

# Symplectic kicks from an electron cloud pinch

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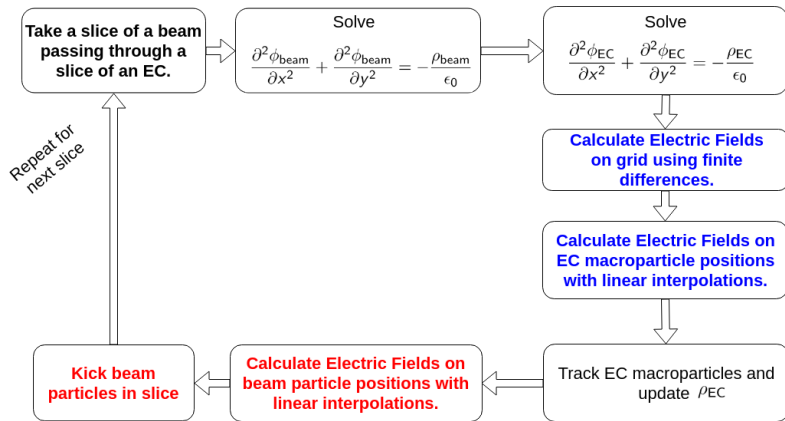
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CERN, Friday, 10th May 2019

- 1 The Electron Cloud Kick
- 2 Are We Symplectic?
- 3 How to Become Symplectic
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# PyECLOUD-PyHEADTAIL simulations



Beams are sliced in  $\zeta = s \frac{\beta}{\beta_0} - \beta ct$ . From the point of view of the EC,  $s$  does not change and  $\zeta$  is basically time!

We propose to modify the kicks to the beam particles to support long-term tracking.

## Symplecticity Condition

Suppose we have a map<sup>1</sup>  $\mathcal{M}$  that transforms  $\vec{x} = (x_1, x_2)$  to  $\vec{X} = (X_1, X_2)$ :

$$\vec{X} = \mathcal{M}\vec{x}$$

The map  $\mathcal{M}$  is symplectic if

$$\mathbf{M}\mathbf{S}\mathbf{M}^T = \mathbf{S} \tag{1}$$

where  $\mathbf{M}$  is the Jacobian with  $M_{ij} = \frac{\partial X_i}{\partial x_j}$  and  $\mathbf{S} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$

If the map can be represented with a matrix multiplication, then the Jacobian is the matrix that multiplies  $\vec{x}$ .

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<sup>1</sup>This example is in 1D but can be trivially generalized to any dimension.

## Symplecticity - Simple Map

Simple transverse **thin-lens** electrostatic kick:

$$x^f = x^i$$

$$p_x^f = p_x^i + A \cdot E_x(x^i, y^i)$$

$$y^f = y^i$$

$$p_y^f = p_y^i + A \cdot E_y(x^i, y^i),$$

$$\xrightarrow{\text{Jacobian}} \mathbf{M} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ A\partial_x E_x & 1 & A\partial_y E_x & 0 \\ 1 & 0 & 1 & 0 \\ A\partial_x E_y & 0 & A\partial_y E_y & 1 \end{pmatrix}$$

$$A = \frac{qL}{\beta^2 \gamma mc^2}$$

Symplecticity condition  $\mathbf{M}\mathbf{S}\mathbf{M}^T = \mathbf{S}$  boils down to:

$$\frac{\partial E_x}{\partial y} = \frac{\partial E_y}{\partial x}$$

# Symplecticity - PyECLoud

Map in PyECLoud<sup>2</sup> is:

$$x^f = x^i$$

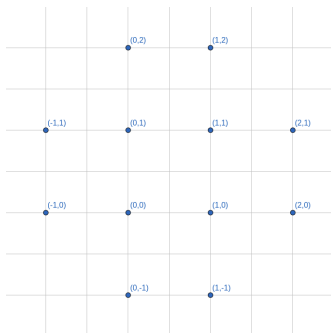
$$p_x^f = p_x^i + A \cdot E_x(x^i, y^i)$$

$$y^f = y^i$$

$$p_y^f = p_y^i + A \cdot E_y(x^i, y^i),$$

$$A = \frac{qL}{\beta^2 \gamma mc^2}$$

where  $E_x, E_y$  are bilinear interpolations on finite differences.



$$E_{x,y}(\tilde{x}, \tilde{y}) = a_{x,y} + b_{x,y}\tilde{x} + c_{x,y}\tilde{y} + d_{x,y}\tilde{x}\tilde{y},$$

$$\tilde{x} = \frac{x}{\Delta x} \in [0, 1], \quad \tilde{y} = \frac{y}{\Delta y} \in [0, 1]$$

# Symplecticity - PyECLoud

$$E_{x,y}(\tilde{x}, \tilde{y}) = a_{x,y} + b_{x,y}\tilde{x} + c_{x,y}\tilde{y} + d_{x,y}\tilde{x}\tilde{y}$$

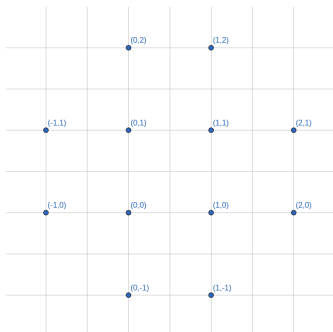
where the coefficients are defined as:

$$a_{x,y} = E_{x,y}^{(0,0)}$$

$$b_{x,y} = -E_{x,y}^{(0,0)} + E_{x,y}^{(1,0)}$$

$$c_{x,y} = -E_{x,y}^{(0,0)} + E_{x,y}^{(0,1)}$$

$$d_{x,y} = E_{x,y}^{(0,0)} - E_{x,y}^{(0,1)} - E_{x,y}^{(1,0)} + E_{x,y}^{(1,1)},$$



and the derivatives are:

$$\partial_x E_{x,y}(\tilde{x}, \tilde{y}) = \frac{b_{x,y} + d_{x,y}\tilde{y}}{\Delta x}, \quad \partial_y E_{x,y}(\tilde{x}, \tilde{y}) = \frac{c_{x,y} + d_{x,y}\tilde{x}}{\Delta y}$$

# Symplecticity - PyECLoud

## Symplecticity Condition:

$$\begin{aligned} \partial_x E_y(\tilde{x}, \tilde{y}) - \partial_y E_x(\tilde{x}, \tilde{y}) &= 0 \Rightarrow \\ \frac{b_y}{\Delta x} + \frac{d_y}{\Delta x} \tilde{y} - \frac{c_x}{\Delta y} - \frac{d_x}{\Delta y} \tilde{x} &= 0 \end{aligned}$$

All coefficients of the polynomial must vanish.

$$\Rightarrow \begin{cases} \frac{b_y}{\Delta x} - \frac{c_x}{\Delta y} = 0 \\ d_x = 0 \\ d_y = 0 \end{cases}$$
$$\Rightarrow \begin{cases} \frac{-E_y^{(0,0)} + E_y^{(1,0)}}{\Delta x} - \frac{-E_x^{(0,0)} + E_x^{(0,1)}}{\Delta y} = 0 \\ E_x^{(0,0)} - E_x^{(1,0)} - E_x^{(0,1)} + E_x^{(1,1)} = 0 \\ E_y^{(0,0)} - E_y^{(1,0)} - E_y^{(0,1)} + E_y^{(1,1)} = 0 \end{cases}$$



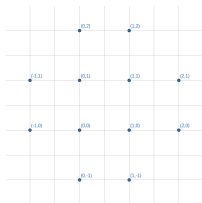
# Symplecticity - PyECLoud

Fields defined as central differences:

$$E_x^{(i,j)} = -\frac{\phi^{(i+1,j)} - \phi^{(i-1,j)}}{2\Delta x}$$

$$E_y^{(i,j)} = -\frac{\phi^{(i,j+1)} - \phi^{(i,j-1)}}{2\Delta y}$$

$$\begin{cases} \frac{-E_y^{(0,0)} + E_y^{(1,0)}}{\Delta x} - \frac{-E_x^{(0,0)} + E_x^{(0,1)}}{\Delta y} = 0 \\ E_x^{(0,0)} - E_x^{(1,0)} - E_x^{(0,1)} + E_x^{(1,1)} = 0 \\ E_y^{(0,0)} - E_y^{(1,0)} - E_y^{(0,1)} + E_y^{(1,1)} = 0 \end{cases}$$



Substituting the electric fields with the finite differences, we get:

$$\begin{cases} \phi^{(0,1)} - \phi^{(0,-1)} - \phi^{(1,1)} + \phi^{(1,-1)} - \phi^{(1,0)} + \phi^{(-1,0)} + \phi^{(1,1)} - \phi^{(-1,1)} = 0 \\ -\phi^{(1,0)} + \phi^{(-1,0)} + \phi^{(2,0)} - \phi^{(0,0)} + \phi^{(1,1)} - \phi^{(-1,1)} - \phi^{(2,1)} + \phi^{(0,1)} = 0 \\ -\phi^{(0,1)} + \phi^{(0,-1)} + \phi^{(1,1)} - \phi^{(1,-1)} + \phi^{(0,2)} - \phi^{(0,0)} - \phi^{(1,2)} + \phi^{(1,0)} = 0 \end{cases}$$

# Symplecticity - PyECLoud

The present map is symplectic if:

$$\begin{cases} \phi^{(0,1)} - \phi^{(0,-1)} - \phi^{(1,1)} + \phi^{(1,-1)} - \phi^{(1,0)} + \phi^{(-1,0)} + \phi^{(1,1)} - \phi^{(-1,1)} & = 0 \\ -\phi^{(1,0)} + \phi^{(-1,0)} + \phi^{(2,0)} - \phi^{(0,0)} + \phi^{(1,1)} - \phi^{(-1,1)} - \phi^{(2,1)} + \phi^{(0,1)} & = 0 \\ -\phi^{(0,1)} + \phi^{(0,-1)} + \phi^{(1,1)} - \phi^{(1,-1)} + \phi^{(0,2)} - \phi^{(0,0)} - \phi^{(1,2)} + \phi^{(1,0)} & = 0 \end{cases}$$

- These conditions are not linearly independent of the equations consisting the Poisson solver.
- Imposing them leads to an **overdetermined** system of equations which has no solution.
- **Linearly interpolating on finite differences produces non-symplectic kicks.**

# Symplectifying in 4D

## Step #1

Given a regular grid of a scalar potential  $\phi^{(i,j)}$ , calculate  $E_x, E_y$  such that the kick is symplectic, i.e.:

$$\frac{\partial E_y}{\partial x} = \frac{\partial E_x}{\partial y}$$

By defining  $E_x = -\frac{\partial \phi}{\partial x}$  and  $E_y = -\frac{\partial \phi}{\partial y}$ , we need only find an analytical approximation of  $\phi$  such that

$$\frac{\partial}{\partial x} \left( \frac{\partial \phi}{\partial y} \right) = \frac{\partial}{\partial y} \left( \frac{\partial \phi}{\partial x} \right)$$

The condition holds if we interpolate  $\phi$  with a scheme that guarantees continuous derivatives. ( $C^1$ -Continuity)

## Extending to a 6D kick

A Hamiltonian that produces this 4D kick is:

$$H(x, y; s) = \frac{qL}{\beta^2 \gamma mc^2} \phi(x, y) \delta(s)$$

where  $\delta(s)$  is Dirac's delta function. Actually, we have  $\phi(x, y)$  for each step of  $\zeta$ . We can use a [3D interpolation](#) method to get a function for  $\phi(x, y, \zeta)$ .

Finally, our Hamiltonian is<sup>3</sup>:

$$H(x, y, \zeta; s) = \frac{qL}{\beta^2 \gamma mc^2} \phi(x, y, \zeta) \delta(s)$$

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<sup>3</sup>The full family of thin symplectic 6D kicks can be found in the appendix.

## 6D Symplectic Kick

and it produces the kick:

$$\begin{aligned}x &\mapsto x \\p_x &\mapsto p_x - \frac{qL}{\beta^2 \gamma mc^2} \frac{\partial \phi}{\partial x}(x, y, \zeta) \\y &\mapsto y \\p_y &\mapsto p_y - \frac{qL}{\beta^2 \gamma mc^2} \frac{\partial \phi}{\partial y}(x, y, \zeta) \\\zeta &\mapsto \zeta \\\delta &\mapsto \delta - \frac{qL}{\beta^2 \gamma mc^2} \frac{\partial \phi}{\partial \zeta}(x, y, \zeta)\end{aligned}$$

The problem reduces to approximating  $\phi$  in such a way that it is analytically differentiable once and  $C^1$  continuous.

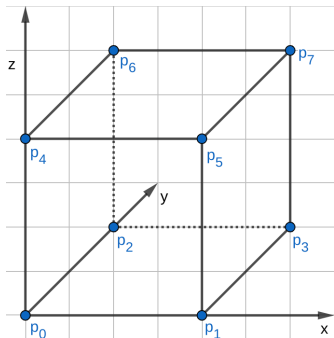
## Section 4

### Tricubic Interpolation

# How to interpolate<sup>4</sup>

## Objective

Given a regular 3D grid of any function  $f^{i,j,k}$ , we interpolate **locally** in a way that the **first derivatives are continuous globally**.



- To be continuous globally, first we must be continuous at the corners. To do that we fix the values  $\left\{ f, \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z} \right\}$  at the 8 corners of our cube  $\rightarrow$  32 constraints.

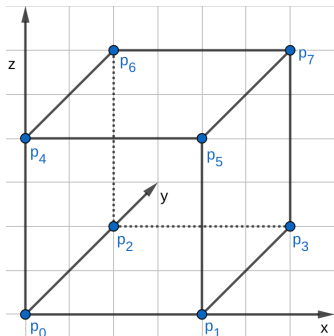
<sup>3</sup>Lekien, F & J. E., Marsden. (2005). Tricubic Interpolation in Three Dimensions. International Journal for Numerical Methods in Engineering. 63. 10.1002/nme.1296.

# Interpolating Function

## Objective

For simplicity, we want to interpolate with a polynomial function :

$$f(x, y, z) = \sum_{i=0}^N \sum_{j=0}^N \sum_{k=0}^N a_{ijk} x^i y^j z^k$$



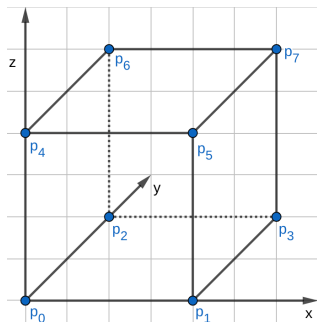
Degrees of Freedom =  $(N + 1)^3$

- $N = 3 \Rightarrow 27$  Degrees of freedom.  
**Not enough!**
- $N = 4 \Rightarrow 64$  Degrees of freedom.  
**More than enough, but we need 32 additional constraints.**



# Violation of Charge Conservation

Can we impose values for  $\left\{ \frac{\partial^2 f}{\partial x^2}, \frac{\partial^2 f}{\partial y^2}, \frac{\partial^2 f}{\partial z^2} \right\}$  to conserve charge simultaneously?



$\frac{\partial^2 f}{\partial x^2}$  is linearly dependent on the existing constraints.

$$f(x, y, z) = \sum_{i=0}^3 \sum_{j=0}^3 \sum_{k=0}^3 a_{ijk} x^i y^j z^k$$

$$\frac{\partial f}{\partial x}(x, y, z) = \sum_{i=1}^3 \sum_{j=0}^3 \sum_{k=0}^3 i a_{ijk} x^{i-1} y^j z^k$$

$$\xrightarrow{y, z=0}$$

$$\begin{cases} f(x, 0, 0) = a_{000} + a_{100}x + a_{200}x^2 + a_{300}x^3 \\ \frac{\partial f}{\partial x}(x, 0, 0) = a_{100} + 2a_{200}x + 3a_{300}x^2 \end{cases}$$

$$\Rightarrow \begin{cases} f|_{p_0} = a_{000} \\ f|_{p_1} = a_{000} + a_{100} + a_{200} + a_{300} \\ \frac{\partial f}{\partial x}|_{p_0} = a_{100} \\ \frac{\partial f}{\partial x}|_{p_1} = a_{100} + 2a_{200} + 3a_{300} \end{cases}$$

$$\frac{\partial^2 f}{\partial x^2}(x, 0, 0) = 2a_{200} + 6a_{300}x$$

## Additional Constraints

We cannot use the derivatives

$$\left\{ \frac{\partial^2 f}{\partial x^2}, \frac{\partial^2 f}{\partial y^2}, \frac{\partial^2 f}{\partial z^2} \right\}$$

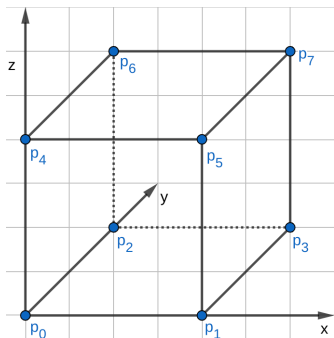
As it turns out, the simplest set of constraints that is **linearly independent** to our other constraints is

$$\left\{ \frac{\partial^2 f}{\partial x \partial y}, \frac{\partial^2 f}{\partial x \partial z}, \frac{\partial^2 f}{\partial y \partial z}, \frac{\partial^3 f}{\partial x \partial y \partial z} \right\}$$

Finally, our full set of constraints (input) is

$$\left\{ f, \frac{\partial f}{\partial x}, \frac{\partial f}{\partial y}, \frac{\partial f}{\partial z}, \frac{\partial^2 f}{\partial x \partial y}, \frac{\partial^2 f}{\partial x \partial z}, \frac{\partial^2 f}{\partial y \partial z}, \frac{\partial^3 f}{\partial x \partial y \partial z} \right\}$$

# Tricubic Interpolation



$$b_i = \begin{cases} f|_{p_i} & 0 \leq i \leq 7 \\ \frac{\partial f}{\partial x}|_{p_{i-8}} & 8 \leq i \leq 15 \\ \frac{\partial f}{\partial y}|_{p_{i-16}} & 16 \leq i \leq 23 \\ \frac{\partial f}{\partial z}|_{p_{i-24}} & 24 \leq i \leq 31 \\ \frac{\partial^2 f}{\partial x \partial y}|_{p_{i-32}} & 32 \leq i \leq 39 \\ \frac{\partial^2 f}{\partial x \partial z}|_{p_{i-40}} & 40 \leq i \leq 47 \\ \frac{\partial^2 f}{\partial y \partial z}|_{p_{i-48}} & 48 \leq i \leq 55 \\ \frac{\partial^3 f}{\partial x \partial y \partial z}|_{p_{i-56}} & 56 \leq i \leq 63 \end{cases}$$

$$f(x, y, z) = \sum_{i=0}^3 \sum_{j=0}^3 \sum_{k=0}^3 a_{ijk} x^i y^j z^k$$

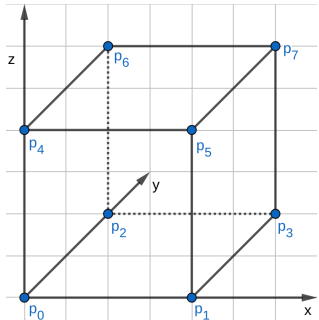
$$\alpha_{i+4j+16k} = a_{ijk}$$

$$\mathbf{B}\alpha = \mathbf{b} \Rightarrow \boxed{\alpha = \mathbf{B}^{-1}\mathbf{b}}$$

where  $\mathbf{B}^{-1}$  is an integer  $64 \times 64$  matrix.

# $C^1$ -Continuity

Interpolation is obviously  $C^1$ -continuous inside the cube. Is it on the boundaries?



We can repeat for all faces and all edges to check that we are continuous everywhere.

$$f(x, y, z) = \sum_{i=0}^3 \sum_{j=0}^3 \sum_{k=0}^3 a_{ijk} x^i y^j z^k$$

$$\frac{\partial f}{\partial x}(x, y, z) = \sum_{i=1}^3 \sum_{j=0}^3 \sum_{k=0}^3 i a_{ijk} x^{i-1} y^j z^k$$

$$\xrightarrow{y=1, z=0}$$

$$\begin{cases} \sum_{j=0}^3 a_{ijk} = b_{ik} \\ f(x, 1, 0) = b_{00} + b_{10}x + b_{20}x^2 + a_{30}x^3 \\ \frac{\partial f}{\partial x}(x, 1, 0) = b_{10} + 2b_{20}x + 3b_{30}x^2 \end{cases}$$

$$\Rightarrow \begin{cases} f|_{p_2} = b_{00} \\ f|_{p_3} = b_{00} + b_{100} + b_{200} + b_{30} \\ \frac{\partial f}{\partial x}|_{p_2} = b_{10} \\ \frac{\partial f}{\partial x}|_{p_3} = b_{10} + 2b_{20} + 3b_{30} \end{cases}$$

Which is the same interpolation.

# Tricubic Interpolation

This Tricubic Interpolation has been implemented in a Python package.

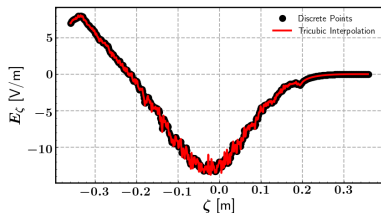
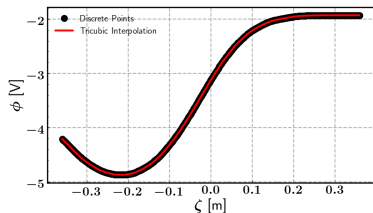
- It can use **exact derivatives** if provided, or use finite differences to estimate them.
- It has been thoroughly tested to check that it can **exactly** reconstruct any “tricubic” polynomial when using exact derivatives.
- It can be found in <https://github.com/kparasch/TricubicInterpolation/>.

## Section 5

### Examples

# Problem #1 - Simulation can be (very) Noisy

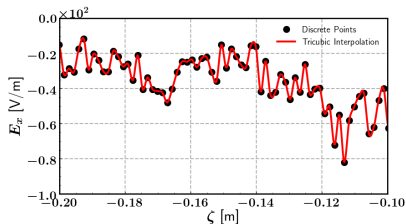
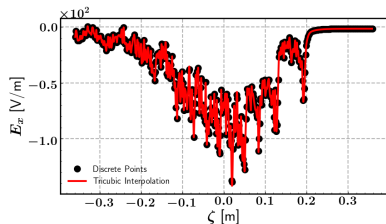
At  $x = \Delta x, y = 0$ :



- Interpolation of  $\phi$  is flawless.
- Derivative on the other hand can be very noisy.

# Problem #1 - Pinch is Noisy

At  $x = \Delta x, y = 0$ :



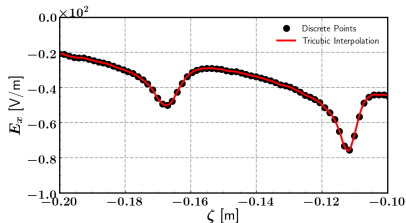
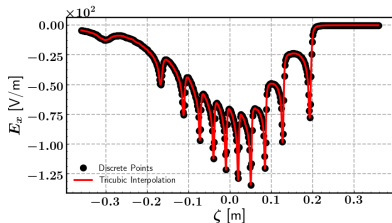
Zoom in  $\zeta \in (-0.2, -0.1)$  of left figure.

- Even for noisy simulations of pinches, interpolation scheme does not disappoint.
- Simulation of the pinch still suffers from macroparticle noise.
- Solution: Reduce noise by averaging many pinches.



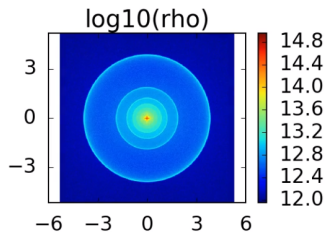
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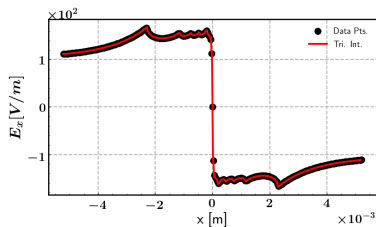
Zoom in  $\zeta \in (-0.2, -0.1)$  of left figure.

- Averaging 2000 pinches reveals clear structure.
- Interpolation scheme is looks good.



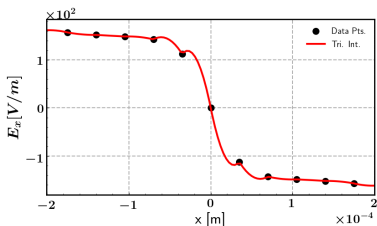
## If step size is not small enough

Worst case is when we look with respect to a transverse direction.  
The potential **flips very quickly**. (Beam sigma here is  $3.66 \cdot 10^{-4}$  m)



## If step size is not small enough

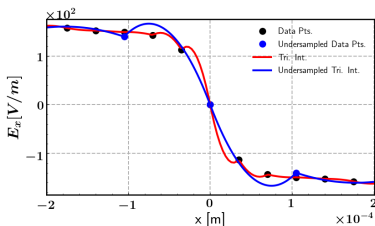
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Very sharp changes can lead to unnatural “wiggles” inbetween cells.

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Worst case is when we look with respect to a transverse direction.  
The potential **flips very quickly**. (Beam sigma here is  $3.66 \cdot 10^{-4}$  m)



Very sharp changes can lead to unnatural “wiggles” inbetween cells.  
Through undersampling, the bumps get worse. We need to find a way to quantify and control these artifacts.

# Conclusions

- We symplectified our kick by using the Tricubic Interpolation scheme.
- We implemented the Tricubic Interpolation in a tested Python package.
- We studied the behaviour of the interpolation scheme in order to predict possible problems.

## Next steps:

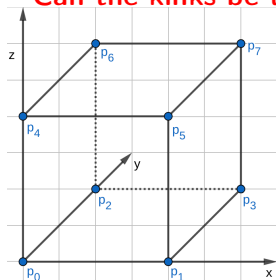
- See if the interpolation can be improved or if the “wiggles” can be quantified.
- Begin some preliminary tracking in PySixtrack.
- Do some serious tracking with SixTrackLib.

I thank you for your attention!

# Appendices

# Kinks

Can the kinks be the artifact of the other dimensions?



$$f(x, y, z) = \sum_{i=0}^3 \sum_{j=0}^3 \sum_{k=0}^3 \alpha_{ijk} x^i y^j z^k$$

$$\frac{\partial f}{\partial x}(x, y, z) = \sum_{i=1}^3 \sum_{j=0}^3 \sum_{k=0}^3 i \alpha_{ijk} x^{i-1} y^j z^k$$

$$\xrightarrow{y, z=0}$$

$$\begin{cases} f(x, 0, 0) = \alpha_{000} + \alpha_{100}x + \alpha_{200}x^2 + \alpha_{300}x^3 \\ \frac{\partial f}{\partial x}(x, 0, 0) = \alpha_{100} + 2\alpha_{200}x + 3\alpha_{300}x^2 \end{cases}$$

$$\Rightarrow \begin{cases} f|_{p_0} = \alpha_{000} \\ f|_{p_1} = \alpha_{000} + \alpha_{100} + \alpha_{200} + \alpha_{300} \\ \frac{\partial f}{\partial x}|_{p_0} = \alpha_{100} \\ \frac{\partial f}{\partial x}|_{p_1} = \alpha_{100} + 2\alpha_{200} + 3\alpha_{300} \end{cases}$$

On an edge of the cube, the interpolation depends only on the values of the function and its derivative with respect to the independent variable of the edge. **Answer is no!**

## Symplecticity - Why

Violation of symplecticity implies that integrals of motion are no longer conserved. Long-term tracking simulations **can** lead to wrong conclusions.

Consider a linear one-turn map  $M$  and

- a thick quadrupole map:

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{i+1} = \begin{pmatrix} \cos(k\Delta s) & \frac{1}{k} \sin(k\Delta s) \\ -k \sin(k\Delta s) & \cos(k\Delta s) \end{pmatrix} \cdot M \cdot \begin{pmatrix} x \\ x' \end{pmatrix}_i$$

- a 1st order Taylor approximation of thick quadrupole:

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{i+1} = \begin{pmatrix} 1 & \Delta s \\ -k^2 \Delta s & 1 \end{pmatrix} \cdot M \cdot \begin{pmatrix} x \\ x' \end{pmatrix}_i$$

with

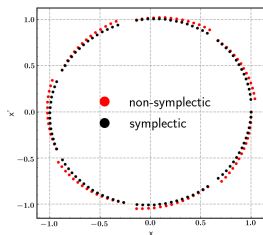
$$M = \begin{pmatrix} \cos \mu + \alpha \sin \mu & \beta \sin \mu \\ -\gamma \sin \mu & \cos \mu - \alpha \sin \mu \end{pmatrix}$$



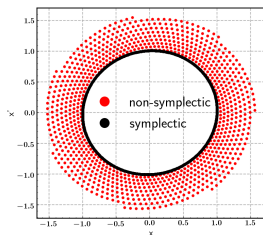
# Non-Symplectic Tracking

Tracking with a **large** symplectic error ( $k = 0.3, \Delta s = 0.1$ ):

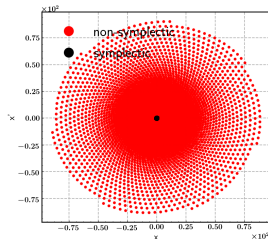
• Turns = 100



• Turns = 1000



• Turns = 10000



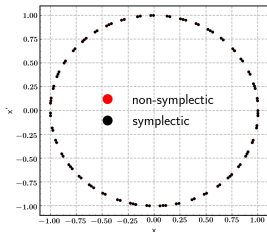
$$\begin{pmatrix} x \\ x' \end{pmatrix}_{i+1} = \begin{pmatrix} \cos(k\Delta s) & \frac{1}{k} \sin(k\Delta s) \\ -k \sin(k\Delta s) & \cos(k\Delta s) \end{pmatrix} \cdot M \cdot \begin{pmatrix} x \\ x' \end{pmatrix}_i$$

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{i+1} = \begin{pmatrix} 1 & \Delta s \\ -k^2 \Delta s & 1 \end{pmatrix} \cdot M \cdot \begin{pmatrix} x \\ x' \end{pmatrix}_i$$

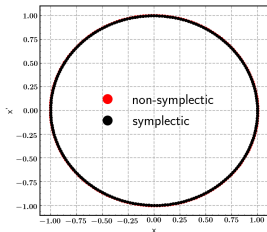
# Non-Symplectic Tracking

Tracking with a **small** symplectic error ( $k = 0.3, \Delta s = 0.01$ ):

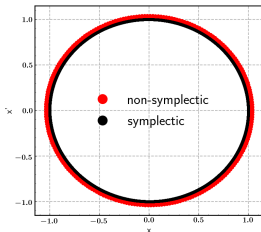
• Turns = 100



• Turns = 1000



• Turns = 10000



$$\begin{pmatrix} x \\ x' \end{pmatrix}_{i+1} = \begin{pmatrix} \cos(k\Delta s) & \frac{1}{k} \sin(k\Delta s) \\ -k \sin(k\Delta s) & \cos(k\Delta s) \end{pmatrix} \cdot M \cdot \begin{pmatrix} x \\ x' \end{pmatrix}_i$$

$$\begin{pmatrix} x \\ x' \end{pmatrix}_{i+1} = \begin{pmatrix} 1 & \Delta s \\ -k^2 \Delta s & 1 \end{pmatrix} \cdot M \cdot \begin{pmatrix} x \\ x' \end{pmatrix}_i$$

If the symplectic error is small, is symplecticity actually necessary?

## Symplectifying in 6D

### Step #2

Given a regular grid of a scalar potential  $\phi^{(i,j)}$  at regular steps of  $\zeta$ , produce a symplectic thin-lens 6D kick.

$$x \mapsto x$$

$$p_x \mapsto p_x - \frac{qL}{\beta^2 \gamma mc^2} \frac{\partial \phi}{\partial x}(x, y, \zeta)$$

$$y \mapsto y$$

$$p_y \mapsto p_y - \frac{qL}{\beta^2 \gamma mc^2} \frac{\partial \phi}{\partial y}(x, y, \zeta)$$

$$\zeta \mapsto \zeta$$

$$\delta \mapsto \delta + f(x, y, \zeta)$$

where  $f(x, y, \zeta)$  is an arbitrary function of  $x, y, \zeta$ . In addition to the previous condition,  $f(x, y, \zeta)$  must satisfy:

$$\frac{\partial f}{\partial x} = -\frac{qL}{\beta^2 \gamma mc^2} \frac{\partial}{\partial \zeta} \left( \frac{\partial \phi}{\partial x} \right)$$

$$\frac{\partial f}{\partial y} = -\frac{qL}{\beta^2 \gamma mc^2} \frac{\partial}{\partial \zeta} \left( \frac{\partial \phi}{\partial y} \right)$$

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<sup>3</sup>Thin-lens in the sense that  $x, y, \zeta$  remain unchanged.

## Symplectifying in 6D

### First condition

- $$\frac{\partial f}{\partial x} = -\frac{qL}{\beta^2 \gamma mc^2} \frac{\partial}{\partial \zeta} \left( \frac{\partial \phi}{\partial x} \right)$$

Integration gives:

$$f(x, y, \zeta) = \int \frac{\partial f}{\partial x} dx = - \int \frac{qL}{\beta^2 \gamma mc^2} \frac{\partial}{\partial \zeta} \left( \frac{\partial \phi}{\partial x} \right) dx$$

Because we approximate  $\phi$  such that it has globally continuous derivatives,

$$f(x, y, \zeta) = -\frac{qL}{\beta^2 \gamma mc^2} \frac{\partial \phi}{\partial \zeta} (x, y, \zeta) + g(y, \zeta)$$

where  $g(y, \zeta)$  is again an arbitrary function.

## Symplectifying in 6D

$$f(x, y, \zeta) = -\frac{qL}{\beta^2 \gamma mc^2} \frac{\partial \phi}{\partial \zeta}(x, y, \zeta) + g(y, \zeta)$$

### Second condition:

- Replacing  $f$  into the other condition:

$$\frac{\partial f}{\partial y} = -\frac{qL}{\beta^2 \gamma mc^2} \frac{\partial}{\partial \zeta} \left( \frac{\partial \phi}{\partial y} \right)$$

We arrive to

$$\frac{\partial g}{\partial y} = 0$$

which means that

$$g(y, \zeta) = g(\zeta)$$

# Symplectic Kick

## Summary

The 6D map will be symplectic for all momentum deviation kicks of the form

$$\delta \mapsto \delta - \frac{qL}{\beta^2 \gamma mc^2} \frac{\partial \phi}{\partial \zeta} (x, y, \zeta) + g(\zeta)$$

with an arbitrary  $g(\zeta)$  function.

The simplest choice is to set  $g(\zeta) = 0$ . Analytical calculations on the **physical** thin-lens approximation<sup>5</sup> of the electron cloud interaction on the beam particles arrive on the same map with  $g(\zeta) = 0$ .

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<sup>3</sup>See future presentation.