



Work Package 6: Beam dynamics and Start-to-End (S2E) modelling

FEL computer codes for the facility design

Héctor Mauricio Castañeda Cortés
Science and Technology Facility Council (STFC)

XLS Midterm Review Meeting.

Helsinki, Finland

30/06/2019



Introduction

- Semi-analytical methods
- FEL simulation codes

Study case: Generation of hard X-Rays from a cryogenic permanent magnet undulator (CPMU)

- Comparison between Ming Xie and Dattoli
- Comparison between GENESIS and PERSEO
 - Comparison of FEL figures of merits
 - Simulation codes and Start-to-End(S2E)

Concluding remarks



Introduction





Different options

- ▶ 1D and 3D Theory of FELs.
- ▶ Semi-analytical approaches.
 1. [Ming Xie](#) [1]
 2. [Dattoli et al.](#)[2]
- ▶ 1D and 3D simulation codes.
 1. 1D Codes (Prometeo, [PERSEO](#) [4])
 2. 3D Codes ([GENESIS](#)[3], FAST, GINGER, MINERVA, PUFFIN)

Integration to a Start to End (S2E) environment

- ▶ Integration and interfacing to beam dynamics and optical codes (OCELOT, XFEL simulation toolkit, ASTRA \Rightarrow GENESIS, SDDS-Toolkit, ELEGANT \Rightarrow GENESIS)
- ▶ Integration to Optics propagation codes (OPC, SRW)
- ▶ Simulation time and scope of the study.



1D FEL theory

- Pierce parameter (FEL efficiency)

$$\rho = \frac{1}{2\gamma} \left(\frac{l_0}{l_A} \right)^{\frac{1}{3}} \left(\frac{\lambda_u K f_B}{2\pi\sigma_x} \right)^{\frac{2}{3}}$$

- l_0 (peak current), l_A (Alfvén current), $\gamma = E_{\text{beam}}/m_e c^2$, λ_u (undulator period), K (undulator parameter), σ_x (rms of electron beam size), f_B (coupling between electrons and the field).
- Gain length $L_{g,1D} = \frac{\lambda_u}{4\sqrt{3}\pi\rho}$
- Saturation length $\approx 20 L_{g,1D}$
- Saturation power
 $P_{\text{sat}} = 1.6 \times \rho P_{\text{noise}}$
- BW_{sat} $\approx \rho$

Ming Xie

Correction to 1D theory

- ▶ $\Lambda(\eta_d, \eta_\epsilon, \eta_\sigma)$ accounts for
 - Gain reduction due to diffraction, $\eta_d = \lambda / (4\pi\sigma_x^2) L_{g,1D}$.
 - Gain reduction due to finite emittance, $\eta_\epsilon = (4\pi\epsilon/\lambda)(L_{g,1D}/\beta)$.
 - Gain reduction to energy spread, $\eta_\gamma = (\sigma_\gamma/\gamma)(L_{g,1D}/\lambda_u)$
 - Frequency detuning optimised (shortest L_g).
- ▶ Gain length $\rightarrow L_g = L_{g,1D} [1 + \Lambda]$
- ▶ Saturation Power $\rightarrow P_{\text{sat}} = \frac{1.6\rho P_{\text{beam}}}{(1+\Lambda)^2}$
- ▶ Saturation Length $\rightarrow L_{\text{sat}} = L_g \ln\left(\frac{P_{\text{sat}}}{\alpha P_0}\right)$



1D FEL theory

- Pierce parameter (FEL efficiency)

$$\rho = \frac{1}{2\gamma} \left(\frac{l_0}{l_A} \right)^{\frac{1}{3}} \left(\frac{\lambda_u K f_B}{2\pi\sigma_x} \right)^{\frac{2}{3}}$$

- Gain length $L_{g,1D} = \frac{\lambda_u}{4\sqrt{3}\pi\rho}$
- Saturation length $\approx 20 L_{g,1D}$
- Saturation power
 $P_{\text{sat}} = 1.6 \times \rho P_{\text{noise}}$
- $BW_{\text{sat}} \approx \rho$

Ming Xie and Dattoli assume a transversely symmetric electron beam and a constant current profile.

Dattoli et al.

Correction to 1D theory

- ▶ Power as a function of z

$$P(z) = P_0 \frac{A(z)}{1 + \frac{P_0}{P_S} [A(z, L_{g,1D}) - 1]}$$

- ▶ Gain length L_g recalculated in terms of χ (correction function to include normalized emittance, RMS energy spread effects.)

- ▶ Gain Length $L_g = \chi \left(\rho, \frac{\sigma_\gamma}{\gamma} \right) L_{g,1D} \simeq \left(1 + \frac{0.185\sqrt{3}}{2} \mu_\epsilon^2 \right) L_{g,1D}$, $\mu_\epsilon = 2 \frac{\sigma_\gamma/\gamma}{\rho}$

- ▶ Saturation Power

$$P_{\text{sat},3D} = \sqrt{2}\Phi(\chi) \left(\frac{L_{g,1D}}{L_g} \right)^2 \rho_D P_{\text{beam}}$$

- ▶ Saturation Length

$$L_{\text{sat},3D} = 1.066 L_g \left(\rho_D, \frac{\sigma_\gamma}{\gamma} \right) \ln \left(\frac{9P_{\text{sat}}}{P_0} \right)$$



PERSEO

- ▶ 1D Library of functions within the Mathcad[®] framework (Luca Giannessi)[4].
- ▶ Solves the pendulum-like FEL equations coupled with the field equations.
- ▶ Correction needed for a FEL oscillator or seeding scheme or to include diffraction effects, a filling factor is added to the coupling between electrons and field.

GENESIS

- ▶ Widely used 3D simulation code implemented by Sven Reiche.
- ▶ Solution of Paraxial Equation (using SVEA and paraxial current approximations) on a Transverse Cartesian Grid.
- ▶ Integration of the field \Rightarrow Alternative Direction Implicit Method.
- ▶ Leapfrog method \Rightarrow avoid field and particle dynamics at the same grid position.
- ▶ Macro-particles per slice.



Code	PERSEO	GENESIS
Open Source	No	Yes
Start from shot noise	Yes	Yes (Hammersley sequence)
Time-Dependent	Yes	Yes
Parallelised	No	Yes
Radiation Field	1D	3D
Harmonics	Yes	Yes
Wiggler Errors	No	Yes
Wakefield Algorithm	No	Yes
Scripting	No	Yes

Bench-marking between codes

Comparison between GENESIS and PERSEO was done to simulate the performance of the SPARC facility [5] → agreement in saturation length and other figures of merit.



Study case: Generation of hard X-Rays from a cryogenic permanent magnet undulator (CPMU)





Setting up a CPMU (cryogenic permanent magnet undulator) with a length larger than the saturation length, tuned to generate radiation with photon energy of 16 keV.

- ▶ Estimations using Ming-Xie and Dattoli semi-analytical models.
- ▶ Time-dependent simulations using GENESIS (10 noise realisations) and PERSEO.

Beam Parameters

Beam parameter	Value
E_{beam}	5.5 GeV
I_0	5 kA
Shape	Flat-top
Bunch length	1.64 μm
Q_{bunch}	27 pC
Normalised $\varepsilon_{x,y}$	0.2 mm-mrad
RMS slice σ_γ	0.01%

Undulator parameters

Undulator parameter	Value
Type	Planar
Period	12.87 mm
a_{w0}	0.628
Module length	2 m



Figure of merit	Ming-Xie	Dattoli
P_{sat} [GW]	17.97	22.02
L_{sat} [m]	20.81	19.47
L_{gain} [m]	1.12	0.974

FEL figures of merits

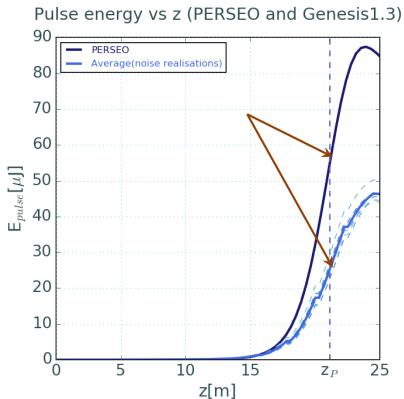
- ▶ Gain and saturation length agreed well, to within a relative difference $\Delta L_{sat}/L_{Xie-sat}$ of approximately 6%.
- ▶ Difference in saturation power between analytic models of a 22.53%.



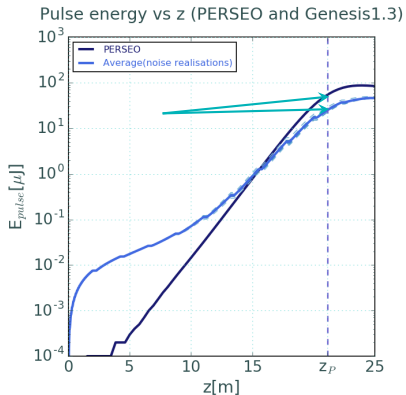
Figure of merit	Ming-Xie	Dattoli
P_{sat} [GW]	17.97	22.02
L_{sat} [m]	20.81	19.47
L_{gain} [m]	1.12	0.974

FEL figures of merits

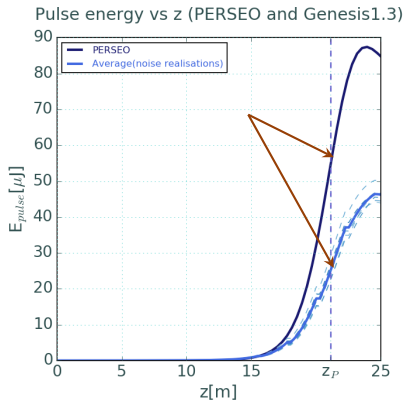
- ▶ Gain and saturation length agreed well, to within a relative difference $\Delta L_{sat}/L_{Xie-sat}$ of approximately 6%.
- ▶ Difference in saturation power between analytic models of a 22.53%.



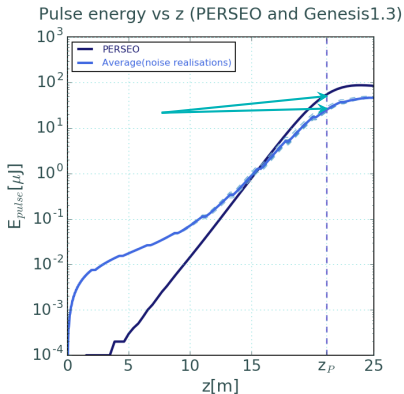
▶ Similar saturation length.



▶ Difference in linear regime \Rightarrow growth rate, gain length



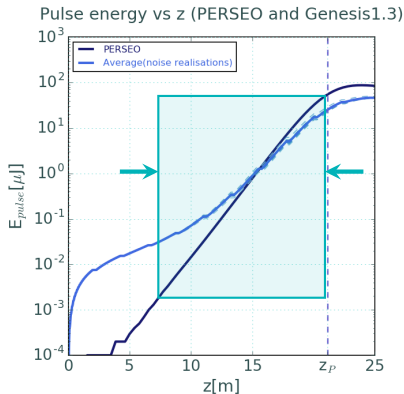
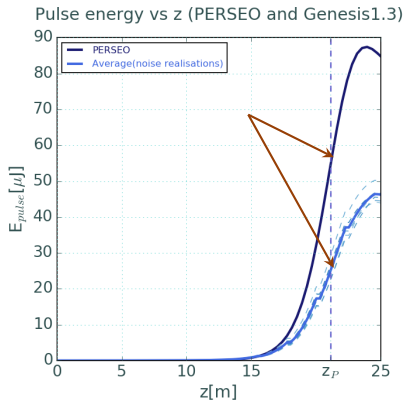
- ▶ Similar saturation length.



- ▶ Difference in linear regime \Rightarrow growth rate, gain length

Difference in pulse energy at saturation between the codes

Pulse energy obtained from GENESIS is around 50 % of the one obtained via PERSEO .



▶ Similar saturation length.

▶ Difference in linear regime \Rightarrow growth rate, gain length

Difference in pulse energy at saturation between the codes

Pulse energy obtained from GENESIS is around 50 % of the one obtained via PERSEO .

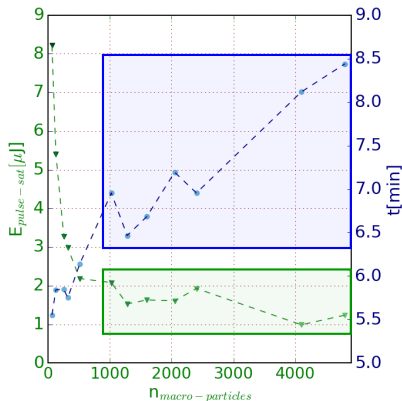


Figure of merit	PERSEO	GENESIS
P_{sat} [GW]	11.4	5.69
L_{sat} [m]	21.62	21.69
E_{pulse} [μJ]	60	31.09
N_{photons}	2.3×10^{10}	1.28×10^{10}
Bandwidth[%]	0.055	0.064
B_{sat}	4.2×10^{32}	1.41×10^{32}
Running time	< 5 min ^a	90 min (25 processors)

^aNo compilation. Post-processing at running time.

Difference in figures of merits at saturation between the codes

- ▶ Ratio of Brightness calculated via the two codes of almost 3
- ▶ Difference \Rightarrow Number of transverse higher modes between PERSEO and GENESIS [5]



Simulation time and S2E

- ▶ Faster simulation time for PERSEO .
- ▶ Ways to optimise the running time in GENESIS \Rightarrow number of macro-particles, integration step, the dimension and resolution of the Cartesian Grid

- ▶ PERSEO \Rightarrow 1D beam dynamics codes (LiTrack) \Rightarrow **Fast studies**(longitudinal dynamics)
- ▶ GENESIS \Rightarrow 3D beam dynamics codes (ELEGANT, ASTRA) \Rightarrow **3D more comprehensive simulations**



Concluding remarks





- ▶ A brief description of the FEL codes and semi-analytical approximations available within the partners of the CompactLight collaboration is done.

FEL codes and S2E

- ▶ PERSEO provides a quick solution for scenarios in which longitudinal dynamics are relevant and can be interfaced to 1D beam dynamics codes (LiTrack).
- ▶ GENESIS provides a more comprehensive solution for 3D and can be interfaced to 3D beam dynamics codes (ELEGANT and ASTRA).



Study case : Generation of HXR with a CPMU

- ▶ Bench-marking of semi-analytical models and FEL simulation codes (PERSEO and GENESIS) was done by setting up a CPMU, generating FEL radiation within the hard X-Ray domain ($E_{\text{photon}} = 16\text{keV}$).
 - Ming Xie and Dattoli agree on the gain length (hence the saturation length). Difference between estimates of saturation power around 22%.
 - For the 3D simulations (PERSEO and GENESIS), the results agree with the benchmark study carried out by Giannessi et al. [5].
 - △ PERSEO shows a difference in the linear regime and pulse energy compared to GENESIS. This is due to a difference in the contributions of high order modes through the Cartesian Grid (for GENESIS). PERSEO only has a fundamental high order mode, corresponding to its 1D nature.



M. Xie

“Design optimization for an X-ray free electron laser driven by SLAC linac”

Proced. IEEE Part. Accel. Conf. 183(1995).



Dattoli et al.

“Inhomogeneous broadening effects in high-gain free electron laser operation:
A simple parametrization”

Nuovo Cimento D 11, 393(1989).



Reiche

“GENESIS 1.3: a fully 3D time-dependent FEL simulation code”

Nucl. Instruments Methods Phys. Res. Sect. A Accel. Spectrometers, Detect. Assoc. Equip. 1, 243 (1999).



Giannessi

“PERSEO FEL-CAD Library”

<http://www.perseo.enea.it/> (2007).



Giannessi et al.

“Self-amplified spontaneous emission for a single pass free-electron laser”

Phys. Rev. Spec. Top. - Accel. Beams 14, 060712 (2011).





Thank you!

CompactLight@elettra.eu

www.CompactLight.eu



CompactLight is funded by the European Union's Horizon2020 research and innovation programme under Grant Agreement No. 777431.