

# sPHENIX Track Reconstruction

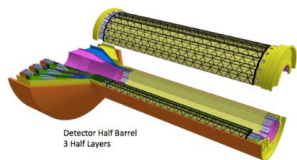
Christof Roland, MIT

TPC Workshop

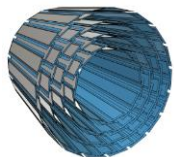
July 12, 2019

CERN

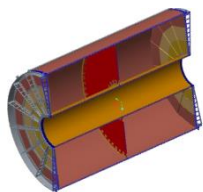
# The sPHENIX tracking system



- MVTX
  - 3 layer vertex tracker based on Monolithic Active Pixel Sensors
  - Provides impact parameter resolution

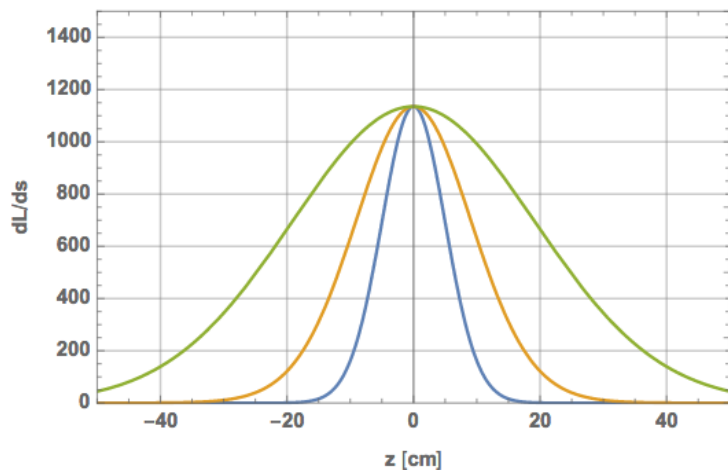


- INTT
  - 2 hermetic Layers of Silicon Strip detectors
  - Fast response time for pileup disambiguation

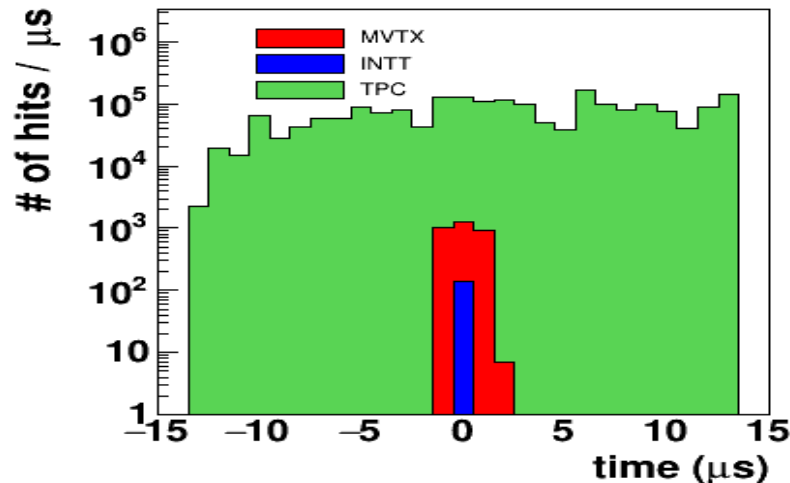


- TPC
  - 48 layer continuous readout TPC
  - Main tracking device
  - Provides momentum resolution
- All detectors immersed in a 1.4T magnetic field

# Data taking conditions



0, 1.5, 3 mrad crossing angles



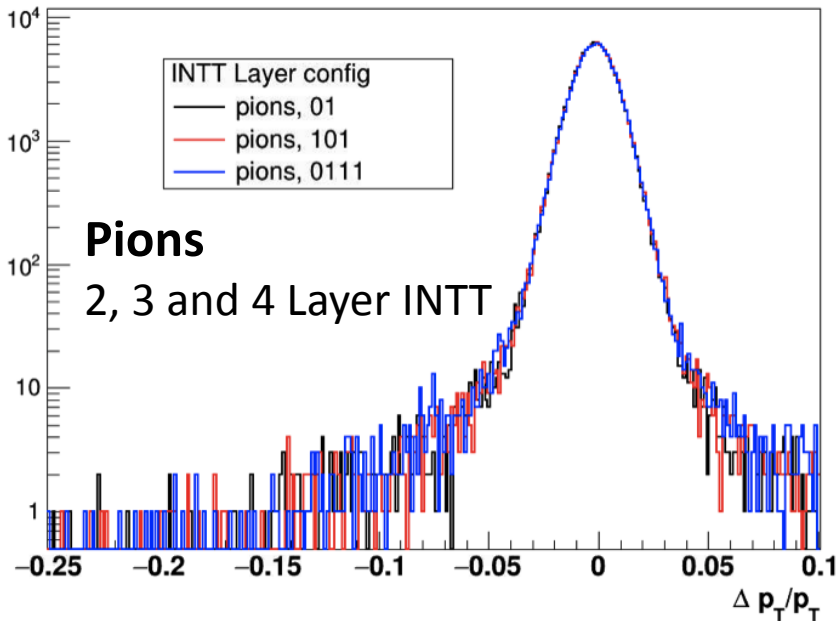
- RHIC will provide up to **200kHz** interaction rate of Au+Au
  - Large beam diamond,  $\sigma \sim 30\text{cm}$  at 0 mrad crossing angle
- Useful fiducial volume due to detector layout constrained to  $|vz| < 10\text{cm}$ 
  - Collect **15kHz** of min bias data
    - Substantial out of time pileup contribution in the TPC volume

# DETECTOR OPTIMIZATION

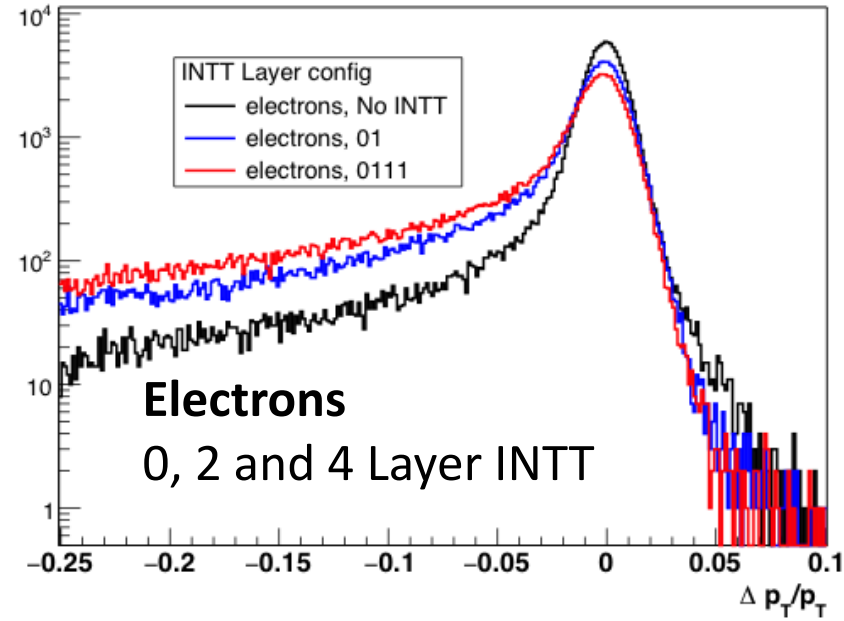
# Track Parameter Estimation vs INTT Mass (nLayers) SPHENIX



$p_T = 2-4 \text{ GeV}/c$

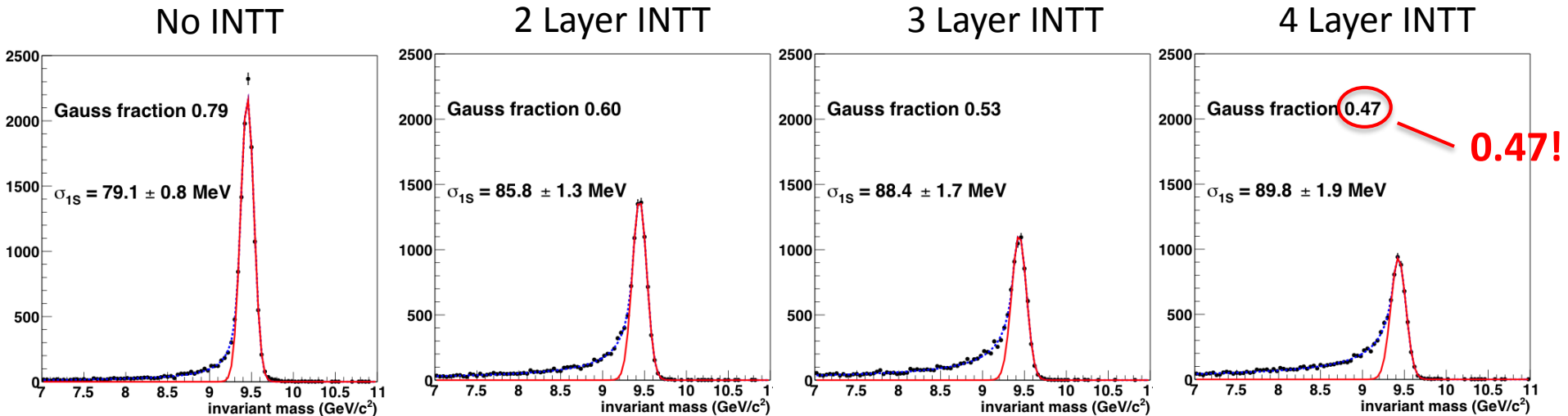


$p_T = 2-4 \text{ GeV}/c$



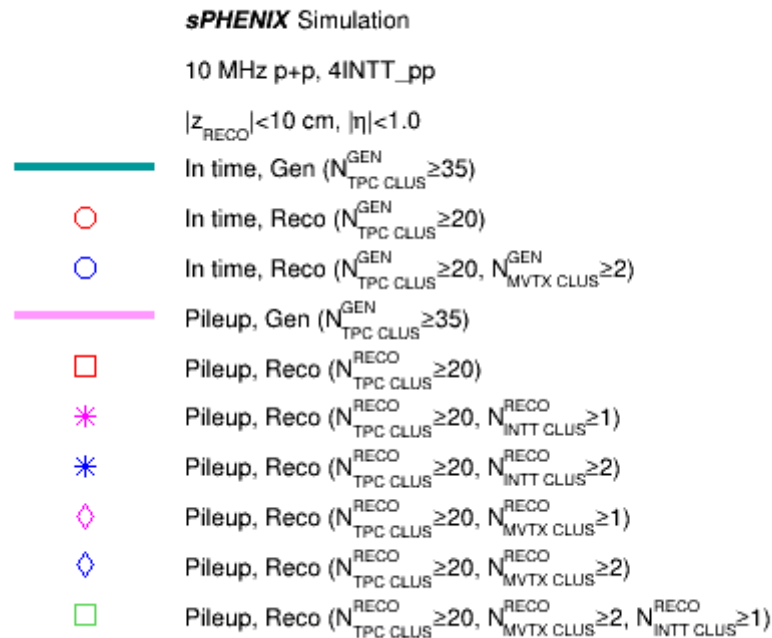
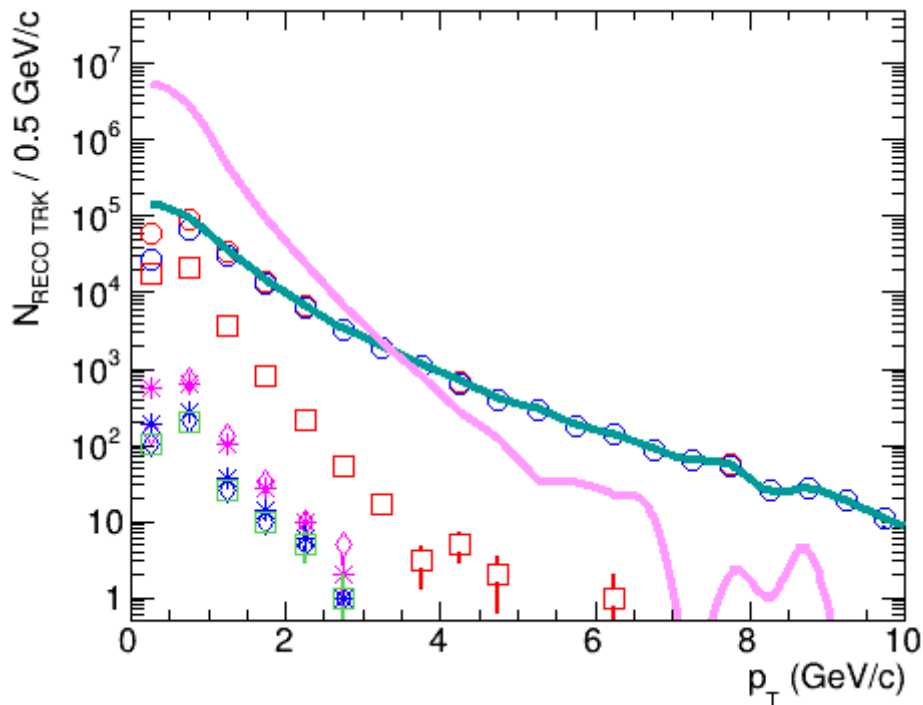
- The pion momentum resolution does not depend on the number of INTT layers
  - **Verified by independent study based on the LIC detector model**
- The electron reconstruction is severely affected by the material budget
  - **Constrains the capability to study quarkonia in the electron channel ☹**

# Impact on Upsilon Mass Spectra...



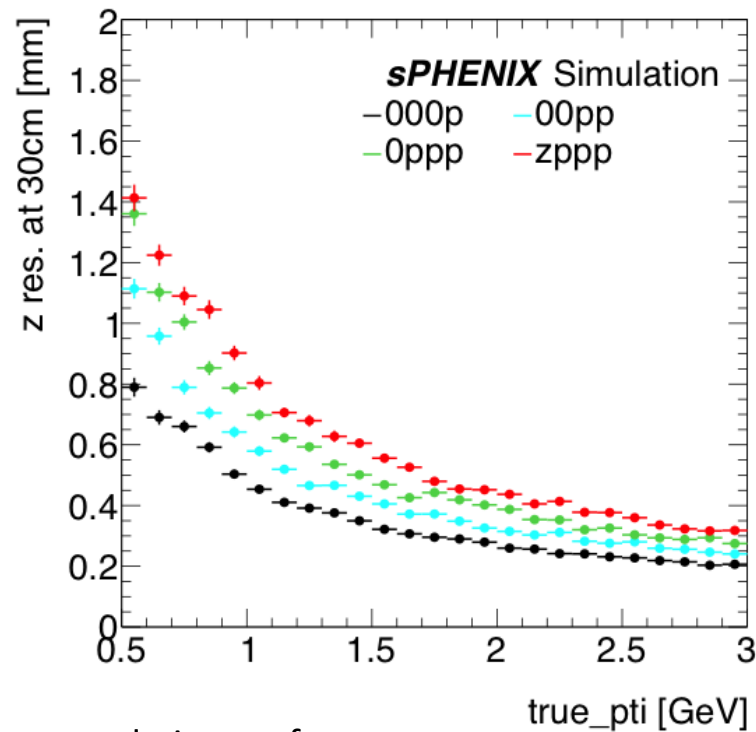
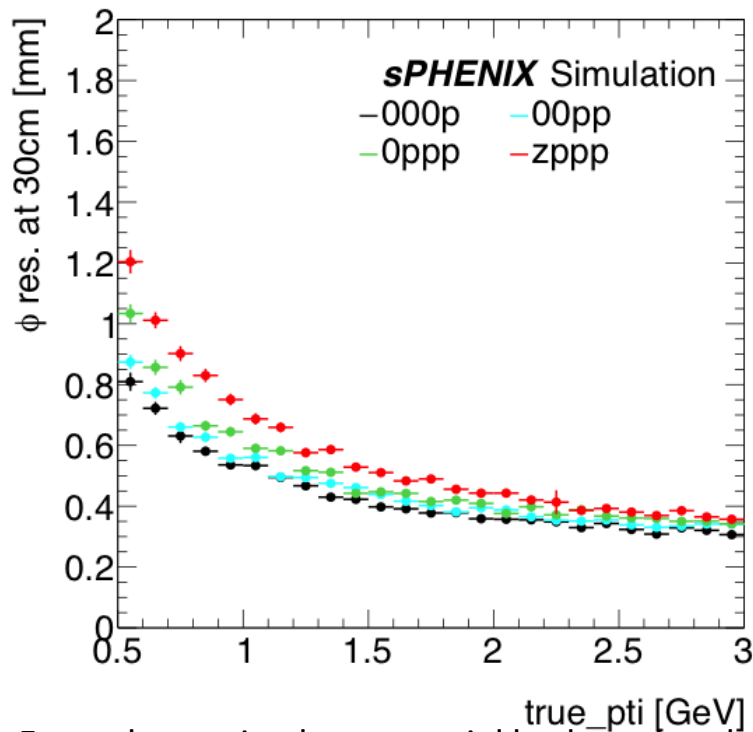
- Simulation done with the latest INTT and TPC simulation
  - Need to reduce the material budget to the minimum necessary

# pp pile-up track contribution



- Requiring 2 MVTX hits and one INTT hit per reco track gives good rejection power against tracks originating from out of time pile up

# Extrapolation from ITS to TPC



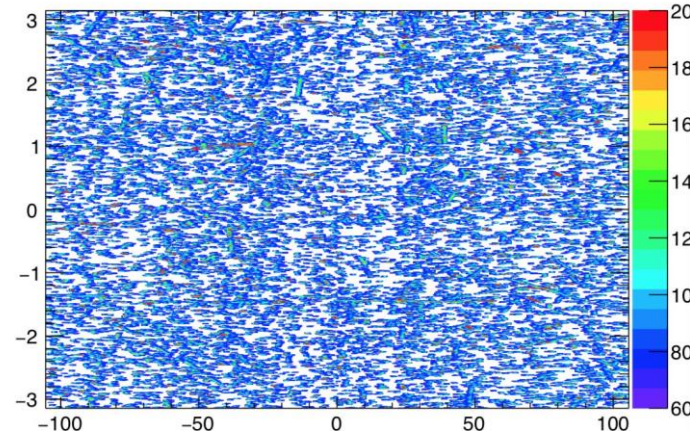
- Fewer layers, i.e. less material budget gives better extrapolation performance
  - **phi resolution similar with 1 and 2 layers.**
  - **Extrapolation precision significantly worse than the expected position accuracy of the TPC (~150 $\mu$ m)**



# PATTERN RECOGNITION

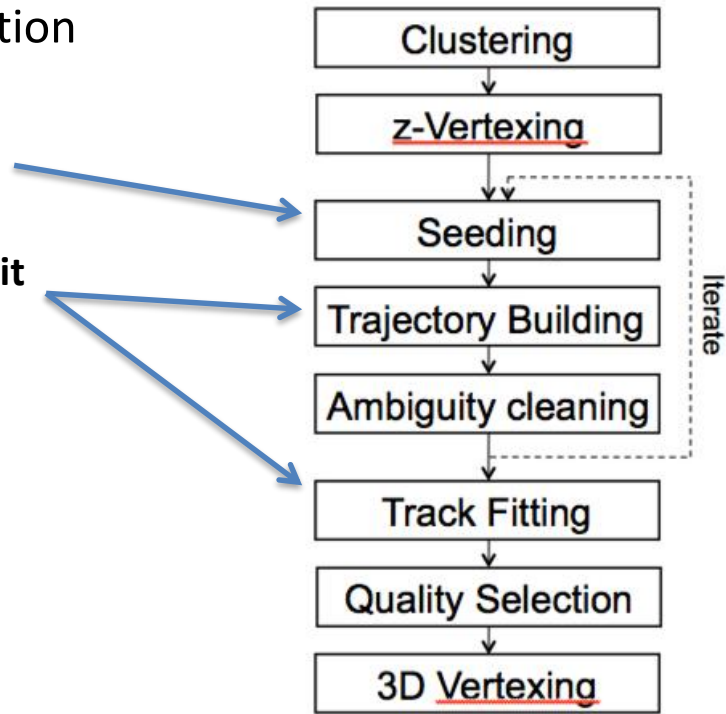
# Pattern recognition challenges

- Optimal parameter estimation
  - Momentum resolution
  - Impact parameter resolution
- Robustness against very high occupancy
  - High tracking efficiency
  - Little or no hit density dependence
    - Expect up to 30% detector occupancy in the TPC
- Efficient CPU usage
  - Goal is to reconstruct 100 Gevents per year
  - CPU usage dominated by track reconstruction

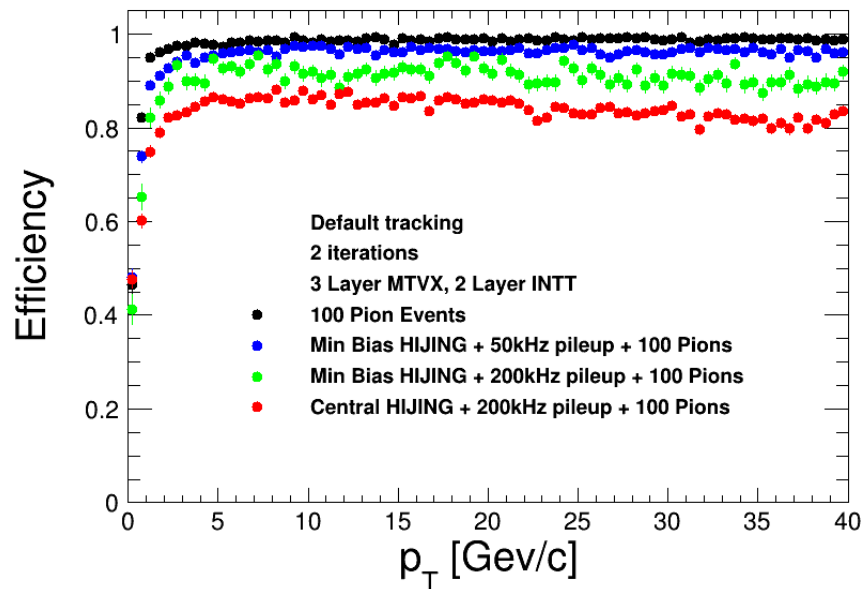


# The algorithm

- Iterative Kalman Filter based track reconstruction package
  - **Hough transformation based seeding algorithm**
    - Provides redundance against missing hits
    - Outside in approach
  - **Track propagation and fitting based on the GenFit package**
    - Open source software
    - Well tested through use in different experiments
      - E.g. PANDA, BELLE
    - Manpower efficient implementation
  - **2 Iterations with hit removal and different seed constraints**
    - 4 hits out of 7 layers
    - 6 hits out of 12 layers



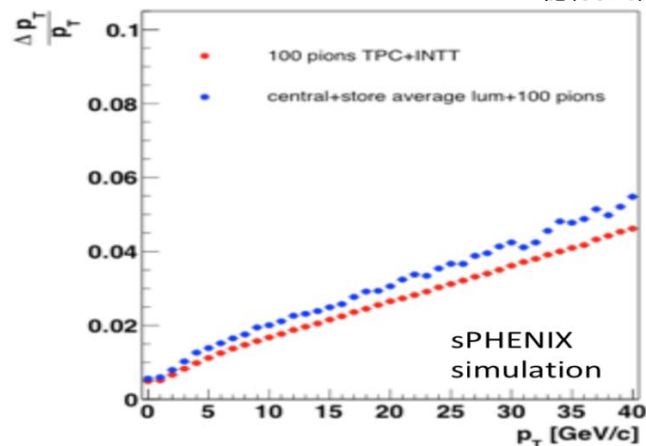
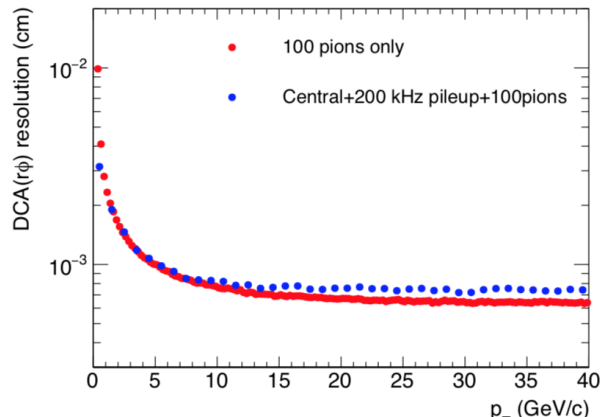
- 100 pions embedded in HIJING
  - Various instantaneous luminosity scenarios
- Workable efficiency in worst case occupancy
  - Efficiency recoverable with
    - Better clustering algorithm
    - more iterations at the expense of CPU cycles



# Performance: Parameter Estimation sPHENIX

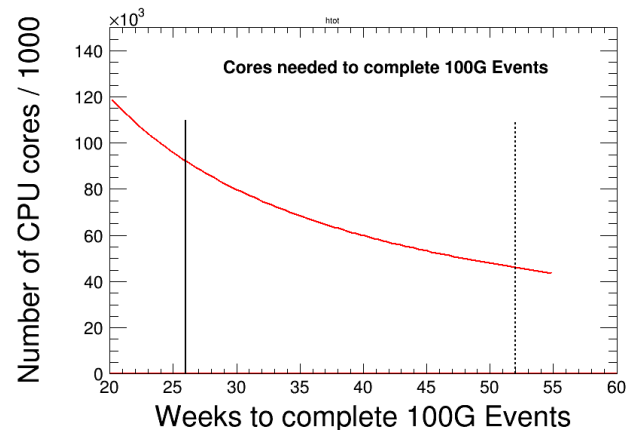


- Unbiased momentum and impact parameter resolution in low occupancy events
- Slight deterioration of performance at high occupancy due to cluster centroid determination of overlapping clusters
  - **To be fixed by more sophisticated clustering algorithm, e.g. neural networks**



# CPU resources for Tracking

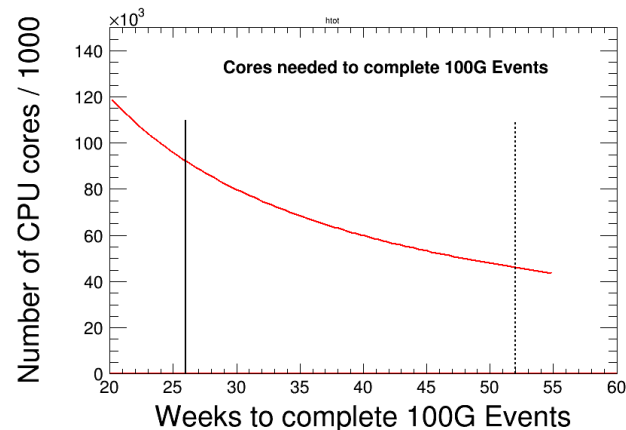
- Prepare to reconstruct 96 billion events in Year-3
  - $96\,000\,000\,000\text{ ev} / 3600 * 24 * 365\text{ sec} \sim 3000\text{ev/sec}$
- Resources needed
  - **Assuming 15 sec per event:**
    - 45k equivalent-cores to reconstruct the data
    - within the year they were taken
      - Currently 34kCores allocated to STAR+PHENIX
    - 90k equivalent-cores for fixed latency reconstruction,
      - i.e reconstructing the data as they are taken modulo a calibration delay of 2-3 days
  - **Set target for tracking to 5 sec\***
    - leave 10sec per event for calibration, Calo reconstruction, Particle Flow etc.



\*Benchmark numbers discussed in the Computing Review, July 2018

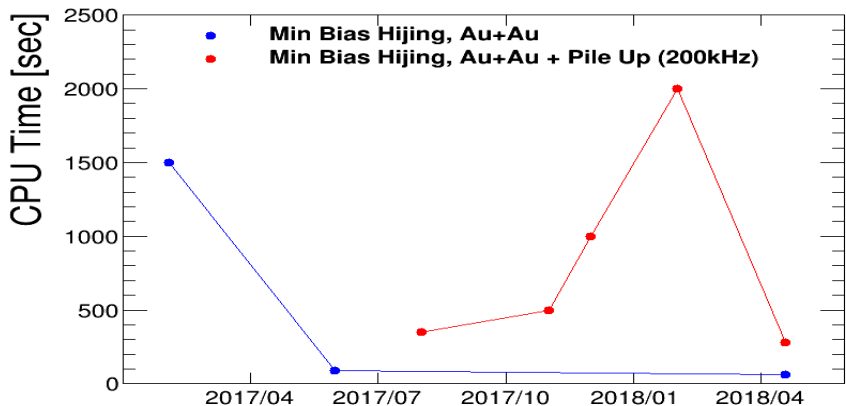
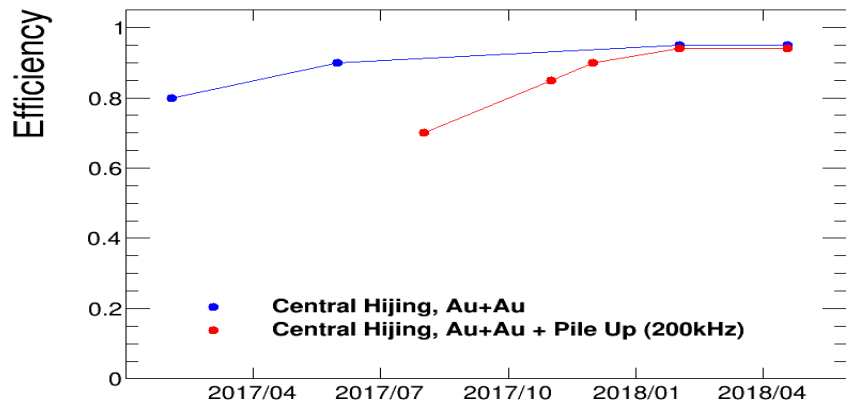
# CPU resources for Tracking

- Prepare to reconstruct 96 billion events in Year-3
  - $96\,000\,000\,000\text{ ev} / 3600 * 24 * 365\text{ sec} \sim 3000\text{ev/sec}$
- Resources needed
  - **Assuming 15 sec per event:**
    - 45k equivalent-cores to reconstruct the data
    - within the year they were taken
      - Currently 34kCores allocated to STAR+PHENIX
    - 90k equivalent-cores for fixed latency reconstruction,
      - i.e reconstructing the data as they are taken modulo a calibration delay of 2-3 days
  - **Set target for tracking to 5 sec\***
    - leave 10sec per event for calibration, Calo reconstruction, Particle Flow etc.



\*Benchmark numbers discussed in the Computing Review, July 2018

# sPhenix Tracking Evolution vs time



>90%

December 2018

500sec

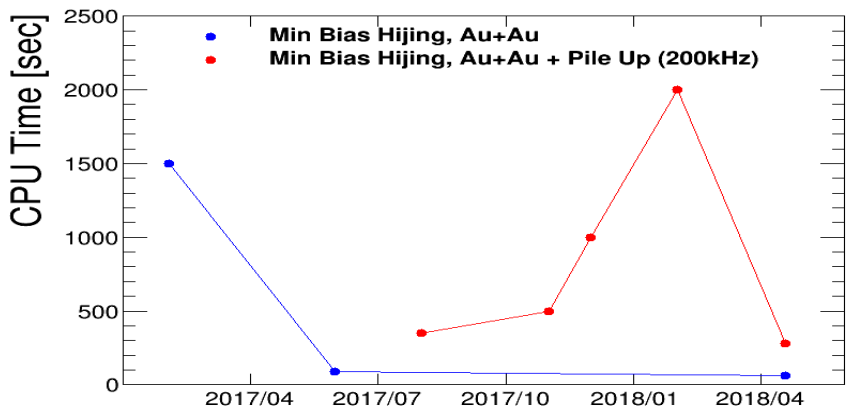
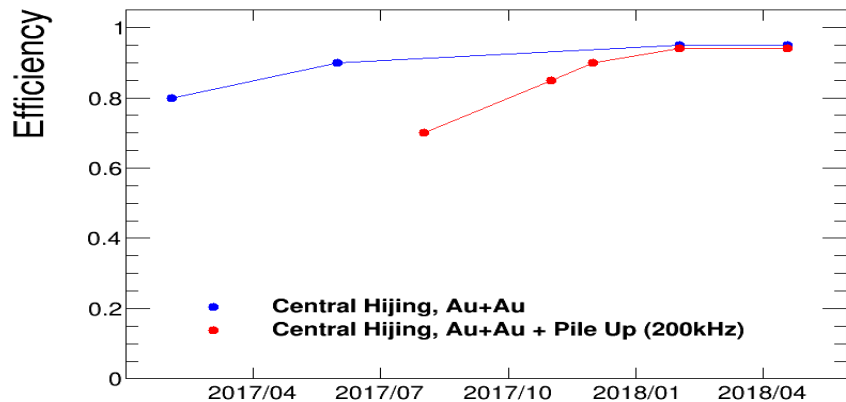


2023

5 sec !!!



# sPhenix Tracking Evolution vs time



>90%

December 2018

500sec



2023

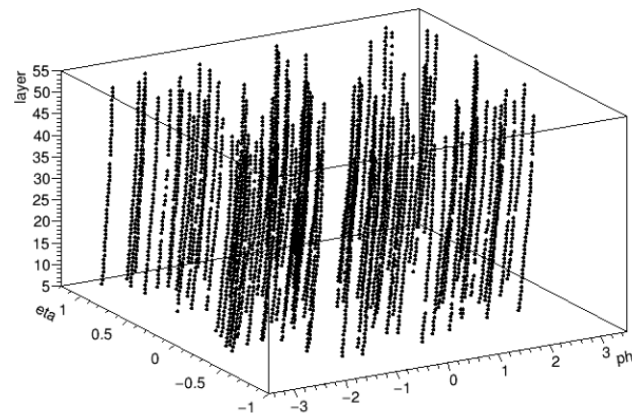
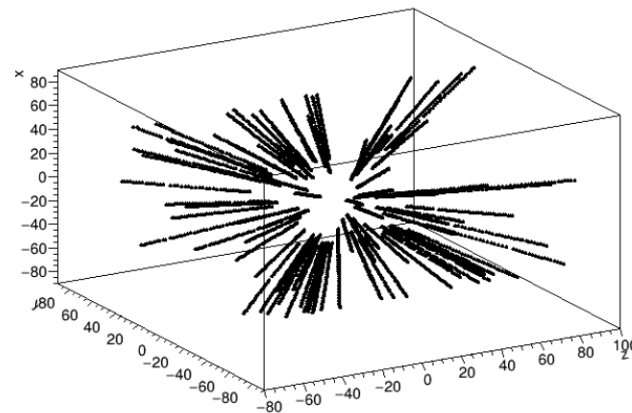
5 sec !!!

Need to speed up the track reconstruction by 2 orders of magnitude !!

# Outlook on algorithms...

## Ideas for new Seeding scheme

- Nearest neighbor search in TPC
  - Use geometric indexing
    - E.g. R-trees from boost
    - Efficient access to hits near a given position (prediction)
- Proof of principle study
  - 800ms to assemble track stubs in AuAu+100kHz pileup
    - Hough seeding was ~400sec
- Optionally track the entire TPC
  - For the short extrapolation distances in the TPC we can probably find computationally cheaper algorithms than the KF
    - Graph Tracking and/or Cellular automata
    - Ideas from the TrackML challenge
- Update the Kalman Filter to match to the INTT+MVX to state of the art code
  - Replace GENFIT with ACTS?
  - GENFIT seed fit -> 40ms/track (~6hits on track)
  - ACTS Package
    - Track propagation – 0.5ms/track (53 Layers)
    - Full RKF fit – 1-2 ms/track



- We have ~3 years to bring our tracking code up to speed!
  - **Current algorithm provides very high tracking efficiency and good robustness in view of the high occupancy conditions**
    - **Too slow and consumes too much memory** ☹️
- Target fixed latency reconstruction of recorded data -> 5sec/ev
  - **We have a good idea of the code performance we need to achieve**
  - **There is technology available to get us there**
  - **Progress currently limited by the small size of the Tracking Team**
    - **Special thanks to Tony, Haiwang, Darren and Sookhyun for their heroic effort!**
- Open to creative ideas for new algorithms
  - **Particle tracking is a very active field of research**
  - **Many exciting new technologies emerging**
    - **Machine learning solutions**
    - **GPU/FPGA hardware acceleration**
  - **Many working “external” packages ready for testing**
    - **E.g ACTS (Open source ATLAS Tracking)**
    - **Manpower efficient implementation!**

# BACKUP

# Is 5 sec per event a realistic goal?

## Current sPhenix CPU Benchmark

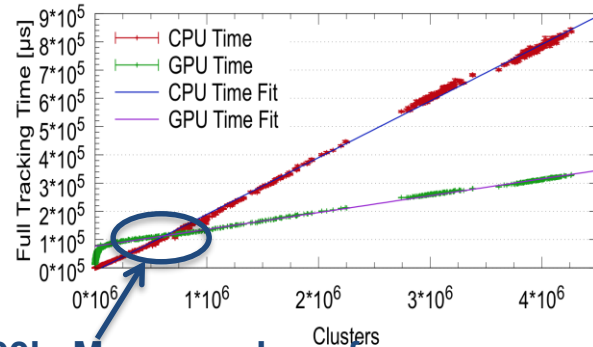
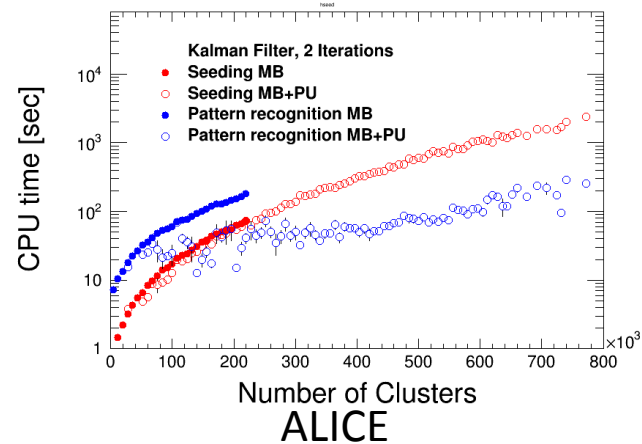
- Min bias + pileup (200kHz): **480sec**
  - Seeding: **420sec**
    - scales with:  $n_{hit}^2$
    - Out-of-time pileup hits a big concern
  - Pattern Recognition: **60sec**

## Examples from other experiments

- HLT tracking of ALICE
  - TPC Only -> sPhenix seeding step
  - ~1sec per ALICE event on 1 CPU, 24 Threads
    - 0.15 sec when scaled with sPhenix number of clusters on 24 threads
    - Min Bias + PU 420 sec -> 3.5 sec (one thread)
- ATLAS tracking (ACTS Package)
  - Track propagation – 0.5ms/track (53 Layers)
  - Full RKF fit – 1-2 ms/track

5 sec per event should be feasible with state of the art technology

## sPhenix

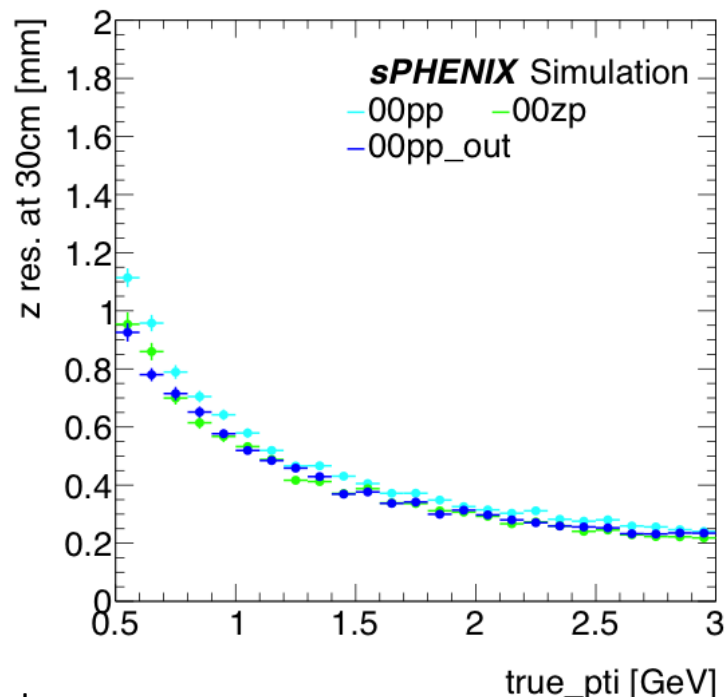
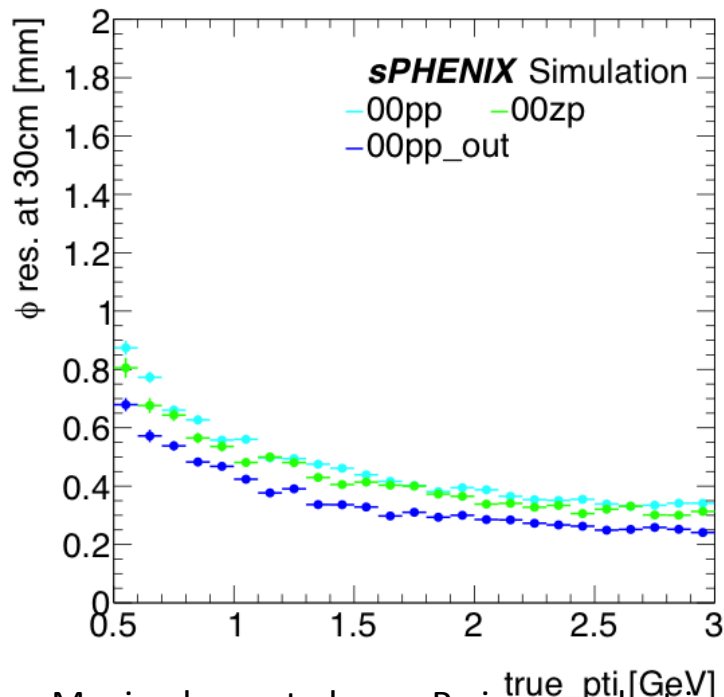


400k: Mean number of clusters in Min Bias + pileup

# Extrapolation to the TPC

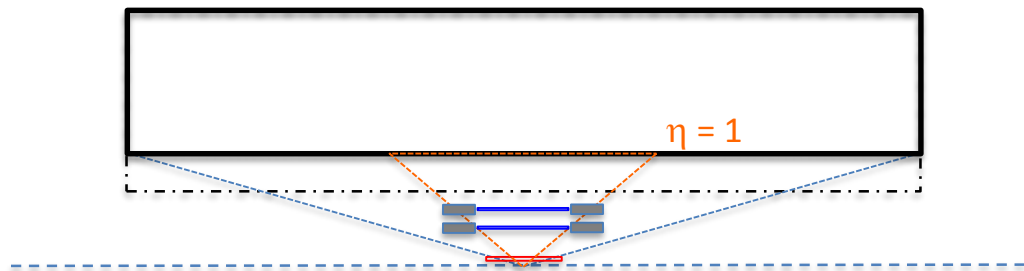
- Need 150um position accuracy in the TPC to achieve our momentum resolution goal
  - **Space charge distortions of O(3mm) expected**
  - **Need external calibration**
- Test extrapolation precision from MVTX + INTT
  - **Truth tracking to assemble the hits + Kalman Fit**
  - **Extrapolate to 30cm radius and compare extrapolation to truth hit position**

# Comparing 2 layer configurations



- Moving layers to larger R gives modest improvement
- Adding a layer with Z resolution gives only a small improvement
  - **Two layers with phi resolution offers more redundancy in case of dead channels/chips**

# Placement of INTT layers



- Moving the INTT as close as possible to the TPC improves the extrapolation precision
  - But cuts into eta acceptance and puts Electronics in the path of high eta tracks
- Putting to outer INTT layer at  $\sim 11\text{cm}$  gives full eta coverage ( $|\eta| < 1$ ) for  $|z_{\text{VTX}}| < 10\text{cm}$ 
  - Poorer extrapolation accuracy to the TPC
- Decided to prefer eta coverage over extrapolation precision



# Benchmarks from LHC experiments

- LHCb gets a reconstruction time of  $\sim 1$ ms per pp event + pileup ( $\sim 500$  tracks) from heavy use of neural networks, lookup tables and machine learning...
- CMS tracking software takes  $\sim 3$ ms per track with at nhit2 CPU dependence -> would translate to 1.5-5 sec for a sPhenix event
- ATLAS is about to release an open source tracking package – ACTS project
  - **A. Salzburger has provided preliminary estimate for the performance for sPhenix**
- Many proposals sent to NSF etc studying potential tracking acceleration using GPUs, FPGAs and ML in any linear combination thereof. Very promising approach.
- **CONCLUSION**
  - **Assume a conservative kalman filter approach with a fully optimised code implementation**
  - **Estimated target CPU performance:**
  - **MB events + pileup:**
    - 5 sec/event for tracking
    - 5 sec/event for TPC clustering, calibration, 3D vertexing and other services
    - 5 sec/event for calorimeter and particle flow reconstruction
  - => **15 sec/event total projected event reconstruction time**

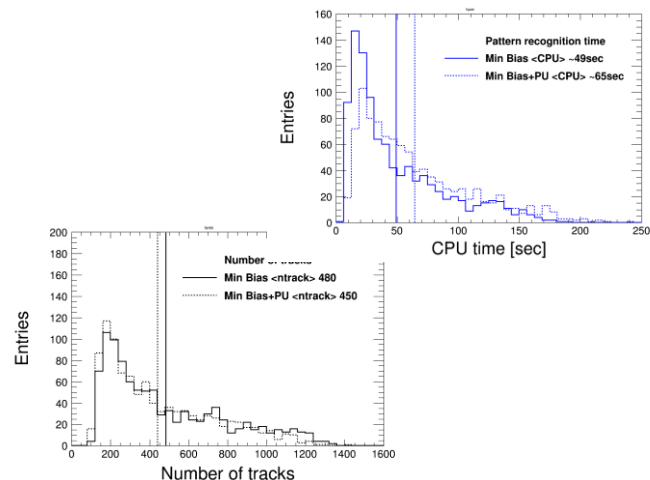
# Outlook on CPU performance I

The current Kalman Track fit is very slow

- GenFit performance:
  - seed fit -> 40ms/track (~6hits on track)
  - Internal Geometry handling not optimized
- CMS track fit performance
  - 1ms per track (14.5 hits on track)
- ATLAS tracking (ACTS Package)
  - Track propagation – 0.5ms/track (53 Layers)
  - Full RKF fit – 1-2 ms
- Projection:
  - Min Bias 49 sec -> ~1.0 sec
  - Min Bias + PU 65 sec -> ~1.5 sec

```
One central HIJING event
Number of Tracks: 1329
===== Timers: =====
Seeding time: 64.7336 sec
Seed Iter1 time: 28.1227 sec
Seed Iter2 time: 36.6109 sec
- Seeds Hough: 64.6106 sec
- Seeds Final: 0.0484869 sec
- Seeds Cleanup: 0.0484863 sec
Pattern recognition time: 164.646 sec
- Track Translation time: 67.9439 sec
- Cluster searching time: 1.27453 sec
- Encoding time: 0.0821716 sec
- Map iteration: 0.96912 sec
- Propagation time: 95.8403 sec
- Cleaning time: 0.178403 sec
- Kalman updater time: 44.5779 sec
=====
```

GenFit dominated



- Compressed Raw Data event size
  - **Run 3,5 Au+Au: 2.3Mbyte**
- Final Analysis Objects/Model not yet defined
- Candidate models under consideration:
  - **ALICE HLT data format**
    - **Store tracks + hit information as residuals relative to tracks**
      - Allows to reapply distortion corrections and refit tracks
      - Provides a compression factor of  $\sim 5$ , i.e  $\sim 500\text{kbyte/event}$
  - **CMS miniAOD like data format**
    - **Limited precision storage of track/particle parameters including covariance matrices**
    - **$\sim 25\text{bytes}$  per PF candidate after root compression**
    - **For 800 PF candidates (2xnTracks)  $\rightarrow 20\text{kbyte/event}$**

# Event summary – 9BG event

- Total Memory usage of this event -> 8.95GB
  - Number of Hits:
    - 5481770 size: ~40bytes -> ~200MB
  - Number of Clusters:
    - 284729 size: 144 + n refs: 4249983 -> ~50MB
    - Stored 3 times (at least) Clusters, 3DHits (hough), Measurements (genfit) => 150MB
  - Number of Cells
    - TPC|INTT|MAPS:
    - 9212401 | 12481 | 83604 cell size: 136 -> 1210MB
  - Known sources of memory consumption account for ~2GB
- Need to be careful with the implementation of our storage objects
  - Likely culprit for “dark” memory: inheritance from TObject + heavy usage of templated data structures (STL)
  - Get rid of the cells (planned for next release) and optimize our simulation, reconstruction and storage strategies to optimize memory