

UNIVERSITY OF
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Measurement of Diffraction and Underlying Event at ATLAS

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eQCD2020, Krynica Zdrój, Poland



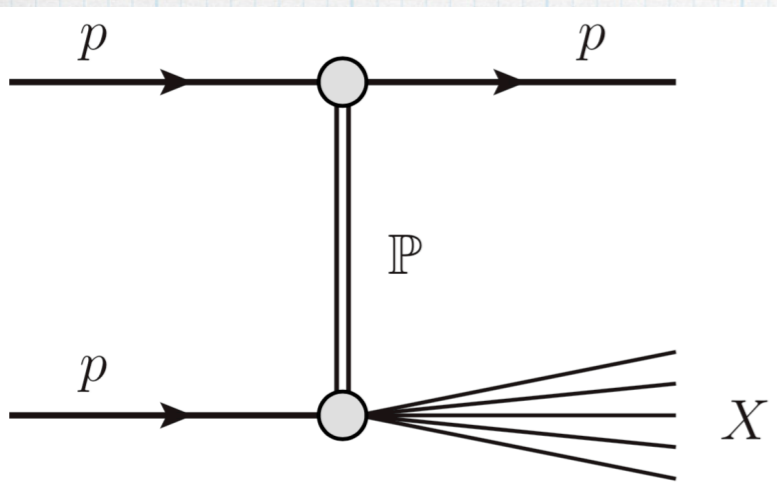
Analyses presented:

- “Measurement of differential cross sections for **single diffractive** dissociation in $\sqrt{s} = 8 \text{ TeV}$ pp collisions using the ATLAS ALFA spectrometer”
 - Accepted by JHEP
 - <https://arxiv.org/abs/1911.00453>
 - <https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/PAPERS/STDM-2018-01/>
- “Measurement of distributions sensitive to the **underlying event** in inclusive Z boson production in pp collisions at $\sqrt{s} = 13 \text{ TeV}$ with the ATLAS detector”
 - [Eur. Phys. J. C 79 \(2019\) 666](#)
 - <https://atlas.web.cern.ch/Atlas/GROUPS/PHYSICS/PAPERS/STDM-2017-28/>

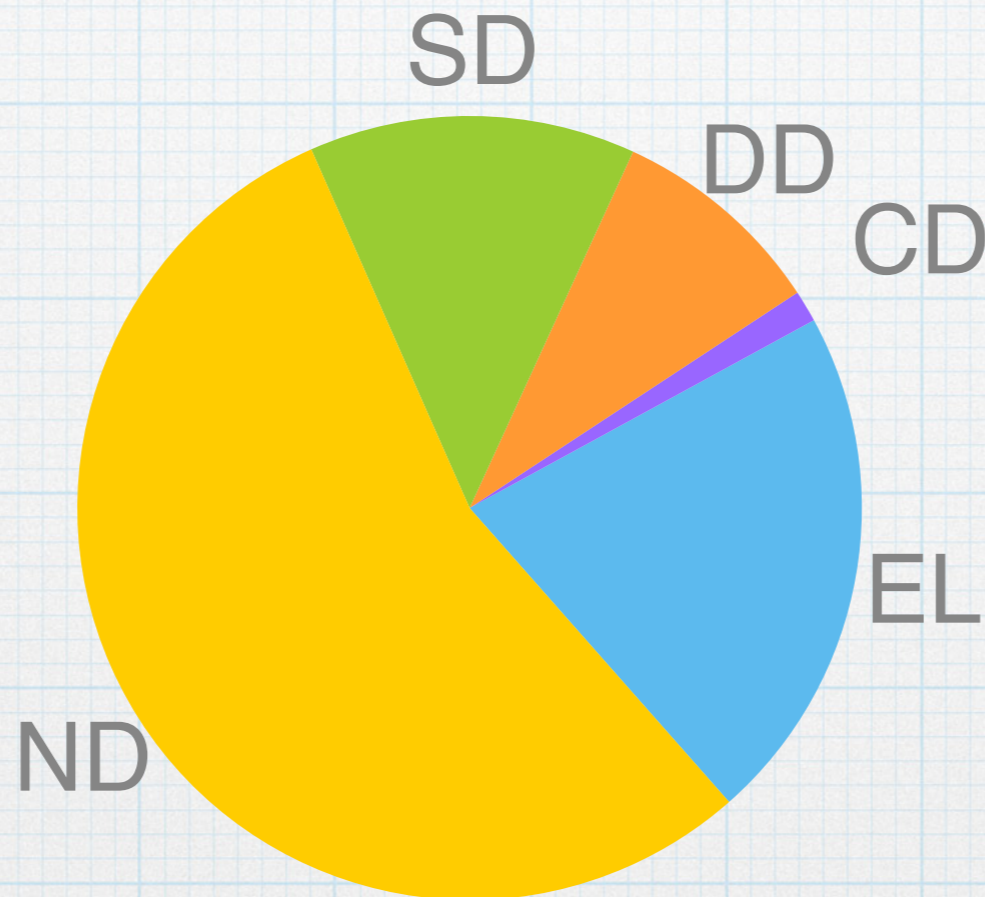
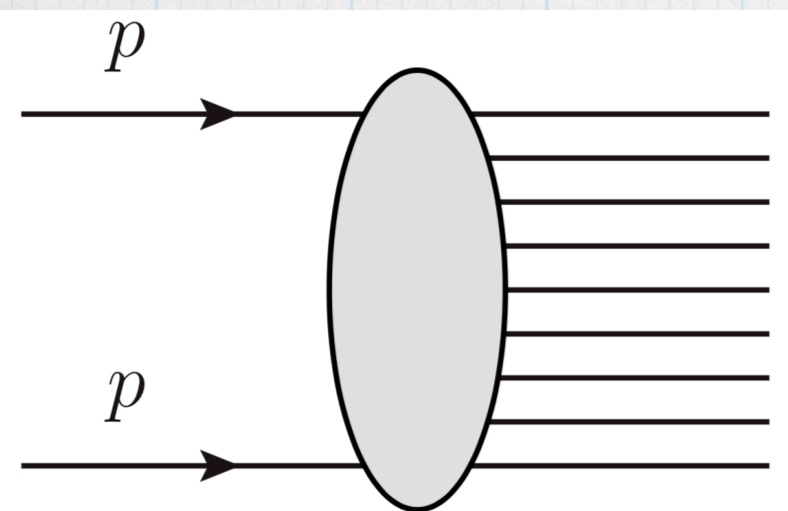
Measurement of differential cross sections for single diffractive dissociation in $\sqrt{s} = 8$ TeV pp collisions using the ATLAS ALFA spectrometer

Total pp cross section

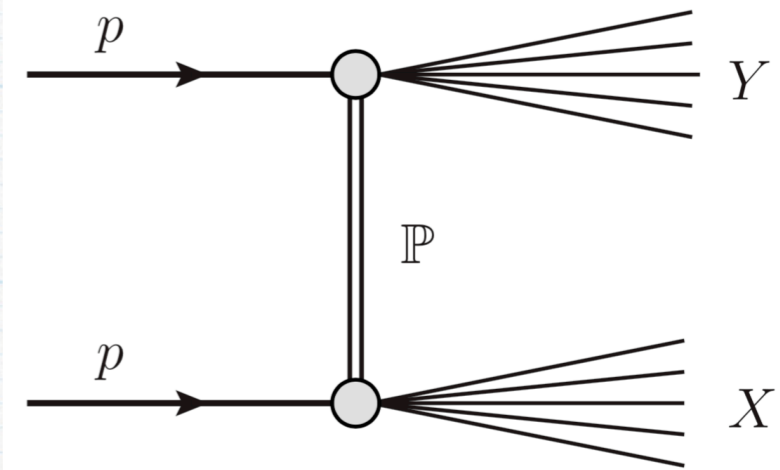
Single Diffraction



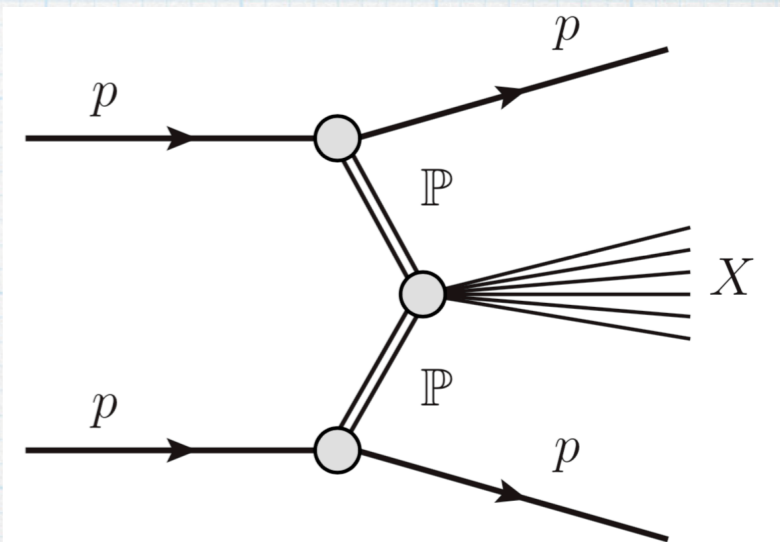
Non-diffraction



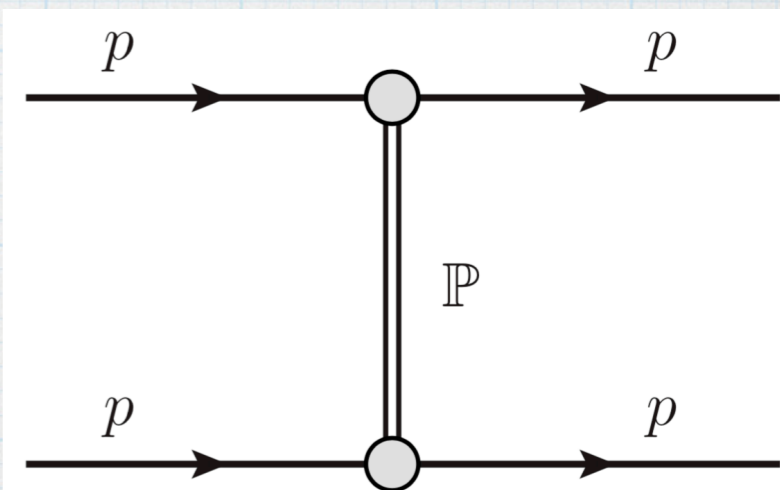
Double Diffraction



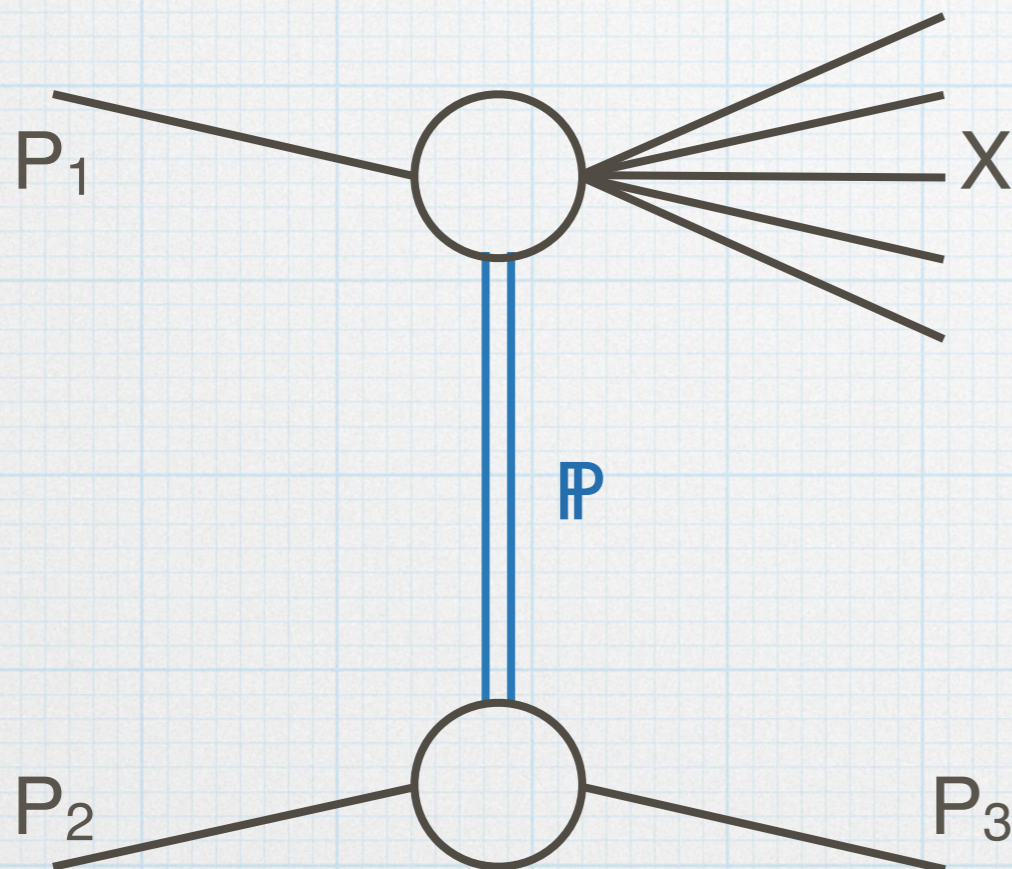
Central Diffraction



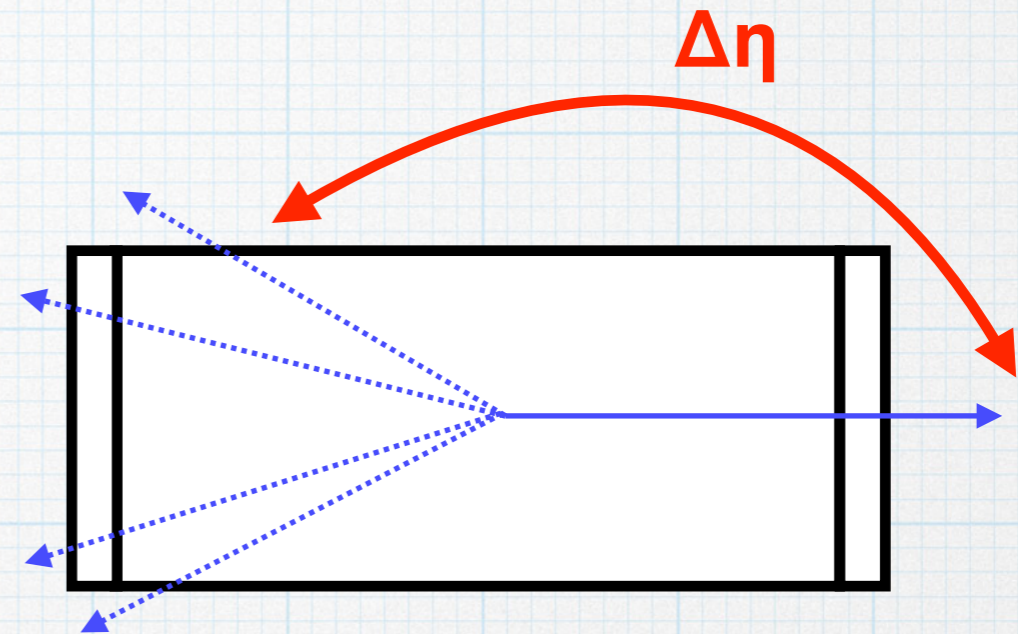
Elastic



Analysis variables



Rapidity gap size



Fractional energy loss of proton

$$\xi = 1 - \frac{E_3}{E_2} = \frac{M_X^2}{s}$$

$$\xi_{EPz} \approx \frac{\sum_i (E^i \pm p_z^i)}{\sqrt{s}}$$

Mandelstam t exchange

$$t = (p_3 - p_2)^2$$

$$t \approx -p_T^2$$

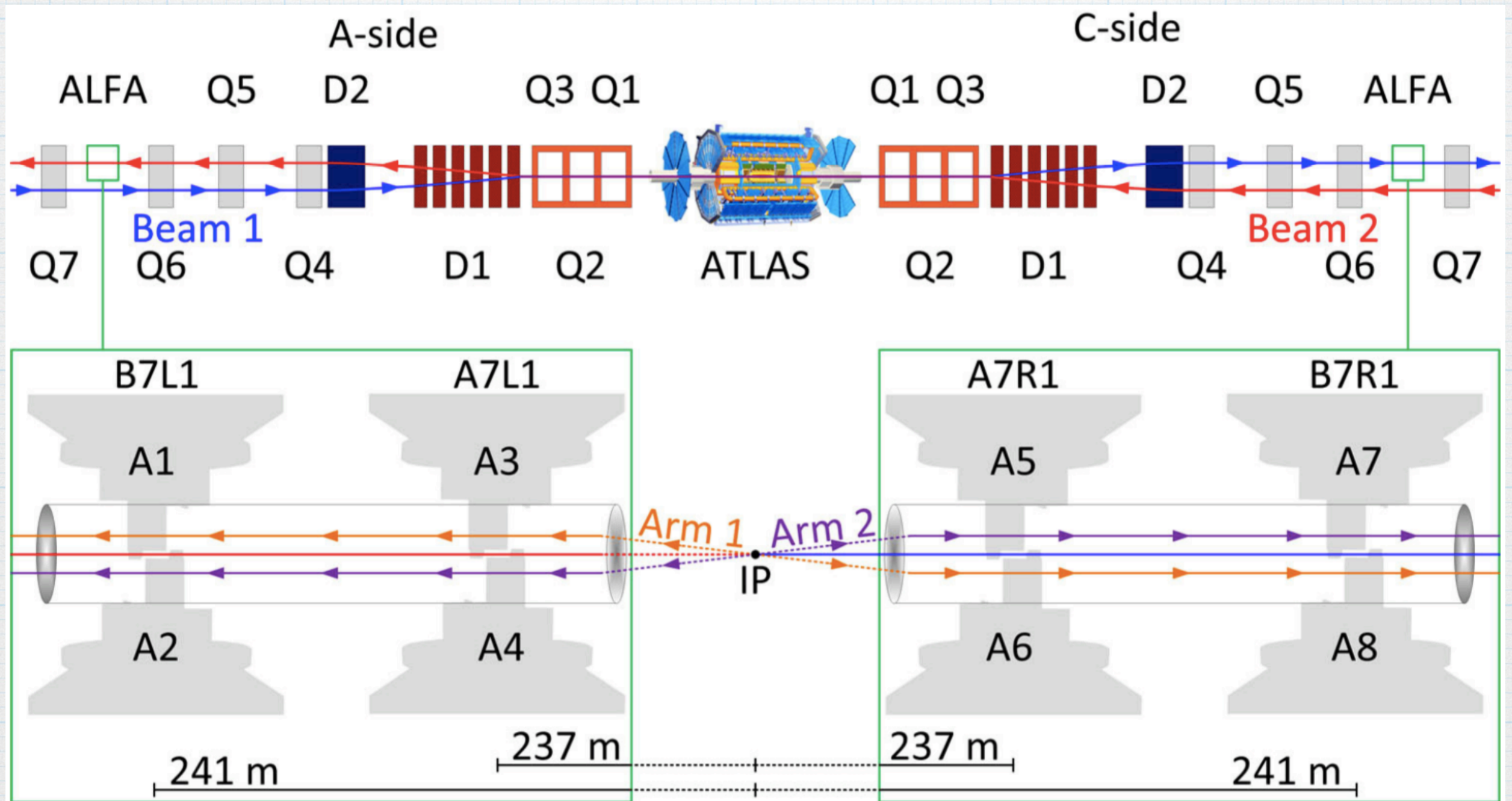
ALFA

Use requires:

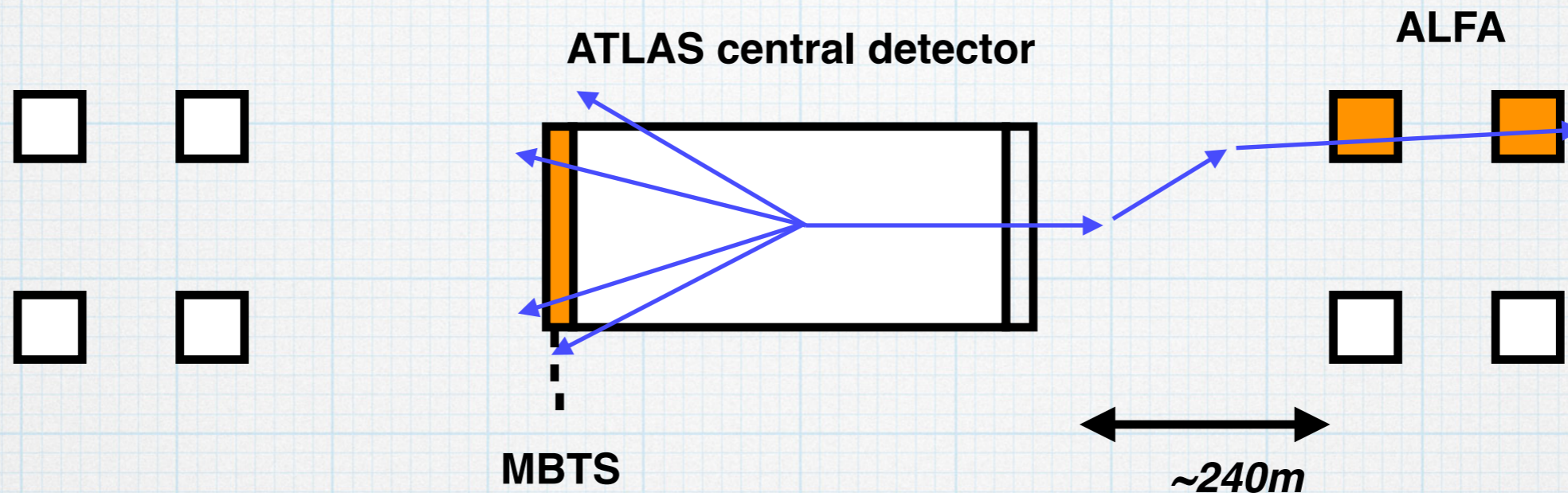
- High β^*
- Low luminosity & pile-up

For the data in this analysis, $\beta^* = 90\text{m}$ & $\mu = 0.08$

Same run as used by elastic cross-section analysis



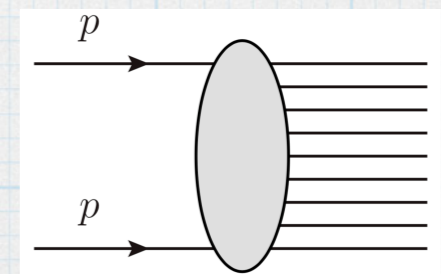
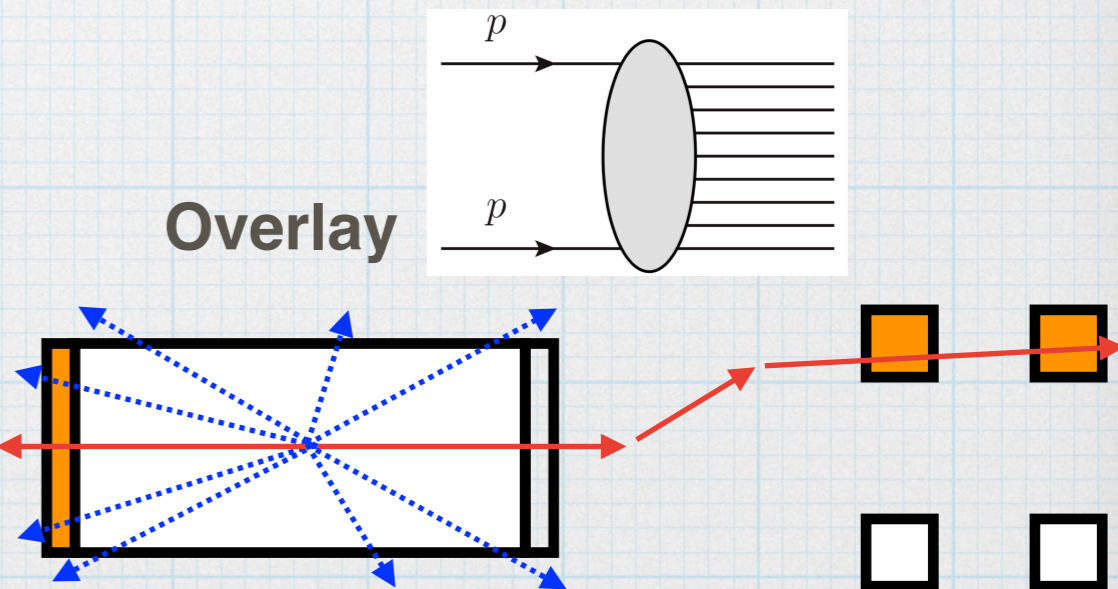
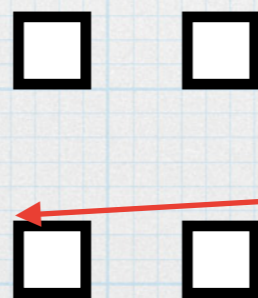
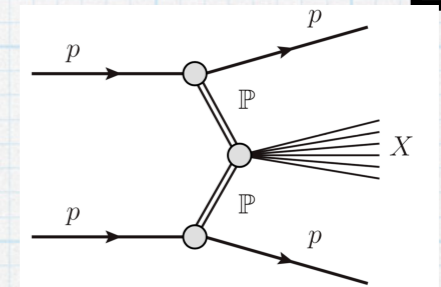
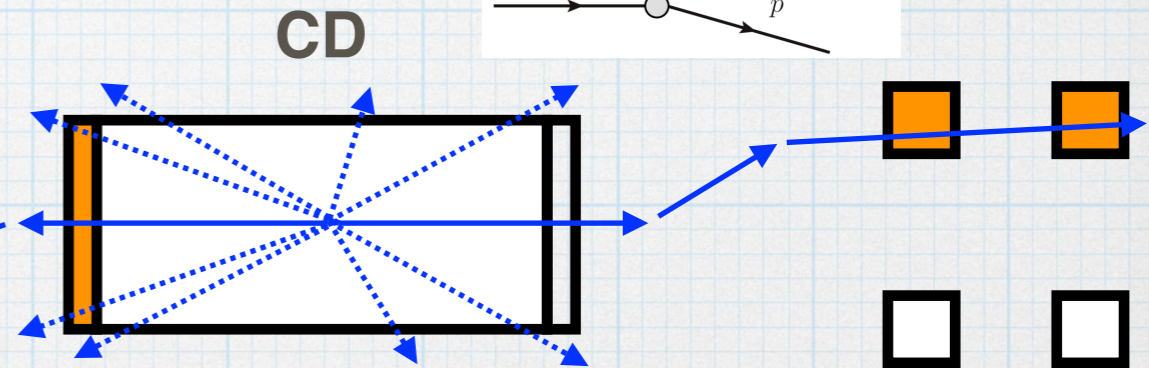
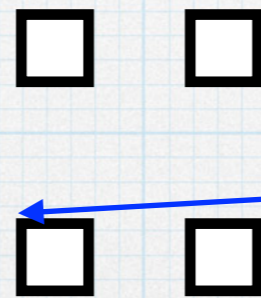
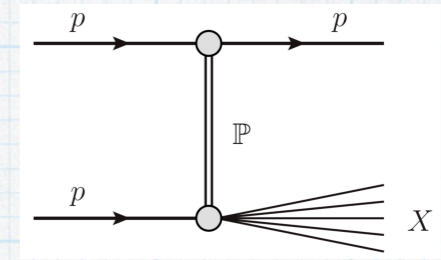
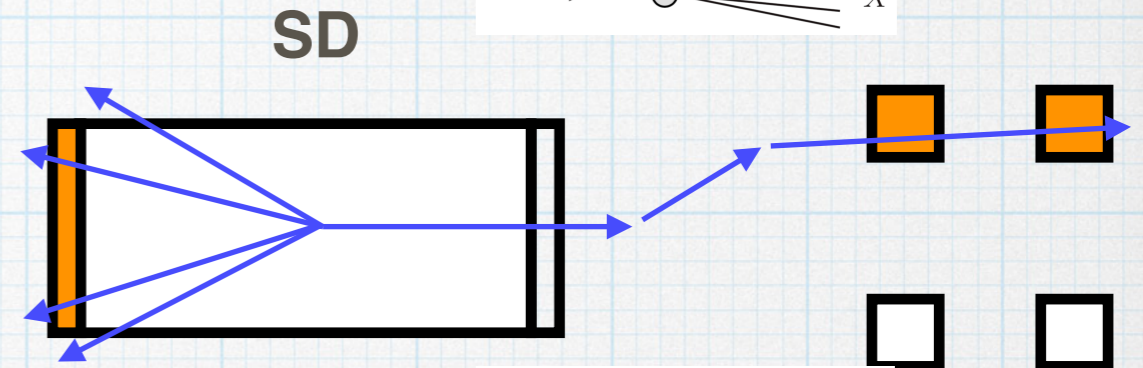
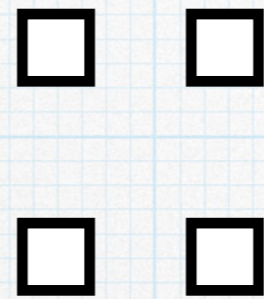
Event selection



- Online trigger
 - ALFA signal
 - MBTS on opposing side
- Offline selection:
 - Exactly one proton reconstructed in ALFA with additional selection
 - At least 5 MBTS counters above threshold
 - At least 1 ID track with $P_T > 200$ MeV
 - Only one reconstructed vertex

Backgrounds

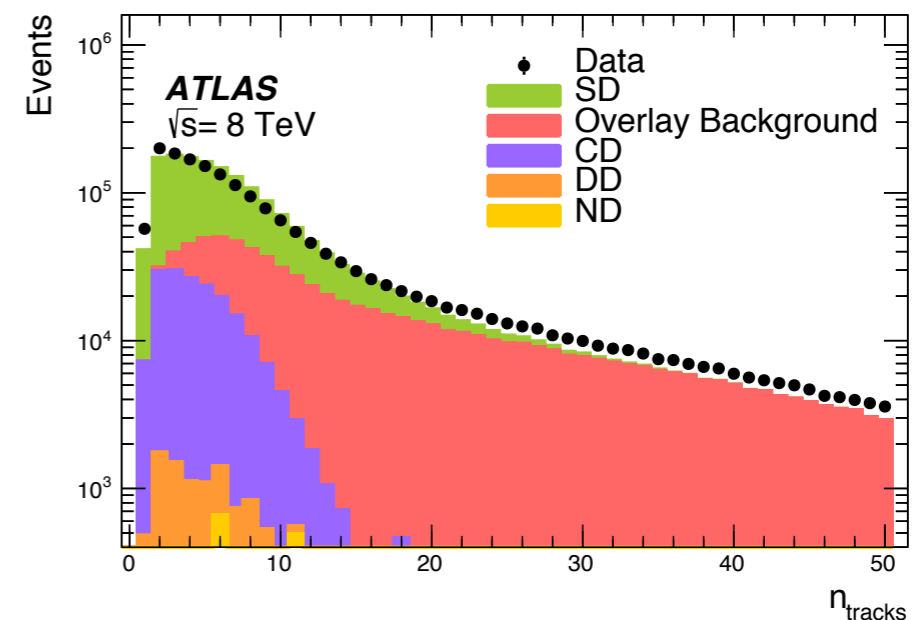
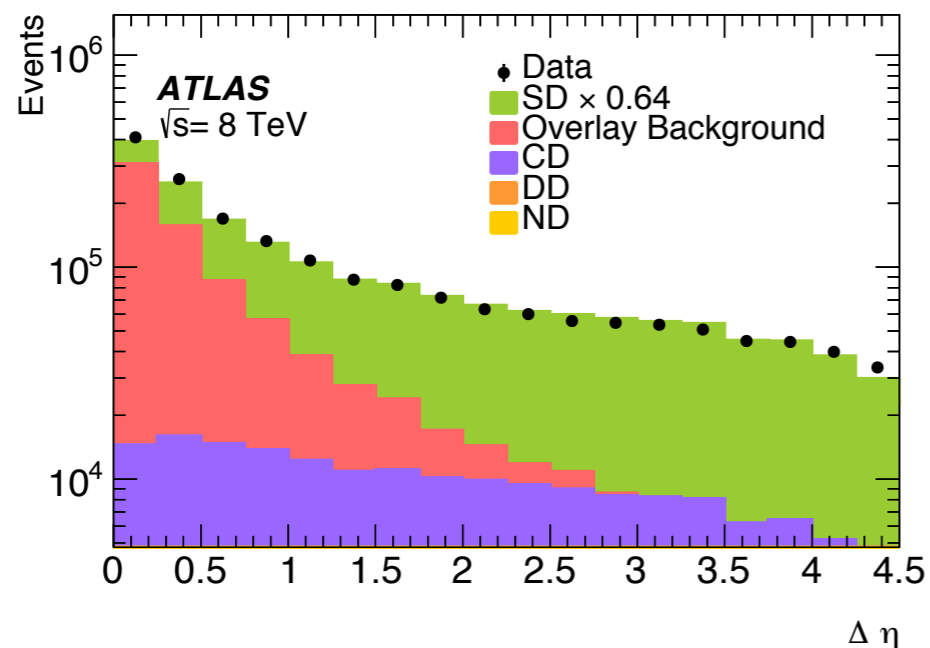
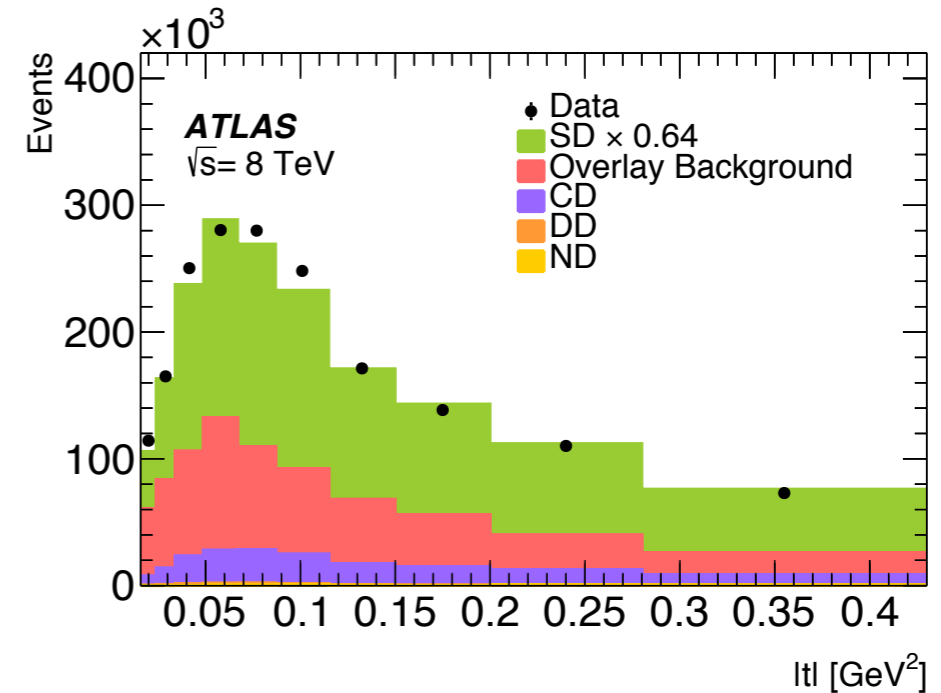
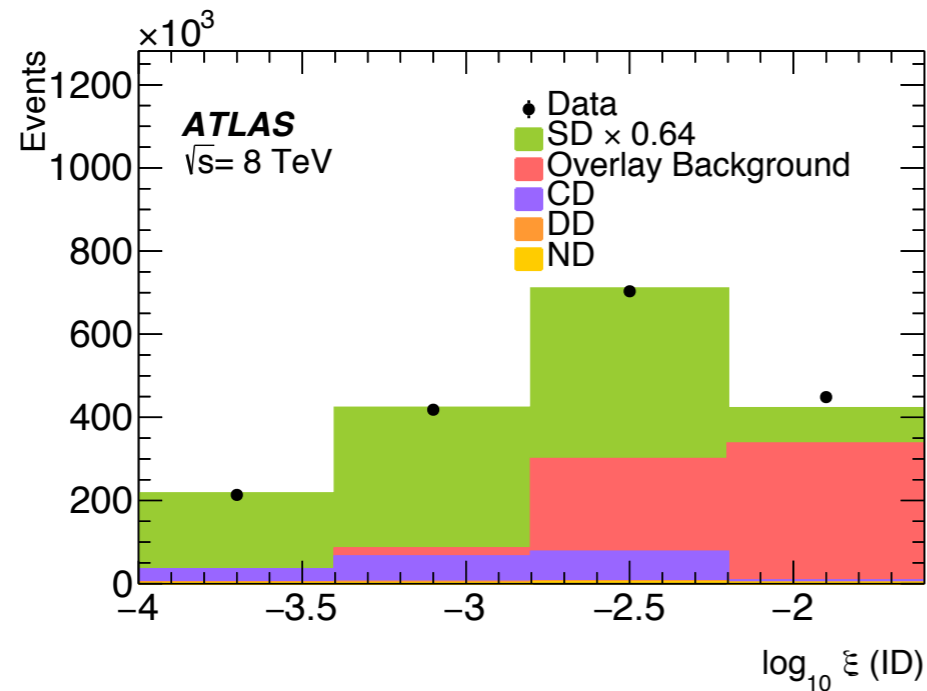
- Single source backgrounds are small
 - CD biggest contribution
- ‘Overlay background’ is largest background
 - Due to uncorrelated **ALFA activity (beam halo)** and **pile-up events**
 - Estimated through data-driven model & ND-enriched sample
 - Provides t distributions
 - Provides normalisation to ξ & $\Delta\eta$ MC distributions
- Performance of models assessed using control regions



Reconstructed level distributions

Nominal selection with σ_{SD} scaled by 0.64

Background subtracted from data before iterative Bayesian unfolding



Integrated σ_{SD}

- Cross section integrated over fiducial region ($0.016 < |t| < 0.43 \text{ GeV}^2$, $-4.0 < \log_{10} \xi < -1.6$):

$$\sigma_{SD}(\xi, t \text{ fiducial}) = 1.59 \pm 0.13 \text{ mb}$$

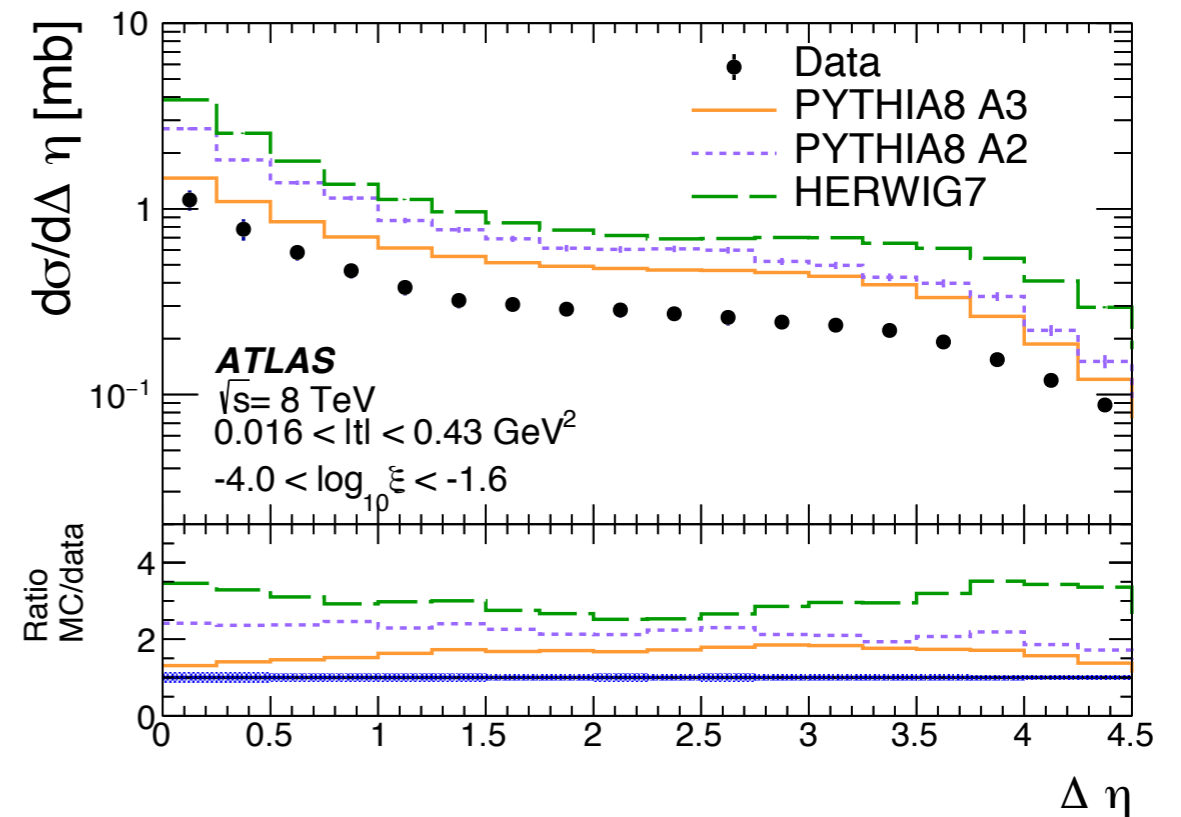
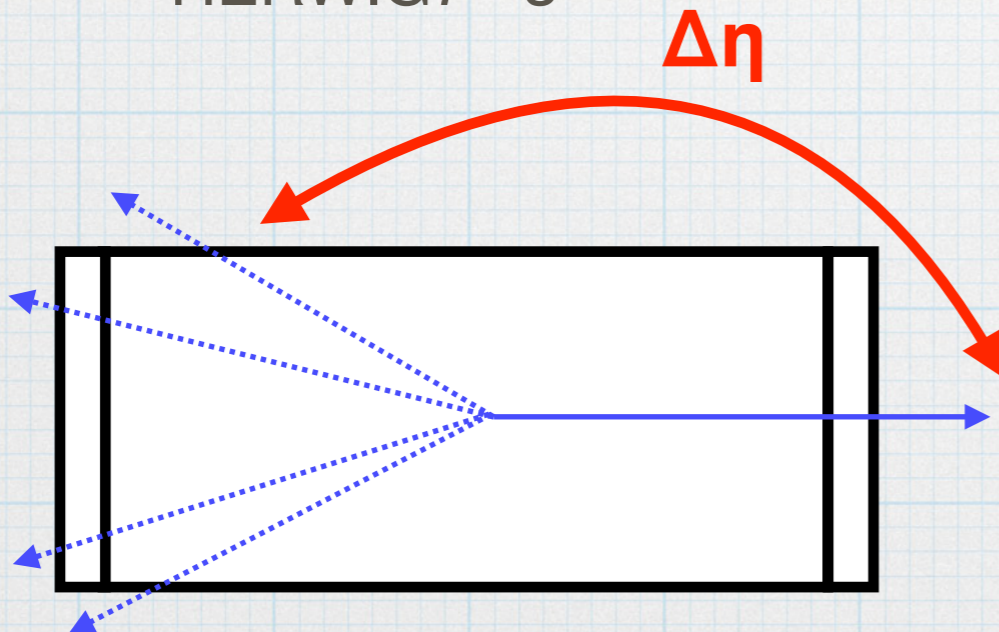
- Small extrapolation (factor 1.18) for $0 < |t| < 0.016 \text{ GeV}^2$ and $0.43 \text{ GeV}^2 < |t| < \infty$ yields integrated σ for $-4.0 < \log_{10} \xi < -1.6$:

$$\sigma_{SD}(\xi \text{ fiducial}) = 1.88 \pm 0.15 \text{ mb}$$

Distribution	$\sigma_{SD}^{\text{fiducial}(\xi, t)}$ [mb]	$\sigma_{SD}^{t\text{-extrap}}$ [mb]
Data	1.59 ± 0.13	1.88 ± 0.15
PYTHIA8 A2 (Schuler–Sjöstrand)	3.69	4.35
PYTHIA8 A3 (Donnachie–Landshoff)	2.52	2.98
HERWIG7	4.96	6.11

Unfolded $\Delta\eta$

- Gap defined by charged particles with $P_T > 200$ MeV within $|\eta| < 2.5$
- Cross-section lower than predicted by MC:
 - PYTHIA8 A3 ~ 1.5
 - PYTHIA8 A2 ~ 2.3
 - HERWIG7 ~ 3



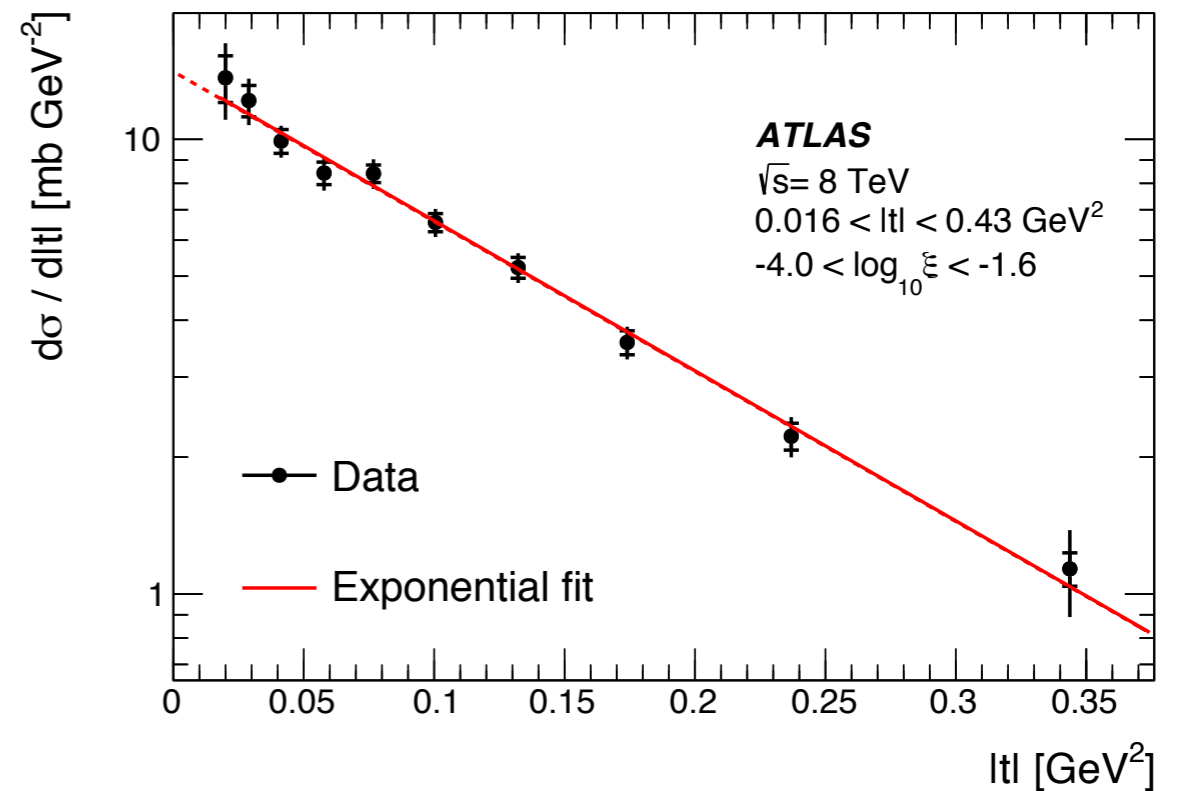
Unfolded $|t|$

- Data consistent with expected exponential form

$$\frac{d\sigma}{dt} = Ae^{Bt}$$

$$B = 7.65 \pm 0.26 \text{ (stat.)} \pm 0.22 \text{ (syst)} \text{ GeV}^{-2}$$

- Dominant uncertainty on B is from overlay background and statistics
- Compare with:
 - PYTHIA8 A2 B = 7.82 GeV⁻²
 - PYTHIA8 A3 B = 7.10 GeV⁻²



Unfolded ξ

- Follows $d\sigma/d\xi \propto 1/\xi$ approximate relationship

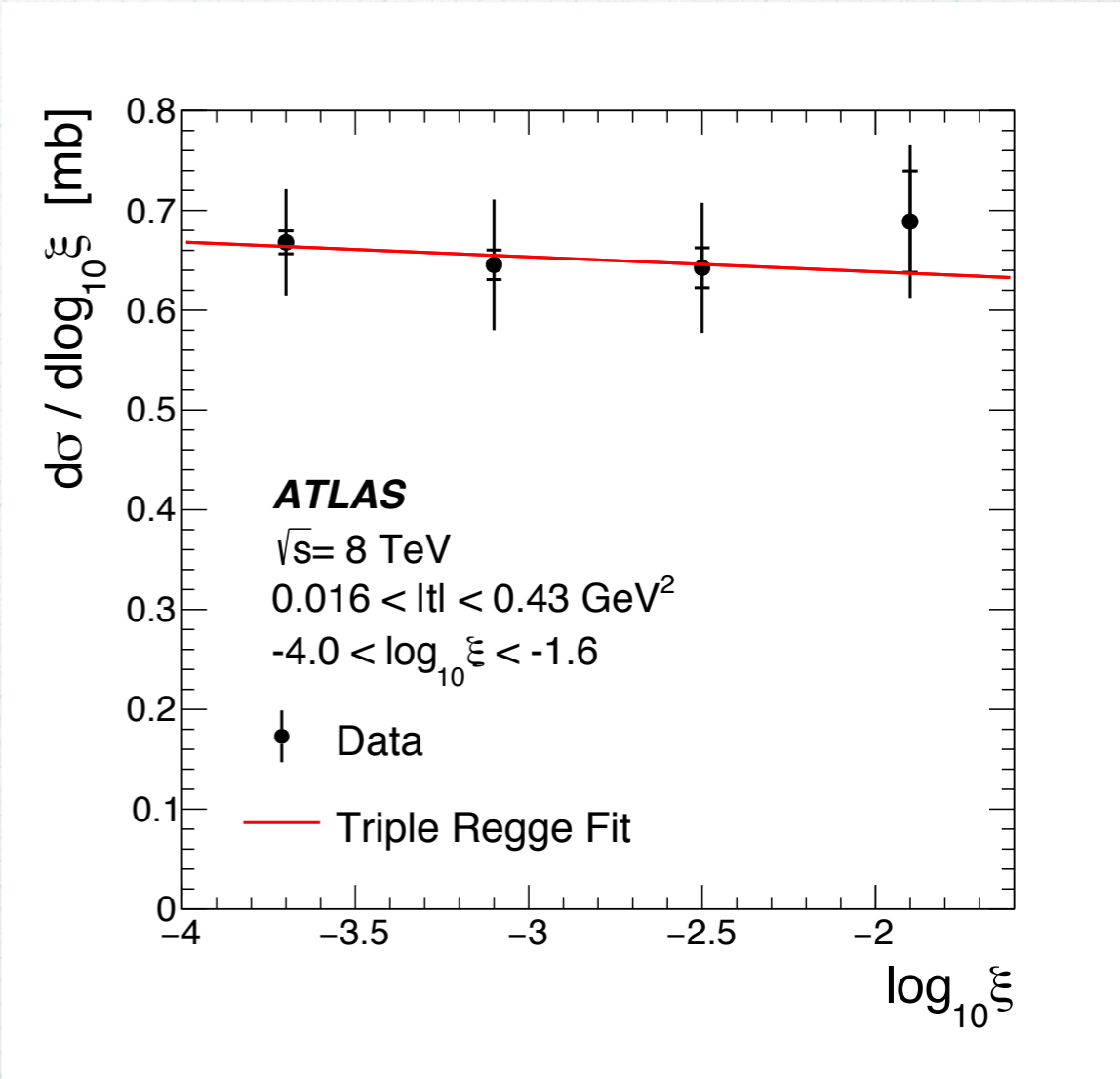
- Also interpreted in triple Pomeron model with

$$\frac{d\sigma_{SD}}{d\log_{10}\xi} \propto \left(\frac{1}{\xi}\right)^{\alpha(0)-1} \frac{1}{B} \left(e^{Bt_{high}} - e^{Bt_{low}} \right)$$

- $B = B_0 - 2\alpha' \ln\xi$
- Fixed B_0
- $\alpha(t) = \alpha(0) + \alpha' t$

$$\alpha(0) = 1.07 \pm 0.02 \text{ (stat.)} \pm 0.06 \text{ (syst)} \pm 0.06 \text{ (}\alpha'\text{)}$$

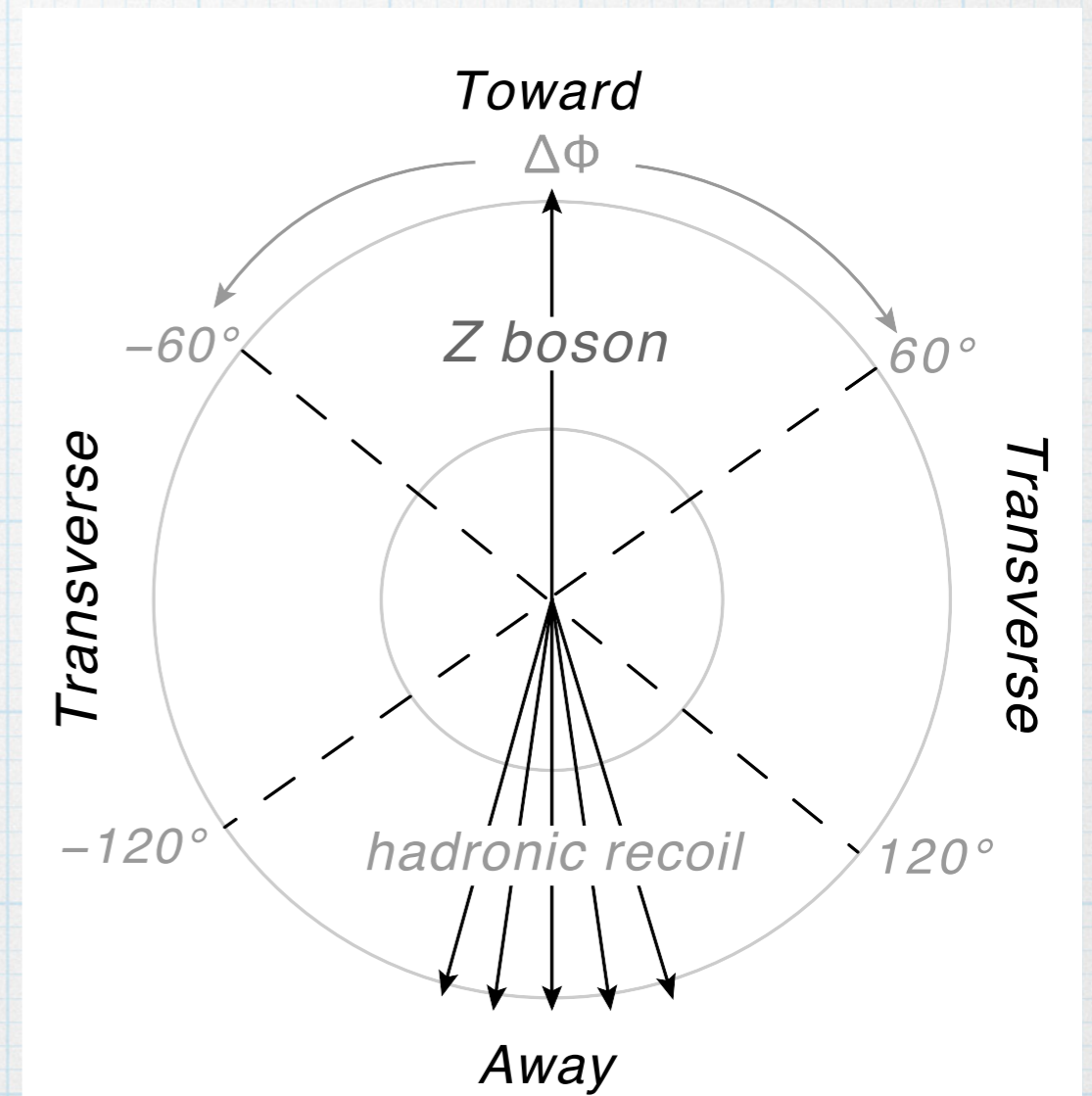
- Dominant uncertainty is from extrapolation from lower \sqrt{s} when using $\alpha' = 0.25 \pm 0.25 \text{ GeV}^{-2}$
- Compare with:
 - PYTHIA8 A2 $\alpha(0) = 1.00$
 - PYTHIA8 A3 $\alpha(0) = 1.14$
- Data compatible with CMS result



Measurement of distributions sensitive to the underlying event in inclusive Z boson production in pp collisions at $\sqrt{s} = 13$ TeV with the ATLAS detector

The Underlying Event

- Activity accompanying the hard scatter
- The UE:
 - Can be in the soft QCD regime
 - Is not distinguishable from the hard scatter on an event-by-event basis
 - Can be probed using topological observable
 - Can include contributions from additional hard parton scatters (MPI)
- Using Drell-yan $z \rightarrow \mu\mu$ events, the transverse regions should have little activity from the hard scatter
 - Particularly in *trans-min*, the region with the smaller (scalar) Σp_T

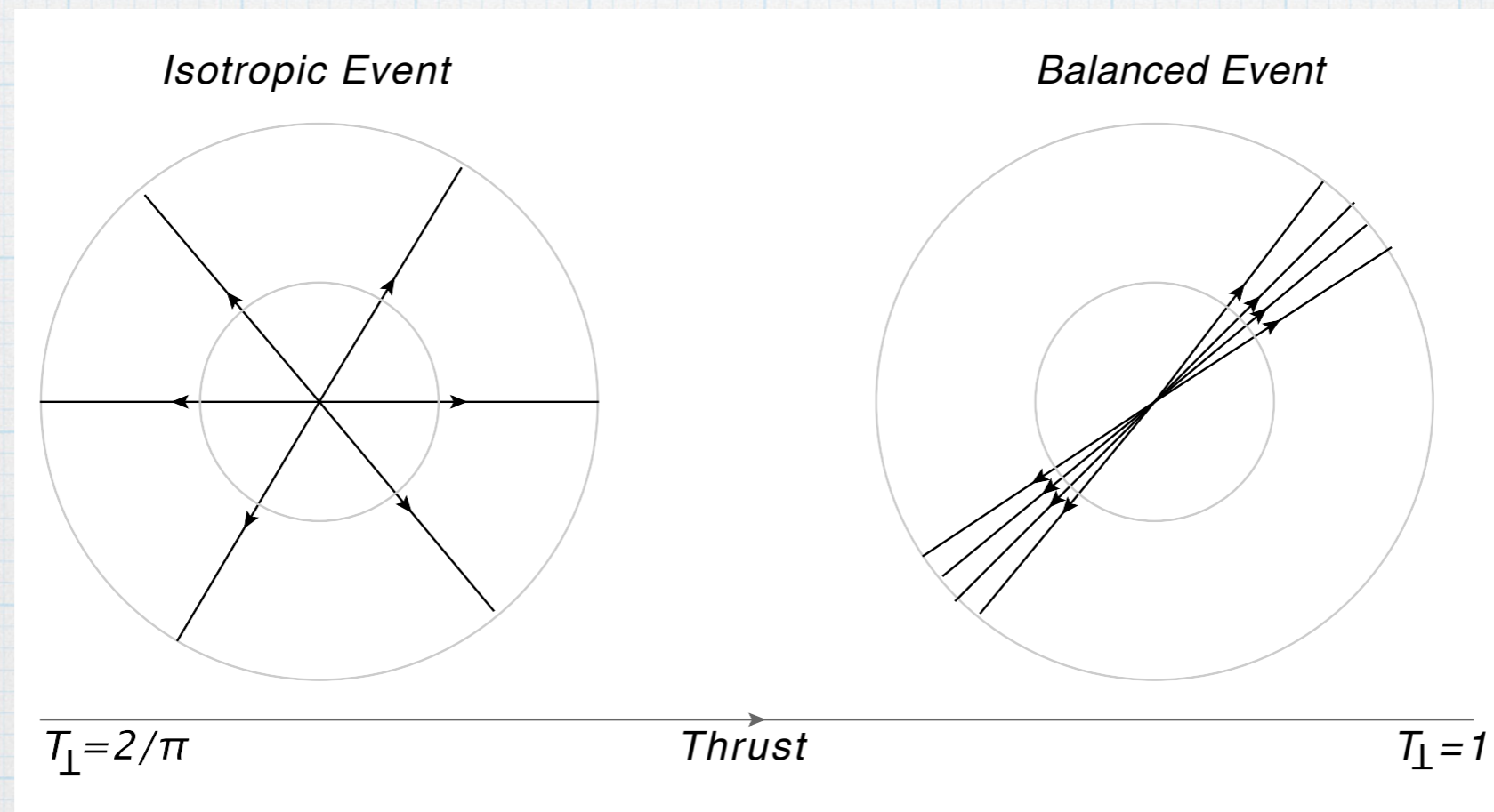


Transverse thrust

- Transverse thrust describes the event topology

$$T_{\perp} = \frac{\sum_i |p_{T,i}^{\vec{}} \cdot \hat{n}|}{\sum_i |p_{T,i}^{\vec{}}|}$$

- \vec{n} is unit vector which maximises thrust
- Events with lower thrust are more sensitive to MPI
- Plots shown inclusive, ≤ 0.75 & ≥ 0.75



Analysis variables

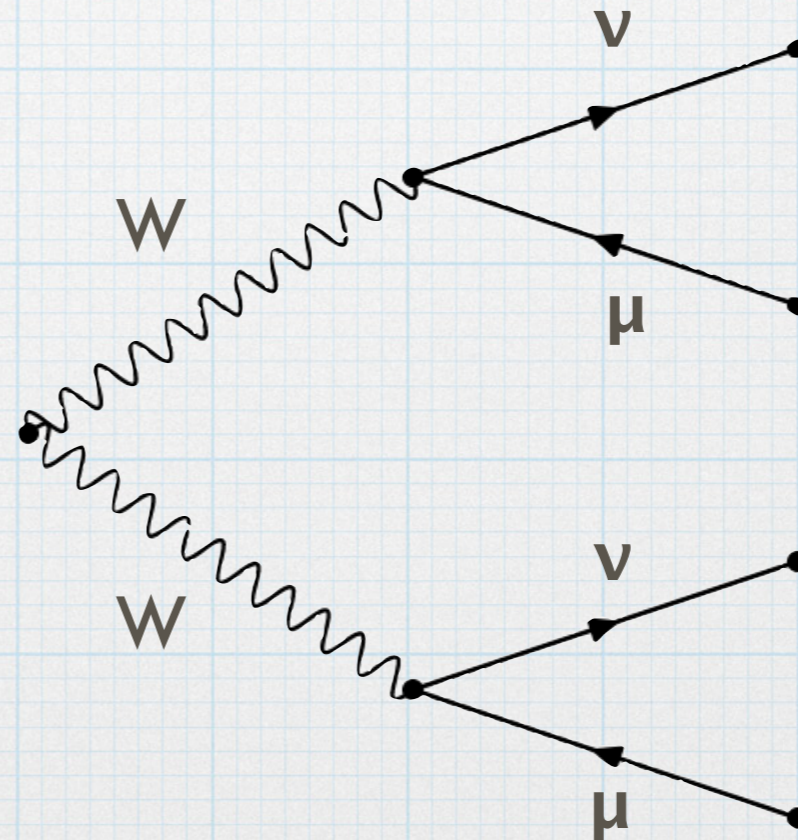
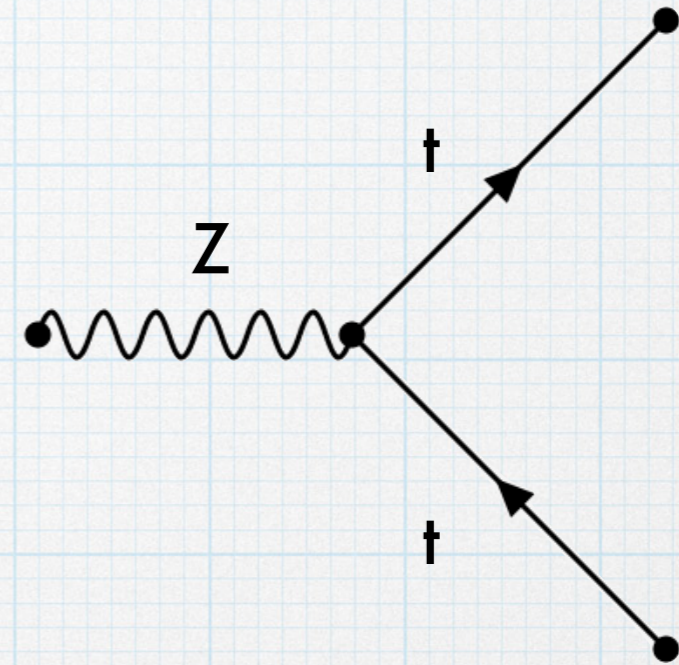
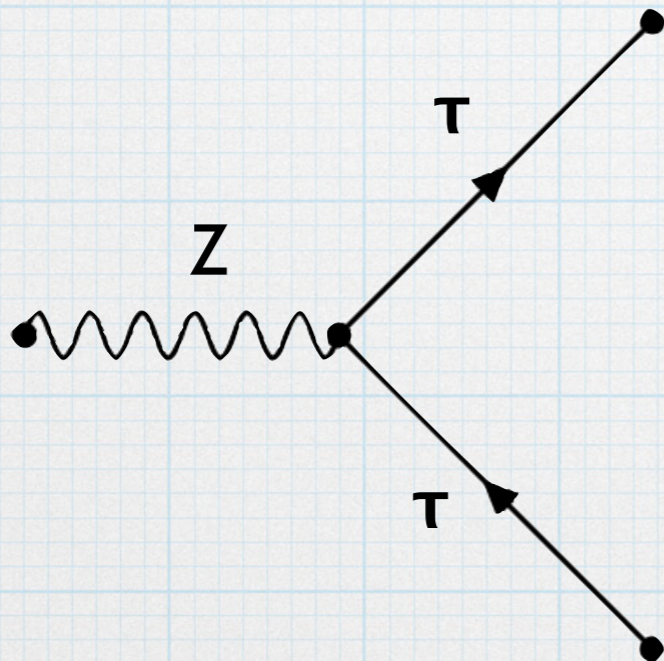
- Plots of:
 - Charged particle multiplicity
 - Scalar sum of transverse momenta
 - Mean transverse momentum of charged particles
 - Charged particle transverse momentum distribution (normalised)
- Separate regions of:
 - Thrust
 - P_T of Z boson
 - Transverse / toward regions

Event selection

- Online trigger, either:
 - Single high- p_T (> 40 GeV) muon
 - Single, isolated lower- p_T (> 20 GeV) muon
- Offline selection:
 - Primary vertex
 - Exactly 2 muons with $p_T > 25$ GeV & $|\eta| < 2.4$
 - Muons must be from PV & not from heavy quark decays
 - $66 \text{ GeV} < m_{\mu\mu} < 116 \text{ GeV}$ to reduce backgrounds
- Tracks:
 - $p_T > 0.5$ GeV & $|\eta| < 2.5$
 - Come from PV

Backgrounds

- Assessed using MC:
 - $Z \rightarrow \tau\tau$
 - $Z \rightarrow t\bar{t}$
 - $WW \rightarrow \mu\nu \mu\nu$
- Contribute to $\sim 0.7\%$ of data events

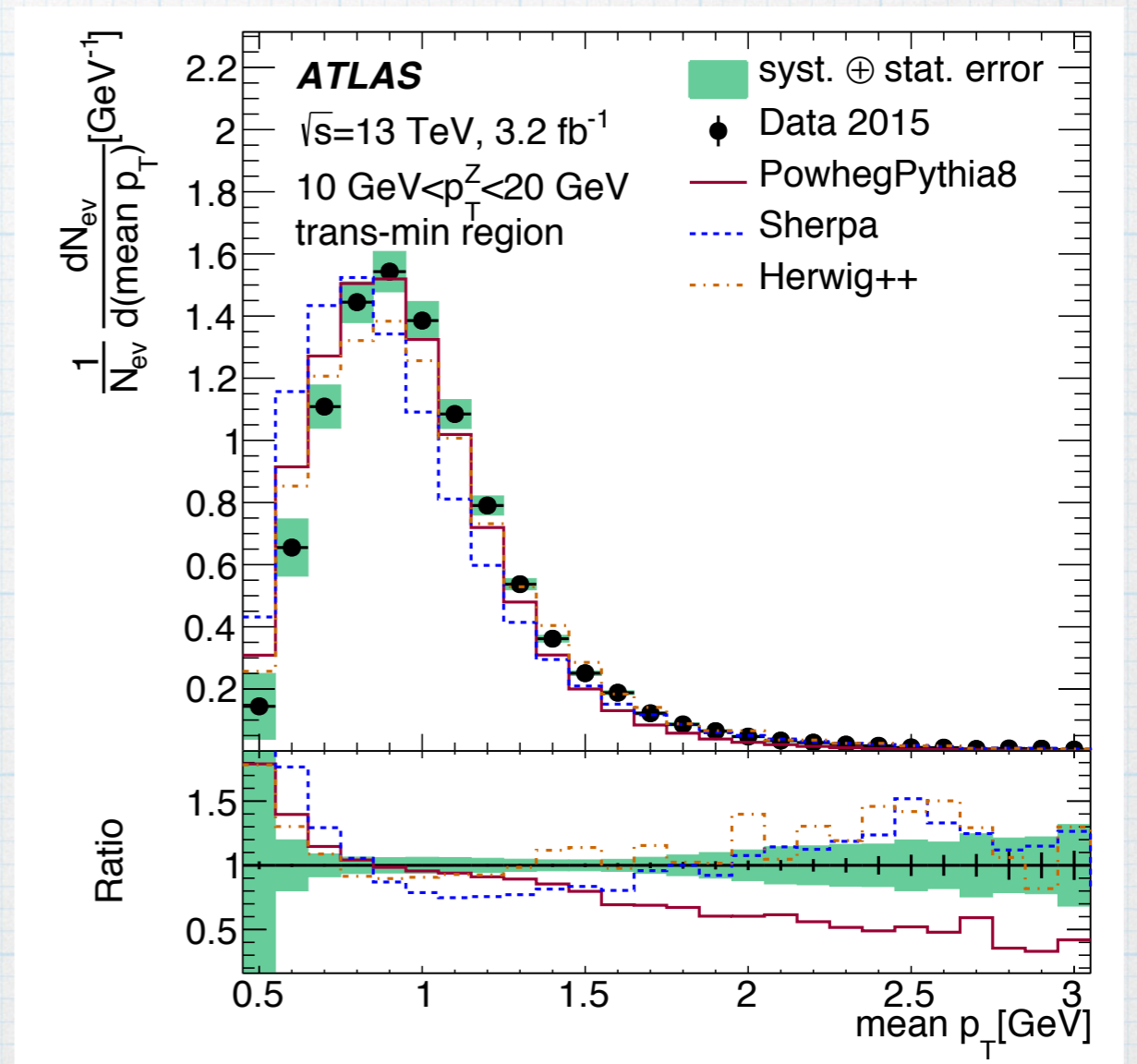
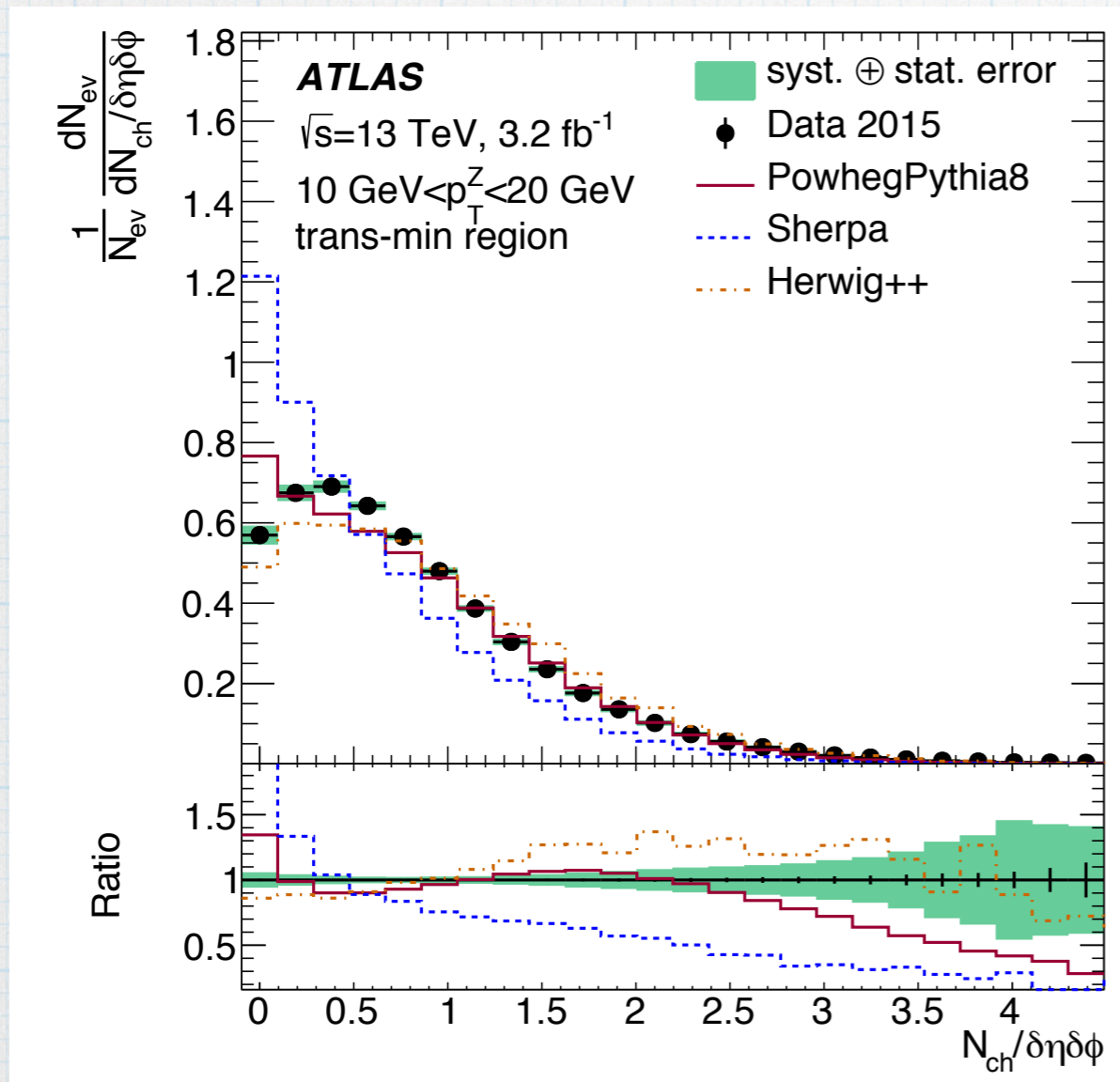


Differential distributions

All thrust

MC predicts lower number of charged particles

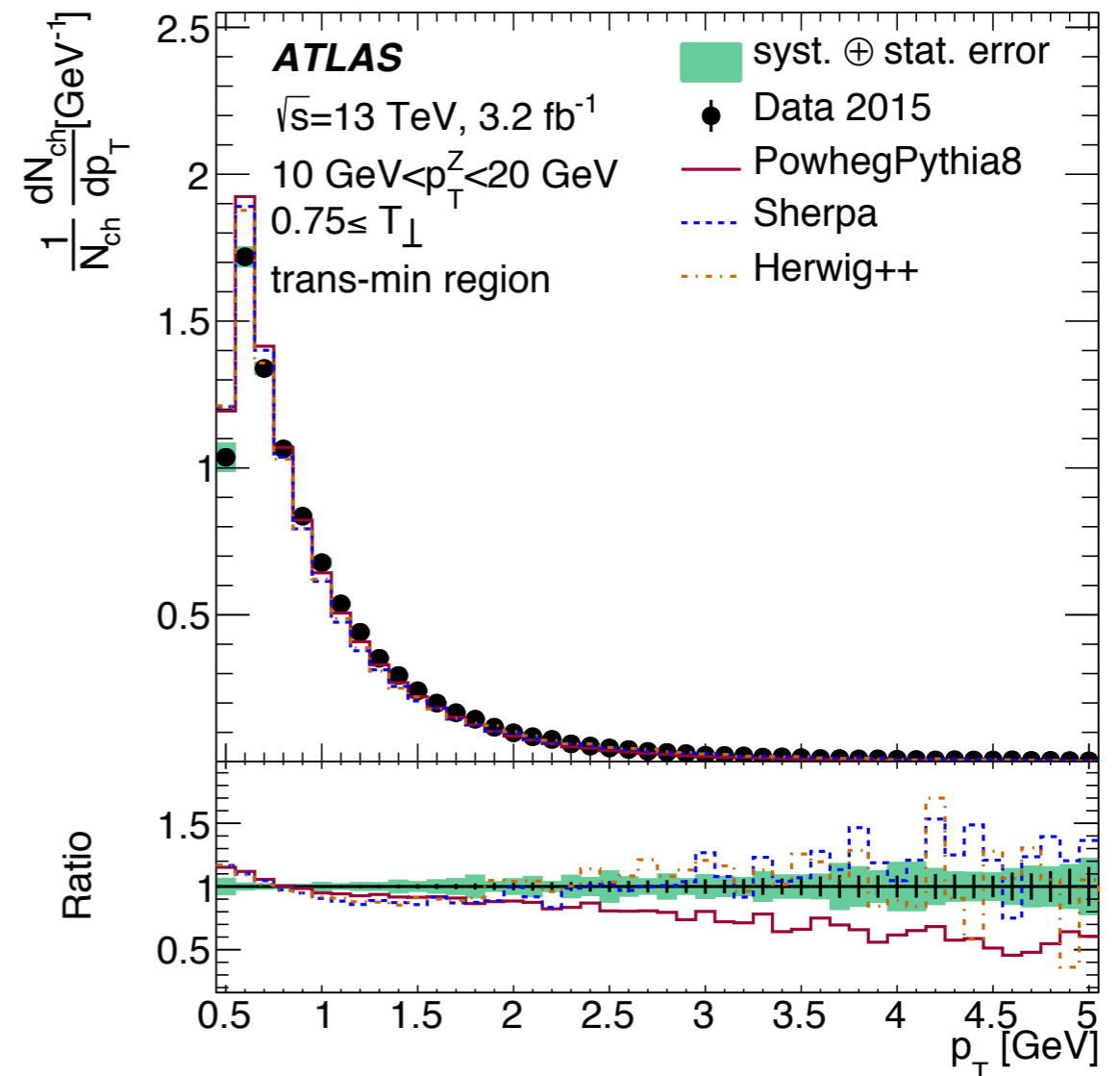
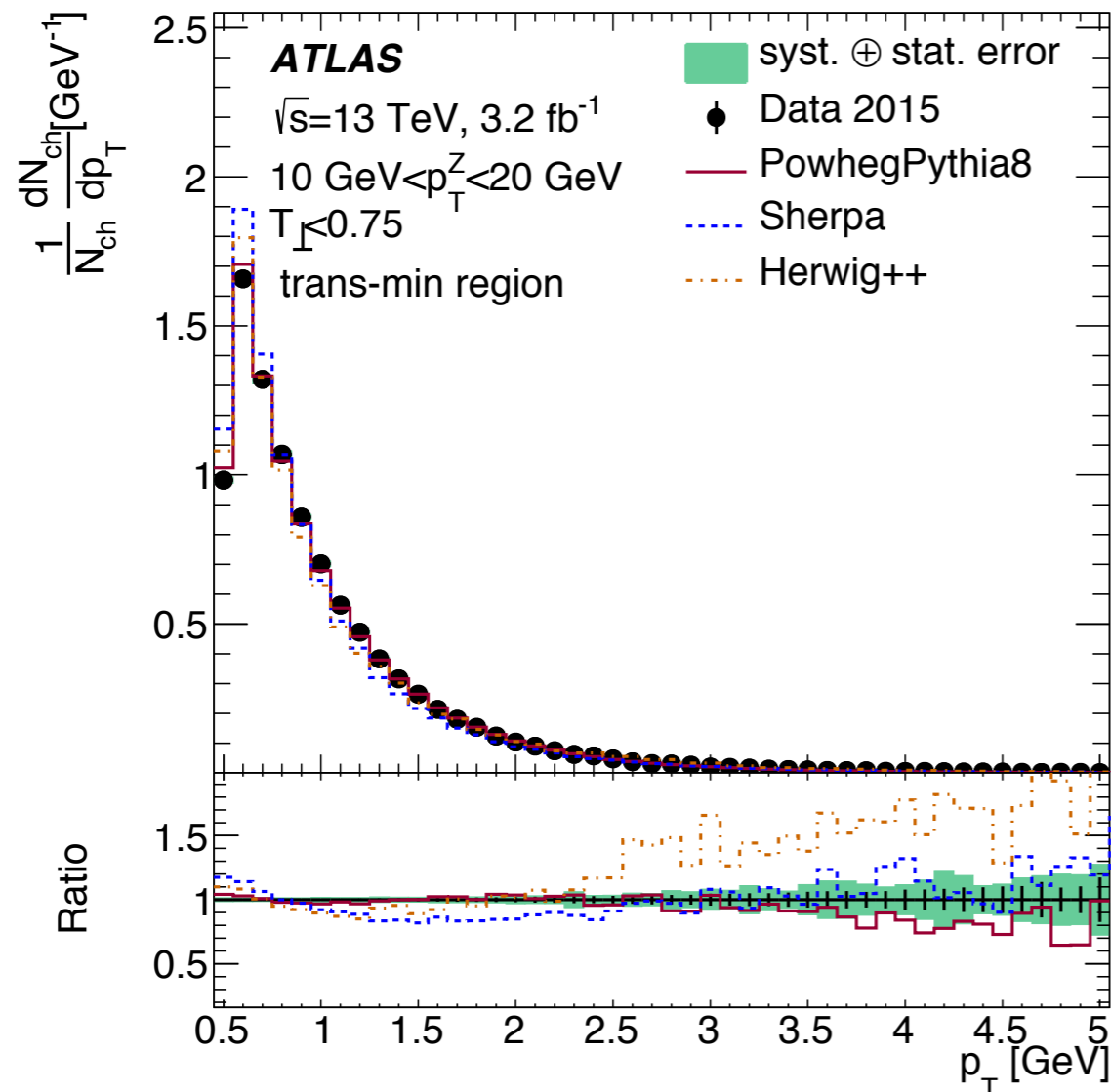
MC predicts lower values of mean P_T



Differential distributions

Different thrust

PowhegPythia8 shows good agreement at low thrust, but not at high
Sherpa & Herwig++ constantly across both thrust regions

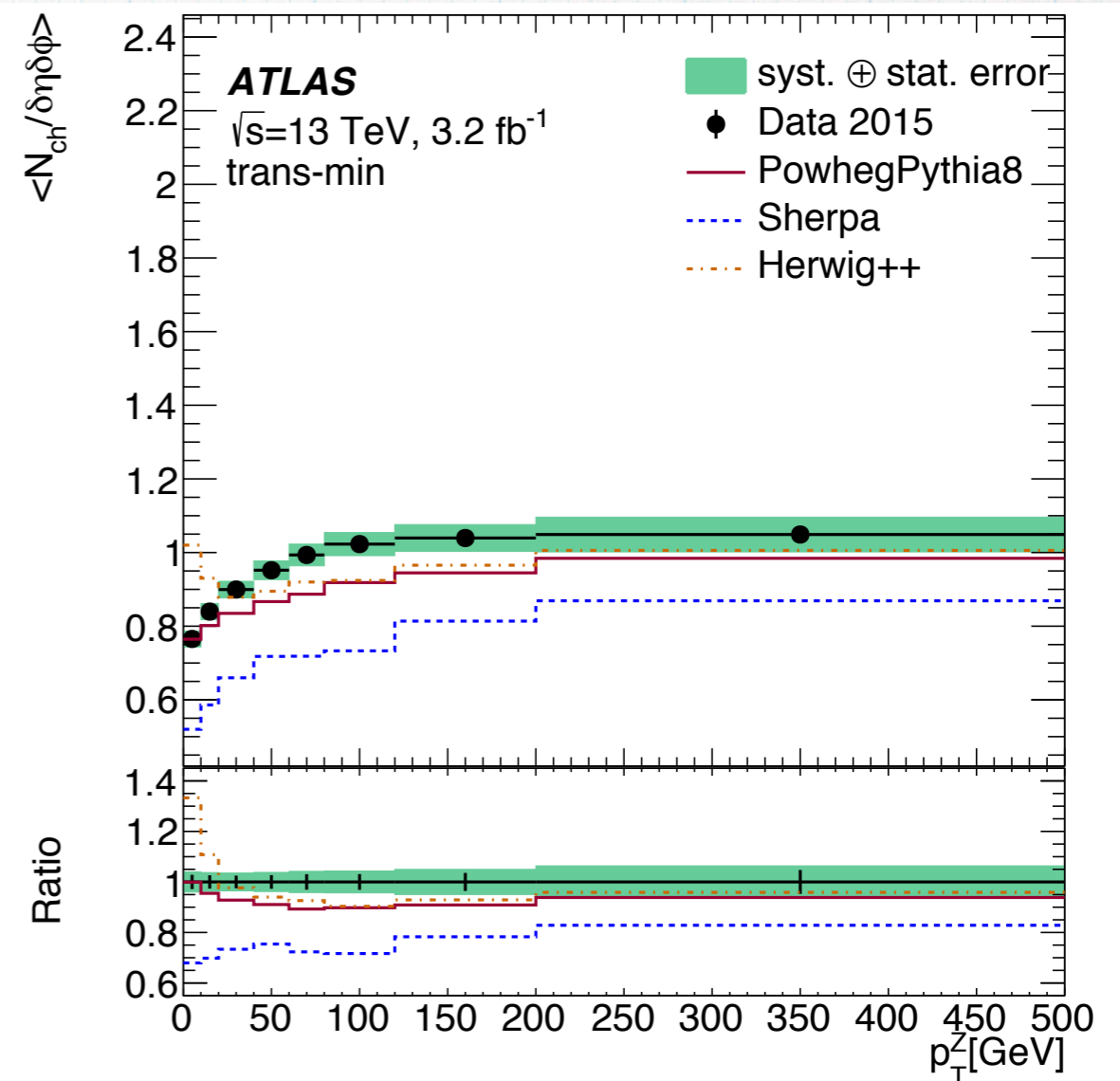
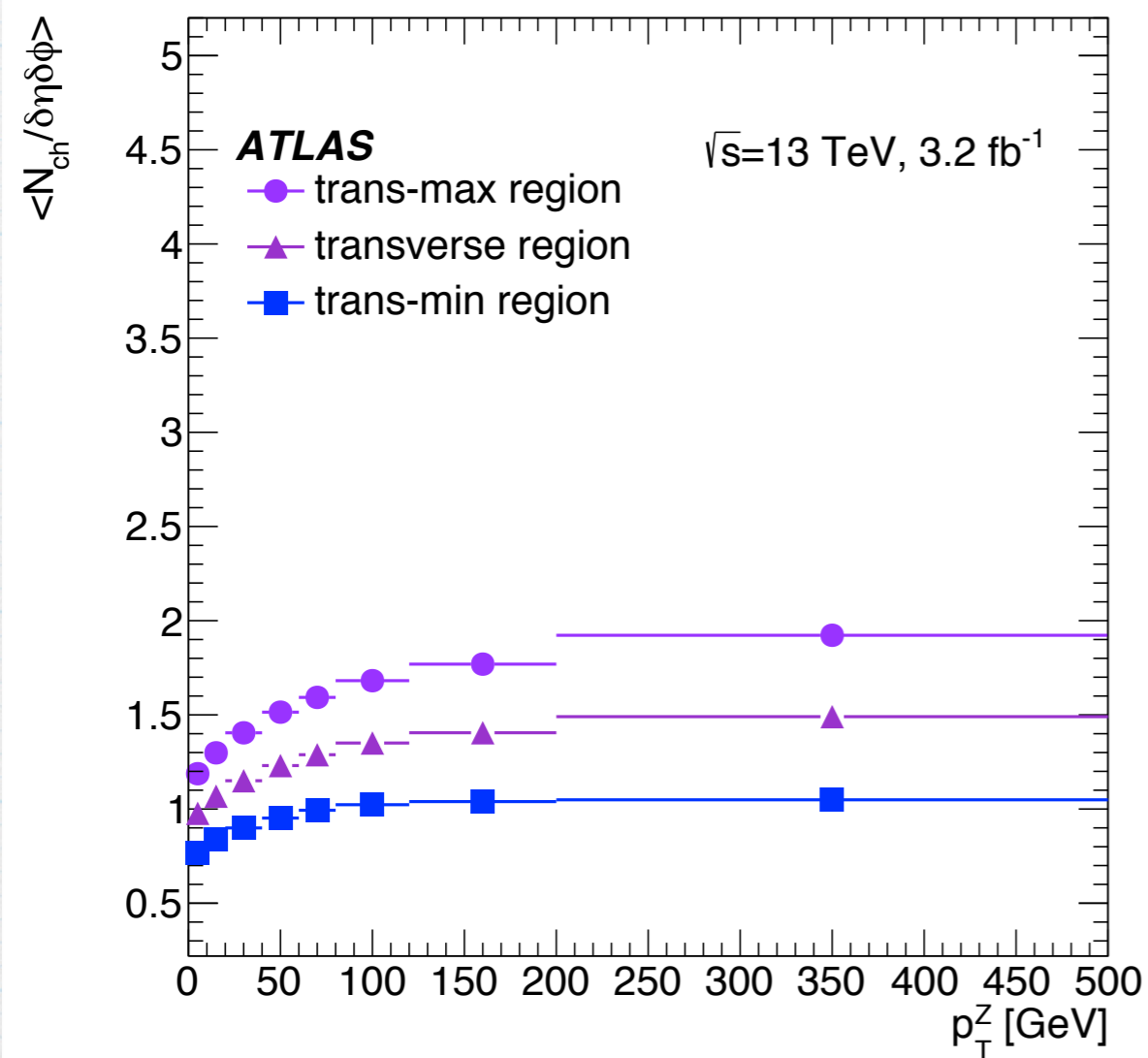


Underlying event activity as a function of p_T^z

Increased UE as a function of p_T of z boson

Less activity & dependance in trans-min region

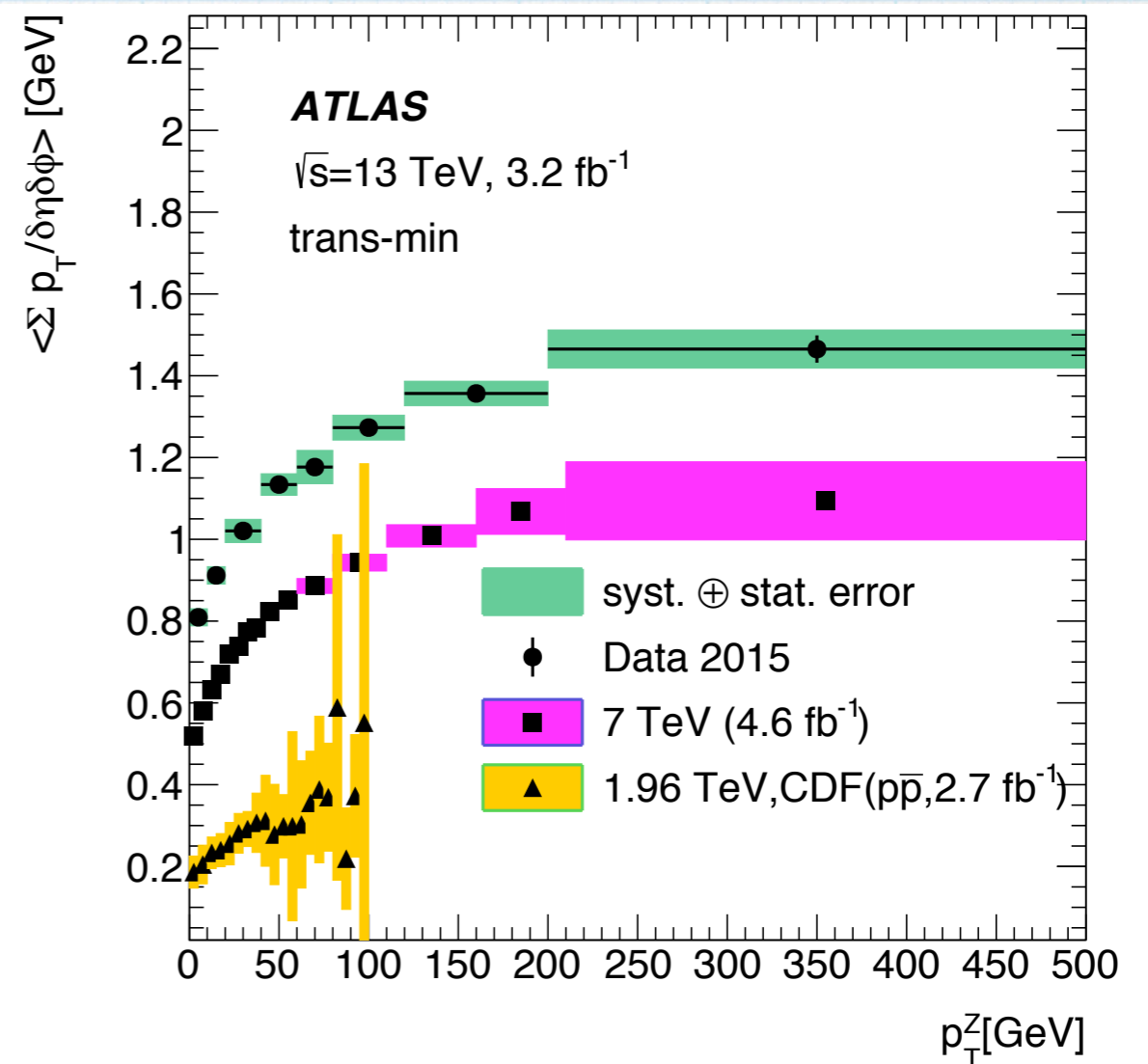
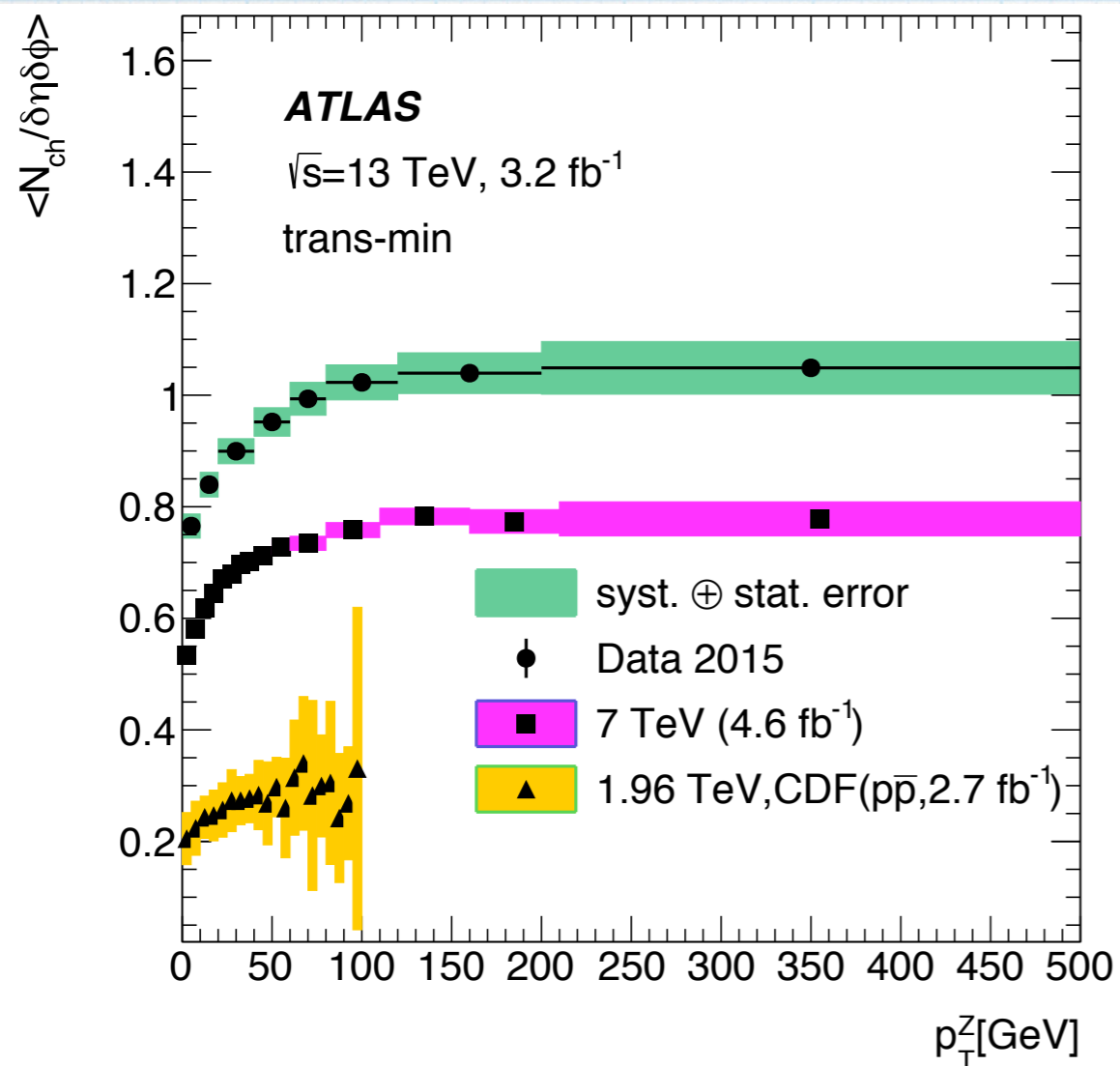
Under-prediction by MC, turn-on effect not shown by all



Comparison with other \sqrt{s}

Comparable, but slightly different, event selection

Growing UE activity with higher p_T^Z



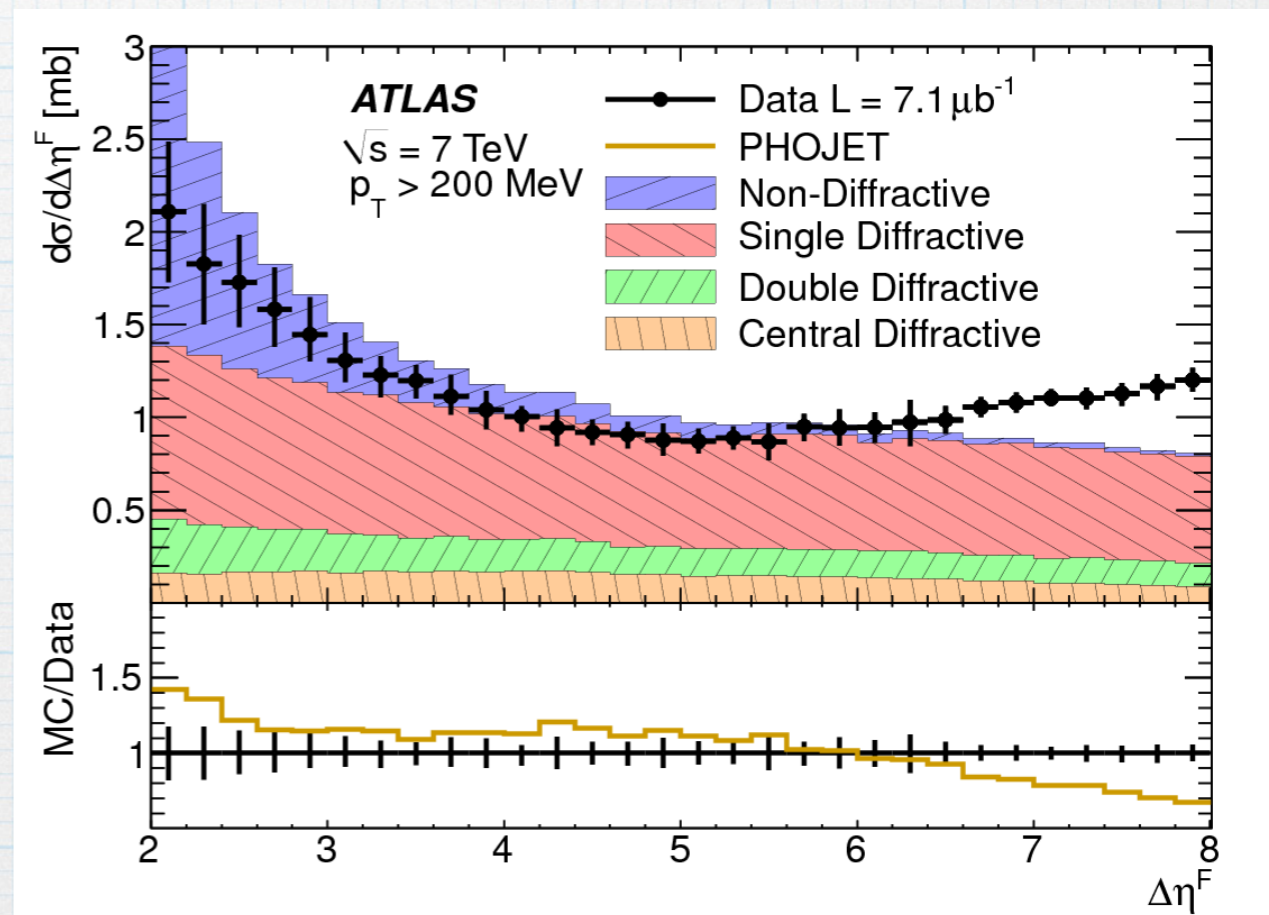
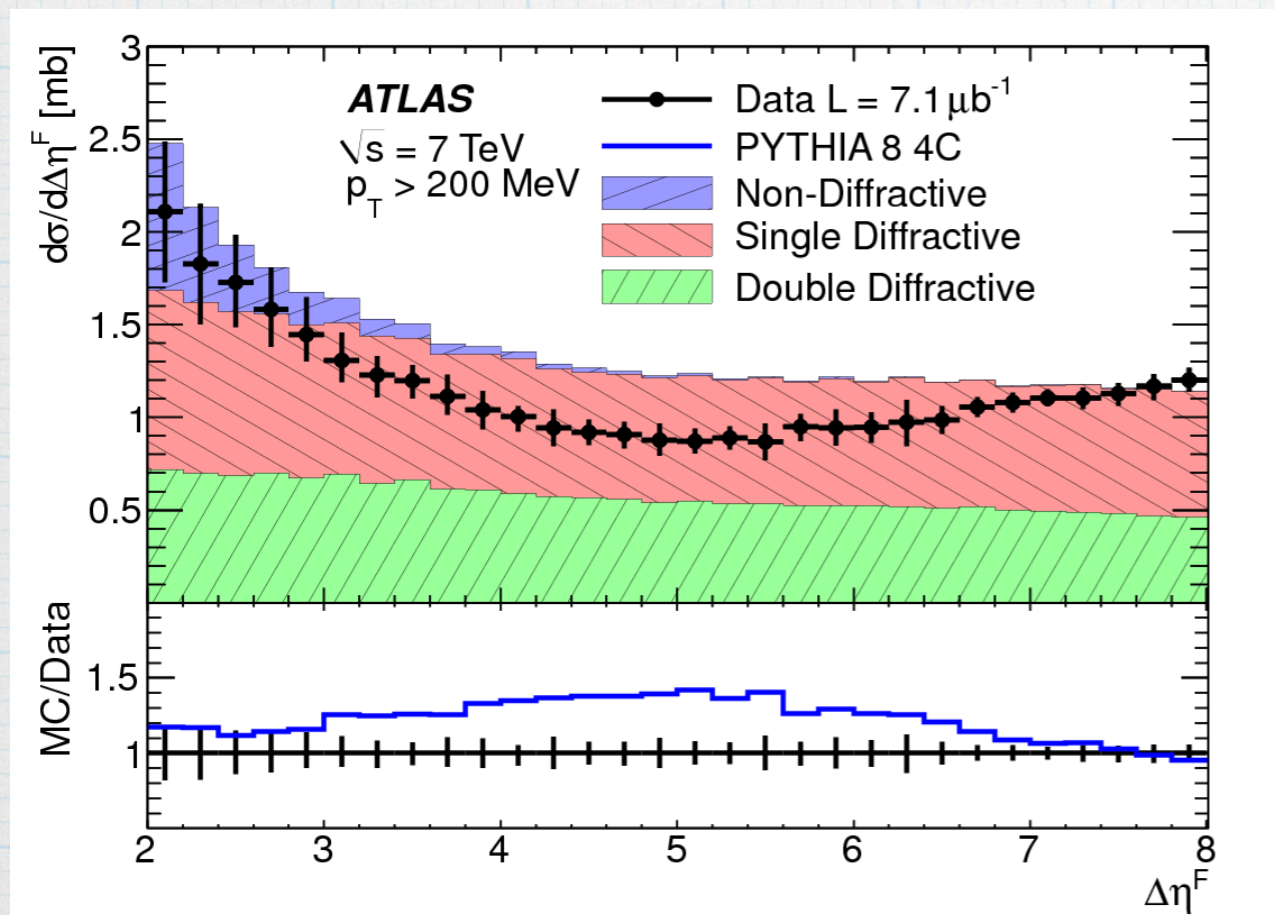
Summary

- **SD at $\sqrt{s} = 8$ TeV**
 - All tested generators significantly over predict the SD cross-section
 - B & $\alpha(0)$ are consistent with PYTHIA8
- **UE at $\sqrt{s} = 13$ TeV**
 - All tested generators show significant deviations with data for predicting UE activity
 - Herwig++ qualitatively performs best

Backup slides

Previous ATLAS result

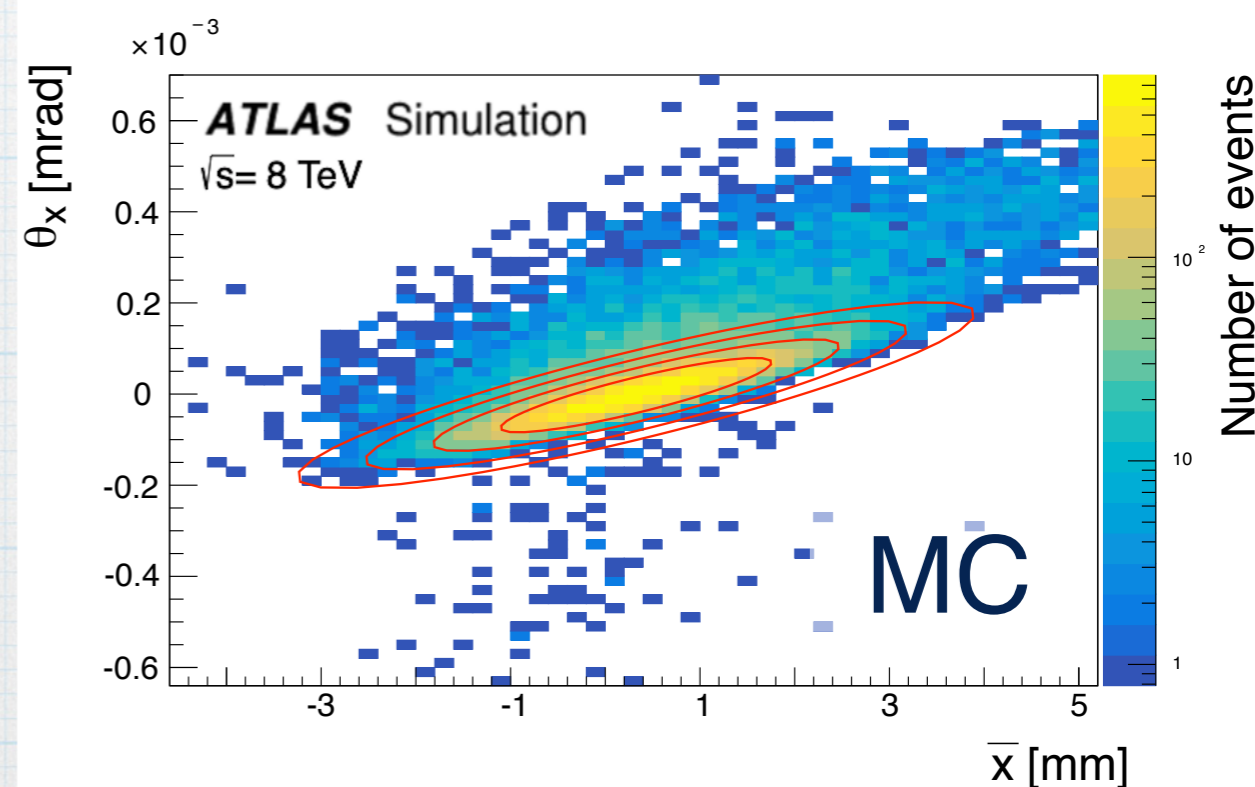
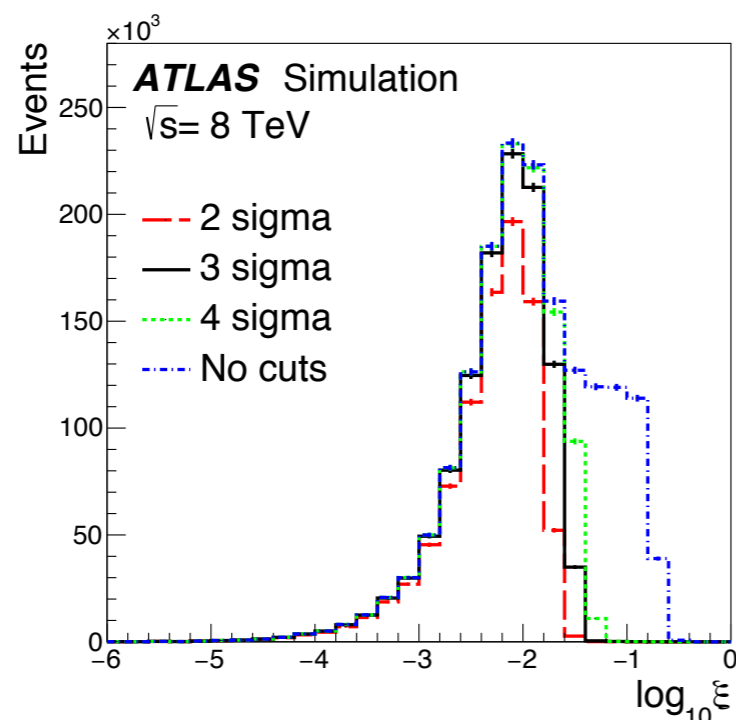
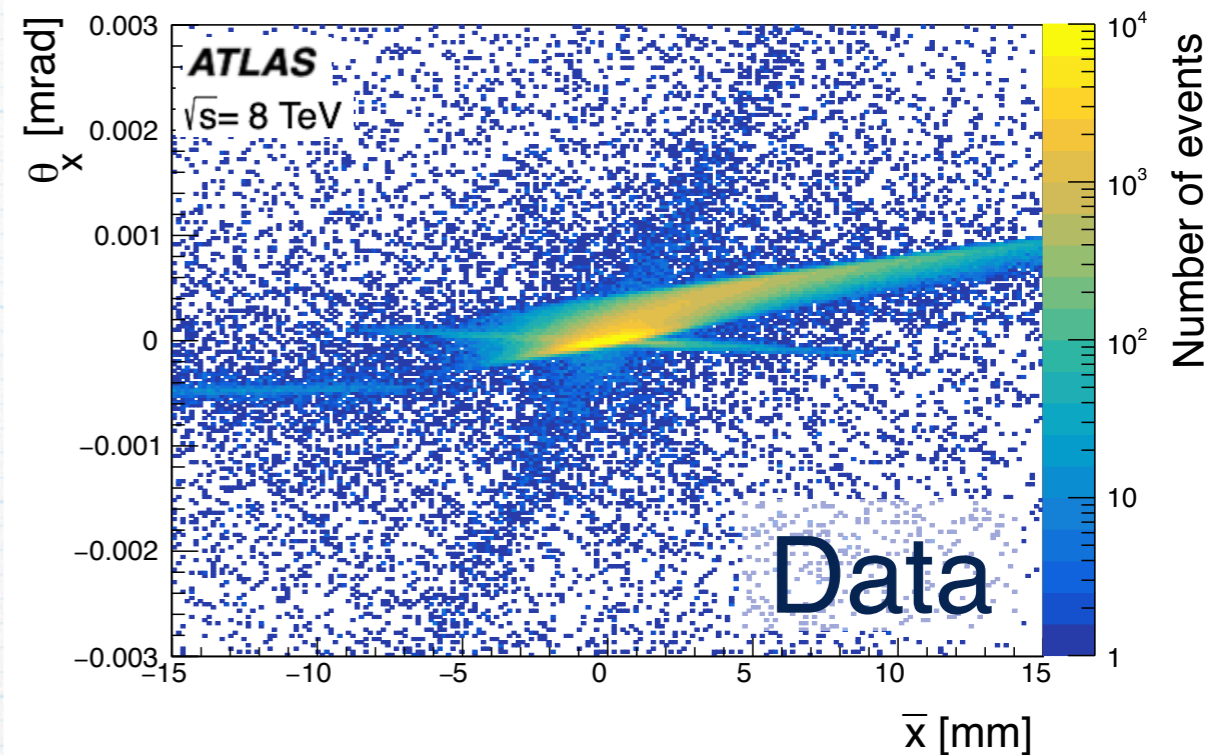
- Previous ATLAS publication:
 - Measured inclusive rapidity gap spectra
 - Lack of proton tagging led to DD / SD / ND ambiguity
 - Eur. Phys. J. C72 (2012) 1926



ALFA \bar{x} v θ

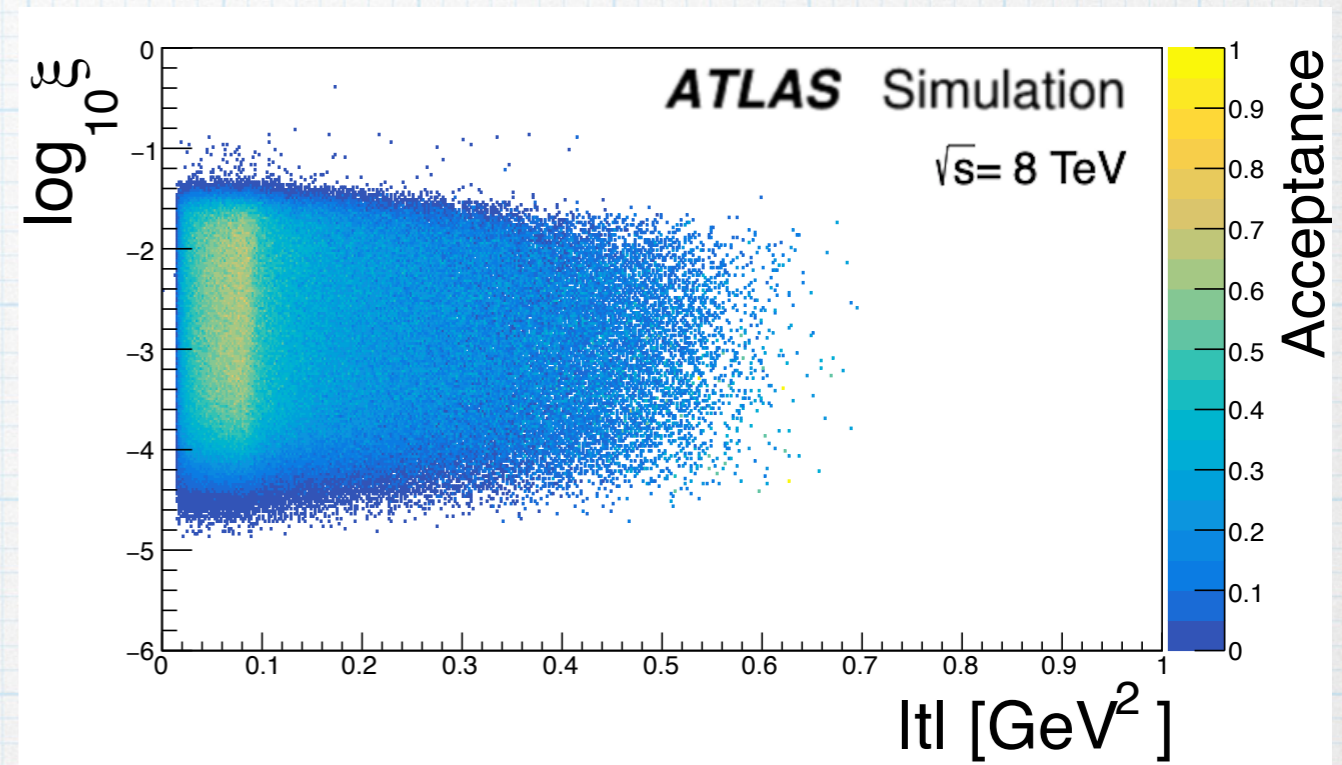
$$\bar{x} = \frac{x_{near} + x_{far}}{2} \quad \theta = \frac{x_{far} - x_{near}}{z_{far} - z_{near}}$$

- SD distribution is centred on (0,0)
- Only accept events within 3σ of SD MC fit parameters
- Removes beam backgrounds & restricts ξ range



MC & Acceptance

- Main MC sample is PYTHIA8 A3
tune $\alpha(0) = 1.08$
- Also:
 - PYTHIA8 A2 with $\alpha(0) = 1$
 - HERWIG7
- Lower limits in ξ determined by
MBTS requirements
- Upper limit in ξ & t range determined
by ALFA acceptance



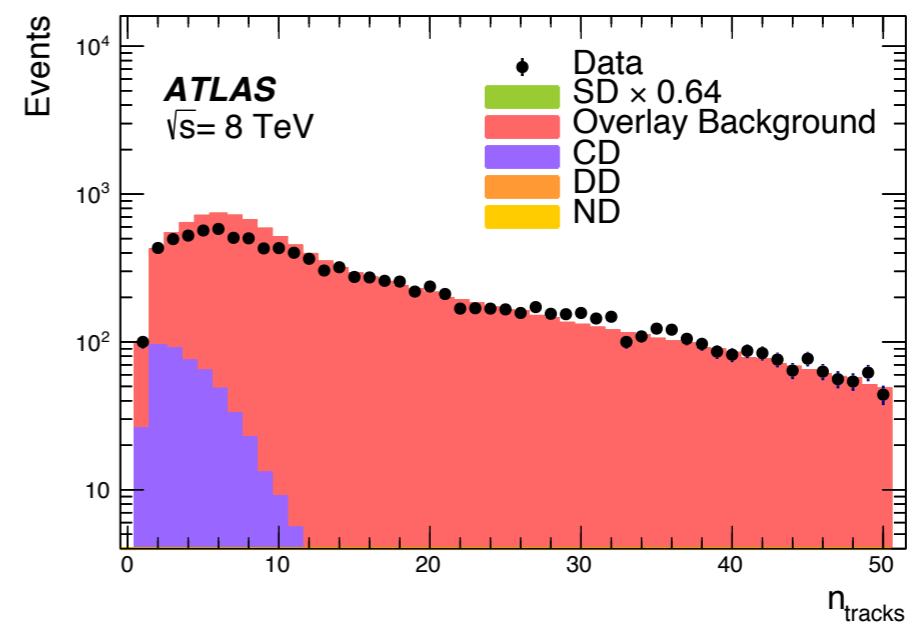
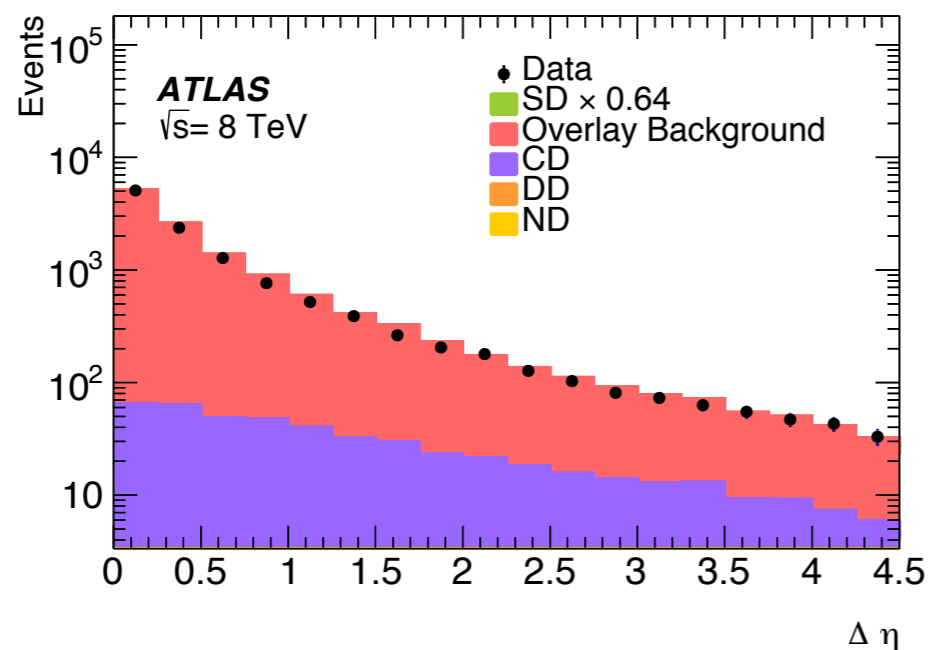
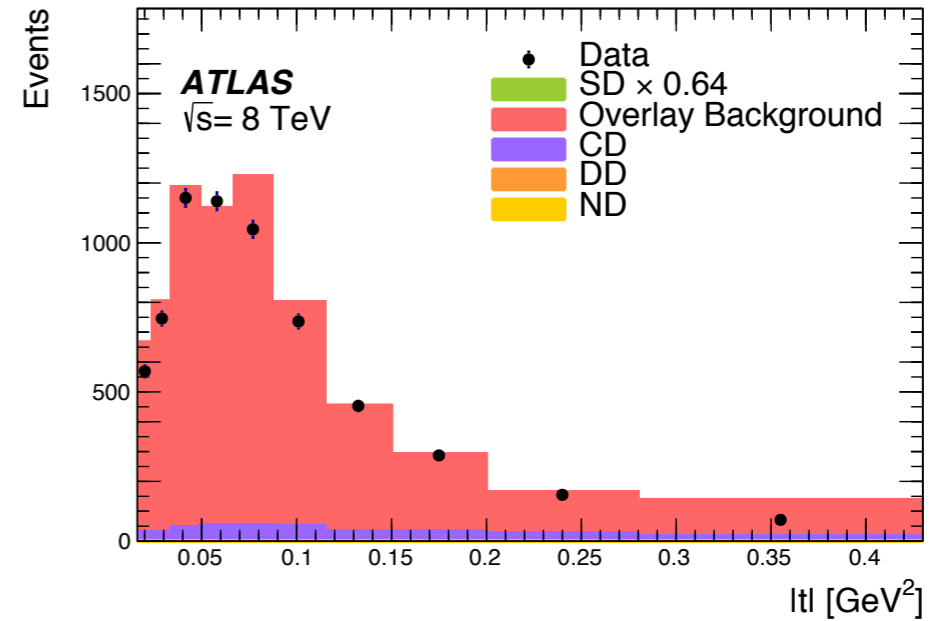
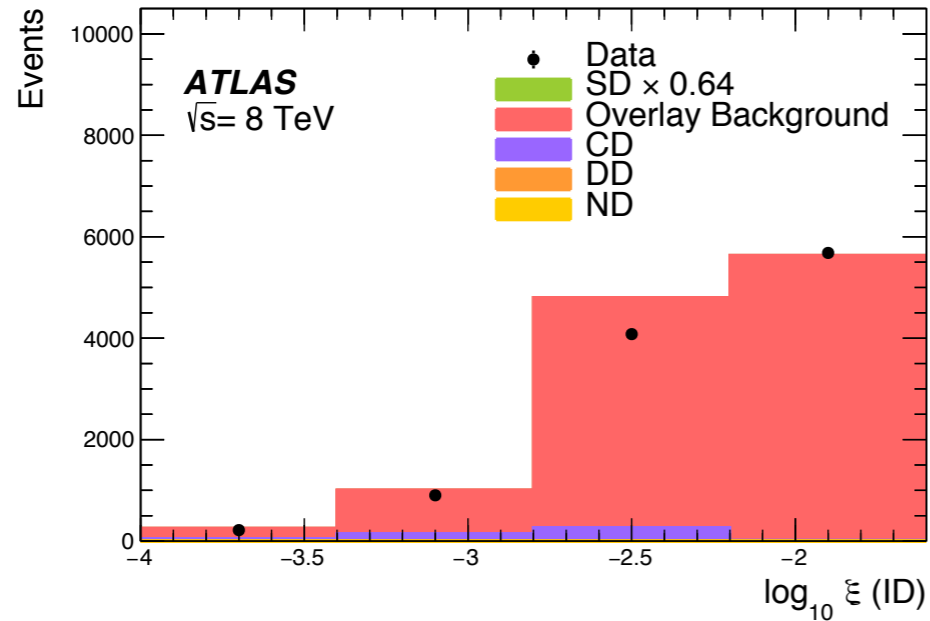
Fiducial range

$$0.016 < |t| < 0.43 \text{ GeV}^2$$

$$-4.0 < \log_{10} \xi < -1.6$$

Control region: Overlay background

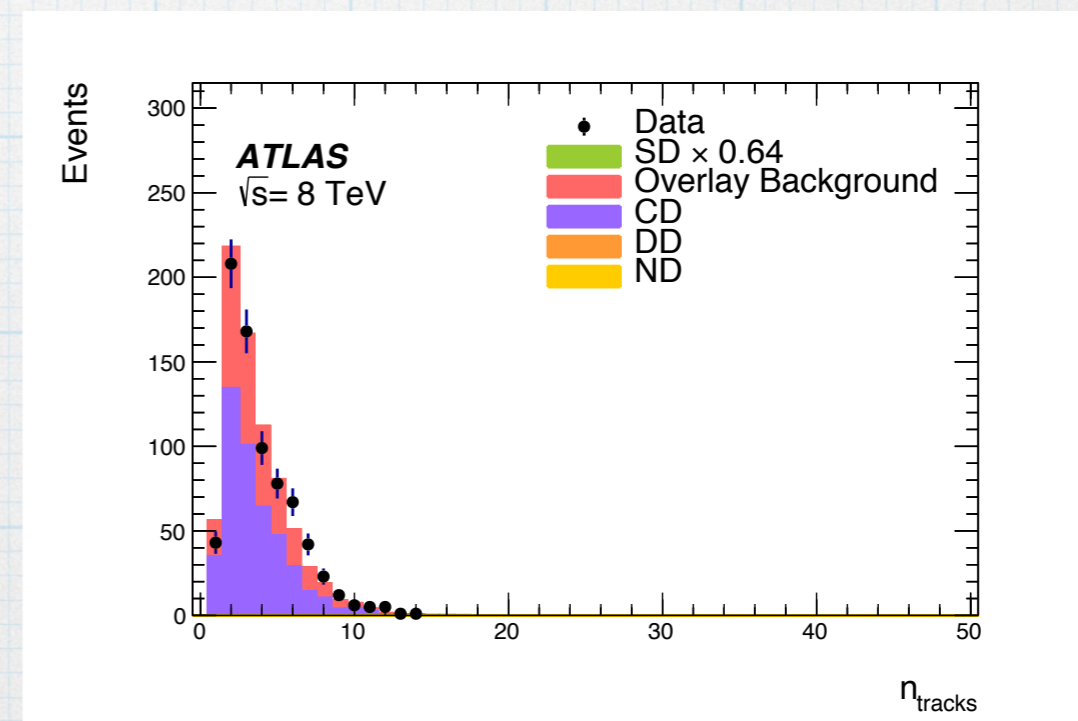
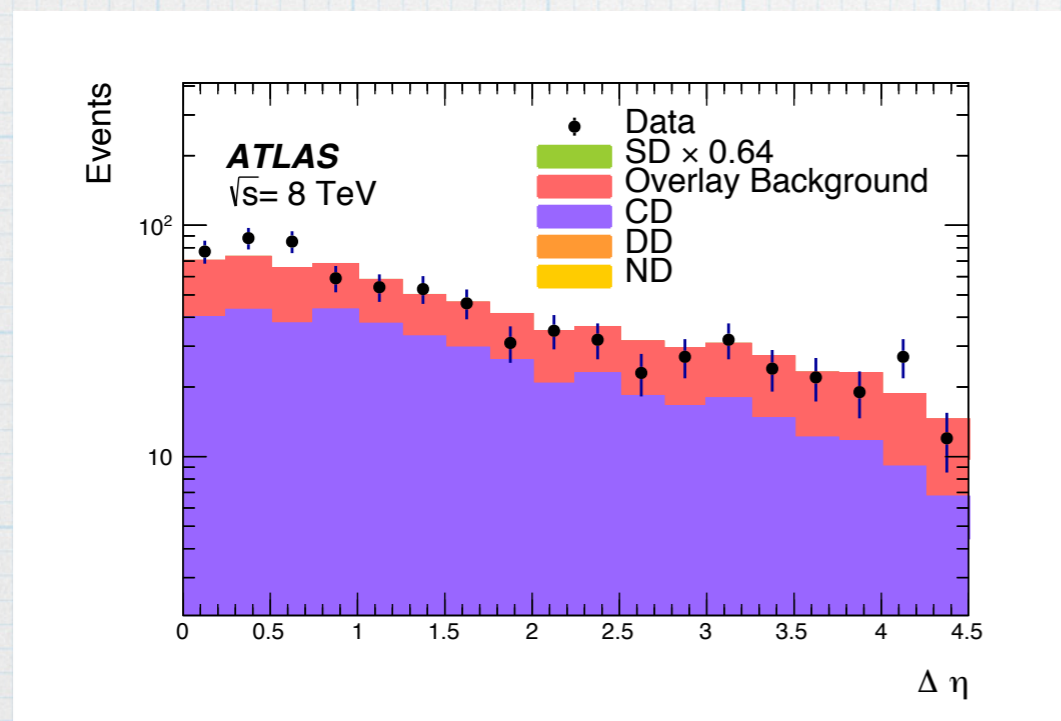
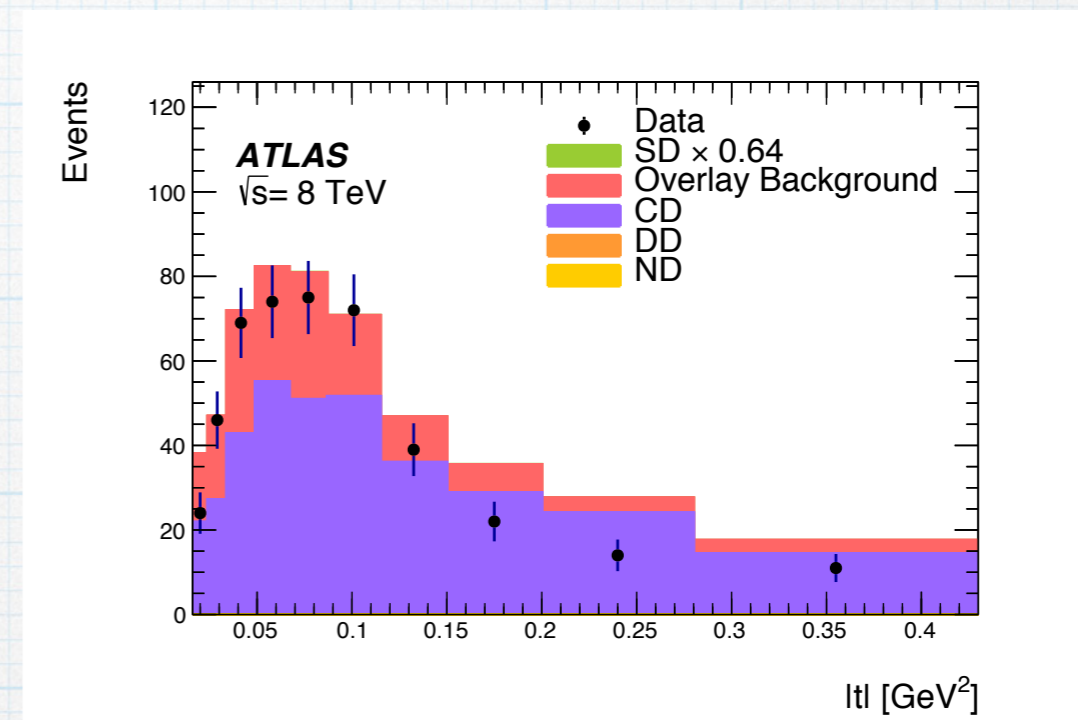
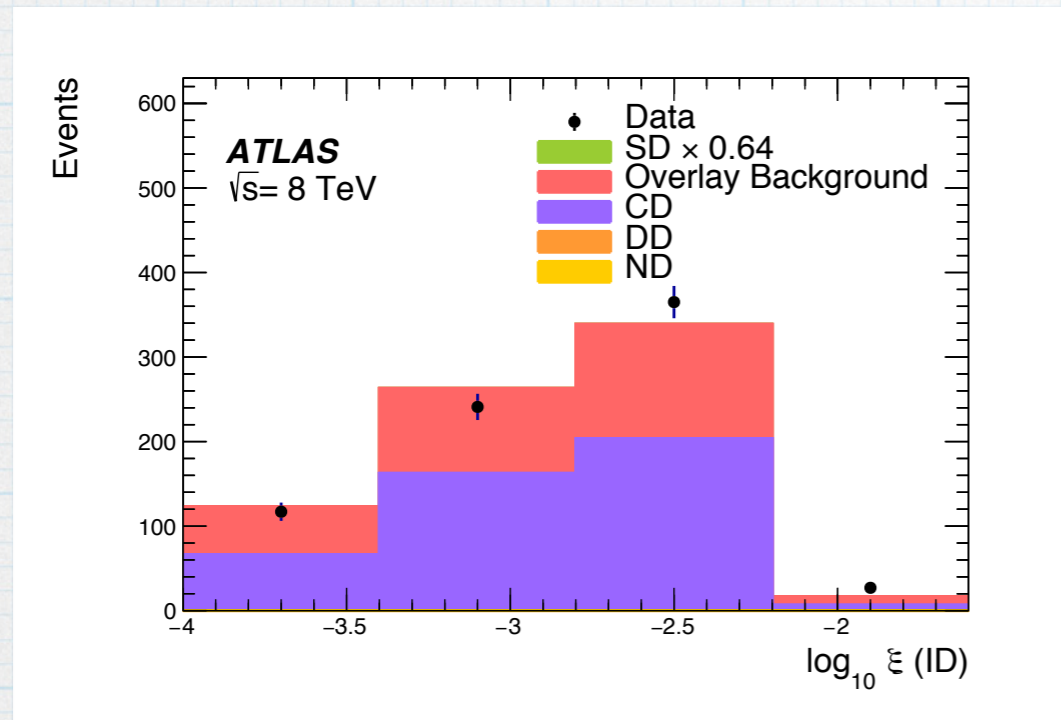
Nominal selection except 2 protons in ALFA



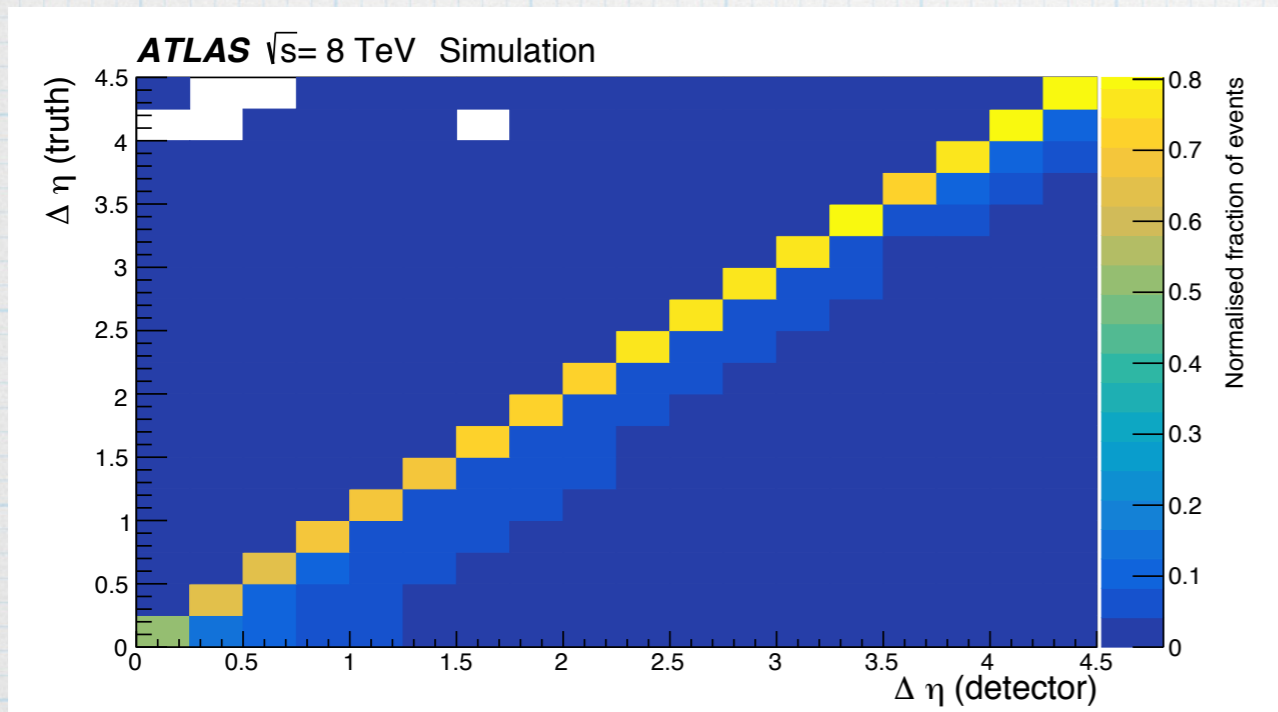
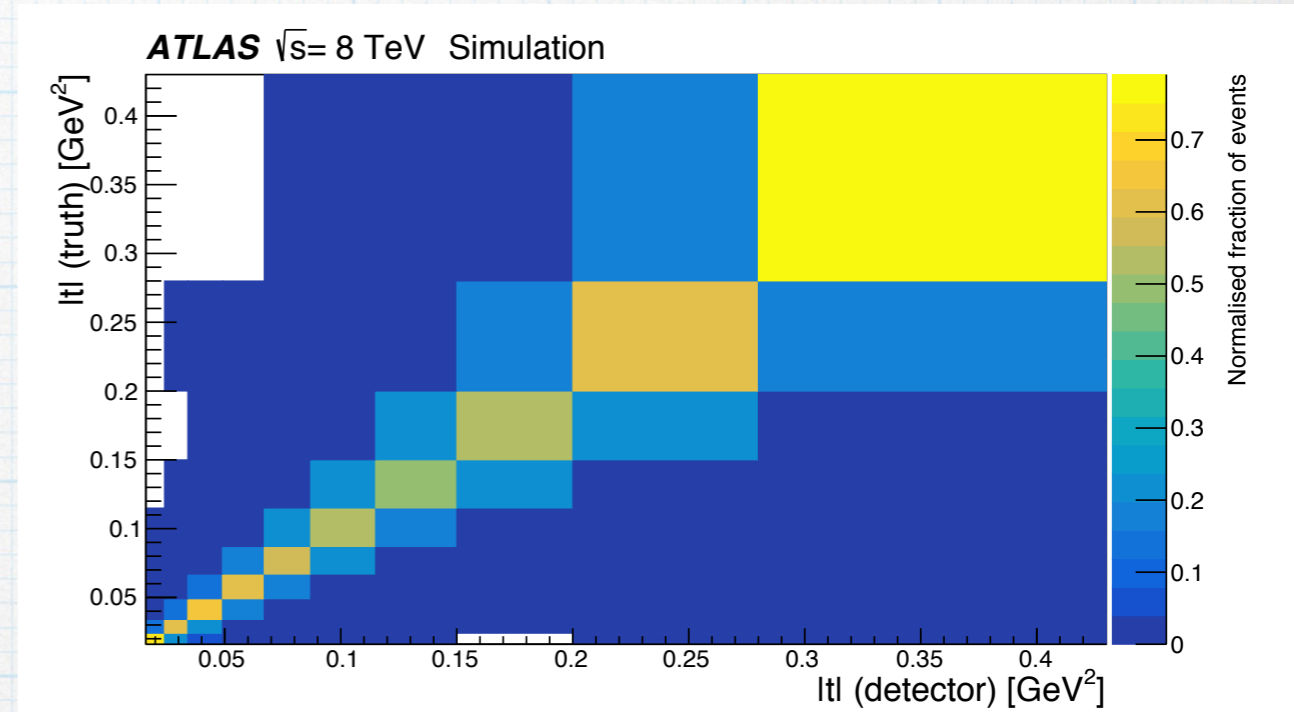
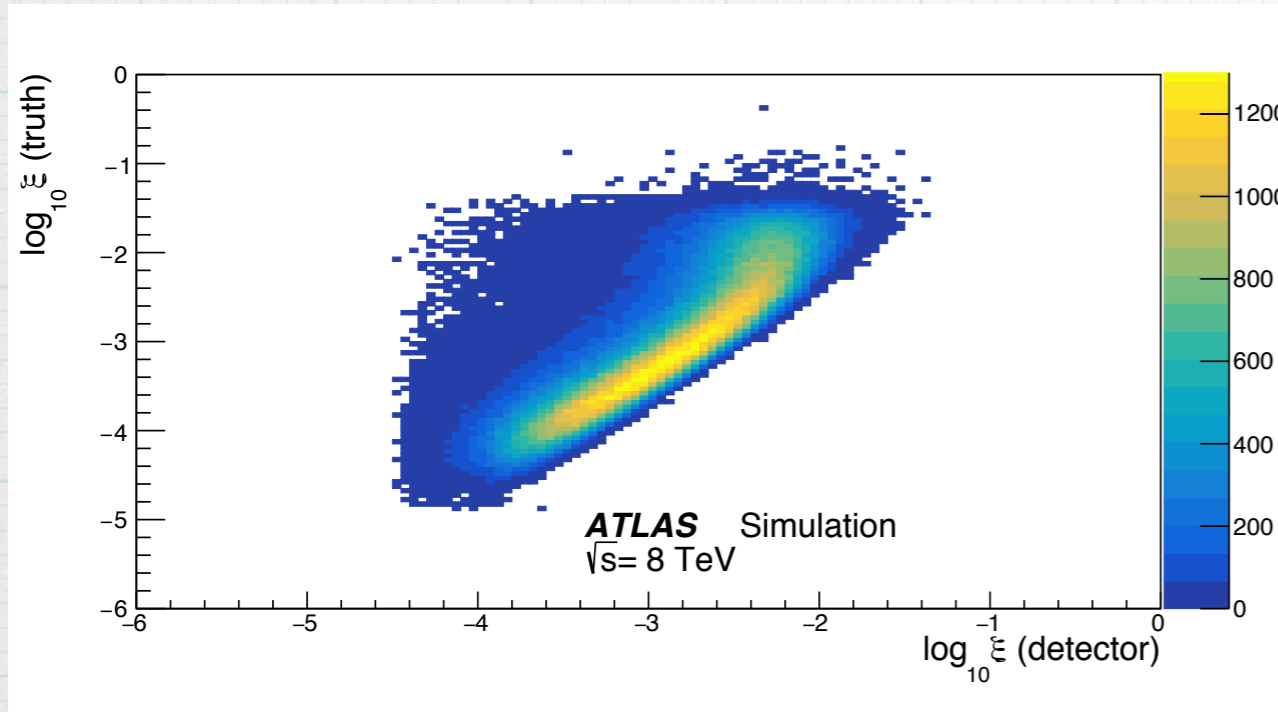
Control region: CD background

Nominal selection except 2 protons in ALFA & $2 < \text{MBTS} < 10$

Reweighting applied

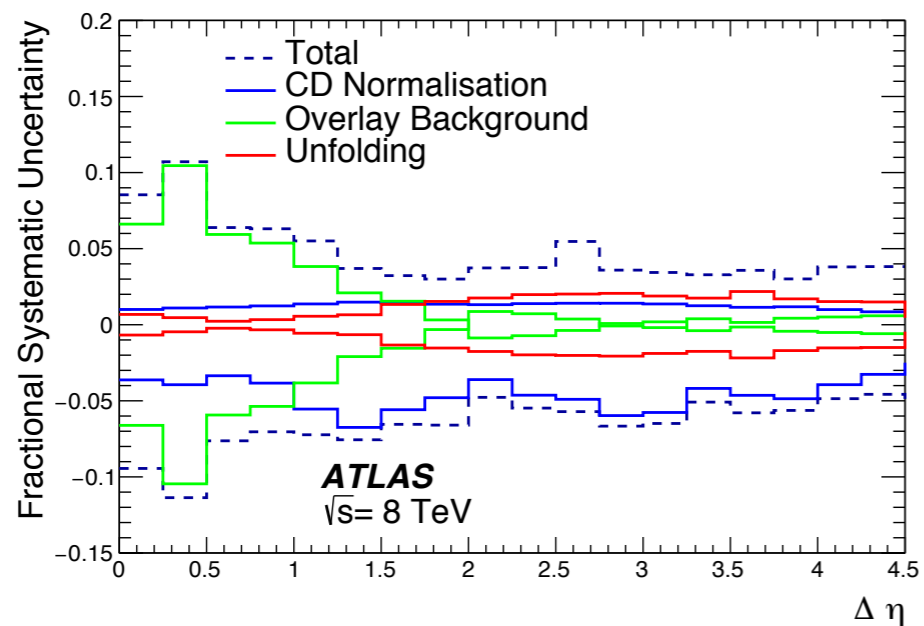
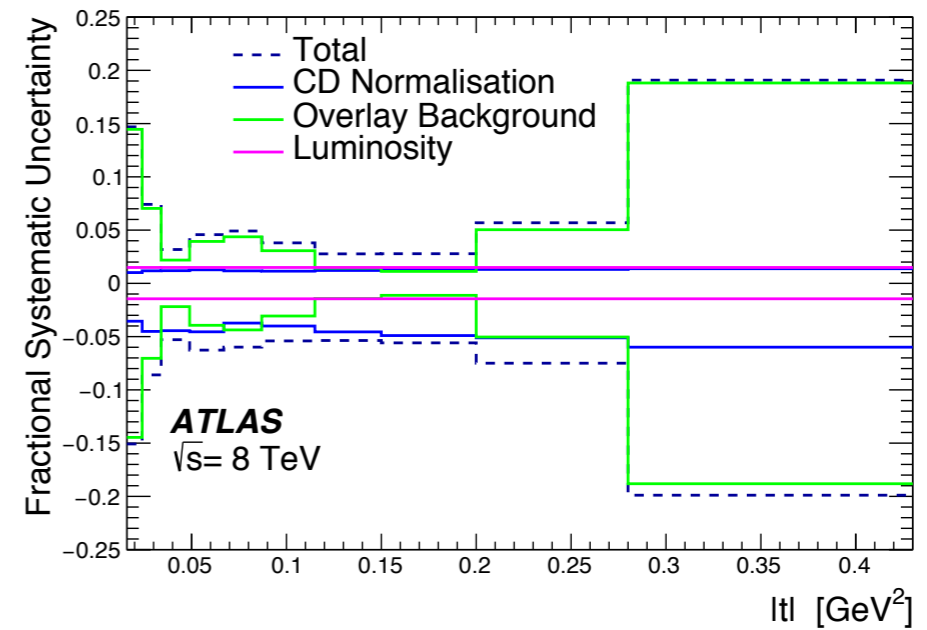
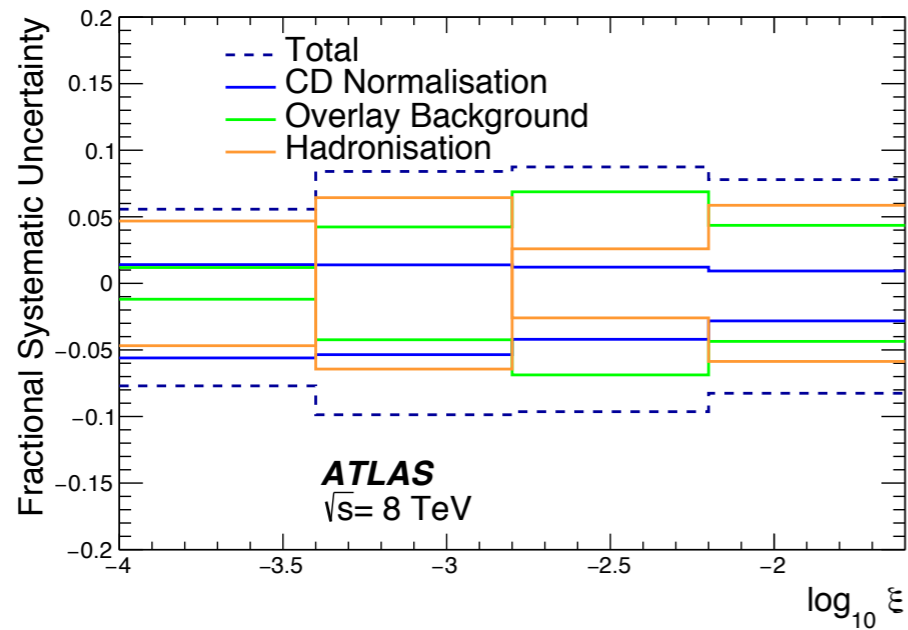


Response matrices



- t & $\Delta \eta$ are approx. diagonal
- Linear calibration applied to ξ corrects for:
 - Charged particles outside ID range
 - Missing neutrals
- Iterative Bayesian unfolding used to remove detector effects

Systematic uncertainties



- Plots show dominant sources
- Many more evaluated & not shown
- Overlay background is largest uncertainty in many bins

ξ comparison with CMS result

- Results scaled by 1.18 to cover full t range
- Shown to be compatible with similar CMS result:
 - Gap based
 - Small DD contribution
 - 7 TeV
 - *Phys. Rev. D 92, 012003*

