

CR Acceleration Mechanisms: New Challenges From High-Fidelity Observations

Mikhail Malkov

University of California San Diego

Acknowledgments: Felix Aharonian, Adrian Hanusch, Tatjana Liseykina,

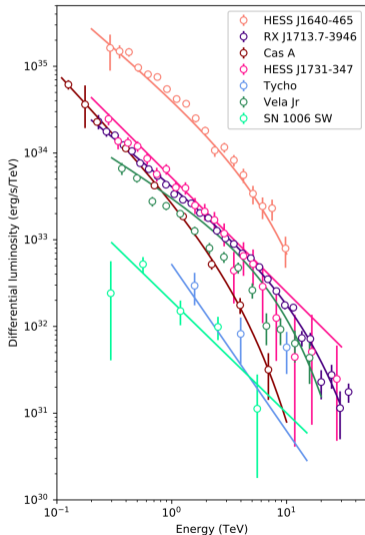
Pat Diamond, Roald Sagdeev

Work Supported by NASA Astrophysics Theory Program under Grant No. 80NSSC17K0255

- ① Why tweak the “robust” **diffusive shock acceleration** (DSA) -spectrum?
 - ① Source- and local CR-spectra appear steeper than the DSA predicts (Celli and Brose talks on Tue PM, CR session)
 - ② Helium/proton enhancement also perceived inconsistent with DSA
 - ③ Positron/electron “excess”: DM, again?
 - ④ **Flattening at 500 GeV steepening at 10 TeV (CALET, DAMPE)**
- ② Bilateral SNRs (1006) and their CR production spectra
 - ① self-similar loss-free solution
 - ② comparison with the “standard” DSA predictions
 - ③ strong dependence on the turbulence spectrum
 - ④ inclusion of particle losses (escape)
 - ⑤ possible relevance to hot-spot type acceleration, RXJ 1713?
- ③ p/He ratio, e^+/e^- spectral anomaly (backup slides)Up Slides)

- measurements of γ - spectra in a number of SNR allow inferences, in real time, about the spectra of accelerated cosmic rays (CR)
- two parameters, the spectral index q , and the maximum (cut-off) momentum, p_{\max} , are key predictions of the diffusive shock acceleration (DSA) theory
- recent observations, however, proved these parameters insufficient to understand the acceleration process
- energy spectra are often better represented by a gradual steepening with no sharp energy cut-off (F. Aharonian + 2018)

Observation Overview (from Aharonian et al, '18)



Conclusion

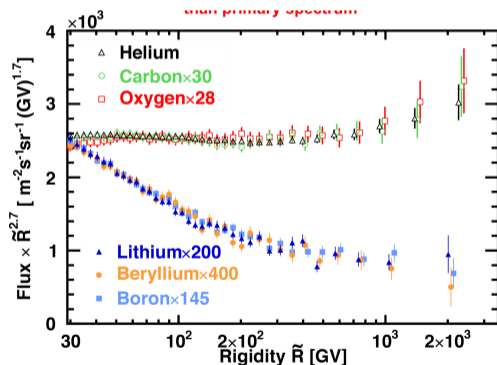
if the **DSA mechanism** is at work in SNRs, observations point to its important aspects not included in standard treatments

Note

most models are based on planar or spherically symmetric, slowly varying shock conditions

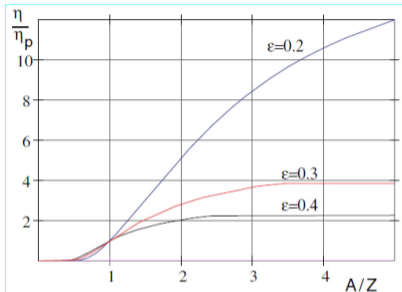
Recent AMS-02 hint on the origin of p/He Anomaly

AMS-02 (PRL, 2018) ([Weiwei Xu talk](#)
[12:30](#) for update)



- flat C,O/He ratio eliminates most scenarios
- points to initial phase of acceleration, *injection*, where elemental similarity does not apply
- A/Z is enhanced similarly for He,O and C
- $\mathcal{R}_0 = Am_p c^2 / Ze$ that determines the injection from thermal plasma simply follows the enhancement

Occam's approach to p/He acceleration by DSA@SNR



Injection efficiency (normalized to proton, MM'98)

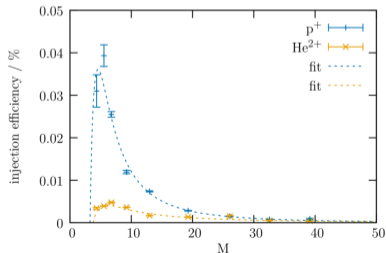
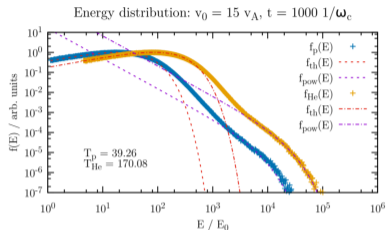
Assumptions:

- single source (SNR)
 - shock propagates into homogeneous plasma
- shock radius $R(t)$ and Mach # obey Sedov-Taylor solution

Main ideas:

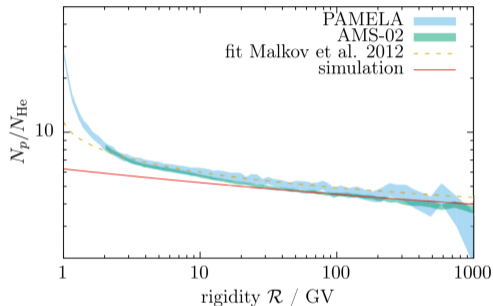
- preferential injection of He into DSA for higher Mach numbers
- injection dependence on A/Z and on ϵ , inverse wave amplitude $\epsilon \sim B_0/\delta B \propto M^{-1}$
- η_{inj} saturates with A/Z . **Not significant for incomplete ionization with $A/Z \gg 2 - 3$. Physically, should eventually $\rightarrow 0$ for $A/Z \rightarrow \infty$**
- injection bias is due to Alfvén waves driven by protons, thus retaining protons downstream more efficiently than He, C and other high A/Z species

Validating Physical ideas by hybrid Simulations



- 1D in configuration space, full velocity space simulations
 - shock propagates into ionized homogeneous plasma
- p and He are thermalized downstream according to Rankine-Hugoniot relations
- preferential injection of He into DSA for higher Mach numbers is evident
- injection dependence on Mach is close to theoretically predicted
 $\eta \sim M^{-1} \ln M$ (MM'98)

plots from A. Hanusch, T. Liseykina, MM, 2017



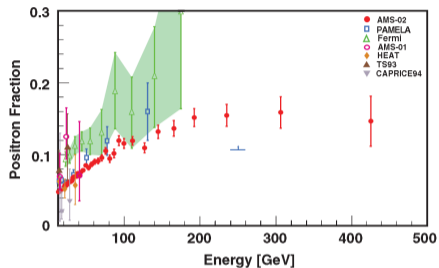
p/He from A. Hanusch, T. Liseykina, MM, 2017

- p/He result is valid for p/C,O ratios since the injection rate saturates at $A/Z > 2-3$

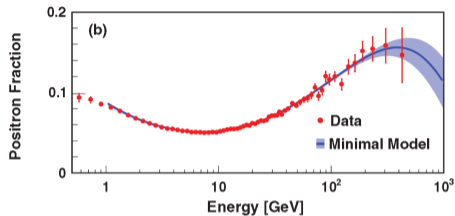
Some Conclusions

- the p/He ratio at $\mathcal{R} \gg 1$, is not affected by CR propagation, regardless the individual spectra
- telltale signs, intrinsic to the particle acceleration mechanism
- reproducible theoretically with no free parameters
- PIC and hybrid simulations confirm p and He injection scalings with Mach number
Hanusch et al, ApJ, 2019

Positron Anomaly - excess (Weiwei Xu talk 12:30)



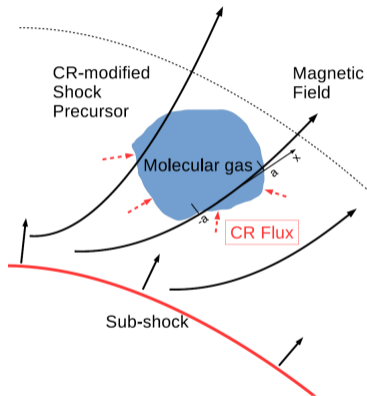
- Positron excess ([Accardo et al 2014](#))
- Observed by different instruments for several years
- Dramatically improved statistics by AMS-02 (published in 2014)



Things to note:

- Remarkable min at ≈ 8 GeV
- Unprecedented accuracy in the range 1-100 GeV
- Saturation - decline (?) trend > 200 -300 GeV

Interaction of shock-acc'd CRs with gas clumps (MC)



- Shock-acc'd CRs form a precursor $L_p \sim \kappa/u_1$: κ - CR diff. coeff., u_1 shock velocity; for $\kappa = \kappa_B \simeq cr_g(p)/3$, r_g - gyro-radius

- CR number density increases towards subshock

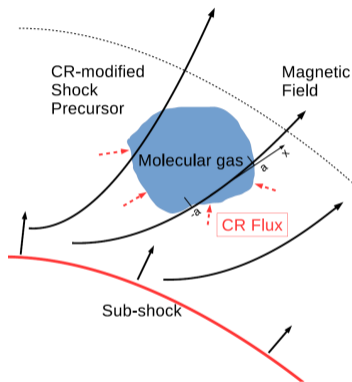
$$n_{CR}(x_{MC}) = \frac{x_0 n_{CR}^0}{x_0 + x_{MC}}$$

- CR charge the MC at a relative rate (charge/discharge)

$$\eta = \frac{\dot{n}_{CR} L_{MC}}{V_{Te} n_0 + V_i n_i}$$

$$\sim \frac{L_{MC}}{L_{CR}} \cdot \frac{u_1 n_{CR}}{V_{Te} n_0 + V_i n_i}$$

Interaction of shock-acc'd CRs with gas clumps (MC)



- Shock-acc'd CRs form a precursor : κ - CR diff. coeff.

$$L_p \sim \kappa / u_{sh}$$

- With some help from plasma textbooks...
- Maximum electric field due to $e - i$ collisions

$$E_{\max} \simeq \frac{m_e}{e} u_{sh} v_{ei} \frac{n_{CR}^0}{n_i}$$

- maximum ES potential inside

$$\frac{e\phi_{\max}}{m_p c^2} \sim \frac{a}{1pc} \frac{u_{sh}}{c} \frac{n_{CR}}{1cm^{-3}} \left(\frac{1eV}{T_e} \right)^{3/2}$$

Electric field in MC: some consequences

- Maximum electric field (at MC edge)

$$E_{\max} \simeq \frac{m_e}{e} u_1 \nu_{ei} \frac{n_{CR}^0}{n_i}$$

- electrostatic potential screens the MC interior from penetrating CR

$$\frac{e\phi_{\max}}{m_p c^2} \sim \frac{a}{1pc} \frac{u_1}{c} \frac{n_{CR}}{1cm^{-3}} \left(\frac{1eV}{T_e} \right)^{3/2}$$

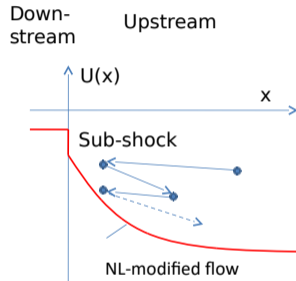
- A 1-parsec MC (r_g of a PeV proton) occupies only a $u_1/c \ll 1$ - fraction of CR precursor
- $\phi \sim m_p c^2$ keeps low-energy CRs away from the MC interior (not edge), expels e^+ , sucks in e^- but not \bar{p} (reaction kinematics)
- charge sign asymmetry of e^\pm injection into DSA established

e^+ and \bar{p} Injection into DSA

- e^+ , \bar{p} and e^- are produced all across MC as secondaries
 - e^+ are preaccelerated in E and injected into DSA
 - \bar{p} injected kinematically with insignificant momentum loss
 - e^- are trapped in MC, carried downstream unshocked
- injection from many MCs, occasionally crossing the shock, occurs with a time-averaged rate $Q(p, x)$
 - $Q(x, p)$ decays sharply with x , the distance from the subshock
 - $Q(p)$ has a broad maximum at $p \lesssim e\phi_{\max}/c$
 - typical energy of expelled positrons $\lesssim 1$ GeV, similar to \bar{p}

Shock Acceleration of Positrons -- just like p, \bar{p}

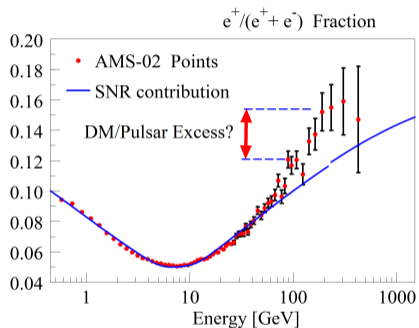
NL, with CR back-reaction



- As the shock is modified, acceleration starts in its precursor since $\partial u / \partial x \neq 0$
- However, most of the positrons are released from the MC near the subshock

- at lower energies, their spectrum is dominated by the subshock compression ratio, $r_s = u_0 / u_2$
- spectral index $q = q_s \equiv 3r_s / (r_s - 1)$ and the spectrum $f_{e^+} \propto p^{-q_s}$.
- at higher energies, positrons feel progressively higher flow compression (diffuse farther ahead of the subshock)
- their spectrum tends to a universal form with $q \rightarrow 3.5$

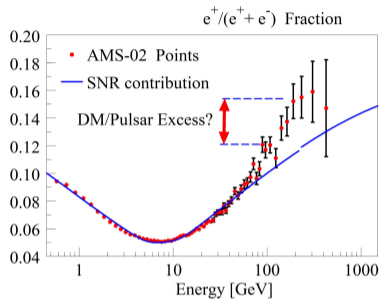
Positron spectra



- Shock structure is self-consistently adjusted to the pressure of accelerated protons

- e⁺ and other secondaries produced in *pp* collisions of shock accelerated CRs with MC gas, as well as e⁻ can be treated as test particles in a given shock structure
- e⁺ are enhanced while e⁻ suppressed because of charge-asymmetric injection from MC
- plausible assumption: e⁺/e⁻ injection rate $\gg 1$.

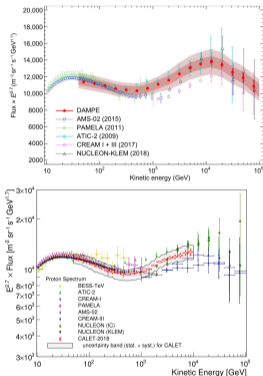
Positron spectra cont'd



- In calculating $e^+/(e^- + e^+)$, e^- are assumed to be from conventional shocks with p^{-4} source spectra
- $\implies e^+/(e^- + e^+)$ spectrum = proton spectrum in $p^4 f(p)$ customary normalization

- background e^- (with p^{-4} spectrum) propagate distance similar to that of e^+
- \implies ratio $e^+/(e^- + e^+)$ is de-propagated and probes directly into the **positron accelerator!**
- excess above the blue curve is not in this model – **DM or pulsars possibly contribute**
- much less room for DM/Pulsar signal in 200-400 GeV range compared to secondary e^+ (decaying) without acceleration
 - this room can shrink completely

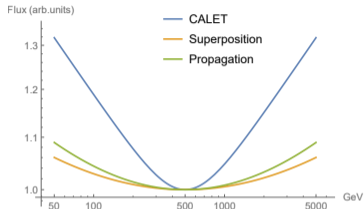
CR local spectrum: new features



Fit near 500 GeV kink, presented by CALET, 2019

$$F \propto E^{-\gamma} \left[1 + \left(\frac{E}{500} \right)^{\Delta\gamma/s} \right]^s$$

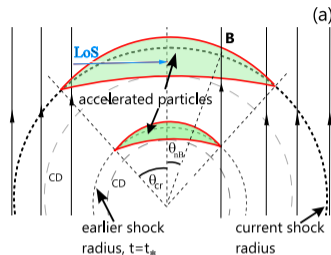
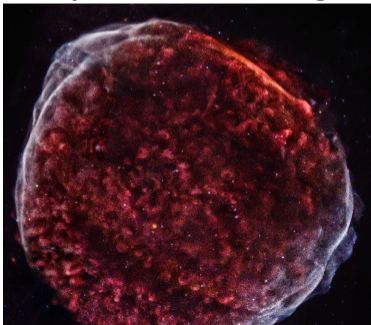
$$\Delta\gamma = 0.3, \quad s = 0.1$$



- Superposition of two sources
 - does no work
- Transition between different propagation regimes, e.g., self-confinement of CRs near the source to “free” propagation in weakly turbulent ISM
 - does not work either

Main Topic: Bilateral Morphology SNR

- active acceleration zone is shown at two consecutive moments
- accelerated particles are in expanding region as injection is **efficient only**
 $\vartheta_{cr} \lesssim \pi/4$ (supported by simple theory and hybrid simulations, e.g., Thomas, '90)



- in acceleration zone seen edge-on the LoS-integrated emission samples particles with different acceleration history
- fresh particles contribute to lower momenta, thus making the **LoS-integrated spectrum steeper**

Convection-Diffusion Equation

- describes particle acceleration at shock where $\theta_{nB} < \pi/4$ using cylindrical coordinates, (r, z) , with $\mathbf{B} \parallel \hat{\mathbf{z}}$ -unit vector along the z - axis passing through the center of the remnant
- convection-diffusion equation for a layer near $z = z_s(t)$ and $r \leq r_{cr}(t) = R_s(t) \sin \vartheta_{cr}$:

$$\frac{\partial f}{\partial t} + u \frac{\partial f}{\partial z} - \frac{\partial}{\partial z} \kappa_{\parallel} \frac{\partial f}{\partial z} - \frac{1}{r} \frac{\partial}{\partial r} r \kappa_{\perp} \frac{\partial f}{\partial r} - \frac{1}{3} \frac{\partial u}{\partial z} p \frac{\partial f}{\partial p} = Q(r_{\perp}, t) \delta(z) \delta(p - p_0) \quad (1)$$

- shifted the origin of z - coordinate to the shock position, $z \rightarrow z_s(t) + z$.

- p_0 refers to the particle injection and the source of injected particles.

New ingredients

- eq.1 includes cross field transport with the diffusivity κ_{\perp}
- injection rate $Q(r)$ vanishes at $r \gtrsim r_{cr}(t)$
- injection area grows as the shock expands and r_{cr} increases, $Q(t)$ grows, $u = u(t)$ decreases
 - acceleration process is fundamentally time-dependent

Radially-integrated Equation

- as particle density vanishes beyond $r > r_{cr}(t)$, we integrate to $r = \infty$:

$$\bar{F}(z, p, t) = 2\pi \int_0^\infty r f(z, r, p, t) dr \quad \text{and} \quad S(t) = 2\pi \int_0^\infty r Q(r, t) dr,$$

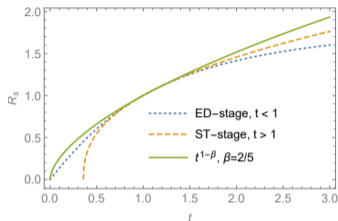
- With these definitions, from CD eq.(1) we obtain

$$\frac{\partial \bar{F}}{\partial t} + u \frac{\partial \bar{F}}{\partial z} - \frac{\partial}{\partial z} \kappa_{\parallel} \frac{\partial \bar{F}}{\partial z} = \frac{1}{3} \frac{\partial u}{\partial z} p \frac{\partial \bar{F}}{\partial p} + S(t) \delta(z) \delta(p - p_0) \quad (2)$$

u and κ_{\parallel} do not depend on r ; this assumption is acceptable for κ_{\parallel} in the area of particle localization, $r \leq r_{cr}$

- parallel diffusion strongly **increases** at $r > r_{cr}$ (turbulence level is low)
- perpendicular transport strongly **decreases** beyond the point $r = r_{cr}$
- \rightarrow accept the value $\kappa_{\parallel}(r < r_{cr}) \approx \text{const}$ in eq.(2), e.g., Bohm limit
- \rightarrow radially integrated CD equation, eq.(2) does not contain radial losses \rightarrow **flattest spectrum possible**

Selection of SNR Expansion Stage



three useful approximations for $R_s(t)$:

- 1 ED compliant fit, $t < 1$, $ST, t > 1$
- 2 single power-law, $R_s \propto t^{3/5}$

SNR	t/t_{ST}	$1 - \beta$
Cas A	0.57-2.3	0.79-0.48
Kepler	0.44	0.85
Tycho	0.83	0.69
SN 1006	1.4	0.54

- stages of SNR evolution \rightarrow the strongest impact on CR production:
 - ejecta-dominated (ED), shock radius grows $R_s \propto t$
 - Sedov-Taylor (ST) stages, $R_s \propto t^{2/5}$
- **transition:** $t_{ST} \equiv t_0 \approx 0.495 M_e^{5/6} / \rho_0^{1/3} \sqrt{E} \approx 209 (M_e/M_\odot)^{5/6} / n_0^{1/3} \sqrt{E_{51}}$.
- $n_0 = \rho_0 / 2.34 \times 10^{-24} \text{g}$
- well described by a single power-law, $R_s/R_{ST} = t^{1-\beta}$, with $\beta = 2/5$ (slower than ED but faster than ST)
- employ the single power-law approximation

Solution of Convection-Diffusion Equation

- Flow $u(z)$: planar shock approximation: $u = -u_1$, for $z > 0$ and $u = -u_2$, for $z \leq 0$, where $u_1 > u_2 > 0$ (justified *a posteriori* if the acceleration zone $\ll R_s$)
- BUT: u and κ_{\parallel} - time dependent

$$u = - \left(\frac{t_0}{t} \right)^{\beta} \begin{cases} u_1, & z > 0 \\ u_2, & z \leq 0 \end{cases}$$

$u_{1,2} > 0$. In Sedov-Taylor $\beta = 3/5$, so that the shock propagates at $u_1 \equiv U_s \propto t^{-3/5}$ and its radius grows as $R_s \propto t^{2/5}$.

- The choice of $\kappa_{\parallel} \propto U_s R_s$

$$\kappa_{\parallel}(p, t) = \kappa(p) \left(\frac{t}{t_0} \right)^{1-2\beta}$$

- seek a self similar solution to eq.(2) in the following form

$$\bar{F}(t, z, p) = \phi(t, p) F(p, \xi), \quad \xi = z \left(\frac{t}{t_0} \right)^{\beta-1}$$

Solution of DC cont'd

For $z, \xi \neq 0$ eq.(2) rewrites

$$\kappa \frac{d^2 F}{d\xi^2} + (u_{1,2} + a\xi) \frac{\partial F}{\partial \xi} - \zeta F = 0 \quad (3)$$

$$\zeta = \frac{t}{t_0 \phi} \frac{\partial \phi}{\partial t}, \quad a = \frac{1 - \beta}{t_0} \quad (4)$$

for arbitrary ξ

$$\kappa \frac{d^2 F}{d\xi^2} + [U(\xi) + a\xi] \frac{\partial F}{\partial \xi} - \zeta F = \frac{1}{3\phi} \frac{\partial U}{\partial \xi} p \frac{\partial}{\partial p} (\phi F) - \left(\frac{t}{t_0}\right)^\beta \frac{S(t)}{\phi} \delta(\xi) \delta(p - p_0) \quad (5)$$

where

$$U(\xi) = u_2 + (u_1 - u_2) H(\xi)$$

$H(\xi)$ is the Heaviside unit function ($H'(x) = \delta(x)$).

Solution of DC eq. cont'd

Since $t\partial\phi/\partial t \propto \phi$, while S must scale with time as $S(t) \propto r_{cr}^2(t) Q(t) \propto R_s^2(t) U_s(t) \propto t^{2-3\beta}$, so $\phi \propto t^{2(1-\beta)}$. Therefore, ζ/a is $\zeta/a = t\dot{\phi}/(1-\beta)\phi = 2$ and the solution upstream simplifies

$$\bar{F}_u = \phi \left[\sqrt{\frac{\pi}{2}} (1 + \eta^2) \operatorname{erfc} \left(\frac{\eta}{\sqrt{2}} \right) - \eta \exp \left(-\frac{1}{2}\eta^2 \right) \right] \quad (6)$$

where $\operatorname{erfc}(x) = 1 - \operatorname{erf}(x) = (2/\sqrt{\pi}) \int_x^\infty \exp(-t^2) dt$ and $\xi = \sqrt{\kappa/a}\eta - u_{1,2}/a$. The momentum spectrum at the shock takes the form:

$$\bar{F}_0 = \phi(t, p) \left[\sqrt{\frac{\pi}{2}} \left(1 + \frac{u_1^2}{\kappa a} \right) \operatorname{erfc} \left(\frac{u_1}{\sqrt{2\kappa a}} \right) - \frac{u_1}{\sqrt{\kappa a}} \exp \left(-\frac{u_1^2}{2\kappa a} \right) \right] \quad (7)$$

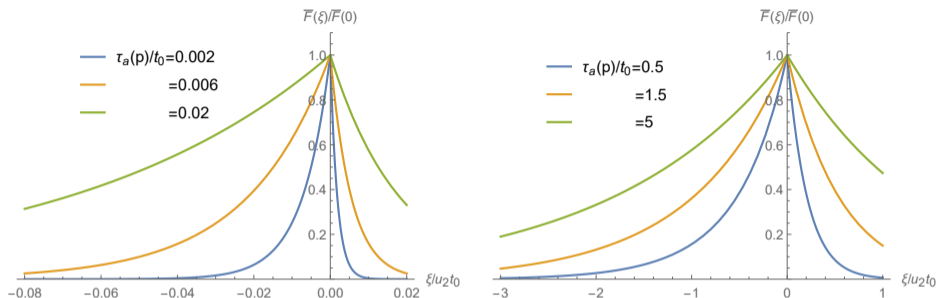
Downstream:

$$\bar{F}_d = \phi(t, p) \Lambda(p) \left[\sqrt{\frac{\pi}{2}} (1 + \eta^2) \operatorname{erfc} \left(-\frac{\eta}{\sqrt{2}} \right) + \eta \exp \left(-\frac{1}{2}\eta^2 \right) \right] \quad (8)$$

with

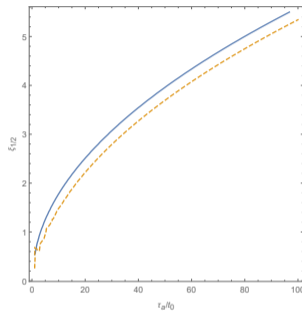
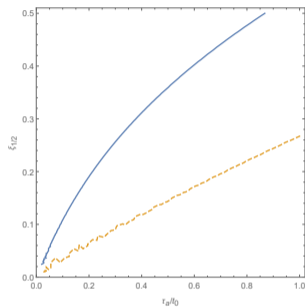
$$\Lambda(p) \equiv \frac{\sqrt{\frac{\pi}{2}} (1 + u_1^2/\kappa a) \operatorname{erfc} (u_1/\sqrt{2\kappa a}) - (u_1/\sqrt{\kappa a}) \exp (-u_1^2/2\kappa a)}{\sqrt{\frac{\pi}{2}} (1 + u_2^2/\kappa a) \operatorname{erfc} (-u_2/\sqrt{2\kappa a}) + (u_2/\sqrt{\kappa a}) \exp (-u_2^2/2\kappa a)}$$

Spatial profiles of accelerated particles



- upstream and downstream particle distributions $\bar{F}_{u,d}$, normalized to $\bar{F}_0(p)$ (shock value), depending on the dimensionless distance from the shock front $\xi/u_2 t_0 = z/u_2 t_0^{2/5} t^{3/5}$ ($\xi > 0$ - upstream, $\xi \leq 0$ - downstream)
- low/high -momentum range given as acceleration time $\tau_a(p)$
- downstream profile is a notable contrast to the standard DSA solution which has flat particle distribution downstream.

Spatial widths upstream and downstream



- Half-width of particle distribution ($\xi_{1/2}$ - distance from the shock where \bar{F} decreases by half from its maximum at $\xi = 0$) upstream (dashed lines) and downstream (solid lines) depending on momentum, expressed in the acceleration time $\tau_a = 2(1 - \beta)\kappa(p)/u_2^2$

Calculation of Particle spectra

to obtain the momentum distribution at the shock front, we integrate the CD eq.(2) across its velocity jump

$$\frac{\Delta u}{3} p \frac{\partial \bar{F}_0}{\partial p} - \kappa \frac{\partial \bar{F}}{\partial \xi} \Big|_{\xi=0-}^{\xi=0+} = S(t) \left(\frac{t}{t_0} \right)^\beta \delta(p - p_0) \quad (9)$$

where $\Delta u = u_1 - u_2$. Using the notation

$$\Phi(v) = \int_v^\infty \exp[v^2 - x^2] dx, \quad v_2(p) \equiv \frac{u_2}{\sqrt{2\kappa(p)a}}$$

the power-law index of the distribution at the shock front has the form

$$q(p) \equiv -\frac{p}{\bar{F}_0} \frac{\partial \bar{F}_0}{\partial p} = \quad (10)$$

$$-\frac{6}{r-1} \left[\frac{1}{v} \frac{1/2 - rv\Phi(rv)}{rv - (2r^2v^2 + 1)\Phi(rv)} + \frac{v - \Phi(-v)}{v + (2v^2 + 1)\Phi(-v)} \right] \quad (11)$$

Momentum Distribution

- spectral index depends on momentum:
 $v_2(p) \equiv \sqrt{t_0/\tau_a(p)} \propto \kappa^{-1/2}(p)$.
- dynamical time scale of accelerator, t_0 , enters as its ratio to the acceleration time scale
- stationary loss-free accelerator, on the contrary, has no time scale, to be compared with the particle acceleration time, $\tau_a(p)$
- hence, its spectral index is momentum-independent.

- in the limit $\tau_a \ll t_0$ there should be no significance difference between the two cases. For $v_2 \gg 1$ we have

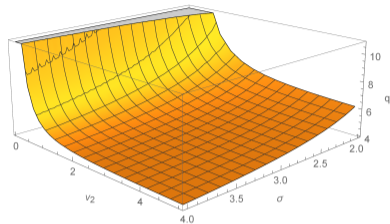
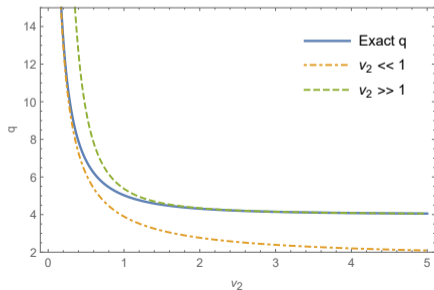
$$q \approx \frac{3\sigma}{\sigma - 1} \left(1 + \frac{3 + 2\sigma}{2\sigma^2 v_2^2} \right)$$

- In the opposite case of $v_2 \ll 1$, one obtains progressively steepening spectrum toward smaller v_2 (higher p)

$$q = \frac{12}{\sqrt{\pi}(\sigma - 1)} \frac{1}{v_2} + 6 \left(\frac{4}{\pi} - 1 \right)$$

Spectral index

- Spectral index q as a function of $v_2 = \sqrt{t_0/\tau_a(\rho)}$. Dashed and dashed-dotted curves: the low- and high-momentum approximations



- spectral index $q(\sigma, v_2)$
 $v_2 \gg 1$:

$$q \approx \frac{3\sigma}{\sigma - 1} \left(1 + \frac{3 + 2\sigma}{2\sigma^2 v_2^2} \right)$$

$v_2 \ll 1$:

$$q = \frac{12}{\sqrt{\pi}(\sigma - 1)} \frac{1}{v_2} + 6 \left(\frac{4}{\pi} - 1 \right)$$

Comparison with standard DSA

escape term Λ

$$\Lambda \bar{F} + u \frac{\partial \bar{F}}{\partial z} - \frac{\partial}{\partial z} \kappa_{\parallel} \frac{\partial \bar{F}}{\partial z} = \frac{1}{3} \frac{\partial u}{\partial z} p \frac{\partial \bar{F}}{\partial p} \quad (12)$$

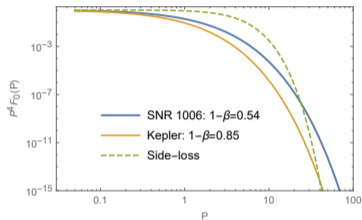
replaced the diffusive flux through $r_{\perp} = r_{\text{cr}}$ by

$$- r \kappa_{\perp} \frac{\partial f}{\partial r} \Big|_{r=r_{\text{cr}}} = \Lambda \bar{F}$$

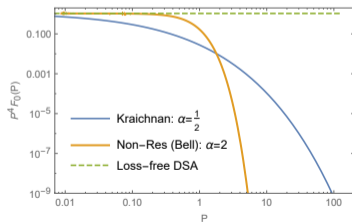
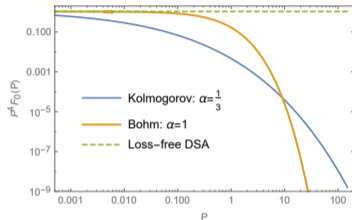
standard

$$\frac{\partial \ln \bar{F}_0}{\partial \ln p} = -\frac{3}{2\Delta u} \left[u_1 \left(1 + \sqrt{1 + \frac{4\Lambda\kappa_{\parallel}}{u_1^2}} \right) + u_2 \left(-1 + \sqrt{1 + \frac{4\Lambda\kappa_{\parallel}}{u_2^2}} \right) \right]$$

Spectral index



Solid lines: loss-free spectrum from self-similar solution for indicated values of expansion index $1 - \beta$ and Bohm diffusion. Dashed line: stationary solution with sideways losses



Conclusions and Outlook

- The most significant disagreements between the high-precision observations and the DSA predictions can be reconciled by:
 - including SNR environmental factors (molecular gas, B-field geometry)
 - including A/Z and Mach # dependence of particle injection from the thermal plasma
 - time dependence of SNR evolution
 - magnetic field – shock normal relation
- integrating the spectrum in r_{\perp} over the acceleration zone and neglecting particle losses leads to minimal spectral steepening, still significant at high energies
- It is accounted for by a mere broadening of particle injection area in time, and slowing down the pace of their acceleration
- minimal softening at low energies is attributed to a continuation of particle acceleration after they have transported across the field line to the boundary of acceleration zone
- more realistic treatment including the boundary losses will result in a steeper spectrum
- such losses are caused by a decreased particle self-confinement under a weakening magnetic turbulence

p/He intro: Rigidity Law of Acc'n/Propagation

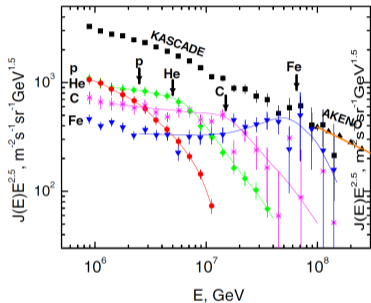
- Equations of motion, written for particle rigidity $\mathcal{R} = \mathbf{p}c/eZ$

$$\frac{1}{c} \frac{d\mathcal{R}}{dt} = \mathbf{E}(\mathbf{r}, t) + \frac{\mathcal{R} \times \mathbf{B}(\mathbf{r}, t)}{\sqrt{\mathcal{R}_0^2 + \mathcal{R}^2}},$$

$$\frac{1}{c} \frac{d\mathbf{r}}{dt} = \frac{\mathcal{R}}{\sqrt{\mathcal{R}_0^2 + \mathcal{R}^2}}.$$

- EM-fields $\mathbf{E}(\mathbf{r}, t)$ and $\mathbf{B}(\mathbf{r}, t)$ are arbitrary
- all species with $\mathcal{R} \gg \mathcal{R}_0 = Am_p c^2 / Ze$ (A is the atomic number and m_p - proton mass, so $\mathcal{R}_0 \sim A/Z$ GV), have identical orbits in the phase space $(\mathbf{r}, \mathcal{R})$.
- species with different A/Z should develop the same rigidity spectra at $\mathcal{R} \gg \mathcal{R}_0$, if they enter acceleration at steady ratio

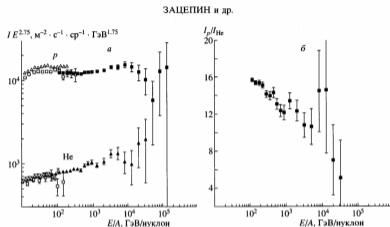
Some support for Rigidity Law



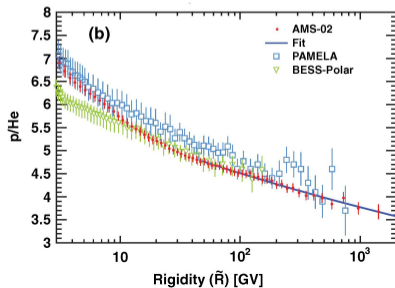
CR spectra of different elements in the knee area (from Berezhinsky Review)

- cut-offs of different elements are organized by rigidity rule for acceleration and propagation
- if p 's and He^{2+} start acceleration at $\mathcal{R} \gg \mathcal{R}_0$ in a ratio N_p/N_{He}
- this ratio is maintained in course of acceleration and the rigidity spectra must be identical
- if both species propagate to observer without collisions, they should maintain the same N_p/N_{He}
- DSA predicts distribution $\propto \mathcal{R}^{-q}$ where, q depends on Mach number as $q = 4 / (1 - M^{-2})$

Violation of Rigidity Law



Zatsepin et al. 2004 (ATIC)



Key Distinction:

- Several instruments revealed deviation (≈ 0.1 in spectral index) between He and p 's, claimed inconsistent with DSA (e.g., Adriani et al 2011)
- DSA predicts a flat spectrum for the He/ p ratio
- similar result obtained recently by AMS-02 for C,O/ p ratio
- points to initial phase of acceleration where elemental similarity (rigidity dependence only) does not apply
- A/Z values are close for He, O, and C

Some explanations of He spectral hardening

- three different types of SNRs contribute Zatsepin & Sokolskaya (2006)
- outward-decreasing He abundance in certain SNR, such as super-bubbles, result in harder He spectra, as generated in stronger shocks Ohira & Ioka (2011)
- He is neutral when processed by weak shocks. It is ionized when the SNR shocks are young and strong, Drury, 2011
- p/He --Forward/reverse SNR shock, Ptuskin & Zirakashvili, 2012
- Onion-shell model of presupernova wind, Bierman et al

Issues:

- most suggestions are hard to reconcile with Occam's razor principle
- tension with the He-C-O striking similarity
- spallation scenarios overproduce CR secondaries (Vladimirov, Johannesson, Moskalenko, Porter 2012)

Suggested explanations of positron excess

- focus on the rising branch of $e^+ / (e^+ + e^-)$
- invoke secondary e^+ from CR pp with thermal gas

Problems:

- Tensions with \bar{p} : secondaries with differing spectra
- Poor fits, free parameters, no physics of 8 GeV upturn...

Alternative suggestions:

- Pulsars (lacking accurate acceleration models)
- Dark matter contribution ??

Stating the Obvious

- DSA@SNR' predictive capability \gg Pulsar or DM models
- \rightarrow DM/P- only if the DSA@SNR fails

Upshot

- SNR contribution **constrains** DM/Pulsar contributions

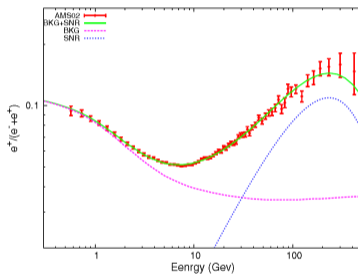
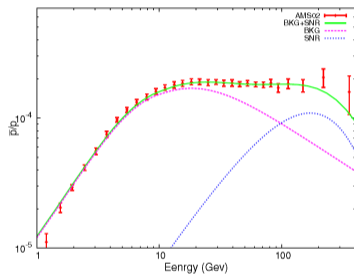
Weaknesses of explanations – Motivation

Bottom line:

e^+/e^- explained only by adjusting independent sources

BO-QIANG LU and HONG-SHI ZONG

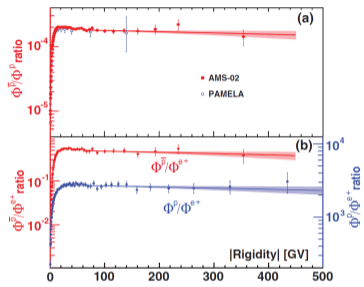
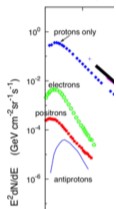
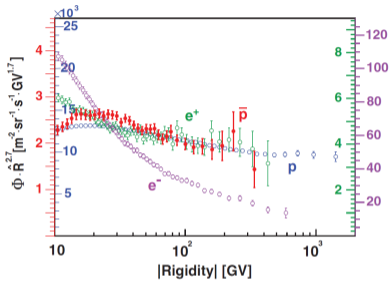
PHYSICAL REVIEW D **93**, 103517 (2015)



Weaknesses:

- Flatness of \bar{p}/p and position of minimum in e^+/e^- are coincidental
- B/C, \bar{p}/p secondary constraints put a 25% upper bound on SNR contribution to the positron rise (Cholis&Hooper, 2014)

Possible hints from p and \bar{p}



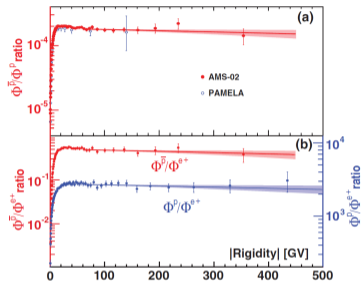
AMS-02: Aguilar+ 2016

particle \ property	charge	mass	secondary?	pulsar?
p	+	M	no	no
\bar{p}	-	M	yes	no
e^+	+	m	both	yes
e^-	-	m	no	both

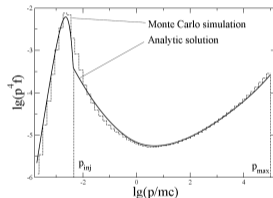
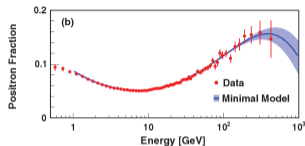
The Wish-list

- account for e^+ fraction by a **single-source**, a nearby SNR (contribution from similar sources not excluded)
- explain physics of decreasing and increasing branches, 8 GeV min
 - \rightarrow lends credence to high energy predictions
- understand \bar{p}/p and e^+/p flat spectra as intrinsic, not coincidental:
 - most likely \bar{p} and e^+ accelerated similarly to protons, whenever injected BUT:
 - $\bar{p}/p = e^+/p \neq e^+/e^-$ - Why so?
- plausible answer: acceleration/injection is **charge-sign and mass/charge ratio dependent**
- understand the physics of charge-sign and m/e selectivity

The Hints



- p , \bar{p} , e^+ strikingly similar at $E > E_{min} \simeq 8$ GeV



MC-Don Ellison

Analytic sol. MM'97,
E. Amato, P. Blasi, D. Caprioli, '00-s

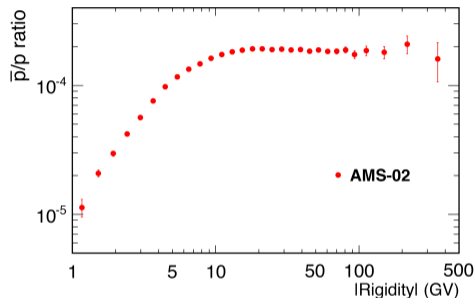
- Opposite trends in e^+/e^- and \bar{p}/p spectra at $E < 8$ GeV
- Both are fractions, thus eliminating charge-sign independent aspects of propagation and acceleration (still, HS effects?)
- Striking similarity with NL DSA solution, assuming most of e^- are accelerated to p^{-4} (standard DSA)

The Assumptions

- SNR shock propagates in “clumpy” molecular gas ($n_{\text{H}} \gtrsim 30 \text{cm}^{-3}$, filling factor $f_V \sim 0.01$)
- High-energy protons are already accelerated to (at least) $E \sim 10^{12} \text{eV}$ to make a strong impact on the shock structure (CR back reaction, NL shock modification)
 - Acceleration process thus **transitioned** into an efficient regime (in fact, **required to**, once $E \gtrsim 1 \text{ TeV}$, $M \gtrsim 10 - 15$ and the fraction of accelerated protons $\gtrsim 10^{-4} - 10^{-3}$)

Less important

- The SNR is not too far away, possibly magnetically connected, thus making significant contribution to the local CR spectrum
- Other SNRs of this kind may or may not contribute



- If \bar{p} , e^+ and p are from the same (similar) SNR(s), their spectra should be similar above injection, say $E \gtrsim 10$ GeV

- Decline of \bar{p} towards lower energies is consistent with their injection at higher (than p) energy
- This effect has not been quantified for \bar{p}
- Solar modulation may also contribute to $p - \bar{p}$ difference at low energy
- Flat \bar{p}/p should continue up to $p \sim p_{\max}$; may decline at $p \gtrsim p_{\max}$ (secondaries with no acceleration)

- 1 Illumination of molecular gas (MC) ahead of a SNR shock by accelerated protons results in the following phenomena:
 - an MC of size L_{MC} is charged (positively) by penetrating protons to $\sim (L_{MC}/pc) (V_{sh}/c) (1eV/T_e)^{3/2} (n_{CR}/cm^{-3}) GV$
 - secondary positrons produced in pp collisions inside the MC are pre-accelerated by the MC electric potential and expelled from the MC to become a seed population for the DSA (get “injected”)
 - negatively charged light secondaries (e^-), along with the primary electrons, remain locked inside the MC
- 2 Assuming that the shock Mach number, proton injection rate, and cut-off momentum all exceed the thresholds of NL acceleration, the spectrum of injected positrons becomes concave, which physically corresponds to a steepening due to the subshock reduction, and flattening resulting from acceleration in the smooth, more compressive, part of the shock

Conclusions cont'd

- the crossover energy is related to the change in proton transport (diff. coeff. changes from $\kappa \propto p^2$ to $\kappa \propto p$) and respective contribution to the CR partial pressure in a mildly-relativistic regime. The crossover pinpoints the 8 GeV minimum in the $e^+ / (e^+ + e^-)$ fraction measured by AMS-02
- due to the NL subshock reduction, the MC remains unshocked so that electrons (but to much lesser extent \bar{p}) accumulated in its interior **evade shock acceleration**
- Residual **positron excess in the range $\sim 200 - 400$ GeV is not accounted for by this SNR model** and is available for alternative interpretations (DM, Pulsars, synchrotron pile-up)
- **More likely**, an e^+ / e^- run-away break down in MC with enhanced e^\pm production may eliminate the exotic scenarios completely

Further details at <https://arxiv.org/abs/1703.05772>,
<http://adsabs.harvard.edu/abs/2016PhRvD..94f3006M>