

Dualities of the ($N_c = 2, 3, \infty$) QCD phase diagram: chiral imbalance, baryon density



Roman N. Zhokhov
IZMIRAN, IHEP

Excited QCD 2020, Krynica Zdrój, Poland, 2020



Russian
Science
Foundation



K.G. Klimenko, IHEP
T.G. Khunjua, University of Georgia, MSU

in the broad sense our group stems from
Department of Theoretical Physics, Moscow State University
Prof. V. Ch. Zhukovsky
strong connections with
prof. D. Ebert, Humboldt University of Berlin

details can be found in

Phys.Rev. D100 (2019) no.3, 034009 arXiv: 1904.07151 [hep-ph]
JHEP 1906 (2019) 006 arXiv:1901.02855 [hep-ph]
Eur.Phys.J. C79 (2019) no.2, 151, arXiv:1812.00772 [hep-ph],
Phys.Rev. D98 (2018) no.5, 054030 arXiv:1804.01014 [hep-ph],
Phys.Rev. D97 (2018) no.5, 054036 arXiv:1710.09706 [hep-ph]
Phys.Rev. D95 (2017) no.10, 105010 arXiv:1704.01477 [hep-ph]

The work is supported by

- ▶ Russian Science Foundation (RSF)



- ▶ Foundation for the Advancement of Theoretical Physics and Mathematics

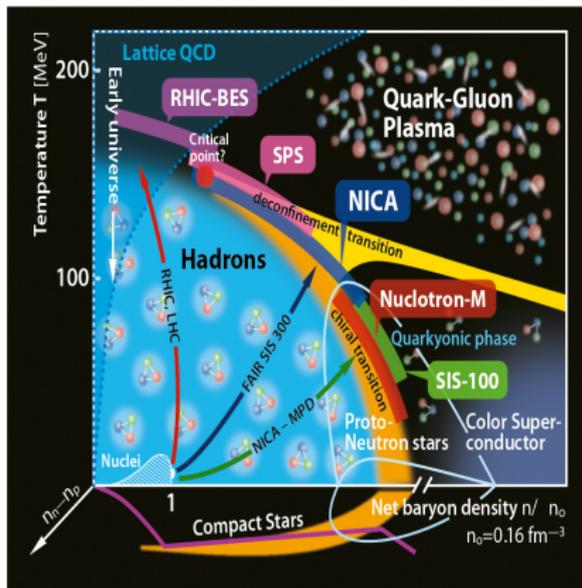


QCD at T and μ
 (QCD at extreme conditions)

- ▶ neutron stars
- ▶ heavy ion collisions
- ▶ Early Universe

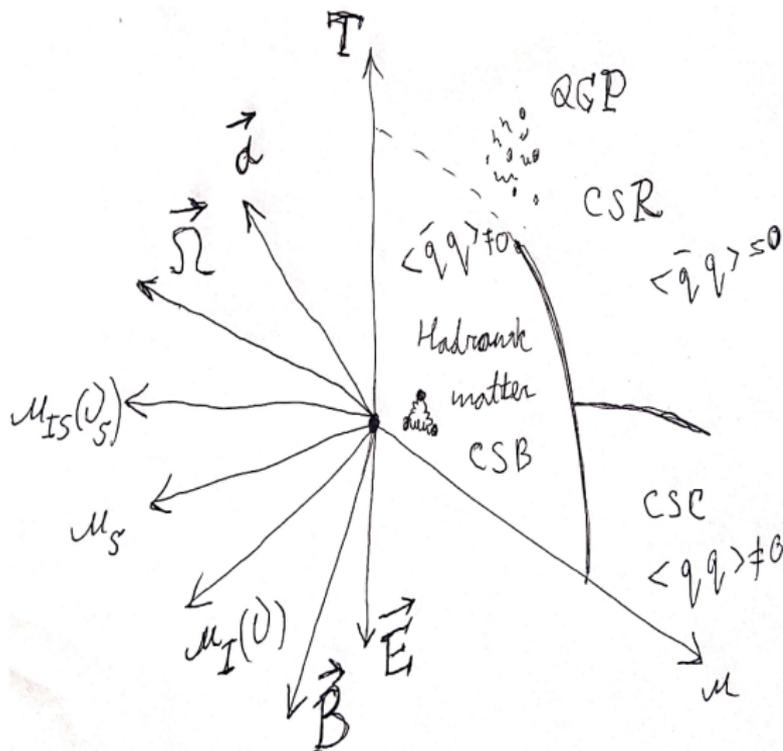
Methods of dealing with QCD

- ▶ First principle calculation
 – lattice QCD
- ▶ Effective models
- ▶ DSE, FRG
- ▶



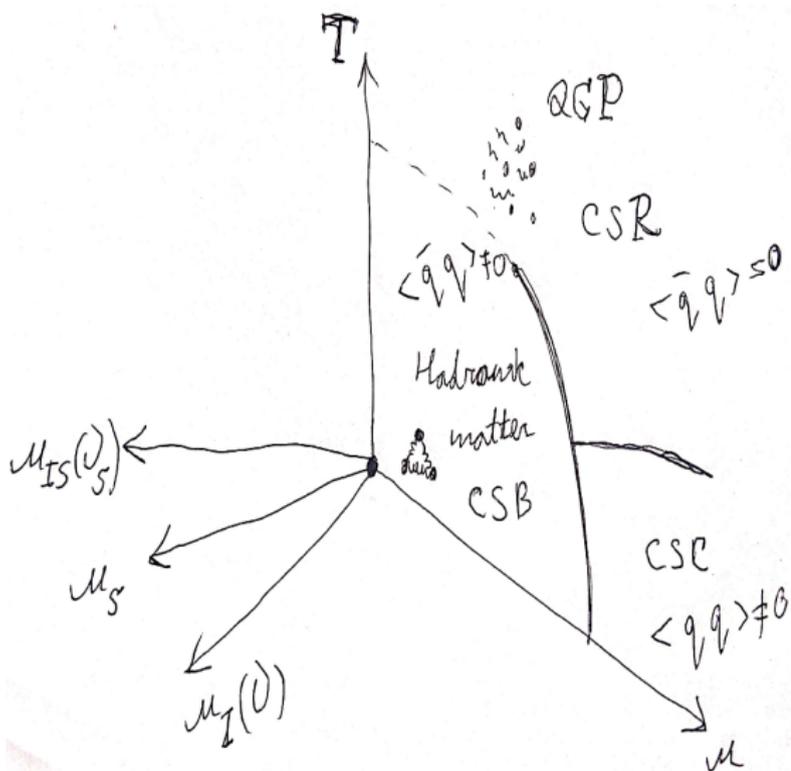
More than just QCD at (μ, T)

- ▶ more chemical potentials μ_i
- ▶ magnetic fields
(see talk by A. Kotov)
- ▶ rotation of the system $\vec{\Omega}$
- ▶ acceleration \vec{a}
- ▶ finite size effects (finite volume and boundary conditions)



More than just QCD at (μ, T)

- ▶ **more chemical potentials** μ_i
- ▶ magnetic fields
(see talk by A. Kotov)
- ▶ rotation of the system
- ▶ acceleration
- ▶ finite size effects (finite volume and boundary conditions)



Baryon chemical potential μ_B

Allow to consider systems with non-zero baryon densities.

$$\frac{\mu_B}{3} \bar{q} \gamma^0 q = \mu \bar{q} \gamma^0 q,$$

Baryon chemical potential μ_B

Allow to consider systems with non-zero baryon densities.

$$\frac{\mu_B}{3} \bar{q} \gamma^0 q = \mu \bar{q} \gamma^0 q,$$

Isotopic chemical potential μ_I

Allow to consider systems with isospin imbalance ($n_n \neq n_p$).

$$\frac{\mu_I}{2} \bar{q} \gamma^0 \tau_3 q = \nu (\bar{q} \gamma^0 \tau_3 q)$$

$$n_I = n_u - n_d \quad \longleftrightarrow \quad \mu_I = \mu_u - \mu_d$$

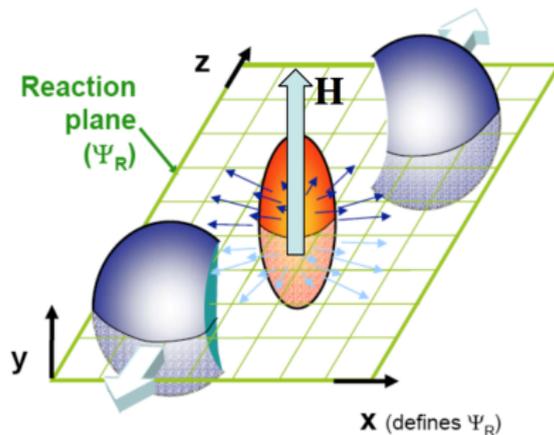
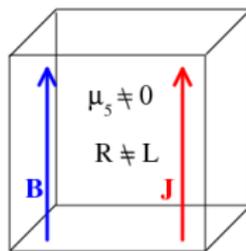
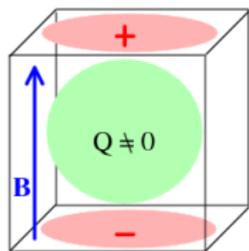
chiral (axial) chemical potential

Allow to consider systems with chiral imbalance (difference between densities of left-handed and right-handed quarks).

$$n_5 = n_R - n_L \quad \longleftrightarrow \quad \mu_5 = \mu_R - \mu_L$$

The corresponding term in the Lagrangian is

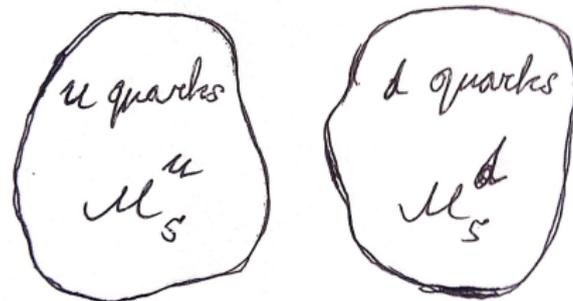
$$\mu_5 \bar{q} \gamma^0 \gamma^5 q$$



$$\vec{J} \sim \mu_5 \vec{B},$$

A. Vilenkin, PhysRevD.22.3080,

K. Fukushima, D. E. Kharzeev and H. J. Warringa, Phys. Rev. D **78** (2008) 074033



$$\mu_5^u \neq \mu_5^d \quad \text{and} \quad \mu_{I5} = \mu_5^u - \mu_5^d$$

Term in the Lagrangian — $\frac{\mu_{I5}}{2} \bar{q} \tau_3 \gamma^0 \gamma^5 q = \nu_5 (\bar{q} \tau_3 \gamma^0 \gamma^5 q)$

$$n_{I5} = n_{u5} - n_{d5}, \quad n_{I5} \longleftrightarrow \nu_5$$

Chiral imbalance n_5 and hence μ_5 can
be generated in parallel magnetic and electric
fields

$$\vec{E} \parallel \vec{B}$$

M. Ruggieri, M. Chernodub, H. Warringa et al

Chiral isospin imbalance n_{I5} and hence μ_{I5} can be generated in parallel magnetic and electric fields $\vec{E} \parallel \vec{B}$

μ_{I5} and μ_5 are generated by $\vec{E} \parallel \vec{B}$

Generation of Chiral imbalance in dense
quark matter

Chiral imbalance could appear in dense matter

- ▶ Chiral separation effect
(Thanks for the idea to Igor Shovkovy)
- ▶ Chiral vortical effect

Different chemical potentials and matter content

$$\mu = \frac{\mu_B}{3}, \quad \nu = \frac{\mu_I}{2}, \quad \mu_5, \quad \nu_5 = \frac{\mu_{I5}}{2}$$

We consider a NJL model, which describes dense quark matter with two massless quark flavors (u and d quarks).

$$\mathcal{L} = \bar{q} \left[\gamma^\nu i \partial_\nu + \frac{\mu_B}{3} \gamma^0 + \frac{\mu_I}{2} \tau_3 \gamma^0 + \frac{\mu_{I5}}{2} \tau_3 \gamma^0 \gamma^5 + \mu_5 \gamma^0 \gamma^5 \right] q + \frac{G}{N_c} \left[(\bar{q}q)^2 + (\bar{q}i\gamma^5\vec{\tau}q)^2 \right]$$

q is the flavor doublet, $q = (q_u, q_d)^T$, where q_u and q_d are four-component Dirac spinors as well as color N_c -plets; τ_k ($k = 1, 2, 3$) are Pauli matrices.

To find the thermodynamic potential we use a semi-bosonized version of the Lagrangian

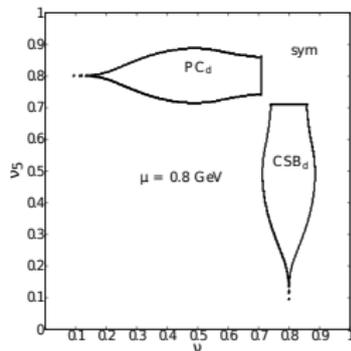
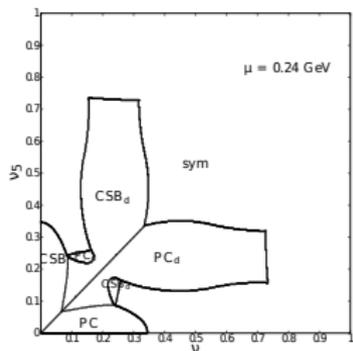
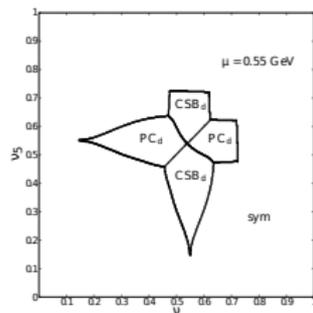
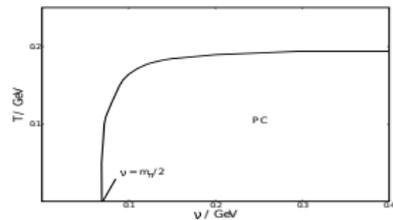
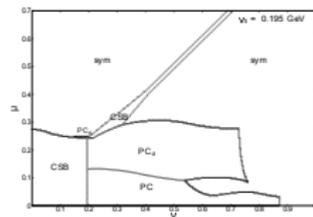
$$\tilde{L} = \bar{q} \left[i\not{\partial} + \mu\gamma^0 + \nu\tau_3\gamma^0 + \nu_5\tau_3\gamma^0\gamma^5 + \mu_5\gamma^0\gamma^5 - \sigma - i\gamma^5\pi_a\tau_a \right] q - \frac{N_c}{4G} \left[\sigma^2 + \pi_a^2 \right].$$

$$\sigma(x) = -2\frac{G}{N_c}(\bar{q}q); \quad \pi_a(x) = -2\frac{G}{N_c}(\bar{q}i\gamma^5\tau_a q).$$

Condensates ansatz $\langle\sigma(x)\rangle$ and $\langle\pi_a(x)\rangle$ do not depend on spacetime coordinates

$$\langle\sigma(x)\rangle = M, \quad \langle\pi_1(x)\rangle = \Delta, \quad \langle\pi_2(x)\rangle = 0, \quad \langle\pi_3(x)\rangle = 0.$$

where M and Δ are already constant quantities.



Chiral imbalance leads to the generation of PC in dense quark matter (PC_d)

In the early 1970s Migdal (Sawyer, Scalapino, Kogut, Manassah) suggested the possibility of **pion condensation in a nuclear matter**

A.B. Migdal, Zh. Eksp. Teor. Fiz. 61, 2210 (1971) [Sov. Phys. JETP 36, 1052 (1973)]; A. B. Migdal, E. E. Saperstein, M. A. Troitsky and D. N. Voskresensky, Phys. Rept. 192, 179 (1990).
R.F. Sawyer, Phys. Rev. Lett. 29, 382 (1972); J. Kogut, J.T. Manassah, Physics Letters A, 41, 2, 1972, Pages 129-131

(In medium pion mass properties and the RMF models.)
pion condensation with zero momentum (s-wave condensation) is **highly unlikely to be realized** in nature in **matter of neutron star.**

A. Ohnishi D. Jido T. Sekihara, and K. Tsubakihara, Phys. Rev. C80, 038202 (2009) . . .

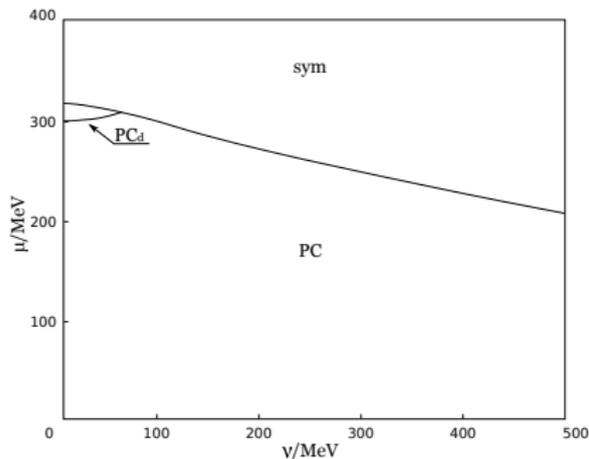


Figure: (ν, μ) phase diagram in NJL model in the chiral limit.

PC phenomenon maybe could be realized in **dense baryonic matter (non-zero baryon density)**

K. G. Klimenko, D. Ebert
J.Phys. G32 (2006) 599-608;
Eur.Phys.J.C46:771-776,(2006)

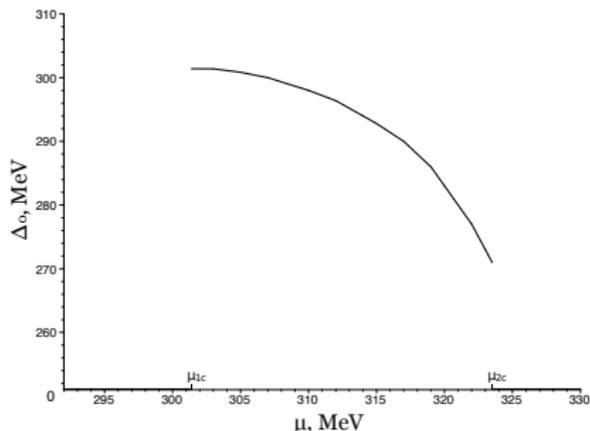
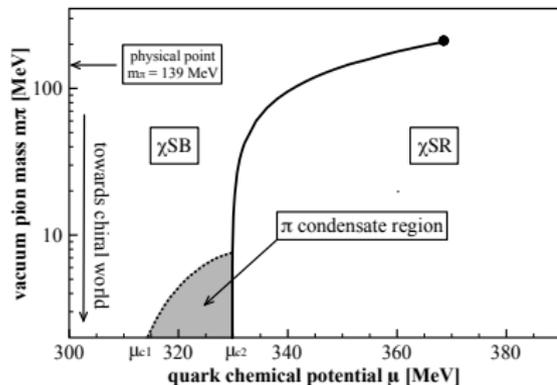


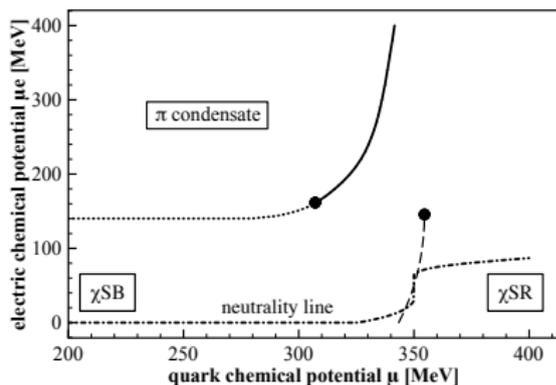
Figure: Pion condensate in dense quark matter in NJL model.

PC phenomenon is realized
in **dense baryonic matter**
even in **charge neutral and**
 β -equilibrated case

K. G. Klimenko, D. Ebert
J.Phys. G32 (2006) 599-608;
Eur.Phys.J.C46:771-776,(2006)



(μ, m_0) phase portrait.



(μ, μ_e) phase portrait.

No PC condensation in the neutral case at the physical point

(H. Abuki, R. Anglani, M. Ruggieri etc.
 Phys. Rev. D **79** (2009) 034032.

There are a number of **external parameters** such as **chiral imbalance** that can generate **PC in dense quark matter**.

See small review

Symmetry 2019, 11(6), 778

arXiv:1912.08635 [hep-ph]

Special Issue "Nambu-Jona-Lasinio model and its applications" of
symmetry

(Thanks to Tomohiro Inagaki)

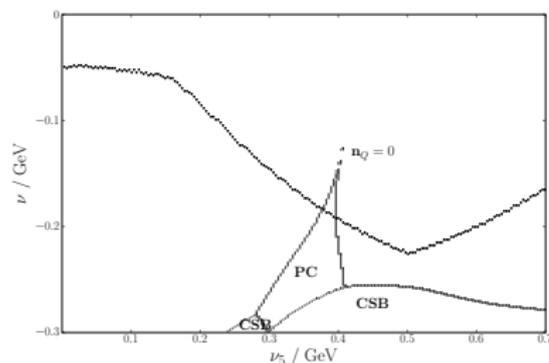
Charge neutrality and β -equilibrium can destroy the generation of PC

So it is interesting to see if chiral imbalance can generate PC in dense quark matter even in this case

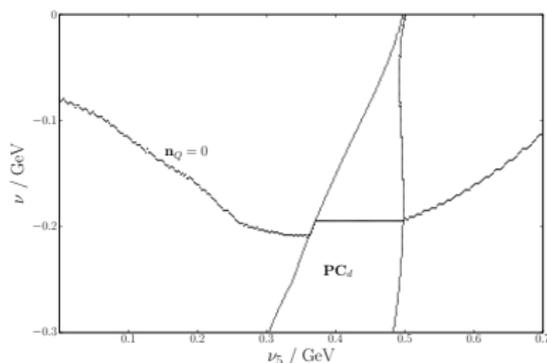
- ▶ Charge neutrality and β -equilibrium in neutron stars
- ▶ There are constraints in HIC ($n_Q = 0.4n_B$)
- ▶ Or β -equilibrium in neutron star mergers

Hot QCD Collaboration arXiv:1812.08235 [hep-lat]

Mark Alford Phys. Rev. C 98, 065806 (2018); arXiv:1803.00662 [nucl-th]



(ν_5, ν) phase portrait at $\mu = 450$ MeV and $\mu_5 = 0$.



(ν_5, ν) phase portrait at $\mu = 500$ MeV and $\mu_5 = 150$ MeV.

Chiral imbalance generates the charged pion condensation in dense electric neutral matter.

There have been discussed several **mechanism of generation of chiral imbalance in neutron stars.**

It is interesting in light of new and expected data on masses and radii to extend the studies and

- find the **EOS** in the presence of **chiral imbalance**

- and explore **the M - R relation for neutron star with chiral imbalance**

(Consideration of phase structure of dense electric neutral baryonic matter with β -equilibrium is a first step in that direction.)

Dualities

Dualities

It is not related to holography or gauge/gravity
duality

it is the dualities of the phase structures of
different systems

The TDP

$$\Omega(T, \mu, \mu_i, \dots, \langle \bar{q}q \rangle, \dots)$$

The TDP

$$\Omega(T, \mu, \mu_i, \dots, \langle \bar{q}q \rangle, \dots)$$

$$\Omega(T, \mu, \mu_i, \dots, M, \Delta, \dots)$$

The TDP

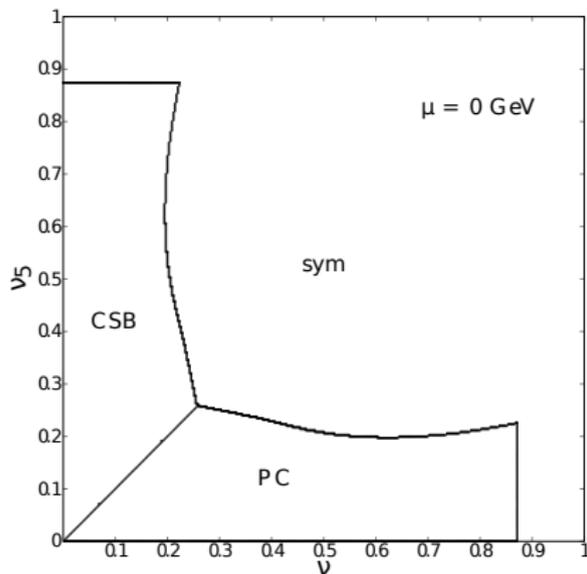
$$\Omega(T, \mu, \mu_i, \dots, \langle \bar{q}q \rangle, \dots) \quad \Omega(T, \mu, \mu_i, \dots, M, \Delta, \dots)$$

The TDP (phase diagram) is invariant under
Interchange of - condensates - matter content

$$\Omega(M, \Delta, \mu_I, \mu_{I5})$$

$$M \longleftrightarrow \Delta, \quad \nu \longleftrightarrow \nu_5$$

$$\Omega(M, \Delta, \mu_I, \mu_{I5}) = \Omega(\Delta, M, \mu_{I5}, \mu_I)$$



$$\mathcal{D} : M \longleftrightarrow \Delta, \quad \nu \longleftrightarrow \nu_5$$

Duality between chiral
symmetry breaking and pion
condensation

$$\text{PC} \longleftrightarrow \text{CSB} \quad \nu \longleftrightarrow \nu_5$$

Figure: NJL model results

$$\mathcal{L}_{\text{QCD}} = \sum_{f=u,d} \bar{q}_f (i\not{D} - m_f) q_f - \frac{1}{4} \mathcal{G}_{\mu\nu,a} \mathcal{G}_a^{\mu\nu}.$$

$$\mathcal{L}_{\text{NJL}} = \sum_{f=u,d} \bar{q}_f \left[i\gamma^\nu \partial_\nu - m_f \right] q_f + \frac{G}{N_c} \left[(\bar{q}q)^2 + (\bar{q}i\gamma^5 \vec{\tau}q)^2 \right]$$

m_f is current quark masses

In the chiral limit $m_f = 0$ the Duality \mathcal{D} is exact

$$m_f : \frac{m_u + m_d}{2} \approx 3.5 \text{ MeV}$$

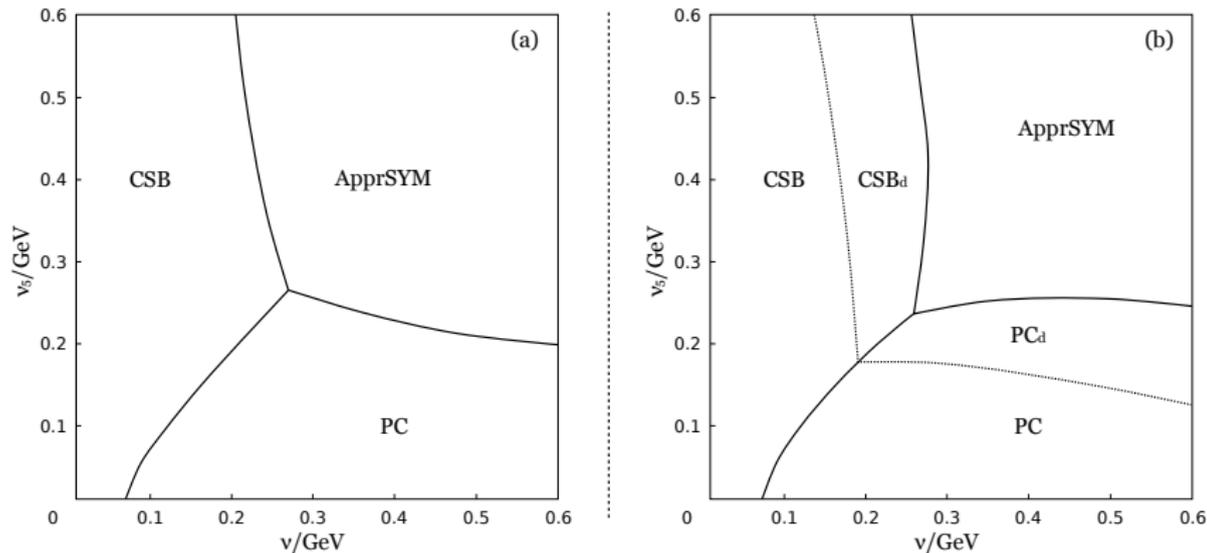
In our case typical values of $\mu, \nu, \dots, T, \dots \sim 10 - 100$ s MeV, for example, 200-400 MeV

One can work in the chiral limit $m_f \rightarrow 0$

$$m_f = 0 \quad \rightarrow \quad m_\pi = 0$$

physical m_f a few MeV \rightarrow physical $m_\pi \sim 140$ MeV

Duality between CSB and PC is **approximate** in
physical point

Figure: (ν, ν_5) phase diagram

Other Dualities

They are not that strong but still...

They could still be useful

The TDP

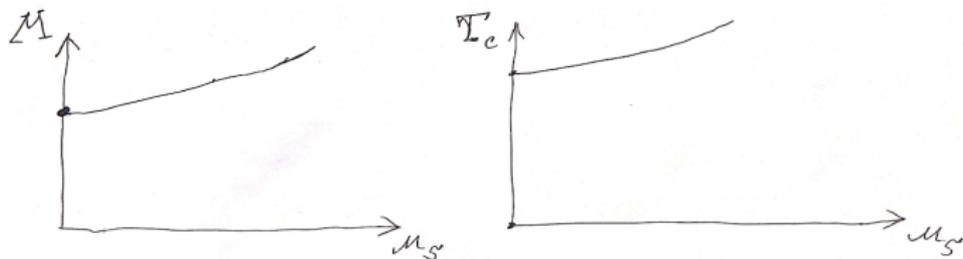
$$\Omega(T, \mu, \nu, \nu_5, \mu_5; M, \Delta)$$

Let us assume that there is no PC

$$\Delta = 0$$

The TDP (phase diagram) is invariant under

$$\mu_5 \longleftrightarrow \nu_5$$

QCD at non-zero μ_5 

catalysis of CSB by chiral imbalance:

*discussed by a number of authors, A. Kotov, V.**Braguta, et al*

- ▶ increase of $\langle \bar{q}q \rangle$ as μ_5 increases
- ▶ increase of critical temperature T_c of chiral phase transition (crossover) as μ_5 increases

The TDP

$$\Omega(T, \mu, \nu, \nu_5, \mu_5; M, \Delta)$$

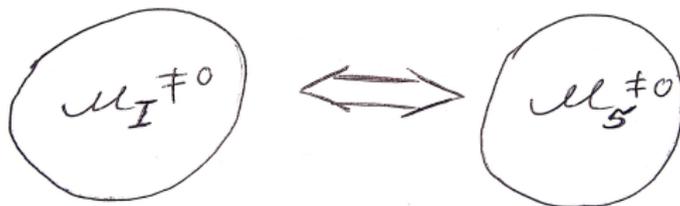
Let us assume that there is no CSB

$$M = 0$$

The TDP (phase diagram) is invariant under

$$\mu_5 \longleftrightarrow \nu$$

Two completely different systems



Dualities on the lattice

$$(\mu_B, \mu_I, \mu_{I5}, \mu_5)$$

$\mu_B \neq 0$ impossible on lattice but if $\mu_B = 0$

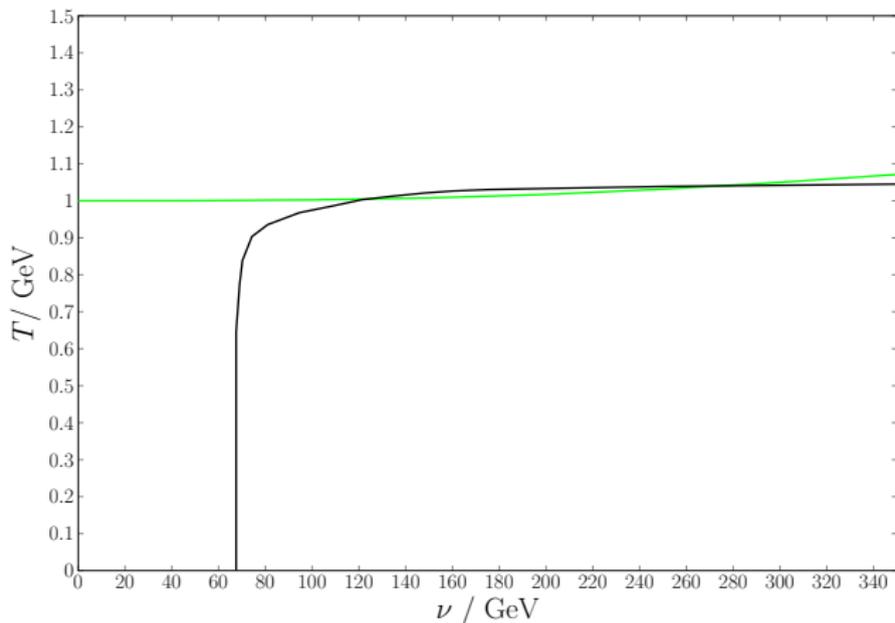
$\mu_B \neq 0$ impossible on lattice but if $\mu_B = 0$

► **QCD at μ_5** — (μ_5, T)

V. Braguta, A. Kotov et al, ITEP lattice group

► **QCD at μ_I** — (μ_I, T)

G. Endrodi, B. Brandt et al, Emmy Noether junior research group, Goethe-University Frankfurt, Institute for Theoretical Physics ()



T_c^M as a function of μ_5 (green line) and $T_c^\Delta(\nu)$ (black)

Uses of Dualities

How (if at all) it can be used

discussed in Particles 2020, 3(1), 62-79

A number of papers predicted **anticatalysis** (T_c decrease with μ_5) of dynamical chiral symmetry breaking

A number of papers predicted **catalysis** (T_c increase with μ_5) of dynamical chiral symmetry breaking

lattice results show the **catalysis**

(ITEP lattice group, V. Braguta, A. Kotov, et al)

But unphysically large pion mass

Duality \Rightarrow catalysis of chiral symmetry beaking

- ▶ **Large N_c orbifold equivalences** connect gauge theories with different gauge groups and **matter content** in the large N_c limit.

M. Hanada and N. Yamamoto, JHEP 1202 (2012) 138, arXiv:1103.5480 [hep-ph], PoS

LATTICE 2011 (2011), arXiv:1111.3391 [hep-lat]

- two gauge theories with gauge groups G_1 and G_2
- but with different μ_1 and μ_2

Duality

$$G_1 \longleftrightarrow G_2, \quad \mu_1 \longleftrightarrow \mu_2$$

or

$$\text{Phase structure } (G_1 \text{ at } \mu_1) \longleftrightarrow \text{Phase structure } (G_2 \text{ at } \mu_2)$$

Duality

$$\text{QCD at } \mu_1 \longleftrightarrow \text{QCD at } \mu_2$$

- ▶ QCD with μ_2 — sign problem free,
- ▶ QCD with μ_1 — sign problem (no lattice)

Investigations of $(\text{QCD with } \mu_2)_{\text{on lattice}} \implies (\text{QCD with } \mu_1)$

Inhomogeneous phases (case)

Homogeneous case

$\langle \sigma(x) \rangle$ and $\langle \pi_a(x) \rangle$

$$\langle \sigma(x) \rangle = M, \quad \langle \pi_{\pm}(x) \rangle = \Delta, \quad \langle \pi_3(x) \rangle = 0.$$

In vacuum the quantities $\langle\sigma(x)\rangle$ and $\langle\pi_a(x)\rangle$ do not depend on space coordinate x .

in a dense medium the ground state expectation values of bosonic fields might depend on spatial coordinates

CDW ansatz for CSB

the single-plane-wave LOFF ansatz for PC

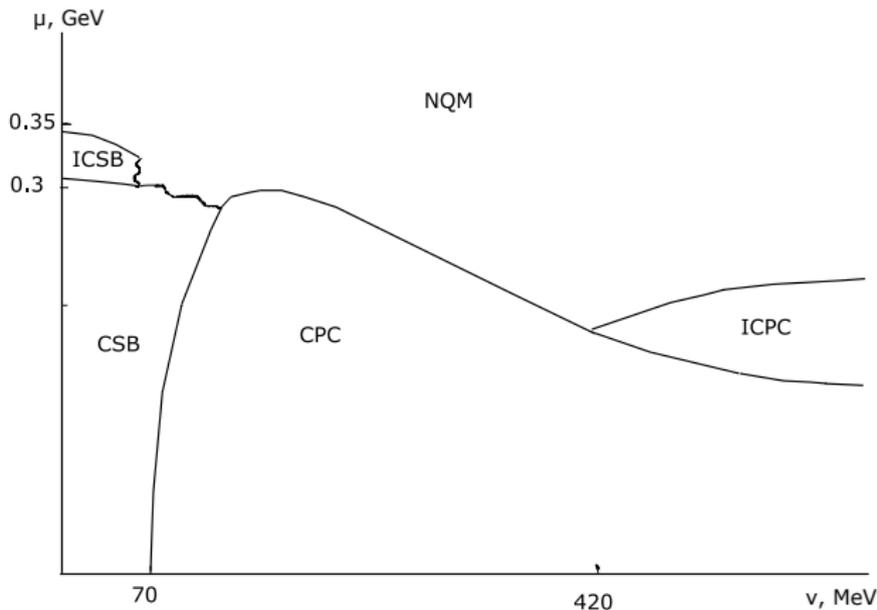
$$\begin{aligned}\langle\sigma(x)\rangle &= M \cos(2kx^1), & \langle\pi_3(x)\rangle &= M \sin(2kx^1), \\ \langle\pi_1(x)\rangle &= \Delta \cos(2k'x^1), & \langle\pi_2(x)\rangle &= \Delta \sin(2k'x^1)\end{aligned}$$

equivalently

$$\langle\pi_{\pm}(x)\rangle = \Delta e^{\pm 2k'x^1}$$

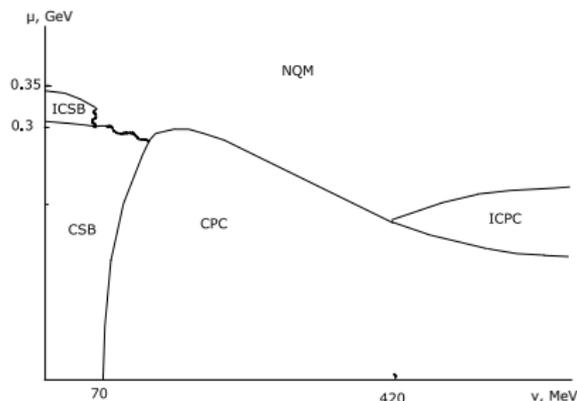
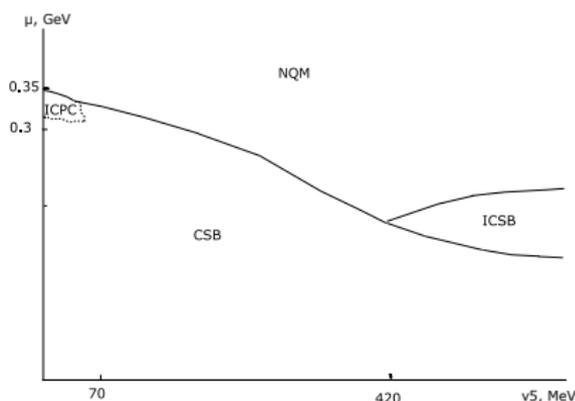
Duality in inhomogeneous case is shown

$$\mathcal{D}_I : \quad M \longleftrightarrow \Delta, \quad \nu \longleftrightarrow \nu_5, \quad k \longleftrightarrow k'$$

Combined schematic (ν, μ) -phase diagram.

*M. Buballa, S. Carignano, J. Wambach, D.
Nowakowski, Lianyi He et al.*

- ▶ exchange axis ν to the axis ν_5 ,
- ▶ rename the phases ICSB \leftrightarrow ICPC, CSB \leftrightarrow CPC, and NQM phase stays intact here

Figure: (ν, μ) -phase diagramFigure: (ν_5, μ) -phase diagram

Two colour QCD case

QC_2D

Instead of chiral symmetry

$$SU_L(2) \times SU_R(2)$$

one has Pauli-Gursey flavor symmetry

$$SU(4)$$

Two colour NJL model

$$L = \bar{q} \left[i\hat{\partial} - m_0 \right] q + H \left[(\bar{q}q)^2 + (\bar{q}i\gamma^5 \vec{\tau}q)^2 + (\bar{q}i\gamma^5 \sigma_2 \tau_2 q^c) (\bar{q}^c i\gamma^5 \sigma_2 \tau_2 q) \right]$$

$$L = \bar{q} \left[i\hat{\partial} - m_0 \right] q + H \left[(\bar{q}q)^2 + (\bar{q}i\gamma^5 \vec{\tau}q)^2 + (\bar{q}i\gamma^5 \sigma_2 \tau_2 q^c) (\bar{q}^c i\gamma^5 \sigma_2 \tau_2 q) \right]$$

If you use Hubbard-Stratanovich technique and auxiliary fields

$$\sigma(x) = -2H(\bar{q}q), \quad \vec{\pi}(x) = -2H(\bar{q}i\gamma^5 \vec{\tau}q)$$

$$\Delta(x) = -2H \left[\bar{q}^c i\gamma^5 \sigma_2 \tau_2 q \right] = -2H \left[q^T C i\gamma^5 \sigma_2 \tau_2 q \right]$$

$$\Delta^*(x) = -2H \left[\bar{q}i\gamma^5 \sigma_2 \tau_2 q^c \right] = -2H \left[\bar{q}i\gamma^5 \sigma_2 \tau_2 C \bar{q}^T \right]$$

Condensates are

$$\langle \sigma(x) \rangle = M, \quad \langle \pi_1(x) \rangle = \pi_1, \quad \langle \Delta(x) \rangle = \Delta, \quad \langle \Delta^*(x) \rangle = \Delta^*.$$

The TDP is invariant with respect to the so-called dual transformations \mathcal{D}_1 , \mathcal{D}_2 and \mathcal{D}_3 ,

$$\mathcal{D}_1 : \quad \mu \longleftrightarrow \nu, \quad \pi_1 \longleftrightarrow |\Delta|$$

$$\mathcal{D}_2 : \quad \mu \longleftrightarrow \nu_5, \quad M \longleftrightarrow |\Delta|$$

$$\mathcal{D}_3 : \quad \nu \longleftrightarrow \nu_5, \quad M \longleftrightarrow \pi_1$$

Duality between CSB and PC was found in

- In the framework of NJL model
- In the large N_c approximation (or mean field)
 - In the chiral limit

QCD Lagrangian is

$$\mathcal{L} = i\bar{\psi}\gamma^\mu D_\mu\psi + \bar{\psi}\left[\mu\gamma^0 + \frac{\mu_I}{2}\tau_3\gamma^0 + \frac{\mu_{I5}}{2}\tau_3\gamma^0\gamma^5 + \mu_5\gamma^0\gamma^5\right]\psi$$

$$\mathcal{D}: \quad \psi_R \rightarrow i\tau_1\psi_R$$

$$\mu_I \leftrightarrow \mu_{I5}$$

$$\bar{\psi}\psi \leftrightarrow i\bar{\psi}\gamma^5\tau_1\psi$$

$$M \longleftrightarrow \Delta, \quad \nu \longleftrightarrow \nu_5, \quad \mu_I \longleftrightarrow \mu_{I5}$$

$$\begin{aligned} i\bar{\psi}^C\sigma_2\tau_2\gamma^5\psi &\leftrightarrow i\bar{\psi}^C\sigma_2\tau_2\gamma^5\psi, & \bar{\psi}^C\sigma_2\tau_2\psi &\leftrightarrow \bar{\psi}^C\sigma_2\tau_2\psi \\ \bar{\psi}\tau_2\psi &\leftrightarrow \bar{\psi}\tau_3\psi, & \bar{\psi}\tau_1\psi &\leftrightarrow i\bar{\psi}\gamma^5\psi, & i\bar{\psi}\gamma^5\tau_2\psi &\leftrightarrow i\bar{\psi}\gamma^5\tau_3\psi \end{aligned}$$

$$\mathcal{D} \in SU_R(2) \quad \in SU_L(2) \times SU_R(2)$$

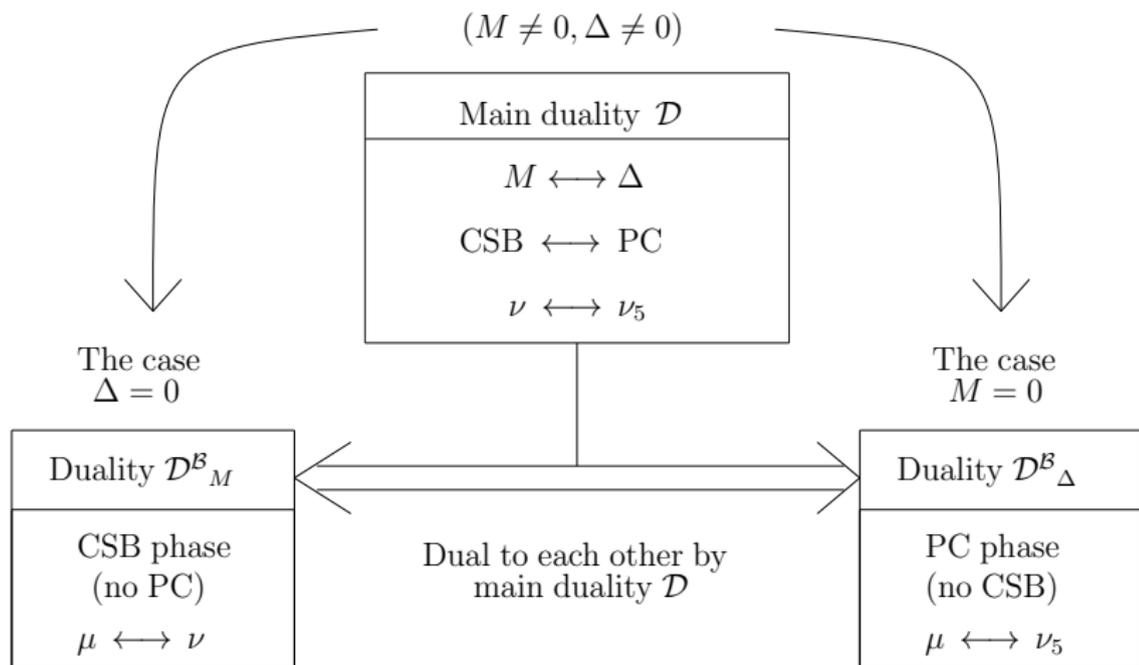
$$\mu_I \leftrightarrow \mu_{I5}$$

$M \neq 0$ breaks the chiral symmetry

Duality \mathcal{D} is a remnant of chiral symmetry

Dualities concerning baryon density

They could be even more usefull



Let us assume that $\nu \neq 0$
as a rule there is PC and there is no CSB

$$M = 0, \quad \mu \longleftrightarrow \nu_5$$

PC at non-zero μ \longleftrightarrow PC at non-zero ν_5

- ▶ $(\mu_B, \mu_I, \nu_5, \mu_5)$ phase diagram was studied
PC in dense matter with chiral imbalance
in in dense electric neutral matter in β -equilibrium
- ▶ It was shown that there exist dualities
- ▶ There have been shown ideas how dualities can be used
- ▶ Duality is **not just entertaining mathematical property** but an **instrument with very high predictivity power**
- ▶ (μ_B, ν_5) phase diagram is **quite rich** and contains various **inhomogeneous phases**
- ▶ Richer structure of **Dualities in the two colour case**