



Update on longitudinal beam stability in HL-LHC

Ivan Karpov, CERN

Acknowledgements:

Theodoros Argyropoulos, Elena Shaposhnikova,
Alexey Burov, Rama Calaga, James A. Mitchell,
Sergey Antipov, Helga Timko

the 9th HL-LHC Collaboration Meeting Fermilab, 14-16 October 2019

Longitudinal single-bunch stability

Loss of Landau damping (LD) in longitudinal plane is important intensity limitation in LHC.

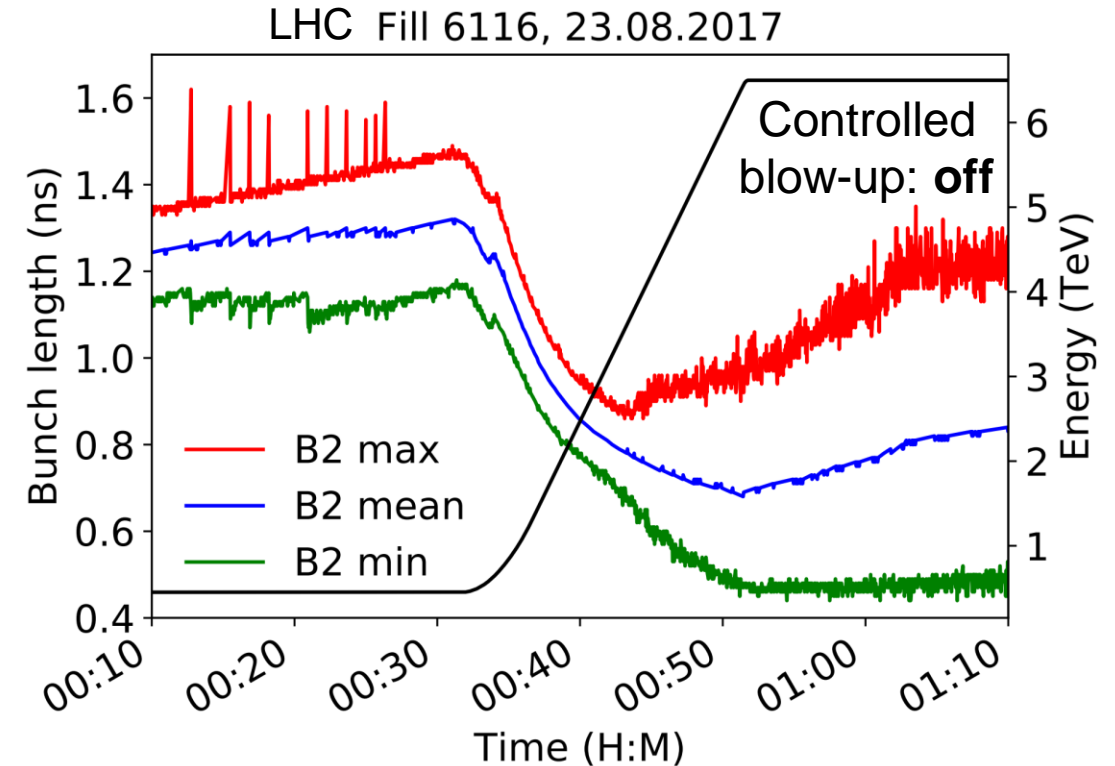
Based on Sacherer formalism the intensity threshold is (*F. Sacherer, 1971*)

$$N_b^{\text{thr}} \propto \frac{V_{\text{rf}} \tau^5}{(\text{Im}Z/n)_{\text{eff}}}$$

rf voltage
bunch length*

$V_{\text{rf}} \tau^5$

effective impedance



Bunch length shrinks during acceleration
 → Controlled blow-up must be applied to keep beam stable

* $\tau = \tau_{\text{FWHM}} \sqrt{2/\ln 2}$ is scaled from full-width half-maximum (FWHM) bunch length

Longitudinal single-bunch stability

Loss of Landau damping (LD) in longitudinal plane is important intensity limitation in LHC.

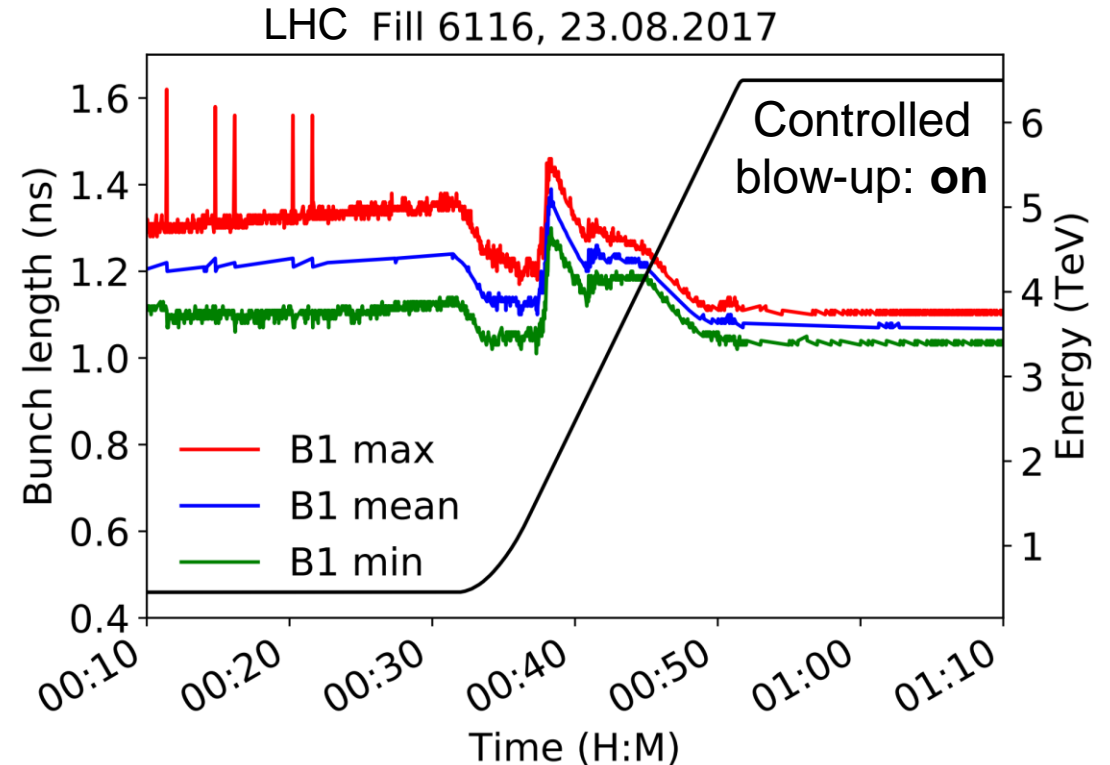
Based on Sacherer formalism the intensity threshold is (*F. Sacherer, 1971*)

$$N_b^{\text{thr}} \propto \frac{V_{\text{rf}} \tau^5}{(\text{Im}Z/n)_{\text{eff}}}$$

rf voltage
bunch length*

$V_{\text{rf}} \tau^5$

effective impedance

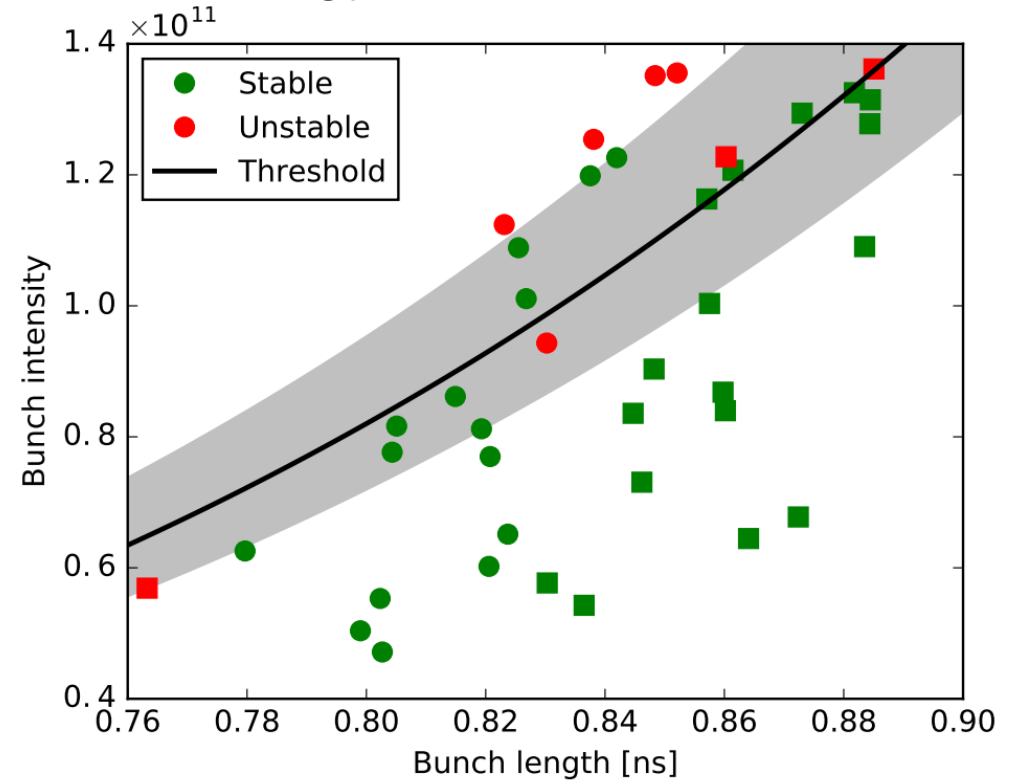
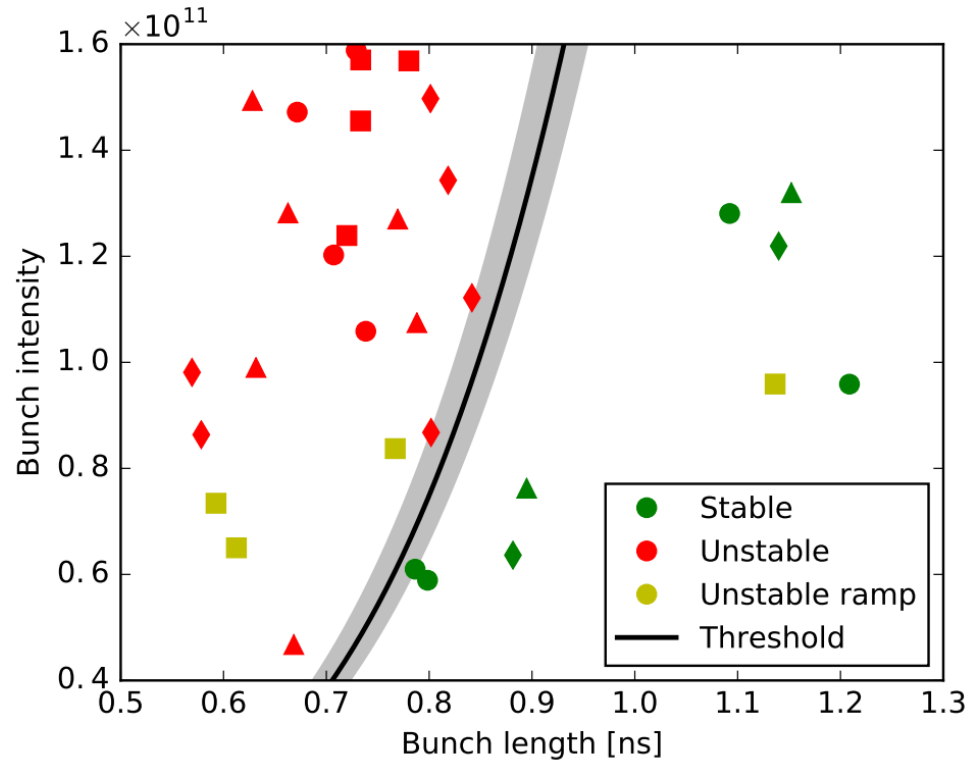


Bunch length shrinks during acceleration
 → Controlled blow-up must be applied to keep beam stable

* $\tau = \tau_{\text{FWHM}} \sqrt{2/\ln 2}$ is scaled from full-width half-maximum (FWHM) bunch length

Loss of Landau damping in LHC: measurements

MDs in 2015, $V_{rf} = 12$ MV, beam energy 6.5 TeV

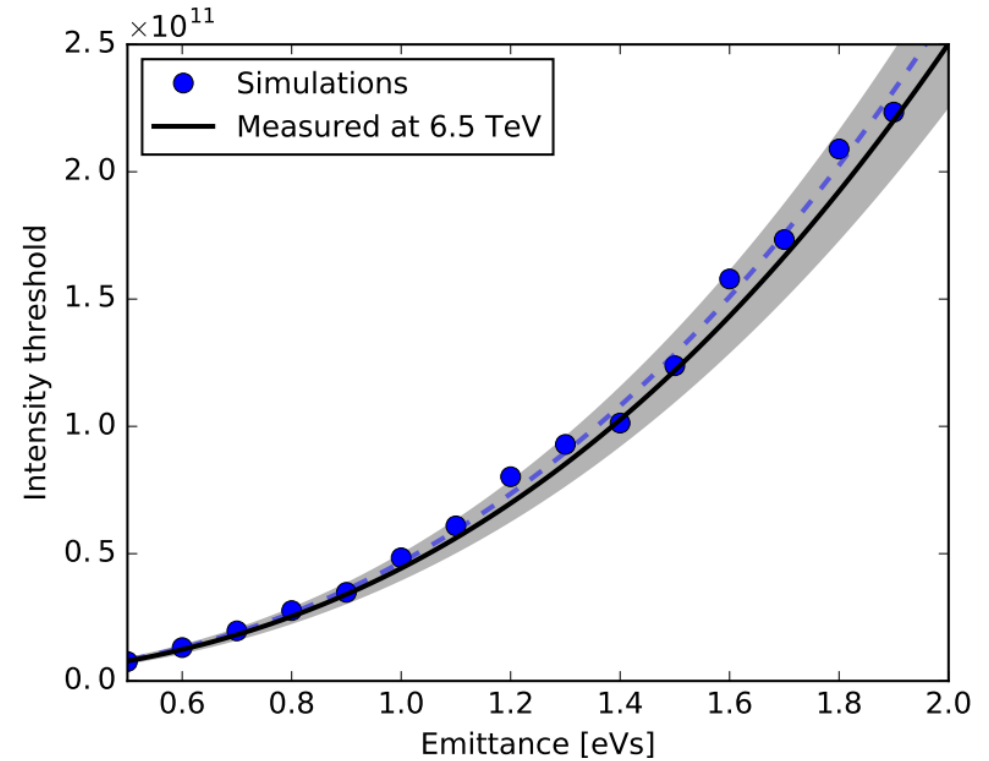
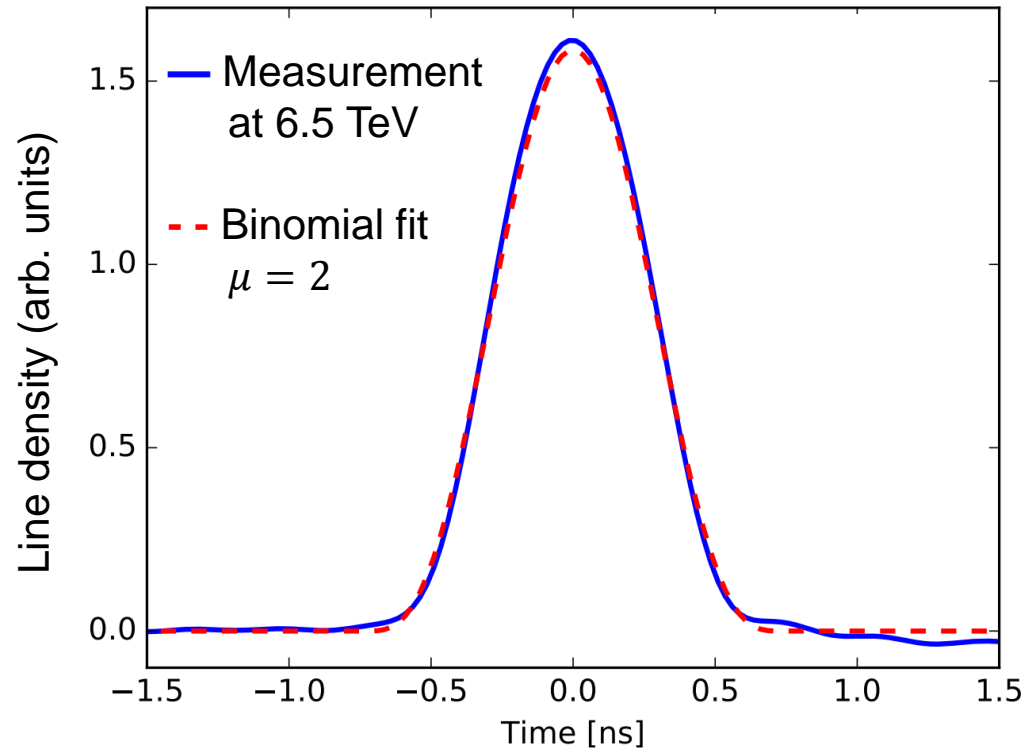


Measurements performed at different conditions and stability parameter was

calculated for all bunches $\xi = V_{rf}\tau^5/N_b$ (*PhD thesis J. E. Muller, 2016*)

→ The threshold $\xi_{th} = 0.5 \times (\max \xi_{unst} + \min \xi_{st}) = (5.0 \pm 0.5) \times 10^{-5} (\text{ns})^5 \text{V}$

Comparison of measurements and simulations

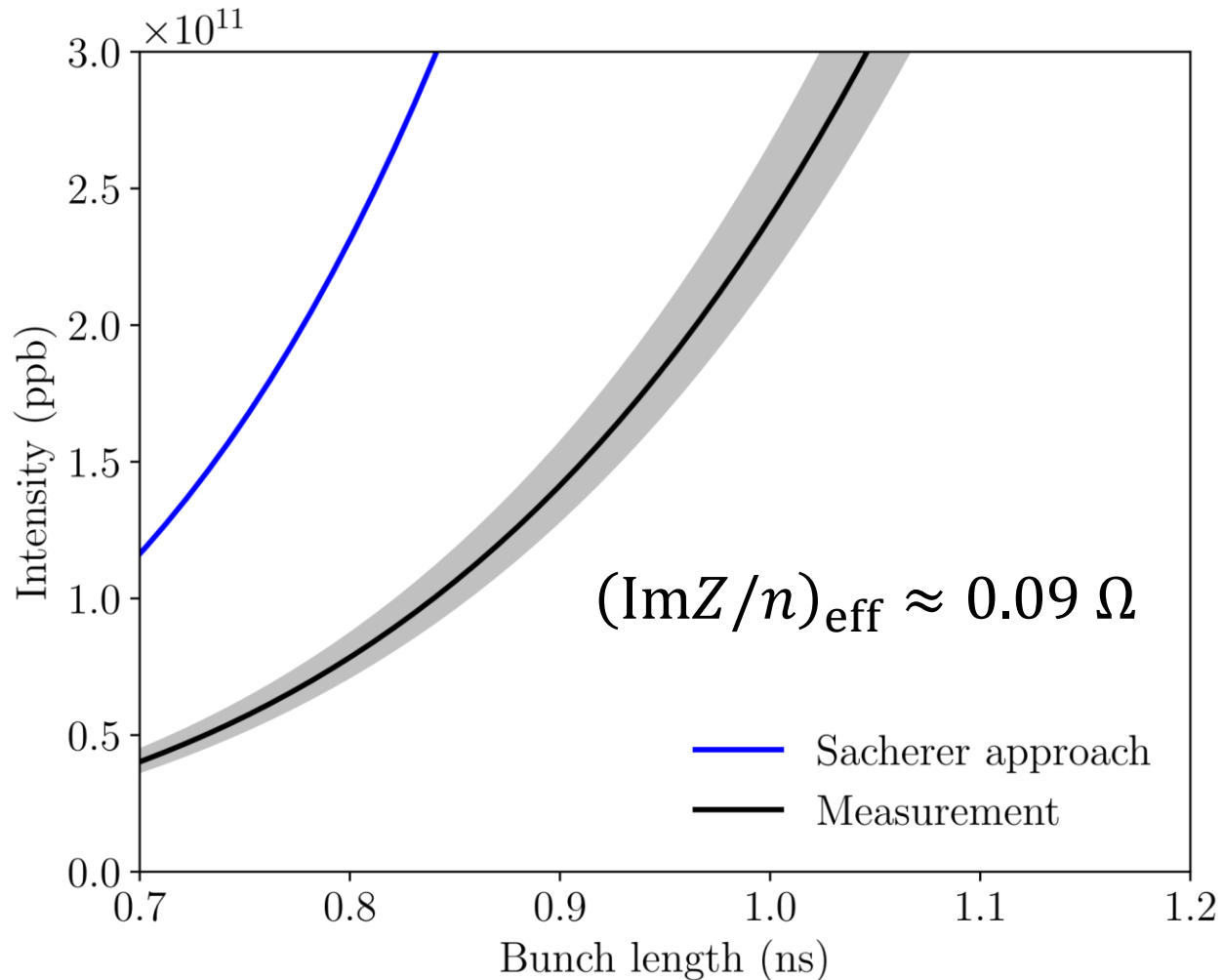


Simulation with full LHC longitudinal impedance model (*B. Salvant et al., HB2012*)

& binomial bunch distribution $\lambda(t) = \lambda_0 [1 - 4t^2/\tau^2]^{\mu+0.5}$

→ Very good agreement between measurements and BLongD particle tracking simulations (*PhD thesis J. E. Muller, 2016*).

Comparison of measurements and analytic calculations



→ The analytically calculated thresholds using Sacherer approach are 3 – 4 times higher.

→ We need a different approach to evaluate stability for future machines (for example FCC-hh).

Analytic approaches

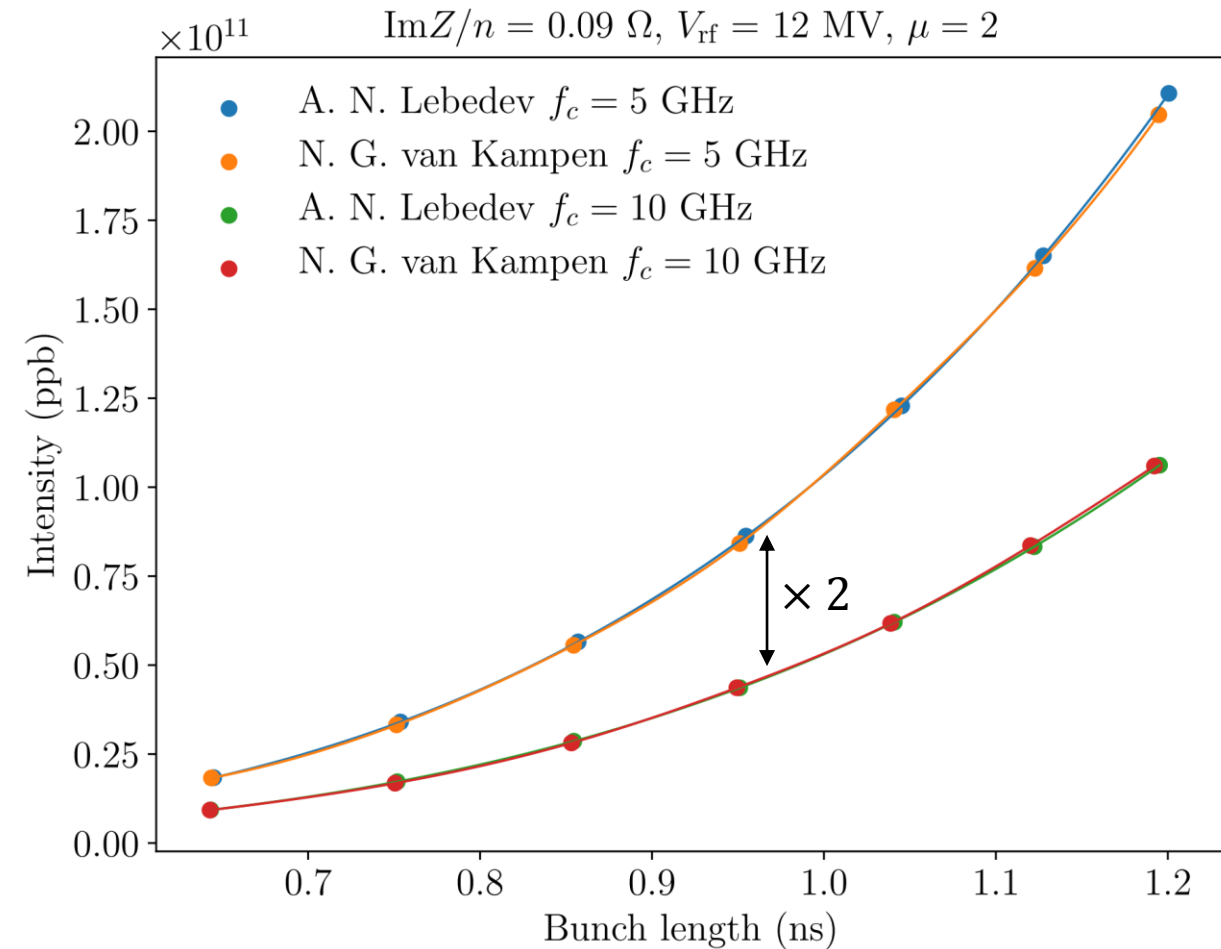
Vlasov equation for a small perturbation of stationary distribution can be solved by using:

1. Orthogonal mode expansion (*K. Oide & K. Yokoya, 1990*).
Loss of LD is interpreted as emerged van Kampen coherent modes (semi-analytic code by *A. Burov, 2010*, recently translated in Python by *T. Argyropoulos, 2019*).
2. Another form of solution (*A. N. Lebedev, 1967*).
Matrix equation defines presence of undamped modes

Both approaches were incorporated in a new semi-analytic framework **MELODY** (Matrix Equations for LOngitudinal stabilitY evaluation, 2019)

Comparison of analytic approaches

LHC at 6.5 TeV, $\text{Im}Z/n = 0.09 \Omega = \text{const}$

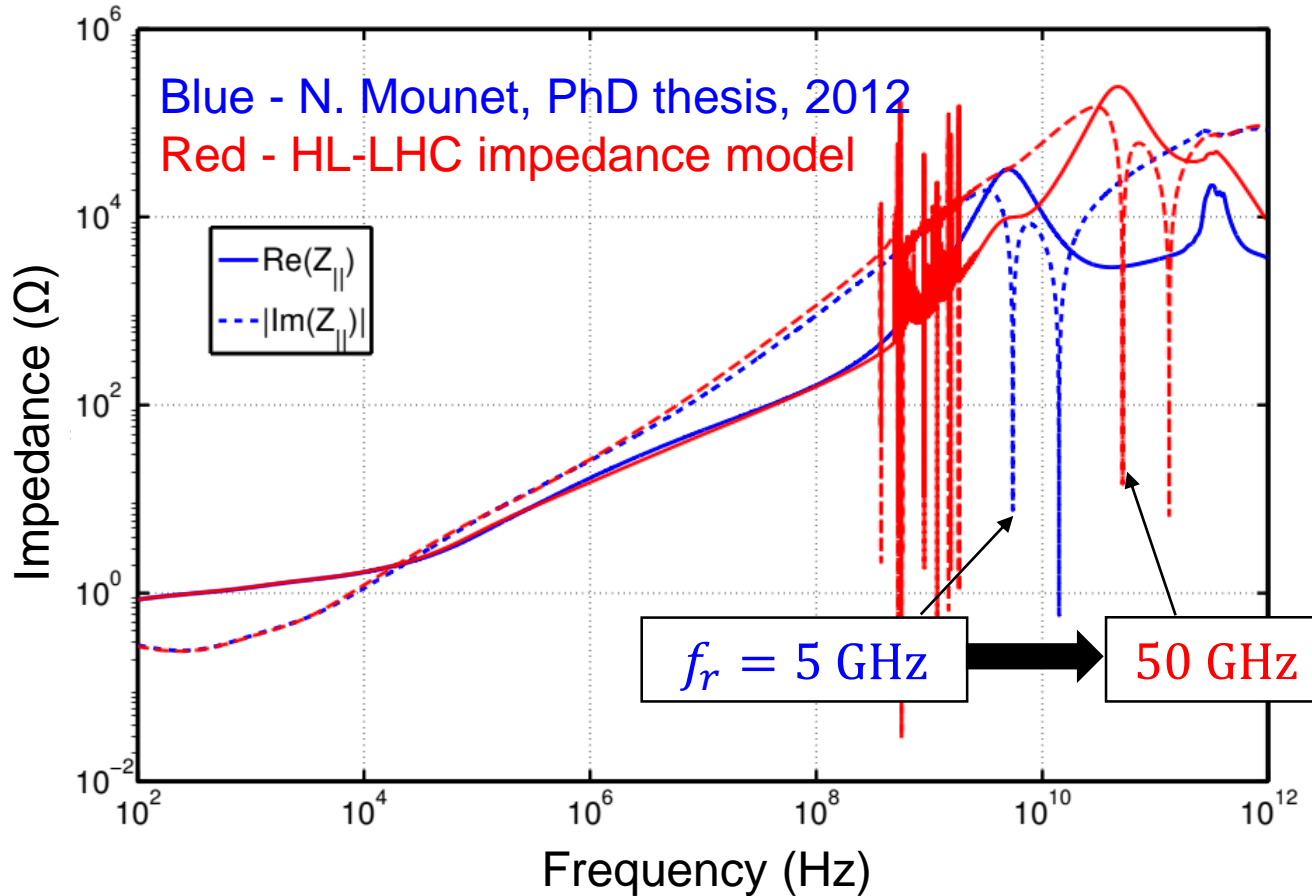


→ Very good agreement between both approaches

→ $\text{Im}Z/n = \text{const}$, often used for estimations turns out to be not physical (threshold depends on cut-off frequency f_c)

→ Realistic impedance model needs to be used

LHC/HL-LHC impedance model



Broad-band impedance model
(*LHC Design Report, 2004*)

$$R = Q \text{Im}Z/n \frac{f_r}{f_0}$$

$$Q = 1, f_r = 5 \text{ GHz}$$

$\text{Im}Z/n = 0.07 \text{ } \Omega$ for injection optics

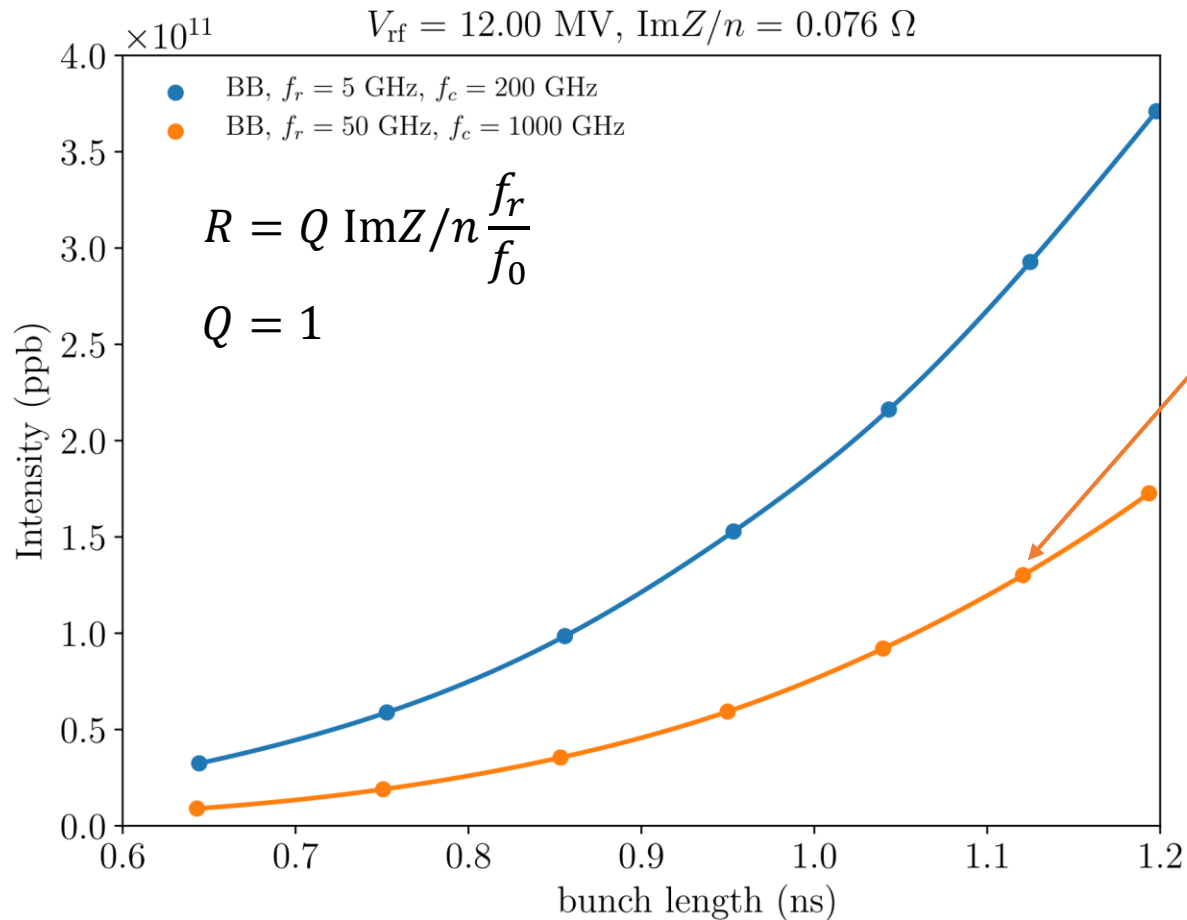
$\text{Im}Z/n = 0.076 \text{ } \Omega$ for squeezed optics

→ The resonant frequency of the broadband model was changed from 5 GHz to 50 GHz (*D. Amorim, 2018*).

→ This change has a significant impact on longitudinal single-bunch stability

Stability threshold for broad-band impedance

MELODY results

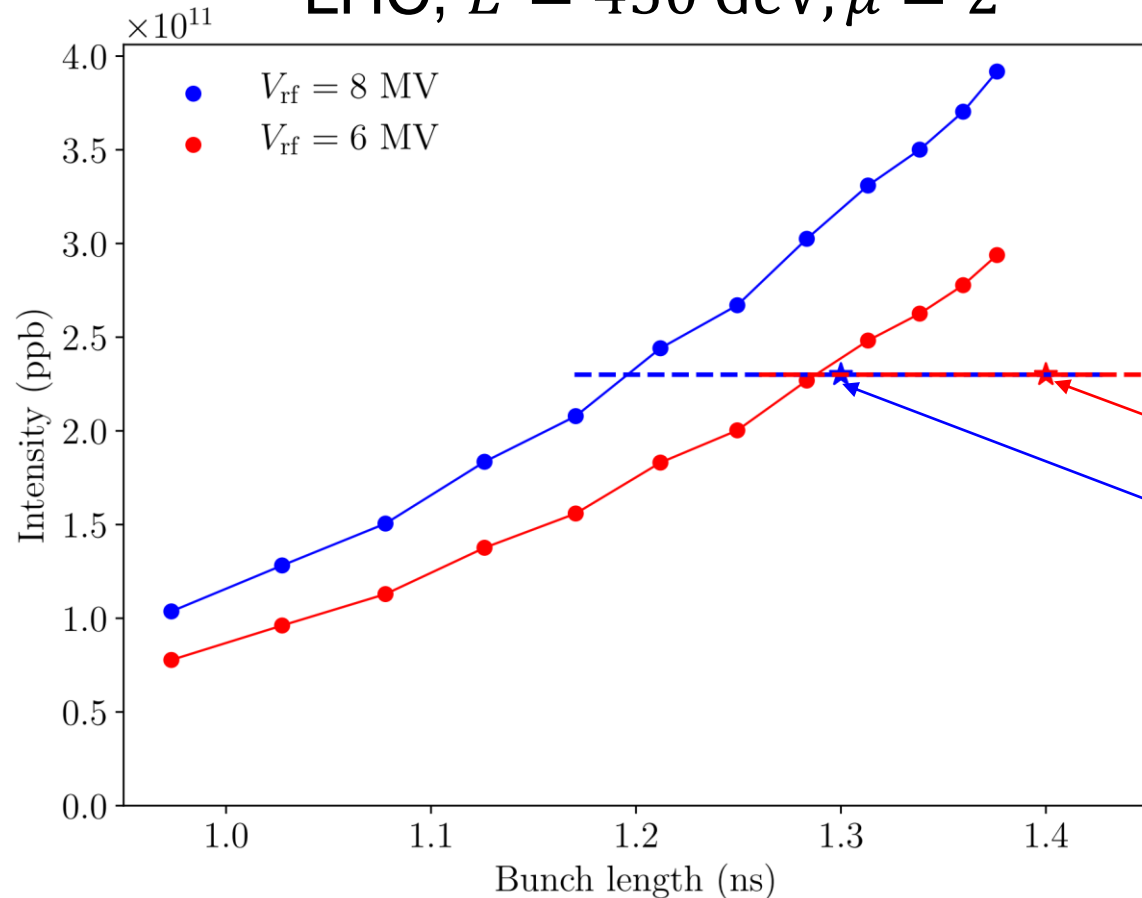


→ Changing resonant frequency from 5 GHz to 50 GHz results in reduction of the threshold by **factor of 3**.

→ The LHC/HL-LHC broad-band impedance model needs to be revised.

Single-bunch stability at 450 GeV

LHC, $E = 450 \text{ GeV}$, $\mu = 2$



Results using MELODY for smoothed impedance (resistive wall + broad-band model at 5 GHz)

For LIU bunch from SPS (1.65 ns, 10MV@200MHz + 1.6 MV@800 MHz), bunch length in LHC (in absence of injection errors):

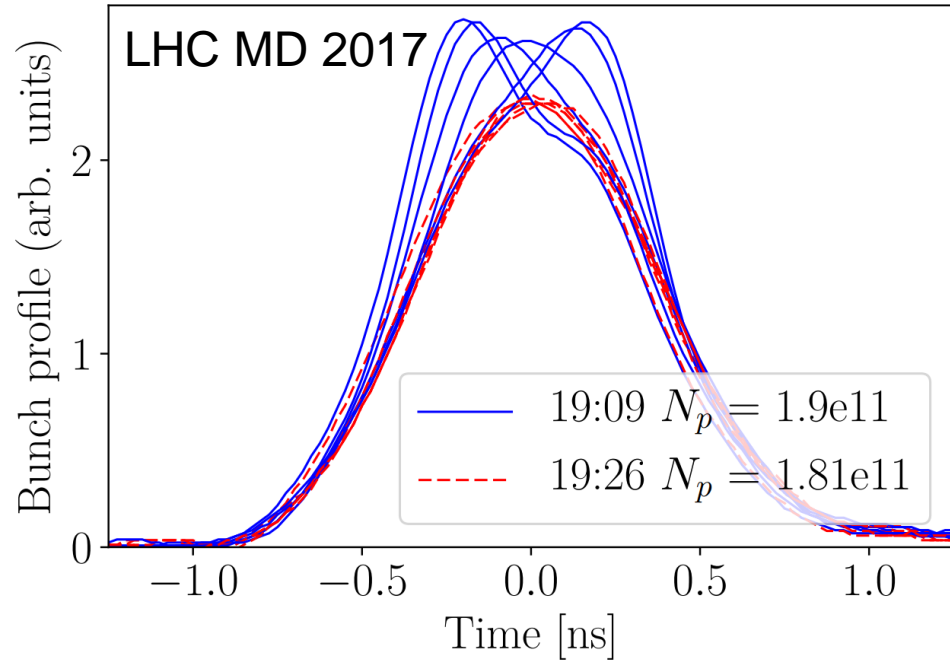
1.4 ns for 6 MV (LHC nominal 2017)

1.3 ns for 8 MV (HL-LHC design report)

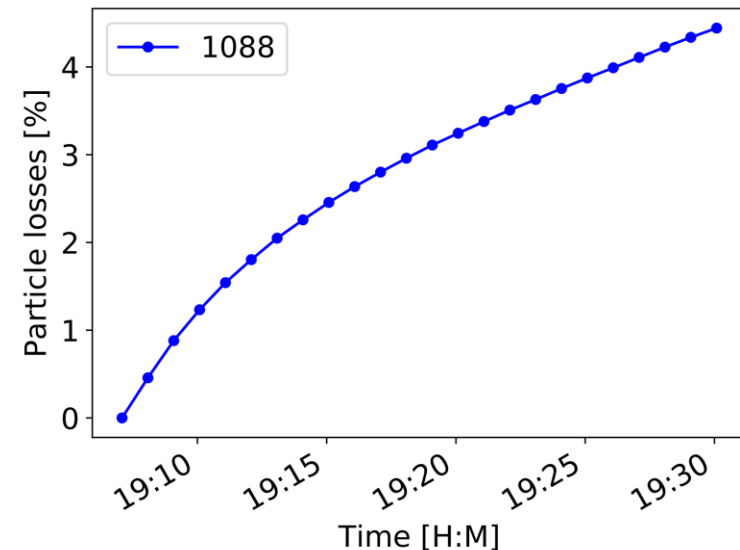
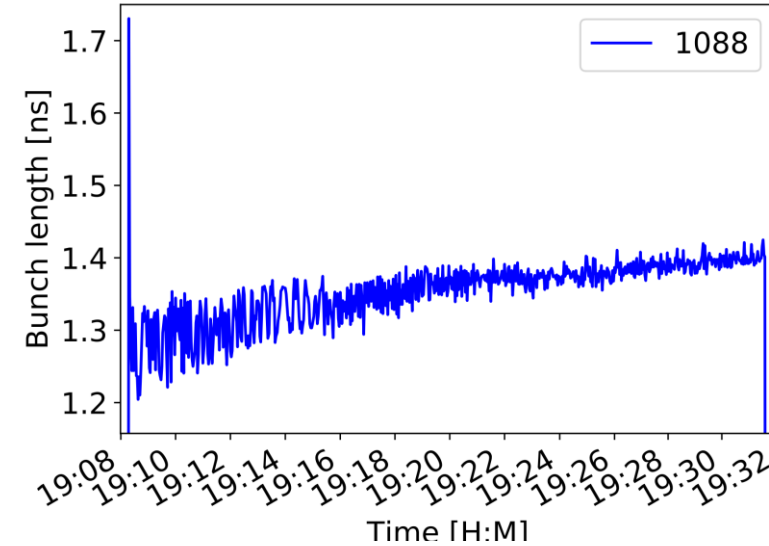
Two voltages V_{rf} provide similar single-bunch stability

There are constrains due to injection losses and rf power consumption (*see talk of H. Timko*)

Persistent oscillations after injection

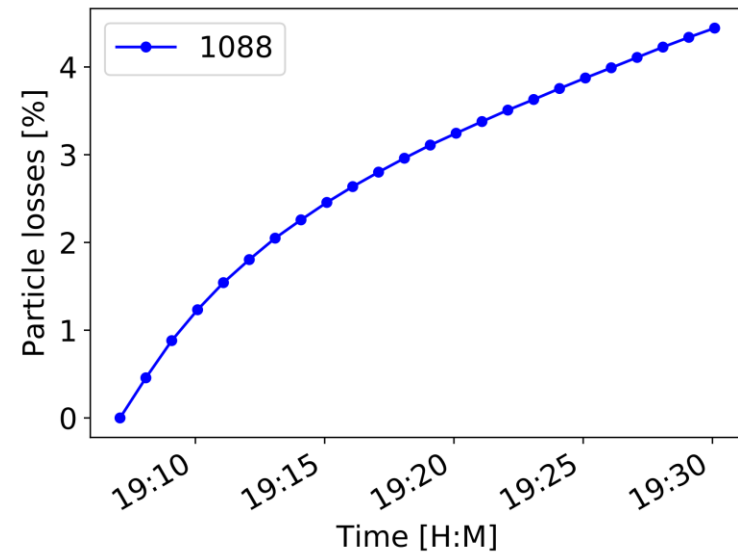
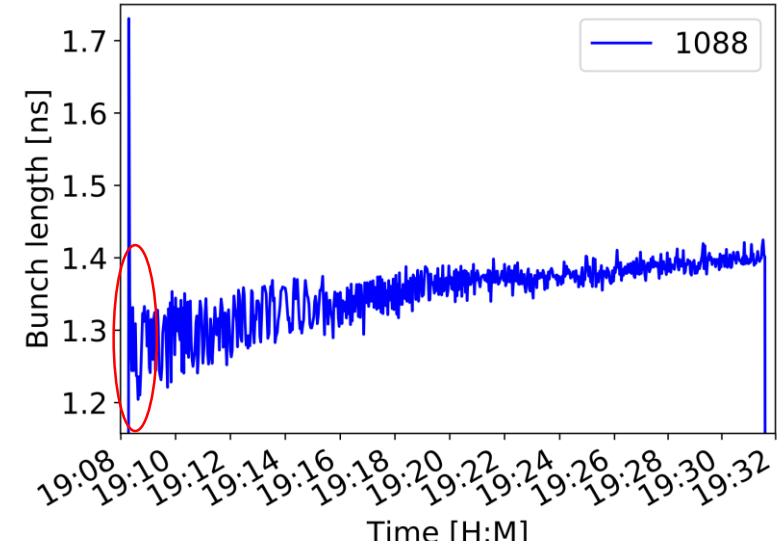
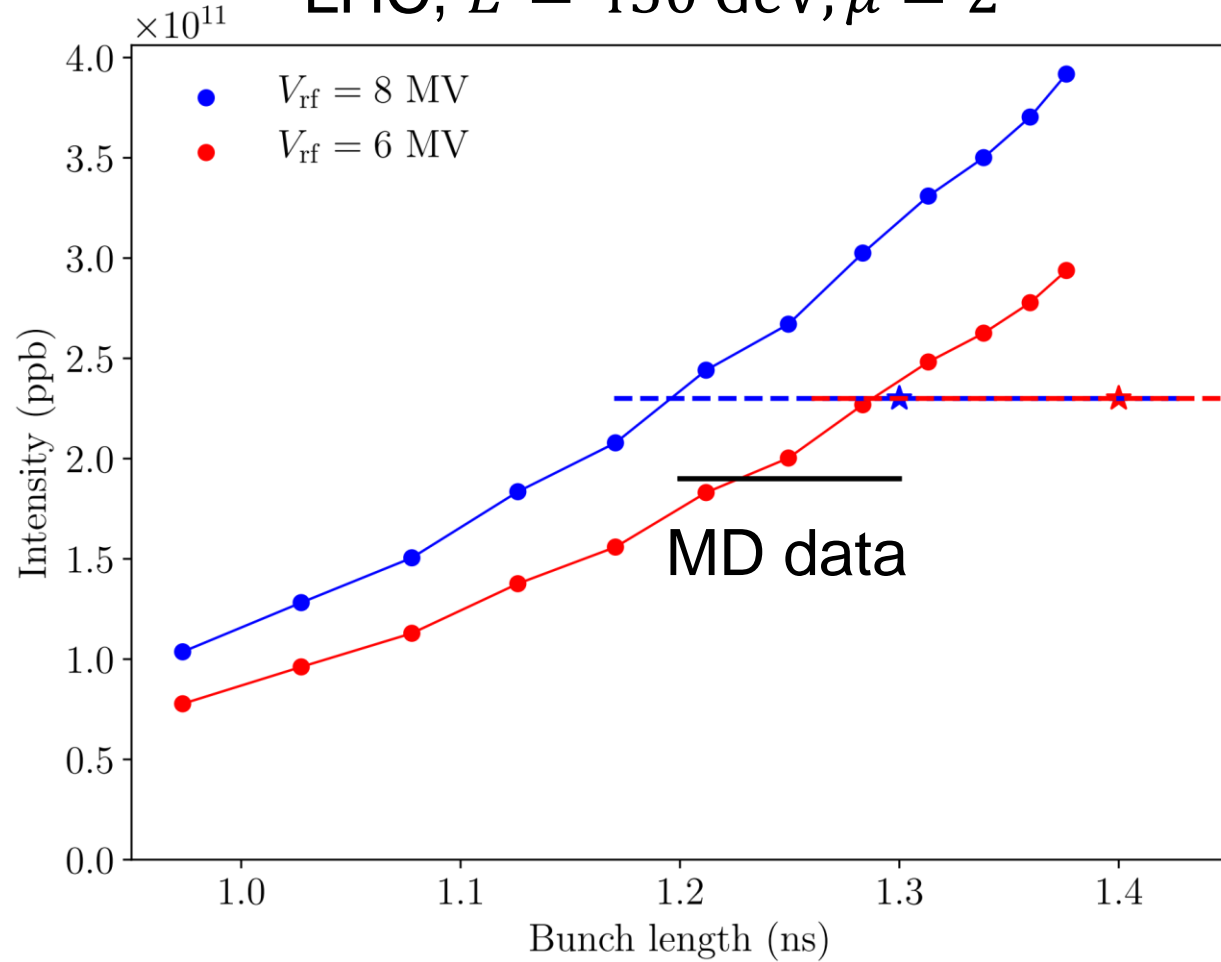


During 20 min oscillations lead to ~10 % bunch lengthening and ~5% particle loss
(*H. Timko et al., HB2018*)
Similar oscillations were observed in Tevatron (*R. Moore, PAC2003*)



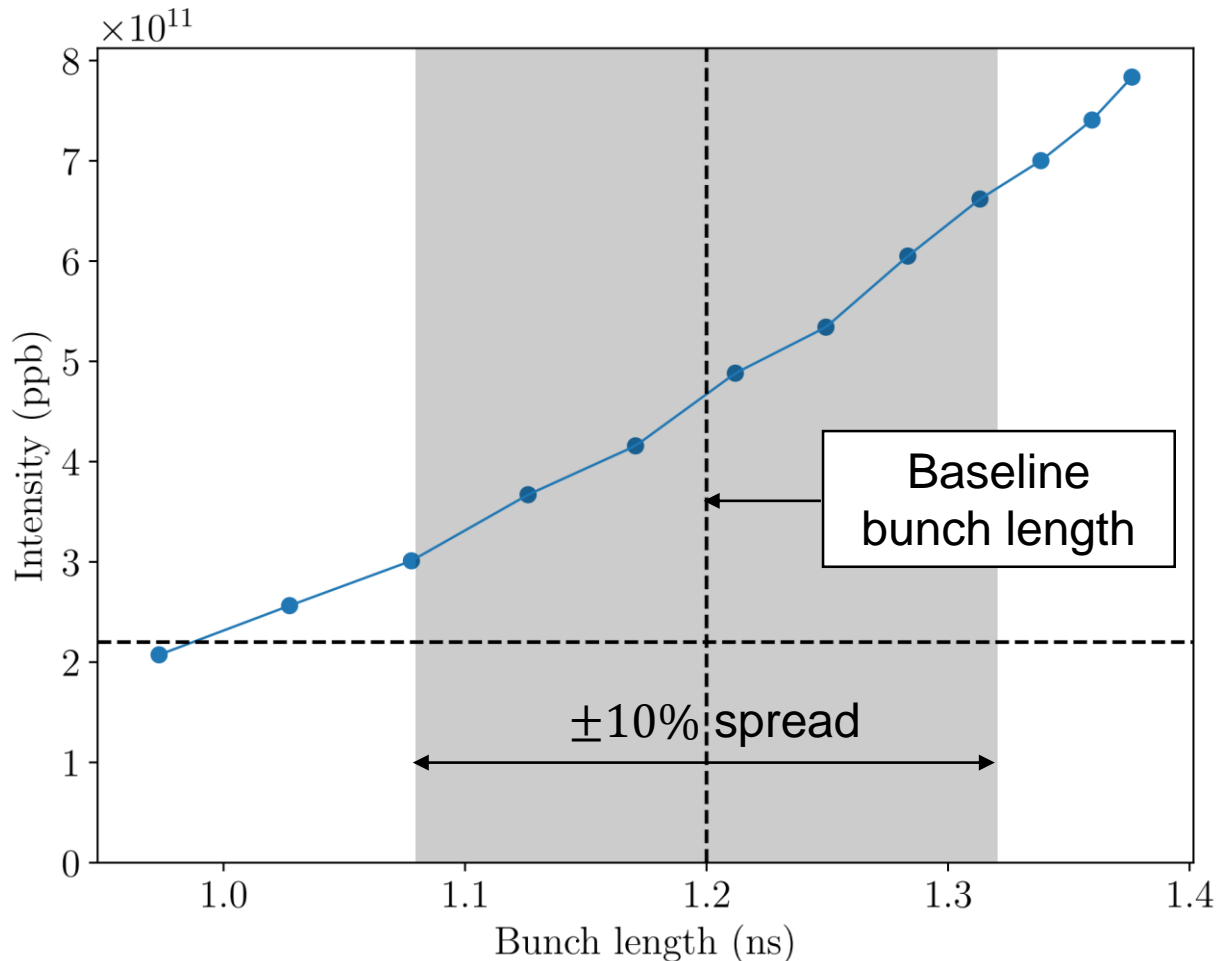
Persistent oscillations after injection

LHC, $E = 450 \text{ GeV}$, $\mu = 2$



Single-bunch stability at 7 TeV

LHC, $V_{\text{rf}} = 16 \text{ MV}$, $E = 7 \text{ TeV}$, $\mu = 2$



Results using MELODY for smoothed impedance (resistive wall + broad-band model at 5 GHz)

→ Sufficient stability for $\tau = 1.2 \text{ ns}$ with margin for $\pm 10\%$ bunch length spread

Next steps:

→ To repeat calculations with revised broad-band impedance model

→ To study effect of **high-order-modes (HOM)** on single-bunch stability

Stability of multi-bunch beam

Multi-bunch instabilities were not observed so far in LHC

HL-LHC: higher intensity & HOMs of crab cavities (CC)

For ≈ 3000 bunches macro-particle simulations are computationally expensive
→ Analytical approaches are used to define requirements for HOM damping

Analytical stability evaluation can be based on:

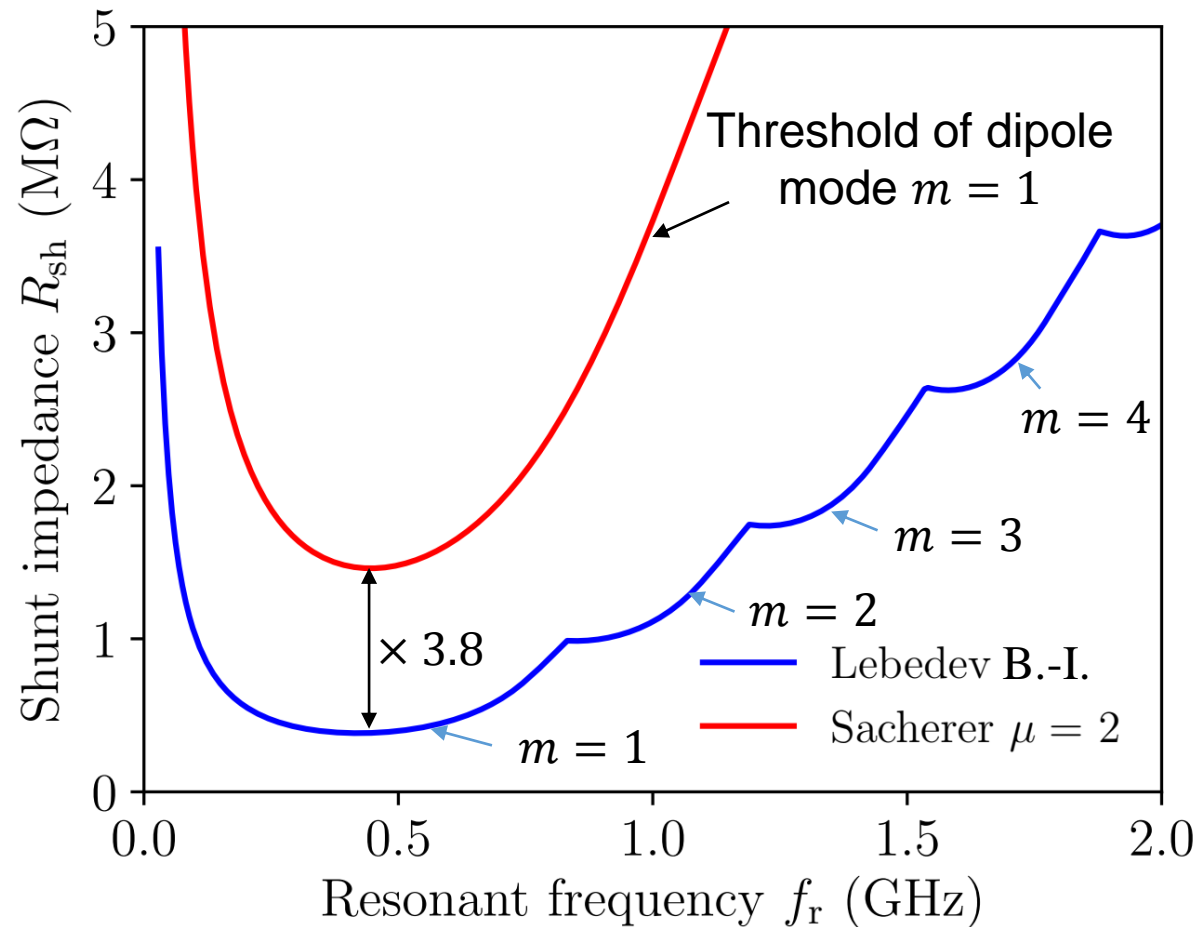
Sacherer stability diagram (*F. Sacherer, 1971*)

Lebedev equation (stability diagram by *V. Balbekov, S. Ivanov, 1987*)

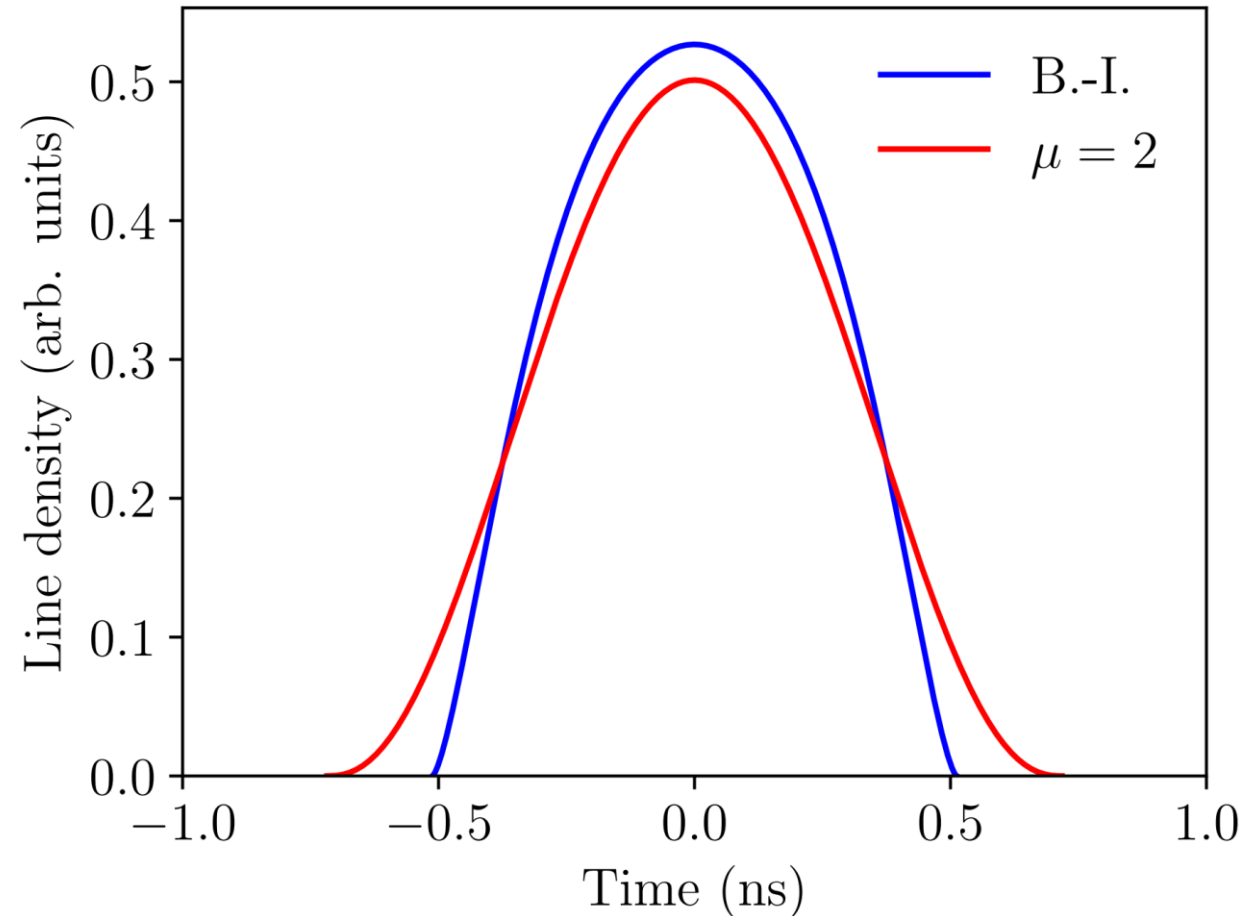
There was a significant discrepancy between the results of two approaches
(*E. Shaposhnikova, LHC-CC'10* and *A. Burov, LHC-CC'11*)

Lebedev vs Sacherer approach

$V_{\text{rf}} = 16 \text{ MV}$, $\tau = 1.2 \text{ ns}$, $E = 7 \text{ TeV}$

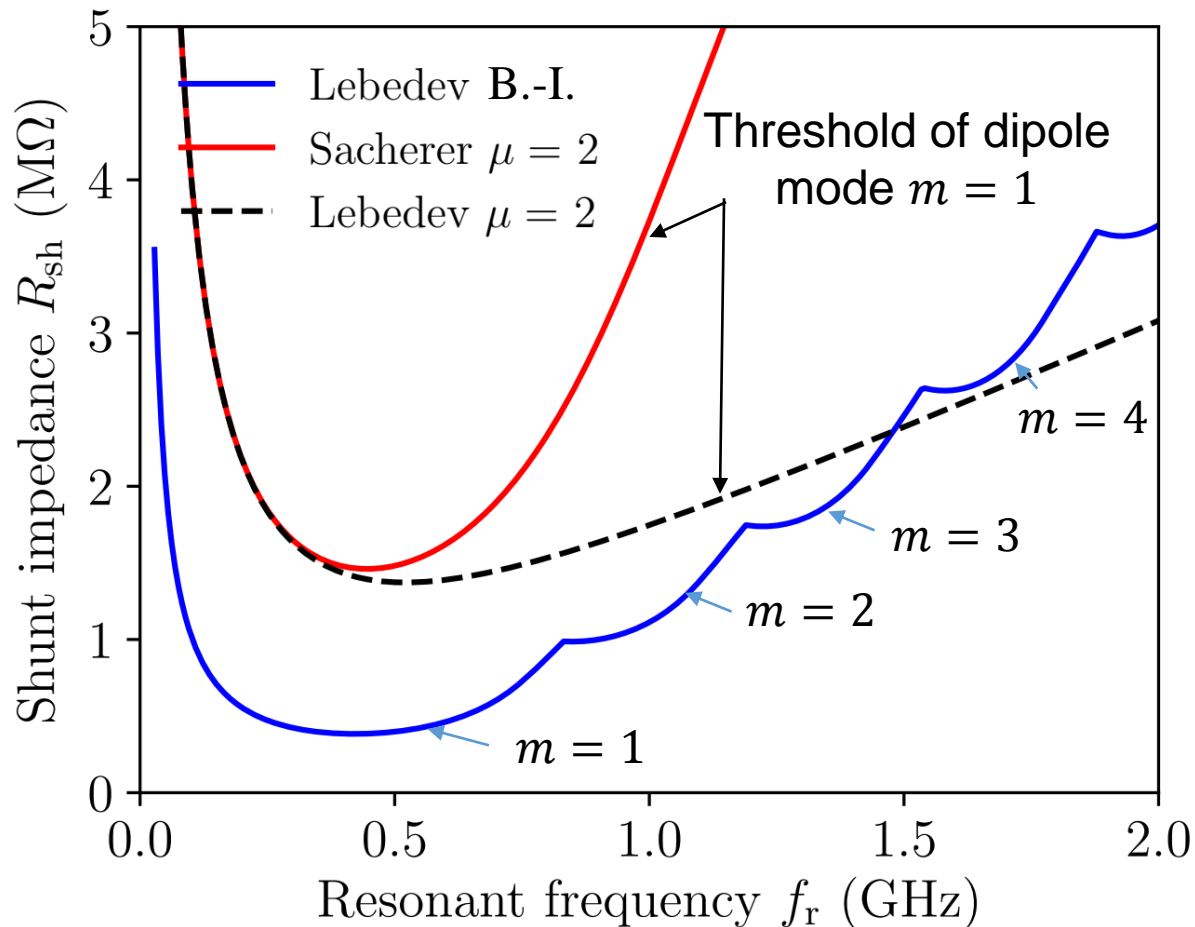


Binomial vs Balbekov-Ivanov distribution



Lebedev vs Sacherer approach

$$V_{\text{rf}} = 16 \text{ MV}, \tau = 1.2 \text{ ns}, E = 7 \text{ TeV}$$



→ Factor of 4 difference is due to different distribution function.

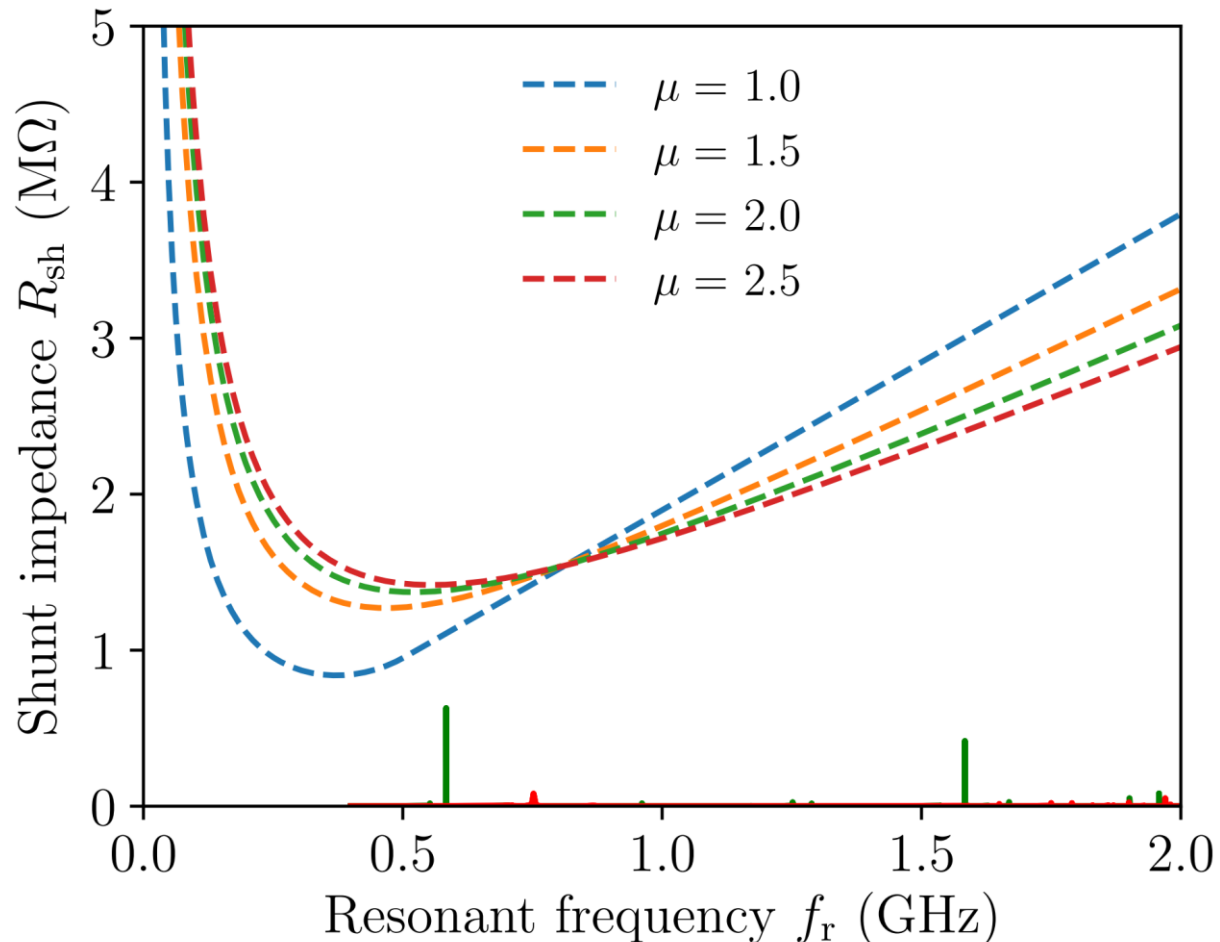
→ Stability diagram approach based on Lebedev equation was extended to binomial distribution.

→ For $\mu = 2$, the minimum thresholds are similar, but Sacherer approach **underestimates** threshold at higher frequencies

→ Sacherer approach can be obtained as a low frequency expansion of Lebedev equation (*E. Shaposhnikova et al., MCBI19*)

Results for HL-LHC flat top

$$V_{\text{rf}} = 16 \text{ MV}, \tau = 1.2 \text{ ns}, E = 7 \text{ TeV}$$



Crab cavity HOMs:

HL-LHC Double Quarter Wave (DQW) $\times 4$

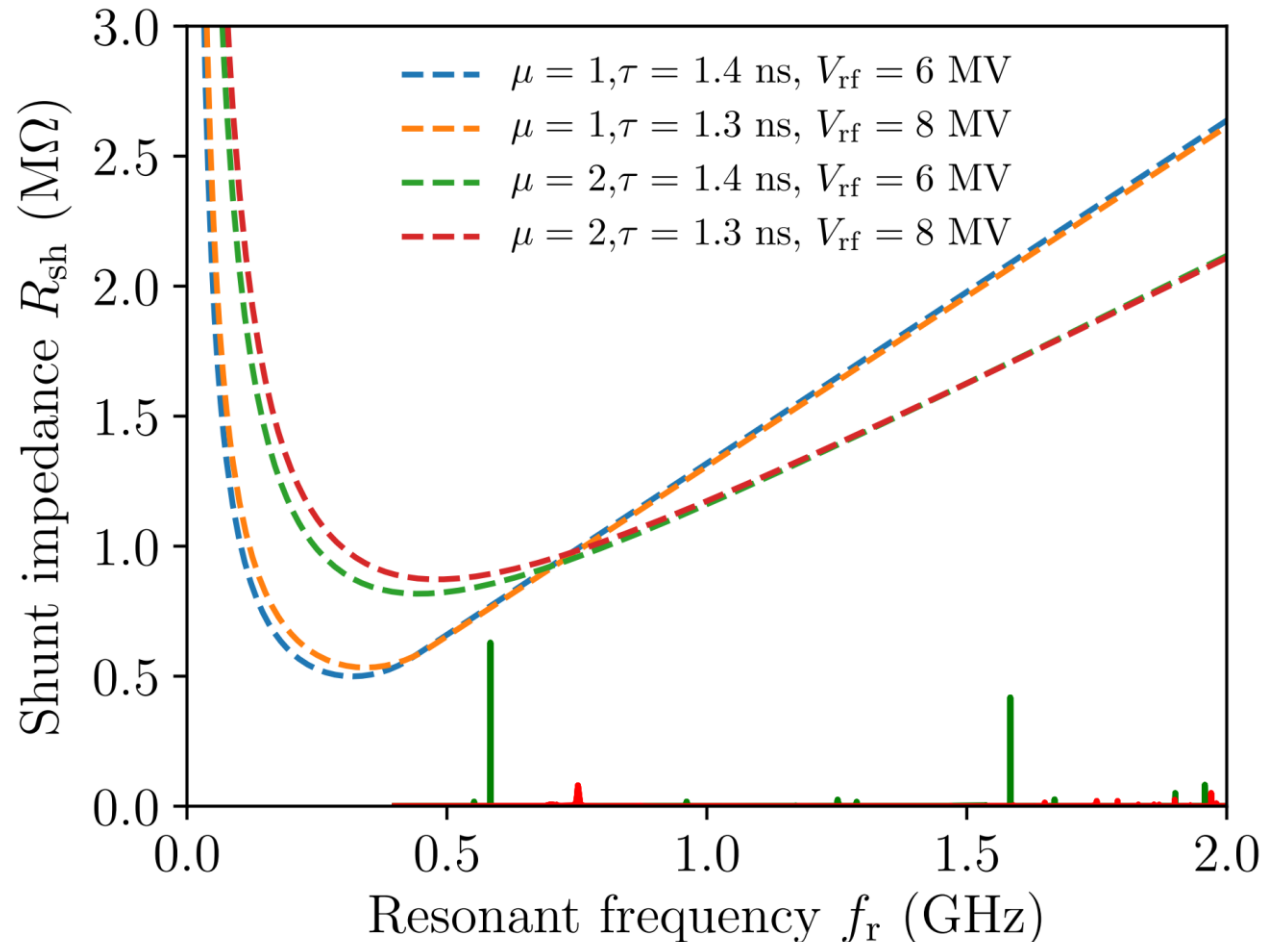
HL-LHC RF-Dipole (RFD) $\times 4$

→ Thresholds for distributions with different μ and the same FWHM bunch length are similar (except $\mu = 1$)

→ Only one HOM is close to the stability limit for the worst-case scenario without frequency spread between CC.

Results for HL-LHC flat bottom

$$E = 450 \text{ GeV}$$



Crab cavity HOMs:

HL-LHC Double Quarter Wave (DQW) $\times 4$

HL-LHC RF-Dipole (RFD) $\times 4$

→ Thresholds are similar for 6 MV and 8 MV of rf voltage for the same bunch parameters at the SPS extraction.

→ Recommendation: further damping of the first high Q mode of DQW CC could be addressed for margin in machine operation.

Summary

Single-bunch stability:

- Bunch parameters are affected by the loss of Landau damping
- Sacherer stability diagram in longitudinal plane should be used with caution. More complete formalisms (van-Kampen modes and Lebedev equation) are available for accurate semi-analytical threshold estimations.
- LHC/HL-LHC impedance model needs to be revised for longitudinal stability evaluation.

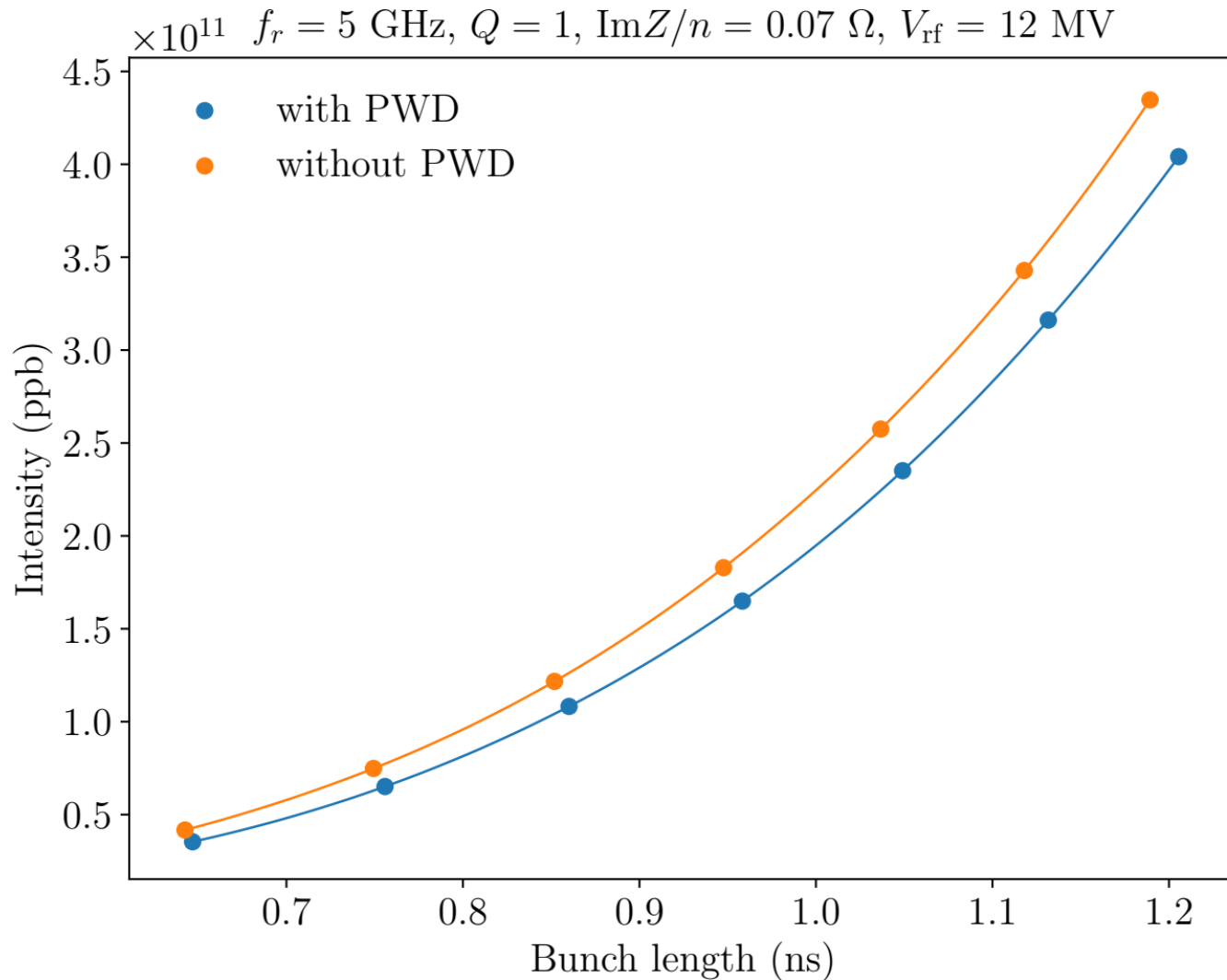
Multi-bunch stability:

- Thresholds of coupled-bunch instability depend on distribution function but are similar for the same FWHM bunch length (binominal distribution).
- To increase stability margin, a spread of HOM frequencies between crab cavities needs to be introduced and further damping of the first high Q mode of DQW CC is recommended.

Thank you for your attention!

Spare slides

Impact of potential well distortion



Difference is about 10 %

Landau damping for multi-bunch beam

For narrow band impedance with ω_r only one resonant harmonic $k_r = \omega_r/\omega_0 = lM + n$ can be kept (M - number of equidistant bunches) in Lebedev' equation:

$$\frac{k}{Z_k} = -\frac{iI_0 h M}{V \cos \phi_s} G_{kk}(\Omega)$$

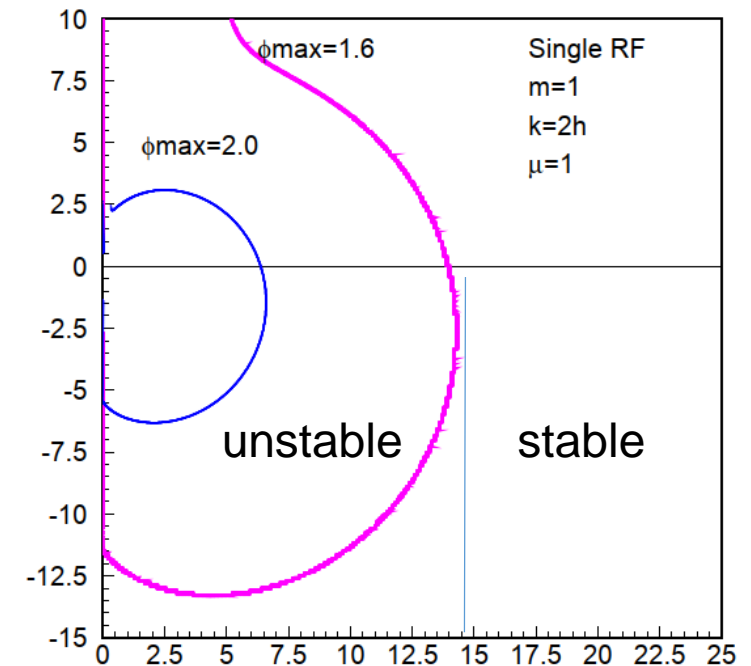
From stability diagram
(V. Balbekov, S. Ivanov, 1987): $\frac{k}{Z_k} > \frac{I_0 h M}{V \cos \phi_s} \text{Im}G_{kk}^{max}$

with
$$\text{Im}G_{kk}(\Omega) = \frac{\pi}{A_N} \sum_{m=1}^{\infty} \frac{F'(\mathcal{E}_m) I_{mk}^2(\mathcal{E}_m)}{\omega'_s(\mathcal{E}_m)}$$

→ Beam is stable if vertical line $1/R_{sh}$ is inside stability region

Stability diagram for

$$F(\mathcal{E}) = F_0(1 - \mathcal{E}/\mathcal{E}_{max})^\mu$$



$$Z_k^{-1} = 1/R_{sh} + i Q(\omega/\omega_r - \omega_r/\omega)/R_{sh}$$

Multi-bunch threshold

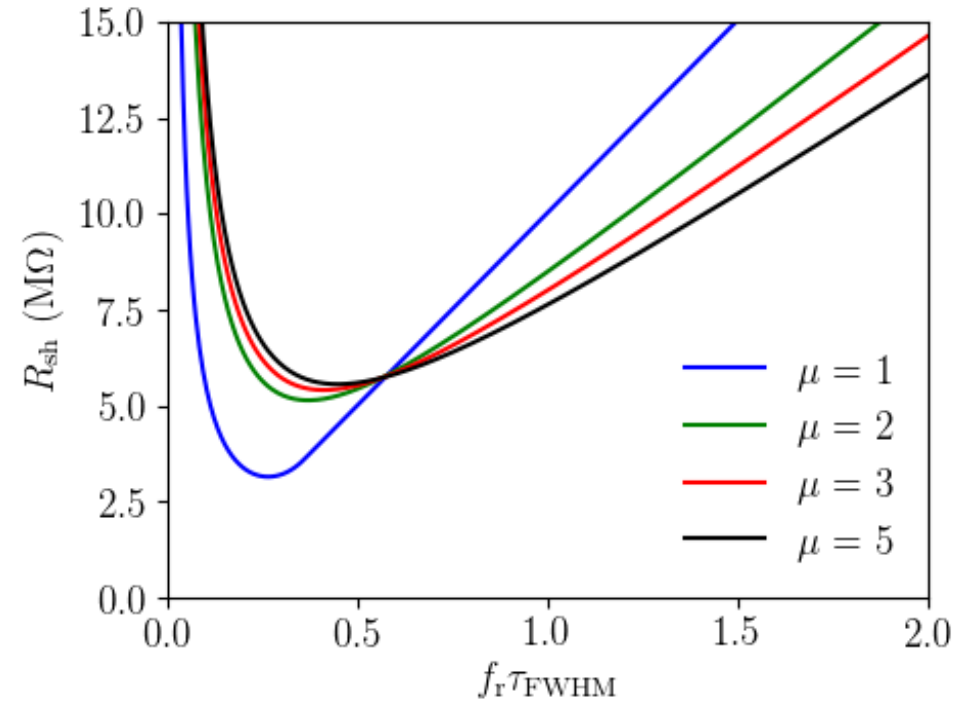
In single RF system the threshold (no acceleration) for binomial distribution

$$F(\mathcal{E}) = F_0(1 - \mathcal{E}/\mathcal{E}_{max})^\mu$$

$$R_{sh} < \frac{V (\pi f_{rf} \tau_b)^3}{32 I_0} G_\mu(f_r \tau_b) \quad \text{where}$$

$$G_\mu(x) = \frac{x}{\mu(\mu + 1)} \min_{y \in [0,1]} \left[\sum_{m=1}^{\infty} (1 - y^2)^{\mu-1} J_m^2(\pi xy) \right]^{-1}$$

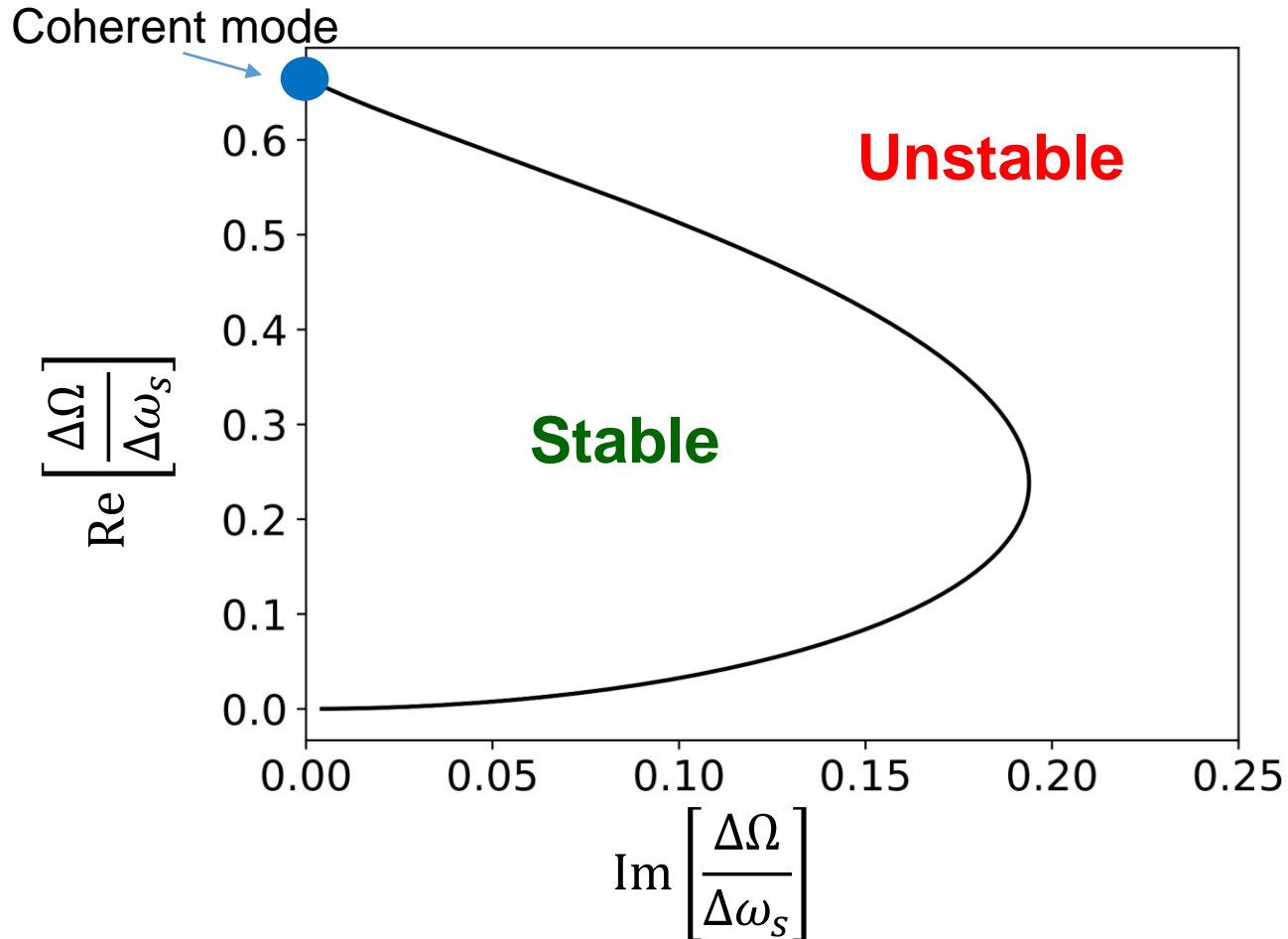
→ The FWHM bunch length is important



Threshold R_{sh} for coupled-bunch instabilities in FCC-hh at 50 TeV for nominal intensity $N_b=10^{11}$, $V_{rf} = 38$ MV, $\gamma_t=99.3$ (*I. K, E.Shaposhnikova, IPAC'19*)

Sacherer's formalism

Stability diagram for parabolic line density



Landau damping is lost if coherent mode shift $\Delta\Omega$ normalized by incoherent spread $\Delta\omega_s$ lies outside of stability diagram (F. Sacherer, 1971)

Simplified threshold $N_b = V_{\text{rf}}\tau^5/\xi$

Stability parameter $\xi \propto (Z/n)_{\text{eff}}$

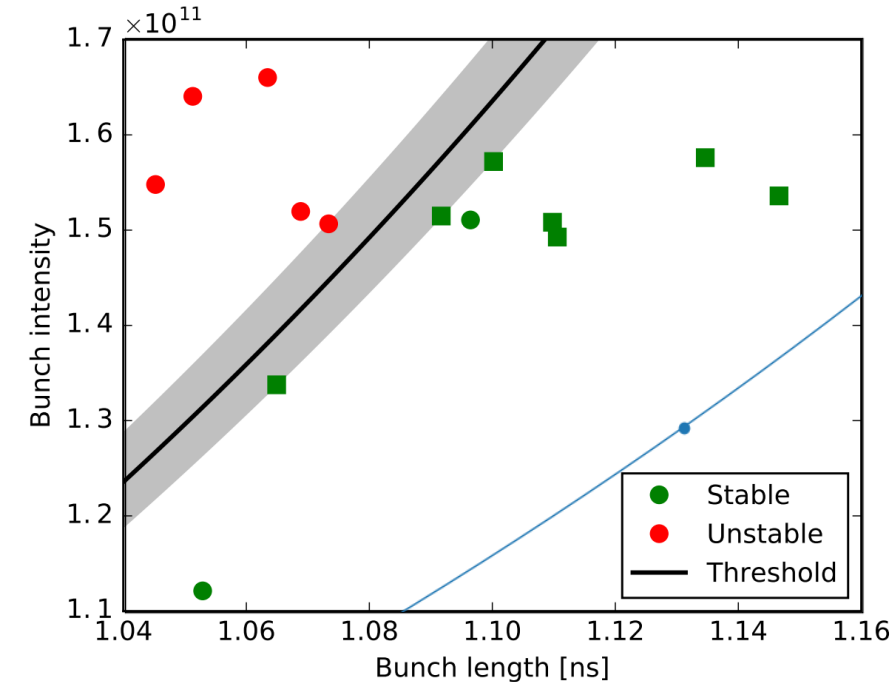
Effective impedance

$$(Z/n)_{\text{eff}} = \omega_0 \frac{\sum_q \frac{Z^{\parallel}(\omega_q) J_{3/2}^2(\omega_q\tau)}{\omega_q |\omega_q\tau|}}{\sum_q \frac{J_{3/2}^2(\omega_q\tau)}{|\omega_q\tau|}} \quad \omega_q = q\omega_0 + \omega_s$$

→ For the case of the LHC impedance model $\xi \approx 1.4 \times 10^{-5} \text{ (ns)}^5\text{V}$, $((Z/n)_{\text{eff}} \approx 0.09 \Omega)$

Measurements of the loss of Landau damping threshold in LHC

MD 2011,
 $V_{\text{rf}} = 5 \text{ MV}$, $E = 450 \text{ GeV}$



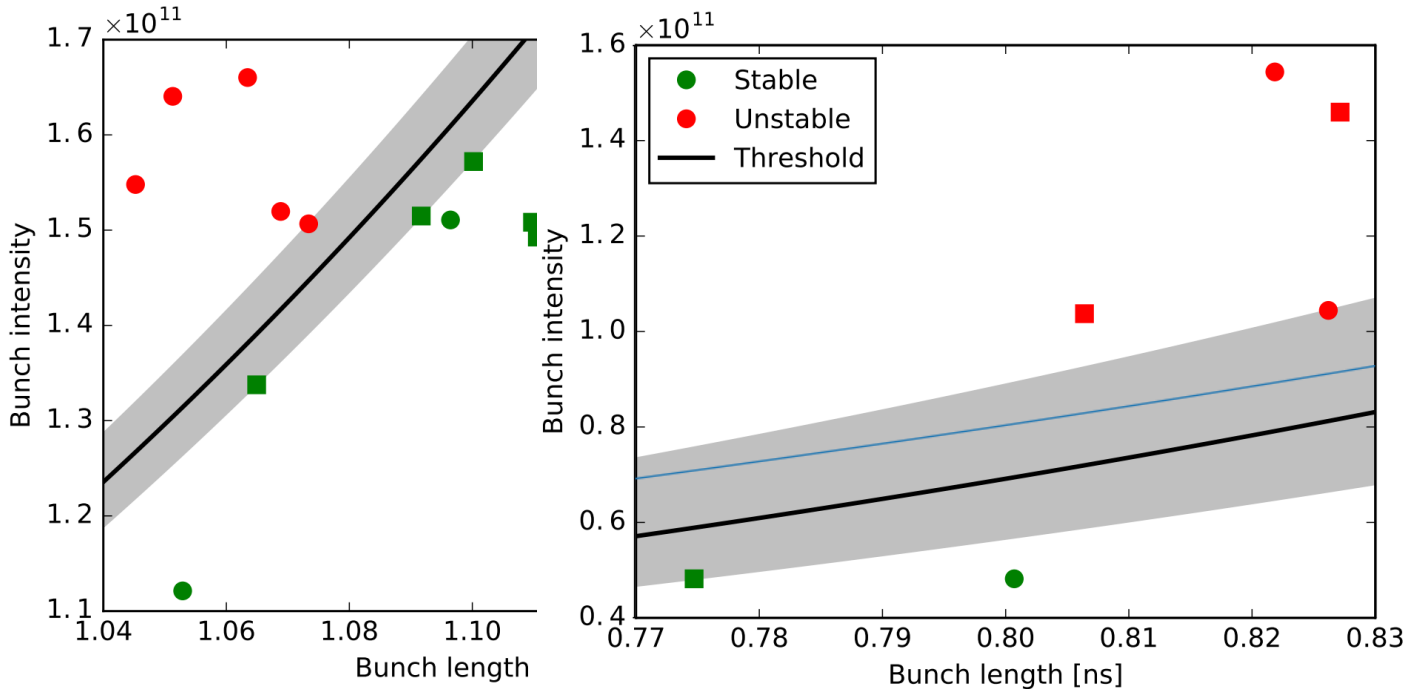
Measurements were performed at different conditions, but with all efforts only a limited parameter space was available during each of MDs (*PhD thesis J. E. Muller, 2016*). Threshold curves correspond to a fit $N_b = V\tau^5/\xi$.

→ As the result, $\xi = (5.0 \pm 0.5) \times 10^{-5} (\text{ns})^5 \text{V}$ was obtained.

→ The thresholds predicted from Sacherer's stability diagrams are 3 – 4 times higher than measured thresholds.

Measurements of the loss of Landau damping threshold in LHC

MD 2011, $V_{\text{rf}} = 5 \text{ MV}$, $E = 450 \text{ GeV}$ MD 2011, $V_{\text{rf}} = 12 \text{ MV}$, $E = 4 \text{ TeV}$



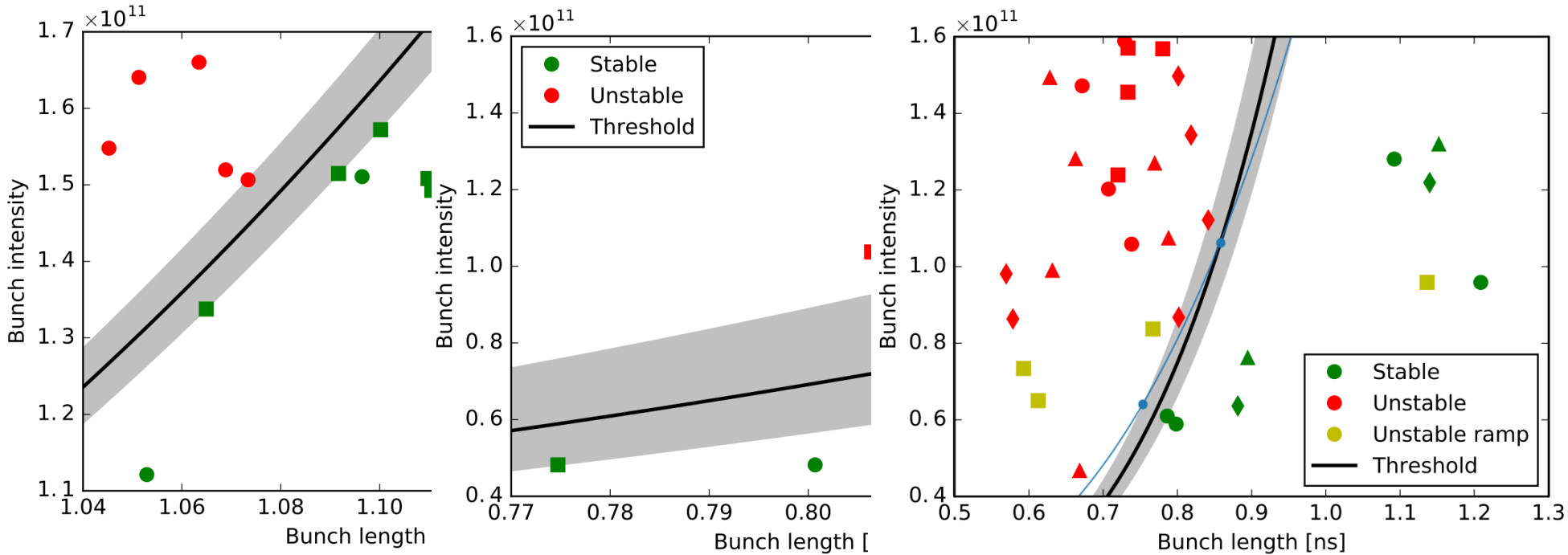
Measurements were performed at different conditions, but with all efforts only a limited parameter space was available during each of MDs (*PhD thesis J. E. Muller, 2016*). Threshold curves correspond to a fit $N_b = V\tau^5/\xi$.

→ As the result, $\xi = (5.0 \pm 0.5) \times 10^{-5} (\text{ns})^5 \text{V}$ was obtained.

→ The thresholds predicted from Sacherer's stability diagrams are 3 – 4 times higher than measured thresholds.

Measurements of the loss of Landau damping threshold in LHC

MD 2011, $V_{\text{rf}} = 5 \text{ MV}$, $E = 450 \text{ GeV}$ MD 2011, $V_{\text{rf}} = 12 \text{ MV}$, $E = 4 \text{ TeV}$ MD 2015, $V_{\text{rf}} = 12 \text{ MV}$, $E = 6.5 \text{ TeV}$



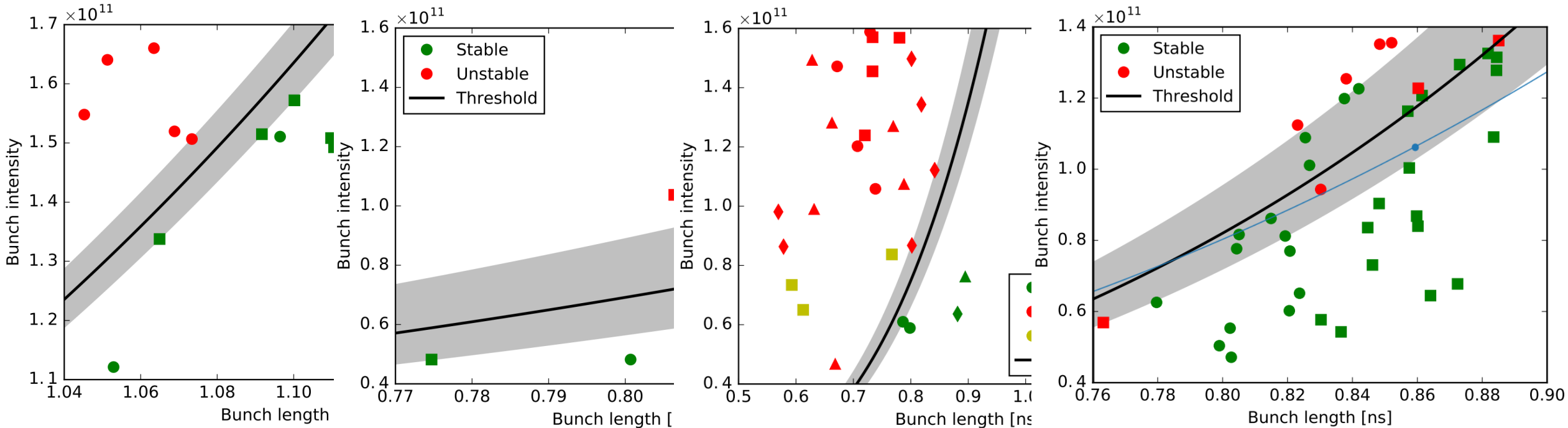
Measurements were performed at different conditions, but with all efforts only a limited parameter space was available during each of MDs (*PhD thesis J. E. Muller, 2016*). Threshold curves correspond to a fit $N_b = V\tau^5/\xi$.

→ As the result, $\xi = (5.0 \pm 0.5) \times 10^{-5} (\text{ns})^5 \text{V}$ was obtained.

→ The thresholds predicted from Sacherer's stability diagrams are 3 – 4 times higher than measured thresholds.

Measurements of the loss of Landau damping threshold in LHC

MD 2011, $V_{\text{rf}} = 5 \text{ MV}$, $E = 450 \text{ GeV}$ MD 2011, $V_{\text{rf}} = 12 \text{ MV}$, $E = 4 \text{ TeV}$ MD 2015, $V_{\text{rf}} = 12 \text{ MV}$, $E = 6.5 \text{ TeV}$ MD 2015, $V_{\text{rf}} = 12 \text{ MV}$, $E = 6.5 \text{ TeV}$



Measurements were performed at different conditions, but with all efforts only a limited parameter space was available during each of MDs (*PhD thesis J. E. Muller, 2016*). Threshold curves correspond to a fit $N_b = V\tau^5/\xi$.

→ As the result, $\xi = (5.0 \pm 0.5) \times 10^{-5} (\text{ns})^5 \text{V}$ was obtained.

→ The thresholds predicted from Sacherer's stability diagrams are 3 – 4 times higher than measured thresholds.

Comparisons with simulations

Simulation setup (*PhD thesis J. E. Muller, 2016*):

- Number of macro-particles 5×10^5
- 50 slices per bucket ($f_c = 10$ GHz) for induced voltage calculation using full impedance model
- Initially matched bunched is kicked by 1 degree

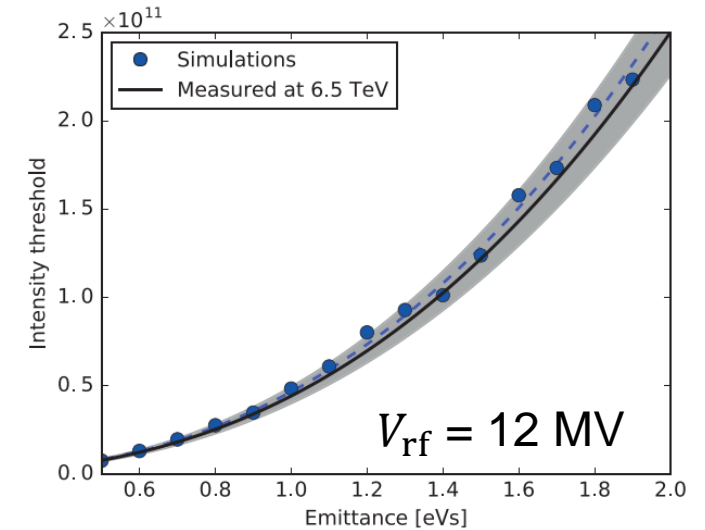
Stability criterion:

Bunch is stable if oscillation amplitude is reduced below 0.2 degrees

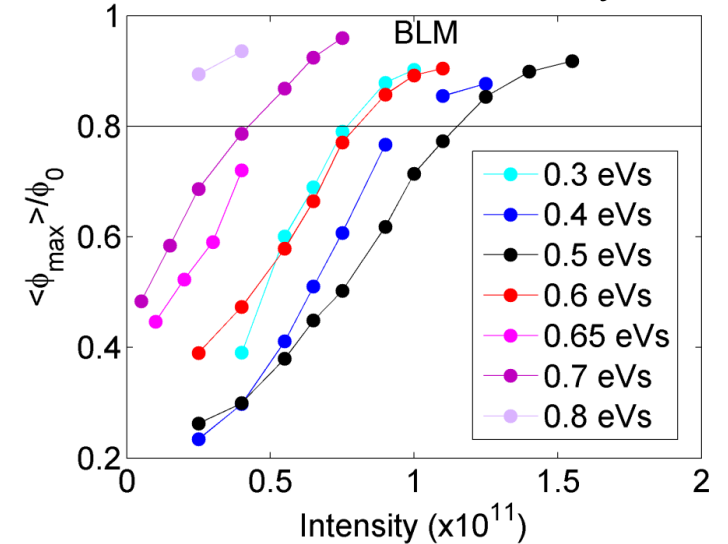
BLonD simulations using full LHC **impedance model** agree very well with measurements

However, the stability threshold can significantly vary depending on the chosen criteria based on the final oscillation amplitude (*PhD thesis T. Argyropoulos, 2015*)

→ Different method to find absolute threshold is needed



Simulations for double rf system**



Lebedev' approach

Matrix equation (A. N. Lebedev, 1967) in (\mathcal{E}, ψ) variables with $\mathcal{E} = \dot{\phi}^2 / (2\omega_{s0}^2) + U(\phi) / (V \cos \phi_s)$

$$j_p(\Omega) = -\frac{iI_0 h}{V \cos \phi_s} \sum_{k=-\infty}^{\infty} G_{pk}(\Omega) \frac{Z_k(\Omega)}{k} j_k(\Omega) \quad \text{where} \quad G_{pk}(\Omega) = \frac{1}{A_N} \sum_{m=-\infty}^{\infty} \int_0^{\infty} F'(\mathcal{E}) \frac{I_{mp}(\mathcal{E}) I_{mk}^*(\mathcal{E})}{\Omega/m - \omega_s(\mathcal{E})} d\mathcal{E}$$

where $I_{mk}(\mathcal{E}) = \frac{1}{2\pi} \int_{-\pi}^{\pi} e^{k\phi(\mathcal{E}, \psi)/h - im\psi} d\psi$ and normalization $A_N = \int_0^{\infty} \frac{F(\mathcal{E})}{\omega_s(\mathcal{E})} d\mathcal{E}$

It allows to evaluate both single- and multi-bunch stability

Was extensively used to evaluate coupled-bunch instability thresholds due to narrow-band impedance (*V. Balbekov, S. Ivanov, 1987*) and for combination of narrow-band resonator and $\text{Im}Z/n = \text{const}$ (*M. Blaskiewicz, 2009*)

→ For single-bunch case it was considered to be not numerically tractable

Landau damping: Van-Kampen modes

Method (A. Burov, 2010): find Van-Kampen modes solving Vlasov equation for perturbation $f(J, \psi, t)$ of stationary distribution function $F(J)$ expanded (Oide & Yokoya, 1990) as

$$f(J, \psi, t) = e^{-i\Omega t} \sum [f_m(J) \cos m\psi + g_m(J) \sin m\psi]$$

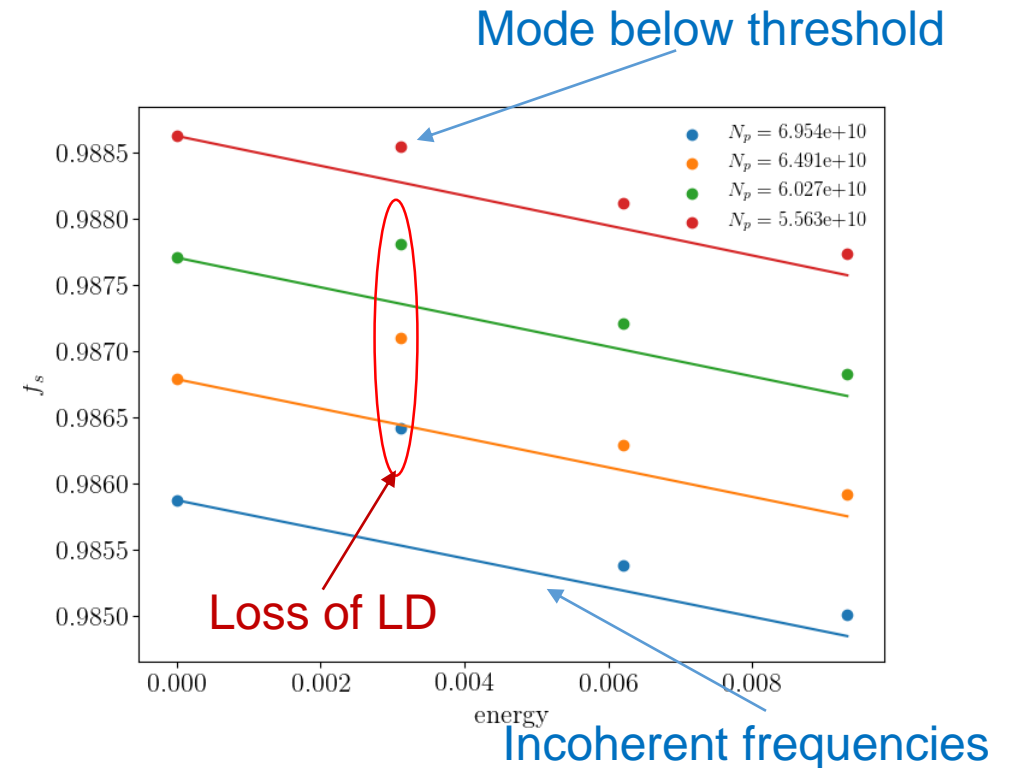
Without mode coupling the matrix equation (in action J) is

$$(\Omega^2 - m^2\omega_s^2)f_m(J) = m^2\omega_s(J)F'(J) \int V_m(J, J')f_m(J')dJ'$$

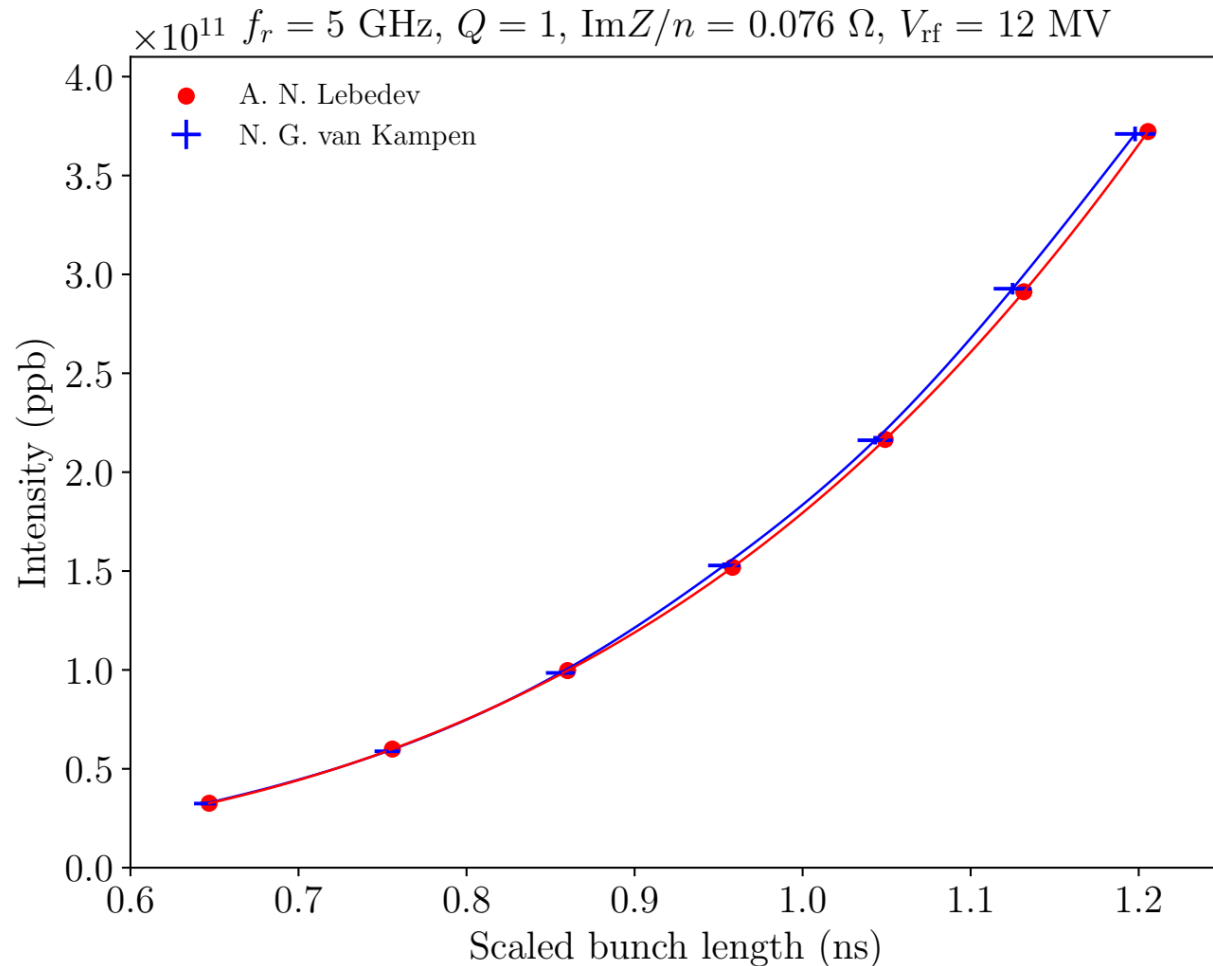
where
$$V_m(J, J') = 2 \text{Im} \sum_k \frac{Z_k}{k} I_{mk}(J) I_{mk}^*(J')$$

and
$$I_{mk}(J) = \frac{1}{2\pi} \int_{-\pi}^{\pi} e^{k\phi(J, \psi)/h - im\psi} d\psi$$

Continuous spectrum - singular modes from incoherent band. **Discrete modes** - coherent solutions described by regular eigenfunctions → their existence outside incoherent band serve as criterion for loss of LD



Van-Kampen vs Lebedev approaches



A new numerical code was developed to solve Lebedev eigenvalue problem

The thresholds are calculated using Lebedev and Van-Kampen and approaches for broadband impedance and following parameters:

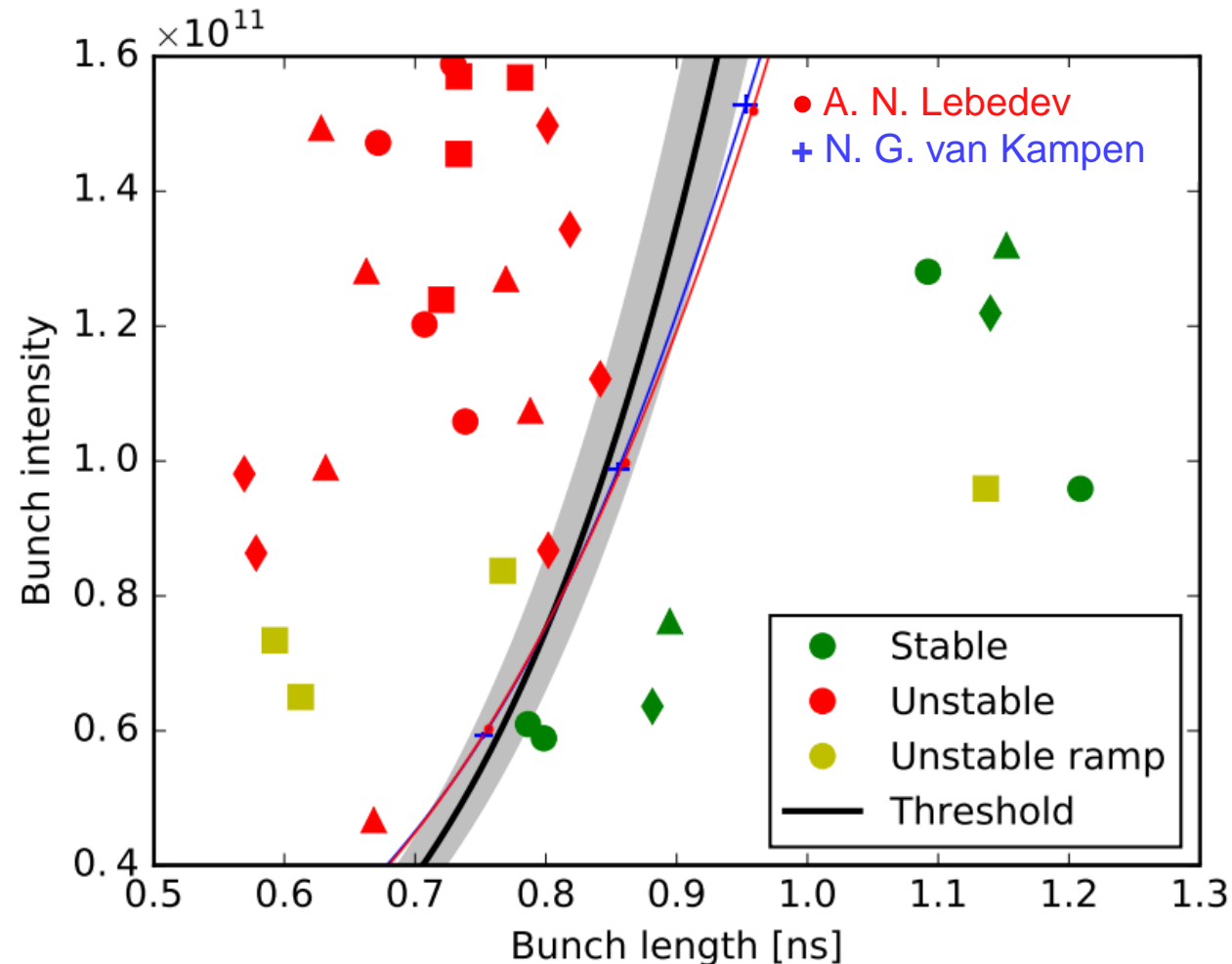
$$R = (\text{Im}Z/n)f_r/f_0, f_r = 5 \text{ GHz}, Q = 1, \\ f_c = 20 \text{ GHz}, \text{Im}Z/n = 0.076, \mu = 2, \\ V_{\text{rf}} = 12 \text{ MV}$$

$$\text{Distribution function } F(\mathcal{E}) = F_0(1 - \mathcal{E}/\mathcal{E}_{\text{max}})^\mu$$

→ Very good agreement between two approaches

Preliminary comparisons with measurements

Measurements at 6.5 TeV, $V_{\text{rf}} = 12$ MV (*PhD thesis J. E. Muller, 2016*)

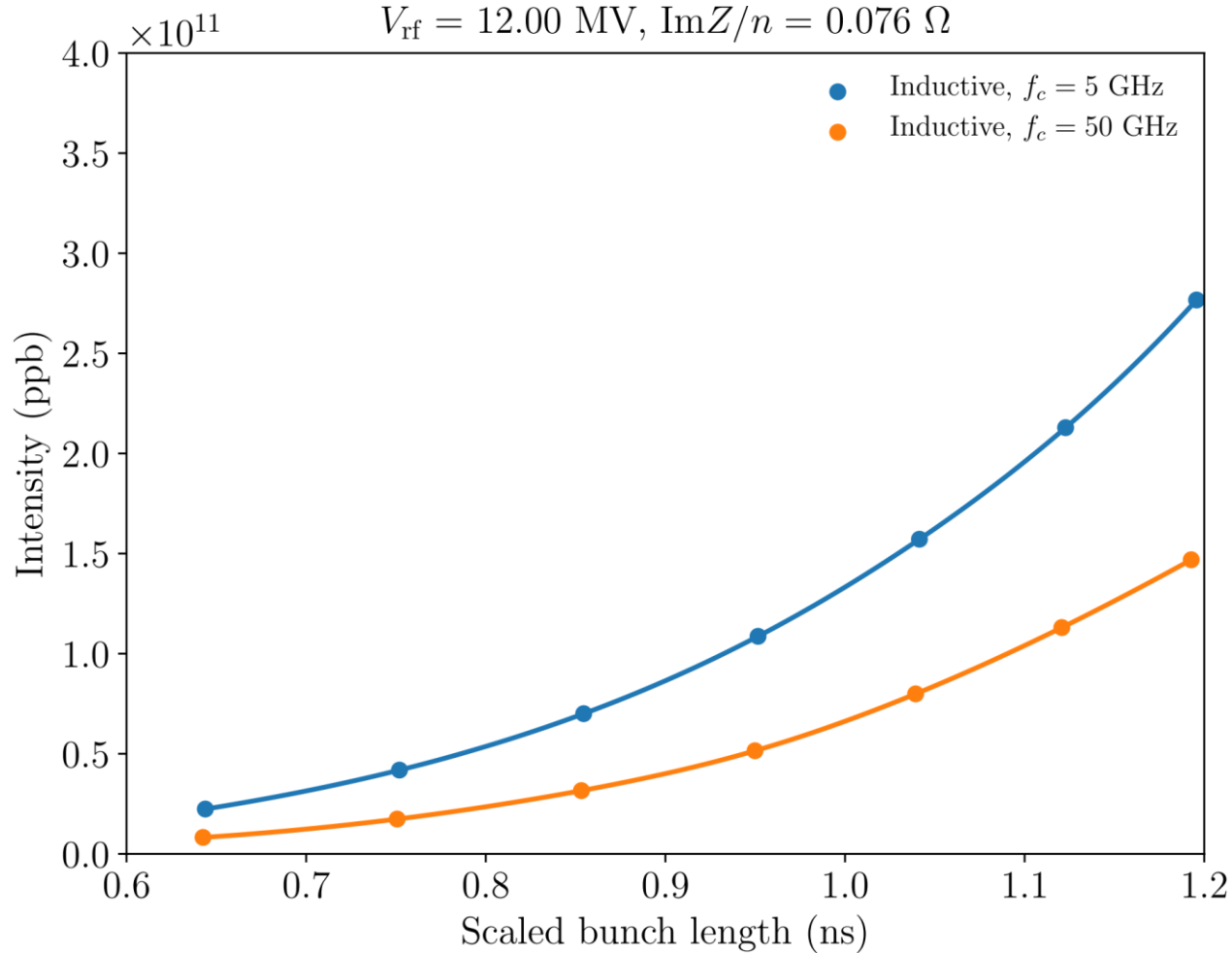


The thresholds are calculated using Lebedev and Van-Kampen and approaches for broadband impedance and following parameters:

$$R = (\text{Im}Z/n)f_r/f_0, f_r = 5 \text{ GHz}, Q = 1, \\ f_c = 20 \text{ GHz}, \text{Im}Z/n = 0.076, \mu = 2, \\ V_{\text{rf}} = 12 \text{ MV}$$

- Reasonable agreement between measurements and semi-analytic calculations.
- Scaling law is different in comparison to simplified Sacherer's approach

Calculation results



Case of inductive impedance $\text{Im}Z/n = \text{const.}$

Threshold significantly depends on the cut-off frequency f_c

For the case of symmetric potential well

$$I_{mk}(\mathcal{J}) \approx i^m J_m \left(\frac{k}{h} \sqrt{2\mathcal{J}} \right)$$

So diagonal elements of the matrix diverge

$$V_m(\mathcal{J}, \mathcal{J}) = 2\text{Im}Z/n \sum_k J_m^2 \left(\frac{k}{h} \sqrt{2\mathcal{J}} \right) \rightarrow \infty$$

$$V_m(\mathcal{J}, \mathcal{J}) = 2\text{Im}Z/n \sum_k J_m^2 \left(\frac{k}{h} \sqrt{2\mathcal{J}} \right) \rightarrow \infty$$

→ Realistic impedance model needs to be used