



Thermodynamics of $f(R)$ Gravity with Disformal Transformation

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Reference: C. Q. Geng, W. C. Hsu, JRL and L. W. Luo, Entropy 21, 172 (2019)



Outline

- Introduction
- Thermodynamics in Jordan Frame
 - Non-equilibrium description
 - Equilibrium description
- Thermodynamics in Einstein Frame
- Summary



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What is f(R) theory?

- Einstein equation can be obtained by varying the Einstein-Hilbert action:

$$S_{EH} = \frac{1}{2\kappa} \int d^4x \sqrt{-g} R + S_M[g_{\mu\nu}, \Psi],$$

$$\delta S_{EH} = 0 \Rightarrow G_{\mu\nu} = R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = \kappa T_{\mu\nu}.$$

- General Relativity(GR) becomes f(R) theory by replacing the Lagrangian density of GR, R , with a function of R , $f(R)$:

$$S = \frac{1}{2\kappa} \int d^4x \sqrt{-g} f(R) + S_M[g_{\mu\nu}, \Psi],$$

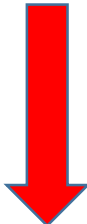
$$\delta S = 0 \Rightarrow F G_{\mu\nu} - \frac{1}{2} g_{\mu\nu} (f(R) - FR) - \nabla_\mu \nabla_\nu F + g_{\mu\nu} \square F = \kappa T_{\mu\nu}. \quad (F := f_{,R})$$



Jordan Frame v.s. Einstein Frame

- $f(R)$ gravity in Jordan frame:

$$S = \frac{1}{2\kappa} \int d^4x \sqrt{-g} f(R)$$

Conformal transformation:  $\tilde{g}_{\mu\nu} = \Omega^2(x)g_{\mu\nu}, \quad \Omega^2(x) = F(R) := e^{\sqrt{\frac{4\pi G}{3}}\omega}$

- $f(R)$ gravity in Einstein frame:

$$S = \int d^4x \sqrt{-\tilde{g}} \left[\frac{1}{2\kappa} \tilde{R} - \frac{1}{2} \tilde{g}^{\mu\nu} \partial_\mu \omega \partial_\nu \omega - V(\omega) \right],$$

where $V(\omega) = \frac{1}{2\kappa} \frac{FR-f}{F^2}$.



Disformal Transformation [arXiv: gr-qc/9211017]

- In 1992, Bekenstein proposed a new gravity theory which is a special kind of bimetric theory.
- One of the metric, $g_{\mu\nu}$, describes the gravitational field of the spacetime, the other, $\gamma_{\mu\nu}$, describes the particle trajectory in gravitational field.
- Bekenstein argued that two metrics should be related through **disformal transformation** in order to satisfy equivalence principle and causality:

$$\gamma_{\mu\nu} = A(\phi, X)g_{\mu\nu} + B(\phi, X)\partial_\mu\phi\partial_\nu\phi. \quad (X = -\frac{1}{2}g^{\alpha\beta}\partial_\alpha\phi\partial_\beta\phi)$$

matter (physical)
metric

gravitational
metric

gravitational
scalar field



Thermodynamics and Gravity

- In BH physics, the temperature and entropy are associated with the surface gravity and area of the horizon. [Comm. Math. Phys., Volume 31, Number 2 (1973), 161-170; Phys. Rev. D. 7.2333(1973)]
- In 1995, T. Jacobson further showed that Einstein equation can be derived from the thermodynamic behavior of spacetime.[arXiv:gr-qc/9504004v2]
- In 2005, R. G. Cai and S. P. Kim demonstrated that the Friedmann equations can be derived from the first law of thermodynamics on the apparent horizon of the universe.[arXiv:gr-qc/0611071v2]
- Connection between thermodynamics and $f(R)$ gravity and other modified gravity has been widely investigated. [arXiv:0909.2109, 1005.5234]



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Action: $S = \frac{1}{2\kappa} \int \sqrt{-g} f(R) d^4x + \sum_i S_M^{(i)} [\gamma_{\alpha\beta}]$

- $\gamma_{\alpha\beta}$ is related with $g_{\alpha\beta}$ by the disformal transformation:

$$\gamma_{\alpha\beta} = A(\phi, X)g_{\alpha\beta} + B(\phi, X)\partial_\alpha\phi\partial_\beta\phi$$

where $X = -\frac{1}{2} g^{\alpha\beta} \partial_\alpha\phi\partial_\beta\phi$ is the kinetic term of disformal field ϕ .

- Under the assumption of Principle of Cosmology :

- Metric $g_{\alpha\beta}$ is given by Robertson-Walker metric: (k=0)

$$ds^2 = -dt^2 + a^2(t)(dx^2 + dy^2 + dz^2)$$

- ϕ depends only on time now, i.e., $\phi = \phi(t)$.



Action: $S = \frac{1}{2\kappa} \int \sqrt{-g} f(R) d^4x + \sum_i S_M^{(i)} [\gamma_{\alpha\beta}]$

- $\gamma_{\alpha\beta}$ is related with $g_{\alpha\beta}$ by the disformal transformation:

$$\eta_{\alpha\beta} = A(\phi, X)g_{\alpha\beta} + B(\phi, X)\partial_\alpha\phi\partial_\beta\phi$$

where $X = -\frac{1}{2} g^{\alpha\beta} \partial_\alpha\phi\partial_\beta\phi$ is the kinetic term of disformal field ϕ .

- Under the assumption of Principle of Cosmology :

- Metric $g_{\alpha\beta}$ is given by Robertson-Walker metric: (k=0)

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Equation of Motion

- $F G_{\mu\nu} = \kappa \sum_i \left(T_{\mu\nu}^{(i)} + t_{\mu\nu}^{(i)} \right) + \kappa \hat{T}_{\mu\nu}^{(d)}$, $F := \partial f(R)/\partial R$
- $\frac{\partial \mathcal{L}_i}{\partial \phi} + \frac{(\partial_\alpha \phi)(4\partial_\phi A - 2X\partial_\phi B)}{(2X)(-4A_{,X} + 2B + 2XB_{,X})} \frac{\partial \mathcal{L}_i}{\partial(\partial_\alpha \phi)} = 0$

where

$$T_{\mu\nu}^{(i)} = \frac{-2}{\sqrt{-g}} \frac{\delta \mathcal{L}_i}{\delta g^{\mu\nu}}$$

$$t_{\mu\nu}^{(i)} = \frac{-2}{\sqrt{-g}} \left(\frac{-Ag_{\mu\nu} - 2A_{,X}\partial_\mu\phi\partial_\nu\phi + XB_{,X}\partial_\mu\phi\partial_\nu\phi}{(2X)(-4A_{,X} + 2B + 2XB_{,X})} \right) (\partial_\alpha \phi) \frac{\partial \mathcal{L}_i}{\partial(\partial_\alpha \phi)}$$

$$\hat{T}_{\mu\nu}^{(d)} = \frac{1}{\kappa} \left[\frac{1}{2} g_{\mu\nu} (f(R) - FR) + \nabla_\mu \nabla_\nu F - g_{\mu\nu} \square F \right]$$



- With the perfect fluid assumption, one is able to express induced matter in terms of ordinary matter:

$$\rho_i^{(\text{in})} = \lambda \rho_i, \quad P_i^{(\text{in})} = \lambda w^{(\text{in})} \rho_i$$

where

$$\lambda = \frac{(1 - a^2)(3\dot{a}\dot{\phi} + a^3\ddot{\phi})}{3\dot{a}\dot{\phi}}$$

and

$$w^{(\text{in})} := \frac{P_i^{(\text{in})}}{\rho_i^{(\text{in})}} = -\frac{a\ddot{\phi}}{3\dot{a}\dot{\phi} + a^3\ddot{\phi}}.$$

- Assume that ordinary matter only contain non-relativistic matter(m) and radiation(r).
 - $\rho_i = \rho_m + \rho_r := \bar{\rho}_M$
 - $\rho_i^{(\text{in})} = \rho_m^{(\text{in})} + \rho_r^{(\text{in})} = \lambda (\rho_m + \rho_r) := \lambda \bar{\rho}_M$
 - $P_i = P_r$
 - $P_i^{(\text{in})} = P_m^{(\text{in})} + P_r^{(\text{in})} = \lambda w^{(\text{in})} (\rho_m + \rho_r) := \lambda w^{(\text{in})} \bar{\rho}_M$



Modified Friedmann Equations (Non-equilibrium case)

$$\begin{aligned} \bullet H^2 &= \frac{8\pi G}{3F} (\bar{\rho}_M + \hat{\rho}_d + \lambda \bar{\rho}_M) := \frac{8\pi G}{3F} \hat{\rho}_t, \\ \bullet \dot{H} &= -\frac{4\pi G}{F} [(\bar{\rho}_M + \hat{\rho}_d + P_r + \hat{P}_d) + \lambda(1 + w^{(\text{in})})\bar{\rho}_M] \\ &:= \frac{-4\pi G}{F} (\hat{\rho}_t + \hat{P}_t), \end{aligned}$$

where

$$\hat{\rho}_d = \frac{1}{8\pi G} \left(\frac{1}{2} (FR - f) - 3H\dot{F} \right),$$

$$\hat{P}_d = \frac{1}{8\pi G} \left(\ddot{F} + 2H\dot{F} - \frac{1}{2} (FR - f) \right)$$

are the dark energy density and pressure, respectively.



1st & 2nd Law of Thermodynamics (Non-equilibrium case)

- $\dot{\hat{\rho}}_t + 3H(\hat{\rho}_t + \hat{P}_t) = \frac{3H^2\dot{F}}{8\pi G} \implies$ Non-equilibrium Thermodynamics!

Temp. on the horizon

\hat{S} : Horizon entropy in f(R)

\hat{W} : Work density in non-equil. picture

- First law: $Td\hat{S} + Td_i\hat{S} = -d\hat{E} + \hat{W}dV,$

entropy production term in non-equil. thermodynamics

$\hat{E} = V\hat{\rho}_t$

- Second law: $\frac{d\hat{S}}{dt} + \frac{d_i\hat{S}}{dt} + \frac{d\hat{S}_t}{dt} = \frac{12\pi F\dot{H}^2}{GRH^3} \geq 0,$ provided $F > 0$ (viable f(R) condition).

Entropy change rate of ordinary matter and induced matter within the horizon



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Modified Friedmann Equation (Equilibrium case)

- $H^2 = \frac{8\pi G}{3} (\bar{\rho}_M + \rho_d + \lambda \bar{\rho}_M) := \frac{8\pi G}{3} \rho_t$
- $\dot{H} = -4\pi G [(\bar{\rho}_M + \rho_d + P_r + P_d) + \lambda(1 + w^{(\text{in})})\bar{\rho}_M]$
 $:= -4\pi G(\rho_t + P_t)$

where

$$\rho_d = \frac{1}{8\pi G} \left(\frac{1}{2} (FR - f) - 3H\dot{F} + 3H^2(1 - F) \right),$$
$$P_d = \frac{1}{8\pi G} \left(\ddot{F} + 2H\dot{F} - \frac{1}{2} (FR - f) - (1 - F)(2\dot{H} + 3H^2) \right)$$

are the dark energy density and pressure in equilibrium picture, respectively.



1st & 2nd Law of Thermodynamics (Equilibrium case)

• $\rho_t + 3H(\rho_t + P_t) = 0$ \Rightarrow Equilibrium Thermodynamics

Horizon entropy in
equil. description

W : Work density in
equil. picture

• First law: $TdS = -dE + WdV$

Temp. on
the horizon

$E = \rho_t V$ (total energy
in equil. picture)

Entropy change rate of ordinary matter and
induced matter within the horizon

• Second law: $\frac{d}{dt}(S + S_t) = \frac{12\pi\dot{H}^2}{GRH^3} \geq 0.$



Thermodynamics in Jordan Frame

- Non-equilibrium case

- First law: $Td\hat{S} + Td_i\hat{S} = -d\hat{E} + \hat{W}dV$

- Second law: $\frac{d\hat{S}}{dt} + \frac{d_i\hat{S}}{dt} + \frac{d\hat{S}_t}{dt} = \frac{12\pi F\dot{H}^2}{GRH^3} \geq 0$ provided $F > 0$.

- Equilibrium case

- First law: $TdS = -dE + WdV$

- Second law: $\frac{d}{dt}(S + S_t) = \frac{12\pi\dot{H}^2}{GRH^3} \geq 0$.



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Thermodynamics in Einstein Frame

- $\tilde{g}_{\alpha\beta}(x) = \Omega^2(x)g_{\alpha\beta}(x)$

- The action becomes

$$S = \int d^4x \sqrt{-\tilde{g}} \left[\frac{1}{2\kappa} \tilde{R} - \frac{1}{2} \tilde{g}^{\mu\nu} \partial_\mu \omega \partial_\nu \omega - V(\omega) \right] + \sum_i S_M^{(i)}[\omega, \tilde{g}_{\mu\nu}, \Psi_M]$$

- The FRW metric becomes

$$\begin{aligned} d\tilde{t} &= \Omega dt \\ \tilde{a}(\tilde{t}) &= \Omega a(t) \end{aligned}$$

$$d\tilde{s}^2 = -d\tilde{t}^2 + \tilde{a}^2(\tilde{t})(dx^2 + dy^2 + dz^2)$$

➤ The EoMs

$$\tilde{G}_{\mu\nu} = \sum \kappa \left(\tilde{T}_{\mu\nu}^{(i)} + \tilde{t}_{\mu\nu}^{(i)} \right) + \kappa \tilde{\mathcal{T}}_{\mu\nu},$$

$$\frac{\partial \mathcal{L}_i}{\partial \phi} + \frac{(\partial_\alpha \phi)(4\partial_\phi A - 2X\partial_\phi B)}{(2X)(-4A_{,X} + 2B + 2XB_{,X})} \frac{\partial \mathcal{L}_i}{\partial(\partial_\alpha \phi)} = 0,$$

$$\frac{1}{\sqrt{-\tilde{g}}} \partial_\mu (\sqrt{-\tilde{g}} \tilde{g}^{\mu\nu} \partial_\nu \omega) - \frac{\partial V}{\partial \omega} + \sum_i \left(\frac{1}{\sqrt{-\tilde{g}}} \frac{\delta \mathcal{L}_i}{\delta \omega} - \frac{1}{\alpha} \tilde{g}^{\mu\nu} \tilde{t}_{\mu\nu}^{(i)} \right) = 0.$$



where

$$T_{\mu\nu}^{(i)} = \frac{-2}{\sqrt{-g}} \frac{\delta \mathcal{L}_i}{\delta \tilde{g}^{\mu\nu}},$$

$$t_{\mu\nu}^{(i)} = \frac{-2}{\sqrt{-g}} \frac{\partial \mathcal{L}_i}{\partial (\partial_\alpha \phi)} \left(\frac{-A\Omega^{-2} \tilde{g}_{\mu\nu} - 2A_{,X} \partial_\mu \phi \partial_\nu \phi + XB_{,X} \partial_\mu \phi \partial_\nu \phi}{(2X)(-4A_{,X} + 2B + 2XB_{,X})} \right) e^{2\omega/\alpha} (\partial_\alpha \phi),$$

$$\tilde{T}_{\mu\nu} = \tilde{g}_{\mu\nu} \left(-\frac{1}{2} \tilde{g}^{\alpha\beta} \partial_\alpha \omega \partial_\beta \omega - V(\omega) \right) + \partial_\mu \omega \partial_\nu \omega$$



Modified Friedmann equations

$$\begin{aligned} \bullet \tilde{H}^2 &= \frac{8\pi G}{3} \left((\tilde{\rho}_M + \tilde{\rho}_\omega) + \tilde{\lambda} \tilde{\rho}_M \right) := \frac{8\pi G}{3} \tilde{\rho}_t \\ \bullet \tilde{H}' &= -4\pi G \left((\tilde{\rho}_M + \tilde{\rho}_\omega + \tilde{P}_r + \tilde{P}_\omega) + \tilde{\lambda} (1 + \tilde{\omega}^{(\text{in})}) \tilde{\rho}_M \right) \\ &:= -4\pi G (\tilde{\rho}_t + \tilde{P}_t) \end{aligned}$$

with $\tilde{\rho}_M = \tilde{\rho}_m + \tilde{\rho}_r$, $\tilde{\rho}_\omega = \frac{1}{2} \omega'^2 + V(\omega)$, and $\tilde{P}_\omega = \frac{1}{2} \omega'^2 - V(\omega)$.

➤ It can be shown that the total energy and pressure obeys the continuity equation:

$$\tilde{\rho}_t' + 3\tilde{H}(\tilde{\rho}_t + \tilde{P}_t) = 0.$$

➤ Thermodynamics in Einstein frame can be considered as an **equilibrium** description.



1st & 2nd Law of Thermodynamics (in Einstein frame)

\tilde{S} : Horizon entropy
in Einstein frame

\tilde{W} : work density
in Einstein frame

- First law: $\tilde{T} d\tilde{S} = -d\tilde{E} + \tilde{W} d\tilde{V}$

\tilde{T} : Temperature in
Einstein frame

$\tilde{E} = \tilde{\rho}_t \tilde{V}$ (Total energy
within the horizon in
Einstein frame)

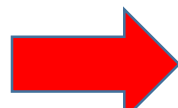
- Second law: $\frac{d}{d\tilde{t}} (\tilde{S} + \tilde{S}_t) = \frac{12\pi\tilde{H}'^2}{G\tilde{R}\tilde{H}^3} \geq 0$

$d\tilde{S}_t$: Entropy change rate of ordinary matter
and induced matter within the horizon



Summary

- Consider the physical metric directly coupled to matter.
- Consider the simple case: $\gamma_{\alpha\beta} = \eta_{\alpha\beta}$.
- Interpret the effects of $f(R)$ deviated from GR as dark energy.
- Assume Principle of Cosmology.
- Assume all the matter including dark energy as perfect fluids.

 Verify the first and second laws of thermodynamics in $f(R)$ gravity with disformal transformation in both Jordan and Einstein frames.

THANK YOU FOR YOUR ATTENTION!



Back-up slides



- Consider only simple case : $\gamma_{\alpha\beta} = \eta_{\alpha\beta} = \text{diag}(-1, +1, +1, +1)$.
Thus, the disformal transformation becomes

$$\eta_{\alpha\beta} = A(\phi, X)g_{\alpha\beta} + B(\phi, X)\partial_{\alpha}\phi\partial_{\beta}\phi$$

- $\delta\eta_{\alpha\beta} = 0 \Rightarrow \delta(\partial_{\beta}\phi) = \bar{V}_{\beta}A_{\mu\nu}\delta g^{\mu\nu} + \bar{V}_{\beta}\delta\phi$

where $\bar{V}_{\beta} = (\partial_{\beta}\phi) \frac{4\partial_{\phi}A - 2X\partial_{\phi}B}{(2X)(-4A_{,X} + 2B + 2XB_{,X})}$,

$$A_{\mu\nu} = \frac{-Ag_{\mu\nu} - A_{,X}\partial_{\mu}\phi\partial_{\nu}\phi + XB_{,X}\partial_{\mu}\phi\partial_{\nu}\phi}{4\partial_{\phi}A - 2X\partial_{\phi}B}.$$



1st & 2nd Law of Thermodynamics (Non-equilibrium case)

$$\bullet \hat{\rho}_t + 3H(\hat{\rho}_t + \hat{P}_t) = \frac{3H^2 \dot{F}}{8\pi G} \implies \text{Non-equilibrium Thermodynamics!}$$

$$T = \frac{\kappa_s}{2\pi} = \frac{1}{2\pi \bar{r}_A} \left(1 - \frac{\dot{r}_A}{2H\bar{r}_A} \right)$$

$$\hat{S} = \frac{FA}{4G}$$

$$W = \frac{1}{2}(\hat{\rho}_t - \hat{P}_t)$$

$$\bullet \text{First law: } Td\hat{S} + Td_i\hat{S} = -dE + \hat{W}dV,$$

$$d_i\hat{S} = -\frac{1}{T} \frac{\bar{r}_A}{2G} (1 + 2\pi\bar{r}_AT) dF.$$

$$\hat{E} = V\hat{\rho}_t.$$

$$\bullet \text{Second law: } \frac{d\hat{S}}{dt} + \frac{d_i\hat{S}}{dt} + \frac{d\hat{S}_t}{dt} = \frac{12\pi F \dot{H}^2}{GRH^3} \geq 0.$$

$$d\hat{S}_t = \frac{1}{T} [d(\hat{\rho}_t V) + \hat{P}_t dV]$$



1st & 2nd Law of Thermodynamics (Equilibrium case)

• $\dot{\hat{\rho}}_t + 3H(\hat{\rho}_t + \hat{P}_t) = 0 \implies$ Equilibrium Thermodynamics!

$S = \frac{A}{4G}$

$W = \frac{1}{2}(\hat{\rho}_t - \hat{P}_t)$

• First law: $TdS = -dE + WdV$

$\hat{E} = V\hat{\rho}_t$

Equilibrium Thermodynamics!

- $\dot{\hat{\rho}}_t + 3H(\hat{\rho}_t + \hat{P}_t) = 0$
- First law: $TdS = -dE + WdV$
- Second law of thermodynamics: $\frac{d}{dt}(S + S_t) = \frac{12\pi\dot{H}^2}{GRH^3} \geq 0$.

• Second law of thermodynamics: $\frac{d}{dt}(S + S_t) = \frac{12\pi\dot{H}^2}{GRH^3} \geq 0$.

$dS_t = \frac{1}{T}[d(\rho_t V) + P_t dV]$



1st & 2nd Law of Thermodynamics (in Einstein frame)

$$\tilde{S} = \frac{\tilde{A}}{4G}$$

$$\tilde{W} = \frac{1}{2}(\tilde{\rho}_t - \tilde{P}_t)$$

- First law: $\tilde{T} d\tilde{S} = -d\tilde{E} + \tilde{W} d\tilde{V}$

$$\tilde{T} = \frac{1}{2\pi\tilde{r}_A} \left(1 - \frac{\dot{\tilde{r}}_A}{2\tilde{H}\tilde{r}_A} \right)$$

$$\tilde{E} = \tilde{\rho}_t \tilde{V}$$

- Second law: $\frac{d}{d\tilde{t}} (\tilde{S} + \tilde{S}_t) = \frac{12\pi\tilde{H}'^2}{G\tilde{R}\tilde{H}^3} \geq 0$

$$d\tilde{S}_t = \frac{1}{\tilde{T}} (d(\tilde{\rho}_t \tilde{V}) + \tilde{P}_t d\tilde{V}),$$