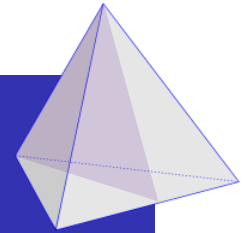


S_4 modular symmetry and lepton masses and mixing



in collaboration with S.T. Petcov [1806.03203, NPB 939 (2019) 292],
A.V. Titov and P.P. Novichkov [1811.04933, JHEP 1904 (2019) 005]



João Penedo
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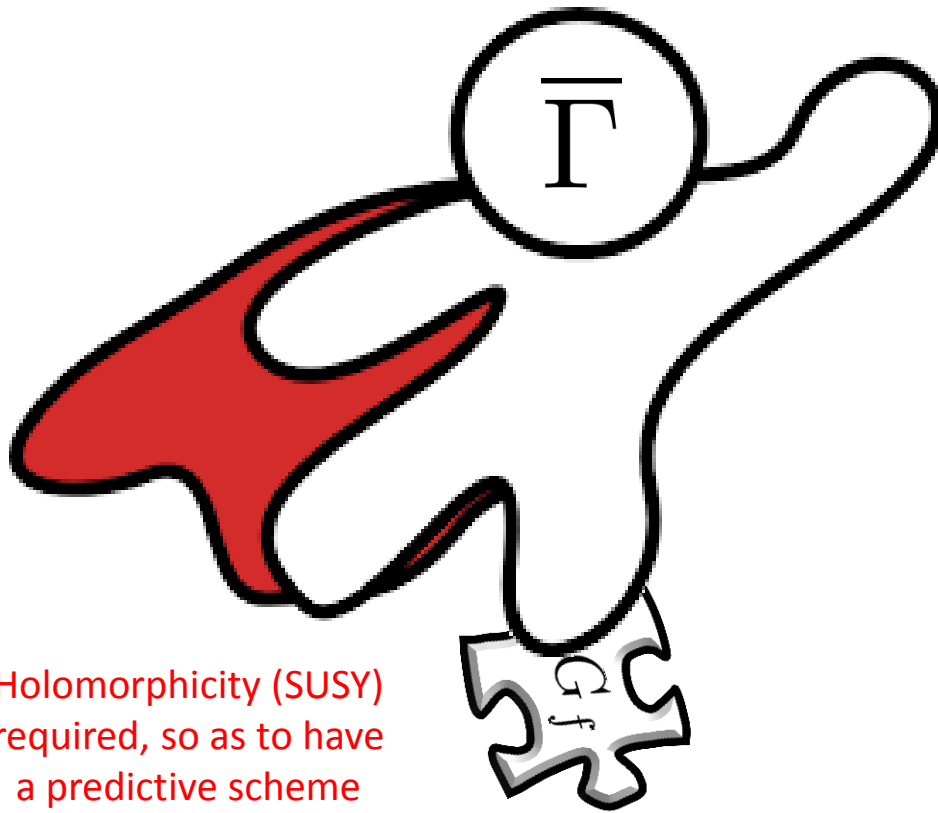
Plan

- The modular symmetry framework (recall)
- Modular S_4 in some detail
- Model building and predictions

The modular symmetry framework (recall)



recall: Modular symmetry as Flavour symmetry



Holomorphicity (SUSY)
required, so as to have
a predictive scheme



Feruglio, 1706.08749

can constrain all:
neutrino masses, mixing,
Dirac and Majorana phases

recall: Modular symmetry

$$\bar{\Gamma} \simeq \text{PSL}(2, \mathbb{Z})$$

$$\tau \rightarrow \frac{a\tau + b}{c\tau + d}$$

$$a, b, c, d \in \mathbb{Z} \quad \begin{vmatrix} a & b \\ c & d \end{vmatrix} = 1$$

$$S^2 = (ST)^3 = 1 \quad \left\{ \begin{array}{l} S : \tau \rightarrow -1/\tau, \quad S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \\ T : \tau \rightarrow \tau + 1, \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} \end{array} \right.$$

τ is a dimensionless spurion, **parameterises all** modular sym. breaking

recall: Modular symmetry

Quotient behaves like a flavour group

$$\underbrace{\bar{\Gamma} / \bar{\Gamma}(N)}_{\Gamma_N}$$

Bottom-up approach

We will choose N & scan τ

For top-down, see e.g.:

Kobayashi et al., 1804.06644
 Kobayashi, Tamba, 1811.11384
 de Anda et al., 1812.05620
 Baur et al., 1901.03251
 Kariyazono et al., 1904.07546

$$\bar{\Gamma}(N) \equiv \left\{ \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid \gamma \in \bar{\Gamma} \wedge (\gamma = \mathbb{1}) \bmod N \right\}$$

recall: Modular symmetry

Quotient behaves like a flavour group

$$\underbrace{\bar{\Gamma} / \bar{\Gamma}(N)}_{\Gamma_N}$$

$$S^2 = (ST)^3 = T^N = 1$$

$$\Gamma_2 \simeq S_3$$

Kobayashi et al., 1803.10391 (+A₄)

Kobayashi et al., 1812.11072 (+A₄)

Kobayashi et al., 1906.10341

Okada, Orikasa, 1907.04716

$$\Gamma_3 \simeq A_4$$

Feruglio, 1706.08749

Feruglio, Criado, 1807.01125

Kobayashi et al., 1808.03012

Okada, Tanimoto, 1812.09677

Novichkov et al., 1812.11289

Nomura, Okada, 1904.03937

Okada, Tanimoto, 1905.13421

Nomura, Okada, 1906.03927

$$\Gamma_4 \simeq S_4$$

JP, Petcov, 1806.11040

Novichkov et al., 1811.04933

Kobayashi et al., 1907.09141

$$\Gamma_5 \simeq A_5$$

Novichkov et al., 1812.02158

Ding et al., 1903.12588

Modular forms: the stars of the show

Transformation of superfields:

$$\psi \rightarrow (c\tau + d)^{-k_\psi} \underbrace{\rho_{\mathbf{r}}(\gamma)}_{\Gamma_N, \gamma \in \bar{\Gamma}} \psi$$

our theory is
invariant under the
full modular group!

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Invariance of superpotential requires functions:

$$Y(\tau) \rightarrow (c\tau + d)^{k_Y} \rho_{\mathbf{r}_Y}(\gamma) Y(\tau)$$



Play the role of flavons, but structures are
completely fixed given the modulus VEV

Modular forms: the stars of the show

Transformation of superfields:

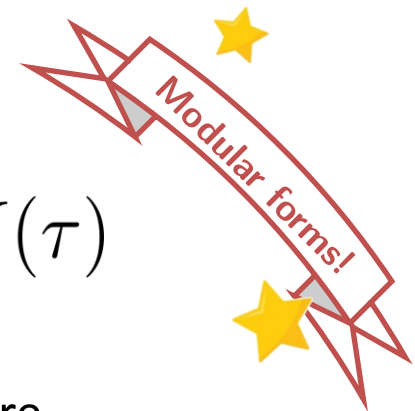
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Invariance of superpotential requires functions:

$$Y(\tau) \xrightarrow{\text{Why?}} (c\tau + d)^{k_Y} \rho_{\mathbf{r}_Y}(\gamma) Y(\tau)$$

Play the role of flavons, but structures are
completely fixed given the modulus VEV



Modular-invariant SUSY actions

Ferrara et al, '89

$$W(\psi; \tau) = \sum_n \sum_{\{i_1, \dots, i_n\}} \sum_s g_{i_1 \dots i_n, s} (Y_{i_1 \dots i_n, s}(\tau) \psi_{i_1} \dots \psi_{i_n})_{\mathbf{1}, s}$$

$$\mathcal{S} = \int d^4x d^2\theta d^2\bar{\theta} K(\psi, \bar{\psi}; \tau, \bar{\tau}) + \int d^4x d^2\theta W(\psi; \tau) + \text{h.c.}$$

Modular-invariant SUSY actions

$$W(\psi; \tau) = \sum_n \sum_{\{i_1, \dots, i_n\}} \sum_s g_{i_1 \dots i_n, s} (Y_{i_1 \dots i_n, s}(\tau) \psi_{i_1} \dots \psi_{i_n})_{\mathbf{1}, s}$$

$$\left\{ \begin{array}{l} \tau \rightarrow \gamma\tau = \frac{a\tau + b}{c\tau + d} \\ \psi_i \rightarrow (c\tau + d)^{-k_i} \rho_i(\gamma) \psi_i \\ Y(\tau) \rightarrow ? \end{array} \right. \quad \text{with } \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

Feruglio, 1706.08749

Modular-invariant SUSY actions

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Modular-invariant SUSY actions

$$W(\psi; \tau) = \sum_n \sum_{\{i_1, \dots, i_n\}} \sum_s g_{i_1 \dots i_n, s} (Y_{i_1 \dots i_n, s}(\tau) \psi_{i_1} \dots \psi_{i_n})_{\mathbf{1}, s}$$

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Modular-invariant SUSY actions

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$Y(\tau)$ are **modular forms** obeying $\begin{cases} k_Y = k_{i_1} + \dots + k_{i_n} \\ \rho_Y \otimes \rho_{i_1} \otimes \dots \otimes \rho_{i_n} \supset \mathbf{1} \end{cases}$

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weights

$Y(\tau)$ are **modular forms** obeying

$$\begin{cases} k_Y = k_{i_1} + \dots + k_{i_n} \\ \rho_Y \otimes \rho_{i_1} \otimes \dots \otimes \rho_{i_n} \supset \mathbf{1} \end{cases}$$

Modular-invariant SUSY actions

$$W(\psi; \tau) = \sum_n \sum_{\{i_1, \dots, i_n\}} \sum_s g_{i_1 \dots i_n, s} (Y_{i_1 \dots i_n, s}(\tau) \psi_{i_1} \dots \psi_{i_n})_{\mathbf{1}, s}$$

$$\left\{ \begin{array}{l} \tau \rightarrow \gamma\tau = \frac{a\tau + b}{c\tau + d} \\ \psi_i \rightarrow (c\tau + d)^{-k_i} \rho_i(\gamma) \psi_i \\ Y(\tau) \rightarrow Y(\gamma\tau) = (c\tau + d)^{k_Y} \rho_Y(\gamma) Y(\tau) \end{array} \right. \quad \text{with } \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

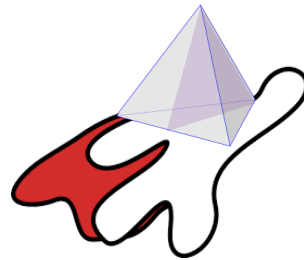
weights

k_Y even, positive

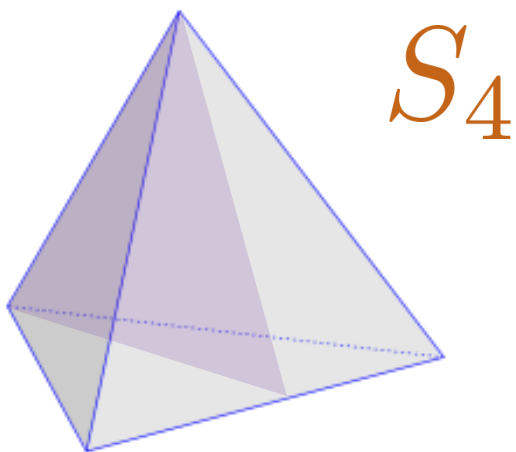
$Y(\tau)$ are **modular forms** obeying $\begin{cases} k_Y = k_{i_1} + \dots + k_{i_n} \\ \rho_Y \otimes \rho_{i_1} \otimes \dots \otimes \rho_{i_n} \supset \mathbf{1} \end{cases}$
How to build them?

Modular S_4

(in some detail)



S_4 as the flavour group



rotations + reflection
 \Leftrightarrow permutation of vertices

Group presentations

3 generators, S , T , and U , obeying:

$$\begin{aligned} \tilde{S}^2 &= \tilde{T}^3 = \tilde{U}^2 = (\tilde{S}\tilde{T})^3 \\ &= (\tilde{S}\tilde{U})^2 = (\tilde{T}\tilde{U})^2 = (\tilde{S}\tilde{T}\tilde{U})^4 = 1 \end{aligned}$$

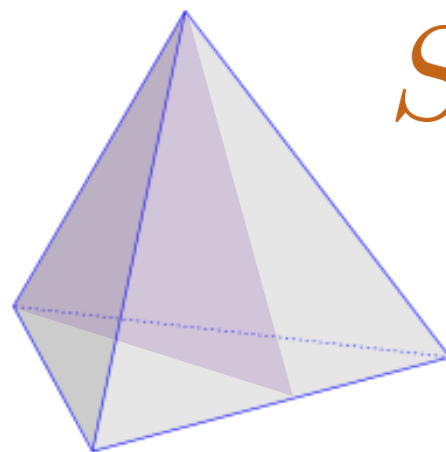


2 generators, S and T , obeying:

$$S^2 = (ST)^3 = T^4 = 1$$

For reviews on the discrete sym. approach to flavour, see e.g.: Altarelli, Feruglio, 1002.0211; Ishimori et al, 1003.3552; King et al, 1402.4271; Petcov, 1711.10806.

S_4 as the flavour group


 S_4

rotations + reflection
 \Leftrightarrow permutation of vertices

Group presentations

$$\begin{cases} S = \tilde{S}\tilde{T}\tilde{S}\tilde{U}, \\ T = \tilde{T}^2\tilde{S}\tilde{T}\tilde{U}, \end{cases}$$

 \Leftrightarrow

$$\begin{cases} \tilde{S} = T^2, \\ \tilde{T} = ST, \\ \tilde{U} = ST^2ST^3. \end{cases}$$

For reviews on the discrete sym. approach to flavour, see e.g.: Altarelli, Feruglio, 1002.0211; Ishimori et al, 1003.3552; King et al, 1402.4271; Petcov, 1711.10806.

S_4 in the symmetric basis (remember?)

1905.11970

$$S^2 = (ST)^3 = T^4 = 1$$

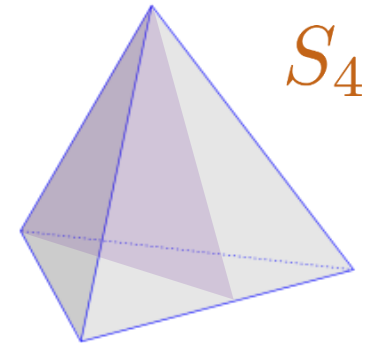
$$\mathbf{1} : \rho(S) = 1, \quad \rho(T) = 1,$$

$$\mathbf{1}' : \rho(S) = -1, \quad \rho(T) = -1,$$

$$\mathbf{2} : \rho(S) = \frac{1}{2} \begin{pmatrix} -1 & \sqrt{3} \\ \sqrt{3} & 1 \end{pmatrix}, \quad \rho(T) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix},$$

$$\mathbf{3} : \rho(S) = -\frac{1}{2} \begin{pmatrix} 0 & \sqrt{2} & \sqrt{2} \\ \sqrt{2} & -1 & 1 \\ \sqrt{2} & 1 & -1 \end{pmatrix}, \quad \rho(T) = -\begin{pmatrix} 1 & 0 & 0 \\ 0 & i & 0 \\ 0 & 0 & -i \end{pmatrix},$$

$$\mathbf{3}' : \rho(S) = \frac{1}{2} \begin{pmatrix} 0 & \sqrt{2} & \sqrt{2} \\ \sqrt{2} & -1 & 1 \\ \sqrt{2} & 1 & -1 \end{pmatrix}, \quad \rho(T) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & i & 0 \\ 0 & 0 & -i \end{pmatrix}.$$



see, e.g., Altarelli, Feruglio, Merlo, 0903.1940

S_4 in the symmetric basis (remember?)

1905.11970


 S_4


“And now for something completely different”



see, e.g., Altarelli, Feruglio, Mero, 0905.1940

The Dedekind eta function

Useful to build the sought-out modular forms

$$\eta(\tau) \equiv q^{1/24} \prod_{k=1}^{\infty} (1 - q^k), \quad \text{with } q = e^{2\pi i \tau}$$

why this function?

$$S : \tau \rightarrow -1/\tau$$

$$T : \tau \rightarrow \tau + 1$$



$$\eta(-1/\tau) = \sqrt{-i\tau} \eta(\tau)$$

$$\eta(\tau + 1) = e^{i\pi/12} \eta(\tau)$$

Action of S_4 generators



$$S^2 = (ST)^3 = T^4 = 1$$

Set of 'seed' functions

$$\{\eta_i\} = \left\{ \eta\left(\tau + \frac{1}{2}\right), \eta(4\tau), \eta\left(\frac{\tau}{4}\right), \eta\left(\frac{\tau+1}{4}\right), \eta\left(\frac{\tau+2}{4}\right), \eta\left(\frac{\tau+3}{4}\right) \right\}$$

Action of S_4 generators



$$S^2 = (ST)^3 = T^4 = 1$$

Set of 'seed' functions

$S :$

$$\{\eta_i\} = \left\{ \eta\left(\tau + \frac{1}{2}\right), \eta(4\tau), \eta\left(\frac{\tau}{4}\right), \eta\left(\frac{\tau+1}{4}\right), \eta\left(\frac{\tau+2}{4}\right), \eta\left(\frac{\tau+3}{4}\right) \right\}$$

The diagram shows green arrows indicating the action of the S generator on the seed functions. Arrows point from the first function to the second, third, and fourth; from the second to the third; and from the third to the fourth, fifth, and sixth.

up to multiplicative factors

Action of S_4 generators



$$S^2 = (ST)^3 = T^4 = 1$$

Set of 'seed' functions

$S :$

$$\{\eta_i\} = \left\{ \eta\left(\tau + \frac{1}{2}\right), \eta(4\tau), \eta\left(\frac{\tau}{4}\right), \eta\left(\frac{\tau+1}{4}\right), \eta\left(\frac{\tau+2}{4}\right), \eta\left(\frac{\tau+3}{4}\right) \right\}$$

$$\eta\left(\tau + \frac{1}{2}\right) \xrightarrow{S} \eta\left(-\frac{1}{\tau} + \frac{1}{2}\right) = (\dots) \times \eta\left(\frac{\tau+2}{4}\right)$$

up to multiplicative factors

Action of S_4 generators



$$S^2 = (ST)^3 = \underline{T^4 = 1}$$

Set of 'seed' functions

$S :$

$$\{\eta_i\} = \left\{ \eta\left(\tau + \frac{1}{2}\right), \eta(4\tau), \eta\left(\frac{\tau}{4}\right), \eta\left(\frac{\tau+1}{4}\right), \eta\left(\frac{\tau+2}{4}\right), \eta\left(\frac{\tau+3}{4}\right) \right\}$$

$T :$

up to multiplicative factors

Action of S_4 generators

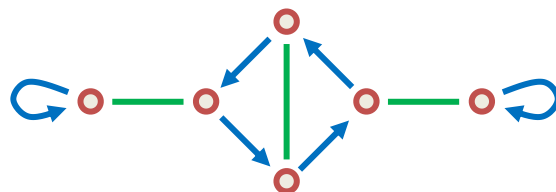
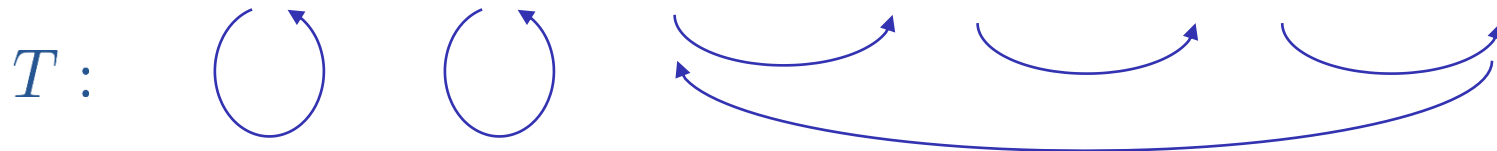


$$S^2 = (ST)^3 = \underline{T^4} = 1$$

Set of 'seed' functions

$S :$

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up to multiplicative factors

Building lowest-weight forms

$$Y(a_1, \dots, a_6 | \tau) \equiv \frac{d}{d\tau} \left(\sum_{i=1}^6 a_i \log \eta_i(\tau) \right)$$

$$\sum_i a_i = 0$$

$$\begin{aligned} S : Y(a_1, \dots, a_6 | \tau) &\rightarrow Y(a_1, a_2, a_3, a_4, a_5, a_6 | -1/\tau) \\ &= \tau^2 Y(a_5, a_3, a_2, a_6, a_1, a_4 | \tau) \end{aligned}$$

$$\begin{aligned} T : Y(a_1, \dots, a_6 | \tau) &\rightarrow Y(a_1, a_2, a_3, a_4, a_5, a_6 | \tau + 1) \\ &= Y(a_1, a_2, a_6, a_3, a_4, a_5 | \tau) \end{aligned}$$

Building lowest-weight forms

$$Y(a_1, \dots, a_6 | \tau) \equiv \frac{d}{d\tau} \left(\sum_{i=1}^6 a_i \log \eta_i(\tau) \right)$$

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$$\begin{aligned} T : Y(a_1, \dots, a_6 | \tau) &\rightarrow Y(a_1, a_2, a_3, a_4, a_5, a_6 | \tau + 1) \\ &= Y(a_1, a_2, a_6, a_3, a_4, a_5 | \tau) \end{aligned}$$

$$Y(\tau) \rightarrow (c\tau + d)^{k_Y} \rho_Y(\gamma) Y(\tau) \quad \Rightarrow \quad \text{Modular forms of weight 2}$$

Correct dimension! (5)

Building lowest-weight forms: multiplets

$$Y(a_1, \dots, a_6 | \tau) \equiv \frac{d}{d\tau} \left(\sum_{i=1}^6 a_i \log \eta_i(\tau) \right)$$

$$\sum_i a_i = 0$$

Lowest weight forms arrange into:

$$Y_{\mathbf{2}}(\tau) = i \begin{pmatrix} Y(1, 1, -1/2, -1/2, -1/2, -1/2 | \tau) \\ Y(0, 0, \sqrt{3}/2, -\sqrt{3}/2, \sqrt{3}/2, -\sqrt{3}/2 | \tau) \end{pmatrix} \equiv \begin{pmatrix} Y_1 \\ Y_2 \end{pmatrix} \quad \text{doublet } \mathbf{2}$$

$$Y_{\mathbf{3}' }(\tau) = i \begin{pmatrix} Y(1, -1, 0, 0, 0, 0 | \tau) \\ Y(0, 0, -1/\sqrt{2}, i/\sqrt{2}, 1/\sqrt{2}, -i/\sqrt{2} | \tau) \\ Y(0, 0, -1/\sqrt{2}, -i/\sqrt{2}, 1/\sqrt{2}, i/\sqrt{2} | \tau) \end{pmatrix} \equiv \begin{pmatrix} Y_3 \\ Y_4 \\ Y_5 \end{pmatrix} \quad \text{triplet } \mathbf{3}'$$

Building lowest-weight forms: multiplets

$$Y(a_1, \dots, a_6 | \tau) \equiv \frac{d}{d\tau} \left(\sum_{i=1}^6 a_i \log \eta_i(\tau) \right)$$

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Tensor products generate **higher weight** forms

Building higher-weight forms

At weight 4



$$Y_1^{(4)} = Y_1 Y_2 \sim \mathbf{1}$$

$$Y_2^{(4)} = (Y_2^2, Y_1^2)^T \sim \mathbf{2}$$

$$Y_3^{(4)} = (Y_1 Y_4 - Y_2 Y_5, Y_1 Y_5 - Y_2 Y_3, Y_1 Y_3 - Y_2 Y_4)^T \sim \mathbf{3}$$

$$Y_{3'}^{(4)} = (Y_1 Y_4 + Y_2 Y_5, Y_1 Y_5 + Y_2 Y_3, Y_1 Y_3 + Y_2 Y_4)^T \sim \mathbf{3'}$$

$\mathbf{1'}$ arises at
weight 6

Constraints

guarantee correct
dimensionality

$$\begin{aligned} \frac{1}{3} (Y_3^2 + 2Y_4 Y_5) &= Y_1 Y_2, & -\frac{1}{\sqrt{3}} (Y_3^2 - Y_4 Y_5) &= Y_1 Y_4 - Y_2 Y_5, \\ \frac{1}{3} (Y_4^2 + 2Y_3 Y_5) &= Y_2^2, & -\frac{1}{\sqrt{3}} (Y_5^2 - Y_3 Y_4) &= Y_1 Y_5 - Y_2 Y_3, \\ \frac{1}{3} (Y_5^2 + 2Y_3 Y_4) &= Y_1^2, & -\frac{1}{\sqrt{3}} (Y_4^2 - Y_3 Y_5) &= Y_1 Y_3 - Y_2 Y_4. \end{aligned}$$



Generators of modular forms: q -expansions

$$Y_2(\tau) \equiv \begin{pmatrix} Y_1(\tau) \\ Y_2(\tau) \end{pmatrix} \quad \text{doublet } 2 \quad Y_{3'}(\tau) \equiv \begin{pmatrix} Y_3(\tau) \\ Y_4(\tau) \\ Y_5(\tau) \end{pmatrix} \quad \text{triplet } 3'$$

$$\begin{aligned} -\frac{8}{3\pi} Y_1(\tau) &= 1 + 24 q_4^4 + 24 q_4^8 + 96 q_4^{12} + 24 q_4^{16} + \dots \\ -\frac{1}{3\sqrt{3}\pi} Y_2(\tau) &= q_4^2 + 4 q_4^6 + 6 q_4^{10} + 8 q_4^{14} + 13 q_4^{18} + \dots \\ \frac{4}{\pi} Y_3(\tau) &= 1 - 8 q_4^4 + 24 q_4^8 - 32 q_4^{12} + 24 q_4^{16} + \dots \\ -\frac{1}{\sqrt{2}\pi} Y_4(\tau) &= q_4 + 6 q_4^5 + 13 q_4^9 + 14 q_4^{13} + 18 q_4^{17} + \dots \\ -\frac{1}{4\sqrt{2}\pi} Y_5(\tau) &= q_4^3 + 2 q_4^7 + 3 q_4^{11} + 6 q_4^{15} + 5 q_4^{19} + \dots \end{aligned}$$

Extremely constrained functions, with nice properties

$$q_4 = e^{\pi i \tau / 2}$$

Model building and predictions



Guidelines for model building

Using minimality as a guiding principle...



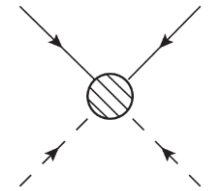
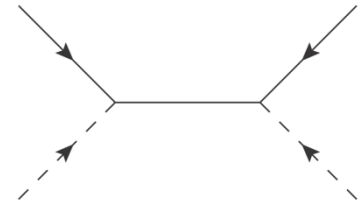
- **No flavons** are introduced,
- Higgs multiplets transform trivially,
- Lepton doublets transform as an S_4 **triplet**,
- Lepton singlets transform as S_4 **singlets**, and
- Lowest possible weights are chosen such that all charged leptons are massive

Guidelines for model building

Using minimality as a guiding principle...



- **No flavons** are introduced,
- Higgs multiplets transform trivially,
- Lepton doublets transform as an S_4 **triplet**,
- Lepton singlets transform as S_4 **singlets**, and
- Lowest possible weights are chosen such that all charged leptons are massive



Guidelines for model building

Using minimality as a guiding principle...



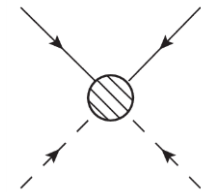
- **RGEs** need to be considered, depend on $\tan \beta$
- **SUSY-breaking** corrections can be made negligible via separation of scales (power counting argument)

Feruglio and Criado, 1807.01125

Lepton masses and mixing from the Weinberg operator

JP, Petcov, 1806.03203

$$W = \sum_i \alpha_i [E_i^c L H_d f_i(Y)]_{\mathbf{1}} + \frac{g}{\Lambda} [L H_u L H_u f_W(Y)]_{\mathbf{1}}$$



- Study models systematically by increasing weight of L
- Minimal working model ($k_L = 2$) has **7** real parameters, predicting 9 observables in the neutrino sector
- Correlations between observables are expected

Lepton masses and mixing

from the Weinberg operator: a benchmark

	H_u	H_d	L	E_1^c	E_2^c	E_3^c
ρ_i	1	1	3	1'	1	1'
			3'	1	1'	1
k_i	0	0	2	0	2	2

NO spectrum

$$\frac{m_e}{m_\mu} \simeq 0.0048, \quad \sin^2 \theta_{12} \simeq 0.292, \quad \delta \simeq 1.64\pi,$$

$$\frac{m_\mu}{m_\tau} \simeq 0.0560, \quad \sin^2 \theta_{13} \simeq 0.021, \quad \alpha_{21} \simeq 0.10\pi,$$

$$r \simeq 0.0298, \quad \sin^2 \theta_{23} \simeq 0.493, \quad \alpha_{31} \simeq 1.10\pi.$$



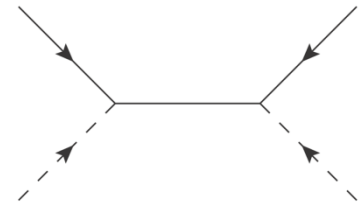
$$|\langle m \rangle| \simeq 0.042 \text{ eV}$$

Lepton masses and mixing from Seesaw type I

Novichkov, JP, Petcov,

Titov, 1811.04933

$$W = \sum_i \alpha_i [E_i^c L f_i(Y)]_{\mathbf{1}} H_d + g [N^c L f_N(Y)]_{\mathbf{1}} H_u + \Lambda [N^c N^c f_M(Y)]_{\mathbf{1}}$$



- UV completion, more predictive
- Minimal working models have **5** parameters (vs. 9 observables)
- Parameter space fully scanned and **correlations** studied in detail
- In viable models, heavy singlets can be integrated out

$$- \Lambda [N^c N^c f_M(Y)]_{\mathbf{1}}$$

$$k_{N^c} = 0$$

$$2\Lambda \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$k_{N^c} = 1$$

$$2\Lambda \begin{pmatrix} 0 & Y_1 & Y_2 \\ Y_1 & Y_2 & 0 \\ Y_2 & 0 & Y_1 \end{pmatrix}$$

$$k_{N^c} = 2$$

$$2\Lambda \left[Y_1 Y_2 \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} + \frac{\Lambda'}{\Lambda} \begin{pmatrix} 0 & Y_2^2 & Y_1^2 \\ Y_2^2 & Y_1^2 & 0 \\ Y_1^2 & 0 & Y_2^2 \end{pmatrix} \right]$$

$$Y_i = Y_i(\tau) + \frac{\Lambda''}{\Lambda} \begin{pmatrix} 2(Y_1 Y_4 - Y_2 Y_5) & Y_2 Y_4 - Y_1 Y_3 & Y_2 Y_3 - Y_1 Y_5 \\ Y_2 Y_4 - Y_1 Y_3 & 2(Y_1 Y_5 - Y_2 Y_3) & Y_2 Y_5 - Y_1 Y_4 \\ Y_2 Y_3 - Y_1 Y_5 & Y_2 Y_5 - Y_1 Y_4 & 2(Y_1 Y_3 - Y_2 Y_4) \end{pmatrix}$$

$$\Lambda [N^c N^c f_M(Y)]_{\mathbf{1}}$$

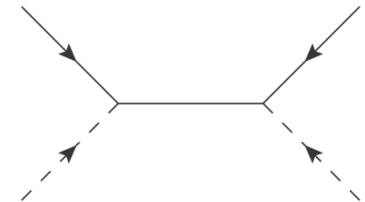
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Lepton masses and mixing from Seesaw type I

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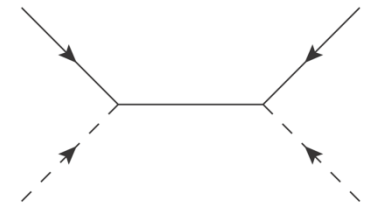
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Lepton masses and mixing from Seesaw type I

Novichkov, JP, Petcov,

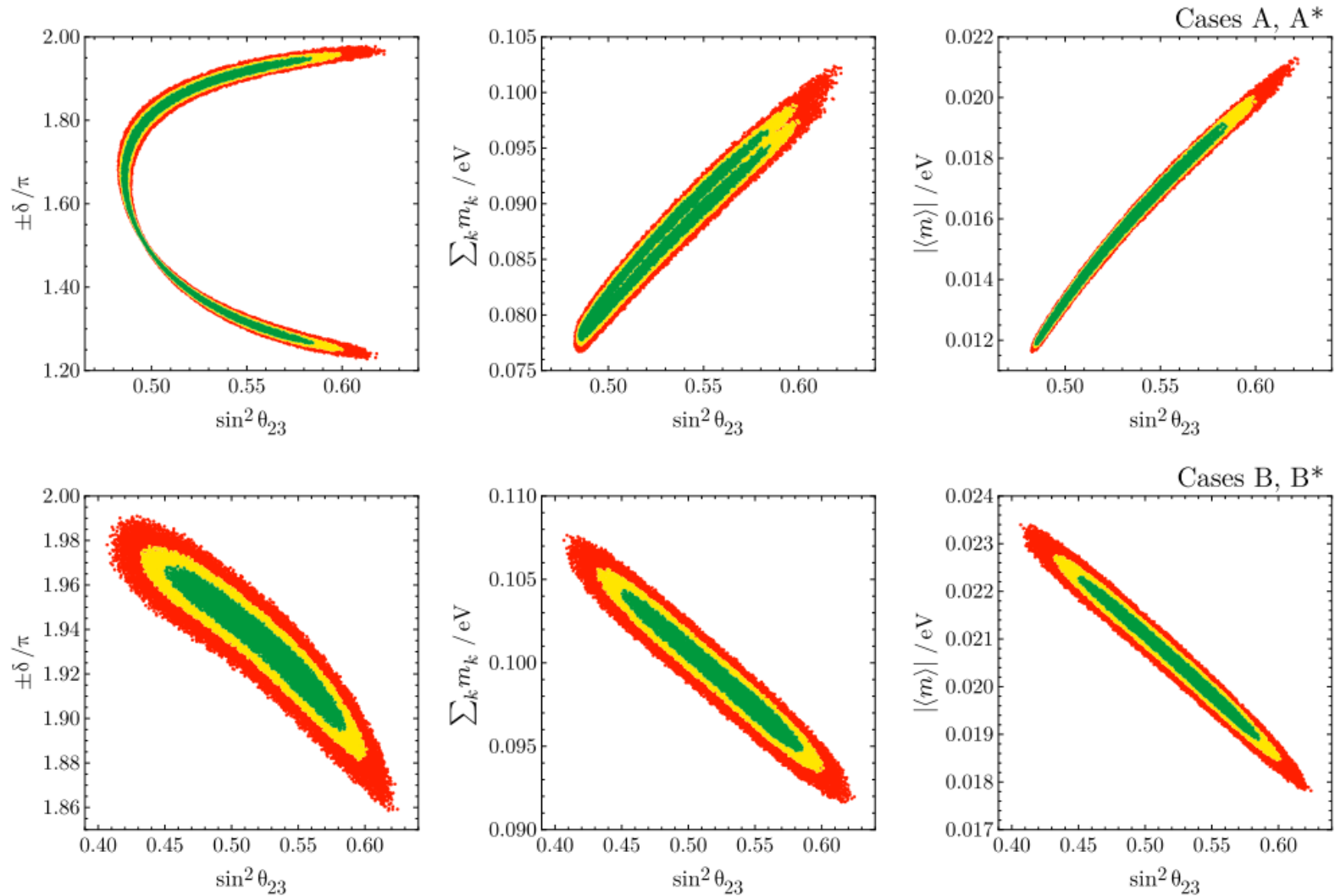
Titov, 1811.04933

$$W = \sum_i \alpha_i [E_i^c L f_i(Y)]_{\mathbf{1}} H_d + g [N^c L f_N(Y)]_{\mathbf{1}} H_u + \Lambda [N^c N^c f_M(Y)]_{\mathbf{1}}$$

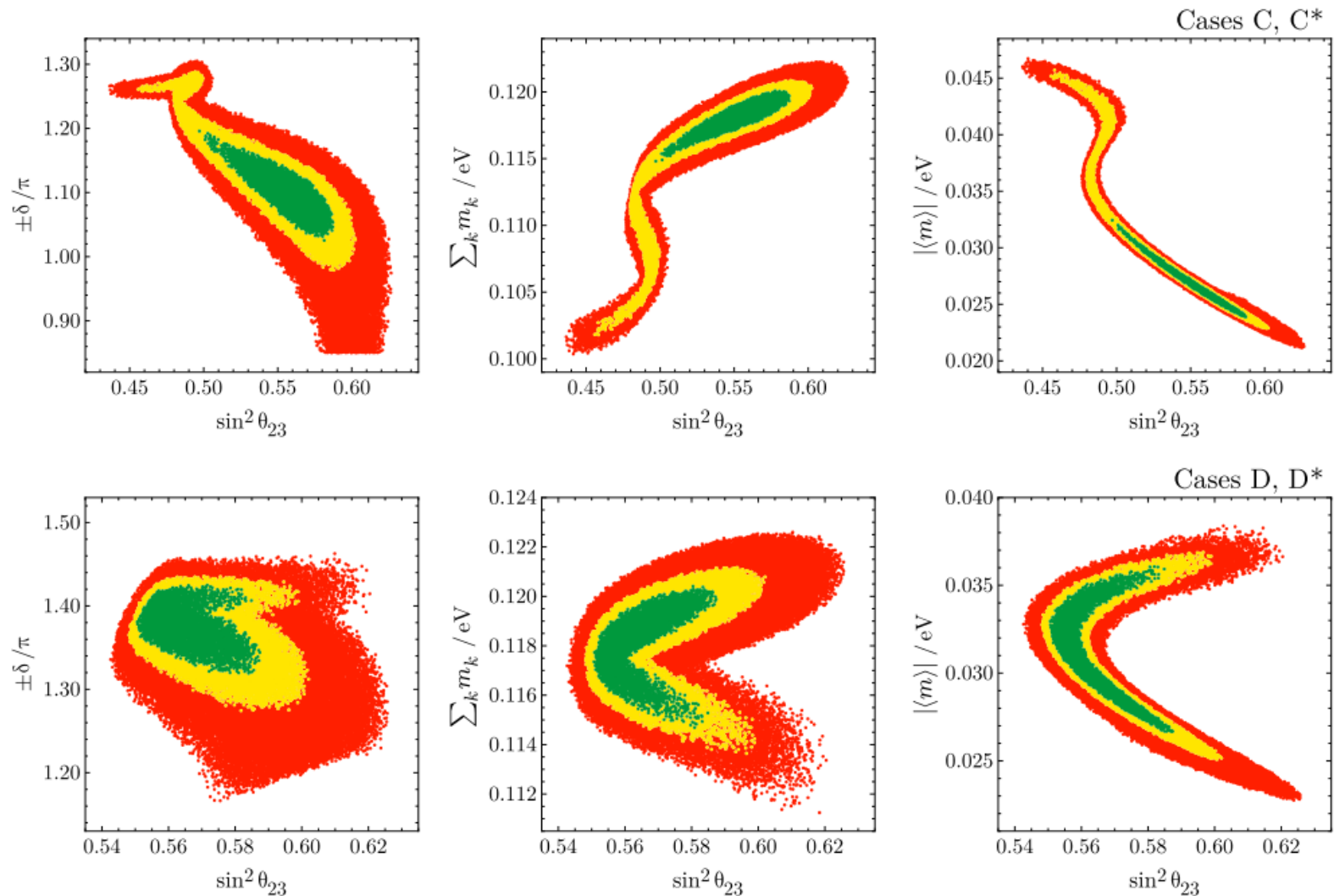


- UV completion, more predictive 4 if gCP imposed
- Minimal working models have ~~5~~ parameters (vs. 9 observables)
- Parameter space fully scanned and **correlations** studied in detail
- In viable models, heavy singlets can be integrated out

Modular Seesaw correlations



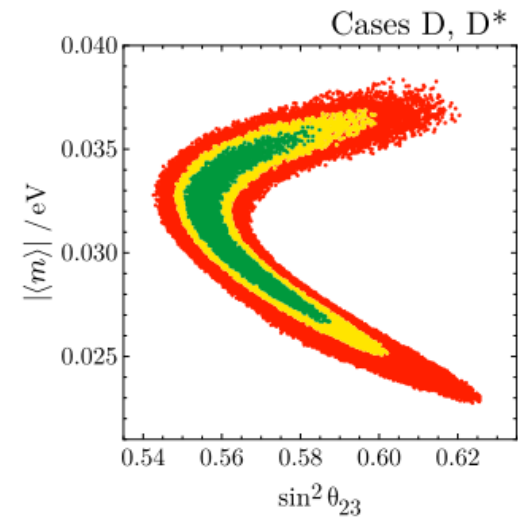
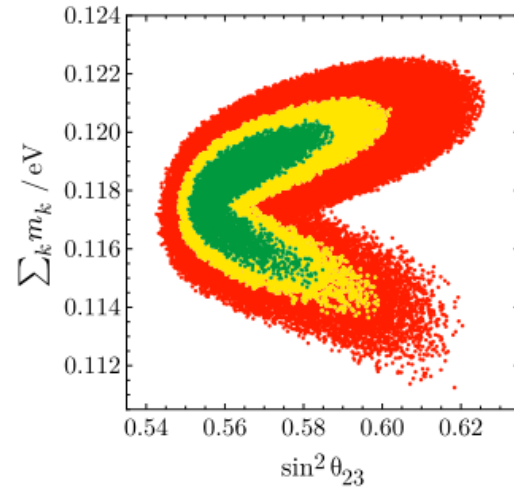
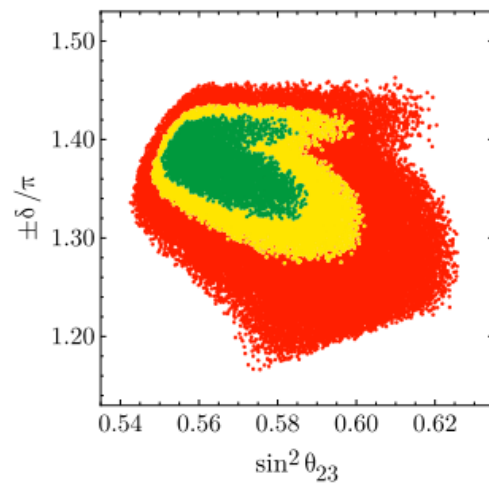
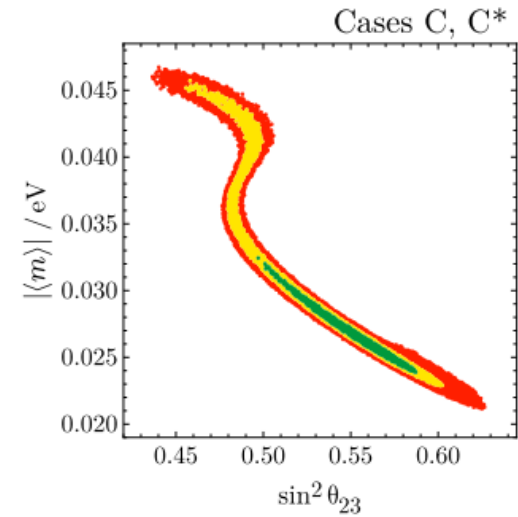
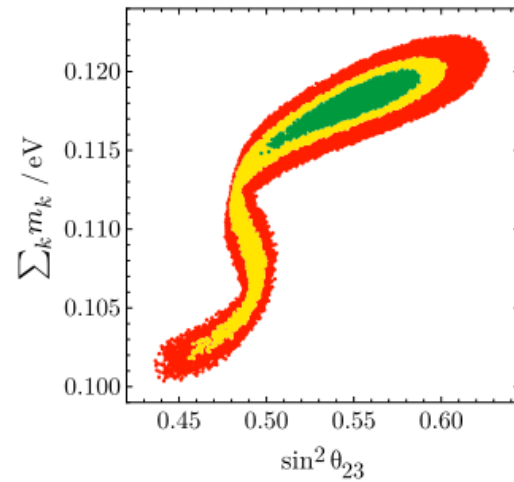
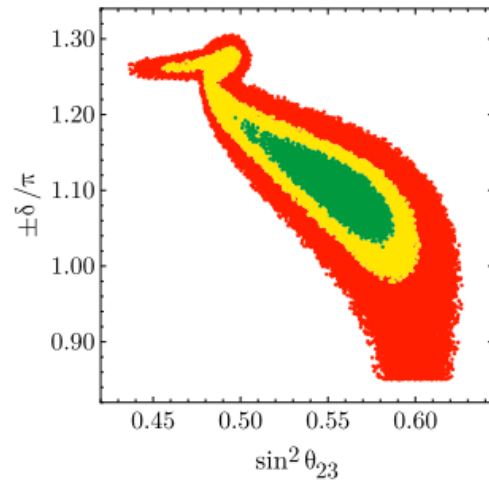
Modular Seesaw correlations



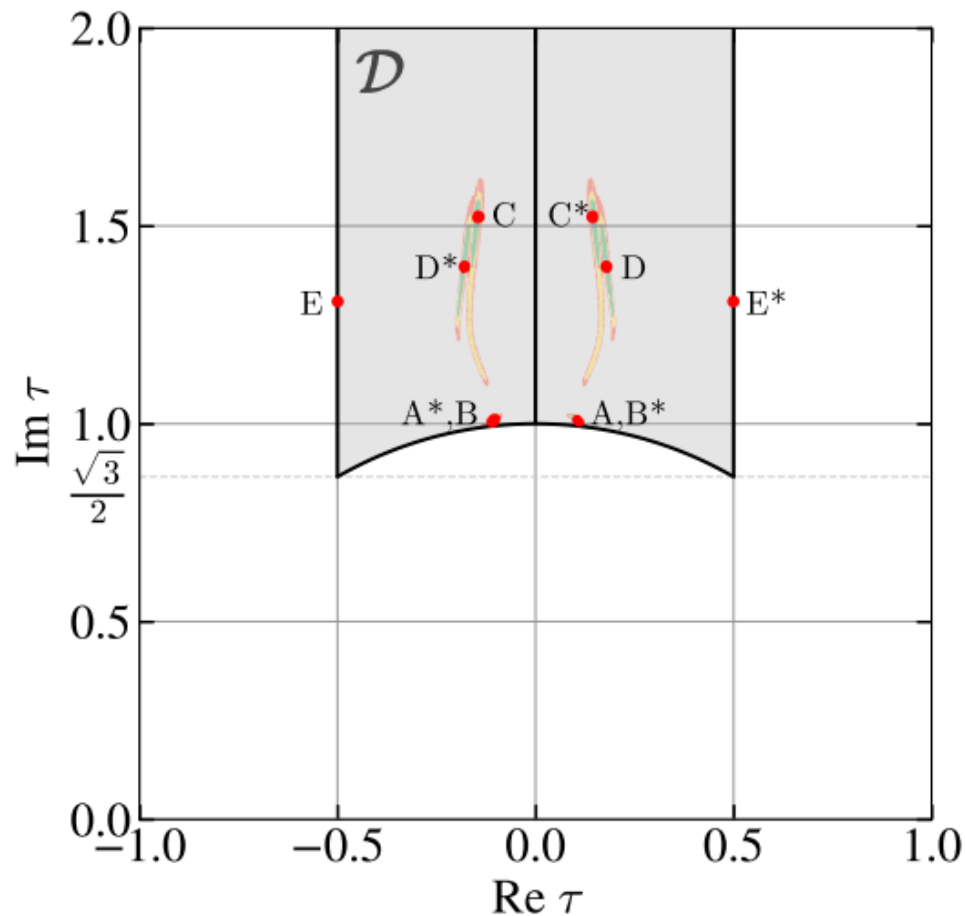
Modular Seesaw correlations

$$|\langle m \rangle|_{\beta\beta} > 6 \text{ meV}$$

$$\sum_i m_i > 0.07 \text{ eV}$$



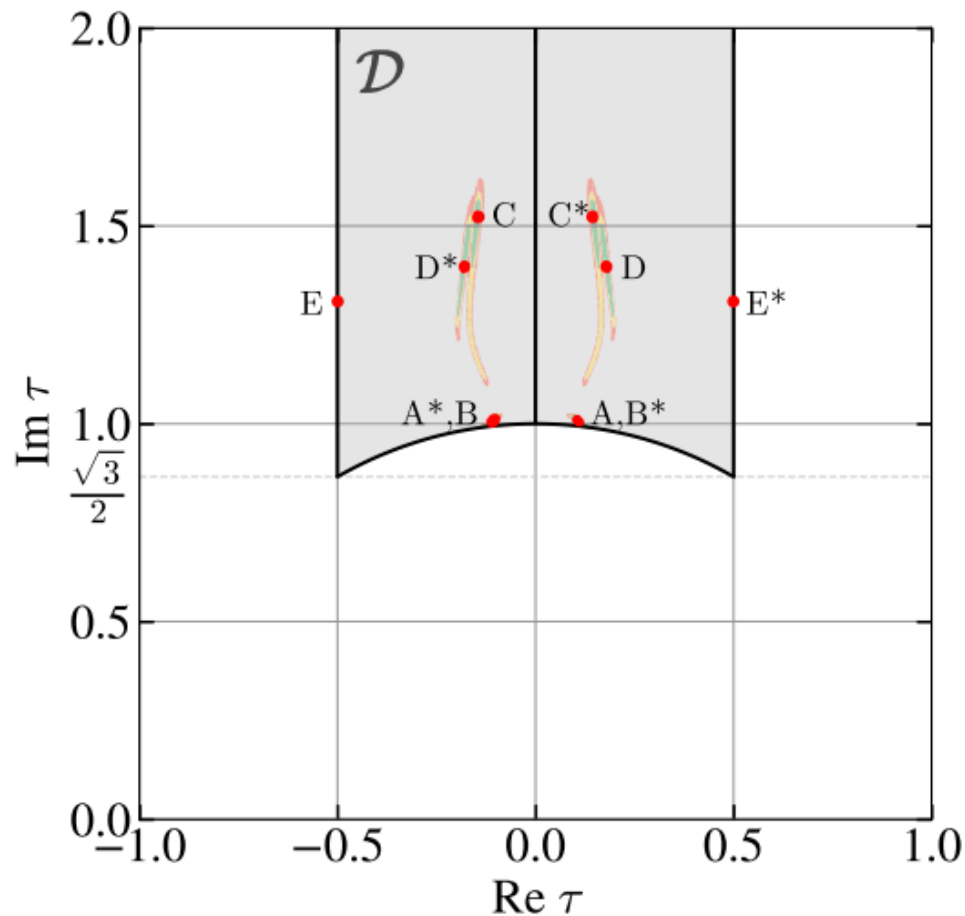
Viabile τ regions from Seesaw



Novichkov, JP, Petcov, Titov,
1811.04933

NO cases close to top-down
minima of Cvetič et al., Nucl.
Phys. B361 (1991) 194

Viabale τ regions from Seesaw



Novichkov, JP, Petcov, Titov,
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NO cases close to top-down
minima of Cvetič et al., Nucl.
Phys. B361 (1991) 194

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Summary and Conclusions (1/2)

- (**Modular symmetry** may strongly constrain masses and mixing.)
- Fields carrying a non-trivial modular weight transform with a scale factor in addition to the usual unitary rotation.
- To build invariants one needs **modular forms**.

$$5 = 2 + 3'$$

Summary and Conclusions (2/2)

- We have shown how lepton mixing and Dirac and Majorana CPV phases can be predicted in **minimal modular S4 models**.
- The existence of successful benchmarks and predictive setups warrants further exploration of such an approach.

A scenic view of a traditional Chinese water town. In the foreground, a stone bridge with multiple arches spans a canal. A small boat with a canopy is visible under one of the arches. In the background, a large, multi-tiered pagoda with traditional Chinese architecture stands prominently. The scene is set against a bright, slightly hazy sky.

Thank you / 谢谢

Backup slides

Kähler potential

The minimal choice; a definition of the setup, at this point:

$$K(\chi_i, \bar{\chi}_i; \tau, \bar{\tau}) = -h \Lambda_0^2 \log(-i(\tau - \bar{\tau})) + \sum_i \frac{|\chi_i|^2}{(-i(\tau - \bar{\tau}))^{k_i}}$$



$$\mathcal{L} \supset \sum_i \frac{\partial_\mu \bar{\chi}_i \partial^\mu \chi_i}{(2 \operatorname{Im} \langle \tau \rangle)^{k_i}}$$

Under a modular transformation, **invariant up to a Kähler transformation:**

$$K(\chi_i, \bar{\chi}_i; \tau, \bar{\tau}) \rightarrow K(\chi_i, \bar{\chi}_i; \tau, \bar{\tau}) + f(\chi_i; \tau) + f(\bar{\chi}_i; \bar{\tau})$$

There may exist potentially dangerous corrections to the Kähler, to be studied

Mass matrices in Weinberg operator case

$$k_L = 1$$



$$M_e^\dagger = v_d \begin{pmatrix} \alpha Y_3 & \alpha Y_5 & \alpha Y_4 \\ \beta (Y_1 Y_4 - Y_2 Y_5) & \beta (Y_1 Y_3 - Y_2 Y_4) & \beta (Y_1 Y_5 - Y_2 Y_3) \\ \gamma (Y_1 Y_4 + Y_2 Y_5) & \gamma (Y_1 Y_3 + Y_2 Y_4) & \gamma (Y_1 Y_5 + Y_2 Y_3) \end{pmatrix}$$

$$M_\nu = \frac{2g_1 v_u^2}{\Lambda} \begin{pmatrix} 0 & Y_2 & Y_1 \\ Y_2 & Y_1 & 0 \\ Y_1 & 0 & Y_2 \end{pmatrix}$$

$$Y_i \equiv Y_i(\tau)$$

Mass matrices in Weinberg operator case

$$k_L = 2$$

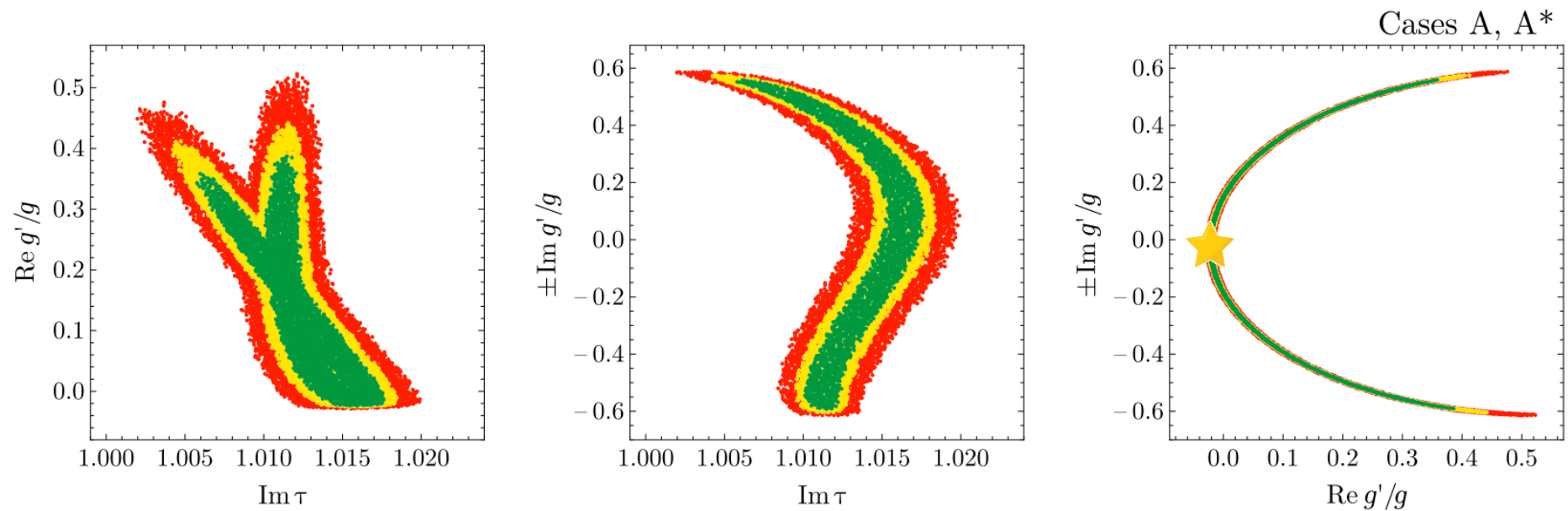


$$M_e^\dagger = v_d \begin{pmatrix} \alpha Y_3 & \alpha Y_5 & \alpha Y_4 \\ \beta (Y_1 Y_4 - Y_2 Y_5) & \beta (Y_1 Y_3 - Y_2 Y_4) & \beta (Y_1 Y_5 - Y_2 Y_3) \\ \gamma (Y_1 Y_4 + Y_2 Y_5) & \gamma (Y_1 Y_3 + Y_2 Y_4) & \gamma (Y_1 Y_5 + Y_2 Y_3) \end{pmatrix}$$

$$M_\nu = \frac{2g'v_u^2}{\Lambda} \left[\begin{pmatrix} (g/g')Y_1Y_2 & Y_2^2 & Y_1^2 \\ Y_2^2 & Y_1^2 & (g/g')Y_1Y_2 \\ Y_1^2 & (g/g')Y_1Y_2 & Y_2^2 \end{pmatrix} + \frac{1}{2} \frac{g''}{g'} \begin{pmatrix} 2(Y_1Y_4 - Y_2Y_5) & -(Y_1Y_3 - Y_2Y_4) & -(Y_1Y_5 - Y_2Y_3) \\ -(Y_1Y_3 - Y_2Y_4) & 2(Y_1Y_5 - Y_2Y_3) & -(Y_1Y_4 - Y_2Y_5) \\ -(Y_1Y_5 - Y_2Y_3) & -(Y_1Y_4 - Y_2Y_5) & 2(Y_1Y_3 - Y_2Y_4) \end{pmatrix} \right]$$

$$Y_i \equiv Y_i(\tau)$$

Correlations between parameters



see Novichkov, JP, Petcov, Titov, 1811.04933