

# New Physics in Drell-Yan at the Z-pole and in High-Mass Tails: Inherent Uncertainties in the SMEFT

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1<sup>st</sup> COFI Workshop on Precision Electroweak

July 17, 2019



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# Why should we care about uncertainties in signals?

- Neglecting or downplaying signal-function theory errors is very common in the pheno community
  - Idea being that you can clean up the calculations once we find something, but signatures won't change drastically
- Neglecting errors is never correct in precision measurements or calculations, though, and that's the business we're in

# A Quote from a Model Builder



- “Whatever bound you get from your EFT, I can always write down a model that passes the test against data and violates the bound you claim to have.” – Bhaskar Dutta

# Based on...

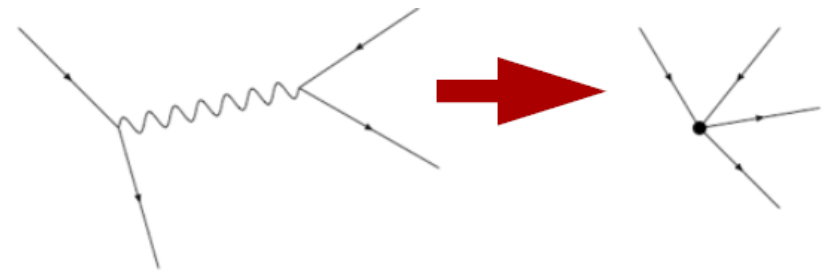
- 1611.09879 with Christine Hartmann and Michael Trott
- 1711.07484, 1812.07575 with Stefan Alte and Matthias König

# Introduction: EFT

- Effective Field Theory is a toolset that is used in a variety of ways
  - Organize contributions by importance
    - SCET, Flavor Physics,  $\chi$ PT, EFT for LSS...
  - Parametrize ignorance about new effects
- General approach is to identify the symmetries of a system and then consider everything allowed by them
  - Requires a robust power counting rule to determine relative importance of distinct terms

# Introduction: EFT

- The canonical example of an EFT is Fermi's theory of weak decay
  - A real limit of the SM
- We still use this today!
- Captures physics in a particular energy regime
  - Count in powers of  $E/M_w$
- Ability to systematically improve theory predictions is the key virtue of EFTs



# Why EFT and not <my favorite model>?

## ATLAS Exotics Searches\* - 95% CL Upper Exclusion Limits

Status: May 2019

ATLAS Preliminary

$$\int \mathcal{L} dt = (3.2 - 139) \text{ fb}^{-1}$$

$$\sqrt{s} = 8, 13 \text{ TeV}$$

Model	$\ell, \gamma$	Jets†	$E_T^{\text{miss}}$	$\int \mathcal{L} dt [\text{fb}^{-1}]$	Limit	Reference	
Extra dimensions	ADD $G_{KK} + g/q$	$0 e, \mu$	$1-4 j$	Yes	36.1	$M_D$ 7.7 TeV	$n=2$
	ADD non-resonant $\gamma\gamma$	$2 \gamma$	-	-	36.7	$M_S$ 8.6 TeV	$n=3$ HLZ NLO
	ADD QBH	-	$2 j$	-	37.0	$M_{\text{th}}$ 8.9 TeV	$n=6$
	ADD BH high $\Sigma p_T$	$\geq 1 e, \mu$	$\geq 2 j$	-	3.2	$M_{\text{th}}$ 8.2 TeV	$n=6, M_D = 3 \text{ TeV, rot BH}$
	ADD BH multijet	-	$\geq 3 j$	-	3.6	$M_{\text{th}}$ 9.55 TeV	$n=6, M_D = 3 \text{ TeV, rot BH}$
	RS1 $G_{KK} \rightarrow \gamma\gamma$	$2 \gamma$	-	-	36.7	$G_{KK} \text{ mass}$ 4.1 TeV	$k/\overline{M}_{Pl} = 0.1$
	Bulk RS $G_{KK} \rightarrow WW/ZZ$	multi-channel	-	-	36.1	$G_{KK} \text{ mass}$ 2.3 TeV	$k/\overline{M}_{Pl} = 1.0$
	Bulk RS $G_{KK} \rightarrow WW \rightarrow qq\bar{q}\bar{q}$	$0 e, \mu$	$2 j$	-	139	$G_{KK} \text{ mass}$ 1.6 TeV	$k/\overline{M}_{Pl} = 1.0$
	Bulk RS $g_{KK} \rightarrow tt$	$1 e, \mu$	$\geq 1 b, \geq 1 J/2 j$	Yes	36.1	$g_{KK} \text{ mass}$ 3.8 TeV	$\Gamma/m = 15\%$
	2UED / RPP	$1 e, \mu$	$\geq 2 b, \geq 3 j$	Yes	36.1	$KJK \text{ mass}$ 1.8 TeV	Tier (1,1), $\mathcal{B}(A^{(1-1)} \rightarrow tt) = 1$
Gauge bosons	SSM $Z' \rightarrow \ell\ell$	$2 e, \mu$	-	-	139	$Z' \text{ mass}$ 5.1 TeV	
	SSM $Z' \rightarrow \tau\tau$	$2 \tau$	-	-	36.1	$Z' \text{ mass}$ 2.42 TeV	
	Leptophobic $Z' \rightarrow bb$	-	$2 b$	-	36.1	$Z' \text{ mass}$ 2.1 TeV	
	Leptophobic $Z' \rightarrow tt$	$1 e, \mu$	$\geq 1 b, \geq 1 J/2 j$	Yes	36.1	$Z' \text{ mass}$ 3.0 TeV	$\Gamma/m = 1\%$
	SSM $W' \rightarrow \ell\nu$	$1 e, \mu$	-	Yes	139	$W' \text{ mass}$ 6.0 TeV	
	SSM $W' \rightarrow \tau\nu$	$1 \tau$	-	Yes	36.1	$W' \text{ mass}$ 3.7 TeV	
	HVT $V' \rightarrow WZ \rightarrow qq\bar{q}\bar{q}$ model B	$0 e, \mu$	$2 j$	-	139	$V' \text{ mass}$ 3.6 TeV	$g_V = 3$
	HVT $V' \rightarrow WH/ZH$ model B	multi-channel	-	-	36.1	$V' \text{ mass}$ 2.93 TeV	$g_V = 3$
	LRSM $W_R \rightarrow tb$	multi-channel	-	-	36.1	$W_R \text{ mass}$ 3.25 TeV	
	LRSM $W_R \rightarrow \mu N_R$	$2 \mu$	$1 j$	-	80	$W_R \text{ mass}$ 5.0 TeV	$m(N_R) = 0.5 \text{ TeV, } g_L = g_R$
CI	CI $qq\bar{q}\bar{q}$	-	$2 j$	-	37.0	$A$ 21.8 TeV	$\eta_{LL}^+$
	CI $\ell\ell q\bar{q}$	$2 e, \mu$	-	-	36.1	$A$ 40.0 TeV	$\eta_{LL}^-$
	CI $t\bar{t}t\bar{t}$	$\geq 1 e, \mu$	$\geq 1 b, \geq 1 j$	Yes	36.1	$A$ 2.57 TeV	$ C_{t\ell}  = 4\pi$
DM	Axial-vector mediator (Dirac DM)	$0 e, \mu$	$1-4 j$	Yes	36.1	$m_{\text{med}}$ 1.55 TeV	$g_{\tau} = 0.25, g_{\mu} = 1.0, m(\chi) = 1 \text{ GeV}$
	Colored scalar mediator (Dirac DM)	$0 e, \mu$	$1-4 j$	Yes	36.1	$m_{\text{med}}$ 1.67 TeV	$g = 1.0, m(\chi) = 1 \text{ GeV}$
	$VV\chi\chi$ EFT (Dirac DM)	$0 e, \mu$	$1 j, \leq 1 j$	Yes	3.2	$M_{\text{cutoff}}$ 700 GeV	$m(\chi) < 150 \text{ GeV}$
	Scalar reson. $\phi \rightarrow t\bar{t}$ (Dirac DM)	$0-1 e, \mu$	$1 b, 0-1 j$	Yes	36.1	$m_{\phi}$ 3.4 TeV	$y = 0.4, \lambda = 0.2, m(\chi) = 10 \text{ GeV}$
LQ	Scalar LQ 1 <sup>st</sup> gen	$1, 2 e$	$\geq 2 j$	Yes	36.1	$LQ \text{ mass}$ 1.4 TeV	$\beta = 1$
	Scalar LQ 2 <sup>nd</sup> gen	$1, 2 \mu$	$\geq 2 j$	Yes	36.1	$LQ \text{ mass}$ 1.56 TeV	$\beta = 1$
	Scalar LQ 3 <sup>rd</sup> gen	$2 \tau$	$2 b$	-	36.1	$LQ \text{ mass}$ 1.03 TeV	$\mathcal{B}(LQ_3^+ \rightarrow b\tau) = 1$
	Scalar LQ 3 <sup>rd</sup> gen	$0-1 e, \mu$	$2 b$	Yes	36.1	$LQ_3^+ \text{ mass}$ 970 GeV	$\mathcal{B}(LQ_3^+ \rightarrow t\tau) = 0$
Heavy quarks	VLQ $TT \rightarrow Ht/Zt/Wb + X$	multi-channel	-	-	36.1	$T \text{ mass}$ 1.37 TeV	SU(2) doublet
	VLQ $BB \rightarrow Wt/Zb + X$	multi-channel	-	-	36.1	$B \text{ mass}$ 1.34 TeV	SU(2) doublet
	VLQ $T_{5/3} T_{5/3} \rightarrow Wt + X$	$2(SS) \geq 3 \mu \geq 1 b, \geq 1 j$	Yes	36.1	$T_{5/3} \text{ mass}$ 1.64 TeV	$\mathcal{B}(T_{5/3} \rightarrow Wt) = 1, c(T_{5/3} Wt) = 1$	
	VLQ $Y \rightarrow Wb + X$	$1 e, \mu$	$\geq 1 b, \geq 1 j$	Yes	36.1	$Y \text{ mass}$ 1.85 TeV	$\mathcal{B}(Y \rightarrow Wb) = 1, c_Y(Wb) = 1$
	VLQ $B \rightarrow Hb + X$	$0 e, \mu, 2 \gamma$	$\geq 1 b, \geq 1 j$	Yes	79.8	$B \text{ mass}$ 1.21 TeV	$\kappa_B = 0.5$
	VLQ $QQ \rightarrow WqWq$	$1 e, \mu$	$\geq 4 j$	Yes	20.3	$Q \text{ mass}$ 690 GeV	
Excited fermions	Excited quark $q^* \rightarrow qg$	-	$2 j$	-	139	$q^* \text{ mass}$ 6.7 TeV	only $u^*$ and $d^*$ , $\Lambda = m(q^*)$
	Excited quark $q^* \rightarrow q\gamma$	$1 \gamma$	$1 j$	-	36.7	$q^* \text{ mass}$ 5.3 TeV	only $u^*$ and $d^*$ , $\Lambda = m(q^*)$
	Excited quark $b^* \rightarrow b\bar{g}$	-	$1 b, 1 j$	-	36.1	$b^* \text{ mass}$ 2.6 TeV	
	Excited lepton $\ell^*$	$3 e, \mu$	-	-	20.3	$\ell^* \text{ mass}$ 3.0 TeV	$\Lambda = 3.0 \text{ TeV}$
	Excited lepton $\nu^*$	$3 e, \mu, \tau$	-	-	20.3	$\nu^* \text{ mass}$ 1.6 TeV	$\Lambda = 1.6 \text{ TeV}$
	Other	Type III Seesaw	$1 e, \mu$	$\geq 2 j$	Yes	79.8	$N^0 \text{ mass}$ 560 GeV
LRSM Majorana $\nu$		$2 \mu$	$2 j$	-	36.1	$N_R \text{ mass}$ 3.2 TeV	$m(W_0) = 4.1 \text{ TeV, } g_L = g_R$
Higgs triplet $H^{\pm\pm} \rightarrow \ell\ell$		$2, 3, 4 e, \mu$ (SS)	-	-	36.1	$H^{\pm\pm} \text{ mass}$ 870 GeV	DY production
Higgs triplet $H^{\pm\pm} \rightarrow \ell\tau$		$3 e, \mu, \tau$	-	-	20.3	$H^{\pm\pm} \text{ mass}$ 400 GeV	DY production, $\mathcal{B}(H^{\pm\pm} \rightarrow \ell\tau) = 1$
Multi-charged particles		-	-	-	36.1	multi-charged particle mass	DY production, $ q  = 5e$
Magnetic monopoles		-	-	-	34.4	monopole mass	DY production, $ g  = 1g_D, \text{ spin } 1/2$
		$\sqrt{s} = 8 \text{ TeV}$	$\sqrt{s} = 13 \text{ TeV}$ partial data	$\sqrt{s} = 13 \text{ TeV}$ full data			

\*Only a selection of the available mass limits on new states or phenomena is shown.

† Small-radius (large-radius) jets are denoted by the letter j (J).

# SMEFT

- Applying EFT techniques to integrate out new physics already requires assumptions
- The main open question is the nature of the Higgs-like boson discovered at the LHC
  - Without knowing anything, one can expand in powers of  $\frac{h}{v}$  and  $\frac{D}{\Lambda}$  to get the HEFT approach
  - If this scalar is embedded in the doublet which breaks SU(2) one can insist on the full SM gauge group, leading to the SMEFT



# Warsaw Basis

1 : $X^3$		2 : $H^6$		3 : $H^4 D^2$		5 : $\psi^2 H^3 + \text{h.c.}$	
$Q_G$	$f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	$Q_H$	$(H^\dagger H)^3$	$Q_{H\Box}$	$(H^\dagger H)\Box(H^\dagger H)$	$Q_{eH}$	$(H^\dagger H)(\bar{l}_p e_r H)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$			$Q_{HD}$	$(H^\dagger D_\mu H)^* (H^\dagger D_\mu H)$	$Q_{uH}$	$(H^\dagger H)(\bar{q}_p u_r \tilde{H})$
$Q_W$	$\epsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$					$Q_{dH}$	$(H^\dagger H)(\bar{q}_p d_r H)$
$Q_{\tilde{W}}$	$\epsilon^{IJK} \tilde{W}_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$						
4 : $X^2 H^2$		6 : $\psi^2 XH + \text{h.c.}$		7 : $\psi^2 H^2 D$			
$Q_{HG}$	$H^\dagger H G_{\mu\nu}^A G^{A\mu\nu}$	$Q_{eW}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I H W_{\mu\nu}^I$	$Q_{Hl}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{l}_p \gamma^\mu l_r)$		
$Q_{H\tilde{G}}$	$H^\dagger H \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	$Q_{eB}$	$(\bar{l}_p \sigma^{\mu\nu} e_r) H B_{\mu\nu}$	$Q_{Hl}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{l}_p \tau^I \gamma^\mu l_r)$		
$Q_{HW}$	$H^\dagger H W_{\mu\nu}^I W^{I\mu\nu}$	$Q_{uG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{H} G_{\mu\nu}^A$	$Q_{He}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{e}_p \gamma^\mu e_r)$		
$Q_{H\tilde{W}}$	$H^\dagger H \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	$Q_{uW}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{H} W_{\mu\nu}^I$	$Q_{Hq}^{(1)}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{q}_p \gamma^\mu q_r)$		
$Q_{HB}$	$H^\dagger H B_{\mu\nu} B^{\mu\nu}$	$Q_{uB}$	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{H} B_{\mu\nu}$	$Q_{Hq}^{(3)}$	$(H^\dagger i \overleftrightarrow{D}_\mu^I H)(\bar{q}_p \tau^I \gamma^\mu q_r)$		
$Q_{H\tilde{B}}$	$H^\dagger H \tilde{B}_{\mu\nu} B^{\mu\nu}$	$Q_{dG}$	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) H G_{\mu\nu}^A$	$Q_{Hu}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{u}_p \gamma^\mu u_r)$		
$Q_{HWB}$	$H^\dagger \tau^I H W_{\mu\nu}^I B^{\mu\nu}$	$Q_{dW}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I H W_{\mu\nu}^I$	$Q_{Hd}$	$(H^\dagger i \overleftrightarrow{D}_\mu H)(\bar{d}_p \gamma^\mu d_r)$		
$Q_{H\tilde{W}B}$	$H^\dagger \tau^I H \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	$Q_{dB}$	$(\bar{q}_p \sigma^{\mu\nu} d_r) H B_{\mu\nu}$	$Q_{Hud} + \text{h.c.}$	$i(\tilde{H}^\dagger D_\mu H)(\bar{u}_p \gamma^\mu d_r)$		

# Warsaw Basis: 4-fermion

8 : $(\bar{L}L)(\bar{L}L)$		8 : $(\bar{R}R)(\bar{R}R)$		8 : $(\bar{L}L)(\bar{R}R)$	
$Q_{ll}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	$Q_{ee}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	$Q_{le}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{uu}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	$Q_{lu}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{dd}$	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{ld}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	$Q_{eu}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	$Q_{qe}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	$Q_{ed}$	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
		$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$
		$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
				$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$

8 : $(\bar{L}R)(\bar{R}L) + \text{h.c.}$		8 : $(\bar{L}R)(\bar{L}R) + \text{h.c.}$	
$Q_{ledq}$	$(\bar{l}_p^j e_r)(\bar{d}_s q_{tj})$	$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \epsilon_{jk} (\bar{q}_s^k d_t)$
		$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \epsilon_{jk} (\bar{q}_s^k T^A d_t)$
		$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \epsilon_{jk} (\bar{q}_s^k u_t)$
		$Q_{lequ}^{(3)}$	$(\bar{l}_p^j \sigma_{\mu\nu} e_r) \epsilon_{jk} (\bar{q}_s^k \sigma^{\mu\nu} u_t)$

# Why Loops?

- Electroweak observables have been measured with amazing precision
  - Theory calculations have to match this precision to get full value out of the data

Observable	Experimental Value	Ref.	SM Theoretical Value	Ref.
$\hat{m}_Z$ [GeV]	$91.1875 \pm 0.0021$	[38]	-	-
$\hat{m}_W$ [GeV]	$80.385 \pm 0.015$	[39]	$80.365 \pm 0.004$	[40]
$\sigma_h^0$ [nb]	$41.540 \pm 0.037$	[38]	$41.488 \pm 0.006$	[41]
$\Gamma_Z$ [GeV]	$2.4952 \pm 0.0023$	[38]	$2.4942 \pm 0.0005$	[41]
$R_\ell^0$	$20.767 \pm 0.025$	[38]	$20.751 \pm 0.005$	[41]
$R_b^0$	$0.21629 \pm 0.00066$	[38]	$0.21580 \pm 0.00015$	[41]
$R_c^0$	$0.1721 \pm 0.0030$	[38]	$0.17223 \pm 0.00005$	[41]
$A_{FB}^\ell$	$0.0171 \pm 0.0010$	[38]	$0.01616 \pm 0.00008$	[42]
$A_{FB}^c$	$0.0707 \pm 0.0035$	[38]	$0.0735 \pm 0.0002$	[42]
$A_{FB}^b$	$0.0992 \pm 0.0016$	[38]	$0.1029 \pm 0.0003$	[42]

# Why Loops?

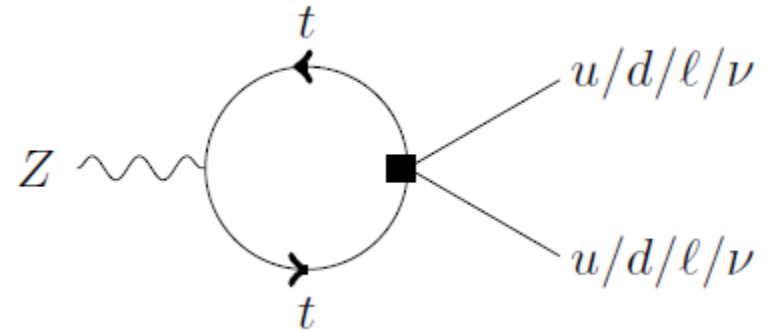
- What is the theory error on a tree-level prediction for EFT effects?
  - Standard loop factor is  $\frac{1}{16\pi^2} \sim 1\%$
  - $\frac{v^2}{\Lambda^2} \sim 1\%$  as well (we hope)
  - Numerical coefficients not known a priori
- SMEFT renormalization known, RG improvement will capture logs
  - For LHC-scale physics logs aren't so large
  - Pure-finite effects can be of comparable size

# Large $y_t, \lambda$ limit

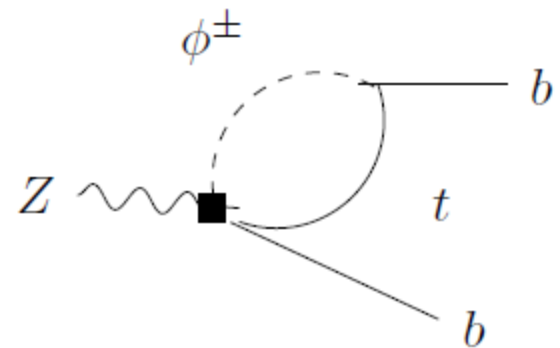
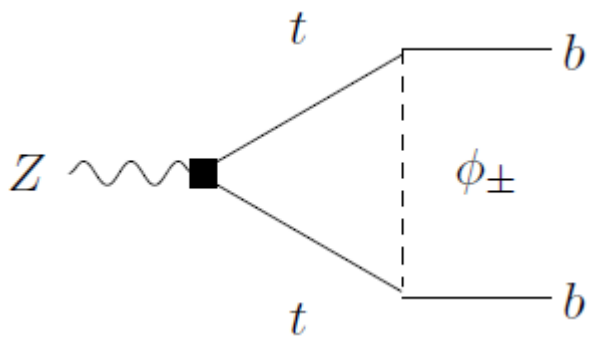
- These two couplings are known to be sizeable
  - Only QCD coupling compares
- Calculations are simpler in vanishing gauge coupling limit
  - Gauge fixing in the presence of D=6 operators leads to additional subtleties
  - Gauge independence assured here
- A good first step toward a full NLO treatment of the problem

# Contributing Operators

- 4-fermion operators:

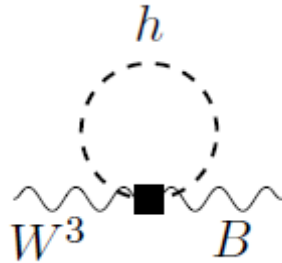


- Scalar-fermionic current operators:

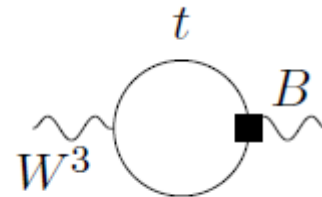
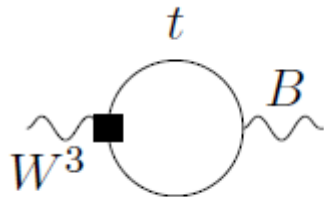


# Contributing Operators

- Gauge-Higgs operators:



- Dipole operators:



# Input Parameters

- Any calculation depends on the inputs used to set the theory parameters
- We use a canonical set of inputs for the SM
  - $\alpha_{EM}, G_F, M_Z, M_t, M_h$
- EFT gives corrections to the extraction of each
- We treat the Wilson coefficients in  $\overline{MS}$  at the NP scale as EFT input parameters to be measured and/or constrained



# Sample Results

$$\begin{aligned}
 \Delta(g_L^d)_{rr} &= \Delta\bar{g}_Z(g_L^d)_{rr}^{SM} + \frac{N_c \hat{m}_t^2}{16\pi^2} \log \left[ \frac{\Lambda^2}{\hat{m}_t^2} \right] \left[ C_{33rr}^{(1)qq} + C_{rr33}^{(1)qq} - C_{33rr}^{(3)qq} - C_{rr33}^{(3)qq} - C_{rr33}^{(1)qu} \right], \\
 &- \frac{1}{2} \left( \frac{\Delta G_F}{\hat{G}_F} + \Delta V^2 \right) \left( C_{rr}^{(1)Hq} + C_{rr}^{(3)Hq} \right) + \delta_{br} \frac{\hat{m}_t^2}{4\pi^2} \left[ C_{3333}^{(3)qq} \left( -1 + \log \left[ \frac{\Lambda^2}{\hat{m}_t^2} \right] \right) \right] - \frac{1}{3} \Delta s_\theta^2, \\
 &- \delta_{br} \frac{\hat{m}_t^2}{16\pi^2} \left[ \left( \frac{1}{4} - \frac{1}{2} \log \left[ \frac{\Lambda^2}{\hat{m}_t^2} \right] \right) C_{Hu} + C_{Hq}^{(1)} \right] - \delta_{br} \Delta R_b^L \left( (g_L^d)_{rr}^{SM} + \delta(g_L^d)_{rr} \right), \\
 &- \delta_{br} \frac{\hat{m}_t^2}{16\pi^2} C_{Hq}^{(3)} \left[ \frac{1}{2} - Q_b s_\theta^2 + (3 - 2 Q_b s_\theta^2) \log \left[ \frac{\Lambda^2}{\hat{m}_t^2} \right] \right], \\
 &- \delta_{br} \frac{\hat{m}_t^2}{4\pi} \tilde{\alpha} (c_\theta^2 - s_\theta^2) C_{HWB} (Q_u - 1) \left[ \frac{3}{2} + \log \left[ \frac{\Lambda^2}{\hat{m}_t^2} \right] \right],
 \end{aligned}$$

$$\begin{aligned}
 \Delta\Gamma_{Z \rightarrow Had} &= 2 \Delta\Gamma_{Z\bar{u}u} + 2 \Delta\Gamma_{Z\bar{d}d} + \Delta\Gamma_{Z\bar{b}b}, \\
 &= \frac{\sqrt{2} \hat{G}_F \hat{m}_Z^3}{6\pi} \left[ 4 (g_R^u + \delta g_R^u) \Delta g_R^u + 4 (g_L^u + \delta g_L^u) \Delta g_L^u + 4 (g_R^d + \delta g_R^d) \Delta g_R^d \right] \\
 &+ \frac{\sqrt{2} \hat{G}_F \hat{m}_Z^3}{6\pi} \left[ 4 (g_L^d + \delta g_L^d) \Delta g_L^d + 2 (g_R^b + \delta g_R^b) \Delta g_R^b + 2 (g_L^b + \delta g_L^b) \Delta g_L^b \right]
 \end{aligned}$$

# Numerics

The  $\delta$  correction to  $\bar{R}_\ell^b$  is given by

$$\begin{aligned} \frac{\delta R_b^0}{10^{-2}} = & -0.192 C_{Hd} + 0.039 C_{HD} + 0.158 C_{H\ell}^{(3)} + 2.13 C_{Hq}^{(1)} - 0.055 C_{Hq}^{(3)}, \\ & -0.494 C_{Hu} + 0.043 C_{HWB} - 0.079 C_{\ell\ell}. \end{aligned} \quad (7.35)$$

Similarly, the  $\delta \Delta$  correction to  $\bar{R}_b^0$  has the contributions

$$\begin{aligned} \frac{\delta \Delta R_b^0}{10^{-3}} = & \left[ (0.036 \Delta \bar{v}_T + 0.083) C_{Hd} + (0.011 \Delta \bar{v}_T + 0.013) C_{HD} + (0.084 \Delta \bar{v}_T - 0.014) C_{H\ell}^{(3)}, \right. \\ & - (0.085 \Delta \bar{v}_T + 0.152) C_{Hq}^{(1)} - (0.016 \Delta \bar{v}_T + 0.019) C_{Hq}^{(3)} + (0.099 \Delta \bar{v}_T + 0.208) C_{Hu}, \\ & - (0.042 \Delta \bar{v}_T - 0.007) C_{\ell\ell} + (0.013 \Delta \bar{v}_T + 0.009) C_{HWB} - 0.015 C_{\ell q}^{(3)}, \\ & \left. + 0.597 C_{qq}^{(3)} + 0.047 C_{uH} - 0.006 (C_{HB} + C_{HW}) - 0.106 \Delta v \right], \end{aligned} \quad (7.36)$$

and the  $\delta \Delta$  correction to  $R_b^u$  also has the logarithmic terms

$$\begin{aligned} \frac{\delta \Delta R_b^0}{10^{-3}} = & \left[ 0.129 C_{Hd} + 0.025 C_{HD} + 0.067 C_{H\ell}^{(3)} - 0.559 C_{Hq}^{(1)} + 0.383 C_{Hq}^{(3)} + 0.240 C_{Hu}, \right. \\ & + 0.023 C_{HWB} - 0.049 C_{\ell\ell} + 0.030 C_{\ell q}^{(3)} + 0.036 \left( C_{qd}^{(1)} - C_{ud}^{(1)} \right) - 0.618 C_{qq}^{(3)}, \\ & - 0.803 C_{qq}^{(1)} + 0.494 C_{qu}^{(1)} - 0.002 C_{uB} + 0.032 C_{uH} - 0.004 C_{uW} - 0.186 C_{uu} \left. \right] \log \left[ \frac{\Lambda^2}{\hat{m}_t^2} \right] \\ & + \left[ -8.94 \times 10^{-7} C_{HD} + \left( 0.313 C_{Hd} - 3.49 C_{Hq}^{(1)} + 0.090 C_{Hq}^{(3)} - 0.258 C_{H\ell}^{(3)}, \right. \right. \\ & \left. \left. + 0.808 C_{Hu} + 0.129 C_{\ell\ell} - 0.020 C_{HWB} \right) 10^{-2} \right] \log \left[ \frac{\Lambda^2}{\hat{m}_h^2} \right]. \end{aligned} \quad (7.37)$$

# Phenomenology

- Counting is all that's needed for the most important point
- NLO corrections have introduced dependence on (neglecting flavor indices):
  - 3 Higgs-gauge Cs
  - 2 Dipole Cs
  - 7 Higgs-fermion current Cs
  - 9 four-fermion Cs
- At this level of precision, we can measure only 5 Z pole observables ( $A_{FB}$  goes beyond NWA)

# Phenomenology

- Recall that at tree level there were flat directions in Z pole observables
  - Lifted by TGC measurements
- With this increase in relevant parameters, all of EWPD not enough to constrain the EFT
- The lesson: loop corrections cannot be constrained by EWPD alone, thus EWPD bounds (at tree level) can never be more precise than a loop factor on WCs

# Where else can we look?

- There is a huge body of data outside of LEP precision measurements; how can we exploit this to constrain this framework?
- Canonical choice is to plug EFT interactions into Monte Carlo tools and constrain what comes out
- Greatest challenge to such a search is the concern about EFT consistency; this description breaks down when the new particles are light enough
  - Ensuring EFT internal consistency is the best model-independent way of addressing this concern

# Ideal EFT Search

- Ideally, we want to be able to treat the theory errors as measurable nuisance parameters
  - Often possible for systematics, occasionally used for e.g. normalizations of EW corrections
- Since we aren't calculating the full dim-8 effect anytime soon, we have to rely on the EFT structure to do this
- Power series in inverse cutoff scale is the only robust prediction of the EFT

# Ideal EFT Search

- The best way to utilize this feature is to fit the data in dijet mass, integrated over angles
  - Removes angular uncertainties
- $\sigma = \sigma_{SM} \left( 1 + \sum_1^{\infty} c_n \frac{m_{jj}^{2n}}{\Lambda^{2n}} \right)$ 
  - ‘Signal’ is linear term, predicted in terms of dim-6 operator Wilson coefficients
- Theory error now probed by sensitivity to series truncation

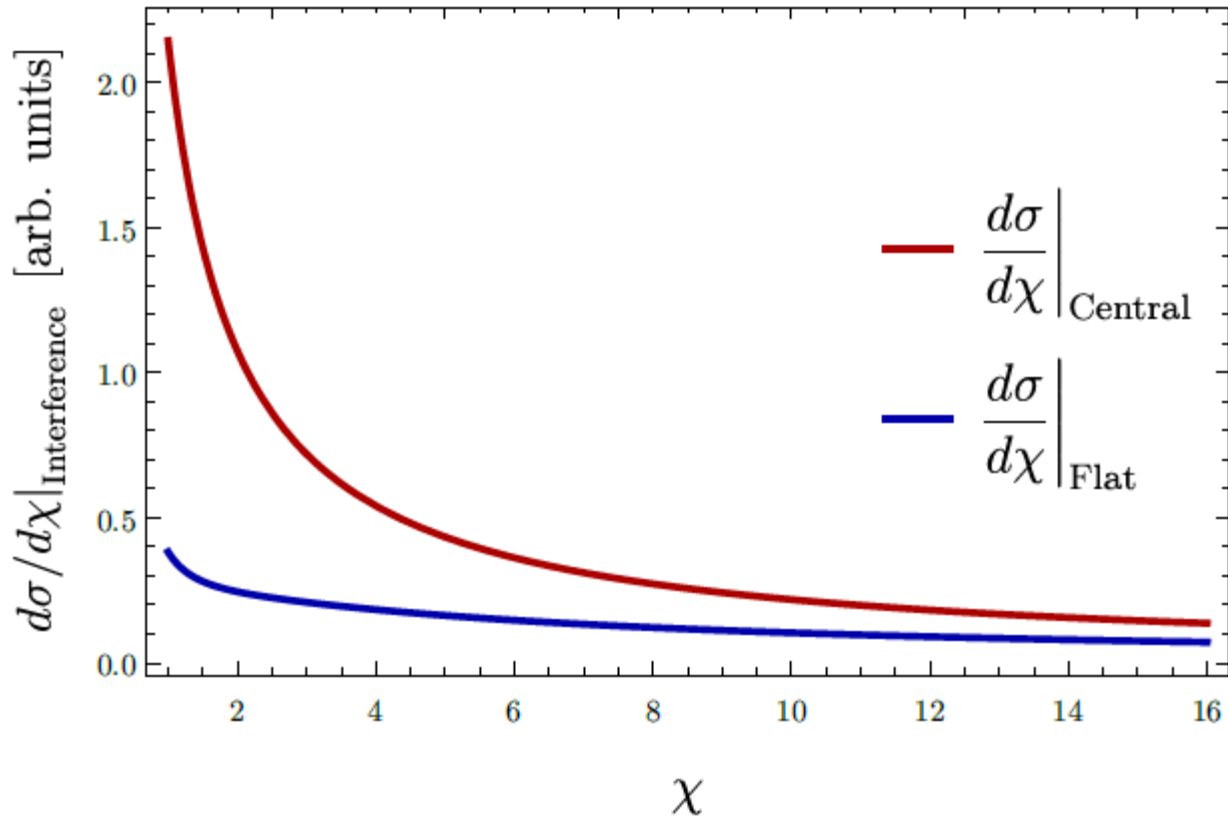
# Real-World Problems

- $\sigma \neq \sigma_{SM} \left( 1 + \sum_1^\infty c_n \frac{m_{jj}^{2n}}{\Lambda^{2n}} \right)$ 
  - Different PDF contributions to different order
  - contributions to cross section
  - Indicates that errors cannot be fit away cleanly for unknown higher-order effects
- A combination of signal shape fitting with error estimation is the best we can do



# Dijets from EFT

$$\left. \frac{d\sigma}{d\chi} \right|_{\text{Central}} \propto - \left( c_{qq}^{(1)} + 0.61 c_{qq}^{(3)} + 0.85 c_{uu} + 0.15 c_{dd} + 0.20 c_{ud}^{(8)} \right) \quad \left. \frac{d\sigma}{d\chi} \right|_{\text{Flat}} \propto - \left( c_{qu}^{(8)} + 0.45 c_{qd}^{(8)} \right)$$



# Quark Compositeness

- Searches originally proposed by Eichten, Lane, and Peskin in 1983, they posit some contact interaction between quarks
- This is not an EFT treatment, nor is it meant to be; it's a specific UV model
- To do a proper EFT expansion requires care
  - Consider the errors arising from unknown (or neglected) operators
  - Investigate the effects of all operators at a given power-counting order on the given observable

# Compositeness Search Signal

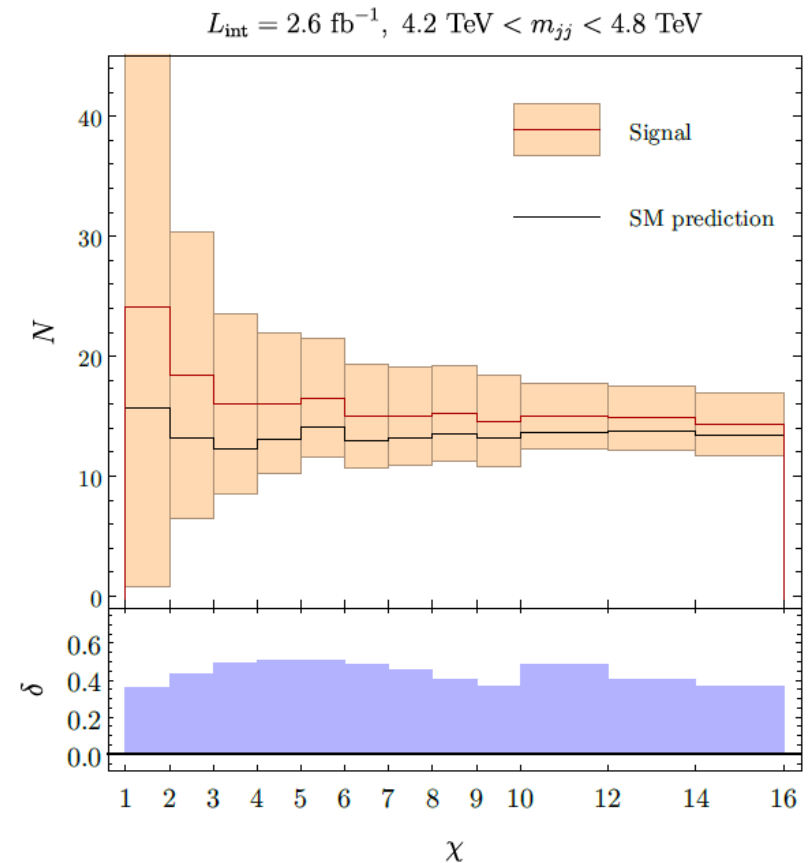
- The quark compositeness search has kept all terms naively predicted by the dimension 6 operator  $Q_{qq}^{(1)}$ , including squared term
- This is strongly centrally peaked, as the interference is central and the squared term even more so
- Thus, a search in angular variables is a natural technique to distinguish it from the SM

# EFT error treatment

- The consistent EFT treatment is to expand the observable in a power series
  - Cross section, not amplitude
- Must include the full set of contributing operators at dim-6
  - Surprisingly, only two independent angular distributions contribute strongly
  - Remaining small differences arise from PDF evolution
- As we only have the full dim-6 contribution, everything else ought to be discarded
- The dim-6 squared piece is a proxy for the size of the unknown total dim-8 contribution
  - Note that additional operators needn't give correlated angular distribution

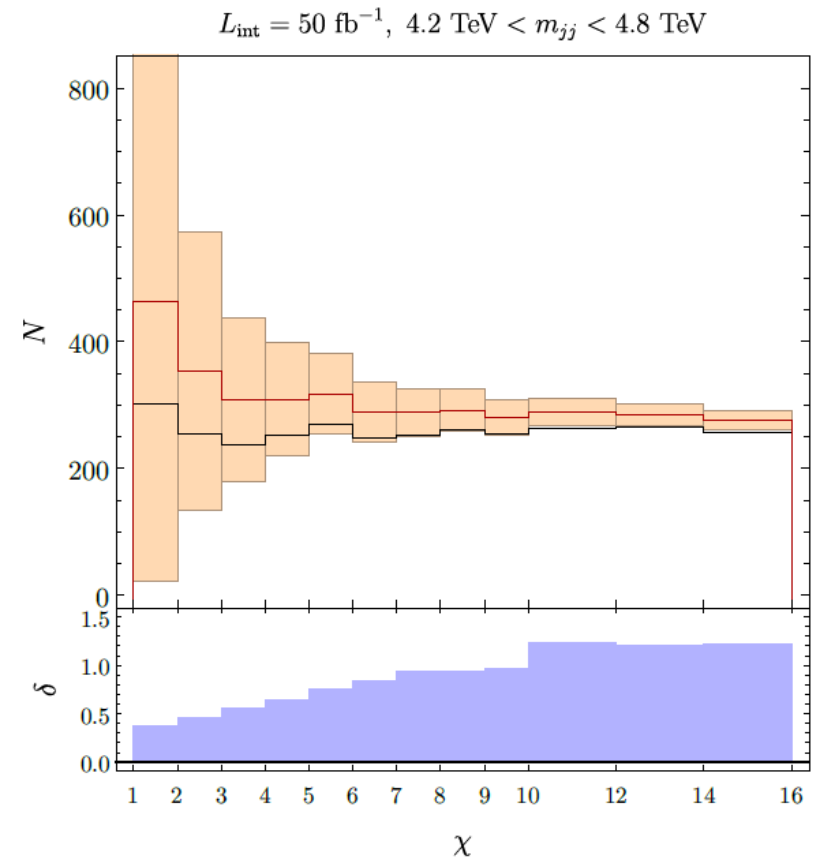
# Search in Un-Normalized Distributions

- There can be large systematic differences between signal and background if we don't discard total cross-section information
- These analyses are bounded by EFT error at low  $\chi$ , but statistics are important elsewhere



# Search in Un-Normalized Distributions

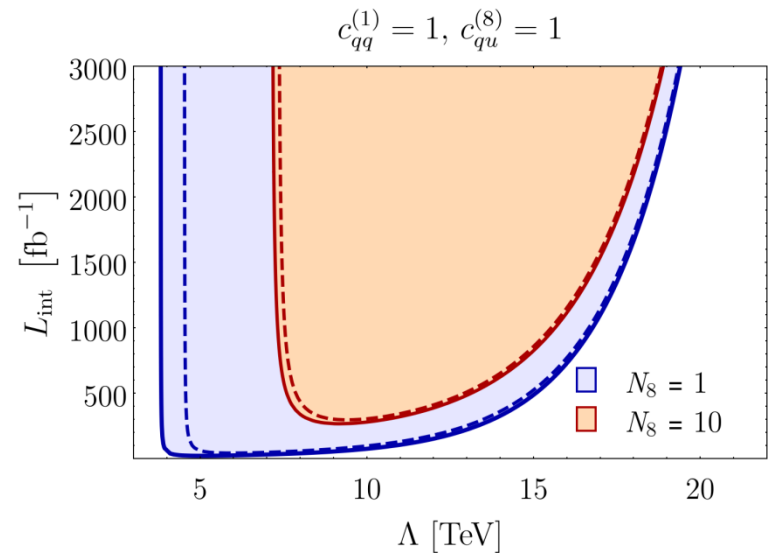
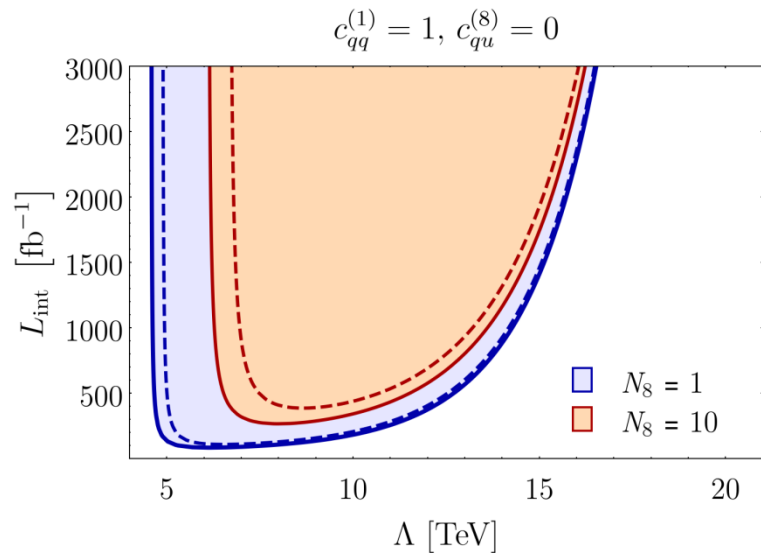
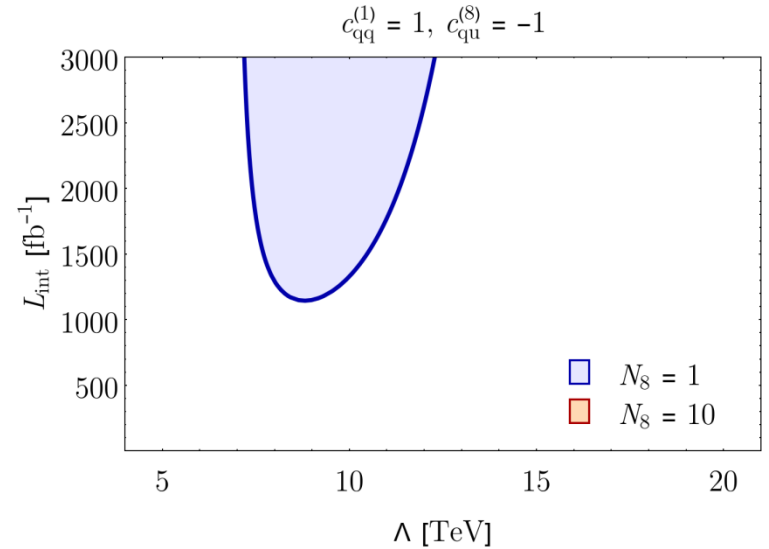
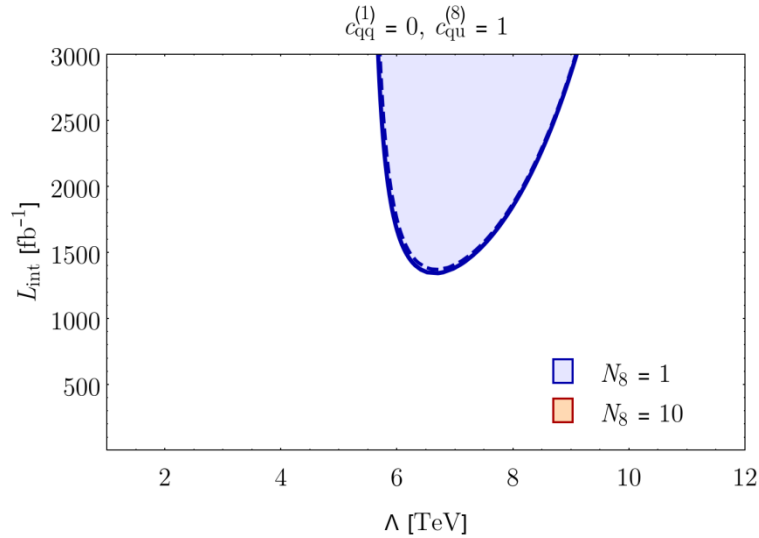
- There can be large systematic differences between signal and background if we don't discard total cross-section information
- These analyses are bounded by EFT error at low  $\chi$ , but statistics are important elsewhere



# Interpretation of EFT Bounds

- EFT signal size is only sensitive to the combination  $c_i/\Lambda^2$ , cannot distinguish the two
  - Broken weakly by RG effects
- This leaves us two ways to interpret the bounds coming from any EFT search
  - If we fix the new physics scale, searches bound Wilson coefficients
  - Fixed coefficients lead to bounds on mass scale

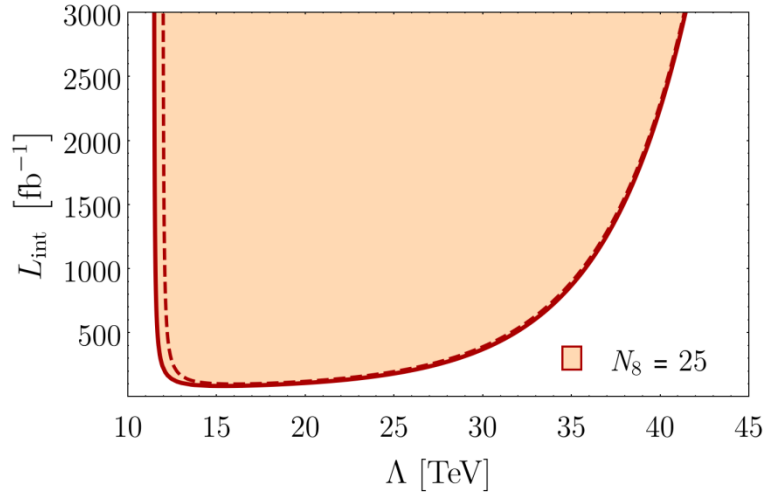
# Reach: Fixed Wilson Coefficient



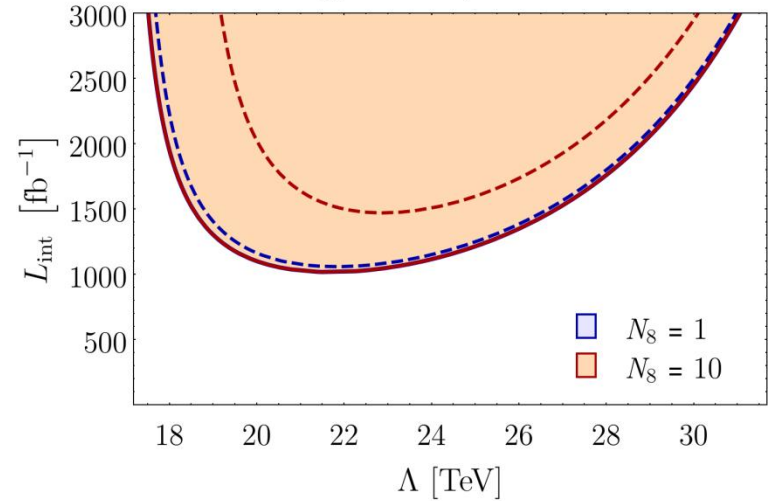


# Reach: Fixed Wilson Coefficient

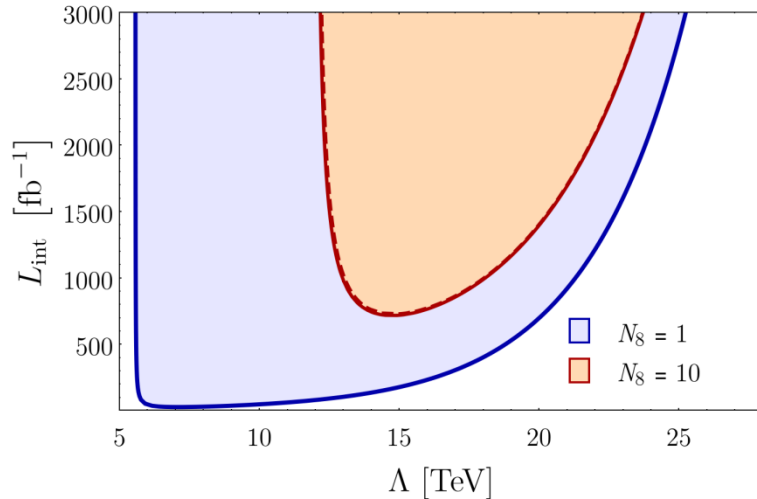
$$c_{qq}^{(1)} = 2\pi, c_{qu}^{(8)} = 0$$



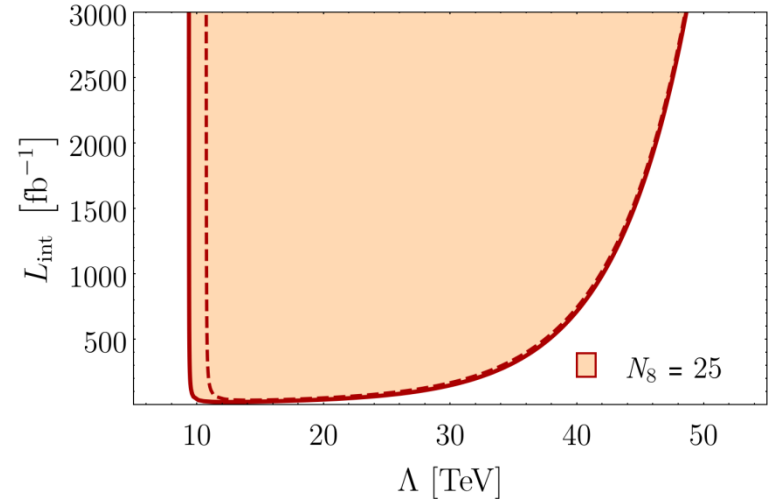
$$c_{qq}^{(1)} = 2\pi, c_{qu}^{(8)} = -2\pi$$



$$c_{qq}^{(1)} = 0, c_{qu}^{(8)} = 2\pi$$

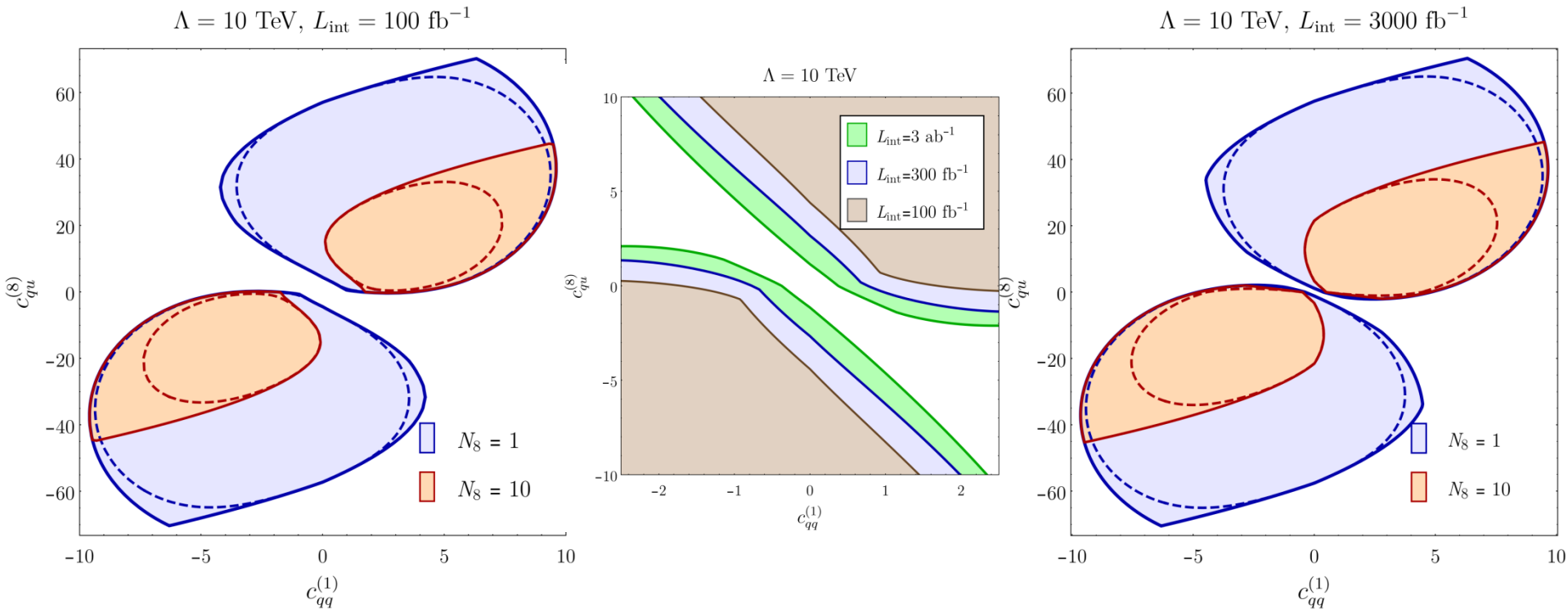


$$c_{qq}^{(1)} = 2\pi, c_{qu}^{(8)} = 2\pi$$



# Reach: Fixed NP Scale

- For large  $N_8$ , only a narrow angle in coupling space can be constrained



# Dileptons from SMEFT

- Additional effects arise in dilepton production compared to dijets
  - Z couplings can be reefined by SMEFT operator contributions
- In this process, however, only four-fermion operators give amplitudes growing with energy

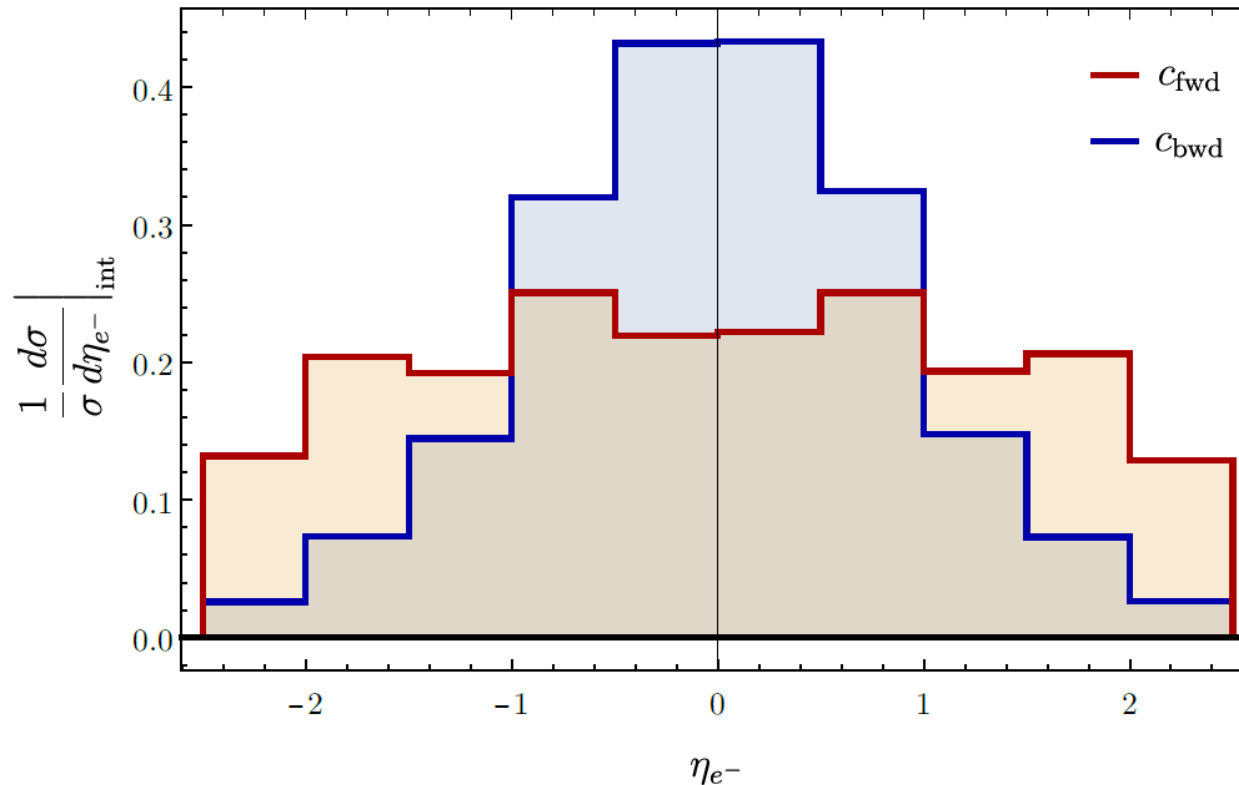
$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_p) (\bar{q}_s \gamma^\mu q_s)$	$Q_{lu}$	$(\bar{l}_p \gamma_\mu l_p) (\bar{u}_s \gamma^\mu u_s)$
$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_p) (\bar{q}_s \gamma^\mu \tau^I q_s)$	$Q_{ld}$	$(\bar{l}_p \gamma_\mu l_p) (\bar{d}_s \gamma^\mu d_s)$
$Q_{eu}$	$(\bar{e}_p \gamma_\mu e_p) (\bar{u}_s \gamma^\mu u_s)$	$Q_{qe}$	$(\bar{q}_p \gamma_\mu q_p) (\bar{e}_s \gamma^\mu e_s)$
$Q_{ed}$	$(\bar{e}_p \gamma_\mu e_p) (\bar{d}_s \gamma^\mu d_s)$		

# Forward/Backward production

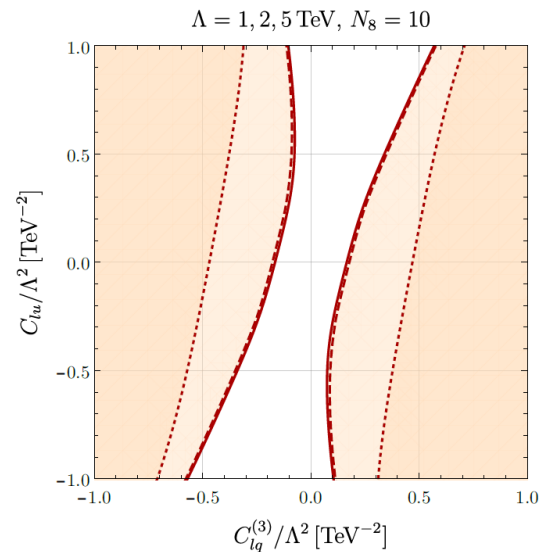
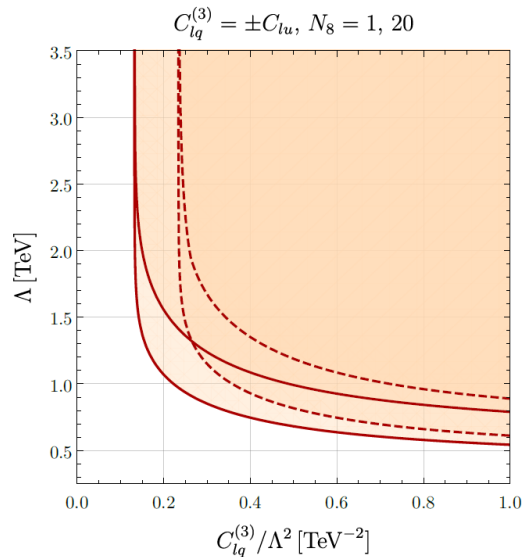
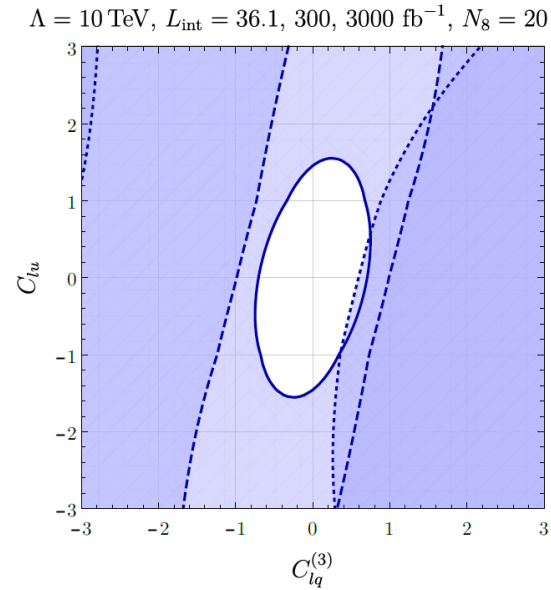
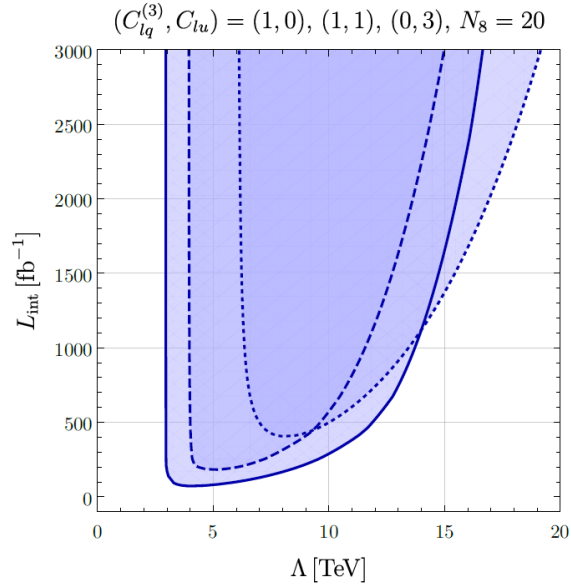
$$c_{\text{fwd}} = C_{lq}^{(3)} - 0.48 C_{eu} - 0.33 C_{lq}^{(1)} + 0.15 C_{ed}$$

$$c_{\text{bwd}} = C_{lu} + 0.81 C_{qe} - 0.33 C_{ld}$$

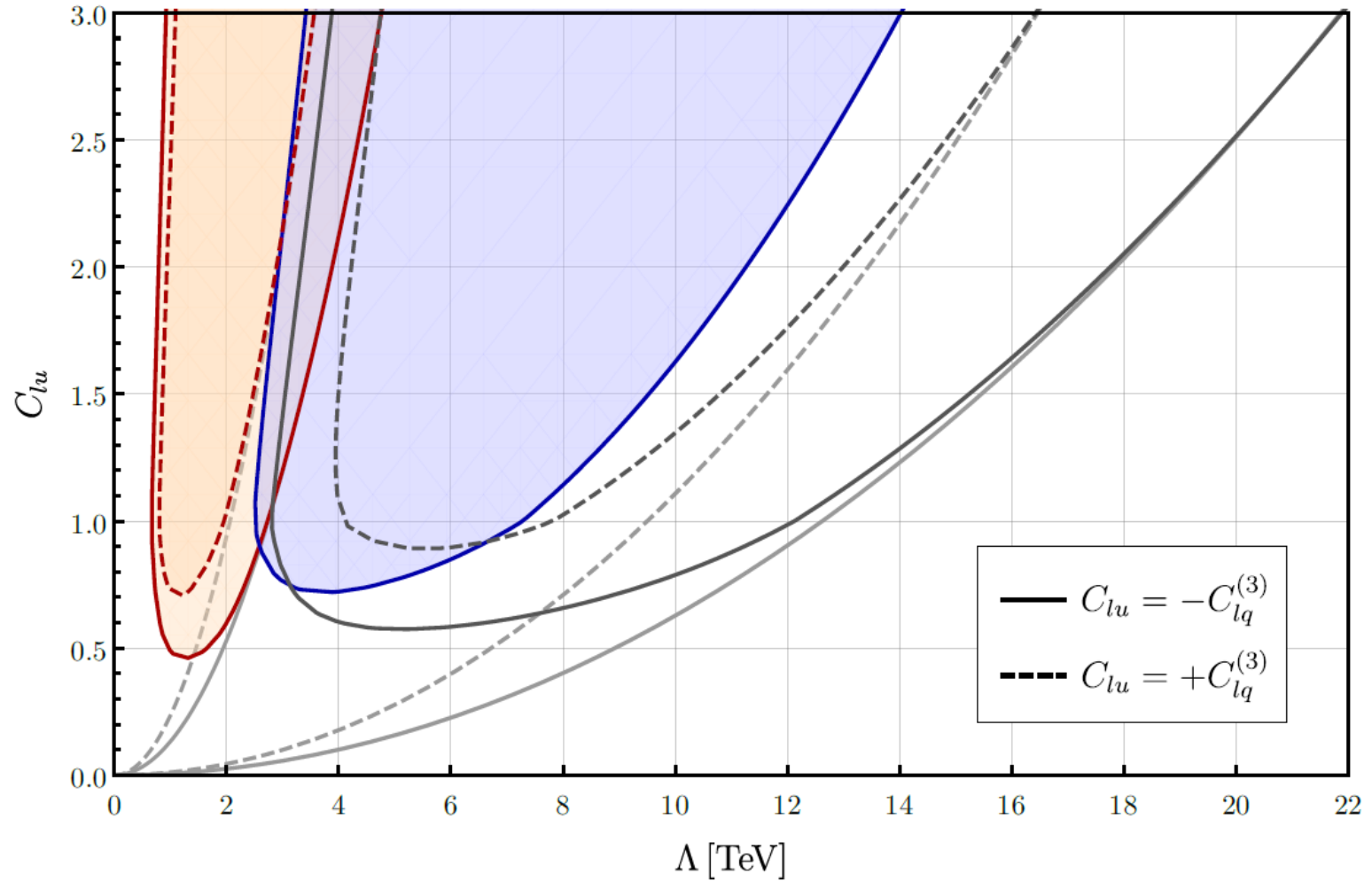
$1200 \text{ GeV} \leq m_{ll} < 1800 \text{ GeV}$



# LHC and Tevatron Sensitivity



CDF@9.4 fb<sup>-1</sup> vs ATLAS@36.1 fb<sup>-1</sup> vs ATLAS@300 fb<sup>-1</sup>, N<sub>8</sub> = 20



# Conclusions

- We have excellent data available, and must have enough respect for that to understand our new physics predictions at comparable precision
- In the most model-independent formulation of heavy new physics, the NLO predictions are under-constrained by low energy data
  - LO fits should include an honest appraisal of NLO corrections, not make overly-strong claims
- A truly global analysis will be needed to properly constrain the EFT without UV assumptions
  - Developing more observables that can be consistently constrained is an important future path for this field
  - Dijets and dileptons are a first step toward this global analysis goal; other directions ongoing, but much still to do

# The Take-Away

- Setting shifts in EW observables to zero for the purposes of further searches does not give model-independent results
- Neglecting theory errors gets our analyses ignored by model-builders, who should be our biggest customers, so definitely stop doing that!
  - Produce results that they can't evade by utilizing an honest error estimate
  - 'New and improved' sales pitch needed to bring them back
  - Push back against any claim that a model can always be built to evade our EFT results



**Thank You!**

# Backup: Flavor Matching

# MFV and the SMEFT

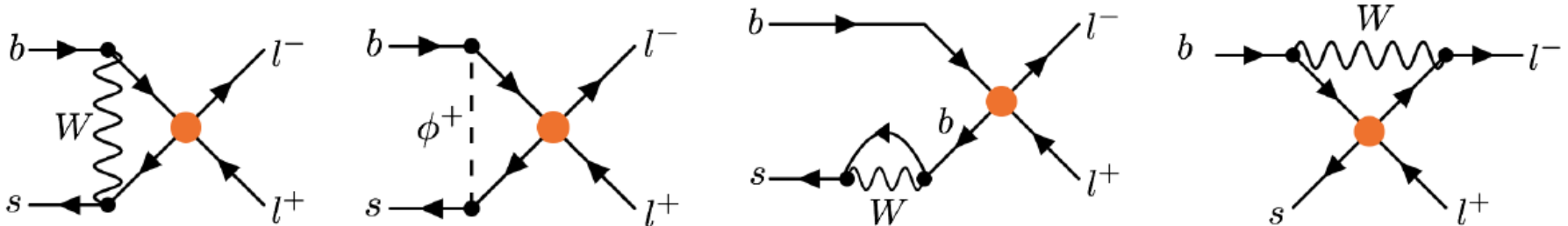
- We can insist that all flavor violation is given by powers of Yukawa matrices
  - Allowing arbitrary powers returns back to the full flavor-violation basis, with an approximate  $U(2)^2$
- Allowing no CP or flavor violation leaves only 16+20 parameters, linear flavor violation permits an additional 11 operators
- SM loops still generate obligatory FV effects which involve these new physics interactions

# Matching SMEFT to WET

- Given loop-origin of FV in this ansatz, focus on down-type neutral transitions
  - Grants access to large top-Yukawa effects
  - SM process also at loop level
- WET operators of interest are dipoles and 4-fermi interactions
  - Standard basis for b-physics labels these as O1-10
  - For cleaner observables involving photons or leptons, O7-10 are most relevant

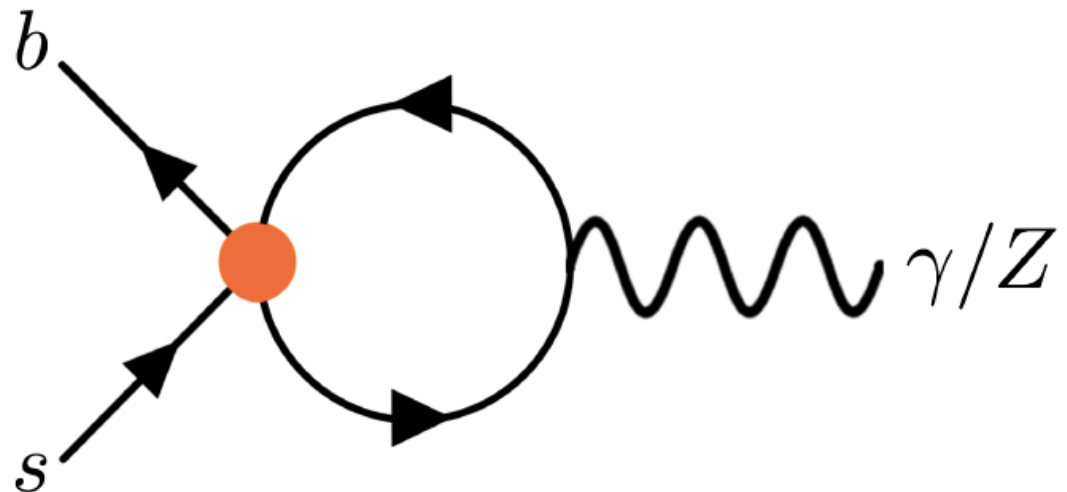
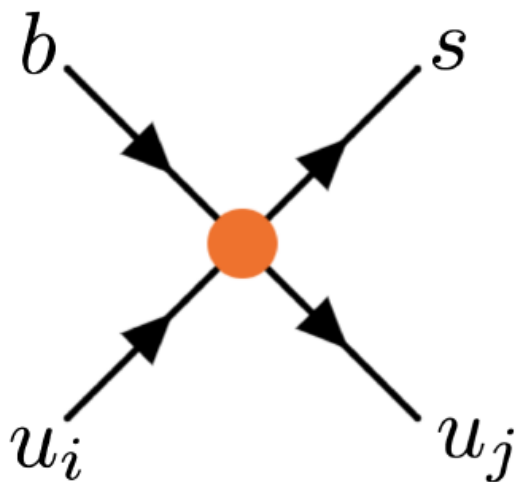
# 4-fermi operators

- Most 4-fermion operators that contribute are mixed quark-lepton operators
- SM charged-current loop then gives access to flavor changing effects
  - Non-top effects cancel mass-independent terms by GIM



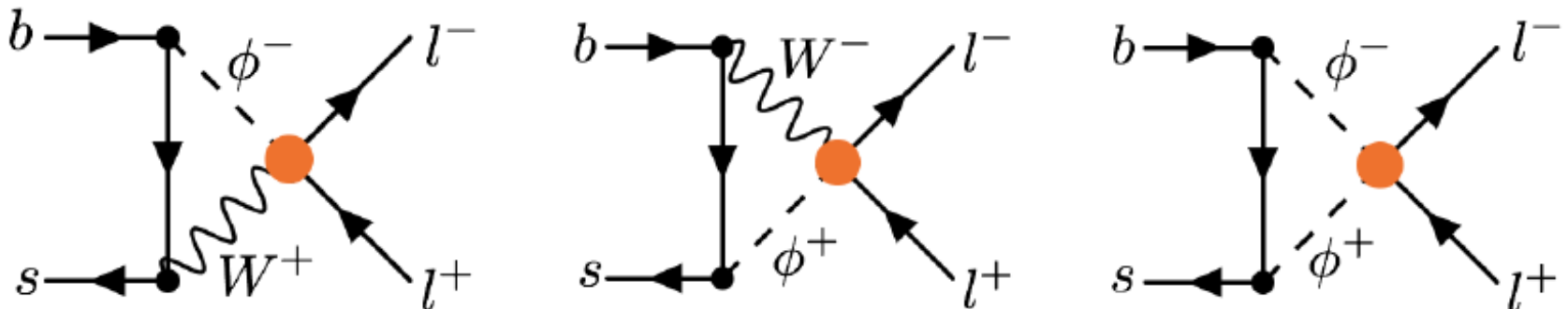
# 4-fermi operators – tree level FCNCs

- 4-doublet operators can yield tree-level flavor changes due to CKM effects
- These will run into observable operators either with explicit matching or WET running



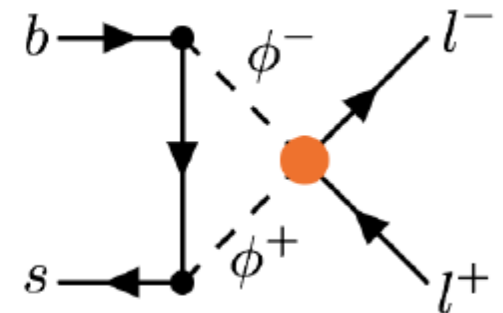
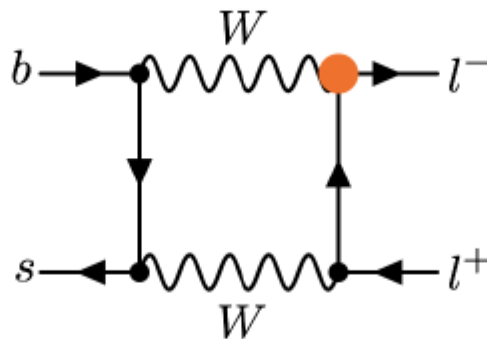
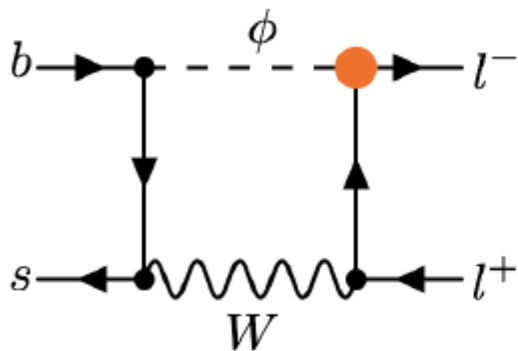
# Higgs-leptonic current operators

- Correct Z coupling to leptons
  - Tree-level effect in Z-pole data
- Also give new graphs
  - Necessary to achieve gauge invariant final answer



# Higgs-leptonic current operators

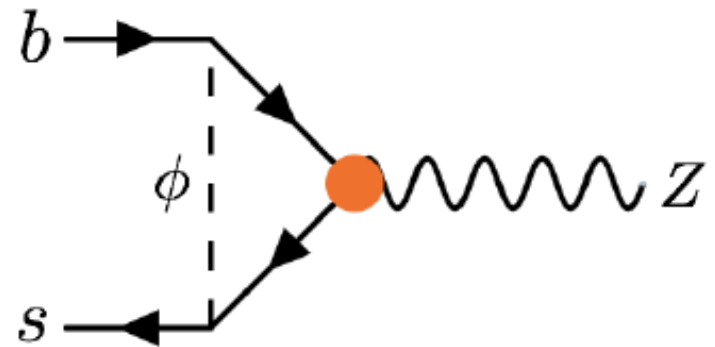
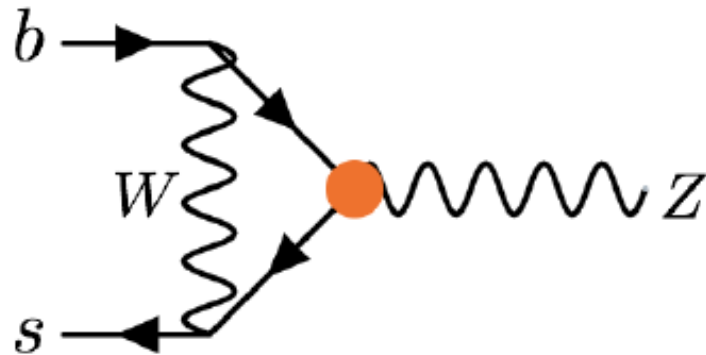
- Triplet operators give corrections to W and Z couplings to leptons
- Again also generate new diagrams important for gauge invariance





# Higgs-quark current operators

- Correct couplings of Z to quarks
  - Triplet operator also corrects coupling of W
- Yield new bubble-type graphs with 4-point interaction



# Input parameter effects

- Importantly, input parameter shifts also play a role in this process
- Gives sensitivity to e.g. four-lepton operator
- Unavoidable consequence of QFT
  - Lagrangian parameters are not observables
  - Must calculate all observables in same theory
- These contributions have been neglected in the flavor literature thus far

# Flavor Conclusions

- In the flavor sector we will have access to about 8 new constraints in the SMEFT parameter space from B, K decays and mixings
- A phenomenological analysis of these constraints (and how they play together with Precision EW) is underway – stay tuned.