

gCP symmetry in modular- -invariant models of flavour



in collaboration with S.T. Petcov, A.V. Titov and
P.P. Novichkov [1905.11970, accepted in JHEP]



European Union



João Penedo
(CFTP, Lisbon)

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3ν flavour paradigm



Recall e.g. talk
by J. Valle

Masses: ordering

$$\frac{\Delta m_{\odot}^2}{|\Delta m_A^2|} \sim \frac{1}{30}$$

Normal ordering (NO)

$$m_1 < m_2 < m_3$$

$$\text{————— } m_3$$

$$\text{===== } m_2$$

$$\text{===== } m_1$$

vs.

Inverted ordering (IO)

$$m_3 < m_1 < m_2$$

$$\text{===== } m_2$$

$$\text{===== } m_1$$

$$\text{————— } m_3$$

Mixing: parameterisation

$$c_{ij} \equiv \cos \theta_{ij}, \quad s_{ij} \equiv \sin \theta_{ij}$$

$$U_{\text{PMNS}} = \begin{pmatrix} 1 & & \\ & c_{23} & s_{23} \\ & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & & s_{13}e^{-i\delta} \\ & 1 & \\ -s_{13}e^{i\delta} & & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & \\ -s_{12} & c_{12} & \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & & \\ & e^{i\alpha_{21}/2} & \\ & & e^{i\alpha_{31}/2} \end{pmatrix}$$

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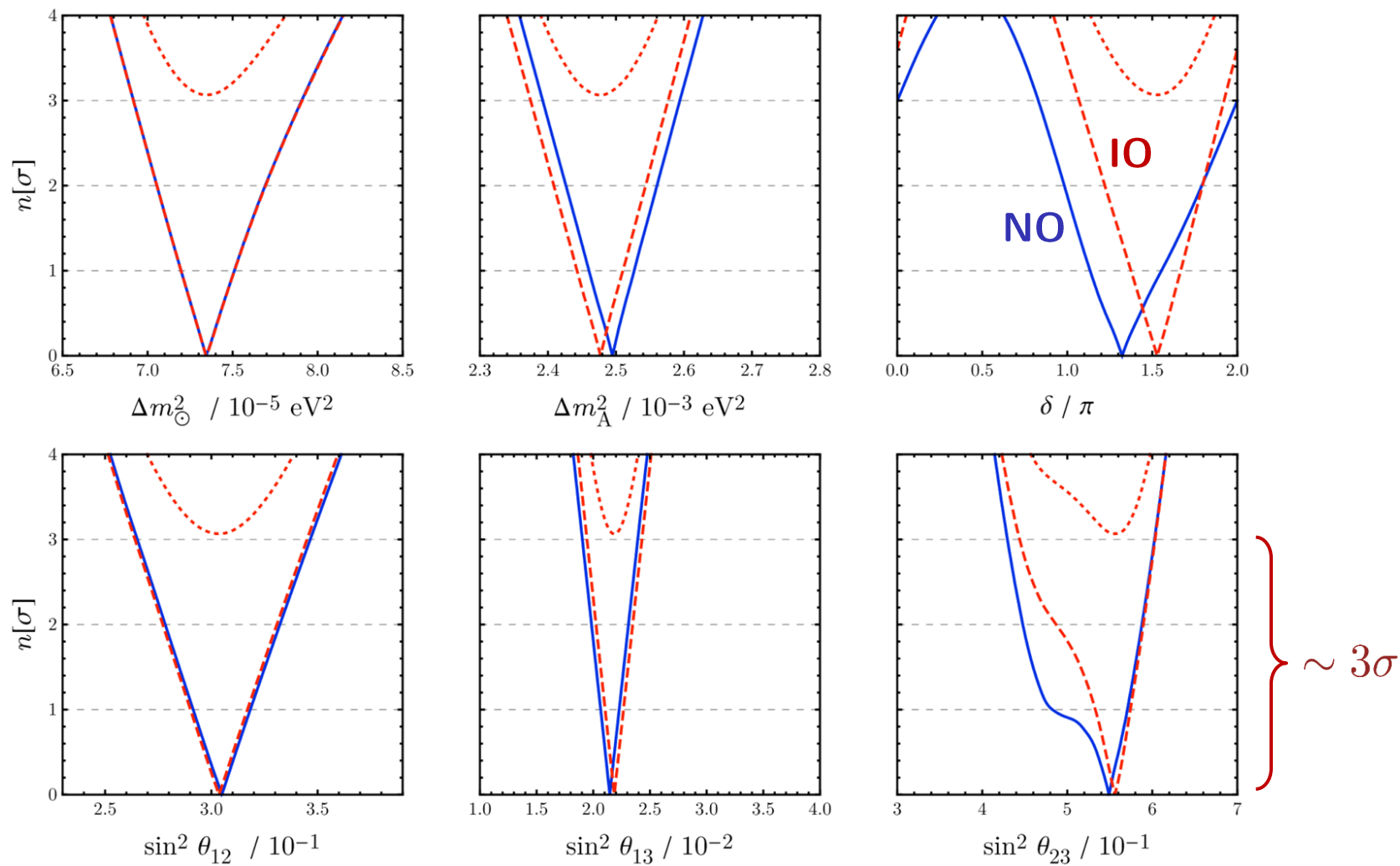
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3ν flavour paradigm (cont.)

Capozzi et al., 1804.09678,
see also Esteban et al., 1811.05487

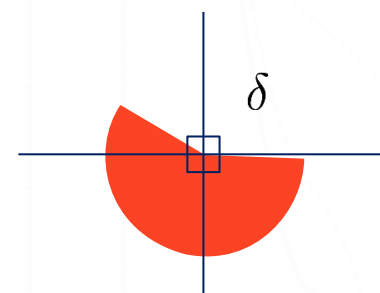
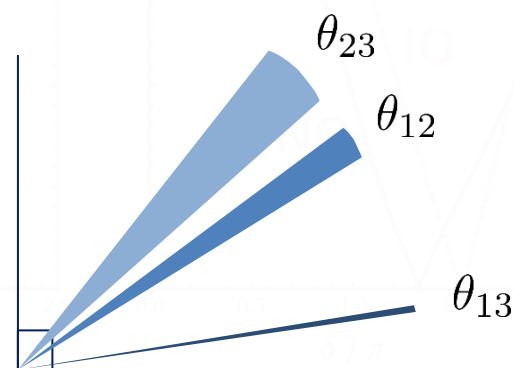


3ν flavour paradigm (cont.)

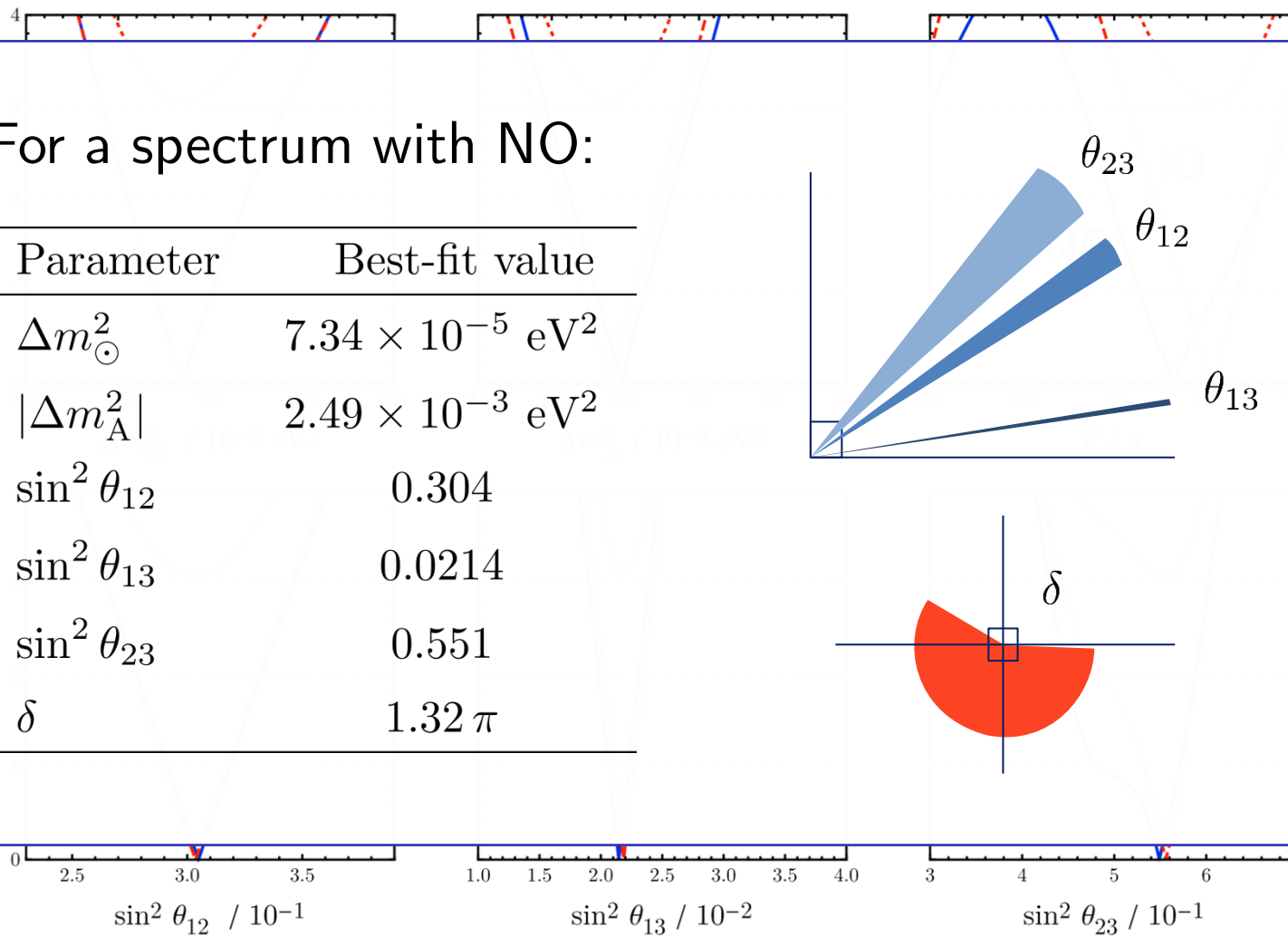
Capozzi et al., 1804.09678,
see also Esteban et al., 1811.05487

For a spectrum with NO:

Parameter	Best-fit value
Δm_{\odot}^2	$7.34 \times 10^{-5} \text{ eV}^2$
$ \Delta m_{\text{A}}^2 $	$2.49 \times 10^{-3} \text{ eV}^2$
$\sin^2 \theta_{12}$	0.304
$\sin^2 \theta_{13}$	0.0214
$\sin^2 \theta_{23}$	0.551
δ	1.32π

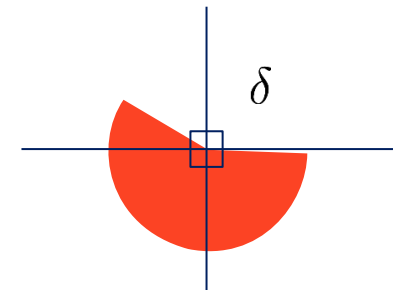
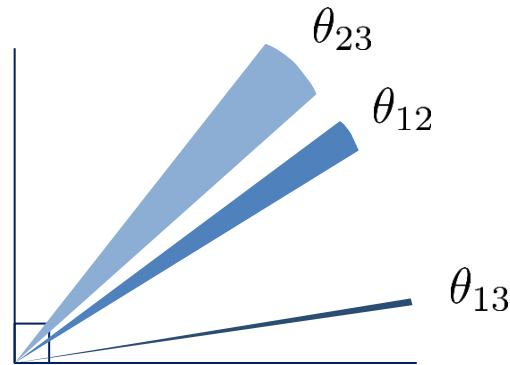


$\sim 3\sigma$



Is there an organizing principle behind this?

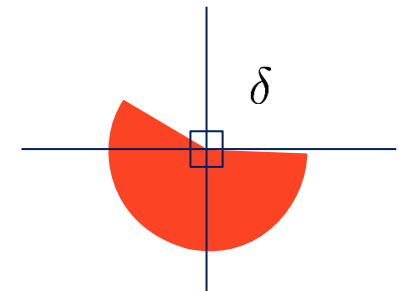
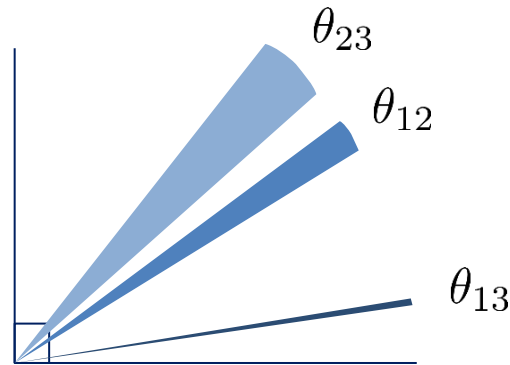
$$\frac{\Delta m_{\odot}^2}{|\Delta m_A^2|} \sim \frac{1}{30}$$



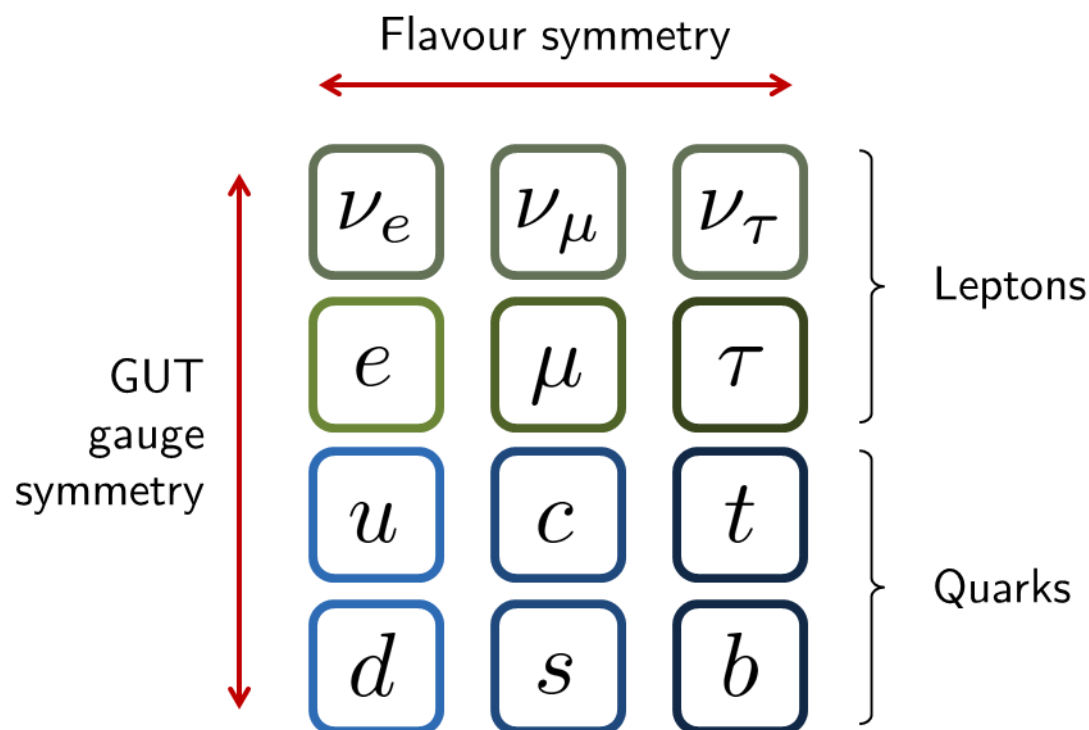


Is there an organizing principle behind this?

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Flavour symmetries

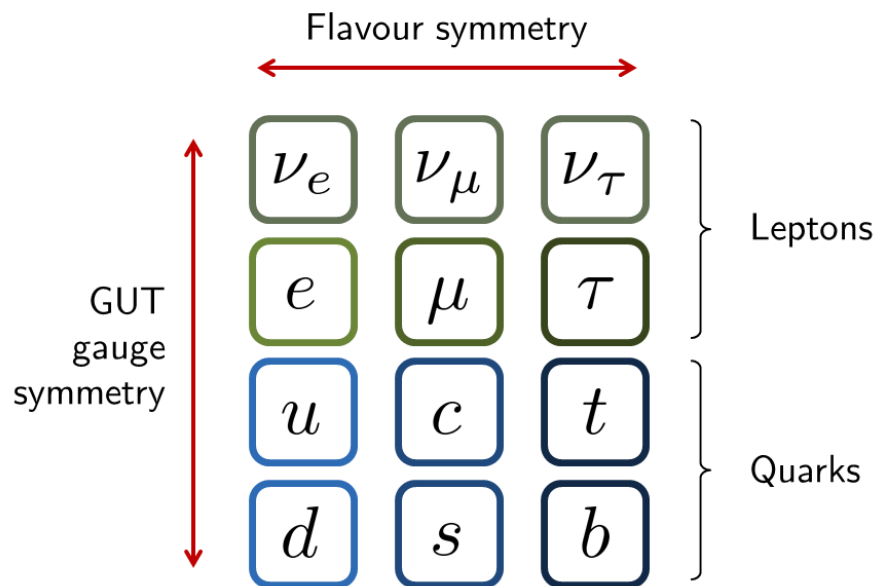


For reviews, see: Altarelli and Feruglio (2010), Ishimori et al. (2010), King and Luhn (2013), Petcov (2017)

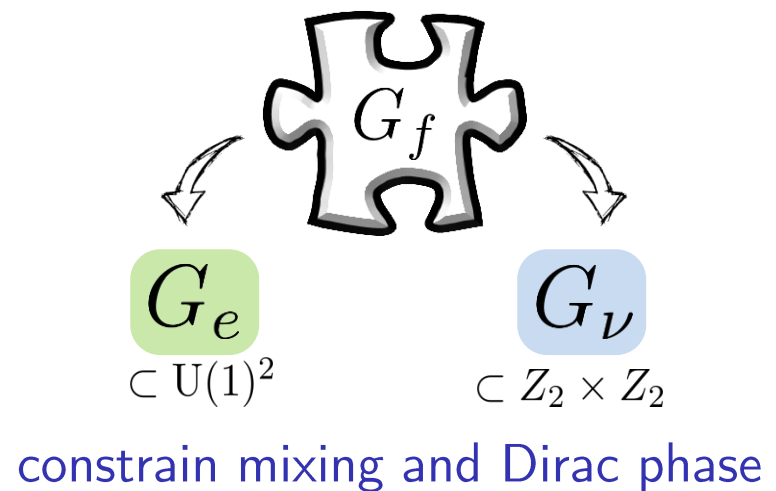
Flavour symmetries

For the lepton sector, at low energy and in some flavour basis:

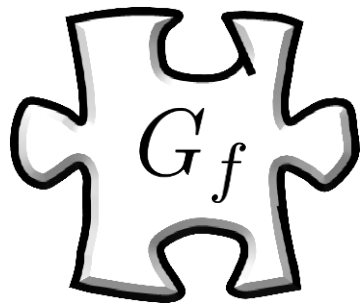
$$\mathcal{L}_\ell = - (M_e)_{ij} \overline{\ell_{iL}} \ell_{jR} - \frac{1}{2} (M_\nu)_{ij} \overline{\nu_{iR}^C} \nu_{jL} + \text{h.c.}$$



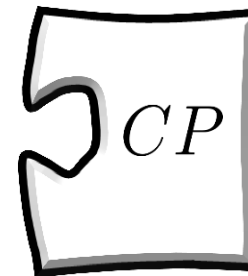
Non-Abelian discrete flavour symmetries



Flavour symmetries + gCP



$$\psi(x) \rightarrow \rho_{\mathbf{r}}(g) \psi(x)$$



$$\psi(x) \rightarrow X_{\mathbf{r}}^{\text{CP}} \overline{\psi}(x_{\text{P}})$$

Branco, Lavoura, Rebelo (1986), Harrison, Scott (2002),
 Grimus, Lavoura (2003), Farzan, Smirnov (2006),
 Ferreira, Grimus, Lavoura, Ludl (2012) , ...

Flavour symmetries + gCP



constrain mixing, Dirac and Majorana phases

Feruglio, Hagedorn, Ziegler (2012),
Holthausen, Lindner, Schmith (2013),
Chen, Fallbacher, Mahanthappa, Ratz, Trautner (2014), ...

Flavour symmetries + gCP

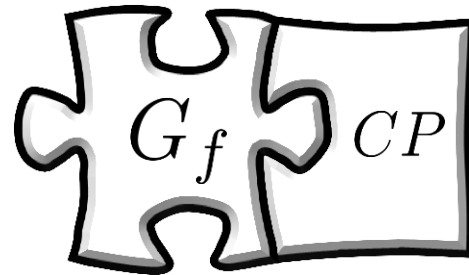


$$X_{\mathbf{r}}^{\text{CP}} \rho_{\mathbf{r}}^*(g) (X_{\mathbf{r}}^{\text{CP}})^{-1} = \rho_{\mathbf{r}}(u(g))$$

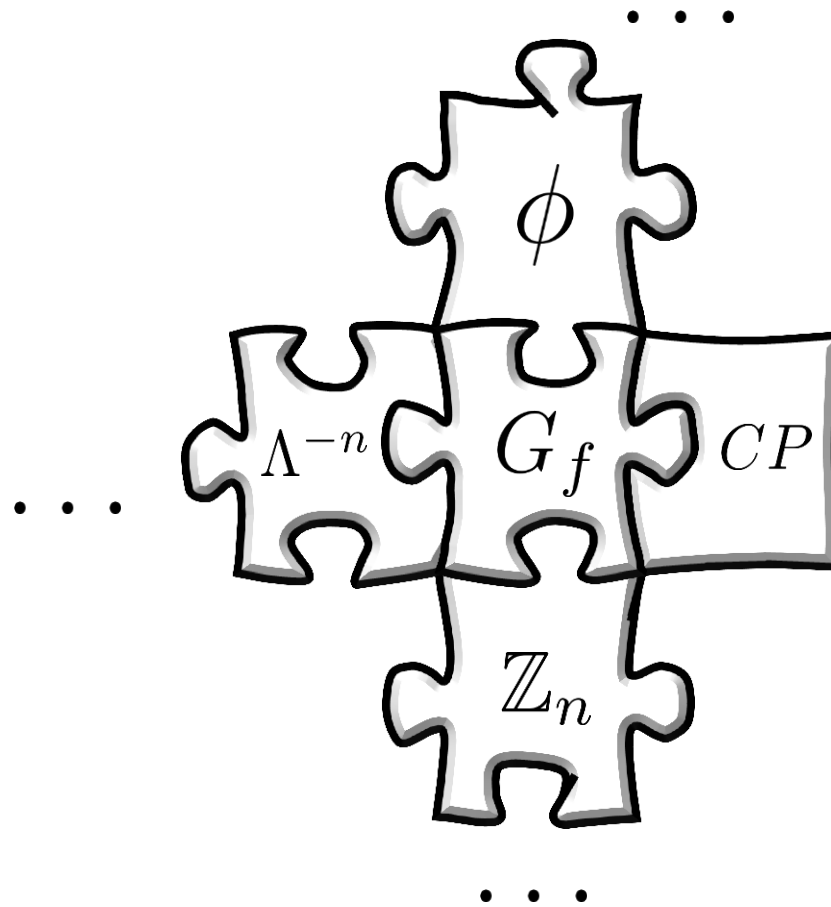
Consistency condition [Feruglio, et al., Holthausen et al. (2012)]

Class-inverting outer automorphism [Chen et al. (2014)]

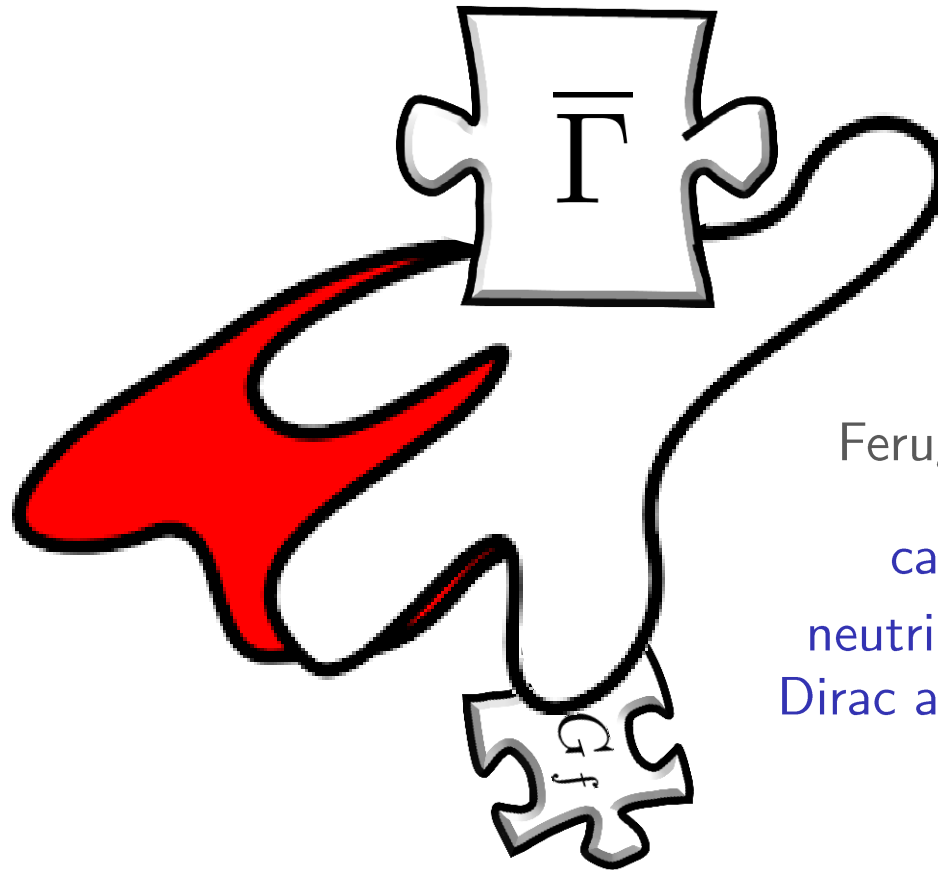
Problems with the usual approach



Problems with the usual approach



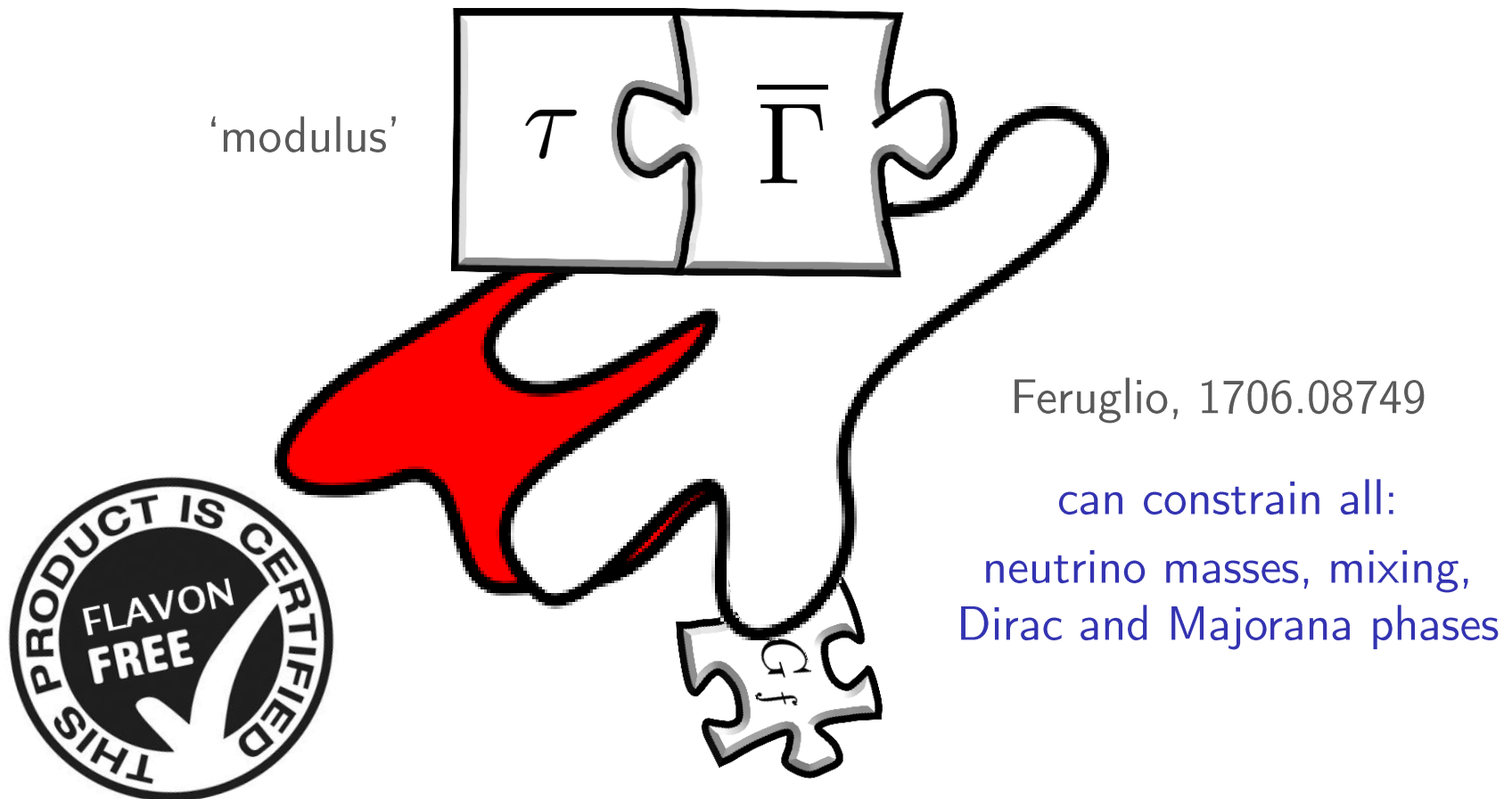
Modular symmetry to the rescue!



Feruglio, 1706.08749

can constrain all:
neutrino masses, mixing,
Dirac and Majorana phases

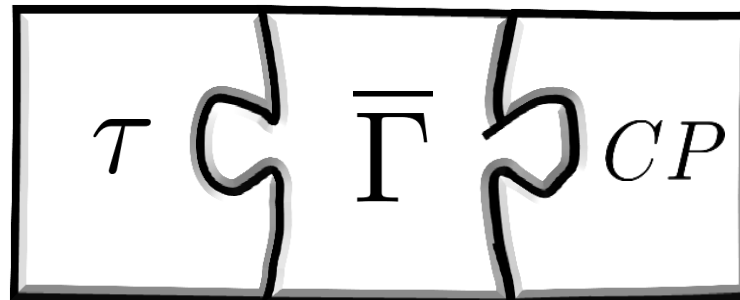
Modular symmetry to the rescue!



Modular symmetry to the rescue!

This work, 1905.11970

'modulus'

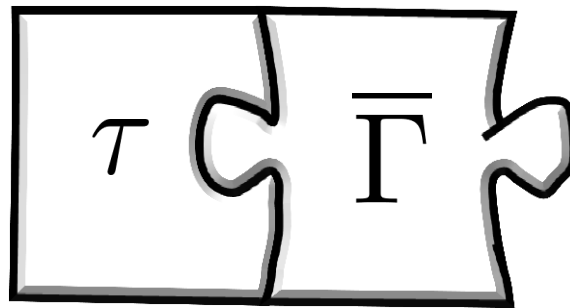


Feruglio, 1706.08749

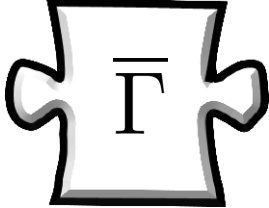
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How does this work?



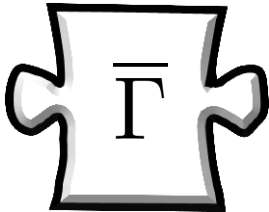
Modular symmetry


$$\bar{\Gamma} \simeq \text{PSL}(2, \mathbb{Z})$$

$$\tau \rightarrow \frac{a\tau + b}{c\tau + d}$$

$$a, b, c, d \in \mathbb{Z} \quad \begin{vmatrix} a & b \\ c & d \end{vmatrix} = 1$$

Modular symmetry



$$\bar{\Gamma} \simeq \text{PSL}(2, \mathbb{Z})$$

$$\tau \rightarrow \frac{a\tau + b}{c\tau + d}$$

$$a, b, c, d \in \mathbb{Z} \quad \begin{vmatrix} a & b \\ c & d \end{vmatrix} = 1$$

$$S^2 = (ST)^3 = 1 \quad \left\{ \begin{array}{l} S : \tau \rightarrow -1/\tau, \quad S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \\ T : \tau \rightarrow \tau + 1, \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \end{array} \right.$$

For recent generalisations, see Varzielas et al., 1906.02208; Liu, Ding, 1907.01488

Modular symmetry

Quotient behaves like a flavour group:

$$\underbrace{\left(\text{fundamental domain} \right) / \bar{\Gamma}(N)}_{\Gamma_N}$$

Bottom-up approach

For top-down, see e.g.:

- Kobayashi et al., 1804.06644
- Kobayashi, Tamba, 1811.11384
- de Anda et al., 1812.05620
- Baur et al., 1901.03251
- Kariyazono et al., 1904.07546

Modular symmetry

Quotient behaves like a flavour group:

$$\underbrace{\frac{\bar{\Gamma}}{\bar{\Gamma}(N)}}_{\Gamma_N}$$

$$S^2 = (ST)^3 = \underbrace{T^N = 1}_{\text{circled}}$$

$$\Gamma_2 \simeq S_3$$

Kobayashi et al., 1803.10391 (+A₄)

Kobayashi et al., 1812.11072 (+A₄)

Kobayashi et al., 1906.10341

Okada, Orikasa, 1907.04716

$$\Gamma_3 \simeq A_4$$

Feruglio, 1706.08749

Feruglio, Criado, 1807.01125

Kobayashi et al., 1808.03012

Okada, Tanimoto, 1812.09677

Novichkov et al., 1812.11289

Nomura, Okada, 1904.03937

Okada, Tanimoto, 1905.13421

Nomura, Okada, 1906.03927

$$\Gamma_4 \simeq S_4$$

JP, Petcov, 1806.11040

Novichkov et al., 1811.04933

Kobayashi et al., 1907.09141

$$\Gamma_5 \simeq A_5$$

Novichkov et al., 1812.02158

Ding et al., 1903.12588

Modular forms: the stars of the show

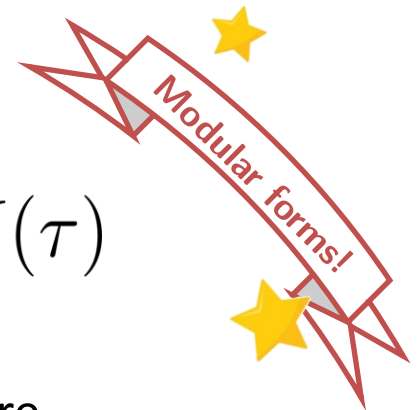
Transformation of superfields:

$$\psi \rightarrow (c\tau + d)^{-k_\psi} \underbrace{\rho_{\mathbf{r}}(\gamma)}_{\Gamma_N, \gamma \in \bar{\Gamma}} \psi$$

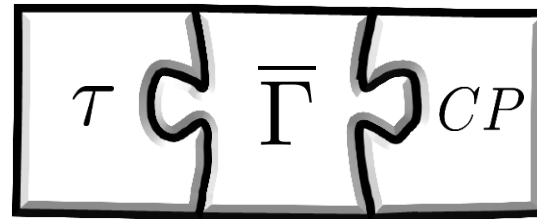
Invariance of superpotential requires functions:

$$Y(\tau) \rightarrow (c\tau + d)^{k_Y} \rho_{\mathbf{r}_Y}(\gamma) Y(\tau)$$

Play the role of flavons, but structures are **completely fixed** given the modulus VEV



Modular symmetry + gCP

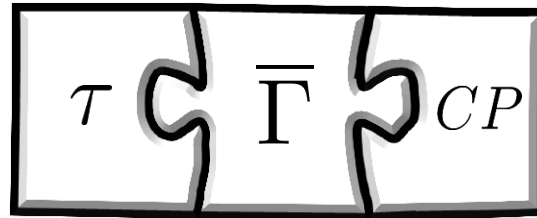


$$\tau \xrightarrow{\text{CP}} ?$$

$$\psi \xrightarrow{\text{CP}} ?$$

$$Y(\tau) \xrightarrow{\text{CP}} ?$$

Modular symmetry + gCP



$$\tau \xrightarrow{\text{CP}} ?$$

$$\psi(x) \xrightarrow{\text{CP}} X_{\mathbf{r}}^{\text{CP}} \bar{\psi}(x_{\text{P}})$$

$$Y(\tau) \xrightarrow{\text{CP}} ?$$

Modular symmetry + gCP: the modulus

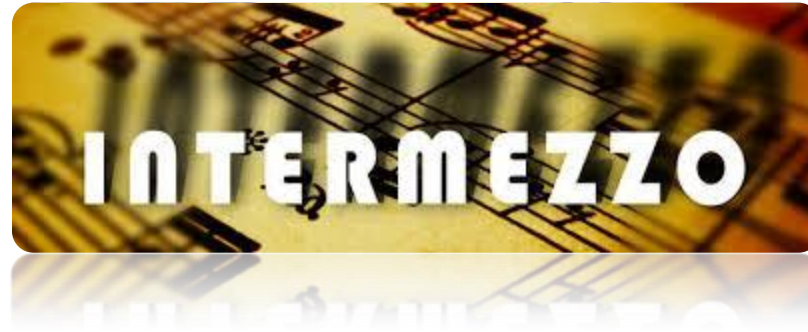
$$\underbrace{\text{CP} \rightarrow \gamma \in \bar{\Gamma} \rightarrow \text{CP}^{-1}}_{\gamma' \in \bar{\Gamma}} \quad \Rightarrow \quad \tau_{\text{CP}} = n - \tau^*$$

($\tau_{\text{CP}^2} = \tau$)

$n \in \mathbb{Z}$, but can choose $n = 0$ without loss of generality:

$$\tau_{\text{CP}} = -\tau^*$$

Extended modular group



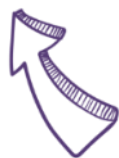
$$\tau_{\text{CP}} = -\tau^*$$

Extended modular group



$$\tau \xrightarrow{\text{CP}} -\tau^* \xrightarrow{\gamma} -\frac{a\tau^* + b}{c\tau^* + d} \xrightarrow{\text{CP}^{-1}} \frac{a\tau - b}{-c\tau + d}$$

$$u(\gamma) \equiv \text{CP} \gamma \text{CP}^{-1} = \begin{pmatrix} a & -b \\ -c & d \end{pmatrix}$$



$$\tau_{\text{CP}} = -\tau^*$$

$$\text{CP} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Extended modular group



$$\bar{\Gamma}^* = \left\langle \tau \xrightarrow{T} \tau + 1, \tau \xrightarrow{S} -1/\tau, \tau \xrightarrow{CP} -\tau^* \right\rangle \simeq \text{PGL}(2, \mathbb{Z})$$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \bar{\Gamma}^* : \begin{cases} \tau \rightarrow \frac{a\tau + b}{c\tau + d} & \text{if } ad - bc = 1 \\ \tau \rightarrow \frac{a\tau^* + b}{c\tau^* + d} & \text{if } ad - bc = -1 \end{cases}$$

Modular symmetry + gCP: consistency

Déjà vu

$$X_{\mathbf{r}}^{\text{CP}} \rho_{\mathbf{r}}^*(\gamma) (X_{\mathbf{r}}^{\text{CP}})^{-1} = \rho_{\mathbf{r}}(u(\gamma))$$

but now there is a **unique automorphism**

$$u(\gamma) \equiv \text{CP} \gamma \text{CP}^{-1} = \begin{pmatrix} a & -b \\ -c & d \end{pmatrix} \Rightarrow X_{\mathbf{r}}^{\text{CP}} \text{ uniquely determined}$$

In a symmetric basis:

$$\rho_{\mathbf{r}}^*(\gamma) = \rho_{\mathbf{r}}(u(\gamma))$$

$$X_{\mathbf{r}}^{\text{CP}} = \mathbb{1}_{\mathbf{r}}$$

CP is canonical

Modular symmetry + gCP: the modular forms

$$Y(\tau) \xrightarrow{\text{CP}} ?$$

$$Y(\tau) \sim \psi$$

$$Y(\tau) \xrightarrow{\text{CP}} Y(\tau_{\text{CP}}) = Y(-\tau^*)$$

under the modular group

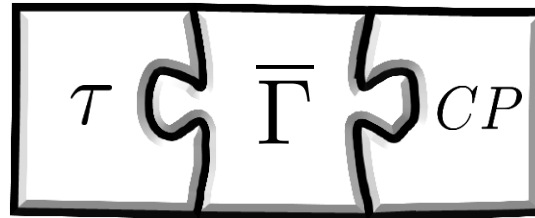
$$Y(-\tau^*) = X_{\mathbf{r}}^{\text{CP}} Y^*(\tau)$$

In a sym. basis Y 's conjugate, q -expansions have real coeffs

Proven to hold:

- Up to a phase normalisation
- For the groups studied in the literature ($N < 6$)

Modular symmetry + gCP



$$\tau \xrightarrow{\text{CP}} -\tau^*$$

$$\psi(x) \xrightarrow{\text{CP}} X_{\mathbf{r}}^{\text{CP}} \bar{\psi}(x_{\text{P}})$$

$$Y(\tau) \xrightarrow{\text{CP}} X_{\mathbf{r}}^{\text{CP}} Y^*(\tau)$$

Unbroken gCP: couplings

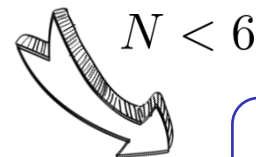
$$W \xleftrightarrow{\text{CP}} \overline{W}$$

In a symmetric basis:

$$g(Y\psi \dots \psi)_{\mathbf{1}} \xleftrightarrow{\text{CP}} g^* \overline{(Y\psi \dots \psi)_{\mathbf{1}}}$$

$$\begin{array}{c} \psi(x) \xrightarrow{\text{CP}} \overline{\psi}(x_{\text{P}}) \\ \downarrow \\ Y(\tau) \xrightarrow{\text{CP}} Y^*(\tau) \end{array}$$

$$g(Y^*\overline{\psi} \dots \overline{\psi})_{\mathbf{1}}$$



$$g \in \mathbb{R}$$

Unbroken gCP: observables

In a symmetric basis, gCP implies:

$$M_{e,\nu}(-\tau^*) = M_{e,\nu}^*(\tau)$$

$$M_{e,\nu} \equiv M_{e,\nu}(g, \tau) \\ \in \mathbb{R}$$

Unbroken gCP: observables

In a symmetric basis, gCP implies:

$$M_{e,\nu}(-\tau^*) = M_{e,\nu}^*(\tau)$$

$$M_{e,\nu} \equiv M_{e,\nu}(g, \tau)$$

?
 $\tau \in \mathbb{R}$

CP-conserving values of the modulus

In a symmetric basis, gCP implies:

$$M_{e,\nu}(-\tau^*) = M_{e,\nu}^*(\tau)$$

$$M_{e,\nu} \equiv M_{e,\nu}(g, \tau) \quad ?$$

$\tau \in \mathbb{R}$

Naïvely: need $-\tau^* = \tau$ to get real mass matrix and hence

$$\sin \delta = \sin \alpha_{21} = \sin \alpha_{31} = 0$$

CP-conserving values of the modulus

In a symmetric basis, gCP implies:

$$M_{e,\nu}(-\tau^*) = M_{e,\nu}^*(\tau)$$

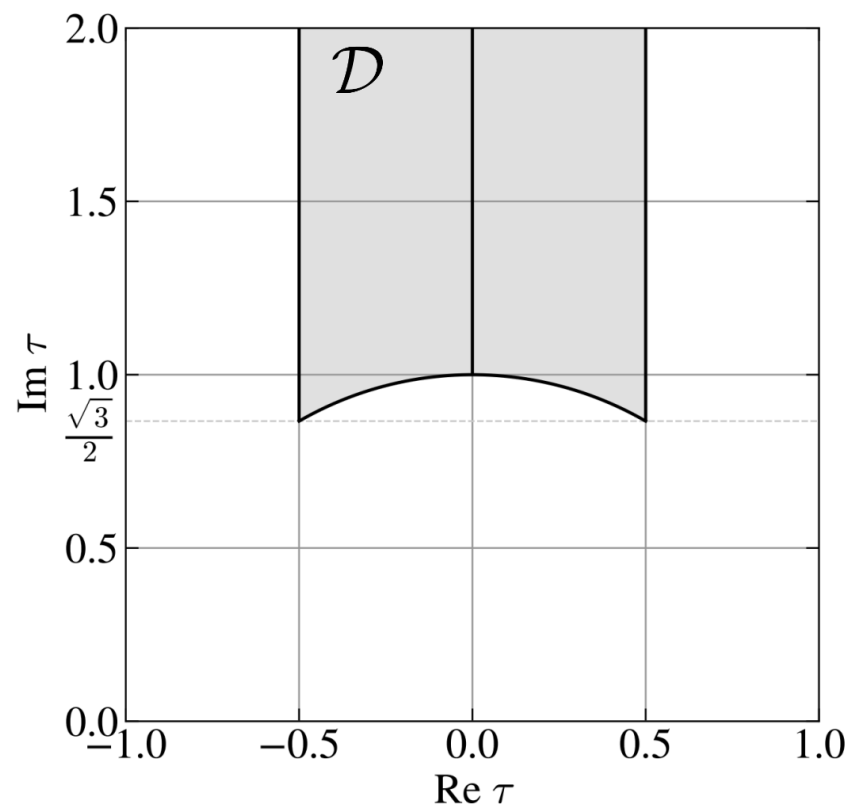
Actually: just need $-\tau^* = \gamma\tau$ to get

$$\sin \delta = \sin \alpha_{21} = \sin \alpha_{31} = 0$$

$$\text{obs}[M_{e,\nu}(\tau)] = \text{obs}[M_{e,\nu}(\gamma\tau)] = \text{obs}[M_{e,\nu}^*(\tau)]$$

CP-conserving values of the modulus

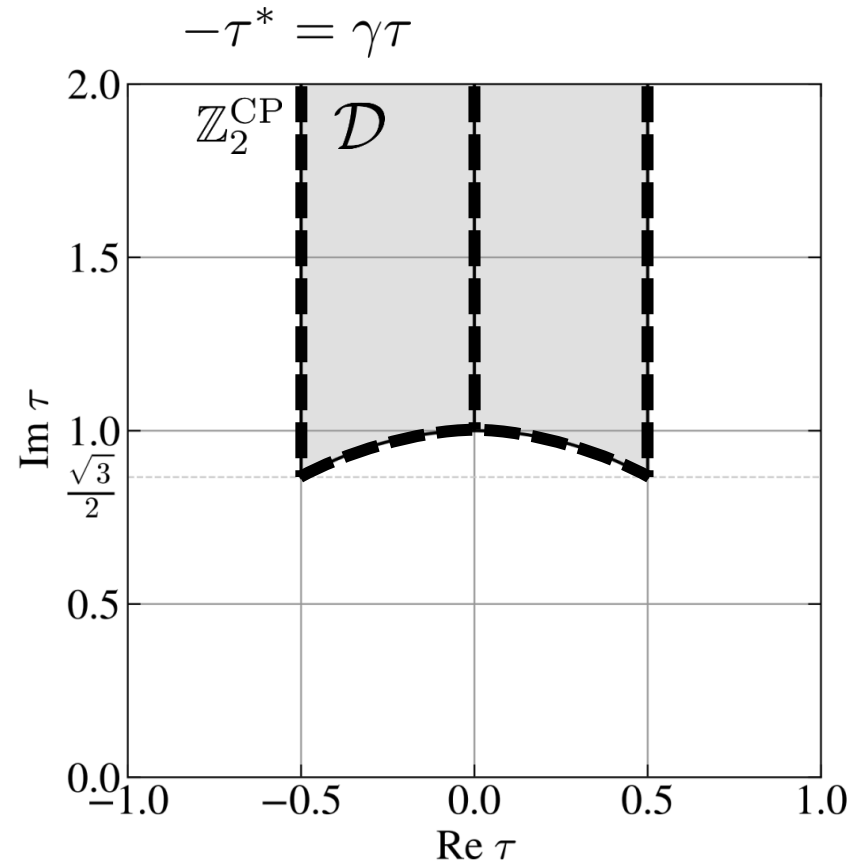
Points outside fundamental domain
physically equivalent to pts inside



CP-conserving values of the modulus

Points outside fundamental domain
physically equivalent to pts inside

CPV away from dashed lines



$M_{e,\nu} \equiv M_{e,\nu}(g, \tau)$ \longrightarrow can be **only source** of CPV

CP-conserving values of the modulus

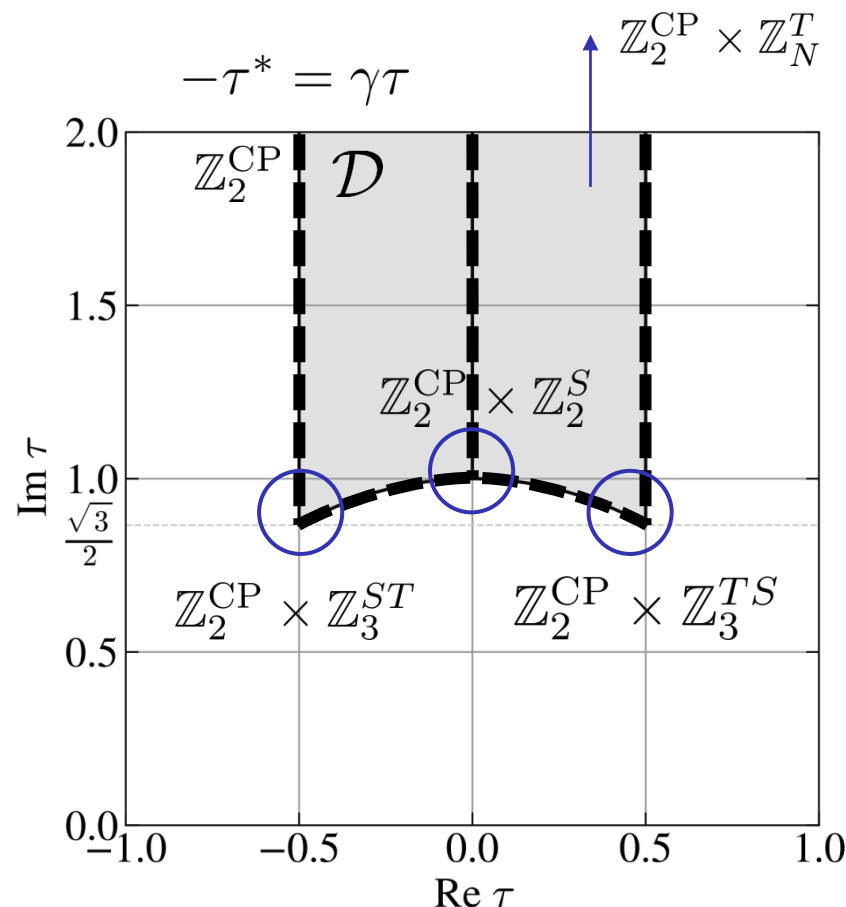
Points outside fundamental domain
physically equivalent to pts inside

CPV away from dashed lines

Enhancement by **residual** modular
symmetries at certain points

Novichkov et al., 1811.04933

Novichkov et al.', 1812.11289



$M_{e,\nu} \equiv M_{e,\nu}(g, \tau)$ → can be **only source** of CPV, like in...

An (S_4) example, finally!

Ingredients: N , field content, their weights and irreps

Recipe: find couplings and τ

$$\begin{aligned}
 W = & \alpha \left(E_1^c L Y_{\mathbf{3}'}^{(2)} \right)_1 H_d + \beta \left(E_2^c L Y_{\mathbf{3}}^{(4)} \right)_1 H_d + \gamma \left(E_3^c L Y_{\mathbf{3}'}^{(4)} \right)_1 H_d \\
 & + g \left(N^c L Y_{\mathbf{2}}^{(2)} \right)_1 H_u + \underbrace{g'}_{\in \mathbb{C}} \left(N^c L Y_{\mathbf{3}'}^{(2)} \right)_1 H_u + \Lambda (N^c N^c)_1 ,
 \end{aligned}$$

minimal setup

Novichkov, JP, Petcov, Titov, 1811.04933

An (S_4) example, finally!

Ingredients: N , field content, their weights and irreps

Recipe: find couplings and τ

$$W = \alpha \left(E_1^c L Y_{\mathbf{3}'}^{(2)} \right)_1 H_d + \beta \left(E_2^c L Y_{\mathbf{3}}^{(4)} \right)_1 H_d + \gamma \left(E_3^c L Y_{\mathbf{3}'}^{(4)} \right)_1 H_d \\ + g \left(N^c L Y_{\mathbf{2}}^{(2)} \right)_1 H_u + \underbrace{g'}_{\in \mathbb{C}} \left(N^c L Y_{\mathbf{3}'}^{(2)} \right)_1 H_u + \Lambda (N^c N^c)_1 ,$$

Novichkov, JP, Petcov, Titov, 1811.04933

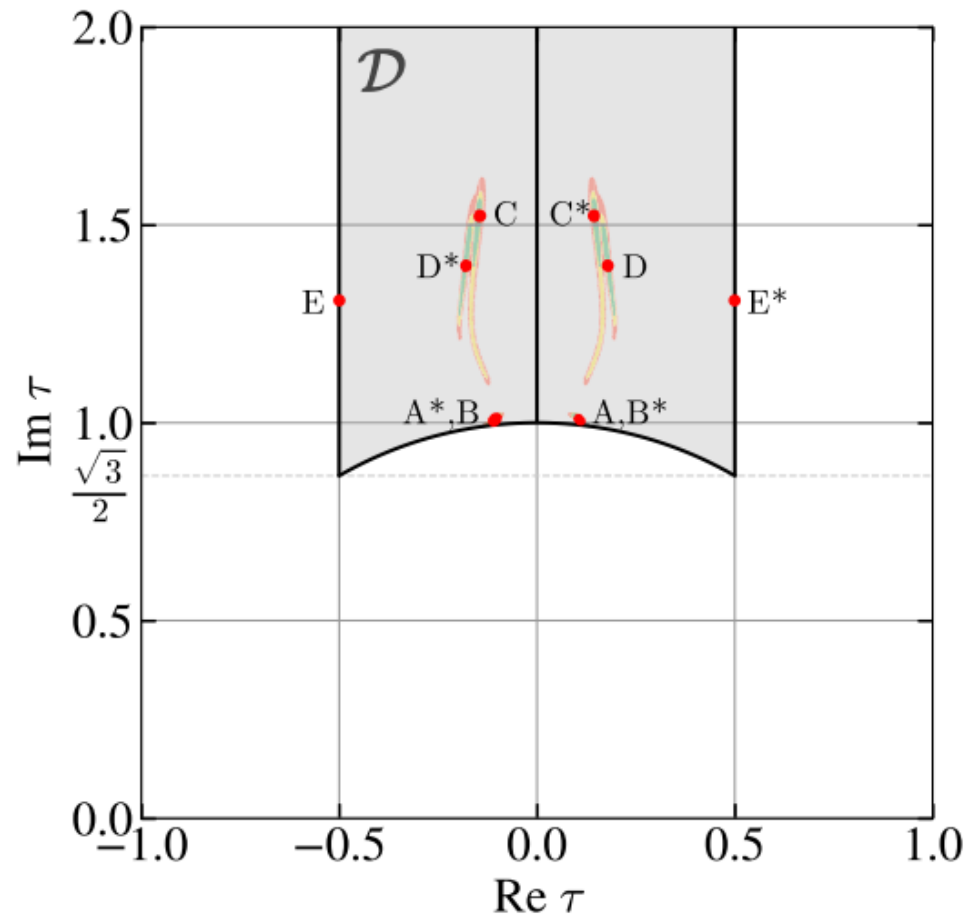
minimal setup
→ even more minimal

$$\text{gCP} \Rightarrow g' \in \mathbb{R}$$

τ is the only source of CPV

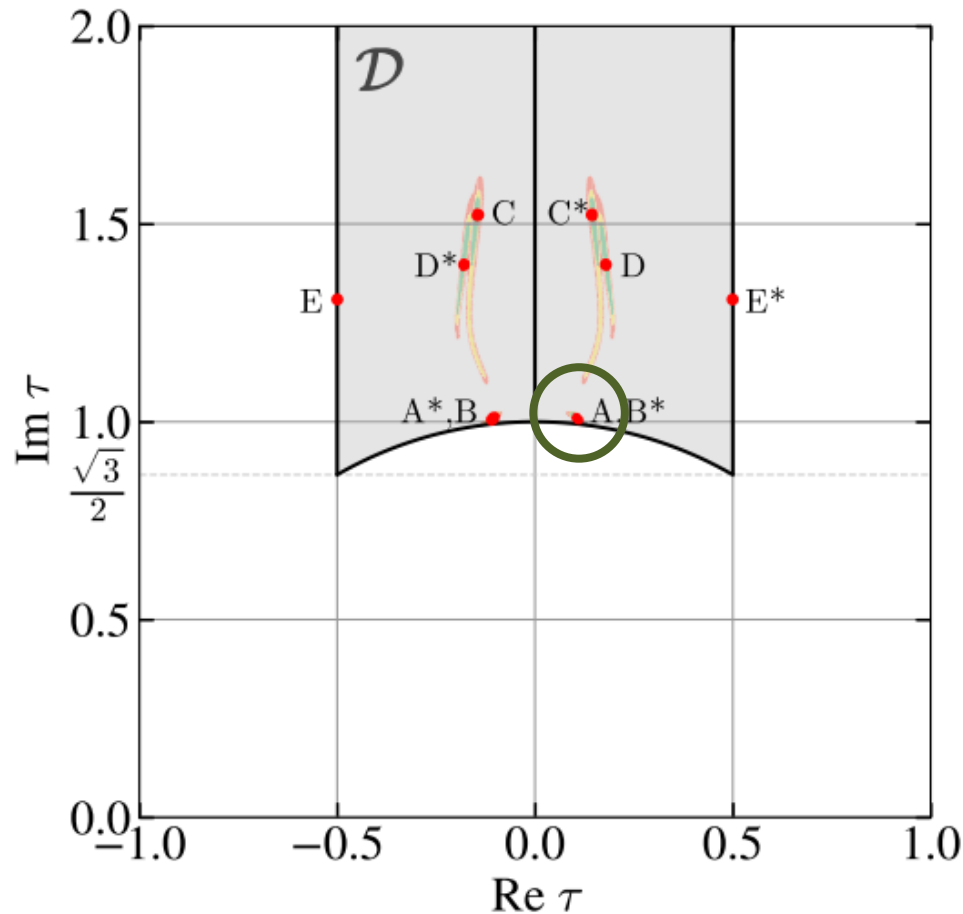
happens accidentally in a model by Feruglio, Criado, 1807.01125

An S_4 example



Novichkov, JP, Petcov, Titov, 1811.04933

An S_4 example



this work/example,
1905.11970

$$\tau \simeq \pm 0.1 + 1.02i$$

Novichkov, JP, Petcov, Titov, 1811.04933

An S_4 example

7 (4) parameters

vs.

12 (9) observables

$$\sin^2 \theta_{23} \sim 0.49$$

$$\delta \sim 1.6\pi$$

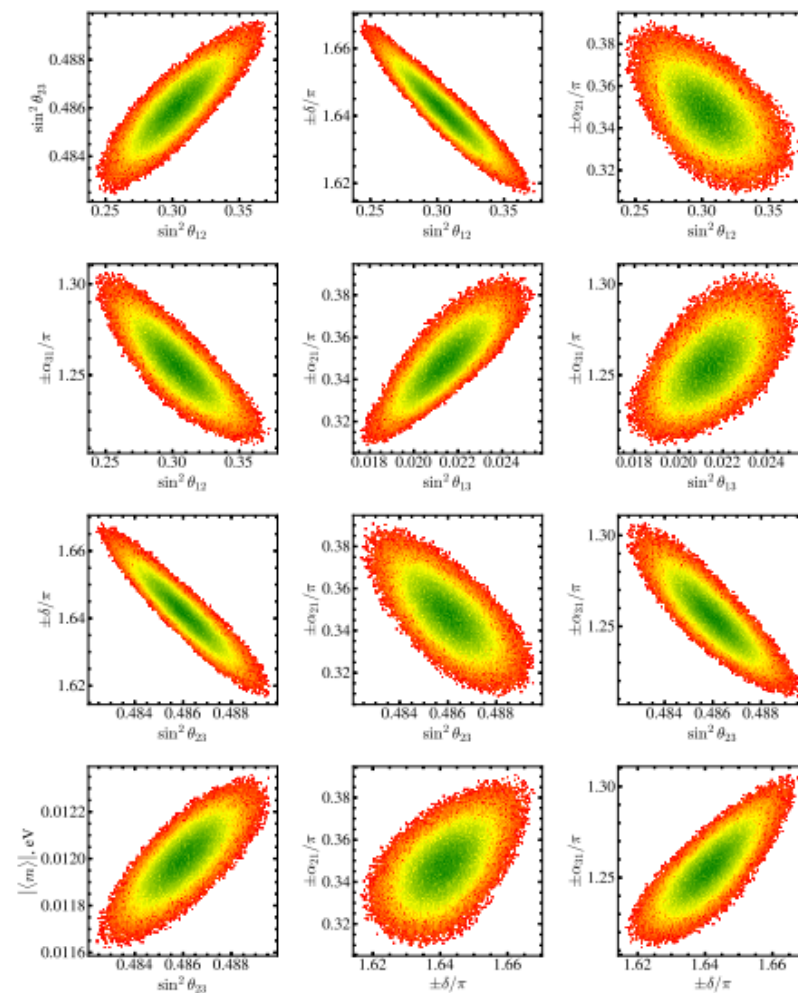
$$\alpha_{21} \sim 0.3\pi$$

$$\alpha_{31} \sim 1.3\pi$$

$$|\langle m \rangle|_{\beta\beta} \sim 12 \text{ meV}$$

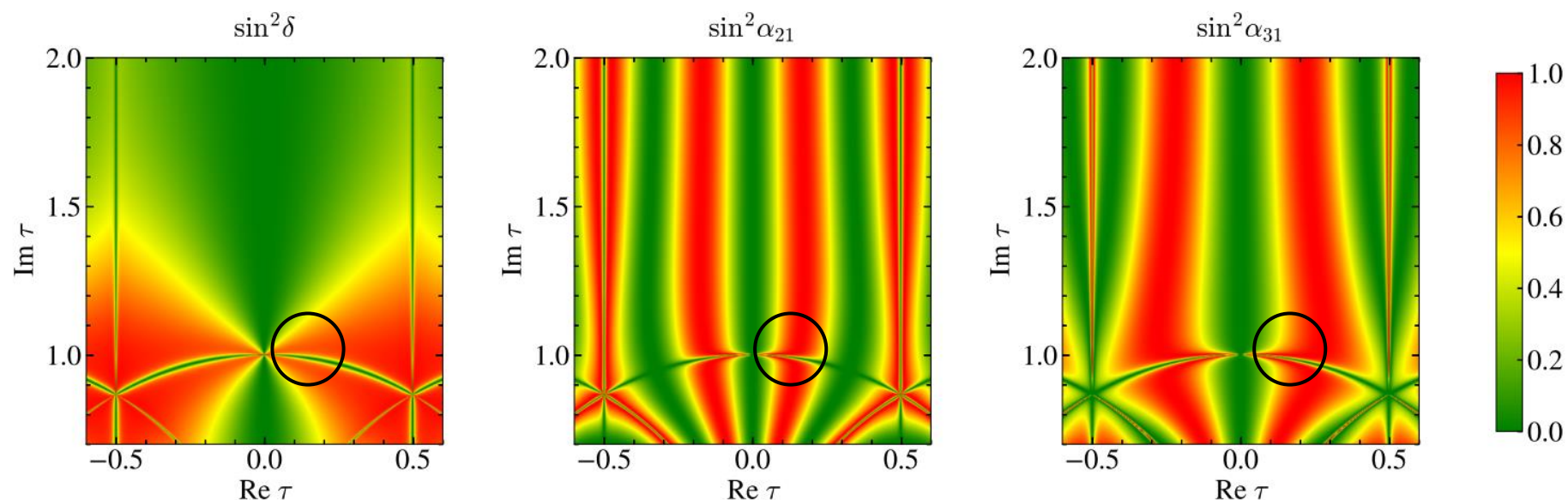
$$\sum_i m_i \sim 0.08 \text{ eV}$$

$$[n\sigma = 1]$$



An S_4 example

A check (CP -conserving τ):



A small departure from the lines can already bring about large CPV

Summary

- (Modular symmetry brings advantages over the traditional discrete flavour symmetry approach)
- The modular symmetry can be combined with gCP.

Summary

- (Modular symmetry brings advantages over the traditional discrete flavour symmetry approach)
- *The modular symmetry can be combined with gCP:*

- $\tau \rightarrow n - \tau^*$

(in a symmetric basis.)

- Consistency condition w/ unique automorphism

- CP canonical

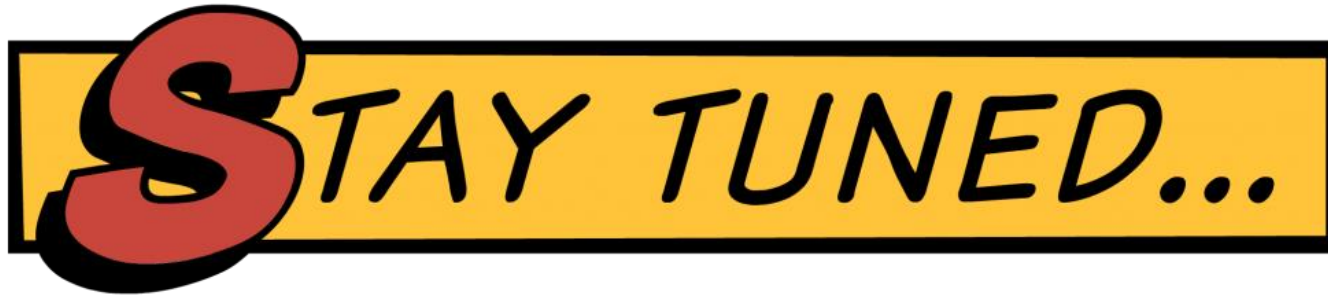
- $Y(\tau_{\text{CP}}) = Y^*(\tau)$

- Extended modular group

- Real couplings

Summary

- (Modular symmetry brings advantages over the traditional discrete flavour symmetry approach)
- The modular symmetry can be combined with gCP.
- τ can be the only source of CPV. Must be outside \mathbb{H}
- We've improved on an S_4 model example, increasing its predictive power.



Fri 26/07 (tomorrow, parallel)

16:20 A. Titov: $\Gamma_5 \simeq A_5$

16:40 J. Penedo: $\Gamma_4 \simeq S_4$

A scenic view of a traditional Chinese water town. In the foreground, a stone bridge with multiple arches spans a canal. A small boat with a canopy is visible under one of the arches. In the background, a large, multi-tiered pagoda with traditional Chinese architecture stands prominently. The scene is set against a bright, slightly hazy sky.

Thank you / 谢谢

Backup slides

Lowest-weight modular forms: S_4

$$Y(a_1, \dots, a_6 | \tau) \equiv \frac{d}{d\tau} \left(\sum_{i=1}^6 a_i \log \eta_i(\tau) \right)$$

$$\sum_i a_i = 0$$

Lowest weight forms arrange into:

$$Y_{\mathbf{2}}(\tau) = \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \end{pmatrix} \quad \text{doublet } \mathbf{2}$$

$$Y_{\mathbf{3}' }(\tau) = \begin{pmatrix} Y_3(\tau) \\ Y_4(\tau) \\ Y_5(\tau) \end{pmatrix} \quad \text{triplet } \mathbf{3}'$$

$$Y_1(\tau) \equiv Y(1, 1, \omega, \omega^2, \omega, \omega^2 | \tau)$$

$$Y_2(\tau) \equiv Y(1, 1, \omega^2, \omega, \omega^2, \omega | \tau)$$

$$Y_3(\tau) \equiv Y(1, -1, -1, -1, 1, 1 | \tau)$$

$$Y_4(\tau) \equiv Y(1, -1, -\omega^2, -\omega, \omega^2, \omega | \tau)$$

$$Y_5(\tau) \equiv Y(1, -1, -\omega, -\omega^2, \omega, \omega^2 | \tau)$$

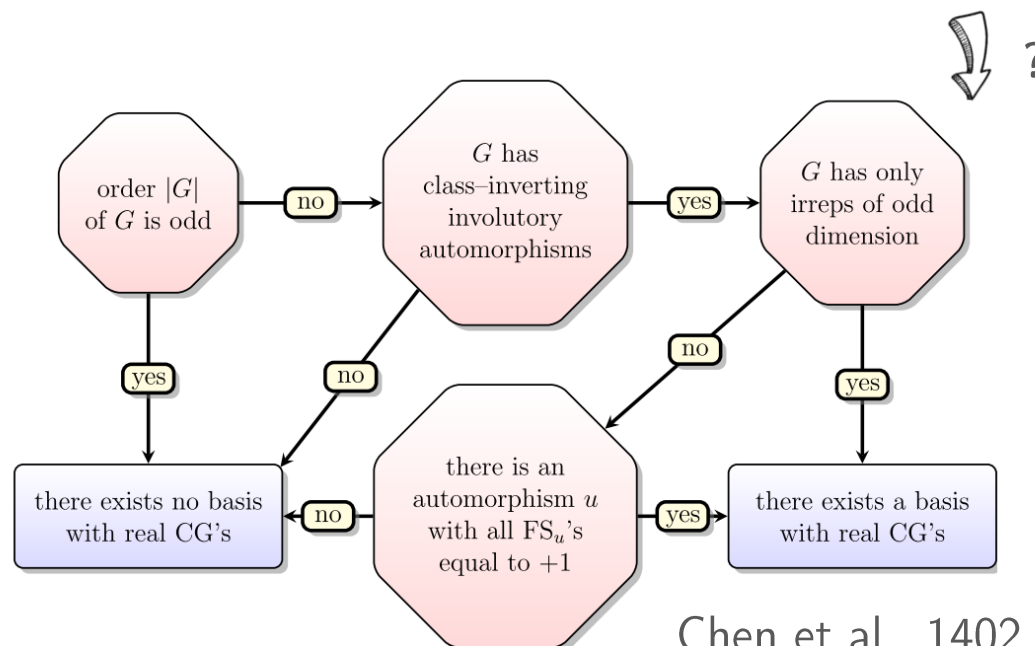
Correct dimension (5)

Products generate higher weight forms

Larger $N(>5)$

Our conclusions directly apply, provided:

- There is at most one lowest-weight form for each irrep
- There is a symmetric basis with real CGCs



Chen et al., 1402.0507

Kähler potential

The minimal choice; a definition of the setup, at this point:

$$K(\chi_i, \bar{\chi}_i; \tau, \bar{\tau}) = -h \Lambda_0^2 \log(-i(\tau - \bar{\tau})) + \sum_i \frac{|\chi_i|^2}{(-i(\tau - \bar{\tau}))^{k_i}}$$



$$\mathcal{L} \supset \sum_i \frac{\partial_\mu \bar{\chi}_i \partial^\mu \chi_i}{(2 \operatorname{Im} \langle \tau \rangle)^{k_i}}$$

Under a modular transformation, **invariant up to a Kähler transformation:**

$$K(\chi_i, \bar{\chi}_i; \tau, \bar{\tau}) \rightarrow K(\chi_i, \bar{\chi}_i; \tau, \bar{\tau}) + f(\chi_i; \tau) + f(\bar{\chi}_i; \bar{\tau})$$

There may exist potentially dangerous corrections to the Kähler, to be studied