

# Phase diagram and transport coefficients from the Polyakov Nambu Jona-Lasinio Lagrangian

in collaboration with  
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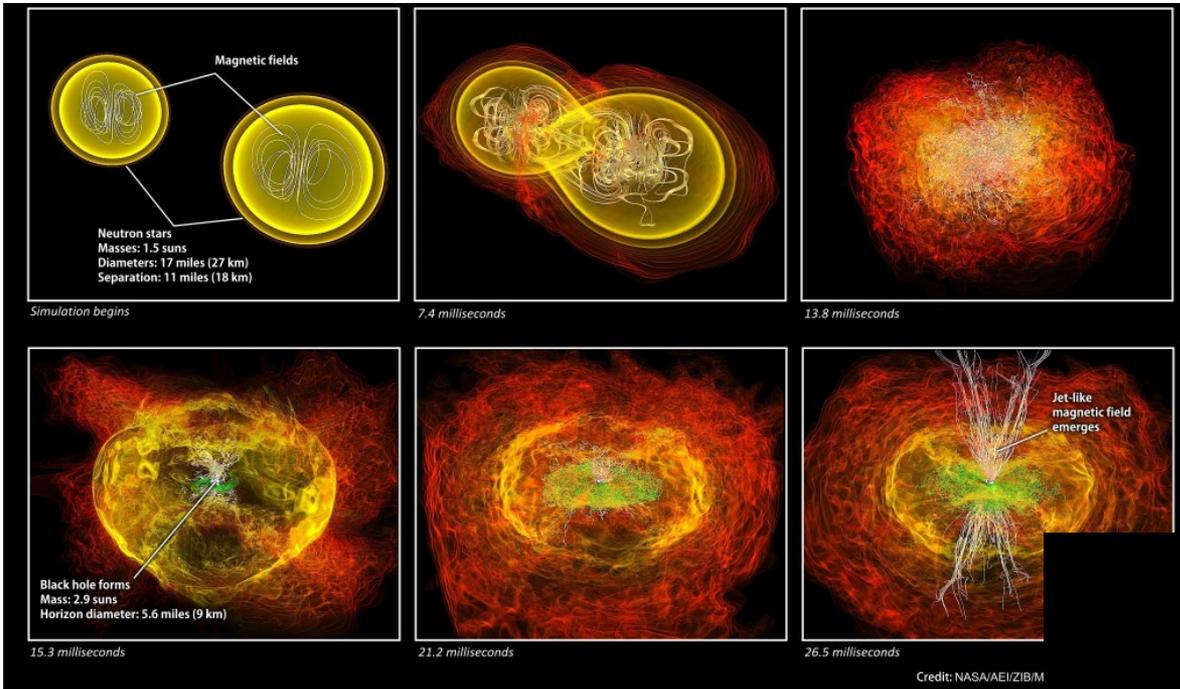
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# Simulations of Neutron Stars, Neutron Star Collisions and Heavy Ion Collisions need the same input

## PHASE DIAGRAMM OF STRONGLY INTERACING MATTER $s(T,\mu)$ , $\epsilon(T,\mu)$



Heavy ion collision:  
symmetric nuclear matter

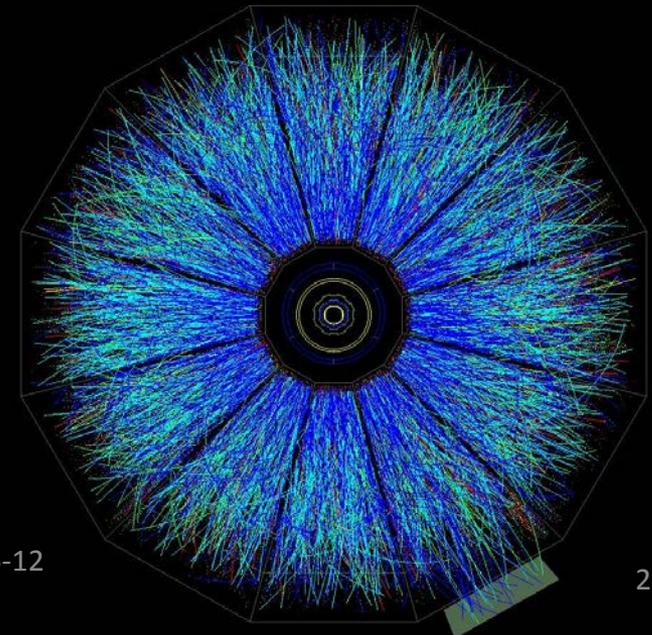
$$d = u$$

$$0 < \rho < 4\rho_0$$

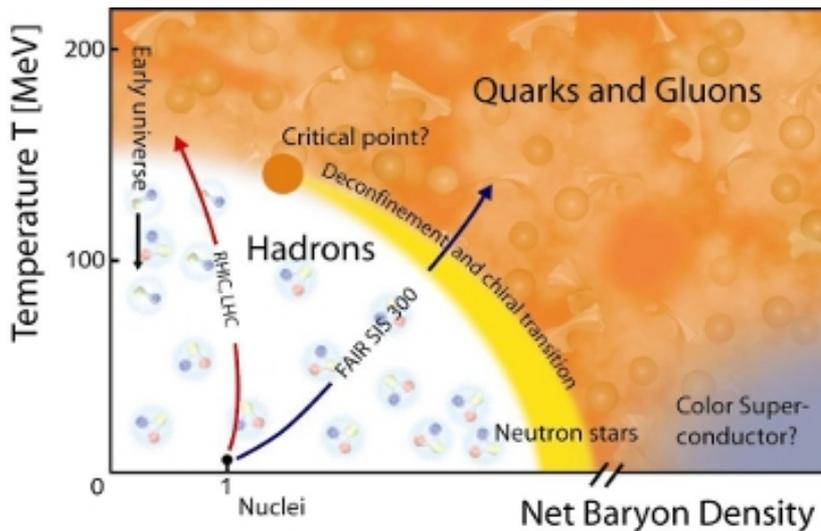
Neutron Star collisions  
asymmetric matter

$$d > u$$

$$0 < \rho < 8\rho_0$$



# What are the problems?



Why not calculate simply?

Quantumchromodynamics (QCD) can be calculated on a lattice

but only for  $\mu=0$  (same number of quarks and antiquarks)

Taylor expansion or similar expansion methods allows for calculations for  $\mu/T \ll 1$

Neutron Stars as well as heavy-ion collisions need calculations at

chemical potentials  $\mu/T \gg 1$

- either assumptions about continuation to finite  $\mu$
- or effective theories which cover the whole  $(T,\mu)$  plane

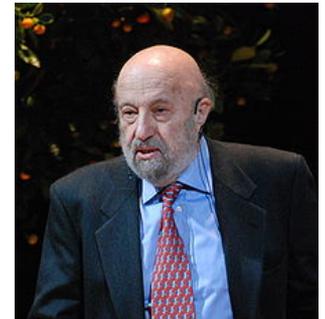
# Effective Lagrangian to study phase phase diagram and phase transitions at finite chemical potential (NICA, FAIR, neutron stars)

The **Nambu Jona-Lasinio Lagrangian** is such an effective field theory

- ❑ allows for **predictions for finite T and  $\mu$**
- ❑ needs as **input only vacuum values** + (YM Polyakov loop)
- ❑ **shares the symmetries** with the QCD Lagrangian
- ❑ can be « **derived** » from **QCD** Lagrangian



Nambu



Jona-Lasinio

quark 4-point interaction

$$\mathcal{L}_{NJL} = \bar{\Psi}_i (i\gamma_\mu \partial^\mu - M_0) \Psi_i - G_c^2 [ (\bar{\Psi}_i \Psi_i)^2 + (\bar{\Psi}_i \gamma_5 \lambda_{ij} \Psi_j)^2 ] \\ + H \det_{ij} [\bar{\Psi}_i (1 - \gamma_5) \Psi_j] - H \det_{ij} [\bar{\psi}_i (1 + \gamma_5) \psi_j] + \sum_{ij} \bar{\psi}_i \mu_{ij} \gamma_0 \psi_j$$

$\Psi_i$  quark fields (u,d,s)

5 parameters

$\Lambda$  = upper cut off of the internal momentum loops

$G_c$  = coupling constant

$M_0$  = bare mass of u,d and s quarks

H = coupling constant 't Hooft term

Fixed by vacuum values

$m_\pi, m_K,$

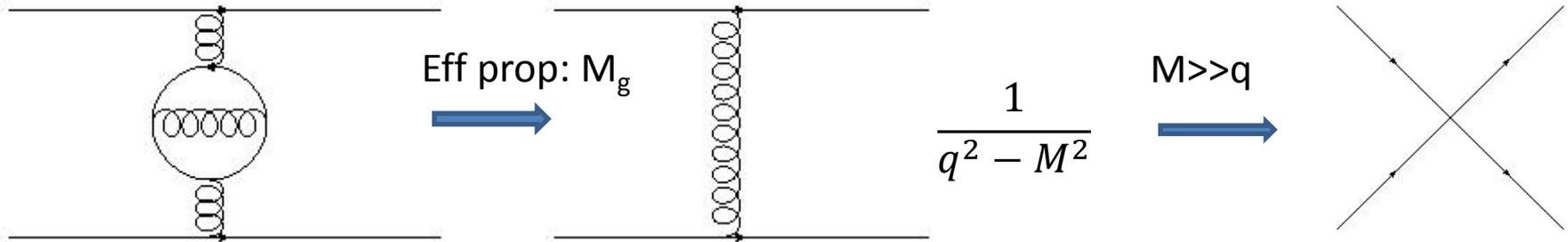
$\eta$ - $\eta'$  mass splitting

$\pi$  decay constant

chiral condensate (-241 MeV)<sup>3</sup>

# NJL Lagrangian

⇒ An *effective Lagrangian* with the *same symmetries* for the quark degrees of freedom as QCD can be obtained by discarding the gluon dynamics completely.

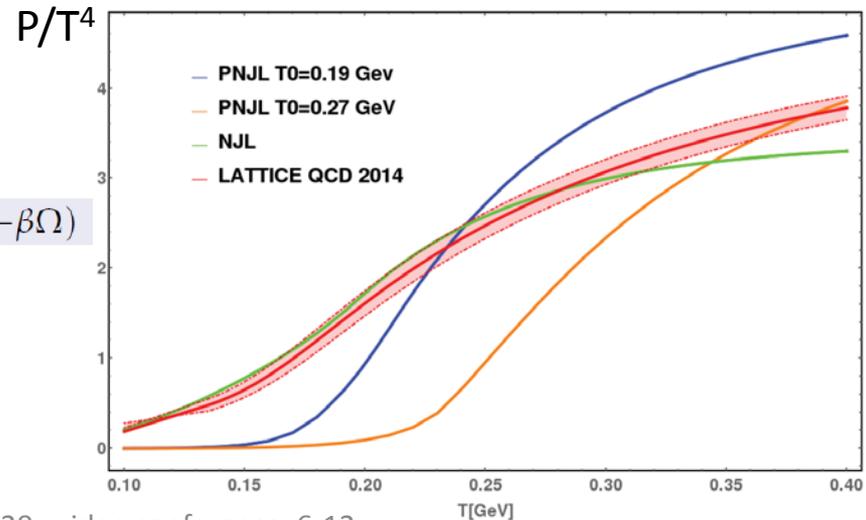


Problem:

Thermodynamic properties obtained by

partition sum  $Z = Tr[\exp -\beta(H - \mu N)] = \exp(-\beta\Omega)$

- pressure
- entropy density
- energy density



IWARA 2020, video conference, 6-12

do not coincide with lattice calculations Septembre 2020

# 1<sup>st</sup> improvement: PNJL: gluons on a static level

Eur.Phys.J. C49 (2007) 213-217

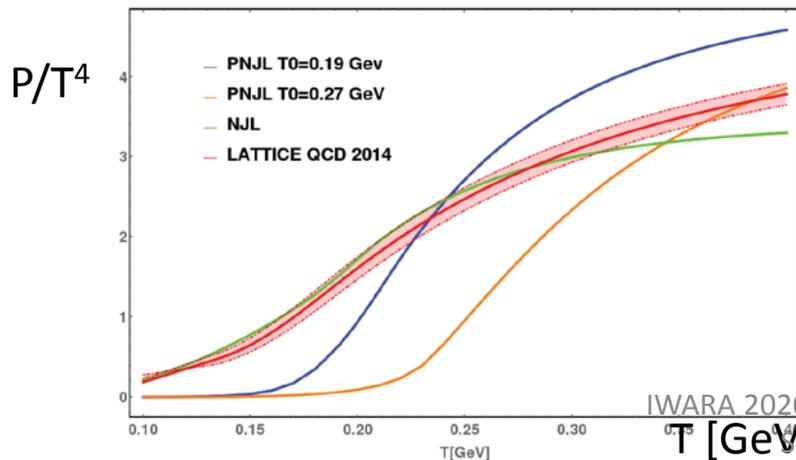
$$\begin{aligned} \mathcal{L}_{PNJL} = & \bar{\Psi}_i (i\gamma_\mu D^\mu - M_0) \Psi_i - G_c^2 [ (\bar{\Psi}_i \Psi_i)^2 + (\bar{\Psi}_i \gamma_5 \lambda_{ij} \Psi_j)^2 ] \\ & + H \det_{ij} [\bar{\Psi}_i (1 - \gamma_5) \Psi_j] - H \det_{ij} [\bar{\Psi}_i (1 + \gamma_5) \Psi_j] + \sum_{ij} \bar{\Psi}_i \mu_{ij} \gamma_0 \Psi_j \\ & - \mathcal{U}(\Phi[A], \bar{\Phi}[A]) \end{aligned}$$

$$D^\mu = \partial^\mu - iA^\mu \quad ; \quad A^\mu = \delta_{\mu 0} A^0$$

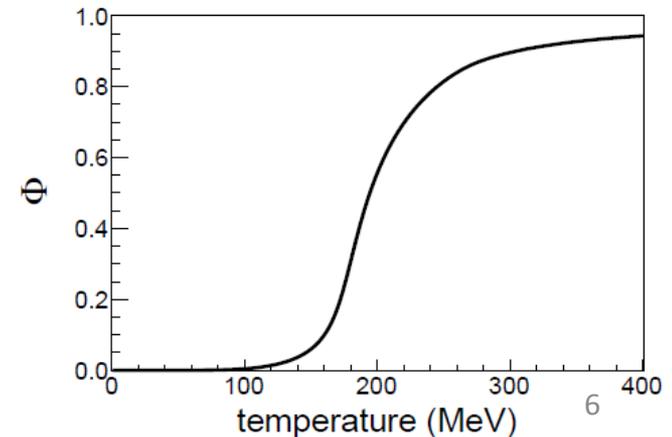
- Gluons introduced as static degree of freedom which couples to the quarks
- Static gluon potential gives the right pressure for the gluons for  $T \rightarrow \infty$
- Polyakov loop  $\Phi = \frac{1}{N_c} \text{Tr}_c \langle \mathbf{P} \exp \left( - \int_0^\beta d\tau A_0(x, \tau) \right) \rangle$  : order parameter of the

deconfinement transition in Yang Mills

- Polyakov potential  $\mathcal{U}(\Phi[A], \bar{\Phi}[A])$  fitted to the pure gauge sector



IWARA 2020, video conference, 6-12  
septembre 2020

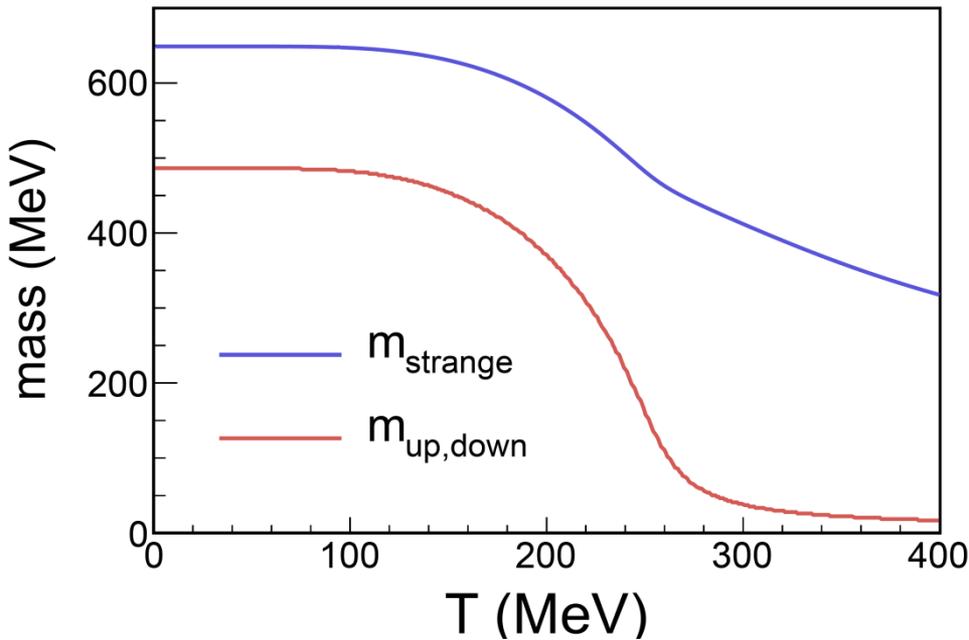


# Quark Masses in NJL and PNJL

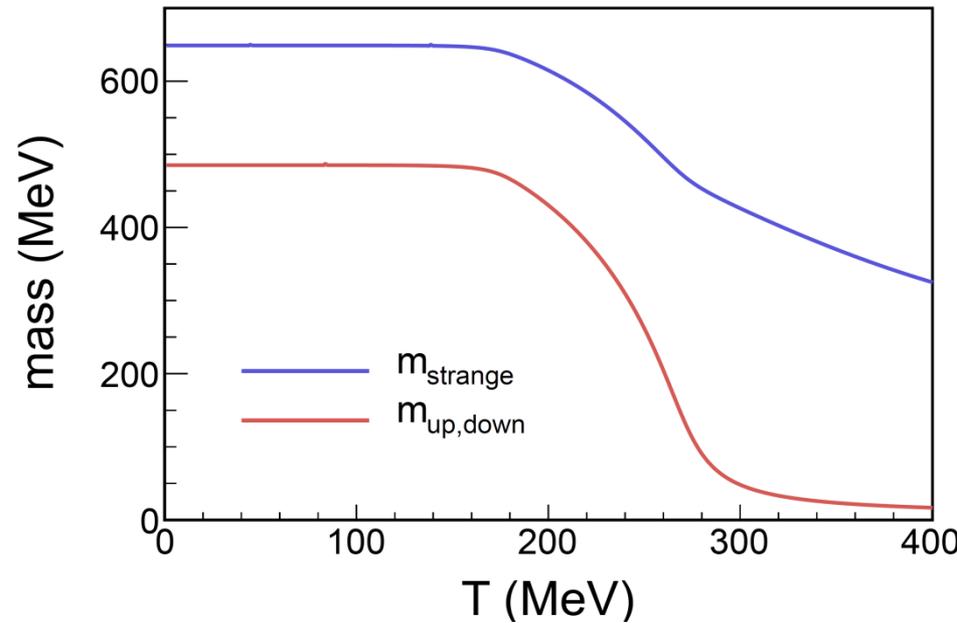
Quark masses are obtained by minimizing the partition sum

$$\mathbf{M} = \hat{\mathbf{M}}_0 - 4\mathbf{G} \langle \bar{\psi}\psi \rangle + 2\mathbf{H} \langle \bar{\psi}'\psi' \rangle \langle \bar{\psi}''\psi'' \rangle$$

NJL



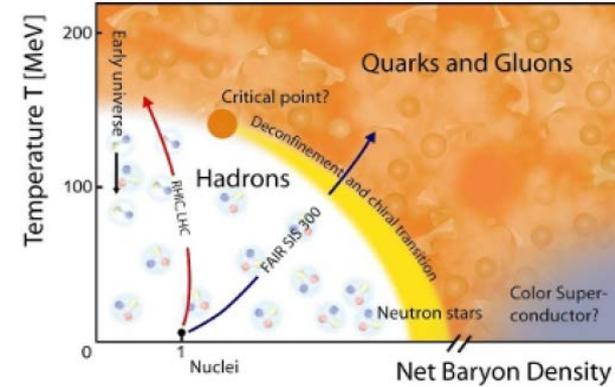
PNJL



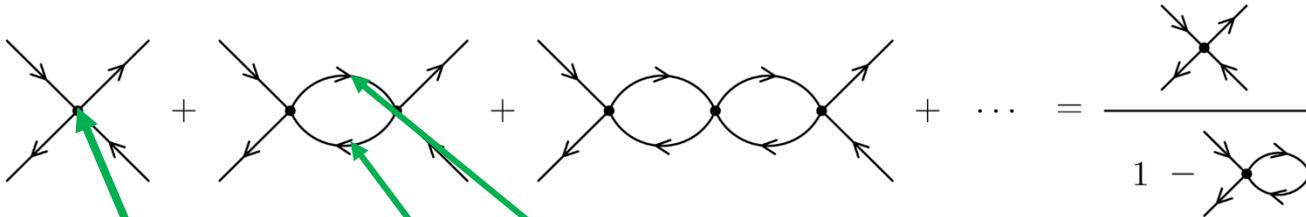
In PNJL the transition is steeper than in NJL

# How can we get mesons?

At low temperature/density strongly interacting matter consists of hadrons, but the PNJL Lagrangian contains quarks (and mean field gluons) only. How to get mesons?



4-point  $\mathcal{K}$  interaction can be kernel for a Bethe-Salpeter equation



which is formally identical to a meson propagator

$$\mathbf{T}(\mathbf{p}) = \mathcal{K} + i \int \frac{d^4k}{(2\pi)^4} \mathcal{K} S\left(\mathbf{k} + \frac{\mathbf{p}}{2}\right) S\left(\mathbf{k} - \frac{\mathbf{p}}{2}\right) \mathbf{T}(\mathbf{p})$$

$$\mathcal{K} = \Omega 2G_{\text{eff}} \bar{\Omega}$$

$$\Omega = \mathbf{1}_c \otimes \tau^a \otimes \{1, i\gamma_5, \gamma_\mu, \gamma_5\gamma_\mu\}$$

$$\mathbf{T}(\mathbf{p}) = \frac{2G_{\text{eff}}}{1 - 2G_{\text{eff}}\Pi(\mathbf{p})}, \quad \Pi(\mathbf{p}_0, \mathbf{p}) = -\frac{1}{\beta} \sum_{\mathbf{n}} \int \frac{d^3k}{(2\pi)^3} \Omega S\left(\mathbf{k} + \frac{\mathbf{p}}{2}\right) \Omega S\left(\mathbf{k} - \frac{\mathbf{p}}{2}\right)$$

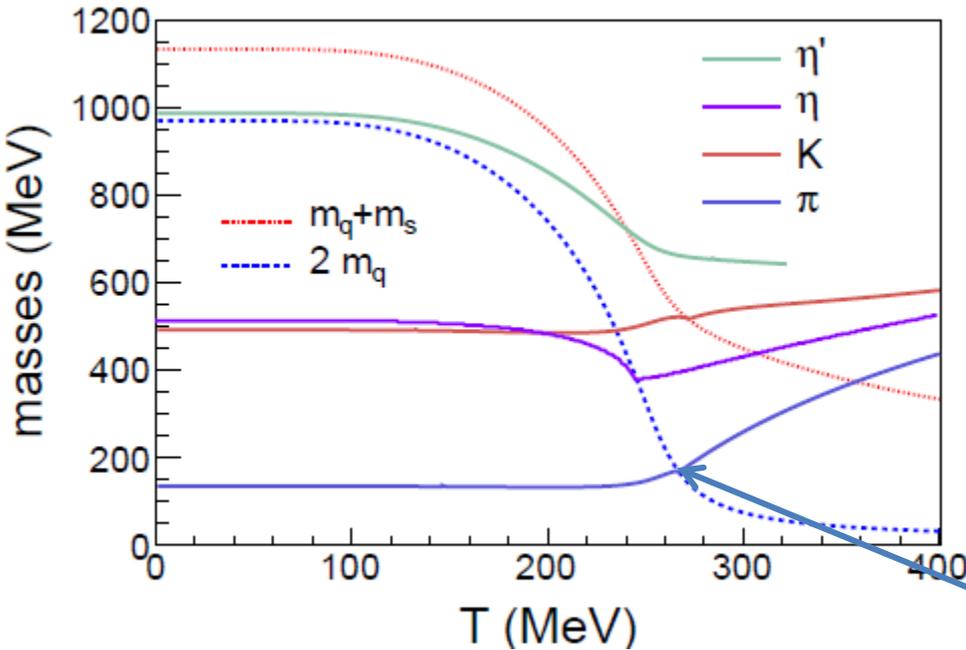
In (P)NJL one can sum up this series analytically: IWARA 2020, video conference, 6-12 September 2020



# How to get mesons?

The **meson pole mass** and the **width** one obtains by solving:

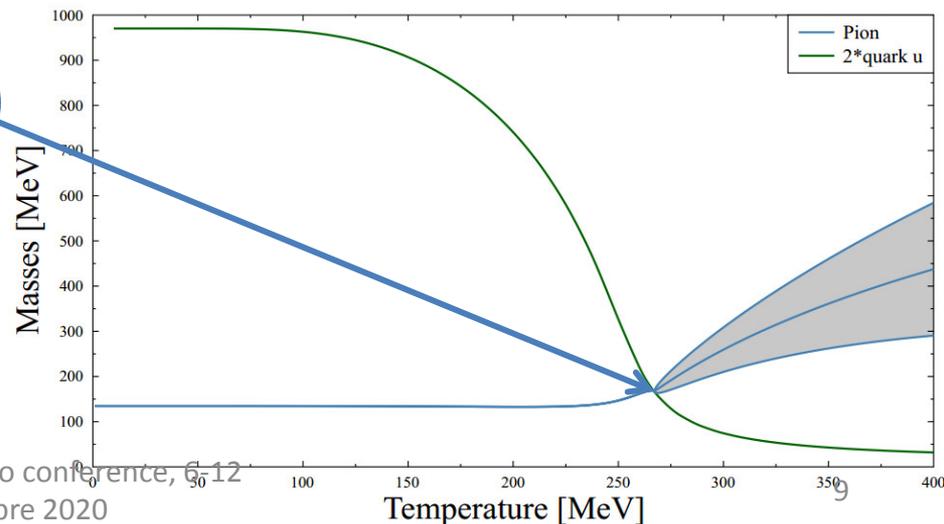
$$1 - 2G_{\text{eff}} \Pi(p_0 = M_{\text{meson}} - i\Gamma_{\text{meson}}/2, \mathbf{p} = \mathbf{0}) = 0$$



masses of pseudoscalar mesons  
and of quarks at  $\mu = 0$

At  $T=0$  physical and calculated masses  
agree quite well

When mesons become unstable they  
develop a width



# How to improve the agreement with lattice calculations

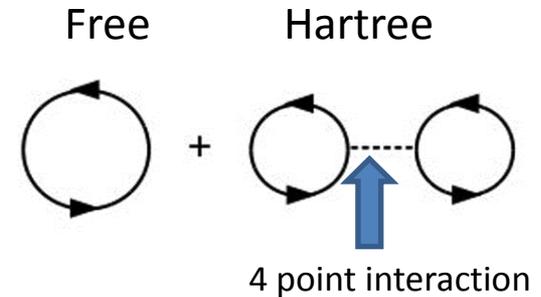
Up to now:

partition function

$$Z = \text{Tr}[\exp -\beta(H - \mu N)] = \exp(-\beta\Omega)$$

in leading order of  $N_c$ ,  $(1/N_c)^{-1}$ , the number of colors:

$$\begin{aligned} & \Omega_q^{(-1)}(T, \mu_i; \langle \bar{\psi}_i \psi_i \rangle, \Phi, \bar{\Phi}) \\ &= \ln(\text{Tr}[\exp(-\beta \int dx^3 (-\bar{\psi}(i\cancel{D} - m)\psi - \mu\bar{\psi}\psi)])]) \\ &+ 2G \sum_i \langle \bar{\psi}_k \psi_k \rangle^2 - 4K \prod_i \langle \bar{\psi}_k \psi_k \rangle + U_{PNJL} \end{aligned}$$



Two extensions:

- Going beyond leading order in  $N_c$ . This includes directly the mesonic degrees of freedom
- Modification of the gluon potential due to the presence of the quarks

# Modification of the gluon potential U due to the presence of quarks

$$\frac{U(T, \Phi, \bar{\Phi})}{T^4} = -\frac{b_2(T)}{2} \bar{\Phi}\Phi - \frac{b_3}{6} (\Phi^3 + \bar{\Phi}^3) + \frac{b_4}{4} (\bar{\Phi}\Phi)^3$$

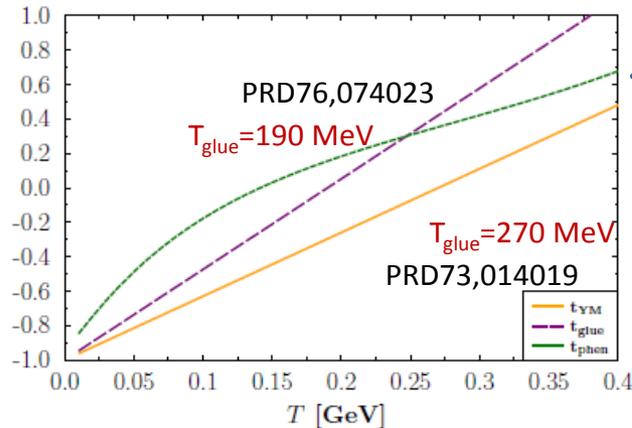
$$b_2(T) = a_0 + \left(\frac{a_1}{1+\tau}\right) + \frac{a_2}{(1+\tau)^2} + \frac{a_3}{(1+\tau)^3} \quad \tau = f \frac{T - T_{glue}}{T_{glue}} \quad T_{glue} = a + bT + cT^2 + dT^3 + e\frac{1}{T}$$

$a_0$	$a_1$	$a_2$	$a_3$	$b_3$	$b_4$	a	b	c	d	e	f
6.75	-1.95	2.625	-7.44	0.75	7.5	0.086	0.36	0.57	-1.15	-0.0005	0.57

$$t_{YM} = \frac{T - T_{YM}^{cr}}{T_{YM}^{cr}} = 0.57 \frac{T - T_{glue}^{cr}}{T_{glue}^{cr}} = 0.57 t_{glue}$$

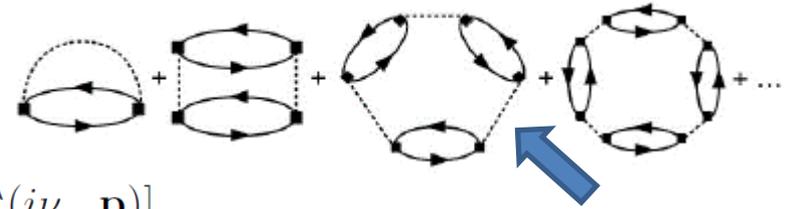
$$\tau_{phen} = 0.57 \frac{T - T_{phen}(T)}{T_{phen}(T)}$$

$$T_{phen}(T) = a + bT + cT^2 + dT^3 + e\frac{1}{T}$$



our parametrization  
right asymptotic limit

# O(N<sub>c</sub>=0) for the partition sum



$$\Omega_q^{(0)}(T, \mu_i) = \frac{1}{2} \int_0^1 \frac{d\lambda}{\lambda} \int \frac{d^3 p}{(2\pi)^3} T \sum_n \text{Tr} [S^\lambda(i\nu_n, \mathbf{p}) \Sigma^\lambda(i\nu_n, \mathbf{p})]$$

$$\Sigma^\lambda(i\nu_n, \mathbf{p}) = \sum_M T \sum_m \int \frac{d^3 q}{(2\pi)^3} \Omega S_H(i\omega_m, \mathbf{q}) \bar{\Omega} t_M^\lambda(i\nu_n - i\omega_m, \mathbf{p} - \mathbf{q})$$

$$t_M^\lambda(i\nu_n - i\omega_m, \mathbf{p} - \mathbf{q}) = \frac{2\lambda \mathcal{K}_M}{1 - 2\lambda \mathcal{K}_M \Pi(i\nu_n - i\omega_m, \mathbf{p} - \mathbf{q})}$$

4 point interaction  
PRC96,045205

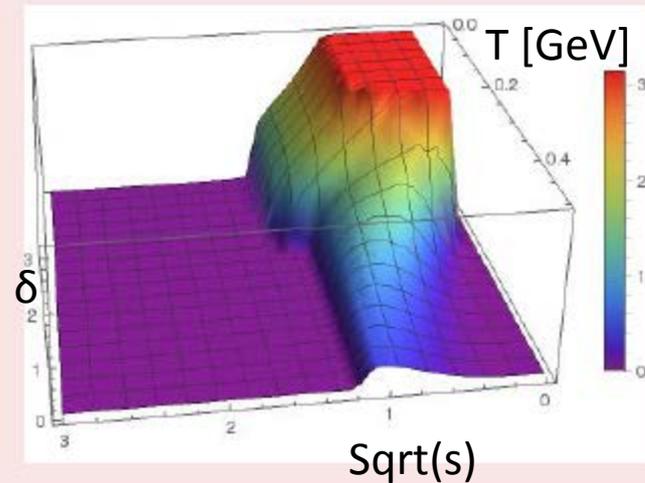
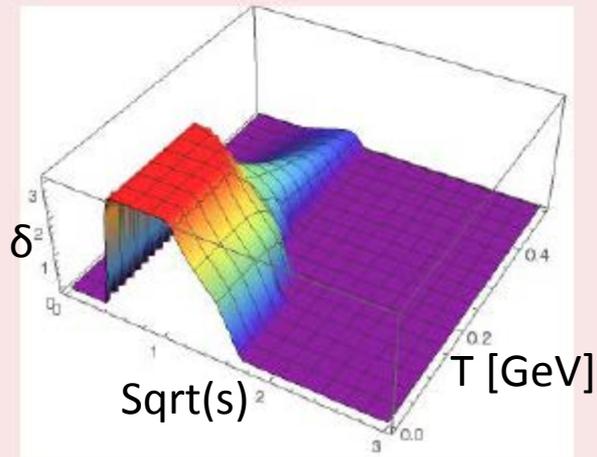
**meson loops** contribute to the grand potential because :

$$\Omega_q^{(0)}(T, \mu_i) = \sum_{M \in J^\pi = \{0^+, 0^-\}} \Omega_M^{(0)}(T, \mu_M(\mu_i))$$

$$\Omega_M^{(0)}(T, \mu_M) = -\frac{g_M}{2\pi} \int \frac{d^3 p}{(2\pi)^3} \int_0^{+\infty} d\omega \left[ \frac{1}{e^{\beta(\omega - \mu_M)} - 1} + \frac{1}{e^{\beta(\omega + \mu_M)} - 1} \right] \delta(\omega, \mathbf{p}; T, \mu_M)$$

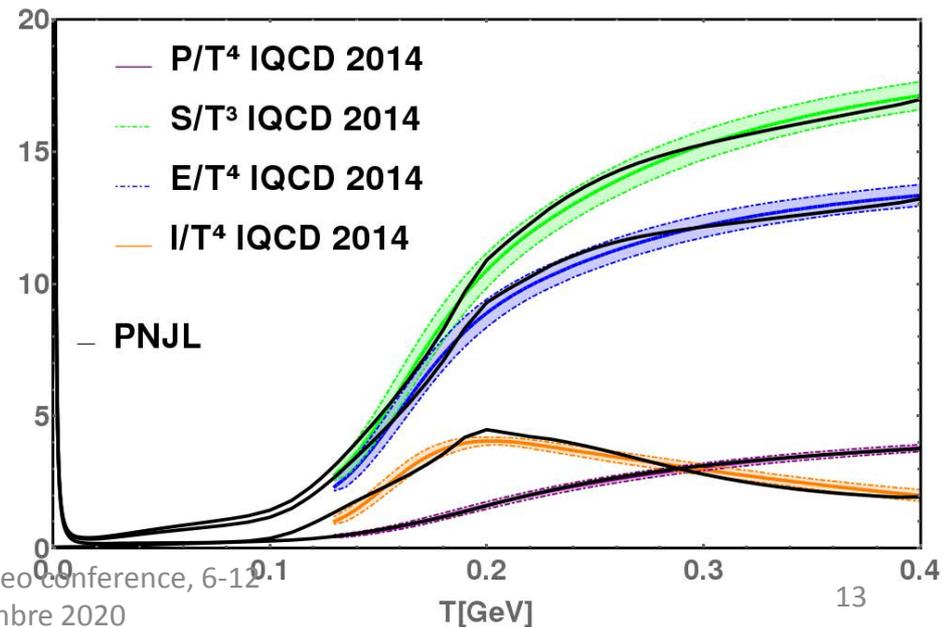
with the phase shifts  $\delta$

$$\delta_M(\omega, \mathbf{p}; T, \mu_M) = \frac{1}{2i} \log \frac{1 - 2\mathcal{K}_M \Pi_M(\omega - \mu_M - i\epsilon, \mathbf{p})}{1 - 2\mathcal{K}_M \Pi_M(\omega - \mu_M + i\epsilon, \mathbf{p})}$$



These two modifications allow to reproduce  
 pressure  $P$ ,  
 entropy density  $s$ ,  
 energy density  $E$   
 interaction measure  $I$   
 of the lattice calculations at  $\mu=0$ .

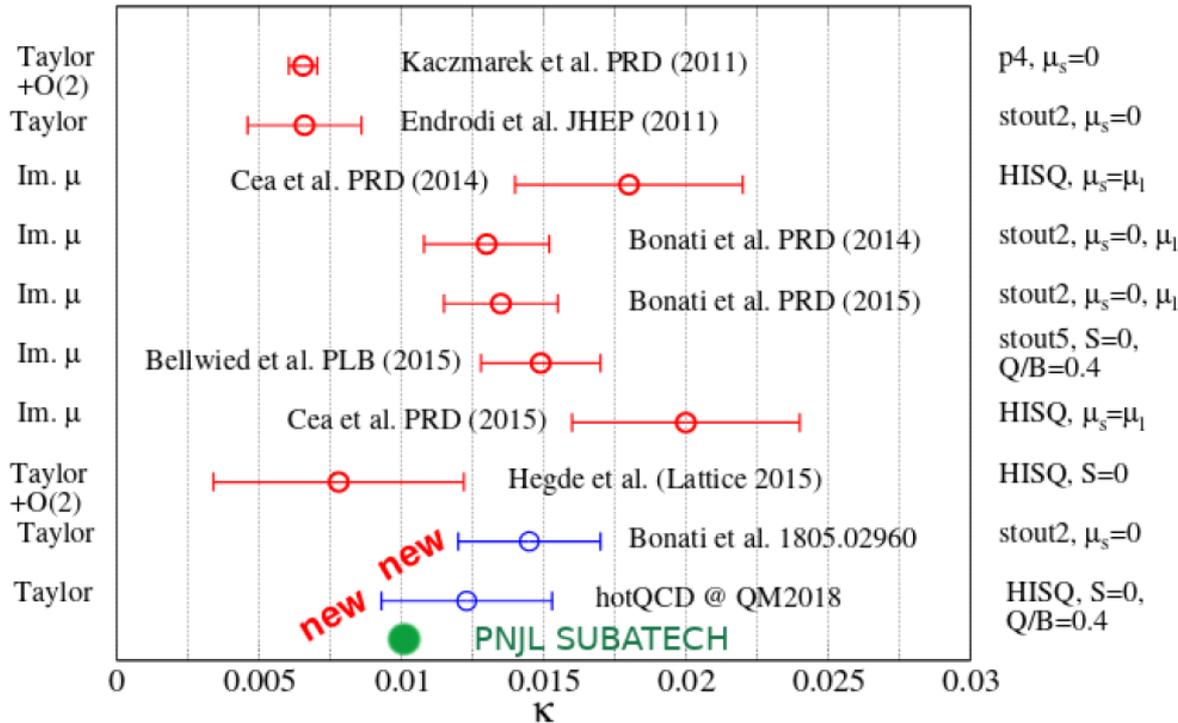
**Good starting point to explore the phase  
 diagram in the whole  $T, \mu$  plane**



# Extension to small but finite chemical potential

Like in lattice calculation: Taylor expansion around  $\mu = 0$

$$\frac{T_c(\mu_B)}{T_c(0)} = 1 - \kappa \left( \frac{\mu_B}{T_c(\mu_B)} \right)^2 + \dots \quad \kappa = \left. \frac{\partial^2 \frac{T_c(\mu_B)}{T_c(0)}}{\partial \mu_B^2} \right|_{\mu_B=0}$$



We find

$$T_c = 138 \text{ MeV}$$

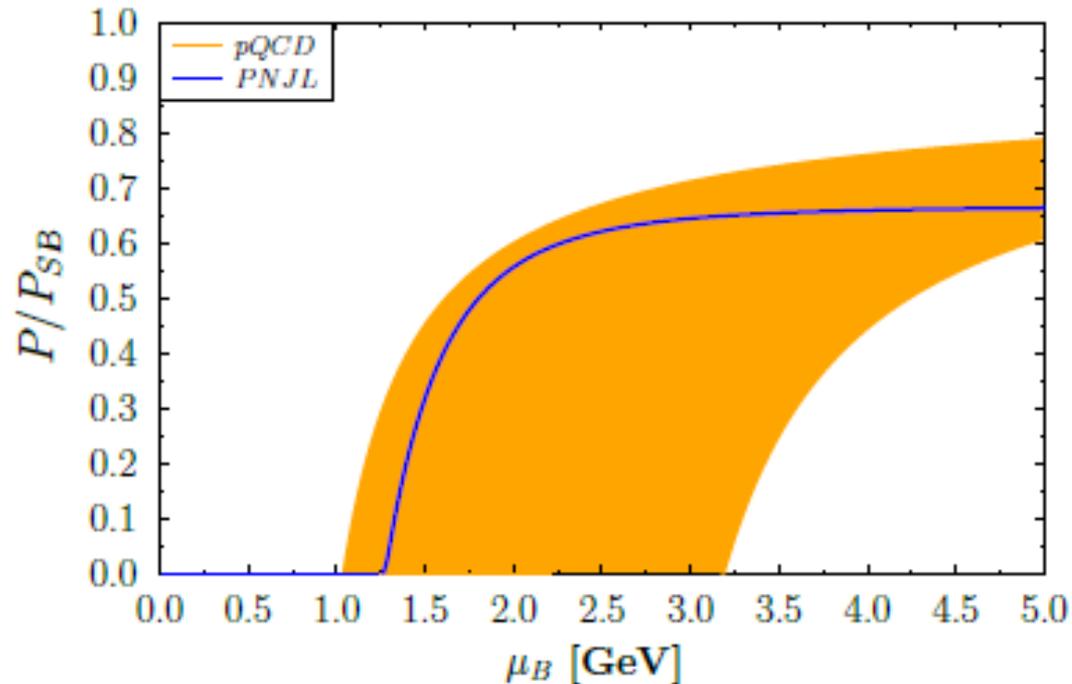
$$\kappa = 0.01$$

Very similar to lattice calculations

Also for small but finite  $\mu$  we reproduce the lattice results: confidence for larger  $\mu$

# Limit of (very) large chemical potential

For (very) large  $\mu$  ( $T=0$ ) contact with perturbative QCD calculations (PRL 117 042501)  
PNJL in the error bars of pQCD



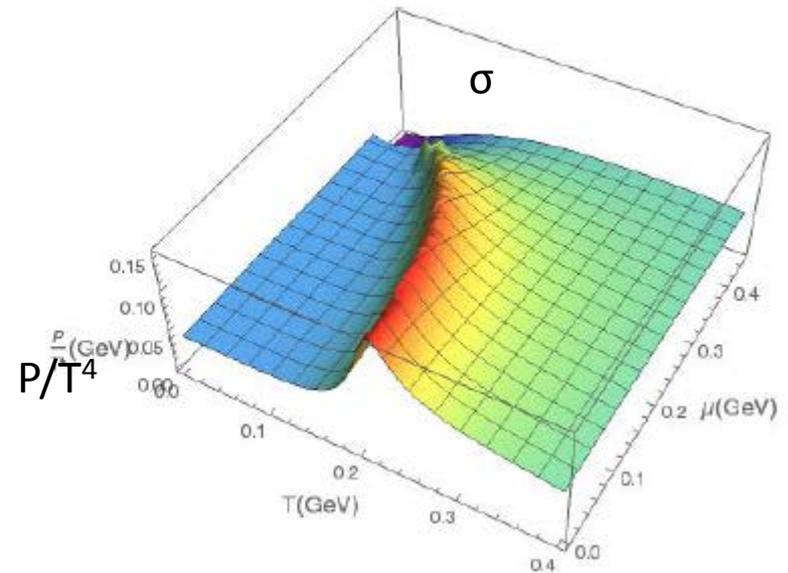
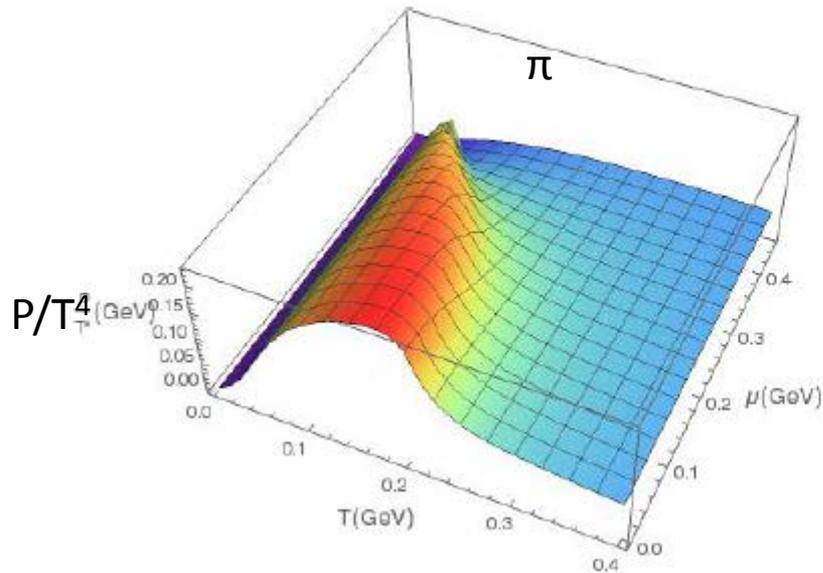
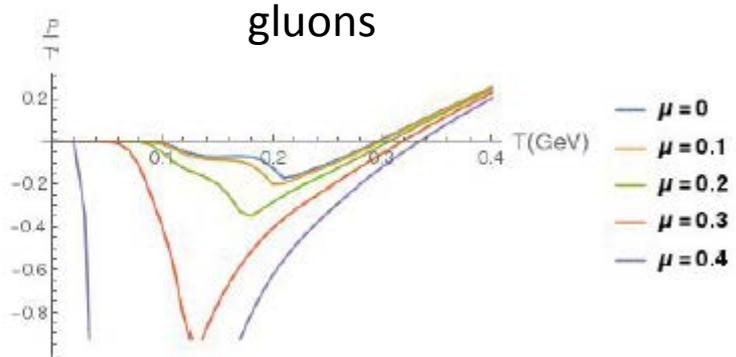
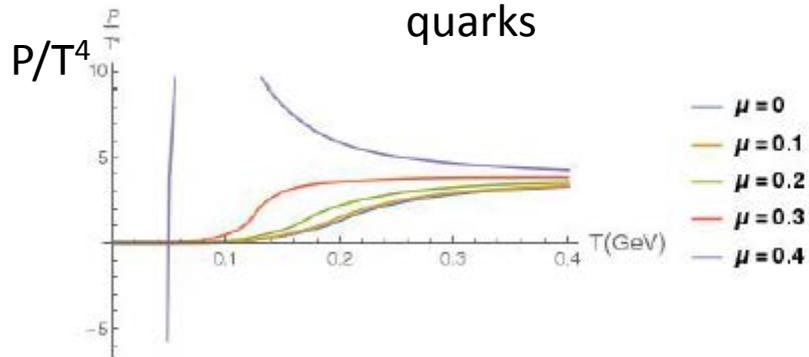
Having verified that at small  $\mu$  and at very large  $\mu$  the thermodynamical quantities of PNJL agree with QCD based approaches

**we can explore the finite  $\mu$  region**

# The PNJL equation of state for finite $\mu$

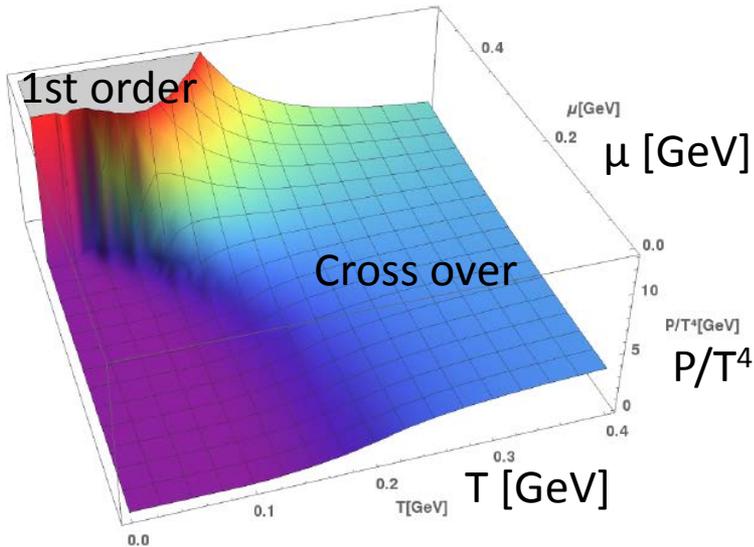
Calculation of thermal quantities at finite  $\mu$  is straight forward in PNJL

Contribution to the pressure of the different particles



# Phase diagram at finite $\mu$

Total  $P(T, \mu)$



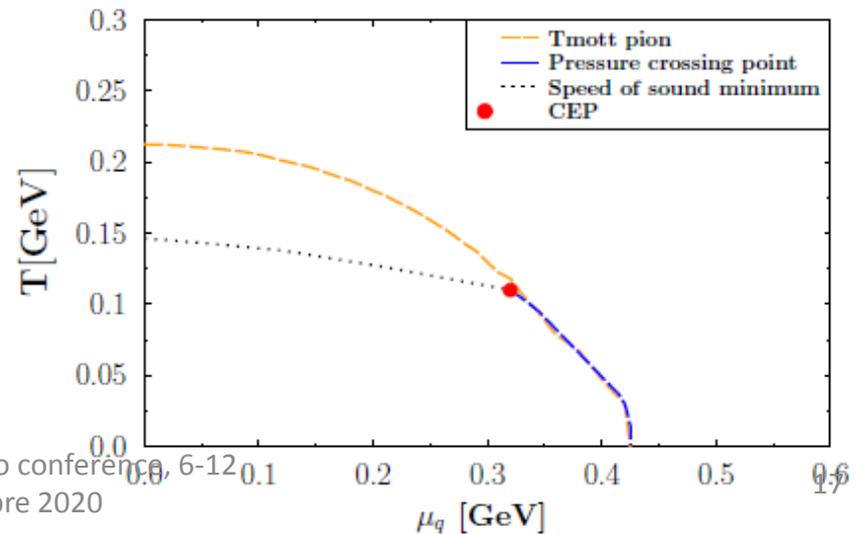
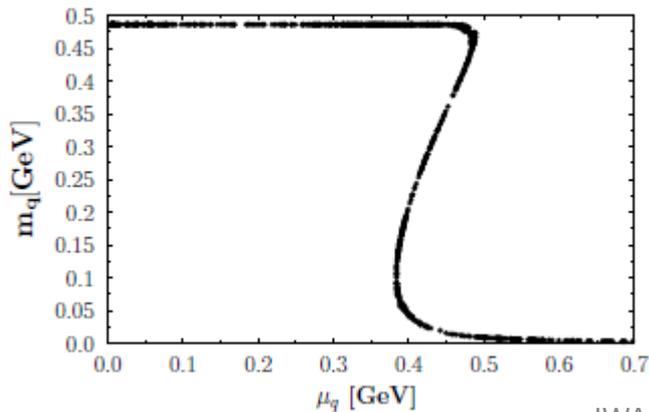
Cross over at  $\mu = 0$

1st order phase transition for  $\mu \gg 0$   
with a CEP

$$T^{\text{CEP}} = 110 \text{ MeV}$$

$$\mu_q^{\text{CEP}} = 320 \text{ MeV}$$

For small temperatures the equation of state shows a first order phase transition with the quark mass (chiral condensate) as order parameter



# Masses close to the tricritical point

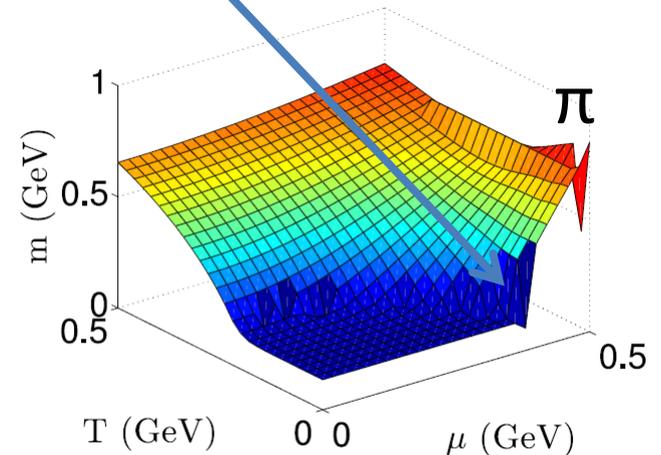
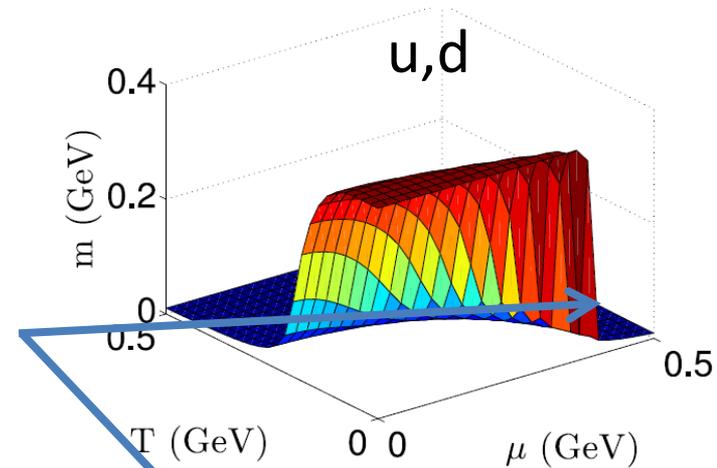
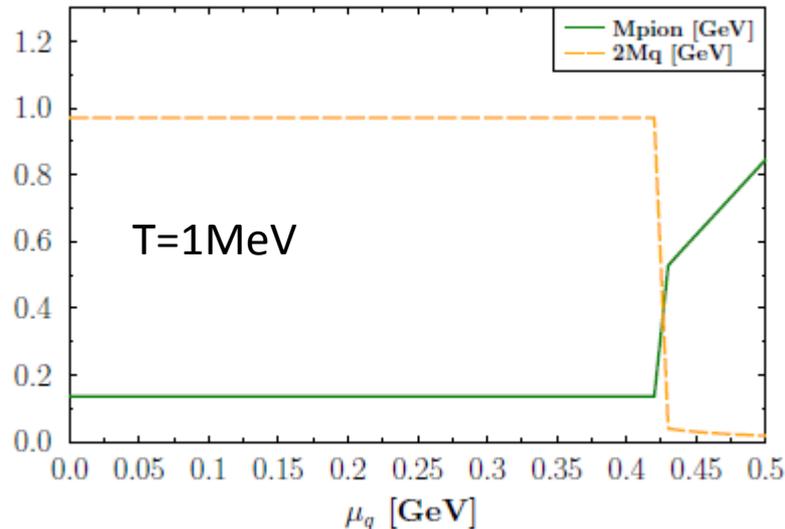
PNJL Lagrangian:

transition between quarks and hadrons

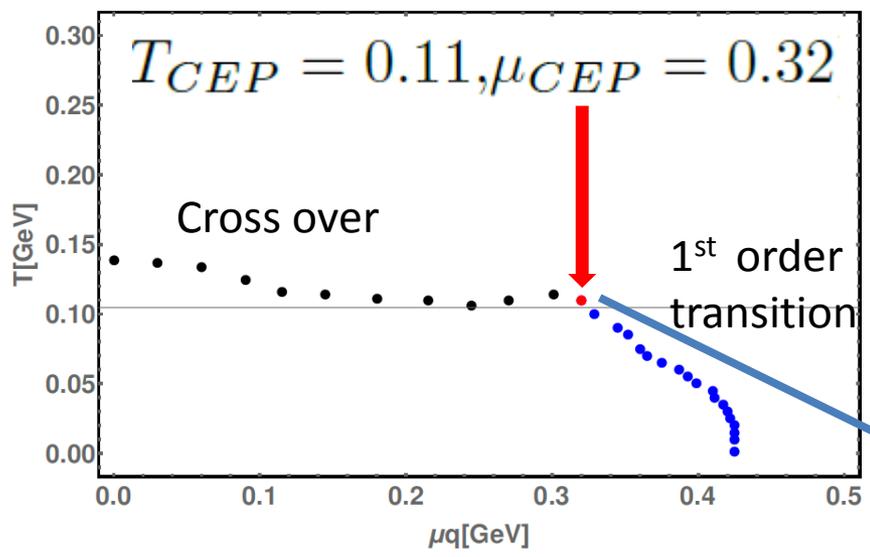
Cross over at  $\mu = 0$

1st order transition  $\mu \gg 0$

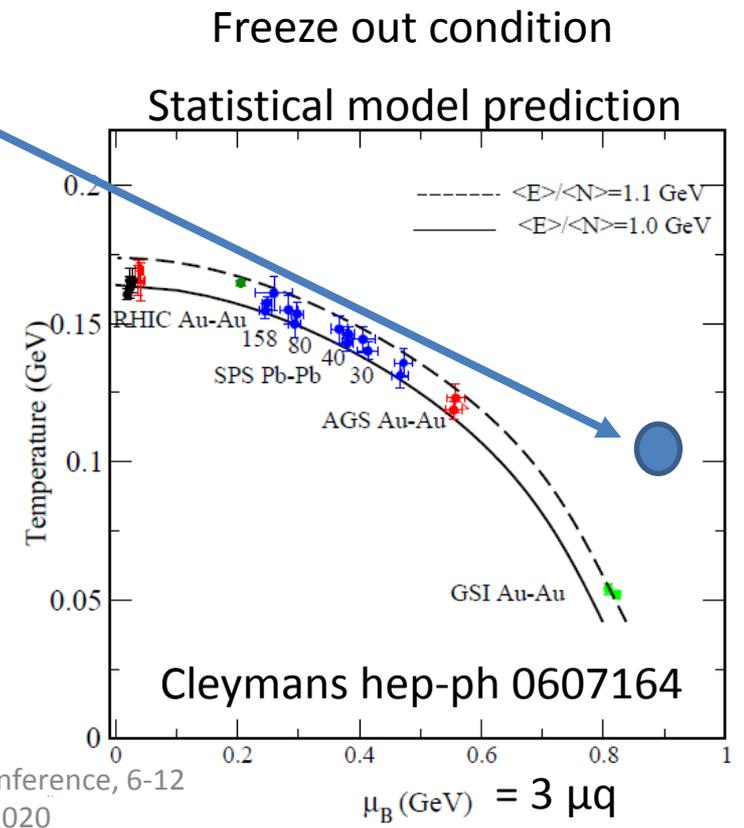
sudden change of  $q$  and meson mass



# Borderline between quark gluon plasma and hadrons



Phase transition point may be reachable in experiments at NICA and FAIR

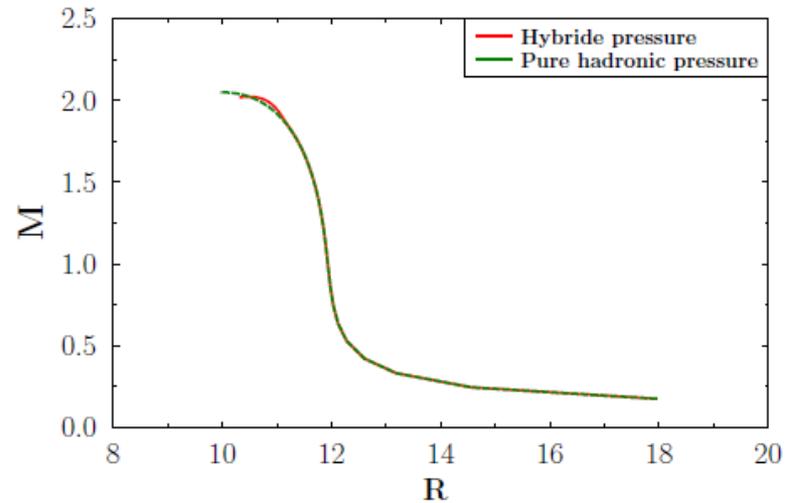
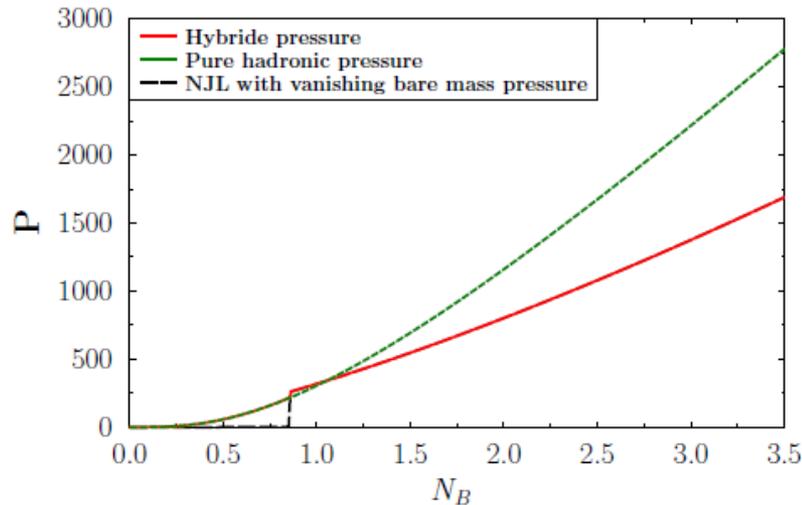


# Neutron star matter

In order to have a realistic EOS in the hadron sector we have to add hadrons

Presently we use a Douchin Haensel type hadronic EOS

to improve on the hadronic sector *Astron. Astrophys.*, 380:151, 2001



In future : combination with more sophisticated hadronic model (Schramm)

# Transport coefficients in a QGP plasma at finite temperatures and densities

Key quantity: relaxation time  $\frac{1}{\tau_a(T, \mu_q)} = \sum_{b=q, \bar{q}} n_b(T, \mu) \bar{W}_{ab}$

transition rate  $\bar{W}_{ab} = \frac{1}{n_a n_b} \int \frac{d^3 p_a}{(2\pi)^3} \int \frac{d^3 p_b}{(2\pi)^3} f_a^{(0)}(p_a) f_b^{(0)}(p_b) v_{\text{rel}} \sigma_{ab \rightarrow cd}(s, T, \mu_q)$

$f_a^{(0)}$  are the Polyakov loop modified thermal distributions

cross sections in Born approximation

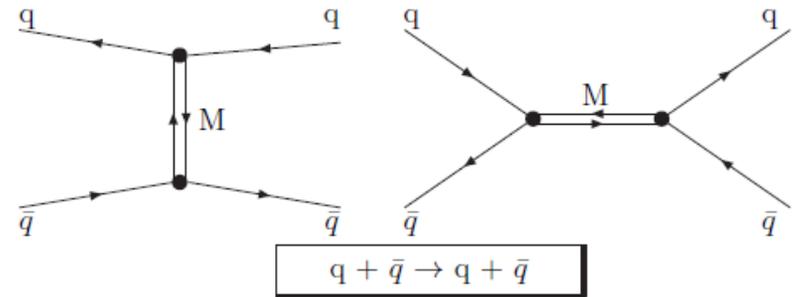
4-point interaction -> meson exchange

elastic scattering in s,t,u channel

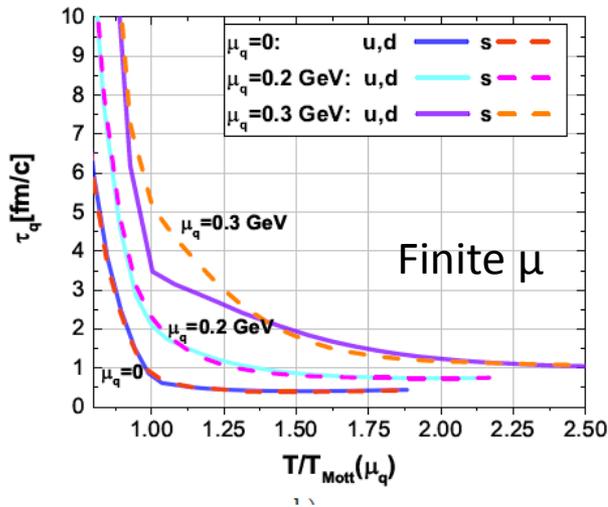
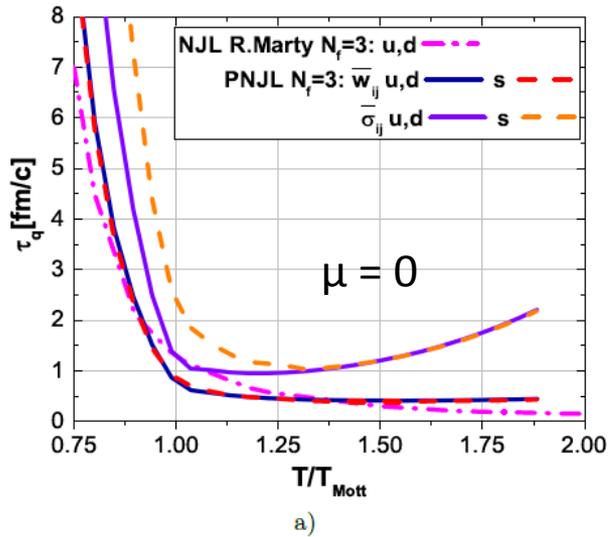
PNJL ingredients:

- exchanged mesons
- masses of incoming quarks
- Polyakov loop modified thermal distributions

example



## relaxation time: u,d,s, quarks

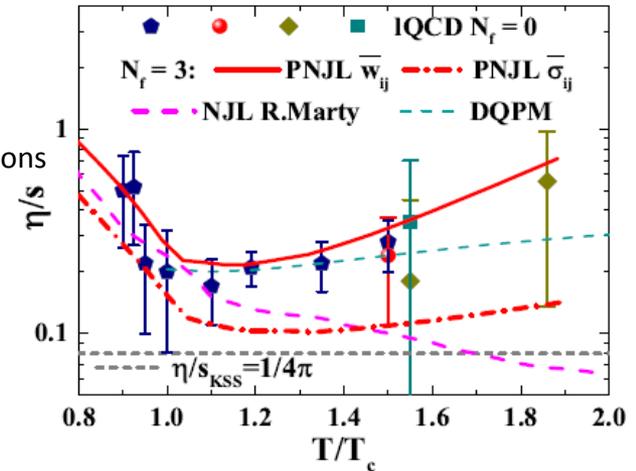


## Shear viscosity:

$$\eta^{\text{RTA}}(T, \mu_B) = \frac{1}{15T} \sum_i \int \frac{d^3p}{(2\pi)^3} \frac{p^4}{E_i^2} \tau_i(T, \mu_B) d_i f_i^\phi$$

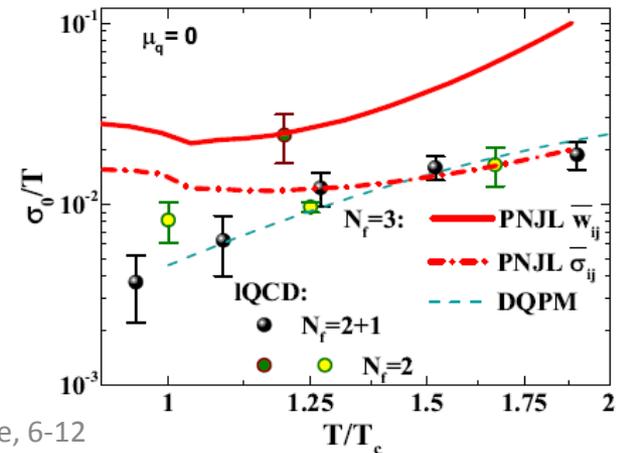
$$d_q = d_{\bar{q}} = 2N_c = 6$$

$f_i^\phi$  Polyakov loop modified thermal distribution functions



## Electric conductivity:

$$\sigma_0^{\text{RTA}}(T, \mu_B) = \frac{e^2}{3T} \sum_{i=q,\bar{q}} q_i^2 \int \frac{d^3p}{(2\pi)^3} \frac{p^2}{E_i^2} \tau_i(T, \mu_B) d_i f_i^\phi$$



# Summary

(P)NJL Lagrangian: same symmetries as QCD and allows calculations at finite  $\mu$ .  
-> study of the equation of state in the  $(T, \mu)$  plane possible

Bethe Salpeter equation in  $q\bar{q}$  channel  $\rightarrow$  mesons as pole masses

Going to next to leading order in  $N_c$  and introducing an effective quark gluon interaction (guided by more fundamental approaches) we can reproduce

lattice equation of state at  $\mu=0$ ,

lattice expansion coeff for finite  $\mu$

pQCD calculations at very large  $\mu$

This makes extension to finite  $T$  and  $\mu$  meaningful (without any new parameter)

We obtain the equation of state and the phase diagram in the  $(T, \mu)$  plane necessary for neutron star, neutron star collisions and heavy ion physics

We find a first order phase transition for finite  $\mu$ .  
and can explore now the consequences by employing  
transport approaches for heavy ion and neutron star collisions

PNJL allows as well to calculate transport coefficients which are compatible with lattice results.  
Next step: to put all this in a transport approach (heavy ion and neutron star collisions)

backup

# Baryons

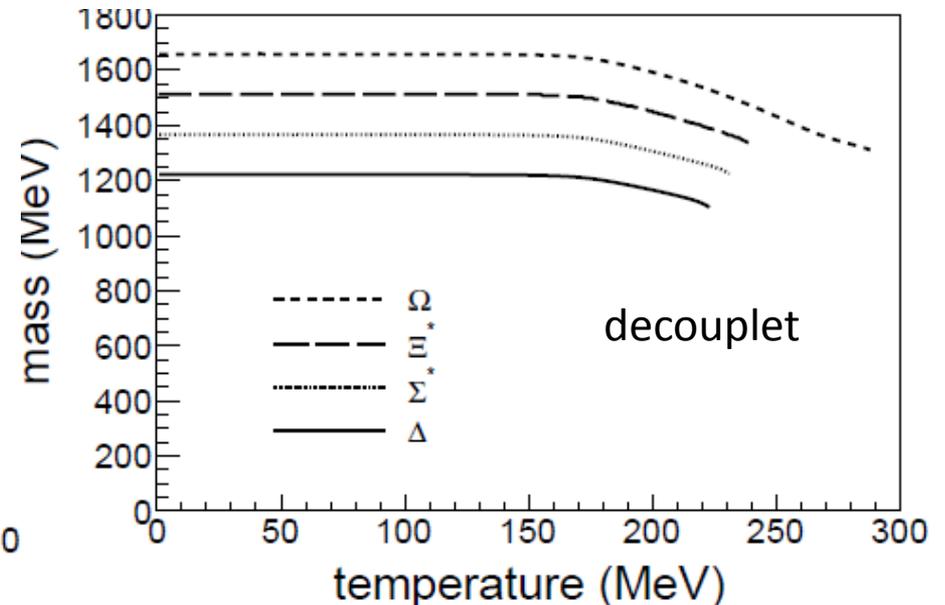
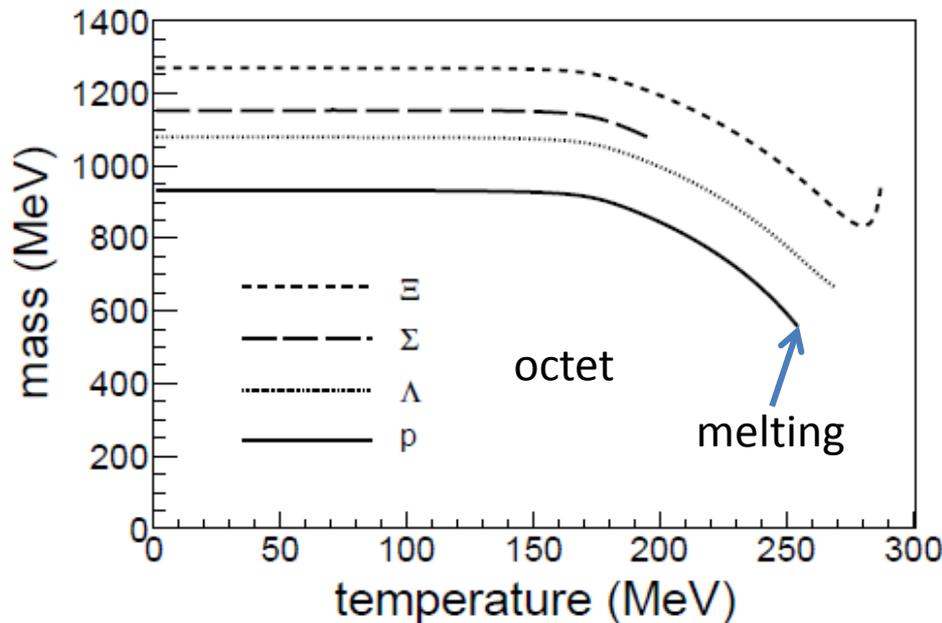
Phys.Rev. C91 (2015) 065206

Omitting Dirac and flavor structure :

$$\left[ 1 - \frac{2}{m_{\text{quark}}} \frac{1}{\beta} \sum_n \int \frac{d^3q}{(2\pi)^3} S_q(i\omega_n, \mathbf{q}) t_D(i\nu_1 - i\omega_n, -\mathbf{q}) \right] \Bigg|_{i\nu_1 \rightarrow P_0 + i\epsilon = M_{\text{Baryon}}} = 0$$

where we approximated the quark propagator for the exchanged quark by:

$$S_q(\mathbf{q}) = \frac{1}{\not{q} - m_{\text{quark}}} \rightarrow -\frac{\mathbf{1}_{\text{Dirac}}}{m_{\text{quark}}} \quad \text{5\% error (Buck et al. (92))}$$

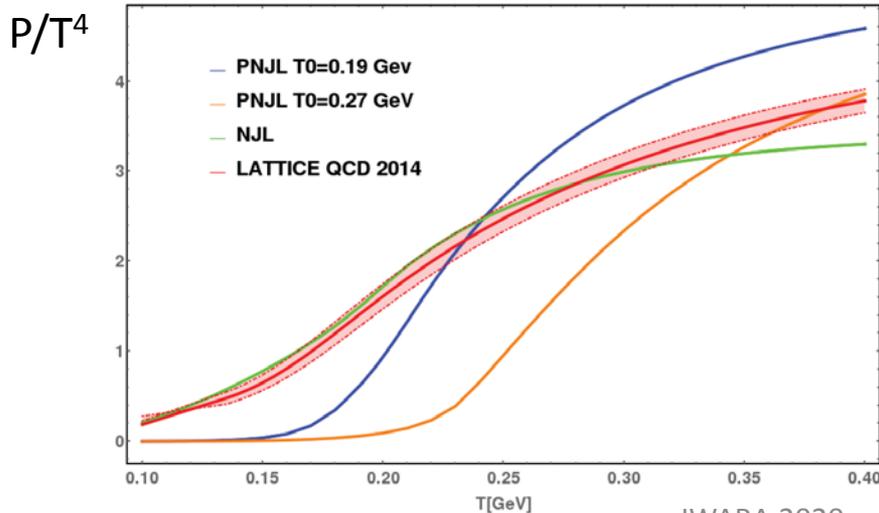
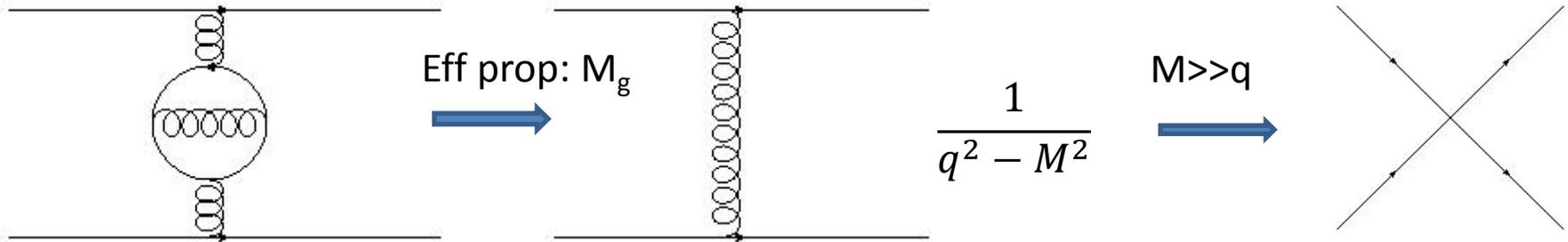


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The more strange quarks the higher the melting temperature

# NJL Lagrangian

⇒ An *effective Lagrangian* with the *same symmetries* for the quark degrees of freedom as QCD can be obtained by discarding the gluon dynamics completely.



**Renewed interest** because

Going beyond leading order in  $N_c$  + including a gluon mean field potential brings PNJL energy density and entropy density closer to lattice results

Phys.Rev. C96, 045205

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Phys.Rev. C96, 045205

# How can we get mesons?

Quarks are the degrees of freedom of the Lagrangian

To study the phase transition we need mesons

Use a Trick : **Fierz transformation** of the original Lagrangian

Fierz Transformation allows for a reordering of the field operators in 4 point contact interactions. It is simultaneously applied in Dirac, color and flavor space

Example in Dirac space:

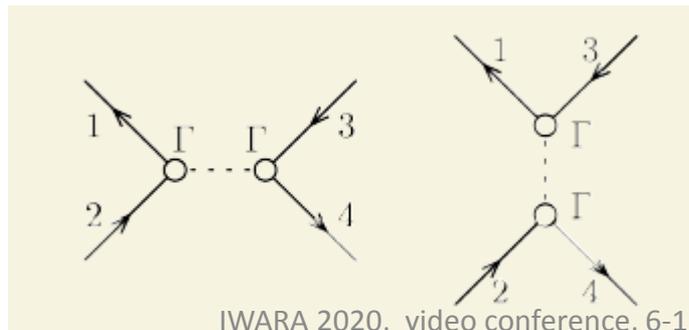
$$(\bar{\chi}\gamma^\mu\psi)(\bar{\psi}\gamma_\mu\chi) = (\bar{\chi}\chi)(\bar{\psi}\psi) - \frac{1}{2}(\bar{\chi}\gamma^\mu\chi)(\bar{\psi}\gamma_\mu\psi) - \frac{1}{2}(\bar{\chi}\gamma^\mu\gamma_5\chi)(\bar{\psi}\gamma_\mu\gamma_5\psi) - (\bar{\chi}\gamma_5\chi)(\bar{\psi}\gamma_5\psi)$$

Scalar

vector

pseudovector

pseudoscalar



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