

# Lepton Universality tests in semitauonic b-hadron decays at LHCb

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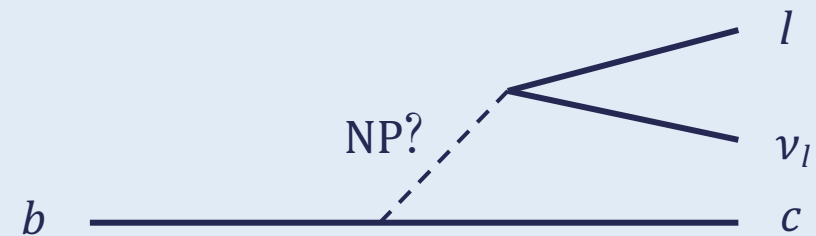
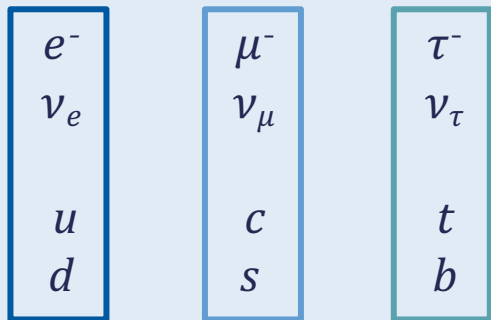


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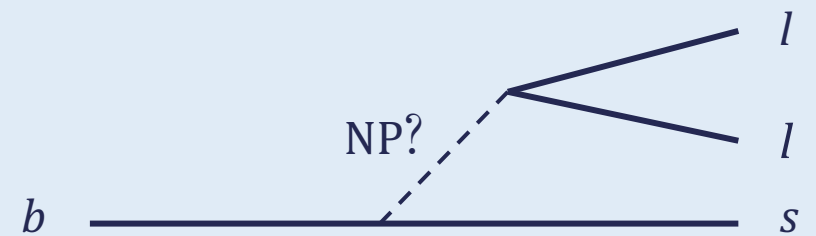


# Lepton Flavor Universality

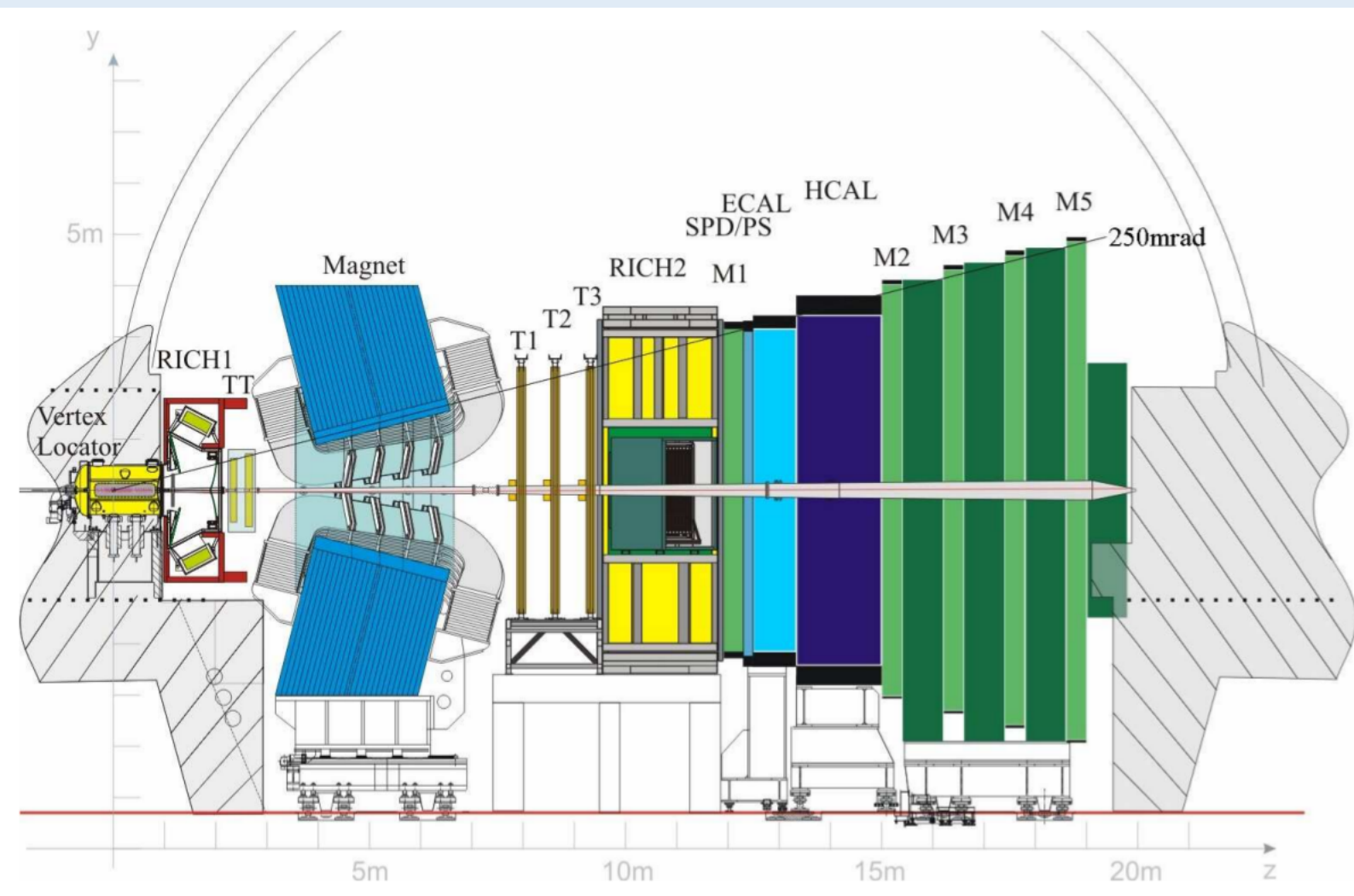
- In the SM, gauge bosons have universal coupling to leptons, independently of their family. This is called Lepton Flavor Universality (LFU).



- Tensions between experiments and SM predictions found in:
  - Charged currents ( $b \rightarrow cl\nu$ )
  - Neutral currents ( $b \rightarrow sll$ )
- A violation of LFU would require the existence of new particles outside the SM ( $H^\pm$ ,  $Z'$ ,  $W'^\pm$ , leptoquarks...).



# LHCb detector



- High b-quark production:
  - Run1 (2011-2012, 7-8 TeV):  
~ 72  $\mu\text{b}$
  - Run2 (2015-2018, 13 TeV):  
~ 144  $\mu\text{b}$
- Excellent vertex and impact parameter resolution ( $\sim 25 \mu\text{m}$ )
- b-hadrons highly boosted, giving large values of the impact parameter ( $\sim 800 \mu\text{m}$ )
- Excellent PID performance for charged particles (muon efficiency of  $\sim 97\%$ )

[PRL 119 169901 (2017)]

# LFU tests at LHCb: charged currents

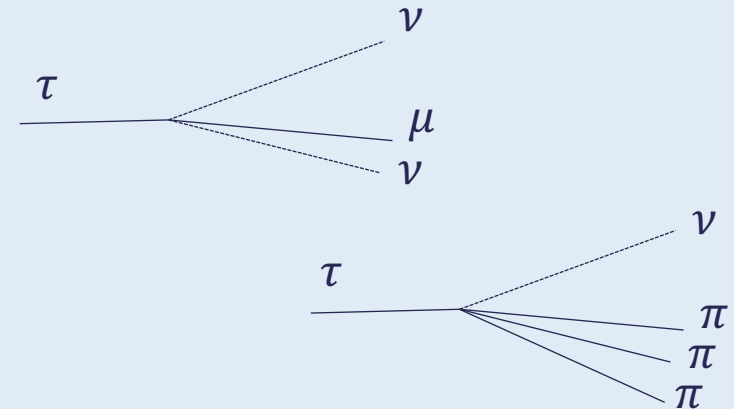
- $b \rightarrow cl\nu$  decays:

$$R(\mathcal{H}_c) \equiv \frac{\mathcal{B}(\mathcal{H}_b \rightarrow \mathcal{H}_c \tau \nu_\tau)}{\mathcal{B}(\mathcal{H}_b \rightarrow \mathcal{H}_c \mu \nu_\mu)},$$

where

$$\begin{aligned} \mathcal{H}_b &= B^0, B^+, B_s^0, \Lambda_b^0 \dots \\ \mathcal{H}_c &= D^{(*)0}, D^{(*)+}, D_s^+, \Lambda_c^+, J/\psi \dots \end{aligned}$$

- In SM: tree-level decays mediated by a W boson.
- Sensitivity to NP contributions at tree level.
- Partial cancelation of form factor uncertainties.
- High rate of charged current decays:  $\mathcal{B}(B \rightarrow D^* \tau \nu_\tau) \approx 1.2\%$ .



- Muonic channel:

$$\mathcal{B}(\tau^+ \rightarrow \mu^+ \bar{\nu}_\mu \nu_\tau) \approx 17.39\%$$

- Hadronic channel:

$$\mathcal{B}(\tau^+ \rightarrow \pi^+ \pi^- \pi^+ (\pi^0) \nu_\tau) \approx 13.51\%$$

- Systematic uncertainties cancel in the ratio  $R(\mathcal{H}_c)$
- Presence of inclusive  $\mathcal{H}_b \rightarrow \mathcal{H}_c \mu \nu_\mu (X)$  decays
- Only one neutrino
- $\tau$  vertex reconstruction

# $R(D^*)$ muonic

$$R(D^*) = \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)}$$

$$(p_B)_z = \frac{m_B}{m_{reco}} (p_{reco})_z$$

Both channels selected, and then disentangled using a multidimensional fit to:

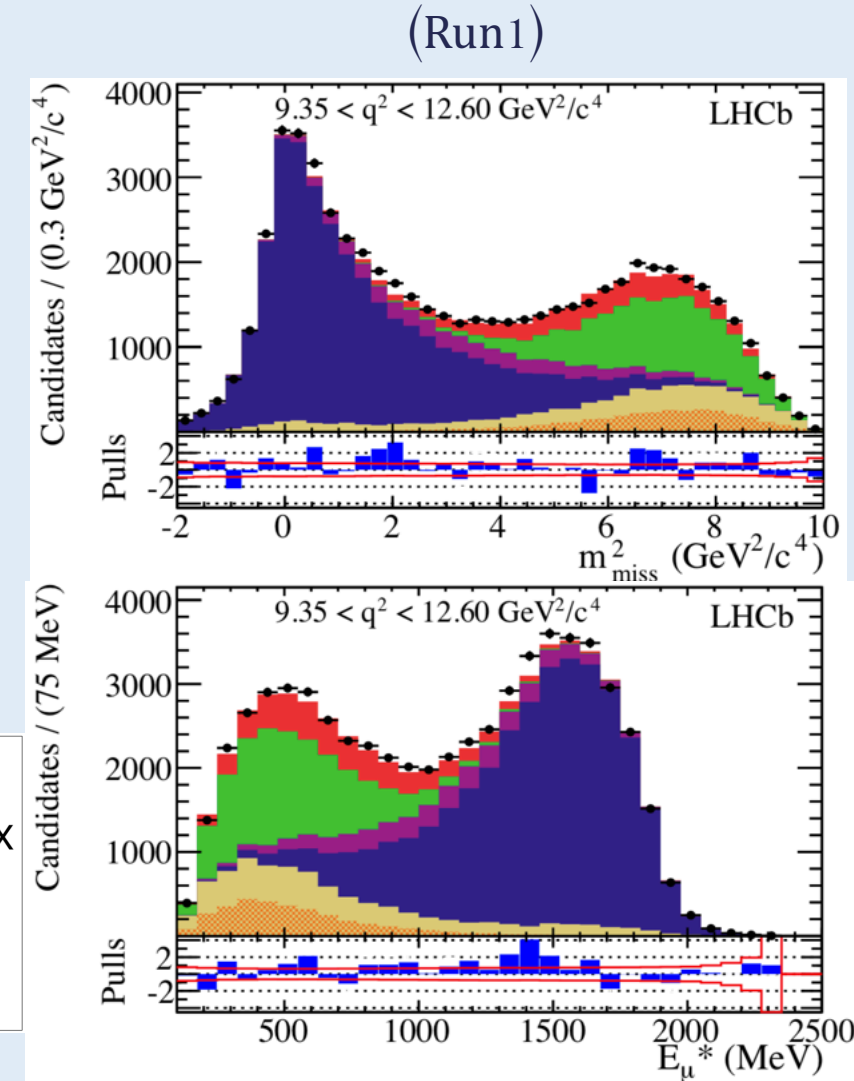
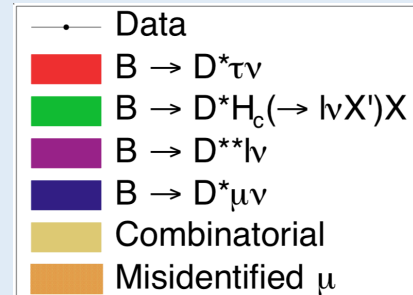
- $E_\mu^*$  (B rest frame)
- $m_{miss}^2 = (p_B - p_{D^*} - p_\mu)^2$
- $q^2 = (p_B - p_{D^*})^2$

$$R(D^*)_{muonic} = \frac{N(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)}{N(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)} \frac{1}{\mathcal{B}(\tau^+ \rightarrow \mu^+ \nu_\mu \bar{\nu}_\tau)} \frac{\epsilon_{norm}}{\epsilon_{sig}}$$

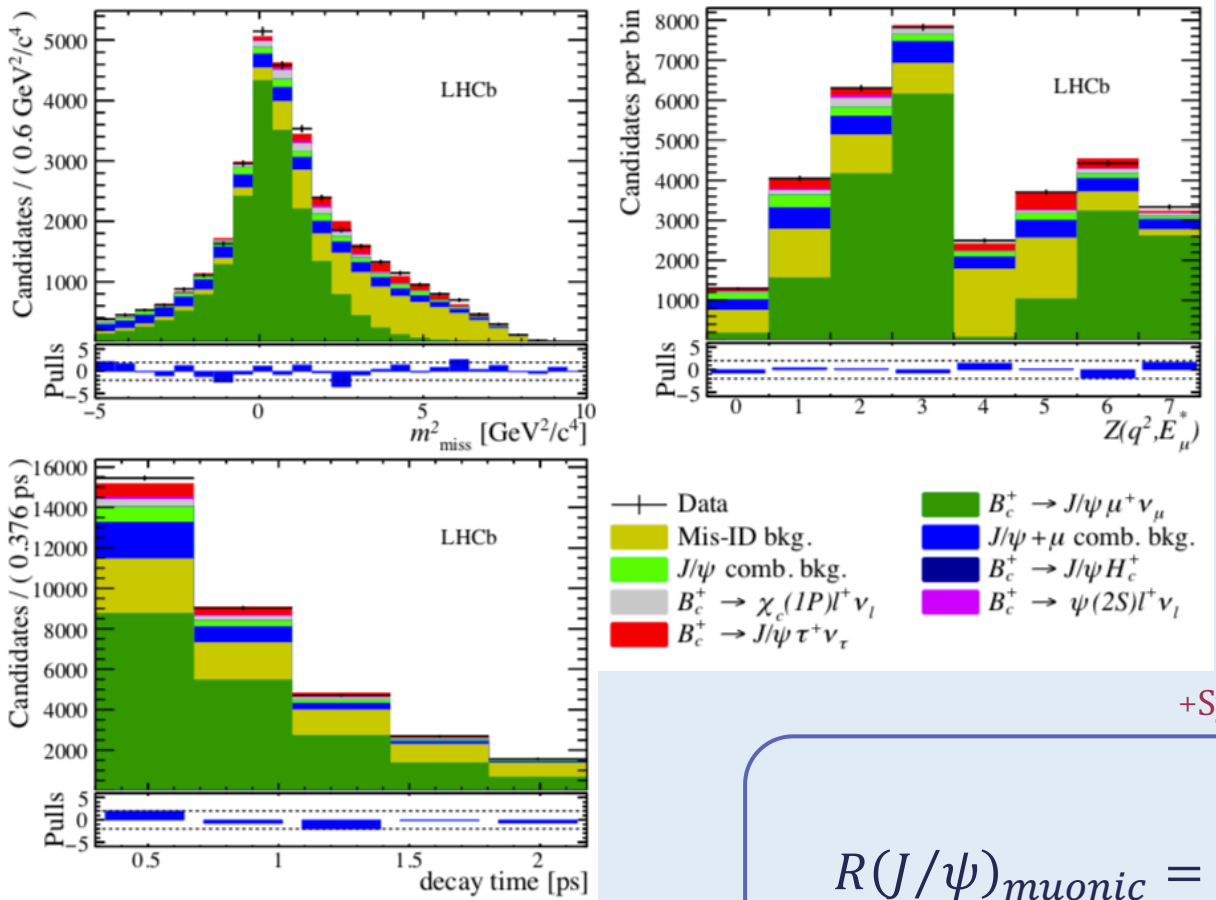
$$R(D^*)_{muonic} = 0.336 \pm 0.027 \pm 0.030$$

2.1 $\sigma$  above SM prediction

$$R(D^*)_{SM} = 0.252 \pm 0.003$$



# $R(J/\psi)$ muonic



$$R(J/\psi) = \frac{\mathcal{B}(B_c^+ \rightarrow J/\psi \tau^+ \nu_\tau)}{\mathcal{B}(B_c^+ \rightarrow J/\psi \mu^+ \nu_\mu)}$$

Similar to  $R(D^*)$  analysis, fit using:

- $m_{miss}^2 = (p_B - p_{J/\psi} - p_\mu)^2$
  - $q^2 = (p_B - p_{J/\psi})^2$
  - $E_\mu^*$  (B rest frame)
  - $B_c$  decay time
- $Z(q^2, E_\mu^*)$

$$R(J/\psi)_{muonic}^{(raw)} = \frac{N(B_c^+ \rightarrow J/\psi \tau^+ \nu_\tau)}{N(B_c^+ \rightarrow J/\psi \mu^+ \nu_\mu)} \frac{1}{\mathcal{B}(\tau^+ \rightarrow \mu^+ \nu_\mu \bar{\nu}_\tau)} \frac{\epsilon_{norm}}{\epsilon_{sig}}$$

+Systematic bias in the fit

$$R(J/\psi)_{muonic} = 0.71 \pm 0.17 \pm 0.18$$

$2\sigma$  above SM prediction  
 $R(J/\psi)_{SM} \in [0.25, 0.28]$

(Run1)

# $R(D^{*-})$ hadronic

$$\mathcal{K}(D^{*-}) \equiv \frac{\mathcal{B}(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{\mathcal{B}(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)} = \frac{N_{sig} \epsilon_{norm}}{N_{norm} \epsilon_{sig}} \frac{1}{\mathcal{B}(\tau^+ \rightarrow \pi^+ \pi^- \pi^+ \bar{\nu}_\tau) + \mathcal{B}(\tau^+ \rightarrow \pi^+ \pi^- \pi^+ \pi^0 \bar{\nu}_\tau)}$$

$$R(D^{*-})_{had} = \frac{\mathcal{B}(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)}{\mathcal{B}(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu)} \mathcal{K}(D^{*-})$$

(external inputs)

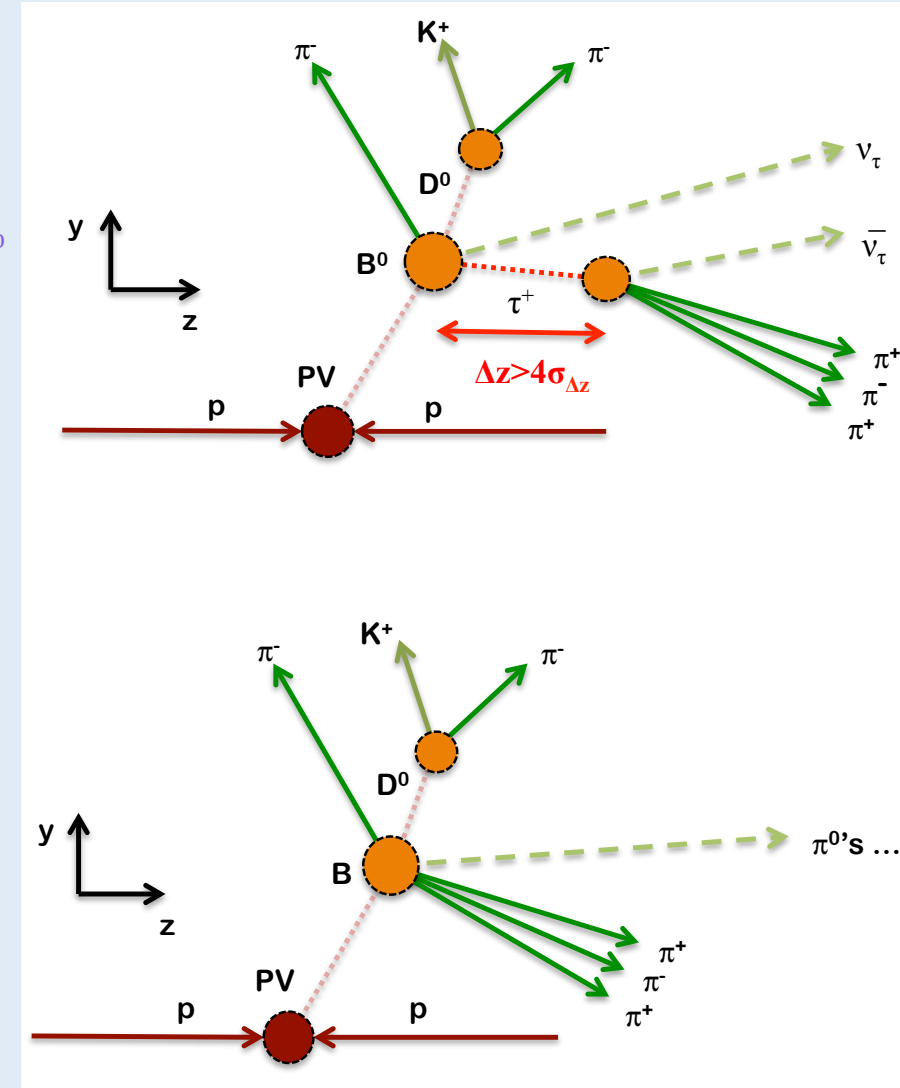
$$\begin{aligned} \mathcal{B}(\tau^+ \rightarrow 3\pi \bar{\nu}_\tau) + \mathcal{B}(\tau^+ \rightarrow 3\pi \pi^0 \bar{\nu}_\tau) &= (13.81 \pm 0.07)\% \\ \mathcal{B}(B^0 \rightarrow D^{*-} 3\pi) &= (7.21 \pm 0.28) \times 10^{-3} \\ \mathcal{B}(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu) &= (4.88 \pm 0.10) \times 10^{-2} \end{aligned}$$

The presence of only one neutrino allows the  $\tau$  and  $B^0$  momenta to be determined up to a two-fold ambiguity.

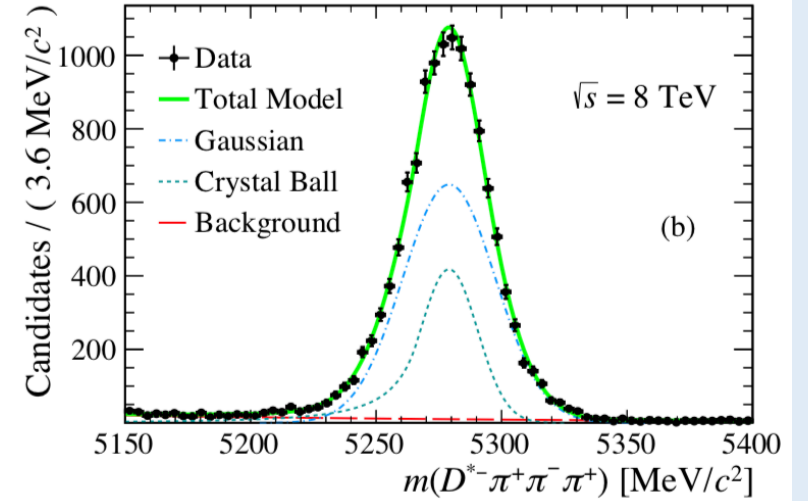
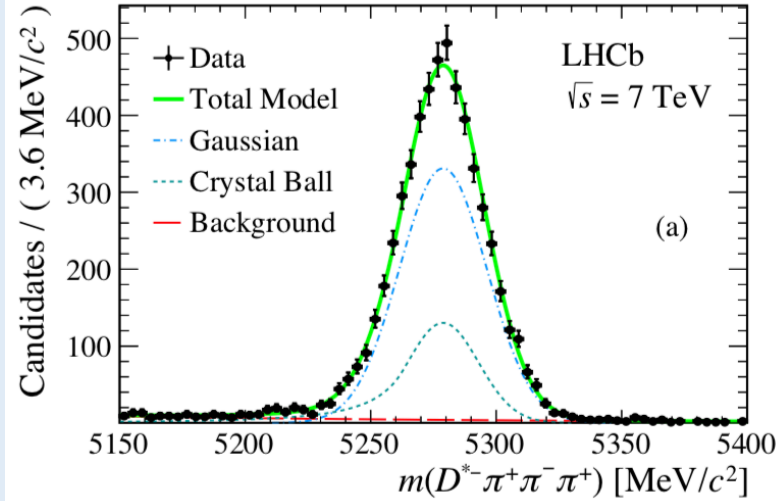
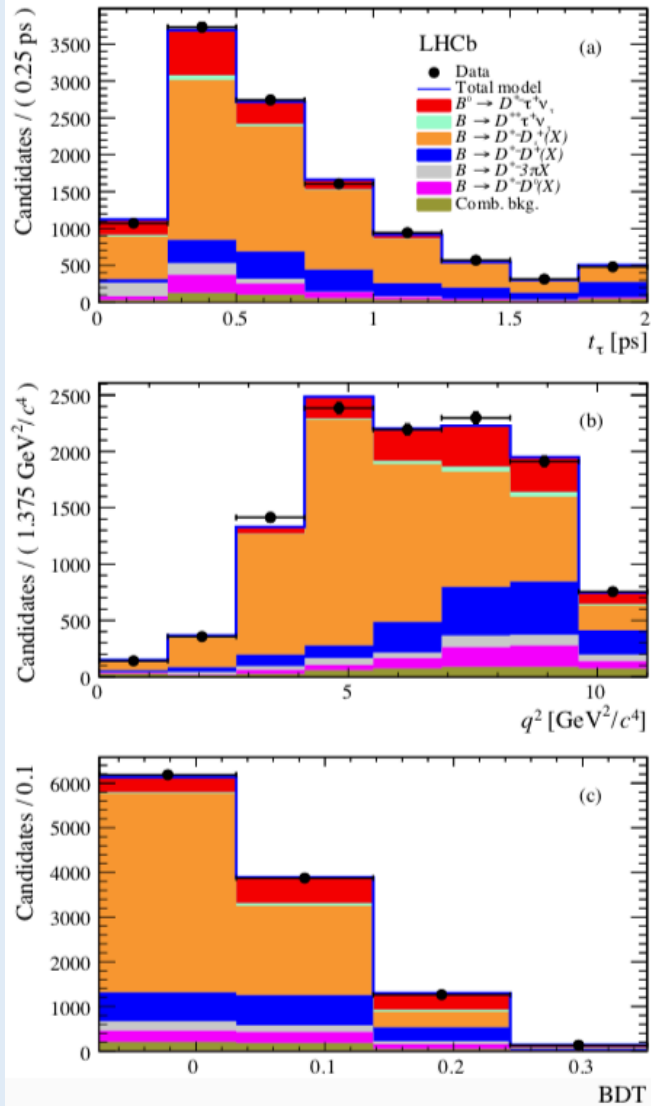
$N_{sig}$  obtained from a binned fit in these variables:

- Squared transferred momentum,  $q^2$
- $\tau$  decay time,  $t_\tau$
- Output of a BDT, which takes as input 18 variables (kinematic variables of the decay chain and neutral isolation properties)

$N_{norm}$  obtained by fitting the invariant mass distribution of the  $D^{*-} 3\pi$  system around the  $B^0$  mass.



# $R(D^{*-})$ hadronic



(Run1)

$$N_{norm} = 17808 \pm 143$$

$$N_{sig} = 1296 \pm 86$$

$$\mathcal{K}(D^{*-}) = 1.97 \pm 0.13(\text{stat}) \pm 0.18(\text{syst})$$

$$R(D^{*-})_{had} = 0.291 \pm 0.019 \pm 0.029$$

1.1 $\sigma$  higher than SM prediction  
 $R(D^*)_{SM} = 0.252 \pm 0.003$



# Combined measurement of $R(D)$ and $R(D^*)$

(Ongoing analysis with Run2 data)

We aim to measure  $R(D)$  and  $R(D^*)$  via three-prong tau decays, using the data:

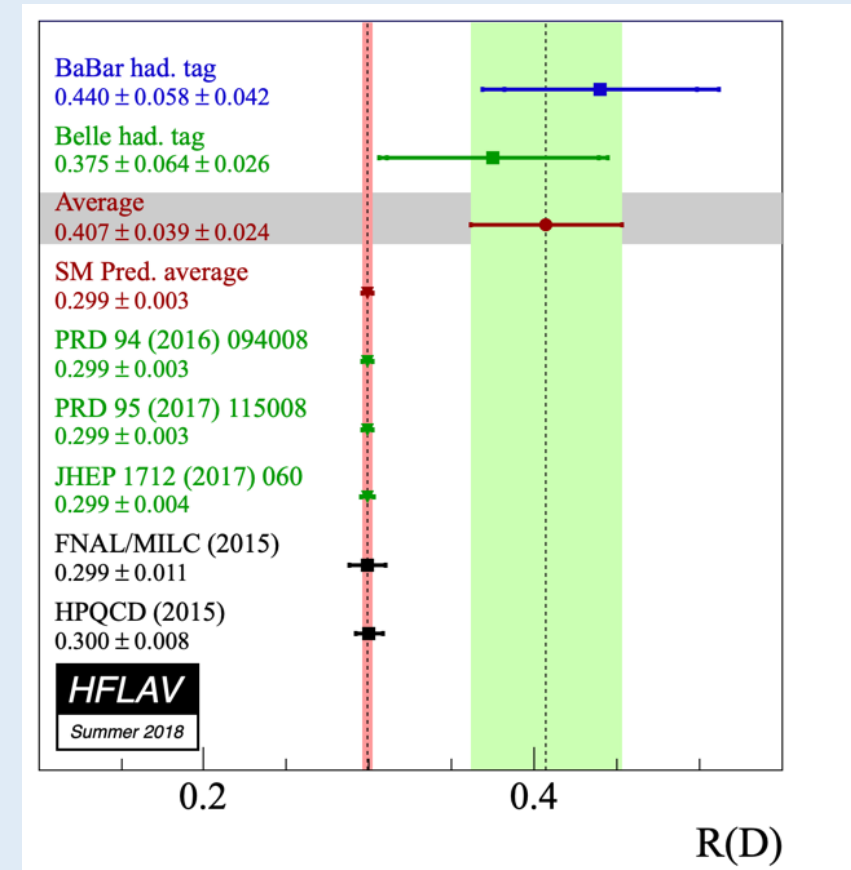
- $D^0 3\pi$  with  $D^0 \rightarrow K\pi$

This data sample includes contributions from  $B^- \rightarrow D^0 \tau \nu$ ,  
 $B^0 \rightarrow D^*(\rightarrow D^0 \pi) \tau \nu$ ,  $B^- \rightarrow D^{*0}(\rightarrow D^0 \pi^0, \gamma) \tau \nu \dots$

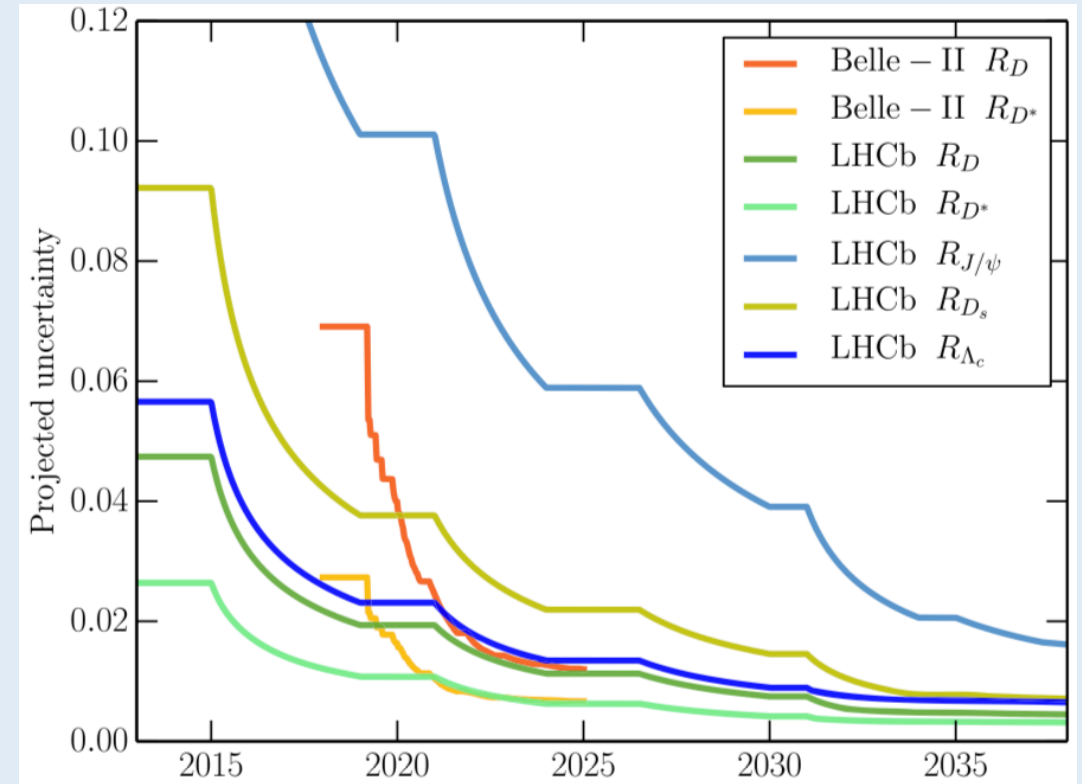
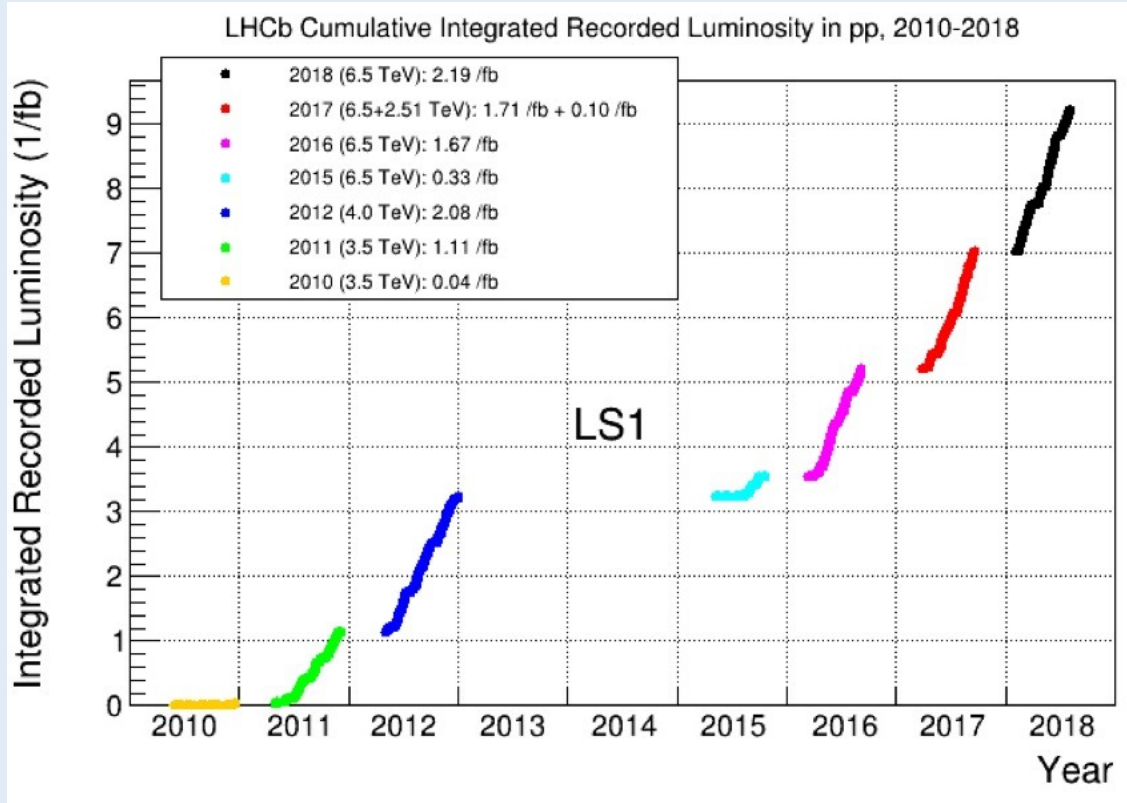
- $D^\pm 3\pi$  with  $D^\pm \rightarrow K\pi\pi$

This data sample includes contributions from  $B^- \rightarrow D^- \tau \nu$ ,  
 $B^0 \rightarrow D^*(\rightarrow D^- \pi^0) \tau \nu \dots$

The analysis of these samples will provide two independent measurements of  $R(D)$  and  $R(D^*)$ .



# Uncertainty projections



Integrated luminosity:

Run1:  $\sim 3.2 \text{ fb}^{-1}$

Run1+Run2:  $\sim 9.2 \text{ fb}^{-1}$



$b\bar{b}$  pairs produced:

Run1:  $\sim 2.5 \times 10^{11}$

Run1+Run2:  $\sim 11 \times 10^{11}$



$\sim 52\%$  statistical uncertainty reduction

Run1:

$$\sigma_{R(D^*)}^{\text{stat}} = 0.019$$

Run1+Run2:

$$\sigma_{R(D^*)}^{\text{stat}} \approx 0.0091$$

# Conclusions and prospects

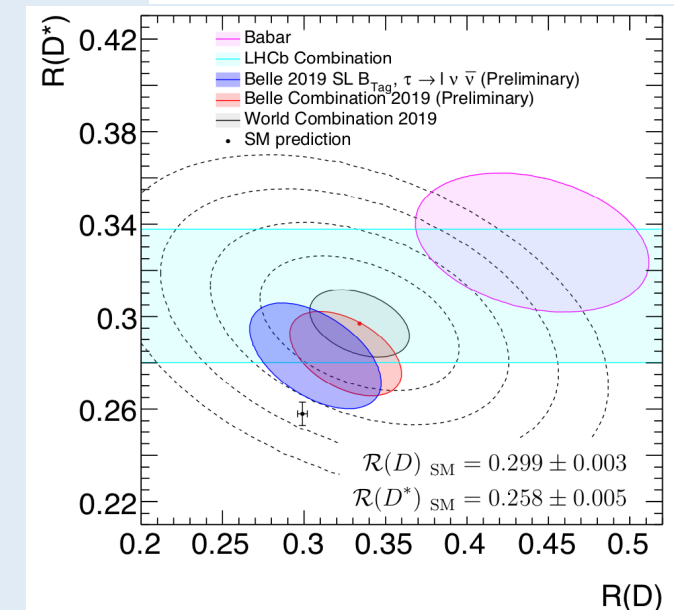
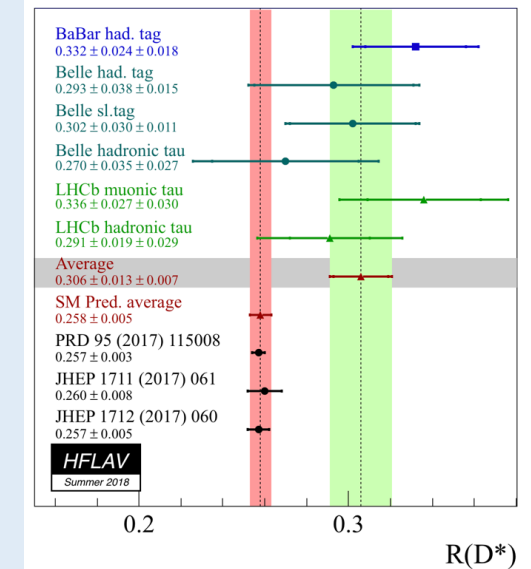
$2.3\sigma$  difference in  $R(D)$ ,  $3.0\sigma$  in  $R(D^*)$ ,  $3.78\sigma$  combined.  
 New Belle preliminary average compatible within  $2\sigma$ ,  
 decreasing the global average to  $3.1\sigma$  away from SM.

Potential for NP? we need smaller uncertainties!

With Run2 data:

- Updated measurements with reduced uncertainties
- Hadronic  $R(J/\psi)$
- Muonic and hadronic measurements of  $R(D^+)$ ,  $R(D^0)$ ,  $R(D_s^+)$ ,  $R(\Lambda_c)$

*stay tuned!*



# Backup Slides

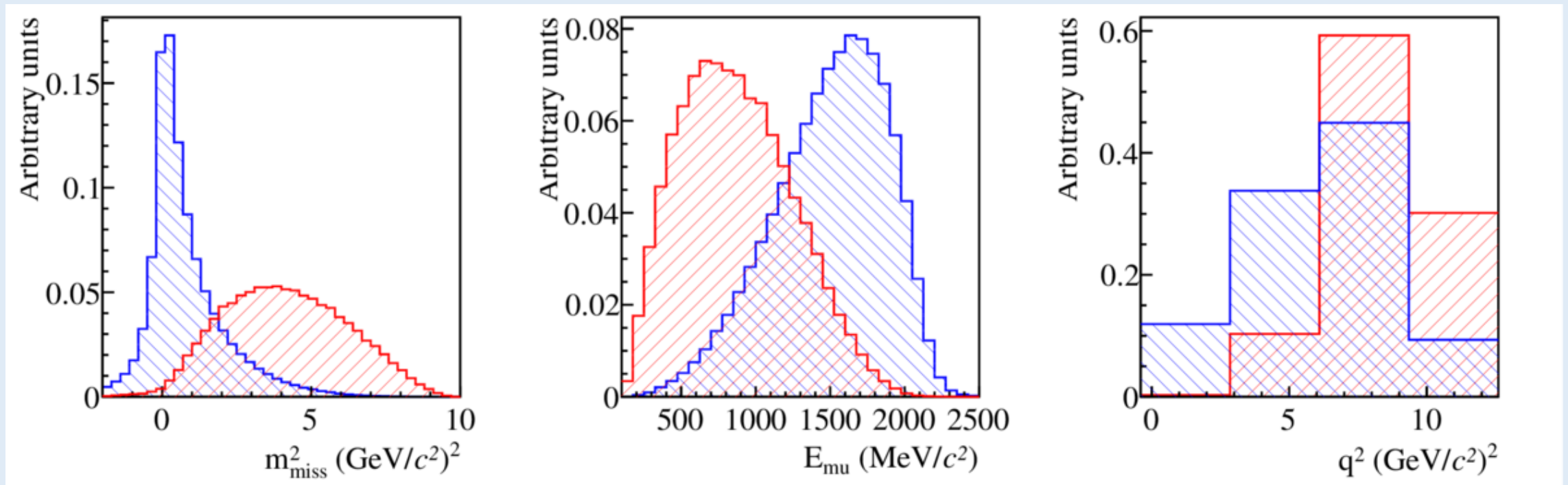
# $R(D^*)$ muonic: systematic uncertainties

Model uncertainties	Absolute size ( $\times 10^{-2}$ )
Simulated sample size	2.0
Misidentified $\mu$ template shape	1.6
$\bar{B}^0 \rightarrow D^{*+}(\tau^-/\mu^-)\bar{\nu}$ form factors	0.6
$\bar{B} \rightarrow D^{*+}H_c(\rightarrow \mu\nu X')$ $X$ shape corrections	0.5
$\mathcal{B}(\bar{B} \rightarrow D^{**}\tau^-\bar{\nu}_\tau)/\mathcal{B}(\bar{B} \rightarrow D^{**}\mu^-\bar{\nu}_\mu)$	0.5
$\bar{B} \rightarrow D^{**}(\rightarrow D^*\pi\pi)\mu\nu$ shape corrections	0.4
Corrections to simulation	0.4
Combinatorial background shape	0.3
$\bar{B} \rightarrow D^{**}(\rightarrow D^{*+}\pi)\mu^-\bar{\nu}_\mu$ form factors	0.3
$\bar{B} \rightarrow D^{*+}(D_s \rightarrow \tau\nu)X$ fraction	0.1
<b>Total model uncertainty</b>	<b>2.8</b>
Normalization uncertainties	Absolute size ( $\times 10^{-2}$ )
Simulated sample size	0.6
Hardware trigger efficiency	0.6
Particle identification efficiencies	0.3
Form-factors	0.2
$\mathcal{B}(\tau^- \rightarrow \mu^-\bar{\nu}_\mu\nu_\tau)$	$< 0.1$
<b>Total normalization uncertainty</b>	<b>0.9</b>
<b>Total systematic uncertainty</b>	<b>3.0</b>

# $R(D^*)$ muonic: signal discrimination

■  $\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu$

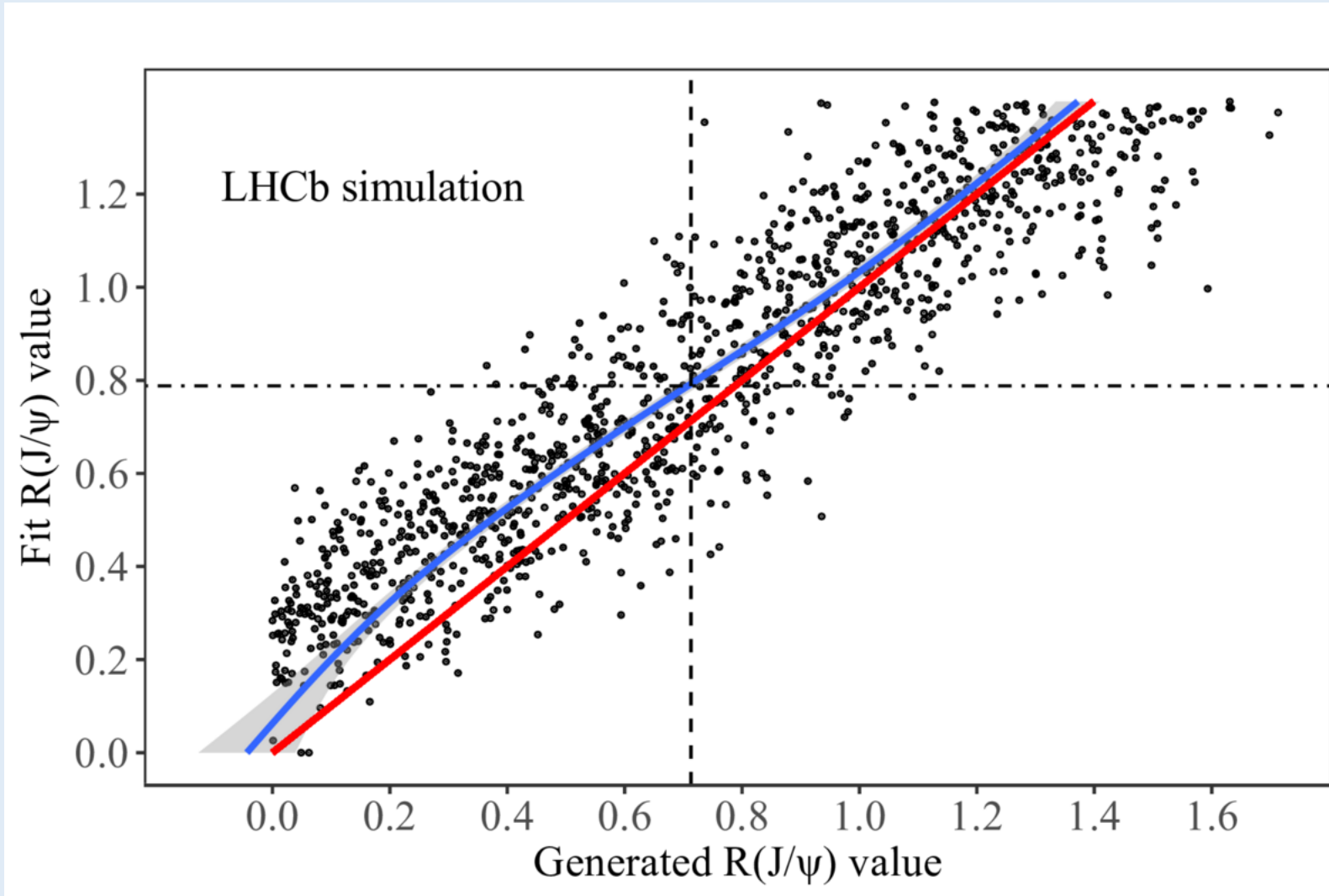
■  $\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau$



# $R(J/\psi)$ muonic: systematic uncertainties

Source of uncertainty	Size ( $\times 10^{-2}$ )
Limited size of simulation samples	8.0
$B_c^+ \rightarrow J/\psi$ form factors	12.1
$B_c^+ \rightarrow \psi(2S)$ form factors	3.2
Fit bias correction	5.4
$Z$ binning strategy	5.6
Misidentification background strategy	5.6
Combinatorial background cocktail	4.5
Combinatorial $J/\psi$ sideband scaling	0.9
$B_c^+ \rightarrow J/\psi H_c X$ contribution	3.6
Semitauponic $\psi(2S)$ and $\chi_c$ feed-down	0.9
Weighting of simulation samples	1.6
Efficiency ratio	0.6
$\mathcal{B}(\tau^+ \rightarrow \mu^+ \nu_\mu \bar{\nu}_\tau)$	0.2
<b>Total systematic uncertainty</b>	<b>17.7</b>
<b>Statistical uncertainty</b>	<b>17.3</b>

# $R(J/\psi)$ muonic: systematic uncertainties

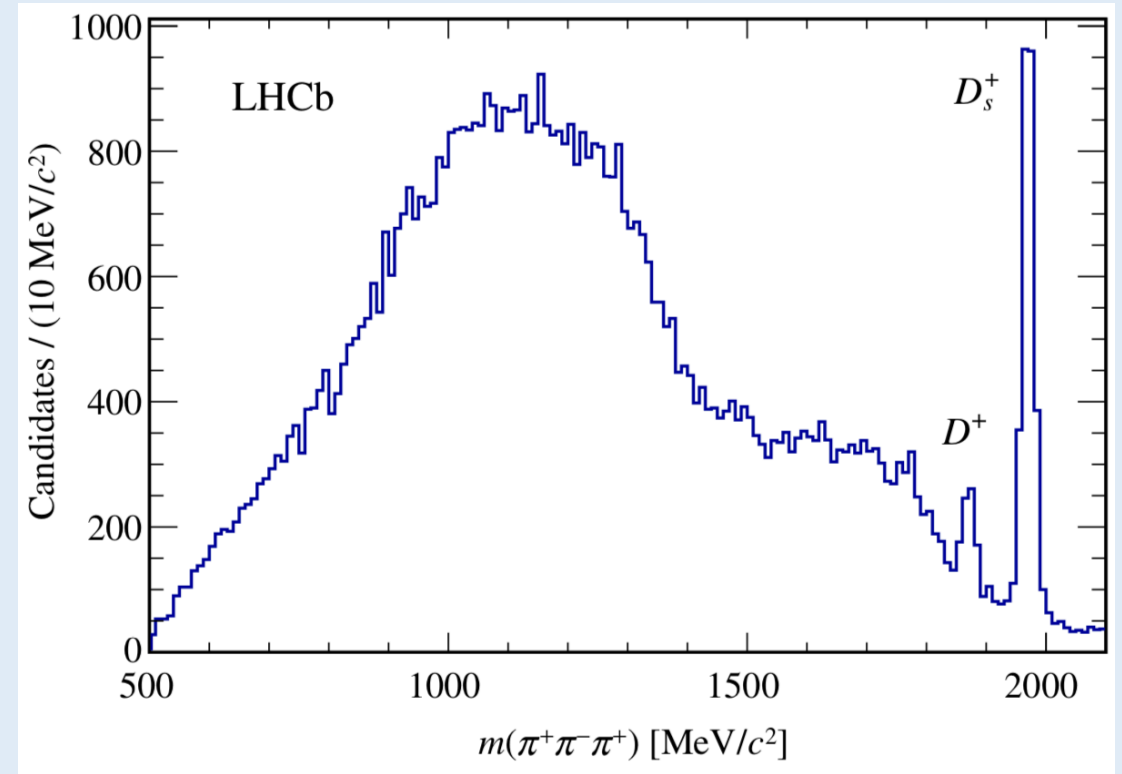
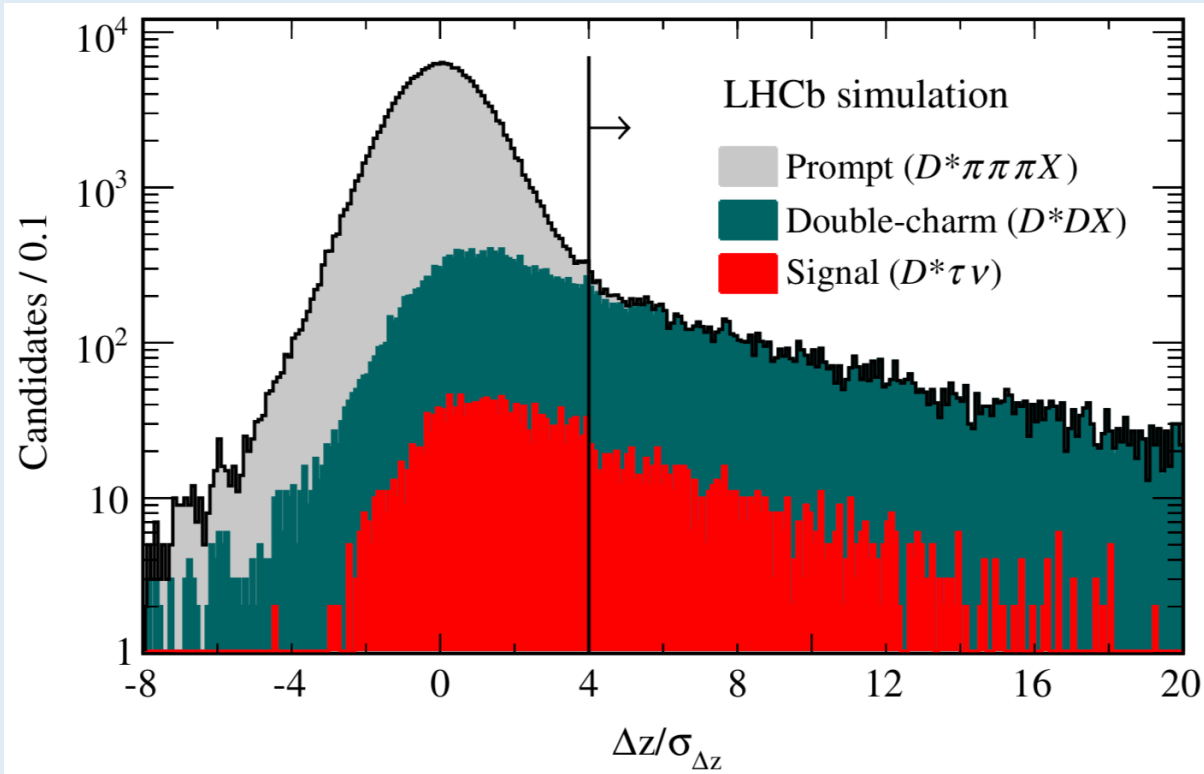




# $R(D^{*-})$ hadronic: systematic uncertainties

Source	$\delta R(D^{*-})/R(D^{*-})[\%]$
Simulated sample size	4.7
Empty bins in templates	1.3
Signal decay model	1.8
$D_s^{*+} \tau \nu$ and $D_s^{*0} \tau \nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$B \rightarrow D^{*-} D_s^+ X$ , $B \rightarrow D^{*-} D^+ X$ , $B \rightarrow D^{*-} D^0 X$ backgrounds	3.9
Combinatorial background	0.7
$B \rightarrow D^{*-} 3\pi X$ background	2.8
Efficiency ratio	3.9
Normalization channel efficiency (modeling of $B^0 \rightarrow D^{*-} 3\pi$ )	2.0
Total uncertainty	9.1

# $R(D^*)$ hadronic: detached vertex cut



Prompt background reduced by three orders of magnitude  
40% of signal retained

# $R(D^*)$ hadronic: BDT

