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# The Noble Element Simulation Technique:

What It Does, and Where It's Going,  
And What Can We Do Better?



RICE

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Berkeley  
UNIVERSITY OF CALIFORNIA



Lawrence Livermore  
National Laboratory

UC DAVIS

# About NEST

- [nest.physics.ucdavis.edu](http://nest.physics.ucdavis.edu) & [github.com/NESTCollaboration](https://github.com/NESTCollaboration)
- “Inter-collaboration” Collaboration
  - Members from LUX/LZ, XENON, DUNE, nEXO
- Fast, stand-alone C++ code with robust example executable, testNEST
  - Reproduces LXe scintillation and ionization response from most imaginable interaction types
  - Yields as a function of particle type, energy, field, density and target phase
  - Temperature, pressure, and density dependencies from NIST
  - Xenon only? Not for long! LAr models imminent!
- GEANT4 Integration
  - Takes energy depositions and returns light and charge yields
- Plenty of room for growth and upgrades!

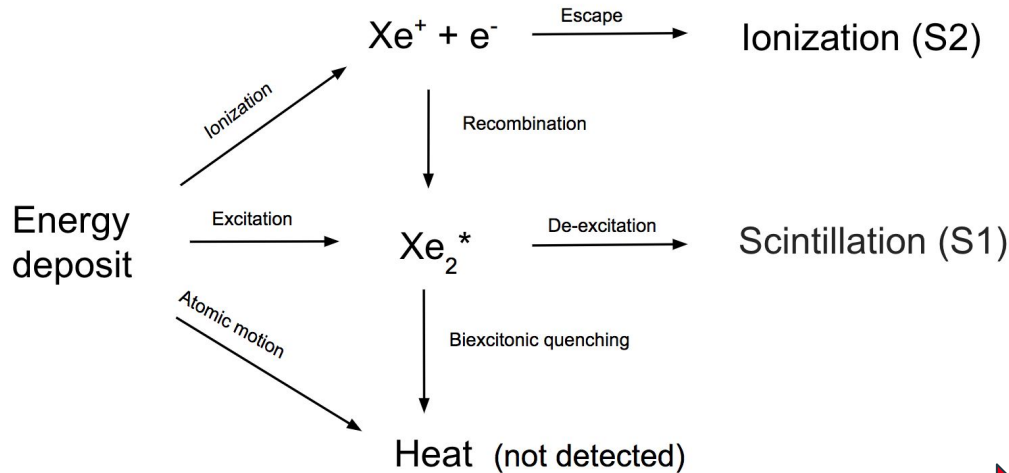


# The Whole Point:

Providing a Data-Driven Mapping from Observables to Fundamentals

## Signal production in xenon

**ORIGINAL  
INTERACTION**



**OBSERVABLES**

NEST: What to Expect (from calibrations/backgrounds)

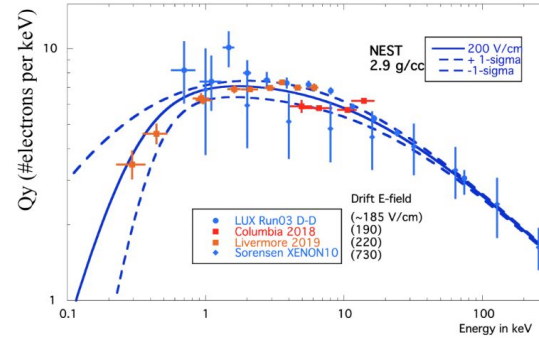
NEST: Explain what was Observed (Modeling Detector)

# NEST: Where We Are Now



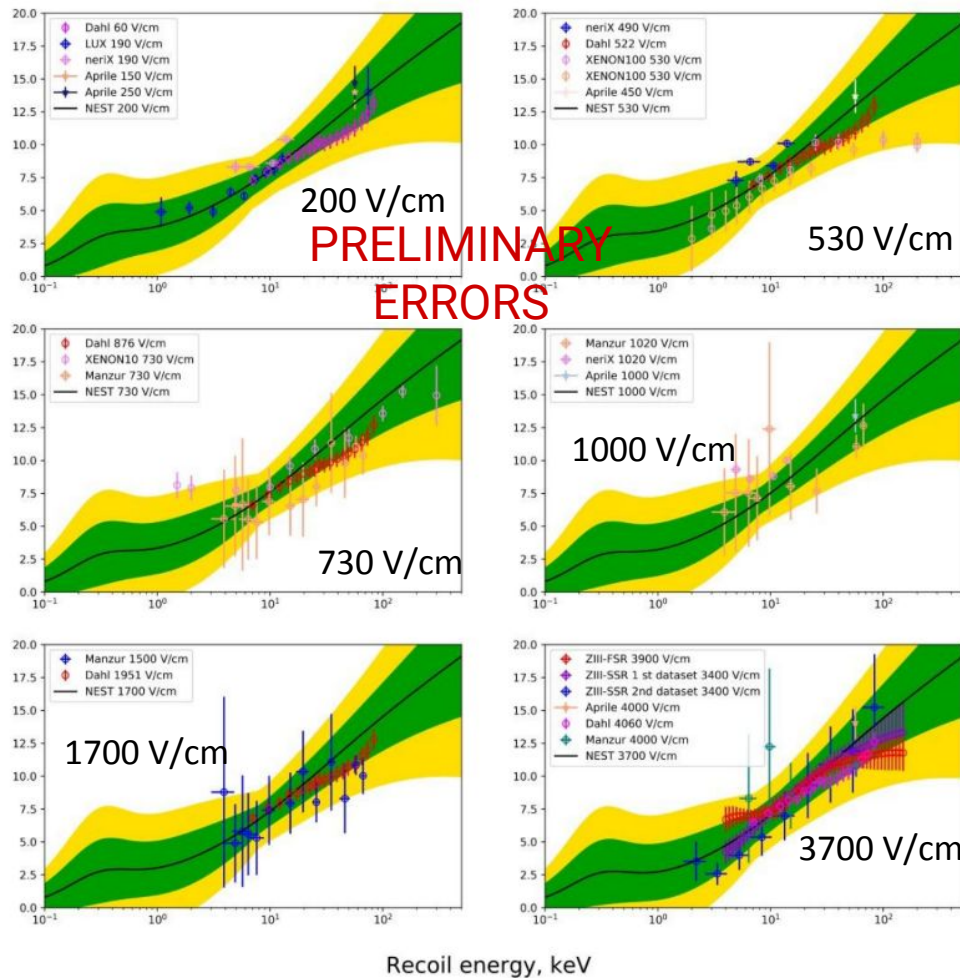
# Nuclear Recoils

Recently Updated in NESTv2.0.1 !!



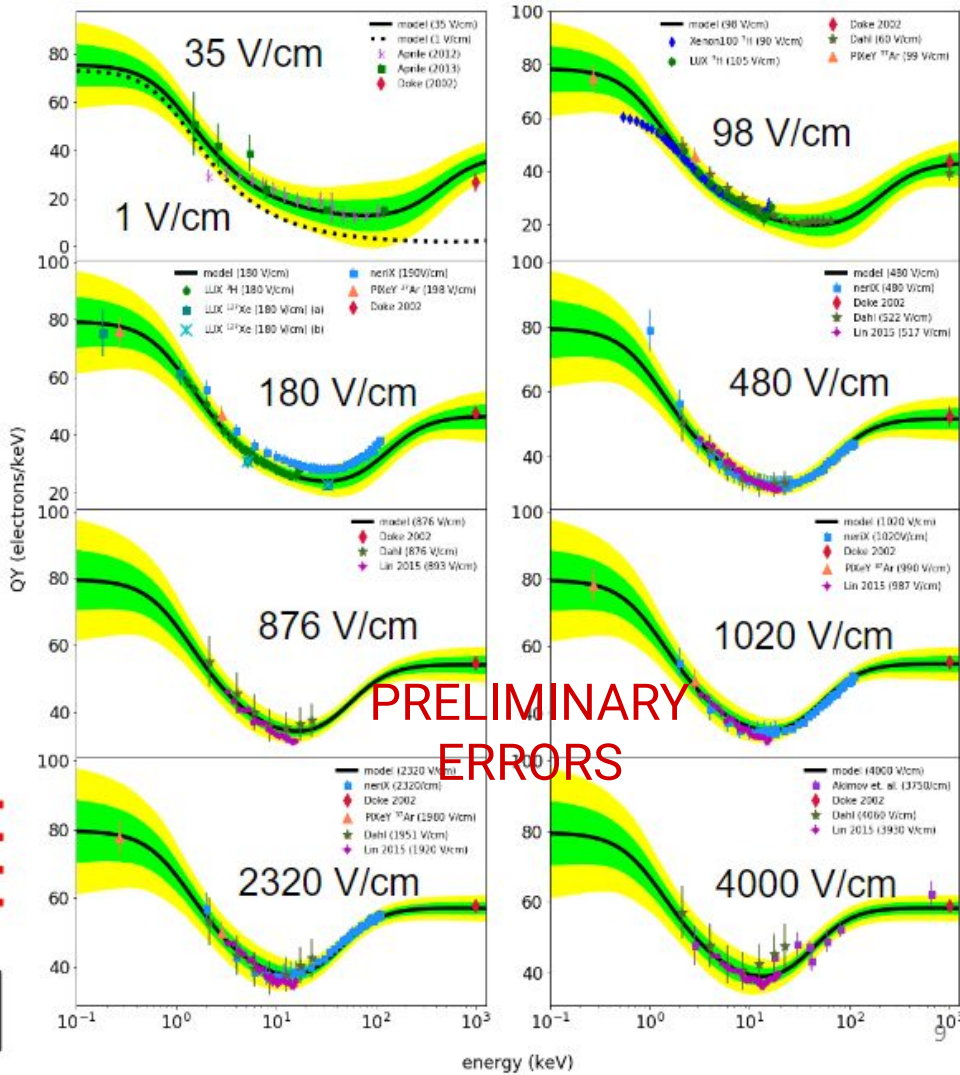
$$N_{e^-} + N_{\gamma} = \frac{\mathcal{L} \cdot E}{W}$$

- Procedure:
  - Collect world data; correct for newly understood phenomena; fit functions of field and energy to reproduce data
- Light Yields (photons/keV) shown, similar treatment for Charge Yields
  - Plot by E. Kozlova
- [Detailed report available on NEST Website](#)

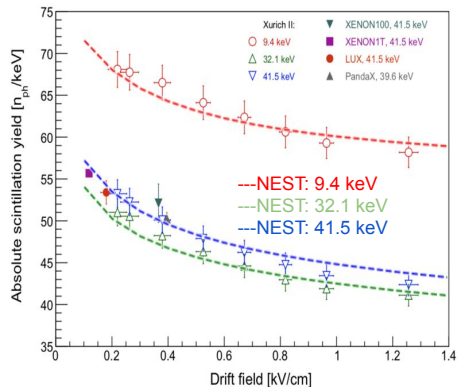


# $\beta$ Electronic Recoils

- $N_{e^-} + N_{\gamma} = \frac{E}{W}$
- Same Procedure as NR, with anti-correlated light and charge yields
- Charge Yields (electrons/keV) shown
  - Plot by J. Balajthy
- Also a good approximation of Compton Scatter data
  - $^{137}\text{Cs}$  Compton Scatters included in fit
- Covers out to MeV range for  $0\nu\beta\beta$  decay searches

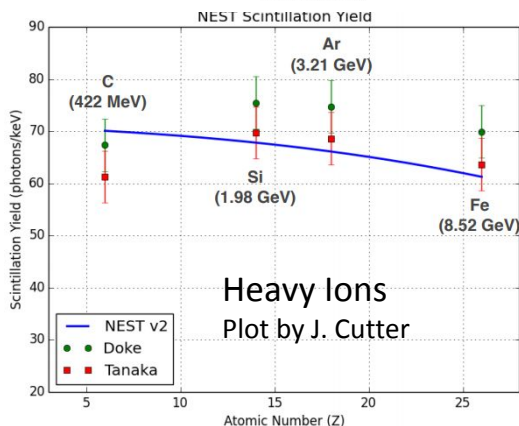


# Even More!

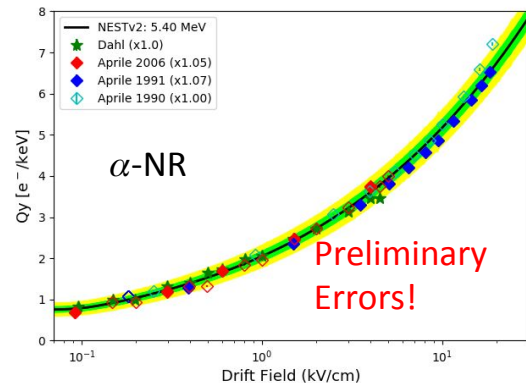
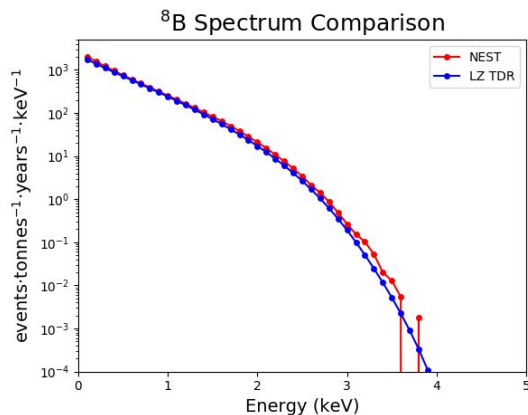


Photoabsorption ER  
from  $\gamma$ 's

Special-case  $^{83m}Kr$   
Model with robust  
time-dependence

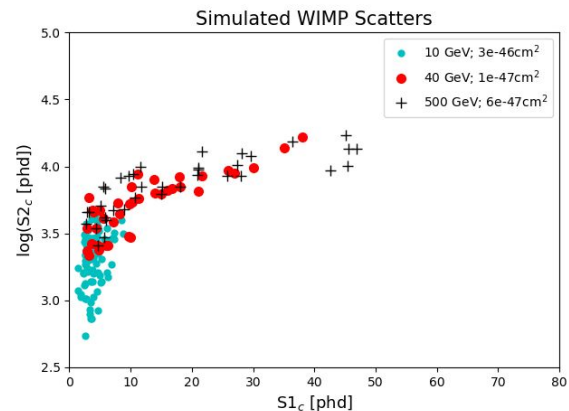


Heavy Ions  
Plot by J. Cutter



$\alpha$ -NR

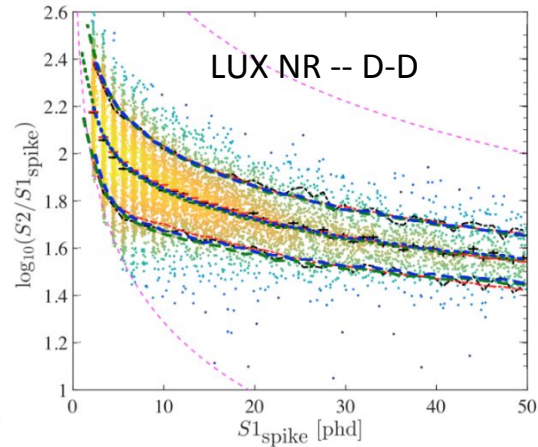
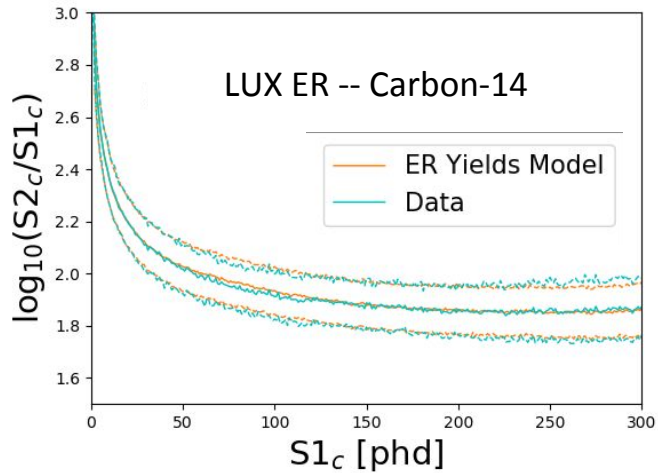
Preliminary  
Errors!



Projected WIMP Sensitivity of the LUX-ZEPLIN (LZ) Dark Matter  
Experiment

LUX-ZEPLIN Collaboration (D.S. Akerib (SLAC & KIPAC, Menlo Park) et al.). Feb 16, 2018.

# Complete with Detector Modeling



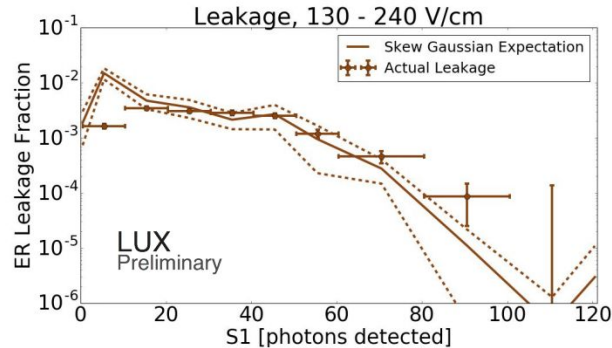
Calculates fluctuations about the mean yields (statistical and recombination fluctuations!)

Accurately simulates ER “leakage” into NR band

Improved Modeling of  $\beta$  Electronic Recoils in Liquid Xenon Using LUX Calibration Data  
LUX Collaboration (D.S. Akerib *et al.*). Oct 9, 2019. 17 pp.  
e-Print: [arXiv:1910.04211](https://arxiv.org/abs/1910.04211) [physics.ins-det]

M. Szydagis. (NEST Collaboration) *A Comprehensive, Exhaustive, Complete Analysis of World LXe NR Data With a Final Model*. 2019.

V. Velan. *Projected Performance of Future Liquid Xenon Detectors*. Presentation, APS April 14, 2019.



Can add in custom detector files to simulate your own detector



# nestpy → [github.com:NESTCollaboration/nestpy](https://github.com:NESTCollaboration/nestpy)

Not a fan of C++? No Problem!

Thanks to pybind11, you can happily enjoy all of NEST's offerings in your favorite python environment

## nestpy

[gitter](#) [join chat](#) [build](#) [passing](#) [DOI](#) [10.5281/zenodo.3360721](#) [pypi](#) [v1.1.3](#) [repo status](#) [Active](#) [python](#) [2.7](#) | [3.4](#) | [3.5](#) | [3.6](#) | [3.7](#)

These are the Python bindings for the [NEST library](#), which provides a direct wrapping of functionality. The library is not Pythonic at this point but just uses the existing naming conventions from the C++ library.

You do *not* have to have NEST already installed to use this package.

## Installing from PyPI

For 64-bit Linux or Mac systems, installing 'nestpy' should just require running:

```
pip install nestpy
```

# Where NEST is Headed...

## Plans for the Future



# Updated LAr Models Under Development

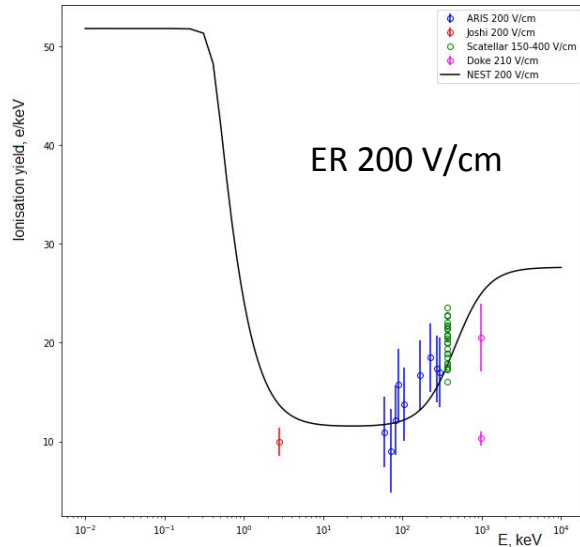
Plots by J. Mueller  
and E. Kozlova

**Measurement of Scintillation and Ionization Yield and Scintillation Pulse Shape from Nuclear Recoils in Liquid Argon**  
SCENE Collaboration (H. Cao (Princeton U.) *et al.*). Jun 18, 2014. 29 pp.  
Published in *Phys.Rev.* D91 (2015) 092007

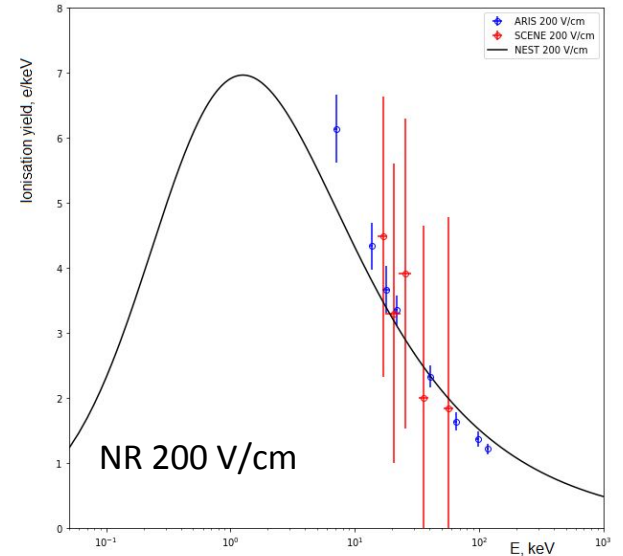
**First measurement of the ionization yield of nuclear recoils in liquid argon**  
T.H. Joshi *et al.*, Feb 10, 2014. 5 pp.  
Published in *Phys.Rev.Lett.* 112 (2014) 171303

**Measurement of the ionization yield of nuclear recoils in liquid argon at 80 and 233 keV**  
A. Bondar, *et. al.* Jul 28, 2014. 6 pp.  
Published in *EPL* 108 (2014) no.1, 12001

**Measurement of the liquid argon energy response to nuclear and electronic recoils**  
P. Agnes (Houston U. & APC, Paris) *et al.*, Jan 20, 2018. 14 pp.  
Published in *Phys.Rev.* D97 (2018) no.11, 112005



**First demonstration of a sub-keV electron recoil energy threshold in a liquid argon ionization chamber**  
S. Sangiorgio (LLNL, Livermore) *et al.*, Jan 2013. 4 pp.  
Published in *Nucl.Instrum.Meth.* A728 (2013) 69-72



**Critical test of geminate recombination in liquid argon**  
R. T. Scalettar, P. J. Doe, H. -J. Mahler, and H. H. Chen.  
*Phys. Rev. A* 25, 2419(R) – Published 1 April 1982

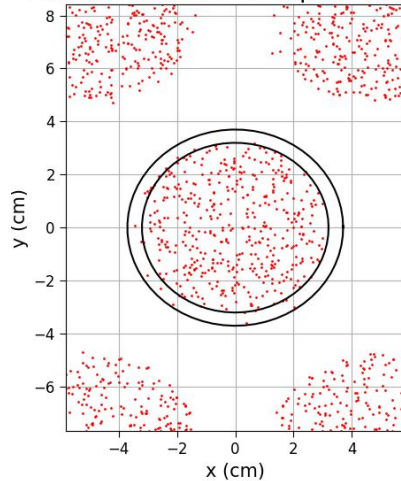
**X-ray ionization yields and energy spectra in liquid argon**  
A. Bondar, A. Buzulutskov, A. Dolgov, L. Shekhtman, A. Sokolov.  
May 9, 2015. 6 pp.  
Published in *Nucl.Instrum.Meth.* A816 (2016) 119-124

# Surface-based Ray-tracing with *NEST-light*

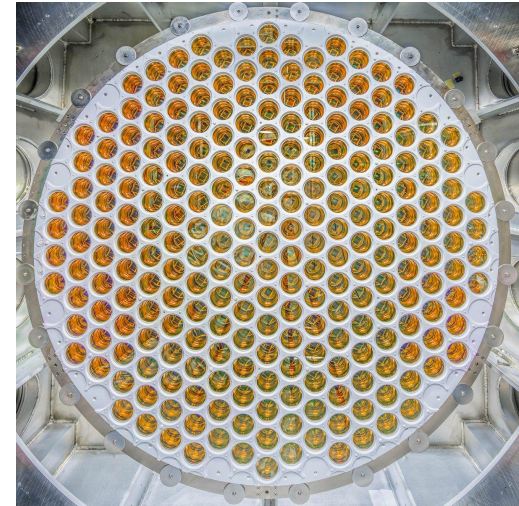
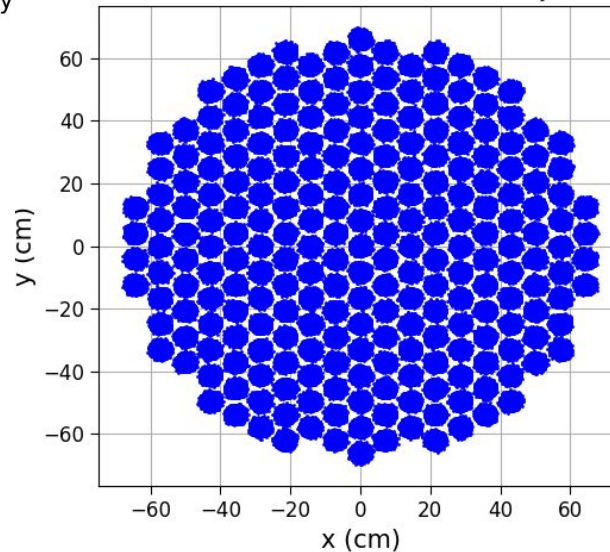
Fast and efficient optical simulations!

Attempting to accurately reproduce S1 and S2 hit patterns by properly modeling reflection, absorption, and refraction using detailed detector geometry for cylindrical two-phased TPCs

Photons Incident on top PMT array



Photons Incident on bottom PMT array



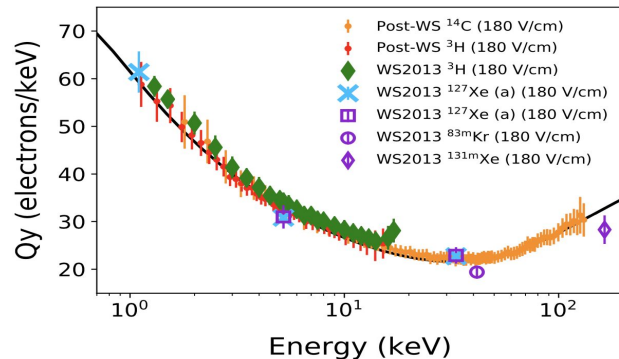
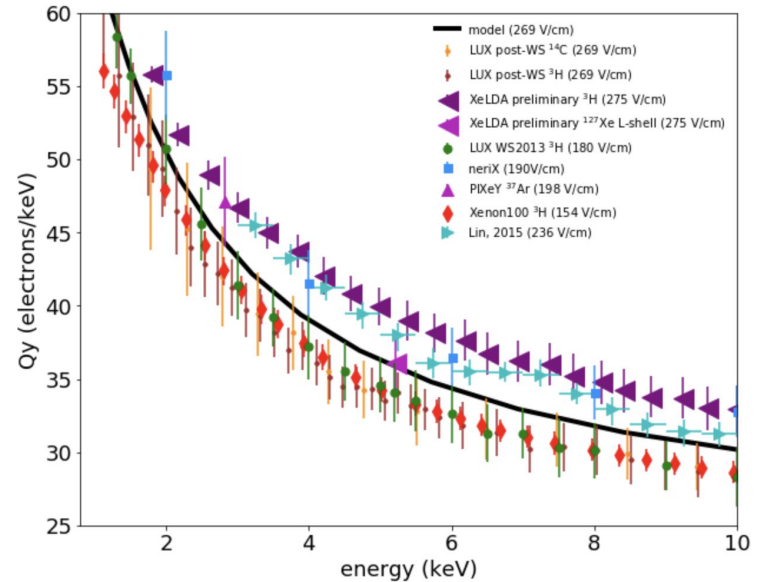
# State of the ER Union:

Can Yields from  $\beta$ , Compton Scatters, and Electron Capture Remain Unified in a Single Model?

As more data become available, it will become clear if the different ER-producing mechanisms require separate yield models

Compton/ $\beta$  likely to remain unified, but perhaps inner-shell electron captures require a unique model

Preliminary XeLDA data from D. Temples. *Understanding neutrino background implications in LXe-TPC dark matter searches using  $^{127}\text{Xe}$  electron captures*. Oral Presentation. TAUP 2019.



Plots by J. Balajthy

# Plans for Moving Towards v3?

- First-principles model
  - Can Molecular Dynamics be used to properly and efficiently model the scintillation and ionization response of Noble targets?
  - Incorporate Van der Waals forces and Lennard-Jones Potentials
  - 1st principles approach provides signal and background information where calibrations cannot
- Machine Learning
  - Optimized position and energy reconstruction of energy depositions using neural networks
  - NEST allows training where calibrations cannot -- homogenous NR (more signal-like) as opposed to calibrations that are near detector edge, and more BG-like ER

# Where Do We Need To Improve?

## 1. Increased Usability

- a. More documentation, helping to flush out the full capabilities of the simulation package
- b. Dividing the code into more step-by-step calculations, showing the user where/how NEST calculates certain quantities and allowing for easier user-manipulation

## 2. How Do We Optimize Model Fitting?

- a. Currently, model fitting is a very manual process
- b. Higher-dimensional optimization → Application to use machine learning methods
- c. This would provide an easier way of defining the likelihood of model parameters

## 3. These things are limited by funding and human-power

***But what does the community want from NEST? How do those from differing collaborations use NEST?***

# Conclusion

- NEST v2 is an efficient and robust calculation that is ready to use for your xenon detector (And argon detectors soon)
- NEST has a very large potential for growth
  - Amount of available data will only increase, making NEST more accurate as time goes on, and will include more features
- For growth to be successful, NEST needs:
  - Support! Whether that means funding or just more collaborators, time and money are always the largest limiting factors
  - Community Input! NEST is designed for the entire community, not just a single experiment. Understanding the most pressing simulation/modeling needs in other collaborations will help make NEST even more applicable





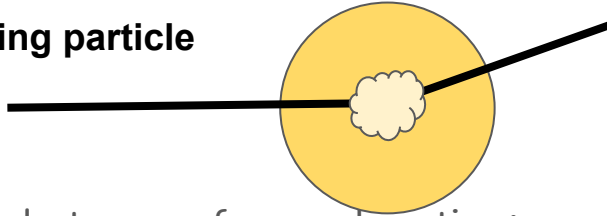
Thank You!



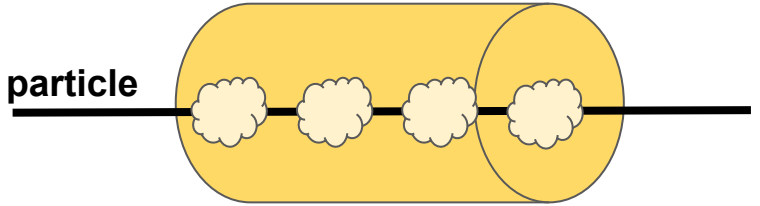
*LEAVING: Main Presentation*  
*ENTERING: Backup Slides*

# Previous Yield Models: Thomas-Imel vs. Doke-Birks

Incoming particle



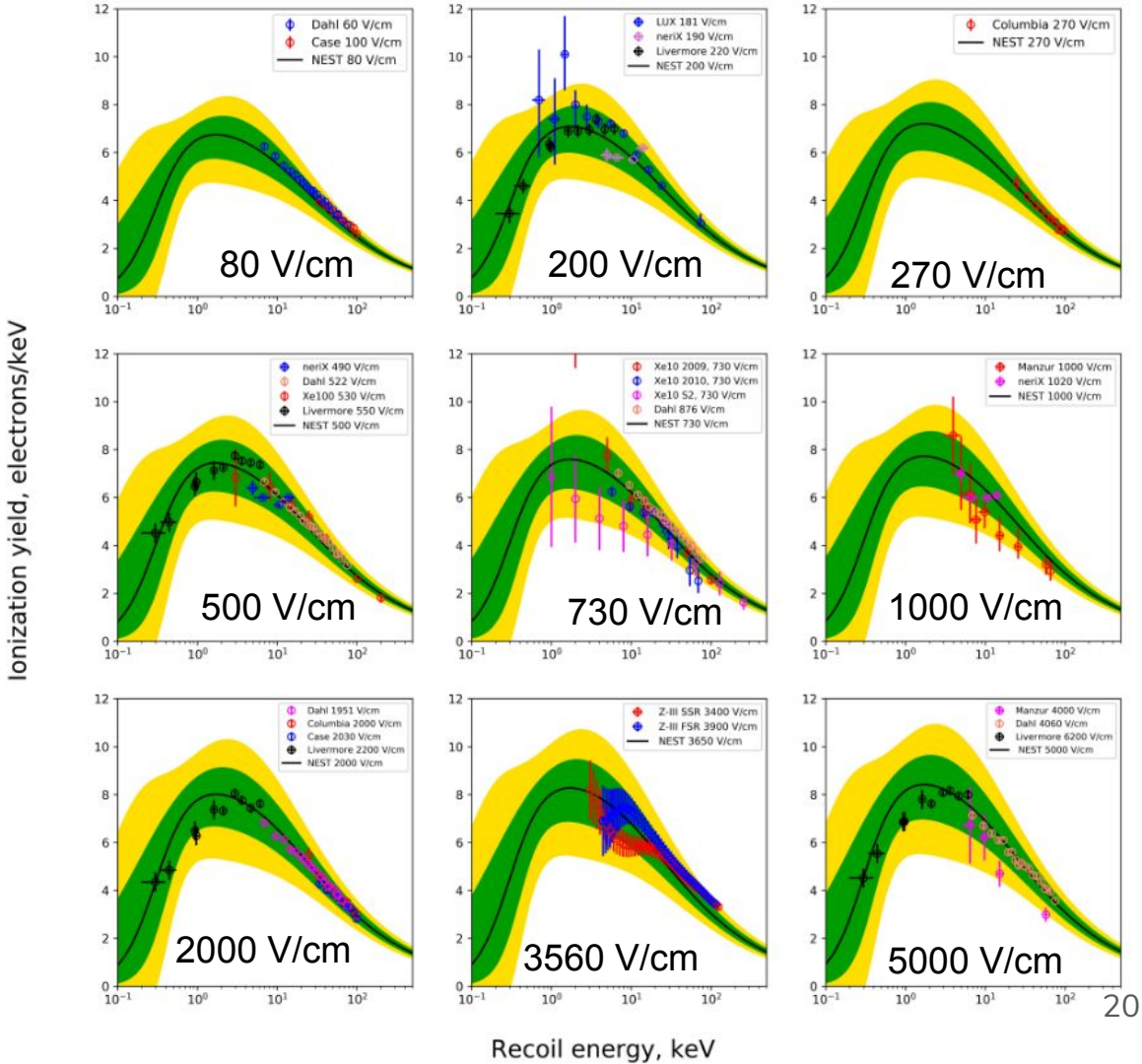
Incoming particle



- In terms of recombination:  $QY = n_{e^-} / E = \frac{(1-r)}{E} * N_{ions}$ 
  - $N_{ions}$  is approximately energy divided by the work function:  $E/W$
- Thomas-Imel Box Model → Low energy approximation (no particle track)
  - Quanta are spherically distributed
  - $(1-r) = \frac{1}{\xi} \ln(1 + \xi)$  where  $\xi \equiv A \cdot N_{ions}$  for some constant,  $A$
  - So  $QY = \frac{1}{A \cdot E} \cdot \ln(1 + A \cdot \frac{E}{W})$
  - At 180 V/cm,  $A = 0.03$  and expanding about  $E=2$  keV,  $QY \approx 25.6 - 6.85\Delta E + 2.18\Delta E^2 + \mathcal{O}(\Delta E^3)$ 
    - NEST Model at 180V/cm about 2 keV:  $QY \approx 34.67 - 12.67\Delta E + 4.7\Delta E^2 + \mathcal{O}(\Delta E^3)$
- Doke-Birks → High energy approximation (particle create tracks)
  - Quanta are cylindrically distributed (superposition of many spheres)
  - $QY = \frac{N_{ions}/E}{1+k_B \cdot dE/dx}$ , and  $\frac{dE}{dx} \sim E^{-3/4}$  for xenon at keV-range energies ( $k_B$  is Birk's constant)
  - So now,  $QY = \frac{1/W}{1+k_B^* \cdot E^{-3/4}}$  (11)
  - At 180 V/cm,  $k_B^* \approx 42$  and expanding about  $E=100$  keV,  $QY \approx 26.6 + 0.11\Delta E - 0.0005\Delta E^2 + \mathcal{O}(\Delta E^3)$  19
    - NEST Model at 180V/cm about 100 keV:  $QY \approx 26.7 + 0.12\Delta E - 0.0005\Delta E^2 + \mathcal{O}(\Delta E^3)$

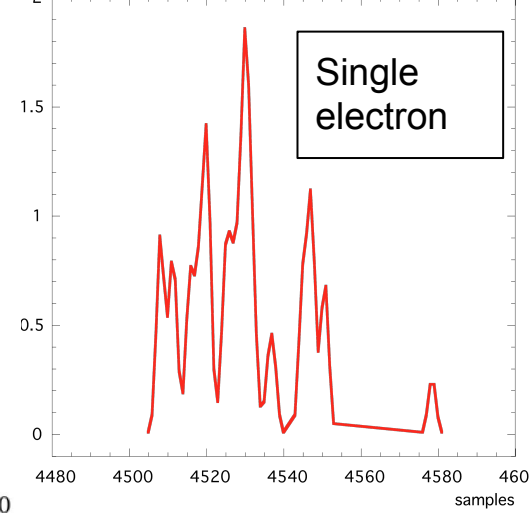
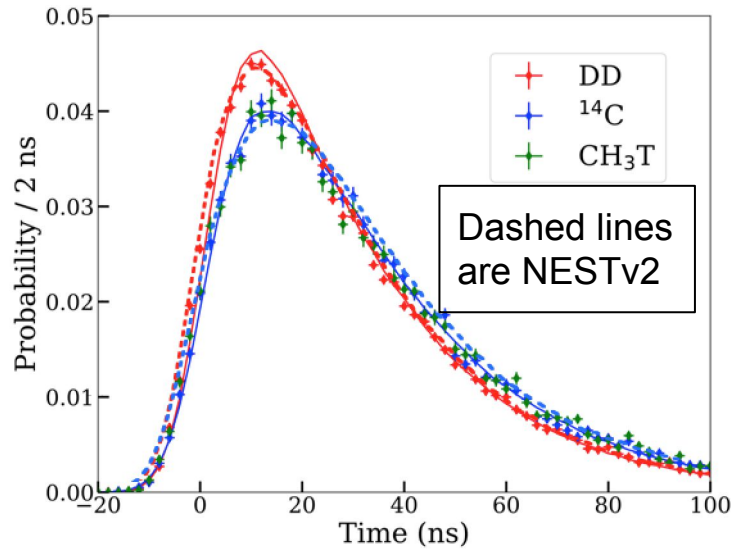
# NR Charge Yields Fit to World Data

M. Szydagis. (NEST Collaboration) *A Comprehensive, Exhaustive, Complete Analysis of World LXe NR Data With a Final Model.* 2019.

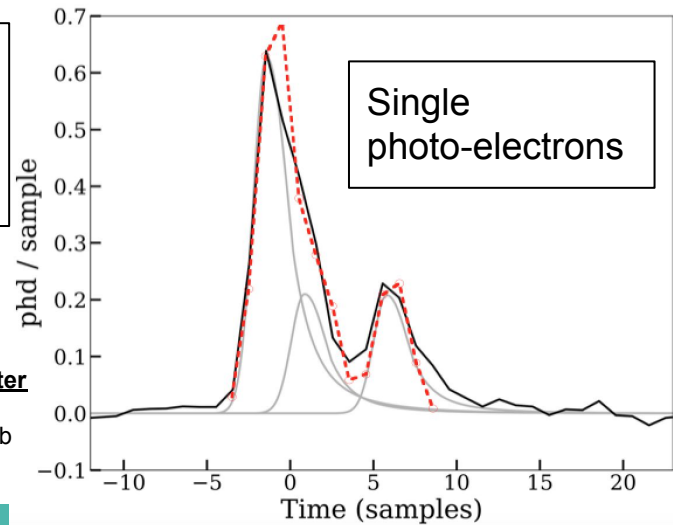


# Pulse Shapes

- Uses event position and detector geometry to approximate photon travel time.
- Matches LUX pulse shape discrimination.
- Simulates both components of SE noise in LXe.



ABOVE:  
Histograms of S1  
photon arrival  
times



**Two distinct components of the delayed single electron noise in liquid xenon emission detectors**

P. Sorensen (LBNL, Berkeley), K. Kamdin (LBNL, Berkeley & UC, Berkeley). Nov 19, 2017. 5 pp. Published in **JINST 13 (2018) no.02, P02032**

**Liquid xenon scintillation measurements and pulse shape discrimination in the LUX dark matter detector**

LUX Collaboration (D.S. Akerib (Case Western Reserve U. & SLAC & KIPAC, Menlo Park) et al.). Feb 16, 2018. 16 pp. Published in **Phys.Rev. D97 (2018) no.11, 112002**

# Energy Resolution

- Quantum Fluctuations

- First estimates of fluctuations in energy resolution and fluctuations in quanta produced were by Ugo Fano in the 1940's. **On the Theory of Ionization Yield of Radiations in Different Substances.** U.Fano. Phys. Rev. **70**, 44 – Published 1 July 1946
- There is energy “lost” when photons are produced in LXe from electron recoils!
- $E = W \cdot (n_\gamma + n_e) \rightarrow$  Work Function:  $W = 13.7$  eV
- Fluctuations modeled using an empirical “Fano-like” factor proportional to  $\sqrt{\text{energy}} \cdot \sqrt{\text{field}}$

- Recombination Fluctuations

- Binomial recombination has never matched data well.
- Same equation as cited in LUX Signal Yields Publication:  $\sigma_T^2 = (1-p) \cdot n_i \cdot p + (\sigma_p n_i)^2$ 
  - $\sigma_p$  in NEST is both field-dependent and energy-dependent

**Signal yields, energy resolution, and recombination fluctuations in liquid xenon**

LUX Collaboration (D.S. Akerib (Case Western Reserve U. & SLAC & KIPAC, Menlo Park) *et al.*). Oct 6, 2016. 12 pp.

Published in **Phys.Rev. D95 (2017) no.1, 012008**

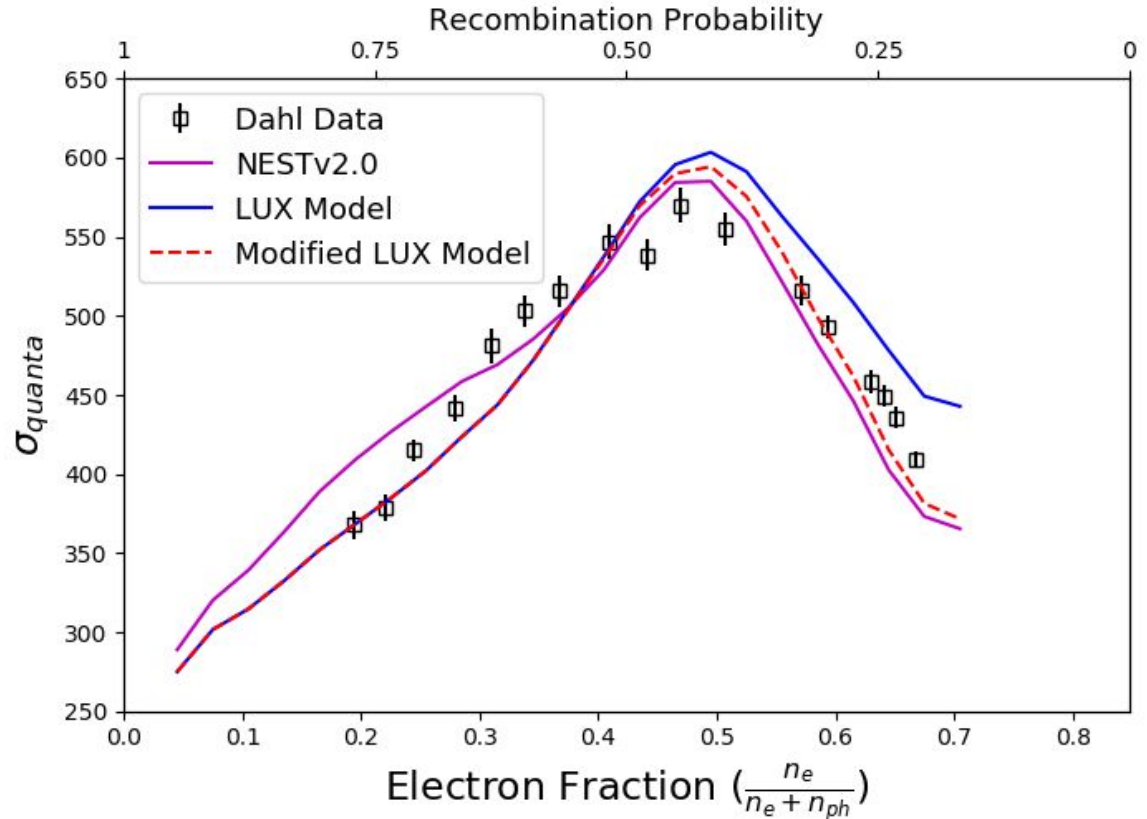
DOI: [10.1103/PhysRevD.95.012008](https://doi.org/10.1103/PhysRevD.95.012008)

# Recombination Fluctuations

- Comparing to Eric Dahl's PhD thesis data

*The Physics of Background Discrimination in Liquid Xenon, and the First Results from XENON10 in the Hunt for WIMP Dark Matter.* C.E. Dahl. Doctoral Dissertation. Princeton University. September 2009

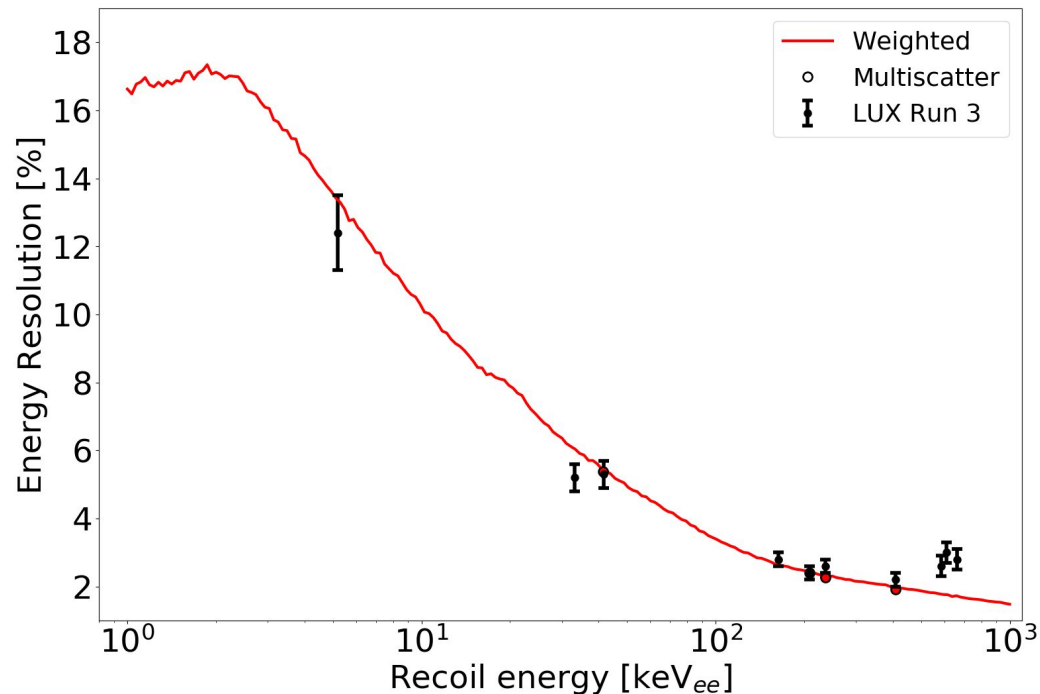
Improved Modeling of  $\beta$  Electronic Recoils in Liquid Xenon Using LUX Calibration Data  
LUX Collaboration (D.S. Akerib *et al.*). Oct 9, 2019. 17 pp.  
e-Print: [arXiv:1910.04211](https://arxiv.org/abs/1910.04211) [physics.ins-det]



# Energy Resolution: LUX

- Good Fit to LUX Run 3.
- $\beta$ -model better at lower energies. Fit here uses a weighted combination of NEST's  $\beta$  and  $\gamma$  models.

Plot by V. Velan



## Signal yields, energy resolution, and recombination fluctuations in liquid xenon

LUX Collaboration (D.S. Akerib (Case Western Reserve U. & SLAC & KIPAC, Menlo Park) *et al.*). Oct 6, 2016. 12 pp.

Published in **Phys.Rev. D95 (2017) no.1, 012008**

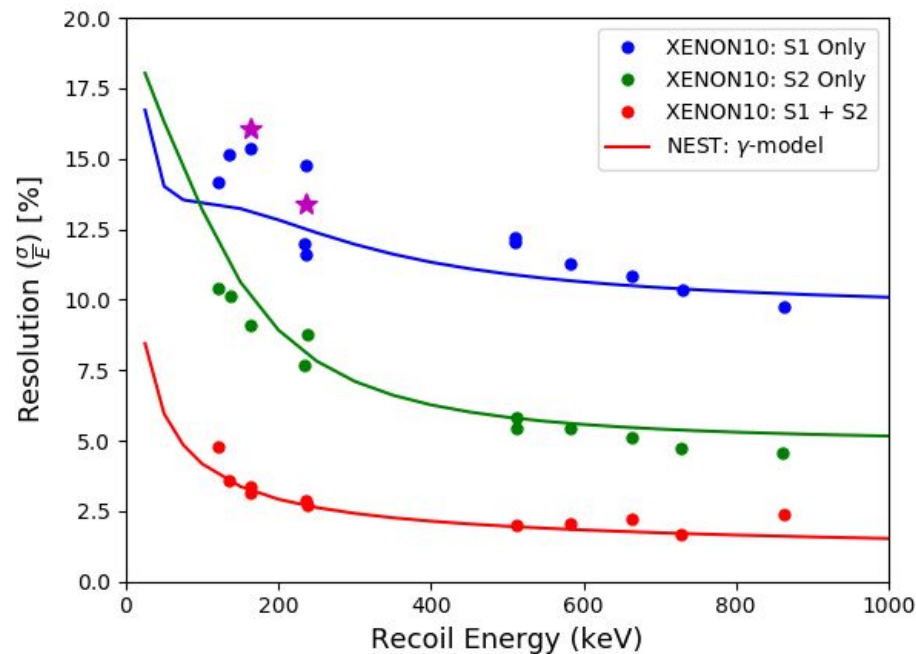
DOI: [10.1103/PhysRevD.95.012008](https://doi.org/10.1103/PhysRevD.95.012008)



# Energy Resolution: XENON10

arXiv.org:1001.2834

- Good agreement with XENON10 energy resolution
  - Optimized a Fano-like factor for best agreement → Data suggested field & energy dependence
  - Data suggests that the Fano factor is both energy-dependent and field-dependent
- Magenta stars are  $^{129m}\text{Xe}$  &  $^{131m}\text{Xe}$ 
  - Decay in many steps ( $\gamma$  and X rays), used NEST to combine the yields from each decay and added them together
  - $^{83m}\text{Kr}$  model suggests that multi-step decays have subtle time-dependence



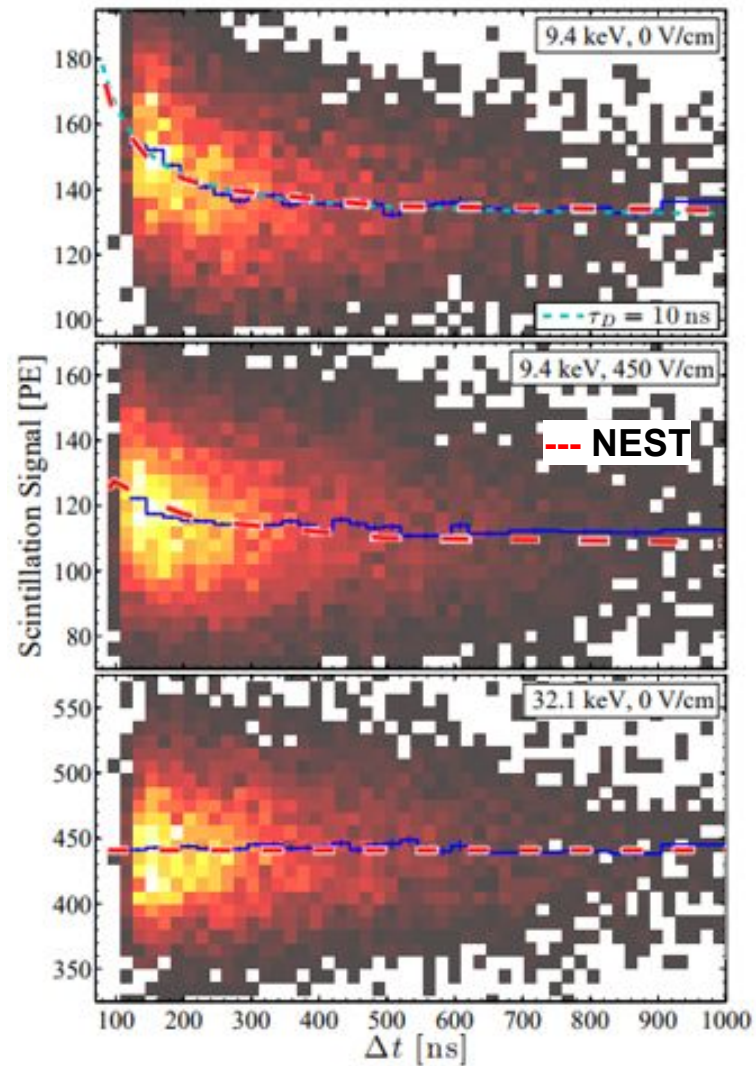
# Scintillation Signal v. Time

Kr83m data suggests that the total light yield from the 9.4 keV decay has a slight time dependence

## Response of liquid xenon to Compton electrons down to 1.5 keV

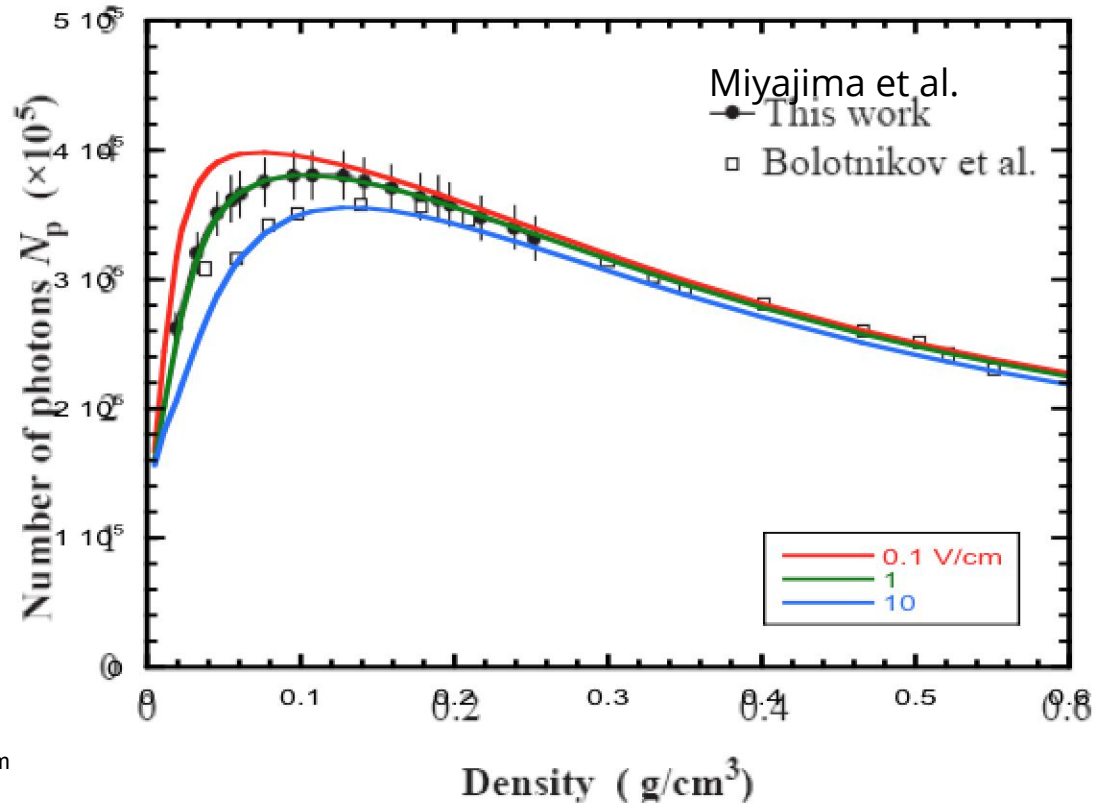
Laura Baudis, Hrvoje Dujmovic (Zurich U.), Christopher Geis (Zurich U. & Unlisted, DE), Andreas James, Alexander Kish, Aaron Manalaysay, Teresa Marrodan Undagoitia, Marc Schumann (Zurich U.), Mar 27, 2013. 14 pp.

Published in **Phys.Rev. D87 (2013) no.11, 115015**



# $\alpha$ -Model for GXe

- Most GXe  $\alpha$  data is contradictory (data shown is 0 V/cm).
- NESTv2 splits many of the differences between contradictions.
  - Floating “zero-field” was critical here!



M. Miyajima et. al. **Absolute number of photons produced by alpha-particles in liquid and gaseous xenon.**  
Nuclear Instruments and Methods in Physics Research Section B: Beam Interactions with Materials and Atoms,  
Volume 63, Issue 3, 1992, Pages 297-308,ISSN 0168-583X

# ER in GXe

density (g/cc)	keVee	W_sc (eV)	NEST W_sc (eV)
0.08	662	61 +/- 18 [1]	66 **
0.0057	5.9	111 +/- 16 [2]	97.8
0.0899	60	75 +/- 11 [3]	69.4

- [1] **Ionization and scintillation of nuclear recoils in gaseous xenon**  
NEXT Collaboration (J. Renner (LBL, Berkeley & UC, Berkeley) *et al.*). Sep 9, 2014. 13 pp.  
Published in **Nucl.Instrum.Meth. A793 (2015) 62-74**

\*\* Gamma Model found 83.8 eV for 662 keVee

- [2] **Absolute primary scintillation yield of gaseous xenon under low drift electric fields for 5.9 keV X-rays**  
Carmo, S.J.C. et. al. 2008.  
Published in **Journal of Instrumentation, Volume 3**. July 16, 2008

- [3] A. Parsons *et al.*, "High pressure gas scintillation drift chambers with wave-shifter fiber readout," in *IEEE Transactions on Nuclear Science*, vol. 37, no. 2, pp. 541-546, Apr 1990.  
doi: 10.1109/23.106674

\*Light yields ( 1000 / W ) were nearly constant for field ranges ~200-25000 V/cm

[1] states  $W_i = 24.7$  eV -- NEST result is 30.2 eV

Gamma Model: 27.5 eV

# ER from $\gamma$ -rays

- $\gamma$  ER different from  $\beta$  ER

Error Bands are  
PRELIMINARY!

