

Beam Instrumentation & Diagnostics Part 2

CAS Introduction to Accelerator Physics

Vysoké Tatry, 19th of September 2019

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2nd part of this lecture covers:

- Transverse profile techniques
- Emittance determination at transfer lines
- Diagnostics for bunch shape determination

Measurement of Beam Profile

The beam width can be changed by focusing via quadruples.

Transverse matching between ascending accelerators is done by focusing.

→ Profiles have to be controlled at many locations.

Synchrotrons: Lattice functions $\beta(s)$ and $D(s)$ are fixed \Rightarrow width σ and emittance ε are:

$$\sigma_x^2(s) = \varepsilon_x \beta_x(s) + \left(D(s) \frac{\Delta p}{p} \right)^2 \quad \text{and} \quad \sigma_y^2(s) = \varepsilon_y \beta_y(s) \quad (\text{no vertical bend})$$

Transfer lines: Lattice functions are 'smoothly' defined due to variable input emittance.

Typical beam sizes:

e⁻-beam: typically \varnothing 0.01 to 3 mm, **protons:** typically \varnothing 1 to 30 mm

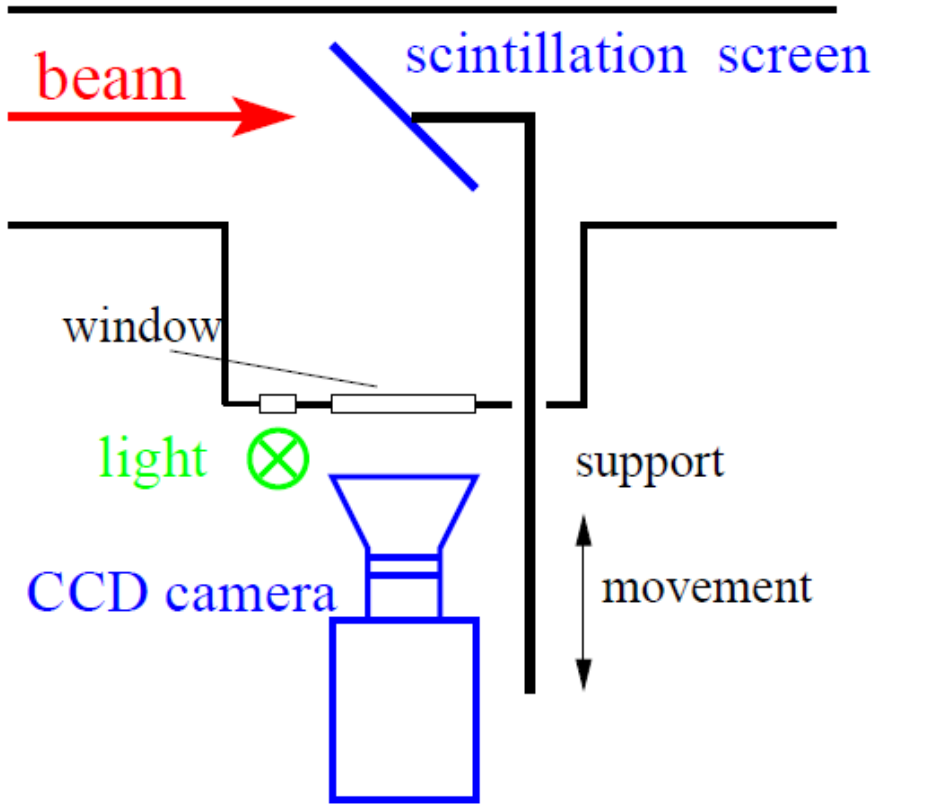
A great variety of devices are used:

- **Optical techniques:** Scintillating screens (all beams),
synchrotron light monitors (e⁻), optical transition radiation (e⁻, high energetic p),
ionization profile monitors (protons)
- **Electronics techniques:** Secondary electron emission SEM grids, wire scanners (all)

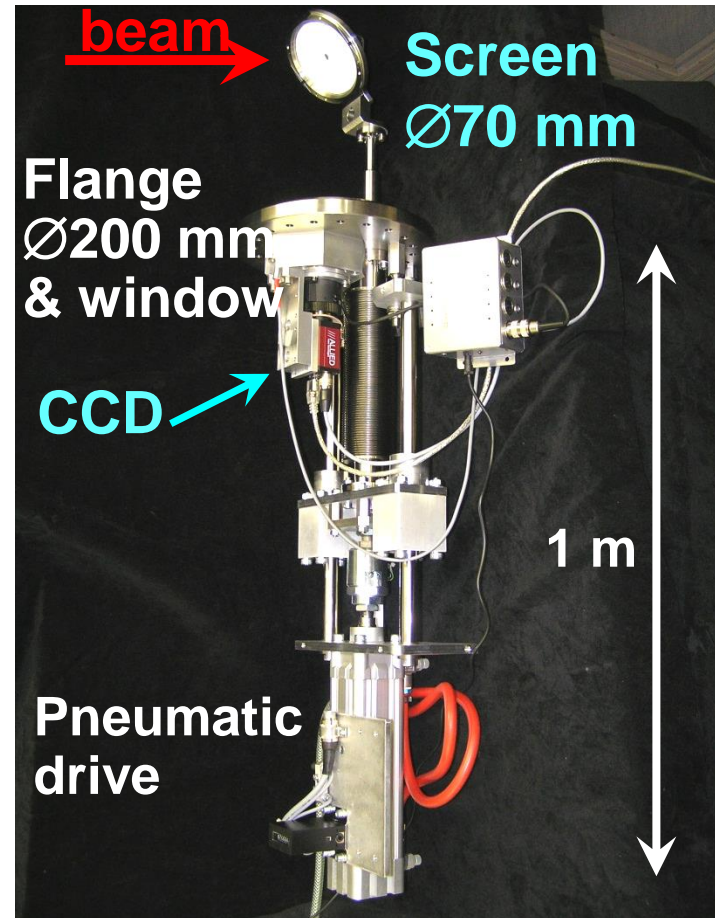
Scintillation Screen

Scintillation: Particle's energy loss in matter causes emission of light

→ the most direct way of profile observation as used from the early days on!



Pneumatic feed-through with $\varnothing 70$ mm screen:



Example of Screen based Beam Profile Measurement

Example: GSI LINAC, 4 MeV/u, low current, YAG:Ce screen

Advantage of screens:

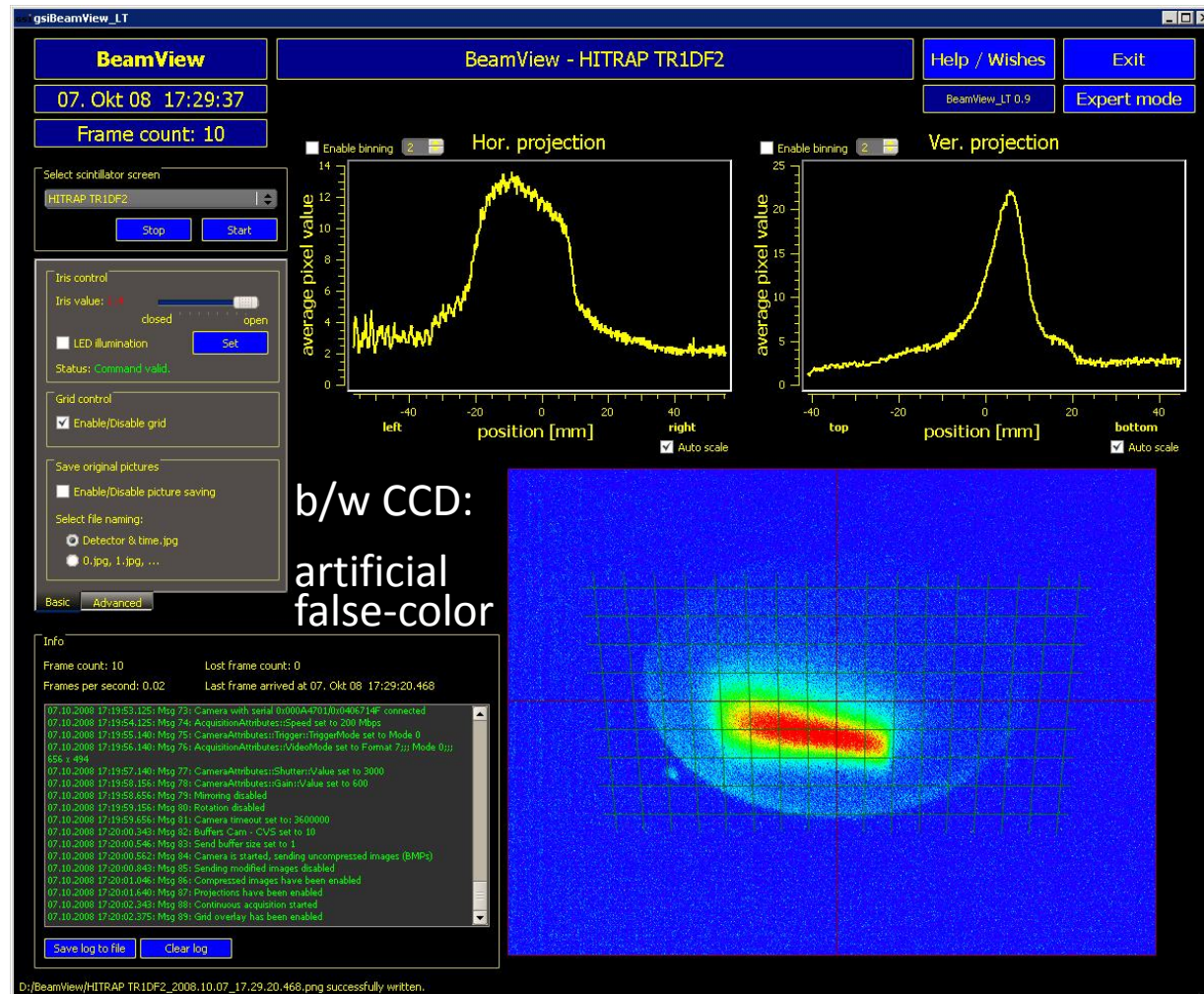
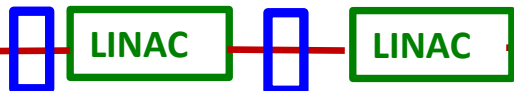
- Direct 2-dim measurement
 - High spatial resolution
 - Cheap realization
- ⇒ widely used at transfer lines

Disadvantage of screens:

- Intercepting device
- Some material might brittle
- Low dynamic range
- Might be destroyed by the beam

Observation with a CCD, CMOS or video camera

Scintillation Screen (beam stopped)



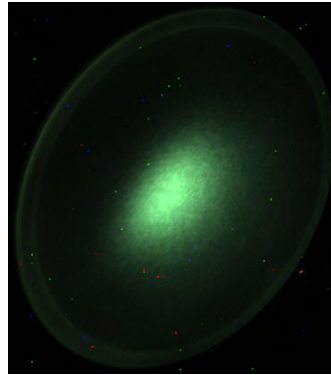
b/w CCD:
artificial
false-color

Light output from various Scintillating Screens

Example: Color CCD camera: Images at different particle intensities determined for U at 300 MeV/u



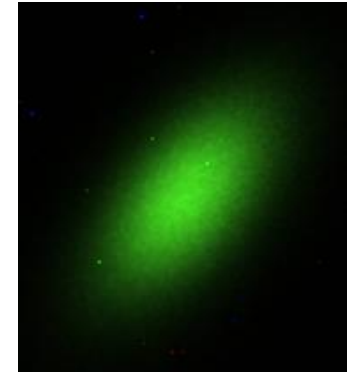
Alumina: Al_2O_3



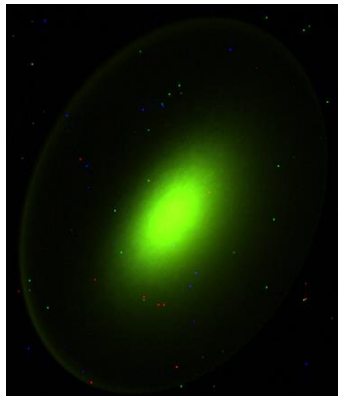
CsI:Tl



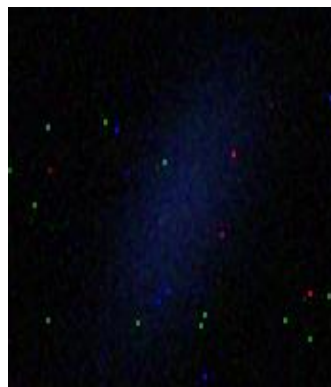
Chromox: Al_2O_3 :Cr



P43



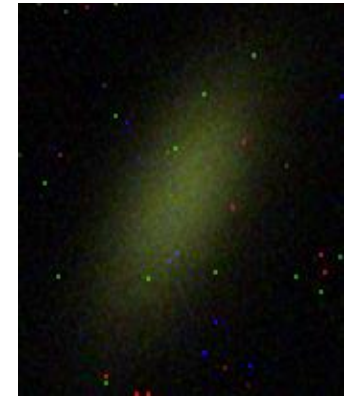
YAG:Ce



Quartz



Quartz:Ce



ZrO₂:Mg

- Very different light yield i.e. photons per ion's energy loss
- Different wavelength of emitted light

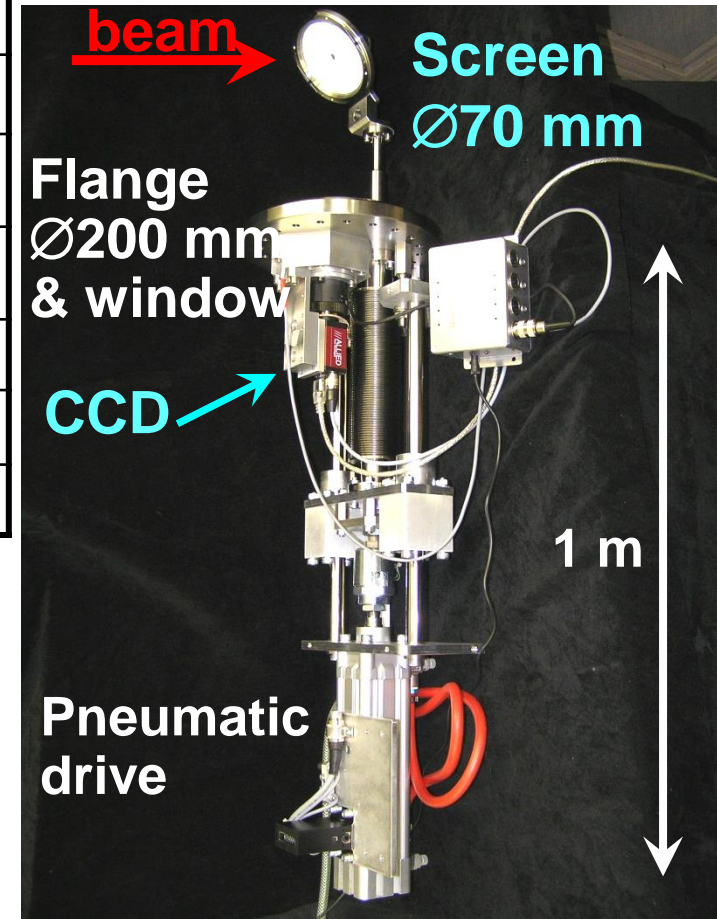
Some materials and their basic properties:

Name	Type	Material	Activ.	Max. λ	Decay
Chromox	Cera- mics	Al_2O_3	Cr	700 nm	≈ 10 ms
Alumina		Al_2O_3	Non	380 nm	≈ 10 ns
YAG:Ce	Crystal	$\text{Y}_3\text{Al}_5\text{O}_{12}$	Ce	550 nm	200 ns
P43	Powder	$\text{Gd}_2\text{O}_3\text{S}$	Tb	545 nm	1 ms
P46		$\text{Y}_3\text{Al}_5\text{O}_{12}$	Ce	530 nm	300 ns
P47		$\text{Y}_3\text{Si}_5\text{O}_{12}$	Ce&Tb	400 nm	100 ns

Properties of a good scintillator:

- Large light output at optical wavelength
→ standard CCD camera can be used
- Large dynamic range → usable for different currents
- Short decay time → observation of variations
- Radiation hardness → long lifetime
- Good mechanical properties → typ. size up to \varnothing 10 cm
(Phosphor Pxx grains of $\varnothing \approx 10$ μm on glass or metal).

Standard drive with P43 screen



Outline:

- Scintillation screens:
 - emission of light, universal usage, limited dynamic range
- **SEM-Grid: emission of electrons, workhorse, limited resolution**
- **Wire scanner**
- **Ionization Profile Monitor**
- **Optical Transition Radiation**
- **Synchrotron Light Monitors**
- **Summary**

Secondary Electron Emission by Ion Impact

Energy loss of ions in metals close to a surface:

Closed collision with large energy transfer: \rightarrow fast e^- with $E_{kin} \gg 100$ eV

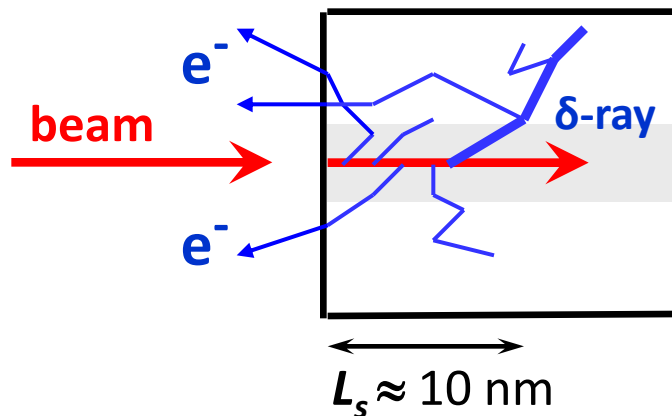
Distant collision with low energy transfer: \rightarrow slow e^- with $E_{kin} \leq 10$ eV

\rightarrow 'diffusion' & scattering with other e^- : scattering length $L_s \approx 1 - 10$ nm

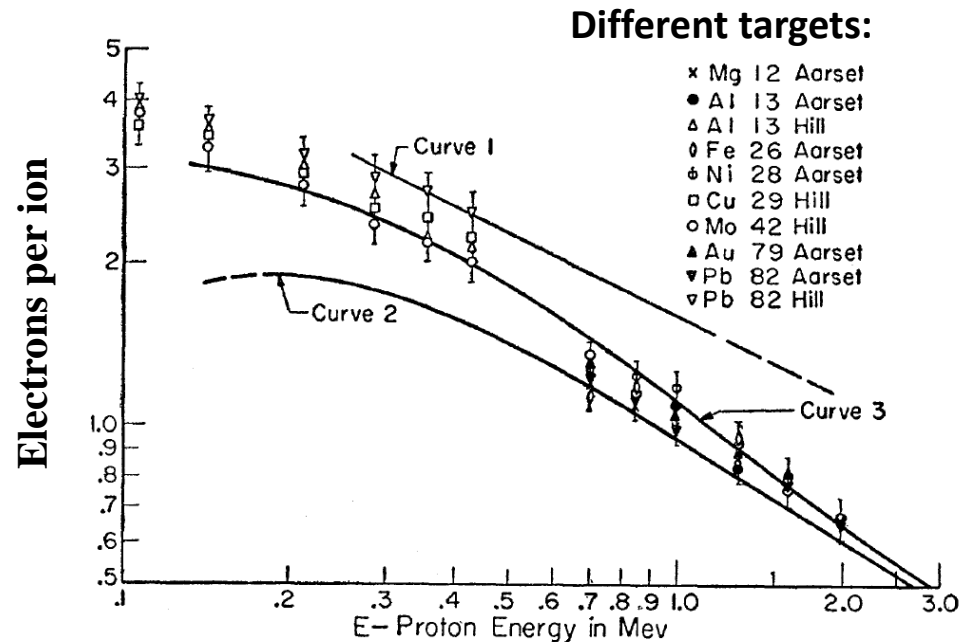
\rightarrow at surface $\approx 90\%$ probability for escape

Secondary **electron yield** and energy distribution comparable for all metals!

$$\Rightarrow Y = \text{const.} * dE/dx \quad (\text{Sternglass formula})$$



From E.J. Sternglass, Phys. Rev. 108, 1 (1957)

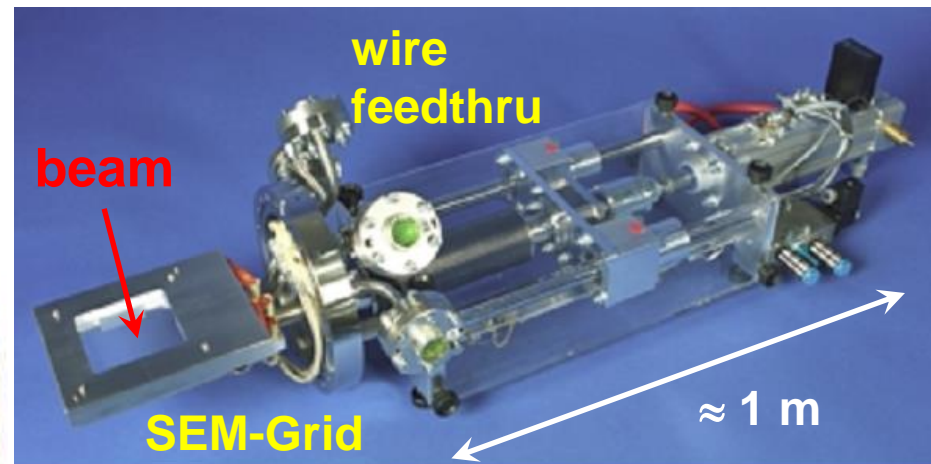
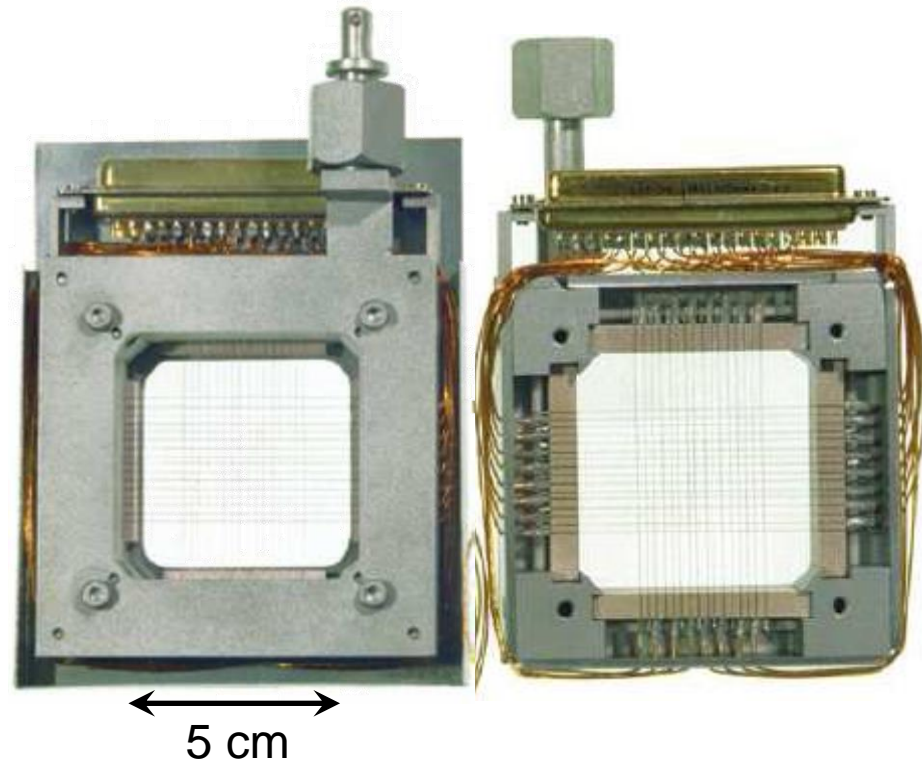


Secondary Electron Emission Grids = SEM-Grid

Beam surface interaction: e^- emission \rightarrow measurement of current.

Example: 15 wire spaced by 1.5 mm:

SEM-Grid feed-through on CF200:

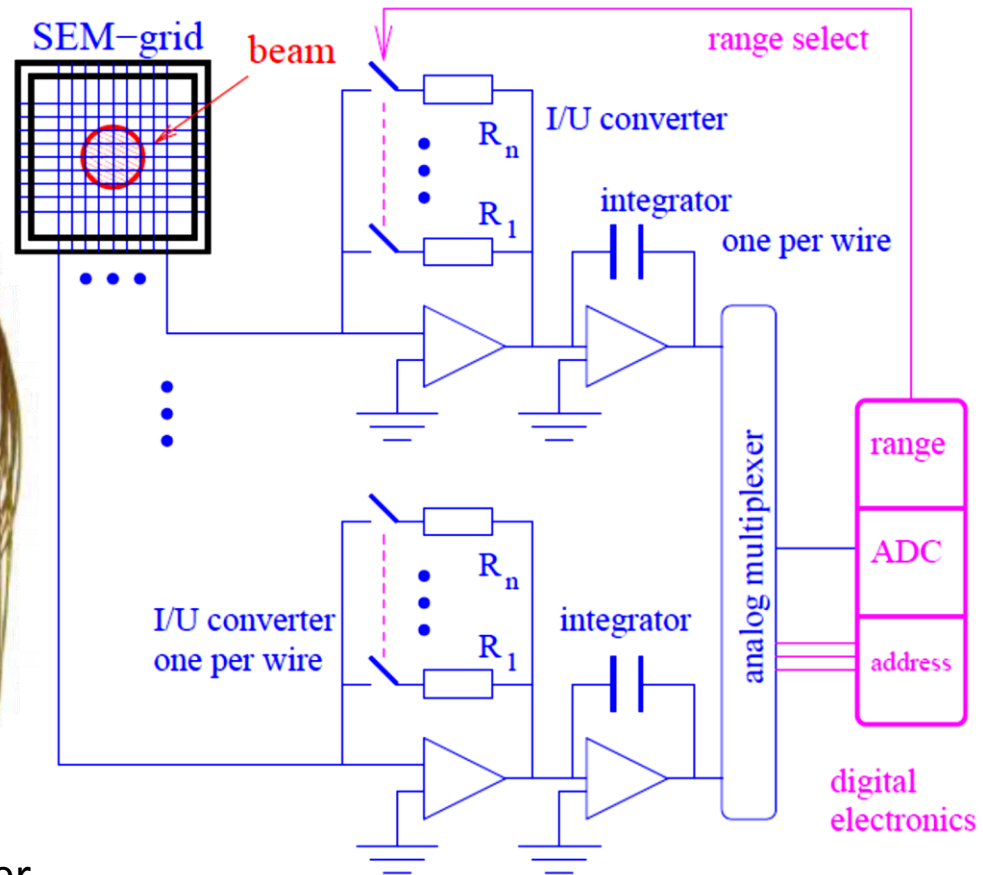
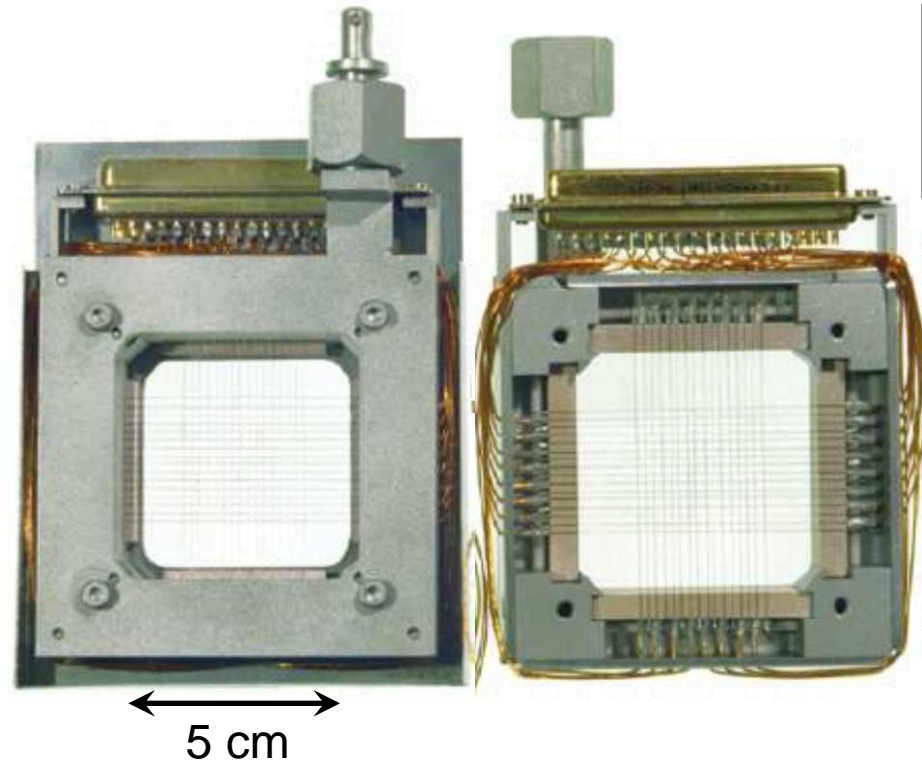


Parameter	Typ. value
# wires per plane	10 ...100
Active area	(5...20 cm) ²
Wire \varnothing	25....100 μm
Spacing	0.3...2 mm
Material	e.g. W or Carbon
Max. beam power	1 W/mm

Secondary Electron Emission Grids = SEM-Grid

Beam surface interaction: e^- emission \rightarrow measurement of current.

Example: 15 wire spaced by 1.5 mm:

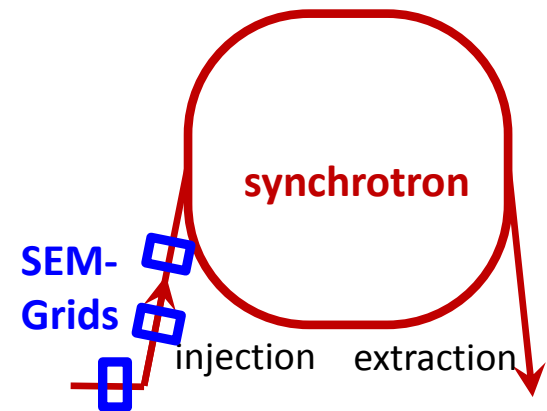
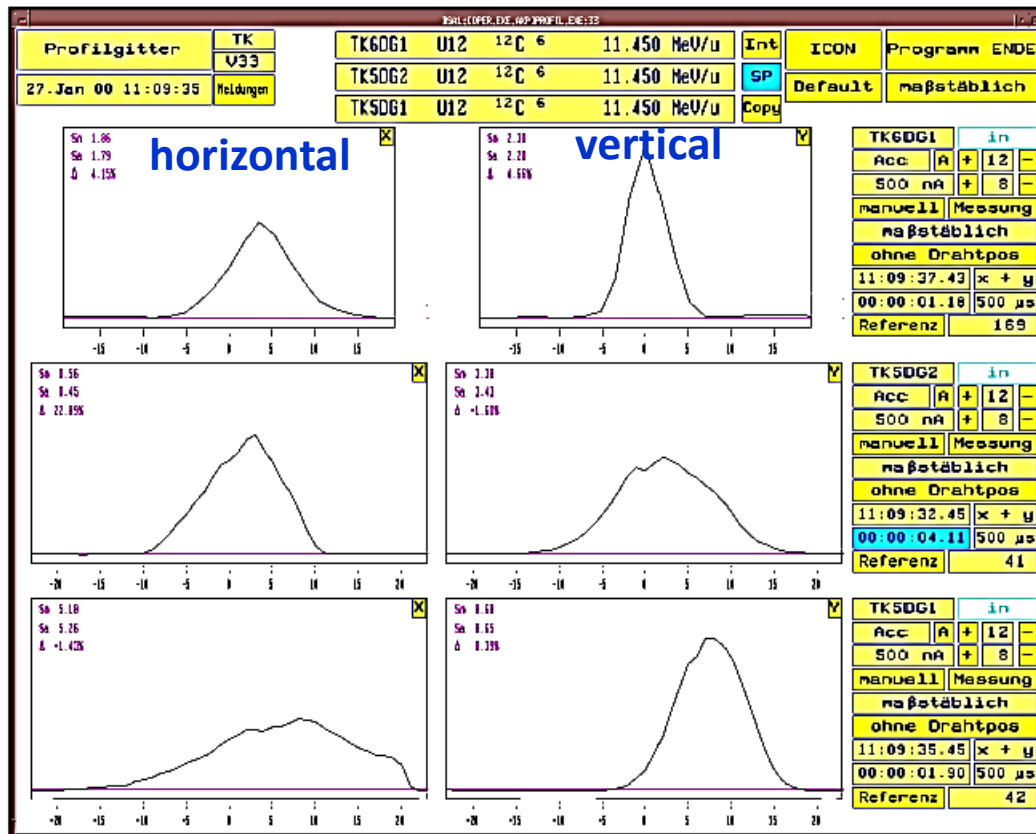


Each wire is equipped with one I/U converter
 different ranges settings by R_i
 \rightarrow very large dynamic range up to 10^6 .

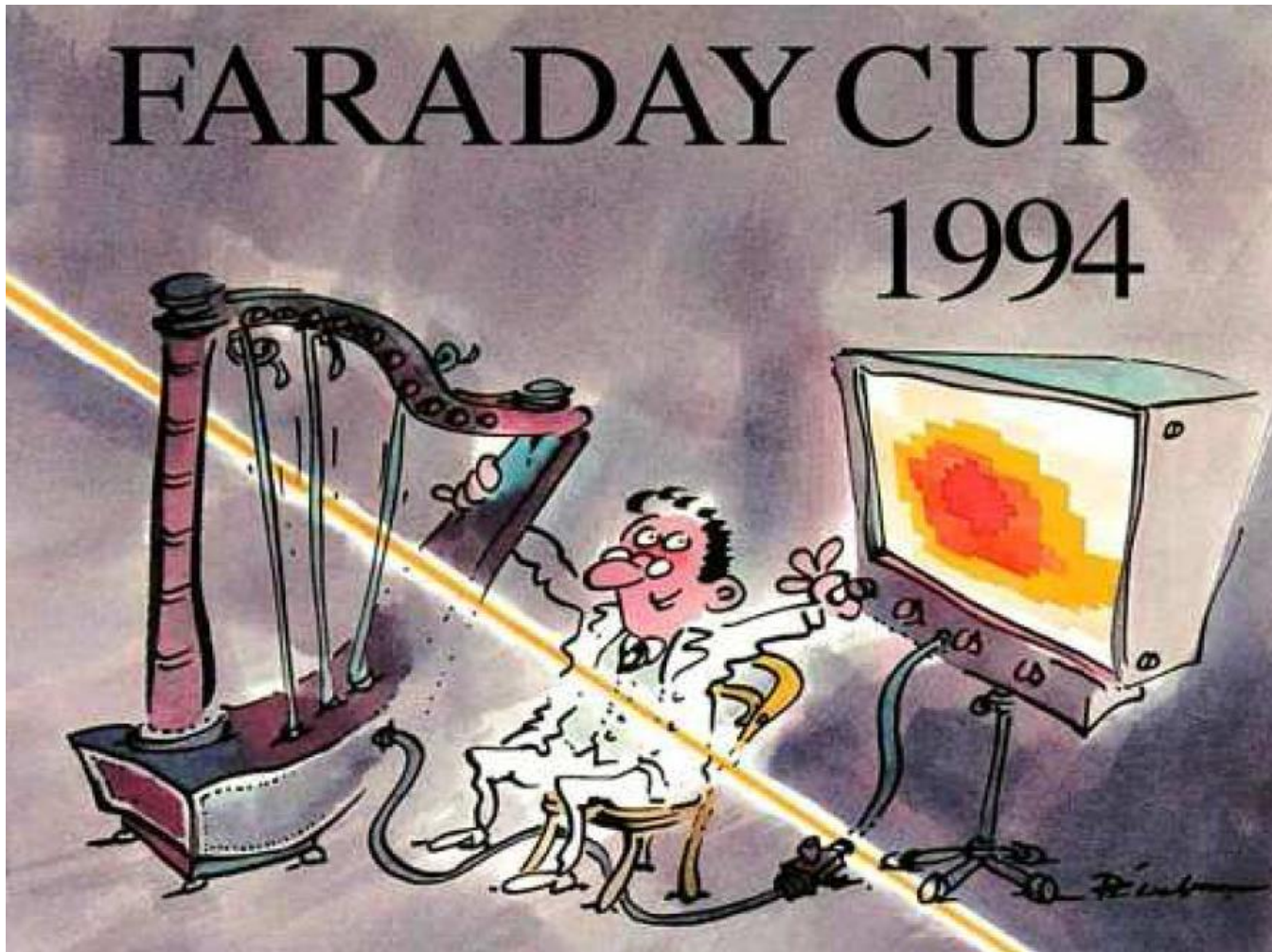
Example of Profile Measurement with SEM-Grids

Even for low energies, several SEM-Grid can be used due to the $\approx 80\%$ transmission
 \Rightarrow frequently used instrument beam optimization: setting of quadrupoles, energy....

Example: C^{6+} beam of 11.450 MeV/u at different locations at GSI-LINAC



beam



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- **Optical Transition Radiation**
- **Synchrotron Light Monitors**
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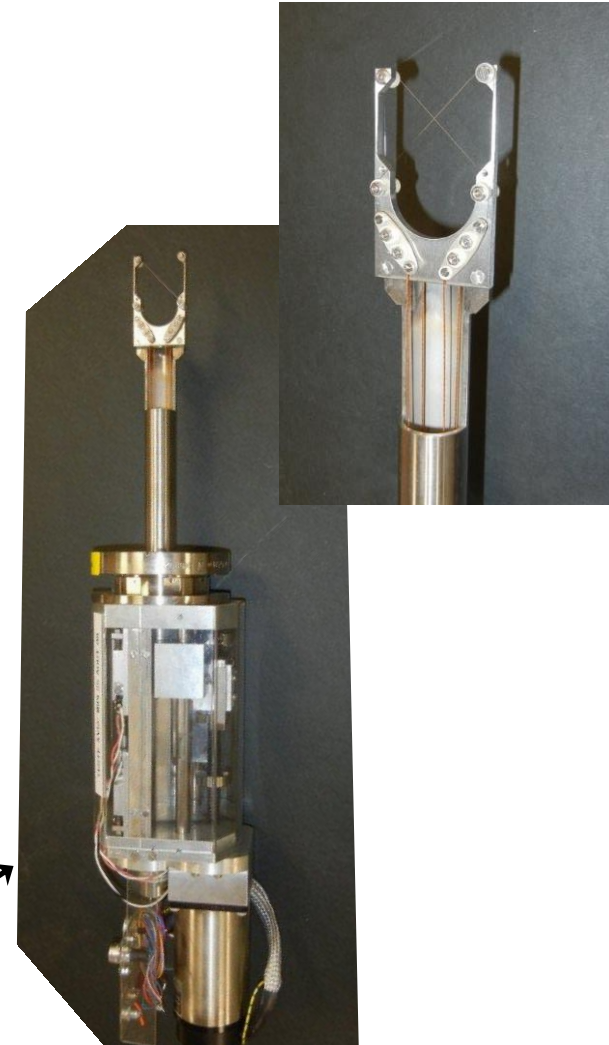
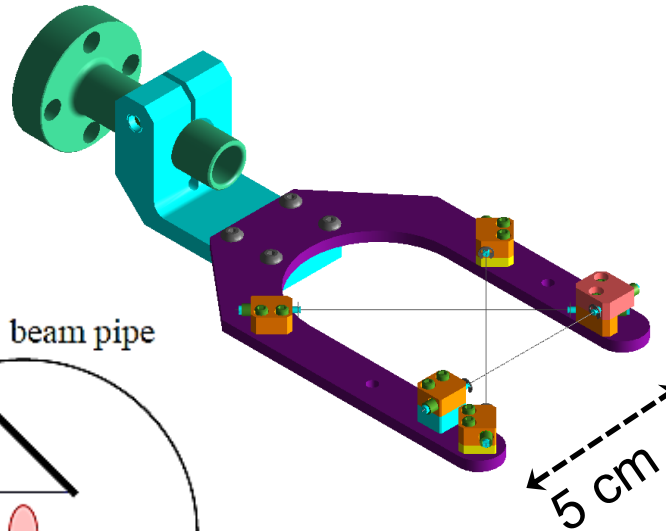
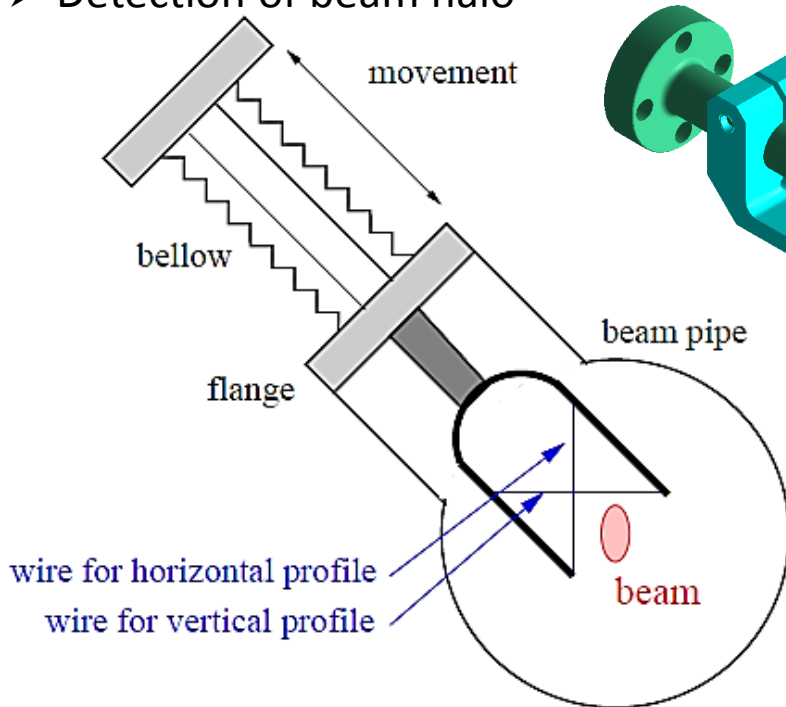
Slow, linear Wire Scanner

Idea: One wire is scanned through the beam!

Wire diameter $100 \mu\text{m} < d_{\text{wire}} < 10 \mu\text{m}$

Slow, linear scanner are used for:

- Low energy protons
- High resolution measurements for e^- beam
by de-convolution $\sigma^2_{\text{beam}} = \sigma^2_{\text{meas}} - d^2_{\text{wire}}$
⇒ resolution down to $1 \mu\text{m}$ range can be reached
- Detection of beam halo



principle plus another article
deciding the performance.

Eligibility
Nominations are open to candidates of any nationality for work done at any geographical location. There are no restrictions for candidates, with the only exception that they cannot be members in charge of the IIR Program Committee. In the event of deciding between works of similar quality, preference will be given to candidates in an early stage of their beam instrumentation career. The award may be shared between persons contributing to the same accomplishment. Once accepted by the Award Committee a

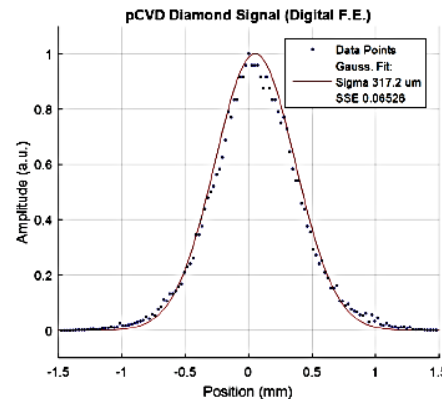
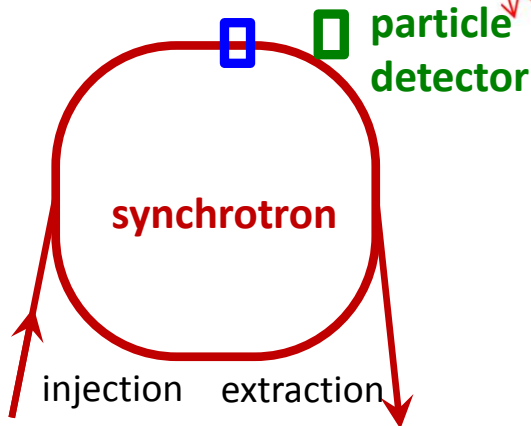
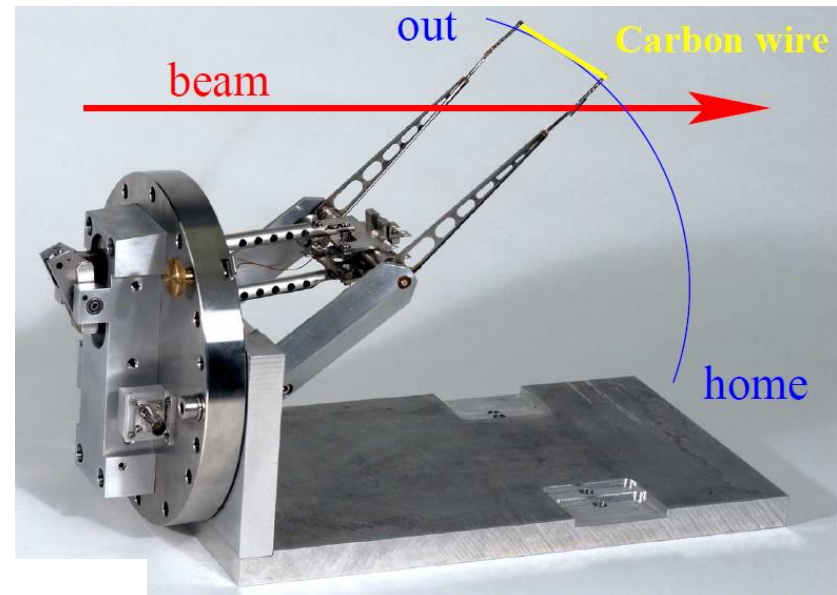
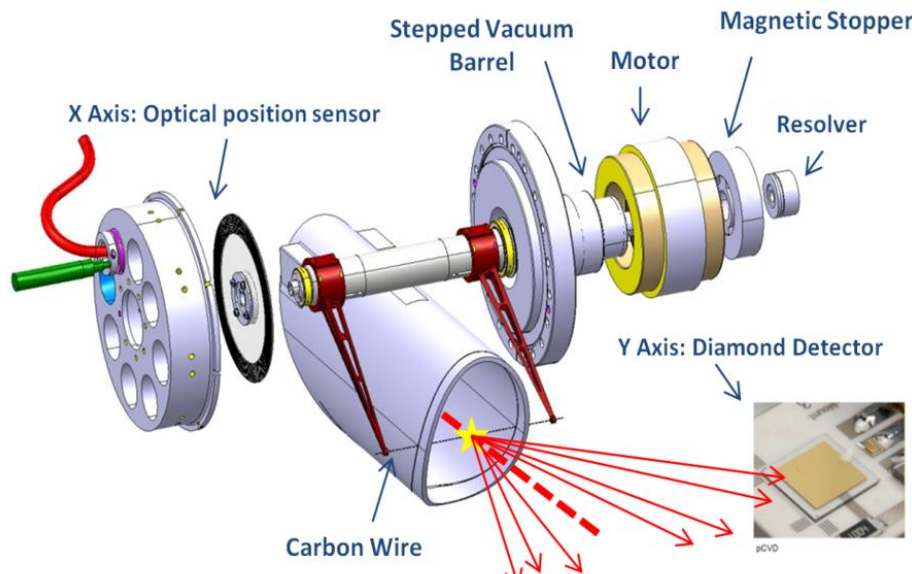


Disclosures:
The Award Committee may release the names of entrants and a list of publications related to an entry if requested by a third party. Unpublished supporting material will not be disclosed nor will the names of persons supporting a nomination. Discussion regarding individual entries, awards, etc. is prohibited.

Fast, Flying Wire Scanner

In a synchrotron one wire is scanned through the beam as fast as possible.

Fast pendulum scanner for synchrotrons; sometimes it is called '*flying wire*':



From <https://twiki.cern.ch/twiki/bin/viewauth/BWSUpgrade/>

Usage of Flying Wire Scanners

Material: carbon or SiC → low Z-material for low energy loss and high temperature.

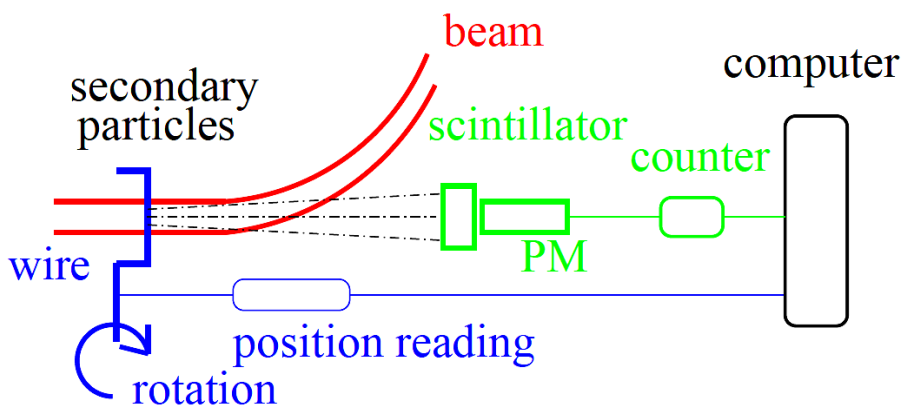
Thickness: down to 10 μm → high resolution.

Detection: High energy **secondary particles** with a detector like a beam loss monitor

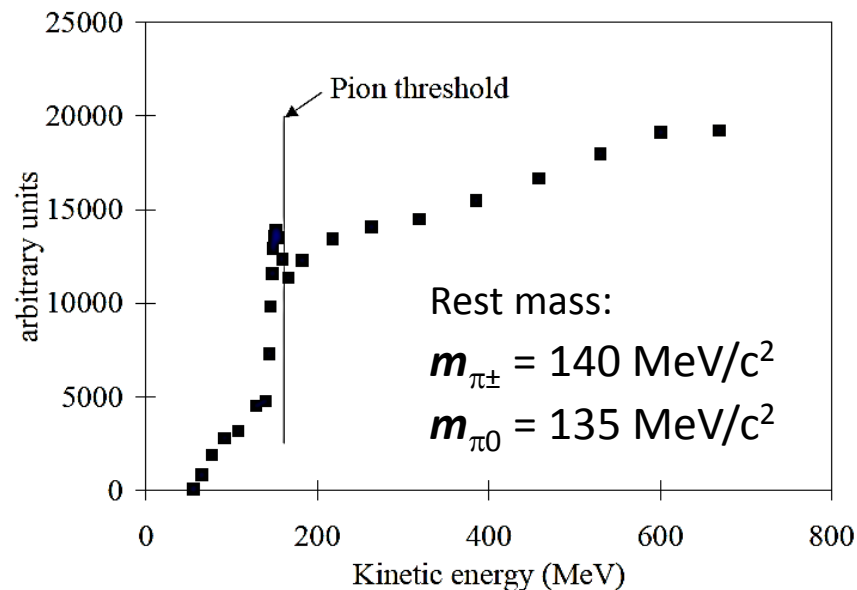
Secondary particles:

Proton beam → hadrons shower (π , n, p...)

Electron beam → Bremsstrahlung photons.



Proton impact on scanner at CERN-PS Booster:



Kinematics of flying wire:

Velocity during passage typically 10 m/s = 36 km/h and

typical beam size \varnothing 10 mm \Rightarrow time for traversing the beam $t \approx 1 \text{ ms}$

U. Raich et al., DIPAC 2005

Challenges: Wire stability for fast movement with high acceleration

Purpose: The Faraday Cup Award, donated by Bergov Instrumentation of Saint Genis, France, is intended to recognize and encourage innovative achievements in the field of accelerator beam instrumentation.

Award: The award consists of a \$5000 prize and a certificate to be presented at the next US Beam Instrumentation Workshop which will be held at Fermi National Laboratory on May 1-4, 2006. Winners participating in the BIW will share a \$1,000 travel allowance. The selection of recipients is the responsibility of the BIW Organizing Committee.

Criteria: The Faraday Cup Award shall be presented for outstanding contribution to the development of an innovative beam diagnostics instrument of proven workability. The prize is only awarded for demonstrated device performance and published contribution.

Criteria Interpretation: Beam Diagnostic Instrument: A device to measure the properties of charged elementary particle, atomic or simple molecular beams during or after acceleration, or the properties of neutral particle beams produced in an intermediate state of charged particle acceleration. The device may operate by detecting secondary beams of charged, neutral, massive or mass less particles. But its purpose should be to diagnose the primary charged particle beam. The mass of primary beam particles shall be no greater than the order of 10.0 atomic mass units.

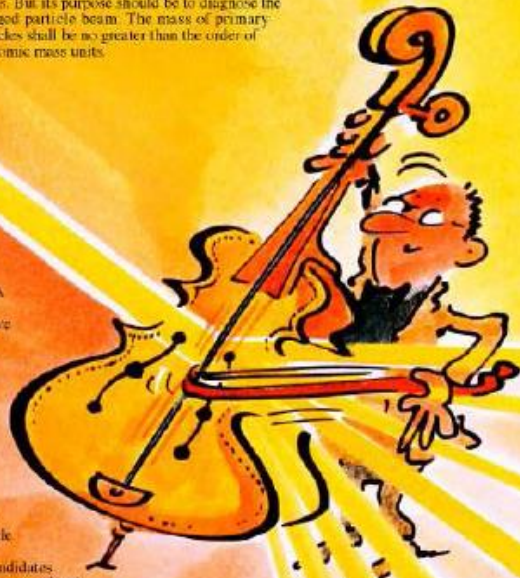
Delivered performance: The performance of the device should have been evaluated using a charged particle beam, rather than in a "bench top" demonstration. Publication: A description of the device, its operating principle, and its performance should have been published in a journal or in the proceedings of a conference or workshop that is in the public domain. Laboratory design notes, internal technical notes, etc. do not qualify but may be submitted to support other publications. Full and open disclosure is necessary to the extent that a potential user could design a similar device. More than one article may be submitted (together) to satisfy this requirement; for example, an article describing the principle plus another article describing the performance.

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Nominations: The nomination package shall include the name of the candidate, relevant publications, a statement outlining his/her personal contribution and that of others, letters from two professional accelerator physicists, engineers or laboratory administrative personnel who are familiar with the device and its development. Two master copies of this package, suitable for copying, must be submitted not later than Oct. 14, 2005 to:

Faraday Cup Proposals - BIW/06 Attn: Lisa Lopez
Fermilab MS 308, P. O. Box 500 Batavia, IL 60510, U.S.A.



Grid: Measurement at a single moment in time

Scanner: Fast variations can not be monitored

→ for pulsed LINACs precise synchronization is needed

Grid: Not adequate at synchrotrons for stored beam parameters

Scanner: At high energy synchrotrons flying wire scanners are nearly non-destructive

Grid: Resolution of a grid is fixed by the wire distance (typically 1 mm)

Scanner: For slow scanners the resolution is about the wire thickness (down to 10 μm)

→ used for e⁻-beams having small sizes (down to 10 μm)

Grid: Needs one electronics channel per wire

→ expensive electronics and data acquisition

Scanner: Needs a precise movable feed-through → expensive mechanics.

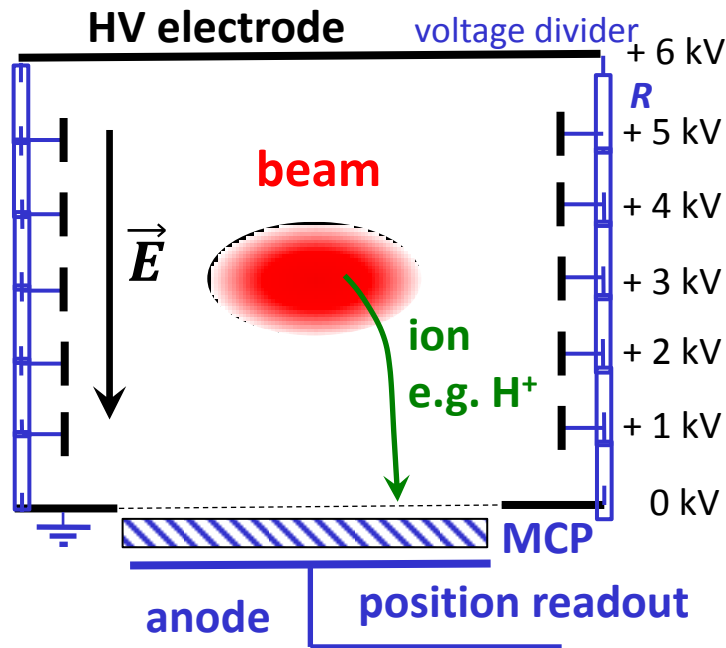
Outline:

- Scintillation screens:
 - emission of light, universal usage, limited dynamic range
- SEM-Grid: emission of electrons, workhorse, limited resolution
- Wire scanner: emission of electrons, workhorse, scanning method
- **Ionization Profile Monitor:**
 - secondary particle detection from interaction beam-residual gas**
- **Optical Transition Radiation**
- **Synchrotron Light Monitors**
- **Summary**

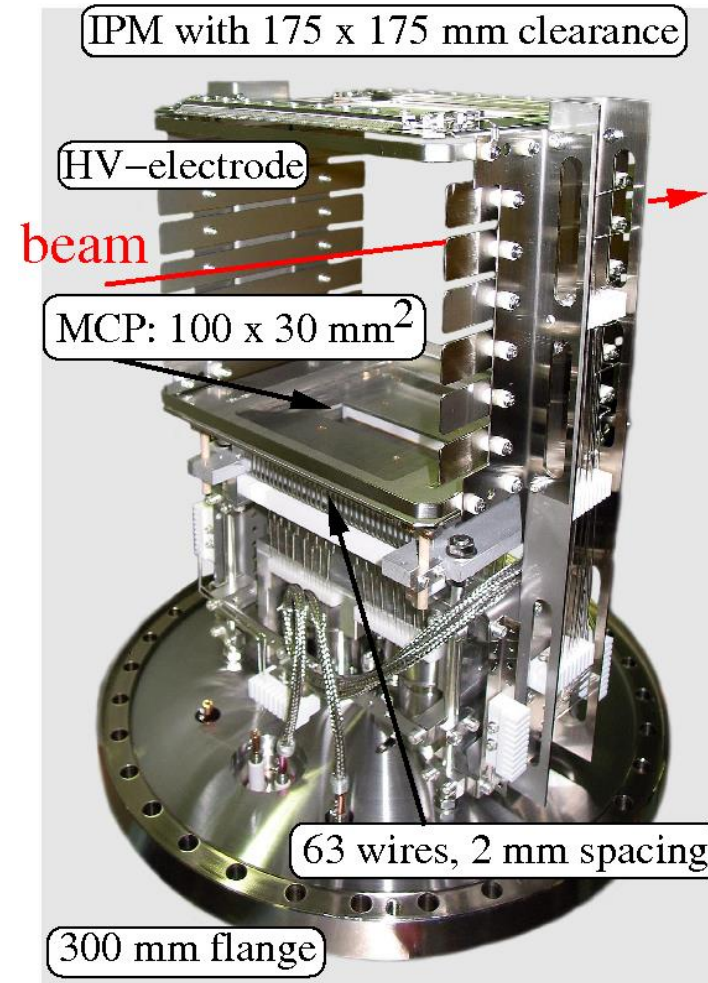
Ionization Profile Monitor at GSI Synchrotron

Non-destructive device for proton synchrotron:

- beam ionizes the residual gas by electronic stopping
- gas ions or e^- accelerated by E -field ≈ 1 kV/cm
- spatial resolved single particle detection



Realization at GSI synchrotron:
One monitor per plane



Typical vacuum pressure:

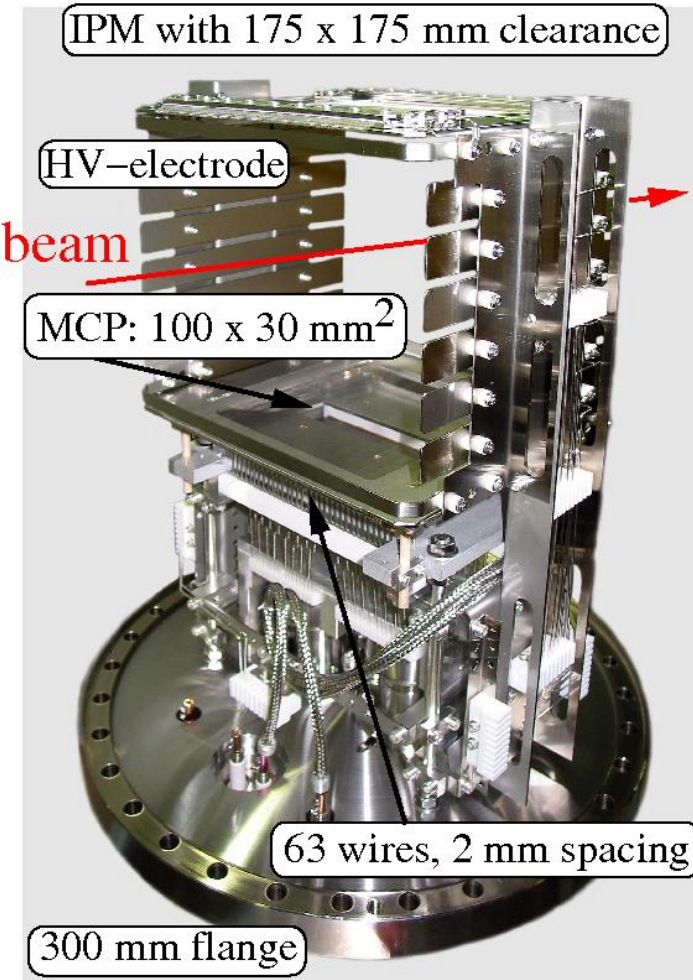
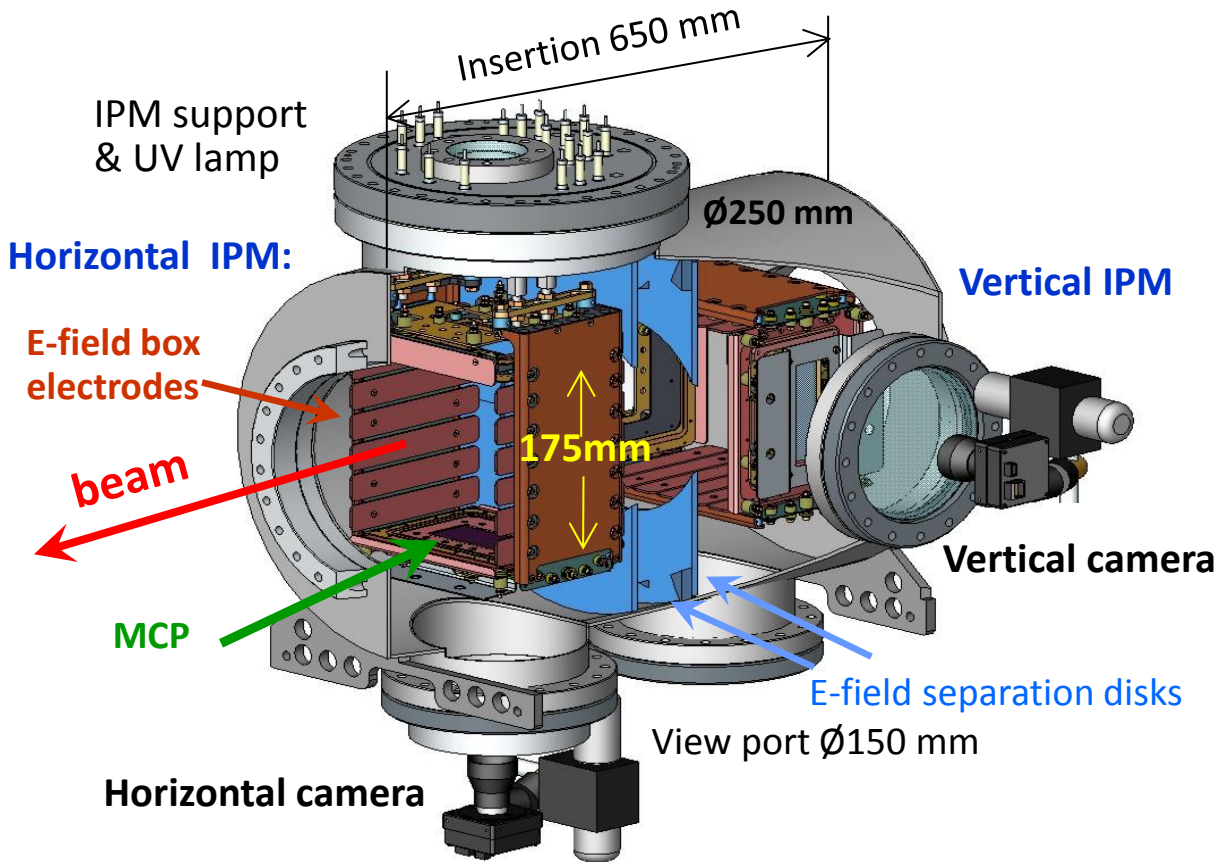
Transfer line: N_2 $10^{-8} \dots 10^{-6}$ mbar $\cong 3 \cdot 10^8 \dots 3 \cdot 10^{10} \text{ cm}^{-3}$

Synchrotron: H_2 $10^{-11} \dots 10^{-9}$ mbar $\cong 3 \cdot 10^5 \dots 3 \cdot 10^7 \text{ cm}^{-3}$

Ionization Profile Monitor Realization

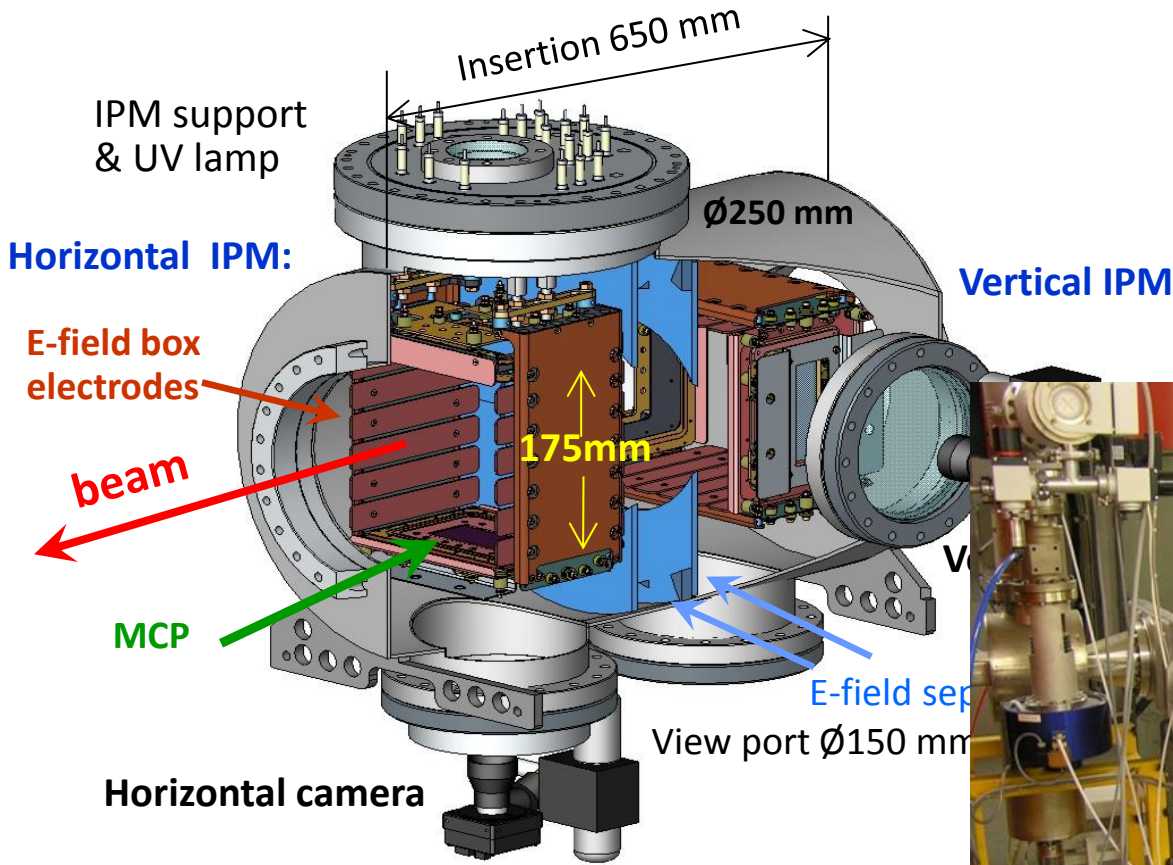
The realization for the heavy ion storage ring ESR at GSI: *Realization at GSI synchrotron:*

One monitor per plane



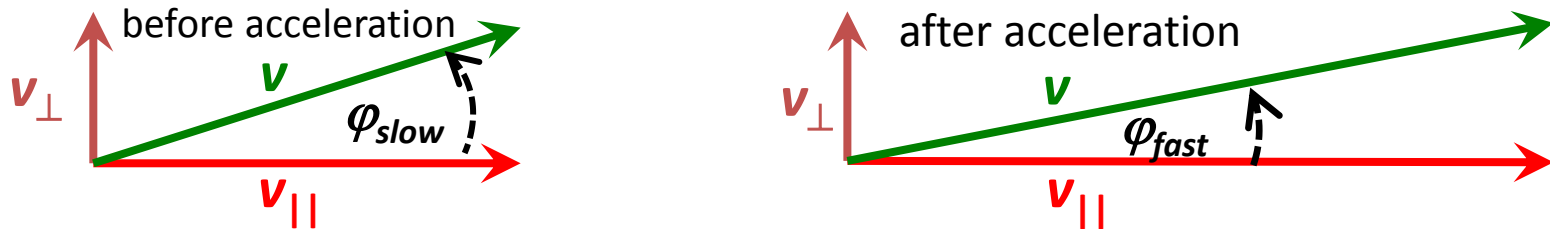
Ionization Profile Monitor Realization

The realization for the heavy ion storage ring ESR at GSI: *Realization at GSI synchrotron: One monitor per plane*



'Adiabatic' Damping during Acceleration

The emittance $\varepsilon = \int dx dx'$ is defined via the position deviation and angle in **lab-frame**



After acceleration the longitudinal velocity is increased \Rightarrow angle φ is smaller

The angle is expressed in momenta: $x' = p_{\perp} / p_{\parallel}$ the emittance is $\langle xx' \rangle = 0$: $\varepsilon = x \cdot x' = x \cdot p_{\perp} / p_{\parallel}$

\Rightarrow under ideal conditions the emittance can be normalized to the momentum $p_{\parallel} = \gamma \cdot m \cdot \beta c$

\Rightarrow normalized emittance $\varepsilon_{norm} = \beta \gamma \cdot \varepsilon$ is preserved with the Lorentz factor γ and velocity $\beta = v/c$

Example: Acceleration in GSI-synchrotron for C^{6+} from 6.7 \rightarrow 600 MeV/u ($\beta = 12 \rightarrow 79\%$) observed by IPM

$$\text{theoretical width: } \langle x \rangle_f = \sqrt{\frac{\beta_i \cdot \gamma_i}{\beta_f \cdot \gamma_f}} \cdot \langle x \rangle_i$$

$$= 0.33 \cdot \langle x \rangle_i$$

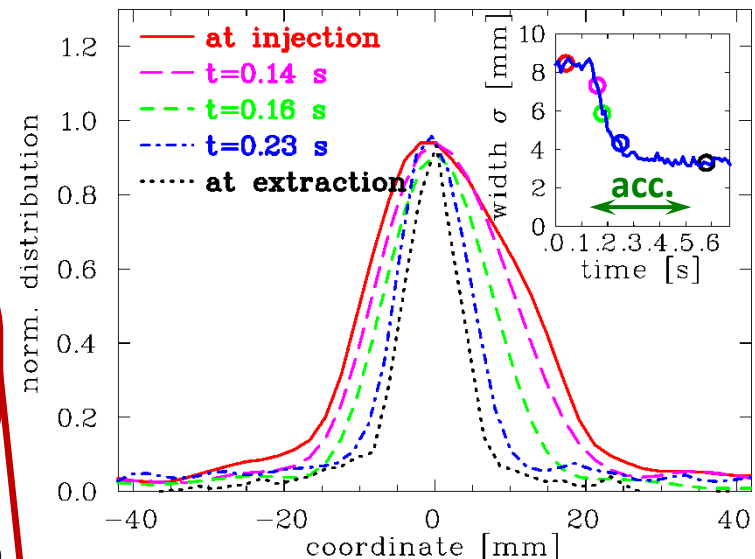
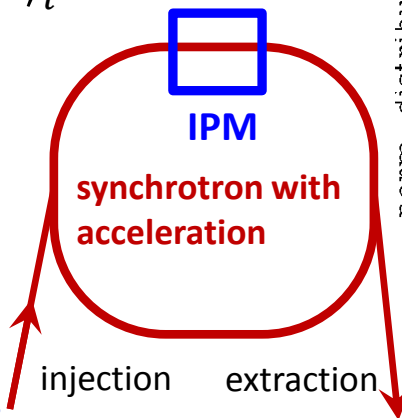
$$\text{measured width: } \langle x \rangle_f \approx 0.37 \cdot \langle x \rangle_i$$

IPM is well suited

for long time observations

without beam disturbance

\rightarrow mainly used at proton synchrotrons.



Outline:

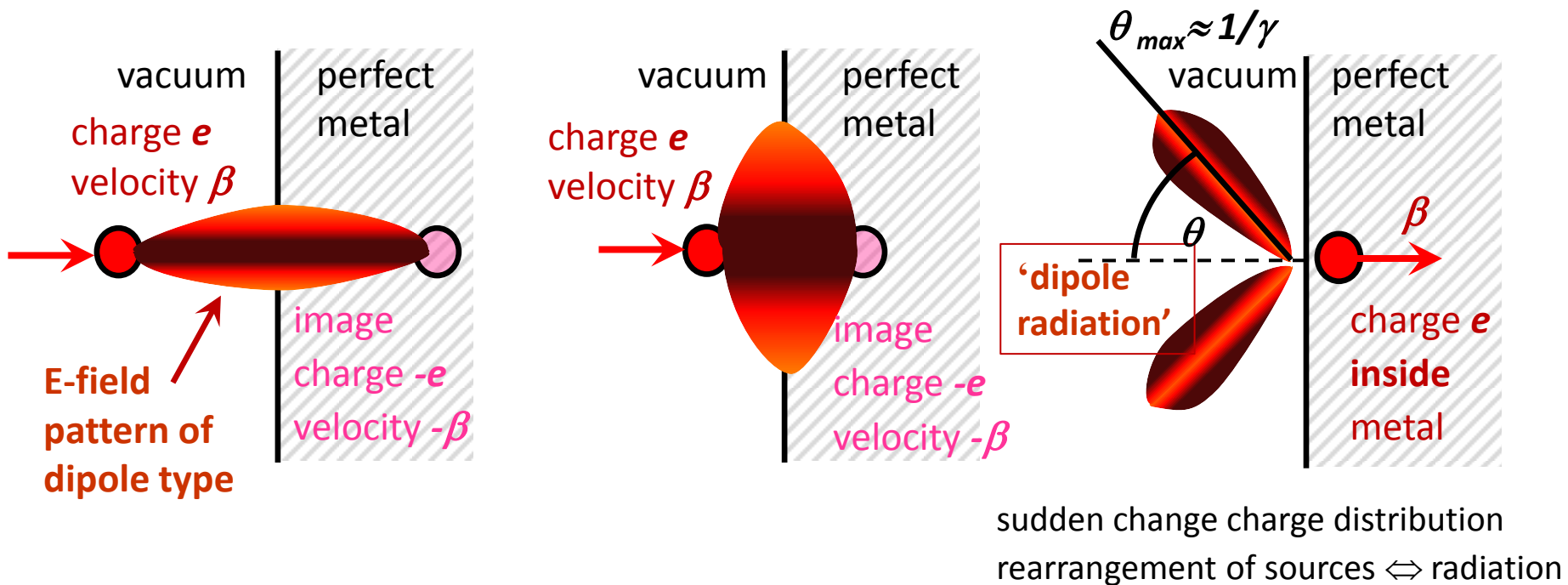
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- **Optical Transition Radiation:**
 - crossing material boundary, for relativistic beams only
- **Synchrotron Light Monitors**
- **Summary**

Optical Transition Radiation: Depictive Description

Optical Transition Radiation OTR for a single charge e :

Assuming a charge e approaches an ideal conducting boundary e.g. metal foil

- image charge is created by electric field
- dipole type field pattern
- field distribution depends on velocity β and Lorentz factor γ due to relativistic trans. field increase
- penetration of charge through surface within $t < 10$ fs: sudden change of source distribution
- emission of radiation with dipole characteristic



Other physical interpretation: Impedance mismatch at boundary leads to radiation

Optical Transition Radiation: Depictive Description

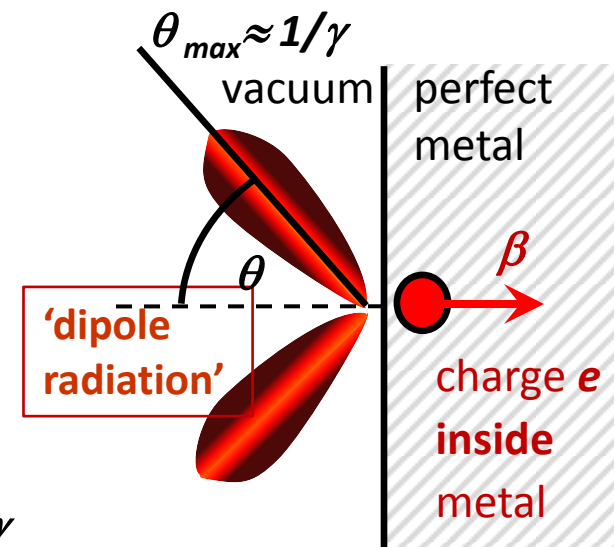
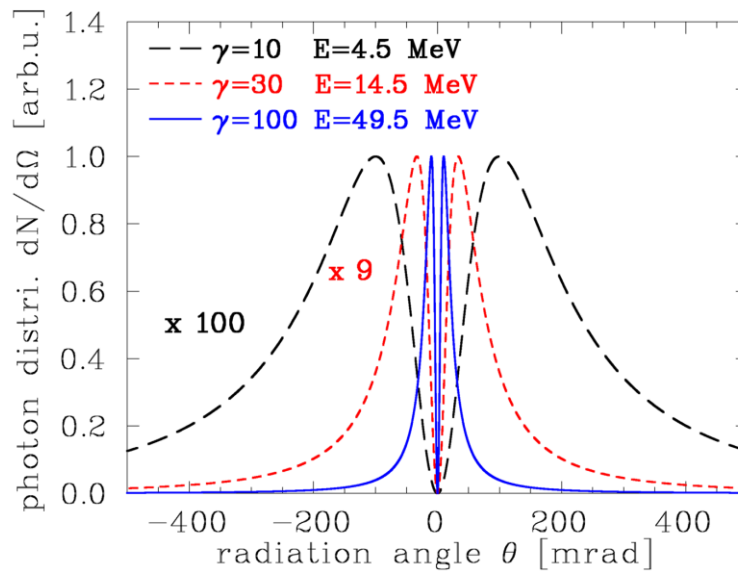
Optical Transition Radiation OTR can be described in classical physics:

approximated formula
for normal incidence
& in-plane polarization:

$$\frac{d^2W}{d\theta d\omega} \approx \frac{2e^2 \beta^2}{\pi c} \cdot \frac{\sin^2 \theta \cdot \cos^2 \theta}{(1 - \beta^2 \cos^2 \theta)^2}$$

W : radiated energy

ω : frequency of wave



Angular distribution of radiation in optical spectrum:

- lobe emission pattern depends on velocity or Lorentz factor γ
 - peak at angle $\theta \approx 1/\gamma$
 - emitted energy i.e. amount of photons scales with $W \propto \beta^2$
 - broad wave length spectrum (i.e. no dependence on ω)
- suited for high energy electrons

sudden change charge distribution
rearrangement of sources \Leftrightarrow radiation

OTR is emitted by charged particle passage through a material boundary.

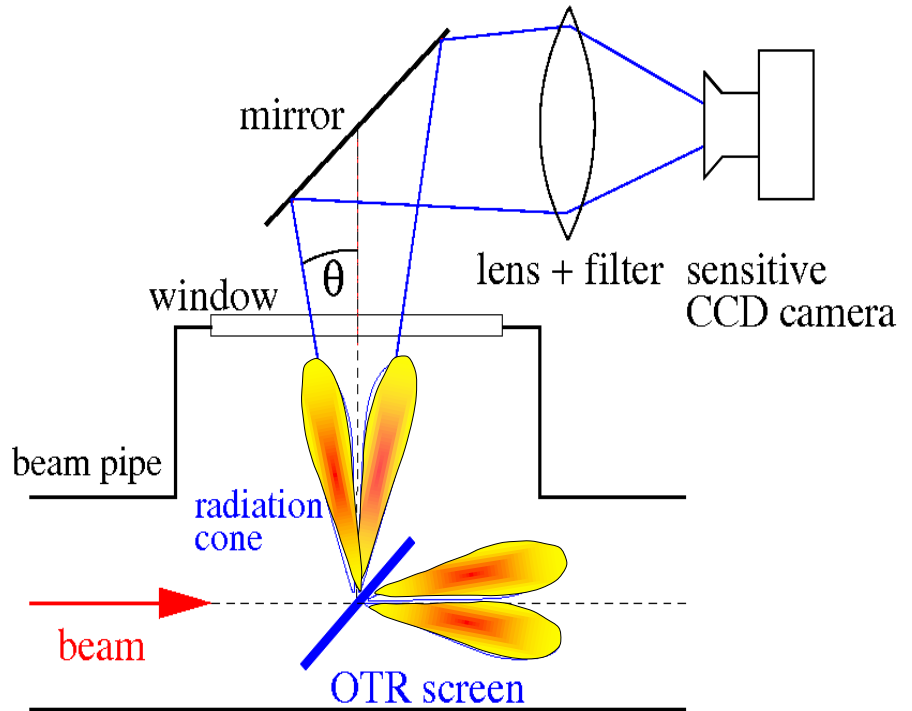
Photon distribution:

within a solid angle $d\Omega$ and

Wavelength interval λ_{begin} to λ_{end}

$$\frac{dN_{photon}}{d\Omega} = N_{beam} \cdot \frac{2e^2 \beta^2}{\pi c} \cdot \log\left(\frac{\lambda_{begin}}{\lambda_{end}}\right) \cdot \frac{\theta^2}{(\gamma^{-2} + \theta^2)^2}$$

- Detection: Optical $400 \text{ nm} < \lambda < 800 \text{ nm}$
using image intensified CCD
- Larger signal for relativistic beam $\gamma \gg 1$
- Low divergence for $\gamma \gg 1 \Rightarrow$ large signal
- \Rightarrow **well suited for e^- beams**
- \Rightarrow **p-beam only for $E_{kin} > 10 \text{ GeV} \Leftrightarrow \gamma > 10$**



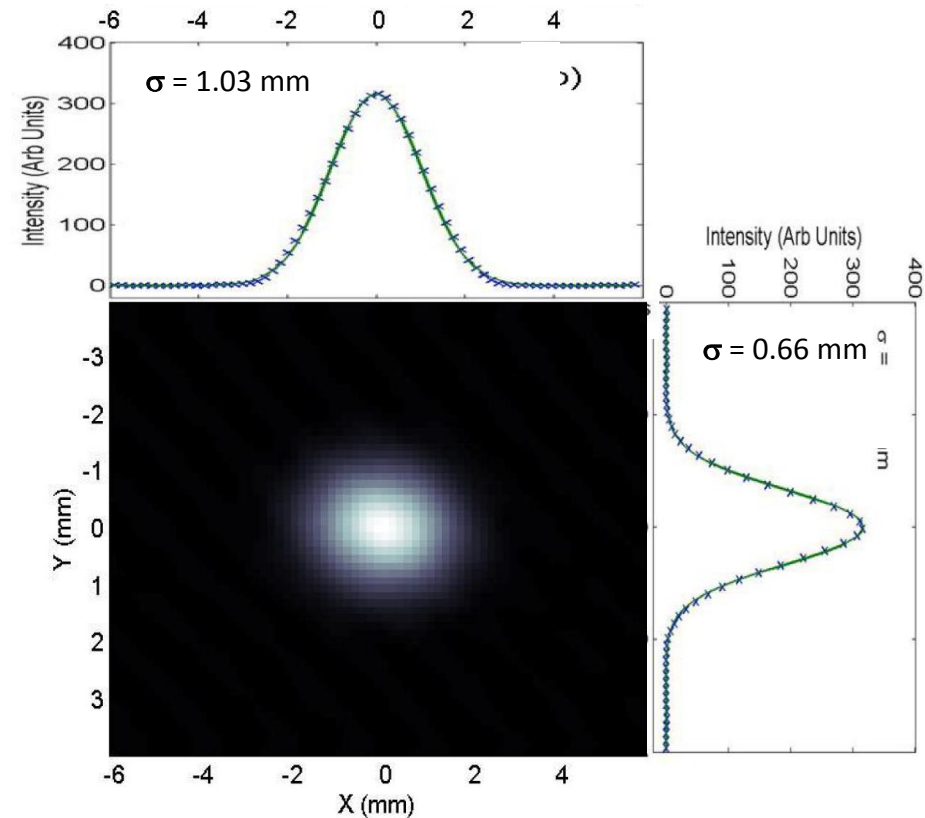
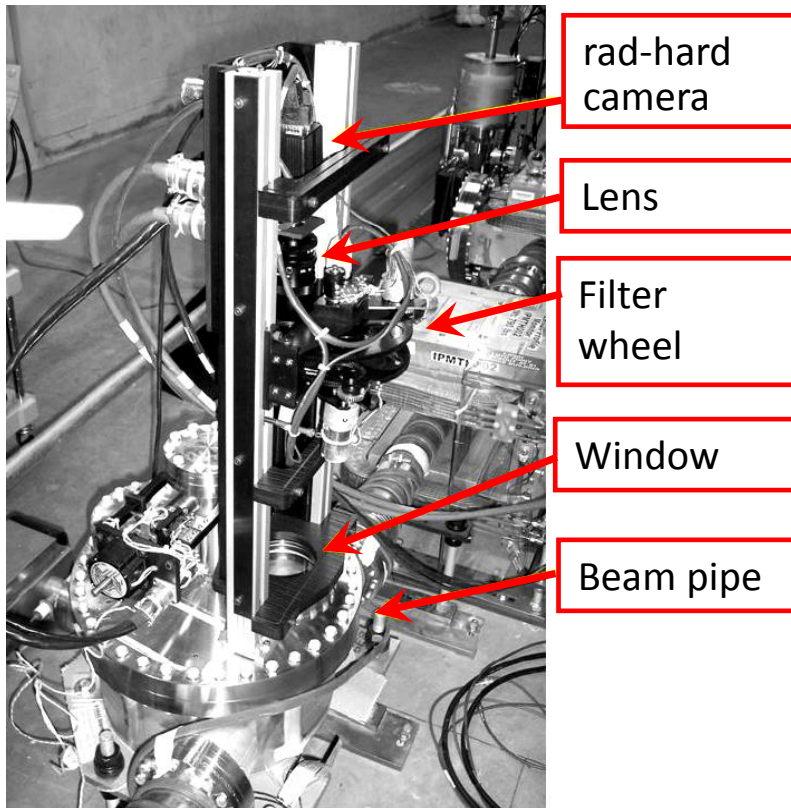
- Insertion of thin Al-foil under 45°
- Observation of low light by CCD.

OTR-Monitor: Technical Realization and Results

Example of realization at TERATRON:

- Insertion of foil
e.g. 5 μm Kapton coated with 0.1 μm Al
- Advantage:** thin foil \Rightarrow low heating & straggling
2-dim image visible

Results at FNAL-TEVATRON synchrotron
with 150 GeV proton
Using fast camera: Turn-by-turn measurement

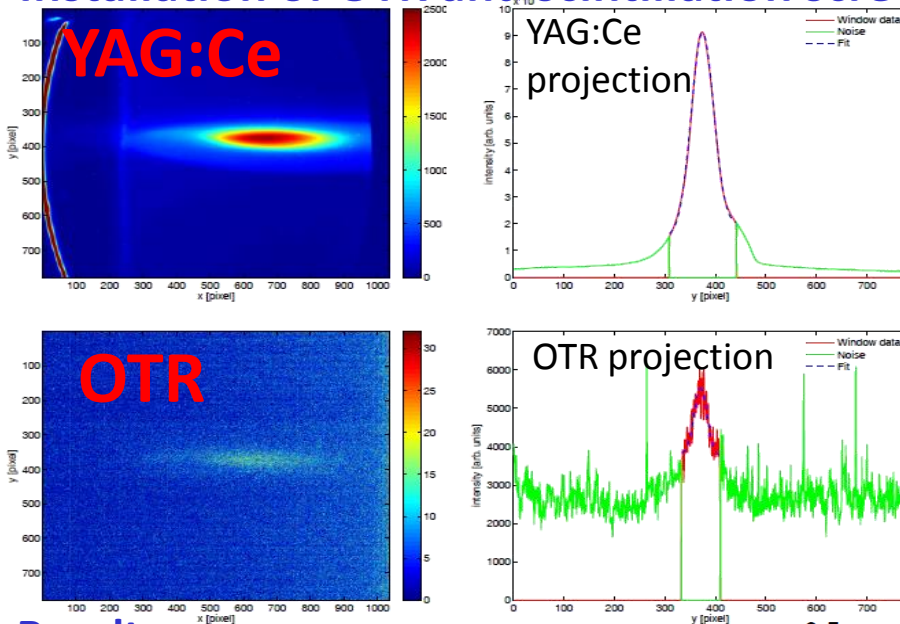


Courtesy V.E. Scarpine (FNAL) et al., BIW'06

Optical Transition Radiation compared to Scintillation Screen

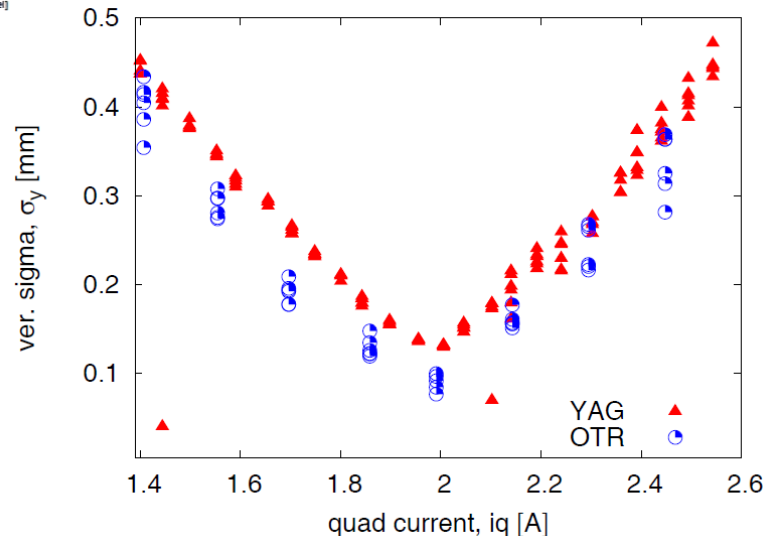
Installation of OTR and scintillation screens on same drive:

Example: ALBA LINAC 100 MeV



Results:

- Much more light from YAG:Ce for 100 MeV ($\gamma=200$) electrons light output $I_{YAG} \approx 10^5 I_{OTR}$
- Broader image from YAG:Ce due to finite YAG:Ce thickness



Courtesy of U. Iriso et al., DIPAC'09

Comparison between Scintillation Screens and OTR

OTR: electrodynamic process → beam intensity linear to # photons, high radiation hardness

Scint. Screen: complex atomic process → saturation possible, for some low radiation hardness

OTR: thin foil Al or Al on Mylar, down to 0.25 μm thickness

→ minimization of beam scattering (Al is low Z-material e.g. plastics like Mylar)

Scint. Screen: thickness ≈ 1 mm inorganic, fragile material, not always radiation hard

OTR: low number of photons → expensive image intensified CCD

Scint. Screen: large number of photons → simple CCD sufficient

OTR: complex angular photon distribution → resolution limited

Scint. Screen: isotropic photon distribution → simple interpretation

OTR: large γ needed → e⁻-beam with $E_{kin} > 100$ MeV, proton-beam with $E_{kin} > 100$ GeV

Scint. Screen: for all beams

Remark: OTR **not** suited for LINAC-FEL due to **coherent** light emission (not covered here) but scintillation screens can be used.

Outline:

- **Scintillation screens:**
 - emission of light, universal usage, limited dynamic range
- **SEM-Grid: emission of electrons, workhorse, limited resolution**
- **Wire scanner: emission of electrons, workhorse, scanning method**
- **Ionization Profile Monitor:**
 - secondary particle detection from interaction beam-residual gas
- **Optical Transition Radiation:**
 - crossing optical boundary, for relativistic beams only
- **Synchrotron Light Monitors**
 - photon detection of emitted synchrotron light in optical and X-ray range
- **Summary**

Synchrotron Light Monitor

An electron bent (i.e. accelerated) by a dipole magnet emit synchrotron light

see lecture of Lenny Rivkin

This light is emitted into a cone of opening $2/\gamma$ in lab-frame.

⇒ Well suited for rel. e^-

For protons:

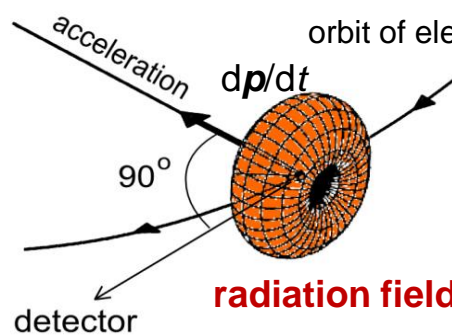
Only for energies $E_{kin} > 100$ GeV

The light is focused to a intensified CCD.

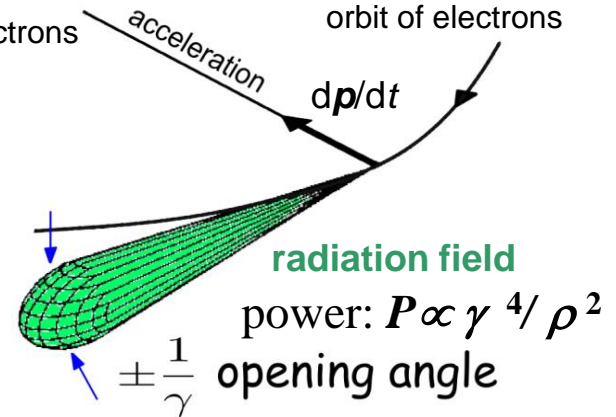
Advantage:

Signal anyhow available!

Rest frame of electron:

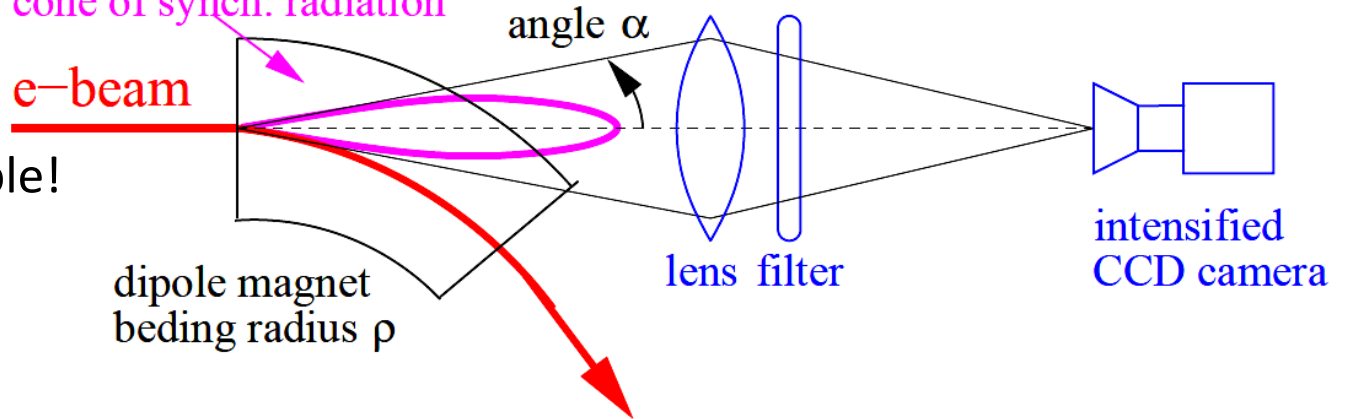


Laboratory frame:



cone of synch. radiation

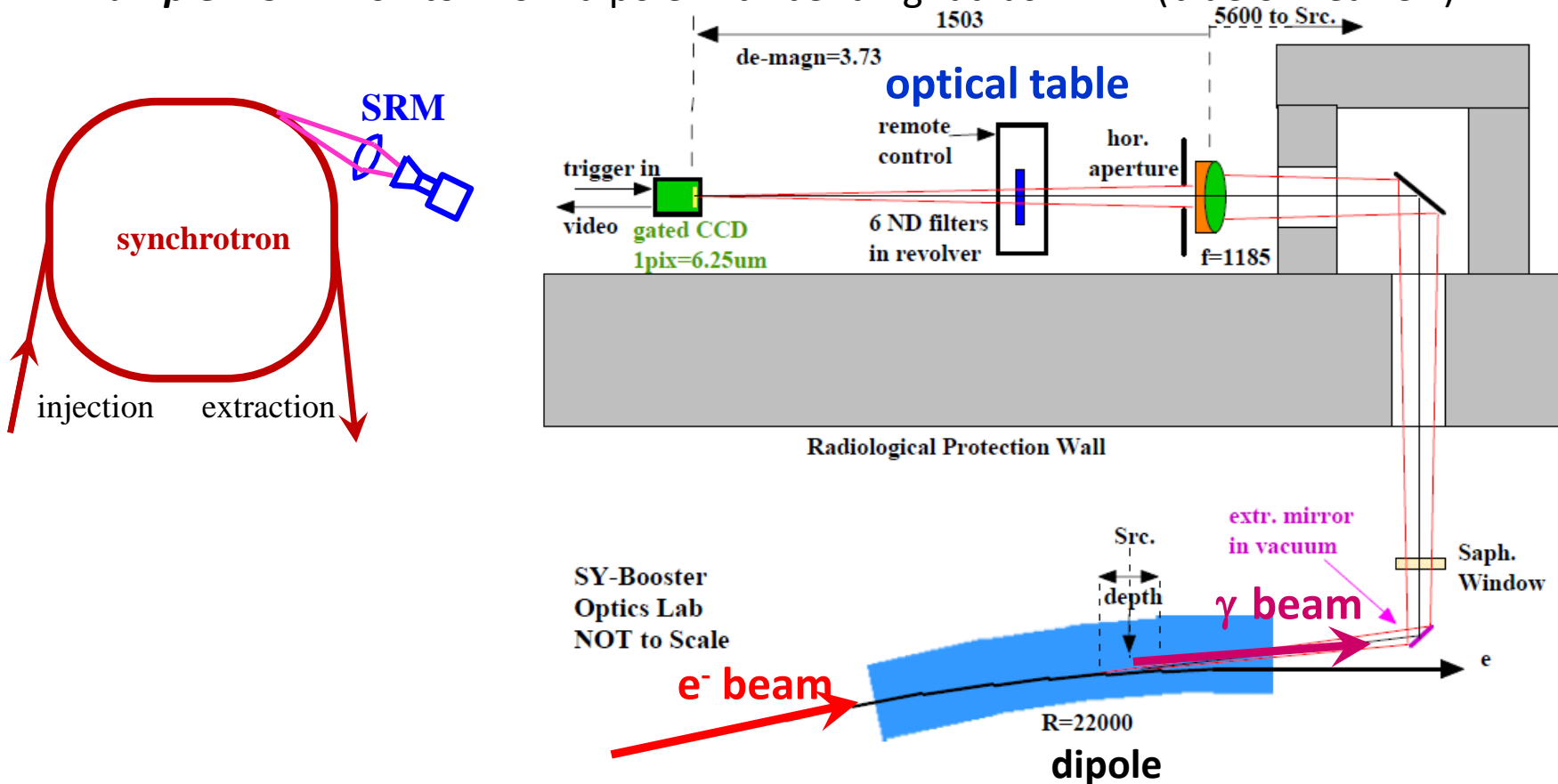
e-beam



Realization of a Synchrotron Light Monitor

- Extracting out of the beam's plane by a (cooled) mirror
- Focus to a slit + wavelength filter for optical wavelength
- Image intensified CCD camera

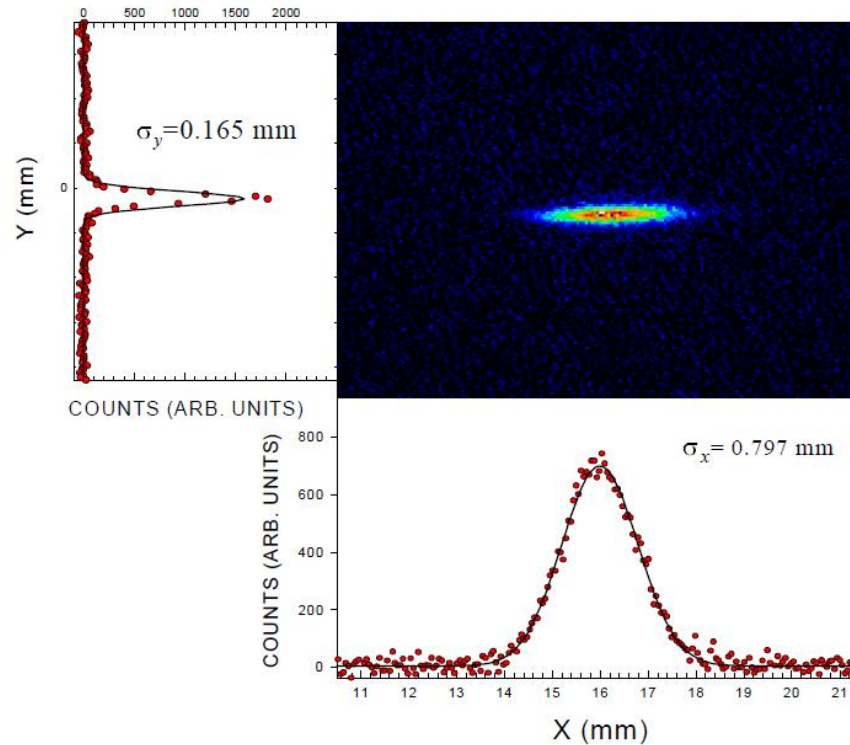
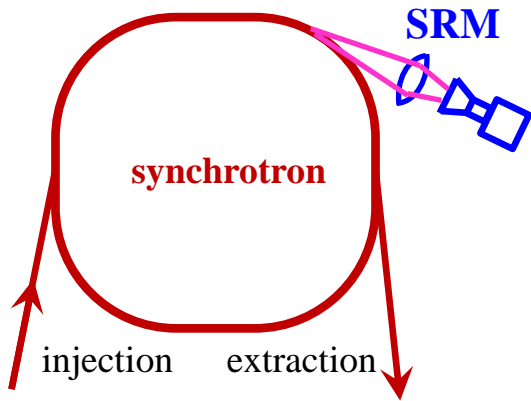
Example: ESRF monitor from dipole with bending radius 22 m (blue or near UV)



Courtesy K. Scheidt et al., DIPAC 2005

Result from a Synchrotron Light Monitor

Example: Synchrotron radiation facilityv APS accumulator ring and blue wavelength:



B.X. Yang (ANL) et al. PAC'97

Advantage: Direct measurement of 2-dim distribution, good optics for visible light

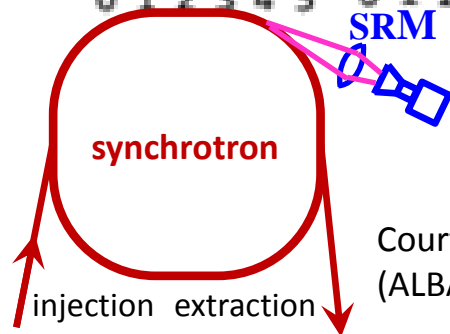
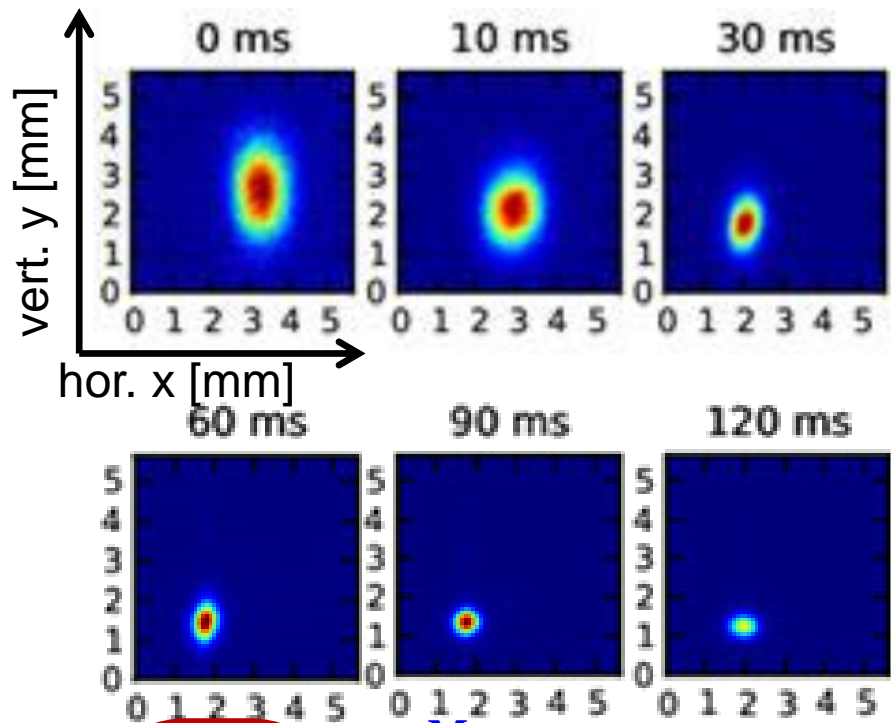
Realization: Optics outside of vacuum pipe

Disadvantage: Resolution limited by the diffraction due to finite apertures in the optics.

'Adiabatic Damping' for an Electron Beam

Example: Booster at the light source ALBA acceleration from 0.1 → 3 GeV within 130 ms

Profile measure by synchrotron light monitor:



Courtesy U. Iriso & M. Pont (ALBA) et al. IPAC 2011

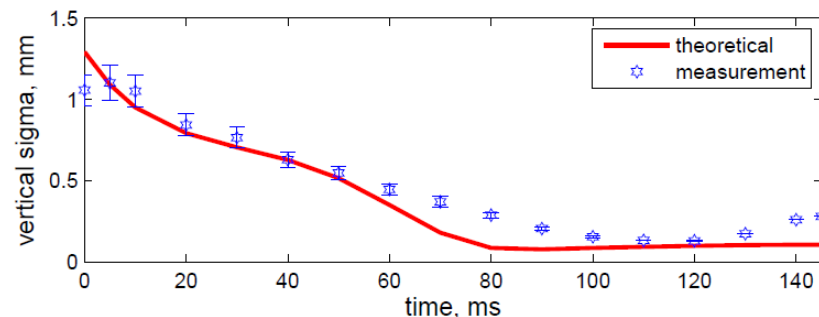
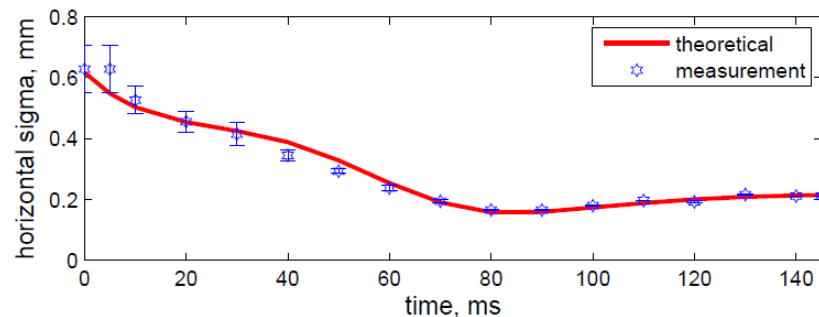
The beam emittance is influenced by:

- Adiabatic damping
- Longitudinal momentum contribution

via dispersion $\Delta x_D(s) = D(s) \cdot \frac{\Delta p}{p}$

total width $\Delta x_{tot}(s) = \sqrt{\varepsilon\beta(s) + D(s) \cdot \frac{\Delta p}{p}}$

- Quantum fluctuation due to light emission





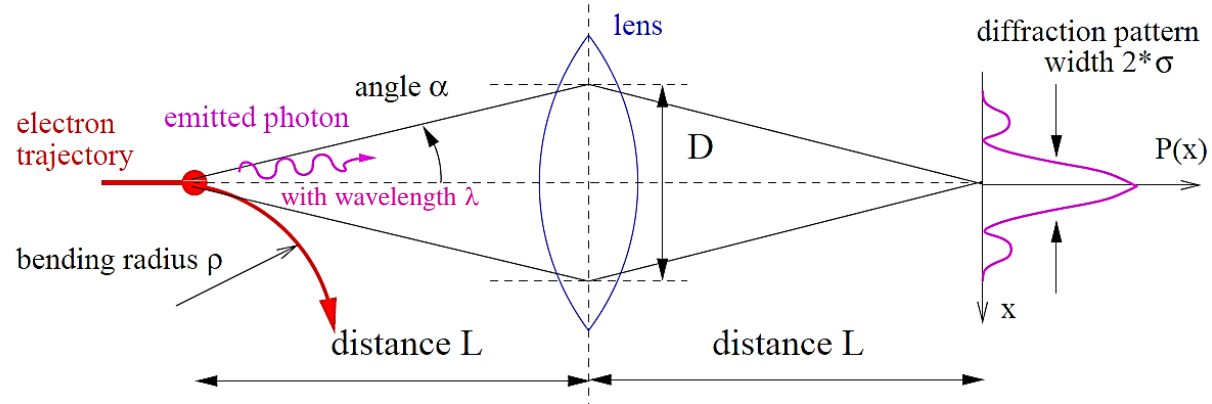
Diffraction Limit of Synchrotron Light Monitor

Limitations:

Diffraction limits the resolution due to Fraunhofer diffraction

$$\Rightarrow \sigma \cong 0.6 \cdot (\lambda^2 / \rho)^{1/3}$$

$\approx 100 \mu\text{m}$ for typical case



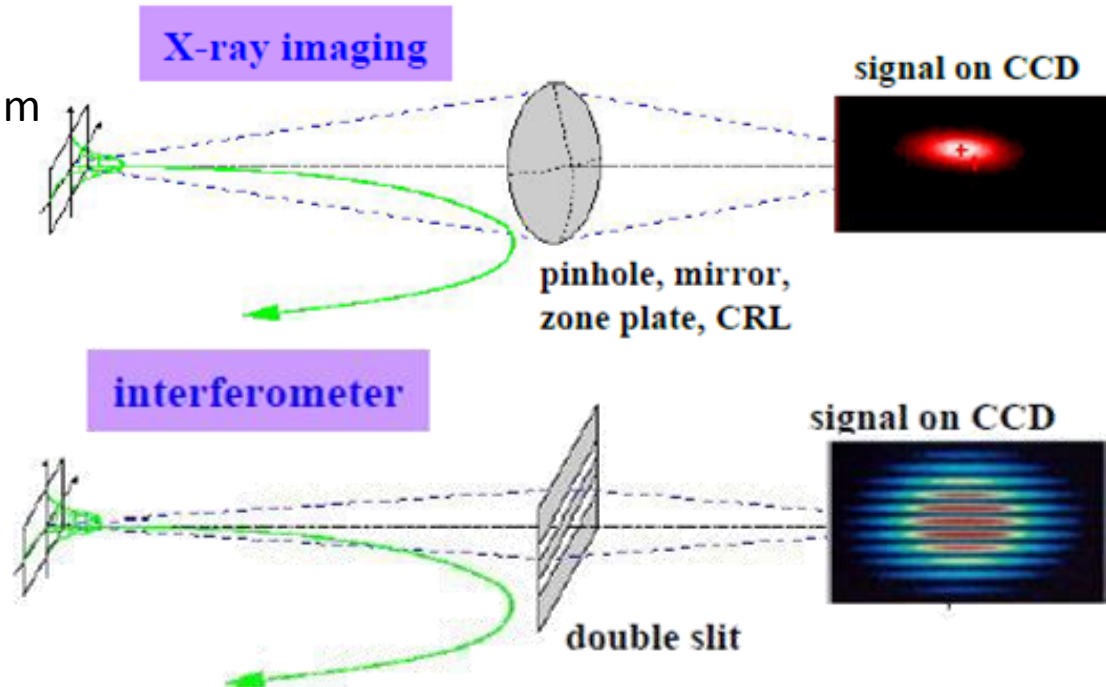
Improvements:

➤ Shorter wavelength:

Using X-rays and an aperture of $\varnothing 1\text{mm}$
 → 'X-ray pin hole camera',
 achievable resolution $\sigma \approx 10 \mu\text{m}$

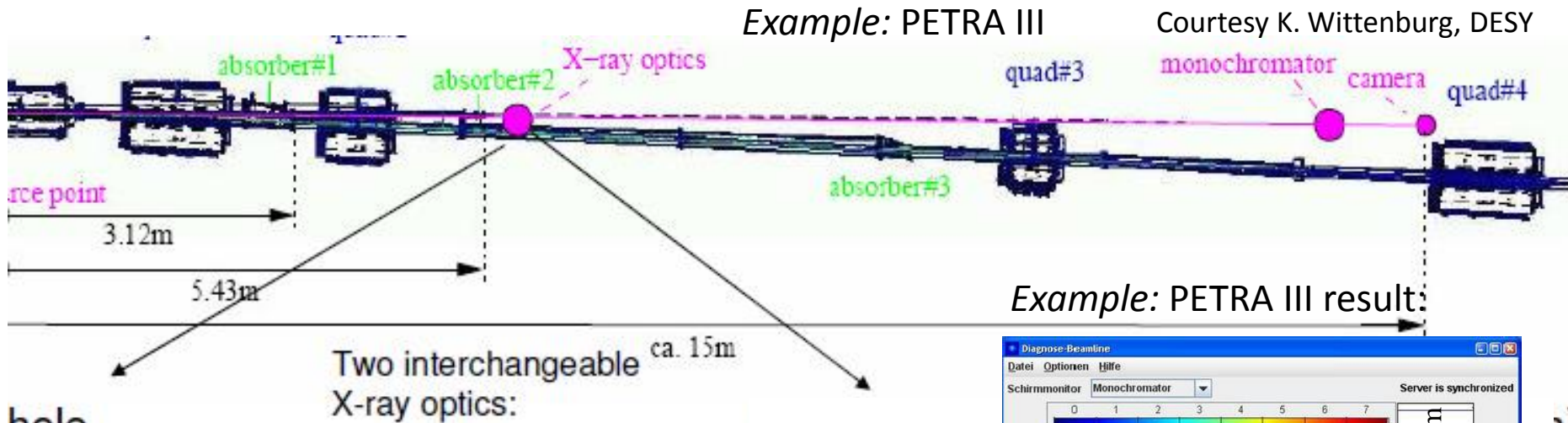
➤ Interference technique:

At optical wavelength
 using a double slit
 → interference fringes
 achievable resolution $\sigma \approx 1 \mu\text{m}$.

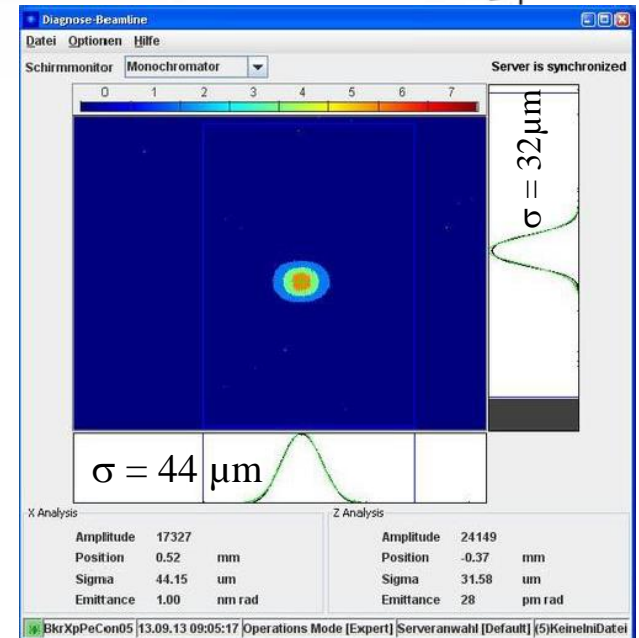
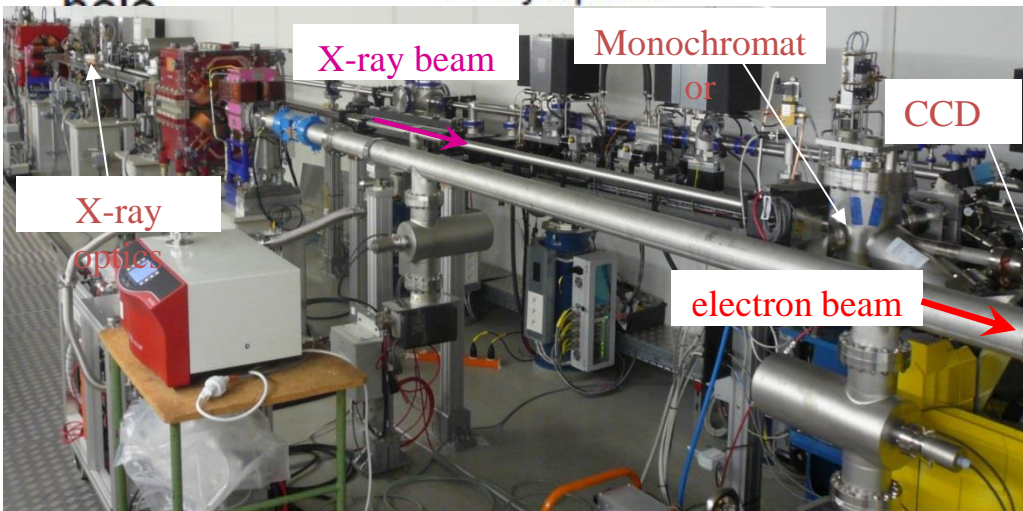


X-ray Pin-Hole Camera

The diffraction limit is $\Rightarrow \sigma \cong 0.6 \cdot (\lambda^2 / \rho)^{1/3} \Rightarrow$ *shorter wavelength by X-rays.*



Example: PETRA III result:



Double Slit Interference for Radiation Monitors

The **blurring of interference pattern** due to finite size of the sources

⇒ spatial coherence parameter γ delivers **rms** beam size

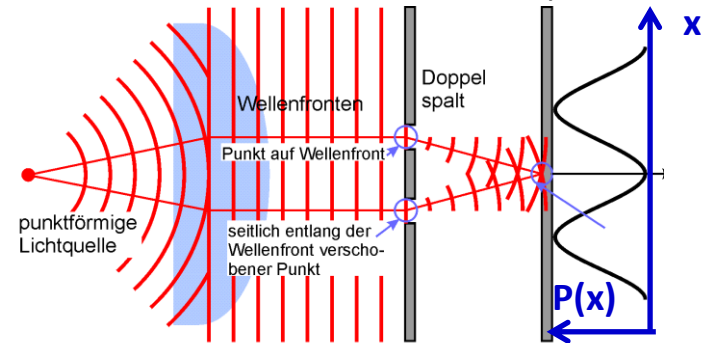
i.e. ‘de-convolution’ of blurred image!

→ highest resolution, but complex method

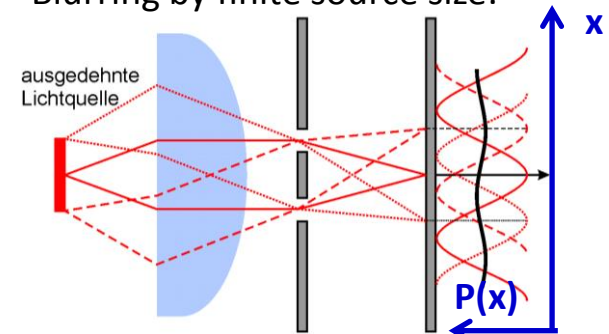
Typical resolution for three methods:

- Direct optical observation: $\sigma \approx 100 \mu\text{m}$
- Direct x-ray observation : $\sigma \approx 10 \mu\text{m}$
- Interference optical obser: $\sigma \approx 1 \mu\text{m}$

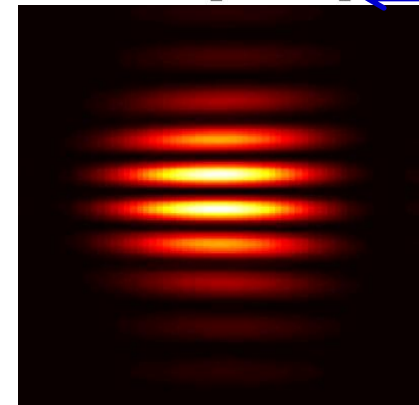
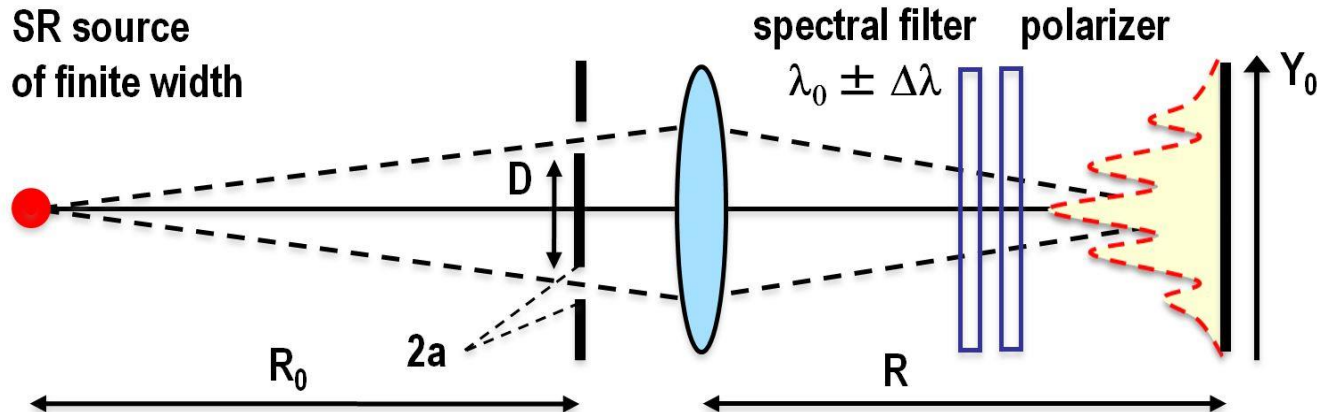
Ideal double slit interference pattern:



Blurring by finite source size:



SR source of finite width



Courtesy of V. Schlott PSI

Different techniques are suited for different beam parameters:

e⁻-beam: typically \emptyset 0.01 to 3 mm, **protons:** typically \emptyset 1 to 30 mm

Intercepting \leftrightarrow non-intercepting methods

Direct observation of electrodynamic processes:

- Optical synchrotron radiation monitor: non-destructive, for e⁻-beams, complex, limited res.
- X-ray synchrotron radiation monitor: non-destructive, for e⁻-beams, very complex
- OTR screen: nearly non-destructive, large relativistic γ needed, e⁻-beams mainly

Detection of secondary photons, electrons or ions:

- Scintillation screen: destructive, large signal, simple setup, all beams
- Ionization profile monitor: non-destructive, expensive, limited resolution, for protons

Wire based electronic methods:

- SEM-grid: partly destructive, large signal and dynamic range, limited resolution
- Wire scanner: partly destructive, large signal and dynamics, high resolution, slow scan.

Measurement of transverse Emittance

The emittance characterizes the whole beam quality, assuming linear behavior as described by second order differential equation.

It is defined within the phase space as: $\varepsilon_x = \frac{1}{\pi} \int_A dx dx'$

The measurement is based on determination of:

Either profile width σ_x and angular width σ_x' at one location

Or profile width σ_x at different locations and linear transformations.

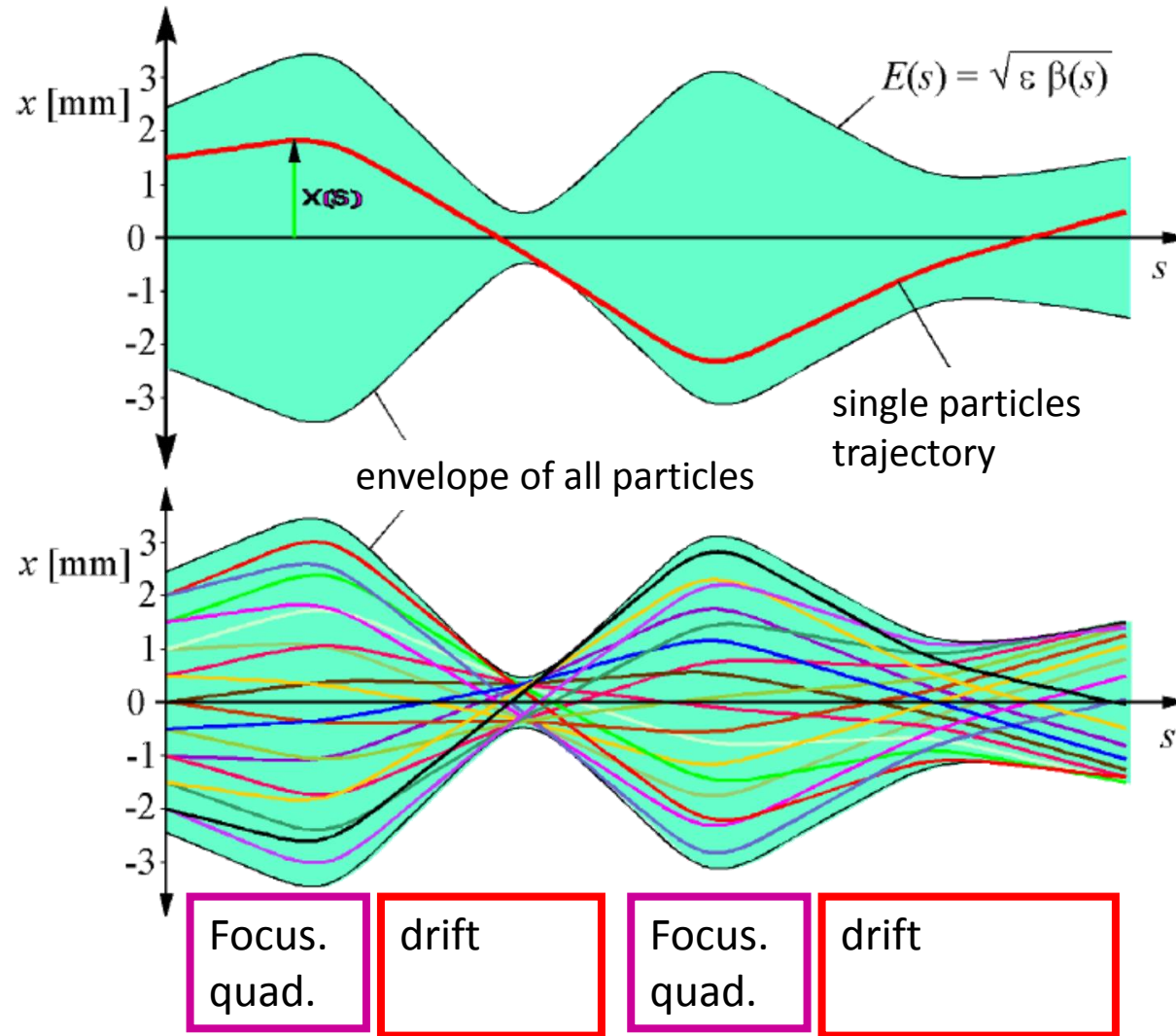
Different devices are used at transfer lines:

- Lower energies $E_{kin} < 100$ MeV/u: slit-grid device, pepper-pot (suited in case of non-linear forces).
- All beams: Quadrupole variation, 'three grid' method using linear transformations (**not** well suited in the presence of non-linear forces)

Synchrotron: lattice functions results in stability criterion

⇒ beam width delivers emittance:
$$\varepsilon_x = \frac{1}{\beta_x(s)} \left[\sigma_x^2 - \left(D(s) \frac{\Delta p}{p} \right)^2 \right] \text{ and } \varepsilon_y = \frac{\sigma_y^2}{\beta_y(s)}$$

Trajectory and Characterization of many Particles



➤ Single particle trajectories are forming a beam

➤ They have a distribution of start positions and angles

⇒ Characteristic quantity is the **beam envelope**

➤ **Goal:**

Transformation of envelope

\Leftrightarrow behavior of whole ensemble
see lecture of Wolfgang Hillert

Courtesy K.Wille

Definition of Coordinates and basic Equations

The basic vector is 6 dimensional:

$$\vec{x}(s) = \begin{pmatrix} x \\ x' \\ y \\ y' \\ l \\ \delta \end{pmatrix} = \begin{pmatrix} \text{hori. spatial deviation} \\ \text{horizontal divergence} \\ \text{vert. spatial deviation} \\ \text{vertical divergence} \\ \text{longitudinal deviation} \\ \text{momentum deviation} \end{pmatrix} = \begin{pmatrix} [\text{mm}] \\ [\text{mrad}] \\ [\text{mm}] \\ [\text{mrad}] \\ [\text{mm}] \\ [\text{‰}] \end{pmatrix}$$

The transformation of a single particle from a location s_0 to s_1 is given by the Transfer Matrix R:

$$\vec{x}(s_1) = \mathbf{R}(s) \cdot \vec{x}(s_0)$$

The transformation of a the envelope from a location s_0 to s_1 is given by the Beam Matrix σ :

$$\sigma(s_1) = \mathbf{R}(s) \cdot \sigma(s_0) \cdot \mathbf{R}^T(s)$$

6-dim Beam Matrix with decoupled hor., vert. and long. plane:

$$\sigma = \begin{pmatrix} \sigma_{11} & \sigma_{12} & 0 & 0 & 0 & 0 \\ \sigma_{12} & \sigma_{22} & 0 & 0 & 0 & 0 \\ 0 & 0 & \sigma_{33} & \sigma_{34} & 0 & 0 \\ 0 & 0 & \sigma_{34} & \sigma_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & \sigma_{55} & \sigma_{56} \\ 0 & 0 & 0 & 0 & \sigma_{56} & \sigma_{66} \end{pmatrix}$$

horizontal
vertical
longitudinal
hor.-long. coupling
→ 9 values

Beam width for
the three
coordinates:

$$x_{rms} = \sqrt{\sigma_{11}}$$

$$y_{rms} = \sqrt{\sigma_{33}}$$

$$l_{rms} = \sqrt{\sigma_{55}}$$

Horizontal
beam matrix:
 $\sigma_{11} = \langle x^2 \rangle$
 $\sigma_{12} = \langle x x' \rangle$
 $\sigma_{22} = \langle x'^2 \rangle$

The Emittance for Gaussian and non-Gaussian Beams

The beam distribution can be non-Gaussian, e.g. at:

- beams behind ion source
- space charged dominated beams at LINAC & synchrotron
- cooled beams in storage rings

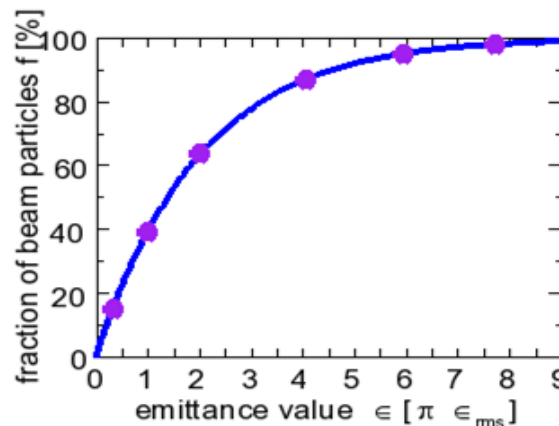
General description of emittance using terms of 2-dim distribution:

It describes the value for 1 standard derivation

$$\epsilon_{rms} = \sqrt{\underbrace{\langle x^2 \rangle \langle x'^2 \rangle}_{\text{Variances}} - \underbrace{\langle xx' \rangle^2}_{\text{Covariance i.e. correlation}}}$$

For Gaussian beams only: $\epsilon_{rms} \leftrightarrow$ interpreted as area containing a fraction f of ions:

$$\epsilon(f) = -2\pi\epsilon_{rms} \cdot \ln(1-f)$$



Emittance $\epsilon(f)$	Fraction f
$1 \cdot \epsilon_{rms}$	15 %
$\pi \cdot \epsilon_{rms}$	39 %
$2\pi \cdot \epsilon_{rms}$	63 %
$4\pi \cdot \epsilon_{rms}$	86 %
$8\pi \cdot \epsilon_{rms}$	98 %

Care:
No common definition of emittance concerning the fraction f

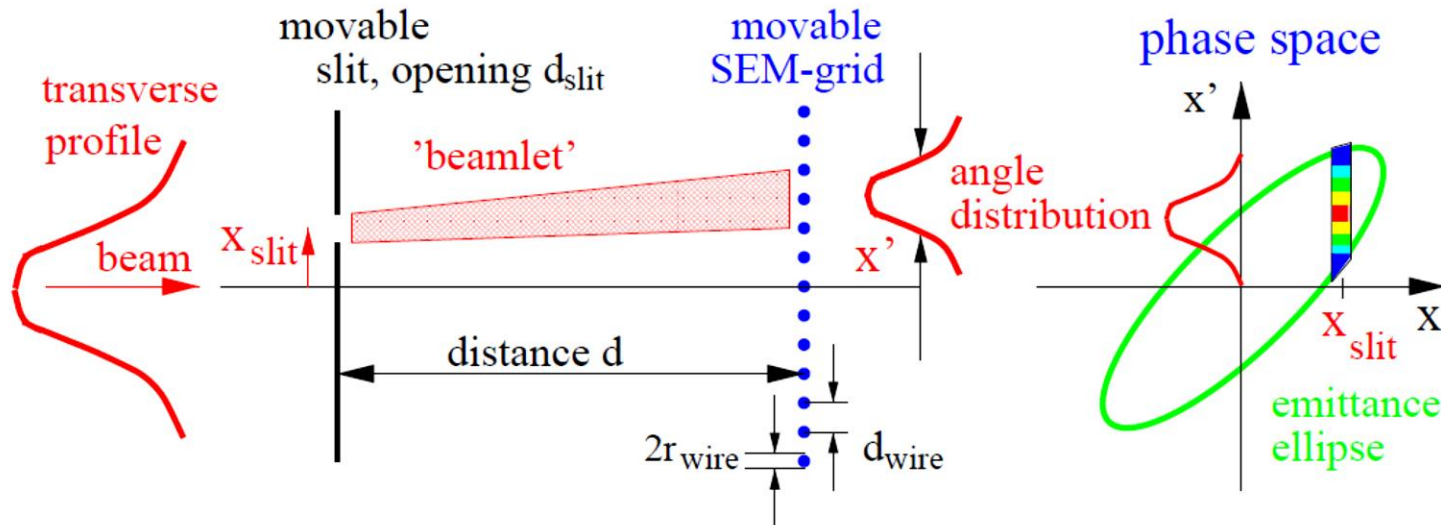
The Slit-Grid Measurement Device

Slit-Grid: Direct determination of position and angle distribution.

Used for protons with $E_{kin} < 100 \text{ MeV/u} \Rightarrow \text{range } R < 1 \text{ cm}$.

Hardware

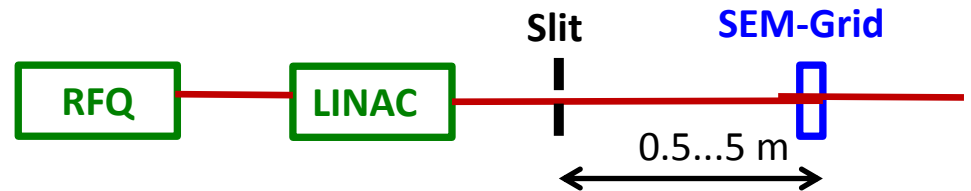
Analysis



Slit: position $P(x)$ with typical width: 0.1 to 0.5 mm

Distance: typ. 0.5 to 5 m (depending on beam energy 0.1 ... 100 MeV)

SEM-Grid: angle distribution $P(x')$



Display of Measurement Results

The distribution is depicted as a function of position [mm] & angle [mrad]

The distribution can be visualized by

- Mountain plot
- Contour plot

Calc. of 2nd moments $\langle x^2 \rangle$, $\langle x'^2 \rangle$ & $\langle xx' \rangle$

Emittance value \mathcal{E}_{rms} from

$$\mathcal{E}_{rms} = \sqrt{\langle x^2 \rangle \langle x'^2 \rangle - \langle xx' \rangle^2}$$

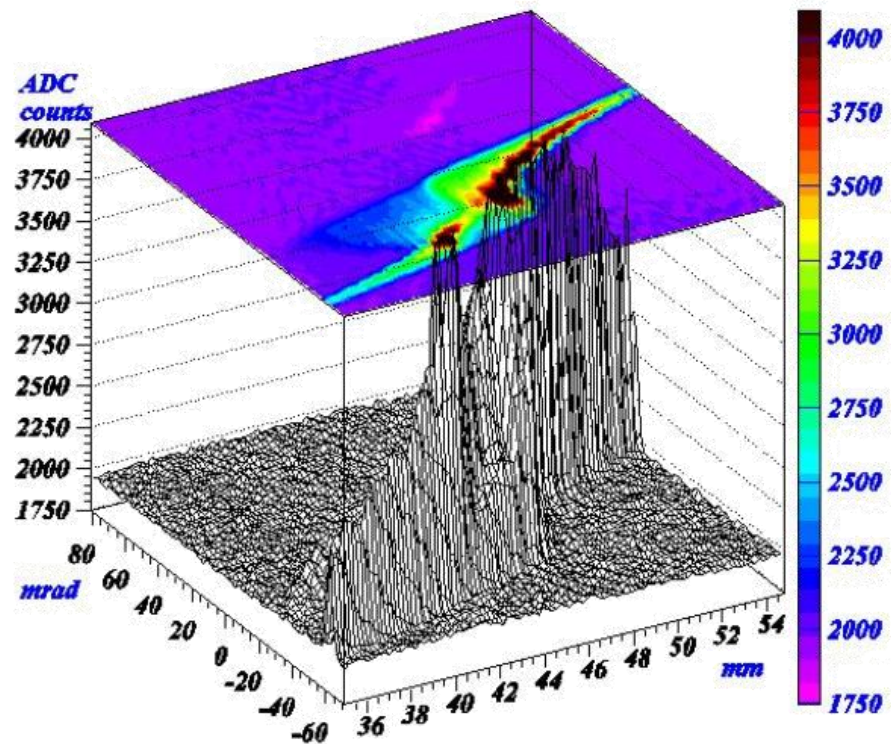
⇒ Problems:

- Finite **binning** results in limited resolution
- **Background** → large influence on $\langle x^2 \rangle$, $\langle x'^2 \rangle$ and $\langle xx' \rangle$

Or fit of distribution with an ellipse

⇒ Effective emittance only

Remark: Behind a ion source the beam might very non-Gaussian due to plasma density and aberration at quadrupoles



Beam: Ar⁴⁺, 60 keV, 15 μA
at Spiral2 Phoenix ECR source.

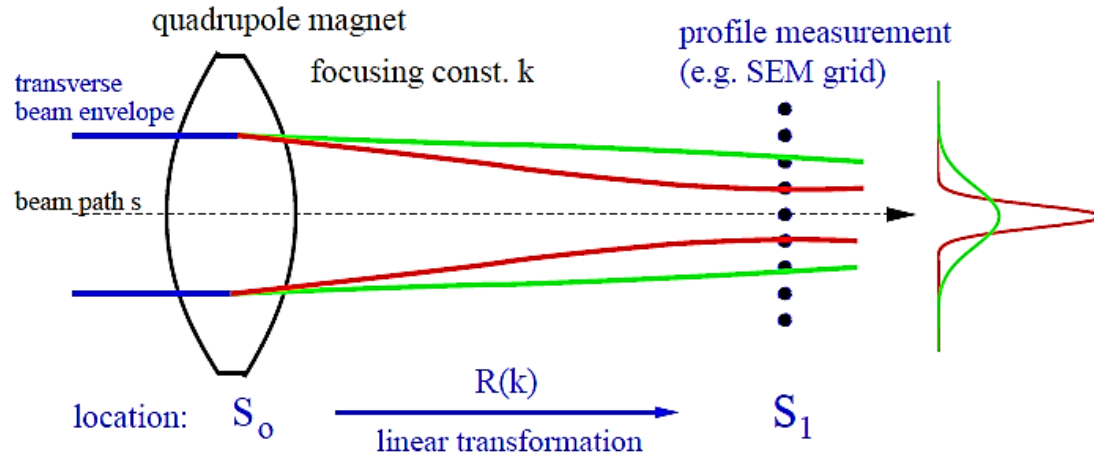
P. Ausset, DIPAC 2009

Outline:

- Definition and some properties of transverse emittance
- Slit-Grid device: scanning method
scanning slit → beam position & grid → angular distribution
- **Quadrupole strength variation and position measurement**
emittance from several profile measurement and beam optical calculation

Emittance Measurement by Quadrupole Variation

From a profile determination, the emittance can be calculated via linear transformation, if a well known and constant distribution (e.g. Gaussian) is assumed.



➤ Measurement of beam width

$$x_{max}^2 = \sigma_{11}(1, k)$$

matrix $R(k)$ describes the focusing.

➤ With the drift matrix the transfer is

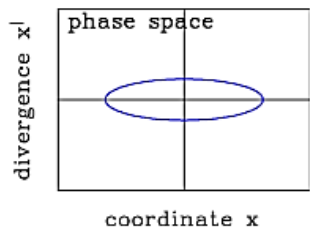
$$R(k_i) = R_{\text{drift}} \cdot R_{\text{focus}}(k_i)$$

➤ Transformation of the beam matrix

$$\sigma(1, k_i) = R(k_i) \cdot \sigma(0) \cdot R^T(k_i)$$

Task: Calculation of $\sigma(0)$

at entrance s_0 i.e. all three elements

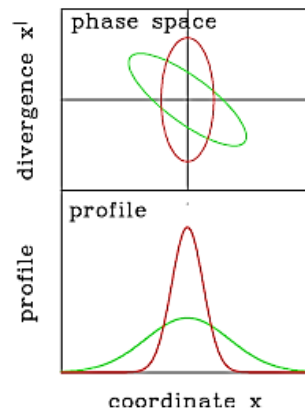


beam matrix:

(Twiss parameters)

$$\sigma_{11}(0), \sigma_{12}(0), \sigma_{22}(0)$$

to be determined



measurement:

$$x^2(k) = \sigma_{11}(1, k)$$

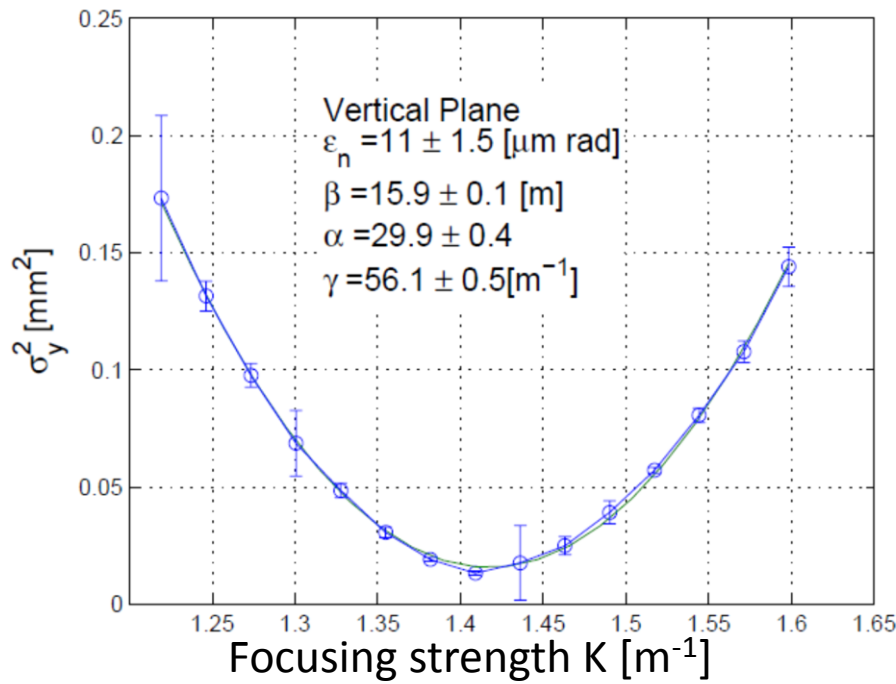
Measurement of transverse Emittance

Using the 'thin lens approximation' i.e. the quadrupole has a focal length of f :

$$\mathbf{R}_{focus}(K) = \begin{pmatrix} 1 & 0 \\ -1/f & 1 \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ K & 1 \end{pmatrix} \Rightarrow \mathbf{R}(L, K) = \mathbf{R}_{drift}(L) \cdot \mathbf{R}_{focus}(K) = \begin{pmatrix} 1+LK & L \\ K & 1 \end{pmatrix}$$

Measurement of the matrix-element $\sigma_{11}(1, K)$ from $\sigma(1, K) = \mathbf{R}(K) \cdot \sigma(0) \cdot \mathbf{R}^T(K)$

Example: Square of the beam width at
ELETTRA 100 MeV e^- Linac, YAG:Ce:



G. Penco (ELETTRA) et al., EPAC'08

For completeness: The relevant formulas

$$\begin{aligned} \sigma_{11}(1, K) &= L^2 \sigma_{11}(0) \cdot K^2 \\ &\quad + 2 \cdot (L \sigma_{11}(0) + L^2 \sigma_{12}(0)) \cdot K \\ &\quad + L^2 \sigma_{22}(0) + \sigma_{11}(0) \\ &\equiv a \cdot K^2 - 2ab \cdot K + ab^2 + c \end{aligned}$$

The three matrix elements at the quadrupole:

$$\sigma_{11}(0) = \frac{a}{L^2}$$

$$\sigma_{12}(0) = -\frac{a}{L^2} \left(\frac{1}{L} + b \right)$$

$$\sigma_{22}(0) = \frac{1}{L^2} \left(ab^2 + c + \frac{2ab}{L} + \frac{a}{L^2} \right)$$

$$\epsilon_{rms} \equiv \sqrt{\det \sigma(0)} = \sqrt{\sigma_{11}(0) \cdot \sigma_{22}(0) - \sigma_{12}^2(0)} = \sqrt{ac} / L^2$$

Summary for transverse Emittance Measurement

Emittance is the important quantity for comparison to theory.

It includes size (value of ϵ) and orientation in phase space (σ_{ij} or α , β and γ)

three independent values $\epsilon_{rms} = \sqrt{\sigma_{11} \cdot \sigma_{22} - \sigma_{12}^2} = \sqrt{\langle x^2 \rangle \cdot \langle x'^2 \rangle - \langle xx' \rangle^2}$

assuming no coupling between horizontal, vertical and longitudinal planes

Transfer line, low energy beams → direct measurement of x- and x'-distribution:

➤ **Slit-grid:** movable slit → x-profile, grid → x'-profile

Transfer line, all beams → profile measurement + linear transformation:

➤ **Quadrupole variation:** one location, different setting of a quadrupole

Assumptions: ➤ well aligned beam, no steering

➤ no emittance blow-up due to space charge

Remark: non-linear transformation possible via tomographic reconstruction

Important remark: For a synchrotron with a *stable beam storage*,

width measurement is sufficient using $x_{rms} = \sqrt{\epsilon_{rms} \cdot \beta}$

Measurement of longitudinal Parameters

Measurement of longitudinal parameter:

Bunch length measurement at

- Synchrotron light sources
- Linear light sources
- Summary

Longitudinal ↔ transverse correspondences:

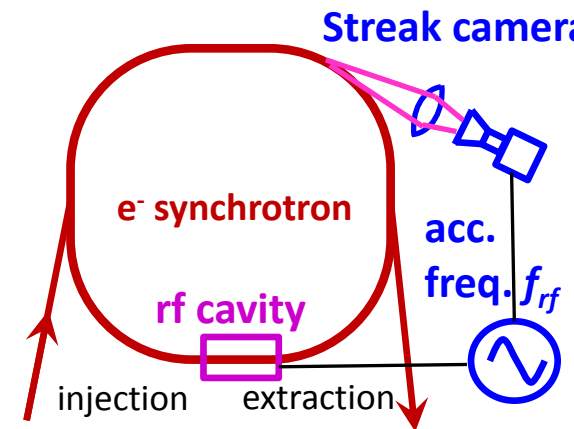
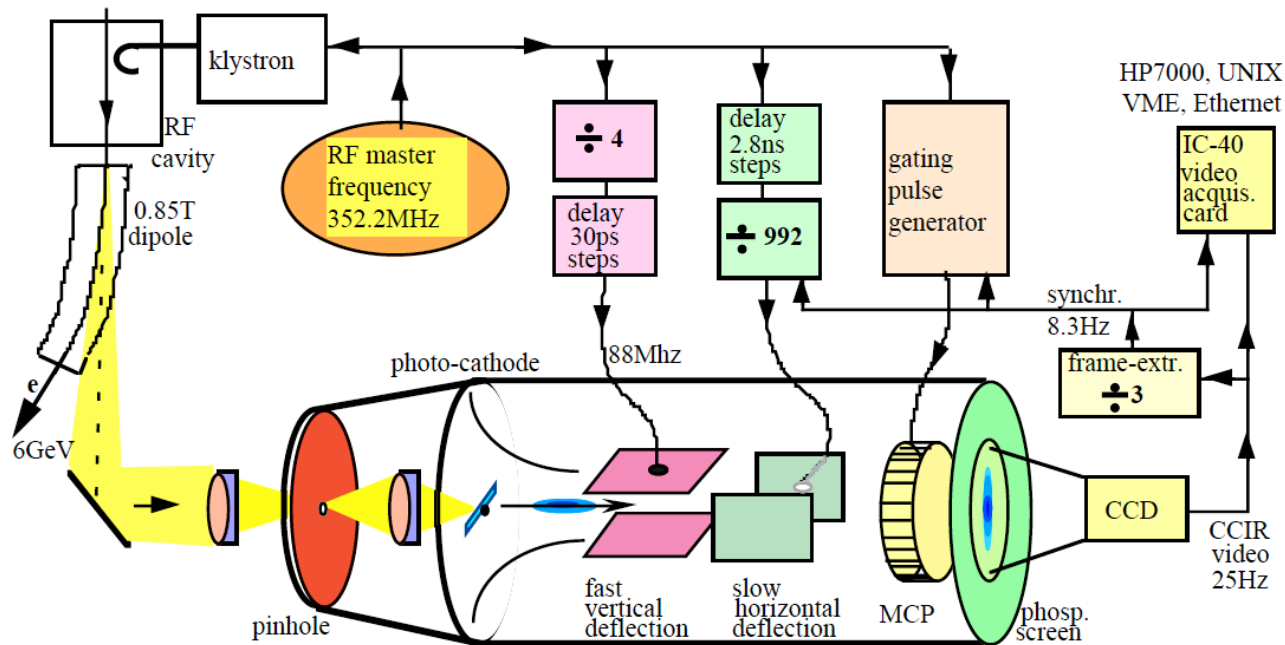
- | | |
|-----------------------------|-----------------------------|
| ➤ position relative to rf | ↔ transverse center-of-mass |
| ➤ bunch structure in time | ↔ transverse profile |
| ➤ momentum or energy spread | ↔ transverse divergence |
| ➤ longitudinal emittance | ↔ transverse emittance. |

Bunch Length Measurement for relativistic Electrons

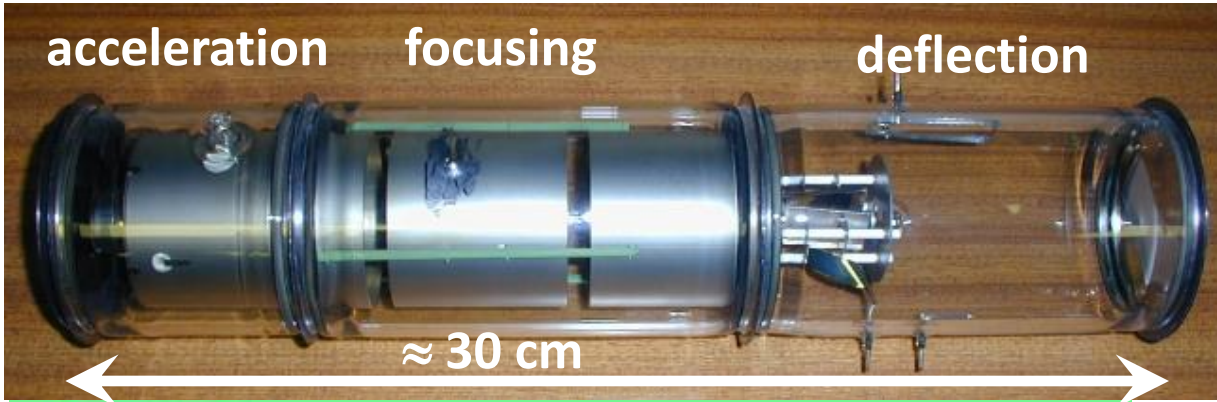
Electron bunches are too short ($\sigma_t < 100$ ps) to be covered by the bandwidth of pick-ups ($f < 3$ GHz $\Leftrightarrow t_{rise} > 100$ ps) for structure determination.

→ Time resolved observation of synchr. light with a streak camera: Resolution ≈ 1 ps.

Scheme of a streak camera

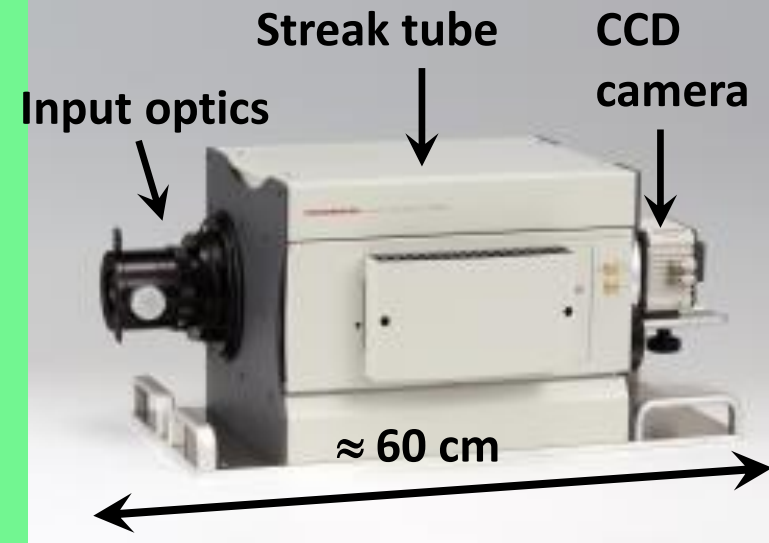
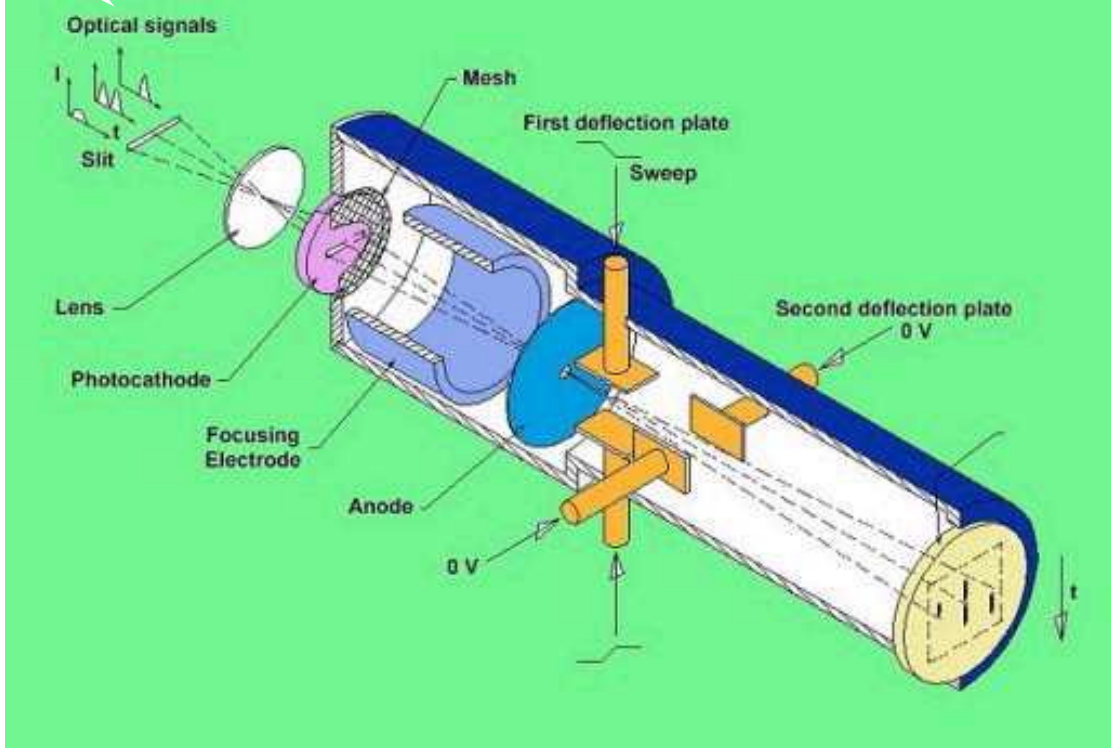


Technical Realization of a Streak Camera

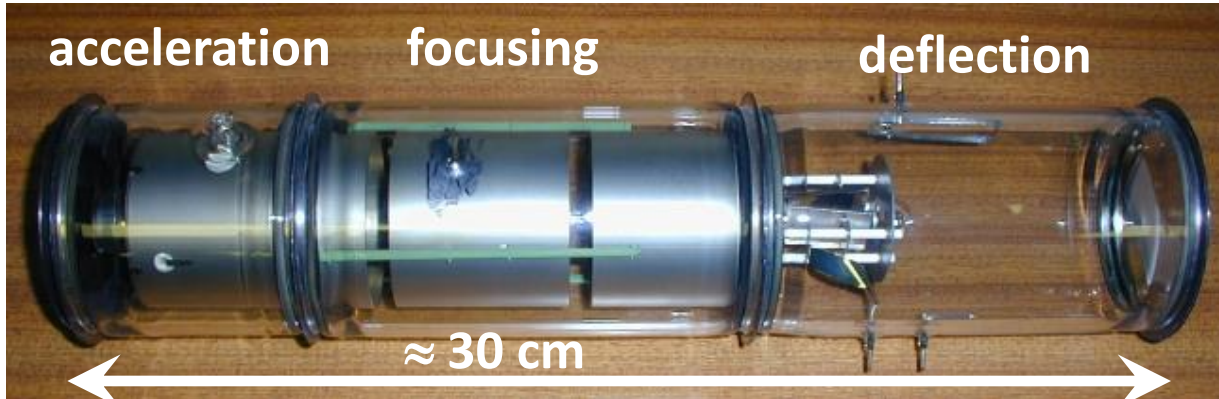


Hardware of a streak camera

Time resolution down to 0.5 ps:

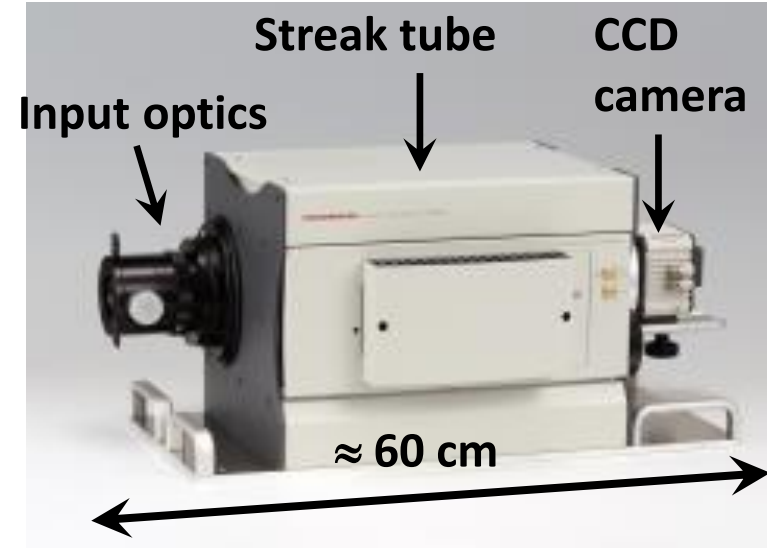
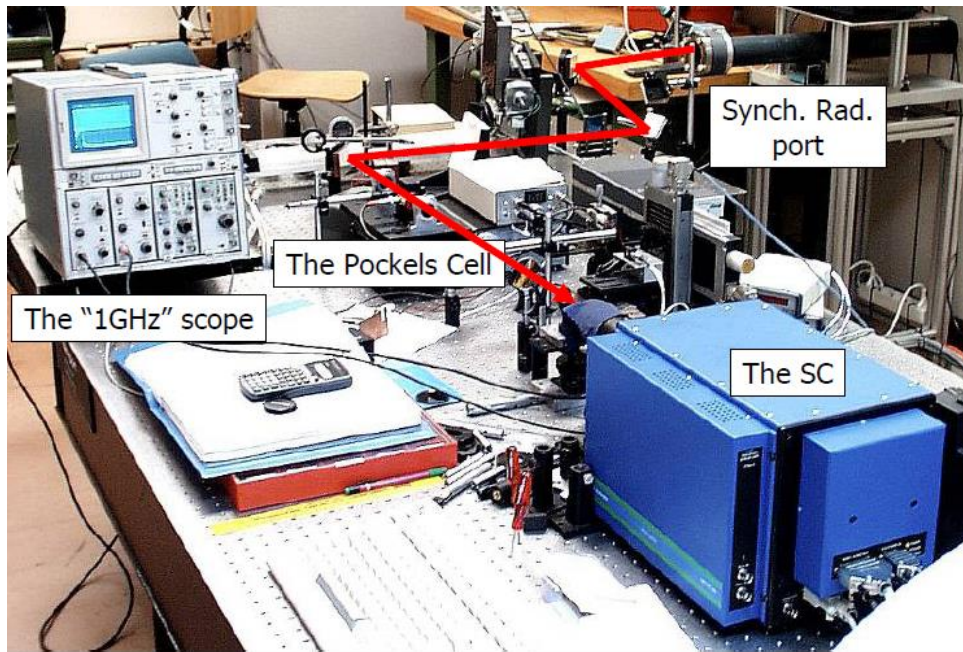


Technical Realization of a Streak Camera



Hardware of a streak camera

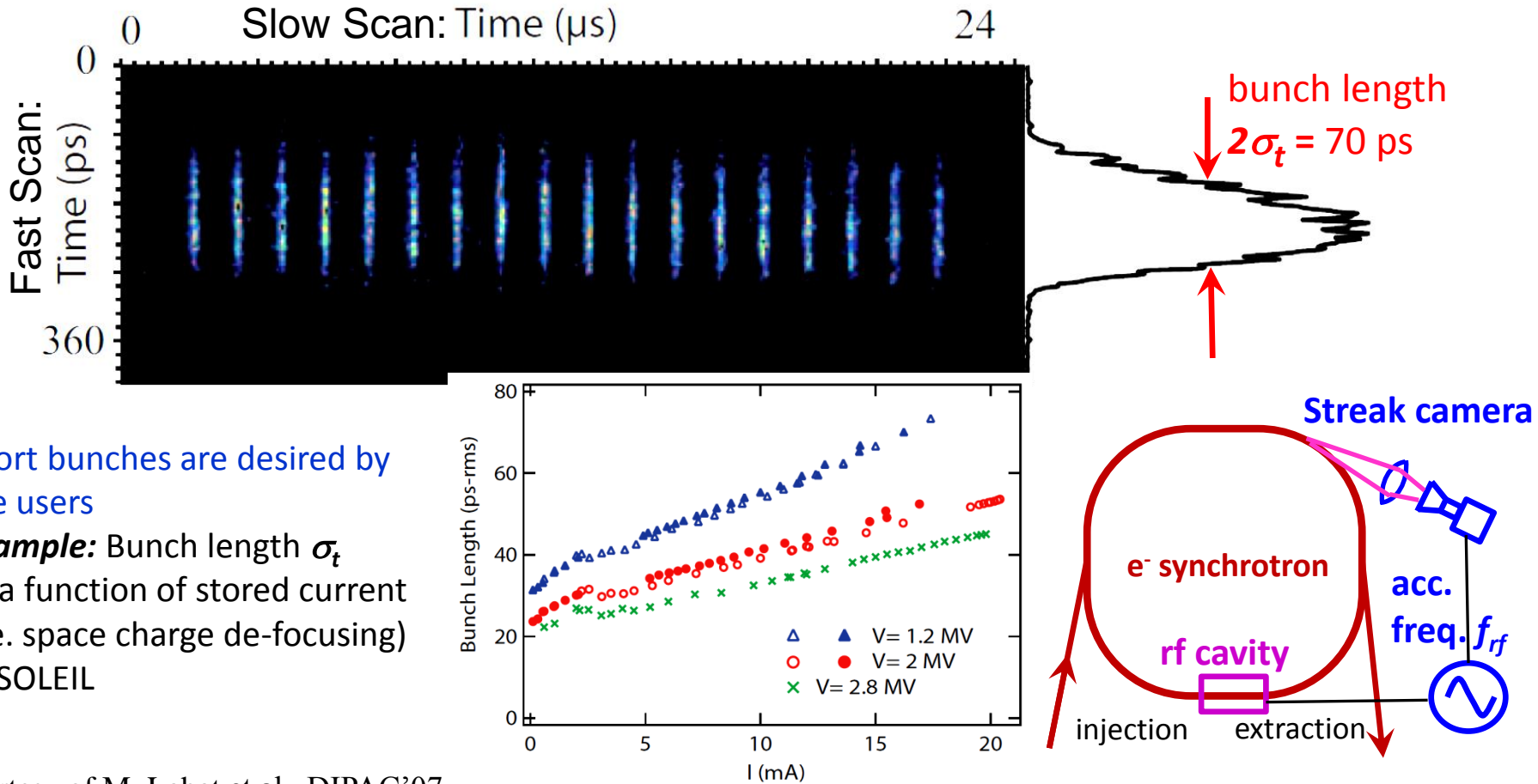
Time resolution down to 0.5 ps:



Results of Bunch Length Measurement by a Streak Camera

The streak camera delivers a fast scan in vertical direction (here 360 ps full scale) and a slower scan in horizontal direction (24 μs).

Example: Bunch length at the synchrotron light source SOLEIL for $U_{rf} = 2$ MV for slow direction 24 μs and scaling for fast scan 360 ps: measure $\sigma_t = 35$ ps.



Short bunches are desired by the users

Example: Bunch length σ_t as a function of stored current (i.e. space charge de-focusing) at SOLEIL

Courtesy of M. Labat et al., DIPAC'07

FARADAY CUP 1998

Purpose. To recognize and encourage innovative achievements in the field of accelerator beam instrumentation.

Award. The Faraday Cup Award consists of a US\$ 5000 prize and a certificate to be presented at the next Beam Instrumentation Workshop. Winners participating in the BIW will be given a \$1000 travel allowance.

Eligibility. Nominations are open to contributors of all nations regardless of the geographical location at which the work was done. The Award goes normally to one person, but may be shared by recipients having contributed to the same accomplishment. It will normally be awarded to scientists in the early stage of their career. Nominations of candidates shall remain active for 2 competitions.

Establishment and support. The Award was established in 1991 with the support of the Beam Instrumentation Workshop Organizing Committee.

Rules. The Faraday Cup shall be awarded for an outstanding contribution to the development of an innovative beam diagnostics instrument of proven workability. The Faraday Cup is only awarded for published contribution and delivered performance—as opposed to theoretical performance. Rules are available on request.

Award Committee: The Beam Instrumentation Workshop Organizing Committee.

Nominations. The nomination package shall include the name of the candidate, relevant publications, a statement outlining his/her personal contribution and that of others, two letters from co-workers familiar with the candidate and his contribution. Two master copies suitable for photocopying of this package must be submitted not later than the 15th of November 1997 to Steven Smith c/o BIW98 Secretariat, SLAC, Stanford University, Stanford CA 94305-4085, U.S.A..



Bunch Length Measurement by electro-optical Method

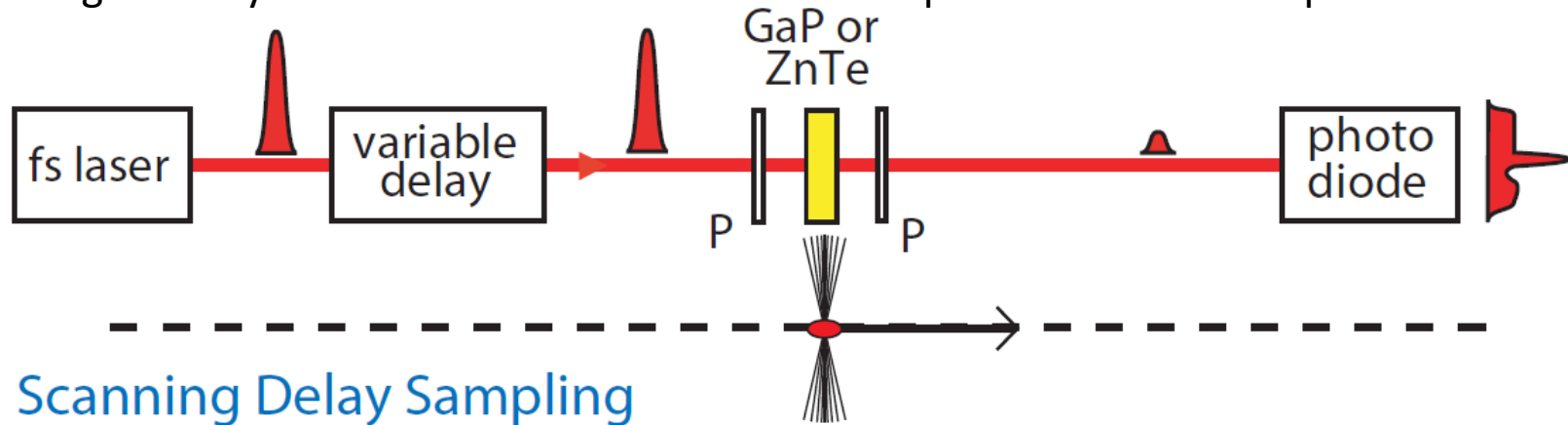
For Free Electron Lasers → bunch length below 1 ps is achieved

- Below the resolution of streak camera
- Short laser pulses with $t \approx 10$ fs and electro-optical modulator

Electro optical modulator: birefringent, rotation angle depends on external electric field

Relativistic electron bunches: transverse field $E_{\perp, lab} = \gamma E_{\perp, rest}$ carries the time information

Scanning of delay between bunch and laser → time profile after several pulses.



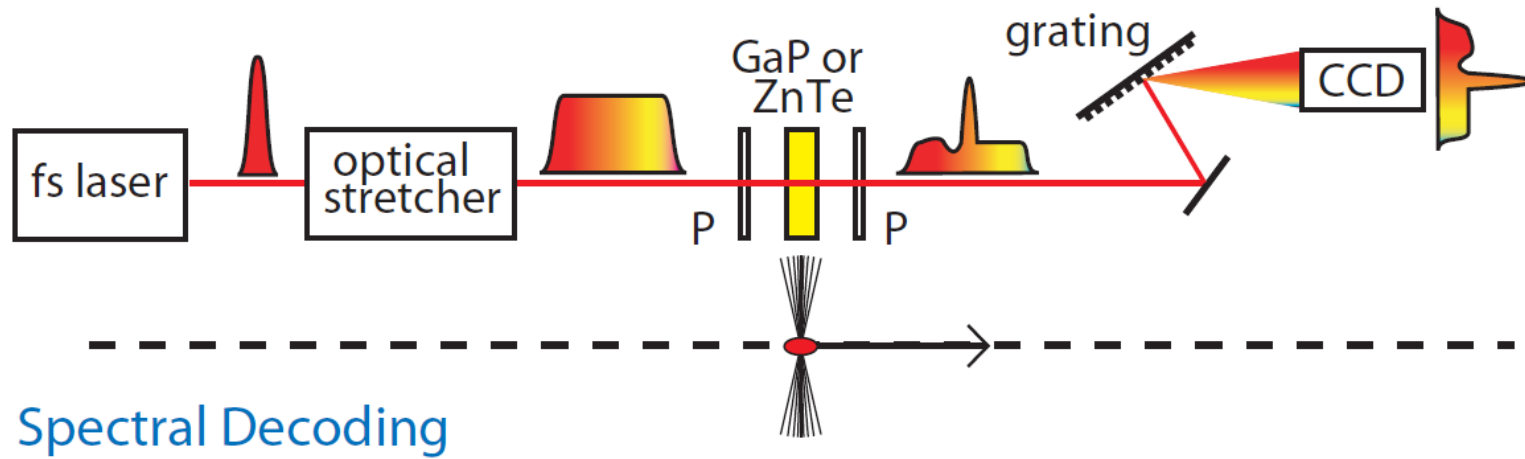
From S.P.Jamison et al., EPAC 2006

Bunch Length Measurement by electro-optical Method

For Free Electron Lasers → bunch length below 1 ps is achieved

Short laser pulse \Leftrightarrow broad frequency spectrum (property of Fourier transformation)

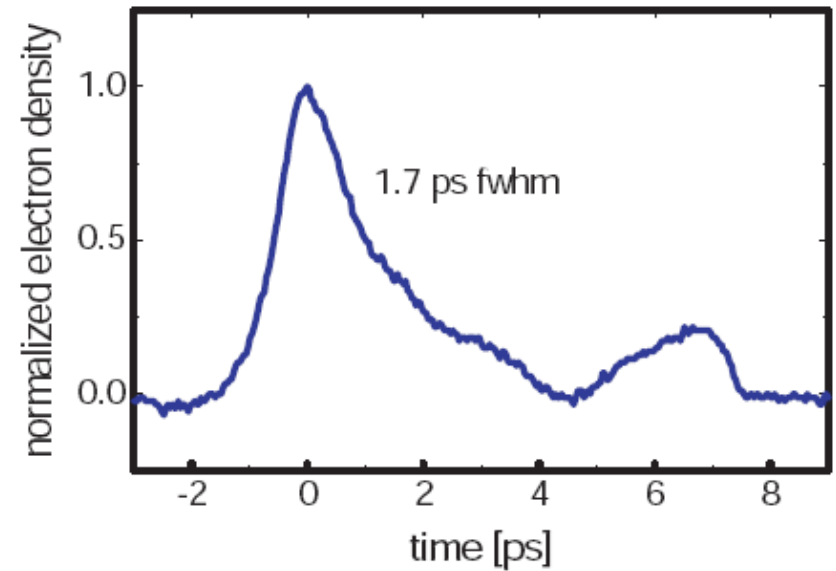
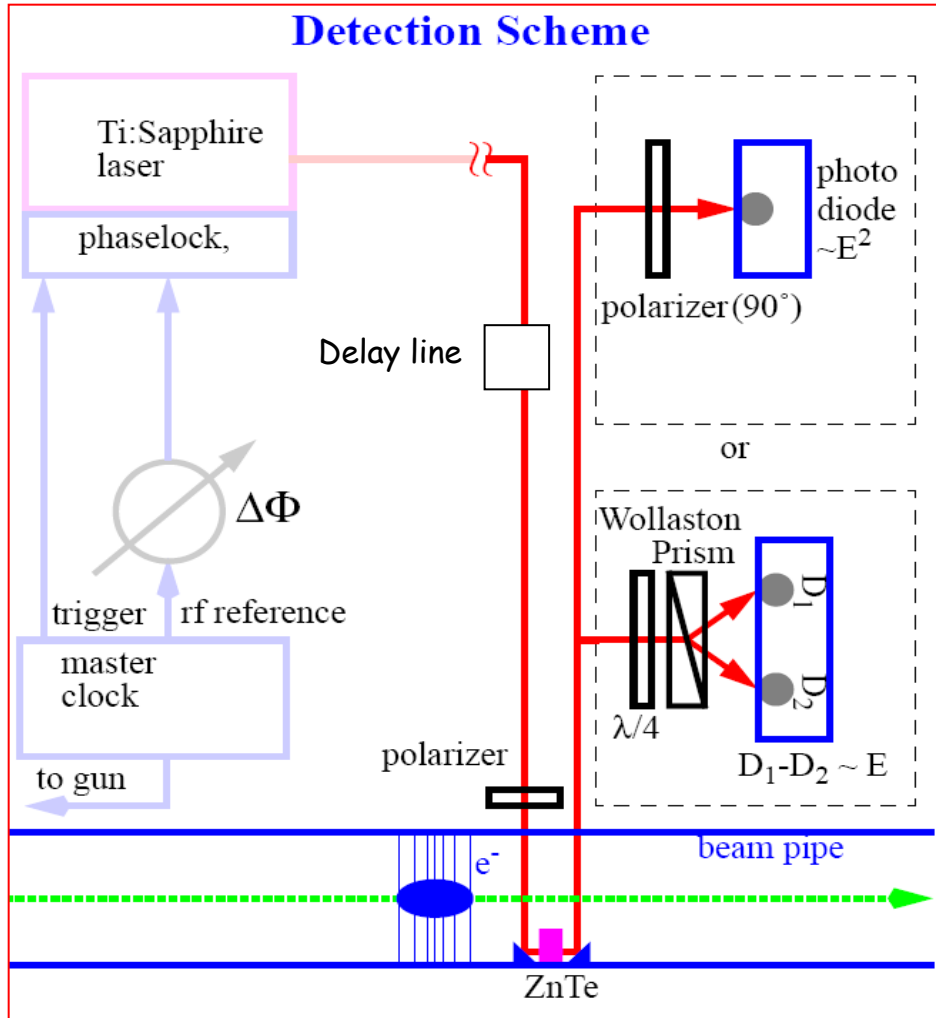
Optical stretcher: Separation of colors by different path length \Rightarrow single-shot observation



Courtesy S.P.Jamison et al., EPAC 2006

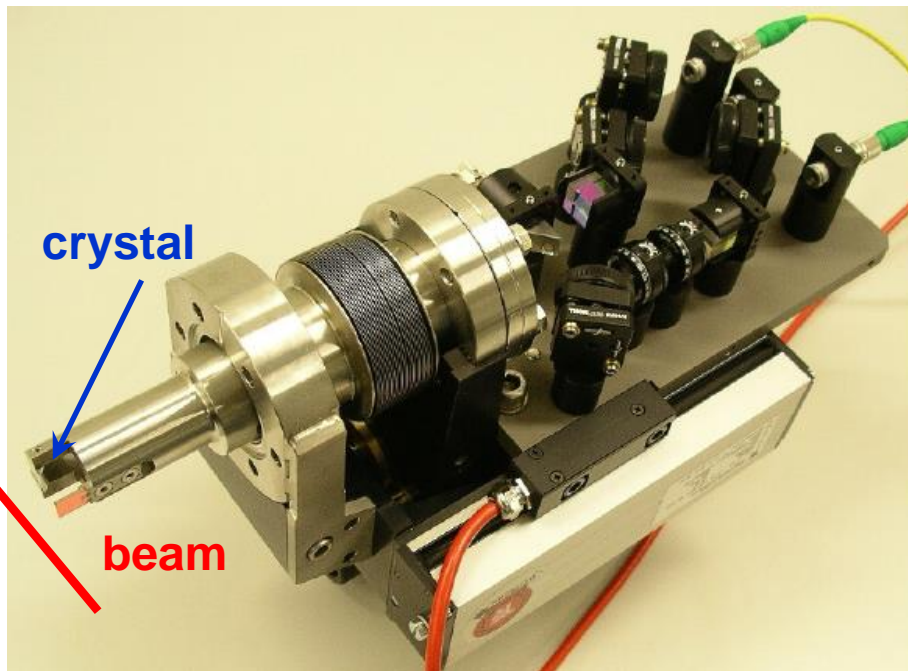
Realization of EOS Scanning

Setup of a scanning EOS method.

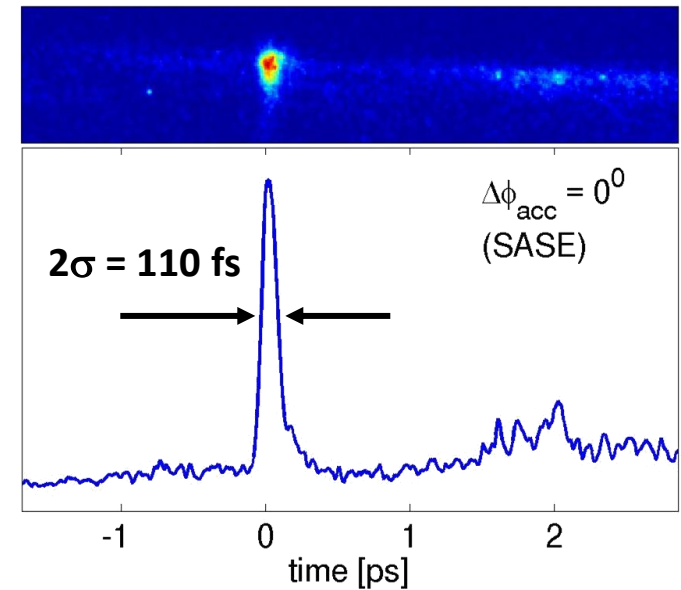


Using 12fs pulses from Ti:A₁₂O₃ laser at 800nm and ZnTe crystal 0.5mm thick with a e⁻ - beam 46MeV of 200pC

X. Yan *et al*, Phys. Rev. Lett. 85, 3404 (2000)



Example: Bunch length at FLASH
100 fs bunch duration = 30 μm length



B. Steffen et al, DIPAC 2009

B. Steffen et al., Phys. Rev. AB 12, 032802 (2009)

Devices for bunch length at light sources:

Streak cameras:

- Time resolved monitoring of synchrotron radiation
 - for relativistic e^- -beams, $t_{bunch} < 1$ ns
 - reason: too short bunches for rf electronics.

Laser scanning:

- Electro-optical modulation of short laser pulse
 - very high time resolution down to some fs

Diagnostics is the 'sensory organ' for the beam.

It required for operation and development of accelerators

Several categories of demands leads to different installations:

- Quick, non-destructive measurements leading to a single number or simple plots
- Complex instrumentation used for hard malfunction and accelerator development
- Automated measurement and control of beam parameters i.e. feedback

The goal and a clear interpretation of the results is a important design criterion.

General comments:

- Quite different technologies are used, based on various physics processes
- Accelerator development goes parallel to diagnostics development

Thank you for your attention!

- H. Schmickler (Ed.) *Beam Instrumentation*, Proc. CERN Accelerator School, Tuusula 2018 in prep.
- D. Brandt (Ed.), *Beam Diagnostics for Accelerators*, Proc. CERN Accelerator School, Dourdan, CERN-2009-005, 2009;
- Proceedings of several CERN Acc. Schools (introduction & advanced level, special topics).
- V. Smaluk, *Particle Beam Diagnostics for Accelerators: Instruments and Methods*, VDM Verlag Dr. Müller, Saarbrücken 2009.
- P. Strehl, *Beam Instrumentation and Diagnostics*, Springer-Verlag, Berlin 2006.
- M.G. Minty and F. Zimmermann, *Measurement and Control of Charged Particle Beams*, Springer-Verlag, Berlin 2003.
- S-I. Kurokawa, S.Y. Lee, E. Perevedentev, S. Turner (Eds.), *Proceeding of the School on Beam Measurement*, Proceedings Montreux, World Scientific Singapore (1999).
- P. Forck, *Lecture Notes on Beam Instrumentation and Diagnostics*, JUAS School, JUAS Indico web-site.
- Contributions to conferences, in particular to **International Beam Instrumentation Conference IBIC**.

Backup slides

Emittance Enlargement by Injection Mis-steering

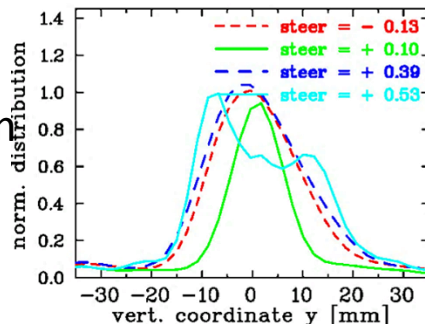
Emittance conservation requires precise injection matching

Wrong angle of injected beam:

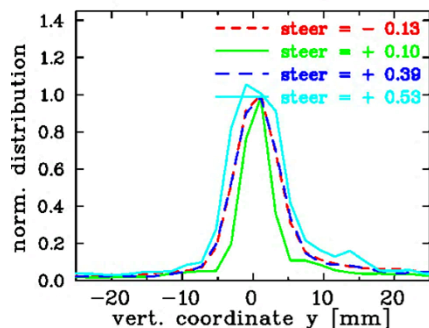
- injection into outer phase space → large β -amplitude i.e. large beam
 - might result in 'hollow' beam
 - filling of acceptance i.e. loss of particles
- ⇒ Hadron beams: larger emittance after acceleration

Example: Variation of vertical injection angle by magnetic steerer
 Beam: C^{6+} at 6.7 MeV/u acc. to 600 MeV/u, up to $6 \cdot 10^9$ ions per fill with multi-turn injection, IPM integration 0.5 ms i.e. ≈ 100 turns

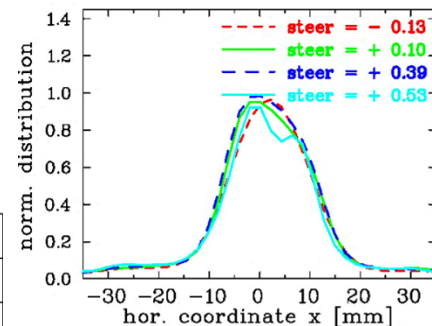
Vertical profile at injection:



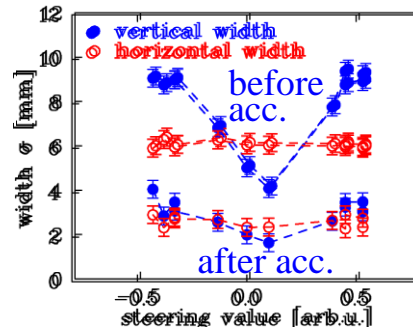
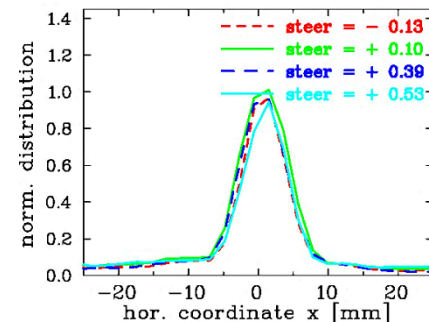
Vertical profile after acc.:



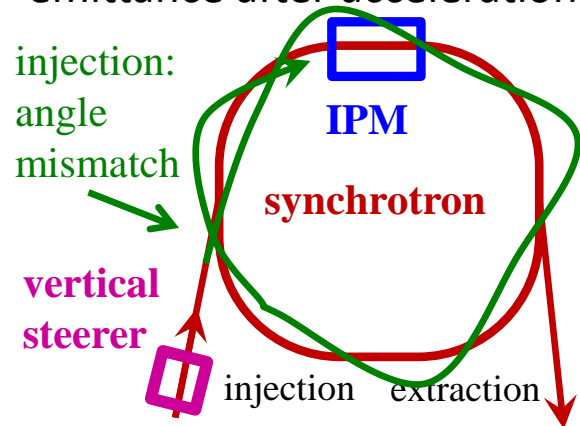
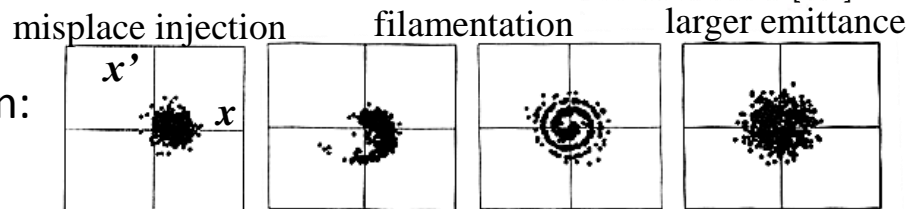
Horizontal profile at injection:



Horizontal profile after acc.:



Schematic simulation:
 Courtesy M. Syphers



Coherent Optical Transition Radiation

Observation of coherent OTR for **compressed** bunches at LINAC based light sources

Reason: Coherent emission if bunch length \approx wavelength ($t_{bunch} = 2 \text{ fs} \Leftrightarrow l_{bunch} = 600 \text{ nm}$)

or bunch fluctuations \approx wavelength

Parameter reach

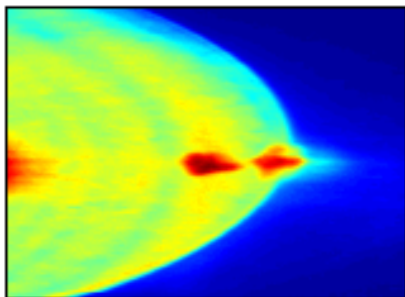
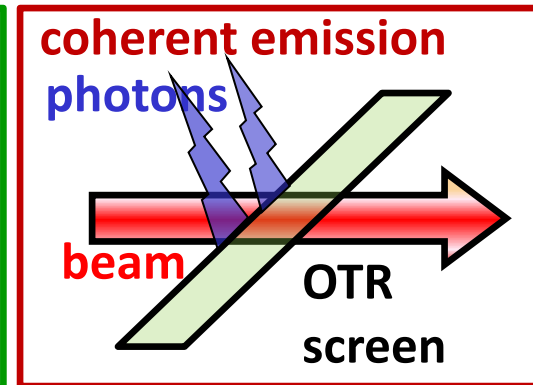
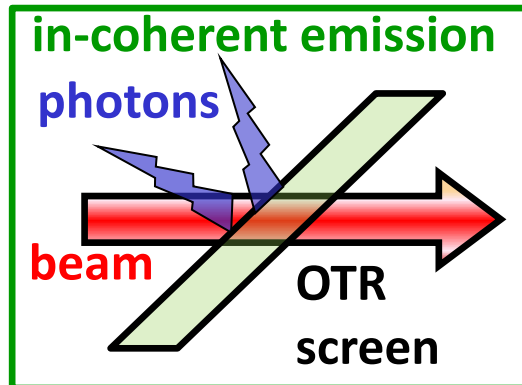
for most LINAC-based FELs!

Beam parameter: FLASH, 700 MeV,

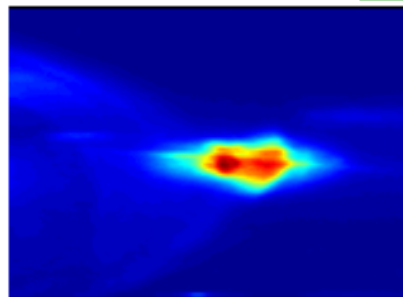
0.5 nC, with bunch compression

OTR screen

scint. screen



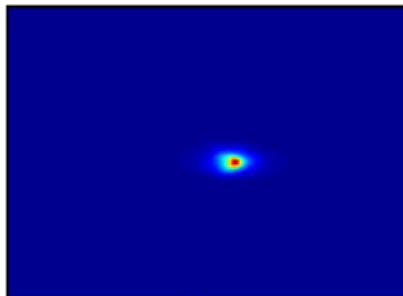
(a) OTR screen



(c) LuAG screen



(b) OTR screen, +100ns delay



(d) LuAG screen, +100ns delay

prompt emission for OTR and scint. screen
→ **coherent and in-coherent OTR**

100 ns delayed emission

- no OTR as expected (classical process)
- emission by scint. screen due to lifetime
- ⇔ correct profile image!

Contrary of M. Yan et al., DIPAC'11 & S. Wesch, DIPAC'11