



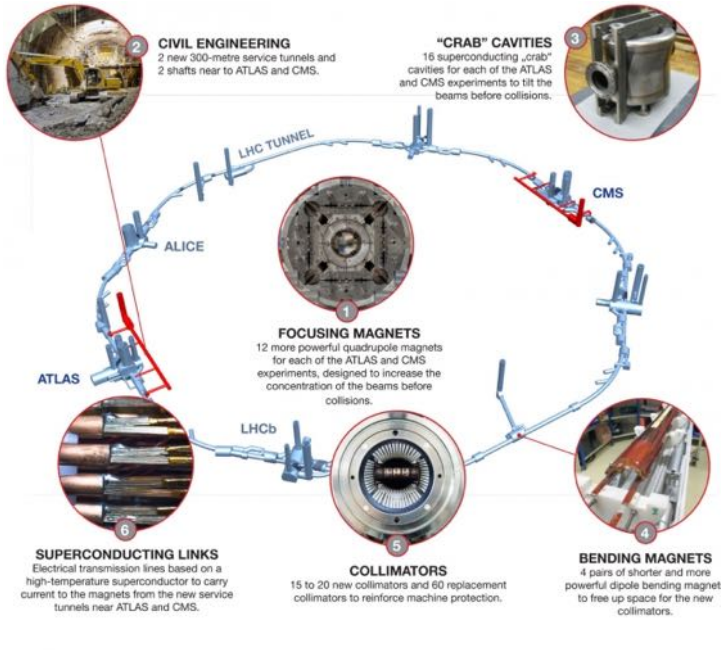
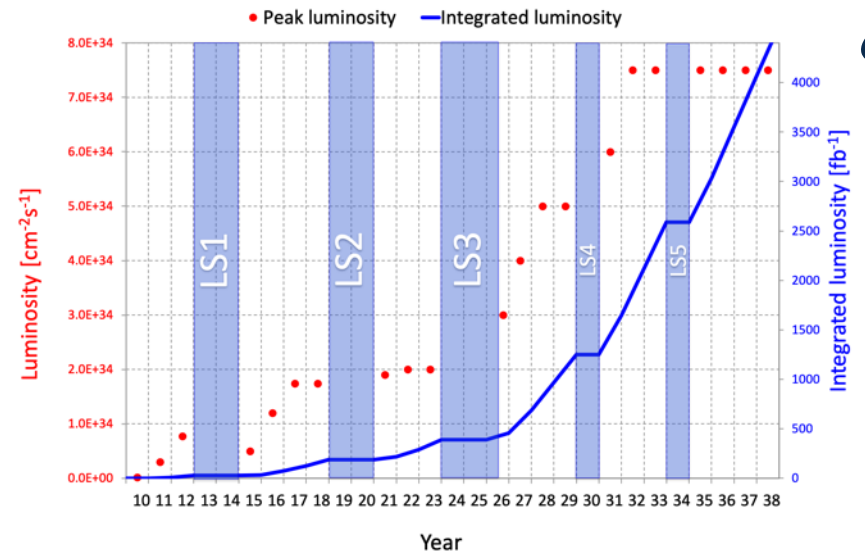
CMS Outer Tracker Upgrade

ALESSANDRO ROSSI FOR CMS COLLABORATION

LHC Schedule

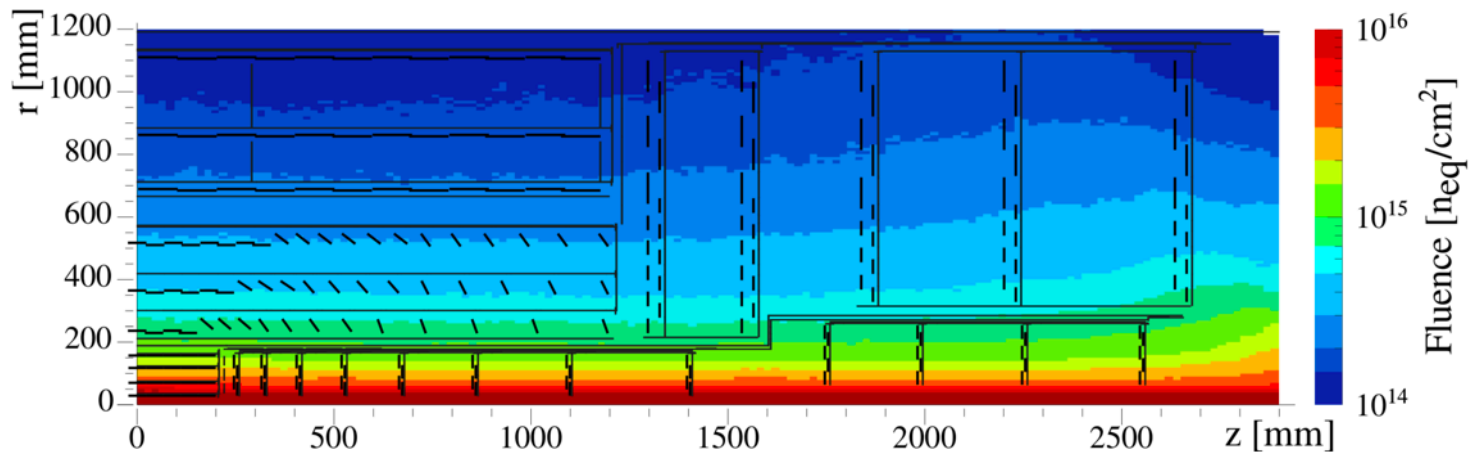


- High Luminosity upgrade after LS3
- Peak Luminosity $\sim 7.5 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
- Expected Pile-up ~ 200
- Higher rates and radiation dose wrt Run3



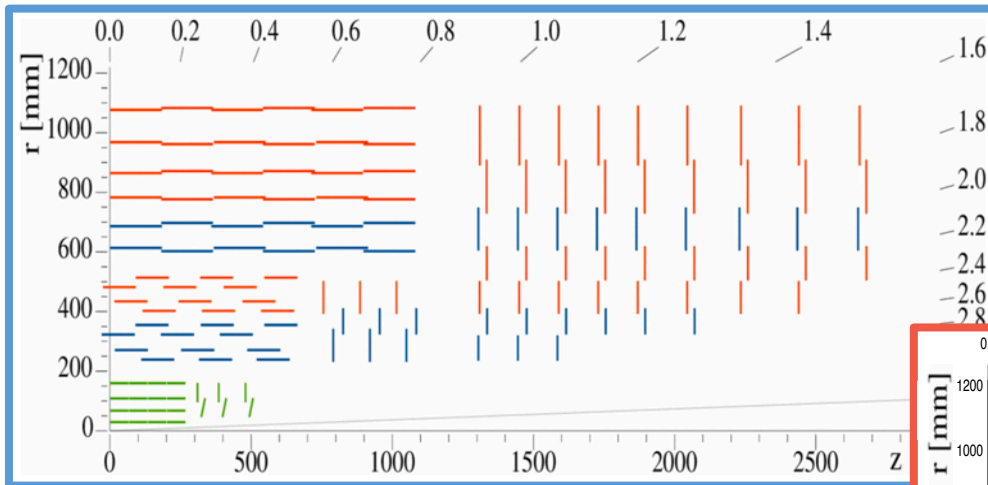
- Crab cavities
- (some) New Magnets (11T)
- Civil engineering:
 - New acces shafts
 - New service tunnels
- ...and more!

- **Increased granularity:** In order to ensure efficient tracking performance with a high level of pileup
- **Reduced material in the tracking volume:** The exploitation of the high luminosity will greatly benefit from a lighter tracker
- **Contribution to the level-1 trigger:** The selection of interesting physics events at the first trigger stage becomes extremely challenging at high luminosity
- **Extended tracking acceptance:** The overall CMS physics capabilities will greatly benefit from an extended acceptance of the tracker
- **Radiation tolerance:** The upgraded tracker must be fully efficient up to a target integrated luminosity of 3000fb^{-1}
 - Outer layers “far away” from interaction point will see $>10^{14}\text{MeV}$ neutron equivalent fluence
 - more than innermost strip tracker layers at 20 cm for today's trackers after 10 years of LHC running

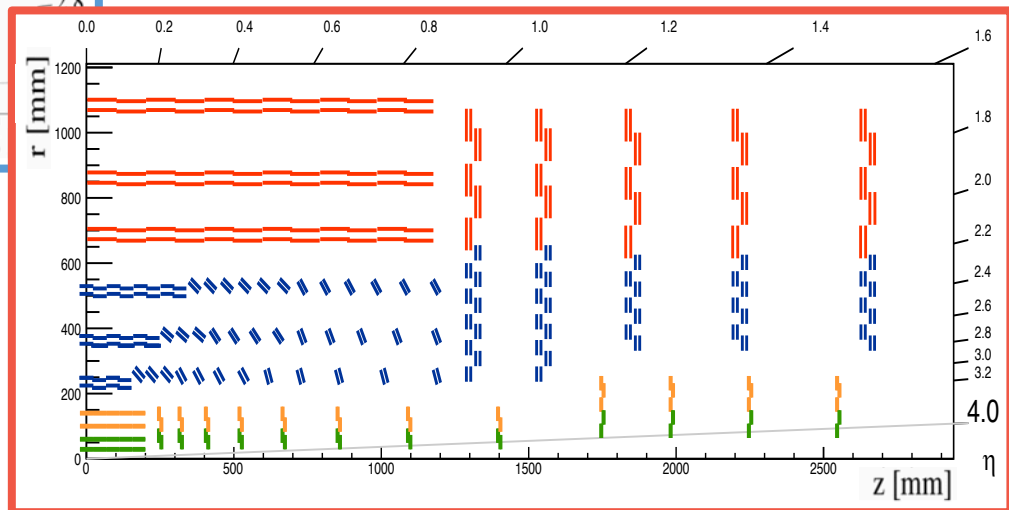


Why change the current Tracker

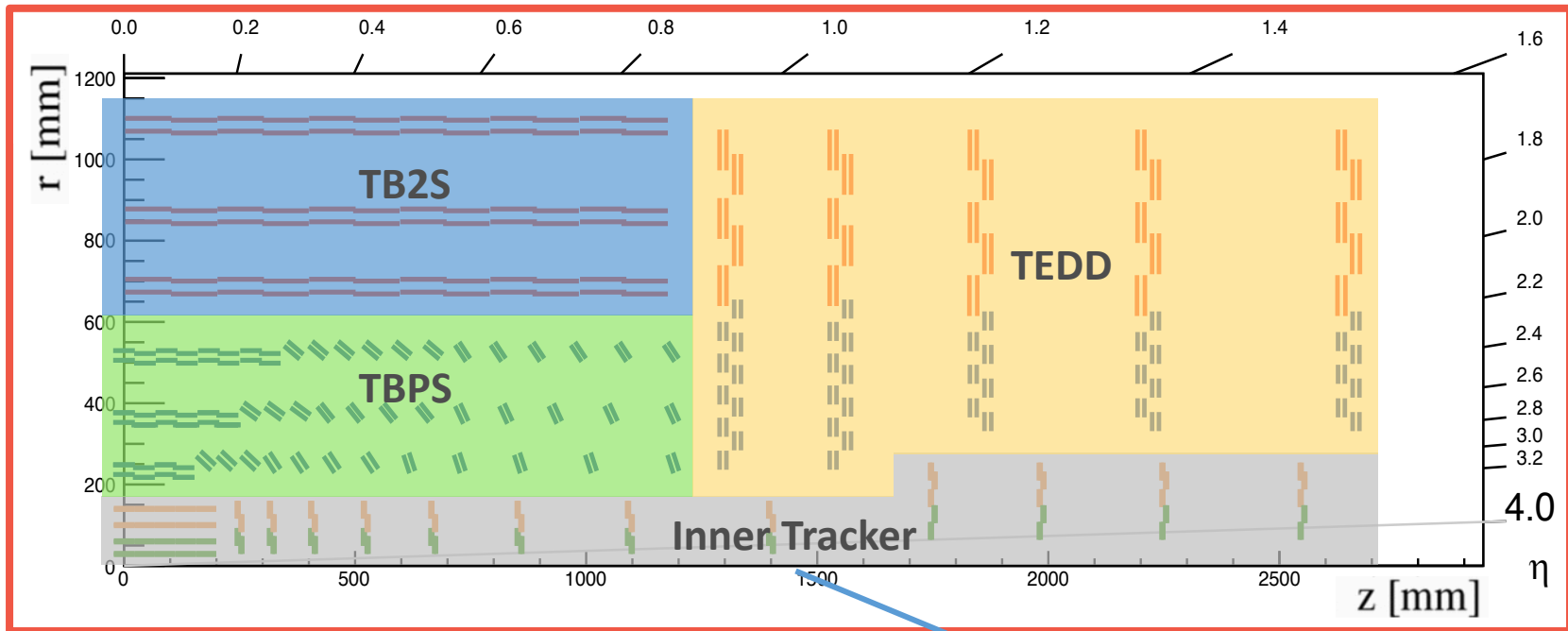
- A big part of current strip tracker will become completely in-operational due to either leakage current or full depletion voltage limitations at 1 ab^{-1}
 - full tracker replacement needed for HL-LHC program



CMS Phase-1 Tracker

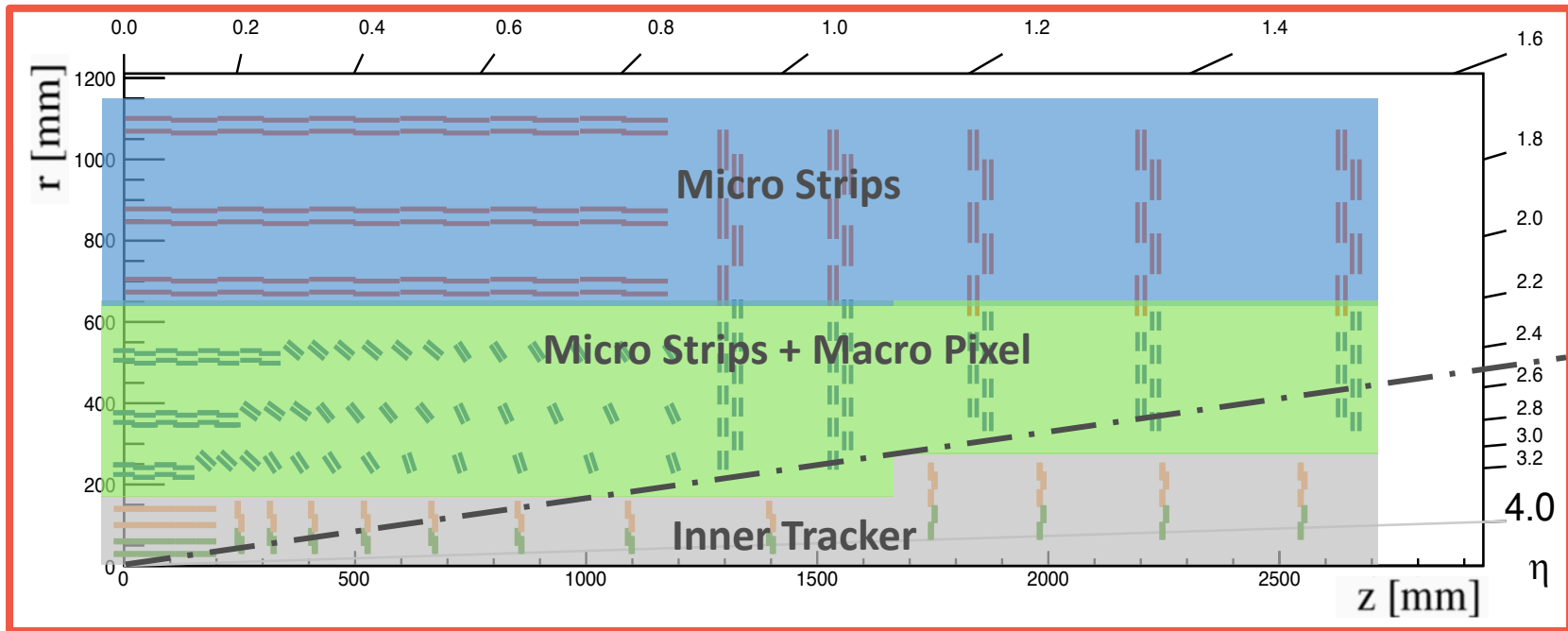


CMS Phase-2 Tracker



Dedicated Talk by Panja Luukka
Tuesday 15th October 9:00

- **TBPS** : Tracker Barrel with **PS** modules
- **TB2S** : Tracker Barrel with **2S** modules
- **TEDD** : Tracker Endcap **D**ouble **D**isk



- Outer Tracker coverage up to $\eta \sim 2.5$
 - Tracking up to $\eta \sim 4$ thanks to InnerTracker
- Two different type of technology: micro-strips and macro-pixels
- Tilted barrel geometry
 - Better trigger performances
 - Reduction on number of modules

Dedicated Talk by **Fabio Ravera**
Thursday 17th October at 17:30

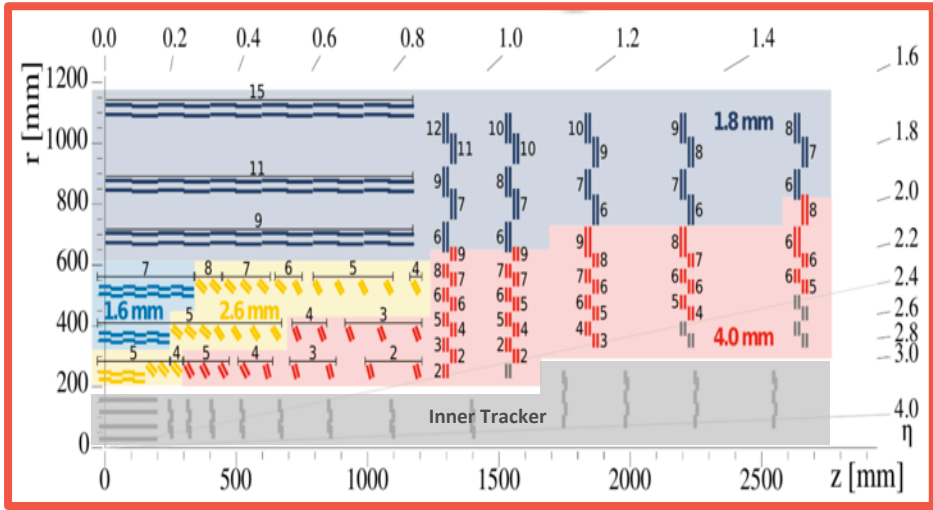
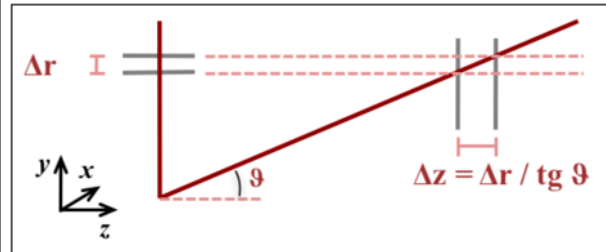
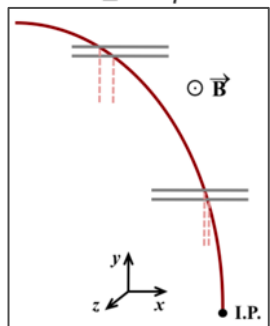
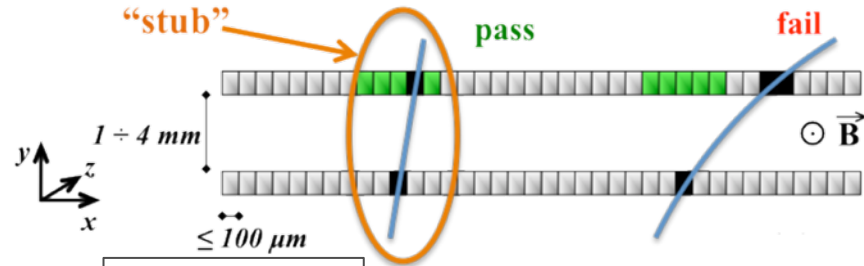
- HL-LHC will deliver an high instantaneous luminosity with a high PileUp
 - It's fundamental to be more selective at L1 trigger in order to keep data rate under control

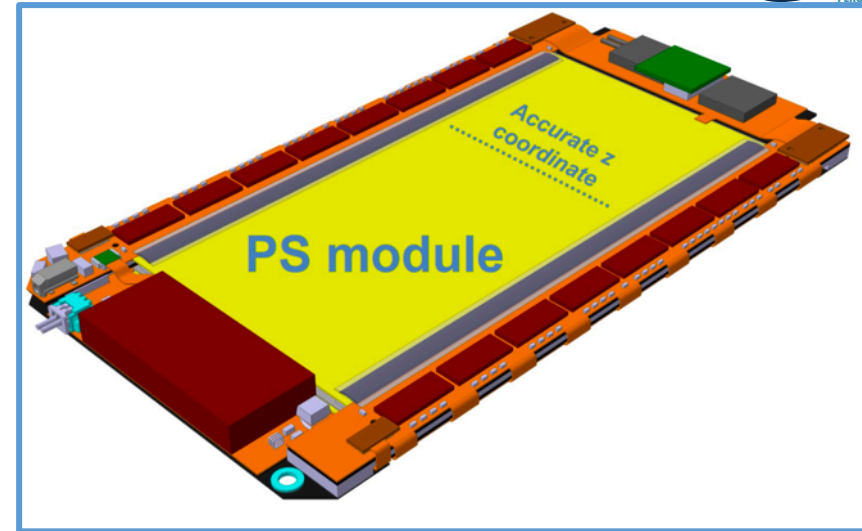
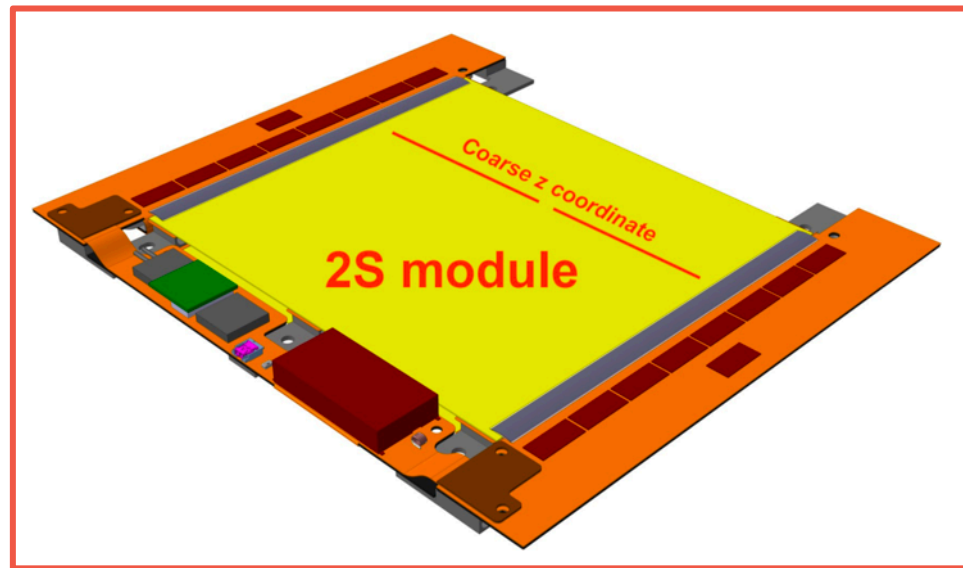
Include Tracks on L1 decision

- Most of charged particles have low p_T
- Perform a p_T selection at readout level in order to reduce the L1 tracking input data size

p_T Modules

- Two silicon sensors with small spacing in a module
- Flex hybrid in order to get data from both sensors to one ASIC → Select track «stubs»
- Different sensor spacing for different detector region
- Tunable correlation windows





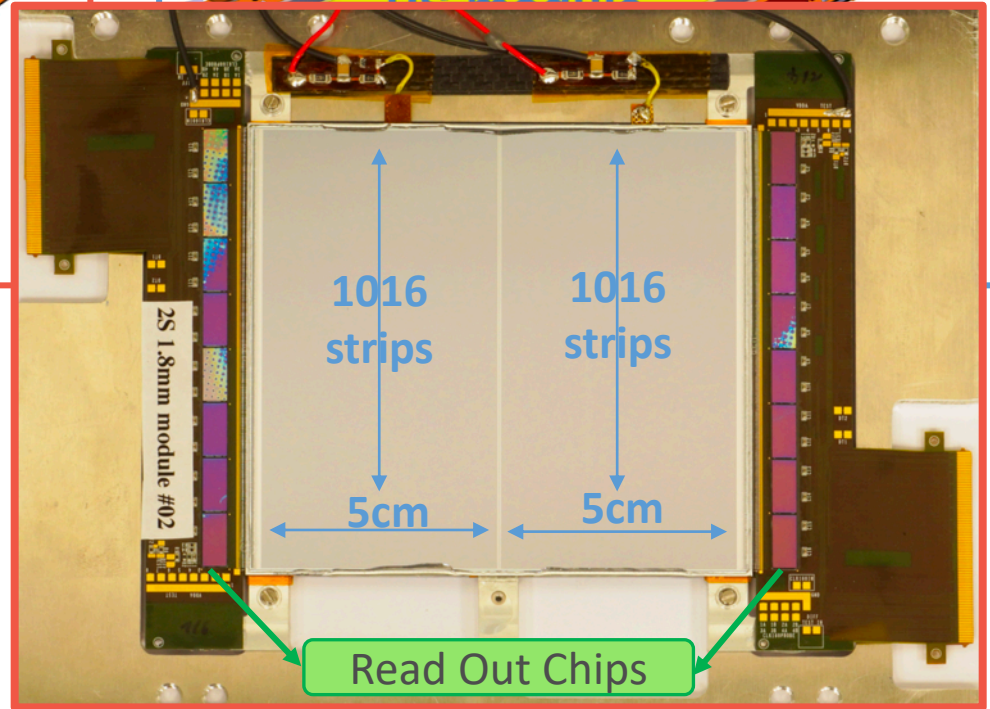
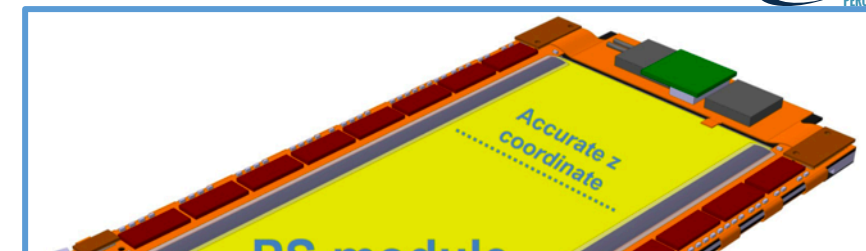
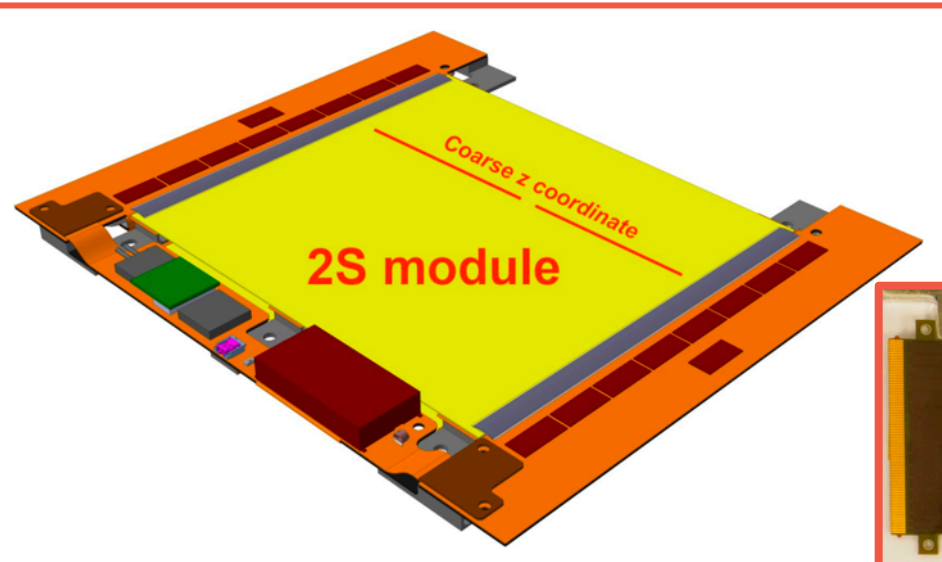
- Two type of modules:

- 2S Modules

- 2 different spacing : 1.8mm & 4mm
- 2 micro strip sensors with 5cm x 90 μ m strips
- Sensor dimension are 10cm x 10cm
 - two column of 1016 strips

- PS Modules

- 3 different spacing : 1.6mm & 2.6mm & 4mm
- One strip sensor: 2.5cm x 100 μ m strips
- One macro Pixel sensor : 1.5mm x 100 μ m pixels
- Sensor dimension 5cm x 10 cm
 - two column of 960 strips
 - 32x960 pixels



- Two type of modules:
 - 2S Modules
 - 2 different spacing : 1.8mm & 4mm
 - 2 micro strip sensors with 5cm x 90 μ m strips
 - Sensor dimension are 10cm x 10cm
 - two column of 1016 strips

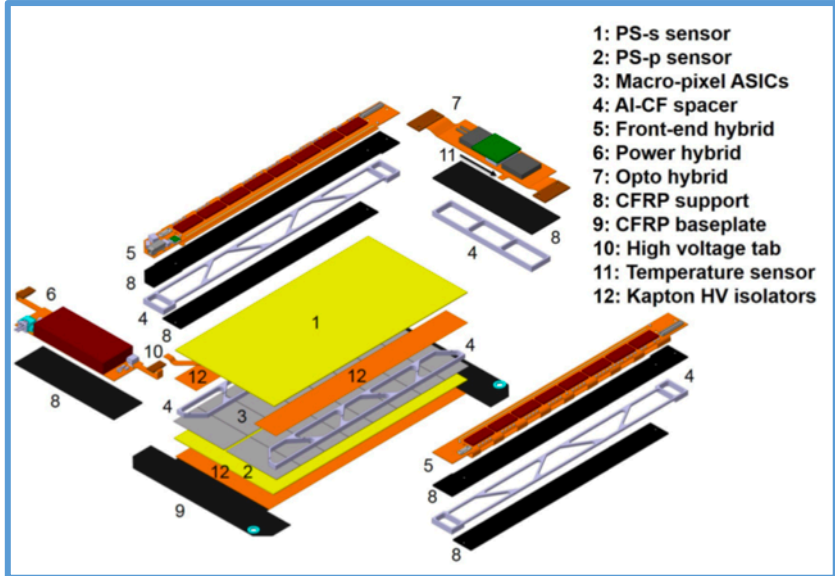
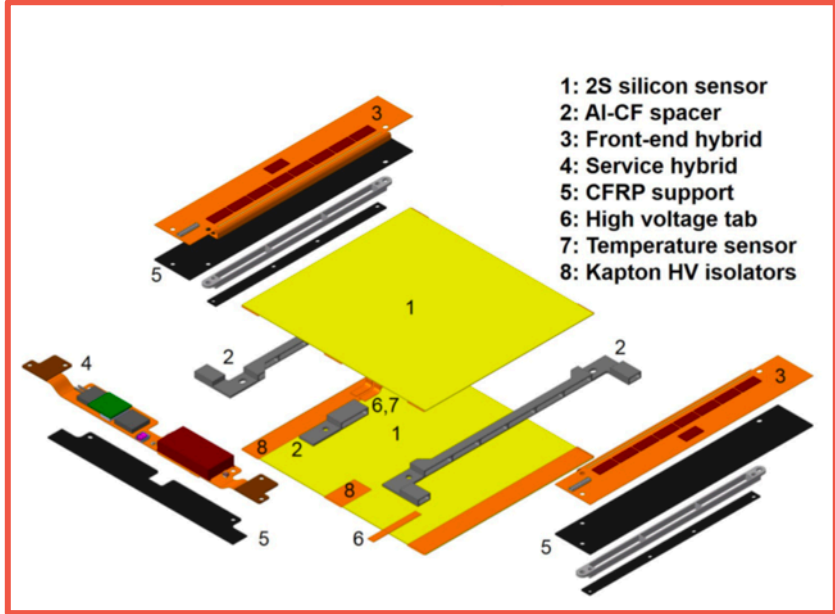
- Sensor dimension 5cm x 10 cm
 - two column of 960 strips
 - ~30k pixels

Modules Service Systems



- Module houses both frontend and service hybrids
- Service hybrid(s) has:
 - IpGBT
 - Low Power Gigabit Transceiver
 - VTRx+
 - Versatile Link Plus Transceiver
 - DCDC converters
- Frontend hybrids have readout chip and data concentrator

HL-LHC common development



Modules Service Systems

- Module houses both frontend and service hybrids

Each module is a functional unit individually connected to:

- backend power system
- DTC (Data, Trigger and Control) system via Optical link
- no token control rings
- no intermediate power grouping

- Frontend hybrids have readout chip and data concentrator

1: 2S silicon sensor
2: Al-CF spacer
3: Front-end hybrid
4: Service hybrid
5: CFRP support
6: High voltage tab
7: Temperature sensor

10: High voltage tab
11: Temperature sensor
12: Kapton HV isolators

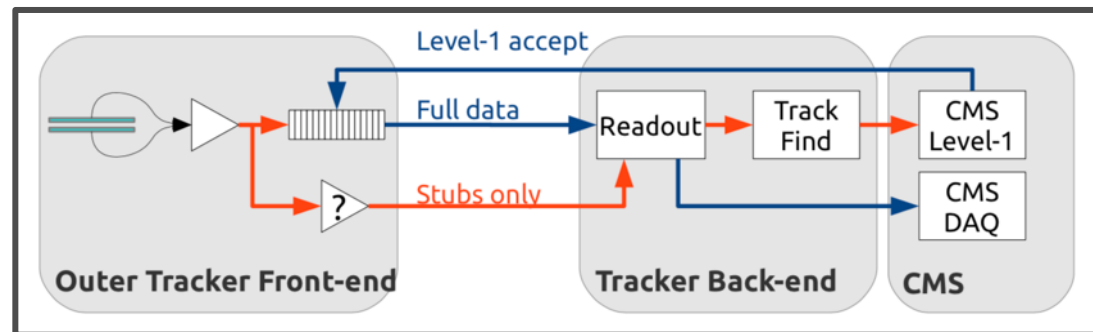
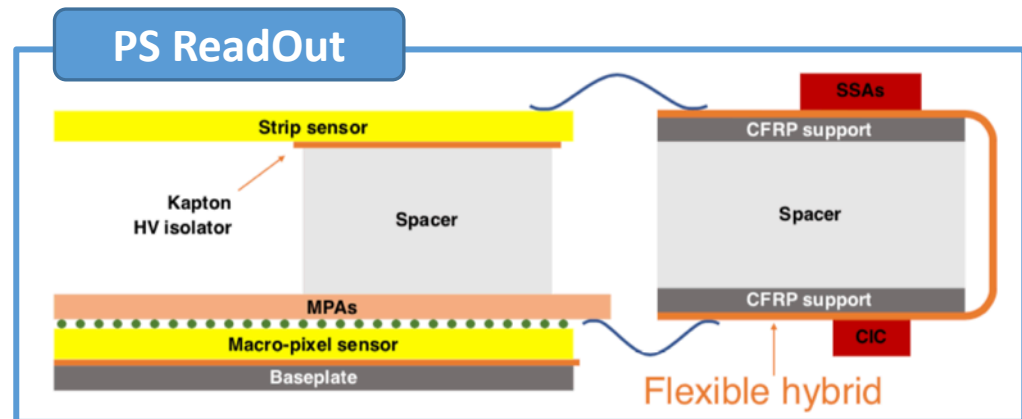
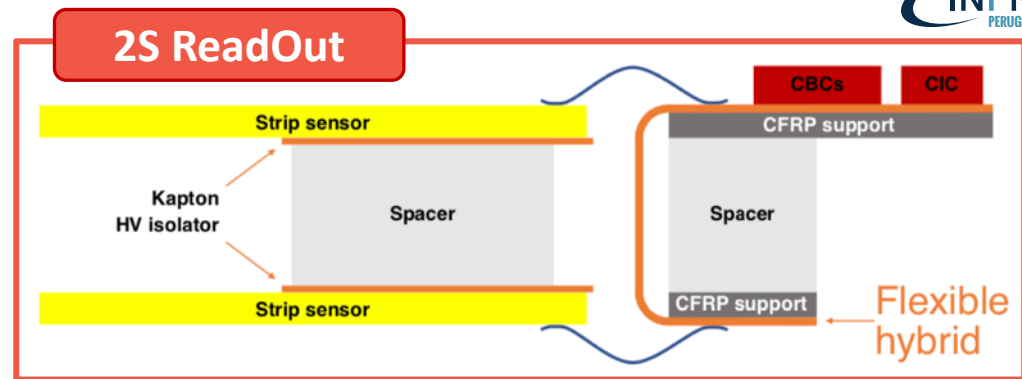
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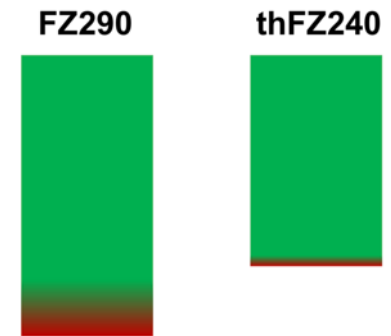
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HL-LHC common development

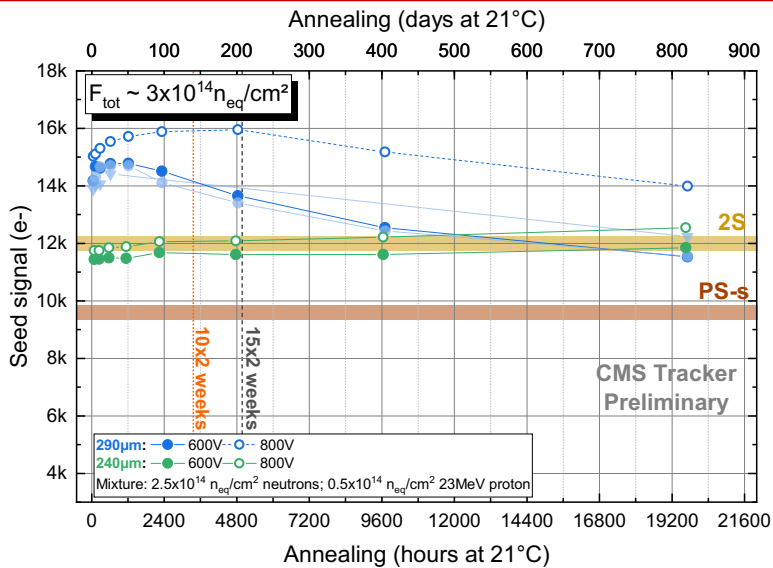
- 2S Module ASICs
 - CMS Binary Chip (CBC) for readout and stub finding for L1
 - both sensors read out by same chip
 - 254 channels per chip
 - 127 from each sensor
 - Implemented in 130 nm technology
- PS Module ASICS
 - Macro-Pixel ASIC (MPA) and Short-strip ASIC (SSA) for readout of sensors
 - Stub finding performed by MPA
 - SSA sends cluster and L1 information to MPA to enable match in space and time
 - Both chips done in 65 nm technology
- Common ASIC:
 - CIC concentrator chip
Receives L1 information and readout data
 - “Data hub” to service hybrid
 - Done in 65 nm technology



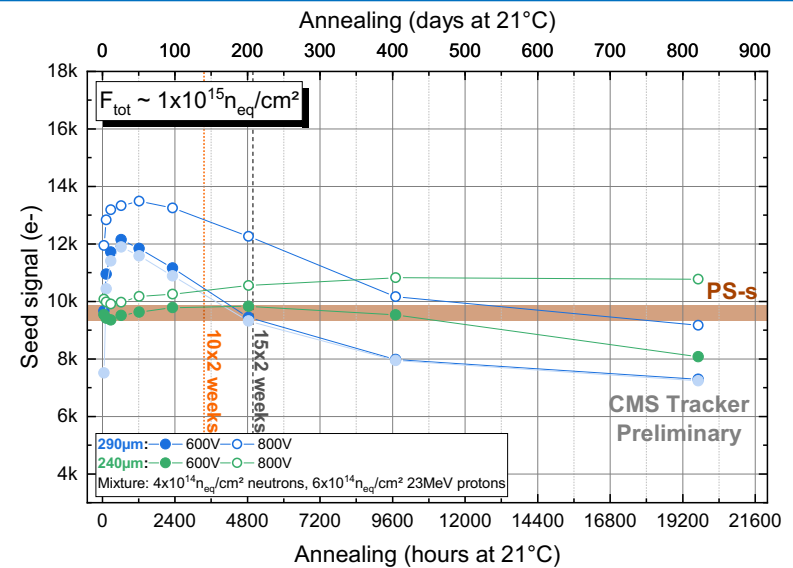
- Silicon sensors will be produced by Hamamatsu
 - n-in-p sensors
 - Showed better behavior after irradiation
- HPK lost confidence in deep diffused material as substrate for mass production
 - baseline for TDR
- Options left:
 - standard material: 320 μ m physical and 290 μ m active (**FZ290**)
 - same material as in the current tracker
 - thinned material with physical \sim active thickness (**thFZ240**)
 - same substrate as FZ290, but backside ground down to desired thickness, followed by polishing
 - more expensive



- Irradiation campaign to study the sensors behavior and perform a technology choice:
 - Take nominal expected max. fluences for outer (2S) and inner (PS) regions after 3000fb⁻¹
 - Consider the approximate mixture of neutrons and charged hadrons



- Expected max. 2S fluence after 3000fb⁻¹
- Signal measurements:
 - thFZ240 barely reaches 2S limit
 - FZ290 is well above

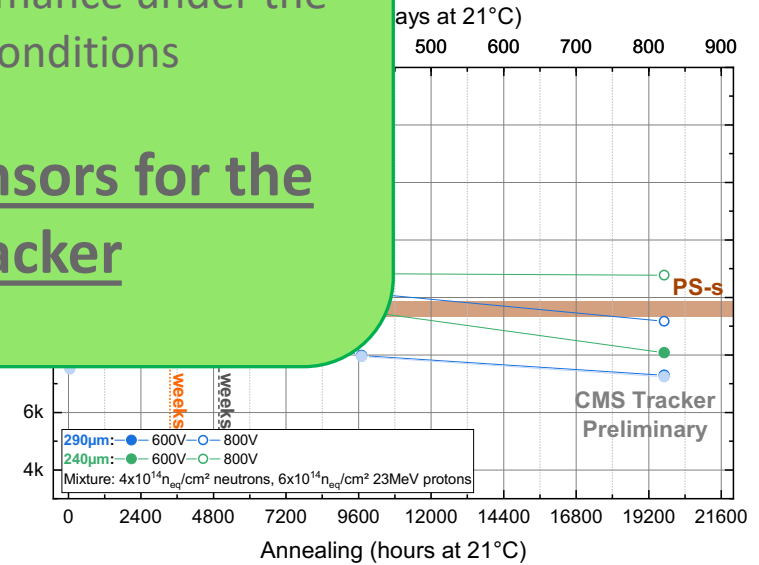
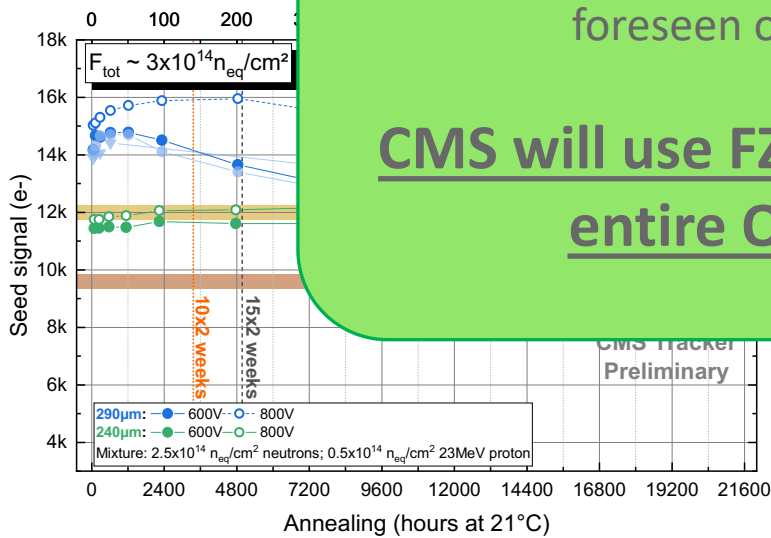


- Expected max. PS fluence after 3000fb⁻¹
- Signal measurements:
 - thFZ240 only just above PS-s limit
 - FZ290 comfortably above with 800V

- Irradiation campaign to study the sensors behavior and perform a technology choice:

- Take nominal expected max. fluences for outer (2S) and inner (PS) regions after 3000fb⁻¹
- Consider the following conditions:
 - There is not a clear benefit of thFZ240 over the standard FZ290
 - FZ290 show excellent performance under the foreseen operation conditions

CMS will use FZ290 sensors for the entire Outer Tracker

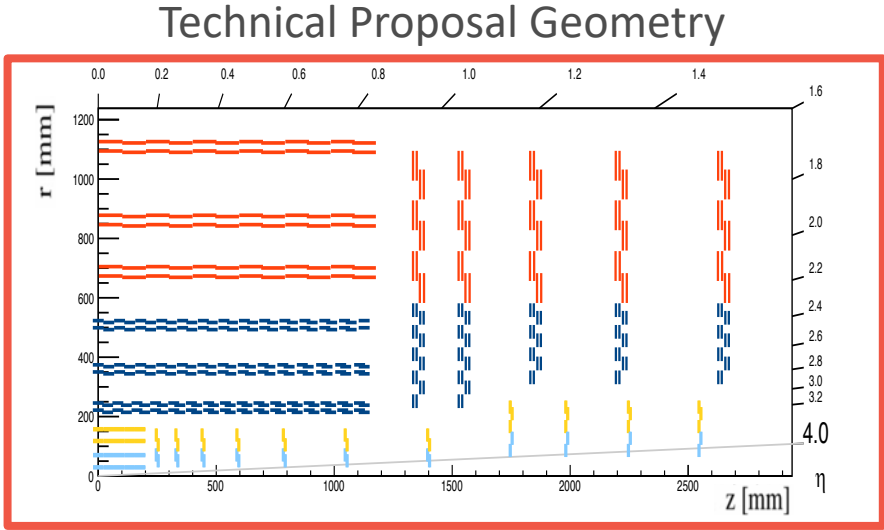


- Expected max. 2S fluence after 3000fb⁻¹
- Signal measurements:
 - thFZ240 barely reaches 2S limit
 - FZ290 is well above

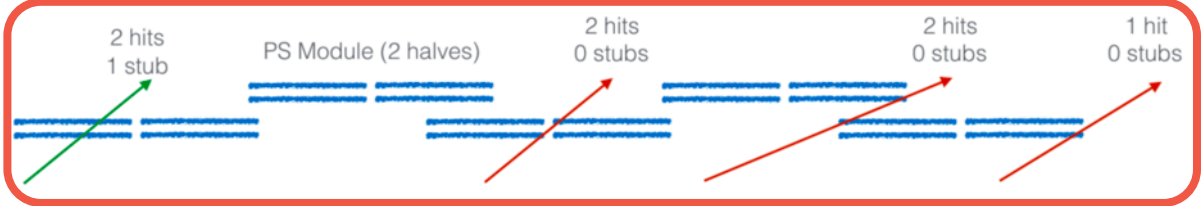
- Expected max. PS fluence after 3000fb⁻¹
- Signal measurements:
 - thFZ240 only just above PS-s limit
 - FZ290 comfortably above with 800V

- Stubs generation works only if the charged particle cross the two sensors on the same halve of the same module
- This is not true for (flat) barrel peripheral modules

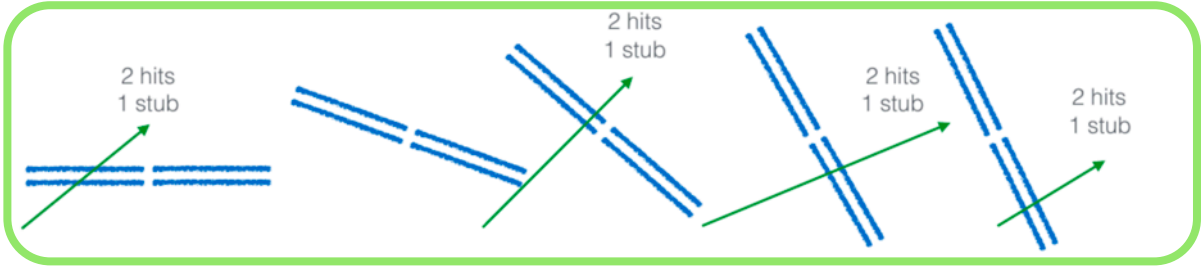
→ (increasingly) Tilt peripheral barrel modules



Flat Geometry

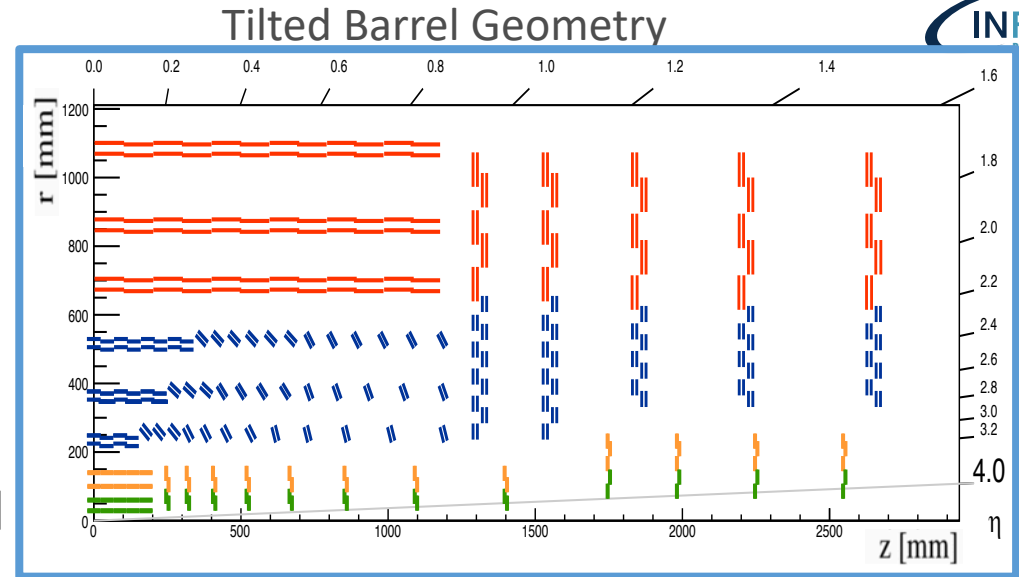


Tilted Geometry



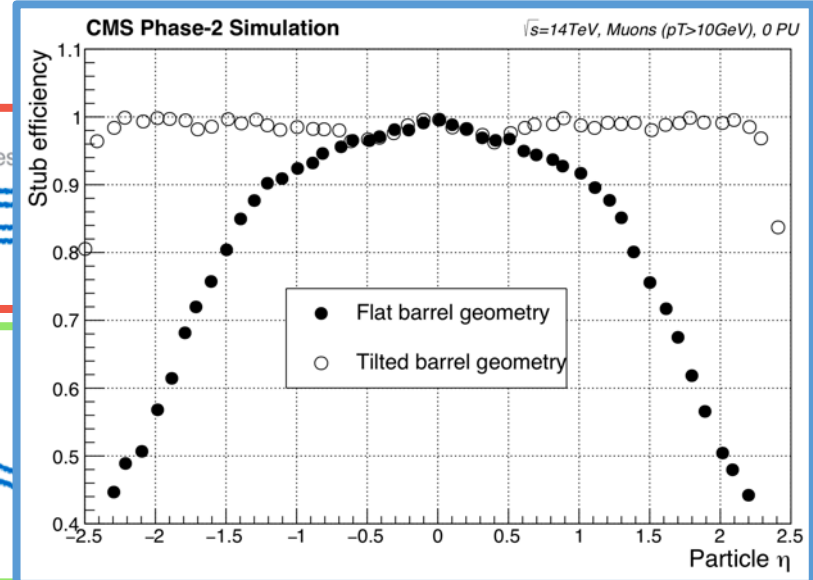
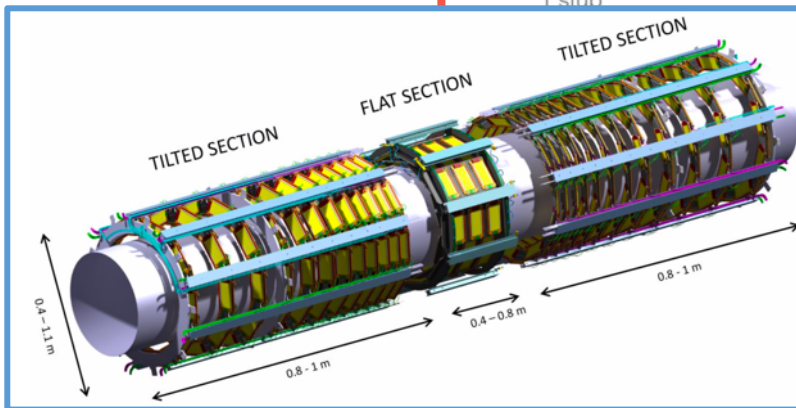
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→ (increasingly) Tilt peripheral barrel modules

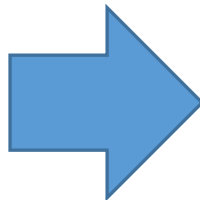
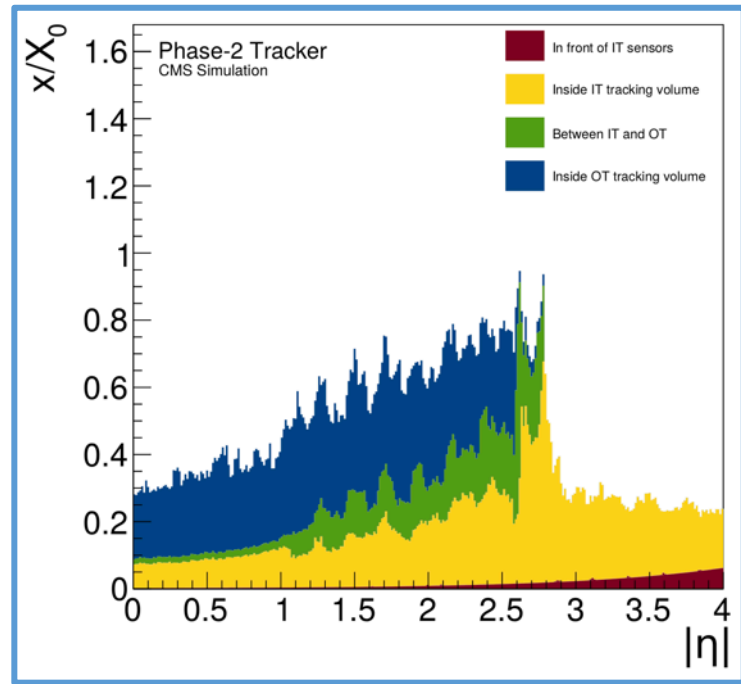
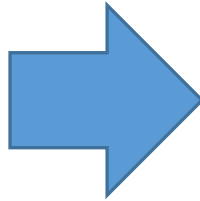
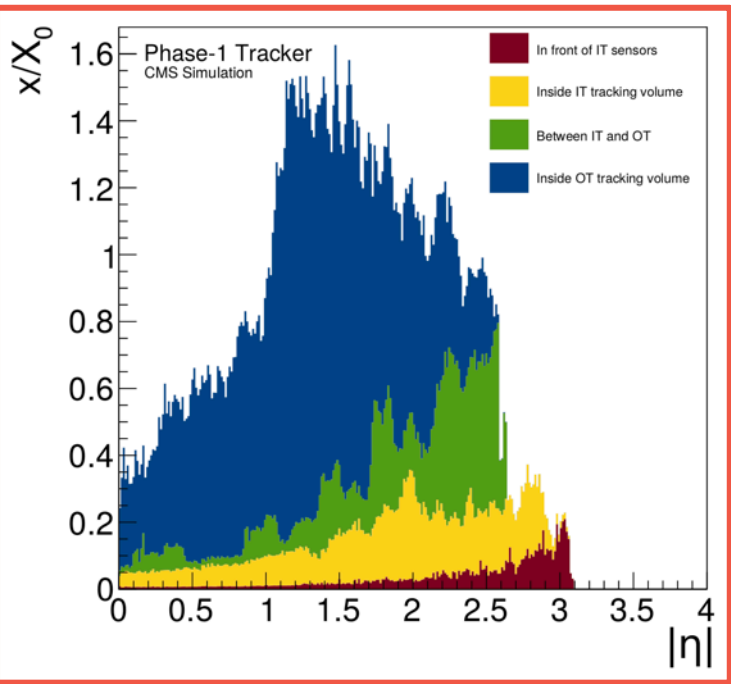


- Sizable reduction on the number of modules needed

→ From ~15k (flat) to ~13k (tilted)



Material Budget



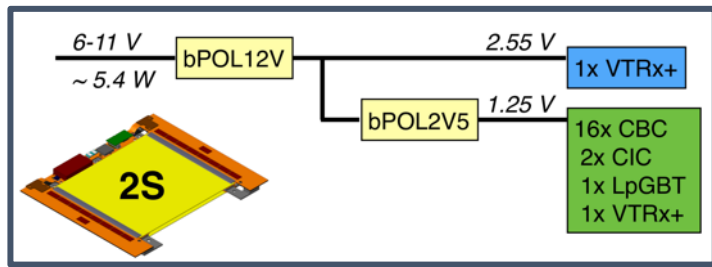
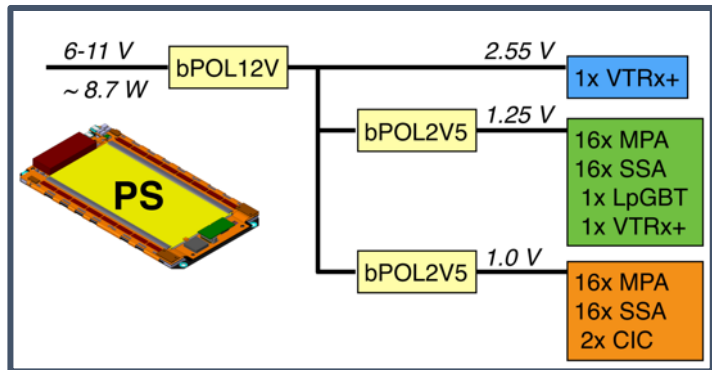
- Material budget much reduced wrt Phase0/1 detector despite an increase in the number of channels

- *DCDC converters*
- *Fewer layers*
- *Lighter materials*
- *Optimized service routing*
- *CO2 cooling*
- *Inclined geometry*

- Large Area + High Granularity

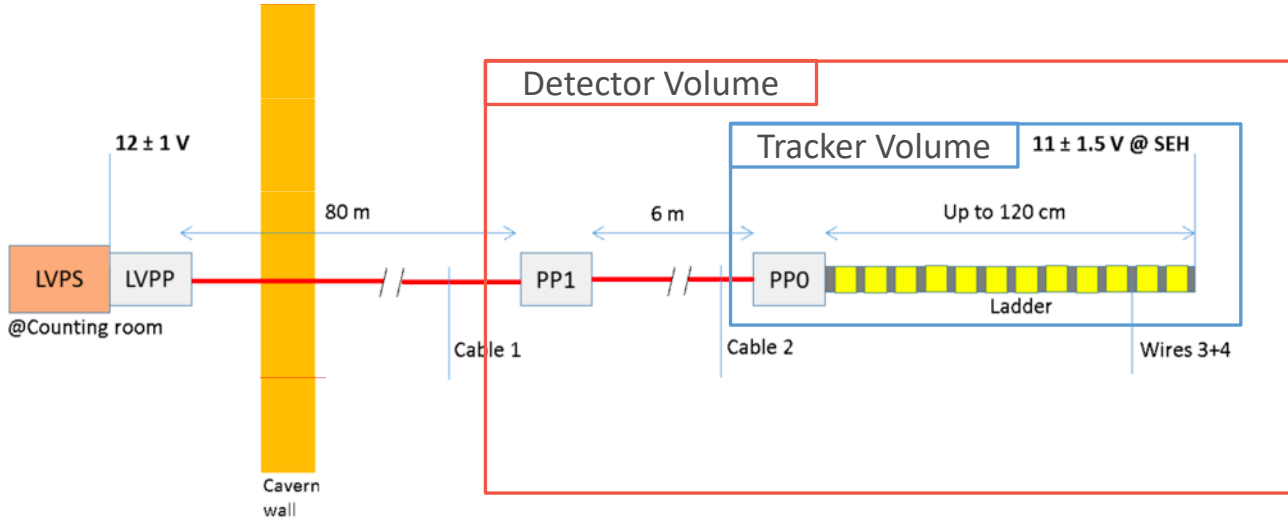
↳ High Power Budget : Outer Tracker ~100kW

↳ Parallel Powering with on-module conversion

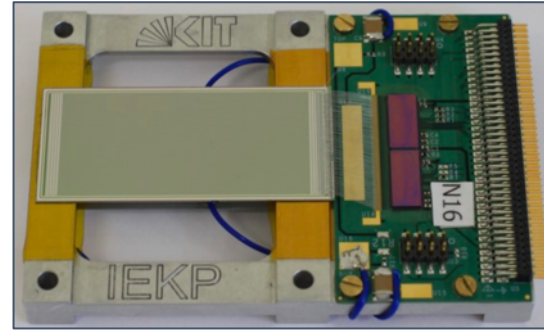


Powerful cooling system:

- (4+1) x 50W cooling plants
- based on two-phase CO₂ cooling system (-30°C set point)
- small pipes

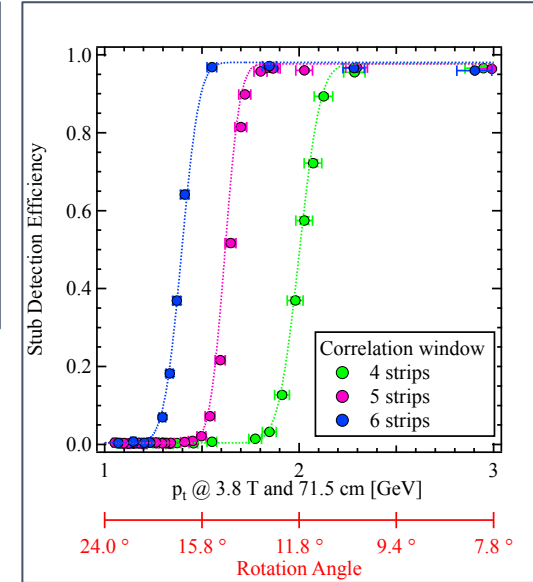


- Different module prototypes tested in particle beam



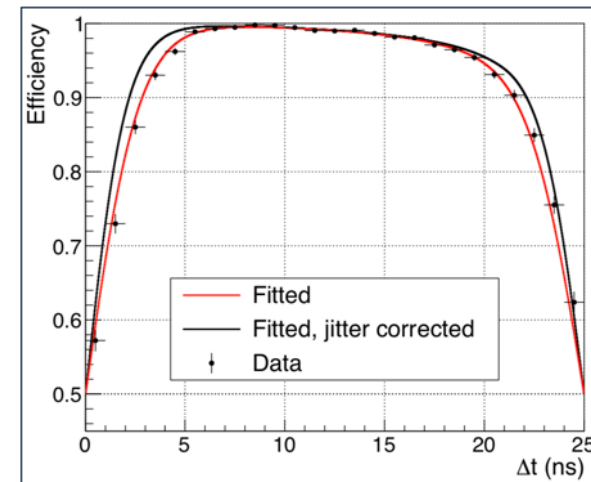
2S

- Full size module and mini-module has been tested
- No services and no data Concentrator
- Stubs finding capabilities tested
 - Magnetic bending «simulated» with module rotation

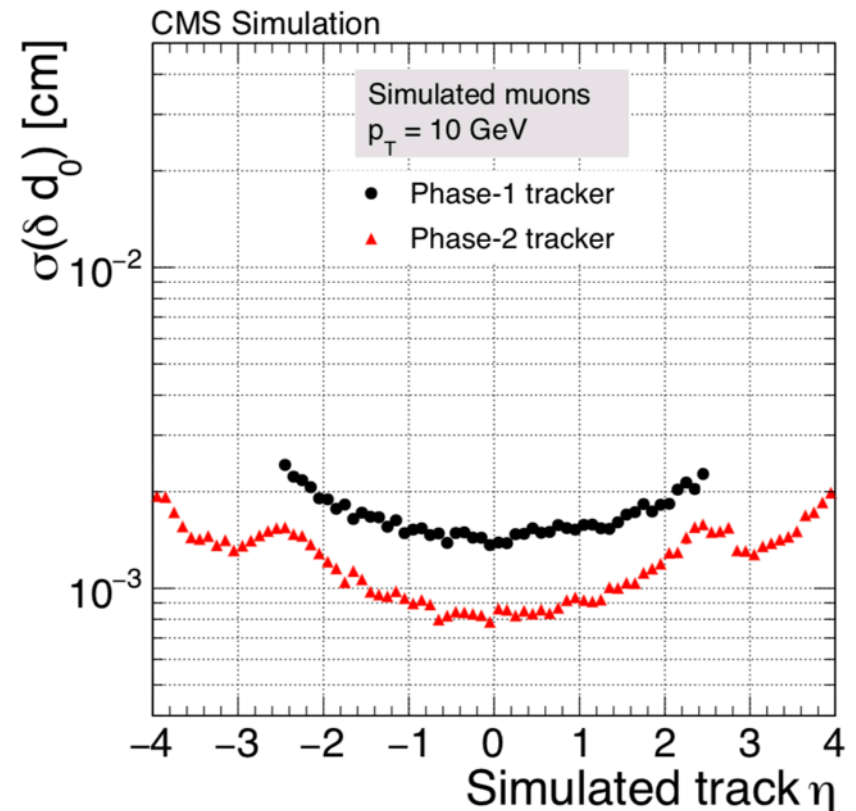
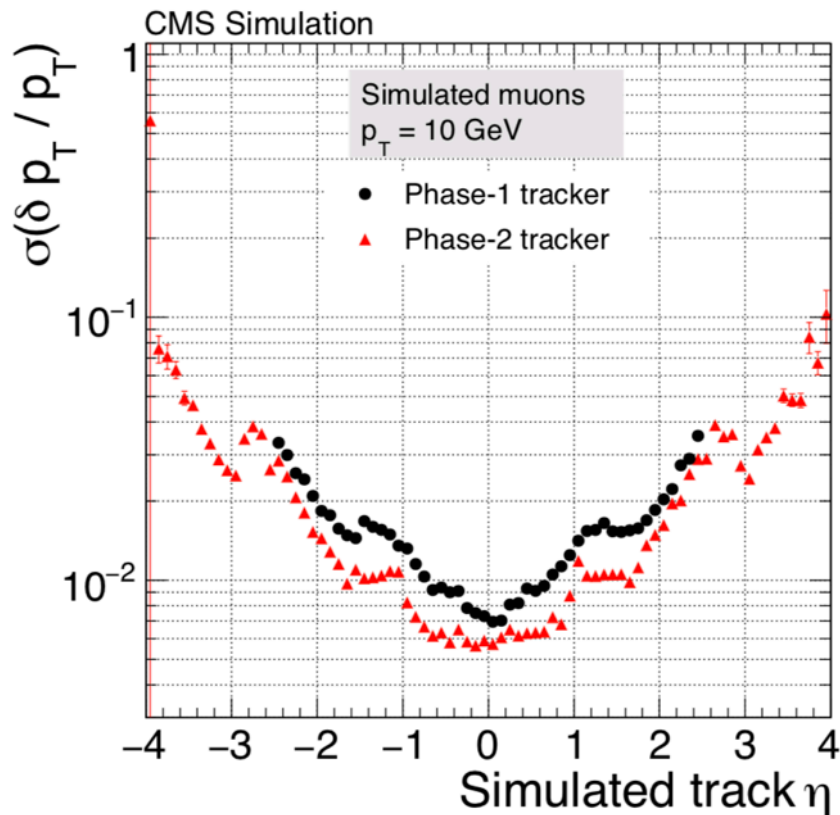


PS

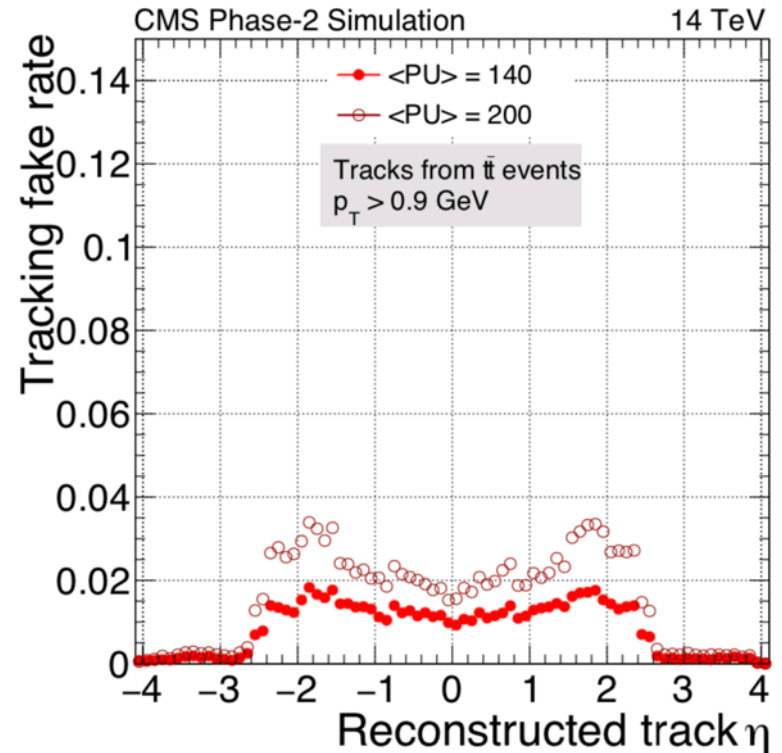
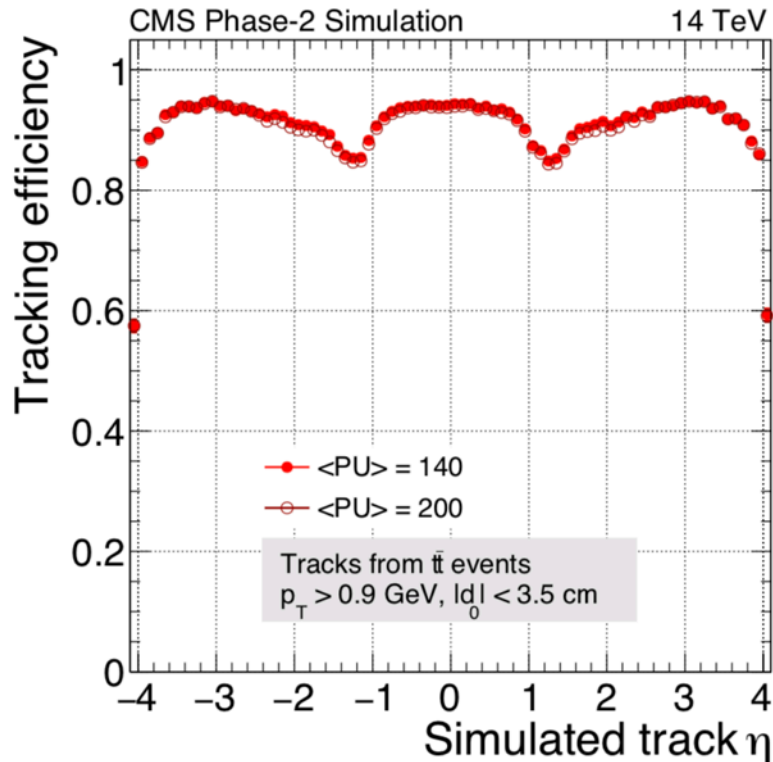
- Single sensor (pixel) with MPA readout
 - No stub info
- MPA+SSA intercommunication was tested on bench



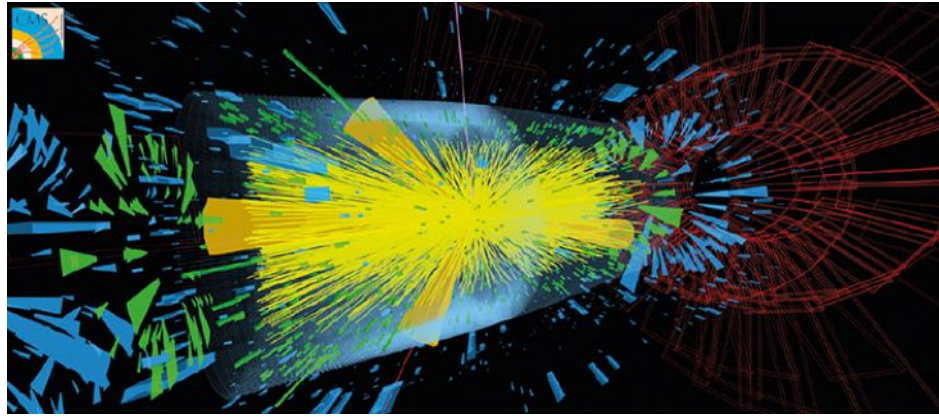
- Track parameters resolution of Phase-2 tracker improve wrt Phase-1
 - Higher granularity and less material
- Significant extension at higher η



- High tracking efficiency ($\sim 90\%$) also at 200PU
 - Fake rate below 2(4)% at 140(200)PU
- *Dip around $\pm 1.2\eta$ due to Barrel/endcap transition in Inner Tracker*
 - *Due to TDR geometry, reduced by a factor ~ 2 with optimized geometry*



- Ambitious upgrade project underway for the CMS Outer Tracker for the HL-LHC running
 - Designed to maintain or improve tracking performance compared to current system even in the presence of up to 200 pile-up events
 - Tracks above 2 GeV as L1 primitives at 40MHz
- Improvements result in the tracker being more performant and yet more light-weight compared to its predecessor
- Advanced layout and integration studies
- First fully equipped module prototype in 2020
- ...a long way toward 2026!



Backup

- **TBPS**

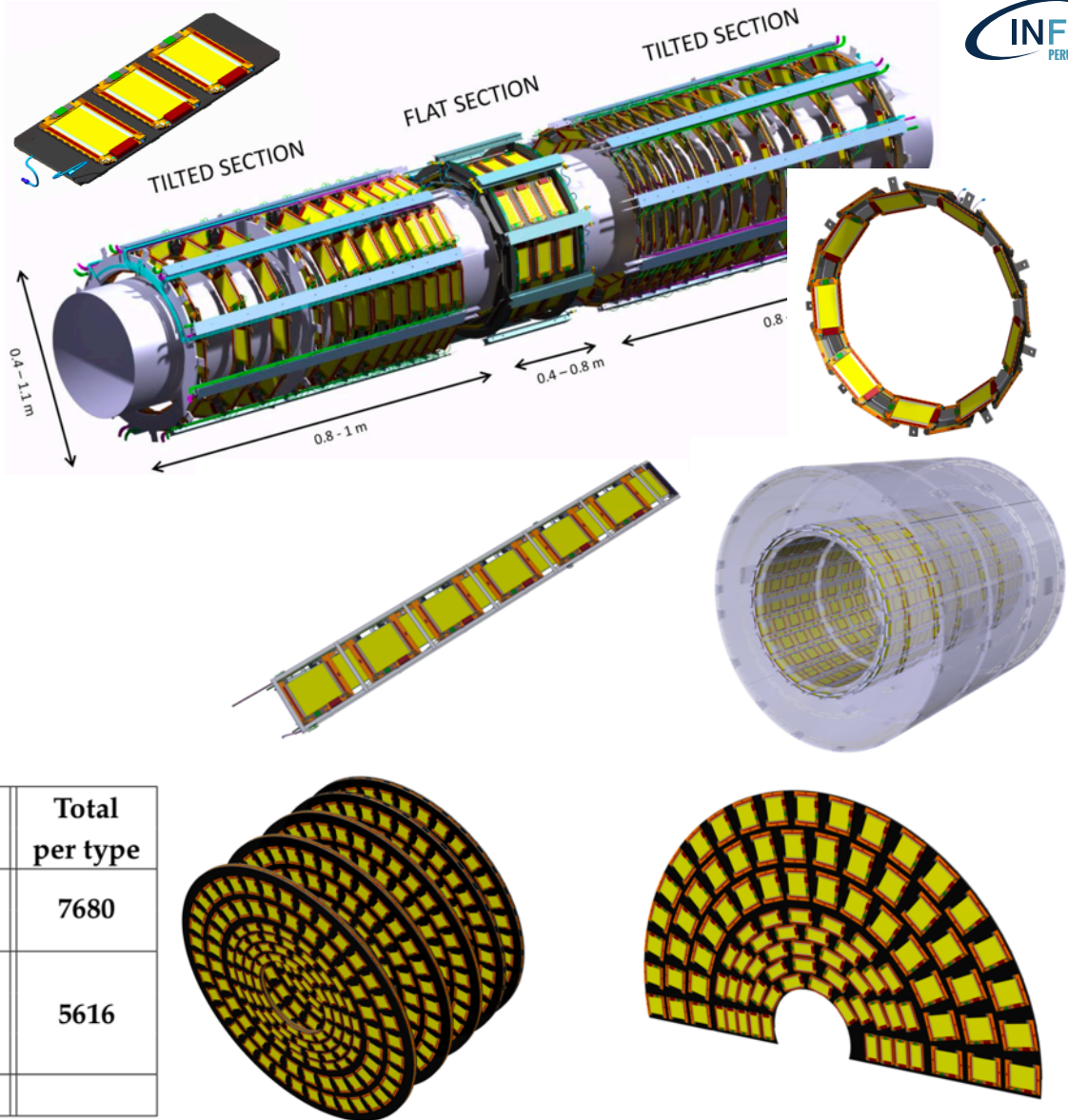
- Flat Part: planks
- Tilted Part: rings

- **TB2S**

- Ladder support structure

- **TEDD**

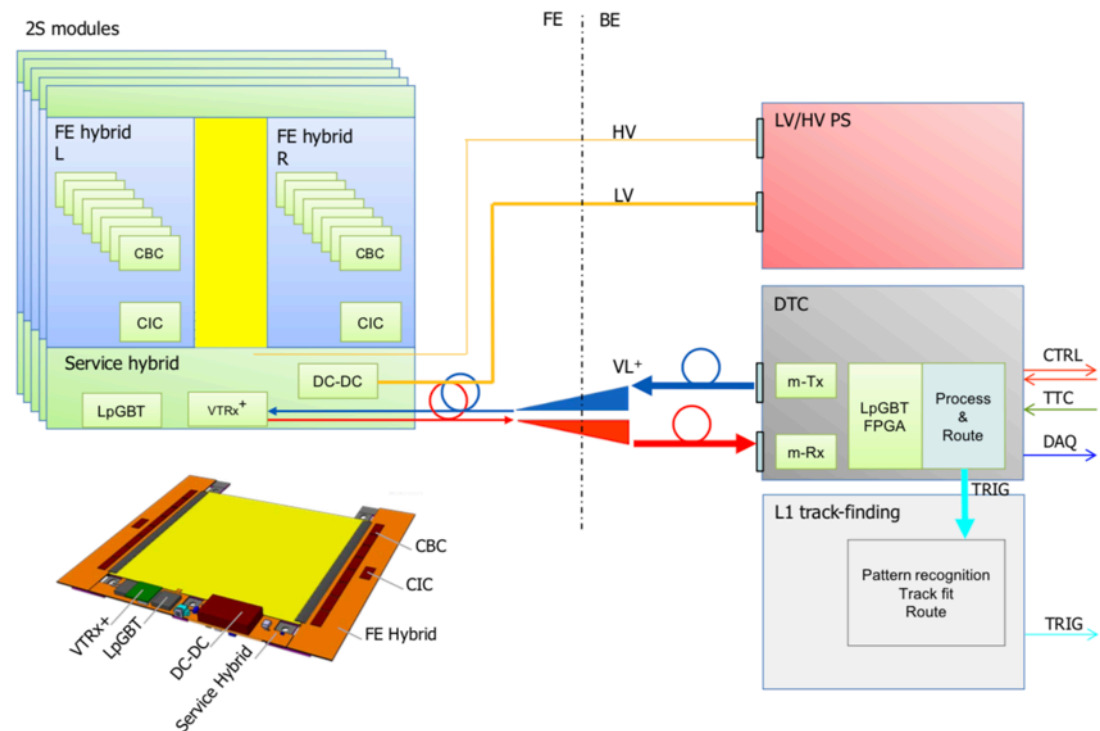
- Building block: DEE (half disk)
- Double-Disk to be hermetic also with rectangular modules



Module type and variant	TBPS	TB2S	TEDD	Total per variant	Total per type
2S	1.8 mm	0	4464	2792	7680
	4.0 mm	0	0	424	
PS	1.6 mm	826	0	826	5616
	2.6 mm	1462	0	1462	
	4.0 mm	584	0	2744	
Total	2872	4464	5960	13296	

- DTC (Data, Trigger and Control) boards readout and control module
 - ACTA standard

- Bi-directional optical links
 - 2.56 Gb/s DTC → Module
 - clock, trigger, fast-commands and programming
 - 5.12 or 10.24 Gb/s Module → DTC
 - L1 and DAQ data
- L1 data at 40 MHz
- DAQ data (after L1) at 750 kHz



- Sensor irradiated with neutron only at JSI
- CBC3 readout chip (almost final)
- Charge collection reflected in hit efficiency as a function of threshold
 - FZ290 can tolerate higher thresholds
 - Only after long annealing (200 days) at ultimate $5 \times 10^{14} \text{ neq/cm}^2$ both materials are comparable
- dark noise occupancy was measured:
 - lower than 10^{-5} while expected hit occupancy is $\sim 10^{-2}$
 - Scale with annealing (current) and not with thickness

