

Monte Carlo generators and parton showers

– status and trends –

Steffen Schumann



Institut für Theoretische Physik, Universität Göttingen



PSR Vienna

11/06/19

GEFÖRDERT VOM



Bundesministerium
für Bildung
und Forschung

Monte Carlo Event Generators – work horses

Stochastic simulation of fully exclusive collision events

[Buckley et al. Phys. Rept. 504 (2011) 145]

→ factorize short- & long range physics

- perturbative phases

- **Hard interaction**

exact matrix elements $|\mathcal{M}|^2$

LO,NLO,NNLO – QCD, NLO – EW

- **Radiativ corrections**

parton showers in the initial and final state

resummation of soft-collinear logs: LL, NLL

- non-perturbative phases

- **Hadronization**

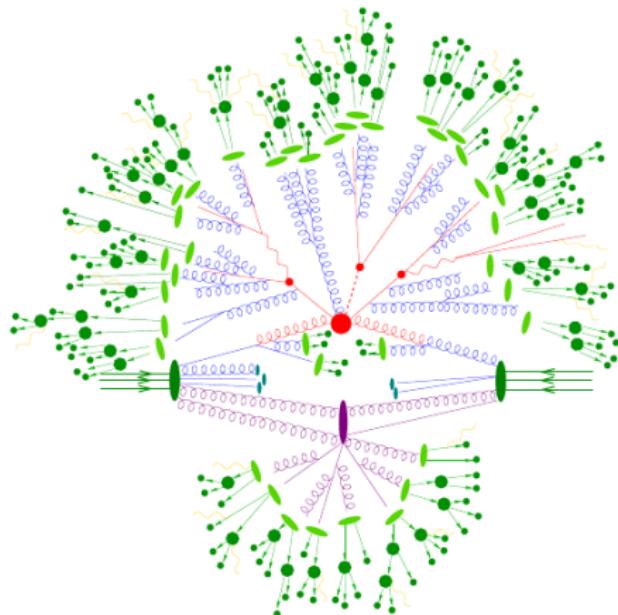
parton–hadron transition

- **Hadron Decays**

phase space or effective theories

- **Underlying Event**

beyond factorization: modelling



- general purpose generators: PYTHIA, HERWIG, SHERPA
- dedicated to matching/merging: POWHEGBox, MADGRAPH5-AMC@NLO

Monte Carlo Event Generators – work horses

PYTHIA (latest release 8.240)



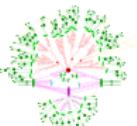
- p_T ordered (dipole inspired) parton shower
- automatic shower variations [[Mrenna, Skands](#)]
- string fragmentation model
- sophisticated underlying event, non-perturbative models
- VINCIA and DIRE supported as plugins

HERWIG (latest release 7.1.5)



- angular-ordered and CS dipole shower
- interfaces to ME generators
- on-the-fly uncertainty variations [[Bellm et al.](#)]
- cluster hadronization model
- generic matching/merging implementations
- underlying event & soft interactions

The SHERPA 2.2 event generator framework

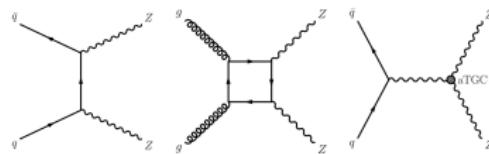
User Inputs	Matrix Elements	Parton Showers	Soft Physics	Interfaces/Outputs
<p>Initial Beams</p> <ul style="list-style-type: none">• collider setup• PDFs (built-in, LHAPDF)• beam spectra	<p>Matrix Element Generators</p> <ul style="list-style-type: none">• AMEGIC• COMIX• CS subtraction <p>1-loop Amplitudes</p> <ul style="list-style-type: none">• OpenLoops• Recola• GoSam• BLHA 	<p>CS-Shower (default)</p> <ul style="list-style-type: none">• dipole shower• fully massive• QED splittings <p>DIRE</p> <ul style="list-style-type: none">• hybrid dipole-parton shower algorithm• fully massive 	<p>Hadronisation</p> <ul style="list-style-type: none">• AHADIC: a cluster fragmentation model• interface to Pythia string fragmentation 	<p>Output Formats</p> <ul style="list-style-type: none">• HepMC• LHEF• Root Ntuple 
<p>Parameters/Models</p> <ul style="list-style-type: none">• FeynRules/UFO• couplings• masses• variations• shower settings• non-perturbative parameters			<p>Hadron Decays</p> <ul style="list-style-type: none">• decay tables for hadronic resonances• dedicated form-factor models, e.g. τ, B, Λ• spin correlations• YFS QED corrections• partonic channels	<p>Interfaces</p> <ul style="list-style-type: none">• RIVET analyses• C++/Python ME access• MCgrid• integration into ATLAS/CMS 
<p>Physics Process</p> <ul style="list-style-type: none">• parton level• perturbative order (QCD/EW)• selectors• matching/merging• partonic decays 	<p>Matching and Merging</p> <p>Automated MC@NLO style matching</p> <p>Multijet-merging algorithms</p> <ul style="list-style-type: none">• based on truncated showers• tree-level and one-loop matrix elements: MEPS@LO and MEPS@NLO• approximate electroweak corrections <p>NNLO QCD with parton showers</p> <ul style="list-style-type: none">• selected processes only		<p>Underlying Event</p> <ul style="list-style-type: none">• multiple parton interactions• beam-remnant colours• intrinsic transverse momentum	<p>Code/Docu</p> <ul style="list-style-type: none">• HepForge• GitLab• online documentation <p>sherpa.hepforge.org</p> <p>gitlab.com/sherpa-team/sherpa</p>

Precision Pheno: $ZZ \rightarrow ll\nu\nu$ production

Signals and backgrounds for SM measurements and BSM searches

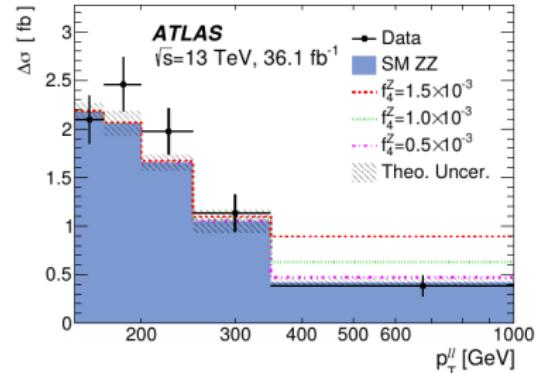
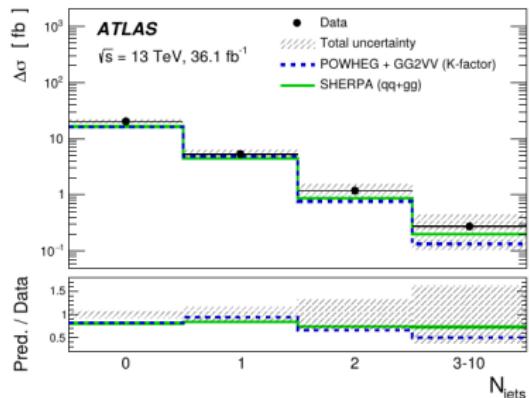
[Aaboud et al.: arXiv:1905.07163 [hep-ex]]

- direct & loop-induced channels
- sensitivity to aTGC



↪ uncertainty estimates needed

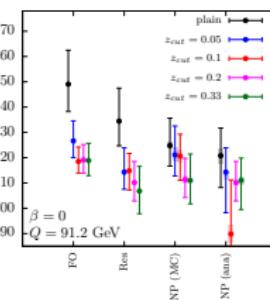
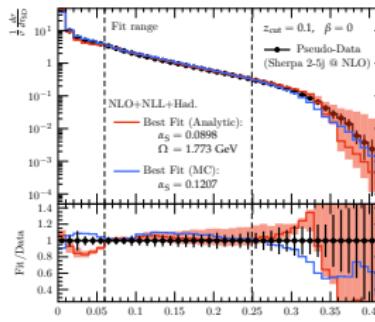
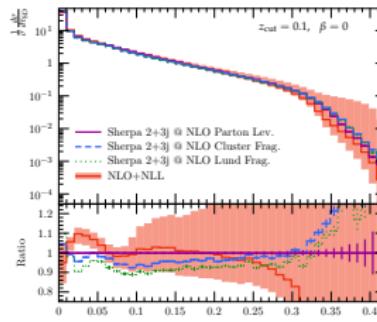
Process	Generator	Simulation accuracy	Cross-section accuracy
$qqZZ$	POWHEG-BOX v2 + Pythia8.186	NLO QCD	NNLO QCD + NLO EW
	SHERPA2.2.2	NLO QCD 0-1p, LO QCD 2-3p	
$ggZZ$	gg2vv3.1.6 + Pythia8.186	LO QCD	NLO QCD
	SHERPA2.1.1	LO QCD 0-1p	
$qqZZ$ (aTGCs)	SHERPA2.1.1	NLO QCD 0-1p, LO QCD 2-3p	
WZ	POWHEG-BOX v2 + Pythia8.186 POWHEG-BOX v2 + HERWIG++	NLO QCD	
WW	POWHEG-BOX v2 + Pythia8.186	NLO QCD	
$qqZZ \rightarrow 4l$	POWHEG-BOX v2 + Pythia8.186	NLO QCD	NNLO QCD + NLO EW
$ggZZ \rightarrow 4l$	gg2vv3.1.6 + Pythia8.186	LO QCD	NLO QCD
$Z + \text{jets}$	SHERPA2.2.1	NLO QCD 0-2p, LO QCD 3-5p	NNLO QCD
$t\bar{t}$	POWHEG-BOX v2 + Pythia6.428	NLO QCD	NNLO QCD
Wt	POWHEG-BOX v2 + Pythia6.428	NLO QCD	NNLO QCD
VVV	SHERPA2.1.1	NLO QCD	
iV	MADGRAPH5_AMC@NLO + Pythia8.186	LO QCD	NLO QCD



Precision Pheno: α_s extractions from $e^+e^- \rightarrow \text{hadrons}$

α_s fits affected by non-perturbative corrections

- resummed predictions with hadronization corrections from Monte Carlo
- uncertainty estimate via different generators/fragmentation models
 → pitfall, PS lacks higher-order terms
- Jet-rates @ NNLO+NNLL [Verbytskyi et al.: arXiv:1902.08158 [hep-ph]]
$$\alpha_s(M_Z) = 0.11881 \pm 0.00063(\text{exp.}) \pm 0.00101(\text{hadr.}) \pm 0.00045(\text{ren.}) \pm 0.00034(\text{res.})$$
- Soft-drop thrust @ NLO+NLL [Marzani et al.: arXiv:1906.XXYY [hep-ph]]
 - consider thrust shape with soft-drop grooming [Baron et al.]
 - features reduced hadronization corrections
 → uncertainty estimated from cluster model vs. Lund string



Pushing Frontiers

Electroweak Physics

- automation of NLO EW corrections [Frederix et al.; Schönherr et al.]
- approximate NLO EW in MEPS@NLO simulations [Kallweit et al.]

QCD Shower Improvements

- new shower-development platforms: DEDUCTOR [Nagy, Soper], DIRE [Höche, Prestel], HEJ [Andersen et al.], VINCIA [Skands et al.]
- shower logarithmic accuracy [Dreyer et al.; Richardson et al.; Reichelt et al.]
- spin correlations [Richardson et al.]
- resonance-aware subtraction/matching [Ježo, Nason; Liebschner et al.]
- beyond leading color
 - $N_C = 3$ corrections for real emissions [Plätzer et al.; Isaacson, Prestel]
 - evolution beyond LC+ with DEDUCTOR [Nagy, Soper]
 - amplitude-based evolution [Forshaw et al.]
 - perturbative color reconnection [Bellm; Gieseke et al.]
- higher-order corrections [Dulat et al.]

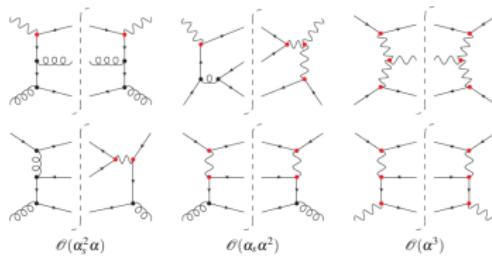
spin-offs

- frameworks for automated resummation [Becher et al.; Reichelt et al.]

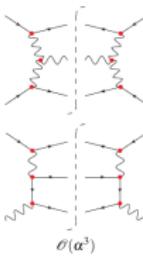
Electroweak Corrections

parametrically $\alpha_s^n \alpha \approx \alpha_s^{n+2}$, Sudakov enhancements

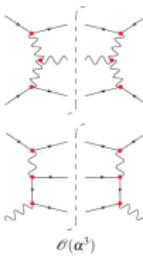
↪ photonic initial states, EW Born & Loop corrections, QED reals



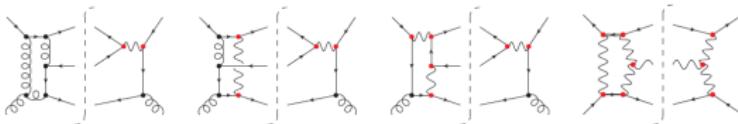
$\mathcal{O}(\alpha_s^2 \alpha)$



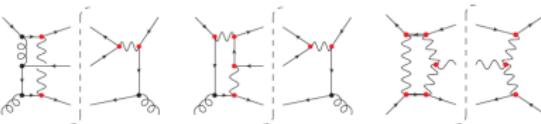
$\mathcal{O}(\alpha_s \alpha^2)$



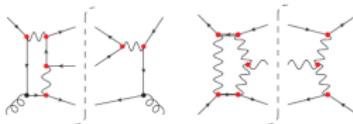
$\mathcal{O}(\alpha^3)$



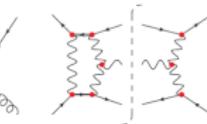
$\mathcal{O}(\alpha_s^3 \alpha)$



$\mathcal{O}(\alpha_s^2 \alpha^2)$



$\mathcal{O}(\alpha_s \alpha^3)$



$\mathcal{O}(\alpha^4)$

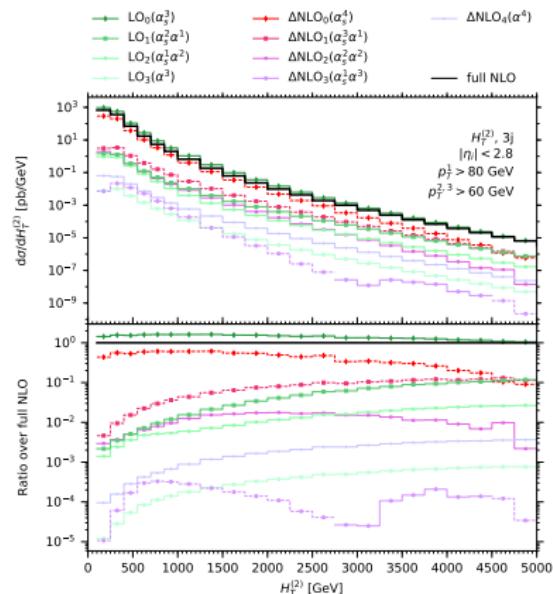
Pushing Frontiers: 3-jet production at full SM NLO

Full NLO corrections to hadronic 3-jet production

[Reyer, Schönherr, S.: Eur. Phys. J. C 79 (2019) no.4, 321]

- QCD & QED dipole subtraction
[Schönherr]
- virtuals from RECOLA [Actis et al.]

$$\sigma_{nj} = \sum_{i=0}^n \sigma_{nj}^{\text{LO}_i} + \sum_{i=0}^{n+1} \sigma_{nj}^{\Delta\text{NLO}_i}$$
$$\mathcal{O}\left(\sigma_{nj}^{\text{LO}_i}\right) = \alpha_s^{n-i} \alpha^i$$
$$\mathcal{O}\left(\sigma_{nj}^{\Delta\text{NLO}_i}\right) = \alpha_s^{n+1-i} \alpha^i$$



- ↪ subleading EW tree-level and one-loop contributions sizeable
- ↪ challenge for matching & merging

Pushing Frontiers: 3-jet production at full SM NLO

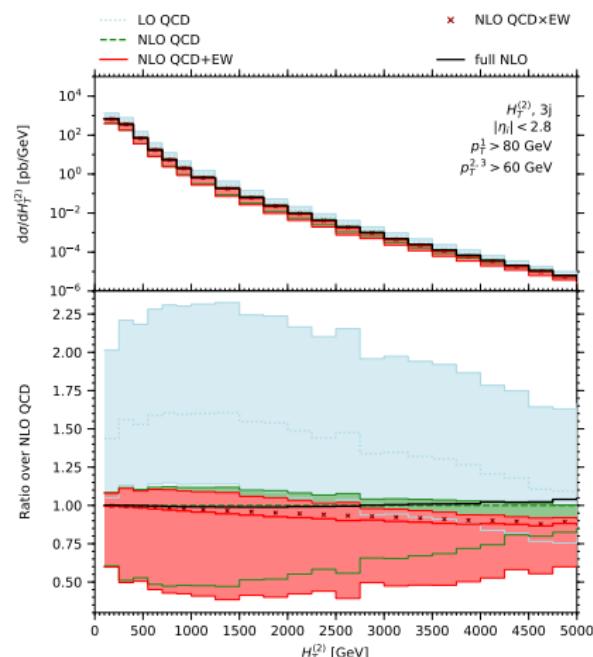
Full NLO corrections to hadronic 3-jet production

[Reyer, Schönherr, S.: Eur. Phys. J. C 79 (2019) no.4, 321]

- QCD & QED dipole subtraction
[Schönherr]
- virtuals from RECOLA [Actis et al.]

$$\sigma_{nj} = \sum_{i=0}^n \sigma_{nj}^{\text{LO}_i} + \sum_{i=0}^{n+1} \sigma_{nj}^{\Delta\text{NLO}_i}$$
$$\mathcal{O}\left(\sigma_{nj}^{\text{LO}_i}\right) = \alpha_s^{n-i} \alpha^i$$
$$\mathcal{O}\left(\sigma_{nj}^{\Delta\text{NLO}_i}\right) = \alpha_s^{n+1-i} \alpha^i$$

- ↪ subleading EW tree-level and one-loop contributions sizeable
- ↪ challenge for matching & merging



Shower improvements

$$|\mathcal{M}_{n+1}|^2 \approx \sum_{i,j,k \neq i,j} \frac{1}{2p_i \cdot p_j} \langle \mathcal{M}_n | \frac{\mathbf{T}_{ij} \cdot \mathbf{T}_k}{\mathbf{T}_{ij}^2} \mathbf{V}_{ij,k} | \mathcal{M}_n \rangle$$

conventional shower: average spins, $N_C \rightarrow \infty$

↪ preserve spin correlations

↪ $N_C = 3$ corrections

↪ higher-order splitting functions

Pushing Frontiers: Spin Correlations in the Parton Shower

Spin-density formalism in HERWIG showers (angular and dipole)

[Richardson, Webster: arXiv:1807.01955 [hep-ph]]

helicity amplitudes for branchings

- (i) azimuthal correlations in parton splittings

$$\frac{1}{2\pi} (1 + AB \cos 2\Delta\phi)$$

- (ii) correlations between shower, hard process and decays

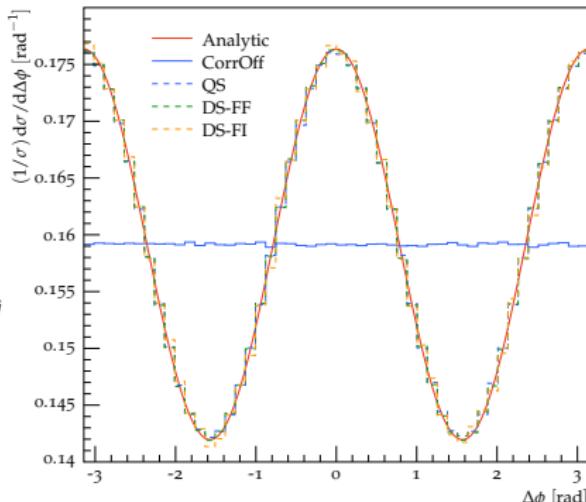
$$\rho_{g_1}^{\lambda_{g_1} \lambda'_{g_1}} \propto \mathcal{M}_{h^0 \rightarrow gg}^{\lambda_{g_1} \lambda_{g_2}} \mathcal{M}_{h^0 \rightarrow gg}^{*\lambda'_{g_1} \lambda_{g_2}}$$

$$f(\phi) \propto \rho_{g_1}^{\lambda_{g_1} \lambda'_{g_1}} \mathcal{M}_{g \rightarrow q\bar{q}}^{\lambda_{g_1} \lambda_q \lambda_{\bar{q}}} \mathcal{M}_{g \rightarrow q\bar{q}}^{*\lambda'_{g_1} \lambda_q \lambda_{\bar{q}}}$$

↪ improved description of spin-correlated decays

↪ available from HERWIG 7.2

FS $q \rightarrow qg_1$ with $g_1 \rightarrow gg$



Pushing Frontiers: Spin Correlations in the Parton Shower

Spin-density formalism in HERWIG showers (angular and dipole)

[Richardson, Webster: arXiv:1807.01955 [hep-ph]]

helicity amplitudes for branchings

- (i) azimuthal correlations in parton splittings

$$\frac{1}{2\pi} (1 + AB \cos 2\Delta\phi)$$

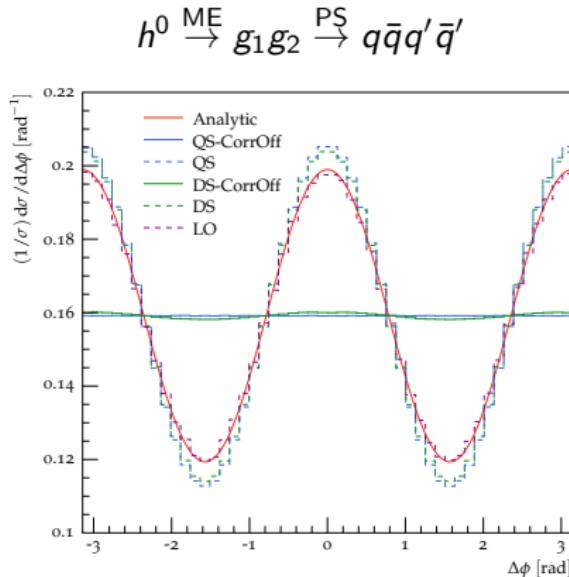
- (ii) correlations between shower, hard process and decays

$$\rho_{g_1}^{\lambda_{g_1} \lambda'_{g_1}} \propto \mathcal{M}_{h^0 \rightarrow gg}^{\lambda_{g_1} \lambda_{g_2}} \mathcal{M}_{h^0 \rightarrow gg}^{*\lambda'_{g_1} \lambda_{g_2}}$$

$$f(\phi) \propto \rho_{g_1}^{\lambda_{g_1} \lambda'_{g_1}} \mathcal{M}_{g \rightarrow q\bar{q}}^{\lambda_{g_1} \lambda_q \lambda_{\bar{q}}} \mathcal{M}_{g \rightarrow q\bar{q}}^{*\lambda'_{g_1} \lambda_q \lambda_{\bar{q}}}$$

↪ improved description of spin-correlated decays

↪ available from HERWIG 7.2



Pushing Frontiers: $N_C = 3$ corrections

Stochastically sample $N_C = 3$ configurations

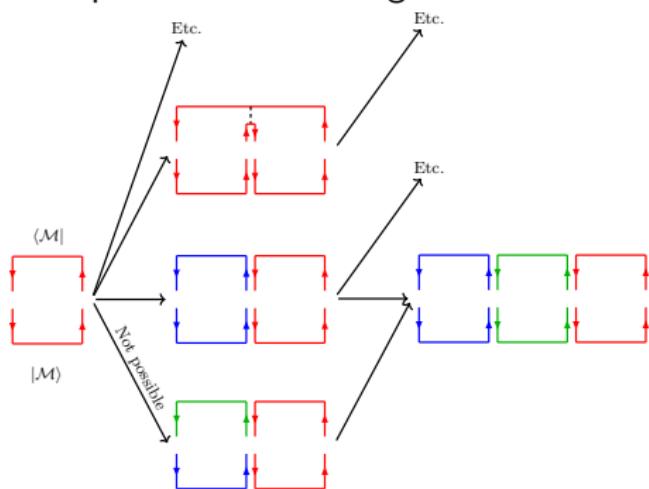
[Isaacson, Prestel: Phys. Rev. D 99 (2019) no.1, 014021]

full-color (FC) shower based on DIRE

- trace color assignments in *color flow basis*
- sample flows for emissions above $t_{\text{FC}}^{\text{cut}} > t_0$ according to
$$\langle \mathcal{M}' | t_k^\alpha t_{ij}^\beta | \mathcal{M} \rangle$$
- LC shower below $t_{\text{FC}}^{\text{cut}} > t_0$
- keep track of large- N_C flow for LC shower and hadronization

- ↪ possibly large weight fluctuations
- ↪ lack of kinematic corrections
- ↪ no virtual color rearrangements

possible color configurations



Pushing Frontiers: $N_C = 3$ corrections

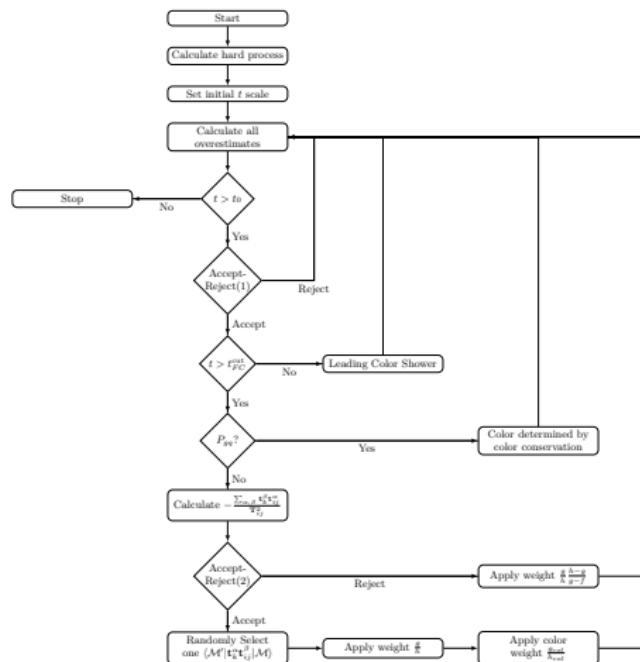
Stochastically sample $N_C = 3$ configurations

[Isaacson, Prestel: Phys. Rev. D 99 (2019) no.1, 014021]

full-color (FC) shower based on DIRE

- trace color assignments in *color flow basis*
- sample flows for emissions above $t_{\text{FC}}^{\text{cut}} > t_0$ according to
$$\langle \mathcal{M}' | t_k^\alpha t_{ij}^\beta | \mathcal{M} \rangle$$
- LC shower below $t_{\text{FC}}^{\text{cut}} > t_0$
- keep track of large- N_C flow for LC shower and hadronization

- ↪ possibly large weight fluctuations
- ↪ lack of kinematic corrections
- ↪ no virtual color rearrangements



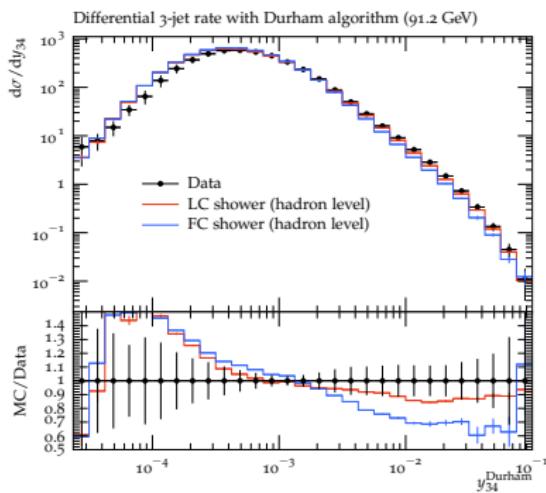
Pushing Frontiers: $N_C = 3$ corrections

Stochastically sample $N_C = 3$ configurations

[Isaacson, Prestel: Phys. Rev. D 99 (2019) no.1, 014021]

full-color (FC) shower based on DIRE

- trace color assignments in *color flow basis*
 - sample flows for emissions above $t_{\text{FC}}^{\text{cut}} > t_0$ according to
$$\langle \mathcal{M}' | t_k^\alpha t_{ij}^\beta | \mathcal{M} \rangle$$
 - LC shower below $t_{\text{FC}}^{\text{cut}} > t_0$
 - keep track of large- N_C flow for LC shower and hadronization
- ↪ possibly large weight fluctuations
↪ lack of kinematic corrections
↪ no virtual color rearrangements



Pushing Frontiers: $N_C = 3$ corrections

Color matrix element corrections

[Plätzer, Sjödahl, Thorén: JHEP 1811 (2018) 009]

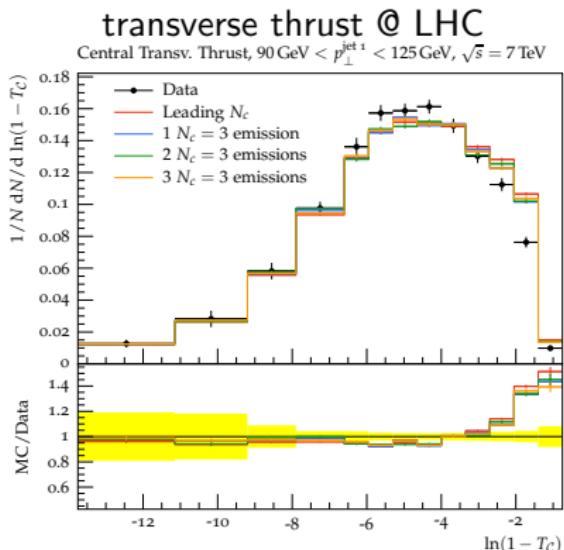
color corrections for first few emissions in HERWIG dipole shower

- use *trace basis* color representation
- color correction weight

$$\omega_{ij\tilde{k}}^n = \frac{-1}{T_{ij}^2} \frac{\langle \mathcal{M}_n | T_{ij} \cdot T_{\tilde{k}} | \mathcal{M}_n \rangle}{|\mathcal{M}_n|^2}$$

- evolve full color structure, LC shower beyond N_{\max} emissions
- available for final- and initial state

- ↪ limited to first few emissions
- ↪ possibly large weight fluctuations
- ↪ rather mild corrections observed



Pushing Frontiers: $N_C = 3$ corrections

Color matrix element corrections

[Plätzer, Sjödahl, Thorén: JHEP 1811 (2018) 009]

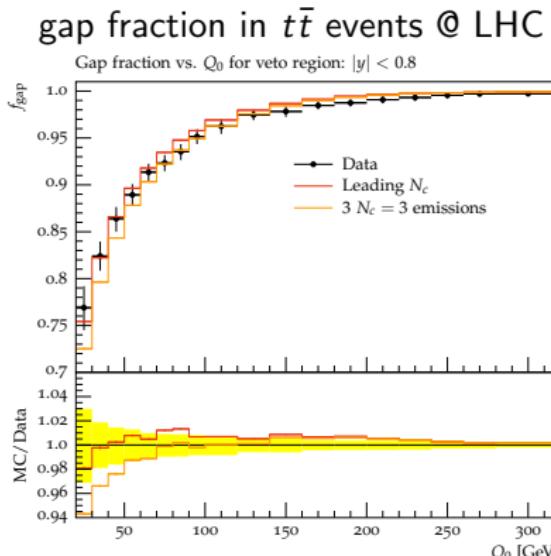
color corrections for first few emissions in HERWIG dipole shower

- use *trace basis* color representation
- color correction weight

$$\omega_{ij\tilde{k}}^n = \frac{-1}{T_{ij}^2} \frac{\langle \mathcal{M}_n | T_{ij} \cdot T_{\tilde{k}} | \mathcal{M}_n \rangle}{|\mathcal{M}_n|^2}$$

- evolve full color structure, LC shower beyond N_{\max} emissions
- available for final- and initial state

- ↪ limited to first few emissions
- ↪ possibly large weight fluctuations
- ↪ rather mild corrections observed



Pushing Frontiers: towards NLO precision

Fully differential two-loop soft corrections in dipole showers

[Dulat, Höche, Prestel: Phys. Rev. D 98 (2018) no.7, 074013]

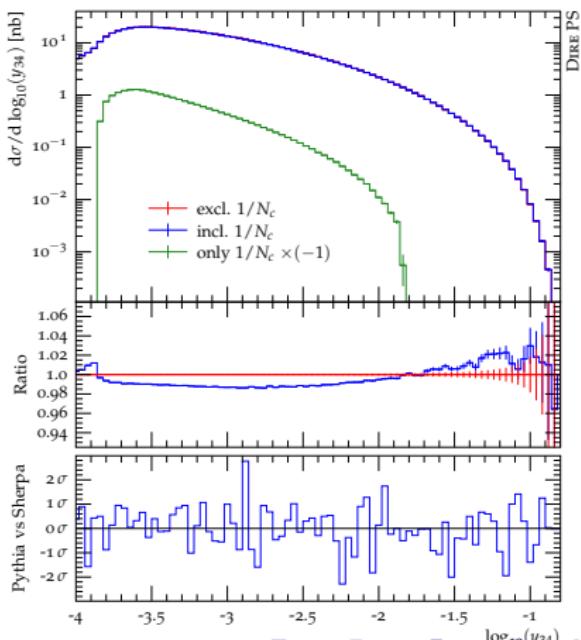
correct DIRE emission pattern for NLO soft-gluon radiation

- differential in one-emission phase space
- correction weights for
 - phase-space coverage
 - spin correlations
 - subleading color
- final- and initial state emissions
- two independent implementations

PYTHIA and SHERPA

- ↪ good agreement with CMW
- ↪ meaningful uncertainty estimate

y_{34} – impact of subleading color



Pushing Frontiers: towards NLO precision

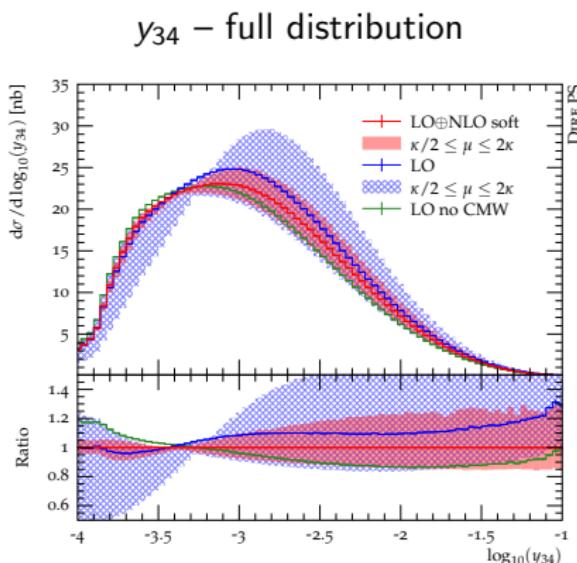
Fully differential two-loop soft corrections in dipole showers

[Dulat, Höche, Prestel: Phys. Rev. D 98 (2018) no.7, 074013]

correct DIRE emission pattern for NLO soft-gluon radiation

- differential in one-emission phase space
- correction weights for
 - phase-space coverage
 - spin correlations
 - subleading color
- final- and initial state emissions
- two independent implementations PYTHIA and SHERPA

- ↪ good agreement with CMW
- ↪ meaningful uncertainty estimate



Conclusions

- NLO QCD matching/merging prescriptions routinely used for LHC pheno
- automation NLO EW achieved, more and more public tools emerging
- focus moving towards improvements of shower algorithms
 - sophisticated reweighting techniques for applying corrections (SVA)
 - uncertainty evaluations
 - approximate NLO EW contributions
 - subleading color
 - spin correlations
 - NLO QCD splitting function
- towards full amplitude evolution equations

Conclusions

- NLO QCD matching/merging prescriptions routinely used for LHC pheno
- automation NLO EW achieved, more and more public tools emerging
- focus moving towards improvements of shower algorithms
 - sophisticated reweighting techniques for applying corrections (SVA)
 - uncertainty evaluations
 - approximate NLO EW contributions
 - subleading color
 - spin correlations
 - NLO QCD splitting function
- towards full amplitude evolution equations

Looking forward to a productive workshop!