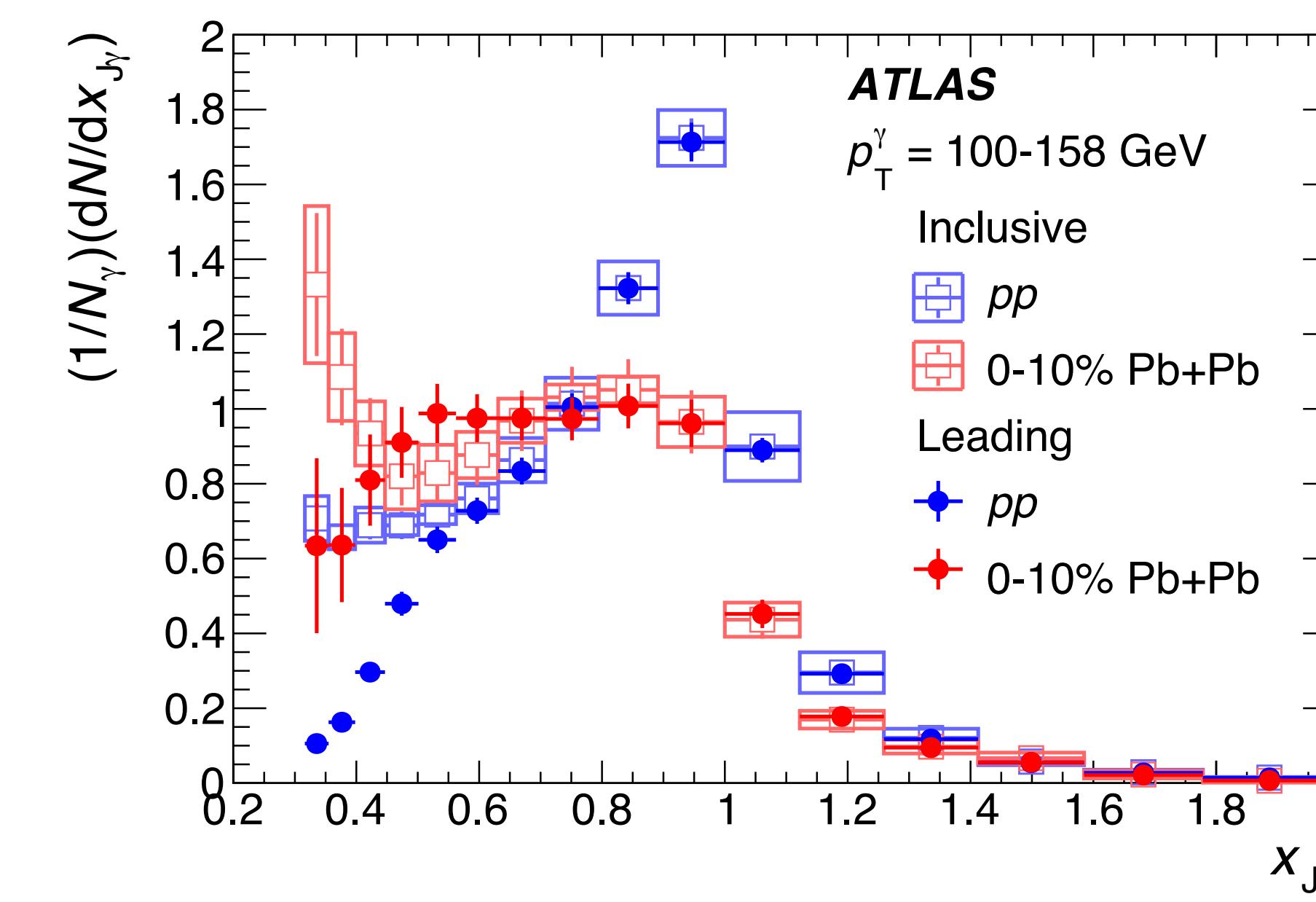
# Gamma-jet momentum balance

ATLAS, Phys.Lett. B789 (2019) 167-190

60 GeV trigger photon



100 GeV trigger photon



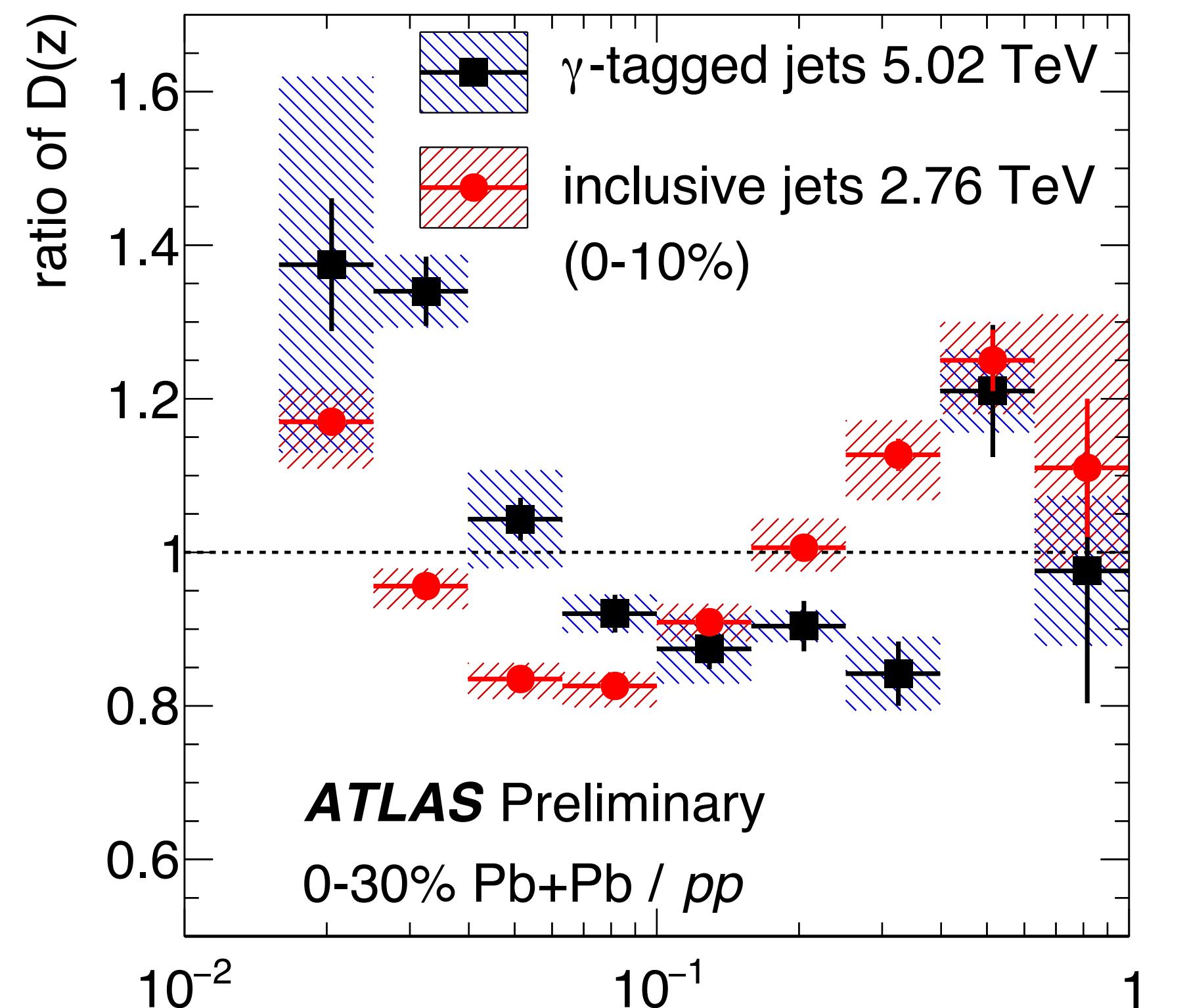
(peak at low  $x_{J\gamma}$   
from additional jets)

Also allows to explore energy dependence of lost energy

# Looking inside jets: recoil fragment distributions

CMS, arXiv:1801.04895

Recoil fragment distributions:  $\gamma$ -jet and di-jet



ATLAS-CONF-2017-074

Low- $z$ : enhancement  
of soft fragments

High- $z$ :  
di-jets: increase of hard fragments  
 $\gamma$ -jet: suppression of hard fragments

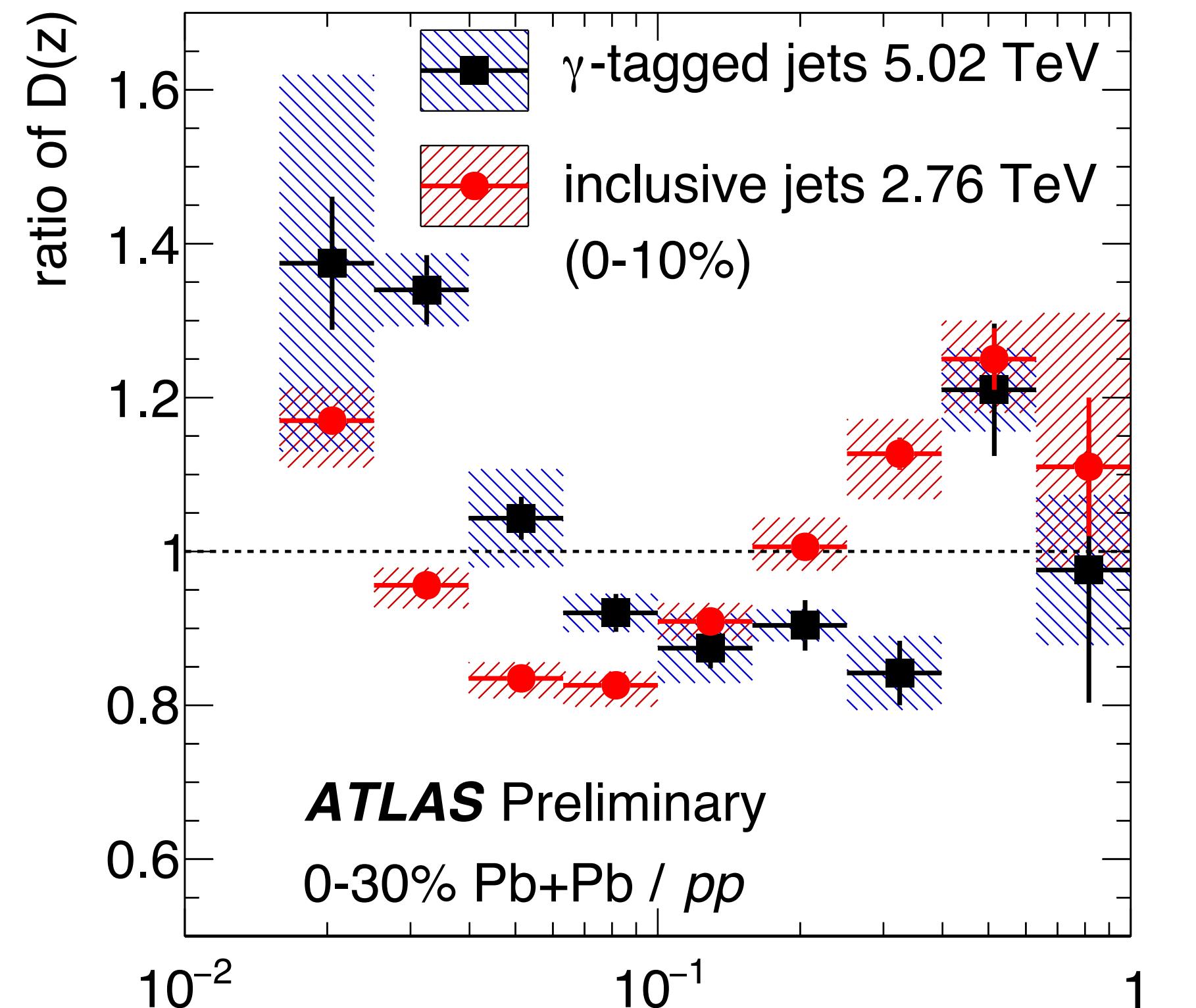
Different energy loss bias; selection quark vs gluon jets

# Looking inside jets: recoil fragment distributions

CMS, arXiv:1801.04895

Recoil fragment distributions:  $\gamma$ -jet and di-jet

$\gamma$ -jet,  $p_{T\gamma} > 60$  GeV



Low- $z$ : enhancement  
of soft fragments

High- $z$ :  
di-jets: increase of hard fragments  
 $\gamma$ -jet: suppression of hard fragments

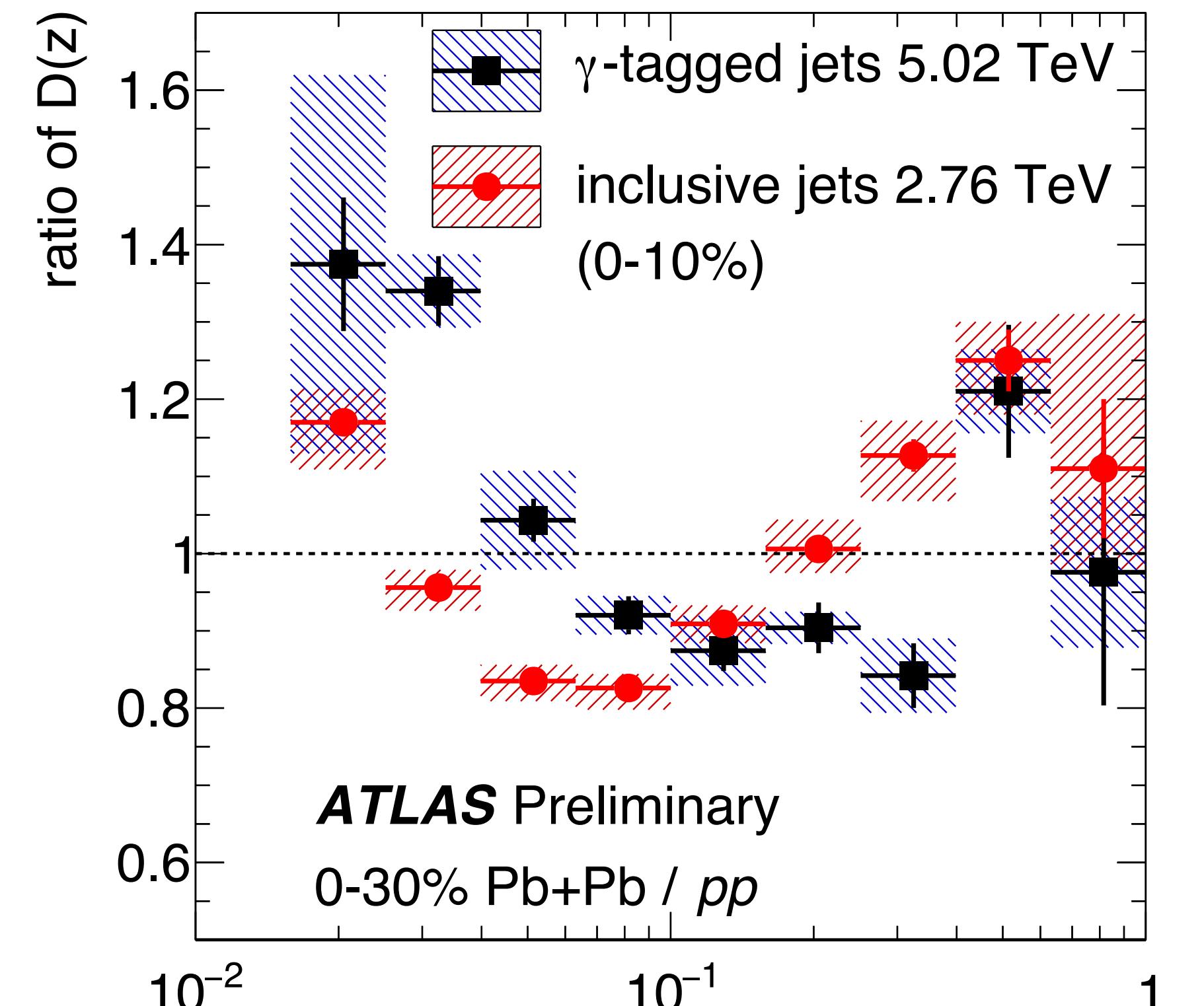
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ATLAS-CONF-2017-074

# Looking inside jets: recoil fragment distributions

CMS, arXiv:1801.04895

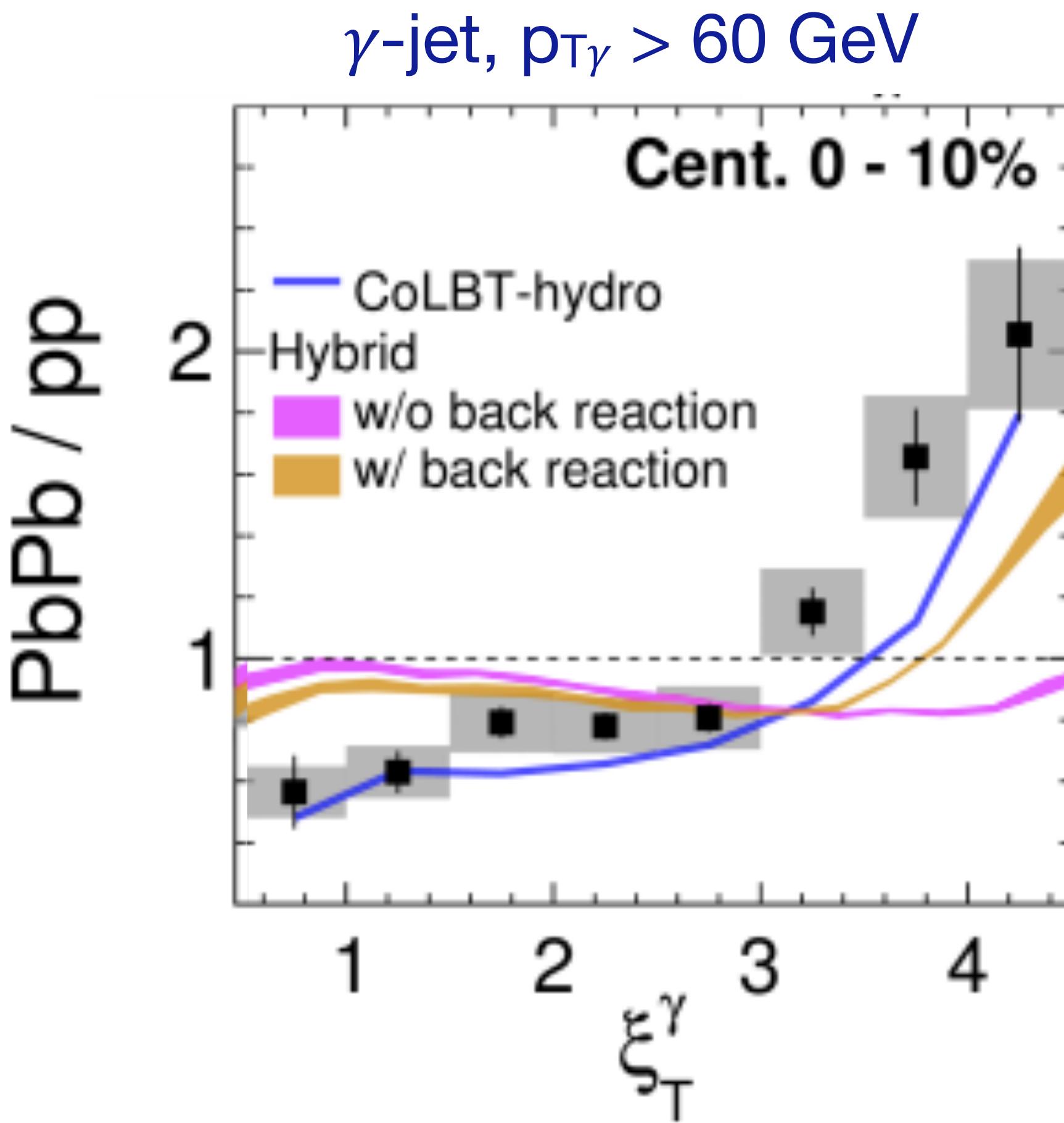
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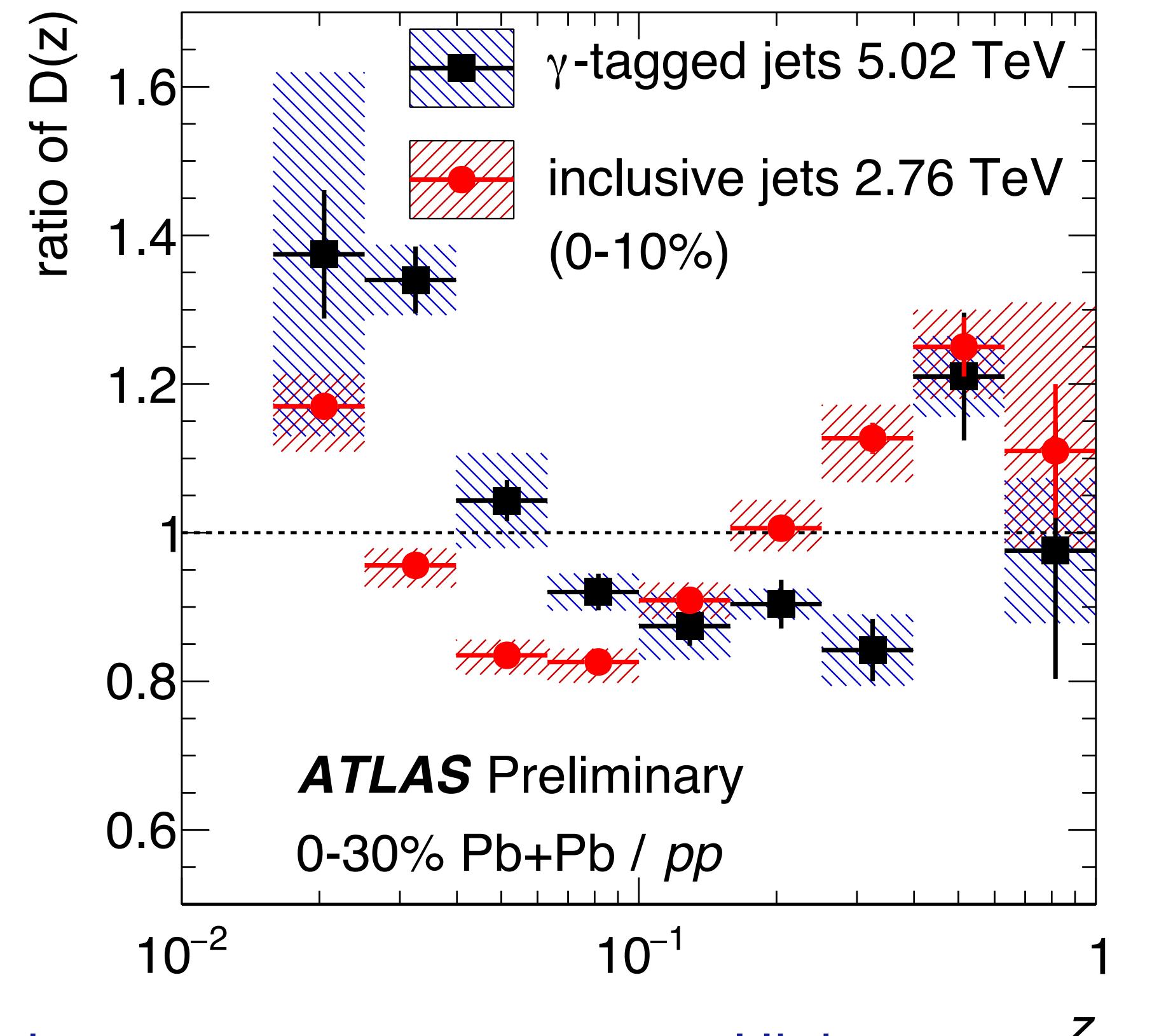
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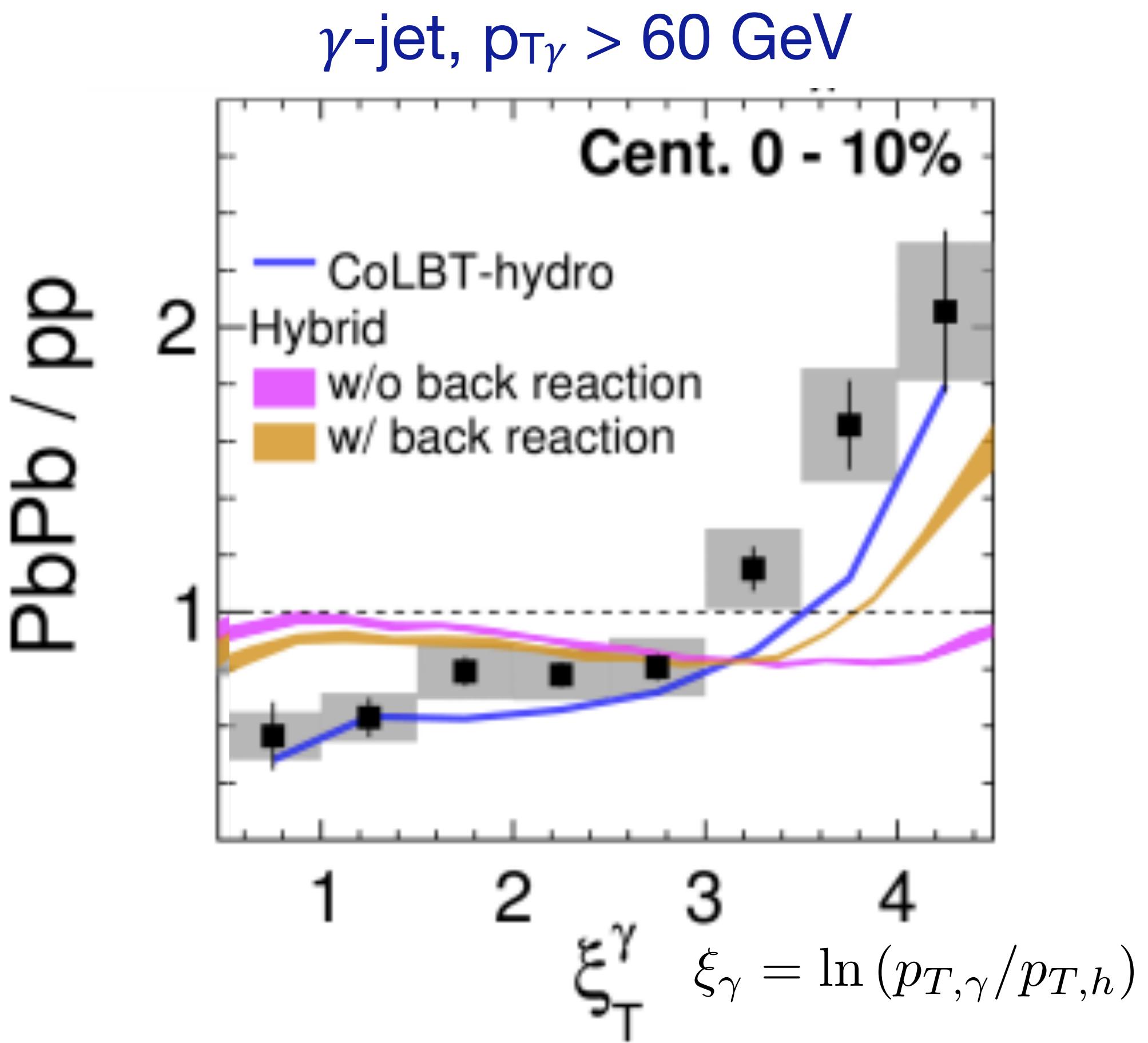


Low-z: enhancement  
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Different energy loss bias; selection quark vs gluon jets

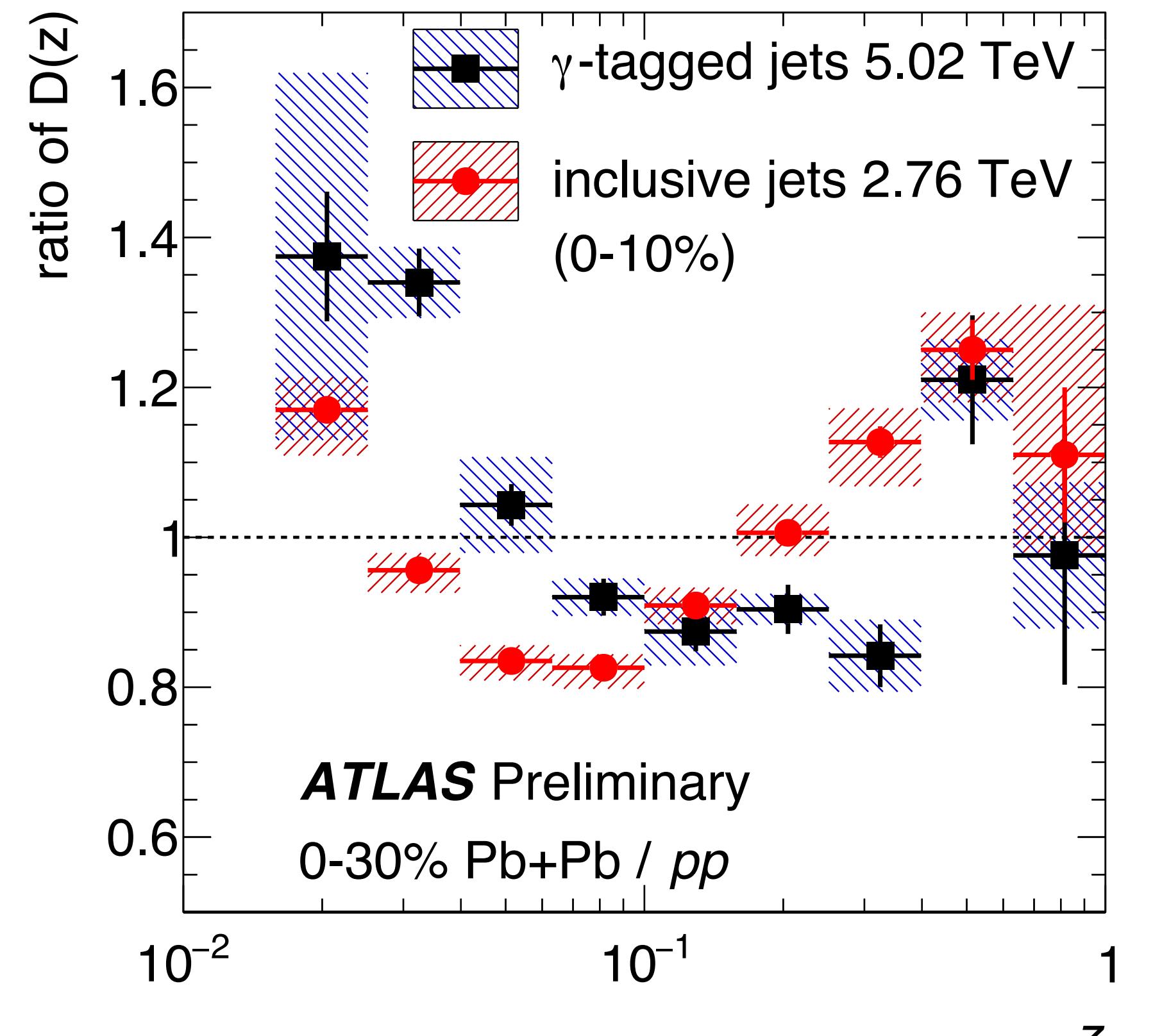
ATLAS-CONF-2017-074



# Looking inside jets: recoil fragment distributions

CMS, arXiv:1801.04895

Recoil fragment distributions:  $\gamma$ -jet and di-jet

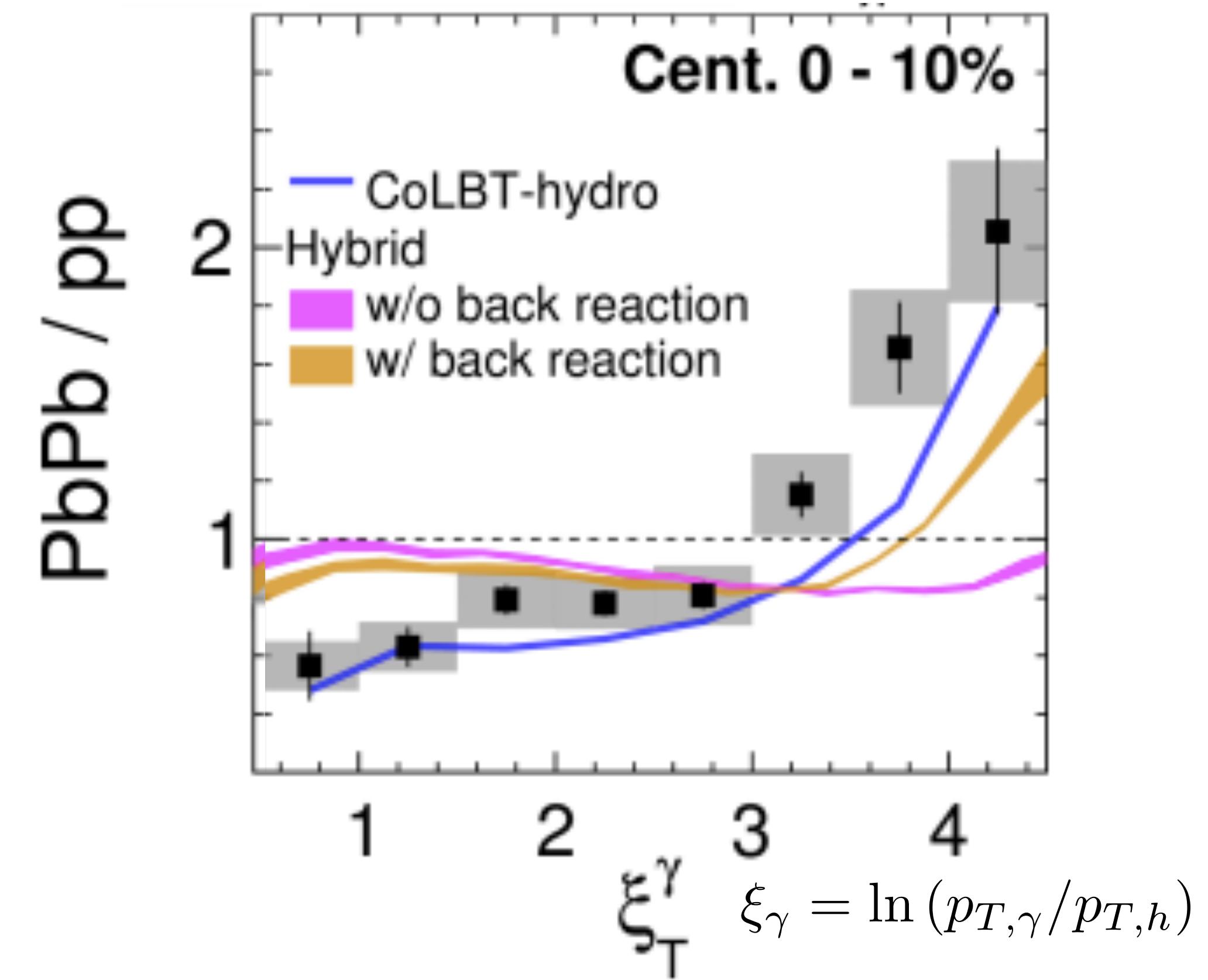


Low-z: enhancement  
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High-z:  
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 $\gamma$ -jet: suppression of hard fragments

Different energy loss bias; selection quark vs gluon jets

$\gamma$ -jet,  $p_{T,\gamma} > 60$  GeV

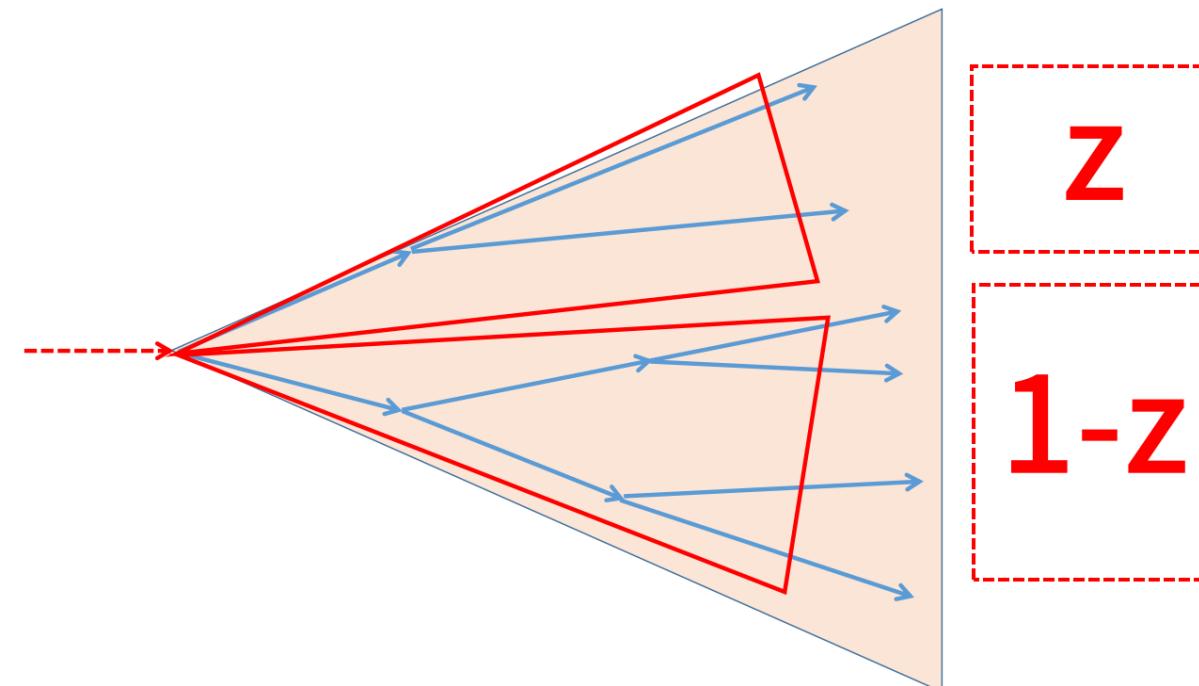


Models capture trends when  
soft fragments are included

# Jet substructure: Exploring the parton shower

ALICE, arXiv:1905.02512

Jet structure studied by declustering:



Momentum fraction

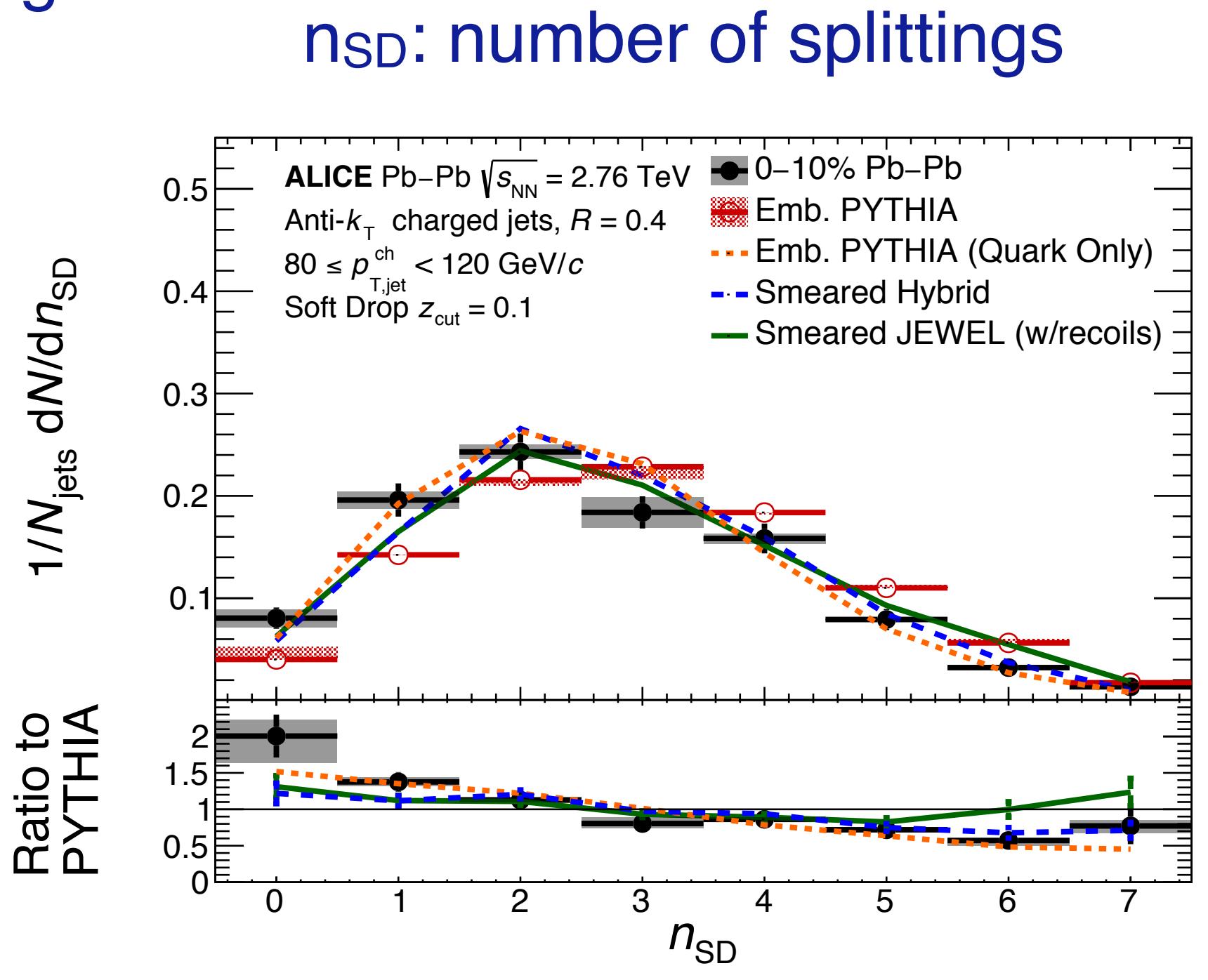
$$z = \frac{\min(p_{T,1}, p_{T,2})}{p_{T,1} + p_{T,2}}$$

$z > z_{\text{cut}}$

Larkoski et al, PRD 91, 111501

Re-wind clustering;

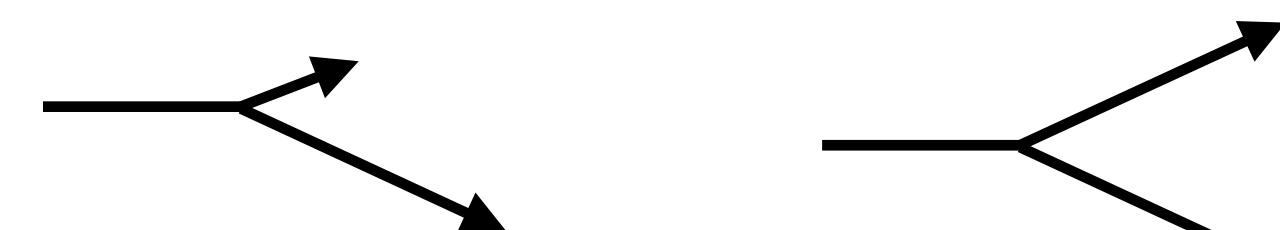
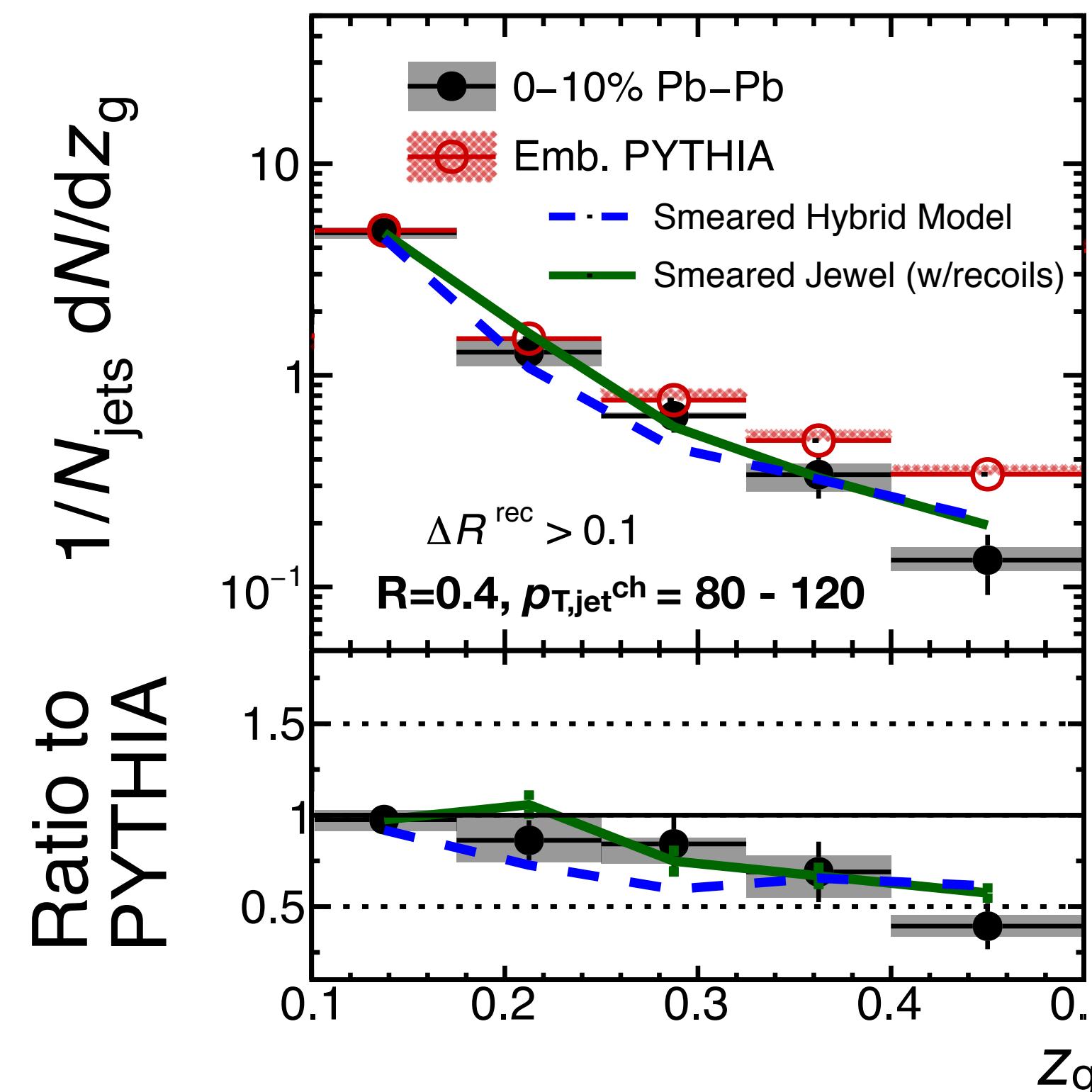
- remove soft splittings ‘grooming’
- select (semi-)hard splittings



$n_{\text{SD}}$  similar in pp and PbPb

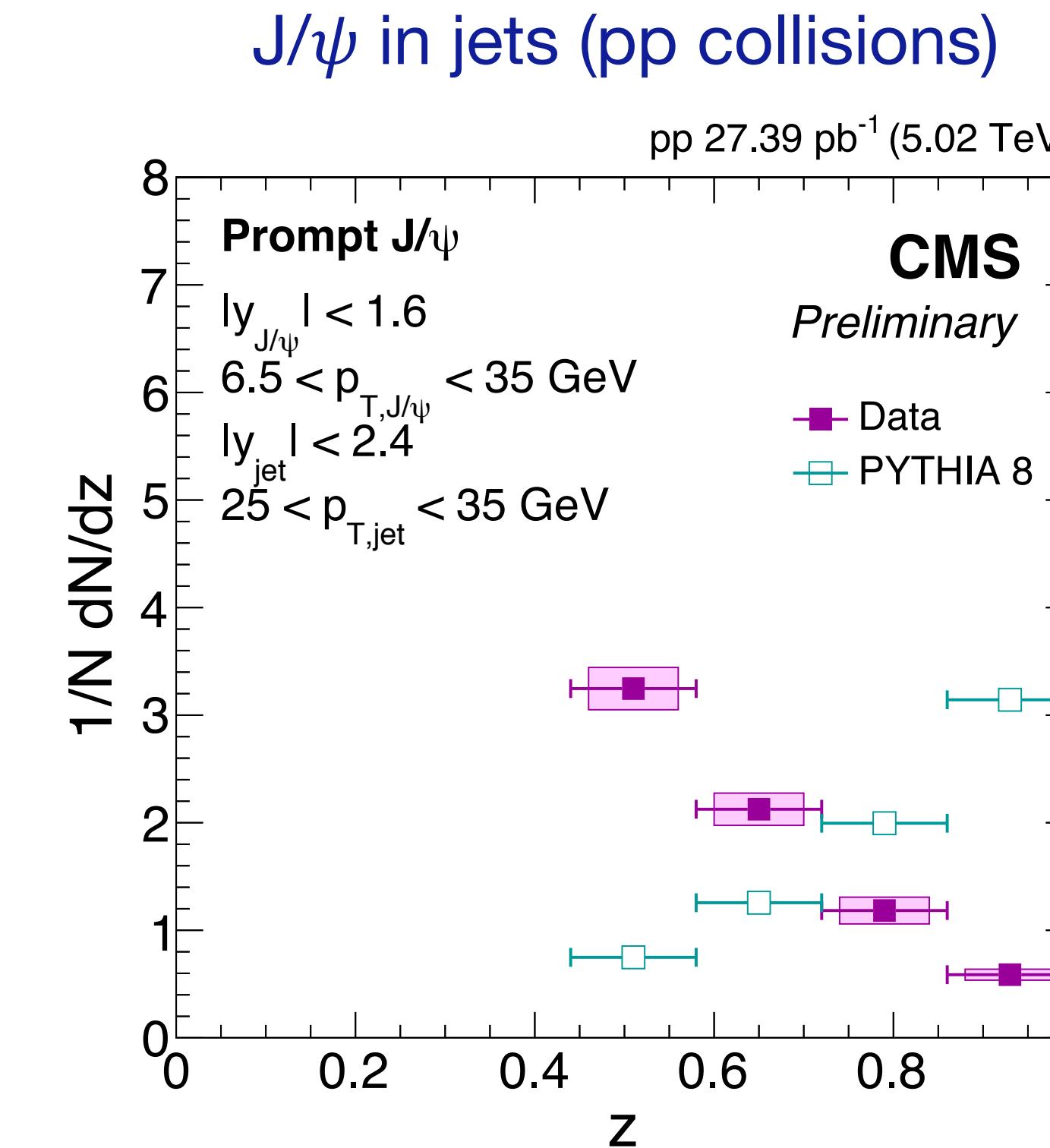
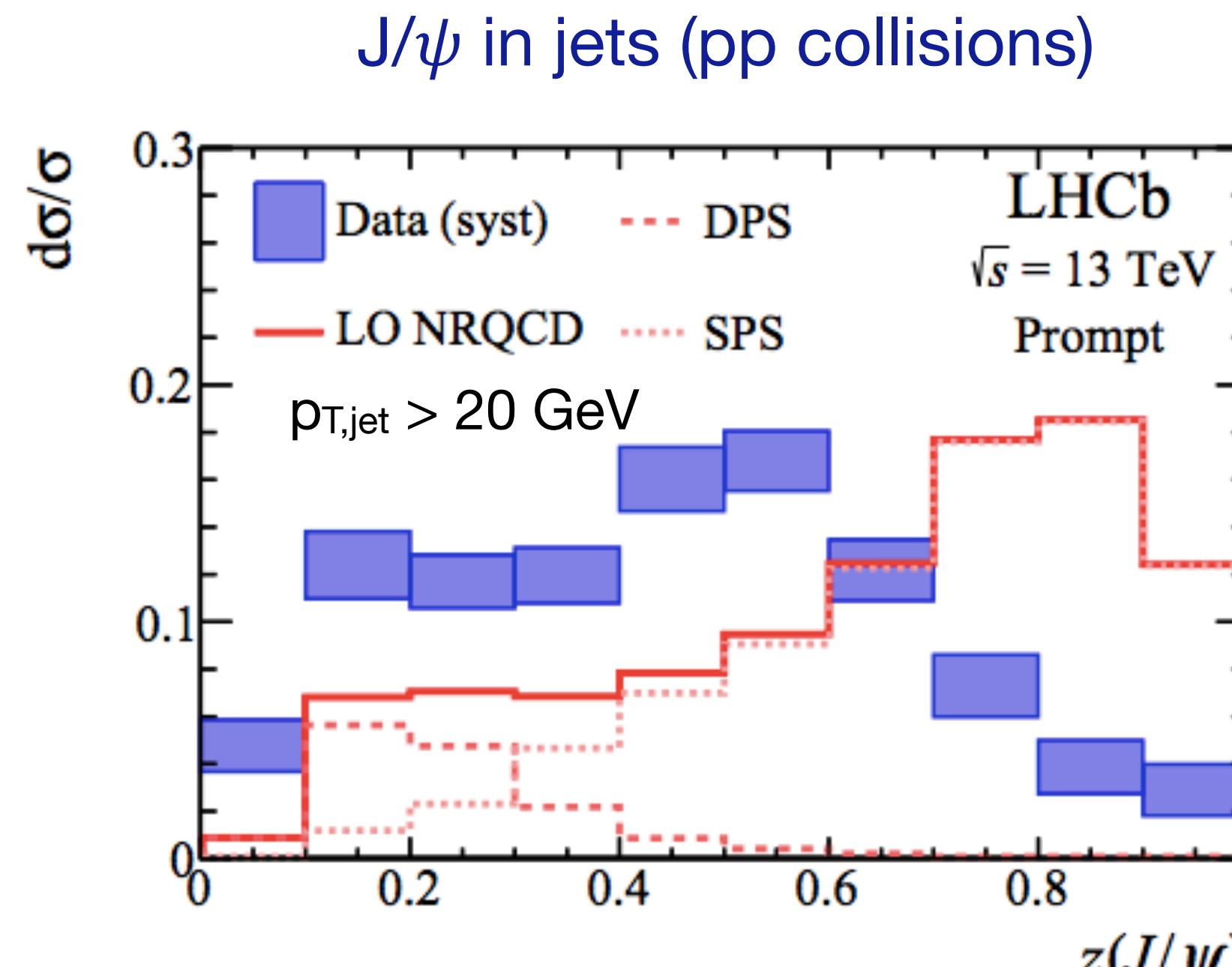
No extra splittings visible

Softdrop momentum fraction



Symmetric splittings reduced:  
Formation time effect?

# Production mechanism: Heavy flavour in jets



Initial expectation: color-singlet J/ $\psi$  could be produced without accompanying fragments  
New insight: high- $p_T$  J/ $\psi$  produced in jets

Similar studies ongoing with open heavy flavour

# **Small systems: pp and p-Pb**

Exploring the limits of fluid/collective behaviour

# Multiplicity production in pp

Multiplicity distribution is very broad:

- Average multiplicity small: 5-10 particles at mid rap
- Some events have  $> 100$  particles

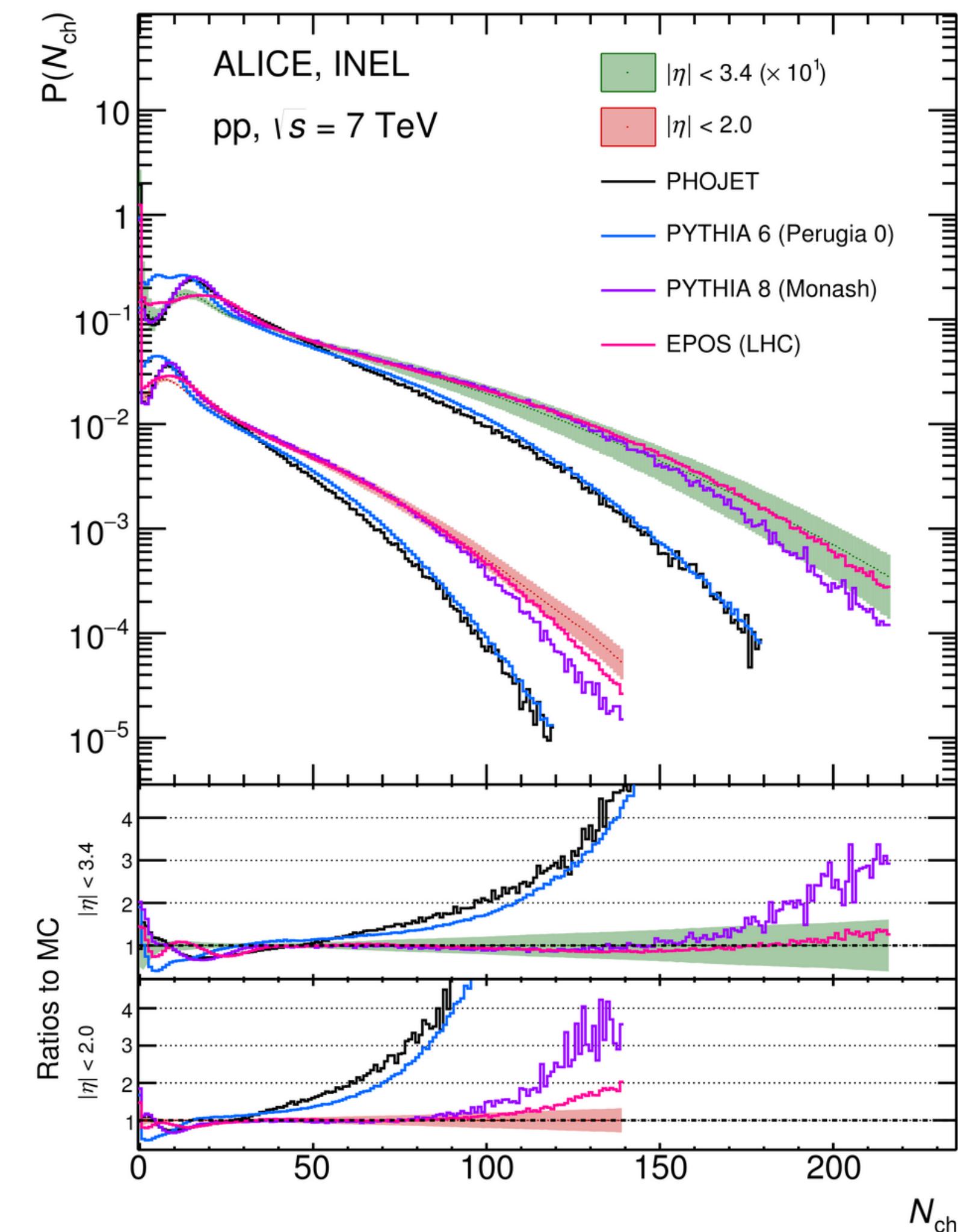
**Very large densities also in pp!**

What is the mechanism?

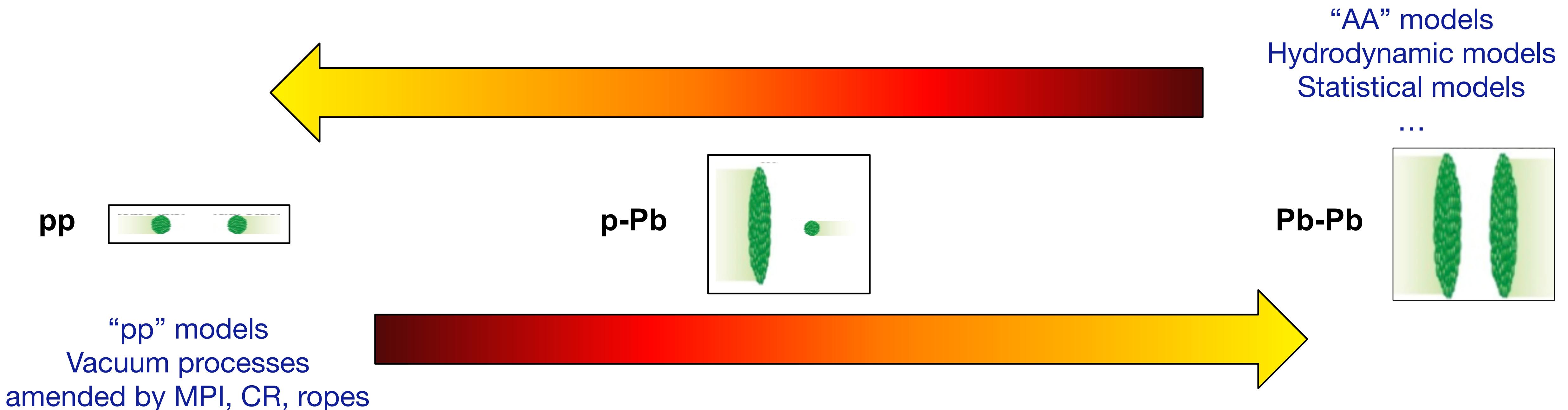
Single hard scattering + underlying event?

Multiple parton interactions?

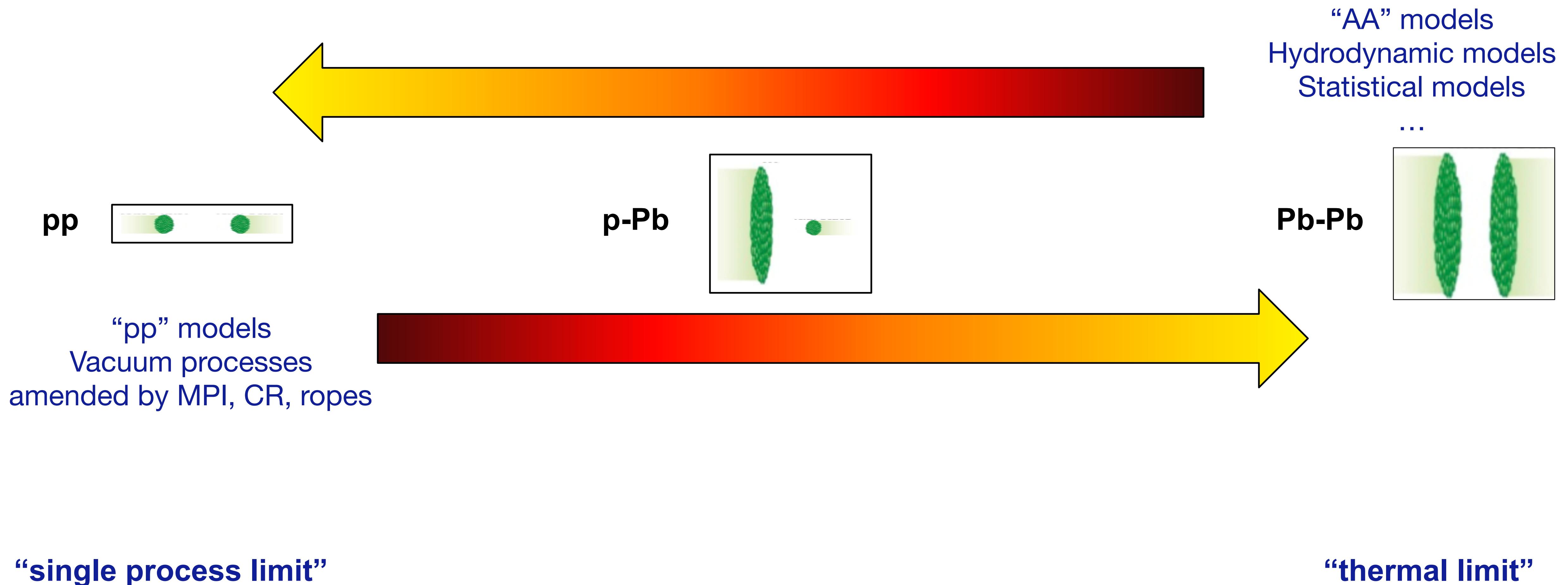
Underlying even fluctuations?



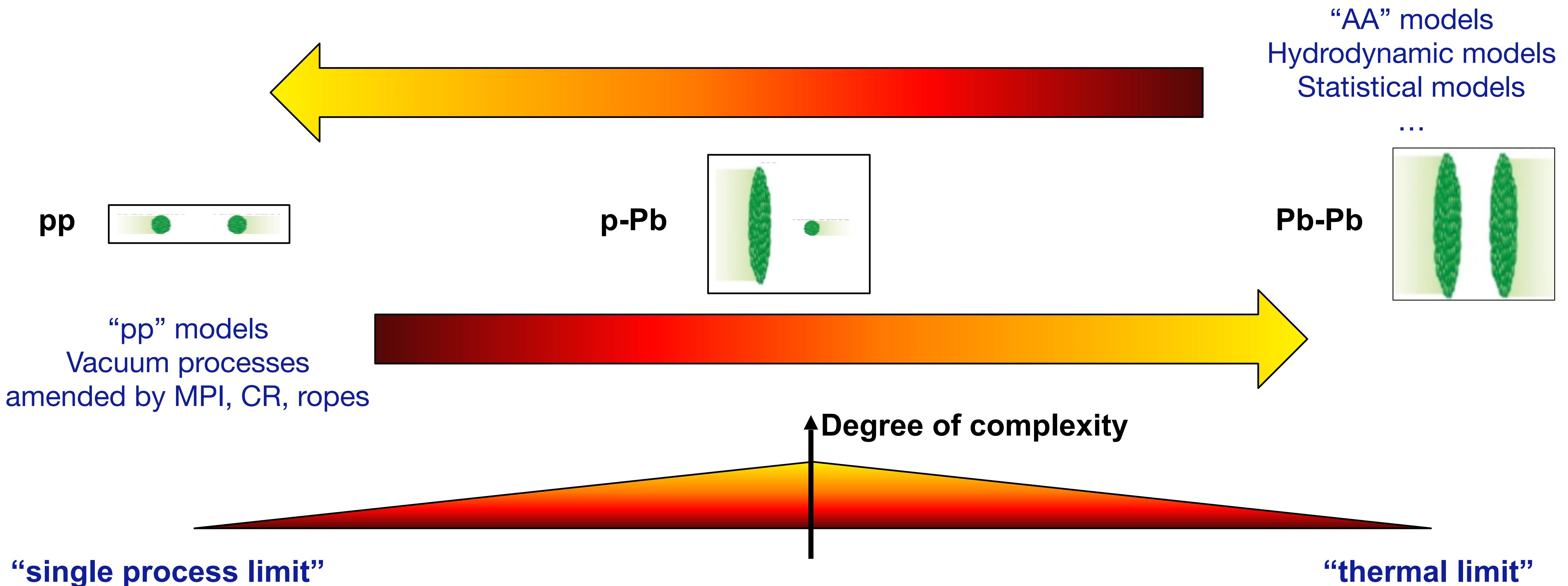
# Physics of small and large colliding systems



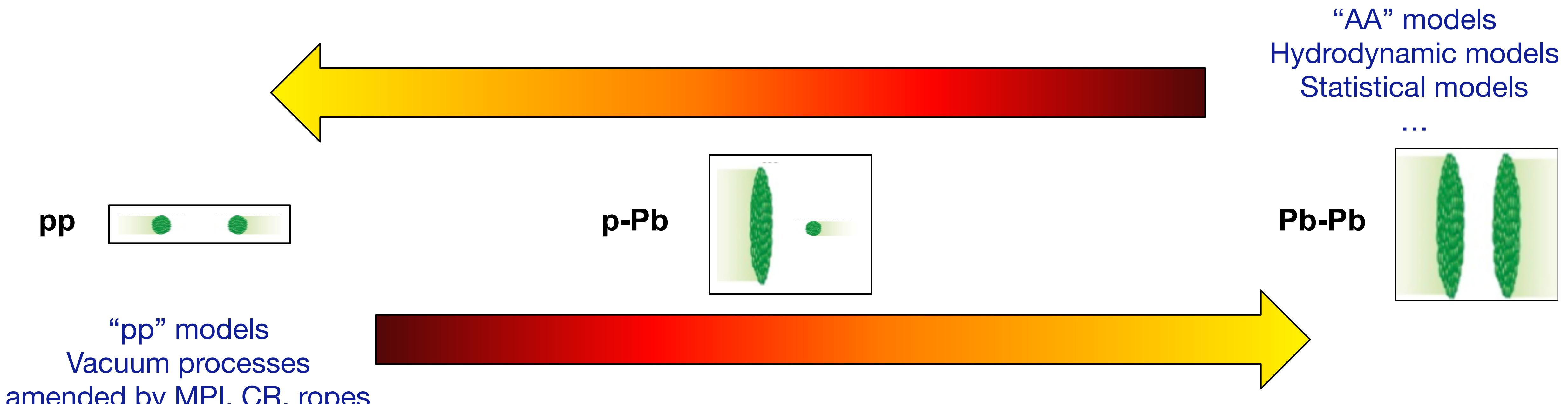
# Physics of small and large colliding systems



# Physics of small and large colliding systems



# Physics of small and large colliding systems



"single process limit"

↑  
**Degree of complexity**

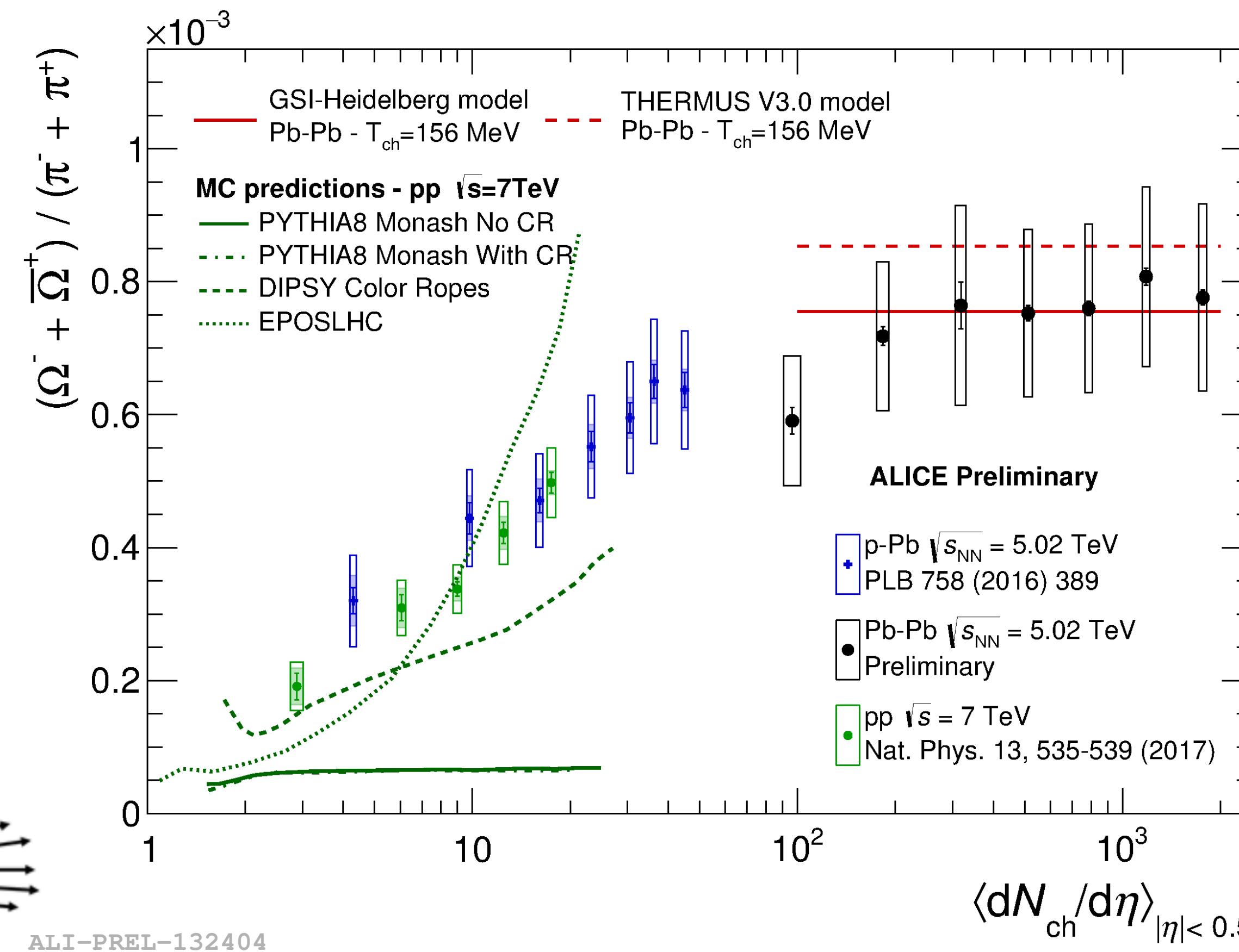
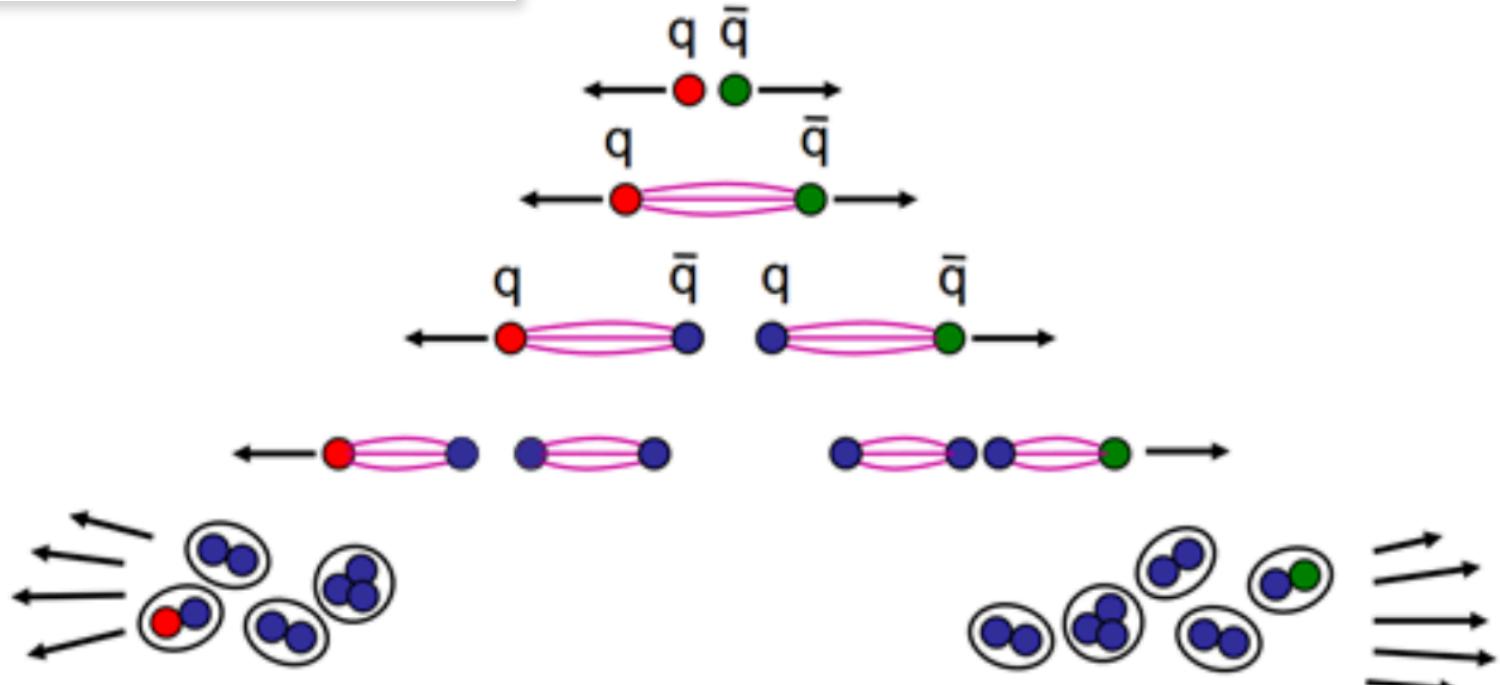
"thermal limit"

Underlying QCD is the same – different limits  
Opportunity: stress test models/understanding

# Example: strangeness enhancement

pp, p-Pb:  
strong dependence of  
strange baryon content  
on multiplicity  
What is the mechanism?

$$P \propto \exp\left(-\frac{\pi m_T^2}{\kappa}\right)$$



Baseline Pythia: no change in strange baryon content  
Driven by hadronisation probability/string breaking  
No final state interactions

Large systems:  
Yields described by  
thermal model  
'phase space dominance'

Color Ropes, EPOS LHC:  
Increasing density leads to larger strangeness content

# Strangeness production vs multiplicity

Is the increase driven by strangeness or baryon content?

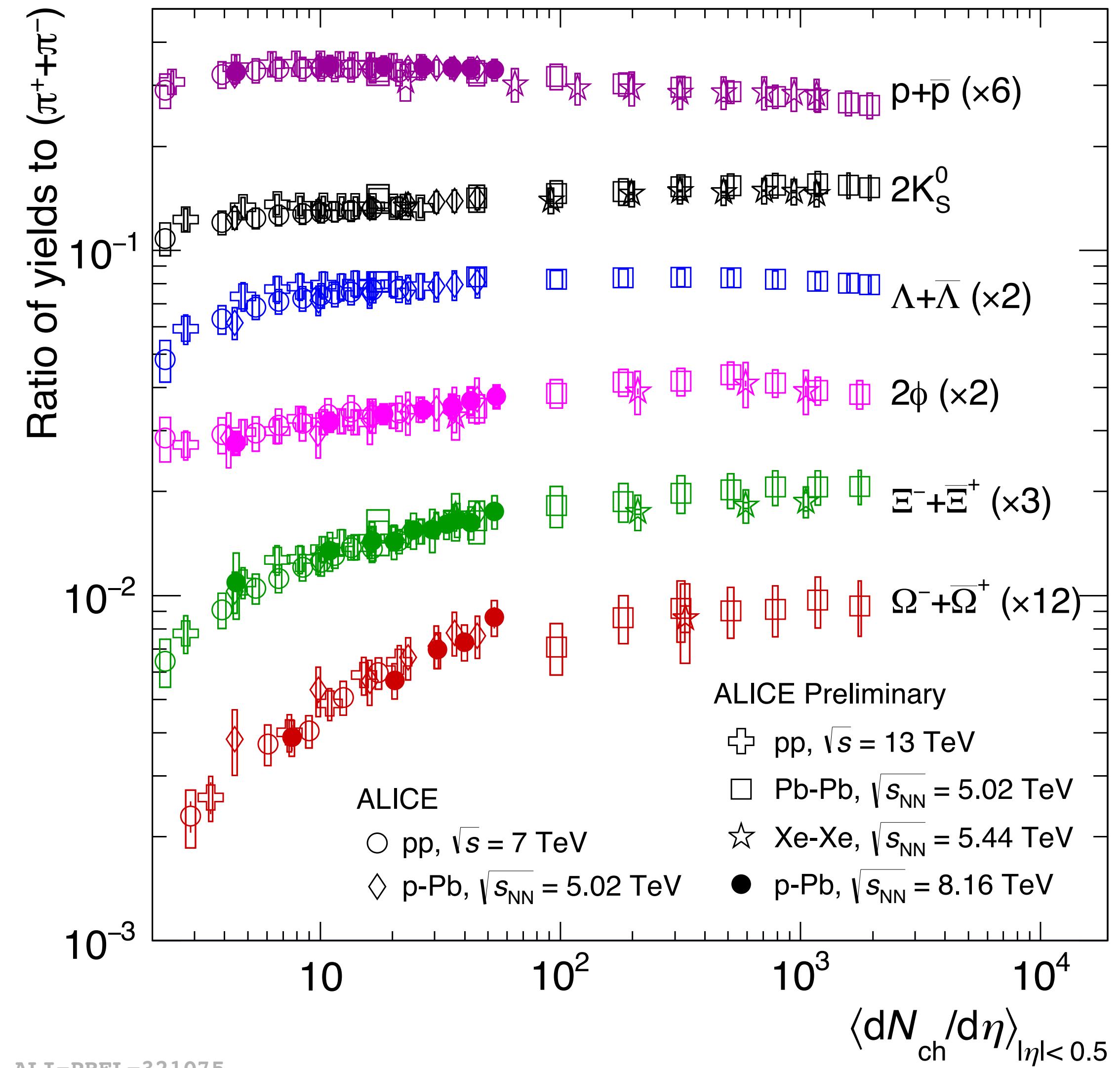
Effect increases with strangeness content:

$$\Omega > \Xi > \phi$$

Very weak/no effect for single strange particles

$$K, \Lambda$$

No increase of  $p/\pi$ : not a pure ‘baryon effect’



ALI-PREL-321075

# Strangeness production vs multiplicity

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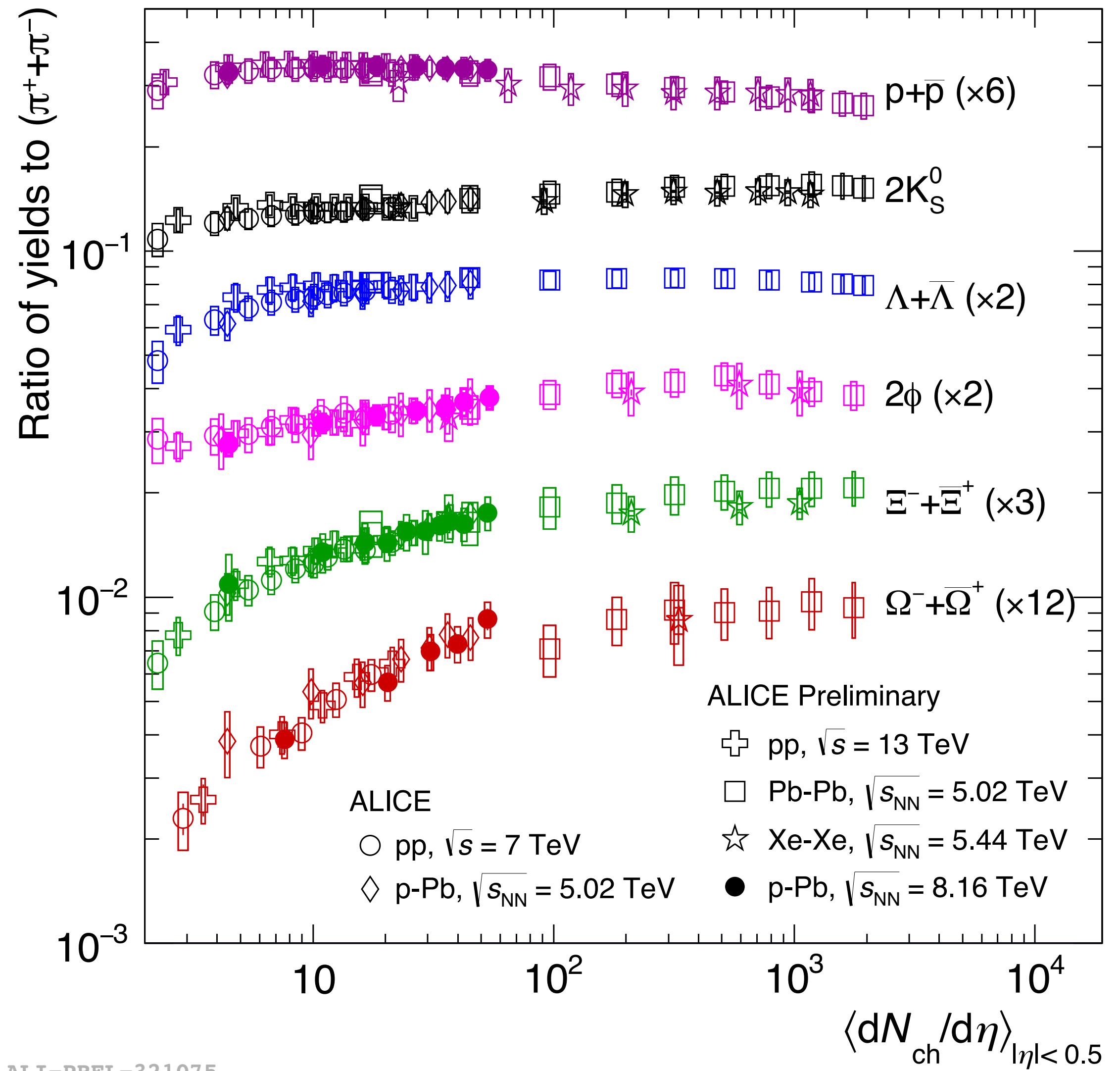
$$\Omega > \Xi > \phi$$

Very weak/no effect for single strange particles

$$K, \Lambda$$

No increase of  $p/\pi$ : not a pure ‘baryon effect’

Puzzling situation: a new insight  
in baryon and strangeness production/hadronisation  
may emerge!



ALI-PREL-321075

# Reminder: Radial flow

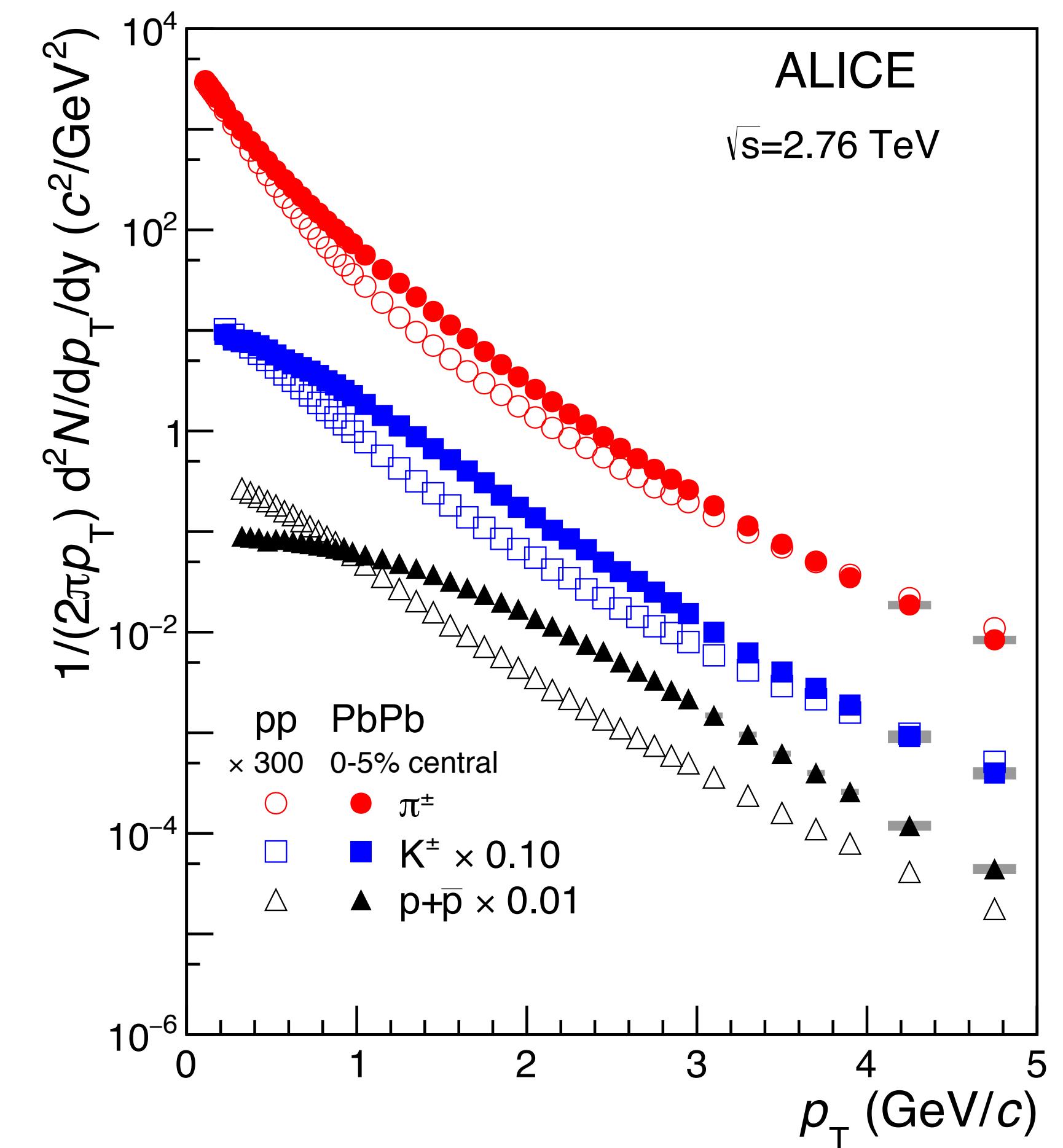
ALICE, PLB 736, 196

- Spectra change from pp to Pb+Pb:
- Increase in mean  $p_T$
  - Larger effect for larger mass

## First indication of collective behaviour

Pressure leads to radial flow

Same Lorentz boost ( $\beta$ ) gives larger momentum for heavier particles  
 $(m_p > m_K > m_\pi)$



# Multiplicity dependence of spectra

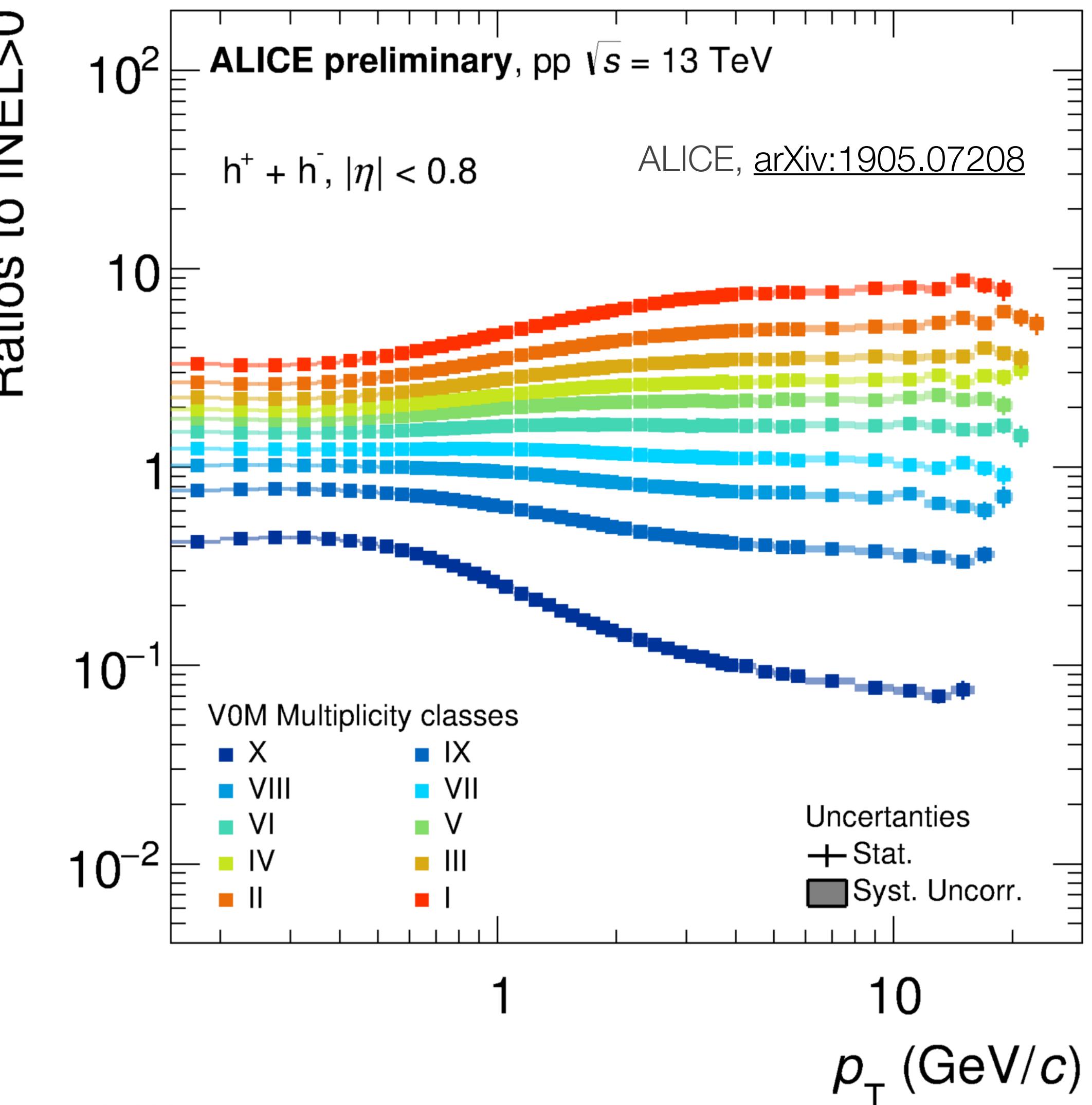
Shapes of the spectra change!

Selection of larger multiplicity  
(mostly low  $p_T$ )

Gives strong increase at high  $p_T$

**Correlation between soft processes:** multiplicity and **hard processes:** high  $p_T$

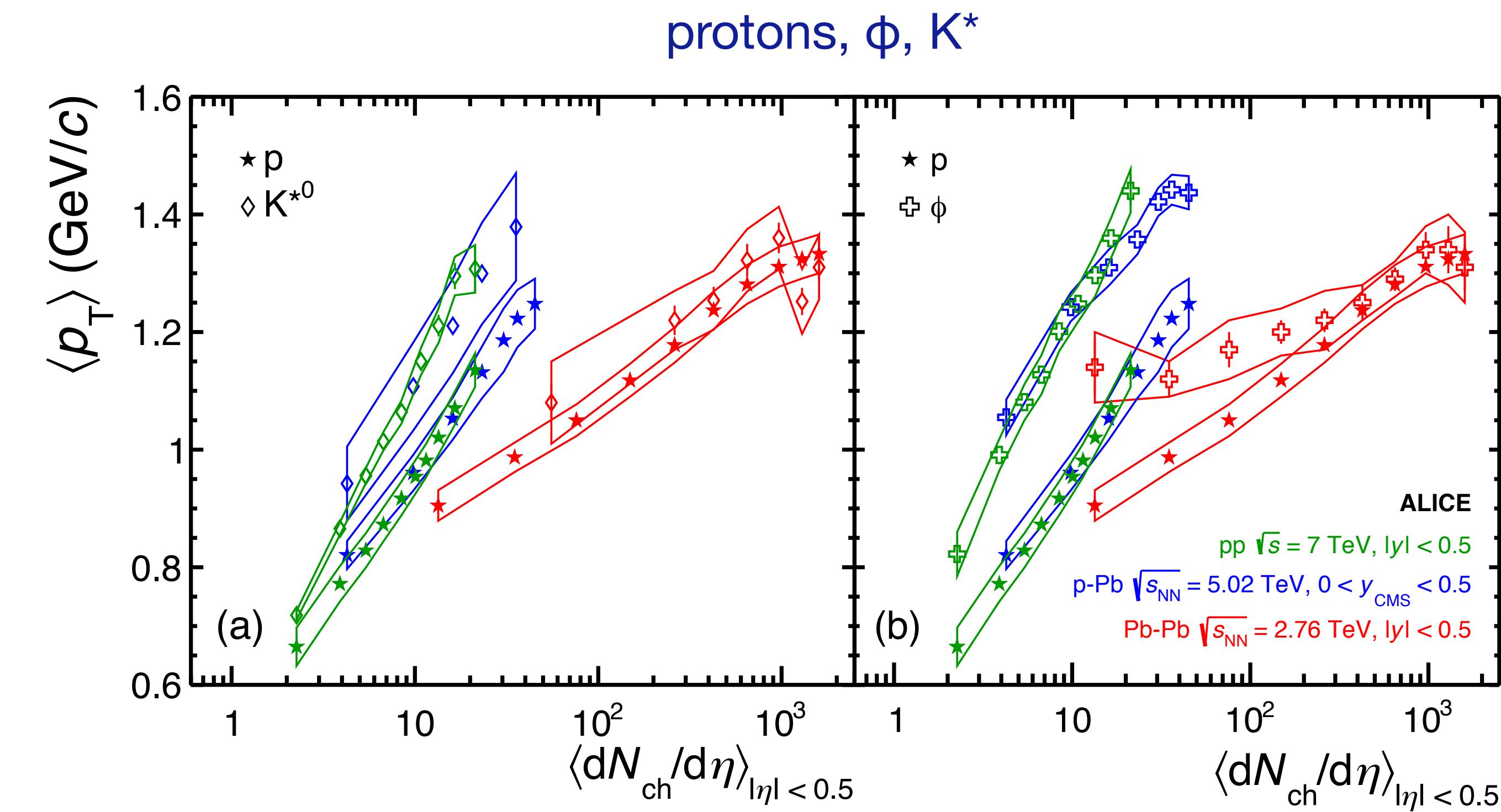
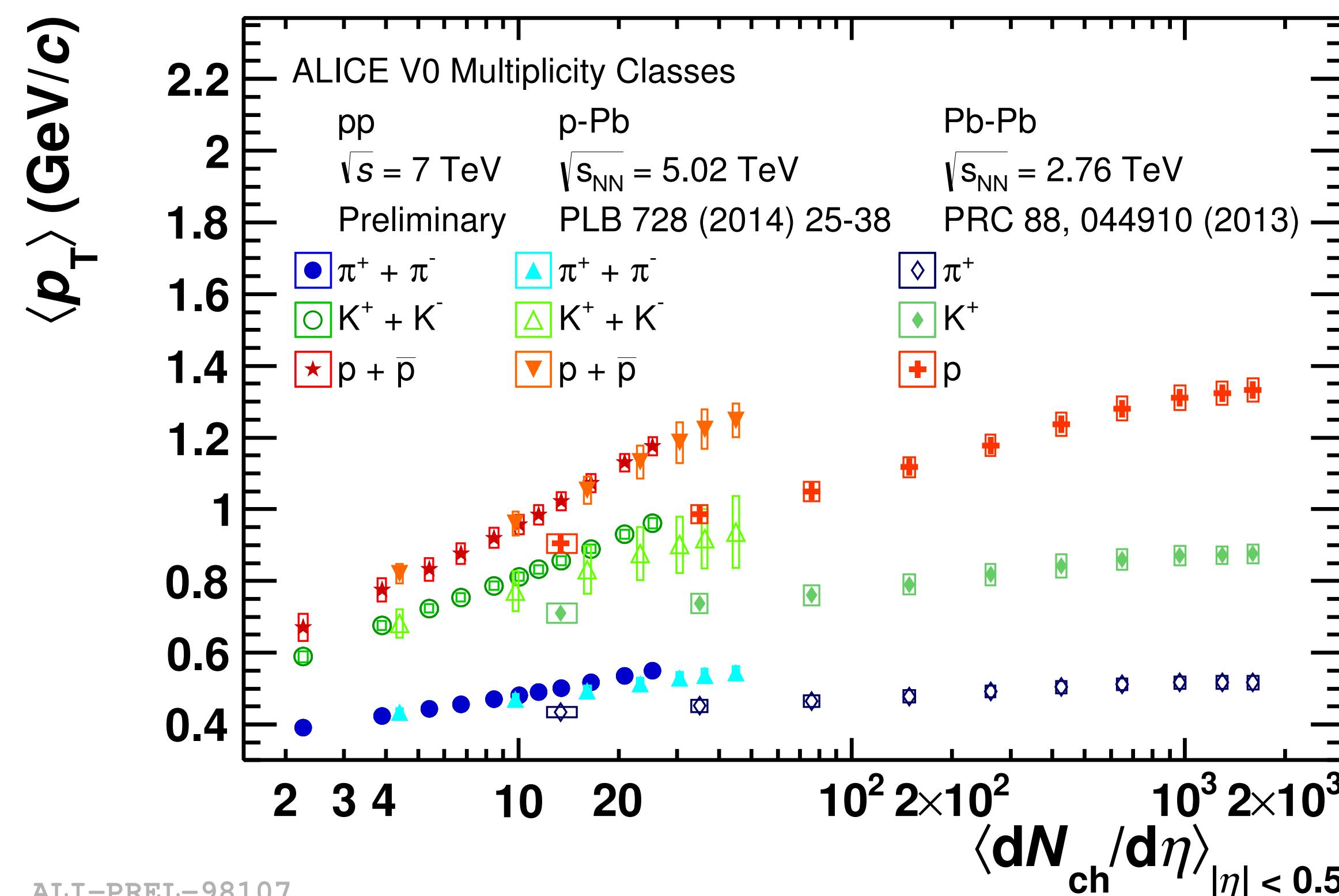
Ratio to MB spectra: ‘modulation of  $p_T$  spectra’



# Mean $p_T$ vs multiplicity – mass dependence

ALICE, Phys. Rev. C 99, 024906

pions, kaons, protons

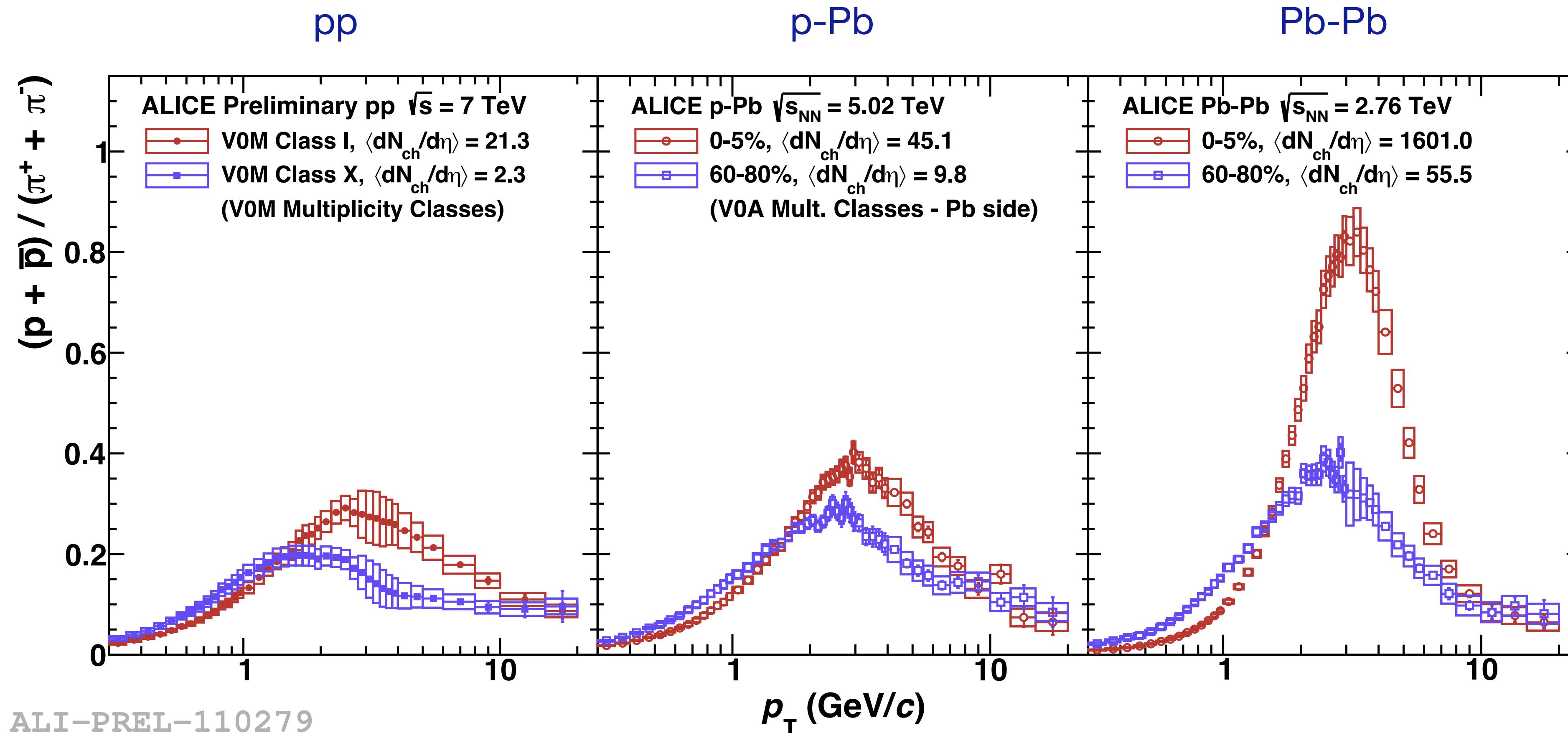


Increase of the mean  $p_T$  depends on mass – suggests radial flow?

Trends similar to Pb-Pb, but do not match smoothly...

Different mechanism?

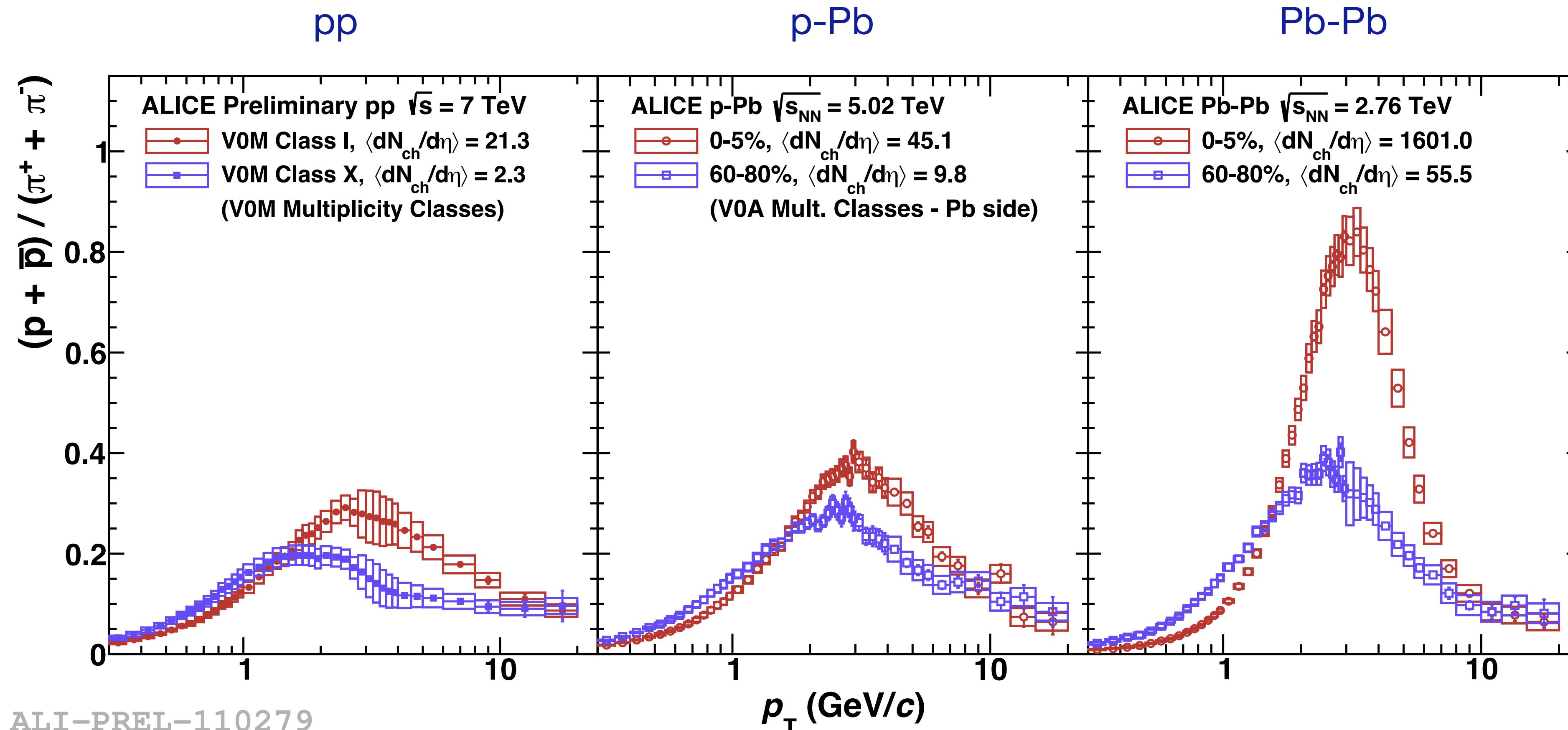
# Baryon to meson ratios vs $p_T$



pp, p-Pb:  
baryon/meson ratio at intermediate  $p_T$  depends on multiplicity

Pb-Pb: increase driven by radial flow

# Baryon to meson ratios vs $p_T$



pp, p-Pb:

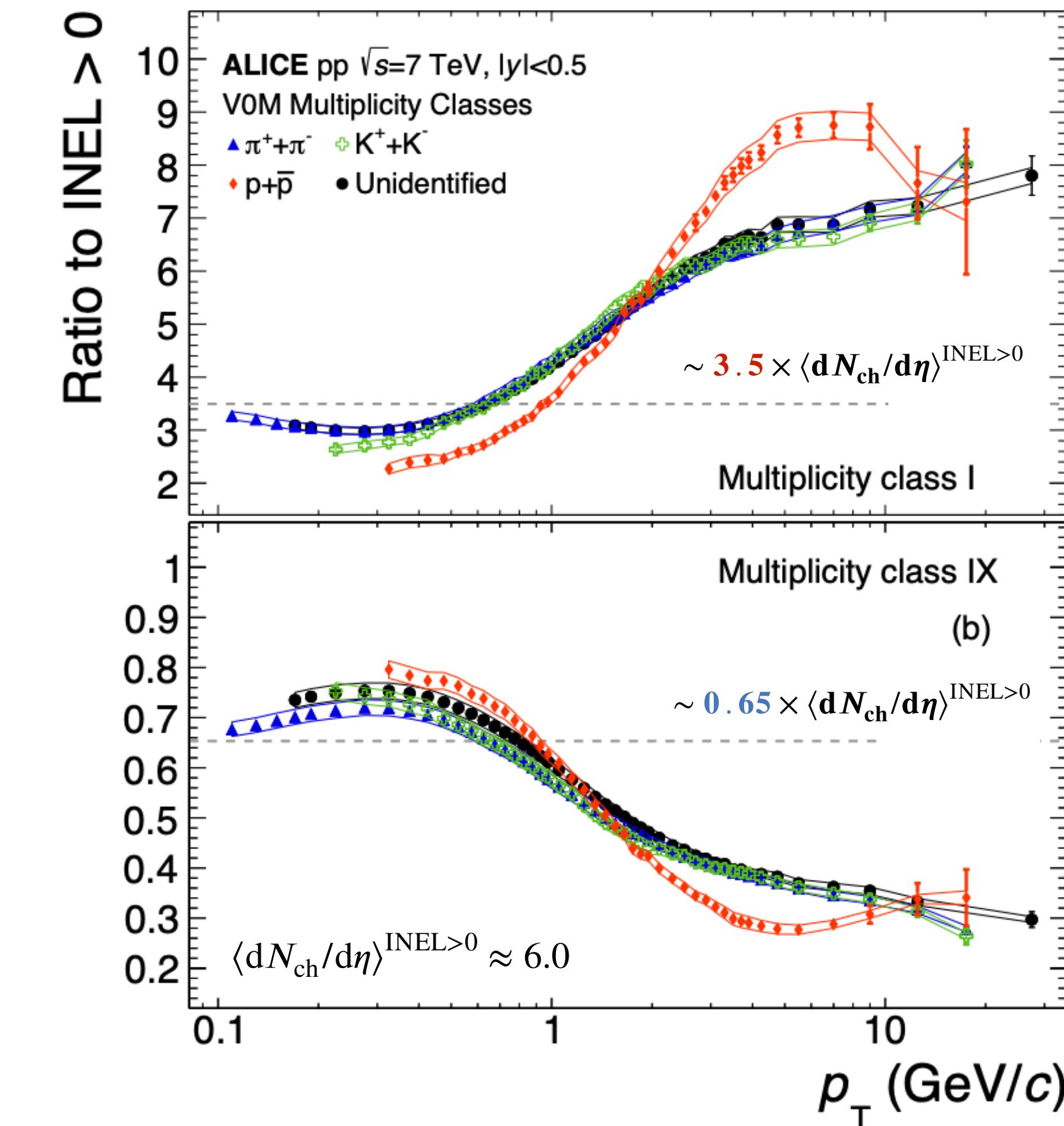
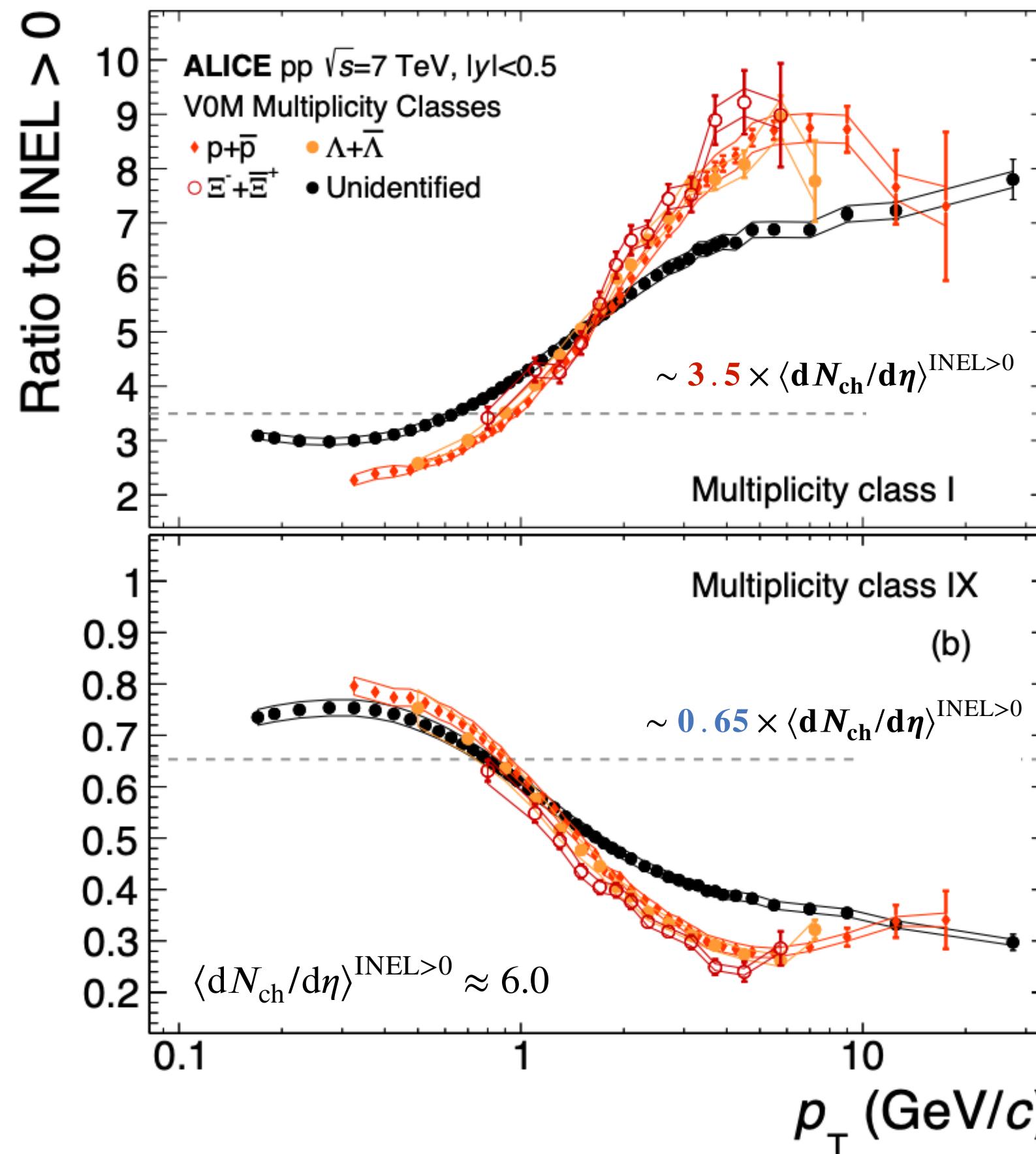
baryon/meson ratio at intermediate  $p_T$  depends on multiplicity

Pb-Pb: increase driven by radial flow

Are these effects related?

# Try a different ordering: spectra ratios by particle type

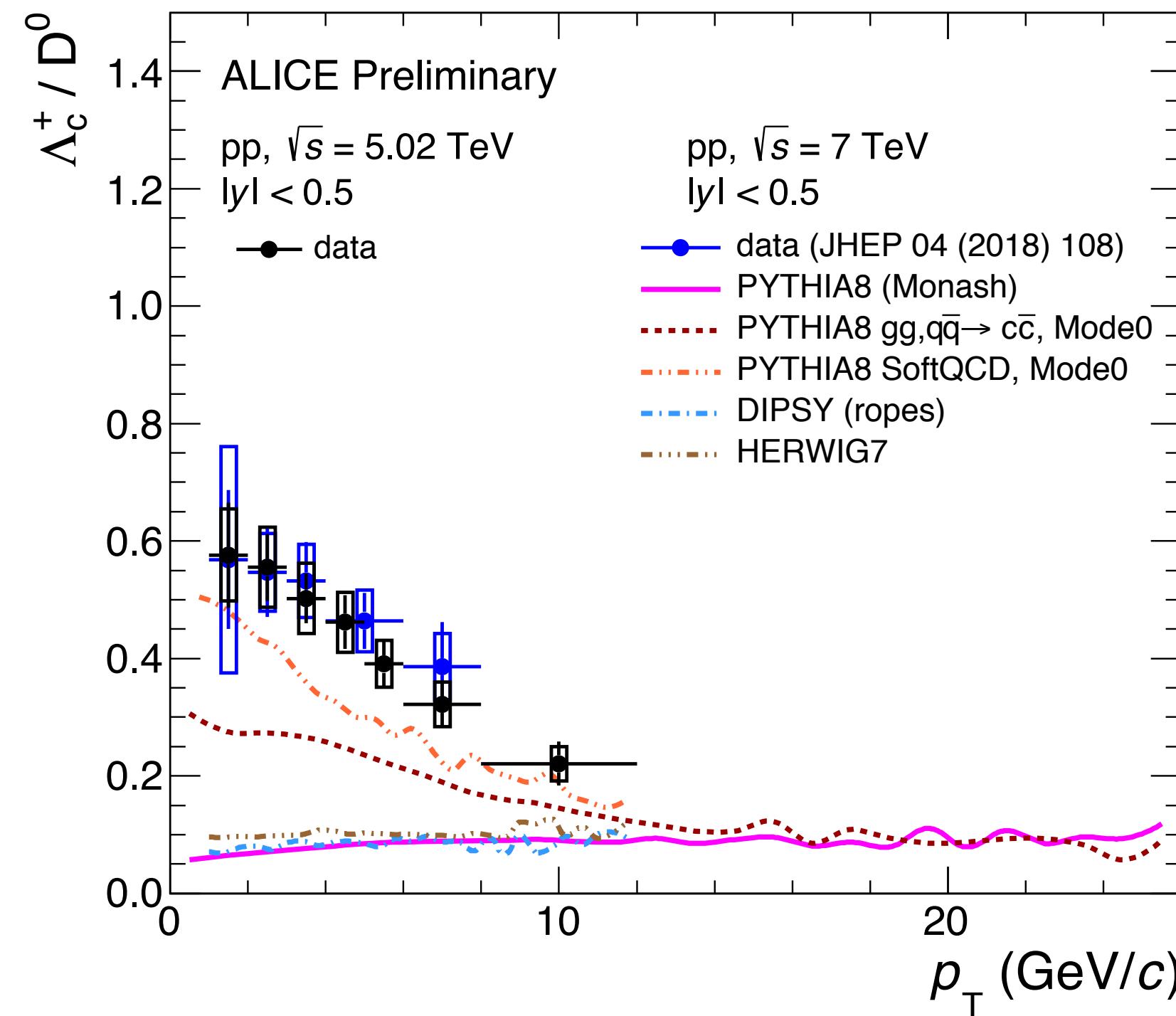
ALICE, PRC 99, 024906



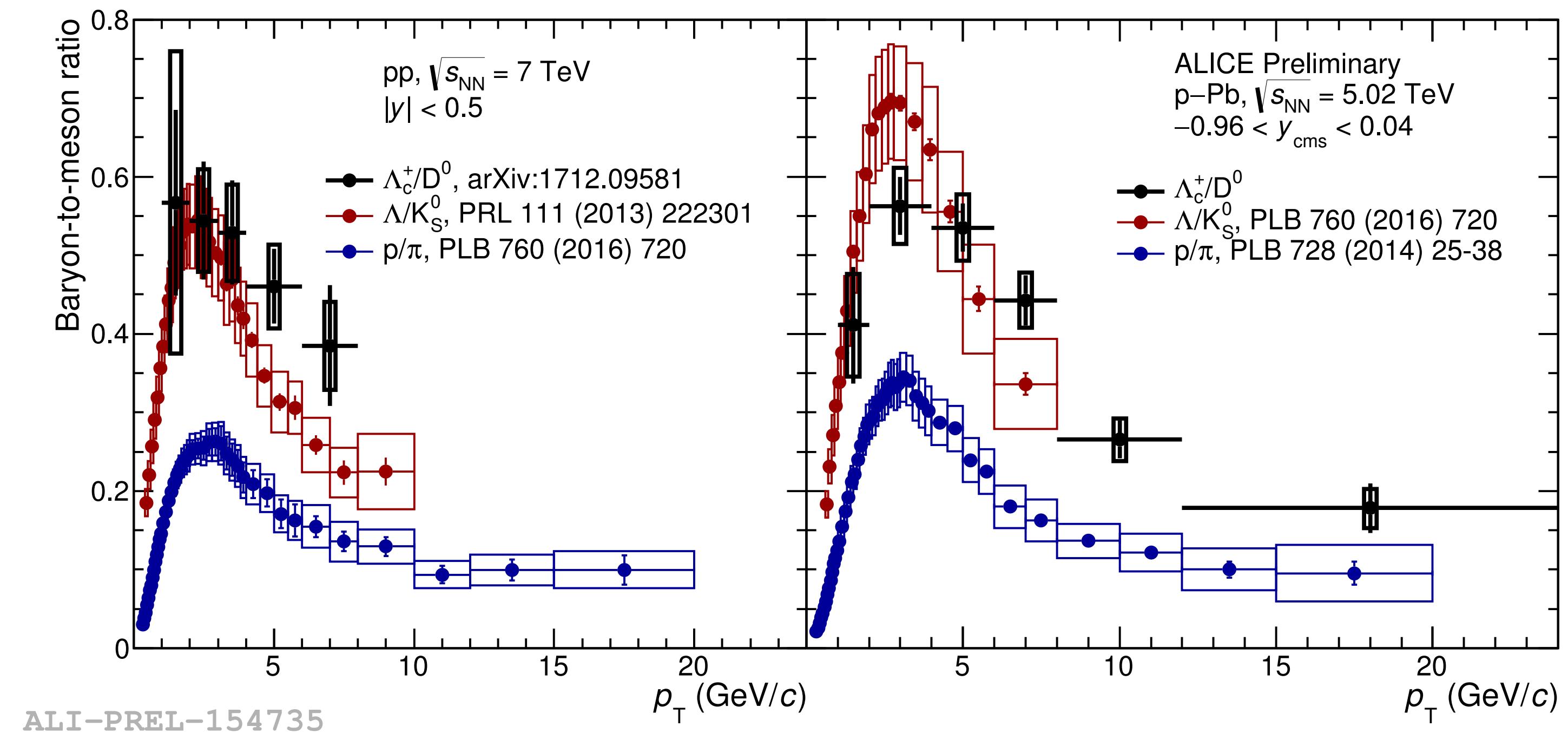
Interesting pattern: baryon-meson difference. No mass dependence?

NB: this divides out the mass dependence of mean- $p_T$  in minbias spectra

# A propos baryon production: $\Lambda_c$ also?



$\Lambda_c/D$  in pp much larger than expected from fragmentation,  $e^+e^-$

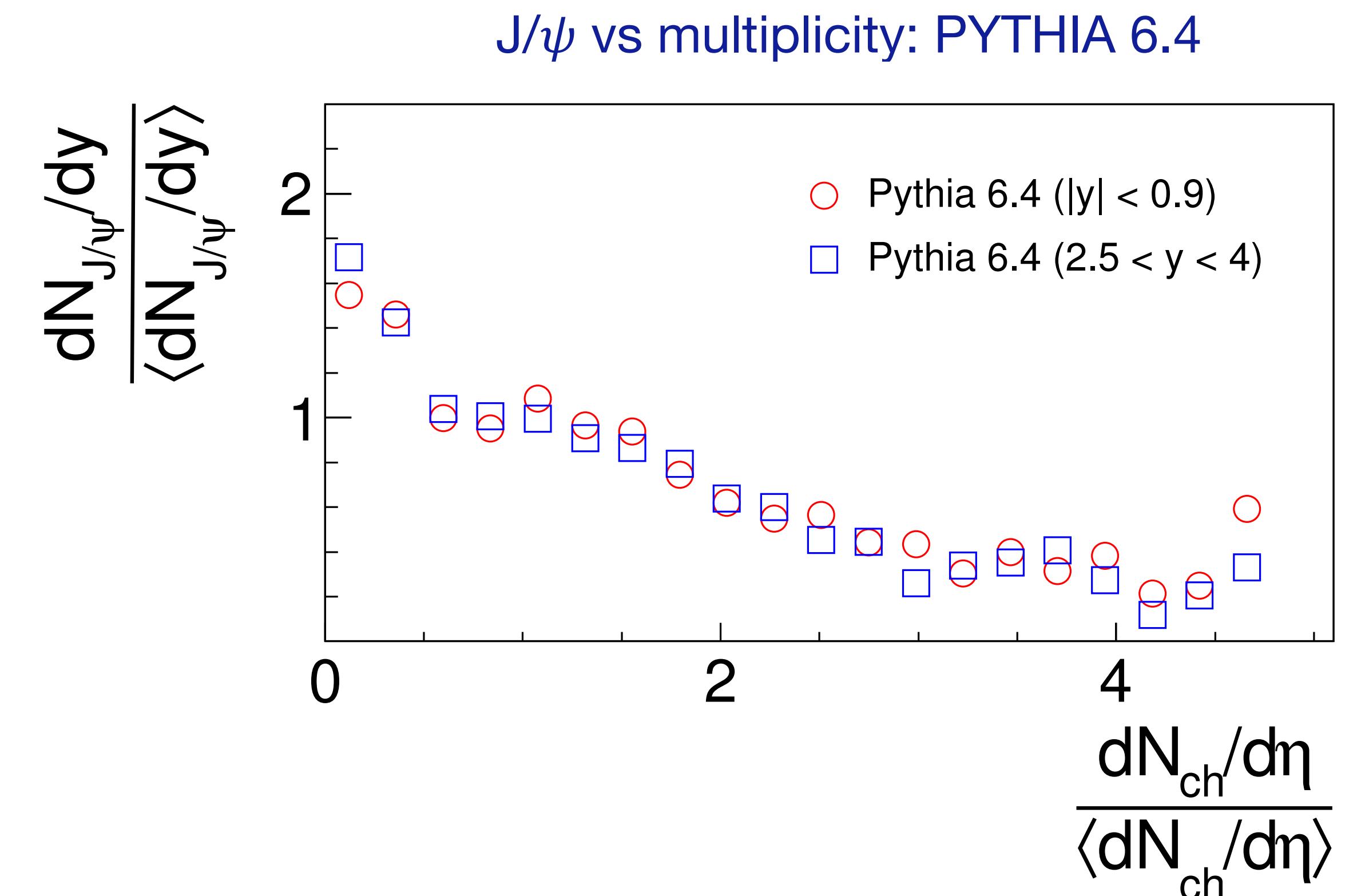
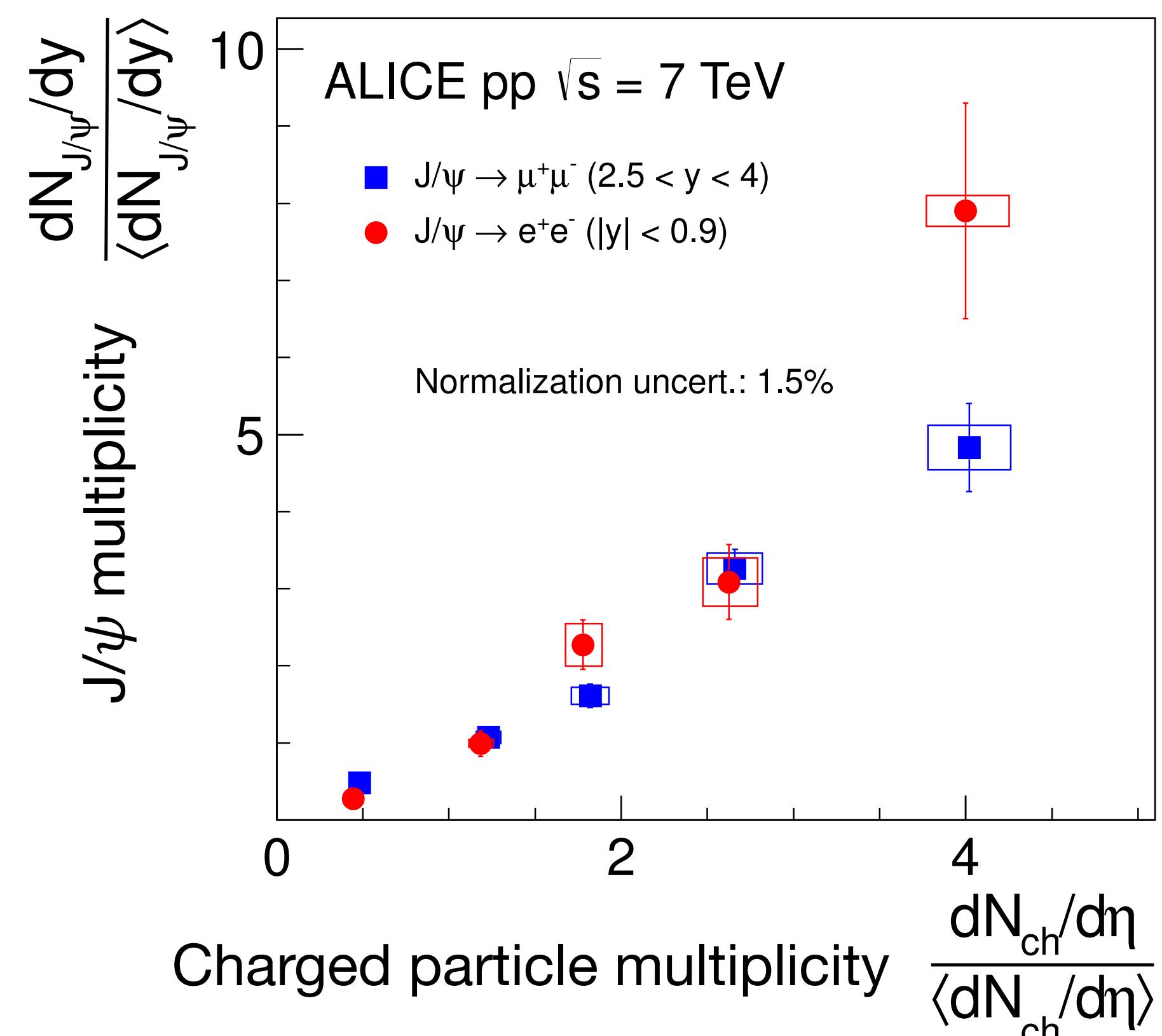


$\Lambda_c/D$  similar to  $\Lambda/K$ :  
Specific mechanism for low  $p_T$  baryon production in pp?

# Charm production and Multiple Parton Interactions

Phys.Lett. B712 (2012) 165-175

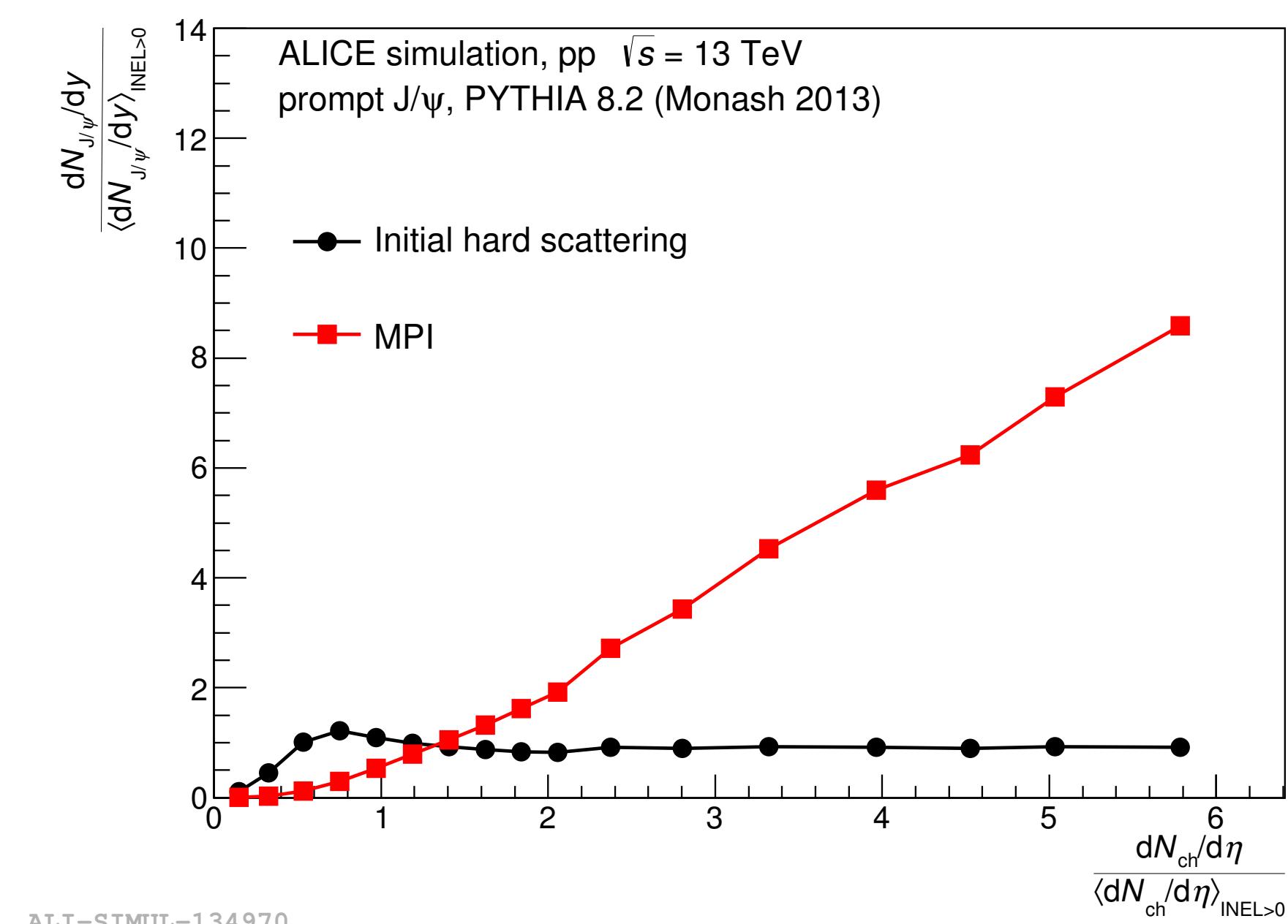
$J/\psi$  vs multiplicity: measured



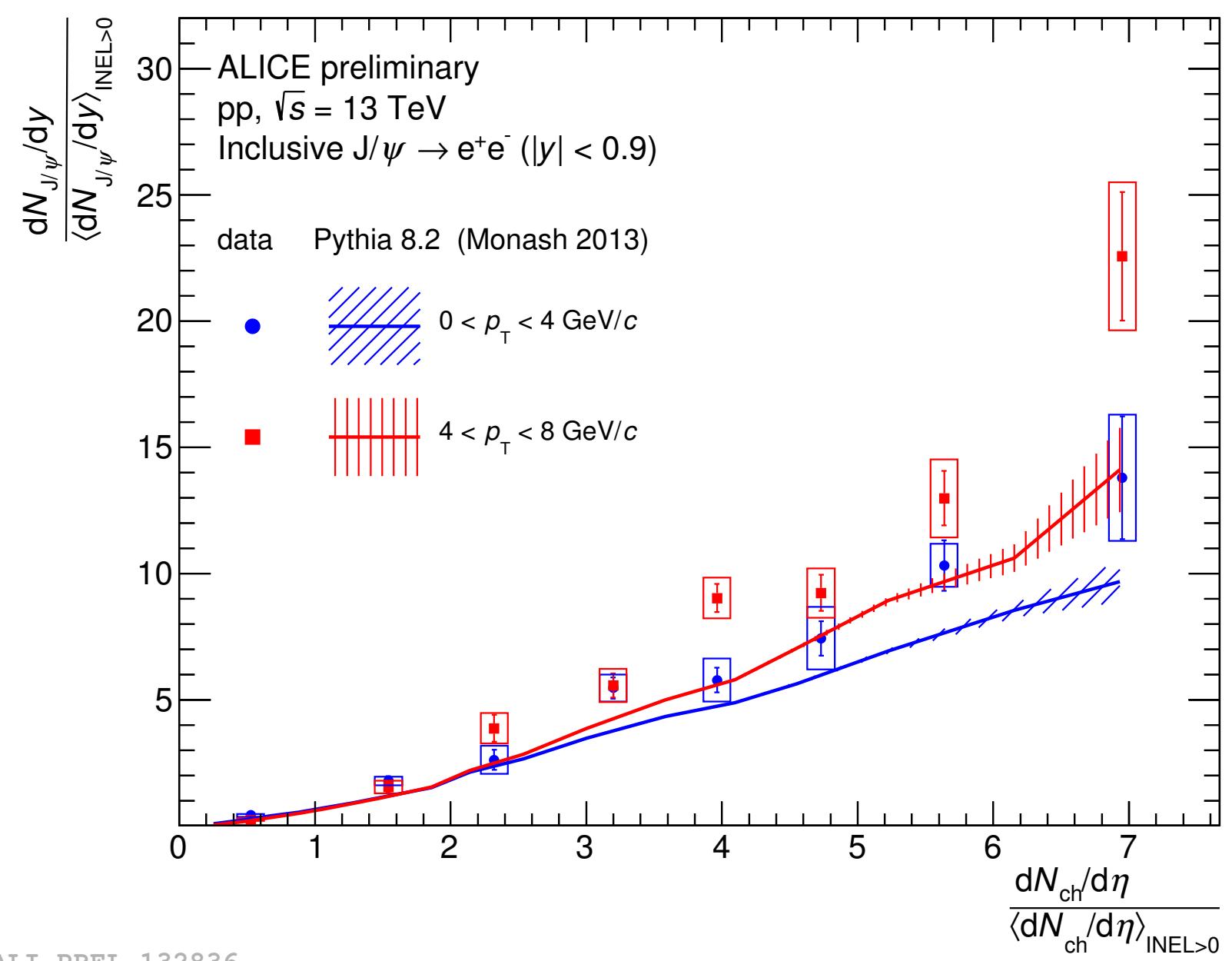
Multiple parton interactions produce multiple c-cbar pairs

# J/ $\psi$ vs multiplicity – recent results

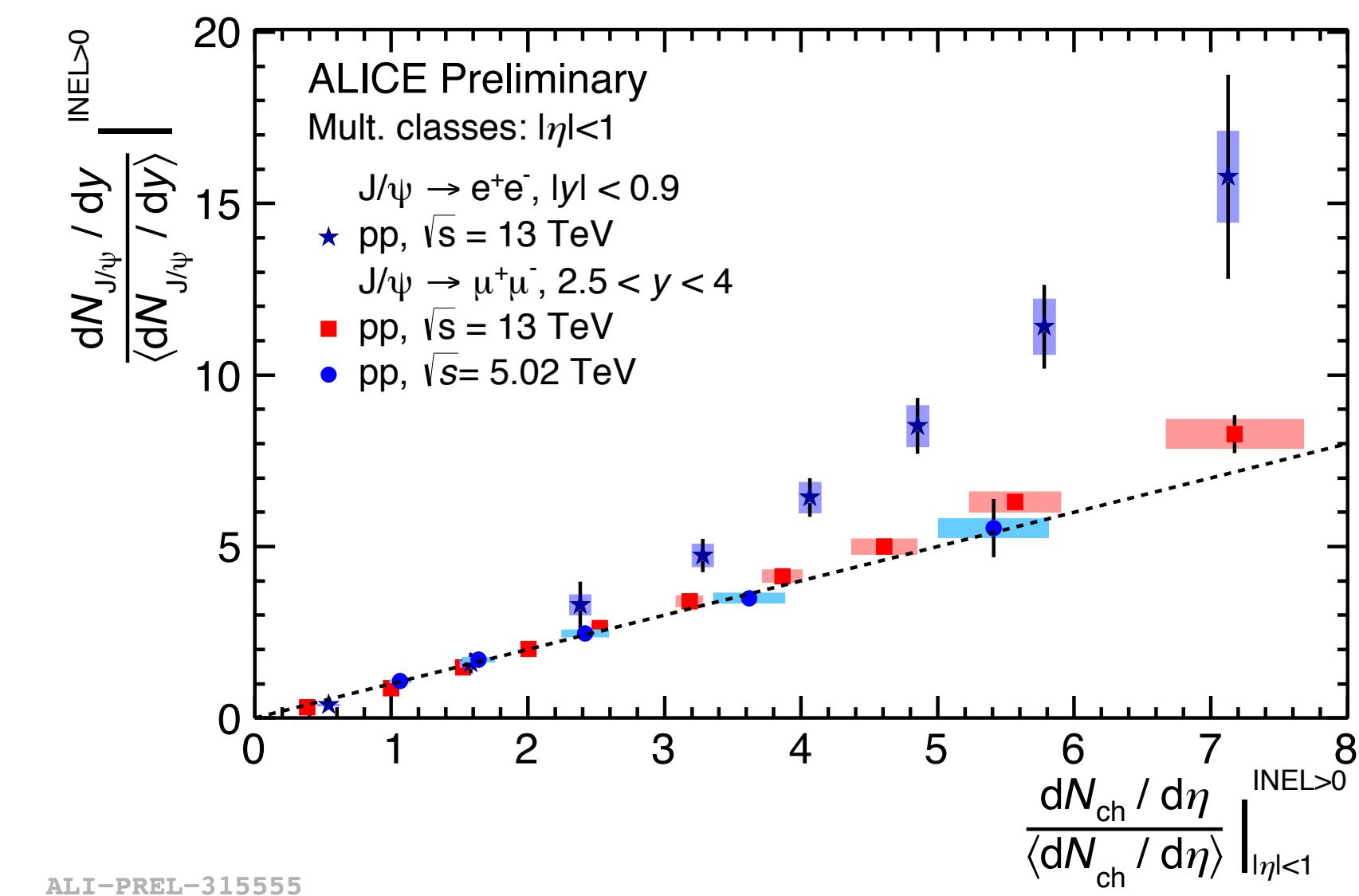
Multiple parton interactions in Pythia



Comparison to data

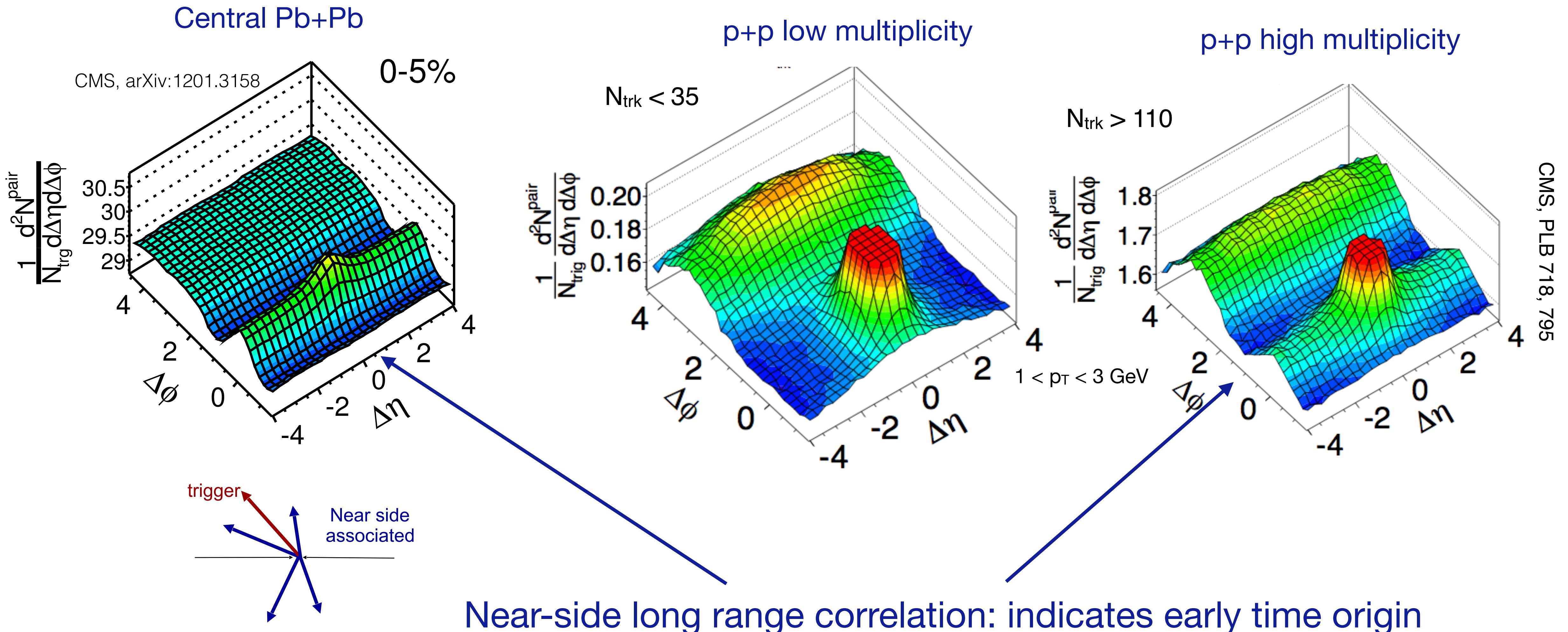


Forward vs mid-rapidity

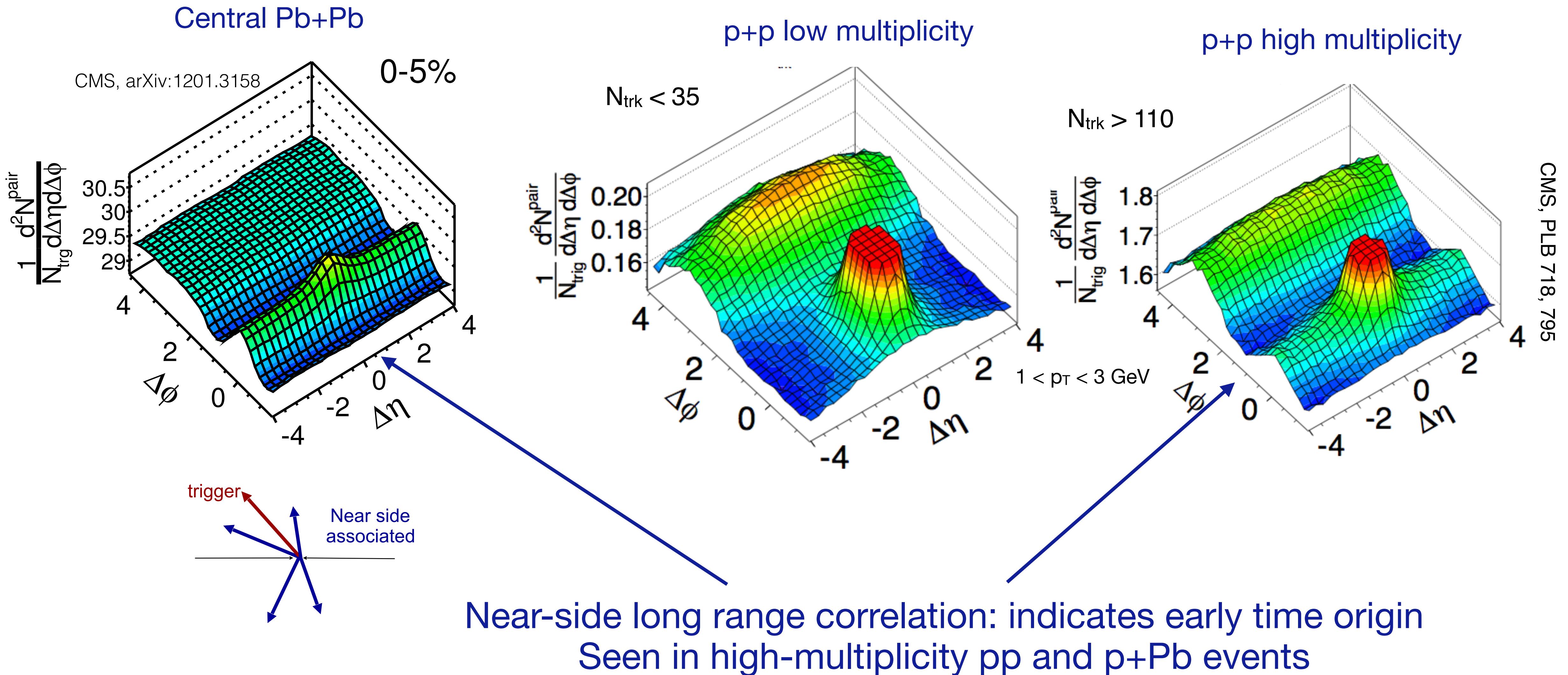


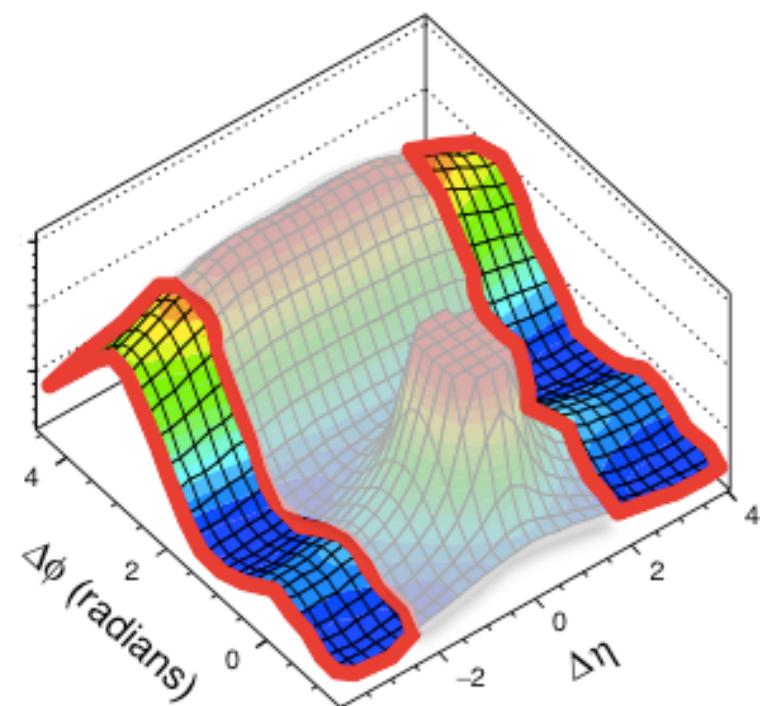
Models with MPIs reproduce the observed trends

# Two-particle correlations in pp and Pb+Pb



# Two-particle correlations in pp and Pb+Pb

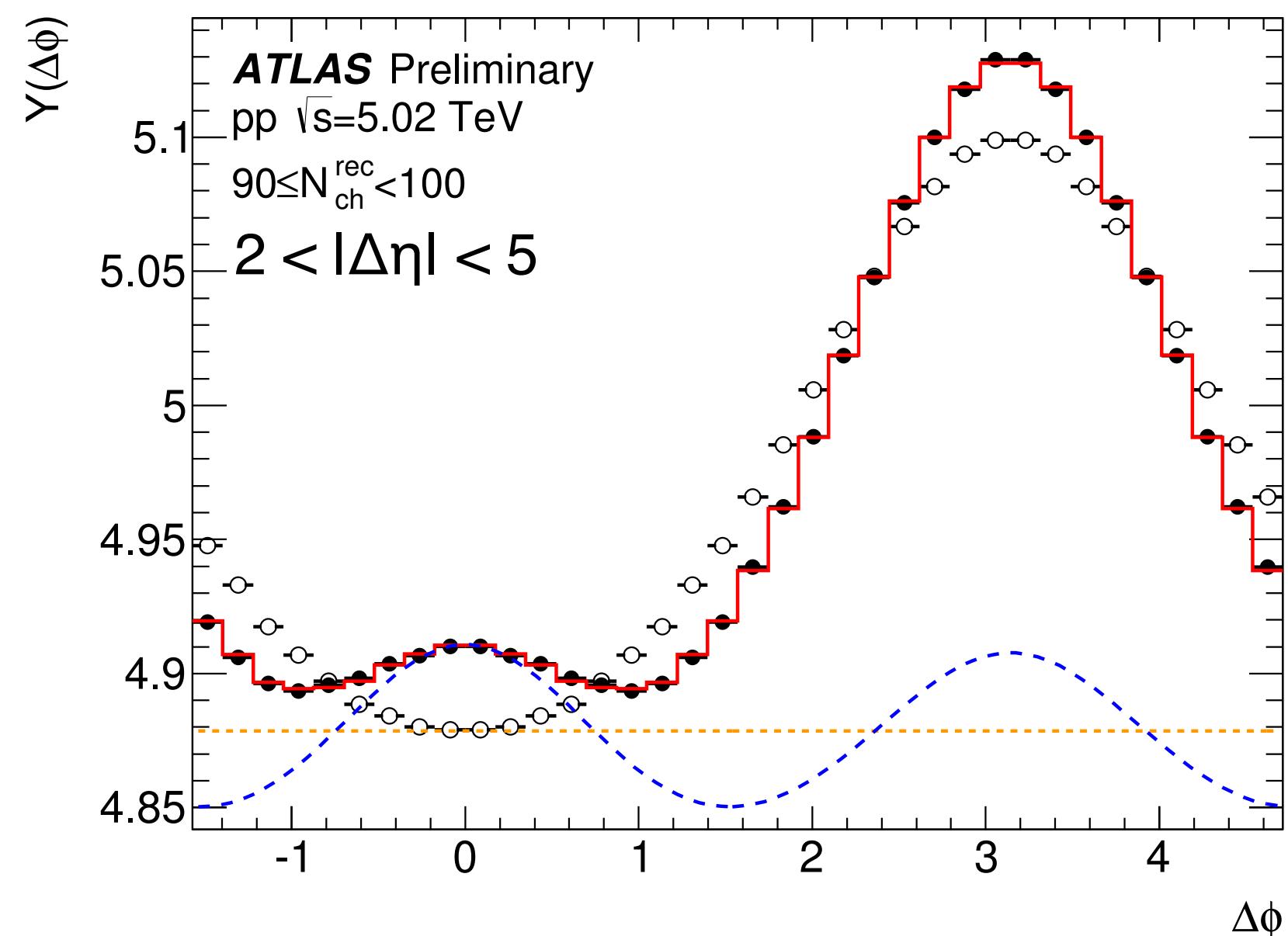




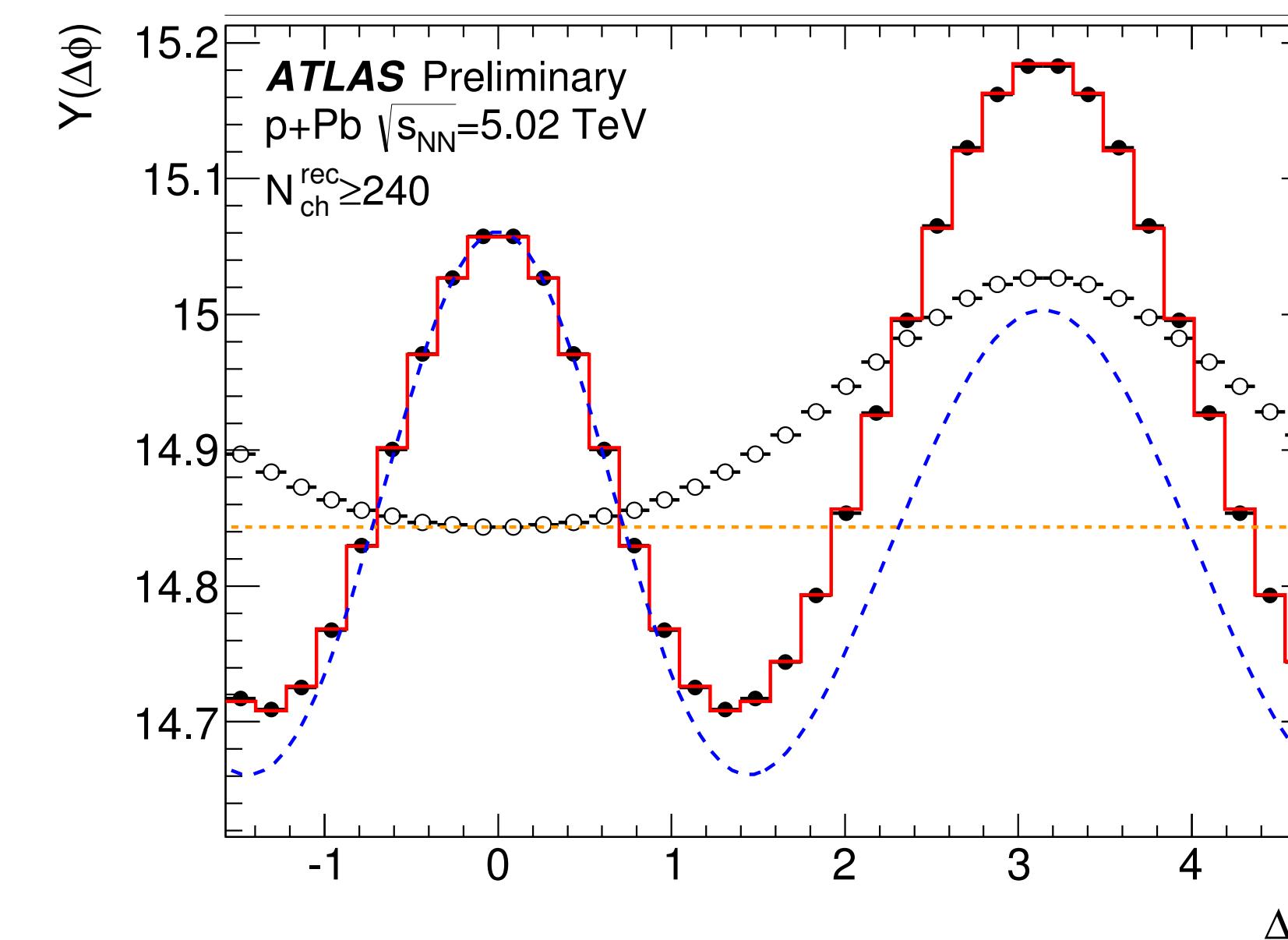
# Two-particle correlations

ATLAS-CONF-2016-026

High-multiplicity p+p



High-multiplicity p+Pb

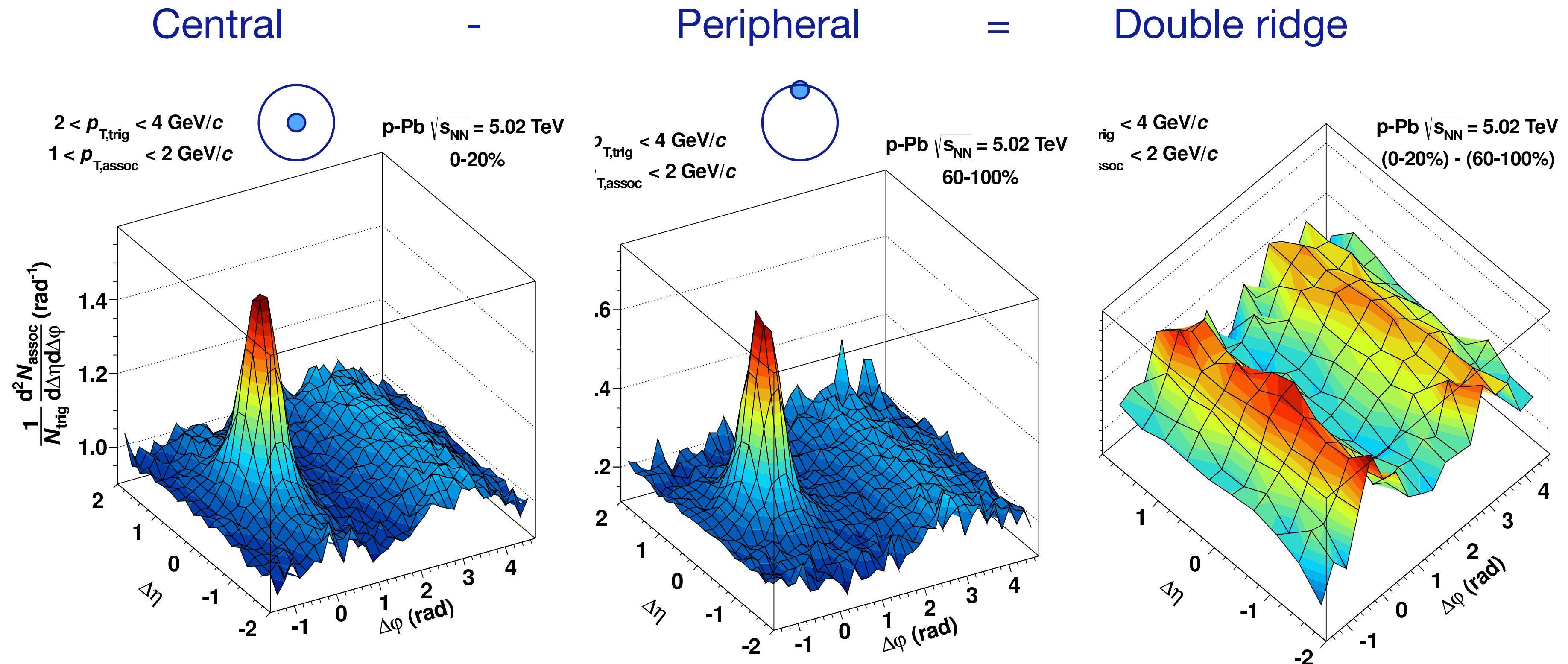


Clear change in shape from low multiplicity to high multiplicity:  
no near-side peak in low multiplicity events

Away-side also affected: well described by dipole term ( $\cos(2 \Delta\varphi)$ )

Smooth evolution from pp to p+Pb: effect stronger in p+Pb

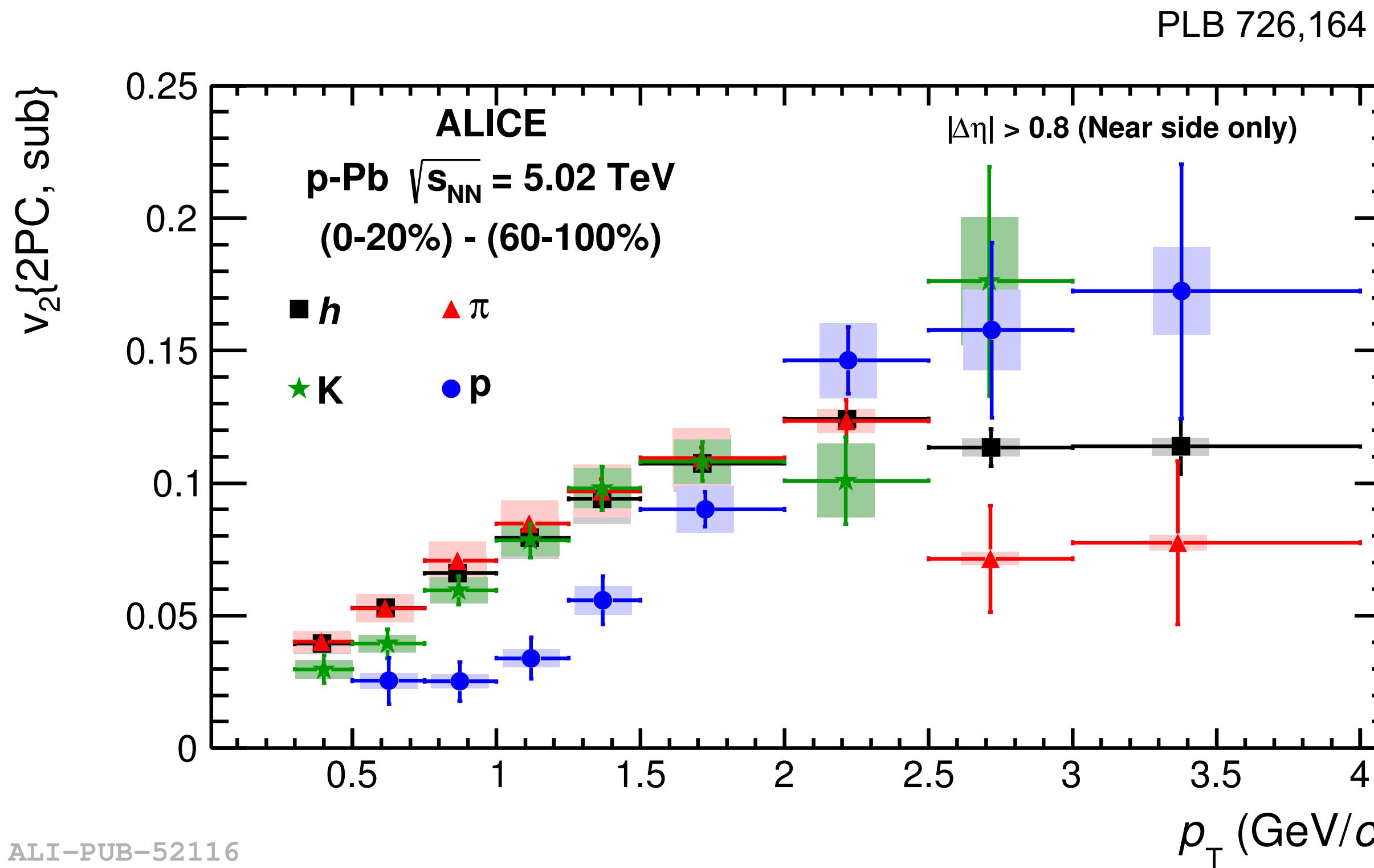
# Extracting the double-ridge/flow



Use peripheral to subtract jet contribution from central

Remaining signal almost symmetric between near- and away-side:  
looks like  $v_2$  (+ smaller contributions from higher harmonics)

# $v_2$ from di-hadron correlations in p+Pb

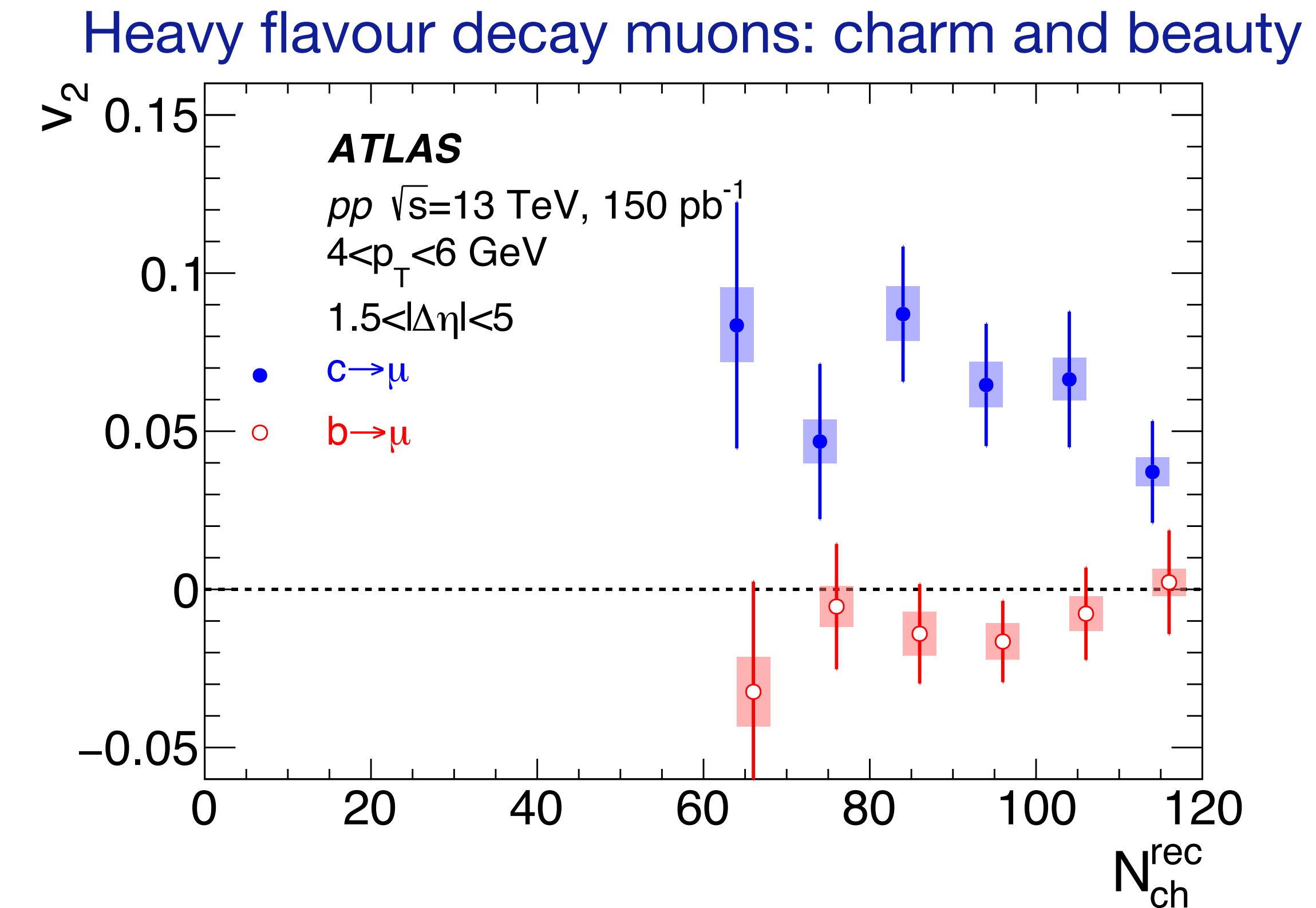
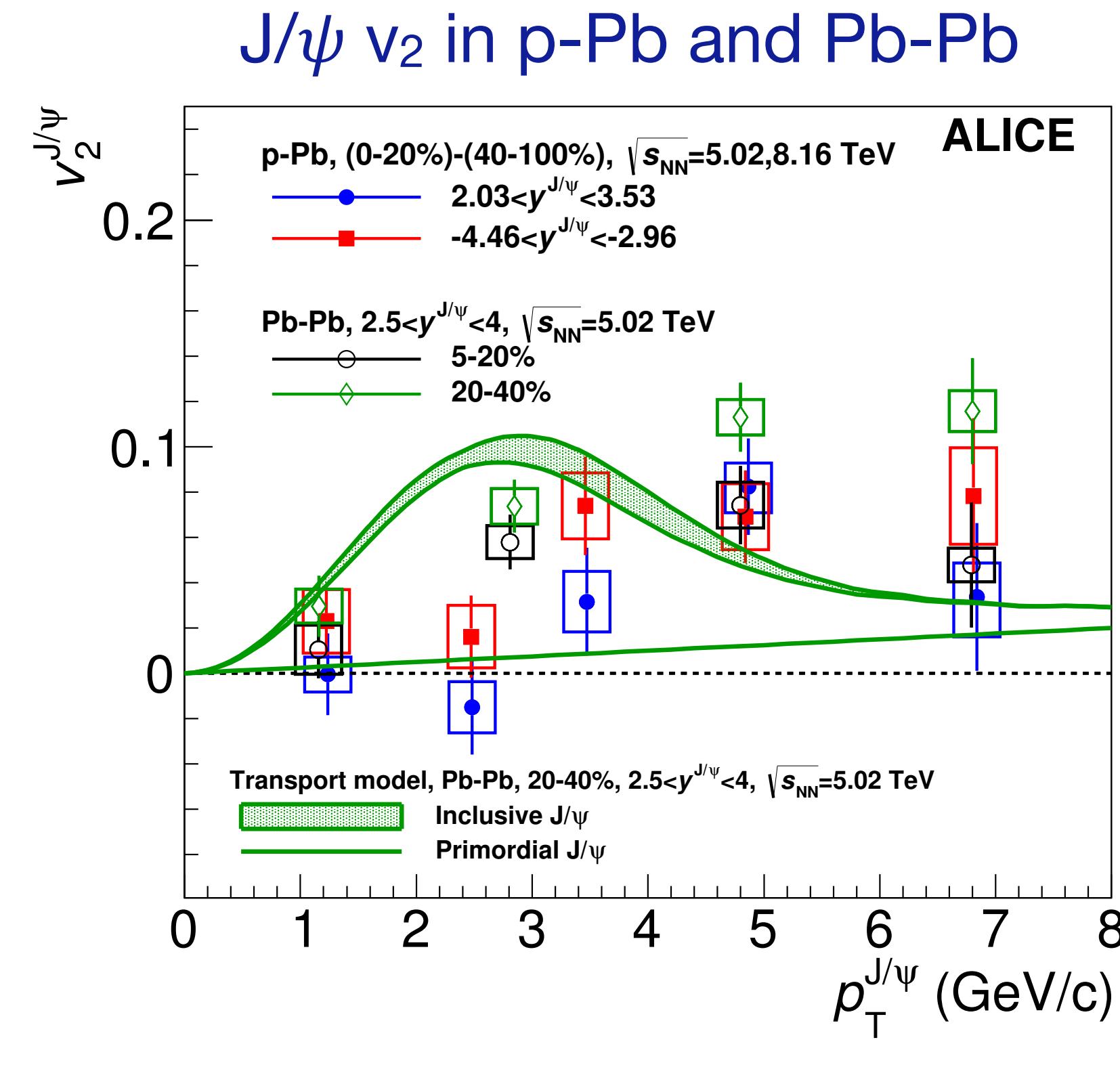


Similar ‘mass ordering’ observed for  $v_2$  from two-particle correlations in p+Pb

Is this also pressure-driven?

# Elliptic flow in p-Pb: heavy flavours

ATLAS, arXiv:1909.01650



Charmed particle also carry azimuthal asymmetries: not a soft underlying event effect

No  $v_2$  for beauty?

# Limits on hydrodynamic behaviour

**Naive expectation:** need at least a few collisions for each parton to reach thermal equilibrium and apply hydrodynamic

1) System size:  $R > \lambda$

Would not expect azimuthal asymmetries in pp and p-Pb

2) Thermalisation time:  $\tau > \frac{\lambda}{v}$

Fits to data: thermalisation times  $\tau \approx 0.1\text{-}1 \text{ fm/c}$

Heiselberg and Levy, nucl-th/9812034,  
W Lin et al,

pQCD calculation:  $\tau \gtrsim 6.9 \text{ fm/c}$

Baier et al, PLB 502, 51, PLB 539, 46

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**Naive expectation:** need at least a few collisions for each parton to reach thermal equilibrium and apply hydrodynamic

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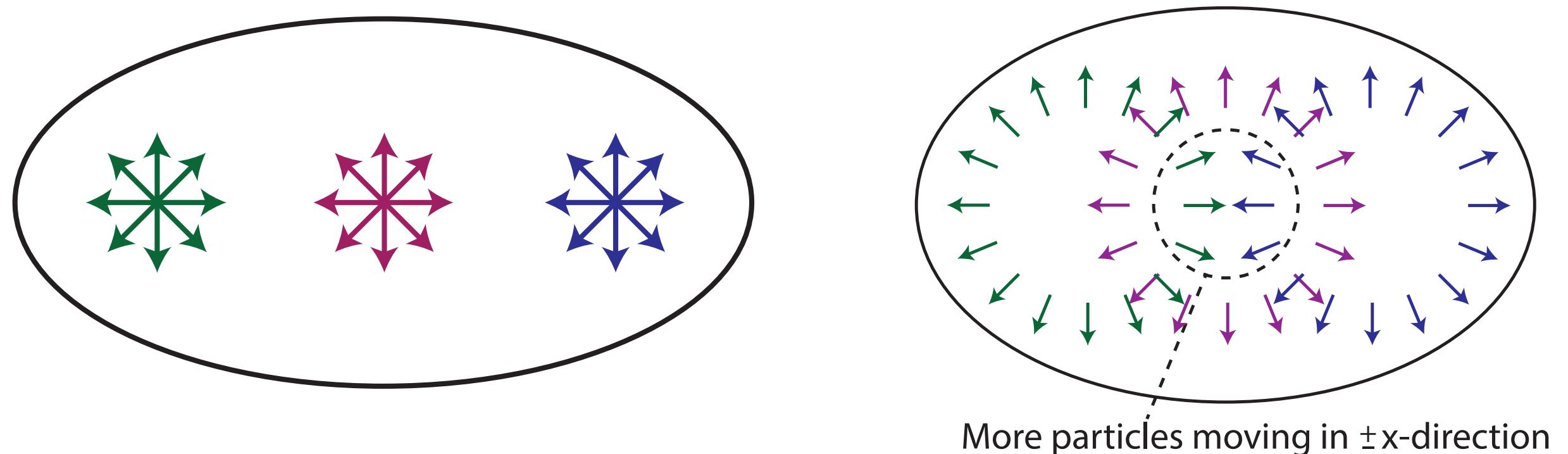
Naive expectations can be bypassed in nature...

Active field of research — brings together foundations of hydrodynamics, transport theory, and even string theory

# Flow without a liquid

Can you have flow with a few scatterings?  
‘anisotropic escape’ mechanism

Kurkela, Wiedemann, Wu, [arXiv:1805.04031](https://arxiv.org/abs/1805.04031)



Initially isotropic  
momentum distribution

More particles moving in  $\pm x$ -direction

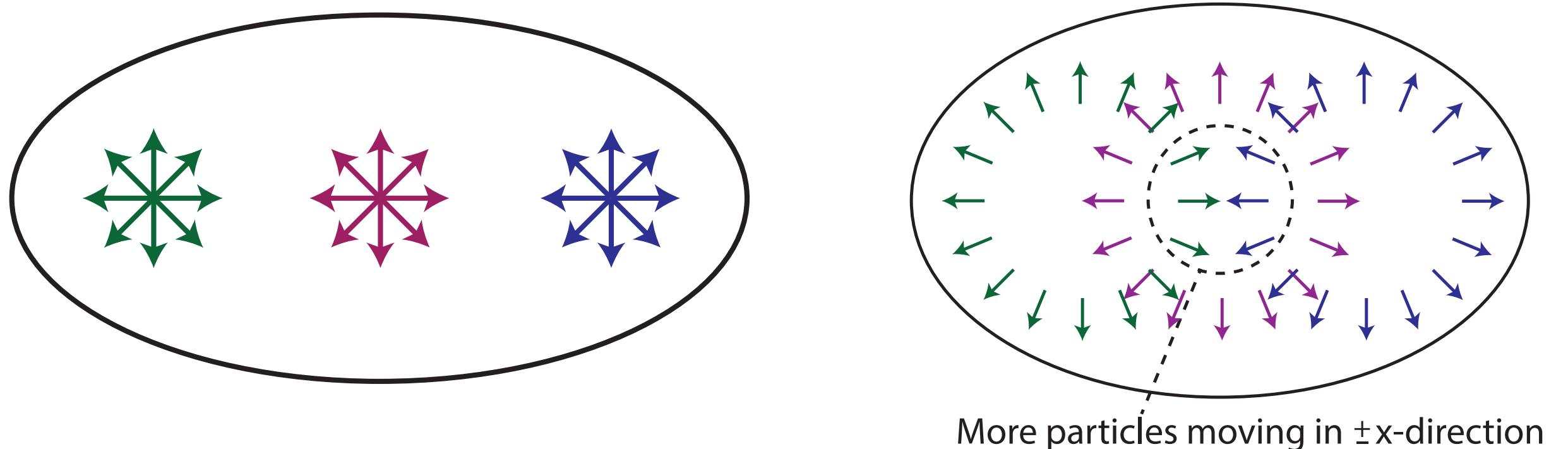
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Scattering randomises directions; more scatterings to ‘out-of-plane’

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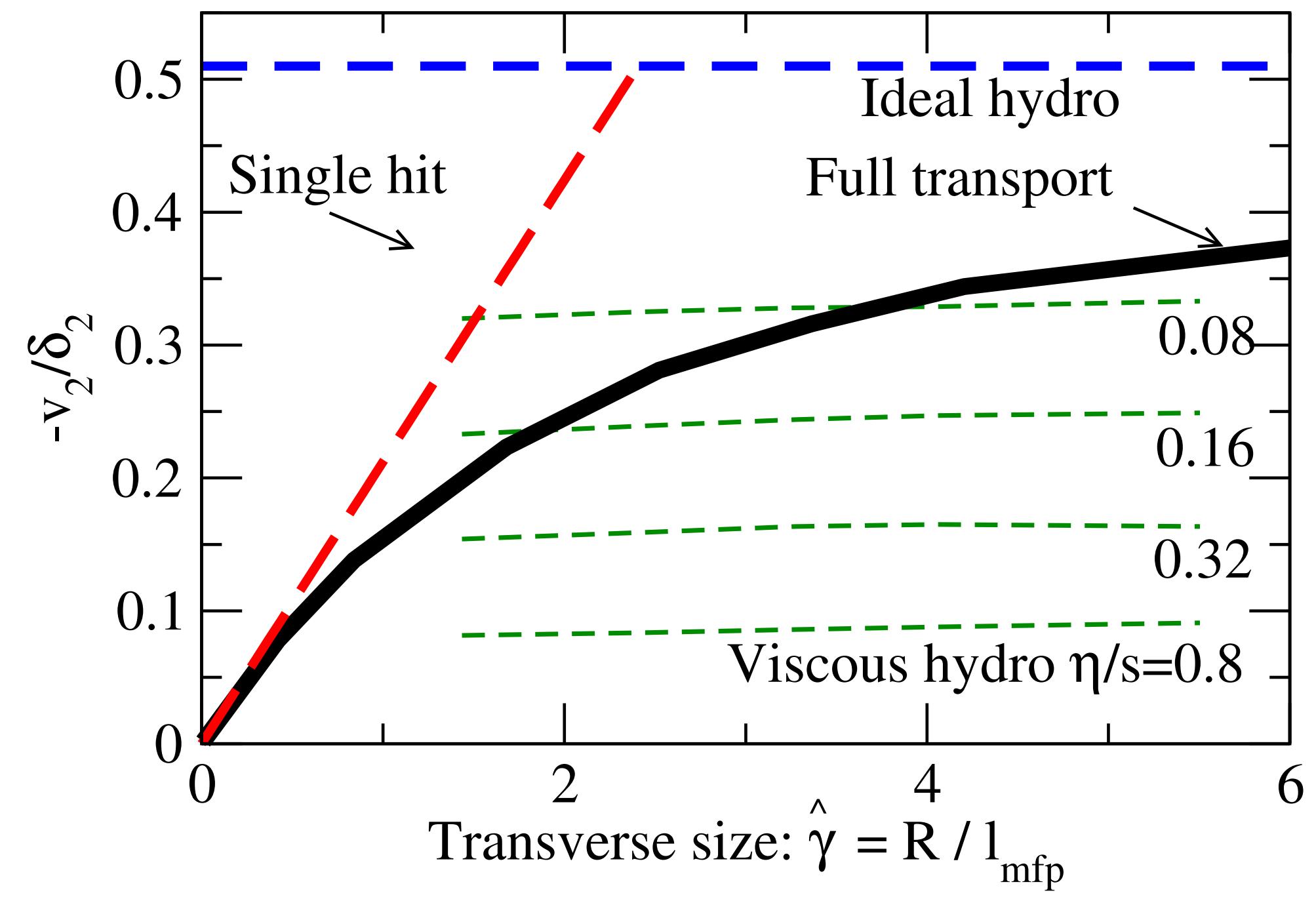
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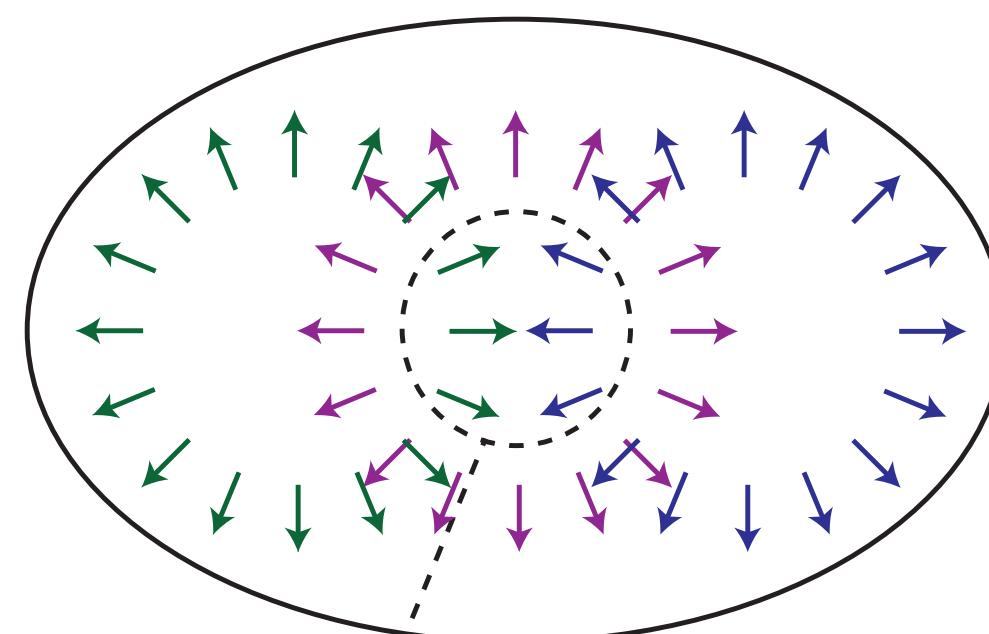
Kurkela, Wiedemann, Wu, arXiv:1805.04031



Small systems: kinetic transport,  
equal to viscous hydro

# Flow without a liquid

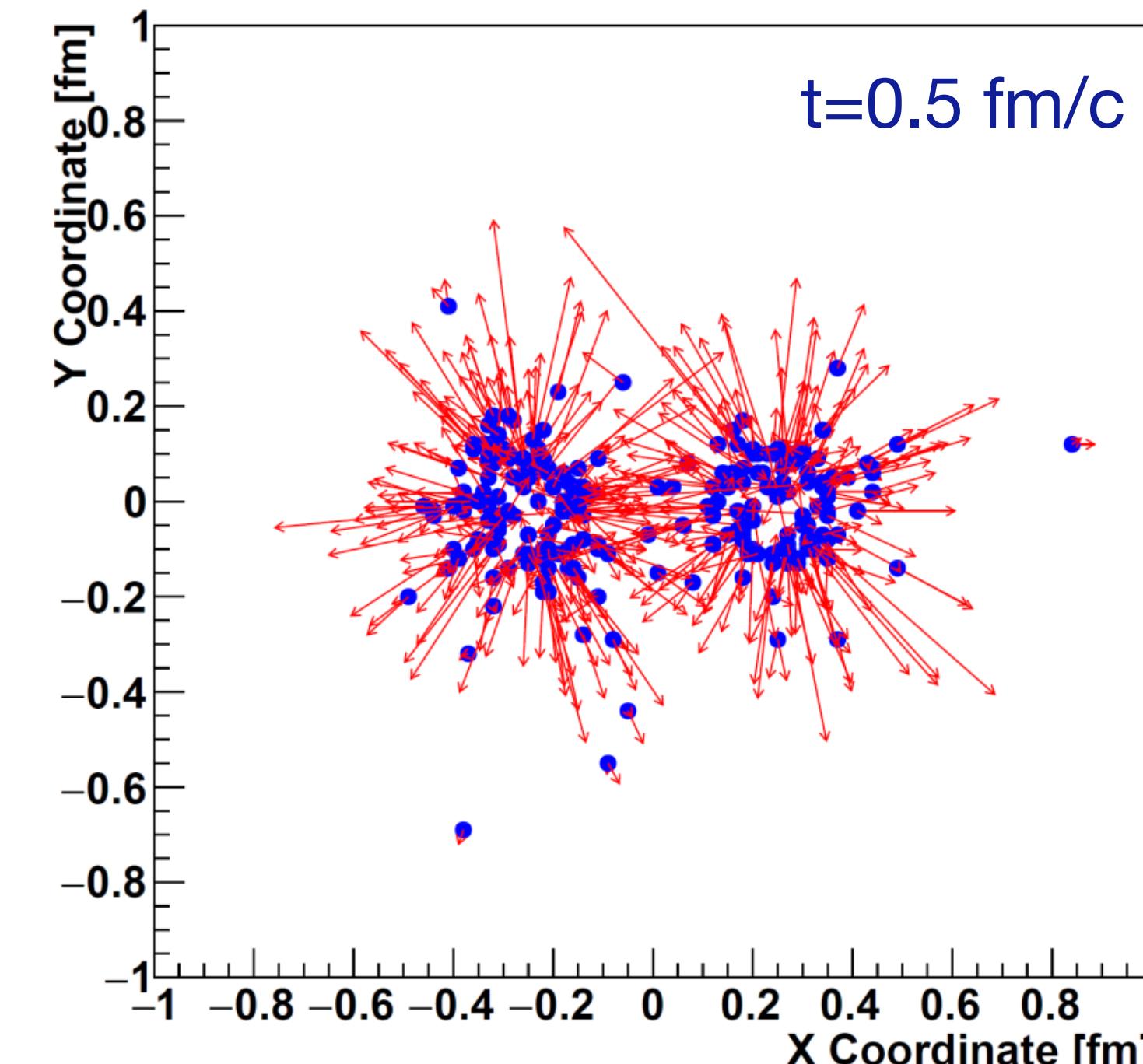
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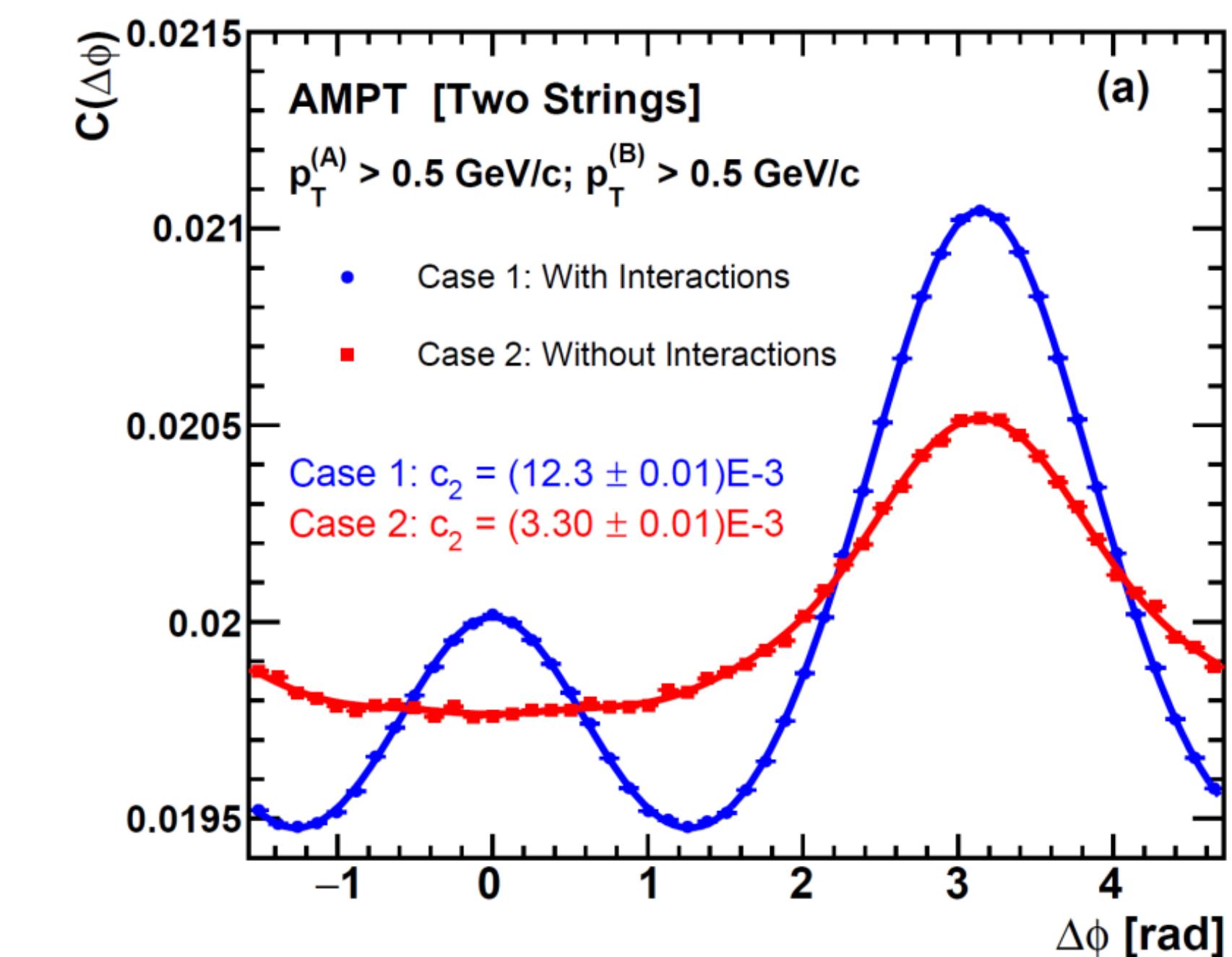
Kurkela, Wiedemann, Wu, [arXiv:1803.02072](https://arxiv.org/abs/1803.02072)

Two parallel strings in AMPT



Formation time is important

Two-particle correlations



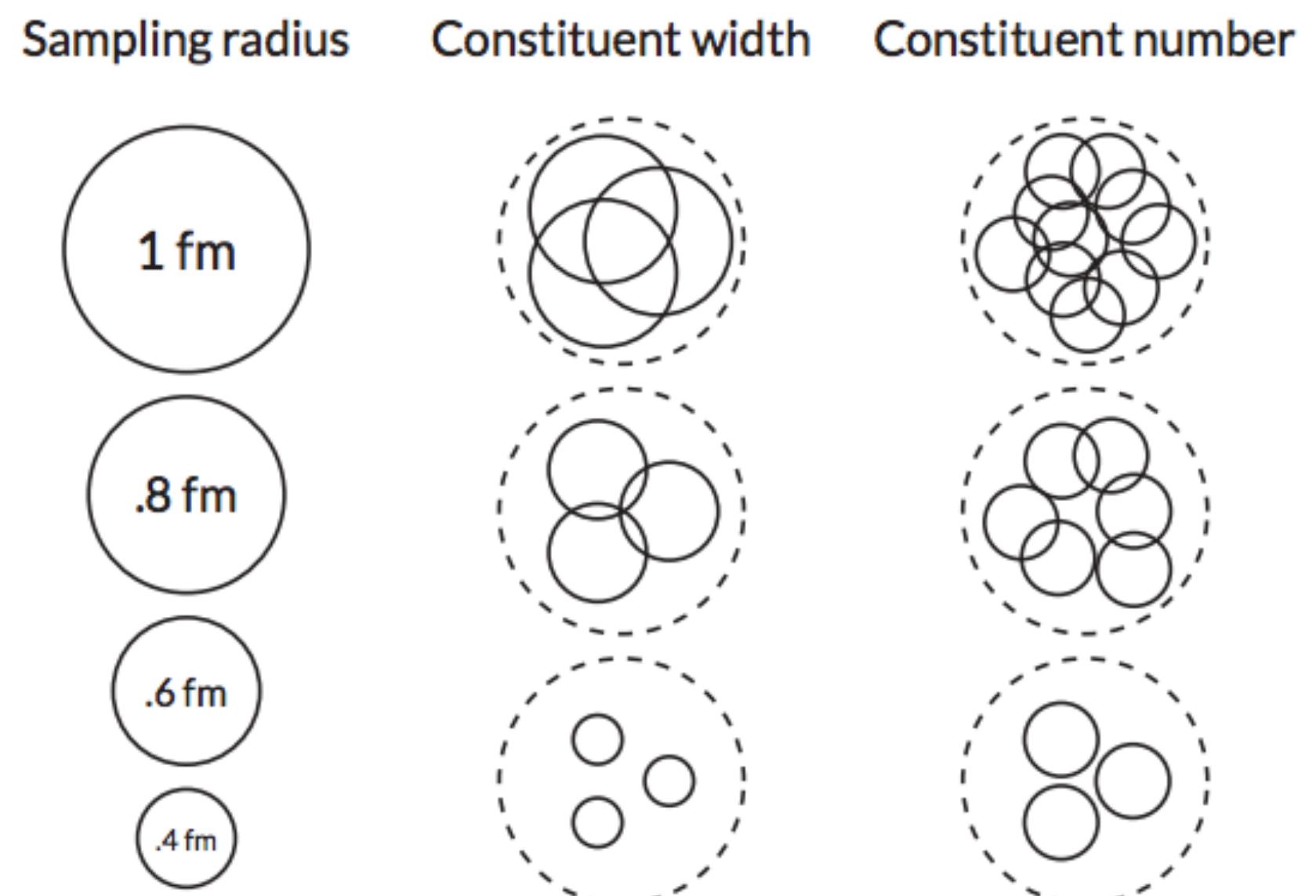
Shows a clear signal  
in a transport calculation

Other mechanisms/pictures being discussed: string shoving, CGC  
⇒ more field-based; to some extent just a different language?

# Deriving proton substructure

J.S. Moreland, N Phys. A982, 503

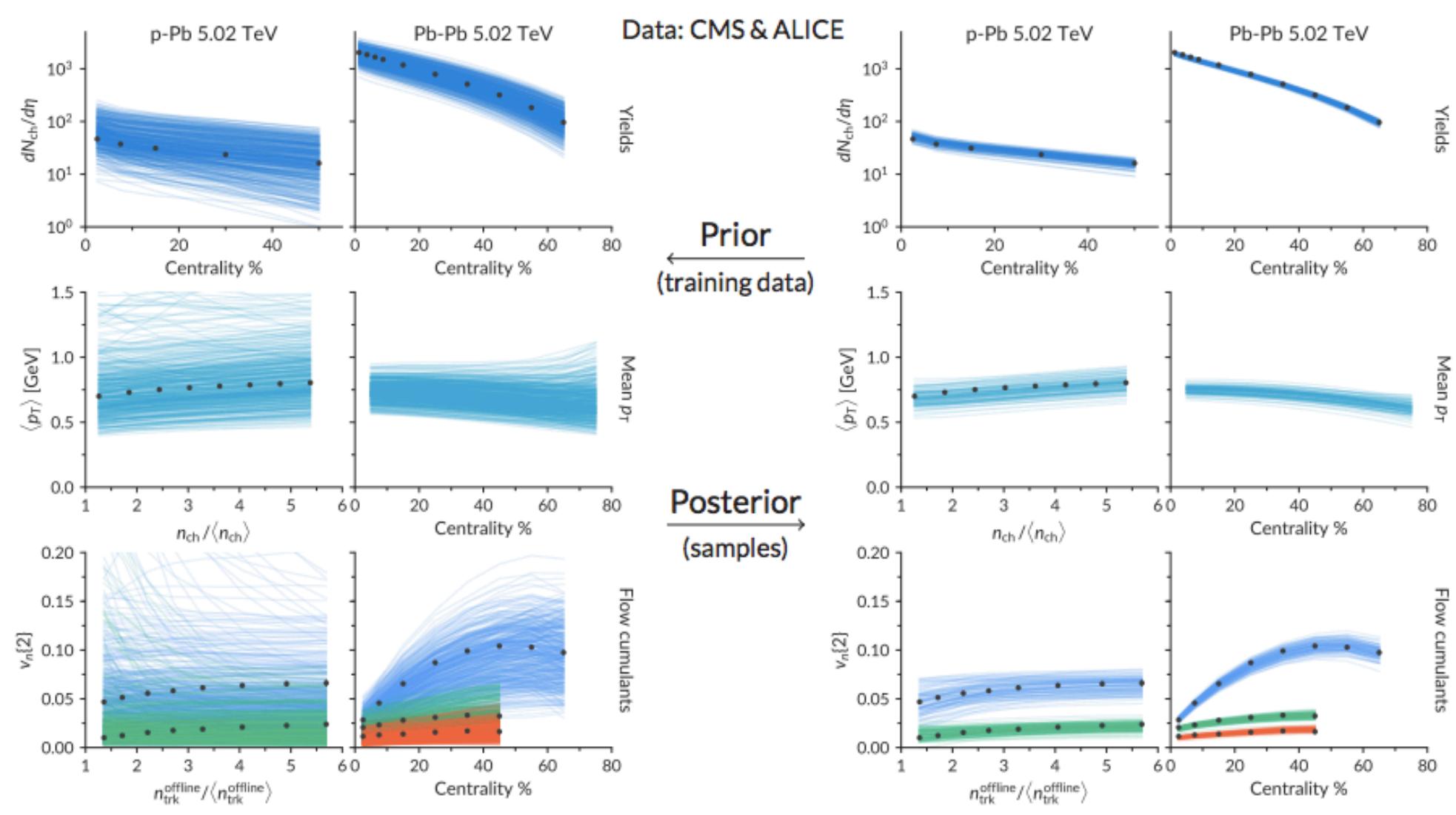
Flow-like effects in pp require substructure  
'constituents', strings, etc



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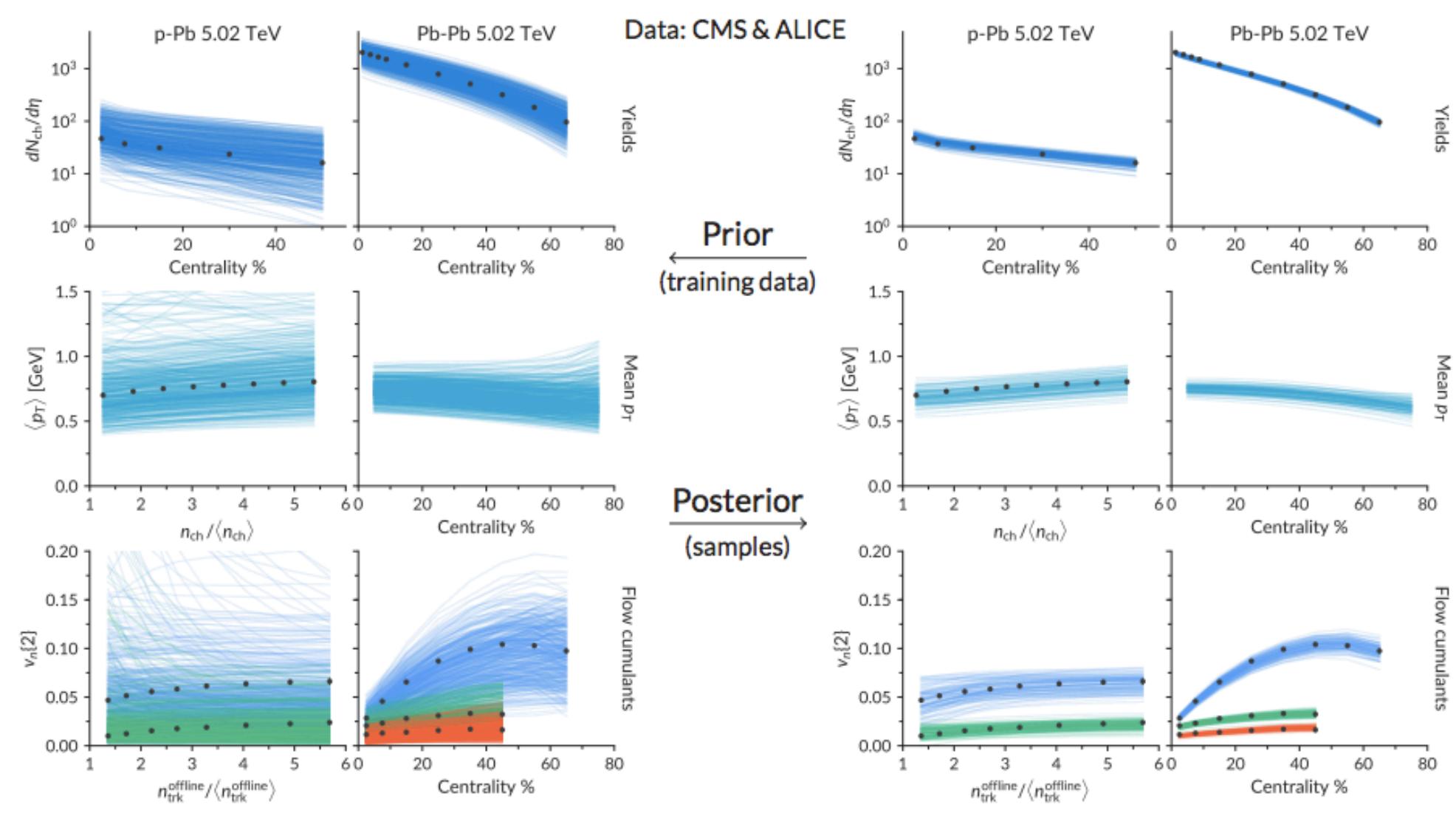
input: multiplicity, mean  $p_T$ ,  $v_n$  in PbPb and p-Pb

Bayesian fit + gaussian emulator: probe large parameter space  
Output: full covariance matrix 15 parameters

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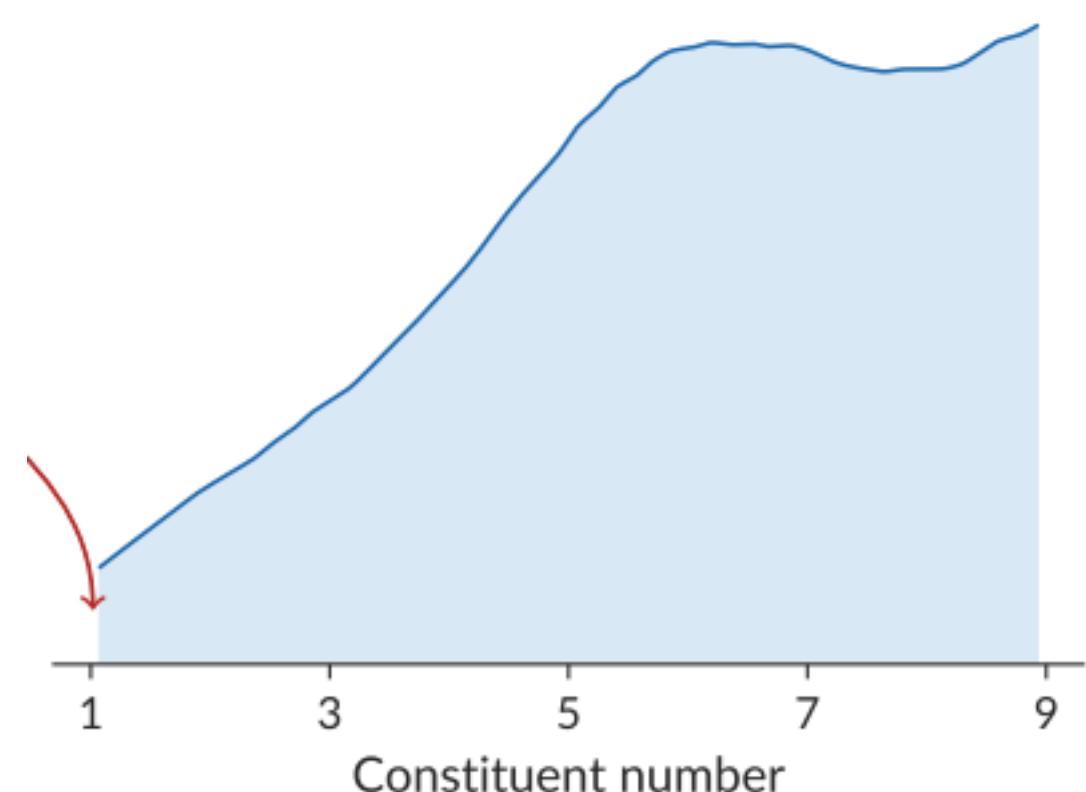
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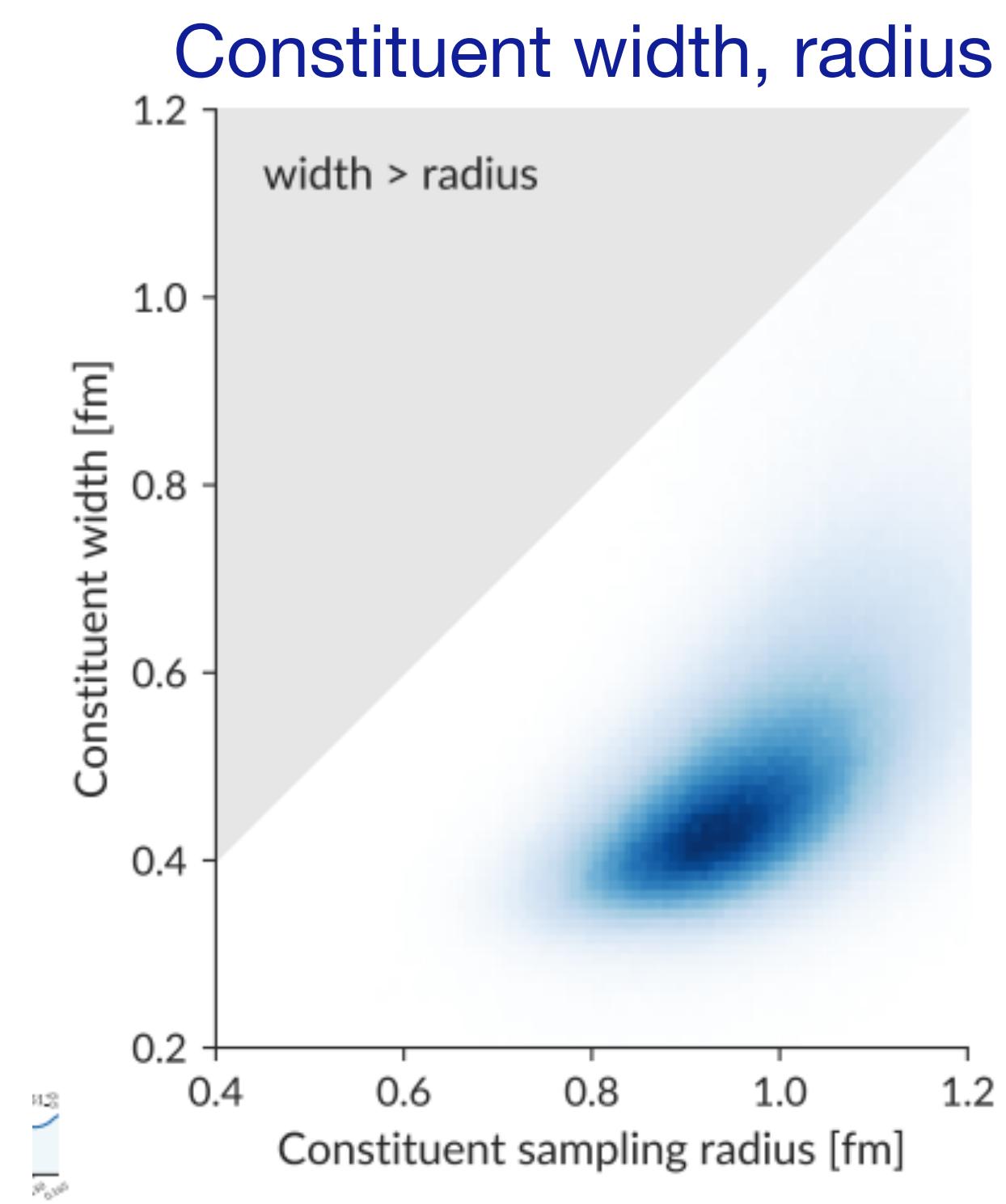
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Number of constituents



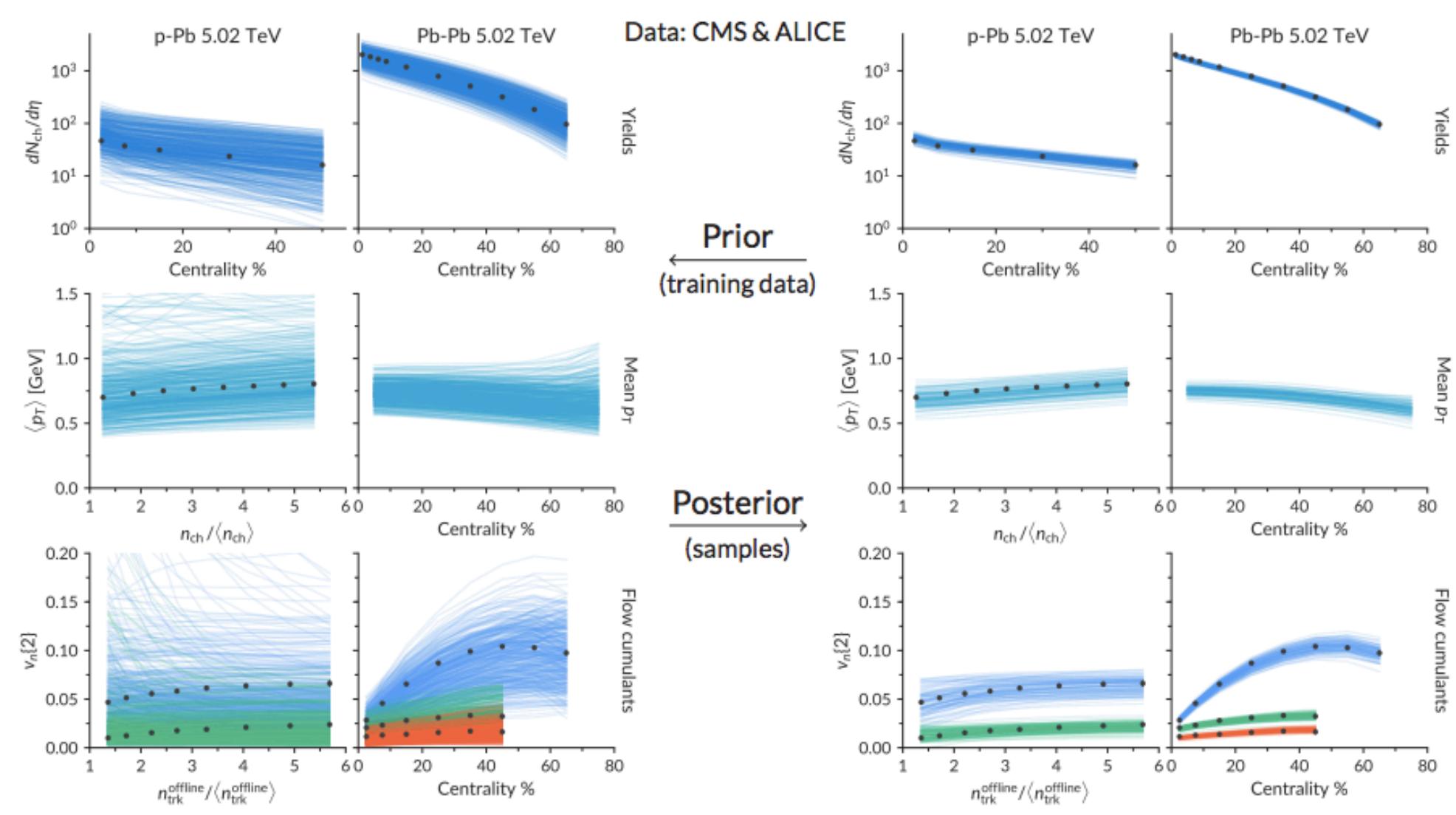
No strong preference for a specific constituent number



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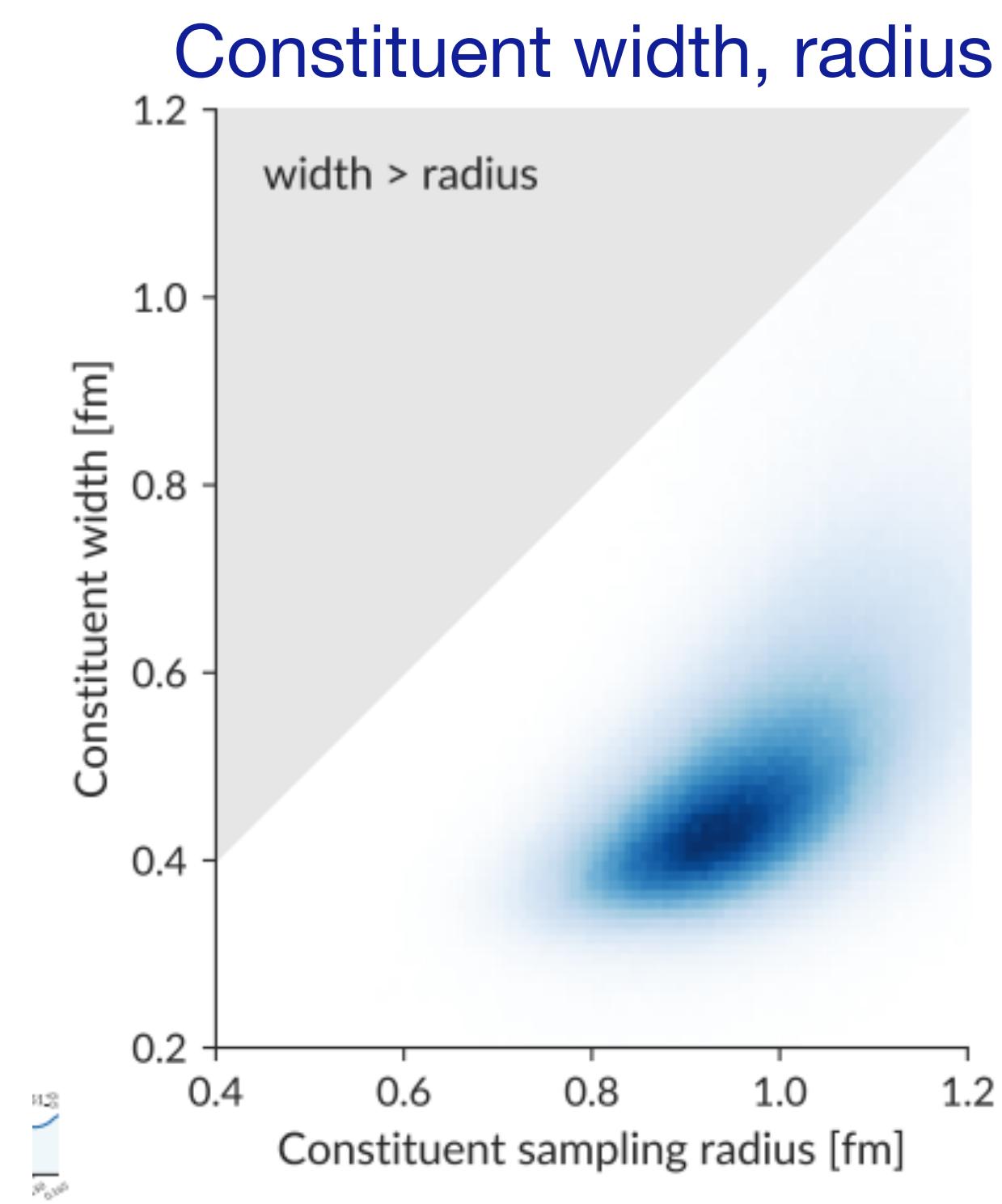
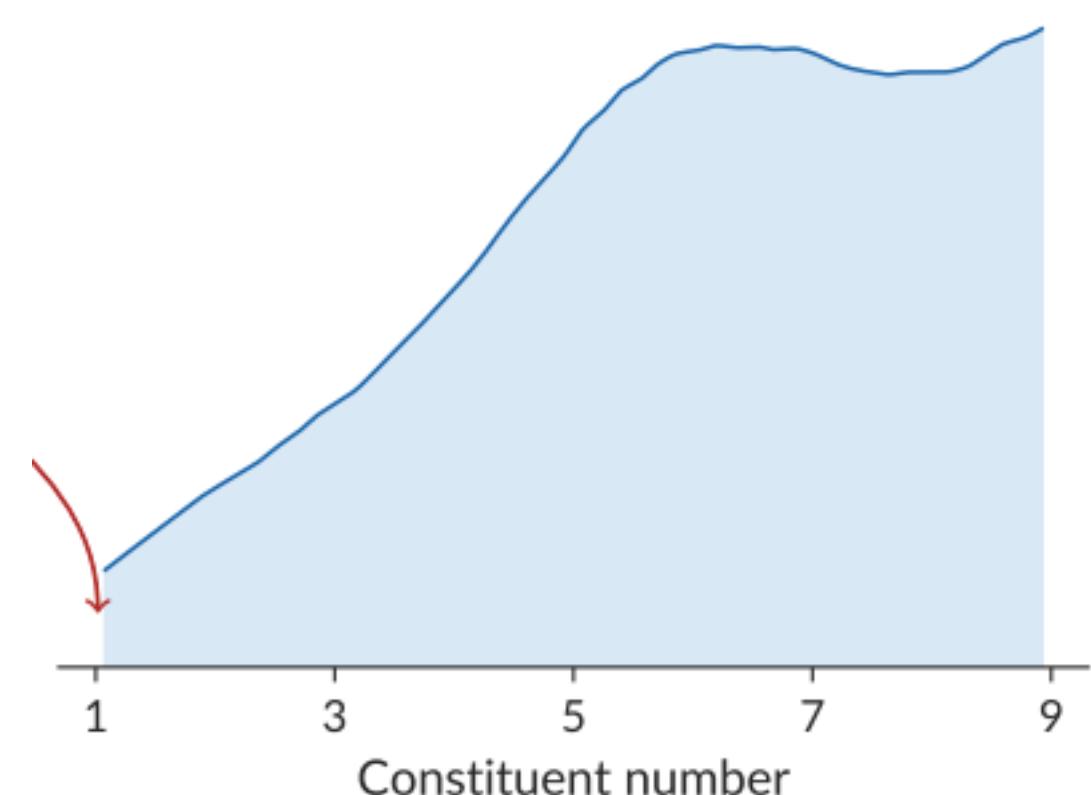
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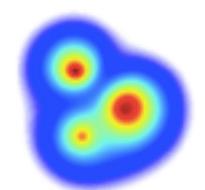
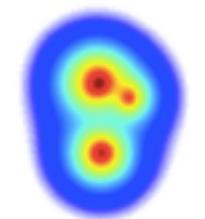
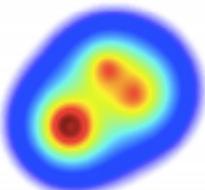
Number of constituents



Shows that we are sensitive to nucleon substructure  
'configuration space picture of the proton'

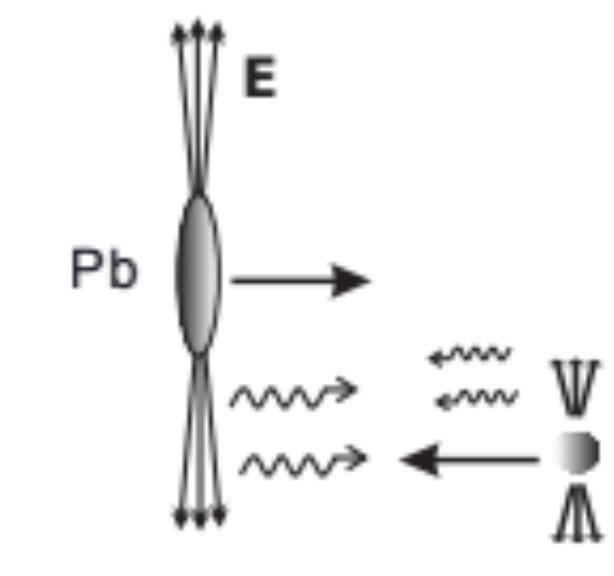
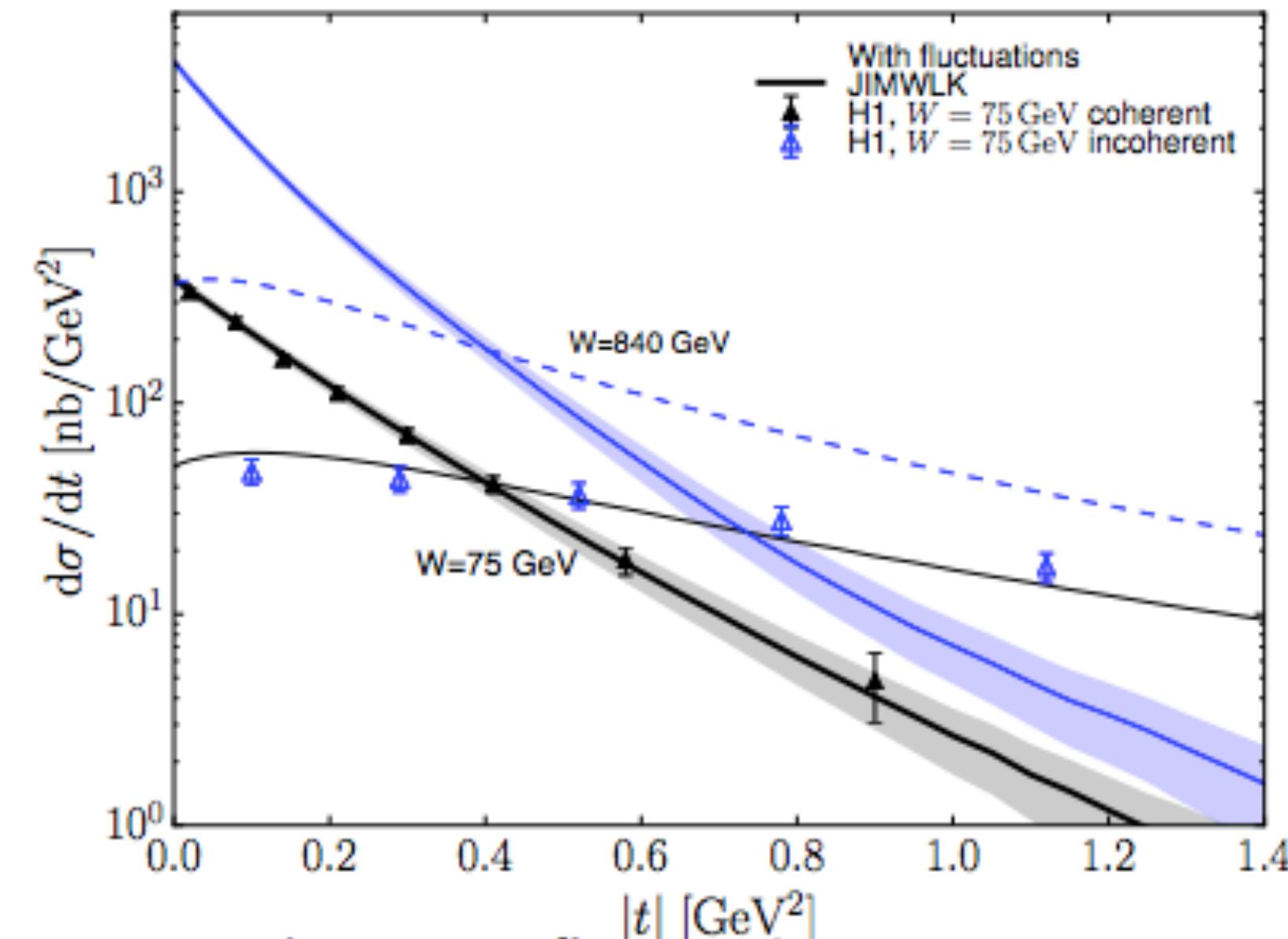
# Proton substructure from UPCs

$W = 75 \text{ GeV}$ :



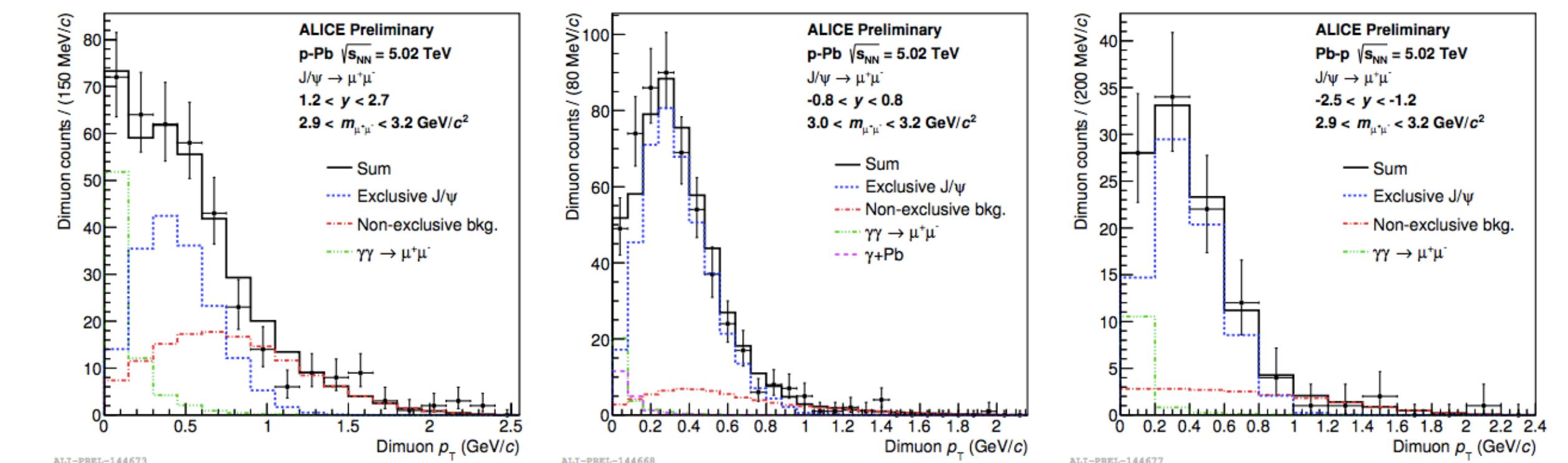
Coherent and incoherent exclusive  $J/\psi$  in ep

$\gamma p \rightarrow J/\psi p, Q^2 = 0 \text{ GeV}^2$



UPC at the LHC

Increasing  $W_{J/\psi} p$



ALICE: 1406.7819

Coherent: average

$$\frac{d\sigma^{\gamma^* p \rightarrow Vp}}{dt} \sim |\langle \mathcal{A}^{\gamma^* p \rightarrow Vp} \rangle|^2$$

Incoherent: RMS

$$\frac{d\sigma^{\gamma^* p \rightarrow Vp^*}}{dt} \sim \langle |\mathcal{A}^{\gamma^* p \rightarrow Vp}|^2 \rangle - |\langle \mathcal{A}^{\gamma^* p \rightarrow Vp} \rangle|^2$$

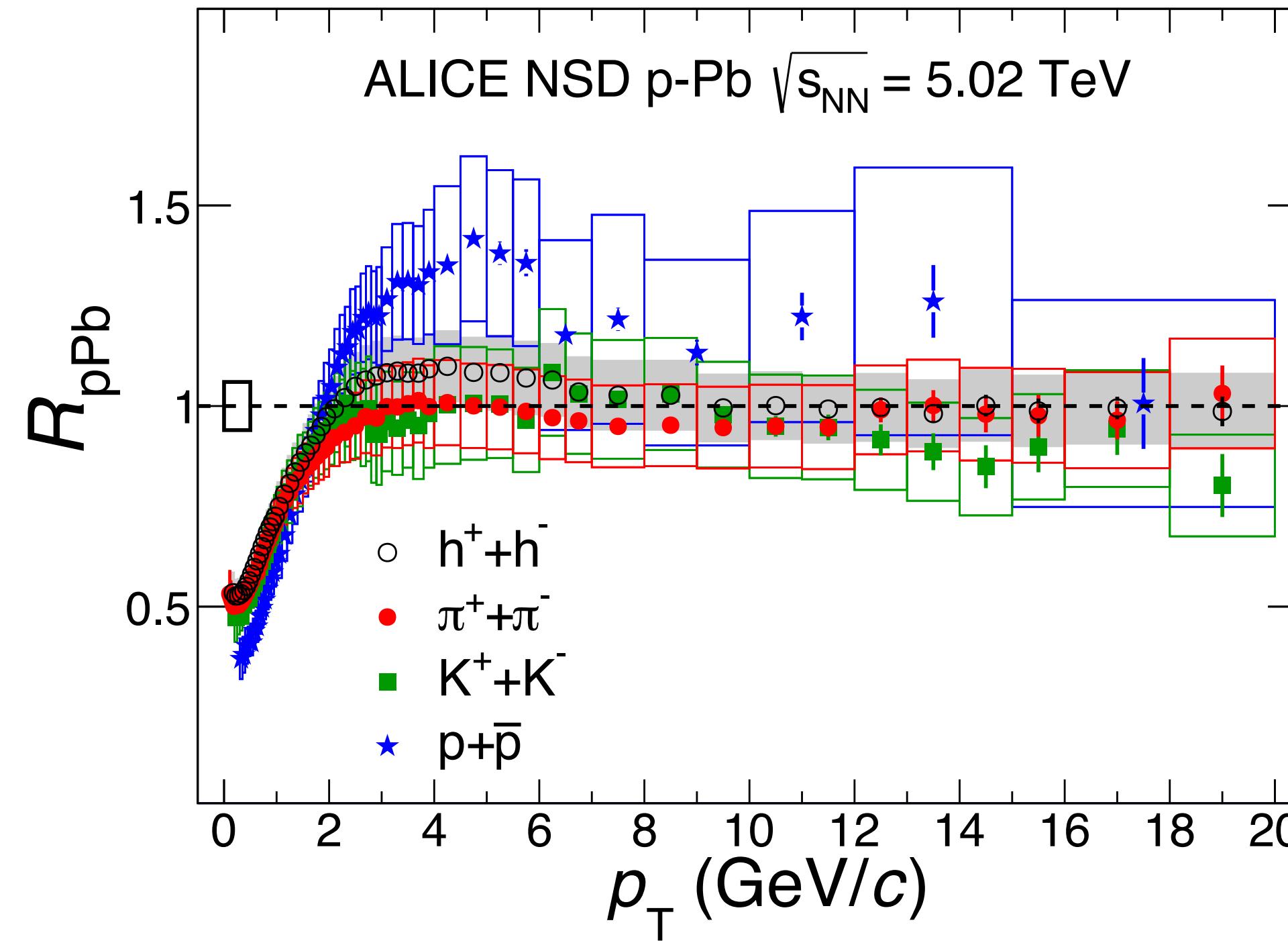
Dissociative increase more slowly than elastic  
consistent with HERA data

Different angle: Spatial size, fluctuations measured by coherent/incoherent interactions

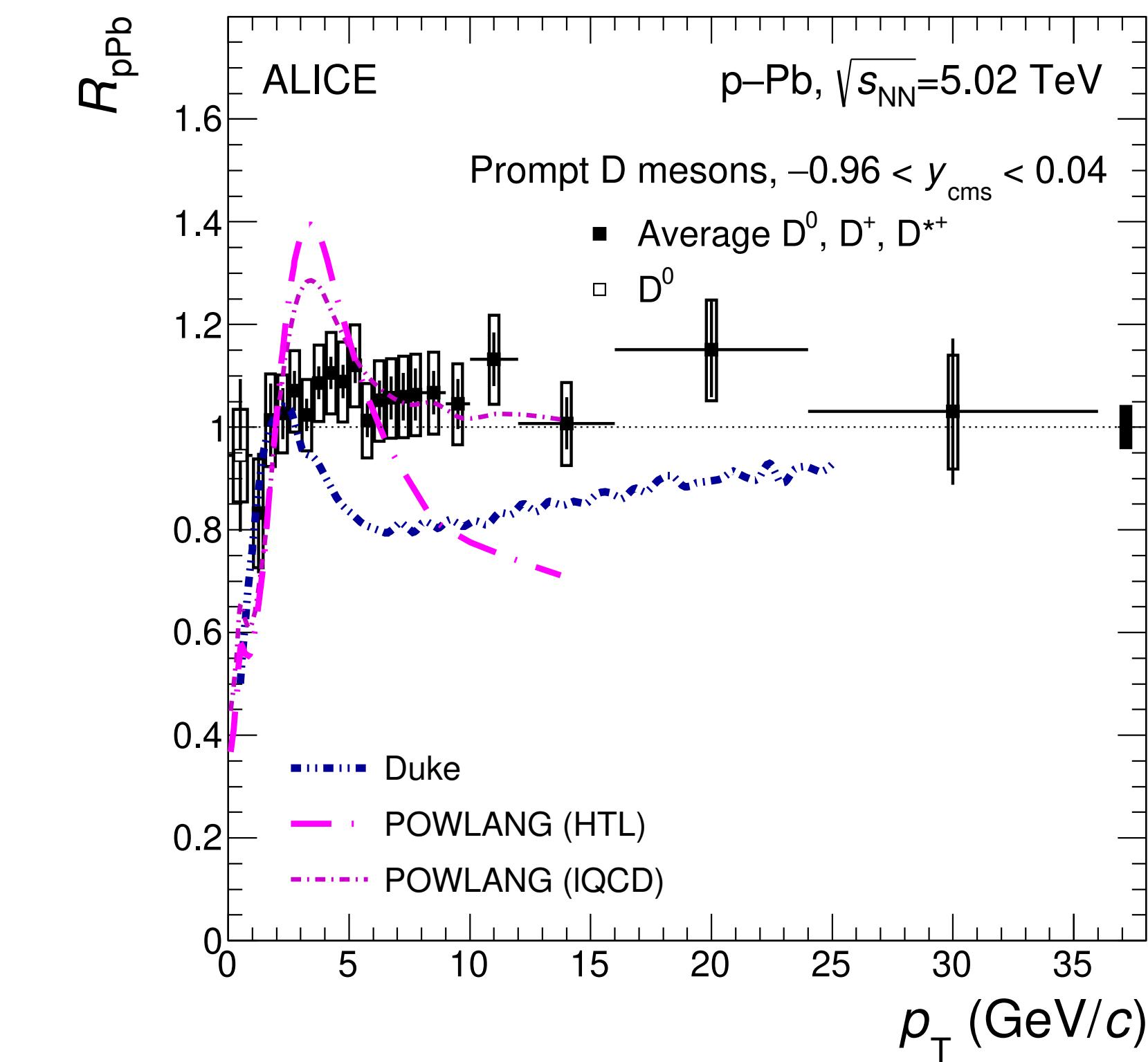
Should compare and contrast conclusions from flow/final state and EM interactions

# Final state interactions, but no energy loss?

Light flavor hadrons



Heavy flavour: D meson



For all particle types:  $R_{pPb} = 1$ , no (large) energy loss

Model curves: effect of parton energy loss

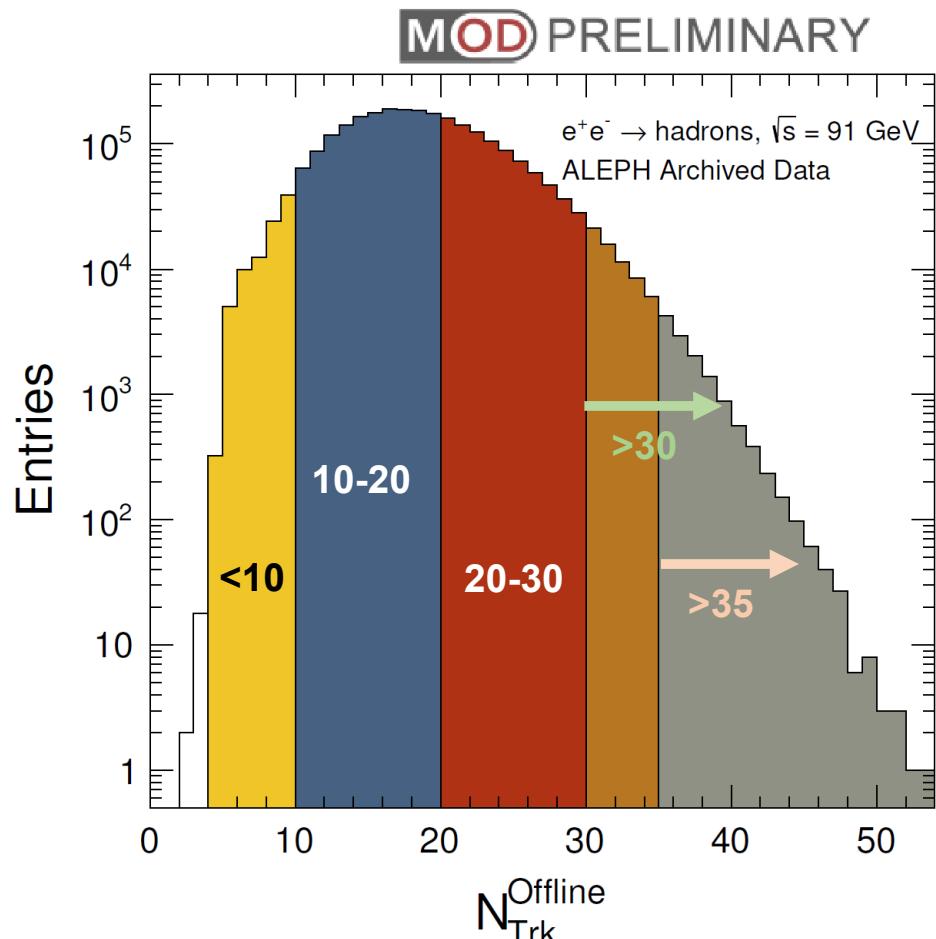
However: spectra shapes change at low to intermediate  $p_T$  in high multiplicity collisions

# Summary/conclusions

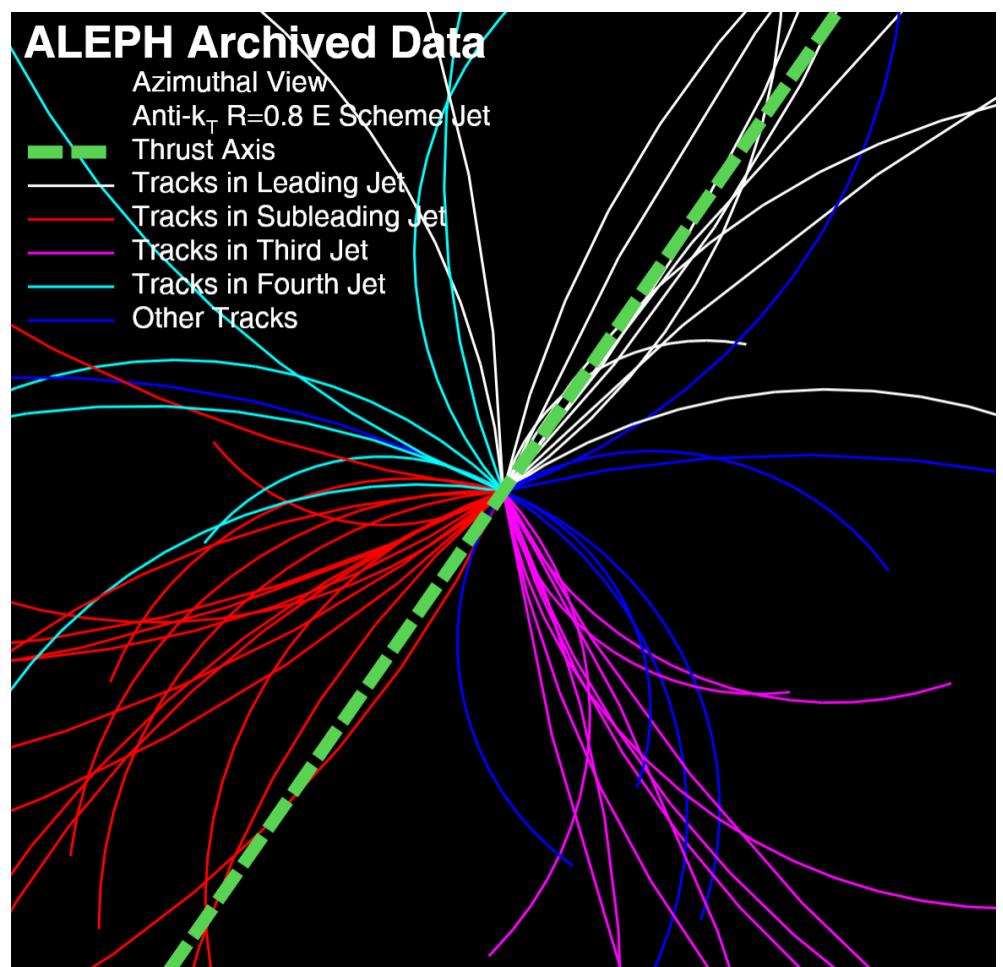
- Jets: tool to study angular distributions of radiated energy
  - Access to underlying dynamics
- High-multiplicity pp and p-Pb show features similar to Pb-Pb collisions:
  - Elliptic flow
  - Increased strange baryon production
- Mechanisms:
  - Multiple parton interactions
  - Final state effects in pp: approach QGP formation?
  - Flow generation more effective than expected with  $R \sim \lambda$

# Switching off the flow: $e^+e^-$

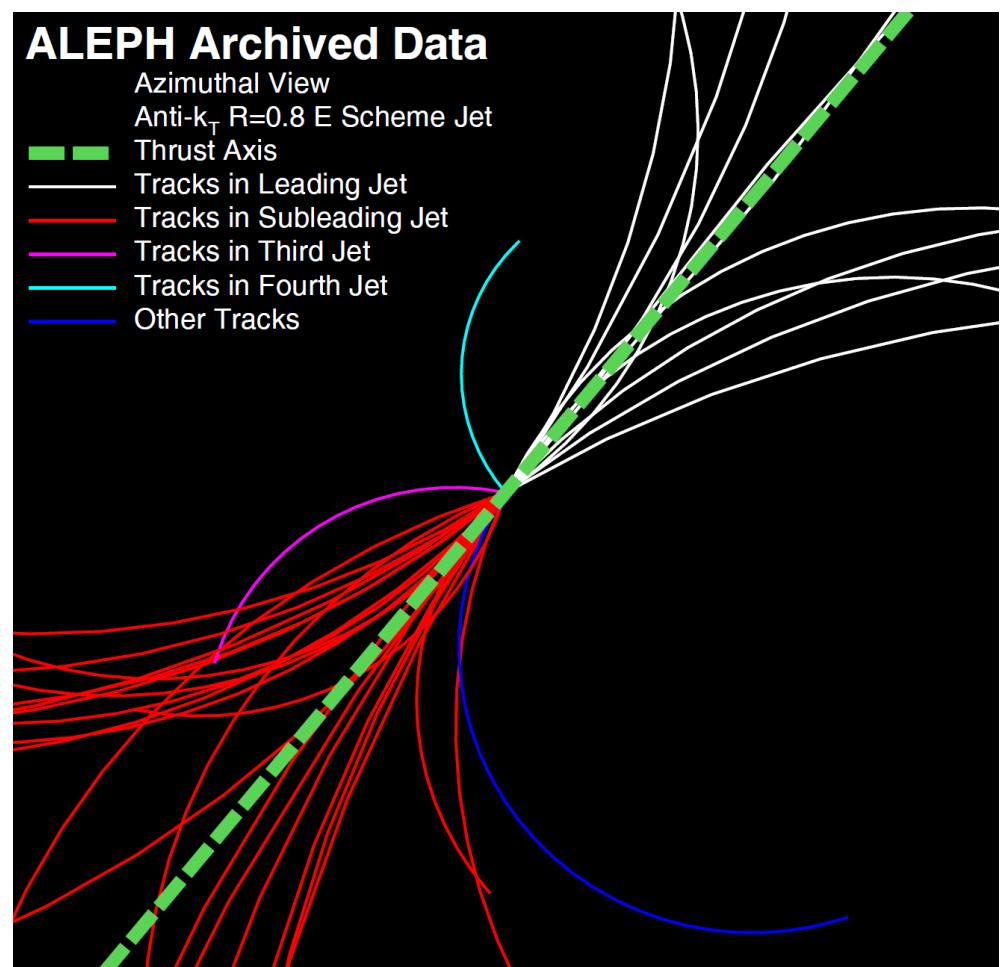
J-Y Lee



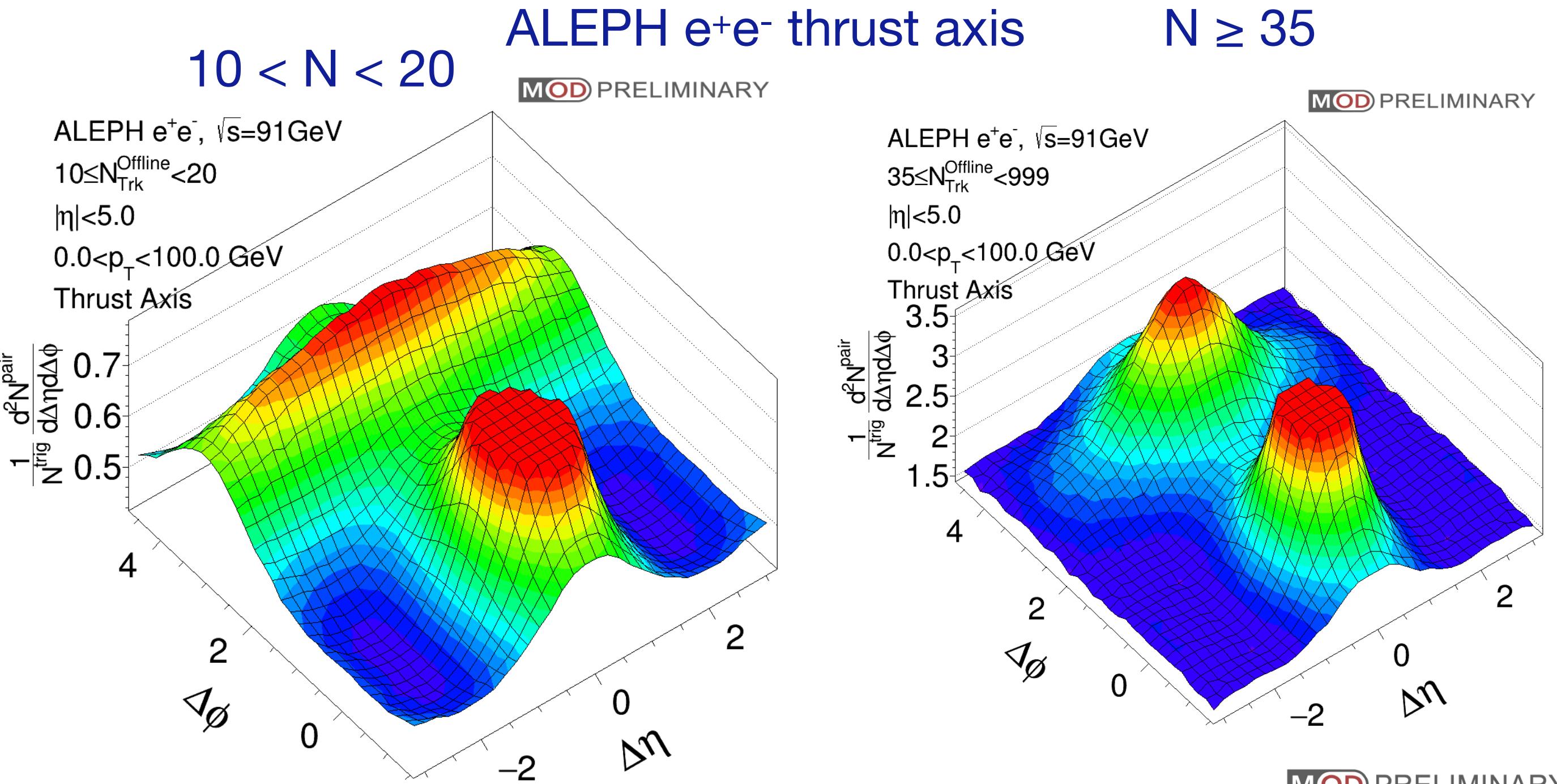
High-multiplicity events



Low T; 'multi-jet'



High T; 'di-jet'



No evidence of long-range correlations beyond Pythia expectation

