



# NOvA: Results and Prospects

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Colloquium Prague V19  
24 October 2019

In partnership with:



# Neutrino Mixing

Weak interaction acts on flavor states

$$\nu_e, \nu_\mu, \nu_\tau$$

which are quantum-mechanical superposition of mass states

$$\nu_1, \nu_2, \nu_3$$

$$|\nu_\alpha\rangle = U_{PMNS} |\nu_i\rangle, \quad \alpha = e, \mu, \tau; \quad i = 1, 2, 3$$

where  $U_{PMNS}$  is a unitary 3x3 matrix

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 1 & 1 \end{pmatrix}$$

parameterized by **3 mixing angles**

$\theta_{12}, \theta_{13}, \theta_{23}$  and one **complex**

**phase angle  $\delta$**

$$\begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$$

$$c_{13} = \cos(\theta_{13}), \quad s_{23} = \sin(\theta_{23}), \quad \text{etc.}$$

# Progress from 2 Decades of Neutrino Oscillation Measurements

Approximate  $3\sigma$  ranges from JHEP11 (2014) 052

Neutrino Mixing Matrix

$$|U_{\text{PMNS}}| \approx \begin{pmatrix} 0.80 - 0.85 & 0.51 - 0.58 & 0.14 - 0.16 \\ 0.23 - 0.52 & 0.44 - 0.70 & 0.61 - 0.79 \\ 0.25 - 0.53 & 0.46 - 0.71 & 0.59 - 0.78 \end{pmatrix}$$

Mass Splittings

$$\Delta m^2_{21} = (7.4 \pm 0.2) \times 10^{-5} \text{ eV}^2$$

[arXiv:1811.05487](https://arxiv.org/abs/1811.05487)

$$|\Delta m^2_{3l}| = (2.52 \pm 0.03) \times 10^{-3} \text{ eV}^2$$

**Neutrino mass is first laboratory measurement of physics beyond the Standard Model**

Key related questions can be addressed by long-baseline neutrino oscillation measurements.

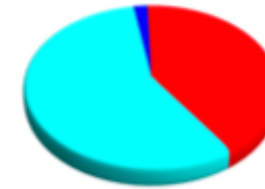
# Structure of the Mixing

- $\theta_{23}$  is near maximal, but there remain important unknowns regarding the nature of  $\nu_3$

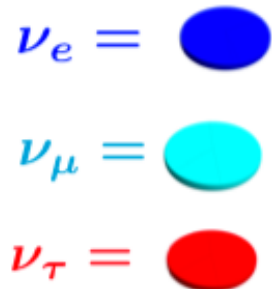
Is  $\nu_3$  more  $\nu_\tau$  than  $\nu_\mu$ ?  
 $\theta_{23}$  in lower octant ( $<45^\circ$ )



Or is  $\nu_3$  more  $\nu_\mu$  than  $\nu_\tau$ ?  
 $\theta_{23}$  in upper octant ( $>45^\circ$ )



Or is  $\nu_3$  equal  $\nu_\tau$  and  $\nu_\mu$ ?  
 $\theta_{23}$  maximal ( $=45^\circ$ )  
 *$\mu$ - $\tau$  symmetry*

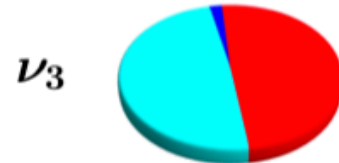


Graphics from S. Parke,  
 "Theoretical Aspects of the Quantum Neutrino circa 2025+"  
<https://indico.fnal.gov/event/16756/contribution/0/material/slides/0.pdf>

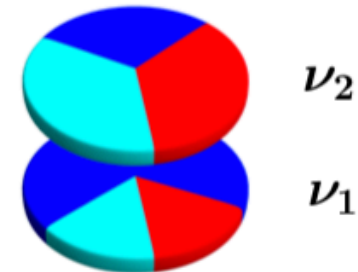
# Neutrino Mass Hierarchy (Ordering)

- Is  $\nu_3$  heavier or lighter?

Normal Hierarchy



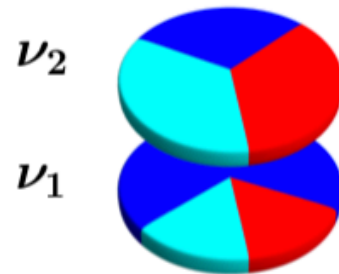
Inverted Hierarchy



$\nu_e =$  

$\nu_\mu =$  

$\nu_\tau =$  



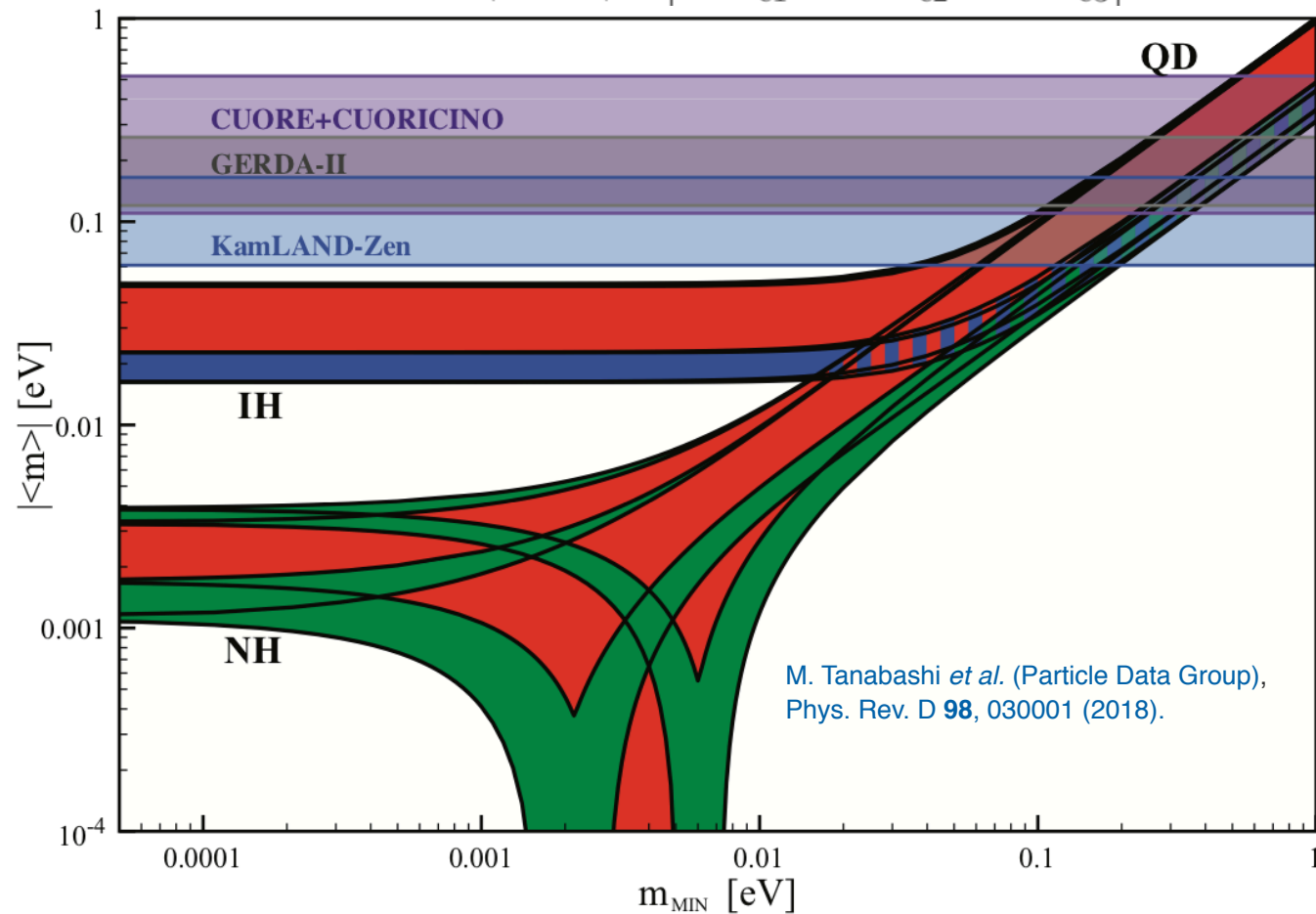
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# Neutrino Mass Hierarchy (Ordering)

- Discriminator of neutrino mass & mixing models
- Impacts interpretation of  $0\nu\beta\beta$  searches

$$|\langle m \rangle| = |m_1 U_{e1}^2 + m_2 U_{e2}^2 + m_3 U_{e3}^2|$$

⇒ Dirac vs. Majorana  
Neutrino Mass



# Charge-Parity Violation

$$U_{PMNS} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix}$$

**Phase  $\delta$  is CP-violating - changes sign for antineutrinos**

**$\sin(\delta) \neq 0 \Rightarrow$  CP violation in neutrino oscillations**

Approximate  $3\sigma$  ranges from JHEP11 (2014) 052

## Leptonic CP Violation

Mixing matrix is substantially off-diagonal

$$|U_{\text{PMNS}}| \approx \begin{pmatrix} 0.80 - 0.85 & 0.51 - 0.58 & 0.14 - 0.16 \\ 0.23 - 0.52 & 0.44 - 0.70 & 0.61 - 0.79 \\ 0.25 - 0.53 & 0.46 - 0.71 & 0.59 - 0.78 \end{pmatrix}$$

Mixing matrix is much more diagonal

$$|U_{\text{CKM}}| \approx \begin{pmatrix} 0.974 & 0.225 & 0.004 \\ 0.225 & 0.973 & 0.04 \\ 0.009 & 0.04 & 0.999 \end{pmatrix}$$

Jarlskog invariant:  
Scale of maximum CP-violating effect from the mixing

$$J = \sin(2\theta_{12})\sin(2\theta_{13})\sin(2\theta_{23})\cos(\theta_{13})\sin(\delta)/8$$

**Lepton sector:  $0 \leq |J_{\text{PMNS}}| \leq 0.03$**

**Quark sector:  $J_{\text{CKM}} \leq 0.00003$**

**Is CPV in  $U_{\text{PMNS}}$  related to the Baryon Asymmetry of the Universe**

Leptogenesis: CP-violating process created matter-antimatter asymmetry in leptons that was transferred to baryons in early universe

See, e.g., M. Drewes at Neutrino 2018, DOI:10.5281/zenodo.1287033

Michal Malinsky's talk later today



# CP violation in neutrinos is why we're here



## Colloquium Prague v19

24-25 October 2019  
 J. Heyrovsky Institute of Physical Chemistry  
 Europe/Prague timezone

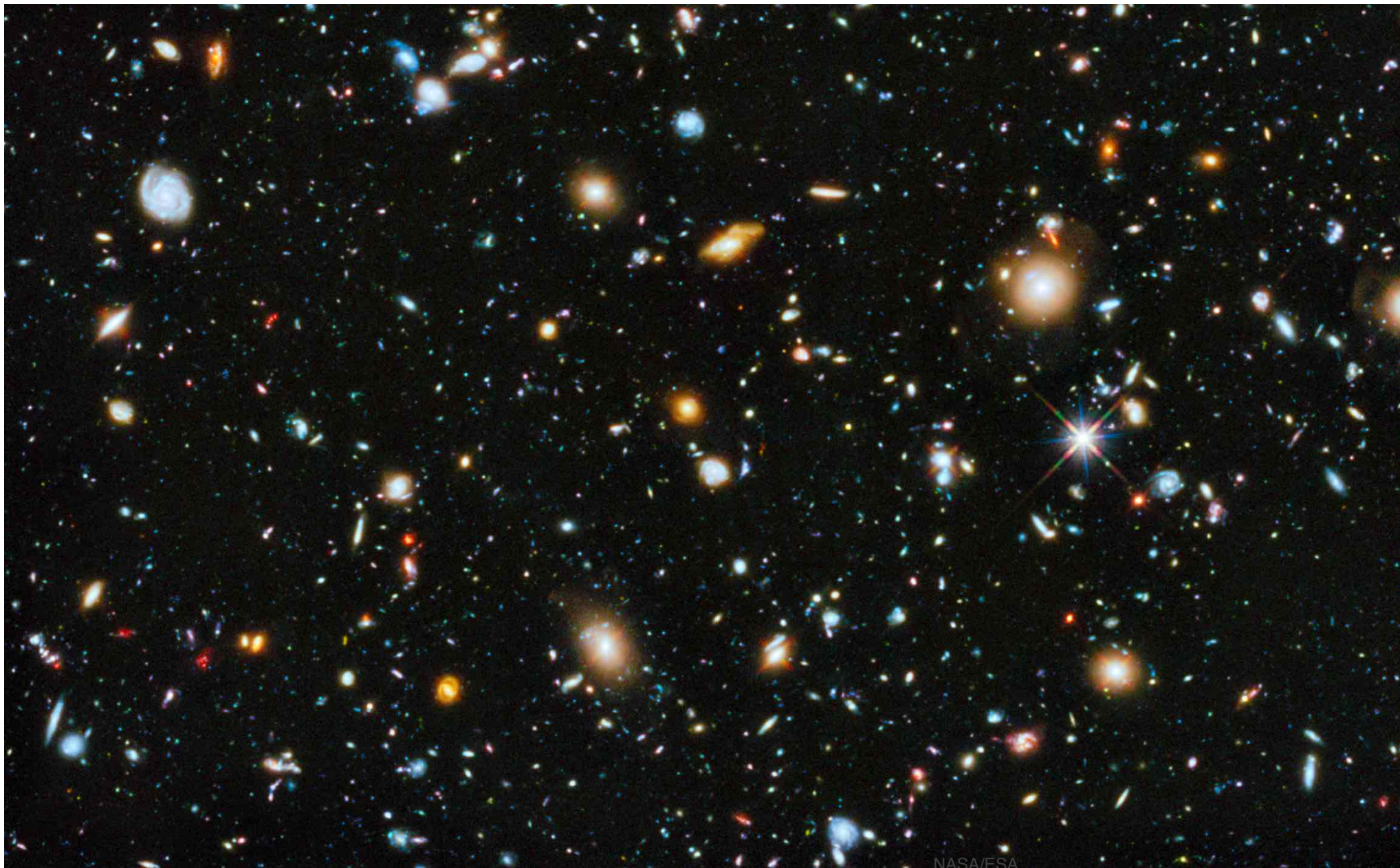
## Colloquium Towards CP violation in neutrino Physics

The aim of the Colloquium is to present a status and plans for CP violation measurement in neutrino experiments. It plans to provide an overview of recent experimental results, theoretical predictions, experiments under construction and planned experiments to measure CP violation in lepton sector. It consists of invited talks.



Petr Novák, Wikipedia <https://creativecommons.org/licenses/by-sa/2.5/deed.en>

# CP violation in neutrinos is why we're here?



# Long-baseline $\nu_\mu$ Disappearance

- Survival probability is well approximated by 2-flavor case, dominated by  $\nu_\mu \rightarrow \nu_\tau$

$$P(\nu_\mu \rightarrow \nu_\mu) \approx 1 - \sin^2(2\theta_{23}) \sin^2\left(1.27 \Delta m_{32}^2 \frac{L}{E}\right)$$

Baseline: km

Energy: GeV

- Size of effect dominated by  $\sin^2(2\theta_{23})$ 
  - measure of the degree of  $\mu$ - $\tau$  mixing in  $\nu_3$  - a large effect
- Frequency depends on  $|\Delta m^2| \cdot L/E$ 
  - $|\Delta m^2| \sim 2.5 \times 10^{-3} \text{ eV}^2$  — Oscillation Maximum  $\sim 1.6 \text{ GeV}$  at 810 km
- Note the degeneracies
  - $\theta_{23} \leftrightarrow 45^\circ - \theta_{23}$  — no sensitivity to the Octant of  $\theta_{23}$
  - $\Delta m^2 \leftrightarrow -\Delta m^2$  — no sensitivity to Mass Hierarchy
  - No  $\sin(\delta)$  dependence due to CPT symmetry — no sensitivity to CP Violation

## Long-baseline $\nu_e$ Appearance

- $P(\nu_\mu \rightarrow \nu_e) \cong |\sqrt{P_{\text{Atm}}} + \sqrt{P_{\text{Sol}}}|^2$

Leading term  $P_{\text{Atm}} = \sin^2\theta_{23} \sin^2 2\theta_{13} \frac{\sin^2[(A-1)\Delta]}{(A-1)^2}$   $\Delta = \Delta m^2_{31} L / 4E$

$\sin^2(\theta_{23}) \sim 0.5$ ,  $\sin^2(2\theta_{13}) = 0.086$ , so  $\nu_\mu \rightarrow \nu_e$  is subdominant:  $P_{\text{Max}} \sim 0.05$

$\sin^2(\theta_{23})$  breaks the  $\theta \rightarrow 45^\circ - \theta$  degeneracy of  $\nu_\mu \rightarrow \nu_\mu$   
**Sensitivity to the Octant of  $\theta_{23}$**

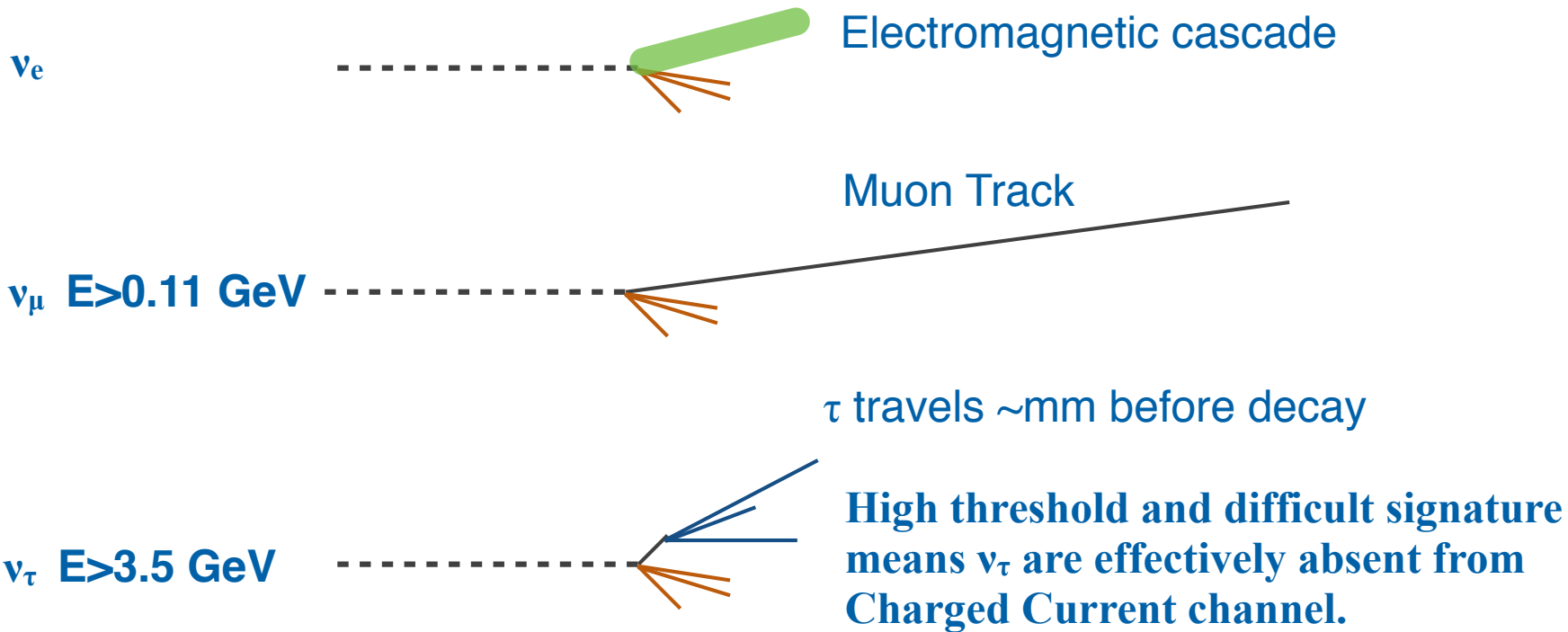
$A = \pm \sqrt{2} G_F N_e 2E / \Delta m^2_{31}$  is Matter Effect: potential shift for  $\nu_e$  flavor from electrons in matter. + for neutrinos, - for antineutrinos. **Proportional to  $\Delta m^2_{31}$**   
**Sensitivity to Mass Hierarchy**

Interference term  $\sqrt{P_{\text{Atm}}} \sqrt{P_{\text{Sol}}} + \sqrt{P_{\text{Atm}}} \sqrt{P_{\text{Sol}}}$  depends on  $J \sim \sin(\delta)$   
**Sensitivity to CP Violation**

# Neutrino Signatures at the GeV Scale

## Charged Current

Outgoing charged lepton tags neutrino flavor



## Neutral Current

No flavor information

Outgoing neutrino carries unknown energy



# NOvA

- Measure  $\nu_{\mu} \rightarrow \nu_{\mu}$ ,  $\nu_{\mu} \rightarrow \nu_e$ ,  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{\mu}$ ,  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$ , for neutrinos and antineutrinos
  - Mass Hierarchy, Octant/Maximal Mixing, CP Violation
  - Search for phenomena outside 3-flavor mixing framework
    - Sterile Neutrinos
- Measure for sub-dominant ( $P \sim 0.05$ )  $\nu_{\mu} \rightarrow \nu_e$ ,  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e$  with sensitivity to Matter Effect ( $\pm 19\%$ ) and CP violation ( $-22\% \dots +22\%$ )
  - Powerful neutrino and antineutrino beam
  - Large Detector, location optimized for Mass Hierarchy and background suppression
  - Detector Technology Optimized for  $\nu_e$  Detection
- Non-oscillation topics
  - Neutrino cross-sections
  - Non-beam-neutrino studies
    - Supernova neutrinos, Exotic phenomena: Dark Matter, Magnetic Monopoles

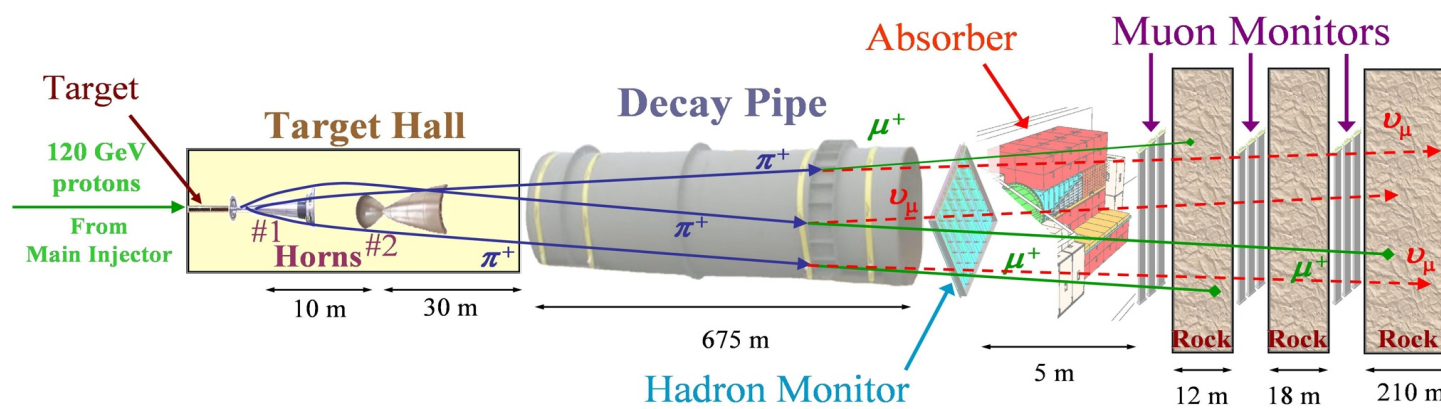
# NOvA Collaboration



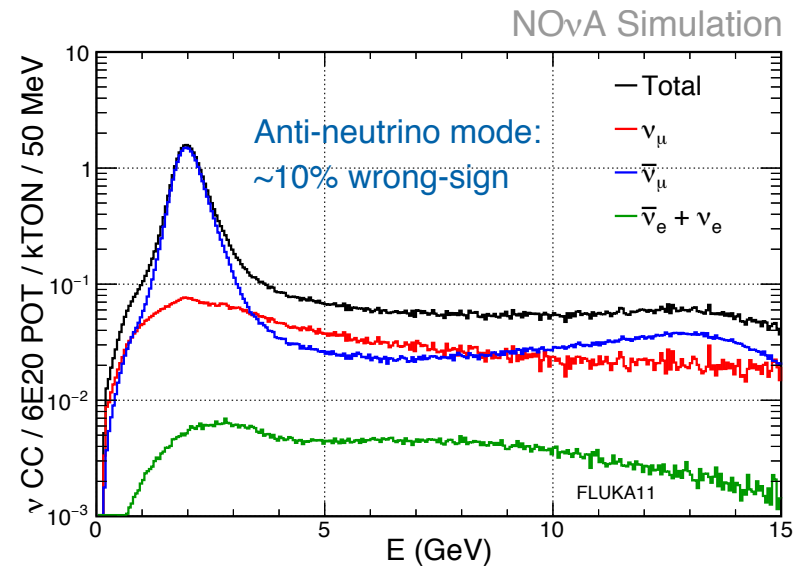
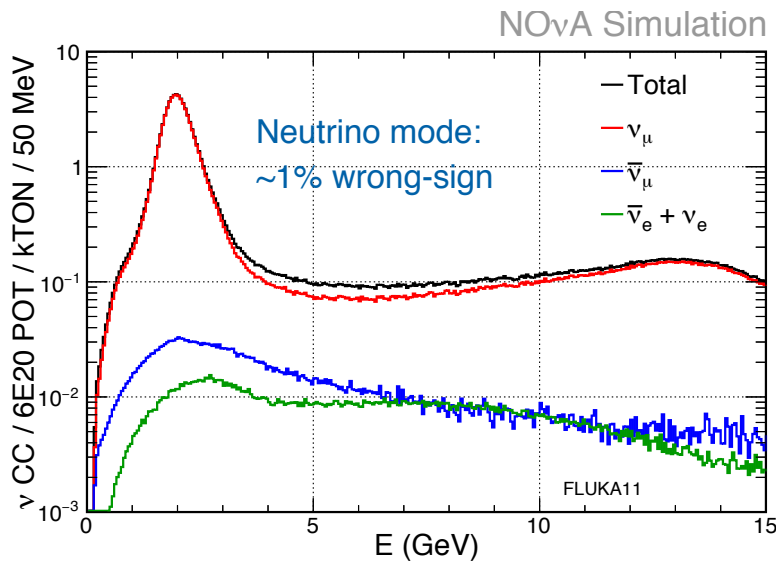
- 200 Collaborators from 48 institutions in 7 countries.
- 24 Remote Operations Centers worldwide.

# NuMI Beam

- 700 kW design power:  $O(10^6)$   $\nu$  delivered to Far Detector every 1.33 seconds



- $\nu$  and  $\bar{\nu}$  beam modes selected by polarity of focusing horn current
- High purity  $\nu_\mu$  content



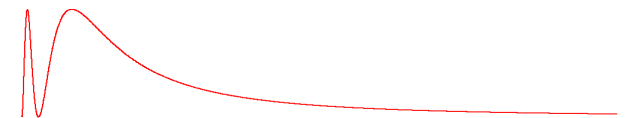
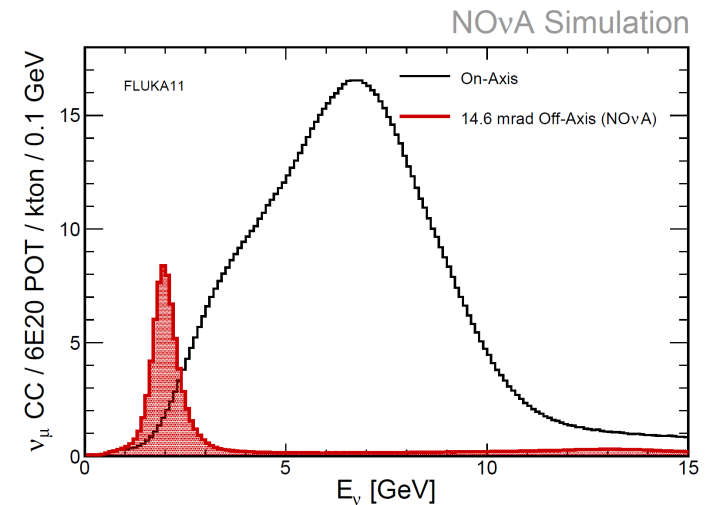
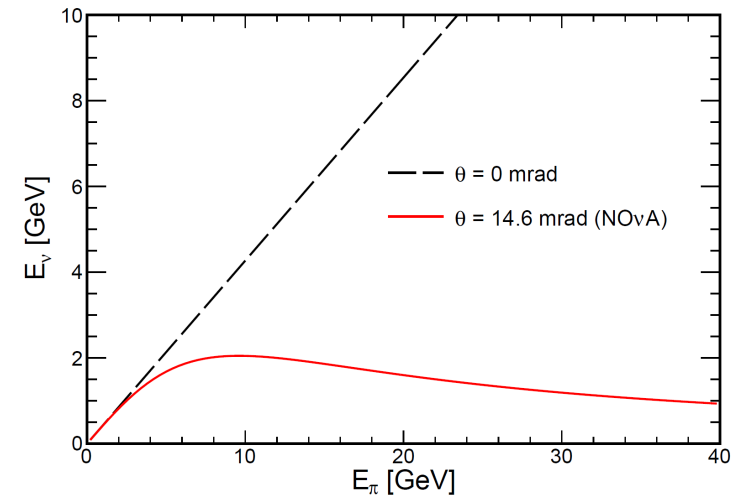


# Detector Location

- 14 mrad (11km) off the NuMI beam axis
  - pion 2-body decay kinematics

$$E_\nu = \frac{0.43 E_\pi}{1 + \gamma^2 \theta^2}$$

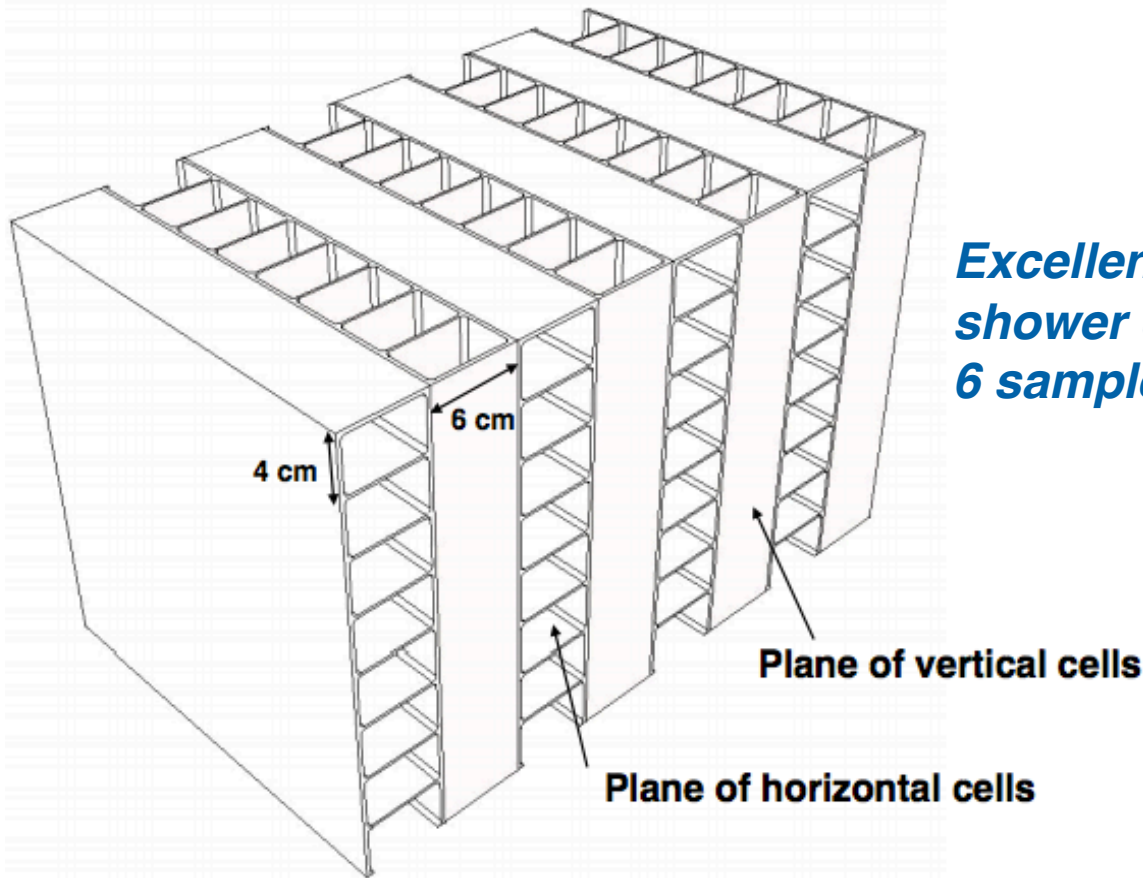
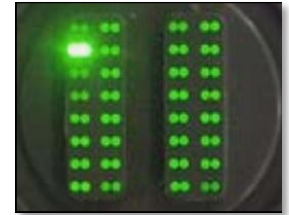
- Neutrino spectrum peaks near 1st oscillation maximum
- High energy tail is suppressed:  
reduced Neutral Current  $\pi^0$  backgrounds
- As far as possible from Fermilab for maximum matter effect  $\Rightarrow$  Sensitivity to Mass Hierarchy



# NOvA Detector Technology

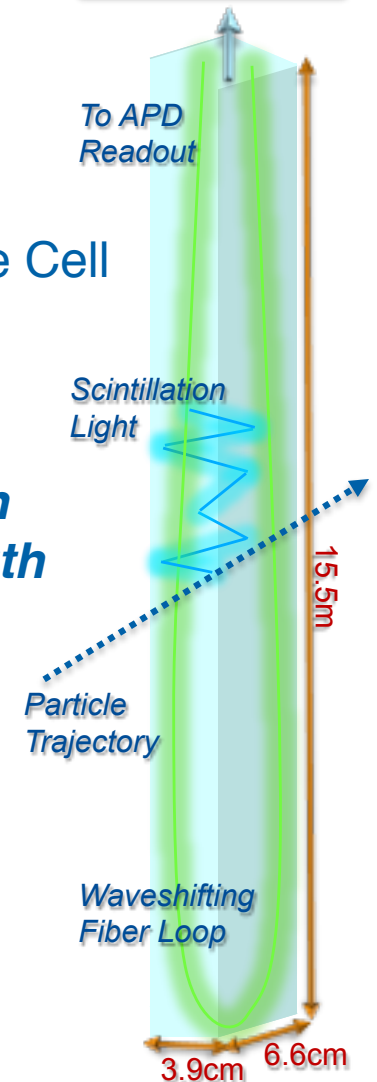
- Low-Z Tracking Calorimeters for  $\nu_e$  sensitivity
  - PVC Cell Structure Filled with Liquid Scintillator
    - Mineral Oil + 5% pseudocumene

32 cells read out into 1 Avalanche PhotoDiode



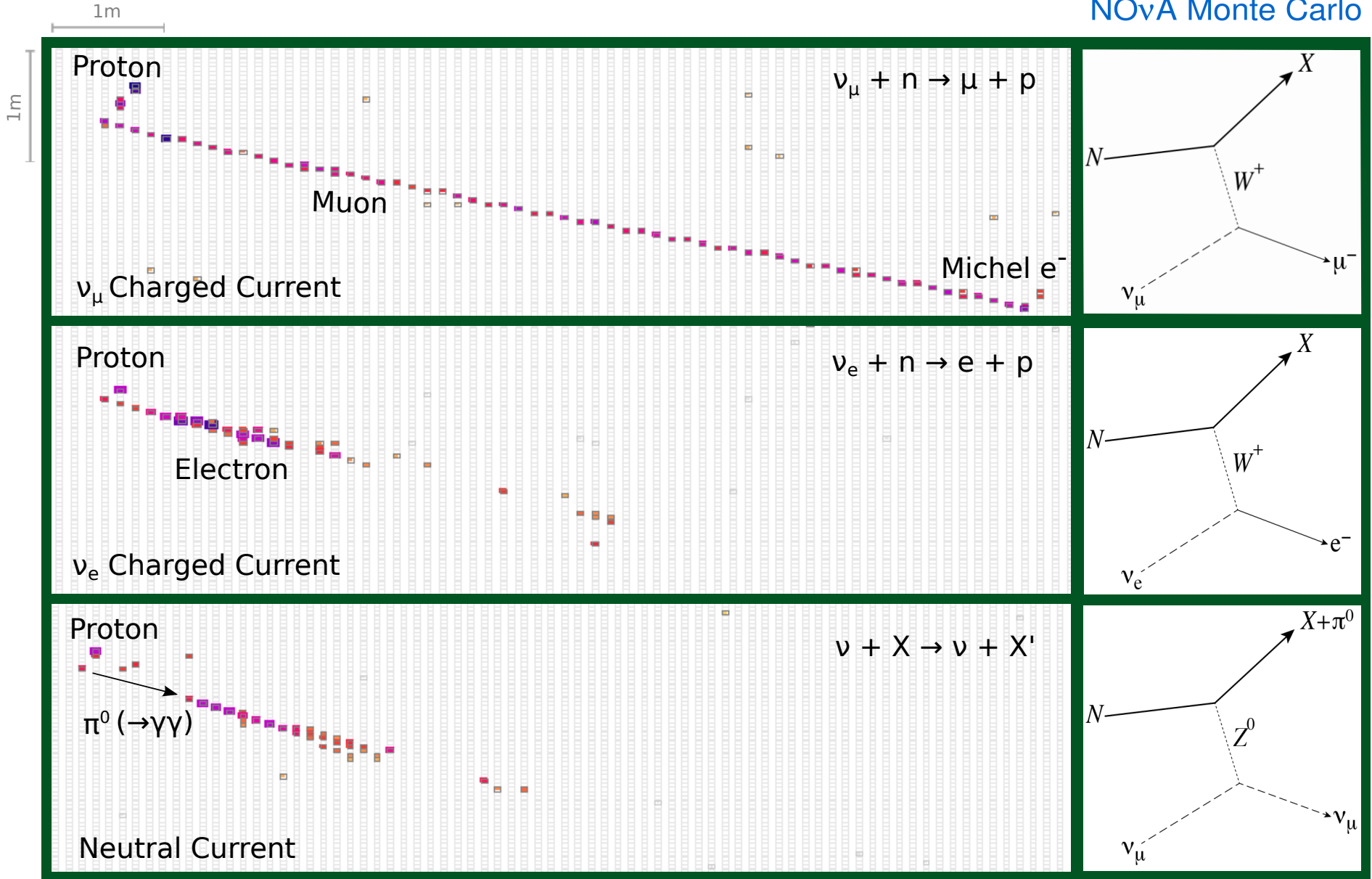
**Excellent electromagnetic shower characterization with 6 samples per radiation length**

Single Cell



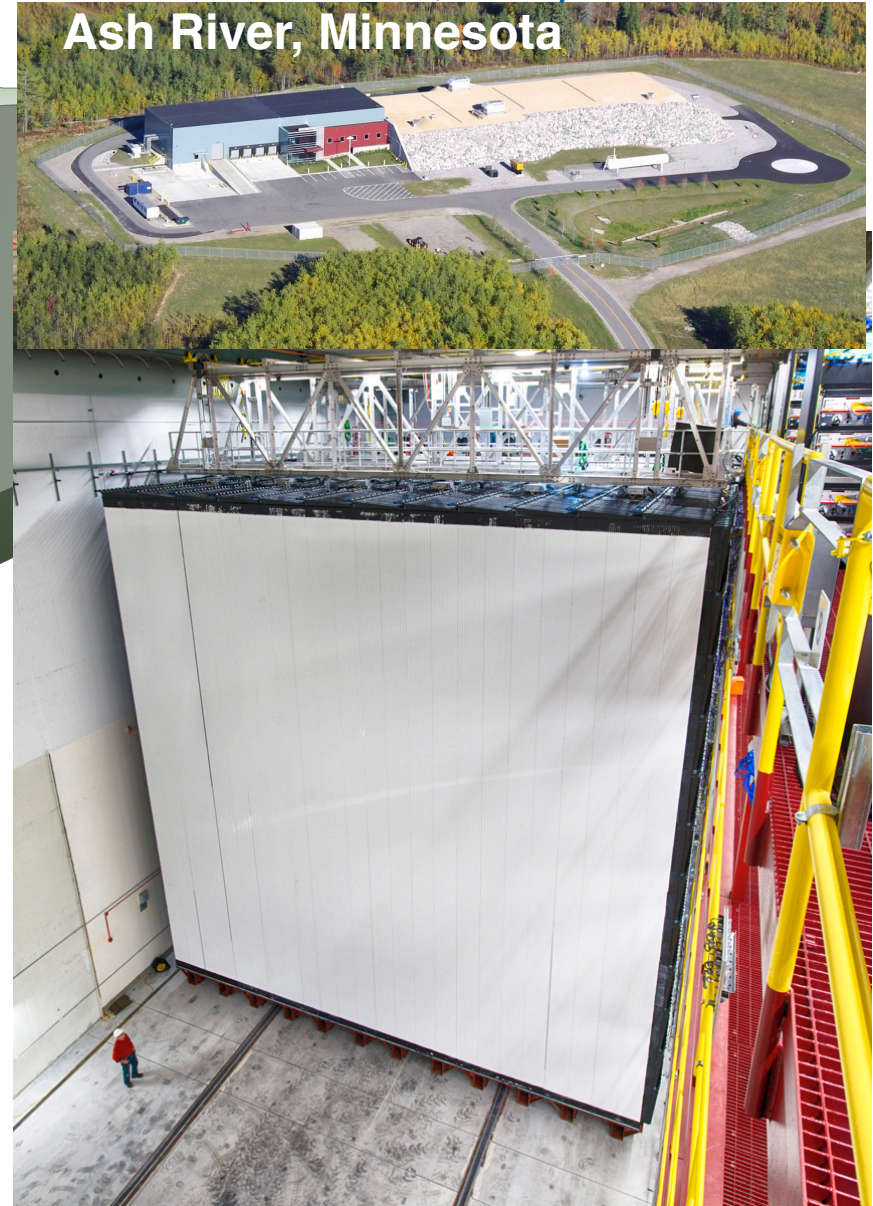
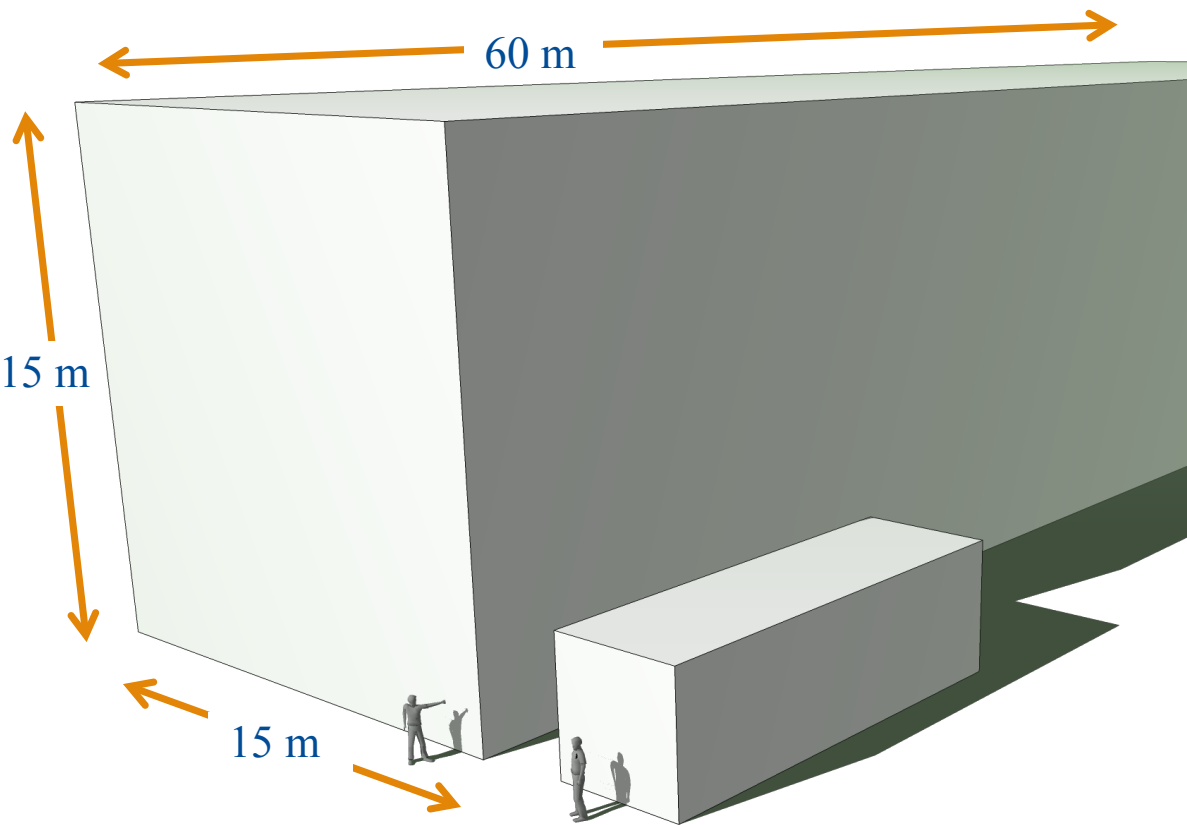
# NOvA Detector Design

NOvA Monte Carlo



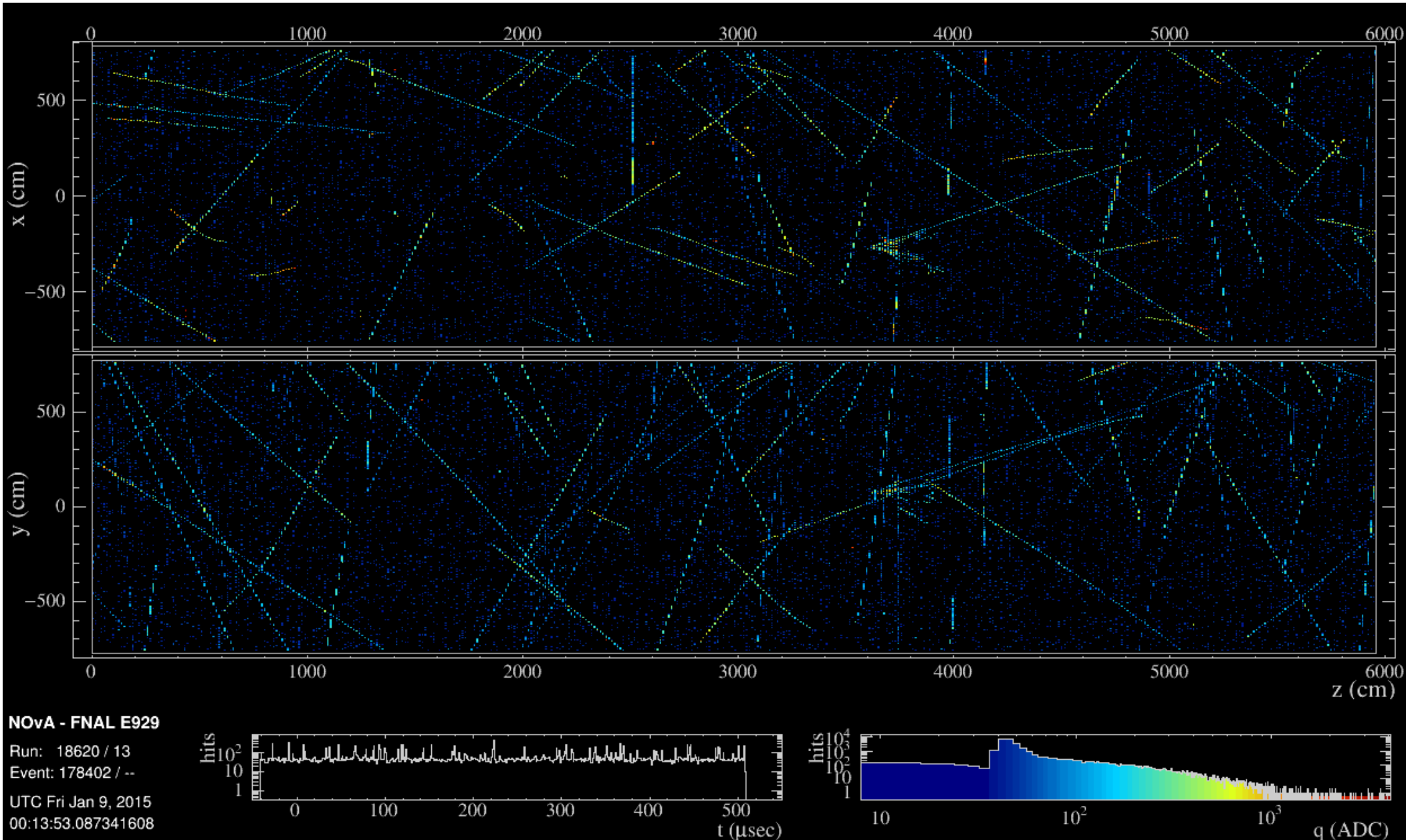
# NOvA Detectors

- Far Detector
  - 14 kt, 896 planes

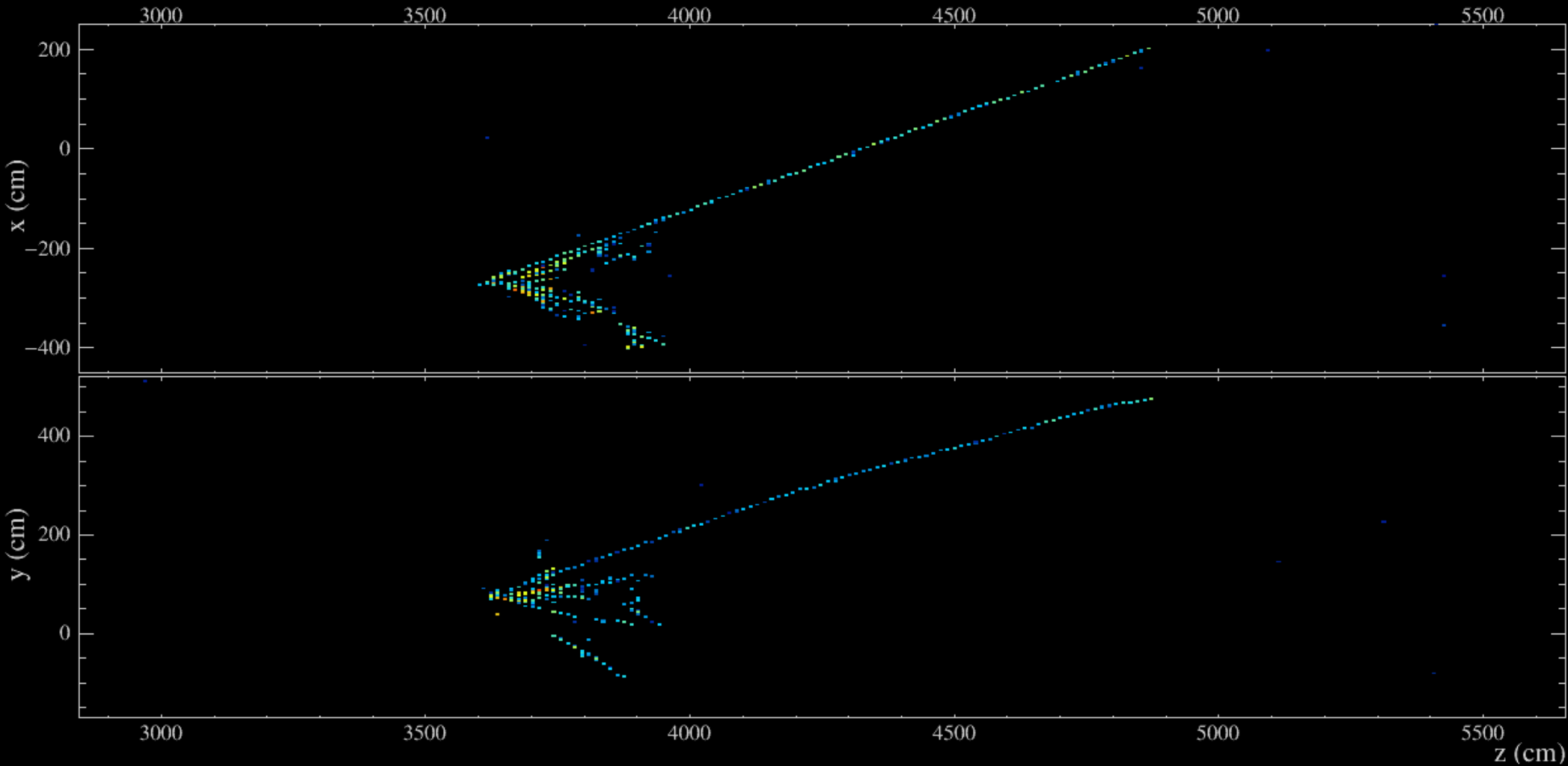


- Near Detector at Fermilab
  - 293 tons, including muon catcher
  - used to measure neutrino beam flavor and energy spectrum before oscillations

# Far Detector - 550 $\mu$ s NuMI Beam Spill Window



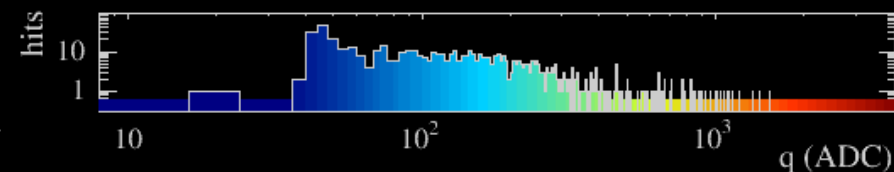
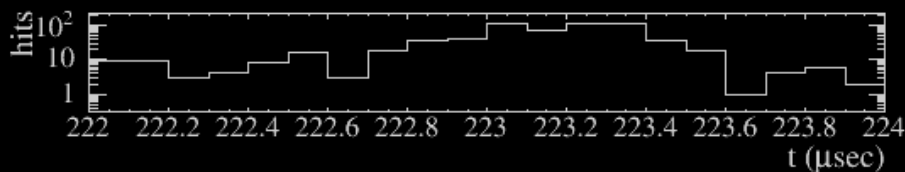
# Zoom-in: $\nu_\mu$ candidate event



## NOvA - FNAL E929

Run: 18620 / 13  
Event: 178402 / --

UTC Fri Jan 9, 2015  
00:13:53.087341608



# Data-Taking since 2014

Far Detector Beam Exposure To Date: Protons-on-target (POT) to NuMI

11.1x10<sup>20</sup> (14 kt-equivalent) POT Forward Horn Current (neutrino beam)

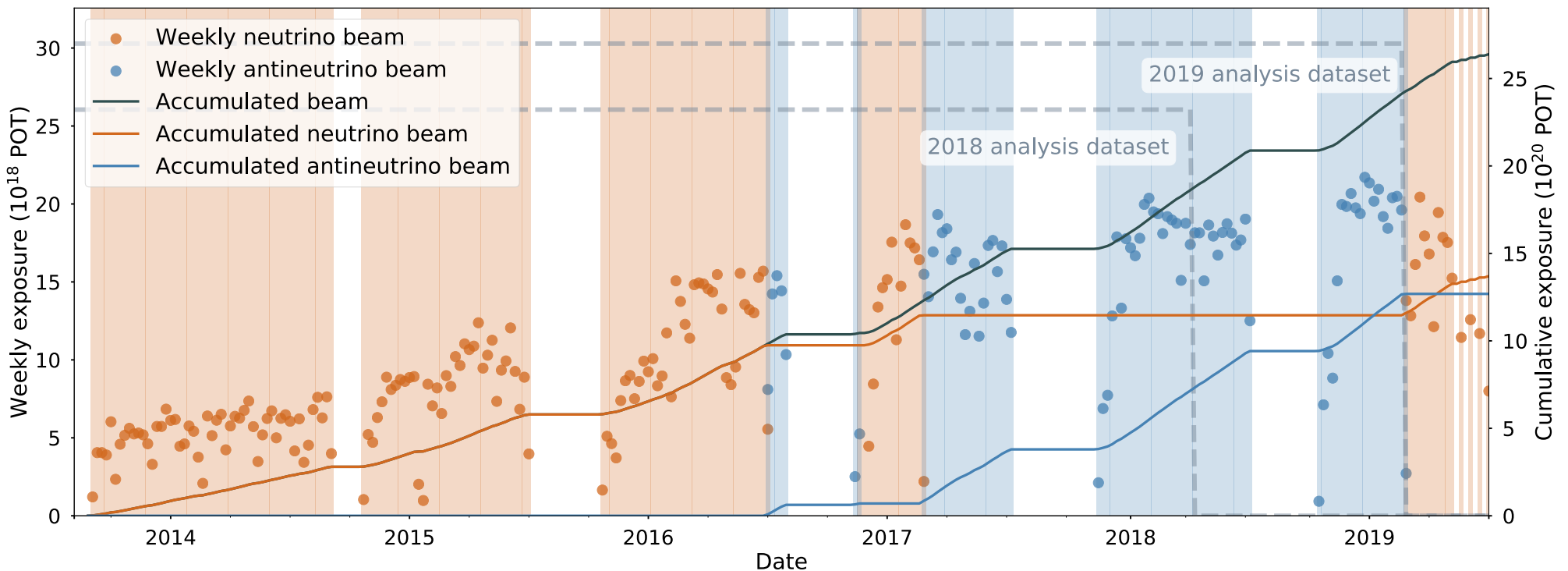
*8.85x10<sup>20</sup> POT Forward Horn Current used in most recent analysis*

12.7x10<sup>20</sup> POT in Reverse Horn Current (antineutrino beam)

*All 12.7x10<sup>20</sup> POT Reverse Horn Current used in most recent analysis*

FY19: Far Detector recorded data for 99.1% of 5.56x10<sup>20</sup> POT delivered to NuMI

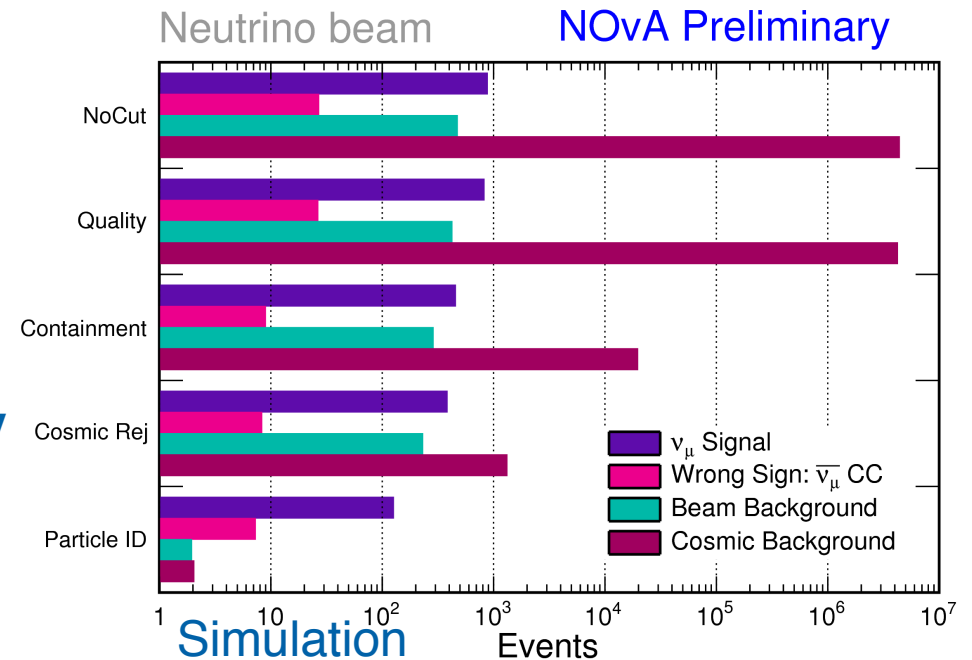
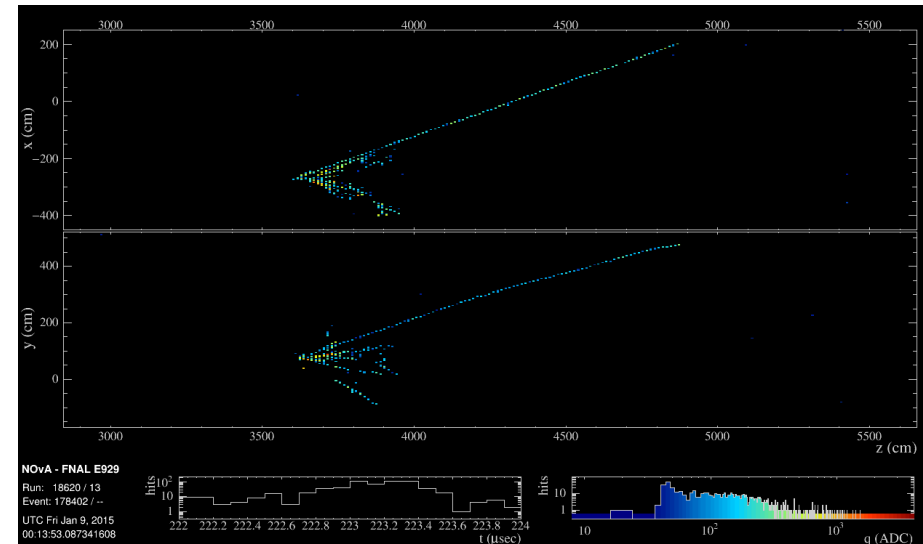
756 kW hourly beam power record achieved



# Event Reconstruction and Selection Overview

- Basic quality & containment cuts
  - activity away from edges
- Identify Lepton, Hadronic Recoil
- Energy  $E_v = E(E_l, E_{Had})$
- Deep Learning-based identification of  $\nu_e$ ,  $\nu_\mu$  CC signals, NC and Cosmic-Ray backgrounds
- Further cosmic-ray background rejection
  - Lepton characteristics, location, direction

**6 orders of magnitude reduction is cosmic ray background**

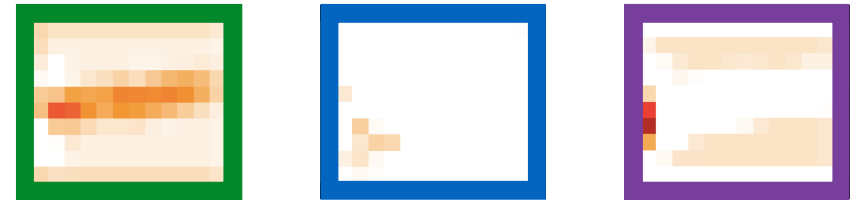




# One Step in the Analysis: Event Selection

- Computer vision-based deep learning algorithm for identification of neutrino events by flavor.

- Convolutional Neural Net - CNN



- NOvA version - CVN

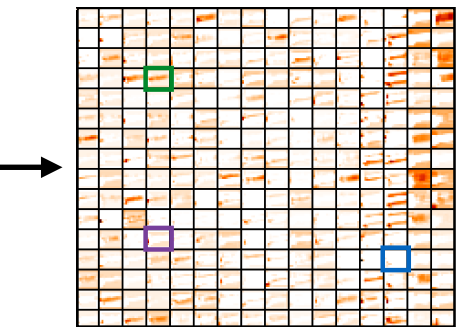
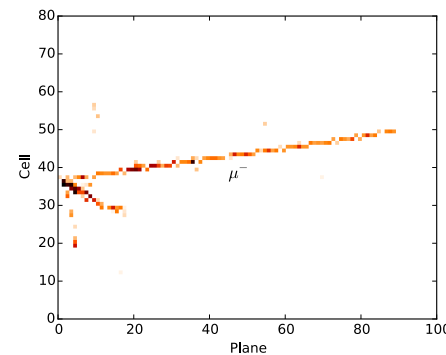
- Based on GoogLeNet

- Uses calibrated event hit maps as input.

- Development of “Feature Maps” is part of the training

- Led to improvement for NOvA 2016 analysis equivalent to 30% increase in exposure

Input hit map



Feature Maps at an early convolutional layer

## Pioneering use of CNNs in Particle Physics

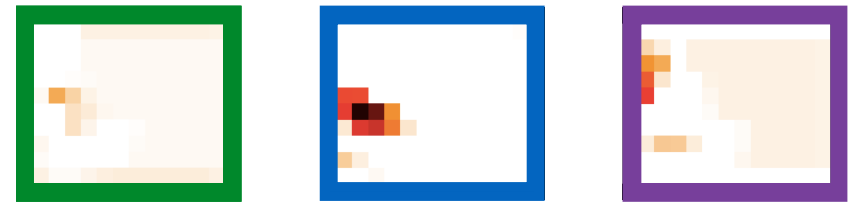
“A Convolutional Neural Network Neutrino Event Clas

2016 JINST 11 P09001

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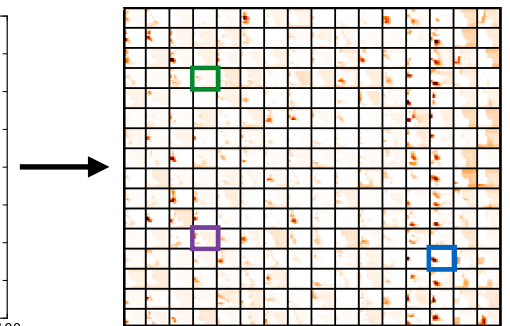
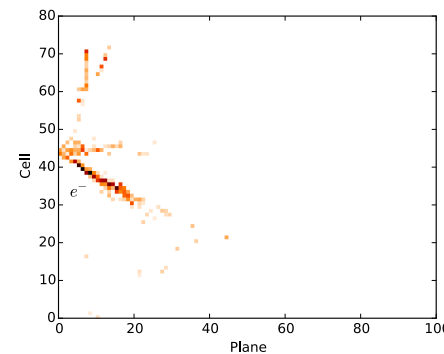
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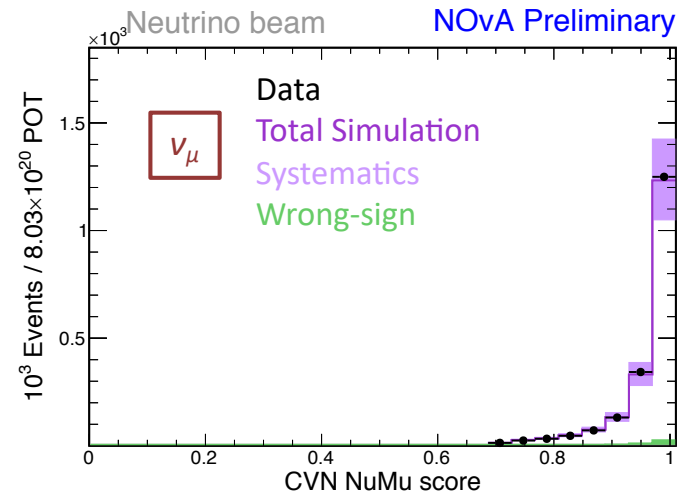
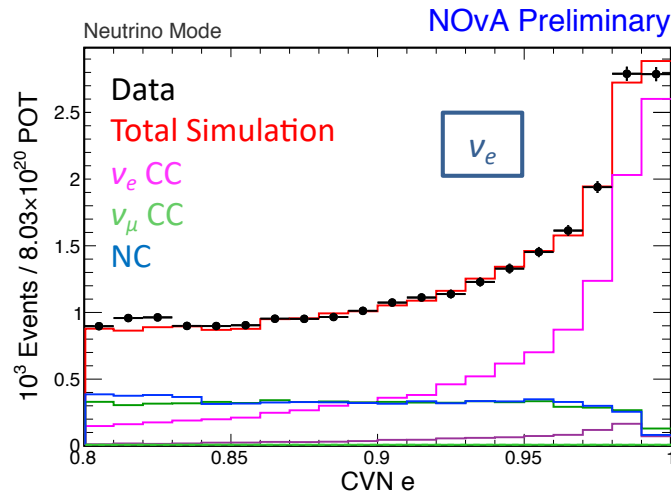
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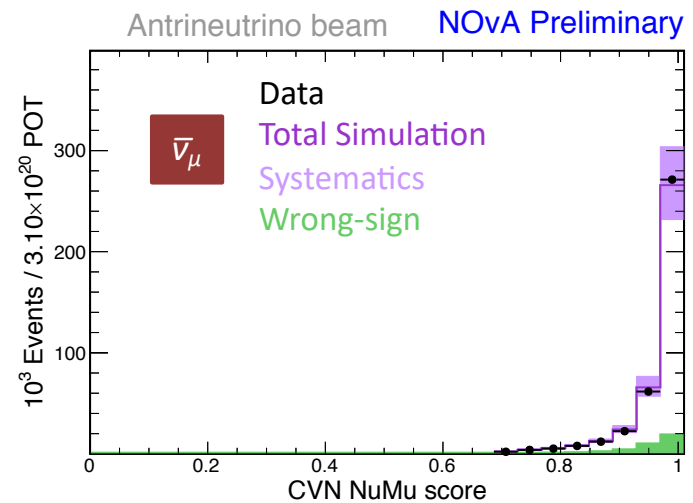
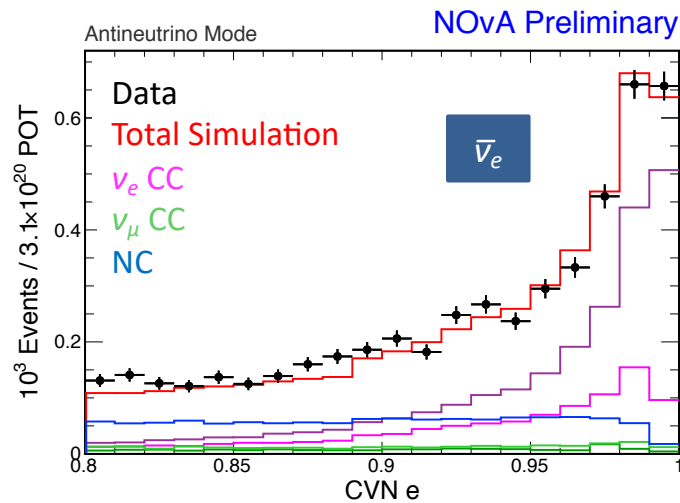
2016 JINST 11 P09001

# CVN Neutrino Flavor ID - Data and Simulation in Near Detector

Neutrino

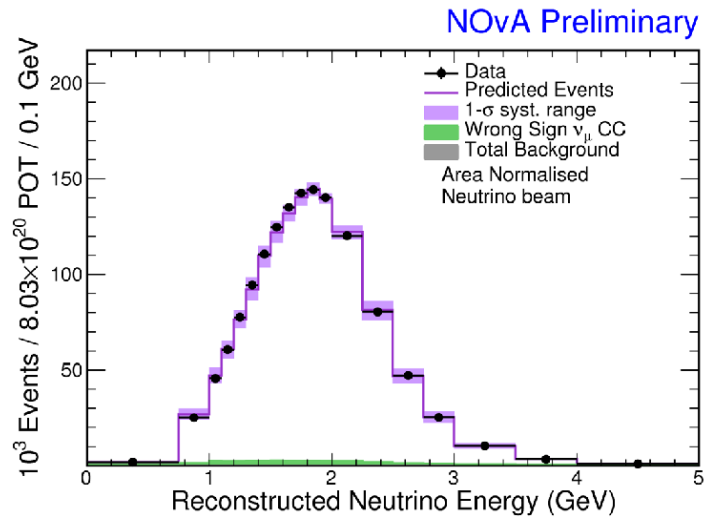


Anti-Neutrino



# Use of the Near Detector Data

Near Detector

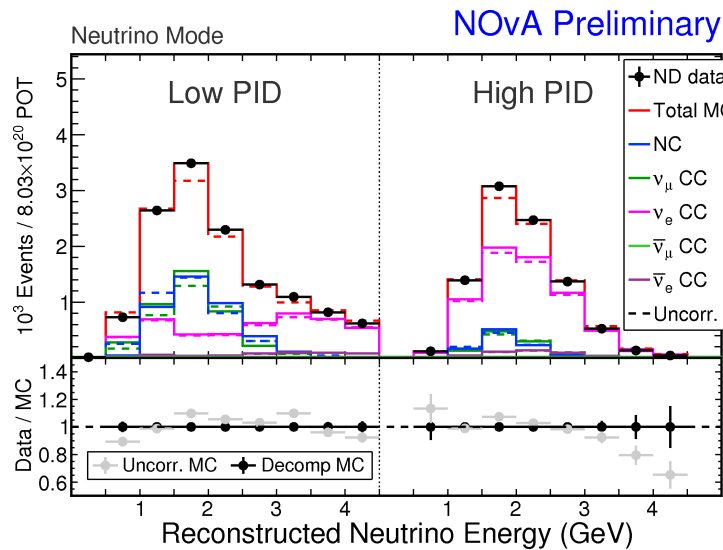
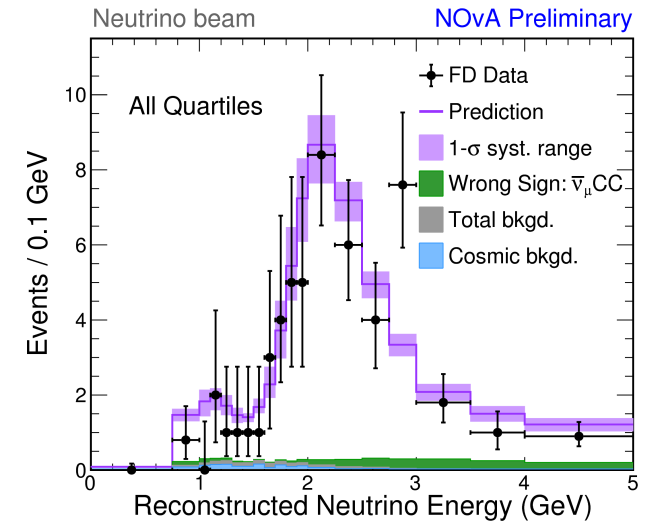


ND  $\nu_\mu$  Observation improves FD  $\nu_\mu$  Signal Prediction

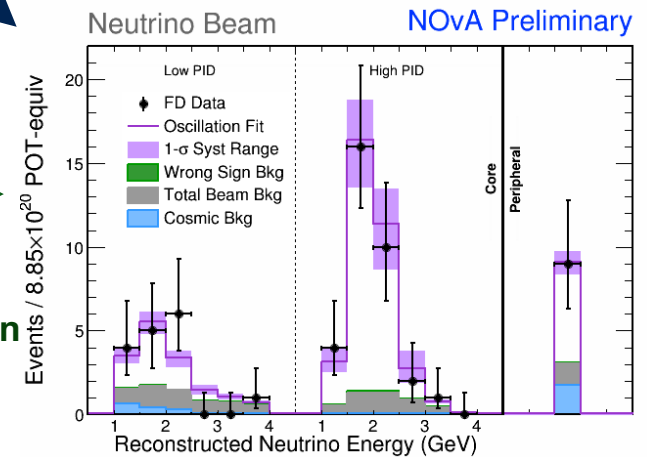
Adjusted in 4 quartiles of hadronic energy

ND  $\nu_\mu$  Observation improves FD  $\nu_\mu \rightarrow \nu_e$  Signal Prediction

Far Detector

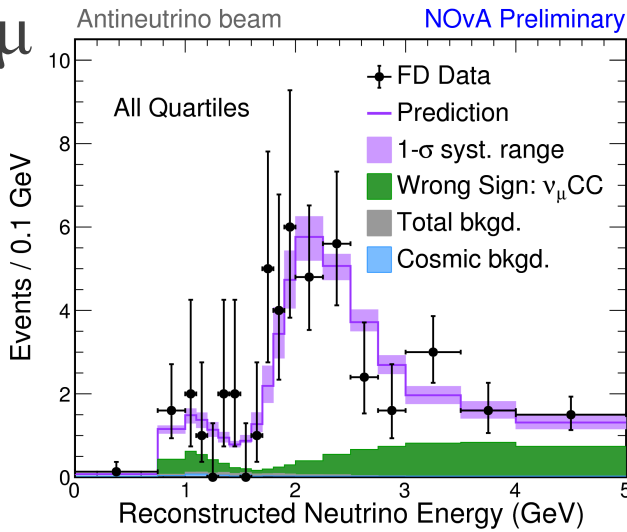
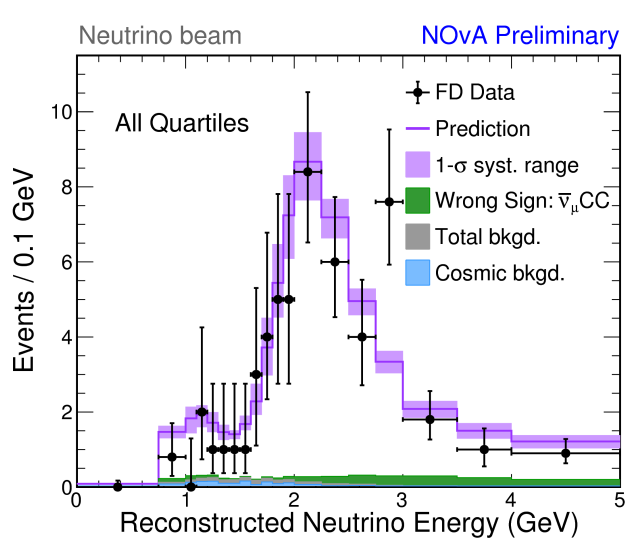


ND " $\nu_e$ " Observation improves FD  $\nu_e$  Background Prediction

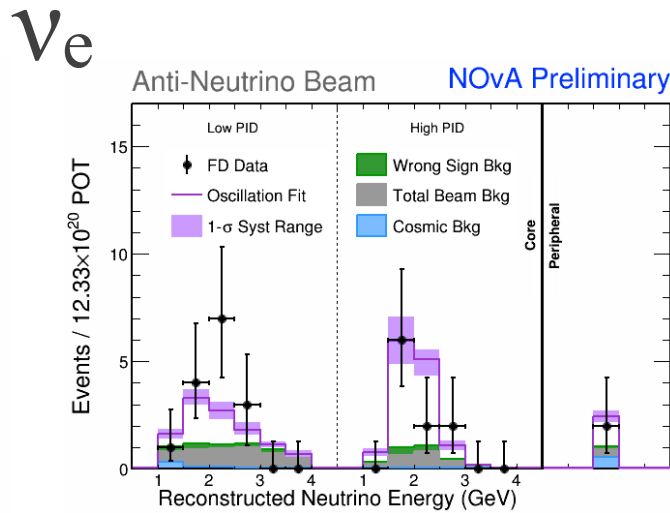
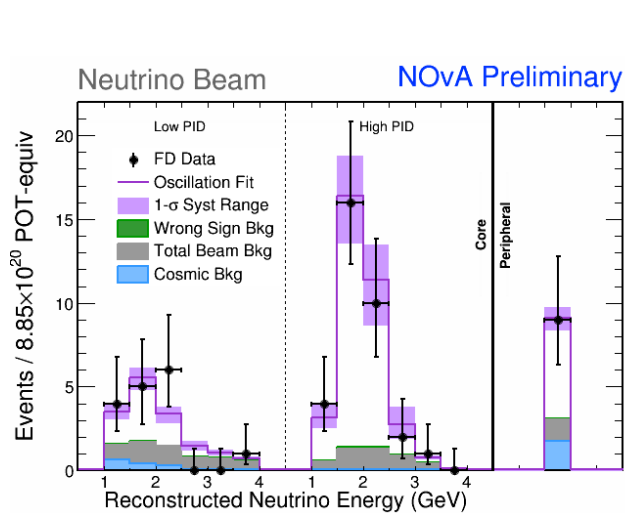


Example of neutrino mode - similar for antineutrinos

# Far Detector Data and Oscillation Fit



	$\nu$	$\bar{\nu}$
<b>Observed</b>	113	102
<b>Best Fit</b>	124	96
<b>Signal</b>	120(11)	93.9 (8)
<b>Background</b>	4.2(0.5)	2.2(0.4)
<b>No Oscillation</b>	730	476



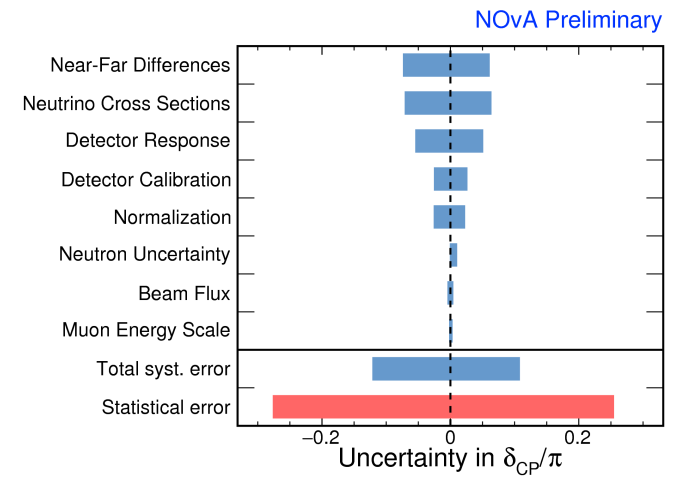
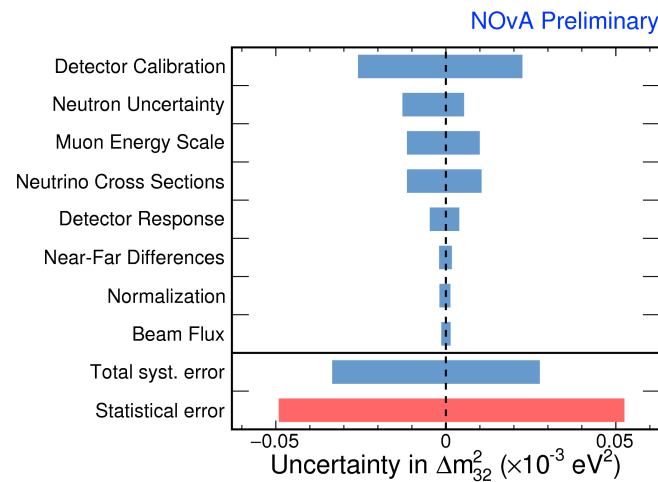
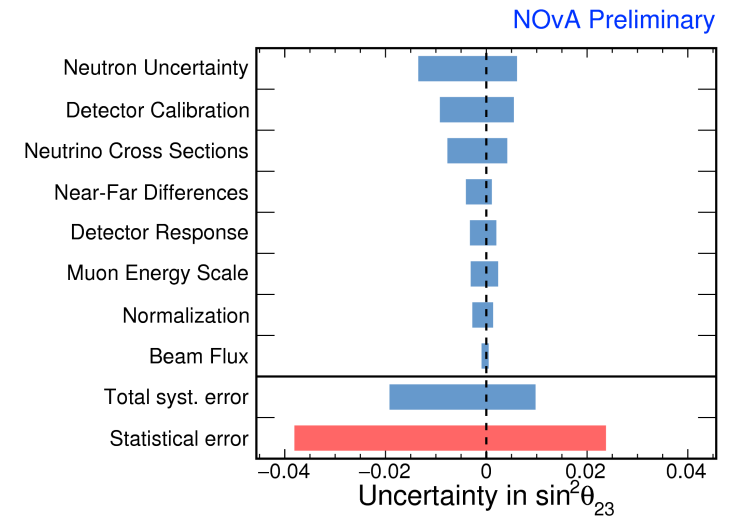
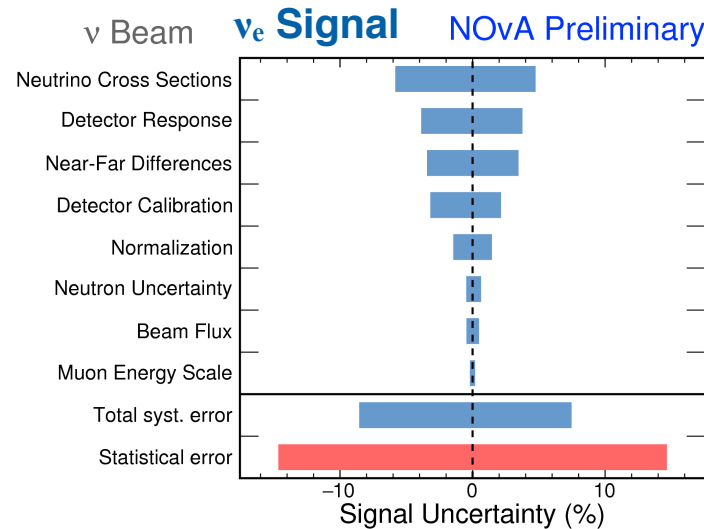
	$\nu$	$\bar{\nu}$
<b>Observed</b>	58	27
<b>Best Fit</b>	59.3	26.8
<b>Signal</b>	44.3(3.8)	16.6(1)
<b>Background</b>	15.0(0.9)	10.3(0.6)
<b>(Wrong Sign)</b>	0.6	2.2

Note: uncertainties () are approximate  
see arXiv:1906.04907 for full table

# Systematic Uncertainties

Systematic uncertainties are evaluated by modifying simulation throughout analysis chain.

Most significant uncertainties compared to the statistical uncertainty are **Cross-sections, calibration, detector response, acceptance effects.**

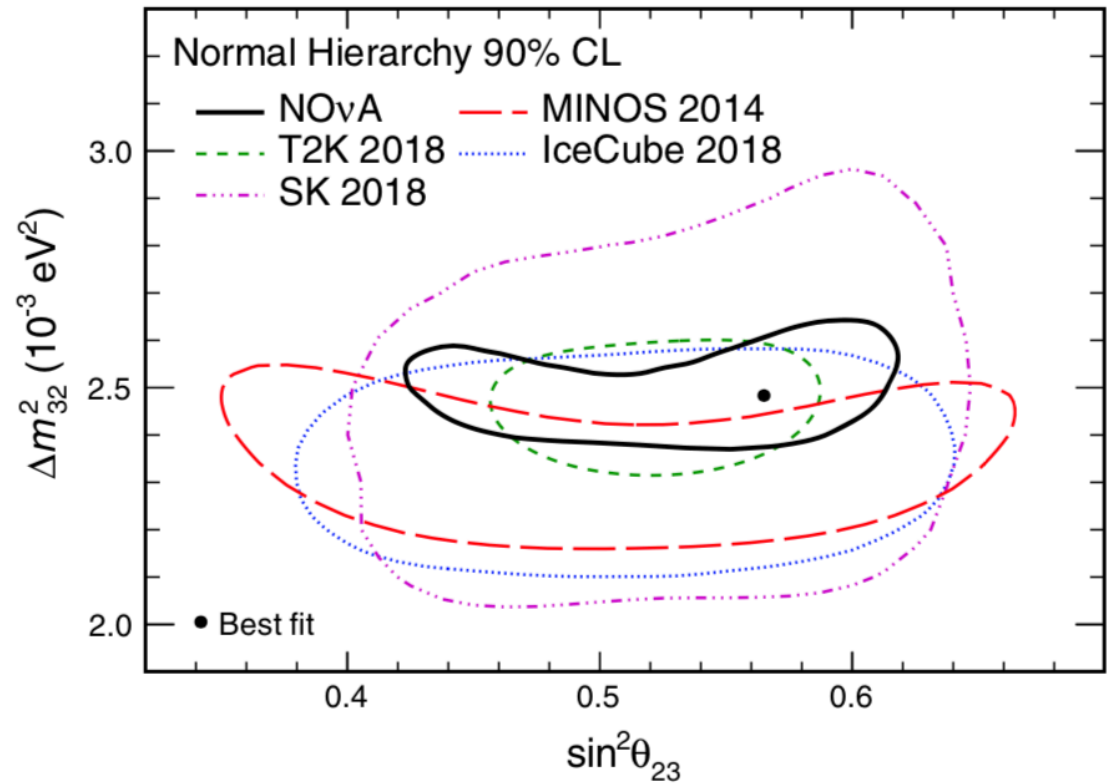


# Oscillation Parameters from Joint fit to data

Feldman-Cousins Contours

Best fit (with reactor  $\theta_{13}$  constraint):

- $\Delta m^2_{32} = (+2.48^{+0.11}_{-0.06}) \times 10^{-3} \text{ eV}^2$
- $\sin^2(\theta_{23}) = 0.56^{+0.04}_{-0.03}$  (upper octant)
- Previous slight tension ( $p=0.04$ ) between disappearance fit in neutrino and antineutrino beams has resolved with more statistics



# Oscillation Parameters from Joint fit to data

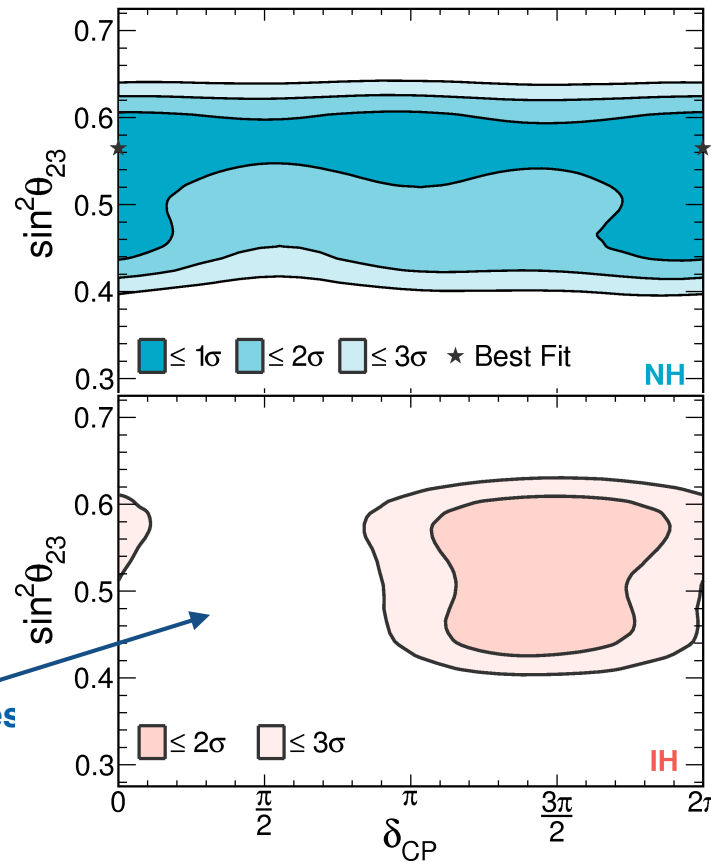
NOvA Preliminary

Feldman-Cousins Contours

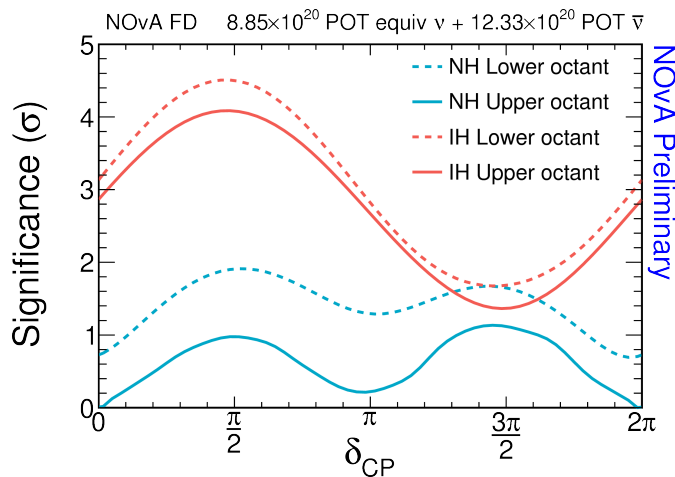
Best fit (with reactor  $\theta_{13}$  constraint):

- $\Delta m^2_{32} = (+2.48^{+0.11}_{-0.06}) \times 10^{-3} \text{ eV}^2$
- $\sin^2(\theta_{23}) = 0.56^{+0.04}_{-0.03}$  (upper octant)
- Previous slight tension ( $p=0.04$ ) between disappearance fit in neutrino and antineutrino beams has resolved with more statistics

Large slice of  $\delta_{CP}$  values disfavored at  $> 3\sigma$  for all  $\theta_{23}$  values in IH



- Normal Hierarchy
- $\delta_{CP}/\pi = 0.0^{+1.3}_{-0.4}$



Profiling over all other parameters

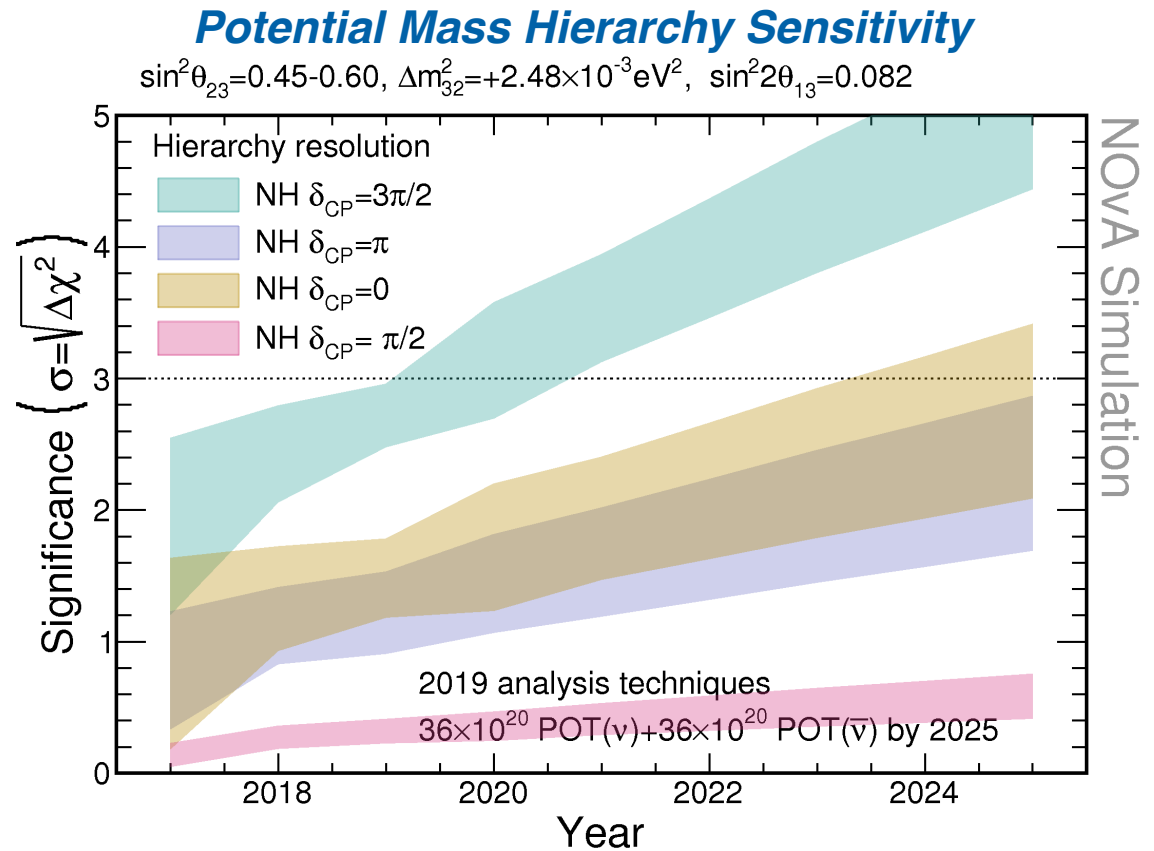
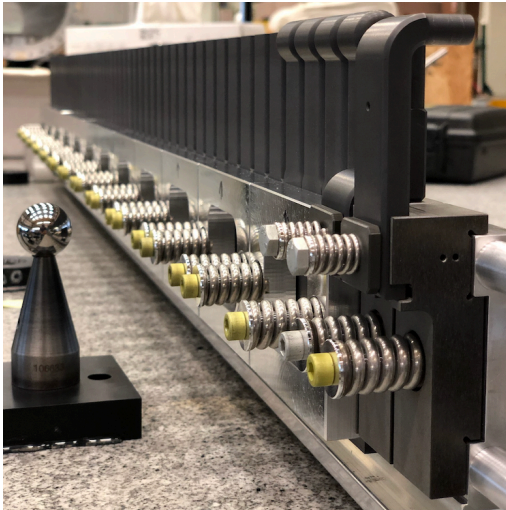
- Normal Hierarchy preferred at  $1.9\sigma$
- Upper  $\theta_{23}$  Octant preferred at  $1.6\sigma$
- Within Normal MH, Upper Octant, all values of  $\delta_{CP}$  compatible at  $1.1\sigma$





# A Look Ahead

- NOvA will run until 2025
- Projections assuming  $72 \times 10^{20}$  protons-on-target
- Beam Improvements
  - 1 MW-capable target recently installed will allow up to 770 kW this year



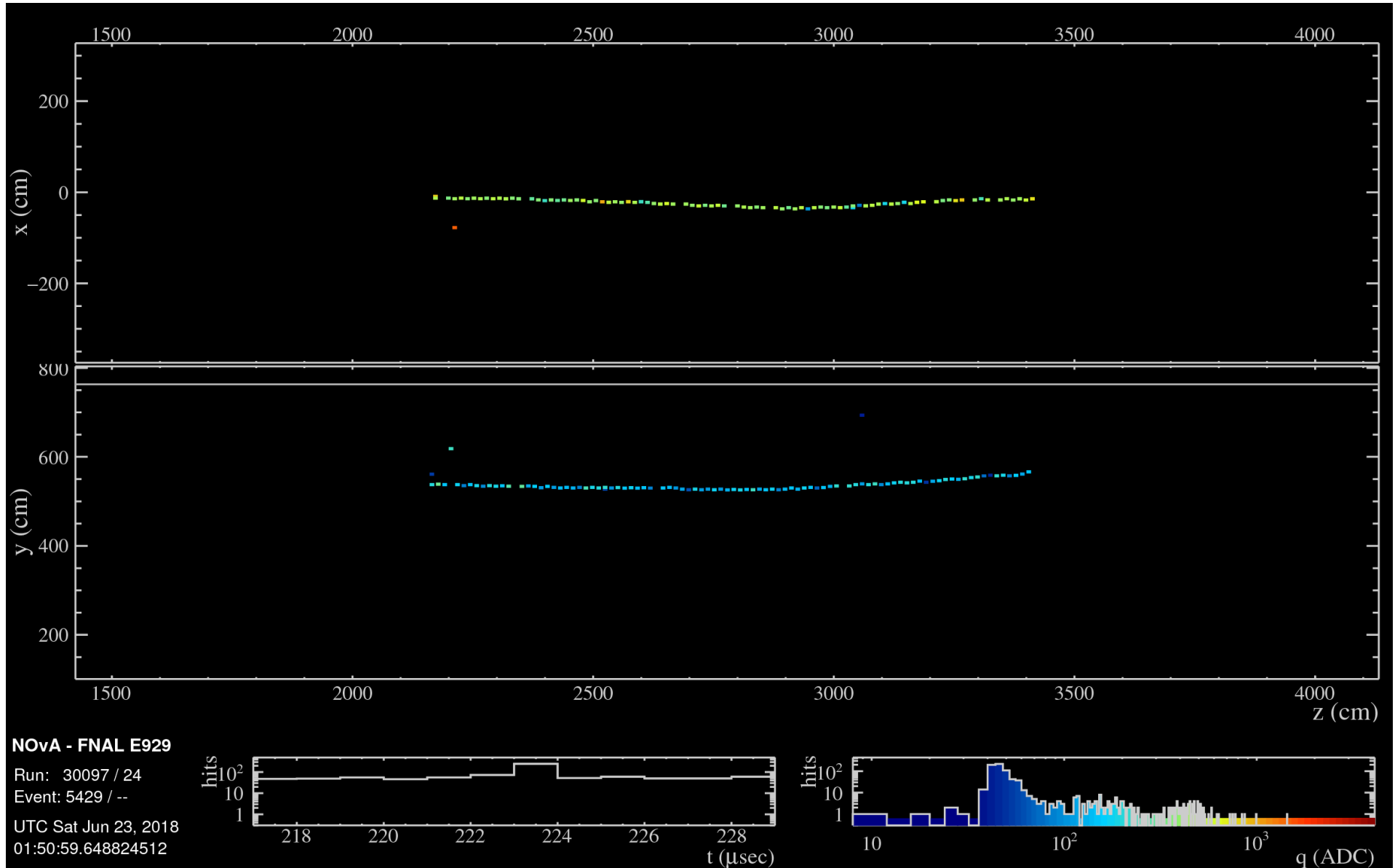
- Further improvements to target system expected next year will allow beyond 800 kW.
- Planned reduction of losses in 8 GeV Booster may enable beyond 900 kW by 2022/3.
- Ongoing Joint Analysis Effort with T2K
  - Maximize the benefit of the experiments' complementarities.

# Thank You



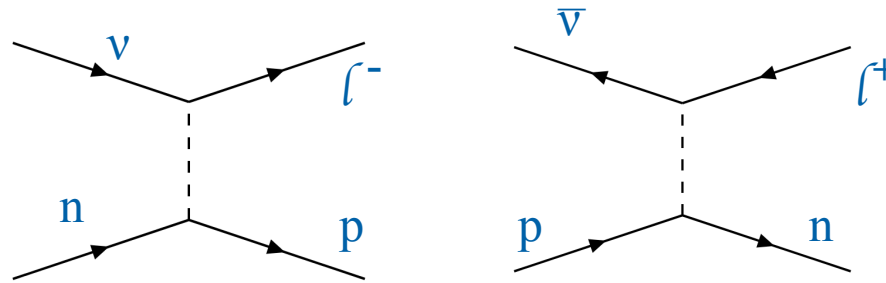
## More details

# Candidate antineutrino interaction with neutron

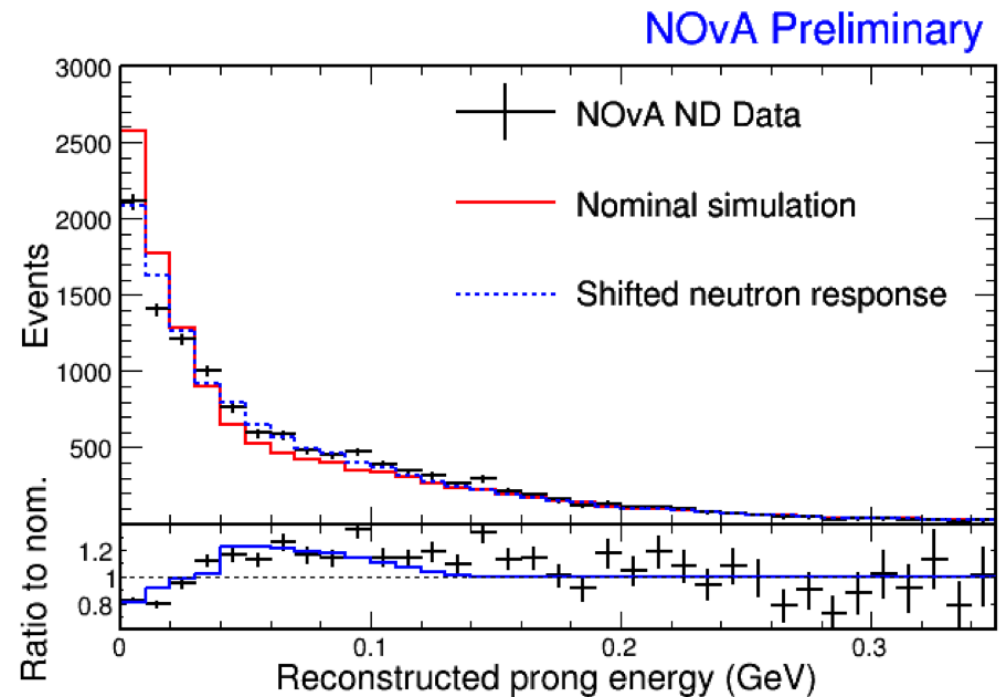


# Neutron Systematic

- Antineutrino interactions produce neutrons.



- Energy distribution of neutron candidates predicted in quasi-elastic  $\bar{\nu}_\mu$  events
- Current evaluation of uncertainty
  - Scale lower energy neutron-induced energy depositions to improve data-simulation match.
  - Shifts average  $\bar{\nu}_\mu$  energy by 0.5% (1%)
- More recent studies with a more general neutron selection indicate a smaller uncertainty may be appropriate.
  - Investigations continue.

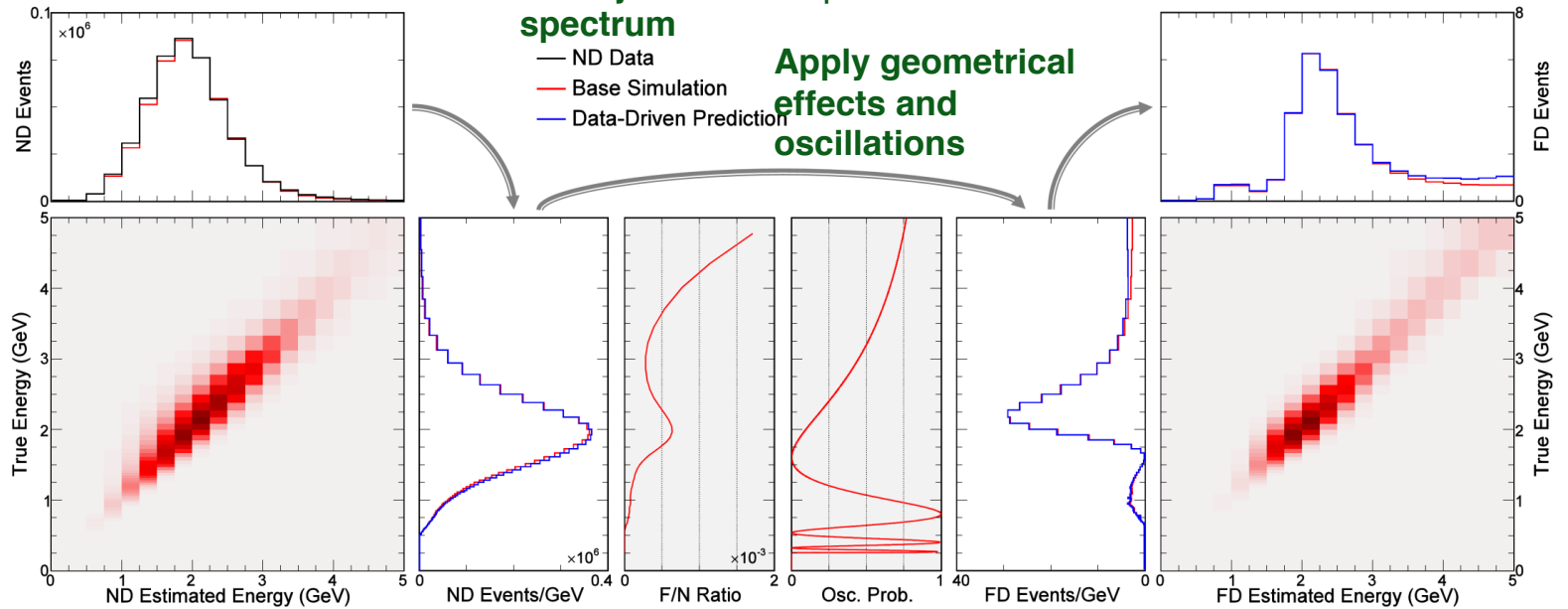


# Predicting the Far Detector Spectra

## Signal

Compare observed and simulated Near Detector  $\nu_\mu$  Charged Current Interaction Spectrum

Blind Analysis: examine Far Detector Data only after all systematic studies are complete

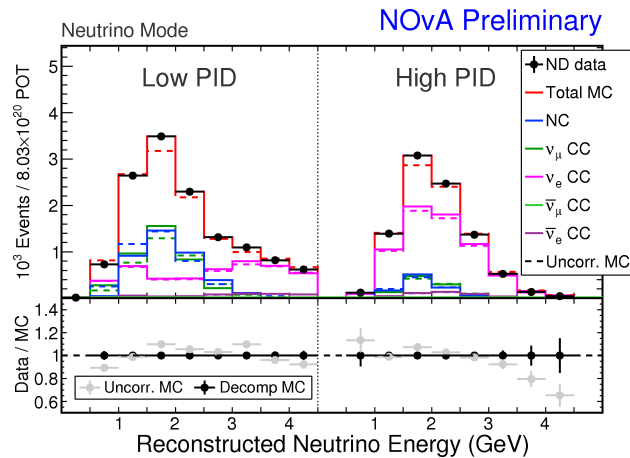


## Backgrounds

### $\nu_e$ Beam Backgrounds

Tune Near Detector beam  $\nu_e$  prediction using  $\nu_\mu$  constraints on parent  $\pi$ , K yields, Michel electron multiplicity distributions for NC,  $\nu_\mu$

Single scale factor for  $\bar{\nu}_e$



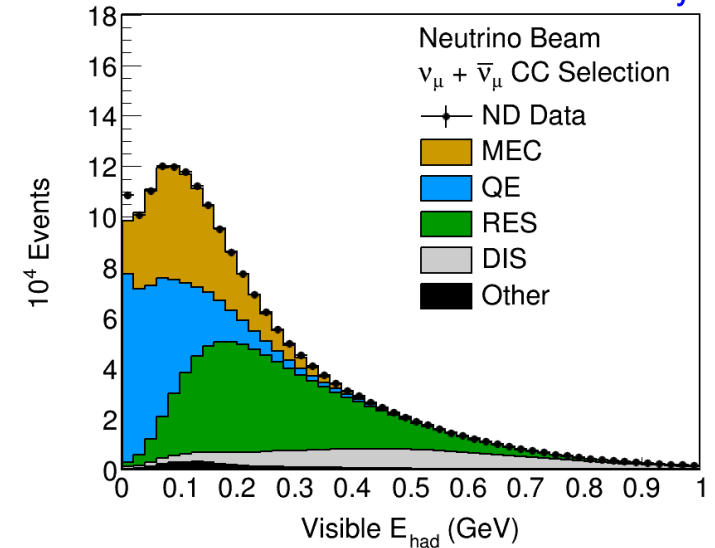
### Cosmic Rays

Data-driven, using copious beam trigger time sidebands and random pulser triggers

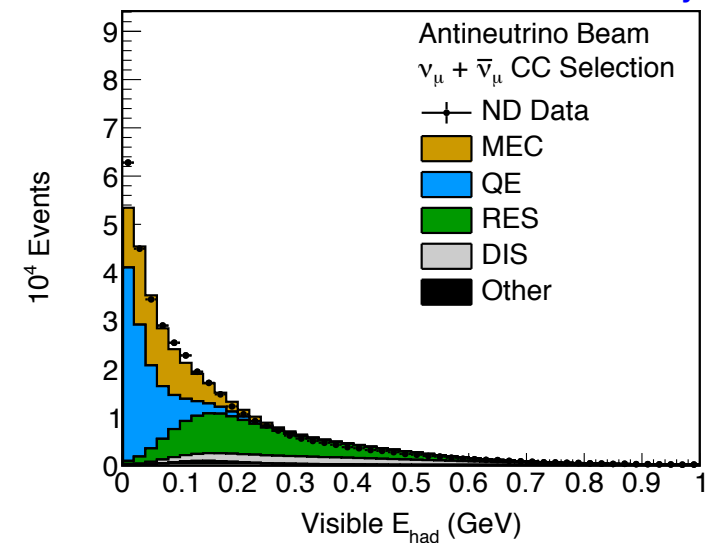
# Cross-section Tuning to Near Detector Data

- Start with GENIE 12.2
- $M_A$  increased by 5%
- Suppression of QE from long-range correlations (RPA), Valencia model, via MINERvA (R. Gran)
- Application of RPA suppression to resonance production, as a placeholder for suppression at low  $Q^2$  of unknown origin. Observed in our data, earlier in MiniBooNE, MINOS, MINERvA.
- Increase DIS with  $W > 1.7 \text{ GeV}/c^2$  by 10% for better agreement with our data (neutrino-only).
- Reduce non-resonant single pion production for  $W < 1.7 \text{ GeV}/c^2$  (following Rodrigues, Wilkinson, McFarland)
- 2p2h: Scale GENIE empirical Meson Exchange Current model (Dytman) in bins of  $q_0$  and  $|q_3|$  to fit remaining difference from data, separately for neutrino and antineutrino. Informed by MINERvA, T. Katori.

NOvA Preliminary



NOvA Preliminary



# Cross-section tune in W and Q<sup>2</sup>

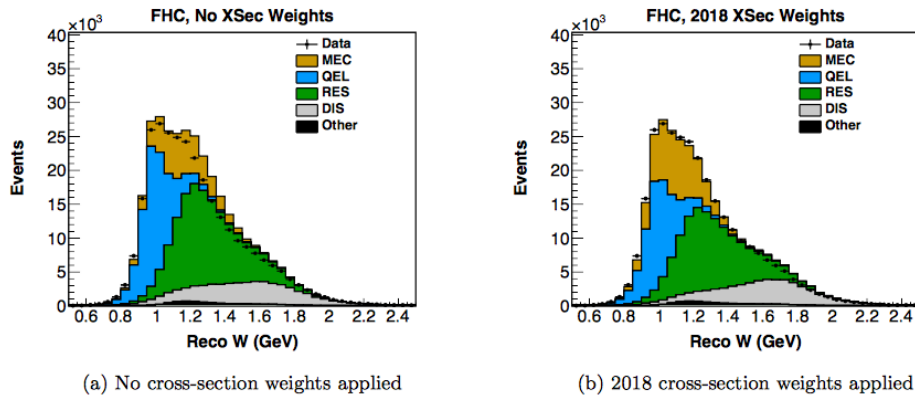


Figure 29: Reconstructed W, FHC

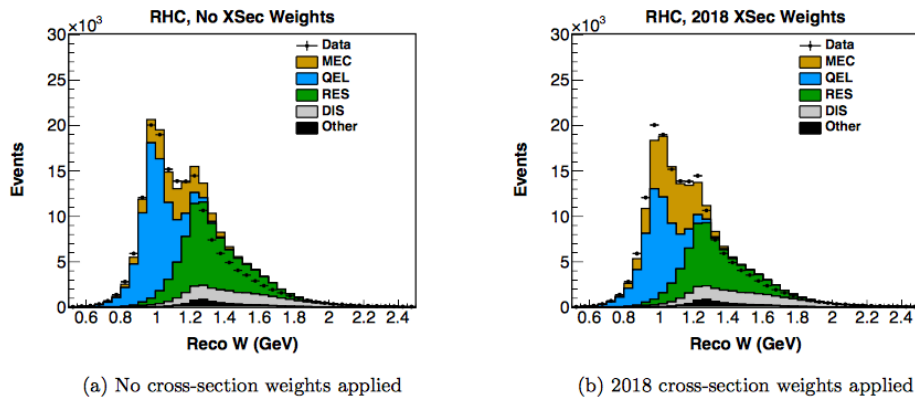


Figure 30: Reconstructed W, RHC

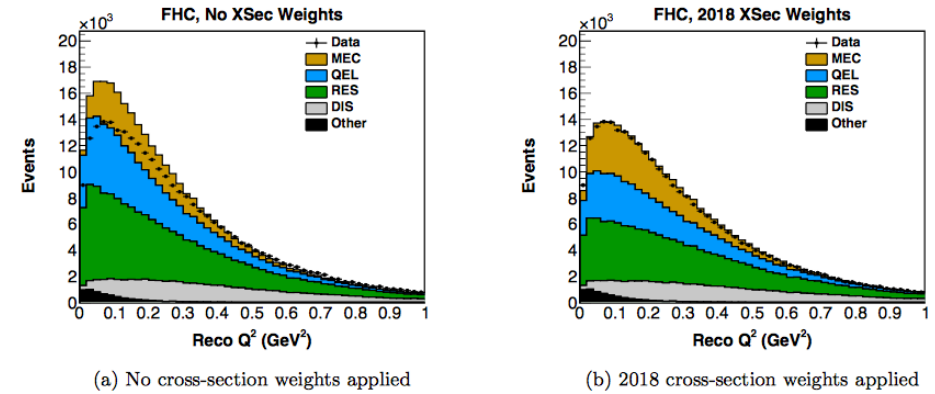


Figure 31: Reconstructed Q<sup>2</sup>, FHC

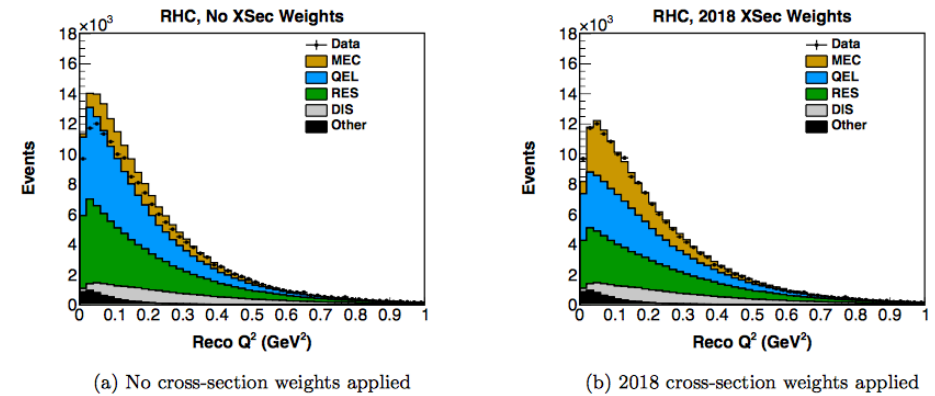
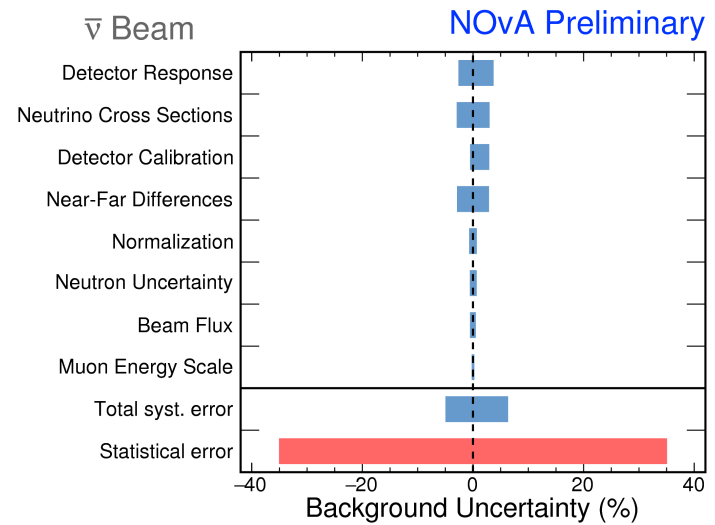
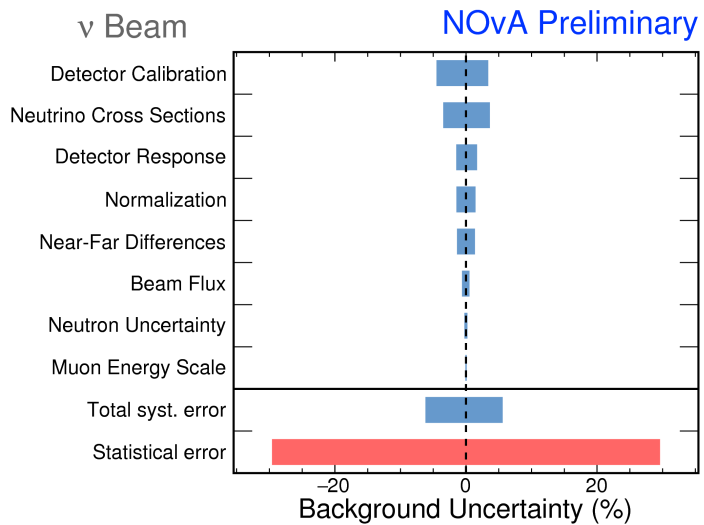
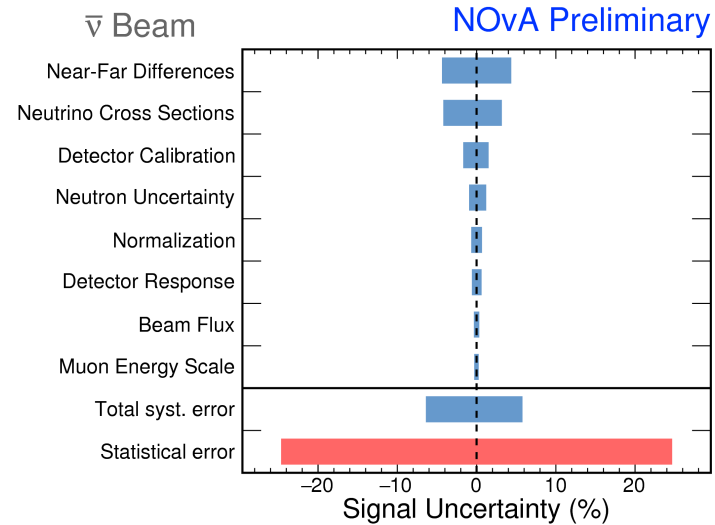
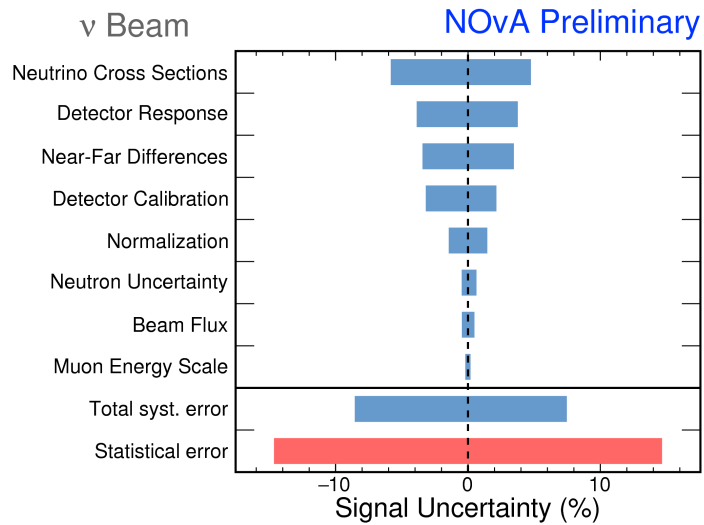


Figure 32: Reconstructed Q<sup>2</sup>, RHC

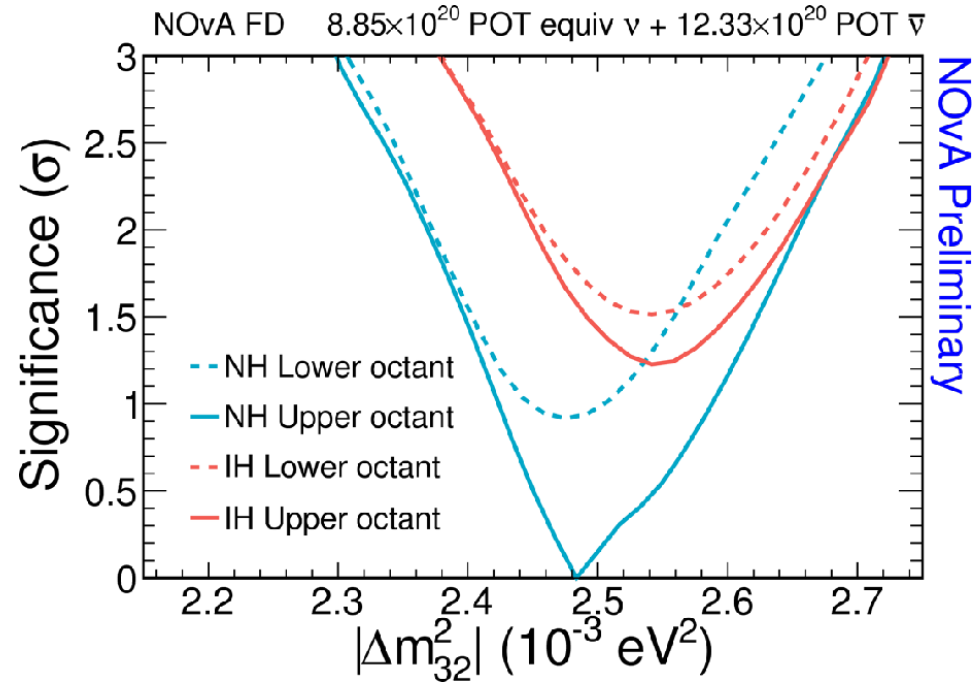




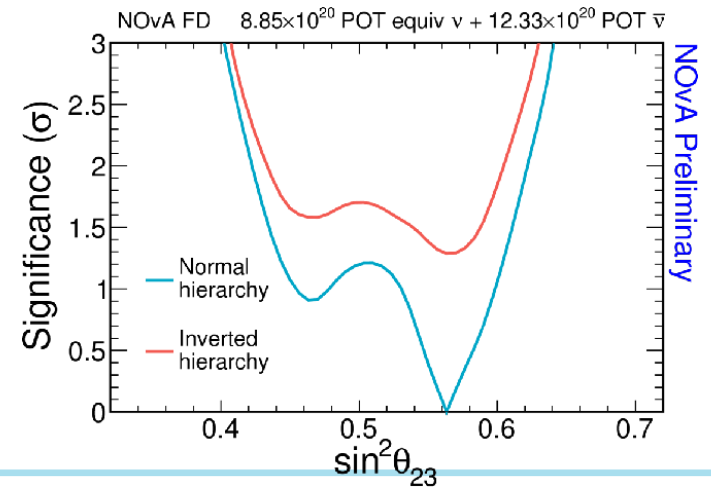
# Impact of Systematic Uncertainties on $\nu_e$ Signal and Background



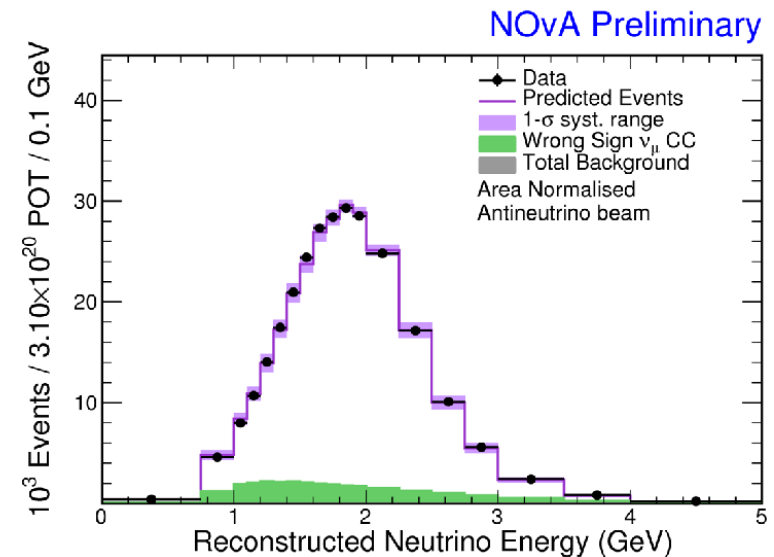
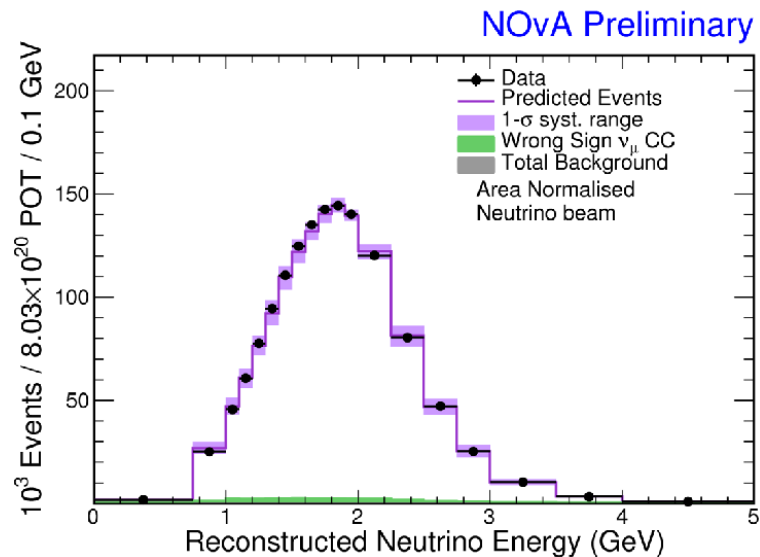
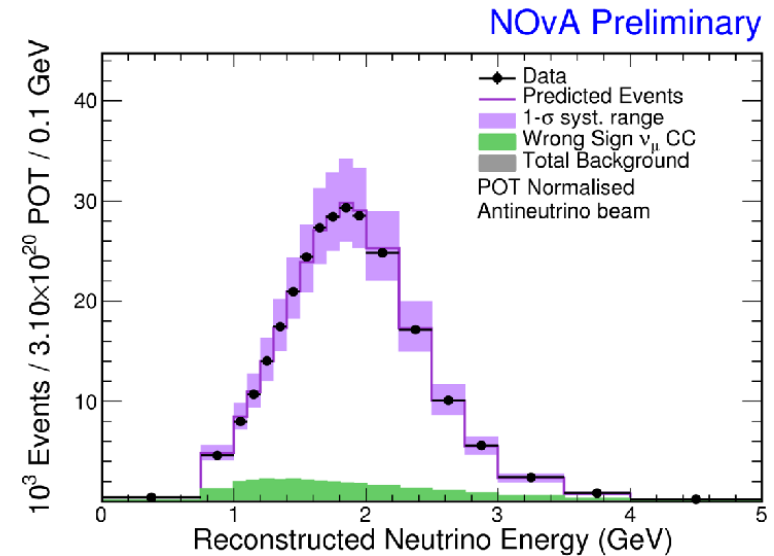
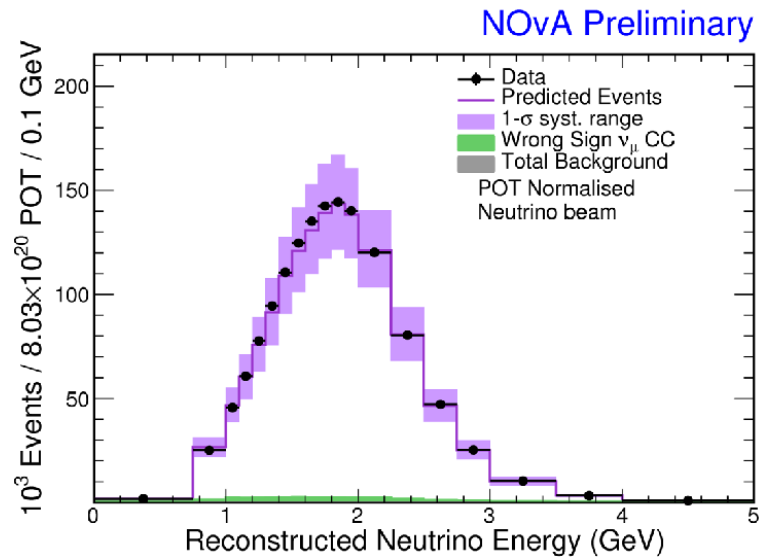
# Other slices



	$\sigma$ data (gaussian)	FC p-value	FC $\sigma$
IH	1.65	0.057	1.89
LO	1.16	0.112	1.59
NHLO	1.16	0.121	1.55
IHUO	1.65	0.080	1.75
IHLO	1.93	0.051	1.95

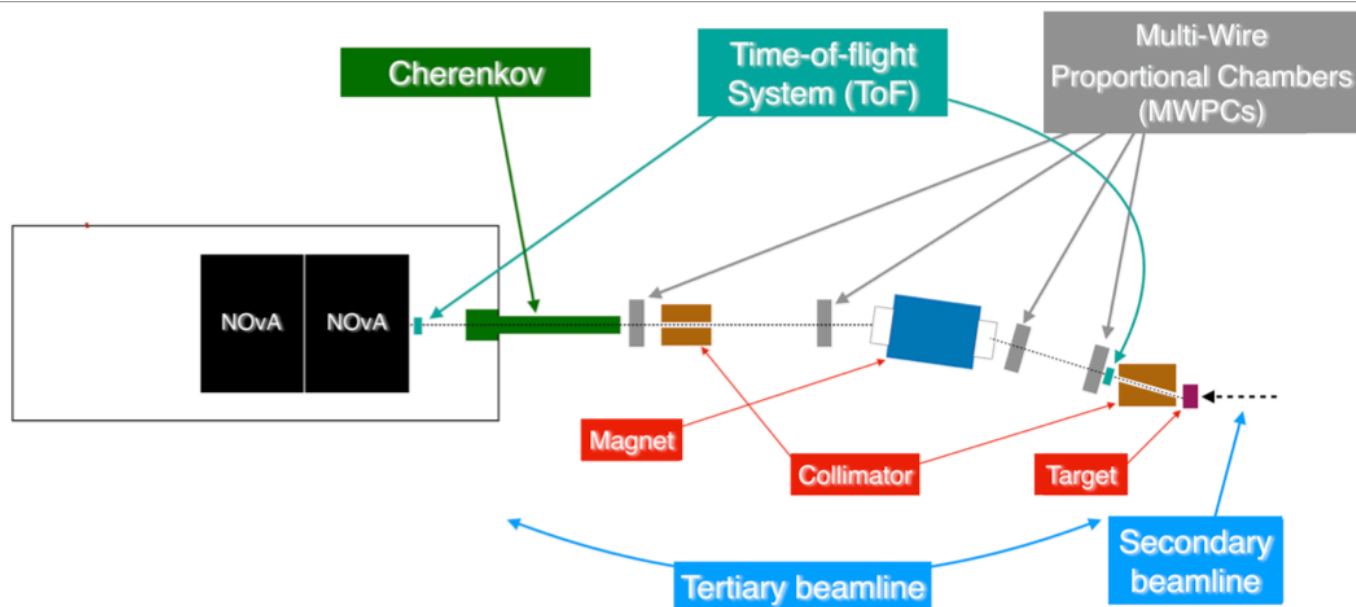


# ND $\nu_\mu$ Spectra with POT and Area Normalization

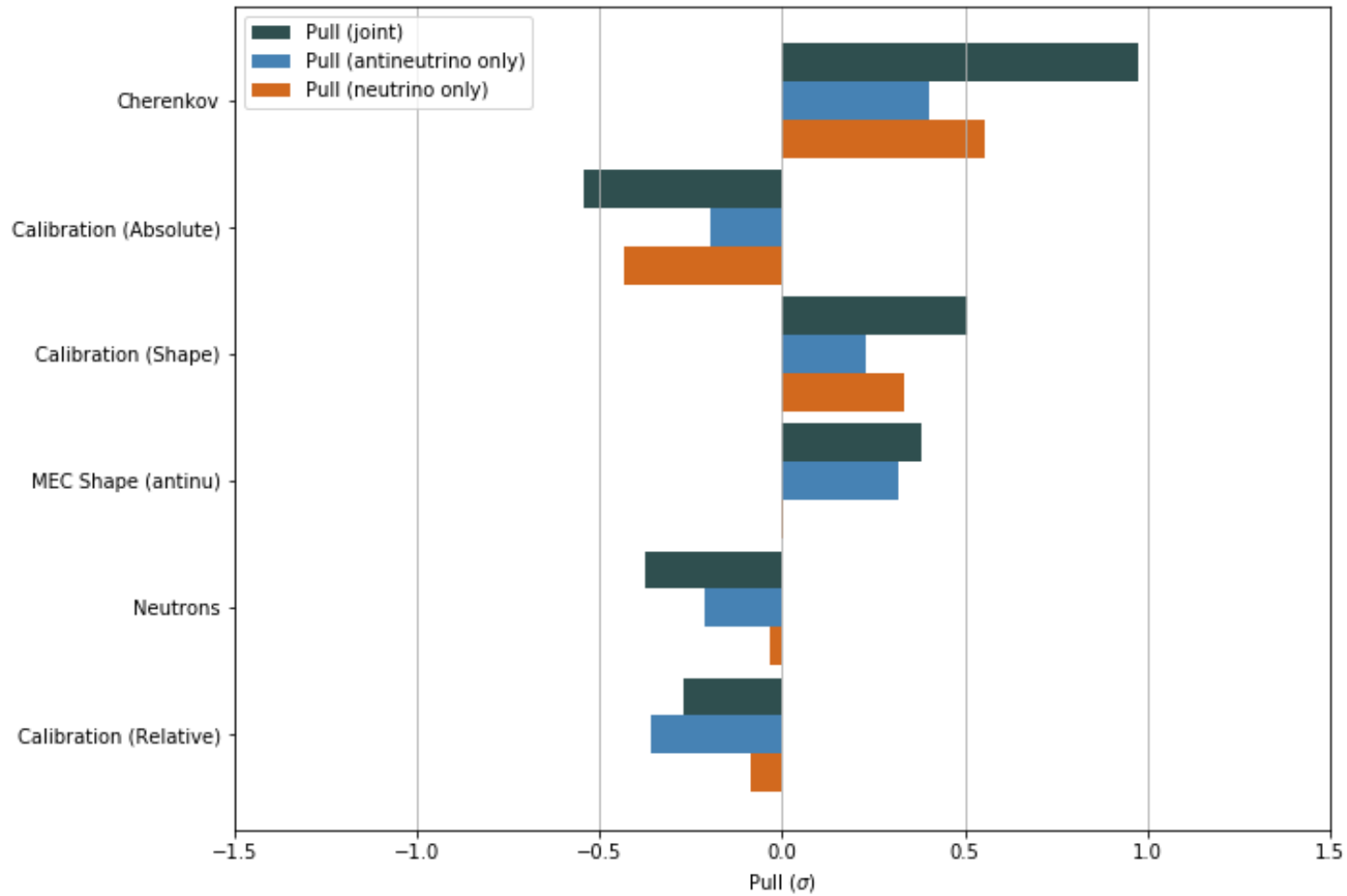


# Test beam layout

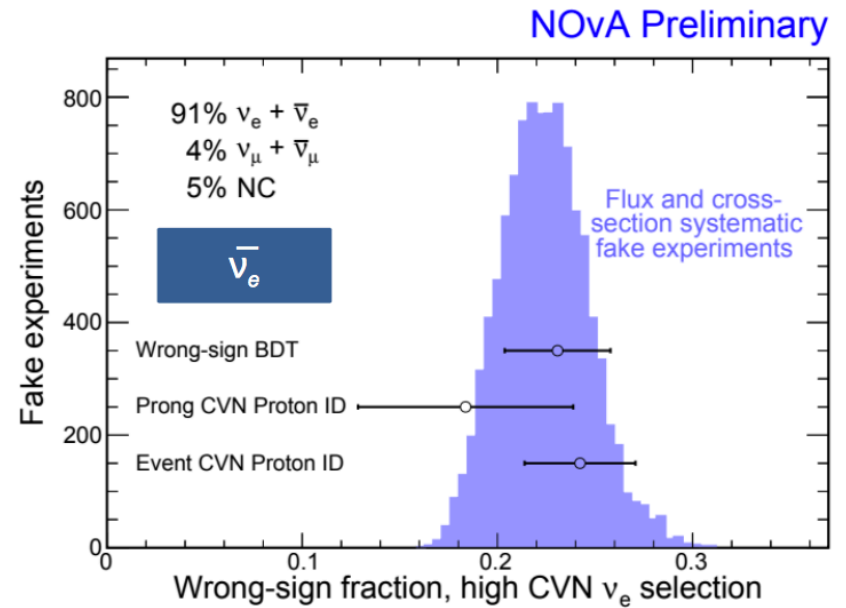
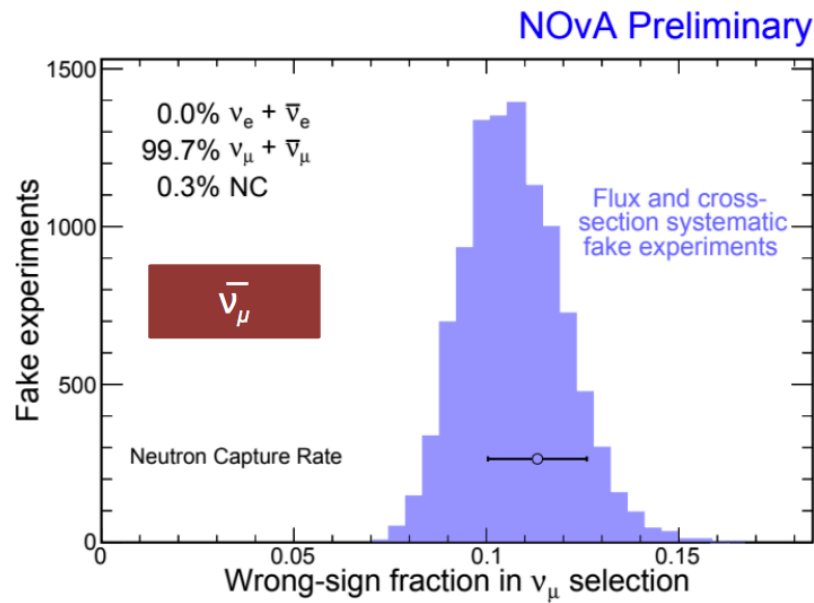
- Detector consists of two 31-plane 2x2 blocks + one horizontal plane at FTBF's MC7
- Exposed to MCenter-sourced e,  $\mu$ ,  $\pi$ ,  $\rho$ , K,  $\pi^0$  tertiary beam with known momentum from 0.2 - 2.0 GeV/c
- Provide absolute measurement of detector response and cross-check of NOvA calibration chain



# Systematic Pulls



# Wrong-sign contamination in antineutrino beam



# Long Baseline $\nu_\mu \rightarrow \nu_e$ Appearance Probability

- $P(\nu_\mu \rightarrow \nu_e) \cong P_{\text{Atm}} + P_{\text{sin}\delta} + P_{\text{cos}\delta} + P_{\text{Sol}}$

*DUNE Science Report and References*

$$P_{\text{Atm}} = \sin^2\theta_{23} \sin^2 2\theta_{13} \frac{\sin^2[(A-1)\Delta]}{(A-1)^2}$$

$$P_{\text{Sol}} = \alpha^2 \cos^2\theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(A\Delta)}{A^2}$$

$$P_{\text{sin}\delta} = \alpha 8 J_{\text{CP}} \sin\Delta \sin(A\Delta) \frac{\sin[(1-A)\Delta]}{A(1-A)}$$

$$P_{\text{cos}\delta} = \alpha 8 J_{\text{CP}} \cot\delta_{\text{CP}} \cos\Delta \sin(A\Delta) \frac{\sin[(1-A)\Delta]}{A(1-A)}$$

*Interference Terms*

$\delta_{\text{CP}}$  and  $A$  change sign for  $\bar{\nu}$   
 $A$  depends explicitly on  
 (sign of)  $\Delta m^2_{31}$

$$\Delta = \Delta m^2_{31} L / 4E$$

$$A = \sqrt{2} G_{\text{F}} N_{\text{e}} 2E / \Delta m^2_{31}$$

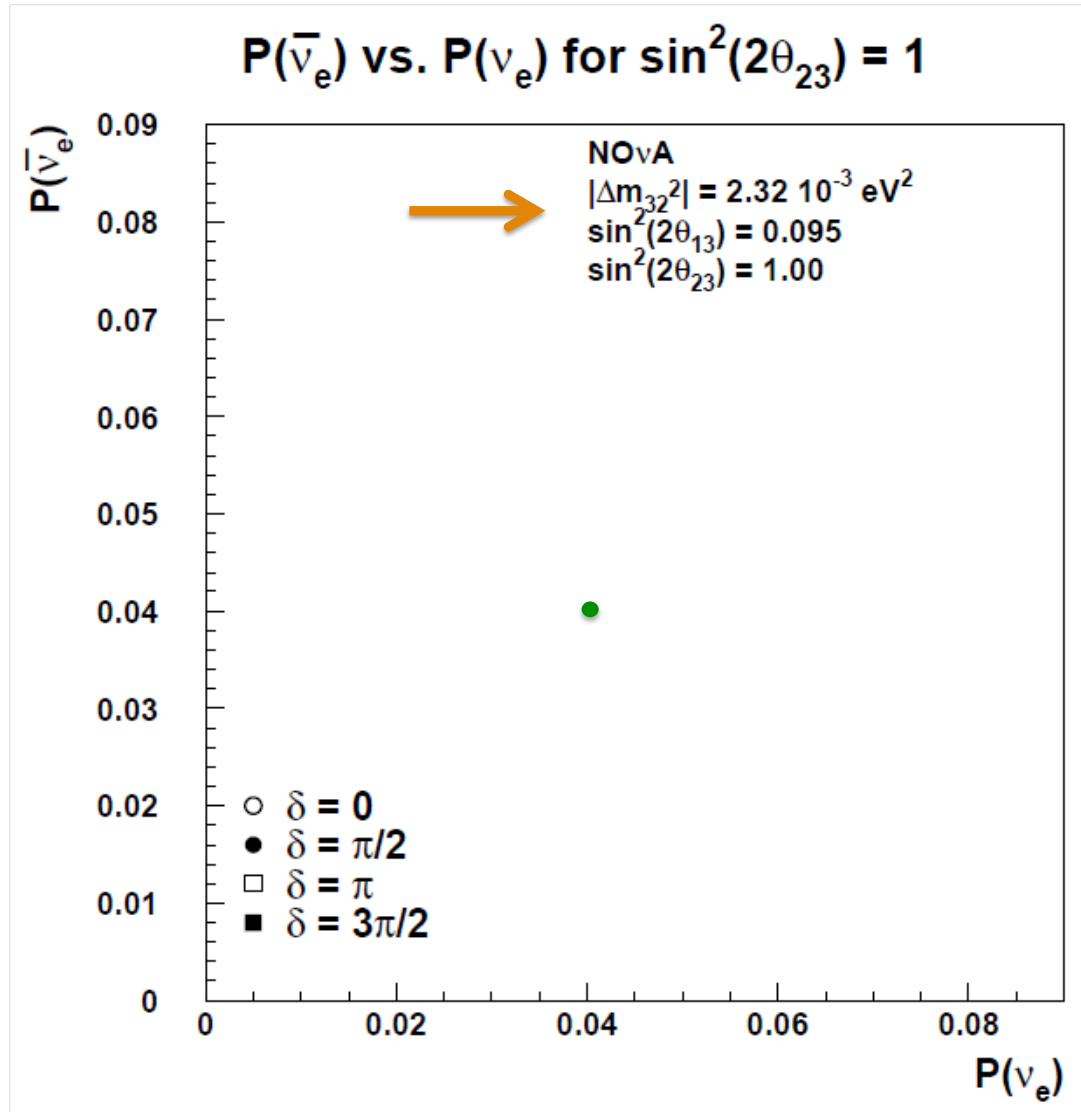
*Matter Effect*

$$\alpha = |\Delta m^2_{21}| / |\Delta m^2_{31}|$$

## Jarlskog Invariant

$$J_{\text{CP}} = \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \cos\theta_{13} \sin\delta_{\text{CP}} / 8 \approx 0.03 \sin(\delta_{\text{CP}}) - \text{up to } 1000\times J(\text{CKM})$$

# $\nu_e$ and $\bar{\nu}_e$ Appearance Probabilities



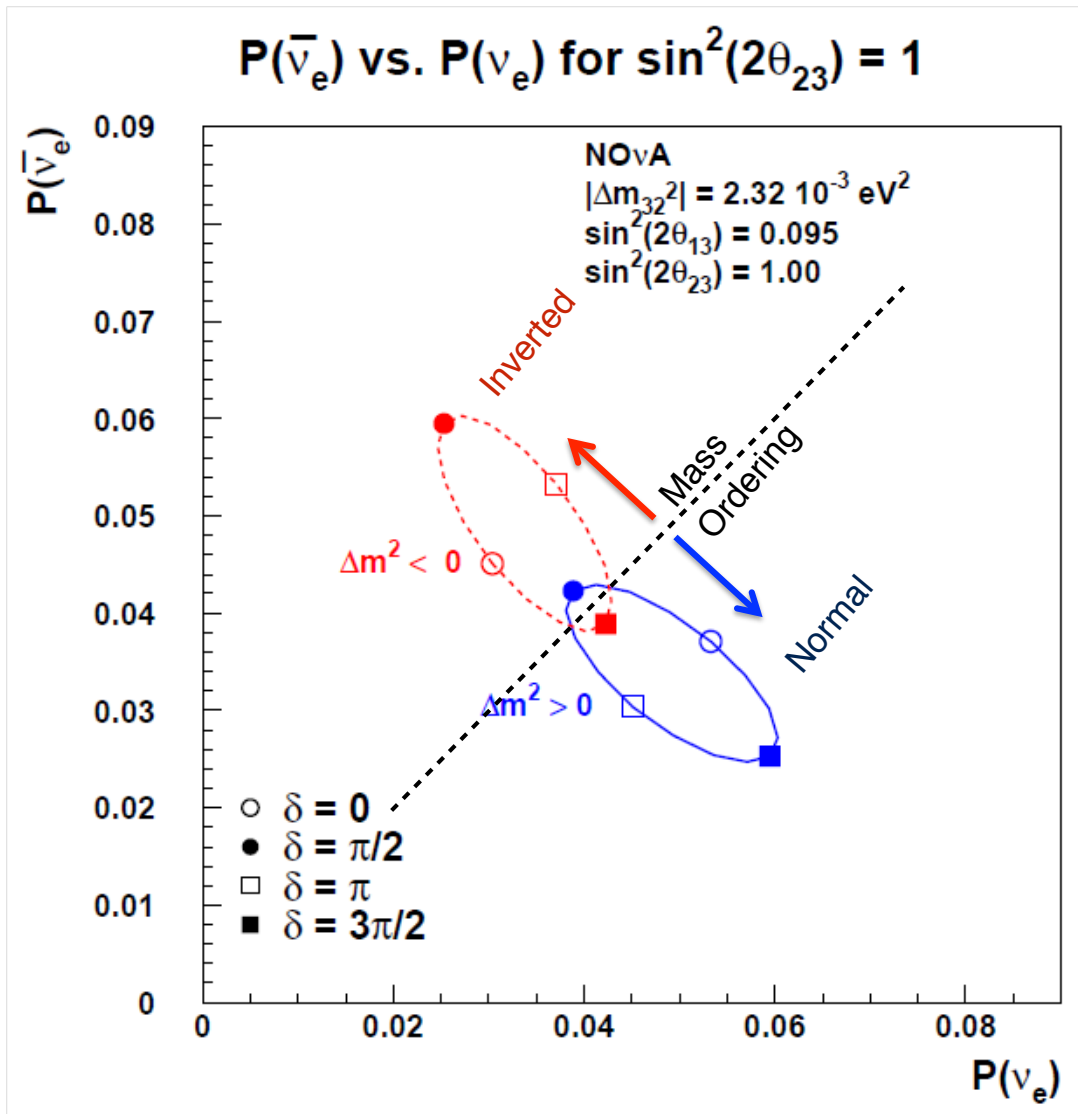
Comparison of neutrino and antineutrino appearance for a specific baseline and energy

**Assuming**

- » No Matter Effect
- » No CP Violation
- » Maximal  $\mu$ - $\tau$  mixing



# CP Violation and Neutrino Mass Ordering

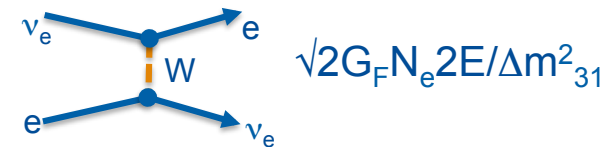


## CP Violation

- » CPT theorem requires  $\nu_\mu$  and  $\bar{\nu}_\mu$  disappearance to be equal in vacuum
- »  $\nu_e$  appearance probabilities vary on an ellipse with  $\delta_{CP}$

## Mass Ordering

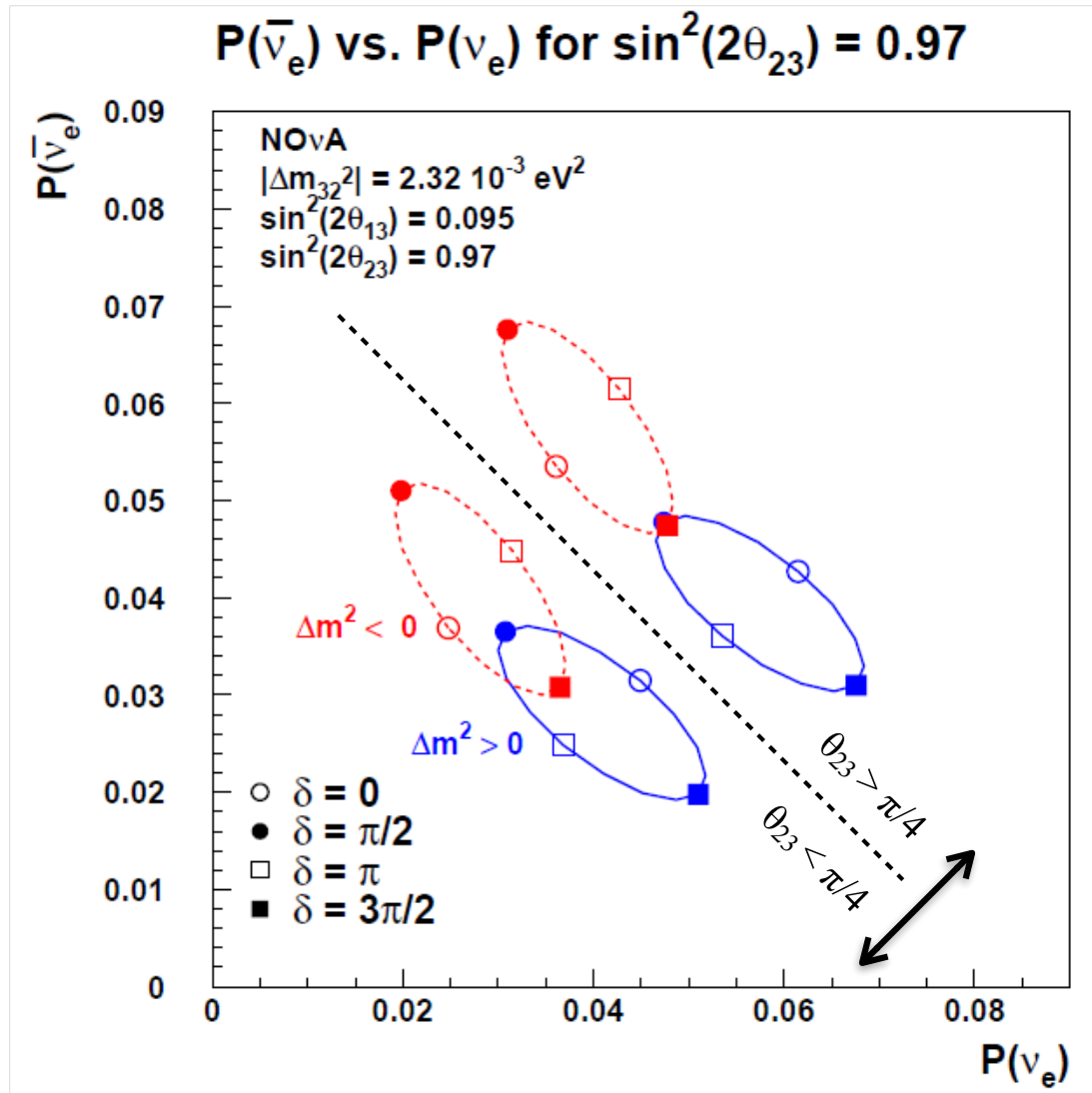
- $\nu_\mu$  disappearance largely sensitive to  $|\Delta m^2|$
- $\nu_e$  appearance is sensitive to  $\text{sign}(\Delta m^2)$  via matter effect
- due to presence of electrons in matter



- ~30% effect for NOvA baseline, 11% for T2K

Shown for maximal  $\theta_{23}$

# $\theta_{23}$ Octant



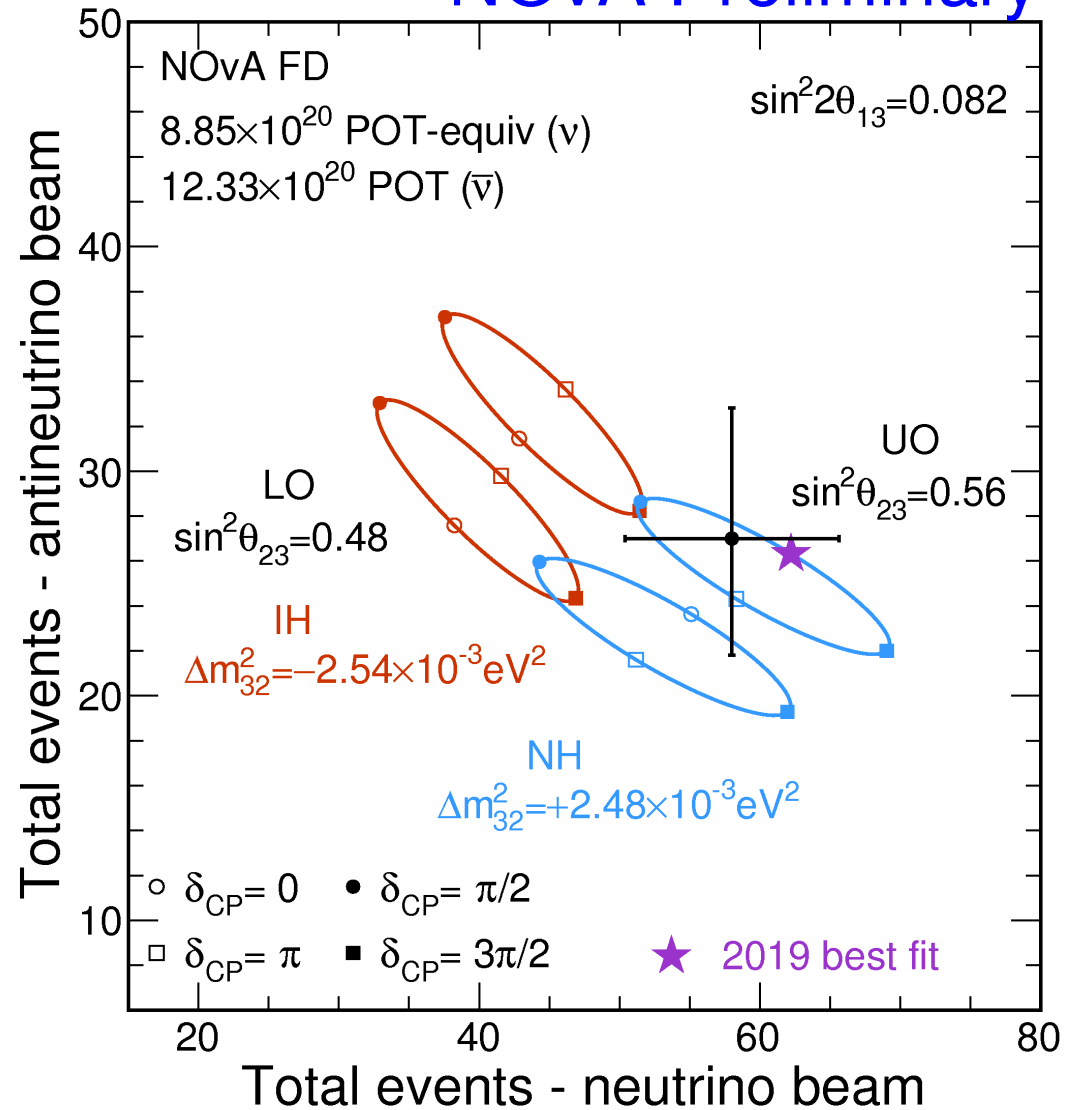
$\nu_\mu$  disappearance  
 measures  $\sin^2(2\theta_{23})$

$\nu_e$  appearance depends in  
 leading order on  $\sin^2(\theta_{23})$

# Bi-event rate plot

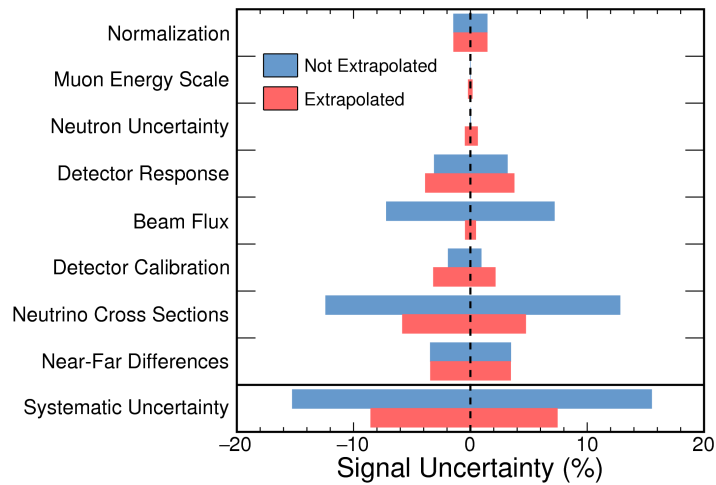
- *Caveat: this picture suppresses energy dependence and other useful variables*

NOvA Preliminary

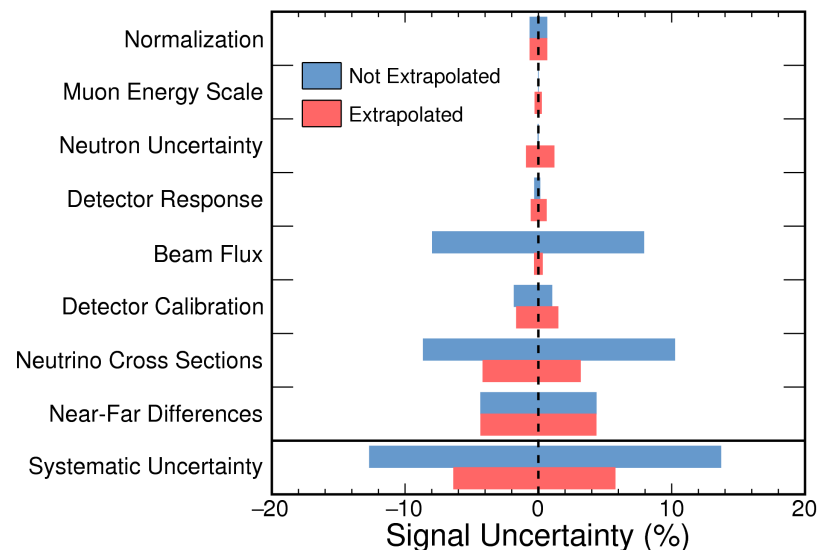


# Effect of extrapolation on systematic uncertainties

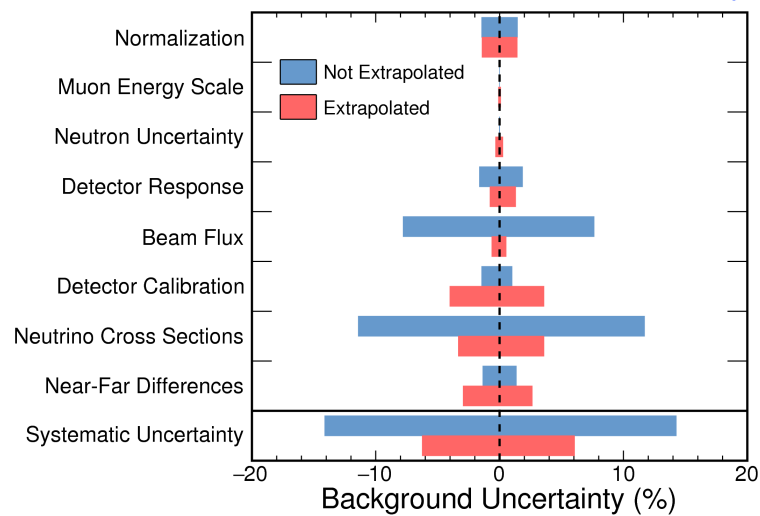
$\nu$  Beam NOvA Preliminary



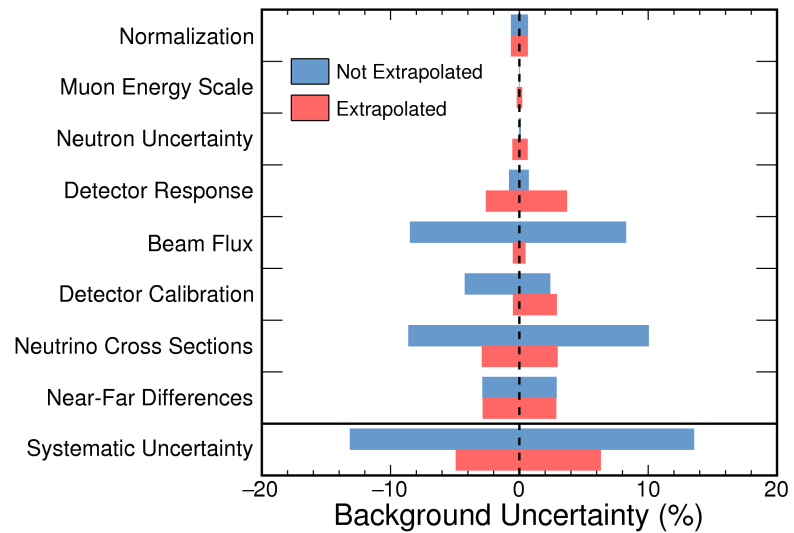
$\bar{\nu}$  Beam NOvA Preliminary



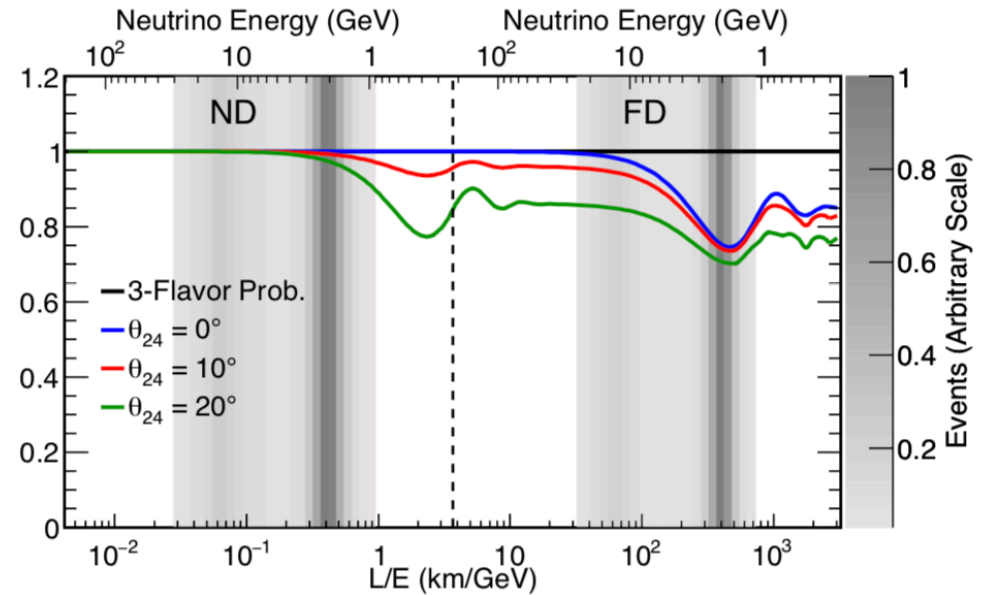
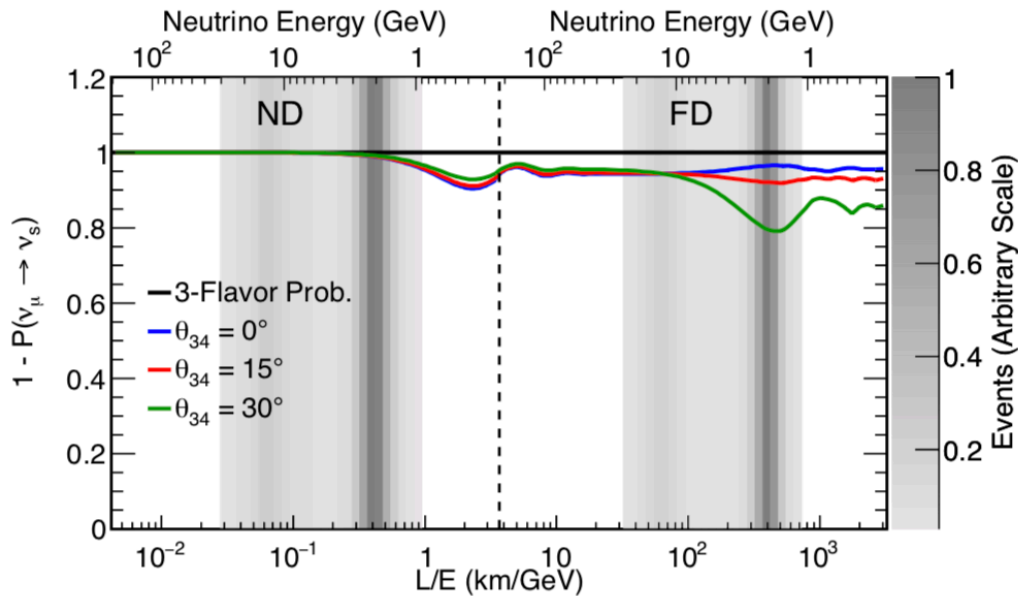
$\nu$  Beam NOvA Preliminary



$\bar{\nu}$  Beam NOvA Preliminary

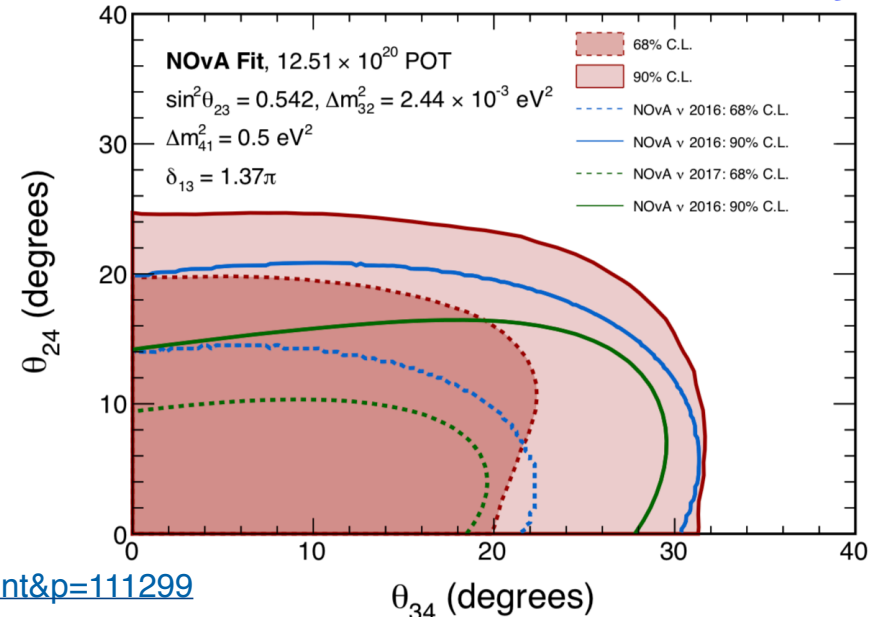


# Long Baseline Sterile Neutrinos



- Search for effector Sterile Neutrinos through disappearance of Neutral Current rate
  - NC = Sum of 3 active flavors
  - In 3+1 model, 3 new angles, 2 new phases, one new mass splitting
  - For now, only consider  $0.05\text{eV}^2 < \Delta m_{41}^2 < 0.5\text{eV}^2$
- New NOvA result with Antineutrino Beam

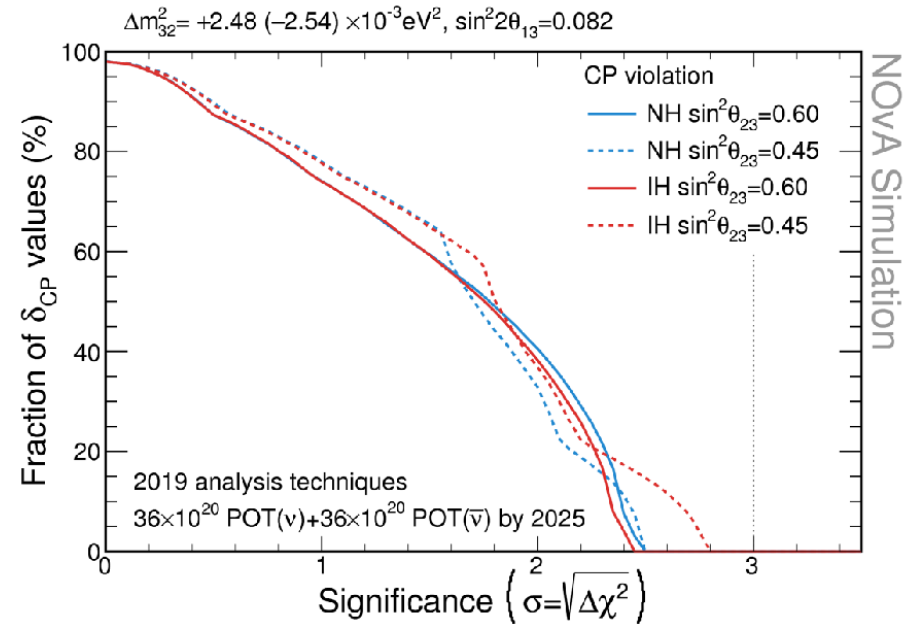
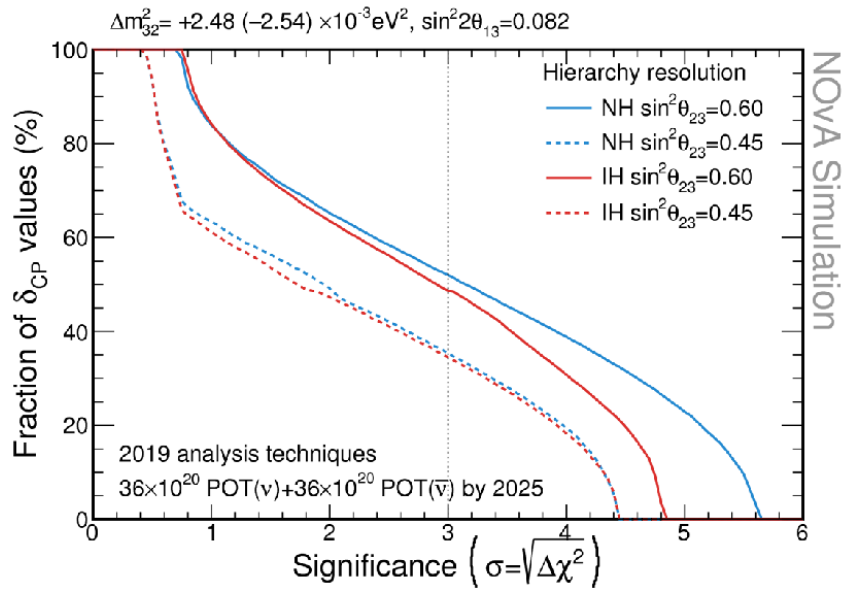
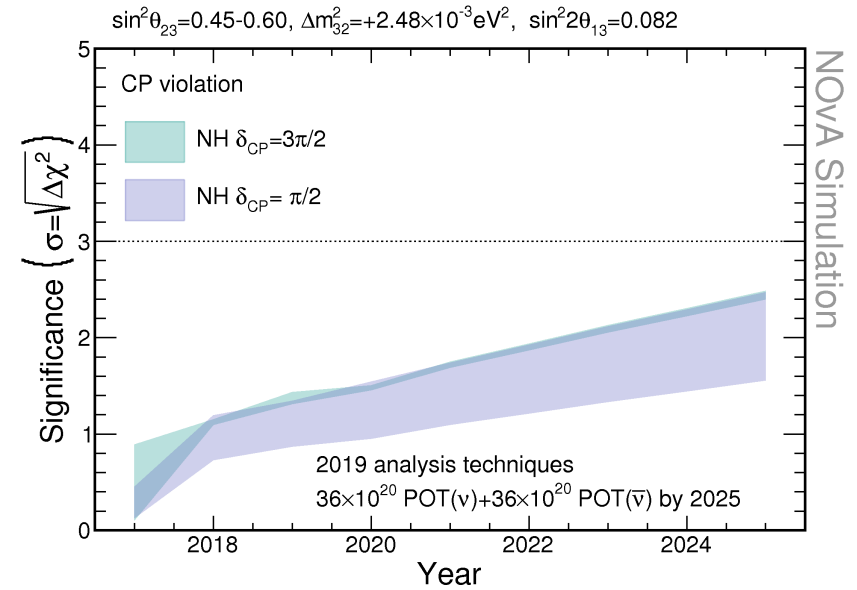
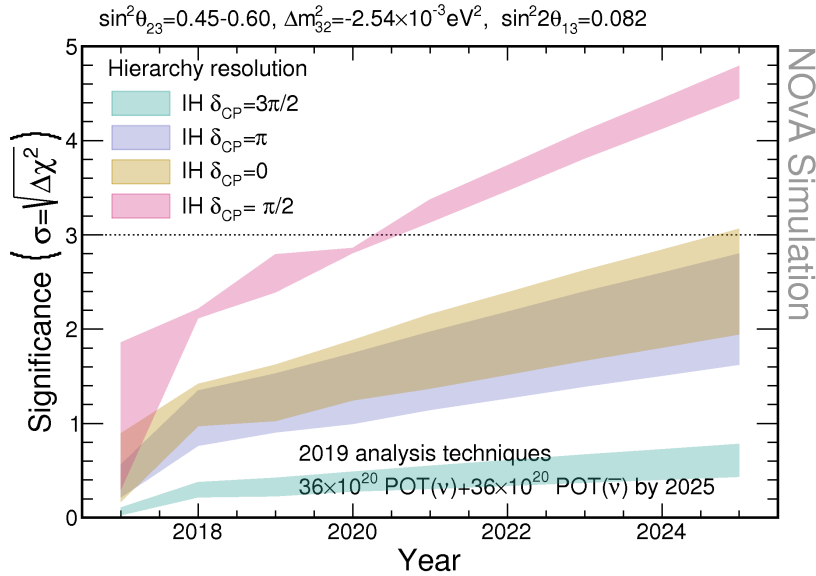
Antineutrino beam NOvA Preliminary



Fermilab "Wine & Cheese Seminar" [http://theory.fnal.gov/?post\\_type=event&p=111299](http://theory.fnal.gov/?post_type=event&p=111299)



# More sensitivity projections



# Sensitivity Projections for Maximal Mixing Rejection and Octant

