Charm, beauty, and truth at hadron colliders

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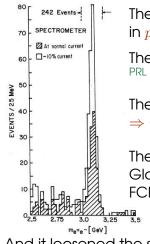
> Lecture 1 July 23, 2019

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- 1. Heavy-quark production: history and applications
- 2. Massive quark scattering, key ideas
- 3. Practical example: single-top production
- 4. Part 2 (John Collins, tomorrow): QCD factorization formalism for heavy quarks
- 5. Experimental results: Ben Nachman's lecture

Heavy-quark production: history and applications

1974: Physicists discover charm



The first heavy quark, charm was discovered in in $p\bar{p}$ collisions at BNL and e^+e^- at SLAC

The observations were published together: PRL 33, 1404 (1974); PRL 33, 1406 (1974)

The J/ψ was recognized as a $c\bar{c}$ bound state $\Rightarrow m_c \sim 1.5~{\rm GeV}$

The existence of a 4th quark confirmed the Glashow-Iliopoulos-Maiani explanation for why FCNC decays $(s \rightarrow d\nu\bar{\nu})$ did not occur.

And it loosened the shackles of SU(3)_{flavor}, Gell-Mann's "Eightfold way"

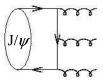
Too narrow a resonance

While the J/ψ was clearly a quark bound state, it had an extremely narrow width of 88 keV.

This caused a minor crisis in the fledgling QCD...

After all how could a strongly interacting state be narrow?

$$\label{eq:gamma} \begin{split} \Gamma_\rho \sim 150 \; {\rm MeV}, \, \Gamma_\omega \sim 8.5 \; {\rm MeV}, \, \Gamma_\phi \sim 4.3 \; {\rm MeV}, \\ \Gamma_{J/\psi} \sim 88 \; {\rm keV} \end{split}$$

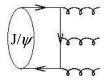


Too narrow a resonance

An explanation was found by Appelquist and Politzer, PRL 34, 43 (75).

Write the width as $\Gamma(^3S_1 \rightarrow 3 \text{ gluons}) = |R(0)|^2 |M(q\bar{q} \rightarrow ggg)|^2$

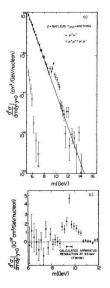
Following the model of positronium, solve the Schroedinger Eqn. for $R(r) = \frac{2}{a_0^{3/2}}e^{-r/a_0}$, where $a_0 = \frac{1}{\alpha_s m_c/2}$.



 $|M(q\bar{q} \to ggg)|^2 \sim \alpha_s^3$ — one power for each gluon $\Rightarrow \Gamma(^3S_1 \to 3 \text{ gluons}) \sim 0.2 \ \alpha_s^6 \ m_c \sim 90 \text{ keV}; \Rightarrow \alpha_s \approx 0.26$

Homework: Why do we not see $J/\psi \rightarrow gg$?

1977: Physicists discover beauty

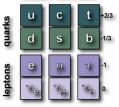


In 1975 the τ was discovered and led to the search for other 3rd-generation particles.

In 1977 the Upsilon (a $b\bar{b}$ bound state) was observed at the Fermilab Tevatron. (The Upsilon is also very narrow.) PRL 39, 252 (1977)

Once the bottom quark was found, it was clear that a sixth quark was needed to complete the family structure.

matter: fermions



"The top quark was discovered several times!"

ABSTRACT

A clear signal is observed for the production of an isolated inspertansverse-momentum from in association with voc of three countail produced (pr. 1 heroveject corest solater around the W⁴ mass, indicating a novel decary of the Intermediate Vector Boson. The rate and features of these resents are not consistent with expresses W = if followed by t-befx where it is the stature (top) for however; in agreement with the process W = if followed by t-befx where it is the stature (top) for the weak Cabbbo current. If this is indeed so, the boards on the mass of the top quark are $30 \, \text{GeVe}^2 < m_e < S \, \text{GeVe}^2$.

UA1, Phys. Lett. B 147, 493 (1984)

IT IS LIKELY THAT m, < mg

F. Halsen*)

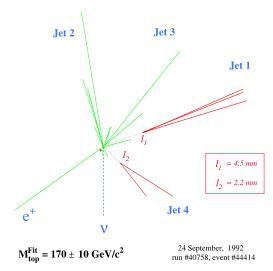
CERN - Geneva

Phys. Lett. B 182, 388 (1986)

ABSTRACT

Within the standard model with three generations, the experimental data on the rate of W versus Z events in pp collisions favour $m_{\rm c} < m_{\rm e^+}$. The bound is sharpened for $N_{\rm c} > 3$. We discuss the virtues as well as the shortcomings in the procedure to determine the t-quark mass from such data. Neutrino experiments sensitive to u(x)/d(x) structure function ratios can help.

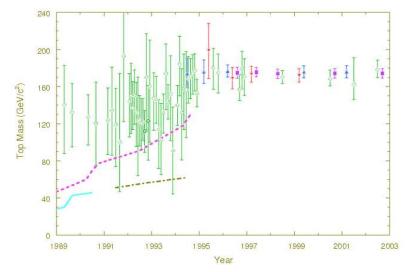
1992: "This is the top quark!"



OR MAYBE NOT

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1995: FNAL announces the top quark discovery



Did LEP predict the mass? Look at Sept. 1992 ...

Quigg

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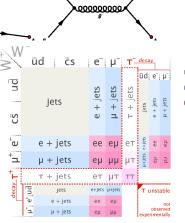
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2009 - : The LHC era, heavy-quark production

Heavy guarks are produced and observed in many channels Millions of $t\bar{t}$ pairs are produced in qg (85%), qg, and $q\bar{q}$ channels... " നാനസ്താസ , OSONO

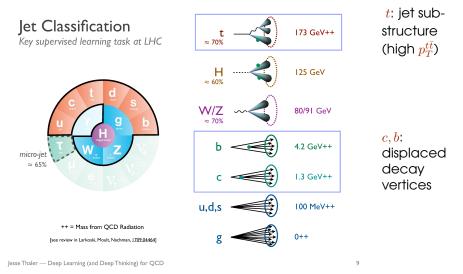
...and decay hadronically ($\sim 70\%$), semi-leptonically ($\sim 20\%$), leptonically ($\sim 10\%$)

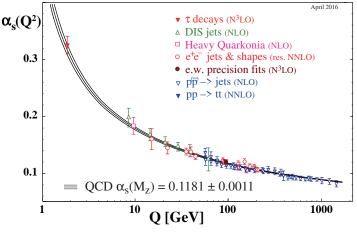
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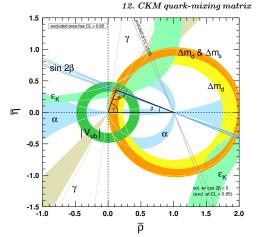
 full hadronic semileptonic dileptonic

2009 - : The LHC era, hadronic decays

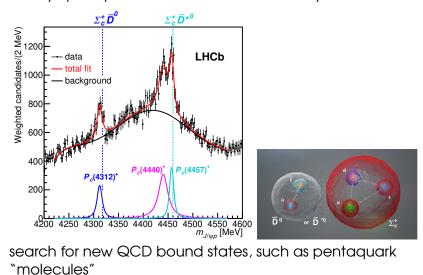




measure the QCD coupling $\alpha_s(\mu)$ at low and high μ

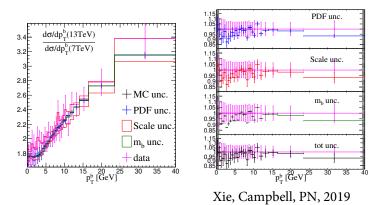


test electroweak quark mixing and CP violation



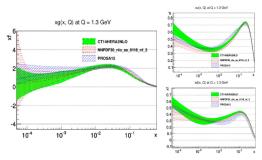
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The ratio R(13 TeV/7 TeV) of LHCb cross sections



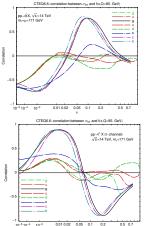
LHCb c and b meson production can constrain the gluon PDF at $x\sim 10^{-5}$

PROSA15 PDFs fitting 7 TeV LHCb charm data (1503.06581), compatible with CT14HERA2NLO $N_f = 3$.



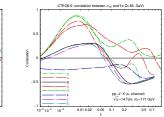
Next rounds of LHCb measurements may help constrain the small-x gluon.

LHCb c and b meson production can constrain the gluon PDF at $x\sim 10^{-5}$

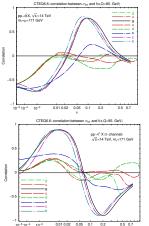


ttbar and single-top production cross sections at the LHC are highly correlated and may constrain gluon, quark PDFs in the x regions relevant for Higgs and EW precision studies

CTEQ6.6, PRD 78 (2008) 013004

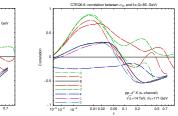


Right before the LHC began operation, it was proposed to constrain the gluon and other PDFs in $t(\bar{t})$ production



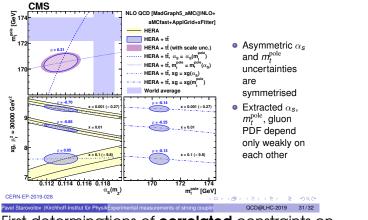
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CTEQ6.6, PRD 78 (2008) 013004



Note that the correlations for g,c, and b PDFs closely track one another

$1/\sigma \ d\sigma_{t\bar{t}}/dX$ simultaneous PDF, α_s and m_t fit



First determinations of **correlated** constraints on α_s , m_t , and gluon PDF have been published

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Heavy-quark production: key issues and ideas

Only one statement is correct. Which one? (1 minute)

- 1. The number N_f of active quark flavors is the number of quark masses satisfying $m_i \leq \mu_F$ at a given factorization scale μ_F .
- 2. In the $N_f = 4$ factorization scheme, no scattering contributions with b quarks are included.
- **3.** The charm PDF $c(x, \mu_F)$ can be non-zero at $\mu_F < m_c$.
- 4. The \overline{MS} PDFs are defined by setting heavy quark masses to zero to factorize collinear poles using dimensional regularization.

What is a "heavy quark?"

Standard definition: A heavy quark is a quark with $m_q \gg \Lambda_{\rm QCD}$.

	Pole mass M	\overline{MS} mass $\overline{m}(\overline{m})$
Charm	\sim 1.3–1.7 GeV	$1.275 \pm 0.025 {\rm GeV}$
Bottom	$\sim 4.55~{ m GeV}$	$4.18\pm0.03~{ m GeV}$
Тор	173.1 ± 0.6 GeV (?)	$160^{+4.8}_{-4.3}{ m GeV}$

PDG (5/30/17)

Top: TEVEWWG: 174.30 \pm 0.35 \pm 0.54 GeV, LHC: 172.64 \pm 0.25 \pm 0.55 GeV

It seems kind of funny to list 2 different masses... Pole Mass: $\sim \frac{1}{\not{p}-M}$ TeV vs. LHC $\sim 3\sigma$ \overline{MS} mass: Related to pole mass by

$$\frac{M}{\overline{m}(\overline{m})} = 1 + \frac{4}{3} \left(\frac{\alpha_s}{\pi}\right) + \left(\frac{\alpha_s}{\pi}\right)^2 \left(-1.0414 \ln(M^2/\overline{m}^2) + 13.4434\right) + \dots$$

c and b masses are best written in \overline{MS} scheme. t mass is often quoted in the pole-mass scheme.

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Which heavy-quark mass is it?

1. Current mass: An effective parameter of the SM Lagrangian $\mathcal{L}\sim m_q \bar{\psi}_q \psi_q.$

Note that $m_q = 0$ in the unbroken EW Lagrangian, because the bare Dirac masses are inconsistent with $SU(2)_L$ gauge invariance (S. Dawson's lecture).

At experimental scales, effective $m_q = Y_q/(2\sqrt{2}G_F)^{1/2}$ are generated by $SU(2)_{EW} \otimes U(1)_Y \rightarrow U(1)_{EM}$ breaking from $q\bar{q}h$ Yukawa couplings Y_q .

For top quark, $Y_1 \approx 1$ in the SM.

Which heavy-quark mass is it?

2. In perturbation theory, m_q depends on the renormalization scheme. In the \overline{MS} scheme, mass depends on the renorm. scale, $m_q^{bare} \rightarrow \overline{m}_q(\mu_R)$. In the on-shell scheme, we define the **pole mass**. The pole mass for heavy quarks is deprecated in precise computations – its value acquires an ambiguity of $\mathcal{O}(\Lambda_{QCD})$ because of QCD renormalon contributions.

3. The kinematic mass reconstructed by the experiments. In many LHC/Tevatron measurements, m_t is a parameter in parton showering programs. It does not have an exact meaning in perturbation theory, has precision no better than ~1 GeV when inferred from LHC differential $t\bar{t}$ distributions.

4. **Auxiliary mass parameters** of order of HQ mass (e.g., the factorization scale). All-order cross section is indepedent of them.

Top mass from $t\bar{t}$ threshold at a e^-e^+ collider

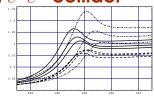
There is a subtle question when you try to make a precision measurement of QCD: **What mass do you use?** The pole mass is affected by nonperturbative corrections; ill-defined

for bound quarks.

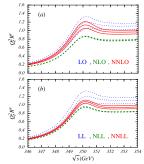
Solution: Use the 1S mass (pseudo-bound state) There are large non-relativistic corrections

 $\sigma_{t\bar{t}} \propto v \sum_{v} \left(\frac{\alpha_s}{v} \right) \times \left\{ \begin{array}{c} 1\\ \sum(\alpha_s \ln v) \\ \sum(\alpha_s, v) + \text{NNLO}(\alpha_s^2, \alpha_s v, v^2) \\ \text{LL} + \text{NLL} + \text{NNLL} \end{array} \right\}$

Normalization changes, but peak stable. $\delta \sigma_{t\bar{t}}$ is $\pm 6\%$ before ISR/beamstrahlung $\delta \overline{m}_t(\overline{m}_t) \sim 100$ MeV is attainable



Yakovlev,Groote PRD63, 074012(01)



Hoang, et al. PRD69, 034009(04)

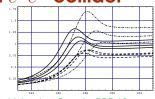
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Top mass from $t\bar{t}$ threshold at a e^-e^+ collider

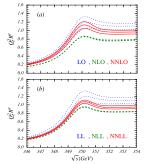
- There is a subtle question when you try to make a precision measurement of QCD: **What mass do you use?**
- The pole mass is affected by nonperturbative corrections; ill-defined for bound quarks.
- Solution: Use the 1S mass (pseudo-bound state) There are large non-relativistic corrections

$$\sigma_{t\bar{t}} \propto v \sum_{v} \left(\frac{\alpha_s}{v}\right) \times \left\{ \begin{array}{c} 1\\ \sum(\alpha_s \ln v) \\ 1 \\ \times \\ LL + NLC(\alpha_s, v) + NNLO(\alpha_s^2, \alpha_s v, v^2) \\ LL + NLL + NNLL \end{array} \right\}$$

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Nadolsky, Sullivan (HQ1)

A factorized hadronic cross section

$$\begin{split} \sigma_{\text{obs.}} &= \int f_1(x_1, \mu_F; \{m_q\}) f_2(x_2, \mu_F; \{m_q\}) \\ &\otimes \overline{|M|^2}(\dots; \alpha_s(\mu_R), \{m_q\}) \otimes d\text{P.S.} \otimes D(p_i) + O(\Lambda) \end{split}$$

- **f**: parton distribution functions for the initial state
- \blacksquare $\overline{|M|^2}$: a short-distance squared matrix element
- *dP.S.*: phase space which you may not want to completely integrate out.

 \Rightarrow Exclusive cross sections (jet counting), angular correlations

- \square $D(p_i)$: a "measurement function" for the final state, such as a fragmentation function or jet definition
- \blacksquare $O(\Lambda)$ are power-suppressed terms with $\Lambda \sim 1 \text{ GeV}$

α_s, m_q, f depend on the renormalization scheme, including N_f , the number of active flavors in UV counterterms

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PDFs for heavy flavors

PDFs for heavy partons h can be generated via DGLAP evolution at $\mu \ge m$. At LO, a common boundary condition is $f_{h/p}(x,\mu) = 0$ at $\mu \le m$.

In practice:

PDFs are usually introduced for c and b quarks

- ► starting from $O(\alpha_s^2)$, an initial condition $f_{c/p}(x, \mu_0) \neq 0$ is generated at $\mu_0 = m_c$ by perturbative matching; also, one can obtain $f_{c/p}(x, \mu_0) \neq 0$ from twist-4 intrinsic charm DIS terms (arXiv:1707.00657)
- QCD coupling $\alpha_s(\mu)$ and PDFs are evaluated with 5 active flavors at all $\mu \ge m_b$
- Logarithmic enhancements may exist in collinear t, W, Zproduction at $\mu \gtrsim 1$ TeV; PDFs for t, W, Z "partons" may be introduced at such μ

General-mass variable-flavor number scheme

- A series of factorization schemes with N_f active quark flavors in $\alpha_s(\mu)$ and $f_{a/p}(x,\mu)$
 - $\blacktriangleright~N_f$ is incremented sequentially at momentum scales $\mu_{N_f}\approx m_{N_f}$
 - incorporates essential $m_{c,b}$ dependence near, and away from, heavy-flavor thresholds
 - implemented in all latest PDF fits except ABM



General-mass variable-flavor number scheme

Proved for *inclusive DIS* by J. Collins (1998)

$$F_2(x,Q,m_c) = \sum_a \int_{\chi}^1 \frac{d\xi}{\xi} C_a(\frac{\chi}{\xi},\frac{Q}{\mu},\frac{m_c}{Q}) f_a(\xi,\frac{\mu}{m_c}) + \mathcal{O}\left(\frac{\Lambda}{Q}\right)$$

 $\blacksquare \lim_{Q\to\infty} C \text{ exists and is infrared safe}$

- Collinear logarithms $\sum_{k,n=1}^{\infty} \alpha_s^k v_{kn} \ln^n(\mu/m_c)$ are resummed in $f_c(x,\mu/m_c)$
 - no terms $\mathcal{O}(m_c/Q)$ in the remainder

General-mass variable-flavor number scheme

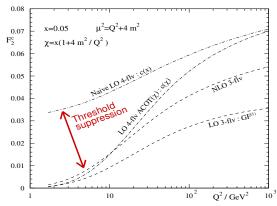
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Implemented in DIS, Drell-Yan process, pp hadroproduction, ...; practical implementation requires

- 1. efficient treatment of mass dependence, rescaling of momentum fractions χ in processes with incoming c, b
- 2. physically motivated factorization scale to ensure fast PQCD convergence (e.g., $\mu = Q$ in DIS)

Example: GM-VFN factorization scheme, DIS



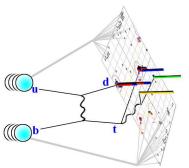
 \blacksquare Charm Wilson coefficient function is suppressed at $Q
ightarrow m_c$

To keep agreement with F_2 data, u, d, \bar{u} , \bar{d} PDF's are enhanced at small x, as compared to the zero-mass (ZM-VFN) scheme

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Example: single-top quark production

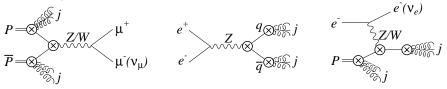
A heavy quark testbed for QCD: single top



Theorist: Single top quark production is a playground in which we refine our understanding of perturbative QCD in the presence of heavy quarks.

Drell-Yan and DIS

The traditional testbed of perturbative QCD have been restricted to Drell-Yan production, e^+e^- to jets, or deeply inelastic scattering (DIS).



A key property that all three processes share is a complete factorization of QCD radiation between different parts of the diagrams.

- **Drell-Yan** \rightarrow Initial-state (IS) QCD radiation only.
- \blacksquare $e^+e^- \rightarrow \text{jets} \rightarrow \text{Final-state}$ (FS) QCD radiation only.
- DIS \rightarrow Proton structure and fragmentation functions probed.

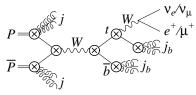
Simple color flow.

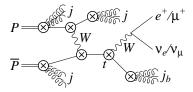
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s-/t-channel single-top-quark production (A generalized Drell-Yan and DIS)

A perfect factorization through next-to-leading order (NLO) makes single-top-quark production mathematically *identical*[†] to DY and DIS!





Generalized Drell-Yan. IS/FS radiation are independent.

Double-DIS (DDIS) w/ 2 scales:

$$\mu_l=Q^2$$
 , $\mu_h=Q^2+m_t^2$

Color conservation forbids the exchange of just 1 gluon between the independent fermion lines.

[†] Mass terms: m_t, m_b , and m_t/m_b Nadolsky, Sullivan (HQ1)

Rethinking the initial state: W-gluon fusion $\rightarrow t$ -channel single-top

W-gluon fusion (circa 1996)

8 0000 $\sim \alpha_s \ln \left(\frac{Q^2 + m_t^2}{m_b^2} \right) + \mathcal{O}(\alpha_s)$ Fach order adds $\frac{1}{n!} \left[\alpha_s \ln \left(\frac{Q^2 + m_t^2}{m_t^2} \right) \right]^n$ entering the problem: q Looks bad for perturbative expansion...

l ook at the internal b. The propagator is $\frac{1}{(P_a - P_{\bar{i}})^2 - m_i^2} = \frac{1}{-2P_a \cdot P_{\bar{i}}}$ $P_q = E_q(1, 0, 0, 1)$, $P_{\bar{b}} = (E_b, \vec{p}_T, p_z)$

$$P_{g} \cdot P_{\overline{b}} = E_{g} (p_{z} \sqrt{1 + \frac{p_{T}^{2} + m_{b}^{2}}{p_{z}^{2}}} - p_{z})$$

$$\approx E_{g} p_{z} (\frac{p_{T}^{2} + m_{b}^{2}}{2p_{z}^{2}}) \sim (p_{T}^{2} + m_{b}^{2})$$

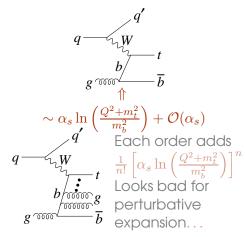
$$\int_{p_{T} \operatorname{cut}} \frac{\mathrm{d}p_{T}^{2}}{p_{T}^{2} + m_{b}^{2}} \to \ln\left(\frac{1}{p_{T}^{2} \operatorname{cut}} + m_{b}^{2}\right)$$

We now have multiple scales

 $Q, m_t, m_b, p_{T \text{ cut}}.$ $m_t \approx 35 m_b$ $\alpha_s \ln \sim .7$ -.8

Rethinking the initial state: W-gluon fusion $\rightarrow t$ -channel single-top

W-gluon fusion (circa 1996)



The propagator is $\begin{aligned} \frac{1}{(P_g - P_b)^2 - m_b^2} &= \frac{1}{-2P_g \cdot P_b} \\ P_g &= E_g(1, 0, 0, 1), P_{\overline{b}} = (E_b, \vec{p}_T, p_z) \\ P_g \cdot P_{\overline{b}} &= E_g(p_z \sqrt{1 + \frac{p_T^2 + m_b^2}{p_z^2}} - p_z) \\ &\approx E_g p_z (\frac{p_T^2 + m_b^2}{2p_z^2}) \sim (p_T^2 + m_b^2) \\ \int_{p_T \operatorname{cut}} \frac{\mathrm{d}p_T^2}{p_T^2 + m_b^2} \to \ln\left(\frac{1}{p_T^2 \operatorname{cut} + m_b^2}\right) \end{aligned}$

We now have multiple scales entering the problem:

 $\begin{array}{l} Q, m_t, m_b, p_{T\,\mathrm{cut}}.\\ m_t \approx 35 m_b ! \quad \alpha_s \ln \sim .7\text{-}.8 \end{array}$

l ook at the internal b.

Rethinking the initial state: W-gluon fusion $\rightarrow t$ -channel single-top

W-gluon fusion (circa 1996)

q 8 6000 $\sim \alpha_s \ln \left(\frac{Q^2 + m_t^2}{m_t^2} \right) + \mathcal{O}(\alpha_s)$ Fach order adds q $\frac{1}{n!} \left| \alpha_s \ln \left(\frac{Q^2 + m_t^2}{m^2} \right) \right|^n$ Looks bad for perturbative expansion...

Look at the internal *b*. The propagator is

 $\begin{aligned} \frac{1}{(P_g - P_b)^2 - m_b^2} &= \frac{1}{-2P_g \cdot P_b} \\ P_g &= E_g(1, 0, 0, 1), P_b = (E_b, \vec{p_T}, p_z) \\ P_g \cdot P_b &= E_g(p_z \sqrt{1 + \frac{p_T^2 + m_b^2}{p_z^2}} - p_z) \\ &\approx E_g p_z (\frac{p_T^2 + m_b^2}{2p_z^2}) \sim (p_T^2 + m_b^2) \\ \int_{p_T \operatorname{cut}} \frac{\mathrm{d}p_T^2}{p_T^2 + m_b^2} \to \ln\left(\frac{1}{p_T^2 \operatorname{cut} + m_b^2}\right) \end{aligned}$

We now have multiple scales entering the problem:

 $\begin{array}{l} Q, m_t, m_b, p_{T\,\mathrm{cut}}.\\ m_t \approx 35 m_b ! \quad \alpha_s \ln \sim .7\text{-}.8 \end{array}$

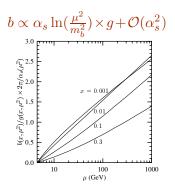
Resummation of large logs and *b***-quark PDF**

The Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) equation sums large logs in (almost) collinear singularities in gluon splitting.

$$b(x,\mu^2) = \frac{\alpha_s(\mu^2)}{2\pi} \ln\left(\frac{\mu^2}{m_b^2}\right) \int_x^1 \frac{dz}{z} P_{bg}(z) g\left(\frac{x}{z},\mu^2\right)$$

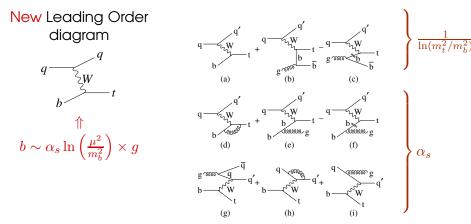
Barnett, Haber, Soper, NPB 306, 697 (88) Olness, Tung, NPB 308, 813 (88) Aivazis, Collins, Olness, Tung, PRD 50, 3102 (94)

The procedure is the same for c or t.



Stelzer, ZS, Willenbrock, PRD 56, 5919 (1997)

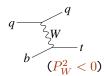
Improved perturbation theory with *b*-quark PDF



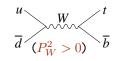
NLO: Terms that generated large logs are already resummed. ⇒ Must subtract overlap to avoid double-counting (general issue)

The procedure is repeated at higher α_s orders

Classification of scattering channels

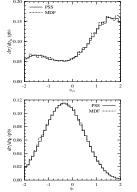


t-channel production: "*t*-channel" exchange of a *W* boson.



VS.

s-channel production: "*s*-channel" exchange of a *W* boson. Classifying processes by analytical structure leads to kinematic insight: Jets from *t*-channel processes are more forward than those from *s*-channel.



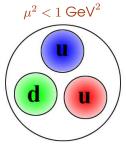
jet from t-channel

b jet from s-channel

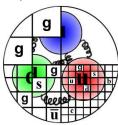
Nadolsky, Sullivan (HQ1)

CTEQ school

Rethinking the proton



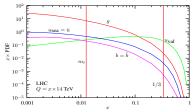
 $\mu^2 \gg 1 \,\mathrm{GeV}^2$



Using DGLAP was NOT just a math trick! The "valence" *uud* picture of the proton at $\mu < 1$ GeV is not complete.

Larger energies resolve smaller structures.

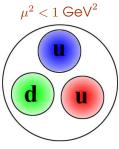
The probability of finding a particle inside the proton is given by a Parton Distribution Function



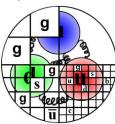
b and *c* quarks are full-fledged members of the nucleon structure. What about *t*?

Nadolsky, Sullivan (HQ1)

Rethinking the proton



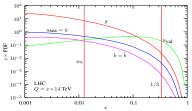
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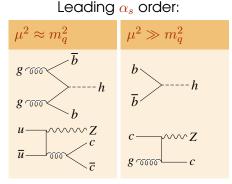


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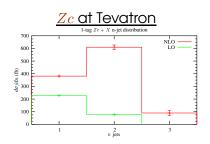
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Logarithms in other heavy-flavor processes



Why is this important?



Starting with a c/b gives us:

Parton luminosity and large logs modify the

- $b\bar{b} \rightarrow h$ Largest SUSY Higgs cross section counting of powers of $\alpha_s!$
- Zb/Zc Enhanced rates for BSM bkgds.
- Zbj/Zcj Higgs background
- *Wbj* Largest single-top background

etc.

Summary Day 1

"Heavy quarks" (c, b, and t) are interesting because their mass adds a new scale to any problem.

$$\sigma \sim \alpha_s \ln\left(\frac{\mu^2}{p_{T\,\mathrm{cut}}^2 + m_Q^2}\right)$$





 \Rightarrow Far more complex PQCD calculations than in the massless case

⇒ Perturbative convergence is improved by working in the appropriate heavy-quark factorization scheme

c/b PDFs at NNLO (M. Guzzi's lecture)

Homework: Why do we not see $J/\psi \rightarrow gg$?

Homework: Which statement in the quiz was correct?

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