



Universität  
Basel

# Fermion mass ratios from non-renormalizable Yukawa operators in $SO(10)$

Christian Hohl

University of Basel

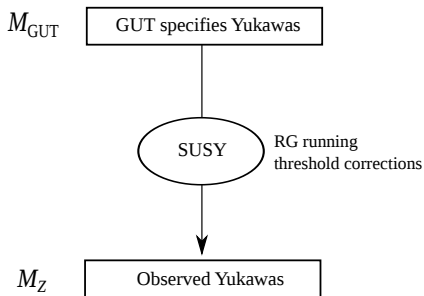
with Stefan Antusch and Vasja Susič

FLASY 2019 (Hefei), 2019-07-24

- Introduction
  - Flavor GUT models.
  - Usual  $SO(10)$  Yukawa operators.
- A class of non-renormalizable  $SO(10)$  Yukawa operators.
  - discrete & continuous VEV alignments
- A simple predictive setup using these operators.
- Conclusions

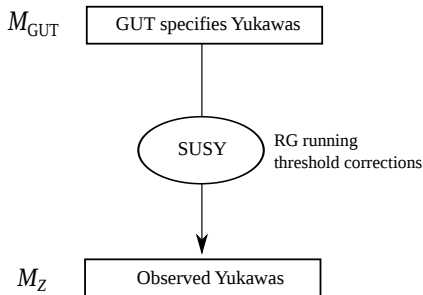
# Flavor GUT models

- Flavor GUT models: relate Yukawas in different sectors at  $M_{\text{GUT}}$ .
- Connecting Yukawas at low and high scale:



# Flavor GUT models

- Flavor GUT models: relate Yukawas in different sectors at  $M_{\text{GUT}}$ .
- Connecting Yukawas at low and high scale:



- Examples of ratios in  $SU(5)$ :
  - $m_b = m_\tau$ : " $b$ - $\tau$  unification"
  - Georgi-Jarlskog:  $m_e = m_d/3$ ,  $m_\mu = 3m_s$
  - $y_\tau = \pm \frac{3}{2}y_b$ ,  $y_\mu = 6y_s$ ,  $y_\mu = \frac{9}{2}y_s$

# Reminder on $SO(10)$ group

Dimension: 45

Maximal subgroups:

$$SU(5) \times U(1)$$

$$SU(4)_C \times SU(2)_L \times SU(2)_R$$

Fermions:

spinorial 16

All sectors join:  $u, d, e, \nu$

Selection of irreducible representations:

10, 45, 54, 120, 210 (real)

16,  $\overline{16}$ , 126,  $\overline{126}$  (complex)

# Reminder on $SO(10)$ group

Dimension: 45

Maximal subgroups:

$$SU(5) \times U(1)$$

$$SU(4)_C \times SU(2)_L \times SU(2)_R$$

Fermions:

spinorial 16

All sectors join:  $u, d, e, \nu$

Selection of irreducible representations:

10, 45, 54, 120, 210 (real)

16,  $\bar{16}$ , 126,  $\bar{126}$  (complex)

MSSM fields

SU(5) SO(10)

$$\begin{array}{l} Q \sim (3, 2, +1/6) \\ u^c \sim (\bar{3}, 1, -4/6) \\ e^c \sim (1, 1, +6/6) \end{array} \left. \vphantom{\begin{array}{l} Q \\ u^c \\ e^c \end{array}} \right] 10$$

$$\begin{array}{l} d^c \sim (\bar{3}, 1, +2/6) \\ L \sim (1, 2, -3/6) \end{array} \left. \vphantom{\begin{array}{l} d^c \\ L \end{array}} \right] \bar{5}$$

$$v^c \sim (1, 1, +0/6) \left. \vphantom{v^c} \right] 1$$

16

---

$$H_u \sim (1, 2, +3/6)$$


$$H_d \sim (1, 2, -3/6)$$

- Fermions:  $3 \times 16_F$   
 $\rightarrow 16 \otimes 16 = 10 \oplus 126 \oplus 120$
- Yukawa operator:

$$W = 16 \cdot 16 \cdot (\mathbf{Y}_{10} 10 + \mathbf{Y}_{\overline{126}} \overline{126} + \mathbf{Y}_{120} 120)$$

- 3 independent matrices: 2 **symmetric**, 1 **antisymmetric**
- MSSM  $H_u$  and  $H_d$  are present in all reps 10,  $\overline{126}$  (126), 120
- Well investigated in the literature<sup>1</sup>

---

<sup>1</sup>see e.g. arXiv:1612.04329, arXiv:1306.4468, arXiv:1811.02895 

- Non-renormalizable Yukawa operators (partly in hep-ph/9308333):

$$W_{Yuk} \propto \left( \prod_{\alpha}^{n_1} 45_{\alpha} \cdot \prod_{\beta}^{m_1} 210_{\beta} \cdot 16_I \right) \cdot H \cdot \left( \prod_{\alpha'}^{n_2} 45_{\alpha'} \cdot \prod_{\beta'}^{m_2} 210_{\beta'} \cdot 16_J \right)$$

$H$ : 10,  $\overline{126}$  or 120

GUT scale VEVs

$\langle 45 \rangle$  and  $\langle 210 \rangle$

in SM singlet direction

45: 2 SM singlets

210: 3 SM singlets

$32 \times 32$  matrices,  
diagonal with “charges”



- Non-renormalizable Yukawa operators (partly in hep-ph/9308333):

$$W_{Yuk} \propto \left( \prod_{\alpha}^{n_1} 45_{\alpha} \cdot \prod_{\beta}^{m_1} 210_{\beta} \cdot 16_I \right) \cdot H \cdot \left( \prod_{\alpha'}^{n_2} 45_{\alpha'} \cdot \prod_{\beta'}^{m_2} 210_{\beta'} \cdot 16_J \right)$$

$H$ : 10,  $\overline{126}$  or 120

GUT scale VEVs

$\langle 45 \rangle$  and  $\langle 210 \rangle$

in SM singlet direction

45: 2 SM singlets

210: 3 SM singlets

$32 \times 32$  matrices,  
diagonal with “charges”

45:	$\langle 1 \rangle$	$\langle 24 \rangle$	$T_R^3$	$B - L$
$Q$	1	1	0	1
$u^c$	1	-4	1	-1
$d^c$	-3	2	-1	-1
$L$	-3	-3	0	-3
$e^c$	1	6	-1	3
$\nu^c$	5	0	1	3

210:	$\langle 1 \rangle$	$\langle 24 \rangle$	$\langle 75 \rangle$	$(1, 1, 1)$	$(1, 1, 15)$	$(1, 3, 15)$
$Q$	1	1	1	1	1	0
$u^c$	1	-4	-1	-1	1	1
$d^c$	-1	-6	0	-1	1	-1
$L$	-1	9	0	1	-3	0
$e^c$	1	6	-3	-1	-3	3
$\nu^c$	-5	0	0	-1	-3	-3

# General Yukawa term — discrete directions

General Yukawa term for discrete VEV alignments  $\langle 45 \rangle$  and  $\langle 210 \rangle$ :

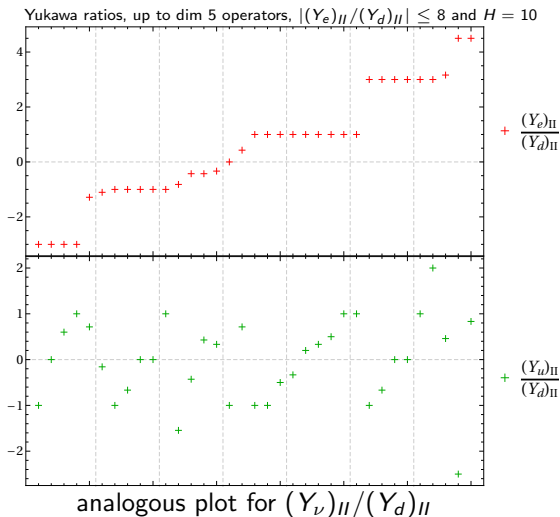
$$\begin{aligned} W \propto & \left( Q_I u_J^c H_u^H \left[ C_{ud}^H \prod_{\alpha, \beta, \alpha' \beta'} q_\alpha(Q) q_\beta(Q) q_{\alpha'}(u^c) q_{\beta'}(u^c) \right] \right. \\ & + Q_J u_I^c H_u^H \left[ s^H C_{ud}^H \prod_{\alpha, \beta, \alpha' \beta'} q_\alpha(u^c) q_\beta(u^c) q_{\alpha'}(Q) q_{\beta'}(Q) \right] \\ & + Q_I d_J^c H_d^H \left[ C_{ud}^H \prod_{\alpha, \beta, \alpha' \beta'} q_\alpha(Q) q_\beta(Q) q_{\alpha'}(d^c) q_{\beta'}(d^c) \right] \\ & + Q_J d_I^c H_d^H \left[ s^H C_{ud}^H \prod_{\alpha, \beta, \alpha' \beta'} q_\alpha(d^c) q_\beta(d^c) q_{\alpha'}(Q) q_{\beta'}(Q) \right] \\ & + L_I e_J^c H_e^H \left[ C_{e\nu}^H \prod_{\alpha, \beta, \alpha' \beta'} q_\alpha(L) q_\beta(L) q_{\alpha'}(e^c) q_{\beta'}(e^c) \right] \\ & + L_J e_I^c H_e^H \left[ s^H C_{e\nu}^H \prod_{\alpha, \beta, \alpha' \beta'} q_\alpha(e^c) q_\beta(e^c) q_{\alpha'}(L) q_{\beta'}(L) \right] \\ & + L_I \nu_J^c H_\nu^H \left[ C_{e\nu}^H \prod_{\alpha, \beta, \alpha' \beta'} q_\alpha(L) q_\beta(L) q_{\alpha'}(\nu^c) q_{\beta'}(\nu^c) \right] \\ & \left. + L_J \nu_I^c H_\nu^H \left[ s^H C_{e\nu}^H \prod_{\alpha, \beta, \alpha' \beta'} q_\alpha(\nu^c) q_\beta(\nu^c) q_{\alpha'}(L) q_{\beta'}(L) \right] \right) \end{aligned}$$

$s^H, C^H$ : group theoretical factors (depending on the rep  $\mathbf{H}$ )

$q$ : “charges”

# Discrete directions and new ratios for model building

- If  $SU(5)$  or  $PS$  discrete VEV alignments of 45 or 210  
New possible Yukawa predictions at  $M_{GUT}$ .



# Effective operators — arbitrary directions

- Arbitrary alignments of  $\langle 45 \rangle$  and  $\langle 210 \rangle$  ( $\rightarrow$  no analog in  $SU(5)$ )
    - 45: 2 SM singlets  $\rightarrow$  1 ratio  $\kappa \in \mathbb{C}$
    - 210: 3 SM singlets  $\rightarrow$  2 ratios  $\kappa_1, \kappa_2 \in \mathbb{C}$
- } additional model parameters

# Effective operators — arbitrary directions

- Arbitrary alignments of  $\langle 45 \rangle$  and  $\langle 210 \rangle$  ( $\rightarrow$  no analog in SU(5))
    - 45: 2 SM singlets  $\rightarrow$  1 ratio  $\kappa \in \mathbb{C}$
    - 210: 3 SM singlets  $\rightarrow$  2 ratios  $\kappa_1, \kappa_2 \in \mathbb{C}$
- } additional model parameters
- General Yukawa term for a single field 45 and 210:

$$\begin{aligned}
 W \propto & \left( Q_I u_J^c H_u^H \left[ C_{ud}^H P_Q(\kappa)^{n_1} R_Q(\kappa_1, \kappa_2)^{m_1} P_{u^c}(\kappa)^{n_2} R_{u^c}(\kappa_1, \kappa_2)^{m_2} \right] \right. \\
 & + Q_J u_I^c H_u^H \left[ s^H C_{ud}^H P_{u^c}(\kappa)^{n_1} R_{u^c}(\kappa_1, \kappa_2)^{m_1} P_Q(\kappa)^{n_2} R_Q(\kappa_1, \kappa_2)^{m_2} \right] \\
 & + Q_I d_J^c H_d^H \left[ C_{ud}^H P_Q(\kappa)^{n_1} R_Q(\kappa_1, \kappa_2)^{m_1} P_{d^c}(\kappa)^{n_2} R_{d^c}(\kappa_1, \kappa_2)^{m_2} \right] \\
 & + Q_J d_I^c H_d^H \left[ s^H C_{ud}^H P_{d^c}(\kappa)^{n_1} R_{d^c}(\kappa_1, \kappa_2)^{m_1} P_Q(\kappa)^{n_2} R_Q(\kappa_1, \kappa_2)^{m_2} \right] \\
 & + L_I e_J^c H_e^H \left[ C_{ev}^H P_L(\kappa)^{n_1} R_L(\kappa_1, \kappa_2)^{m_1} P_{e^c}(\kappa)^{n_2} R_{e^c}(\kappa_1, \kappa_2)^{m_2} \right] \\
 & + L_J e_I^c H_e^H \left[ s^H C_{ev}^H P_{e^c}(\kappa)^{n_1} R_{e^c}(\kappa_1, \kappa_2)^{m_1} P_L(\kappa)^{n_2} R_L(\kappa_1, \kappa_2)^{m_2} \right] \\
 & + L_I \nu_J^c H_\nu^H \left[ C_{e\nu}^H P_L(\kappa)^{n_1} R_L(\kappa_1, \kappa_2)^{m_1} P_{\nu^c}(\kappa)^{n_2} R_{\nu^c}(\kappa_1, \kappa_2)^{m_2} \right] \\
 & \left. + L_J \nu_I^c H_\nu^H \left[ s^H C_{e\nu}^H P_{\nu^c}(\kappa)^{n_1} R_{\nu^c}(\kappa_1, \kappa_2)^{m_1} P_L(\kappa)^{n_2} R_L(\kappa_1, \kappa_2)^{m_2} \right] \right)
 \end{aligned}$$

$P, R$ : polynomials of  $\kappa, \kappa_1, \kappa_2$  (dependent on the "charges"  $q$ )

# Doublet-triplet splitting

- Weak doublets  $D_i$  come along with color triplets  $T_i$  in GUT irreps:
  - Linear combinations  $H_u = \sum_i a_i D_i$ ,  $H_d = \sum_i b_i \bar{D}_i$  light.
  - All other masses at  $M_{GUT}$ .
  - Possible solution: fine-tuning
- Multiple doublets:
  - Yukawa operators dependant on  $a_i$ ,  $b_j$ .
  - May give **extra freedom** to SO(10) constraints.

# Doublet-triplet splitting

- Weak doublets  $D_i$  come along with color triplets  $T_i$  in GUT irreps:
  - Linear combinations  $H_u = \sum_i a_i D_i$ ,  $H_d = \sum_i b_i \bar{D}_i$  light.
  - All other masses at  $M_{GUT}$ .
  - Possible solution: fine-tuning
- Multiple doublets:
  - Yukawa operators dependant on  $a_i$ ,  $b_i$ .
  - May give **extra freedom** to SO(10) constraints.
- Example models of Higgs sectors with predictive (anti)doublet VEVs
  - $W_{\text{Higgs}} = \frac{1}{2} m_{10} 10 \cdot 10 - \sqrt{\frac{5}{3}} \lambda 10 \cdot 10 \cdot 54$   
→ fine tuning condition:  $\lambda = -\frac{m_{10}}{\langle 54 \rangle}$
  - $W_{\text{Higgs}} = \frac{1}{2} m_{120} 120 \cdot 120 - 2\sqrt{15} \lambda 120 \cdot 120 \cdot 54$   
→ fine tuning condition:  $\lambda = -\frac{m_{120}}{6\langle 54 \rangle}$

# Simple model

- No 210, only one 45 with arbitrary direction  $\kappa$ .
  - The 10 is used for  $H_u$  and  $H_d$ .
- Only 3rd and 2nd family, neglect mixing, no neutrino masses:
- **Single operator dominance:**
  - Each Yukawa entry comes from a single GUT operator.



- No 210, only one 45 with arbitrary direction  $\kappa$ .
  - The 10 is used for  $H_u$  and  $H_d$ .
- Only 3rd and 2nd family, neglect mixing, no neutrino masses:
- **Single operator dominance:**  
→ Each Yukawa entry comes from a single GUT operator.

- $W_{Yuk} = \lambda_2 \mathcal{O}_{22} + \lambda_3 \mathcal{O}_{33}$

- 3rd family:  $t$ - $b$ - $\tau$  unification ( $n_1 = n_2 = 0$ )

$$\mathcal{O}_{33} = 16_3 \cdot 10 \cdot 16_3$$

- 2nd family: choose  $n_1 = 1$ ,  $n_2 = 1$  (two copies of the same 45)

$$\mathcal{O}_{22} = 45 \cdot 16_2 \cdot 10 \cdot 45 \cdot 16_2$$

$$Y_d = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix}, \quad Y_e = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \lambda_2 |y_u/y_s| & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix}, \quad Y_u = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \lambda_2 |y_c/y_s| & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix} \quad @ M_{GUT}$$

$|y_u/y_s|$  and  $|y_c/y_s|$  are polynomials of  $\kappa$ .

- Numerical analysis for CMSSM

- parameters:  $\tan \beta, m_0, \underbrace{M_{1/2}, A_0}_{\text{soft parameters}}, |\kappa|, \arg \kappa, \lambda_3, \lambda_2$  (8) @ $M_{GUT}$

- observables (fit):  $y_t, y_b, y_\tau, y_c, y_s, y_\mu, m_h$  (7) @ $M_Z$
- observables (no fit): **sparticle spectrum** @ $M_{SUSY}$

- Numerical analysis for CMSSM
  - parameters:  $\tan \beta, m_0, \underbrace{M_{1/2}, A_0, |\kappa|}_{\text{soft parameters}}, \arg \kappa, \lambda_3, \lambda_2$  (8) @ $M_{GUT}$
  - observables (fit):  $y_t, y_b, y_\tau, y_c, y_s, y_\mu, m_h$  (7) @ $M_Z$
  - observables (no fit): **sparticle spectrum** @ $M_{SUSY}$
- Calculation of RG running, SUSY threshold corrections and Higgs mass:  
SusyTC<sup>2</sup>, REAP<sup>3</sup>, FeynHiggs<sup>4</sup>
- Best fit:  $\chi^2 < 10^{-5}$ 
  - EW vacuum stability check with Vevacious<sup>5</sup>
  - Bayesian analysis using MCMC

---

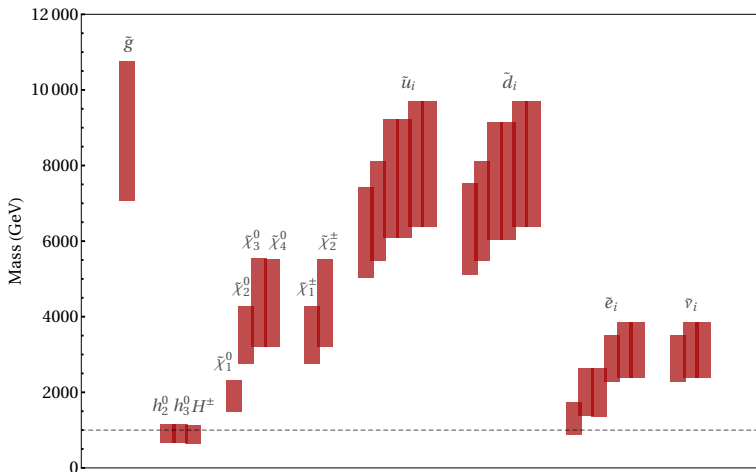
<sup>2</sup> arXiv:1512.06727

<sup>3</sup> hep-ph/0501272

<sup>4</sup> hep-ph/9812320

<sup>5</sup> arXiv:1307.1477

## Sparticle spectrum ( $1\sigma$ HPD intervals)



- Alternative class of operators for SO(10) Yukawa sector:

$$16 \cdot 16 \cdot H \cdot 45^n \cdot 210^m \quad (H = 10, \overline{126} \text{ or } 120)$$

- Discrete and continuous direction of  $\langle 45 \rangle$  and  $\langle 210 \rangle$   
→ new ratios for flavor GUT model building
- A simple model:  
using only one 45, arbitrary direction  
single operator dominance,  $Y_{22}$  and  $Y_{33}$  entries  
prediction: sparticle spectrum