

Heavy Neutral Leptons & Non-Minimal Dark Sectors



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Outline

- Sterile neutrinos in the Type-I seesaw.
- Non-minimal realisations — venturing into dark sectors.
- *Hints* from short-baselines? — old data, new approaches.

Heavy Neutral Leptons

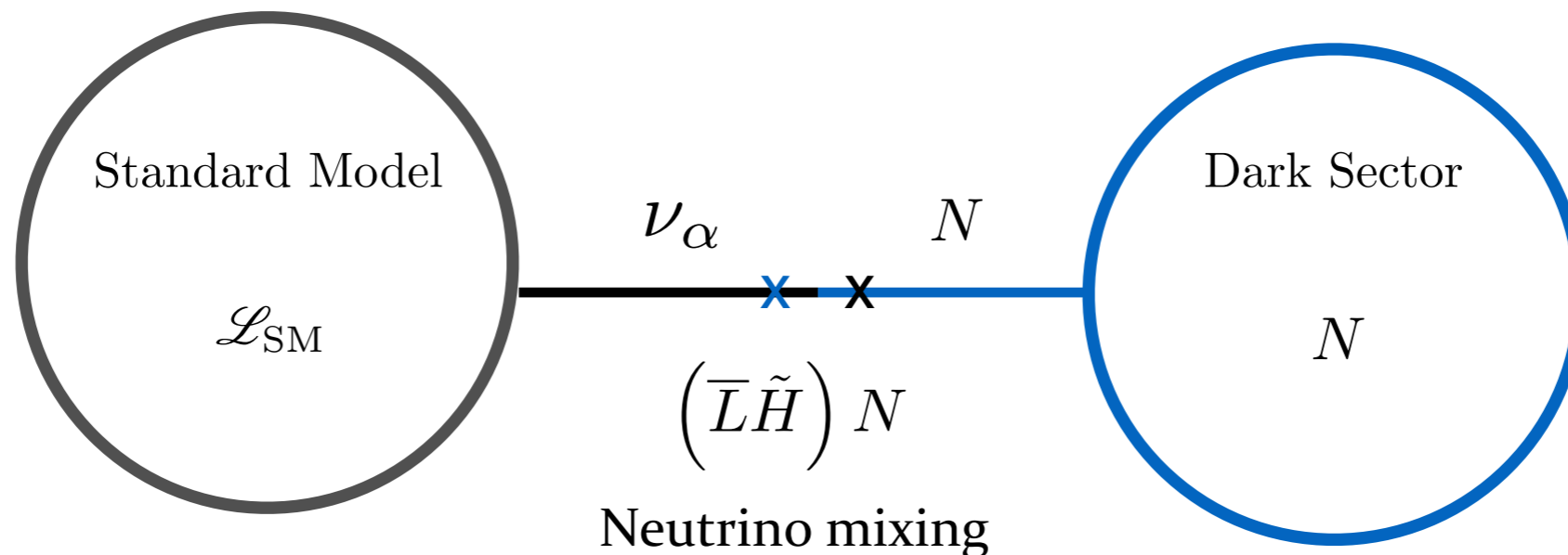
(heavy_neutral_lepton == sterile_neutrino == right_handed_neutrino) == True

Add a RH singlet lepton to the SM that mixes with massive neutrinos — it is then called “sterile, i.e. practically unobservable, since they have the “incorrect” helicity.

B. Pontecorvo, Sov.Phys.JETP 26 (1968) 984-988.



SM gauge singlet — trivial and well-motivated extension of the SM



Heavy Neutral Leptons

Simplest Type-I seesaw Lagrangian:

$$\mathcal{L} \supset -y^\nu (\bar{L}\tilde{H}) N - \frac{M_N}{2} \bar{N}^c N + \text{h.c.}$$

Naive scaling to roughly reproduce light neutrino masses (~ 0.1 eV):

$$m_\nu \approx \frac{(y^\nu v_{\text{EW}})^2}{2M_N} \quad (y^\nu)^2 \approx 3 \times 10^{-15} \frac{M_N}{\text{GeV}} \quad |U|^2 \approx 10^{-10} \frac{\text{GeV}}{M_N}$$

Why are neutrino masses small?

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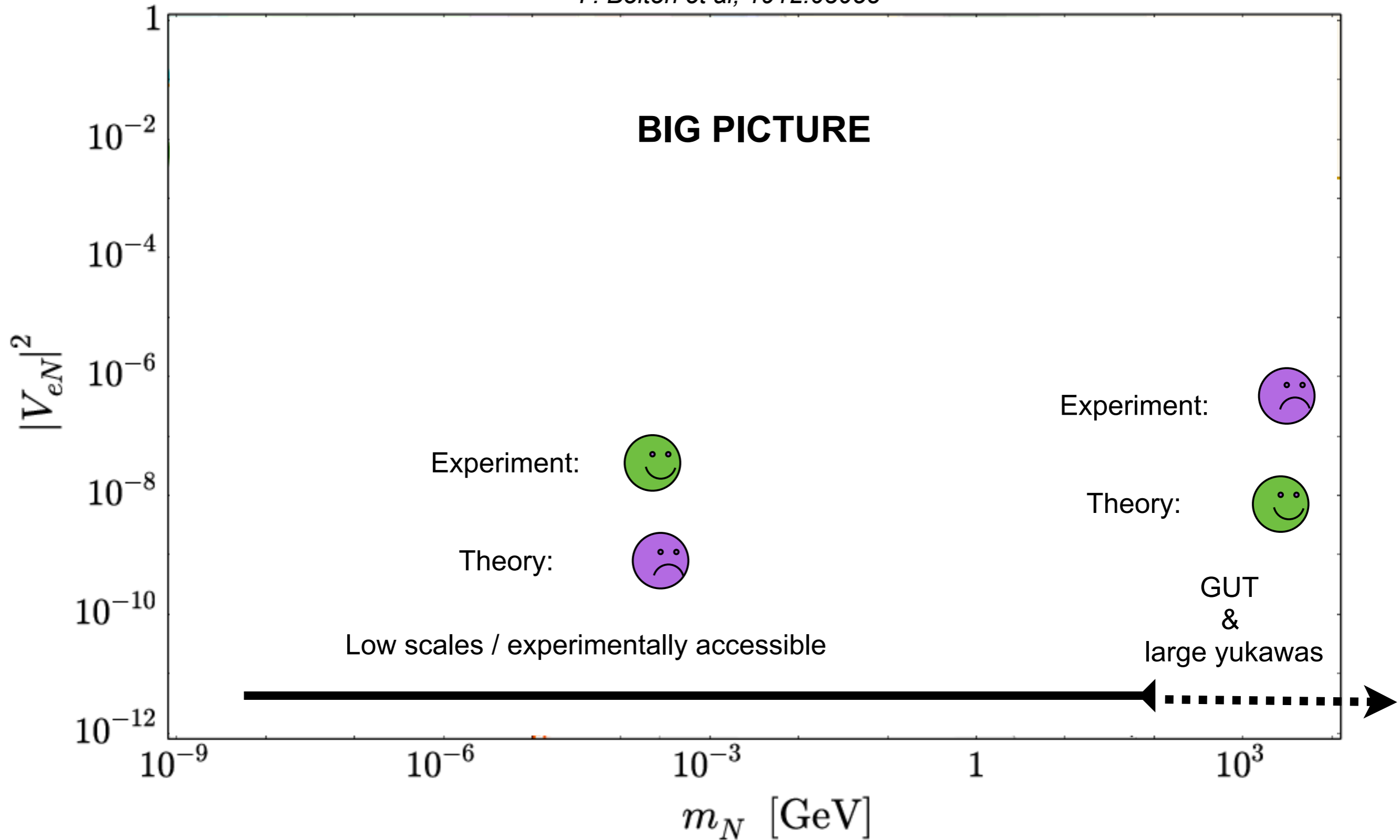
We do not know.

Large hierarchy of scales — large Majorana mass leads to tiny neutrino masses, even for large couplings ($M_N \gg \text{EW scale}$)

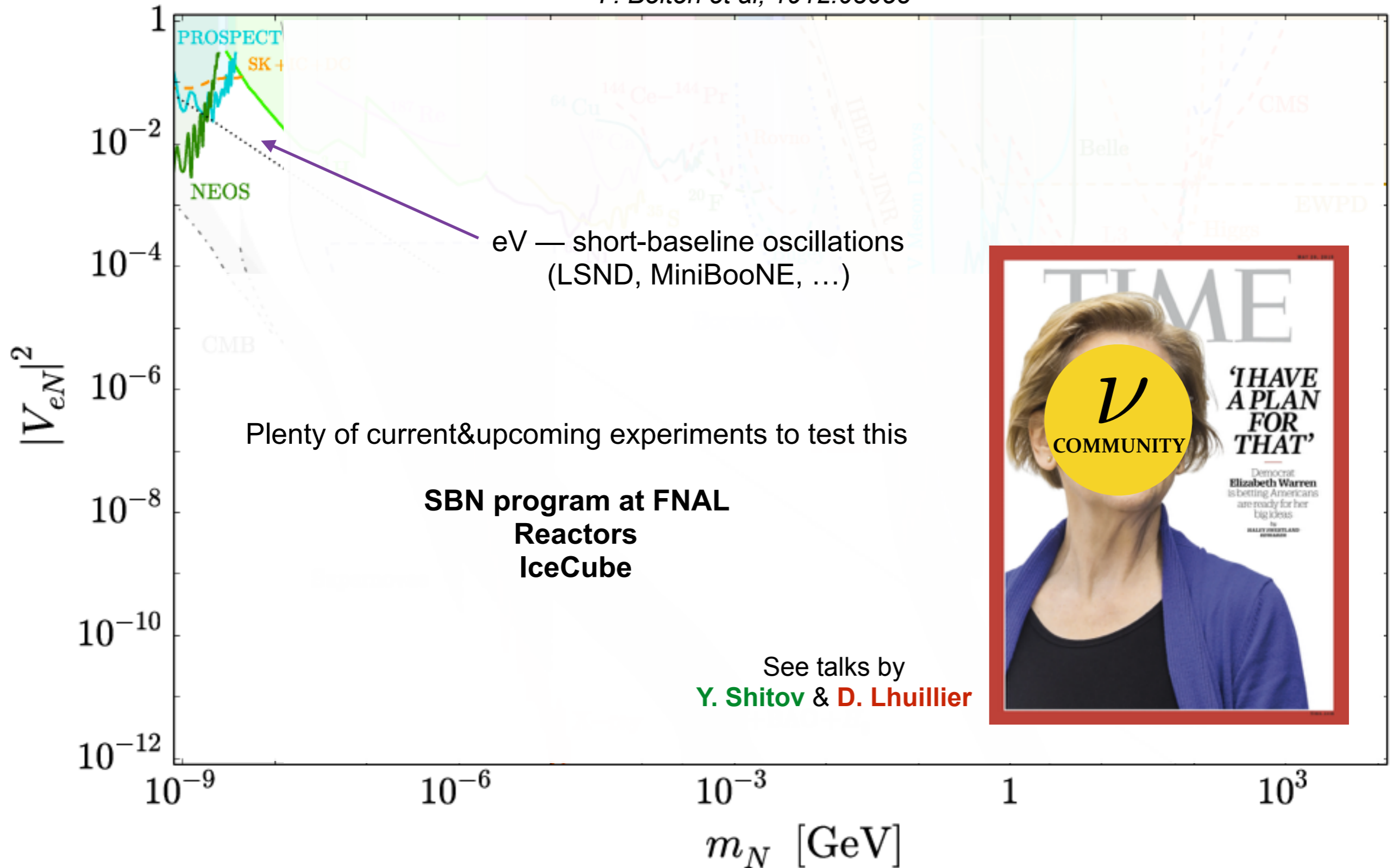
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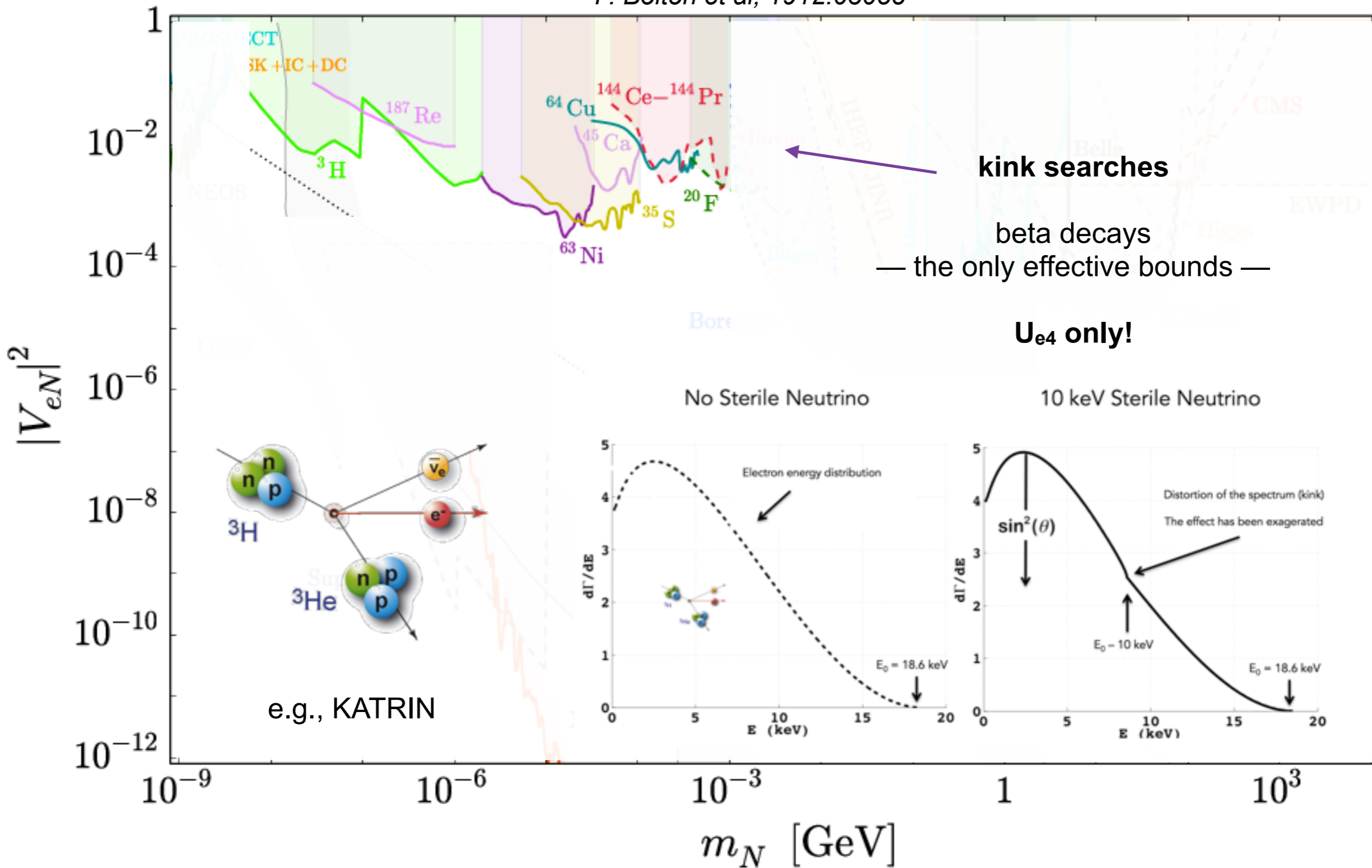
P. Bolton et al, 1912.03058



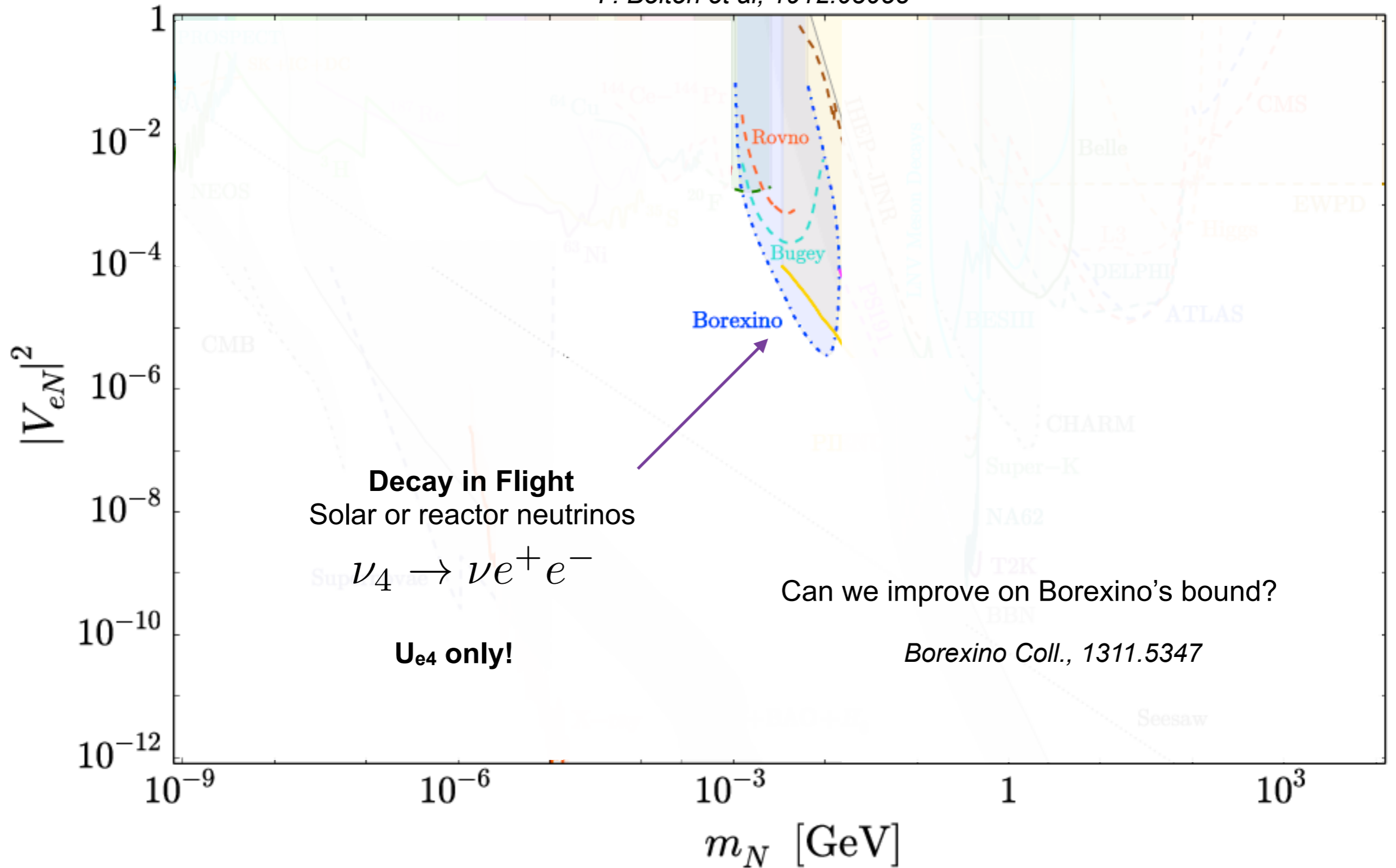
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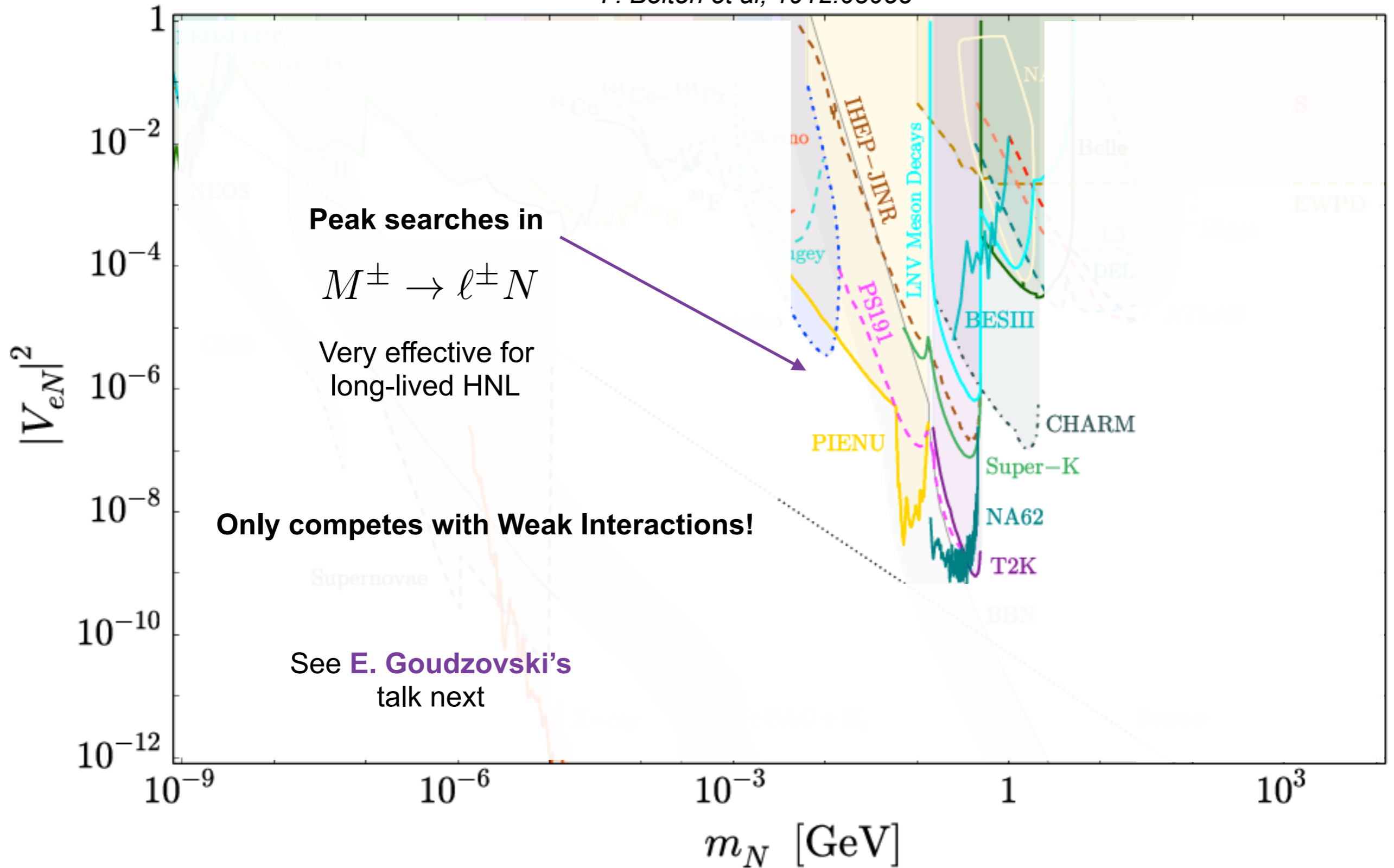
P. Bolton et al, 1912.03058



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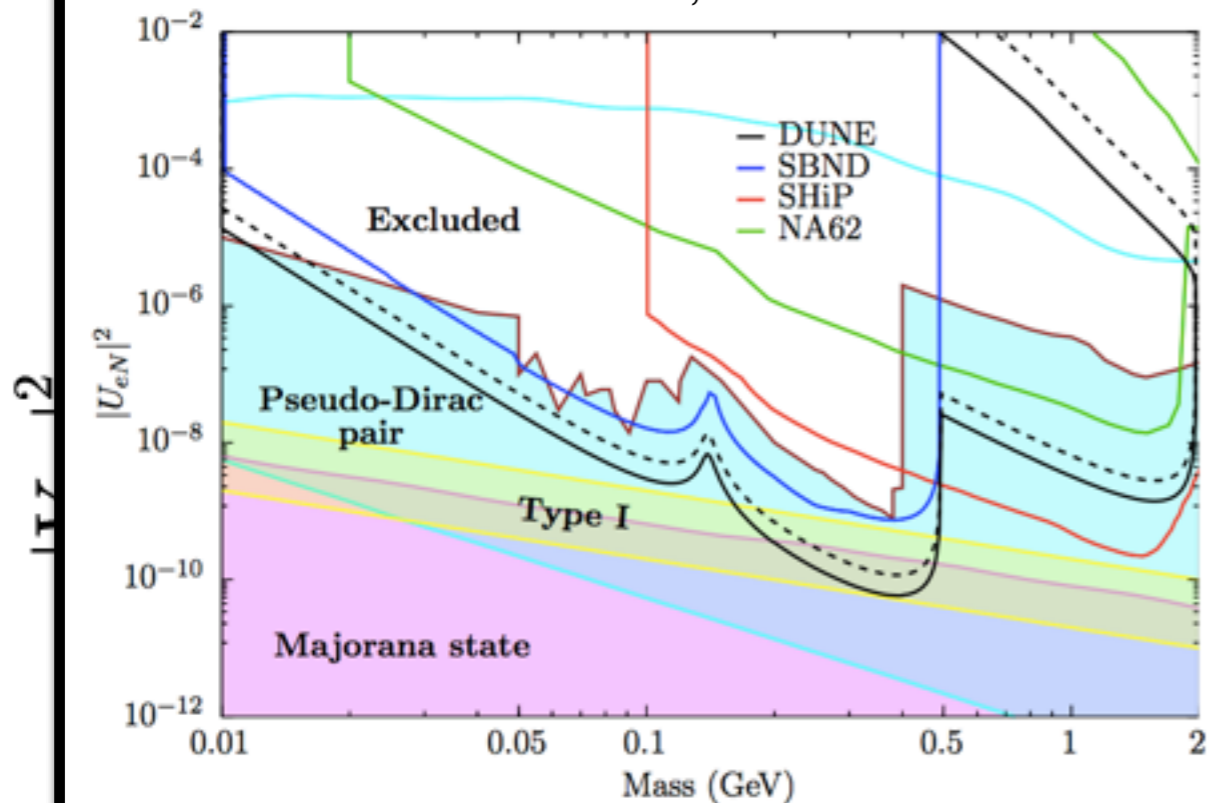


P. Bolton et al, 1912.03058

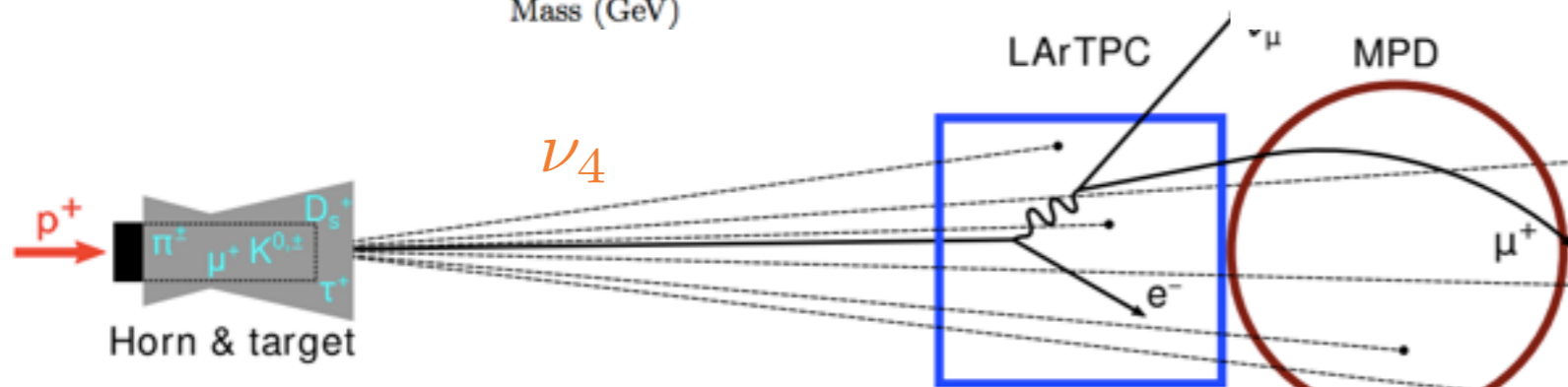
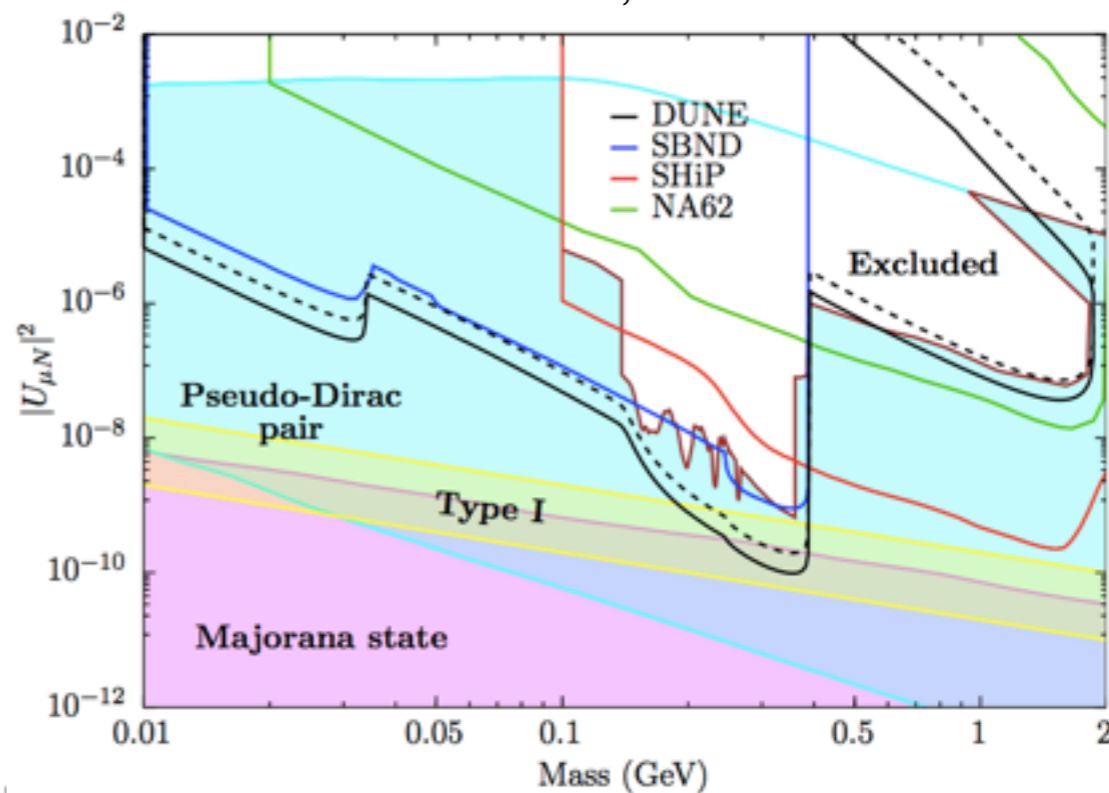
Decay in Flight at accelerator experiments

$$N \rightarrow \nu e e, \nu e \mu, \nu \mu \mu, \nu \pi^0, e \pi, \mu \pi$$

P. Ballett et al, 1905.00284



P. Ballett et al, 1905.00284

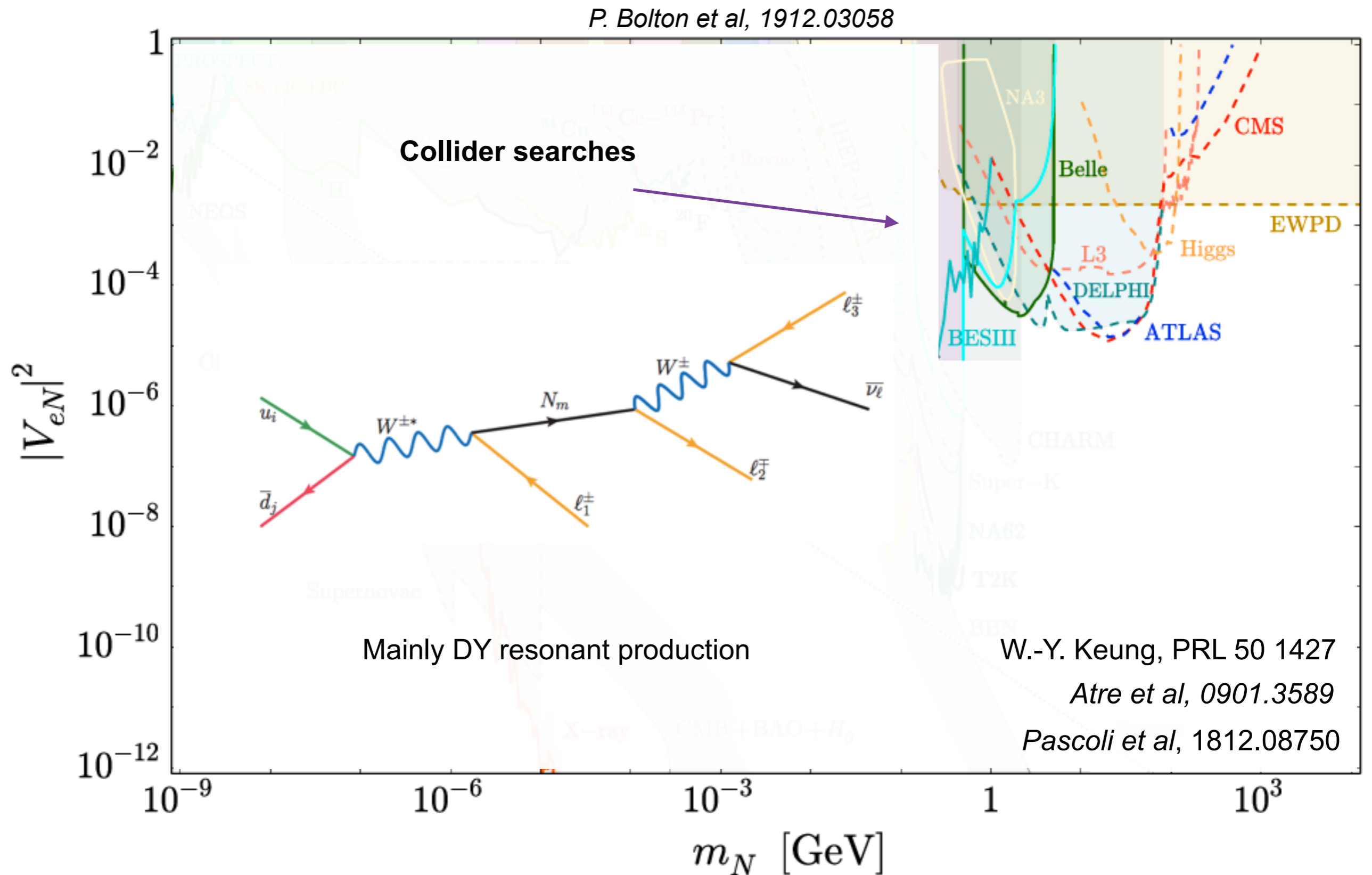


by T. Boschi

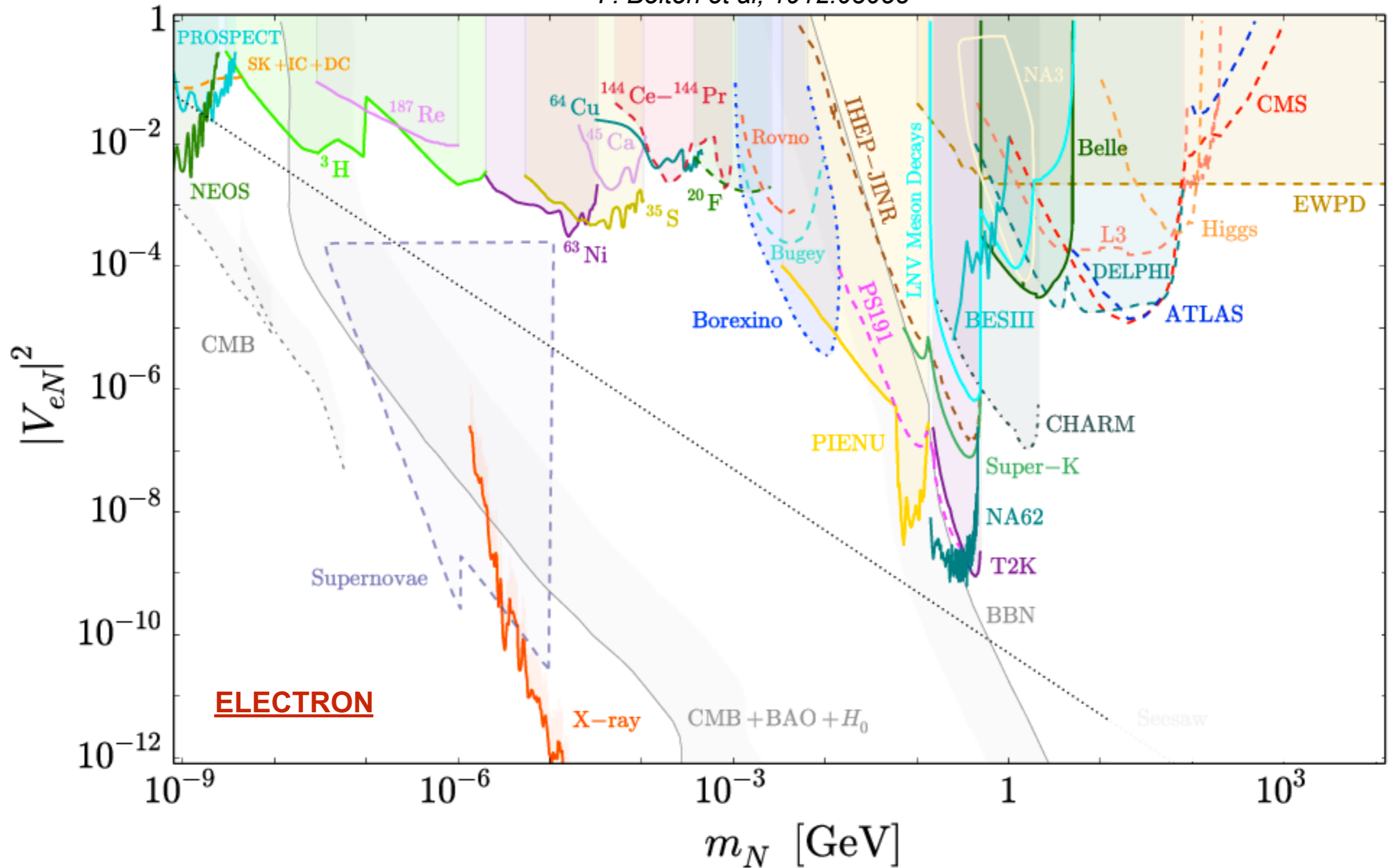
P. Ballett et al, 1610.08512

P. Ballett et al, 1905.00284

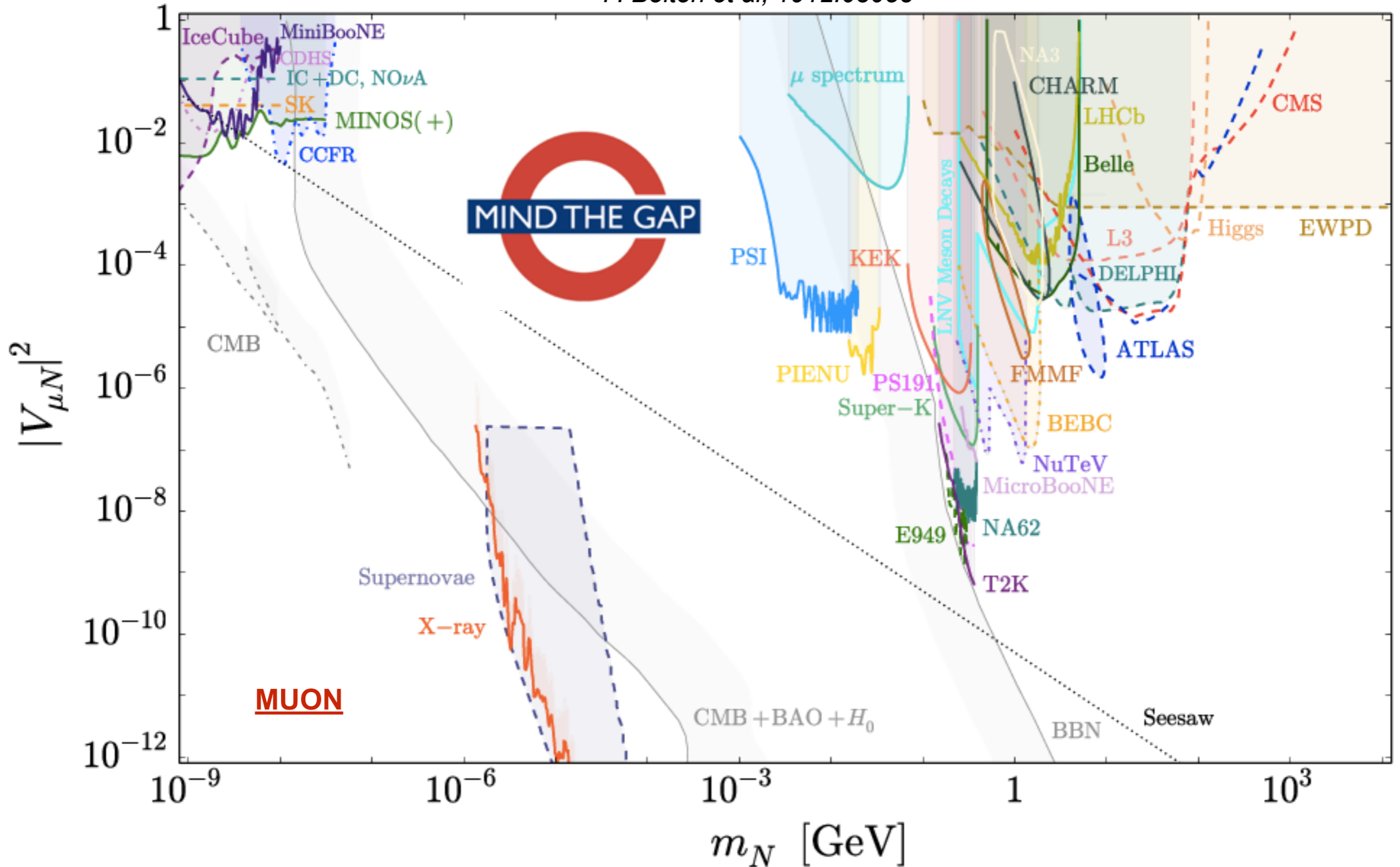
m_N [GeV]



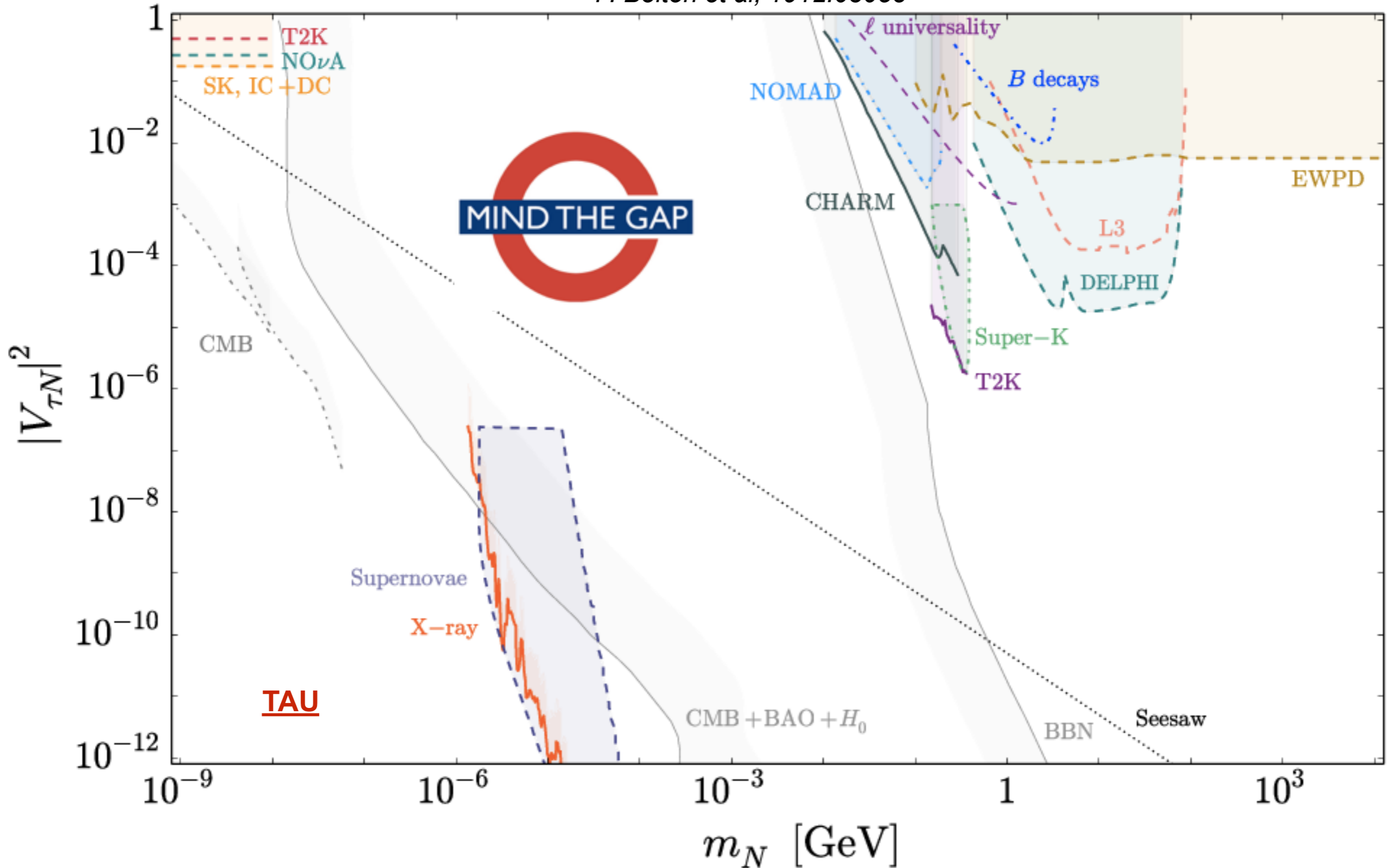
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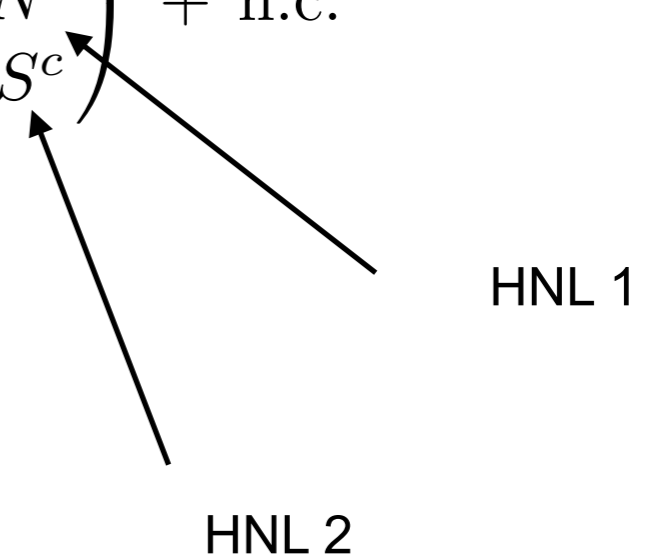


P. Bolton et al, 1912.03058



Low Scale Seesaw Variants

Inverse, Extended and Linear seesaws — Adding more HNLs to the picture...

$$-\mathcal{L}_{\nu\text{-mass}} \supset \frac{1}{2} (\overline{\nu}_L \quad \overline{N} \quad \overline{S}) \begin{pmatrix} 0 & m & \varepsilon' \\ m & \mu' & \Lambda \\ \varepsilon' & \Lambda & \mu \end{pmatrix} \begin{pmatrix} \nu_L^c \\ N^c \\ S^c \end{pmatrix} + \text{h.c.}$$


HNL 1

HNL 2

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Light neutrino masses are always proportional to **LNV** parameters!

Seesaw limit \longrightarrow $m_1 = \frac{\mu m^2 - 2\varepsilon' m \Lambda + \varepsilon'^2 \mu'}{\Lambda^2 - \mu \mu'}.$

Small LNV parameters are technically natural

Smallness of neutrino masses explained due to an approximate **LN conservation**.

Minimal Radiative Inverse Seesaw

Curious choice of mass matrix texture...

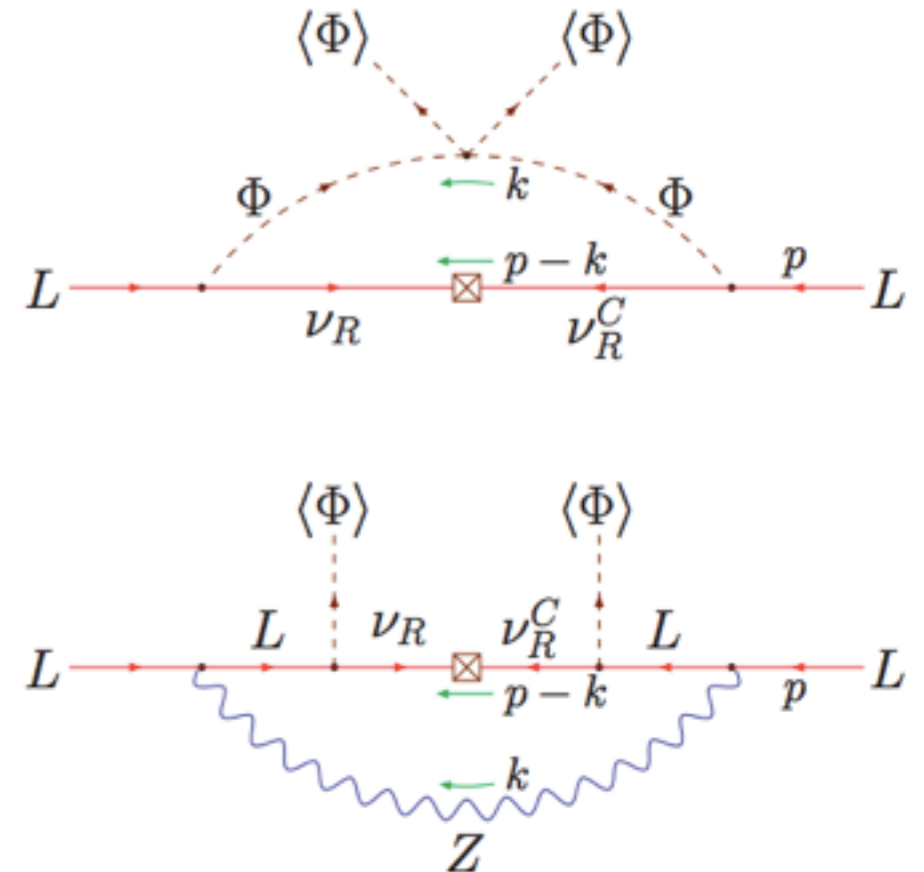
$$-\mathcal{L}_{\nu\text{-mass}} \supset \frac{1}{2} (\overline{\nu}_L \quad \overline{N} \quad \overline{S}) \begin{pmatrix} 0 & m & 0 \\ m & \mu' & \Lambda \\ 0 & \Lambda & 0 \end{pmatrix} \begin{pmatrix} \nu_L^c \\ N^c \\ S^c \end{pmatrix} + \text{h.c.}$$

Massless neutrinos at **tree-level!**
— Accidental cancellation —

$$m_1 = 0$$

$$m_{4,5} = \frac{\mu' \mp \sqrt{\mu'^2 + 4(\Lambda^2 + m^2)}}{2}$$

Masses arise from loop-contributions after
SU(2) \times U(1) $_{\text{Y}}$ gets broken to U(1) $_{\text{QED}}$



P.S.B. Dev et al., 1209.4051
J. Lopez-Pavon et al, 1209.5342

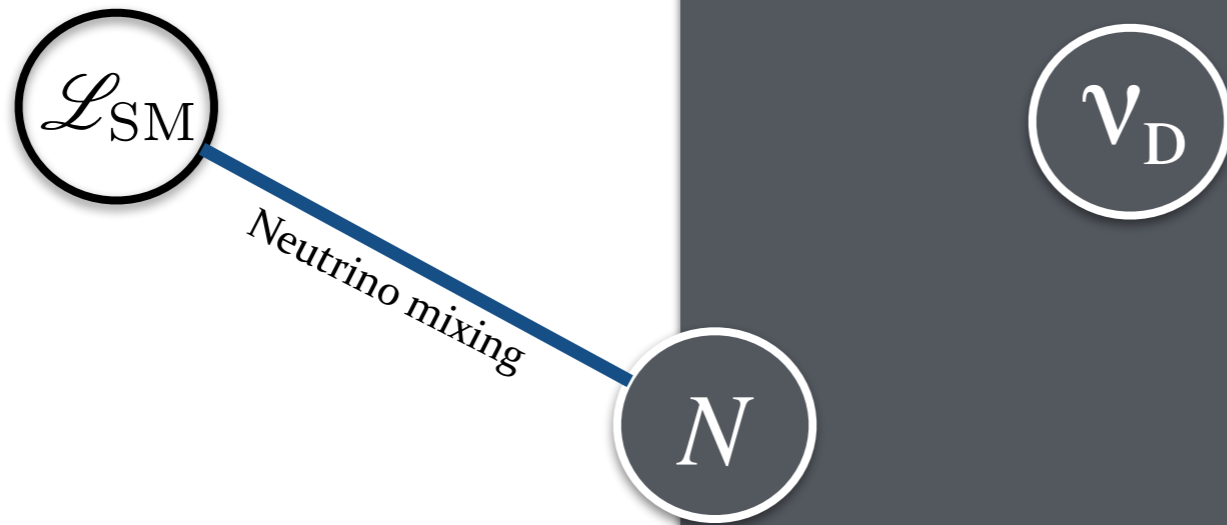
Let's build a model for that.

Diving into a HNL Dark Sector



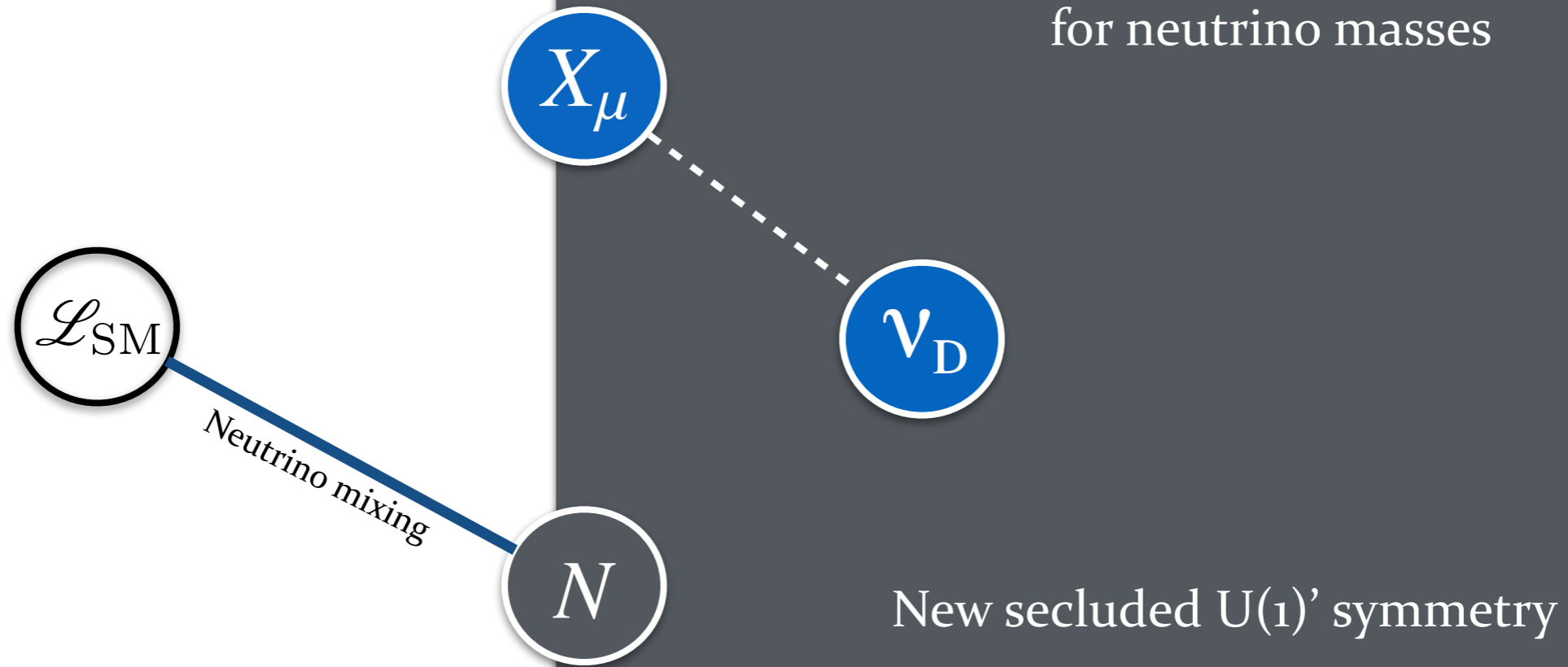
Dark neutrinos

A low scale **SEESAW** mechanism
for neutrino masses



	$SU(2)_L$	$U(1)_Y$
N	1	0
ν_D	1	0

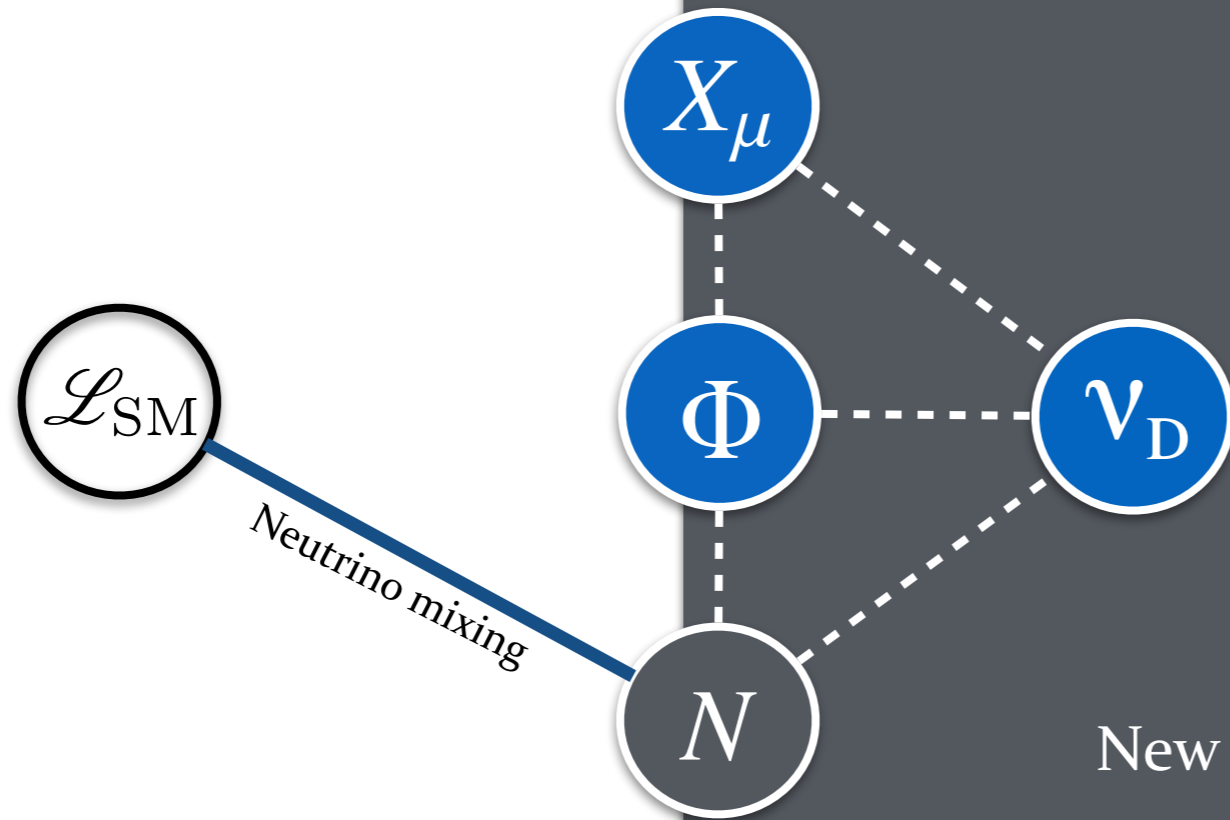
Dark neutrinos



	$SU(2)_L$	$U(1)_Y$	$U(1)'$
N	1	0	0
ν_D	1	0	Q

Dark neutrinos

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New secluded $U(1)'$ symmetry

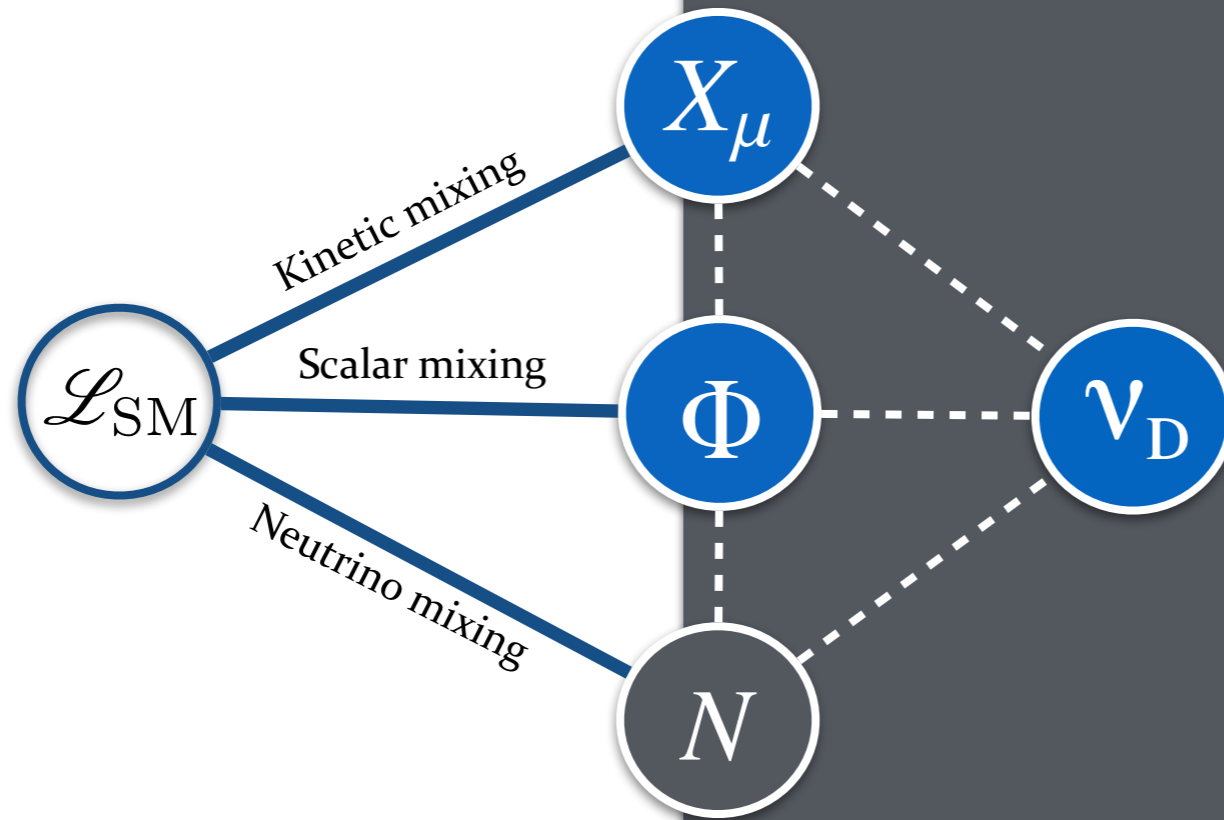
spontaneously broken by dark scalar field

	$SU(2)_L$	$U(1)_Y$	$U(1)'$
N	1	0	0
ν_D	1	0	Q
Φ	1	0	Q

$$\begin{aligned}
 \mathcal{L} = & \mathcal{L}_{\text{SM}} + (D_\mu \Phi)^\dagger (D^\mu \Phi) - V(\Phi, H) \\
 & - \frac{1}{4} X^{\mu\nu} X_{\mu\nu} + \bar{N} i \not{\partial} N + \bar{\nu}_D i \not{\partial} \nu_D \\
 & - \left[y_\nu^\alpha (\bar{L}_\alpha \cdot \tilde{H}) N^c + \frac{\mu'}{2} \bar{N} N^c + y_N \bar{N} \nu_D^c \Phi + \text{h.c.} \right]
 \end{aligned}$$

Dark neutrinos

A low scale SEESAW mechanism for neutrino masses



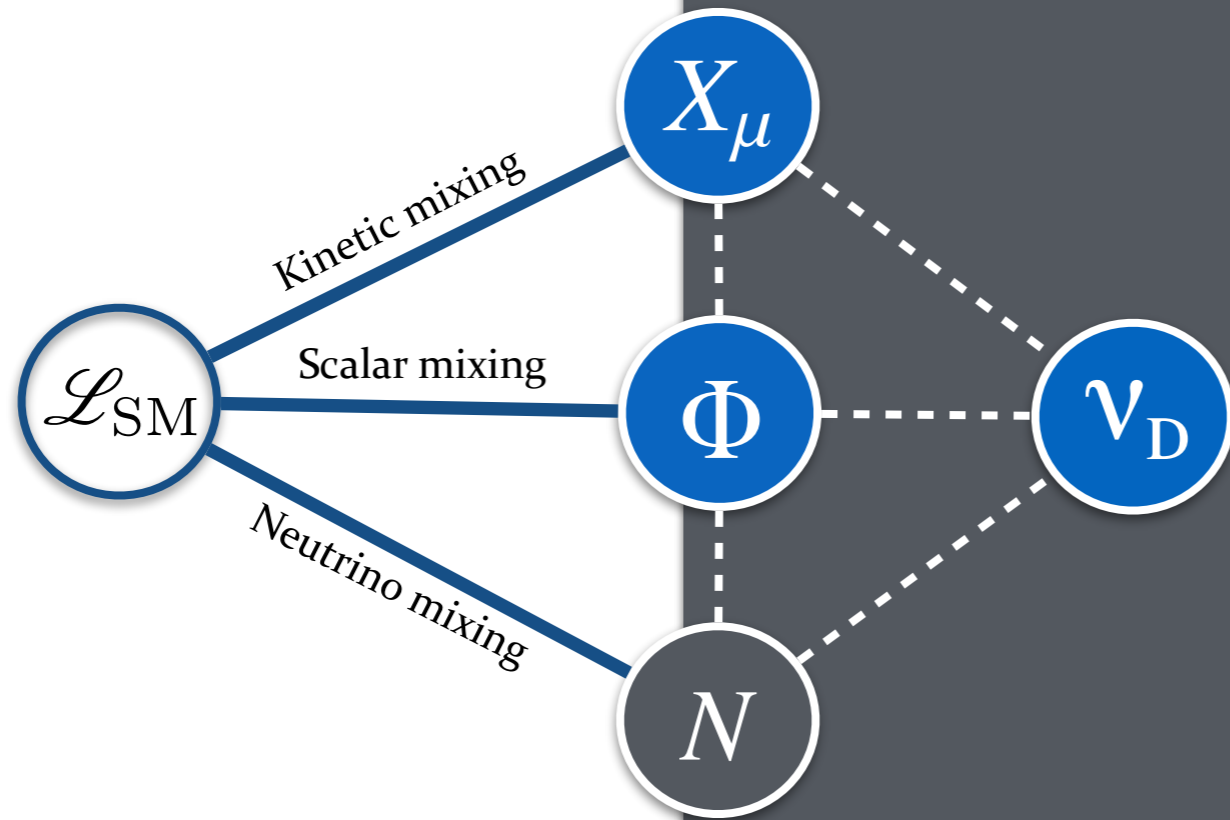
Neutrino mixing $y_\nu (\bar{L} \cdot \tilde{H}) N^c$

	$SU(2)_L$	$U(1)_Y$	$U(1)'$
N	$\mathbf{1}$	0	0
ν_D	$\mathbf{1}$	0	Q
Φ	$\mathbf{1}$	0	Q

Not needed for ν mass,
but comes for free!

$$\left\{ \begin{array}{l} \text{Kinetic mixing} \quad \frac{\sin \chi}{2} B_{\mu\nu} X^{\mu\nu} \\ \text{Scalar mixing} \quad \lambda_{\Phi H} H^\dagger H |\Phi|^2 \end{array} \right.$$

Dark neutrinos



Gauge anomaly:
Dark Matter particles

	χ_L	χ_R
	U(1)'	\mathbb{Z}_2
χ_L	0	-1
χ_R	Q	-1

Neutrino portal DM
Blennow et al, 1903.00006

Neutrino mixing $y_\nu (\bar{L} \cdot \tilde{H}) N^c$

	SU(2) _L	U(1) _Y	U(1)'
N	1	0	0
ν_D	1	0	Q
Φ	1	0	Q

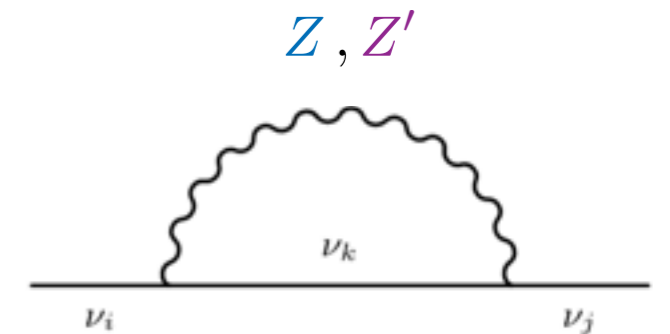
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Neutrino masses at one-loop level

$$\mathcal{L}_{\text{mass}} \supset \frac{1}{2} (\bar{\nu}_\alpha \quad \bar{N} \quad \bar{\nu}_D) \begin{pmatrix} 0 & m_D & 0 \\ m_D & \mu' & \Lambda \\ 0 & \Lambda & 0 \end{pmatrix} \begin{pmatrix} \nu_\alpha^c \\ N^c \\ \nu_D^c \end{pmatrix} \quad \text{LNV}$$

After U(1)' is broken, zeros are no long protected!



$$m_\nu \propto \mu'$$

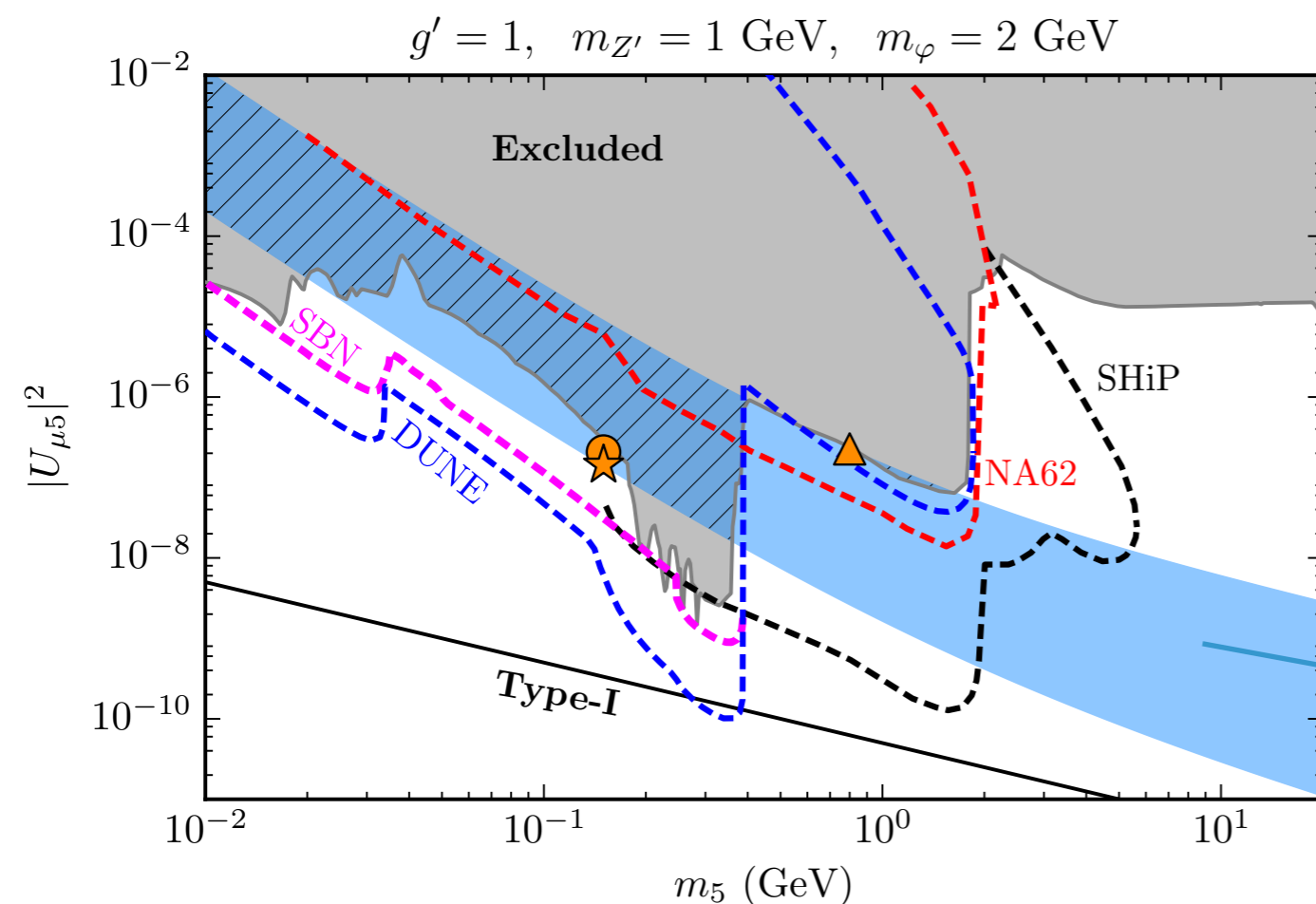
Prediction of the model:

$$R = \frac{m_4}{m_5} = -\frac{U_{\alpha 5}^2}{U_{\alpha 4}^2}$$

Blue band:

$$m_3 = \sqrt{\Delta m_{\text{atm}}^2}$$

$$1\% < R < 99\%$$



Ignoring kinetic and scalar mixing
(See MiniBooNE discussion)

Neutrino masses at one-loop level

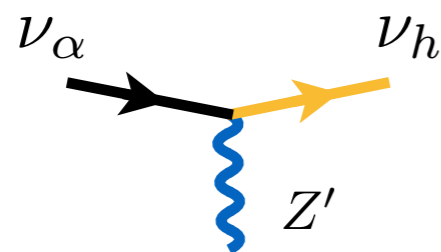
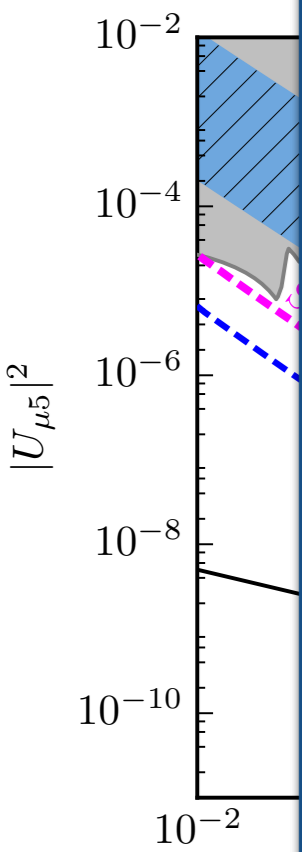
Many other models w/ **MULTIPLE PORTALS:**

C. Diaz, 171205433

Generalized scotogenic, C. Hagedorn et al, 1804.04117

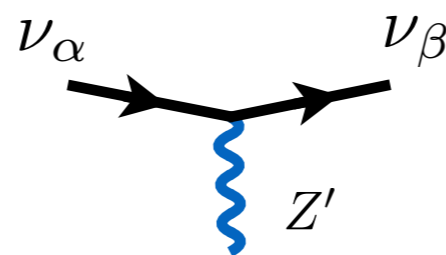
E. Bertuzzo et al, 1808.02500

Very rich interplay between portals:



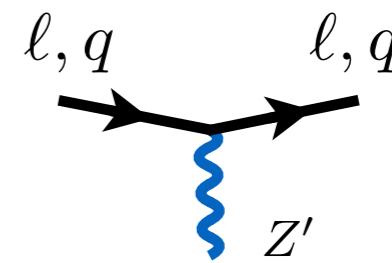
$$U_{\alpha h}^* g'$$

Mixing x O(1) coupling



$$U_{\alpha h}^* U_{\beta h} g'$$

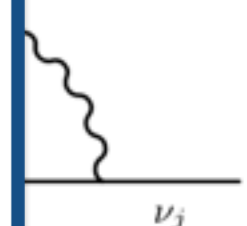
Doubly suppressed



$$e \chi q_f$$

Kinetic mixing

P. Ballett et al, 1903.07589



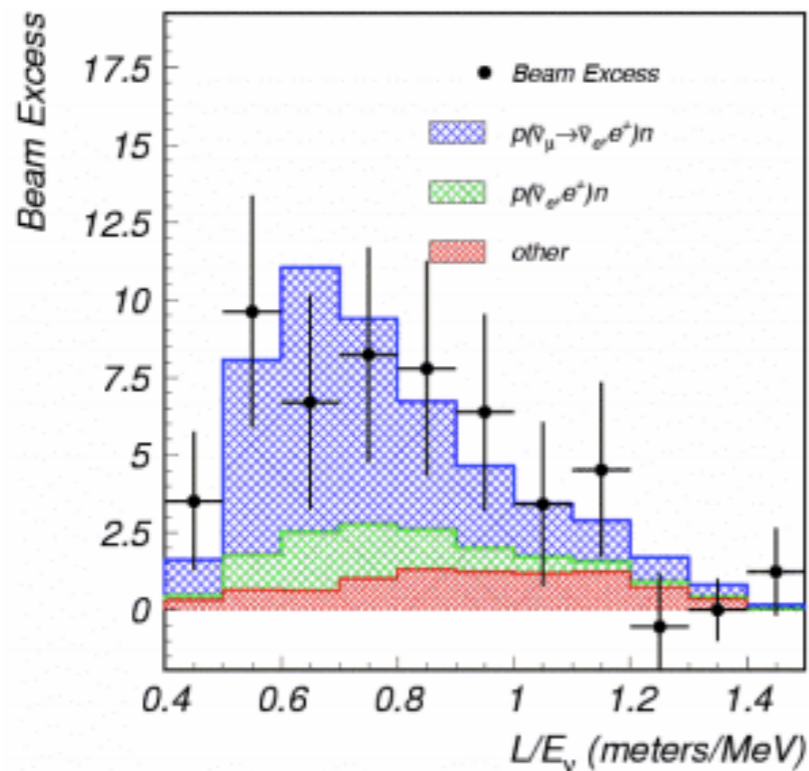
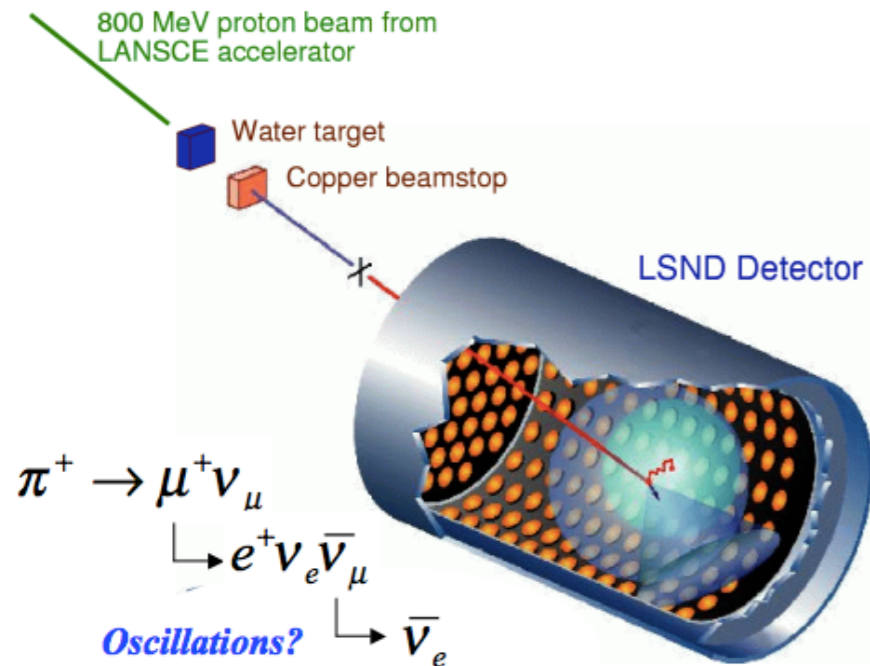
μ'

A dark blue star field with a prominent bright star in the upper left. The text "ANY HINTS FROM CURRENT DATA?" is centered in white.

ANY HINTS FROM CURRENT DATA?

LSND & MiniBooNE

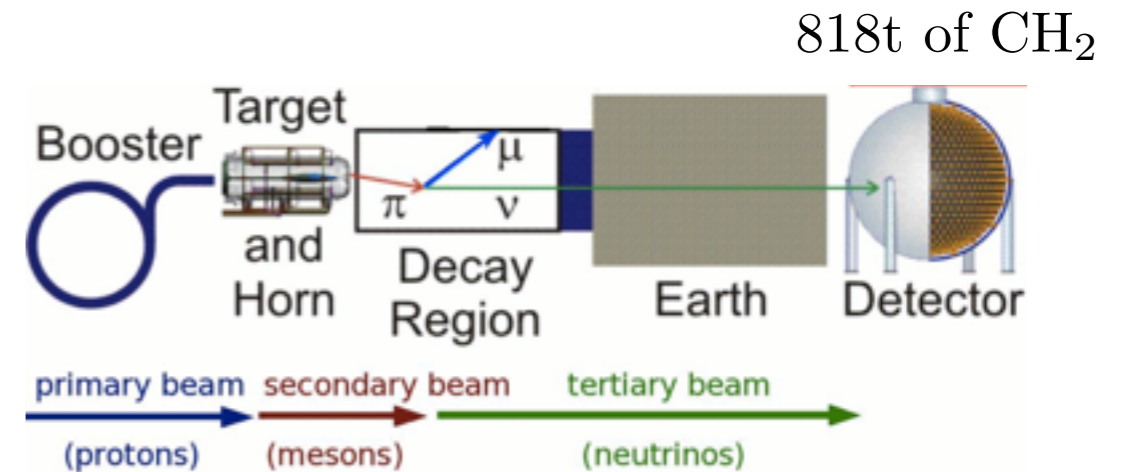
Liquid Scintillator Neutrino Detector: 1993 - 1998



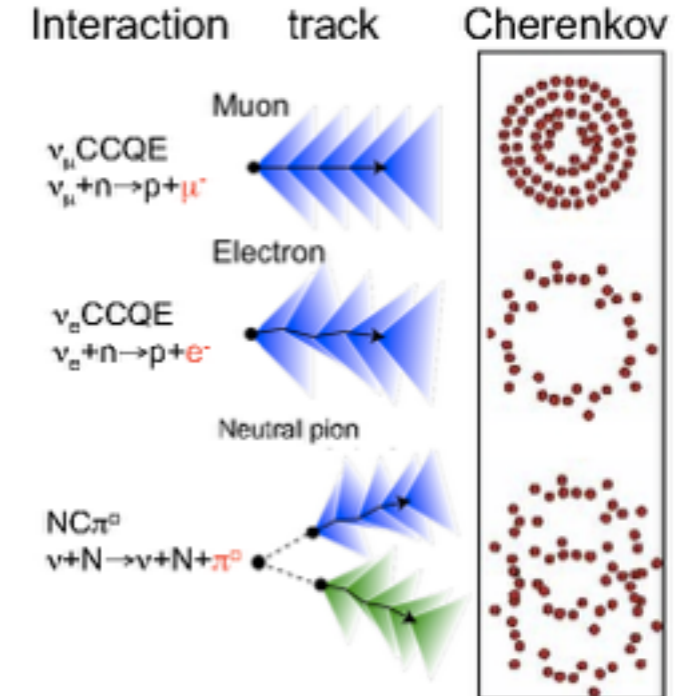
Inverse beta decay events

$E_{\nu_e} = 52$ MeV endpoint — 30 m baseline.

MiniBooNE: 2003 - 2019



mostly (RES) CCQE

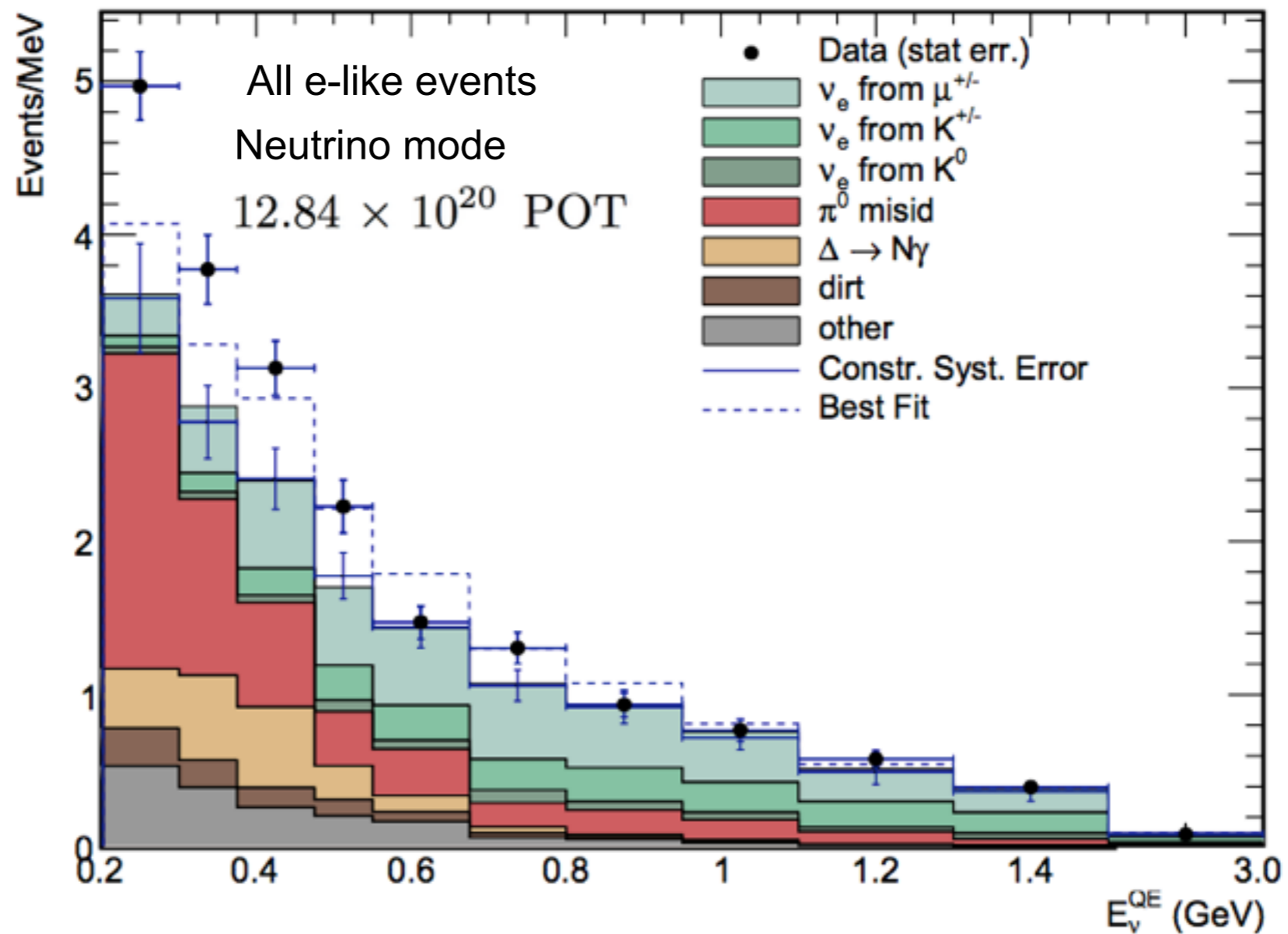


$\langle E_\nu \rangle \approx 800$ MeV — 500 m baseline

The MiniBooNE Low Energy Excess (LEE)

4.7 σ excess observed in neutrino + antineutrino mode
— data/MC disagreement beyond statistical doubt —

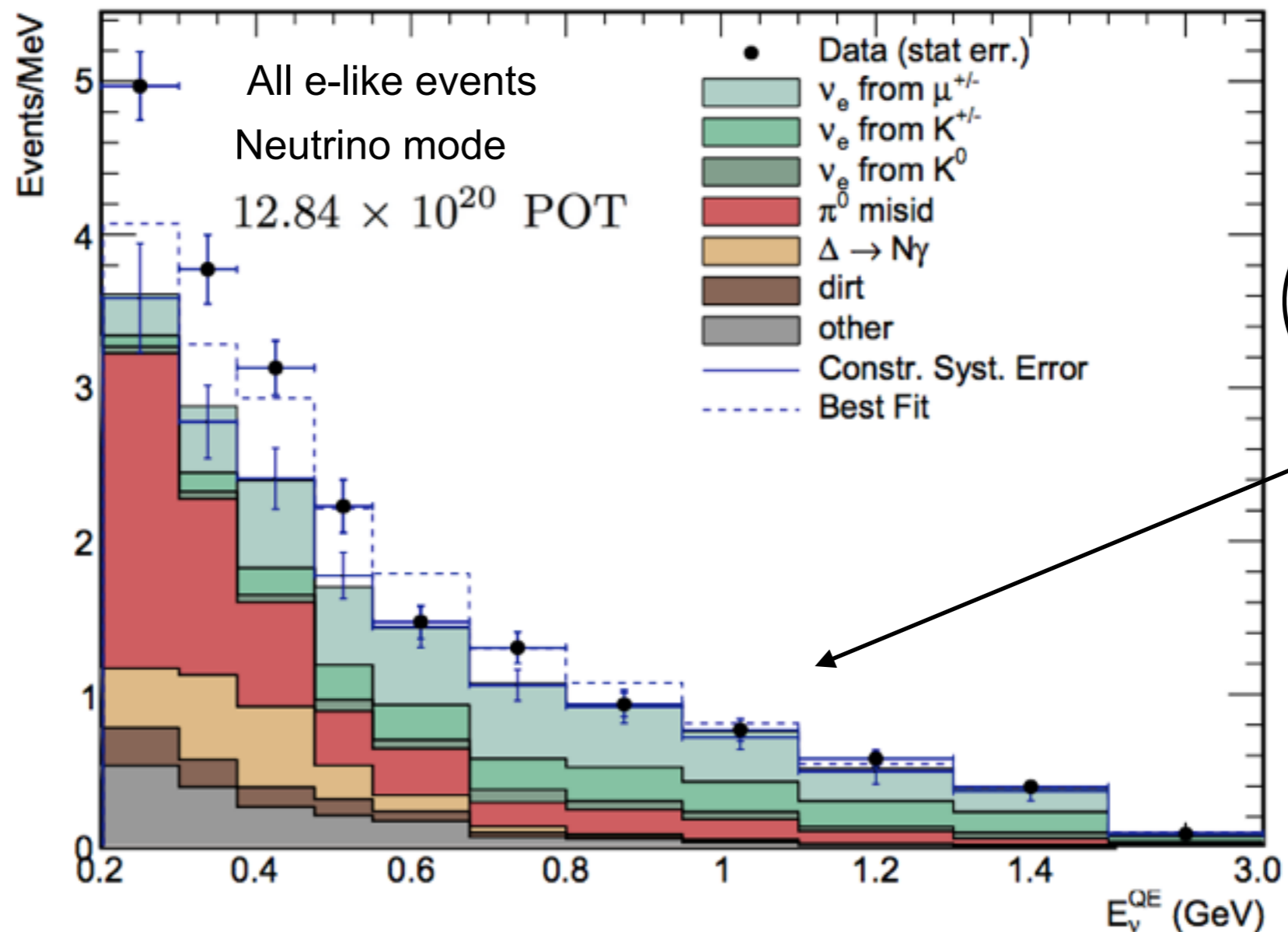
MiniBooNE Collaboration, 1805.12028



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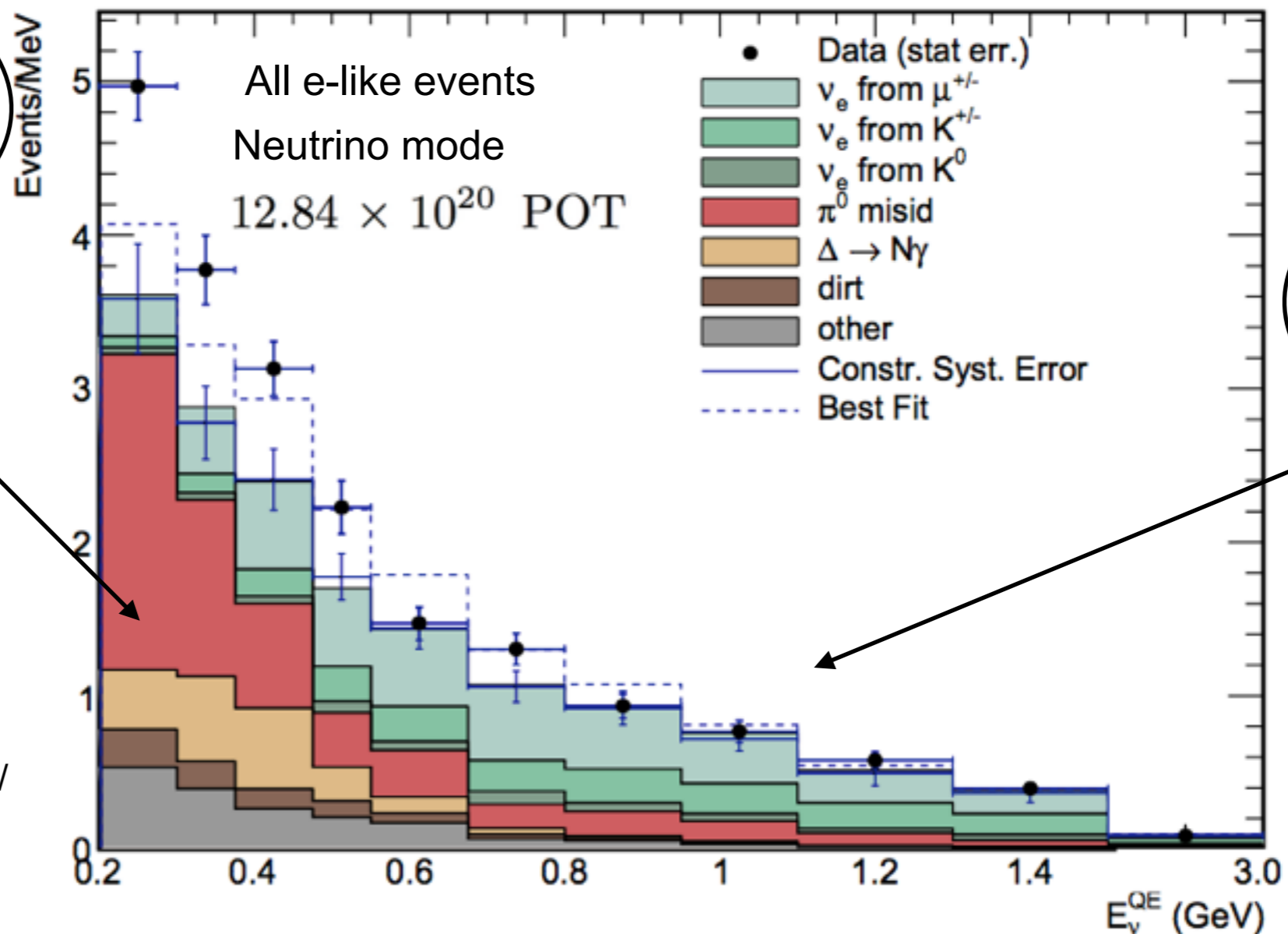
MiniBooNE Collaboration, 1805.12028



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4.7 σ excess observed in neutrino + antineutrino mode
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MiniBooNE Collaboration, 1805.12028



Single-photon and other mis-ID backgrounds accumulate here

LSND best-fit would have appeared here

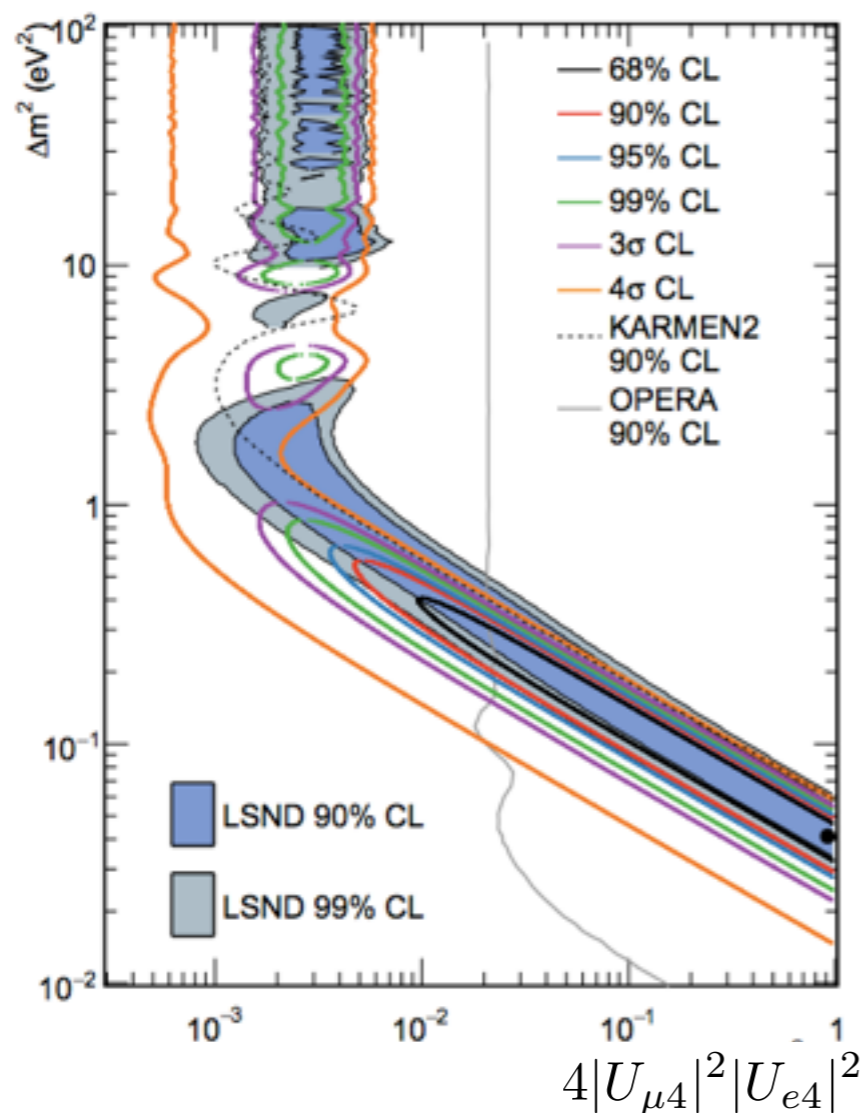
For a nice discussion of backgrounds, see:

T. Katori talk at CERN

<https://indico.cern.ch/event/791940/>

eV sterile oscillations

MiniBooNE Collaboration, 1805.12028



An appearance signal implies
electron- and muon-flavour disappearance

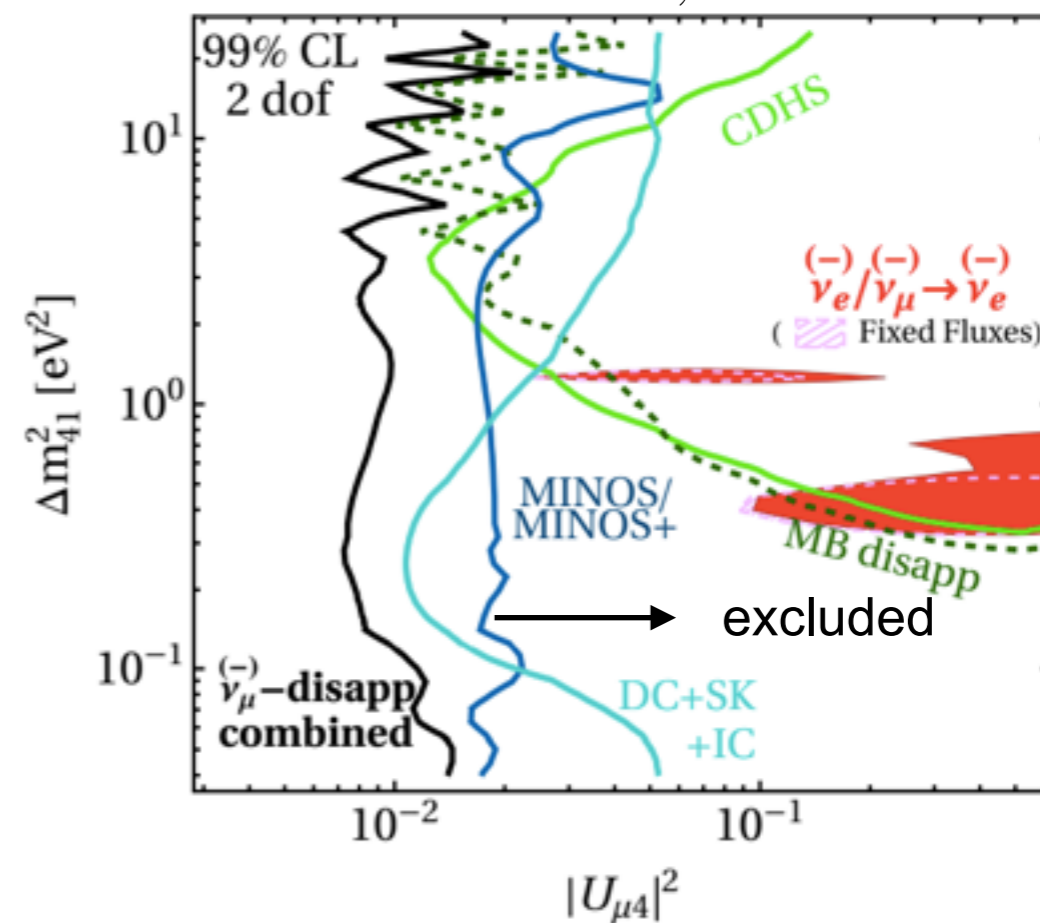
Large tension between datasets.

Appearance and disappearance probs.

$$P_{\nu_\mu \rightarrow \nu_e}^{3+1} = 4|U_{\mu 4}|^2|U_{e 4}|^2 \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

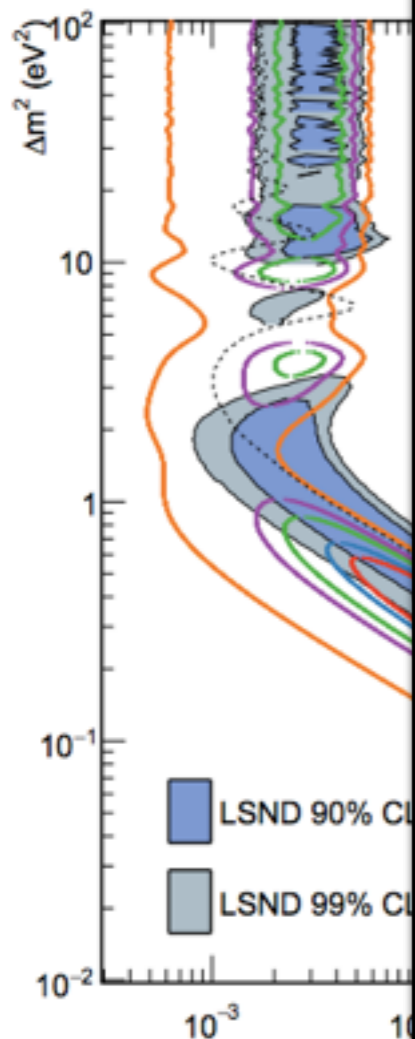
$$P_{\nu_\mu \rightarrow \nu_\mu}^{3+1} = 1 - 4|U_{\mu 4}|^2(1 - |U_{\mu 4}|^2) \sin^2\left(\frac{\Delta m^2 L}{4E}\right)$$

M. Dentler et al, 1803.10661



eV sterile oscillations

MiniBooNE Collaboration, 1805.12028



IF we are seeing sterile neutrinos,
they are probably more **exotic** than we thought...

1) sterile in upscattering?

2) decaying steriles?

Dentler et al, 1911.01427
de Gouvea et al, 1911.01447
Palomares-Ruiz et al, 0505216

3) steriles w/ new matter effects?

J. Bramante, 1110.4871
J. Asadi et al., 1712.08019
D. Doring H. Päs, 1808.07734

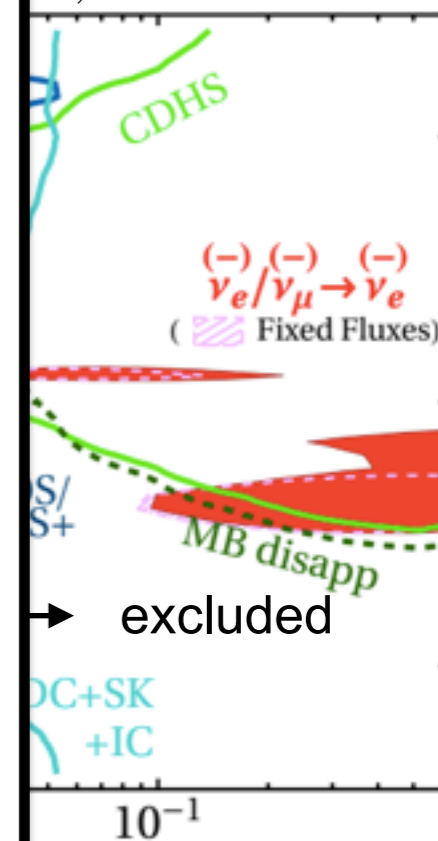
...

appearance probs.

$$\sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

$$|U_{\mu 4}|^2 \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

al, 1803.10661



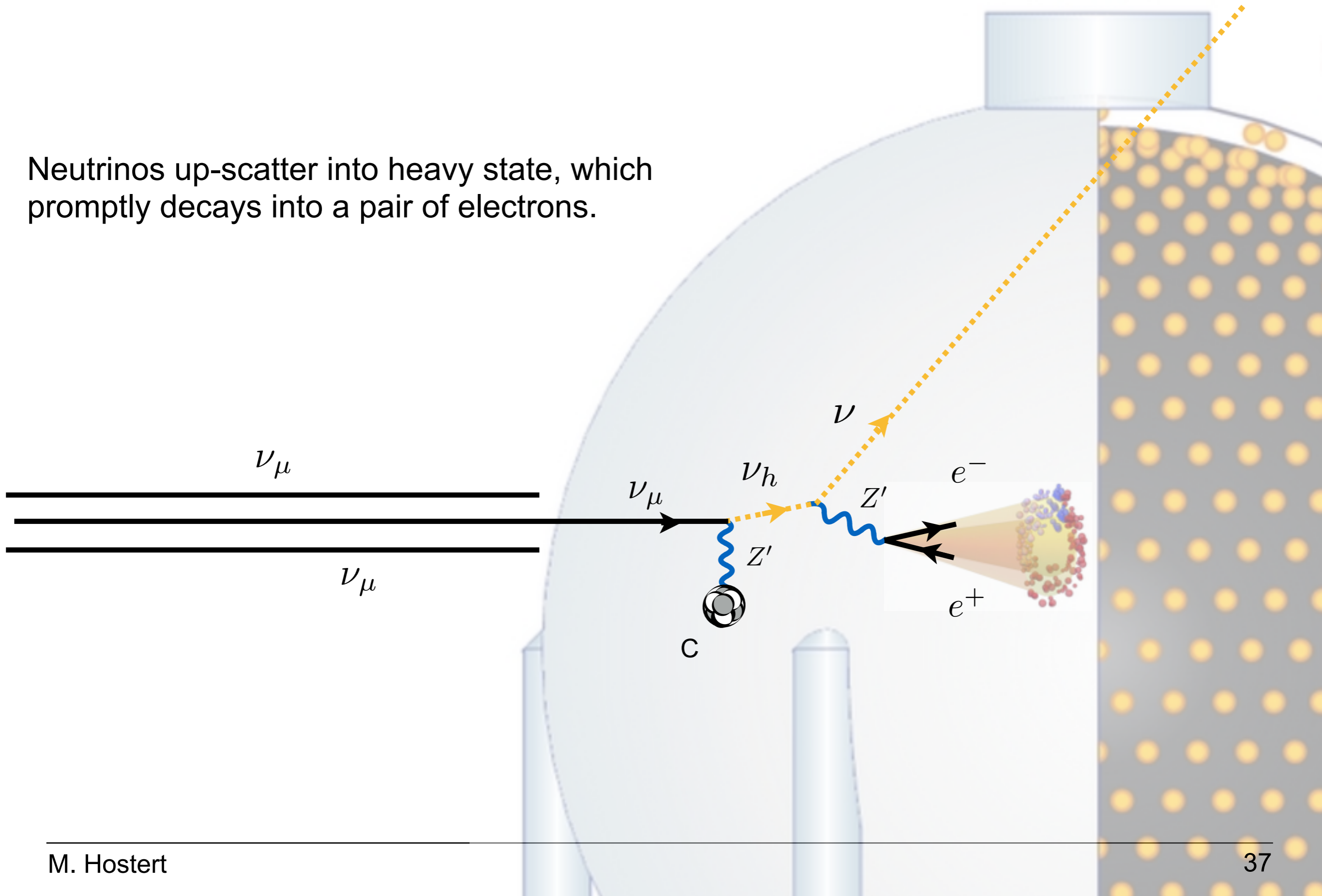
An appearance
electron- and muon-f

Large tension be

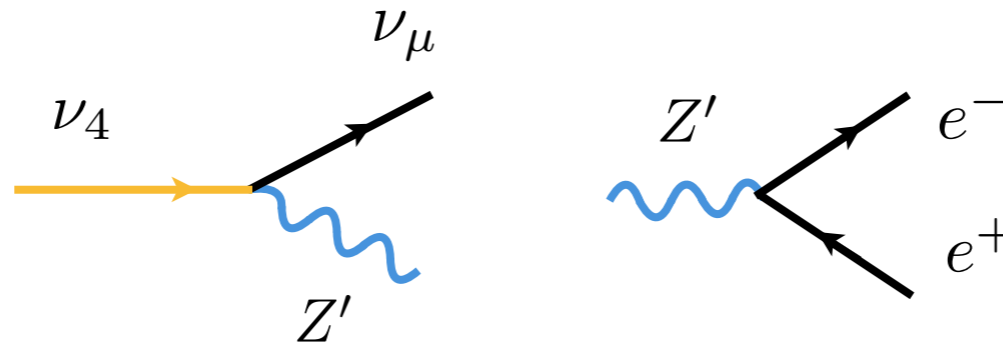
$|U_{\mu 4}|^2$

Dark neutrinos @ MiniBooNE

Neutrinos up-scatter into heavy state, which promptly decays into a pair of electrons.

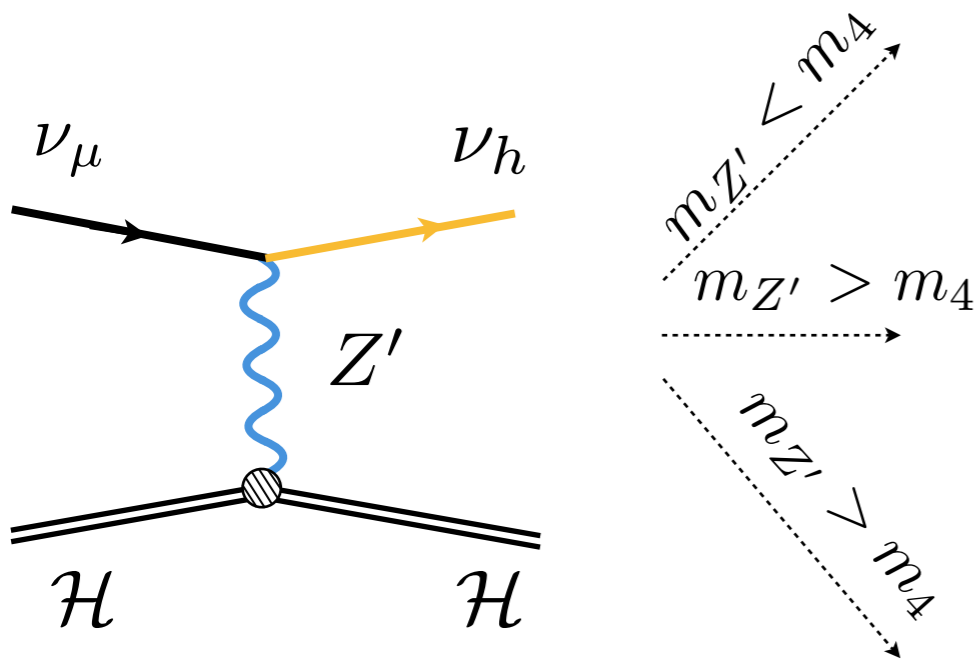


Dark neutrinos @ MiniBooNE

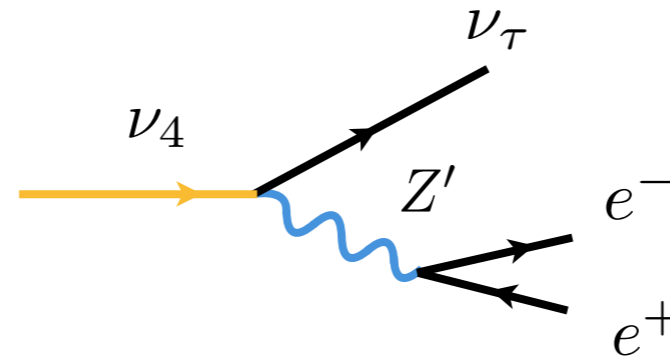


Coherent scattering
overlapping ee pairs

E. Bertuzzo et al., 1807.09877

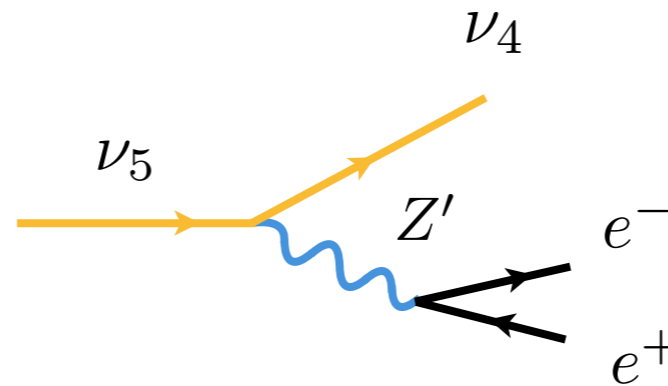


$m_{Z'} < m_4$
 $m_{Z'} > m_4$
 $m_{Z'} > m_4$



Proton elastic signal
Isotropic signal

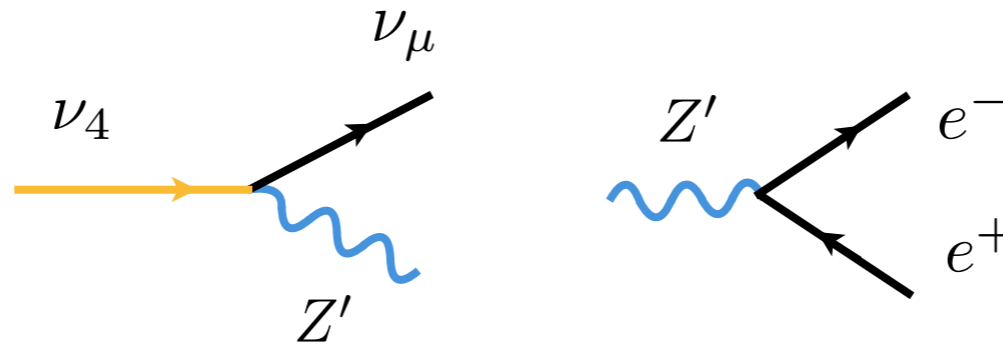
P. Ballett et al., 1808.02915



Fast decays
Proton elastic signal
Isotropic signal

P. Ballett et al., 1903.07589

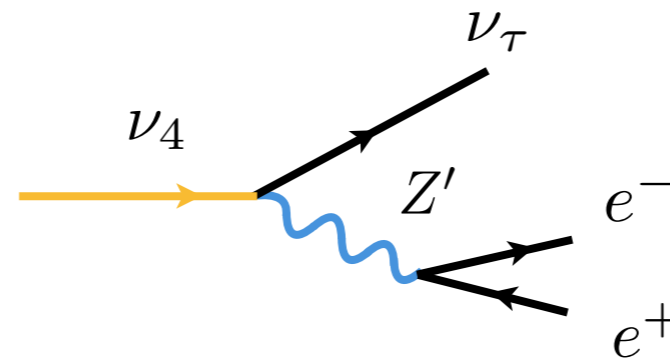
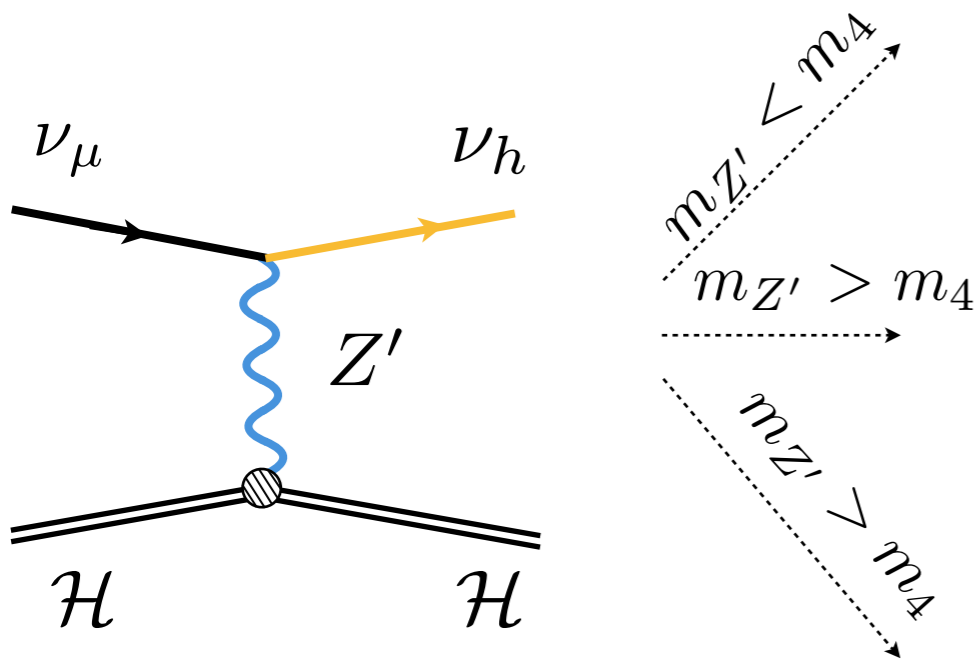
Dark neutrinos @ MiniBooNE



E. Bertuzzo et al., 1807.09877

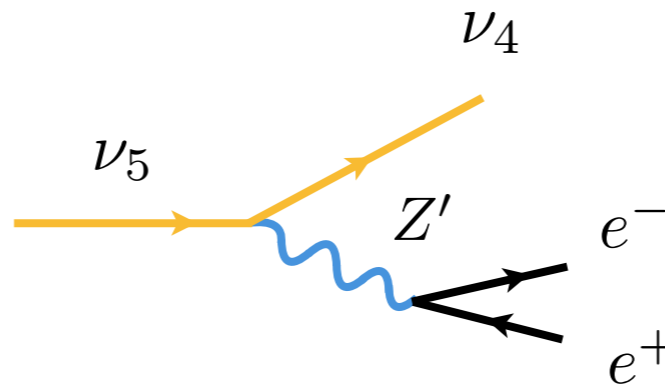
**Cannot reconcile
nu-e scattering data
with angular distribution
at MB.**

C. Argüelles et al, 1812.08768



P. Ballett et al., 1808.02915

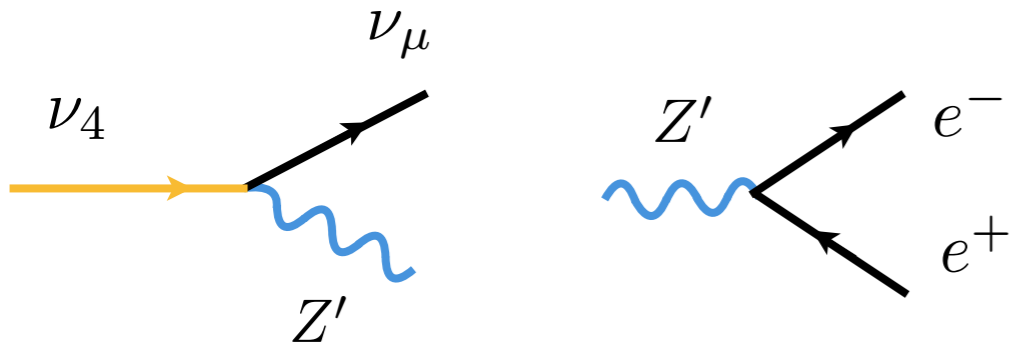
Mixing with tau is too large.



P. Ballett et al., 1903.07589

More contrived.

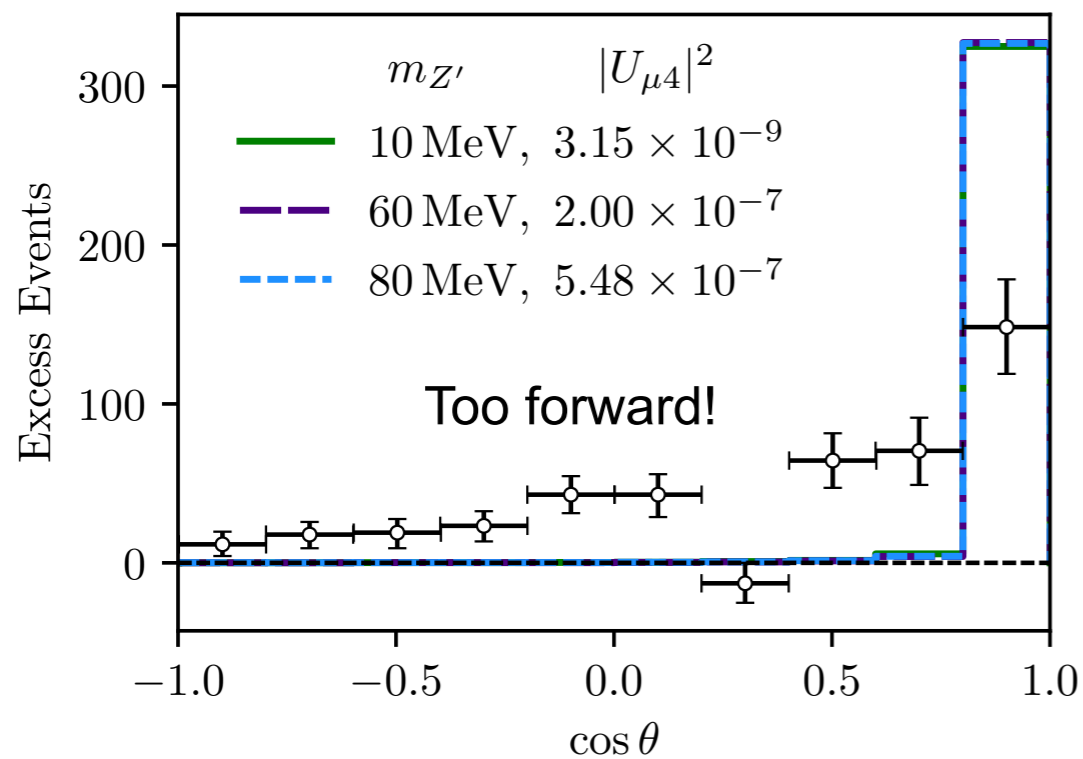
MiniBooNE — Light dark photon — revisited



E. Bertuzzo et al., 1807.09877

We revisit this MiniBooNE explanation using a better signal definition:

MiniBooNE LEE $m_4 = 100$ MeV

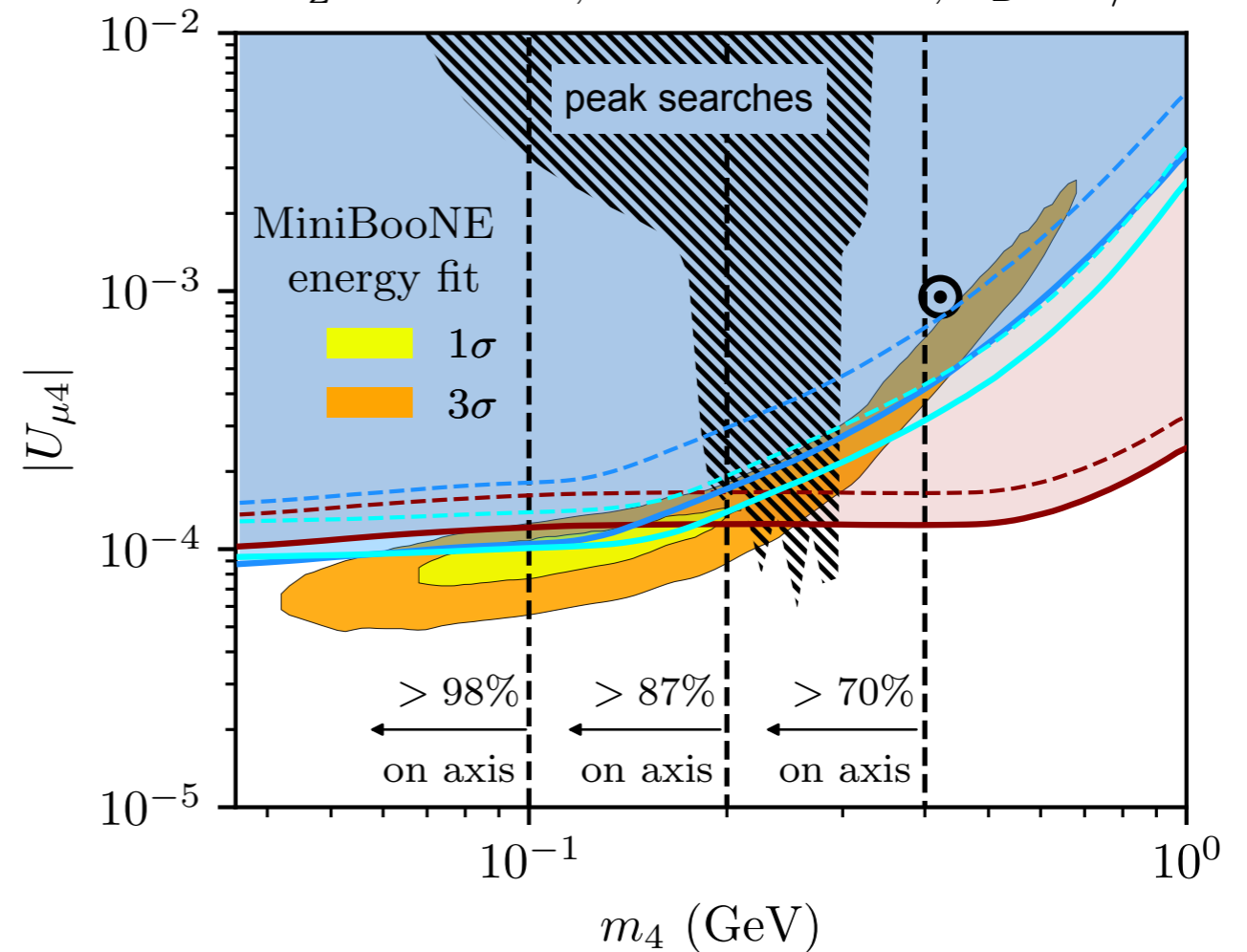


Too forward!
Cannot reconcile angular dist. w/ nu-e data!

C. Argüelles et al, 1812.08768

“Single photons” in nu-e scattering

$m_{Z'} = 30$ MeV, $\alpha\epsilon^2 = 2 \times 10^{-10}$, $\alpha_D = 1/4$



MINERvA LE, MINERvA ME, CHARM-II

Heavy dark photon case

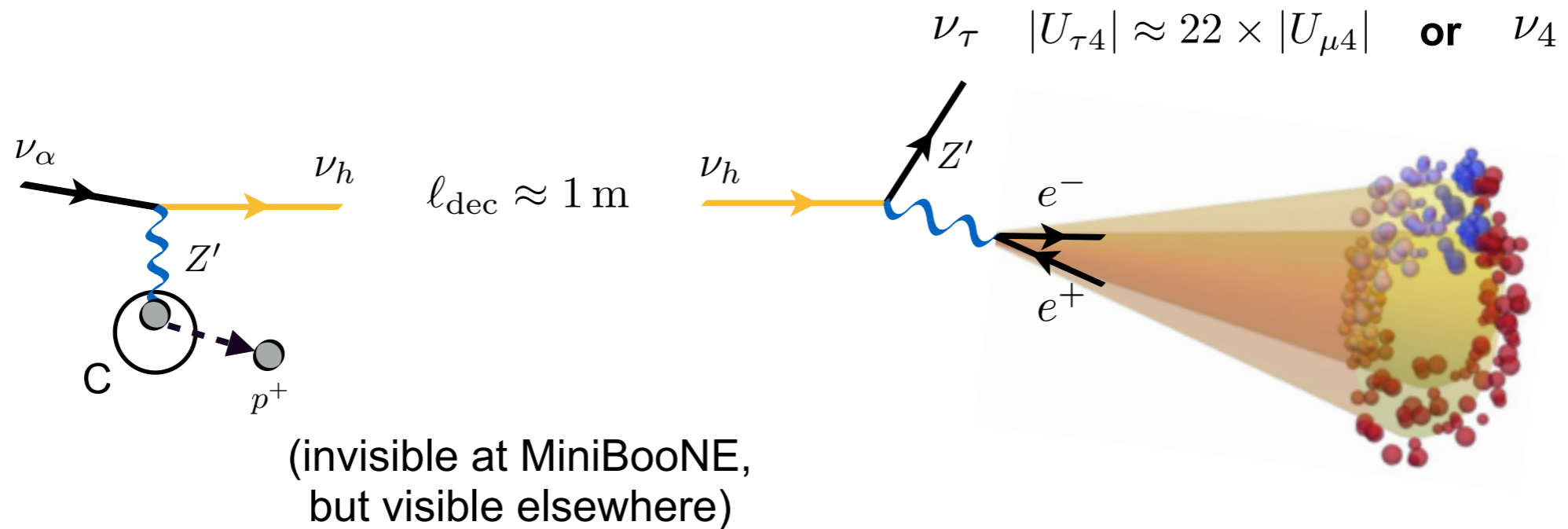
$$m_{Z'} > m_4$$

Signal: Proton + shower(s)

P. Ballett et al, 1808.02915

P. Ballett et al, 1903.07589

— single EM shower + some hadronic activity —

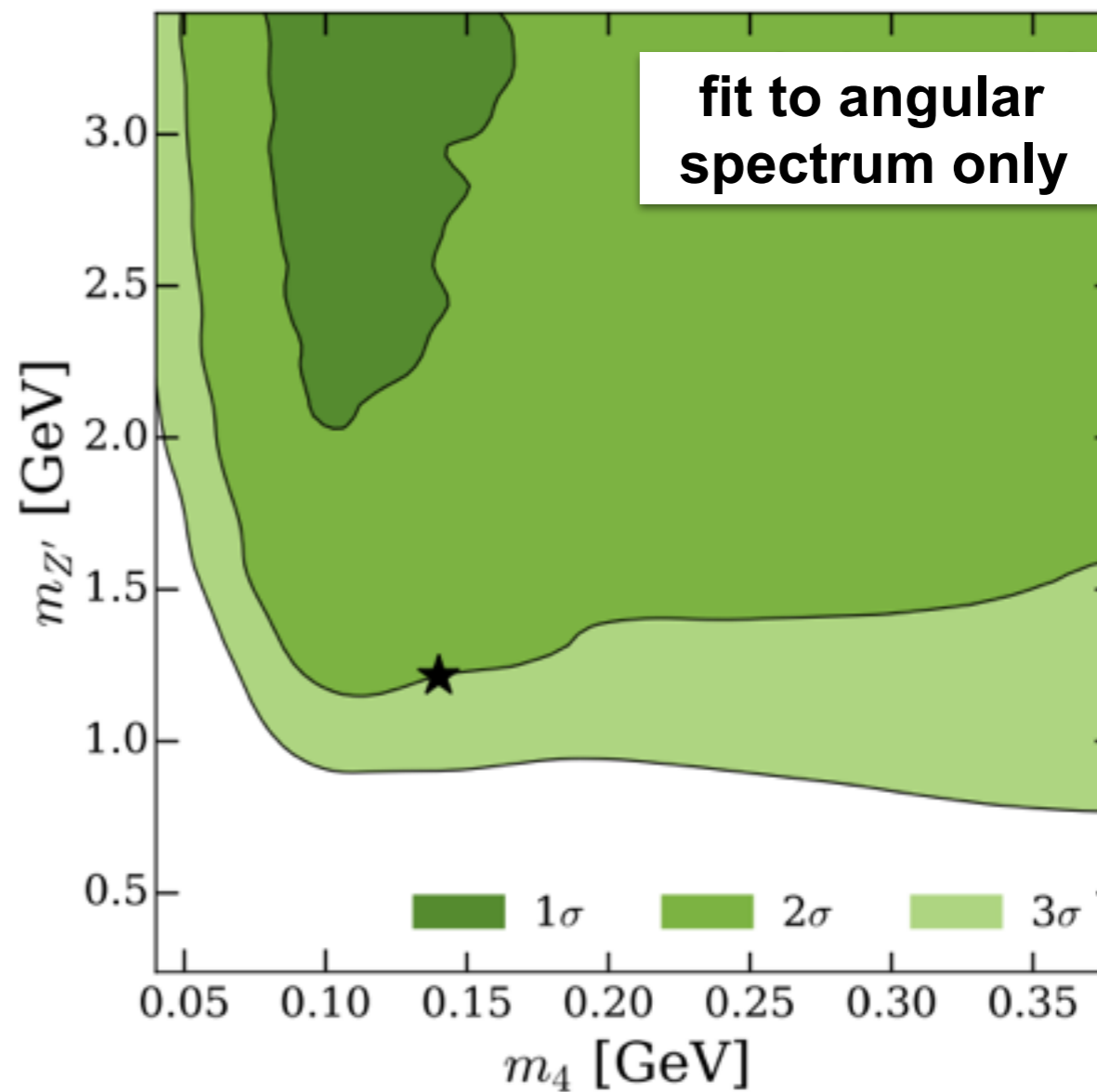
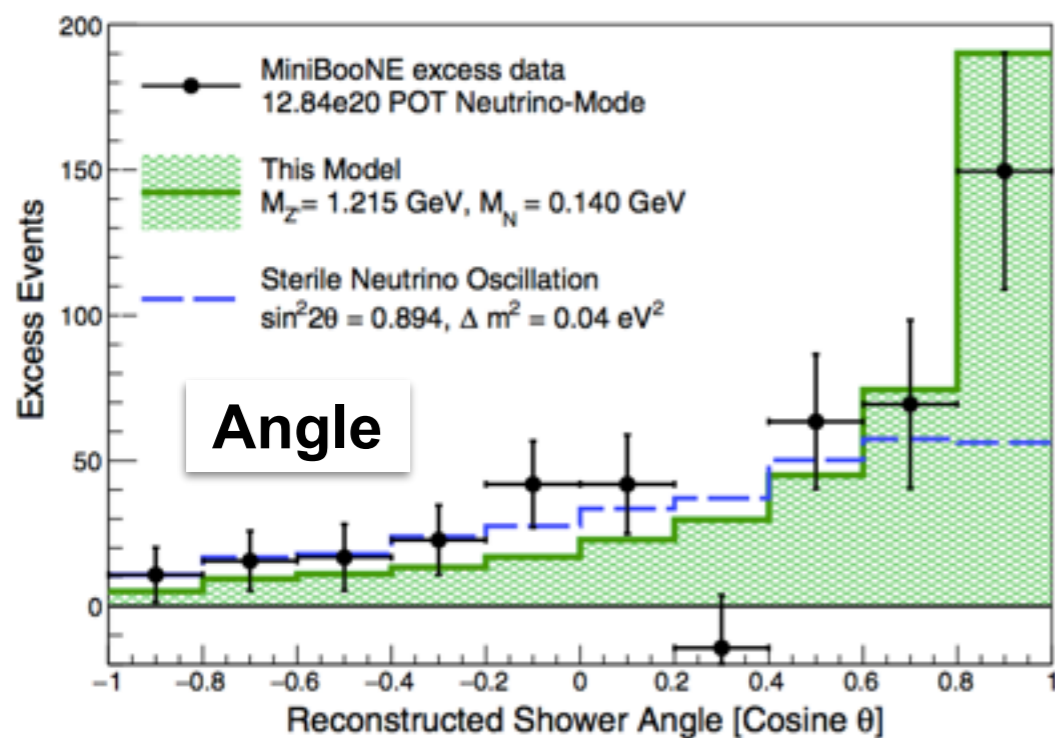
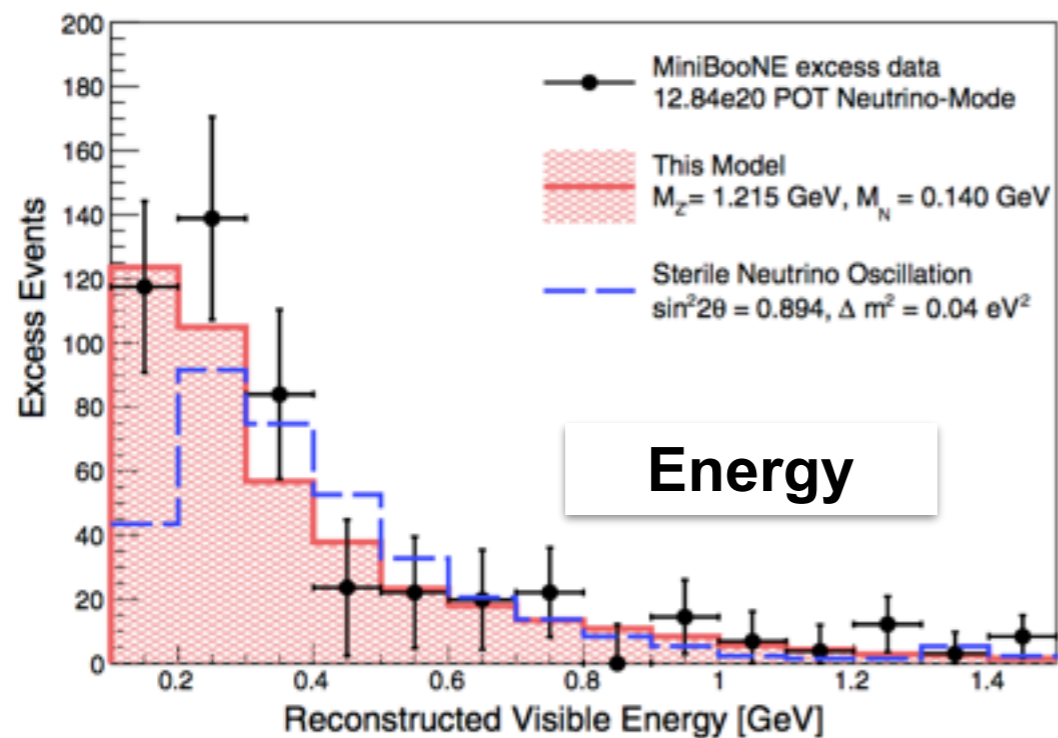


Does not show up in nu-e scattering due to hadronic activity!

Decay length is much smaller in 3+2 model and **~ free parameter!**

Heavy dark photon case

See *P. Ballett et al, PRD.99.071701*



Much better angular fit!

$$-\mathcal{L}_{\text{int}} = g\bar{\nu}_s\nu_s\phi$$

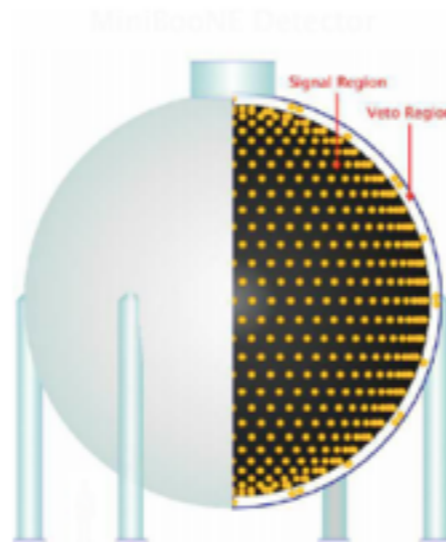
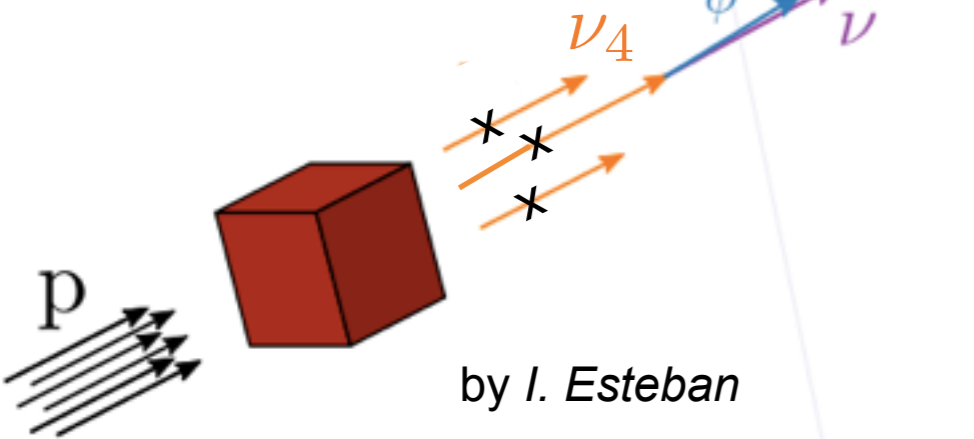
$$-\mathcal{L}_{\text{int}} = g\bar{\nu}_s\nu_s\phi \supset gU_{s4}^*\bar{\nu}_4\left(\sum_{i=1}^3 U_{si}\nu_i\right)\phi + g\left(\sum_{i=1}^3 U_{si}^*\bar{\nu}_i\right)\left(\sum_{i=1}^3 U_{si}\nu_i\right)\phi$$

See I. Esteban talk at CERN
10.5281/zenodo.3509890.

$$\nu_4 \longrightarrow \phi + \nu_F$$

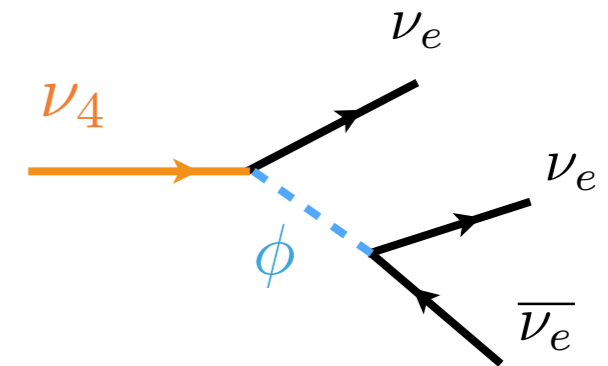
$$ \longmapsto \nu_F + \bar{\nu}_F$$

At MB sterile is produced through muon-mixing.



Muon disappearance signal is small.

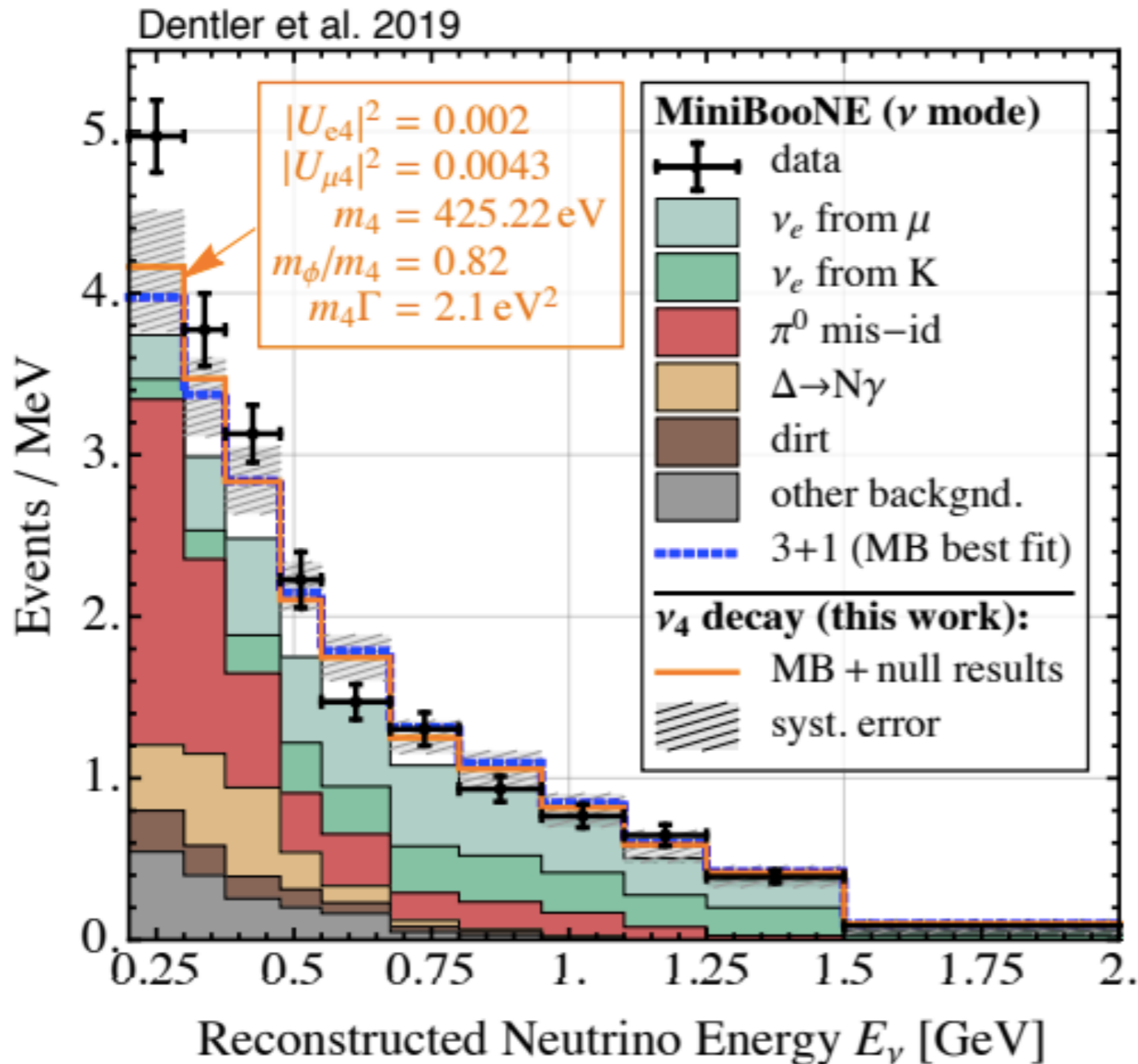
At least one sterile state and one “neutrino-philic” scalar



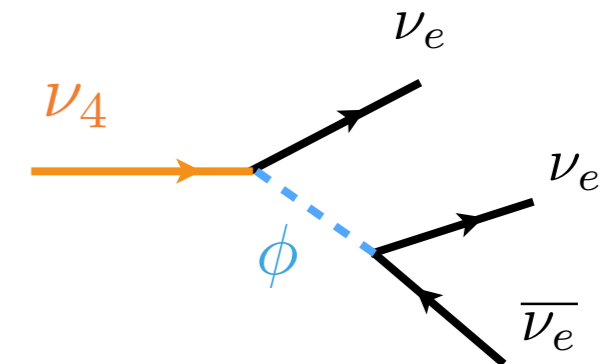
MB LEE prefers a **massive scalar** that decays on-shell.

* Non-trivial cosmology but no dedicated analysis performed so far.

$$-\mathcal{L}_{\text{int}} = g\bar{\nu}_s\nu_s\phi \supset gU_{s4}^*\bar{\nu}_4\left(\sum_{i=1}^3 U_{si}\nu_i\right)\phi + g\left(\sum_{i=1}^3 U_{si}^*\bar{\nu}_i\right)\left(\sum_{i=1}^3 U_{si}\nu_i\right)\phi$$



At least one sterile state and one “neutrino-philic” scalar



MB LEE prefers a **massive scalar** that decays on-shell.

Conclusions

We have learned a lot about HNLs — in particular, what they **are not!**

Exciting new prospects w/ advent of large scale neutrino detectors and intense beams.

Dark sectors and HNL's go *hand in hand*
— plethora of possibilities for “**secret/dark/hidden**” neutrino physics —

Renewed interest in MiniBooNE
— many new models and fresh ideas with **non-minimal** sectors —

Thank you!

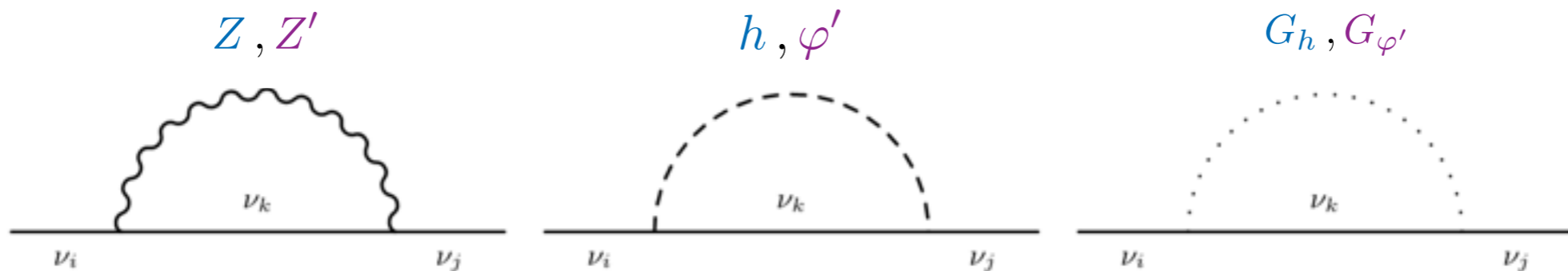
APPENDIX

Neutrino masses at one-loop level

$$\mathcal{L}_{\text{mass}} \supset \frac{1}{2} (\bar{\nu}_\alpha \quad \bar{N} \quad \bar{\nu}_D) \begin{pmatrix} 0 & m_D & 0 \\ m_D & \mu' & \Lambda \\ 0 & \Lambda & 0 \end{pmatrix} \begin{pmatrix} \nu_\alpha^c \\ N^c \\ \nu_D^c \end{pmatrix} \quad \text{LNV}$$

U(1)' protected

After U(1)' is broken, zeros are no long protected!



$$m_{ij} = \frac{1}{4\pi^2} \sum_{k=4}^5 \left[C_{ik} C_{jk} \frac{m_k^3}{m_Z^2} F(m_k^2, m_Z^2, m_h^2) + D_{ik} D_{jk} \frac{m_k^3}{m_{Z'}^2} F(m_k^2, m_{Z'}^2, m_{\varphi'}^2) \right],$$

$$\text{SM} \quad C_{ik} \equiv \frac{g}{4c_W} \sum_{\alpha=e}^{\tau} U_{\alpha i}^* U_{\alpha k} \quad D_{ik} \equiv \frac{g'}{2} U_{Di}^* U_{Dk}. \quad \text{BSM}$$

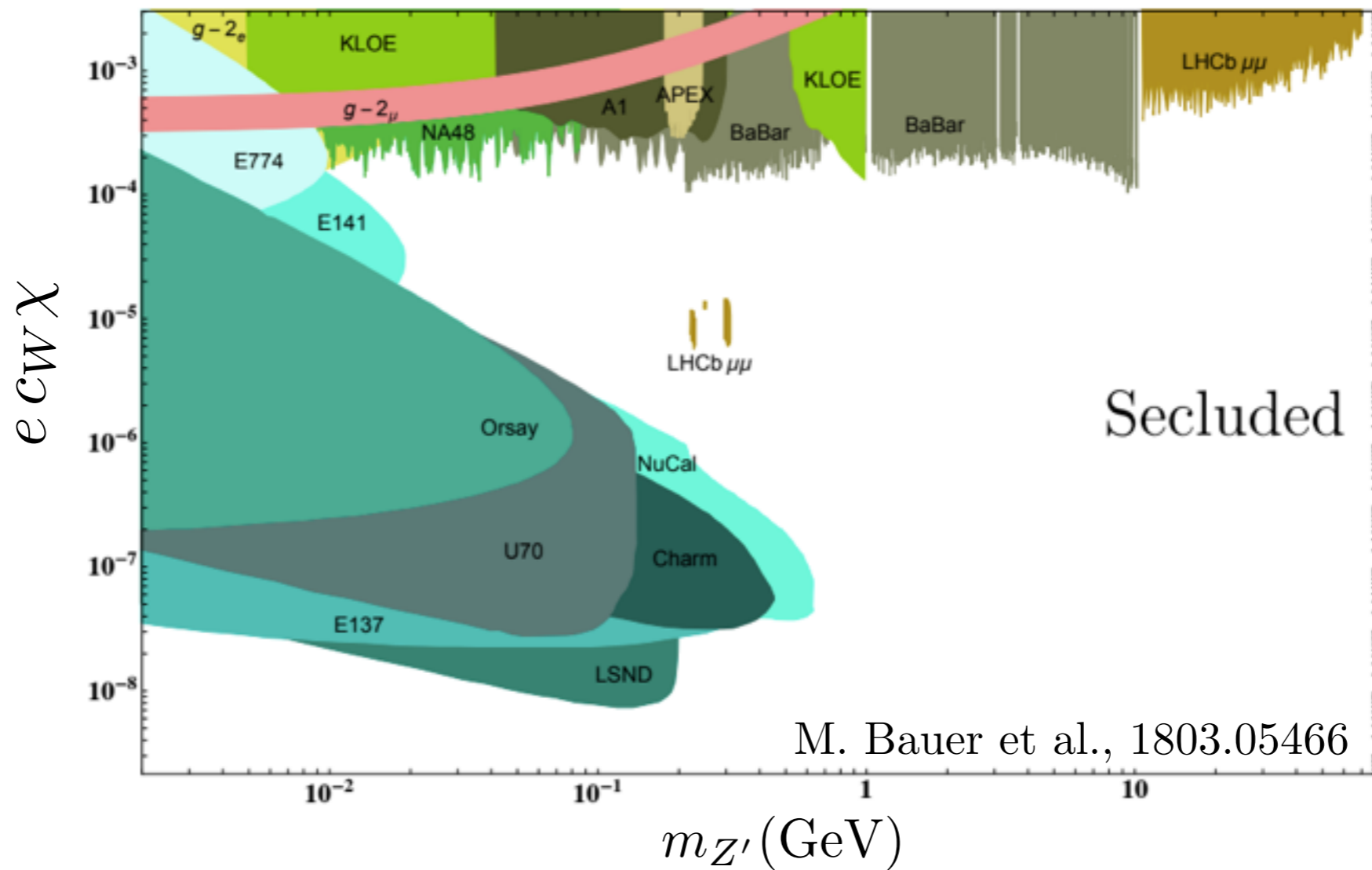
In general, both contributions are important, but if NP is light, it dominates.

If both are large => two massive neutrinos $m_\nu \propto \mu'$

Dark photon

$$\frac{\sin \chi}{2} B_{\mu\nu} X^{\mu\nu}$$

For a light boson \rightarrow couples only to electric charge (dark photon).



Neutrino Portal Dark Matter

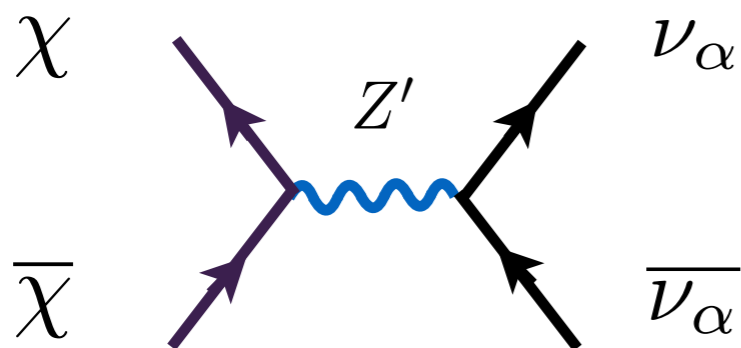
Neutrino portal DM — growing literature, see, e.g.,

B. Bertoni et al, 1412.3113

B. Batell et al, 1709.07001

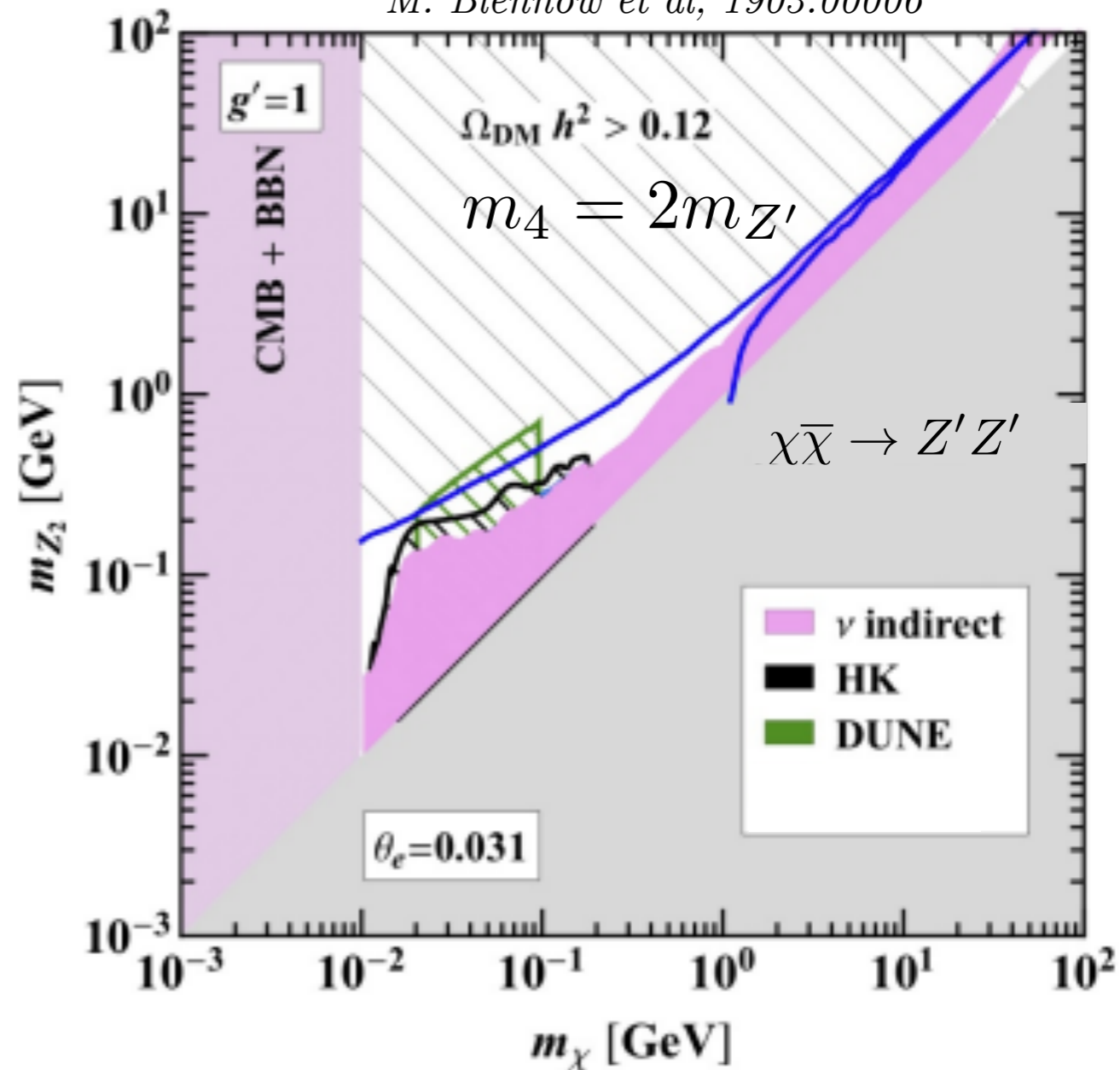
M. Blennow et al, 1903.00006

$$\langle \sigma v_r \rangle \approx \frac{g'}{8\pi} |U_{\alpha 4}|^4 \frac{m_\chi^2}{(4m_\chi^2 - m_{Z'}^2)^2}$$



Monochromatic neutrinos from the Galaxy can be searched for in large volume detectors.

M. Blennow et al, 1903.00006

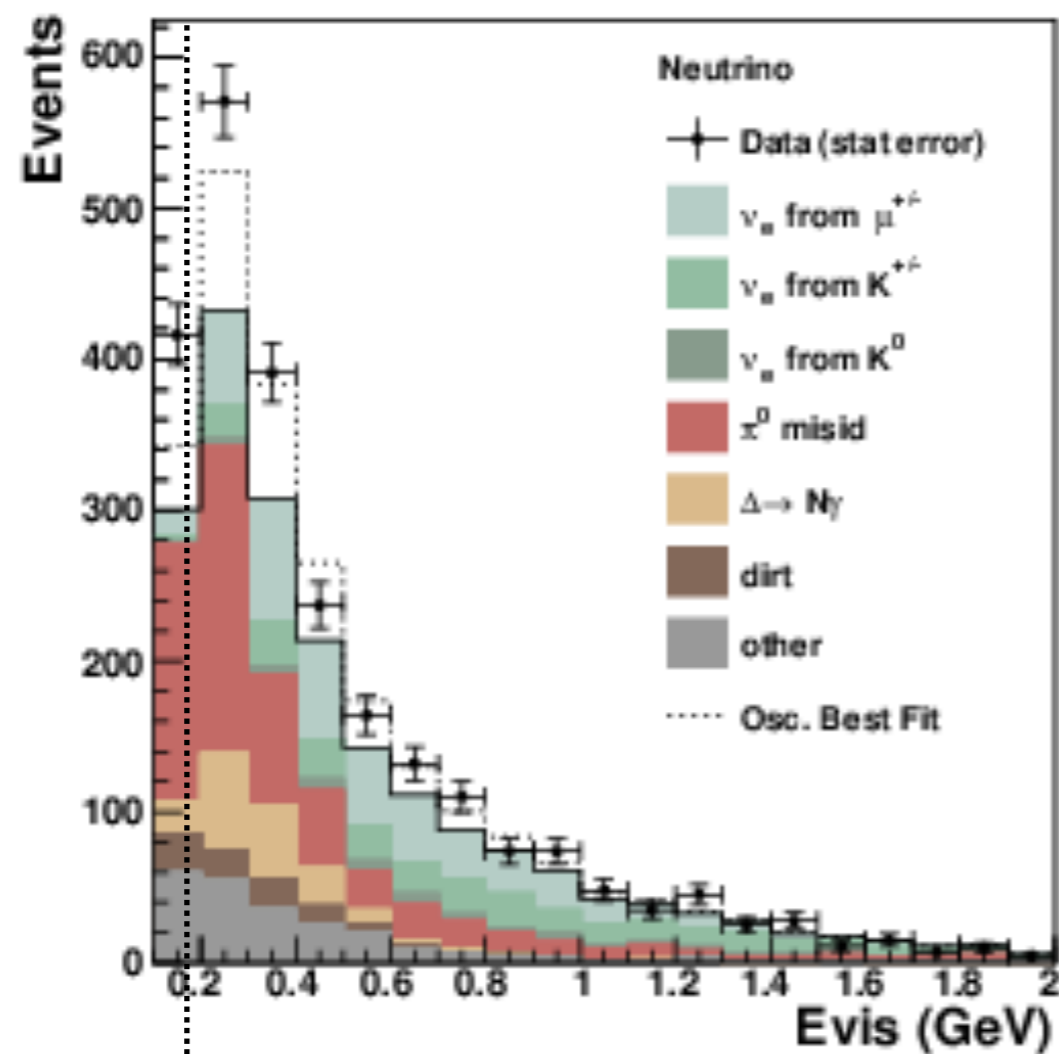


The MiniBooNE Low Energy Excess (LEE)

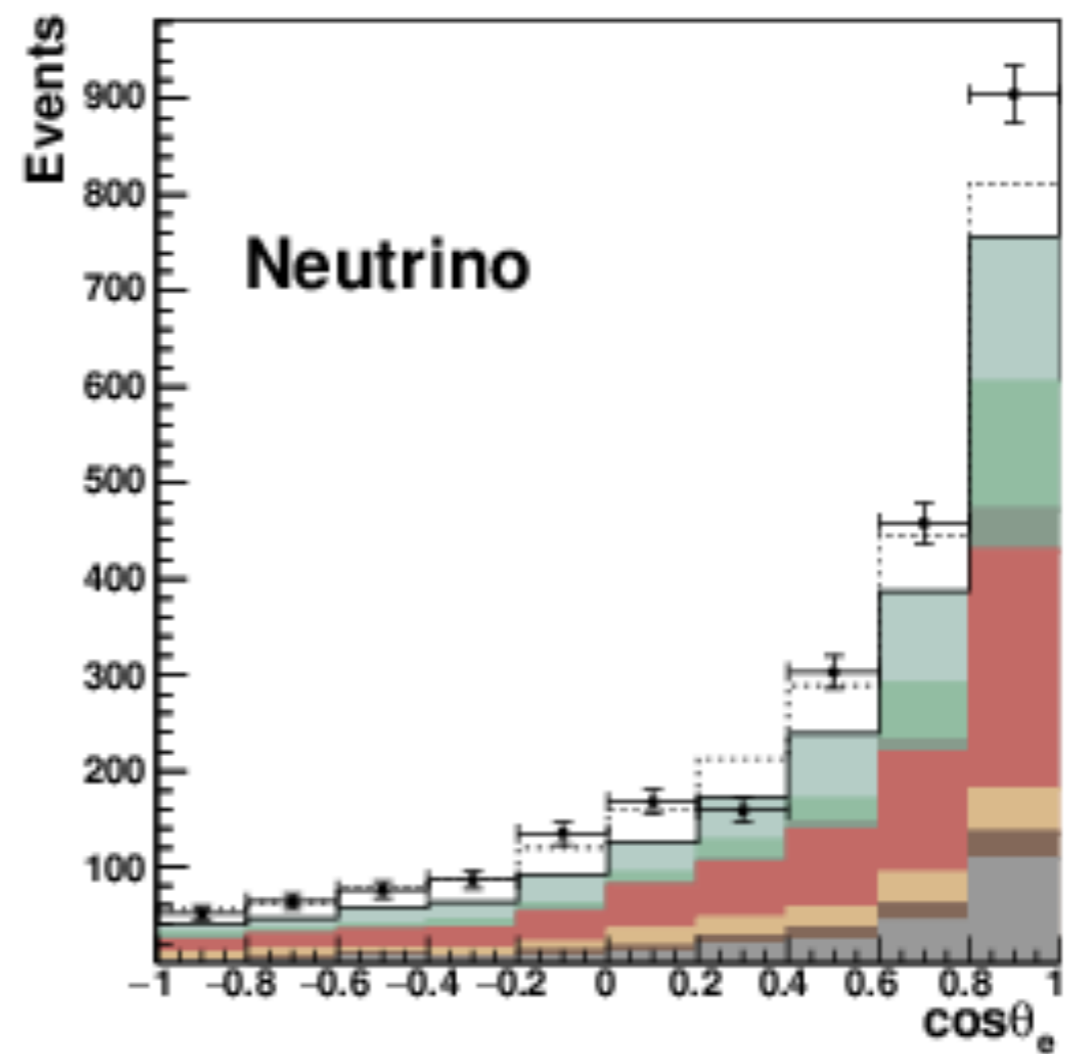
4.7 σ excess observed in neutrino + antineutrino mode
— data/MC disagreement beyond statistical doubt —

MiniBooNE Collaboration, 1805.12028

MiniBooNE Collaboration, 1805.12028

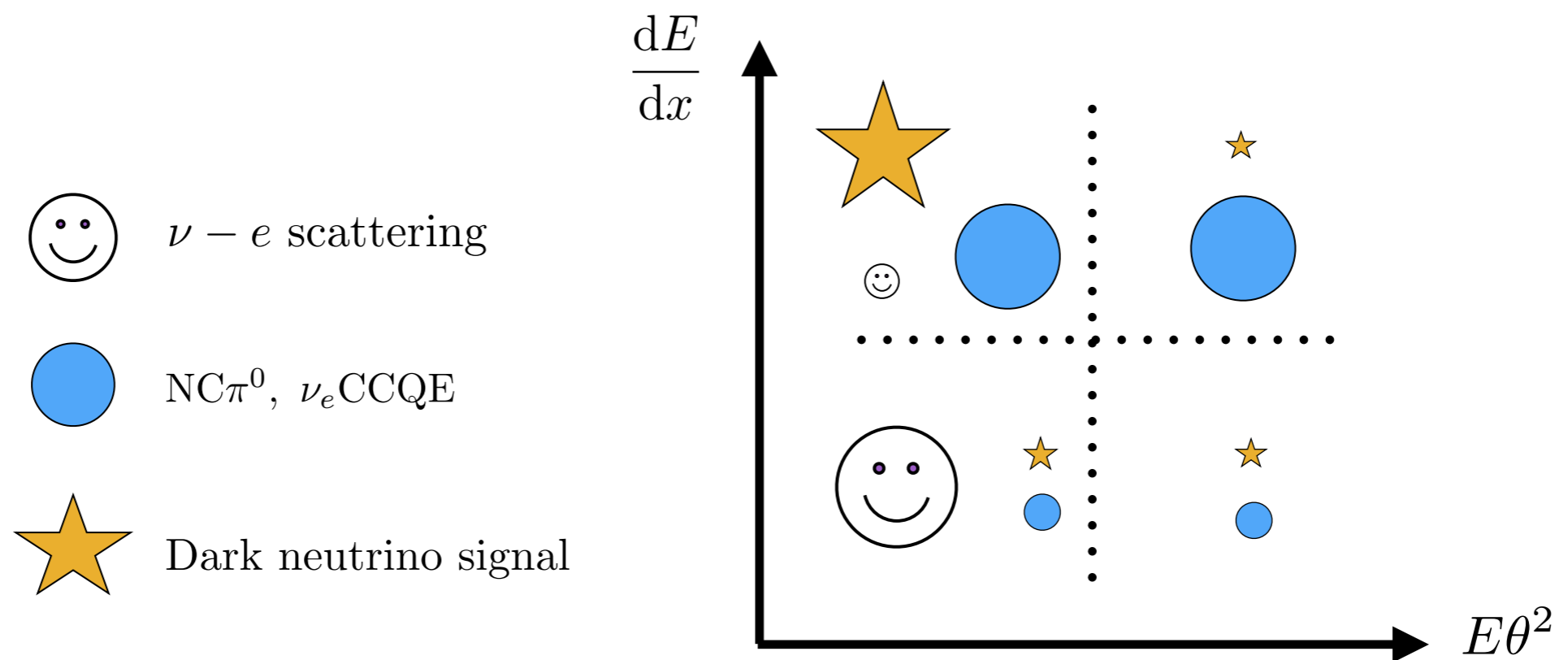


Evis > 140 MeV



Dark neutrino signature

Data usually shown in one of 2 variables
— angle w.r.t. the beam or dE/dx (energy deposition) —



New physics shows up in sideband — would benefit from full dataset

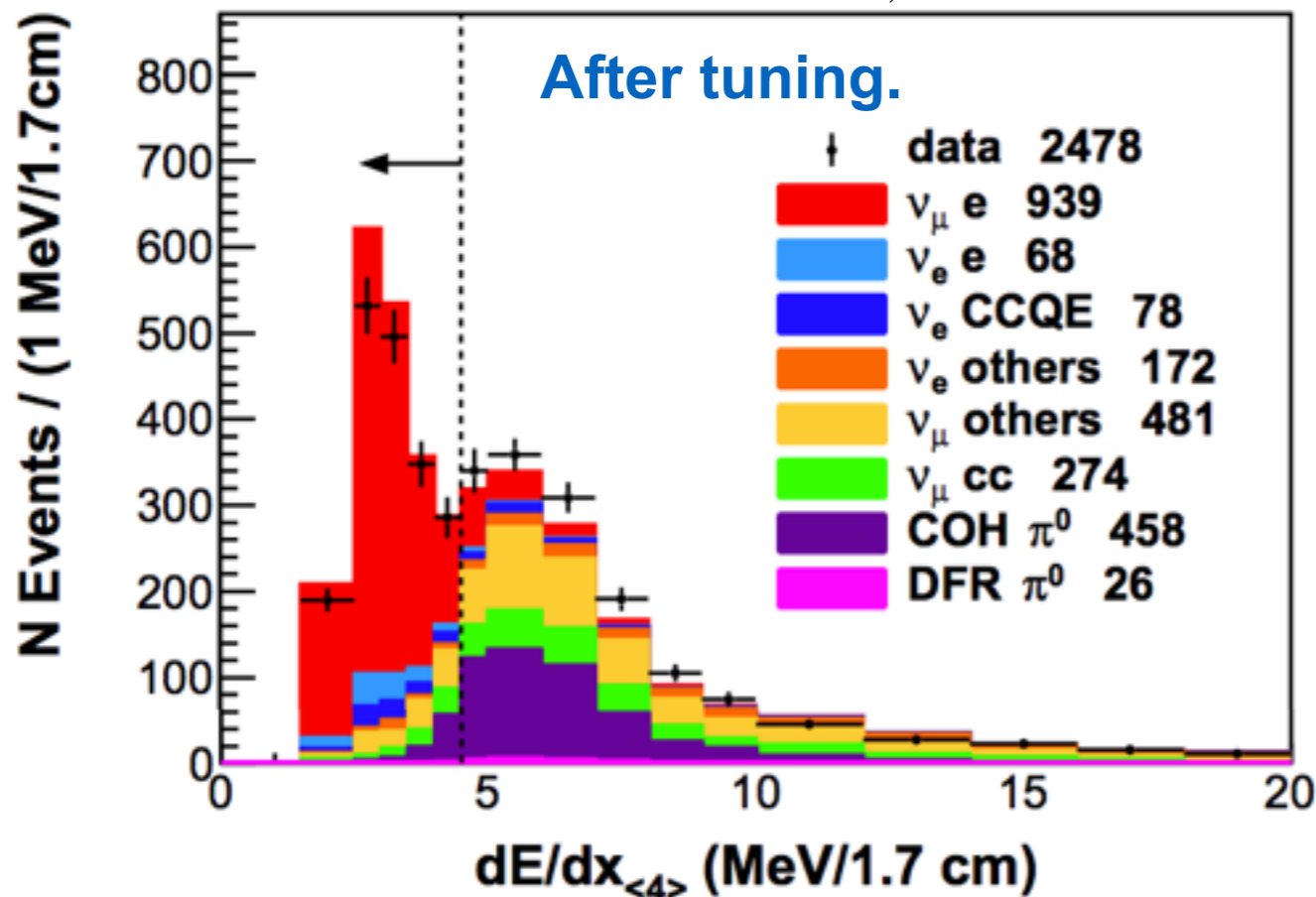
See Carlos Argüelles talk at PONDD: [10.5281/zenodo.2642413](https://zenodo.org/record/2642413)

MINERvA Medium Energy results

BUT! MINERvA actually sees an excess at large dE/dx !

... and tunes it away to measure the neutrino flux.

MINERvA Collaboration, 1906.00111



MINERvA Collaboration, 1906.00111

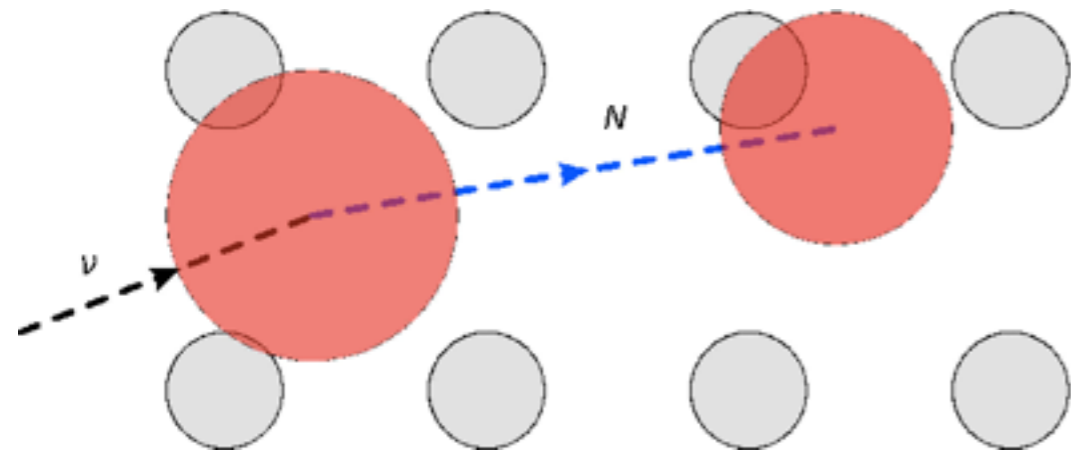
Process	Normalization
ν_e	0.87 ± 0.03
ν_μ CC	1.08 ± 0.04
ν_μ NC	0.86 ± 0.04
NC COH $0.8 < E_e < 2.0$ GeV	0.9 ± 0.2
NC COH $2.0 < E_e < 3.0$ GeV	1.0 ± 0.3
NC COH $3.0 < E_e < 5.0$ GeV	1.3 ± 0.2
NC COH $5.0 < E_e < 7.0$ GeV	1.5 ± 0.3
NC COH $7.0 < E_e < 9.0$ GeV	1.7 ± 0.8
NC COH $9.0 < E_e$	3.0 ± 0.9

TABLE I. Background normalization scale factors extracted from the fits to kinematic sidebands, with statistical uncertainties.

Excess attributed to coherent π^0 events — grows with energy.

— disagreement with GENIE prediction claimed both in **NC and CC channels** —

Double Bangs at IceCube



Prediction: **Double-bang events at IceCube.**

Large rate for large tau mixing (3+1),
but smaller rate for muon mixing only (3+2).

P. Coloma, 1906.02106

