

SM Flavor

Nutshell: How do we describe quark/lepton interactions? SM EW Lagrangian:

$$\mathcal{L}_{\rm EW} = \frac{g_2}{\sqrt{2}} \begin{pmatrix} u & c & t \end{pmatrix} \mathcal{W} \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

SM Flavor

Nutshell: How do we describe quark/lepton interactions? SM EW Lagrangian:



- Flavor changing processes through electroweak charged currents (CC)
- No tree-level flavor changing neutral currents (FCNC)
- Similar story for charged leptons with ν masses

Flavor Data

Can test SM flavor structure with CKM unitarity triangles, e.g.

 $V_{tb}^* V_{td} + V_{cb}^* V_{cd} + V_{ub}^* V_{ud} = 0$



- Huge multitude of physical observables projected onto CKM parameter plane (from decays, (in)direct CP violation, mass splittings Δm...)
- $\bullet~{\rm SM}$ \implies all allowed regions should intersect. Lesson: Good agreement with SM flavor structure
- Leads to powerful constraints and powerful discovery potential

New Physics (NP) Constraints

History:

- $n \rightarrow pe\nu$: Energy scale \sim MeV, probes EW current at $\sim 100 \text{ GeV}!$
- $\Delta m_K/m_K \simeq 7 imes 10^{-15}$ predicted charm, $m_c \sim 1\text{--}2\text{GeV}$

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Constraints on 4-Fermi FCNC operators from e.g. meson mixing



JTfit 1411.7233

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Loop-level sensitivities



Lepton Flavor Universality



(Rough) Perspectives on Flavor Probes

NP

Smoking Guns

Enhancement of rare/forbidden SM

Flavor symmetry violation

- $K \to \pi \nu \nu$
- $\mu \rightarrow e$
- $b \rightarrow c \ell \nu$: τ vs e, μ
- $b \to s \ell \ell$: $e \text{ vs } \mu$
- CKM Unitarity
- ...

Theoretically Clean

Constrain high NP scales (no obs \gg SM prediction)

- $B_s \rightarrow \mu \mu$
- △*M*_{s,d}
- $b \rightarrow s \nu \nu$
- ...

Tensions: Measurements vs predictions

- |V_{cb}|, |V_{ub}|
- (g − 2)_µ
- ΔA_{CP} in charm
- $b \rightarrow s \mu \mu$

...

Precision Flavor

Flavor Models

Explain SM hierarchies: Quark/lepton mass and mixing Can imply NP signals

SM

Precision Th. Control



Space of Heavy Flavor Anomalies Involving $B_q = (bq)$ mesons



Adapted from Z Ligeti and W Altmannshofer

Rare decays: $b \rightarrow s \ell \ell$

b s

• Loop (penguin) process

$$\mathcal{M}\sim rac{1}{16\pi^2}rac{g^4}{m_W^2}V_{ts}V_{tb}rac{m_t^2}{m_W^2}$$

• Experimentally clean signal: $B \to K^{(*)}\ell\ell$



Lepton Universality Tests Factor out hadronic uncertainties: Consider ratio

$$R_{K^{(*)}} = \frac{B \to K^{(*)} \mu \mu}{B \to K^{(*)} e e}$$

(in various q^2 binnings)

Should be 1.00 ± 0.01 in SM!

Bordone, Isidori, Pattori [1605.07633]

New Run II result from LHCb; $1.1 \le q^2 \le 6 \text{ GeV}^2$

Prior: $R_{K^+} = 0.846^{+0.060+0.016}_{-0.054-0.014}$ Updated: $R_{K^+} = 0.846^{+0.042+0.013}_{-0.039-0.012}$

Tension now at 2.5 ightarrow 3.1 σ

[For eagle-eyed, central value remained the same despite shifts in the *Kee* fit, likely because of slight changes in analysis technique]



Lepton Universality Tests

Also in the $1.1 \le q^2 \le 6 \, \mathrm{GeV^2}$ bin

 $R_{K^{*0}} = 0.71^{+0.12}_{-0.09}$

https://hflav.web.cern.ch/

- LHCb update of R_{K*0} in the near term?
- Belle, BaBar in other q² bins compatible with SM



Belle EPS-HEP [Choudhury]

Rare Leptonic Decays

Associated meas. of $B_{(s)} \rightarrow \mu \mu$

Combination ALTAS+CMS+LHCb:

 $BR_{\exp}(B_s \to \mu\mu) = (2.93 \pm 0.35) \times 10^{-9}$ $BR_{SM}(B_s \to \mu\mu) = (3.67 \pm 0.15) \times 10^{-9}$

Approx 2σ tension



Altmannshofer, Stangl 2103.13370

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Caveat: The SM prediction

$$BR_{SM}(B_s \to \mu\mu) \sim |C_{10}^{SM} V_{tb} \frac{V_{ts}}{V_{ts}}|^2 f_{B_s}(...)$$

Wilson Coeff

known to high precision b - s CKM unitarity: Sensitive to $|V_{cb}|$ incl-excl. tension (below)! [Using exclusive $|V_{cb}|$ determination could relax BR tension entirely?!]

Puzzle: Precision moments

Measure angular distributions in

 $B \to K^* (\to K \pi) \mu \mu$



LHCB-PAPER-2020-002

Deviations of about 2.5σ in several q^2 bins, but SM predictions are hard. [see also 'ASZB' SM predictions]

NP Explanations?



SM-like SL operators at dimension-6:

$$\frac{C_9^{(\prime)}}{\Lambda^2}(\bar{s}\gamma_{\mu}P_{L(R)}b)(\mu\gamma^{\mu}\mu) + \frac{C_{10}^{(\prime)}}{\Lambda^2}(\bar{s}\gamma_{\mu}P_{L(R)}b)(\mu\gamma^{\mu}\gamma^5\mu)$$

Normalized against loop SM: $\Lambda \sim 4\pi v / \sqrt{V_{tb}V_{ts}} \sim 10$ TeV. Expect 10 TeV scale NP

Global NP Fit



Altmannshofer, Stangl 2103.13370

- Large amount of NP model building
- Typically leptoquarks or Z' models (e.g. gauged $L_{\mu}-L_{ au})$
- Some attempts to relate to $R(D^{(*)})$ [Next!]

Semileptonic Decays: $b \rightarrow c \ell \nu$



- Tree-level W exchange (in the SM)
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 $g_2~(\ell=e,~\mu,~ au)$ up to masses:

 $m_{ au}\simeq 1777 \, {
m MeV}$ vs $m_{\mu}=105 \, {
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PS and hadronic effects



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Probe of lepton flavor universality $g_2 \ (\ell = e, \ \mu, \ \tau)$ up to masses: $m_\tau \simeq 1777 \text{MeV}$ vs $m_\mu = 105 \text{MeV}$; PS and hadronic effects Measurement of $|V_{cb}|$ inclusively (OPE) Hadronic matrix elements \implies measure $|V_{cb}|$ in exclusive $l = e, \mu$ modes

Two Anomalies/Puzzles

1. Inclusive $B \to X_c l \nu$ versus exclusive $B \to D^* l \nu$

Measurement is done with $\textit{I}=\textit{e},\,\mu$: The τ mode involves more ν 's from τ decays and less statistics

$$|V_{cb}|_{X_c} \simeq (42.2 \pm 0.8) \times 10^{-3}$$

 $|V_{cb}|_{D^*} \simeq (38.7 \pm 0.7) \times 10^{-3}$

A 3σ tension?!?

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2. Factor out $|V_{cb}|$, measure
lepton flavor universality violation (LFUV) ratios

$$R(H_c) \equiv \frac{\Gamma[H_b \rightarrow H_c \tau \nu]}{\Gamma[H_b \rightarrow H_c l \nu]}, \qquad l = e, \mu.$$

$$H_b : B \qquad B_s \qquad \Lambda_b \qquad B_c$$

$$H_c : D, D^*, D^{**} \qquad D_s^{(*,**)} \qquad \Lambda_c, \Lambda_c^* \qquad J/\psi$$
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Puzzle: Hadronic Matrix Elements

For exclusive processes: Main theory uncertainty is mapping partons \rightarrow hadrons:



- $|V_{cb}|$: Need SM predictions for $\langle D^{(*)} | \overline{c} \Gamma b | \overline{B} \rangle$
- $R(D^{(*)})$: Some SM matrix elements couple $\sim m_{\tau}$. Suppressed in e, μ .
- $R(D^{(*)})$: Need NP predictions for $\langle D^{(*)} | \overline{c} [b] | \overline{B} \rangle$ for any NP current

 $\int_{V\pm A, S, P \text{ or } T}$

• Use parametrizations of form factors. Ultimate(?): Lattice calculations



$|V_{cb}|$ Developments

• "Traditional" experimental approach uses "CLN" param: HQET plus QCD sum rules (actually inconsistent with heavy quark expansion, but can be repaired Bernlochner, Ligeti, Papucci, DR [1703.05330])

 $|V_{cb}|_{\text{`CLN'}} = (38.2 \pm 1.5) imes 10^{-3}$, 1702.01521 [Belle]

• Unfolded $B \rightarrow D^* l \nu$ Belle dataset 1702.01521. Permitted fits to different FF param choices: "BGL" param using only analyticity and unitarity

$$\begin{split} |V_{cb}|_{^{*}BGL'} &= (41.7^{+2.0}_{-2.1}) \times 10^{-3} , \quad \text{1703.06124, 1707.09509 [Bigi, Gambino, Schacht]} \\ |V_{cb}|_{^{*}BGL'} &= (41.9^{+2.0}_{-1.9}) \times 10^{-3} , \quad \text{1703.08170 [Grinstein, Kobach]} \end{split}$$

- $|V_{cb}|_{incl} = (42.2 \pm 0.8) \times 10^{-3}$: Resolves $|V_{cb}|$ incl vs excl tension? The fits lifting $|V_{cb}|$ led to HQET tensions BLPR [1708.07134, 1902.09553].
- Subsequent Belle untagged analysis:

 $|V_{cb}|_{^{\circ}{
m BGL}^{'}} = (38.4 \pm 0.7) imes 10^{-3}$ Belle 1809.03290

• But: Sensitive to truncation order of the BGL expansion BLPR [1902.09553]

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• Unfolded $B \rightarrow D^* l \nu$ Belle dataset 1702.0152 FF param choices: "BGL" param using only

$$\begin{split} |V_{cb}|_{^{1}\text{BGL'}} &= (41.7^{+2.0}_{-2.1}) \times 10^{-3} \,, \quad \text{1703.06124}, \\ |V_{cb}|_{^{1}\text{BGL'}} &= (41.9^{+2.0}_{-1.9}) \times 10^{-3} \,, \quad \text{1703.08170} \end{split}$$

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- Anticipated precision data will help resolve this (plus tools to allow different FF exp fits: [Hammer])
- Debate: How big are $1/m_c^2$ terms in heavy quark expansion?
- Growing evidence of well controlled HQET expansion at $1/m_c^2$ (cf. $R(D^{(*)})$ predictions)

Bernlochner, Ligeti, DR [1808.09464],

Bordone, Jung, van Dyk [1908.09398],

more soon!

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$|V_{ub}|$ Tensions

- There is a similar tension in V_{ub} from b
 ightarrow ul
 u exclusive vs inclusive
- Eg $B \rightarrow \rho/\omega I \nu$ or $B \rightarrow \pi I \nu$



Bernlochner, Prim, DR [2104.05739]

$R(D^{(*)})$ anomaly

Persistent hints of lepton flavor universality violation for 8+ years Approx 10-20% upward deviations

HFLAV combination: 3.1σ



But: exp-exp correlations from $B \to (D^{**} \to D^*\pi...) l \nu$ feed-down backgrounds



Combination: 3.6σ

Forecasts

Huge amount of data from LHCb and Belle II: $R(H_c)$ to percent level in some modes! Optimistic forecast:



 $\mathcal{O}(\%) \ R(D^{(*)})$ measurements \rightarrow excellent control of systematics

- Large MC datasets
- Control of $B \rightarrow D^{**} l \nu$ excited state decays
- Consistent treatment of $D^{**} o D^{(*)}\pi(\pi)$ branching fractions

Example Theory Systematic

- $R(D^{**})$ is a crucial input! [Two broad and two narrow modes: D_0^* , D_1^* , D_1' and D_2^*]
 - Belle: average $R(D^{**}) = 0.15$ [1910.05864]
 - Babar: average $R(D^{**}) = 0.18$ [1303.0571]
 - LHCb: $R(D^{**}) = 0.12$ [1506.08614]
- Data driven theory predictions Bernlochner, Ligeti, DR [1711.03110]

$$\begin{split} R(D_0^*) &= 0.08(3), \quad R(D_1') = 0.05(2), \\ R(D_1) &= 0.10(2), \quad R(D_2^*) = 0.07(1) \,. \end{split}$$

• About 50% smaller! Would drive measured $R(D^{(*)})$ higher by 0.5σ [2101.08326]

Global NP fit strategy

General 4-Fermi basis: At dimension-6, there are 5 NP operators for left-handed ν 's (and 5 NP operators for right-handed ν 's)

$$\mathcal{O}_6 \sim \frac{C}{\Lambda^2} (\overline{c} \Gamma b) (\overline{\tau} \Gamma' \nu) \qquad \Lambda \sim [2\sqrt{2}G_F / V_{cb}]^{-1/2} \sim 0.9 \text{ TeV}$$

- Calculate predictions for NP $B \rightarrow D^{(*)} \tau \nu$ rates
- Fit to the measured $R(D^{(*)})$ data (and other measurements)



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MC Template Dependence

Have to translate experimental yields into theoretically well-defined parameters



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SM Template $R(D^{(*)})$ biases

How big are these potential biases?

- Using Hammer library: hammer.physics.lbl.gov
- Inject truth R_2 model: $S_{qLIL} \simeq 8T_{qLIL} = 0.25(1 + i)$. Fit SM Template.
- Allow $B
 ightarrow D^{(*)} l
 u$ rates to float independently in the fit



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Actual biases will depend on details of experimental acceptances and efficiencies

- To avoid NP-NP mismatches, LHCb and Belle II will eventually have to carry out an analysis with the full set of NP operators.
- Caution for interpretation of theory NP global fits of Wilson coefficients

Summary

- Space of smoking guns and precision measurements: lots of heavy flavor mysteries to be understood (and how they could be related)!
- $R_{K^{(*)}}$ anomalies appear very clean: Are there crucial subtleties missing? Care is also needed with interpretation of $B_s \rightarrow \mu\mu$ and angular $b \rightarrow s\mu\mu$.
- Precision tensions in $|V_{cb}|$ will be established or resolved with more data (cf also $|V_{ub}|$ inclusive vs exclusive)
- Percent level measurement of R(D^(*)) will require precision control of various theory systematics. The anomalies suggest NP, but more careful, self-consistent study is needed by experiments

Thanks!