

# **Saturation:**

(aspects of) some recent developments

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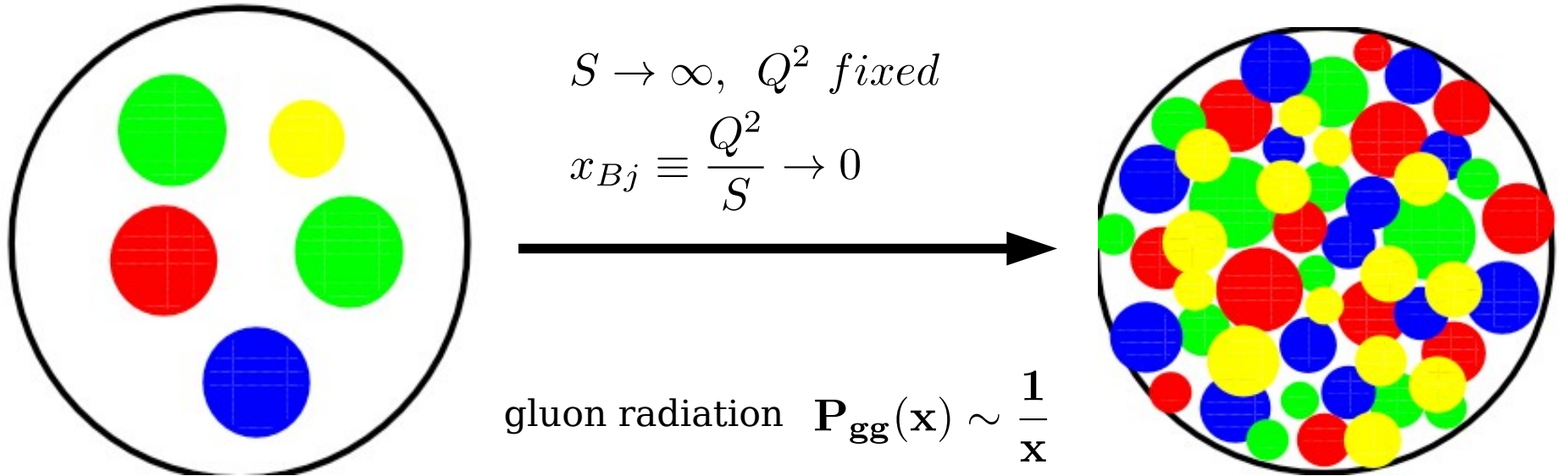
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“in honor of Jean-Paul, Miklos and Larry’s 70<sup>th</sup> birthday”

Wuhan, China, 10-11 Nov. , 2019

# Gluon saturation (Gribov, Levin, Ryskin, early 80's)



gluon radiation  $P_{gg}(\mathbf{x}) \sim \frac{1}{\mathbf{x}}$

$$\frac{\alpha_s}{Q^2} \frac{xG(x, Q^2)}{\pi R^2} \sim 1$$

*saturation scale*

$$Q_s^2(\mathbf{x}, \mathbf{b}_t, \mathbf{A})$$

McLerran-Venugopalan (93)

$$\alpha_s(Q_s^2) \ll 1$$

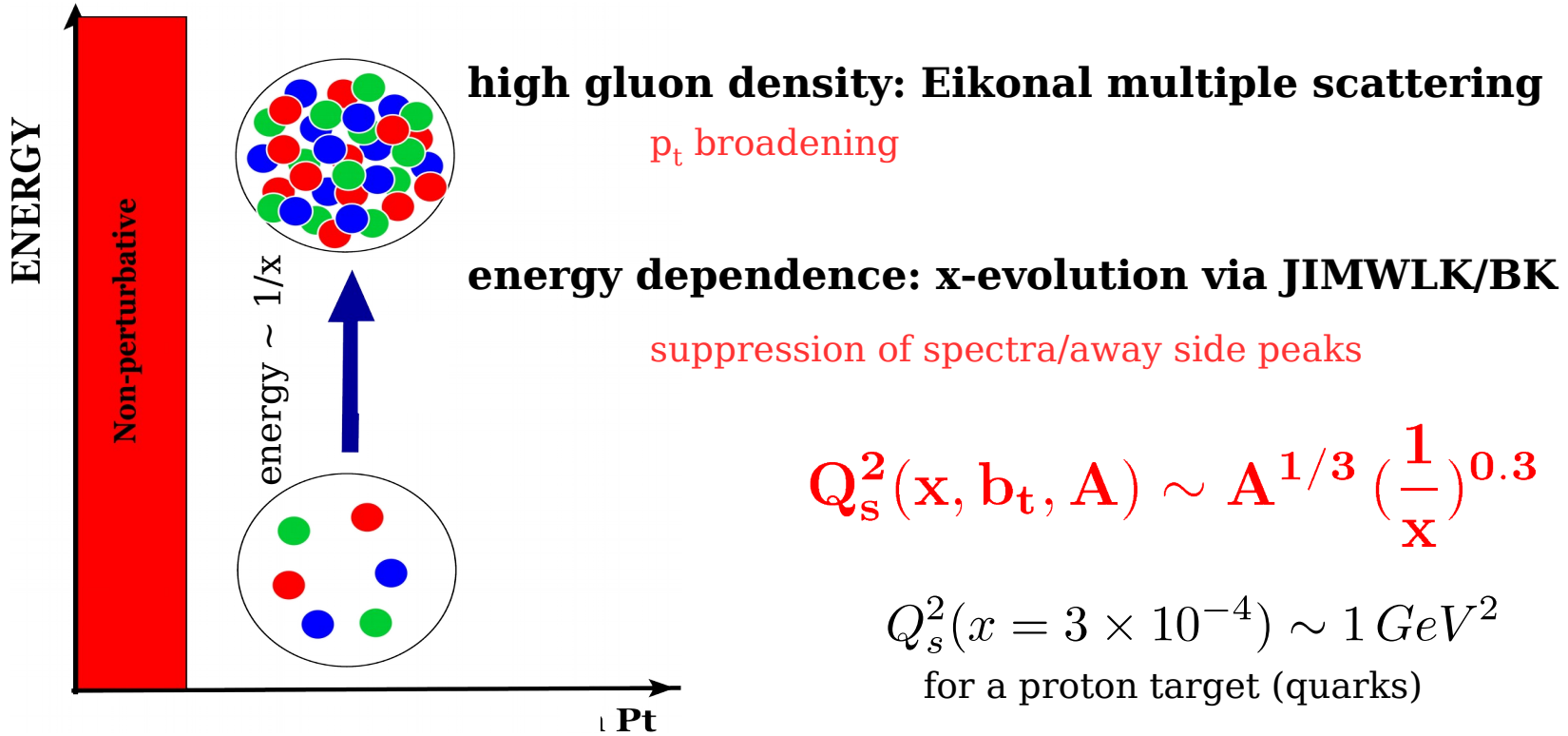
## **MV: an effective action approach to QCD at high energy**

novel and exciting phenomena

universal properties

initial conditions for high energy heavy ion collisions: Glasma

# A hadron/nucleus at high energy: CGC



a framework for multi-particle production in QCD at small  $x$ /low  $p_t$

- Shadowing/Nuclear modification factor*
- Azimuthal angular correlations (di-jets,...)*
- Long range rapidity correlations (ridge,...)*
- Initial conditions for hydro*
- Thermalization ?*

$$x \leq 0.01$$

# Particle production in high energy collisions

*pQCD and collinear factorization at high  $p_t$*

*precision physics*

*breaks down at low  $p_t$  (small  $x$ )*

*CGC at low  $p_t$*

*toward precision physics*

*breaks down at large  $x$  (high  $p_t$ )*

*to firmly establish CGC, need a unified formalism*

*CGC at low  $x$  (low  $p_t$ )*

*leading twist pQCD (DGLAP) at large  $x$  (high  $p_t$ )*

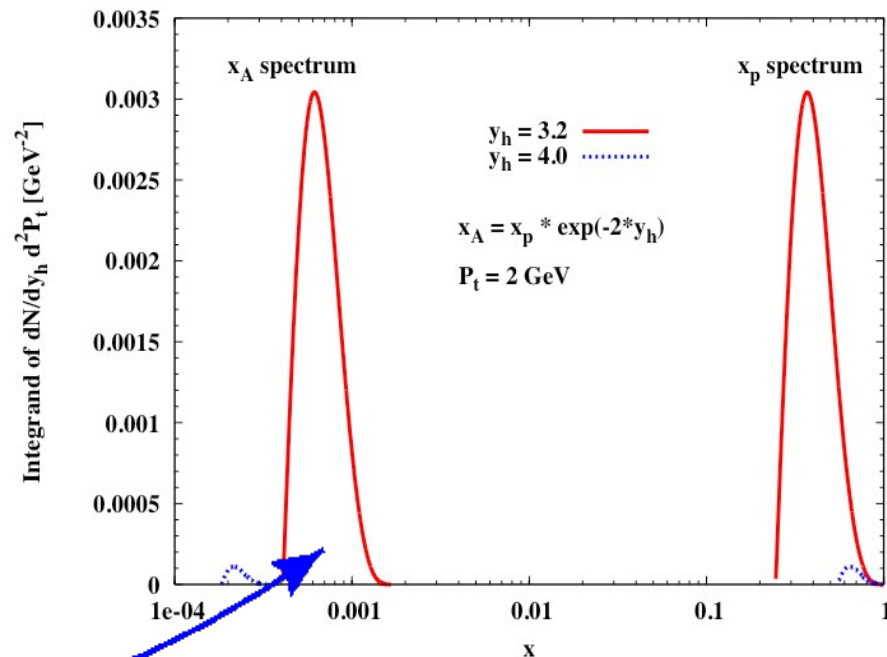
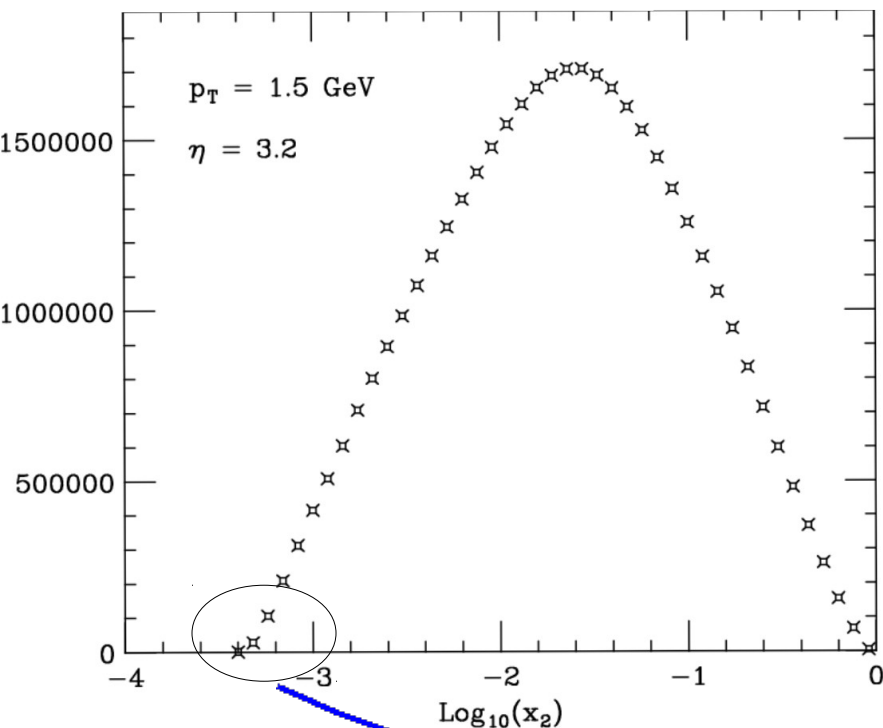
# Pion production at RHIC: kinematics

collinear factorization

CGC

GSV, PLB603 (2004) 173-183

DHJ, NPA765 (2006) 57-70



$$\int_{x_{\min}}^1 dx x G(x, Q^2) \dots \dots \rightarrow x_{\min} G(x_{\min}, Q^2) \dots$$

**this is an extreme approximation with severe consequences!**



# Scattering at high energy (small $x$ ) (*proton-nucleus*)

## Eikonal approximation

$$J_a^\mu \simeq \delta^{\mu-} \rho_a$$

$$D_\mu J^\mu = D_- J^- = 0$$

$$\partial_- J^- = 0 \quad (\text{in } A^+ = 0 \text{ gauge})$$

does not depend on  $x^-$

solution to  
EOM:

$$A_a^-(x^+, x_t) \equiv n^- S_a(x^+, x_t)$$

with

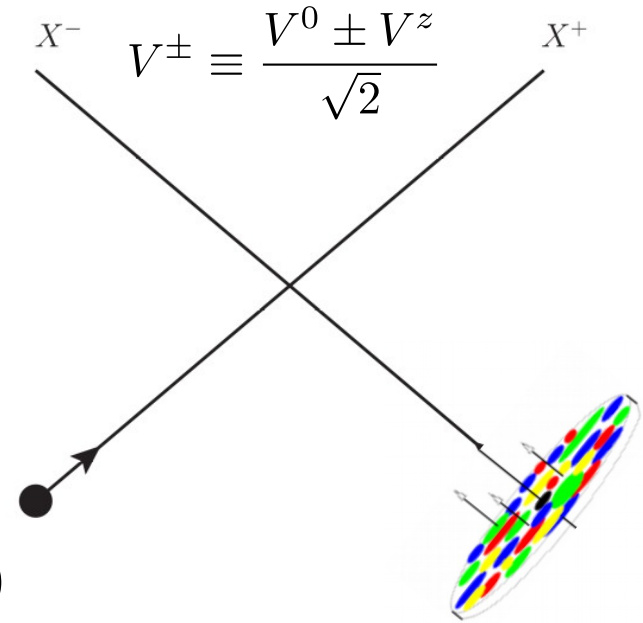
$$n^\mu = (n^+ = 0, n^- = 1, n_\perp = 0)$$

$$n^2 = 2n^+n^- - n_\perp^2 = 0$$

recall (eikonal limit):

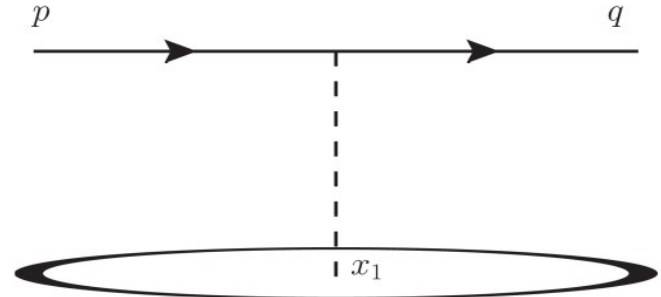
$$\bar{u}(q)\gamma^\mu u(p) \rightarrow \bar{u}(p)\gamma^\mu u(p) \sim p^\mu$$

$$\bar{u}(q)A u(p) \rightarrow p \cdot A \sim p^+ A^-$$

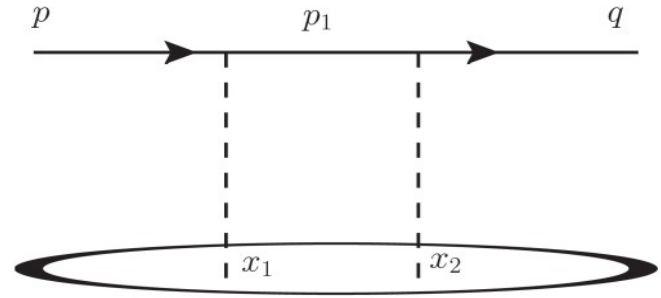


**multiple scattering of a quark from background color field**  $S_a(x^+, x_t)$

$$\begin{aligned}
i\mathcal{M}_1 &= (ig) \int d^4x_1 e^{i(q-p)x_1} \bar{u}(q) [\not{n} S(x_1)] u(p) \\
&= (ig)(2\pi)\delta(p^+ - q^+) \int d^2x_{1t} dx_1^+ e^{i(q^- - p^-)x_1^+} e^{-i(q_t - p_t)x_{1t}} \\
&\quad \bar{u}(q) [\not{n} S(x_1^+, x_{1t})] u(p) \\
&\quad A_a^-(x^+, x_\perp) \equiv n^- S_a(x^+, x_\perp)
\end{aligned}$$



$$\begin{aligned}
i\mathcal{M}_2 &= (ig)^2 \int d^4x_1 d^4x_2 \int \frac{d^4p_1}{(2\pi)^4} e^{i(p_1-p)x_1} e^{i(q-p_1)x_2} \\
&\quad \bar{u}(q) \left[ \not{n} S(x_2) \frac{i\not{p}_1}{p_1^2 + i\epsilon} \not{n} S(x_1) \right] u(p)
\end{aligned}$$

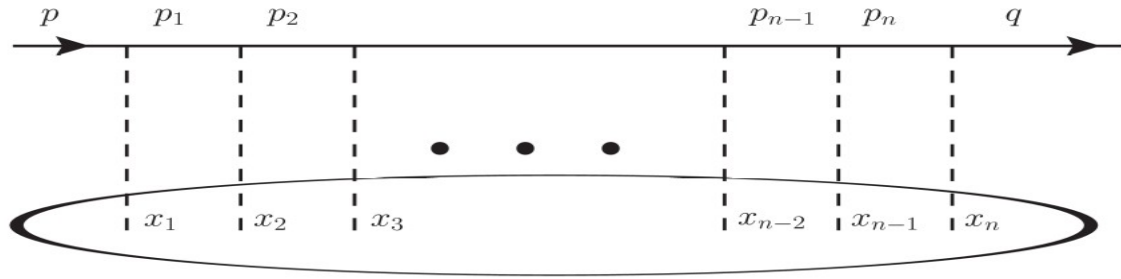


$$\int \frac{dp_1^-}{(2\pi)} \frac{e^{ip_1^-(x_1^+ - x_2^+)}}{2p^+ \left[ p_1^- - \frac{p_{1t}^2 - i\epsilon}{2p^+} \right]} = \frac{-i}{2p^+} \theta(x_2^+ - x_1^+) e^{i\frac{p_{1t}^2}{2p^+}(x_1^+ - x_2^+)}$$

contour integration over the pole leads to path ordering of scattering

ignore all terms:  $O\left(\frac{p_t}{p^+}, \frac{q_t}{q^+}\right)$  and use  $\not{n} \frac{\not{p}_1}{2n \cdot p} \not{n} = \not{n}$

$$\begin{aligned}
i\mathcal{M}_2 &= (ig)^2 (-i)(i) 2\pi\delta(p^+ - q^+) \int dx_1^+ dx_2^+ \theta(x_2^+ - x_1^+) \int d^2x_{1t} e^{-i(q_t - p_t) \cdot x_{1t}} \\
&\quad \bar{u}(q) [S(x_2^+, x_{1t}) \not{n} S(x_1^+, x_{1t})] u(p)
\end{aligned}$$



$$A_a^-(x^+, x_\perp) \equiv n^- S_a(x^+, x_\perp)$$

$$i\mathcal{M}_n = 2\pi\delta(p^+ - q^+) \bar{u}(q) \not{n} \int d^2x_t e^{-i(q_t - p_t) \cdot x_t} \left\{ (ig)^n (-i)^n (i)^n \int dx_1^+ dx_2^+ \cdots dx_n^+ \theta(x_n^+ - x_{n-1}^+) \cdots \theta(x_2^+ - x_1^+) [S(x_n^+, x_t) S(x_{n-1}^+, x_t) \cdots S(x_2^+, x_t) S(x_1^+, x_t)] \right\} u(p)$$

$$i\mathcal{M} = \sum_n i\mathcal{M}_n$$

$$i\mathcal{M}(p, q) = 2\pi\delta(p^+ - q^+) \bar{u}(q) \not{n} \int d^2x_t e^{-i(q_t - p_t) \cdot x_t} [V(x_t) - 1] u(p)$$

$$\text{with } V(x_t) \equiv \hat{P} \exp \left\{ ig \int_{-\infty}^{+\infty} dx^+ n^- S_a(x^+, x_t) t_a \right\}$$



DIS, proton-nucleus collisions involve dipoles

$$\langle Tr V(x_\perp) V^\dagger(y_\perp) \rangle \sim e^{-r_t^2 Q_s^2} \log 1/r_t^2 \Lambda^2 \quad \mathbf{MV \ model}$$

scattering from small x modes of the target can cause only a small angle deflection



# beyond eikonal approximation: tree level

scattering from small  $x$  modes of the target field  $A^- \equiv n^- S$  involves only small transverse momenta exchange (small angle deflection)

$$p^\mu = (p^+ \sim \sqrt{s}, p^- = 0, p_t = 0)$$

$$S = S(p^+ \sim 0, p^- / P^- \ll 1, p_t)$$

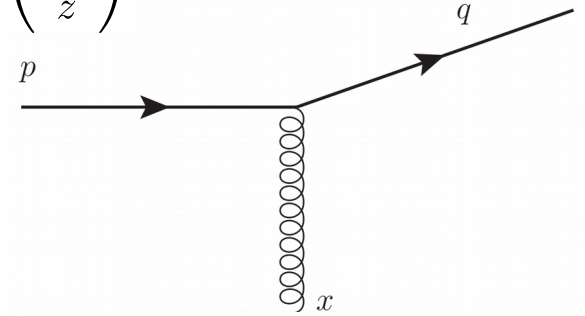
**allow hard scattering** by including one hard field  $A_a^\mu(x^+, x^-, x_t)$  during which large momenta can be exchanged and **quark can get deflected by a large angle**.

include eikonal multiple scattering before and after (along a different direction) the hard scattering

hard scattering: large deflection

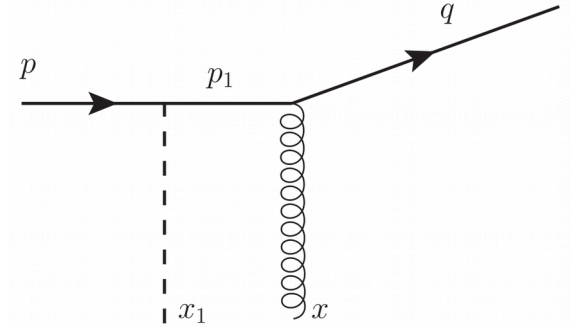
scattered quark travels in the new "z" direction:  $\bar{z}$

$$\begin{pmatrix} \bar{x} \\ \bar{y} \\ \bar{z} \end{pmatrix} = \mathcal{O} \begin{pmatrix} x \\ y \\ z \end{pmatrix}$$

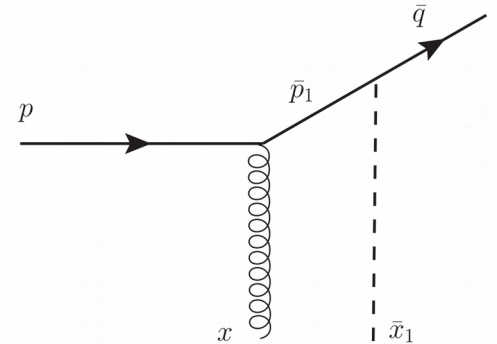


$$i\mathcal{M}_1 = (ig) \int d^4x e^{i(\bar{q}-p)x} \bar{u}(\bar{q}) [A(x)] u(p)$$

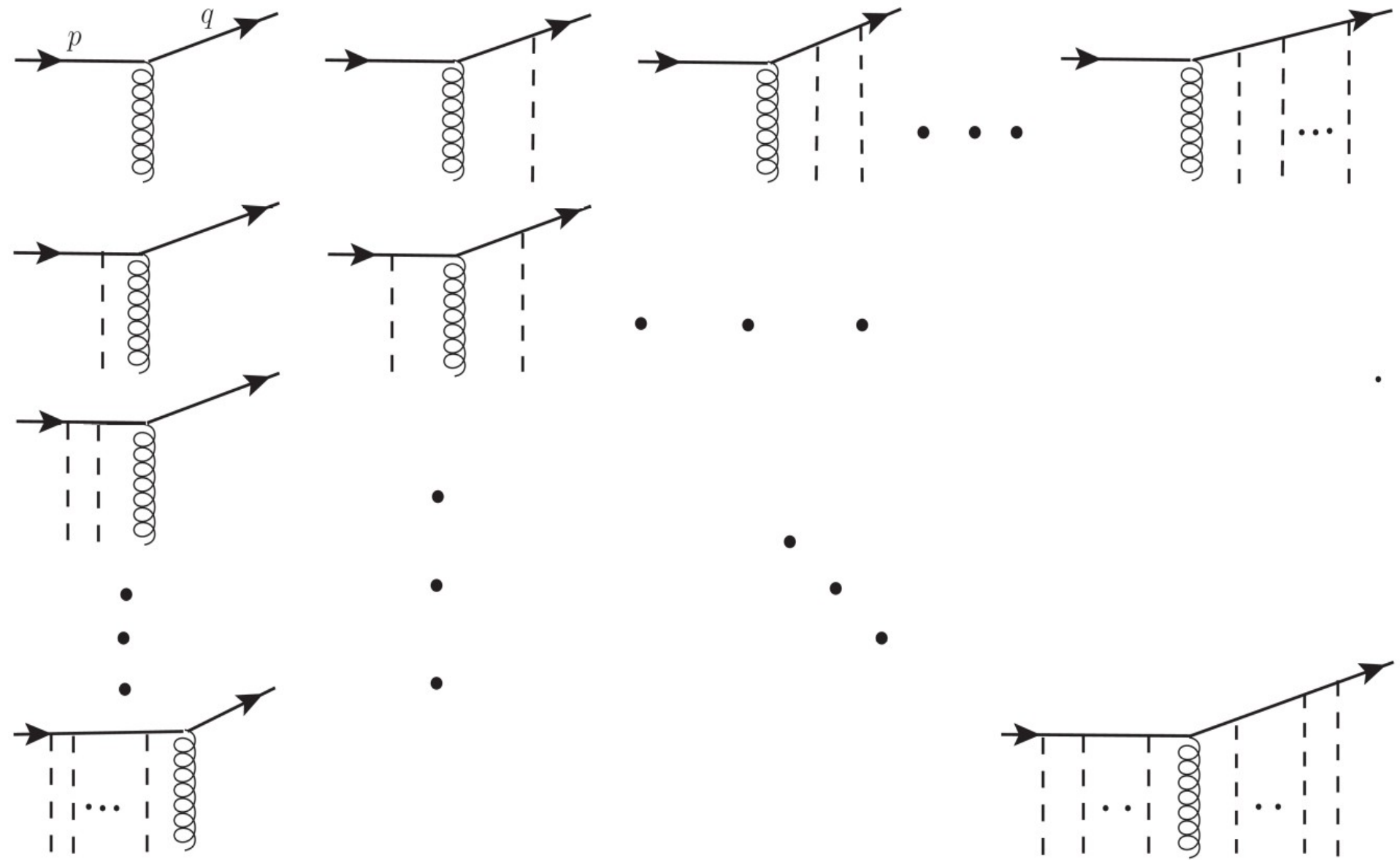
$$i\mathcal{M}_2 = (ig)^2 \int d^4x d^4x_1 \int \frac{d^4p_1}{(2\pi)^4} e^{i(p_1-p)x_1} e^{i(\bar{q}-p_1)x} \bar{u}(\bar{q}) \left[ A(x) \frac{i\not{p}_1}{p_1^2 + i\epsilon} \not{n} S(x_1) \right] u(p)$$



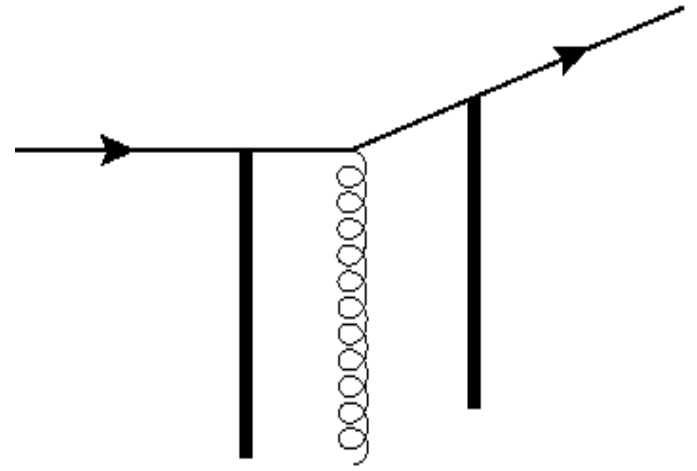
$$i\mathcal{M}_2 = (ig)^2 \int d^4x d^4\bar{x}_1 \int \frac{d^4\bar{p}_1}{(2\pi)^4} e^{i(\bar{p}_1-p)x} e^{i(\bar{q}-\bar{p}_1)\bar{x}_1} \bar{u}(\bar{q}) \left[ \not{n} \bar{S}(\bar{x}_1) \frac{i\not{\bar{p}}_1}{\bar{p}_1^2 + i\epsilon} A(x) \right] u(p)$$



with  $\vec{v} = \mathcal{O} \vec{v}$



summing all the terms gives:



$$i\mathcal{M}_1 = \int d^4x d^2z_t d^2\bar{z}_t \int \frac{d^2k_t}{(2\pi)^2} \frac{d^2\bar{k}_t}{(2\pi)^2} e^{i(\bar{k}-k)x} e^{-i(\bar{q}_t-\bar{k}_t)\cdot\bar{z}_t} e^{-i(k_t-p_t)\cdot z_t}$$

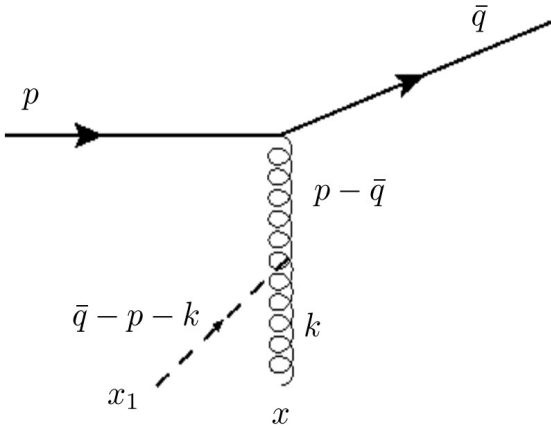
$$\bar{u}(\bar{q}) \left[ \bar{V}_{AP}(x^+, \bar{z}_t) \not{n} \frac{\not{k}}{2\bar{k}^+} [igA(x)] \frac{\not{k}}{2k^+} \not{n} V_{AP}(z_t, x^+) \right] u(p)$$

with

$$\bar{V}_{AP}(x^+, \bar{z}_t) \equiv \hat{P} \exp \left\{ ig \int_{x^+}^{+\infty} d\bar{z}^+ \bar{S}_a^-(\bar{z}_t, \bar{z}^+) t_a \right\}$$

$$V_{AP}(z_t, x^+) \equiv \hat{P} \exp \left\{ ig \int_{-\infty}^{x^+} dz^+ S_a^-(z_t, z^+) t_a \right\}$$

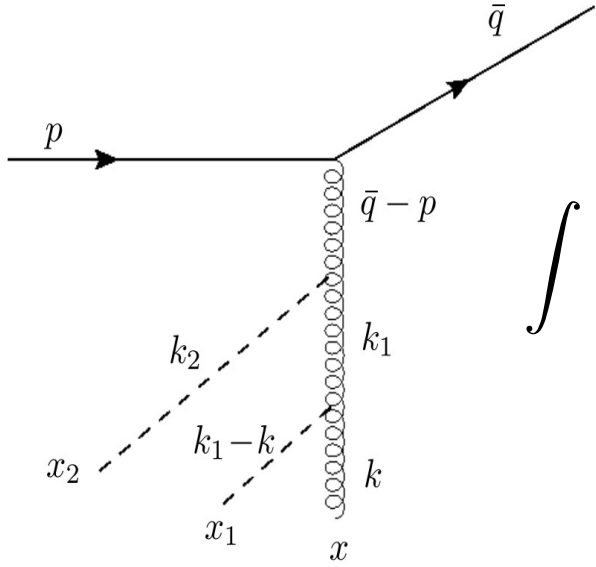
# interactions of large and small x modes



$$i\mathcal{M} = f_{acd} \int \frac{d^4 k}{(2\pi)^4} d^4 x d^4 x_1 e^{i(\bar{q}-p-k)x_1} e^{ikx} \bar{u}(\bar{q}) (ig \gamma^\mu t^a) u(p) A_\lambda^c(x) [ig S^d(x_1)] \frac{1}{(p - \bar{q})^2 + i\epsilon} \left[ -g_\lambda^\mu n \cdot (p - \bar{q} - k) + n^\mu \left[ p_\lambda - \bar{q}_\lambda \left( 1 - \frac{n \cdot k}{n \cdot (p - \bar{q})} \right) \right] \right]$$

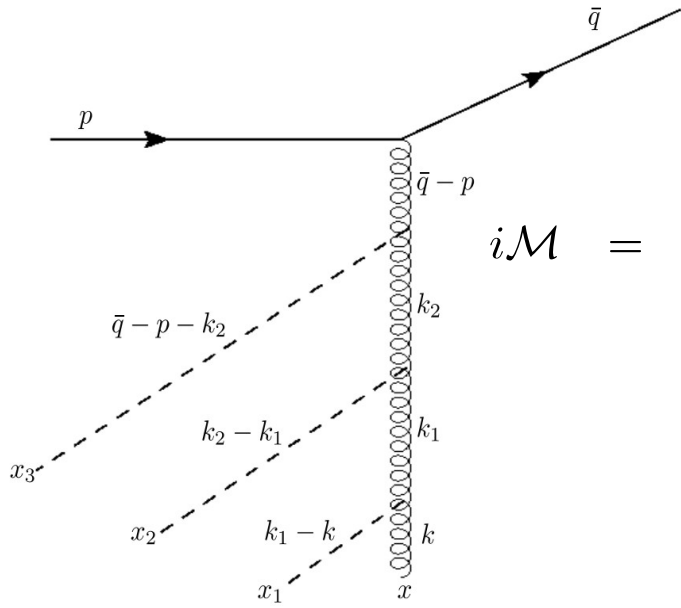
performing  $k^-$  integration sets  $x_1^+ = x^+$

$$i\mathcal{M} = 2f_{acd} \int d^4 x e^{i(\bar{q}-p)x} \bar{u}(\bar{q}) \frac{[\not{n} (p - \bar{q}) \cdot A_c(x) - \cancel{A}_c(x) n \cdot (p - \bar{q})]}{(p - \bar{q})^2} (ig t^a) u(p) [ig S^d(x^+, x_t)]$$



$$\int \frac{dk_1^-}{(2\pi)} \frac{e^{ik_1^-(x^+ - x_2^+)}}{2(\bar{q}^+ - p^+) \left[ k_1^- - \frac{k_{1t}^2 - i\epsilon}{2(\bar{q}^+ - p^+)} \right]} \sim \theta(x^+ - x_2^+)$$

$$\begin{aligned}
i\mathcal{M} &= 2 f_{abc} f_{cde} \int d^4x dx_2^+ \theta(x^+ - x_2^+) e^{i(\bar{q}^+ - p^+)x^- - i(\bar{q}_t - p_t) \cdot x_t} \\
&\bar{u}(\bar{q}) \frac{[\not{n} (p - \bar{q}) \cdot A_e(x) - A_c(x) n \cdot (p - \bar{q})]}{(p - \bar{q})^2} (ig t^a) u(p) \\
&[i g S_d(x^+, x_t)] [i g S_b(x_2^+, x_t)]
\end{aligned}$$

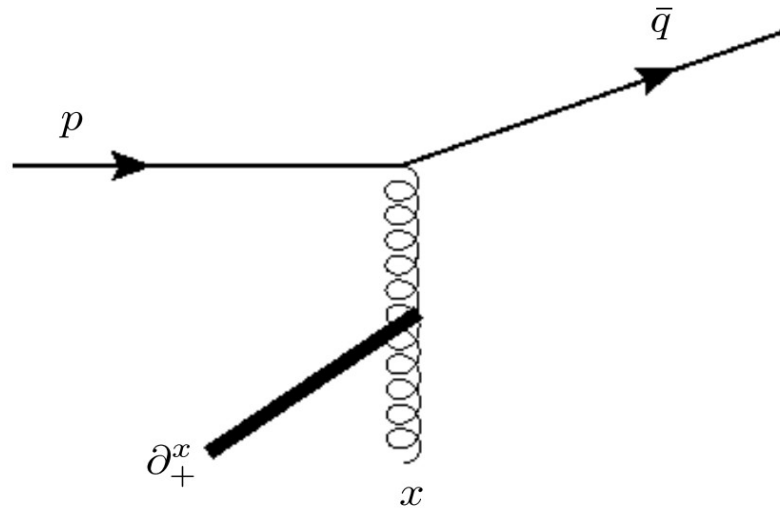


$$\begin{aligned}
 i\mathcal{M} &= \frac{2(i)^2}{(\bar{q} - p)^2} f^{abc} f^{cde} f^{egf} \int d^4x dx_2^+ dx_3^+ \theta(x^+ - x_2^+) \theta(x_2^+ - x_3^+) \\
 &\bar{u}(\bar{q}) (ig t^a) \left[ n \cdot (p - \bar{q}) \not{A}_f(x) - (p - \bar{q}) \cdot A_f(x) \not{n} \right] u(p) \\
 &\left[ ig S_g(x^+, x_t) \right] \left[ ig S_d(x_2^+, x_t) \right] \left[ ig S_b(x_3^+, x_t) \right] \\
 &e^{i(\bar{q}^+ - p^+)x^- - i(\bar{q}_t - p_t) \cdot x_t}
 \end{aligned}$$

recall

$$\begin{aligned}
 \partial_{x^+} \left[ U_{AP}^\dagger(x_t, x^+) \right]^{ab} &= (if^{bca}) [igS_c(x^+, x_t)] \\
 &+ (if^{bce}) (if^{eda}) \int dx_1^+ \theta(x^+ - x_1^+) [[igS_c(x^+, x_t)] [igS_d(x_1^+, x_t)]] \\
 &+ (if^{bch}) (if^{gdf}) (if^{fea}) \int dx_1^+ dx_2^+ \theta(x^+ - x_1^+) \theta(x_1^+ - x_2^+) \\
 &[[igS_c(x^+, x_t)] [igS_d(x_1^+, x_t)] [[igS_c(x_2^+, x_t)] + \dots\dots\dots
 \end{aligned}$$

all re-scatterings of hard  
gluon can be re-summed

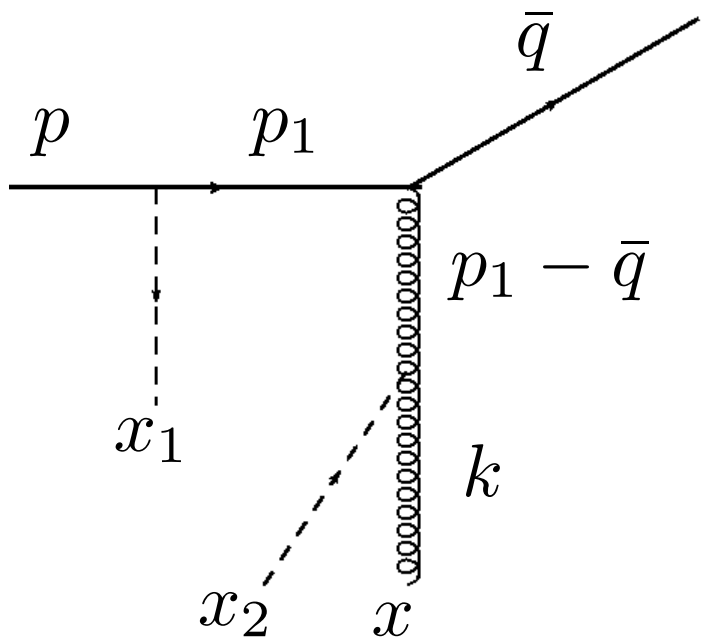


$$i\mathcal{M}_2 = \frac{2i}{(p - \bar{q})^2} \int d^4x e^{i(\bar{q}-p)x} \bar{u}(\bar{q}) \left[ (ig t^a) \left[ \partial_{x^+} U_{AP}^\dagger(x_t, x^+) \right]^{ab} \right. \\ \left. \left[ n \cdot (p - \bar{q}) \not{A}_b(x) - (p - \bar{q}) \cdot A_b(x) \not{n} \right] \right] u(p)$$

with

$$U_{AP}(x_t, x^+) \equiv \hat{P} \exp \left\{ ig \int_{-\infty}^{x^+} dz^+ S_a^-(z^+, x_t) T_a \right\}$$





**both initial state quark and hard gluon interacting:**

integration over  $p_1^-$

$$\int \frac{dp_1^-}{2\pi} \frac{e^{ip_1^- (x_1^+ - x^+)}}{[p_1^2 + i\epsilon] [(p_1 - \bar{q})^2 + i\epsilon]}$$

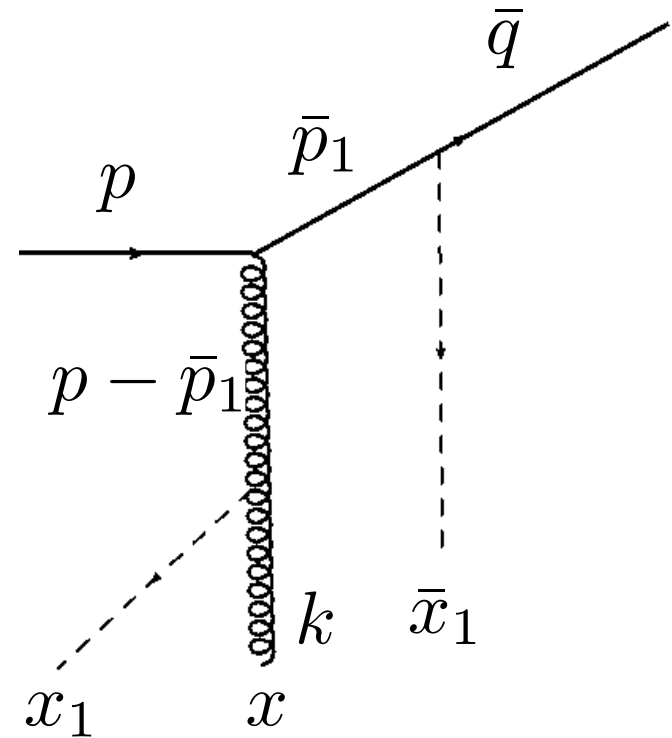
both poles are below the real axis, we get

$$\frac{e^{i \frac{p_{1t}^2}{2p^+} (x_1^+ - x^+)}}{\left[ \frac{p_{1t}^2}{2p^+} - \bar{q}^- - \frac{(p_{1t} - \bar{q}_t)^2}{2(p^+ - \bar{q}^+)} \right]} + \frac{e^{i \left[ \bar{q}^- + \frac{(p_{1t} - \bar{q}_t)^2}{2(p^+ - \bar{q}^+)} \right] (x_1^+ - x^+)}}{\left[ \bar{q}^- + \frac{(p_{1t} - \bar{q}_t)^2}{2(p^+ - \bar{q}^+)} - \frac{p_{1t}^2}{2p^+} \right]}$$

ignoring phases we get a cancellation!

*this can be shown to hold to all orders whenever both initial state quark and hard gluon scatter from the soft fields!*

# how about the final state quark interactions?



integration over  $\bar{p}_1^-$

$$\int \frac{d\bar{p}_1^-}{2\pi} \frac{e^{i\bar{p}_1^- (\bar{x}_1^+ - x^+)}}{[\bar{p}_1^{-2} + i\epsilon] [(p_1 - \bar{p}_1)^2 + i\epsilon]}$$

now the poles are on the opposite side of the real axis, we get both ordering

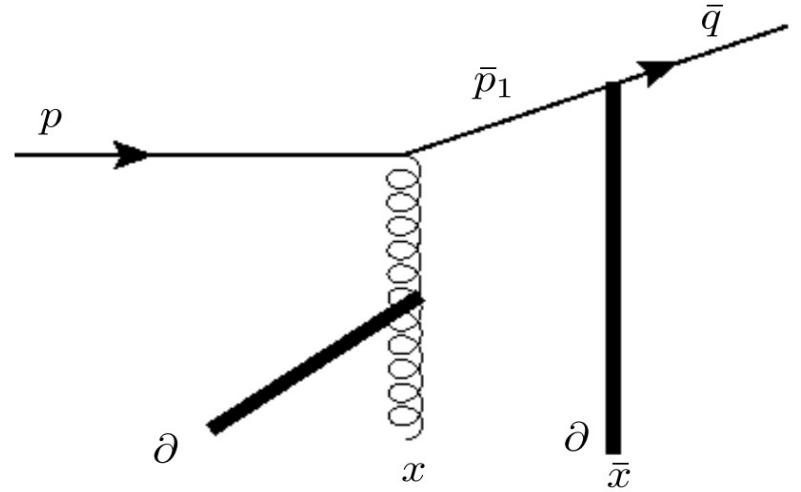
$$\theta(x^+ - \bar{x}_1^+) \text{ and } \theta(\bar{x}_1^+ - x^+)$$

ignoring the phases the contribution of the two poles add!

*path ordering is lost!*

**however further rescatterings are still path-ordered  
before/after  $\mathbf{x}_1^+$ ,  $\bar{\mathbf{x}}_1^+$**

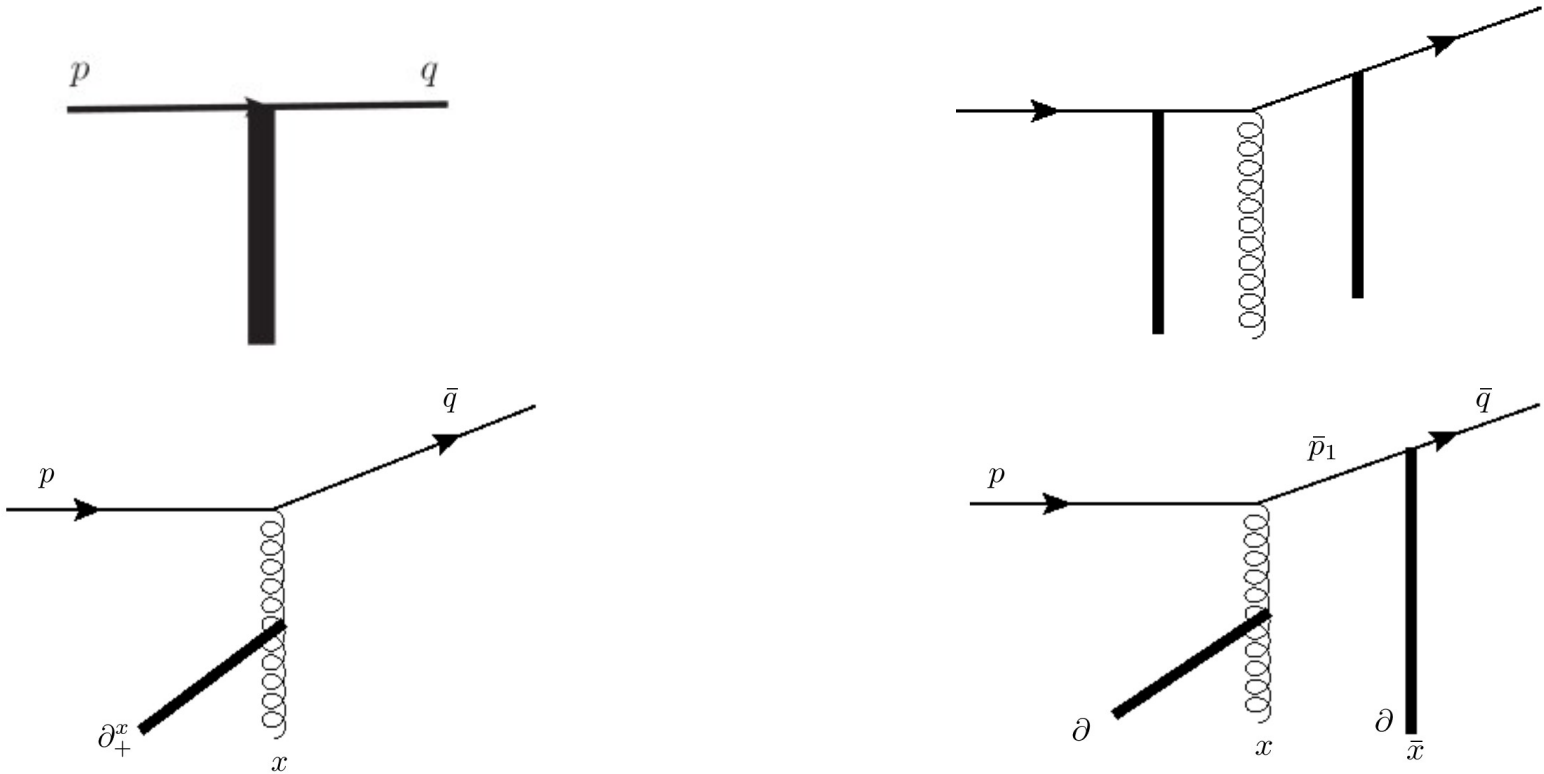
Re-scatterings of hard  
gluon and final state  
quark re-sum to



$$\begin{aligned}
 i\mathcal{M}_3 = & -2i \int d^4x d^2\bar{x}_t d\bar{x}^+ \frac{d^2\bar{p}_{1t}}{(2\pi)^2} e^{i(\bar{q}^+ - p^+)x^-} e^{-i(\bar{p}_{1t} - p_t) \cdot x_t} e^{-i(\bar{q}_t - \bar{p}_{1t}) \cdot \bar{x}_t} \\
 & \bar{u}(\bar{q}) \left[ [\partial_{\bar{x}^+} \bar{V}_{AP}(\bar{x}^+, \bar{x}_t)] \not{n} \not{\bar{p}}_1 (igt^a) [\partial_{x^+} U_{AP}^\dagger(x_t, x^+)]^{ab} \right. \\
 & \left. \frac{[n \cdot (p - \bar{q}) \not{A}^b(x) - (p - \bar{p}_1) \cdot A^b(x) \not{n}]}{[2n \cdot \bar{q} 2n \cdot (p - \bar{q}) p^- - 2n \cdot (p - \bar{q}) \bar{p}_{1t}^2 - 2n \cdot \bar{q} (\bar{p}_{1t} - p_t)^2]} \right] u(p)
 \end{aligned}$$

full amplitude:

$$i\mathcal{M} = i\mathcal{M}_{eik} + i\mathcal{M}_1 + i\mathcal{M}_2 + i\mathcal{M}_3$$



soft (eikonal) limit:

$$A^\mu(x) \rightarrow n^- S(x^+, x_t)$$

$$n \cdot \bar{q} \rightarrow n \cdot p$$

$$i\mathcal{M} \rightarrow i\mathcal{M}_{eik}$$

cross section:  $|\mathbf{iM}|^2 = |\mathbf{iM}_{\mathbf{eik}} + \mathbf{iM}_1 + \mathbf{iM}_2 + \mathbf{iM}_3|^2$

soft (eikonal) limit:  $i\mathcal{M} \longrightarrow i\mathcal{M}_{eik}$

## spinor helicity formalism: light-front spinors

### spin asymmetries

$$|i\mathcal{M}_2^+|^2 \sim g^2 \frac{q^+}{p^+} \frac{1}{q_\perp^4} \int d^4x d^4y e^{i(q^+ - p^+)(x^- - y^-)} e^{-i(q_t - p_t) \cdot (x_t - y_t)}$$

$$\left\{ \left[ (p^+ - q^+)^2 q_\perp^2 A_\perp^b(x) \cdot A_\perp^c(y) + 4p^+ q^+ q_\perp \cdot A_\perp^b(x) q_\perp \cdot A_\perp^c(y) \right] \right.$$

$$\left. + \mathbf{i} \epsilon^{ij} [(p^+)^2 - (q^+)^2] \left[ q_i A_j^b(x) q_\perp \cdot A_\perp^c(y) - q_i A_j^c(y) q_\perp \cdot A_\perp^b(x) \right] \right\}$$

$$[\partial_{y^+} U_{AP}]^{ca} [\partial_{x^+} U_{AP}^\dagger]^{ab}$$

$|i\mathcal{M}_2^-|^2 = (|i\mathcal{M}_2^+|^2)^* \longrightarrow \mathbf{d}\sigma^{++} - \mathbf{d}\sigma^{--} \neq \mathbf{0}$       this is zero in CGC

### azimuthal asymmetries

### rapidity loss, .....

# ***SUMMARY***

***CGC is a systematic approach to high energy collisions***

***strong hints from RHIC, LHC,...***

***connections to TMD,...***

***toward precision: NLO,...***

***CGC breaks down at large  $x$  (high  $p_t$ )***

***a significant portion of EIC phase space is at large  $x$***

***transition from large  $x$  to small  $x$  physics***

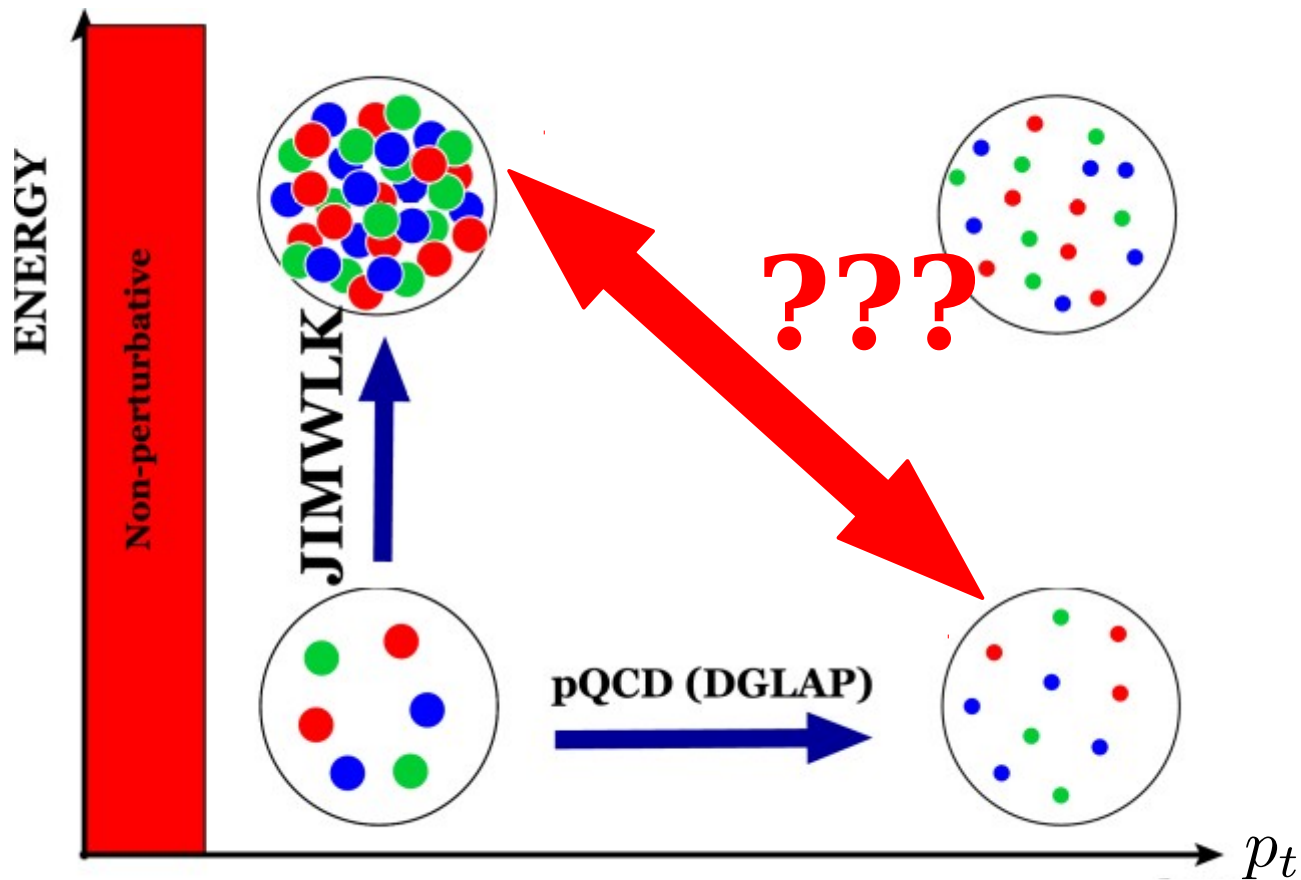
***Toward a unified formalism:***

***particle production in both small and large  $x$  ( $p_t$ ) kinematics***

***spin, azimuthal asymmetries in intermediate  $p_t$  region***

***one-loop correction to cross section: from JIMWLK to DGLAP ?***

# QCD kinematic phase space



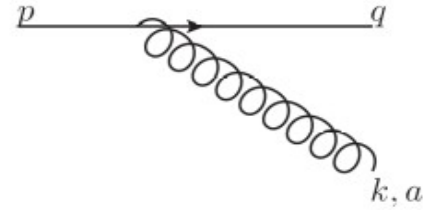
**unifying saturation with high  $p_t$  (large  $x$ ) physics?**

*kinematics of saturation: where is saturation applicable?  
jet physics, high  $p_t$  (polar and azimuthal) angular correlations  
cold matter energy loss, spin physics, .....*

# 1-loop correction: energy dependence

basic ingredient: soft radiation vertex (LC gauge)

$$g \bar{u}(q) t^a \gamma_\mu u(p) \epsilon_{(\lambda)}^\mu(k) \longrightarrow 2 g t^a \frac{\epsilon_{(\lambda)} \cdot k_t}{k_t^2}$$

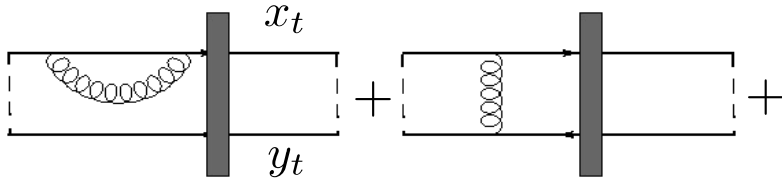


coordinate space:

$$\int \frac{d^2 k_t}{(2\pi)^2} e^{i k_t \cdot (x_t - z_t)} 2 g t^a \frac{\epsilon_{(\lambda)} \cdot k_t}{k_t^2} = \frac{2 i g}{2\pi} t^a \frac{\epsilon_{(\lambda)} \cdot (x_t - z_t)}{(x_t - z_t)^2}$$

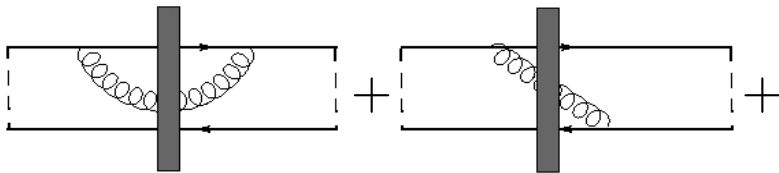
$x_t, z_t$  are transverse coordinates of the quark and gluon

virtual corrections:



$$\longrightarrow \text{Tr} V(x_t) V^\dagger(y_t) \quad \text{a dipole}$$

real corrections:



$$\longrightarrow \text{Tr} V(x_t) V^\dagger(z_t) \text{Tr} V(z_t) V^\dagger(y_t)$$

$$\frac{1}{(x_t - z_t)^2}$$

$$\frac{(x_t - z_t) \cdot (y_t - z_t)}{(x_t - z_t)^2 (y_t - z_t)^2}$$

the S matrix

$$S(x_t, y_t) \equiv \frac{1}{N_c} \text{Tr} V(x_t) V^\dagger(y_t)$$



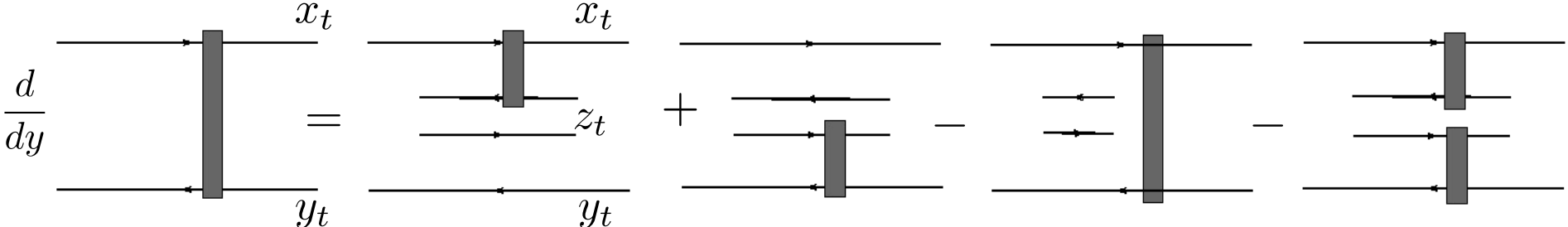
# 1-loop correction: BK eq.

at large  $N_c$

$$3 \otimes \bar{3} = 8 \oplus 1 \simeq 8 \quad \text{wavy line} \sim \text{gluon exchange}$$

$$\frac{d}{dy} T(x_t, y_t) = \frac{N_c \alpha_s}{2\pi^2} \int d^2 z_t \frac{(x_t - y_t)^2}{(x_t - z_t)^2 (y_t - z_t)^2} [T(x_t, z_t) + T(z_t, y_t) - T(x_t, y_t) - T(x_t, z_t)T(z_t, y_t)]$$

$$T \equiv 1 - S$$



$$\tilde{T}(p_t) \sim \frac{1}{p_t^2} \left[ \frac{Q_s^2}{p_t^2} \right] \quad Q_s^2 \ll p_t^2$$

$$\tilde{T}(p_t) \sim \log \left[ \frac{Q_s^2}{p_t^2} \right] \quad Q_s^2 \gg p_t^2$$

$$\tilde{T}(p_t) \sim \frac{1}{p_t^2} \left[ \frac{Q_s^2}{p_t^2} \right]^\gamma \quad Q_s^2 < p_t^2$$

nuclear modification factor

$$R_{pA} \equiv \frac{\frac{d\sigma^{pA}}{d^2 p_t dy}}{A^{1/3} \frac{d\sigma^{pp}}{d^2 p_t dy}}$$

nuclear shadowing  
 suppression of  $p_t$  spectra  
 disappearance of away side peak

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