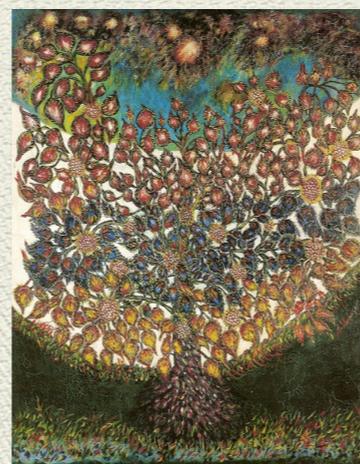
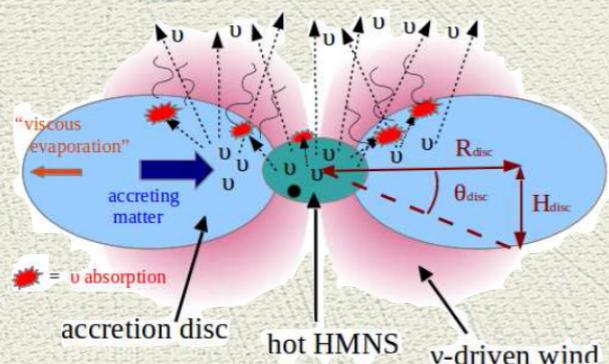


# Neutrinos in dense environments : from kilonovae to future supernova neutrino observations

Maria Cristina Volpe

Laboratoire AstroParticule et Cosmologie (APC), Paris

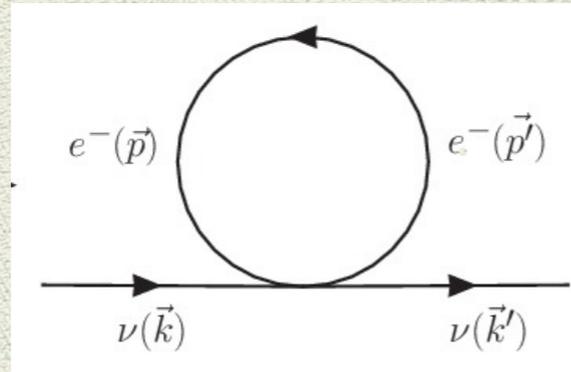


SN1987A-LMC

# The Mikheev-Smirnov-Wolfenstein effect

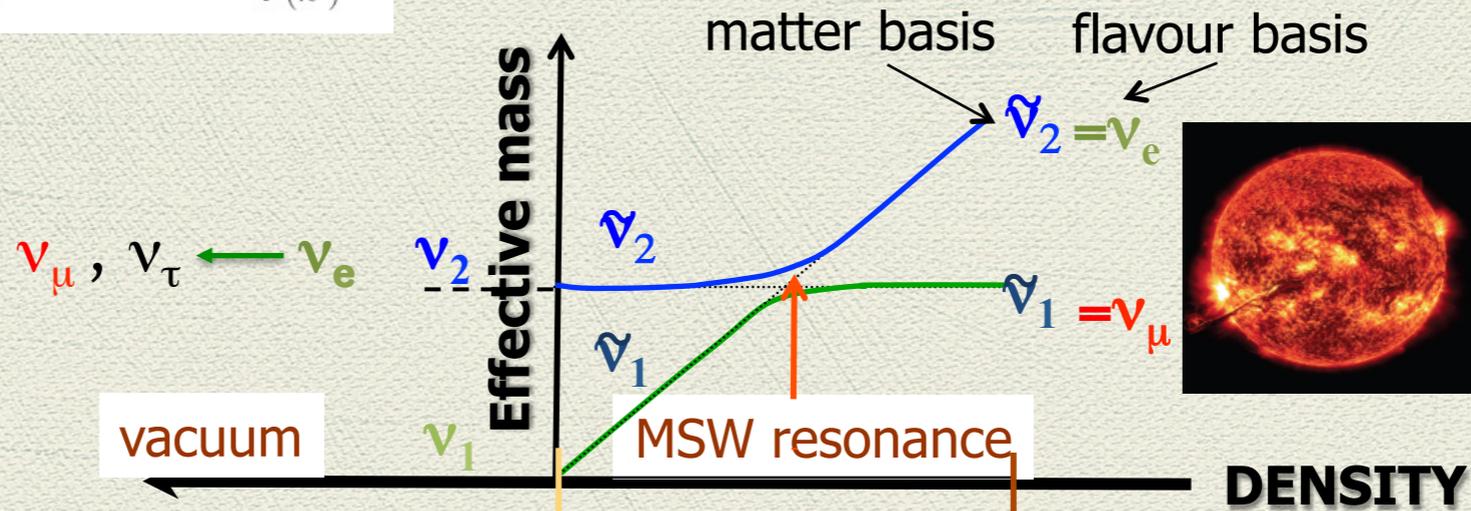
Neutrinos interact with matter and undergo **resonant adiabatic flavor conversion**.

Wolfenstein PRD (1978)  
Mikheev, Smirnov (1985)



$$h_{mat} = \sqrt{2}G_F\rho_e$$

mean-field approximation



$$h_\nu = \begin{pmatrix} -\Delta\tilde{m}^2/4E & -i\dot{\theta}_M \\ i\dot{\theta}_M & \Delta\tilde{m}^2/4E \end{pmatrix} \quad \text{neutrino hamiltonian in the matter basis}$$

Resonance condition :

$$h_{\nu,11} - h_{\nu,22} \approx 0$$

Adiabaticity :  $\gamma = \frac{|\dot{\theta}_M|}{\Delta\tilde{m}^2/4E} \ll 1$

Also in supernovae, in accretion disks around compact objects,  
in the Earth and in the Early Universe (BBN epoch)

# Nucleosynthesis : *r*-process

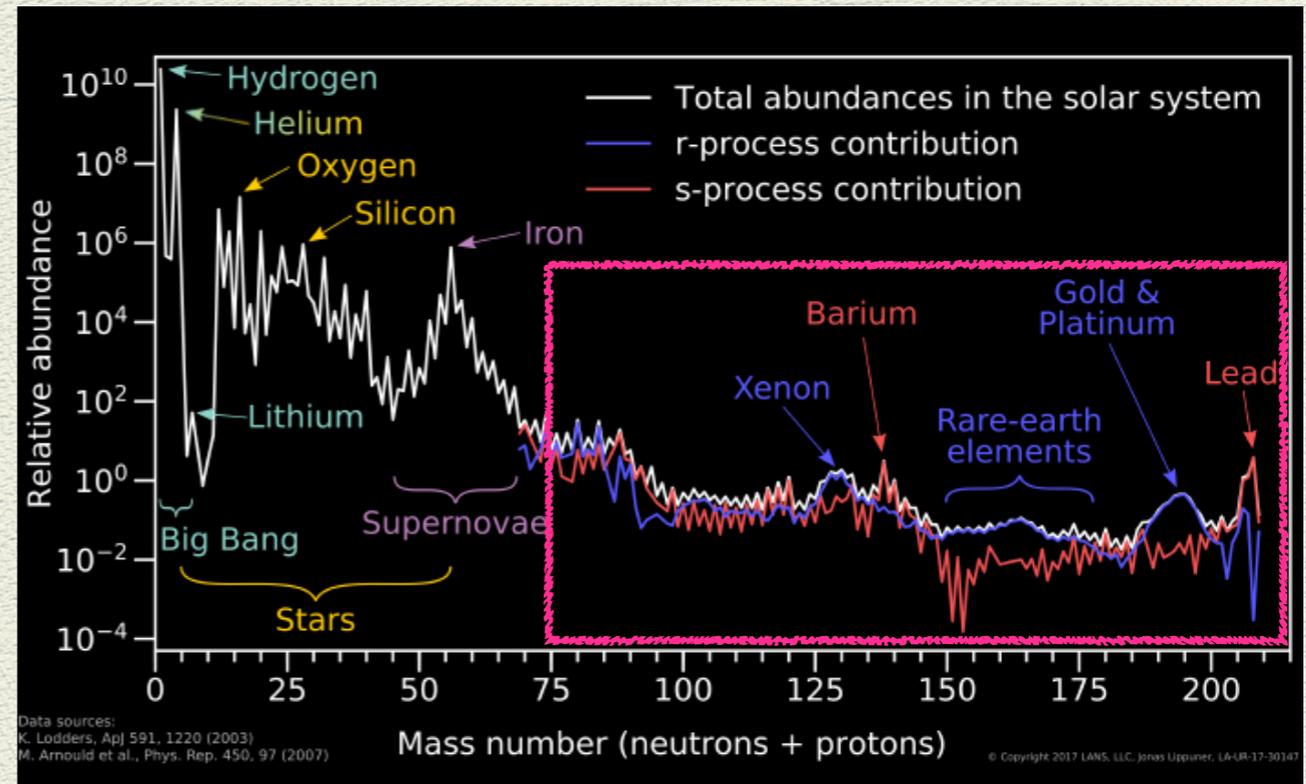
## *r*-process

(*r*- stands for rapid neutron capture)

Astrophysical process where elements heavier than iron are made. It occurs on a short time scale and in a neutron-rich environment.

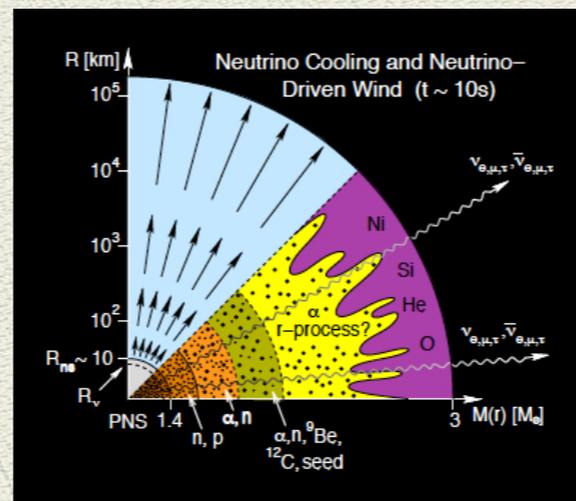
Longstanding open question in astrophysics :  
**What are the sites for the *r*-process ?**

During *r*-process neutron capture on nuclei occurs faster than beta-decay, producing lots of neutron-rich (exotic) nuclei.

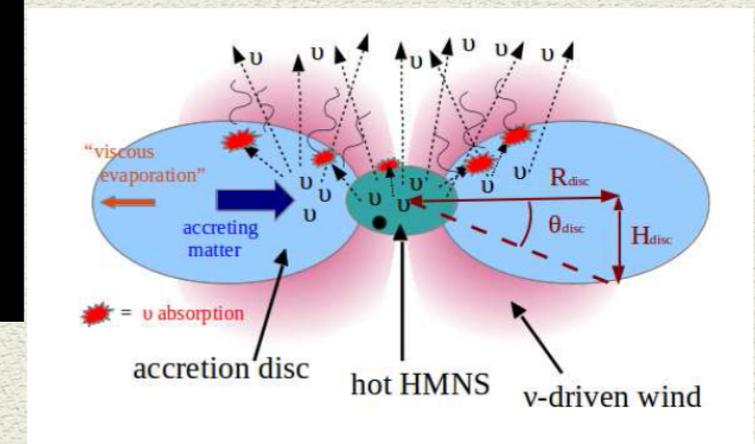


Candidate sites for *r*-process nucleosynthesis :  
**core-collapse supernovae and compact objects**

- accreting disks around black holes and binary neutron star mergers (BNS).



*more frequent*



*more neutron rich*

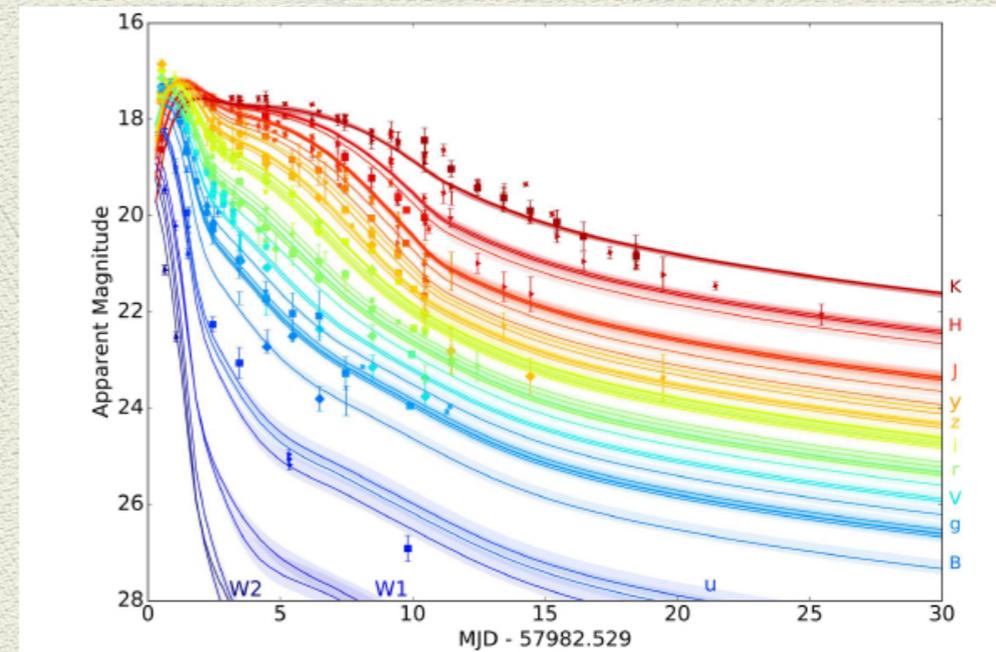
# GW170817 and **the kilonova**

First measurement of gravitational waves from a binary neutron star merger (BNS), in coincidence with a short gamma ray burst and a kilonova.

## Kilonova

Electromagnetic emission powered by the decay of radioactive elements.

Signal compatible with **lanthanide free** ejecta (**blue component**) and ejecta **with lanthanides** (**red component**).



Villar et al, Ap. J. (2017) 851, L21

# r-process and neutrinos

## r-process nucleosynthetic abundances

depend on astrophysical conditions (entropy, dynamical timescales, ...), properties of neutron-rich nuclei (neutron capture rates, half-lives, masses) and neutrinos.

- Neutrinos determine richness of matter

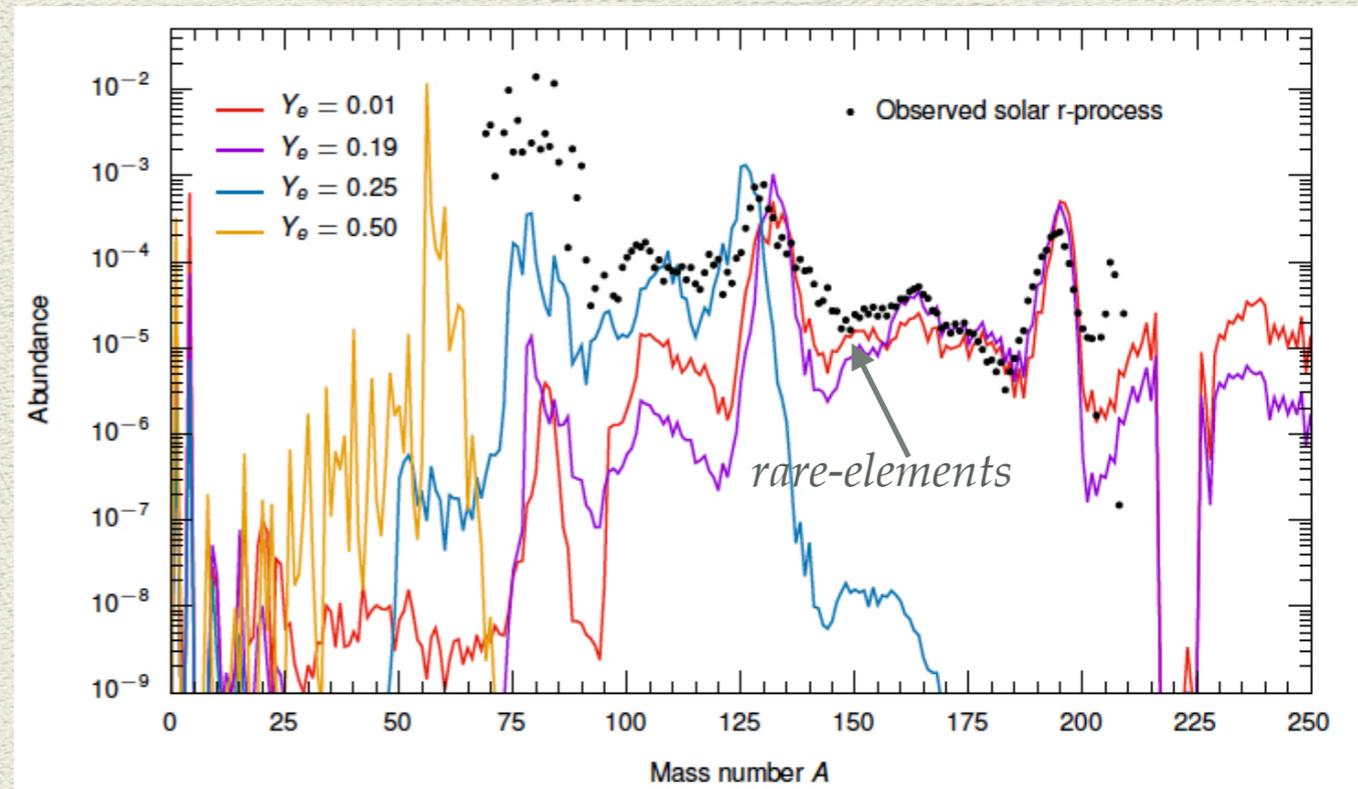


and set the neutron-to-proton ratio, through the **electron fraction  $Y_e$**

$$Y_e = \frac{p}{p + n} \quad Y_n = 1 - Y_e$$

- Rates on neutrons and protons depend on flavor evolution :

$$\frac{\lambda_{\nu_e n}}{\lambda_{\bar{\nu}_e p}} = \frac{\langle \sigma_{\nu_e n} \rangle}{\langle \sigma_{\bar{\nu}_e p} \rangle}$$



$Y_e = 0.5$ , no r-process elements

$Y_e < 0.25$ , rare-elements plateau and third element peak (strong r-process)

# Neutrino evolution equations in flat spacetime

## mean-field approximation

Samuel, PRD48 (1993); Sawyer PRD72 (2005),  
Pehlivan and Balantekin, J. Phys. G 34 (2007)

## linearised mean-field equations

Banerjee, Dighe, Raffelt, PRD84 (2011)  
Väänänen and Volpe PRD88 (2013)

## A dispersion relation approach

Izaguirre, Raffelt, Tamborra, PRL 118 (2017)

## mean-field and extended mean-field

Volpe, Väänänen Espinoza, PRD87 (2013)  
Serreau and Volpe PRD90 (2014)

## towards the many-body solution

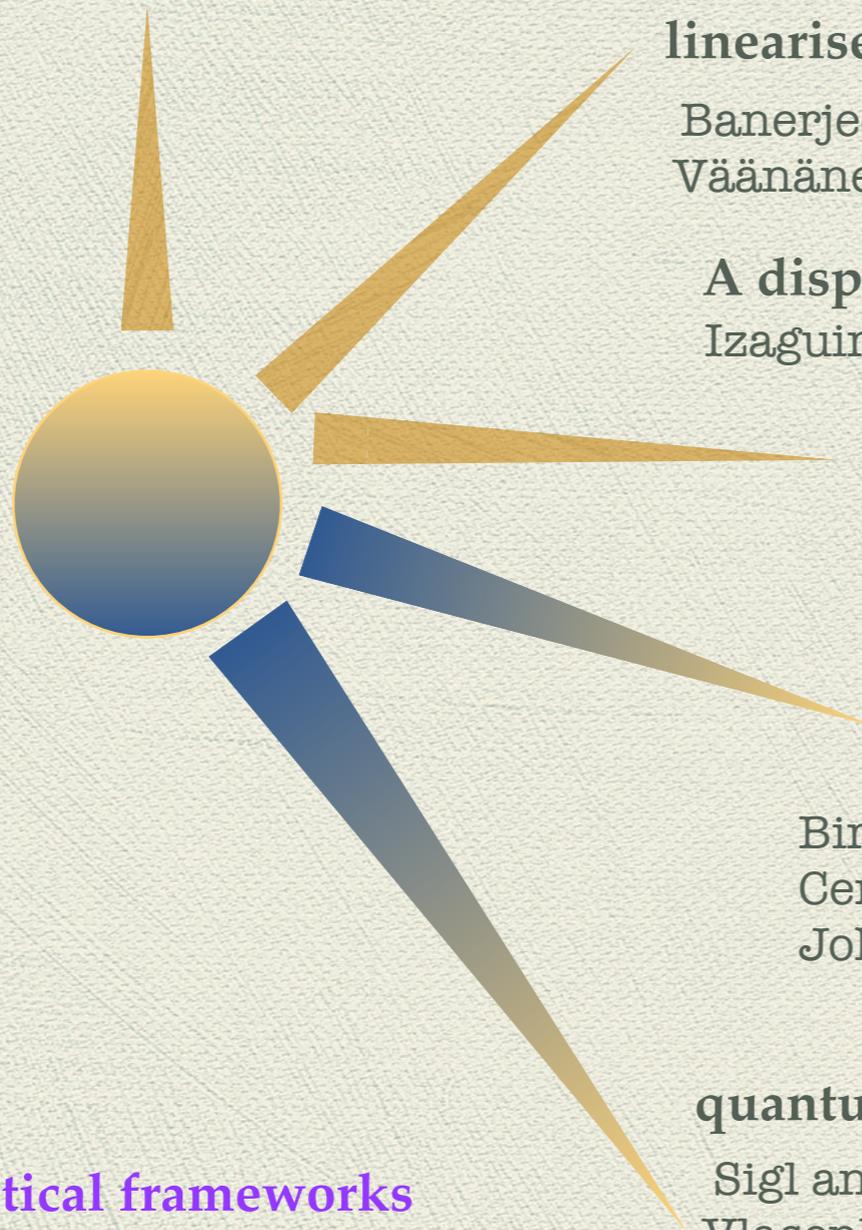
Birol, Pehlivan, Balantekin, Kajino, PRD98 (2018)  
Cervia, Patwardhan, Balantekin, Coppersmith,  
Johnson, 1908.03511

## quantum kinetic equations

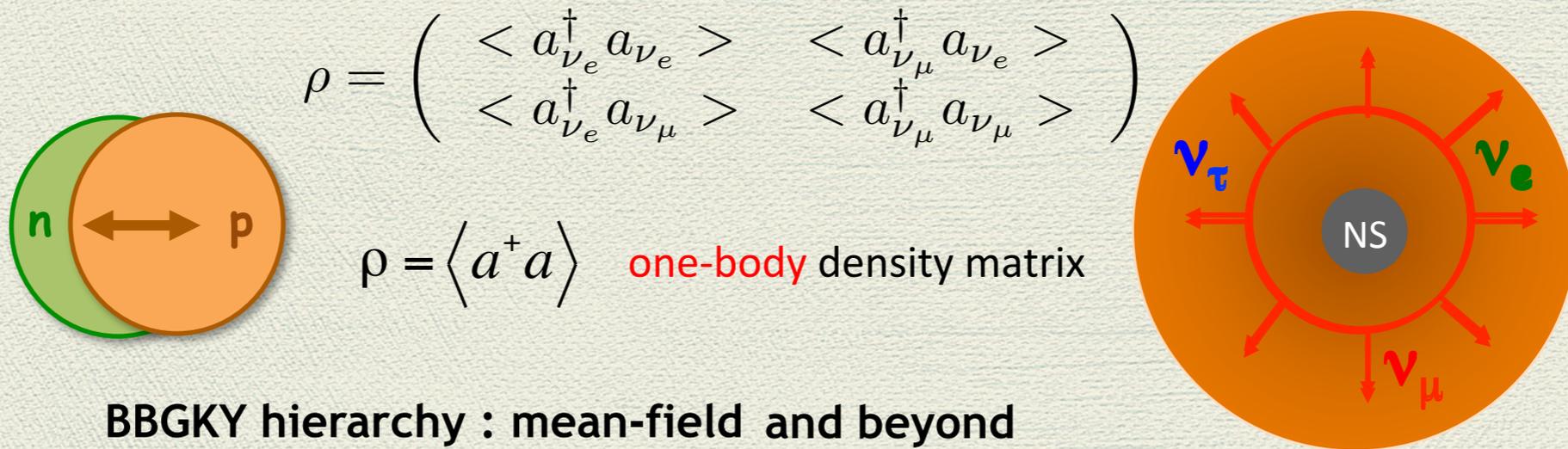
Sigl and Raffelt, Nucl. Phys. B 406 (1993)  
Vlasenko, Fuller, Cirigliano, PRD89 (2014)

## Numerous theoretical frameworks

Volpe, Int. J. Mod. Phys. E24 (2015), *a review*

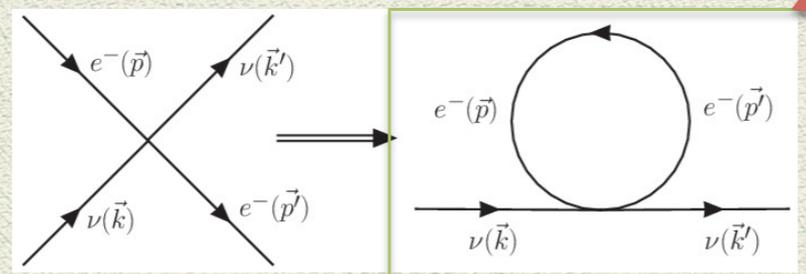


# Neutrinos in dense environments



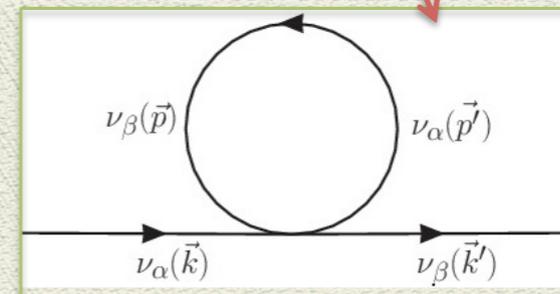
BBGKY hierarchy : mean-field and beyond

$$i\dot{\rho} = [h(\rho), \rho] \quad h = h_{vac} + h_{mat} + h_{\nu\nu}(\rho)$$



neutrino-matter

$$h_{mat} = \sqrt{2}G_F \rho_e$$



neutrino self-interactions

**non-linear term**

Pantaleone, PLB287 (1992)

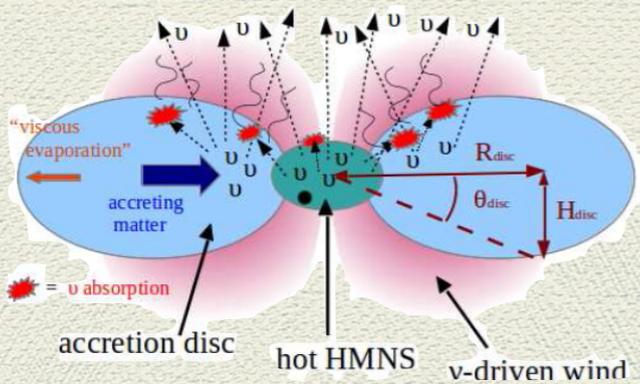
$$h_{\nu\nu} = \sqrt{2}G_F \sum_{\alpha} \left[ \int (1 - \hat{q} \cdot \hat{p}) \times [dn_{\nu_{\alpha}} \rho_{\nu_{\alpha}}(\vec{p}) - dn_{\bar{\nu}_{\alpha}} \bar{\rho}_{\bar{\nu}_{\alpha}}(\vec{p})] \right],$$

<sup>α</sup>Volpe, Väänänen, Espinoza. PRD 87 (2013)

MEAN-FIELD approximation

**Beyond usual framework : helicity coherence, collisions.**

see Capozzi's talk



# Matter-neutrino resonance

Matter and self-interaction potentials can cancel.

Malkus et al, PRD86 (2012), 96 (2016)

$$h_{G,11} - h_{G,22} = -2\omega c_{2\theta} + \sqrt{2}G_F n_B Y_e + h_{\nu\nu}^{ee} - h_{\nu\nu}^{xx} \simeq 0.$$

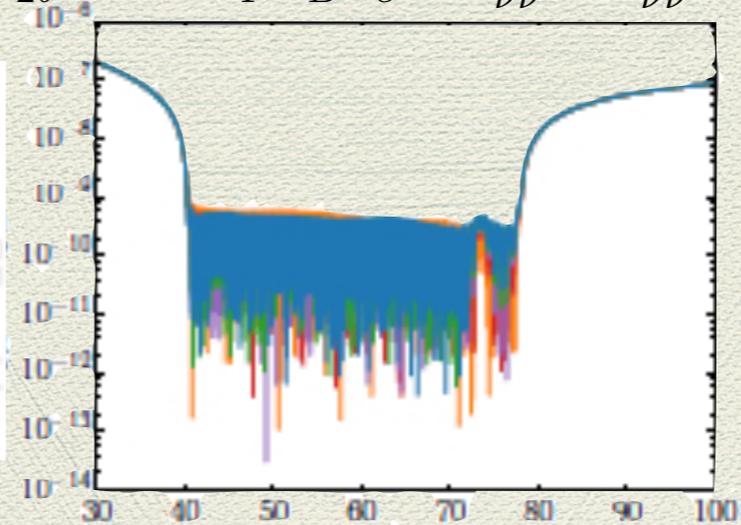
■ An electron antineutrino excess.

	$\langle E_\nu \rangle$	$L_\nu$	$R_\nu$ (km)
$\nu_e$	10.6	15	84
$\bar{\nu}_e$	15.3	30	60
$\nu_x$	17.3	8	58

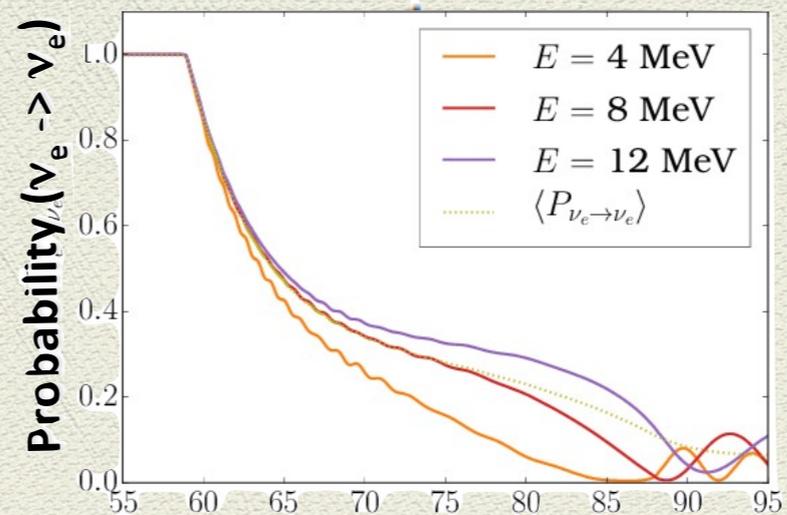
MeV  $10^{51}$  erg/s

Perego et al, 2014

$h_{11} - h_{22}$  (eV)

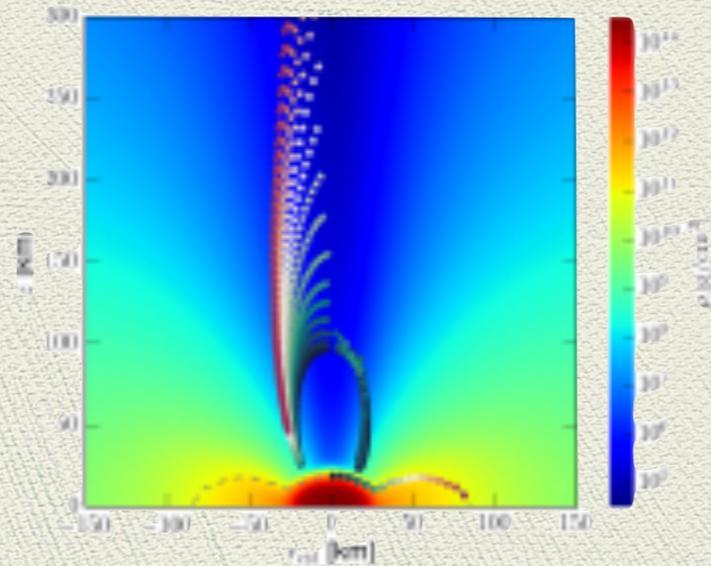


Probability ( $\nu_e \rightarrow \nu_e$ )



Distance (km)

Chatelain, Volpe, PRD 95, 2017



Distance (km)

Frensel et al., PRD95 (2017)

This resonance is located in the polar region, it can impact  $Y_e$  and the nucleosynthetic abundances.

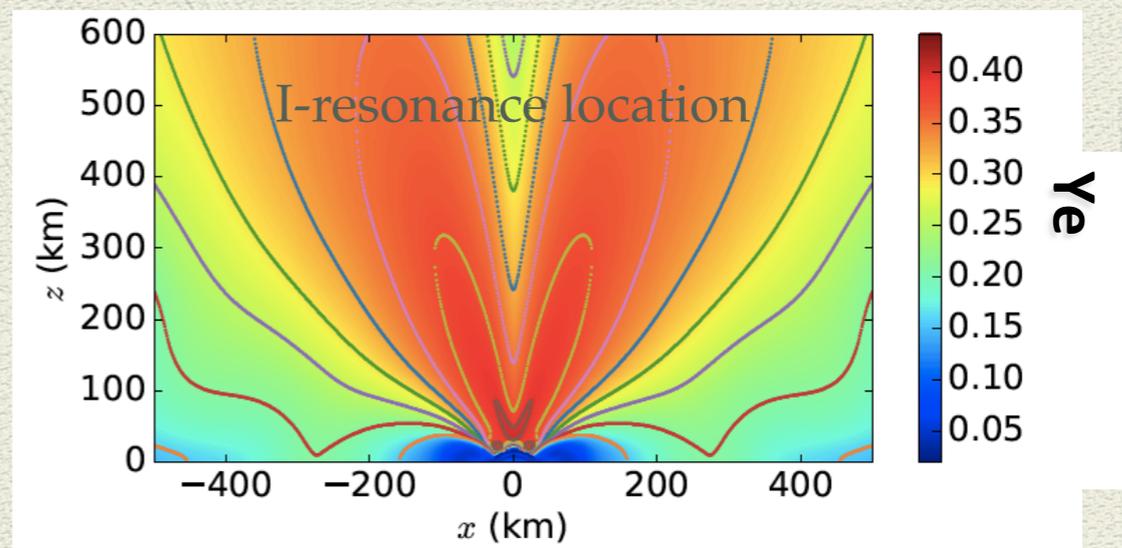
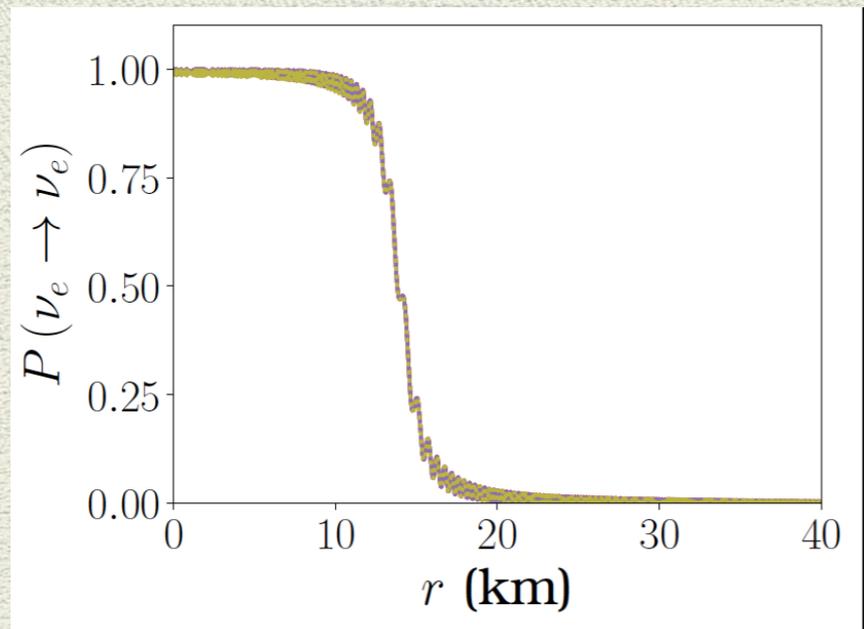
# Non-standard interactions and flavor evolution

- **I-resonance** : MSW like resonance due to a cancellation between standard and non-standard matter terms.

$$\left( \begin{array}{l|l} |\epsilon_{ee}| < 2.5 & |\epsilon_{e\tau}| < 1.7 \\ \hline & |\epsilon_{\tau\tau}| < 9.0 \end{array} \right). \quad h_{\text{NSI}} = \lambda \begin{pmatrix} (\frac{Y_\odot - Y_e}{Y_\odot}) \delta\epsilon^n & (3 + Y_e)\epsilon_0 \\ (3 + Y_e)\epsilon_0^* & 0 \end{pmatrix}.$$

Esteban-Pretel, A. *et al.* Phys.Rev. D81 (2010), Stapleford et al., Phys.Rev. D94 (2016)

- The I-resonance can occur also in presence of neutrino self-interactions, as a synchronized MSW.



Chatelain, Volpe, PRD98 (2018)

## «Fast» modes

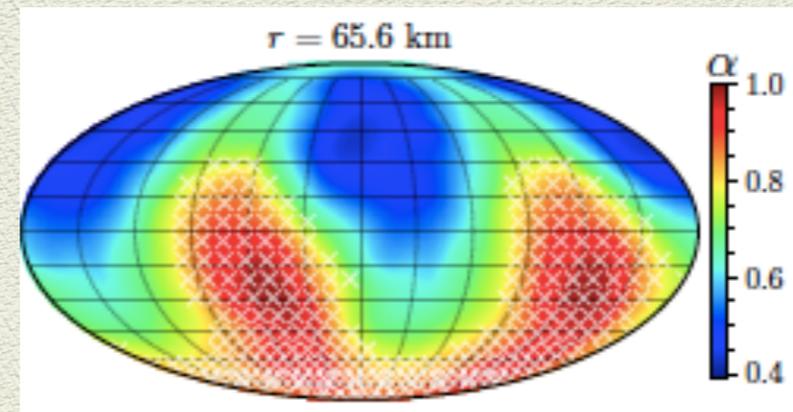
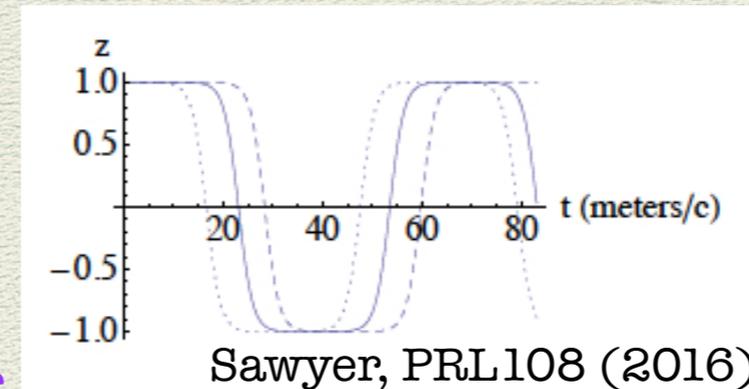
- Flavor conversion occurs on a short distance scale, if the neutrino angular distributions at the neutrino sphere are better described.

Fast modes can potentially impact supernovae explosions, a longstanding open issue.

- First evidence for the **occurrence** of fast modes in **3-dimensional supernova simulations** and also within the proto-neutron star.

t= 200 ms snapshot

Mollweide projection for the  $\nu_e$ -to- $\bar{\nu}_e$  flux ratio



Crosses indicate fast modes

Abbar, et al, PRDD100 (2019), arXiv :1812.06883

Abbar, et al, to be submitted

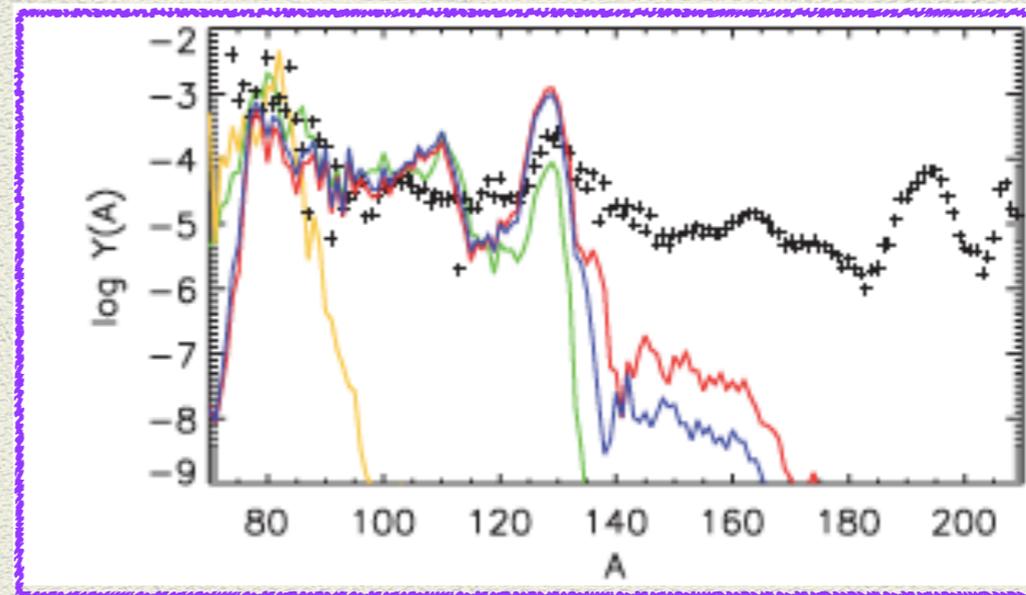
- **Fast modes do not seem to produce flavor equilibration of the neutrino spectra.**

Abbar, Volpe, PLB790 (2019), arXiv:1811.04215

# Gravitational effects on neutrinos in dense environments

The inclusion of **trajectory bending** on neutrino propagation and **energy redshifts** can impact the neutrino spectra and r-process nucleosynthetic outcomes.

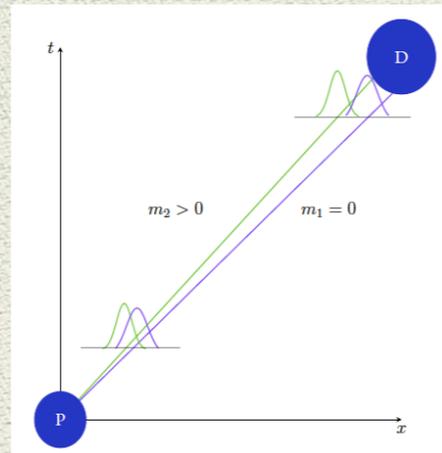
An example for an accretion-disk black hole model with Schwarzschild and Kerr metrics (no flavor transformation included).



Caballero, McLaughlin and Surman, *Astr. Journ.* 745 (2012).

# Neutrino decoherence by wave packet propagation

- Neutrino mass eigenstates wave packets (WP) can lose coherence during propagation. In flat spacetime, the **neutrino coherence length**  $L_{coh}$  quantifies the distance at which coherence is lost.



$$L = L_{coh} \quad \Delta x = \sigma_x$$

$\sigma_x$  - WP width

see e.g. Giunti, Found. Phys. Lett. 17 (2004);  
Kersten and Smirnov, Eur. Phys. J. C76 (2016);  
Akhmedov, Kopp, Lindner, JCAP 1709 (2017)

# Wave packet decoherence in flat spacetime

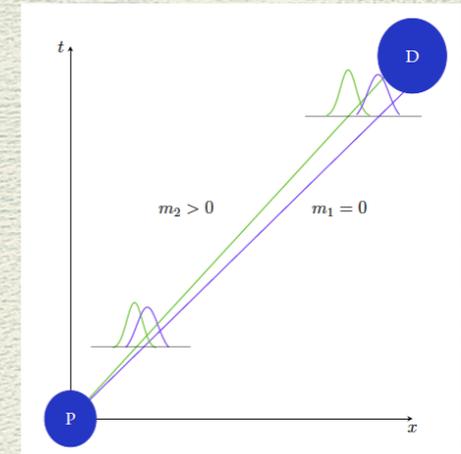
- The coordinate-space wave function is

$$\psi_j(t, \vec{x}) = \int_{\vec{p}} e^{i\vec{p}\cdot\vec{x}} \psi_j(t, \vec{p}) \quad \int_{\vec{p}} \equiv \int \frac{d^3 p}{(2\pi)^3}$$

whose Fourier components evolve according to

$$\psi_j(t, \vec{p}) = f_{\vec{p}_j}(\vec{p}) e^{-iE_j(\vec{p})t}$$

with  $f_{\vec{p}_j}(\vec{p})$  the momentum distribution functions centered in  $\vec{p}_j$ , describing the wave-packet associated to the **j-th** mass eigenstate.



- The one-body density matrix is  $\rho_{jk}(t, \vec{x}) = U_{\alpha j}^* U_{\alpha k} \psi_j(t, \vec{x}) \psi_k^*(t, \vec{x})$ .

- Assuming Gaussian wave packets

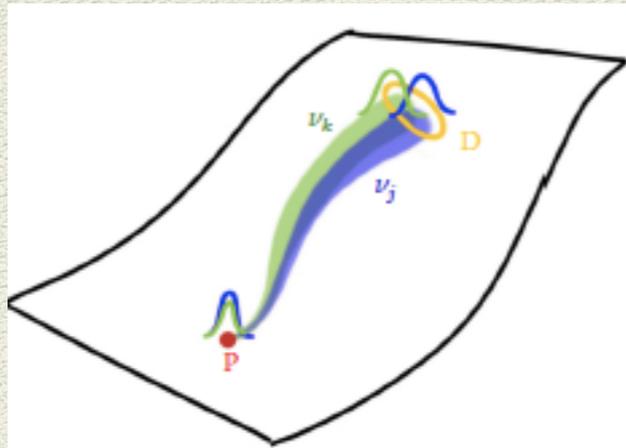
$$\rho_{jk}(t, \vec{x}) = N_{jk}^\alpha \int_{\vec{p}, \vec{q}} \exp[-i[E_j(\vec{p}) - E_k(\vec{q})]t] \exp\left[i(\vec{p} - \vec{q})\vec{x} - \frac{(\vec{p} - \vec{p}_j)^2}{4\sigma_p^2} - \frac{(\vec{q} - \vec{p}_k)^2}{4\sigma_p^2}\right],$$

- In order to calculate the coherence length, we expand the energies around the peak momenta  $E_j(\vec{p}) = E_j + (\vec{p} - \vec{p}_j)\vec{v}_j + \mathcal{O}[(\vec{p} - \vec{p}_j)^2]$ .

- By performing Gaussian integrals one gets  $\rho_{jk}(\vec{x}) = A_{jk}^\alpha \rho_{jk}^{osc}(\vec{x}) \rho_{jk}^{damp}(\vec{x})$

$$\rho_{jk}^{damp}(\vec{x}) = \exp\left[-\frac{(\vec{v}_j - \vec{v}_k)^2 x^2}{4\sigma_x^2(v_j^2 + v_k^2)}\right] \quad L_{coh}^{jk} = \frac{4\sqrt{2}E^2}{|\Delta m_{jk}^2|} \sigma_x$$

# Wave packet decoherence in curved spacetime



- A neutrino flavor state produced in a spacetime point P

$$|\nu_\alpha(P)\rangle = U_{\alpha j}^* |\nu_j(P)\rangle$$

evolves to a « detection » point D

$$|\nu_j(P, D_j)\rangle = e^{-i\phi_j(P, D_j)} |\nu_j(P)\rangle,$$

with the covariant form of the quantum mechanical phase

$$\phi_j(P, D_j) = \int_P^{D_j} p_\mu^{(j)} dx^\mu \quad p_\mu^{(j)} = m_j g_{\mu\nu} \frac{dx^\nu}{ds}$$

- For a stationary gravitational field from a compact object with spherical symmetry

$$ds^2 = -B(r)dt^2 + \frac{1}{B(r)}dr^2 + r^2d\theta^2 + r^2\sin^2\theta d\varphi^2 \quad \text{with} \quad B(r) = 1 - \frac{r_s}{r} \quad r_s = 2M$$

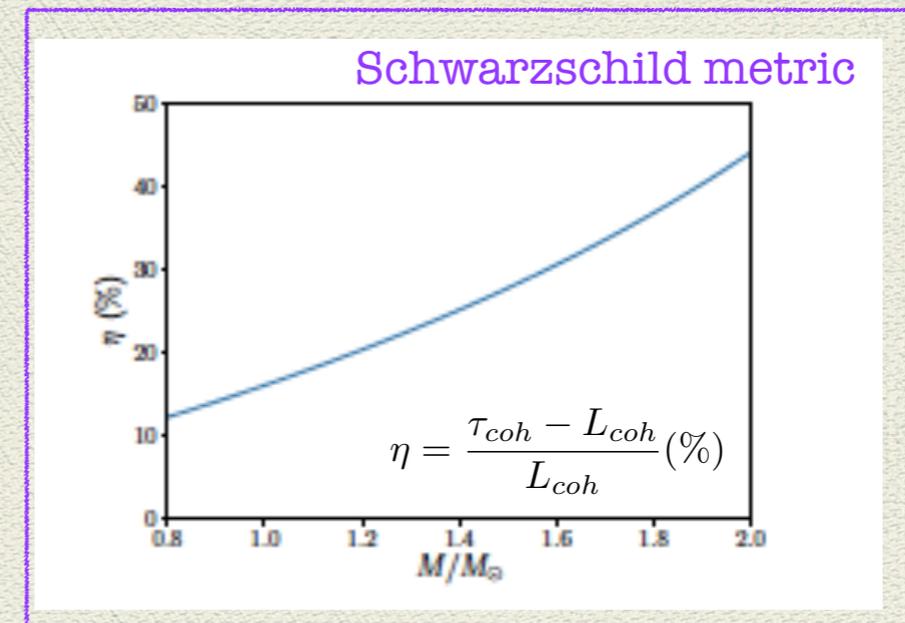
- By performing Gaussian integrals

$$\rho_{jk}(r_P, r_D) = A_{jk}^\alpha \rho_{jk}^{osc}(r_P, r_D) \rho_{jk}^{damp}(r_P, r_D)$$

$$\rho_{jk}^{damp}(r_P, r_D) = \exp\left[-\frac{\Delta m_{jk}^4 r_{PD}^2}{32\sigma_x^2 E^4}\right],$$

$$r_{PD}^{coh} = \frac{4\sqrt{2}\sigma_x E^2}{\Delta m_{jk}^2}$$

$$\tau_{coh} = \sqrt{B(r_D^{coh})} \left[ r_{PD}^{coh} + r_s \ln\left(1 + \frac{r_{PD}^{coh}}{r_P - r_s}\right) \right]$$

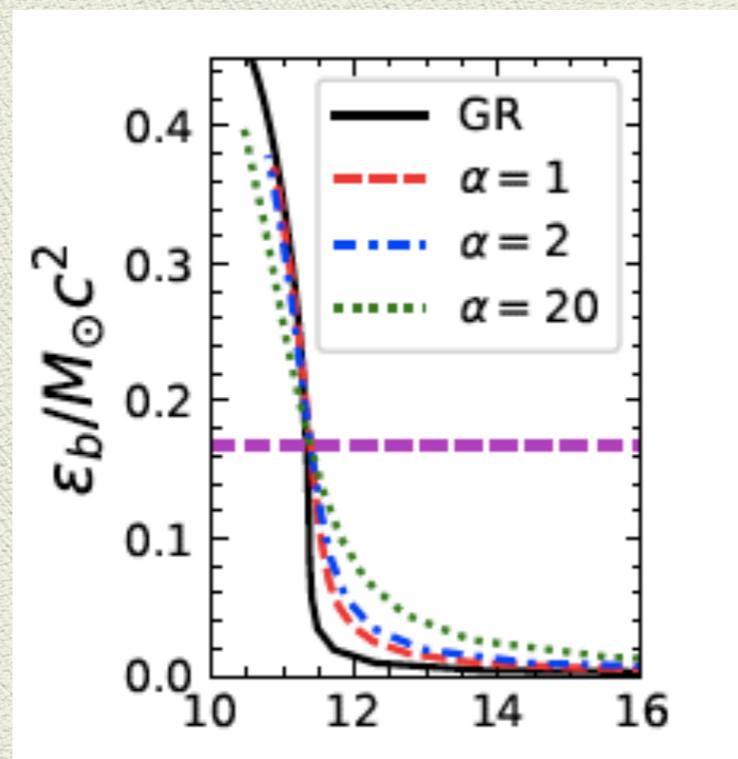


$$E = 11 \text{ MeV} \quad r_P = 10 \text{ km} \quad \sigma_x = 4 \times 10^{-12} \text{ cm}$$

# Late time neutrino signal and the binding energy-radius relation

The late time neutrino signal can be approximated by a black-body emission.  
Cooling model of Reddy and Roberts used as reference.

$$L = 4\pi\sigma_{\text{BB}}\phi R^2 k_B^4 T^4,$$



The  $\epsilon_b$ - $R$  relation depends on the neutron star equation of state and potentially EGR.

	$\alpha$ -PRIOR+		
	Mean [km]	SD [km]	Acc [%]
$\nu_e$	23.8 (22.2)	20.5 (17.9)	86.1 (80.8)
$\bar{\nu}_e$	9.2 (9.9)	2.4 (1.2)	25.6 (12.2)
$\nu_x$	13.9 (13.0)	3.4 (1.8)	24.5 (13.7)

Determination of the neutron star radius with neutrinos alone difficult.

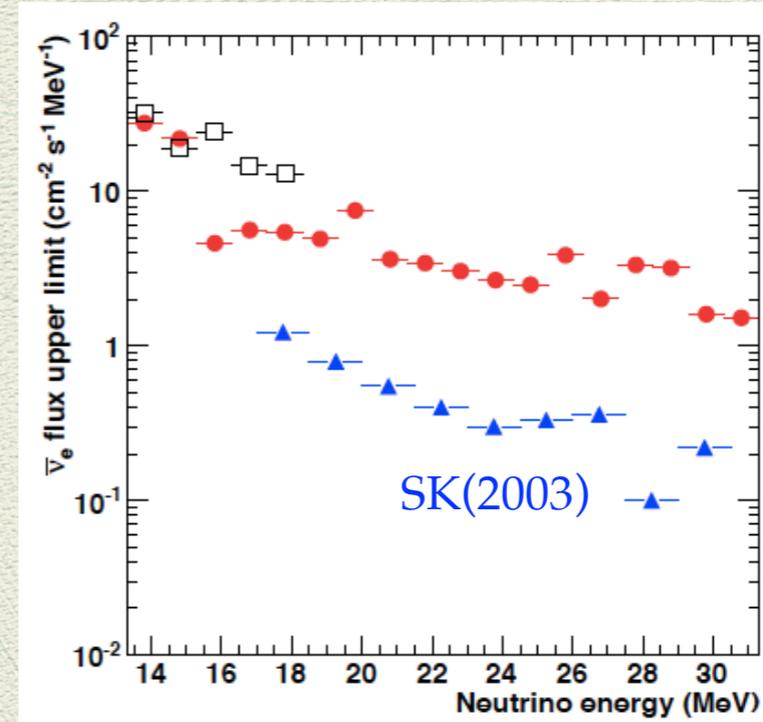
Gallo Rosso, Abbar, Vissani, Volpe, JCAP 1812 (2018).

# Diffuse Supernova Neutrino Background measurement

- The relic neutrino flux depends on core-collapse supernova fluxes, the supernova rate (related to the star formation rate), integrated over redshift:

$$F_{\alpha}(E_{\nu}) = \int dz \left| \frac{dt}{dz} \right| (1+z) R_{\text{SN}}(z) \frac{dN_{\alpha}(E'_{\nu})}{dE'_{\nu}},$$

$E'_{\nu} = (1+z)E_{\nu}$ , neutrino redshifted energy (only the tails of the neutrino spectra matter)  $z = 0,1,2$

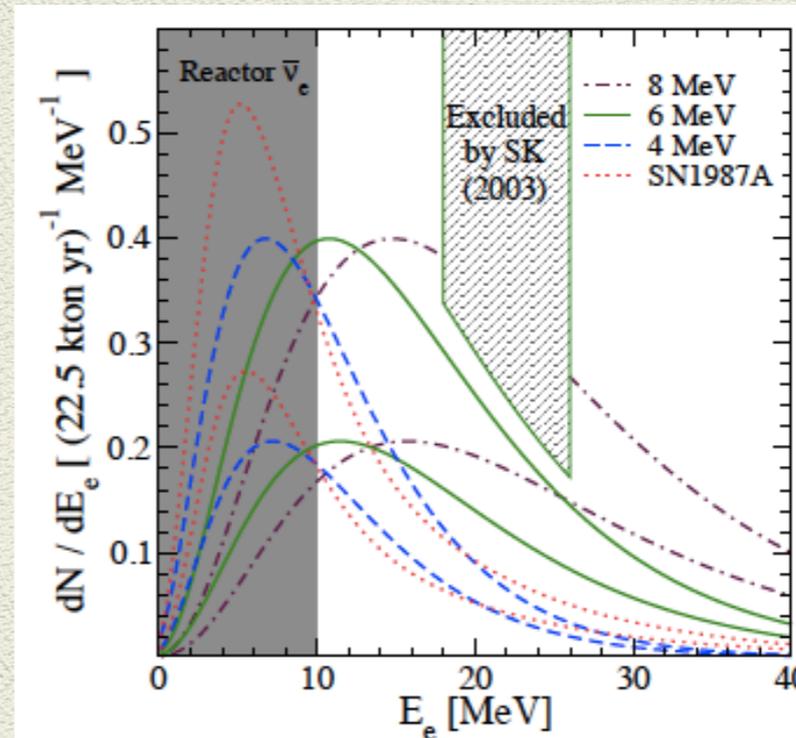


Super-Kamiokande with Gd  
 - neutron tagging (reduced backgrounds)  
 Beacom, Vagins, PRL 93 (2004)

from EGADS to **SuperK-VI+Gd (2019/20)**

Hyper-K (258 ktons) - several hundreds

Crucial measurement of the  $R_{\text{SN}}$  and the (average) neutrino fluxes

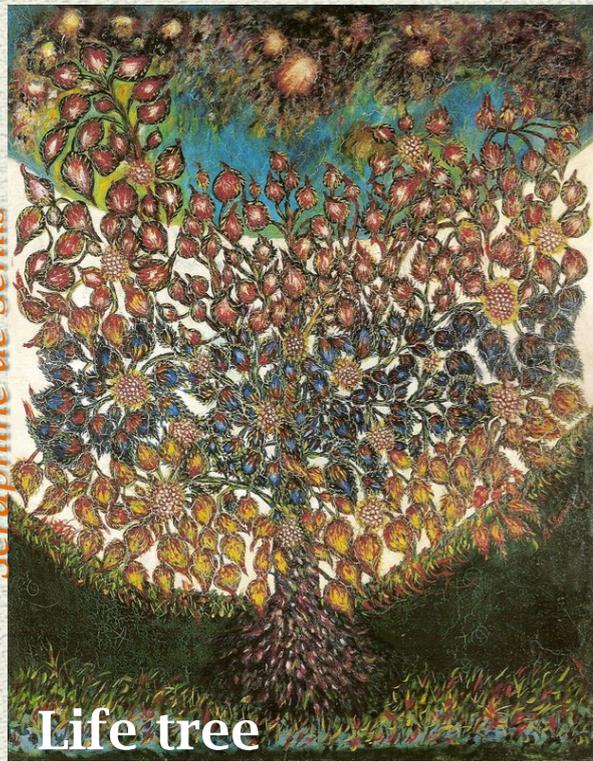


Zhang et al, Astr. Phys. 60 (2015)

Beacom, Ann. Rev. 60 (2010)

## Conclusions et Perspectives

⌘ Neutrinos and neutrino flavor evolution in dense environments important for r-process and the supernova dynamics. Future observation of a galactic supernova and diffuse supernova neutrino backgrounds.



*Theoretical description* : linearised equations, extended mean-field, many-body effects, ...

*Novel flavor mechanisms* unravelled, *fast modes*, ...



Among open issues : influence of gravity nearby compact objects, impact of collisions, ...  
For r-process, self-consistent calculations needed.