

Hadron PDFs in the valence region

A DSE viewpoint



The diagram consists of two large light-blue circles. The left circle contains three textured spheres (red, green, and purple) with arrows pointing outwards, representing a simple hadron model. The right circle contains a complex network of colored spheres and arrows, representing a DSE-based model. A horizontal arrow points from the left circle to the right circle, with the text 'Ian Cloët' and 'Argonne National Laboratory' written above and below it, respectively.

Ian Cloët
Argonne National Laboratory

5th International Workshop on Nucleon Structure at Large Bjorken- x
(HiX 2019)

16–21 August 2019, Orthodox Academy of Crete, Crete



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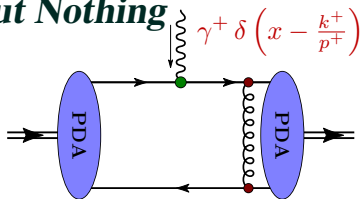
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The logo of Argonne National Laboratory, consisting of a stylized triangle with green, red, and blue sides.

Pion PDF Puzzle – Much Ado About Nothing

- Longstanding perturbative QCD prediction that pion PDF near $x = 1$ behaves as

$$q_{\pi}(x) \simeq (1-x)^{2+\gamma_q(\mu^2)}$$



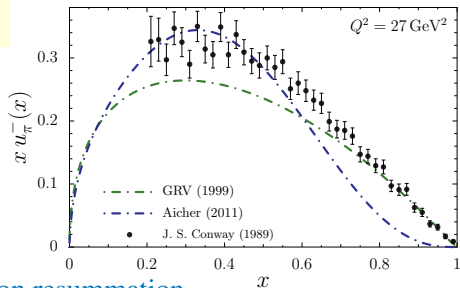
- Since large- x quarks are the source of large- x gluons, near $x = 1$ expect

$$g_{\pi}(x) \simeq (1-x)^{3+\gamma_g(\mu^2)}$$

- However, pion-induced DY data, and a recent re-analysis that also included leading-neutron data, seem to prefer

$$q(x) \sim (1-x)^1 \text{ near } x = 1$$

- Potential resolution to this “puzzle” provided by Aicher *et al.* \implies soft-gluon resummation



- However, $pQCD$ predictions need only set in very near $x = 1$, the observed $q(x) \simeq (1-x)^1$ behavior could be real where data exists

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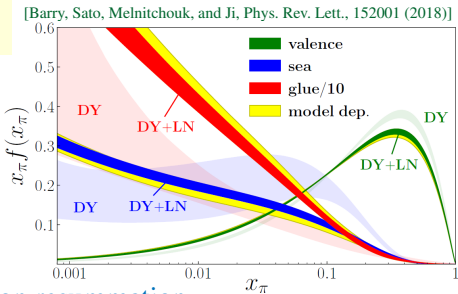
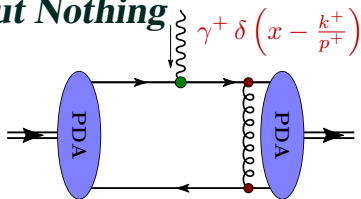
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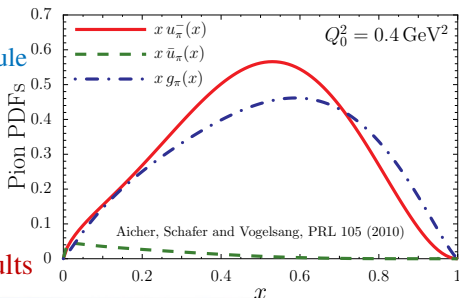
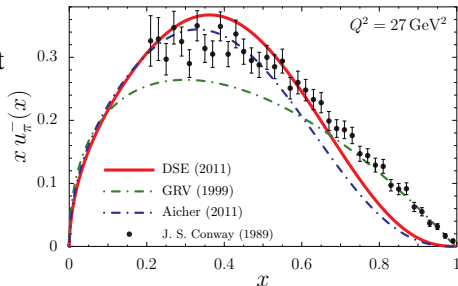


DSEs + Pion PDFs

- DSE prediction [Hecht (2001); etc] that $q_\pi(x) \simeq (1-x)^2$ as $x \rightarrow 1$
- related to $1/k^2$ dependence of BSE kernel at large relative momentum
- Previous DSE PDF calculations have used Ward-identity ansatz (WIA)

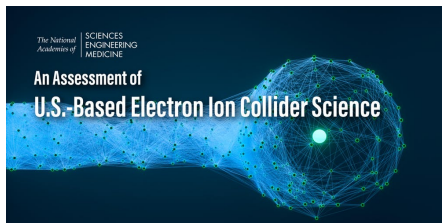
$$\Lambda_q(z, p, n) \rightarrow \Lambda_q^{\text{WIA}}(z, p, n) = \delta(1-z) n^\mu \frac{\partial}{\partial p^\mu} S_q^{-1}(p)$$

- WIA respects baryon sum rule but not higher moments e.g. momentum sum rule
- momentum is not distributed correctly between quarks and gluons
- Aicher: $g_\pi(x) \sim (1-x)^{1.3}$ as $x \rightarrow 1$
- pQCD predicts: $g_\pi(x) \sim (1-x)^3$
- Inconsistencies in Aicher & DSE results



Pion / Kaon + High-priority science questions

- The NAS *Assessment of a U.S. based Electron-Ion Collider* identified three high-priority science questions
 - How does the mass of the nucleon arise?
 - How does the spin of the nucleon arise?
 - What are the emergent properties of dense systems of gluons?



- The pion and kaon can be understood as a bound state of a *dressed-quark* and a *dressed-antiquark* in QFT, but are also Goldstone modes associated with DCSB in QCD



- The dynamical breaking of chiral symmetry (DCSB) in QCD gives rise to ~ 500 MeV mass splittings in hadron spectrum & massless Goldstone bosons in chiral limit (π , K , η)
- Therefore, understanding the nucleon mass is not sufficient
 - must also understand the mass of the pion ($u\bar{d}, \dots$) and kaon ($u\bar{s}, \dots$)

Hadron Masses in QCD

- Quark/gluon contributions to masses (& angular momentum) are accessed via matrix elements of QCD's (symmetric) energy-momentum tensor

$$T^{\mu\nu} = T^{\nu\mu}, \quad \partial_\mu T^{\mu\nu} = \partial_\mu T_q^{\mu\nu} + \partial_\mu T_g^{\mu\nu} = 0, \quad T^{\mu\nu} = \underbrace{\bar{T}^{\mu\nu}}_{[\text{traceless}]} + \underbrace{\hat{T}^{\mu\nu}}_{[\text{trace}]}$$

- The trace piece of $T^{\mu\nu}$ takes the form (un-renormalized)

$$T_\mu^\mu = \sum_{q=u,d,s} \underbrace{m_q (1 + \gamma_m) \bar{\psi}_q \psi_q}_{\text{quark mass term}} + \underbrace{\frac{\tilde{\beta}(g)}{2g} F^{\mu\nu,a} F_{\mu\nu}^a}_{\text{trace anomaly}}$$

- At zero momentum transfer

$$\langle p | T^{\mu\nu} | p \rangle = 2 p^\mu p^\nu \quad \implies \quad \langle p | T_\mu^\mu | p \rangle = 2 m^2$$

- in chiral limit entire hadron mass from gluons!**
- e.g., Dmitri Kharzeev – Proton Mass workshops at Temple University and ECT*
- Understanding difference in pion/kaon and proton is key to hadron masses:

$$\langle \pi | T_\mu^\mu | \pi \rangle = 2 m_\pi^2 \xrightarrow{\text{chiral limit}} 0, \quad \langle N | T_\mu^\mu | N \rangle = 2 m_N^2$$

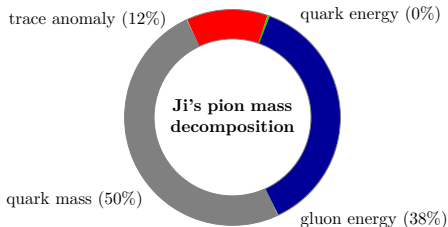
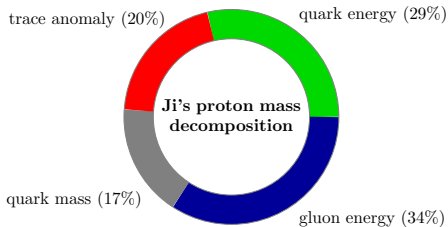
Rest Frame Hadron Mass Decompositions

- Xiangdong Ji proposed hadron mass decomposition [PRL 74, 1071 (1995); PRD 52, 271 (1995)]

$$m_p = \frac{\langle p | \int d^3x T^{00}(0, \vec{x}) | p \rangle}{\langle p | p \rangle} \Big|_{\text{at rest}} = \underbrace{M_q + M_g}_{\text{quark and gluon energies}} + \underbrace{M_m}_{\text{quark mass}} + \underbrace{M_a}_{\text{trace anomaly}}$$

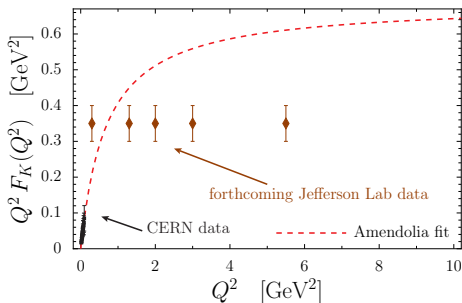
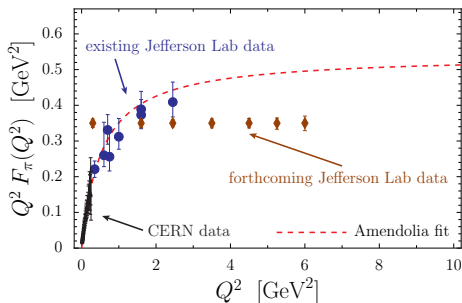
$$M_q = \frac{3}{4} (a - b) m_p, \quad M_g = \frac{3}{4} (1 - a) m_p, \quad M_m = b m_p, \quad M_a = \frac{1}{4} (1 - b) m_p,$$

- a = quark momentum fraction, b related to sigma-term or anomaly contribution
- [See Cédric Lorcé, EPJC 78, (2018) for decomposition with pressure effects]



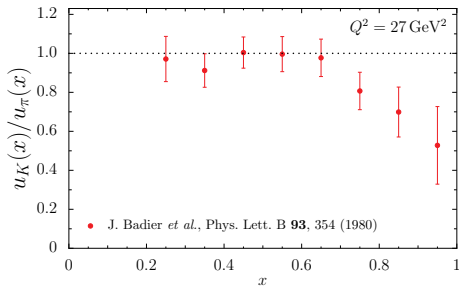
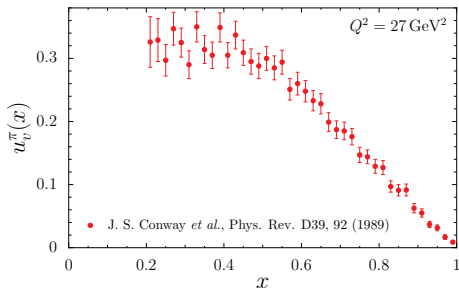
- In chiral limit ($m_q \rightarrow 0$) pion has no rest frame ($m_\pi = 0$) – how to interpret Ji's pion mass decomposition? Limit as $m_q \rightarrow 0$ is likely well behaved.

What we know about the Pion and Kaon



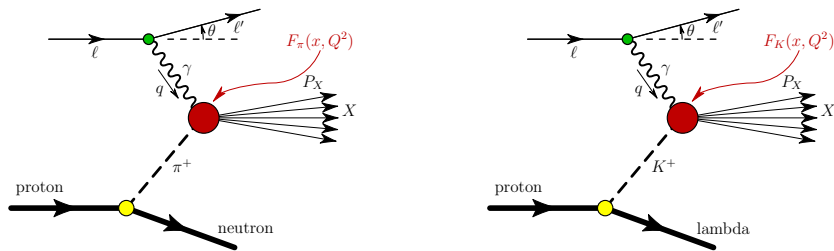
- Pion and kaon structure is slowly being revealed using: π^-/K^- beams at CERN; Sullivan type experiments at Jefferson Lab; π^- beam at Fermilab; and $e^+e^- \rightarrow \pi^+\pi^-$, K^+K^- in the time-like region
- 40 years of experiments has revealed, e.g.
 - $r_{\pi^+} = 0.672 \pm 0.008$, $r_{K^+} = 0.560 \pm 0.031$, $r_{K^0} = -0.277 \pm 0.018$
- Still a lot more to learn about pion and kaon structure:
 - quark and gluon PDFs; TMDs including Boer-Mulders function; $q, g \rightarrow \pi/K$ fragmentation functions, quark and gluon GPDs; gravitational form factors

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Pion & Kaon Structure at JLab and an EIC



- At Jefferson Lab and an EIC pion and kaon structure can be accessed via the so-called *Sullivan* processes
 - initial pion/kaon is off mass-shell – need extrapolation to pole
 - existing results for form factors – what about quark and gluon PDFs, TMDs, GPDs, *etc.*, at an EIC?
- Explored this ideal at a series of workshops on “*Pion and Kaon Structure at an Electron–Ion Collider*” (PIEIC)
 - 1–2 June 2017, Argonne National Laboratory www.phy.anl.gov/theory/pieic2017/
 - 24–25 May 2018, The Catholic University of America www.jlab.org/conferences/pieic18/
- Drell-Yan also very nice way to measure pion/kaon structure

QCD's Dyson-Schwinger Equations

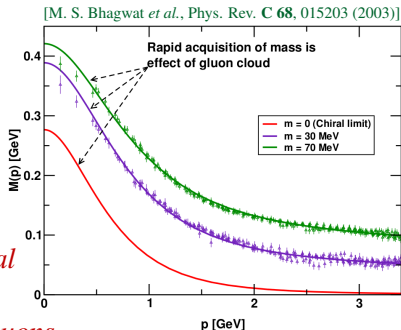
- The equations of motion of QCD \iff QCD's Dyson-Schwinger equations
 - an infinite tower of coupled integral equations
 - tractability \implies must implement a symmetry preserving truncation
- The most important DSE is QCD's gap equation \implies quark propagator

$$\text{---} \overset{\bullet}{\text{---}} \text{---}^{-1} = \text{---} \text{---}^{-1} + \text{---} \overset{\circlearrowleft}{\text{---}} \text{---}^{-1}$$

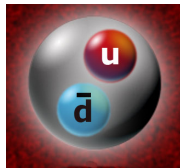
- ingredients – dressed gluon propagator & dressed quark-gluon vertex

$$S(p) = \frac{Z(p^2)}{i\not{p} + M(p^2)}$$

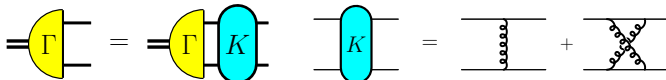
- Mass function, $M(p^2)$, exhibits dynamical mass generation, even in chiral limit
 - *mass function is gauge dependent and therefore NOT an observable!*
- *Hadron masses are generated by dynamical chiral symmetry breaking – caused by a cloud of gluons dressing the quarks and gluons*



Calculating and Predicting Pion Structure



- In QFT a two-body bound state (e.g., a pion, kaon, etc) is described by the Bethe-Salpeter equation (BSE):

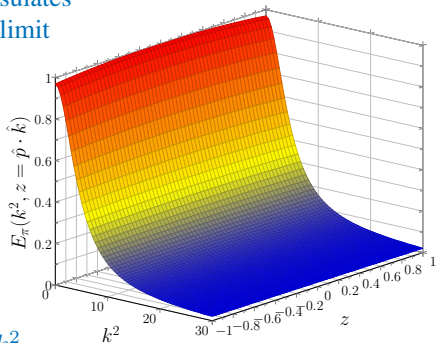


- the kernel must yield a solution that encapsulates the consequences of DCSB, e.g., in chiral limit $m_\pi = 0$ & $m_\pi^2 \propto m_u + m_d$

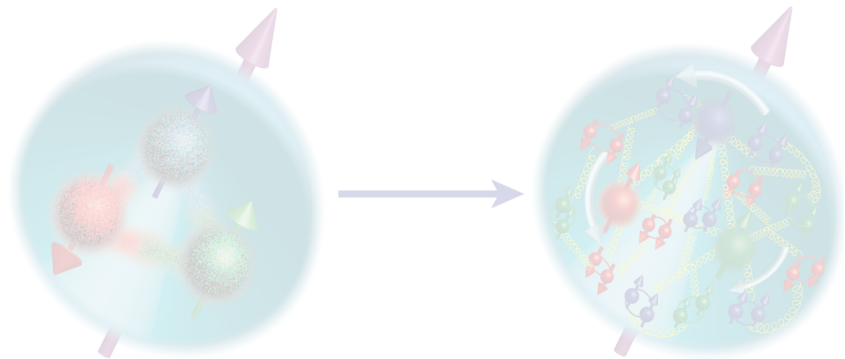
- Pion Bethe-Salpeter vertex

$$\Gamma_\pi(p, k) = \gamma_5 \left[E_\pi(p, k) + \not{p} F_\pi(p, k) + \not{k} k \cdot p G_\pi(p, k) + i\sigma^{\mu\nu} k_\mu p_\nu H_\pi(p, k) \right]$$

- $\chi_{\text{BSE}} = S(k + \frac{1}{2}p) \Gamma_\pi(p, k) S(k - \frac{1}{2}p)$
- large relative momentum: $E_\pi \sim F_\pi \sim 1/k^2$
- Challenging to go beyond rainbow-ladder truncation and maintain symmetries



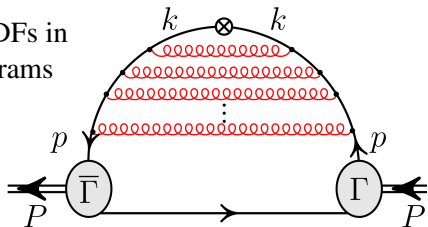
Pion & Kaon PDFs



Pion PDFs – Self-Consistent DSE Calculations

- To self-consistently determine hadron PDFs in rainbow-ladder must sum all planar diagrams

$$q(x) \propto \text{Tr} \int \frac{d^4 p}{(2\pi)^4} \bar{\Gamma}_M(p, P) S(p) \times \Gamma_q(x, p, n) S(p) \Gamma_M(p, P) S(p - P)$$



- DSEs are formulated in Euclidean space – evaluate $q(x)$ by taking moments
- The *hadron dependent* vertex $\Gamma_q(x, p, n)$ satisfies an inhomogeneous BSE
- However can define a *hadron independent* vertex $\Lambda_q(x, p, n)$

$$\Gamma_q(x, p, n) = \iint dy dz \delta(x - yz) \delta\left(y - \frac{p \cdot n}{P \cdot n}\right) \Lambda_q(z, p, n)$$

- $\Lambda_q(x, p, n)$ satisfies the inhomogeneous BSE

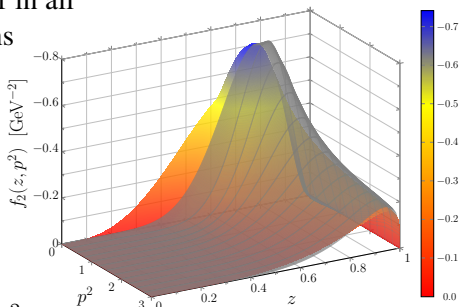
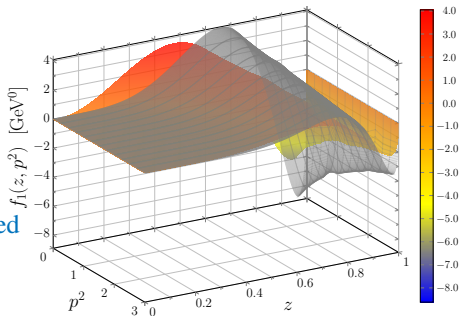
$$\Lambda_q(z, p, n) = iZ_2 \not{n} \delta(1 - z) - \iint du dw \delta(z - uw) \int \frac{d^4 \ell}{(2\pi)^4} \delta\left(w - \frac{\ell \cdot n}{p \cdot n}\right) \times \gamma_\mu \mathcal{K}_{\mu\nu}(p - \ell) S(\ell) \Lambda_q(u, \ell, n) S(\ell) \gamma_\nu$$

PDFs of a Dressed Quark

- *Hadron independent* vertex has form

$$\Lambda_q(z, p, n) = i\not{n} \delta(1 - z) + i\not{n} f_1^q(z, p^2) + n \cdot p [i\not{n} f_2^q(z, p^2) + f_3^q(z, p^2)]$$

- the functions $f_i^q(z, p^2)$ can be interpreted as unpolarized PDFs in a dressed quark of virtuality p^2
- These functions are universal – appear in all RL-DSE unpolarized PDF calculations
- Distributed support in z is immediate indication gluons carry significant momentum
 - heavier s quark support nearer $z = 1$
 - WIA $\implies \Lambda_q(z, p, n) \propto \delta(1 - z)$
- Renormalization condition means dressing functions vanish when $p^2 = \mu^2$

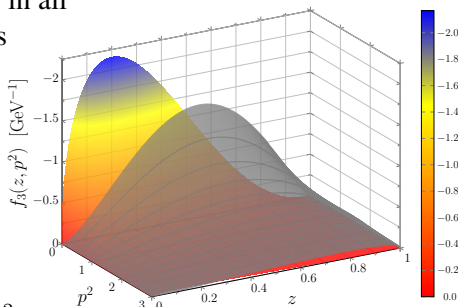
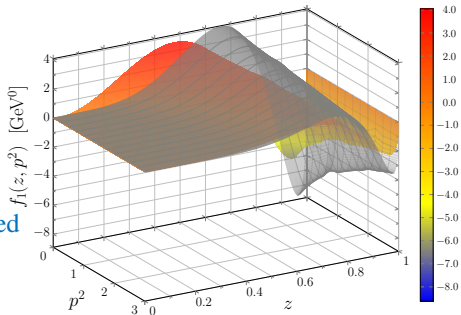


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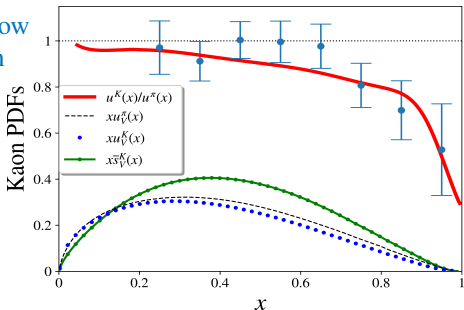
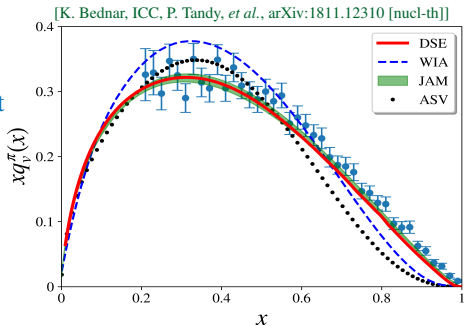
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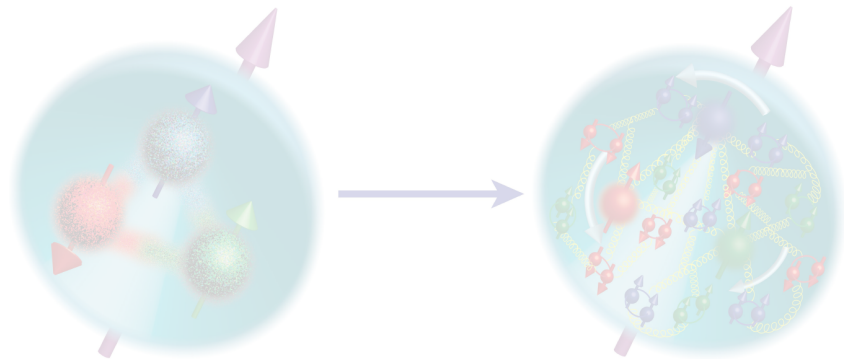


Self-Consistent DSE Results

- For pion and kaon PDFs included for first time gluons self-consistently
 - correct RL-DSE pion PDFs in excellent agreement with Conway *et al.* data and recent JAM analysis
 - agrees with $x \rightarrow 1$ pQCD prediction
- Treating non-perturbative gluon contributions correctly pushes support of $q_\pi(x)$ to larger x
- gluons remove strength from $q_\pi(x)$ at low to intermediate x – baryon number then demands increased support at large x
- cannot be replicated by DGLAP – DSE splitting functions are dressed
- *Immediate consequence of gluon dressing is that gluons carry 35% of pion's and 30% of kaon's momentum*

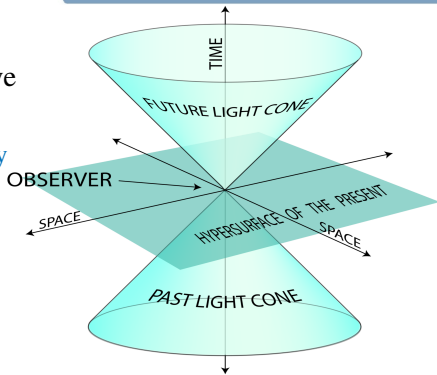


Pion & Kaon LF Wave Functions



Light-Front Wave Functions

- In equal-time quantization a hadron wave function is a frame-dependent concept
 - boost operators are dynamical, that is, they are interaction dependent
- In high energy scattering experiments particles move at near speed of light
 - natural to quantize a theory at equal light-front time: $\tau = (t + z)/\sqrt{2}$
- Light-front quantization \implies light-front wave functions, which have some interesting properties
 - frame dependence is trivial, and yield a probability interpretation
 - boosts are kinematical – *not dynamical*
- BSE wave function \implies light-front wave functions (LFWFs) $[\psi(x, \mathbf{k}_T)]$
 \implies parton distribution amplitudes (PDAs) $[\varphi(x)]$



$$\psi(x, \mathbf{k}_T) = \int dk^- \chi_{\text{BSE}}(p, k), \quad \varphi(x) = \int d^2 \mathbf{k}_T \psi(x, \mathbf{k}_T)$$

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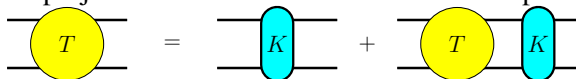
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DSEs + Light-Front Wave Functions

- On light-front hadron states can be represented by a Fock-state expansion

$$|\pi^+\rangle = |u\bar{d}\rangle + |u\bar{d}g\rangle + |u\bar{d}gg\rangle + \dots + |u\bar{d}q\bar{q}\rangle + |u\bar{d}q\bar{q}g\rangle + \dots$$

- Associated with each Fock-state is a number of LFWFs
 - diagonalizing the light-cone QCD Hamiltonian operator \implies LFWFs
 - methods include:* discretized lightcone quantization, basis light-front quantization, and holographic QCD
- LFWFs can be projected from solutions to the Bethe-Salpeter equation



- BSE self-consistently sums an infinite number of Fock states
- in rainbow-ladder, e.g. $|\pi^+\rangle = |u\bar{d}\rangle + |u\bar{d}g\rangle + |u\bar{d}gg\rangle + \dots$
- Obtaining LFWFs from DSE solutions of the BSE has several key features
 - in the DSEs emergent phenomena, such as confinement and DCSB, arise through the infinite sum of diagrams
 - these effects are encoded in DSE dressed propagators and BS amplitudes, and therefore the projected LFWFs

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$$T = \text{[single gluon loop]} + \text{[two-gluon exchange]} + \text{[three-gluon exchange]} + \dots$$

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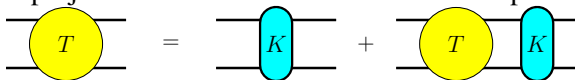
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 - these effects are encoded in DSE dressed propagators and BS amplitudes, and therefore the projected LFWFs

DSEs + Light-Front Wave Functions

- On light-front hadron states can be represented by a Fock-state expansion

$$|\pi^+\rangle = |u\bar{d}\rangle + |u\bar{d}g\rangle + |u\bar{d}gg\rangle + \dots + |u\bar{d}q\bar{q}\rangle + |u\bar{d}q\bar{q}g\rangle + \dots$$

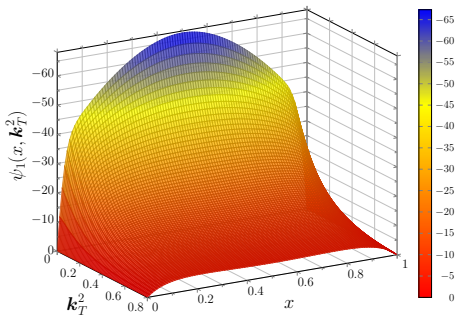
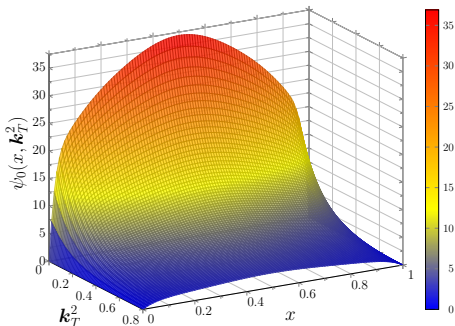
- Associated with each Fock-state is a number of LFWFs
 - diagonalizing the light-cone QCD Hamiltonian operator \implies LFWFs
 - methods include:* discretized lightcone quantization, basis light-front quantization, and holographic QCD
- LFWFs can be projected from solutions to the Bethe-Salpeter equation



- BSE self-consistently sums an infinite number of Fock states
- in rainbow-ladder, e.g. $|\pi^+\rangle = |u\bar{d}\rangle + |u\bar{d}g\rangle + |u\bar{d}gg\rangle + \dots$
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Pion and Kaon LFWFs

[Chao Shi and ICC, Phys. Rev. Lett. **122**, no. 8, 082301 (2019)]



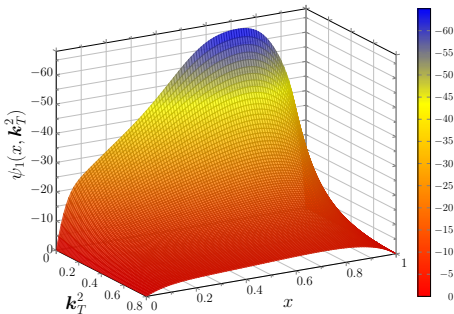
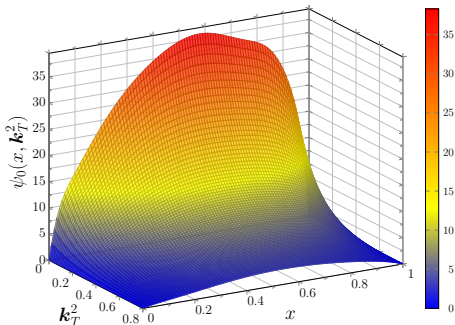
- Pion has two leading Fock-state LFWFs: $\psi_{\uparrow\downarrow}(x, \mathbf{k}_T^2)$ & $\psi_{\uparrow\uparrow}(x, \mathbf{k}_T^2)$

$$\psi_0(x, \mathbf{k}_T^2) = \sqrt{3} i \int \frac{dk^+ dk^-}{2\pi} \text{Tr}_D[\gamma^+ \gamma_5 \chi(k, p)] \delta(k^+ - x p^+); \quad \psi_1(x, \mathbf{k}_T^2) = \dots$$

- DSE result finds broad (almost) concave functions at hadronic scales, with features at small \mathbf{k}_T^2 driven by DCSB
 - large $\psi_{\uparrow\uparrow}(x, \mathbf{k}_T^2)$ indicates significant orbital angular momentum and relativistic effects in pion and kaon
 - at large \mathbf{k}_T^2 find same power-law behavior as predicted by perturbative QCD

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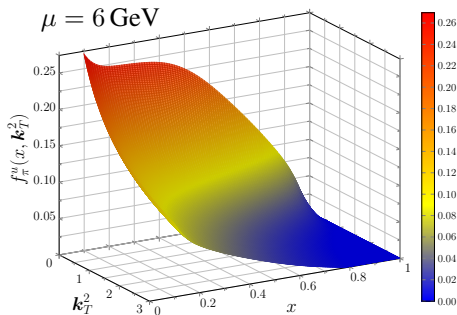
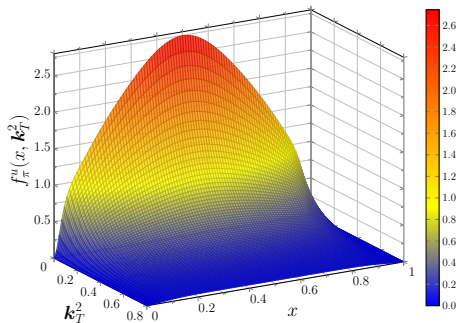
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Pion's T -even TMD

[Chao Shi and ICC, Phys. Rev. Lett. **122**, no. 8, 082301 (2019)]

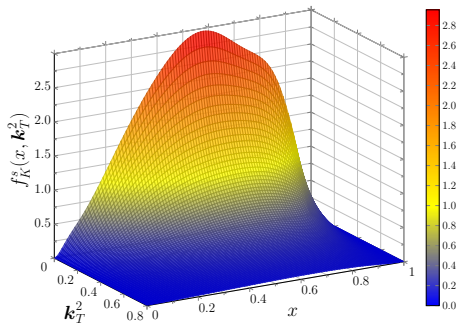
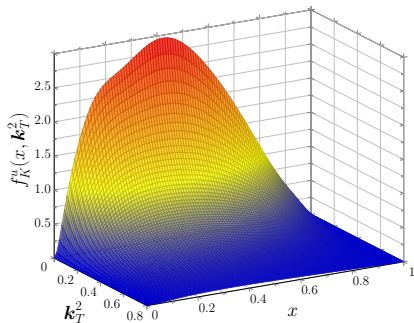


- Using pion's LFWFs straightforward to make predictions for pion TMDs

$$f(x, \mathbf{k}_T^2) \propto |\psi_{\uparrow\downarrow}(x, \mathbf{k}_T^2)|^2 + \mathbf{k}_T^2 |\psi_{\uparrow\uparrow}(x, \mathbf{k}_T^2)|^2$$

- numerous features inherited from LFWFs: TMDs are broad functions as a result of DCSB and peak at zero relative momentum ($x = 1/2$)
- evolution from model scale ($\mu = 0.52 \text{ GeV}$) to $\mu = 6 \text{ GeV}$ results in significant broadening in $\langle \mathbf{k}_T^2 \rangle$, from 0.16 GeV^2 to 0.69 GeV^2
- Need careful treatment of gauge link to study pion Boer-Mulders function

Kaon's T -even TMD



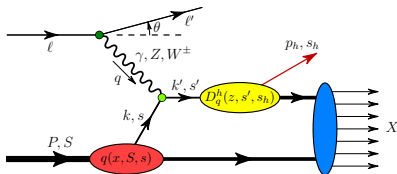
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- numerous features inherited from LFWFs
- TMDs are broad functions as a result of DCSB and with significant flavor breaking effects
- TMDs satisfy: $f_K^s(x, \mathbf{k}_T^2) = f_K^u(1-x, \mathbf{k}_T^2)$; $f(x, \mathbf{k}_T^2) \rightarrow x^2(1-x)^2/k_T^4$
- In general both pion and kaon LFWFs do not factorize in x and \mathbf{k}_T^2

Probing Transverse Momentum

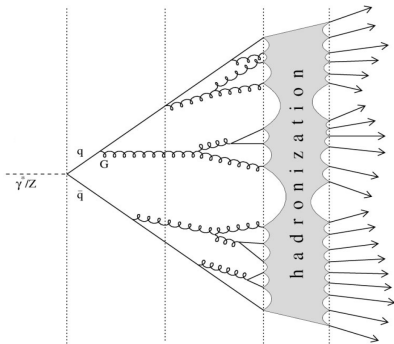
leading twist		quark polarization		
		unpolarized [U]	longitudinal [L]	transverse [T]
nucleon polarization	U	$f_1 = \odot$ unpolarized		$h_1^\perp = \odot - \ominus$ Boer-Mulders
	L		$g_1 = \odot \rightarrow - \ominus \rightarrow$ helicity	$h_{1L}^\perp = \odot \rightarrow - \ominus \rightarrow$ worm gear 1
	T	$f_{1T}^\perp = \odot - \ominus$ Sivers	$g_{1T}^\perp = \odot \rightarrow - \ominus \rightarrow$ worm gear 2	$h_1 = \uparrow - \downarrow$ transversity $h_{1T}^\perp = \nearrow - \nwarrow$ pretzelosity



- Measuring the pion/kaon TMDs will be a challenge, however progress can be made now by studying the $q \rightarrow \pi/K$ TMD fragmentation functions
- Fragmentation functions are particularly important and interesting
 - potentially fragmentation functions can shed the most light on confinement and DCSB – because they describe how a fast moving (massless) quark or gluon becomes a tower of hadrons*
- Also interesting tool with which to probe color entanglement at an EIC
 - over what length scales can colored correlations be observed?

Probing Transverse Momentum

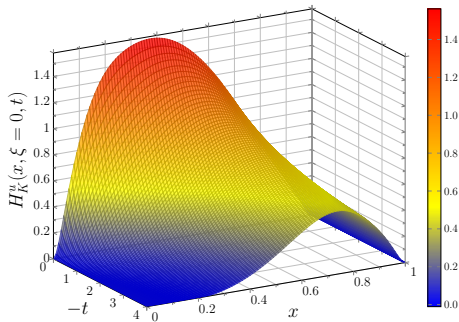
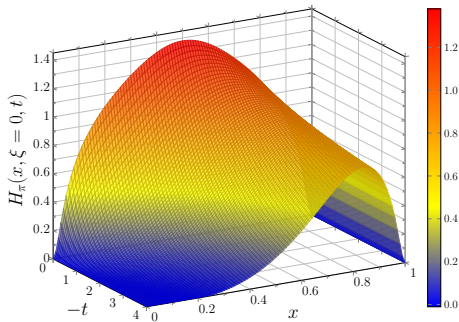
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Pion and Kaon GPDs

[Chao Shi and ICC, forthcoming publication]



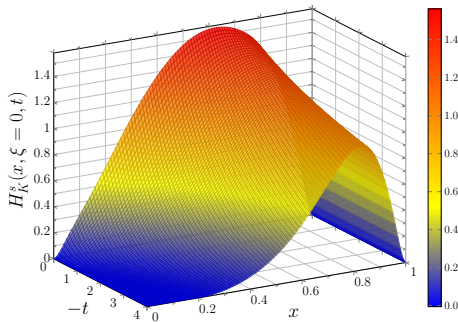
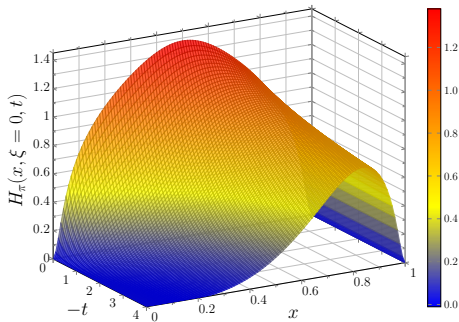
- Straightforward to make predictions for pion and kaon GPDs from overlaps of LFWFs – only one type of GPD at leading twist

$$H_\pi(x, 0, t) = \int d\mathbf{k}_T \left[\psi_0(x, \hat{\mathbf{k}}_T) \psi_0(x, \mathbf{k}_T) + (\hat{k}_1 + i\hat{k}_2)(k_1 - ik_2) \psi_1(x, \hat{\mathbf{k}}_T) \psi_1(x, \mathbf{k}_T) \right]$$

- access to DGLAP region [$x > \xi$] only with leading Fock state
- impossible to self-consistently respect polynomiality with truncated Fock space
- Our Fock-state expansion is in terms of dressed quarks and gluons
 - as momentum transfer t increases dressing of quarks and gluons stripped away

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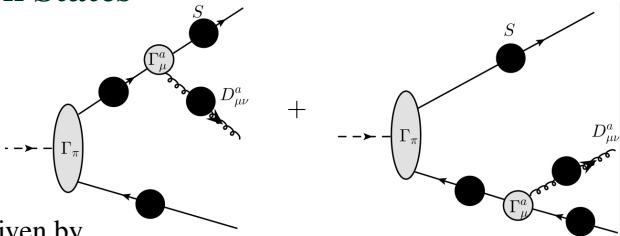
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DSEs + Higher Fock States

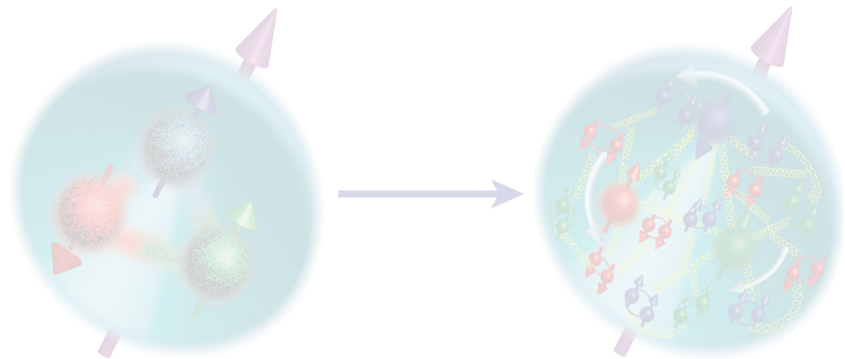
- From existing DSE ingredients can project out higher Fock states
- For example, the $|q\bar{q}g\rangle$ Fock state is given by



$$\psi_{\lambda_1\lambda_2\lambda_3}(x_1, x_2, \mathbf{k}_{1T}, \mathbf{k}_{2T}) \sim \int \frac{dk_1^- dk_2^-}{(2\pi)^2} \bar{u}(x_1 P^+, \mathbf{k}_{1T}, \lambda_1) \gamma^+ \chi^\mu(k_1, k_2; P) \gamma^+ v(x_2 P^+, \mathbf{k}_{2T}, \lambda_2) \varepsilon_\mu^*(\lambda_3)$$

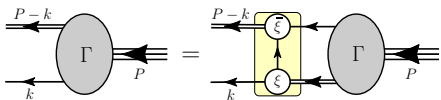
- for a pion there are nine 5-dimensional LFWFs associated with $|q\bar{q}g\rangle$ Fock state
- Key question: *When is a leading Fock-state approximation reliable?*
 - leading Fock state dominates at (very) large x and (very) large Q^2
 - can generate numerous higher Fock states using, e.g., DGLAP evolution – however non-perturbative content is missing
- Increasing difficult to calculate these higher Fock-state LFWFs and their impact on observables – *need to use full BSE solutions*

Nucleon PDFs



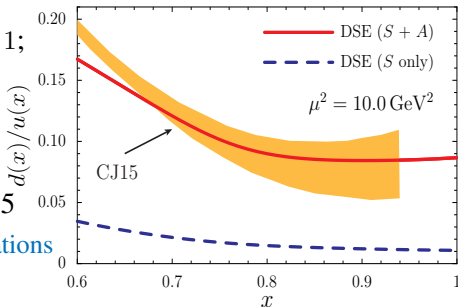
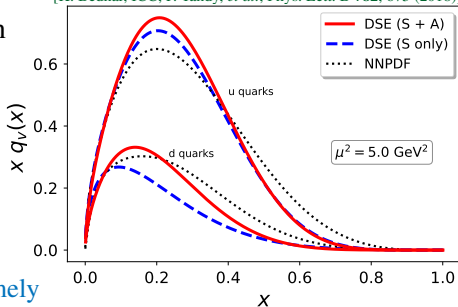
Spin-Independent PDFs

- Solve Poincaré covariant Faddeev eqn for nucleon bound state:



- key approximation is that the nucleon consists of quark + dynamical diquark
- approximation is known to work extremely well, e.g., masses, form factors, etc
- QCD predicts $q(x) \sim (1-x)^3$ as $x \rightarrow 1$; our result is $q(x) \sim (1-x)^5$
- quark-diquark approximation breaks down at (very) large x
- Find d/u in good agreement with CJ15
 - ratio is very sensitive to diquark correlations
- *At what x does $q + qq$ break down?*

[K. Bednar, ICC, P. Tandy, *et al.*, Phys. Lett. B **782**, 675 (2018)]



Conclusions

- For pion and kaon PDFs included for first time gluons self-consistently
 - correct RL-DSE pion PDFs in excellent agreement with Conway *et al.* data and recent JAM analysis
 - agrees with $x \rightarrow 1$ pQCD prediction
- Using DSE solutions to the BSE we determined the leading Fock-state LFWFs for the pion and kaon
 - using these LFWFs straightforward to determine FFs, PDFs, TMDs, GPDs, etc
 - key advantage of DSE method is BSE sums an infinite number of Fock states \implies LFWFs encapsulate effects from emergent phenomena: confinement & DCSB
- Much work remains in experiment and theory to understand the pion and kaon

