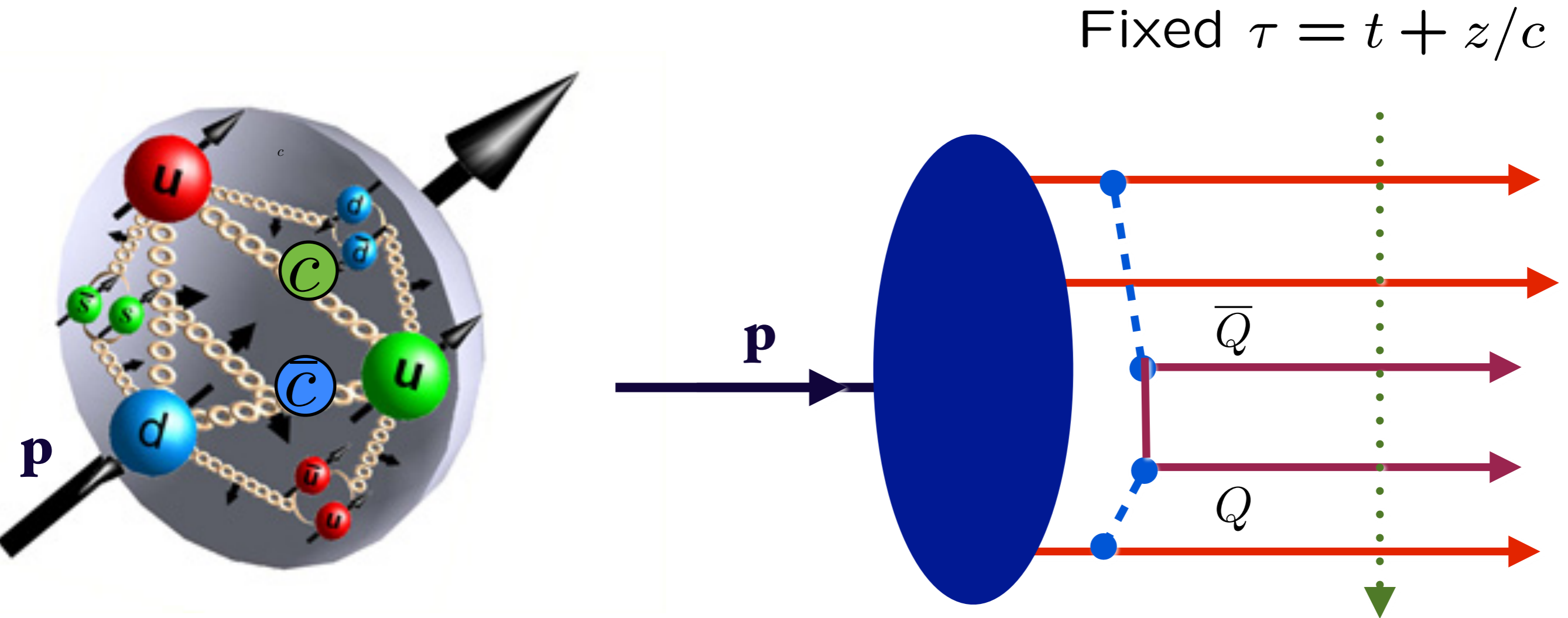


# QCD, Sum Rules, Heavy Flavors, and Higgs Production in the Very Forward Region



*Workshop on Forward Physics and QCD at the LHC, the Future Electron Collider, and Cosmic Ray Physics*

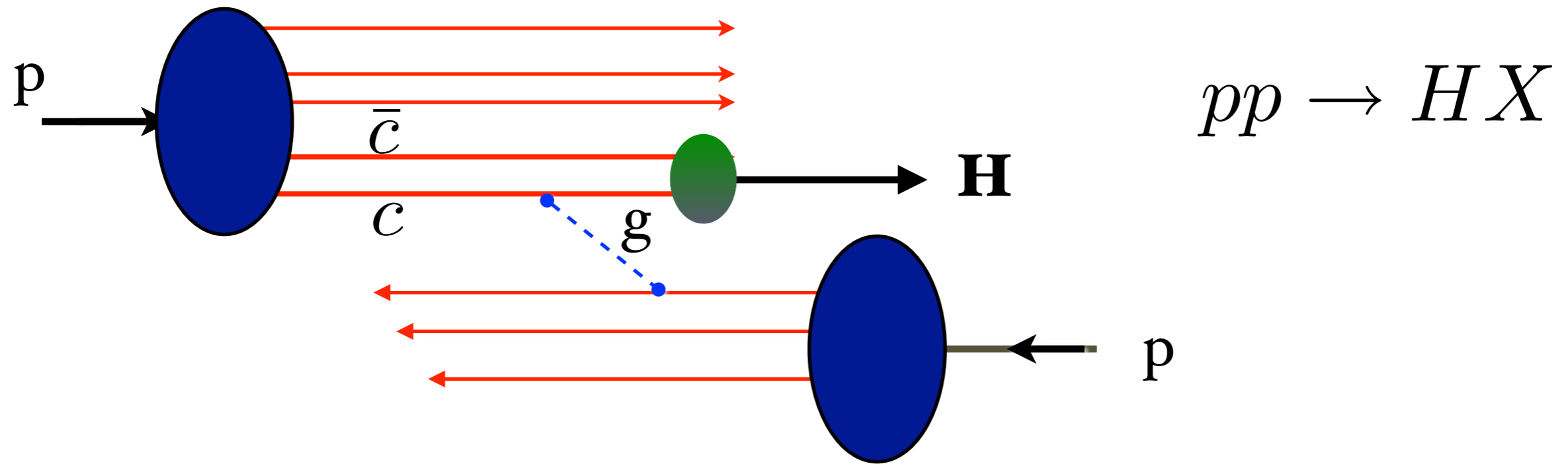
*Hotel Guanajuato,  
Ciudad de Guanajuato,  
Mexico*

November 21, 2019

*Stan Brodsky*



*Intrinsic Charm Mechanism for Inclusive High- $x_F$  Higgs Production*



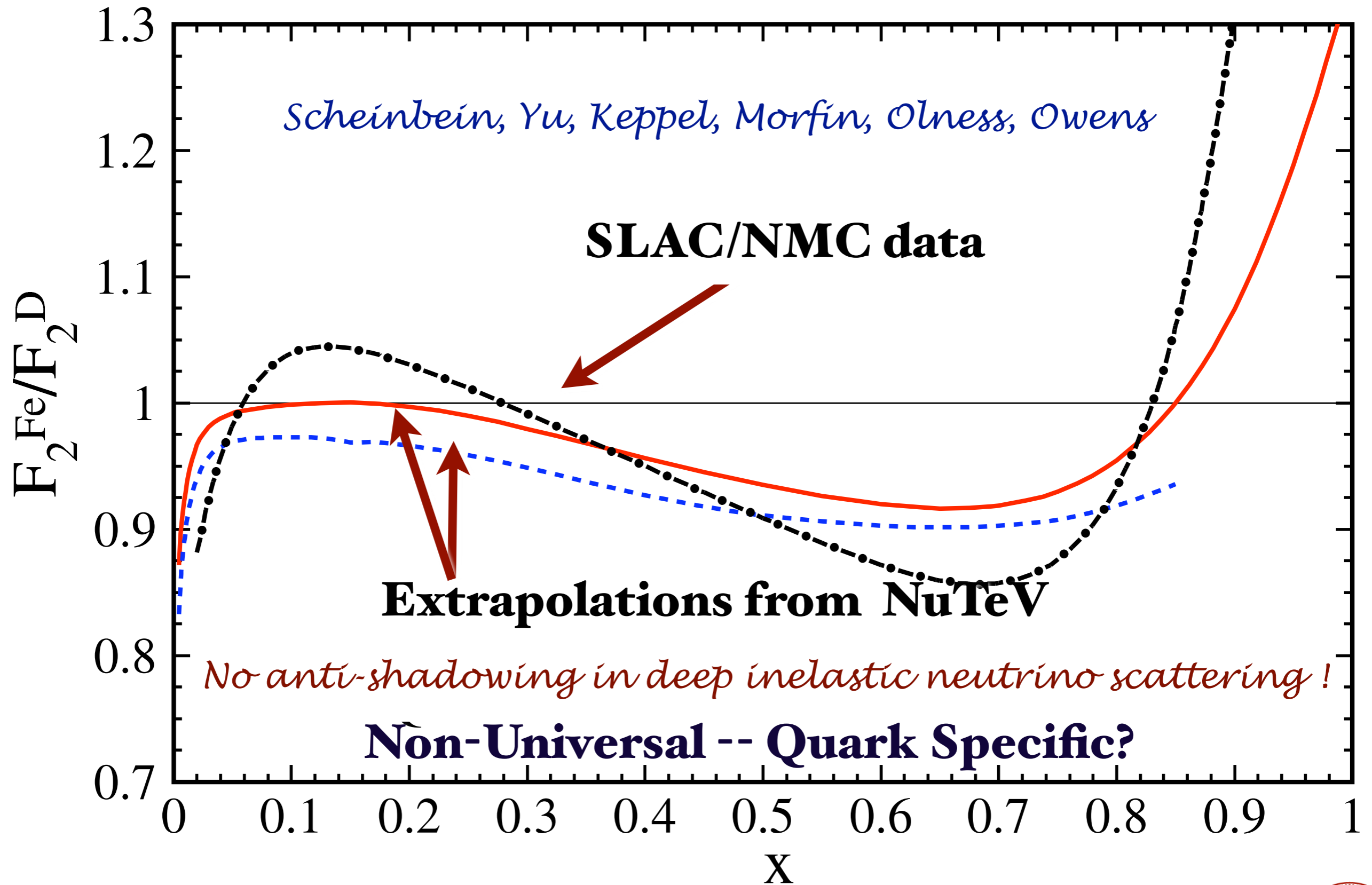
**Also: intrinsic strangeness, bottom, top**

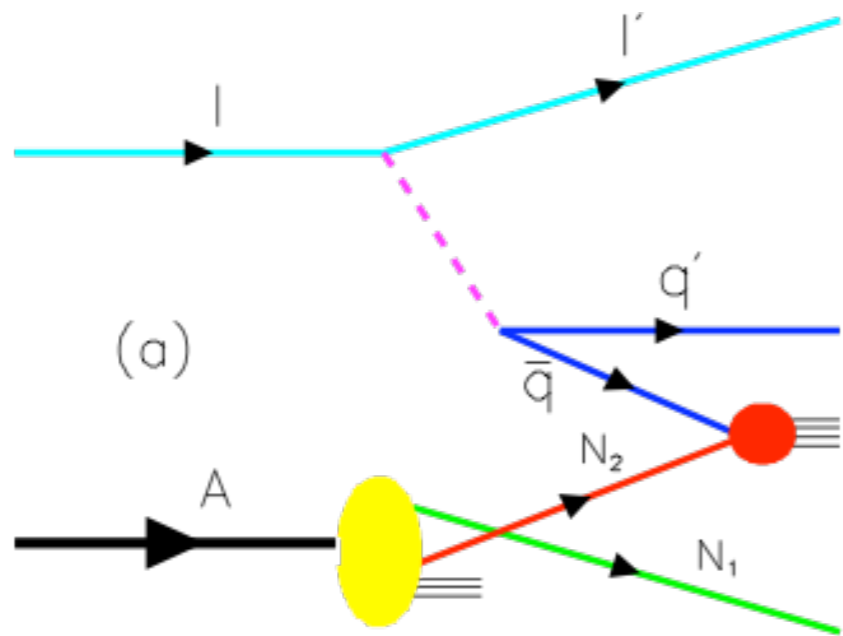
**Higgs can have > 80% of Proton Momentum!**

*New production mechanism for Higgs*



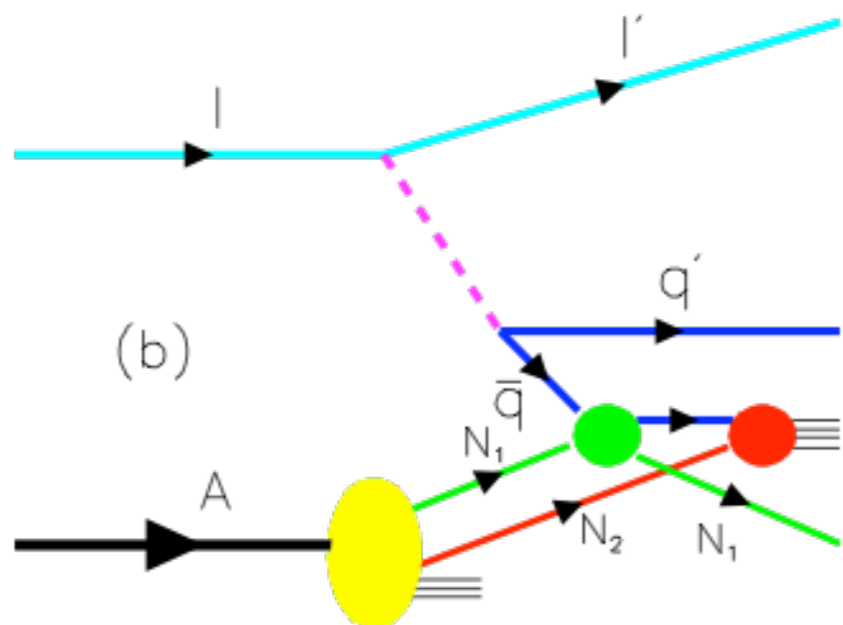
$$Q^2 = 5 \text{ GeV}^2$$





The one-step and two-step processes in DIS on a nucleus.

Coherence at small Bjorken  $x_B$  :  
 $1/Mx_B = 2\nu/Q^2 \geq L_A$ .



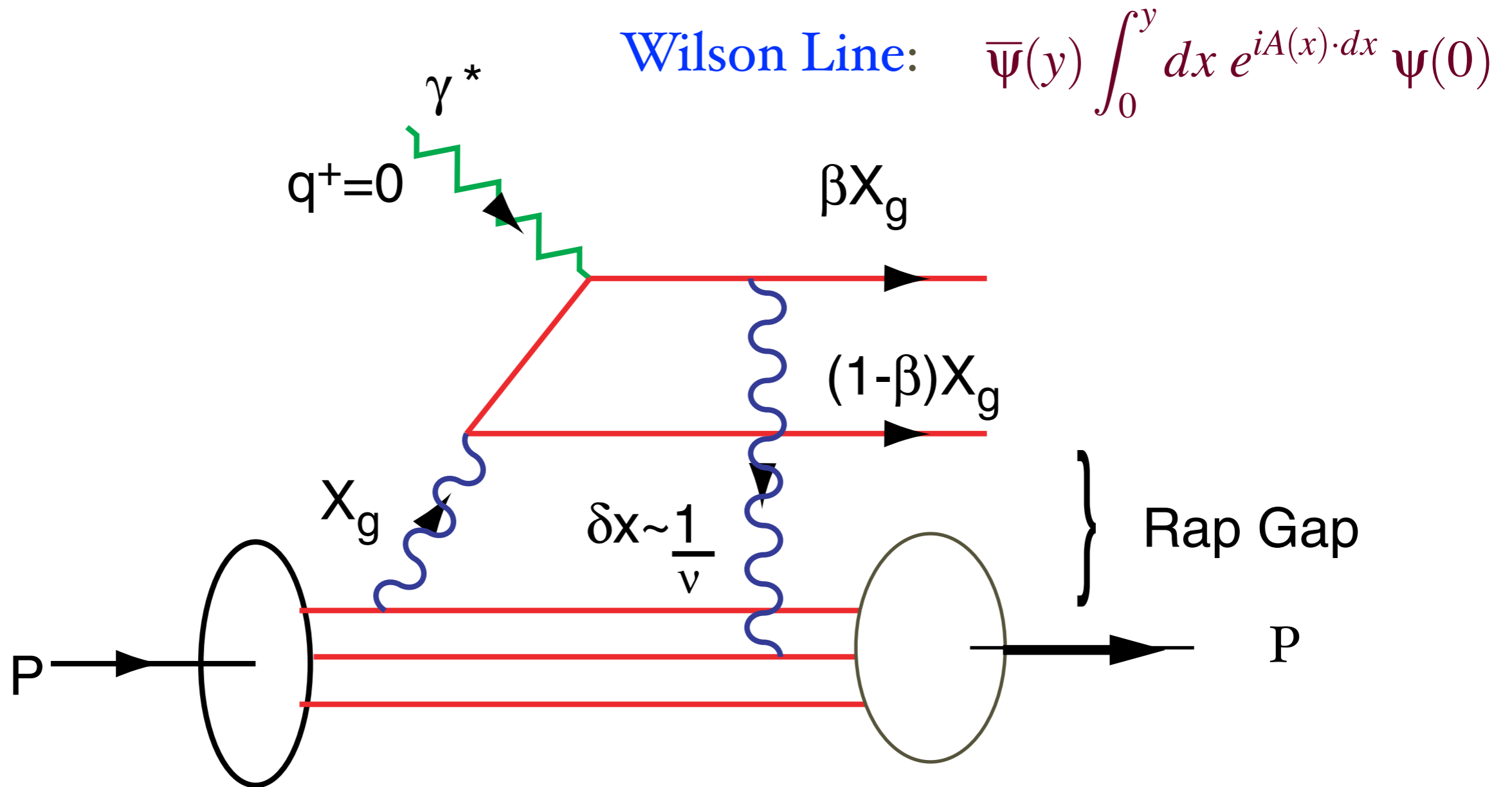
If the scattering on nucleon  $N_1$  is via pomeron exchange, the one-step and two-step amplitudes are opposite in phase, thus diminishing the  $\bar{q}$  flux reaching  $N_2$ .

→ Shadowing of the DIS nuclear structure functions.

**Diffraction via Pomeron gives destructive interference!**

*Shadowing*

# QCD Mechanism for Rapidity Gaps



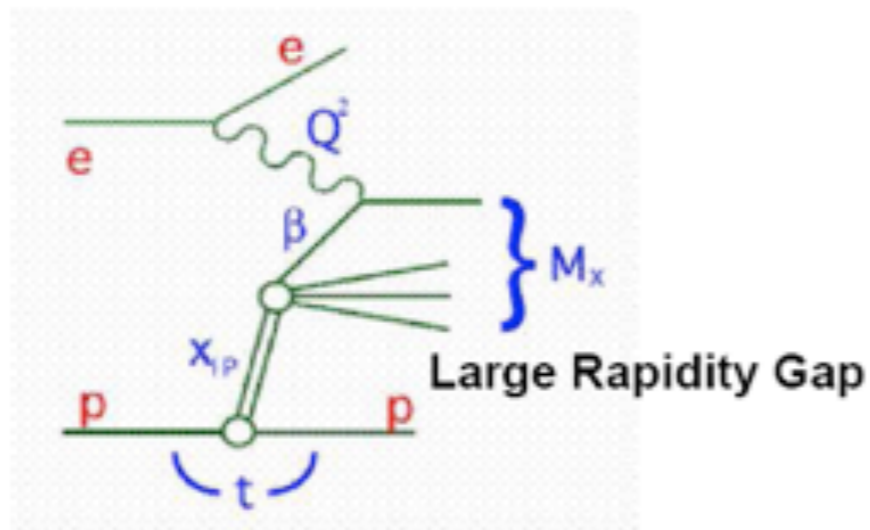
**Reproduces lab-frame color dipole approach**

**DDIS: Crucial Input for leading-twist nuclear shadowing**

**DDIS: Diffractive Deep Inelastic Scattering**



# Diffractive Structure Function $F_2^D$



Diffractive inclusive cross section

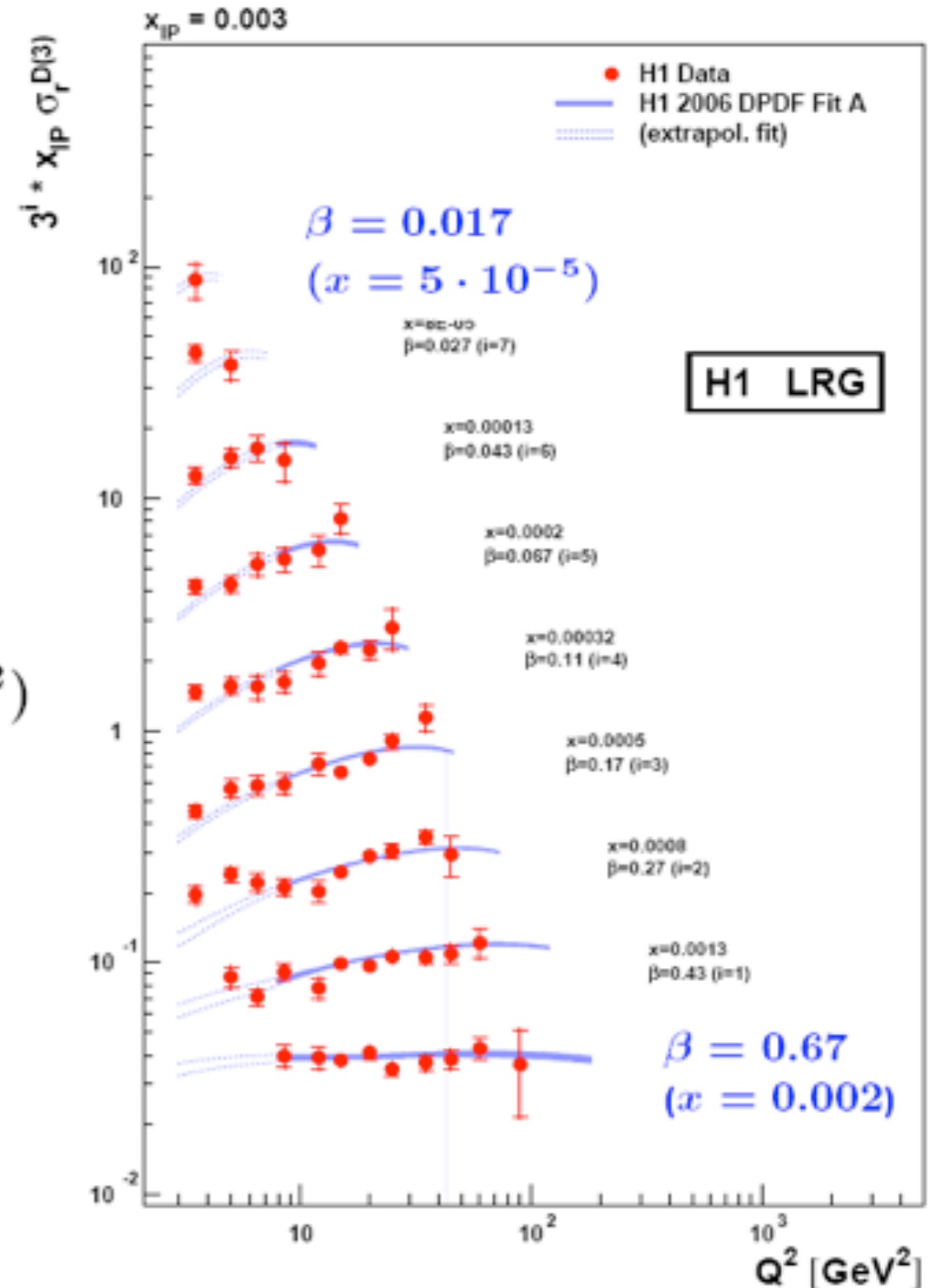
$$\frac{d^3 \sigma_{NC}^{diff}}{dx_{IP} d\beta dQ^2} \propto \frac{2\pi \alpha^2}{xQ^4} F_2^{D(3)}(x_{IP}, \beta, Q^2)$$

$$F_2^D(x_{IP}, \beta, Q^2) = f(x_{IP}) \cdot F_2^{IP}(\beta, Q^2)$$

extract DPDF and  $xg(x)$  from scaling violation

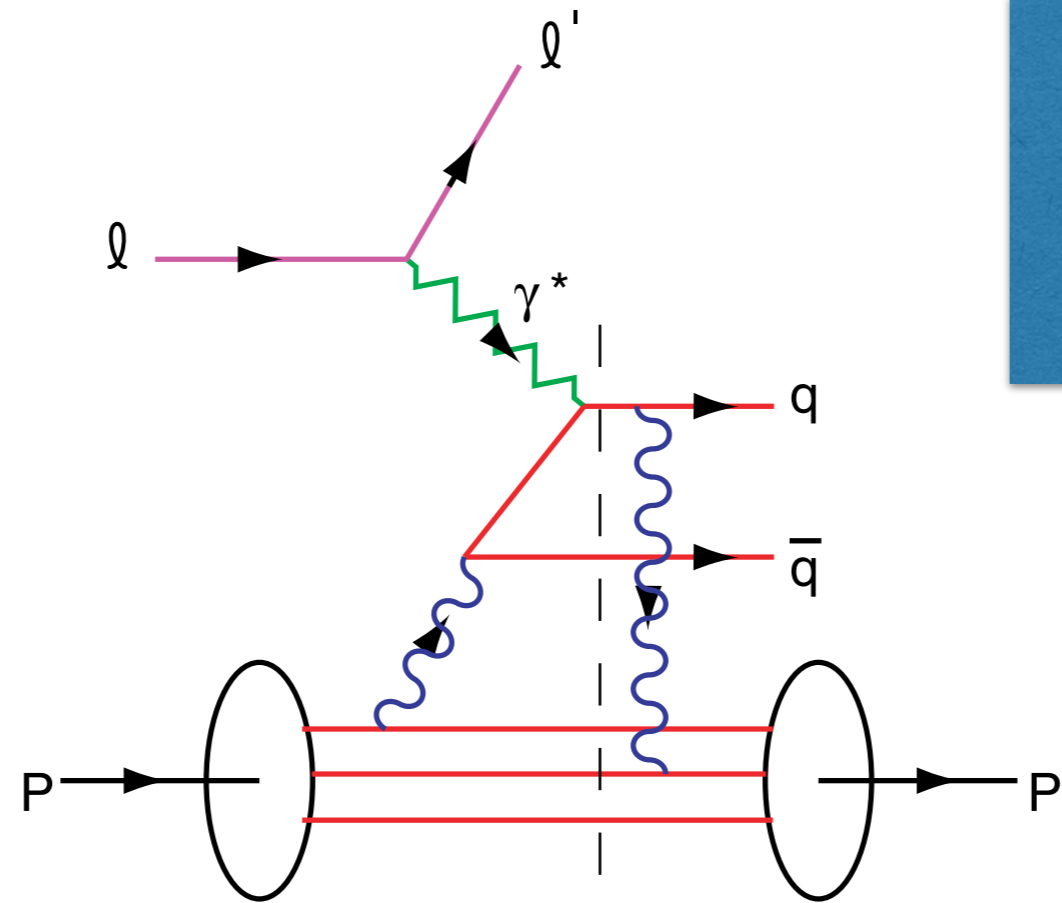
Large kinematic domain  $3 < Q^2 < 1600 \text{ GeV}^2$

Precise measurements sys 5%, stat 5–20 %



About 15% of DIS events are diffractive!

# DDIS: Diffractive Deep Inelastic Scattering



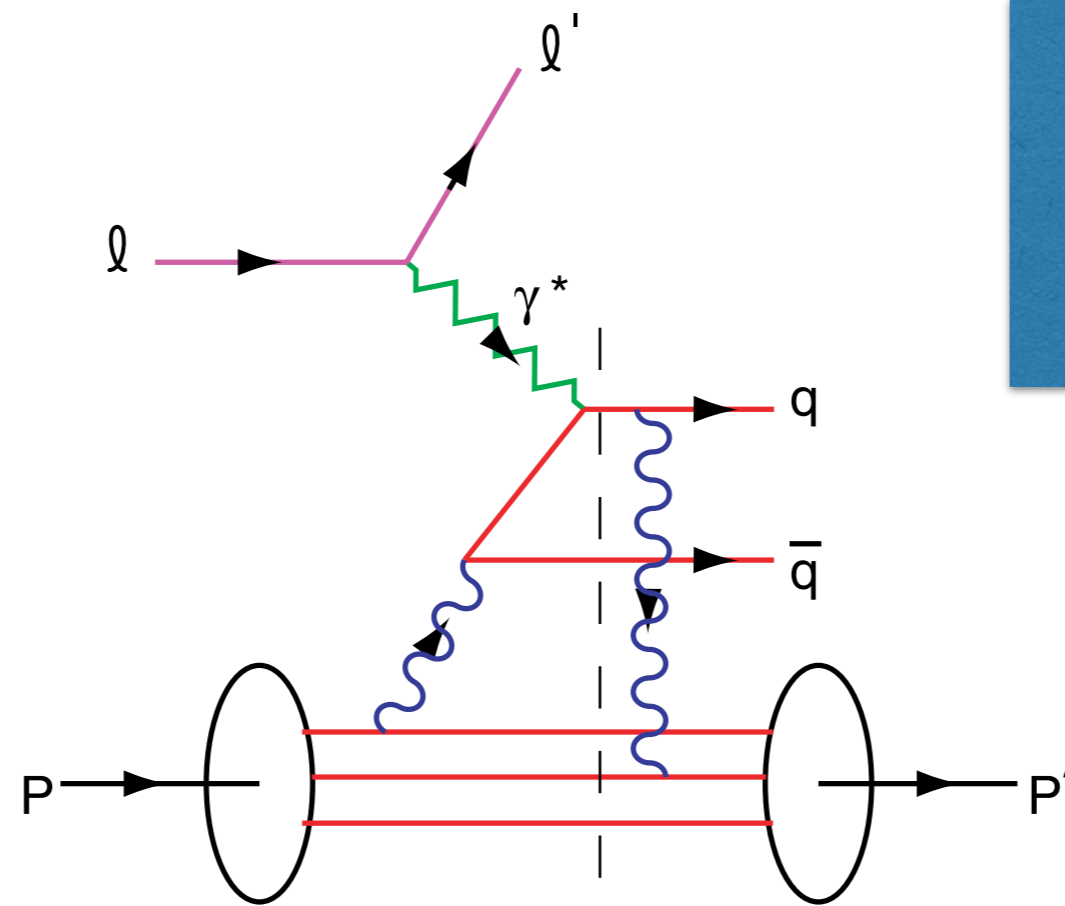
Integration over on-shell domain produces phase  $i$

Need Imaginary Phase to Generate Pomeron

*Also: Need Imaginary Phase to Generate "Sivers Effect"  
T-Odd Single-Spin Asymmetry*

*Physics of FSI not in LF Wavefunction of Target*

# DDIS: Diffractive Deep Inelastic Scattering



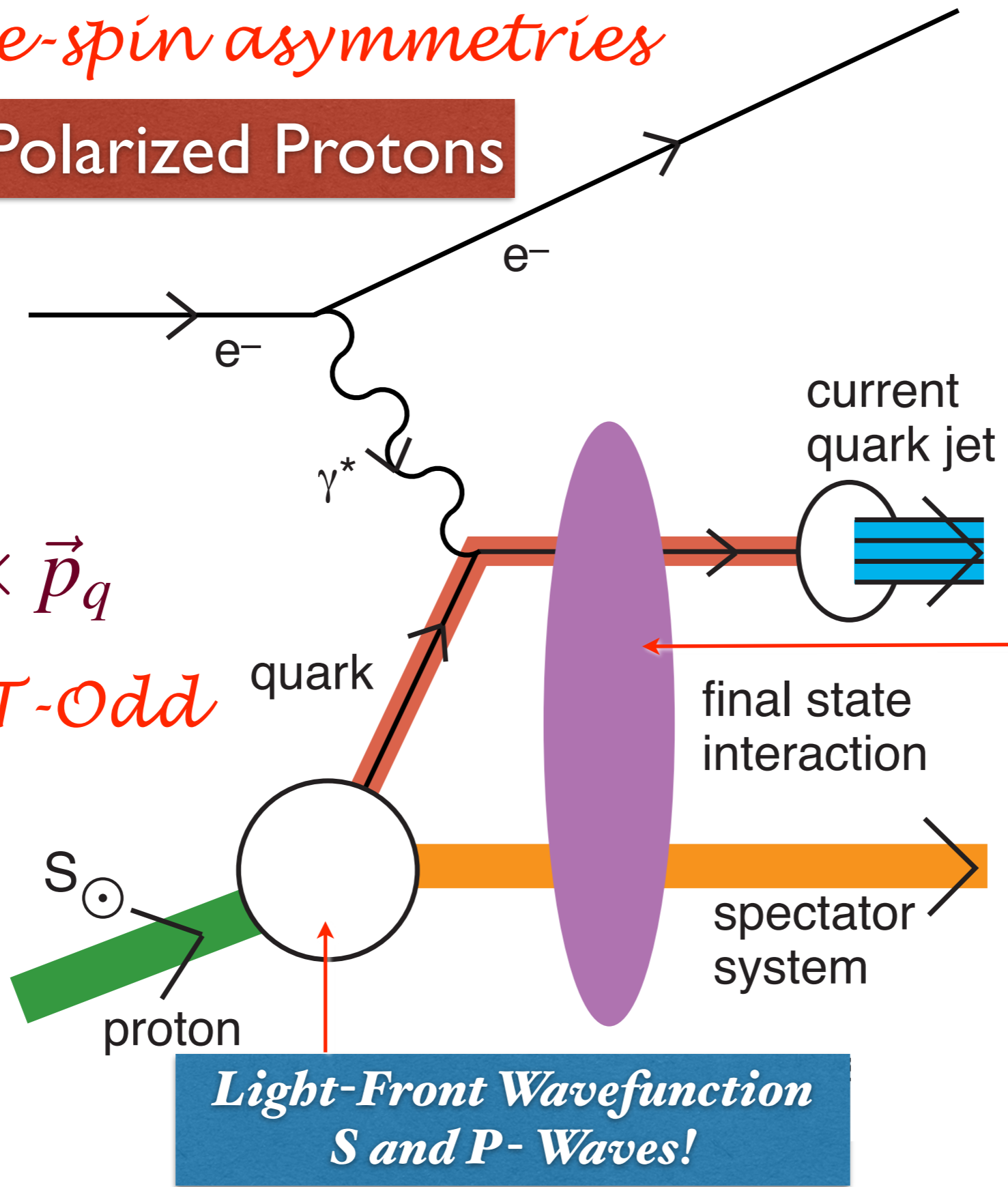
90% of proton momentum carried off  
by final state  $p'$  in 15% of events!

Gluon momentum fraction misidentified!



# Single-spin asymmetries

Jlab: Polarized Protons



$$i \vec{S}_p \cdot \vec{q} \times \vec{p}_q$$

Pseudo-*T*-Odd

“Lensing” involves soft scales

Light-Front Wavefunction  
S and P- Waves!

Sign reversal in DY!

Leading Twist  
Sivers Effect

Hwang,  
Schmidt, sjb

Collins, Burkardt, Ji,  
Yuan. Pasquini, ...

QCD S- and P-  
Coulomb Phases  
--Wilson Line

“Lensing Effect”

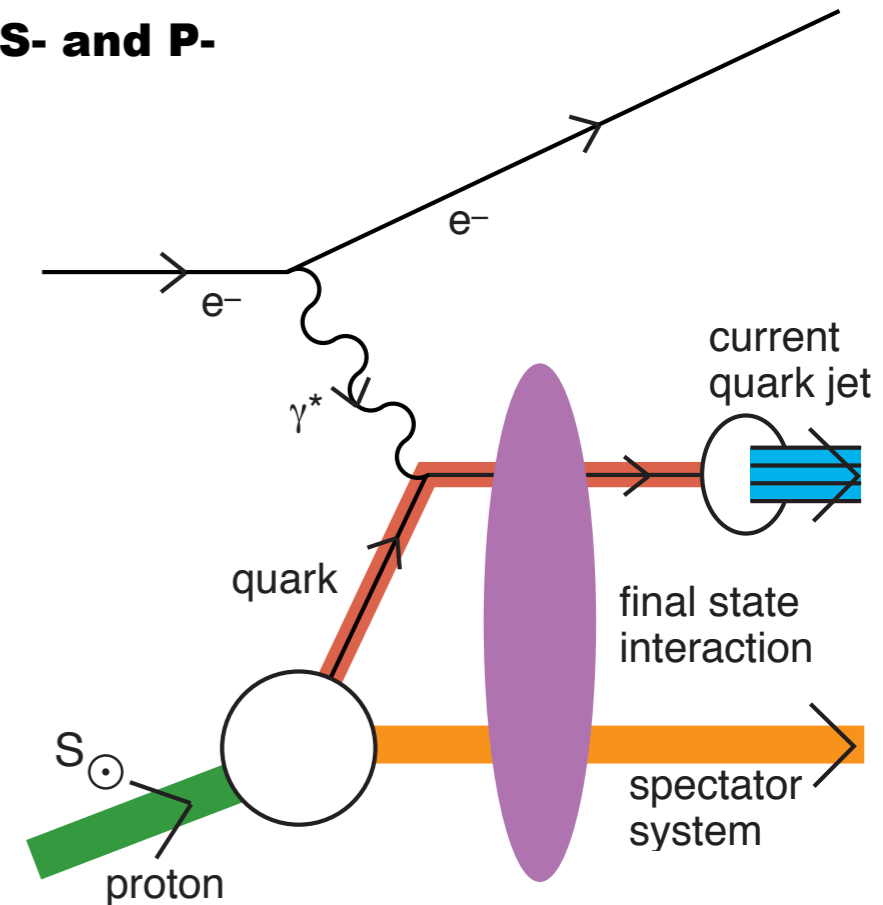
Leading-Twist  
Rescattering  
Violates pQCD  
Factorization!

# Final-State Interactions Produce Pseudo T-Odd (Sivers Effect)

Hwang, Schmidt, sjb  
Collins

$$\mathbf{i} \vec{S} \cdot \vec{p}_{jet} \times \vec{q}$$

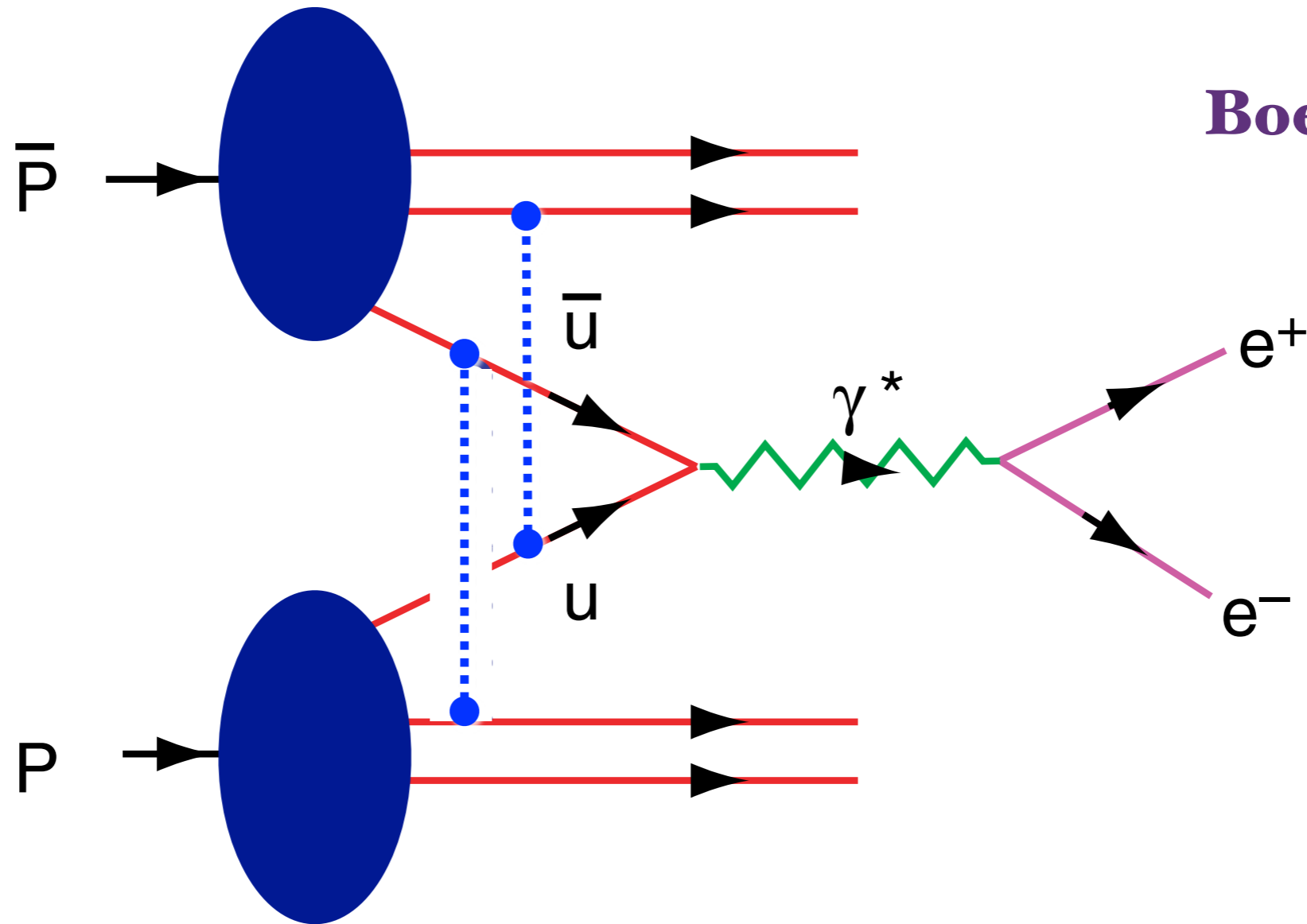
- **Leading-Twist Bjorken Scaling!**
- **Requires nonzero orbital angular momentum of quark**
- **Arises from the interference of Final-State QCD Coulomb phases in S- and P-waves;**
- **Wilson line effect -- lc gauge prescription**
- **Relate to the quark contribution to the target proton anomalous magnetic moment and final-state QCD phases**
- **QCD phase at soft scale!**
- **New window to QCD coupling and running gluon mass in the IR**
- **QED S and P Coulomb phases infinite -- difference of phases finite!**
- **Alternate: Retarded and Advanced Gauge: Augmented LFWFs**



Dae Sung Hwang, Yuri V. Kovchegov,  
Ivan Schmidt, Matthew D. Sievert, sjb

Mulders, Boer Qiu, Sterman  
Pasquini, Xiao, Yuan, sjb

# Example of Leading-Twist Lensing Correction



Boer, Hwang, sjb

**$DY \cos 2\phi$  correlation at leading twist from double ISI**

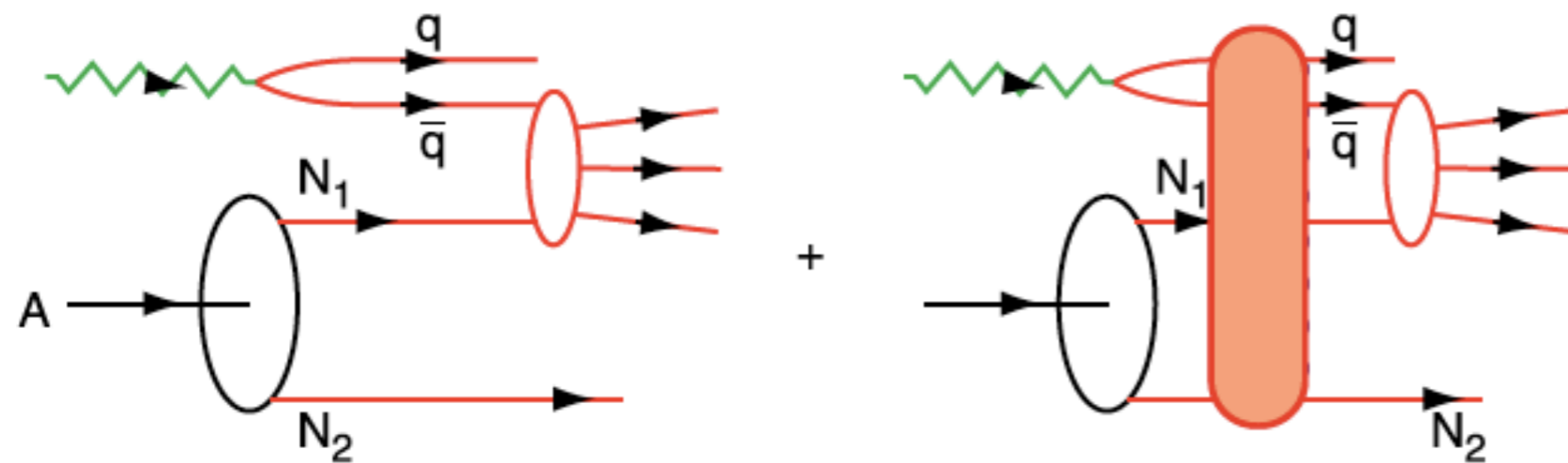
*Product of Boer - Mulders Functions*

$$h_1^\perp(x_1, \mathbf{p}_\perp^2) \times \bar{h}_1^\perp(x_2, \mathbf{k}_\perp^2)$$



# Nuclear Anti-shadowing in QCD

**Constructive Interference Flavor-Specific!**



**Diffractive  
Reggeon  
Exchange**

*Antishadowing (Reggeon exchange) is not universal!*

**Reggeon coupling fixed from Kuti-Weisskopf:**  $F_{2p}(x) - F_{2n}(x) \simeq Cx^{1/2}$

**Nuclear Anti-shadowing not included in nuclear LFWF !**

**Dynamical effect due to virtual photon interacting in nucleus**

# Origin of Regge Behavior of Deep Inelastic Structure Functions

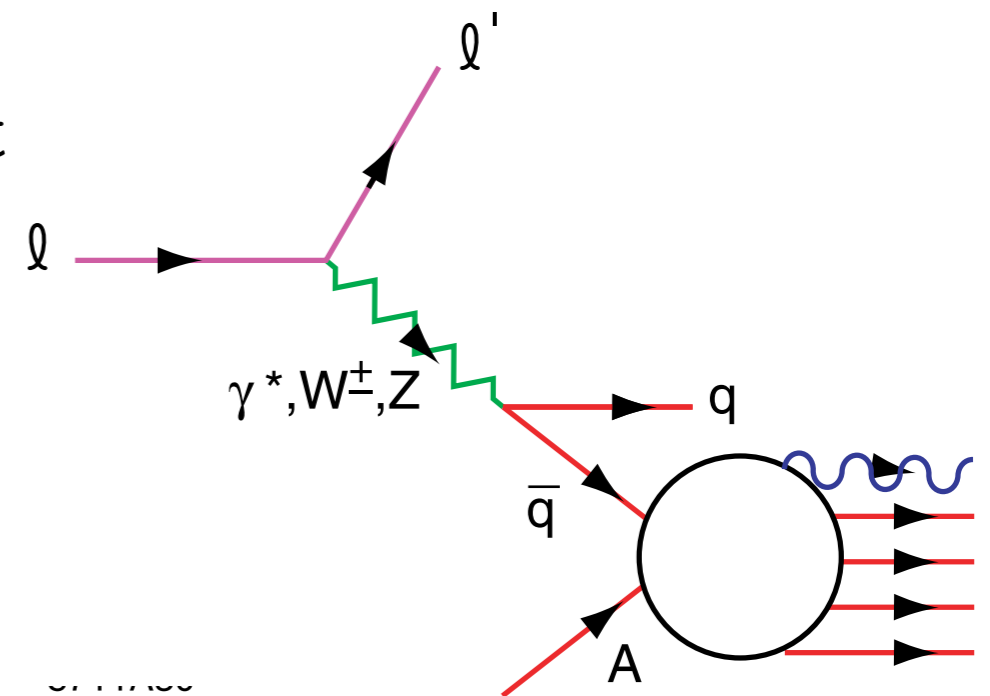
$$F_{2p}(x) - F_{2n}(x) \propto x^{1/2}$$

Antiquark interacts with target nucleus at energy  $\hat{s} \propto \frac{1}{x_{bj}}$

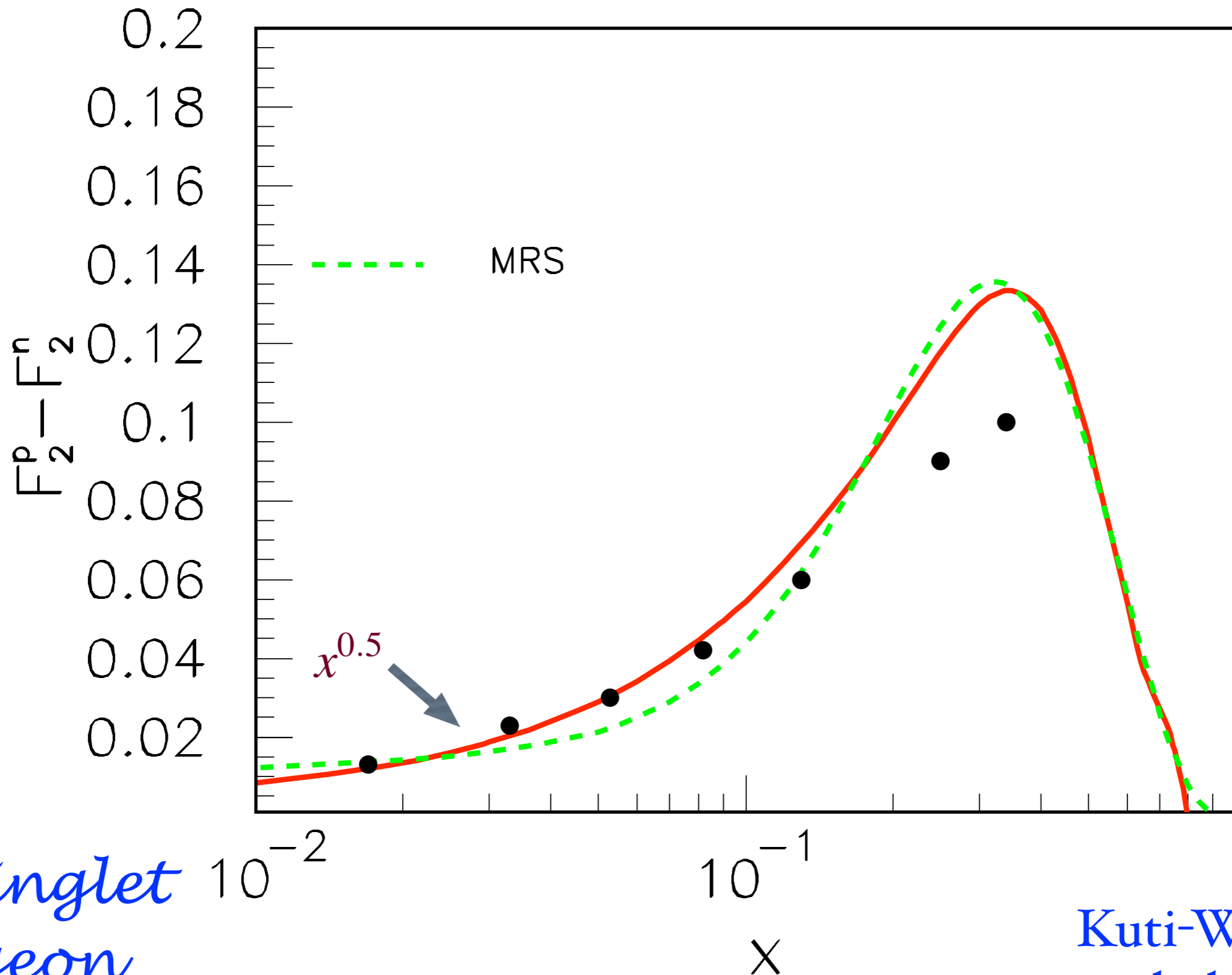
Regge contribution:  $\sigma_{\bar{q}N} \sim \hat{s}^{\alpha_R - 1}$

Nonsinglet Kuti-Weisskoff  $F_{2p} - F_{2n} \propto \sqrt{x_{bj}}$  at small  $x_{bj}$ .

Shadowing of  $\sigma_{\bar{q}M}$  produces shadowing of nuclear structure function.



**Landshoff,  
Polkinghorne, Short  
Close, Gunion, sjb  
Schmidt, Yang, Lu,  
sjb**



*Non-singlet  
Reggeon  
Exchange*

*Kuti-Weisskopf  
behavior*

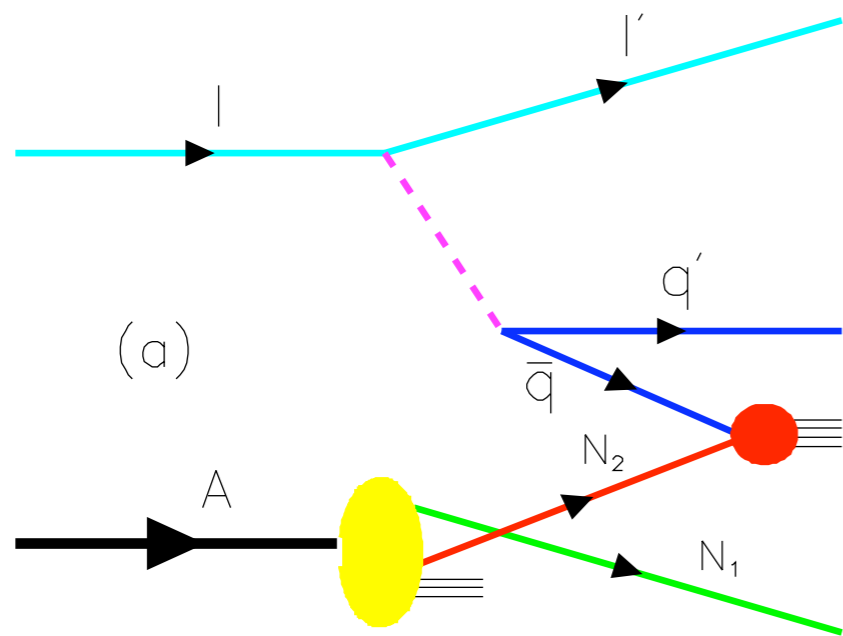
**Forward Physics  
Workshop,  
Guanajuato, Mexico  
21 November 2019**

**Novel Features of Heavy Quark  
Phenomenology**

**Stan Brodsky**  
**SLAC**  
NATIONAL ACCELERATOR LABORATORY





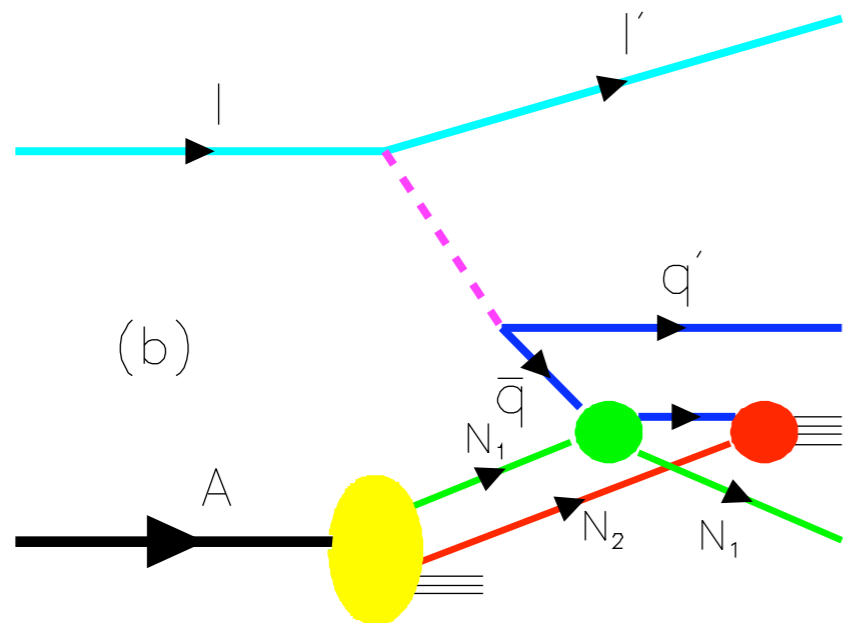


The one-step and two-step processes in DIS on a nucleus.

(a)

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 $1/Mx_B = 2\nu/Q^2 \geq L_A$ .

Reggeon



(b)

If the scattering on nucleon  $N_1$  is via pomeron exchange, the one-step and two-step amplitudes are ~~opposite~~ in phase, thus diminishing the  $\bar{q}$  flux reaching  $N_2$ .

**Diffraction via Reggeon gives constructive interference!**

*Anti-shadowing*

# Reggeon Exchange

Phase of two-step amplitude relative to one step:

$$\frac{1}{\sqrt{2}}(1 - i) \times i = \frac{1}{\sqrt{2}}(i + 1)$$

**Constructive Interference**

Depends on quark flavor!

Thus antishadowing is not universal

Different for couplings of  $\gamma^*$ ,  $Z^0$ ,  $W^\pm$

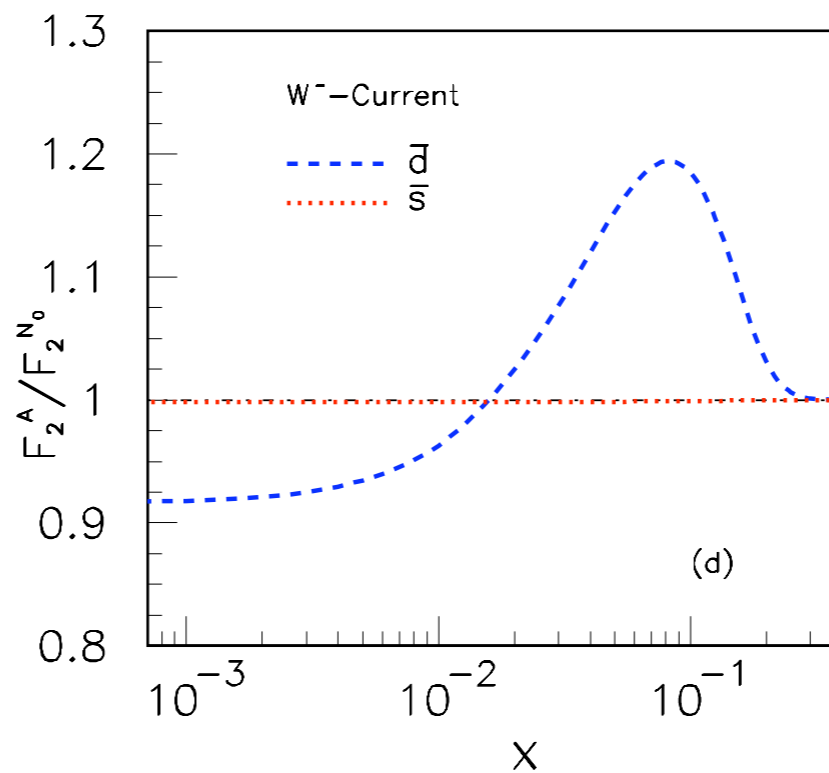
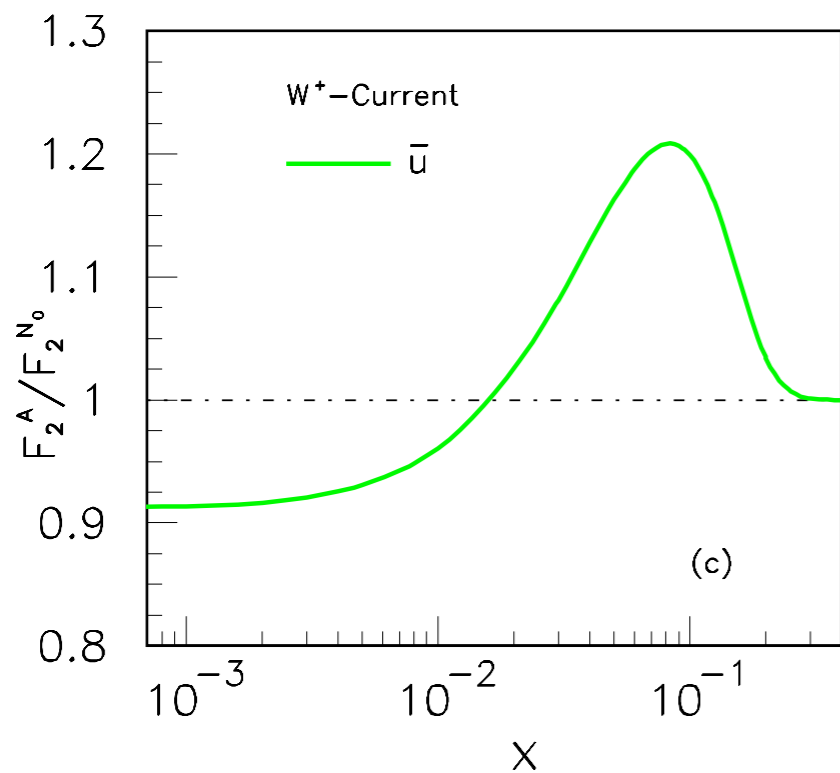
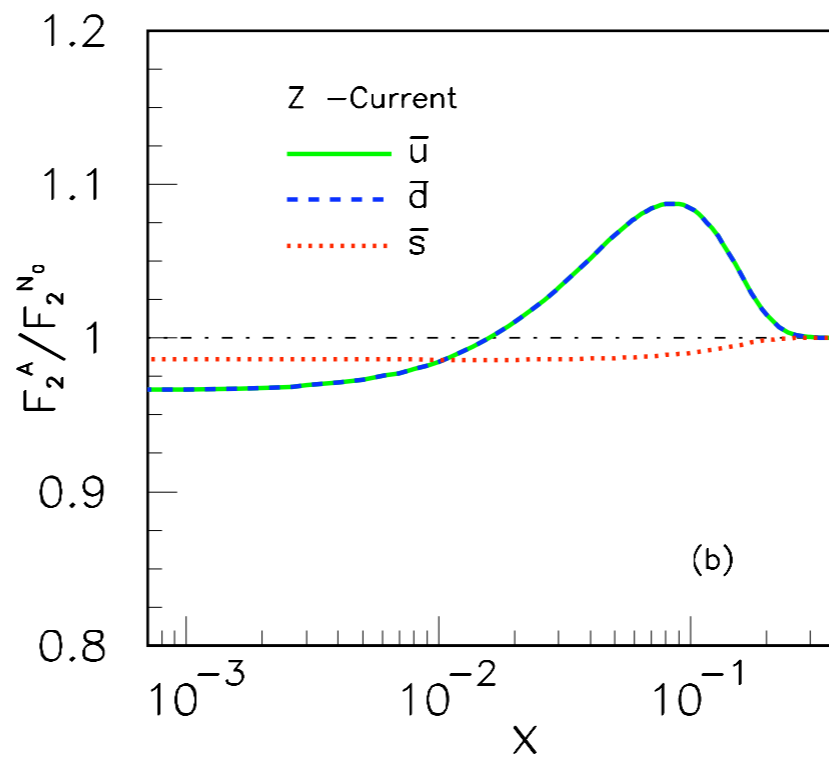
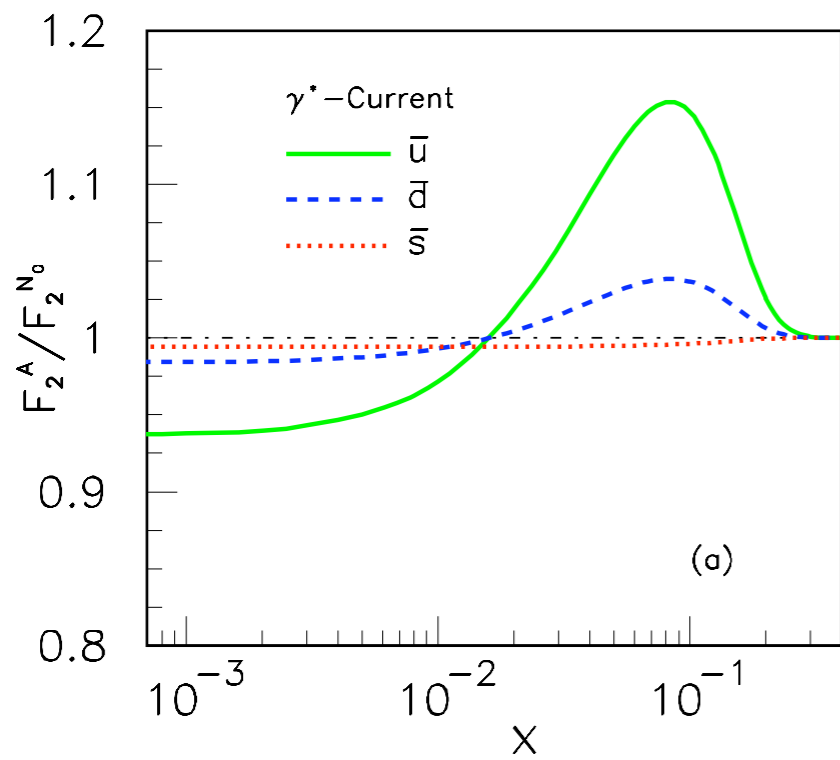
*Critical test: Tagged Drell-Yan*

# *Shadowing and Antishadowing in Lepton-Nucleus Scattering*

- Shadowing: **Destructive Interference** of Two-Step and One-Step Processes  
*Pomeron Exchange*
- Antishadowing: **Constructive Interference** of Two-Step and One-Step Processes!  
*Reggeon and Odderon Exchange*
- Antishadowing is Not Universal!  
Electromagnetic and weak currents:  
different nuclear effects !  
**Potentially significant for NuTeV Anomaly}**

Jian-Jun Yang  
Ivan Schmidt  
Hung Jung Lu  
sjb

Schmidt, Yang; sjb

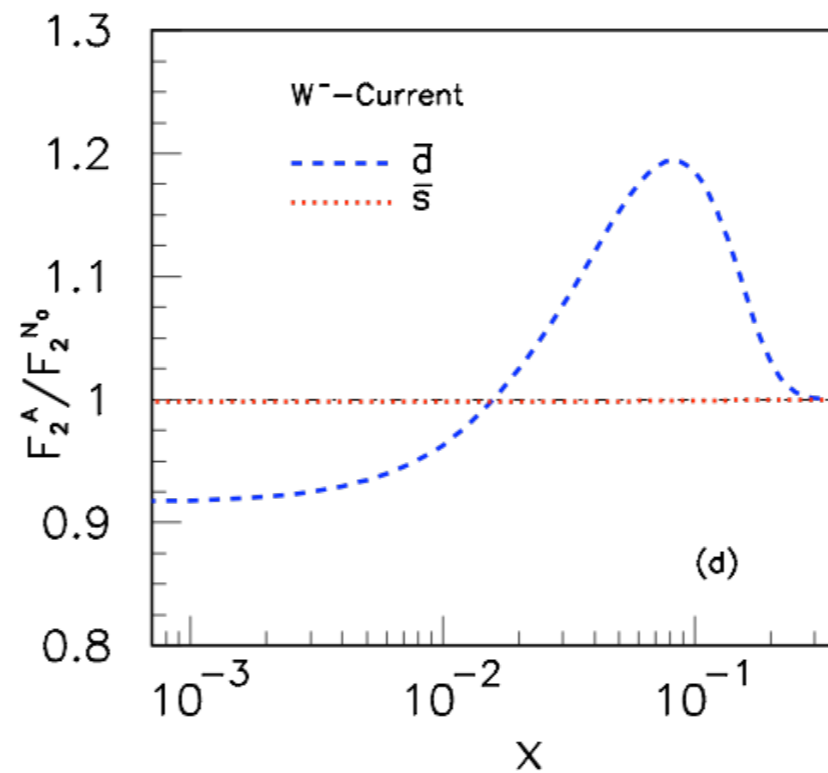
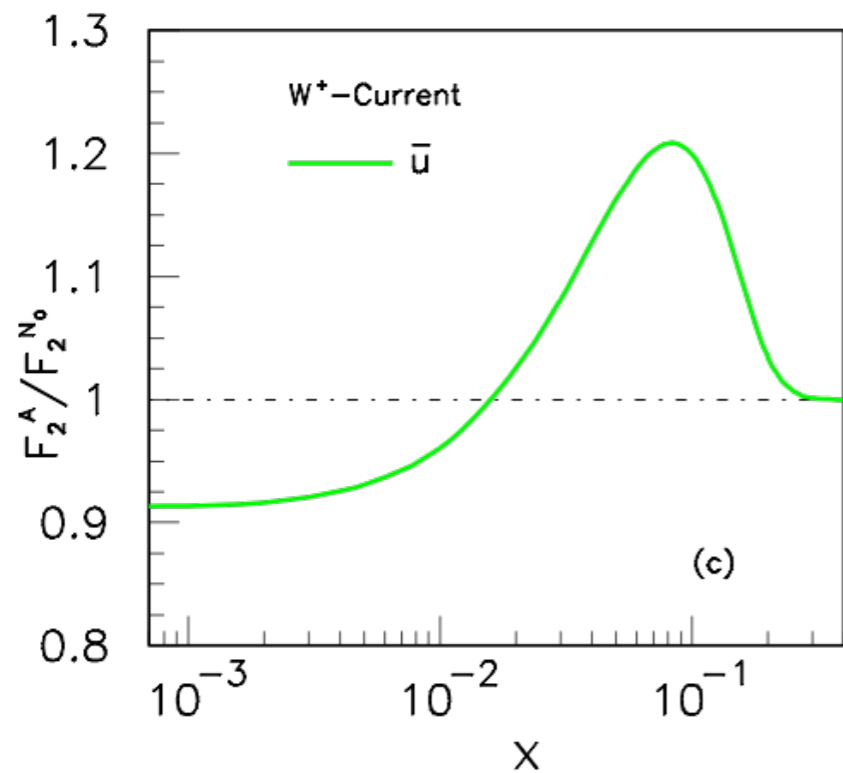
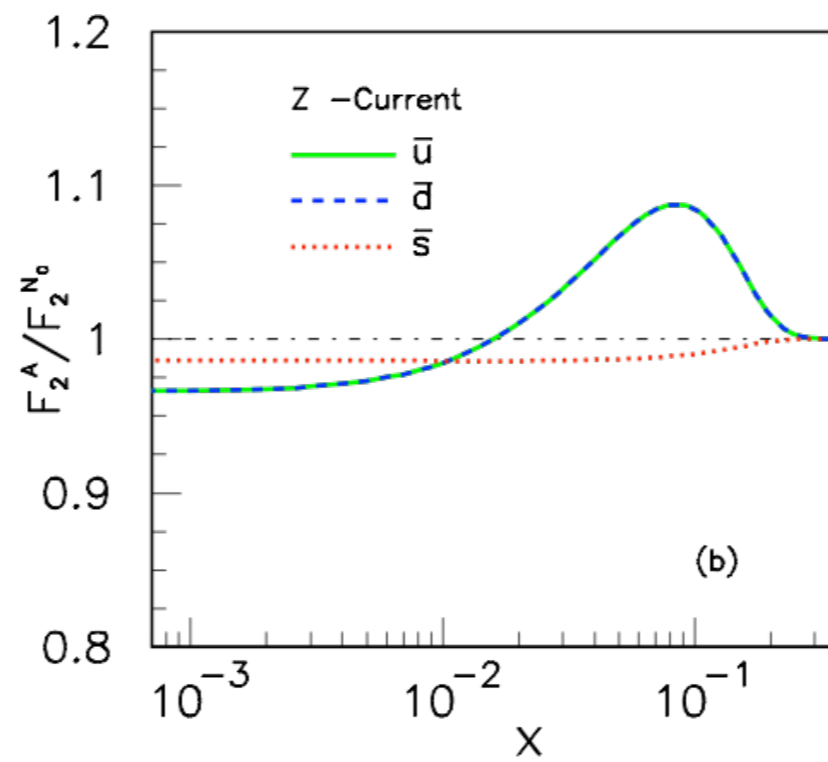
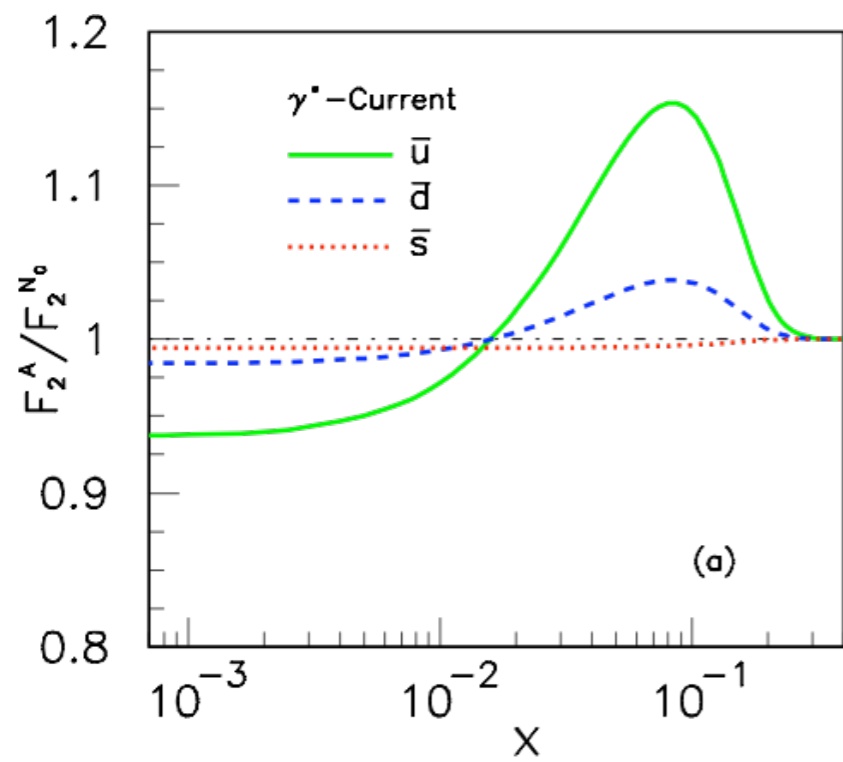


Modifies  
NuTeV extraction of  
 $\sin^2 \theta_W$

Test in flavor-tagged  
DIS at the EIC

Nuclear Antishadowing not universal !

Schmidt, Yang; sjb



Modifies  
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- Unlike shadowing, anti-shadowing from Reggeon exchange is flavor specific;
- Each quark and anti-quark will have distinctly different constructive interference patterns.
- The flavor dependence of antishadowing explains why anti-shadowing is different for electron (neutral electro-magnetic current) vs. neutrino (charged weak current) DIS reactions.
- Test of the explanation of antishadowing: Bjorken-scaling leading-twist charge exchange DDIS reaction  $\gamma^*p \rightarrow nX_+$  with a rapidity gap due to  $I=1$  Reggeon exchange

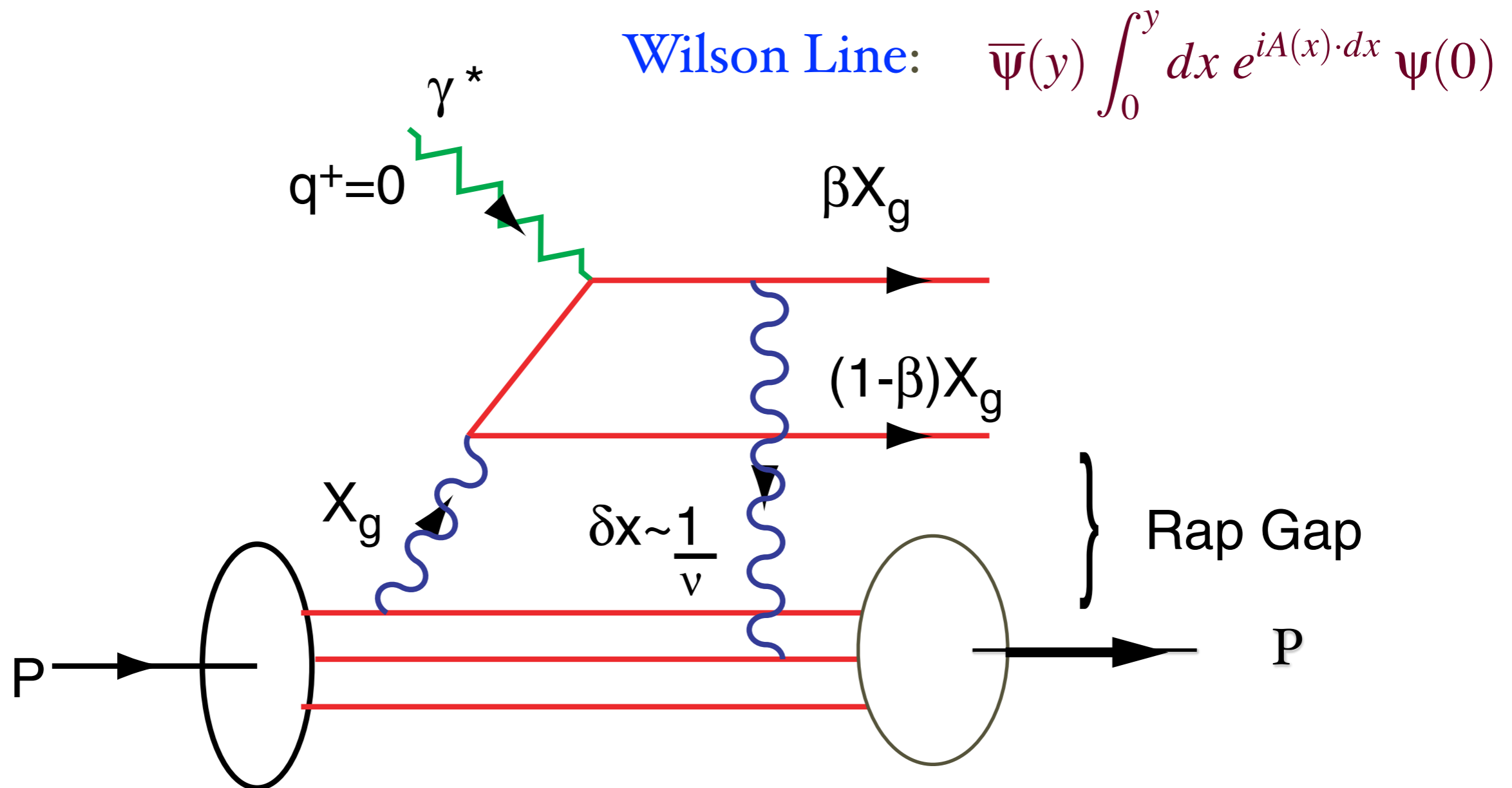
The finite path length due to the on-shell propagation of  $V_0$  between  $N_1$  and  $N_2$  contributes a finite distance  $(\Delta z)^2$  between the two virtual photons in the DVCS amplitude.

The usual “handbag” diagram where the two  $J_\mu(x)$  and  $J_\nu(0)$  currents acting on an uninterrupted quark propagator are replaced by a local operator  $T_{\mu\nu}(0)$  as  $Q^2 \rightarrow \infty$ , is inapplicable in deeply virtual Compton scattering from a nucleus since the currents act on different nucleons.

$\Delta z^2$  does not vanish as  $\frac{1}{Q^2}$ .

***OPE and Sum Rules invalid for nuclear pdfs***

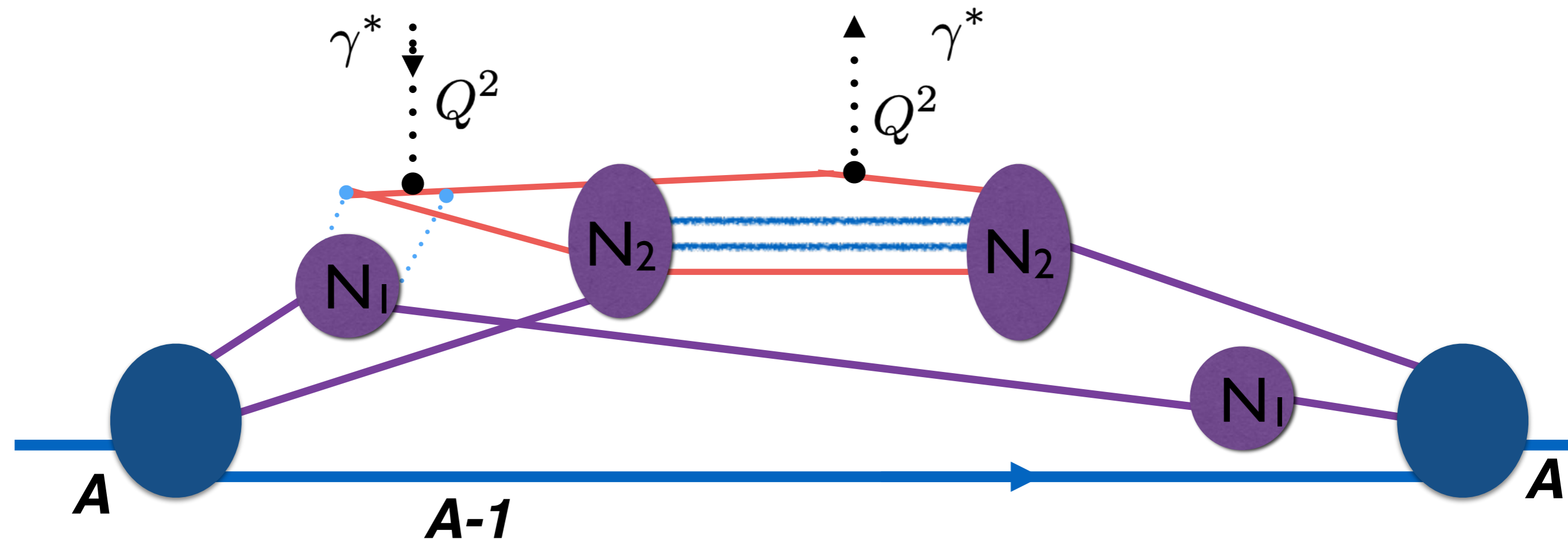
# QCD Mechanism for Rapidity Gaps



**Reproduces lab-frame color dipole approach**  
**DDIS: Input for leading twist nuclear shadowing**

**Illustrates the  
LF time sequence**

$$q^+ = 0 \quad q_{\perp}^2 = Q^2 = -q^2$$



*Front-Face Nucleon  $N_1$  struck*

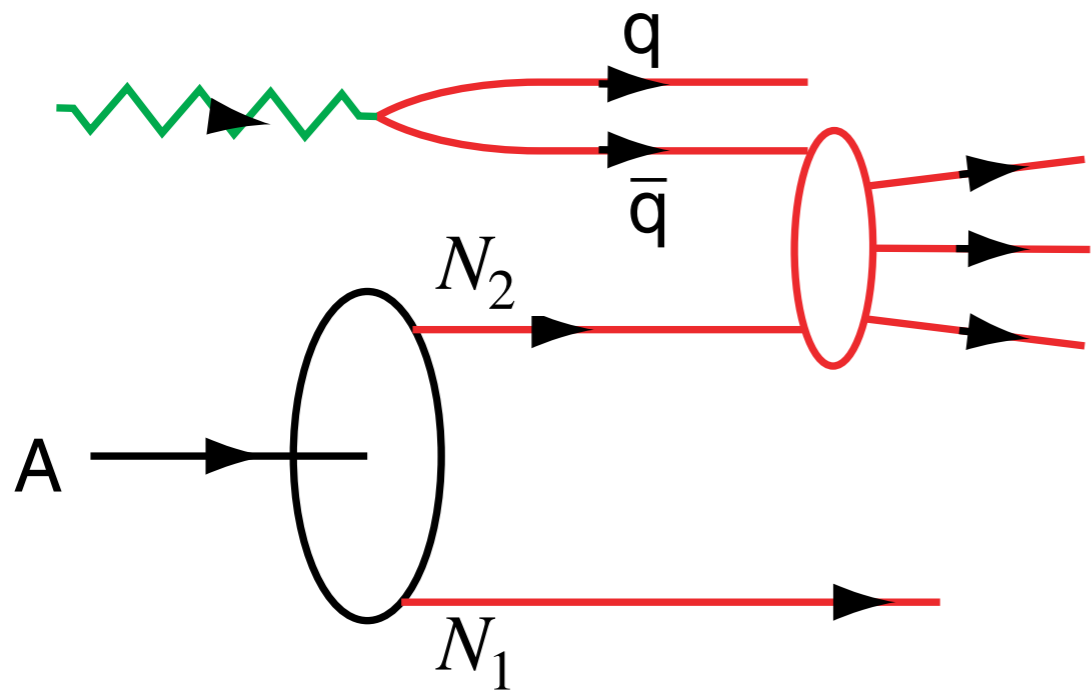
*Front-Face Nucleon  $N_1$  not struck*

*One-Step / Two-Step Interference*

Study Double Virtual Compton Scattering  $\gamma^* A \rightarrow \gamma^* A$

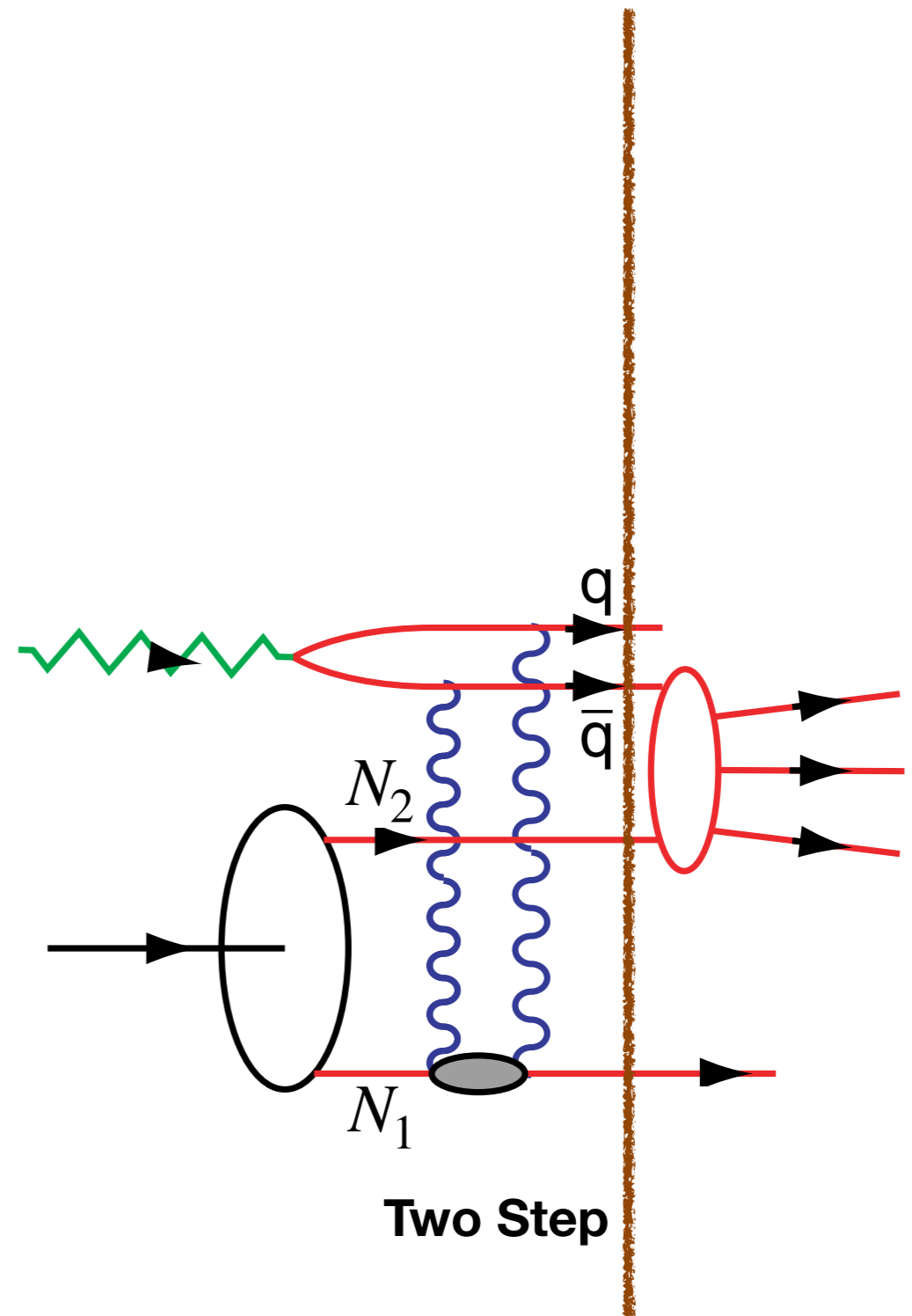
**Cannot reduce to matrix element  
of local operator! No Sum Rules!**

Liuti, Schmidt sjb



One Step

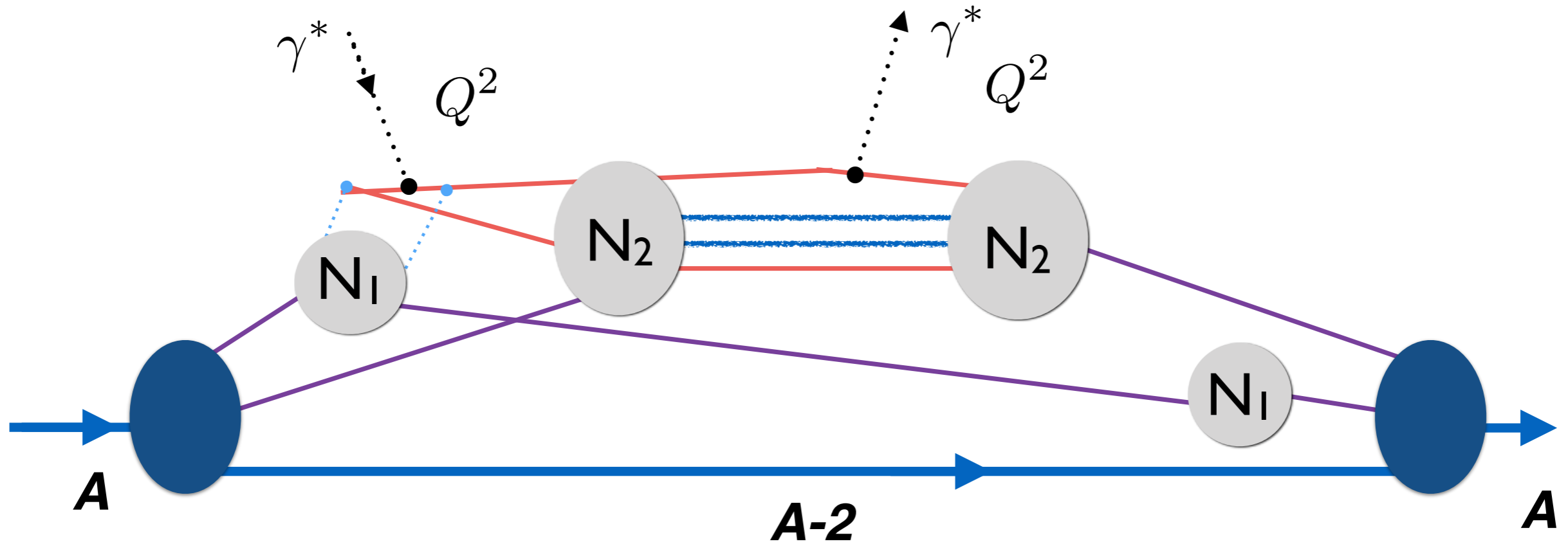
+



Two Step

*Glauber Cut:  
On-Shell Propagation*

Doubly Virtual Nuclear Compton Scattering  $\gamma^*(q)A \rightarrow \gamma^*(q)A$



Front-Face Nucleon  $N_1$  struck

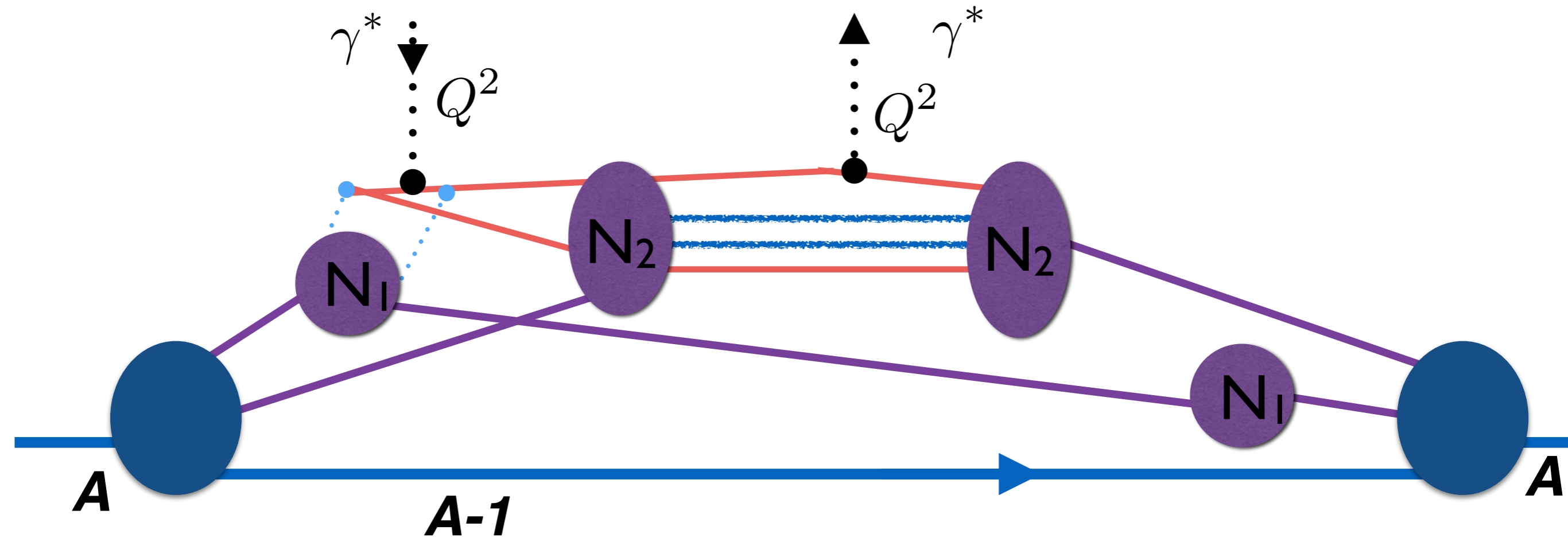
Front-Face Nucleon  $N_1$  not struck

*Contribution from One-Step / Two-Step Interference*



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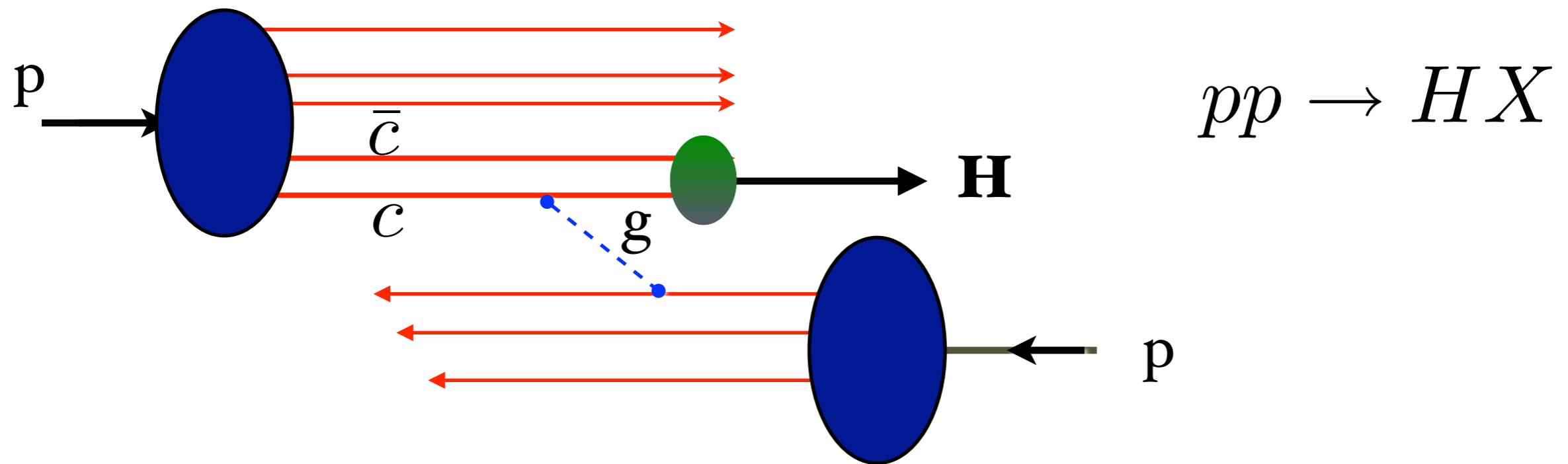
Thus antishadowing is not universal

Different for couplings of  $\gamma^*$ ,  $Z^0$ ,  $W^\pm$

*Critical tests: Tagged SIDIS, Drell-Yan*

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*Intrinsic Charm Mechanism for Inclusive High- $x_F$  Higgs Production*

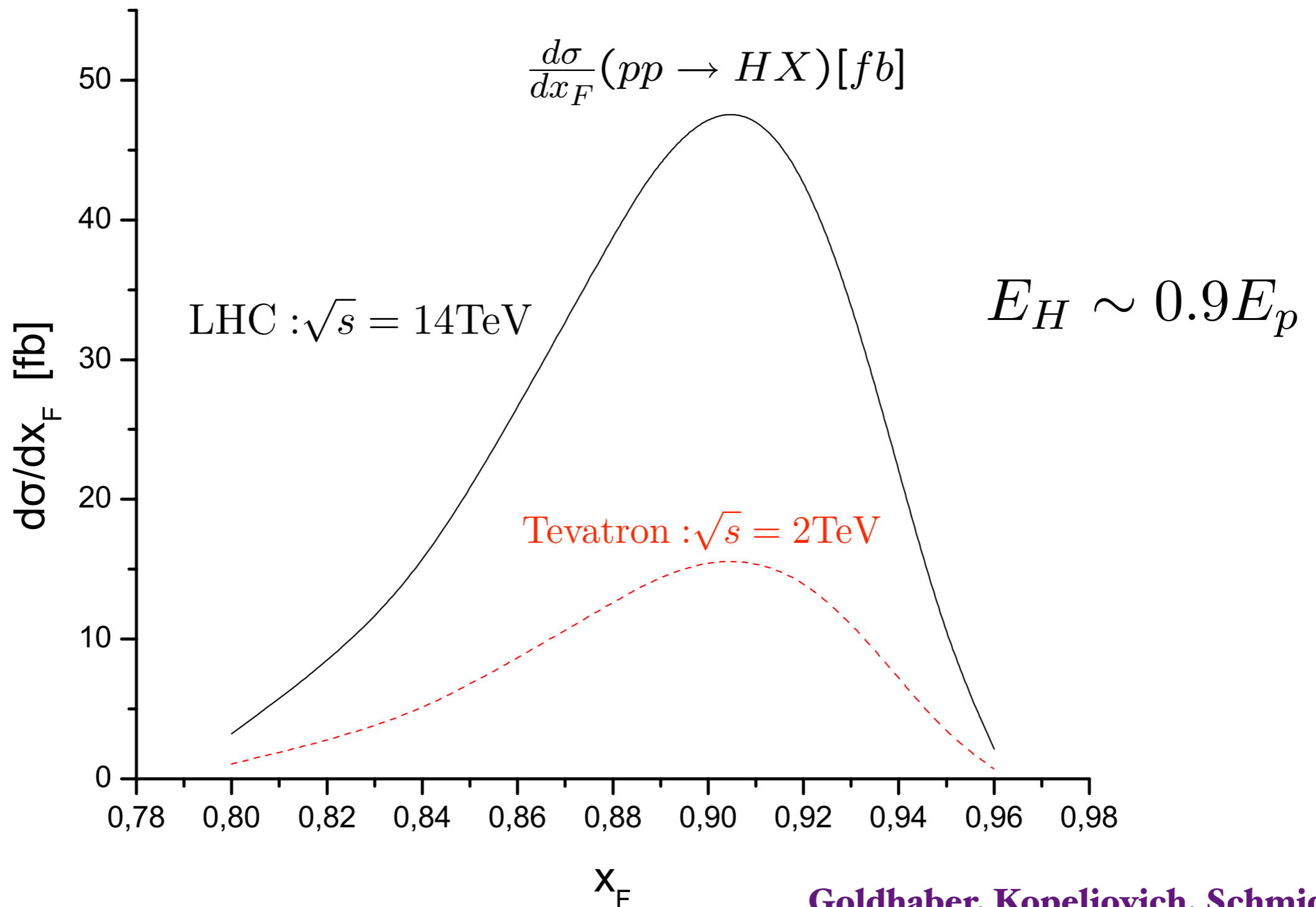


**Also: intrinsic strangeness, bottom, top**

**Higgs can have > 80% of Proton Momentum!**

*New production mechanism for Higgs*

# Intrinsic Heavy Quark Contribution to Inclusive Higgs Production



**Goldhaber, Kopeliovich, Schmidt, sjb**

Measure  $H \rightarrow ZZ^* \rightarrow \mu^+ \mu^- \mu^+ \mu^-$ .



$$H_{LF}^{QCD} |\psi\rangle = M^2 |\psi\rangle$$

$$x = \frac{k^+}{P^+} = \frac{k^0 + k^3}{P^0 + P^3}$$

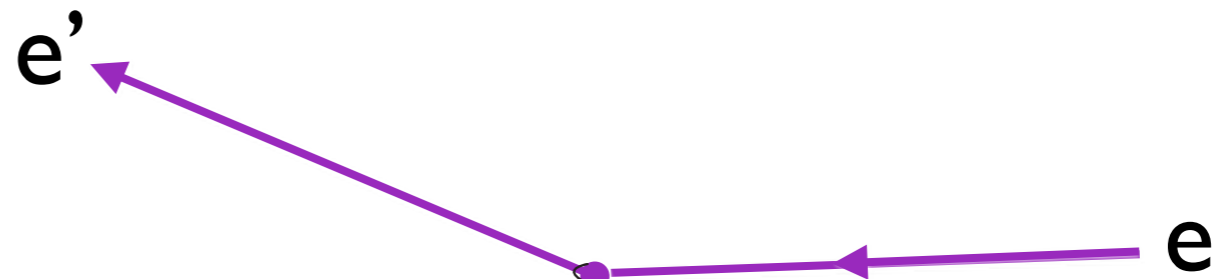
$$P^+, \vec{P}_\perp$$

$$\psi_n(x_i, \vec{k}_{\perp i}, \lambda_i)$$

*Eigenstate of LF Hamiltonian:  
Off-shell in Invariant Mass*

***Measurements of hadron LF  
wavefunction are at fixed LF time***

***Like a flash photograph***



$$x_i P^+, x_i \vec{P}_\perp + \vec{k}_{\perp i}$$

*Light-Front Wavefunctions: Boost Invariant*

Fixed  $\tau = t + z/c$

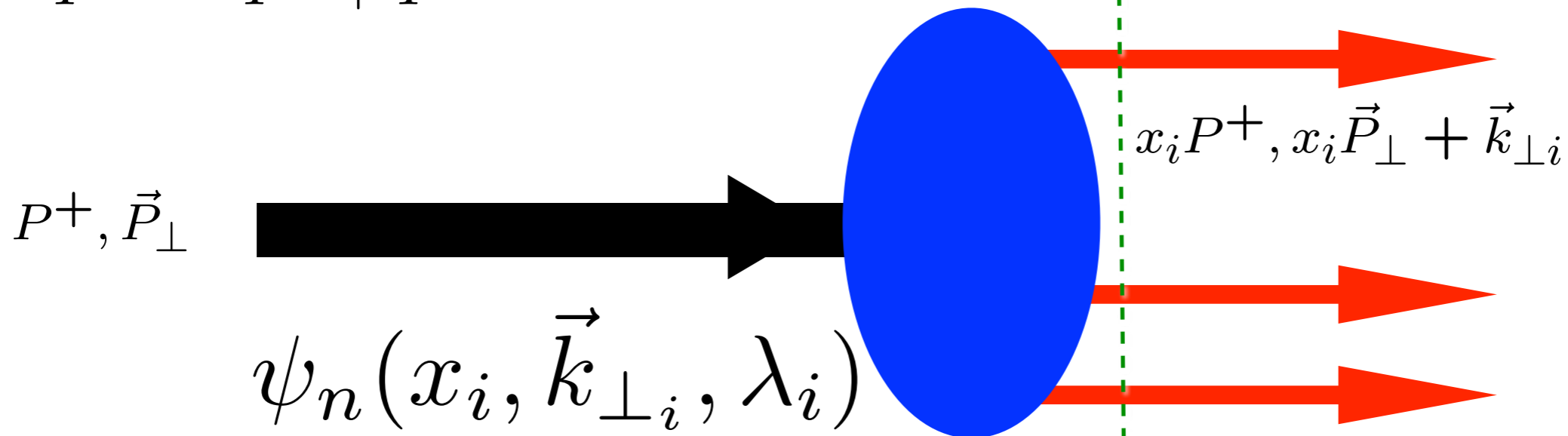
$$x_{bj} = x = \frac{k^+}{P^+}$$

# Light-Front Wavefunctions: **rigorous** representation of composite systems in quantum field theory

*Eigenstate of LF Hamiltonian*

$$x = \frac{k^+}{P^+} = \frac{k^0 + k^3}{P^0 + P^3}$$

Fixed  $\tau = t + z/c$



$$H_{LF}^{QCD} |\psi\rangle = M^2 |\psi\rangle$$

$$|p, J_z\rangle = \sum_{n=3} \psi_n(x_i, \vec{k}_{\perp i}, \lambda_i) |n; x_i, \vec{k}_{\perp i}, \lambda_i\rangle$$

$$\sum_i^n x_i = 1$$

$$\sum_i^n \vec{k}_{\perp i} = \vec{0}_\perp$$

*Invariant under boosts! Independent of  $P^\mu$*

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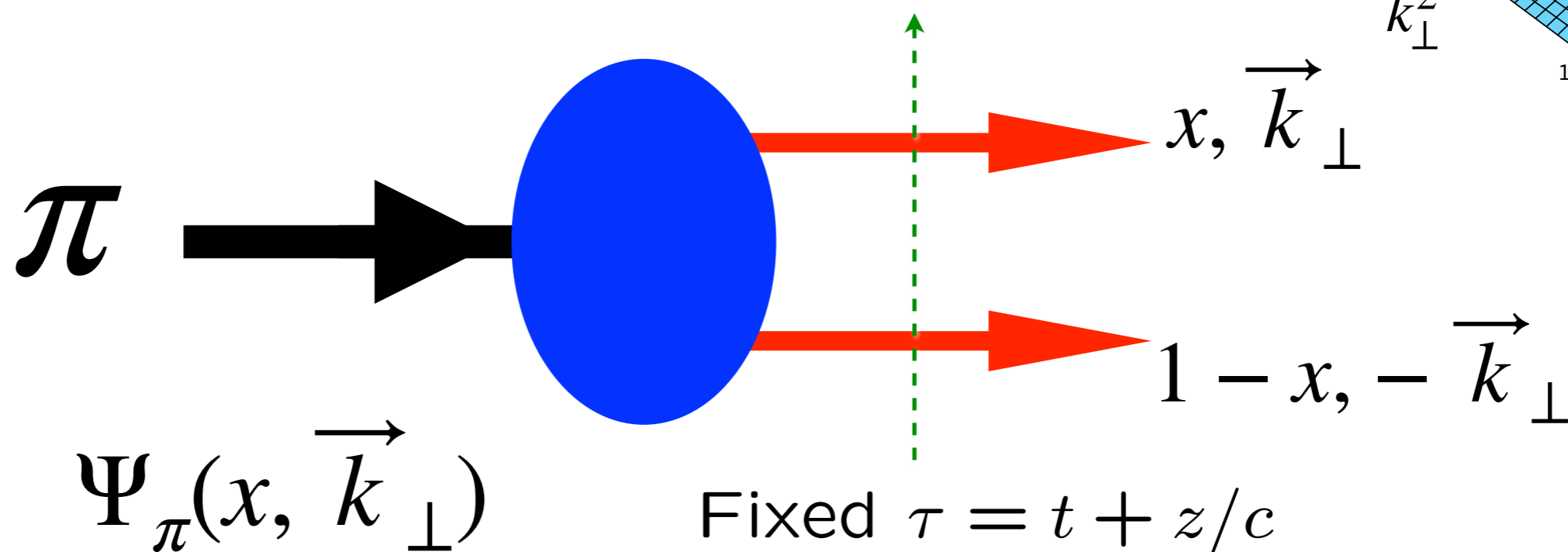
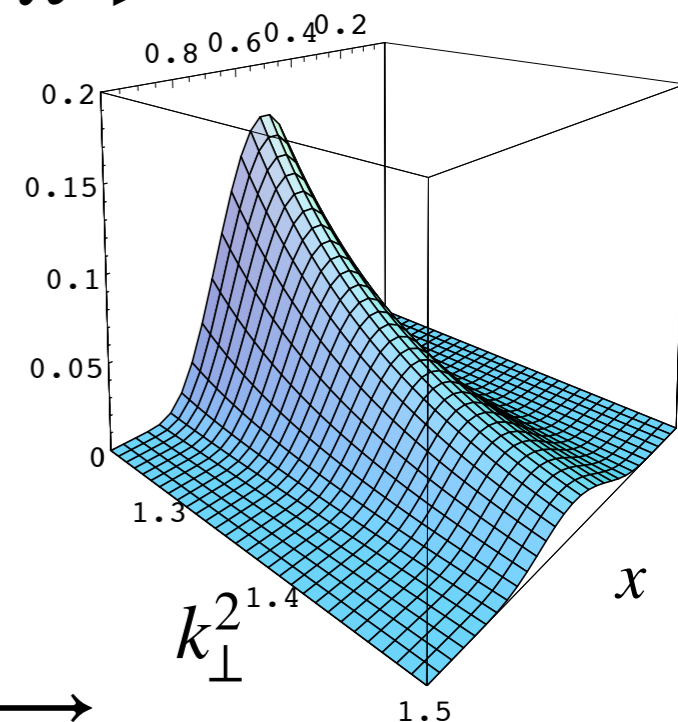
**Causal, Frame-independent. Creation Operators on Simple Vacuum, Current Matrix Elements are Overlaps of LFWFS**

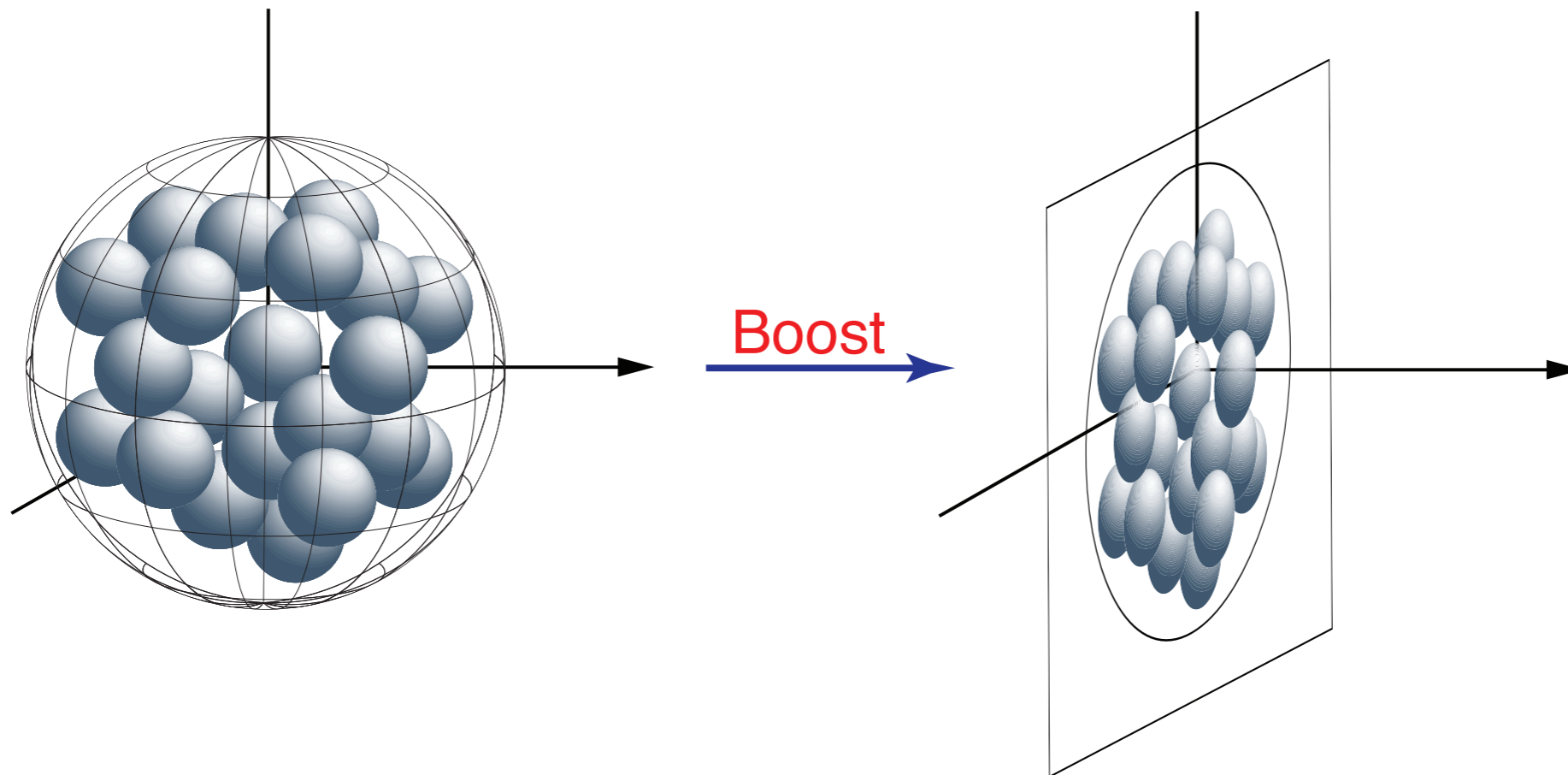
# The Pion's Valence Light-Front Wavefunction

- Relativistic Quantum-Mechanical Wavefunction of the pion eigenstate  $H_{LF}^{QCD} |\pi\rangle = m_\pi^2 |\pi\rangle$

$$\Psi_\pi(x, \vec{k}_\perp) = \langle q(x, \vec{k}_\perp) \bar{q}(1-x, -\vec{k}_\perp) | \pi \rangle$$

- Independent of the observer's or pion's motion
- No Lorentz contraction; causal
- **Confined** quark-antiquark bound state





large nucleus before and after an ultra-relativistic boost.

*Is this really true? Will an electron-proton collider see different results than a fixed target experiment such as SLAC because the nucleus is squashed to a pancake?*

**Light-Front: No length contraction — no pancakes!**

**Penrose  
Terrel<sub>33</sub>  
Weiskopf**

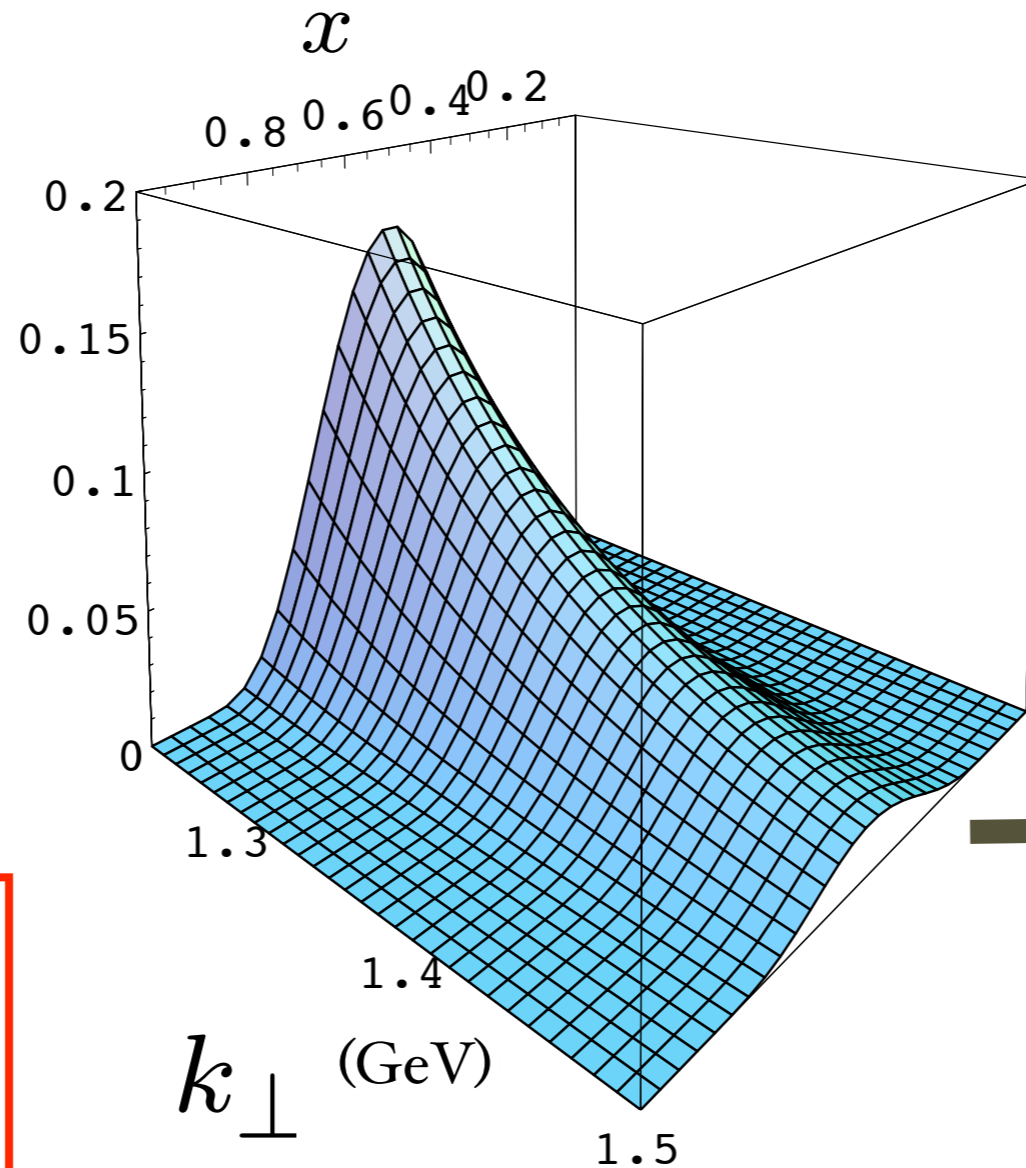
**We do not make observations at one time  $t$ !**

# Prediction from AdS/QCD: Meson LFWF

de Teramond,  
Cao, sjb

“Soft Wall”  
model

$$\psi_M(x, k_\perp^2)$$



massless quarks

**Note coupling**

$$k_\perp^2, x$$

$$\psi_M(x, k_\perp) = \frac{4\pi}{\kappa \sqrt{x(1-x)}} e^{-\frac{k_\perp^2}{2\kappa^2 x(1-x)}}$$

$$\phi_\pi(x) = \frac{4}{\sqrt{3}\pi} f_\pi \sqrt{x(1-x)}$$

$$f_\pi = \sqrt{P_{q\bar{q}}} \frac{\sqrt{3}}{8} \kappa = 92.4 \text{ MeV}$$

Provides Connection of Confinement to Hadron Structure



$$\psi_n(\vec{k}_{\perp i}, x_i) \propto \frac{1}{\kappa^{n-1}} e^{-\mathcal{M}_n^2/2\kappa^2} \prod_{j=1}^n \frac{1}{\sqrt{x_j}}$$

## Properties of Color-Confining LFWF

- minimal  $\mathcal{M}_n^2 = \sum_{i=1}^n \left( \frac{k_{\perp}^2 + m^2}{x} \right)_i$
- Maximum when  $x_i \propto m_{\perp i} = \sqrt{m_i^2 + k_{\perp i}^2}$
- Maximum overlap at matching rapidity

$$y = \frac{1}{2} \log \frac{k^+}{k^-} = \log \frac{x P^+}{m_{\perp}}$$

*Frame independent*  $\Delta y = y_a - y_b = \log \frac{x_a}{m_{\perp a}} - \log \frac{x_b}{m_{\perp b}}$

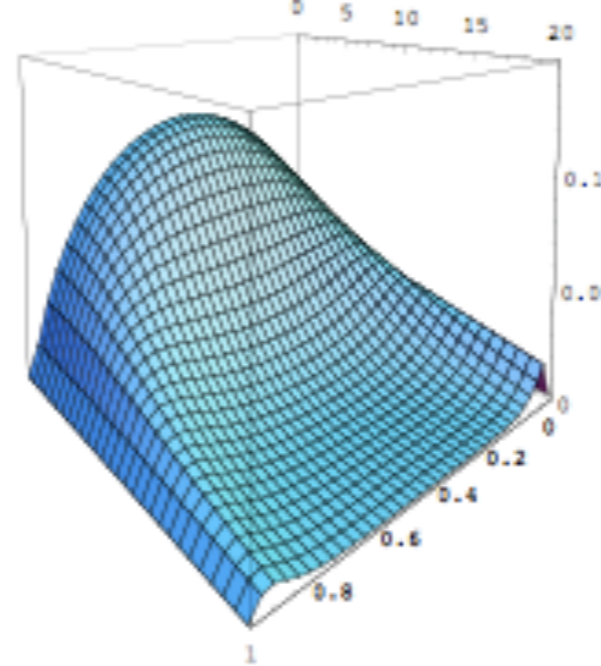
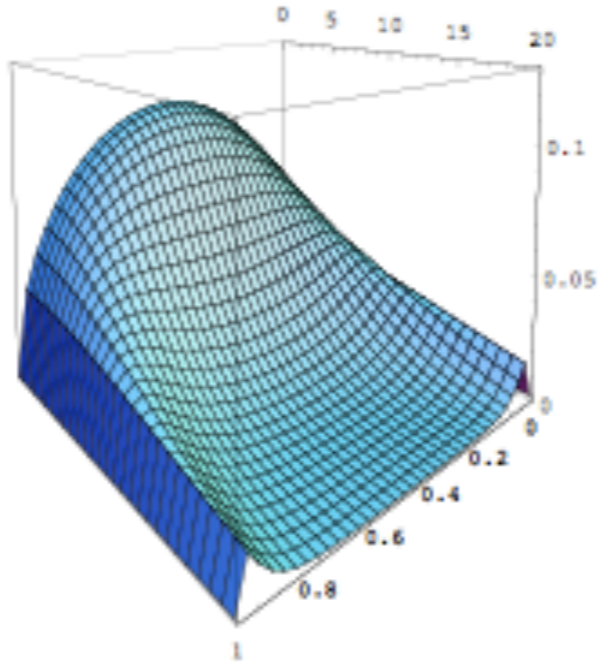
*Relative to proton*  $\Delta y = y_H - y_p = \log \frac{x_H}{m_{\perp H}/m_p}$

**Feynman: Correlations with proton  $\Delta y < 2$**

$$|\pi^+\rangle = |u\bar{d}\rangle$$

$$m_u = 2 \text{ MeV}$$

$$m_d = 5 \text{ MeV}$$

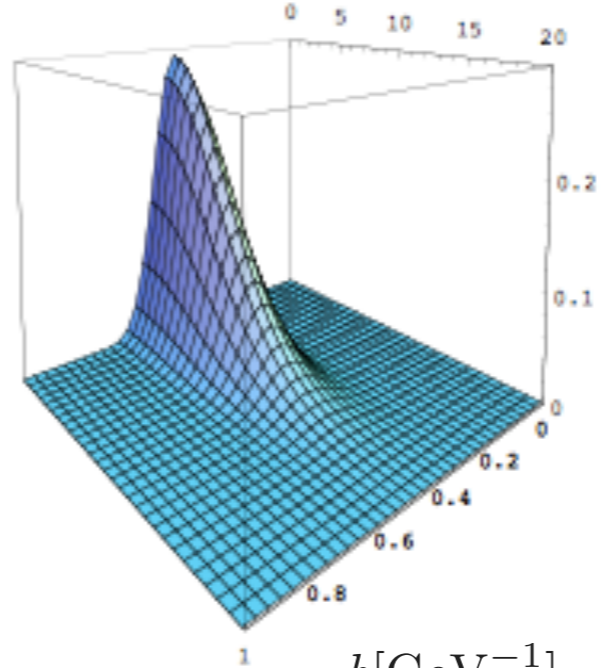
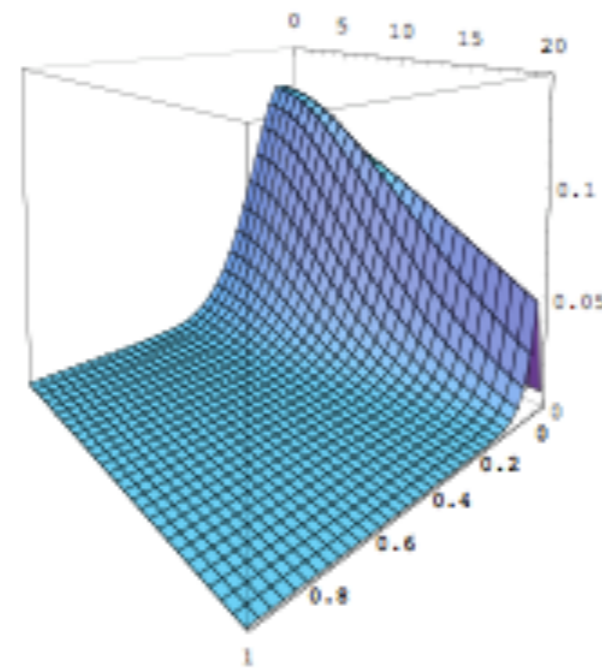


$$|K^+\rangle = |u\bar{s}\rangle$$

$$m_s = 95 \text{ MeV}$$

$$|D^+\rangle = |c\bar{d}\rangle$$

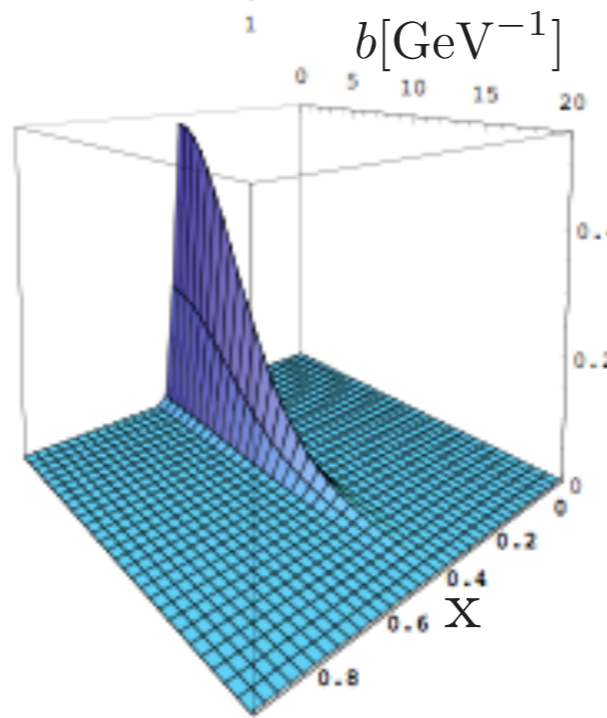
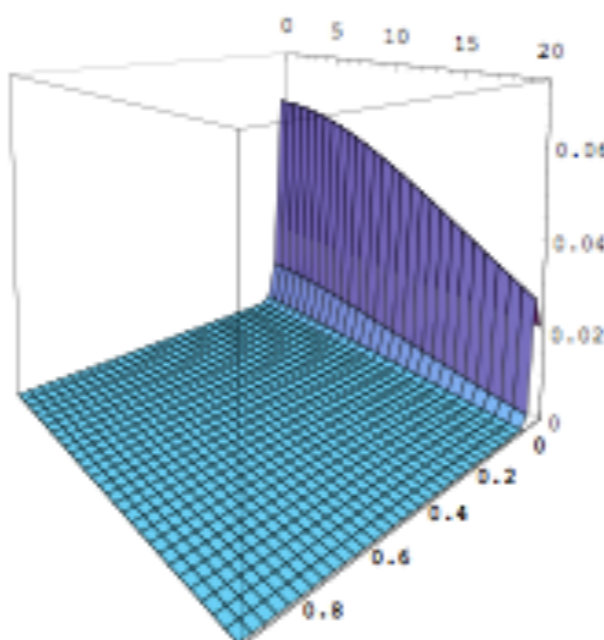
$$m_c = 1.25 \text{ GeV}$$



$$|\eta_c\rangle = |c\bar{c}\rangle$$

$$|B^+\rangle = |u\bar{b}\rangle$$

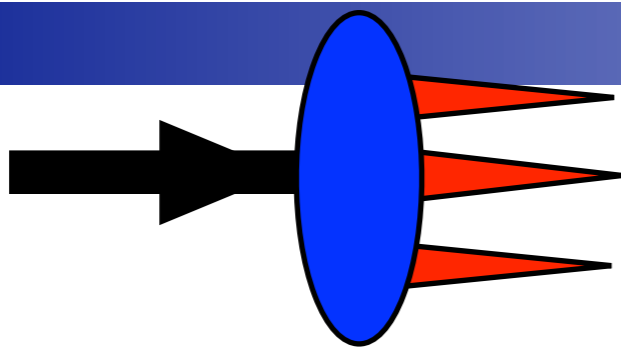
$$m_b = 4.2 \text{ GeV}$$



$$|\eta_b\rangle = |b\bar{b}\rangle$$

$$\kappa = 375 \text{ MeV}$$

• *Light Front Wavefunctions:*



$$\Psi_n(x_i, \vec{k}_{\perp i}, \lambda_i)$$

GTMDs

Momentum space  $\vec{k}_{\perp} \leftrightarrow \vec{z}_{\perp}$  Position space  
 $\vec{\Delta}_{\perp} \leftrightarrow \vec{b}_{\perp}$

Transverse density in momentum space

Transverse density in position space

$x, \vec{k}_{\perp}, \vec{b}_{\perp}$

TMDs

$x, \vec{k}_{\perp}$

TMFFs

$\vec{k}_{\perp}, \vec{b}_{\perp}$

GPDs

$x, \vec{b}_{\perp}$

*Lorce,  
Pasquini*

TMSDs

$\vec{k}_{\perp}$

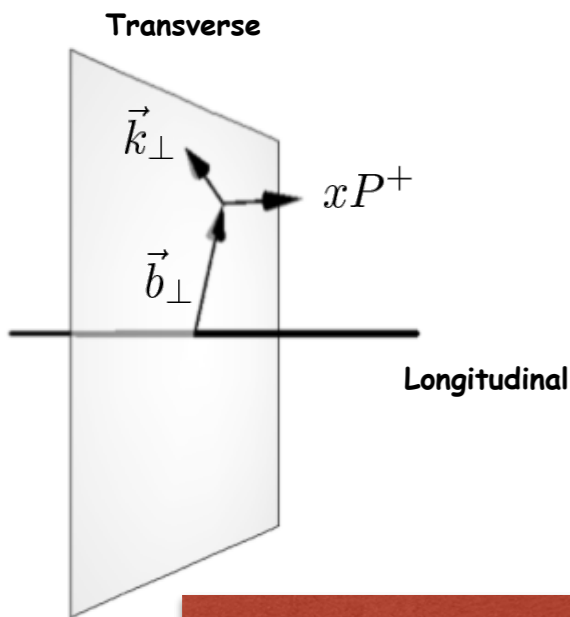
PDFs

$x,$

FFs

$\vec{b}_{\perp}$

Charges



→  $\int d^2 b_{\perp}$   
 →  $\int dx$   
 →  $\int d^2 k_{\perp}$

+ Factorization-Breaking Lensing Corrections: Sivers, T-odd

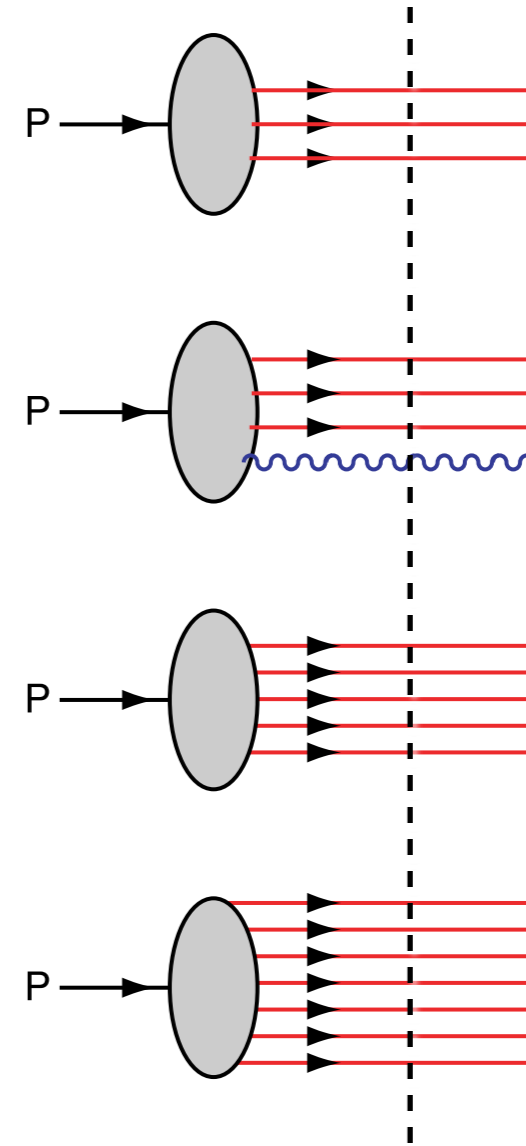
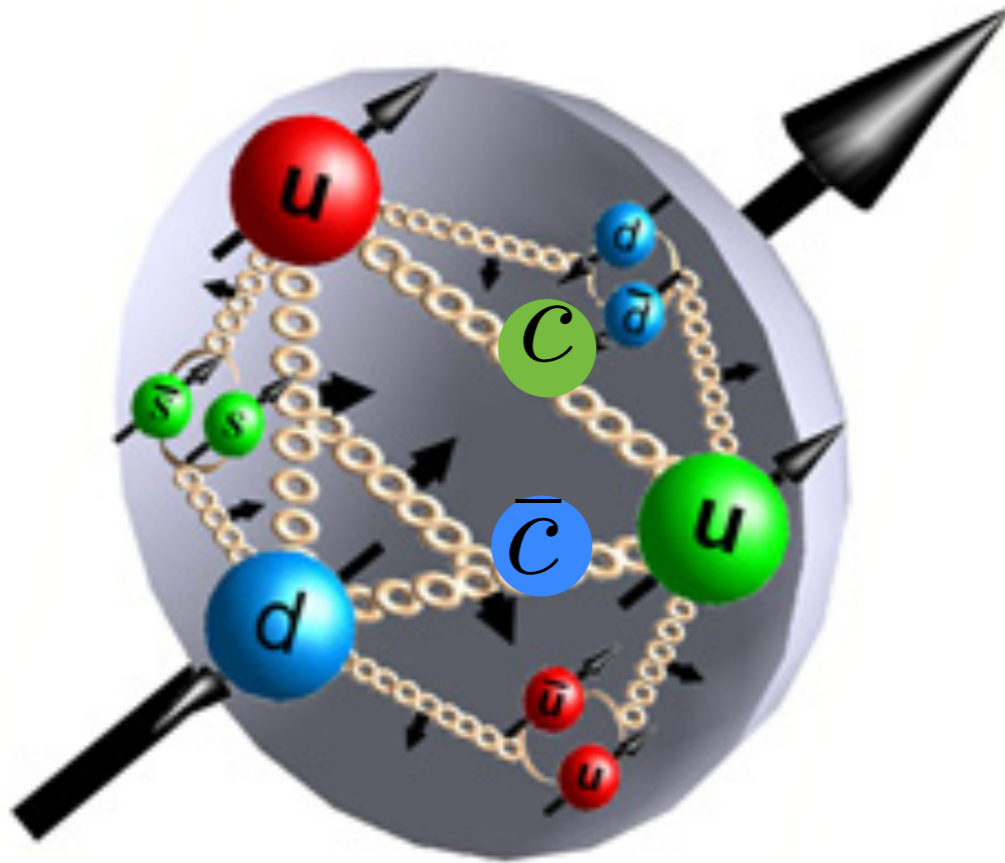


# Wavefunction at fixed LF time: Arbitrarily Off-Shell in Invariant Mass

$$H_{LF}^{QCD} |\psi\rangle = M^2 |\psi\rangle$$

## Higher Fock States of the Proton:

$$|p, J_z\rangle = \sum_{n=3} \psi_n(x_i, \vec{k}_{\perp i}, \lambda_i) |n; x_i, \vec{k}_{\perp i}, \lambda_i\rangle$$



Fixed LF time

Eigenstate of LF Hamiltonian: all Fock states contribute

$$|p, S_z\rangle = \sum_{n=3} \Psi_n(x_i, \vec{k}_{\perp i}, \lambda_i) |n; \vec{k}_{\perp i}, \lambda_i\rangle$$

*sum over states with  $n=3, 4, \dots$  constituents*

The Light Front Fock State Wavefunctions

$$\Psi_n(x_i, \vec{k}_{\perp i}, \lambda_i)$$

are boost invariant; they are independent of the hadron's energy and momentum  $P^\mu$ .

The light-cone momentum fraction

$$x_i = \frac{k_i^+}{p^+} = \frac{k_i^0 + k_i^z}{P^0 + P^z}$$

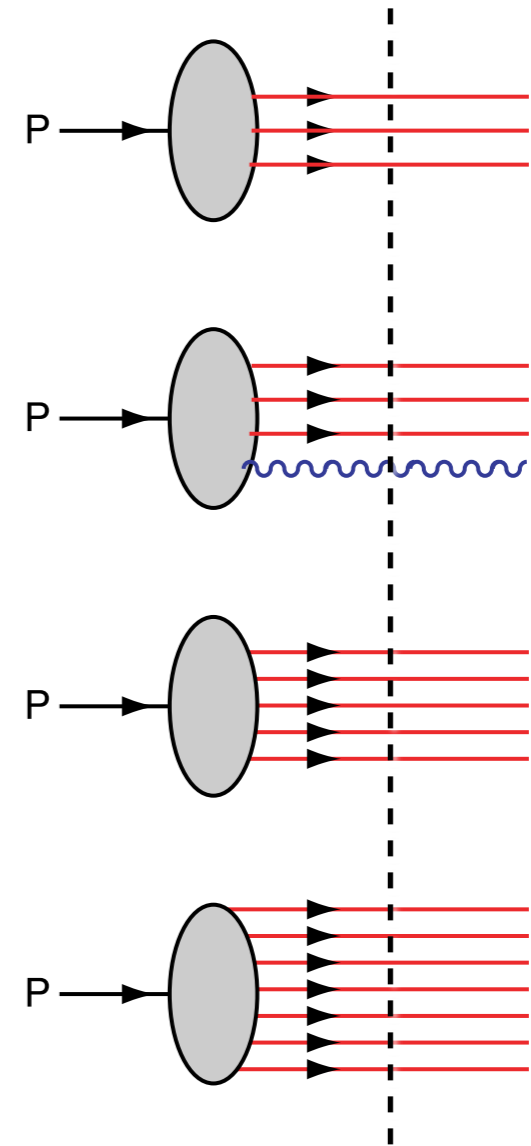
are boost invariant.

$$\sum_i^n k_i^+ = P^+, \quad \sum_i^n x_i = 1, \quad \sum_i^n \vec{k}_i^\perp = \vec{0}^\perp.$$

*Intrinsic heavy quarks*  
 **$s(x), c(x), b(x)$  at high  $x$ !**

$$\bar{s}(x) \neq s(x)$$

$$\bar{u}(x) \neq \bar{d}(x)$$



*Fixed LF time*  
 $\tau = t + z/c$

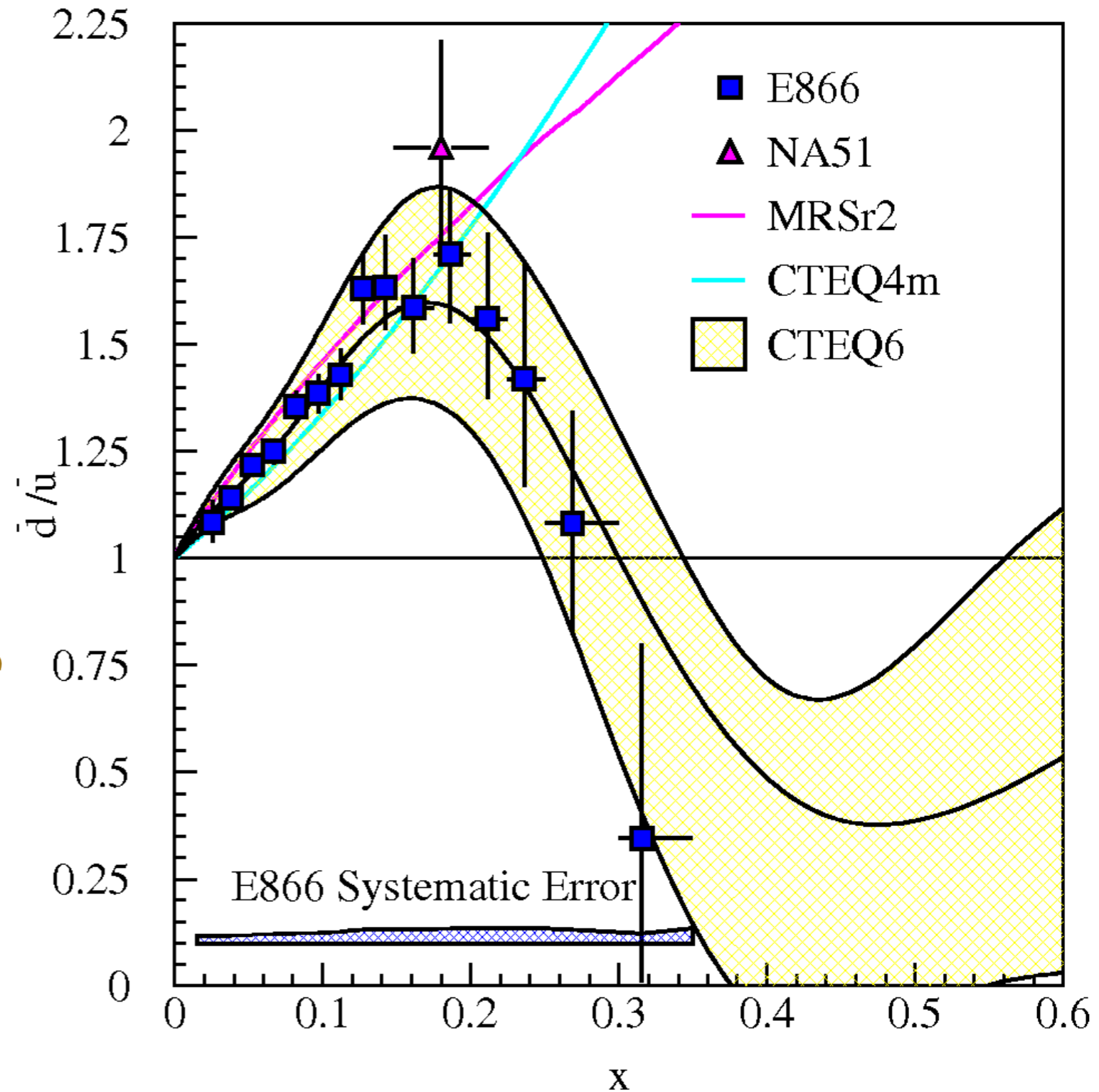
$\bar{d}(x)/\bar{u}(x)$  for  $0.015 \leq x \leq 0.35$

■ E866/NuSea (Drell-Yan)

$$\bar{d}(x) \neq \bar{u}(x)$$

*Interactions of quarks at same rapidity in 5-quark Fock state*

*Intrinsic sea quarks*

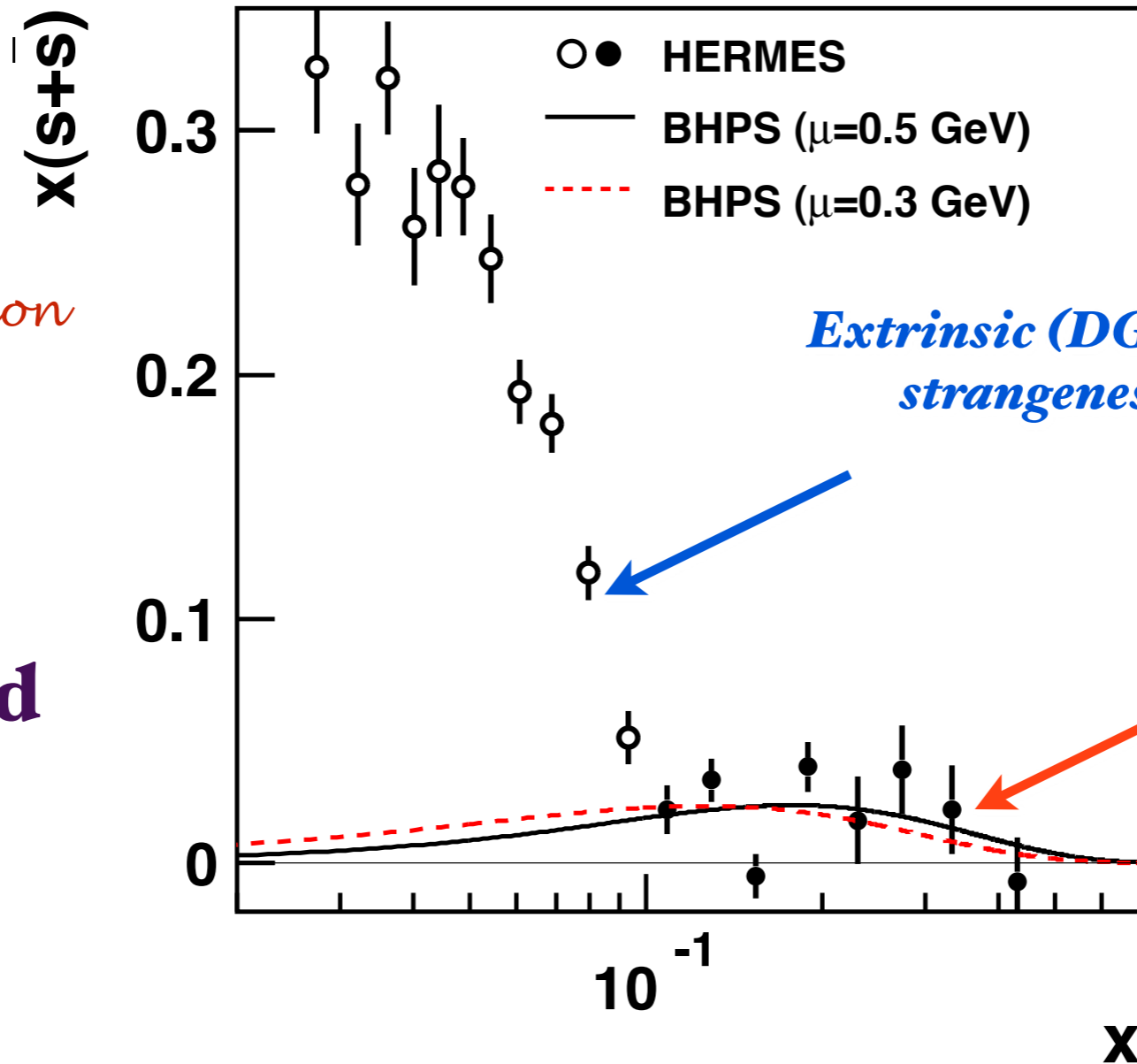




# HERMES: Two components to $s(x, Q^2)$ !

BHPS: Hoyer, Sakai, Peterson, sjb

*Sensitive to Fragmentation Function*



*Intrinsic strangeness!*

**Consistent with intrinsic charm data**

QCD:  $\frac{1}{M_Q^2}$  scaling

Comparison of the HERMES  $x(s(x) + \bar{s}(x))$  data with the calculations based on the BHPS model. The solid and dashed curves are obtained by evolving the BHPS result to  $Q^2 = 2.5 \text{ GeV}^2$  using  $\mu = 0.5 \text{ GeV}$  and  $\mu = 0.3 \text{ GeV}$ , respectively. The normalizations of the calculations are adjusted to fit the data at  $x > 0.1$  with statistical errors only, denoted by solid circles.

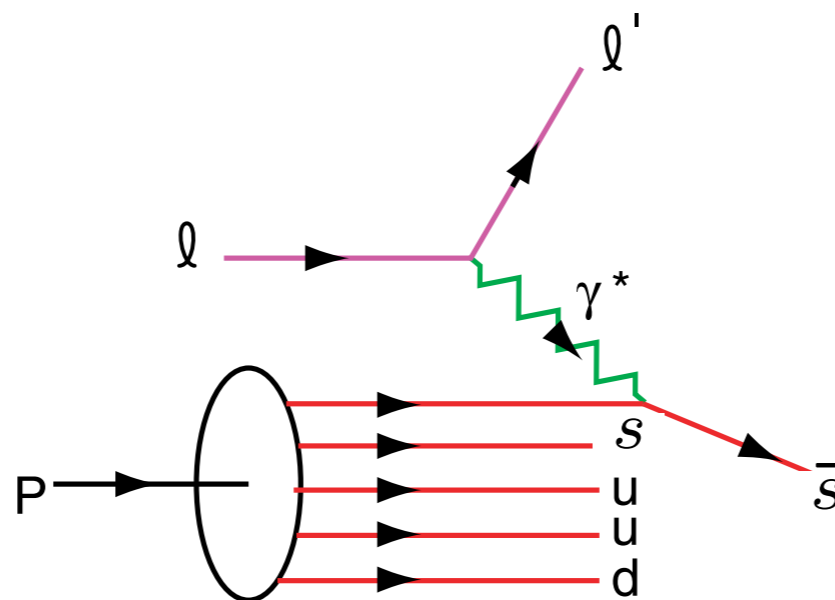
**W. C. Chang and J.-C. Peng**  
arXiv:1105.2381

$$s(x, Q^2) = s(x, Q^2)_{\text{extrinsic}} + s(x, Q^2)_{\text{intrinsic}}$$

# Measure strangeness distribution in Semi-Inclusive DIS at JLab

$$\text{Is } s(x) = \bar{s}(x)?$$

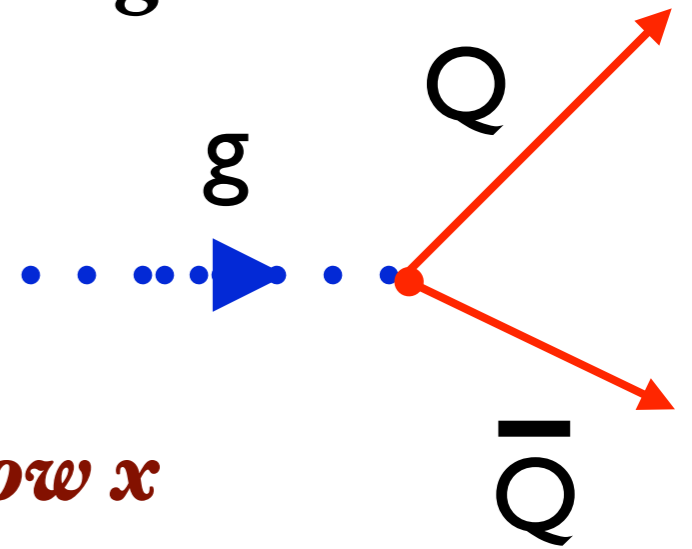
- **Non-symmetric strange and antistrange sea?**
- **Non-perturbative physics; e.g**  $|uuds\bar{s}\rangle \simeq |\Lambda(uds)K^+(\bar{s}u)\rangle$
- **Important for interpreting NuTeV anomaly** **B. Q. Ma, sjb**



**Tag struck quark flavor in semi-inclusive DIS**  $ep \rightarrow e' K^+ X$

# *Do heavy quarks exist in the proton at high $x$ ?*

*Conventional wisdom:  
gluon splitting*



*Heavy quarks generated only at low  $x$   
via DGLAP evolution  
from gluon splitting*

*Maximally off-shell - requires low  $x$ , high  $W^2$*

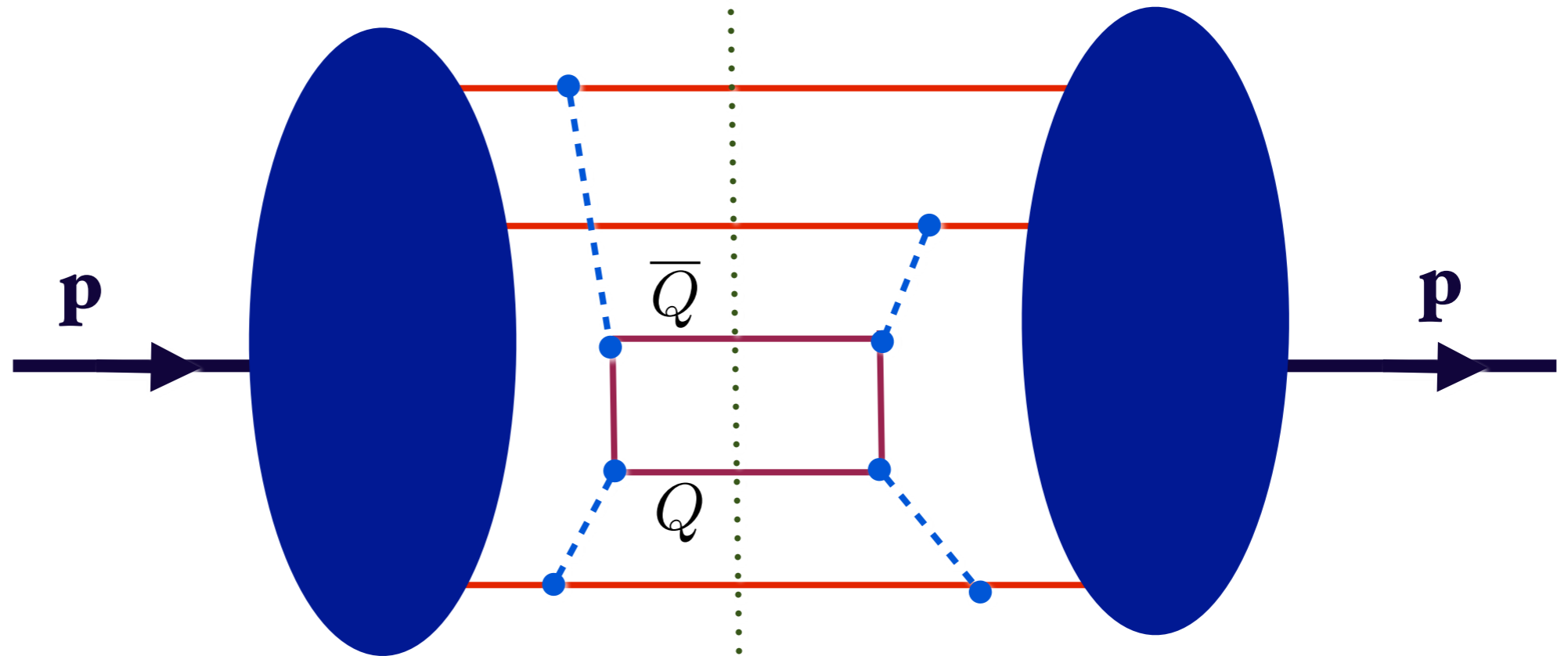
$$s(x, \mu_F^2) = c(x, \mu_F^2) = b(x, \mu_F^2) \equiv 0$$

at starting scale  $Q_0^2 = \mu_F^2$

*Conventional wisdom is wrong even in QED!*

*Fixed LF time*

*Proton Self Energy  
Intrinsic Heavy Quarks*



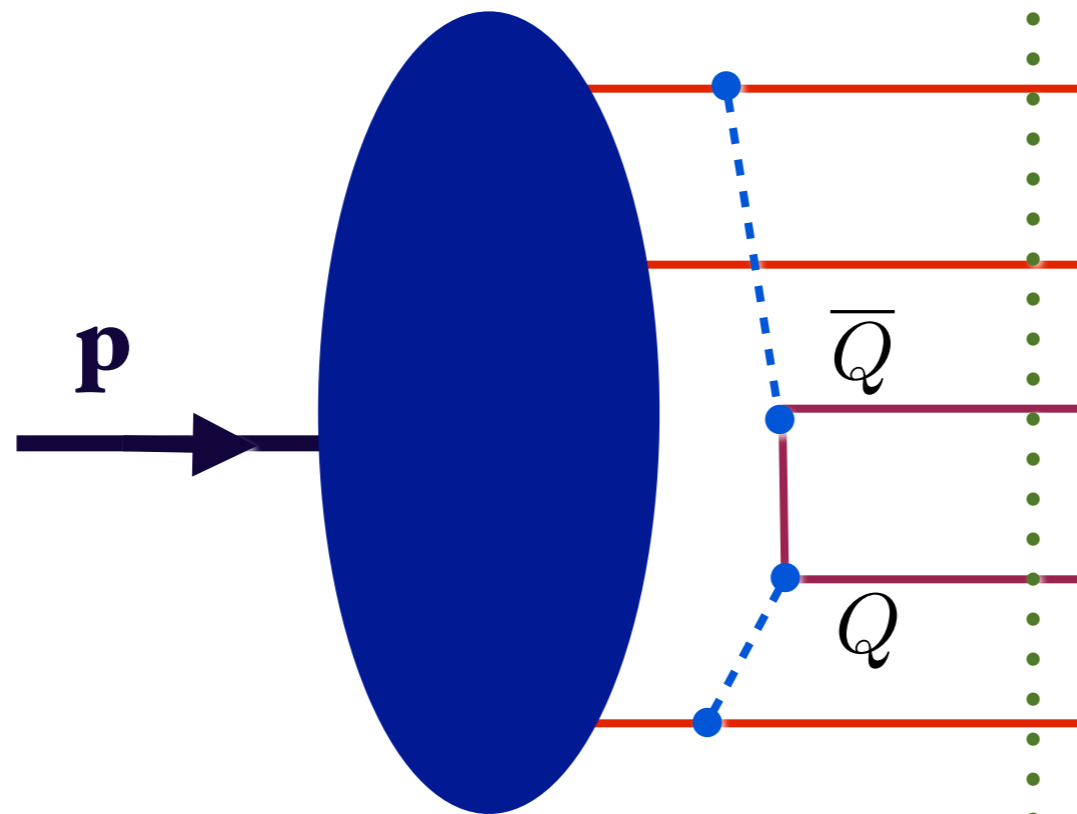
Probability (QED)  $\propto \frac{1}{M_\ell^4}$

Probability (QCD)  $\propto \frac{1}{M_Q^2}$

**Rigorous OPE Analysis**

**Collins, Ellis, Gunion, Mueller, sjb  
M. Polyakov, et al.**

*Proton 5-quark Fock State:  
Intrinsic Heavy Quarks*



*QCD predicts  
Intrinsic Heavy  
Quarks at high  $x$ !*

**Minimal off-shellness**

$$x_Q \propto (m_Q^2 + k_{\perp}^2)^{1/2}$$

**Maximum at Equal rapidity!**

Probability (QED)  $\propto \frac{1}{M_{\ell}^4}$

Probability (QCD)  $\propto \frac{1}{M_Q^2}$

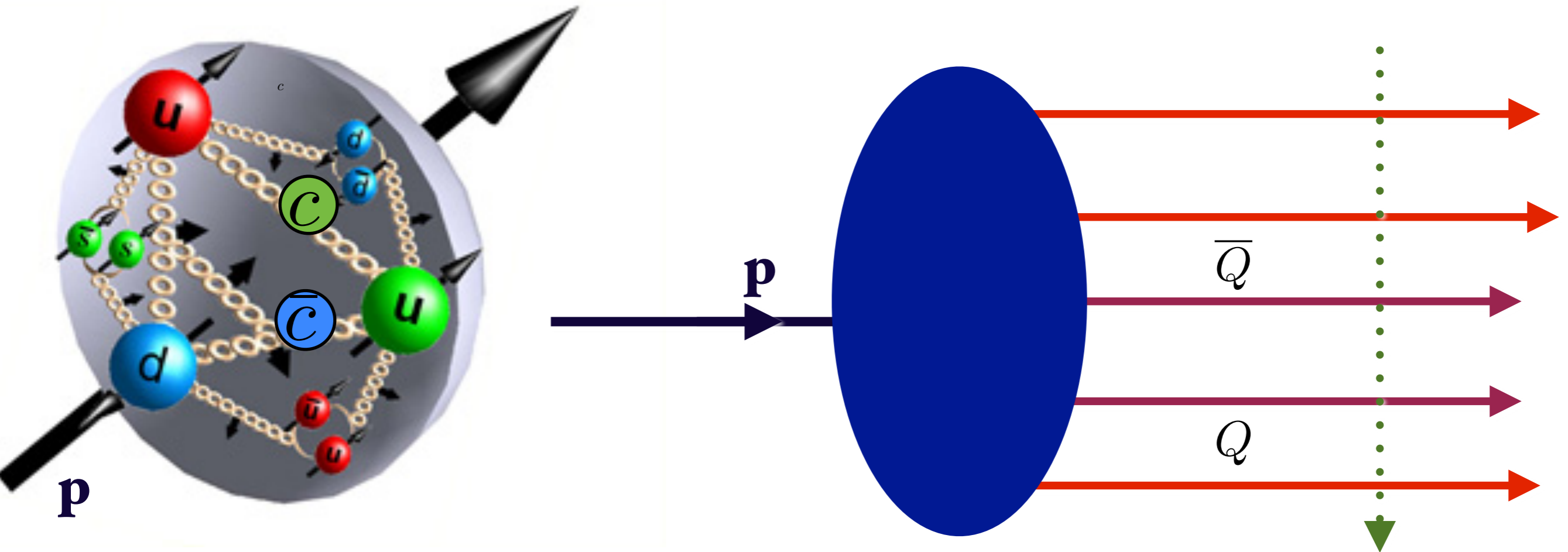
Rigorous OPE  
Analysis

**Collins, Ellis, Gunion, Mueller, sjb  
Polyakov, et al.**

# Color confinement potential from AdS/QCD

$$U(\zeta^2) = \kappa^4 \zeta^2 = b_{\perp}^2 x(1-x)$$

Fixed  $\tau = t + z/c$



$$\psi_n(\vec{k}_{\perp i}, x_i) \propto \frac{1}{\kappa^{n-1}} e^{-\mathcal{M}_n^2/2\kappa^2} \prod_{j=1}^n \frac{1}{\sqrt{x_j}}$$

$$\mathcal{M}_n^2 = \sum_{i=1}^n \left( \frac{k_{\perp}^2 + m^2}{x} \right)_i$$



$$\psi_n(\vec{k}_{\perp i}, x_i) \propto \frac{1}{\kappa^{n-1}} e^{-\mathcal{M}_n^2/2\kappa^2} \prod_{j=1}^n \frac{1}{\sqrt{x_j}}$$

## Properties of Color-Confining LFWF

- minimal  $\mathcal{M}_n^2 = \sum_{i=1}^n \left( \frac{k_{\perp}^2 + m^2}{x} \right)_i$
- Maximum when  $x_i \propto m_{\perp i} = \sqrt{m_i^2 + k_{\perp i}^2}$
- Maximum overlap at matching rapidity

$$y = \frac{1}{2} \log \frac{k^+}{k^-} = \log \frac{x P^+}{m_{\perp}}$$

*Frame independent*  $\Delta y = y_a - y_b = \log \frac{x_a}{m_{\perp a}} - \log \frac{x_b}{m_{\perp b}}$

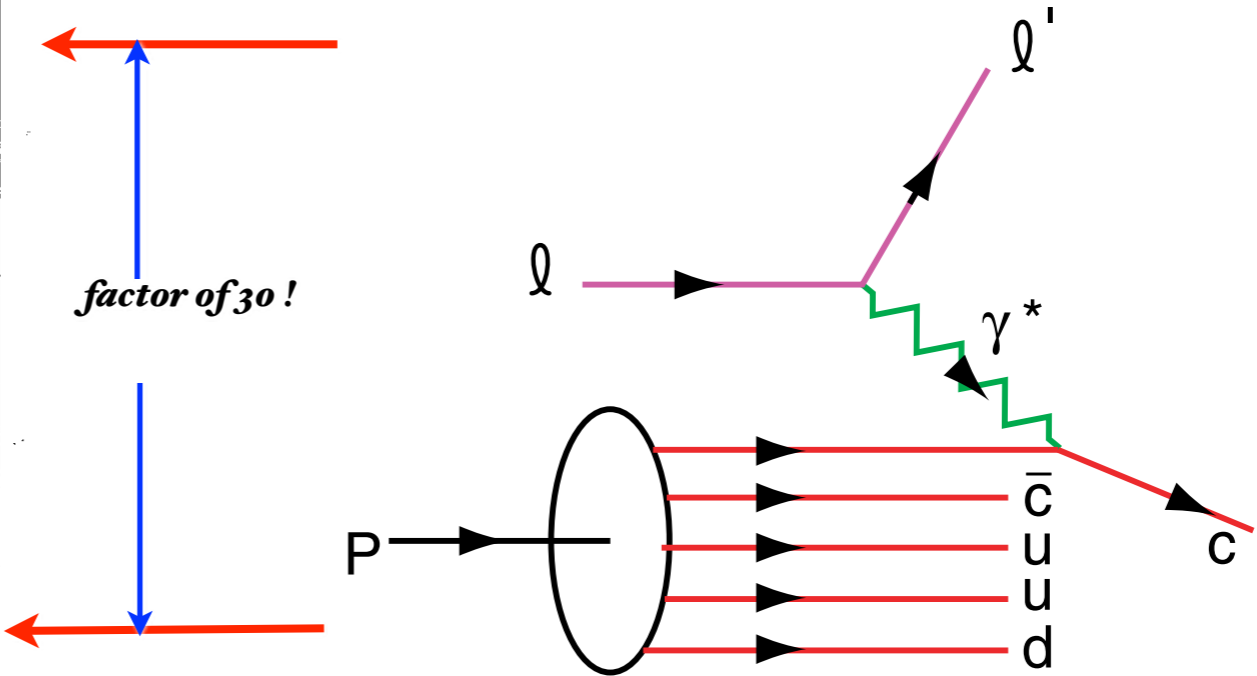
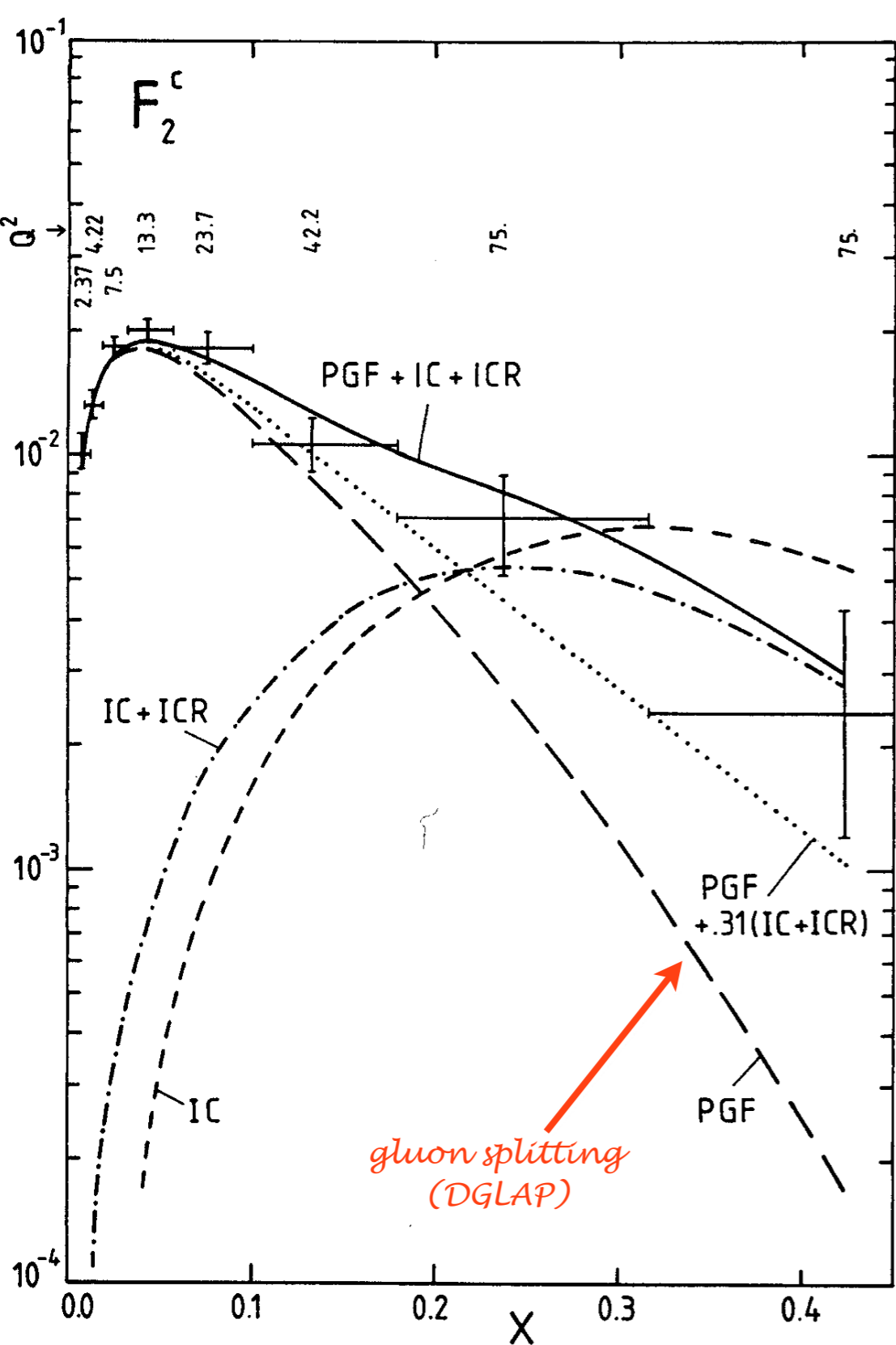
*Relative to proton*  $\Delta y = y_H - y_p = \log \frac{x_H}{m_{\perp H}/m_p}$

Feynman: Correlations with parent proton  $\Delta y < 2$

# Measurement of Charm Structure Function

J. J. Aubert et al. [European Muon Collaboration], "Production Of Charmed Particles In 250-GeV Mu+ - Iron Interactions," Nucl. Phys. B 213, 31 (1983).

## First Evidence for Intrinsic Charm Hoyer, Peterson, Sakai, sjb



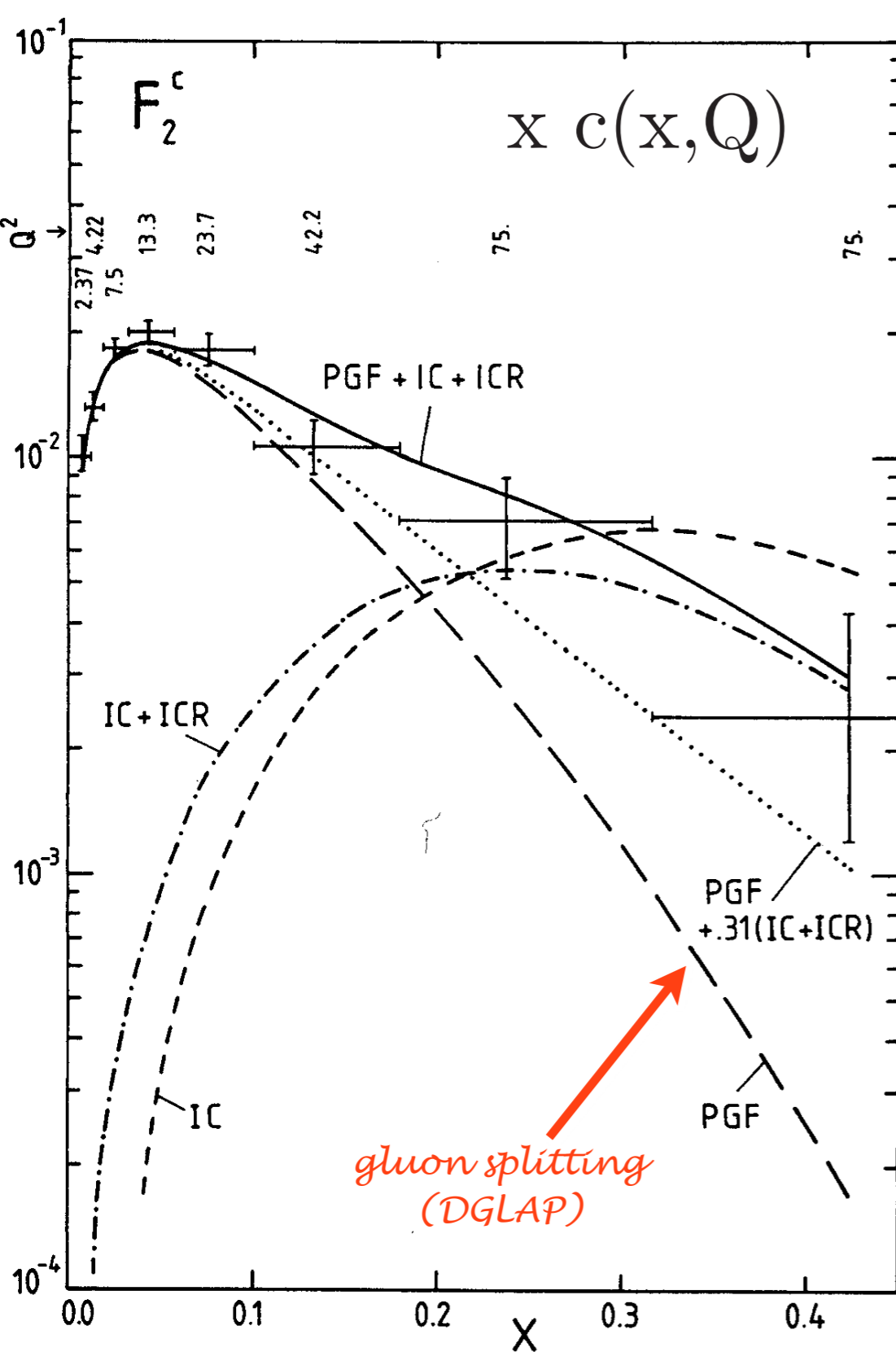
**DGLAP / Photon-Gluon Fusion: factor of 30 too small**

*Two Components (separate evolution):*

$$c(x, Q^2) = c(x, Q^2)_{\text{extrinsic}} + c(x, Q^2)_{\text{intrinsic}}$$

## Measurement of Charm Structure Function!

J. J. Aubert et al. [European Muon Collaboration], "Production Of Charmed Particles In 250-GeV Mu+ - Iron Interactions," Nucl. Phys. B 213, 31 (1983).

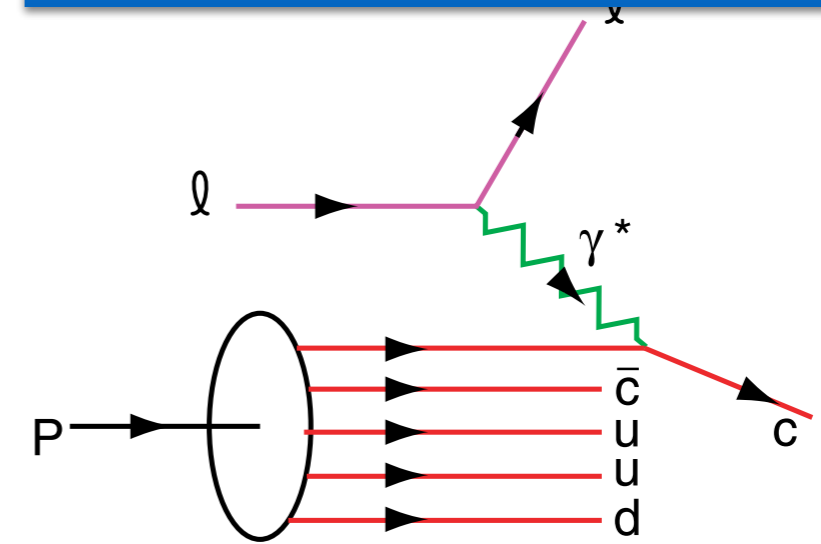


## Evidence for Intrinsic Charm

$$\langle x_{c\bar{c}} \rangle_p \simeq 1\%$$

New Analysis:  
 R.D. Ball, et al. [NNPDF Collaboration],  
 "A Determination of the Charm Content  
 of the Proton,"  
 arXiv:1605.06515 [hep-ph].

factor of 30!



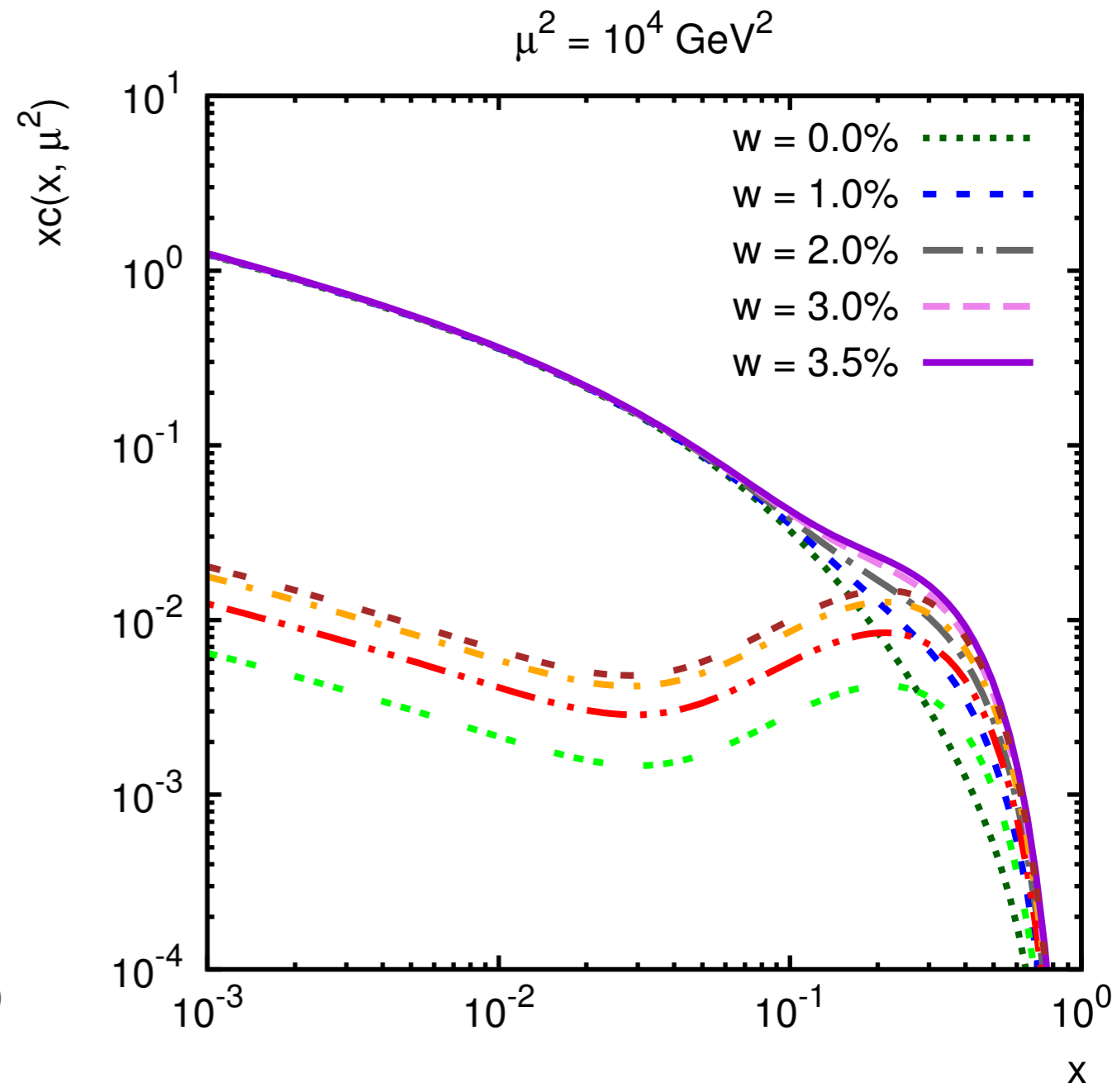
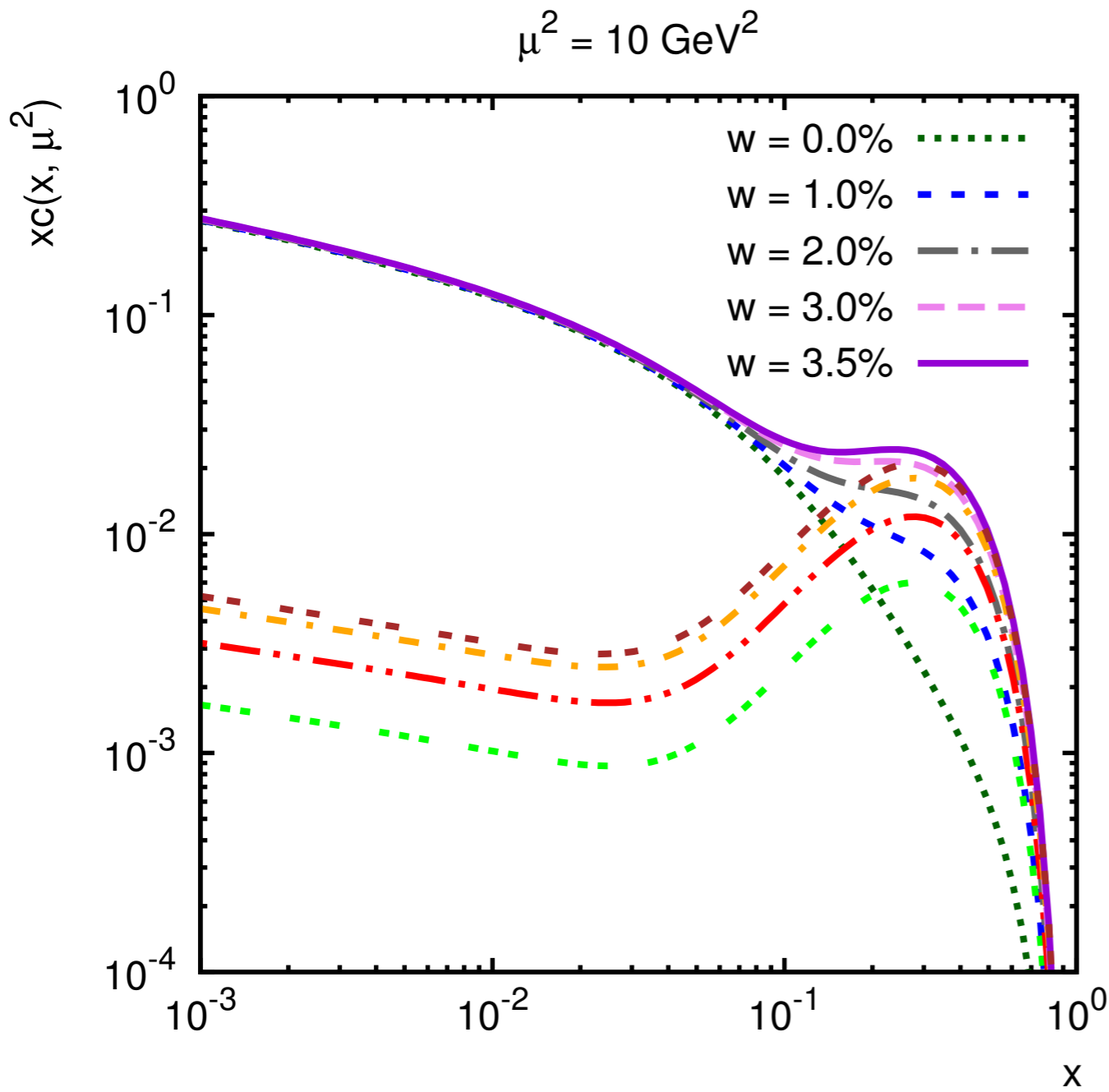
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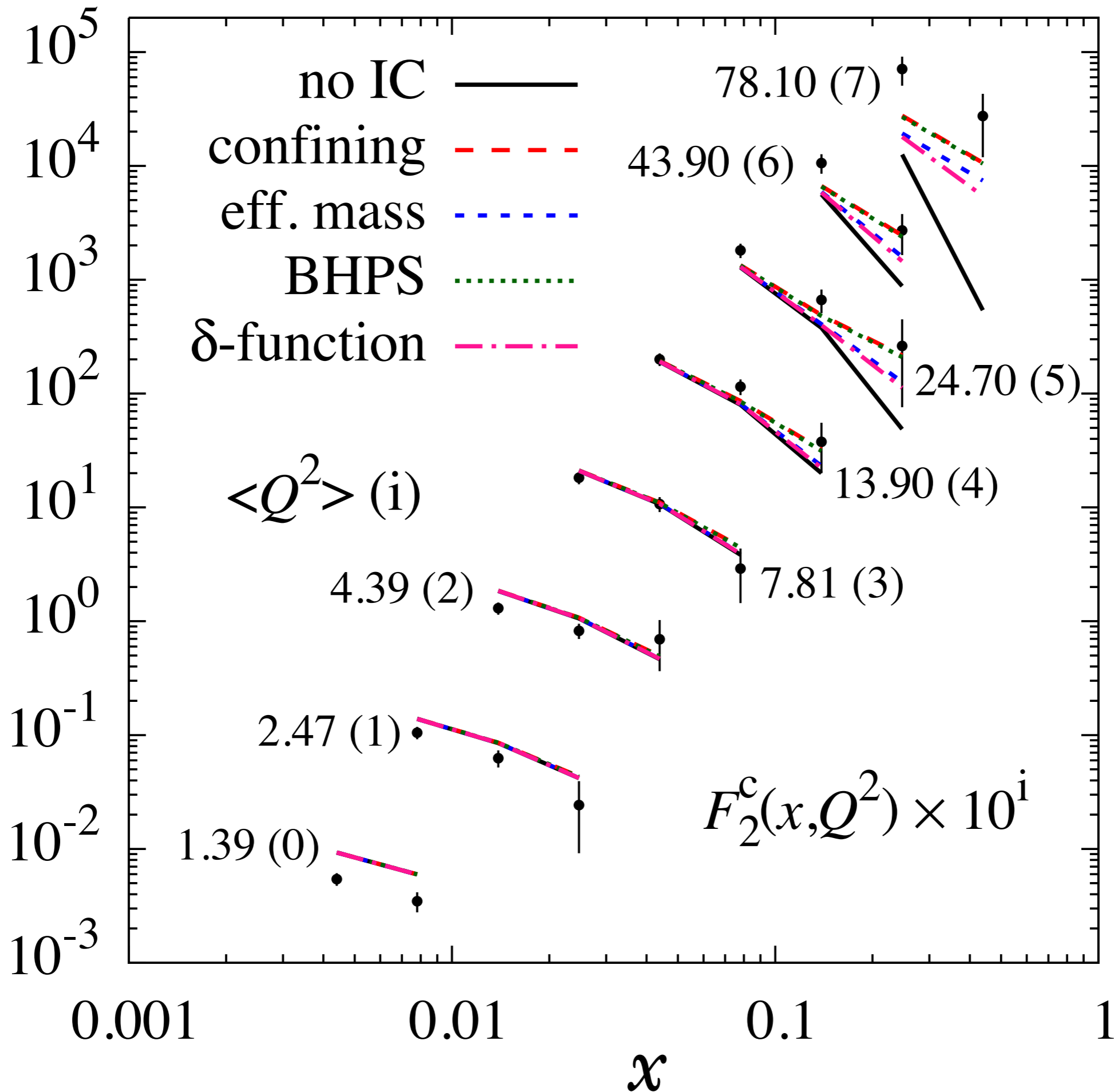
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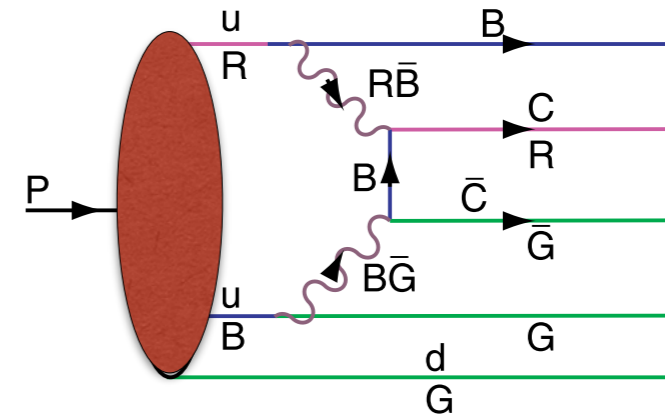
$$x c(x, Q)$$

x





# Intrinsic Heavy-Quark Fock



- **Rigorous prediction of QCD, OPE**

- **Color-Octet Color-Octet Fock State**

- **Probability**

$$P_{Q\bar{Q}} \propto \frac{1}{M_Q^2} \quad P_{Q\bar{Q}Q\bar{Q}} \sim \alpha_s^2 P_{Q\bar{Q}} \quad P_{c\bar{c}/p} \simeq 1\%$$

- **Large Effect at high x**

- **Greatly increases kinematics of colliders such as Higgs production (Kopeliovich, Schmidt, Soffer, sjb)**

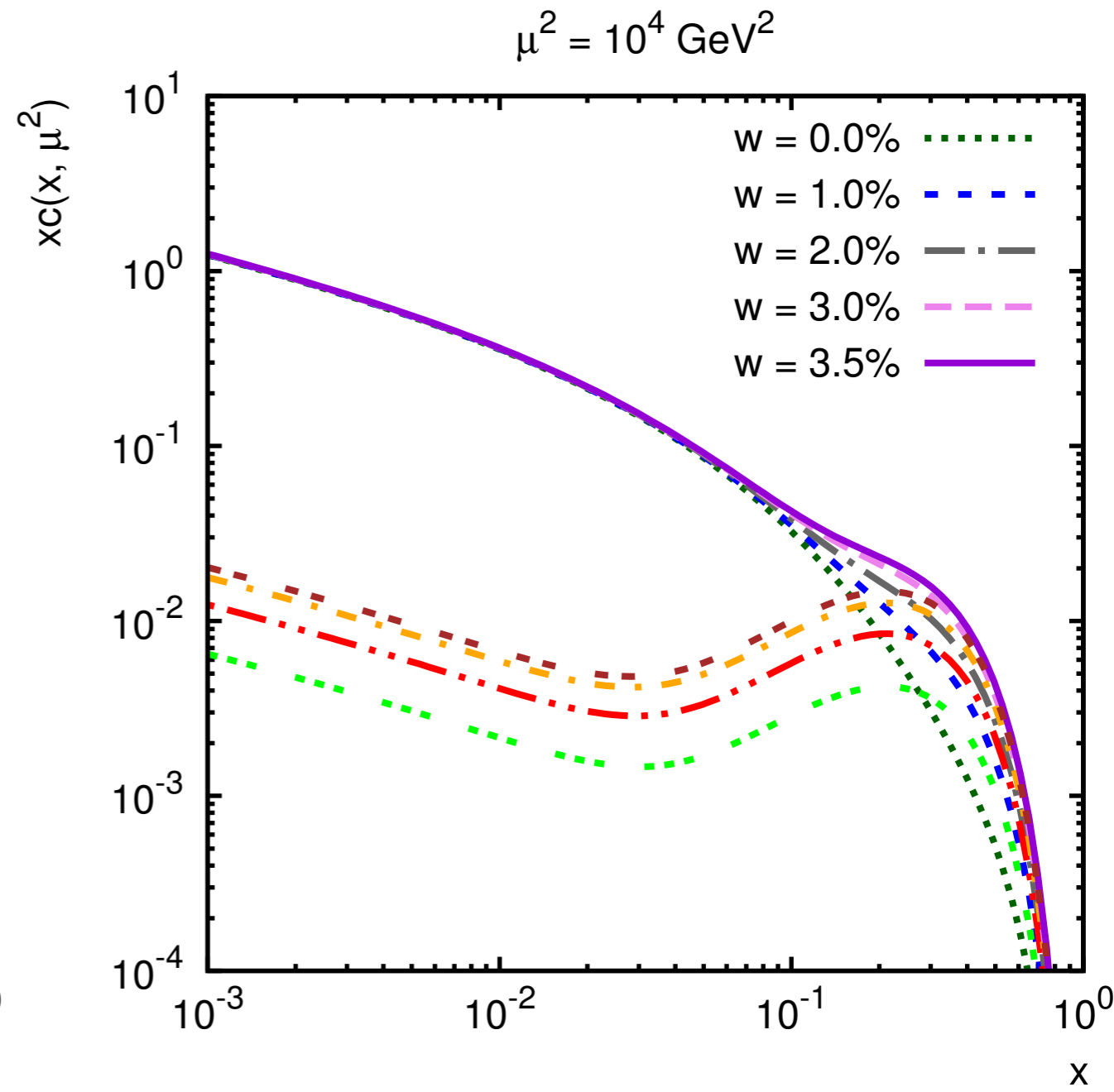
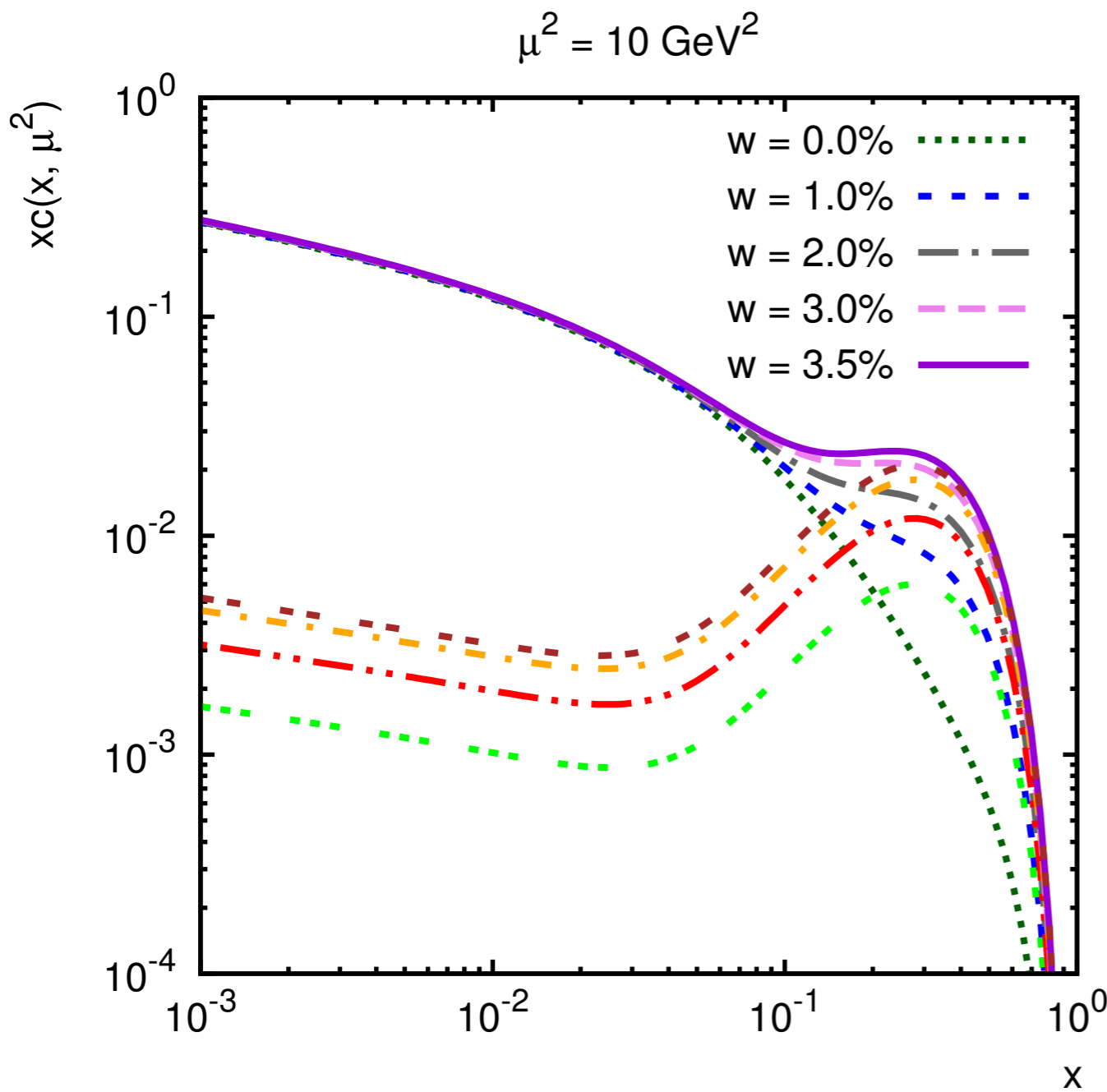
- **Underestimated in conventional parameterizations of heavy quark distributions (Pumplin, Tung)**

- **Many EIC tests**

OPE: Collins, S. Ellis, Gunion, Mueller, sjb  
 Franz, Goecke, M. Polyakov,

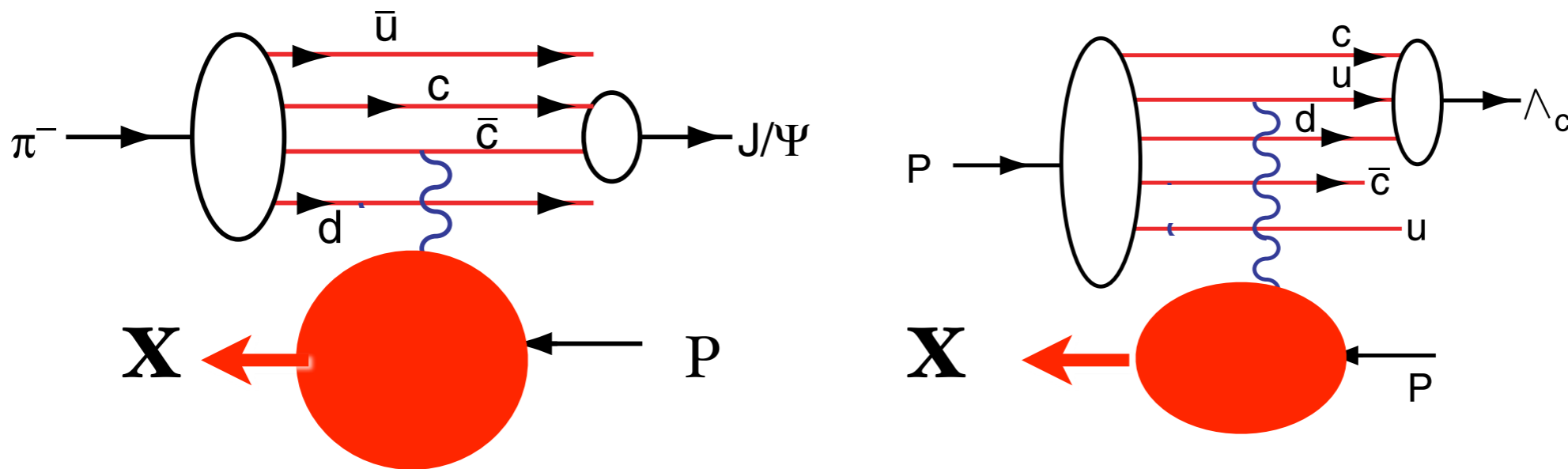


$xc(x, \mu^2)$



$$w = P_{cc\bar{c}}^{\text{intrinsic}}$$

# Coalescence of comovers produces high $x_F$ heavy hadrons

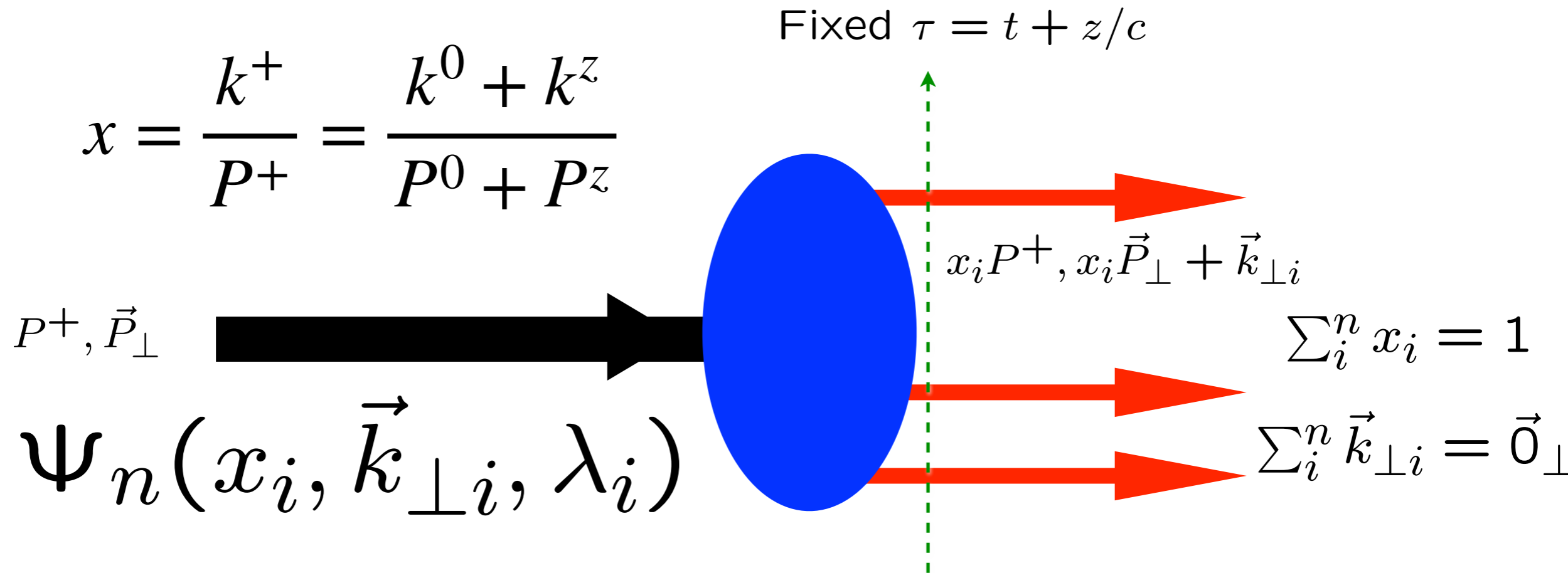


**Spectator counting rules**

$$\frac{dN}{dx_F} \propto (1 - x_F)^{2n_{spect} - 1}$$

Coalescence of Comoving Charm and Valence Quarks  
Produce  $J/\psi$ ,  $\Lambda_c$  and other Charm Hadrons at High  $x_F$

# Light-Front Wavefunctions: rigorous representation of composite systems in quantum field theory



*Invariant under boosts! Independent of  $P^\mu$*

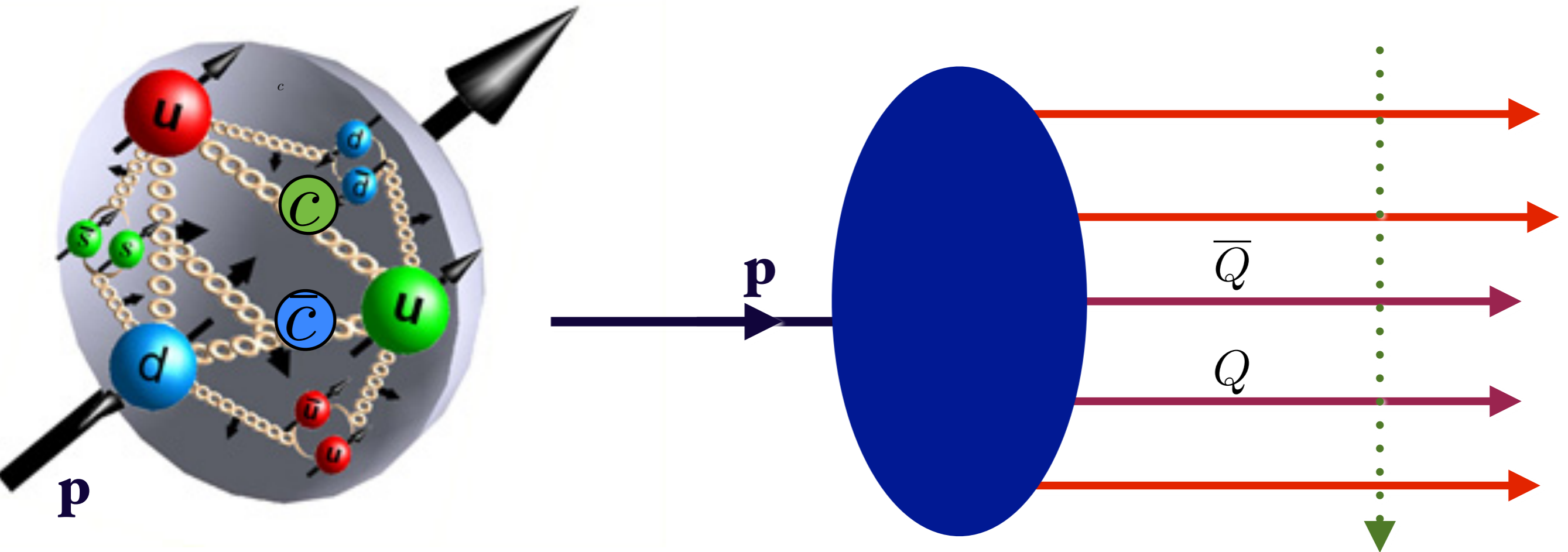
**Light-Front Wavefunctions: Off-Shell in Invariant Mass**

$$\mathcal{M}_n^2 = \left( \sum_{i=1}^n k^\mu \right)^2 = \sum_{i=1}^n \frac{k_{\perp i}^2 + m_i^2}{x_i} \quad M^2 - \mathcal{M}_n^2 < 0$$

# Color confinement potential from AdS/QCD

$$U(\zeta^2) = \kappa^4 \zeta^2 = \kappa^4 b_{\perp}^2 x(1-x)$$

Fixed  $\tau = t + z/c$



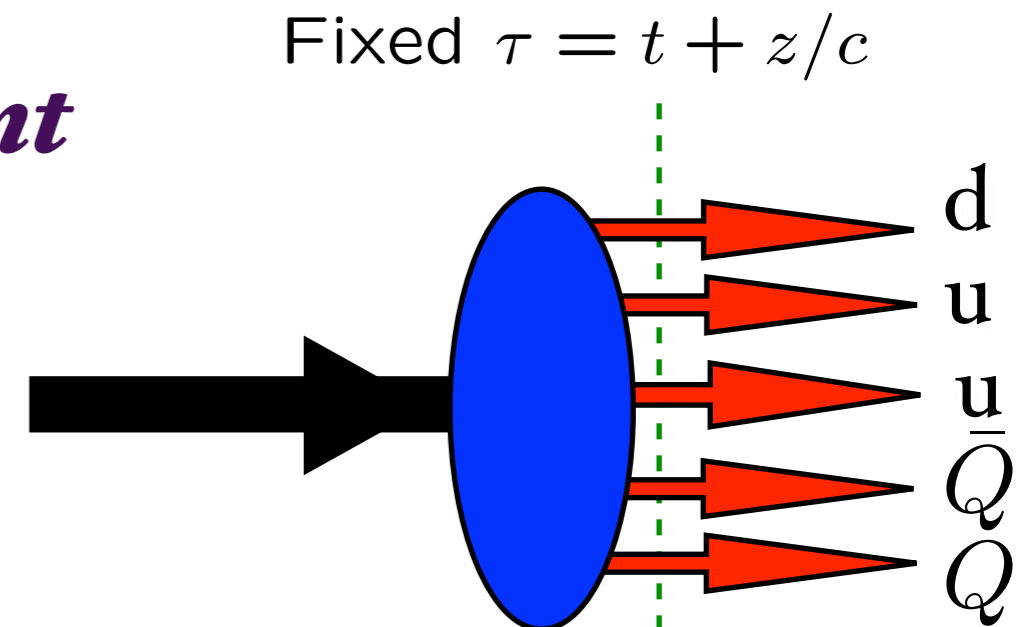
$$\psi_n(\vec{k}_{\perp i}, x_i) \propto \frac{1}{\kappa^{n-1}} e^{-\mathcal{M}_n^2/2\kappa^2} \prod_{j=1}^n \frac{1}{\sqrt{x_j}}$$

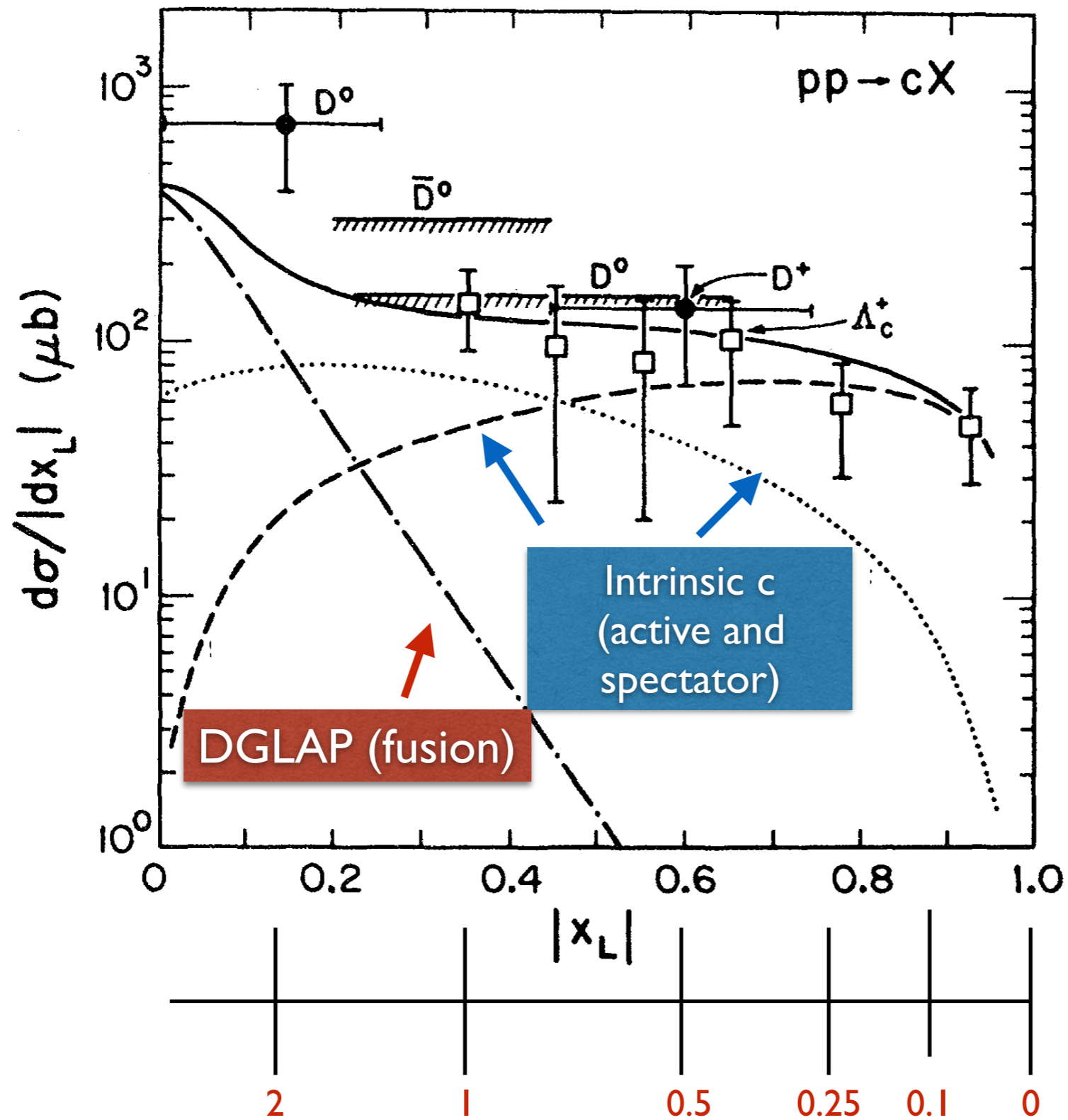
$$\mathcal{M}_n^2 = \sum_{i=1}^n \left( \frac{k_{\perp}^2 + m^2}{x} \right)_i$$

**Minimally Off-Shell**

# Properties of Non-Perturbative Five-Quark Fock-State

- *Dominant configuration: same rapidity*
- *Heavy quarks have most momentum*
- *Correlated with proton quantum numbers*
- *Duality with meson-baryon channels*
- *strangeness asymmetry at  $x > 0.1$*
- *Maximally energy efficient*



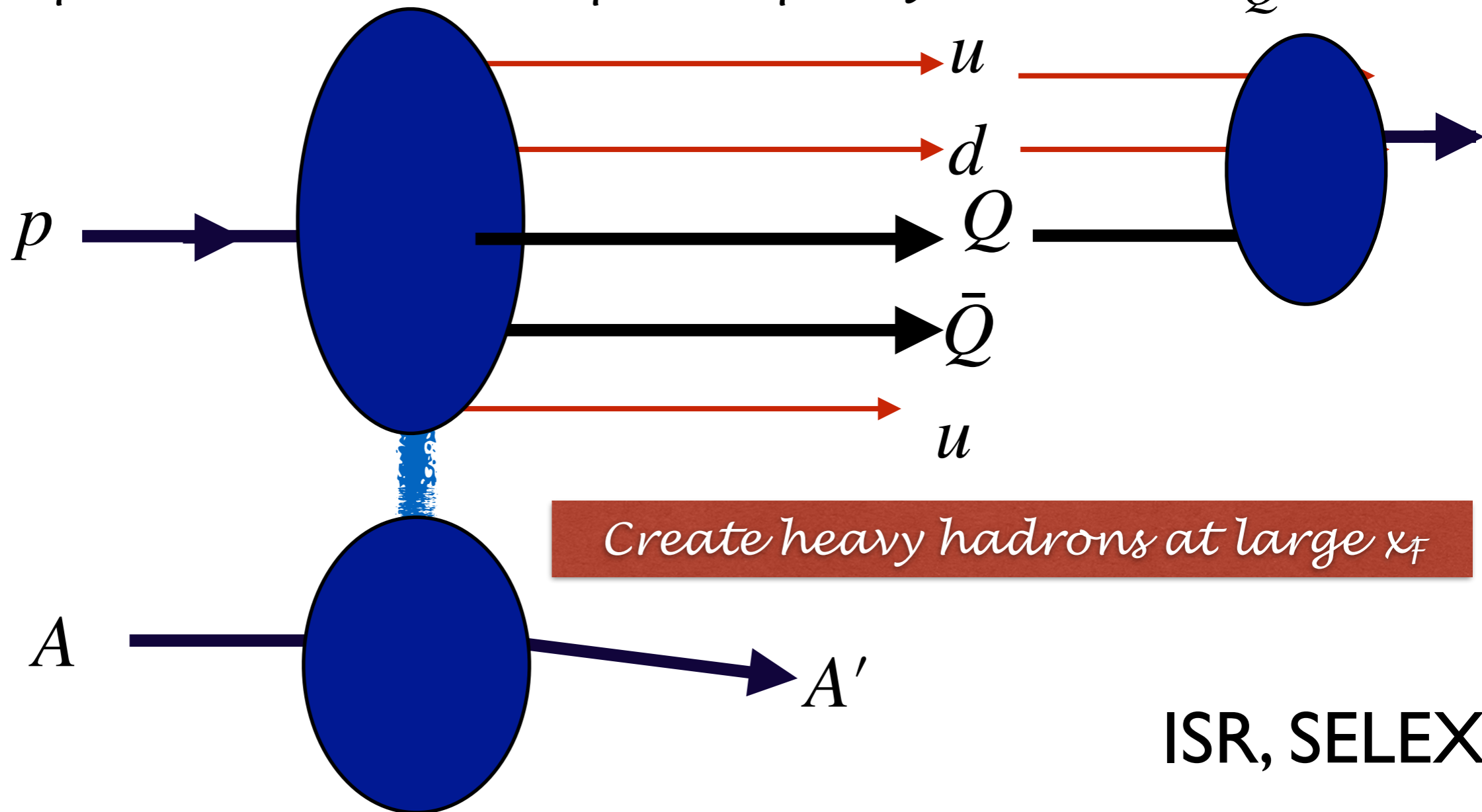


$$\Delta y = \log x$$

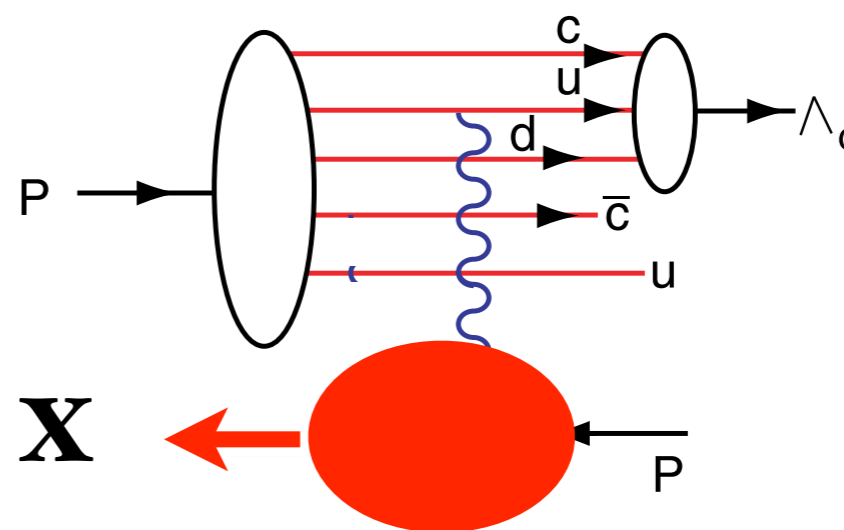
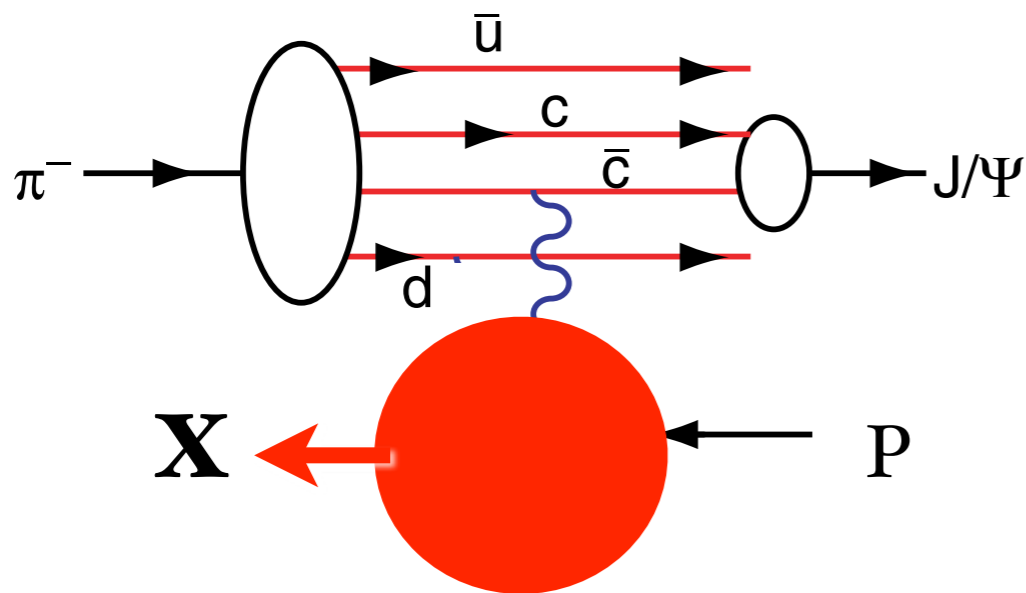


# Novel Effects Derived from Light-Front Wavefunctions

*Intrinsic quarks coalesce at equal rapidity to make  $\Lambda_Q(udQ)$*



# Coalescence of comovers produces high- $x_F$ heavy hadrons



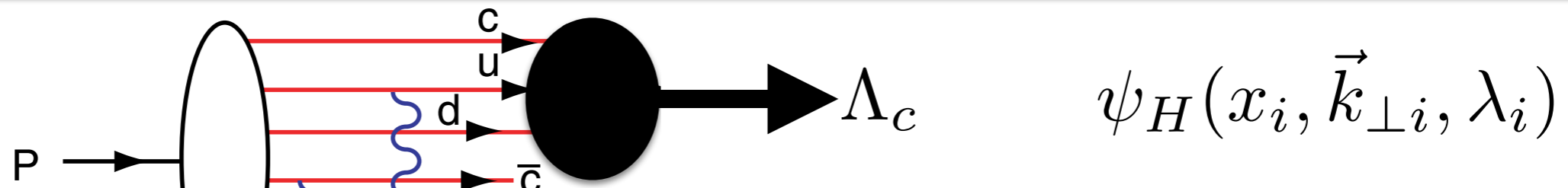
**Spectator counting rules**

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Coalescence of Comoving Charm and Valence Quarks  
Produce  $J/\psi$ ,  $\Lambda_c$  and other Charm Hadrons at High  $x_F$

# Coalescence of comovers produces high $x_F$ heavy hadrons

High  $x_F$  hadrons combine most of the comovers, fewest spectators



$$\psi_H(x_i, \vec{k}_{\perp i}, \lambda_i)$$

*LFWF maximum at equal rapidity*

*maximum at minimal invariant mass*

**X**  $\rightarrow$  *Asymmetries of leading hadrons*

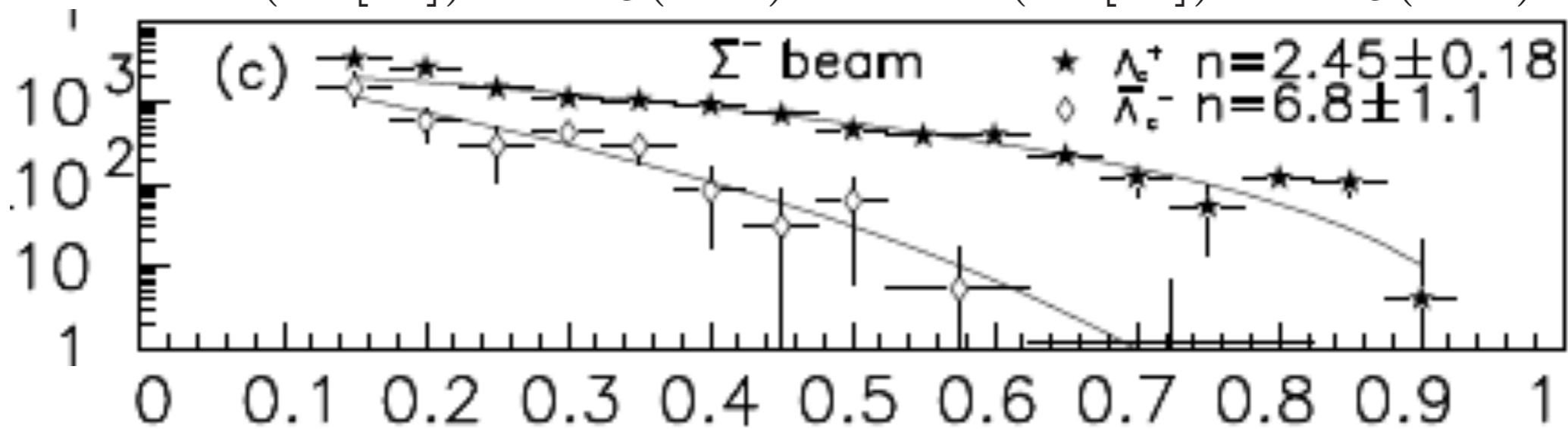
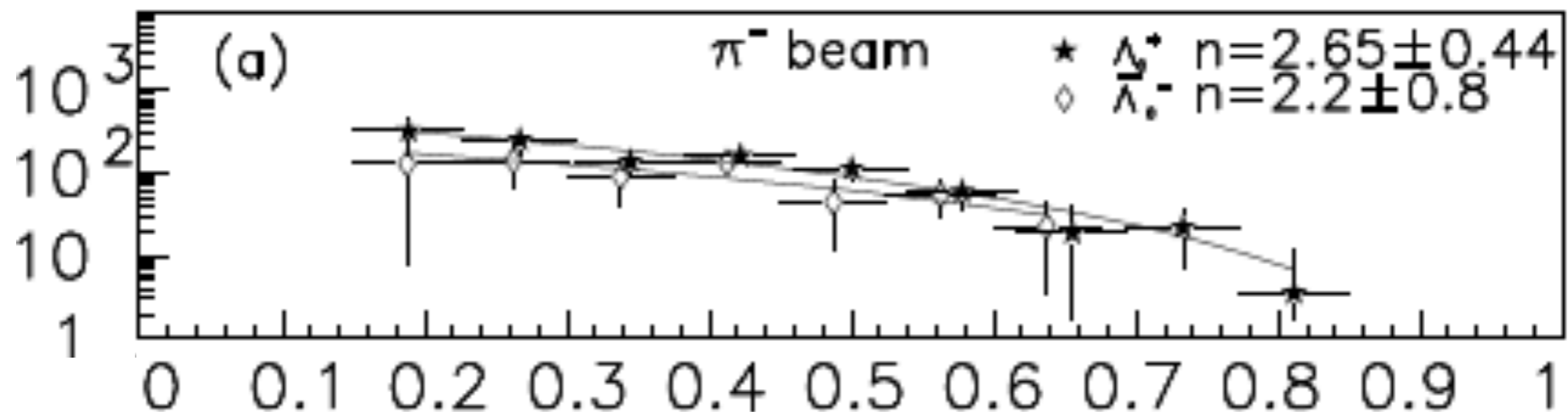
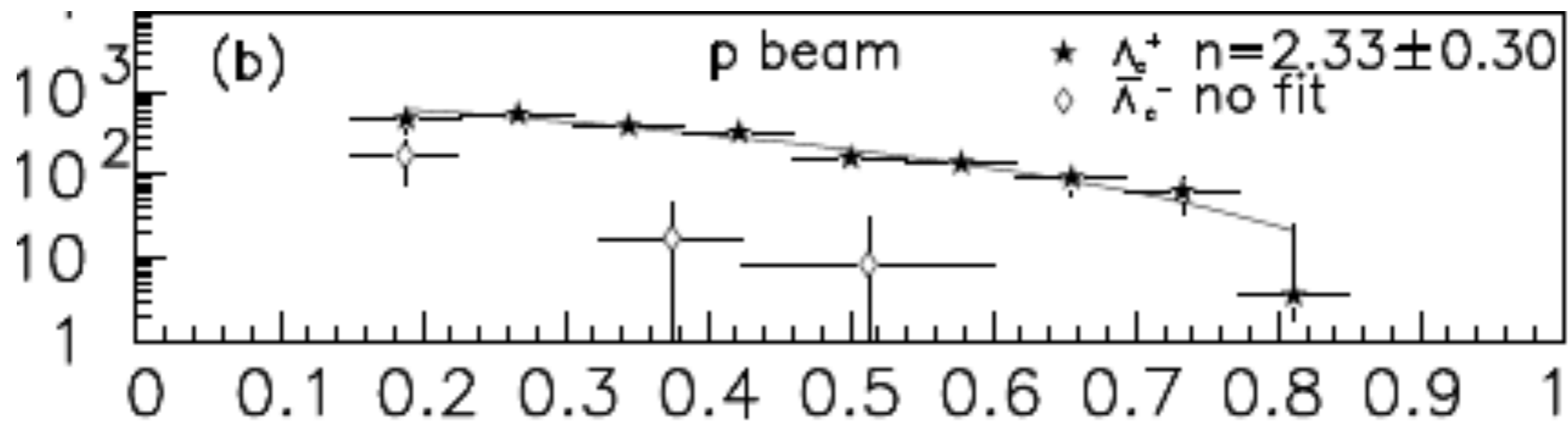
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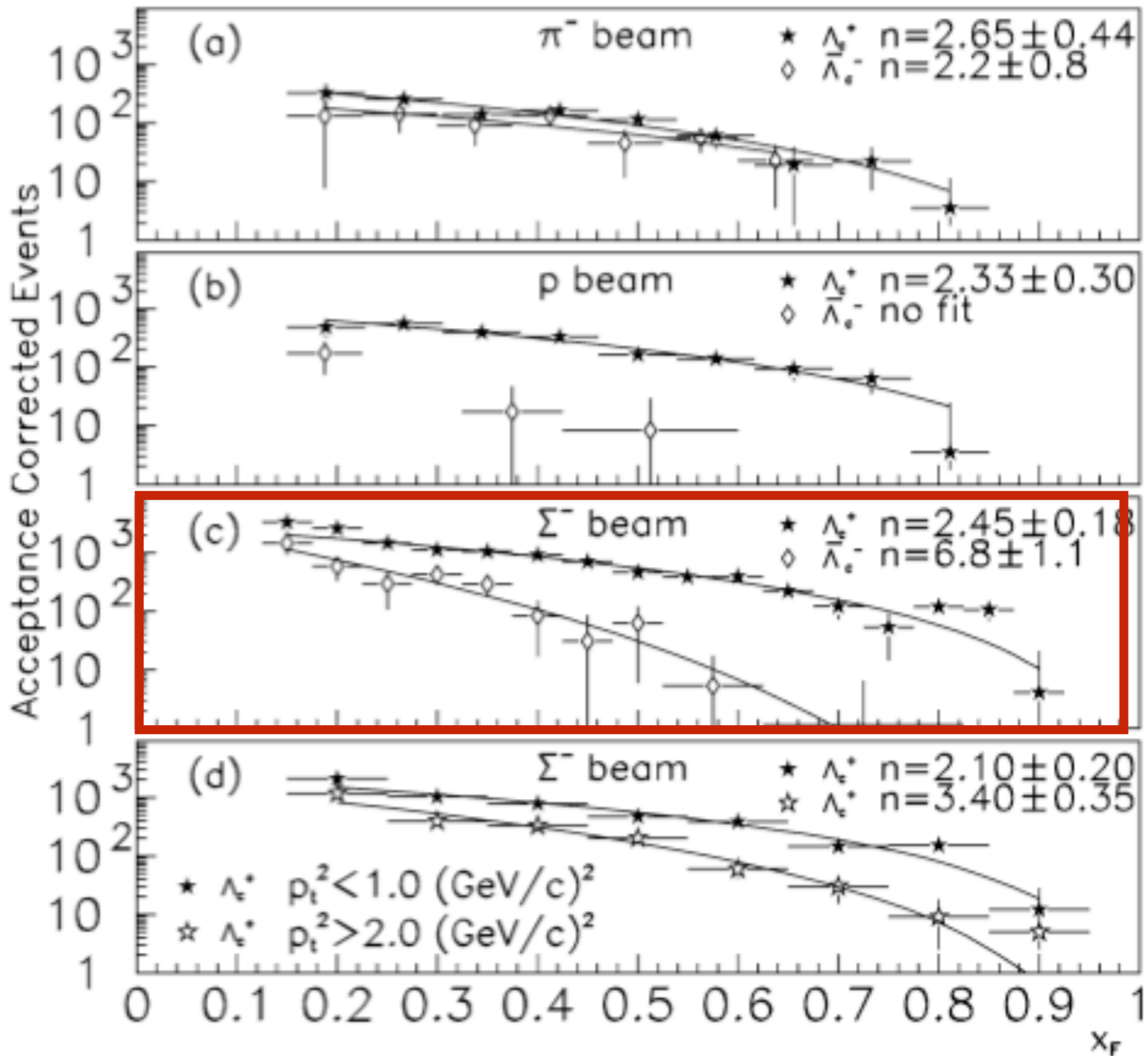
Coalescence of Comoving Charm and Valence Quarks  
Produce  $J/\psi$ ,  $\Lambda_c$  and other Charm Hadrons at High  $x_F$

Vogt, sjb

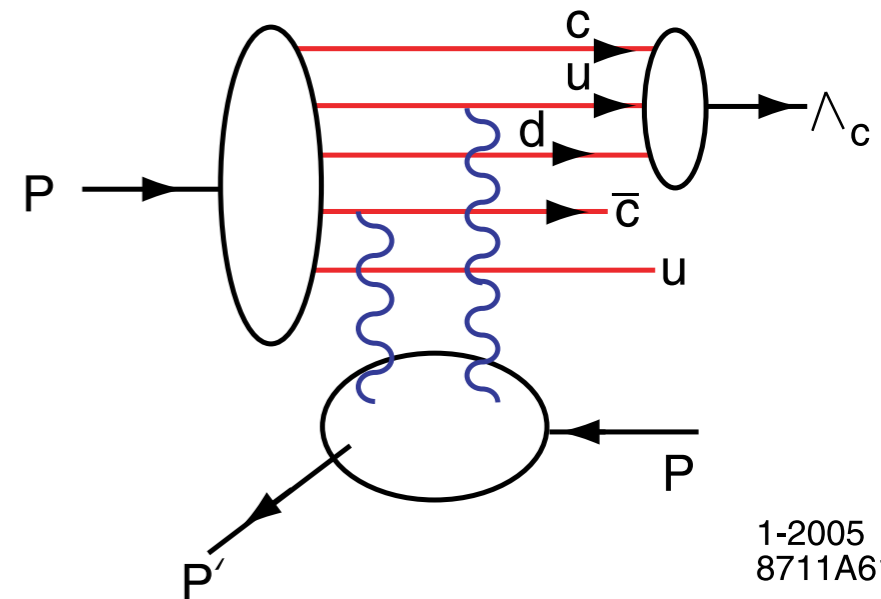
# SELEX







# SELEX



$$p(udc\bar{c})$$

$$\rightarrow \Lambda_c(cud)$$

$$n_s = 2$$

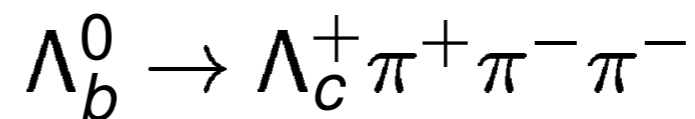
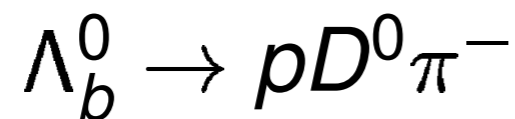
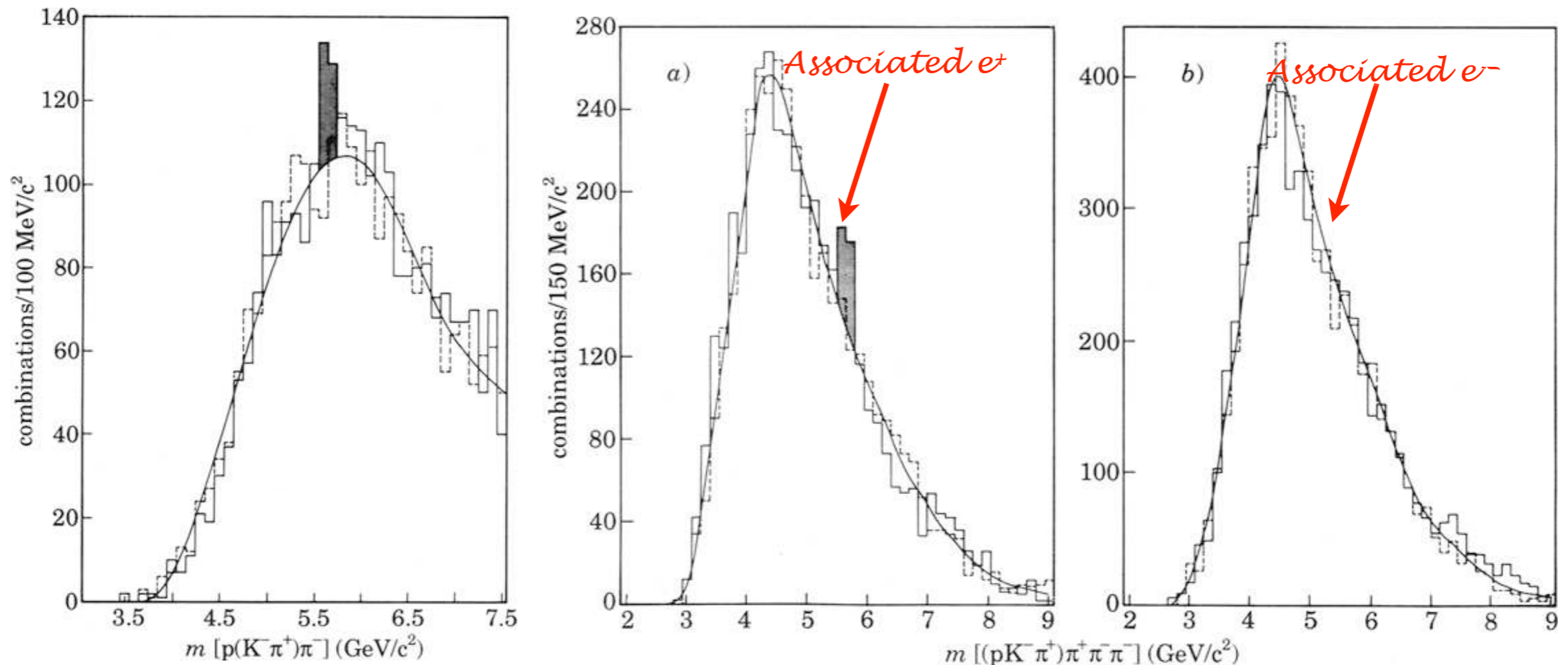
**Phase space gives  
minimum power p**

$$(1 - x_F)^p, p = n_s - 1$$

$$\Sigma^-(sddc\bar{c})A \rightarrow \Lambda_c(cdu)X \text{ vs. } \Sigma^-(sddc\bar{c})A \rightarrow \bar{\Lambda}_c(\bar{c}\bar{d}\bar{u})X$$

$$pp \rightarrow \Lambda_b(bud)B(\bar{b}q)X \text{ at large } x_F \quad \sqrt{s} = 63 \text{ GeV}$$

## CERN-ISR R422 (Split Field Magnet), 1988/1991



Il Nuovo Cimento 104, 1787

Discovery of  $\Lambda_b$ ; Associated Production; Evidence for Intrinsic  $b\bar{b}$

Create  $\Lambda_b$  at rest at LHCb at  $\sqrt{s} = \sqrt{13000} = 115 \text{ GeV}$

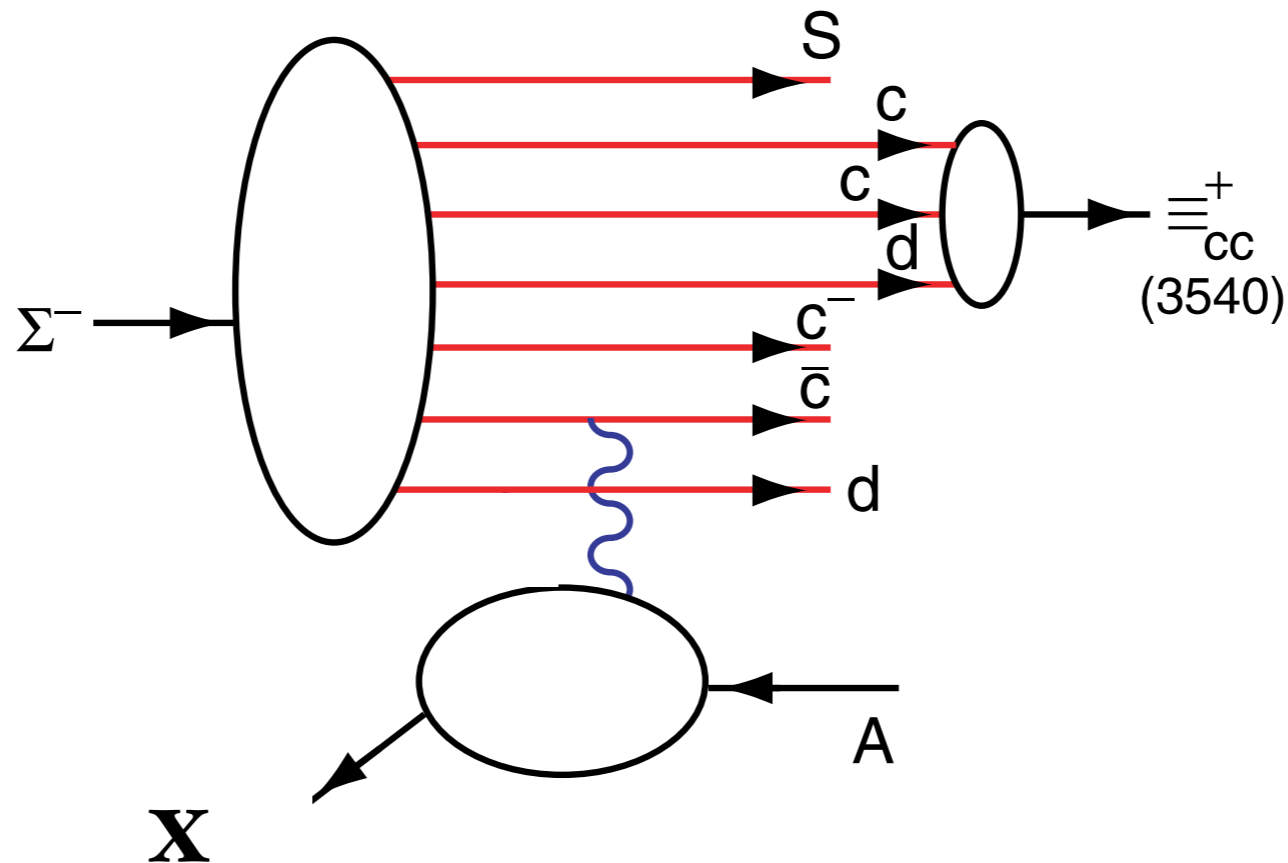


# $\Lambda_b^0$ MASS

$m_{\Lambda_b^0}$

INSPI

VALUE (MeV)	EVTS	DOCUMENT ID	TECN	COMMENT
<b>5619.51 ± 0.23</b>	<b>OUR AVERAGE</b>			
5619.30 ±0.34	1	<a href="#">AAIJ</a>	<a href="#">2014AA</a>	LHCB $p p$ at 7 TeV
5620.15 ±0.31 ±0.47	2	<a href="#">AALTONEN</a>	<a href="#">2014B</a>	CDF $p \bar{p}$ at 1.96 TeV
5619.7 ±0.7 ±1.1	2	<a href="#">AAD</a>	<a href="#">2013U</a>	ATLS $p p$ at 7 TeV
5619.44 ±0.13 ±0.38	2	<a href="#">AAIJ</a>	<a href="#">2013AV</a>	LHCB $p p$ at 7 TeV
5621 ±4 ±3	3	<a href="#">ABE</a>	<a href="#">1997B</a>	CDF $p \bar{p}$ at 1.8 TeV
5668 ±16 ±8	4	<a href="#">ABREU</a>	<a href="#">1996N</a>	DLPH $e^+ e^- \rightarrow Z$
5614 ±21 ±4	4	<a href="#">BUSKULIC</a>	<a href="#">1996L</a>	ALEP $e^+ e^- \rightarrow Z$
*** We do not use the following data for averages, fits, limits, etc ***				
5619.19 ±0.70 ±0.30	2	<a href="#">AAIJ</a>	<a href="#">2012E</a>	LHCB Repl. by <a href="#">AAIJ 2013AV</a>
5619.7 ±1.2 ±1.2	5	<a href="#">ACOSTA</a>	<a href="#">2006</a>	CDF Repl. by <a href="#">AALTONEN 2014B</a>
not seen	6	<a href="#">ABE</a>	<a href="#">1993B</a>	CDF Repl. by <a href="#">ABE 1997B</a>
5640 ±50 ±30	16	<a href="#">ALBAJAR</a>	<a href="#">1991E</a>	UA1 $p \bar{p}$ 630 GeV
5640 $^{+100}_{-210}$	52	<a href="#">BARI</a>	<a href="#">1991</a>	SFM $\Lambda_b^0 \rightarrow p D^0 \pi^-$
5650 $^{+150}_{-200}$	90	<a href="#">BARI</a>	<a href="#">1991</a>	SFM $\Lambda_b^0 \rightarrow \Lambda_c^+ \pi^+ \pi^- \pi^-$

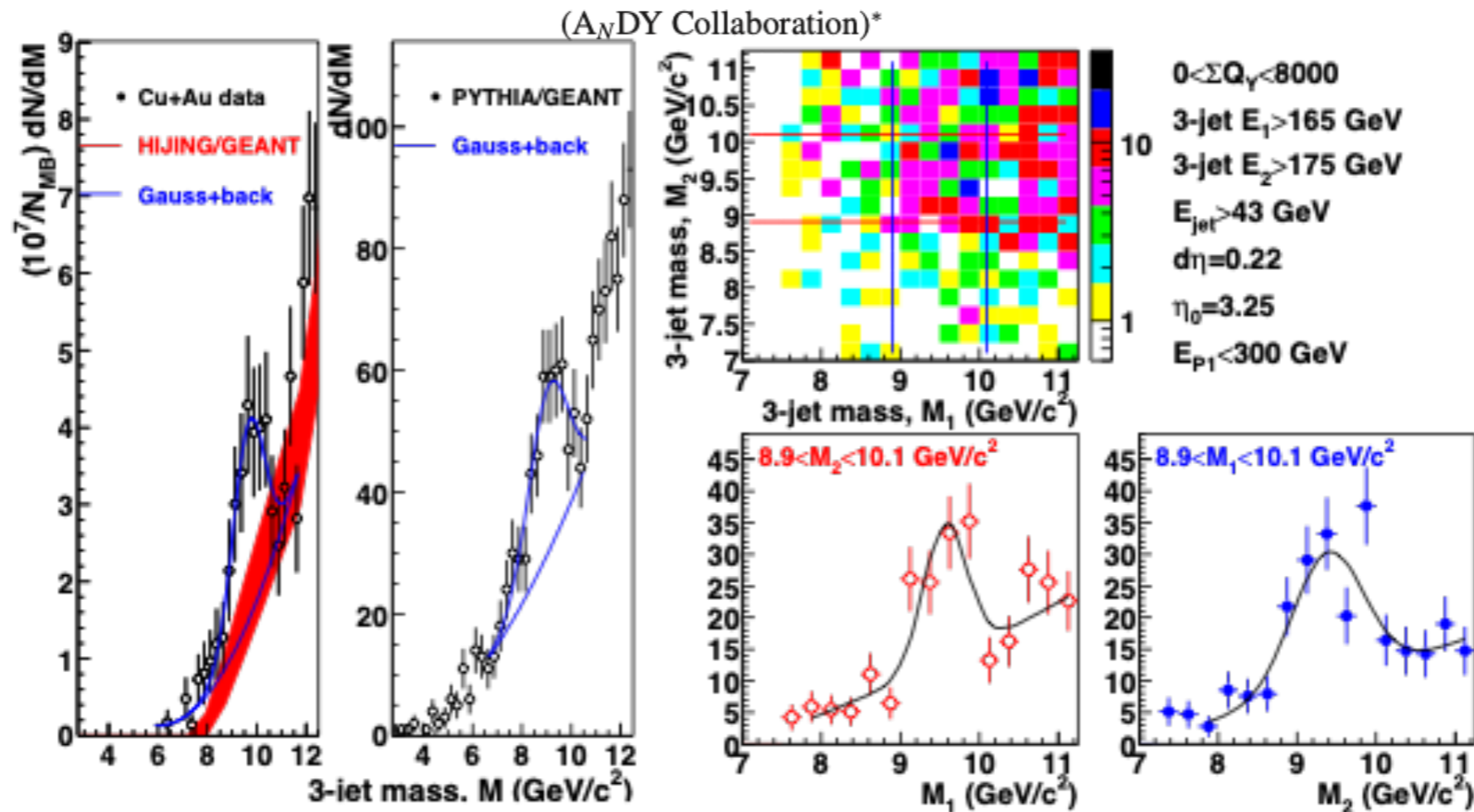


## Production of a Double-Charm Baryon

**SELEX high  $x_F$**        $\langle x_F \rangle = 0.33$

# Observation of Feynman scaling violations and evidence for a new resonance at RHIC

L. C. Bland<sup>a</sup>, E. J. Brash<sup>b</sup>, H. J. Crawford<sup>c</sup>, A.A. Derevschikov<sup>d</sup>, K. A. Drees<sup>a</sup>, J. Engelage<sup>c</sup>, C. Folz<sup>a</sup>, E. G. Judd<sup>c</sup>, X. Li<sup>e,a</sup>,  
 N. G. Minaev<sup>d</sup>, R. N. Munroe<sup>b</sup>, L. Nogach<sup>d</sup>, A. Ogawa<sup>a</sup>, C. Perkins<sup>c</sup>, M. Planinic<sup>f</sup>, A. Quintero<sup>i</sup>, G. Schnell<sup>g,h</sup>,  
 P. V. Shanmuganathan<sup>j</sup>, G. Simatovic<sup>f,a</sup>, B. Surrow<sup>i</sup>, T. G. Throwe<sup>a</sup>, A. N. Vasiliev<sup>d</sup>



Evidence for  $\Upsilon(1S)$  via its decay to three jets. (left pair) Inclusive forward production from Cu+Au collisions overlaid with HIJING/GEANT simulation. A  $5.2\sigma$  peak is observed in the data. Comparison is to PYTHIA/GEANT p+p simulations at  $\sqrt{s} = 1200$  GeV, using the Perugia 0 tune. (right)  $\sim 5\sigma$  evidence for forward pair  $\Upsilon(1S)$  production. All Cu+Au distributions have vertical axes scaled as  $10^7/N_{MB}$ .

AnDY at RHIC: Observe single and double  $\Upsilon$  production at high rapidity

Cu+Au  $\rightarrow$  dijets+X,  $\sqrt{s_{NN}}=200$  GeV,  $E_{jet}>60$  GeV

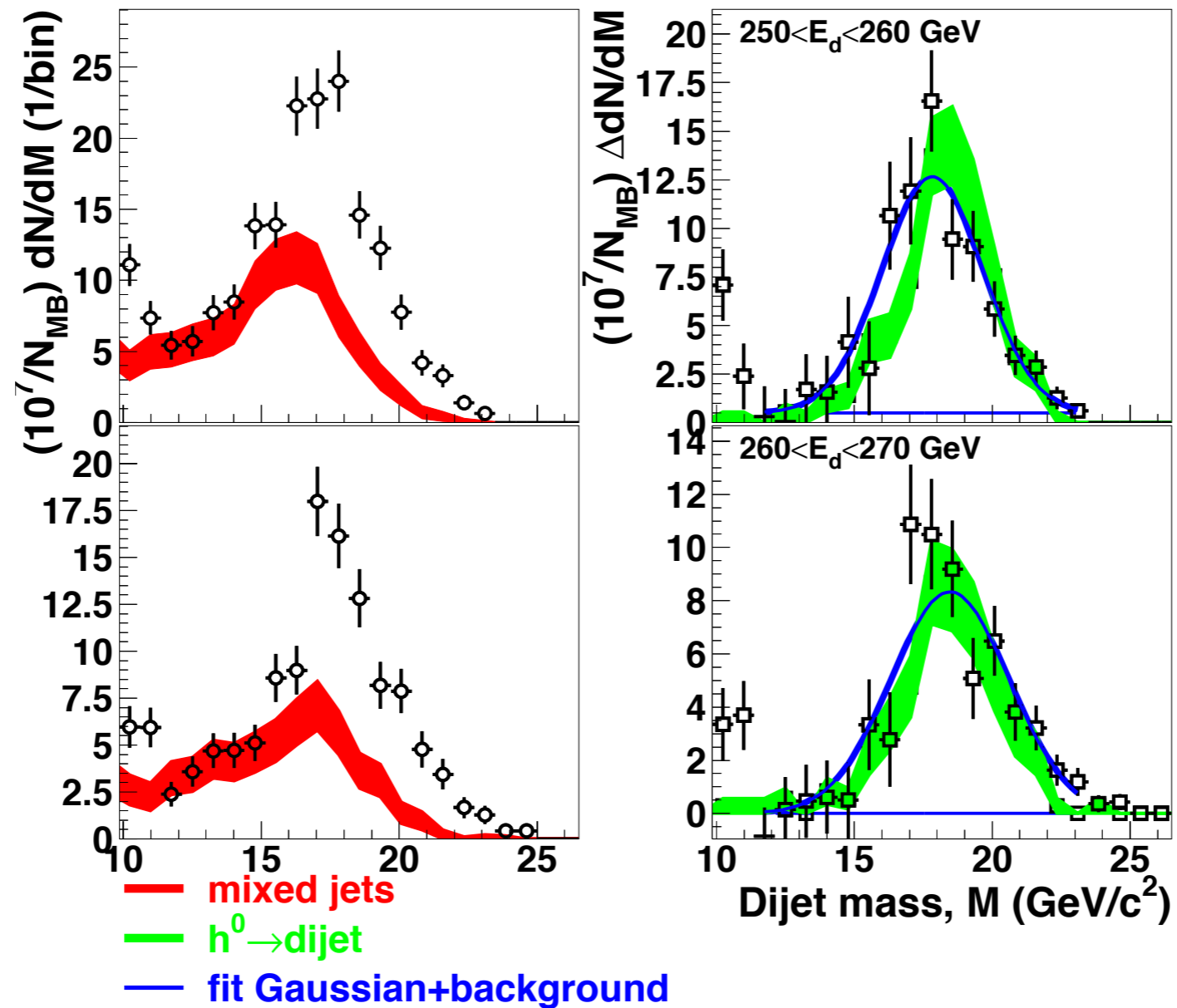


Figure 7: Dijet mass compared to a mixed-event analysis in the left column. The right column forms the difference between data and mixed events, and compares that difference to a simulation of the production of a resonance that decays to jet pairs. All Cu+Au distributions have vertical axes scaled as  $10^7/N_{MB}$ .

AnDY at RHIC: Observe  $bb\bar{b}\bar{b}$  production at high rapidity

# Intrinsic Heavy-Quark Fock States

- Rigorous prediction of QCD, OPE

- Color-Octet Color-Octet Fock State!

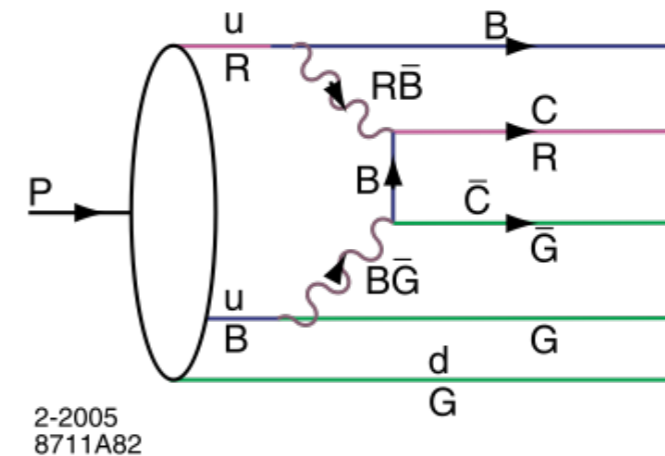
- Probability  $P_{Q\bar{Q}} \propto \frac{1}{M_Q^2}$   $P_{Q\bar{Q}Q\bar{Q}} \sim \alpha_s^2 P_{Q\bar{Q}}$   $P_{c\bar{c}/p} \simeq 1\%$

- Large Effect at high x

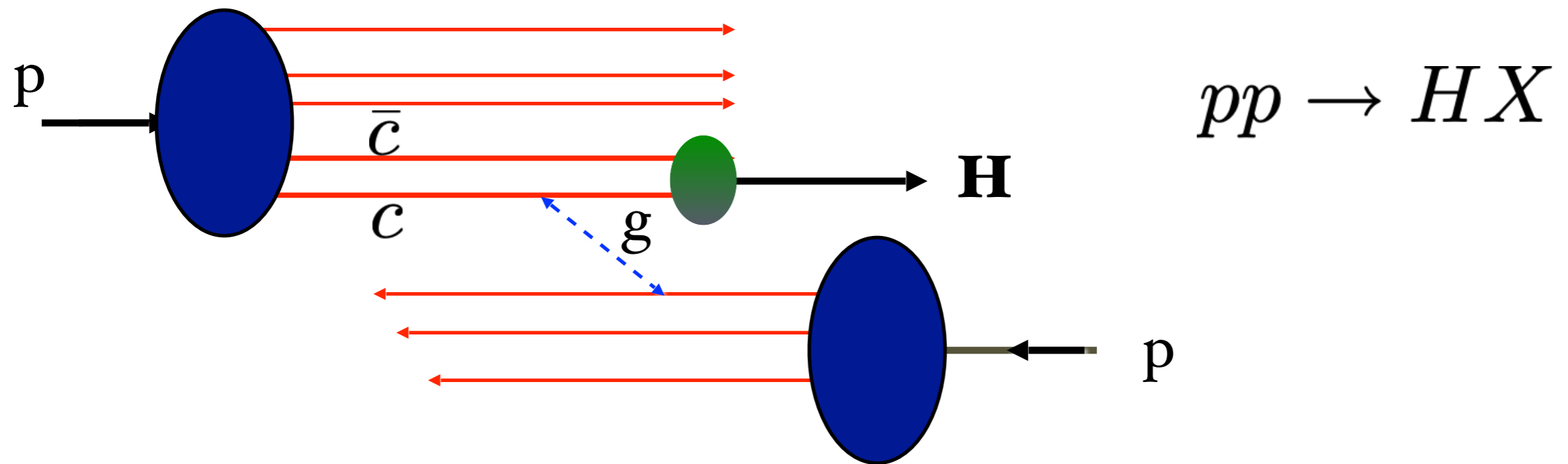
- Greatly increases kinematics of colliders such as Higgs production at high  $x_F$  (Kopeliovich, Schmidt, Soffer, Goldhaber, sjb)

- Severely underestimated in conventional parameterizations of heavy quark distributions (Pumplin, Tung)

- Many empirical tests (Gardener, Karliner, ..)



*Intrinsic Charm Mechanism for Inclusive  
High- $X_F$  Higgs Production*



**Also: intrinsic strangeness, bottom, top**

**Higgs can have > 80% of Proton Momentum!**

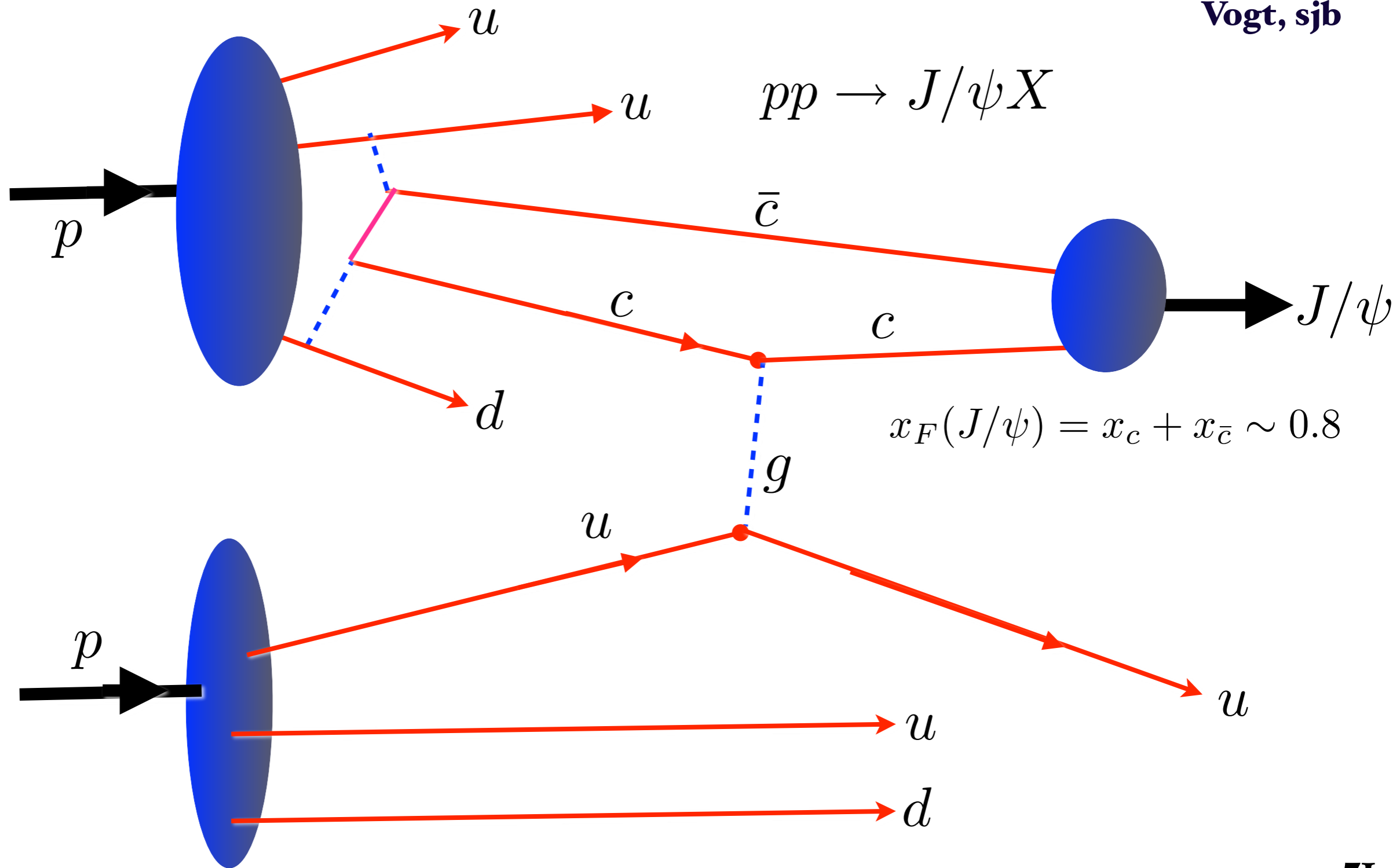
*New production mechanism for Higgs at the LHC*



*Intrinsic Heavy Quark Contribution to Quarkonium Hadroproduction at High  $x_F$*

Lansberg, sjb

Vogt, sjb



*Maximal Wavefunction Strength at Minimal Invariant Mass : Equal Rapidity*

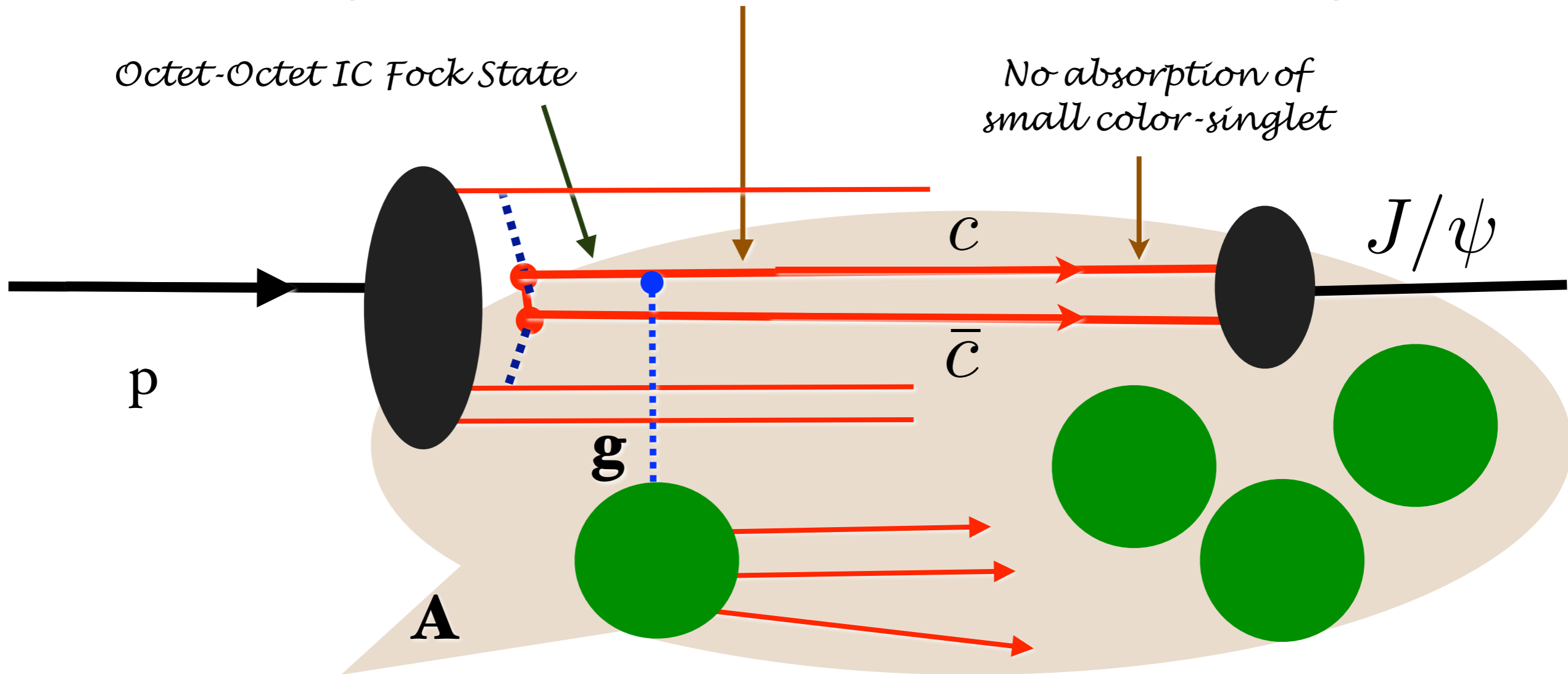
$$x_i \propto \frac{m_{\perp i}}{\sum_j m_{\perp j}}$$

**High  $x_F$**

*Color-Opaque IC Fock state  
interacts on nuclear front surface*

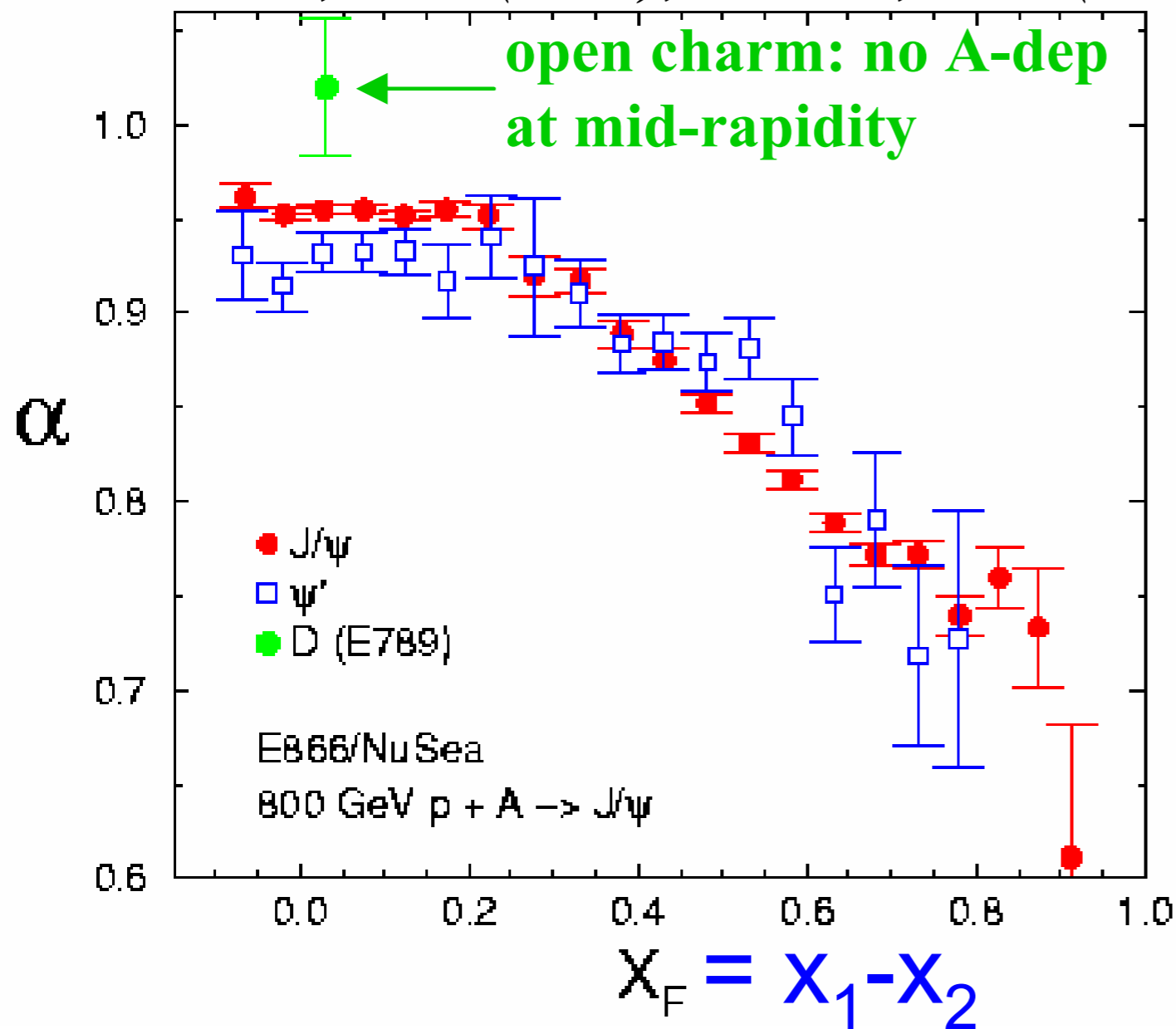
**Kopeliovich,  
Schmidt, Soffer, sjb**

*Scattering on front-face nucleon produces color-singlet  $c\bar{c}$  pair*



$$\frac{d\sigma}{dx_F}(pA \rightarrow J/\psi X) = A^{2/3} \times \frac{d\sigma}{dx_F}(pN \rightarrow J/\psi X)$$

800 GeV p-A (FNAL)  $\sigma_A = \sigma_p * A^\alpha$   
*PRL 84, 3256 (2000); PRL 72, 2542 (1994)*



$$\frac{d\sigma}{dx_F} (pA \rightarrow J/\psi X)$$

*Remarkably Strong Nuclear Dependence for Fast Charmonium*

*Violation of PQCD Factorization*

Violation of factorization in charm hadroproduction.

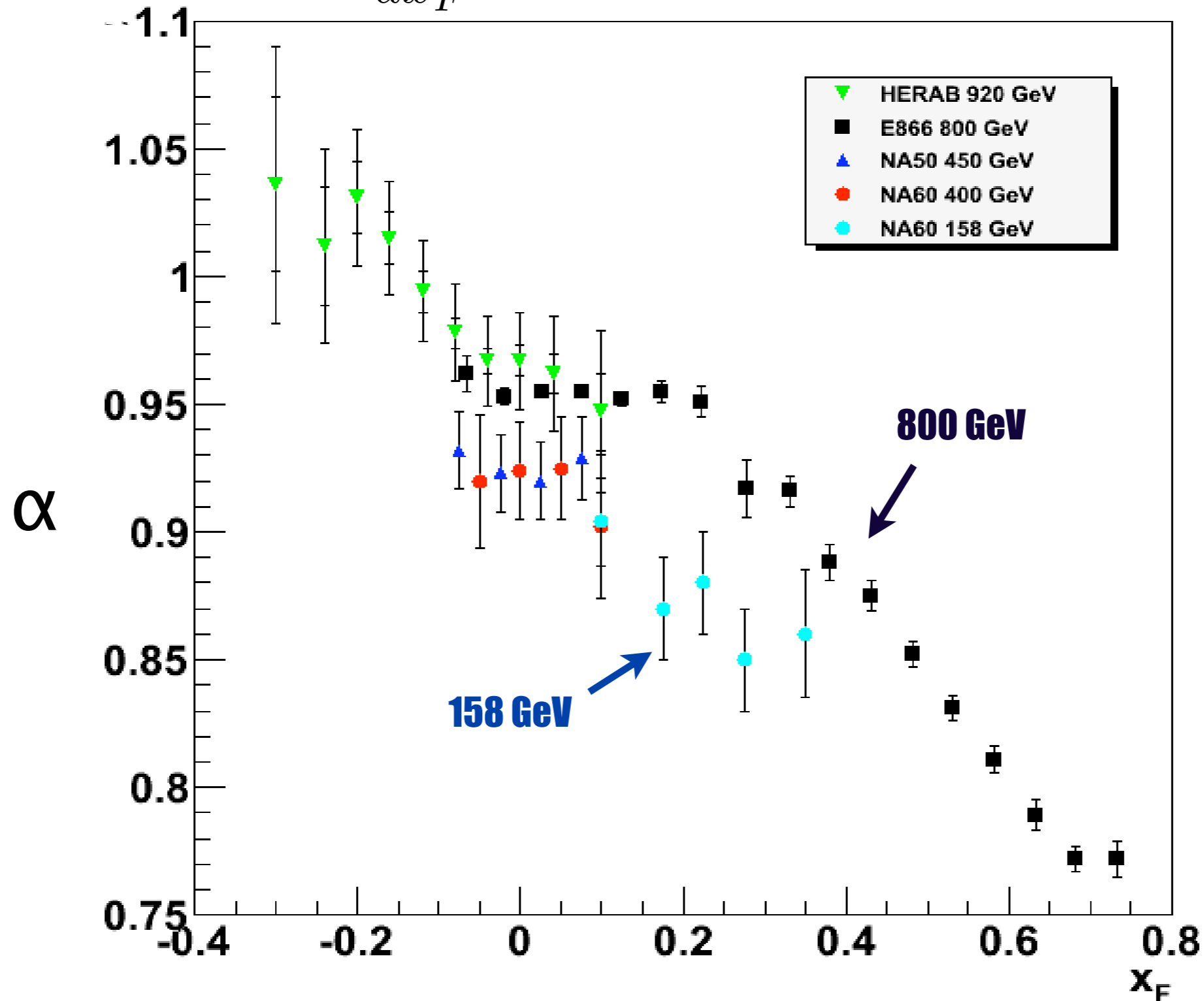
[P. Hoyer](#), [M. Vanttinen](#) (Helsinki U.), [U. Sukhatme](#) (Illinois U., Chicago) . HU-TFT-90-14, May 1990. 7pp.

Published in Phys.Lett.B246:217-220,1990

**IC Explains large excess of quarkonia at large  $x_F$ , A-dependence**

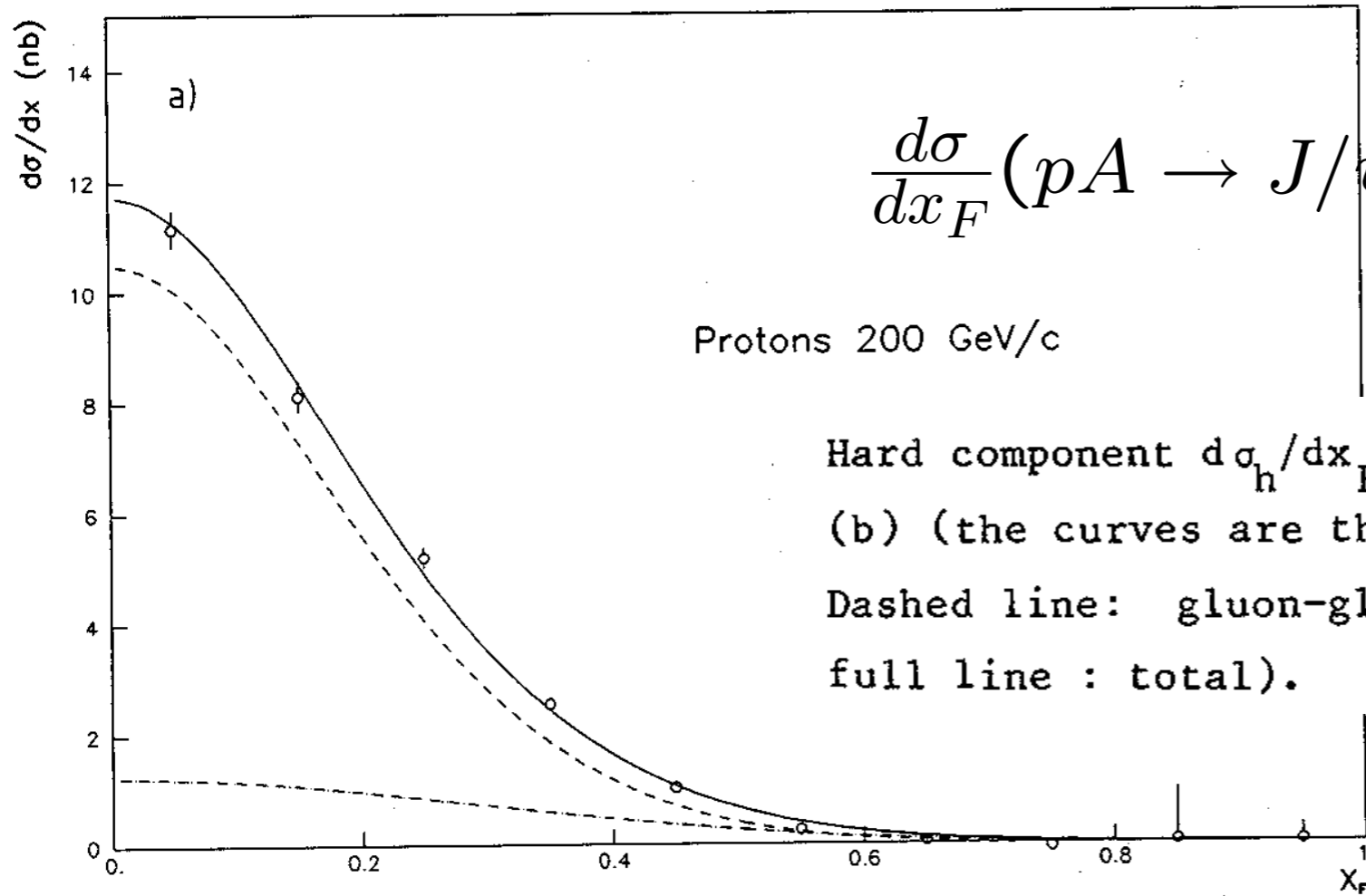
# NA60 pA data @ 158GeV

$$\frac{d\sigma}{dx_F}(pA \rightarrow J/\psi X) \propto A^\alpha$$



*Clear dependence  
on  $x_F$  and  
beam energy*

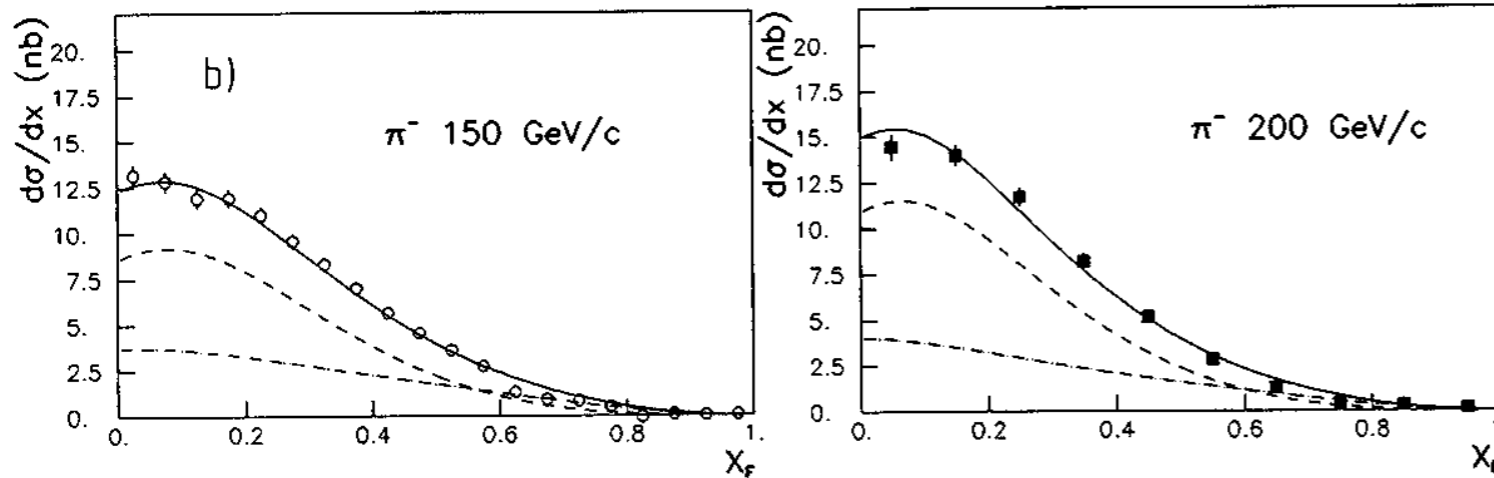
**Dramatic change in nuclear dependence**



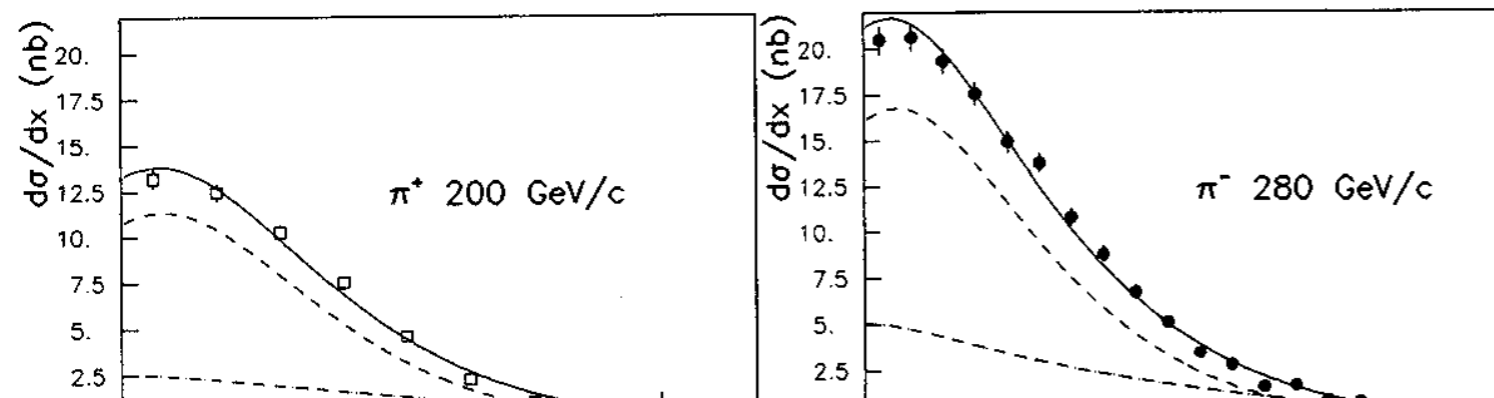
$$\frac{d\sigma}{dx_F}(pA \rightarrow J/\psi X) = A^1 \frac{d\sigma_1}{dx_F} + A^{2/3} \frac{d\sigma_{2/3}}{dx_F}$$

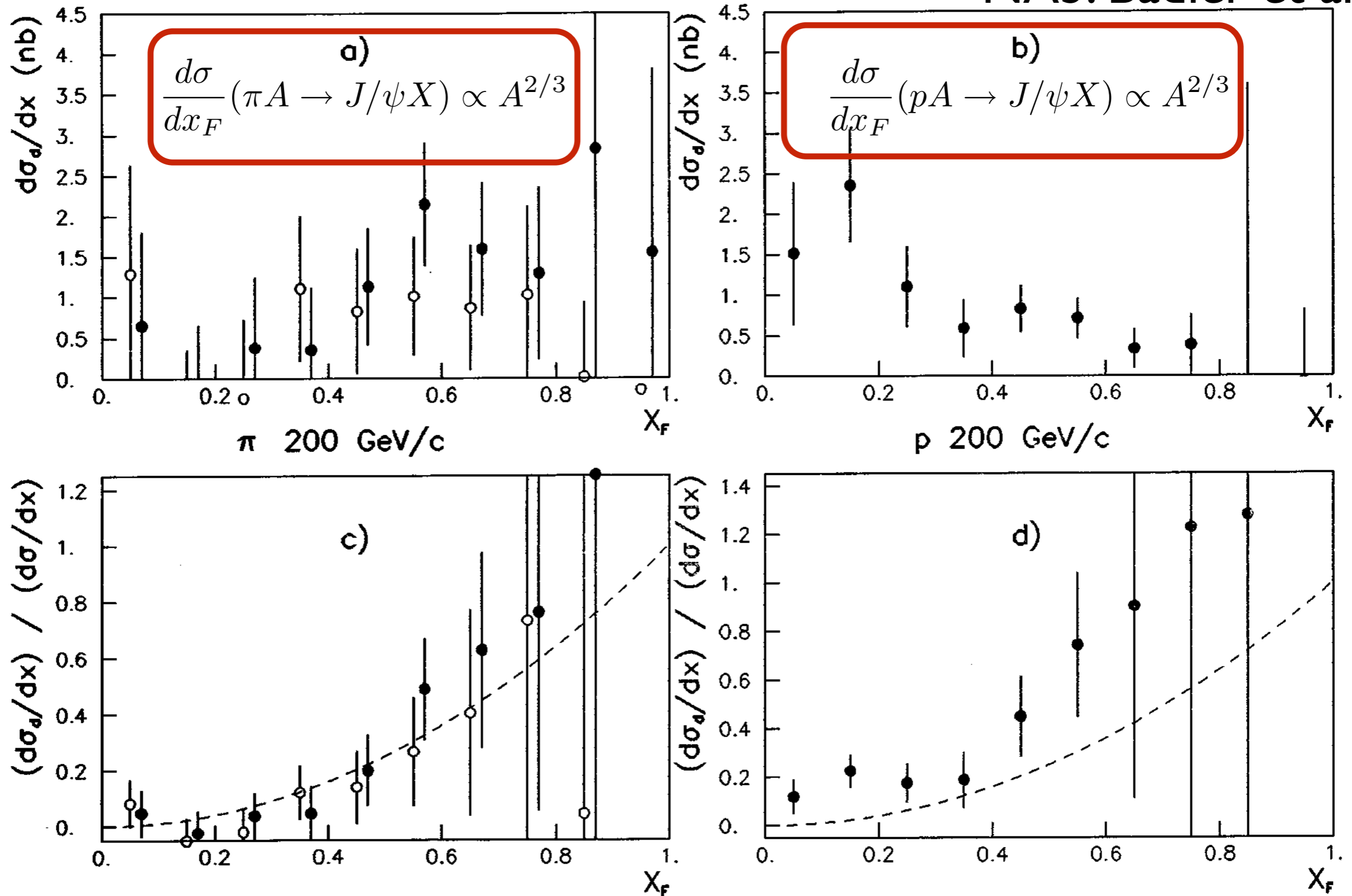
Protons 200 GeV/c

Hard component  $d\sigma_h/dx_F$  for incident protons (a) and pions (b) (the curves are the result of the fit described in the text. Dashed line: gluon-gluon fusion; dash-dotted line :  $q\bar{q}$  fusion; full line : total).



$A^1$  component consistent with sum of  $gg$  and  $\bar{q}q$  fusion





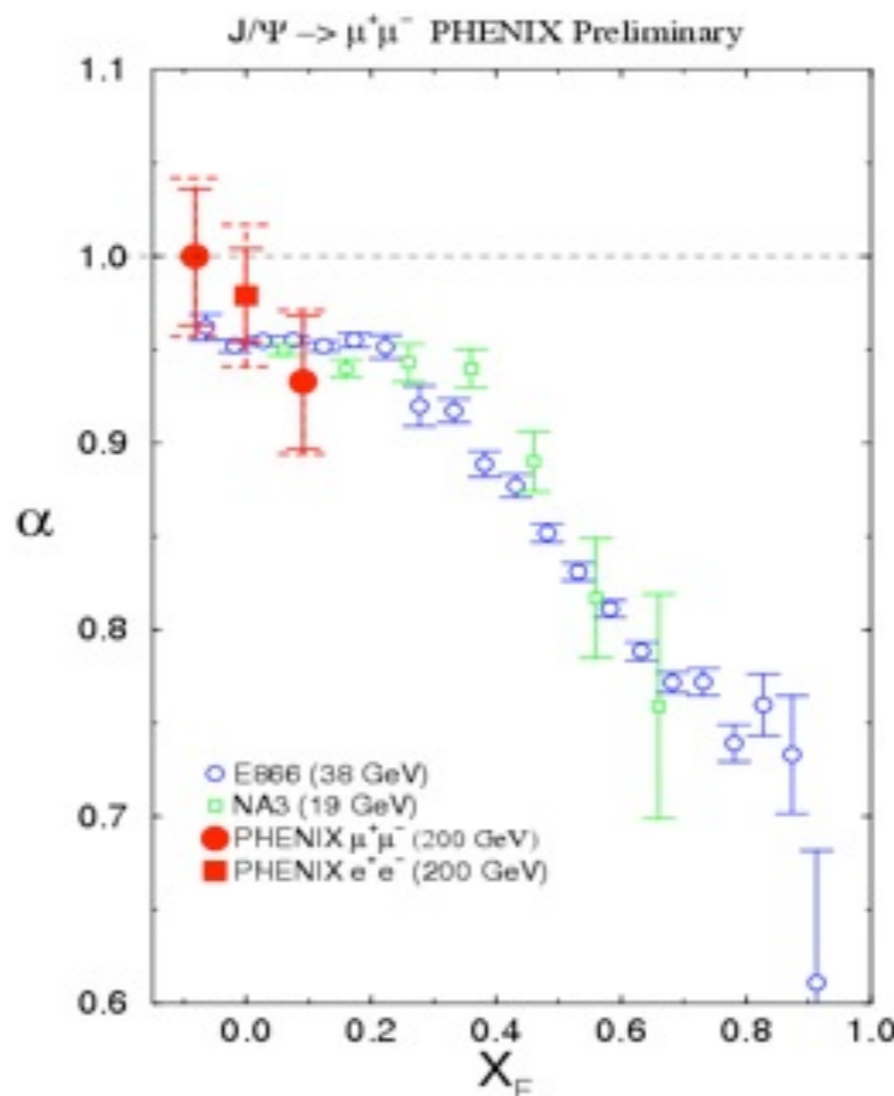
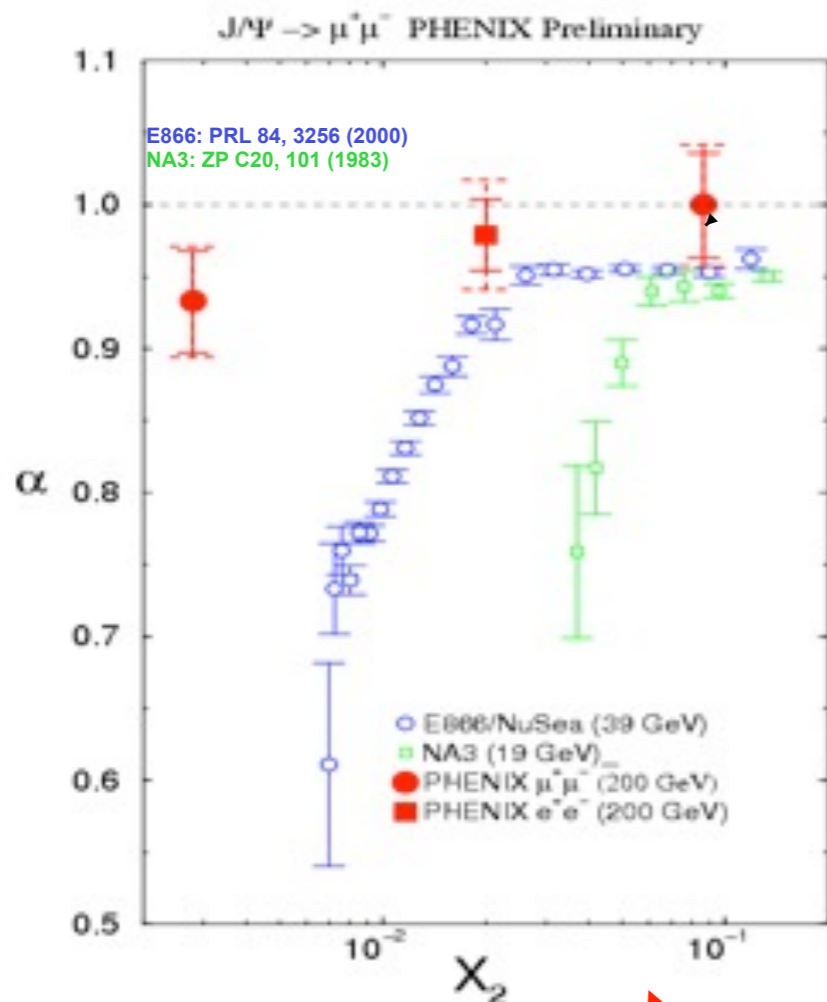
*Flat  $x_F$  distribution explained by IC*



# J/ψ nuclear dependence versus rapidity, x<sub>AU</sub>, x<sub>F</sub>

M.Leitch

## PHENIX compared to lower energy measurements



*Huge  
"absorption"  
effect*



Klein, Vogt, PRL 91:142301, 2003  
Kopeliovich, NP A696:669, 2001

*Violates PQCD  
factorization!*

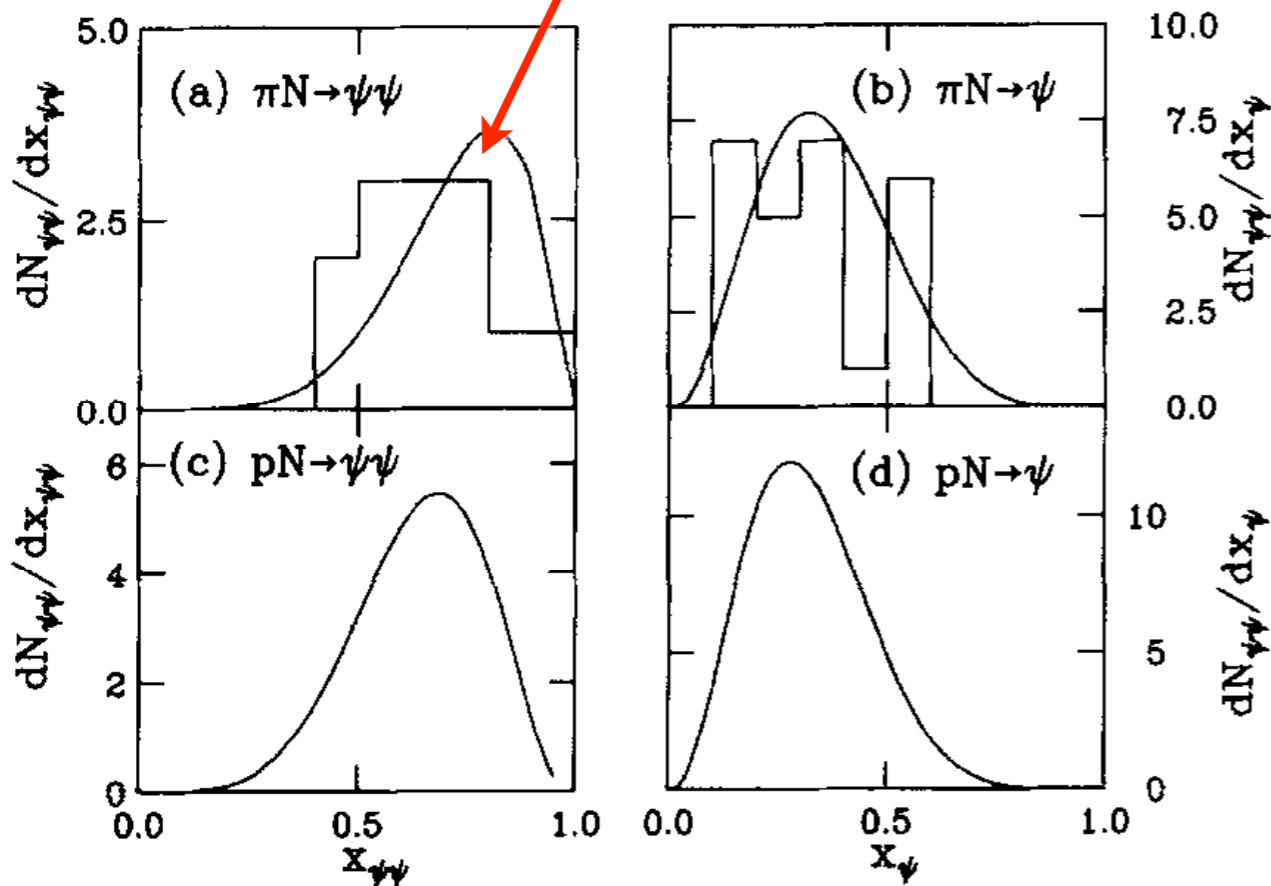
$$\frac{d\sigma}{dx_F}(pA \rightarrow J/\psi X)$$

Hoyer, Sukhatme, Vanttinen

Violates PQCD Factorization:  $A^\alpha(x_F)$  not  $A^\alpha(x_2)$

# Excludes 'color drag' model

All events have  $x_{\psi\psi}^F > 0.4$  !



$$\pi A \rightarrow J/\psi J/\psi X$$

R. Vogt, sjb

The probability distribution for a general  $n$ -particle intrinsic  $c\bar{c}$  Fock state as a function of  $x$  and  $k_T$  is written as

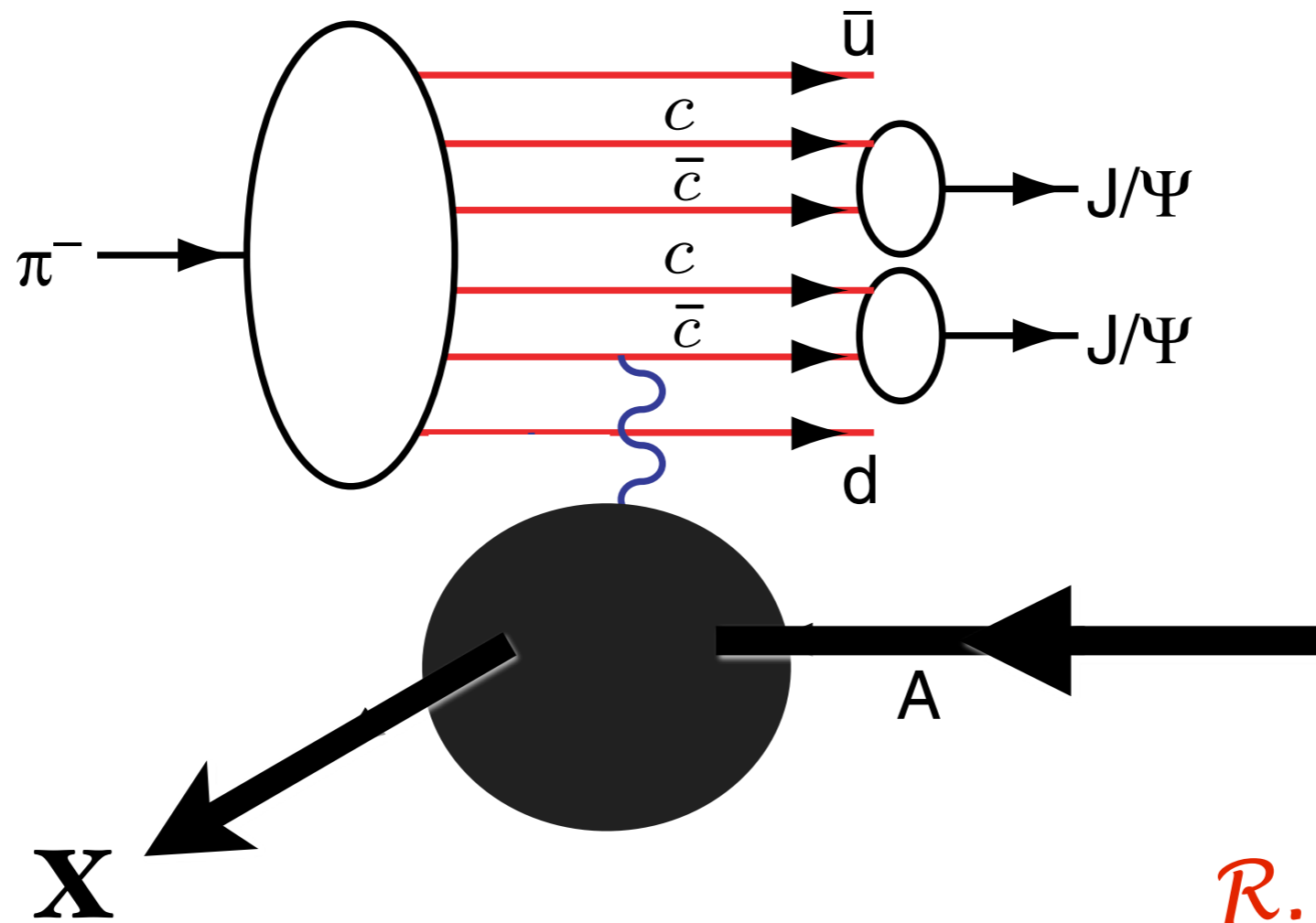
$$\frac{dP_{ic}}{\prod_{i=1}^n dx_i d^2 k_{T,i}} = N_n \alpha_s^4 (M_{c\bar{c}}) \frac{\delta(\sum_{i=1}^n k_{T,i}) \delta(1 - \sum_{i=1}^n x_i)}{(m_h^2 - \sum_{i=1}^n (m_{T,i}^2/x_i))^2},$$

Fig. 3. The  $\psi\psi$  pair distributions are shown in (a) and (c) for the pion and proton projectiles. Similarly, the distributions of  $J/\psi$ 's from the pairs are shown in (b) and (d). Our calculations are compared with the  $\pi^- N$  data at 150 and 280 GeV/c [1]. The  $x_{\psi\psi}$  distributions are normalized to the number of pairs from both pion beams (a) and the number of pairs from the 400 GeV proton measurement (c). The number of single  $J/\psi$ 's is twice the number of pairs.

## NA3 Data

Novel Features of Heavy Quark Phenomenology

*Cannot be explained  
by Color Drag Model*



*R. Vogt, s1b*

- EMC data:  $c(x, Q^2) > 30 \times \text{DGLAP}$   
 $Q^2 = 75 \text{ GeV}^2, x = 0.42$
- High  $x_F$   $pp \rightarrow J/\psi X$
- High  $x_F$   $pp \rightarrow J/\psi J/\psi X$
- High  $x_F$   $pp \rightarrow \Lambda_c X$
- High  $x_F$   $pp \rightarrow \Lambda_b X$
- High  $x_F$   $pp \rightarrow \Xi(ccd)X$  (SELEX)

Rules out color drag (Pythia)

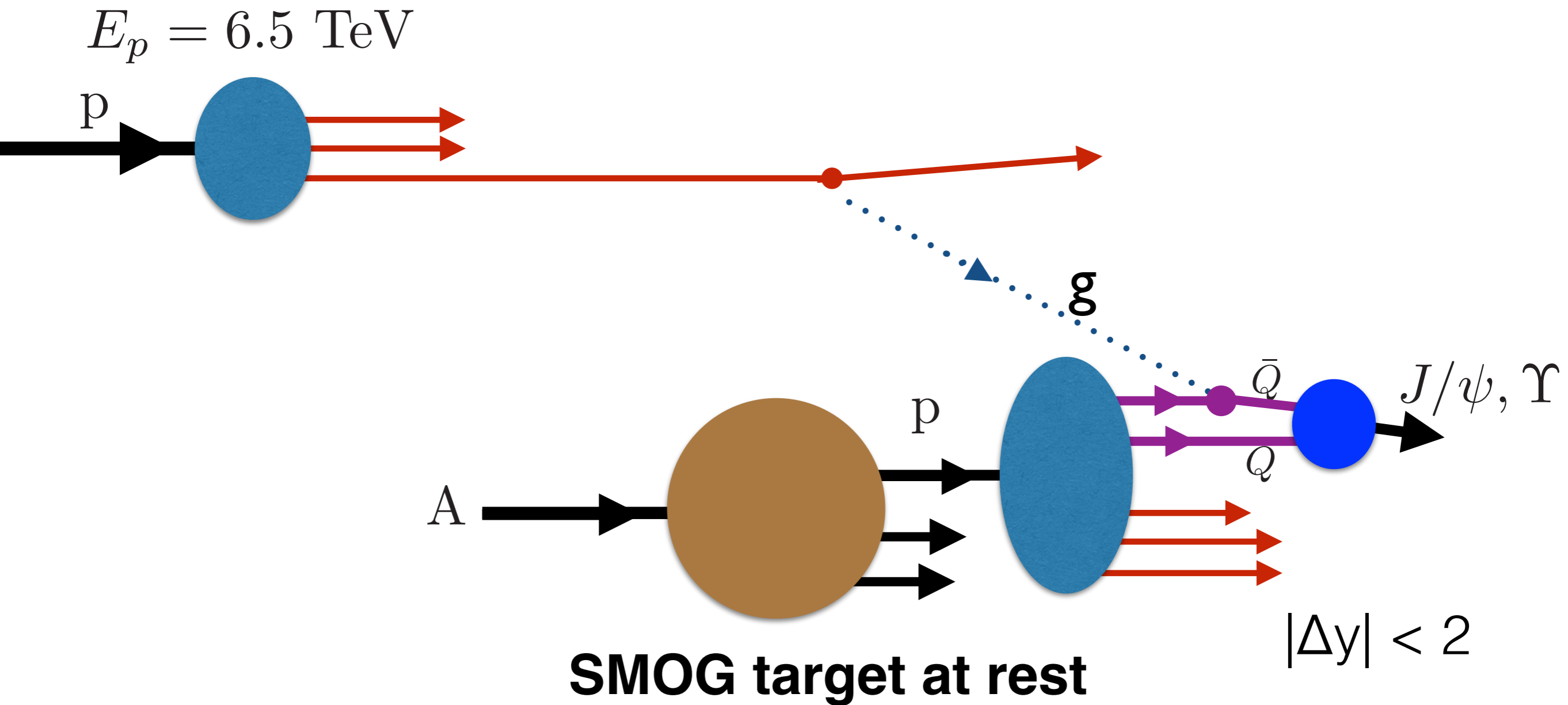
Explain Tevatron anomalies:  $p\bar{p} \rightarrow \gamma cX, ZcX$

**Interesting spin, charge asymmetry, threshold, spectator effects** 80

*Important corrections to B decays; Quarkonium decays*

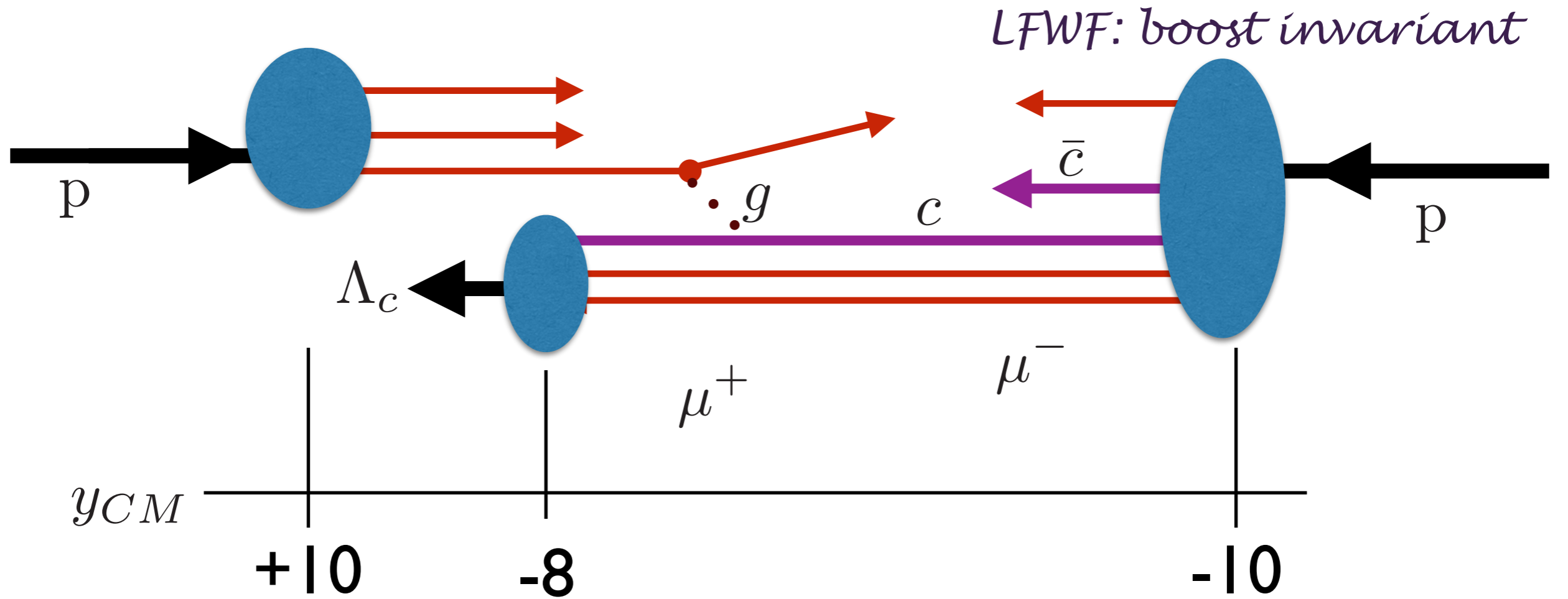
**Gardner, Karliner, sjb**

$$pA \rightarrow J/\psi X$$



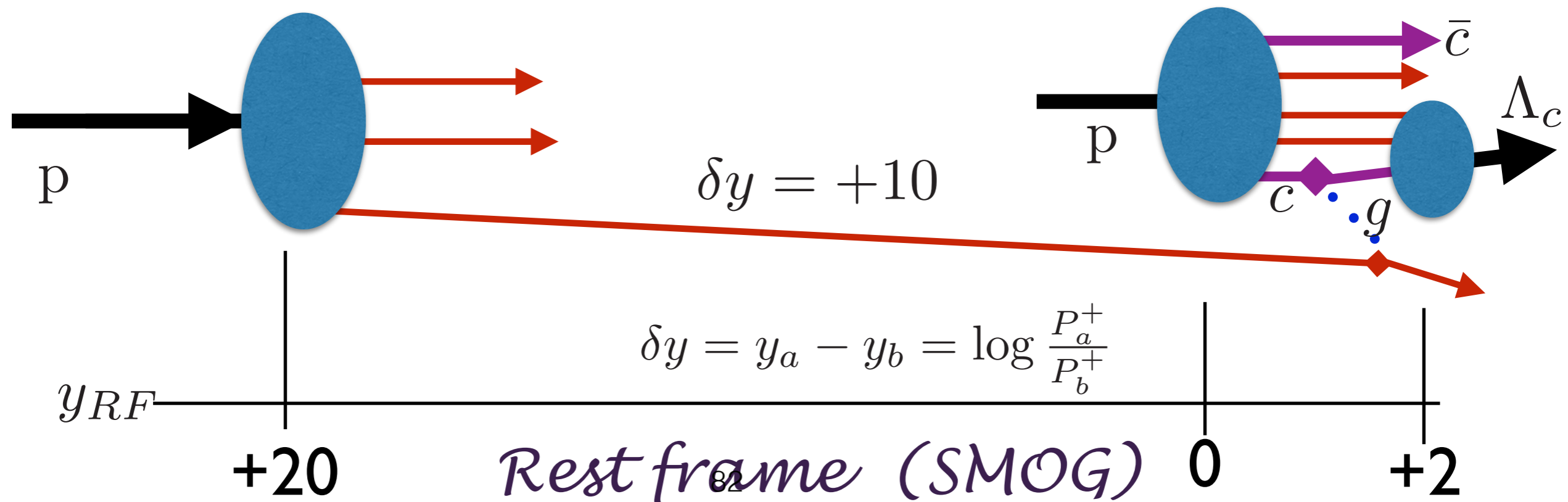
*Intrinsic heavy quark probability in the nucleon maximal at minimum off-shellness*

*Quarkonium produced nearly at rest — has small rapidity in target rest frame*



$ISR\ x_F(\Lambda_c) = 0.8$

*CM frame*



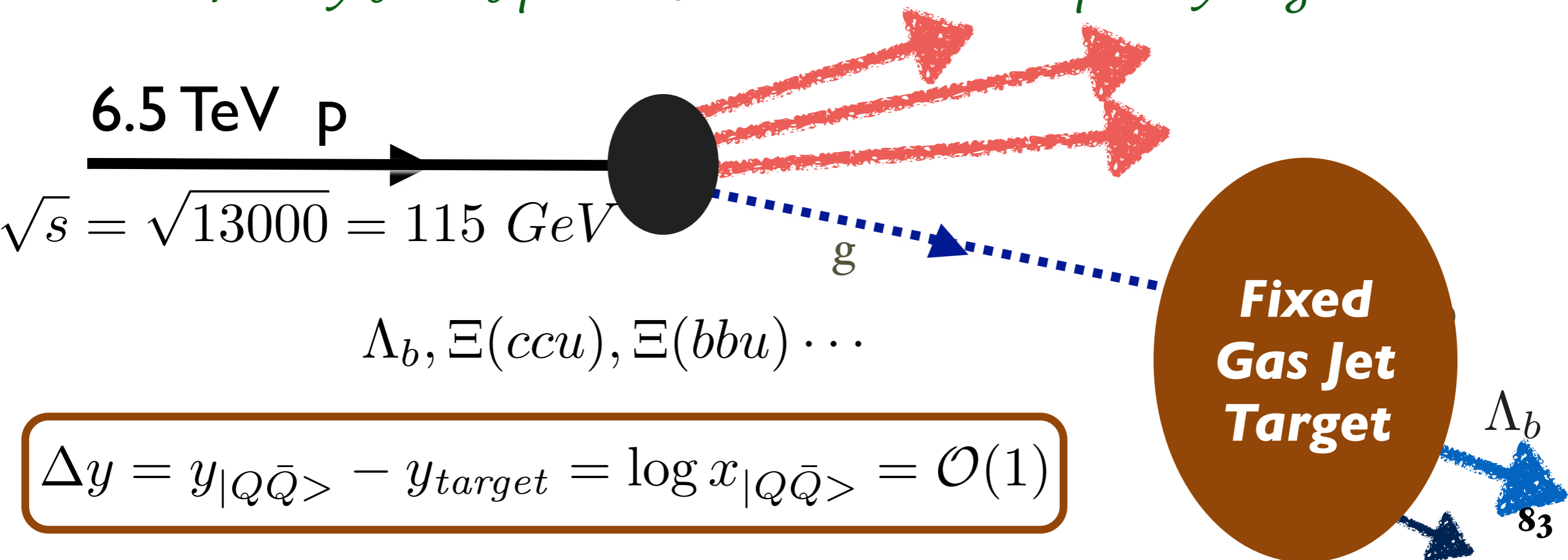


# Excitation of Intrinsic Heavy Quarks in a Fixed Target

*Amplitude maximal at minimal invariant mass,  
in target rapidity domain!*

$$x_i \sim \frac{m_{\perp i}}{\sum_j^n m_{\perp j}} \quad \frac{d\sigma}{dy_{J/\psi}} (pA \rightarrow J/\psi X)$$

*Heavy states produced in TARGET rapidity region*



Produce  $J/\psi, \Upsilon, \Lambda_c, \Lambda_b, |ccu\rangle, |cud\bar{c}\rangle, |cuudddu\bar{c}\rangle, \dots$

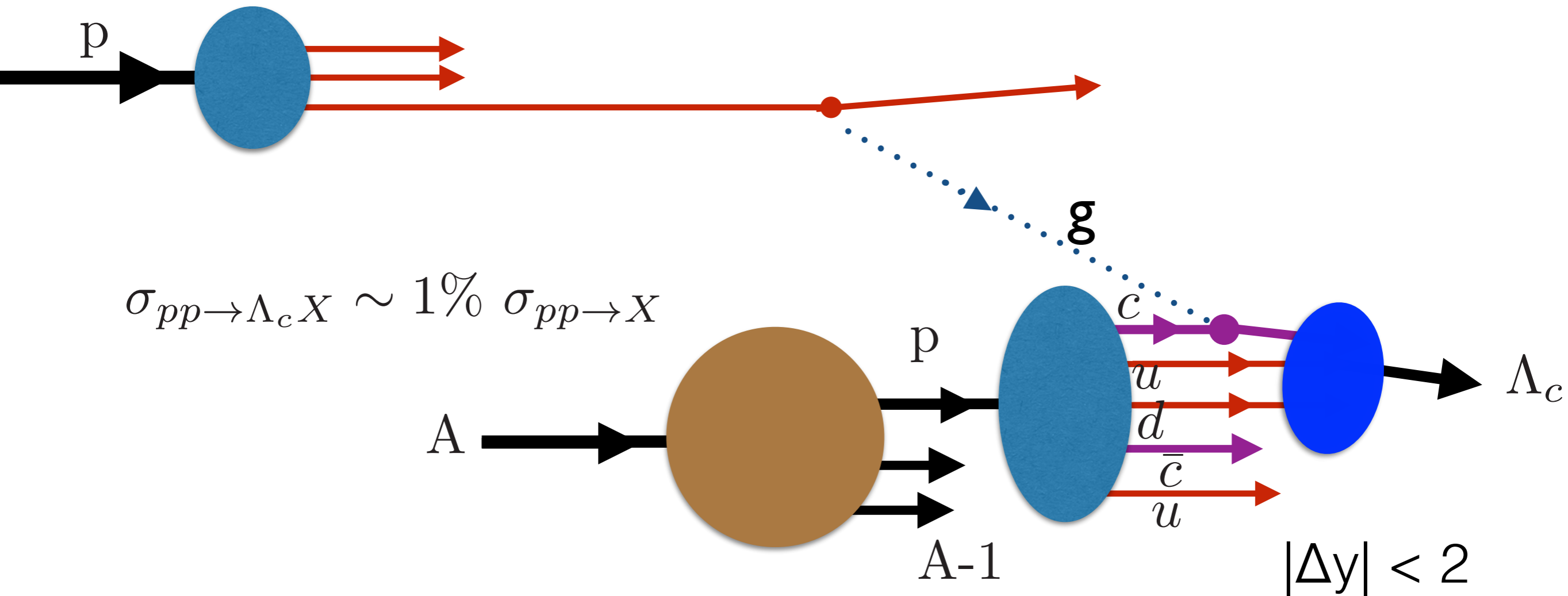
**Test at Smog@LHCb**

discussions with M. Williams

$$pA \rightarrow \Lambda_c X$$

$$E_p = 6.5 \text{ TeV}$$

p



## SMOG target at rest

*Intrinsic heavy quark probability in the nucleon maximal at minimum off-shellness*

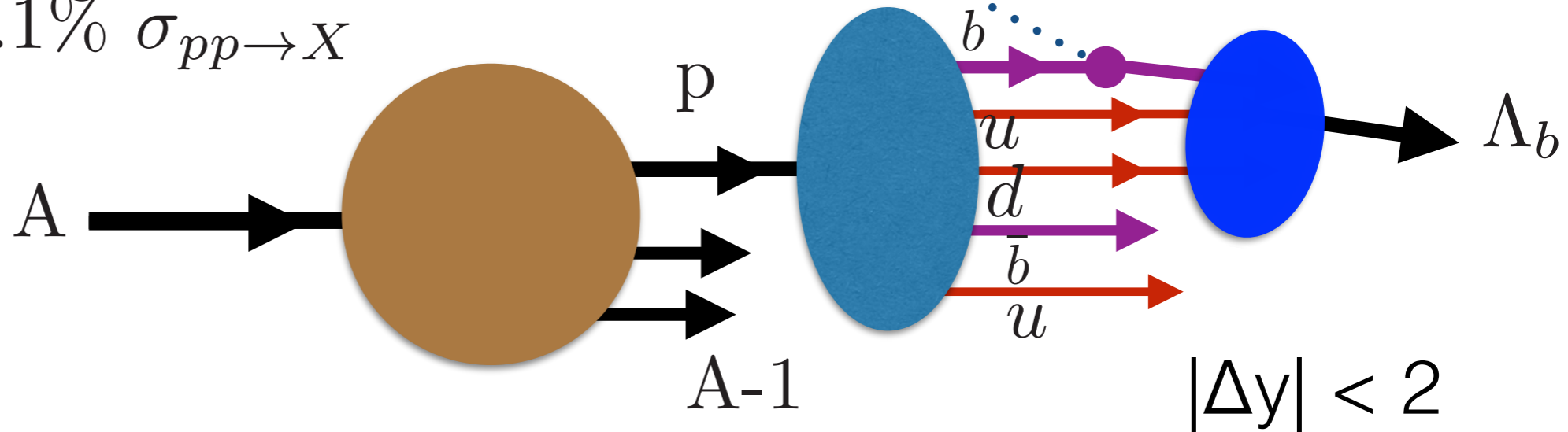
*Heavy hadrons produced nearly at rest — has small rapidity in target rest frame*

$$pA \rightarrow \Lambda_b X$$

$$E_p = 6.5 \text{ TeV}$$



$$\sigma_{pp \rightarrow \Lambda_b X} \sim 0.1\% \sigma_{pp \rightarrow X}$$

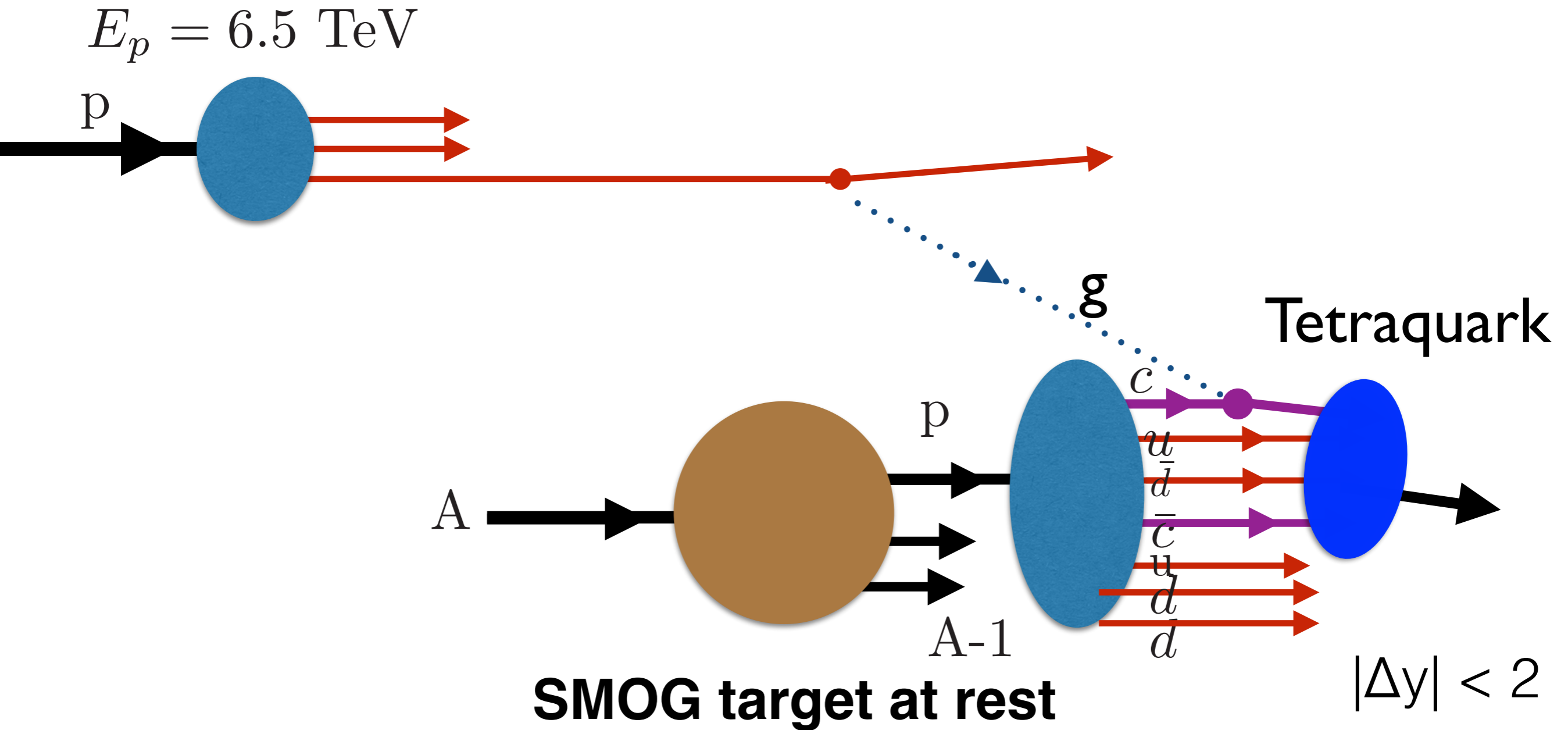


## SMOG target at rest

*Intrinsic heavy quark probability in the nucleon maximal at minimum off-shellness*

*Quarkonium produced nearly at rest — has small rapidity in target rest frame*

$$pA \rightarrow \text{Tetraquark}(|cu\bar{c}\bar{d}\rangle)X$$



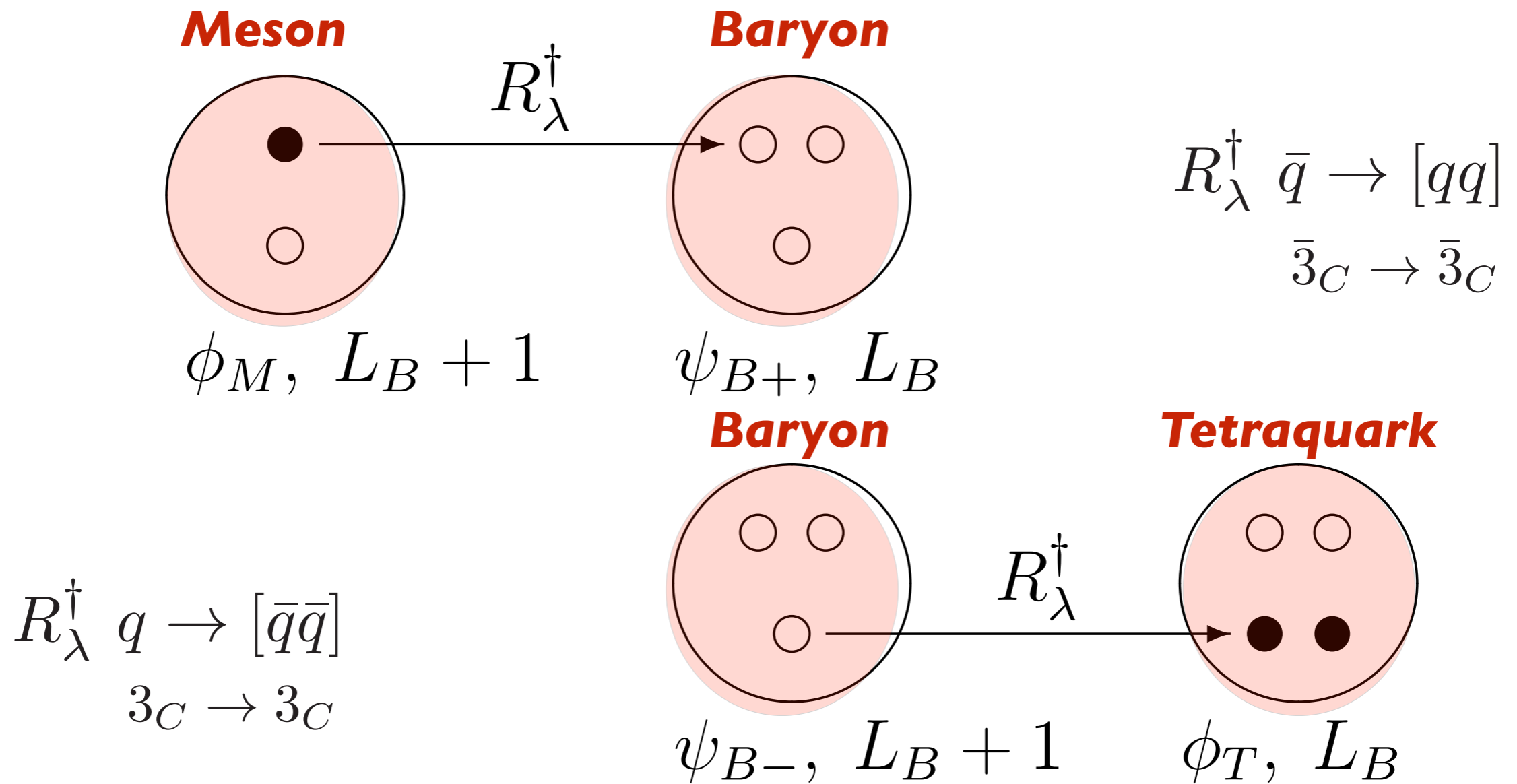
*Intrinsic heavy quark probability in the nucleon maximal at minimum off-shellness*

*Tetraquark produced nearly at rest — has small rapidity in target rest frame*

# Superconformal Algebra

## 2X2 Hadronic Multiplets: 4-Plet

Bosons, Fermions with Equal Mass!



Proton:  $|u[ud]\rangle$  Quark + Scalar Diquark  
 Equal Weight,  $L=0, L=1$

# New World of Tetraquarks

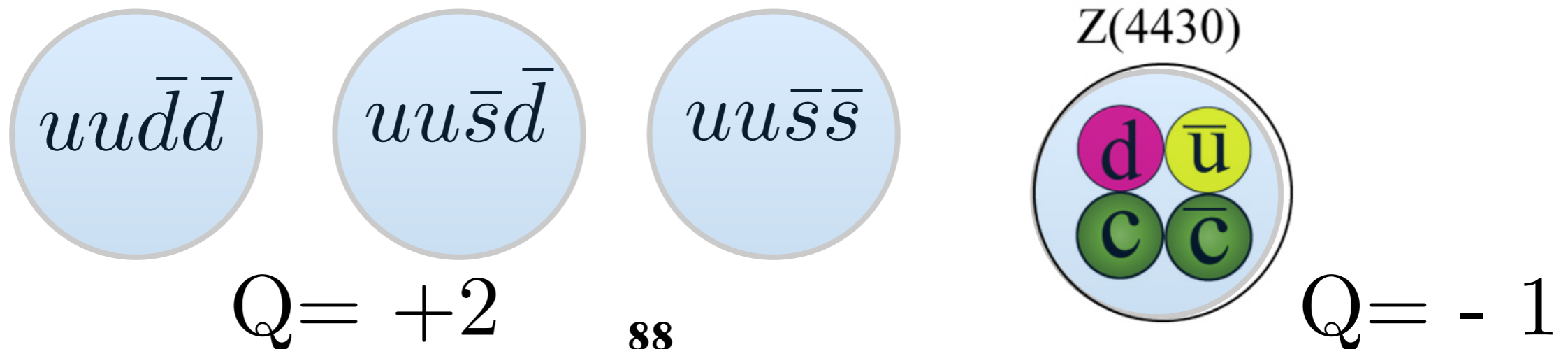
$$3_C \times 3_C = \bar{3}_C + 6_C$$

*Bound!*

- Diquark Color-Confined Constituents: Color  $\bar{3}_C$
- Diquark-Antidiquark bound states
- Confinement Force Similar to quark-antiquark mesons  $\bar{3}_C \times 3_C = 1_C$

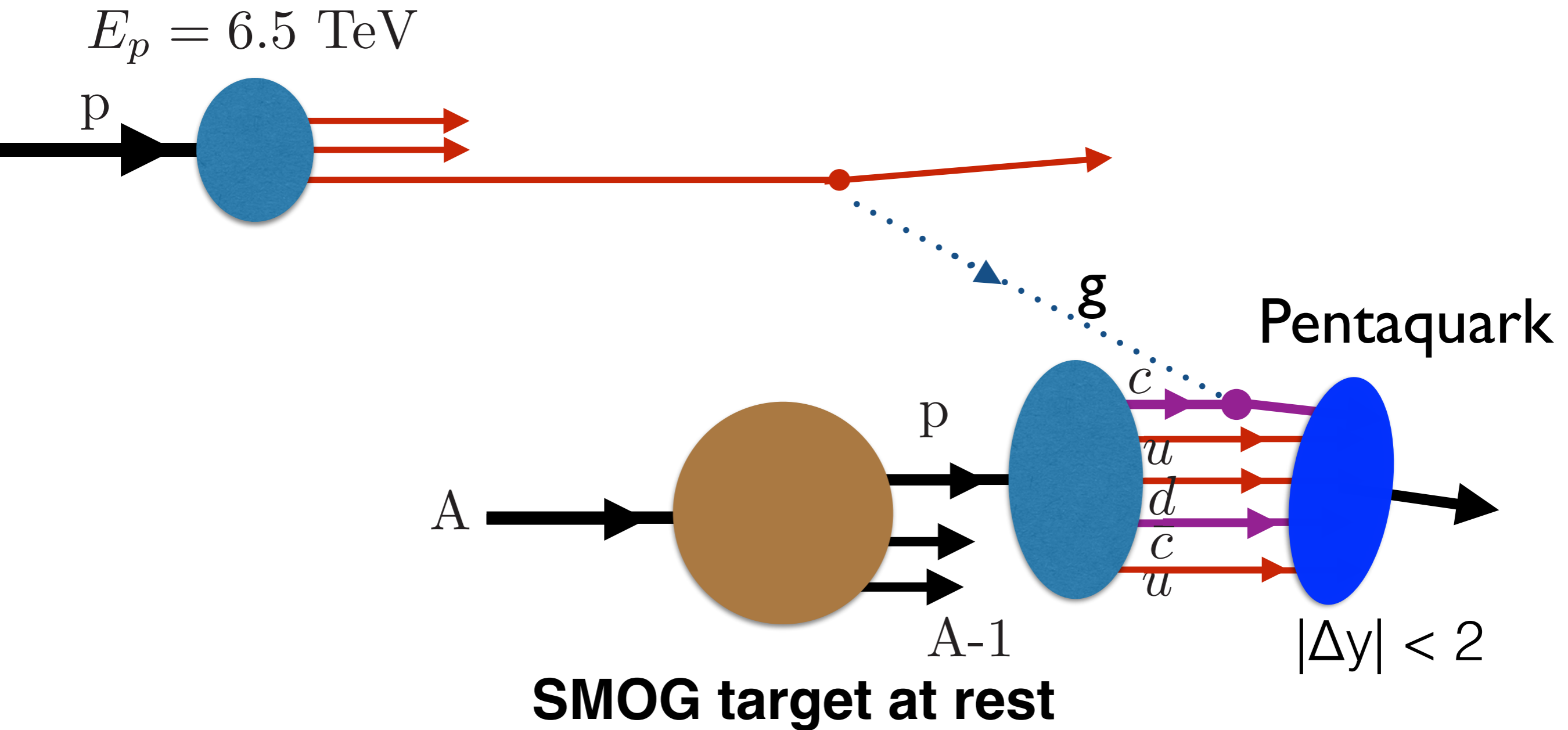
Complete Regge spectrum in  $n, L$

- Isospin  $I = 0, \pm 1, \pm 2$  Charge  $Q = 0, \pm 1, \pm 2$





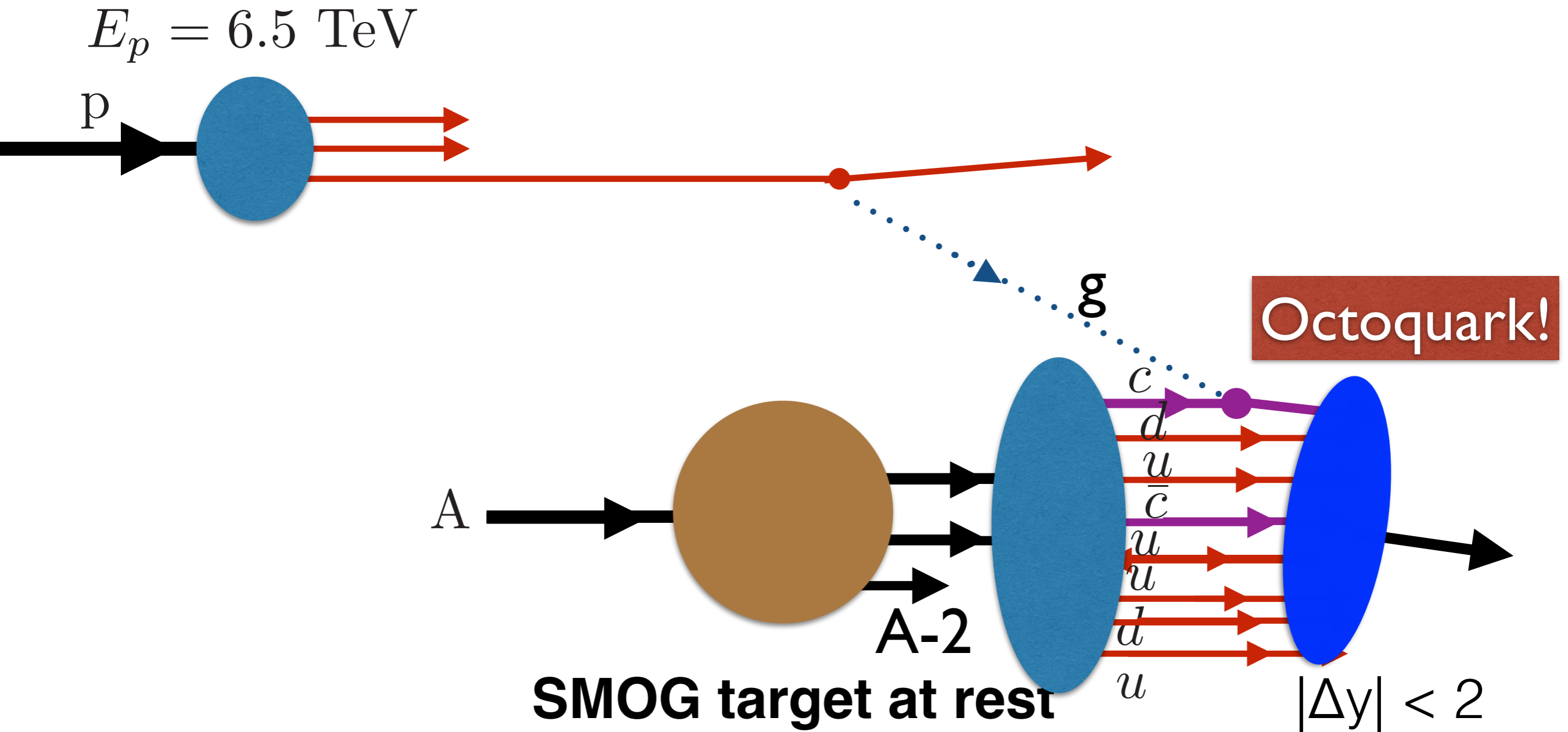
$$pA \rightarrow \text{Pentaquark}(|uudc\bar{c}\rangle)X$$



*Intrinsic heavy quark probability in the nucleon maximal at minimum off-shellness*

*Produced nearly at rest — has small rapidity in target rest frame*

$$pA \rightarrow \text{Octoquark}(|uuduudc\bar{c}\rangle)X$$

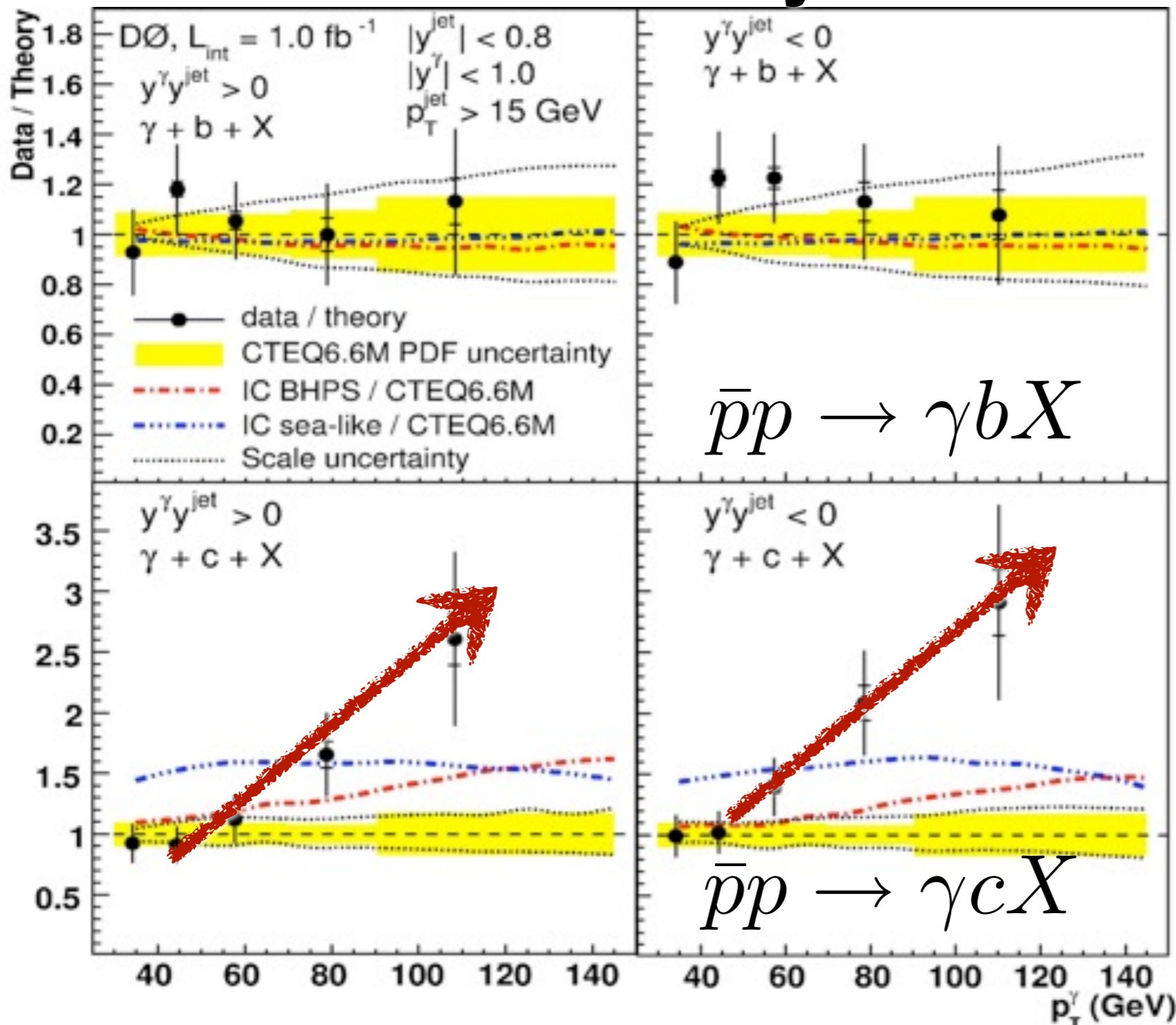


*Intrinsic heavy quark probability in the nucleon maximal at minimum off-shellness*

*Produced nearly at rest — has small rapidity in target rest frame*

Measurement of  $\gamma + b + X$  and  $\gamma + c + X$  Production Cross Sections  
in  $p\bar{p}$  Collisions at  $\sqrt{s} = 1.96$  TeV

**Data/Theory**



$$\frac{\Delta\sigma(\bar{p}p \rightarrow \gamma c X)}{\Delta\sigma(\bar{p}p \rightarrow \gamma b X)}$$

**Ratio insensitive  
to gluon PDF,  
scales**

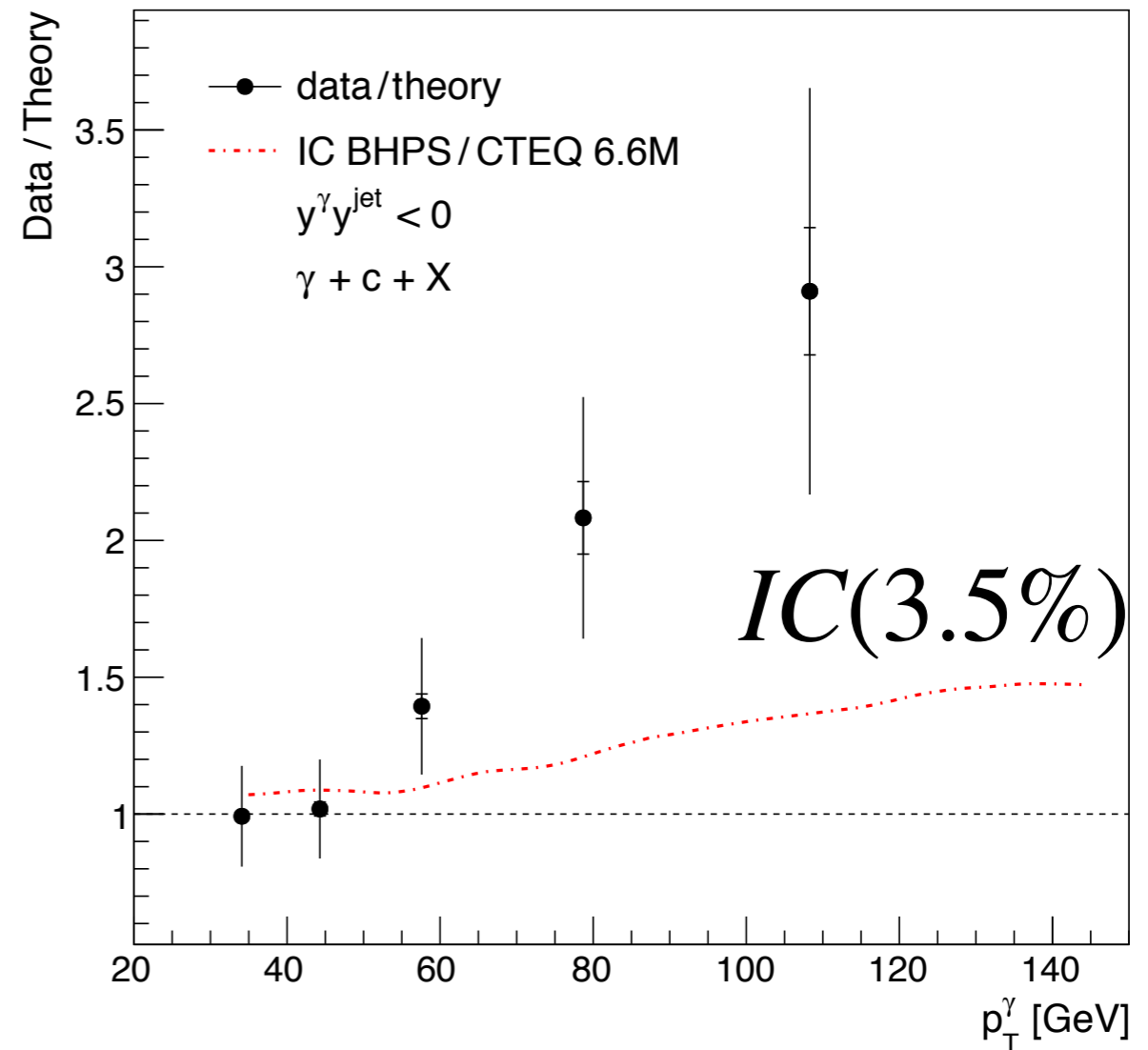
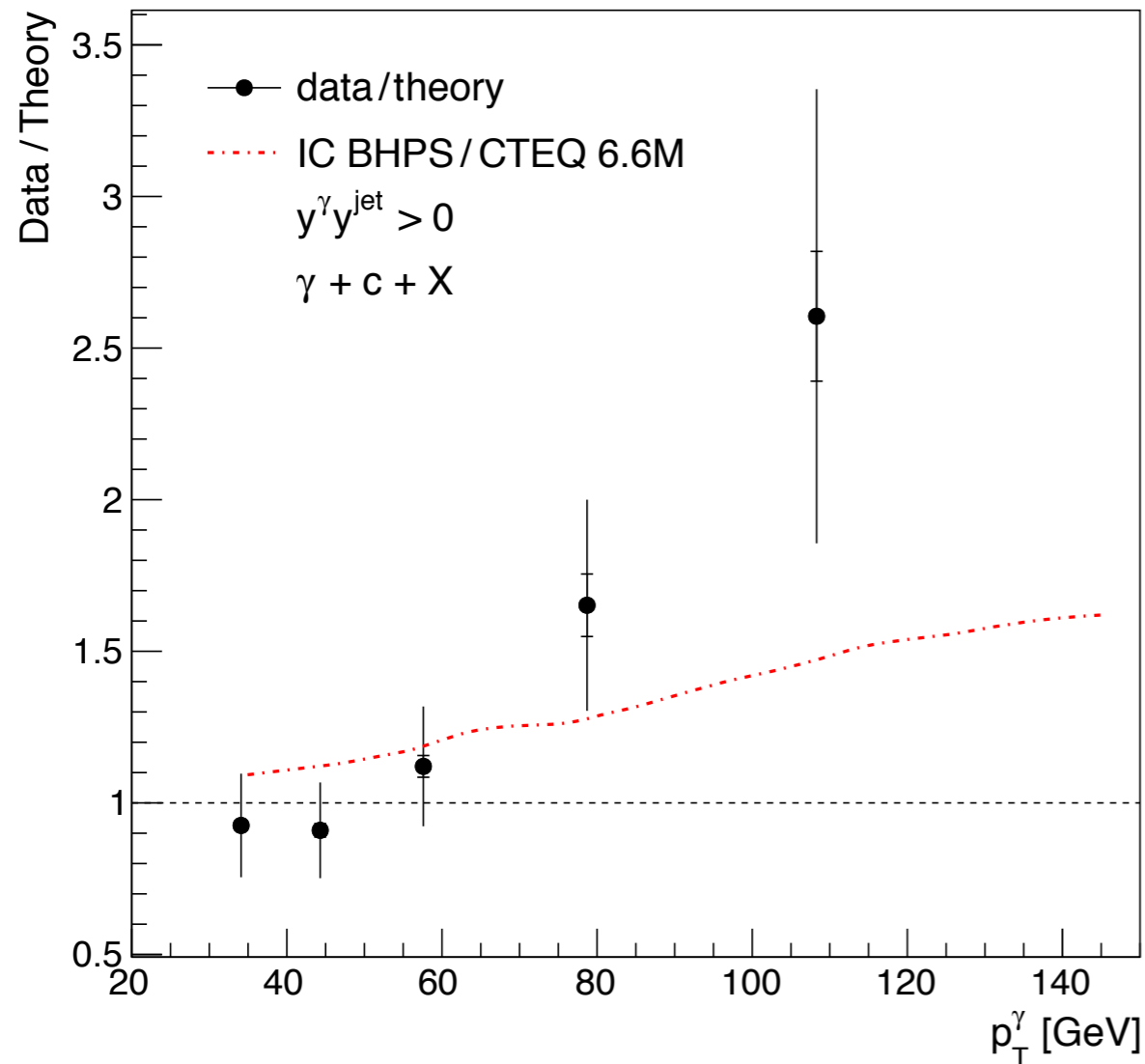
**Signal for significant  
IC  
at  $x > 0.1$**

*Consistent with EMC measurement of charm  
structure function at high  $x$*

# Production of Prompt Photon and $c$ or $b$ -jet in Hard $pp$ Collisions

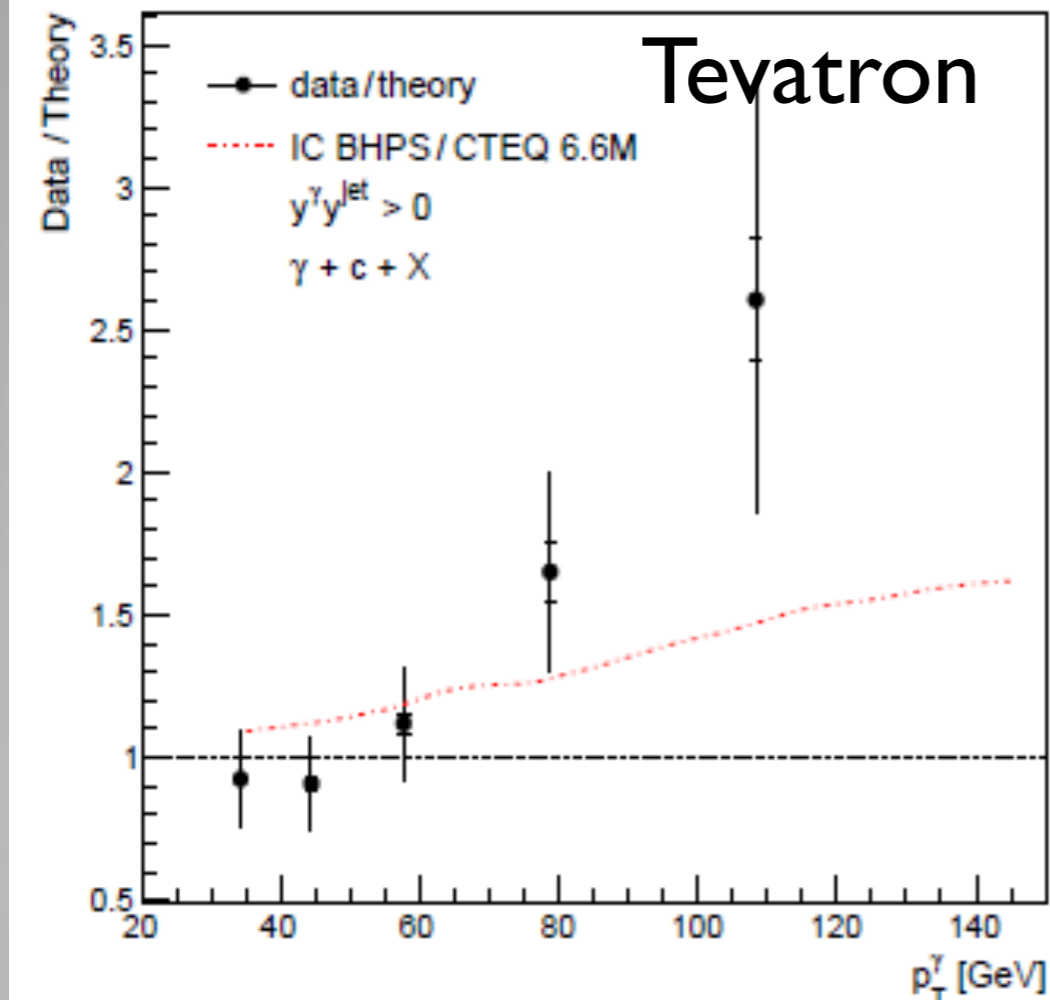
$$p\bar{p} \rightarrow \gamma cX$$

Juraj Smieško



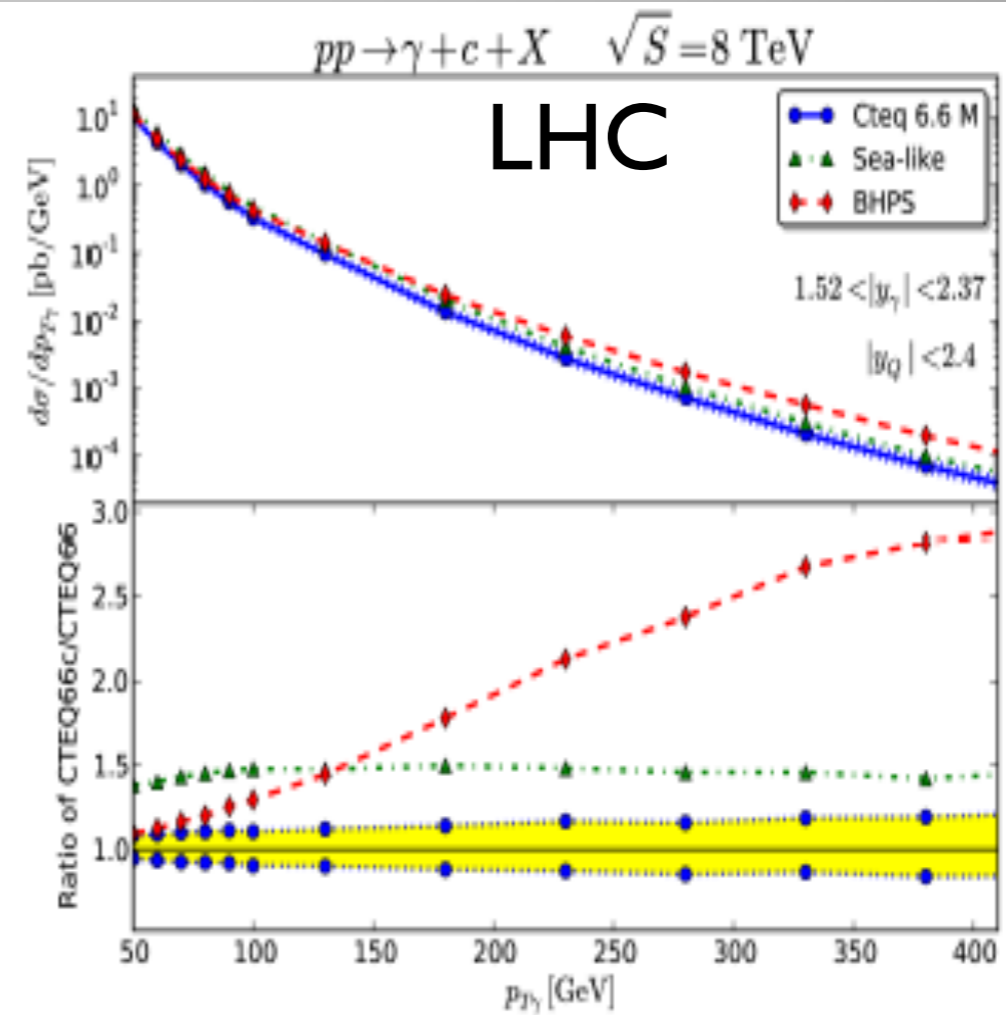
The data-to-theory ratio [8] for the processes  $p\bar{p} \rightarrow \gamma + c + X$ , when  $y^\gamma y^{\text{jet}} > 0$  (left) and the same ratio, when  $y^\gamma y^{\text{jet}} < 0$  (right) at  $\sqrt{s} = 1.96$  TeV. The dash-dotted line is the calculation of this ratio using the **BHPS IC** model with the **IC** probability about 3.5 %.

Ratio Data/Theory for  $p \bar{p} \rightarrow \gamma + c + X$   
 (D0 experiment) at  $s^{1/2} = 1.96$  TeV (left)



*V.M. Abazov, et al. (D0) Phys.Rev.Lett.  
 102 (2009) 192002.*

$p_T$  –spectrum in  
 $pp \rightarrow \gamma + c(\text{jet}) + X$

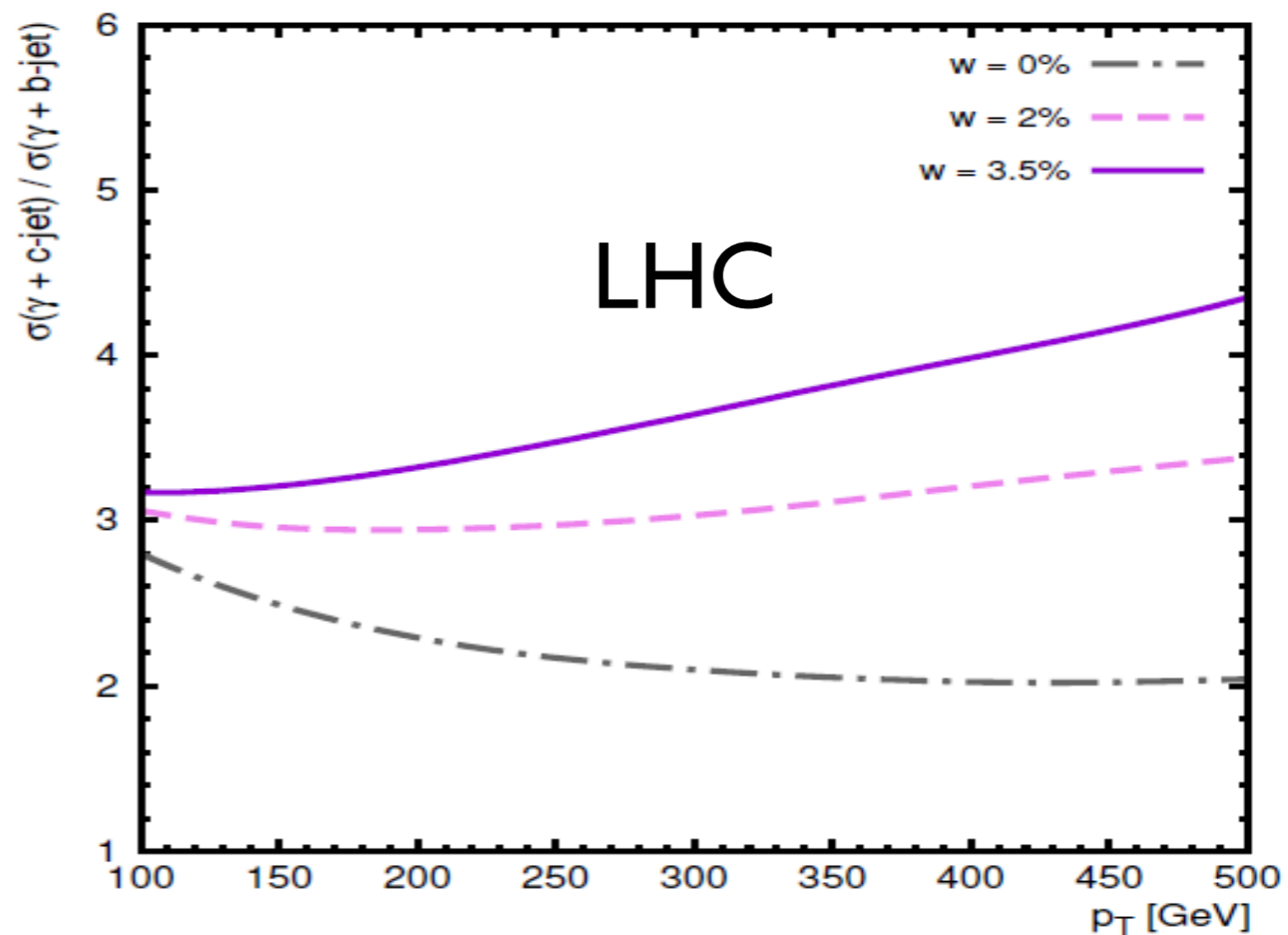
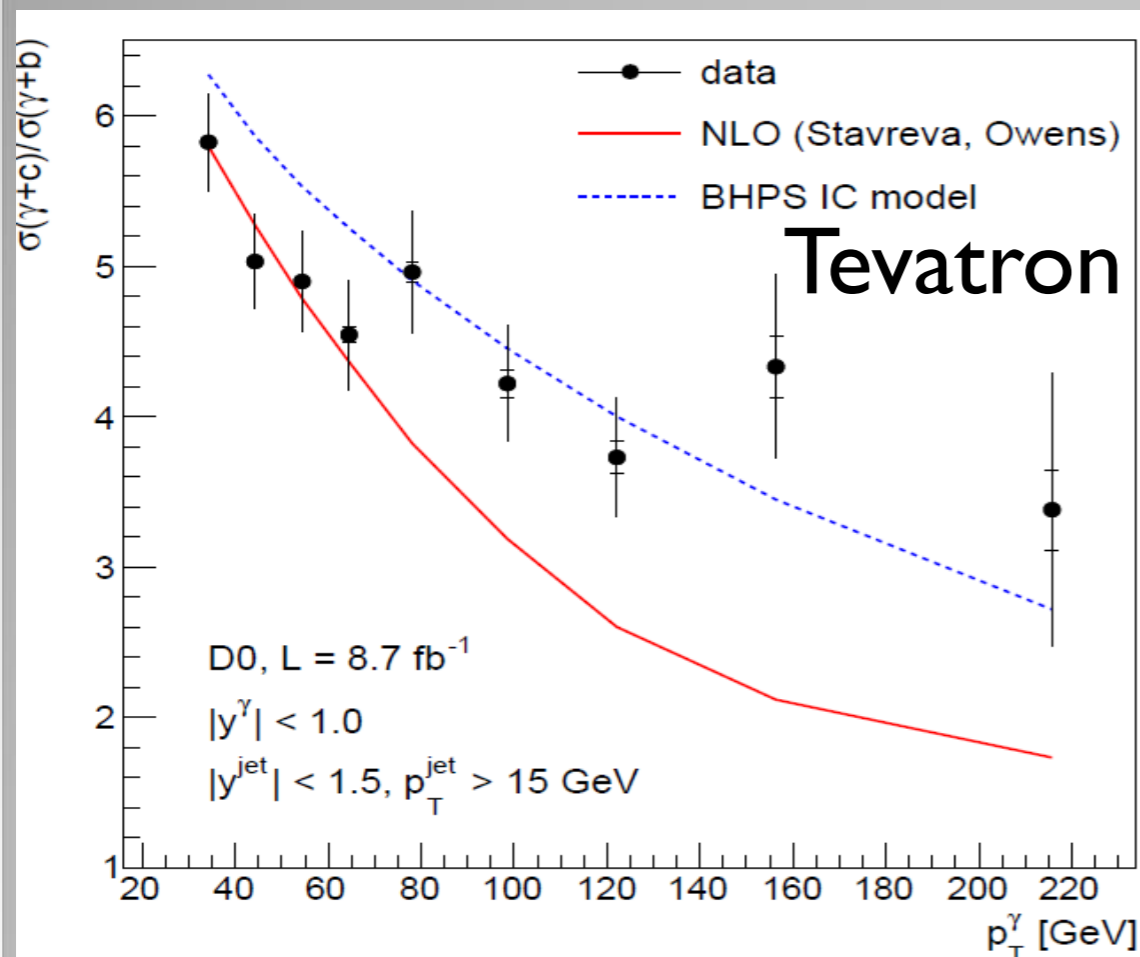


*V.A. Bednyakov, M.A. Demichev, G.I. Lykasov,  
 T. Stavreva, M. Stockton, Phys.Lett. B728  
 (2014) 602 (right).*



$R = \sigma(\gamma + c) / \sigma(\gamma + b)$  for  $p \bar{p} \rightarrow \gamma + Q$  at  $s^{1/2} = 1.98 \text{ TeV}$  (left)

$R = \sigma(\gamma + c) / \sigma(\gamma + b)$  for  $pp \rightarrow \gamma + Q$  at  $s^{1/2} = 8 \text{ TeV}$  (right)



*V.M. Abazov, et al. (D0) Phys.Lett. B719 (2013) 354 .*

$$\frac{\sigma(pp \rightarrow \gamma c X)}{\sigma(pp \rightarrow \gamma b X)}$$

*A.V. Lipatov, G.I. Lykasov, Yu.Yu. Stepanenko, V.A. Bednyakov,*

*Phys.Rev. D94 , 053011 (2016) ;*

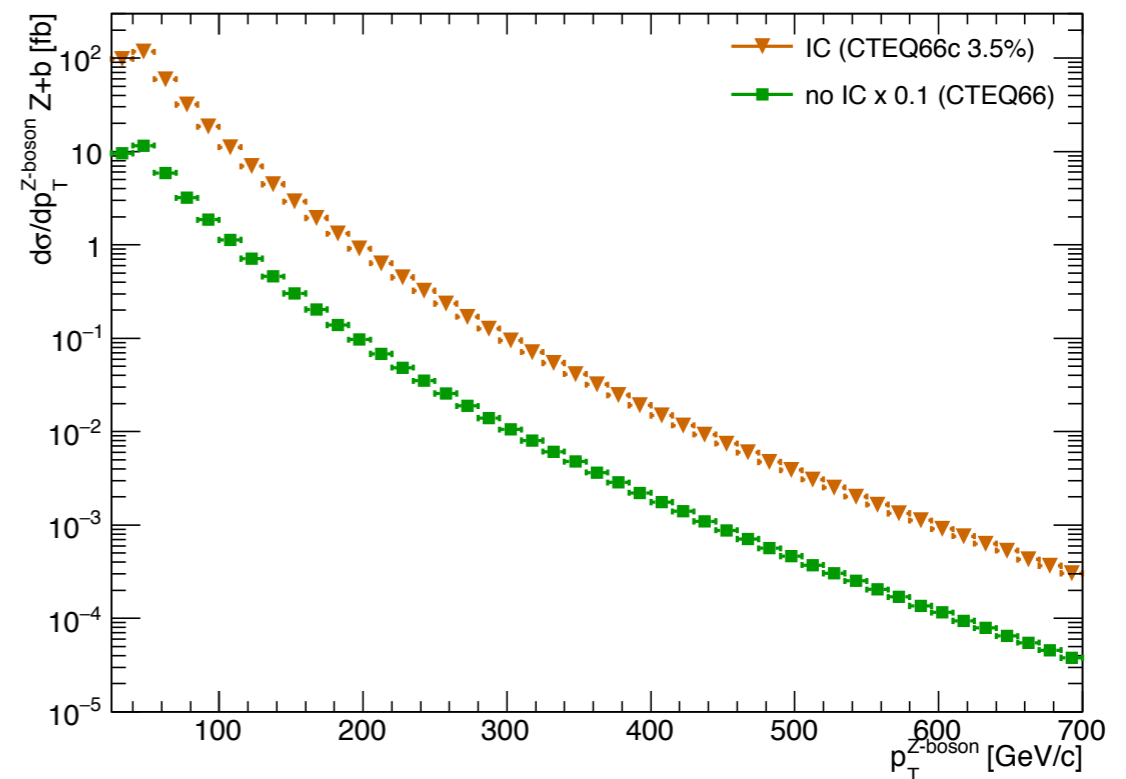
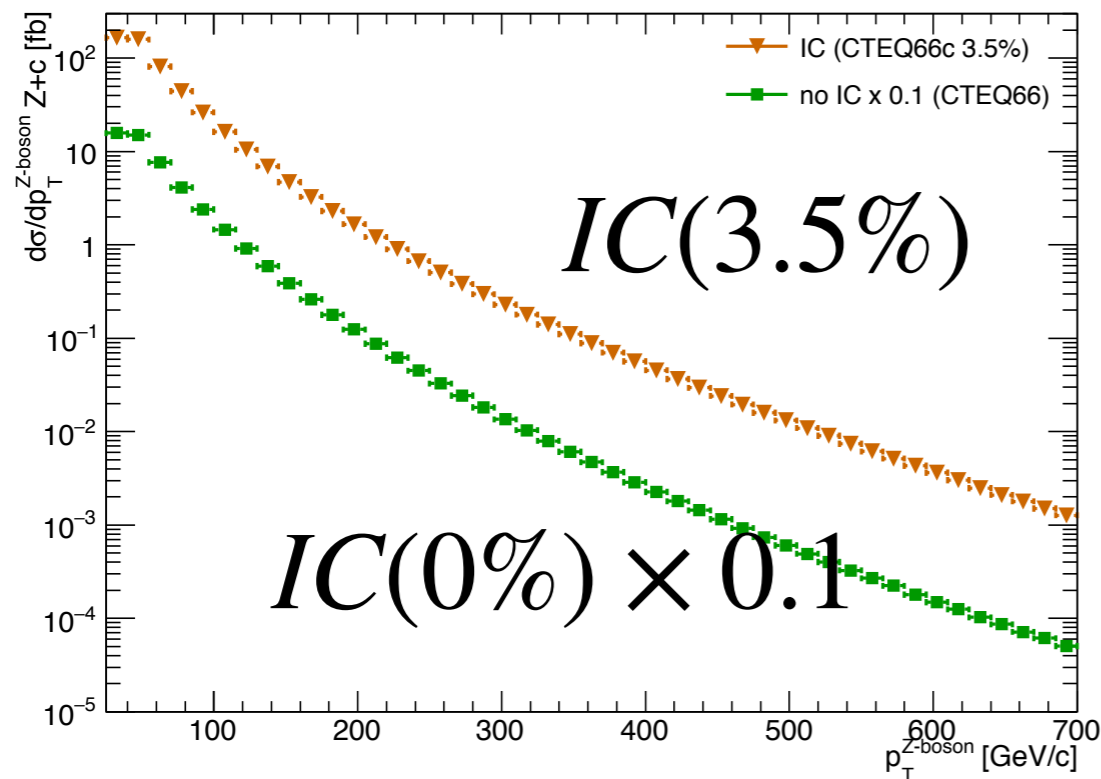
*S.J. Brodsky, V.A. Bednyakov, G.I. Lykasov, J. Smiesko, S. Tokar,*

*arXiv:1612.01351 , Prog. Part.Nucl.Phys. in press*



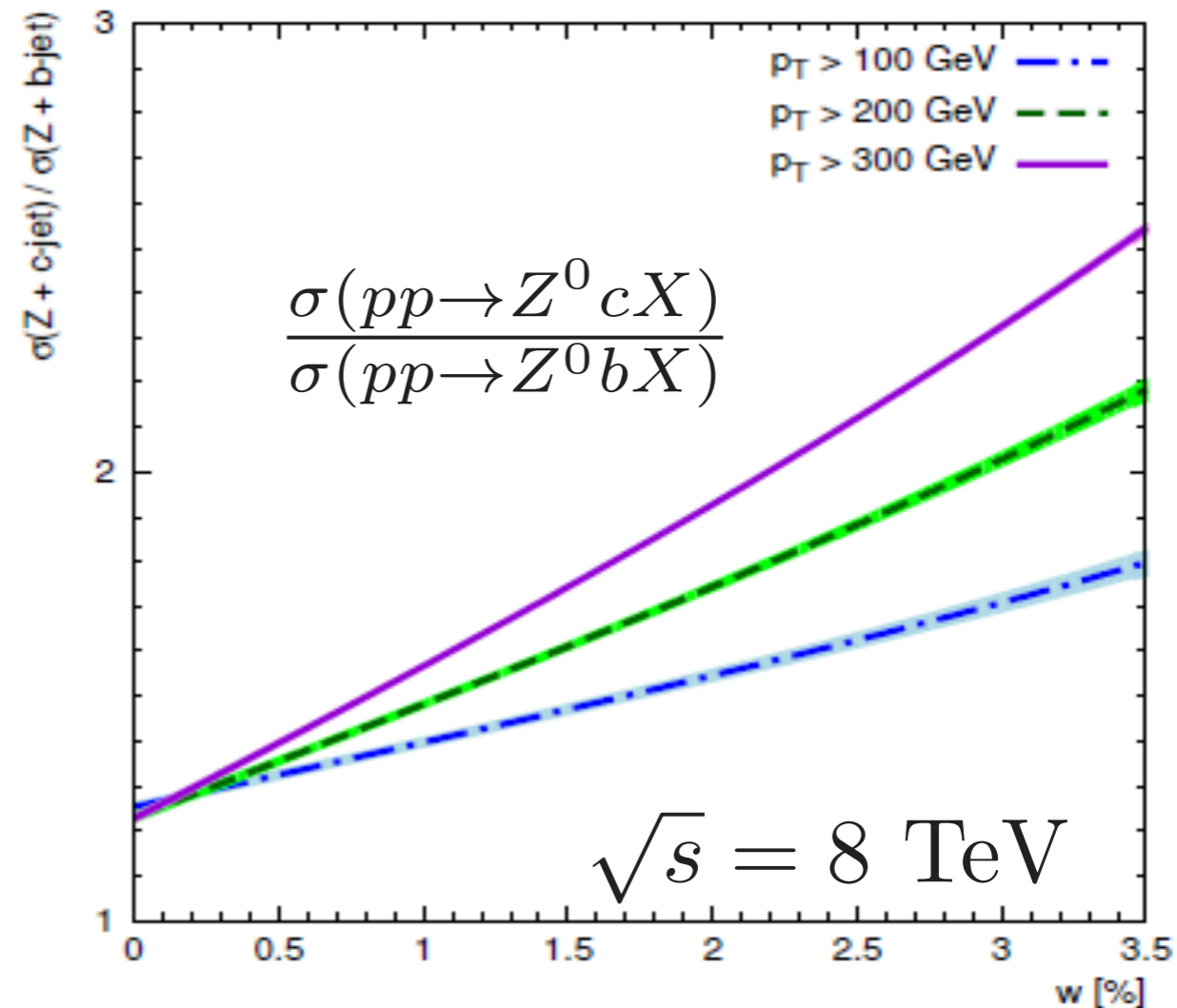
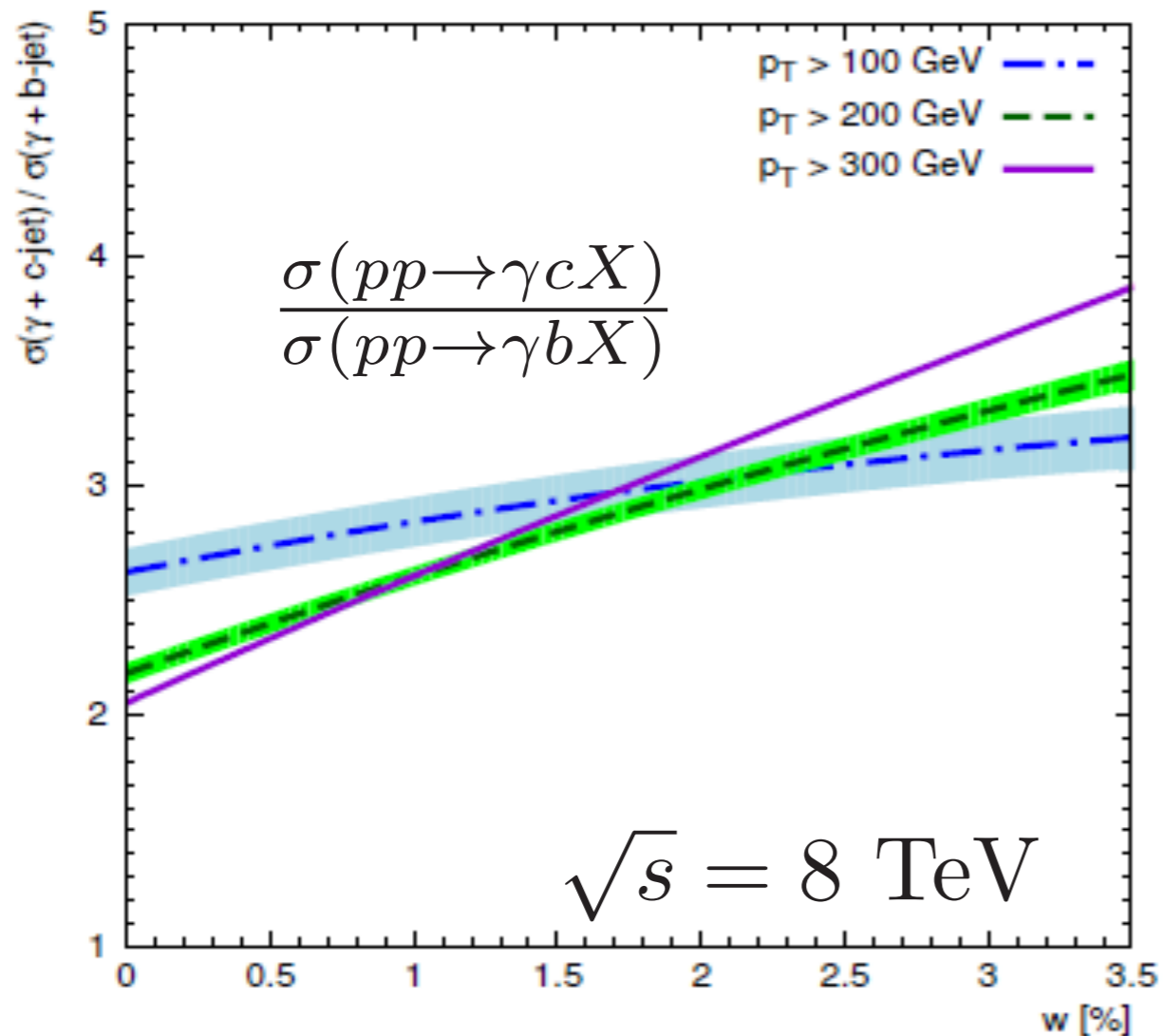
$$\frac{d\sigma}{dp_T}(pp \rightarrow Z + c + X)(fb)$$

$$\frac{d\sigma}{dp_T}(pp \rightarrow Z + b + X)(fb)$$



The cross-sections of the associated  $Z+c$  (left) and  $Z+b$  (right) production in  $pp$  collision calculated as a function of the  $Z$  boson transverse momentum  $p_T$  at  $\sqrt{s} = 13$  TeV within the MCFM routine.

$$\sqrt{s} = 13 \text{ TeV}$$



Ratio between the x-sections of  $\gamma + c$  and  $\gamma + b$  production in p-p collision at  $s^{1/2} = 8$  TeV integrated over  $p_T$ . (left) and the similar ratio between  $Z+c$  and  $Z+b$  production cross sections (right). Bands mean the QCD scale uncertainty .

*A.V.Lipatov, G.I.Lykasov, Yu.Yu.Stepanenko, V.A.Bednyakov,*  
*Phys.Rev. D94 , 053011 (2016) ;*

*S.J.Brodsky, V.A.Bednyakov, G.I.Lykasov, J.Smiesko, S.Tokar,*  
*arXiv:1612.01351 , Prog. Part.Nucl.Phys. in press*

# Why is Intrinsic Heavy Quark Phenomena Important?

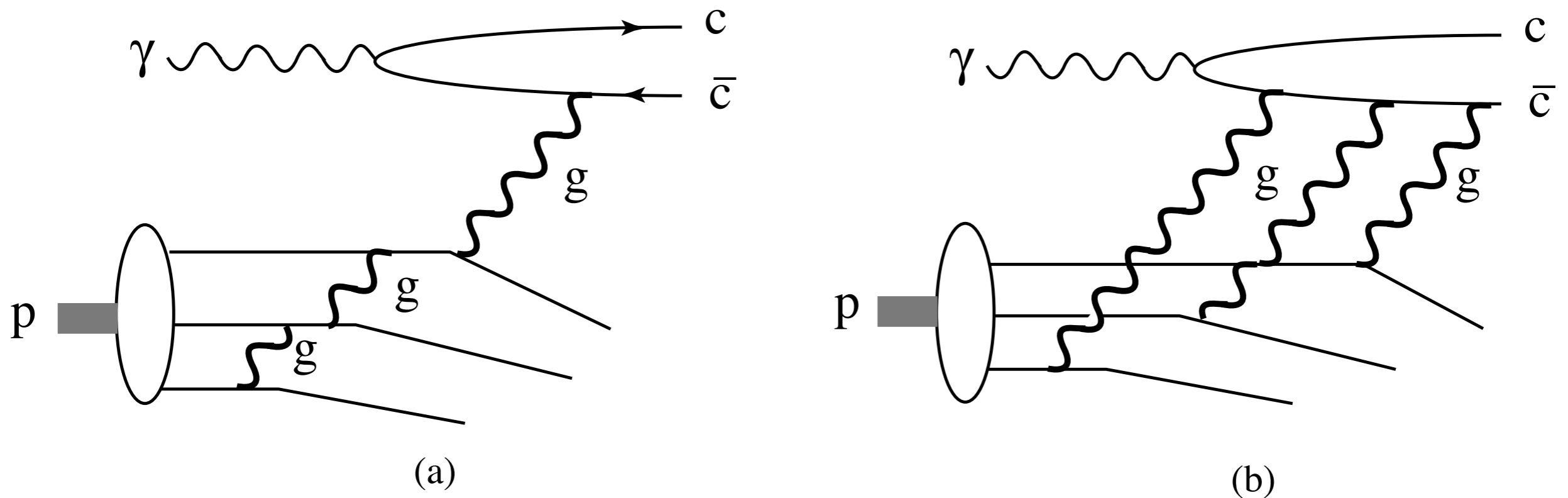
- **Test Fundamental QCD predictions OPE, Non-Abelian QCD**

$$\text{Non-Abelian: } P_{Q\bar{Q}} \propto \frac{1}{M_{Q\bar{Q}}^2} \quad \text{Abelian: } P_{Q\bar{Q}} \propto \frac{1}{M_{Q\bar{Q}}^4}$$

- **Test non-perturbative effects**
- **Important for correctly identifying the gluon distribution**
- **High- $x_F$  open and hidden charm and bottom; discover exotic states**
- **Explain anomalous high  $p_T$  charm jet +  $\gamma$  data at Tevatron**
- **Important source of high energy  $\nu$  at IceCube**

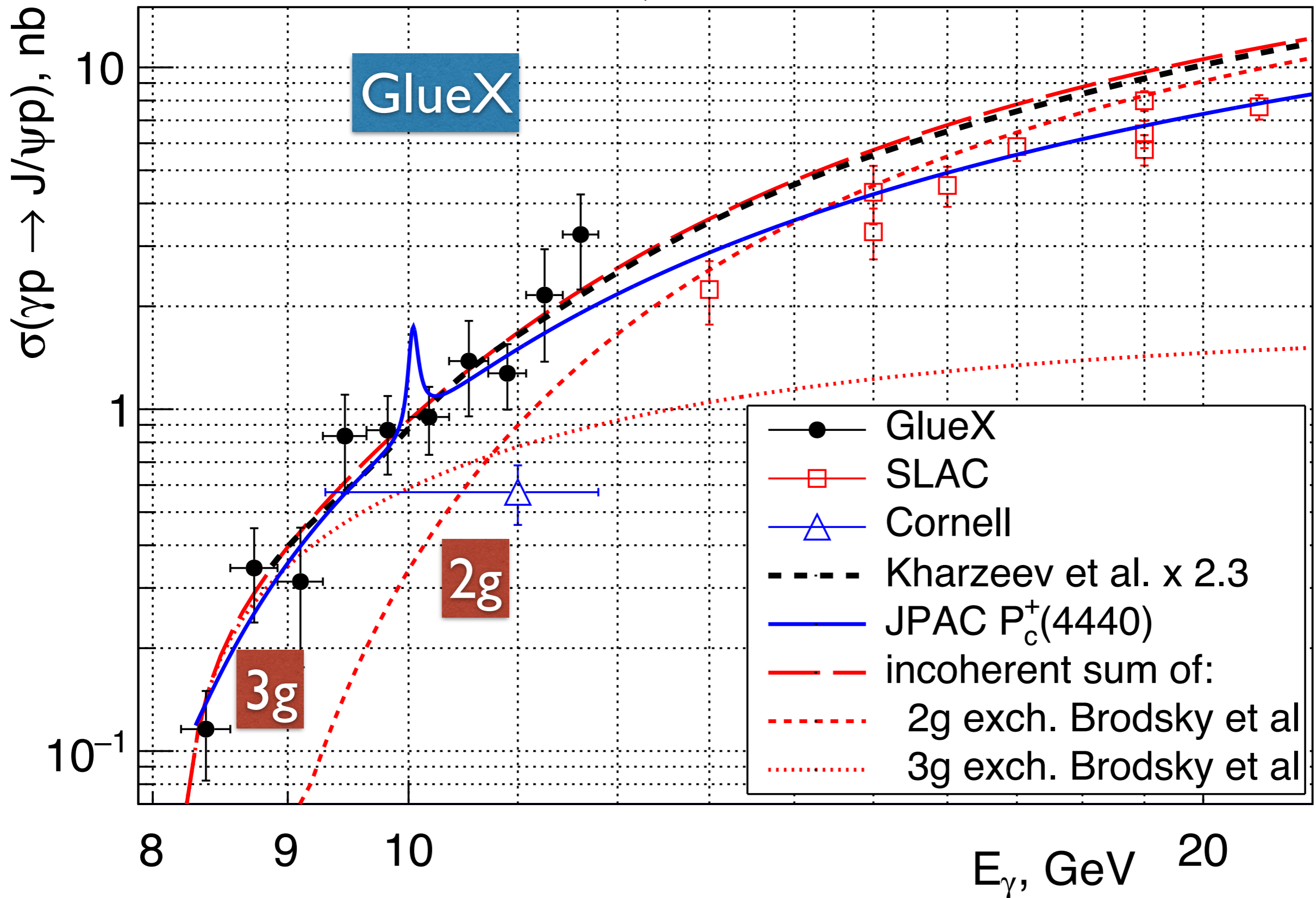
# Photoproduction of charm near threshold

S. J. Brodsky,<sup>1</sup> E. Chudakov,<sup>2</sup> P. Hoyer,<sup>3</sup> J.M. Laget,<sup>4</sup>



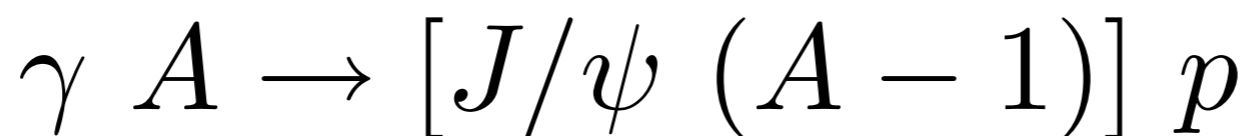
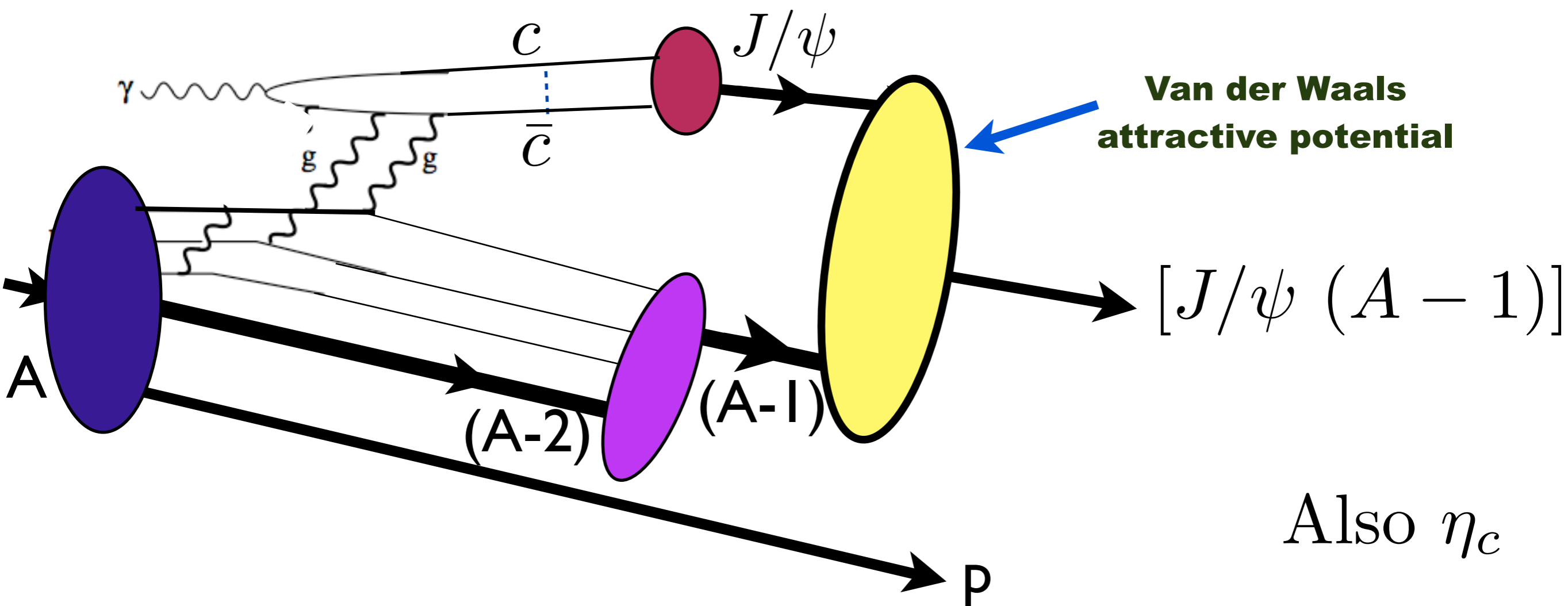
*Another aspect of IC*

# First measurement of near-threshold $J/\psi$ exclusive photoproduction off the proton



GlueX results for the  $J/\psi$  total cross section vs beam energy, compared to the Cornell [15] and SLAC [16] data, the theoretical predictions [11, 13], and the JPAC model [6] corresponding to  $\mathcal{B}(P_c^+(4440) \rightarrow J/\psi p) = 1.6\%$  for the  $J^P = 3/2^-$  case as discussed in the text. All curves are fitted/scaled to the GlueX data only. For our data the quadratic sums of statistical and systematic errors are shown; the overall normalization uncertainty is 27%.

# Charmonium Production at Threshold



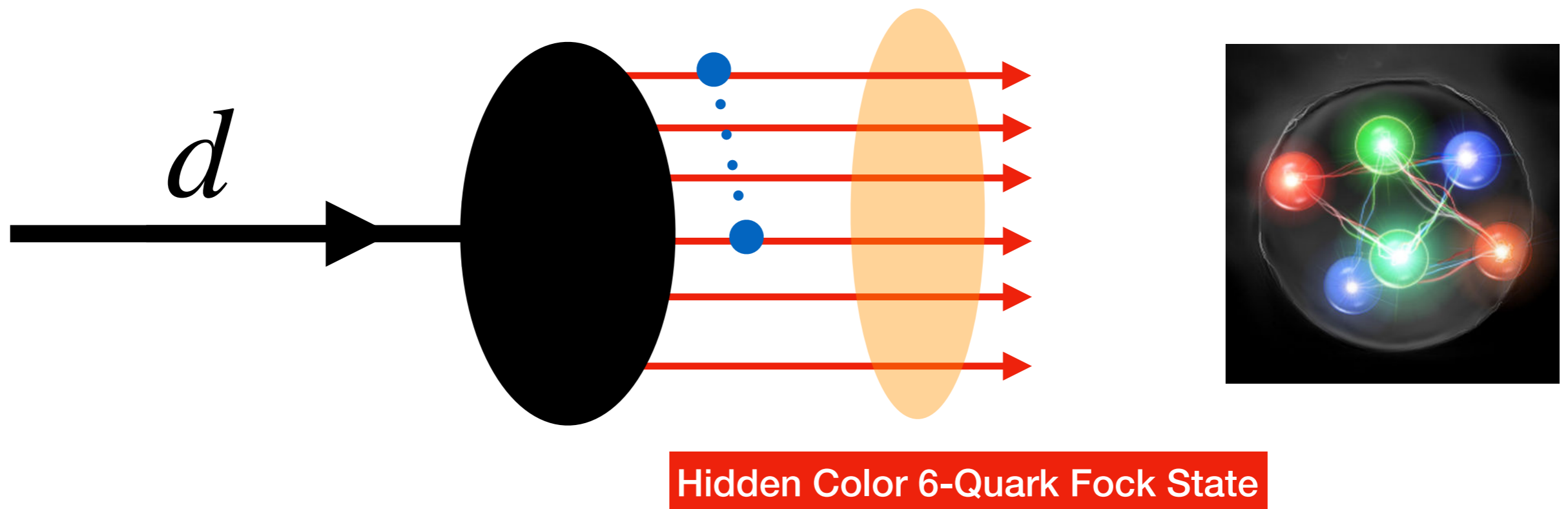
Also  $\eta_c$

*Form nuclear bound-charmonium bound state!*



# Hidden Color in QCD

- Deuteron: Five color-singlet combinations of 6 color-triplets
- One Fock state is n-p nucleon cluster, one state is  $\Delta$ - $\Delta$

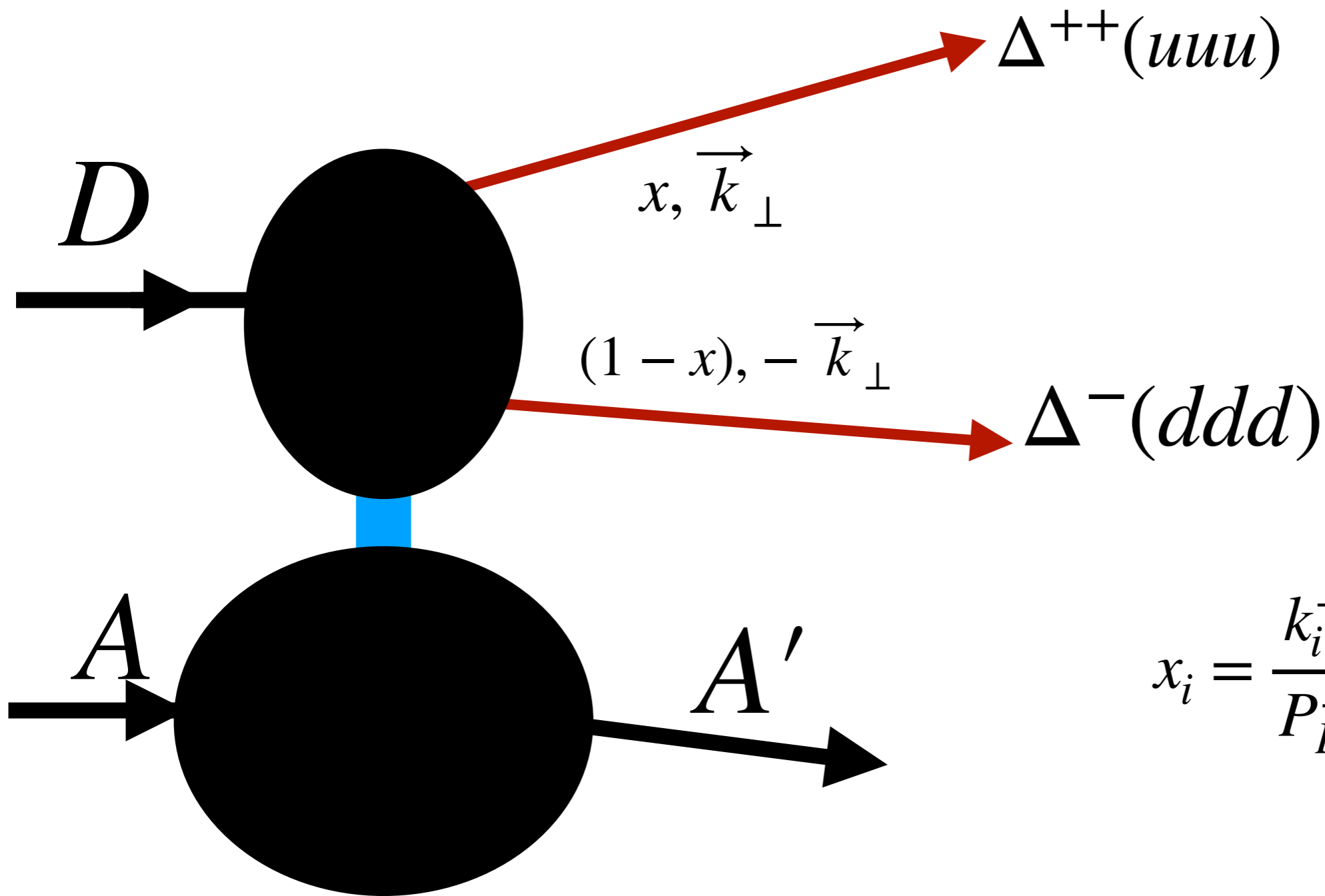


***Rigorous Feature of QCD!***

Lepage, Ji, sjb

# Measure Hidden-Color Fock state of the Deuteron LFWF

$$\psi_D^{\Delta\Delta}(x, \vec{k}_\perp) = \langle \Delta^{++}(x, \vec{k}_\perp) \Delta^-(1-x, -\vec{k}_\perp | \Psi_D \rangle$$



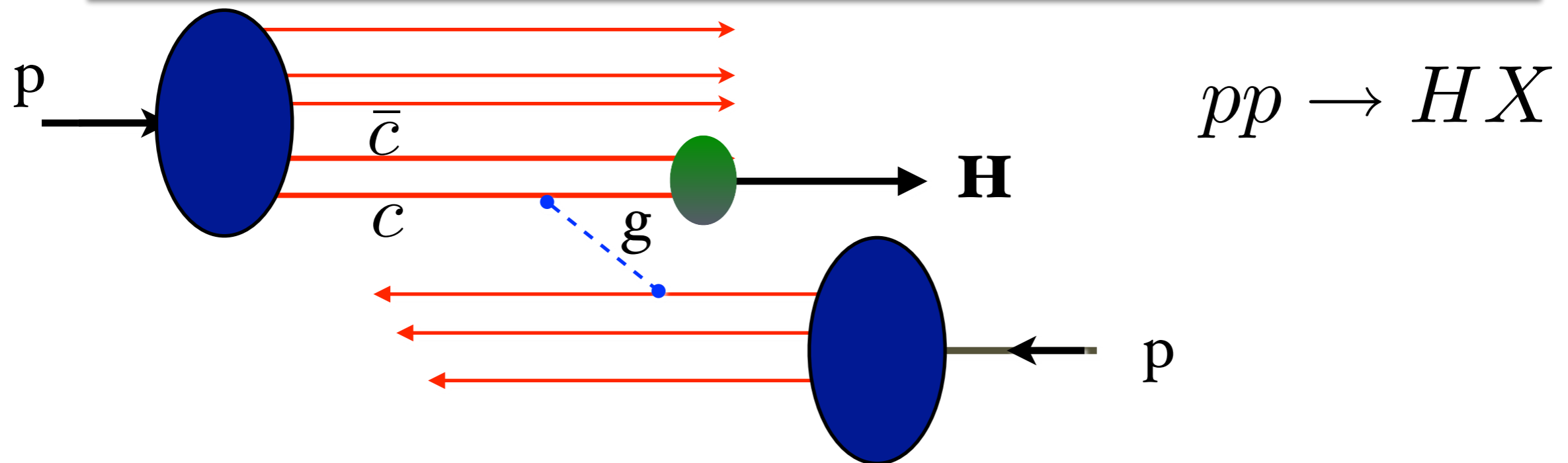
$$x_i = \frac{k_i^+}{P_D^+} = \frac{k_i^0 + k_i^z}{P_D^0 + P_D^z}$$

$$D + A \rightarrow \Delta^{++} \Delta^- + A' \quad \text{Measure } \mathcal{M}_{pn}^2 = (p_{\Delta^{++}} + p_{\Delta^-})^2 = \frac{k_\perp^2 + M_\Delta^2}{x(1-x)}$$

- IC Explains Anomalous  $\alpha(x_F)$  not  $\alpha(x_2)$  dependence of  $pA \rightarrow J/\psi X$   
(Mueller, Gunion, Tang, SJB)
- Color Octet IC Explains  $A^{2/3}$  behavior at high  $x_F$  (NA3, Fermilab) *Color Opacity*  
(Kopeliovitch, Schmidt, Soffer, SJB)
- IC Explains  $J/\psi \rightarrow \rho\pi$  puzzle  
(Karliner, SJB)
- IC leads to new effects in  $B$  decay  
(Gardner, SJB)

## Higgs production at $x_F = 0.8$

# Intrinsic Heavy Quark Contribution to Inclusive Higgs Production



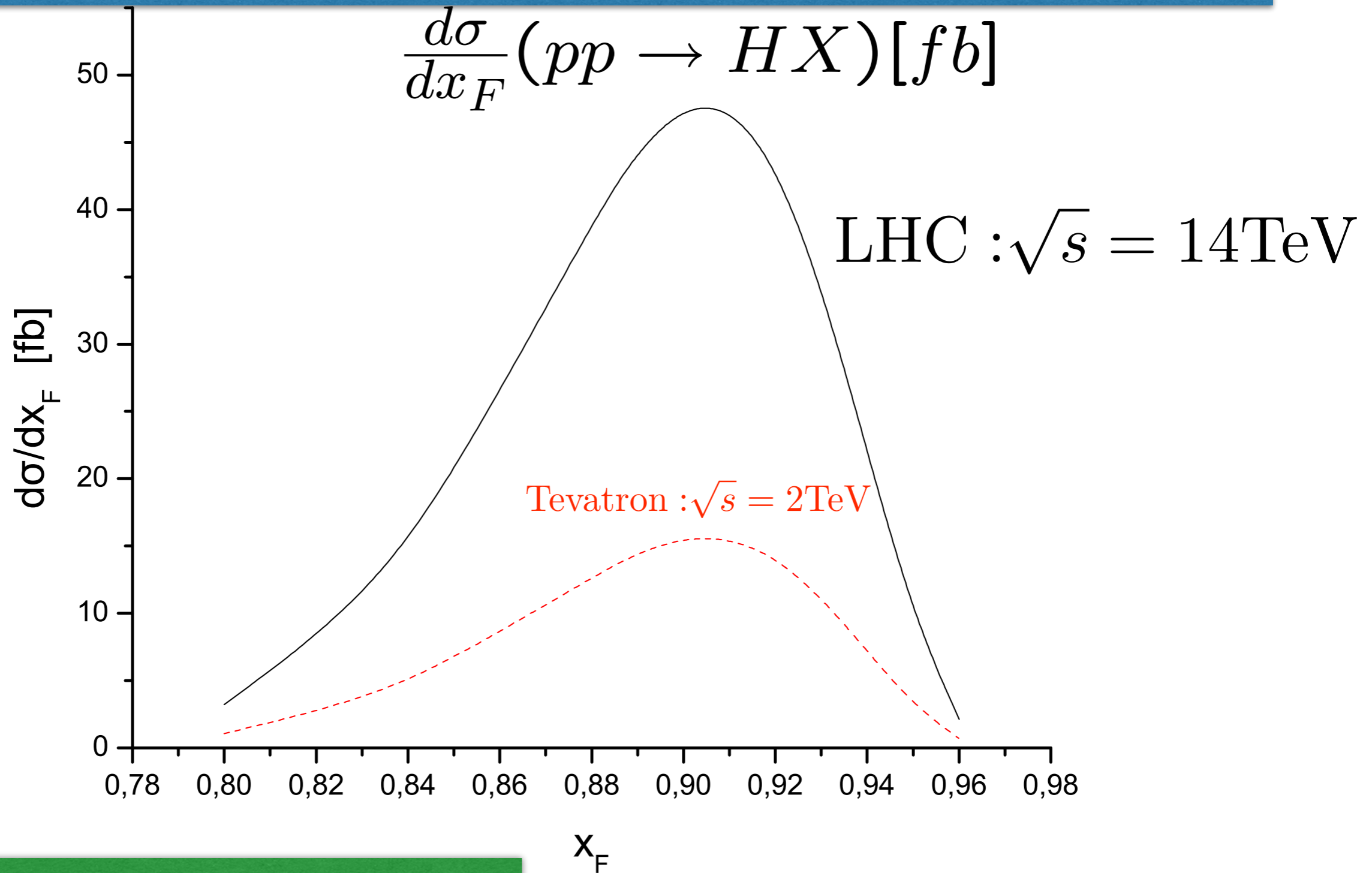
**Also: intrinsic strangeness, bottom, top**

**Higgs can have > 80% of Proton Momentum!**

*New production mechanism for Higgs at the LHC*

***AFTER: Higgs production at threshold!***

# Intrinsic Heavy Quark Contribution to High $x_F$ Inclusive Higgs Production



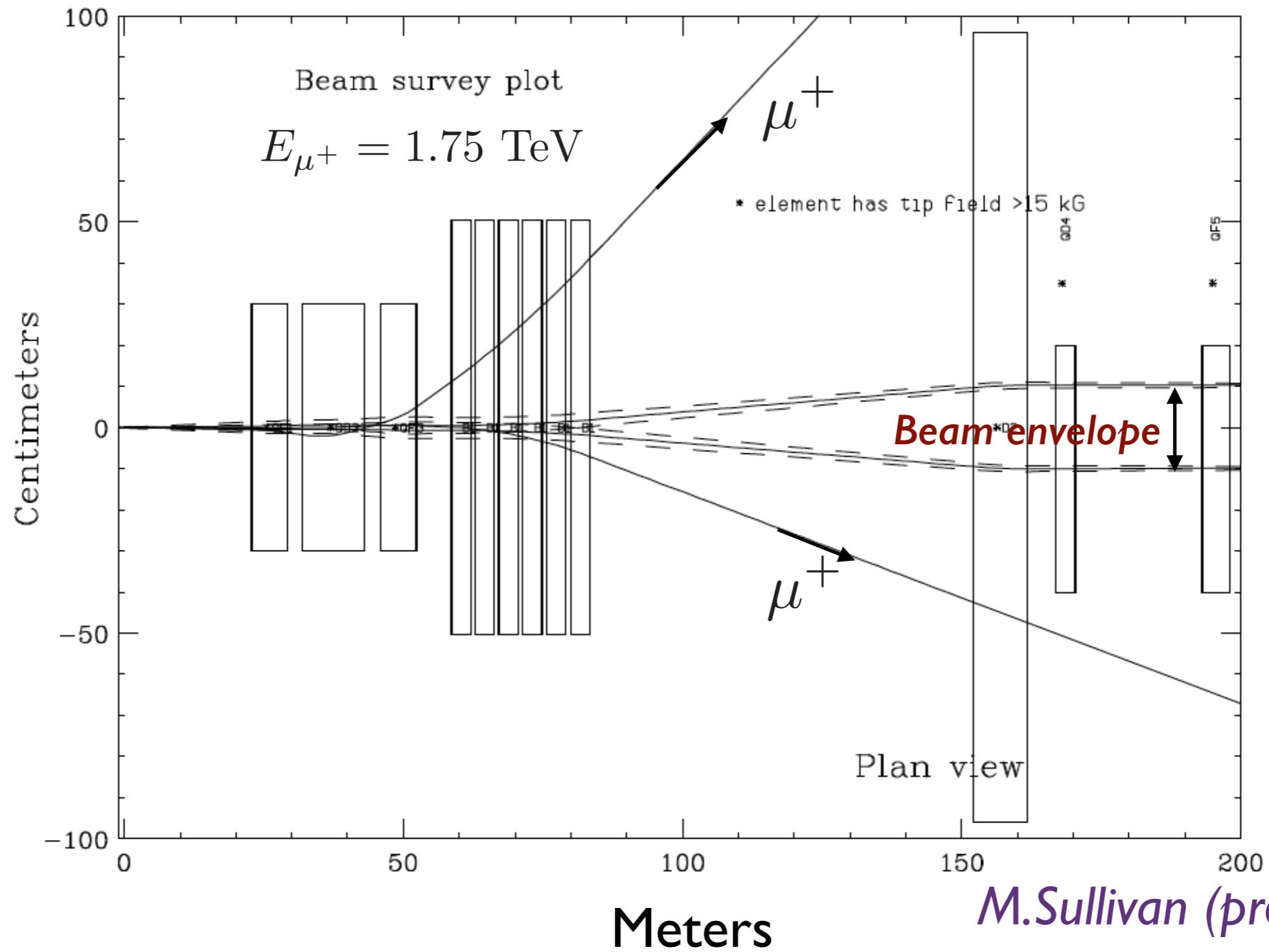
Need High  $x_F$  Acceptance

Most practical: Higgs to 4 muons

Goldhaber, Kopeliovich,  
Schmidt, Soffer, sjb

# Use LHC Magnetic Field as Downstream Muon Spectrometer

$$pp \rightarrow H X \rightarrow \mu^+ \mu^- \mu^+ \mu^- X$$



M.Sullivan (preliminary) 106

Measure exotic events at SMOG@LHCb such as

$$pA \rightarrow \Upsilon + J/\psi X \rightarrow \mu^+ \mu^- \mu^+ \mu^- X$$

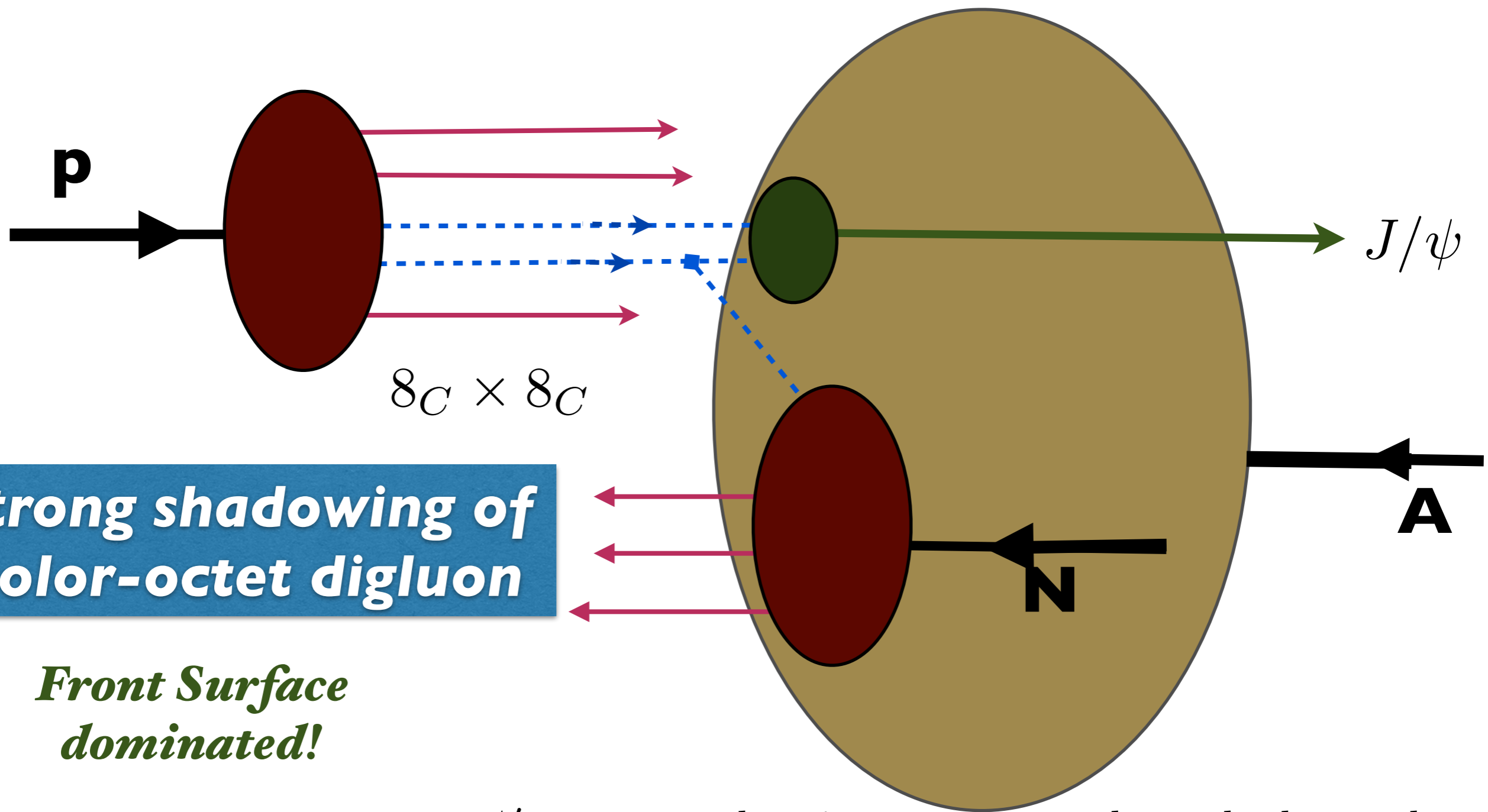


**Digluon-initiated subprocess!**

**Forward rapidity  $y \sim 4$**

**Another mechanism**

$$pA \rightarrow J/\psi X$$
$$(gg)_{8_C} + g_{8_C} \rightarrow J/\psi$$



**Strong shadowing of color-octet digluon**

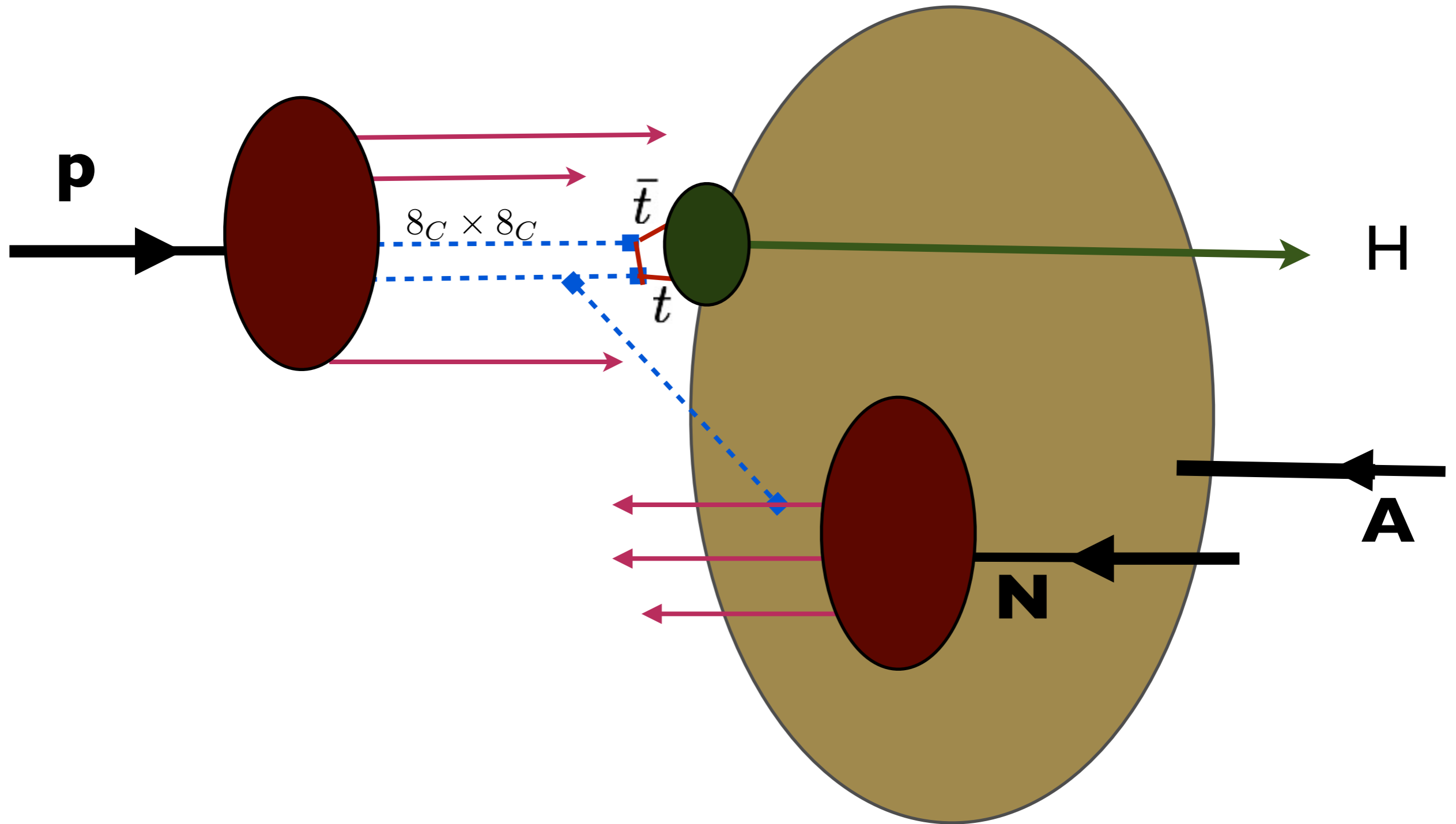
**Front Surface dominated!**

**Crossing: Diffractive & pomeron exchange**

$\psi'$  suppressed as it propagates through the nucleus

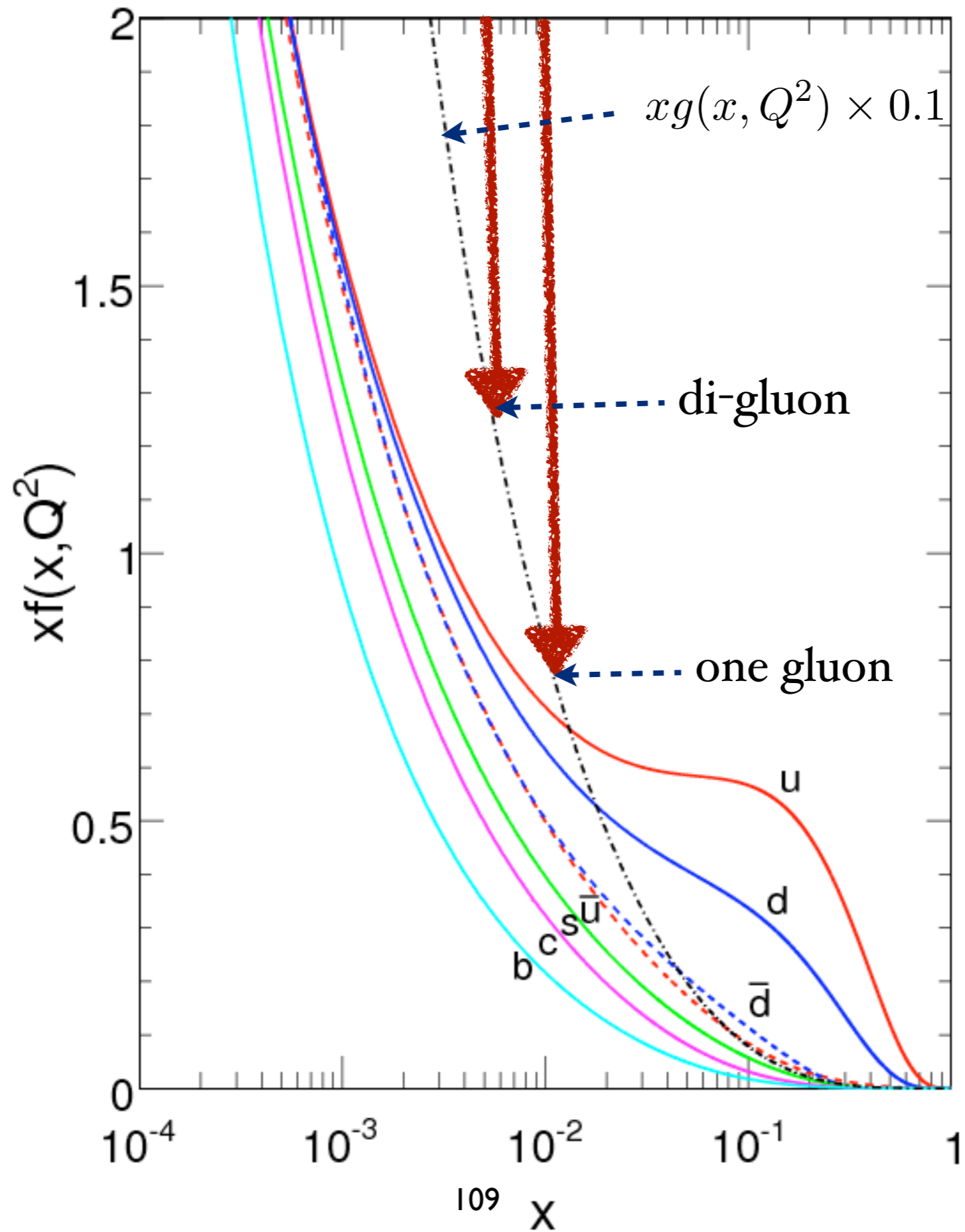
$$pA \rightarrow HX$$

$$(gg)_{8_C} + g_{8_C} \rightarrow H$$



Double-gluon subprocess for Higgs production at forward rapidity

Two gluons at  $g(0.005) \sim \frac{13}{0.005} = 2600$  vs. one gluon at  $g(0.01) \sim \frac{8}{0.01} = 800$

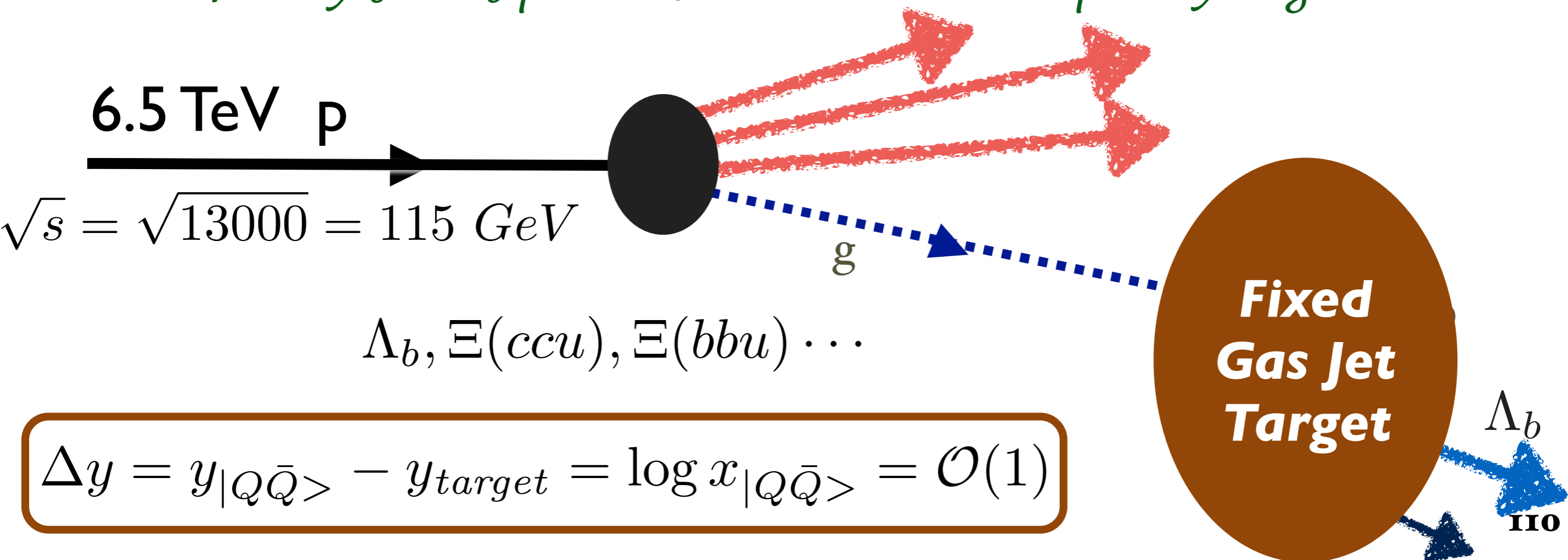


# Excitation of Intrinsic Heavy Quarks in a Fixed Target

*Amplitude maximal at minimal invariant mass,  
in target rapidity domain!*

$$x_i \sim \frac{m_{\perp i}}{\sum_j^n m_{\perp j}} \quad \frac{d\sigma}{dy_{J/\psi}} (pA \rightarrow J/\psi X)$$

*Heavy states produced in TARGET rapidity region*



Produce  $J/\psi, \Upsilon, \Lambda_c, \Lambda_b, |ccu\rangle, |cud\bar{c}\rangle, |cuudddu\bar{c}\rangle, \dots$

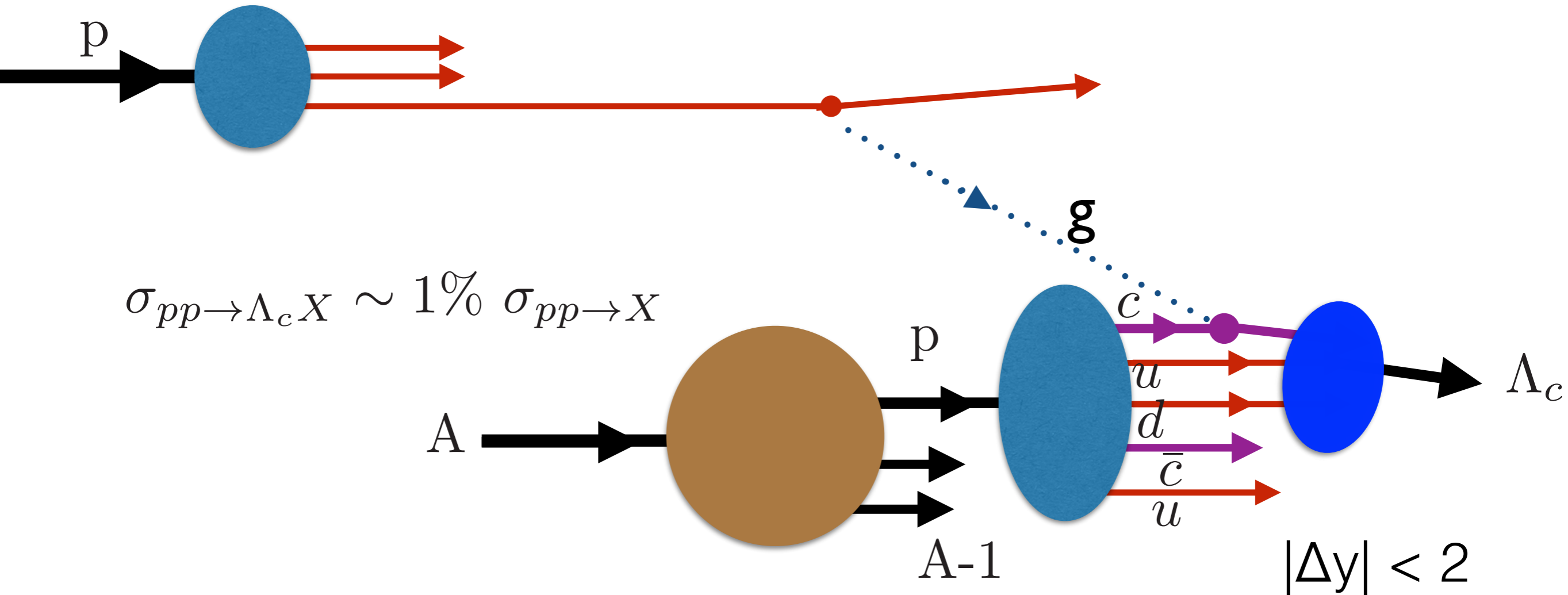
**Test at Smog@LHCb**

discussions with M. Williams

$$pA \rightarrow \Lambda_c X$$

$$E_p = 6.5 \text{ TeV}$$

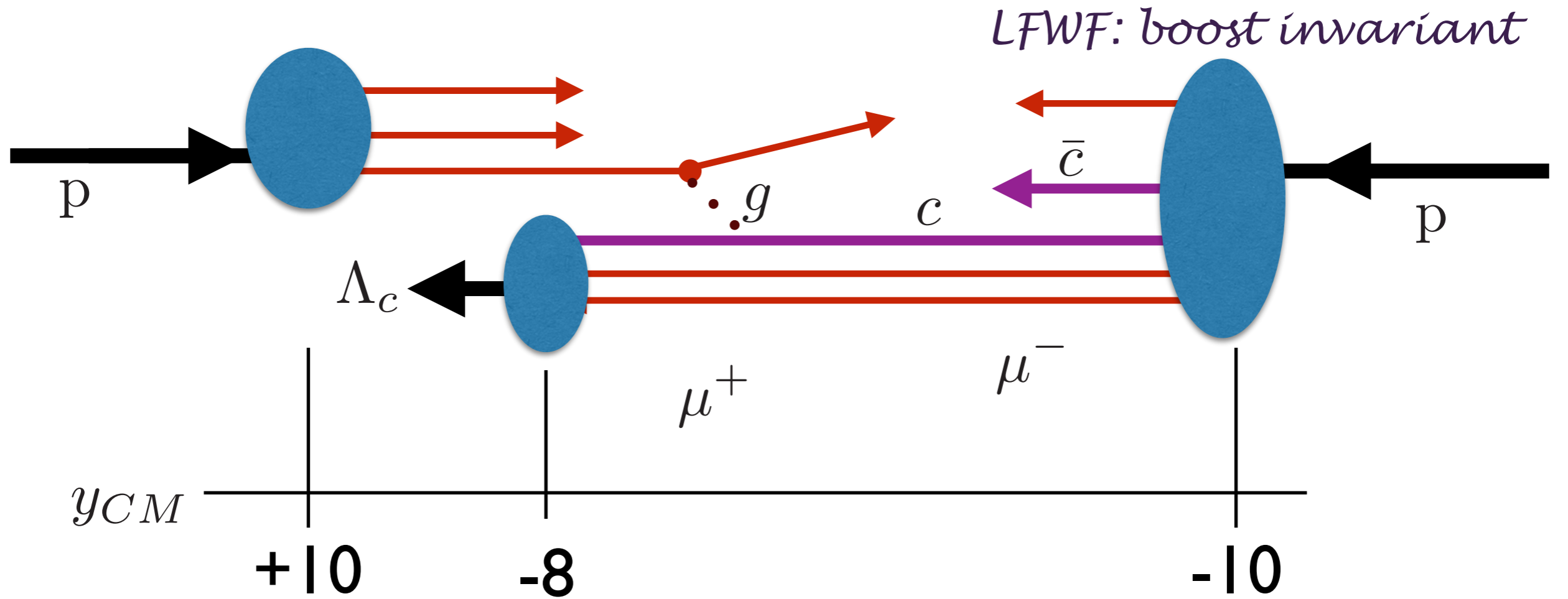
p



## SMOG target at rest

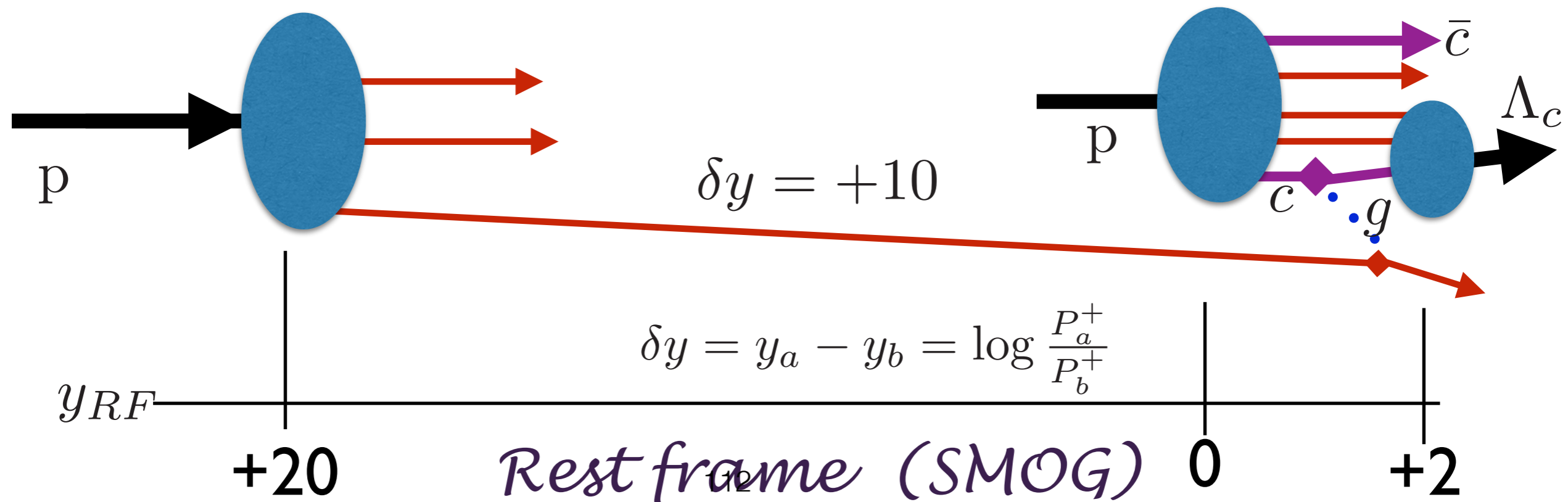
*Intrinsic heavy quark probability in the nucleon maximal at minimum off-shellness*

*Quarkonium produced nearly at rest — has small rapidity in target rest frame*



ISR  $x_F(\Lambda_c) = 0.8$

*CM frame*

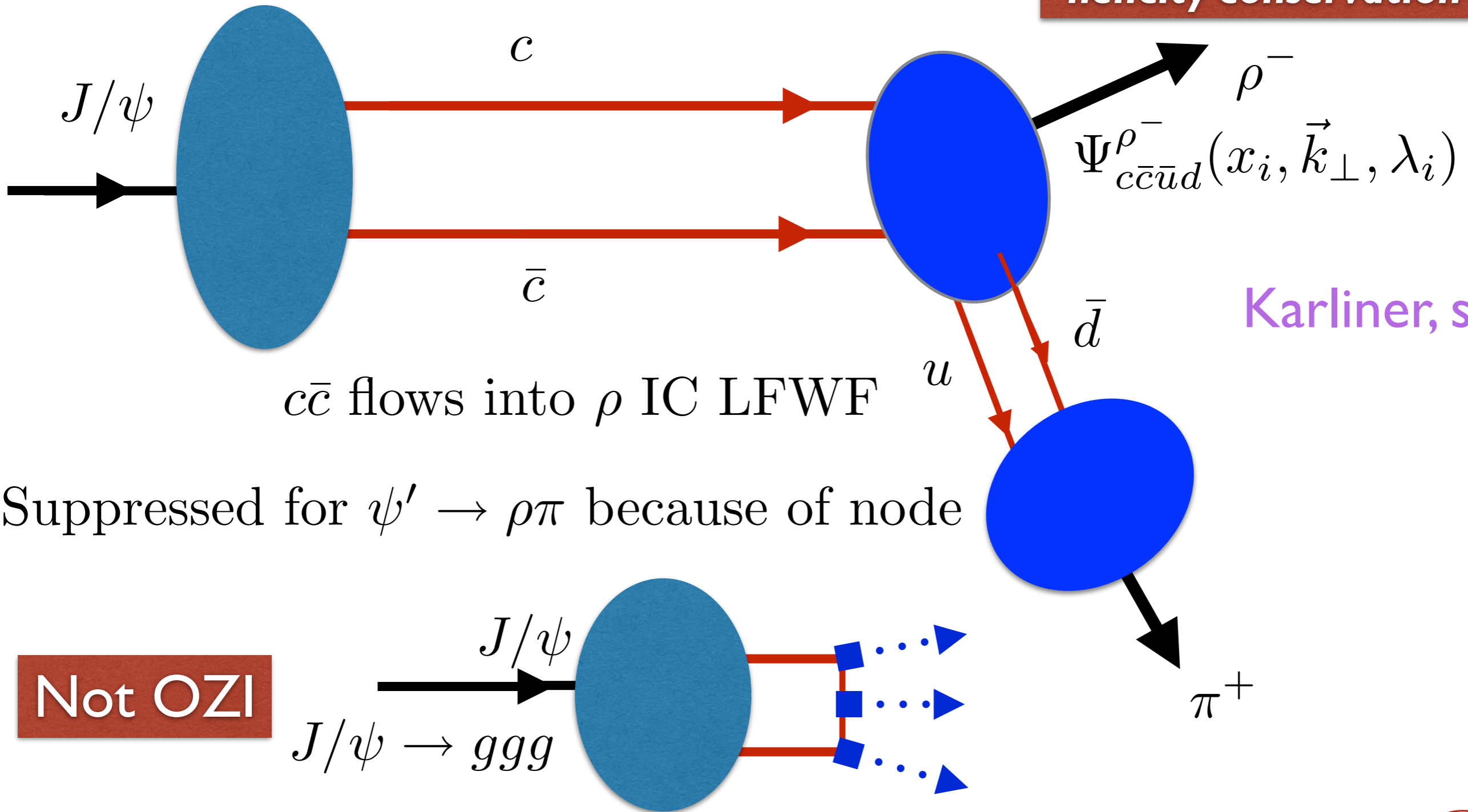




# $J/\psi$ to $\rho\pi$ Puzzle

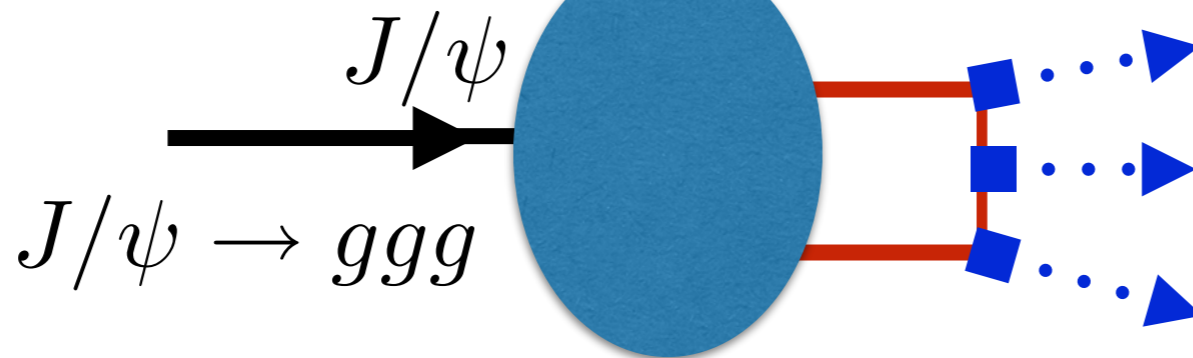
$$\frac{\Gamma(\psi(2S) \rightarrow \rho\pi)/\Gamma_{\text{tot}}}{\Gamma(J/\psi \rightarrow \rho\pi)/\Gamma_{\text{tot}}} = \frac{3.2 \pm 1.2 \times 10^{-5}}{1.69 \pm 0.15 \times 10^{-2}} \simeq 0.2\%$$

**Not 13%**  
**VP decay violates hadron helicity conservation**



Karliner, sjb

**Not OZI**



**Novel Features of Heavy Quark Phenomenology**

# *Vast array of novel physics studies at LHCb and SMOG@LHCb*

- Heavy Quark Phenomena: Intrinsic + Extrinsic
- High-x Gluon Distributions
- Exotic Heavy Quark Spectroscopy
- Higher Fock States of Proton and Nuclei
- Strangeness Asymmetry
- Novel Drell-Yan Studies
- Nuclear and Heavy Ion Effects: Ridge, baryon to meson
- Ultra-Peripheral Collisions
- Single-Spin Asymmetries
- Many Advantages of Fixed Target at LHC (AFTER and SMOG@LHCb)

# Novel Drell-Yan Physics Topics at LHCb

- Sivers effect: sign change in single-spin asymmetry
- Double Boer-Mulders Effect: Double initial-state interactions at leading twist
- Breakdown of Lam Tung and factorization theorems
- Flavor-Dependent Antishadowing (Explains NuTeV?)  $pA \rightarrow l\bar{l}X$
- Analogous effects in gluon subprocesses  $gg \rightarrow Q\bar{Q}$



# QCD Myths

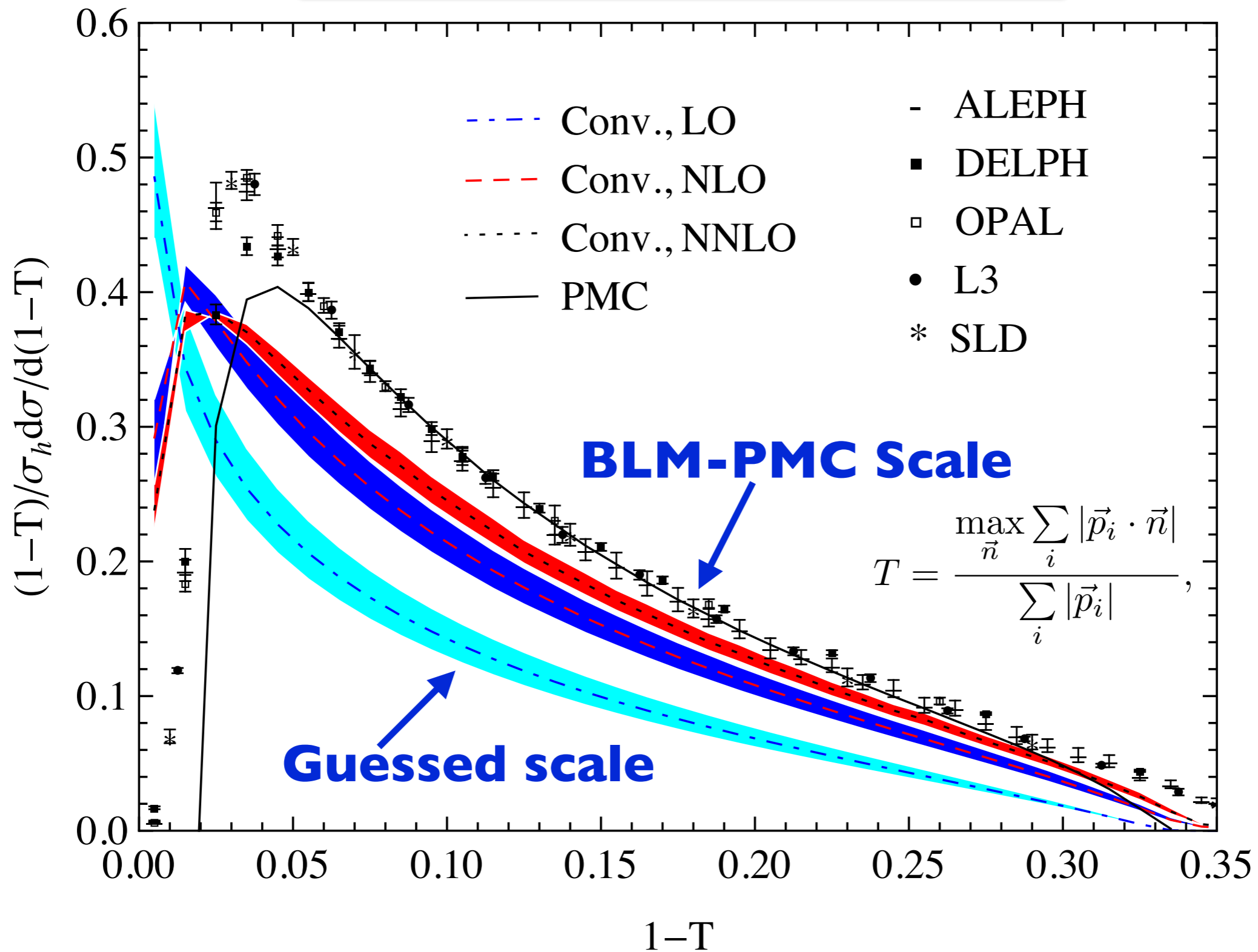
- **Anti-Shadowing is Universal**
- **ISI and FSI are higher twist effects and universal**
- **High transverse momentum hadrons arise only from jet fragmentation -- baryon anomaly!**
- **Heavy quarks only from gluon splitting**
- **Renormalization scale cannot be fixed**
- **QCD condensates are vacuum effects**
- **QCD gives  $10^{42}$  to the cosmological constant**
- **Colliding Pancakes**

# Features of the Principle of Maximum Conformality

**Lepage, Mackenzie, sjb  
Ellis, Gardi, Karliner, Samuel, sjb**

**Wu, Mojaza, di Giustino, sjb**

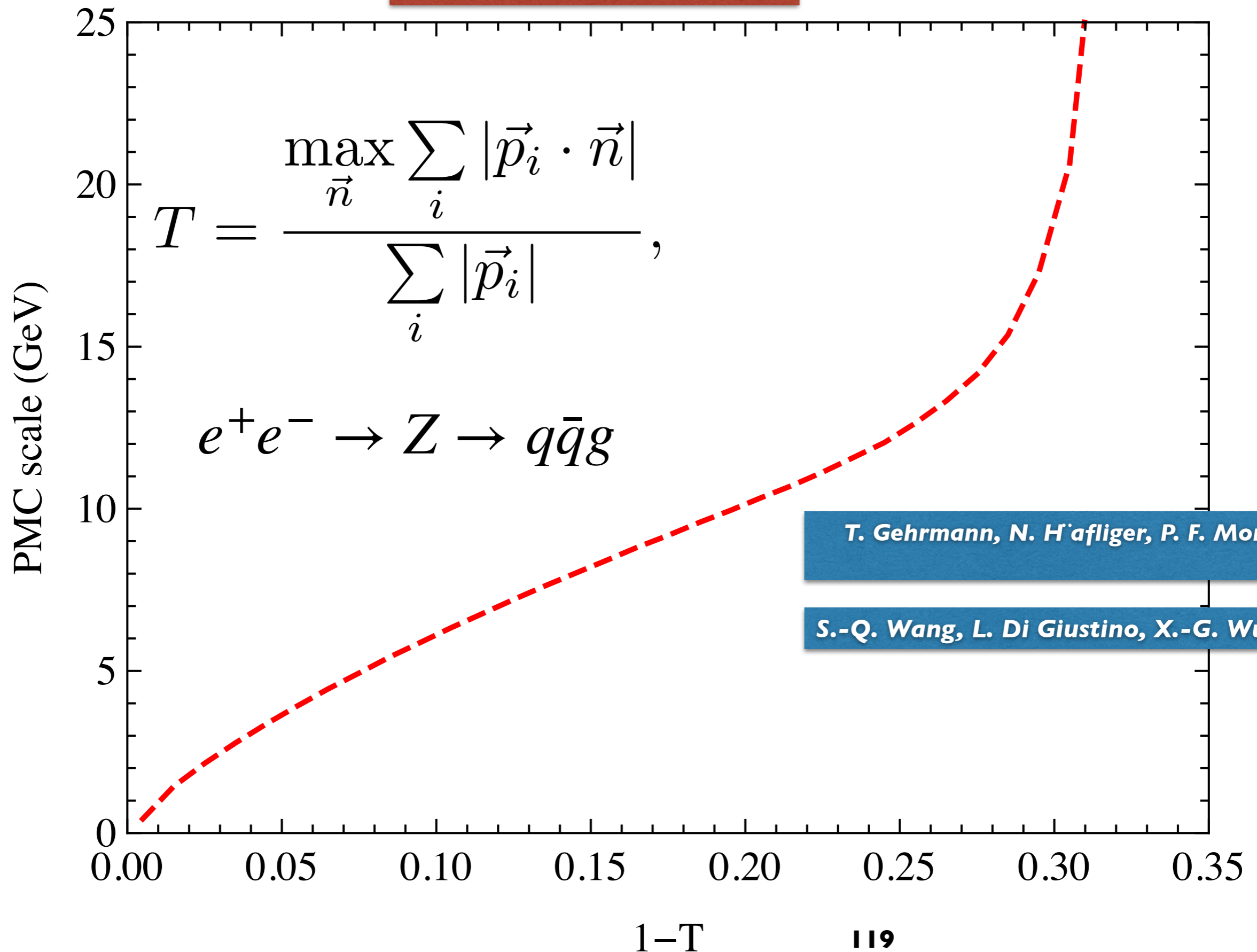
- **Predictions are scheme-independent**
- **Matches conformal series**
- **Commensurate Scale Relations between observables: Generalized Crewther Relation**
- **No  $n!$  Renormalon growth**
- **New scale at each order;  $n_F$  determined at each order**
- **Multiple Physical Scales Incorporated**
- **Rigorous: Satisfies all Renormalization Group Principles**
- **Reduces to standard Gell-Mann — Low Scale Setting for  $N_c=0$**
- **Realistic Estimate of Higher-Order Terms**
- **Eliminates unnecessary theory error**
- **Increases sensitivity to new physics**

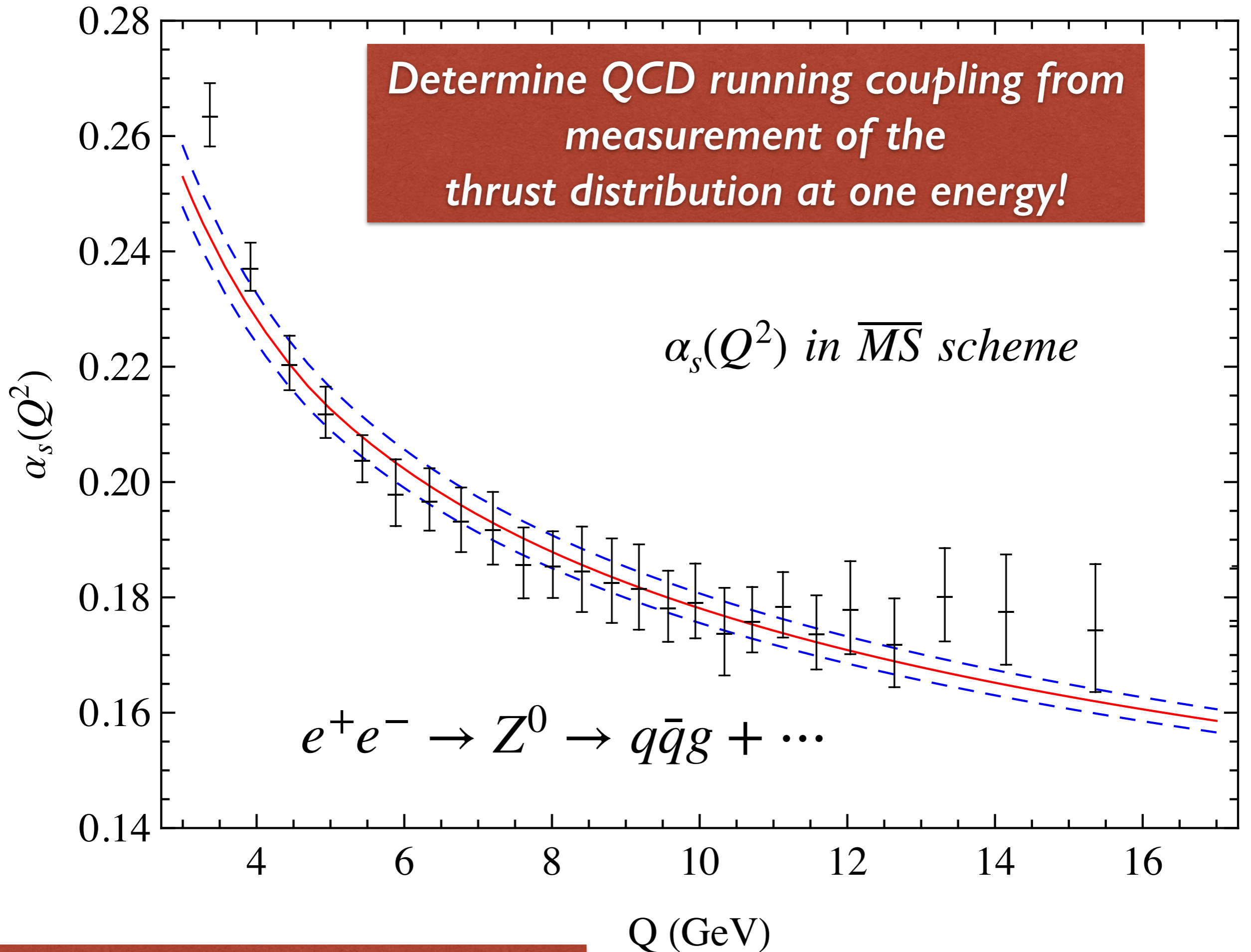




# Renormalization scale depends on the thrust

**Not constant**

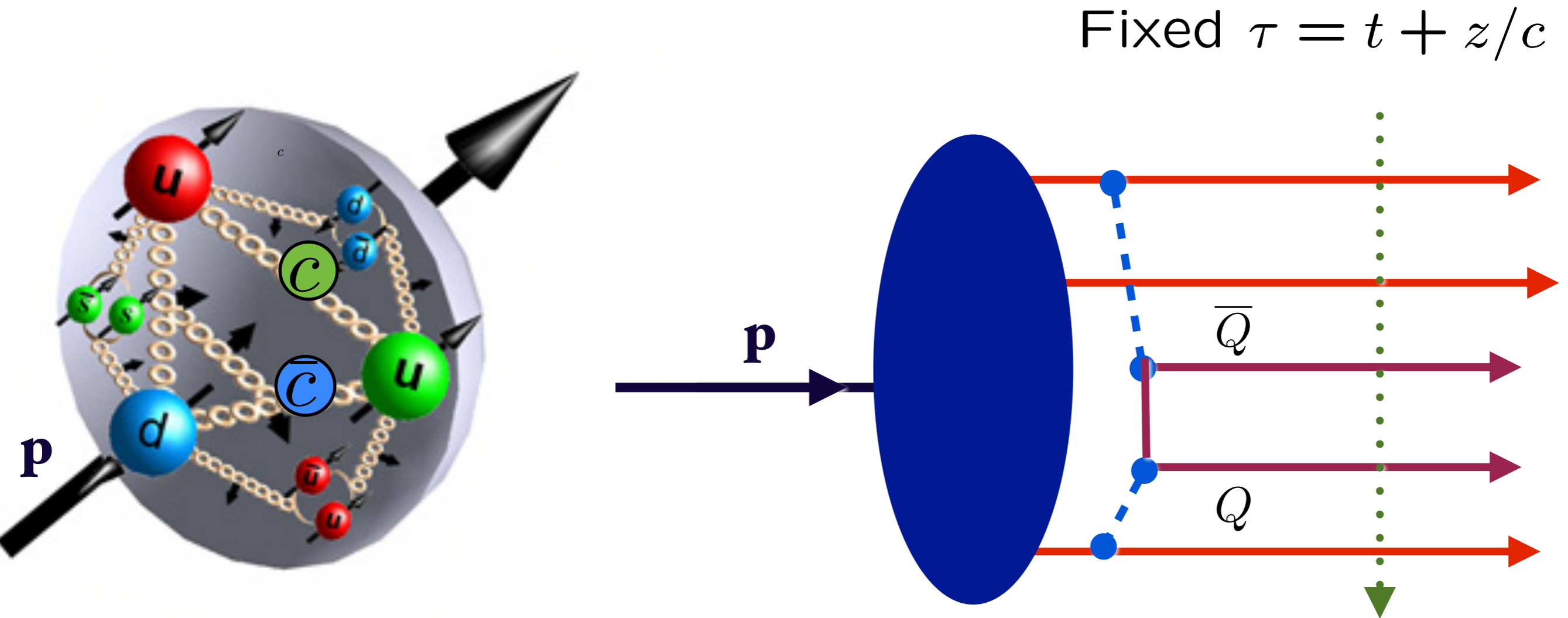




# *Invariance Principles of Quantum Field Theory*

- **Polncarè Invariance:** *Physical predictions must be independent of the observer's Lorentz frame: Front Form*
- **Causality:** *Information within causal horizon: Front Form*
- **Gauge Invariance:** *Physical predictions of gauge theories must be independent of the choice of gauge*
- **Scheme-Independence:** *Physical predictions of a renormalizable theory must be independent of the choice of the renormalization scheme —Principle of Maximum Conformality (PMC)*
- **Mass-Scale Invariance:** *Conformal Invariance of the Action (DAFF)*

# QCD, Sum Rules, Heavy Flavors, and Higgs Production in the Very Forward Region



*Workshop on Forward Physics and QCD at the LHC, the Future Electron Collider, and Cosmic Ray Physics*

*Hotel Guanajuato,  
Ciudad de Guanajuato,  
Mexico*

November 21, 2019

*Stan Brodsky*

