

The ECAL in the DUNE ND MPD.

TPC Mini Workshop

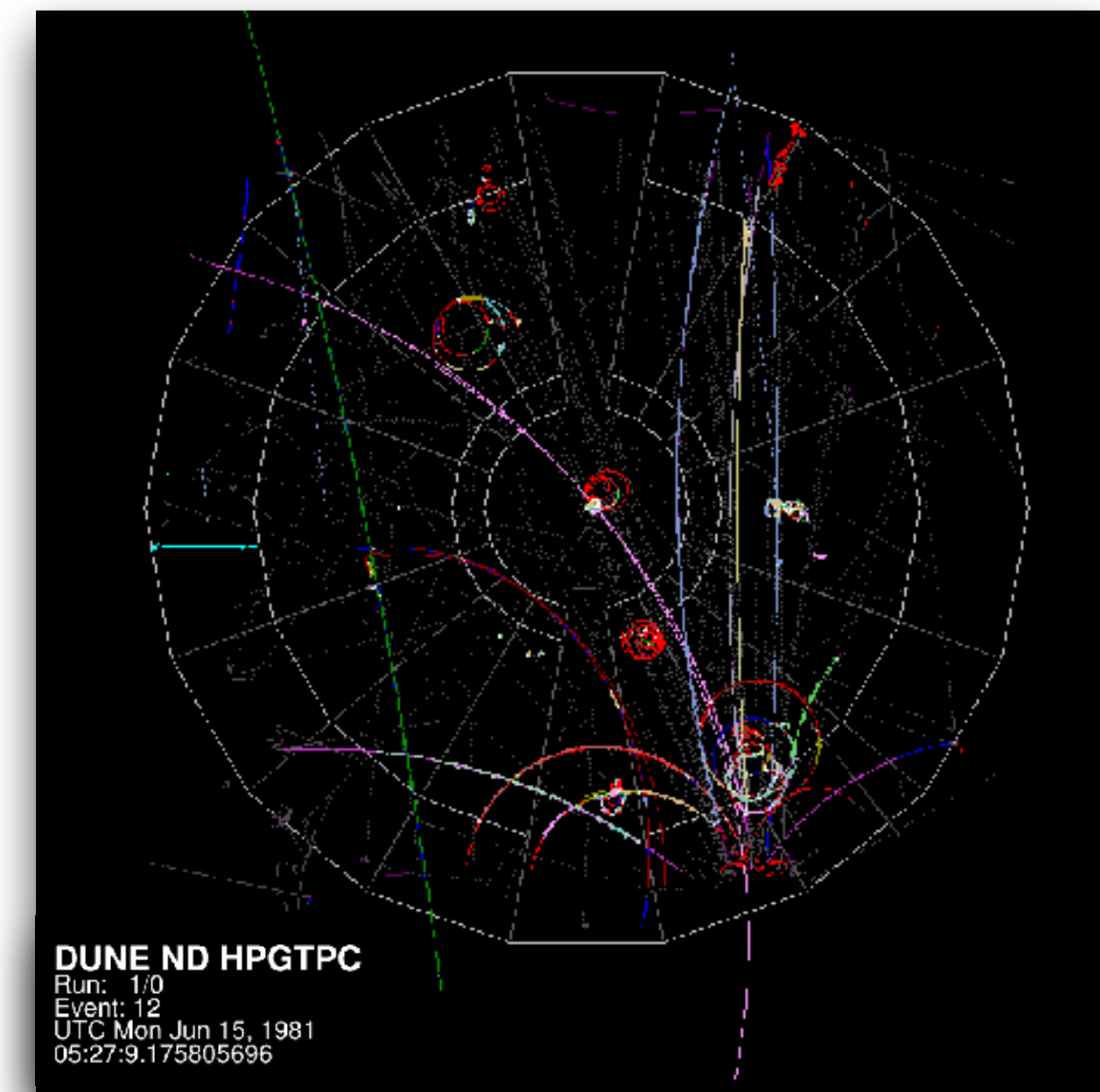
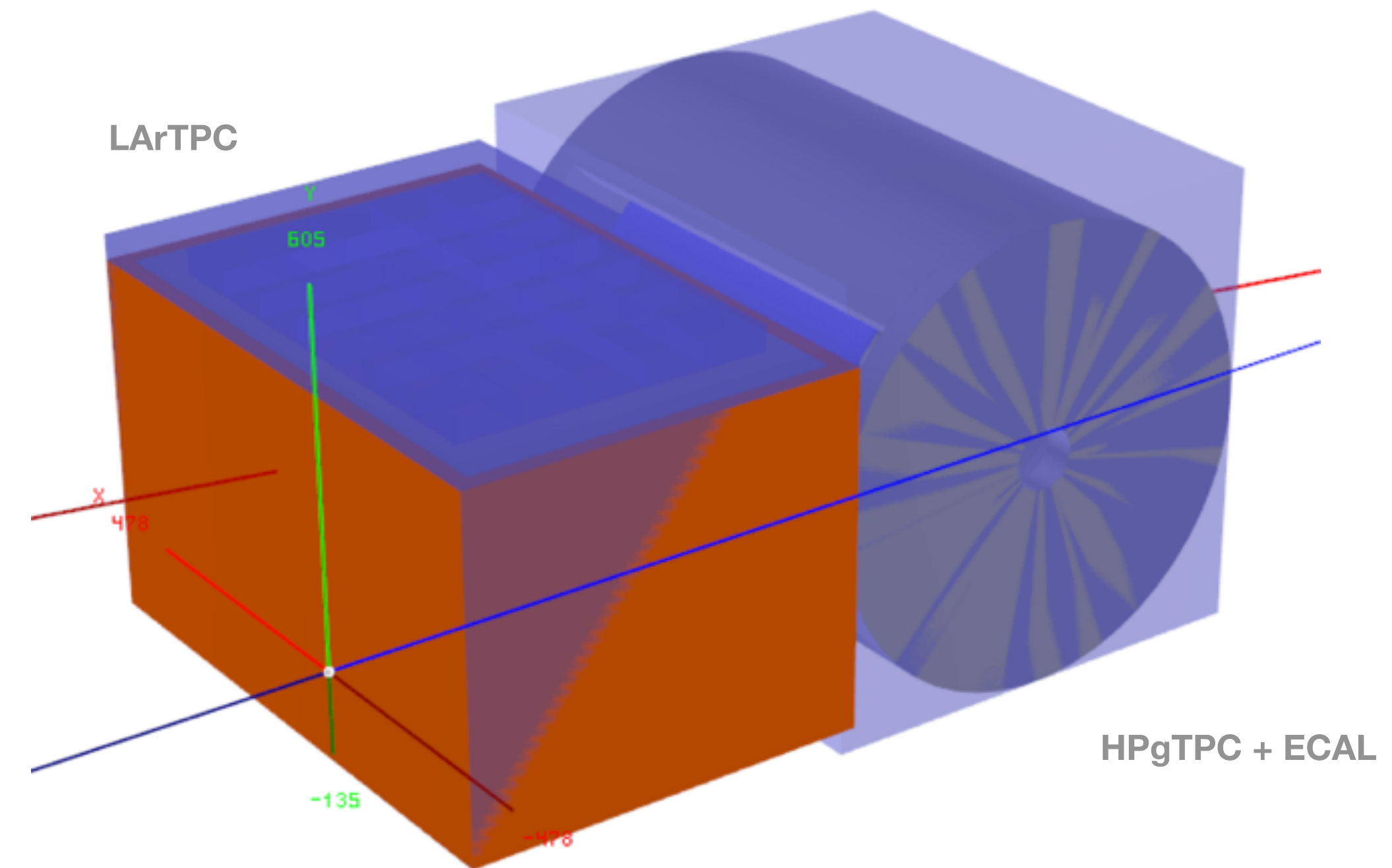
Eldwan Brianne / Lorenz Emberger
Frank Simon / Felix Sefkow / Marcel Stanitzki
CERN, 12th July 2019



The Near Detector ECAL.

The goals

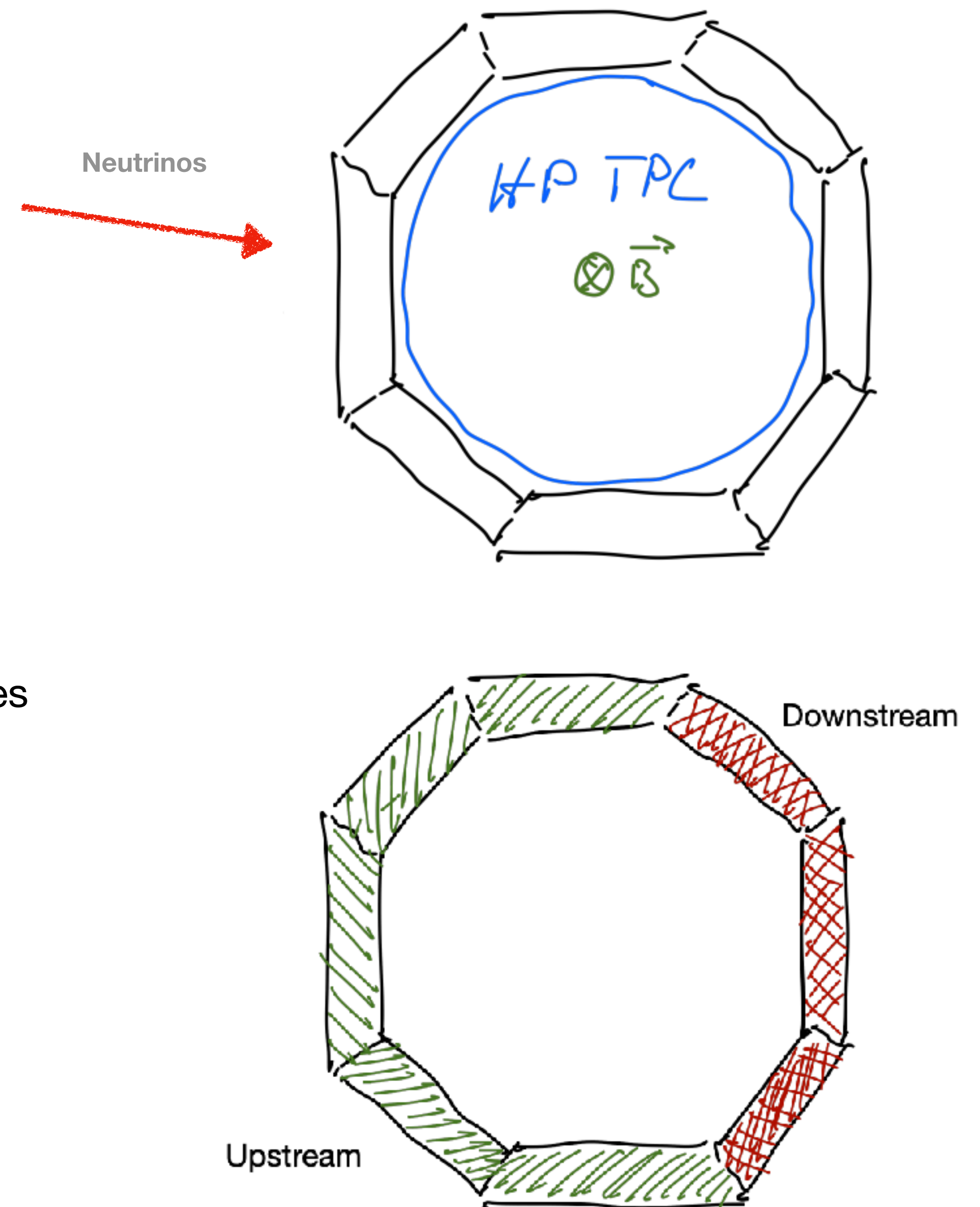
- The main **goals** of the ND ECAL surrounding the HPgTPC
 - Help in identifying **neutral pions** \Rightarrow reject background π^0 s in ν_e interactions
 - Help in rejecting backgrounds / Provide an accurate **timestamp** of the event
 - Hadron containment, improve the **LAr acceptance** (HPgTPC + ECAL)
 - Help in **PID** with calorimetric variables / timing techniques
 - Separation mu/pi
 - Separation positron/proton > 1 GeV \Rightarrow impossible with dE/dx
 - As a bonus
 - Possibly provide a handle on **neutron identification** and energy reconstruction
- The ND ECAL design needs to *take into account all these at the maximum*



The ECAL concept.

Possible geometry design

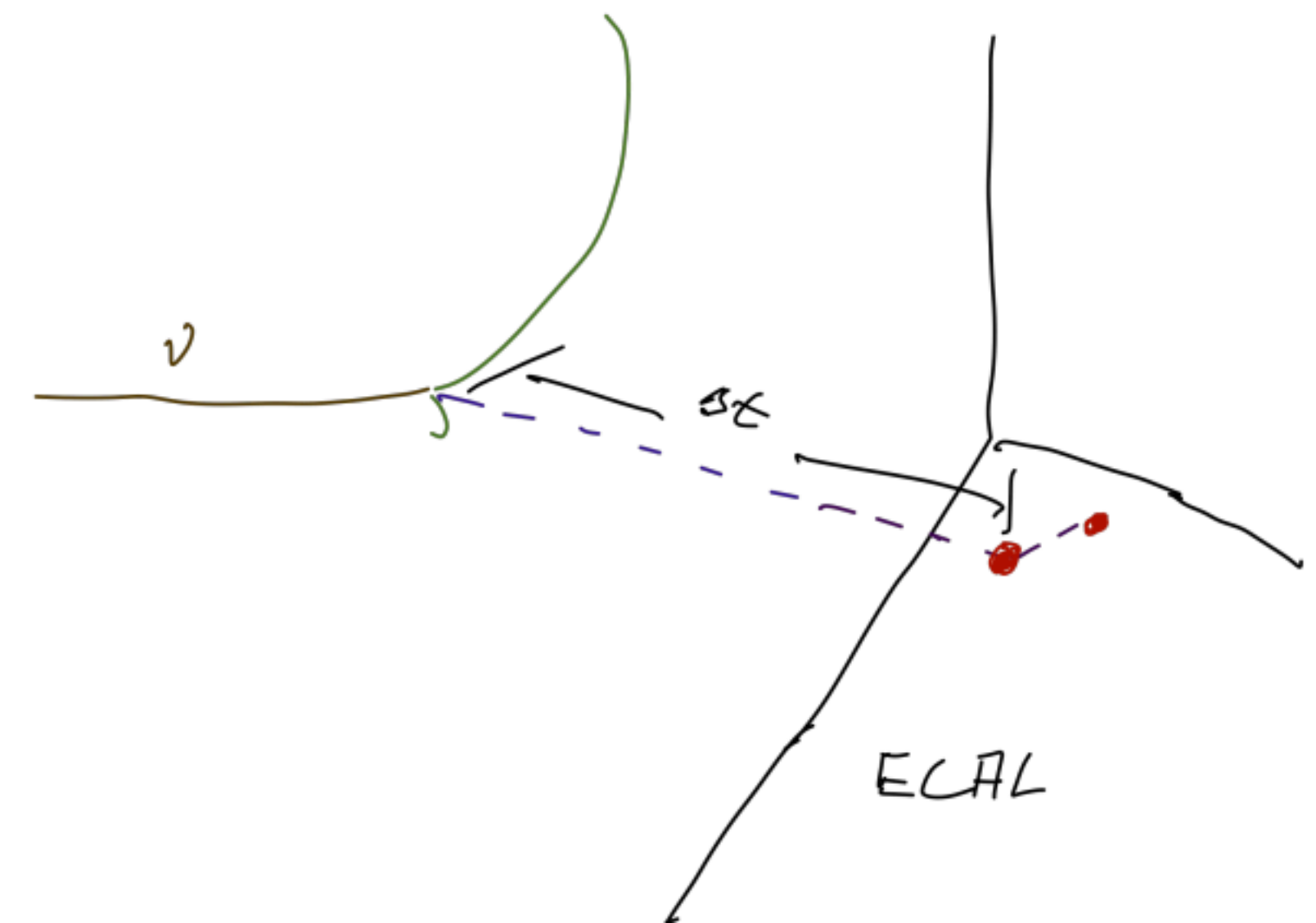
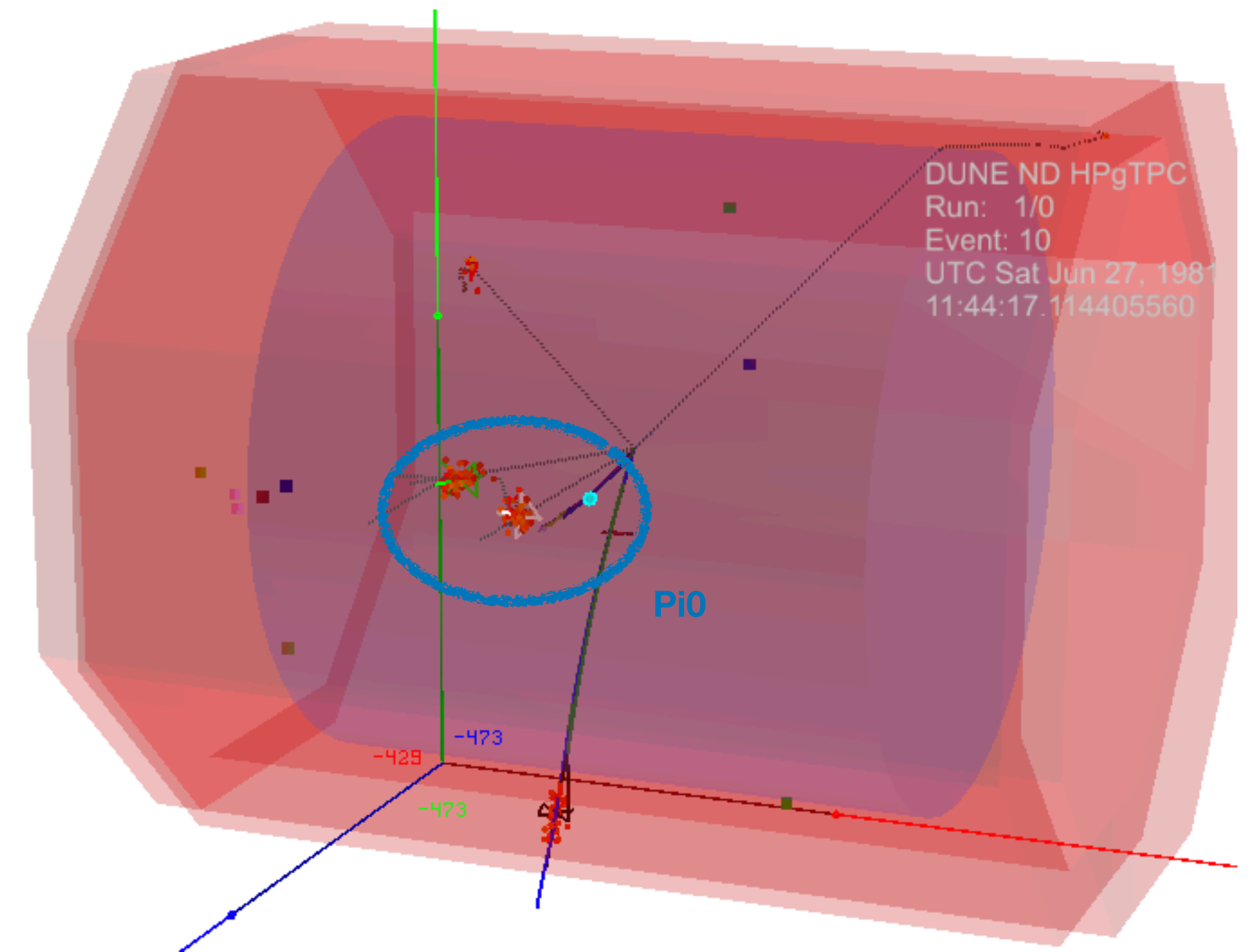
- Global layout driven by the HPgTPC size
 - Radius ~ 2.7 m, Length ~ 5.5 m
- Need to fit the cylindrical geometry of the HP vessel and planar geometry of a granular calorimeter \Rightarrow octagonal geometry
- Overall dimensions: Octagon side length $\sim 2-3$ m
 - Barrel surface ~ 150 m²
 - Endcap surface ~ 70 m²
- Due to the nature of the experiment \Rightarrow granularity/depth/resolution does not need to be the same everywhere
 - Two regions: downstream and upstream
- Possible variable longitudinal segmentation
 - Thin layers in front (good EM res), thick layer in the back for containment



Performance goals.

Try to be based on physics

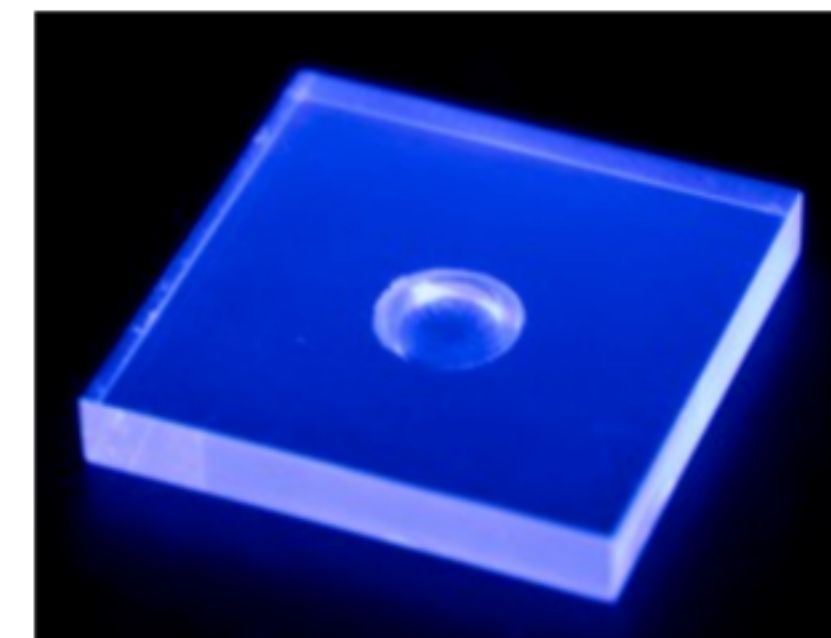
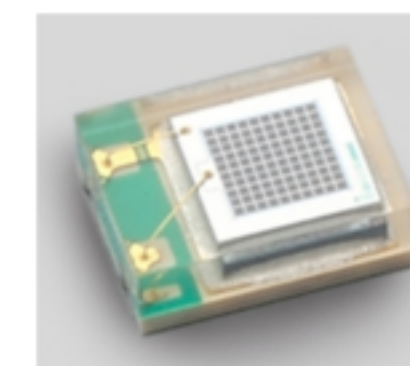
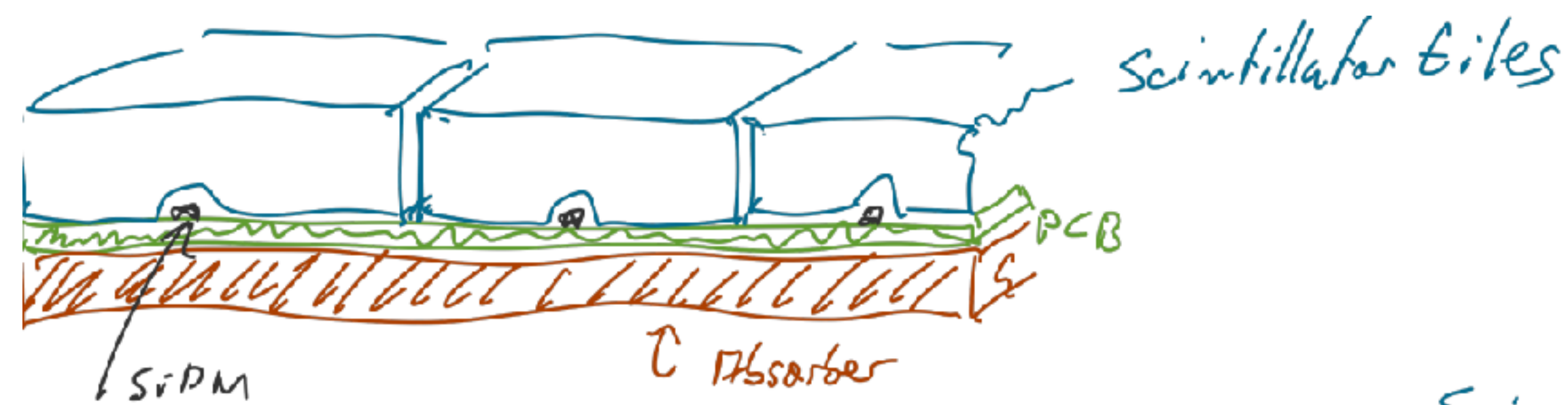
- Electromagnetic resolution $\sim 5-10\% / \sqrt{E[\text{GeV}]}$
 - Drives the sampling structure design \implies Thin absorbers
- π^0 reconstruction
 - Shower separation, position and angular resolution \implies motivates a highly granular calorimeter
- Potential game changer \implies Neutron identification and energy reconstruction (still needs to be well established)
 - Drives timing resolution to 100 ps level



Technological choices.

Based on acquired experience in CALICE

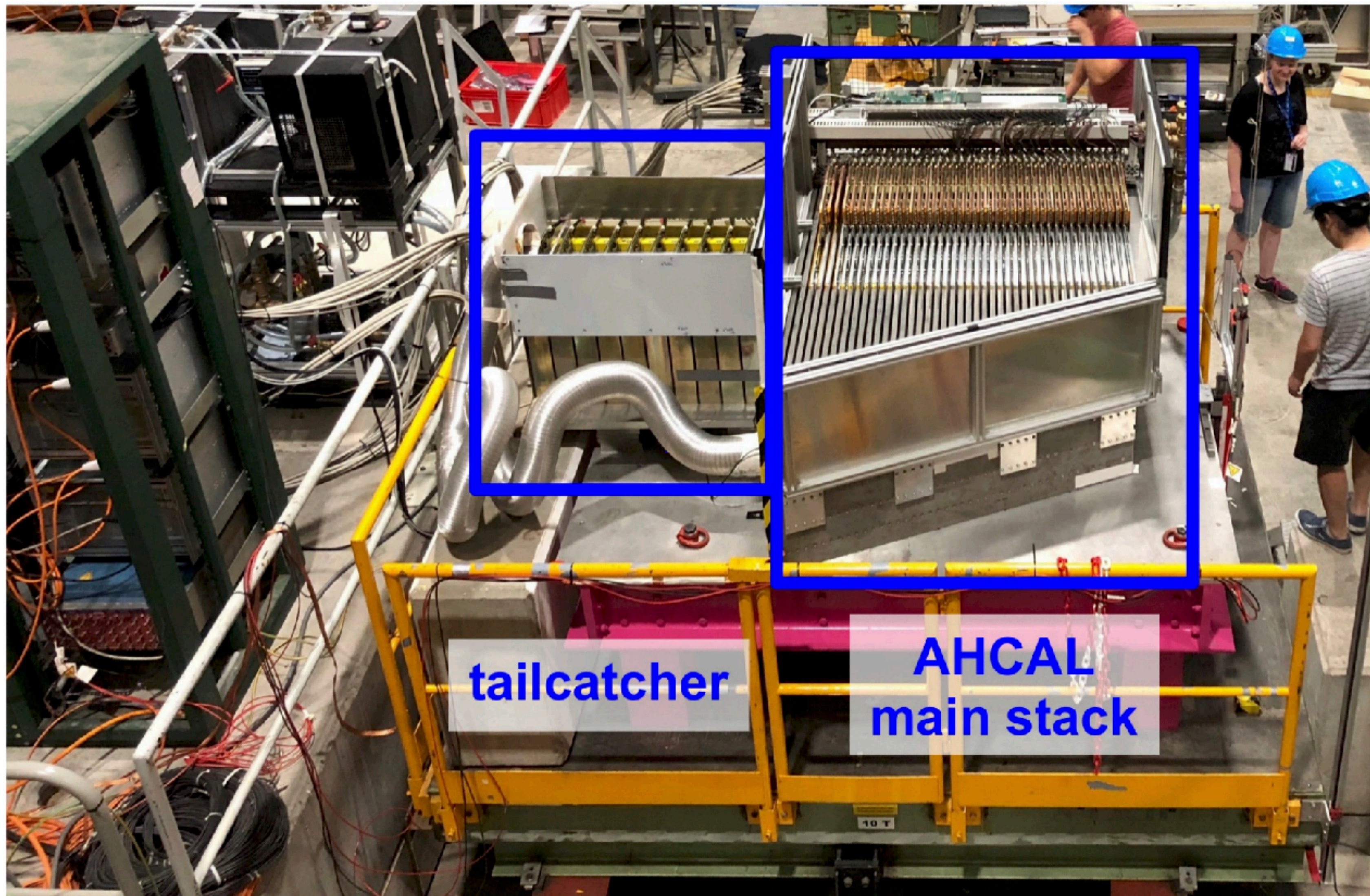
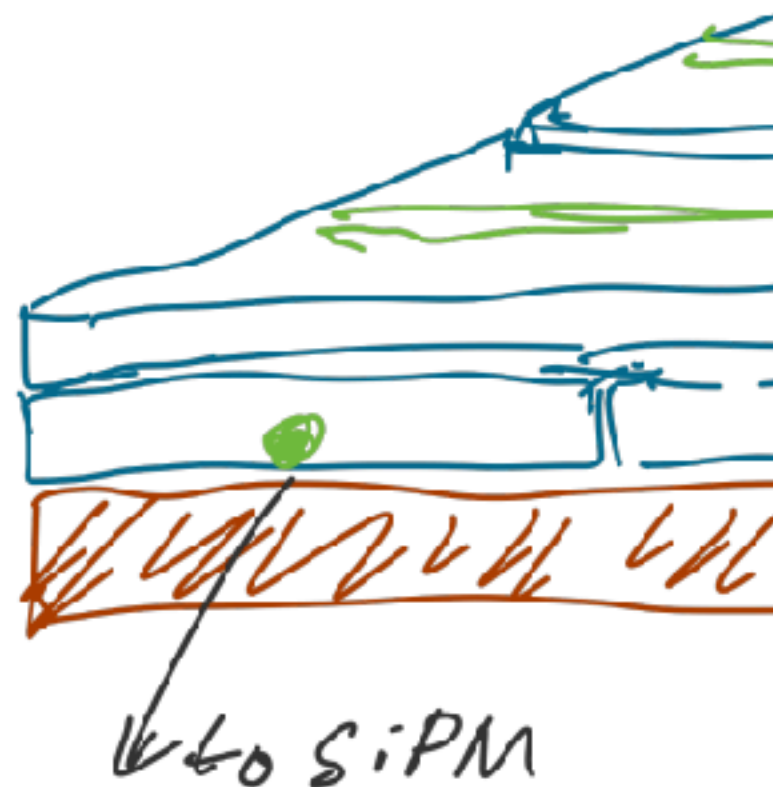
- Mix of high granular and low granular planes
 - High granular plane \Rightarrow scintillator tiles with 1 SiPM per tile
 - Low granular plane \Rightarrow crossed-scintillator strips readout on both sides



Technological choices.

Based on acquisition

- Mix of high granularities
 - HG -> scintillator
 - LG -> crossed



scintillator tiles



SMD SiPM

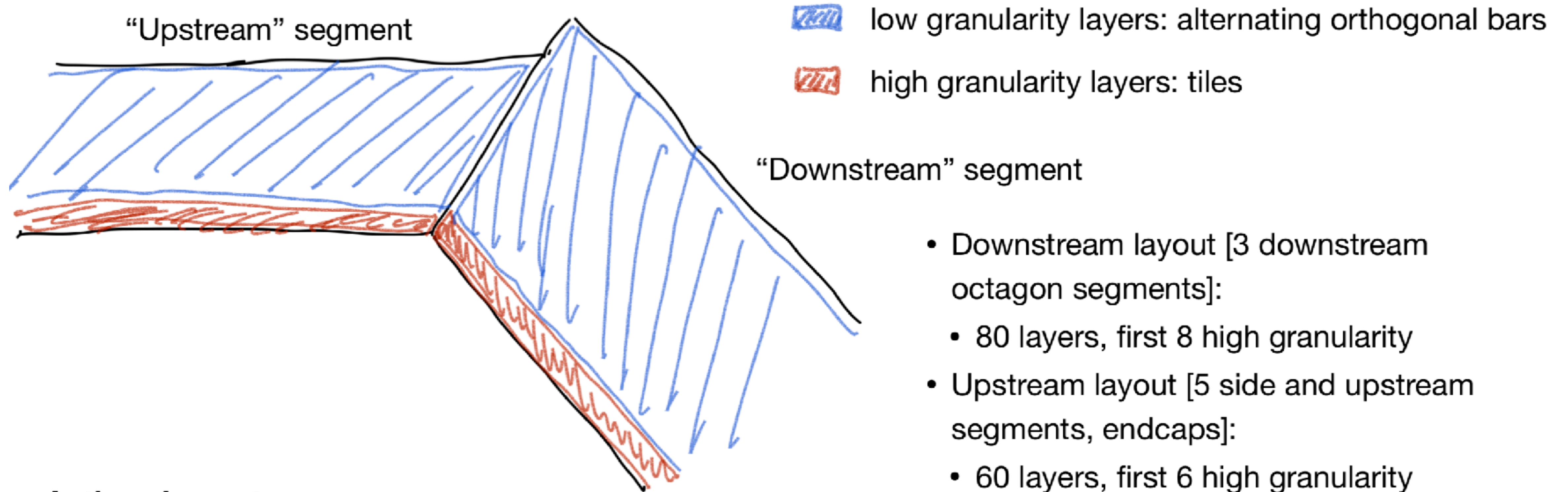
coupling
le

Well established technology
-> full prototype with ~22k channels

Current design overview.

Current status, not what will be built

Frank Simon's slide



Active elements:

- *high granularity*: 25 x 25 mm² tiles, 5 mm thick
- *low granularity*: 40 mm wide, 5 mm thick bars over full module length, crossed in alternating layers

Optimisation goals.

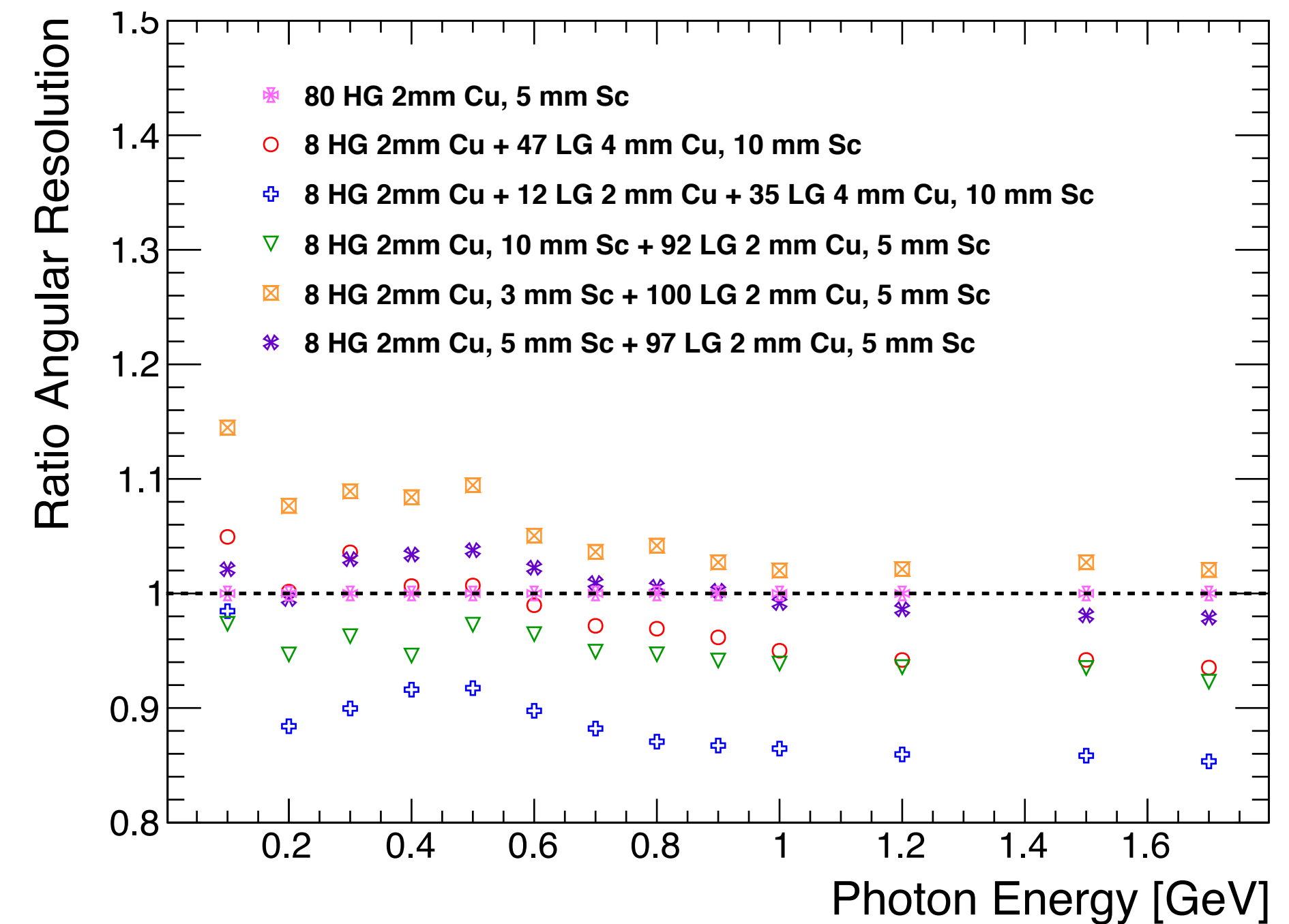
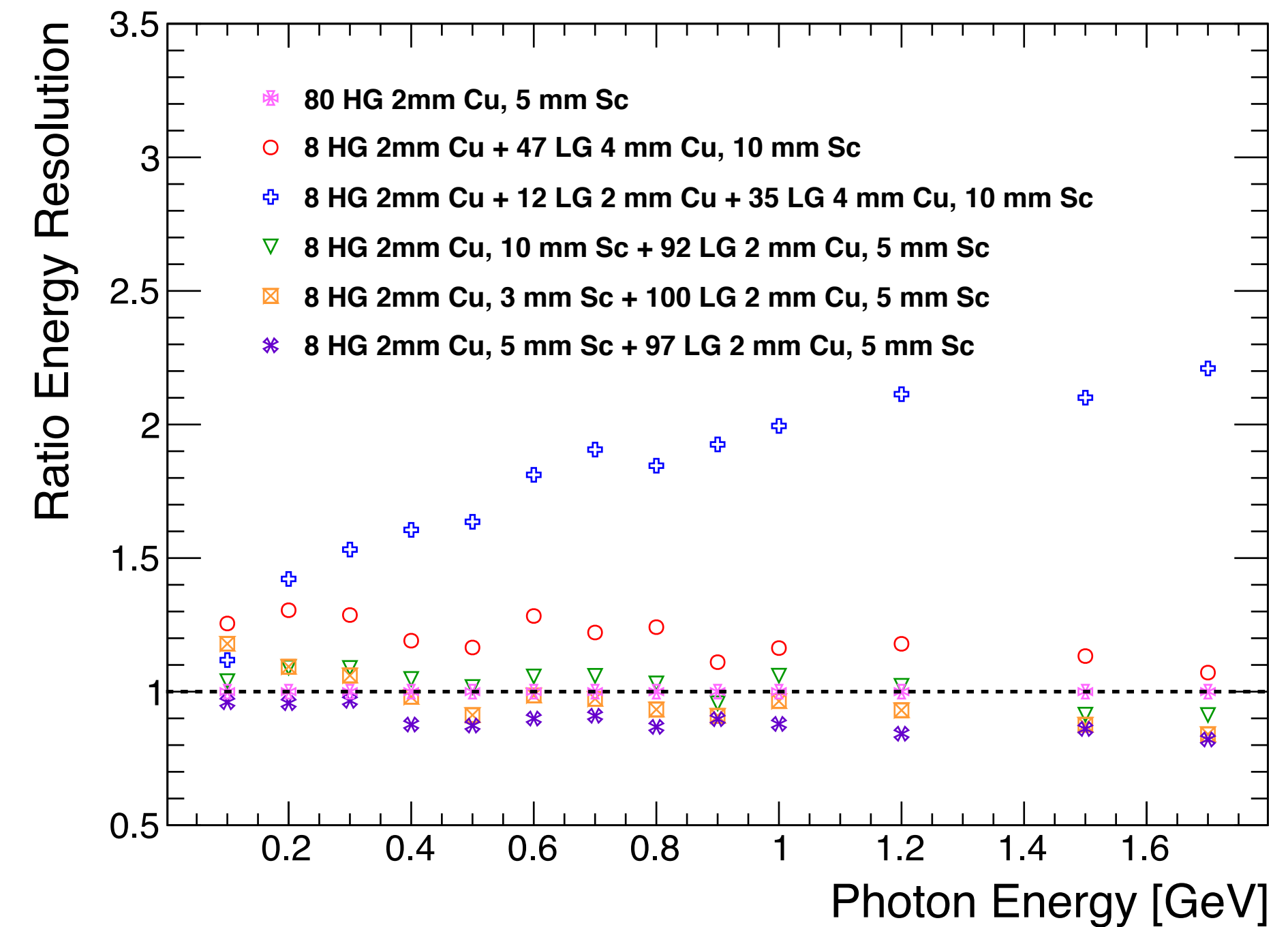
Study of several designs

- **Goals:**
 - **Cost** drivers: Granularity, absorber material/thickness, number of layers (size)
 - Main design driver \Rightarrow **calorimeter energy resolution**, **angular resolution**, **neutron detection...**
- Optimize by looking at the influence of
 - Granularity
 - **Absorber thickness**
 - **Scintillator thickness**
 - Pressure vessel

Simulation studies.

Full comparison

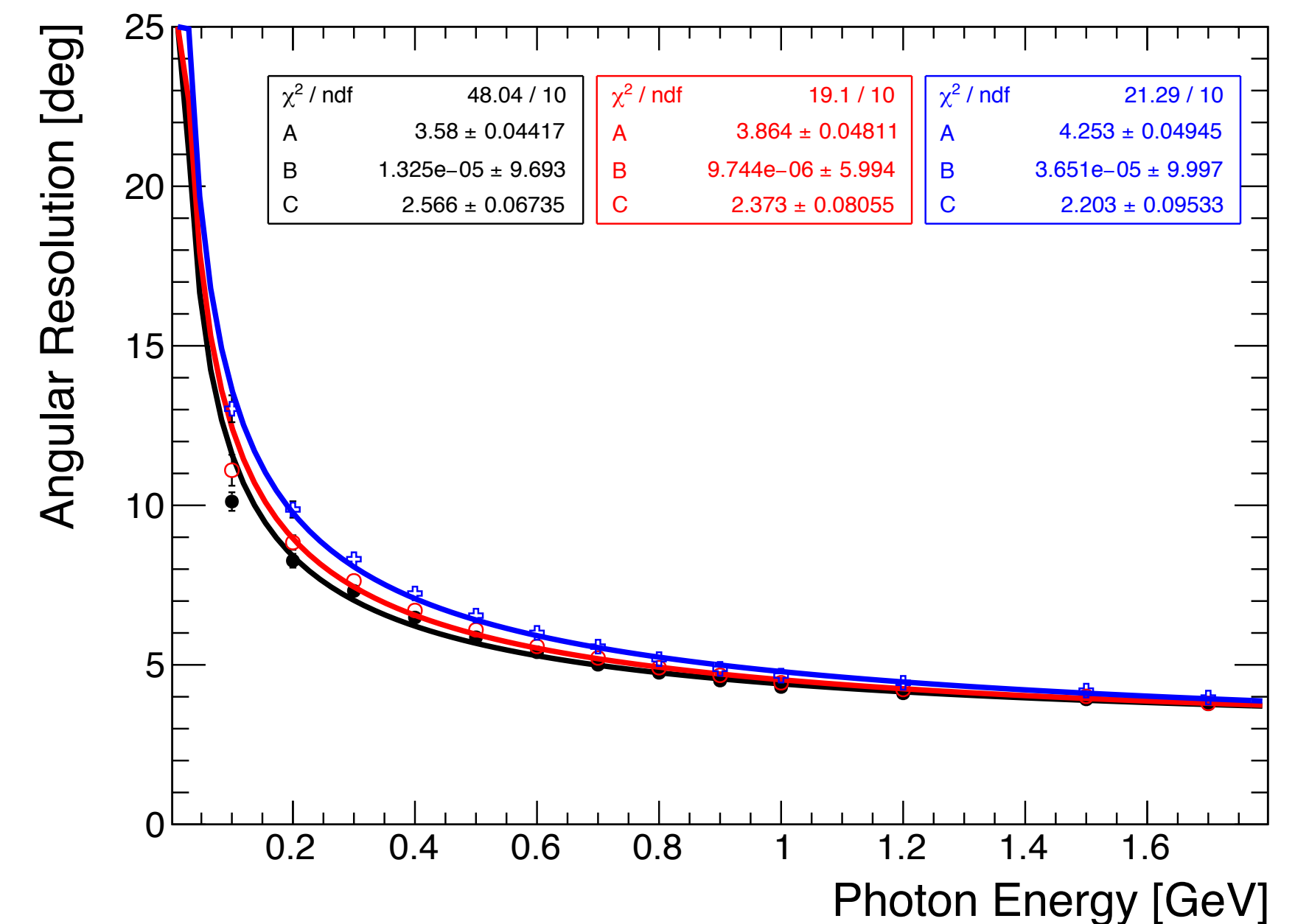
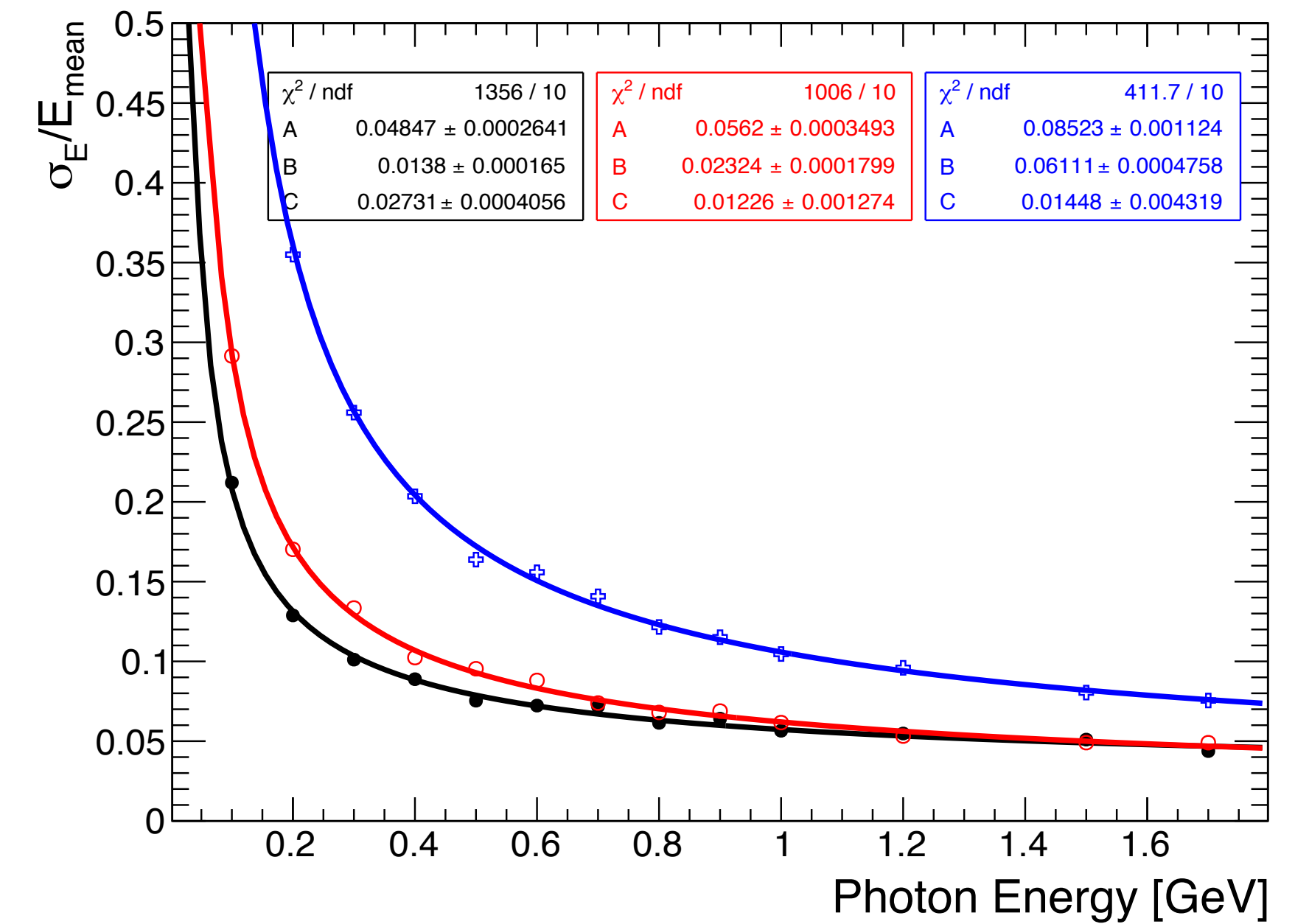
- A lot of models have been compared! (~12 models)
- **To take away**
 - Angular resolution dominated by front layers → granularity in the back layers does not matter much
⇒ *strips can be used*
 - Thinner absorber with *small Molière radius* in the front is preferred for angular resolution
 - *Shower containment* is important for high energies
⇒ more layers or thicker absorber in the back
 - Thicker scintillator in the front helps in the angular resolution
 - *Pressure vessel thickness* needs to be kept below $1 X_0$ to keep energy resolution below $6\%/\sqrt{E}$ ⇒ ECAL can be put outside the PV



Simulation studies.

Influence of the pressure vessel

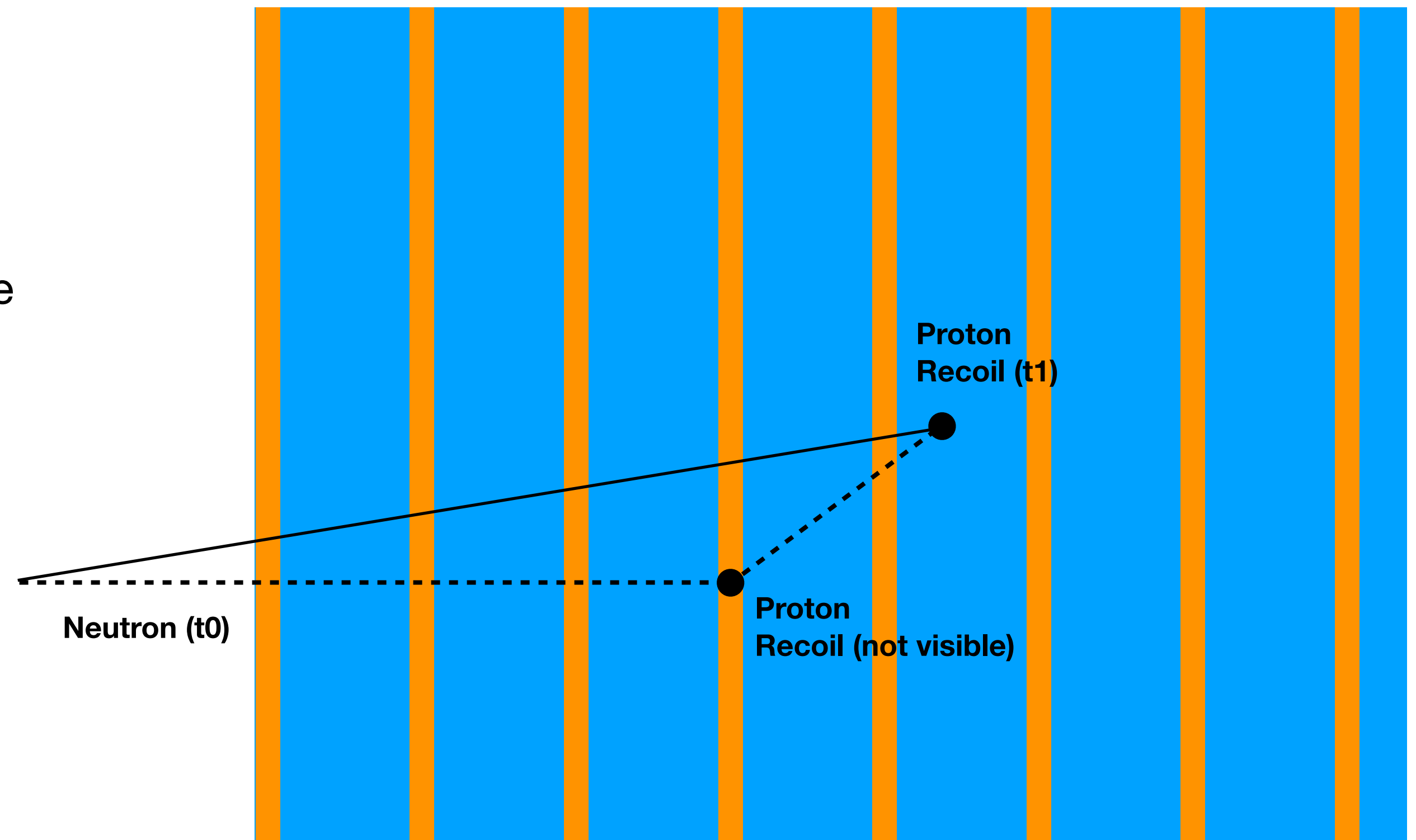
- Look at the influence of the **pressure vessel**
 - Case if the ECAL is fully outside the PV → easier from the engineering side
- Different thicknesses
 - **0.5**, **1** and **2** X_0 of steel
- Until when the pressure vessel becomes a significant problem?
- Angular resolution **get slightly affected over $1X_0$**
- Energy resolution **gets heavily affected** → pressure vessel should stay below 1 X_0 to keep energy resolution below 6% / $\text{Sqrt}(E)$



Neutron reconstruction.

ToF technique

- Typical proton recoil energies: few MeVs - however depends on the simulation model used
- **First interaction** missed \implies travel distance underestimated
- **Scattered neutron** is slower \implies ToF is over-estimating the initial neutron kinetic energy
- In the ECAL case:
 - Due to passive absorber \implies more chance to have *scattered neutrons*
 - \implies Expect low left tail in the energy reconstruction
- Sensitive parameters:
 - **Amount of H** \implies thickness active material
 - **Absorber** \implies thickness / material Z



Neutron reconstruction.

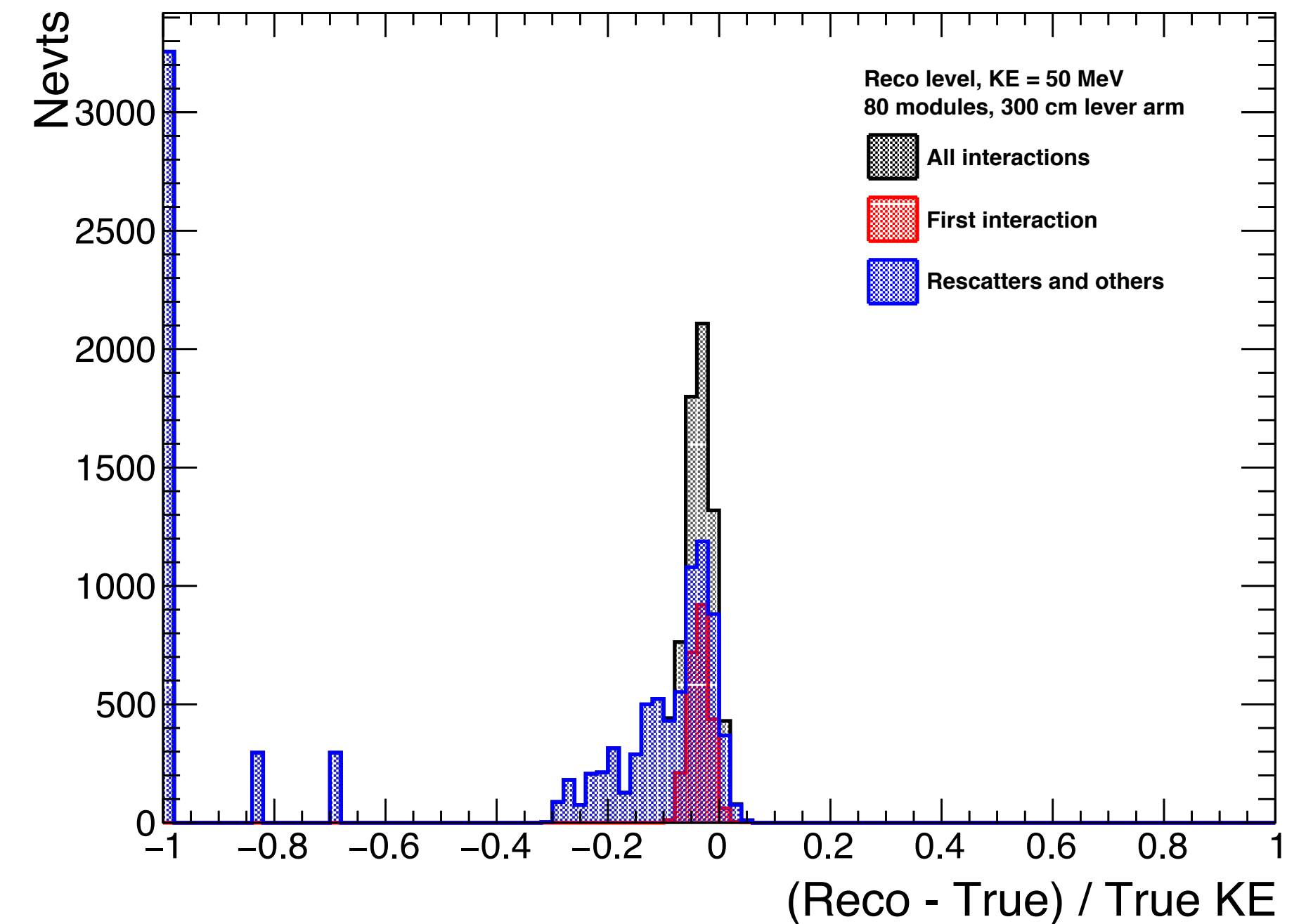
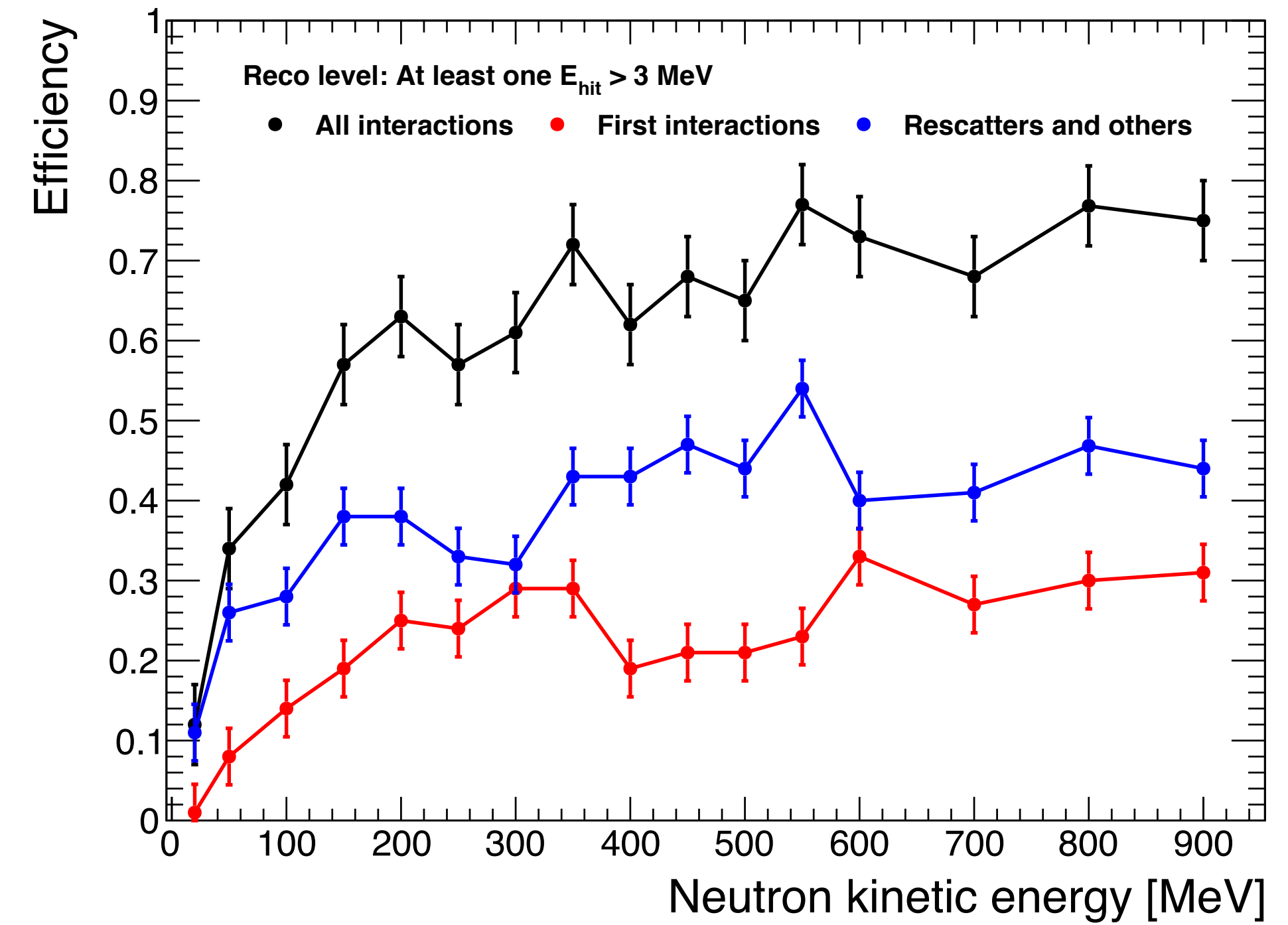
Baseline design

Configuration

- 2 mm Cu + 5 mm Sc (80 layers)
- Particle gun between 20 and 900 MeV
- Assumes **250 ps time resolution**
- Requirements:
 - First hit in time with **at least 3 MeV** of deposited energy

Observations

- Overall efficiency of above **50-60%**
 - Mostly **dominated** by rescatters



Neutron reconstruction.

Baseline design

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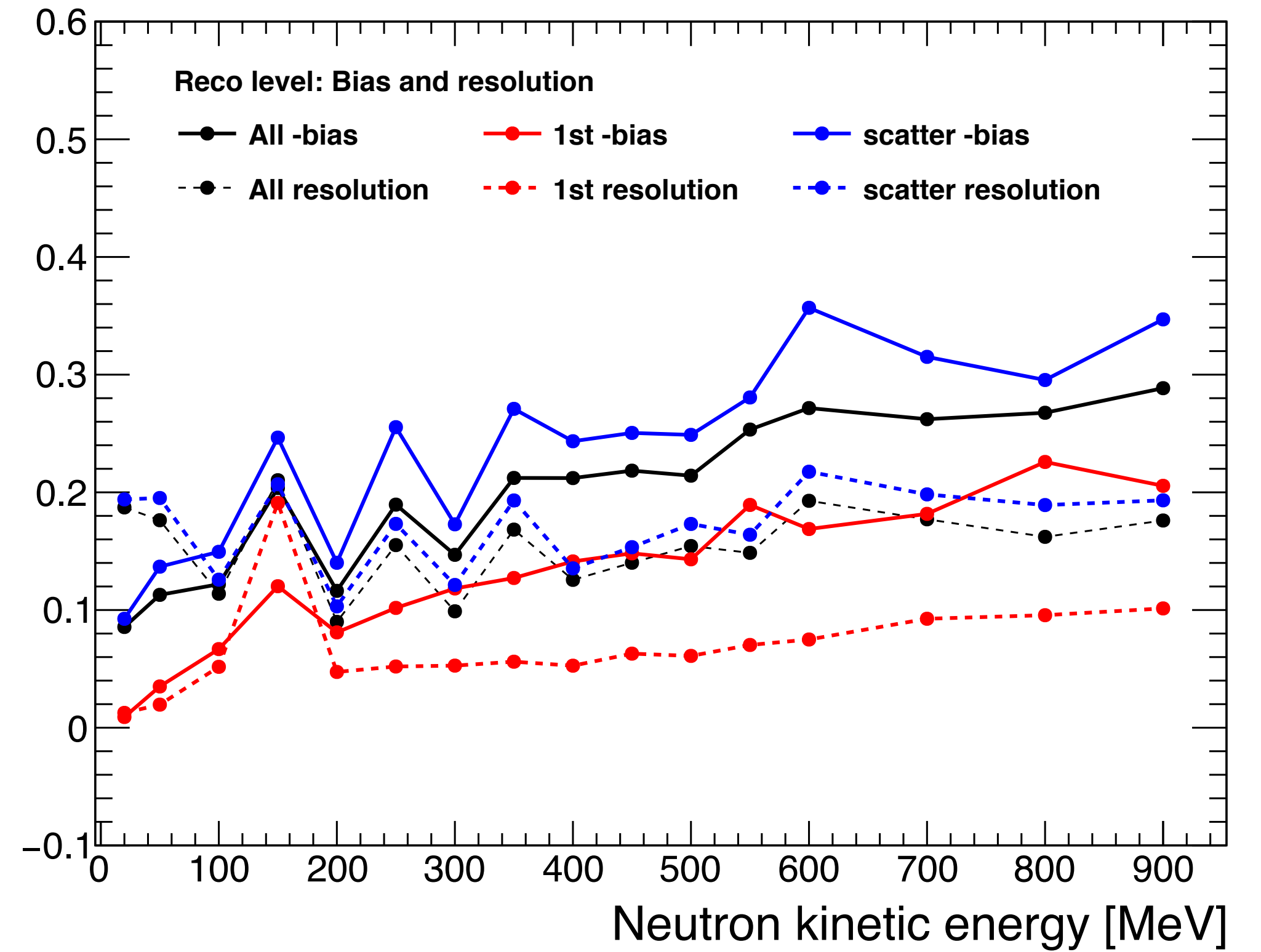
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Observations

- Overall efficiency of above **50-60%**
 - Mostly **dominated** by rescatters
- Rescatters degrade the energy resolution and introduce a bias! ➡ can be limited by cutting on the layer

Improvements

- Still needs to be established in a real environment
- Scintillator doping / impact on background

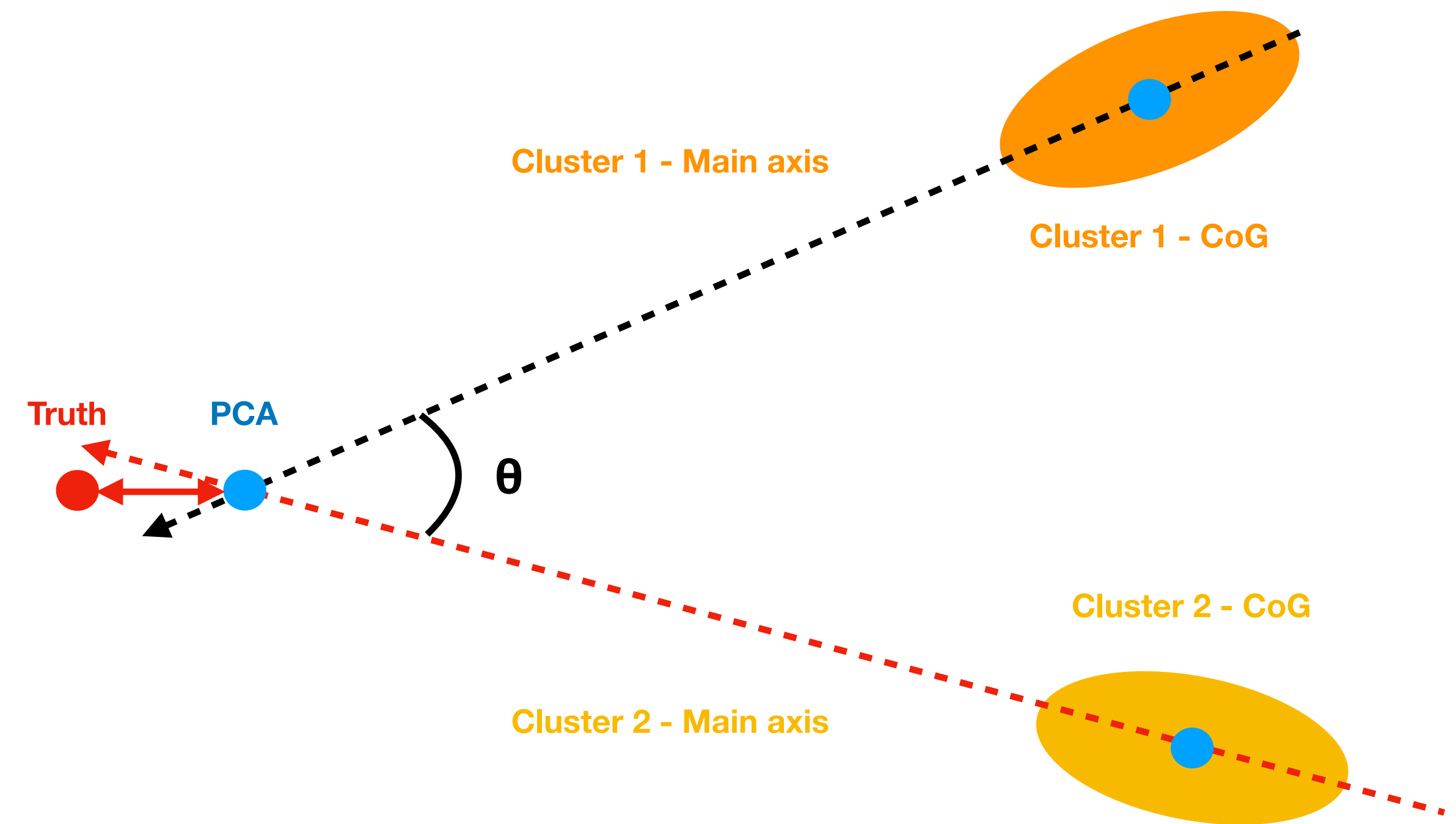


If demonstrated to be possible
-> Bonus point for the ECAL

Neutral pion reconstruction.

Setup and method

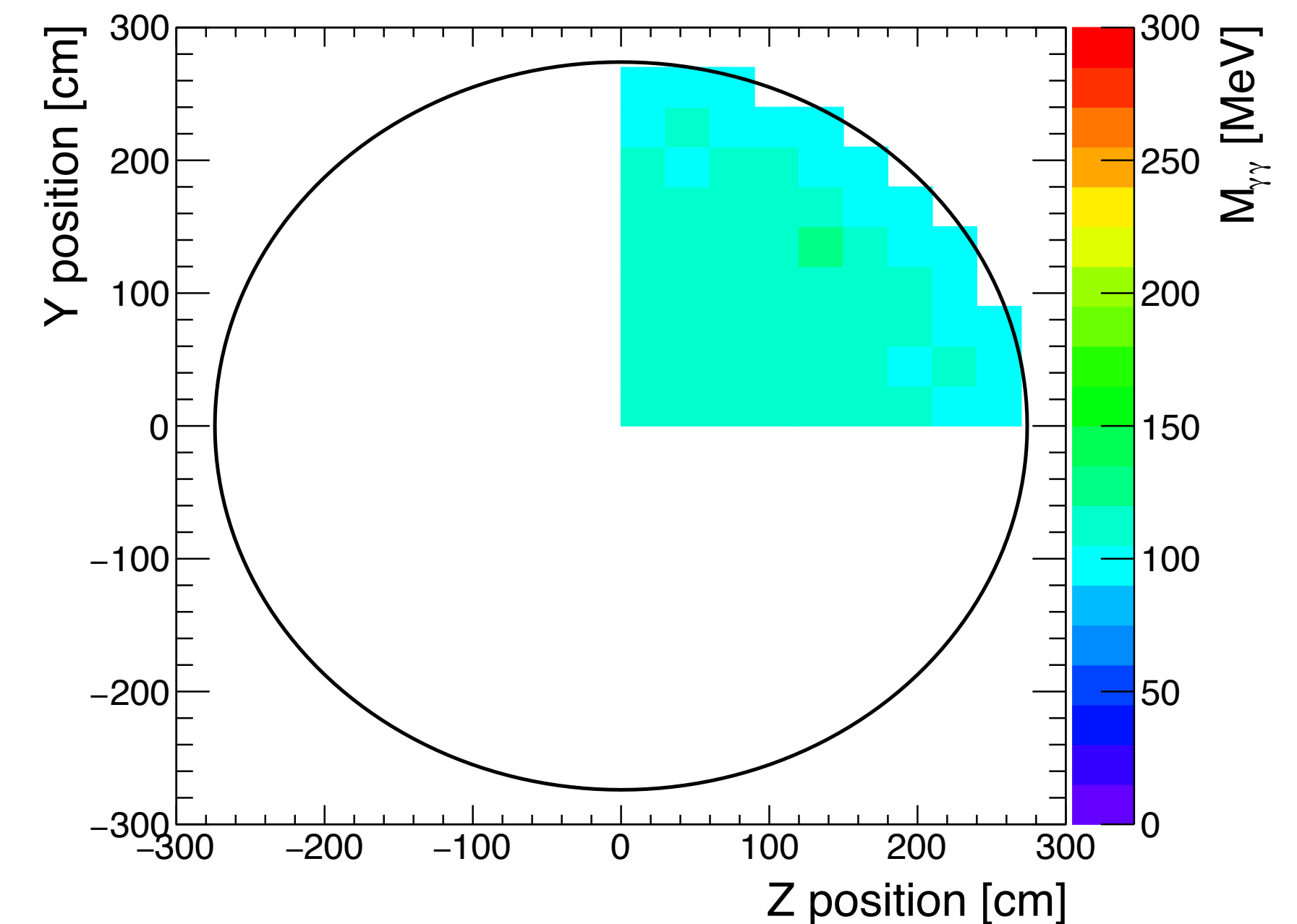
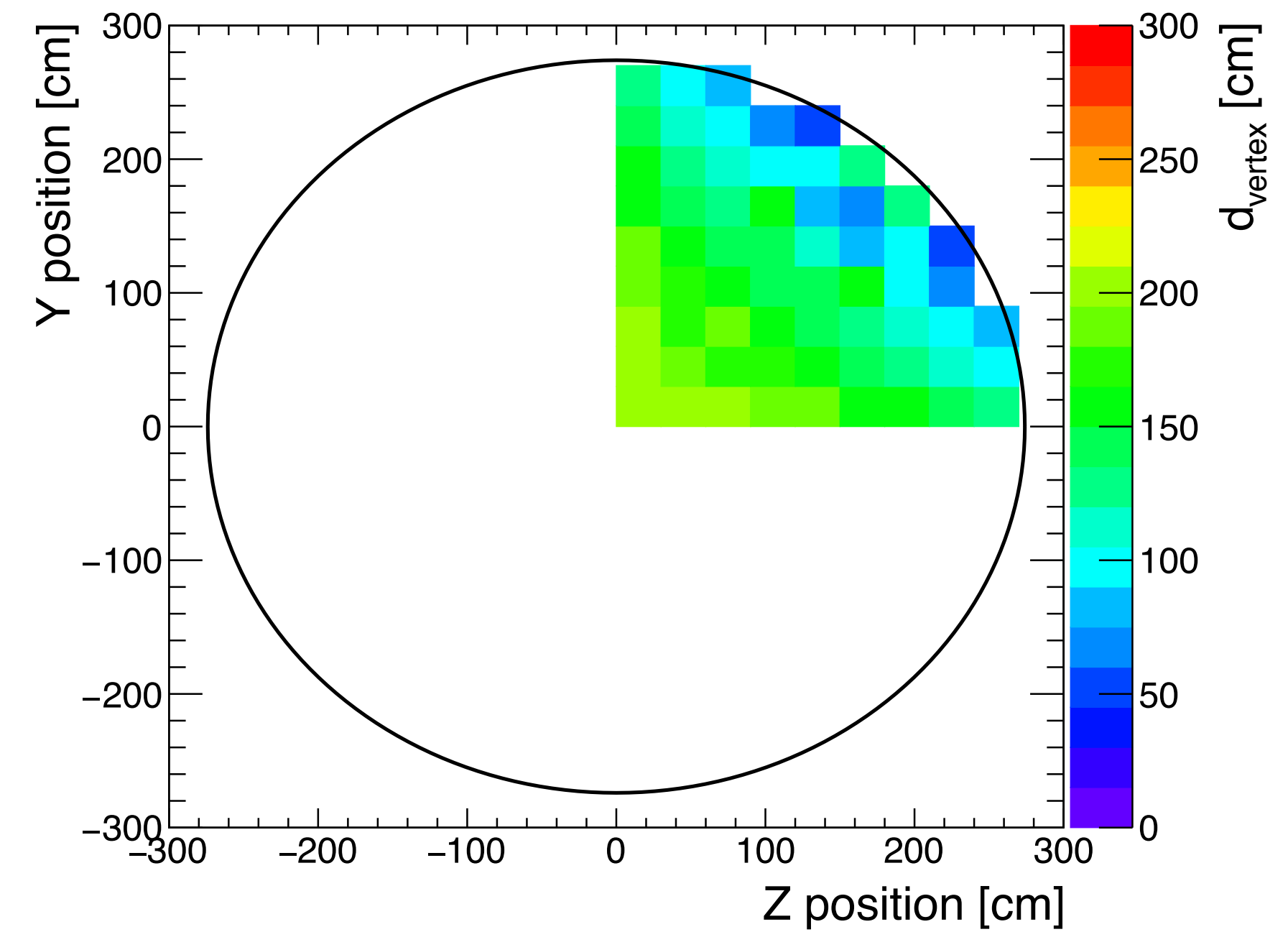
- Shooting π^0 s in the z direction between 0 and the TPC radius at intervals of 15 cm (x position fixed)
- **Simple Method** (no MC info used):
 - Take the *two most energetic clusters* (some case have more than two clusters)
 - Take the direction from the cluster main axis and calculate the PCA between the two cluster axis
 - Calculate the angle between the two cluster axis and reconstruct the π^0 mass
 - Calculate the 3D distance between the true vertex and the geometrical determined one
 - χ^2 minimization can be done after using the energy and geometrical information combined (not yet included)
- Work in progress



Neutral pion reconstruction.

Work in progress

- Case shown: 400 MeV neutral pion
- Look at the distance to the true vertex and reconstructed invariant mass as function of the vertex position
- π^0 s reconstructed between 100-150 MeV \implies clustering effect
- Distance to the truth vertex around 150 - 200 cm \implies mostly the z-coordinate is badly reconstructed
- Factor $\sim x5$ worse than more complete studies
 - Not yet using the χ^2 minimization \implies *important for the position resolution*
 - Clustering *not optimized*

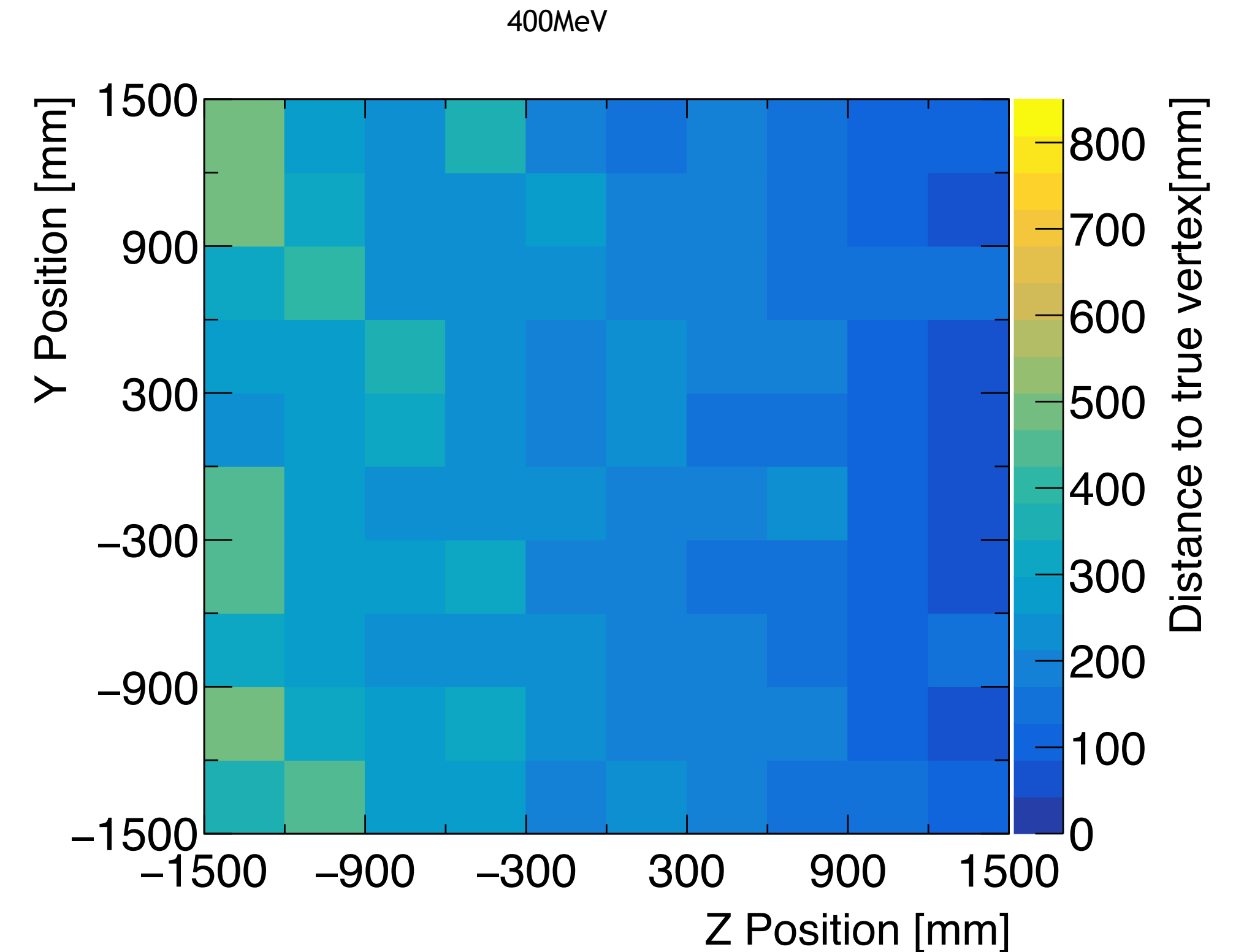


Neutral pion reconstruction.

Work in progress

- Photon energy resolution and angular resolution very similar to previous studies \Rightarrow similar results as previous study should be achievable
- Clustering degrades significantly the reconstructed π^0 mass \Rightarrow was avoided before by truth clustering
- Possible improvements:
 - Better clustering algorithm
 - χ^2 minimisation using the mass information in order to improve the vertex reconstruction (previous studies showed a distance around 20-30 cm depending on the distance from the ECAL)
 - The use of timing/additional tracks could help in constraining the angle between the clusters and the location of the vertex
 - Improve the method by using the most energetic photon direction and timing to give a better estimate of the vertex

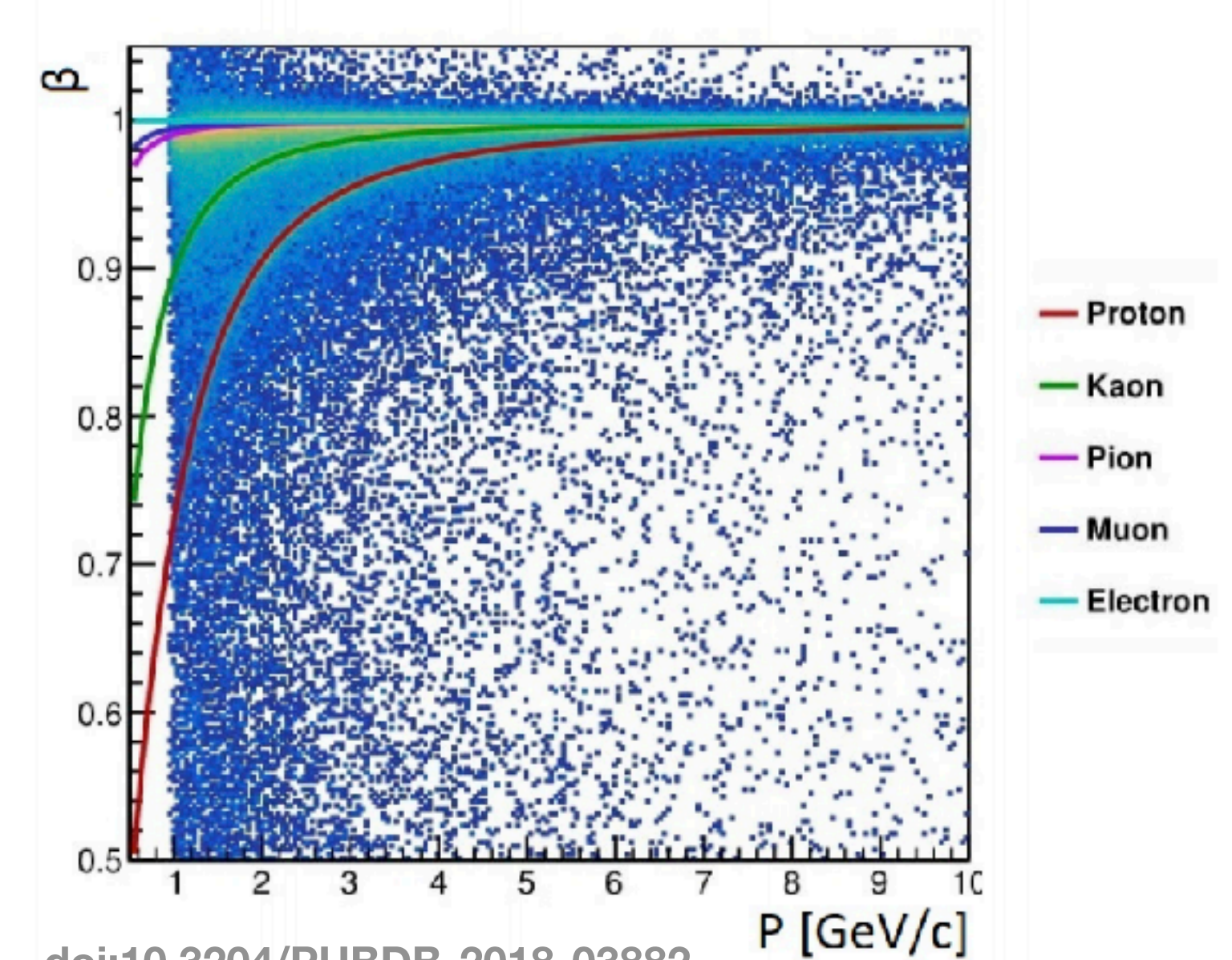
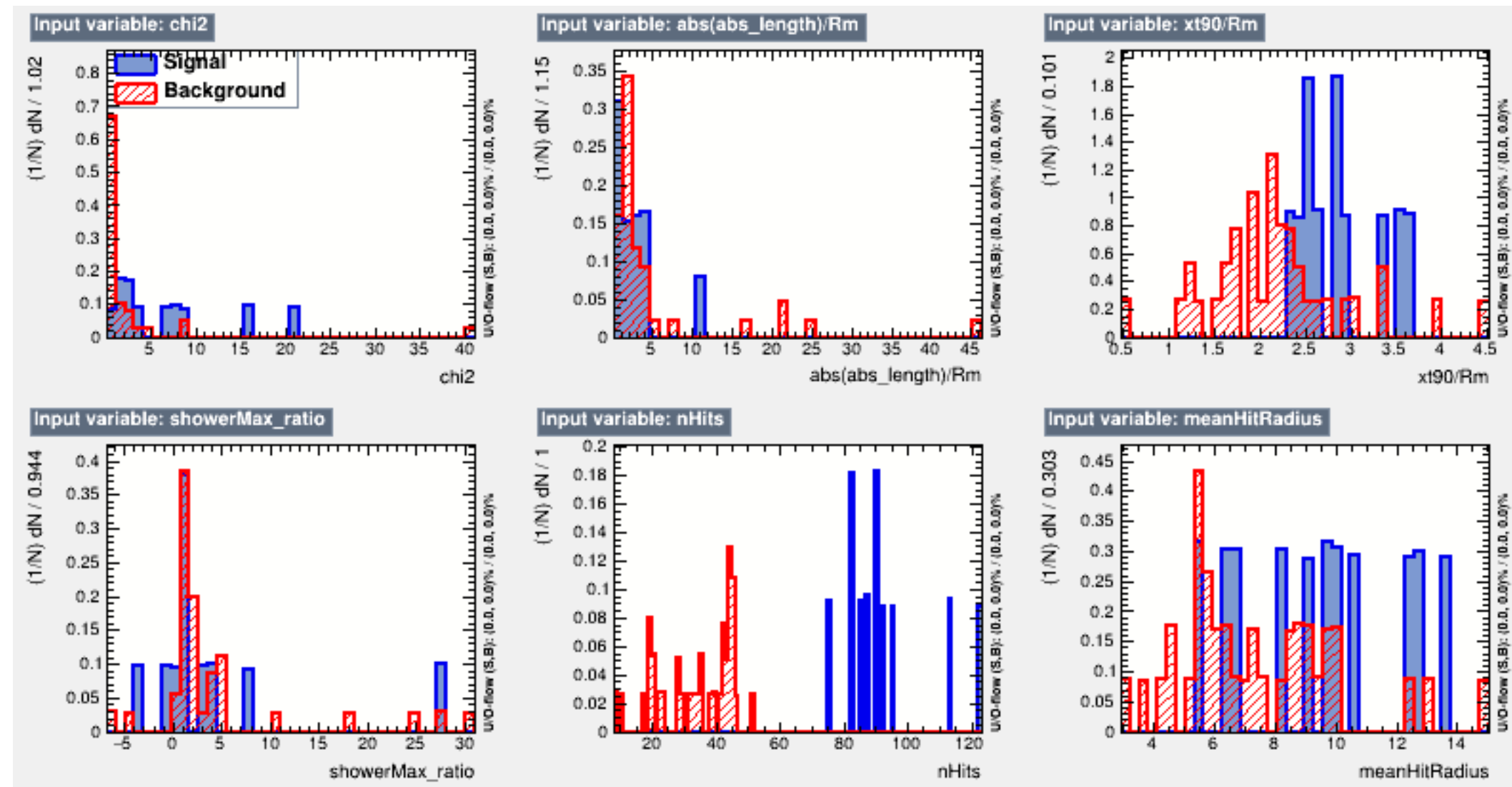
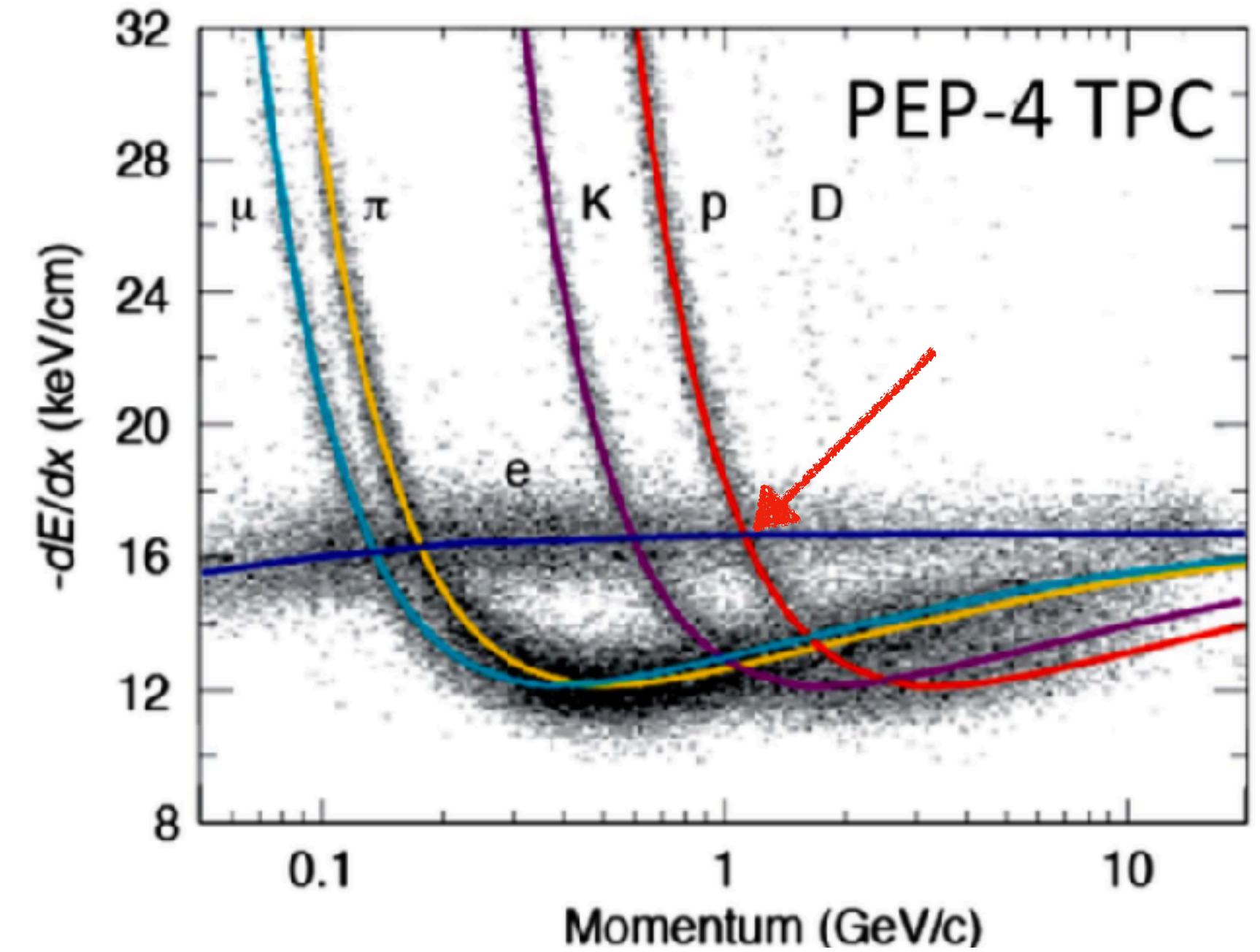
Previous study at MPP



Particle identification.

Work in progress

- ECAL needs to be complementary to the HPgTPC
- Example
 - Separation muon / pion
 - Separation proton / positron around 1 GeV
- Use of shower shape variables: shower start, number of hits, shower size ... combined with TMVA techniques (Likelihood, BDT...)
- Alternatively, time of flight technique could be used combined with dE/dx



Work in progress

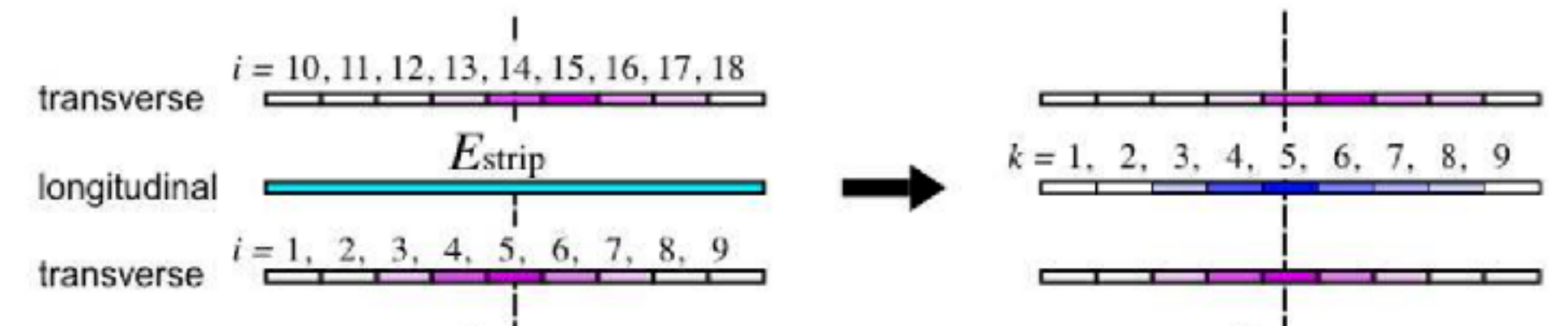
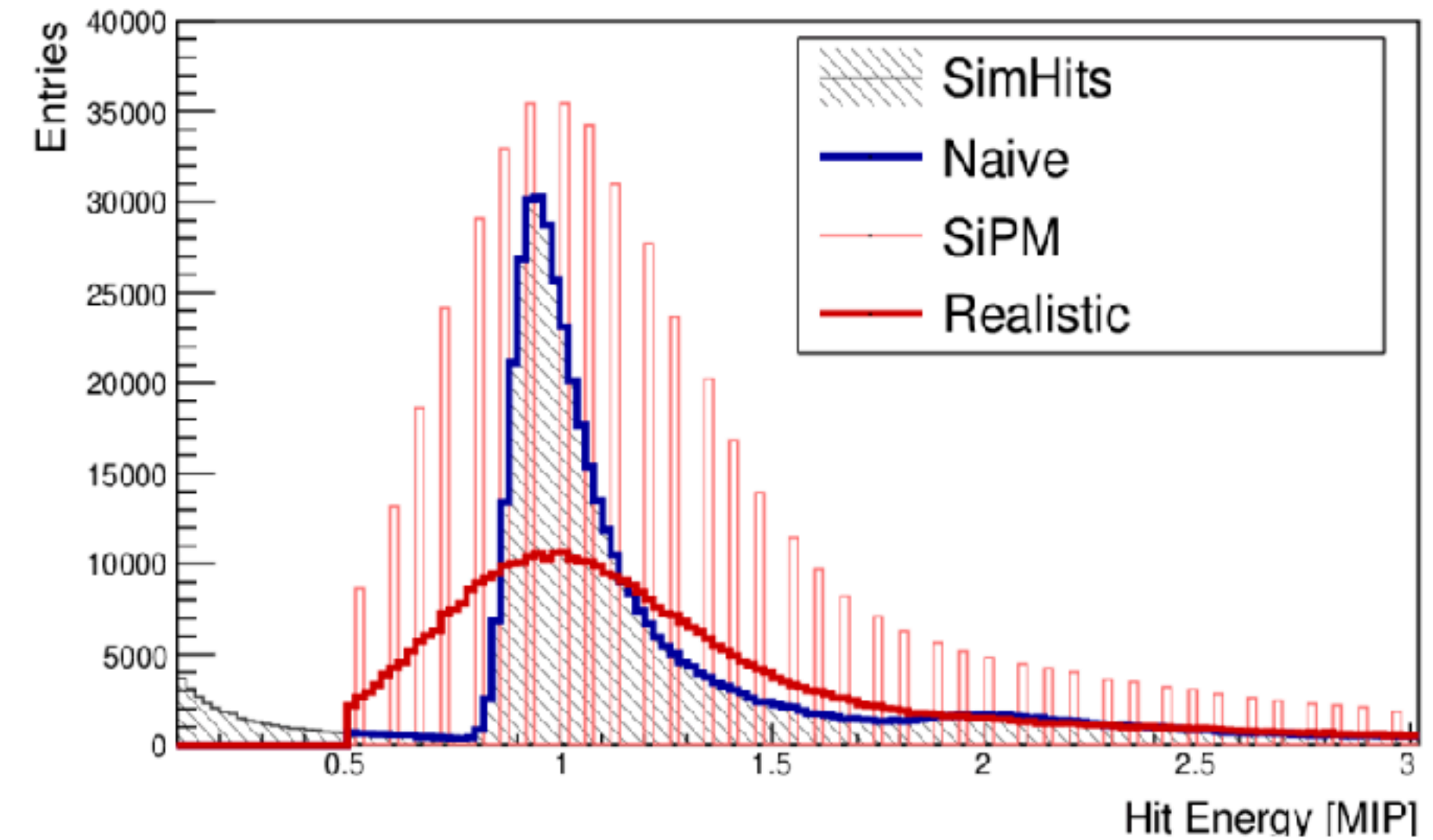
doi:10.3204/PUBDB-2018-03882



Software: ECAL Reconstruction.

Work in progress

- Developed in parallel to the HPgTPC reconstruction (see Tom's talk) and integrated into GArSoft
- SiPM digitisation \Rightarrow Saturation + SiPM binomial smearing as used in CALICE
- Hit reconstruction
 - Tiles straight forward (threshold check - still need proper electronic response)
 - Cross-strips
 - \Rightarrow strip-splitting algorithm (1405.4456v2) in development
 - \Rightarrow use timing to reconstruct hit along the strip (250 ps \sim 7 cm)
- Clustering algorithm
 - Simple NN algorithm
- Integration issues
 - Unified software framework for the ND complex \Rightarrow task force starting



Software: To do list.

Open-ended list

- ECAL specific
 - More realistic electronic response
 - Improvement of clustering and possible Pandora integration
 - SSA to be finalised
 - Association TPC tracks to ECAL clusters
 - Implement particle identification / π^0 reconstruction / neutron reconstruction techniques
 - Full energy reconstruction (only visible energy so far)
- General
 - Full event reconstruction
- **Ideas and help are welcomed!**



Conclusions and Outlook.

Some work and more to come...

- An ECAL design is on its way
- Detailed optimisation studies are starting
 - **EM energy resolution and angular resolution** investigated for several models to understand the impact of each parameters
 - **Best achieved:** $\sim 5\%/\sqrt{E} + 1\% - \sim 3.5^\circ/\sqrt{E} + 2^\circ$
 - The ECAL may **have potential in neutron energy reconstruction** with ToF
 - Energy resolution below 20% for a large range of neutron KE - however bias in the reconstruction
 - Improvements possible
 - **Neutral pion reconstruction/Particle identification** is work in progress, still place for improvements
- Software:
 - Much progress been done in the last few months
 - Integration into a common ND framework started
 - Long to do list
 - Need to identify few key benchmark analyses

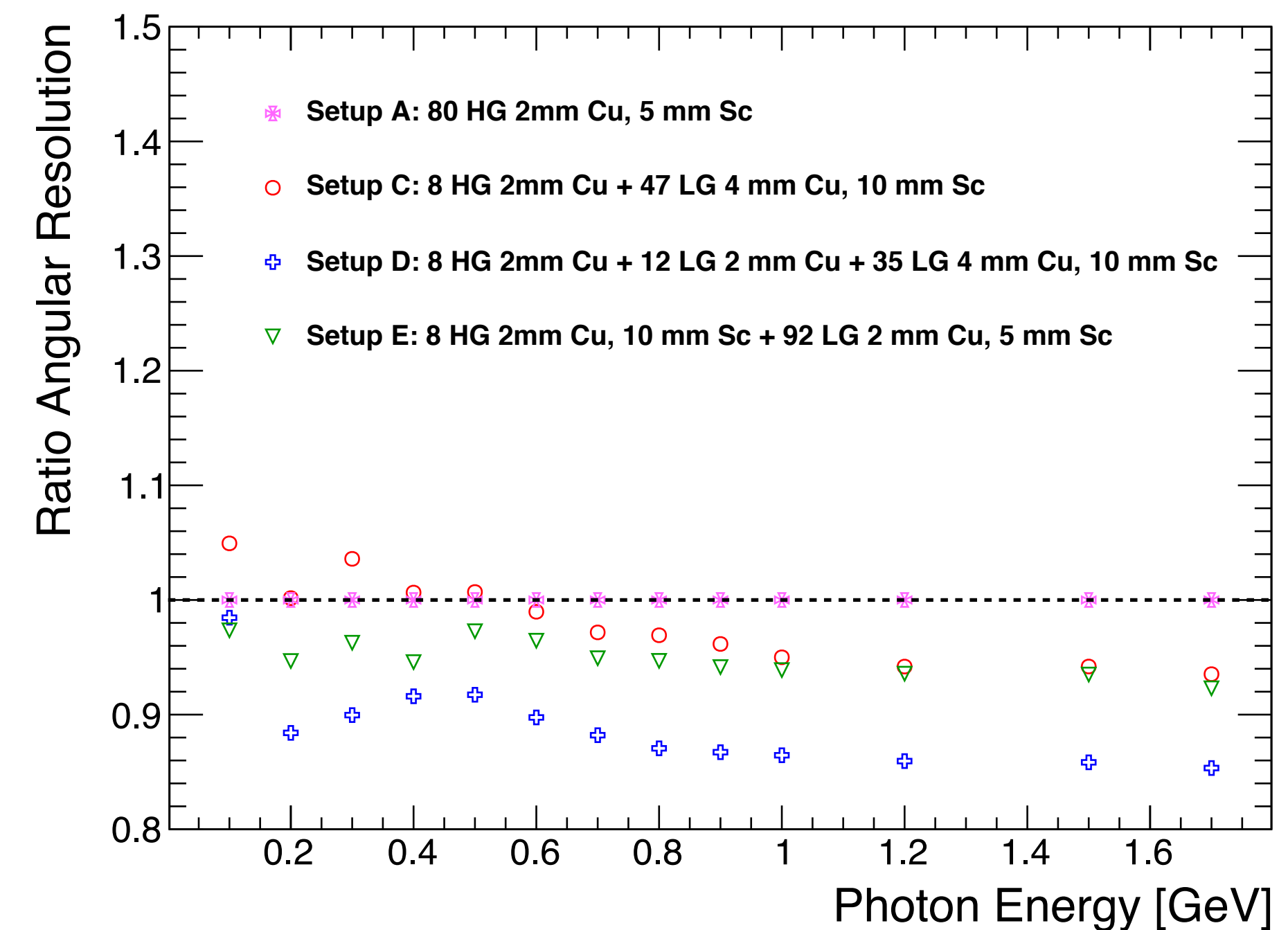
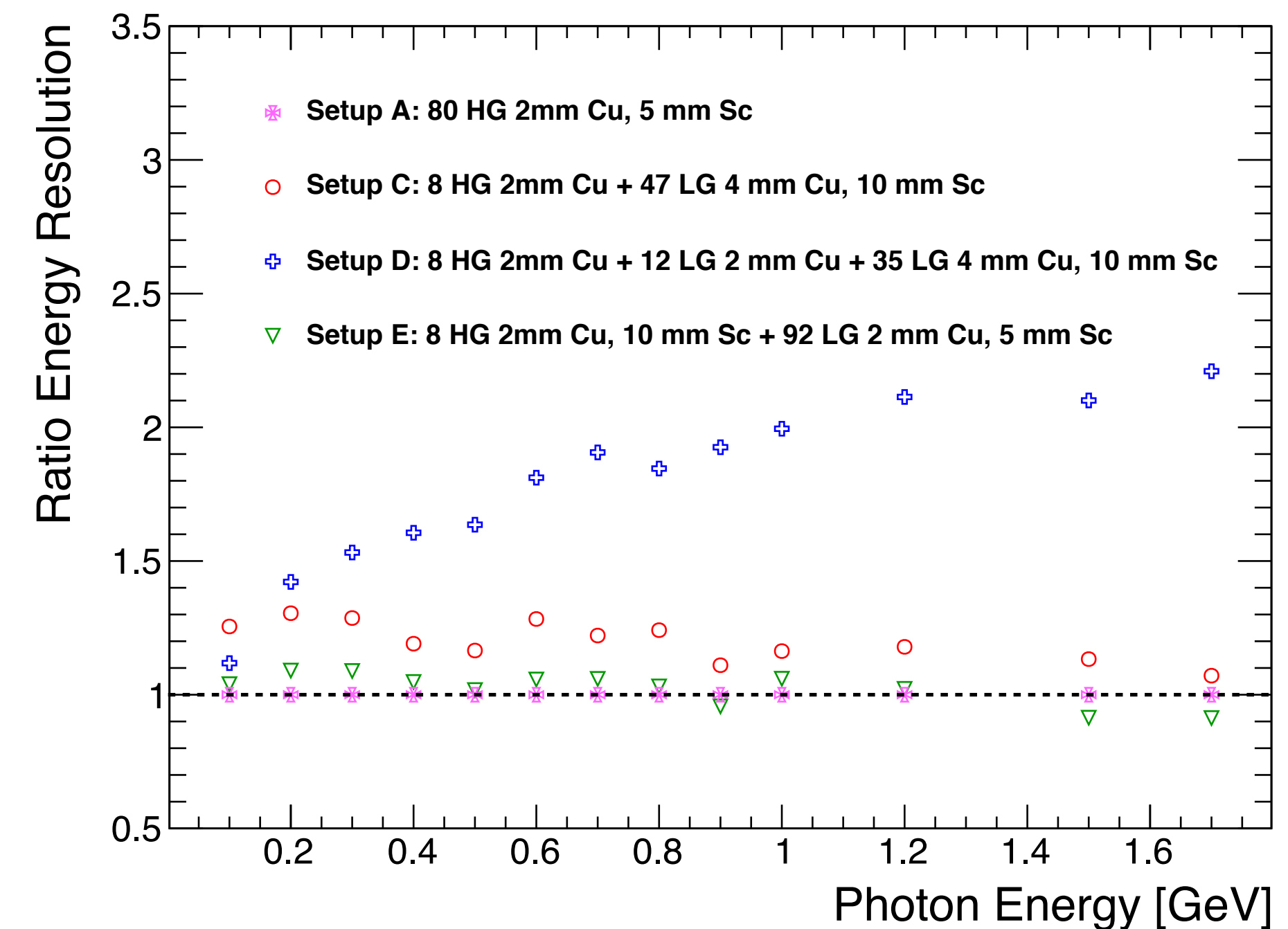
Backup Slides.



Simulation studies.

Influence of the absorber thickness

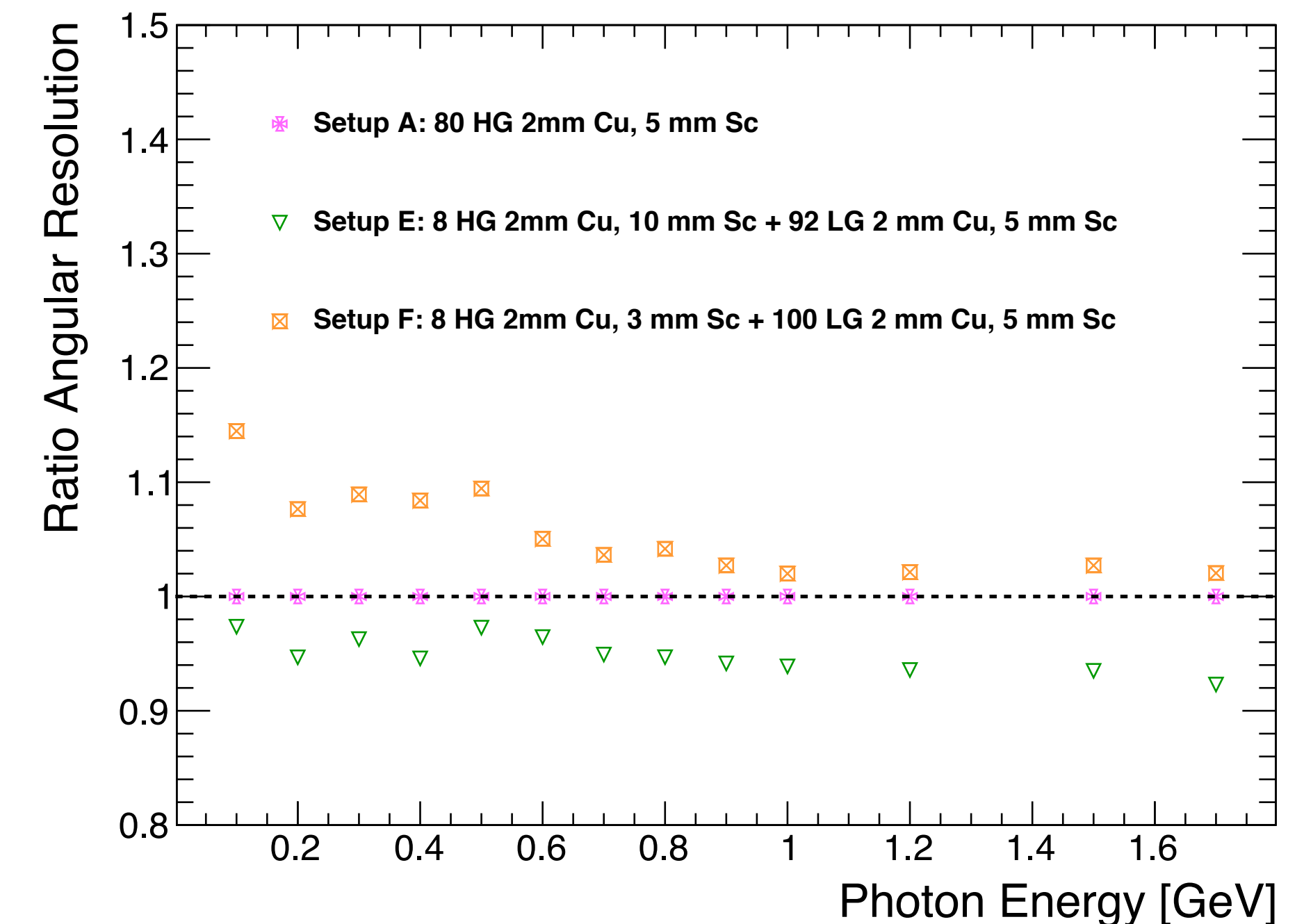
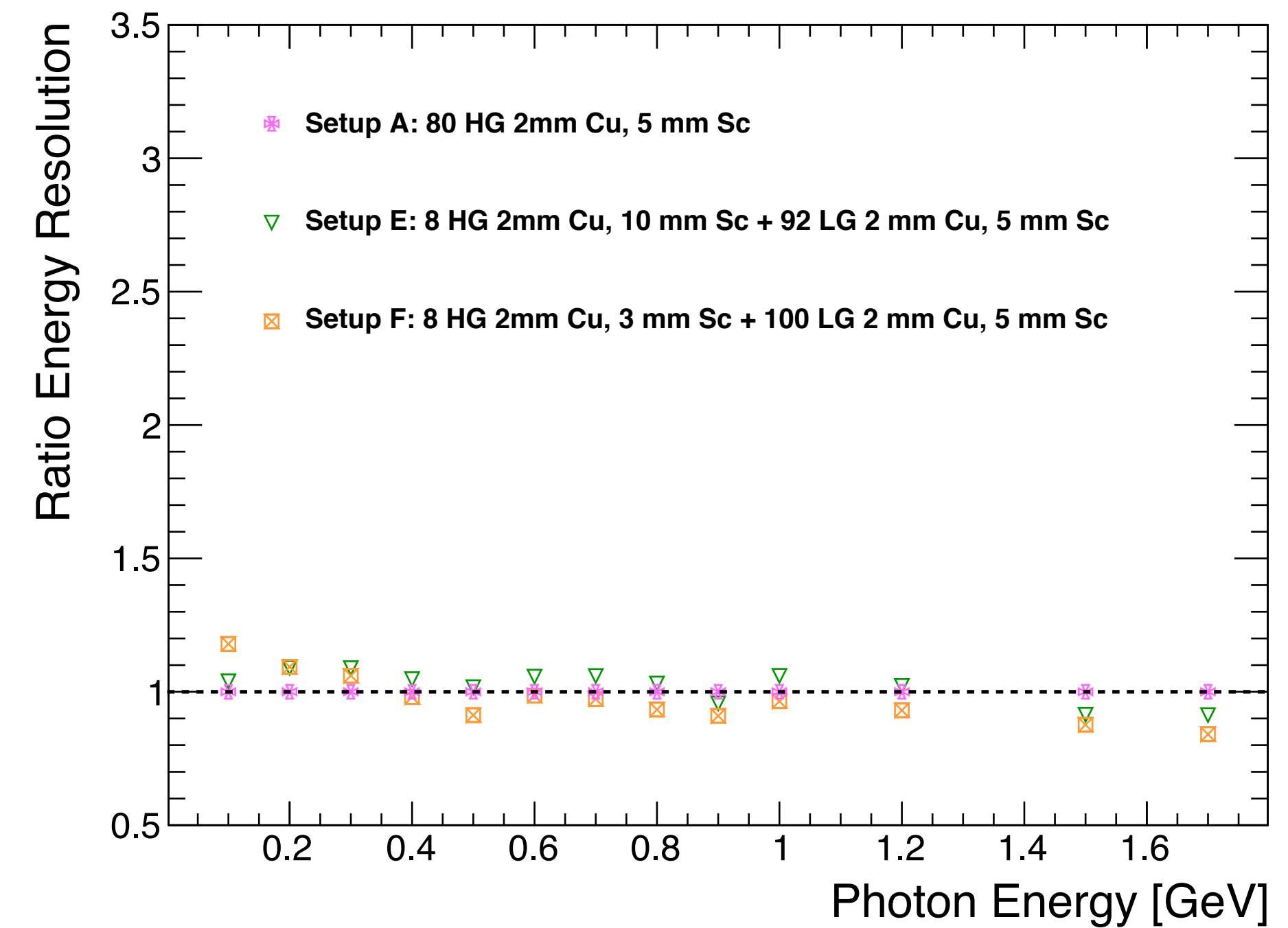
- Change of the **absorber thickness**
 - 2 mm Cu for HG layers
 - 2/4 mm Cu for LG layers
- Energy resolution mostly affected by
 - change in ratio scintillator thickness / absorber thickness
 - **sampling fraction**
 - **Leakage**
- Angular resolution is slightly affected depending on the configuration
 - Mainly dominated by front layers
 - → thinner absorber in the front layers → shower evolves deeper in the calorimeter, gives better lever arm on the direction



Simulation studies.

Influence of the scintillator thickness

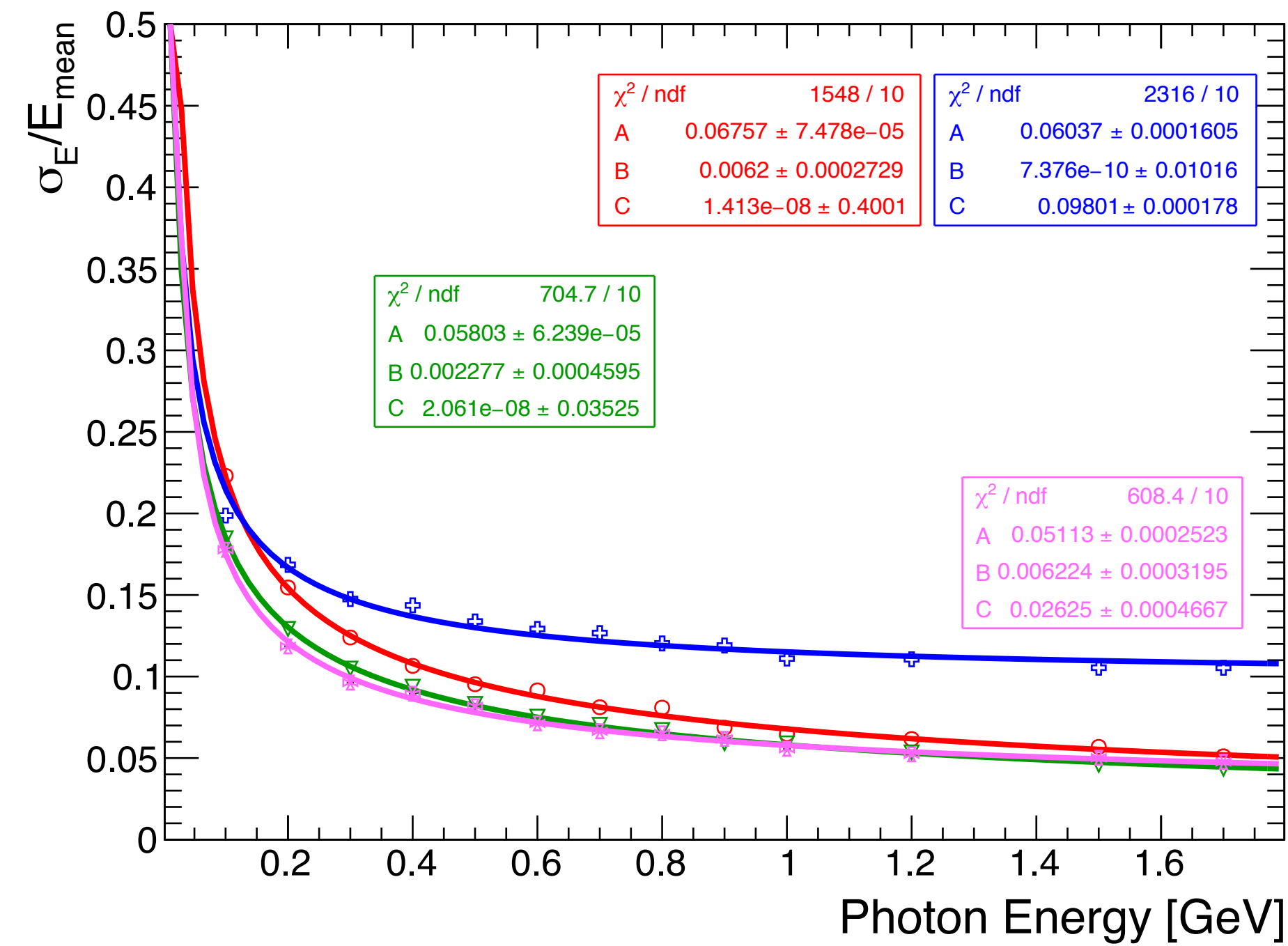
- Change in **scintillator thickness** for the front layers
 - 3, 5 and 10 mm
- Overall, not much change except at low energies
- Change most significant for 3 mm tiles especially at low energies \Rightarrow effect of the threshold
- Better *angular resolution for thicker tiles*
 - \rightarrow Mostly due to the PCA that favours large energy deposits



Simulation studies.

Influence of the absorber thickness

- Change of the absorber thickness
 - 2 mm Cu for HG layers
 - 2/4 mm Cu for LG layers

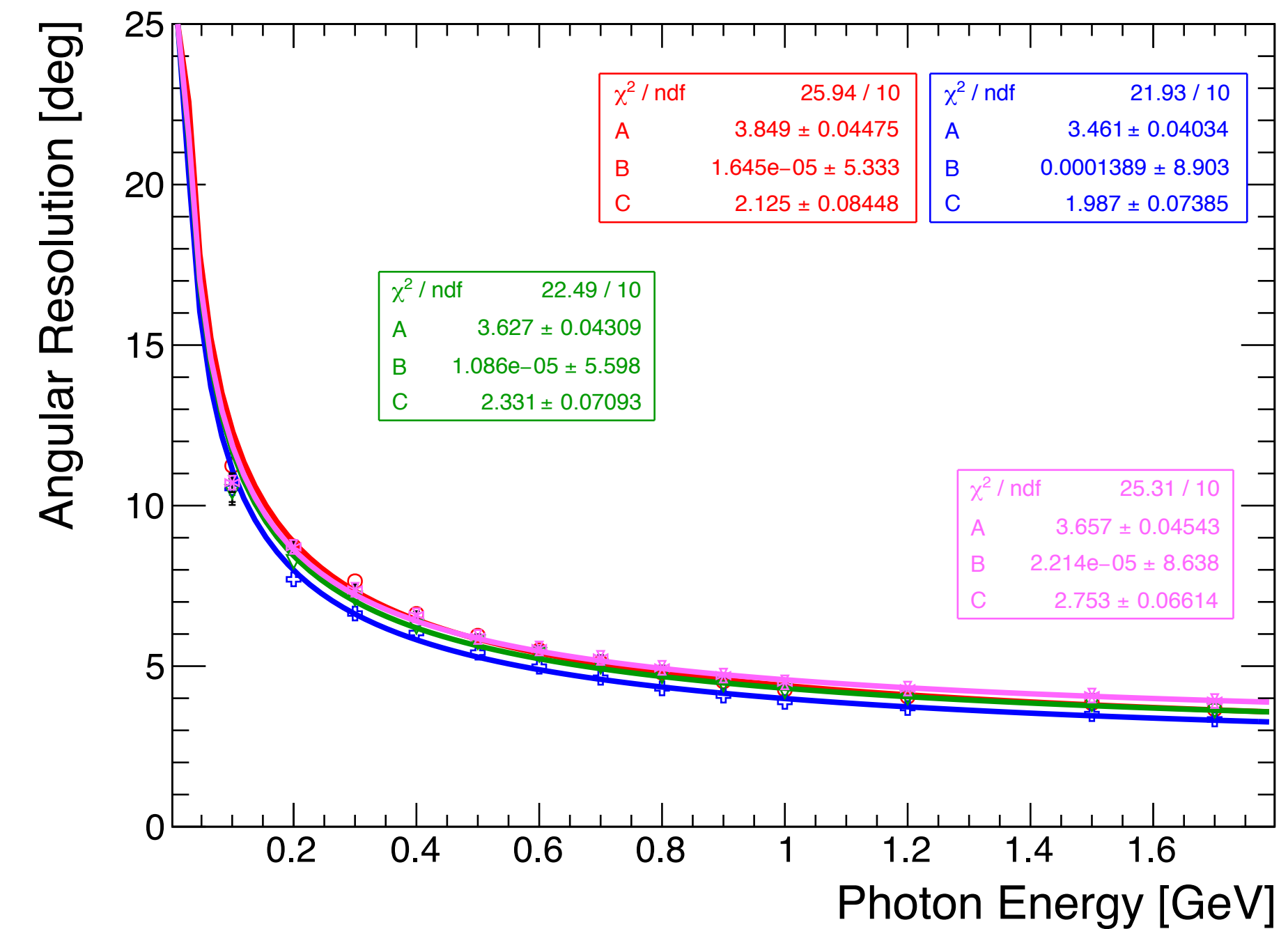


Setup A (2 mm Cu)

Setup C (2 + 4 mm Cu)

Setup D (2 + 4 mm Cu)

Setup E (2 mm Cu)



Setup A (2 mm Cu)

Setup C (2 + 4 mm Cu)

Setup D (2 + 4 mm Cu)

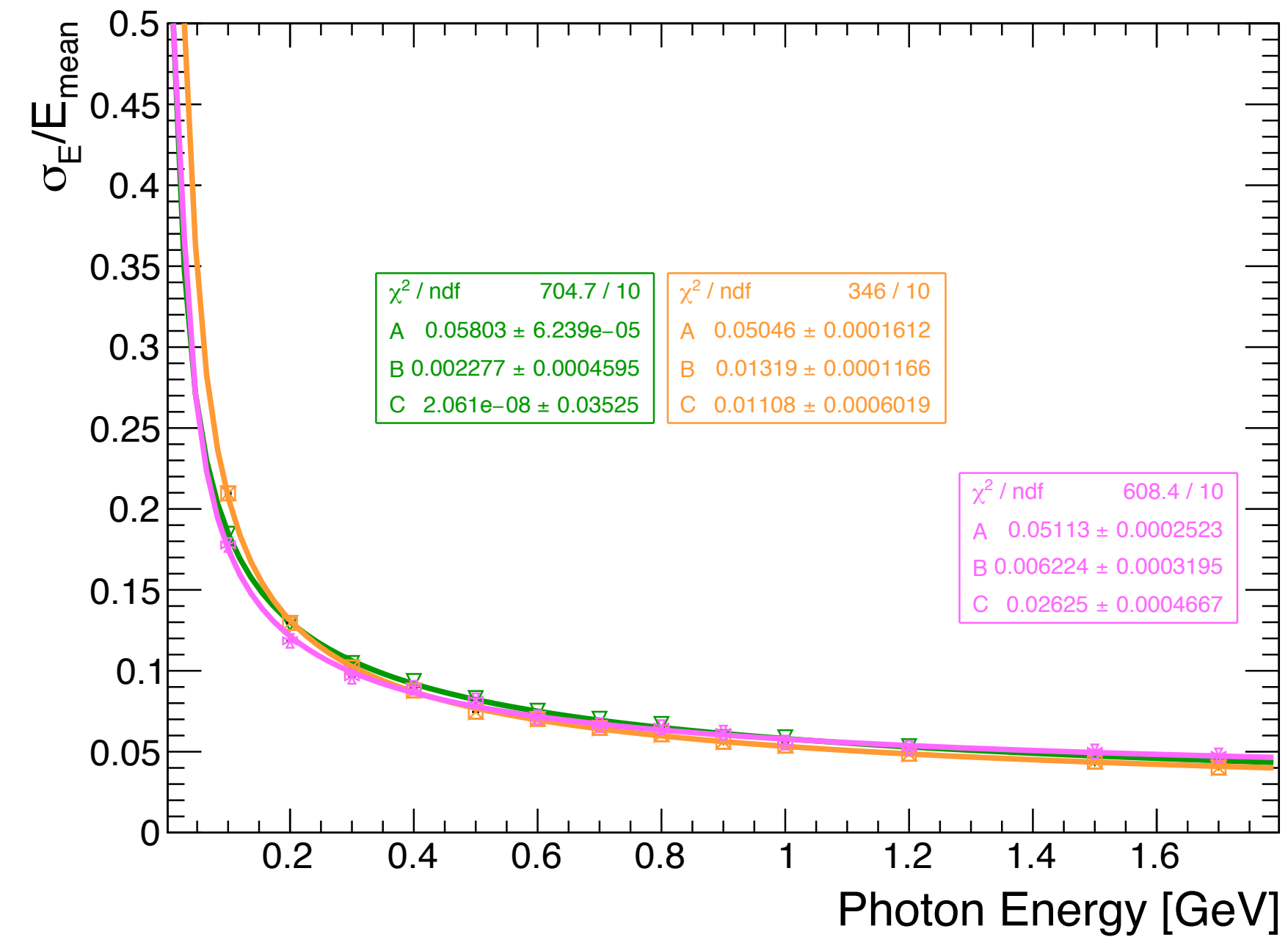
Setup E (2 mm Cu)



Simulation studies.

Influence of the scintillator thickness

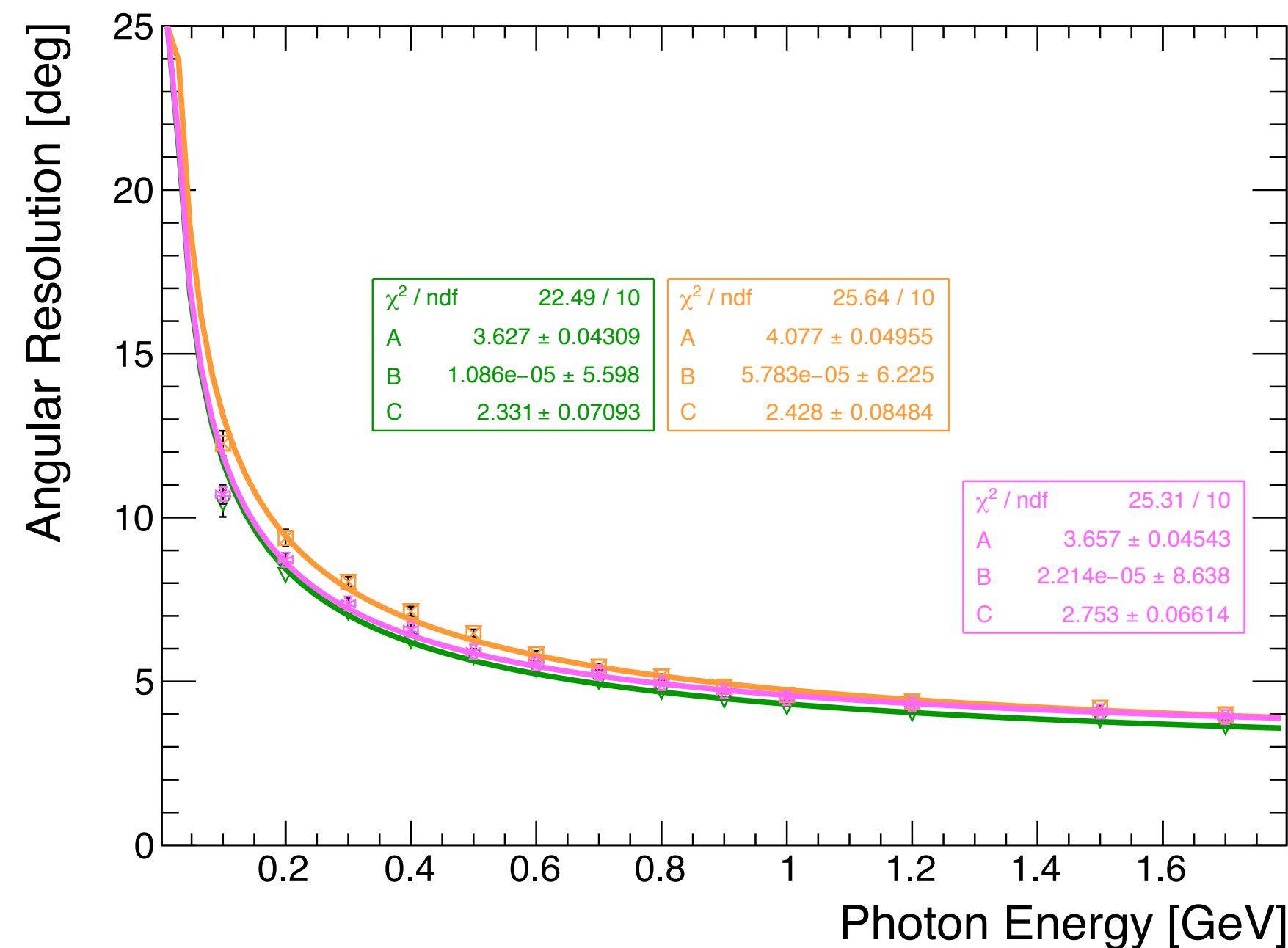
- Change in scintillator thickness for the front layers
 - 3, 5 and 10 mm
- Overall, not much change except at low energies



Setup A (5 mm Sc)

Setup E (10 mm Sc)

Setup F (3 mm Sc)



Setup A (5 mm Sc)

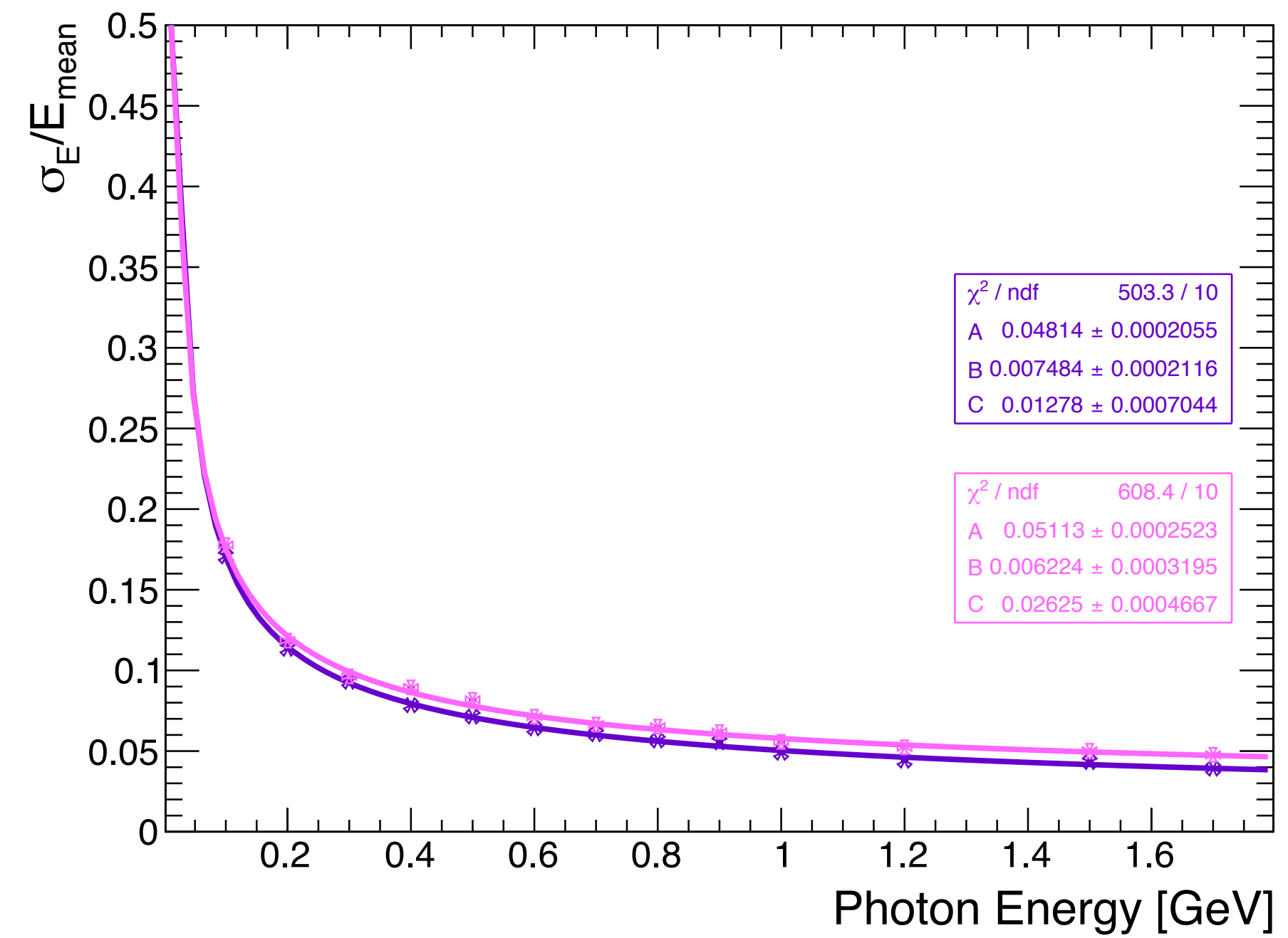
Setup E (10 mm Sc)

Setup F (3 mm Sc)

Simulation studies.

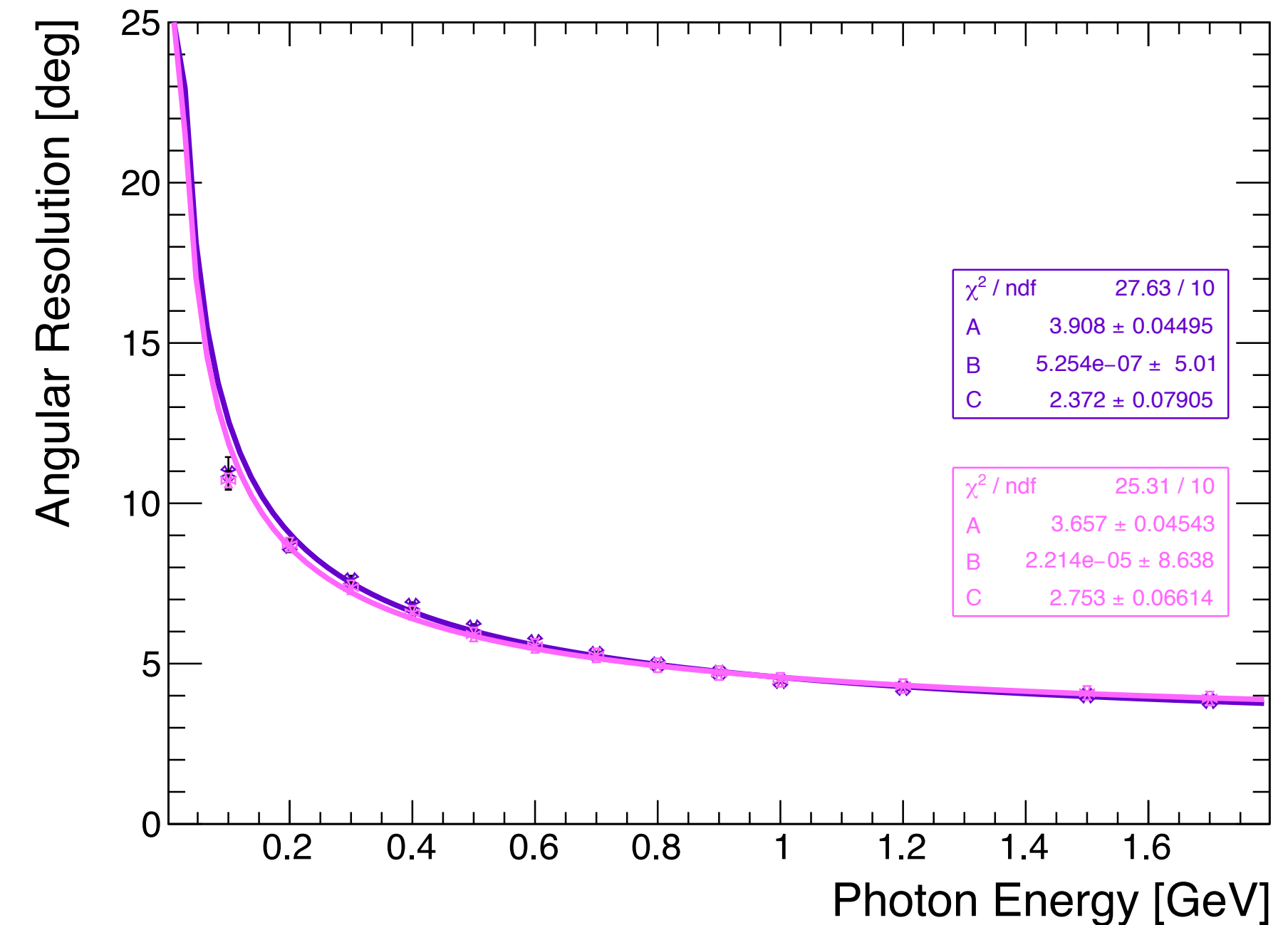
Influence of the granularity

- Change of the granularity of the back layers
- Using strips with WLS crossed perpendicularly between layers



Setup B (8 HG + 97 LG)

Setup A (full granularity)



Setup B (8 HG + 97 LG)

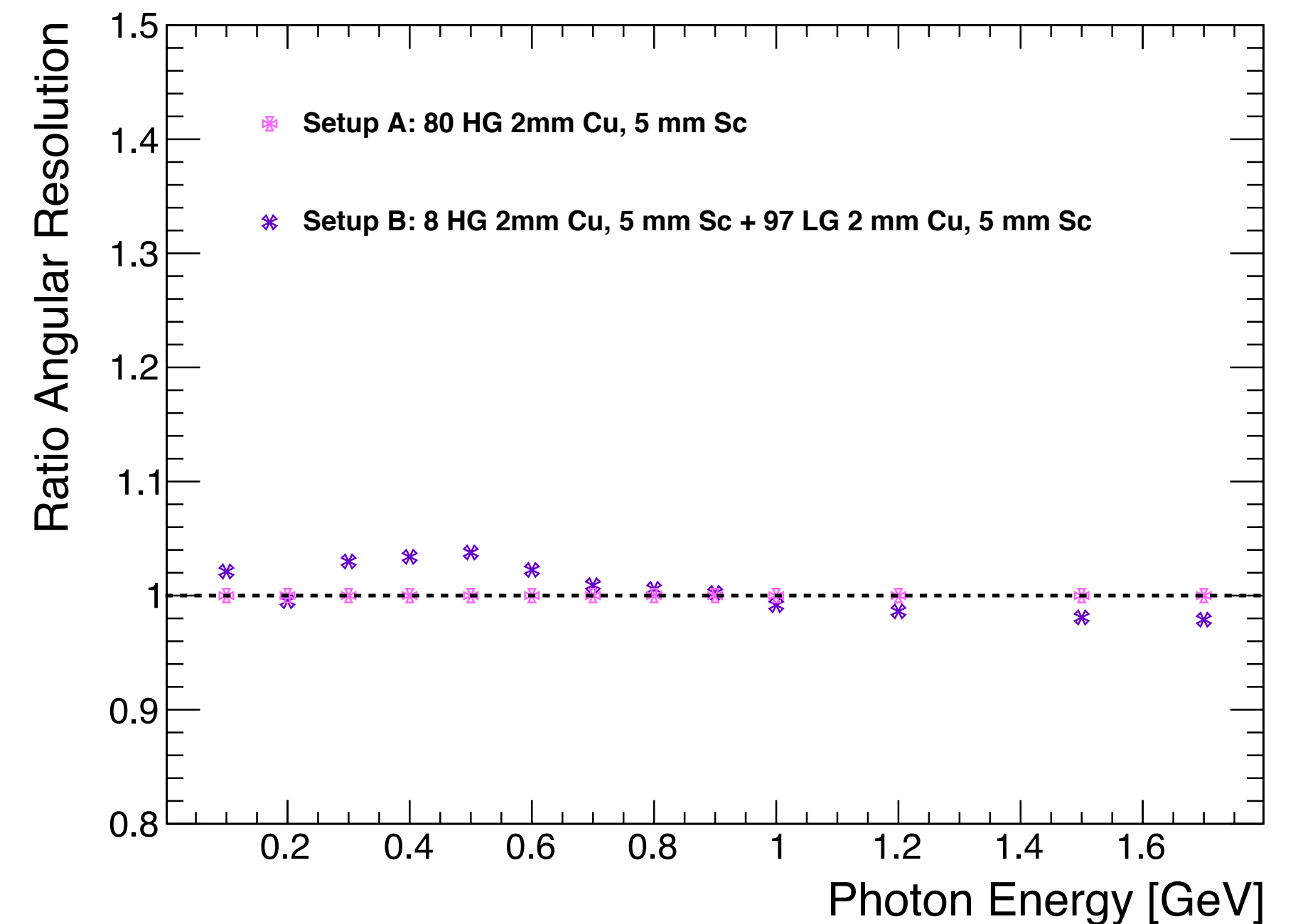
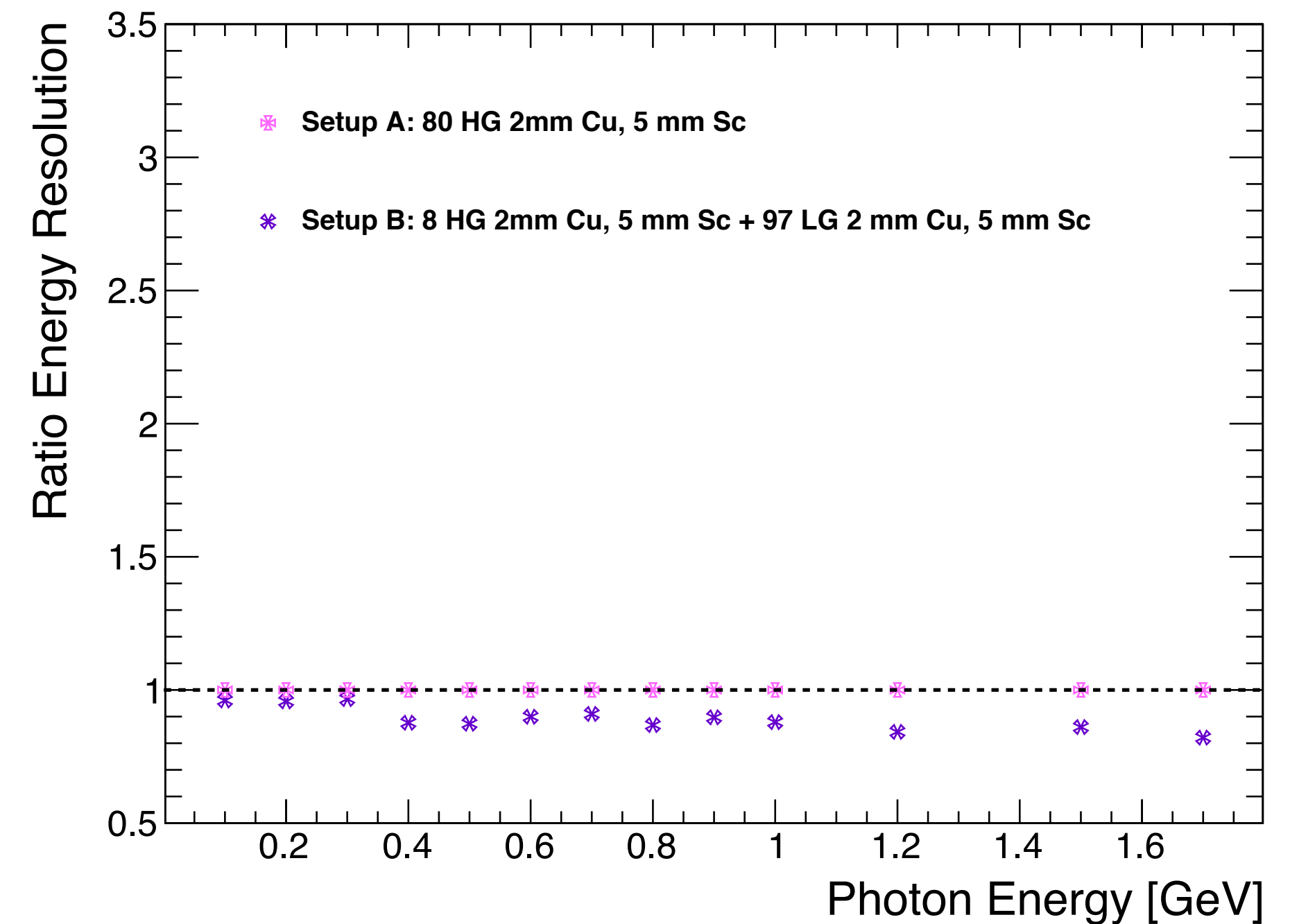
Setup A (full granularity)



Simulation studies.

Influence of the granularity

- Change of the granularity of the back layers
- Using strips with WLS crossed perpendicularly between layers
- Slight improvement of the energy resolution $\sim 5\text{-}10\%$
→ more layers → less leakage
- Angular resolution not much affected ($\sim 2\%$) by using strips instead of tiles → viable option to reduce channel count!



Motivation.

Pushing the limits

- **Neutron production** for anti/neutrinos on Ar target is highly uncertain
 - Neutron energy is a source of **neutrino energy mis-reconstruction**
- Neutron energy measurement:
 - **Time of flight** (ToF) by measuring the time between the production vertex and the located hit
 - Technique demonstrated in simulation with the 3DST (full scintillator-based detector)
 - Technique can be used with the ECAL
 - Need for **precise time measurement** (sub-ns)
 - **Advantage** → long lever arm with the ECAL (~3 m from TPC center)
 - **Challenge** → need to identify hits that belong to a neutron!

Parameters for this preliminary study.

Setup

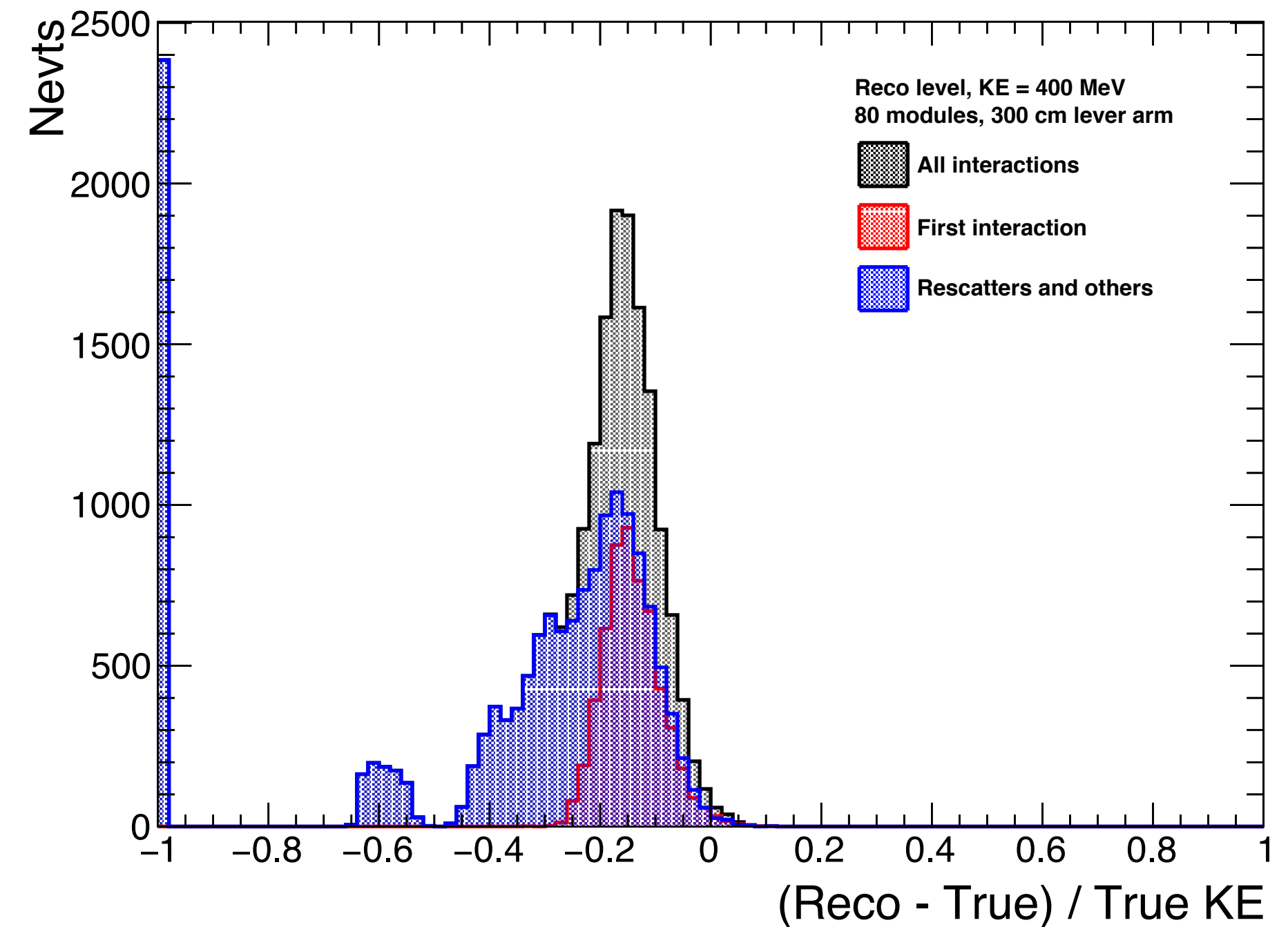
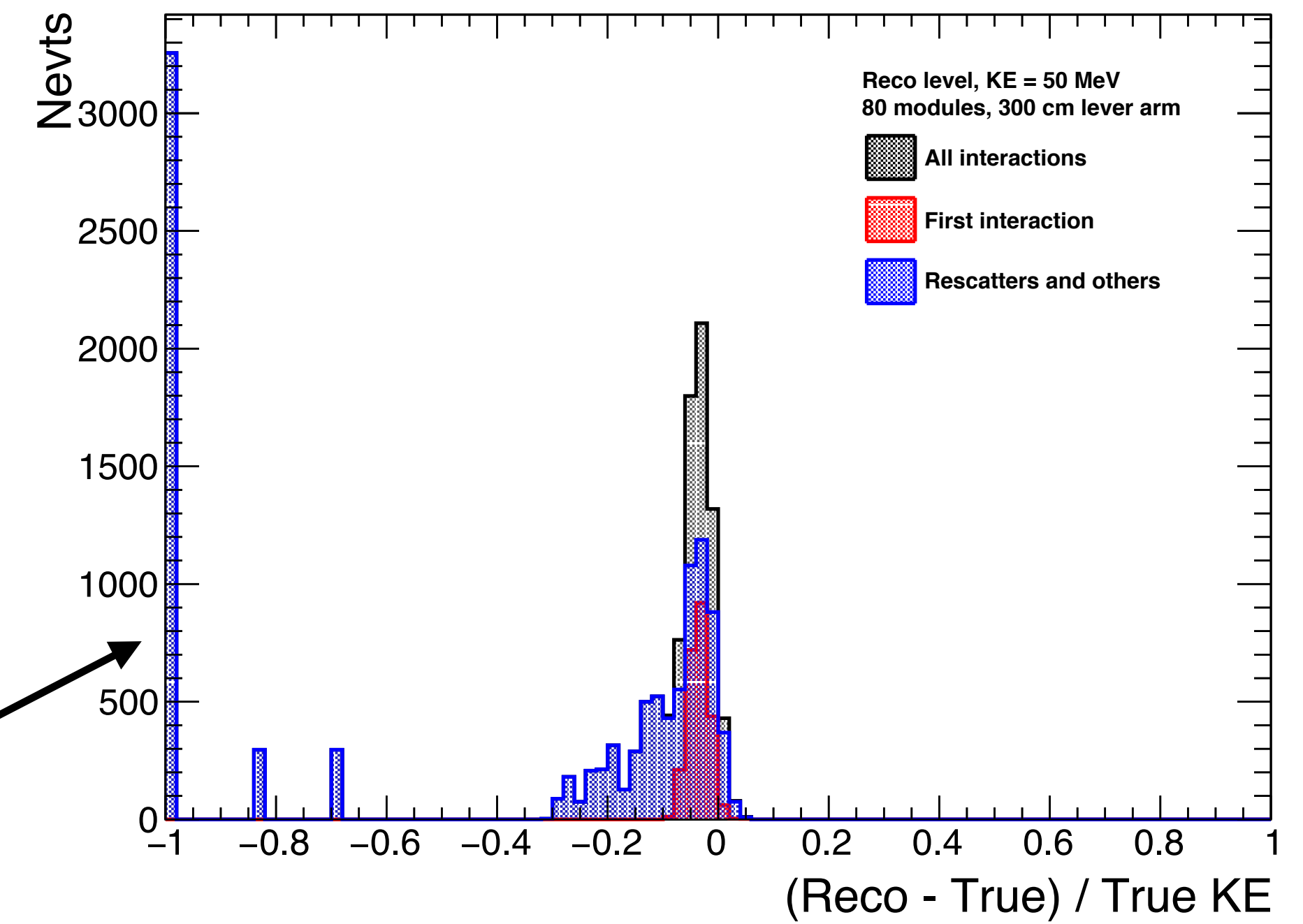
- Single neutron gun placed at ~3m or ~1m from the ECAL front face
- Comparison of 3 *ECAL models (third one in backup)*
 - Outside the pressure vessel (0.5 X_0 of Al)
 - 80 / 45 layers
 - 5 mm Sc (+ Boron loaded), 10 mm Sc
- Two levels
 - **Simulation level** \implies Geant4 step
 - **Reconstruction level** \implies reconstructed calorimeter hit
- Assumes **250 ps time resolution**
- Requirements:
 - First hit in time with **at least 3 MeV** of deposited energy
- Classified as **first interaction** / **scatter** based:
 - On the distance between the primary neutron endpoint and the reconstructed hit ($d < 6$ cm \sim 2-3 tiles)

Neutron energy reconstruction.

First Setup

First Setup

- 2 mm Cu + 5 mm Sc (80 layers)
- Overall efficiency of above **50-60%**
 - Mostly **dominated** by rescatters
- Energy reconstruction
 - @ 50 MeV: large fraction at -1 (very delayed events due to *nucleus de-excitations*)
 - @ 400 MeV: Rescatter get more pronounced
 - Rescatter more pronounced at higher KE energies

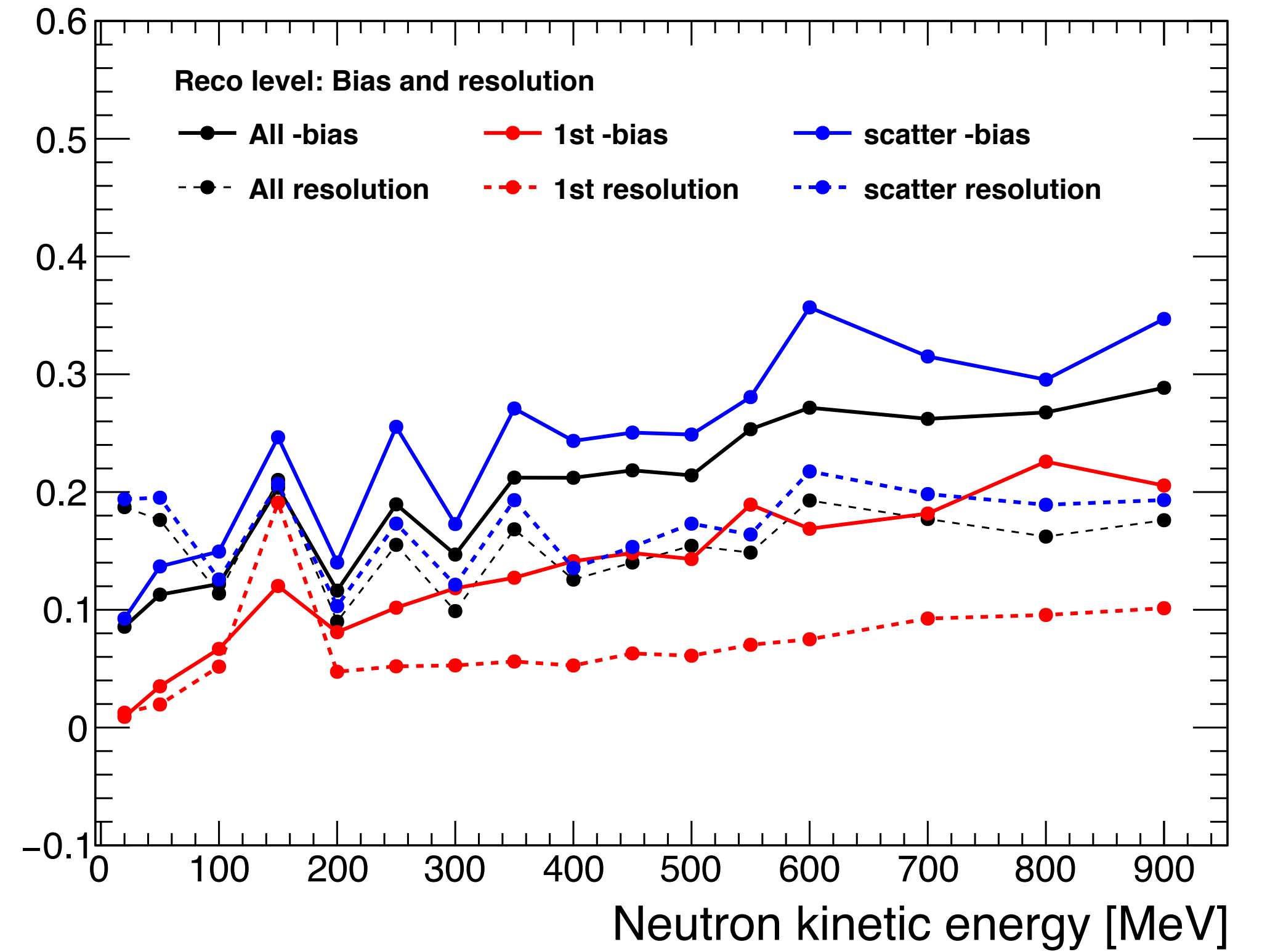


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 - @ 400 MeV: Rescatter get more pronounced
 - Rescatter more pronounced at higher KE energies
- **Overall picture**
 - Bias increases with KE - RMS slightly increases
 - Adding rescatters worsen the bias and resolution

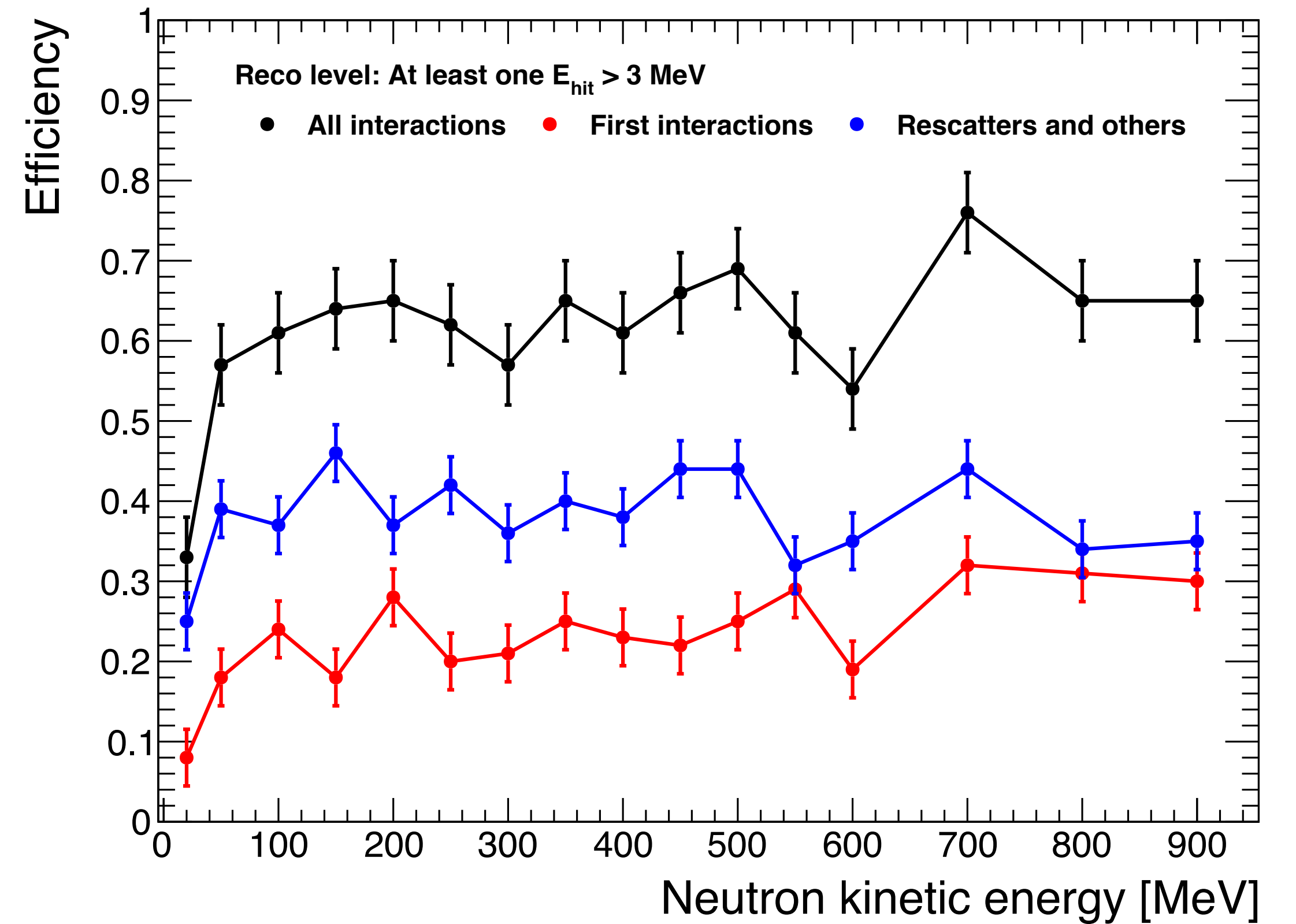


Neutron energy reconstruction.

Second Setup

Second Setup

- 2 mm Cu + 5 mm Sc with 5% natural B (1% B¹⁰) in weight (80 layers)
- Overall efficiency of above **50-60%**
 - Mostly **dominated** by rescatters
 - Slight **improvement below 200 MeV** → mostly due to gamma from neutron capture with the B¹⁰

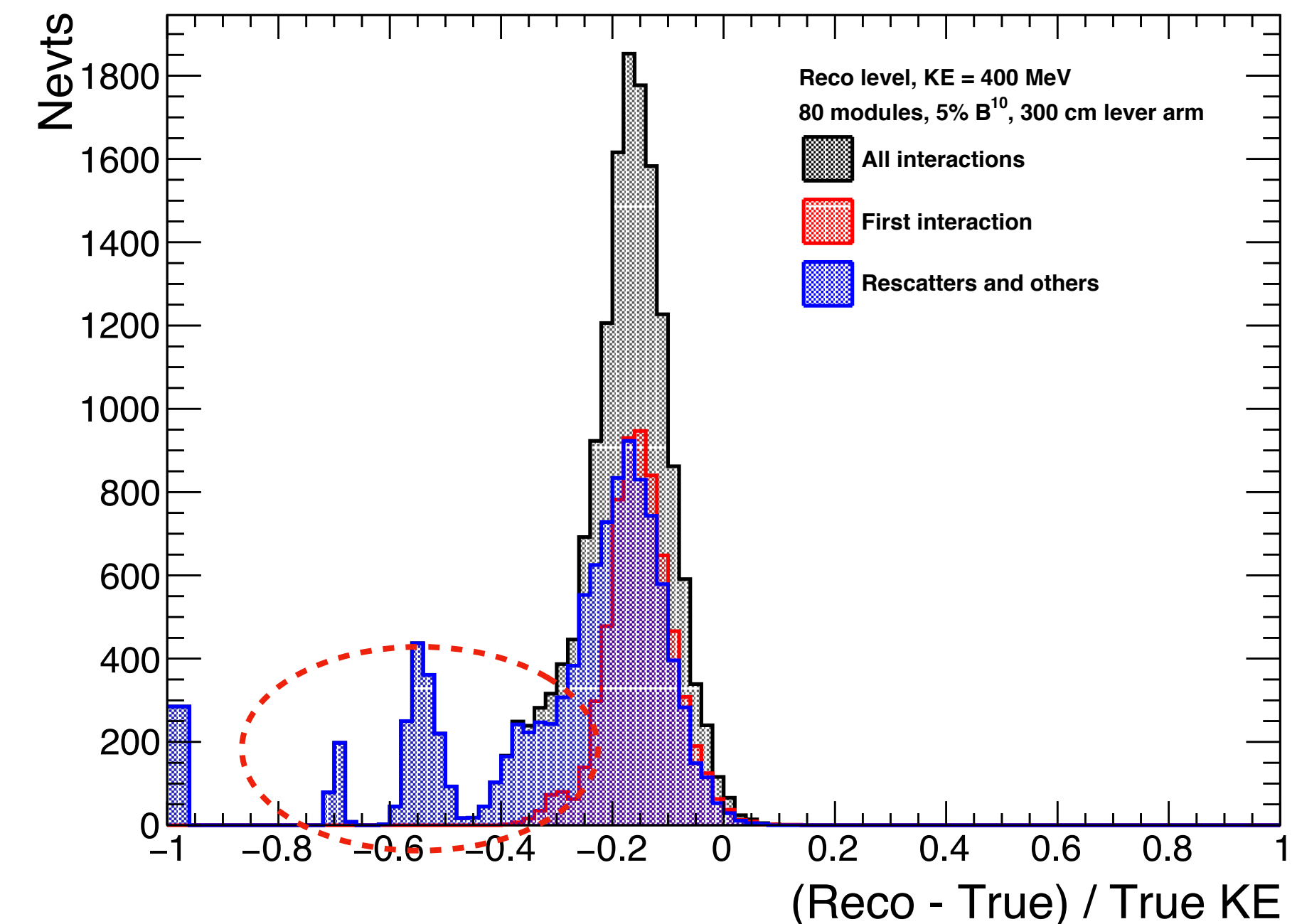
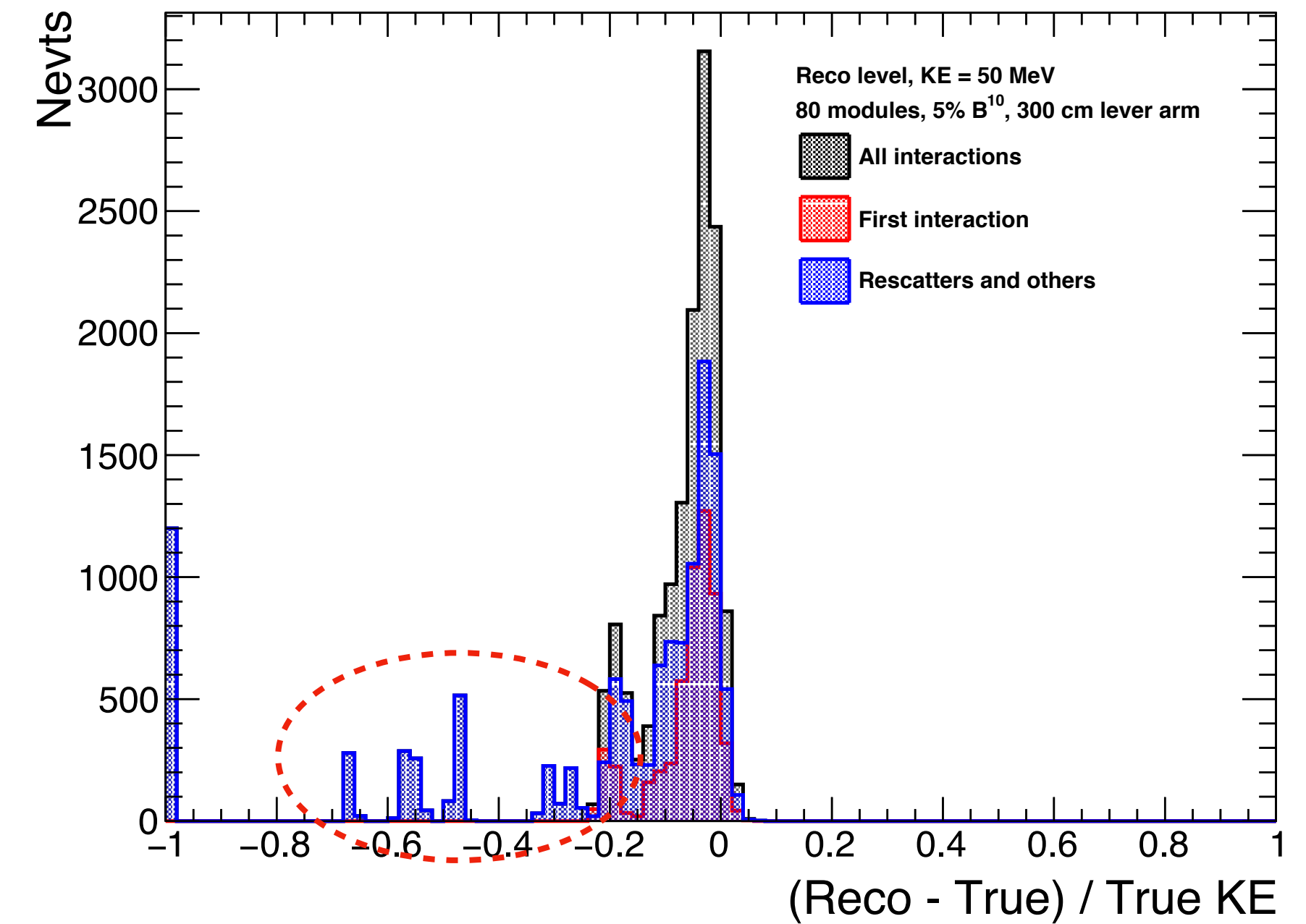


Neutron energy reconstruction.

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- Energy reconstruction
 - @ 50 MeV: smaller fraction at -1
 - @ 400 MeV: Rescatter get more pronounced
 - Visible peak around -0.5 \implies may be due to delayed neutron capture in B¹⁰

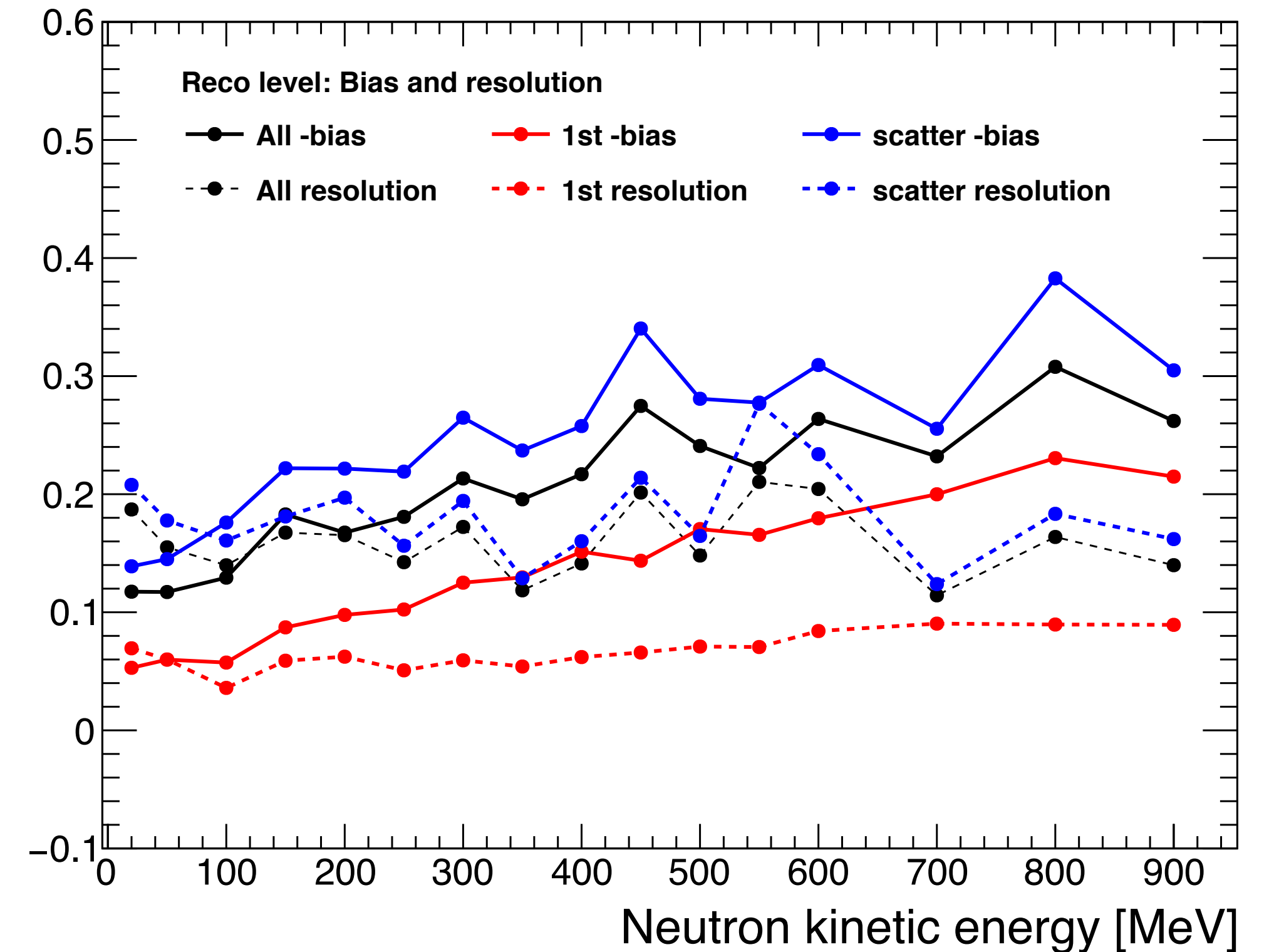


Neutron energy reconstruction.

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 - Slight **improvement below 200 MeV** → mostly due to gamma from neutron capture with the B¹⁰
- Energy reconstruction
 - @ 50 MeV: smaller fraction at -1
 - @ 400 MeV: Rescatter get more pronounced
 - Visible peak around -0.5 → may be due to delayed neutron capture in B¹⁰ (need to check)
- **Overall picture**
 - 1st interaction resolution more flat
 - Very similar to 5 mm Sc w/o B¹⁰

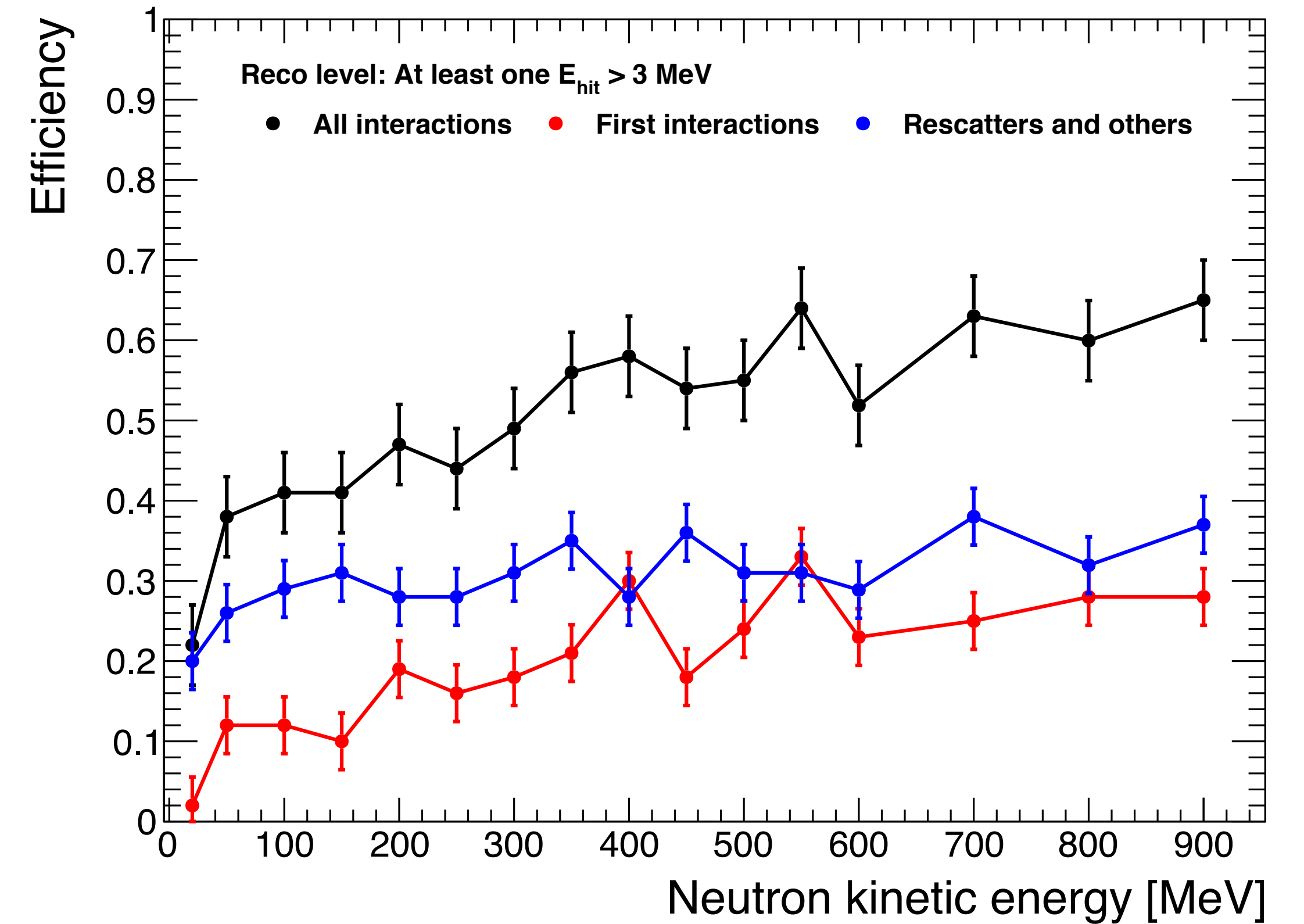


Neutron energy reconstruction.

Third Setup

Third Setup

- 2 mm Cu + 10 mm Sc (45 layers)
- Overall efficiency of above **50-60%**
 - Still **dominated** by rescatters but smaller contribution \implies mainly due to less layers, however *degrades heavily the EM resolution*
 - Slight improvement at low KE for first interactions?

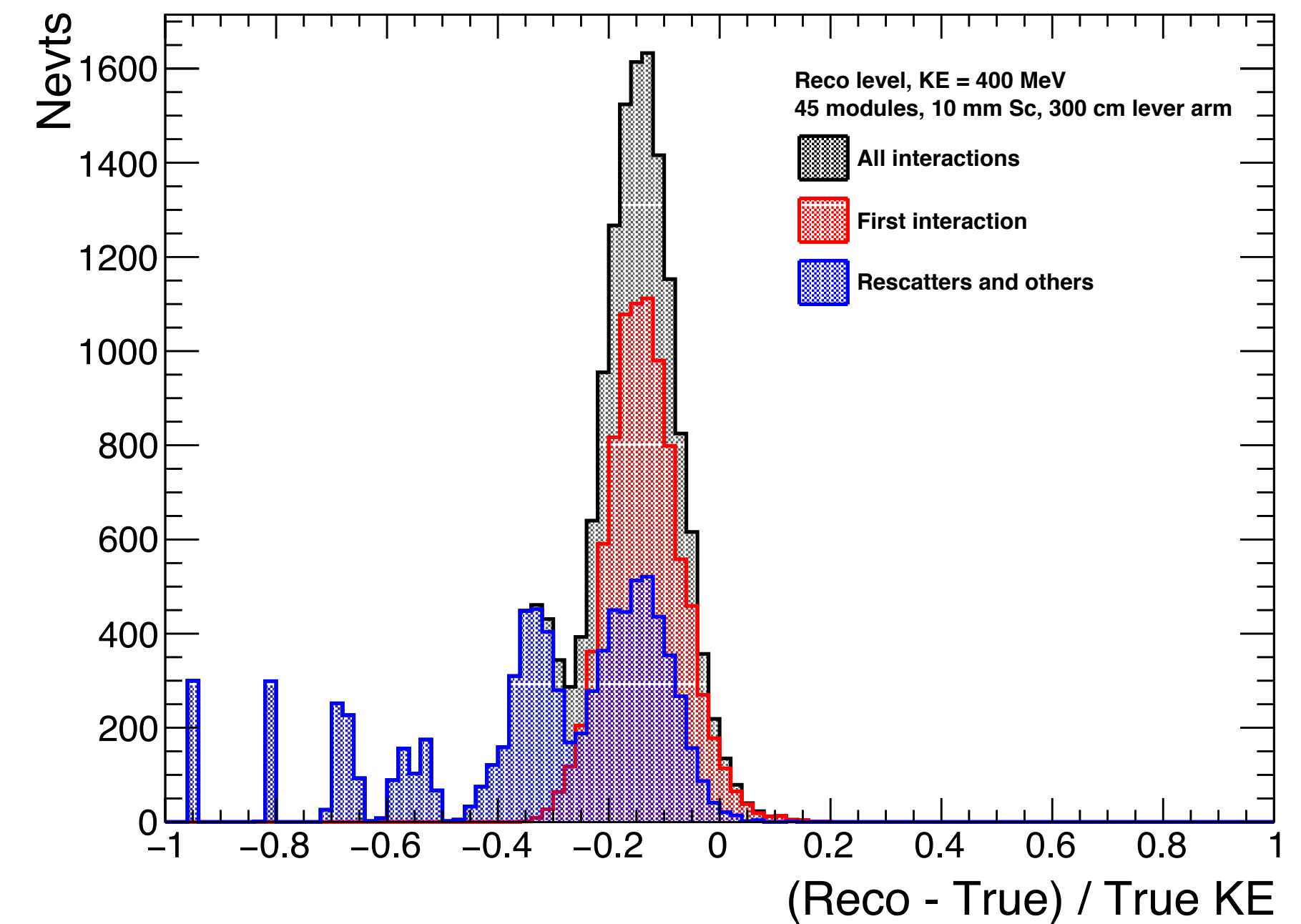
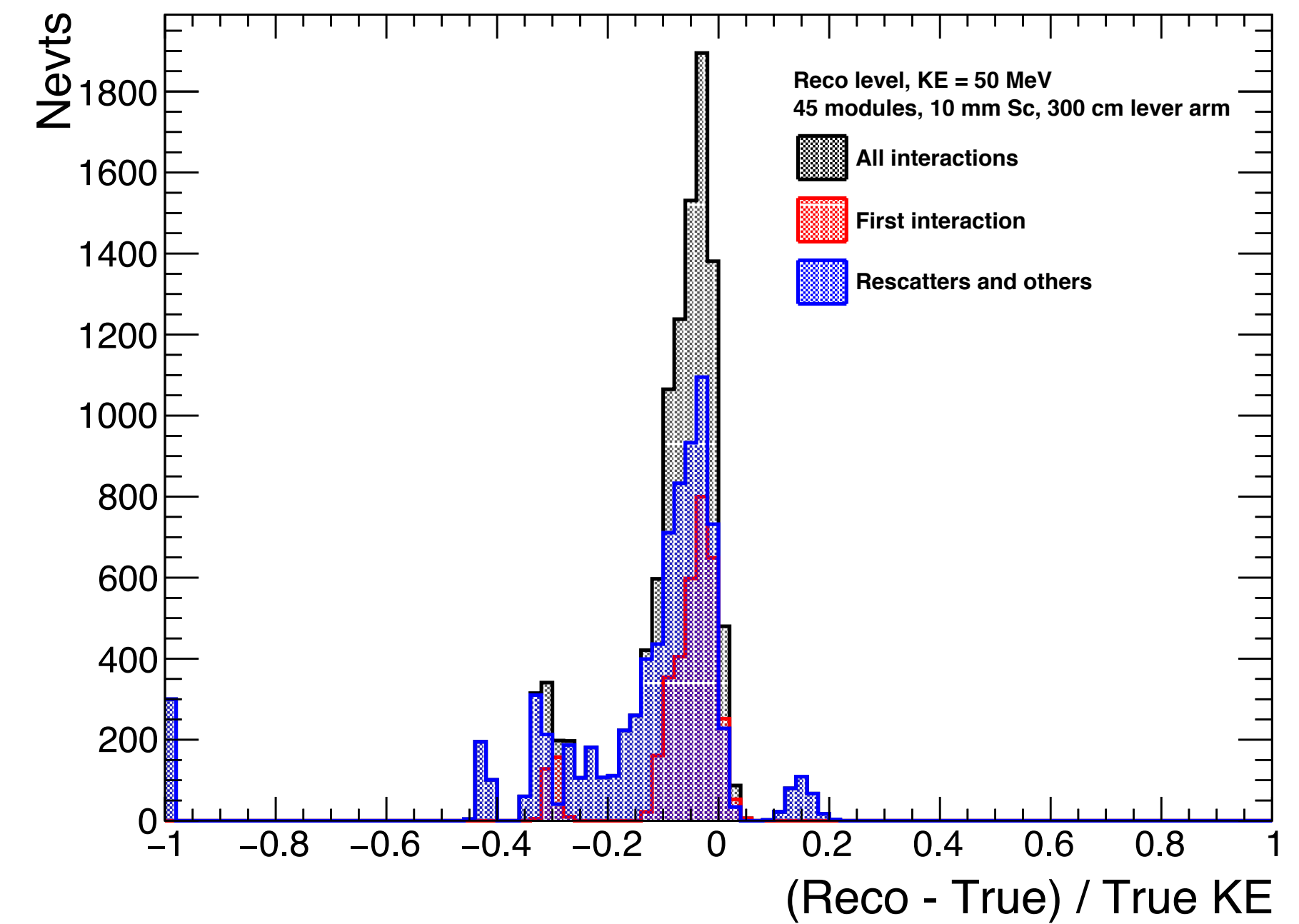


Neutron energy reconstruction.

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 - Slight improvement at low KE for first interactions?
- Energy reconstruction
 - @ 50 MeV: smaller fraction at -1 - sharper peak
 - @ 400 MeV: Rescatter get more pronounced
 - Multiple peaks \Rightarrow needs investigations

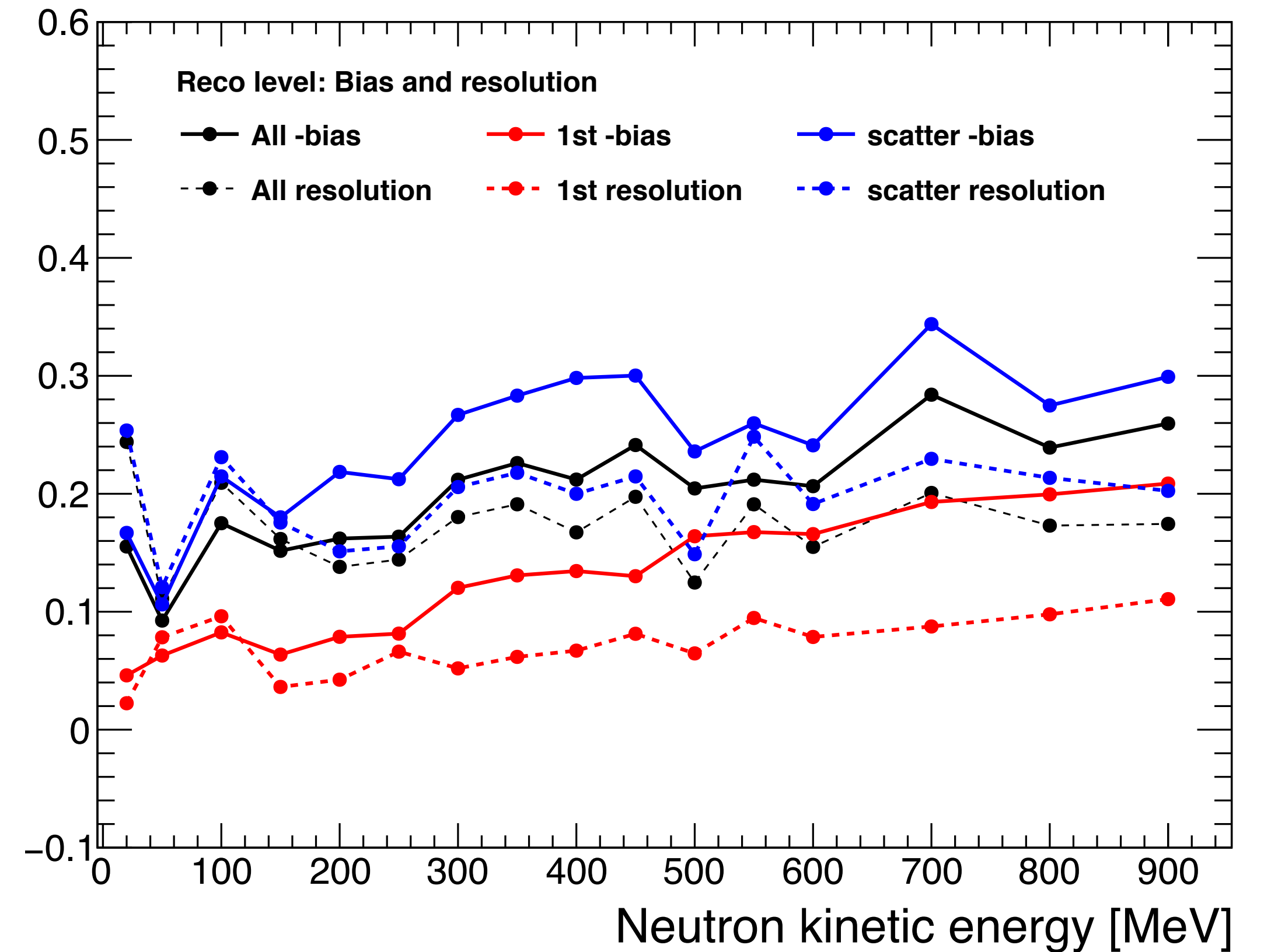


Neutron energy reconstruction.

Third Setup

Third Setup

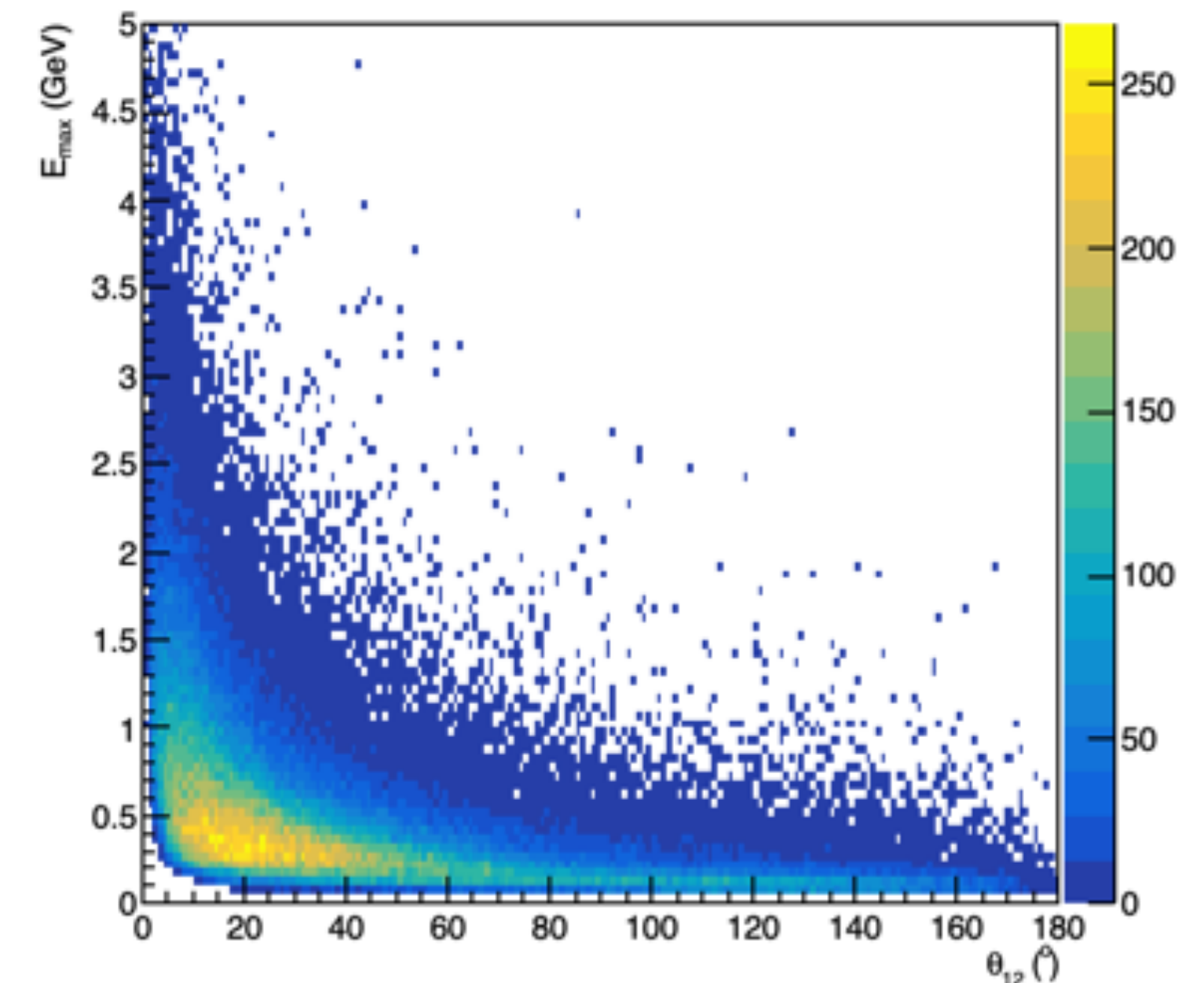
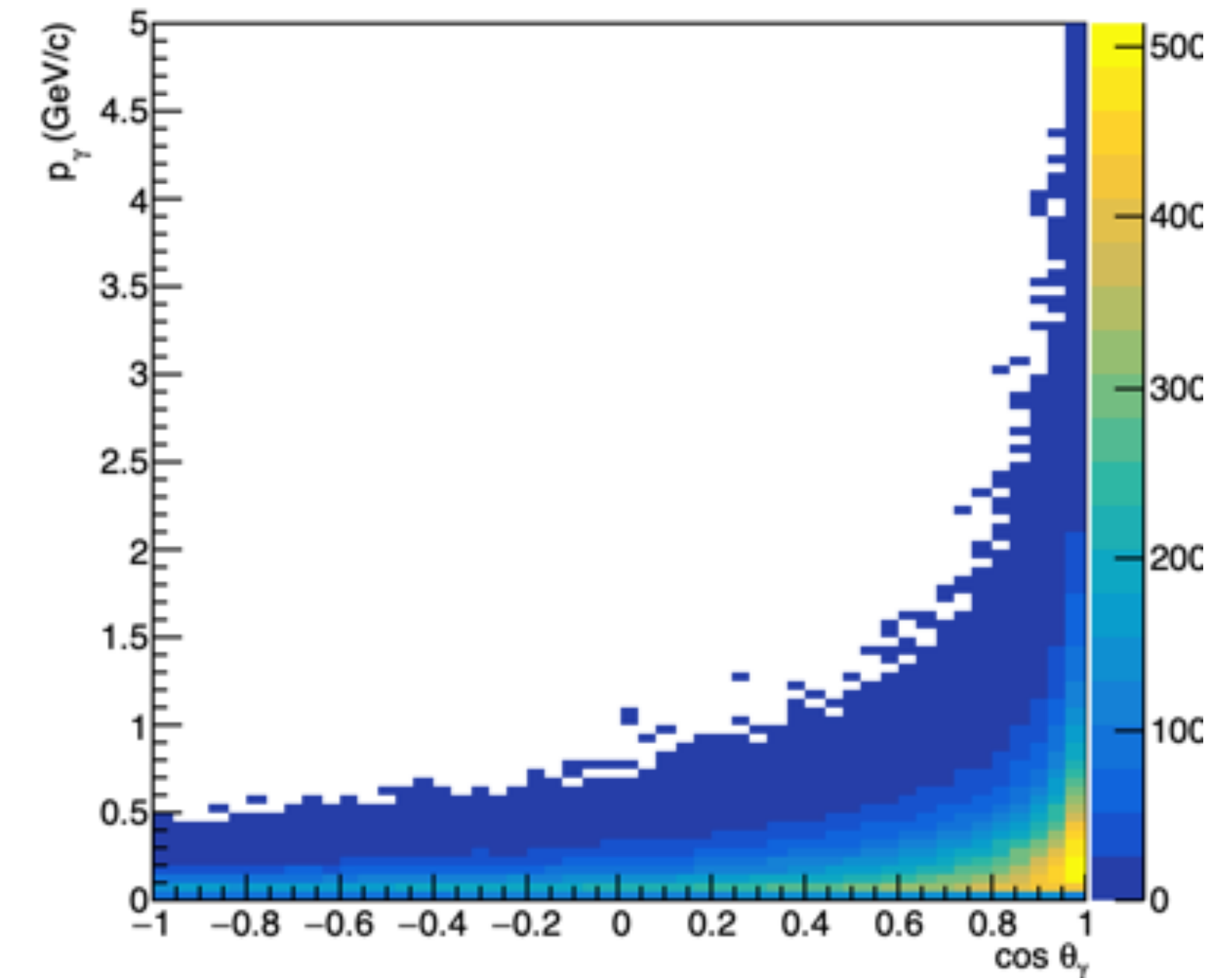
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- Energy reconstruction
 - @ 50 MeV: smaller fraction at -1 - sharper peak
 - @ 400 MeV: Rescatter get more pronounced
 - Multiple peaks \Rightarrow needs investigations
- **Overall picture**
 - Similar conclusions as 5 mm Sc
 - Bias and resolution slightly more flat



Where to optimise?.

Guided by the physics

- Preliminary studies done by Hiro
- **Understand** π^0 production in DUNE to help in ECAL design considerations
- Momentum of π^0 \implies guide the *dynamic range* needed and the needed *granularity* (angular separation)
- Kinematics:
 - π^0 s up to 5 GeV/c
 - π^0 s below 1 GeV/c \implies large angle between the photons
- Angular distribution:
 - High energy photon lead to very small angular separation
 - Low energy photons \implies angular separation typically around 20 degrees and above
 - Contains most of the events - 2.5 cm granularity \implies few degrees



Conclusions for neutron studies.

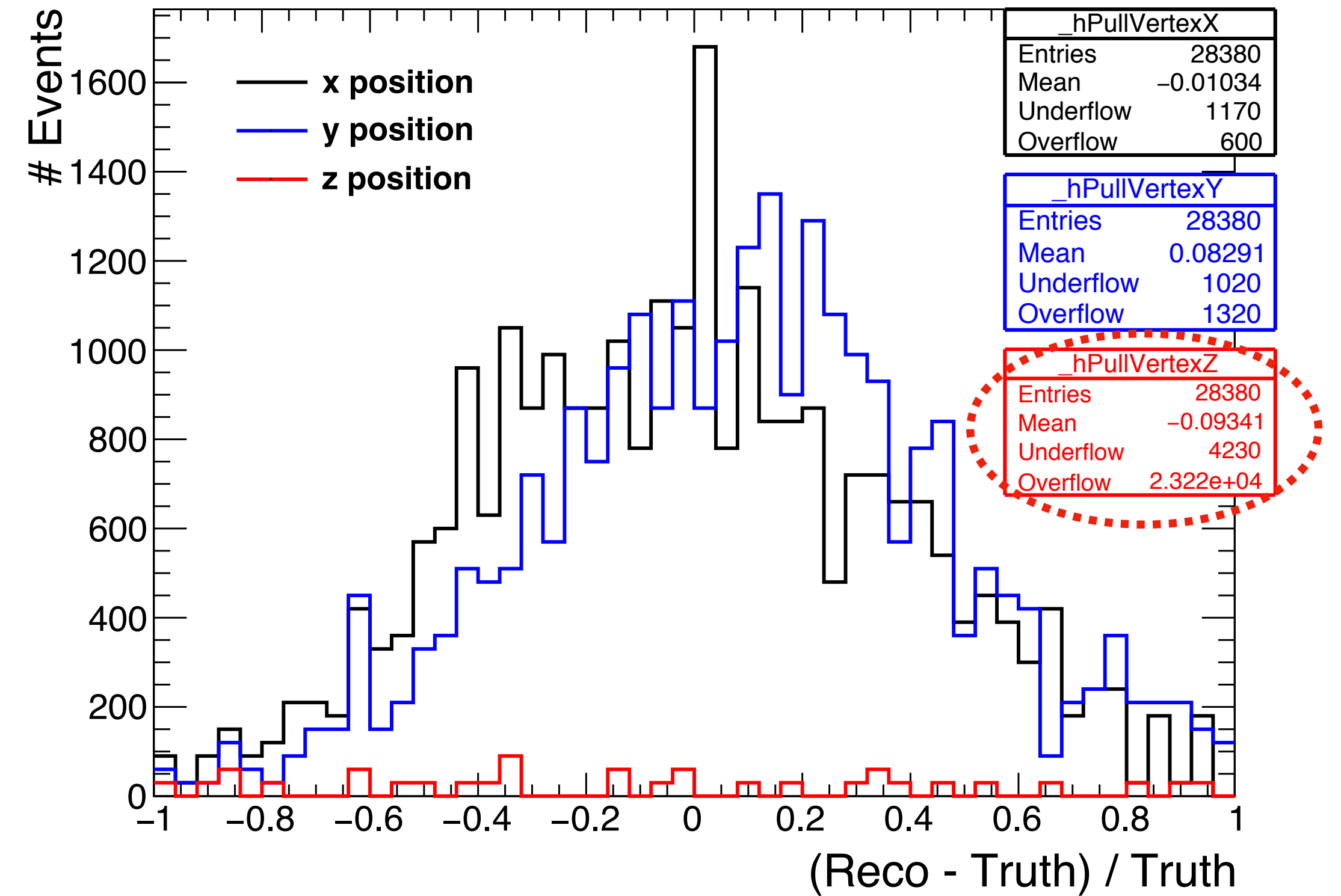
To take away

- Neutron energy reconstruction is **challenging** but would be a plus for the near detector
 - Increase of **scintillator thickness** may improve the efficiency at lower neutron KE
 - **Number of layer** will influence the number of rescatters \implies less would improve the energy resolution / however would impact the EM resolution
 - **Loaded-boron Sc** \implies may be a very nice solution to improve efficiency at low neutron KE + Can we differentiate proton recoil from gamma emissions by timing?
- Next steps:
 - Looking at MC in more details to get a better understanding
 - Investigate efficiency and purity in *background environment*
 - Investigate Gadolinium coating (would not change optical properties of the Sc) and Lead absorber (high Z material)
 - Understand timing of doped Sc \implies does it affect the *background rate*?

Neutral pion reconstruction.

Work in progress

- 400 MeV neutral pion
- Look at the distance to the true vertex and reconstructed invariant mass
- π^0 s reconstructed between 100-150 MeV (due to clustering effects)
- Distance to the truth vertex around 150 - 200 cm \Rightarrow mostly the z-coordinate is badly reconstructed
- Factor $\sim x5$ worse than more complete studies
 - Not yet using the Chi2 minimization \Rightarrow important for the position resolution
 - Clustering not optimized



Neutral pion reconstruction.

Work in progress

- 400 MeV neutral pion at 15/15 cm vertex
- Distance between the true vertex and the PCA from the line determined from the highest energy cluster \Rightarrow better angular resolution!
- Very promising method to be used for better constrain on the vertex location

