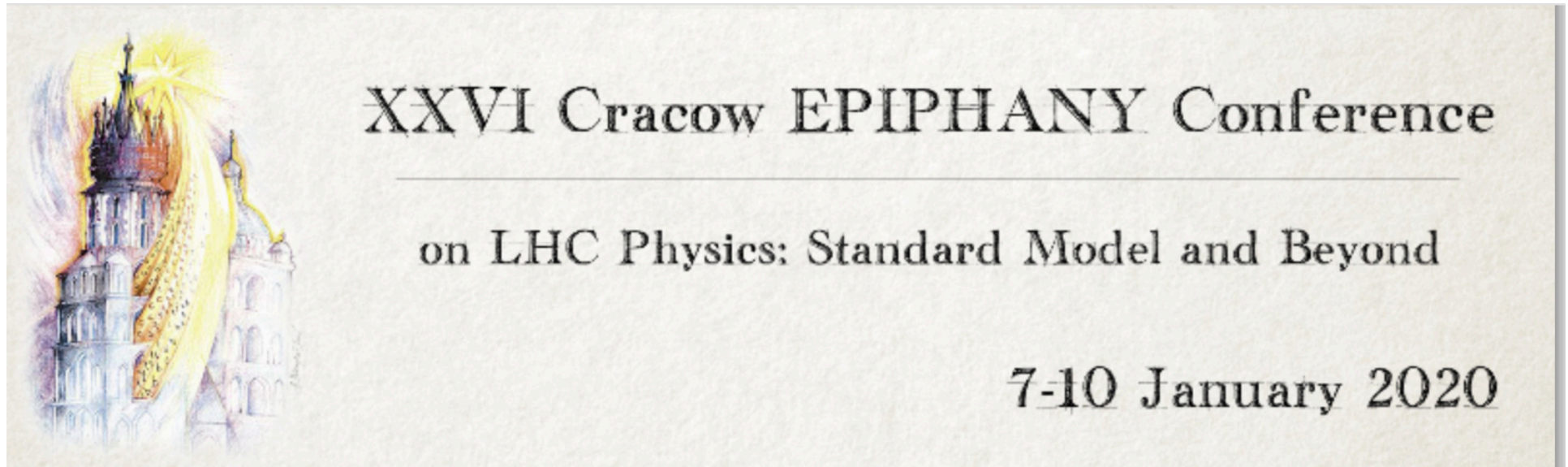


# Flavour Anomalies



Cracow Epiphany Conference, 8<sup>th</sup> January 2020

Mitesh Patel (Imperial College London)

on behalf of LHCb, with material from ATLAS, CMS

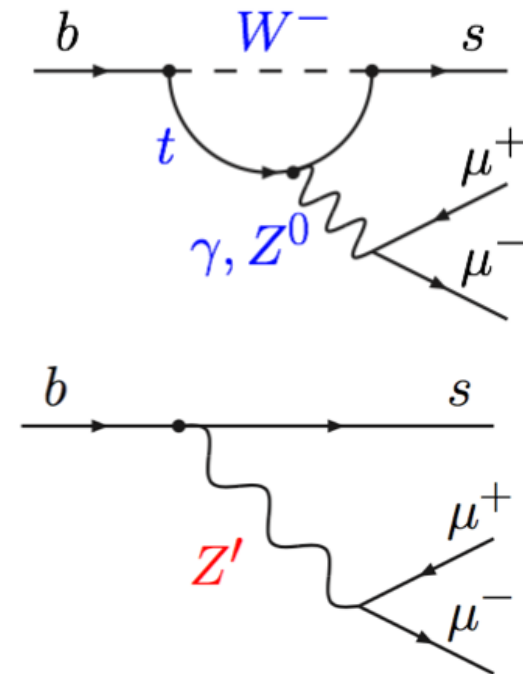
**Imperial College**  
London



# Introduction

- FCNC transitions, such as  $b \rightarrow s(d)l^+l^-$  decays, are excellent candidates for indirect NP searches

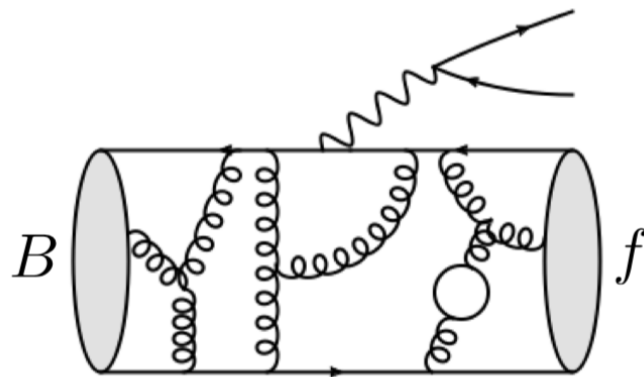
- Strongly suppressed in the SM as
  - arise only at the loop level
  - quark-mixing is hierarchical (off-diagonal CKM elements  $\ll 1$ )
  - GIM mechanism
  - only the left-handed chirality participates in flavour-changing interactions



- But these conditions do not necessarily apply to physics beyond the SM!

# Choosing observables

- Observe hadronic decay, not the quark-level transition  
⇒ Need to compute hadronic matrix elements (form-factors and decay constants)
- $b \rightarrow s\mu\mu = \Rightarrow B^+ \rightarrow K^+\mu^+\mu^-, B^0 \rightarrow K^{*0}\mu^+\mu^-, B_s \rightarrow \phi\mu^+\mu^- \dots$



→ Non-perturbative QCD, i.e. difficult to compute

(Lattice QCD, QCD factorisation, Light-cone sum rules... )

- Hadronic uncertainties cancel in certain observables, making them more sensitive to New Physics

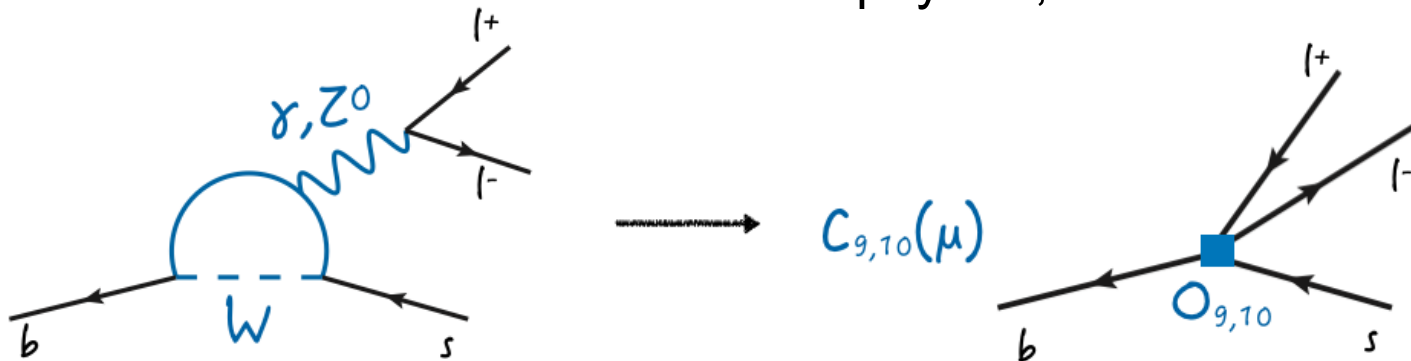
# Theoretical framework

- Interactions described in terms of an effective Hamiltonian that describes the full theory at lower energies ( $\mu$ )

$$\mathcal{H}_{\text{eff}} \sim \sum_i C_i(\mu) \mathcal{O}_i(\mu)$$

$C_i(\mu)$  → Wilson coefficients  
(perturbative, short-distance physics, sensitive to  $E > \mu$ )

$\mathcal{O}_i$  → Local operators  
(non-perturbative, long-distance physics, sensitive to  $E < \mu$ )



→ Contributions from New Physics can modify the measured values of WC's and/or introduce new operators

# Outline

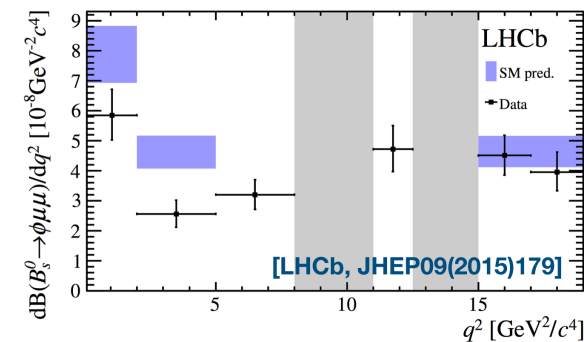
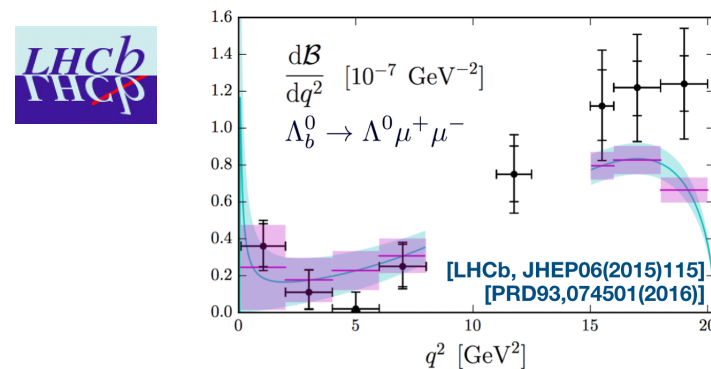
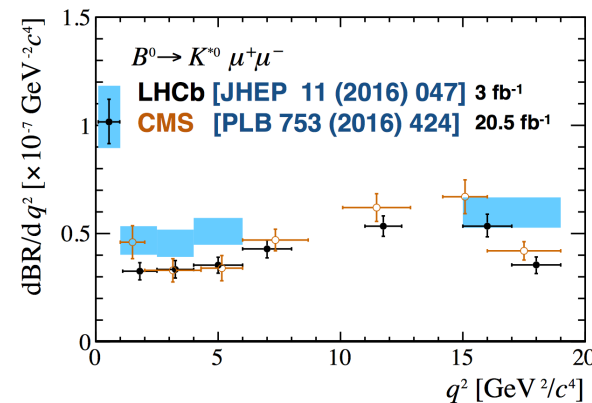
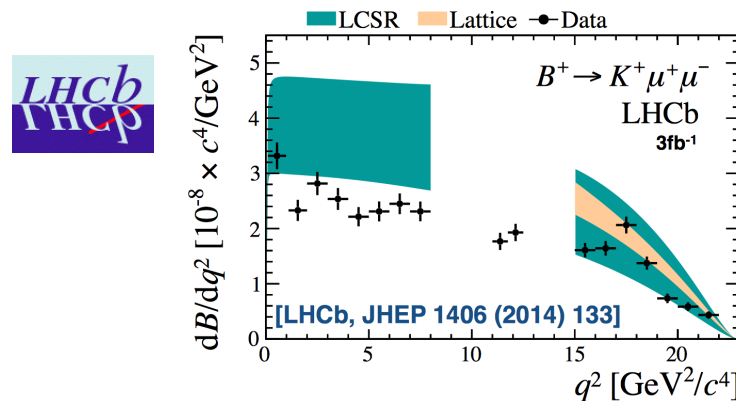
- Status of the anomalous flavour measurements
- Global fits and model building
- Future prospects

# Outline

- **Status of the anomalous flavour measurements**
- Global fits and model building
- Future prospects

# Branching fraction measurements

- Branching fractions for several  $b \rightarrow s \mu \mu$  processes consistently below the SM prediction at low  $q^2 = [m(l^+l^-)]^2$



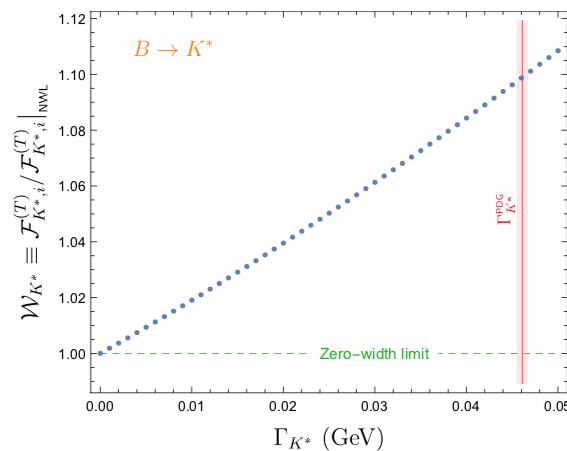
- SM predictions suffer from large uncertainties

# BF – theory progress

- Width of the  $K^*$  difficult to treat, calculations have thus far used the “narrow width” approximation
- First calculations of the effect of a wide  $K^*$  appearing :

## Beyond the narrow-width approximation for $K^*$

LCSR with  $K^*$  only:  $\mathcal{F}_{K^*,i}^{(T)}(q^2) d_{K^*,i}^{(T)} I_{K^*}(s_0, M^2) = \mathcal{P}_i^{(T)\text{OPE}}(q^2, \sigma_0, M^2)$ .



Width induces **universal shift in normalisation** from overlap integral  $I_{K^*}$

(effect depending mainly on  $s_0$ ,  $M^2$  and  $\Gamma_{K^*}$ )

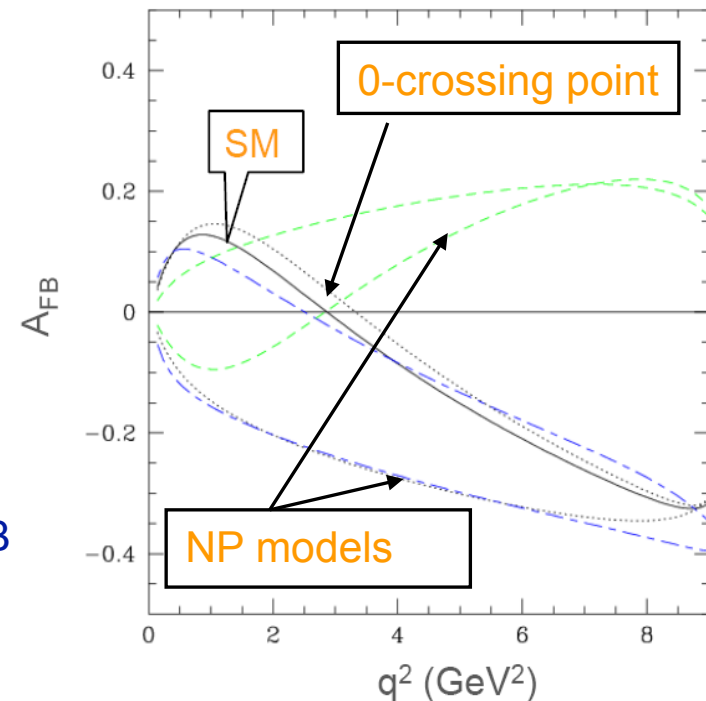
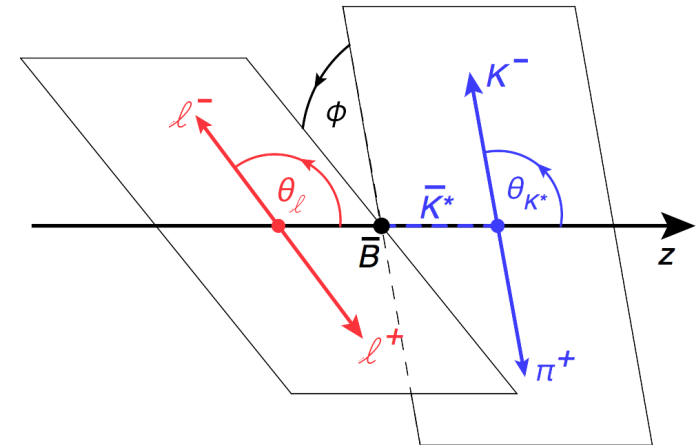
$$\mathcal{W}_{K^*} \equiv \frac{\mathcal{F}_{K^*,i}^{(T)}(q^2)}{\mathcal{F}_{K^*,i}^{(T)}(q^2)_{\text{NWL}}} = \frac{I_{K^*}(s_0, M^2)|_{\Gamma_{K^*} \rightarrow 0}}{I_{K^*}(s_0, M^2)} \simeq 1 + 1.9 \frac{\Gamma_{K^*}}{m_{K^*}}$$

**Correction  $\simeq 10\%$**  (increasing the discrepancy data-SM for  $B \rightarrow K^* \mu\mu$ )



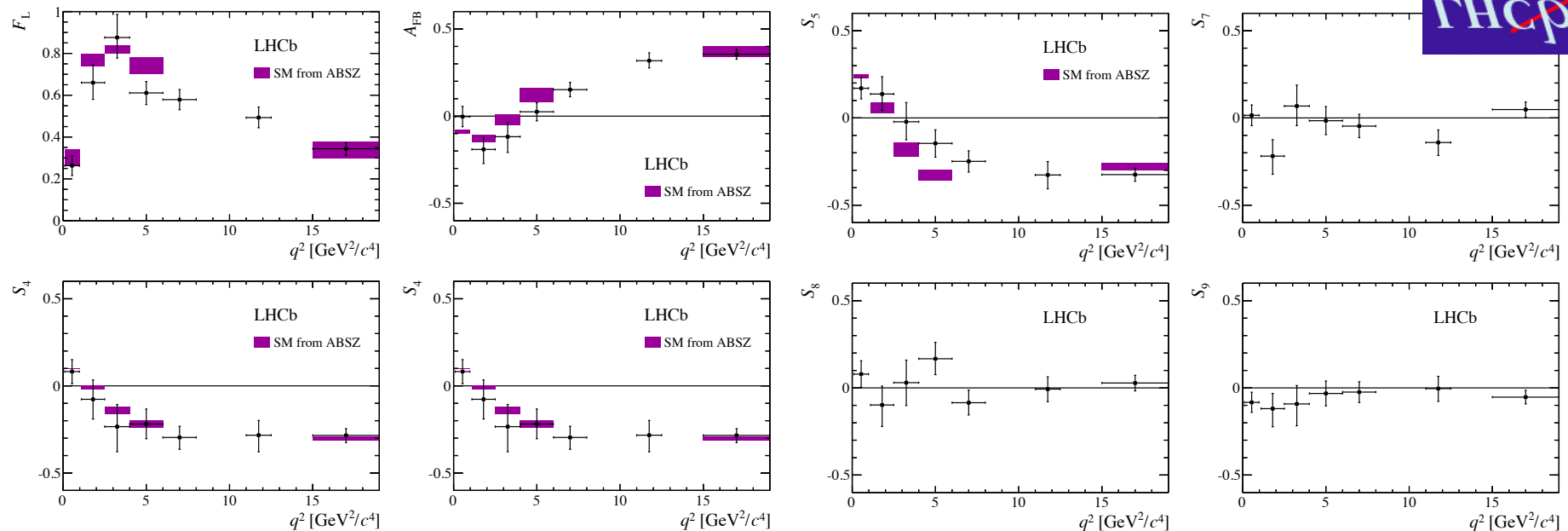
# Angular observables

- Angular observables have reduced dependence on hadronic effects
- Best studied decay  $B^0 \rightarrow K^{*0} \mu \mu$ 
  - Dynamics can be described by three angles ( $\theta_l$ ,  $\theta_K$ ,  $\phi$ ) and di- $\mu$  invariant mass squared,  $q^2$
- Large number of observables where theoretical uncertainties cancel to some extent e.g. Forward-backward asymmetry  $A_{FB}$  of  $\theta_l$  distn



# $B^0 \rightarrow K^{*0} \mu\mu$ angular analysis

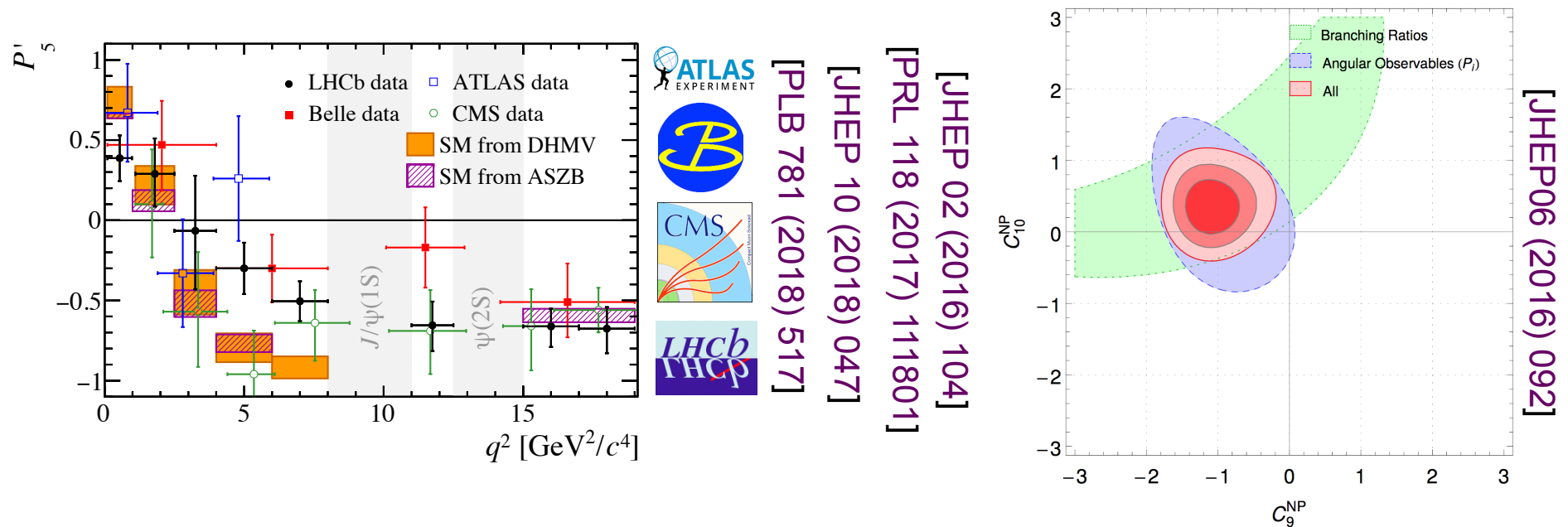
- LHCb performed first full angular analysis [[JHEP 02 \(2016\) 104](#)]
  - Extracted the full set of CP-avg'd angular terms and correlations
  - Determined full set of CP-asymmetries



- Vast majority of observables in agreement with SM predns, giving some confidence in theory control of form-factors

# Angular observables

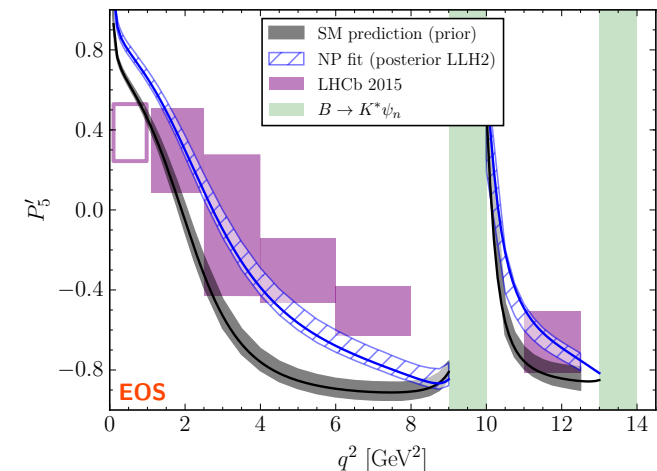
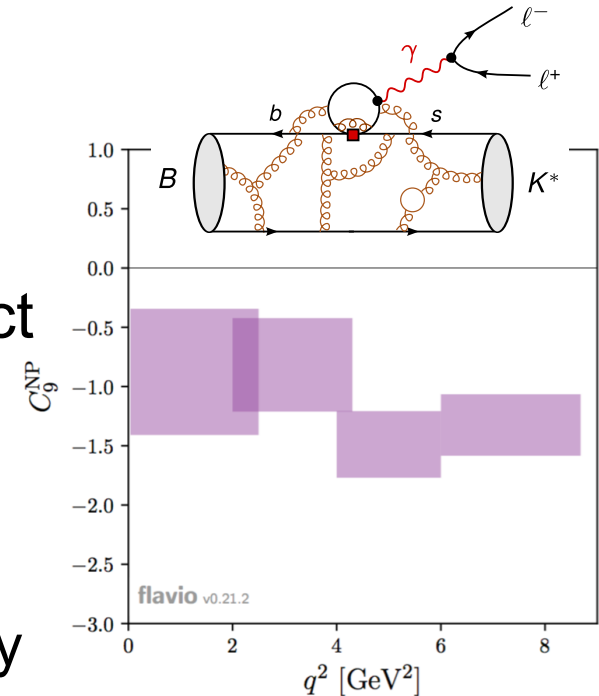
- Some angular observables have reduced dependence on hadronic effects and show some tension with SM



- BF and angular data consistent, best fit prefers shifted vector coupling  $C_9$  (or  $C_9$  and axial-vector  $C_{10}$ )
- ... could QCD effects mimic vector-like NP ?

# Could the SM predn be wrong?

- Theorists have looked critically at their predictions –  $\mathbf{O}_{1,2}$  operators have a component that could mimic a NP effect in  $\mathbf{C}_9$  through  $c\bar{c}$  loop
  - Look for  $q^2$  dependence of  $\mathbf{C}_9$  shift [EPJC 77 (2017) 377]
  - Parameterisation to theory and auxiliary data to try and determine  $c\bar{c}$  effect [EPJC 78 (2018) 451]
- No consensus in theory community about the size of such effects



# c $\bar{c}$ loops – theory progress

- Calculation of hadronic matrix element for c $\bar{c}$  effect
  - Factor 200 **smaller** than before... !

## Preliminary results and comparison

17/19

$\Delta\mathcal{C}_9(q^2)$		KMPW2010	GvDV2019
factorizable contr.		0.27	0.27
$B \rightarrow Kll$	$\tilde{\mathcal{A}}(q^2 = 1)$	$-0.09_{-0.07}^{+0.06}$	$(1.9_{-0.6}^{+0.6}) \cdot 10^{-4}$
$B \rightarrow K^*ll$	$\tilde{\mathcal{V}}_1(q^2 = 1)$	$0.6_{-0.5}^{+0.7}$	$(1.2_{-0.4}^{+0.4}) \cdot 10^{-3}$
	$\tilde{\mathcal{V}}_2(q^2 = 1)$	$0.6_{-0.5}^{+0.7}$	$(2.1_{-0.7}^{+0.7}) \cdot 10^{-3}$
	$\tilde{\mathcal{V}}_3(q^2 = 1)$	$1.0_{-0.8}^{+1.6}$	$(3.0_{-1.0}^{+1.0}) \cdot 10^{-3}$
$B_s \rightarrow \phi ll$	...	-	???

[ $q^2$  is the dilepton mass square]

results represented as a  $q^2$  dependent correction to  $\mathcal{C}_9$   
 we fully reproduce the results given in KMWP2010

matrix elements parametrized analogously to the form factors:

$$\langle K(k) | \tilde{\mathcal{O}}_\mu(0, x) | B(q+k) \rangle = ((k \cdot q)q_\mu - q^2 k_\nu) \tilde{\mathcal{A}}(q^2) + \dots$$

$$\langle K^*(k, \eta) | \tilde{\mathcal{O}}_\mu(0, x) | B(q+k) \rangle = \epsilon_{\mu\alpha\beta\gamma} \eta^{*\alpha} q^\beta k^\gamma \tilde{\mathcal{V}}_1(q^2) + i \left( (m_B^2 - m_{K^*}^2) \eta_\mu^* - (\eta^* \cdot k)(2k + q)_\mu \right) \tilde{\mathcal{V}}_2(q^2) \\ + i(\eta^* \cdot q) \left( q_\mu - \frac{q^2}{m_B^2 - m_{K^*}^2} (2k + q)_\mu \right) \tilde{\mathcal{V}}_3(q^2) + \dots$$



# Lepton flavour universality tests

- In the Standard Model, couplings of the gauge bosons to leptons are independent of lepton flavour

- Ratios of the form:

$$R_K = \frac{BR(B^+ \rightarrow K^+ \mu^+ \mu^-)}{BR(B^+ \rightarrow K^+ e^+ e^-)} \stackrel{\text{SM}}{\simeq} 1$$

free from QCD uncertainties that affect other observables

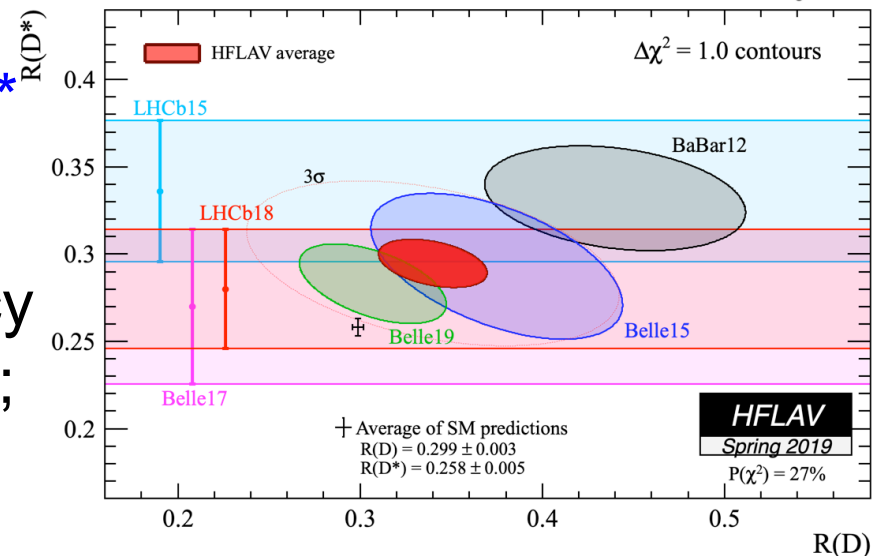
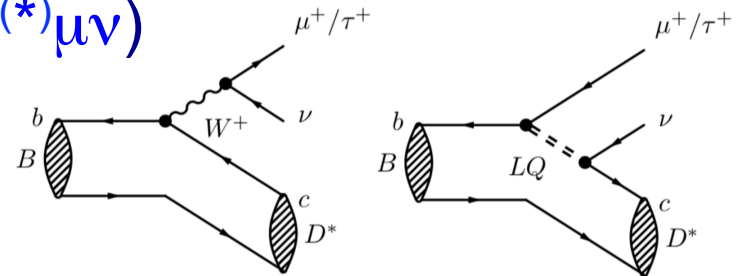
- hadronic effects cancel, error is  $O(10^{-4})$  [[JHEP 07 \(2007\) 040](#)]
  - QED corrections can be  $O(10^{-2})$  [[EPJC 76 \(2016\) 440](#)]
- [Theorists in unison:] Any sign of lepton flavour non-universality would be a direct sign for New Physics

# LFU in charged-current decays

- An anomalous effect is seen in the ratio of **tree-level** branching fractions

$$R_D^{(*)} = B(B^0 \rightarrow D^{(*)} \tau \nu) / B(B^0 \rightarrow D^{(*)} \mu \nu)$$

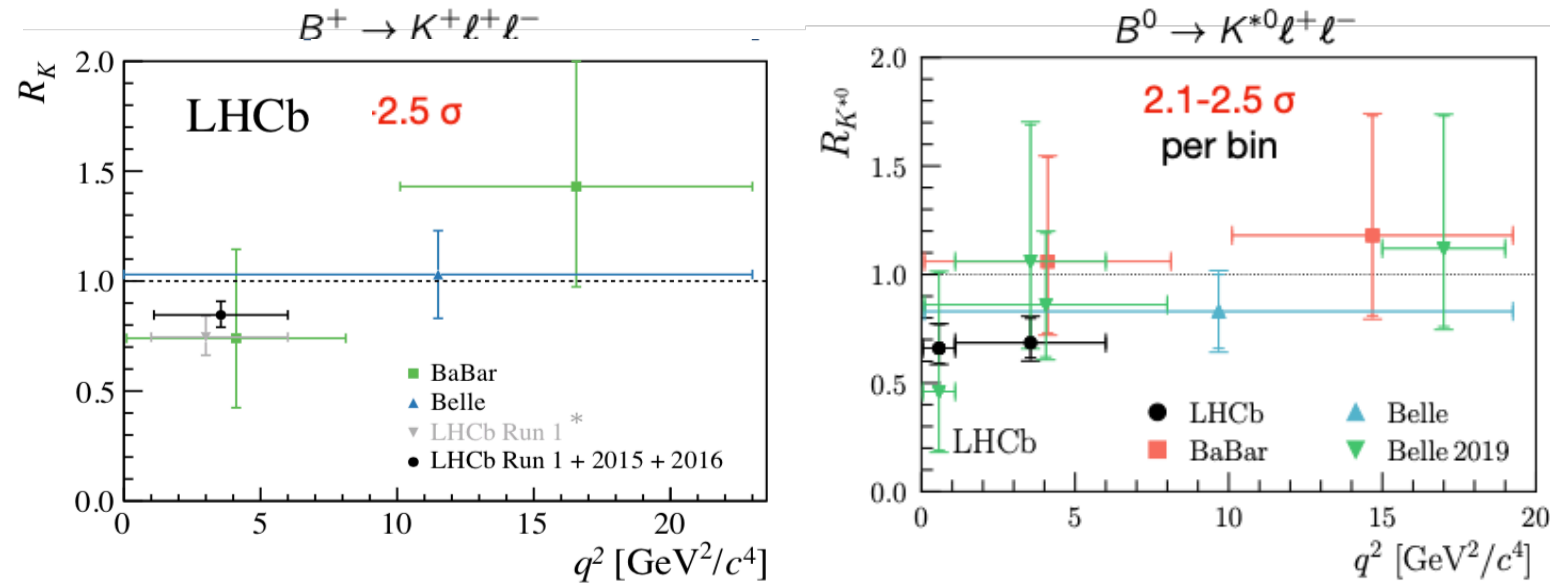
- Not at all rare:  $B(B^0 \rightarrow D^{*} \tau \nu) \sim 1\%$ , problem is the bkgnd
- Measurements of  $R_D$  and  $R_{D^*}$  by BaBar, Belle and LHCb
- Average shows a discrepancy with the SM of  $3.1\sigma$  (HFLAV); recent claim that updated form factors make this  $3.9\sigma$  [see [here](#)]



[arXiv:1904.08794, arXiv:1506.08614, arXiv:1711.02505, arXiv:1708.08856, arXiv:1607.07923, arXiv:1205.5442, arXiv:1303.0571, arXiv:1612.00529, arXiv:1709.00129]

# LFU in neutral-current decays

- Equally intriguing picture in  $b \rightarrow sll$  neutral-current decays:



[LHCb, PRL 113 (2014) 151601]  
[LHCb, JHEP 08 (2017) 055]

[BaBar, PRD 86 (2012) 032012]  
[LHCb, PRL 122 (2019) 191801]

[Belle, PRL 103 (2009) 171801]  
[Belle, arXiv:1904.02440]

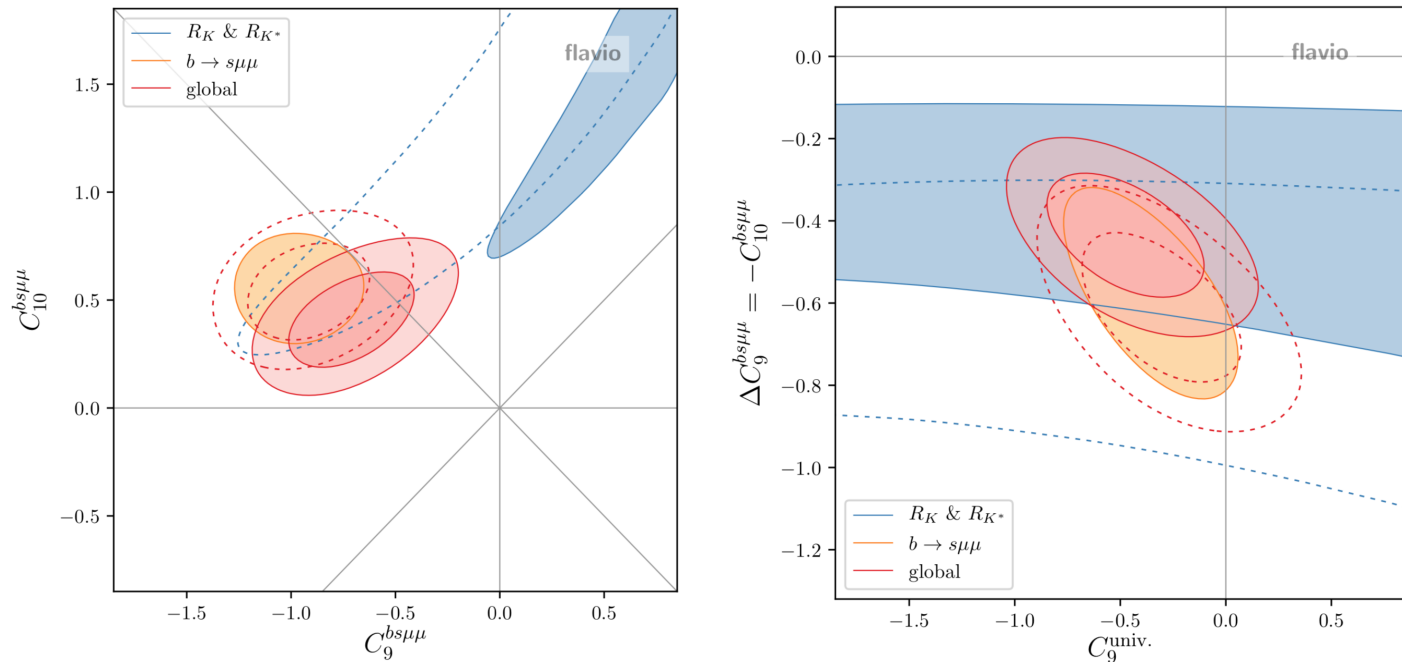
- Both  $R_K$  and  $R_{K^*}$  results below the SM expectation, although significance low
- Tensions can be explained with anomalous  $b \rightarrow s\mu\mu$  measurements in a coherent NP picture



# Outline

- Status of the anomalous flavour measurements
- **Global fits and model building**
- Future prospects

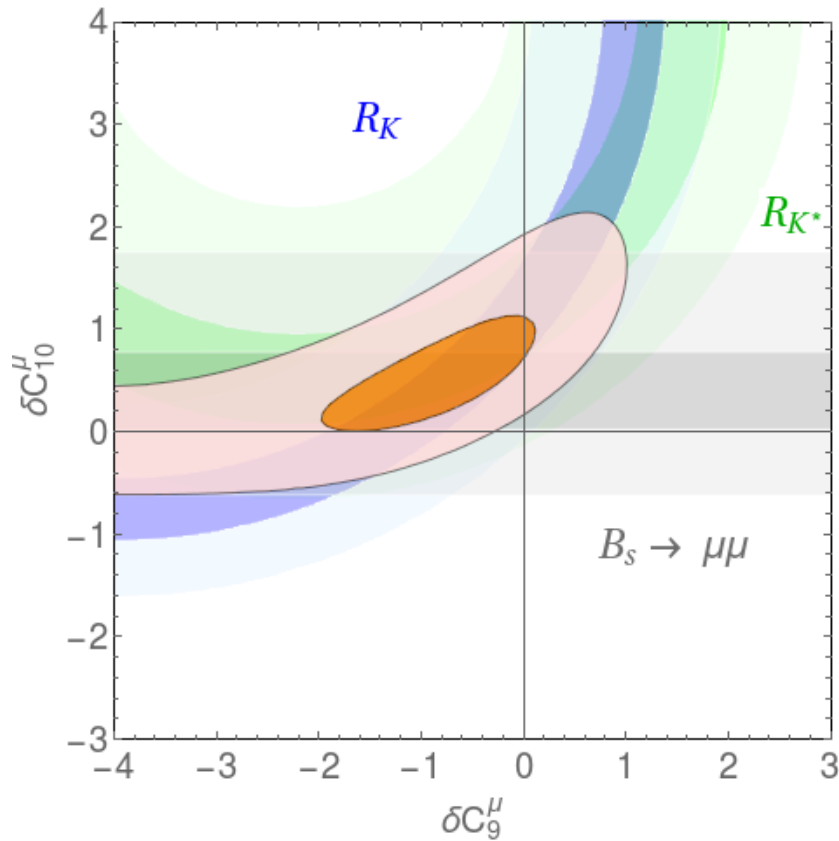
# Global fit including LFU obs.



- Best fit point in significant tension with the SM
- Muonic NP:  $C_9 = -C_{10}$  preferred?
- Adding LFU NP: Slight preference for universal shift in  $C_9$

[M. Alguero et al., arXiv:1903.09578, A. K. Alok et al., arXiv:1903.09617, M. Ciuchini et al., arXiv:1903.09632, Guido D'Amico et al., arXiv:1704.05438]

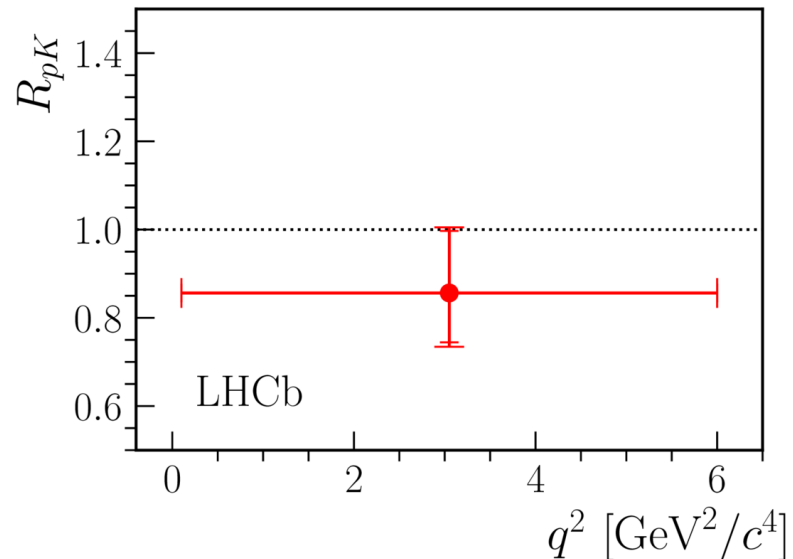
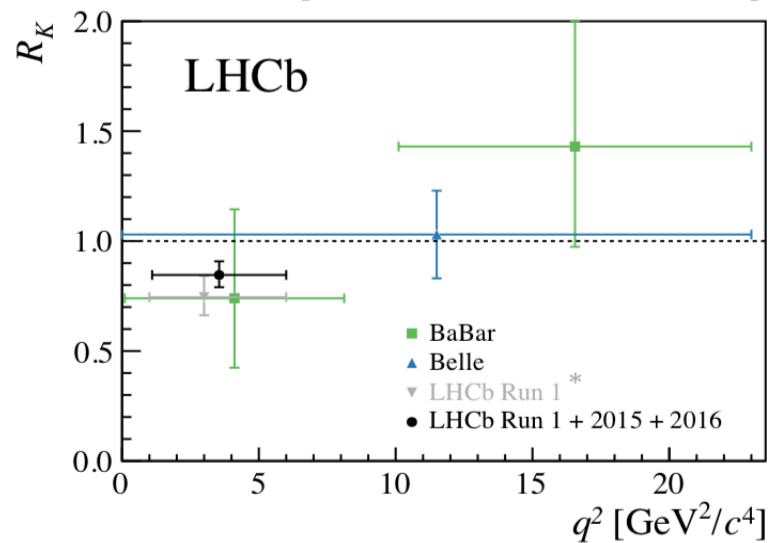
# Global fit with just 'clean' obs.



- Using *just* theoretically clean observables,  $R_K$ ,  $R_{K^*}$  and  $\text{BF}(B \rightarrow \mu\mu)$ , can exclude SM at  **$3.6\sigma$**  level

# LFU in neutral-current decays

- Recent LHCb measurement of a further LFU ratio,  $R_{pK}$ 
  - Submitted to JHEP [[arXiv:1912.08139](https://arxiv.org/abs/1912.08139)]

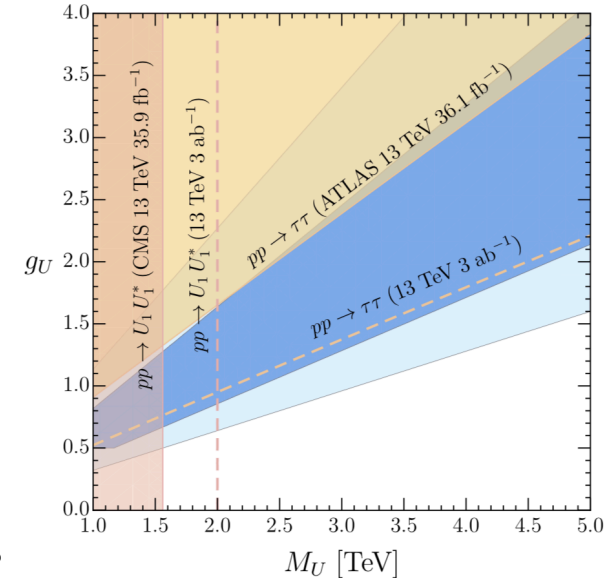


$$R_K = 0.846^{+0.060}_{-0.054} \text{ (stat)} \quad ^{+0.014}_{-0.016} \text{ (syst)}$$

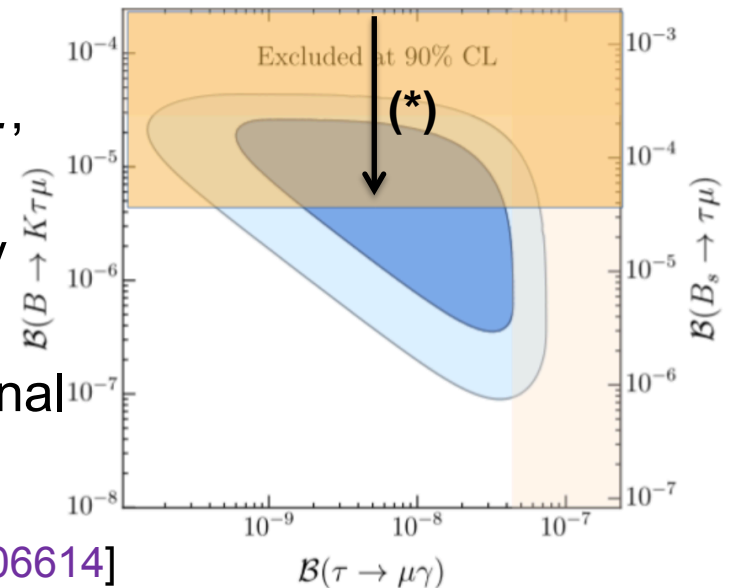
$$R_{pK} |_{0.1 < q^2 < 6 \text{ GeV}^2/c^4} = 0.86^{+0.14}_{-0.11} \pm 0.05$$

# Model Building

- Can accommodate anomalies with  $O(\text{TeV})$ - $O(10\text{TeV})$  new physics
- e.g. Vector LeptoQuark (LQ), coupled mainly to third-generation fermions, able to give pattern anomalies
  - Potentially within reach of direct searches e.g.  $pp \rightarrow \tau\tau$
  - Expect effects in e.g.  $B \rightarrow \tau\mu$ ,  $B \rightarrow K\tau\tau$  etc., which can be huge
  - While need LFUV, LFV is not mandatory [arXiv:1505.05164]
  - UV complete models give rise to additional particles



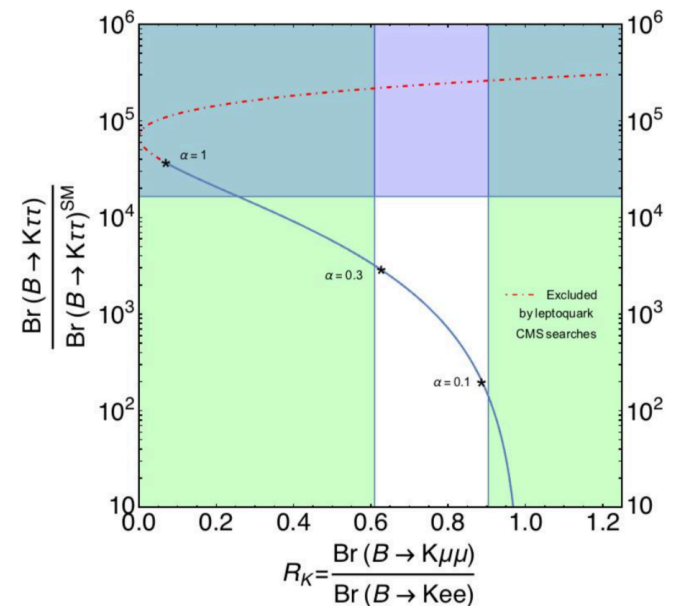
[arXiv:1903.11517]



(\*) Subsequent LHCb  $B \rightarrow \tau\mu$  result [arXiv:1905.06614]

# Model Building

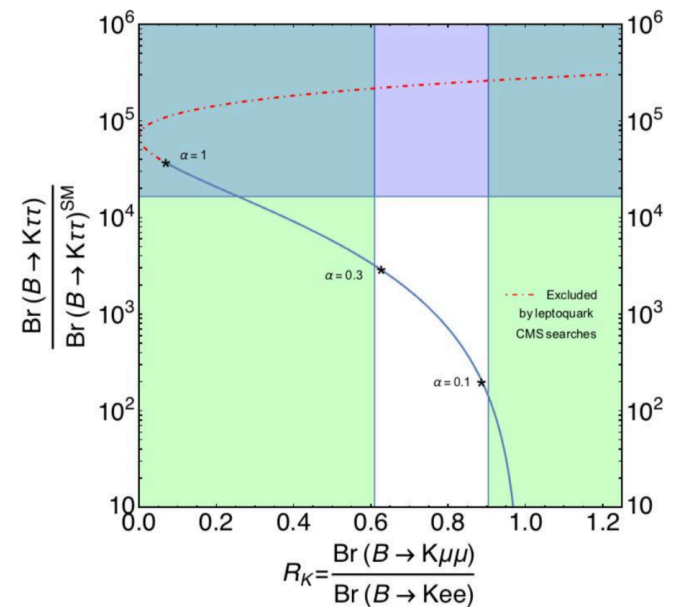
- Can accommodate anomalies with  $O(\text{TeV})$ - $O(10\text{TeV})$  new physics
- *e.g.* Vector LeptoQuark (LQ), coupled mainly to third-generation fermions, able to give pattern anomalies
  - Potentially within reach of direct searches *e.g.*  $pp \rightarrow \tau\tau$
  - Expect effects in *e.g.*  $B \rightarrow \tau\mu$ ,  $B \rightarrow K\tau\tau$  *etc.*, which can be huge
  - While need LFUV, LFV is not mandatory [arXiv:1505.05164]
  - UV complete models give rise to additional particles



[arXiv:1505.05164]

# Model Building

- Pattern of anomalies can be linked to hierarchical structure of quark and lepton mass matrices through dynamical breaking of flavour symmetry [[JHEP 1810 \(2018\) 148](#)]
- Can also connect to portal models of dark matter [[arXiv:1503.06077, PRD 96 \(2017\) 075041](#)]



[[arXiv:1505.05164](#)]

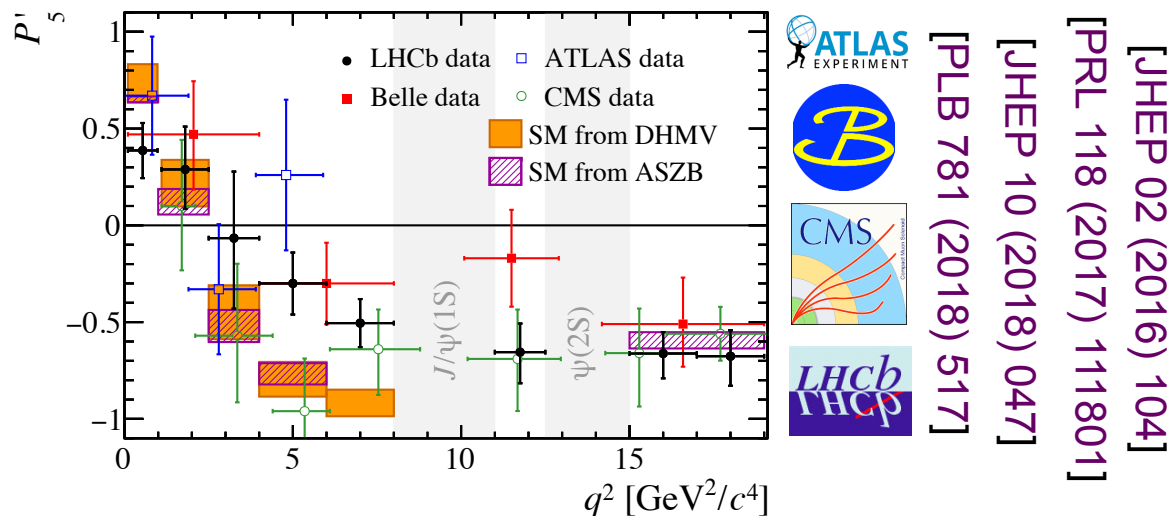
# Outline

- Status of the anomalous flavour measurements
- Global fits and model building
- **Future prospects**



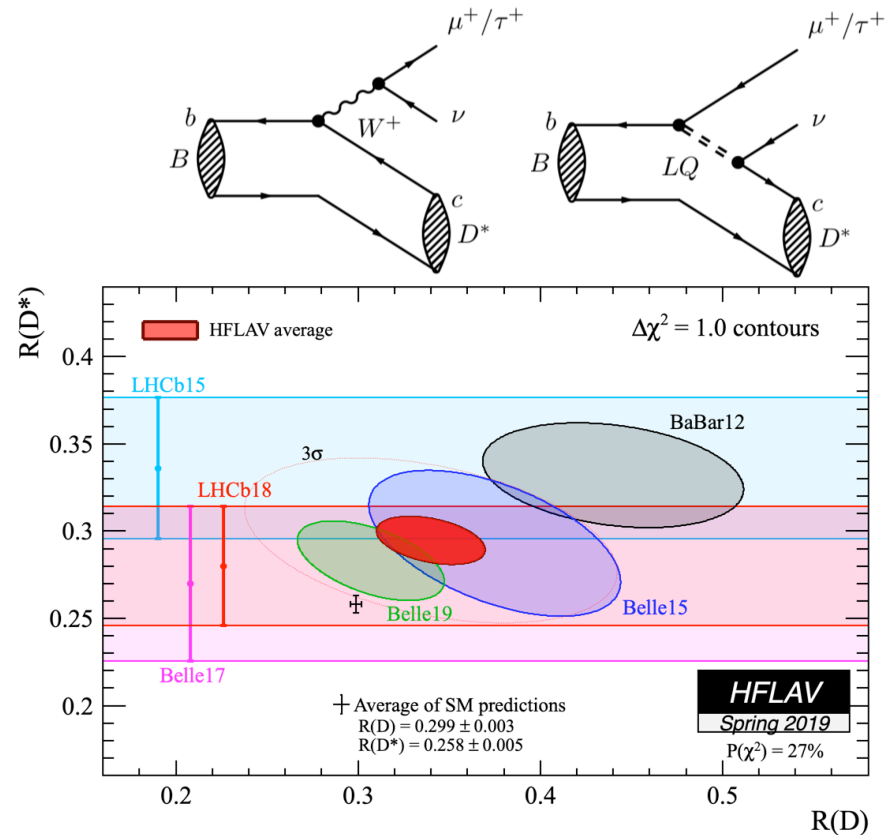
# Future measurements

- BFs already limited by precision of theory predictions
- Expect substantial gains from updated angular analysis
  - In short term, expect factor  $\sim 2$  increase in  $B^0 \rightarrow K^{*0} \mu \mu$  precision from analysis of 2016 LHCb data
  - Further factor  $\sim 2$  improvement in precision from 2017, 18 data
  - Will take time to do precise job but e.g.  $P_5'$  in electron modes looking SM-like would be compelling



# Future measurements – CC

- For the charged-current decays – a simultaneous measurement of  $R_D, R_{D^*}$  is in progress at LHCb
  - IMO not obvious this will have the precision to change the picture definitively
  - Analysis of equivalent ratio in  $\Lambda_b \rightarrow \Lambda_c l \nu$  decays,  $R(\Lambda_c)$  is also well-advanced



[arXiv:1904.08794, arXiv:1506.08614, arXiv:1711.02505, arXiv:1708.08856, arXiv:1607.07923, arXiv:1205.5442, arXiv:1303.0571, arXiv:1612.00529, arXiv:1709.00129]

# Future measurements – NC

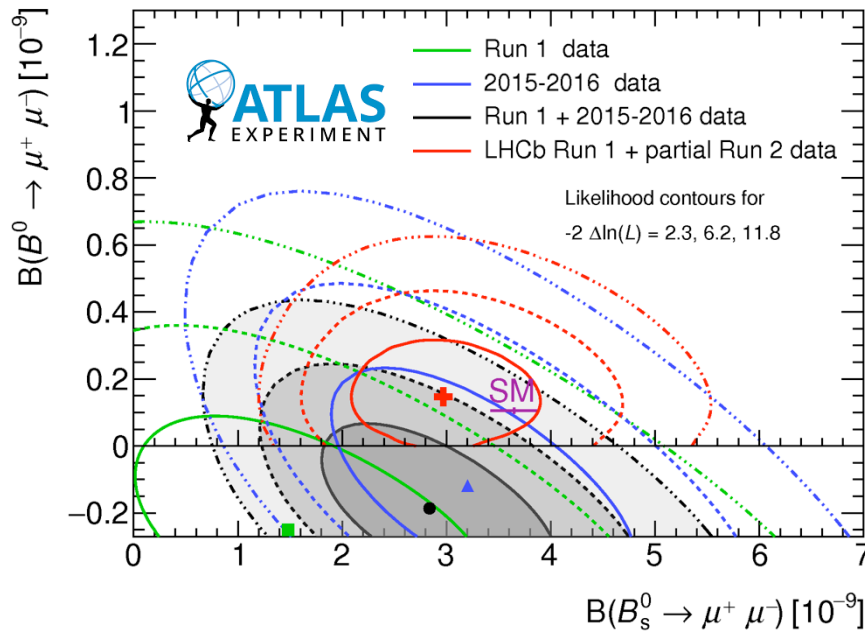
- $R_K$  update with 2017, 2018 data will effectively double the existing dataset
  - Try to minimise changes to technique; enable smoother review
  - Nonetheless, expect result to receive intense internal scrutiny
  - NB – present  $R_K$  result separated into different data-taking periods:

$$R_K^{7 \text{ and } 8 \text{ TeV}} = 0.717^{+0.083}_{-0.071} +^{+0.017}_{-0.016},$$
$$R_K^{13 \text{ TeV}} = 0.928^{+0.089}_{-0.076} +^{+0.020}_{-0.017},$$

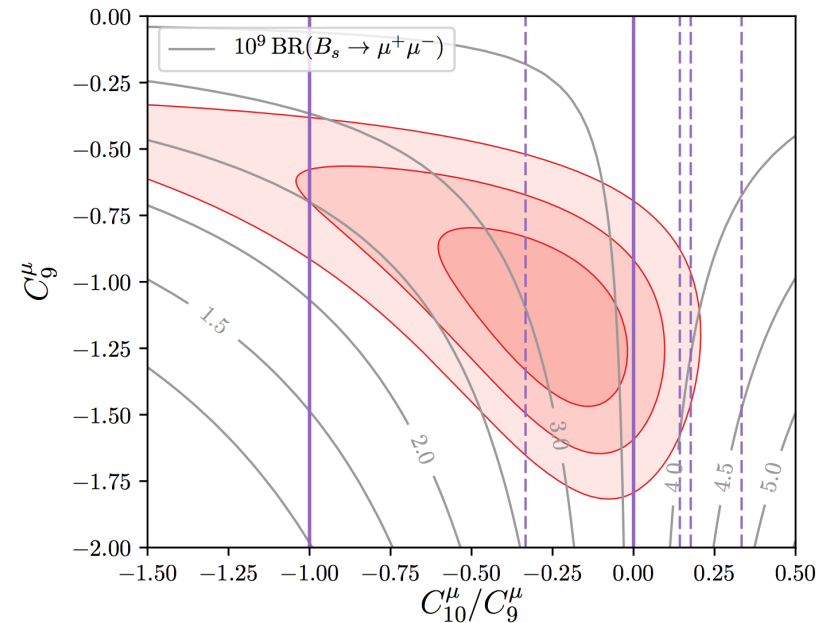
- Compatibility ( $1.9\sigma$ ) checked while result still blind
  - In several *years* of study have found no feature that suggests any unaccounted for difference in performance between run1, 2
  - Trend in remainder of run2 data will clearly be of interest
- Other decay modes –  $R_K^*$  for model discrimination;  $R_\phi$  to check bkgd control;  $D_s \rightarrow \phi(ee)\pi$  to check low  $q^2$ ; high  $q^2$  analyses

# Future measurements – NC

- With  $C_9^{NP} = -C_{10}^{NP}$  would eventually expect to see an effect in  $B(B_s^0 \rightarrow \mu^+ \mu^-)$  decays
- Also expect to see a different pattern in  $B^0 \rightarrow K^{*0} \mu^+ \mu^-$  angular analysis



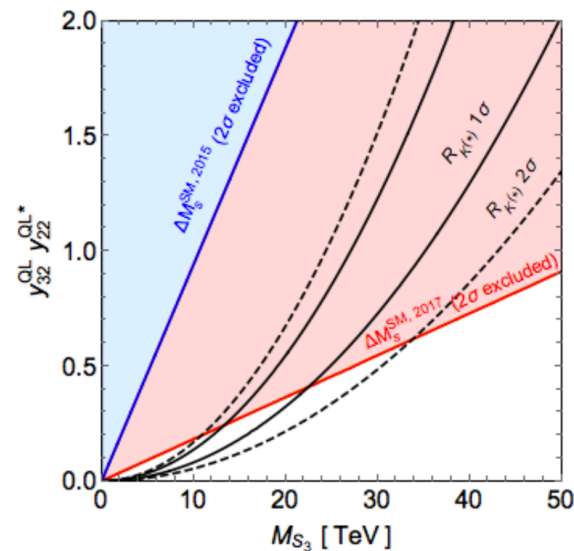
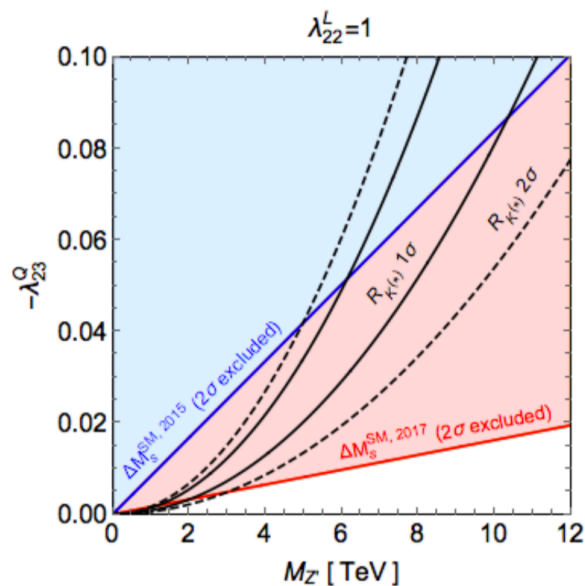
[JHEP 04 (2019) 098]



[PRD 96 (2017) 055008]

# The future of direct searches

- A single rare decay measurement gives constraints on only the mass, coupling plane of any new physics
- In simple NP models, accumulation of constraints from multiple decay modes can break this degeneracy
- Could have implications for the case for a future accelerator



[PRD 97 (2018) 095035]

# Conclusions

- Intriguing anomalies seen in neutral-current B decays
  - Branching fractions
  - Angular observablesbut debate about control of theory uncertainties
- Lepton universality tests can give theoretically clean input
  - Latest measurements yet to provide a definitive picture
- Good prospects for resolution with new measurements